Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation

CARIDAD CORPORATION

A Employer identification number

36-3505813

Number and street (or P O box number if mail is not delivered to street address) Room/suite

2630 W LAFAYETTE RD

B Telephone number

(952) 470-3600

City or town, state or province, country, and ZIP or foreign postal code

EXCELSIOR, MN 55331

C If exemption application is pending, check here ▶ [ ]

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 864,292.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received			N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,555.	2,555.		STATEMENT 1
	4	Dividends and interest from securities	6,892.	6,892.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	<117,833.>			
	b	Gross sales price for all assets on line 6a 1,210,154.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	<108,386.>	9,447.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees STMT 3	1,475.	1,475.		0.
	b	Accounting fees				
	c	Other professional fees				
	17	Interest				
	18	Taxes				
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 4	27,733.	27,733.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	29,208.	29,208.		0.
	25	Contributions, gifts, grants paid	167,229.			167,229.
	26	**Total expenses and disbursements.** Add lines 24 and 25	196,437.	29,208.		167,229.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	<304,823.>			
	b	**Net investment income** (if negative, enter -0-)		0.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

SCANNED MAY 2 7 2014

MAY 1 9 2014

323501
10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2013)

10550429 785296 3751 2013.03040 CARIDAD CORPORATION 3751 1

6182

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing	72,759.	12,310.	12,310.
	2 Savings and temporary cash investments	931,044.	679,465.	679,465.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 50,325.			
	Less allowance for doubtful accounts ▶ 0.	50,325.	50,325.	50,325.
Assets	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 5	64,230.	87,432.	122,192.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 6)	15,997.	0.	0.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,134,355.	829,532.	864,292.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO TOM P LOWE)	100,000.	100,000.	
	23 Total liabilities (add lines 17 through 22)	100,000.	100,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,034,355.	729,532.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	1,034,355.	729,532.	
	31 Total liabilities and net assets/fund balances	1,134,355.	829,532.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,034,355.
2	Enter amount from Part I, line 27a	2	<304,823.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	729,532.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	729,532.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE SUMMARY	P	VARIOUS	VARIOUS
b	SEE SUMMARY	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	471,959.		528,139.	<56,180.>
b	738,195.		799,848.	<61,653.>
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				<56,180.>
b				<61,653.>
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<117,833.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	91,100.	1,107,461.	.082260
2011	92,875.	1,065,684.	.087151
2010	185,900.	883,615.	.210386
2009	137,800.	868,748.	.158619
2008	362,999.	1,826,788.	.198709

2 **Total** of line 1, column (d)	2	.737125
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.147425
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	903,114.
5 Multiply line 4 by line 3	5	133,142.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	133,142.
8 Enter qualifying distributions from Part XII, line 4	8	167,229.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1			
Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	0.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CARIDAD CORP. / THOMAS LOWE III Telephone no ▶952-471-0499

Located at ▶2630 W LAFAYETTE RD, EXCELSIOR, MN ZIP+4 ▶55331

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS P. LOWE 2630 W. LAFAYETTE RD EXCELSIOR, MN 55331	PRESIDENT 0.00	0.	0.	0.
MAGARET L. LOWE 2630 W. LAFAYETTE RD EXCELSIOR, MN 55331	VICE PRESIDENT 0.00	0.	0.	0.
THOMAS P. LOWE III 3295 CARMAN RD EXCELSIOR, MN 55331	TREASURER 20.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

323561
10-10-13

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	100,669.
b	Average of monthly cash balances	1b	816,198.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	916,867.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	916,867.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,753.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	903,114.
6	**Minimum investment return.** Enter 5% of line 5	6	45,156.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	45,156.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	45,156.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	45,156.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	45,156.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	167,229.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	167,229.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	167,229.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					45,156.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ____ , ____ , ____			0.		
3 Excess distributions carryover, if any, to 2013					
a From 2008	271,781.				
b From 2009	94,756.				
c From 2010	141,719.				
d From 2011	40,681.				
e From 2012	35,727.				
f Total of lines 3a through e		584,664.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 167,229.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					45,156.
e Remaining amount distributed out of corpus		122,073.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))		0.			0.
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		706,737.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		271,781.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		434,956.			
10 Analysis of line 9					
a Excess from 2009	94,756.				
b Excess from 2010	141,719.				
c Excess from 2011	40,681.				
d Excess from 2012	35,727.				
e Excess from 2013	122,073.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THOMAS P LOWE C/O CARIDAD CORPORATION, 2630 W LAFAYETTE RD

b The form in which applications should be submitted and information and materials they should include

LETTER DESCRIBING ORGANIZATION, BENEFIT, 501(C)(3) STATUS.

c Any submission deadlines

OCTOBER 30TH EACH CALANDAR YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 8				167,229.
Total			▶ 3a	167,229.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,555.	
4 Dividends and interest from securities			14	6,892.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<117,833.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		<108,386.>	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 <108,386.>

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Thomas J Rowe | 5-12-14 | TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MICHAEL J BARRETT		5/12/14		P00443533
Firm's name ▶ MOLLER & BARRETT, LLP			Firm's EIN ▶ 41-1796618	
Firm's address ▶ 225 SOUTH SIXTH STREET, STE 4390 MINNEAPOLIS, MN 55402			Phone no (612) 372-0090	

Form **990-PF** (2013)

323622 10-10-13

Security	Trans type	Qty	Open date	Cost	Close date	Proceeds	ST gain($)	LT gain($)
AMERICAN CAPITAL AGENCY COR (AGNC)	Sell FIFO	1,000	02/27/2013	32,809 89	05/14/2013	28,849 46	-3,960.43	
POWERSHARES DIV ACHIEVERS P (PFM)	Sell.FIFO	2,000	01/05/2012	29,989 80	06/19/2013	37,151 36		7,161 56
POWERSHARES DYNAMIC BUYBACK (PKW)	Sell FIFO	1,000	01/06/2012	26,865 00	06/19/2013	36,379 47		9,514 47
RUT Dec 21 2013 970 0 Put*	Expire Long	2,000	11/13/2013	4,245 47	12/21/2013		-1,698 19	-2,547.28
RUT Dec 21 2013 990 0 Put*	Expire Short	2,000	11/13/2013	0 00	12/21/2013	5,784 52	2,313 81	3,470 71
RUT Feb 16 2013 890.0 Call*	Sell to Close.FIFO	3,000	01/09/2013	35,093 01	02/13/2013	86,136 99	20,417.59	30,626 39
RUT Feb 16 2013 940 0 Call*	Sell to Close FIFO	2,000	01/15/2013	905 33	01/31/2013	1,084 66	71 73	107 60
RUT Feb 16 2013 870 0 Call*	Buy to Close FIFO	3,000	01/09/2013	146,093 01	02/13/2013	67,557 00	-31,414 40	-47,121 61
RUT Feb 16 2013 920.0 Call*	Buy to Close.FIFO	2,000	01/15/2013	6,515.34	01/31/2013	3,204 66	-1,324.27	-1,986 41
RUT Jan 18 2014 960 0 Put*	MTM Sell	1,000	12/11/2013	2,217 73	12/31/2013	310.00	-763 09	-1,144.64
RUT Jan 18 2014 970 0 Put*	MTM Sell	1,000	11/26/2013	2,097 73	12/31/2013	360.00	-695 09	-1,042 64
RUT Jan 18 2014 980 0 Put*	Short MTM Sell	1,000	12/11/2013	410 00	12/31/2013	2,942 26	1,012 90	1,519 36
RUT Jan 18 2014 990 0 Put*	Short MTM Sell	1,000	11/26/2013	460 00	12/31/2013	2,772.26	924 90	1,387.36
RUT Jan 18 2014 1000 0 Put*	MTM Sell	1,000	11/29/2013	2,297 73	12/31/2013	500 00	-719 09	-1,078 64
RUT Jan 18 2014 1020 0 Put*	Short MTM Sell	1,000	11/29/2013	670.00	12/31/2013	3,032 26	944 90	1,417 36
RUT Jan 18 2014 1220 0 Call*	Short MTM Sell	1,000	11/22/2013	550 00	12/31/2013	1,292 26	296 90	445 36
RUT Jan 18 2014 1230 0 Call*	Short MTM Sell	1,000	11/27/2013	350 00	12/31/2013	1,242 26	356 90	535 36
RUT Jan 18 2014 1240 0 Call*	MTM Sell	1,000	11/22/2013	667 73	12/31/2013	220.00	-179 09	-268 64
RUT Jan 18 2014 1250 0 Call*	MTM Sell	1,000	11/27/2013	617 73	12/31/2013	140.00	-191 09	-286 64
RUT Jan 18 2014 1215 0 Call*	Short MTM Sell	1,000	11/26/2013	740 00	12/31/2013	1,512 26	308 90	463.36
RUT Jan 18 2014 1235 0 Call*	MTM Sell	1,000	11/26/2013	787 73	12/31/2013	250.00	-215 09	-322 64
RUT Jan 19 2013 870 0 Call*	Buy to Close.FIFO	3,000	01/01/2013	38,993.01	01/09/2013	19,530 00	-7,785.20	-11,677 81
RUT Jan 19 2013 890 0 Call*	Sell to Close FIFO	3,000	01/01/2013	5,400 00	01/09/2013	8,136 99	1,094 80	1,642 19
RUT Jun 22 2013 870 0 Put*	Expire Long	1,000	05/24/2013	1,367 66	06/22/2013		-547 06	-820 60
RUT Jun 22 2013 770 0 Put*	Expire Long	900	05/01/2013	1,420 15	06/22/2013		-568 06	-852 09
RUT Jun 22 2013 770 0 Put*	Expire Long	1,100	05/01/2013	1,694.19	06/22/2013		-677 68	-1,016 51
RUT Jun 22 2013 790 0 Put*	Expire Short	900	05/01/2013	0 00	06/22/2013	2,009 84	803 94	1,205.90
RUT Jun 22 2013 790 0 Put*	Expire Short	1,100	05/01/2013	0 00	06/22/2013	2,463 81	985 52	1,478 29
RUT Jun 22 2013 890.0 Put*	Expire Short	1,000	05/24/2013	0 00	06/22/2013	2,152 33	860.93	1,291 40
RUT Jun 22 2013 1010 0 Call*	Expire Short	2,000	04/29/2013	0 00	06/22/2013	3,144 66	1,257 86	1,886 80
RUT Jun 22 2013 1030 0 Call*	Expire Long	2,000	04/29/2013	1,385 33	06/22/2013		-554 13	-831 20
RUT Mar 16 2013 870 0 Call*	Cash Settled Assign FIFO	3,000	02/13/2013	250,759 99	03/15/2013	152,127 00	-39,453 20	-59,179 79
RUT Mar 16 2013 890 0 Call*	Cash Settled Exercise.FIFO	3,000	02/13/2013	101,573 01	03/15/2013	190,720 01	35,658 80	53,488 20
RUT Mar 16 2013 950.0 Call*	Buy to Close.FIFO	1,000	01/17/2013	1,817 66	03/11/2013	2,062 33	97 87	146 80
RUT Mar 16 2013 960 0 Call*	Buy to Close FIFO	1,000	01/17/2013	367 66	03/11/2013	1,362 33	397 87	596 80
RUT Mar 16 2013 970 0 Call*	Expire Long	1,000	01/17/2013	737 66	03/18/2013		-295 06	-442 60
RUT Mar 16 2013 980 0 Call*	Expire Long	1,000	01/17/2013	487 66	03/18/2013		-195 06	-292 60
RUT Mar 16 2013 935 0 Call*	Buy to Close FIFO	2,000	01/31/2013	18,645 33	03/11/2013	10,674 67	-3,188.26	-4,782.40
RUT Mar 16 2013 955 0 Call*	Sell to Close FIFO	2,000	01/31/2013	3,815 34	03/11/2013	1,664 66	-860 27	-1,290.41
RUT Mar 16 2013 975 0 Call*	Expire Short	2,000	03/11/2013	0 00	03/18/2013	44 66	17 86	26 80
RUT Mar 16 2013 995.0 Call*	Expire Long	2,000	03/11/2013	135 34	03/18/2013		-54 14	-81 20
SPXW Aug 09 2013 1700 0 Call*	Buy to Close FIFO	1,000	07/15/2013	9,007 74	07/31/2013	12,402 27	1,357 81	2,036 72
SPXW Aug 09 2013 1710.0 Call*	Expire Short	2,000	07/15/2013	0 00	08/09/2013	17,574.53	7,029 81	10,544 72
SPXW Aug 09 2013 1720 0 Call*	Sell to Close FIFO	1,000	07/15/2013	6,137 74	07/31/2013	2,692 26	-1,378.19	-2,067.29
SPXW Aug 09 2013 1730.0 Call*	Expire Long	2,000	07/15/2013	8,215 48	08/09/2013		-3,286 19	-4,929 29
SPXW Jan 04 2013 1300 0 Put*	Expire Long	2,000	01/01/2013	600 00	01/07/2013		-240 00	-360 00
SPXW Jan 04 2013 1320 0 Put*	Expire Short	2,000	01/01/2013	0 00	01/07/2013	1,000 00	400 00	600 00
SPXW Jul 26 2013 1680.0 Call*	Buy to Close FIFO	1,000	06/26/2013	15,537 74	07/15/2013	1,992 26	-5,418 19	-8,127.29
SPXW Jul 26 2013 1690 0 Call*	Buy to Close FIFO	2,000	07/03/2013	20,315 48	07/15/2013	2,584 52	-7,092 38	-10,638 58
SPXW Jul 26 2013 1700.0 Call*	Sell to Close.FIFO	1,000	06/26/2013	867 73	07/15/2013	6,282 26	2,165 81	3,248 72
SPXW Jul 26 2013 1710 0 Call*	Sell to Close FIFO	2,000	07/03/2013	1,125 47	07/15/2013	7,184 52	2,423 62	3,635 43
SPXW Nov 22 2013 1815 0 Call*	Expire Short	500	11/14/2013	0 00	11/22/2013	711.14	284 46	426 68
SPXW Nov 22 2013 1815 0 Call*	Expire Short	500	11/14/2013	0 00	11/22/2013	711.14	284 46	426 68
SPXW Nov 22 2013 1815 0 Call*	Expire Short	500	11/14/2013	0 00	11/22/2013	711 14	284 46	426 68
SPXW Nov 22 2013 1815 0 Call*	Expire Short	500	11/14/2013	0.00	11/22/2013	711.14	284 46	426 68

Security	Trans type	Qty	Open date	Cost	Close date	Proceeds	ST gain($)	LT gain($)
SPXW Nov 22 2013 1835 0 Call*	Expire Long	500	11/14/2013	203 87	11/22/2013		-81.55	-122 32
SPXW Nov 22 2013 1835 0 Call*	Expire Long	500	11/14/2013	203 87	11/22/2013		-81 55	-122 32
SPXW Nov 22 2013 1835 0 Call*	Expire Long	500	11/14/2013	203 87	11/22/2013		-81 55	-122 32
SPXW Nov 22 2013 1835 0 Call*	Expire Long	500	11/14/2013	203 87	11/22/2013		-81.55	-122 32
SPX Apr 20 2013 1625 0 Call*	Sell to Close FIFO	2,000	02/28/2013	1,125.33	04/11/2013	1,484 66	143 73	215 60
SPX Apr 20 2013 1590.0 Call*	Buy to Close FIFO	2,000	02/01/2013	27,195 34	04/11/2013	7,864 66	-7,732.27	-11,598 41
SPX Apr 20 2013 1610 0 Call*	Sell to Close FIFO	2,000	02/01/2013	4,205 33	04/11/2013	7,004 66	1,119 73	1,679 60
SPX Apr 20 2013 1595 0 Call*	Buy to Close FIFO	2,000	02/14/2013	20,715 34	04/11/2013	5,424 66	-6,116 27	-9,174 41
SPX Apr 20 2013 1605 0 Call*	Buy to Close FIFO	2,000	02/28/2013	9,415 34	04/11/2013	2,584 66	-2,732 27	-4,098.41
SPX Apr 20 2013 1615 0 Call*	Sell to Close FIFO	2,000	02/14/2013	2,765 33	04/11/2013	4,584 66	727 73	1,091 60
SPX Aug 17 2013 1560 0 Put*	Expire Long	2,000	07/15/2013	5,705.47	08/17/2013		-2,282 19	-3,423 28
SPX Aug 17 2013 1580 0 Put*	Expire Short	2,000	07/15/2013	0 00	08/17/2013	7,764 52	3,105 81	4,658 71
SPX Aug 17 2013 1710 0 Call*	Expire Short	1,000	07/31/2013	0.00	08/17/2013	6,982.27	2,792 91	4,189 36
SPX Aug 17 2013 1730 0 Call*	Expire Long	1,000	07/31/2013	2,407 74	08/17/2013		-963 10	-1,444 64
SPX Dec 21 2013 1600 0 Put*	Expire Long	1,000	10/31/2013	3,847 73	12/21/2013		-1,539.09	-2,308.64
SPX Dec 21 2013 1660 0 Put*	Expire Long	1,500	12/04/2013	3,996 60	12/21/2013		-1,598 64	-2,397 96
SPX Dec 21 2013 1680 0 Put*	Expire Short	1,500	12/04/2013	0 00	12/21/2013	5,013 39	2,005 36	3,008 03
SPX Dec 21 2013 1620 0 Put*	Expire Short	1,000	10/31/2013	0.00	12/21/2013	4,722 26	1,888 90	2,833 36
SPX Dec 21 2013 1820 0 Call*	Buy to Close FIFO	1,000	10/28/2013	957 74	12/18/2013	7,182 27	2,489 81	3,734 72
SPX Dec 21 2013 1840.0 Call*	Sell to Close FIFO	1,000	10/28/2013	4,057.74	12/18/2013	182.27	-1,550.19	-2,325 28
SPX Dec 21 2013 1870 0 Call*	Expire Short	500	11/14/2013	0 00	12/21/2013	596 13	238 45	357 68
SPX Dec 21 2013 1870 0 Call*	Expire Short	500	11/14/2013	0 00	12/21/2013	596 13	238 45	357 68
SPX Dec 21 2013 1870 0 Call*	Expire Short	500	11/14/2013	0 00	12/21/2013	596 13	238 45	357 68
SPX Dec 21 2013 1870 0 Call*	Expire Short	500	11/14/2013	0 00	12/21/2013	596 13	238 45	357 68
SPX Dec 21 2013 1890 0 Call*	Expire Long	500	11/14/2013	288 86	12/21/2013		-115 54	-173 32
SPX Dec 21 2013 1890 0 Call*	Expire Long	500	11/14/2013	288 86	12/21/2013		-115 54	-173 32
SPX Dec 21 2013 1890.0 Call*	Expire Long	500	11/14/2013	288 86	12/21/2013		-115.54	-173 32
SPX Dec 21 2013 1890 0 Call*	Expire Long	500	11/14/2013	288 86	12/21/2013		-115 54	-173 32
SPX Feb 16 2013 1520 0 Call*	Buy to Close.FIFO	2,000	01/01/2013	11,555.34	02/08/2013	4,200 00	-2,942 14	-4,413 20
SPX Feb 16 2013 1540 0 Call*	Sell to Close FIFO	2,000	01/01/2013	1,700 00	02/08/2013	1,104 66	-238 14	-357 20
SPX Feb 16 2013 1510 0 Call*	Buy to Close FIFO	3,000	01/14/2013	24,773 01	01/31/2013	12,567 00	-4,882 40	-7,323 61
SPX Feb 16 2013 1530 0 Call*	Sell to Close FIFO	3,000	01/14/2013	4,973 01	01/31/2013	6,876 99	761 59	1,142 39
SPX Jan 18 2014 1650 0 Put*	Short MTM Sell	1,000	11/26/2013	1,000 00	12/31/2013	4,192 26	1,276 90	1,915 36
SPX Jan 18 2014 1650.0 Put*	Short MTM Sell	1,000	11/26/2013	1,000.00	12/31/2013	4,222.26	1,288 90	1,933 36
SPX Jan 18 2014 1900 0 Call*	Short MTM Sell	1,000	11/26/2013	1,300 00	12/31/2013	2,412.26	444 90	667 36
SPX Jan 18 2014 1900.0 Call*	Short MTM Sell	1,000	11/26/2013	1,300 00	12/31/2013	2,472.26	468 90	703 36
SPX Jan 18 2014 1620 0 Put*	MTM Sell	1,500	12/03/2013	6,246 60	12/31/2013	1,200 00	-2,018 64	-3,027 96
SPX Jan 18 2014 1640 0 Put*	Short MTM Sell	1,500	12/03/2013	1,200 00	12/31/2013	7,488 39	2,515 36	3,773 03
SPX Jan 18 2014 1920 0 Call*	MTM Sell	1,000	11/26/2013	1,537 73	12/31/2013	650 00	-355 09	-532 64
SPX Jan 18 2014 1920 0 Call*	MTM Sell	1,000	11/26/2013	1,447 73	12/31/2013	650 00	-319 09	-478 64
SPX Jan 18 2014 1630 0 Put*	MTM Sell	1,000	11/26/2013	3,467.73	12/31/2013	850.00	-1,047.09	-1,570 64
SPX Jan 18 2014 1630 0 Put*	MTM Sell	1,000	11/26/2013	3,497.73	12/31/2013	850 00	-1,059 09	-1,588 64
SPX Jan 18 2014 1895.0 Call*	Short MTM Sell	1,500	12/26/2013	2,250.00	12/31/2013	2,448.39	79.36	119.03
SPX Jan 18 2014 1895 0 Call*	Short MTM Sell	500	12/26/2013	750 00	12/31/2013	821 13	28 45	42 68
SPX Jan 18 2014 1915 0 Call*	MTM Sell	1,500	12/26/2013	1,206 60	12/31/2013	1,200 00	-2 64	-3 96
SPX Jan 18 2014 1915 0 Call*	MTM Sell	500	12/26/2013	413 86	12/31/2013	400 00	-5 54	-8 32
SPX Jan 19 2013 1500 0 Call*	Sell to Close FIFO	1,000	01/01/2013	800 00	01/14/2013	292.33	-203 07	-304 60
SPX Jan 19 2013 1500 0 Call*	Sell to Close FIFO	1,000	01/01/2013	800 00	01/14/2013	292.33	-203 07	-304 60
SPX Jan 19 2013 1500 0 Call*	Sell to Close FIFO	1,000	01/01/2013	800 00	01/14/2013	292 33	-203 07	-304 60
SPX Jan 19 2013 1480.0 Call*	Buy to Close.FIFO	1,000	01/01/2013	2,457 67	01/14/2013	2,550 00	36 93	55.40
SPX Jan 19 2013 1480 0 Call*	Buy to Close.FIFO	1,000	01/01/2013	2,457 67	01/14/2013	2,550 00	36 93	55 40
SPX Jan 19 2013 1480 0 Call*	Buy to Close FIFO	1,000	01/01/2013	2,457 67	01/14/2013	2,550 00	36 93	55 40
SPX Jul 20 2013 1725 0 Call*	Expire Short	1,000	06/05/2013	0 00	07/20/2013	1,302 26	520 90	781 36
SPX Jul 20 2013 1420 0 Put*	Expire Long	1,000	06/05/2013	3,617 73	07/20/2013		-1,447 09	-2,170 64
SPX Jul 20 2013 1440 0 Put*	Buy to Close FIFO	1,000	06/05/2013	167 73	07/09/2013	4,492 26	1,729 81	2,594 72

Security	Trans type	Qty	Open date	Cost	Close date	Proceeds	ST gain($)	LT gain($)
SPX Jul 20 2013 1745 0 Call*	Expire Long	1,000	06/05/2013	577 74	07/20/2013		-231 10	-346 64
SPX Jul 20 2013 1755 0 Call*	Sell to Close FIFO	1,000	06/05/2013	477 73	06/05/2013	352 27	-50 18	-75 28
SPX Jun 22 2013 1650 0 Call*	Expire Long	2,000	04/25/2013	10,415 34	06/22/2013		-4,166.14	-6,249 20
SPX Jun 22 2013 1675 0 Call*	Expire Short	2,000	05/07/2013	0 00	06/22/2013	8,414 67	3,365 87	5,048 80
SPX Jun 22 2013 1435 0 Put*	Expire Short	2,000	05/01/2013	0 00	06/22/2013	9,124 66	3,649 86	5,474 80
SPX Jun 22 2013 1630 0 Call*	Expire Short	2,000	04/25/2013	0 00	06/22/2013	19,974 67	7,989 87	11,984 80
SPX Jun 22 2013 1695 0 Call*	Expire Long	2,000	05/07/2013	4,055 34	06/22/2013		-1,622 14	-2,433 20
SPX Jun 22 2013 1615.0 Call*	Expire Short	2,000	04/25/2013	0 00	06/22/2013	31,374 67	12,549 87	18,824 80
SPX Jun 22 2013 1635 0 Call*	Expire Long	2,000	04/25/2013	17,815 34	06/22/2013		-7,126 14	-10,689 20
SPX Jun 22 2013 1645 0 Call*	Expire Short	2,000	05/03/2013	0 00	06/22/2013	24,194 67	9,677 87	14,516 80
SPX Jun 22 2013 1665 0 Call*	Expire Long	2,000	05/03/2013	12,795 34	06/22/2013		-5,118 14	-7,677 20
SPX Jun 22 2013 1415 0 Put*	Expire Long	2,000	05/01/2013	7,265.33	06/22/2013		-2,906 13	-4,359 20
SPX Mar 16 2013 1350.0 Put*	Expire Long	2,000	02/25/2013	5,245.33	03/18/2013		-2,098.13	-3,147.20
SPX Mar 16 2013 1600 0 Call*	Expire Long	2,000	02/01/2013	1,325.33	03/18/2013		-530 13	-795 20
SPX Mar 16 2013 1340.0 Put*	Expire Long	3,000	02/07/2013	6,333 00	03/18/2013		-2,533 20	-3,799.80
SPX Mar 16 2013 1520 0 Call*	Buy to Close.FIFO	500	01/31/2013	15,218 84	03/08/2013	6,589 50	-3,451.74	-5,177 60
SPX Mar 16 2013 1520 0 Call*	Cash Settled Assign FIFO	2,500	01/31/2013	92,719 99	03/15/2013	32,947 50	-23,909 00	-35,863 49
SPX Mar 16 2013 1360.0 Put*	Buy to Close.FIFO	3,000	02/07/2013	483 00	03/07/2013	7,926 99	2,977 60	4,466 39
SPX Mar 16 2013 1370.0 Put*	Expire Short	2,000	02/25/2013	0 00	03/18/2013	6,904 66	2,761 86	4,142 80
SPX Mar 16 2013 1540.0 Call*	Sell to Close.FIFO	500	01/31/2013	3,323.84	03/08/2013	6,576.17	1,300.93	1,951 40
SPX Mar 16 2013 1540 0 Call*	Cash Settled Exercise FIFO	2,500	01/31/2013	16,619 17	03/15/2013	42,680 01	10,424 34	15,636 50
SPX Mar 16 2013 1535.0 Call*	Cash Settled Assign FIFO	2,000	02/08/2013	44,179 99	03/15/2013	19,874.67	-9,722.13	-14,583.19
SPX Mar 16 2013 1555 0 Call*	Cash Settled Exercise FIFO	2,000	02/08/2013	8,095 34	03/15/2013	4,140 01	-1,582 13	-2,373 20
SPX Mar 16 2013 1570 0 Call*	Buy to Close FIFO	1,000	01/17/2013	1,017 66	03/11/2013	1,832 33	325 87	488 80
SPX Mar 16 2013 1580 0 Call*	Buy to Close FIFO	2,000	02/01/2013	725 33	03/11/2013	2,784 66	823 73	1,235 60
SPX Mar 16 2013 1590 0 Call*	Expire Long	1,000	01/17/2013	907 66	03/18/2013		-363 06	-544 60
SPX May 18 2013 1600.0 Call*	Buy to Close FIFO	2,000	04/11/2013	23,115 34	04/25/2013	35,894 67	5,111 73	7,667 60
SPX May 18 2013 1625 0 Call*	Buy to Close FIFO	2,000	04/11/2013	16,575.34	05/03/2013	14,074 67	-1,000.27	-1,500 40
SPX May 18 2013 1610 0 Call*	Buy to Close FIFO	2,000	04/11/2013	14,415 34	04/25/2013	25,674 67	4,503.73	6,755 60
SPX May 18 2013 1620 0 Call*	Sell to Close FIFO	2,000	04/11/2013	18,275 34	04/25/2013	9,084 66	-3,676 27	-5,514 41
SPX May 18 2013 1630 0 Call*	Sell to Close FIFO	2,000	04/11/2013	11,515 34	04/25/2013	4,984 66	-2,612 27	-3,918 41
SPX May 18 2013 1640 0 Call*	Buy to Close FIFO	2,000	03/28/2013	5,295.34	05/07/2013	3,524 66	-708 27	-1,062 41
SPX May 18 2013 1660 0 Call*	Sell to Close FIFO	2,000	03/28/2013	1,465.33	05/07/2013	1,064 66	-160 27	-240 40
SPX May 18 2013 1645 0 Call*	Sell to Close FIFO	2,000	04/11/2013	5,815 34	05/03/2013	5,104.66	-284 27	-426 41
SPX Nov 16 2013 1800 0 Call*	Expire Short	1,000	10/16/2013	0 00	11/16/2013	1,102 26	440 90	661 36
SPX Nov 16 2013 1800 0 Call*	Expire Short	1,000	10/16/2013	0 00	11/16/2013	1,092.26	436 90	655 36
SPX Nov 16 2013 1675 0 Put*	Expire Long	500	10/31/2013	863 86	11/16/2013		-345 54	-518 32
SPX Nov 16 2013 1675 0 Put*	Expire Long	500	10/31/2013	863 86	11/16/2013		-345 54	-518 32
SPX Nov 16 2013 1820 0 Call*	Expire Long	1,000	10/16/2013	477 73	11/16/2013		-191 09	-286 64
SPX Nov 16 2013 1820 0 Call*	Expire Long	1,000	10/16/2013	467 73	11/16/2013		-187 09	-280 64
SPX Nov 16 2013 1790 0 Call*	Buy to Close FIFO	500	10/16/2013	2,303 87	10/28/2013	866 13	-575 10	-862 64
SPX Nov 16 2013 1790 0 Call*	Buy to Close FIFO	500	10/16/2013	2,303 87	10/28/2013	866 13	-575 10	-862 64
SPX Nov 16 2013 1810.0 Call*	Sell to Close FIFO	500	10/16/2013	358 86	10/28/2013	796 13	174.91	262 36
SPX Nov 16 2013 1810 0 Call*	Sell to Close FIFO	500	10/16/2013	358 86	10/28/2013	796 13	174 91	262 36
SPX Nov 16 2013 1695 0 Put*	Expire Short	500	10/31/2013	0 00	11/16/2013	1,196 13	478 45	717 68
SPX Nov 16 2013 1695 0 Put*	Expire Short	500	10/31/2013	0 00	11/16/2013	1,196 13	478 45	717 68
SPX Nov 16 2013 1795 0 Call*	Buy to Close FIFO	500	10/17/2013	653 87	11/14/2013	876.13	88 90	133 36
SPX Nov 16 2013 1795.0 Call*	Buy to Close FIFO	500	10/17/2013	628 87	11/14/2013	876.13	98 90	148.36
SPX Nov 16 2013 1795 0 Call*	Buy to Close FIFO	500	10/17/2013	628 87	11/14/2013	876.13	98 90	148 36
SPX Nov 16 2013 1795 0 Call*	Buy to Close FIFO	500	10/17/2013	628 87	11/14/2013	876 13	98 90	148 36
SPX Nov 16 2013 1815 0 Call*	Sell to Close FIFO	500	10/17/2013	411 37	11/14/2013	21 13	-156 10	-234 14
SPX Nov 16 2013 1815 0 Call*	Sell to Close FIFO	500	10/17/2013	411 37	11/14/2013	21 13	-156 10	-234 14
SPX Nov 16 2013 1815 0 Call*	Sell to Close FIFO	500	10/17/2013	411 36	11/14/2013	21 13	-156 09	-234 14
SPX Nov 16 2013 1815 0 Call*	Sell to Close FIFO	500	10/17/2013	411 37	11/14/2013	21 13	-156 10	-234 14
SPX Oct 19 2013 1750 0 Call*	Expire Short	1,000	09/04/2013	0 00	10/19/2013	1,632 26	652 90	979 36

Security	Trans type	Qty	Open date	Cost	Close date	Proceeds	ST gain($)	LT gain($)
SPX Oct 19 2013 1770 0 Call*	Expire Long	1,000	09/04/2013	807 73	10/19/2013		-323 09	-484 64
SPX Sep 21 2013 1530 0 Put*	Expire Short	2,000	08/16/2013	0 00	09/21/2013	8,444 52	3,377 81	5,066 71
SPX Sep 21 2013 1510 0 Put*	Expire Long	2,000	08/16/2013	6,485 47	09/21/2013		-2,594 19	-3,891 28
VIX Apr 17 2013 42 5 Call*	Expire Long	10,000	03/13/2013	586 69	04/18/2013		-234 68	-352 01
VIX Feb 13 2013 26 0 Call*	Expire Long	10,000	01/30/2013	586 69	02/14/2013		-234 68	-352 01
VIX Feb 13 2013 45.0 Call*	Expire Long	10,000	01/08/2013	1,086 69	02/14/2013		-434.68	-652 01
VIX Feb 13 2013 45 0 Call*	Expire Long	10,000	01/17/2013	586 69	02/14/2013		-234 68	-352 01
VIX Jan 16 2013 37 5 Call*	Expire Long	10,000	01/01/2013	1,000 00	01/17/2013		-400 00	-600 00
VIX Jan 16 2013 40 0 Call*	Expire Long	10,000	01/01/2013	500 00	01/17/2013		-200 00	-300 00
VIX Mar 20 2013 37 5 Call*	Expire Long	10,000	02/22/2013	586 69	03/21/2013		-234 68	-352 01
VIX Mar 20 2013 32 5 Call*	Expire Long	10,000	02/28/2013	586 69	03/21/2013		-234 68	-352 01
VIX May 22 2013 45 0 Call*	Expire Long	10,000	04/22/2013	586.69	05/22/2013		-234 68	-352 01
Total:				**1,327,988.33**		**1,210,155.26**	**-56,179.97**	**-61,653.10**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BD&F	2,544.	2,544.	
BT VENTURES	11.	11.	
TOTAL TO PART I, LINE 3	2,555.	2,555.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	6,892.	0.	6,892.	6,892.	
TO PART I, LINE 4	6,892.	0.	6,892.	6,892.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND ACCOUNTING	1,475.	1,475.		0.
TO FM 990-PF, PG 1, LN 16A	1,475.	1,475.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS INVESTMENT FEES	<2,422.>	<2,422.>		0.
BANK FEES	155.	155.		0.
BUSINESS SERVICES	30,000.	30,000.		0.
TO FORM 990-PF, PG 1, LN 23	27,733.	27,733.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS/OPTIONS	87,432.	122,192.
TOTAL TO FORM 990-PF, PART II, LINE 10B	87,432.	122,192.

FORM 990-PF OTHER ASSETS STATEMENT 6

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PENDING SALES RECEIVABLE	15,997.	0.	0.
TO FORM 990-PF, PART II, LINE 15	15,997.	0.	0.

FORM 990-PF PART XV - LINE 1A STATEMENT 7
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

THOMAS P. LOWE
MAGARET L. LOWE

CARIDAD CORPORATION
YEAR ENDED DECEMBER 31, 2009
FEDERAL ID# 36-3505813

STATEMENT #12
PART XV LINE 3A

RECIPIENT	RELATION	FOUNDATION STATUS	PURPOSE		AMOUNT
Abbott Northwestern Hospital	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	6,000 00
American Council on Health & Science	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
American Refugee Committee	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,000 00
ASWRV	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500 00
Baseline Club	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	300 00
Blake School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500.00
Camphill Village Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
Capital Research Center	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500.00
Cato Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500 00
Center Of The American Experiment	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	7,500 00
Chi Psi Educational Trust	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
College Possible	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000 00
Community Emergency Services	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,500 00
Eloeure Rocks	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
FIRE	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Freedom Foundation of Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500 00
Friends Of HCL	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	10,000.00
Hammer Residences	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	7,500 00
Holy Rosary Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500 00
Institute For Justice	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
International Therapy Animals	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500 00
IOCP	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500 00
LAKE MINNETONKA ASSOC	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Manhattan Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
Mental Health Association	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
MicroGrants	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	13,328 95
Minneapolis Heart Institute Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	40,000 00
Minneapolis Recreation Development, Inc	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	3,500 00
Minnetonka Center for The Arts	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	3,000 00
MPR	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Navy - Marine Corps Relief Society	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
NFT Heart Transplant Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
Oregon Institute of Science & Medicine	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Pacific Legal Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Page Education Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500.00
Park Nicollet Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500.00
People Incorporated	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000.00
PPL	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	27,500 00
Rails To Trails	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Rowland	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,500 00
Salvation Army	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000 00
SEPP	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	500.00
Sharing & Caring Hands	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500.00
Snowbird Sports Education Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000.00
St Martin's Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	2,500 00
Taxpayers League of Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Top Dog Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250 00
Trinity School At River Ridge	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	10,000 00
Tubman	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000.00
Twin Cities Public Television	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	1,000.00
Twin Cities RISE!	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	5,000 00
Volunteer Braille Services	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
We Can	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Wilderness Inquiry	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Women Venture	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Womens Foundation Of MN	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	100 00
Wounded Warrior Project	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	$	250.00
			Total	**$**	**167,228.95**

Statement 8