



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>HUNTER FUND</b> <b>C/O TERRYL MILLER, MMLISI</b>		A Employer identification number <b>34-6513679</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>2365 HARRODSBURG ROAD</b>	Room/suite <b>A300</b>	B Telephone number <b>(859) 223-4141</b>
City or town, state or province, country, and ZIP or foreign postal code <b>LEXINGTON, KY 40504</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 1,340,944.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		500.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		25,556.	25,556.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		80,246.			
b Gross sales price for all assets on line 6a <b>395,429.</b>					
7 Capital gain net income (from Part IV, line 2)			80,246.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		303.	303.		STATEMENT 2
12 Total. Add lines 1 through 11		106,605.	106,105.		
13 Compensation of officers, directors, trustees, etc.		4,000.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,741.	1,306.		435.
c Other professional fees STMT 4		12,115.	12,115.		0.
17 Interest					
18 Taxes STMT 5		624.	624.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		1,248.	1,248.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		19,728.	15,293.		435.
25 Contributions, gifts, grants paid		70,000.			70,000.
26 Total expenses and disbursements. Add lines 24 and 25		89,728.	15,293.		70,435.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		16,877.			
b Net investment income (if negative, enter -0-)			90,812.		
c Adjusted net income (if negative, enter -0-)				N/A	

323501  
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

14200307 758478 3719

2013.03000 HUNTER FUND C/O TERRYL MILL 3719 1

SCANNED MAR 24 2014

## HUNTER FUND

Form 990-PF (2013)

C/O TERRY MILLER, MMLISI

34-6513679

Page 2

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing	29,909.	65,205.	65,205.
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock STMT 7	1,033,471.	1,015,719.	1,275,587.
c	Investments - corporate bonds			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other			
14	Land, buildings, and equipment; basis ▶			
	Less accumulated depreciation ▶			
15	Other assets (describe ▶ <u>DEPOSITS IN TRANSIT</u> )	819.	152.	152.
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,064,199.	1,081,076.	1,340,944.

Liabilities

17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	

Net Assets or Fund Balances

	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
27	Capital stock, trust principal, or current funds	1,383,915.	1,383,915.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	<319,716.>	<302,839.>	
30	<b>Total net assets or fund balances</b>	1,064,199.	1,081,076.	
31	<b>Total liabilities and net assets/fund balances</b>	1,064,199.	1,081,076.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,064,199.
2	Enter amount from Part I, line 27a	2	16,877.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,081,076.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	1,081,076.

Form 990-PF (2013)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE MELLON BANK STATEMENT A	P	VARIOUS	12/31/13
b SEE MELLON BANK STATEMENT B	P	VARIOUS	12/31/13
c SEE MELLON BANK STATEMENT C	P	VARIOUS	12/31/13
d SEE MELLON BANK STATEMENT D	P	VARIOUS	12/31/13
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 157,668.		151,038.	6,630.
b 114.			114.
c 60,721.		52,074.	8,647.
d 167,641.		112,071.	55,570.
e 9,285.			9,285.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			6,630.
b			114.
c			8,647.
d			55,570.
e			9,285.

2 Capital gain net income or (net capital loss)	<div>                     { If gain, also enter in Part I, line 7                      If (loss), enter -0- in Part I, line 7                 </div>	2	80,246.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<div>                     {                 </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	69,979.	1,211,666.	.057754
2011	66,385.	1,263,918.	.052523
2010	70,065.	1,204,805.	.058155
2009	70,302.	1,117,379.	.062917
2008	70,449.	1,394,549.	.050517

2 Total of line 1, column (d)	2	.281866
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056373
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,277,520.
5 Multiply line 4 by line 3	5	72,018.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	908.
7 Add lines 5 and 6	7	72,926.
8 Enter qualifying distributions from Part XII, line 4	8	70,435.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
 See the Part VI instructions.

## HUNTER FUND

Form 990-PF (2013)

C/O TERRY MILLER, MMLISI

34-6513679

Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,816.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,816.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,816.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	680.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	680.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,136.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>TERRY MILLER, MML INVESTOR SVCS</b> Telephone no. <b>(859) 223-4141</b> Located at <b>2365 HARRODSBURG RD, STE A300, LEXINGTON, KY</b> ZIP+4 <b>40504</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <b>N/A</b>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☒

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		4,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

## Part VIII

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

[illegible]**Total number of others receiving over \$50,000 for professional services**

0

Part IX-A	Summary of Direct Charitable Activities
-----------	---

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1 N/A

0.

2

**3**

4

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A
---	-----

**2**

**All other program-related investments. See instructions.**

**3**

**Total.** Add lines 1 through 3

0.

Form **990-PF** (2013)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,258,006.
b	Average of monthly cash balances	1b	38,969.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,296,975.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,296,975.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,455.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,277,520.
6	Minimum investment return. Enter 5% of line 5	6	63,876.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	63,876.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,816.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,816.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	62,060.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	62,060.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	62,060.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	70,435.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	70,435.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	70,435.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				62,060.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	1,204.			
b From 2009	14,733.			
c From 2010	10,105.			
d From 2011	4,331.			
e From 2012	10,270.			
f Total of lines 3a through e	40,643.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	70,435.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				62,060.
e Remaining amount distributed out of corpus	8,375.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	49,018.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,204.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	47,814.			
10 Analysis of line 9:				
a Excess from 2009	14,733.			
b Excess from 2010	10,105.			
c Excess from 2011	4,331.			
d Excess from 2012	10,270.			
e Excess from 2013	8,375.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 9**

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
CARDINAL HILL HOSPITAL			OPERATING FUNDS	6,000.
FRONTIER NURSING SERVICE FOUNDATION			OPERATING FUNDS	5,000.
BERRY COLLEGE, GEORGIA			OPERATING FUNDS	2,000.
BEREA COLLEGE			OPERATING FUNDS	10,000.
LEXINGTON HEARING AND SPEECH CENTER, KENTUCKY			OPERATING FUNDS	5,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>3a</b> 70,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.



## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  | Yes | No       |
|----------|--|-----|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |          |
|          | (1) Cash   |     | <b>X</b> |
|          | (2) Other assets   |     | <b>X</b> |
| <b>b</b> | Other transactions:  |     |          |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets   |     | <b>X</b> |
|          | (4) Reimbursement arrangements   |     | <b>X</b> |
|          | (5) Loans or loan guarantees   |     | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations   |     | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |          |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	Signature of officer or trustee <i>Karyl Miller</i>		Date 3-11-14		Title TREASURER		
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check <input type="checkbox"/> if self-employed	PTIN
	LYNN WEAK		<i>Lynn Weak</i>		3/10/14		P00449717
	Firm's name ▶ RADWAN, BROWN & COMPANY PSC					Firm's EIN ▶ 61-1142073	
	Firm's address ▶ 2421 MEMBERS WAY LEXINGTON, KY 40504					Phone no. (859) 233-4146	

Form **990-PF** (2013)

## HUNTER FUND

C/O TERRY MILLER, MMLISI

34-6513679

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANGEL CHARITY FOR CHILDREN			OPERATING FUNDS	2,000.
PATRONATO SAN XAVIER, ARIZONA			OPERATING FUNDS	4,000.
SONOITA-ELGIN EMERGENCY SERVICES, INC., ARIZONA			OPERATING FUNDS	3,000.
BARROW NEUROLOGICAL FOUNDATION			OPERATING FUNDS	8,000.
FOUNDATIONS FOR BURNS & TRAUMAS			OPERATING FUNDS	5,000.
ARIZONA CATTLE RESEARCH INDUSTRY			OPERATING FUNDS	8,000.
ALICE LLOYD COLLEGE			OPERATING FUNDS	7,000.
KNIGHTS OF COLUMBUS - COUNCIL 3607 INC			OPERATING FUNDS	5,000.
Total from continuation sheets				42,000.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MELLON BANK - DIVIDEND	34,841.	9,285.	25,556.
TOTAL TO FM 990-PF, PART I, LN 4	34,841.	9,285.	25,556.

FORM 990-PF	OTHER INCOME	STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONDIVIDEND DISTRIBUTION	278.	278.	
OTHER INVESTMENT INCOME	25.	25.	
TOTAL TO FORM 990-PF, PART I, LINE 11	303.	303.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	1,741.	1,306.		435.	
TO FORM 990-PF, PG 1, LN 16B	1,741.	1,306.		435.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	12,115.	12,115.			0.
TO FORM 990-PF, PG 1, LN 16C	12,115.	12,115.			0.



FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	624.	624.		0.	
TO FORM 990-PF, PG 1, LN 18	624.	624.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ADMINISTRATIVE FEES	347.	347.		0.	
OHIO SEC OF STATE FEES	200.	200.		0.	
INVESTMENT EXPENSES	701.	701.		0.	
TO FORM 990-PF, PG 1, LN 23	1,248.	1,248.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK & MUTUAL FUNDS	1,015,719.	1,275,587.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,015,719.	1,275,587.		

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DANIEL HUNTER JELKS	PRESIDENT 0.00	0.	0.	0.
J. RUKIN JELKS, JR.	VICE PRESIDENT 0.00	0.	0.	0.
CAROLYN G. JELKS	TRUSTEE 0.00	0.	0.	0.
RORY JELKS	SECRETARY 0.00	0.	0.	0.
TERRY L MILLER	TREASURER 1.00	4,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,000.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

---

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

---

THE HUNTER FUND, C/O MML INVESTOR SERVICES, INC.  
2365 HARRODSBURG ROAD, SUITE A300  
LEXINGTON, KY 40504

---

TELEPHONE NUMBER

---

859-223-4141

---

FORM AND CONTENT OF APPLICATIONS

---

SHOULD INCLUDE (1) A CURRENT INCOME & EXPENSE STATEMENT OF OPERATIONS, (2) A PROJECTED BUDGET SHOWING HOW FUNDS REQUESTED WILL BE USED, & (3) COPY OF IRS LETTER CONFIRMING 501(C)(3) STATUS & 509(A) CLASSIFICATION.

---

ANY SUBMISSION DEADLINES

---

THE TRUSTEES MEET WITHIN THE FIRST TWO WEEKS OF SEPTEMBER. REQUESTS FOR GRANTS SHOULD BE RECEIVED IN SUFFICIENT TIME PRIOR TO SEPTEMBER 1.

---

RESTRICTIONS AND LIMITATIONS ON AWARDS

---

IN AWARDING GRANTS, THE FUND GIVES PREFERENCE TO CHARITABLE ORGANIZATIONS IN KENTUCKY & ARIZONA. MOST GRANTS ARE FOR COMMUNITY, EDUCATIONAL, & MEDICAL PURPOSES. THE HUNTER FUND DOES NOT GIVE GRANTS TO INDIVIDUALS.

# Asset detail

## Cash and cash equivalents

Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
65,205.33 CRA (BNY Mellon, N.A., Member FDIC) (Principal Holding)	\$ 65,205.33	\$ 65,205.33		\$ 0.00	0.0%	4.9%
Principal Cash	-2,625.35					
Income Cash	2,625.35					
<b>Total cash and cash equivalents</b>	<b>\$ 65,205.33</b>	<b>\$ 65,205.33</b>	<b>\$ 0.00</b>	<b>\$ 0.00</b>		<b>4.9%</b>

## Fixed income

### Taxable

#### Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,019.457	BNY Mellon Corporate Bond Fund	\$ 12.5500	\$ 50,444.19	\$ 50,000.00	\$ 444.19	\$ 1,575.63	3.1%	3.8%
1,253.585	BNY Mellon Short-Term U S Government Securities Fund	12.0100	15,055.56	15,368.95	-313.39	167.98	1.1	1.1
9,020.082	BNY Mellon Intermediate Bond Fund	12.6700	114,284.44	112,841.22	1,443.22	2,471.50	2.2	8.5
2,297.99	BNY Mellon Bond Fund	12.7200	29,230.43	30,402.41	-1,171.98	857.15	2.9	2.2
1,852.21	Dreyfus Inflation Adjusted Securities Fund	12.4500	23,060.01	25,227.10	-2,167.09	359.33	1.6	1.7
<b>Total taxable pooled vehicle</b>			<b>\$ 232,074.63</b>	<b>\$ 233,839.68</b>	<b>\$ -1,765.05</b>	<b>\$ 5,431.59</b>		<b>17.3%</b>
<b>Total taxable fixed income</b>			<b>\$ 232,074.63</b>	<b>\$ 233,839.68</b>	<b>\$ -1,765.05</b>	<b>\$ 5,431.59</b>		<b>17.3%</b>

December 1 - December 31, 2013

High yield

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,421,462	Dreyfus High Yield Fund	\$ 6.7500	\$ 23,094.87	\$ 22,000.00	\$ 1,094.87	\$ 1,478.07	6.4%	1.7%
<hr/>								
Total high yield pooled vehicle			\$ 23,094.87	\$ 22,000.00	\$ 1,094.87	\$ 1,478.07	1.7%	
Total high yield fixed income			\$ 23,094.87	\$ 22,000.00	\$ 1,094.87	\$ 1,478.07	1.7%	

Emerging markets

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
832,743	Dreyfus Emerging Markets Debt Local Currency Fund	\$ 13.8700	\$ 11,550.15	\$ 11,750.00	\$ -199.85	\$ 511.30	4.4%	0.9%
2,441.86	Tow Emerging Markets Income Fund-I	8.4000	20,511.62	19,950.00	561.62	1,220.93	6.0	1.5
<hr/>								
Total emerging markets pooled vehicle			\$ 32,061.77	\$ 31,700.00	\$ 361.77	\$ 1,732.23	2.4%	
Total emerging markets fixed income			\$ 32,061.77	\$ 31,700.00	\$ 361.77	\$ 1,732.23	2.4%	
Total fixed income			\$ 287,231.27	\$ 287,559.68	\$ -308.41	\$ 8,541.89	21.4%	

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
19	Activis PLC ( ACT )	\$ 168.0000	\$ 3,192.00	\$ 2,736.19	\$ 455.81	\$ 0.00	0.0%	0.2%
70	Aon PLC ( AON )	83.8900	5,872.30	4,183.82	1,688.48	49.00	0.8	0.4
10	Accenture PLC ( ACN )	82.2200	822.20	747.99	74.21	18.60	2.3	0.1
56	Eaton Corp PLC ( ETN )	76.1200	4,262.72	2,892.69	1,370.03	94.08	2.2	0.3
80	Ingersoll-Rand PLC ( IR )	61.6000	4,928.00	3,551.53	1,376.47	67.20	1.4	0.4
150	Invesco Limited ( IVZ )	36.4000	5,460.00	4,279.46	1,180.54	135.00	2.5	0.4
70	Avago Technologies ( AVGO )	52.8790	3,701.53	2,963.99	737.54	70.00	1.9	0.3



# Equities

U.S. large cap  
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40	AT&T Inc ( T )	35.1600	1,406.40	1,239.89	166.51	73.60	5.2	0.1
60	Adobe Systems Inc ( ADBE )	59.8790	3,592.74	2,670.75	921.99	0.00	0.0	0.3
690	Alcatel-Lucent ( ALU ) Sponsored ADR	4.4000	3,036.00	2,679.06	356.94	0.00	0.0	0.2
26	Allergan Inc ( AGN )	111.0800	2,888.08	2,810.07	78.01	5.20	0.2	0.2
26	Alliance Data Sys Corp ( ADS )	262.9300	6,836.18	1,543.31	5,292.87	0.00	0.0	0.5
14	Amazon.Com Inc ( AMZN )	398.7900	5,583.06	3,098.89	2,484.17	0.00	0.0	0.
80	American Express Company ( AXP )	90.7300	7,258.40	3,345.45	3,912.95	73.60	1.0	0.5
50	Ameriprise Financial Inc ( AMP )	115.0500	5,752.50	958.39	4,794.11	104.00	1.8	0.4
33	Amgen Inc ( AMGN )	114.0800	3,764.64	3,855.49	-90.85	80.52	2.1	0.3
17	Apple Inc ( AAPL )	561.0200	9,537.34	4,421.34	5,116.00	207.40	2.2	0.7
40	Beam Inc ( BEAM )	68.0600	2,722.40	2,342.64	379.76	36.00	1.3	0.2
130	C B S Corp ( CBS ) Class B	63.7400	8,286.20	3,546.96	4,739.24	62.40	0.8	0.6
70	Cabot Oil & Gas Corp Cl A ( COG )	38.7600	2,713.20	2,515.69	197.51	5.60	0.2	0.2
40	Capital One Financial Corporation ( COF )	76.6100	3,064.40	1,583.61	1,480.79	48.00	1.6	0.2
30	Caterpillar Inc ( CAT )	90.8100	2,724.30	2,404.29	320.01	72.00	2.6	0.2
60	Celanese Corp ( CE ) Series A	55.3100	3,318.60	2,923.36	395.24	43.20	1.3	0.3
130	Centerpoint Energy Inc ( CNP )	23.1800	3,013.40	3,109.86	-96.46	107.90	3.6	0.
29	Chevron Corporation ( CVX )	124.9100	3,622.39	3,373.69	248.70	116.00	3.2	0.3
70	Coca - Cola Co ( KO )	41.3100	2,891.70	2,975.76	-84.06	78.40	2.7	0.2
90	Comcast Corp Cl A ( CMCSA )	51.9650	4,676.85	3,822.89	853.96	70.20	1.5	0.4
30	Costco Whsl Corp New ( COST )	119.0200	3,570.60	3,124.11	446.49	37.20	1.0	0.3
50	The Walt Disney Company ( DIS )	76.4000	3,820.00	2,293.92	1,526.08	43.00	1.1	0.3
50	Dover Corp ( DOV )	96.5400	4,827.00	3,427.87	1,399.13	75.00	1.6	0.4
40	Express Scripts Holdings, Inc. ( ESRX )	70.2400	2,809.60	2,234.66	574.94	0.00	0.0	0.2
30	Exxon Mobil Corp ( XOM )	101.2000	3,036.00	615.75	2,420.25	75.60	2.5	0.2

Statement F [ 3 of 8 ]



BNY MELLON  
WEALTH MANAGEMENT

Portfolio manager

Kevin Savona  
410 926 4552



Page 7 of 33

## Equities

U.S. large cap  
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
20	Facebook Inc. ( FB )	54.6490	1,092.98	727.81	365.17	0.00	0.0	0.1
30	Family Dlr Stores Inc ( FDO )	64.9700	1,949.10	1,855.00	94.10	31.20	1.6	0.2
110	Fidelity National Financial Inc ( FNF )	32.4500	3,569.50	3,311.82	257.68	79.20	2.2	0.3
60	Freeport McMoran Copper & Gold Inc ( FCX )	37.7400	2,264.40	1,687.27	577.13	75.00	3.3	0.2
170	General Electric Company ( GE )	28.0300	4,765.10	3,189.37	1,575.73	149.60	3.1	0.4
50	Gilead Sciences Inc ( GILD )	75.1000	3,755.00	2,595.56	1,159.44	0.00	0.0	
8	Google Inc ( GOOG )	1,120.7100	8,965.68	6,329.48	2,636.20	0.00	0.0	0.7
60	Halliburton Co ( HAL )	50.7500	3,045.00	2,269.95	775.05	36.00	1.2	0.2
50	Harley Davidson Inc ( HOG )	69.2400	3,462.00	2,932.79	529.21	42.00	1.2	0.3
40	Home Depot Inc ( HD )	82.3400	3,293.60	2,929.55	364.05	62.40	1.9	0.3
50	Honeywell Intl Inc ( HON )	91.3700	4,568.50	3,663.70	904.80	90.00	2.0	0.3
25	Intercontinentalexchange Group, Inc.( ICE )	224.9200	5,623.00	3,307.47	2,315.53	65.00	1.2	0.4
45	JP Morgan Chase & Co ( JPM )	58.4800	2,631.60	974.70	1,656.90	68.40	2.6	0.2
110	Johnson & Johnson ( JNJ )	91.5900	10,074.90	7,758.91	2,315.99	290.40	2.9	0.8
70	Johnson Controls Inc ( JCI )	51.3000	3,591.00	2,245.73	1,345.27	61.60	1.7	0.3
20	K L A - Tencor Corp ( KLAC )	64.4600	1,289.20	1,284.04	5.16	36.00	2.8	0.1
60	Las Vegas Sands Corp ( LVS )	78.8700	4,732.20	3,159.92	1,572.28	84.00	1.8	0.4
50	Lilly Eli & Co ( LLY )	51.0000	2,550.00	2,464.60	85.40	98.00	3.8	
110	Marathon Oil Corp ( MRO )	35.3000	3,883.00	3,366.53	516.47	83.60	2.2	0.3
92	Merck & Co Inc ( MRK )	50.0500	4,604.60	2,290.52	2,314.08	161.92	3.5	0.3
110	Metlife Inc ( MET )	53.9200	5,931.20	3,917.24	2,013.96	121.00	2.0	0.4
150	Mondelez International ( MDLZ )	35.3000	5,295.00	4,631.66	663.34	84.00	1.6	0.4
50	National Oilwell Varco Inc. ( NOV )	79.5300	3,976.50	3,492.33	484.17	52.00	1.3	0.3
100	Nextera Energy Inc ( NEE )	85.6200	8,562.00	5,464.50	3,097.50	264.00	3.1	0.6
60	Nucor Corp ( NUE )	53.3800	3,202.80	2,614.23	588.57	88.80	2.8	0.2
50	Ocwen Financial Corporation ( OCN )	55.4500	2,772.50	2,347.20	425.30	0.00	0.0	0.2

Statement E [4 of 8]



# Equities

U.S. large cap  
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40	PNC Financial Services Group ( PNC )	77.5800	3,163.20	2,612.53	490.67	70.40	2.3	0.2
25	P V H Corp ( PVH )	136.0200	3,400.50	3,055.25	345.25	3.75	0.1	0.3
110	Pepsico Inc ( PEP )	82.9400	9,123.40	5,833.30	3,290.10	249.70	2.7	0.7
450	Pfizer Inc ( PFE )	30.6300	13,783.50	7,769.78	6,013.72	468.00	3.4	1.0
130	Philip Morris International Inc ( PM )	87.1300	11,326.90	8,810.33	2,516.57	488.80	4.3	0.8
70	The Procter & Gamble Company ( PG )	81.4100	5,698.70	5,660.94	37.76	168.42	3.0	0.4
70	Salesforce.Com Inc ( CRM )	55.1900	3,863.30	2,813.30	1,050.00	0.00	0.0	0.
40	Schlumberger Ltd ( SLB )	90.1100	3,604.40	3,300.91	303.49	50.00	1.4	0.3
40	Sempra Energy ( SRE )	89.7600	3,590.40	3,289.85	300.55	100.80	2.8	0.3
70	ServiceNow, Inc. ( NOW )	56.0100	3,920.70	3,138.87	781.83	0.00	0.0	0.3
30	Shire PLC ( SHPG ) ADR	141.2900	4,238.70	2,711.90	1,526.80	15.84	0.4	0.3
120	Southwestern Energy Co ( SWN )	39.3300	4,719.60	4,377.79	341.81	0.00	0.0	0.4
80	State Street Corp ( STT )	73.3900	5,871.20	4,452.91	1,418.29	83.20	1.4	0.4
140	Taiwan Semiconductor Mfg Co Ltd ( TSM ) Sponsored ADR	17.4400	2,441.60	2,415.69	25.91	56.14	2.3	0.2
100	Teva Pharmaceutical Inds Ltd ADR ( TEVA )	40.0800	4,008.00	3,810.51	197.49	108.60	2.7	0.3
23	Thermo Fisher Scientific Inc. ( TMO )	111.3500	2,561.05	2,434.61	126.44	13.80	0.5	0.2
240	Twenty-First Century Fox, Inc. ( FOX )	34.6000	8,304.00	3,495.96	4,808.04	60.00	0.7	0.6
100	Unilever PLC ( UL ) Spnsrd ADR New	41.2000	4,120.00	2,714.91	1,405.09	139.50	3.4	0.
13	Union Pac Corp ( UNP )	168.0000	2,184.00	1,878.34	305.66	41.08	1.9	0.2
40	United Technologies Corp ( UTX )	113.8000	4,552.00	3,696.52	855.48	94.40	2.1	0.3
60	Valero Energy Corp New ( VLO )	50.4000	3,024.00	2,126.96	897.04	54.00	1.8	0.2
30	Verizon Communications Inc ( VZ )	49.1400	1,474.20	1,541.77	-67.57	63.60	4.3	0.1
20	Vmware Inc ( VMW )	89.7100	1,794.20	1,644.77	149.43	0.00	0.0	0.1
150	Wells Fargo & Company ( WFC )	45.4000	6,810.00	3,806.87	3,003.13	180.00	2.6	0.5
120	Yahoo Inc ( YHOO )	40.4400	4,852.80	2,781.74	2,071.06	0.00	0.0	0.4

Statement E [5 of 8]



## Equities

U.S. large cap  
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40	Yum! Brands Inc ( YUM )	75.6100	3,024.40	2,603.75	420.65	59.20	2.0	0.2
6,745.402	BNY Mellon Income Stock Fund ( MPISX )	9.1700	61,855.34	41,956.40	19,898.94	1,369.32	2.2	4.6
6,961.645	Dreyfus U.S. Equity Fund ( DPUIX )	19.9200	138,675.97	95,165.69	43,510.28	1,072.09	0.8	10.3
Total U.S. large cap			\$ 568,367.15	\$ 398,987.40	\$ 169,379.75	\$ 8,983.46		42.4%

## U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
60	News Corporation ( NWS )	\$ 17.8300	\$ 1,069.80	\$ 465.86	\$ 603.94	\$ 0.00	0.0%	0.1%
2,868.104	Dreyfus Midcap Index Fund ( PESPX )	36.7500	105,402.82	78,500.00	26,902.82	923.53	0.9	7.9
Total U.S. mid cap			\$ 106,472.62	\$ 78,955.86	\$ 27,506.76	\$ 923.53		7.9%

## U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
714.27	Dreyfus Select Managers Small Cap ( DSGIX ) Growth Fund	\$ 26.1700	\$ 18,692.45	\$ 11,756.89	\$ 6,935.56	\$ 0.00	0.0%	1.4%
1,928.327	Dreyfus Select Managers Small Cap ( DMVIX ) Value Fund	24.8300	47,880.36	35,896.04	11,984.32	162.75	0.3	3.6

## Total U.S. small cap

\$ 66,572.81      \$ 47,652.93      \$ 18,919.88      \$ 162.75

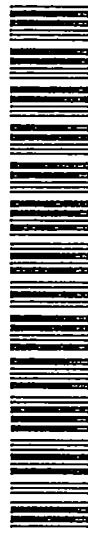
## Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
8,021.647	Dreyfus Diversified International ( DFPIX ) Fund	\$ 11.6900	\$ 93,773.05	\$ 74,023.75	\$ 19,749.30	\$ 1,524.11	1.6%	7.0%

## Total developed international

\$ 93,773.05      \$ 74,023.75      \$ 19,749.30      \$ 1,524.11      7.0%

Statement of Financial Position



## Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,568,819	BNY Mellon Emerging Markets Fund ( MEMKX )	\$ 9.9400	\$ 45,414.06	\$ 43,418.54	\$ 1,995.52	\$ 484.29	1.1%	3.4%
1,610	ISHARES MSCI Emerging Markets Index ( EEM ) Fund	41.7950	67,289.95	50,630.18	16,659.77	1,381.38	2.1	5.0
Total emerging markets			\$ 112,704.01	\$ 94,048.72	\$ 18,655.29	\$ 1,865.67		8.4%
Total equities			\$ 947,889.64	\$ 693,678.66	\$ 254,210.98	\$ 13,459.52		70.7%

## Alternative investments

### Managed futures

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,492,231	Advantage Global Alpha Fund	\$ 14.6300	\$ 21,831.34	\$ 17,500.00	\$ 4,331.34	\$ 0.00	0.0%	1.6%
1,816,239	ASG Managed Futures Strategy Fund	10.2600	18,634.61	17,000.00	1,634.61	0.36	0.0	1.4
Total managed futures			\$ 40,465.95	\$ 34,500.00	\$ 5,965.95	\$ 0.36		3.0%
Total alternative investments			\$ 40,465.95	\$ 34,500.00	\$ 5,965.95	\$ 0.36		3.0%
Total assets			\$ 1,340,792.19	\$ 1,080,923.67	\$ 259,868.52	\$ 22,101.77		100.0%



BNY MELLON  
WEALTH MANAGEMENT

Portfolio manager

Kevin Savona  
410 596 1550



Page 11 of 33

## Activity summary

Description	Principal cash	Income cash
Beginning balances	\$ 35,390.19	\$ 1,416.43
<b>Receipts</b>		
Sales and maturities		
Dividends	\$ 24,279.79	6,876.75
Fee reimbursements		334.07
Transfers-receipts (within account)	5,997.00	
Other receipts	12,797.94 - CGD	
<b>Total receipts</b>	<b>\$ 43,074.73</b>	<b>\$ 7,210.82</b>
<b>Payments</b>		
Purchases		
Taxes	\$ -14,884.94	-4.90
Transfers-payments (within account)		-5,997.00
Other payments	-1,000.00	
<b>Total payments</b>	<b>\$ -15,884.94</b>	<b>\$ -5,001.90</b>
<b>Ending balances</b>	<b>\$ 62,579.98</b>	<b>\$ 2,625.35</b>

5133025550687

