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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation STONE BARRETT FOUNDATION C/O PETER BARRETT		A Employer identification number 36-3461484
Number and street (or P O box number if mail is not delivered to street address) 1 S. WACKER DRIVE	Room/suite 3500	B Telephone number (312) 368-7680
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60606		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 725,281.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		23,239.	23,239.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		87,568.			
b Gross sales price for all assets on line 6a 217,974.			87,568.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		110,807.	110,807.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,250.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 3		639.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		160.	150.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		2,049.	150.		0.
25 Contributions, gifts, grants paid		46,125.			46,125.
26 Total expenses and disbursements. Add lines 24 and 25		48,174.	150.		46,125.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		62,633.			
b Net investment income (if negative, enter -0-)			110,657.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	12,878.	2,828.	2,828.
	2 Savings and temporary cash investments	63,627.	56,127.	56,127.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	624.		
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	494,969.	575,776.	666,326.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	572,098.	634,731.	725,281.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	572,098.	634,731.	
	30 Total net assets or fund balances	572,098.	634,731.	
	31 Total liabilities and net assets/fund balances	572,098.	634,731.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	572,098.
2 Enter amount from Part I, line 27a	2	62,633.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	634,731.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	634,731.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 3000 SH SUNCOR ENERGY INC	D	12/19/12	01/04/13
b 5000 SH CAPITALSOURCE INC	P	VARIOUS	07/03/13
c 500 SH CITIGROUP INC	P	12/31/09	05/23/13
d 500 SH CITIGROUP INC	P	12/31/09	06/24/13
e 396 SH CITIGROUP INC	P	12/31/09	08/01/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 101,931.		35,378.	66,553.
b 47,145.		55,783.	-8,638.
c 25,317.		14,056.	11,261.
d 22,637.		14,056.	8,581.
e 20,944.		11,133.	9,811.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			66,553.
b			-8,638.
c			11,261.
d			8,581.
e			9,811.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	87,568.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	22,714.	533,525.	.042573
2011	19,090.	531,854.	.035893
2010	24,775.	568,974.	.043543
2009	15,160.	476,066.	.031844
2008	34,475.	533,213.	.064655

2 Total of line 1, column (d)	2	.218508
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043702
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	662,111.
5 Multiply line 4 by line 3	5	28,936.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,107.
7 Add lines 5 and 6	7	30,043.
8 Enter qualifying distributions from Part XII, line 4	8	46,125.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,107.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	1,107.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,107.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	624.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	624.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	483.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u>	13	X	
14	The books are in care of ► <u>PETER BARRETT</u> Telephone no. ► <u>(312) 368-7680</u> Located at ► <u>1 S. WACKER, CHICAGO, IL</u> ZIP+4 ► <u>60606</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER BARRETT	PRES / DIR			
1 S. WACKER DR.				
CHICAGO, IL 60606	0.00	0.	0.	0.
CAROLYN BARRETT				
1 S. WACKER DR.				
CHICAGO, IL 60606	0.00	0.	0.	0.
MARY BARRETT				
1 S. WACKER DR.				
CHICAGO, IL 60606	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
	All other program-related investments. See instructions.	
3		

Total. Add lines 1 through 3

0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	604,152.
b	Average of monthly cash balances	1b	67,730.
c	Fair market value of all other assets	1c	312.
d	Total (add lines 1a, b, and c)	1d	672,194.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	672,194.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,083.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	662,111.
6	Minimum investment return. Enter 5% of line 5	6	33,106.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	33,106.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,107.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,107.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,999.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,999.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,999.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	46,125.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	46,125.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,107.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,018.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				31,999.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	5,619.			
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	5,619.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	46,125.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount	14,126.			31,999.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	19,745.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	5,619.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	14,126.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	14,126.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a**

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- Subtract line 2d from line 2c

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b. The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	23,239.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	87,568.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		110,807.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	110,807.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB & CO.	23,239.	0.	23,239.	23,239.	
TO PART I, LINE 4	23,239.	0.	23,239.	23,239.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STEINBERG ADVISORS	1,250.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,250.	0.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE OF ILLINOIS	15.	0.		0.
FEDERAL EXCISE TAX	624.	0.		0.
TO FORM 990-PF, PG 1, LN 18	639.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY INVESTMENT FEES	150.	150.		0.
FILING FEE	10.	0.		0.
TO FORM 990-PF, PG 1, LN 23	160.	150.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS	575,776.	666,326.
TOTAL TO FORM 990-PF, PART II, LINE 10B	575,776.	666,326.

Morgan Stanley

CLIENT STATEMENT

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Active Assets Account: STONE BARRETT FOUNDATION
 Fiscal Review Ending 12/31/13
 35808765755 SOUTH WACKER DR

CONTRIBUTIONS (CODE C)

Date Written	Date Paid	Check Number	Activity Type	Payee	Expense Category	Credits/(Debits)
12/17/12	1/7/13	2718	Check	LINCOLN PARK ZOO	Contributions (Code C)	\$10,000.00
12/24/12	1/2/13	2719	Check	UNIV CLUB FDN	Contributions (Code C)	(250.00)
12/31/12	1/7/13	2720	Check	MIDWEST PALLIATIVE & HOSPICE	Contributions (Code C)	(100.00)
1/3/13	2/4/13	2721	Check	GOLDMAN ADAPTIVE SAILING	Contributions (Code C)	(100.00)
1/28/13	1/31/13	2722	Check	WTTW CHANNEL 11	Contributions (Code C)	(100.00)
1/28/13	2/11/13	2723	Check	TAX FDN	Contributions (Code C)	(250.00)
2/2/13	2/13/13	2724	Check	U OF PENN NEWCOMB	Contributions (Code C)	(1,000.00)
7/15/12	2/25/13	2725	Check	WOUNDED WARRIOR PROJECT	Contributions (Code C)	(100.00)
2/19/13	2/25/13	2727	Check	CHGO SYMPHONY ORCHESTRA	Contributions (Code C)	(3,500.00)
2/21/13	3/18/13	2728	Check	MACKINAC ISLAND MED CENTER	Contributions (Code C)	(100.00)
3/6/13	3/14/13	2729	Check	HEARTLAND INSTITUTE	Contributions (Code C)	(250.00)
3/6/13	4/11/13	2730	Check	CHICAGO SYMPHONY ORCHESTRA	Contributions (Code C)	(100.00)
3/9/13	4/24/13	2731	Check	ST BALDRICKS FDN	Contributions (Code C)	(50.00)
3/19/13	4/17/13	2732	Check	EVANSTON HOSPITAL	Contributions (Code C)	(100.00)
3/20/13	5/7/13	2733	Check	CHICAGO SEA SCOUTS	Contributions (Code C)	(100.00)
4/3/13	4/19/13	2734	Check	RUFFED GROUSE SOCIETY	Contributions (Code C)	(275.00)
4/3/13	4/11/13	2735	Check	IL POLICY INST	Contributions (Code C)	(250.00)
4/3/13	4/12/13	2736	Check	STATE POLICY NETWORKS	Contributions (Code C)	(250.00)
4/3/13	4/11/13	2737	Check	FRIEDMAN FDN	Contributions (Code C)	(250.00)
4/3/13	4/11/13	2738	Check	FIRE	Contributions (Code C)	(250.00)
5/6/13	5/20/13	2739	Check	RAMPANT LION	Contributions (Code C)	(200.00)
6/8/13	6/14/13	2741	Check	PAWS CHICAGO	Contributions (Code C)	(250.00)
6/8/13	6/25/13	2742	Check	RAMPART LION	Contributions (Code C)	(500.00)
6/8/13	6/28/13	2743	Check	INSTITUTE FOR JUSTICE	Contributions (Code C)	(500.00)
6/8/13	6/18/13	2744	Check	NORTHWESTERN LAW SCHOOL	Contributions (Code C)	(1,000.00)
6/8/13	7/16/13	2745	Check	CHARITY NAVIGATOR	Contributions (Code C)	(100.00)
6/11/13	6/21/13	2746	Check	PENN SUZETTE	Contributions (Code C)	(100.00)
6/15/13	6/20/13	2747	Check	WFMT	Contributions (Code C)	(100.00)
6/15/13	6/19/13	2748	Check	CHICAGO HISTORICAL SOC	Contributions (Code C)	(100.00)
6/18/13	8/14/13	2750	Check	PAYEE DETAIL NOT AVAILABLE	Contributions (Code C)	(250.00)
6/18/13	6/25/13	2752	Check	LATIN SCHOOL	Contributions (Code C)	(1,000.00)
8/24/13	9/9/13	2754	Check	PARKINSON DISEASE ASSN	Contributions (Code C)	(100.00)
9/13/13	9/19/13	2755	Check	LLK FDN	Contributions (Code C)	(250.00)
10/5/13	10/29/13	2756	Check	METROPOLITAN MUSEUM	Contributions (Code C)	(200.00)
10/5/13	10/9/13	2757	Check	IL POLICY INSTITUTE	Contributions (Code C)	(250.00)
10/5/13	10/21/13	2758	Check	FUND FOR AMERICAN STUDIES	Contributions (Code C)	(250.00)
10/23/13	10/31/13	2759	Check	CHGO YACHT CLUB FDN	Contributions (Code C)	(100.00)
11/16/13	12/12/13	2763	Check	CFACT	Contributions (Code C)	(250.00)
11/16/13	12/12/13	2763	Check	CYC FOUNDATION	Contributions (Code C)	(250.00)
11/16/13	11/22/13	2764	Check	UNIV CLUB FDN	Contributions (Code C)	(100.00)
11/16/13	11/21/13	2765	Check	SHEDD AQUARIUM	Contributions (Code C)	(1,500.00)



Morgan Stanley

CLIENT STATEMENT

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STONEBARRETT FOUNDATION
1 SOUTH WACKER DR
CHICAGO, IL 60606-5545
Fiscal Review Ending 12/31/13

DEBIT CARD/CHECK ACTIVITY

CHECKS WRITTEN (CONTINUED)

BUSINESS EXPENSES

CONTRIBUTIONS (CODE C) (CONTINUED)

Date Written	Date Paid	Check Number	Activity Type	Payee	Expense Category	Credits/(Debits)
11/16/13	12/2/13	2766	Check	UNGO MARITIME SOC	Contributions (Code C)	(1,000.00)
11/14/13	11/22/13	2767	Check	COMPETITION ENTERPRISES INC	Contributions (Code C)	(250.00)
11/16/13	11/25/13	2768	Check	PAC LEGAL FDN	Contributions (Code C)	(250.00)
11/16/13	12/4/13	2769	Check	ARGO TRIBUNE HOLIDAY GIVING	Contributions (Code C)	(1,000.00)
11/19/13	12/6/13	2770	Check	WOUNDED WARRIORS	Contributions (Code C)	(1,000.00)
11/19/13	12/11/13	2771	Check	CHARITY NAVIGATOR	Contributions (Code C)	(100.00)
11/19/13	12/2/13	2772	Check	NORTHWESTERN MEMORIAL	Contributions (Code C)	(1,000.00)
11/19/13	11/27/13	2773	Check	SALVATION ARMY	Contributions (Code C)	(100.00)
11/19/13	11/22/13	2774	Check	PAWS CHICAGO	Contributions (Code C)	(100.00)
11/24/13	12/23/13	2775	Check	MENOMONEE CLUB	Contributions (Code C)	(250.00)
12/7/13	12/18/13	2776	Check	WIKIMEDIA FDN	Contributions (Code C)	(100.00)
12/18/13	12/24/13	2777	Check	WOMENS WESTERN GOLF FDN	Contributions (Code C)	(100.00)
TOTAL CONTRIBUTIONS (CODE C)						\$(29,975.00)

007002 MSGDD371

INSURANCE (CODE G)

Date Written	Date Paid	Check Number	Activity Type	Payee	Expense Category	Credits/(Debits)
10/28/13	11/12/13	2762	Check	LINCOLN PARK ZOO	Contributions (Code C)	\$(10,000.00)
TOTAL INSURANCE (CODE G)						\$(10,000.00)

MORTGAGE/RENT (CODE L)

Date Written	Date Paid	Check Number	Activity Type	Payee	Expense Category	Credits/(Debits)
10/23/13	11/11/13	2760	Check	MOMA	Contributions (Code C)	\$(100.00)
TOTAL MORTGAGE/RENT (CODE L)						\$(100.00)

2/22/13	2726	Check	PAWS CHICAGO			\$(100.00)
7/49	Check	LINCOLN PARK CONSERVANCY N PON				(2,500.00)
12/18	17	Check	CHICAGO CTR FOR FAM HEALTH			\$(100.00)
12/18	17	Check	STATE POLICY NETWORK			(250.00)
12/20	1/8	Check	CLIFF DWELLERS ARTS FDN			(100.00)
12/25	1/11	Check	LINCOLN PARK ZOO			(3,000.00)

TOTAL

46,125