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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation RAUL TIJERINA JR FOUNDATION C/O BORIS A HIDALGO % BORIS A HIDALGO		A Employer identification number 33-1076963							
Number and street (or P O box number if mail is not delivered to street address) 333 CLAY ST STE 3300 Suite 3300		Room/suite	B Telephone number (see instructions) (713) 654-8111						
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77002		C If exemption application is pending, check here <input type="checkbox"/>							
G Check all that apply <table><tr><td><input type="checkbox"/> Initial return</td><td><input type="checkbox"/> Initial return of a former public charity</td></tr><tr><td><input type="checkbox"/> Final return</td><td><input type="checkbox"/> Amended return</td></tr><tr><td><input type="checkbox"/> Address change</td><td><input type="checkbox"/> Name change</td></tr></table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity								
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return								
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change								
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>							
I Fair market value of all assets at end of year (<i>from Part II, col. (c), line 16</i>) \$ 12,208,713	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (<i>Part I, column (d) must be on cash basis.</i>)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	267,210	267,210	0	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	705,638			
	b Gross sales price for all assets on line 6a 3,119,103				
	7 Capital gain net income (from Part IV, line 2) . . .		705,638		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	92,726	92,726	0	
	12 Total. Add lines 1 through 11	1,065,574	1,065,574	0	
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,950	3,712	0	1,238
	c Other professional fees (attach schedule)	30,000	22,500	0	7,500
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	8,998	4,239	0	0
	19 Depreciation (attach schedule) and depletion . . .	830			
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25,217	25,217	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	69,995	55,668	0	8,738
	25 Contributions, gifts, grants paid	521,869			521,869
	26 Total expenses and disbursements. Add lines 24 and 25	591,864	55,668	0	530,607
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	473,710			
	b Net investment income (if negative, enter -0-)		1,009,906		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			63,542	264,758	264,758
	2	Savings and temporary cash investments			340,842	351,854	351,854
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			6,480	7,781	7,781
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)			5,957,065	<input checked="" type="checkbox"/> 6,396,943	7,668,679
	c	Investments—corporate bonds (attach schedule).			3,799,080	<input checked="" type="checkbox"/> 3,619,389	3,777,495
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)			36,499	<input checked="" type="checkbox"/> 35,669	132,680
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
Liabilities	15	Other assets (describe ▶ _____)	<input checked="" type="checkbox"/>		4,414	<input checked="" type="checkbox"/> 5,466	<input checked="" type="checkbox"/> 5,466
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			10,207,922	10,681,860	12,208,713
	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)	<input checked="" type="checkbox"/>		0	<input checked="" type="checkbox"/> 228	
	23	Total liabilities (add lines 17 through 22)			0	228	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted			10,207,922	10,681,632	
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see page 17 of the instructions)			10,207,922	10,681,632	
Net Assets or Fund Balances	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			10,207,922	10,681,860	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,207,922
2	Enter amount from Part I, line 27a	2	473,710
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	10,681,632
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,681,632

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	DETAILS PER ATTACHED PDF FILE	P		
b	DETAILS PER ATTACHED PDF FILE	P		
c	DETAILS PER ATTACHED PDF FILE	P		
d	CAPITAL GAIN DIVIDENDS	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 250,638		237,529	13,109
b 107,963		107,843	120
c 2,447,440		2,068,093	379,347
d			313,062
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			13,109
b			120
c			379,347
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	705,638
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	549,783	10,867,667	0 050589
2011	521,575	11,253,793	0 046347
2010	456,052	10,851,186	0 042028
2009	545,443	9,760,203	0 055884
2008	443,575	10,736,786	0 041314

2 Total of line 1, column (d).	2	0 236162
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047232
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	11,548,309
5 Multiply line 4 by line 3.	5	545,450
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	10,099
7 Add lines 5 and 6.	7	555,549
8 Enter qualifying distributions from Part XII, line 4.	8	530,607

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	20,198
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	20,198
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20,198
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,781
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	7,781
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12,417
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> <input type="checkbox"/>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input type="checkbox"/>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address www RaulTijerinaJrFoundation org				
14	The books are in care of BORIS A HIDALGO Telephone no (713) 654-8111 Located at 333 CLAY ST SUITE 3300 HOUSTON TX ZIP+4 77002			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	11,544,188
b	Average of monthly cash balances.	1b	34,057
c	Fair market value of all other assets (see instructions).	1c	145,927
d	Total (add lines 1a, b, and c).	1d	11,724,172
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,724,172
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	175,863
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,548,309
6	Minimum investment return. Enter 5% of line 5.	6	577,415

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	577,415
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	20,198
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	20,198
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	557,217
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	557,217
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	557,217

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	530,607
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	530,607
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	530,607
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				557,217
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			524,142	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 530,607				
a Applied to 2012, but not more than line 2a			524,142	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				6,465
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				550,752
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	0			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RAUL TIJERINA JR FOUNDATION
C/O BORIS HIDALGO 333 CLAY STREET
HOUSTON, TX 770024499
(713) 951-5857

b

The form in which applications should be submitted and information and materials they should include

THE RAUL TIJERINA JR FOUNDATION GRANT APPLICATION GUIDELINES CAN BE FOUND AT THE FOUNDATION'S WEBSITE, WWW.RAULTIJERINAJRFOUNDATION.ORG

c

Any submission deadlines

LETTERS OF INQUIRY MUST BE RECEIVED BY APRIL 1. GRANT APPLICATIONS MUST BE RECEIVED BY AUGUST 1

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE RAUL TIJERINA JR FOUNDATION GRANT APPLICATION GUIDELINES CAN BE FOUND AT THE FOUNDATION'S WEBSITE, WWW.RAULTIJERINAJRFOUNDATION.ORG

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	521,869
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HORTENSIA C TIJERINA 325 CALLE CENIZO BROWNSVILLE, TX 78520	PRESIDENT 30	0	0	0
CARLOS A LOZANO MD 429 BROADVIEW DRIVE WEST SAN ANTONIO, TX 78228	VICE-PRESIDENT 30	0	0	0
BORIS A HIDALGO 333 CLAY STREET SUITE 3300 HOUSTON, TX 77002	SECRETARY/TREASURER 30	0	0	0
CULLEN R LOONEY 506 E CANTON EDINBURG, TX 78539	DIRECTOR 30	0	0	0
MIKE A HERNANDEZ II 6947 QUARTERWAY DRIVE DALLAS, TX 75248	DIRECTOR 30	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEXAS A&M UNIVERSITY PO BOX 30016 COLLEGE STATION,TX 77842	NONE	PC	SCHOLARSHIPS	38,000
BOY & GIRLS CLUB OF EDINBURG RIO GRAND VALLEY 702 CULLEN STREET EDINBURG,TX 78541	NONE	PC	GENERAL PURPOSE GRANT	20,000
TEXAS STATE UNIVERSITY 607 UNIVERSITY DRIVE SAN MARCOS,TX 78666	NONE	PC	SCHOLARSHIPS	3,000
UNIVERSITY OF TEXAS AT AUSTIN 1 UNIVERSITY STATION AUSTIN,TX 78712	NONE	PC	SCHOLARSHIPS	45,000
UNIVERSITY OF TEXAS PAN AMERICAN 1201 W UNIVERSITY DRIVE EDINBERG,TX 78539	NONE	PC	SCHOLARSHIPS	21,122
GUADALUPE REGIONAL MIDDLE SCHOOL 1214 LINCOLN STREET BROWNSVILLE,TX 78521	NONE	PC	TUITION ASSISTANCE FOR LOW-INCOME CHILDREN	30,000
INCARNATE WORD ACADEMY 2920 SOUTH ALAMEDA CORPUS CHRISTI,TX 78404	NONE	PC	TUITION ASSISTANCE FOR LOW-INCOME CHILDREN	30,000
RIO GRANDE VALLEY BALLET 1025 W JACKSON ST HARLINGEN,TX 78550	NONE	PC	HOLIDAY ARTS PROGRAM FOR LOW-INCOME CHILDREN	4,372
TEXAS STATE TECHNICAL COLLEGE 3801 CAMPUS DRIVE WACO,TX 76705	NONE	PC	SCHOLARSHIP	3,000
ARTE PUBLICO PRESS 4902 GULF FWY BLDG 19 ROOM 100 HOUSTON,TX 772042004	NONE	PC	GENERAL PURPOSE GRANT	25,000
CHILDREN'S MUSEUM OF BROWNSVILLE 501 RINGGOLD STREET 5 BROWNSVILLE,TX 78520	NONE	PC	GENERAL PURPOSE GRANT	10,700
COMFORT HOUSE SERVICES INC 617 DALLAS AVE MCALLEN,TX 78501	NONE	PC	GENERAL PURPOSE GRANT	20,000
FOOD BANK OF THE RIO GRANDE VALLEY 724 NORTH CAGE BLVD PHARR,TX 78502	NONE	PC	GENERAL PURPOSE GRANT	36,160
FOSTER ANGELS OF SOUTH TEXAS FOUNDATION PO BOX 18863 CORPUS CHRISTI,TX 78480	NONE	PC	GENERAL PURPOSE GRANT	6,000
GIRL SCOUTS OF GREATER SOUTH TEXAS 1109 WNOLANA SUITE 202 MCALLEN,TX 78501	NONE	PC	GENERAL PURPOSE GRANT	25,000
Total  3a				521,869

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOPE FAMILY HEALTH CENTER 2332 W JORDAN AVE MCALLEN, TX 78503	NONE	PC	GENERAL PURPOSE GRANT	15,000
INFANT & FAMILY NUTRITION AGENCY 1225 BOCA CHICA BLVD ROOM 5 BROWNSVILLE, TX 78520	NONE	PC	GENERAL PURPOSE GRANT	15,000
MUSEUM OF SOUTH TEXAS HISTORY 200 N CLOSNER BLVD EDINBURG, TX 78541	NONE	PC	GENERAL PURPOSE GRANT	25,000
PALMER DRUG ABUSE PROGRAM MCALLEN INC 115 N 9TH ST MCALLEN, TX 78501	NONE	PC	GENERAL PURPOSE GRANT	20,000
RIO GRANDE CHILDREN'S HOME INC 3780 N BENTSEN PALM DR MISSION, TX 78574	NONE	PC	GENERAL PURPOSE GRANT	20,000
SAM HOUSTON STATE UNIVERSITY 1806 AVENUE J HUNTSVILLE, TX 77340	NONE	PC	SCHOLARSHIP	3,000
TEACH FOR AMERICA 200 S 10TH STREET SUITE 800 MCALLEN, TX 78501	NONE	PC	GENERAL PURPOSE GRANT	25,000
UNIVERSITY OF HOUSTON 4800 CALHOUN RD HOUSTON, TX 77004	NONE	PC	SCHOLARSHIP	3,000
UNIVERSITY OF NORTH TEXAS 1155 UNION CIR DENTON, TX 76203	NONE	PC	SCHOLARSHIP	3,000
UNIVERSITY OF TEXAS AT SAN ANTONIO 1 UTSA CIR SAN ANTONIO, TX 78249	NONE	PC	SCHOLARSHIP	12,000
UNIVERSITY OF TEXAS PAN AMERICAN FOUNDATION 1201 W UNIVERSITY DRIVE EDINBURG, TX 78539	NONE	PC	GENERAL PURPOSE GRANT	40,000
VALLEY NATURE CENTER 301 S BORDER AVE WESLACO, TX 78596	NONE	PC	GENERAL PURPOSE GRANT	25,000
RIO GRANDE VALLEY DIABETES ASSOCIATION 4121 N 10TH ST MCALLEN, TX 78504	NONE	PC	REFUND OF 2011 GRANT	-1,485
Total  3a				521,869

TY 2013 Accounting Fees Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SWANK & SALCH, P C	4,950	3,712	0	1,238

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: RAUL TIJERINA JR FOUNDATION
C/O BORIS A HIDALGO
EIN: 33-1076963

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**TY 2013 Investments Corporate
Bonds Schedule**

Name: RAUL TIJERINA JR FOUNDATION
C/O BORIS A HIDALGO

EIN: 33-1076963

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FROST BANK INVESTMENT ACCOUNT	3,619,389	3,777,495

TY 2013 Investments Corporate Stock Schedule

Name: RAUL TIJERINA JR FOUNDATION
C/O BORIS A HIDALGO

EIN: 33-1076963

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FROST BANK INVESTMENT ACCOUNT	6,396,943	7,668,679

TY 2013 Investments - Land Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

TY 2013 Investments - Other Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MINERALS NET OF DEPLETION	AT COST	35,669	132,680

TY 2013 Land, Etc. Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

TY 2013 Other Assets Schedule

Name: RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
A/R - ROYALTY PAYMENTS	0	1,183	1,183
A/R - CAPITAL GAIN DISTR	0	1,112	1,112
A/R - DIVIDENDS	3,946	3,171	3,171
A/R - HORTENSIA TIJERINA	468	0	0

TY 2013 Other Expenses Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RENT AND ROYALTY EXPENSES		5,933		
BANK FEES	73	73	0	0
DUES	2,420	2,420	0	0
INVESTMENT FEES	14,394	14,394	0	0
D & O INSURANCE	1,200	1,200	0	0
OFFICE EXPENSES	1,197	1,197	0	0
Rent and Royalty Expense	5,933			

TY 2013 Other Liabilities Schedule

Name: RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Description	Beginning of Year - Book Value	End of Year - Book Value
A/P - HORTENSIA TIJERINA	0	228

TY 2013 Other Professional Fees Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PROFESSIONAL FEES	30,000	22,500	0	7,500

TY 2013 Taxes Schedule**Name:** RAUL TIJERINA JR FOUNDATION

C/O BORIS A HIDALGO

EIN: 33-1076963

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	4,759	0	0	0
FOREIGN TAXES	2,958	2,958	0	0
PROPERTY TAXES	1,281	1,281	0	0

2013 Tax Information Statement

Page 6 of 31
Ref: 33

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

Account Number: H51888
Recipient's Tax ID Number: 33-1076963
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: TX
State: (713)388-7854

Recipient's Name and Address:
THE RAUL TIJERINA JR FONDATION
C/O BORIS HIDALGO
333 CLAY STREET, SUITE 3300
HOUSTON, TX 77002

Questions? ☐ Corrected ☐ 2nd TIN notice

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
00766Y828	FROST LOW DURATION BOND FD I*	01/29/2013	07/26/2012	FILDY	168,678.89	168,838.17	0.00	-159.28	0.00
00766Y844	FROST SMALL CAP EQUITY FUND CL I*	10/23/2013	01/29/2013	FIHSX	81,958.84	68,690.76	0.00	13,268.08	0.00
7581.7610					250,637.73	237,528.93	0.00	13,108.80	0.00
Total Short Term Sales Reported on 1099-B									
Report on Form 8949, Part I, with Box A checked									
00766Y828	FROST LOW DURATION BOND FD I*	10/23/2013	07/26/2012	FILDY	85,158.10	86,380.48	0.00	-1,222.38	0.00
8149.1020					22,804.79	21,462.22	0.00	1,342.57	0.00
00766Y455	FROST NATURAL RESOURCES - INST FUND*	10/23/2013	01/27/2012	FNRFY	107,962.89	107,842.70	0.00	120.19	0.00
1890.9440									
Total Long Term Sales Reported on 1099-B									
Report on Form 8949, Part II, with Box D checked									

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 7 of 31
Ref: 33

Recipient's Name and Address:
THE RAUL TIJERINA JR FONDATION
C/O BORIS HIDALGO
333 CLAY STREET, SUITE 3300
HOUSTON, TX 77002

Account Number: H51888
Recipient's Tax ID Number: 33-1076963
Payer's Federal ID Number: 74-6036463
Payer's State ID Number: TX
State: (713)388-7854
Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
FROST BANK TRUSTEE
P O BOX 2950, TAX DEPT T-8
SAN ANTONIO, TX 78299

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip (Box 1e)	Description (Box 8)		Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Quantity Sold (Box 1a)	Date of Sale or Exchange (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain, or Loss		
Long Term Sales Reported on 1099-B								
00766Y877	FROST GROWTH EQUITY FUND INSTL*		FICEX					
7729.3550	01/29/2013	09/06/2006	87,805.47	74,151.78	0.00	13,653.69	0.00	0.00
00766Y877	FROST GROWTH EQUITY FUND INSTL*		FICEX					
12834.5120	10/23/2013	Various	173,009.22	123,597.04	0.00	49,412.18	0.00	0.00
00766Y851	FROST KEMPNER MULTICAP DEEP VAL EQ*		FIKDX					
5290.1330	10/23/2013	Various	58,984.97	53,389.90	0.00	5,595.07	0.00	0.00
00766Y828	FROST LOW DURATION BOND FD I*		FILDY					
39961.1760	01/29/2013	Various	423,188.86	415,052.40	0.00	8,136.46	0.00	0.00
00766Y844	FROST SMALL CAP EQUITY FUND CL I*		FIHSX					
64935.3390	10/23/2013	Various	701,951.03	484,791.75	0.00	217,159.28	0.00	0.00
00766Y810	FROST TOTAL RETURN BOND FUND INSTL*		FUJEX					
13511.7890	10/23/2013	Various	147,008.25	137,012.40	0.00	9,995.85	0.00	0.00
00766Y869	FROST VALUE EQUITY FD INS*		FIDVX					
8166.2370	01/29/2013	01/17/2007	78,804.18	83,959.07	0.00	-5,154.89	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 8 of 31
Ref: 33

Account Number: H51888
Recipient's Tax ID Number: 33-1076963
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State: (713)388-7854
Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE RAUL TIJERINA JR FONDATION
C/O BORIS HIDALGO
333 CLAY STREET, SUITE 3300
HOUSTON, TX 77002

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information is being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Quantity Sold	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
00766Y869	(Box 1a) (Box 1b) FROST VALUE EQUITY FD INS*	(Box 1c) Various	9241.3840	FIDVX	100,361.44	94,553.24	0.00	5,808.20	0.00
416649804	HARTFORD FLOATING RATE FUND CL I*	10/23/2013	9762.3260	HFLIX	87,860.93	87,275.20	0.00	585.73	0.00
00141T163	INVESTCO DEV MARKETS FUND INSTL*	10/23/2013	549.5020	GTDIX	19,111.67	15,375.07	0.00	3,736.60	0.00
466000122	IVY HIGH INCOME FUND CLASS I*	01/29/2013	25468.0370	IVHIX	220,807.88	181,255.21	0.00	39,552.67	0.00
77956H302	T ROWE PRICE INTERNATIONAL DISCOVERY	01/29/2013	601.4050	PRIDX	28,723.08	21,626.52	0.00	7,096.56	0.00
880208400	TEMPLETON GLOBAL BOND FUND*	07/24/2013	13335.3060	TGBAX	174,559.14	174,282.18	0.00	276.96	0.00
922031737	VANGUARD INFL-PROTECTED SECS ADM*	12/06/2013	5626.0310	VAIPX	145,264.13	121,771.00	0.00	23,493.13	0.00
Total Long Term Sales Reported on 1099-B					2,447,440.25	2,068,092.76	0.00	379,347.49	0.00

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.