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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE CLEMENTS FAMILY CHARITABLE TRUST C/O THOMAS CLEMENTS III		A Employer identification number 34-1748724
Number and street (or P.O. box number if mail is not delivered to street address) 1025 FLEMING STREET		B Telephone number 207-939-6272
City or town, state or province, country, and ZIP or foreign postal code KEY WEST, FL 33040		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,908,931. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		

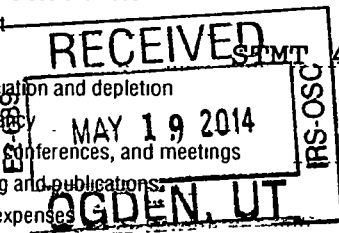
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		24.	24.		STATEMENT 1
4 Dividends and interest from securities		88,242.	88,242.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		119,076.			
b Gross sales price for all assets on line 6a		204,541.			
7 Capital gain net income (from Part IV, line 2)			119,076.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		207,342.	207,342.		
13 Compensation of officers, directors, trustees, etc		10,367.	7,867.		2,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,400.	0.		1,400.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		1,601.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses Add lines 13 through 23		13,368.	7,867.		3,900.
25 Contributions, gifts, grants paid		164,850.			164,850.
26 Total expenses and disbursements. Add lines 24 and 25		178,218.	7,867.		168,750.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		29,124.			
b Net investment income (if negative, enter -0-)			199,475.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2013)

SCANNED MAY 22 2014



THE CLEMENTS FAMILY CHARITABLE TRUST
C/O THOMAS CLEMENTS III

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	67,788.	39,931.	39,931.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 5	2,145,900.	2,177,561.	2,869,000.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	2,213,688.	2,217,492.	2,908,931.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,213,688.	2,217,492.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	2,213,688.	2,217,492.	
	31 Total liabilities and net assets/fund balances	2,213,688.	2,217,492.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,213,688.
2 Enter amount from Part I, line 27a	2	29,124.
3 Other increases not included in line 2 (itemize) ▶ 2013 CHECKS CLEARED IN 2014	3	22,500.
4 Add lines 1, 2, and 3	4	2,265,312.
5 Decreases not included in line 2 (itemize) ▶ 2012 CHECKS CLEARED IN 2013	5	47,820.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,217,492.

THE CLEMENTS FAMILY CHARITABLE TRUST
C/O THOMAS CLEMENTS III

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14.43 SHS VANGUARD WELLINGTON FUND	P	VARIOUS	01/02/13
b 152.55 SHS VANGUARD WELLINGTON FUND	P	VARIOUS	09/23/13
c 741.18 SHS VANGUARD WELLINGTON FUND	P	VARIOUS	11/18/13
d 736.05 SHS VANGUARD WELLINGTON FUND	P	VARIOUS	12/23/13
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 856.		837.	19.
b 9,974.		8,848.	1,126.
c 50,015.		37,324.	12,691.
d 50,133.		38,456.	11,677.
e 93,563.			93,563.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			19.
b			1,126.
c			12,691.
d			11,677.
e			93,563.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	119,076.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	136,385.	2,540,009.	.053695
2011	142,558.	2,479,436.	.057496
2010	153,615.	2,418,287.	.063522
2009	151,641.	2,297,494.	.066003
2008	154,375.	2,780,814.	.055514

2 Total of line 1, column (d)	2	.296230
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059246
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,760,194.
5 Multiply line 4 by line 3	5	163,530.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,995.
7 Add lines 5 and 6	7	165,525.
8 Enter qualifying distributions from Part XII, line 4	8	168,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

THE CLEMENTS FAMILY CHARITABLE TRUST

Form 990-PF (2013)

C/O THOMAS CLEMENTS III

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Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,995.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,995.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,995.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>FL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>TYLER M. CLEMENTS</u> Telephone no. ► <u>207-939-6272</u> Located at ► <u>48 CENTER STREET, YARMOUTH, ME</u> ZIP+4 ► <u>04096</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	2b	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	3b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	4a	X
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	4b	X
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		10,367.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,778,595.
b	Average of monthly cash balances	1b	23,632.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,802,227.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,802,227.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,033.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,760,194.
6	Minimum investment return. Enter 5% of line 5	6	138,010.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	138,010.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,995.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,995.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	136,015.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	136,015.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	136,015.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	168,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	168,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,995.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	166,755.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				136,015.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	16,260.			
b From 2009	37,824.			
c From 2010	40,023.			
d From 2011	19,885.			
e From 2012	11,367.			
f Total of lines 3a through e	125,359.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	168,750.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				136,015.
e Remaining amount distributed out of corpus	32,735.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	158,094.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	16,260.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	141,834.			
10 Analysis of line 9:				
a Excess from 2009	37,824.			
b Excess from 2010	40,023.			
c Excess from 2011	19,885.			
d Excess from 2012	11,367.			
e Excess from 2013	32,735.			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

2

b

Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2013

(b) 2012

(c) 2011

(d) 2010

(e) Total

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities.

3

Subtract line 2d from line 2c

Complete 3a, b, or c for the alternative test relied upon:

a

"Assets" alternative test - enter:

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c

"Support" alternative test - enter:

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here

☒

if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

323601 10-10-13

10

Form 990-PF (2013)

15050429 141916 CLEMENTSTST 2013.03040 THE CLEMENTS FAMILY CHARITA CLEMENT1

THE CLEMENTS FAMILY CHARITABLE TRUST

C/O THOMAS CLEMENTS III

34-1748724

Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GRANTS - SEE ATTACHED LIST	NONE	PUBLIC CHARITY	GENERAL FUNDING	165,350.
LESS STALE CHECKS				<500.>
Total			3a	164,850.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	24.	
4 Dividends and interest from securities				14	88,242.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	119,076.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		207,342.	0.
13 Total. Add line 12, columns (b), (d), and (e)						207,342.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

15/14/14
Date

▶ Trustee
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Paid Preparer Use Only	Print type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	ELLEN ELISE PEIFER	ELLEN ELISE PEIFE	5/7/14		P00014940
	Firm's name ▶ DAVID G. MILLER & ASSOCIATES, LLC			Firm's EIN ▶ 01-0756878	
	Firm's address ▶ 127 MAIN STREET, SUITE A CHATHAM, NJ 07928			Phone no. 973-507-9783	

Form **990-PF** (2013)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KEY PRIVATE BANK	24.	24.	
TOTAL TO PART I, LINE 3	24.	24.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KEY PRIVATE BANK	181,805.	93,563.	88,242.	88,242.	
TO PART I, LINE 4	181,805.	93,563.	88,242.	88,242.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX RETURN PREPARATION	1,400.	0.		1,400.
TO FORM 990-PF, PG 1, LN 16B	1,400.	0.		1,400.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL INCOME TAX	1,601.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,601.	0.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 5

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD WELLINGTON FUND OPEN-ENDED FUND ADM CL VWENX A	COST	1,106,499.	1,465,596.
PRINCIPAL CASH A	COST	18,590.	18,590.
FEDERATED PRIME OBLIGATIONS FD INSTITUTIONAL SHARES A	COST	9,861.	9,861.
VANGUARD WELLINGTON FUND OPEN-ENDED FUND ADM CL VWENX B	COST	1,024,054.	1,356,396.
FEDERATED PRIME OBLIGATIONS FD INSTITUTIONAL SHARES B	COST	1,352.	1,352.
FEDERATED PRIME OBLIGATIONS FD INSTITUTIONAL SHARES B	COST	17,205.	17,205.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,177,561.	2,869,000.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 6

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THOMAS CLEMENTS III 1025 FLEMING STREET KEY WEST, FL 33040	TRUSTEE 2.00	0.	0.	0.
ROBERT M. CLEMENTS 1025 FLEMING STREET KEY WEST, FL 33040	TRUSTEE 0.00	0.	0.	0.
TYLER M. CLEMENTS 1025 FLEMING STREET KEY WEST, FL 33040	TRUSTEE 1.00	3,750.	0.	0.
JOHN B. CLEMENTS 1025 FLEMING STREET KEY WEST, FL 33040	TRUSTEE 1.00	1,250.	0.	0.
ALICE B. CLEMENTS 1025 FLEMING STREET KEY WEST, FL 33040	TRUSTEE 1.00	1,250.	0.	0.

KEYBANK N.A:	AGENT FOR TRUSTEE			
C/O MICHAEL SIMMONS, 127 PUBLIC				
SQU, 17TH	1.00	4,117.	0.	0.
CLEVELAND, OH 441141306				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

10,367.	0.	0.
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Clements Family Charitable Trust

2013 Grants

Printed 1/23/2014

American Radio Relay League
225 Main St.
Newington, CT 06111-1494

8/5/2013	\$1,000 00
11/26/2013	\$5,000 00

American Red Cross - Hancock Cty
157 High St
Ellsworth, ME 04605

8/21/2013	\$500 00
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Ascension Outreach, Inc.
12 West 11th St.
New York, NY 10036

6/9/2013	\$1,000 00
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Blue Hill Fire Dept.
Attn: Gordon F Carter, Treas.
P.O. Box 666
Blue Hill, ME 04614-0666

8/21/2013	\$750 00
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Blue Hill Heritage Trust
P.O. Box 222
Blue Hill, ME 04614

8/21/2013	\$1,000 00
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Blue Hill Historical Society
P.O. Box 710
Blue Hill, ME 04614

8/21/2013	\$250.00
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Blue Hill Memorial Hospital
P.O. Box 823
Blue Hill, ME 04614

8/21/2013	\$1,000 00
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Blue Hill Public Library
5 Parker Point Rd.
Blue Hill, ME 04614

8/21/2013	\$2,500 00
12/22/2013	\$1,500 00

Clements Family Charitable Trust

Page 2

Braille Institute
741 Vermont Ave.
Los Angeles, CA 90029
12/22/2013 \$500.00

Caring Resources for Living
1018 North Rd.
North Yarmouth, ME 04097
12/7/2013 \$500.00

Child and Family Opportunities (Healthy Peninsula)
P.O. Box 648
Ellsworth, ME 04605
8/21/2013 \$2,500 00

Choate Rosemary Hall School Foundation
333 Christian St.
Wallingford, CT 06492
11/11/2013 \$2,500 00

Church Of The Ascension
12 West 11th St.
New York, NY 10011
12/22/2013 \$14,500.00

Classical KUSC
1149 S. Hill St.
Los Angeles, CA 90015
6/9/2013 \$500.00

Colgate University
13 Oak Dr.
Hamilton, NY 13346
12/22/2013 \$550 00

Community Foundation of the Florida Keys
300 Southard St , #201
Key West, FL 33040
4/29/2013 \$500 00
4/29/2013 \$1,000 00
6/4/2013 \$500 00
11/11/2013 \$10,000.00

Clements Family Charitable Trust

Page 3

Downtown Women's Center
325 S. Los Angeles St
Los Angeles, CA 90013

12/22/2013 \$500.00

East Blue Hill Library Association
9 Curtis Cove Rd.
East Blue Hill, ME 04629

12/22/2013 \$500 00

Edgebrook
c/o Paul Matteson
243 Fickett Rd.
Pownal, ME 04069-6157

12/7/2013 \$500 00

Environmental Defense Fund
257 Park Ave. South
New York, NY 10010

12/7/2013 \$500 00

Equality Florida Institute
P O Box 13184
St. Petersburg, FL 33713

1/19/2013 \$1,200 00

First Congregational Church
P O. Box 444
Blue Hill, ME 04614

12/22/2013 \$1,500 00

Florida Keys Council of the Arts
1100 Simonton St.
Key West, FL 33041

10/22/2013 \$250 00

Food Bank Coalition of SLO County
2212 Golden Hill Rd.
Paso Robles, CA 93446

12/22/2013 \$500 00

Foundation For The Performing Arts Center
PO Box 1137
San Luis Obispo CA 93406

12/22/2013 \$500.00

Clements Family Charitable Trust

Page 4

Friends of Blue Hill Bay
P.O. Box 1633
Blue Hill, ME 04614

8/21/2013 \$500.00

Friends of Duane Park
55 Hudson St.
New York City, NY 10013

6/9/2013 \$500.00

Friends of Library Key West
700 Fleming St.
Key West, FL 33040

11/11/2013 \$5,000.00

George Stevens Academy
P.O. Box 816
Blue Hill, ME 04614

8/21/2013 \$500 00

Habitat for Humanity of KW
P.O. Box 5873
Key West, FL 33040

4/30/2013 \$250 00

Hawken School
P.O. Box 8002
Gates Mills, OH 44040-8002

3/4/2013 \$7,500 00

9/25/2013 \$2,500 00

11/11/2013 \$2,500 00

12/22/2013 \$500.00

Heron-Peacock Supported Living
United States Fellowship of Florida
Heron House
1320 Coco Plum Dr.
Marathon, FL 33005

11/11/2013 \$250 00

Hillbrook School
300 Marchmont Dr.
Los Gatos, CA 95032

12/22/2013 \$500.00

Clements Family Charitable Trust

Page 5

Hospice of Hancock County
14 McKenzie Ave.
Ellsworth, ME 04605

8/21/2013 \$250 00

Hospice/VNA
1319 William St.
Key West, FL 33040

11/11/2013 \$500 00

Jonathan Fisher Memorial, Inc.
P.O. Box 527
Blue Hill, ME 04614

8/21/2013 \$250 00

Key West Film Society
P O Box 1283
Key West, FL 33041

11/11/2013 \$250.00

Key West Fringe
Peoples Theater of Key West
1523 Washington St.
Key West, FL 33040

4/29/2013 \$2,000 00

11/11/2013 \$2,500 00

Key West Harry S. Truman Foundation, Inc
111 Front St.
Key West, FL 33040

11/11/2013 \$500.00

Key West Players, Inc.
Waterfront Theater
P O. Box 724
Key West, FL 33041

11/11/2013 \$500 00

Kneisel Hall
P.O. Box 648
Blue Hill, ME 04614

6/9/2013 \$6,500 00

12/22/2013 \$12,000 00

Clements Family Charitable Trust

Page 6

Kollegewidgwok Sailing Education Assn.
P.O. Box 168
Blue Hill, ME 04614

8/21/2013 \$500.00

Lake View Cemetary Foundation
12316 Euclid Ave
Cleveland, OH 44106

8/21/2013 \$500.00

Last Stand
P.O. Box 146
Key West, FL 33041

11/11/2013 \$250.00

Laurel School
One Lyman Circle
Shaker Hts, OH 44122

8/21/2013 \$5,500.00

Lex Mundi Pro Bono Foundation
1330 Connecticut Ave. NW
Washington, DC 20036

6/9/2013 \$500.00

Maine Environmental Research Institute
P O. Box 1652
Blue Hill, ME 04614

8/21/2013 \$500.00

Maine Island Trail Assn.
58 Fore St.
Portland, ME 04101-4849

11/11/2013 \$250.00

Maine Public Radio
P.O. Box 3480
Lewiston, ME 04243-3480

12/22/2013 \$500.00

Maine Rivers
PO Box 782
Yarmouth, ME 04096

12/7/2013 \$500.00

Clements Family Charitable Trust

Page 7

Maine Women's Fund
P.O. Box 5135
Portland, ME 04101

12/7/2013 \$750 00

Marin Country Day School
Corte Madera, CA 94925

12/22/2013 \$500 00

Metropolitan Museum of Art
Gracie Station, Box 814
NYC, NY 10130-0087

12/22/2013 \$1,000 00

12/22/2013 \$1,000 00

Miss Porter's School
60 Main St
Farmington, CT

6/13/2013 \$500.00

6/13/2013 \$500.00

12/22/2013 \$3,000 00

Monroe County Education Foundation
P O Box 1788
Key West, FL 33040

11/11/2013 \$3,000 00

Museum of Modern Art
11 West 53rd St.
New York, NY 10019

12/22/2013 \$500.00

Natural Resources Defense Council
40 W. 20th St.
New York, NY 10011

12/7/2013 \$750 00

New York Festival of Song
307 Seventh Ave , #1601
NYC, NY

12/22/2013 \$500 00

New York-Presbyterian Hospital
525 East 68th Street
NYC, NY 10021

12/22/2013 \$1,000.00

Clements Family Charitable Trust

Page 8

Nichols Day Camp Blue Hill Society for Aid to Children P.O. Box 472 Blue Hill, ME 04614 8/21/2013	\$750 00
Old Firehouse Preservation, Inc. P.O. Box 5563 Key West, FL 33040 4/29/2013	\$250 00
Old Island Restoration Foundation P.O. Box 689 Key West, FL 33040 4/29/2013	\$250 00
PEN American Center 588 Broadway NYC, NY 10012-3225 6/9/2013	\$1,000 00
Peninsula Ambulance Corp P.O. Box 830 Blue Hill, ME 04614 8/21/2013	\$3,500 00
Preble Street P.O. Box 1459 Portland, ME 04104 12/7/2013	\$750.00
Princeton University P O Box 46 Princeton, NJ 08544 12/22/2013	\$1,000.00
Princeton University Class of 1957 P.O. Box 46 Princeton, NJ 08544 9/3/2013	\$1,050 00
Princeton University Rowing Assn P.O. Box 71 Princeton, NJ 08544 8/29/2013	\$500.00

Clements Family Charitable Trust

Page 9

Radcliffe Institute Fund
10 Garden St
Cambridge, MA 02138
12/22/2013 \$500 00

San Luis Obispo Museum of Art
1010 Broad St
San Luis Obispo, CA 93401
12/22/2013 \$500 00

School of the Museum of Fine Arts
Boston, MA 02115
12/22/2013 \$500 00

Sewickley Academy
315 Academy Ave
Sewickley, PA 15143
12/22/2013 \$500.00

South Florida Symphony
Key West Symphony Orchestra
221 SW 3rd Ave
Fort Lauderdale, FL 33312
11/17/2013 \$2,500 00

Southern Calif. Public Radio - KPCC
1570 E. Colorado Blvd.
Pasadena, CA 91106
6/9/2013 \$500.00

St Bernard's School
4 East 98th St.
New York, NY 10029
12/22/2013 \$6,500.00

Stiles Hall
2400 Bancroft Way
Berkeley, CA 94704
12/22/2013 \$500 00

Tennessee Williams Theatre - PACKW
5901 College Drive
Key West, FL 33040
4/29/2013 \$5,000 00
6/4/2013 \$500.00

Clements Family Charitable Trust

Page 10

The Children's Storefront
70 E. 129th St.
NYC, NY 10035

6/13/2013 \$1,000 00

The Epiphany School
154 Centre St,
Dorchester Ctr, MA 02124

6/9/2013 \$500 00

The Hudson Review
684 Park Ave.
New York, NY 10021

12/22/2013 \$500 00

The Studios of Key West
600 White St
Key West, FL 33040

11/11/2013 \$1,000 00

The Telling Room
225 Commercial St , Suite 201
Portland, ME 04101

12/7/2013 \$1,500.00

Tree of Life
P.O. Box 1329
Blue Hill, ME 04614

8/21/2013 \$1,000 00

Trial and Error
P.O Box 153
Madawaska, ME 04736

6/9/2013 \$500 00

Trophia Butterfly Foundation
P.O. Box 6623
Key West, FL 33041

3/18/2013 \$300.00

11/11/2013 \$500.00

Clements Family Charitable Trust

Page 11

Union of Concerned Scientists
2 Brattle Sq.
P.O. Box 9105
Cambridge, MA 02238

12/7/2013	\$750.00
12/22/2013	\$500.00

Uniting Against Lung Cancer
27 Union Sq #304
New York, NY 10003

12/22/2013	\$500.00
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University High School
3065 Jackson St.
San Francisco, CA

6/9/2013	\$500.00
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Washington Hancock Community Agency
Friendship Cottage
P.O. Box 280
Milbridge, ME 04658-0280

8/21/2013	\$500.00
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Waynflete School
360 Spring St.
Portland, ME 04102-3652

11/11/2013	\$2,500.00
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WERU
P.O. Box 170
Orland, ME 04431

6/9/2013	\$500.00
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Wesley House Family Services
1304 Truman Ave.
Key West, FL 33041-9944

11/11/2013	\$250.00
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Wesleyan University
318 High St
Middletown, CT 06459

12/22/2013	\$500.00
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WFMU
P.O. Box 2011
Jersey City, NJ 07303

6/9/2013	\$500.00
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Clements Family Charitable Trust

Page 12

WFUV.ORG Fordham University Bronx, NY 10458-9993 6/9/2013	\$500 00
Whole Children 41 Russell St., Ste 15 Hadley, MA 01035-9422 12/7/2013	\$750.00
WNYC 1 Centre St New York, NY 10007 12/22/2013	\$500 00
WomanKind, Inc. 3142 Northside Dr. Key West, FL 33040 4/29/2013	\$1,000.00
Yarmouth Cares About Neighbors PO Box 553 Yarmouth, ME 04096 12/7/2013	\$500.00
Total Grants	\$165,350.00