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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ACKERMAN FOUNDATION		A Employer identification number 35-6567579	
Number and street (or P O box number if mail is not delivered to street address) 280 EAST 96TH STREET NO 350		B Telephone number (see instructions) (317) 663-0205	
City or town, state or province, country, and ZIP or foreign postal code INDIANAPOLIS, IN 46240		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 8,846,665		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	75			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,281	2,281		
	4 Dividends and interest from securities.	226,085	226,085		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	268,571			
	b Gross sales price for all assets on line 6a 2,559,719				
	7 Capital gain net income (from Part IV, line 2) . . .		268,571		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	204,326	3,071		
	12 Total. Add lines 1 through 11	701,338	500,008		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,100	0		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	15,051	4,322		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	156,192	156,192		0
	24 Total operating and administrative expenses. Add lines 13 through 23	175,343	160,514		0
	25 Contributions, gifts, grants paid	414,700			414,700
	26 Total expenses and disbursements. Add lines 24 and 25	590,043	160,514		414,700
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	111,295			
	b Net investment income (if negative, enter -0-)		339,494		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	760,696	172,436	172,436
	2	Savings and temporary cash investments	136,354	73,424	73,424
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,510,397	6,749,142	7,474,754
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,010,926	1,020,085	1,020,085
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	490,459	65,777	105,966	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,908,832	8,080,864	8,846,665	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	7,908,832	8,080,864	
	30	Total net assets or fund balances (see page 17 of the instructions)	7,908,832	8,080,864	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	7,908,832	8,080,864		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	7,908,832
2	Enter amount from Part I, line 27a	2	111,295
3	Other increases not included in line 2 (itemize) ▶ _____	3	60,737
4	Add lines 1, 2, and 3	4	8,080,864
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,080,864

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	268,571
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	432,006	8,485,352	0 050912
2011	413,250	8,869,955	0 046590
2010	365,000	8,283,611	0 044063
2009	399,748	7,381,566	0 054155
2008	412,025	9,072,981	0 045412

2	Total of line 1, column (d).	2	0 241132
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048226
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	8,452,282
5	Multiply line 4 by line 3.	5	407,620
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,395
7	Add lines 5 and 6.	7	411,015
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	414,700

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	3,395
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	3,395
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,395
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,000	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	8,000
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	34
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	4,571
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 4,571 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
IN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶CARDVENT.COM	13	Yes	
14	The books are in care of ▶JOHN F ACKERMAN Telephone no ▶(317) 663-0205 Located at ▶280 EAST 96TH STREET NO 350 INDIANAPOLIS IN ZIP +4 ▶46240			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN F ACKERMAN	TRUSTEE	0	0	0
13013 BRIGHTON LANE	0 00			
CARMEL, IN 46032				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	7,993,497
b	Average of monthly cash balances.	1b	481,534
c	Fair market value of all other assets (see instructions).	1c	105,966
d	Total (add lines 1a, b, and c).	1d	8,580,997
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,580,997
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	128,715
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,452,282
6	Minimum investment return. Enter 5% of line 5.	6	422,614

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	422,614
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	3,395
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,395
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	419,219
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	419,219
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	419,219

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	414,700
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	414,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	3,395
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	411,305
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				419,219
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			413,821	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 414,700				
a Applied to 2012, but not more than line 2a			413,821	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				879
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				418,340
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JOHN F ACKERMAN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JOHN F ACKERMAN
280 EAST 96TH STREET SUITE 350
INDIANAPOLIS, IN 46240
(317) 663-0205

b

The form in which applications should be submitted and information and materials they should include

MONEY REQUESTED AND PURPOSE FOR WHICH MONEY IS TO BE USED

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

IT IS CURRENTLY ANTICIPATED THAT THE ORGANIZATION WILL FOCUS ITS EFFORTS IN AREAS OF SCIENTIFIC AND MEDICAL RESEARCH, CARE, INCLUDING HOUSING FOR THE AGED AND GIFTS TO ENTITIES SUCH AS MUSEUMS, ZOOS, THE UNITED WAY AND THE RED CROSS. THE ORGANIZATION MAY ALSO, IN THE FUTURE, IMPLEMENT A SCHOLAR- SHIP PROGRAM, ALTHOUGH, NO SUCH PROGRAM IS PLANNED AT THIS TIME.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	414,700
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FROM HKW	P	2012-01-01	2013-12-31
FROM HKW	P	2013-06-30	2013-12-31
FROM CV FUND	P	2012-01-01	2013-12-31
FROM VONTOBEL	P	2013-06-30	2013-12-31
FROM VONTOBEL	P	2012-01-01	2013-12-31
GOLDMAN 67906-4	P	2013-06-30	2013-12-31
GOLDMAN 67906-4	P	2012-01-01	2013-12-31
GOLDMAN 67805-8	P	2013-06-30	2013-12-31
GOLDMAN 67945-2	P	2013-06-30	2013-12-31
GOLDMAN 67945-2	P	2012-01-01	2013-12-31
GOLDMAN 67910-6	P	2013-06-30	2013-12-31
GOLDMAN 67910-6	P	2012-01-01	2013-12-31
GOLDMAN 67913-0	P	2013-06-30	2013-12-31
GOLDMAN 67913-0	P	2012-01-01	2013-12-31
VONTOBEL NO US EQUITY LLC - LIQUIDATION OF INTEREST	P	2012-01-01	2013-12-31
CAPITAL GAINS DIVIDENDS	P		


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,982			7,982
		6,944	-6,944
175			175
13,089			13,089
45,814			45,814
506,107		472,295	33,812
381,494		345,512	35,982
79,276		69,438	9,838
310,226		308,021	2,205
54,640		57,059	-2,419
14,345		11,102	3,243
153,941		106,222	47,719
131,581		122,251	9,495
278,278		217,136	61,142
574,794		575,333	-539
7,977			7,977

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,982
			-6,944
			175
			13,089
			45,814
			33,812
			35,982
			9,838
			2,205
			-2,419
			3,243
			47,719
			9,495
			61,142
			-539
			7,977

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BREBEUF JESUIT PREPARATORY SCHOOL 2801 W 86TH STREET INDIANAPOLIS,IN 46268		PC	GENERAL PURPOSES	12,500
BUTLER UNIVERSITY 4600 SUNSET AVENUE INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	10,000
CENTER FOR LEADERSHIP DEVELOPMENT 2425 DR MARTIN LUTHER KING JR ST INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	5,000
CENTRAL INDIANA COMMUNITY FOUNDATION 615 N ALABAMA ST SUITE 119 INDIANAPOLIS,IN 46204		PC	GENERAL PURPOSES	10,000
CHILDREN'S MUSEUM 3000 N MERIDIAN STREET INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	5,000
CHRISTAMORE HOUSE 502 N TREMONT ST INDIANAPOLIS,IN 46222		PC	GENERAL PURPOSES	2,000
COLLEGE MENTORS 1060 N CAPITOL AVENUE SUIRE C430 INDIANAPOLIS,IN 46204		PC	GENERAL PURPOSES	25,000
CONNER PRAIRIE MUSEUM 13400 ALLISONVILLE ROAD FISHERS,IN 46038		PC	GENERAL PURPOSES	5,000
CROSSROADS OF AMERICA COUNCIL 7125 FALL CREEK ROAD NORTH INDIANAPOLIS,IN 46256		PC	GENERAL PURPOSES	1,000
DAMAR SERVICES 6067 DECATUR BLVD INDIANAPOLIS,IN 46241		PC	GENERAL PURPOSES	1,000
ESKANAZI HEALTH FOUNDATION 1001 W 10TH STREET INDIANAPOLIS,IN 46202		PC	GENERAL PURPOSES	5,000
FRIENDS OF HOLIDAY PARK 6363 SPRING MILL ROAD INDIANAPOLIS,IN 46260		PC	GENERAL PURPOSES	10,000
GLEANERS FOODBANK 3737 WALDEMERE AVE INDIANAPOLIS,IN 46241		PC	GENERAL PURPOSES	5,000
HERITAGE CLASSIC FOUNDATION 8213 WINHAVEN CIRCLE INDIANAPOLIS,IN 46236		PC	GENERAL PURPOSES	2,000
HERRON HIGH SCHOOL 110 EAST 16TH ST INDIANAPOLIS,IN 46202		PC	GENERAL PURPOSES	5,000
Total  3a				414,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INDIANA GOLF FOUNDATION PO BOX 516 FRANKLIN,IN 46131		PC	GENERAL PURPOSES	15,000
INDIANA REPERTORY THEATRE 140 W WASHINGTON ST INDIANAPOLIS,IN 46204		PC	GENERAL PURPOSES	2,500
INDIANAPOLIS HEBREW CONGREGATION 6501 N MERIDIAN INDIANAPOLIS,IN 46260		PC	GENERAL PURPOSES	8,700
INDIANAPOLIS MUSEUM OF ART 4000 MICHIGAN RD INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	1,000
INDIANAPOLIS SYMPHONY ORCHESTRA FOUNDATION 32 E WASHINGTON ST STE 600 INDIANAPOLIS,IN 46204		SO III FI	GENERAL PURPOSES	2,500
INDIANAPOLIS ZOO 1200 W WASHINGTON ST PO BOX 22309 INDIANAPOLIS,IN 46222		PC	GENERAL PURPOSES	25,000
IU FOUNDATION PO BOX 500 BLOOMINGTON,IN 47402		PC	GENERAL PURPOSES	5,000
JEWISH FEDERATION OF GREATER INDIANAPOLIS 6705 HOOVER RD INDIANAPOLIS,IN 46260		PC	GENERAL PURPOSES	50,000
KIPP INDIANAPOLIS INC 3202 E 42ND ST INDIANAPOLIS,IN 46205		PC	GENERAL PURPOSES	2,500
MARIAN UNIVERSITY 3200 COLD SPRING ROAD INDIANAPOLIS,IN 46222		PC	GENERAL PURPOSES	30,000
MDA 3300 EAST SUNRISE DRIVE TUCSON,AZ 85718		PC	GENERAL PURPOSES	25,000
METHODIST HEALTH FOUNDATION 1800 N CAPITOL AVE INDIANAPOLIS,IN 46202		SO I	GENERAL PURPOSES	5,000
OAKS ACADEMY 2301 N PARK AVE INDIANAPOLIS,IN 46205		PC	GENERAL PURPOSES	5,000
PROVIDENCE CRISTO REY HIGH SCHOOL 75 N BELLEVIEW PLACE INDIANAPOLIS,IN 46222		PC	GENERAL PURPOSES	20,000
SCHOOL ON WHEELS 2605 E 62ND ST NO 2005 INDIANAPOLIS,IN 46220		PC	GENERAL PURPOSES	5,000
Total  3a				414,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SECOND HELPINGS 1121 SOUTHEASTERN AVE INDIANAPOLIS,IN 46202		PC	GENERAL PURPOSES	2,500
SPECIAL OLYMPICS OF INDIANA 6100 W 96TH STREET STE 270 INDIANAPOLIS,IN 46278		PC	GENERAL PURPOSES	10,000
ST VINCENT HOSPITAL FOUNDATION 10330 N MERIDIAN ST NO 430N INDIANAPOLIS,IN 46290		SO I	GENERAL PURPOSES	15,000
STARFISH INITIATIVE 814 NORTH DELAWARE STREET STE A INDIANAPOLIS,IN 46204		PC	GENERAL PURPOSES	10,000
TEACH FOR AMERICA 315 WEST 36TH ST 8TH FLOOR NEWYORK,NY 10018		PC	GENERAL PURPOSES	15,000
TEAMMATES FOR KIDS 1900 WAZEE ST 205 DENVER,CO 80202		PC	GENERAL PURPOSES	5,000
TEENWORKS 2820 N MERIDIAN ST SUITE 103 INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	2,500
THE BOOTH TARKINGTON CIVIC THEATRE 3 CENTER GREEN SUITE 200 CARMEL,IN 46032		PC	GENERAL PURPOSES	2,000
THE MIND TRUST 1630 N MERIDIAN ST RM 330 INDIANAPOLIS,IN 46202		SO I	GENERAL PURPOSES	10,000
UNITED WAY OF CENTRAL INDIANA 3901 N MERIDIAN STREET INDIANAPOLIS,IN 46208		PC	GENERAL PURPOSES	30,000
UNIVERSITY OF IOWA 101 JESSUP HALL IOWA CITY,IA 52242		PC	GENERAL PURPOSES	1,000
WFYI TELEPLEX 1630 N MERIDIAN ST INDIANAPOLIS,IN 46202		PC	GENERAL PURPOSES	1,000
YMCA 615 N ALABAMA ST STE 200 INDIANAPOLIS,IN 46204		SO I	GENERAL PURPOSES	5,000
Total  3a				414,700

TY 2013 Accounting Fees Schedule

Name: THE ACKERMAN FOUNDATION

EIN: 35-6567579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,100	0		0

**TY 2013 Investments Corporate
Stock Schedule**

Name: THE ACKERMAN FOUNDATION
EIN: 35-6567579

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE INVESTMENTS	6,749,142	7,474,754

TY 2013 Investments - Other Schedule

Name: THE ACKERMAN FOUNDATION

EIN: 35-6567579

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS & OTHER INVESTMENTS	AT COST	1,020,085	1,020,085

TY 2013 Other Assets Schedule

Name: THE ACKERMAN FOUNDATION

EIN: 35-6567579

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LIFE INSURANCE POLICIES	212,398	65,777	105,966
DISTRIBUTION RECEIVABLE	278,061	0	0

TY 2013 Other Expenses Schedule

Name: THE ACKERMAN FOUNDATION

EIN: 35-6567579

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	156,192	156,192		0

TY 2013 Other Income Schedule

Name: THE ACKERMAN FOUNDATION

EIN: 35-6567579

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CV FUND INVESTORS, LLC	153	153	153
VONTOBEL	2,918	2,918	2,918
LIFE INSURANCE POLICY INCOME	201,255		201,255

TY 2013 Other Increases Schedule**Name:** THE ACKERMAN FOUNDATION**EIN:** 35-6567579

Description	Amount
BOOK TAX DIFFERENCES FROM PASS-THROUGH ENTITIES	13,123
BOOK TAX DIFFERENCES FROM CAPITAL GAINS	42,435
BOOK TAX DIFFERENCES FROM INTEREST AND DIVIDENDS	1,866
BOOK TAX DIFFERENCES FROM FOREIGN TAXES	3,313

TY 2013 Taxes Schedule**Name:** THE ACKERMAN FOUNDATION**EIN:** 35-6567579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	4,322	4,322		0
EXCISE TAX	10,729	0		0

ATTACHMENT

	Cost Basis	FMV
	<u>12/31/2013</u>	<u>12/31/2013</u>
Other Investments		
GS Bond Interest Receivable	1,163	-
GS - Corp Fi	1,293,977	1,245,966
GS - Eagle	399,154	548,106
GS - Goas Put	(7,272)	(1,656)
GS - Tact Adv	5,062,120	5,682,337
Mutual Funds	1,020,085	1,020,085
	<u>7,769,227</u>	<u>8,494,839</u>

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	40,667.43	Net Covered Long-Term Gains (Losses)	36,953.40	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	(6,751.57)	Net NonCovered Long-Term Gains (Losses)	(618.18)		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	33,915.86	Total Long-Term Gains (Losses)	36,335.22	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ABBOTT LABORATORIES CMN (002824100)	08/29/2012	01/17/2013	220.00	7,223.49	0.00	6,951.21	272.28
CRESCENT POINT ENERGY CORP CMN (22576C101)	08/29/2012	01/17/2013	116.00	4,503.01	0.00	4,753.68	(250.67)
UNITED TECHNOLOGIES CORP CMN (913017109)	08/29/2012	01/17/2013	88.00	7,606.06	0.00	7,049.68	556.38
VERMILION ENERGY INC CMN (923725105)	08/29/2012	01/17/2013	110.00	5,670.99	0.00	5,151.04	519.95
H J HEINZ CO CMN (423074103)	08/29/2012	02/19/2013	184.00	13,271.55	0.00	10,304.00	2,967.55
RPM INTERNATIONAL INC CMN (749685103)	01/23/2013	02/19/2013	66.00	2,057.59	0.00	2,077.68	(20.09)
RPM INTERNATIONAL INC CMN (749685103)	08/29/2012	02/19/2013	281.00	8,760.34	0.00	7,629.15	1,131.19
CANADIAN IMPERIAL BANK OF COMMERCE CMN (136069101)	01/23/2013	03/14/2013	18.00	1,439.40	0.00	1,504.08	(64.68)
CANADIAN IMPERIAL BANK OF COMMERCE CMN (136069101)	08/29/2012	03/14/2013	57.00	4,558.09	0.00	4,383.30	174.79
H J HEINZ CO CMN (423074103)	10/02/2012	03/14/2013	42.00	3,044.87	0.00	2,366.83	678.04
H J HEINZ CO CMN (423074103)	08/29/2012	03/14/2013	68.00	4,929.79	0.00	3,808.00	1,121.79
H J HEINZ CO CMN (423074103)	01/23/2013	03/14/2013	81.00	5,872.25	0.00	4,881.06	991.19
PRAXAIR, INC CMN SERIES (74005P104)	01/23/2013	05/06/2013	30.00	3,417.31	0.00	3,332.40	84.91
PRAXAIR, INC CMN SERIES (74005P104)	08/29/2012	05/06/2013	93.00	10,593.65	0.00	9,735.24	858.41
AUTOMATIC DATA PROCESSING INC CMN (053015103)	08/29/2012	05/17/2013	32.00	2,281.93	0.00	1,859.20	422.73
BRISTOL-MYERS SQUIBB COMPANY CMN (110122108)	08/29/2012	06/10/2013	122.00	5,782.15	0.00	4,011.36	1,770.79

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.



REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ACCENTURE PLC CMN (G1151C101)	02/19/2013	07/05/2013	116 00	8,507 75	0 00	8,655 34	(147 59)
BANK OF NOVA SCOTIA CMN (064149107)	01/23/2013	07/05/2013	45 00	2,354 65	0 00	2,619 00	(264 35)
BANK OF NOVA SCOTIA CMN (064149107)	08/29/2012	07/05/2013	147 00	7,691 87	0 00	7,924 77	(232 90)
BLACKROCK, INC CMN (09247X101)	08/29/2012	08/06/2013	36 00	10,251 04	0 00	6,370 20	3,880 84
ANALOG DEVICES, INC CMN (032654105)	08/29/2012	08/21/2013	148 00	6,923 47	0 00	5,804 56	1,118 91
UNITED TECHNOLOGIES CORP CMN (913017109)	01/23/2013	08/21/2013	24 00	2,442 47	0 00	2,112 72	329 75
UNITED TECHNOLOGIES CORP CMN (913017109)	08/29/2012	08/21/2013	88 00	8,955 73	0 00	7,049 68	1,906 05
ABBVIE INC CMN (00287Y109)	01/23/2013	10/03/2013	57 00	2,624 44	0 00	2,119 83	504 61
ALTRIA GROUP, INC CMN (02209S103)	01/23/2013	10/03/2013	99 00	3,439 20	0 00	3,254 13	185 07
ANALOG DEVICES, INC CMN (032654105)	01/23/2013	10/03/2013	81 00	3,756 71	0 00	3,494 34	262 37
APPLE, INC CMN (037833100)	07/05/2013	10/03/2013	27 00	13,040 50	0 00	11,238 54	1,801 96
ARTHUR J GALLAGHER & CO CMN (363576109)	01/23/2013	10/03/2013	60 00	2,628 39	0 00	2,145 60	482 79
AT&T INC CMN (00206R102)	01/23/2013	10/03/2013	66 00	2,224 16	0 00	2,235 42	(11 26)
AUTOMATIC DATA PROCESSING INC CMN (053015103)	01/23/2013	10/03/2013	48 00	3,415 14	0 00	2,853 60	561 54
AUTOMATIC DATA PROCESSING INC CMN (053015103)	03/14/2013	10/03/2013	118 00	8,395 55	0 00	7,619 79	775 76
BAXTER INTERNATIONAL INC CMN (071813109)	01/23/2013	10/03/2013	39 00	2,518 19	0 00	2,610 66	(92 47)
BCE INC CMN (05534B760)	01/23/2013	10/03/2013	60 00	2,527 16	0 00	2,631 00	(103 84)
BLACKROCK, INC CMN (09247X101)	01/23/2013	10/03/2013	15 00	4,054 88	0 00	3,543 45	511 43
BRISTOL-MYERS SQUIBB COMPANY CMN (110122108)	01/23/2013	10/03/2013	114 00	5,319 15	0 00	3,958 97	1,360 18
CHEVRON CORPORATION CMN (166764100)	01/23/2013	10/03/2013	45 00	5,328 81	0 00	5,185 35	143 46
CHEVRON CORPORATION CMN (166764100)	01/17/2013	10/03/2013	62 00	7,341 91	0 00	7,145 08	196 83
CISCO SYSTEMS, INC CMN (17275R102)	01/23/2013	10/03/2013	162 00	3,727 55	0 00	3,359 07	368 48
CISCO SYSTEMS, INC CMN (17275R102)	03/14/2013	10/03/2013	180 00	4,141 73	0 00	3,893 09	248 64
CISCO SYSTEMS, INC CMN (17275R102)	12/13/2012	10/03/2013	565 00	13,000 42	0 00	11,137 17	1,863 25
COCA-COLA COMPANY (THE) CMN (191216100)	01/23/2013	10/03/2013	81 00	3,013 96	0 00	3,014 82	(0 86)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CULLEN FROST BANKERS INC CMN (229899109)	07/05/2013	10/03/2013	132 00	9,242 48	0 00	9,458 71	(216 23)
CULLEN FROST BANKERS INC CMN (229899109)	08/21/2013	10/03/2013	151 00	10,572 83	0 00	11,171 19	(598 36)
EMERSON ELECTRIC CO CMN (291011104)	01/23/2013	10/03/2013	69 00	4,405 57	0 00	3,917 13	488 44
GENERAL ELECTRIC CO CMN (369604103)	01/17/2013	10/03/2013	113 00	2,724 38	0 00	2,413 17	311 21
GENERAL ELECTRIC CO CMN (369604103)	12/13/2012	10/03/2013	219 00	5,280 00	0 00	4,773 28	506 72
GENERAL ELECTRIC CO CMN (369604103)	01/23/2013	10/03/2013	231 00	5,569 31	0 00	5,025 41	543 90
GENUINE PARTS CO CMN (372460105)	01/23/2013	10/03/2013	42 00	3,338 82	0 00	2,757 30	581 52
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	01/23/2013	10/03/2013	54 00	2,750 17	0 00	2,419 20	330 97
INTEL CORPORATION CMN (458140100)	06/10/2013	10/03/2013	130 00	2,941 85	0 00	3,239 69	(297 84)
INTEL CORPORATION CMN (458140100)	01/23/2013	10/03/2013	174 00	3,937 55	0 00	3,671 40	266 15
JOHNSON & JOHNSON CMN (478160104)	01/17/2013	10/03/2013	57 00	4,948 65	0 00	4,157 83	790 82
JOHNSON & JOHNSON CMN (478160104)	01/23/2013	10/03/2013	69 00	5,990 47	0 00	5,020 44	970 03
KIMBERLY CLARK CORP CMN (494368103)	09/13/2013	10/03/2013	40 00	3,782 73	0 00	3,825 37	(42 64)
KIMBERLY CLARK CORP CMN (494368103)	01/23/2013	10/03/2013	48 00	4,539 28	0 00	4,179 36	359 92
KINDER MORGAN INC CMN CLASS P (49456B101)	01/23/2013	10/03/2013	123 00	4,354 12	0 00	4,632 18	(278 06)
KRAFT FOODS GROUP, INC CMN (50076Q106)	01/23/2013	10/03/2013	72 00	3,778 49	0 00	3,371 76	406 73
KRAFT FOODS GROUP, INC CMN (50076Q106)	03/14/2013	10/03/2013	82 00	4,303 29	0 00	4,155 32	147 97
KRAFT FOODS GROUP, INC CMN (50076Q106)	12/13/2012	10/03/2013	251 00	13,172 25	0 00	11,575 29	1,596 96
LOCKHEED MARTIN CORPORATION CMN (539830109)	08/06/2013	10/03/2013	62 00	7,637 02	0 00	7,703 38	(66 36)
LOCKHEED MARTIN CORPORATION CMN (539830109)	08/21/2013	10/03/2013	90 00	11,086 00	0 00	11,125 31	(39 31)
MATTEL, INC CMN (577081102)	01/23/2013	10/03/2013	96 00	4,050 50	0 00	3,525 12	525 38
MC DONALDS CORP CMN (580135101)	01/23/2013	10/03/2013	63 00	5,976 08	0 00	5,884 20	91 88
MERCK & CO, INC CMN (58933Y105)	01/23/2013	10/03/2013	39 00	1,891 47	0 00	1,661 01	230 46
MICROSOFT CORPORATION CMN (594918104)	01/23/2013	10/03/2013	117 00	3,948 68	0 00	3,217 50	731 18
MICROSOFT CORPORATION CMN (594918104)	01/17/2013	10/03/2013	418 00	14,107 25	0 00	11,418 05	2,689 20

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NEXTERA ENERGY, INC CMN (65339F101)	01/23/2013	10/03/2013	18 00	1,431 51	0 00	1,288 98	142 53
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/23/2013	10/03/2013	42 00	3,186 06	0 00	2,826 18	359 88
ONEOK INC CMN (682680103)	01/23/2013	10/03/2013	96 00	5,119 59	0 00	4,519 68	599 91
PAYCHEX, INC CMN (704326107)	08/06/2013	10/03/2013	240 00	9,499 03	0 00	9,729 24	(230 21)
PHILIP MORRIS INTL INC CMN (718172109)	01/23/2013	10/03/2013	54 00	4,728 16	0 00	4,785 48	(57 32)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/23/2013	10/03/2013	48 00	3,645 54	0 00	3,383 52	262 02
QUALCOMM INC CMN (747525103)	06/10/2013	10/03/2013	33 00	2,216 57	0 00	2,045 95	170 62
QUALCOMM INC CMN (747525103)	05/06/2013	10/03/2013	233 00	15,650 33	0 00	14,861 53	788 80
SPECTRA ENERGY CORP CMN (847560109)	09/13/2013	10/03/2013	376 00	12,952 97	0 00	12,557 08	395 89
THE SOUTHERN CO CMN (842587107)	01/23/2013	10/03/2013	75 00	3,046 45	0 00	3,285 00	(238 55)
THE WILLIAMS COMPANIES, INC CMN (969457100)	01/23/2013	10/03/2013	129 00	4,694 23	0 00	4,513 25	180 98
TUPPERWARE BRANDS CORPORATION CMN (899896104)	02/19/2013	10/03/2013	88 00	7,625 06	0 00	6,969 15	655 91
U S BANCORP CMN (902973304)	08/21/2013	10/03/2013	194 00	7,073 12	0 00	7,184 36	(111 24)
U S BANCORP CMN (902973304)	02/19/2013	10/03/2013	355 00	12,943 07	0 00	12,088 71	854 36
Net Covered Short-Term Gains (Losses)				466,778.23	0.00	426,110.80	40,667.43

NonCovered Short-Term Gains (Losses)

DIGITAL REALTY TRUST, INC CMN (253868103)	08/29/2012	05/17/2013	94 00	5,938 59	0 00	6,994 59	(1,056 00)
DIGITAL REALTY TRUST, INC CMN (253868103)	01/23/2013	08/06/2013	48 00	2,659 55	0 00	3,393 12	(733 57)
DIGITAL REALTY TRUST, INC CMN (253868103)	08/29/2012	08/06/2013	69 00	3,823 10	0 00	5,134 32	(1,311 22)
NATIONAL RETAIL PROPERTIES INC CMN (637417106)	01/23/2013	08/21/2013	63 00	1,958 24	0 00	2,035 69	(77 45)
NATIONAL RETAIL PROPERTIES INC CMN (637417106)	08/29/2012	08/21/2013	227 00	7,055 87	0 00	6,976 21	79 66
HCP, INC CMN (40414L109)	01/23/2013	10/03/2013	66 00	2,606 38	0 00	3,060 96	(454 58)
HCP, INC CMN (40414L109)	03/14/2013	10/03/2013	82 00	3,238 22	0 00	4,030 86	(792 64)
HEALTH CARE REIT INC (DEL) CMN (42217K106)	05/17/2013	10/03/2013	22 00	1,354 07	0 00	1,697 59	(343 52)

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Tax Year Account No Legal Name
2013 020-67906-4 THE ACKERMAN FOUNDATION JOHN

REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
HEALTH CARE REIT INC (DEL) CMN (42217K106)	01/23/2013	10/03/2013	54 00	3,323 65	0 00	3,335 41	(11 76)
REALTY INCOME CORPORATION CMN (756109104)	01/23/2013	10/03/2013	33 00	1,311 07	0 00	1,426 19	(115 12)
VENTAS, INC CMN (92276F100)	05/17/2013	10/03/2013	98 00	6,060 21	0 00	7,995 58	(1,935 37)
Net NonCovered Short-Term Gains (Losses)				39,328.95	0.00	46,080.52	(6,751.57)

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GENUINE PARTS CO CMN (372460105)	08/29/2012	09/13/2013	51 00	4,065 62	0 00	3,211 47	854 15
KINDER MORGAN INC CMN CLASS P (49456B101)	08/29/2012	09/13/2013	353 00	12,431 59	0 00	12,429 13	2 46
ABBVIE INC CMN (00287Y109)	08/29/2012	10/03/2013	220 00	10,129 41	0 00	7,537 99	2,591 42
ALTRIA GROUP, INC CMN (02209S103)	08/29/2012	10/03/2013	331 00	11,498 74	0 00	11,447 64	51 10
ANALOG DEVICES, INC CMN (032654105)	08/29/2012	10/03/2013	146 00	6,771 36	0 00	5,726 12	1,045 24
ARTHUR J GALLAGHER & CO CMN (363576109)	08/29/2012	10/03/2013	216 00	9,462 19	0 00	7,734 96	1,727 23
AT&T INC CMN (00206R102)	08/29/2012	10/03/2013	233 00	7,851 96	0 00	8,567 41	(715 45)
AUTOMATIC DATA PROCESSING INC CMN (053015103)	08/29/2012	10/03/2013	129 00	9,178 19	0 00	7,494 90	1,683 29
BAXTER INTERNATIONAL INC CMN (071813109)	08/29/2012	10/03/2013	139 00	8,975 07	0 00	8,162 08	812 99
BCE INC CMN (05534B760)	08/29/2012	10/03/2013	251 00	10,571 93	0 00	11,310 06	(738 13)
BLACKROCK, INC CMN (09247X101)	08/29/2012	10/03/2013	19 00	5,136 18	0 00	3,362 05	1,774 13
BRISTOL-MYERS SQUIBB COMPANY CMN (110122108)	08/29/2012	10/03/2013	282 00	13,157 89	0 00	9,272 16	3,885 73
CHEVRON CORPORATION CMN (166764100)	08/29/2012	10/03/2013	82 00	9,710 27	0 00	9,161 04	549 23
COCA-COLA COMPANY (THE) CMN (191216100)	08/29/2012	10/03/2013	298 00	11,088 38	0 00	11,234 60	(146 22)
EMERSON ELECTRIC CO CMN (291011104)	08/29/2012	10/03/2013	242 00	15,451 43	0 00	12,455 74	2,995 69
GENERAL ELECTRIC CO CMN (369604103)	10/02/2012	10/03/2013	120 00	2,893 15	0 00	2,728 54	164 61

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GENERAL ELECTRIC CO CMN (369604103)	08/29/2012	10/03/2013	298 00	7,184 65	0 00	6,217 77	966 88
GENUINE PARTS CO CMN (372460105)	08/29/2012	10/03/2013	96 00	7,631 59	0 00	6,045 12	1,586 47
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	08/29/2012	10/03/2013	197 00	10,033 03	0 00	9,020 63	1,012 40
INTEL CORPORATION CMN (458140100)	10/02/2012	10/03/2013	110 00	2,489 26	0 00	2,493 15	(3 89)
INTEL CORPORATION CMN (458140100)	08/29/2012	10/03/2013	530 00	11,993 69	0 00	13,048 60	(1,054 91)
JOHNSON & JOHNSON CMN (478160104)	08/29/2012	10/03/2013	179 00	15,540 50	0 00	12,104 79	3,435 71
KIMBERLY CLARK CORP CMN (494368103)	08/29/2012	10/03/2013	165 00	15,603 78	0 00	13,801 43	1,802 35
KINDER MORGAN INC CMN CLASS P (49456B101)	08/29/2012	10/03/2013	85 00	3,008 95	0 00	2,992 85	16 10
MATTEL, INC CMN (577081102)	08/29/2012	10/03/2013	328 00	13,839 22	0 00	11,092 96	2,746 26
MC DONALDS CORP CMN (580135101)	08/29/2012	10/03/2013	224 00	21,248 27	0 00	20,036 80	1,211 47
MERCK & CO, INC CMN (58933Y105)	08/29/2012	10/03/2013	121 00	5,868 39	0 00	5,215 81	652 58
NEXTERA ENERGY, INC CMN (65339F101)	08/29/2012	10/03/2013	69 00	5,487 47	0 00	4,672 68	814 79
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	08/29/2012	10/03/2013	178 00	13,502 84	0 00	10,505 56	2,997 28
ONEOK INC CMN (682680103)	08/29/2012	10/03/2013	329 00	17,545 26	0 00	14,673 40	2,871 86
PHILIP MORRIS INTL INC CMN (718172109)	08/29/2012	10/03/2013	173 00	15,147 61	0 00	15,805 28	(657 67)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	08/29/2012	10/03/2013	174 00	13,215 07	0 00	11,680 62	1,534 45
THE SOUTHERN CO CMN (842587107)	08/29/2012	10/03/2013	274 00	11,129 68	0 00	12,480 37	(1,350 69)
THE WILLIAMS COMPANIES, INC CMN (969457100)	08/29/2012	10/03/2013	462 00	16,811 88	0 00	14,977 39	1,834 49
Net Covered Long-Term Gains (Losses)				355,654.50	0.00	318,701.10	36,953.40
NonCovered Long-Term Gains (Losses)							
HCP, INC CMN (40414L109)	08/29/2012	10/03/2013	238 00	9,398 76	0 00	10,649 55	(1,250 79)
HEALTH CARE REIT INC (DEL) CMN (42217K106)	08/29/2012	10/03/2013	189 00	11,632 74	0 00	10,753 15	879 59
REALTY INCOME CORPORATION CMN (756109104)	08/29/2012	10/03/2013	121 00	4,807 24	0 00	5,054 22	(246 98)
Net NonCovered Long-Term Gains (Losses)				25,838.74	0.00	26,456.92	(618.18)

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REALIZED GAINS AND LOSSES

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	9,837.82	Net Covered Long-Term Gains (Losses)	0.00	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	0.00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	9,837.82	Total Long-Term Gains (Losses)	0.00	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SPDR S&P 500 ETF TRUST (78462F103)	08/28/2012	07/05/2013	490.00	79,275.72	0.00	69,437.90	9,837.82
Net Covered Short-Term Gains (Losses)				79,275.72	0.00	69,437.90	9,837.82

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REALIZED GAINS AND LOSSES

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	0 00	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	2,205 33	Net NonCovered Long-Term Gains (Losses)	(2,419 24)		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	2,205.33	Total Long-Term Gains (Losses)	(2,419.24)	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
NYSE EURONEXT 2 0% 10/05/2017 SR LIEN M-W+20 00BP (629491AB7)	10/01/2012	03/14/2013	50,000 00	50,906 00	0 00	49,834 50	1,071 50
UNITEDHEALTH GROUP INC 4 875% 03/15/2015 (91324PAM4)	09/21/2012	03/14/2013	50,000 00	54,096 00	(949 92)	53,975 08	120 92
WELLPOINT, INC 1 25% 09/10/2015 SR LIEN M-W+15 00BP (94973VAZ0)	09/06/2012	03/14/2013	50,000 00	50,281 50	0 00	49,979 50	302 00
CATERPILLAR INC 1 5% 06/26/2017 SR LIEN M-W+12 50BP (149123BZ3)	09/18/2012	04/03/2013	50,000 00	50,830 00	(89 10)	50,707 90	122 10
JPMORGAN CHASE & CO 5 7% 11/15/2014 USD (07385TAJ5)	09/12/2012	04/03/2013	50,000 00	53,832 50	(1,269 81)	53,682 19	150 31
THE CLOROX COMPANY 3 05% 09/15/2022 SR LIEN M-W+25 00BP (189054AT6)	09/11/2012	04/03/2013	50,000 00	50,280 00	0 00	49,841 50	438 50
Net NonCovered Short-Term Gains (Losses)				310,226.00	(2,308.83)	308,020.67	2,205.33

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Tax Year Account No Legal Name
2013 020-67945-2 THE ACKERMAN FOUNDATION JOHN

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
TIME WARNER CABLE INC 5 85% 05/01/2017 USD (88732JAH1)	09/25/2012	12/13/2013	50,000 00	54,640 00	(2,470 26)	57,059 24	(2,419 24)
Net NonCovered Long-Term Gains (Losses)				54,640.00	(2,470.26)	57,059.24	(2,419.24)

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Tax Year Account No Legal Name
2013 020-67910-6 THE ACKERMAN FOUNDATION JOHN

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	3,243.44	Net Covered Long-Term Gains (Losses)	47,718.65	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	0.00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	3,243.44	Total Long-Term Gains (Losses)	47,718.65	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ³	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
3M COMPANY CMN (88579Y101)	08/29/2012	04/24/2013	50.00	5,387.88	0.00	4,631.50	756.38
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	08/29/2012	07/01/2013	0.50	7.68	0.00	0.00	7.68
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	08/29/2012	07/16/2013	100.00	4,207.87	0.00	3,313.47 ✓	894.40
CONSTELLATION BRANDS INC CMN CLASS A (21036P108)	02/14/2013	11/25/2013	50.00	3,454.93	0.00	2,182.78 ✓	1,272.15
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/17/2013	11/25/2013	25.00	1,286.97	0.00	974.14 ✓	312.83
Net Covered Short-Term Gains (Losses)				14,345.33	0.00	11,101.89	3,243.44

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ³	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
3M COMPANY CMN (88579Y101)	08/29/2012	11/25/2013	25.00	3,281.19	0.00	2,315.75 ✓	965.44
ALTERA CORP CMN (021441100)	08/29/2012	11/25/2013	150.00	4,853.91	0.00	5,553.75 ✓	(699.84)
AON PLC CMN (G0408V102)	08/29/2012	11/25/2013	165.00	13,443.96	0.00	8,599.67 ✓	4,844.29
APACHE CORP CMN (037411105)	08/29/2012	11/25/2013	55.00	5,115.46	0.00	4,770.15 ✓	345.31
BERKSHIRE HATHAWAY INC CLASS B (084670702)	08/29/2012	11/25/2013	35.00	4,045.92	0.00	2,964.75 ✓	1,081.17

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	08/29/2012	11/25/2013	175 00	8,443 60	0 00	5,798 57 --	2,645 03
ECOLAB INC CMN (278865100)	08/29/2012	11/25/2013	125 00	13,252 01	0 00	8,156 25 --	5,095 76
FIDELITY NATL INFO SVCS INC CMN (31620M106)	08/29/2012	11/25/2013	100 00	5,059 91	0 00	3,174 92 --	1,884 99
GOOGLE, INC CMN CLASS A (38259P508)	08/29/2012	11/25/2013	5 00	5,256 75	0 00	3,434 98 --	1,821 77
LOEWS CORPORATION CMN (540424108)	08/29/2012	11/25/2013	50 00	2,405 95	0 00	2,024 48 --	381 47
MICROSOFT CORPORATION CMN (594918104)	08/29/2012	11/25/2013	275 00	10,370 06	0 00	8,430 87 --	1,939 19
MONDELEZ INTERNATIONAL, INC CMN (609207105)	08/29/2012	11/25/2013	125 00	4,208 67	0 00	3,383 79 --	824 88
MORGAN STANLEY CMN (617446448)	08/29/2012	11/25/2013	750 00	23,819 59	0 00	11,174 18 --	12,645 41
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	08/29/2012	11/25/2013	25 00	1,843 21	0 00	1,568 00 --	275 21
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	08/29/2012	11/25/2013	50 00	872 48	0 00	537 60 --	334 88
NOBLE ENERGY INC CMN (655044105)	08/29/2012	11/25/2013	250 00	18,230 18	0 00	10,909 94 --	7,320 24
PEPSICO INC CMN (713448108)	08/29/2012	11/25/2013	25 00	2,139 96	0 00	1,824 75 --	315 21
PRAXAIR, INC CMN SERIES (74005P104)	08/29/2012	11/25/2013	25 00	3,158 19	0 00	2,625 33 --	532 86
THERMO FISHER SCIENTIFIC INC CMN (883556102)	08/29/2012	11/25/2013	50 00	5,108 41	0 00	2,860 00 --	2,248 41
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	08/29/2012	11/25/2013	150 00	4,999 41	0 00	3,109 86 --	1,889 55
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	08/29/2012	11/25/2013	50 00	3,716 43	0 00	2,736 50 --	979 93
WAL MART STORES INC CMN (931142103)	08/29/2012	11/25/2013	10 00	803 38	0 00	728 10 --	75 28
APACHE CORP CMN (037411105)	08/29/2012	12/19/2013	100 00	8,645 15	0 00	8,673 00 --	(27 85)
APACHE CORP CMN (037411105)	08/29/2012	12/30/2013	10 00	867 36	0 00	867 30 --	0 06
Net Covered Long-Term Gains (Losses)				153,941.14	0.00	106,222.49	47,718.65

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REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	10,105.59	Net Covered Long-Term Gains (Losses)	59,441.45	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	(603.70)	Net NonCovered Long-Term Gains (Losses)	1,716.75		
Net 1099B Non Reportable Short-Term Gains (Losses)	5.39	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	9,507.28	Total Long-Term Gains (Losses)	61,158.20	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	08/29/2012	02/21/2013	147.00	5,820.15	0.00	6,127.09	(306.94)
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105) Disallowed Loss							164.96
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/29/2012	07/31/2013	51.00	1,378.24	0.00	1,091.84	286.40
ALLEGHANY CORP (DELAWARE) CMN (017175100)	08/29/2012	08/02/2013	5.00	2,058.21	0.00	1,679.50	378.71
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/29/2012	08/02/2013	44.00	1,181.82	0.00	941.98	239.84
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/29/2012	08/05/2013	90.00	2,346.05	0.00	1,926.77	419.28
KAMAN CORP CMN (483548103)	08/29/2012	08/23/2013	96.00	3,360.40	0.00	3,177.21	183.19
ADVENT SOFTWARE INC CMN (007974108)	01/23/2013	09/17/2013	77.00	2,376.41	0.00	1,276.80	1,099.61
ALBEMARLE CORP CMN (012653101)	01/23/2013	09/17/2013	67.00	4,248.31	0.00	4,419.95	(171.64)
ALEXANDER & BALDWIN, INC CMN (014491104)	01/23/2013	09/17/2013	79.00	2,990.34	0.00	2,525.92	464.42
ALLEGHANY CORP (DELAWARE) CMN (017175100)	01/23/2013	09/17/2013	5.00	2,025.86	0.00	1,802.94	222.92
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/01/2013	09/17/2013	12.00	1,193.50	0.00	1,148.96	44.54
ALLIANT TECHSYSTEMS INC CMN (018804104)	07/31/2013	09/17/2013	13.00	1,292.96	0.00	1,201.90	91.06
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/01/2013	09/17/2013	13.00	1,292.96	0.00	1,242.23	50.73
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/05/2013	09/17/2013	24.00	2,387.00	0.00	2,320.59	66.41
ALLIANT TECHSYSTEMS INC CMN (018804104)	08/02/2013	09/17/2013	29.00	2,884.29	0.00	2,775.68	108.61

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AMERICAN EAGLE OUTFITTERS INC (NEW) (02553E106)	01/23/2013	09/17/2013	95 00	1,436 98	0 00	1,940 90	(503 92)
ATWOOD OCEANICS INC CMN (050095108)	01/23/2013	09/17/2013	87 00	4,969 77	0 00	4,521 58	448 19
CABELA'S INCORPORATED CMN CLASS A (126804301)	01/23/2013	09/17/2013	112 00	7,404 10	0 00	5,786 42	1,617 68
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	01/23/2013	09/17/2013	36 00	2,132 36	0 00	1,764 36	368 00
CONVERSANT, INC ORD CMN (92046N102)	01/23/2013	09/17/2013	174 00	3,840 51	0 00	3,517 43	323 08
EATON VANCE CORP (NON-VTG) CMN (278265103)	01/23/2013	09/17/2013	107 00	4,278 17	0 00	3,773 61	504 56
ENERGIZER HOLDINGS, INC CMN (29266R108)	01/23/2013	09/17/2013	23 00	2,194 30	0 00	2,008 62	185 68
KAMAN CORP CMN (483548103)	01/23/2013	09/17/2013	70 00	2,603 08	0 00	2,622 34	(19 26)
KRATON PERFORMNC POLYMERS INC CMN (50077C106)	01/23/2013	09/17/2013	86 00	1,727 54	0 00	2,234 48	(506 94)
MARTIN MARIETTA MATERIALS, INC CMN (573284106)	01/23/2013	09/17/2013	18 00	1,776 36	0 00	1,778 41	(2 05)
MATSON, INC CMN (57686G105)	01/10/2013	09/17/2013	46 00	1,265 25	0 00	1,229 98	35 27
MATSON, INC CMN (57686G105)	01/11/2013	09/17/2013	48 00	1,320 26	0 00	1,289 85	30 41
MATSON, INC CMN (57686G105)	01/23/2013	09/17/2013	135 00	3,713 23	0 00	3,629 21	84 02
MBIA INC CMN (55262C100)	01/23/2013	09/17/2013	250 00	2,924 75	0 00	2,143 80	780 95
MICREL INC CMN (594793101)	01/23/2013	09/17/2013	235 00	2,205 88	0 00	2,419 82	(213 94)
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	01/23/2013	09/17/2013	132 00	3,431 27	0 00	3,192 46	238 81
NEWMARKET CORP CMN (651587107)	01/23/2013	09/17/2013	17 00	4,914 97	0 00	4,909 94	5 03
OLD DOMINION FGHT LINES INC CMN (679580100)	01/23/2013	09/17/2013	120 00	5,424 72	0 00	4,401 91	1,022 81
PRICESMART INC CMN (741511109)	01/23/2013	09/17/2013	53 00	4,864 38	0 00	4,118 27	746 11
RITCHIE BROS AUCTIONEERS INC CMN (767744105)	01/23/2013	09/17/2013	93 00	1,886 88	0 00	2,010 23	(123 35)
SERVICE CORP INTERNATL CMN (817565104)	01/23/2013	09/17/2013	283 00	5,198 17	0 00	4,202 07	996 10
STURM, RUGER & COMPANY INC CMN (864159108)	01/23/2013	09/17/2013	31 00	1,847 29	0 00	1,596 75	250 54
TEJON RANCH CO CMN (879080109)	01/23/2013	09/17/2013	71 00	2,136 79	0 00	2,159 28	(22 49)
TENET HEALTHCARE CORP CMN (88033G407)	01/23/2013	09/17/2013	108 00	4,425 69	0 00	4,081 13	344 56
TREDEGAR CORPORATION CMN (894650100)	01/23/2013	09/17/2013	105 00	2,703 65	0 00	2,339 50	364 15

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WHITE MTNS INS GROUP LTD CMN (G9618E107)	01/23/2013	09/17/2013	5 00	2,873 25	0 00	2,735 00	138 25
WORLD FUEL SERVICES CORP CMN (981475106)	01/23/2013	09/17/2013	68 00	2,541 00	0 00	2,875 03	(334 03)
ATWOOD OCEANICS INC CMN (050095108)	01/23/2013	09/18/2013	1 00	57 24	0 00	51 97	5 27
Net Covered Short-Term Disallowed Losses							164.96
Net Covered Short-Term Gains (Losses)				122,934.34	0.00	112,993.71	10,105.59
NonCovered Short-Term Gains (Losses)							
CORRECTIONS CORP OF AMERICA CMN (22025Y407)	05/21/2013	05/21/2013	0 96	32 53	0 00	0 00	32 53
CORRECTIONS CORP OF AMERICA CMN (22025Y407)	05/21/2013	09/17/2013	72 00	2,441 28	0 00	2,826 25	(384 97)
CORRECTIONS CORP OF AMERICA CMN (22025Y407)	01/23/2013	09/17/2013	113 00	3,831 46	0 00	4,280 23	(448 77)
FIRST INDUSTRIAL REALTY TRUST CMN (32054K103)	01/23/2013	09/17/2013	143 00	2,313 27	0 00	2,144 06	169 21
WTS/TEJON RANCH CO 40 0000 EXP08/31/2016 (879080133)	01/23/2013	09/17/2013	10 00	28 30	0 00	0 00	28 30
Net NonCovered Short-Term Gains (Losses)				8,646.84	0.00	9,250.54	(603.70)
1099B Non Reportable Short-Term Gains (Losses)							
CORRECTIONS CORP OF AMERICA CMN (2200PT994)	01/23/2013	05/02/2013	0 00	1 43	0 00	0 00	1 43
CORRECTIONS CORP OF AMERICA CMN (2200PT994)	08/29/2012	05/02/2013	0 00	3 96	0 00	0 00	3 96
Net 1099B Non Reportable Short-Term Gains (Losses)				5.39	0.00	0.00	5.39

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ADVENT SOFTWARE INC CMN (007974108)	08/29/2012	09/17/2013	214 00	6,604 59	0 00	3,613 57	2,991 02
ALBEMARLE CORP CMN (012653101)	08/29/2012	09/17/2013	185 00	11,730 40	0 00	10,079 39	1,651 01
ALEXANDER & BALDWIN, INC CMN (014491104)	08/29/2012	09/17/2013	215 00	8,138 27	0 00	6,417 17	1,721 10

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ALLEGHANY CORP (DELAWARE) CMN (017175100)	08/29/2012	09/17/2013	7 00	2,836 21	0 00	2,351 31	484 90
AMERICAN EAGLE OUTFITTERS INC (NEW) (02553E106)	08/29/2012	09/17/2013	262 00	3,963 04	0 00	5,827 93	(1,864 89)
ATWOOD OCEANICS INC CMN (050095108)	08/29/2012	09/17/2013	241 00	13,766 83	0 00	11,027 44	2,739 39
CABELA'S INCORPORATED CMN CLASS A (126804301)	08/29/2012	09/17/2013	242 00	15,998 15	0 00	11,588 19	4,409 96
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	08/29/2012	09/17/2013	99 00	5,863 98	0 00	5,105 24	758 74
CONVERSANT, INC ORD CMN (92046N102)	08/29/2012	09/17/2013	479 00	10,572 44	0 00	7,751 94	2,820 50
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/29/2012	09/17/2013	413 00	16,512 93	0 00	10,987 08	5,525 85
ENERGIZER HOLDINGS, INC CMN (29266R108)	08/29/2012	09/17/2013	63 00	6,010 48	0 00	4,295 79	1,714 69
KAMAN CORP CMN (483548103)	08/29/2012	09/17/2013	98 00	3,644 32	0 00	3,243 41	400 91
KRATON PERFORMNC POLYMERS INC CMN (50077C106)	08/29/2012	09/17/2013	235 00	4,720 62	0 00	5,007 36	(286 74)
MARTIN MARIETTA MATERIALS, INC CMN (573284106)	08/29/2012	09/17/2013	48 00	4,736 95	0 00	3,677 48	1,059 47
MATSON, INC CMN (57686G105)	08/29/2012	09/17/2013	215 00	5,913 66	0 00	5,041 92	871 74
MBIA INC CMN (55262C100)	08/29/2012	09/17/2013	687 00	8,037 20	0 00	7,362 92	674 28
MICREL INC CMN (594793101)	08/29/2012	09/17/2013	645 00	6,054 44	0 00	6,459 87	(405 43)
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/29/2012	09/17/2013	177 00	4,601 01	0 00	3,789 32	811 69
NEWMARKET CORP CMN (651587107)	08/29/2012	09/17/2013	47 00	13,588 43	0 00	11,580 24	2,008 19
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	08/29/2012	09/17/2013	72 00	6,792 73	0 00	3,001 03	3,791 70
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	08/29/2012	09/17/2013	79 00	7,453 13	0 00	3,664 16	3,788 97
OLD DOMINION FGHT LINES INC CMN (679580100)	08/29/2012	09/17/2013	378 00	17,087 87	0 00	11,433 24	5,654 63
PRICESMART INC CMN (741511109)	08/29/2012	09/17/2013	146 00	13,399 98	0 00	10,569 07	2,830 91
RITCHIE BROS AUCTIONEERS INC CMN (767744105)	08/29/2012	09/17/2013	255 00	5,173 70	0 00	4,907 86	265 84
SERVICE CORP INTERNATL CMN (817565104)	08/29/2012	09/17/2013	779 00	14,308 73	0 00	10,209 42	4,099 31
STURM, RUGER & COMPANY INC CMN (864159108)	08/29/2012	09/17/2013	88 00	5,243 93	0 00	3,887 76	1,356 17
TEJON RANCH CO CMN (879080109)	08/29/2012	09/17/2013	196 00	5,898 73	0 00	5,461 70	437 03
TENET HEALTHCARE CORP CMN (88033G407)	08/29/2012	09/17/2013	294 00	12,047 70	0 00	6,150 46	5,897 24

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.



Tax Year Account No Legal Name
2013 020-67913-0 THE ACKERMAN FOUNDATION JOHN

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
TREDEGAR CORPORATION CMN (894650100)	08/29/2012	09/17/2013	237 00	6,102 52	0 00	3,804 80	2,297 72
WHITE MTNS INS GROUP LTD CMN (G9618E107)	08/29/2012	09/17/2013	13 00	7,470 45	0 00	6,661 85	808 60
WORLD FUEL SERVICES CORP CMN (981475106)	08/29/2012	09/17/2013	186 00	6,950 38	0 00	6,823 43	126 95
Net Covered Long-Term Gains (Losses)				261,223.80	0.00	201,782.35	59,441.45
NonCovered Long-Term Gains (Losses)							
WTS/TEJON RANCH CO 40 0000 EXP08/31/2016 (879080133)		08/29/2013	0 00	1 22	0 00	No Cost	1 22 ⁸
CORRECTIONS CORP OF AMERICA CMN (22025Y407)	08/29/2012	09/17/2013	313 00	10,612 80	0 00	10,250 29	362 51
FIRST INDUSTRIAL REALTY TRUST CMN (32054K103)	08/29/2012	09/17/2013	393 00	6,357 44	0 00	5,086 48	1,270 96
WTS/TEJON RANCH CO 40 0000 EXP08/31/2016 (879080133)	08/29/2012	09/17/2013	29 00	82 06	0 00	0 00	82 06
Net NonCovered Long-Term Gains (Losses)				17,053.52	0.00	15,336.77	1,716.75

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.

⁸ Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes. Please consult with your tax advisor.



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