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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation DELLORA A. & LESTER J. NORRIS FOUNDATION		A Employer identification number 36-3054939
Number and street (or P.O. box number if mail is not delivered to street address) 303 E. Main St., P.O. Box 4325	Room/suite	B Telephone number (630) 584-2500
City or town, state or province, country, and ZIP or foreign postal code St. Charles, IL 60174		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 37,608,850.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,069,576.	1,069,576.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,957,536.			
b Gross sales price for all assets on line 6a 9,429,934.					
7 Capital gain net income (from Part IV, line 2)			1,957,536.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		3,027,112.	3,027,112.		
13 Compensation of officers, directors, trustees, etc.		87,500.	43,750.		43,750.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 2		71,327.	35,664.		35,663.
b Accounting fees					
c Other professional fees Stmt 3		132,597.	132,597.		0.
7 Interest					
8 Taxes Stmt 4		57,916.	2,916.		0.
9 Depreciation and depletion					
10 Occupancy					
11 Travel, conferences, and meetings		30,475.	0.		30,475.
12 Printing and publications					
13 Other expenses Stmt 5		1,597.	799.		798.
24 Total operating and administrative expenses. Add lines 13 through 23		381,412.	215,726.		110,686.
25 Contributions, gifts, grants paid		1,762,600.			1,592,600.
26 Total expenses and disbursements. Add lines 24 and 25		2,144,012.	215,726.		1,703,286.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		883,100.			
b Net investment income (if negative, enter -0-)			2,811,386.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only.

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	356,072.	29,234.	29,234.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	28,265,929.	29,475,867.	37,579,616.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	28,622,001.	29,505,101.	37,608,850.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	28,622,001.	29,505,101.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	28,622,001.	29,505,101.	
31 Total liabilities and net assets/fund balances	28,622,001.	29,505,101.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,622,001.
2 Enter amount from Part I, line 27a	2	883,100.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	29,505,101.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	29,505,101.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a State Street - Publicly Traded Securities	P		
b Frost Bank - Publicly Traded Securities	P		
c Class Action Proceeds	P		
d Capital Gains Dividends			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,011,516.		2,476,473.	535,043.
b 5,753,843.		4,995,925.	757,918.
c 2,122.			2,122.
d 662,453.			662,453.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			535,043.
b			757,918.
c			2,122.
d			662,453.
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,957,536.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,337,819.	33,237,282.	.040251
2011	1,494,925.	32,969,744.	.045342
2010	1,185,256.	30,301,393.	.039116
2009	1,339,313.	27,513,185.	.048679
2008	1,962,842.	32,349,886.	.060675

2 Total of line 1, column (d)	2	.234063
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046813
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	35,574,913.
5 Multiply line 4 by line 3	5	1,665,368.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	28,114.
7 Add lines 5 and 6	7	1,693,482.
8 Enter qualifying distributions from Part XII, line 4	8	1,703,286.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	28,114.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	28,114.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	28,114.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	71,951.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	71,951.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	43,837.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 43,837. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ Thomas Wright, Treasurer Telephone no ▶ (630) 584-2500 Located at ▶ 303 E. Main St., P.O. Box 4325, St. Charles, IL ZIP+4 ▶ 60174			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐ Yes ☒ No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(a))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? See Statement 8 ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums ona personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 7		87,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☒ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Appleton Partners - One Post Office Square, 6th Fl, Boston, MA 02109	Investment Management	66,202.
Frost Bank P.O. Box 16509, Fort Worth, TX 76162-0509	Investment Management	58,295.
State Street Bank - 1200 Crown Colony Drive, CC1-3, Quincy, MA 02169	Custody Fees	7,440.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	36,000,909.
b	Average of monthly cash balances	1b	115,754.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	36,116,663.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	36,116,663.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	541,750.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,574,913.
6	Minimum investment return. Enter 5% of line 5	6	1,778,746.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,778,746.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	28,114.
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	28,114.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,750,632.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,750,632.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,750,632.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,703,286.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,703,286.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	28,114.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,675,172.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,750,632.
2 Undistributed Income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	271,121.			
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	271,121.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	1,703,286.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,703,286.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	47,346.			47,346.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	223,775.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	223,775.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Schedule Annexed	None	Public charity		1,762,600.
Total			3a	1,762,600.
b Approved for future payment				
None				
Total			3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Secretary

May the IRS discuss this return with the preparer shown below (see instr)?

☒ X	Yes	☐	No
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**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if
self-employed

PTIN

Albert C. Rua

ALL & Re

7/9/14

P00465056

Firm's name ▶ Cummings & Lockwood LLC

Firm's EIN ▶	06-0312590
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Firm's address ► PO Box 2505

Greenwich, CT 06836

Phone no 203-869-1200

Dellora A. And Lester J. Norris Foundation
2013 Form 990-PF
EIN: 36-3054939

Part XV, Line 39. **Grants & Contributions Paid**

All Saints' Episcopal School	8200 Tumbleweed Trail Fort Worth, TX 76108	2,500.00	501(a)(1)
*American Quarter Horse Foundation	2601 1-40 East Amarillo, TX 79104	10,000.00	509(a)(3) SO-UNK
Ann & Robert H. Lurie Children's Hospital of Chicago	225 E. Chicago Avenue Chicago, IL 60611	15,000.00	509(a)(1)
Autistic Treatment Center	10503 Metric Drive Dallas, TX 75243	163,599.00	509(a)(1)
Baker Memorial United Methodist Church	307 Cedar Avenue St. Charles, IL 60174	11,001.20	509(a)(1)
Barrow Neurological Foundation	350 West Thomas Road Phoenix, AZ 85013	5,000.00	509(a)(2)
Boys Scouts of America	4510 N Second Street Saint Charles, IL 60174	20,000.00	509(a)(1)
Boys & Girls Club of Scottsdale	10533 E. Lakeview Drive Scottsdale, AZ 85258	20,000.00	509(a)(1)
Captain Jason Dahl Scholarship Fund	14142 W. Evans Circle Lakewood, CO 80228	2,500.00	509(a)(1)
Casa of Kane County	100 South Third Street, Suite 460 Geneva, IL 60134	15,000.00	509(a)(1)
Chapel of Our Savior	4th Street and Polo Drive Colorado Springs, CO 80906	2,000.00	509(a)(1)
Cheyenne Mountain Zoological Foundation	4250 Cheyenne Mountain Zoo Rd. Colorado Springs, CO 80906	2,000.00	509(a)(3) Type I
Children's Memorial Hospital	225 E. Chicago Avenue Chicago, IL 60611	15,000.00	509(a)(1)
Children's Hospital Colorado Foundation	13123 East 16th Avenue Aurora, CO 80045	35,000.00	509(a)(1)
Circus Juventas	1270 Montreal Ave. Saint Paul, MN 55116	20,000.00	509(a)(1)
Clear Brook Foundation	37 North River Street, Ste 101 Wilkes Barre, PA 18702	20,000.00	509(a)(1)
University of Illinois - College of Applied Sciences	506 South Wright Street 23B HAB, MC-356 Urbana, IL 61801	10,000.00	509(a)(1)
Colorado Golf Assoc.	5990 Greenwood Plz Blvd Ste 130 Greenwood Village, CO 80111	2,500.00	509(a)(2)
Colorado Springs Fine Arts Center	30 West Dale Street Colorado Springs, CO 80903	4,000.00	509(a)(1)
Colorado State University	102 Administration Building Fort Collins, CO 80523	5,000.00	509(a)(1)
Conservancy of Southwest Florida	1450 Merrihue Drive Naples, FL 34102	80,000.00	509(a)(1)

Crisis Nursery	2334 East Polk Street Phoenix, AZ 85006	1,000.00	509(a)(1)
**Dellora A. Norris Cultural Arts Center	1040 Dunham Road P.O. Box 2240 St. Charles, IL 60174	170,000.00	509(a)(3) (controlled)
Delnor Community Health Care Foundation	300 Randall Road Geneva, IL 60134	115,000 00	509(a)(1)
Education Foundation Of Collier County	2590 Golden Gate Pkwy, Ste 101 Naples, FL 34105	125,000.00	509(a)(1)
Elgin Academy	350 Park Street Elgin, IL 60120	10,000.00	509(a)(1)
Elgin Choral Union	1700 Spartan Drive Elgin, IL 60123	1,000.00	509(a)(1)
El Paso County Sheriff Foundation	El Paso County Finance Dept. 27 East Vermijo, 5th Floor Colorado Springs, CO 80903	5,000.00	509(a)(1)
Fishtown Preservation	PO Box 721 Leland, MI 49654	10,000.00	509(a)(1)
Fox Valley Hospice	4620 17th Street Sarasota, FL 34235	5,000.00	509(a)(1)
Golisano Children's Museum of Naples	15080 Livingston Road Naples, FL 34109	47,500 00	509(a)(1)
Goodwill Industries of Colorado Springs	2320 West Colorado Avenue P.O. Box 6300 Colorado Springs, CO 80934	1,500.00	509(a)(2)
Grand Traverse Bay YMCA	3000 Racquet Club Drive Traverse City, MI 49684	5,000.00	509(a)(1)
Greater Naples YMCA	5450 YMCA Road Naples, FL 34109	15,000.00	509(a)(2)
High Point University	833 Montileu Ave High Point, NC 27262	2,500.00	509(a)(1)
Hodges University, Inc.	2655 Northbrooke Drive Naples, FL 34119	125,000.00	509(a)(1)
Humane Society of Pikes Peak Region	610 Abbot Lane Colorado Springs, CO 80905	3,000.00	509(a)(1)
Jake Clifton T-Cross Ranches Memorial Fund	400 Totten Road Pueblo, CO 81008	5,000.00	509(a)(1)
John B. Norris Recreation Center	1050 Durham Road St. Charles, IL 60174	5,000.00	509(a)(2)
Juvenile Diabetes Research Foundation	3710 Sinton Road, Suite 220 Colorado Springs, CO 80907	10,000 00	509(a)(1)
Kentucky Horse Park Foundation	4089 Iron Works Pkwy Lexington, KY 40511	5,000.00	509(a)(1)
Lake Forest Academy	1500 West Kennedy Road Lake Forest, IL 60045	10,000.00	509(a)(1)
Lazarus House	PO Box 1007 Leland, MI 49654	20,000.00	509(a)(2)
Leelanau Conservancy	PO Box 1007 Leland, MI 49654	50,000.00	509(a)(1)
Living Well Cancer Resource Center	300 Randall Road Geneva, IL 60134	10,000.00	509(a)(1)

Mayo Clinic Arizona, Dept. of Development	13400 East Shea Boulevard Scottsdale, AZ 85259	10,000.00	509(a)(1)
Minnesota Life College	7501 Logan Avenue So. 2A Richfield, MN 55423	5,000.00	509(a)(2)
Naples Backyard History, Inc.	P.O. Box 2149 Naples, FL 34106	25,000.00	509(a)(1)
National Cowboy & Western Heritage Museum	1700 Northeast 63rd Street Oklahoma City, OK 73111	38,000.00	509(a)(2)
*National Cutting Horse Association Foundation	260 Bailey Avenue Fort Worth, TX 76107	10,000.00	509(a)(3) SO-UNK
Neighborhood Health Clinic, Inc.	120 Goodlette Road North Naples, FL 34102	15,000.00	509(a)(1)
News Release Basketball	961 Sunflower Street Louisville, CO 80027	2,500.00	509(a)(1)
Penrose/St. Frances Health Care System	961 East Colorado at Center Pt. Colorado springs, CO 80903	20,000.00	509(a)(1)
Pikes Peak or Bust Rodeo Foundation	601 N. Nevada Avenue Colorado Springs, CO 80903	20,000.00	509(a)(1)
Pro Rodeo Hall of Fame & Museum Of The American Cowboy	101 Pro Rodeo Drive Colorado Springs, CO 80919	25,000.00	509(a)(1)
Red Shield Community Center, Salvation Army	180 E Ocean Blvd Long Beach, CA 90802	2,500.00	509(a)(1)
Riddles Elephant Breeding Farm & Wildlife Sanctuary	P.O. Box 715 Greenbrier, AR 72058	25,000.00	509(a)(1)
Rocky Mountain Bird Observatory	P.O. Box 1232 Brighton, CO 80601	55,000.00	509(a)(1)
Ronald McDonald House Charities of Southern Colorado	311 North Logan Colorado Springs, CO 80909	2,000.00	509(a)(1)
Seacrest Country Day School	7100 Davis Blvd. Naples, FL 34104	4,000.00	509(a)(1)
Special Angels of the Woodlands	230 Spring Hill Drive Ste 325 Spring, TX 77386	10,000.00	509(a)(1)
St. Charles Heritage Center	215 East Main Street St. Charles, IL 60174	5,000.00	509(a)(1)
St. Elizabeth Seton School	2760 52nd Terrace South West Naples, FL 34116	70,000.00	509(a)(1)
St. Matthew's House	2001 Airport Road S. Naples, FL 34112	10,000.00	509(a)(1)
Suicide Prevention Services	528 South Batavia Avenue Batavia, IL 60510	5,000.00	509(a)(1)
Montessori Children's House Grand Traverse	5363 N Long Lake Road Traverse City, MI 49684	5,000.00	509(a)(1)
The Leelanau School	PO Box 1007 Leland, MI 49654	40,000.00	509(a)(1)
Tri City Health Partnership, Inc.	318 Walnut Street St. Charles, IL 60174	5,000.00	509(a)(1)
Tricity Family Services	1120 Randall Ct. Geneva, IL 60134	10,000.00	509(a)(2)
University of Texas Foundation, Inc.	PO Box 250 Austin, TX 78767	20,000.00	509(a)(3)
University of Northern Colorado Foundation	Judy Farr Alumni Ctr, Box 20 Greeley, CO 80639	5,000.00	509(a)(1)
Valley of the Sun Hospice Assoc.	1510 East Flower Drive Phoenix, AZ 85014	1,500.00	509(a)(1)

Valley Presbyterian Church	6947 East McDonald Drive Paradise Valley, AZ 85253	3,000.00	509(a)(1)
Wabash College	P.O. Box 352 Crawfordsville, IL 47933	25,000.00	509(a)(1)
YMCA of Collier County	5450 YMCA Road Naples, FL 34109	20,000.00	509(a)(2)
YMCA of the Palms a/k/a YMCA of Collier County	5450 YMCA Road Naples, FL 34109	50,000.00	509(a)(2)
Youth Haven, Inc.	5867 Whitaker Road Naples, FL 34112	2,500.00	509(a)(2)
Total 2013 Charitable Grants Paid		1,762,600.20	

*Expenditure responsibility is being exercised and grant agreements are in place covering the initial grant year and any subsequent grants that might be made.

**Expenditure responsibility is being exercised and grant was not treated as a qualifying distribution pursuant to IRC sections 4945(d)(4)(A)(ii) and (B) and 4942(g)(4)(A)(ii).

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
BMO Private Bank	2.	0.	2.	2.	
Frost Bank	843,739.	285,723.	558,016.	558,016.	
State Street Bank	379,808.	0.	379,808.	379,808.	
State Street Bank/Bond Amort.	<60,043.>	0.	<60,043.>	<60,043.>	
TIFF Multi Asset	568,523.	376,730.	191,793.	191,793.	
To Part I, line 4	1,732,029.	662,453.	1,069,576.	1,069,576.	

Form 990-PF Legal Fees Statement 2

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Cummings & Lockwood LLC	71,327.	35,664.		35,663.
To Form 990-PF, Pg 1, ln 16a	71,327.	35,664.		35,663.

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Appleton, Investment Management fees	66,202.	66,202.		0.
State Street Custody Fees	7,440.	7,440.		0.
Harris Private Bank, Investment Management fees	660.	660.		0.
Frost Bank, Investment Management fees	58,295.	58,295.		0.
To Form 990-PF, Pg 1, ln 16c	132,597.	132,597.		0.

Form 990-PF	Taxes	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign tax withheld	2,916.	2,916.		0.
2013 Estimated excise taxes	55,000.	0.		0.
To Form 990-PF, Pg 1, ln 18	57,916.	2,916.		0.

Form 990-PF	Other Expenses	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Insurance premiums	956.	478.		478.
Postage, office supplies, Guidestar subscription	641.	321.		320.
To Form 990-PF, Pg 1, ln 23	1,597.	799.		798.

Form 990-PF	Corporate Stock	Statement	6
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Description	Book Value	Fair Market Value
Appleton Partners, Inc., Schedule Attached	10,300,440.	15,786,724.
TIFF Multi-Asset Fund	5,518,849.	5,857,052.
Frost Bank, Schedule Attached	13,656,578.	15,935,840.
Total to Form 990-PF, Part II, line 10b	29,475,867.	37,579,616.

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
CASH AND EQUIVALENTS											
	CASH				79,221.08		79,221.08	0.5		7.92	0.0
MUNICIPAL BONDS (TAXABLE)											
150,000	NEW YORK N Y CITY	08-08-12	99.82	99.85	149,769.76	96.00	143,998.50	0.9	-5,771.26	3,540.00	2.5
	TRANSITIONAL 2.360% Due 08-01-20										
	Accrued Interest						1,475.00	0.0			
					149,769.76		145,473.50	0.9	-5,771.26	3,540.00	2.5
GOVERNMENT BONDS											
75,000	FEDERAL HOME LN BKS	11-06-07	100.91	100.25	75,190.99	108.97	81,725.17	0.5	6,534.18	3,750.00	4.6
	5.000% Due 12-21-15										
65,000	FEDERAL NATL MTG ASSN	06-26-07	101.76	100.75	65,485.98	114.35	74,328.34	0.5	8,842.37	3,493.75	4.7
	5.375% Due 06-12-17										
	Accrued Interest						288.56	0.0			
					140,676.97		156,342.08	1.0	15,376.55	7,243.75	4.6
FEDERAL NATIONAL MORTGAGE ASSOCIATION											
129,654.780	FNMA PASS-THRU INT 15 YEAR	08-27-04	59.82	59.82	77,554.30	107.07	138,825.26	0.9	61,270.96	6,482.74	4.7
	5.000% Due 07-01-19										
99,196.730	GNMA PASS-THRU X SINGLE FAMILY	01-29-99	3.71	3.71	3,679.21	100.44	99,636.17	0.6	95,956.96	8,431.72	8.5
	8.500% Due 07-15-21										
	Accrued Interest						1,242.87	0.0			
					81,233.51		239,704.31	1.5	157,227.93	14,914.46	6.3
CORPORATE BONDS											
75,000	GOLDMAN SACHS GROUP INC	03-09-12	105.15	100.11	75,082.08	100.15	75,113.77	0.5	31.70	3,862.50	5.1
	5.150% Due 01-15-14										
75,000	JP MORGAN CHASE & CO	06-12-06	96.09	99.59	74,690.17	103.03	77,270.47	0.5	2,580.30	3,843.75	5.0
	5.125% Due 09-15-14										

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
50,000	CREDIT SUISSE USA INC	11-01-07	96.88	99.47	49,736.78	104.48	52,239.00	0.3	2,502.22	2,437.50	4.7
150,000	4.875% Due 01-15-15 PFIZER INC	08-16-12	112.07	105.67	158,508.20	105.67	158,497.95	1.0	-10.25	8,025.00	5.1
75,000	5.350% Due 03-15-15 GOLDMAN SACHS GROUP INC	03-08-06	97.87	99.45	74,586.04	108.22	81,163.05	0.5	6,577.01	4,012.50	4.9
150,000	5.350% Due 01-15-16 CISCO SYS INC	05-12-09	108.57	103.88	155,823.82	110.01	165,020.10	1.0	9,196.28	8,250.00	5.0
150,000	5.500% Due 02-22-16 COMCAST CORP NEW	08-22-12	115.98	110.00	164,996.27	110.44	165,658.35	1.0	662.08	8,850.00	5.3
150,000	5.900% Due 03-15-16 HEWLETT PACKARD CO	08-13-12	112.24	108.68	163,014.07	110.08	165,125.55	1.0	2,111.48	8,100.00	4.9
150,000	5.400% Due 03-01-17 TARGET CORP	03-29-12	118.19	112.10	168,152.42	112.41	168,607.80	1.1	455.38	8,062.50	4.8
150,000	5.375% Due 05-01-17 LOWES COS INC	03-11-10	112.58	106.70	160,044.42	113.95	170,924.10	1.1	10,879.68	9,150.00	5.4
150,000	6.100% Due 09-15-17 EMERSON ELEC CO	05-17-11	114.43	108.85	163,268.72	113.46	170,194.20	1.1	6,925.48	8,062.50	4.7
75,000	5.375% Due 10-15-17 JPMORGAN CHASE & CO	08-13-12	119.25	114.57	85,927.58	115.15	86,360.77	0.5	433.19	4,500.00	5.2
125,000	6.000% Due 01-15-18 AMERICAN INTL GROUP INC MTN BE	09-09-13	112.37	111.55	139,436.26	114.70	143,381.25	0.9	3,944.99	7,312.50	5.1
150,000	5.850% Due 01-16-18 GENERAL ELEC CAP CORP MTN BE	11-29-11	109.07	106.37	159,561.21	114.84	172,255.20	1.1	12,693.99	8,437.50	4.9
150,000	5.625% Due 05-01-18 BERKSHIRE HATHAWAY FIN CORP	03-20-12	117.40	112.62	168,927.63	115.02	172,534.35	1.1	3,606.72	8,100.00	4.7
150,000	5.400% Due 05-15-18 PEPSICO INC	03-08-12	117.75	112.82	169,228.16	112.17	168,258.45	1.1	-969.71	7,500.00	4.5
75,000	5.000% Due 06-01-18 AT&T INC	10-18-13	116.31	115.76	86,821.09	114.64	85,976.92	0.5	-844.16	4,350.00	5.1
	5.800% Due 02-15-19										

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
125,000	HONEYWELL INTL INC	05-30-13	117.57	115.87	144,835.91	112.36	140,454.50	0.9	-4,381.41	6,250.00	4.4
150,000	5.000% Due 02-15-19 MERCK & CO INC	05-29-13	117.83	116.21	174,316.82	113.27	169,903.50	1.1	-4,413.32	7,500.00	4.4
150,000	5.000% Due 06-30-19 PRAXAIR INC	03-25-13	116.05	114.22	171,334.53	110.77	166,155.75	1.1	-5,178.78	6,750.00	4.1
150,000	4.500% Due 08-15-19 SHELL INTERNATIONAL FIN BV	05-13-13	115.69	114.21	171,319.11	109.61	164,417.70	1.0	-6,901.41	6,450.00	3.9
150,000	4.300% Due 09-22-19 MEDTRONIC INC	02-07-12	113.98	110.96	166,446.74	109.59	164,387.70	1.0	-2,059.04	6,675.00	4.1
100,000	4.450% Due 03-15-20 JPMORGAN CHASE & CO	02-08-12	108.36	106.63	106,633.89	110.90	110,903.30	0.7	4,269.41	4,950.00	4.5
100,000	4.950% Due 03-25-20 PNC FUNDING CORP	10-24-12	115.36	113.21	113,208.63	106.91	106,908.00	0.7	-6,300.63	4,375.00	4.1
	4.375% Due 08-11-20 Accrued Interest						44,059.79	0.3			
					3,265,900.56		3,345,771.54	21.2	35,811.19	155,806.25	4.7

COMMON STOCK

Energy											
1,459,000	CHEVRONTXACO CORP COM	07-30-03	65.96	65.96	96,239.52	124.91	182,243.69	1.2	86,004.17	5,836.00	3.2
4,976,000	EXXON MOBIL CORP COM	08-25-99	47.41	47.41	235,904.54	101.20	503,571.20	3.2	267,666.66	12,539.52	2.5
3,180,000	SCHLUMBERGER LTD COM	11-18-99	29.81	29.81	94,793.83	90.11	286,549.80	1.8	191,755.97	3,975.00	1.4
3,000,000	SOUTHWESTERN ENERGY CO COM	06-02-09	44.72	44.72	134,173.50	39.33	117,990.00	0.7	-16,183.50	0.00	0.0
Total					561,111.39		1,090,354.69	6.9	529,243.30	22,350.52	2.0
Materials											
3,500,000	DU PONT E I DE NEMOURS COM	09-03-10	42.72	42.72	149,507.75	64.97	227,395.00	1.4	77,887.25	6,300.00	2.8
2,005,000	PRAXAIR INC COM	08-22-03	39.30	39.30	78,793.78	130.03	260,710.15	1.7	181,916.37	4,812.00	1.8

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
4,000,000	SCOTTS MIRACLE GRO CO	07-10-09	35.80	35.80	143,214.40	62.22	248,880.00	1.6	105,665.60	7,000.00	2.8
Total					371,515.93		736,985.15	4.7	365,469.22	18,112.00	2.5
Industrials											
2,250,000	CATERPILLAR INC DEL COM	10-27-05	55.61	55.61	125,120.14	90.81	204,322.50	1.3	79,202.36	5,400.00	2.6
4,000,000	CHICAGO BRIDGE & IRON NY REGISTRY SH	05-15-13	58.23	58.23	232,912.80	83.14	332,560.00	2.1	99,647.20	800.00	0.2
3,700,000	GENERAC HLDGS INC COM	02-27-12	26.71	26.71	98,815.53	56.64	209,568.00	1.3	110,752.47	0.00	0.0
1,520,000	GRAINGER W W INC COM	03-17-10	108.44	108.44	164,827.43	255.42	388,238.40	2.5	223,410.97	5,654.40	1.5
1,350,000	STERICYCLE INC COM	12-01-10	75.55	75.55	101,992.50	116.17	156,829.50	1.0	54,837.00	0.00	0.0
Total					723,668.40		1,291,518.40	8.2	567,850.00	11,854.40	0.9
Consumer Discretionary											
3,300,000	HOME DEPOT INC COM	04-16-13	72.31	72.31	238,639.50	82.34	271,722.00	1.7	33,082.50	5,148.00	1.9
3,630,000	MCDONALDS CORP COM	09-11-07	53.39	53.39	193,816.45	97.03	352,218.90	2.2	158,402.45	11,761.20	3.3
575,000	PRICELINE COM INC COM NEW	06-02-09	115.52	115.52	66,423.67	1,162.40	668,380.00	4.2	601,956.33	0.00	0.0
Total					498,879.62		1,292,320.90	8.2	793,441.28	16,909.20	1.3
Consumer Staples											
3,650,000	COSTCO WHSL CORP NEW COM	02-09-11	74.59	74.59	272,248.03	119.02	434,423.00	2.8	162,174.97	4,526.00	1.0
5,325,000	DUNKIN BRANDS GRP INC COM	07-03-12	34.33	34.33	182,810.98	48.20	256,665.00	1.6	73,854.02	4,047.00	1.6
3,366,000	KRAFT FOODS GROUP INC COM	11-04-10	33.60	33.60	113,111.19	53.91	181,461.06	1.1	68,349.87	7,068.60	3.9
10,100,000	MONDELEZ INTL INC CL A	11-04-10	20.87	20.87	210,773.41	35.30	356,530.00	2.3	145,756.59	5,656.00	1.6
3,000,000	PEPSICO INC COM	05-11-04	53.21	53.21	159,617.00	82.94	248,820.00	1.6	89,203.00	6,810.00	2.7
Total					938,560.61		1,477,899.06	9.4	539,338.45	28,107.60	1.9

Appleton Partners, Inc.
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Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
Health Care											
2,000,000	ABBOTT LABS COM	07-13-12	31.33	31.33	62,658.26	38.33	76,660.00	0.5	14,001.74	1,760.00	2.3
2,000,000	ABBVIE INC. COM	07-13-12	33.97	33.97	67,947.54	52.81	105,620.00	0.7	37,672.46	3,200.00	3.0
8,000,000	CERNER CORP COM	03-19-09	11.39	11.39	91,082.40	55.74	445,920.00	2.8	354,837.60	0.00	0.0
5,780,000	EXPRESS SCRIPTS HOLDING COMPANY	06-13-05	30.12	30.12	174,098.38	70.24	405,987.20	2.6	231,888.82	0.00	0.0
1,550,000	ISHARES TR	04-16-13	167.54	167.54	259,693.82	227.06	351,943.00	2.2	92,249.18	112.22	0.0
1,225,000	NASDAQ BIO INDX JOHNSON & JOHNSON COM	08-27-04	58.21	58.21	71,303.65	91.59	112,197.75	0.7	40,894.10	3,234.00	2.9
Total					726,784.05		1,498,327.95	9.5	771,543.90	8,306.22	0.6
Financials											
2,500,000	AFLAC INC COM	03-05-10	51.06	51.06	127,650.00	66.80	167,000.00	1.1	39,350.00	3,700.00	2.2
5,600,000	CITIGROUP INC COM	06-21-13	46.57	46.57	260,764.56	52.11	291,816.00	1.8	31,051.44	224.00	0.1
1,200,000	GOLDMAN SACHS GROUP COM	09-22-09	183.71	183.71	220,448.40	177.26	212,712.00	1.3	-7,736.40	2,640.00	1.2
5,825,000	J P MORGAN CHASE & CO COM	02-02-11	45.64	45.64	265,843.68	58.48	340,646.00	2.2	74,802.32	8,854.00	2.6
3,500,000	PRICE T ROWE GROUP INC COM	10-13-08	41.67	41.67	145,840.76	83.77	293,195.00	1.9	147,354.24	5,320.00	1.8
8,500,000	WELLS FARGO & CO NEW COM	02-03-12	30.71	30.71	261,019.70	45.40	385,900.00	2.4	124,880.30	10,200.00	2.6
Total					1,281,567.10		1,691,269.00	10.7	409,701.90	30,938.00	1.8
Information Technology											
975,000	APPLE INC.	04-06-06	70.42	70.42	68,659.50	561.02	546,994.50	3.5	478,335.00	11,895.00	2.2
6,500,000	CISCO SYS INC COM	11-22-06	25.81	25.81	167,753.50	22.43	145,795.00	0.9	-21,958.50	4,420.00	3.0
100,000	GOOGLE INC CL A	08-10-11	557.20	557.20	55,720.50	1,120.71	112,071.00	0.7	56,350.50	0.00	0.0
1,145,000	INTERNATIONAL BUS MACH COM	08-06-08	128.77	128.77	147,446.23	187.57	214,767.65	1.4	67,321.42	4,351.00	2.0
6,700,000	VERISIGN INC COM	08-09-13	48.80	48.80	326,957.99	59.78	400,526.00	2.5	73,568.01	0.00	0.0
2,500,000	VISA INC.	04-30-09	67.42	67.42	168,538.00	222.68	556,700.00	3.5	388,162.00	4,000.00	0.7
Total					935,075.72		1,976,854.15	12.5	1,041,778.43	24,666.00	1.2

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
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Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
Telecommunication Services											
8,800,000	VERIZON COMMUNICATIONS COM	10-27-09	27.38	27.38	240,920.12	49.14	432,432.00	2.7	191,511.88	18,656.00	4.3
					6,278,082.95		11,487,961.30	72.8	5,209,878.36	179,899.94	1.6
EXCHANGE TRADED FUNDS											
Not Classified											
1,450,000	ISHARES TR RUSSELL 2000	08-01-13	105.15	105.15	152,463.15	115.36	167,272.00	1.1	14,808.85	2,050.69	1.2
1,100,000	ISHARES TR RUSSELL MIDCAP	08-01-13	139.17	139.17	153,091.95	149.98	164,978.00	1.0	11,886.05	2,168.23	1.3
Total					305,555.10		332,250.00	2.1	26,694.90	4,218.92	1.3
					305,555.10		332,250.00	2.1	26,694.90	4,218.92	1.3
TOTAL PORTFOLIO					10,300,439.92		15,786,723.81	100.0	5,439,217.66	365,631.24	2.3

The pricing information and descriptions used in this report have been provided to us by third party vendors believed to be reliable. Cash and equivalent yields are only representative numbers for account specific funds. For specific cash yield information, refer to the custodial statement



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PORTFOLIO HOLDINGS

SHARES OR FACE VALUE	TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
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CASH & EQUIVALENTS

CASH BALANCE

INCOME
PRINCIPAL

3,276,071.89
3,276,071.89-

3,276,071.89
3,276,071.89-

0.00

0.00

CASH EQUIVALENT

600,444.85

FEDERATED PRIME OBLIG FD INSTL*
(PRINCIPAL)

600,444.85
1.00

600,444.85
1.00

120.09
0.02

TOTAL CASH & EQUIVALENTS

600,444.85

600,444.85

120.09

EQUITIES

STOCK/OPTIONS

1,717.00 ABBOTT LABORATORIES COM*

55,849.15
32.53

65,812.61
38.33

1,510.96
2.30

1,489.00 ABBVIE INC COM*

54,570.23
36.65

78,634.09
52.81

2,382.40
3.03

141.00 AMAZON COM INC*

24,101.65
170.93

56,229.39
398.79

0.00
0.00

568.00 AMC NETWORKS INC CL A COM*

37,546.22
66.10

38,686.48
68.11

0.00
0.00

2,200.00 AMERICAN INTL GROUP INC COM*

84,020.92
38.19

112,310.00
51.05

880.00
0.78

626.00 ANADARKO PETROLEUM CORP COM*

40,644.52
64.93

49,654.32
79.32

450.72
0.91

267.00 APPLE INC COM *

31,817.05
119.16

149,792.34
561.02

3,257.40
2.17

2,047.00 BAXTER INTERNATIONAL INC COM *

133,241.11
65.09

142,368.85
69.55

4,012.12
2.82

282.00 BIOGEN IDEC INC COM*

37,799.33
134.04

78,839.30
279.57

0.00
0.00

133.00 BLACKROCK INC COM*

27,745.96
208.62

42,090.51
316.47

893.76
2.12

654.00 BOEING CO COM *

48,556.14
74.24

89,264.46
136.49

1,909.68
2.14

1,040.00 BORGWARNER INC COM*

22,782.17
21.91

58,146.40
55.91

520.00
0.89



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NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
900.00	BRISTOL-MYERS SQUIBB CO COM *	37,924.56	47,835.00	1,296.00
		42.14	53.15	2.71
2,143.00	BROADRIDGE FINANCIAL SOLUTIONS INC*	50,058.47	84,691.36	1,800.12
		23.36	39.52	2.13
631.00	CAMERON INTERNATIONAL CORP COM*	31,419.99	37,563.43	0.00
		49.79	59.53	0.00
309.00	CANADIAN PACIFIC RAILWAY LTD.COM*	38,796.00	46,757.88	410.35
		125.55	151.32	0.88
1,408.00	CAPITAL ONE FINANCIAL CORP.COM*	90,148.74	107,866.88	1,689.60
		64.03	76.61	1.57
413.00	CELGENE CORP COM *	22,927.75	69,783.78	0.00
		55.52	168.97	0.00
417.00	CHEVRON CORPORATION COM*	32,344.64	52,087.47	1,668.00
		77.57	124.91	3.20
74.00	CHIPOTLE MEXICAN GRILL INC CL A COM*	21,733.32	39,425.72	0.00
		293.69	532.78	0.00
1,266.00	CINEMARK HOLDINGS INC COM*	27,338.12	42,195.78	1,266.00
		21.59	33.33	3.00
3,347.00	CISCO SYSTEM INC COM*	66,543.84	75,073.21	2,275.96
		19.88	22.43	3.03
1,340.00	COACH INC COM*	72,127.69	75,214.20	1,809.00
		53.83	56.13	2.41
1,426.00	COBALT INTL ENERGY COM*	40,960.58	23,457.70	0.00
		28.72	16.45	0.00
850.00	COGNIZANT TECH. SOLUTIONS CL A*	15,894.58	85,833.00	0.00
		18.70	100.98	0.00
6,856.00	CORNING INC COM*	89,325.59	122,173.92	2,742.40
		13.03	17.82	2.24
478.00	COSTCO WHOLESALE CORP NEW COM*	32,296.55	56,891.56	592.72
		67.57	119.02	1.04
938.00	COVIDIEN PLC*	43,843.38	63,877.80	1,200.64
		46.74	68.10	1.88
453.00	CUMMINS INC*	29,261.50	63,859.41	1,132.50
		64.59	140.97	1.77
662.00	CVS CAREMARK CORP COM *	30,194.94	47,379.34	728.20
		45.61	71.57	1.54
450.00	DEERE & CO COM *	39,310.11	41,098.50	918.00
		87.36	91.33	2.23
617.00	DISCOVERY COMMUNICATIONS INC COM A*	51,973.27	55,789.14	0.00
		84.24	90.42	0.00



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SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,370.00	DISNEY (WALT) COMPANY HOLDING CO *	80,519.48	104,668.00	1,178.20
		58.77	76.40	1.13
2,181.00	E M C CORP MASSACHUSETTS COM *	27,306.49	54,852.15	872.40
		12.52	25.15	1.59
1,319.00	EATON CORP PLC*	86,699.75	100,402.28	2,215.92
		65.73	76.12	2.21
890.00	EBAY INCORPORATED COM*	36,907.64	48,829.85	0.00
		41.47	54.86	0.00
1,617.00	ENSCO PLC CL A*	91,840.29	92,460.06	4,851.00
		56.80	57.18	5.25
321.00	EOG RESOURCES INC COM*	26,889.99	53,876.64	240.75
		83.77	167.84	0.45
7,302.00	ERICSSON AMERICAN DEPOSITARY SHS B*	82,716.23	89,376.48	2,147.77
		11.33	12.24	2.40
959.00	FACEBOOK INC COM*	36,886.69	52,408.39	0.00
		38.46	54.65	0.00
395.00	FEDEX CORP COM*	31,072.21	56,789.15	237.00
		78.66	143.77	0.42
3,677.00	FIRST NIAGARA FINL GROUP INC NEW*	29,919.93	39,049.74	1,176.64
		8.14	10.62	3.01
1,250.00	GILEAD SCIENCES INC COM*	44,561.86	93,875.00	0.00
		35.65	75.10	0.00
116.00	GOOGLE INC CL A*	71,310.50	130,002.36	0.00
		614.75	1,120.71	0.00
689.00	HOME DEPOT INC COM *	51,956.46	56,732.26	1,074.84
		75.41	82.34	1.89
460.00	HONEYWELL INTERNATIONAL INC COM*	32,054.79	42,030.20	828.00
		69.68	91.37	1.97
1,425.00	HSBC HOLDINGS PLC SPON ADR NEW*	78,010.08	78,560.25	2,850.00
		54.74	55.13	3.63
417.00	ILLUMINA INC COM*	17,140.30	46,116.03	0.00
		41.10	110.59	0.00
861.00	INVESCO LTD*	17,630.17	31,340.40	774.90
		20.48	36.40	2.47
1,645.00	JPMORGAN CHASE & CO COM*	73,801.30	96,199.60	2,500.40
		44.86	58.48	2.60
973.00	KRAFT FOODS GROUP INC COM*	46,569.68	52,454.43	2,043.30
		47.86	53.91	3.90
792.00	LAM RESEARCH CORPORATION COM*	32,993.12	43,124.40	0.00
		41.66	54.45	0.00



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SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,933.00	LOWES COS INC COM *	72,216.60	95,780.15	1,391.76
		37.36	49.55	1.45
53.00	MASTERCARD INC CL A COM*	12,679.81	44,279.38	233.20
		239.24	835.46	0.53
1,135.00	METLIFE INC COM*	43,159.26	61,199.20	1,248.50
		38.03	53.92	2.04
921.00	MICHAEL KORS HLDGS LTD*	41,411.91	74,775.99	0.00
		44.96	81.19	0.00
482.00	MONSANTO CO NEW COM*	37,712.32	56,177.10	829.04
		78.24	116.55	1.48
698.00	MOODYS CORP COM*	33,655.33	54,772.06	781.76
		48.22	78.47	1.43
1,379.00	NIELSEN HOLDINGS NV*	45,892.27	63,282.31	1,103.20
		33.28	45.89	1.74
1,272.00	NOVARTIS AG ADR*	81,767.64	102,243.36	2,617.14
		64.28	80.38	2.56
205.00	PANERA BREAD CO CL A COM*	31,936.60	36,221.45	0.00
		155.79	176.69	0.00
1,536.00	PHILIP MORRIS INT'L INC COM*	99,180.55	133,831.68	5,775.36
		64.57	87.13	4.32
230.00	PRAXAIR INC COM*	17,720.97	29,906.90	552.00
		77.05	130.03	1.85
52.00	PRICELINE COM INCORPORATED COM*	13,408.67	60,444.80	0.00
		257.86	1,162.40	0.00
1,139.00	QUALCOMM INC COM*	61,742.26	84,570.75	1,594.60
		54.21	74.25	1.89
1,525.00	RIO TINTO PLC SPON ADR*	83,057.48	86,055.75	2,580.91
		54.46	56.43	3.00
479.00	SAP AG SPONSORED ADR*	35,478.46	41,740.06	382.15
		74.07	87.14	0.92
521.00	SCHLUMBERGER LIMITED COM*	26,969.88	46,947.31	651.25
		51.77	90.11	1.39
864.00	STARBUCKS CORP COM*	11,590.62	67,728.96	898.56
		13.42	78.39	1.33
898.00	STRYKER CORP COM *	57,027.88	67,475.72	1,095.56
		63.51	75.14	1.62
1,481.00	SUNCOR ENERGY INC*	51,168.52	51,909.05	1,115.19
		34.55	35.05	2.15
1,557.00	TOTAL S.A. SPONSORED ADR*	80,378.24	95,397.39	4,156.54
		51.62	61.27	4.36



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SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,537.00	TWENTY-FIRST CENTURY FOX INC*	48,748.57	54,056.29	384.25
		31.72	35.17	0.71
1,407.00	TYCO INTERNATIONAL LTD*	51,935.00	57,743.28	900.48
		36.91	41.04	1.56
550.00	UNION PACIFIC CORP COM*	43,585.89	92,400.00	1,738.00
		79.25	168.00	1.88
736.00	VERIZON COMMUNICATIONS COM*	36,243.96	36,167.04	1,560.32
		49.24	49.14	4.31
458.00	VERTEX PHARMACEUTICALS INC COM*	37,557.32	34,029.40	0.00
		82.00	74.30	0.00
230.00	VISA INC CL A COM*	18,285.17	51,216.40	368.00
		79.50	222.68	0.72
1,041.00	WASTE CONNECTIONS INC COM*	37,094.28	45,418.83	478.86
		35.63	43.63	1.05
1,544.00	WELLS FARGO & CO NEW COM*	54,629.54	70,097.60	1,852.80
		35.38	45.40	2.64
1,256.00	ZOETIS INC COM*	39,803.52	41,058.64	361.73
		31.69	32.69	0.88
		3,765,923.55	5,400,758.35	92,988.81
OTHER EQUITY				
10,995.279	COHEN & STEERS INSTL REALTY FD*	426,878.33	448,277.52	12,215.75
		38.82	40.77	2.73
115,138.074	FROST INTERNATIONAL EQUITY FD INST*	886,758.94	1,084,600.66	0.00
		7.70	9.42	0.00
35,902.639	FROST NATURAL RESOURCES - INST FUND*	400,369.90	424,369.19	0.00
		11.15	11.82	0.00
83,118.65	FROST SMALL CAP EQUITY FUND CL I*	583,387.92	650,819.03	0.00
		7.02	7.83	0.00
14,817.942	INVESCO DEV MARKETS FUND INSTL*	474,262.35	479,656.78	6,001.27
		32.01	32.37	1.25
1,590.00	LAZARD LTD CL A COM*	50,392.41	72,058.80	1,016.80
		31.69	45.32	1.41
5,285.197	T ROWE PRICE INTL DISCOVERY FD*	192,611.47	294,596.88	3,276.82
		36.44	55.74	1.11
		3,014,661.32	3,454,378.86	22,510.64
TOTAL EQUITIES		6,780,584.87	8,855,137.21	115,499.45



Frost

BANKING
INVESTMENTS
INSURANCE

ACCOUNT NO. W00610700 STATEMENT PERIOD: 11/30/13 THRU 12/31/13 PAGE 8

NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

SHARES OR FACE VALUE				TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
FIXED INCOME						
U.S. GOVERNMENT & AGENCIES						
211,992.03	FHLMC #1N1417 FLT	1.996%	2/01/37	226,003.39	223,852.98	4,231.36
				106.61	105.59	1.89
262,435.33	FNMA POOL #AL1438 FL	3.39%	2/01/42	277,853.39	271,927.62	8,896.56
				105.87	103.62	3.27
14,485.56	FNMA POOL #696823	5.5%	3/01/18	15,001.51	15,392.36	796.71
				103.56	106.26	5.18
10,419.16	FNMA POOL #763848	6%	2/01/34	10,793.59	11,690.30	625.15
				103.59	112.20	5.35
475,528.30	FNMA REMIC 13-5 BD	2%	3/25/40	446,253.58	436,962.95	9,510.57
				93.84	91.89	2.18
20,729.42	GNMA I POOL #583296	5%	7/15/17	21,131.04	22,076.21	1,036.47
				101.94	106.50	4.69
5,851.15	GNMA I POOL #590389	5%	8/15/17	5,999.26	6,228.72	292.56
				102.53	106.45	4.70
3,671.38	GNMA I POOL #590416	5.5%	8/15/17	3,804.48	3,937.52	201.93
				103.63	107.25	5.13
				1,006,840.24	992,068.66	25,591.31
CORPORATE BONDS						
250,000.00	AMERICAN EXPRESS CREDIT ACCOUNT MASTER TRUST SERIES 2013-1 CLASS B DATED 7/25/2013 FLOATING RATE DUE 2/16/2021 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)			250,000.00 100.00	250,000.00 100.00	2,166.50 0.87
250,000.00	CIT EQUIPMENT COLLATERAL SERIES 2012-VT1 CLASS D DATED 4/25/2012 4.12% DUE 10/21/2019 (PAYS MONTHLY ON 20TH, 0 DAY DELAY)			249,938.33 99.98	250,325.00 100.13	10,300.00 4.11
275,000.00	CNH EQUIPMENT TRUST SERIES 2013-C CLASS A2 DATED 8/29/2013 0.63% DUE 1/17/2017 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)			274,999.48 100.00	275,294.25 100.11	1,732.50 0.63
250,000.00	EATON CORPORATION DATED 3/16/2009 5.95% DUE 3/20/2014			262,722.50 105.09	252,970.00 101.19	14,875.00 5.88



Frost

BANKING
INVESTMENTS
INSURANCE

ACCOUNT NO. W00610700 STATEMENT PERIOD: 11/30/13 THRU 12/31/13 PAGE 9

NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
FIXED INCOME				
270,000.00	EXETER AUTOMOBILE RECEIVABLES TRUST SERIES 2013-1A CLASS C DATED 5/9/2013 3.52% DUE 2/15/2019 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)	269,998.95 100.00	266,579.10 98.73	9,504.00 3.57
250,000.00	GENWORTH FINANCIAL INCORPORATED DATED 3/25/2011 7.625% DUE 9/24/2021	244,375.00 97.75	297,497.50 119.00	19,062.50 6.41
250,000.00	KAYNE ANDERSON MLP INVESTMENT CO SERIES 144A PRIVATE PLACEMENT DATED 8/22/2013 FLOATING RATE DUE 8/19/2016 CALLABLE	250,000.00 100.00	250,000.00 100.00	3,754.75 1.50
250,000.00	SLM CORPORATION DATED 1/28/2013 5.50% DUE 1/25/2023	248,437.50 99.37	236,152.50 94.46	13,750.00 5.82
		2,050,471.76	2,078,818.35	75,145.25
BOND FUNDS				
189,343.93	FROST TOTAL RETURN BOND FUND INSTL*	2,031,723.75 10.73	2,027,873.49 10.71	85,583.46 4.22
34,125.791	HARTFORD FLOATING RATE FUND CL I*	302,214.61 8.86	308,497.15 9.04	13,172.56 4.27
90,864.94	IVY HIGH INCOME FUND CLASS I*	678,970.10 7.47	785,073.08 8.64	58,153.56 7.41
16,720.504	MAINSTAY CONVERTIBLE FUND I*	205,327.79 12.28	287,927.08 17.22	11,236.18 3.90
		3,218,236.25	3,409,370.80	168,145.76
TOTAL FIXED INCOME		6,275,548.25	6,480,257.81	268,882.32
TOTAL PORTFOLIO		13,656,577.97	15,935,839.87	384,501.86

Form 990-PF

Part VIII - List of Officers, Directors
Trustees and Foundation Managers

Statement 7

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
Robert A. Norris 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	Director & Asst. Secretary	0.00	0.	0.
George N. Gaynor 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	Chairman & Director	0.00	0.	0.
Pamela Norris 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	President & Director	0.00	0.	0.
Phillip N. Collins 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	Director & Vice President	0.00	0.	0.
Edward F. Rodenbach Two Greenwich Plaza, P.O. Box 2505 Greenwich, CT 06830	Secretary	2.00	0.	0.
Eugene W. Butler 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	Treasurer	25.00	70,000.	0.
Thomas Wright 303 East Main Street, P.O. Box 4325 St Charles, IL 60174	Treasurer	25.00	17,500.	0.
Totals included on 990-PF, Page 6, Part VIII		87,500.	0.	0.

2013 Form 990-PF
PART VII-B, Line 5c

The Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant:

Name and Address of Grantee:	Dellora A. Norris Cultural Arts Center (FYE 6/30) 1040 Dunham Road P.O. Box 2240 St. Charles, IL 60174
Amount of the Grant:	\$50,000, \$20,000
Date of Grants:	11/18/12, 12/26/12
Purpose of Grant:	To support the operation, programs, and activities of the cultural arts center.
Amounts Expended by Grantee:	Total amount expended \$70,000
Dates of Reports Received:	First and final report received 5/27/14

The Norris Foundation has no reason to believe that grantee has diverted any of the grant funds from the purpose of the grant.

2013 Form 990-PF
PART VII-B, Line 5c

The Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant:

Name and Address of Grantee:	Dellora A. Norris Cultural Arts Center (FYE 6/30) 1040 Dunham Road P.O. Box 2240 St. Charles, IL 60174
Amount of the Grant:	\$10,000, \$25,000, \$5,000, \$30,000
Date of Grants:	1/4/13, 2/19/13, 3/12/13, 5/14/13
Purpose of Grant:	To support the operation, programs, and activities of the cultural arts center.
Amounts Expended by Grantee:	Total amount expended \$70,000
Dates of Reports Received:	First and final report received 5/27/14

The Norris Foundation has no reason to believe that grantee has diverted any of the grant funds from the purpose of the grant.

2013 Form 990-PF
PART VII-B, Line 5c

The Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant:

Name and Address of Grantee:	Dellora A. Norris Cultural Arts Center (FYE 6/30) 1040 Dunham Road P.O. Box 2240 St. Charles, IL 60174
Amount of the Grant:	\$20,000, \$15,000, \$40,000, \$10,000, \$15,000
Date of Grants:	8/2/13, 9/30/13, 11/7/13, 11/26/13, 12/12/13
Purpose of Grant:	To support the operation, programs, and activities of the cultural arts center.
Amounts Expended by Grantee:	Not yet reported
Dates of Reports Received:	No reports were due for grants received during Grantee's fiscal year ended June 30, 2014.

The Norris Foundation has no reason to believe that grantee has diverted any of the grant funds from the purpose of the grant.

2013 Form 990-PF
PART VII-B, Line 5c

The Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant:

Name and Address of Grantee:	NCHA Charities Foundation 260 Bailey Avenue Fort Worth, TX 76107
Amount of Grant:	\$10,000
Date of Grant:	4/29/2013
Purpose of Grant:	To support the grantee's educational scholarship awards program.
Amounts Expended by Grantee:	\$10,000
Dates of Reports Received:	7/2013, 4/2014

The Norris Foundation has no reason to believe that grantee has diverted any of the grant funds from the purpose of the grant.

2013 Form 990-PF
PART VII-B, Line 5c

The Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant:

Name and Address of Grantee:	American Quarter Horse Foundation 2601 I-40 East Amarillo, TX 79104
Amount of Grant:	\$10,000
Date of Grant	12/18/2013
Purpose of Grant:	To support the operation of the American Quarter Horse Hall of Fame and Museum, the educational scholarship and other charitable programs
Amounts Expended by Grantee:	\$10,000 added to the Endowment Fund
Dates of Reports Received:	7/2012, 7/2013, 4/2014

The Norris Foundation has no reason to believe that grantee has diverted any of the grant funds from the purpose of the grant.

Appleton Partners, Inc.
REALIZED GAINS AND LOSSES
A1036 - NORRIS FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Original Cost	Adjusted Basis	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-01-12	03-25-13	150,000	AMERICAN EXPRESS CR CORP MTNBE 5 125% Due 08-25-14	164,400.00	158,272.64	159,442.50		1,169.86
11-22-10	04-12-13	150,000	EXELON GENERATION CO LLC 6 200% Due 10-01-17	173,641.50	166,034.44	176,278.50		10,244.06
02-28-12	04-16-13	3,000,000	ISHARES TR 1-3 YR TRS BD	253,458.90	253,458.90	253,224.32		-234.58
05-29-12	04-16-13	550,000	ISHARES TR 1-3 YR TRS BD	46,465.60	46,465.60	46,424.46		
07-07-10	05-13-13	100,000	SHELL INTERNATIONAL FIN BV 3.250% Due 09-22-15	102,524.00	101,186.42	106,414.00	-41.14	5,227.58
07-21-09	05-14-13	2,223,000	BHP BILLITON LTD SPONSORED ADR 4.750% Due 03-01-15	131,668.29	131,668.29	152,005.55		20,337.26
10-29-08	05-29-13	75,000	MERCK & CO INC 4.750% Due 03-01-15	69,922.50	73,405.34	80,503.50		7,098.16
11-06-07	05-30-13	20,000	FEDERAL HOME LN BKS 5 000% Due 12-21-15	20,182.20	20,065.25	22,258.40		2,193.15
11-05-07	05-30-13	50,000	FEDERAL HOME LN BKS 5.250% Due 09-12-14	51,457.00	50,310.15	53,142.50		2,832.35
11-05-07	05-30-13	100,000	FEDERAL HOME LN BKS 5 250% Due 09-12-14	102,914.00	100,620.30	106,285.00		5,664.70
11-06-07	05-30-13	25,000	FEDERAL HOME LN BKS 5 000% Due 12-21-15	25,227.75	25,081.56	27,843.25		2,761.69
03-17-10	06-21-13	4,000,000	TIX COS INC NEW COM	86,857.80	86,857.80	196,679.77		109,821.97
05-29-12	06-21-13	3,600,000	ISHARES TR 1-3 YR TRS BD	304,138.44	304,138.44	303,090.60		-1,047.84
07-25-96	08-01-13	4,700,000	MICROSOFT CORP COM	34,513.02	34,513.02	149,622.36		115,109.34
12-11-02	08-01-13	25,000	MICROSOFT CORP COM	683.75	683.75	795.86		112.11
04-10-03	08-01-13	125,000	MICROSOFT CORP COM	3,072.50	3,072.50	3,979.32		906.82
05-09-03	08-01-13	275,000	MICROSOFT CORP COM	7,252.02	7,252.02	8,754.50		1,502.48
05-15-03	08-01-13	300,000	MICROSOFT CORP COM	7,764.00	7,764.00	9,550.36		1,786.36
05-29-03	08-01-13	250,000	MICROSOFT CORP COM	6,137.50	6,137.50	7,958.64		1,821.14
07-29-03	08-01-13	2,100,000	MICROSOFT CORP COM	55,965.00	55,965.00	66,852.54		10,887.54
10-30-03	08-01-13	400,000	MICROSOFT CORP COM	10,488.00	10,488.00	12,733.82		2,245.82
03-17-10	08-01-13	4,750,000	TIX COS INC NEW COM	103,143.64	103,143.64	252,023.00		148,879.36
02-08-12	08-09-13	4,925,000	QUALCOMM INC COM	303,174.63	303,174.63	327,014.30		23,839.67
01-15-09	09-09-13	110,000	WALGREEN CO 5.250% Due 01-15-19	112,833.60	111,685.62	122,662.10		10,976.48
08-14-12	09-09-13	15,000	WALGREEN CO 5.250% Due 01-15-19	17,355.00	16,988.26	16,726.65		-261.61
02-20-08	10-18-13	75,000	AT&T INC 5 500% Due 02-01-18	73,826.25	74,414.36	85,496.25		11,081.89
10-27-05	11-07-13	1,190,000	CATERPILLAR INC DEL COM	59,535.70	59,535.70	100,052.02		40,516.32
08-10-11	11-07-13	1,000,000	EDWARDS LIFESCIENCES COM	64,089.80	64,089.80	63,701.69		-388.11

Appleton Partners, Inc.
REALIZED GAINS AND LOSSES
A1036 - NORRIS FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Original Cost	Adjusted Cost Basis	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-08-08	11-15-13	100,000	FEDERAL HOME LN MTG CORP 4.875% Due 11-15-13	102,983.00	100,000.00	100,000.00		
TOTAL GAINS							-41.14	537,016.12
TOTAL LOSSES				2,495,675.38	2,476,472.92	3,011,515.76	-41.14	-1,932.14
TOTAL REALIZED GAIN/LOSS								535,083.97

2013 Tax Information Statement

Page 7 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Questions?

☐ Corrected

☐ 2nd TIN notice

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

CUSIP		Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)	(Box 1h)	(Box 1i)	(Box 1j)	(Box 1k)
Short Term Sales Reported on 1099-B											
002824100	ABBOTT LABORATORIES COM*	ABT	04/16/2013	12/20/2012	9,138.15	7,944.77	0.00	0.00	1,193.38	0.00	0.00
00287Y109	ABBVIE INC COM*	ABBV	04/16/2013	12/20/2012	12,271.72	10,045.65	0.00	0.00	2,226.07	0.00	0.00
G1151C101	ACCENTURE PLC CLASS A*	ACN	01/29/2013	03/26/2012	12,025.24	11,022.36	0.00	0.00	1,002.88	0.00	0.00
168.0000	ACCENTURE PLC CLASS A*	ACN	03/22/2013	03/26/2012	14,832.14	13,187.47	0.00	0.00	1,644.67	0.00	0.00
G1151C101	ACCENTURE PLC CLASS A*	ACN	04/16/2013	11/13/2012	18,306.45	15,832.47	0.00	0.00	2,473.98	0.00	0.00
238.0000	ADOBE SYSTEMS INC COM*	ADBE	04/10/2013	Various	38,134.37	28,095.08	0.00	0.00	10,039.29	0.00	0.00
00724F101	AMERICAN INTL GROUP INC COM*	AIG	04/16/2013	01/29/2013	6,361.74	6,163.27	0.00	0.00	198.47	0.00	0.00
163.0000	AMERICAN INTL GROUP INC COM*	AIG	10/21/2013	01/29/2013	20,369.34	14,746.49	0.00	0.00	5,622.85	0.00	0.00
026874784	AMERICAN INTL GROUP INC COM*	AIG	10/21/2013	01/29/2013	20,369.34	14,746.49	0.00	0.00	5,622.85	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 8 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Questions?

☐ Corrected

☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

CUSIP		Description (Box 8)		Date of Sale or Exchange		Date of Acquisition		Stock or Other Symbol (Box 1d)		Bonds, etc		Cost or Other Basis		Wash Sale Loss Disallowed		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)	(Box 1h)	(Box 1i)	(Box 1j)	(Box 1k)	(Box 1l)	(Box 1m)	(Box 1n)	(Box 1o)	(Box 1p)	(Box 1q)	(Box 1r)	(Box 1s)	(Box 1t)	(Box 1u)
088606108	BHP BILLITON LTD-SPON ADR*																				
183.0000	03/06/2013	09/10/2012																			
088606108	BHP BILLITON LTD-SPON ADR*																				
363.0000	03/19/2013	09/10/2012																			
093671105	BLOCK H & R INC COM																				
431.0000	01/29/2013	Various																			
093671105	BLOCK H & R INC COM																				
1199.0000	02/19/2013	Various																			
093671105	BLOCK H & R INC COM																				
1641.0000	03/06/2013	Various																			
097023105	BOEING CO COM *																				
11.0000	04/16/2013	01/16/2013																			
097023105	BOEING CO COM *																				
226.0000	10/21/2013	01/16/2013																			
097023105	BOEING CO COM *																				
122.0000	11/04/2013	01/16/2013																			
11133T103	BROADRIDGE FINANCIAL SOLUTIONS INC*																				
659.0000	10/28/2013	Various																			

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 9 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ **Corrected**

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ **2nd TIN notice**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description: (Box 3)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
14149Y108	CARDINAL HEALTH INC COM*	CAH	21,099.90	19,128.84	0.00	1,971.06	0.00	0.00
482.0000	Various							
15670R107	CEPHEID INC COM*	CPHD	20,958.36	20,663.23	0.00	295.13	0.00	0.00
536.0000	Various							
15670R107	CEPHEID INC COM*	CPHD	11,734.45	11,177.78	0.00	556.67	0.00	0.00
339.0000	Various							
15670R107	CEPHEID INC COM*	CPHD	2,770.19	2,662.13	0.00	108.06	0.00	0.00
81.0000	Various							
15670R107	CEPHEID INC COM*	CPHD	13,219.89	13,928.76	0.00	-708.87	0.00	0.00
390.0000	Various							
16115Q308	CHART INDS INC COM	GTLS	7,538.97	7,429.74	0.00	109.23	0.00	0.00
98.0000	Various							
16115Q308	CHART INDS INC COM	GTLS	8,769.66	5,056.79	0.00	3,712.87	0.00	0.00
86.0000	Various							
169656105	CHIPOTLE MEXICAN GRILL INC CL A COM*	CMG	3,751.57	3,230.63	0.00	520.94	0.00	0.00
11.0000	Various							
177376100	CITRIX SYSTEMS INC COM	CTXS	4,250.90	4,521.59	0.00	-270.69	0.00	0.00
60.0000	Various							

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2013 Tax Information Statement

Page 10 of 68
Ref: 126

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)		(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
177376100	CITRIX SYSTEMS INC COM	05/24/2013	Various	CTXS	22,712.93	26,102.85	0.00	-3,389.92	0.00
361.0000									
12572Q105	CME GROUP INC COM*	01/25/2013	03/15/2012	CME	42,400.53	42,689.72	0.00	-289.19	0.00
738.0000									
12572Q105	CME GROUP INC COM*	02/07/2013	Various	CME	39,281.56	39,360.39	0.00	-78.83	0.00
691.0000									
219350105	CORNING INC COM*	04/16/2013	12/03/2012	GLW	11,195.68	10,422.64	0.00	773.04	0.00
854.0000									
126650100	CVS CORP COM	04/16/2013	06/06/2012	CVS	7,227.99	5,714.79	0.00	1,513.20	0.00
128.0000									
244199105	DEERE & CO COM *	04/16/2013	03/04/2013	DE	166.11	174.71	0.00	-8.60	0.00
2.0000									
254687106	DISNEY (WALT) COMPANY HOLDING CO *	04/16/2013	03/19/2013	DIS	5,447.57	5,062.38	0.00	385.19	0.00
90.0000									
256677105	DOLLAR GENERAL CORP COM*	01/07/2013	06/07/2012	DG	11,065.92	12,397.78	0.00	-1,331.86	0.00
253.0000									
27579R104	EAST WEST BANCORP INC COM*	02/01/2013	Various	EWBC	11,309.27	10,538.32	0.00	770.95	0.00
477.0000									

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2013 Tax Information Statement

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FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
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Questions?

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ Corrected

☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Acquisition	Stocks or Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 16)
278642103	EBAY INCORPORATED COM*	04/16/2013	07/12/2012	EBAY	6,624.37	4,585.07	2,039.30	0.00	0.00
118.0000									
28176E108	EDWARDS LIFESCIENCES CORP COM*	11/20/2013	Various	EW	20,045.23	22,136.73	-2,091.50	0.00	0.00
319.0000									
532457108	ELI LILLY & CO COM *	04/16/2013	11/26/2012	LLY	9,065.39	7,660.58	1,404.81	0.00	0.00
160.0000									
532457108	ELI LILLY & CO COM *	10/03/2013	11/26/2012	LLY	32,168.40	31,599.87	568.53	0.00	0.00
660.0000									
315616102	F5 NETWORKS INC COM*	05/01/2013	Various	FFIV	7,922.62	8,813.97	-891.35	0.00	0.00
107.0000									
33582V108	FIRST NIAGARA FINL GROUP INC NEW*	04/16/2013	09/26/2012	FNFG	10,230.36	9,408.57	821.79	0.00	0.00
1156.0000									
35804H106	FRESH MARKET INC COM*	03/07/2013	Various	TFM	33,085.33	41,423.48	-8,338.15	0.00	0.00
844.0000									
00766Y810	FROST TOTAL RETURN BOND FUND INSTL*	01/02/2013	03/29/2012	FUJEX	200,184.16	197,053.40	3,130.76	0.00	0.00
18416.2060									
369604103	GENERAL ELEC CO	04/16/2013	11/26/2012	GE	3,655.32	3,345.17	310.15	0.00	0.00
159.0000									

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Recipient's Name and Address:

NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Account Number: W00610700

Recipient's Tax ID Number: 36-3054939

Payer's Federal ID Number: 74-6036463

Payer's State ID Number: IL

State: (817)420-5082

Questions?

☐ Corrected ☐ 2nd TIN notice

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FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss-Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)				(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
369604103	GENERAL ELEC CO	GE	1086.0000	04/19/2013	11/26/2012	23,585.33	22,848.14	0.00	737.19	0.00	0.00
375558103	GILEAD SCIENCES INC COM*	GILD	327.0000	04/16/2013	08/24/2012	16,748.56	9,377.43	0.00	7,371.13	0.00	0.00
438516106	HONEYWELL INTERNATIONAL INC COM *	HON	9.0000	10/16/2013	12/20/2012	772.69	578.06	0.00	194.63	0.00	0.00
446150104	HUNTINGTON BANCSHARES INC COM*	HBAN	4384.0000	01/11/2013	03/15/2012	28,686.50	28,518.80	0.00	167.70	0.00	0.00
446150104	HUNTINGTON BANCSHARES INC COM*	HBAN	1665.0000	01/15/2013	Various	10,962.95	10,807.43	0.00	155.52	0.00	0.00
446150104	HUNTINGTON BANCSHARES INC COM*	HBAN	2269.0000	01/16/2013	Various	15,000.24	15,377.83	0.00	-377.59	0.00	0.00
452327109	ILLUMINA INC COM*	ILMN	258.0000	04/16/2013	06/08/2012	14,432.19	10,311.67	0.00	4,120.52	0.00	0.00
50076Q106	KRAFT FOODS GROUP INC COM*	KRFT	4.9999	03/22/2013	05/14/2012	259.79	205.79	0.00	54.00	0.00	0.00
50076Q106	KRAFT FOODS GROUP INC COM*	KRFT	23.0000	04/16/2013	05/14/2012	1,181.48	946.66	0.00	234.82	0.00	0.00

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2013 Tax Information Statement

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Ref: 126

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
501044101	KROGER CO COM*	03/06/2013	06/12/2012	KR	32,698.63	23,966.49	8,732.14	0.00
501044101	KROGER CO COM*	04/16/2013	Various	KR	13,402.49	9,158.83	4,243.66	0.00
501044101	KROGER CO COM*	05/03/2013	Various	KR	19,276.11	12,605.89	6,670.22	0.00
501044101	KROGER CO COM*	07/10/2013	Various	KR	59,459.41	43,546.63	15,912.78	0.00
548661107	LOWES COS INC COM *	04/16/2013	09/07/2012	LOW	1,815.31	1,365.21	450.10	0.00
G5785G107	MALLINCKRODT PLC	07/18/2013	04/29/2013	MNK	1,976.76	2,131.18	-154.42	0.00
580135101	MCDONALD'S CORP COM *	01/25/2013	05/29/2012	MCD	16,414.39	15,870.10	544.29	0.00
582839106	MEAD JOHNSON NUTRITION CO COM CLA*	01/25/2013	11/26/2012	MJN	3,137.71	3,031.20	106.51	0.00
58933Y105	MERCK & CO INC NEW COM*	02/07/2013	11/26/2012	MRK	13,444.32	14,559.90	-1,115.58	0.00

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2013 Tax Information Statement

Page 14 of 68
Ref: 126

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FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
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Payer's Federal ID Number: 74-6036463
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Questions?

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ Corrected ☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)		(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)		
G60754101	MICHAEL KORS HLDGS LTD*	04/16/2013	07/23/2012	KORS	4,798.32	3,572.05	0.00	1,226.27	0.00	0.00
594918104	MICROSOFT CORP COM*	04/16/2013	Various	MSFT	4,067.75	4,240.14	0.00	-172.39	0.00	0.00
594918104	MICROSOFT CORP COM*	07/25/2013	07/27/2012	MSFT	24,062.67	22,912.28	0.00	1,150.39	0.00	0.00
609207105	MONDELEZ INTL INC COM*	02/19/2013	Various	MDLZ	12,556.76	11,779.73	0.00	777.03	0.00	0.00
615369105	MOODY'S CORP COM*	04/16/2013	02/19/2013	MCO	7,360.84	6,605.70	0.00	755.14	0.00	0.00
137.0000	MOTOROLA SOLUTIONS INC COM	01/25/2013	03/15/2012	MSI	13,328.10	11,527.22	0.00	1,800.88	0.00	0.00
620076307	MOTOROLA SOLUTIONS INC COM	07/26/2013	12/20/2012	MSI	17,548.27	17,863.30	0.00	-315.03	0.00	0.00
326.0000	MURPHY OIL CORPORATION COM*	04/16/2013	10/31/2012	MUR	120.87	120.99	0.00	-0.12	0.00	0.00
626717102	MURPHY OIL CORPORATION COM*	08/14/2013	10/31/2012	MUR	21,111.56	18,330.11	0.00	2,781.45	0.00	0.00
2.0000										
626717102										
303.0000										

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2013 Tax Information Statement

Page 15 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ **Corrected**

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ **2nd TIN notice**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed (Box 3)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
626717102	MURPHY OIL CORPORATION COM*	194.0000	10/10/2013	10/31/2012	MUR	11,803.34	10,125.39	1,677.95	0.00
626717102	MURPHY OIL CORPORATION COM*	519.0000	11/04/2013	Various	MUR	31,776.57	27,944.95	3,831.62	0.00
626755102	MURPHY USA INC COM	182.0000	09/05/2013	Various	MUSA	6,923.12	6,049.75	873.37	0.00
626755102	MURPHY USA INC COM	2500	09/11/2013	12/20/2012	MUSA	9.67	8.38	1.29	0.00
64110D104	NETAPP INC COM*	628.0000	10/21/2013	05/16/2013	NTAP	26,022.10	24,298.26	1,723.84	0.00
64110D104	NETAPP INC COM*	969.0000	11/08/2013	Various	NTAP	38,182.69	36,780.20	1,402.49	0.00
N63218106	NIELSEN HOLDINGS NV*	173.0000	10/21/2013	07/25/2013	NLSN	6,660.90	5,763.55	897.35	0.00
N63218106	NIELSEN HOLDINGS NV*	355.0000	12/09/2013	Various	NLSN	15,433.35	11,791.18	3,642.17	0.00
655664100	NORDSTROM INC COM*	144.0000	02/22/2013	01/29/2013	JWN	7,752.76	8,033.70	-280.94	0.00

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Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
674599105	OCCIDENTAL PETROLEUM CORP COM*	04/16/2013	06/28/2012	OXY	5,541.87	5,470.38	0.00	71.49	0.00
674599105	OCCIDENTAL PETROLEUM CORP COM*	05/09/2013	Various	OXY	54,518.16	49,186.20	0.00	5,331.96	0.00
69840W108	PANERA BREAD CO CL A COM*	04/16/2013	05/17/2012	PNRA	6,177.32	5,101.48	0.00	1,075.84	0.00
693475105	PNC FINANCIAL SERVICES GROUP COM*	06/10/2013	07/10/2012	PNC	12,004.25	9,971.55	0.00	2,032.70	0.00
693475105	PNC FINANCIAL SERVICES GROUP COM*	09/04/2013	Various	PNC	25,237.24	20,976.74	0.00	4,260.50	0.00
73755L107	POTASH CORP SASK INC COM*	03/04/2013	11/26/2012	POT	9,755.16	9,579.98	0.00	175.18	0.00
767204100	RIO TINTO PLC SPON ADR*	10/22/2013	12/21/2012	RIO	7,516.37	8,054.03	0.00	-537.66	0.00
G7945E105	SEADRILL LTD*	03/19/2013	01/16/2013	SDRL	12,574.13	12,947.90	0.00	-373.77	0.00
826919102	SILICON LABORATORIES INC COM*	04/16/2013	01/16/2013	SLAB	1,730.57	1,690.80	0.00	39.77	0.00

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W00610700

36-3054939

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Cusip	Description (Box 8)	(Box 1a)	(Box 1b)	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)		
826919102	SILICON LABORATORIES INC COM*	SLAB	10,643.46	11,199.50	0.00	-556.04	0.00	0.00	0.00
270.0000	09/05/2013 Various								
826919102	SILICON LABORATORIES INC COM*	SLAB	12,756.57	13,801.65	0.00	-1,045.08	0.00	0.00	0.00
323.0000	09/06/2013 Various								
88076W103	TERADATA CORP COM*	TDC	1,145.82	1,591.81	0.00	-445.99	0.00	0.00	0.00
29.0000	12/12/2013 04/16/2013								
G9319H102	VALIDUS HOLDINGS LTD	VR	3,494.97	3,347.04	0.00	147.93	0.00	0.00	0.00
95.0000	04/16/2013 02/22/2013								
G9319H102	VALIDUS HOLDINGS LTD	VR	16,159.53	15,991.38	0.00	168.15	0.00	0.00	0.00
454.0000	06/20/2013 Various								
G9319H102	VALIDUS HOLDINGS LTD	VR	19,222.11	19,860.02	0.00	-637.91	0.00	0.00	0.00
564.0000	06/21/2013 02/25/2013								
92343V104	VERIZON COMMUNICATIONS*	VZ	3,172.61	3,102.40	0.00	70.21	0.00	0.00	0.00
63.0000	04/16/2013 04/08/2013								
928563402	VMWARE INC COM*	VMW	232.86	271.05	0.00	-38.19	0.00	0.00	0.00
3.0000	01/30/2013 11/26/2012								
92857W209	VODAFONE GROUP PLC SPON ADR*	VOD	26,088.19	29,105.74	0.00	-3,017.55	0.00	0.00	0.00
1063.0000	02/21/2013 Various								

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P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

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7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

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Cusip	Description (Box 8)	Date of Sale or Exchange	Quantity Sold	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)		(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
931142103	WAL MART STORES INC COM*	02/19/2013	380.0000	WMT	26,203.03	0.00	128.65	0.00	0.00
931422109	WALGREEN CO COM*	04/16/2013	452.0000	WAG	22,034.50	0.00	5,096.25	0.00	0.00
931422109	WALGREEN CO COM*	06/10/2013	530.0000	WAG	26,294.27	0.00	6,433.05	0.00	0.00
931422109	WALGREEN CO COM*	08/01/2013	273.0000	WAG	13,941.32	0.00	3,710.92	0.00	0.00
931422109	WALGREEN CO COM*	09/16/2013	284.0000	WAG	15,556.29	0.00	4,407.49	0.00	0.00
931422109	WALGREEN CO COM*	12/20/2013	708.0000	WAG	41,343.12	0.00	12,581.63	0.00	0.00
941053100	WASTE CONNECTIONS INC COM*	04/16/2013	61.0000	WCN	2,219.74	0.00	33.50	0.00	0.00
949746101	WELLS FARGO & CO NEW COM*	04/16/2013	214.0000	WFC	7,864.32	0.00	708.33	0.00	0.00
Total Short Term Sales Reported on 1099-B					1,792,322.56	0.00	186,260.81	0.00	0.00

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2013 Tax Information Statement

Page 19 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions?

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Cost or Other Basis		Wash Sale Loss Disallowed		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)		(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)							
Report on Form 8949, Part I, with Box A checked															
Long Term Sales Reported on 1099-B															
G1151C101			ACCENTURE PLC CLASS A*												
115.0000		04/16/2013	03/26/2012	ACN		8,845.56	7,545.07	0.00		1,300.49	0.00	0.00			
03836W103			AQUA AMERICA INC COM*	WTR											
204.0000		01/25/2013	09/22/2011			5,444.76	4,281.31	0.00		1,163.45	0.00	0.00			
03836W103			AQUA AMERICA INC COM*	WTR											
712.0000		01/28/2013	Various			19,087.22	14,988.65	0.00		4,098.57	0.00	0.00			
03836W103			AQUA AMERICA INC COM*	WTR											
126.0000		01/29/2013	09/26/2011			3,390.77	2,663.55	0.00		727.22	0.00	0.00			
054937107			BB&T CORP COM*	BBT											
420.0000		11/14/2013	09/26/2011			13,963.45	9,000.52	0.00		4,962.93	0.00	0.00			
05534B760			BCE INC COM*	BCE											
355.0000		01/29/2013	Various			15,843.02	13,299.90	0.00		2,543.12	0.00	0.00			
088606108			BHP BILLITON LTD-SPON ADR*	BHP											
392.0000		03/06/2013	Various			28,731.74	32,053.77	0.00		-3,322.03	0.00	0.00			

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2013 Tax Information Statement

Page 20 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ **2nd TIN notice**

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

☐ **Corrected**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 4)	(Box 15)
09062X103	BIOGEN IDEC INC COM*	BIIB	24,374.90	14,481.51	0.00	9,893.39	0.00	0.00
09062X103	BIOGEN IDEC INC COM*	BIIB	999.63	598.41	0.00	401.22	0.00	0.00
09247X101	BLACKROCK INC COM*	BLK	10,225.77	7,393.65	0.00	2,832.12	0.00	0.00
13342B105	CAMERON INTERNATIONAL CORP COM*	CAM	12,337.06	10,147.31	0.00	2,189.75	0.00	0.00
206.0000	CARDINAL HEALTH INC COM*	CAH	18,560.91	18,265.88	0.00	295.03	0.00	0.00
14149Y108	CEPHEID INC COM*	CPHD	5,509.71	6,129.58	0.00	-619.87	0.00	0.00
424.0000	CEPHEID INC COM*	CPHD	449.99	501.16	0.00	-51.17	0.00	0.00
15670R107	CEPHEID INC COM*	CPHD	24,779.40	18,396.39	0.00	6,383.01	0.00	0.00
159.0000	CHART INDS INC COM	GTLS	4,063.52	2,782.11	0.00	1,281.41	0.00	0.00
15670R107	CINEMARK HOLDINGS INC COM*	CNK						
13.0000								
16115Q308								
243.0000								
17243V102								
141.0000								

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2013 Tax Information Statement

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Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
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Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Questions?

☐ Corrected

☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)							
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)			
17243V102	CINEMARK HOLDINGS INC COM*									
469.0000	09/16/2013	Various	CNK	14,649.05	9,328.19	0.00	5,320.86	0.00		
17275R102	CISCO SYSTEM INC COM*									
113.0000	04/16/2013	11/04/2011	CSCO	2,384.24	2,027.40	0.00	356.84	0.00		
19075F106	COBALT INTL ENERGY COM*									
361.0000	04/16/2013	02/14/2012	CIE	9,613.21	11,464.24	0.00	-1,851.03	0.00		
191216100	COCA COLA CO COM*									
488.0000	01/23/2013	09/26/2011	KO	18,099.46	16,762.68	0.00	1,336.78	0.00		
G2554F113	COVIDIEN PLC*									
297.0000	01/16/2013	11/18/2011	COV	17,763.31	13,690.60	0.00	4,072.71	0.00		
G2554F113	COVIDIEN PLC*									
238.0000	01/29/2013	11/18/2011	COV	15,030.78	10,970.92	0.00	4,059.86	0.00		
G2554F113	COVIDIEN PLC*									
64.0000	04/16/2013	Various	COV	4,257.18	2,798.54	0.00	1,458.64	0.00		
23331A109	D R HORTON INC*									
199.0000	04/16/2013	04/10/2012	DHI	4,457.49	2,923.23	0.00	1,534.26	0.00		
23331A109	D R HORTON INC*									
1707.0000	10/24/2013	04/10/2012	DHI	33,935.25	25,075.15	0.00	8,860.10	0.00		

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2013 Tax Information Statement

Page 22 of 68
Ref: 126

Recipient's Name and Address:

NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Account Number: W00610700

Recipient's Tax ID Number: 36-3054939

Payer's Federal ID Number: 74-6036463

Payer's State ID Number: IL

State: (817)420-5082

Questions?

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address:

FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Quantity Sold	Description (Box 8)	Date of Sale or Exchange	Acquisition	Stocks, Bonds, etc.	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)						
25490A309	DIRECTV COM*	08/21/2013	Various	DTV	32,470.31	24,053.27	8,417.04	0.00
560.0000								0.00
25490A309	DIRECTV COM*	08/22/2013	12/20/2011	DTV	9,190.09	0.00	2,432.06	0.00
159.0000								0.00
256677105	DOLLAR GENERAL CORP COM*	01/07/2013	12/07/2011	DG	19,621.40	0.00	2,160.54	0.00
498.0000								0.00
27579R104	EAST WEST BANCORP INC COM*	01/29/2013	02/09/2011	EWBC	9,197.84	0.00	284.04	0.00
402.0000								0.00
27579R104	EAST WEST BANCORP INC COM*	01/30/2013	02/09/2011	EWBC	5,079.41	0.00	94.78	0.00
222.0000								0.00
27579R104	EAST WEST BANCORP INC COM*	01/31/2013	Various	EWBC	5,695.80	0.00	1,165.88	0.00
294.0000								0.00
27579R104	EAST WEST BANCORP INC COM*	02/01/2013	09/26/2011	EWBC	6,027.92	0.00	3,455.75	0.00
400.0000								0.00
28176E108	EDWARDS LIFESCIENCES CORP COM*	04/16/2013	Various	EW	469.99	0.00	123.80	0.00
7.0000								0.00
28176E108	EDWARDS LIFESCIENCES CORP COM*	11/20/2013	12/14/2011	EW	15,905.90	0.00	-762.02	0.00
241.0000								0.00

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2013 Tax Information Statement

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Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Questions?

☐ Corrected

☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)						
26875P101	EOG RESOURCES INC COM*	04/16/2013 02/03/2011	107.0000	EOG	11,414.42	0.00	1,253.02	0.00
315616102	F5 NETWORKS INC COM*	05/01/2013 Various	234.0000	FFIV	24,185.18	0.00	-6,859.09	0.00
31428X106	FEDEX CORP COM*	04/16/2013 02/25/2011	118.0000	FDX	10,646.70	0.00	592.54	0.00
33582V108	FIRST NIAGARA FINL GROUP INC NEW*	11/01/2013 09/26/2012	782.0000	FNFG	8,504.10	0.00	2,139.48	0.00
33582V108	FIRST NIAGARA FINL GROUP INC NEW*	11/06/2013 Various	1796.0000	FNFG	14,600.91	0.00	4,852.30	0.00
00766Y455	FROST NATURAL RESOURCES - INST FUND*	04/16/2013 02/22/2012	5935.3480	FNRF	61,905.68	0.00	-8,903.02	0.00
00766Y810	FROST TOTAL RETURN BOND FUND INSTL*	08/30/2013 03/29/2012	42558.9580	FJEX	455,380.85	0.00	425.60	0.00
438516106	HONEYWELL INTERNATIONAL INC COM *	10/16/2013 08/12/2011	54.0000	HON	2,498.04	0.00	2,138.13	0.00
452327109	ILLUMINA INC COM*	07/12/2013 Various	401.0000	ILMN	30,015.65	0.00	14,264.74	0.00

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2013 Tax Information Statement

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Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
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Recipient's Name and Address:
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C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Questions?

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 15)	(Box 15)	(Box 15)
452327109	ILLUMINA INC COM*	11/04/2013	06/25/2012	7,570.00	3,143.59	0.00	4,426.41	0.00	0.00	0.00
79.0000										
46625H100	JPMORGAN CHASE & CO COM*	04/16/2013	03/09/2012	6,818.60	5,839.29	0.00	979.31	0.00	0.00	0.00
141.0000										
46625H100	JPMORGAN CHASE & CO COM*	12/09/2013	03/09/2012	9,415.37	6,916.04	0.00	2,499.33	0.00	0.00	0.00
167.0000										
50076Q106	KRAFT FOODS GROUP INC COM*	03/22/2013	Various	19,173.11	13,492.51	0.00	5,680.60	0.00	0.00	0.00
369.0001										
501044101	KROGER CO COM*	07/10/2013	07/02/2012	5,123.23	3,124.63	0.00	1,998.60	0.00	0.00	0.00
137.0000										
512807108	LAM RESEARCH CORPORATION COM*	04/16/2013	08/12/2011	4,170.37	3,971.66	0.00	198.71	0.00	0.00	0.00
103.0000										
G54050102	LAZARD LTD CL A COM*	04/16/2013	Various	4,277.26	3,894.98	0.00	382.28	0.00	0.00	0.00
127.0000										
533900106	LINCOLN ELECTRIC HOLDINGS INC COM*	04/08/2013	06/01/2011	20,279.75	14,094.41	0.00	6,185.34	0.00	0.00	0.00
381.0000										
533900106	LINCOLN ELECTRIC HOLDINGS INC COM*	04/09/2013	Various	21,039.73	12,754.67	0.00	8,285.06	0.00	0.00	0.00
393.0000										

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2013 Tax Information Statement

Page 25 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
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Questions?

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)							
533900106	LINCOLN ELECTRIC HOLDINGS INC COM *	04/10/2013	09/26/2011	LECO	14,137.06	7,888.00	0.00	6,249.06	0.00
263.0000									
548661107	LOWES COS INC COM *	09/16/2013	09/07/2012	LOW	5,903.69	3,583.67	0.00	2,320.02	0.00
126.0000									
G5785G107	MALLINCKRODT PLC	07/12/2013	12/15/2011	MNK	10.84	7.89	0.00	2.95	0.00
2500									
G5785G107	MALLINCKRODT PLC	07/18/2013	12/15/2011	MNK	2,957.23	2,213.45	0.00	743.78	0.00
70.1250									
580135101	MCDONALD'S CORP COM *	01/25/2013	Various	MCD	37,706.19	36,554.99	0.00	1,151.20	0.00
402.0000									
582839106	MEAD JOHNSON NUTRITION CO COM CL A *	01/25/2013	08/25/2011	MJN	23,846.62	23,110.10	0.00	736.52	0.00
342.0000									
59156R108	METLIFE INC COM *	04/16/2013	Various	MET	14,972.39	13,185.18	0.00	1,787.21	0.00
411.0000									
59156R108	METLIFE INC COM *	05/03/2013	Various	MET	29,622.29	21,819.74	0.00	7,802.55	0.00
733.0000									
59156R108	METLIFE INC COM *	09/20/2013	Various	MET	39,453.61	28,397.39	0.00	11,056.22	0.00
831.0000									

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2013 Tax Information Statement

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Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Quantity Sold	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
59156R108	METLIFE INC COM*	(Box 1a)	(Box 1b)							
405.0000	11/22/2013	02/17/2012			MET	21,374.48	15,679.94	0.00	5,694.54	0.00
594918104	MICROSOFT CORP COM*				MSFT	30,226.97	28,052.11	0.00	2,174.86	0.00
966.0000	07/25/2013	Various			MDLZ	29,947.19	25,181.78	0.00	4,765.41	0.00
609207105	MONDELEZ INTL INC COM*				MON	11,228.50	8,382.49	0.00	2,846.01	0.00
61166W101	MONSANTO CO NEW COM*				MSI	7,220.83	5,864.73	0.00	1,356.10	0.00
108.0000	04/16/2013	02/10/2012			MSI	24,846.19	22,537.44	0.00	2,308.75	0.00
620076307	MOTOROLA SOLUTIONS INC COM				MSI	12,273.03	11,086.93	0.00	1,186.10	0.00
116.0000	04/16/2013	03/15/2012			MUR	6,857.37	5,845.58	0.00	1,011.79	0.00
620076307	MOTOROLA SOLUTIONS INC COM				PNC	12,295.26	8,162.70	0.00	4,132.56	0.00
452.0000	07/25/2013	Various								
620076307	MOTOROLA SOLUTIONS INC COM									
228.0000	07/26/2013	05/29/2012								
626717102	MURPHY OIL CORPORATION COM*									
112.0000	11/04/2013	10/31/2012								
693475105	PNC FINANCIAL SERVICES GROUP COM*									
169.0000	06/10/2013	09/26/2011								

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2013 Tax Information Statement

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Ref: 126

Payer's Name and Address:
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P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
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Questions? ☐ **Corrected** ☐ **2nd TIN notice**

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C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	(Box 1a)	(Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks or Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 2a)	(Box 3)	(Box 4)	(Box 5)	(Box 6)	(Box 7)	(Box 8)	(Box 9)	(Box 10)
693475105	PNC FINANCIAL SERVICES GROUP COM*	09/04/2013	07/10/2012	PNC	12,328.53	10,273.71	0.00	2,054.82	0.00	0.00	0.00	0.00
73755L107	POTASH CORP SASK INC COM*	03/04/2013	Various	POT	22,514.90	28,290.96	0.00	-5,776.06	0.00	0.00	0.00	0.00
747525103	QUALCOMM INC COM*	04/16/2013	08/12/2011	QCOM	2,432.32	1,877.37	0.00	554.95	0.00	0.00	0.00	0.00
773903109	ROCKWELL AUTOMATION INC COM*	04/15/2013	09/26/2011	ROK	8,911.14	5,712.31	0.00	3,198.83	0.00	0.00	0.00	0.00
773903109	ROCKWELL AUTOMATION INC COM*	04/16/2013	09/26/2011	ROK	5,025.38	3,233.38	0.00	1,792.00	0.00	0.00	0.00	0.00
803054204	SAP AG SPONSORED ADR*	12/19/2013	Various	SAP	13,726.04	11,395.37	0.00	2,330.67	0.00	0.00	0.00	0.00
G7945E105	SEADRILL LTD*	03/19/2013	Various	SDRL	17,523.14	14,136.37	0.00	3,386.77	0.00	0.00	0.00	0.00
88076W103	TERADATA CORP COM*	12/12/2013	12/06/2012	TDC	17,108.23	25,314.09	0.00	-8,205.86	0.00	0.00	0.00	0.00
88732J207	TIME WARNER CABLE INC COM*	01/25/2013	Various	TWC	63,386.69	40,150.91	0.00	23,235.78	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

Page 28 of 68
Ref: 126

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)						
88732J207	TIME WARNER CABLE INC COM*	02/04/2013	09/22/2011	TWC	11,825.20	0.00	4,981.57	0.00
187.0000								
88732J207	TIME WARNER CABLE INC COM*	04/16/2013	Various	TWC	3,768.42	0.00	1,817.45	0.00
60.0000								
88732J207	TIME WARNER CABLE INC COM*	05/09/2013	11/04/2011	TWC	18,170.73	0.00	10,491.95	0.00
294.0000								
88732J207	TIME WARNER CABLE INC COM*	08/15/2013	Various	TWC	25,857.30	0.00	16,429.02	0.00
379.0000								
90384S303	ULTA SALON COSMETICS & FRAG COM*	04/16/2013	06/15/2011	ULTA	3,691.81	0.00	1,746.22	0.00
63.0000								
90384S303	ULTA SALON COSMETICS & FRAG COM*	12/09/2013	Various	ULTA	27,134.32	0.00	12,096.71	0.00
437.0000								
928563402	VMWARE INC COM*	01/30/2013	05/02/2011	VMW	26,803.45	0.00	4,526.73	0.00
287.0000								
92857W209	VODAFONE GROUP PLC SPON ADR*	02/21/2013	Various	VOD	25,722.32	0.00	1,180.27	0.00
1000.0000								
Total Long Term Sales Reported on 1099-B					1,580,173.52	0.00	238,892.32	0.00

Report on Form 8949, Part II, with Box D checked

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Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
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Account Number: W00610700
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State: (817)420-5082
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

☐ Corrected

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 5)	(Box 5)	(Box 5)	(Box 5)	(Box 5)	(Box 15)
Short Term Sales Reported on 1099-B												
3128QHSE1	FHLMC #1N1417 FLT	1.996%	2/01/37	1N1417F								
45.9000	01/15/2013	05/31/2012		45.90	48.93	0.00				-3.03	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT	1.996%	2/01/37	1N1417F								
41.5000	02/15/2013	05/31/2012		41.50	44.24	0.00				-2.74	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT	1.996%	2/01/37	1N1417F								
49.2900	03/15/2013	05/31/2012		49.29	52.55	0.00				-3.26	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT	1.996%	2/01/37	1N1417F								
57.7100	04/15/2013	05/31/2012		57.71	61.52	0.00				-3.81	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT	1.996%	2/01/37	1N1417F								
54.8900	05/15/2013	05/31/2012		54.89	58.52	0.00				-3.63	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL	3.382%	2/01/42	AL1438A								
11911.9300	01/31/2013	12/07/2012		11,911.93	12,611.76	0.00				-699.83	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL	3.382%	2/01/42	AL1438A								
12140.9700	03/25/2013	12/07/2012		12,140.97	12,854.25	0.00				-713.28	0.00	0.00

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2013 Tax Information Statement

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Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
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C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Acquisition Date	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)	(Box 1h)	(Box 1i)	(Box 1j)	(Box 1k)
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	7914.4300	04/25/2013	12/07/2012	AL1438A	7,914.43	8,379.40	0.00	-464.97	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	16090.5300	05/25/2013	12/07/2012	AL1438A	16,090.53	17,035.85	0.00	-945.32	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	7881.1100	06/25/2013	12/07/2012	AL1438A	7,881.11	8,344.13	0.00	-463.02	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	30634.1500	07/25/2013	12/07/2012	AL1438A	30,634.15	32,433.91	0.00	-1,799.76	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	11406.1100	08/25/2013	12/07/2012	AL1438A	11,406.11	12,076.22	0.00	-670.11	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	174.0700	09/25/2013	12/07/2012	AL1438A	174.07	184.30	0.00	-10.23	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	2549.4300	10/25/2013	12/07/2012	AL1438A	2,549.43	2,699.21	0.00	-149.78	0.00	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	3838.0800	11/25/2013	12/07/2012	AL1438A	3,838.08	4,063.57	0.00	-225.49	0.00	0.00
3136ABH71	FNMA REMIC 13-5 BD 2% 3/25/40	2415.1700	08/25/2013	07/22/2013		2,415.17	2,266.49	0.00	148.68	0.00	0.00
3136ABH71	FNMA REMIC 13-5 BD 2% 3/25/40	2746.4900	09/25/2013	07/22/2013		2,746.49	2,577.41	0.00	169.08	0.00	0.00

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2013 Tax Information Statement

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Ref: 126

Account Number: W00610700
Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Questions?

☐ Corrected

☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Acquisition	(Box 1a)	(Box 1b)	Stock of Other Symbol (Box 1d)	Stocks	Bonds, etc.	Other Basis	Cost or Basis	Wash Sale Loss/Disallowed	Net Gain or Loss	Federal Income Tax	State Tax	Withheld
3136ABH71	FNMA REMIC 13-5 BD 2% 3/25/40	2451.0800	10/25/2013	07/22/2013	2,451.08	2,300.19	0.00	150.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3136ABH71	FNMA REMIC 13-5 BD 2% 3/25/40	3369.2000	11/25/2013	07/22/2013	3,369.20	3,161.78	0.00	207.42	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3136ABH71	FNMA REMIC 13-5 BD 2% 3/25/40	2919.5200	12/25/2013	07/22/2013	2,919.52	2,739.79	0.00	179.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
52109PAH8	LB-UBS 07-C6 AJFLT 6.42205% 7/15/40	250000.0000	05/02/2013	01/17/2013	260,585.94	249,062.50	0.00	11,523.44	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
61747WAE9	MORGAN STAN FRN FLT 2.0521% 1/24/14	250000.0000	01/23/2013	07/19/2012	251,915.25	246,377.50	0.00	5,537.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total Short Term Sales Reported on 1099-B																
Report on Form 8949, Part I, with Box A checked																
Long Term Sales Reported on 1099-B																
01354PCN2	ALBUQUERQUE NM A TAX 4.93% 7/01/13	300000.0000	07/01/2013	04/22/2008	300,000.00	300,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
018490102	ALLERGAN INC COM*	283.0000	04/16/2013	Various	32,074.51	13,278.55	0.00	18,795.96	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
018490102	ALLERGAN INC COM*	218.0000	06/07/2013	12/11/2008	21,662.46	8,162.51	0.00	13,499.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

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Ref: 126

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Questions? ☐ Corrected ☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1a)	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
023135106	AMAZON.COM INC*	AMZN	14,700.63	6,402.46	0.00	8,298.17	0.00	0.00
54.0000	04/16/2013 02/09/2010							
032511107	ANADARKO PETROLEUM CORP COM	APC	24,962.78	17,734.42	0.00	7,228.36	0.00	0.00
292.0000	04/12/2013 12/14/2009							
054937107	BB&T CORP COM*	BBT	9,447.14	9,210.24	0.00	236.90	0.00	0.00
312.0000	04/16/2013 03/10/2010							
054937107	BB&T CORP COM*	BBT	40,859.71	29,603.85	0.00	11,255.86	0.00	0.00
1229.0000	11/14/2013 Various							
055348760	BCE INC COM*	BCE	19,056.26	13,223.20	0.00	5,833.06	0.00	0.00
427.0000	01/29/2013 Various							
099724106	BORGWARNER INC COM*	BWA	5,754.71	2,549.41	0.00	3,205.30	0.00	0.00
78.0000	04/16/2013 12/16/2009							
14149Y108	CARDINAL HEALTH INC COM*	CAH	19,611.62	14,763.89	0.00	4,847.73	0.00	0.00
449.0000	01/16/2013 10/01/2010							
14149Y108	CARDINAL HEALTH INC COM*	CAH	11,907.00	8,943.82	0.00	2,963.18	0.00	0.00
272.0000	02/01/2013 10/01/2010							
151020104	CELGENE CORP COM *	CELG	39,023.12	18,582.30	0.00	20,440.82	0.00	0.00
320.0000	04/16/2013 01/26/2010							
166764100	CHEVRON CORPORATION COM*	CVX	34,056.41	29,108.20	0.00	4,948.21	0.00	0.00
299.0000	01/16/2013 Various							

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2013 Tax Information Statement

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Ref: 126

Account Number:

W00610700

Recipient's Name and Address:

NORRIS FOUNDATION
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7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

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36-3054939

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Payer's State ID Number:

IL

State:

(817)420-5082

Questions?

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:

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P O BOX 2950, TAX DEPT T-8
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Quantity Sold	Description (Box 8)	Date of Sale or Exchange	Stock or Other Symbol (Box 1a)	Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)						
166764100	CHEVRON CORPORATION COM*	04/16/2013	CVX	4,792.79	0.00	787.23	0.00	0.00
41.0000		05/15/2008		4,005.56				
166764100	CHEVRON CORPORATION COM*		CVX					
116.0000		08/14/2013		11,332.79	0.00	2,798.82	0.00	0.00
191216100	COCA COLA CO COM*		KO					
530.0000		01/23/2013		14,612.57	0.00	5,044.64	0.00	0.00
192446102	COGNIZANT TECH. SOLUTIONS CL A*		CTSH					
173.0000		04/16/2013		3,235.01	0.00	9,509.61	0.00	0.00
19247U106	COHEN & STEERS INSTL REALTY FD*		CSRIX					
2406.6370		04/16/2013		80,429.81	0.00	32,248.93	0.00	0.00
22160K105	COSTCO WHOLESALE CORP NEW COM*		COST					
96.0000		04/16/2013		5,163.40	0.00	4,943.25	0.00	0.00
231021106	CUMMINS INC*		CMI					
4.0000		04/16/2013		131.08	0.00	313.43	0.00	0.00
25490A309	DIRECTV COM*		DTV					
349.0000		04/16/2013		12,222.19	0.00	7,066.60	0.00	0.00
25490A309	DIRECTV COM*		DTV					
185.0000		08/21/2013		6,639.68	0.00	4,087.12	0.00	0.00
294821608	ERICSSON AMERICAN DEPOSITARY SHS B*		ERIC					
258.0000		04/16/2013		2,513.13	0.00	626.65	0.00	0.00

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2013 Tax Information Statement

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C/O THOMAS L. WRIGHT
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☐ Corrected

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Cusip (Box 1e)	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks (Box 2a) 1N1417F	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld	State Tax Withheld
3128QHSE1	FHLMC #1N1417 FLT 1.996%	06/15/2013	05/31/2012	46.31	49.37	0.00	-3.06	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT 1.996%	07/15/2013	05/31/2012	9,287.28	9,901.11	0.00	-613.83	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT 1.996%	08/15/2013	05/31/2012	44.20	47.12	0.00	-2.92	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT 1.996%	09/15/2013	05/31/2012	54.54	58.14	0.00	-3.60	0.00	0.00
7096.1300	FHLMC #1N1417 FLT 1.996%	10/15/2013	05/31/2012	7,096.13	7,565.14	0.00	-469.01	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT 1.996%	11/15/2013	05/31/2012	2,625.11	2,798.61	0.00	-173.50	0.00	0.00
3128QHSE1	FHLMC #1N1417 FLT 1.996%	12/15/2013	05/31/2012	44.96	47.93	0.00	-2.97	0.00	0.00
31400TD46	FNMA POOL #696823 5.5%	01/31/2013	08/27/2004	1,751.76	1,814.15	0.00	-62.39	0.00	0.00
31400TD46	FNMA POOL #696823 5.5%	03/25/2013	08/27/2004	3,228.26	3,343.24	0.00	-114.98	0.00	0.00
31400TD46	FNMA POOL #696823 5.5%	04/25/2013	08/27/2004	383.28	396.93	0.00	-13.65	0.00	0.00

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Ref: 126

Account Number:

W00610700

Recipient's Name and Address:

NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Recipient's Tax ID Number:

36-3054939

Payer's Federal ID Number:

74-6036463

Payer's State ID Number:

IL

State:

(817)420-5082

Questions?

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:

FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 6)	Quantity Sold	Date of Sale or Exchange	Acquisition	Stock or Other Symbol (Box 7d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 16)
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
421.0900	05/25/2013	08/27/2004			421.09	436.09	0.00	-15.00	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
1498.4900	06/25/2013	08/27/2004			1,498.49	1,551.86	0.00	-53.37	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
365.7600	07/25/2013	08/27/2004			365.76	378.79	0.00	-13.03	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
367.6900	08/25/2013	08/27/2004			367.69	380.79	0.00	-13.10	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
2191.4700	09/25/2013	08/27/2004			2,191.47	2,269.53	0.00	-78.06	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
341.2600	10/25/2013	08/27/2004			341.26	353.41	0.00	-12.15	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
369.4900	11/25/2013	08/27/2004			369.49	382.65	0.00	-13.16	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
429.0800	12/25/2013	08/27/2004			429.08	444.36	0.00	-15.28	0.00	0.00
31400TD46	FNMA POOL #696823	5.5%	3/01/18		696823A					
368.8900	12/31/2013	08/27/2004			368.89	382.03	0.00	-13.14	0.00	0.00
314048TD4	FNMA POOL #763848	6%	2/01/34		763848A					
25.6800	01/31/2013	08/27/2004			25.68	26.60	0.00	-0.92	0.00	0.00

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2013 Tax Information Statement

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Account Number: W00610700
Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082
Questions? ☐ 2nd TIN notice
☐ Corrected

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

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Cusip	Description (Box 8)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
314048TD4	32.1500	03/25/2013	08/27/2004	32.15	33.31	0.00	-1.16	0.00
314048TD4	997.7200	04/25/2013	08/27/2004	997.72	1,033.57	0.00	-35.85	0.00
314048TD4	24.5300	05/25/2013	08/27/2004	24.53	25.41	0.00	-0.88	0.00
314048TD4	24.2600	06/25/2013	08/27/2004	24.26	25.13	0.00	-0.87	0.00
314048TD4	24.5700	07/25/2013	08/27/2004	24.57	25.45	0.00	-0.88	0.00
314048TD4	24.7100	08/25/2013	08/27/2004	24.71	25.60	0.00	-0.89	0.00
314048TD4	24.8300	09/25/2013	08/27/2004	24.83	25.72	0.00	-0.89	0.00
314048TD4	31.7200	10/25/2013	08/27/2004	31.72	32.86	0.00	-1.14	0.00
314048TD4	25.2800	11/25/2013	08/27/2004	25.28	26.19	0.00	-0.91	0.00
314048TD4	25.2600	12/25/2013	08/27/2004	25.26	26.17	0.00	-0.91	0.00

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C/O THOMAS L. WRIGHT
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For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Cost or Basis (Box 2a)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 5)	(Box 4)	(Box 15)
31404BTD4	FNMA POOL #763848 6% 2/01/34	763848A				
25.6400	12/31/2013 08/27/2004	25.64	26.56	0.00	-0.92	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	AL1438A				
12417.3500	12/25/2013 12/07/2012	12,417.35	13,146.87	0.00	-729.52	0.00
3138EHS45	FNMA POOL #AL1438 FL 3.382% 2/01/42	AL1438A				
98.1300	12/31/2013 12/07/2012	98.13	103.90	0.00	-5.77	0.00
00766Y844	FROST SMALL CAP EQUITY FUND CL I*	FIHSX				
22973.4670	04/16/2013 Various	209,288.28	197,368.60	0.00	11,919.68	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
435.2100	01/31/2013 09/12/2002	435.21	443.64	0.00	-8.43	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
437.2100	03/15/2013 09/12/2002	437.21	445.68	0.00	-8.47	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
439.2100	04/15/2013 09/12/2002	439.21	447.72	0.00	-8.51	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
441.2300	05/15/2013 09/12/2002	441.23	449.78	0.00	-8.55	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
443.2500	06/15/2013 09/12/2002	443.25	451.84	0.00	-8.59	0.00
36201G7H2	GNMA IPOOL #583296 5% 7/15/17	583296X				
445.2800	07/15/2013 09/12/2002	445.28	453.91	0.00	-8.63	0.00

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Account Number: W00610700
Recipient's Name and Address:
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C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: IL
State: (817)420-5082

Payer's Name and Address:
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P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Questions?

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Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Stocks		Cost or		Wash Sale		Net Gain		Federal		State	
Quantity		Date of		Date of		Bonds, etc.		Other Basis		Loss Disallowed		or Loss		Income		Tax	
Sold		Exchange		Acquisition										Tax		Withheld	
(Box 1e)		(Box 1a)		(Box 1b)		(Box 2a)		(Box 3)		(Box 5)		(Box 4)		(Box 15)			
36201G7H2	447.3200	GNMA IPOOL #583296	5%	7/15/17	583296X	447.32	455.99	0.00	0.00	-8.67	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201G7H2	449.3700	GNMA IPOOL #583296	5%	7/15/17	583296X	449.37	458.08	0.00	0.00	-8.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201G7H2	451.4300	GNMA IPOOL #583296	5%	7/15/17	583296X	451.43	460.18	0.00	0.00	-8.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201G7H2	453.5000	GNMA IPOOL #583296	5%	7/15/17	583296X	453.50	462.29	0.00	0.00	-8.79	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201G7H2	455.5800	GNMA IPOOL #583296	5%	7/15/17	583296X	455.58	464.41	0.00	0.00	-8.83	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201G7H2	3338.6400	GNMA IPOOL #583296	5%	7/15/17	583296X	3,338.64	3,403.32	0.00	0.00	-64.68	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201Q2W2	194.9500	GNMA IPOOL #590389	5%	8/15/17	590389X	194.95	199.88	0.00	0.00	-4.93	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201Q2W2	200.0500	GNMA IPOOL #590389	5%	8/15/17	590389X	200.05	205.11	0.00	0.00	-5.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201Q2W2	204.4100	GNMA IPOOL #590389	5%	8/15/17	590389X	204.41	209.58	0.00	0.00	-5.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00
36201Q2W2	223.5200	GNMA IPOOL #590389	5%	8/15/17	590389X	223.52	229.18	0.00	0.00	-5.66	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

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Ref: 126

Account Number:

W00610700

Recipient's Name and Address:

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C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
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P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

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Cusip	Description (Box 8)	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
36201Q2W2	GNMA IPOOL #590389 5%	06/15/2013	202.9300	590389X	208.07	0.00	-5.14	0.00
36201Q2W2	GNMA IPOOL #590389 5%	07/15/2013	195.4800	590389X	200.43	0.00	-4.95	0.00
36201Q2W2	GNMA IPOOL #590389 5%	08/15/2013	200.9300	590389X	206.02	0.00	-5.09	0.00
36201Q2W2	GNMA IPOOL #590389 5%	09/15/2013	201.3600	590389X	206.46	0.00	-5.10	0.00
36201Q2W2	GNMA IPOOL #590389 5%	10/15/2013	202.4000	590389X	207.52	0.00	-5.12	0.00
36201Q2W2	GNMA IPOOL #590389 5%	11/15/2013	203.3300	590389X	208.48	0.00	-5.15	0.00
36201Q2W2	GNMA IPOOL #590389 5%	12/15/2013	204.2600	590389X	209.43	0.00	-5.17	0.00
36201Q2W2	GNMA IPOOL #590389 5%	12/31/2013	205.3600	590389X	210.56	0.00	-5.20	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	01/31/2013	72.9900	590416X	75.64	0.00	-2.65	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	03/15/2013	73.3600	590416X	76.02	0.00	-2.66	0.00

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2013 Tax Information Statement

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Ref: 126

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
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Cusip	Description (Box 8)	Date of Sale or Exchange	Acquisition Date	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
36201Q3Z4	GNMA IPOOL #590416 5.5%	04/15/2013	09/12/2002	590416X	73.72	76.39	0.00	-2.67	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	05/15/2013	09/12/2002	590416X	74.09	76.78	0.00	-2.69	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	06/15/2013	09/12/2002	590416X	74.46	77.16	0.00	-2.70	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	07/15/2013	09/12/2002	590416X	74.83	77.54	0.00	-2.71	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	08/15/2013	09/12/2002	590416X	75.21	77.94	0.00	-2.73	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	09/15/2013	09/12/2002	590416X	75.59	78.33	0.00	-2.74	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	10/15/2013	09/12/2002	590416X	75.96	78.71	0.00	-2.75	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	11/15/2013	09/12/2002	590416X	76.35	79.12	0.00	-2.77	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	12/15/2013	09/12/2002	590416X	76.73	79.51	0.00	-2.78	0.00
36201Q3Z4	GNMA IPOOL #590416 5.5%	12/31/2013	09/12/2002	590416X	77.11	79.91	0.00	-2.80	0.00

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Cusip (Box 1e)	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)		Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)		Federal Income Tax Withheld (Box 15)	
				Stocks, Bonds, etc.				Net Gain	Net Loss	Federal Income Tax Withheld	State Tax Withheld
38259P508	GOOGLE INC CL A *	04/16/2013	12/11/2008	GOOG		10,310.84	0.00	4,044.64	6,266.20	0.00	0.00
13.0000											
438516106	HONEYWELL INTERNATIONAL INC COM *	04/16/2013	07/24/2009	HON		4,961.16	0.00	2,314.72	2,646.44	0.00	0.00
68.0000											
438516106	HONEYWELL INTERNATIONAL INC COM *	08/22/2013	Various	HON		12,841.58	0.00	6,765.61	6,075.97	0.00	0.00
159.0000											
438516106	HONEYWELL INTERNATIONAL INC COM *	10/16/2013	09/10/2010	HON		20,605.20	0.00	10,278.55	10,326.65	0.00	0.00
240.0000											
00141T163	INVESCO DEV MARKETS FUND INSTL *	04/16/2013	08/12/2011	GTDX		35,908.73	0.00	31,930.41	3,978.32	0.00	0.00
1038.7250											
G491BT108	INVESCO LTD *	04/16/2013	08/21/2009	IVZ		9,548.18	0.00	6,556.35	2,991.83	0.00	0.00
327.0000											
56063N600	MAINSTAY CONVERTIBLE FUND I *	04/16/2013	07/24/2009	MCNVX		39,897.31	0.00	32,022.15	7,875.16	0.00	0.00
2607.6670											
57636Q104	MASTERCARD INC CL A COM *	04/16/2013	04/13/2009	MA		13,757.59	0.00	4,514.32	9,243.27	0.00	0.00
26.0000											
58933Y105	MERCK & CO INC NEW COM *	01/29/2013	12/11/2008	MRK		11,448.24	0.00	7,172.01	4,276.23	0.00	0.00
263.0000											
58933Y105	MERCK & CO INC NEW COM *	02/07/2013	Various	MRK		36,001.35	0.00	33,125.59	2,875.76	0.00	0.00
881.0000											

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CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)		Date of Sale or Exchange		Date of Acquisition		Quantity Sold		Basis		Cost or Other Basis		Wash Sale Loss Disallowed		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 1f)	(Box 1g)	(Box 1h)	(Box 1i)	(Box 1j)	(Box 1k)	(Box 1l)	(Box 1m)	(Box 1n)	(Box 1o)	(Box 1p)	(Box 1q)	(Box 1r)	(Box 1s)	(Box 1t)	(Box 1u)	(Box 1v)	(Box 1w)
59156R108	49.0000	04/16/2013	09/16/2009	METLIFE INC COM*	MET	1,785.03	1,998.22	0.00	-213.19	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
655664100	572.0000	02/22/2013	09/21/2010	NORDSTROM INC COM*	JWN	30,795.67	20,538.35	0.00	10,257.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
66987V109	178.0000	04/16/2013	12/11/2008	NOVARTIS AG ADR*	NVS	13,073.80	8,318.53	0.00	4,755.27	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
68389X105	307.0000	04/16/2013	05/27/2005	ORACLE CORPORATION*	ORCL	10,097.00	3,945.20	0.00	6,151.80	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
68389X105	758.0000	07/02/2013	Various	ORACLE CORPORATION*	ORCL	22,789.22	12,406.95	0.00	10,382.27	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
718172109	90.0000	04/16/2013	Various	PHILIP MORRIS INT'L INC COM*	PM	8,518.30	4,377.82	0.00	4,140.48	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
693475105	192.0000	04/16/2013	12/03/2010	PNC FINANCIAL SERVICES GROUP COM*	PNC	12,331.88	10,933.81	0.00	1,398.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
693475105	123.0000	06/10/2013	Various	PNC FINANCIAL SERVICES GROUP COM*	PNC	8,948.63	7,040.56	0.00	1,908.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
73755L107	534.0000	03/04/2013	11/05/2010	POTASH CORP SASK INC COM*	POT	20,837.01	25,155.60	0.00	-4,318.59	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
74005P104	46.0000	04/16/2013	02/02/2010	PRAXAIR INC COM*	PX	5,058.50	3,544.19	0.00	1,514.31	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 43 of 68
Ref: 126

Recipient's Name and Address:
NORRIS FOUNDATION
C/O THOMAS L. WRIGHT
7N865 FALCONS TRAIL
ST. CHARLES, IL 60175

Account Number: W00610700
Recipient's Tax ID Number: 36-3054939
Payer's Federal ID Number: 74-6036463

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Payer's State ID Number: IL
State: (817)420-5082

Questions? ☐ Corrected ☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip		Description (Box 3)		Stock or Other Symbol: (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Income Tax Withheld		
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 15)		
741503403	PRICELINE.COM INCORPORATED COM*		PCLN							
9.0000	04/16/2013	03/16/2009	6,481.20	727.92	0.00	5,753.28	0.00	0.00		
747525103	QUALCOMM INC COM*		QCOM							
61.0000	04/16/2013	08/13/2009	4,010.05	2,843.98	0.00	1,166.07	0.00	0.00		
773903109	ROCKWELL AUTOMATION INC COM *		ROK							
180.0000	04/15/2013	01/13/2010	15,132.12	8,711.70	0.00	6,420.42	0.00	0.00		
806857108	SCHLUMBERGER LIMITED COM *		SLB							
5.0000	04/16/2013	03/13/2009	364.99	196.52	0.00	168.47	0.00	0.00		
G7945E105	SEADRILL LTD*		SDRL							
570.0000	03/19/2013	Various	20,895.78	14,077.81	0.00	6,817.97	0.00	0.00		
77956H302	T ROWE PRICE INTERNATIONAL DISCOVERY		PRIDX							
1128.9810	04/16/2013	09/30/2009	54,902.35	40,936.85	0.00	13,965.50	0.00	0.00		
89151E109	TOTAL S.A. SPONSORED ADR*		TOT							
77.0000	11/01/2013	12/07/2010	4,628.30	4,014.84	0.00	613.46	0.00	0.00		
907818108	UNION PACIFIC CORP COM*		UNP							
174.0000	04/16/2013	12/09/2009	23,833.98	10,990.00	0.00	12,843.98	0.00	0.00		
92826C839	VISA INC CL A COM*		V							
31.0000	04/16/2013	01/26/2010	5,088.53	2,540.62	0.00	2,547.91	0.00	0.00		
Total Long Term Sales Reported on 1099-B			1,511,262.14	1,190,255.69	0.00	321,006.45	0.00	0.00		

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
CASH AND EQUIVALENTS											
	CASH			79,221.08	79,221.08		79,221.08	0.5		7.92	0.0
MUNICIPAL BONDS (TAXABLE)											
150,000	NEW YORK N Y CITY	08-08-12	99.82	99.85	149,769.76	96.00	143,998.50	0.9	-5,771.26	3,540.00	2.5
	TRANSITIONAL										
	2.360% Due 08-01-20										
	Accrued Interest				149,769.76		145,473.50	0.9	-5,771.26	3,540.00	2.5
GOVERNMENT BONDS											
75,000	FEDERAL HOME LN BKS	11-06-07	100.91	100.25	75,190.99	108.97	81,725.17	0.5	6,534.18	3,750.00	4.6
	5.000% Due 12-21-15										
65,000	FEDERAL NATL MTG ASSN	06-26-07	101.76	100.75	65,485.98	114.35	74,328.34	0.5	8,842.37	3,493.75	4.7
	5.375% Due 06-12-17										
	Accrued Interest				140,676.97		156,342.08	1.0	15,376.55	7,243.75	4.6
FEDERAL NATIONAL MORTGAGE ASSOCIATION											
129,654,780	FNMA PASS-THRU INT 15 YEAR	08-27-04	59.82	59.82	77,554.30	107.07	138,825.26	0.9	61,270.96	6,482.74	4.7
	5.000% Due 07-01-19										
99,196,730	GNMA PASS-THRU X SINGLE FAMILY	01-29-99	3.71	3.71	3,679.21	100.44	99,636.17	0.6	95,956.96	8,431.72	8.5
	8.500% Due 07-15-21										
	Accrued Interest				81,233.51		239,704.31	1.5	157,227.93	14,914.46	6.3
CORPORATE BONDS											
75,000	GOLDMAN SACHS GROUP INC	03-09-12	105.15	100.11	75,082.08	100.15	75,113.77	0.5	31.70	3,862.50	5.1
	5.150% Due 01-15-14										
75,000	JP MORGAN CHASE & CO	06-12-06	96.09	99.59	74,690.17	103.03	77,270.47	0.5	2,580.30	3,843.75	5.0
	5.125% Due 09-15-14										

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
50,000	CREDIT SUISSE USA INC 4.875% Due 01-15-15	11-01-07	96.88	99.47	49,736.78	104.48	52,239.00	0.3	2,502.22	2,437.50	4.7
150,000	PFIZER INC 5.350% Due 03-15-15	08-16-12	112.07	105.67	158,508.20	105.67	158,497.95	1.0	-10.25	8,025.00	5.1
75,000	GOLDMAN SACHS GROUP INC 5.350% Due 01-15-16	03-08-06	97.87	99.45	74,586.04	108.22	81,163.05	0.5	6,577.01	4,012.50	4.9
150,000	CISCO SYS INC 5.500% Due 02-22-16	05-12-09	108.57	103.88	155,823.82	110.01	165,020.10	1.0	9,196.28	8,250.00	5.0
150,000	COMCAST CORP NEW 5.900% Due 03-15-16	08-22-12	115.98	110.00	164,996.27	110.44	165,658.35	1.0	662.08	8,850.00	5.3
150,000	HEWLETT PACKARD CO 5.400% Due 03-01-17	08-13-12	112.24	108.68	163,014.07	110.08	165,125.55	1.0	2,111.48	8,100.00	4.9
150,000	TARGET CORP 5.375% Due 05-01-17	03-29-12	118.19	112.10	168,152.42	112.41	168,607.80	1.1	455.38	8,062.50	4.8
150,000	LOWES COS INC 6.100% Due 09-15-17	03-11-10	112.58	106.70	160,044.42	113.95	170,924.10	1.1	10,879.68	9,150.00	5.4
150,000	EMERSON ELEC CO 5.375% Due 10-15-17	05-17-11	114.43	108.85	163,268.72	113.46	170,194.20	1.1	6,925.48	8,062.50	4.7
75,000	JPMORGAN CHASE & CO 6.000% Due 01-15-18	08-13-12	119.25	114.57	85,927.58	115.15	86,360.77	0.5	433.19	4,500.00	5.2
125,000	AMERICAN INTL GROUP INC MTN BE 5.850% Due 01-16-18	09-09-13	112.37	111.55	139,436.26	114.70	143,381.25	0.9	3,944.99	7,312.50	5.1
150,000	GENERAL ELEC CAP CORP MTN BE 5.625% Due 05-01-18	11-29-11	109.07	106.37	159,561.21	114.84	172,255.20	1.1	12,693.99	8,437.50	4.9
150,000	BERKSHIRE HATHAWAY FIN CORP 5.400% Due 05-15-18	03-20-12	117.40	112.62	168,927.63	115.02	172,534.35	1.1	3,606.72	8,100.00	4.7
150,000	PEPSICO INC 5.000% Due 06-01-18	03-08-12	117.75	112.82	169,228.16	112.17	168,258.45	1.1	-969.71	7,500.00	4.5
75,000	AT&T INC 5.800% Due 02-15-19	10-18-13	116.31	115.76	86,821.09	114.64	85,976.92	0.5	-844.16	4,350.00	5.1

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
125,000	HONEYWELL INTL INC	05-30-13	117.57	115.87	144,835.91	112.36	140,454.50	0.9	-4,381.41	6,250.00	4.4
	5.000% Due 02-15-19										
150,000	MERCK & CO INC	05-29-13	117.83	116.21	174,316.82	113.27	169,903.50	1.1	-4,413.32	7,500.00	4.4
	5.000% Due 06-30-19										
150,000	PRAXAIR INC	03-25-13	116.05	114.22	171,334.53	110.77	166,155.75	1.1	-5,178.78	6,750.00	4.1
	4.500% Due 08-15-19										
150,000	SHELL INTERNATIONAL FIN BV	05-13-13	115.69	114.21	171,319.11	109.61	164,417.70	1.0	-6,901.41	6,450.00	3.9
	4.300% Due 09-22-19										
150,000	MEDTRONIC INC	02-07-12	113.98	110.96	166,446.74	109.59	164,387.70	1.0	-2,059.04	6,675.00	4.1
	4.450% Due 03-15-20										
100,000	JPMORGAN CHASE & CO	02-08-12	108.36	106.63	106,633.89	110.90	110,903.30	0.7	4,269.41	4,950.00	4.5
	4.950% Due 03-25-20										
100,000	PNC FUNDING CORP	10-24-12	115.36	113.21	113,208.63	106.91	106,908.00	0.7	-6,300.63	4,375.00	4.1
	4.375% Due 08-11-20										
	Accrued Interest						44,059.79	0.3			
					3,265,900.56		3,345,771.54	21.2	35,811.19	155,806.25	4.7

COMMON STOCK

Energy											
1,459,000	CHEVRONTXACO CORP COM	07-30-03	65.96	65.96	96,239.52	124.91	182,243.69	1.2	86,004.17	5,836.00	3.2
4,976,000	EXXON MOBIL CORP COM	08-25-99	47.41	47.41	235,904.54	101.20	503,571.20	3.2	267,666.66	12,539.52	2.5
3,180,000	SCHLUMBERGER LTD COM	11-18-99	29.81	29.81	94,793.83	90.11	286,549.80	1.8	191,755.97	3,975.00	1.4
3,000,000	SOUTHWESTERN ENERGY CO COM	06-02-09	44.72	44.72	134,173.50	39.33	117,990.00	0.7	-16,183.50	0.00	0.0
Total					561,111.39		1,090,354.69	6.9	529,243.30	22,350.52	2.0
Materials											
3,500,000	DU PONT E IDE NEMOURS COM	09-03-10	42.72	42.72	149,507.75	64.97	227,395.00	1.4	77,887.25	6,300.00	2.8
2,005,000	PRAXAIR INC COM	08-22-03	39.30	39.30	78,793.78	130.03	260,710.15	1.7	181,916.37	4,812.00	1.8

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
4,000.000	SCOTT'S MIRACLE GRO CO	07-10-09	35.80	35.80	143,214.40	62.22	248,880.00	1.6	105,665.60	7,000.00	2.8
Total					371,515.93		736,985.15	4.7	365,469.22	18,112.00	2.5
Industrials											
2,250.000	CATERPILLAR INC DEL COM	10-27-05	55.61	55.61	125,120.14	90.81	204,322.50	1.3	79,202.36	5,400.00	2.6
4,000.000	CHICAGO BRIDGE & IRONNY REGISTRY SH	05-15-13	58.23	58.23	232,912.80	83.14	332,560.00	2.1	99,647.20	800.00	0.2
3,700.000	GENERAC HLDGS INC COM	02-27-12	26.71	26.71	98,815.53	56.64	209,568.00	1.3	110,752.47	0.00	0.0
1,520.000	GRAINGER W W INC COM	03-17-10	108.44	108.44	164,827.43	255.42	388,238.40	2.5	223,410.97	5,654.40	1.5
1,350.000	STERICYCLE INC COM	12-01-10	75.55	75.55	101,992.50	116.17	156,829.50	1.0	54,837.00	0.00	0.0
Total					723,668.40		1,291,518.40	8.2	567,850.00	11,854.40	0.9
Consumer Discretionary											
3,300.000	HOME DEPOT INC COM	04-16-13	72.31	72.31	238,639.50	82.34	271,722.00	1.7	33,082.50	5,148.00	1.9
3,630.000	MCDONALDS CORP COM	09-11-07	53.39	53.39	193,816.45	97.03	352,218.90	2.2	158,402.45	11,761.20	3.3
575.000	PRICELINE COM INC COM NEW	06-02-09	115.52	115.52	66,423.67	1,162.40	668,380.00	4.2	601,956.33	0.00	0.0
Total					498,879.62		1,292,320.90	8.2	793,441.28	16,909.20	1.3
Consumer Staples											
3,650.000	COSTCO WHSL CORP NEW COM	02-09-11	74.59	74.59	272,248.03	119.02	434,423.00	2.8	162,174.97	4,526.00	1.0
5,325.000	DUNKIN BRANDS GRP INC COM	07-03-12	34.33	34.33	182,810.98	48.20	256,665.00	1.6	73,854.02	4,047.00	1.6
3,366.000	KRAFT FOODS GROUP INC COM	11-04-10	33.60	33.60	113,111.19	53.91	181,461.06	1.1	68,349.87	7,068.60	3.9
10,100.000	MONDELEZ INTL INC CL A	11-04-10	20.87	20.87	210,773.41	35.30	356,530.00	2.3	145,756.59	5,656.00	1.6
3,000.000	PEPSICO INC COM	05-11-04	53.21	53.21	159,617.00	82.94	248,820.00	1.6	89,203.00	6,810.00	2.7
Total					938,560.61		1,477,899.06	9.4	539,338.45	28,107.60	1.9

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
Health Care											
2,000,000	ABBOTT LABS COM	07-13-12	31.33	31.33	62,658.26	38.33	76,660.00	0.5	14,001.74	1,760.00	2.3
2,000,000	ABBVIE INC. COM	07-13-12	33.97	33.97	67,947.54	52.81	105,620.00	0.7	37,672.46	3,200.00	3.0
8,000,000	CERNER CORP COM	03-19-09	11.39	11.39	91,082.40	55.74	445,920.00	2.8	354,837.60	0.00	0.0
5,780,000	EXPRESS SCRIPTS HOLDING COMPANY	06-13-05	30.12	30.12	174,098.38	70.24	405,987.20	2.6	231,888.82	0.00	0.0
1,550,000	ISHARES TR NASDAQ BIO INDX	04-16-13	167.54	167.54	259,693.82	227.06	351,943.00	2.2	92,249.18	112.22	0.0
1,225,000	JOHNSON & JOHNSON COM	08-27-04	58.21	58.21	71,303.65	91.59	112,197.75	0.7	40,894.10	3,234.00	2.9
Total					726,784.05		1,498,327.95	-9.5	771,543.90	8,306.22	0.6
Financials											
2,500,000	AFLAC INC COM	03-05-10	51.06	51.06	127,650.00	66.80	167,000.00	1.1	39,350.00	3,700.00	2.2
5,600,000	CITIGROUP INC COM	06-21-13	46.57	46.57	260,764.56	52.11	291,816.00	1.8	31,051.44	224.00	0.1
1,200,000	GOLDMAN SACHS GROUP COM	09-22-09	183.71	183.71	220,448.40	177.26	212,712.00	1.3	-7,736.40	2,640.00	1.2
5,825,000	J P MORGAN CHASE & CO COM	02-02-11	45.64	45.64	265,843.68	58.48	340,646.00	2.2	74,802.32	8,854.00	2.6
3,500,000	PRICE T ROWE GROUP INC COM	10-13-08	41.67	41.67	145,840.76	83.77	293,195.00	1.9	147,354.24	5,320.00	1.8
8,500,000	WELLS FARGO & CO NEW COM	02-03-12	30.71	30.71	261,019.70	45.40	385,900.00	2.4	124,880.30	10,200.00	2.6
Total					1,281,567.10		1,691,269.00	10.7	409,701.90	30,938.00	1.8
Information Technology											
975,000	APPLE INC.	04-06-06	70.42	70.42	68,659.50	561.02	546,994.50	3.5	478,335.00	11,895.00	2.2
6,500,000	CISCO SYS INC COM	11-22-06	25.81	25.81	167,753.50	22.43	145,795.00	0.9	-21,958.50	4,420.00	3.0
100,000	GOOGLE INC CL A	08-10-11	557.20	557.20	55,720.50	1,120.71	112,071.00	0.7	56,350.50	0.00	0.0
1,145,000	INTERNATIONAL BUS MACH COM	08-06-08	128.77	128.77	147,446.23	187.57	214,767.65	1.4	67,321.42	4,351.00	2.0
6,700,000	VERISIGN INC COM	08-09-13	48.80	48.80	326,957.99	59.78	400,526.00	2.5	73,568.01	0.00	0.0
2,500,000	VISA INC.	04-30-09	67.42	67.42	168,538.00	222.68	556,700.00	3.5	388,162.00	4,000.00	0.7
Total					935,075.72		1,976,854.15	12.5	1,041,778.43	24,666.00	1.2

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
A1036 - NORRIS FOUNDATION
December 31, 2013

Quantity	Security	Purchase Date	Original Unit Cost	Adjusted Unit Cost	Total Adjusted Cost	Current Price	Market Value	Percent Assets	Unrealized Gain/Loss	Estimated Annual Income	Curr. Yield
Telecommunication Services											
8,800.000	VERIZON COMMUNICATIONS COM	10-27-09	27.38	27.38	240,920.12	49.14	432,432.00	2.7	191,511.88	18,656.00	4.3
					6,278,082.95		11,487,961.30	72.8	5,209,878.36	179,899.94	1.6
EXCHANGE TRADED FUNDS											
Not Classified											
1,450.000	ISHARES TR RUSSELL 2000	08-01-13	105.15	105.15	152,463.15	115.36	167,272.00	1.1	14,808.85	2,050.69	1.2
1,100.000	ISHARES TR RUSSELL MIDCAP	08-01-13	139.17	139.17	153,091.95	149.98	164,978.00	1.0	11,886.05	2,168.23	1.3
Total					305,555.10		332,250.00	2.1	26,694.90	4,218.92	1.3
					305,555.10		332,250.00	2.1	26,694.90	4,218.92	1.3
TOTAL PORTFOLIO					10,300,439.92		15,786,723.81	100.0	5,439,217.66	365,631.24	2.3

The pricing information and descriptions used in this report have been provided to us by third party vendors believed to be reliable. Cash and equivalent yields are only representative numbers for account specific funds. For specific cash yield information, refer to the custodial statement

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NORRIS FOUNDATION TUA 12/3/98**PORTFOLIO HOLDINGS**

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
CASH & EQUIVALENTS				
	<u>CASH BALANCE</u>			
	INCOME	3,276,071.89	3,276,071.89	
	PRINCIPAL	<u>3,276,071.89-</u>	<u>3,276,071.89-</u>	
		0.00	0.00	
	<u>CASH EQUIVALENT</u>			
600,444.85	FEDERATED PRIME OBLIG FD INSTL* (PRINCIPAL)	600,444.85 1.00	600,444.85 1.00	120.09 0.02
	TOTAL CASH & EQUIVALENTS	<u>600,444.85</u>	<u>600,444.85</u>	<u>120.09</u>

EQUITIES

	<u>STOCK/OPTIONS</u>			
1,717.00	ABBOTT LABORATORIES COM*	55,849.15 32.53	65,812.61 38.33	1,510.96 2.30
1,489.00	ABBVIE INC COM*	54,570.23 36.65	78,634.09 52.81	2,382.40 3.03
141.00	AMAZON COM INC*	24,101.65 170.93	56,229.39 398.79	0.00 0.00
568.00	AMC NETWORKS INC CL A COM*	37,546.22 66.10	38,686.48 68.11	0.00 0.00
2,200.00	AMERICAN INTL GROUP INC COM*	84,020.92 38.19	112,310.00 51.05	880.00 0.78
626.00	ANADARKO PETROLEUM CORP COM*	40,644.52 64.93	49,654.32 79.32	450.72 0.91
267.00	APPLE INC COM *	31,817.05 119.16	149,792.34 561.02	3,257.40 2.17
2,047.00	BAXTER INTERNATIONAL INC COM *	133,241.11 65.09	142,368.85 69.55	4,012.12 2.82
282.00	BIOGEN IDEC INC COM*	37,799.33 134.04	78,839.30 279.57	0.00 0.00
133.00	BLACKROCK INC COM*	27,745.96 208.62	42,090.51 316.47	893.76 2.12
654.00	BOEING CO COM *	48,556.14 74.24	89,264.46 136.49	1,909.68 2.14
1,040.00	BORGWARNER INC COM*	22,782.17 21.91	58,146.40 55.91	520.00 0.89



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NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
900.00	BRISTOL-MYERS SQUIBB CO COM *	37,924.56	47,835.00	1,296.00
		42.14	53.15	2.71
2,143.00	BROADRIDGE FINANCIAL SOLUTIONS INC*	50,058.47	84,691.36	1,800.12
		23.36	39.52	2.13
631.00	CAMERON INTERNATIONAL CORP COM*	31,419.99	37,563.43	0.00
		49.79	59.53	0.00
309.00	CANADIAN PACIFIC RAILWAY LTD COM*	38,796.00	46,757.88	410.35
		125.55	151.32	0.88
1,408.00	CAPITAL ONE FINANCIAL CORP COM*	90,148.74	107,866.88	1,689.60
		64.03	76.61	1.57
413.00	CELGENE CORP COM *	22,927.75	69,783.78	0.00
		55.52	168.97	0.00
417.00	CHEVRON CORPORATION COM*	32,344.64	52,087.47	1,668.00
		77.57	124.91	3.20
74.00	CHIPOTLE MEXICAN GRILL INC CL A COM*	21,733.32	39,425.72	0.00
		293.69	532.78	0.00
1,266.00	CINEMARK HOLDINGS INC COM*	27,338.12	42,195.78	1,266.00
		21.59	33.33	3.00
3,347.00	CISCO SYSTEM INC COM*	66,543.84	75,073.21	2,275.96
		19.88	22.43	3.03
1,340.00	COACH INC COM*	72,127.69	75,214.20	1,809.00
		53.83	56.13	2.41
1,426.00	COBALT INTL ENERGY COM*	40,960.58	23,457.70	0.00
		28.72	16.45	0.00
850.00	COGNIZANT TECH. SOLUTIONS CL A*	15,894.58	85,833.00	0.00
		18.70	100.98	0.00
6,856.00	CORNING INC COM*	89,325.59	122,173.92	2,742.40
		13.03	17.82	2.24
478.00	COSTCO WHOLESALE CORP NEW COM*	32,296.55	56,891.56	592.72
		67.57	119.02	1.04
938.00	COVIDIEN PLC*	43,843.38	63,877.80	1,200.64
		46.74	68.10	1.88
453.00	CUMMINS INC*	29,261.50	63,859.41	1,132.50
		64.59	140.97	1.77
662.00	CVS CAREMARK CORP COM *	30,194.94	47,379.34	728.20
		45.61	71.57	1.54
450.00	DEERE & CO COM *	39,310.11	41,098.50	918.00
		87.36	91.33	2.23
617.00	DISCOVERY COMMUNICATIONS INC COM A*	51,973.27	55,789.14	0.00
		84.24	90.42	0.00

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NORRIS FOUNDATION TUA 12/3/98**PORTFOLIO HOLDINGS**

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,370.00	DISNEY (WALT) COMPANY HOLDING CO *	80,519.48	104,668.00	1,178.20
		58.77	76.40	1.13
2,181.00	E M C CORP MASSACHUSETTS COM *	27,306.49	54,852.15	872.40
		12.52	25.15	1.59
1,319.00	EATON CORP PLC*	86,699.75	100,402.28	2,215.92
		65.73	76.12	2.21
890.00	EBAY INCORPORATED COM*	36,907.64	48,829.85	0.00
		41.47	54.86	0.00
1,617.00	ENSCO PLC CL A*	91,840.29	92,460.06	4,851.00
		56.80	57.18	5.25
321.00	EOG RESOURCES INC COM*	26,889.99	53,876.64	240.75
		83.77	167.84	0.45
7,302.00	ERICSSON AMERICAN DEPOSITARY SHS B*	82,716.23	89,376.48	2,147.77
		11.33	12.24	2.40
959.00	FACEBOOK INC COM*	36,886.69	52,408.39	0.00
		38.46	54.65	0.00
395.00	FEDEX CORP COM*	31,072.21	56,789.15	237.00
		78.66	143.77	0.42
3,677.00	FIRST NIAGARA FINL GROUP INC NEW*	29,919.93	39,049.74	1,176.64
		8.14	10.62	3.01
1,250.00	GILEAD SCIENCES INC COM*	44,561.86	93,875.00	0.00
		35.65	75.10	0.00
116.00	GOOGLE INC CL A*	71,310.50	130,002.36	0.00
		614.75	1,120.71	0.00
689.00	HOME DEPOT INC COM *	51,956.46	56,732.26	1,074.84
		75.41	82.34	1.89
460.00	HONEYWELL INTERNATIONAL INC COM*	32,054.79	42,030.20	828.00
		69.68	91.37	1.97
1,425.00	HSBC HOLDINGS PLC SPON ADR NEW*	78,010.08	78,560.25	2,850.00
		54.74	55.13	3.63
417.00	ILLUMINA INC COM*	17,140.30	46,116.03	0.00
		41.10	110.59	0.00
861.00	INVESCO LTD*	17,630.17	31,340.40	774.90
		20.48	36.40	2.47
1,645.00	JPMORGAN CHASE & CO COM*	73,801.30	96,199.60	2,500.40
		44.86	58.48	2.60
973.00	KRAFT FOODS GROUP INC COM*	46,569.68	52,454.43	2,043.30
		47.86	53.91	3.90
792.00	LAM RESEARCH CORPORATION COM*	32,993.12	43,124.40	0.00
		41.66	54.45	0.00



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NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,933.00	LOWES COS INC COM *	72,216.60	95,780.15	1,391.76
		37.36	49.55	1.45
53.00	MASTERCARD INC CL A COM*	12,679.81	44,279.38	233.20
		239.24	835.46	0.53
1,135.00	METLIFE INC COM*	43,159.26	61,199.20	1,248.50
		38.03	53.92	2.04
921.00	MICHAEL KORS HLDGS LTD*	41,411.91	74,775.99	0.00
		44.96	81.19	0.00
482.00	MONSANTO CO NEW COM*	37,712.32	56,177.10	829.04
		78.24	116.55	1.48
698.00	MOODYS CORP COM*	33,655.33	54,772.06	781.76
		48.22	78.47	1.43
1,379.00	NIELSEN HOLDINGS NV*	45,892.27	63,282.31	1,103.20
		33.28	45.89	1.74
1,272.00	NOVARTIS AG ADR*	81,767.64	102,243.36	2,617.14
		64.28	80.38	2.56
205.00	PANERA BREAD CO CL A COM*	31,936.60	36,221.45	0.00
		155.79	176.69	0.00
1,536.00	PHILIP MORRIS INT'L INC COM*	99,180.55	133,831.68	5,775.36
		64.57	87.13	4.32
230.00	PRAXAIR INC COM*	17,720.97	29,906.90	552.00
		77.05	130.03	1.85
52.00	PRIGELINE.COM INCORPORATED COM*	13,408.67	60,444.80	0.00
		257.86	1,162.40	0.00
1,139.00	QUALCOMM INC COM*	61,742.26	84,570.75	1,594.60
		54.21	74.25	1.89
1,525.00	RIO TINTO PLC SPON ADR*	83,057.48	86,055.75	2,580.91
		54.46	56.43	3.00
479.00	SAP AG SPONSORED ADR*	35,478.46	41,740.06	382.15
		74.07	87.14	0.92
521.00	SCHLUMBERGER LIMITED COM*	26,969.88	46,947.31	651.25
		51.77	90.11	1.39
864.00	STARBUCKS CORP COM*	11,590.62	67,728.96	898.56
		13.42	78.39	1.33
898.00	STRYKER CORP COM *	57,027.88	67,475.72	1,095.56
		63.51	75.14	1.62
1,481.00	SUNCOR ENERGY INC*	51,168.52	51,909.05	1,115.19
		34.55	35.05	2.15
1,557.00	TOTAL S.A. SPONSORED ADR*	80,378.24	95,397.39	4,156.54
		51.62	61.27	4.36

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NORRIS FOUNDATION TUA 12/3/98**PORTFOLIO HOLDINGS**

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
EQUITIES				
1,537.00	TWENTY-FIRST CENTURY FOX INC*	48,748.57	54,056.29	384.25
		31.72	35.17	0.71
1,407.00	TYCO INTERNATIONAL LTD*	51,935.00	57,743.28	900.48
		36.91	41.04	1.56
550.00	UNION PACIFIC CORP COM*	43,585.89	92,400.00	1,738.00
		79.25	168.00	1.88
736.00	VERIZON COMMUNICATIONS COM*	36,243.96	36,167.04	1,560.32
		49.24	49.14	4.31
458.00	VERTEX PHARMACEUTICALS INC COM*	37,557.32	34,029.40	0.00
		82.00	74.30	0.00
230.00	VISA INC CL A COM*	18,285.17	51,216.40	368.00
		79.50	222.68	0.72
1,041.00	WASTE CONNECTIONS INC COM*	37,094.28	45,418.83	478.86
		35.63	43.63	1.05
1,544.00	WELLS FARGO & CO NEW COM*	54,629.54	70,097.60	1,852.80
		35.38	45.40	2.64
1,256.00	ZOETIS INC COM*	39,803.52	41,058.64	361.73
		31.69	32.69	0.88
		3,765,923.55	5,400,758.35	92,988.81
OTHER EQUITY				
10,995.279	COHEN & STEERS INSTL REALTY FD*	426,878.33	448,277.52	12,215.75
		38.82	40.77	2.73
115,138.074	FROST INTERNATIONAL EQUITY FD INST*	886,758.94	1,084,600.66	0.00
		7.70	9.42	0.00
35,902.639	FROST NATURAL RESOURCES - INST FUND*	400,369.90	424,369.19	0.00
		11.15	11.82	0.00
83,118.65	FROST SMALL CAP EQUITY FUND CL I*	583,387.92	650,819.03	0.00
		7.02	7.83	0.00
14,817.942	INVESCO DEV MARKETS FUND INSTL*	474,262.35	479,656.78	6,001.27
		32.01	32.37	1.25
1,590.00	LAZARD LTD CL A COM*	50,392.41	72,058.80	1,016.80
		31.69	45.32	1.41
5,285.197	T ROWE PRICE INTL DISCOVERY FD*	192,611.47	294,596.88	3,276.82
		36.44	55.74	1.11
		3,014,661.32	3,454,378.86	22,510.64
TOTAL EQUITIES		6,780,584.87	8,855,137.21	115,499.45

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NORRIS FOUNDATION TUA 12/3/98**PORTFOLIO HOLDINGS**

SHARES OR FACE VALUE				TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
FIXED INCOME						
<u>U.S. GOVERNMENT & AGENCIES</u>						
211,992.03	FHLMC #1N1417 FLT	1.996%	2/01/37	226,003.39	223,852.98	4,231.36
				106.61	105.59	1.89
262,435.33	FNMA POOL #AL1438 FL	3.39%	2/01/42	277,853.39	271,927.62	8,896.56
				105.87	103.62	3.27
14,485.56	FNMA POOL #696823	5.5%	3/01/18	15,001.51	15,392.36	796.71
				103.56	106.26	5.18
10,419.16	FNMA POOL #763848	6%	2/01/34	10,793.59	11,690.30	625.15
				103.59	112.20	5.35
475,528.30	FNMA REMIC 13-5 BD	2%	3/25/40	446,253.58	436,962.95	9,510.57
				93.84	91.89	2.18
20,729.42	GNMA I POOL #583296	5%	7/15/17	21,131.04	22,076.21	1,036.47
				101.94	106.50	4.69
5,851.15	GNMA I POOL #590389	5%	8/15/17	5,999.26	6,228.72	292.56
				102.53	106.45	4.70
3,671.38	GNMA I POOL #590416	5.5%	8/15/17	3,804.48	3,937.52	201.93
				103.63	107.25	5.13
				1,006,840.24	992,068.66	25,591.31
<u>CORPORATE BONDS</u>						
250,000.00	AMERICAN EXPRESS CREDIT ACCOUNT MASTER TRUST SERIES 2013-1 CLASS B DATED 7/25/2013 FLOATING RATE DUE 2/16/2021 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)			250,000.00	250,000.00	2,166.50
				100.00	100.00	0.87
250,000.00	CIT EQUIPMENT COLLATERAL SERIES 2012-VT1 CLASS D DATED 4/25/2012 4.12% DUE 10/21/2019 (PAYS MONTHLY ON 20TH, 0 DAY DELAY)			249,938.33	250,325.00	10,300.00
				99.98	100.13	4.11
275,000.00	CNH EQUIPMENT TRUST SERIES 2013-C CLASS A2 DATED 8/29/2013 0.63% DUE 1/17/2017 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)			274,999.48	275,294.25	1,732.50
				100.00	100.11	0.63
250,000.00	EATON CORPORATION DATED 3/16/2009 5.95% DUE 3/20/2014			262,722.50	252,970.00	14,875.00
				105.09	101.19	5.88

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NORRIS FOUNDATION TUA 12/3/98**PORTFOLIO HOLDINGS**

SHARES OR FACE VALUE		TAX COST/ UNIT COST	MKT VALUE/ UNIT PRICE	EST INCOME/ INC RATE(%)
FIXED INCOME				
270,000.00	EXETER AUTOMOBILE RECEIVABLES TRUST SERIES 2013-1A CLASS C DATED 5/9/2013 3.52% DUE 2/15/2019 (PAYS MONTHLY ON 15TH, 0 DAY DELAY)	269,998.95 100.00	266,579.10 98.73	9,504.00 3.57
250,000.00	GENWORTH FINANCIAL INCORPORATED DATED 3/25/2011 7.625% DUE 9/24/2021	244,375.00 97.75	297,497.50 119.00	19,062.50 6.41
250,000.00	KAYNE ANDERSON MLP INVESTMENT CO SERIES 144A PRIVATE PLACEMENT DATED 8/22/2013 FLOATING RATE DUE 8/19/2016 CALLABLE	250,000.00 100.00	250,000.00 100.00	3,754.75 1.50
250,000.00	SLM CORPORATION DATED 1/28/2013 5.50% DUE 1/25/2023	248,437.50 99.37	236,152.50 94.46	13,750.00 5.82
		2,050,471.76	2,078,818.35	75,145.25
BOND FUNDS				
189,343.93	FROST TOTAL RETURN BOND FUND INSTL*	2,031,723.75 10.73	2,027,873.49 10.71	85,583.46 4.22
34,125.791	HARTFORD FLOATING RATE FUND CL I*	302,214.61 8.86	308,497.15 9.04	13,172.56 4.27
90,864.94	IVY-HIGH INCOME FUND CLASS I*	678,970.10 7.47	785,073.08 8.64	58,153.56 7.41
16,720.504	MAINSTAY CONVERTIBLE FUND I*	205,327.79 12.28	287,927.08 17.22	11,236.18 3.90
		3,218,236.25	3,409,370.80	168,145.76
TOTAL FIXED INCOME		6,275,548.25	6,480,257.81	268,882.32
TOTAL PORTFOLIO		13,656,577.97	15,935,839.87	384,501.86

Dellora A. And Lester J. Norris Foundation
2013 Form 990-PF
EIN: 36-3054939

Part XV, Line 39. **Grants & Contributions Paid**

All Saints' Episcopal School	8200 Tumbleweed Trail Fort Worth, TX 76108	2,500.00	501(a)(1)
*American Quarter Horse Foundation	2601 1-40 East Amarillo, TX 79104	10,000.00	509(a)(3) SO-UNK
Ann & Robert H. Lurie Children's Hospital of Chicago	225 E. Chicago Avenue Chicago, IL 60611	15,000.00	509(a)(1)
Autistic Treatment Center	10503 Metric Drive Dallas, TX 75243	163,599.00	509(a)(1)
Baker Memorial United Methodist Church	307 Cedar Avenue St. Charles, IL 60174	11,001.20	509(a)(1)
Barrow Neurological Foundation	350 West Thomas Road Phoenix, AZ 85013	5,000.00	509(a)(2)
Boys Scouts of America	4510 N Second Street Saint Charles, IL 60174	20,000.00	509(a)(1)
Boys & Girls Club of Scottsdale	10533 E. Lakeview Drive Scottsdale, AZ 85258	20,000.00	509(a)(1)
Captain Jason Dahl Scholarship Fund	14142 W. Evans Circle Lakewood, CO 80228	2,500.00	509(a)(1)
Casa of Kane County	100 South Third Street, Suite 460 Geneva, IL 60134	15,000.00	509(a)(1)
Chapel of Our Savior	4th Street and Polo Drive Colorado Springs, CO 80906	2,000.00	509(a)(1)
Cheyenne Mountain Zoological Foundation	4250 Cheyenne Mountain Zoo Rd. Colorado Springs, CO 80906	2,000.00	509(a)(3) Type I
Children's Memorial Hospital	225 E. Chicago Avenue Chicago, IL 60611	15,000.00	509(a)(1)
Children's Hospital Colorado Foundation	13123 East 16th Avenue Aurora, CO 80045	35,000.00	509(a)(1)
Circus Juventas	1270 Montreal Ave. Saint Paul, MN 55116	20,000.00	509(a)(1)
Clear Brook Foundation	37 North River Street, Ste 101 Wilkes Barre, PA 18702	20,000.00	509(a)(1)
University of Illinois - College of Applied Sciences	506 South Wright Street 23B HAB, MC-356 Urbana, IL 61801	10,000.00	509(a)(1)
Colorado Golf Assoc.	5990 Greenwood Plz Blvd Ste 130 Greenwood Village, CO 80111	2,500.00	509(a)(2)
Colorado Springs Fine Arts Center	30 West Dale Street Colorado Springs, CO 80903	4,000.00	509(a)(1)
Colorado State University	102 Administration Building Fort Collins, CO 80523	5,000.00	509(a)(1)
Conservancy of Southwest Florida	1450 Merrihue Drive Naples, FL 34102	80,000.00	509(a)(1)

Crisis Nursery	2334 East Polk Street Phoenix, AZ 85006	1,000.00	509(a)(1)
**Dellora A. Norris Cultural Arts Center	1040 Dunham Road P.O. Box 2240 St. Charles, IL 60174	170,000.00	509(a)(3) (controlled)
Delnor Community Health Care Foundation	300 Randall Road Geneva, IL 60134	115,000.00	509(a)(1)
Education Foundation Of Collier County	2590 Golden Gate Pkwy, Ste 101 Naples, FL 34105	125,000.00	509(a)(1)
Elgin Academy	350 Park Street Elgin, IL 60120	10,000.00	509(a)(1)
Elgin Choral Union	1700 Spartan Drive Elgin, IL 60123	1,000.00	509(a)(1)
El Paso County Sheriff Foundation	El Paso County Finance Dept. 27 East Vermijo, 5th Floor Colorado Springs, CO 80903	5,000.00	509(a)(1)
Fishtown Preservation	PO Box 721 Leland, MI 49654	10,000 00	509(a)(1)
Fox Valley Hospice	4620 17th Street Sarasota, FL 34235	5,000 00	509(a)(1)
Golisano Children's Museum of Naples	15080 Livingston Road Naples, FL 34109	47,500.00	509(a)(1)
Goodwill Industries of Colorado Springs	2320 West Colorado Avenue P.O. Box 6300 Colorado Springs, CO 80934	1,500.00	509(a)(2)
Grand Traverse Bay YMCA	3000 Racquet Club Drive Traverse City, MI 49684	5,000.00	509(a)(1)
Greater Naples YMCA	5450 YMCA Road Naples, FL 34109	15,000.00	509(a)(2)
High Point University	833 Montileu Ave High Point, NC 27262	2,500.00	509(a)(1)
Hodges University, Inc.	2655 Northbrooke Drive Naples, FL 34119	125,000.00	509(a)(1)
Humane Society of Pikes Peak Region	610 Abbot Lane Colorado Springs, CO 80905	3,000.00	509(a)(1)
Jake Clifton T-Cross Ranches Memorial Fund	400 Totten Road Pueblo, CO 81008	5,000.00	509(a)(1)
John B. Norris Recreation Center	1050 Durham Road St. Charles, IL 60174	5,000.00	509(a)(2)
Juvenile Diabetes Research Foundation	3710 Sinton Road, Suite 220 Colorado Springs, CO 80907	10,000.00	509(a)(1)
Kentucky Horse Park Foundation	4089 Iron Works Pkwy Lexington, KY 40511	5,000 00	509(a)(1)
Lake Forest Academy	1500 West Kennedy Road Lake Forest, IL 60045	10,000.00	509(a)(1)
Lazarus House	PO Box 1007 Leland, MI 49654	20,000 00	509(a)(2)
Leelanau Conservancy	PO Box 1007 Leland, MI 49654	50,000 00	509(a)(1)
Living Well Cancer Resource Center	300 Randall Road Geneva, IL 60134	10,000.00	509(a)(1)

Mayo Clinic Arizona, Dept. of Development	13400 East Shea Boulevard Scottsdale, AZ 85259	10,000.00	509(a)(1)
Minnesota Life College	7501 Logan Avenue So. 2A Richfield, MN 55423	5,000.00	509(a)(2)
Naples Backyard History, Inc.	P.O. Box 2149 Naples, FL 34106	25,000.00	509(a)(1)
National Cowboy & Western Heritage Museum	1700 Northeast 63rd Street Oklahoma City, OK 73111	38,000.00	509(a)(2)
*National Cutting Horse Association Foundation	260 Bailey Avenue Fort Worth, TX 76107	10,000.00	509(a)(3) SO-UNK
Neighborhood Health Clinic, Inc.	120 Goodlette Road North Naples, FL 34102	15,000.00	509(a)(1)
News Release Basketball	961 Sunflower Street Louisville, CO 80027	2,500.00	509(a)(1)
Penrose/St. Frances Health Care System	961 East Colorado at Center Pt. Colorado springs, CO 80903	20,000.00	509(a)(1)
Pikes Peak or Bust Rodeo Foundation	601 N. Nevada Avenue Colorado Springs, CO 80903	20,000.00	509(a)(1)
Pro Rodeo Hall of Fame & Museum Of The American Cowboy	101 Pro Rodeo Drive Colorado Springs, CO 80919	25,000.00	509(a)(1)
Red Shield Community Center, Salvation Army	180 E Ocean Blvd Long Beach, CA 90802	2,500.00	509(a)(1)
Riddles Elephant Breeding Farm & Wildlife Sanctuary	P.O. Box 715 Greenbrier, AR 72058	25,000.00	509(a)(1)
Rocky Mountain Bird Observatory	P.O. Box 1232 Brighton, CO 80601	55,000.00	509(a)(1)
Ronald McDonald House Charities of Southern Colorado	311 North Logan Colorado Springs, CO 80909	2,000.00	509(a)(1)
Seacrest Country Day School	7100 Davis Blvd. Naples, FL 34104	4,000.00	509(a)(1)
Special Angels of the Woodlands	230 Spring Hill Drive Ste 325 Spring, TX 77386	10,000.00	509(a)(1)
St. Charles Heritage Center	215 East Main Street St. Charles, IL 60174	5,000.00	509(a)(1)
St. Elizabeth Seton School	2760 52nd Terrace South West Naples, FL 34116	70,000.00	509(a)(1)
St. Matthew's House	2001 Airport Road S. Naples, FL 34112	10,000.00	509(a)(1)
Suicide Prevention Services	528 South Batavia Avenue Batavia, IL 60510	5,000.00	509(a)(1)
Montessori Children's House Grand Traverse	5363 N Long Lake Road Traverse City, MI 49684	5,000.00	509(a)(1)
The Leelanau School	PO Box 1007 Leland, MI 49654	40,000.00	509(a)(1)
Tri City Health Partnership, Inc.	318 Walnut Street St. Charles, IL 60174	5,000.00	509(a)(1)
Tricity Family Services	1120 Randall Ct. Geneva, IL 60134	10,000.00	509(a)(2)
University of Texas Foundation, Inc.	PO Box 250 Austin, TX 78767	20,000.00	509(a)(3)
University of Northern Colorado Foundation	Judy Farr Alumni Ctr, Box 20 Greeley, CO 80639	5,000.00	509(a)(1)
Valley of the Sun Hospice Assoc.	1510 East Flower Drive Phoenix, AZ 85014	1,500.00	509(a)(1)

Valley Presbyterian Church	6947 East McDonald Drive Paradise Valley, AZ 85253	3,000.00	509(a)(1)
Wabash College	P.O. Box 352 Crawfordsville, IL 47933	25,000.00	509(a)(1)
YMCA of Collier County	5450 YMCA Road Naples, FL 34109	20,000.00	509(a)(2)
YMCA of the Palms a/k/a YMCA of Collier County	5450 YMCA Road Naples, FL 34109	50,000.00	509(a)(2)
Youth Haven, Inc.	5867 Whitaker Road Naples, FL 34112	2,500.00	509(a)(2)
Total 2012 Charitable Grants Paid		1,762,600.20	

*Expenditure responsibility is being exercised and grant agreements are in place covering the initial grant year and any subsequent grants that might be made.

**Expenditure responsibility is being exercised and grant was not treated as a qualifying distribution pursuant to IRC sections 4945(d)(4)(A)(ii) and (B) and 4942(g)(4)(A)(ii).