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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning

, 2013, and ending

DREAM ENVISION FOUNDATION
C\O BACHTELLE VOSSMAN & COMPANY
2 PARK PLAZA #300
IRVINE, CA 92614

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year
(from Part II, column (c), line 16)
▶ \$ 5,898,550.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	153,987.	160,107.	160,107.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	4,751,109.	4,786,139.	5,738,443.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-)
---	--	--	---

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☐ and enter 1% of Part I, line 27b		1 9,097.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-.)		2 0.
3 Add lines 1 and 2		3 9,097.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-.)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5 9,097.
6 Credits/Payments		
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a 2,806.	
b Exempt foreign organizations — tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d		

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>SUSAN BACHTELLE</u> Telephone no <u>949-851-6271</u> Located at <u>2 PARK PLAZA IRVINE CA</u> ZIP + 4 <u>92614</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	5,473,813.
b Average of monthly cash balances	1 b	200,520.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	5,674,333.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,674,333.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	85,115.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,589,218.
6 Minimum investment return. Enter 5% of line 5	6	279,461.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				270,364.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	180,629.			
b From 2009	250,304.			
c From 2010	198,641.			
d From 2011	220,119.			
e From 2012	158,101.			
f Total of lines 3a through e	1,007,794.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 412,915.				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total				3 a
b Approved for future payment				
Total				3 b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					120,350.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property				</	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
(2) Other assets

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1 a (1)		X
1 a (2)		X
1 b (1)		X
1 b (2)		X
1 b (3)		X
1 b (4)		X
1 b (5)		X
1 b (6)		X
1 c		X

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	DREAM ENVISION FOUNDATION C/O BACHTELLE VOSSMAN & COMPANY	33-0935544
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	BACHTELLE VOSSMAN & COMPANY 2 PARK PLAZA, SUITE 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	IRVINE, CA 92614-8513	

Enter the Return code for the return that this application is for (file a separate application for each return)

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FEDERAL STATEMENTS

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CLIENT DRE01

DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/03/14

09 42AM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 5,885.			\$ 5,885.
TOTAL	\$ 5,885.	\$ 0.	\$ 0.	\$ 5,885.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX	\$ 10.			\$ 10.
OTHER TAXES	50.			50.
TOTAL	\$ 60.	\$ 0.	\$ 0.	\$ 60.

CLIENT DRE01

DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/03/14

09 42AM

STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	DIABLED PERSON ASSTNCE.	
DONEE'S NAME:	SEED BUSINESS NETWORK	
DONEE'S ADDRESS:	PO BOX 9637	
	LAGUNA BEACH, CA 92652	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501(C) (3)	
AMOUNT GIVEN:		\$ 15,000.
CLASS OF ACTIVITY:	ANIMAL ASSISTANCE/WELFARE	
DONEE'S NAME:	OREGON HUMANE SOCIETY	
DONEE'S ADDRESS:	PO BOX 11364	
	PORTLAND, OR 97211	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501(C) (3)	
AMOUNT GIVEN:		36,0

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/03/14

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

DONEE'S NAME:	TRIANGLE PRODUCTIONS!	
DONEE'S ADDRESS:	8420 SW CANYON LANE #13	
	PORTLAND, OR 97335	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501 (C) 3	
AMOUNT GIVEN:		\$ 10,000.

CLASS OF ACTIVITY:	SUPPORT OF THE ARTS	
DONEE'S NAME:	THIRD RAIL	
DONEE'S ADDRESS:	P.O. BOX 82389	
	PORTLAND, OR 97202	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501 (C) (3)	
AMOUNT GIVEN:		15,000.

CLASS OF ACTIVITY:	CARE OF THE POOR	
DONEE'S NAME:	OREGON FOOD BANK	
DONEE'S ADDRESS:	P.O. BOX 55370</	

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

DONEE'S ADDRESS:	1827 NE 44TH AVENUE STE 100 PORTLAND , OR 97213	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501 (C) (3)	
AMOUNT GIVEN:		\$ 1,000.
CLASS OF ACTIVITY:	COMMUNITY ASSISTANCE	
DONEE'S NAME:	LAKE OSWEGO PRESERVATION SOCIETY	
DONEE'S ADDRESS:	P.O. BOX 502 MARYHURST, OR 97036	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501 (C) (3)	
AMOUNT GIVEN:		500.
CLASS OF ACTIVITY:	ANIMAL ASSISTANCE	
DONEE'S NAME:	THE PONGO FUND	
DONEE'S ADDRESS:	P.O. BOX 8244 PORTLAND, OR 97207	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501 (C) (3)	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	SUPPORT OF THE ARTS	

CLIENT DRE01

DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/03/14

09 42AM

**STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS**

RELATIONSHIP OF DONEE: PORTLAND, OR 97210
ORGANIZATIONAL STATUS OF DONEE: NONE
AMOUNT GIVEN: 501 (C) (3) \$ 1,000.

CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: THEATRE VERTIGO
DONEE'S ADDRESS: 4110 SE HAWTHORNE BLVD.
PORTLAND, OR 97214
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 775.

CLASS OF ACTIVITY: COMMUNITY ASSISTANCE
DONEE'S NAME: CASA FOR CHILDREN
DONEE'S ADDRESS: 1401 NE 68TH AVE
PORTLAND, OR 97213
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 500.

CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: NORTHWEST CLASSICAL THEATRE
DONEE'S ADDRESS: 2110 SE 10TH AVE
PORTLAND, OR 97214
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 500.

CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: HAND 2 MOUTH THEATRE
DONEE'S ADDRESS: 210 SE MADISON
PORTLAND, OR 97214

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/03/14

09 42AM

STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE: NONE
 ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
 AMOUNT GIVEN: \$ 550.

CLASS OF ACTIVITY: SUPPORT OF THE ARTS
 DONEE'S NAME: PLAY WRITE
 DONEE'S ADDRESS:

RELATIONSHIP OF DONEE: PORTLAND, OR 97212
 NONE
 ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
 AMOUNT GIVEN: 1,560.

TOTAL \$ 407,745.

STATEMENT 5
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE STATEMENT A ATTACHED	PURCHASED	VARIOUS	VARIOUS
2	SEE STATEMENT A ATTACHED	PURCHASED	VARIOUS	VARIOUS

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team
Ph. 415-576-8983

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
PERRIGO CO	714290103	-	01/29/13	\$13,509.90	12/19/13	\$21,126.19	\$7,616.29
	714290103	136.000	01/29/13	0.00	12/19/13	1.36	0.49
Totals				\$13,509.90		\$21,127.55	\$7,616.78
ALLERGAN INC	AGN	47.000	10/08/12	4,380.59	01/29/13	4,989.08	608.49
	AGN	21.000	11/				

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team
Ph. 415-576-8983

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EMC CORP MASS	EMC	114.000	01/29/13	\$2,758.80	09/03/13	\$2,967.36	\$208.56
EXPRESS SCRIPTS HLDG CO COM	ESRX	45.000	01/29/13	2,427.27	09/03/13	2,896.14	468.87
	ESRX	63.000	01/29/13	3,398.18	04/10/13	3,657.06	258.88
Totals				\$5,825.45		\$6,553.20	\$727.75
EXPEDITORS INTL WASH INC	EXPD	51.000	01/29/13	2,221.02	05/13/13		

Prepared by The Greenfield Team
Ph. 415-576-8983

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
45865V100		59.000	07/19/06	\$3,415.82	01/29/13	\$8,050.42	\$4,634.60
Totals				\$4,400.04		\$10,238.89	\$5,838.85
APPLE INC		6.000	08/25/06	410.41	08/20/13	3,021.04	2,610.63
		6.000	08/25/06	410.41	09/03/13	2,938.98	2,528.57
Totals				\$820.82		\$5,960.02	\$5,139.20
ALLERGAN INC		128.000	06/04/04				

Morgan Stanley

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team
Ph. 415-576-8983

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$1,789.61		\$10,339.16	\$8,549.55
LAS VEGAS SANDS CORPORATION	LVS	180.000	11/21/08	\$552.66	01/29/13	\$9,238.03	\$8,685.37
NIKE INC B	NKE	140.000	06/04/09	4,015.44	01/29/13	7,565.72	3,550.28
	NKE	100.000	09/15/09	2,728.19	01/29/13	5,404.08	2,675.89
Totals				\$6,743.63		\$12,969.80	\$6,226.17
PRICELINE.COM INC NEW	P						

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team

Ph. 415-576-8983

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
VODAFONE GP PLC ADS NEW	92857W209	5.000	01/28/13	\$135.45	09/05/13	\$164.27	\$28.82
	92857W209	6.000	01/28/13	162.54	09/04/13	192.84	30.30
	92857W209	8.000	01/28/13	216.72	09/05/13	262.83	46.11
	92857W209	55.000	01/28/13	1,489.97	04/05/13		

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team

Ph. 415-576-8983

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
APACHE CORP	APA	10.000	01/05/07	\$645.67	11/27/13	\$921.16	\$275.49
	APA	9.000	04/27/07	665.86	11/27/13	829.04	163.18
Totals				\$1,311.53		\$1,750.20	\$438.67
CA INCORPORATED	CA	7.000	02/07/05	195.46	04/05/13	170.94	(24.52)
	CA	28.000	02/08/05	780.62	04/05/13	683.74	(96.88)
	CA						

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team

Ph. 415-576-8983

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals							
GENERAL MTRS CO	GM	40.000	11/22/10	\$3,224.21		\$3,313.87	\$89.66
GOLDMAN SACHS GRP INC	GS	1.000	08/26/10	\$1,368.58	04/05/13	\$1,096.37	\$(272.21)
	GS	16.000	08/26/10	144.37	09/17/13	166.85	22.48
	GS	5.000	03/17/11	2,309.98	09/16/13	2,683.34	3

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team
Ph. 415-576-8983

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
METLIFE INCORPORATED							
	MET	10 000	10/09/08	\$282.28	04/05/13	\$364.49	\$82.21
	MET	28.000	10/09/08	790.39	12/18/13	1,419.17	628.78
	MET	37 000	10/09/08	1,044.44	09/03/13	1,742.26	697.82
	MET	9.000	12/03/09	308.25	12/18/13	456.16	147.91
Totals				\$2,425.3			

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2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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IRVINE CA 92614-8513

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	SNY	23.000	08/02/07	\$951.11	01/11/13	\$1,124.41	\$173.30
	SNY	17.000	08/03/07	704.60	01/16/13	820.14	115.54
	SNY	35.000	08/03/07	1,450.66	04/29/13	1,907.72	457.06
	SNY	45.000	08/03/07	1,865.13	04/05/13	2,287.34	422.21
	SNY	6.000	05/04/10	199.25	05/02/13	323.56	124.31
	SNY	21.000	05/04/10	697.39	04/30/13	1,141.03	

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Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
2013 Short & Long Term Totals:							\$100,306.92
							\$122,345.38
							\$22,038.46

** Gain/Loss is only calculated when an original cost basis is available.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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(PENN CAP - SM CAP OPPORTN EQ)
BACHTELLE, VOSSMAN & COMPANY
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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
BELO CORP SER A	080555105	103.000	12/31/12	\$781.09	07/01/13	\$1,439.19	\$658.10
HARRY WINSTON DIAMOND CORP	41587B100	20.000	03/09/12	283.05	01/14/13	299.59	16.54
	41587B100	48.000	03/09/12	679.33	01/22/13	718.99	39.66
Totals				\$962.38		\$1,018.58	

2013 Statement Linked Realized Gain/Loss - Detail

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ACADIA HEALTHCARE COMPANY INC	ACHC	2,000	12/06/12	\$45.05	08/12/13	\$76.35	\$31.30
	ACHC	3,000	12/06/12	67.58	04/05/13	87.35	19.77
	ACHC	4,000	12/06/12	90.11	01/14/13	98.07	7.96
	ACHC	8,000	12/06/12	180.21	03/28/13	234.47	54.26
	ACHC	14,000	12/06/12	315.37	06/18/13		

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	APKT	11.000	07/25/12	\$188.68	02/27/13	\$320.76	\$132.08
	APKT	28.000	07/25/12	480.28	02/22/13	816.78	336.50
	APKT	37.000	09/13/12	701.70	02/27/13	1,078.93	377.23
	Totals			\$3,050.61		\$3,953.17	\$902.56
AUXILIUM PHARMACEUTICALS INC	AUXL	3.000	05/22/12	57.03	04/05/13	50.06	(6.97)
	AUXL	7.000	05/22/12	133.06			

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
BURLINGTON STORES INC	BURL	18.000	10/02/13	\$416.69	12/23/13	\$555.21	\$138.52
BUFFALO WILD WINGS INC	BWLD	1.000	02/03/12	70.49	01/15/13	74.80	4.31
	BWLD	3.000	02/03/12	211.48	01/14/13	224.36	12.88
	BWLD	12.000	02/07/12	840.25	01/15/13	897.57	57.32
	BWLD	1.000	02/08/12	80.09	01/15/13	74.79	(5

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2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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BACHTELLE, VOSSMAN & COMPANY
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IRVINE CA 92614-8513

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CNC		5.000	04/09/12	\$221.05	01/14/13	\$206.99	\$(14.06)
CNC		6.000	04/09/12	265.26	03/28/13	265.07	(0.19)
Totals				\$574.73		\$557.23	\$(17.50)
DOMINION DIAMOND CORP COM							
DDC		4.000	04/12/12	56.36	03/28/13	65.40	9.04
DDC		56.000	08/22/12	698.61	05/15/13	857.26	158.65
Totals				\$754.			

2013 Statement Linked Realized Gain/Loss - Detail

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2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EVR		5.000	01/24/13	\$174.65	03/28/13	\$207.74	\$33.09
EVR		7.000	01/24/13	244.50	10/29/13	363.19	118.69
EVR		9.000	01/24/13	314.36	09/16/13	457.60	143.24
EVR		15.000	01/24/13	523.94	09/04/13	702.88	178.94
Totals				\$1,327.31		\$1,804.82	\$477.51
EAGLE MATLS INC							

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2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
FIFTH STREET FINANCE CORP COM	FNSR	12 000	03/08/13	\$181.10	03/28/13	\$158.03	\$ (23.07)
	FNSR	42 000	03/08/13	633.86	07/31/13	804.42	170.56
	FNSR	45 000	03/08/13	679.14	07/19/13	810.80	131.66
	FNSR	69 000	03/08/13	1,041.34	06/21/13	1,113.48	72.14
	FNSR	6 000	03/15/13	88.35			

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	GPOR	12.000	12/06/12	\$456.28	09/04/13	\$743.26	\$286.98
	GPOR	24.000	12/18/12	950.25	09/24/13	1,538.42	588.17
	GPOR	8.000	12/21/12	300.80	09/24/13	512.81	212.01
	GPOR	11.000	12/21/12	413.59	10/10/13	716.83	303.24
	GPOR	7.000	02/06/13	275.21	10/10/13	456.17	180.96
	GPOR	12.000	02/				

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
HLX		17.000	01/29/13	\$398.62	10/29/13	\$409.72	\$111.10
Totals				\$1,838.18		\$1,969.99	\$131.81
HEXCEL CORP NEW	HLX	3.000	01/27/12	75.15	01/14/13	82.95	7.80
INTELSAT S A.	I	15.000	04/18/13	272.23	12/17/13	322.25	50.02
	I	27.000	04/18/13	490.02	09/04/13	626.38	136.36
Totals							

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2013 Statement Linked Realized Gain/Loss - Detail

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BACHTELLE, VOSSMAN & COMPANY
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IRVINE CA 92614-8513

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MFRM		26.000	03/11/13	\$767.74	09/06/13	\$917.13	\$149.39
MFRM		20.000	04/11/13	699.32	09/06/13	705.48	6.16
Totals				\$3,000.83		\$3,736.06	\$735.23
MANITOWOC CO							
MTW		7.000	08/17/12	92.21	04/05/13	126.83	34.62
MTW		14.000	08/17/12	184.42	01/14/13	227.07	

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
POLYONE CORP							
	POL	2 000	03/12/13	\$48.91	04/05/13	\$47.53	\$(1.38)
	POL	7,000	03/12/13	171.19	03/28/13	167.57	(3.62)
	POL	9,000	03/12/13	220.10	11/26/13	286.78	66.68
	POL	26,000	03/12/13	635.85	09/04/13	718.36	82.51
Totals				\$1,076.05			

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
RF MICRO DEVICES INC	RFMD	54.000	01/25/12	\$265.95	01/14/13	\$260.81	\$(5.14)
RYMAN HOSPITALITY PPTYS INC	RHP	14.000	12/21/12	517.25	08/16/13	479.90	(37.35)
RIVERBED TECH INC	RVBD	15.000	06/05/12	238.65	01/14/13	301.79	63.14
	RVBD	25.000	06/05/12	397.74	02/28/13	385.07	(12.67)
	RVBD	20.000	07/19/12	321.80	02/28/13	308.05	(1

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2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
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BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SF		8.000	02/26/13	\$280.60	12/17/13	\$358.13	\$77.53
Totals				\$3,198.14		\$3,469.87	\$271.73
SVB FNCL GRP	SIVB	12.000	01/04/13	719.63	10/25/13	1,154.53	434.90
SANTARUS INC	SNTS	26.000	11/05/13	633.52	11/08/13	830.51	196.99
	SNTS	11.000	11/06/13	263.49	11/13/13	350.67	87.18

2013 Statement Linked Realized Gain/Loss - Detail

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TRIQUINT SEMICONDUCTOR INC DEALERTRACK TECHNOLOGIES INC	TPC	38.000	05/28/13	\$704.45	09/04/13	\$717.04	\$12.59
	TPC	8.000	05/29/13	150.77	10/22/13	191.17	40.40
	TPC	31.000	05/29/13	584.25	12/05/13	775.69	191.44
Totals				\$1,495.08		\$1,755.59	\$260.51
TTM TECH INC	TQNT	118.000					

2013 Statement Linked Realized Gain/Loss - Detail

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
VIOPHARMA INC							
Totals				\$476.37		\$629.32	\$152.95
VPHM		5.000	05/24/13	\$134.41	09/19/13	\$198.81	\$64.40
VPHM		26.000	05/24/13	698.96	09/04/13	783.10	84.14
VPHM		3.000	05/28/13	83.90	11/		

Morgan Stanley

2013 Statement Linked Realized Gain/Loss - Detail

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Ph. 415-

2013 Statement Linked Realized Gain/Loss - Detail

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
BALLY TECHNOLOGIES INC							
	BYI	2.000	04/12/10	\$85.20	04/05/13	\$99.31	\$14.11
	BYI	3.000	04/12/10	127.80	03/28/13	155.84	28.04
	BYI	3.000	04/12/10	127.80	01/14/13	139.49	11.69
	BYI	4.000	04/12/10	170.40	07/24/13	279.94	109.54</

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CHEMTURA CORP COM NEW	CDNS	5.000	10/05/11	\$46.41	07/24/13	\$77.05	\$30.64
	CDNS	6.000	10/05/11	55.69	07/03/13	87.28	31.59
	CDNS	52.000	10/05/11	482.63	07/09/13	784.16	301.53
	CDNS	32.000	10/11/11	320.96	07/24/13	493.13	172.17
	CDNS	35.000	10/11/11	351.05	0		

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CENTENE CORPORATION	CLW	2.000	09/07/11	\$73.32	01/28/13	\$91.26	\$17.94
	CLW	7.000	09/07/11	256.62	01/25/13	320.04	63.42
	CLW	3.000	10/27/11	99.20	01/29/13	136.36	37.16
	CLW	17.000	10/27/11	562.15	01/28/13	775.69	213.54
Totals				\$2,043.34		\$2,609.11	\$565.77

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
FELCOR LODGING TRUST INC							
Totals				\$293.89		\$510.63	\$216.74
FCH		18.000	04/05/11	\$111.24	01/14/13	\$86.93	\$(24.31)
FCH		10.000	09/07/11	29.60	01/14/13	48.30	18.70
FCH		18.000	09/07/11	53.28	03/28/13	108.00	54.72
FCH		17.0					

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	FWLT	33.000	04/30/12	\$759.80	10/29/13	\$904.98	\$145.18
	FWLT	5.000	05/07/12	109.14	10/29/13	137.12	27.98
	FWLT	14.000	05/07/12	305.59	11/07/13	406.77	101.18
	FWLT	6.000	08/10/12	119.28	11/07/13	174.33	55.05
	FWLT	7.000	08/10/12	139.17	11/12/13	207.41	68.24

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
HAIRC		3.000	11/02/11	\$95.46	05/20/13	\$201.17	\$105.71
HAIRC		10.000	11/02/11	318.19	05/28/13	696.40	378.21
Totals				\$824.74		\$1,689.30	\$864.56
HANCOCK HLDG CO		4.000	01/20/11	134.86	03/28/13	123.15	(11.71)
HBHC		11.000	01/20/11	370.86	01/14/13	360.57	(10.29)</

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
HME		13.000	01/30/12	\$768.12	09/16/13	\$758.10	\$(10.02)
Totals				\$2,165.72		\$2,123.81	\$(41.91)
HEXCEL CORP NEW							
HXL		12.000	09/07/11	266.64	01/14/13	331.79	65.15
HXL		3.000	01/27/12	75.15	04/05/13	84.23	9.08
HXL		7.000	01/27/12				

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BACHTELLE, VOSSMAN & COMPANY
2 PARK PLAZA, SUITE 300
IRVINE CA 92614-8513

Prepared by The Greenfield Team

Ph. 415-576-8983

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
JDS UNIPHASE CP NEW							
Totals				\$1,053.82		\$1,555.32	\$501.50
JDSU		1.000	11/01/11	\$11.48	03/28/13	\$13.52	\$2.04
JDSU		12.000	11/01/11	137.73	01/14/13	156.23	18.50
JDSU		4.000	11/23/11	39.81	04/05/13	5	

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

DREAM ENVISION FOUNDATION
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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
LIFE TIME FITNESS INC							
	LTM	4.000	01/26/10	\$92.97	01/14/13	\$202.11	\$109.14
	LTM	18.000	01/26/10	418.34	02/01/13	716.66	298.32
	LTM	8.000	02/01/11	327.87	02/01/13	318.51	(9.36)
	LTM	18.000	02/01/11	737.71	02/05/13	731.30	(6.41)
	LTM	11.000	09/				

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
OAS		37.000	02/01/12	\$1,187.24	06/18/13	\$1,565.86	\$378.62
OAS		24.000	06/06/12	622.94	06/18/13	1,015.69	392.75
Totals				\$3,337.99		\$4,607.24	\$1,269.25
PEBBLEBROOK HOTEL TR COM	PEB	20.000	04/20/12	464.32	09/04/13	519.39	55.07
PIONEER ENERGY SVCS CORP COM	PES	10.000	06/27/11	135.39	01/14		

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
RF MICRO DEVICES INC	PNK	11 000	10/12/11	\$115.49	10/07/13	\$281.12	\$165.63
Totals				\$1,934.24		\$3,440.38	\$1,506.14
	RFMD	118.000	01/25/12	581.14	03/26/13	615.32	34.18
	RFMD	21 000	02/07/12	111.56	04/05/13	108.35	(3.21)
	RFMD	34.000	02/07/12	180.61	03/26/13		

2013 Statement Linked Realized Gain/Loss - Detail

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SVB FNCL GRP	SBGI	8.000	04/08/11	\$91.99	04/08/13	\$167.26	\$75.27
	SBGI	17.000	05/05/11	176.42	04/11/13	398.57	222.15
	SBGI	20.000	05/05/11	207.55	04/08/13	418.14	210.59
	SBGI	6.000	05/06/11	62.68	04/11/13	140.67	77.99
	SBGI	8.000	05/09/11	82.05			

Morgan Stanley

2013 Statement Linked Realized Gain/Loss - Detail

As of 10/29/2014

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TRIUMPH GROUP INC							
TER		16.000	08/17/11	\$192.54	03/28/13	\$256.81	\$64.27
TER		47.000	08/17/11	565.59	09/04/13	743.52	177.93
Totals				\$963.78		\$1,276.89	\$313.11
TGI		4.000	07/13/11	206.02	03/21/13	315.01	108.99
TGI		5.000	07/13/11	257.52	01/14/13		

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TRN		10.000	09/07/11	\$264.50	05/16/13	\$416.71	\$152.21
TITAN INTL INC	Totals			\$3,465.99		\$4,347.00	\$881.01
	TWI	9.000	12/15/09	69.86	01/14/13	208.52	138.66
	TWI	17.000	12/15/09	131.96	02/08/13	442.80	310.84
	TWI	23.000	01/10/11	413.99	02/08/13	599.08	185.0

