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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation PAUL YERGENS & VIRGINIA Y ROGERS FD C/O SHARON PETERS, TOWER BANK		A Employer identification number 35-1755904
Number and street (or P O box number if mail is not delivered to street address) 116 E BERRY STREET	Room/suite	B Telephone number (see instructions) 260-427-7131
City or town, state or province, country, and ZIP or foreign postal code FORT WAYNE IN 46802		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,255,237	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	154,396	154,396	154,396	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	30,502			
b	Gross sales price for all assets on line 6a 1,103,879				
7	Capital gain net income (from Part IV, line 2)		30,502		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	184,898	184,898	154,396	
13	Compensation of officers, directors, trustees, etc.	6,000			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) SEE STMT 1	50	50	50	
b	Accounting fees (attach schedule) STMT 2	1,975	1,975	1,975	
c	Other professional fees (attach schedule) STMT 3	33,765	33,765	33,765	
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	2,474			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att. sch)				
24	Total operating and administrative expenses. Add lines 13 through 23	44,264	35,790	35,790	0
25	Contributions, gifts, grants paid	360,000			360,000
26	Total expenses and disbursements. Add lines 24 and 25	404,264	35,790	35,790	360,000
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-219,366			
b	Net investment income (if negative, enter -0-)		149,108		
c	Adjusted net income (if negative, enter -0-)			118,606	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

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Operating and Administrative Expenses

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	142,572	55,014	55,014
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 5	3,716,783	3,817,514	4,832,640
	c Investments – corporate bonds (attach schedule) SEE STMT 6	386,681	154,142	161,592
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) SEE STATEMENT 7	213,105	213,105	205,991
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	4,459,141	4,239,775	5,255,237
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	4,459,141	4,239,775	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	4,459,141	4,239,775	
	31 Total liabilities and net assets/fund balances (see instructions)	4,459,141	4,239,775	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,459,141
2 Enter amount from Part I, line 27a	2	-219,366
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,239,775
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,239,775

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TRUST ACCOUNT LEDGER	P	VARIOUS	VARIOUS
b	TRUST ACCOUNT LEDGER	P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 150,400		157,912	-7,512
b 942,227		915,465	26,762
c 11,252			11,252
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))
a			-7,512
b			26,762
c			11,252
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	30,502
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	353,126	4,925,158	0.071698
2011	199,635	5,028,104	0.039704
2010	51,000	3,659,823	0.013935
2009	50,512	914,680	0.055224
2008	43,303	1,030,109	0.042037

2 Total of line 1, column (d)	2	0.222598
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044520
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,154,111
5 Multiply line 4 by line 3	5	229,461
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,491
7 Add lines 5 and 6	7	230,952
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	360,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,491
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,491
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,491
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,000
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	509
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 509 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► SHARON PETERS, TOWER BANK 116 E BERRY STREET Located at ► FORT WAYNE IN ZIP+4 ► 46802	Telephone no ► 260-427-7131		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15	► <input type="checkbox"/>		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BENJAMIN WILLIAMS 229 W BERRY STREET #400 FORT WAYNE IN 46859	SECRETARY 1.00	3,000	0	0
JEAN TIPTON 16823 CABREO DRIVE NAPLES FL 34110	PRESIDENT 1.00	3,000	0	0
SHARON PETERS 116 E BERRY STREET FORT WAYNE IN 46802	BOARD MEMBER 2.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	5,232,600
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	5,232,600
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	5,232,600
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	78,489
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,154,111
6	Minimum investment return. Enter 5% of line 5	6	257,706

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	257,706
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,491
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,491
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	256,215
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	256,215
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	256,215

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	360,000
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	360,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,491
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	358,509

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				256,215
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			108,638	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013.				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 360,000				
a Applied to 2012, but not more than line 2a			108,638	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				251,362
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				4,853
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶					
b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed b 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test – enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed c "Support" alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) N/A	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest N/A	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: N/A	
b The form in which applications should be submitted and information and materials they should include N/A	
c Any submission deadlines: N/A	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors N/A	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TURNSTONE CENTER FOR DISABLED 3320 NORTH CLINTON STREET FORT WAYNE IN 46805		PUBLIC	CHARITABLE	50,000
VISITING NURSE AND HOSPICE HOME 5910 HOMESTEAD ROAD FORT WAYNE IN 46814		PUBLIC	CHARITABLE	60,000
CANCER SERVICES OF NORTHEAST IN 6316 MUTUAL DRIVE FORT WAYNE IN 46825		PUBLIC	CHARITABLE	50,000
MATTHEW 25 HEALTH & DENTAL CLINIC 413 E JEFFERSON BLVD FORT WAYNE IN 46802		PUBLIC	CHARITABLE	50,000
QUESTA FOUNDATION FOR EDUCATION 3468 STELLHORN ROAD FORT WAYNE IN 46815		PUBLIC	CHARITABLE	75,000
COMMUNITY TRANSPORTATION NETWORK 5601 INDUSTRIAL ROAD FORT WAYNE IN 46825		PUBLIC	CHARITABLE	75,000
Total			▶ 3a	360,000
b Approved for future payment N/A				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

LINDA K WELLMAN, CPA

Jenna K Wellman

3E14

Firm's name ▶ **BADEN, GAGE & SCHROEDER, LLC**

PTIN P00382680

Firm's address ▶ 6920 POINTE INVERNESS WAY #300
FORT WAYNE, IN 46804-7926

Firm's EIN ▶ 35-1939627

Phone no 260-422-2551

Statement 1 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT LEGAL FEES	\$ 50	\$ 50	\$ 50	\$
TOTAL	\$ 50	\$ 50	\$ 50	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEES	\$ 1,975	\$ 1,975	\$ 1,975	\$
TOTAL	\$ 1,975	\$ 1,975	\$ 1,975	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	\$ 33,765	\$ 33,765	\$ 33,765	\$
TOTAL	\$ 33,765	\$ 33,765	\$ 33,765	\$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL TAX DUE	\$ 474	\$	\$	\$
ESTIMATED TAXES	2,000			
TOTAL	\$ 2,474	\$ 0	\$ 0	\$ 0

Federal Statements**Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENTS - CORPORATE STOCKS	\$ 3,716,783	\$ 3,817,514	COST	\$ 4,832,640
TOTAL	\$ 3,716,783	\$ 3,817,514		\$ 4,832,640

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENTS - CORPORATE BONDS	\$ 386,681	\$ 154,142	COST	\$ 161,592
TOTAL	\$ 386,681	\$ 154,142		\$ 161,592

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENTS - OTHER	\$ 213,105	\$ 213,105	COST	\$ 205,991
TOTAL	\$ 213,105	\$ 213,105		\$ 205,991

35-1755904

For the Account of: P YERGENS & V YERGENS ROGERS FDN., INC - AGY

Account Number: 52 00 0412 0 02

Date: DECEMBER 31, 2013

Review of Assets

Shares or Par Value	Unit Market	Investment Cost Basis	Total Market Value	Est. Annual Income	Current Yield
CASH					
		4,670.84	4,670.84		
		0.00	0.00		
		4,670.84	4,670.84		
CASH EQUIVALENTS					
MISC CASH EQUIV-TAXABLE					
28,558.58	1 000	28,558.58	28,558.58	3	0.01
21,784.18	1.000	21,784.18	21,784.18	2	0.01
		50,342.76	50,342.76	5	0.01
		50,342.76	50,342.76	5	0.01
FIXED INCOME SECURITIES					
MUNICIPAL BD - FEDERAL TAXABLE					
MATURITY (0 - 5 YRS)					
50,000	107.103	51,827.36	53,551.50	2,500	4.67
MATURITY (10 YRS & UP)					
155,000	104.295	155,000.00	161,657.25	9,455	5.85
40,000	103.144	40,000.00	41,257.60	2,400	5.82
		195,000.00	202,914.85	11,855	5.84
		246,827.36	256,466.35	14,355	5.60



35-1755904

For the Account of: P YERGENS & V YERGENS ROGERS FDN., INC - AGY

Account Number: 52 00 0412 0 02

Date: DECEMBER 31, 2013

Review of Assets

Shares or Par Value	Unit Market	Investment Cost Basis	Total Market Value	Est. Annual Income	Current Yield
CORPORATE BONDS & NOTES					
MATURITY (0 - 5 YRS)					
100,000	106.393	102,343.75	106,393.00	6,625	6.23
25,000	110.861	26,903.00	27,715.25	1,900	6.86
		129,246.75	134,108.25	8,525	6.36
TOTAL MATURITY (0 - 5 YRS)					
MATURITY (5 - 10 YRS)					
25,000	109.936	24,896.00	27,484.00	1,275	4.64
		154,142.75	161,592.25	9,800	6.06
TOTAL CORPORATE BONDS & NOTES					
MUTUAL FDS - FIXED					
MATURITY (0 - 5 YRS)					
21,520.146	10.700	243,145.94	230,265.56	9,792	4.25
21,316.402	15.160	280,888.87	323,156.65	15,071	4.66
10,916.782	13.090	135,847.54	142,900.68	5,600	3.92
9,363.296	10.700	100,000.00	100,187.27	1,910	1.91
32,031.911	9.670	325,000.00	309,748.58	10,186	3.29
		1,084,882.35	1,106,258.74	42,559	3.85
TOTAL MUTUAL FDS - FIXED					



For the Account of: **P YERGENS & V YERGENS ROGERS FDN., INC - AGY**

Account Number: 52 00 0412 0 02
Date: DECEMBER 31, 2013

Review of Assets



Shares or Par Value	Unit Market	Investment Cost Basis	Total Market Value	Est. Annual Income	Current Yield
FIXED INCOME ETF					
MATURITY (0 - 5 YRS)					
5,000	20 540	106,784.00	102,700.00	2,665	2.59
5,000	20.574	101,800.00	102,872.00	2,095	2.04
2,222	17.930	43,011.70	39,840.46	2,244	5.63
2,228	104.740	244,099.75	233,360.72	5,610	2.40
TOTAL FIXED INCOME ETF					
TOTAL FIXED INCOME SECURITIES					
EQUITIES					
EXCHANGE TRADED PRODUCTS					
325	35.160	10,260.25	11,427.00	598	5.23
300	38.330	8,629.33	11,499.00	264	2.30
300	52.810	9,357.77	15,843.00	480	3.03
125	111.780	11,400.69	13,972.50	355	2.54
175	561.020	39,294.49	98,178.50	2,135	2.17
525	66.810	22,519.20	35,075.25	635	1.81
250	31.850	9,769.98	7,962.50	540	6.78
500	124.910	37,723.97	62,455.00	2,000	3.20
370	70.650	20,740.29	26,140.50	1,021	3.91
150	91.330	11,947.50	13,699.50	306	2.23
1,000	76.400	29,511.24	76,400.00	860	1.13
250	64.970	13,267.48	16,242.50	450	2.77
DU PONT E I DE NEMOURS & CO					

For the Account of: P YERGENS & V YERGENS ROGERS FDN., INC - AGY

Account Number: 52 00 0412 0 02

Date: DECEMBER 31, 2013

Review of Assets

Shares or Par Value	Unit Market	Investment Cost Basis	Total Market Value	Est. Annual Income	Current Yield
475	70.180	24,847.25	33,335.50	817	2.45
400	37.740	9,741.52	15,096.00	500	3.31
800	84.080	42,948.80	67,264.00	1,344	2.00
250	187.570	29,135.38	46,892.50	950	2.03
375	97.030	23,011.13	36,386.25	1,215	3.34
1,500	37.410	42,403.65	56,115.00	1,680	2.99
250	95.100	22,772.50	23,775.00	640	2.69
2,000	30.630	35,438.40	61,260.00	2,080	3.40
185	77.130	6,539.77	14,269.05	289	2.02
250	49.140	9,867.48	12,285.00	530	4.31
		471,128.07	755,573.55	19,689	2.61
TOTAL EXCHANGE TRADED PRODUCTS					
EQUITY ETF					
2,155	21.910	35,342.00	47,216.05	1,476	3.13
4,500	32.250	88,793.90	145,125.00	509	0.35
5,800	35.660	133,128.41	206,828.00	3,335	1.61
14,025	21.740	217,807.09	304,903.50	3,689	1.21
3,100	48.070	80,060.54	149,017.00	17	0.01
2,100	20.280	35,823.40	42,588.00	1,762	4.14
2,845	55.490	100,718.72	157,869.05	1,189	0.75
450	50.976	19,241.95	22,939.20	219	0.96
1,375	55.440	51,011.13	76,230.00	1,161	1.52
3,000	42.980	103,500.00	128,940.00	3,084	2.39



Account Number: 52 00 0412 0 02
Date: DECEMBER 31, 2013

Review of Assets

	Shares or Par Value	Unit Market	Investment Cost Basis	Total Market Value	Est. Annual Income	Current Yield
	1,000	66.830	40,333.15	66,830.00	776	1.16
	325	88.510	23,964.85	28,765.75	496	1.73
	6,000	21.860	100,650.00	131,160.00	1,926	1.47
	2,550	52.260	83,182.04	133,263.00	2,231	1.67
	2,505	35.740	68,154.46	89,528.70	1,526	1.70
	500	37.970	18,684.95	18,985.00	733	3.86
	2,330	89.540	148,018.61	208,628.20	2,200	1.05
	600	83.660	38,315.74	50,196.00	1,946	3.88
	900	55.120	35,622.00	49,608.00	1,585	3.19
	500	66.540	22,830.75	33,270.00	800	2.40
			1,445,183.69	2,091,890.45	30,660	1.47
	805	76.120	29,203.22	61,276.60	1,352	2.21
	2,776.741	24.330	44,594.46	67,558.11	1,252	1.85
			1,990,109.44	2,976,298.71	52,953	1.78

35-1755904

For the Account of: P YERGENS & V YERGENS ROGERS FDN., INC - AGY

Account Number: 52 00 0412 0 02

Date: DECEMBER 31, 2013

Review of Assets



Shares or Par Value	Unit Market	Investment Cost Basis	Market Value	Total	Est. Annual Income	Current Yield
MISCELLANEOUS ASSETS						
SUNDRY ASSETS						
1					0	0.00
6		11,090.00	11,090.00		0	0.00
1		0.00	0.00		0	0.00
1	2015.000	2,015.00	2,015.00		0	0.00
		13,105.00	13,105.00		0	0.00
MUTUAL FUNDS - OTHER						
1,003.612	53.820	50,000.00	54,014.40		635	1.18
14,027.50	9.900	150,000.00	138,872.25		8,038	5.79
		200,000.00	192,886.65		8,673	4.50
		213,105.00	205,991.65		8,673	4.21
EX-DIVIDENDS						
			7,928.03			
			6,915.14			
		4,239,775.95	5,255,237.65		140,959	2.69
GRAND TOTAL						