efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491148006064

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
DAVID D & MARY F GRUMHAUS FUND

A Employer identification number
36-3225807

Number and street (or P O box number if mail is not delivered to street address) 222 E WISCONSIN STE 209 | Room/suite

B Telephone number (see instructions)
(847) 234-4475

City or town, state or province, country, and ZIP or foreign postal code
LAKE FOREST, IL 60045

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 2,076,105

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	64	64		
	4	Dividends and interest from securities	39,255	39,255		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	13,676			
	b	Gross sales price for all assets on line 6a 493,681				
	7	Capital gain net income (from Part IV, line 2)		13,676		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	497			
	12	**Total.** Add lines 1 through 11	53,492	52,995		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,000			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,024			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,840	6,840		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	9,864	6,840		0
	25	Contributions, gifts, grants paid	79,175			79,175
	26	**Total expenses and disbursements.** Add lines 24 and 25	89,039	6,840		79,175
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-35,547			
	b	**Net investment income** (if negative, enter -0-)		46,155		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	218,082	156,061	151,061
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,064,791	1,052,467	1,881,970
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)		43,074	43,074
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,282,873	1,251,602	2,076,105
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	5,422	9,698	
23	**Total liabilities** (add lines 17 through 22)	5,422	9,698	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,277,451	1,241,904	
30	**Total net assets or fund balances** (see page 17 of the instructions)	1,277,451	1,241,904	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,282,873	1,251,602	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,277,451
2	Enter amount from Part I, line 27a	2	-35,547
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,241,904
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,241,904

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,676
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	5,847

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	76,125	1,503,503	0 050632
2011	74,075	1,454,074	0 050943
2010	94,375	1,337,149	0 070579
2009	94,258	1,410,212	0 066840
2008	113,774	1,487,740	0 076474

2	**Total** of line 1, column (d)	2	0 315468
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 063094
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,980,567
5	Multiply line 4 by line 3	5	124,962
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	462
7	Add lines 5 and 6	7	125,424
8	Enter qualifying distributions from Part XII, line 4	8	79,175

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	923
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	923
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	923
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — **6a** 1,450		
b	Exempt foreign organizations—tax withheld at source — **6b**		
c	Tax paid with application for extension of time to file (Form 8868) — **6c**		
d	Backup withholding erroneously withheld — **6d**		
7	Total credits and payments Add lines 6a through 6d	7	1,450
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	4
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	523
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 523 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶DAVID D GRUMHAUS Telephone no ▶(847) 234-4475

Located at ▶222 EAST WISCONSIN SUITE 209 LAKE FOREST IL ZIP+4 ▶60045

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,863,757
b	Average of monthly cash balances	1b	146,971
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,010,728
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,010,728
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,161
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,980,567
6	**Minimum investment return.** Enter 5% of line 5	6	99,028

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	99,028
2a	Tax on investment income for 2013 from Part VI, line 5	2a	923		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	923
3	Distributable amount before adjustments Subtract line 2c from line 1			3	98,105
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	98,105
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	98,105

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	79,175
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	79,175
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	79,175

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					98,105
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2013					
a From 2008.	40,739				
b From 2009.	24,331				
c From 2010.	28,548				
d From 2011.	2,752				
e From 2012.	2,104				
f Total of lines 3a through e.		98,474			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 79,175					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					79,175
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		18,930			18,930
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		79,544			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		21,809			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		57,735			
10 Analysis of line 9					
a Excess from 2009. . . .	24,331				
b Excess from 2010. . . .	28,548				
c Excess from 2011. . . .	2,752				
d Excess from 2012. . . .	2,104				
e Excess from 2013. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DAVID D GRUMHAUS
222 E WISCONSIN AVE
LAKE FOREST,IL 60045
(847) 234-4475

b The form in which applications should be submitted and information and materials they should include

NO REQUIRED APPLICATION FORMAT

c Any submission deadlines

NO SUBMISSION DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ONLY CHARITABLE ORGANIZATIONS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				79,175
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	64	
4 Dividends and interest from securities			14	39,255	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	13,676	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a BLACKSTONE GROUP LP			14	195	
b MISCELLANEOUS INCOME			14	302	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				53,492	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 53,492

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-05-26	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▸	PTIN
ROBERT C BROWN		2014-05-27	☑	P00043841
Firm's name ▸ ROBERT C BROWN CPA			Firm's EIN ▸	
Firm's address ▸ 1401 N WESTERN AVE LAKE FOREST, IL 600451272			Phone no (847) 234-6799	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
100 AMERICAN RAILCAR INDUSTRIES	P	2013-04-23	2013-12-10
600 JOHNSON CONTROLS INC	P	2012-09-11	2013-07-19
100 BAKER HUGHES INC	P	2012-04-19	2013-10-18
200 NUCOR CORPORATION	P	2010-08-02	2013-01-18
300 BRISTOL MYERS SQUIBB CO	P	2012-05-09	2013-03-15
200 JOHNSON CONTROLS INC	P	2013-01-23	2013-07-19
200 DEERE & CO	P	2012-11-05	2013-12-20
200 NUCOR CORPORATION	P	2010-08-02	2013-01-18
200 BROADCOM CORP	P	2012-11-29	2013-05-17
100 JOHNSON CONTROLS INC	P	2013-01-23	2013-07-19
200 JPMORGAN CHASE & CO	P	2011-01-03	2013-03-15
200 NUCOR CORPORATION	P	2010-09-28	2013-01-18
300 BROADCOM CORP	P	2012-11-29	2013-07-25
200 NORFOLK SOUTHERN CORP	P	2013-01-14	2013-03-15
300 NEW YORK COMMUNITY BANCORP	P	2012-01-04	2013-08-02
200 WALGREEN CO	P	2010-05-10	2013-01-18
300 BROADCOM CORP	P	2013-01-23	2013-07-25
100 NORFOLK SOUTHERN CORP	P	2013-01-14	2013-06-21
203 SOUTHERN COPPER INC	P	2011-02-24	2013-11-26
400 WALGREEN CO	P	2010-09-20	2013-04-17
100 CME GROUP INC	P	2013-01-04	2013-06-05
200 SEADRILL LTD	P	2013-03-18	2013-07-19
197 SOUTHERN COPPER INC	P	2012-05-09	2013-11-26
0956 WHITEWAVE FOODS CO FRAC SH	D	1996-12-13	2013-05-23
200 CME GROUP INC	P	2013-01-04	2013-06-05
100 SEADRILL LTD	P	2013-03-18	2013-09-04
400 VODAFONE GP PLC	P	2012-10-05	2013-10-08
0 557 WHITEWAVE FOODS CO FRAC SH	D	1996-12-13	2013-05-23
200CME GROUP INC	P	2013-02-07	2013-09-05
200 SEADRILL LTD	P	2013-03-18	2013-09-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
200 VODAFONE GP PLC	P	2012-10-05	2013-11-19
VARIOUS CALL OPTIONS TRANSACTIONS	P	2013-12-31	2013-12-31
100 CUMMINS INC	P	2012-11-29	2013-03-15
500 SOUTHERN COPPER CORP	P	2013-07-22	2013-11-26
200 ALLSCRIPTS HEALTHCARE SOLUTIONS	P	2005-02-09	2013-09-20
200 DEERE & CO	P	2013-03-18	2013-12-20
300 TRANSOCEAN LTD	P	2012-03-01	2013-01-11
300 ALLSCRIPTS HEALTHCARE SOLUTIONS	P	2005-03-07	2013-09-20
300 GAMESTOP CORP	P	2013-04-04	2013-07-16
100 VODAFONE GP PLC	P	2012-10-05	2013-06-11
400 BANK OF AMERICA	P	2007-02-21	2013-02-15
100 GAMESTOP CORP	P	2013-04-04	2013-07-19
100 VODAFONE GP PLC	P	2012-10-05	2013-07-19
300 BANK OF AMERICA	P	2010-10-19	2013-02-15
100 GAMESTOP CORP	P	2013-04-04	2013-08-16
400 VODAFONE GP PLC	P	2013-02-22	2013-11-19
2,500 DEAN FOODS CO	D	1996-12-13	2013-12-31
300 HOSPITALITY PPTYS TR	P	2012-06-19	2013-01-28
400 ARCELORMITTAL NY	P	2011-01-11	2013-04-04
100 MANITEX INTL INC	P	2007-02-21	2013-03-15
400 HOSPITALITY PPTYS TR	P	2012-06-19	2013-04-23
200 ARCELORMITAL NY	P	2011-03-15	2013-04-04
700 NEW YORK COMMUNITY BANCORP	P	2009-11-13	2013-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
4,120		4,045	75
22,586		16,923	5,663
5,304		4,098	1,206
8,486		8,040	446
10,575		9,885	690
7,120		6,116	1,004
18,134		17,197	937
8,747		8,040	707
7,504		6,452	1,052
3,764		3,058	706
9,772		8,780	992
8,486		7,530	956
8,044		9,732	-1,688
13,671		12,960	711
4,570		3,836	734
7,708		7,161	547
8,044		10,367	-2,323
7,415		6,480	935
4,990		8,253	-3,263
19,493		11,708	7,785
5,875		5,384	491
8,070		7,543	527
4,842		6,271	-1,429
16			16
12,738		10,768	1,970
4,280		3,772	508
14,052		11,761	2,291
10			10
13,190		11,393	1,797
9,132		7,543	1,589

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,224		5,881	1,343
32,658		48,993	-16,335
11,245		9,966	1,279
12,289		14,302	-2,013
3,066		2,268	798
18,134		18,400	-266
16,203		15,964	239
4,599		3,936	663
10,756		9,056	1,700
2,745		2,940	-195
5,012		21,500	-16,488
3,585		3,009	576
2,745		2,940	-195
3,759		3,635	124
4,595		3,028	1,567
14,447		9,989	4,458
43,074		23,702	19,372
7,785		7,123	662
4,925		13,306	-8,381
810		665	145
10,160		9,497	663
2,463		6,751	-4,288
10,664		8,058	2,606

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			75
			5,663
			1,206
			446
			690
			1,004
			937
			707
			1,052
			706
			992
			956
			-1,688
			711
			734
			547
			-2,323
			935
			-3,263
			7,785
			491
			527
			-1,429
			16
			1,970
			508
			2,291
			10
			1,797
			1,589

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,343
			-16,335
			1,279
			-2,013
			798
			-266
			239
			663
			1,700
			-195
			-16,488
			576
			-195
			124
			1,567
			4,458
			19,372
			662
			-8,381
			145
			663
			-4,288
			2,606

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARY F GRUMHAUS 197 N GREEN BAY ROAD LAKE FOREST, IL 60045	PRESIDENT 0 00	0	0	0
DAVID D GRUMHAUS 197 NORTH GREEN BAY ROAD LAKE FOREST, IL 60045	TREASURER 0 50	0	0	0
AUDREY G YOUNG 1303 SUNVIEW LANE WINNETKA, IL 60093	SECRETARY 0 00	0	0	0
WHITNEY GRUMHAUS 105 STONEYBROOK ROAD CHAPEL HILL, NC 27516	DIRECTOR 0 00	0	0	0
LISA G HAAS 21 SUNSET ROAD DARIEN, CT 06820	DIRECTOR 0 00	0	0	0
DAVID D GRUMHAUS JR 487 WALNUT ROAD LAKE FOREST, IL 60045	DIRECTOR 0 00	0	0	0
JENNIFER G DALY 23 LOEW CIRCLE MILTON, MA 02186	DIRECTOR 0 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
A SAFE PLACE PO BOX 1067 WAUKEGAN, IL 60079	NONE	509(A)(1)	CHARITABLE PURPOSES	200
ALLENDALE SHELTER OFFIELD DRIVE LAKE VILLA, IL 60046	NONE	509(A)(1)	CHARITABLE PURPOSES	200
AMERICAN CANCER SOCIETY 271 W 125TH STREET NEW YORK, NY 10027	NONE	509(A)(1)	CHARITABLE PURPOSES	300
AMERICAN RED CROSS 111 E WACKER DR CHICAGO, IL 60601	NONE	509(A)(1)	CHARITABLE PURPOSES	200
ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVE CHICAGO, IL 60603	NONE	509(A)(1)	CHARITABLE PURPOSES	200
ASHEVILLE SCHOOL 360 ASHEVILLE ROAD ASHEVILLE, NC 28806	NONE	509(A)(1)	EDUCATIONAL PURPOSES	200
BEYOND SPORTS FOUNDATION PO BOX 976 NORTHBROOK, IL 60065	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
BIG SHOULDERS 309 W WASHINGTON ST CHICAGO, IL 60606	NONE	509(A)(1)	CHARITABLE PURPOSES	2,000
BRIDGE BOSTON CHARTER SCHOOL 633 CENTRE STREET JAMAICA PLAINS, MA 02130	NONE	509(A)(1)	EDUCATIONAL PURPOSES	11,000
CHICAGO BOTANICAL GARDENS LAKE COOK ROAD HIGHLAND PARK, IL 60035	NONE	509(A)(1)	CHARITABLE PURPOSES	500
CHICAGO COMMUNITY TRUST 225 N MICHIGAN AVE 2200 CHICAGO, IL 60601	NONE	509(A)(1)	CHARITABLE PURPOSES	500
CHICAGO TRIBUNE HOLIDAY FUND TRIBUNE TOWER CHICAGO, IL 60611	NONE	509(A)(1)	CHARITABLE PURPOSES	500
CHILDRENS MEMORIAL HOSPITAL FOUNDATION 2300 CHILDRENS PLAZA CHICAGO, IL 606143394	NONE	509(A)(1)	CHARITABLE PURPOSES	10,300
CHURCH OF THE HOLY SPIRIT 400 E WESTMINSTER ROAD LAKE FOREST, IL 60045	NONE	509(A)(1)	RELIGIOUS PURPOSES	1,000
COLORADO COLLEGE 14 E CACHA LA POUDRE COLORADO SPRINGS, CO 80903	NONE	509(A)(1)	EDUCATIONAL PURPOSES	300
Total . . . . ► **3a**				79,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY FDTN OF COLLIER COUNTY 2400 TAMIAMI TRAIL NORTH NAPLES, FL 34103	NONE	509(A)(1)	CHARITABLE PURPOSES	200
COVE SCHOOL 350 LEE ROAD NORTHBROOK, IL 60062	NONE	509(A)(1)	EDUCATIONAL PURPOSES	200
DANIEL MURPHY SCHL FUND 330 S WELLS CHICAGO, IL 60606	NONE	509(A)(1)	CHARITABLE PURPOSES	8,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
DUKE UNIVERSITY PO BOX 90581 DURHAM, NC 27708	NONE	509(A)(1)	EDUCATIONAL PURPOSES	500
EARLY CHILDHOON INITIATIVE FDTN 10800 BISCAYNE BLVD MIAMI, FL 33161	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
ELAWA FARM FOUNDATION PO BOX 181 LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	200
EPIPHANY SCHOOL 154 CENTRE ST DORCHESTER, MA 02108	NONE	509(A)(1)	EDUCATIONAL PURPOSES	1,000
ETHEL WALKER SCHOOL 230 BUSHY HILL ROAD SIMSBURY, CT 06070	NONE	509(A)(1)	CHARITABLE PURPOSES	500
FOREST PARK PROJECT PO BOX 868 LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	1,500
FREEDOM GOLF ASSOC 504 BURR RIDGE GOLF CLUB BURR RIDGE, IL 60527	NONE	509(A)(1)	CHARITABLE PURPOSES	200
GLOBAL EDUCATION FUND PO BOX 548 BOULDER, CO 80306	NONE	509(A)(1)	CHARITABLE PURPOSES	250
GREAT LAKES ADAPTIVE SPORTS 400 E ILLINOIS ROAD LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	100
GRTR CHICAGO FOOD DEPSTY 4501 S TRIPP CHICAGO, IL 60632	NONE	509(A)(1)	CHARITABLE PURPOSES	500
HACKERS FOR HOPE PO BOX 545 NEW CANAAN, CT 06840	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
Total . . . . ▶ **3a**				79,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOMAN SQ COMMUNITY CTR 3517 W ARTHINGTON ST CHICAGO, IL 60624	NONE	509(A)(1)	CHARITABLE PURPOSES	100
HORIZON HOSPICE 833 W CHICAGO AVENUE CHICAGO, IL 60622	NONE	509(A)(1)	CHARITABLE PURPOSES	300
HOTCHKISS SCHOOL HOTCHKISS SCHOOL LAKEVILLE, CT 06039	NONE	509(A)(1)	EDUCATIONAL PURPOSES	500
ILLINOIS SPECIAL OLYMPICS 605 E WILLOW STREET NORMAL, IL 61761	NONE	509(A)(1)	CHARITABLE PURPOSES	200
INTERFAITH COUNCIL 110 W MAIN STREET CARRBORO, NC 27510	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
JOHN G SHEDD AQUARIUM 1200 S LAKE SHORE DRIVE CHICAGO, IL 60605	NONE	509(A)(1)	CHARITABLE PURPOSES	200
KEVIN GORTER FOUNDATION 1644 CHURCHILL COURT GREEN OAKS, IL 60048	NONE	509(A)(1)	CHARITABLE PURPOSES	250
L FOREST COUNTY DAY SCHL GREEN BAY ROAD LAKE FOREST, IL 60045	NONE	509(A)(1)	EDUCATIONAL PURPOSES	500
LAKE COUNTY COMMUNITY FUND 114 SOUTH GENESEE STREET WAUKEGAN, IL 60085	NONE	509(A)(1)	CHARITABLE PURPOSES	200
LAKE FOREST COLLEGE 555 N SHERIDAN ROAD LAKE FOREST, IL 60045	NONE	509(A)(1)	EDUCATIONAL PURPOSES	200
LAKE FOREST HOSPITAL 600 N WESTMORELAND RD LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
LAKE FOREST LIBRARY 360 E DEERPATH RD LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	100
LAKE FOREST OPEN LANDS ASSN 350 N WAUKEGAN ROAD LAKE FOREST, IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	200
LAWNDALE COMMUNITY CHURCH 3827 W OGDEN AVE CHICAGO, IL 60623	NONE	509(A)(1)	RELIGIOUS PURPOSES	200
LINCOLN PARK ZOO 2001 N CLARK ST CHICAGO, IL 60614	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
Total . . . . ▶ **3a**				79,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MERCY SHIPS PO BOX 2020 LINDALE,TX 75771	NONE	509(A)(1)	CHARITABLE PURPOSES	500
NAPLES ITALIAN AMERICAN CLUB FDTN 7035 AIRPORT ROAD N NAPLES,FL 34109	NONE	509(A)(1)	CHARITABLE PURPOSES	125
NATL DOWN SYNDROME SOC 666 BROADWAY NEW YORK,NY 10012	NONE	509(A)(1)	CHARITABLE PURPOSES	100
NATL MULTIPLE SCLEROSIS ASSN 773 THIRD AVENUE 3RD FL NEW YORK,NY 10017	NONE	509(A)(1)	CHARITABLE PURPOSES	100
NATURE CONSERVANCY 8 S MICHIGAN AVENUE CHICAGO,IL 60603	NONE	509(A)(1)	CHARITABLE PURPOSES	200
NO CHICAGO COMMUNITY PARTNERS 855 SKOKIE HIGHWAY LAKE BLUFF,IL 60044	NONE	509(A)(1)	CHARITABLE PURPOSES	6,000
NORTH SHORE CNTRY DAY SCH 310 GREEN BAY ROAD WINNETKA,IL 60093	NONE	509(A)(1)	EDUCATIONAL PURPOSES	300
NORTHERN ILINOIS FOOD BANK 273 DEARBORN COURT GENEVA,IL 60134	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
NW MEMORIAL HOSPITAL 300 E SUPERIOR ST CHICAGO,IL 60611	NONE	509(A)(1)	CHARITABLE PURPOSES	300
OLD ELM SCHOLARSHIP FDTN 800 OLD ELM ROAD LAKE FOREST,IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
OXFAM AMERICA 26 WEST STREET BOSTON,MA 02111	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
PADS CRISIS CENTER 3001 GREEN BAY ROAD NORTH CHICAGO,IL 60064	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
PARK SCHOOL 171 GODDARD BROOKLINE,MA 02111	NONE	509(A)(1)	CHARITABLE PURPOSES	500
PARTNERS IN HEALTH 88 COMMONWEALTH AVENUE BOSTON,MA 02215	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
PEDIATRIC AIDS 2950 31ST STREET SANTA MONICA,CA 90405	NONE	509(A)(1)	CHARITABLE PURPOSES	100
Total . . . . ▶ **3a**				79,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PRINCETON UNIVERSITY BOX 46 PRINCETON,NJ 08544	NONE	509(A)(1)	EDUCATIONAL PURPOSES	1,250
RAGDALE FOUNDATION 1260 N GREEN BAY ROAD LAKE FOREST,IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	100
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK,IL 60035	NONE	509(A)(1)	CHARITABLE PURPOSES	200
REHABILITATION INSTITUTE 345 E SUPERIOR STREET CHICAGO,IL 60611	NONE	509(A)(1)	CHARITABLE PURPOSES	500
SALVATION ARMY 5040 N PULASKI CHICAGO,IL 60630	NONE	509(A)(1)	CHARITABLE PURPOSES	500
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT,CT 06880	NONE	509(A)(1)	CHARITABLE PURPOSES	500
TEACH FOR AMERICA 820 N ORLEANS CHICAGO,IL 60610	NONE	509(A)(1)	EDUCATIONAL PURPOSES	6,000
THAYER SCHOOL 745 WASHINGTON STREET BRAINTREE,MA 02184	NONE	509(A)(1)	EDUCATIONAL PURPOSES	200
THE COMMUNITY FUND OF DARIEN 701 POST ROAD DARIEN,CT 06820	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
THE CRADLE 2049 RIDGE ROAD EVANSTON,IL 60201	NONE	509(A)(1)	CHARITABLE PURPOSES	100
THE FIRST TEE OF NE ILLINOIS 1850 TOWER ROAD GLENVIEW,IL 60025	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
THE V FOUNDATION FOR CANCER RES 106 TOWERVIEW COURT CARY,NC 27513	NONE	509(A)(1)	CHARITABLE PURPOSES	1,000
U OF CHICAGO GRAD BUS SCH 5807 S WOODLAWN AVE CHICAGO,IL 60637	NONE	509(A)(1)	EDUCATIONAL PURPOSES	200
UNITED WAY OF LFLB 400 E ILLINOIS ROAD LAKE FOREST,IL 60045	NONE	509(A)(1)	CHARITABLE PURPOSES	300
WBEZ NPR 848 E GRAND CHICAGO,IL 60603	NONE	509(A)(1)	CHARITABLE PURPOSES	100
Total . . . . ▶ **3a**				79,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WGCU PUBLIC MEDIA 10501 FGCU BLVD S FT MYERS, FL 33965	NONE	509(A)(1)	CHARITABLE PURPOSES	300
WOMEN FOR WOMEN 2000 M STREET NW STE 200 WASHINGTON, DC 20036	NONE	509(A)(1)	CHARITABLE PURPOSES	200
WORKING IN THE SCHOOLS 27 EAST MONROE ST 1400 CHICAGO, IL 60603	NONE	509(A)(1)	CHARITABLE PURPOSES	300
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD STE 30 JACKSONVILLE, FL 32256	NONE	509(A)(1)	CHARITABLE PURPOSES	1,200
WTTW CHANNEL 11 5400 N ST LOUIS CHICAGO, IL 60625	NONE	509(A)(1)	CHARITABLE PURPOSES	500
Total . . . . ▶ **3a**				79,175

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491148006064

TY 2013 Accounting Fees Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX RETURN PREP/ACCOUNTING FEES	2,000			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491148006064

TY 2013 Compensation Explanation

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Person Name	Explanation
MARY F GRUMHAUS	
DAVID D GRUMHAUS	
AUDREY G YOUNG	
WHITNEY GRUMHAUS	
LISA G HAAS	
DAVID D GRUMHAUS JR	
JENNIFER G DALY	

TY 2013 Investments Corporate Stock Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALLSTATE CORP 4,078	1	222,414
ASSOCIATED BANC CORP 975	25,300	16,965
BANK OF AMERICA 1,000	47,495	15,570
BLACKSTONE GROUP 200	6,148	6,300
CISCO SYSTEMS 1,200	24,257	26,916
CONSOLIDATED TOMOKA 1,119	15,386	40,609
DEAN FOODS NEW 1,576.50	15,473	27,100
DEAN FOODS NEW 2,424.50	23,270	42,193
DOMINION RESOURCES 1,400	51,651	90,566
DOW CHEMICAL 750	25,327	33,300
EXELON CORP 800	25,963	21,912
FURIEX PHARMACEUTICALS 166	855	6,974
GENERAL ELECTRIC 1,650	49,713	46,250
MICROSOFT 1,000	25,935	37,410
MORGAN STANLEY 3,588	1	112,520
PROCTER & GAMBLE 500	23,585	40,705
RAYTHEON 900	25,493	81,630
STARBUCKS 1,000	14,764	78,390
WHIRLPOOL 300	15,900	47,058
WHIRLPOOL 500	23,313	78,430
WHITEWAVE FOODS 1,952	16,092	44,780
WHITEWAVE FOODS 6,135	52,227	140,734
WISDOM TREE INTL LARGE CAP 1,000	45,125	51,970
ABBVIE INC 700	31,258	36,967
ALLSCRIPTS HEALTHCARE 700	9,184	10,822
AMERICAN RAILCAR 500	18,183	22,875
ARCELORMITTAL 200		
ARCELORMITTAL 400		
AT&T 700	23,646	24,612
BAKER HUGHES INC 500	21,165	27,630

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BANK OF AMERICA 400		
BANK OF AMERICA 300		
BARRICK GOLD 300	7,375	5,289
BP PLC ADS 700	31,506	34,027
BROADCOM CORP CL A 500		
BRISTOL MYERS SQUIBB 500	18,294	26,575
CENTURYLINK INC 300	12,118	9,555
CLIFFS NATURAL RESOURCES 400	15,762	10,484
CUMMINS INC 100		
DEERE & CO 200		
DOW CHEMICAL 1,000	31,641	44,400
EXELON CORP 800	28,371	21,912
HOLLYFRONTIER 300	13,523	14,907
HOSPITALITY PPTYS TR 700		
INTEL CORP 1,500	35,283	38,932
ISHARES CHINA LARGE CAP ETF 800	30,603	30,696
JOHNSON CONTROLS 600		
JPMORGAN CHASE & CO 300	13,170	17,544
MANITEX INTL INC 1,600	10,640	25,408
MICROSOFT CORP 1,000	30,998	37,410
NEW YORK COMMUNITY BANCORP 1,000		
NORDIC AMERICAN TANKERS 2,600	24,830	25,220
NUCOR CORPORATION		
ROUNDY'S 2,000	13,657	19,720
SEADRILL 500	18,857	20,540
SOUTHERN COPPER CORP 203		
SOUTHERN COPPER CORP 197		
TRANSOCEAN LTD 300		
VEOLIA ENVIRONMENTAL 800	13,131	13,088
VODAFONE GROUP PLC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WALGREEN CO 600		
WASTE MANAGEMENT 400	17,927	17,948
WILLIAMS CO INC 900	28,071	34,713

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491148006064

TY 2013 Other Assets Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
UNSETTLED TRADE		43,074	43,074

TY 2013 Other Expenses Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	6,824	6,824		
DIVIDEND FEES	16	16		

TY 2013 Other Income Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BLACKSTONE GROUP LP	195		
MISCELLANEOUS INCOME	302		

TY 2013 Other Liabilities Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Description	Beginning of Year - Book Value	End of Year - Book Value
OPEN CALL OPTIONS	5,422	9,698

TY 2013 Taxes Schedule

Name: DAVID D & MARY F GRUMHAUS FUND

EIN: 36-3225807

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ILLINOIS CHARITABLE ORG FEES	15			
FOREIGN TAXES	7			
ILLINOIS CORPORATION FEE	20			
FEDERAL EXCISE TAX	982			