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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

| | | |
|---|---|--|
| Name of foundation E MANDELL AND BETSY DEWINDT FOUNDATION | | A Employer identification number 34-1536552 |
| % CONNER & ASSOCIATES Number and street (or P O box number if mail is not delivered to street address) 39479 TUDOR DRIVE Suite | | B Telephone number (see instructions) (216) 292-8030 |
| City or town, state or province, country, and ZIP or foreign postal code WILLOUGHBY, OH 44094 | | C If exemption application is pending, check here <input checked="" type="checkbox"/> <input type="checkbox"/> |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input checked="" type="checkbox"/> <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input checked="" type="checkbox"/> <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input checked="" type="checkbox"/> <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 242,543 | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/> <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | | | | | |
| 1 | Contributions, gifts, grants, etc, received (attach schedule) | 0 | | | |
| 2 | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | | | | |
| 4 | Dividends and interest from securities. | 5,089 | 4,083 | | |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) _____ | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 12,999 | | | |
| b | Gross sales price for all assets on line 6a 67,130 | | | | |
| 7 | Capital gain net income (from Part IV, line 2) . . . | | 12,999 | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | Total. Add lines 1 through 11 | 18,088 | 17,082 | | |
| Operating and Administrative Expenses | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | | | | |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) | | | | |
| c | Other professional fees (attach schedule) | 3,496 | 3,496 | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instructions) | 232 | 24 | | |
| 19 | Depreciation (attach schedule) and depletion . . . | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | | | |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) | 418 | | | |
| 24 | Total operating and administrative expenses. | 4,146 | 3,520 | 0 | |
| | Add lines 13 through 23 | | | | |
| 25 | Contributions, gifts, grants paid | 12,950 | | 12,950 | |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | 17,096 | 3,520 | 12,950 | |
| 27 | Subtract line 26 from line 12 | | | | |
| a | Excess of revenue over expenses and disbursements | 992 | | | |
| b | Net investment income (if negative, enter -0-) . . . | | 13,562 | | |
| c | Adjusted net income (if negative, enter -0-) . . . | | | | |

| | Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|-----------------------------|---|---|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | | |
| | 2 Savings and temporary cash investments | | 17,067 | 7,238 | 7,238 |
| | 3 Accounts receivable ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 4 Pledges receivable ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach schedule) ► | | | | |
| | Less allowance for doubtful accounts ► | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | | | | |
| | b Investments—corporate stock (attach schedule) | | 371,995 | 382,816 | 235,305 |
| | c Investments—corporate bonds (attach schedule) | | | | |
| | 11 Investments—land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) | | | | |
| | 14 Land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | |
| | 15 Other assets (describe ►) | | | | |
| | 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | | 389,062 | 390,054 | 242,543 |
| Liabilities | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe ►) | | | | |
| | 23 Total liabilities (add lines 17 through 22) | | | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> | | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 Unrestricted | | | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> | | | | |
| | and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | 389,062 | 390,054 | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | | |
| | 30 Total net assets or fund balances (see page 17 of the instructions) | | 389,062 | 390,054 | |
| | 31 Total liabilities and net assets/fund balances (see page 17 of the instructions) | | 389,062 | 390,054 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|---------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 389,062 |
| 2 | Enter amount from Part I, line 27a | 2 | 992 |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 390,054 |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 390,054 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|---|---|--|--------------------------------|
| 1 a DELAWARE INFLATION BD FD 336 7 SHS | | P | 2009-04-21 | 2013-12-19 |
| b SEE MERRILL LYNCH #61702016 STMT | | P | 2012-02-23 | 2013-02-13 |
| c SEE MERRILL LYNCH #61702016 STMT | | P | 2008-07-18 | 2013-03-15 |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a 3,000 | | 3,451 | -451 | |
| b 22,974 | | 20,742 | 2,232 | |
| c 41,156 | | 29,938 | 11,218 | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | |
| a | | | -451 | |
| b | | | 2,232 | |
| c | | | 11,218 | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | 2 | 12,999 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | | | 3 | |
| If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|---|
| 2012 | 9,500 | 208,650 | 0 045531 |
| 2011 | 13,000 | 211,251 | 0 061538 |
| 2010 | 14,000 | 213,024 | 0 06572 |
| 2009 | 31,500 | 346,839 | 0 09082 |
| 2008 | 20,525 | 278,815 | 0 073615 |
| 2 Total of line 1, column (d). | | | 2 0 337224 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0 067445 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | | | 4 214,075 |
| 5 Multiply line 4 by line 3. | | | 5 14,438 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b). | | | 6 136 |
| 7 Add lines 5 and 6. | | | 7 14,574 |
| 8 Enter qualifying distributions from Part XII, line 4. | | | 8 12,950 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|---|---|----|-----|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 | | |
| Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | 1 | 271 |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2. | 3 | 271 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 271 |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments Add lines 6a through 6d. | 7 | 0 |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 271 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VII-A Statements Regarding Activities

| | | Yes | No |
|--|---|------------|-----------|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/> | 1a | No |
| 1b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | No |
| <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | | |
| c | Did the foundation file Form 1120-POL for this year? | 1c | |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____ | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | 2 | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> <input checked="" type="checkbox"/> | 3 | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input checked="" type="checkbox"/> | 5 | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | 7 | Yes |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> | 8b | No |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | 9 | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | |
|----|-----|----|
| 11 | | No |
| 12 | | No |
| 13 | Yes | |

Website address ►

14 The books are in care of ► CONNER & ASSOCIATES Telephone no ► (440) 617-0391
 Located at ► 26016 DETROIT RD STE3 westlake OH ZIP +4 ► 44145

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► and enter the amount of tax-exempt interest received or accrued during the year. ► 15

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|----|---|-----|----|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► Yes <input checked="" type="checkbox"/> No | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► Yes <input checked="" type="checkbox"/> No | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► Yes <input checked="" type="checkbox"/> No | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ► | 1c | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ► Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ | 2b | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ► | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► Yes <input checked="" type="checkbox"/> No | 3b | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013). ► | 4a | No |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ► | 4b | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ► | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | |
|-----------|--|---|
| 5a | During the year did the foundation pay or incur any amount to | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | |
| | Organizations relying on a current notice regarding disaster assistance check here. | <input checked="" type="checkbox"/> |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i> | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | |
| | <i>If "Yes" to 6b, file Form 8870.</i> | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | |

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

| 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions). | | | | |
|---|--|--|--|---|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account other allowances |
| PAMELA BURKE 39479 TUDOR DRIVE WILLOUGHBY, OH 44094 | PRESIDENT 1 0 | 0 | | |
| DANA DE WINDT 4131 SE Henley lane Stuart, FL 34995 | SECRETARY 1 0 | 0 | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

| 2 Compensation of five highest paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
|--|--|-------------------------|--|---|
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account other allowances |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 _____ _____ | |
| 2 _____ _____ | |

All other program-related investments See page 24 of the instructions

3 _____

Total. Add lines 1 through 3 ►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|---|--|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | |
| a | Average monthly fair market value of securities. | 1a 205,768 |
| b | Average of monthly cash balances. | 1b 11,567 |
| c | Fair market value of all other assets (see instructions). | 1c 0 |
| d | Total (add lines 1a, b, and c). | 1d 217,335 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 0 |
| 3 | Subtract line 2 from line 1d. | 3 217,335 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 3,260 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 214,075 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 10,704 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

| | | |
|----|--|----------|
| 1 | Minimum investment return from Part X, line 6. | 1 10,704 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. | 2a 271 |
| b | Income tax for 2013 (This does not include the tax from Part VI). | 2b |
| c | Add lines 2a and 2b. | 2c 271 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 10,433 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 |
| 5 | Add lines 3 and 4. | 5 10,433 |
| 6 | Deduction from distributable amount (see instructions). | 6 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 10,433 |

Part XII Qualifying Distributions (see instructions)

| | | |
|---|--|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a 12,950 |
| b | Program-related investments—total from Part IX-B. | 1b 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | |
| a | Suitability test (prior IRS approval required). | 3a 0 |
| b | Cash distribution test (attach the required schedule). | 3b 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 12,950 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 12,950 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 10,433 |
| 2 Undistributed income, if any, as of the end of 2013 | | | 0 | |
| a Enter amount for 2012 only. | | | 0 | |
| b Total for prior years 2011, 2010, 2009 | | 0 | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008. | 6,734 | | | |
| b From 2009. | 14,203 | | | |
| c From 2010. | 3,424 | | | |
| d From 2011. | 2,638 | | | |
| e From 2012. | | | | |
| f Total of lines 3a through e. | 26,999 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ <u>12,950</u> | | | 0 | |
| a Applied to 2012, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2013 distributable amount. | | | 10,433 | |
| e Remaining amount distributed out of corpus | 2,517 | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | 29,516 | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | 0 | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions. | | 0 | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions. | | | 0 | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014. | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). | | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). | 6,734 | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a. | 22,782 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009. | 14,203 | | | |
| b Excess from 2010. | 3,424 | | | |
| c Excess from 2011. | 2,638 | | | |
| d Excess from 2012. | | | | |
| e Excess from 2013. | 2,517 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | |
|-----|---|--|--|--|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. <input type="text"/> | | | |
| b | Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | | | |
| b | 85% of line 2a. | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed. | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities. | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | |
| a | "Assets" alternative test—enter | | | |
| (1) | Value of all assets. | | | |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i). | | | |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | |
| c | "Support" alternative test—enter | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). | | | |
| (3) | Largest amount of support from an exempt organization | | | |
| (4) | Gross investment income | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a Paid during the year See Additional Data Table | | | | |
| Total | | | ► 3a | 12,950 |
| b Approved for future payment | | | | |
| Total | | | ► 3b | |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|---|---------------------------|---------------|--------------------------------------|---------------|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f _____ | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. | | | 14 | 5,089 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property. | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 12,999 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. | | | | | |
| 11 Other revenue a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). | | | | 18,088 | |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | | 13 _____ | 18,088 |

Part XII-B: Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ROCKSPRING COOPERATIVE PRESCHOOL 5010 LITTLE FALLS RD ARLINGTON, VA 22207 | NONE | PUBLIC CHARITY | GENERAL FUND | 250 |
| HABITAT FOR HUMANITY 2555 SE BONITA ST STUART, FL 34997 | NONE | PUBLIC CHARITY | GENERAL FUND | 250 |
| ELLIOT MUSEUM 825 NE OCEAN BLVD STUART, FL 34996 | NONE | PUBLIC CHARITY | GENERAL FUND | 500 |
| SUICIDE PREVENTION EDUCATION 29425 CHAGRIN BLVD CLEVELAND, OH 44122 | NONE | PUBLIC CHARITY | GENERAL FUND | 2,000 |
| MCLAREN NORTHERN MI HOSPITAL FND 16604 DORAL DRIVE NORTHVILLE, MI 48168 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| EDUCATION FOUNDATION MARTIN COUNTY PO BOX 291 STUART, FL 34995 | NONE | PUBLIC CHARITY | GENERAL FUND | 600 |
| MANNA FOOD PROJECT 8971 MCBRIDE PARK DR HARBOR SPRINGS, MI 49740 | NONE | PUBLIC CHARITY | GENERAL FUND | 200 |
| FIRST TEE OF CLEVELAND 3841 WASHINGTON PARK BLVD NEWBURGH HEIGHTS, OH 44105 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,100 |
| FIRST TEE OF MICHIGAN PO BOX 613 HARBOR SPRINGS, MI 49740 | NONE | PUBLIC CHARITY | GENERAL FUND | 200 |
| APPOLO MUSIC PROJECT 43 CLIFDEN RD LONDON E50LL UK | NONE | PUBLIC CHARITY | GENERAL FUND | 100 |
| YMCA OF MARTIN COUNTY 1700 SE MONTEREY RD STUART, FL 34996 | NONE | PUBLIC CHARITY | GENERAL FUND | 250 |
| CITY OF STUART FLORIDA 121 SW FLAGLER AVE STUART, FL 34995 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,500 |
| TRINITY LAKWOOD COMMUNITY OUTREACH 16400 DETROIT AVE LAKWOOD, OH 44107 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| ST NOEL CHURCH 35200 CHARDON RD WILLOUGHBY HILLS, OH 44094 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| ST JOHN'S CHAPEL 278 W 3RD STREET HARBOR SPRINGS, MI 49740 | NONE | PC | GENERAL FUND | 500 |
| Total | | | ► 3a | 12,950 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| LITTLE TRAVERSE LAND CONSERVANCY 3264 POWELL RD HARBOR SPRINGS, MI 49740 | NONE | PUBLIC CHARITY | GENERAL FUND | 100 |
| CRANBROOK EDUCATIONAL FDN PO BOX 801 BLOOMFIELD HILLS, MI 48303 | NONE | PC | GENERAL FUND | 250 |
| BERKSHIRE SCHOOL 245 N UNDERMOUNTAIN RD SHEFFIELD, MA 01257 | NONE | PC | GENERAL FUND | 250 |
| CHRIST CHURCH CRANBROOK 470 CHURCH RD BLOOMFIELD HILLS, MI 48304 | NONE | PC | GENERAL FUND | 250 |
| COMPASSION INTERNATIONAL 12290 VOYAGER PKWY COLORADO SPRINGS, CO 80921 | NONE | PC | GENERAL FUND | 350 |
| SOCIETY OF THE CINCINNATI 2118 MASSACHUSETTS AVE WASHINGTON, DC 20008 | NONE | PC | GENERAL FUND | 150 |
| ERRIE BALL AMATEUR CHAMPIONSHIP 3001 SE Dubbleton Dr STUART, FL 34997 | NONE | PC | GENERAL FUND | 150 |
| CITY OF STUART GOLF TOURNAMENT 121 SW FLAGLER ST STUART, FL 34995 | NONE | PC | GENERAL FUND | 350 |
| COMMUNITY FREE HEALTH CLINIC 416 CONNABLE AVE PETOSKEY, MI 49770 | NONE | PC | GENERAL FUND | 200 |
| PETOSKEY HARBOR SPRINGS COMMUNITY FDN 616 PETOSKEY ST PETOSKEY, MI 49770 | NONE | PC | GENERAL FUND | 250 |
| WOMENS RESOURCE CENTER 423 PORTER STREET PETOSKEY, MI 49770 | NONE | PC | GENERAL FUND | 200 |
| Total | | | ► 3a | 12,950 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: E MANDELL AND BETSY DEWINDT FOUNDATION

EIN: 34-1536552

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|-------------------------|---------------|---------------------|---------------------------|--------------------|--------------------------|-------------------------------------|-----------------------|---------------------|---------------------------------|
|-------------------------|---------------|---------------------|---------------------------|--------------------|--------------------------|-------------------------------------|-----------------------|---------------------|---------------------------------|

TY 2013 Investments - Land Schedule

Name: E MANDELL AND BETSY DEWINDT FOUNDATION

EIN: 34-1536552

TY 2013 Land, Etc. Schedule**Name:** E MANDELL AND BETSY DEWINDT FOUNDATION**EIN:** 34-1536552

TY 2013 Other Expenses Schedule

Name: E MANDELL AND BETSY DEWINDT FOUNDATION

EIN: 34-1536552

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| CHECK FEES | 18 | | | |
| MISC EXPENSES | 400 | | | |

TY 2013 Other Professional Fees Schedule

Name: E MANDELL AND BETSY DEWINDT FOUNDATION

EIN: 34-1536552

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|-----------------------|---------------------|---------------------------------------|
| INVESTMENT FEES | 3,496 | 3,496 | | |

TY 2013 Taxes Schedule

Name: E MANDELL AND BETSY DEWINDT FOUNDATION**EIN:** 34-1536552

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|--------|-----------------------|---------------------|---------------------------------------|
| FOREIGN TAXES ON INVEST INCOME | 24 | 24 | | |
| EXCISE TAX - INVESTMENT INCOME | 208 | | | |

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2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline. Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--|----------|-------------------------|------------------------|-----------------------------|---------------|-----------------|-----------------------------|-----------------|---------|
| SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c. | | | | | | | | | |
| COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS) | | | | | | | | | |
| AGRIUM INC | | | CUSIP Number | 008916108 | | | | | |
| 1 0000 | Sale | | 01/22/13 | 05/02/13 | 89.05 | 109.36 | 0.00 | (20.31) | |
| 1 0000 | Sale | | 01/22/13 | 10/23/13 | 87.10 | 109.35 | 0.00 | (22.25) | |
| 3 0000 | Sale | | 01/23/13 | 10/24/13 | 257.97 | 332.51 | 0.00 | (74.54) | |
| 1 0000 | Sale | | 01/24/13 | 10/24/13 | 86.00 | 113.88 | 0.00 | (27.88) | |
| 2 0000 | Sale | | 01/24/13 | 10/25/13 | 172.08 | 227.75 | 0.00 | (55.67) | |
| 1 0000 | Sale | | 02/22/13 | 10/25/13 | 86.05 | 101.04 | 0.00 | (14.99) | |
| 1 0000 | Sale | | 02/22/13 | 10/28/13 | 85.56 | 101.04 | 0.00 | (15.48) | |
| 1 0000 | Sale | | 02/25/13 | 10/28/13 | 85.57 | 102.33 | 0.00 | (16.76) | |
| Security Subtotal | | | | | 949.38 | 1,197.26 | 0.00 | (247.88) | |
| ALLERGAN INC | | | CUSIP Number | 018490102 | | | | | |
| 1 0000 | Sale | | 10/18/12 | 01/15/13 | 103.84 | 94.89 | 0.00 | 8.95 | |
| 5 0000 | Sale | | 10/18/12 | 05/01/13 | 501.17 | 474.45 | 0.00 | 26.72 | |
| Security Subtotal | | | | | 605.01 | 569.34 | 0.00 | 35.67 | |

E MANDELL DE WINDT AND

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|----------------------------------|-------------------------|------------------------|-----------------------------|-----------------|-----------------|-----------------------------|----------------|---------|
| AMERICAN INTERNATIONAL GROUP INC | | CUSIP Number 026874784 | | | | | | |
| 5 0000 | Sale | 08/16/12 | 03/07/13 | 195.07 | 172.79 | 0.00 | 22.28 | |
| 8 0000 | Sale | 08/17/12 | 04/30/13 | 330.87 | 276.92 | 0.00 | 53.95 | |
| 3 0000 | Sale | 08/17/12 | 05/01/13 | 125.60 | 103.84 | 0.00 | 21.76 | |
| Security Subtotal | | | | 651.54 | 553.55 | 0.00 | 97.99 | |
| ADT CORP SHS | | CUSIP Number 00101J106 | | | | | | |
| 3 0000 | Sale | 11/29/12 | 07/19/13 | 126.55 | 132.73 | 0.00 | (6.18) | |
| 3 0000 | Sale | 11/29/12 | 07/22/13 | 126.16 | 132.72 | 0.00 | (6.56) | |
| 1 0000 | Sale | 11/30/12 | 07/22/13 | 42.06 | 45.02 | 0.00 | (2.96) | |
| 6 0000 | Sale | 04/05/13 | 07/31/13 | 251.00 | 273.80 | 0.00 | (22.80) | |
| 4 0000 | Sale | 05/03/13 | 07/31/13 | 167.34 | 173.87 | 0.00 | (6.53) | |
| 6 0000 | Sale | 11/30/12 | 07/31/13 | 250.99 | 268.53 | 0.00 | (17.54) | |
| Security Subtotal | | | | 964.10 | 1,026.67 | 0.00 | (62.57) | |
| AT&T INC | | CUSIP Number 00206R102 | | | | | | |
| 9 0000 | Sale | 05/22/13 | 11/20/13 | 322.23 | 331.20 | 0.00 | (8.97) | |
| AUTOMATIC DATA PROC | | CUSIP Number 053015103 | | | | | | |
| 4 0000 | Sale | 03/07/13 | 11/14/13 | 308.10 | 253.52 | 0.00 | 54.58 | |
| 3 0000 | Sale | 03/07/13 | 11/15/13 | 230.88 | 190.14 | 0.00 | 40.74 | |
| 5 0000 | Sale | 03/08/13 | 11/15/13 | 384.81 | 316.72 | 0.00 | 68.09 | |
| 3 0000 | Sale | 03/11/13 | 11/15/13 | 230.90 | 190.81 | 0.00 | 40.09 | |
| Security Subtotal | | | | 1,154.69 | 951.19 | 0.00 | 203.50 | |
| BRISTOL-MYERS SQUIBB CO | | CUSIP Number 110122108 | | | | | | |
| 10 0000 | Sale | 08/27/12 | 01/09/13 | 335.45 | 328.20 | 0.00 | 7.25 | |
| CROWN CASTLE INTL CORP | | CUSIP Number 228227104 | | | | | | |
| 2 0000 | Sale | 04/27/12 | 01/17/13 | 148.02 | 113.20 | 0.00 | 34.82 | |
| 1 0000 | Sale | 04/27/12 | 01/18/13 | 73.97 | 56.60 | 0.00 | 17.37 | |
| 1 0000 | Sale | 04/30/12 | 04/29/13 | 77.38 | 56.56 | 0.00 | 20.82 | |
| 2 0000 | Sale | 04/30/12 | 04/30/13 | 154.19 | 113.12 | 0.00 | 41.07 | |
| Security Subtotal | | | | 453.56 | 339.48 | 0.00 | 114.08 | |

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2013 ANNUAL STATEMENT SUMMARY
1099-B
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--------------------------|--------------------------|------------------------|-----------------------------|-----------------|---------------|-----------------------------|-----------------|---------|
| | | | | | | | | |
| CHIPOTLE MEXICAN GRILL | | CUSIP Number | 169656105 | | | | | |
| 1 0000 | Sale | 01/17/13 | 03/12/13 | 319.09 | 291.46 | 0.00 | 27.63 | |
| 1 0000 | Sale | 01/17/13 | 06/27/13 | 363.41 | 291.47 | 0.00 | 71.94 | |
| 1 0000 | Sale | 01/17/13 | 06/28/13 | 364.44 | 291.46 | 0.00 | 72.98 | |
| | Security Subtotal | | | 1,046.94 | 874.39 | 0.00 | 172.55 | |
| CAMERON INTL CORP | | CUSIP Number | 13342B105 | | | | | |
| 3 0000 | Sale | 12/17/12 | 04/05/13 | 183.32 | 162.26 | 0.00 | 21.06 | |
| 1 0000 | Sale | 12/18/12 | 04/05/13 | 61.11 | 54.65 | 0.00 | 6.46 | |
| 1 0000 | Sale | 12/18/12 | 04/08/13 | 62.10 | 54.64 | 0.00 | 7.46 | |
| 4 0000 | Sale | 01/29/13 | 04/08/13 | 248.40 | 246.29 | 0.00 | 2.11 | |
| 1 0000 | Sale | 01/30/13 | 04/08/13 | 62.11 | 61.15 | 0.00 | 0.96 | |
| | Security Subtotal | | | 617.04 | 578.99 | 0.00 | 38.05 | |
| CERNER CORP | COM | CUSIP Number | 156782104 | | | | | |
| 2 0000 | Sale | 04/30/12 | 01/15/13 | 161.08 | 162.32 | 0.00 | (1.24) | |
| 2 0000 | Sale | 05/09/12 | 01/15/13 | 161.08 | 158.90 | 0.00 | 2.18 | |
| 1 0000 | Sale | 05/09/12 | 01/16/13 | 80.08 | 79.45 | 0.00 | 0.63 | |
| | Security Subtotal | | | 402.24 | 400.67 | 0.00 | 1.57 | |
| DELPHI AUTOMOTIVE PLC | | CUSIP Number | G27823106 | | | | | |
| 7 0000 | Sale | 05/16/12 | 03/06/13 | 294.70 | 197.18 | 0.00 | 97.52 | |
| DISNEY (WALT) CO COM STK | | CUSIP Number | 254687106 | | | | | |
| 4 0000 | Sale | 08/08/12 | 04/24/13 | 249.41 | 201.69 | 0.00 | 47.72 | |
| 4 0000 | Sale | 08/08/12 | 05/15/13 | 269.54 | 201.69 | 0.00 | 67.85 | |
| | Security Subtotal | | | 518.95 | 403.38 | 0.00 | 115.57 | |
| E M C CORPORATION MASS | | CUSIP Number | 268648102 | | | | | |
| 9 0000 | Sale | 08/01/12 | 07/11/13 | 220.24 | 235.69 | 0.00 | (15.45) | |
| 5 0000 | Sale | 08/10/12 | 07/11/13 | 122.35 | 134.36 | 0.00 | (12.01) | |
| 1 0000 | Sale | 11/09/12 | 07/11/13 | 24.48 | 24.43 | 0.00 | 0.05 | |
| | Security Subtotal | | | 367.07 | 394.48 | 0.00 | (27.41) | |
| EQUINIX INC | | CUSIP Number | 29444U502 | | | | | |
| 2 0000 | Sale | 04/29/13 | 08/13/13 | 352.13 | 425.07 | 0.00 | (72.94) | |
| 2 0000 | Sale | 04/30/13 | 08/13/13 | 352.14 | 424.09 | 0.00 | (71.95) | |
| | Security Subtotal | | | 704.27 | 849.16 | 0.00 | (144.89) | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---------------------------------|----------|-------------------------|------------------------|-----------------------------|---------------|---------------|-----------------------------|-----------------|---------|
| <i>EBAY INC</i> | | <i>COM</i> | <i>CUSIP Number</i> | <i>278642103</i> | | | | | |
| 12 0000 | Sale | | 06/26/13 | 12/18/13 | 629.00 | 616.94 | 0.00 | 12.06 | |
| 1 0000 | Sale | | 07/02/13 | 12/18/13 | 52.42 | 53.33 | 0.00 | (0.91) | |
| <i>Security Subtotal</i> | | | | | 681.42 | 670.27 | 0.00 | 11.15 | |
| <i>EOG RESOURCES INC</i> | | | <i>CUSIP Number</i> | <i>26875P101</i> | | | | | |
| 2 0000 | Sale | | 11/16/12 | 02/22/13 | 249.53 | 233.05 | 0.00 | 16.48 | |
| 2 0000 | Sale | | 11/19/12 | 02/22/13 | 249.53 | 238.80 | 0.00 | 10.73 | |
| <i>Security Subtotal</i> | | | | | 499.06 | 471.85 | 0.00 | 27.21 | |
| <i>EDWARDS LIFESCIENCES CRP</i> | | | <i>CUSIP Number</i> | <i>28176E108</i> | | | | | |
| 3 0000 | Sale | | 12/06/12 | 07/17/13 | 200.66 | 272.95 | 0.00 | (72.29) | |
| 2 0000 | Sale | | 12/07/12 | 07/24/13 | 140.04 | 182.69 | 0.00 | (42.65) | |
| <i>Security Subtotal</i> | | | | | 340.70 | 455.64 | 0.00 | (114.94) | |
| <i>EXPRESS SCRIPTS HLDG CO</i> | | | <i>CUSIP Number</i> | <i>30219G108</i> | | | | | |
| 8 0000 | Sale | | 11/06/12 | 05/13/13 | 495.16 | 439.05 | 0.00 | 56.11 | |
| <i>EATON CORP PLC</i> | | | <i>CUSIP Number</i> | <i>G29183103</i> | | | | | |
| 5 0000 | Sale | | 02/04/13 | 05/13/13 | 322.20 | 282.55 | 0.00 | 39.65 | |
| 4 0000 | Sale | | 02/04/13 | 07/11/13 | 272.48 | 226.04 | 0.00 | 46.44 | |
| <i>Security Subtotal</i> | | | | | 594.68 | 508.59 | 0.00 | 86.09 | |
| <i>GENERAL ELECTRIC</i> | | | <i>CUSIP Number</i> | <i>369604103</i> | | | | | |
| 8 0000 | Sale | | 11/02/12 | 07/02/13 | 185.70 | 170.69 | 0.00 | 15.01 | |
| 7 0000 | Sale | | 11/02/12 | 08/13/13 | 169.41 | 149.35 | 0.00 | 20.06 | |
| 13 0000 | Sale | | 11/02/12 | 08/15/13 | 311.76 | 277.36 | 0.00 | 34.40 | |
| 11 0000 | Sale | | 11/05/12 | 08/15/13 | 263.80 | 233.85 | 0.00 | 29.95 | |
| 1 0000 | Sale | | 11/09/12 | 08/15/13 | 23.99 | 21.02 | 0.00 | 2.97 | |
| <i>Security Subtotal</i> | | | | | 954.66 | 852.27 | 0.00 | 102.39 | |
| <i>GILEAD SCIENCES INC COM</i> | | | <i>CUSIP Number</i> | <i>375558103</i> | | | | | |
| 8 0000 | Sale | | 11/12/12 | 06/26/13 | 411.89 | 290.35 | 0.00 | 121.54 | |
| 6 0000 | Sale | | 11/13/12 | 06/26/13 | 308.93 | 225.06 | 0.00 | 83.87 | |
| <i>Security Subtotal</i> | | | | | 720.82 | 515.41 | 0.00 | 205.41 | |
| <i>KEYCORP NEW</i> | | <i>COM</i> | <i>CUSIP Number</i> | <i>493267108</i> | | | | | |
| 1 0000 | Sale | | 12/06/12 | 11/11/13 | 12.97 | 7.92 | 0.00 | 5.05 | |

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--------------------------|--------------------------------|------------------------|-----------------------------|------------------|-----------------|-----------------------------|----------------|---------|
| | <i>LULULEMON ATHLETICA INC</i> | | <i>CUSIP Number</i> | <i>550021109</i> | | | | |
| 1 0000 | Sale | 06/27/13 | 10/18/13 | 72 80 | 65 16 | 0 00 | 7 64 | |
| 3 0000 | Sale | 06/27/13 | 10/21/13 | 218 34 | 195 49 | 0 00 | 22 85 | |
| 4 0000 | Sale | 06/27/13 | 10/30/13 | 282 68 | 260 65 | 0 00 | 22 03 | |
| 2 0000 | Sale | 06/27/13 | 10/31/13 | 138 97 | 130 33 | 0 00 | 8 64 | |
| 2 0000 | Sale | 08/21/13 | 10/31/13 | 138 97 | 139 81 | 0 00 | (0 84) | |
| Security Subtotal | | | | 851.76 | 791.44 | 0.00 | 60.32 | |
| | <i>LINKEDIN CORP</i> | | <i>CUSIP Number</i> | <i>53578A108</i> | | | | |
| | <i>CLASS A COMMON STOCK</i> | | | | | | | |
| 1 0000 | Sale | 05/17/12 | 02/21/13 | 158 17 | 110 84 | 0 00 | 47 33 | |
| 1 0000 | Sale | 05/17/12 | 03/28/13 | 175 71 | 110 83 | 0 00 | 64 88 | |
| 2 0000 | Sale | 05/25/12 | 04/01/13 | 350 11 | 196 37 | 0 00 | 153 74 | |
| 1 0000 | Sale | 07/13/12 | 04/01/13 | 175 05 | 105 71 | 0 00 | 69 34 | |
| 1 0000 | Sale | 07/16/12 | 04/01/13 | 175 06 | 105 73 | 0 00 | 69 33 | |
| Security Subtotal | | | | 1,034.10 | 629.48 | 0.00 | 404.62 | |
| | <i>LUFKIN IND'S INC</i> | | <i>CUSIP Number</i> | <i>549764108</i> | | | | |
| 2 0000 | Exchange | 04/02/13 | 07/01/13 | 177 00 | 128 19 | 0 00 | 48 81 | |
| 3 0000 | Exchange | 04/03/13 | 07/01/13 | 265 50 | 189 37 | 0 00 | 76 13 | |
| Security Subtotal | | | | 442.50 | 317.56 | 0.00 | 124.94 | |
| | <i>MOODY'S CORP</i> | | <i>CUSIP Number</i> | <i>615369105</i> | | | | |
| 3 0000 | Sale | 10/10/12 | 05/08/13 | 189 94 | 131 19 | 0 00 | 58 75 | |
| 1.0000 | Sale | 10/11/12 | 05/08/13 | 63 32 | 44 40 | 0 00 | 18 92 | |
| 2 0000 | Sale | 10/11/12 | 05/22/13 | 135 93 | 88 81 | 0 00 | 47 12 | |
| 2 0000 | Sale | 10/12/12 | 05/22/13 | 135 94 | 88 84 | 0 00 | 47 10 | |
| 1 0000 | Sale | 10/15/12 | 05/22/13 | 67 97 | 44 65 | 0 00 | 23 32 | |
| 4 0000 | Sale | 11/09/12 | 08/08/13 | 276 31 | 184 21 | 0 00 | 92 10 | |
| 3 0000 | Sale | 11/09/12 | 08/14/13 | 198 67 | 138 16 | 0 00 | 60 51 | |
| 5 0000 | Sale | 02/06/13 | 08/14/13 | 331 14 | 236 17 | 0 00 | 94 97 | |
| 1 0000 | Sale | 02/06/13 | 08/15/13 | 64 51 | 47 24 | 0 00 | 17 27 | |
| Security Subtotal | | | | 1,463.73 | 1,003.67 | 0.00 | 460.06 | |
| | <i>MICHAEL KORS HLDGS LTD</i> | | <i>CUSIP Number</i> | <i>G60754101</i> | | | | |
| | <i>SHS</i> | | | | | | | |
| 3 0000 | Sale | 06/27/13 | 11/01/13 | 227 47 | 183 63 | 0 00 | 43 84 | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|----------------------------|----------|-------------------------|------------------------|-----------------------------|---------------|---------------|-----------------------------|----------------|---------|
| MONDELEZ INTERNATIONAL INC | | | CUSIP Number | 609207105 | | | | | |
| 7 0000 | Sale | | 04/27/12 | 04/26/13 | 220.90 | 179.17 | 0.00 | 41.73 | |
| 12 0000 | Sale | | 05/02/12 | 04/29/13 | 378.35 | 310.19 | 0.00 | 68.16 | |
| 1 0000 | Sale | | 06/20/12 | 04/29/13 | 31.53 | 25.28 | 0.00 | 6.25 | |
| 4 0000 | Sale | | 06/20/12 | 04/30/13 | 126.36 | 101.14 | 0.00 | 25.22 | |
| 1 0000 | Sale | | 11/09/12 | 04/30/13 | 31.60 | 26.01 | 0.00 | 5.59 | |
| Security Subtotal | | | | | 788.74 | 641.79 | 0.00 | 146.95 | |
| NEXTERA ENERGY INC SHS | | | CUSIP Number | 65339F101 | | | | | |
| 4 0000 | Sale | | 10/12/12 | 01/18/13 | 286.08 | 277.88 | 0.00 | 8.20 | |
| 1 0000 | Sale | | 10/12/12 | 01/22/13 | 71.77 | 69.47 | 0.00 | 2.30 | |
| 4 0000 | Sale | | 10/15/12 | 01/22/13 | 287.11 | 278.11 | 0.00 | 9.00 | |
| 1 0000 | Sale | | 10/16/12 | 01/22/13 | 71.78 | 70.09 | 0.00 | 1.69 | |
| Security Subtotal | | | | | 716.74 | 695.55 | 0.00 | 21.19 | |
| NUCOR CORPORATION | | | CUSIP Number | 670346105 | | | | | |
| 1 0000 | Sale | | 03/11/13 | 07/08/13 | 43.92 | 47.54 | 0.00 | (3.62) | |
| 1 0000 | Sale | | 03/12/13 | 07/08/13 | 43.93 | 47.44 | 0.00 | (3.51) | |
| 6 0000 | Sale | | 03/12/13 | 07/09/13 | 268.94 | 284.65 | 0.00 | (15.71) | |
| 5 0000 | Sale | | 03/13/13 | 07/10/13 | 219.87 | 231.71 | 0.00 | (11.84) | |
| Security Subtotal | | | | | 576.66 | 611.34 | 0.00 | (34.68) | |
| O'REILLY AUTOMOTIVE INC | | | CUSIP Number | 67103H107 | | | | | |
| 2 0000 | Sale | | 07/16/12 | 02/08/13 | 203.78 | 181.24 | 0.00 | 22.54 | |
| 1 0000 | Sale | | 07/17/12 | 02/11/13 | 101.88 | 92.32 | 0.00 | 9.56 | |
| Security Subtotal | | | | | 305.66 | 273.56 | 0.00 | 32.10 | |
| PPG INDUSTRIES INC SHS | | | CUSIP Number | 693506107 | | | | | |
| 1 0000 | Sale | | 03/28/13 | 12/11/13 | 182.72 | 133.71 | 0.00 | 49.01 | |
| 1 0000 | Sale | | 04/01/13 | 12/11/13 | 182.72 | 133.80 | 0.00 | 48.92 | |
| Security Subtotal | | | | | 365.44 | 267.51 | 0.00 | 97.93 | |
| PULTE GROUP | | | CUSIP Number | 745867101 | | | | | |
| 21 0000 | Sale | | 06/18/12 | 01/11/13 | 405.81 | 194.61 | 0.00 | 211.20 | |
| STATE STREET CORP | | | CUSIP Number | 857477103 | | | | | |
| 5 0000 | Sale | | 03/06/13 | 07/24/13 | 349.93 | 294.46 | 0.00 | 55.47 | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---|----------|-------------------------|------------------------|-----------------------------|------------------|------------------|-----------------------------|-----------------|---------|
| TERADATA CORP DEL | | | CUSIP Number | 88076W103 | | | | | |
| 2 0000 | Sale | | 07/05/12 | 03/27/13 | 114.68 | 145.07 | 0.00 | (30.39) | |
| 2 0000 | Sale | | 07/05/12 | 03/28/13 | 114.79 | 145.07 | 0.00 | (30.28) | |
| 1 0000 | Sale | | 07/13/12 | 03/28/13 | 57.40 | 65.55 | 0.00 | (8.15) | |
| 1 0000 | Sale | | 07/13/12 | 04/04/13 | 50.79 | 65.55 | 0.00 | (14.76) | |
| 2 0000 | Sale | | 07/16/12 | 04/04/13 | 101.58 | 129.69 | 0.00 | (28.11) | |
| 1 0000 | Sale | | 11/09/12 | 04/04/13 | 50.80 | 63.60 | 0.00 | (12.80) | |
| Security Subtotal | | | | | 490.04 | 614.53 | 0.00 | (124.49) | |
| VERIZON COMMUNICATNS COM | | | CUSIP Number | 92343V104 | | | | | |
| 7 0000 | Sale | | 04/26/12 | 03/27/13 | 342.81 | 279.70 | 0.00 | 63.11 | |
| VERTEX PHARMCTL INC | | | CUSIP Number | 92532F100 | | | | | |
| 3 0000 | Sale | | 05/30/12 | 04/05/13 | 158.86 | 180.44 | 0.00 | (21.58) | |
| 1 0000 | Sale | | 05/30/12 | 04/08/13 | 52.34 | 60.15 | 0.00 | (7.81) | |
| 1 0000 | Sale | | 05/30/12 | 04/22/13 | 81.94 | 60.15 | 0.00 | 21.79 | |
| 2 0000 | Sale | | 11/02/12 | 09/23/13 | 150.81 | 88.03 | 0.00 | 62.78 | |
| Security Subtotal | | | | | 443.95 | 388.77 | 0.00 | 55.18 | |
| WESTERN UN CO | | | CUSIP Number | 959802109 | | | | | |
| 12 0000 | Sale | | 01/19/12 | 01/03/13 | 162.79 | 228.48 | 0.00 | (65.69) | |
| 2 0000 | Sale | | 01/20/12 | 01/03/13 | 27.13 | 38.21 | 0.00 | (11.08) | |
| 10 0000 | Sale | | 01/25/12 | 01/03/13 | 135.67 | 194.18 | 0.00 | (58.51) | |
| 6 0000 | Sale | | 02/22/12 | 02/13/13 | 85.72 | 107.99 | 0.00 | (22.27) | |
| 2 0000 | Sale | | 02/23/12 | 02/13/13 | 28.58 | 36.08 | 0.00 | (7.50) | |
| Security Subtotal | | | | | 439.89 | 604.94 | 0.00 | (165.05) | |
| WELLS FARGO & CO NEW DEL | | | CUSIP Number | 949746101 | | | | | |
| 1 0000 | Sale | | 12/12/12 | 11/11/13 | 42.58 | 33.70 | 0.00 | 8.88 | |
| Covered Short Term Capital Gains and Losses Subtotal | | | | | 22,974.45 | 20,742.38 | 0.00 | 2,232.07 | |
| NET SHORT TERM CAPITAL GAINS AND LOSSES | | | | | 22,974.45 | 20,742.38 | 0.00 | 2,232.07 | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--|-------------------------|------------------------|-----------------------------|-----------------|---------------|-----------------------------|----------------|---------|
| LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c. | | | | | | | | |
| COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS) | | | | | | | | |
| AMAZON COM INC COM | | CUSIP Number 023135106 | | | | | | |
| 1 0000 Sale | | 08/23/11 | 04/18/13 | 258.84 | 191.05 | 0.00 | 67.79 | |
| 1 0000 Sale | | 02/10/12 | 06/27/13 | 278.37 | 185.67 | 0.00 | 92.70 | |
| 1 0000 Sale | | 02/10/12 | 10/07/13 | 311.85 | 185.66 | 0.00 | 126.19 | |
| 1 0000 Sale | | 03/27/12 | 10/25/13 | 360.16 | 206.82 | 0.00 | 153.34 | |
| Security Subtotal | | | | 1,209.22 | 769.20 | 0.00 | 440.02 | |
| AMERICAN INTERNATIONAL GROUP INC | | CUSIP Number 026874784 | | | | | | |
| 16 0000 Sale | | 10/10/12 | 12/17/13 | 793.51 | 571.76 | 0.00 | 221.75 | |
| 1 0000 Sale | | 11/09/12 | 12/17/13 | 49.60 | 31.90 | 0.00 | 17.70 | |
| Security Subtotal | | | | 843.11 | 603.66 | 0.00 | 239.45 | |
| ASML HLDG NV NY REG SHS | | CUSIP Number N07059210 | | | | | | |
| 1 0000 Sale | | 10/31/11 | 02/22/13 | 71.50 | 44.48 | 0.00 | 27.02 | |
| 2 0000 Sale | | 10/31/11 | 05/08/13 | 152.86 | 88.95 | 0.00 | 63.91 | |
| 1 0000 Sale | | 10/31/11 | 05/09/13 | 76.64 | 44.47 | 0.00 | 32.17 | |
| 1 0000 Sale | | 12/06/11 | 05/10/13 | 77.50 | 43.78 | 0.00 | 33.72 | |
| 4 0000 Sale | | 12/06/11 | 08/09/13 | 366.07 | 175.11 | 0.00 | 190.96 | |
| 1 0000 Sale | | 12/06/11 | 10/08/13 | 99.95 | 43.78 | 0.00 | 56.17 | |
| Security Subtotal | | | | 844.52 | 440.57 | 0.00 | 403.95 | |
| AT&T INC | | CUSIP Number 00206R102 | | | | | | |
| 7 0000 Sale | | 08/16/12 | 11/20/13 | 250.61 | 258.79 | 0.00 | (8.18) | |
| BIOGEN IDEC INC | | CUSIP Number 09062X103 | | | | | | |
| 1 0000 Sale | | 11/23/11 | 07/11/13 | 222.83 | 110.76 | 0.00 | 112.07 | |
| 1 0000 Sale | | 11/23/11 | 07/12/13 | 221.03 | 110.76 | 0.00 | 110.27 | |
| Security Subtotal | | | | 443.86 | 221.52 | 0.00 | 222.34 | |
| BLACKROCK INC | | CUSIP Number 09247X101 | | | | | | |
| 1 0000 Sale | | 07/26/11 | 03/28/13 | 256.21 | 184.72 | 0.00 | 71.49 | |
| 1 0000 Sale | | 07/26/11 | 04/17/13 | 252.06 | 184.71 | 0.00 | 67.35 | |
| 1 0000 Sale | | 07/27/11 | 06/05/13 | 267.81 | 180.19 | 0.00 | 87.62 | |
| 1 0000 Sale | | 01/11/12 | 06/05/13 | 267.82 | 183.01 | 0.00 | 84.81 | |
| Security Subtotal | | | | 1,043.90 | 732.63 | 0.00 | 311.27 | |

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| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|-------------|--------------------------------------|------------------------|-----------------------------|------------------|---------------|-----------------------------|----------------|---------|
| | <i>BANK NEW YORK MELLON CORP</i> | | <i>CUSIP Number</i> | <i>064058100</i> | | | | |
| 14 0000 | Sale | 11/16/11 | 03/20/13 | 395.45 | 281.89 | 0.00 | 113.56 | |
| | <i>COMCAST CRP NEW CL A SPL</i> | | <i>CUSIP Number</i> | <i>20030N200</i> | | | | |
| 1 0000 | Sale | 07/14/11 | 11/11/13 | 46.73 | 23.94 | 0.00 | 22.79 | |
| | <i>CVS CAREMARK CORP</i> | | <i>CUSIP Number</i> | <i>126650100</i> | | | | |
| 6 0000 | Sale | 01/04/12 | 09/18/13 | 359.85 | 250.07 | 0.00 | 109.78 | |
| 1 0000 | Sale | 01/11/12 | 11/11/13 | 63.79 | 41.82 | 0.00 | 21.97 | |
| | <i>Security Subtotal</i> | | | 423.64 | 291.89 | 0.00 | 131.75 | |
| | <i>CROWN CASTLE INTL CORP</i> | | <i>CUSIP Number</i> | <i>228227104</i> | | | | |
| 3 0000 | Sale | 04/27/12 | 04/29/13 | 232.14 | 169.80 | 0.00 | 62.34 | |
| | <i>CERNER CORP</i> | <i>COM</i> | <i>CUSIP Number</i> | <i>156782104</i> | | | | |
| 2 0000 | Sale | 11/21/11 | 01/15/13 | 161.07 | 113.77 | 0.00 | 47.30 | |
| | <i>COCA COLA ENTERPRISES INC NEW</i> | | <i>CUSIP Number</i> | <i>19122T109</i> | | | | |
| 1 0000 | Sale | 10/31/12 | 11/11/13 | 41.37 | 31.47 | 0.00 | 9.90 | |
| | <i>COCA COLA COM</i> | | <i>CUSIP Number</i> | <i>191216100</i> | | | | |
| 5 0000 | Sale | 05/18/11 | 11/26/13 | 201.84 | 170.02 | 0.00 | 31.82 | |
| | <i>DANAHER CORP DEL</i> | <i>COM</i> | <i>CUSIP Number</i> | <i>235851102</i> | | | | |
| 4 0000 | Sale | 03/02/11 | 10/16/13 | 275.90 | 200.54 | 0.00 | 75.36 | |
| 1 0000 | Sale | 03/02/11 | 11/11/13 | 74.29 | 50.13 | 0.00 | 24.16 | |
| | <i>Security Subtotal</i> | | | 350.19 | 250.67 | 0.00 | 99.52 | |
| | <i>DELPHI AUTOMOTIVE PLC</i> | | <i>CUSIP Number</i> | <i>G27823106</i> | | | | |
| 4 0000 | Sale | 05/16/12 | 06/18/13 | 206.41 | 112.68 | 0.00 | 93.73 | |
| 3 0000 | Sale | 05/23/12 | 06/18/13 | 154.82 | 83.23 | 0.00 | 71.59 | |
| 2 0000 | Sale | 05/23/12 | 09/18/13 | 113.88 | 55.49 | 0.00 | 58.39 | |
| 4 0000 | Sale | 06/06/12 | 09/18/13 | 227.78 | 116.84 | 0.00 | 110.94 | |
| | <i>Security Subtotal</i> | | | 702.89 | 368.24 | 0.00 | 334.65 | |

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| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---|-------------------------|--|---|---|---|--|--|---------|
| EXPRESS SCRIPTS HLDG CO 4 0000 Sale 1 0000 Sale | | CUSIP Number 05/16/11 05/17/11 | 30219G108 05/10/13 05/13/13 | 245.69 61.89 | 238.98 59.53 | 0.00 0.00 | 6.71 2.36 | |
| Security Subtotal | | | | 307.58 | 298.51 | 0.00 | 9.07 | |
| FAMILY DOLLAR STORES 3 0000 Sale | | CUSIP Number 10/19/11 | 307000109 10/07/13 | 212.66 | 173.33 | 0.00 | 39.33 | |
| FIRST POTOMAC RLTY TR REIT 1 0000 Sale | | CUSIP Number | 33610F109 | | | | | |
| FEDEX CORP DELAWARE COM 2 0000 Sale | | CUSIP Number 01/13/12 | 31428X106 11/21/13 | 275.81 | 180.45 | 0.00 | 95.36 | |
| FACEBOOK INC CLASS A COMMON STOCK 6 0000 Sale 1 0000 Sale 6 0000 Sale | | CUSIP Number | 30303M102 | | | | | |
| Security Subtotal | | | | 694.89 | 407.46 | 0.00 | 287.43 | |
| GOLDMAN SACHS GROUP INC 2 0000 Sale 1 0000 Sale | | CUSIP Number 03/02/11 05/04/11 | 38141G104 05/03/13 05/06/13 | 290.71 145.95 | 324.65 151.63 | 0.00 0.00 | (33.94) (5.68) | |
| Security Subtotal | | | | 436.66 | 476.28 | 0.00 | (39.62) | |
| HEXCEL CORP NEW COM 1 0000 Sale | | CUSIP Number 07/25/11 | 428291108 11/11/13 | 43.01 | 24.02 | 0.00 | 18.99 | |
| ILLUMINA INC COM 1 0000 Sale 2 0000 Sale 2 0000 Sale 1 0000 Sale 1 0000 Sale 1 0000 Sale 1 0000 Sale 2 0000 Sale 2 0000 Sale | | CUSIP Number 03/16/11 05/02/11 05/03/11 07/29/11 07/29/11 07/29/11 04/18/12 04/18/12 04/18/12 | 452327109 02/08/13 02/11/13 02/12/13 05/08/13 05/09/13 08/02/13 08/02/13 11/01/13 11/15/13 | 51.20 100.77 100.17 66.93 66.83 77.69 77.69 190.88 186.99 | 63.83 143.12 142.74 61.68 61.68 61.68 43.87 87.75 87.75 | 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | (12.63) (42.35) (42.57) 5.25 5.15 16.01 33.82 103.13 99.24 | |

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2013 ANNUAL STATEMENT SUMMARY
1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--------------------------|--------------------------|-------------------------|------------------------|-----------------------------|-----------------|---------------|-----------------------------|-----------------|---------|
| ILLUMINA INC | | COM | CUSIP Number | 452327109 | | | | | |
| 1 0000 | Sale | | 04/18/12 | 11/18/13 | 92.79 | 43.87 | 0.00 | 48.92 | |
| 2 0000 | Sale | | 04/18/12 | 11/19/13 | 183.59 | 87.75 | 0.00 | 95.84 | |
| 1 0000 | Sale | | 04/18/12 | 11/20/13 | 91.53 | 43.87 | 0.00 | 47.66 | |
| Security Subtotal | | | | | 1,287.06 | 929.59 | 0.00 | 357.47 | |
| INTEL CORP | | | CUSIP Number | 458140100 | | | | | |
| 3 0000 | Sale | | 05/19/11 | 01/17/13 | 67.18 | 70.52 | 0.00 | (3.34) | |
| 18 0000 | Sale | | 05/19/11 | 01/17/13 | 403.11 | 420.09 | 0.00 | (16.98) | |
| Security Subtotal | | | | | 470.29 | 490.61 | 0.00 | (20.32) | |
| JPMORGAN CHASE & CO | | | CUSIP Number | 46625H100 | | | | | |
| 3 0000 | Sale | | 06/10/09 | 05/29/13 | 163.05 | 116.11 | 0.00 (Y) | 46.94 | |
| JOY GLOBAL INC DEL | COM | | CUSIP Number | 481165108 | | | | | |
| 1 0000 | Sale | | 01/25/12 | 05/08/13 | 61.27 | 90.78 | 0.00 | (29.51) | |
| 2 0000 | Sale | | 01/25/12 | 05/09/13 | 121.45 | 181.56 | 0.00 | (60.11) | |
| 3 0000 | Sale | | 01/25/12 | 05/10/13 | 179.11 | 272.34 | 0.00 | (93.23) | |
| 1 0000 | Sale | | 02/06/12 | 05/10/13 | 59.71 | 95.20 | 0.00 | (35.49) | |
| Security Subtotal | | | | | 421.54 | 639.88 | 0.00 | (218.34) | |
| JOHNSON AND JOHNSON | COM | | CUSIP Number | 478160104 | | | | | |
| 4 0000 | Sale | | 05/12/11 | 11/06/13 | 371.82 | 266.22 | 0.00 | 105.60 | |
| KRAFT FOODS GROUP INC | | | CUSIP Number | 50076Q106 | | | | | |
| SHS | 3 0000 | Sale | 04/27/12 | 11/01/13 | 163.95 | 124.53 | 0.00 | 39.42 | |
| | 2 0000 | Sale | 05/02/12 | 11/01/13 | 109.31 | 83.77 | 0.00 | 25.54 | |
| | 2 0000 | Sale | 05/02/12 | 11/04/13 | 109.32 | 83.77 | 0.00 | 25.55 | |
| | 1 0000 | Sale | 06/20/12 | 11/04/13 | 54.66 | 41.00 | 0.00 | 13.66 | |
| | 1 0000 | Sale | 06/20/12 | 11/04/13 | 54.66 | 41.32 | 0.00 | 13.34 | |
| | 4 0000 | Sale | 10/10/12 | 11/04/13 | 218.66 | 187.24 | 0.00 | 31.42 | |
| | Security Subtotal | | | | 710.56 | 561.63 | 0.00 | 148.93 | |
| LORILLARD INC | | | CUSIP Number | 544147101 | | | | | |
| 1 0000 | Sale | | 02/29/12 | 11/11/13 | 51.58 | 43.89 | 0.00 | 7.69 | |

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--|--------------------------|--|---|--------------------------------------|--------------------------------------|------------------------------|-----------------------------------|---------|
| MONSANTO CO NEW DEL COM 4 0000 Sale 1 0000 Sale | | CUSIP Number 06/01/11 06/30/11 | 61166W101 06/06/13 07/02/13 | 394.15 96.81 | 282.09 72.05 | 0.00 0.00 | 112.06 24.76 | |
| | Security Subtotal | | | 490.96 | 354.14 | 0.00 | 136.82 | |
| MONDELEZ INTERNATIONAL INC 3 0000 Sale | | CUSIP Number 04/27/12 | 609207105 04/29/13 | 94.58 | 76.79 | 0.00 | 17.79 | |
| MICROSOFT CORP 10 0000 Sale 3 0000 Sale 9 0000 Sale 6 0000 Sale | | CUSIP Number 08/09/11 08/18/11 08/18/11 08/18/11 | 594918104 01/31/13 01/31/13 02/05/13 03/07/13 | 277.76 83.33 248.02 168.96 | 252.05 74.65 223.95 149.30 | 0.00 0.00 0.00 0.00 | 25.71 8.68 24.07 19.66 | |
| | Security Subtotal | | | 778.07 | 699.95 | 0.00 | 78.12 | |
| ONEOK INC (OKLAHOMA) 1 0000 Sale 2 0000 Sale 8 0000 Sale | | CUSIP Number 08/15/11 08/15/11 08/15/11 | 682680103 03/15/13 09/27/13 09/30/13 | 44.95 106.40 426.53 | 34.08 68.16 272.66 | 0.00 0.00 0.00 | 10.87 38.24 153.87 | |
| | Security Subtotal | | | 577.88 | 374.90 | 0.00 | 202.98 | |
| PPG INDUSTRIES INC SHS 1 0000 Sale 1 0000 Sale 1 0000 Sale | | CUSIP Number 02/25/11 02/25/11 02/25/11 | 693506107 11/06/13 11/20/13 11/21/13 | 182.78 182.77 183.23 | 87.45 87.45 87.45 | 0.00 0.00 0.00 | 95.33 95.32 95.78 | |
| | Security Subtotal | | | 548.78 | 262.35 | 0.00 | 286.43 | |
| PNC FINCL SERVICES GROUP 2 0000 Sale 2 0000 Sale 2 0000 Sale 5 0000 Sale | | CUSIP Number 05/11/11 05/17/11 05/17/11 08/31/11 | 693475105 06/12/13 06/12/13 07/10/13 07/10/13 | 141.76 141.76 148.74 371.86 | 127.19 124.73 124.72 250.87 | 0.00 0.00 0.00 0.00 | 14.57 17.03 24.02 120.99 | |
| | Security Subtotal | | | 804.12 | 627.51 | 0.00 | 176.61 | |
| PROCTER & GAMBLE CO 2 0000 Sale 4 0000 Sale | | CUSIP Number 05/12/11 05/17/11 | 742718109 12/23/13 12/23/13 | 163.20 326.41 | 132.85 268.76 | 0.00 0.00 | 30.35 57.65 | |
| | Security Subtotal | | | 489.61 | 401.61 | 0.00 | 88.00 | |

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--------------------------|-------------------------|------------------------|-----------------------------|-----------------|-----------------|-----------------------------|----------------|---------|
| QUALCOMM INC | | CUSIP Number | 747525103 | | | | | |
| 1 0000 | Sale | 07/21/11 | 03/07/13 | 66.53 | 56.93 | 0.00 | 9.60 | |
| 3 0000 | Sale | 07/21/11 | 05/09/13 | 193.02 | 170.79 | 0.00 | 22.23 | |
| 3 0000 | Sale | 07/21/11 | 06/06/13 | 188.39 | 170.78 | 0.00 | 17.61 | |
| 2 0000 | Sale | 07/22/11 | 06/06/13 | 125.60 | 114.22 | 0.00 | 11.38 | |
| 2 0000 | Sale | 11/18/11 | 06/06/13 | 125.60 | 111.70 | 0.00 | 13.90 | |
| 2 0000 | Sale | 11/18/11 | 06/26/13 | 122.86 | 111.69 | 0.00 | 11.17 | |
| 5 0000 | Sale | 03/23/12 | 06/26/13 | 307.15 | 333.25 | 0.00 | (26.10) | |
| 3 0000 | Sale | 04/18/12 | 06/26/13 | 184.29 | 201.24 | 0.00 | (16.95) | |
| Security Subtotal | | | | 1,313.44 | 1,270.60 | 0.00 | 42.84 | |
| REPUBLIC SERVICES INC | | CUSIP Number | 760759100 | | | | | |
| 1 0000 | Sale | 08/18/11 | 03/15/13 | 31.97 | 27.31 | 0.00 | 4.66 | |
| ST JUDE MEDICAL INC | | CUSIP Number | 790849103 | | | | | |
| 7 0000 | Sale | 12/21/11 | 09/11/13 | 369.80 | 234.05 | 0.00 | 135.75 | |
| 3 0000 | Sale | 12/21/11 | 10/09/13 | 165.88 | 100.30 | 0.00 | 65.58 | |
| 2 0000 | Sale | 03/07/12 | 10/09/13 | 110.60 | 81.82 | 0.00 | 28.78 | |
| Security Subtotal | | | | 646.28 | 416.17 | 0.00 | 230.11 | |
| SANDISK CORP INC | | CUSIP Number | 80004C101 | | | | | |
| 3 0000 | Sale | 01/27/12 | 09/26/13 | 180.69 | 140.44 | 0.00 | 40.25 | |
| 3 0000 | Sale | 01/27/12 | 09/27/13 | 180.19 | 140.44 | 0.00 | 39.75 | |
| 3 0000 | Sale | 03/02/12 | 09/27/13 | 180.19 | 152.99 | 0.00 | 27.20 | |
| 2 0000 | Sale | 03/02/12 | 09/30/13 | 117.80 | 101.99 | 0.00 | 15.81 | |
| Security Subtotal | | | | 658.87 | 535.86 | 0.00 | 123.01 | |
| SCHLUMBERGER LTD | | CUSIP Number | 806857108 | | | | | |
| 3 0000 | Sale | 02/04/11 | 01/30/13 | 237.09 | 266.32 | 0.00 | (29.23) | |
| 3 0000 | Sale | 10/26/11 | 01/30/13 | 237.09 | 206.18 | 0.00 | 30.91 | |
| 4 0000 | Sale | 11/30/11 | 01/30/13 | 316.13 | 297.92 | 0.00 | 18.21 | |
| Security Subtotal | | | | 790.31 | 770.42 | 0.00 | 19.89 | |

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2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--|-------------------------|------------------------|-----------------------------|------------------|------------------|-----------------------------|-----------------|---------|
| STANLEY BLACK & DECKER INC | | | | | | | | |
| | | CUSIP Number | 854502101 | | | | | |
| 3 0000 | Sale | 05/04/11 | 02/21/13 | 228 42 | 216 69 | 0 00 | 11 73 | |
| 3 0000 | Sale | 09/07/11 | 10/23/13 | 228 55 | 176 57 | 0 00 | 51 98 | |
| 1 0000 | Sale | 09/14/11 | 10/23/13 | 76 19 | 55 84 | 0 00 | 20 35 | |
| 4 0000 | Sale | 09/14/11 | 10/30/13 | 316 44 | 223 37 | 0 00 | 93 07 | |
| Security Subtotal | | | | 849.60 | 672.47 | 0.00 | 177 13 | |
| VIACOM INC NEW CL B | | | | | | | | |
| | | CUSIP Number | 92553P201 | | | | | |
| 2 0000 | Sale | 03/16/11 | 08/08/13 | 159 44 | 87 34 | 0 00 | 72 10 | |
| 1 0000 | Sale | 06/01/11 | 08/08/13 | 79 72 | 50 86 | 0 00 | 28 86 | |
| 5 0000 | Sale | 06/01/11 | 09/04/13 | 404 07 | 254 32 | 0 00 | 149 75 | |
| 2 0000 | Sale | 09/28/11 | 09/25/13 | 166 12 | 83 55 | 0 00 | 82 57 | |
| 4 0000 | Sale | 09/28/11 | 10/02/13 | 330 01 | 167 09 | 0 00 | 162 92 | |
| Security Subtotal | | | | 1,139.36 | 643.16 | 0.00 | 496.20 | |
| VERTEX PHARMCTS INC | | | | | | | | |
| | | CUSIP Number | 92532F100 | | | | | |
| 2 0000 | Sale | 05/31/12 | 08/26/13 | 156 09 | 120 23 | 0 00 | 35 86 | |
| 2 0000 | Sale | 05/31/12 | 08/27/13 | 150 86 | 120 22 | 0 00 | 30 64 | |
| 5 0000 | Sale | 06/29/12 | 09/23/13 | 377 00 | 268 44 | 0 00 | 108 56 | |
| 3 0000 | Sale | 11/02/12 | 11/07/13 | 186 37 | 132 05 | 0 00 | 54 32 | |
| 5 0000 | Sale | 11/02/12 | 11/12/13 | 297 21 | 220 07 | 0 00 | 77 14 | |
| 1 0000 | Sale | 11/09/12 | 11/12/13 | 59 45 | 45 31 | 0 00 | 14 14 | |
| Security Subtotal | | | | 1,226.98 | 906.32 | 0.00 | 320.66 | |
| WESTERN UN CO | | | | | | | | |
| 19 0000 | Sale | CUSIP Number | 959802109 | | | | | |
| | | 02/08/12 | 02/13/13 | 271 46 | 346 03 | 0 00 | (74 57) | |
| Covered Long Term Capital Gains and Losses Subtotal | | | | 23,360.59 | 17,736.03 | 0.00 | 5,624.56 | |

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

| | | | | | | |
|-------------------------|--------------|-----------|----------|-------|-------|------|
| ASTORIA FINANCIAL CORP | CUSIP Number | 046265104 | | | | |
| 1 0000 | Sale | 07/18/08 | 11/11/13 | 13 40 | 21 93 | 0 00 |
| AKAMAI TECHNOLOGIES INC | CUSIP Number | 00971T101 | | | | |
| 1 0000 | Sale | 07/18/08 | 03/15/13 | 34 97 | 33 48 | 0 00 |
| | | | | | | 1 49 |

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2013 ANNUAL STATEMENT SUMMARY
1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--|-------------------------|--|--|--|---|--------------------------------------|---|---------|
| AMAZON COM INC COM 1 0000 Sale | | CUSIP Number 09/14/10 | 02/05/13 | 265.29 | 146.18 | 0.00 | 119.11 | |
| AMERICAN TOWER REIT INC (HLDG CO) SHS 3 0000 Sale 3 0000 Sale | | CUSIP Number 04/14/10 04/14/10 | 10/28/13 10/29/13 | 240.85 239.63 | 125.15 125.14 | 0.00 0.00 | 115.70 114.49 | |
| Security Subtotal | | | | 480.48 | 250.29 | 0.00 | 230.19 | |
| ABBVIE INC SHS 5 0000 Sale 5 0000 Sale 4 0000 Sale 1 0000 Sale | | CUSIP Number 07/29/09 09/02/09 03/11/10 10/20/10 | 01/09/13 01/09/13 01/09/13 01/09/13 | 169.35 169.36 135.48 33.88 | 118.85 117.23 114.87 27.17 | 0.00 0.00 0.00 0.00 | 50.50 52.13 20.61 6.71 | |
| Security Subtotal | | | | 508.07 | 378.12 | 0.00 | 129.95 | |
| AT&T INC 7 0000 Sale 1 0000 Sale 8 0000 Sale 3 0000 Sale | | CUSIP Number 09/03/08 11/11/09 11/11/09 10/20/10 | 01/30/13 01/30/13 11/14/13 11/14/13 | 243.19 34.75 281.86 105.70 | 227.14 26.47 211.75 85.90 | 0.00 0.00 0.00 0.00 | 16.05 8.28 70.11 19.80 | |
| Security Subtotal | | | | 665.50 | 551.26 | 0.00 | 114.24 | |
| APPLE INC 1 0000 Sale | | CUSIP Number 10/22/08 | 07/01/13 | 409.73 | 97.87 | 0.00 | 311.86 | |
| BORG WARNER INC COM 1 0000 Sale 4 0000 Sale 1 0000 Sale 1 0000 Sale | | CUSIP Number 10/14/08 07/14/10 07/14/10 08/11/10 | 02/04/13 02/04/13 02/05/13 02/05/13 | 73.43 293.76 74.00 74.00 | 25.33 170.03 42.51 45.70 | 0.00 0.00 0.00 0.00 | 48.10 123.73 31.49 28.30 | |
| Security Subtotal | | | | 515.19 | 283.57 | 0.00 | 231.62 | |
| BANK NEW YORK MELLON CORP 3 0000 Sale 8 0000 Sale 1 0000 Sale 5 0000 Sale 8 0000 Sale | | CUSIP Number 07/18/08 10/08/08 10/08/08 10/07/09 02/03/10 | 01/23/13 01/23/13 03/06/13 03/06/13 03/06/13 | 80.68 215.17 27.78 138.92 222.27 | 107.57 207.43 25.93 142.84 230.18 | 0.00 0.00 0.00 0.00 0.00 | (26.89) 7.74 1.85 (3.92) (7.91) | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|----------------------------------|-------------------------|------------------------|-----------------------------|---------------------|------------------|-----------------------------|----------------|---------|
| BANK NEW YORK MELLON CORP | | | | | | | | |
| | | | | <i>CUSIP Number</i> | <i>064058100</i> | | | |
| 3 0000 | Sale | 03/31/10 | 03/06/13 | 83.36 | 92.51 | 0.00 | (9.15) | |
| 2 0000 | Sale | 03/31/10 | 03/20/13 | 56.49 | 61.68 | 0.00 | (5.19) | |
| 2 0000 | Sale | 10/20/10 | 03/20/13 | 56.49 | 52.03 | 0.00 | 4.46 | |
| Security Subtotal | | | | 881.16 | 920.17 | 0.00 | (39.01) | |
| BOEING COMPANY | | | | | | | | |
| 1 0000 | Sale | 06/11/10 | 11/21/13 | 132.74 | 64.84 | 0.00 | 67.90 | |
| COCA COLA COM | | | | | | | | |
| 10 0000 | Sale | 03/05/10 | 11/26/13 | 403.68 | 272.60 | 0.00 | 131.08 | |
| D R HORTON INC | | | | | | | | |
| 1 0000 | Sale | 07/18/08 | 11/11/13 | 17.90 | 11.08 | 0.00 | 6.82 | |
| E M C CORPORATION MASS | | | | | | | | |
| 5 0000 | Sale | 04/22/09 | 03/07/13 | 120.96 | 63.45 | 0.00 | 57.51 | |
| 2 0000 | Sale | 06/03/09 | 03/07/13 | 48.39 | 24.82 | 0.00 | 23.57 | |
| 6 0000 | Sale | 06/03/09 | 04/29/13 | 135.04 | 74.45 | 0.00 | 60.59 | |
| 9 0000 | Sale | 06/03/09 | 07/11/13 | 220.23 | 111.68 | 0.00 | 108.55 | |
| 4 0000 | Sale | 10/30/09 | 07/11/13 | 97.88 | 66.44 | 0.00 | 31.44 | |
| Security Subtotal | | | | 622.50 | 340.84 | 0.00 | 281.66 | |
| EXPRESS SCRIPTS HLDG CO | | | | | | | | |
| 3 0000 | Sale | 07/18/08 | 02/08/13 | 167.27 | 94.22 | 0.00 | 73.05 | |
| 3 0000 | Sale | 07/18/08 | 02/11/13 | 165.62 | 94.21 | 0.00 | 71.41 | |
| 2 0000 | Sale | 07/18/08 | 04/02/13 | 116.78 | 62.81 | 0.00 | 53.97 | |
| 12 0000 | Sale | 06/14/10 | 04/02/13 | 700.70 | 640.82 | 0.00 | 59.88 | |
| 1 0000 | Sale | 06/14/10 | 05/10/13 | 61.42 | 53.40 | 0.00 | 8.02 | |
| Security Subtotal | | | | 1,211.79 | 945.46 | 0.00 | 266.33 | |
| GLOBAL PMTS INC GEORGIA | | | | | | | | |
| 1 0000 | Sale | 01/30/09 | 10/28/13 | 59.66 | 35.08 | 0.00 | 24.58 | |
| GOLDMAN SACHS GROUP INC | | | | | | | | |
| 1 0000 | Sale | 04/07/10 | 02/21/13 | 151.34 | 177.27 | 0.00 | (25.93) | |
| 1 0000 | Sale | 07/29/10 | 02/21/13 | 151.34 | 149.46 | 0.00 | 1.88 | |
| 1 0000 | Sale | 07/29/10 | 04/29/13 | 145.09 | 149.46 | 0.00 | (4.37) | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---------------------------|--------------------------|------------------------|-----------------------------|-----------------|---------------|-----------------------------|-----------------|---------|
| GOLDMAN SACHS GROUP INC | | CUSIP Number | 38141G104 | | | | | |
| 1 0000 Sale | | 07/29/10 | 05/03/13 | 145.35 | 149.46 | 0.00 | (4.11) | |
| 1 0000 Sale | | 10/20/10 | 05/03/13 | 145.35 | 157.06 | 0.00 | (11.71) | |
| | Security Subtotal | | | 738.47 | 782.71 | 0.00 | (44.24) | |
| HELIX ENERGY SOLUTIONS | | CUSIP Number | 42330P107 | | | | | |
| 1 0000 Sale | | 08/11/08 | 03/15/13 | 23.80 | 29.41 | 0.00 | (5.61) | |
| 9 0000 Sale | | 08/11/08 | 09/11/13 | 236.37 | 264.66 | 0.00 | (28.29) | |
| 1 0000 Sale | | 08/12/08 | 09/11/13 | 26.26 | 30.07 | 0.00 | (3.81) | |
| 4 0000 Sale | | 10/15/08 | 09/11/13 | 105.06 | 47.62 | 0.00 | 57.44 | |
| 6 0000 Sale | | 10/15/08 | 09/12/13 | 158.44 | 71.42 | 0.00 | 87.02 | |
| 3 0000 Sale | | 03/16/09 | 09/12/13 | 79.22 | 14.59 | 0.00 | 64.63 | |
| 7 0000 Sale | | 08/19/10 | 09/12/13 | 184.87 | 63.65 | 0.00 | 121.22 | |
| 2 0000 Sale | | 08/19/10 | 09/13/13 | 53.18 | 18.19 | 0.00 | 34.99 | |
| 6 0000 Sale | | 08/20/10 | 09/13/13 | 159.56 | 53.78 | 0.00 | 105.78 | |
| | Security Subtotal | | | 1,026.76 | 593.39 | 0.00 | 433.37 | |
| HARSCO CORPORATION | | CUSIP Number | 415864107 | | | | | |
| 5 0000 Sale | | 07/18/08 | 09/12/13 | 125.49 | 264.05 | 0.00 | (138.56) | |
| 3 0000 Sale | | 07/18/08 | 09/13/13 | 75.00 | 158.43 | 0.00 | (83.43) | |
| 1 0000 Sale | | 06/29/10 | 09/13/13 | 25.01 | 23.89 | 0.00 | 1.12 | |
| 2 0000 Sale | | 06/29/10 | 09/16/13 | 52.71 | 47.78 | 0.00 | 4.93 | |
| 2 0000 Sale | | 06/30/10 | 09/16/13 | 52.71 | 48.03 | 0.00 | 4.68 | |
| | Security Subtotal | | | 330.92 | 542.18 | 0.00 | (211.26) | |
| INTL GAME TECHNOLOGY | | CUSIP Number | 459902102 | | | | | |
| 1 0000 Sale | | 07/18/08 | 11/11/13 | 17.67 | 22.48 | 0.00 | (4.81) | |
| INTEGRYS ENERGY GROUP INC | | CUSIP Number | 45822P105 | | | | | |
| 1 0000 Sale | | 07/18/08 | 03/15/13 | 55.96 | 49.46 | 0.00 | 6.50 | |
| INTL RECTIFIER CORP | | CUSIP Number | 460254105 | | | | | |
| 6 0000 Sale | | 07/18/08 | 07/11/13 | 138.07 | 108.72 | 0.00 | 29.35 | |
| 5 0000 Sale | | 07/18/08 | 07/12/13 | 116.44 | 90.60 | 0.00 | 25.84 | |
| 3 0000 Sale | | 07/18/08 | 07/15/13 | 70.38 | 54.36 | 0.00 | 16.02 | |
| | Security Subtotal | | | 324.89 | 253.68 | 0.00 | 71.21 | |

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1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|--------------------------|--------------------------|------------------------|-----------------------------|-----------------|---------------|-----------------------------|----------------|---------|
| | | | | | | | | |
| JEFFERIES GROUP INC NEW | | CUSIP Number | 472319102 | | | | | |
| 8 0000 Sale | | 07/18/08 | 01/18/13 | 149.51 | 143.36 | 0.00 | 6.15 | |
| 5 0000 Sale | | 07/18/08 | 01/22/13 | 94.52 | 89.60 | 0.00 | 4.92 | |
| 4 0000 Sale | | 10/14/08 | 01/22/13 | 75.63 | 70.12 | 0.00 | 5.51 | |
| | Security Subtotal | | | 319.66 | 303.08 | 0.00 | 16.58 | |
| JPMORGAN CHASE & CO | | CUSIP Number | 46625H100 | | | | | |
| 1 0000 Sale | | 04/15/10 | 05/29/13 | 54.35 | 48.13 | 0.00 | 6.22 | |
| 1 0000 Sale | | 04/15/10 | 11/11/13 | 53.93 | 48.13 | 0.00 | 5.80 | |
| | Security Subtotal | | | 108.28 | 96.26 | 0.00 | 12.02 | |
| JOY GLOBAL INC DEL COM | | CUSIP Number | 481165108 | | | | | |
| 3 0000 Sale | | 09/18/09 | 03/07/13 | 185.95 | 144.92 | 0.00 | 41.03 | |
| 1 0000 Sale | | 09/18/09 | 03/11/13 | 61.72 | 48.31 | 0.00 | 13.41 | |
| 2 0000 Sale | | 09/18/09 | 03/12/13 | 121.70 | 96.62 | 0.00 | 25.08 | |
| 1 0000 Sale | | 09/18/09 | 03/15/13 | 61.81 | 48.31 | 0.00 | 13.50 | |
| 2 0000 Sale | | 09/18/09 | 05/08/13 | 122.52 | 96.61 | 0.00 | 25.91 | |
| | Security Subtotal | | | 553.70 | 434.77 | 0.00 | 118.93 | |
| JOHNSON AND JOHNSON COM | | CUSIP Number | 478160104 | | | | | |
| 1 0000 Sale | | 10/06/10 | 07/24/13 | 91.96 | 62.72 | 0.00 | 29.24 | |
| 2 0000 Sale | | 10/20/10 | 07/24/13 | 183.94 | 127.86 | 0.00 | 56.08 | |
| | Security Subtotal | | | 275.90 | 190.58 | 0.00 | 85.32 | |
| LOCKHEED MARTIN CORP | | CUSIP Number | 539830109 | | | | | |
| 5 0000 Sale | | 07/18/08 | 04/03/13 | 477.07 | 503.01 | 0.00 | (25.94) | |
| 1 0000 Sale | | 09/05/08 | 07/31/13 | 120.28 | 112.94 | 0.00 | 7.34 | |
| 1 0000 Sale | | 09/17/08 | 07/31/13 | 120.28 | 109.99 | 0.00 | 10.29 | |
| 1 0000 Sale | | 11/05/08 | 11/11/13 | 136.60 | 85.67 | 0.00 | 50.93 | |
| 1 0000 Sale | | 11/05/08 | 12/04/13 | 137.41 | 85.67 | 0.00 | 51.74 | |
| 1 0000 Sale | | 01/23/09 | 12/04/13 | 137.42 | 81.35 | 0.00 | 56.07 | |
| | Security Subtotal | | | 1,129.06 | 978.63 | 0.00 | 150.43 | |
| METLIFE INC COM | | CUSIP Number | 59156R108 | | | | | |
| 1 0000 Sale | | 10/22/08 | 11/11/13 | 49.51 | 31.33 | 0.00 | 18.18 | |
| NESTLE S A REP RG SH ADR | | CUSIP Number | 641069406 | | | | | |
| 1 0000 Sale | | 07/18/08 | 11/11/13 | 71.76 | 42.51 | 0.00 | 29.25 | |

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2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|-------------------------------|-------------------------|------------------------|-----------------------------|---------------|---------------|-----------------------------|----------------|---------|
| NORTHROP GRUMMAN CORP | | | | | | | | |
| 2 0000 | Sale | CUSIP Number 03/24/09 | 04/10/13 | 143.86 | 75.66 | 0.00 | 68.20 | |
| 2 0000 | Sale | 07/29/09 | 04/10/13 | 143.86 | 81.27 | 0.00 | 62.59 | |
| 2 0000 | Sale | 07/29/09 | 04/11/13 | 144.93 | 81.26 | 0.00 | 63.67 | |
| 2 0000 | Sale | 10/20/10 | 04/11/13 | 144.94 | 110.00 | 0.00 | 34.94 | |
| Security Subtotal | | | | 577.59 | 348.19 | 0.00 | 229.40 | |
| ORACLE CORP \$0.01 DEL | | | | | | | | |
| 1 0000 | Sale | CUSIP Number 09/28/10 | 11/11/13 | 34.42 | 27.17 | 0.00 | 7.25 | |
| PPG INDUSTRIES INC SHS | | | | | | | | |
| 2 0000 | Sale | CUSIP Number 07/18/08 | 10/10/13 | 329.21 | 121.39 | 0.00 | 207.82 | |
| 2 0000 | Sale | 07/18/08 | 10/30/13 | 361.41 | 121.38 | 0.00 | 240.03 | |
| 1 0000 | Sale | 10/20/10 | 11/06/13 | 182.78 | 77.36 | 0.00 | 105.42 | |
| Security Subtotal | | | | 873.40 | 320.13 | 0.00 | 553.27 | |
| PULTE GROUP | | | | | | | | |
| 6 0000 | Sale | CUSIP Number 07/18/08 | 01/11/13 | 115.94 | 68.10 | 0.00 | 47.84 | |
| 1 0000 | Sale | 10/06/08 | 01/11/13 | 19.32 | 12.55 | 0.00 | 6.77 | |
| 14 0000 | Sale | 09/09/09 | 01/11/13 | 270.53 | 172.46 | 0.00 | 98.07 | |
| Security Subtotal | | | | 405.79 | 253.11 | 0.00 | 152.68 | |
| PFIZER INC | | | | | | | | |
| 10 0000 | Sale | CUSIP Number 03/24/10 | 01/08/13 | 260.73 | 175.69 | 0.00 | 85.04 | |
| 2 0000 | Sale | 03/24/10 | 11/11/13 | 62.44 | 35.14 | 0.00 | 27.30 | |
| Security Subtotal | | | | 323.17 | 210.83 | 0.00 | 112.34 | |
| PRECISION CASTPARTS | | | | | | | | |
| 1 0000 | Sale | CUSIP Number 07/27/10 | 01/17/13 | 185.51 | 120.69 | 0.00 | 64.82 | |
| 2 0000 | Sale | 07/28/10 | 09/26/13 | 459.99 | 245.02 | 0.00 | 214.97 | |
| Security Subtotal | | | | 645.50 | 365.71 | 0.00 | 279.79 | |
| RAYMOND JAMES FINL INC | | | | | | | | |
| 1 0000 | Sale | CUSIP Number 07/18/08 | 03/15/13 | 47.43 | 27.66 | 0.00 | 19.77 | |
| 1 0000 | Sale | 07/18/08 | 10/28/13 | 46.26 | 27.66 | 0.00 | 18.60 | |
| Security Subtotal | | | | 93.69 | 55.32 | 0.00 | 38.37 | |

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2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---|-------------------------|--|---|----------------------------|--------------------------|-----------------------------|---------------------------|---------|
| RANGE RESOURCES CORP DEL 2 0000 Sale | | CUSIP Number 07/18/08 | 75281A109 03/07/13 | 158 31 | 117 74 | 0 00 | 40 57 | |
| 1 0000 Sale | | 07/18/08 | 03/11/13 | 79 24 | 58 87 | 0 00 | 20 37 | |
| 1 0000 Sale | | 09/05/08 | 03/12/13 | 78 79 | 44 77 | 0 00 | 34 02 | |
| Security Subtotal | | | | 316.34 | 221 38 | 0.00 | 94.96 | |
| SCHLUMBERGER LTD 2 0000 Sale | | CUSIP Number 11/16/10 | 806857108 01/30/13 | 158 06 | 146 68 | 0 00 | 11 38 | |
| SBA COMMUNICATIONS CRP A 1 0000 Sale | | CUSIP Number 01/14/09 | 78388J106 10/28/13 | 87 35 | 17 38 | 0 00 | 69 97 | |
| THE SCOTTS MIRACLE GRO CO 1 0000 Sale | | CUSIP Number 07/18/08 | 810186106 03/15/13 | 45 66 | 19 34 | 0 00 | 26 32 | |
| STANLEY BLACK & DECKER INC 1 0000 Sale | | CUSIP Number 08/11/10 | 854502101 02/21/13 | 76 13 | 55 89 | 0 00 | 20 24 | |
| STARBUCKS CORP 2 0000 Sale 7 0000 Sale 2 0000 Sale | | CUSIP Number 05/12/10 05/12/10 09/27/10 | 855244109 07/10/13 11/07/13 11/07/13 | 135 65 555 34 158 68 | 55 69 194 92 52 56 | 0 00 0 00 0 00 | 79 96 360 42 106 12 | |
| Security Subtotal | | | | 849.67 | 303.17 | 0.00 | 546.50 | |
| TRAVELERS COS INC 2 0000 Sale 1 0000 Sale | | CUSIP Number 04/01/09 11/04/09 | 89417E109 02/06/13 02/06/13 | 156 90 78 46 | 82 81 51 30 | 0 00 0 00 | 74 09 27 16 | |
| Security Subtotal | | | | 235.36 | 134.11 | 0.00 | 101.25 | |
| UNITED NAT FOODS INC 1 0000 Sale | | CUSIP Number 07/18/08 | 911163103 11/11/13 | 69 92 | 17 28 | 0 00 | 52 64 | |
| UNITEDHEALTH GROUP INC 2 0000 Sale 6 0000 Sale | | CUSIP Number 06/16/10 10/26/10 | 91324P102 01/31/13 01/31/13 | 111 30 333 93 | 63 04 224 08 | 0 00 0 00 | 48 26 109 85 | |
| Security Subtotal | | | | 445.23 | 287 12 | 0 00 | 158 11 | |

E MANDELL DE WINDT AND

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

| 1e | Quantity | Transaction Description | 1b Date of Acquisition | 1a Date of Sale or Exchange | 2a Amount | 3 Cost Basis | 5 Wash Sale Loss Disallowed | Gain or (Loss) | Remarks |
|---|--------------------------|-------------------------|--------------------------|-----------------------------|------------------|------------------|-----------------------------|------------------|---------|
| UNITED TECHS CORP | 1 0000 | COM Sale | CUSIP Number 05/13/09 | 913017109 11/11/13 | 108.54 | 50.32 | 0.00 | 58.22 | |
| V F CORPORATION | 1 0000 | Sale | CUSIP Number 07/15/10 | 918204108 01/17/13 | 151.17 | 75.72 | 0.00 | 75.45 | |
| | 1 0000 | Sale | CUSIP Number 07/16/10 | 01/17/13 | 151.17 | 75.06 | 0.00 | 76.11 | |
| | 1 0000 | Sale | CUSIP Number 10/28/10 | 01/18/13 | 149.49 | 83.20 | 0.00 | 66.29 | |
| | Security Subtotal | | | | 451.83 | 233.98 | 0.00 | 217.85 | |
| VODAFONE GROP PLC SP ADR | 10 0000 | Sale | CUSIP Number 11/05/08 | 92857W209 09/25/13 | 347.96 | 189.08 | 0.00 | 158.88 | |
| | 1 0000 | Sale | CUSIP Number 10/20/10 | 09/25/13 | 34.80 | 26.81 | 0.00 | 7.99 | |
| | Security Subtotal | | | | 382.76 | 215.89 | 0.00 | 166.87 | |
| ACE LIMITED | 3 0000 | Sale | CUSIP Number 09/08/10 | H0023R105 08/28/13 | 264.13 | 165.01 | 0.00 | 99.12 | |
| | 1 0000 | Sale | CUSIP Number 09/09/10 | 08/28/13 | 88.05 | 55.48 | 0.00 | 32.57 | |
| | Security Subtotal | | | | 352.18 | 220.49 | 0.00 | 131.69 | |
| XILINX INC | 1 0000 | Sale | CUSIP Number 07/18/08 | 983919101 03/15/13 | 38.43 | 24.29 | 0.00 | 14.14 | |
| Noncovered Long Term Capital Gains and Losses Subtotal | | | | | 17,795.62 | 12,201.61 | 0.00 | 5,594.01 | |
| NET LONG TERM CAPITAL GAINS AND LOSSES | | | | | 41,156.21 | 29,937.64 | 0.00 | 11,218.57 | |
| TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES | | | | | 64,130.66 | | | | |
| TOTAL REPORTED SALES PROCEEDS | | | | | 64,130.66 | | | | |

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales"