



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



2013

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation <b>HELEN WADE GREENE CHAR TRUST</b>		A Employer identification number <b>34-6527172</b>
Number and street (or P O box number if mail is not delivered to street address) <b>P O BOX 1802</b>		B Telephone number (see instructions) <b>888-866-3275</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PROVIDENCE, RI 02901-1802</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 12,086,725.</b>		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	237,954.	237,795.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,157,443.			
b	Gross sales price for all assets on line 6a	3,631,616.			
7	Capital gain net income (from Part IV, line 2)		1,157,443.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	1,395,397.	1,395,238.		
13	Compensation of officers, directors, trustees, etc.	25,685.	15,411.		10,274.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	890.	NONE	NONE	890.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	10,712.	5,205.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	118.	33.		85.
24	Total operating and administrative expenses. Add lines 13 through 23	37,405.	20,649.	NONE	11,249.
25	Contributions, gifts, grants paid	430,000.			430,000.
26	Total expenses and disbursements. Add lines 14 and 25	467,405.	20,649.	NONE	441,249.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	927,992.			
b	Net investment income (if negative, enter -0-)		1,374,589.		
c	Adjusted net income (if negative, enter -0-)				

SCANNED JUN 05 2014

Operating and Administrative Expenses

 POSTMARK RECEIVED  
 05122014  
 05142014  
 CINCINNATI  
 SERVICE CENTER

5

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .	179,542.	189,084.	189,084.	
	3	Accounts receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U.S. and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) . . . . .	8,587,360.	9,500,981.	11,897,641.	
	c	Investments - corporate bonds (attach schedule) . . . . .	88.			
	11	Investments - land, buildings, and equipment basis . . . . .				
	Less: accumulated depreciation (attach schedule) ▶ . . . . .					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .					
14	Land, buildings, and equipment basis . . . . .					
	Less: accumulated depreciation (attach schedule) ▶ . . . . .					
15	Other assets (describe ▶ . . . . .)					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	8,766,990.	9,690,065.	12,086,725.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . .)				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		NONE			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds . . . . .	8,766,990.	9,690,065.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	8,766,990.	9,690,065.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	8,766,990.	9,690,065.			

### Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	8,766,990.
2	Enter amount from Part I, line 27a . . . . .	2	927,992.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	9,694,982.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	4,917.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	9,690,065.

Form 990-PF (2013)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate,  
2-story brick warehouse; or common stock, 200 shs MLC Co.)

(b) How  
acquired  
P - Purchase  
D - Donation

(c) Date  
acquired  
(mo., day, yr.)

(d) Date sold  
(mo., day, yr.)

**1a PUBLICLY TRADED SECURITIES**

<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 3,631,616.		2,474,173.	1,157,443.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			1,157,443.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div style="display: inline-block; vertical-align: middle;"> <div style="font-size: 2em; vertical-align: middle;">{</div> <div style="display: inline-block; vertical-align: middle;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> </div>	<b>2</b>	1,157,443.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<div style="display: inline-block; vertical-align: middle;"> <div style="font-size: 2em; vertical-align: middle;">}</div> <div style="display: inline-block; vertical-align: middle;">           If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in            Part I, line 8         </div> </div>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	430,595.	10,202,554.	0.042205
2011	469,382.	10,188,191.	0.046071
2010	495,095.	9,948,129.	0.049768
2009	498,245.	9,409,201.	0.052953
2008	588,402.	11,160,415.	0.052722

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.243719
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.048744
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	11,277,446.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	549,708.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	13,746.
<b>7</b> Add lines 5 and 6	<b>7</b>	563,454.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	441,249.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	27,492.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	27,492.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	27,492.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	3,900.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	3,900.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	23,592.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10		
11 Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>NONE</b> <b>Refunded</b> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . Website address <u>N/A</u>	13	X	
14	The books are in care of <u>US TRUST FIDUCIARY TAX SERVICES</u> Telephone no. <u>(888) 866-3275</u> Located at <u>P.O. BOX 1802, PROVIDENCE, RI</u> ZIP+4 <u>02901</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . <u>15</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	X
Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

Form 990-PF (2013)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**5b****X****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**6b****X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		25,685.		

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total** number of other employees paid over \$50,000**NONE**

Form 990-PF (2013)

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
<b>Total number of others receiving over \$50,000 for professional services</b> . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

Form 990-PF (2013)




**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	11,271,941.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	177,243.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	11,449,184.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,449,184.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	171,738.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,277,446.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	563,872.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	563,872.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	27,492.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	27,492.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	536,380.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	536,380.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	536,380.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	441,249.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	441,249.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	441,249.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				536,380.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			NONE	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .				NONE
<b>b</b> From 2009 . . . . .				NONE
<b>c</b> From 2010 . . . . .				NONE
<b>d</b> From 2011 . . . . .				6,715.
<b>e</b> From 2012 . . . . .				NONE
<b>f</b> Total of lines 3a through e . . . . .	6,715.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 441,249.				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2013 distributable amount . . . . .				441,249.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	6,715.			6,715.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				88,416.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009 . . . . .				NONE
<b>b</b> Excess from 2010 . . . . .				NONE
<b>c</b> Excess from 2011 . . . . .				NONE
<b>d</b> Excess from 2012 . . . . .				NONE
<b>e</b> Excess from 2013 . . . . .				NONE

Form **990-PF** (2013)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization. . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
EDWARD LEE & SLOCUMB PERRY FDN 145 SARGENT RD BROOKLINE MA	N/A	EOF	UNRESTRICTED GENERAL SUPPORT	310,000.
UNITED WAY OF GREATER CLEVELAND 1331 EUCLID AVE CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	5,000.
MUSICAL ARTS ASSOCIATION DBA CLEVELAND	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000.
UNIVERSITY HOSPITALS OF CLEVELAND CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000.
BEECH BROOK 323 MAIN STREET AKRON OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	8,000.
CLEVELAND MUSEUM OF ART 11150 EAST BLVD CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	26,000.
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	18,000.
HOSPICE OF THE WESTERN RESERVE 300 E 180TH ST CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	5,000.
CLEVELAND INSTITUTE OF ART 11141 EAST BLVD CLEVELAND OH	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000.
CENTRAL SCHOOL OF PRACTICAL NURSING, INC 1330 NORTH MILITARY HIGHWAY NORFOLK VA	N/A	PC	UNRESTRICTED GENERAL SUPPORT	18,000.
<b>Total</b> .....			<b>3a</b>	430,000.
<b>b Approved for future payment</b>				
<b>Total</b> .....			<b>3b</b>	



**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes	No
-----	----

<b>1a(1)</b>	<b>X</b>
--------------	----------

(1) Cash	1a(1)	X
----------	-------	---

(2) Other assets	1a(2)		X
------------------	-------	--	---

1b(1)	X
-------	---

(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
--	-------	---

(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
--	-------	--	---

(3) Rental of facilities, equipment, or other assets	1b(3)		X
--	-------	--	---

(4) Reimbursement arrangements	1b(4)	X
--------------------------------	-------	---

(5) Loans or loan guarantees	1b(5)		X
------------------------------	-------	--	---

(6) Performance of services or membership or fundraising solicitations	1b(6)	X
--	-------	---

Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
--	----	---

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Karen / Kiser

04/25/2014  
Date

▶ SVP Tax

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

BANK OF AMERICA, N.A.

**Paid  
Preparer  
Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check	if	PTIN
----------------------------	----------------------	------	-------	----	------

Check <input type="checkbox"/> if self-employed
--

Firm's name		Firm's EIN	
-------------	--	------------	--

Firm's name	Firm's EIN
Firm's address	

Phone no \_\_\_\_\_

HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	5,908.	5,908.
FOREIGN DIVIDENDS	33,691.	33,691.
NONDIVIDEND DISTRIBUTIONS	159.	
DOMESTIC DIVIDENDS	112,183.	112,183.
OTHER INTEREST	125.	125.
NONQUALIFIED FOREIGN DIVIDENDS	20,659.	20,659.
NONQUALIFIED DOMESTIC DIVIDENDS	65,229.	65,229.
	-----	-----
TOTAL	237,954.	237,795.
	=====	=====

HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE - BOA	890.			890.
TOTALS	890.	NONE	NONE	890.
	=====	=====	=====	=====



HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	454.	454.
EXCISE TAX - PRIOR YEAR	1,607.	
EXCISE TAX ESTIMATES	3,900.	
FOREIGN TAXES ON QUALIFIED FOR	2,981.	2,981.
FOREIGN TAXES ON NONQUALIFIED	1,770.	1,770.
	-----	-----
TOTALS	10,712.	5,205.
	=====	=====

HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER CHARITABLE EXPENSES	85.		85.
OTHER INVESTMENT FEE	33.	33.	
TOTALS	----- 118. =====	----- 33. =====	----- 85. =====

## HELEN WADE GREENE CHAR TRUST

34-6527172

## FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
464288687 ISHARES US PFD STK E	129,278.	223,018.	220,980.
921937835 VANGUARD TOTAL BD MA	923,764.		
922042858 VANGUARD FTSE EMERGI	826,564.	785,236.	781,660.
166764100 CHEVRON CORP COM	12,509.	6,254.	37,473.
30231G102 EXXON MOBIL CORP COM	16,440.	10,047.	55,660.
674599105 OCCIDENTAL PETE CORP	71,786.	55,377.	64,193.
806857108 SCHLUMBERGER LTD COM	48,270.	44,557.	54,066.
460146103 INTERNATIONAL PAPER	24,252.	9,701.	19,612.
61166W101 MONSANTO CO NEW COM	26,456.	11,244.	19,814.
74005P104 PRAXAIR INC COM	30,205.	13,476.	18,854.
767204100 RIO TINTO PLC SPONSO	31,634.		
H6169Q108 PENTAIR LTD COM	5,863.		
H89128104 TYCO INTL LTD NEW F	16,469.	12,594.	26,676.
00101J106 ADT CORP COM	10,771.	6,178.	9,915.
291011104 EMERSON ELEC CO COM	25,732.	19,085.	31,230.
369550108 GENERAL DYNAMICS COR	59,418.		
438516106 HONEYWELL INTL INC C	53,695.	28,780.	61,218.
701094104 PARKER HANNIFIN CORP	32,938.		
911312106 UNITED PARCEL SVC IN	34,129.	34,129.	57,794.
913017109 UNITED TECHNOLOGIES	78,274.	38,797.	64,866.
023135106 AMAZON COM INC COM	54,607.	33,674.	73,776.
20030N101 COMCAST CORP NEW CL	32,567.		
437076102 HOME DEPOT INC COM	53,088.	20,173.	39,112.
55616P104 MACYS INC COM	25,491.	13,788.	31,773.
580135101 MCDONALDS CORP COM	38,473.	31,634.	35,901.
872540109 TJX COS INC NEW COM	36,876.	26,735.	64,686.
03524A108 ANHEUSER BUSCH INBEV	32,837.		
50076Q106 KRAFT FOODS GROUP IN	9,418.	7,374.	22,373.
609207105 MONDELEZ INTL INC CO	17,432.	12,775.	41,160.

HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
713448108 PEPSICO INC COM	62,856.	40,856.	53,911.
718172109 PHILIP MORRIS INTL I	20,800.	19,110.	64,041.
742718109 PROCTER & GAMBLE CO	41,205.	28,431.	56,173.
002824100 ABBOTT LABS COM	1,297.		
071813109 BAXTER INTL INC COM	61,275.	42,382.	57,727.
075887109 BECTON DICKINSON & C	51,647.		
125509109 CIGNA CORP COM	23,218.		
30219G108 EXPRESS SCRIPTS HLDG	9,320.	2,939.	30,554.
58155Q103 MCKESSON CORP COM	37,062.	27,055.	58,911.
717081103 PFIZER INC COM	37,657.	18,303.	32,008.
354613101 FRANKLIN RES INC COM	46,388.		
38141G104 GOLDMAN SACHS GROUP	73,306.	40,600.	63,814.
46625H100 J P MORGAN CHASE & C	21,691.	11,137.	78,071.
693475105 PNC FINL SVCS GROUP	49,619.	26,163.	44,996.
744320102 PRUDENTIAL FINL INC	37,968.	27,764.	53,949.
902973304 US BANCORP DEL COM N	42,171.	32,893.	63,024.
H84989104 TE CONNECTIVITY LTD	37,401.	29,921.	57,314.
037833100 APPLE INC COM	36,347.	30,895.	47,687.
17275R102 CISCO SYS INC COM	2,443.	2,382.	43,739.
268648102 EMC CORP COM	71,311.	35,248.	43,510.
459200101 INTERNATIONAL BUSINE	89,267.	34,084.	39,390.
461202103 INTUIT COM	50,560.		
594918104 MICROSOFT CORP COM	37,238.		
68389X105 ORACLE CORP COM	9,333.		
747525103 QUALCOMM INC COM	47,843.	36,839.	57,173.
00206R102 AT&T INC COM	21,263.	21,266.	26,370.
025537101 AMERICAN ELEC PWR IN	33,404.	11,320.	14,256.
65339F101 NEXTERA ENERGY INC C	27,163.	9,779.	15,412.
69331C108 PG&E CORP COM	32,785.	12,704.	12,487.

## HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART II - CORPORATE STOCK  
 =====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
197199409 COLUMBIA ACORN FUND	400,000.		
19765J830 COLUMBIA MID CAP VAL	375,000.	375,000.	580,488.
19765N245 COLUMBIA DIVIDEND IN	700,000.	700,000.	1,067,471.
19765P547 COLUMBIA REAL ESTATE	150,000.	150,000.	163,043.
19765P596 COLUMBIA SMALL CAP G	100,000.	100,000.	119,753.
19765P810 COLUMBIA SMALL CAP C	375,000.	375,000.	553,118.
19765Y688 COLUMBIA SELECT LARG	700,000.	700,000.	1,185,563.
880208400 TEMPLETON GLOBAL BD	100,000.	250,000.	246,845.
19763T889 COLUMBIA INCOME OPPO	100,000.	200,000.	207,457.
19765N518 COLUMBIA CORPORATE I	564,286.	2,564,286.	2,552,612.
22544R305 CREDIT SUISSE COMMOD	250,000.	155,214.	122,645.
885215566 THORNBURG INTL VALUE	600,000.	600,000.	754,353.
197199813 COLUMBIA ACORN INTER	300,000.	300,000.	381,788.
254687106 DISNEY WALT CO COM D		58,396.	63,412.
00287Y109 ABBVIE INC COM		675.	47,529.
375558103 GILEAD SCIENCES INC		58,111.	62,709.
09247X101 BLACKROCK INC CL A		63,672.	66,459.
38259P508 GOOGLE INC CL A COM		37,422.	39,225.
92826C839 VISA INC CL A COM		44,508.	46,763.
74253Q747 PRINCIPAL MIDCAP BLE		582,000.	588,898.
315807552 FIDELITY ADVISOR FLO		200,000.	200,201.
TOTALS	8,587,360.	9,500,981.	11,897,641.
	=====	=====	=====

HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART II - CORPORATE BONDS  
=====

BEGINNING  
BOOK VALUE  
-----

DESCRIPTION  
-----

36203P2L6 GNMA POOL #355479 DT

88.

TOTALS

88.

=====



HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

TYE COST ADJUSTMENT	53.
TYE SECURITIES ADJUSTMENT	68.
TYE INCOME ADJUSTMENT	4,787.
TYE SALES ADJUSTMENT	7.
ROUNDING ADJUSTMENT	2.

TOTAL

-----  
4,917.  
=====



HELEN WADE GREENE CHAR TRUST

34-6527172

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

## OFFICER NAME:

BANK OF AMERICA, N.A.

## ADDRESS:

225 FRANKLIN STREET, MA1-225-04-02

BOSTON, MA 02110

## TITLE:

CO - TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 25,685.

COMPENSATION EXPLANATION:

SEE ATTACHED FOOTNOTE

## OFFICER NAME:

E. LEE PERRY

## ADDRESS:

225 FRANKLIN STREET, MA1-225-04-02

BOSTON, MA 02110

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10

## OFFICER NAME:

SLOCUMB HOLLIS PERRY

## ADDRESS:

225 FRANKLIN STREET, MA1-225-04-02

BOSTON, MA 02110

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10

## OFFICER NAME:

ANNE HOLLIS PERKINS

## ADDRESS:

225 FRANKLIN STREET, MA1-225-04-02

BOSTON, MA 02110

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10

TOTAL COMPENSATION:

25,685.

=====





HELEN WADE GREENE CHAR TRUST

34-6527172

## FEDERAL FOOTNOTES

=====

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

Slocumb Hollis Perry  
145 Sargent Road  
Brookline, Massachusetts 02445

June 8, 2013

Sharon M. Driscoll  
SVP, Senior Philanthropic Relationship Manager  
Philanthropic Solutions  
US Trust, Bank of America Private Wealth Management  
225 Franklin Street, MA1-225-04-02  
Boston, MA 02110

Dear Sharon,

Thank you so very much for your kind letter, delivered today, by FedEx, advising us of the wonderful news that the Distribution Committee/Co-Trustee/s for the Helen Wade Greene Charitable Trust has awarded a \$150,000 Grant to the Edward Lee and Slocumb Hollis Perry Foundation. We understand that this one-year grant was made to support the Foundation's grantmaking program.


On the behalf of the Trustees, Edward Lee Perry and Anne Hollis Perkins, I, Slocumb Hollis Perry, Trustee and Treasurer, I thank you. We accept our responsibilities to ensure that the philanthropic intentions of the donor are honored.

I have read your letter, Sharon, and also the enclosed document: "General Grant Terms, Conditions and Understandings for Expenditure Responsibility". I have signed the Acceptance and Agreement, in my capacity as Treasurer and Trustee of the Foundation, and dated it today's date: June 8, 2013.

I am also enclosing the Expenditure Report for the First Half of Year 2012, which details gifts made in May and June of 2012, having sent the report for the Second Half of the Year 2012, on May 3, of this year, 2013. I regret that I did not incorporate the two together. The full report for future years will be submitted in a timely fashion and as one comprehensive report, at the end of that year in which the grants were made. My apologies for the omission.

Thank you so much for all your efforts and your guidance,

Sincerely,



Slocumb Hollis Perry, Treasurer and Trustee

GENERAL GRANT TERMS, CONDITIONS AND UNDERSTANDINGS  
FOR EXPENDITURE RESPONSIBILITY

In addition to the specific terms and conditions in the grant award letter dated June 7 2013, to which these General Grant Terms, Conditions and Understandings are attached, The Helen Wade Greene Charitable Trust (The Foundation) is awarding this grant to you as the Grantee contingent upon the following:

**Expenditure Responsibility:**

This grant is an "expenditure responsibility" grant within the meaning of section 4945(h) of the Internal Revenue code of 1986 ("Code"). Thus, The Foundation must (i) see that the grant is spent solely for the purposes for which made; (ii) obtain full and complete reports from you on how the funds are spent; and (iii) make full and detailed reports with respect to such expenditures to the Internal Revenue Service. By accepting this grant, you agree to cooperate fully with The Foundation to assure that The Foundation is able to satisfy all of the requirements of an "expenditure responsibility" grant in accordance with section 4945(h) of the Code and the regulations thereunder. **Failure to comply with expenditure responsibility requirements could subject The Foundation to certain excise taxes and affect future grants to your organization.**

**Separate Fund:**

All grant funds must be recorded and maintained in either a physically separate bank account used only for the grant or a separate bookkeeping account maintained as part of your financial records. No part of this grant may be used for your general support or general operating expenses.

**Expenditure of Funds:**

This grant (together with any income earned upon investment of grant funds) is made for the purpose and terms outlined in the grant award letter and may not be expended for any other purpose without The Foundation's prior written approval.

You will make all elections necessary to ensure that such use shall be treated as a qualifying distribution made out of corpus for purposes of section 4942 of the code.

If the grant is intended to support a specific project or to provide general support for a specific period, any portion of the grant unexpended at the completion of the project or the end of the period shall be returned immediately to The Foundation.

You may not expend any grant funds (i) to carry on propaganda, or otherwise to attempt, to influence legislation (within the meaning of section 4945(d)(1) of the Code); (ii) to influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code); to make any grant which does not comply with the requirements of section 4945(d)(3) or (4) of the Code; or, (iv) to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the Code.

**Repayment of Funds:**

If the Foundation reasonably believes that you have violated any of the conditions set forth herein as to any portion of the grant received by you, or if the Internal Revenue Service determines (preliminarily or otherwise) that any portion of the grant constitutes a "taxable expenditure," you agree to repay to the Foundation, upon demand, all amounts paid to you hereunder.

**No Assignment or Delegation:**

You may not assign, or otherwise transfer, your rights or delegate any of your obligations under this grant without prior written approval from The Foundation.

**Records and Reports:**

You are required to keep a record of all receipts and expenditures relating to this grant (including income earned on such funds) and to provide The Foundation with a written report summarizing the project promptly within three months following the close of each fiscal year in which you receive or hold any portion of grant funds. Our records indicate that your fiscal year ends on December 31. Please notify us if this is incorrect. The Foundation may also require additional interim reports.

Your reports should describe your progress in achieving the purposes of the grant and include a detailed accounting of the uses or expenditure of all grant funds. You also agree to provide any other information reasonably requested by The Foundation. If your organization obtains any audited financial statements covering any part of the period of this grant, please provide a copy to The Foundation as well. You are required to keep the financial records with respect to this grant, along with copies of any reports submitted to The Foundation, for at least four years following the year in which all grant funds are fully expended.

**Required Notification:**

You are required to provide The Foundation with immediate written notification of: (1) any changes in your organization's tax-exempt status; (2) your inability to expend the grant for the purposes described in the grant award letter; or (3) any expenditure from this grant made for any purpose other than those for which the grant was intended.

**Reasonable Access for Evaluation:**

You will permit The Foundation and its representatives, at its request, to have reasonable access during regular business hours to your files, records, accounts, personnel and clients or other beneficiaries for the purpose of making such financial audits, verifications or program evaluations as The Foundation deems necessary or appropriate concerning this grant award.


**Publicity:**

You will allow The Foundation to review and approve the text of any proposed publicity concerning this grant prior to its release. The Foundation may include information regarding this grant, including the amount and purpose of the grant, any photographs you may have provided, your logo or trademark, or other information or materials about your organization and its activities, in The Foundation's periodic public reports, newsletters, and news releases

The Foundation reserves the right to discontinue, modify or withhold any payments to be made under this grant award or to require a total or partial refund of any grant funds if, in The Foundation's sole discretion, such action is necessary: (1) because you have not fully complied with the terms and conditions of this grant; (2) to protect the purpose and objectives of the grant or any other charitable activities of The Foundation; or (3) to comply with the requirements of any law or regulation applicable to you, or The Foundation or this grant.

The undersigned certify that they are duly elected and authorized officers of the Grantee and that, as such, are authorized to accept this grant on behalf of the Grantee, to obligate the Grantee to observe all of the terms and conditions placed on this grant, and in connection with this grant to make, execute and deliver on behalf of the Grantee all grant agreements, representations, receipts, reports and other instruments of every kind.

Edward Lee and Slocumb Hollis Perry Foundation  
Organization Name

 June 8, 2013  
Treasurer & Trustee (signature)/Date

Executive Director (signature)/Date

Slocumb Hollis Perry  
145 Sargent Road  
Brookline, Massachusetts 02445

**Expenditure Report for Tax Year Ending December 31, 2012:**

**The Edward Lee and Slocumb Hollis Perry Foundation**

Listing of Grants made in May and June, 2012

This Report is being made by Slocumb Hollis Perry, Treasurer and Trustee, of the Edward Lee and Slocumb Hollis Perry Foundation.

The following checks were issued on the Edward Lee and Slocumb Hollis Perry Foundation Checks, Account # 2015893, Boston Private Bank & Trust Company, Ten Post Office Square, Boston, Massachusetts 02109:

# 949 05/21/12	Boston Lyric Opera 11 Avenue de Lafayette Boston, MA 02111	\$10,000.00
# 950 06/11/12	Huntington Theatre 264 Huntington Avenue Boston, MA 02115-4606	\$ 5,000.00
# 951 06/20/12	New England Conservatory 290 Huntington Avenue Boston, MA 02115	\$10,000.00
# 952 06/20/12	WGBH – Channel 2 One Guest Street Boston, MA 02135	\$27,500.00
# 953 06/20/12	Wildlife Conservation Society Attn: Donation Services 2300 Southern Boulevard Bronx, NY 10460	\$ 1,500.00
# 954 06/20/12	Opera America 330 Seventh Avenue New York, NY 10001	\$ 2,500.00

Page Two: Continuation of List of May and June, 2012 Pledges:

# 955 06/20/12	Phillips Exeter Academy Annual Fund 20 Main Street Exeter, NH 03833	\$ 2,500.00
# 956 06/20/12	Museum of Fine Arts, Boston 465 Huntington Avenue Boston, MA 02115	\$40,000.00
# 957 06/20/12	Boston Symphony Orchestra 301 Massachusetts Avenue Boston, MA 02115	\$ 8,000.00

Respectfully submitted,



Slocumb Hollis Perry  
Treasurer and Trustee  
The Edward Lee and Slocumb Hollis Perry Foundation

June 30, 2012

State	CT	Non-Resident State Property:	
Gross Estate :	11,453,163	State 1	
Line 2 - J & K	277,362	State 2	
- Marital		State 3	
- Marital (Plug)		State only QTIP?	
- Marital (Residue)			
- Charitable			
- Charitable (Residue)			
Line 3a - Tent. Taxable	11,175,801	Taxes payable from Marital?	
Line 3b - state dth tax	877,296	If yes, complete the following:	
Line 3c - Taxable est.	10,298,505	Waived Admin/Medical	
Line 4 - Adj. tax. gifts	-	Taxable Bequests	
Line 5 - Total	10,298,505	Gift taxes paid (on G)	
Line 6 - Tax on line 5	4,065,202	Family Trust	
Line 7 - gift tax payable	-	Other Non-Marital deduction property	
Line 8 - gross est. tax	4,065,202		
Line 9a - Basic Exclusion	5,250,000		
Line 9b - Spousal DSUE			
Line 9c - Applicable exc.	5,250,000		
Line 9d - Applicable credit	2,045,800		
Line 10 - adj. to UC			
Line 11 - Adjusted UC	2,045,800		
Line 12 - Net tax	2,019,402		
Line 13 - Foreign tax			
Line 14 - PTP credit			
Line 15 - total credits	-		
Line 16 - Net est. tax	2,019,402		
Line 17 - GST taxes			
Line 18 - Total Taxes	2,019,402		
Line 19 - Total payments			
Line 20 - Balance due(ref)	2,019,402		

CT Probate fee (for CT estates) 12,500



Slocumb Hollis Perry  
214-A Allandale Road  
Chestnut Hill, Massachusetts 02467

December 15, 2013

Sharon M. Driscoll  
SVP, Senior Philanthropic Relationship Manager  
US Trust, Bank of America Private Wealth Management  
225 Franklin Street, MA 1-225-04-02  
Boston, MA 02110

Dear Sharon,

On the behalf of the Co-Trustees of the Edward Lee and Slocumb Hollis Perry Foundation, Edward Lee Perry, Anne Hollis Perkins, and myself, we are most appreciative to be awarded a \$160,000.00 grant from the Distribution Committee/Co-Trustee/s for the Helen Wade Greene Charitable Trust.

I have read your letter of December 13, 2013, and the agreement outlining "the terms and conditions of accepting" the grant, and I have signed and dated the agreement, as Treasurer and Trustee of the Edward Lee and Slocumb Hollis Perry Foundation.

I am returning the signed document, to you, at this time, and will also complete and return, to you, an Expenditure Report, at the conclusion of the year 2013, detailing the grants that were made.

My Co-Trustees and I are most appreciative of all your help and support in this important endeavor.

Best wishes for a joyous holiday season.

Sincerely yours,



Slocumb Hollis Perry  
Treasurer and Trustee of the Edward Lee and Slocumb Hollis Perry Foundation

encl.

## GENERAL GRANT TERMS, CONDITIONS AND UNDERSTANDINGS FOR EXPENDITURE RESPONSIBILITY

In addition to the specific terms and conditions in the grant award letter dated December 13, 2013, to which these General Grant Terms, Conditions and Understandings are attached, The Helen Wade Greene Charitable Trust (The Foundation) is awarding this grant to you as the Grantee contingent upon the following:

### **Expenditure Responsibility:**

This grant is an "expenditure responsibility" grant within the meaning of section 4945(h) of the Internal Revenue code of 1986 ("Code"). Thus, The Foundation must (i) see that the grant is spent solely for the purposes for which made, (ii) obtain full and complete reports from you on how the funds are spent, and (iii) make full and detailed reports with respect to such expenditures to the Internal Revenue Service. By accepting this grant, you agree to cooperate fully with The Foundation to assure that The Foundation is able to satisfy all of the requirements of an "expenditure responsibility" grant in accordance with section 4945(h) of the Code and the regulations thereunder. **Failure to comply with expenditure responsibility requirements could subject The Foundation to certain excise taxes and affect future grants to your organization.**

### **Separate Fund:**

All grant funds must be recorded and maintained in either a physically separate bank account used only for the grant or a separate bookkeeping account maintained as part of your financial records. No part of this grant may be used for your general support or general operating expenses.

### **Expenditure of Funds:**

This grant (together with any income earned upon investment of grant funds) is made for the purpose and terms outlined in the grant award letter and may not be expended for any other purpose without The Foundation's prior written approval.

You will make all elections necessary to ensure that such use shall be treated as a qualifying distribution made out of corpus for purposes of section 4942 of the code.

If the grant is intended to support a specific project or to provide general support for a specific period, any portion of the grant unexpended at the completion of the project or the end of the period shall be returned immediately to The Foundation.

You may not expend any grant funds (i) to carry on propaganda, or otherwise to attempt, to influence legislation (within the meaning of section 4945(d)(1) of the Code), (ii) to influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code), to make any grant which does not comply with the requirements of section 4945(d)(3) or (4) of the Code; or, (iv) to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the Code.

**Repayment of Funds:**

If the Foundation reasonably believes that you have violated any of the conditions set forth herein as to any portion of the grant received by you, or if the Internal Revenue Service determines (preliminarily or otherwise) that any portion of the grant constitutes a "taxable expenditure," you agree to repay to the Foundation, upon demand, all amounts paid to you hereunder.

**No Assignment or Delegation:**

You may not assign, or otherwise transfer, your rights or delegate any of your obligations under this grant without prior written approval from The Foundation

**Records and Reports:**

You are required to keep a record of all receipts and expenditures relating to this grant (including income earned on such funds) and to provide The Foundation with a written report summarizing the project promptly within three months following the close of each fiscal year in which you receive or hold any portion of grant funds. Our records indicate that your fiscal year ends on December 31. Please notify us if this is incorrect. The Foundation may also require additional interim reports.

Your reports should describe your progress in achieving the purposes of the grant and include a detailed accounting of the uses or expenditure of all grant funds. You also agree to provide any other information reasonably requested by The Foundation. If your organization obtains any audited financial statements covering any part of the period of this grant, please provide a copy to The Foundation as well. You are required to keep the financial records with respect to this grant, along with copies of any reports submitted to The Foundation, for at least four years following the year in which all grant funds are fully expended.

**Required Notification:**

You are required to provide The Foundation with immediate written notification of: (1) any changes in your organization's tax-exempt status, (2) your inability to expend the grant for the purposes described in the grant award letter, or (3) any expenditure from this grant made for any purpose other than those for which the grant was intended.

**Reasonable Access for Evaluation:**

You will permit The Foundation and its representatives, at its request, to have reasonable access during regular business hours to your files, records, accounts, personnel and clients or other beneficiaries for the purpose of making such financial audits, verifications or program evaluations as The Foundation deems necessary or appropriate concerning this grant award.

**Publicity:**

You will allow The Foundation to review and approve the text of any proposed publicity concerning this grant prior to its release. The Foundation may include information regarding this grant, including the amount and purpose of the grant, any photographs you may have provided, your logo or trademark, or other information or materials about your organization and its activities, in The Foundation's periodic public reports, newsletters, and news releases.

The Foundation reserves the right to discontinue, modify or withhold any payments to be made under this grant award or to require a total or partial refund of any grant funds if, in The Foundation's sole discretion, such action is necessary (1) because you have not fully complied with the terms and conditions of this grant, (2) to protect the purpose and objectives of the grant or any other charitable activities of The Foundation; or (3) to comply with the requirements of any law or regulation applicable to you, or The Foundation or this grant.

The undersigned certify that they are duly elected and authorized officers of the Grantee and that, as such, are authorized to accept this grant on behalf of the Grantee, to obligate the Grantee to observe all of the terms and conditions placed on this grant, and in connection with this grant to make, execute and deliver on behalf of the Grantee all grant agreements, representations, receipts, reports and other instruments of every kind.

Executive Director (signature)/Date