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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation Retirement Research Foundation		A Employer identification number 36-2429540
Number and street (or P.O. box number if mail is not delivered to street address) 8765 West Higgins Road	Room/suite 430	B Telephone number (773) 714-8080
City or town, state or province, country, and ZIP or foreign postal code Chicago, IL 60631-4170		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 134,295,217. (Part I, column (d) must be on cash basis)	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		836.	836.		Statement 2
4 Dividends and interest from securities		2,462,626.	2,462,626.		Statement 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		9,718,482.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			9,718,482.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		984,709.	874,836.		Statement 4
12 Total. Add lines 1 through 11		13,166,653.	13,056,780.		
13 Compensation of officers, directors, trustees, etc		366,627.	58,900.		307,727.
14 Other employee salaries and wages		539,744.	12,751.		526,993.
15 Pension plans, employee benefits		254,234.	14,322.		239,912.
16a Legal fees Stmt 5		387.	0.		387.
b Accounting fees Stmt 6		67,750.	40,950.		18,500.
c Other professional fees Stmt 7		2,316,511.	2,160,781.		143,959.
17 Interest		627,197.	627,197.		0.
18 Taxes Stmt 8		270,775.	59,161.		0.
19 Depreciation and depletion		21,702.	2,170.		
20 Occupancy		130,748.	13,075.		117,673.
21 Travel, conferences, and meetings		35,614.	817.		34,797.
22 Printing and publications		1,842.	184.		1,658.
23 Other expenses Stmt 9		95,707.	2,893.		92,814.
24 Total operating and administrative expenses. Add lines 13 through 23		4,728,838.	2,993,201.		1,484,420.
25 Contributions, gifts, grants paid		4,706,699.			5,265,985.
26 Total expenses and disbursements. Add lines 24 and 25		9,435,537.	2,993,201.		6,750,405.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		3,731,116.			
b Net investment income (if negative, enter -0-)			10,063,579.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	<1.>		
	2 Savings and temporary cash investments	43,642.	211,368.	211,368.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	93,854.	29,659.	29,659.
	10a Investments - U.S. and state government obligations Stmt 10	2,060,182.	0.	0.
	b Investments - corporate stock Stmt 11	7,458,360.	8,375,816.	8,375,816.
	c Investments - corporate bonds Stmt 12	759,888.	3,210,867.	3,210,867.
	11 Investments - land, buildings, and equipment, basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 13	111,972,978.	122,398,157.	122,398,157.	
14 Land, buildings, and equipment, basis ▶ Stmt 14	254,327.			
Less: accumulated depreciation Stmt 14 ▶	184,977.	83,412.	69,350.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	122,472,315.	134,295,217.	134,295,217.	
Liabilities	17 Accounts payable and accrued expenses	66,738.	69,531.	
	18 Grants payable	3,463,279.	2,903,992.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	3,530,017.	2,973,523.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	118,942,298.	131,321,694.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	118,942,298.	131,321,694.		
31 Total liabilities and net assets/fund balances	122,472,315.	134,295,217.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	118,942,298.
2 Enter amount from Part I, line 27a	2	3,731,116.
3 Other increases not included in line 2 (itemize) ▶ <u>Unrealized Security Appreciation</u>	3	8,648,280.
4 Add lines 1, 2, and 3	4	131,321,694.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	131,321,694.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statement				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 9,718,482.			9,718,482.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			9,718,482.	
2 Capital gain net income or (net capital loss)		2		9,718,482.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	6,724,091.	119,359,162.	.056335
2011	5,969,076.	121,147,588.	.049271
2010	5,885,346.	113,459,220.	.051872
2009	8,380,409.	105,671,344.	.079306
2008	9,734,268.	145,634,248.	.066841
2 Total of line 1, column (d)			.303625
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.060725
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			125,223,430.
5 Multiply line 4 by line 3			7,604,193.
6 Enter 1% of net investment income (1% of Part I, line 27b)			100,636.
7 Add lines 5 and 6			7,704,829.
8 Enter qualifying distributions from Part XII, line 4			6,757,283.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	201,272.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	201,272.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	201,272.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	252,719.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6d	
b Exempt foreign organizations - tax withheld at source		7	252,719.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	51,447.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 51,447. Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL, MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>http://www.rrf.org</u>	13	X	
14	The books are in care of ► <u>Irene Frye</u> Telephone no. ► <u>773-714-8080</u> Located at ► <u>8765 W. Higgins Road, Suite 430, Chicago, IL</u> ZIP+4 ► <u>60631</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

See Statement 16

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 15		366,627.	27,425.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nancy Zweibel PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	160,576.	22,680.	0.
Julie Kaufman PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	138,258.	20,435.	0.
Mary O'Donnell - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	78,175.	11,442.	0.
Lee Parker MED - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Grants and IT Administrator 40.00	73,395.	10,631.	0.
Marcia Kunstler - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Office Administrator 40.00	63,757.	9,315.	0.
Total number of other employees paid over \$50,000			▶	0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Global Endowment Management 100 N Tryon St, Charlotte, NC 28202	Investment Management	2,143,035.
Naomi M Stanhaus 720 N Larabee Street #204, Chicago, IL 60654	Program Consultant	105,325.
Varey & Vaccariello CPAs PC 2205 Point Blvd #210, Elgin, IL 60123	Accounting and Tax	50,750.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 None	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	126,573,404.
b	Average of monthly cash balances	1b	556,982.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	127,130,386.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	127,130,386.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,906,956.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	125,223,430.
6	Minimum investment return. Enter 5% of line 5	6	6,261,172.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,261,172.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	201,272.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	5,870.
c	Add lines 2a and 2b	2c	207,142.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,054,030.
4	Recoveries of amounts treated as qualifying distributions	4	34,422.
5	Add lines 3 and 4	5	6,088,452.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,088,452.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,750,405.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	6,878.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,757,283.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,757,283.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				6,088,452.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	8,795,657.			
b From 2009	3,156,668.			
c From 2010	367,868.			
d From 2011	146,096.			
e From 2012	838,718.			
f Total of lines 3a through e	13,305,007.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	6,757,283.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				6,088,452.
e Remaining amount distributed out of corpus	668,831.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	13,973,838.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	8,795,657.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,178,181.			
10 Analysis of line 9:				
a Excess from 2009	3,156,668.			
b Excess from 2010	367,868.			
c Excess from 2011	146,096.			
d Excess from 2012	838,718.			
e Excess from 2013	668,831.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Grants Paid for General Funding Interests See Attachment A	None	All are Pub Charities	See attachment A	5,104,975.
Matching Gifts Program See Attachment B	None	All are Pub Charities	See attachment B	161,010.
Total				5,265,985.
b Approved for future payment				
Grants Payable to General Funding Interests See Attachment C	None	All are Pub Charities	See attachment C	2,903,993.
Total				2,903,993.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trusted

Date _____

Executive
Director

Title

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date _____

Check ☐ self-employed

PTIN	
------	--

Downey R. Varey

Firm's name ► **Varey & Vaccariello CPAs PC**

Firm's address ► 2205 Point Boulevard, Suite 210
Elgin, IL 60123

P00357499

Firm's EIN ► 36-3994838

Phone no. **847-228-6977**

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2013
36-2429540**

**GRANTS PAID
ATTACHMENT A
PART XV
Page 11
DETAILS TO LINE 3a
Pages 1 through 16**

The Retirement Research Foundation - Form 990PF Part XV Line 3a
EIN 36-2429540

Paid Date is any date between 1/1/2013 and 12/31/2013

12 November 2014

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Academy Health 1150 17th Street NW Suite 600 Washington, DC 20036	NONE	PC	Six-month grant to foster the development of the information base needed for policy and practice to meet the growing demand for long term care	\$1,000
Advocate Charitable Foundation 205 West Touhy Avenue, Suite 225 Park Ridge, Illinois 60068	NONE	PC	Two-year grant to train Advanced Practice Nurses in post-acute care to provide advance care planning consultation for patients with chronic and progressive illness	\$50,000
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	NONE	PC	One-year grant to continue the Make Medicare Work Coalition that builds the capacity of service providers to assist elderly understand and navigate health care benefits and advocate on their behalf	\$115,000
Alivio Medical Center, Inc 966 West 21st Street Chicago, Illinois 60608	NONE	PC	One-year grant to develop and implement a program which trains volunteers to help seniors access available benefits and community resources in four communities	\$35,000
Alivio Medical Center, Inc 966 West 21st Street Chicago, Illinois 60608	NONE	PC	One-year grant to continue implementing a program that recruits and trains volunteers to help seniors access benefits and resources	\$50,000
Alliance for Aging Research 1700 K Street, NW, Suite 740 Washington, DC 20006	NONE	PC	One-year grant for 2013 support	\$30,000
Altarum Institute 3520 Green Court, Suite 300 Ann Arbor, Michigan 48105	NONE	PC	Nine-month grant to develop and test comprehensive care planning in the Washington Veterans Medical Center	\$15,000
Alzheimer's Association Greater Illinois Chapter 8430 W Bryn Mawr, Ste 800 Chicago, Illinois 60631	NONE	PC	Six-month grant to enhance the organization's capacity for effective Board Governance	\$5,000
American Academy of Home Care Medicine P O Box 1037 Edgewood, Maryland 21040-0337	NONE	PC	One-year grant for a learning collaborative to assist home care medicine practices with identifying, sharing and implementing best practices for the Independence at Home demonstration project	\$79,420
American Academy of Home Care Medicine P O Box 1037 Edgewood, Maryland 21040-0337	NONE	PC	Two-year grant to complete a learning collaborative for improving cost-effectiveness of homecare practices in the Independence at Home federal demonstration project	\$59,430
American Geriatrics Society 40 Fulton Street, 18th Floor New York, New York 10038	NONE	PC	Two-year grant to disseminate up-to-date information to the public and supportive care providers about medications that are dangerous for older adults	\$54,546

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	NONE	PC	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	\$12,000
Asian Health Coalition 180 W Washington St, Suite 1000 Chicago, Illinois 60602	NONE	PC	One-year grant to continue a program aimed at reducing disparities in colorectal cancer among Asian Americans	\$30,000
Baylor College of Medicine One Baylor Plaza Houston, Texas 77030	NONE	PC	Two-year grant to implement and pilot-test an intervention to address late life anxiety	\$59,048
Benedictine Sisters of Chicago-St. Scholastica Monastery 7430 North Ridge Boulevard Chicago, Illinois 60645-1913	NONE	PC	One-year grant for an organizational capacity building effort to establish a major gifts and planned giving program	\$43,000
Brown University Office of Sponsored Projects Box 1929 164 Angell Street Providence, Rhode Island 02912-9002	NONE	PC	Three-year grant to evaluate toward objectives of RRF Initiative to supporting national expansion of the 'Physicians Orders for Life-Sustaining Treatment' paradigm	\$20,000
Brown University Office of Sponsored Projects Box 1929 164 Angell Street Providence, Rhode Island 02912-9002	NONE	PC	One-year grant to examine the financial antecedents and outcomes of nursing home culture change adoption	\$41,986
Calvin Christian Reformed Church 10056 South Central Avenue Oak Lawn, Illinois 60453	NONE	PC	Eighteen-month grant to construct two accessible restrooms	\$20,000
Cardinal Ritter Senior Services 7601 Watson Road St Louis, Missouri 63119	NONE	PC	One-year grant in partial support to install a wander management system in an assisted living facility	\$23,247
Catholic Charities of the Archdiocese of Chicago Saint Vincent Center 721 North LaSalle Street Chicago, Illinois 60654-3503	NONE	PC	One-year grant to continue training home care aides and the seniors whom they serve about proper nutrition and healthy meal preparation	\$40,075
Center of Concern 1580 N Northwest Highway, Suite 310 Park Ridge, Illinois 60068-1469	NONE	PC	Nine-month organizational capacity building grant to develop and begin implementation of a strategic fundraising plan	\$23,180
Centers for New Horizons 4150 South King Drive Chicago, Illinois 60653	NONE	PC	Six-month grant for consultation services to assess the organization's capacity building needs	\$5,000

Recipient Name and Address	Relationship	Status of Recipient	Purpose of Grant	Amount
Chinese American Service League, Inc 2141 South Tan Court Chicago, Illinois 60616	NONE	PC	One-year grant to translate the coach handbook for the falls prevention program "Matter of Balance" into Chinese and then implement the program to serve Chinese older adults	\$65,000
Chinese American Service League, Inc. 2141 South Tan Court Chicago, Illinois 60616	NONE	PC	Organizational capacity building grant to engage in strategic planning	\$13,000
Christian Appalachian Project, Inc 2610 Palumbo Drive Lexington, Kentucky 40509	NONE	PC	Ten-month grant to complete additional home repairs for at-risk seniors in Eastern Kentucky	\$34,000
CJE SeniorLife 3003 West Touhy Avenue Chicago, Illinois 60645	NONE	PC	One-year grant to provide social work/care management services to 1) enhance high-risk elders' transition from hospital settings to home or sub-acute care and 2) avoid re-hospitalization	\$15,000
Coalition of Limited English Speaking Elderly 53 W Jackson Boulevard, Suite 1301 Chicago, Illinois 60604-3702	NONE	PC	One-year grant to provide enhanced technical assistance to 24 providers of community care program services to ethnic elders	\$35,000
Community Harvest Food Bank of Northeast Indiana, Inc 999 East Tillman Road P O Box 10967 Fort Wayne, Indiana 46855-0967	NONE	PC	One-year grant for delivery of groceries to homebound seniors in northeastern Indiana	\$25,000
Council on Aging of Martin County, Inc. 900 SE Salemo Road Stuart, Florida 34997	NONE	PC	Second-year support to increase access to geriatric care by co-locating a clinic within a senior center	\$124,828
Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, Virginia 22202	NONE	PC	One-year grant for program support and \$500 is charged to administrative expenses (total \$13,300)	\$12,800
Donors Forum 208 South LaSalle Street, Suite 1540 Chicago, Illinois 60604	NONE	PC	One-year grant for 2013 program support. An additional \$770 is charged to administrative expense for a check total of \$12,595	\$11,825
Easter Seals, National Office 233 S Wacker Drive, Suite 2400 Chicago, Illinois 60606	NONE	PC	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care	\$74,400
Education, Training and Research Associates 4 Carbonero Way Scotts Valley, California 95066-4200	NONE	PC	Two-year grant to refine and test a cognitive behavioral therapy intervention addressing insomnia to help older adults with mild cognitive impairment who live in residential care facilities	\$167,814

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Elderday Center, Inc. 328 W Wilson Street Batavia, Illinois 60510	NONE	PC	One-year grant to develop and implement a comprehensive Human Resources Plan	\$7,518
Eskenazi Health Foundation Inc 1001 W Tenth Street Indianapolis, Indiana 46202	NONE	PC	Third-year support to continue and expand its volunteer guardianship program protecting the health, well-being, and safety of isolated decisionally-incapable ill older adults	\$99,465
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	NONE	PC	One-year grant for an advocacy effort to protect vulnerable, low-income elderly from the potential harmful effects of possible cuts to the Medicare program	\$50,000
Family Service Agency of DeKalb County 14 Health Services Drive DeKalb, Illinois 60115	NONE	PC	Thirteen-month grant to replicate Enhance Fitness in five senior centers to improve the overall functional fitness of older adults	\$24,000
Ford Heights Community Service Organization Inc 943 East Lincoln Highway Ford Heights, Illinois 60411	NONE	PC	One-year grant for Senior Wellness and Education Lifestyles, a program which integrates congregate dining with health education, exercise, and preventive screenings	\$52,166
Gerontological Society of America 1220 L Street NW, Suite 901 Washington, DC 20005	NONE	PC	Ten-month grant to advance gerontological and geriatric education and training	\$15,000
Grantmakers in Aging 2001 Jefferson Davis Highway, Suite 504 Arlington, Virginia 22202	NONE	PC	One-year grant for 2013 program support	\$15,000
Grantmakers in Health 1100 Connecticut Ave., N.W., Suite 1200 Washington, DC 20036-4110	NONE	PC	One-year grant for 2013 Program Support	\$2,500
Greater Chicago Food Depository 4100 West Ann Lane Place Chicago, Illinois 60632	NONE	PC	One-year challenge grant to improve and expand a program for seniors that provides access to nutritious food, information and enrollment assistance related to SNAP, and opportunities to participate in healthy cooking demonstrations	\$50,000
Greater Chicago Food Depository 4100 West Ann Lane Place Chicago, Illinois 60632	NONE	PC	One-year grant to continue ensuring older adults have access to healthy food options	50,000

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Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Hands On Suburban Chicago 2121 South Goebbert Road Arlington Heights, Illinois 60005	NONE	PC	One-year grant to build the organization's capacity for effective marketing and outreach	10,000
Hanul Family Alliance 5008 North Kedzie Avenue Chicago, Illinois 60625	NONE	PC	One-year grant to build the organization's capacity for effective human resource practices and management	7,775
Hektoen Institute for Medical Research 2240 W Ogden, 2nd Floor Chicago, Illinois 60612	NONE	PC	Two-year grant for Phase 2 of a multi-center cohort study on victims of elder mistreatment seen in five Chicago-area medical centers	40,000
Hospice Care Plus Inc 208 Kidd Drive Berea, Kentucky 40403	NONE	PC	Six-month grant toward partial costs for a new a program to respond more effectively to patients' needs for durable medical equipment	36,600
Hyde Park Union Church 5600 South Woodlawn Avenue Chicago, Illinois 60637	NONE	PC	Eighteen-month grant to install elevator in education building	30,000
Illinois Pioneer Coalition 639 York Street Quincy, Illinois 62301	NONE	PC	Eighteen-month grant to raise awareness of person-centered care by pilot testing a consumer culture change education program in four regions of Illinois	20,000
International Honor Society of Nursing Foundation 550 W North Street Indianapolis, Indiana 46202	NONE	PC	Two-year grant to train and support nurses for leadership and improved care delivery in genatnc settings	59,972
ITN Bluegrass Inc 1206 N Limestone St Lexington, Kentucky 40505	NONE	PC	One-year grant to build financial sustainability for a transportation program serving Kentucky elders	7,970
Jewish Family & Community Services of Southwest Florida 5025 Castello Drive Naples, Florida 34103	NONE	PC	One-year grant to establish a volunteer program to address social isolation of frail seniors in Southwest Florida	25,000
Jewish Vocational Service and Employment Center 216 West Jackson Blvd , Suite 700 Chicago, Illinois 60606-6921	NONE	PC	One-year grant to provide job readiness skill training for seniors and help them secure unsubsidized employment	8,200
Jewish Vocational Service and Employment Center 216 West Jackson Blvd , Suite 700 Chicago, Illinois 60606-6921	NONE	PC	Third-year grant in support of expanding employment services for older adults	43,200
Judge David L. Bazelon Center for Mental Health Law 1101 Fifteenth Street N W Suite 1212 Washington, DC 20005-5002	NONE	PC	One-year grant to ensure the state of Illinois complies with ADA integration mandates for older adults and others with psychiatric disabilities	7,500

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Korean American Community Services 4300 North California Avenue Chicago, Illinois 60618-1514	NONE	PC	One-year grant to continue a program that engages and trains recently retired Korean Americans to educate and assist eligible low-income Korean seniors with public benefits enrollment	33,000
Latino Alzheimer's and Memory Disorders Alliance 300 N LaSalle Street, #4925 Chicago, Illinois 60654	NONE	PC	One-year grant to develop a guide for Latino caregivers of elders with Alzheimer's disease and continue the caregiver support group	12,500
Lincoln Park Village, Inc 2502 N Clark Street, #225 Chicago, Illinois 60614	NONE	PC	Fifteen-month grant to build capacity for improved resource development	30,000
Lyrnc Opera of Chicago 20 North Wacker Drive, Suite 860 Chicago, Illinois 60606	NONE	PC	One-year grant to bring nearly 2,000 older adults, mostly low-income and ethnically diverse, to Lyrnc Opera's Senior Matinee performance of Madama Butterfly	10,000
National Able Network 567 W Lake Street, Suite 1150 Chicago, Illinois 60661-1405	NONE	PC	One-year grant to provide employment training and counseling for low-income older adults	21,497
National Able Network 567 W Lake Street, Suite 1150 Chicago, Illinois 60661-1405	NONE	PC	Third-year support of an employment training and counseling program for low-income older adults	42,115
National Academy of Social Insurance 1776 Massachusetts Avenue, NW - Suite 400 Washington, DC 20036-1904	NONE	PC	One-year grant to educate lower-income older Americans on how delaying Social Security claims until after the age of 62 can increase one's retirement benefits significantly	40,000

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Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
National Committee for Responsive Philanthropy (NCRP) 1331 H Street, N W , Suite 200 Washington, DC 20005	NONE	PC	One-year grant for 2013 program support	\$15,000
National Consumer Voice for Quality Long-Term Care 1001 Connecticut Avenue, NW Suite 425 Washington, DC 20036	NONE	PC	Eighteen-month grant to develop the first comprehensive online clearinghouse of information on long-term care targeted to advocates, consumers, and their families	\$33,332
National Council on Aging, Inc 1901 L Street, N W , 4th Floor Washington, DC 20036	NONE	PC	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers	\$451,319
National Family Caregivers Association 2000 M Street, NW, Suite 400 Washington, District of Columbia 20036	NONE	PC	One-year grant to create a micro-website serving the needs of family caregivers of loved ones with Alzheimer's disease	\$20,000
National People's Action 810 North Milwaukee Avenue Chicago, Illinois 60642	NONE	PC	Second-year support for an advocacy campaign to end predatory payday lending practices targeting seniors	\$45,000

The Retirement Research Foundation

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Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
National Senior Citizens Law Center 1444 Eye Street, NW, Suite 1100 Washington, DC 20005	NONE	PC	Two-year grant for advocacy in three states that are implementing managed care for long-term services and supports	\$45,000
Northwestern University School of Law Office of Alumni Relations and Development 375 E Chicago Avenue Levy Mayer Room 409 Chicago, Illinois 60611	NONE	PC	Ten-year grant to establish the Richard J. Kissel Endowment	\$75,000
Nova Southeastern University 3301 College Avenue Fort Lauderdale, Florida 33314	NONE	PC	Eighteen-month grant for research on a non-pharmacologic intervention which would be managed by family caregivers to improve sleep in persons with dementia	\$94,390
Oregon Health & Science University Foundation 1121 SW Salmon Street, Suite 100 Portland, Oregon 97205-2021	NONE	PC	Three-year special initiative to advance end-of-life care by catalyzing the spread of POLST (Physician Orders for Life Sustaining Treatment) across the United States through small grants, technical assistance and national program office support	\$301,930

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Pension Rights Center 1350 Connecticut Avenue, NW, Suite 206 Washington, DC 20036-1739	NONE	PC	One-year grant to continue providing training and technical assistance to regional pension counseling and information programs across the country	\$50,000
Personal Assistance Telephone Help, Inc 201 E Grove Street, Suite 200 Bloomington, Illinois 61701	NONE	PC	Two-year grant to create self-neglect response system for older adults in McLean County, Illinois	\$20,852
Pillars Community Services 333 North LaGrange Road Suite One LaGrange Park, Illinois 60526	NONE	PC	One-year grant to continue a program providing mental health treatment to older adults including PEARLS therapy and case management	\$50,000
Pioneer Network In Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	One-year grant to develop and disseminate nationwide tools for implementing essential practices that enable person-centered care to 4,000 quality-focused nursing homes	\$60,000
Pioneer Network In Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	Two-year grant to produce a report documenting the advocacy strategies leading to the 1987 Nursing Home Reform Act and its implementation	\$19,500
Pioneer Network In Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	One-year grant to plan its 2014 annual meeting and add a program of revenue generating regional intensives to expand its audience	\$70,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Prairie State Legal Services, Inc 303 North Main Street, Suite 600 Rockford, Illinois 61101	NONE	PC	One-year grant to continue to improve a user-friendly, toll-free telephone helpline to improve access to legal services for low-income elders	\$35,200
Rainbow Hospice and Palliative Care 1550 Bishop Court Mount Prospect, Illinois 60056	NONE	PC	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program	\$121,480
Ravenswood Budlong Congregation 1632 N Milwaukee Avenue Chicago, Illinois 60647	NONE	PC	Eighteen-month grant to install elevator to provide access to all four levels	\$30,000
Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611	NONE	PC	Three-year grant for on-line database to assist rehabilitation clinicians working with older adult patients	\$47,865
Ruth & Norman Rales Jewish Family Services Inc 21300 Ruth & Baron Coleman Boulevard Boca Raton, Florida 33428-1757	NONE	PC	One-year grant for a workforce development program to train seniors to become senior companions	\$30,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Saint Aloysius Catholic Church 2300 West LeMoine Street Chicago, Illinois 60622	NONE	PC	One-year grant to install automatic door openers at the main entrance of the Monsignor Thiele Center	\$15,000
Saint Andre Bessette Catholic Church 601 W Burnside Street Portland, Oregon 97209	NONE	PC	One-year grant to address the needs of the elderly who are homeless	\$30,000
Saint Anthony Hospital Foundation 2875 West 19th Street Chicago, Illinois 60623	NONE	PC	A second-year support grant to assist Spanish-speaking elders with information, services, benefits and programs for their physical and emotional needs	\$15,000
Saint Gertrude Parish 9613 Schiller Boulevard Franklin Park, Illinois 60131	NONE	PC	Eighteen-month grant to renovate two restrooms for accessibility	\$16,000
Saint Gregory the Great Church 5545 N Paulina Street Chicago, Illinois 60640	NONE	PC	Eighteen-month grant to construct concrete entrance ramp for accessibility to the lower level of the gymnasium/activity building	\$30,000
Saint John's Community Care 222 Goethe Avenue Collinsville, Illinois 62234-3306	NONE	PC	One-year grant to expand adult day care services to a second site in Metro-East St Louis in southwestern Illinois	\$40,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Saint Martin's Episcopal Church 5710 West Midway Park Chicago, Illinois 60644	NONE	PC	Eighteen-month grant to a install vertical platform lift from the main level of the church and the administrative building for access to the lower level restrooms and meeting space	\$15,000
Saint Mary's Antiochian Orthodox Church 6330 West 127th Street Palos Heights, Illinois 60463	NONE	PC	Eighteen-month grant to install an elevator in the main church building to provide access to lower level activity locations	\$30,000
Saint Paul's Church by-the-Lake 7100 North Ashland Boulevard Chicago, Illinois 60626-2502	NONE	PC	Eighteen-month grant to renovate two restrooms for accessibility and install platform lift between the church and Pansh Hall	\$30,000
Sargent Shriver National Center on Poverty Law 50 E Washington Street, Suite 500 Chicago, Illinois 60602	NONE	PC	One-year grant to provide education about retirement insecurity facing private-sector workers and the benefits that an Automatic IRA Program in the state of Illinois could provide to improve their economic security	\$50,000
Second Sense 65 E Wacker Place Suite 1010 Chicago, Illinois 60601	NONE	PC	One-year grant to train seniors with new vision loss in orientation and mobility techniques	\$15,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Senior Services Associates, Inc 101 South Grove Avenue Elgin, Illinois 60120	NONE	PC	One-year grant to continue program enhancements within a senior companion program serving isolated older adults	\$22,425
Seniors Assistance Center 7774 W Irving Park Road Norridge, Illinois 60706	NONE	PC	One-year grant to increase the organization's capacity for effective resource development	\$41,089
Services & Advocacy for Gay, Lesbian, Bisexual and Transgender Elders, Inc 305 Seventh Avenue, 15th Floor New York, New York 10001	NONE	PC	One-year grant to enhance its training program on the needs of LGBT elders for mainstream aging services providers	\$40,000
Sinsinawa Dominicans 585 County Road Z Sinsinawa, Wisconsin 53824-9700	NONE	PC	Six-month grant to purchase and install equipment to expand its emergency call system	\$16,411
Social Development Foundation Inc. 4041 N Richards Street Milwaukee, Wisconsin 53212	NONE	PC	One-year grant to follow low-income elders newly enrolled in benefits programs and assist them with renewal applications	\$15,346
Society for Public Health 10 G Street, NE, Suite 605 Washington, District of Columbia 20002	NONE	PC	One-year grant to disseminate broadly a special issue of Health Education & Behavior addressing wellness education in an aging society	\$23,227
Southwest 8 Senior Services 300 West Broadway, Suite 240 Council Bluffs, Iowa 51503	NONE	PC	One-year grant to deliver an educational program on nutrition to older adults in an eight-county area in southwest Iowa	\$17,500

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Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Swedish Covenant Hospital 5145 North California Avenue Chicago, Illinois 60625	NONE	PC	One-year grant to expand a program providing post-hospitalization, chronic disease telemedicine support	\$20,185
Terra Nova Films, Inc 9848 South Winchester Avenue Chicago, Illinois 60643	NONE	PC	Third and final year of support to disseminate its online video resources widely, with a particular focus on reaching Spanish-speaking family caregivers in Chicago and throughout the country	\$68,500
The Equal Rights Center 11 Dupont Circle NW, Suite 450 Washington, District of Columbia 20036	NONE	PC	One-year grant to investigate housing discrimination and promote equal housing opportunities for LGBT older adults	\$30,000
The Foundation Center 79 Fifth Avenue New York, New York 10003	NONE	PC	One-year grant for 2013 Program Support	\$12,000
The Generations Project One North Capitol Avenue, Suite 1025 Indianapolis, Indiana 46204	NONE	PC	One-year grant to advocate for Long Term Services and Supports in Indiana	\$5,000
Touchette Regional Hospital 5900 Bond Avenue Centreville, Illinois 62207	NONE	PC	One-year grant to support expansion of its Patient Navigation Program for older adults	\$40,000
Trinity Evangelical Lutheran Church 504 E. Diggins Street Harvard, Illinois 60033	NONE	PC	Eighteen-month grant to renovate two main level church restrooms for accessibility	\$24,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
University of California, San Francisco Office of Sponsored Research Contracts and Grants 3333 California Street Suite 315 San Francisco, California 94118	NONE	PC	One-year grant to define a set of quality indicators for home care medicine and begin building a national registry of medical house call practices through which to study care procedures and structures associated with high quality care	\$109,805
University of Chicago 5801 S Ellis Avenue Chicago, Illinois 60637-5418	NONE	PC	One-year grant for improvements to and analysis of the impact of a web-based genetic diabetes decision support tool	\$59,781
University of Florida - IFAS, Food Science & Human Nutrition Department 572 Newell Drive PO Box 110370 Gainesville, Florida 32611	NONE	PC	One-year grant to pilot a nutrition education program for staff of senior living facilities	\$18,139
University of Florida Foundation PO Box 14425 1938 West University Avenue Gainesville, Florida 32604	NONE	PC	Two-year grant to strengthen and expand Shands Teaching Hospital's current NICHE (Nurse Improving Care for Healthsystem Elders) program, which trains nurses and others how to improve care of genetic patients	\$43,465
University of Illinois at Chicago Office of the Chancellor 2833 UH MC 102 601 South Morgan Chicago, Illinois 60607-7128	NONE	PC	Two-year grant for research to test replication strategies for Fit and Strong, an evidence-based exercise program for older adults with osteoarthritis	\$33,000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
University of Iowa Division of Sponsored Programs 2 Gilmore Hall 112 North Capital Street Iowa City, Iowa 52242	NONE	PC	One-year grant to compare use and costs of Medicare-funded hospital services among low-income older and disabled adults treated by Federally Qualified Health Centers with those who are not	\$36,532
University of Iowa Division of Sponsored Programs 2 Gilmore Hall 112 North Capital Street Iowa City, Iowa 52242	NONE	PC	One-year grant to compare use and costs of Medicare-funded hospital services among low-income older and disabled adults treated by Federally Qualified Health Centers with those who are not	\$35,000
University of Iowa Division of Sponsored Programs 2 Gilmore Hall 112 North Capital Street Iowa City, Iowa 52242	NONE	PC	One-year grant to develop, deliver, and evaluate webinars to build best practice knowledge of nursing home social workers and to attract social work students to careers in long-term care	\$26,358
University of Massachusetts Boston Office of Research and Sponsored Programs 100 Morrissey Blvd Boston, Massachusetts 02125	NONE	PC	One-year grant to replicate its pension counseling project to help retirees in Illinois, which is not served by a pension counseling program	\$51,877
University of Massachusetts Boston Office of Research and Sponsored Programs 100 Morrissey Blvd Boston, Massachusetts 02125	NONE	PC	Second-year support to continue to provide pension counseling to retirees in Illinois	\$50,000
Uptown Baptist Church 1011 West Wilson Avenue Chicago, Illinois 60640	NONE	PC	Eighteen-month grant to install an elevator and provide wheelchair spaces in the sanctuary	\$30,000
West Virginia Community Voices - WV Partnership for Elder Living 424 Washington Street West Charleston, West Virginia 25302	NONE	PC	One-year grant to train direct care workers	\$49,390
Woodstock Institute 29 East Madison Street, Suite 1710 Chicago, Illinois 60602-4566	NONE	PC	One-year grant to build support for a systemic policy solution to improve retirement security for Illinois private sector workers who lack access to employment retirement plans	\$12,500
Zion Evangelical Lutheran Church 330 Gnsword Street Elgin, Illinois 60123	NONE	PC	Eighteen-month grant to install platform lift to provide access to lower level, redesign exterior walks and add a new front door to provide accessibility	\$30,000

Grand Totals**\$5,104,975**

The Retirement Research Foundation

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**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2013
36-2429540**

**MATCHING GIFTS
ATTACHMENT B
Part XV Page 11
Details to Line 3a
Pages 1 through 2**

The Retirement Research Foundation
Matching Grants Listing
Form 990PF Part XV Line 3b
Year ended December 31, 2013

Attachment B

Name	Address	Relationship	Foundation Status	Purpose	Amount
Wayland Academy	101 N. University Avenue Beaver Dam, WI 53916	None	PC	Foundation Matching Gift Program	600.00
St. Gabriel Catholic Church	731 N. Ocean Blvd. Pompano Beach, FL 33062	None	PC	Foundation Matching Gift Program	600.00
Open Books LTD	213 W. Institute Place Chicago, IL 60610	None	PC	Foundation Matching Gift Program	300.00
Northwestern University	Matching Gifts 2020 Ridge Avenue, Suite 230 Evanston, IL 60208-4305	None	PC	Foundation Matching Gift Program	1,500.00
University of Chicago	1427 E. 60th Chicago, IL 60637	None	PC	Foundation Matching Gift Program	600.00
Support Our Aging Religious, Inc (SOARI)	3025 4th Street, NE Washington, DC 20017	None	PC	Foundation Matching Gift Program	750.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	1,800.00
Umoja Student Development Corporation	2935 West Polk, Room 116 Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	450.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	3,600.00
Immaculate Conception Parish	1431 N. North Park Ave Chicago, IL 60610	None	PC	Foundation Matching Gift Program	900.00
Office of Immigrant Affairs	Archdiocese of Chicago 3525 S. Lake Park Ave Chicago, IL 60653	None	PC	Foundation Matching Gift Program	750.00
Chicago Public Media, Inc	848 E. Grand Avenue Chicago, Illinois 60611	None	PC	Foundation Matching Gift Program	360.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	1,500.00
ProHealth Care Foundation	725 American Avenue Waukegan, WI 53188	None	PC	Foundation Matching Gift Program	150.00
Umoja Student Development Corporation	2935 West Polk, Room 116 Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	900.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	3,300.00
George Mason University Foundation	4400 University MSN T43 Fairfax, Virginia 22030	None	PC	Foundation Matching Gift Program	3,000.00
Archdiocese of Chicago	The Cardinal's Appeal 155 E. Superior Street Chicago, IL 60611	None	PC	Foundation Matching Gift Program	1,500.00
Central Catholic High School	1201 Airport Road Bloomington, IL 31701	None	PC	Foundation Matching Gift Program	150.00
Chicago Community Trust	111 East Wacker, Suite 1400 Chicago, IL 60601	None	PC	Foundation Matching Gift Program	150.00
Lake Forest Lake Bluff Sr Citizens Fdn	100 E. Old Mill Road Lake Forest, IL 60045	None	PC	Foundation Matching Gift Program	150.00
Cities at Peace	104 W. 27th Street, 12th Floor New York, New York 10001	None	PC	Foundation Matching Gift Program	3,300.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	150.00
Chicago Historical Society	1601 North Clark Street Chicago, Illinois 60614	None	PC	Foundation Matching Gift Program	150.00
Eastern Illinois Food Bank	2405 North Shore Drive Urbana, Illinois 61802	None	PC	Foundation Matching Gift Program	750.00
School Sisters of Notre Dame	13105 Watertown Plank Road Elm Grove, Wisconsin 53122	None	PC	Foundation Matching Gift Program	300.00
The Chicago Community Trust	111 East Wacker, Suite 1400 Chicago, IL 60601	None	PC	Foundation Matching Gift Program	750.00
Benedictine Sisters of Chicago	St. Scholastica Monastery 7430 N. Ridge Blvd Chicago, IL 60645-1913	None	PC	Foundation Matching Gift Program	1,500.00
Housing Opportunities & Maintenance	for the Elderly 1419 W. Carroll Avenue, FL 2 Chicago, IL 60607-1149	None	PC	Foundation Matching Gift Program	3,000.00
George Mason Univ/CHHS	4400 University Drive Fairfax, Virginia 22030	None	PC	Foundation Matching Gift Program	3,300.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	150.00
St. Baldrick's Foundation Inc	1333 S. Mayflower Ave. #400 Monrovia CA 91016	None	PC	Foundation Matching Gift Program	1,500.00
Little Brothers-Friends of the Elderly	355 N. Ashland Avenue Chicago, IL 60607	None	PC	Foundation Matching Gift Program	3,000.00
The Chicago Community Trust	111 East Wacker, Suite 1400 Chicago, IL 60601	None	PC	Foundation Matching Gift Program	15,000.00
University of Wisconsin Milwaukee Foundation	1440 E. North Ave Milwaukee, WI 53202	None	PC	Foundation Matching Gift Program	150.00
Free Spirit Media	1327 W. Washington #103-B Chicago, IL 60607	None	PC	Foundation Matching Gift Program	300.00
Avon Products Foundation	1 Avon Plaza Rye, New York 10580	None	PC	Foundation Matching Gift Program	150.00
Sickle Cell Fdn-Palm Beach Cty, Inc.	Palm Beach County, Inc. 1600 N. Australian Ave West Palm Beach, FL 33407	None	PC	Foundation Matching Gift Program	1,200.00
St. Gabriel Catholic Church	731 N. Ocean Blvd Pompano Beach, FL 33062	None	PC	Foundation Matching Gift Program	3,000.00
Catholic Charities-Archdiocese of Chicago	721 N. LaSalle St-4th Floor Chicago, IL 60654-3503	None	PC	Foundation Matching Gift Program	300.00
Avon Products Foundation	1 Avon Plaza Rye, New York 10580	None	PC	Foundation Matching Gift Program	1,500.00
Chicago Public Library Foundation	20 N. Michigan Ave -Suite 520 Chicago, IL 60602	None	PC	Foundation Matching Gift Program	1,800.00
Access Living of Metropolitan Chicago	115 W. Chicago Avenue Chicago, IL 60654	None	PC	Foundation Matching Gift Program	375.00
Avon Products Foundation	1 Avon Plaza Rye, New York 10580	None	PC	Foundation Matching Gift Program	375.00
National Ovarian Cancer Coalition	4900 Hopyard Rd #100 Pleasanton, CA 94588	None	PC	Foundation Matching Gift Program	150.00
The House Development Corporation	3827 W. Ogden Chicago, Illinois 60623	None	PC	Foundation Matching Gift Program	6,600.00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	675.00
Grantmakers in Aging, Inc.	2001 Jefferson Davis Hwy, #504 Arlington, VA 22202	None	PC	Foundation Matching Gift Program	300.00
University of Wisconsin Foundation	1848 University Avenue Madison, WI 53746-4090	None	PC	Foundation Matching Gift Program	375.00
Lincoln Park Village, Inc	2502 N. Clark Street Chicago, IL 60614	None	PC	Foundation Matching Gift Program	1,500.00
Lincoln Park Village, Inc	2502 N. Clark Street Chicago, IL 60614	None	PC	Foundation Matching Gift Program	1,500.00

The Retirement Research Foundation
Matching Grants Listing
Form 990PF Part XV Line 3b
Year ended December 31, 2013

Attachment B

Rev John P. Smyth Standing Tail Fnd	1100 North River Road Des Plaines, IL 60016	None	PC	Foundation Matching Gift Program	300 00
Benedictine Sisters of Chicago	St Scholastica Monastery 7430 N Ridge Blvd Chicago, IL 60645-1913	None	PC	Foundation Matching Gift Program	750 00
Umoja Student Development Corporation	2935 West Polk, Room 116 Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	150 00
OSOT America	1807 S Washington Suite 110 #359 Naperville, Illinois 60565	None	PC	Foundation Matching Gift Program	150 00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	3,300 00
Misericordia Home	6300 North Ridge Chicago, IL 60660	None	PC	Foundation Matching Gift Program	300 00
Children's Oncology Services, Inc	213 W. Institute Place, #511 Chicago, Illinois 60610	None	PC	Foundation Matching Gift Program	150 00
Loras College	1450 Alta Vista Street Dubuque, Iowa 52001	None	PC	Foundation Matching Gift Program	30,000 00
Lucile Packard Foundation for Children	725 Welch Road Palo Alto, CA 94304	None	PC	Foundation Matching Gift Program	150 00
Health & Medicine Policy Research Group	29 E Madison, #602 Chicago, Illinois 60602	None	PC	Foundation Matching Gift Program	1,500 00
American Red Cross	2200 W Harrison Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	150 00
Aging Care Connections	111 W Harris Ave LaGrange, IL 60525	None	PC	Foundation Matching Gift Program	300 00
Holy Trinity Roman Catholic Church	711 North Main Street Bloomington, Illinois 61701	None	PC	Foundation Matching Gift Program	3,000 00
National Able Network, Inc	180 N Wabash, Ste 600 Chicago, IL 60601	None	PC	Foundation Matching Gift Program	300 00
Rainbow Hospice and Palliative Care	1550 Bishop Ct Park Ridge, IL 60056	None	PC	Foundation Matching Gift Program	300 00
Wildflowers Institute	1144 Pacific Avenue San Francisco, CA 94133-4212	None	PC	Foundation Matching Gift Program	2,250 00
American Public Health Association	c/o Accounting Department 800 I Street NW Washington, D C 20001	None	PC	Foundation Matching Gift Program	1,500 00
Catholic Relief Services, Inc	P O Box 17090 Baltimore, MD 21297-0303	None	PC	Foundation Matching Gift Program	1,050 00
St. Raymond de Penafort Church	301 S I-Oka Mt. Prospect, IL 60056	None	PC	Foundation Matching Gift Program	300 00
Council on Aging of Martin County Inc	900 E Salerno Road Stuart, FL 34997	None	PC	Foundation Matching Gift Program	300 00
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	None	PC	Foundation Matching Gift Program	3,300 00
Housing Opportunities & Maintenance	for the Elderly 1419 W Carroll Avenue, FL 2 Chicago, IL 60607-1149	None	PC	Foundation Matching Gift Program	2,250 00
Rev. John P. Smyth Standing Tail Fdn	1100 N River Road Des Plaines, IL 60016	None	PC	Foundation Matching Gift Program	300 00
University of Wisc. Milwaukee Foundation	1440 E North Ave Milwaukee, WI 53202	None	PC	Foundation Matching Gift Program	3,750 00
Sinsinawa Dominicans, Inc.	585 County Road Z Sinsinawa, WI 53824-9700	None	PC	Foundation Matching Gift Program	1,050 00
Mount Mary University	2900 N Menomonee River Pkw. Milwaukee, WI 53222	None	PC	Foundation Matching Gift Program	3,000 00
Rehabilitation Institute of Chicago	345 E Superior Street Chicago, IL 60611	None	PC	Foundation Matching Gift Program	300 00
Lincoln Park Village, Inc	2502 N Clark Street Chicago, IL 60614	None	PC	Foundation Matching Gift Program	150 00
Lincoln Park Village, Inc.	2502 N Clark Street Chicago, IL 60614	None	PC	Foundation Matching Gift Program	300 00
American Red Cross	2200 W Harrison Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	300 00
The University of Chicago	5801 S Ellis Avenue Suite #7 Chicago, IL 60637	None	PC	Foundation Matching Gift Program	375 00
San Francisco Hospital Foundation	2789 25th St #2028 San Francisco, CA 94110	None	PC	Foundation Matching Gift Program	1,800 00
Doctors Without Borders	P O Box 5030 Hagerstown, MD 27141	None	PC	Foundation Matching Gift Program	750 00
LUNGevity Foundation	218 S Wabash #540 Chicago, Illinois 60604	None	PC	Foundation Matching Gift Program	150 00
Catholic Charities-Archdiocese of Chicago	721 N LaSalle St-4th Floor Chicago, IL 60654-3503	None	PC	Foundation Matching Gift Program	600 00
American Red Cross	2200 W Harrison Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	300 00
Chicago Symphony Orchestra	220 S Michigan Ave Chicago, IL 60604	None	PC	Foundation Matching Gift Program	300 00
University Club Foundation	76 E Monroe Street Chicago, IL 60603	None	PC	Foundation Matching Gift Program	300 00
Hinsdale Humane Society	22 N Elm Street Hinsdale, IL 60521	None	PC	Foundation Matching Gift Program	1,800 00
Generations Incorporated	25 Kingston St., 4th Floor Boston, MA 02111	None	PC	Foundation Matching Gift Program	450 00
Open Books LTD	213 W Institute Place Chicago, IL 60610	None	PC	Foundation Matching Gift Program	150 00
Free Spirit Media	1327 W Washington #103-B Chicago, IL 60607	None	PC	Foundation Matching Gift Program	75 00
Lincoln Park Village, Inc.	2502 N Clark Street Chicago, IL 60614	None	PC	Foundation Matching Gift Program	4,500 00
Housing Opportunities & Maintenance	for the Elderly 1419 W Carroll Avenue, FL 2 Chicago, IL 60607-1149	None	PC	Foundation Matching Gift Program	1,500 00
Umoja Student Development Corporation	2935 West Polk, Room 116 Chicago, Illinois 60612	None	PC	Foundation Matching Gift Program	450 00
Rush University Medical Center	Office of Philanthropy 1700 W. Van Buren Chicago, IL 60612	None	PC	Foundation Matching Gift Program	1,500 00
St. Jude Children's Research Hospital	Workplace Giving-ALSAC 501 St Jude Place Memphis, TN 38105	None	PC	Foundation Matching Gift Program	150 00
God's Awesome Army Ministries	P O Box 682528 Houston, TX 77268-2528	None	PC	Foundation Matching Gift Program	4,500 00
				TOAL Form 990PF Part XV Line 3b	161,010.00

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2013
36-2429540**

**GRANTS APPROVED FOR FUTURE PAYMENT
ATTACHMENT C
Part XV Page 11
Details to Line 3b
Pages 1 through 9**

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

13 November 2014

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Advocate Charitable Foundation 205 West Touhy Avenue, Suite 225 Park Ridge, Illinois 60068	NONE	PC	Two-year grant to train Advanced Practice Nurses in post-acute care to provide advance care planning consultation for patients with chronic and progressive illness	1/15/2014	\$50,000
Advocate Charitable Foundation 205 West Touhy Avenue, Suite 225 Park Ridge, Illinois 60068	NONE	PC	Two-year grant to train Advanced Practice Nurses in post-acute care to provide advance care planning consultation for patients with chronic and progressive illness	1/15/2015	\$38,900
AgeOptions, Inc. 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	NONE	PC	One-year grant to continue the Make Medicare Work Coalition that builds the capacity of service providers to help elderly understand and navigate health care benefits and advocate on their behalf	7/15/2014	\$60,000
AgeOptions, Inc. 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	NONE	PC	One-year grant to continue the Make Medicare Work Coalition that builds the capacity of service providers to help elderly understand and navigate health care benefits and advocate on their behalf	1/15/2014	\$60,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Aging & In-Home Services of Northeast Indiana, Inc. 2927 Lake Avenue Fort Wayne, Indiana 46805	NONE	PC	One-year grant to support caregivers of individuals with dementia and other chronic health conditions	1/15/2014	\$39,969
Alvio Medical Center, Inc. 966 West 21st Street Chicago, Illinois 60608	NONE	PC	One-year grant to continue implementing a program that recruits and trains volunteers to help seniors access benefits and resources	1/15/2014	\$20,000
American Academy of Home Care Medicine P O Box 1037 Edgewood, Maryland 21040-0337	NONE	PC	Two-year grant to complete a learning collaborative for improving cost effectiveness of homecare practices in the Independence at Home federal demonstration project	4/15/2014	\$29,715
American Academy of Home Care Medicine P O Box 1037 Edgewood, Maryland 21040-0337	NONE	PC	Two-year grant to complete a learning collaborative for improving cost effectiveness of homecare practices in the Independence at Home federal demonstration project	1/15/2015	\$29,715
American Medical Directors Association Foundation, Inc. 11000 Broken Land Parkway, Suite 405 Columbia, Maryland 21044	NONE	PC	Eighteen-month grant to update and disseminate AMDA Clinical Practice Guidelines for diabetes, COPD, and heart failure, for use by personnel working in long-term care facilities	1/15/2014	\$50,000
American Medical Directors Association Foundation, Inc. 11000 Broken Land Parkway, Suite 405 Columbia, Maryland 21044	NONE	PC	Eighteen-month grant to update and disseminate AMDA Clinical Practice Guidelines for diabetes, COPD, and heart failure, for use by personnel working in long-term care facilities	1/15/2015	\$45,183

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	NONE	PC	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	11/15/2014	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	NONE	PC	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	11/15/2015	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	NONE	PC	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	11/15/2016	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	NONE	PC	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	11/15/2017	\$6,000
Asian Health Coalition 180 W Washington St , Suite 1000 Chicago, Illinois 60602	NONE	PC	One-year grant to continue and expand a colorectal cancer screening program for elderly Asian Amencans	1/15/2014	\$30,000

The Retirement Research Foundation

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The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Brown University Office of Sponsored Projects Box 1929 164 Angell Street Providence, Rhode Island 02912-9002	NONE	PC	Three-year grant to evaluate toward objectives of RRF Initiative to supporting national expansion of the 'Physicians Orders for Life-Sustaining Treatment' paradigm	1/15/2014	\$20,000
Brown University Office of Sponsored Projects Box 1929 164 Angell Street Providence, Rhode Island 02912-9002	NONE	PC	One-year grant to examine the financial antecedents and outcomes of nursing home culture change adoption	4/15/2014	\$41,986
Catholic Charities of the Archdiocese of Chicago Saint Vincent Center 721 North LaSalle Street Chicago, Illinois 60654-3503	NONE	PC	One-year grant in continuation funding for a nutrition project that trains home care aides and the seniors whom they serve about proper nutrition and healthy meal preparation	1/15/2014	\$39,998
Chinese American Service League, Inc. 2141 South Tan Court Chicago, Illinois 60616	NONE	PC	Second-year support to provide the evidence-based falls management program, Matter of Balance, to older Chinese adults	7/15/2014	\$30,000
Chinese American Service League, Inc. 2141 South Tan Court Chicago, Illinois 60616	NONE	PC	Second-year support to provide the evidence-based falls management program, Matter of Balance, to older Chinese adults	1/15/2014	\$35,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Education, Training and Research Associates 4 Carbonero Way Scotts Valley, California 95066-4200	NONE	PC	Two-year grant to refine and test a cognitive behavioral therapy intervention addressing insomnia to help older adults with mild cognitive impairment who live in residential care facilities	7/15/2014	\$69,739
Education, Training and Research Associates 4 Carbonero Way Scotts Valley, California 95066-4200	NONE	PC	Two-year grant to refine and test a cognitive behavioral therapy intervention addressing insomnia to help older adults with mild cognitive impairment who live in residential care facilities	1/15/2014	\$80,000
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	NONE	PC	One-year grant for an advocacy effort to protect vulnerable, low-income elderly from the potential harmful effects of possible cuts to the Medicare program	1/15/2014	\$46,670
Family Service Agency of DeKalb County 14 Health Services Drive DeKalb, Illinois 60115	NONE	PC	Second-year support to help older adults become active, energized, and empowered to sustain healthy lives	1/15/2014	\$20,000
Greater Chicago Food Depository 4100 West Ann Lurie Place Chicago, Illinois 60632	NONE	PC	One-year grant to continue ensuring older adults have access to healthy food options	4/15/2014	\$75,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Hebrew SeniorLife Inc. 1200 Centre Street Boston, Massachusetts 02131-1097	NONE	PC	Two-year grant for a business plan to sustain and disseminate the HELP model of delirium prevention	1/15/2014	\$72,072
Hebrew SeniorLife Inc. 1200 Centre Street Boston, Massachusetts 02131-1097	NONE	PC	Two-year grant for a business plan to sustain and disseminate the HELP model of delirium prevention	1/15/2015	\$72,957
Hektoen Institute for Medical Research 2240 W Ogden, 2nd Floor Chicago, Illinois 60612	NONE	PC	Two-year grant for Phase 2 of a multi-center cohort study on victims of elder mistreatment seen in five Chicago-area medical centers	7/15/2014	\$40,000
Hospice Care Plus Inc. 208 Kidd Drive Berea, Kentucky 40403	NONE	PC	One-year grant to purchase video phones to respond to patients in remote areas more effectively	1/15/2014	\$22,000
Housing Opportunities and Maintenance for the Elderly 1419 West Carroll Avenue, FL 2 Chicago, Illinois 60607-1149	NONE	PC	One-year grant to re-establish a Moving Services Program for seniors	10/15/2014	\$20,000
Housing Opportunities and Maintenance for the Elderly 1419 West Carroll Avenue, FL 2 Chicago, Illinois 60607-1149	NONE	PC	One-year grant to re-establish a Moving Services Program for seniors	1/15/2014	\$40,000

The Retirement Research Foundation

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The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Illinois Partners for Human Service 310 S Peoria, Suite 404 Chicago, Illinois 60607	NONE		One-year grant to advocate for aging services providers in Illinois	1/15/2014	\$25,000
Indiana University Office of Research Administration Lockefield 2232 980 Indiana Avenue Indianapolis, Indiana 46202	NONE	PC	Two-year grant to test whether a new strength training program helps older adults maintain their independence	1/15/2014	\$50,000
Indiana University Office of Research Administration Lockefield 2232 980 Indiana Avenue Indianapolis, Indiana 46202	NONE	PC	Two-year grant to test whether a new strength training program helps older adults maintain their independence	10/15/2014	\$48,541
Indiana University Office of Research Administration Lockefield 2232 980 Indiana Avenue Indianapolis, Indiana 46202	NONE	PC	Two-year grant to test whether a new strength training program helps older adults maintain their independence	7/15/2015	\$89,001
ITNStCharles 101 Compass Point Drive, Suite A St Charles, Missouri 63301	NONE	PC	Eleven-month grant to expand a transportation program for seniors and persons with disabilities	1/15/2014	\$26,388

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Jane Addams Senior Caucus 1111 N Wells Street, Suite 302 Chicago, Illinois 60610	NONE	PC	Second-year support to continue an intensive training program preparing older women and their allies to advocate for issues relevant to Illinois' elders	1/15/2014	\$30,000
Jewish Family & Community Services of Southwest Florida 5025 Castello Drive Naples, Florida 34103	NONE	PC	Second-year support to expand the volunteer program that addresses social isolation of low-income, frail seniors in Southwest Florida	1/15/2014	\$31,543
Lincoln Park Village, Inc. 2502 N Clark Street, #225 Chicago, Illinois 60614	NONE	PC	Fifteen-month grant to build capacity for improved resource development	1/15/2014	\$16,550
Maplewood Housing for the Visually Impaired dba Friedman Place 5527 North Maplewood Avenue Chicago, Illinois 60625	NONE	PC	One-year grant to develop a strategic plan to guide the organization's growth	1/15/2014	\$13,000
Marquette University P O Box 1881 Milwaukee, Wisconsin 53201-1881	NONE	PC	One-year grant for research on ways to prepare family caregivers to recognize and take appropriate action for delirium symptoms in older adult family members	1/15/2014	\$50,000
Marquette University P O Box 1881 Milwaukee, Wisconsin 53201-1881	NONE	PC	One-year grant for research on ways to prepare family caregivers to recognize and take appropriate action for delirium symptoms in older adult family members	7/15/2014	\$54,480
National Academy of Social Insurance 1776 Massachusetts Avenue, NW - Suite 400 Washington, DC 20036-1904	NONE	PC	One-year grant to educate lower-income older Americans on how delaying Social Security claims until after the age of 62 can increase one's retirement benefits significantly	1/15/2014	\$25,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
National Council on Aging, Inc. 1901 L Street, N W , 4th Floor Washington, DC 20036	NONE	PC	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers	7/15/2014	\$72,131
National Council on Aging, Inc. 1901 L Street, N W , 4th Floor Washington, DC 20036	NONE	PC	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers	3/15/2014	\$133,543
National People's Action 810 North Milwaukee Avenue Chicago, Illinois 60642	NONE	PC	One-year grant in continuation funding to advocate to end predatory lending practices that target senior citizens	1/15/2014	\$45,000
National Senior Citizens Law Center 1444 Eye Street, NW, Suite 1100 Washington, DC 20005	NONE	PC	Two-year grant for advocacy in three states that are implementing managed care for long-term services and supports	7/15/2014	\$45,000
National Senior Citizens Law Center 1444 Eye Street, NW, Suite 1100 Washington, DC 20005	NONE	PC	Two-year grant for advocacy in three states that are implementing managed care for long-term services and supports	1/15/2014	\$45,000
National Senior Citizens Law Center 1444 Eye Street, NW, Suite 1100 Washington, DC 20005	NONE	PC	Two-year grant for advocacy in three states that are implementing managed care for long-term services and supports	1/15/2015	\$45,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
North Shore Senior Center 161 Northfield Road Northfield, Illinois 60093	NONE	PC	One-year grant to expand its Care Management Program to fill gaps in services and generate revenue toward financial self-sufficiency	1/15/2014	\$35,000
Pension Rights Center 1350 Connecticut Avenue, NW, Suite 206 Washington, DC 20036-1739	NONE	PC	One-year grant to continue providing training and technical assistance to regional pension counseling and information programs across the country	1/15/2014	\$25,000
Pioneer Network in Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	One-year grant to develop and disseminate nationwide tools for implementing essential practices that enable person-centered care to 4,000 quality-focused nursing homes	1/15/2014	\$79,008
Pioneer Network in Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	Two-year grant to produce a report documenting the advocacy strategies leading to the 1987 Nursing Home Reform Act and its implementation	7/15/2014	\$26,250
Pioneer Network in Culture Change P O Box 18609 Rochester, New York 14618	NONE	PC	Two-year grant to produce a report documenting the advocacy strategies leading to the 1987 Nursing Home Reform Act and its implementation	4/15/2015	\$26,250
Saint Martin's Episcopal Church 5710 West Midway Park Chicago, Illinois 60644	NONE	PC	Eighteen-month grant to a install vertical platform lift from the main level of the church and the administrative building for access to the lower level restrooms and meeting space	4/15/2014	\$15,000

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
Social Security Works Education Fund 815 16th Street NW - 4th floor Washington, District of Columbia 20006	NONE	PC	One-year grant to provide media training to older adults already involved in advocacy efforts involving retirement income security, so they will be much more effective in sharing their stories with decision makers and the media	1/15/2014	\$40,000
Social Security Works Education Fund 815 16th Street NW - 4th floor Washington, District of Columbia 20006	NONE	PC	One-year grant to provide media training to older adults already involved in advocacy efforts involving retirement income security, so they will be much more effective in sharing their stories with decision makers and the media	7/15/2014	\$40,000
University at Buffalo Foundation Services, Inc. P O Box 900 Buffalo, New York 14226	NONE	PC	Seven-month grant for advocacy work to ensure that a developing National Plan on Alzheimer's addresses support of person-centered programs for patients and caregivers, in addition to the longstanding goals to find a cure and develop new drug treatments	1/15/2014	\$50,000
University of Arizona, Sponsored Projects Services Office of Research and Contract Analysis P O Box 210158 Room 510 Tucson, Arizona 85721-0158	NONE	PC	One-year grant to develop and assess an innovative interactive online support group for older adults recently widowed	1/15/2014	\$44,980
University of California, San Francisco Office of Sponsored Research Contracts and Grants 3333 California Street Suite 315 San Francisco, California 94118	NONE	PC	One-year grant to help develop a national quality-improvement registry to improve the cost-effectiveness of primary care practice for home-bound patients	4/15/2014	\$60,000
The Retirement Research Foundation					

The Retirement Research Foundation: Form 990PF Part XV Line 3b EIN 36-2429540

Recipient's Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Schedule Date	Amount
University of California, San Francisco Office of Sponsored Research Contracts and Grants 3333 California Street Suite 315 San Francisco, California 94118	NONE	PC	One-year grant to help develop a national quality-improvement registry to improve the cost-effectiveness of primary care practice for home-bound patients	7/15/2014	\$38,803
University of Florida Foundation PO Box 14425 1938 West University Avenue Gainesville, Florida 32604	NONE	PC	Two-year grant to strengthen and expand Shands Teaching Hospital's current NICHE (Nurse Improving Care for Healthsystem Elders) program, which trains nurses and others how to improve care of geriatric patients	7/15/2014	\$45,789
University of Illinois at Chicago Office of the Chancellor 2833 UH MC 102 601 South Morgan Chicago, Illinois 60607-7128	NONE	PC	One- year grant to continue disseminating "Fit and Strong!", an evidence-based program to promote activity in persons with osteoarthritis	1/15/2014	\$61,929
University of Massachusetts Boston Office of Research and Sponsored Programs 100 Morrissey Blvd Boston, Massachusetts 02125	NONE	PC	Second-year support to continue to provide pension counseling to retirees in Illinois	1/15/2014	\$67,540
University of Wisconsin-Milwaukee P O Box 340 Milwaukee, Wisconsin 53201	NONE	PC	One-year grant for a pilot study of the T3 Protocol, which addresses stopping, altering, or replacing ineffective or unneeded treatments for nursing home residents with dementia	1/15/2014	\$54,558
Washington University in St. Louis Campus Box 1054 One Brookings Drive St Louis, Missouri 63130	NONE	PC	Two-year grant to develop and test messages for recruiting Boomers as volunteers for senior nutrition programs in rural and low-income, inner city neighborhoods	1/15/2014	\$45,000
Washington University in St. Louis Campus Box 1054 One Brookings Drive St Louis, Missouri 63130	NONE	PC	Two-year grant to develop and test messages for recruiting Boomers as volunteers for senior nutrition programs in rural and low-income, inner city neighborhoods	7/15/2014	\$40,626
Washington University in St. Louis Campus Box 1054 One Brookings Drive St Louis, Missouri 63130	NONE	PC	Two-year grant to develop and test messages for recruiting Boomers as volunteers for senior nutrition programs in rural and low-income, inner city neighborhoods	1/15/2015	\$60,179

Grand Totals
\$2,903,993

The Retirement Research Foundation

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Retirement Research Foundation

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a From MidContinent Capital Managed Accounts		P		
b From Form K-1 Global Endowment Management		P		
c From Form K-1 Global Endowment Management		P		
d From Form 4797		P		
e From Form 6781		P		
f From Form 6781		P		
g Litigation Proceeds From Past Trades		P		
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 461,987.			461,987.
b 3,723,646.			3,723,646.
c 5,921,615.			5,921,615.
d 24,544.			24,544.
e <170,257.>			<170,257.>
f <255,386.>			<255,386.>
g 12,333.			12,333.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			461,987.
b			3,723,646.
c			5,921,615.
d			24,544.
e			<170,257.>
f			<255,386.>
g			12,333.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	9,718,482.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 2

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Urban Partnership Bank	836.	836.	
Total to Part I, line 3	836.	836.	

Form 990-PF Dividends and Interest from Securities Statement 3

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Global Endowment Fund II LP	2,087,031.	0.	2,087,031.	2,087,031.	
Global Endowment Fund II LP	76,133.	0.	76,133.	76,133.	
Mid-Continent Capital	299,462.	0.	299,462.	299,462.	
To Part I, line 4	2,462,626.	0.	2,462,626.	2,462,626.	

Form 990-PF Other Income Statement 4

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Returned Grants	34,422.	0.	
Unrelated Business Taxable Income	68,659.	0.	
Net Ordinary and Other Portfolio Income and (Losses) from K-1	874,836.	874,836.	
Non Taxable Income and Non deductible expense-net	6,792.	0.	
Total to Form 990-PF, Part I, line 11	984,709.	874,836.	

Form 990-PF

Legal Fees

Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
General legal fees relating to foundation taxes: Quarles & Brady	387.	0.		387.
To Form 990-PF, Pg 1, ln 16a	387.	0.		387.

Form 990-PF

Accounting Fees

Statement 6

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Auditing&consulting fees: Murray, Grishaber LLC	17,000.	8,500.		8,500.
Bookkeeping, Payroll and Tax Services: Varey & Vaccariello CPAs PC	50,750.	32,450.		10,000.
To Form 990-PF, Pg 1, ln 16b	67,750.	40,950.		18,500.

Form 990-PF

Other Professional Fees

Statement 7

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment management services:	0.	0.		0.
Mid Continent Capital LLC	29,517.	29,517.		0.
Investment management services: Global Endowment Fund II	2,143,035.	2,131,264.		0.
Program Grant Evaluation Consultants	143,959.	0.		143,959.
To Form 990-PF, Pg 1, ln 16c	2,316,511.	2,160,781.		143,959.

Form 990-PF	Taxes			Statement	8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Excise tax	201,636.	0.		0.	
Tax on Foreign Dividends	59,161.	59,161.		0.	
Unrelated Business Income					
Taxes Fed	5,870.	0.		0.	
Unrelated Business Income					
Taxes IL	4,108.	0.		0.	
To Form 990-PF, Pg 1, ln 18	270,775.	59,161.		0.	

Form 990-PF	Other Expenses			Statement	9
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Computer expense	56,155.	0.		56,155.	
Insurance	13,542.	1,354.		12,188.	
Office Supplies and Expense	11,548.	1,203.		10,345.	
Dues and Memberships	9,360.	0.		9,360.	
Postage and Shipping	3,359.	336.		3,023.	
Organizational Capacity					
Building Initiative Expenses	1,743.	0.		1,743.	
To Form 990-PF, Pg 1, ln 23	95,707.	2,893.		92,814.	

Form 990-PF	U.S. and State/City Government Obligations			Statement	10
Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value	
Mid-Continent Capital-ETF's	X		0.	0.	
Total U.S. Government Obligations					
Total State and Municipal Government Obligations					
Total to Form 990-PF, Part II, line 10a			0.	0.	

Form 990-PF	Corporate Stock	Statement 11
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Description	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	8,375,816.	8,375,816.
Total to Form 990-PF, Part II, line 10b	8,375,816.	8,375,816.

Form 990-PF	Corporate Bonds	Statement 12
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Description	Book Value	Fair Market Value
Mid-Continent Capital-Corporate Bonds	1,313,604.	1,313,604.
Mid-Continent Capital-Corporate Preferreds	1,184,720.	1,184,720.
Mid-Continent Capital-ETF's	712,543.	712,543.
Total to Form 990-PF, Part II, line 10c	3,210,867.	3,210,867.

Form 990-PF	Other Investments	Statement 13
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Description	Valuation Method	Book Value	Fair Market Value
Global Endowment Fund II LP	FMV	122,258,956.	122,258,956.
Mid-Continent Capital-Other	COST	139,201.	139,201.
Total to Form 990-PF, Part II, line 13		122,398,157.	122,398,157.

Form 990-PF	Depreciation of Assets Not Held for Investment	Statement 14
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Description	Cost or Other Basis	Accumulated Depreciation	Book Value
Furniture & Fixtures	79,085.	75,213.	3,872.
Software	12,620.	12,620.	0.
Office Equipment	7,815.	7,815.	0.
Laser Jet Printer	1,351.	1,351.	0.
Copy Machine	20,295.	20,295.	0.
Eaton Battery Backup	1,173.	1,173.	0.
Toshiba Strategy Phone System	8,623.	8,623.	0.
CDW Color Printer	1,307.	934.	373.

New Office Chairs	4,152.	2,905.	1,247.
TWO FOLDING TABLES	432.	215.	217.
TWO 24" MONITORS HPZR24	774.	387.	387.
HP DL380 G7 KMAT CTO SERVER	16,624.	8,312.	8,312.
SERVER INSTALLATION	15,700.	7,850.	7,850.
NETWORK VM WARE CISCO	6,130.	3,065.	3,065.
NETWORK UPGRADE BACKUP SW	5,696.	2,848.	2,848.
Computer Equipment	15,931.	15,931.	0.
File Server	14,272.	4,281.	9,991.
15 Workstations	27,671.	8,301.	19,370.
Broadband Installation and EQ	5,400.	1,620.	3,780.
IPAD	499.	150.	349.
Off Eq HP Projector	1,135.	341.	794.
IPADs For Board	6,452.	628.	5,824.
Samsung Printer	1,190.	119.	1,071.
Total To Fm 990-PF, Part II, ln 14	254,327.	184,977.	69,350.

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 15
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
Nathaniel McParland, MD c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Chairman/Trustee 6.00	31,000.	0.	0.
Sister Stella Louise Slomka c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	14,000.	0.	0.
Marvin Meyerson c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 6.00	17,000.	0.	0.
Ruth Ann Watkins c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Secretary/Trustee 3.00	23,750.	0.	0.
Richard J. Kissel c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	2,000.	0.	0.
Irene Frye c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Executive Director 40.00	186,377.	27,425.	0.

Downey R. Varey, CPA
c/o RRF 8765 W Higgins #430
Chicago, IL 606314170

Treasurer/Trustee
6.00

22,250.

0.

0.

Marilyn Hennessy
c/o RRF 8765 W Higgins #430
Chicago, IL 606314170

Trustee
6.00

20,750.

0.

0.

Thomas R. Prohaska, Ph D
c/o RRF 8765 W Higgins #430
Chicago, IL 606314170

Trustee
6.00

20,750.

0.

0.

Cheryl E. Woodson, MD
c/o RRF 8765 W Higgins #430
Chicago, IL 606314170

Trustee
6.00

19,250.

0.

0.

Michael J. Starshak
c/o RRF 8765 W Higgins #430
Chicago, IL 606314170

Trustee
6.00

9,500.

0.

0.

Totals included on 990-PF, Page 6, Part VIII

366,627.27,425.0.

Form 990-PF

Officers, Directors, Trustees and
Foundation Managers Compensation Explanation
Part VIII, Line 1

Statement 16

Person's Name

Downey R Varey Trustee

Compensation Explanation

Mr Varey's compensation as Trustee for the year ended 12/31/13 totaled \$22,250. Mr. Varey also is a partner in the accounting firm of Varey & Vaccariello CPAs PC, which the Foundation paid accounting, consulting and tax related fees totaling \$50,750 for the year ended 12/31/13. All of those services to the Foundation were provided by Mr. Varey, and he was compensated by the accounting firm for them.

Form 990-PF

Grant Application Submission Information
Part XV, Lines 2a through 2d

Statement 17

Name and Address of Person to Whom Applications Should be Submitted

Irene Frye, Executive Director, Retirement Research Foundation
8765 W Higgins RD Ste 430
Chicago IL 606314022

Telephone NumberName of Grant Program

773-714-8080

Major Grant Programs are discussed below under
restrictions/limitations.Email Address

frye@rrf.org

Form and Content of Applications

Our Website www.rrf.org contains the form in which Applications should be submitted along with eligibility criteria, exclusions, and letters of inquiry with required inclusions. Web inquiries should be addressed to info@rrf.org. Application forms can be downloaded in Word for completion.

Any Submission Deadlines

February 1, May 1, and August 1

Restrictions and Limitations on Awards

Our Website www.rrf.org contains the eligibility requirements, restrictions or limitations for all major grant programs. The major grant programs are as follows: Advocacy; Direct Service; Professional Education; Research; Organizational Capacity Building Standard Grants; Organizational Capacity Building Flex-Fund Grants and Accessible Faith Grants.

Form 990-PF

Other Revenue

Statement 18

Description	Bus Code	Unrelated Business Inc	Excl Code	Excluded Amount	Related or Exempt Func- tion Income
Returned Grants					34,422.
Unrelated Business Taxable Income	523000	68,659.			
Net Ordinary and Other Portfolio Income and (Losses) from K-1			14	874,836.	
Non Taxable Income and Non deductible expense-net			14	6,792.	
Total to Form 990-PF, Pg 12, ln 11		68,659.		881,628.	34,422.

2013 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	Computer Equipment											
19	Laser Jet Printer	041006SL		5.00	16	1,351.			1,351.	1,351.		0.
	Eaton Battery											
22	Backup	080108SL		5.00	16	1,173.			1,173.	1,037.		136.
24	CDW Color Printer	020510SL		5.00	16	1,307.			1,307.	673.		261.
27	TWO 24" MONITORS	081611SL		5.00	16	774.			774.	232.		155.
	HP DL380 G7 KMAT											
28	CTO SERVER	092311SL		5.00	16	16,624.			16,624.	4,987.		3,325.
29	SERVER INSTALLATION	121211SL		5.00	16	15,700.			15,700.	4,710.		3,140.
32	Computer Equipment	VariesSL		5.00	16	15,931.			15,931.	15,931.		0.
33	File Server	063012SL		5.00	16	14,272.			14,272.	1,427.		2,854.
34	15 Workstations	063012SL		5.00	16	27,671.			27,671.	2,767.		5,534.
	Broadband											
35	Installation and EQ	063012SL		5.00	16	5,400.			5,400.	540.		1,080.
36	IPAD	063012SL		5.00	16	499.			499.	50.		100.
38	IPADs For Board	031913SL		5.00	16	6,452.			6,452.			628.
	* 990-PF Pg 1 Total											
	- Computer Equipme					107,154.		0.	107,154.	33,705.	0.	17,213.
	Furniture & Fixtures											
	Furniture & Fixtures											
2	Fixtures	VariesSL		5.00	16	79,085.		3,872.	75,213.	75,213.		0.
25	New Office Chairs	070610SL		5.00	16	4,152.			4,152.	2,075.		830.
26	TWO FOLDING TABLES	030811SL		5.00	16	432.			432.	129.		86.

328102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2013 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
37	Off Eqp HP Projector	063012SL		5.00	16	1,135.			1,135.	114.		227.
39	Samsung Printer	100813SL		5.00	16	1,190.			1,190.			119.
	* 990-PF Pg 1 Total					85,994.		3,872.	82,122.	77,531.	0.	1,262.
	- Furniture & Fixt											
	Office Equipment											
50	Office Equipment	VariesSL		5.00	16	7,815.			7,815.			0.
20	Copy Machine	010606SL		5.00	16	20,295.			20,295.	20,295.		0.
	Toshiba Strategy											
23	Phone System	040208SL		5.00	16	8,623.			8,623.	7,761.		862.
	* 990-PF Pg 1 Total					36,733.		0.	36,733.	35,871.	0.	862.
	- Office Equipment											
3	Software	032499SL		5.00	16	12,620.			12,620.	12,620.		0.
	NETWORK VM WARE											
30	CISCO	122111SL		5.00	16	6,130.			6,130.	1,839.		1,226.
	NETWORK UPGRADE											
31	BACKUP SW	122211SL		5.00	16	5,696.			5,696.	1,709.		1,139.
	* 990-PF Pg 1 Total					24,446.		0.	24,446.	16,168.	0.	2,365.
	- Office Equipment											
	* Grand Total					254,327.		3,872.	250,455.	163,275.	0.	21,702.
	990-PF Pg 1 Depr											

325102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction