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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

A Employer identification number
35-2196822

Number and street (or P O box number if mail is not delivered to street address)
945 OLD GREEN BAY RD

Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
WINNETKA, IL 60093

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,209,044

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	28,797	28,797		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	71,711			
	b	Gross sales price for all assets on line 6a 521,560				
	7	Capital gain net income (from Part IV, line 2)		71,711		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	163	163		
	12	**Total.** Add lines 1 through 11	100,671	100,671		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	907	907		
	c	Other professional fees (attach schedule)	8,990	8,990		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,553	261		15
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	34	34		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	12,484	10,192		15
	25	Contributions, gifts, grants paid	58,455			58,455
	26	**Total expenses and disbursements.** Add lines 24 and 25	70,939	10,192		58,470
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	29,732			
	b	**Net investment income** (if negative, enter -0-)		90,479		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,596	2,338	2,338
	2	Savings and temporary cash investments	49,164	35,728	35,728
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	486,416	496,374	714,542
	c	Investments—corporate bonds (attach schedule)	412,171	445,481	456,436
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	951,347	979,921	1,209,044
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	951,347	979,921	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	951,347	979,921	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	951,347	979,921	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	951,347
2	Enter amount from Part I, line 27a	2	29,732
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	981,079
5	Decreases not included in line 2 (itemize) ▶	5	1,158
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	979,921

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SCHEDULE ATTACHED	P	2013-01-01	2013-12-31
b	SCHEDULE ATTACHED	P	2011-01-01	2013-12-31
c	SCHEDULE ATTACHED	P	2011-01-01	2013-12-31
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	181,979		167,660	14,319
b	99,765		78,678	21,087
c	238,857		203,511	35,346
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				14,319
b				21,087
c				35,346
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	71,711
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	14,319

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	63,045	1,124,862	0 056047
2011	65,578	1,151,540	0 056948
2010	72,827	1,060,185	0 068693
2009	61,891	957,304	0 064651
2008	72,080	1,234,163	0 058404

2	**Total** of line 1, column (d).	2	0 304743
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 060949
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,153,935
5	Multiply line 4 by line 3.	5	70,331
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	905
7	Add lines 5 and 6.	7	71,236
8	Enter qualifying distributions from Part XII, line 4.	8	58,470

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,810
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,810
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,810
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,590		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,590
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	1
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	221
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	Yes	

Website address ▶NA

14 The books are in care of ▶M MEAD MONTGOMERY Telephone no ▶(847) 441-8980

Located at ▶945 OLD GREEN BAY RD WINNETKA IL ZIP+4 ▶60093

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
M MEAD MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 0 00	0	0	0
ANNE M MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,159,288
b	Average of monthly cash balances	1b	12,220
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,171,508
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,171,508
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,573
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,153,935
6	**Minimum investment return.** Enter 5% of line 5	6	57,697

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	57,697
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,810		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,810
3	Distributable amount before adjustments Subtract line 2c from line 1			3	55,887
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	55,887
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	55,887

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	58,470
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	58,470
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	58,470

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				55,887
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			6,367	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 58,470				
a Applied to 2012, but not more than line 2a			6,367	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				52,103
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				3,784
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				58,455
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	28,797	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	163	
8 Gain or (loss) from sales of assets other than inventory			14	71,711	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				100,671	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 100,671

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-07-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DAVID J BLOCKOWICZ		2014-07-07		P00442409
Firm's name ▶ BLOCKOWICZ & TOGNOCCHI LLP			Firm's EIN ▶ 20-0195759	
Firm's address ▶ 1701 E LAKE AVE STE 480 GLENVIEW, IL 60025			Phone no (847) 998-6675	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
AMERICAN CANCER SOCIETY AMERICAN CANCER SOCIETY 250 WILLIAMS ST NW 250 WILLIAMS STNW ATLANTA,GA 30303	NONE	PUBLIC	UNRESTRICTED	4,990
ALL HANDS RAISEDPORTLAND SCHOOL FD ALL HANDS RAISED/PORTLAND SCHOOL FD 2069 NE HOYT 2069 NE JOYT PORTLAND,OR 97232	NONE	PUBLIC	CIVIC	3,000
ASPEN VALLEY SKI & SNOWBOARD CLUB ASPEN VALLEY SKI & SNOWBOARD CLUB 300 AVSC DR 300 AVSC DR ASPEN,CO 81611	NONE	PUBLIC	CIVIC	250
CANCER WELLNESS CENTER CANCER WELLNESS 215 REVERE DR 215 REVERE DR NORTHBROOK,IL 60062	NONE	PUBLIC	UNRESTRICTED	1,000
CHICAGO COMMUNITY FOUNDATION CHICAGO COMMUNITY FOUNDATION 121 N LASALLE 1221 N LASALLE CHICAGO,IL 60602	NONE	PUBLIC	CIVIC	400
CHICAGO COUNCIL OF GLOBAL AFFAIRS CHCIAGO COUNCIL OF GLOBAL AFFAIRS 332 S MICHIGAN 332 S MICHIGAN CHICAGO,IL 60604	NONE	PUBLIC	UNRESTRICTED	1,000
CHICAGO YOUTH SYMPHONY CHICAGO YOUTH SYMPHONY 410 S MICHIGAN AVE 410 S MICHIGAN AVE CHICAGO,IL 60605	NONE	PUBLIC	UNRESTRICTED	200
DANIEL MURPHY SCHOLARSHIP FUND DANIEL MURPHY SCHOLARSHIP FUND 309 W WASHINGTON 309 W WASHINGTON CHICAGO,IL 60604	NONE	PUBLIC	CIVIC	500
EVANS SCHOLARS FDN EVANS SCHOLARS FDN ONE BRIAN RD ONE BRIAR RD GOLF,IL 60029	NONE	PUBLIC	UNRESTRICTED	4,250
FRIENDS OF ALTA FRIENDS OF ALTA PO BOX 8126 PO BOX 8126 ALTA,UT 84092	NONE	PUBLIC	UNRESTRICTED	200
MIDWEST CARE CENTERCAMP CARE MIDWEST CARE CENTER/CAMP CARE 2050 CLARIE CT 2050 CLARIE CT GLENVIEW,IL 60025	NONE	PUBLICV	CIVIC	1,500
MIDWEST PALLIATIVE & HOSPICE MIDWEST PALLIATIVE & HOSPICE 2050 CLAIRE CT 2050 CLARIE CT GLENVIEW,IL 60025	NONE	PUBLIC	UNRESTRICTED	14,490
MUSIC IN THE LOFT MUSIC IN THE LOFT 410 S MICHIGAN 410 S MICHIGAN CHICAGO,IL 60605	NONE	PUBLIC	UNRESTRICTED	1,000
NORTH SHORE UNIV HEALTH SYS NORTH SHORE UNIV HEALTH SYS 777 PARK AVENUE WEST 777 PARK AVENUE WEST HIGHLAND PARK,IL 60035	NONE	PUBLIC	UNRESTRICTED	1,000
PANCREATIC ACTION NETWORK PANCREATIC ACTION NETWORK 1500 ROSECRANS AVE 1500 ROSECRANS AVE MANHATTEN BEACH,CA 90266	NONE	PUBLIC	UNRESTRICTED	4,000
Total . . . . ▶ **3a**				58,455

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
POSSE FOUNDATION POSSE FOUNDATION 14 WALL ST 14 WALL ST NEW YORK, NY 10005	NONE	PUBLIC	CIVIC	125
RAVINIA FESTIVAL RAVINIA FESTIVAL 418 SHERICAN RD 418 SHERIDAN RD HIGHLAND PARK, IL 60035	NONE	PUBLIC	UNRESTRICTED	350
REDMOON REDMOON 2120 S JEFFERSON 2120 S JEFFERSON CHICAGO, IL 60616	NONE	PUBLIC	CIVIC	500
REHABILITATION INSTITUTE OF CHICAGO REHABILITATIN INSTITUTE OF CHCAGO 345 E SUERIOR 345 E SUPERIOR CHICAGO, IL 60611	NONE	PUBLIC	UNRESTRICTED	3,000
SCC EDUCATIONAL FDN SKOKIE COUNTRY CLUB EDUCATIONAL FDN 500 WASHINGTON 500 WASHINGTON GLENCOE, IL 60022	NONE	PUBLIC	UNRESTRICTED	200
STAG FOUNDATION STAG FOUNDATION 8600 ROCKVILLE PIKE 8600 ROCKVILLE PIKE BETHESDA, MD 20894	NONE	PUBLIC	UNRESTRICTED	300
THE BUILDING STAGE THE BUILDING STAGE 412 N CARPENTER 412 N CARPENTER CHICAGO, IL 60642	NONE	PUBLIC	UNRESTRICTED	15,000
THRESHOLDS THRESHOLDS 4100 N RAVENSWOOD 4100 N RAVENSWOOD CHICAGO, IL 60613	NONE	PUBLIC	CIVIC	500
VNA HOSPICE HOUSE VNA HOSPICE HOUSE 400 N HIGHLAND 400 N HIGHLAND AURORA, IL 60506	NONE	PUBLIC	CIVIC	200
WTTS CHANNEL 11 WTTW CHANNEL 11 5400 N ST LOUIS 5400 N ST LOUIS CHICAGO, IL 60605	NONE	PUBLIC	UNRESTRICTED	500
Total . . . . ▶ **3a**				58,455

TY 2013 Accounting Fees Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BLOCKOWICZ & TOGNOCCHI LLP	907	907		

TY 2013 Compensation Explanation

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Person Name	Explanation
M MEAD MONTGOMERY	
ANNE M MONTGOMERY	

TY 2013 Investments Corporate Bonds Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE & OTHER TAXABLE SCH ATT	445,481	456,436

TY 2013 Investments Corporate Stock Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HARRIS BANK EQUITY SCH ATT	496,374	714,542

TY 2013 Other Decreases Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Amount
ADJUSTMENT TO INVESTMENT TAX BASIS	1,158

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491188001044

TY 2013 Other Expenses Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEES	34	34		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491188001044

TY 2013 Other Income Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
COUNTRYWIDE FN'L SECUR LITIGA	163	163	

TY 2013 Other Professional Fees Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEE HARRIS BANK	8,990	8,990		

TY 2013 Taxes Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD HARRIS BANK	261	261		
ILLINOIS CHARITABLE FEE	15			15
US GOV'T EXCISE TAX PYMTS	1,590			
P/Y BALANCE EXCISE TAX	687			

Year-End Investment Detail

• *Details of assets in your account*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM								
CASH		266 000	1 0000	266 00	266 00	0 00	0 00	0 00%
TOTAL CASH & SHORT-TERM				**$266 00**	**$266 00**	**$0 00**	**$0 00**	**00%**
CASH & SHORT-TERM								
BMO GOVERNMENT MONEY MARKET FUND CLASS I		35 727 760	1 0000	35,727 76	35,727 76	0 00	3 50	0 01%
TOTAL CASH & SHORT-TERM				**$35,727 76**	**$35,727 76**	**$0 00**	**$3 50**	**01%**
FIXED INCOME/LOW VOLATILITY								
Corporate and other taxable								
TCW EMERGING MARKETS INCOME FUND	TGEIX	7 600 000	8 4000	63 840 00	72 124 00	-8 284 00	3 724 00	5 83%
Taxable funds								
FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	FFRIX	9 000 000	9 9600	89 640 00	90 000 00	-360 00	2 736 00	3 05%
ISHARES CORE TOTAL U S BOND ETF	AGG	600 000	106 4300	63 858 00	61,113 84	2 744 16	1 476 60	2 31%
PIMCO TOTAL RETURN INSTITUTIONAL FUND	PTTRX	8 700 000	10 6900	93 003 00	91 855 00	1 148 00	2 401 20	2 58%
VANGUARD INFLATION PROTECTED SECURITIES FUND	VIPIX	7 137 853	10 3700	74 019 54	72 163 18	1 856 36	1 377 61	1 86%
Total Corporate and other taxable				**$384,360 54**	**$387,256 02**	**-$2,895 48**	**$11,715 41**	**3 05%**
Alternatives-Low Volatility								
Opportunistic low volatility								
PIMCO HIGH YIELD FUND INSTL CL	PHIYX	7 500 000	9 6100	72 075 00	58 224 99	13 850 01	4 290 00	5 95%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	± UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Total Alternatives-Low Volatility				**$72,075 00**	**$58,224 99**	**$13,850 01**	**$4,290 00**	**5 95%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$456,435 54**	**$445,481 01**	**$10,954 63**	**$16,006 41**	**3 51%**
EQUITY/HIGH VOLATILITY								
U S equity								
AFFILIATED MANAGERS GROUP INC Financials	AMG	25 000	216 8800	5,422 00	3,579 39	842 61	0 00	0 00%
AFLAC INC Financials	AFL	80 000	66 8000	5,344 00	3,888 80	1,455 20	118 40	2 22%
ALLIANCE DATA SYSTEMS CORP Information technology	ADS	40 000	262 9300	10 517 20	5,048 00	5 469 20	0 00	0 00%
AMC NETWORKS A Consumer discretionary	AMCX	60 000	68 1100	4,086 60	3 745 98	340 62	0 00	0 00%
AMDOCS LTD ORD Information technology	DOX	80 000	41 2400	3 299 20	2 610 97	688 23	41 60	1 26%
AMGEN INC Health care	AMGN	110 000	114 0800	12 548 80	6 285 11	6 263 69	268 40	2 14%
APPLE INC Information technology	AAPL	25 000	561 0200	14,025 50	3 985 30	10 040 20	305 00	2 17%
BIOGEN IDEC INC Health care	BIIB	40 000	279 5720	11 182 88	3 997 39	7 185 49	0 00	0 00%
BOEING CO Industrials	BA	80 000	136 4900	10,919 20	8 399 08	2 520 12	233 60	2 14%
CAPITAL ONE FINANCIAL CORP Financials	COF	110 000	76 6100	8 427 10	5 671 50	2 755 60	132 00	1 57%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CAREFUSION CORP Health care	CFN	75 000	39 8200	2,986 50	2 395 49	59 01	0 00	0 00%
CELGENE CORP Health care	CELG	45 000	168 9680	7,603 56	5 706 44	1 897 12	0 00	0 00%
CERNER CORP Health care	CERN	180 000	55 7400	10 033 20	8 747 73	285 47	0 00	0 00%
CHEVRON CORPORATION Energy	CVX	20 000	124 9100	2 498 20	2 217 20	281 00	80 00	3 20%
CHIPOTLE MEX CAN GRILL INC Consumer discretionary	CMG	10 000	532 7800	5 327 80	4 099 33	228 47	0 00	0 00%
CIGNA CORP Health care	CI	100 000	87 4800	8 748 00	6 442 00	2 306 00	4 00	0 05%
CISCO SYSTEMS INC Information technology	CSCO	525 000	22 4300	11,775 75	1 261 10	514 65	357 00	3 03%
CITIGROUP INC Financials	C	120 000	52 1100	6 253 20	6 338 39	85 19	4 80	0 08%
COCA COLA CO Consumer staples	KO	110 000	4 3100	4 544 10	3 745 50	798 60	123 20	2 71
COMCAST CORP CL A CL A COMMON STOCK Consumer discretionary	CMCSA	270 000	5 9650	14 030 55	8 205 27	5 825 28	210 60	1 50%
CVS CAREMARK CORP Consumer staples	CVS	60 000	71 5700	4 294 20	2 697 59	1 596 61	66 00	1 54%
DANA HOLDING Consumer discretionary	DAN	120 000	19 6200	2 354 40	2 606 35	251 95	24 00	1 02%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	± UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
DANAHER CORP Industrials	DHR	110 000	77 2000	8 492 00	4 080 47	4 411 53	[illegible] 00	[illegible]
DIAGEO PLC AMERICAN DEPOSITORY RECEIPT Consumer staples	DEO	30 000	132 4200	3 972 60	3 527 93	444 67	89 94	2 26%
DRIL QUIP INC Energy	DRQ	40 000	109 9300	4,397 20	4 500 25	103 05	0 00	0 00%
ENERGIZER HLDGS INC Consumer staples	ENR	40 000	108 2400	4,329 60	4 041 14	288 46	80 00	1 85%
EXXON MOBIL CORPORATION Energy	XOM	105 000	10[illegible] 2000	10 626 00	8,818 71	807 29	264 60	2 49%
GOOGLE INC CL A COMMON STOCK CL A Information technology	GOOG	22 000	1 120 7100	24 655 62	1[illegible] 156 97	13 498 65	0 00	0 00%
HANESBRANDS INC Consumer discretionary	HBI	130 000	70 2700	9,[illegible]35 10	6 550 75	2 584 35	104 00	1 [illegible]4%
IDEX CORP Industrials	IEX	80 000	73 8500	5 908 00	4 009 60	898 40	73 60	1 25%
JOHNSON & JOHNSON Health care	JNJ	160 000	9[illegible] 5900	14 654 40	1[illegible] 255 36	3 399 04	42[illegible] 40	2 88%
KLA TENCOR CORP Information technology	KLAC	50 000	64 4600	3 223 00	2 407 99	815 01	90 00	2 79%
LIVE NATION INC Consumer discretionary	LYV	480 000	19 7600	9,484 80	7 972 39	[illegible] 512 41	0 00	0 00%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
MAGNA INTERNATIONAL INC CLASS A COMMON STOCK CLASS A Consumer discretionary	MGA	70.000	82.0600	5,744.20	5,872.24	128.04	89.60	1.56%
MASTERCARD INC A Information technology	MA	10.000	835.4600	8,354.60	7,597.40	757.20	44.00	0.53%
MCKESSON CORP Health care	MCK	20.000	16[illegible].4000	3,228.00	2,591.20	636.80	19.20	0.59%
MICROSOFT CORP Information technology	MSFT	220.000	37.4100	8,230.20	5,764.71	2,465.49	246.40	2.99%
MOLSON COORS BREWING CO B Consumer staples	TAP	70.000	56.1500	3,930.50	3,103.79	826.71	89.60	2.28%
MORGAN STANLEY Financials	MS	385.000	3[illegible].3600	12,073.60	8,351.20	3,722.40	77.00	0.64%
NETAPP INC Information technology	NTAP	70.000	4[illegible].1400	2,879.80	948.07	93[illegible].73	42.00	1.46%
NVIDIA CORP Information technology	NVDA	570.000	16.0200	9,[illegible]31.40	8,787.85	343.54	193.80	2.[illegible]%
ORACLE CORPORATION Information technology	ORCL	320.000	38.2600	12,243.20	8,404.34	3,838.86	153.60	1.25%
PACKAGING CORP OF AMERICA Materials	PKG	70.000	63.2800	4,429.60	3,341.47	1,058.13	112.00	2.53%
PHILIP MORRIS INTERNATIONAL Consumer staples	PM	40.000	87.1300	3,485.20	3,361.58	123.62	150.40	4.31%
PRICELINE COM INC Consumer discretionary	PCLN	10.000	1,162.4000	1[illegible],624.00	439.41	10,184.59	0.00	0.00%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	± UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
PRUDENTIAL FINL INC Financials	PRU	45 000	92 2200	4 149 90	2 356 09	1 793 81	95 40	2 30%
QUALCOMM INC Information technology	QCOM	80 000	74 2500	5 940 00	4 683 19	1,256 81	112 00	1 89%
ROPER INDS INC NEW Industrials	ROP	30 000	138 6800	4,160 40	3 251 10	909 30	24 00	0 58%
SIGNATURE BANK Financials	SBNY	50 000	107 4200	5,371 00	3 934 47	1 436 53	0 00	0 00%
SYMANTEC CORPORATION Information technology	SYMC	160 000	23 5800	3 772 80	3 769 60	3 20	96 00	2 54%
TIME WARNER INC Consumer discretionary	TWX	50 000	69 7200	3 486 00	3 017 50	468 50	57 50	1 65%
UNION PAC CORP Industrials	UNP	40 000	168 0000	6,720 00	4 926 80	793 20	126 40	1 88%
US BANCORP NEW Financials	USB	70 000	40 4000	2 828 00	1 999 20	828 80	64 40	2 28%
VERISIGN INC Information technology	VRSN	140 000	59 7800	8 369 20	6 110 23	2 258 97	0 00	0 00%
VERISK ANALYTICS INC CL A Industrials	VRSK	60 000	65 7200	3 943 20	2 889 59	1 053 61	0 00	0 00%
VERIZON COMMUNICATIONS Telecommunication services	VZ	90 000	49 1400	4 422 60	3 960 89	461 71	190 80	4 31%
WELLS FARGO & CO Financials	WFC	60 000	45 4000	2,724 00	2 378 97	345 03	72 00	2 64%
Total U S equity				**$406,341 66**	**$283,836 37**	**$122,505 29**	**$5,160 24**	**1 27%**

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
U S equity funds								
ISHARES DJ SELECT DIVIDEND ETF Large cap value	DVY	1,175 000	7 3500	83 836 25	70 428 44	13 407 81	2 568 56	3 06%
MIDCAP SPDR TRUST SERIES 1 Small cap diversified equity	MDY	200 000	244 2000	48,840 00	19,714 68	29,125 32	521 00	1 07%
Total U S equity funds				**$132,676 25**	**$90,143 12**	**$42,533 13**	**$3,089 55**	**2 33%**
International								
HARBOR INTERNATIONAL FUND #11 Developed large cap	HAINX	700 000	71 0100	49,707 00	28,175 00	21 532 00	1 046 50	2 10%
ING INTL SMALL CAP I Developed small cap	NAPIX	700 000	48 5900	34,013 00	17,332 00	16 681 00	618 80	1 82%
JAZZ PHARMACEUTICALS PLC Health care	JAZZ	60 000	126 5600	7,593 60	5 445 30	2,148 30	0 00	0 00%
VALEANT PHARMACEUTICALS INTL Health care	VRX	30 000	117 4000	3,522 00	3,096 00	426 00	0 00	0 00%
VANGUARD FTSE EMERGING MARKETS ETF Emerging	VWO	1 060 000	41 1400	43,608 40	30 866 88	12 741 52	1 192 50	2 73%
Total International				**$138,444 00**	**$84,915 18**	**$53,528 82**	**$2,857 80**	**2 06%**
REITS								
JPMORGAN ALERIAN MLP INDEX FUND Domestic reits	AMJ	800 000	46 3500	37,080 00	37,479 04	-399 04	1 751 20	4 72%
Total REITS				**$37,080 00**	**$37,479 04**	**-$399 04**	**$1,751 20**	**4 72%**
TOTAL EQUITY/HIGH VOLATILITY				**$714,541 91**	**$496,373 71**	**$218,168.20**	**$12,858.79**	**1 80%**
TOTAL ASSETS IN YOUR ACCOUNT				**$1,206,971.21**	**$977,848.48**	**$229,122 73**	**$28,867.70**	**2 39%**

2013 Tax Information Statement

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:	**000001278529**
Recipient's Tax ID Number:	**XX-XXX6822**
Payer's Federal ID Number:	**36-2085229**
Payer's State ID Number:	
State:	**IL**
Questions?	**(312)461-5586**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
Short Term Sales Reported on 1099-B								
00507K103	ACTAVIS INC		ACT					
40.0000	01/28/2013	11/21/2012	3,360.32	3,414.00	0.00	-53.68	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP COMMON STOCK		ADS					
10.0000	01/28/2013	03/19/2012	1,576.76	1,246.80	0.00	329.96	0.00	0.00
H01531104	ALLIED WORLD ASSURANCE CO HOLDINGS		AWH					
10.0000	01/28/2013	08/20/2012	831.98	784.10	0.00	47.88	0.00	0.00
H01531104	ALLIED WORLD ASSURANCE CO HOLDINGS		AWH					
50.0000	07/22/2013	08/20/2012	4,756.55	3,920.50	0.00	836.05	0.00	0.00
00164V103	AMC NETWORKS-A		AMCX					
40.0000	11/27/2013	03/26/2013	2,557.55	2,497 32	0.00	60.23	0.00	0.00
00164V103	AMC NETWORKS-A		AMCX					
60.0000	12/27/2013	03/26/2013	4,014.41	3,745 98	0.00	268.43	0.00	0.00
G02602103	AMDOCS LIMITED COMMON STOCK		DOX					
20 0000	01/28/2013	11/21/2012	722.58	652.74	0.00	69.84	0.00	0.00
14040H105	CAPITAL ONE FINANCIAL CORP COMMON STOCK		COF					
10.0000	01/28/2013	05/29/2012	560.58	515.59	0.00	44.99	0.00	0.00

TEP199966_68_3781 13 of 38

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2013 Tax Information Statement

Ref: 65

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586

☐ **Corrected** ☐ **2nd TIN notice**

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WINNETKA, IL 60093

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166764100	CHEVRON TEXACO INC COMMON STOCK		CVX					
10.0000	01/28/2013	03/19/2012	1,162.47	1,108.60	0.00	53.87	0.00	0.00
125509109	CIGNA CORPORATION COMMON STOCK		CI					
70.0000	12/27/2013	04/19/2013	6,059.10	4,509.40	0.00	1,549.70	0.00	0.00
17275R102	CISCO SYSTEMS INC COMMON STOCK		CSCO					
10.0000	01/28/2013	02/21/2012	211.69	203.10	0.00	8.59	0.00	0.00
194162103	COLGATE-PALMOLIVE COMPANY COMMON STOCK		CL					
20.0000	01/28/2013	10/26/2012	2,184.55	2,078.40	0.00	106.15	0.00	0.00
194162103	COLGATE-PALMOLIVE COMPANY COMMON STOCK		CL					
30.0000	02/26/2013	10/26/2012	3,405.52	3,117.59	0.00	287.93	0.00	0.00
206708109	CONCUR TECHNOLOGIES INC COMMON STOCK		CNQR					
40.0000	01/28/2013	10/26/2012	2,896.33	2,645.83	0.00	250.50	0.00	0.00
206708109	CONCUR TECHNOLOGIES INC COMMON STOCK		CNQR					
110.0000	03/26/2013	10/26/2012	7,364.59	7,276.02	0.00	88.57	0.00	0.00
225447101	CREE RESEARCH INC COMMON STOCK		CREE					
70.0000	10/25/2013	Various	4,286.92	4,415.26	0.00	-128.34	0.00	0.00
126650100	CVS CORPORATION COMMON STOCK		CVS					
10.0000	01/28/2013	06/25/2012	513.38	449.60	0.00	63.78	0.00	0.00

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CHICAGO, IL 60603

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586

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WINNETKA, IL 60093

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235825205	DANA HOLDING		DAN					
120 0000	12/27/2013	08/20/2013	2,329.17	2,606.35	0.00	-277.18	0.00	0.00
294429105	EQUIFAX INC COMMON STOCK		EFX					
60 0000	10/25/2013	10/26/2012	3,914 34	2,980.19	0.00	934.15	0.00	0.00
30212P303	EXPEDIA INC		EXPE					
30.0000	01/28/2013	08/20/2012	1,982 05	1,548 50	0.00	433.55	0.00	0.00
30212P303	EXPEDIA INC		EXPE					
60.0000	06/24/2013	Various	3,378.64	3,255.49	0.00	123.14	0.00	0 00
30212P303	EXPEDIA INC		EXPE					
70.0000	09/23/2013	10/26/2012	3,628.04	3,982.99	0 00	-354.95	0 00	0.00
345838106	FOREST LABORATORIES INC COMMON STOCK		FRX					
190.0000	01/28/2013	06/25/2012	6,944.36	6,357.40	0.00	586.96	0.00	0.00
345838106	FOREST LABORATORIES INC COMMON STOCK		FRX					
110.0000	02/26/2013	Various	4,008.40	3,697.59	0.00	310.81	0.00	0 00
371559105	GENESEE & WYOMING INC CL A		GWR					
75.0000	05/20/2013	03/26/2013	6,881.85	6,916.37	0.00	-34.52	0 00	0.00
410345102	HANESBRANDS INC		HBI					
60.0000	08/20/2013	06/24/2013	3,616 74	2,915 50	0.00	701.24	0.00	0.00

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Ref: 65

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586

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C/O ANNE & MEAD MONTGOMERY,
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436106108	HOLLYFRONTIER CORPORATION		HFC					
10.0000	01/28/2013	10/26/2012	474.18	385.80	0.00	88.38	0.00	0.00
436106108	HOLLYFRONTIER CORPORATION		HFC					
100.0000	06/24/2013	10/26/2012	4,005.66	3,857.99	0.00	147.67	0.00	0.00
46120E602	INTUITIVE SURGICAL INC		ISRG					
10.0000	01/28/2013	02/21/2012	5,790.07	5,014.90	0.00	775.17	0.00	0.00
464287168	ISHARES DJ SELECT DIVIDEND ETF		DVY					
500.0000	04/23/2013	01/28/2013	32,160.02	29,969.55	0.00	2,190.47	0.00	0.00
482480100	KLA INSTRUMENTS CORPORATION COMMON STOCK		KLAC					
10.0000	01/28/2013	02/21/2012	560.78	481.60	0.00	79.18	0.00	0.00
532457108	LILLY ELI & COMPANY COMMON STOCK		LLY					
10.0000	01/28/2013	07/24/2012	530.68	419.10	0.00	111.58	0.00	0.00
532457108	LILLY ELI & COMPANY COMMON STOCK		LLY					
70.0000	06/24/2013	07/24/2012	3,425.05	2,933.69	0.00	491.36	0.00	0.00
64110D104	NETAPP INC		NTAP					
20.0000	01/28/2013	10/26/2012	735.78	556.59	0.00	179.19	0.00	0.00
699462107	PAREXEL INTERNATIONAL CORP COMMON STOCK		PRXL					
80.0000	11/27/2013	08/20/2013	3,301.08	3,858.02	0.00	-556.94	0.00	0.00

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2013 Tax Information Statement

Ref: 65

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BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586
☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
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718172109	PHILIP MORRIS INTERNATIONAL		PM					
30.0000	01/28/2013	05/29/2012	2,671.74	2,562.36	0.00	109.38	0.00	0.00
745867101	PULTE CORPORATION COMMON STOCK		PHM					
190 0000	08/20/2013	11/21/2012	3,057.06	3,209.08	0.00	-152.02	0.00	0.00
776696106	ROPER INDUSTRIES INC NEW COMMON STOCK		ROP					
10.0000	01/28/2013	10/26/2012	1,192.97	1,083.70	0.00	109.27	0.00	0.00
811065101	SCRIPPS NETWORKS		SNI					
20.0000	01/28/2013	07/24/2012	1,194.37	1,058.97	0.00	135.40	0.00	0.00
811065101	SCRIPPS NETWORKS		SNI					
130.0000	03/26/2013	07/24/2012	8,328.38	6,883.29	0 00	1,445.09	0.00	0.00
871503108	SYMANTEC CORPORATION COMMON STOCK		SYMC					
160.0000	12/27/2013	04/19/2013	3,681.55	3,769.60	0.00	-88.05	0.00	0.00
880779103	TEREX CORPORATION NEW COMMON STOCK		TEX					
75.0000	07/22/2013	02/26/2013	2,172.71	2,387.99	0.00	-215.28	0.00	0.00
887317303	TIME WARNER INC		TWX					
50 0000	12/27/2013	05/20/2013	3,473.95	3,017.50	0.00	456.45	0.00	0.00
893641100	TRANSDIGM GROUP INC		TDG					
30 0000	02/26/2013	04/23/2012	4,168.70	3,664.50	0.00	504.20	0.00	0 00

TEP19908_68_3185 17 of 38

2013 Tax Information Statement

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111 WEST MONROE STREET 10C
CHICAGO, IL 60603

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Recipient's Tax ID Number: XX-XXX6822
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91324P102	UNITEDHEALTH GROUP INC COMMON STOCK		UNH					
20.0000	01/28/2013	07/24/2012	1,123.97	1,092.80	0.00	31.17	0.00	0.00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK		UNH					
90.0000	05/20/2013	07/24/2012	5,634.96	4,917.59	0.00	717.37	0.00	0.00
920355104	VALSPAR CORPORATION COMMON STOCK		VAL					
10.0000	01/28/2013	04/23/2012	672.68	497.19	0.00	175.49	0.00	0.00
920355104	VALSPAR CORPORATION COMMON STOCK		VAL					
70.0000	04/19/2013	04/23/2012	4,258.00	3,480.32	0.00	777.68	0.00	0.00
92343E102	VERISIGN INC COMMON STOCK		VRSN					
30.0000	01/28/2013	08/20/2012	1,289.37	1,445.98	0.00	-156.61	0.00	0.00
92345Y106	VERISK ANALYTICS INC CL A		VRSK					
10.0000	01/28/2013	04/23/2012	548.88	481.60	0.00	67.28	0.00	0.00
92343V104	VERIZON COMMUNICATIONS COMMON STOCK		VZ					
30.0000	01/28/2013	08/20/2012	1,276.47	1,320.30	0.00	-43.83	0.00	0.00
92826C839	VISA INC		V					
10.0000	09/23/2013	11/21/2012	1,962.27	1,463.80	0.00	498.47	0.00	0.00
98310W108	WYNDHAM WORLDWIDE CORP		WYN					
20.0000	01/28/2013	05/29/2012	1,139.17	1,005.00	0.00	134.17	0.00	0.00

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111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 000001278529
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Total Short Term Sales Reported on 1099-B			**181,979.37**	**167,660.02**	**0.00**	**14,319.34**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box A checked								
Long Term Sales Reported on 1099-B								
018581108	ALLIANCE DATA SYSTEMS CORP COMMON STOCK		ADS					
40.0000	01/28/2013	06/23/2011	6,307.06	3,648.79	0.00	2,658.27	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP COMMON STOCK		ADS					
10.0000	07/22/2013	03/19/2012	1,885.41	1,246.80	0.00	638.61	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP COMMON STOCK		ADS					
10.0000	11/27/2013	03/19/2012	2,420.45	1,246.80	0.00	1,173.65	0.00	0.00
04685W103	ATHENAHEALTH INC		ATHN					
90.0000	01/28/2013	11/18/2011	8,044.01	4,823.97	0.00	3,220.04	0.00	0.00
09062X103	BIOGEN IDEC INC		BIIB					
10.0000	10/25/2013	02/23/2011	2,484.55	670.40	0.00	1,814.15	0.00	0.00
125269100	CF INDUSTRIES HOLDINGS INC		CF					
20.0000	08/20/2013	05/04/2011	3,751.73	2,623.59	0.00	1,128.14	0.00	0.00
166764100	CHEVRON TEXACO INC COMMON STOCK		CVX					
30.0000	08/20/2013	03/19/2012	3,561.26	3,325.80	0.00	235.46	0.00	0.00

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TEP-99068_65_3187 19 of 38

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C/O ANNE & MEAD MONTGOMERY,
TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip / Quantity Sold *(Box 1e)*	Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
166764100	CHEVRON TEXACO INC COMMON STOCK		CVX					
10.0000	09/23/2013	03/19/2012	1,254.88	1,108.60	0.00	146.28	0.00	0.00
191216100	COCA COLA COMPANY COMMON STOCK		KO					
90 0000	01/28/2013	Various	3,316.42	3,089.68	0.00	226.74	0 00	0.00
22160K105	COSTCO WHSL CORP NEW COMMON STOCK		COST					
10.0000	04/19/2013	05/04/2011	1,031.58	807.00	0.00	224.58	0.00	0.00
268648102	EMC CORPORATION MASS COMMON STOCK		EMC					
70.0000	05/20/2013	01/26/2012	1,682.07	1,789.20	0.00	-107.13	0.00	0.00
30231G102	EXXON MOBIL CORPCOMMON STOCK		XOM					
20.0000	01/28/2013	06/23/2011	1,818.75	1,559.40	0.00	259.35	0.00	0.00
30231G102	EXXON MOBIL CORPCOMMON STOCK		XOM					
70.0000	07/22/2013	Various	6,640.12	5,194.68	0.00	1,445.44	0.00	0.00
451734107	IHS INC-CLASS A		IHS					
70.0000	01/28/2013	Various	7,175 54	5,995.99	0.00	1,179.55	0.00	0.00
46120E602	INTUITIVE SURGICAL INC		ISRG					
10.0000	09/23/2013	02/21/2012	3,712.93	5,014.90	0.00	-1,301.97	0.00	0 00
478160104	JOHNSON & JOHNSON COMMON STOCK		JNJ					
10.0000	01/28/2013	09/26/2011	736.58	625.90	0.00	110 68	0.00	0.00

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2013 Tax Information Statement

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:	**000001278529**
Recipient's Tax ID Number:	**XX-XXX6822**
Payer's Federal ID Number:	**36-2085229**
Payer's State ID Number:	
State:	**IL**
Questions?	**(312)461-5586**
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

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Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
674599105	OCCIDENTAL PETROLEUM CORPORATION COMMON STOCK		OXY					
30.0000	01/28/2013	03/23/2011	2,511.84	3,017.68	0.00	-505.84	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL		PM					
40.0000	06/24/2013	05/29/2012	3,469.95	3,416.47	0.00	53.48	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL		PM					
30.0000	09/23/2013	06/25/2012	2,690.65	2,521.18	0.00	169.47	0.00	0.00
742718109	PROCTER & GAMBLE COMPANY COMMON STOCK		PG					
30.0000	01/28/2013	01/26/2012	2,199.55	1,955.10	0.00	244.45	0.00	0.00
747525103	QUALCOMM INC COMMON STOCK		QCOM					
10.0000	01/28/2013	08/02/2011	637.48	535.60	0.00	101.88	0.00	0.00
902973304	US BANCORP COMMON STOCK		USB					
50.0000	01/28/2013	05/25/2011	1,658.96	1,247.98	0.00	410.98	0.00	0.00
902973304	US BANCORP COMMON STOCK		USB					
150.0000	03/26/2013	Various	5,036.90	3,791.90	0.00	1,245.00	0.00	0.00
902973304	US BANCORP COMMON STOCK		USB					
40.0000	05/20/2013	Various	1,407.99	1,011.88	0.00	396.11	0.00	0.00
902973304	US BANCORP COMMON STOCK		USB					
80.0000	08/20/2013	11/18/2011	2,951.95	2,016.78	0.00	935.17	0.00	0.00

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CHICAGO, IL 60603

Account Number:	000001278529
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Payer's Federal ID Number:	36-2085229
Payer's State ID Number:	
State:	IL
Questions?	(312)461-5586
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address:
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WINNETKA, IL 60093

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Cusip	Description *(Box 8)*		Stock or Other Symbol *(Box 1d)*					
Quantity Sold *(Box 1e)*	Date of Sale or Exchange *(Box 1a)*	Date of Acquisition *(Box 1b)*	Stocks Bonds, etc. *(Box 2a)*	Cost or Other Basis *(Box 3)*	Wash Sale Loss Disallowed *(Box 5)*	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*	State Tax Withheld *(Box 15)*
92343V104	VERIZON COMMUNICATIONS COMMON STOCK		VZ					
60.0000	10/25/2013	08/20/2012	3,020.94	2,640.59	0.00	380.35	0.00	0.00
92826C839	VISA INC		V					
40.0000	11/27/2013	11/21/2012	8,163.86	5,855.20	0.00	2,308.66	0.00	0 00
966837106	WHOLE FOODS MARKET INC COMMON STOCK		WFM					
30.0000	01/28/2013	08/02/2011	2,885.03	1,938.12	0.00	946.91	0.00	0.00
966837106	WHOLE FOODS MARKET INC COMMON STOCK		WFM					
30 0000	02/26/2013	08/02/2011	2,492.34	1,938.12	0.00	554.22	0.00	0.00
98310W108	WYNDHAM WORLDWIDE CORP		WYN					
80.0000	07/22/2013	05/29/2012	4,814 37	4,019.99	0.00	794.38	0.00	0.00
Total Long Term Sales Reported on 1099-B			**99,765.15**	**78,678.09**	**0.00**	**21,087.06**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586
☐ **Corrected** ☐ **2nd TIN notice**

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

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C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

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Long Term Sales Reported on 1099-B								
031162100	AMGEN INC COMMON STOCK		AMGN					
20.0000	01/28/2013	Various	1,696 16	1,047.39	0 00	648.77	0.00	0.00
037833100	APPLE COMPUTER INC COMMON STOCK		AAPL					
10 0000	01/28/2013	Various	4,518 10	1,439.82	0.00	3,078.28	0 00	0.00
09062X103	BIOGEN IDEC INC		BIIB					
20 0000	01/28/2013	06/24/2009	3,018.33	980.80	0.00	2,037 53	0 00	0.00
09062X103	BIOGEN IDEC INC		BIIB					
20.0000	04/19/2013	06/24/2009	3,952.11	980.80	0.00	2,971.31	0.00	0.00
09062X103	BIOGEN IDEC INC		BIIB					
10 0000	10/25/2013	06/24/2009	2,484.55	490.40	0.00	1,994.15	0.00	0.00
22160K105	COSTCO WHSL CORP NEW COMMON STOCK		COST					
30.0000	01/28/2013	Various	3,061 73	1,861.41	0.00	1,200 32	0.00	0.00
22160K105	COSTCO WHSL CORP NEW COMMON STOCK		COST					
60.0000	04/19/2013	10/22/2010	6,189 45	3,844 04	0.00	2,345 41	0.00	0.00

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2013 Tax Information Statement

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586
☐ *Corrected* ☐ *2nd TIN notice*

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BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

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235851102	DANAHER CORPORATION COMMON STOCK		DHR					
10 0000	01/28/2013	01/25/2010	607 98	374 19	0 00	233.79	0 00	0 00
268648102	EMC CORPORATION MASS COMMON STOCK		EMC					
30.0000	01/28/2013	01/25/2010	754 48	510 56	0 00	243.92	0 00	0 00
268648102	EMC CORPORATION MASS COMMON STOCK		EMC					
110 0000	05/20/2013	Various	2,643 26	2,041 99	0 00	601 27	0 00	0.00
369604103	GENERAL ELECTRIC COMPANY COMMON STOCK		GE					
220 0000	01/28/2013	Various	4,927.90	3,196.04	0.00	1,731 86	0 00	0.00
369604103	GENERAL ELECTRIC COMPANY COMMON STOCK		GE					
125 0000	02/26/2013	10/22/2010	2,873.69	2,011 00	0 00	862.69	0.00	0 00
369604103	GENERAL ELECTRIC COMPANY COMMON STOCK		GE					
80 0000	06/24/2013	10/22/2010	1,823 98	1,287 04	0.00	536 94	0.00	0 00
464287226	ISHARES CORE TOTAL U S BOND ETF		AGG					
200 0000	04/23/2013	05/13/2009	22,259.50	20,371 28	0 00	1,888 22	0.00	0 00
594918104	MICROSOFT CORPORATION COMMON STOCK		MSFT					
160 0000	01/28/2013	Various	4,507 11	3,893 51	0.00	613.60	0.00	0.00
674599105	OCCIDENTAL PETROLEUM CORPORATION COMMON STOCK		OXY					
25.0000	01/28/2013	06/24/2009	2,093 20	1,601 46	0 00	491.74	0.00	0 00
68389X105	ORACLE CORPORATION COMMON STOCK		ORCL					
40 0000	01/28/2013	06/24/2009	1,424.36	852.32	0.00	572.04	0 00	0 00

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2013 Tax Information Statement

Ref: 65

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Payer's State ID Number:
State: IL
Questions? (312)461-5586

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

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714290103	PERRIGO CO COMMON STOCK		PRGO					
30 0000	01/28/2013	06/24/2009	3,053.33	799.80	0.00	2,253.53	0.00	0 00
714290103	PERRIGO CO COMMON STOCK		PRGO					
35.0000	09/23/2013	02/26/2010	4,356.20	1,736.70	0.00	2,619.50	0.00	0.00
722005667	PIMCO COMMODITY RR STRAT-INS		PCRIX					
6000 0000	06/25/2013	Various	33,300 00	43,380.00	0.00	-10,080.00	0.00	0.00
693391559	PIMCO EMRG MARKETS BOND-INS FOR		PEBIX					
5000.0000	01/28/2013	09/26/2011	62,450.00	54,150.00	0.00	8,300.00	0.00	0.00
744320102	PRUDENTIAL FINANCIAL INC COMMON STOCK		PRU					
10.0000	01/28/2013	10/19/2009	594.28	523 58	0.00	70.70	0 00	0.00
922031745	VANGUARD INFLATION-PROTECTED SECS-IS		VIPIX					
2700 0000	04/23/2013	01/01/1950	31,347.00	26,591.11	0.00	4,755.89	0.00	0.00
922031745	VANGUARD INFLATION-PROTECTED SECS-IS		VIPIX					
3000 0000	04/25/2013	01/01/1950	34,920 00	29,545 68	0.00	5,374.32	0 00	0.00
Total Long Term Sales Reported on 1099-B			**238,856.70**	**203,510.92**	**0.00**	**35,345.78**	**0.00**	**0.00**

Report on Form 8949, Part II, with Box E checked

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