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Form **990-PF**Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

| For | cale | ndar year 2013 or tax year | beginning | | , and ending | | |
|-------------------------------------|---------------------------------------|---|--|---|---------------------------------------|---|--|
| Na | me o | of foundation | | | | A Employer identification | n number |
| _ [| AL | TER C. NUGEN | T FOUNDATIO |)N | ··· | 35-604211 | 4 |
| | | and street (or P O box number if | f mail is not delivered to street | address) | Room/suite | B Telephone number | 705 |
| | | BOX 929 | | | | 812-376-1 | |
| | - | town, state or province, cou LUMBUS, IN 4 | intry, and ZIP or foreign p L 7 2 0 2 – 0 9 2 9 | postal code | | C If exemption application is | pending, check here |
| | | k all that apply: | Initial return | Initial return of a fo | ormer public charity | D 1. Foreign organization | ns, check here |
| • | | | Final return | Amended return | | | |
| N | | | Address change | Name change | | Foreign organizations n check here and attach of | computation |
| ⊒ H_(| Chec | k type of organization: | X Section 501(c)(3) e: | xempt private foundation | | E If private foundation st | atus was terminated |
| TECSTERISMENT F | | ection 4947(a)(1) nonexem | | Other taxable private founda | | under section 507(b)(| 1)(A), check here |
| F F | | narket value of all assets at e | | - | Accrual | F If the foundation is in a | |
| <u></u> € (| rom ►\$ | Part II, col. (c), line 16) | 0 772 (Part Look | ther (specify) umn (d) must be on cash i | hasis I | under section 507(b)(| 1)(B), check here |
| | art | | | 1 | | (a) Advected not | (d) Disbursements |
| | ai t | (The total of amounts in colur necessarily equal the amount | mns (b), (c), and (d) may not | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | for charitable purposes (cash basis only) |
| | 1 | Contributions, gifts, grant | | | | N/A | |
| | 2 | Check X if the foundation | | | | | |
| | 3 | Interest on savings and tempo cash investments | orary | 290. | 290. | | STATEMENT 1 |
| | 4 | Dividends and interest fro | m securities | 11,438. | 11,438. | | STATEMENT 2 |
| | 58 | a Gross rents | | | | ļ | |
| | 1 | Net rental income or (loss) | | 00 000 | | | |
| ē | 6 | Net gain or (loss) from sale of a Gross sales price for all | | 20,398. | | | |
| Revenue | ,' | assets on line 6a | 62,093. | | 20,398. | | |
| B. | 8 | Capital gain net income (from li Net short-term capital gail | | | 20,390. | | |
| | 9 | Income modifications | 11 | | | | |
| | 10 | Gross sales less returns | | | | | |
| | 1 | Less Cost of goods sold | | | | | |
| | (| c Gross profit or (loss) | | | | | |
| | 11 | Other income | | | | ļ | |
| | 12 | Total. Add lines 1 throug | <u>h 11</u> | 32,126. | 32,126. | | 1 020 |
| | 13 | • | , | 3,865. | 1,933. | | 1,932. |
| | 14 | Other employee salaries a | - | | | | <u> </u> |
| S | 15 | Pension plans, employee a Legal fees | STMT 3 | 164. | 0. | | 164. |
| SUS | ֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓֓ | b Accounting fees | STMT 4 | 1,382. | 0. | | 1,381. |
| Ž | | c Other professional fees | 4 | | | | |
| Ş. | 17 | Interest | | | | | |
| rat | 18 | Taxes Depreciation and depletion | STMT-5 | 414. | 0. | | 414. |
| S | 19 | Depreciation and depletion | | | | | |
| <u> </u> | 20 | Occupancy 3 | AV. 0. 0. 2014 | | | | |
| Ψ | 21 | 11 | meetingso ZU14 | | · · · · · · · · · · · · · · · · · · · | | |
| 2 | 22 | | CDEAWILE C | 2,194. | 0. | | 2,194. |
| Operating and Administrative Expens | 24 | - 11 | | 2,174. | | 4 | |
| je. | | expenses. Add lines 13 t | | 8,019. | 1,933 | , | 6,085. |
| ō | 25 | Contributions, gifts, grant | | 16,750. | | | 16,750. |
| | 26 | Total expenses and disb | ursements. | | | | |
| | igaplus | Add lines 24 and 25 | | 24,769. | 1,933 | , | 22,835. |
| | 27 | | | U 250 | | | |
| | | a Excess of revenue over expen | | 7,357. | 30,193 | <u> </u> | |
| | 1 | b Net investment income @ c Adjusted net income @f ne | | | 30,193 | N/A | |
| 323 | 501 | | egative, enter -U-) | | <u> </u> | 1 14/12 | Form 990-PF (2013) |

| For | m 99 | 0-PF(2013) WALTER C. NUGENT FOUNDAT | | | 042114 Page 2 |
|-----------------------------|------|--|--------------------|---------------------------------------|-----------------------|
| P | art | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of y | |
| <u>-</u> | ai t | Balance Sneets column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bearing | 951. | 118. | 118. |
| | 2 | Savings and temporary cash investments | 8,679. | 21,064. | 21,063. |
| | 3 | Accounts receivable ► | | | |
| | | Less: allowance for doubtful accounts ▶ | | | ···· |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | | disqualified persons | | | |
| | 7 | Other notes and loans receivable | | | |
| | | Less: allowance for doubtful accounts | | | |
| S. | 8 | Inventories for sale or use | | | |
| Assets | 9 | Prepaid expenses and deferred charges | | | |
| ĕ | ı | Investments - U.S. and state government obligations | | | |
| | l | Investments - corporate stock | | | |
| | C | Investments - corporate bonds | | | |
| | 11 | Investments - land, buildings, and equipment basis | | | |
| | ` ` | Less accumulated depreciation | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 8 | 354,924. | 350,729. | 467,591. |
| | 1 | Land, buildings, and equipment: basis | | | |
| | | Less accumulated depreciation | | | |
| | 15 | Other assets (describe ► | | | |
| | | Total assets (to be completed by all filers - see the | | | |
| | | instructions. Also, see page 1, item 1) | 364,554. | 371,911. | 488,772. |
| _ | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| S | 19 | Deferred revenue | | | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| Ē | 21 | Mortgages and other notes payable | | | |
| Ξ. | 1 | Other liabilities (describe) | | | |
| | | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| | | Foundations that follow SFAS 117, check here | | | |
| | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| ë | 24 | Unrestricted | | | |
| auc | 25 | Temporarily restricted | | | |
| Ba | 26 | | | | |
| 2 | | Foundations that do not follow SFAS 117, check here | | | |
| Net Assets or Fund Balances | | and complete lines 27 through 31. | | | |
| ō | 27 | Capital stock, trust principal, or current funds | 162,180. | 162,180. | |
| ets | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| Ass | 29 | Retained earnings, accumulated income, endowment, or other funds | 202,374. | 209,731. | |
| ē | 30 | Total net assets or fund balances | 364,554. | 371,911. | |
| Z | | 10.55 | | | |
| | 31 | Total liabilities and net assets/fund balances | 364,554. | 371,911. | |
| \equiv | | | | · · · · · · · · · · · · · · · · · · · | |
| LP | art | III Analysis of Changes in Net Assets or Fund Ba | alances | | |
| 1 | Tota | I net assets or fund balances at beginning of year - Part II, column (a), line | 30 | | |
| • | | st agree with end-of-year figure reported on prior year's return) | | 1 | 36 <u>4,554.</u> |
| 2 | • | r amount from Part I, line 27a | | 2 | 7,357. |
| 3 | | r increases not included in line 2 (itemize) | | 3 | 0. |
| 4 | | lines 1, 2, and 3 | | 4 | 371,911. |
| 5 | | eases not included in line 2 (itemize) | | 5 | 0. |
| - | | I net assets or fund balances at end of year (line 4 minus line 5) - Part II, co | olumn (b), line 30 | 6 | 371,911. |
| _ | | | | | Form 990-PF (2013) |

| Part IV | Capital Gains | and Losses for Tax on In | vestment | Income | | | | · · · · · · · · · · · · · · · · · · · |
|--------------------------|--|--|--------------------|---------------------------------------|--|----------------|---------------------------------------|---------------------------------------|
| | | ribe the kind(s) of property sold (e g. arehouse; or common stock, 200 shs | | | (b) How acquired P - Purchase D - Donation | 1 (0) | Date acquired no., day, yr.) | (d) Date sold (mo., day, yr.) |
| 1a SEE ATTACHED SCHEDULE | | | | | P _ | VA | RIOUS | 12/31/13 |
| | | IV | | | P | | | |
| | | CHEDULE | · | | P | <u>VA</u> | RIOUS | 12/31/13 |
| | | IV | | | P | | D T O O | 10/21/12 |
| e SEE | ATTACHED S | CHEDULE | | | P | | RIOUS | 12/31/13 |
| (e) | Gross sales price | (f) Depreciation allowed (or allowable) | | t or other basis xpense of sale | | (е | (h) Gain or (loss) plus (f) minus | (g) |
| a | 32,554. | | | 25,65 | 6. | | | 6,898. |
| b | 5,882. | | | 11 70 | 0 | | | 5,882. 4,028. |
| <u> </u> | 15,736. 3,421. | | | 11,70 | 8. | . _ | | 3,421. |
| d e | 4,500. | | | 4,33 | 1 | | | 169. |
| | | ng gain in column (h) and owned by | the foundation | | - • | (I) Gai | ns (Col. (h) gain | |
| | M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Exc | cess of col. (1) | | còl. (k), | but not less tha sses (from col. | n -0-) or |
| a | | | | | | | | 6,898. |
| <u> </u> | • | | | | | | | 5,882. |
| | | | | | | | | 4,028. |
| d | | | | | | | | 3,421. |
| е | | | | | | | | 169. |
| 3 Net sho If gain, | also enter in Part I, line 8, | ss) as defined in sections 1222(5) an , column (c). | - in Part I, line | 7 7 | } 2 | | | 20,398. |
| If (loss) Part V | , enter -0- in Part I, line 8 | Jnder Section 4940(e) for | Poducod | Tay on Not | J 3 Investment | Incor | <u> N/A</u> | <u> </u> |
| Was the fo | foundation does not qua | this part blank. Stion 4942 tax on the distributable am Illify under section 4940(e). Do not co each column for each year; see the ii | mplete this pa | rt. | | | | Yes X No |
| I LINGIN | (a) | (b) | iisti dettolis bei | ore making any cr | (c) | 1 | 5.4 | (d) bution ratio |
| Calenda | Base periód years r year (or tax year beginn | Adjusted auglifying die | tributions | Net value of no | ncharitable-use as | sets | Distri (col. (b) di | bution ratio vided by col. (c)) |
| | 2012 | | 1,981. | | 411,1 | 82. | | .053458 |
| | 2011 | | 7,865. | | 410,9 | | | .043474 |
| | 2010 | | 8,552. | · · · · · · · · · · · · · · · · · · · | 385,3 | | | 048141 |
| | 2009 | | 8,744. | | 340,5 | | | .055046 |
| | 2008 | 1 | 8,469. | | 420,1 | 40. | | .043959 |
| | f line 1, column (d) | | | | | | 2 | .244078 |
| | e distribution ratio for the ndation has been in existe | 5-year base period - divide the total ence if less than 5 years | on line 2 by 5, | or by the number | of years | - | 3 | .048816 |
| 4 Enter th | ne net value of noncharita | ble-use assets for 2013 from Part X, | line 5 | | | | 4 | 448,801. |
| 5 Multiply | / line 4 by line 3 | | | | | | 5 | 21,909. |
| 6 Enter 1 | % of net investment inco | me (1% of Part I, line 27b) | | | | - | 6 | 302. |
| 7 Add line | es 5 and 6 | | | | | - | 7 | 22,211. |
| • | ualifying distributions fro | | | | | | 8 | 22,835. |
| | is equal to or greater that Part VI instructions. | n line 7, check the box in Part VI, line | e 1b, and comp | lete that part usin | g a 1% tax rate. | | | |

| | n 990-PF (2013) WALTER C. NUGENT FOUNDATION Int VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(| e). or 4 | <u>35-</u> 948 - | 6042 see i | 114 nstru | | Page 4 1S) |
|----|--|--------------|---------------------|---------------|--------------|-----|-------------------|
| | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | " | | | | | |
| | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) | | | | | | |
| h | Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1% | 1 | 1 1 | | | 3 | 02. |
| Ü | of Part I, line 27b | | | | | | <u></u> |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | J | | | | | |
| | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | | | | 0. |
| | Add lines 1 and 2 | | 3 | | | 3 | 02. |
| _ | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | | | | 0. |
| | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | | | 3 | 02. |
| | Credits/Payments: | | | | | | |
| | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 320. | | | | | |
| | Exempt foreign organizations - tax withheld at source | 3201 | 1 | | | | |
| | Tax paid with application for extension of time to file (Form 8868) 6c 6c | | 1 | | | | |
| | Backup withholding erroneously withheld 6d | | 1 | | | | |
| | | | 7 | | | ર | 20. |
| | Total credits and payments. Add lines 6a through 6d Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached | | 8 | | | | <u> </u> |
| | | _ | - | | | | |
| | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | | | | 18. |
| | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | - 4 - 4 | 10 | | | | $\frac{18.}{18.}$ |
| | Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ 0. Refu | naea 📂 | 11 | | | | <u> 10.</u> |
| | ··· ·· · · · · · · · · · · · · · · · · | | | | | Yes | No |
| 12 | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate o | intervene | ; 111 | | 1a | | X |
| | any political campaign? | deficition | .\0 | | 1b | | X |
| D | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the | | | | 10 | | |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any material | s publish | ea or | | | | |
| | distributed by the foundation in connection with the activities | | | | ١ | | v |
| | Did the foundation file Form 1120-POL for this year? | | | | 10 | | <u> X</u> |
| ď | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | ^ | | | | | |
| | (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ | 0. | - | | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on found | ation | | | | | |
| | managers. ▶ \$ | | | | | | 7.7 |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | | | | 2 | | <u> </u> |
| | If "Yes," attach a detailed description of the activities. | | | | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of incomparison of the IRS, in its governing instrument, articles of the IRS, in its governing instrument, ar | poration, | or | | | | |
| | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | | | 3 | | <u> </u> |
| | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | | | 4a | | X |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | | N | /A | 4b | - | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | | | 5 | | <u>X</u> |
| | If "Yes," attach the statement required by General Instruction T | | | | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | | | | |
| | By language in the governing instrument, or | | | | | | |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with | th the stat | e law | | | | |
| | remain in the governing instrument? | | | | 6 | X | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and | d Part X | / | | 7 | X | |
| | | | | | | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | | | |
| | IN | | | | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designation) | ite) | | | | | |
| | of each state as required by General Instruction G? If "No," attach explanation | | | | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j) | (5) for cal | endar | | | | |
| | year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV | | | | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and address | SSAS | | | 10 | | Х |

Form **990-PF** (2013)

| 11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If Year, attach schedule (see instructions) 12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 11. Year, attach statement (see instructions) 12. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 12. Website address N/A Telephone no. (812) 376–1795 13. X The blooks are incore of FIRST FINANCIAL BANK, N.A. Telephone no. (812) 376–1795 15. Section 4947(9(1)) nonexempt charinable trusts filing form 950-PF in les of form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15. At any time during claimed year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16. At any time during claimed year 2013, did the foundation have an interest in or a signature or other authority over a bank of the financial account in a foreign country? 16. At any time during claimed year 2013, did the foundation have an interest in or a signature or other authority over a bank of the financial account in a foreign country? 18. Explain the second of the financial account in a foreign country? 19. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required 19. Formit Provided any time is checked in the "Yee" column, unless an exception applies. 19. During the year did the foundation (either directly or indirectly); 19. Engage in the sack or exchange, or lessing of property with a disqualified person? 20. Formit by a provided german? 20. Formit by a provided german? 20. Formit by a provided german? 20. Formit by a provided german or a section of section 4942(a) and a section 4942(a | | 1990-PF (2013) WALTER C. NUGENT FOUNDATION 35-6 Part VII-A Statements Regarding Activities (continued) | 042114 | | Page 5 |
|---|----|--|----------------|--------------|---------------|
| If Yes, attach statement (see instructions) 13 Unit be foundation comply with the public inspection requirements for its annual returns and examption application? Website address ▶ N/A 14 The books are in care of ▶ FIRST FINANCIAL BANK, N.A. Telephone inc.▶ (812) 376-1795 Located at ▶ FO BOX 923, COLUMBUS, IN 15 Section 4947(a)(1) increasing the transition for the state of a control of tax-eventy interest received or accrued during the year 16. Attay time during calendar year 2013, do the foundation have an interest in or a signature or other authority over a bank, securities, or or left infancial account in a foreign country? See the instructions for exceptions and filing requirements for Form T0 F 90-22.1. If Yes, enter the name of the foreign country? Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 19 Surprise menty from, lend money to, or otherwise extend credit to (or accept it from) a disqualited person? (1) Finages in the sale or exchange, or lessing of property with a disqualited person? (2) Biorism money from, lend money to, or otherwise extend credit to (or accept it from) a disqualited person? (3) Firinship goods, services, for facilities to (or accept them from) a disqualited person? (4) Pay compensation to, or pay or reimburse the expenses of, a dequalited person? (5) Transfer ary income or assets to a disqualitied person (or index any of either evaluable for the benefit or use of a disqualited person (or index any of either evaluable for the benefit or use of a disqualitied person (or index any of either evaluations) (6) Agree to pay money or property to a government folica? (Exception, Check 'No' if the foundation appeal on an acurrent notice regarding disaster assistance check here c) Did the boundation engage in a prior year in any of the acid secrebed in 16, Other than excepted acts, that were not corrected before the list day of the tax year Degining in 2013 | | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | 11_ | | <u>x</u> |
| 13 | 12 | | 12 | | x |
| 14. The books are in care of ▶ FIRST FINANCIAL BANK, N.A. Telephone inc. ▶ (812) 376-1795. Located at № PO BOX 929, COLUMBUS, IN 15. Section 4947(a)(1) nonexempt charitable triusis thing form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year A support of the Support of the A support of the Support of Support of the Support of | 13 | | 13 | Х | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lev of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 Al any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form 10 F 90-22.1. If Yes,* enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 15 During the year did the foundation (either directly or indirectly): 16 Sprow money from, lend money to, or otherwise extend credit to (or accept I from) 27 a disqualited person? 28 Sorrow money from, lend money to, or otherwise extend credit to (or accept I from) 38 Furnish goods, services, or facilities to (or accept them from) a disqualited person? 39 Furnish goods, services, or facilities to (or accept them from) a disqualited person? 40 Pey compensation to, or pay or reimburse the expenses of, a disqualited person? 41 Pay compensation to, or pay or reimburse the expenses of, a disqualited person? 42 Fee X No 43 Pay compensation to, or pay or reimburse the expenses of, a disqualited person? 44 Pay compensation to, or pay or reimburse the expenses of, a disqualited person? 55 Transfer any income or assets to a disqualited person (or make any of either available for the benefit or use of a disqualitied person)? 50 Agree to bey money or propriety to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of operations arounce, interminating within 90 days. 51 Transfer any income or assets to a disqualitied person? 52 Taxes on failure to dishibited income (seation 4942) (does not apply for years the foundation was a private operating foundatio | 14 | The books are in care of ► FIRST FINANCIAL BANK, N.A. Telephone no. ► (81 | | | 95 |
| and enter the amount of tax-exempt interest received or accrued during the year A No securities, or other inancial account in a foreign country? | 15 | | 91202 | | |
| Sec the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | <u> </u> | /A | |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes, 'enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required FILE Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Yes" to 14(1)(6), did any of the acts fail to quality under the exceptions described in Regulations section 53.4941(6)/3 or in a current notice regarding disaster assistance leak here c) Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 2 Taxes on failure to distribute income (estion 4942(a)(2) or years the foundation was a private operating foundation defined in section 4942(a)(3) or 4942(i)(5): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years \[\] b) Are there any years listed in 2a for which the foundation is not applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed inco | 16 | | | Yes | No |
| Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | securities, or other financial account in a foreign country? | 16 | <u> </u> | <u>X</u> |
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| before 2013? If "Yes," list the years \ | а | | | | |
| If "Yes," list the years \ | | before 2013? | No | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | If "Yes," list the years > , , , , , , , , , , , , , , , , , , | | | |
| statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | b | | | | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 4b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach | | | |
| Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b if "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 1 | | statement - see instructions.) N/ | A <u>2b</u> | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b if "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 13b 14a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 15b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 16 | C | : If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | |
| during the year? b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 1 | | | | | |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X | 3a | | | | |
| May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? Ab X | | | No | | |
| of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X | t | | | [] | |
| Form 4720, to determine if the foundation had excess business holdings in 2013.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X | | | | | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?4bX | | | a | 1 | |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X | | · | | | - |
| had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | | <u>4a</u> | | |
| | t | | | | v |
| | | nau not been removed from jeopardy before the first day of the tax year beginning in 2013? | | 0-PF | |

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|--|---------------------------------------|-----------------------------|--|--------------|-----------------------|
| Part VII-B Statements Regarding Activities for Which F | orm 4720 May Be F | Required (continu | ued) | | |
| 5a During the year did the foundation pay or incur any amount to: | | | | | İ |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section | 4945(e))? | Ye | s X No | | |
| (2) Influence the outcome of any specific public election (see section 4955); o | r to carry on, directly or indire | | | | |
| any voter registration drive? | | | s X No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes' | 7 | Ye | s X No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization | n described in section | | | | |
| 509(a)(1), (2), or (3), or section 4940(d)(2)? | | Ye | s X No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, | or educational purposes, or f | or | | | |
| the prevention of cruelty to children or animals? | | Ye | s X No | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify unc | ler the exceptions described i | n Regulations | | | |
| section 53.4945 or in a current notice regarding disaster assistance (see instru | | | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance check h | ere | | ▶□ [| | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr | | ined | | | |
| expenditure responsibility for the grant? | | [/A □ Ye | s 🔲 No | | |
| If "Yes," attach the statement required by Regulations section 53.4945 | | • | | ĺ | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to j | • • | | | | |
| a personal benefit contract? | | ☐ Ye | s X No | ŀ | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a p | ersonal benefit contract? | | | 6ь | х |
| If "Yes" to 6b. file Form 8870. | ordenar Borrent Gorra dot | | F | - | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax s | helter transaction? | ☐ Ye | s X No | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attribu | | | . – | 7b | |
| Part VIII Information About Officers, Directors, Trusto | | nagers Highly | | <u></u> | |
| Paid Employees, and Contractors | ooo, r oarraation ma | inagoro, rugini | • | | |
| List all officers, directors, trustees, foundation managers and their | compensation. | | | | |
| | (b) Title, and average | (c) Compensation | (d) Contributions to employee benefit plans and deferred | (e) [| expense unt, other |
| (a) Name and address | hours per week devoted to position | (If not paid, enter -0-) | and deferred compensation | accou | unt, other wances |
| | to position | enter o j | compensation | | |
| | | | | | |
| SEE STATEMENT 9 | | 3,865. | 0. | | 0. |
| DE CIRIEMENT) | | 3,003. | 0. | | |
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| | | | | | |
| Compensation of five highest-paid employees (other than those inc | luded on line 1) If none | enter "NONE " | L | | |
| Compensation of live highest-paid employees (other than those inc | (b) Title, and average | enter NONE. | (d) Contributions to | (e) [| xnense |
| (a) Name and address of each employee paid more than \$50,000 | hours per week | (c) Compensation | (d) Contributions to employee benefit plans and deferred | accoi | Expense unt, other |
| 370378 | devoted to position | ļ | compensation | allo | wances |
| NONE | | | | | |
| | | <u> </u> | | +- | |
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| | | | <u> </u> | <u></u> | |
| otal number of other employees paid over \$50,000 | | <u> </u> | | | 0 |
| | | | Form | 1 990-P | PF (2013) |

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|--|-------------------|------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) | | |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." | | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service | (c) Comp | ensation |
| NONE | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities | > | 0 |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses | |
| 1 DIRECT SUPPORT OF VARIOUS ORGANIZED CHARITIES. SEE ATTACHED GRANT FOOTNOTE. | 1.5 | 750 |
| 2 | 16, | 750. |
| 3 | _ | |
| 4 | | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount | |
| 1 NONE | | |
| 2 | | 0. |
| | _ | |
| All other program-related investments. See instructions. 3 NONE | | |
| | \dashv | 0. |
| Total, Add lines 1 through 3 | > | 0. |
| | Form 990-P | 'F (2013) |

| | art X | 33-0 | U4ZII4 rage u |
|----|--|------------------|---|
| | Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations | ndations, se | e instructions) |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| 8 | Average monthly fair market value of securities | 1a | 447,713. |
| b | Average of monthly cash balances | 1b | 7,923. |
| C | Fair market value of all other assets | 10 | |
| d | Total (add lines 1a, b, and c) | 1d | 455,636. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 455,636. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 6,835. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 448,801. |
| 6 | Minimum investment return Enter 5% of line 5 | 6 | 22,440. |
| P | art XI Distributable Amount (see instructions) (Section 4942(1)(3) and (1)(5) private operating foundations ar | nd certain | |
| | foreign organizations check here and do not complete this part.) | | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 22,440. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | | |
| C | Add lines 2a and 2b | 2c | 302. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 22,138. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 22,138. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7_ | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 22,138. |
| Р | Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| а | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 22,835. |
| þ | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | <u>. </u> |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 22,835. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | |
| | income. Enter 1% of Part I, line 27b | 5 | 302. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 22,533. |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation | qualifies for th | ne section |

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4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions) (d) (a) (b) (c) 2013 Years prior to 2012 2012 Corpus 1 Distributable amount for 2013 from Part XI, 22,138. line 7 2 Undistributed income, if any, as of the end of 2013 0 a Enter amount for 2012 only b Total for prior years: 0 3 Excess distributions carryover, if any, to 2013: a From 2008 1,819. b From 2009 c From 2010 d From 2011 2,010 e From 2012 3,829 f Total of lines 3a through e 4 Qualifying distributions for 2013 from Part XII, line 4; ► \$_ 22.835. 0 a Applied to 2012, but not more than line 2a b Applied to undistributed income of prior 0 years (Election required - see instructions) c Treated as distributions out of corpus 0 (Election required - see instructions) 22,138. d Applied to 2013 distributable amount 697 e Remaining amount distributed out of corpus 0 0. Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a)) 6 Enter the net total of each column as indicated below: 4,526 a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract 0 line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously 0 assessed d Subtract line 6c from line 6b. Taxable 0 amount - see instructions e Undistributed income for 2012. Subtract line 0 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must 0. be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by 0 section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2008 0 not applied on line 5 or line 7 Excess distributions carryover to 2014 4,526 Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: 1,819. a Excess from 2009 b Excess from 2010 c Excess from 2011 2,010

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697

d Excess from 2012

e Excess from 2013

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|--|---|------------------------------|----------------------|-----------------------------------|----------------|
| Part XIV Private Operating F | oundations (see ins | structions and Part VII | -A, question 9) | N/A | |
| 1 a If the foundation has received a ruling o | r determination letter that | it is a private operating | | | |
| foundation, and the ruling is effective fo | r 2013, enter the date of t | he ruling | | | |
| b Check box to indicate whether the found | dation is a private operatir | ig foundation described i | n section | 4942(j)(3) or 49 | 942(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | | Prior 3 years | | |
| income from Part I or the minimum | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | |
| b 85% of line 2a | <u> </u> | | | | |
| | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | <u> </u> | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | | | |
| e Qualifying distributions made directly | | | | 1 | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the | | | | ļ | 1 |
| alternative test relied upon; a "Assets" alternative test - enter; | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying | | | | | |
| under section 4942(j)(3)(B)(i) | | | | 1 | |
| b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return | | | | | |
| shown in Part X, line 6 for each year | | | | | |
| listed | | | | | |
| c "Support" alternative test - enter: | 1 | | | ļ | |
| (1) Total support other than gross investment income (interest, | | | | i | |
| dividends, rents, payments on | | | | | |
| securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | | 1 | | |
| section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | rmation (Comple | te this part only | if the founda | ation had \$5,000 or m | ore in assets |
| at any time during t | | | | | |
| 1 Information Regarding Foundation | on Managers: | | | | |
| a List any managers of the foundation wh | _ | than 2% of the total cont | ribiltions received | by the foundation before the clo | ise of any tax |
| year (but only if they have contributed r | | | TIDURIONS TOCOIVOU | by the loandation belove the old | iso of any tax |
| NONE | *************************************** | (// // | | | |
| | o own 100/ or more of th | a stock of a paragration (| for an equally large | nortion of the ownership of a r | anthorchip or |
| b List any managers of the foundation who other entity) of which the foundation ha | | | or an equally large | e portion of the ownership of a p | armership or |
| • • | o a 1070 or grouter interes | | | | |
| NONE | | | | | |
| 2 Information Regarding Contribut | | | | | |
| Check here \blacktriangleright if the foundation of | | • | - | | |
| the foundation makes gifts, grants, etc. | (see instructions) to indiv | viduals or organizations u | nder other condition | ons, complete items 2a, b, c, an | d d. |
| a The name, address, and telephone num | ber or e-mail address of t | the person to whom appli | cations should be | addressed: | |
| | | | | | |
| SEE STATEMENT 10 | | | | | |
| b The form in which applications should t | pe submitted and informa | tion and materials they st | nould include: | . | |
| c Any submission deadlines: | | | | | |
| d Any restrictions or limitations on award | s, such as by geographic | al areas, charitable fields, | kinds of institution | ns, or other factors: | |
| | | | | | |
| | | | | ,, | |

| Supplementary information | | D | | |
|--|--|--------------------------------|----------------------------------|---------|
| 3 Grants and Contributions Paid During the | If recipient is an individual | Payment | <u> </u> | |
| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| a Paid during the year | or observation contributor | | | |
| c , and assuming mine year. | | | | |
| | | | | |
| | | | | |
| VARIOUS - SEE ATTACHED FOOTNOTE. | N/A | N/A | UNRESTRICTED | |
| VARIOUS | | | | 16,750, |
| VARIOUS | | | | 10,750, |
| | | | | |
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| | | | | |
| Total | \ | · | ▶ 3a | 16.750. |
| b Approved for future payment | | | | • |
| ., | | | | |
| | | | | |
| | | | | |
| NONE | | | | |
| VARIOUS VARIOUS | | | | |
| VARIOUS | · | | | |
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| | | <u> </u> | | |
| Total | | | ▶ 3b | 0. |

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|--|----------------------|----------------------------|--|-----------------------------|-------------------|
| Part XVI-A Analysis of Income-Pro | oducing Activi | ties | | | |
| Enter gross amounts unless otherwise indicated. | Unrelate | d business income | | by section 512, 513, or 514 | (e) |
| | (a) Business | (b) | (c) Exclu- sion | (d) | Related or exempt |
| 1 Program service revenue: | code | Amount | code | Amount | function income |
| a | | | ļ ļ | | |
| b | | | | | |
| C | | | | | |
| d | | | | | |
| e | | | | | · |
| f | | | | | · |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | - | | |
| 3 Interest on savings and temporary cash | | | 1.4 | 200 | |
| investments | - | | 14 | 290. 11,438. | |
| 4 Dividends and interest from securities | | | 1 4 | 11,430. | |
| 5 Net rental income or (loss) from real estate: | <u> </u> | | + | | |
| a Debt-financed property b Not debt-financed property | - | - | | | |
| 6 Net rental income or (loss) from personal | | · | 1-1- | | - |
| property | | | 1 1 | | |
| 7 Other investment income | | | 1 | | |
| 8 Gain or (loss) from sales of assets other | | | | | |
| than inventory | <u></u> | | | | 20,398. |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | <u> </u> | | |
| 11 Other revenue: | | | | | |
| a | | **** | | | . <u> </u> |
| b | | | | | |
| C | | | - | | |
| d | | | | | |
| e | | | | 11,728. | 20,398. |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0 | • ! | | 32,126 |
| 13 Total Add line 12, columns (b), (d), and (e) | no \ | | | 13 | 34,140 |
| (See worksheet in line 13 instructions to verify calculation | | | | | |
| Part XVI-B Relationship of Activiti | ies to the Acc | omplishment of E | xempt F | urposes | |
| Line No Explain below how each activity for which | h income is reported | in column (e) of Part XVI- | A contribute | d importantly to the accomp | olishment of |
| the foundation's exempt purposes (other | | | | | |
| 3 EARNINGS FROM INVEST | MENTS USE | D FOR EXEMP | r PURI | OSES | |
| 4 EARNINGS FROM INVEST | MENTS USE | D FOR EXEMP | r Puri | OSES | |
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| orm 990-PF (2 | | R C. NUGENT FOU | | | 35-6042114 | Page 13 |
|----------------|--|---|---------------------------------|-------------------------|--|---------------------------|
| Part XVII | Information Re Exempt Organ | | and Transactions a | nd Relationsh | nips With Noncharitable | |
| 1 Did the or | ganization directly or indir | rectly engage in any of the following | ng with any other organization | on described in section | on 501(c) of | Yes No |
| the Code (| (other than section 501(c) |)(3) organizations) or in section 52 | 27, relating to political organ | izations? | | |
| | from the reporting founda | ation to a noncharitable exempt or | ganization of: | | | 1,7 |
| (1) Cash | | | | | 1a(1) | X |
| (2) Other | | | | | 1a(2) | X |
| b Other tran | | ble everet everendes | | | 16/11 | v |
| | of assets to a noncharital | ole exempt organization ncharitable exempt organization | | | 1b(1) | X |
| • • | iases of assets from a nor if of facilities, equipment, | · • | | | 1b(2) | X |
| | bursement arrangements | | | | 1b(4) | X |
| | s or loan guarantees | | | | 1b(5) | X |
| • • | - | mbership or fundraising solicitation | ons | | 1b(6) | X |
| | | iling lists, other assets, or paid em | | | 1c | X_ |
| | | | | | arket value of the goods, other asse | ets, |
| | | oundation. If the foundation receiv | | ie in any transaction | or sharing arrangement, show in | |
| ···· | | other assets, or services received. | | 1 | | |
| (a) Line no | (b) Amount involved | (c) Name of noncharitable | e exempt organization | (d) Description | of transfers, transactions, and sharing arra | ingements |
| | | N/A | ···· | | | |
| | | | | <u> </u> | | |
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| | | | | | | |
| 2a is the four | ndation directly or indirect | tly affiliated with, or related to, one | e or more tax-exempt organi | zations described | | |
| | | r than section 501(c)(3)) or in sec | | | Yes | X No |
| | omplete the following sch | | | | | |
| | (a) Name of org | | (b) Type of organization | | (c) Description of relationship | |
| | N/A | | | | | |
| | | | | | | |
| | | | | | | |
| | | | <u> </u> | <u> </u> | | |
| Under | penalties of periury. I declare | that I have examined this return, include | ng accompanying schedules and | statements, and to the | best of my knowledge | |
| | | mplete Declaration of preparer (other tha | | | has any knowledge return with the | preparer l |
| Here | WML AS | The Mat | 15/2/14 | Loca | Suce X Yes | |
| Sigr | nature of officer or trustee | | Date | Title | | |
| | Print/Type preparer's na | | signature | Date | Check if PTIN | |
| | | | | 1 | self- employed | |
| Paid | | UNN, CPA MARY A | | | P00014 | |
| Preparer | Firm's name LAR | RY NUNN & ASSOC | CIATES, CPAS, | L.L.C. | Firm's EIN ► 35-15212 | 39 |
| Use Only | [| 1 | | | - | |
| | | 45 FOXPOINTE DE | | L | 010 276 3 | 061 |
| | <u> </u> | LUMBUS, IN 4720 | 13-3433 | | Phone no. 812-376-3 | 061)-PF (2013) |
| | | | | | 1 01111 330 | (2013) |

| FORM 990-PF INTERES | T ON SAVIN | GS AND TEM | PORARY | CASH IN | VESTMENTS | STATEMENT | 1 |
|-------------------------|-----------------|------------------------------|---------|--------------------------|------------------------------|--------------------------------|------|
| SOURCE | | (A REVEI PER B | NUE | | (B) VESTMENT COME | (C) ADJUSTE NET INCO | |
| VARIOUS | | | 290. | | 290. | | |
| TOTAL TO PART I, LIN | NE 3 | | 290. | | 290. | | |
| FORM 990-PF | DIVIDENDS | AND INTER | EST FRO | OM SECUR | ITIES | STATEMENT | 2 |
| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDEND | RI | (A) EVENUE R BOOKS | | (C) ST- ADJUS OME NET IN | TED |
| VARIOUS MUTUAL FUNDS | 11,438. | | 0. | 11,438. | 11,43 | 38. | |
| TO PART I, LINE 4 | 11,438. | | 0. | 11,438. | 11,43 | 38. | |
| FORM 990-PF | | LEGAL | FEES | | | STATEMENT | 3 |
| DESCRIPTION | | (A) EXPENSES PER BOOKS | NET IN | | | (D) D CHARIT ME PURPO | ABLE |
| LEGAL FEES | | 164. | | 0. | | | 164. |
| TO FM 990-PF, PG 1, | LN 16A | 164. | | 0. | | | 164. |
| FORM 990-PF | | ACCOUNTI | NG FEES | S | | STATEMENT | . 4 |
| DESCRIPTION | | (A) EXPENSES PER BOOKS | NET II | B) NVEST- INCOME | (C) ADJUSTEI NET INCOI | | ABLE |
| ACCOUNTING FEES | | 1,382. | | 0. | | 1, | 381. |
| TO FORM 990-PF, PG | 1, LN 16B | 1,382. | | 0. | | 1, | 381. |

| FORM 990-PF | TAX | ES | STATEMENT 5 | | |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|--|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| EXCISE TAX EXPENSE | 414. | | | 414. | |
| TO FORM 990-PF, PG 1, LN 18 | 414. | 0. | | 414. | |
| FORM 990-PF | OTHER E | XPENSES | S | TATEMENT 6 | |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| DIRECTORS E & O INSURANCE | 2,194. | 0. | | 2,194. | |
| TO FORM 990-PF, PG 1, LN 23 | 2,194. | 0. | | 2,194. | |
| | FOOTN | OTES | S | TATEMENT 7 | |
| GRANT & CONTRIBUTIONS PAID DI | | AR: | | 7,750. | |
| 702 ROTARY CIRCLE INDIANAPOLIS, IN 46202 JUST FRIENDS COLUMBUS SCOTTISH FESTIVAL | | | | 7,000. 2,000. | |
| TOTAL | | | _ | 16,750. | |

| FORM 990-PF | OTHER INVESTMENTS | | STATI | EMENT 8 | |
|--|--|------------|---------------------------------|----------------------|--|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | | FAIR MARKET VALUE | |
| VARIOUS | FMV | 350,72 | 19. | 467,591. | |
| TOTAL TO FORM 990-PF, PART II, | LINE 13 | 350,72 | 29. | 467,591. | |
| | LIST OF OFFICERS, D AND FOUNDATION MANA | | STATI | EMENT 9 | |
| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | | EMPLOYEE BEN PLAN CONTRIB | | |
| FIRST FINANCIAL BANK, N.A. P.O. BOX 929 COLUMBUS, IN 47202 | TRUSTEES 2.00 | 2,665. | 0. | 0. | |
| WILLIAM A. HELMBRECHT 5138 IMPERIAL DR COLUMBUS, IN 47203 | TREASURER 2.00 | 300. | 0. | 0. | |
| ROBERT L. SPURGIN 2780 SYCAMORE COLUMBUS, IN 47201 | DIRECTOR 2.00 | 300. | 0. | 0. | |
| THOMAS C. BIGLEY JR. 3445 RIVERSIDE DR COLUMBUS, IN 47201 | SECRETARY 2.00 | 150. | 0. | 0 . | |
| SAM PENTZER 567 HARRISON RIDGE COURT COLUMBUS, IN 47201 | VICE PRESIDENT 2.00 | 300. | 0. | 0 . | |
| JUDY JONES 220 19TH STREET COLUMBUS, IN 47201 | PRESIDENT 2.00 | 150. | 0. | 0. | |
| TOTALS INCLUDED ON 990-PF, PAG | E 6, PART VIII | 3,865. | 0. | 0. | |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MELISSA FLEETWOOD OR WILLIAM HELMBRECHT, TRUST OFFICER PO BOX 929 COLUMBUS, IN 47202

TELEPHONE NUMBER

812-376-1795

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORM STATING PURPOSE OF ORGANIZATION AND NATURE OF REQUEST. SEE ATTACHED SAMPLE GRANT APPLICATION.

ANY SUBMISSION DEADLINES

PRIOR TO ANNUAL MEETING

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS

Tax Worksheet

(01/01/2013 To 12/31/2013)

Tax ID 35-6042114

Account Name. Walter C Nugent Foundation AGT

Account Number : 538028

State of Domicile : Indiana

Generation Skipping N/A

Administrator · William A Helmbrecht

Accountant . Larry E. Nunn & Assoc

C/O Larry E. Nunn Columbus IN 47203

| * | * | Schedule | D | * | * |
|---|---|----------|---|---|---|

| | | 50110 | | e D | | | | |
|-----------|---|------------|-----|------------|---------|-----------|-----------|------------|
| | | Date | How | Date | Holding | Sales | Original | Realized |
| Shares/PV | Asset Sold | Acquired | Acq | Sold | Period | Proceeds | Cost | Gain/ Loss |
| 57.930 | Longleaf Partners Fund 543069108 | 03/04/2003 | P 0 | 7/08/2013 | Long | 1,697.35 | 1,225.80 | 471.55 |
| 544.010 | Longleaf Partners Fund 543069108 | 03/04/2003 | P 1 | .0/03/2013 | Long | 17,359.29 | 11,511.20 | 5,848.09 |
| 208.600 | Longleaf Partners Fund 543069108 | 10/15/2004 | P 0 | 7/08/2013 | Long | 6,111.84 | 6,232.82 | -120.98 |
| 30 310 | Longleaf Partners Fund 543069108 | 12/13/2007 | P 0 | 7/08/2013 | Long | 888.20 | 1,037.65 | -149.45 |
| 37.430 | Longleaf Partners Fund 543069108 | 11/06/2008 | R 1 | .0/03/2013 | Long | 1,194.36 | 599.24 | 595.12 |
| 112.720 | Longleaf Partners Fund 543069108 | 06/22/2012 | P 0 | 7/08/2013 | Long | 3,302.61 | 3,095.20 | 207.41 |
| 184.840 | Vanguard S/T Investment Grades-Adm #539 922031836 | 04/29/2005 | F O | 01/30/2013 | Long | 2,000.00 | 1,953.79 | 46.21 |
| | | | | | | 32,553.65 | | 6,897.95 |
| | | | | | | | | |

25,655.70

How Acquired: F - Free Receipt, B - Beginning Inventory, C - Call, G - Gift, L - Payment Made (Liability), P - Purchase, R - Reinvestment, S - Spinoff, U - Undetermined

Gain/Loss Summary

| Short | Term GL | Long Term GL |
|---------------------|---------|------------------------------|
| Gains From Sales : | 0.00 | Gains From Sales : 7,168.38 |
| Losses From Sales : | 0.00 | Losses From Sales : -270.43 |
| Common Funds : | 0.00 | Common Funds : 0.00 |
| Short Term Gains : | 0.00 | Long Term Gain : 7,168.38 |
| Short Term Losses : | 0.00 | Long Term Losses : -270.43 |
| Net Short Term G/L: | 0.00 | Net Long Term G/L : 6,897.95 |
| Capital Gain Dist : | 161.57 | Capital Gain Dist : 5,882.23 |

Tax Worksheet

(01/01/2013 To 12/31/2013)

Tax ID : 35-6042114

Generation Skipping N/A

Account Name Walter C Nugent Foundation AGT

Administrator . William A Helmbrecht Accountant : Larry E Nunn & Assoc

Account Number · 538029 State of Domicile Indiana

C/O Larry E Nunn Columbus IN 47203

* * Schedule D * *

| | | Date | How | Date | Holding | Sales | Original | Realized |
|-----------|---|------------|-----|------------|---------|-----------|-----------|------------|
| Shares/PV | Asset Sold | Acquired | Acq | Sold | Period | Proceeds | Cost | Gain/ Loss |
| 433.530 | Columbia Dividend Opportunity | 10/03/2013 | P | 10/23/2013 | Short | 4,500.00 | 4,330.93 | 169.07 |
| 10.240 | 19763P226 Fidelity Contrafund 316071109 | 06/22/2012 | P | 10/23/2013 | Long | 1,000.00 | 758.41 | 241.59 |
| 279.490 | Longleaf Partners Fund 543069108 | 03/04/2003 | P | 10/03/2013 | Long | 8,918 53 | 5,914.00 | 3,004.53 |
| 75.040 | Longleaf Partners Fund 543069108 | 10/15/2004 | P | 10/03/2013 | Long | 2,394.62 | 2,242.29 | 152.33 |
| 23.390 | Longleaf Partners Fund -543069108 | 11/06/2008 | R | 10/03/2013 | Long | 746.28 | 374.43 | 371.85 |
| 52.530 | Longleaf Partners Fund 543069108 | 06/22/2012 | . P | 10/03/2013 | Long | 1,676.07 | 1,442.33 | 233.74 |
| 92.420 | Vanguard S/T Investment Grades-Adm #539 922031836 | 04/29/2005 | F | 01/30/2013 | Long | 1,000.00 | 976.89 | 23.11 |
| | | | | | | 20,235.50 | | 4,196.22 |
| | | | | | | | 16 020 20 | |

16,039.28

How Acquired: F - Free Receipt, B - Beginning Inventory, C - Call, G - Gift, L - Payment Made (Liability), P - Purchase, R - Reinvestment, S - Spinoff, U - Undetermined

Gain/Loss Summary :

| Sì | hort Term GL | | Long | Term GL | |
|--------------------|--------------|-------------------------------|------|---------|----------|
| Gains From Sales | : 169.0 | 7 Gains From Sales | : | | 4,027.15 |
| Losses From Sales | : 0.0 | D Losses From Sales | • | | 0.00 |
| Common Funds | : 0.0 | Common Funds | : | | 0 00 |
| Short Term Gains | : 169.0 | 7 Long Term Gain | : | | 4,027.15 |
| Short Term Losses | : 0.0 | Long Term Losses | : | | 0.00 |
| Net Short Term G/L | 169.0 | - 7 Net Long Term G/L - | : _ | | 4,027.15 |
| Capital Gain Dist | : 87.0 | - 3 | : - | • | 3,420.58 |

ELIZABETH R. AND WALTER C. NUGENT FOUNDATION G ANT APPLICATION FOR...



| | Date: |
|---------------------------------|---|
| Name of Organization: | |
| | |
| | |
| | |
| | |
| List of Board Members: | |
| | |
| | |
| | |
| Contact Person: | |
| Write a brief summary of the re | eason for applying and/or purpose of the grant: |
| | |
| | |
| | |
| | |
| | |

- Attach a copy of applicant organization's 501(c) (3) Tax Exemption Letter from the I.R.S.
- Attach a copy of the applicant's most recent financial statement.
- What other organizations are you seeking funds.

The Foundation Board prefers to consider grants of organizations located within Bartholomew County, Indiana. All inquiries and information should be directed to:

William A. Helmbrecht, Treasurer, Nugent Foundation c/o First Financial Bank P. O. Box 929 Columbus, Indiana 47202