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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2013, or tax year beginning , 2013, and ending

Name of foundation Rice Family Foundation		A Employer identification number 33-0592384
Number and street (or P.O. box number if mail is not delivered to street address) 4471 Jutland Drive		B Telephone number (see the instructions) (858) 273-3165
City or town, state or province, country, and ZIP or foreign postal code San Diego CA 92117		C If exemption application is pending, check here. ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial Return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 7,381,022		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

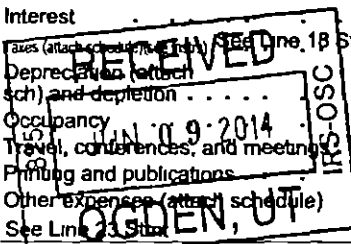
Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch.)				
2 Ch ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	211,265.	211,265.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	375,503.	L-6a Stmt		
b Gross sales price for all assets on line 6a	6,717,356.			
7 Capital gain net income (from Part IV, line 2)		375,503.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit/(loss) (att sch.)				
11 Other income (attach schedule)				
See Line 11 Stmt	225,549.	225,549.		
12 Total. Add lines 1 through 11	812,317.	812,317.	0.	
13 Compensation of officers, directors, trustees, etc.	55,000.	30,000.		25,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch.) L-16b Stmt	4,000.			4,000.
c Other prof fees (attach sch.) L-16c Stmt	46,365.	46,365.		
17 Interest				
18 Taxes (attach schedule) (att sch.) L-18 Stmt	4,885.	4,885.		
19 Depreciation (attach sch.) and depletion				
20 Occupancy	3,600.	1,800.		1,800.
21 Travel, conferences, and meetings	2,011.	983.		1,028.
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	4,206.	845.		3,351.
24 Total operating and administrative expenses. Add lines 13 through 23	120,067.	84,878.		35,179.
25 Contributions, gifts, grants paid	292,433.			292,433.
26 Total expenses and disbursements. Add lines 24 and 25	412,500.	84,878.		327,612.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	399,817.			
b Net investment income (if negative, enter -0-)		727,439.		
c Adjusted net income (if negative, enter -0-)			0.	

SCANNED JUN 23 2014

ADMINISTRATIVE AND OPERATING EXPENSES



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		468,038.	179,823.	179,823.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) L-10b Stmt		3,434,599.	4,179,061.	4,416,225.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt		1,690,324.	1,650,824.	1,738,634.
	LIABILITIES	11	Investments — land, buildings, and equipment: basis	1,046,340.		
		Less: accumulated depreciation (attach schedule) L-11 Stmt	0.	1,046,340.	1,046,340.	1,046,340.
12		Investments — mortgage loans				
13		Investments — other (attach schedule)				
14		Land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)				
15		Other assets (describe)				
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		6,639,301.	7,056,048.	7,381,022.
17		Accounts payable and accrued expenses				
18		Grants payable				
NET ASSETS OR FUND BALANCES	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)				
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	25	Unrestricted				
	26	Temporarily restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X			
	27	Capital stock, trust principal, or current funds		6,639,301.	7,056,048.	
28	Paid-in or capital surplus or land, building and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)		6,639,301.	7,056,048.		
31	Total liabilities and net assets/fund balances (see instructions)		6,639,301.	7,056,048.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,639,301.
2	Enter amount from Part I, line 27a	2	399,817.
3	Other increases not included in line 2 (itemize) Adjustment to book values-Investments	3	16,930.
4	Add lines 1, 2, and 3	4	7,056,048.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,056,048.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a RBC: Account #231 Securities Various SCH B-1	P	Various	Various
b RBC: Account #234 Equities SCH B-2	P	Various	Various
c RBC: Account #740 PVG Asset Mgt SCH B-3	P	Various	Various
d RBC: Acct 231 Capital Gain Distributions SCH B-4	P	Various	Various
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,493,447.		1,473,651.	19,796.
b 4,558,539.		4,202,852.	355,687.
c 665,370.		668,902.	-3,532.
d 0.		0.	1,509.
e See Columns (e) thru (h)		0.	2,043.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	19,796.
b 0.	0.	0.	355,687.
c 0.			-3,532.
d 0.	0.	0.	1,509.
e See Columns (i) thru (l)	0.	0.	2,043.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	375,503.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	375,503.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	362,857.	6,837,731.	0.053067
2011	321,582.	6,656,709.	0.048309
2010	371,973.	6,441,937.	0.057742
2009	309,931.	6,515,384.	0.047569
2008	350,159.	6,370,028.	0.054970

2 Total of line 1, column (d)	2	0.261657
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052331
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	7,199,778.
5 Multiply line 4 by line 3	5	376,772.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,274.
7 Add lines 5 and 6	7	384,046.
8 Enter qualifying distributions from Part XII, line 4	8	327,612.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	14,549.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2.		3	14,549.
4 Subtitle A (Income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,549.
6 Credits/Payments			
a 2013 estimated tax prints and 2012 overpayment credited to 2013		6a	5,315.
b Exempt foreign organizations - tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	5,315.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.		9	9,234.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	0.
11 Enter the amount of line 10 to be credited to 2014 estimated tax. Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA - California		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of ▶ Lisa Wilson Telephone no ▶ (858) 273-3165 Located at ▶ 4471 Jutland Drive San Diego CA ZIP + 4 ▶ 92117			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Shar Salter 4471 Jutland Drive San Diego CA 92117	Director/VP 2.00	3,000.	0.	0.
Lisa Wilson 4471 Jutland Drive San Diego CA 92117	Dir/Treas/CFO 27.00	47,000.	0.	0.
Michelle Brookman 4471 Jutland Drive San Diego CA 92117	Dir/Secty 3.00	5,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 -- see instructions). If none, enter 'NONE'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				

Total number of other employees paid over \$50,000

None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	6,123,740.
b Average of monthly cash balances	1b	139,339.
c Fair market value of all other assets (see instructions)	1c	1,046,340.
d Total (add lines 1a, b, and c)	1d	7,309,419.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	7,309,419.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	109,641.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,199,778.
6 Minimum investment return. Enter 5% of line 5	6	359,989.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	359,989.
2a Tax on investment income for 2013 from Part VI, line 5	2a	14,549.
b Income tax for 2013 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	14,549.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	345,440.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	345,440.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	345,440.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	327,612.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	327,612.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	327,612.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				345,440.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			15,763.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover if any to 2013				
a From 2008	0.			
b From 2009	0.			
c From 2010	0.			
d From 2011	0.			
e From 2012	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 327,612.				
a Applied to 2012, but not more than line 2a			15,763.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				311,849.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5.	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				33,591.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009	0.			
b Excess from 2010	0.			
c Excess from 2011	0.			
d Excess from 2012	0.			
e Excess from 2013	0.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	School Outreach Program	10,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Zoo Express Program	5,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Animal Express Program	15,000.
Rolling Readers USA 2911 Adams Ave #17 San Diego CA 92116		501(c)(3)	Read Aloud/Book Giveaway Program	5,000.
Art5BusXpress 2665 Ariane Drive, 202A San Diego CA 92117		501(c)(3)	Student Transportation To the Arts	5,000.
Rosa Parks Elementary 4510 Landis Street San Diego CA 92105		501(c)(3)	Reading Intervention Program	10,000.
The New Children's Museum 200 West Island Avenue San Diego CA 92101		501(c)(3)	Educational Program Support	7,500.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum Access Fund	5,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum on Wheels Program	10,000.
See Line 3a statement				219,933.
Total			3a	292,433.
b Approved for future payment				
Total			3b	

Name Rice Family Foundation Employer Identification Number 33-0592384

Asset Information:Description of Property RBC Acct #231Date Acquired various How Acquired PurchasedDate Sold various Name of BuyerSales Price 1,493,447. Cost or other basis (do not reduce by depreciation) 1,473,651.Sales Expense _____ Valuation Method . . . CostTotal Gain (Loss) 19,796. Accumulation Depreciation _____Description of Property RBC Acct # 234Date Acquired various How Acquired . PurchasedDate Sold various Name of BuyerSales Price 4,558,539. Cost or other basis (do not reduce by depreciation) 4,202,852.Sales Expense _____ Valuation Method . . . CostTotal Gain (Loss) 355,687. Accumulation Depreciation _____Description of Property . RBC Acct #740Date Acquired various How Acquired . . . PurchasedDate Sold various Name of BuyerSales Price 665,370. Cost or other basis (do not reduce by depreciation) 668,902.

Sales Expense _____ Valuation Method . . .

Total Gain (Loss) -3,532. Accumulation Depreciation _____Description of Property . Capital Gain Distributions RBC AccountsDate Acquired various How Acquired . . .Date Sold various Name of BuyerSales Price 0. Cost or other basis (do not reduce by depreciation) 0.Sales Expense 0. Valuation Method . . .Total Gain (Loss) 3,552. Accumulation Depreciation . . .

Description of Property

Date Acquired _____ How Acquired

Date Sold . . . _____ Name of Buyer

Sales Price _____ Cost or other basis (do not reduce by depreciation) . . .

Sales Expense _____ Valuation Method . . .

Total Gain (Loss) _____ Accumulation Depreciation . . .

Description of Property

Date Acquired _____ How Acquired

Date Sold _____ Name of Buyer

Sales Price _____ Cost or other basis (do not reduce by depreciation) . . .

Sales Expense _____ Valuation Method . . .

Total Gain (Loss) _____ Accumulation Depreciation . . .

Description of Property

Date Acquired _____ How Acquired

Date Sold . . . _____ Name of Buyer

Sales Price _____ Cost or other basis (do not reduce by depreciation) . . .

Sales Expense _____ Valuation Method . . .

Total Gain (Loss) _____ Accumulation Depreciation . . .

Description of Property

Date Acquired _____ How Acquired

Date Sold _____ Name of Buyer

Sales Price _____ Cost or other basis (do not reduce by depreciation)

Sales Expense _____ Valuation Method . . .

Total Gain (Loss) _____ Accumulation Depreciation . . .

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Lease income	224,809.	224,809.	
Royalty Acct Heber	580.	580.	
RBC 1099-MISC #231	160.	160.	
Total	<u>225,549.</u>	<u>225,549.</u>	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Property Taxes	4,496.	4,496.		
Foreign Taxes Paid	389.	389.		
Total	<u>4,885.</u>	<u>4,885.</u>		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
San Diego Grantmakers dues	750.			750.
CA Form 199 Filing Fee	10.			
Insurance	1,470.			1,470.
Postage	80.			80.
RRF-1: Tax Filing Fee	75.			75.
Supplies	1,471.	515.		956.
Misc. Expenses/PVG	100.	100.		
Investment Exp.:1099	155.	155.		
Gifts	75.	75.		
CA Secretary of State	20.			20.
Total	<u>4,206.</u>	<u>845.</u>		<u>3,351</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story bnck warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
RBC- Acct 234 Capital Gain Distributions SCH B-5	P	various	various
RBC Acct740 Capital Gain Distributions SCH B-6	P	various	various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
0.		0.	1,989.
0.		0.	54.
Total		0.	2,043.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
0.	0.	0.	1,989.
0.	0.	0.	54.
Total	0.	0.	2,043.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Magic School Bus outreach program	Person or ☐ Business ☒ 20,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Family Days Programs	Person or ☐ Business ☒ 15,075.
Reuben H Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Challenger Mission Program Support	Person or ☐ Business ☒ 15,405.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Teacher Workshop Supplies	Person or ☐ Business ☒ 2,280.
Reuben H Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Mission Coordinator	Person or ☐ Business ☒ 2,473.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Micronaut Challenger Missions Program Support	Person or ☐ Business ☒ 9,875.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Student Scholarships	Person or ☐ Business ☒ 2,500.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Outreach Program Horticultural Dept.	Person or ☐ Business ☒ 2,225.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Morgan Rice Internship Program	Person or ☐ Business ☒ 45,275.
Cherokee Point Elementary 3735 38th Street San Diego CA 92105		501(c)(3)	Professional Development	Person or ☐ Business ☒ 8,000.
Cherokee Point Elementary 3735 38th Street San Diego CA 92105		501(c)(3)	Professional Dev. Teaching Materials	Person or ☐ Business ☒ 2,000.
Cuyamaca College Botanical Society 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	CCBS Scholarship Fund	Person or ☐ Business ☒ 180.
Grossmont College 8800 Grossmont College Dr. El Cajon CA 92020		501(c)(3)	Theatre Arts Dept. Traveling Play Support Fall 2013	Person or ☐ Business ☒ 3,998.
Grossmont College 8800 Grossmont College Dr. El Cajon CA 92020		501(c)(3)	Theatre Arts Dept. Traveling Play Support Spring 2014	Person or ☐ Business ☒ 1,500.
Audubon K-8 School 8111 San Vincente Dr. San Diego CA 92114		501(c)(3)	Reading Recovery Teacher	Person or ☐ Business ☒ 10,000.
SAY San Diego 8755 Aero Drive, #100 San Diego CA 92123		501(c)(3)	General Support School Programs	Person or ☐ Business ☒ 5,000.
Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Institute for Conservation Research Program Support	Person or ☐ Business ☒ 210.
Heritage Of the Americas Museum 12100 Cuyamaca College Dr. West El Cajon CA 92019		501(c)(3)	Education Programs	Person or ☐ Business ☒ 5,000.
Leukemia & Lymphoma Society 1311 Mamaroneck Ave, #310 White Plains NY 10605		501(c)(3)	General Support Research	Person or ☐ Business ☒ 1,500.
East County Youth Symphony 339 Hart Drive El Cajon CA 92021		501(c)(3)	General Support Music Programs	Person or ☐ Business ☒ 5,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Maritime Museum of SD 1492 N. Harbor Drive San Diego CA 92101		501(c)(3)	Education Programs	Person or ☐ Business ☒ 10,000.
Maritime Museum of SD 1492 N. Harbor Drive San Diego CA 92010		501(c)(3)	San Salvador Program	Person or ☐ Business ☐ 5,000.
American Cancer Society 250 Williams Street NW Atlanta GA 30303		501(c)(3)	General Support	Person or ☐ Business ☒ 100.
Monarch School 1625 Newton Ave. San Diego CA 92113		501(c)(3)	General Support Fundraiser	Person or ☐ Business ☒ 500.
Monarch School 1625 Newton Ave. San Diego CA 92113		501(c)(3)	Core Program Support	Person or ☐ Business ☒ 10,000.
Ocean Discovery Institute 2211 Pacific Beach Drive, #A San Diego CA 92109		501(c)(3)	Living Lab Capital Campaign	Person or ☐ Business ☒ 5,000.
Ocean Discovery Institute 2211 Pacific Beach Drive, #A San Diego CA 92109		501(c)(3)	Ocean Science Explorers Program	Person or ☐ Business ☒ 5,000.
Campanile Foundation 5500 Campanile Drive San Diego CA 92182		501(c)(3)	Scholarships Guardian Scholars Program	Person or ☐ Business ☒ 5,000.
Barrio Logan College Institute 1625 Newton Ave. San Diego CA 92113		501(c)(3)	College Scholarships	Person or ☐ Business ☒ 5,000.
SD River Park Foundation 4891 Pacific Hwy, 114 San Diego CA 92110		501(c)(3)	Cool River Educational Program	Person or ☐ Business ☒ 2,500.
Shoal Creek Elementary 11775 Shoal Creek Dr San Diego CA 92128		501(c)(3)	Technology grant	Person or ☐ Business ☒ 9,392.
Shoal Creek Elementary 11775 Shoal Creek Dr San Diego CA 92128		501(c)(3)	Prof. Dev. Science	Person or ☐ Business ☒ 2,160.
Denver Rescue Mission PO Box 5206 Denver CO 80217		501(c)(3)	General support	Person or ☐ Business ☒ 200.
San Diego Renegades 8447 Hovenweat Ct San Diego CA 92129		501(c)(3)	General Support Athletics	Person or ☐ Business ☒ 750.
Centurion Foundation of UCHS 6949 Genesee Ave. San Diego CA 92122		501(c)(3)	General Support	Person or ☐ Business ☒ 100.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Continued

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
UCHS Art Dept 6949 Genesee Ave. San Diego CA 92122		501(c)(3)	General Support Art Dept	Person or Business ☒ 150.
Simon Youth Foundation 225 West Washington St. Indianapolis IN 46204		501(c)(3)	General Support	Person or Business ☒ 60.
Alzheimer's Asso. 1060 La Avenida Street Mountain View CA 94043		501(c)(3)	General Support	Person or Business ☒ 250.
Foothills United Way 1285 Cimarron Dr, #101 Lafayette CO 80026		501(c)(3)	General Support Flood Relief Fund	Person or Business ☒ 1,000.
University City Foundation for Public Schools 3268 Governor Drive San Diego CA 92122		501(c)(3)	General Support Education	Person or Business ☒ 100.
Camp Pendleton Armed Services YMCA BOX 555028, Bldg 16144, A Street Camp Pendleton CA 92055		501(c)(3)	General Support	Person or Business ☒ 75.
Boy Scouts of America 1207 Upas Street San Diego CA 92103		501(c)(3)	General Support	Person or Business ☒ 100.

Total

219,933.Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
L. Wilson	Prep 990PF	4,000.			4,000.
Total		<u>4,000.</u>			<u>4,000.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RBC Dain Rauscher	Investment Svcs	43,365.	43,365.		
Summit Realty Interests LLC	Real Estate Consulting	3,000.	3,000.		
Total		<u>46,365.</u>	<u>46,365.</u>		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Various Securities RBC Wealth Mgt Acct 234, Sch A-2	3,725,519.	3,963,934.
Various Securities RBC Wealth Mgt Acct 740, Sch A-3	453,542.	452,291.
Total	<u>4,179,061.</u>	<u>4,416,225.</u>

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
Misc Fixed Income:RBC Wealth Mgt Acct 231, Sch A-1	1,650,824.	1,738,634.
Total	<u>1,650,824.</u>	<u>1,738,634.</u>

Form 990-PF, Page 2, Part II, Line 11

L-11 Stmt

Line 11b - Description of Investments Land, Buildings and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Parcel 585-090-45-00	98,000.	0.	98,000.
Parcel 585-090-44-00	146,000.	0.	146,000.
Value of leased-fee estate,585-090-45-00	802,340.	0.	802,340.
Total	<u>1,046,340.</u>	<u>0.</u>	<u>1,046,340.</u>

Supporting Statement of:

Form 990-PF, p1/Line 18(a)-1

Description	Amount
Mt. Miguel Property Taxes	4,132.
El Capitan Supplemental Property Taxes	364.
Total	<u>4,496.</u>

Supporting Statement of:

Form 990-PF, p2/Line 11(c)

Description	Amount
Parcel 585-090-45-00	98,000.
Parcel 585-090-44-00	146,000.
Value of leased Fee estate 585-090-45-00	802,340.
Total	<u>1,046,340.</u>

Supporting Statement of:

Form 990-PF, p12/Line 4 Column (d)

Description	Amount
Dividends-Investments	168,448.
Interest-Investments	44,556.
1099 OID Interest	713.
Interest on US Treasury	103.
Exempt interest Dividends	-2,555.
Total	<u>211,265</u>

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
CASH				-\$27,574.64	
PRIME MONEY MARKET FUND	TKSXX	46,236.010	\$1.000	\$46,236.01	\$149,198.18
RBC SELECT CLASS					
TOTAL CASH AND MONEY MARKET				\$18,661.37	

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NEXPOINT CR STRATEGIES FD	NHF	6,000.000	\$9.420	\$56,520.00	\$40,619.60	\$15,900.40	\$3,600.00
TOTAL US EQUITIES				\$56,520.00	\$40,619.60	\$15,900.40	\$3,600.00

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE / ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
POWERSHARES EXCHANGE-TRADED FD TR II BUILD AMERICA BOND PORTFOLIO	BAB	1,100.000	\$27.290	\$30,019.11	\$30,095.78	-\$76.67	\$1,555.40
POWERSHARES SENIOR LOAN PORTFOLIO	BKLN	6,000.000	\$24.880	\$149,280.00	\$150,207.20	-\$927.20	\$6,570.00
COHEN & STEERS PFD SECS & INCOME FD INC CL I	CPXIX	14,704.000	\$12.880	\$189,387.52	\$187,020.30	\$2,367.22	\$12,527.81
ADVISORSHARES TR PERITUS HIGH YIELD	HYLD	2,000.000	\$51.711	\$103,422.00	\$101,719.80	\$1,702.20	\$8,232.00
PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND INDEX EXCHANGE TRADED FUND	HYS	1,600.000	\$106.360	\$170,176.00	\$156,280.00	\$13,896.00	\$7,400.00
ISHARES MBS ETF	MBB	500.000	\$104.570	\$52,285.00	\$52,824.95	-\$539.95	\$664.50



RBC Wealth Management

A Division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC



INVESTMENT ACCESS ACCOUNT STATEMENT WITH PORTFOLIO FOCUS 2013 ANNUAL STATEMENT

Account number:
7231
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TAXABLE FIXED INCOME (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ADVISORSHARES TR NEWLEET MULTI SECTOR INCOME ETF	MINC	2,000,000	\$49.479	\$98,957.40	\$98,659.80	\$297.60	\$2,510.00
LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	NEFX	7,038,000	\$16.360	\$115,141.68	\$100,009.98	\$15,131.70	\$4,277.76
POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO	PCY	3,100,000	\$27.000	\$83,700.00	\$85,497.69	-\$1,797.69	\$3,927.70
SPDR BARCLAYS SHORT TERM HIGH YIELD BOND ETF	SINK	1,300,000	\$30.850	\$40,105.00	\$40,051.44	\$53.56	\$2,141.10
BANK AMER CORP SR NT MOODY BAA2 S&P A-	06051GDY2 CPN 7.375% DUE 05/15/2014 DTD 05/13/2009 BOOK ENTRY ONLY	35,000,000	\$102.489	\$35,871.15 \$329.83	\$35,128.33	\$742.82	\$2,581.25
GATX CORP NOTES MOODY BAA2 S&P BBB	36144AG8 CPN 8.750% DUE 05/15/2014 DTD 04/30/2009 BOOK ENTRY ONLY	100,000,000	\$102.985	\$102,985.00 \$1,118.05	\$102,969.41	\$15.59	\$8,750.00
GENWORTH FINANCIAL INC NOTE MOODY BAA3 S&P BBB-	37247DAE6 CPN 5.750% DUE 06/15/2014 DTD 06/15/2004 BOOK ENTRY ONLY	150,000,000	\$102.075	\$153,112.50 \$383.33	\$149,859.00	\$3,253.50	\$8,625.00
NEW YORK TIMES CO MOODY B1 S&P BB-	65011AE7 CPN 5.000% DUE 03/15/2015 DTD 03/17/2005 BOOK ENTRY ONLY	35,000,000	\$104.250	\$36,487.50 \$515.28	\$32,649.05	\$3,838.45	\$1,750.00
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BK NTS MOODY A1 S&P A+	92976CAE1 CPN 5.600% DUE 03/15/2016 DTD 03/09/2006 BOOK ENTRY ONLY	125,000,000	\$109.513	\$136,891.25 \$2,061.11	\$123,413.75	\$13,477.50	\$7,000.00

A-1

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON

Account number
7231
Page 6 of 33

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AMERICAN EXPRESS CO NT	CPN 7.000% DUE 03/19/2018 DTD 03/19/2008 BOOK ENTRY ONLY	50,000.000	\$119.484	\$59,742.00 \$991.67	\$50,839.80	\$8,902.20	\$3,500.00
MOODY A3 S&P B8B+							
FNMA GTD PASS THRU POOL#828378 DELAY DAYS 24 FACTOR = .17233317 CURRENT BALANCE = 32,743 MOODY N/R S&P N/R	CPN 6.000% DUE 06/01/2030 DTD 06/01/2005 BOOK ENTRY ONLY	190,000.000	\$110.753	\$36,264.19 \$163.72	\$32,620.51	\$3,643.68	\$1,964.60
CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT DELAY DAYS 24 FACTOR = .36609900 CURRENT BALANCE = 5,491 MOODY N/A S&P A+	CPN 5.000% DUE 08/25/2033 DTD 07/25/2003 BOOK ENTRY ONLY	15,000.000	\$100.320	\$5,509.06 \$22.88	\$5,354.20	\$154.86	\$274.57
DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 DELAY DAYS 24 FACTOR = .04097500 CURRENT BALANCE = 3,073 MOODY BAA1 S&P AA+	CPN 5.500% DUE 09/25/2033 DTD 01/28/2004 BOOK ENTRY ONLY	75,000.000	\$102.900	\$3,162.25 \$14.09	\$2,850.32	\$311.93	\$169.02
CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 DELAY DAYS 24 FACTOR = .05088043 CURRENT BALANCE = 3,053 MOODY BAA1 S&P A+	CPN 5.000% DUE 10/25/2033 DTD 10/24/2003 BOOK ENTRY ONLY	60,000.000	\$101.646	\$3,103.08 \$12.72	\$3,022.30	\$80.78	\$152.64
MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT DELAY DAYS 24 FACTOR = .10089385 CURRENT BALANCE = 5,347 MOODY N/A S&P B+	CPN 5.500% DUE 10/25/2033 DTD 09/25/2003 BOOK ENTRY ONLY	53,000.000	\$91.500	\$4,892.85 \$24.51	\$5,427.59	-\$534.74	\$294.11

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RBC Wealth Management

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INVESTMENT ACCESS ACCOUNT STATEMENT WITH PORTFOLIO FOCUS 2013 ANNUAL STATEMENT

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TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE / ACCURED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 DELAY DAYS 24 FACTOR = .1626332 CURRENT BALANCE = 5.042 MOODY N/A S&P AA+	05949AEV8 CPN: 5.500% DUE 05/25/2034 DTD: 04/28/2004 BOOK ENTRY ONLY	31,000.000	\$100.661	\$5,075.02 \$23.11	\$4,713.98	\$361.04	\$277.29
FIRST HORIZON ALTERNATIVE MORTGAGE SECURITGPC/SERIES 2004-FA1 1-A-1-FIXED DELAY DAYS 24 FACTOR = .14821389 CURRENT BALANCE = 59.285 MOODY CAA1 S&P B-	32051D6Y3 CPN: 6.250% DUE 10/25/2034 DTD: 08/25/2004 BOOK ENTRY ONLY	400,000.000	\$102.176	\$60,575.53 \$308.78	\$58,989.05	\$1,586.48	\$3,705.34
TOTAL TAXABLE FIXED INCOME		1,358,342.000		\$1,676,145.09	\$1,610,204.23	\$65,940.86	\$89,344.09
ESTIMATED ACCRUED BOND INTEREST				\$5,969.09			

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ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
CASH				-551,089.04	
PRIME MONEY MARKET FUND RBC SELECT CLASS	TKSX	65,994.440	\$1 000	\$65,994.44	\$119,635.76
TOTAL CASH AND MONEY MARKET				\$14,905.40	

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBVIE INC	ABBV	3,900 000	\$52.810	\$205,959.00	\$194,124.23	\$11,834.77	\$6,240.00
ALPS ETF TR ALERIAN MLP	AMLX	11,250,000	\$17.790	\$200,137.50	\$198,417.90	\$1,719.60	\$12,015.00
CHEVRON CORPORATION	CVX	1,580 000	\$124.910	\$197,357.80	\$165,995.03	\$31,362.77	\$6,320.00
COCA COLA COMPANY (THE)	KO	4,800,000	\$41.310	\$198,288.00	\$169,573.20	\$28,714.80	\$5,376.00
DOMINION RESOURCES INC VA NEW	D	2,000 000	\$64.690	\$129,380.00	\$97,912.19	\$31,467.81	\$4,500.00
INTEL CORP	INTC	6,000 000	\$25.955	\$155,730.00	\$125,638.90	\$30,091.10	\$5,400.00
MCDONALD'S CORP	MCD	1,900 000	\$97.030	\$184,357.00	\$145,490.15	\$38,866.85	\$6,150.00
MICROSOFT CORP	MSFT	5,100 000	\$37.410	\$190,791.00	\$196,038.90	-\$5,247.90	\$5,712.00
MOHAWK INDUSTRIES INC	MHK	1,350,000	\$148.900	\$201,015.00	\$197,005.37	\$4,009.63	
PHILIP MORRIS INTERNATIONAL INC	PM	1,700 000	\$87.130	\$148,121.00	\$118,030.83	\$30,090.17	\$6,392.00
POWERSHARES EXCHANGE TRADED FUND TR SHR OF BEN INT \$0.01 PV OF FWR SHS DWA MOMENTUM PRFLIO	PDP	5,700 000	\$36.650	\$208,905.00	\$192,944.43	\$15,960.57	\$592.80
POWERSHARES EXCHANGE TRADED FUND TR II DWA PWR SHS DWA SMALLCAP MOMENTUM PORTFOLIO	DWAS	5,250,000	\$39.690	\$208,372.50	\$195,388.50	\$12,984.00	\$325.50
SECTOR SPDR - FINANCIAL SHS BEN INT	XLF	4,550 000	\$21.860	\$99,463.00	\$97,415.05	\$2,047.95	\$1,460.55



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Page 5 of 22US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
SPDR SER TR	KRE	2,500.000	\$40.610	\$101,525.00	\$97,735.33	\$3,789.67	\$1,390.00
S&P REGD BKG ETF							
VIRTUS DYNAMIC ALPHASECTOR FD CL I	VIMNX	16,269.000	\$13.200	\$214,750.80	\$197,505.66	\$17,245.14	\$1,100.67
VNWARE INC CL A	VMW	2,200.000	\$89.710	\$197,362.00	\$197,131.00	\$231.00	
VULCAN MATERIALS CO (HOLDING CO)	VMC	3,300.000	\$59.420	\$196,086.00	\$192,117.31	\$3,968.69	\$132.00
TOTAL US EQUITIES				\$3,037,600.60	\$2,778,463.98	\$259,136.62	\$63,443.52

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
HENDERSON GLOBAL FDS GLOBAL EQUITY INCOME FD CL I	HFQIX	24,015.000	\$8.410	\$201,966.15	\$195,001.80	\$6,964.35	\$12,223.64
ISHARES MSCI HONG KONG ETF	EWI	3,850.000	\$20.600	\$79,310.00	\$76,421.57	\$2,888.43	\$2,348.50
POWERSHARES EXCHANGE TRADED FD TR II POW SHS DWA DEVELOPED MARKETS MOMENTUM PORTFOLIO	PZ	2,400.000	\$26.500	\$63,599.76	\$58,872.00	\$4,727.76	\$1,790.40
SPDR INDEX SHARES FUNDS SPDR EURO STOXX 50 ETF	FEZ	2,000.000	\$42.200	\$84,400.00	\$75,958.60	\$8,441.40	\$2,300.00
TOTAL INTERNATIONAL EQUITIES				\$429,275.91	\$406,253.97	\$23,021.94	\$18,662.54

MIXED ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NORTHERN LITS FD TR II GOOD HRBR U S TCTCL FD CL I	GHUJX	17,825.000	\$11.950	\$213,008.75	\$197,501.00	\$15,507.75	
TOTAL MIXED ASSETS				\$213,008.75	\$197,501.00	\$15,507.75	

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
KINCO REALTY CORPORATION	KIM	6,000.000	\$19.750	\$118,500.00	\$101,252.41	\$17,247.59	
PROSHARES ULTRA QQQ ETF	QLD	500.000	\$99.600	\$49,800.00	\$49,689.40	\$110.60	

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON

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OTHER ASSETS (continued)	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
DESCRIPTION	TGLDX	3,518.187	\$32.900	\$115,748.35	\$192,357.77	-\$76,609.42
TOCQUEVILLE GOLD FUND					\$150,071.35	-\$61,043.95
					\$42,286.42	-\$15,565.46
TOTAL OTHER ASSETS				\$284,048.35	\$343,299.58	-\$59,251.23

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ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposit information is included on this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbcconnect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS YEAR-END STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED		\$48,978.97	\$55,490.45	\$5.48

DEPOSITS ARE HELD AT
NY Community Bank

Westbury, NY

TOTAL RBC BANK DEPOSIT PROGRAM

\$48,978.97

\$5.48

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
CASH				-\$3,119.84	
TOTAL CASH AND MONEY MARKET				-\$3,119.84	

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ALPS ETF TR ALERIAN MLP	AMPL	430,000	\$17.790	\$7,649.70	\$7,469.08	\$180.62	\$459.24
APOLLO INVESTMENT CORPORATION COMMON STOCK	AINV	1,535,000	\$8.475	\$13,009.13	\$11,668.48	\$1,340.65	\$1,228.00
AT&T INC	T	290,000	\$35.160	\$10,196.40	\$9,931.84	\$264.56	\$533.60
BLACKROCK KLSO CAP CORPORATION	BKCC	525,000	\$9.330	\$4,898.25	\$5,132.41	-\$234.16	\$546.00
CENTURYLINK INC	CTL	310,000	\$31.850	\$9,873.50	\$10,706.81	-\$833.31	\$669.60

INTERNATIONAL EQUITIES

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
PENGROWTH ENERGY CORPORATION	PCH	840,000	\$6.200	\$5,208.00	\$4,561.86	\$646.14	\$378.84
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTGB SHS	RDSB	70,000	\$75.110	\$5,257.70	\$4,731.49	\$526.21	\$252.00
SPDR INDEX SHARES FUNDS SPDR S&P INTERNATIONAL DIVIDEND ETF	DWX	245,000	\$47.450	\$11,625.25	\$11,565.84	\$59.41	\$796.50
TELEFONICA BRASIL SA SPONSORED ADR	VIV	210,000	\$19.220	\$4,036.20	\$4,811.90	-\$775.70	\$341.67
TELEFONICA SA SPONSORED ADR	TEF	170,000	\$16.340	\$2,777.80	\$2,528.63	\$249.17	\$61.54
TRANSOCEAN LTD US LISTED	RIG	165,000	\$49.420	\$8,154.30	\$8,065.07	\$89.23	\$369.60
VODAFONE GROUP PLC SPONSORED ADR	VOD	115,000	\$39.310	\$4,520.65	\$3,473.05	\$1,047.60	\$182.85
TOTAL INTERNATIONAL EQUITIES				\$58,040.70	\$55,297.56	\$2,743.14	\$2,906.99

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
AMERICAN CAPITAL MORTGAGE INVESTMENT CORP	MTGE	285,000	\$17.460	\$4,976.10	\$6,249.04	-\$1,272.94
AMERICAN REALTY CAPITAL PROPERTIES INC	ARCP	400,000	\$12.851	\$5,140.40	\$5,039.16	\$101.24
CAMPUS CREST COMMUNITIES INC	CCG	540,000	\$9.410	\$5,081.40	\$5,014.60	\$66.80
CYS INVESTMENTS INC	CYS	615,000	\$7.410	\$4,557.15	\$6,333.37	-\$1,776.22
DYNEX CAP INC COM	DX	570,000	\$8.000	\$4,560.00	\$5,243.51	-\$683.51
FRANKLIN STREET PROPERTIES CORP	FSP	390,000	\$11.950	\$4,660.50	\$5,242.93	-\$582.43
HCP INC	HCP	140,000	\$36.320	\$5,084.80	\$5,024.22	\$60.58
HOSPITALITY PROPERTIES TRUST SBI	HPT	180,000	\$27.030	\$4,865.40	\$4,668.62	\$196.78
INDEPENDENCE REALTY TRUST INC	IRT	300,000	\$8.340	\$2,502.00	\$2,501.59	\$0.41
ISHARES MORTGAGE REAL ESTATE CAPED ETF	REM	840,000	\$11.520	\$9,676.80	\$10,016.41	-\$339.51

INTERNATIONAL EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
PENGROWTH ENERGY CORPORATION	PGH	840 000	\$6.200	\$5,208 00	\$4,561.86	\$646.14	\$378.84
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	70 000	\$75.110	\$5,257.70	\$4,731.49	\$526.21	\$252.00
SPDR INDEX SHARES FUNDS SPDR S&P INTERNATIONAL DIVIDEND ETF	DWX	245 000	\$47.450	\$11,625.25	\$11,565.84	\$59.41	\$796.50
TELEFONICA BRASIL SA SPONSORED ADR	VIV	210 000	\$19.220	\$4,036.20	\$4,811.90	-\$775.70	\$341.67
TELEFONICA SA SPONSORED ADR	TEF	170 000	\$16.340	\$2,777.80	\$2,528.63	\$249.17	\$61.54
TRANSOCEAN LTD US LISTED	RIG	165 000	\$49.420	\$8,154.30	\$8,065.07	\$89.23	\$369.60
VODAFONE GROUP PLC SPONSORED ADR	VOD	115 000	\$39.310	\$4,520.65	\$3,473.05	\$1,047.60	\$182.85
TOTAL INTERNATIONAL EQUITIES				\$58,040.70	\$55,297.56	\$2,743.14	\$2,906.99

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
AMERICAN CAPITAL MORTGAGE INVESTMENT CORP	MTGE	285 000	\$17.460	\$4,976.10	\$6,249.04	-\$1,272.94
AMERICAN REALTY CAPITAL PROPERTIES INC	ARCP	400 000	\$12.851	\$5,140.40	\$5,039.16	\$101.24
CAMPUS CREST COMMUNITIES INC	CCG	540 000	\$9.410	\$5,081.40	\$5,014.60	\$66.80
CYS INVESTMENTS INC	CYS	615 000	\$7.410	\$4,557.15	\$6,333.37	-\$1,776.22
DYNEX CAP INC COM	DX	570 000	\$8.000	\$4,560.00	\$5,243.51	-\$683.51
FRANKLIN STREET PROPERTIES CORP	FSP	390 000	\$11.950	\$4,660.50	\$5,242.93	-\$582.43
HCP INC	HCP	140 000	\$36.320	\$5,084.80	\$5,024.22	\$60.58
HOSPITALITY PROPERTIES TRUST SBI	HPT	180 000	\$27.030	\$4,865.40	\$4,668.62	\$196.78
INDEPENDENCE REALTY TRUST INC	IRT	300 000	\$8.340	\$2,502.00	\$2,501.59	\$0.41
ISHARES MORTGAGE REAL ESTATE CAPPED ETF	REM	840 000	\$11.520	\$9,676.80	\$10,016.41	-\$339.61



RBC Wealth Management

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MANAGED ACCOUNT PROGRAM
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OTHER ASSETS
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
PROSHARES SHORT S&P500 ETF	SH	2,570 000	\$25.230	\$64,841.10	\$69,019 60	-\$4,178 50
PROSHARES TR ULTRASHORT QQQ	QID	1,500 000	\$14.990	\$22,485.00	\$25,411 02	-\$2,926.02
PROSHARES TR ULTRASHORT TR TREAS	TBT	250 000	\$79.200	\$19,800.00	\$18,865 16	\$934 84
PROSHARES TR ULTRASHORT RUSSELL2000	TWM	1,520 000	\$12.030	\$18,285.60	\$20,162.56	-\$1,876.96
TWO HARBORS INVESTMENT CORP	TWO	2,185 000	\$9.280	\$20,276.80	\$21,537 35	-\$1,260.55
WHITESTONE REIT COMMON SHARE	WSR	523.000	\$13 370	\$6,992.51	\$7,085.70	-\$93.19
TOTAL OTHER ASSETS				\$203,785.56	\$217,414.84	-\$13,629 28

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients, the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

		Short-Term					REALIZED GAIN/LOSS
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	
245,000 000	ALLY BK MIDVALE UTAH C/D FDIC INS TO LIMITS 0 200% DUE 07/26/2013 CUSIP 02005QZ22	☒	06/20/13	07/26/13	245,000 00	245,000 00	0 00
2,000 000	ARROW DOW JONES GLOBAL YIELD ETF SYMBOL GYLD, CUSIP 66537H105	☐	09/19/12	06/20/13	49,226 14	52,558 67	-3,332 53
120,000 000	BANK BARODA NEW YORK N Y C/D FDIC INS TO LIMITS 0 400% DUE 05/31/2013 CUSIP 060624MK6	☒	04/30/13	05/31/13	120,000 00	120,000 00	0 00
1,000 000	ISHARES 20 PLUS YEAR TREASURY BOND ETF SYMBOL TLT, CUSIP 464287432	☐	10/08/13	10/10/13	104,808 17	106,149 80	-1,341 63
2,000 000	MARKET VECTORS ETF TR EMERGING MKTS LOCAL SYMBOL EMLC, CUSIP 57060U522	☐	01/04/13	03/19/13	53,898 79	54,620 00	-721 21
3,900 000	PIMCO DYNAMIC CR INCOME FD COM SHS SYMBOL PCI, CUSIP 72202D106	☐	04/30/13	05/29/13	92,763 38	97,344 00	-4,580 62
2,000 000	POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO SYMBOL PCY, CUSIP 73936T573	☐	09/07/12	02/21/13	60,378 65	61,166 00	-787 36
2,000 000	POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO SYMBOL PCY, CUSIP 73936T573	☐	07/19/12	02/21/13	60,378 65	59,471 00	907 65
12,856 000	PROFUNDUS RISING RATES OPPORTUNITY INV SYMBOL RRPIX, CUSIP 74318A596	☐	05/24/13	07/31/13	104,519 28	95,005 84	9,513 44
Short-Term Subtotal					890,973 06		-342.26

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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RECIPIENT'S ACCOUNT NUMBER
7231

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Long-Term							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
40,000 000	ALCOA INC NOTES 6 000% DUE 07/15/2013 CUSIP 013817AR2	☒	06/03/09	06/28/13	40,103 81	39,200 00	903 81
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 1,382 181, ORIGINAL COST 1,292.34, PURCHASE FACTOR 0 04458649, SALE FACTOR 0 04458649 CUSIP 05949AEV8	☒	12/17/09	01/25/13	1,382 18	1,292 34	89 84
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 1,524 889, ORIGINAL COST 1,425 77, PURCHASE FACTOR 0 04918998, SALE FACTOR 0 04918998 CUSIP 05949AEV8	☒	12/17/09	02/25/13	1,524 89	1,425 77	99 12
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 1,333 233, ORIGINAL COST 1,246 57, PURCHASE FACTOR 0 04300751, SALE FACTOR 0 04300751 CUSIP 05949AEV8	☒	12/17/09	03/25/13	1,333 23	1,246 57	86 66
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 960 347, ORIGINAL COST 897 92, PURCHASE FACTOR 0 03097893, SALE FACTOR 0 03097893 CUSIP 05949AEV8	☒	12/17/09	04/25/13	960 35	897 92	62 43
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 514 394, ORIGINAL COST 480 96, PURCHASE FACTOR 0 01659335, SALE FACTOR 0 01659335 CUSIP 05949AEV8	☒	12/17/09	05/25/13	514 39	480 96	33 43
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 669 850, ORIGINAL COST 5,010 48, PURCHASE FACTOR 0 02160807, SALE FACTOR 0 02160807 CUSIP 05949AEV8	☒	12/17/09	06/25/13	669 85	626 31	43 54
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 431 791, ORIGINAL COST 403 72, PURCHASE FACTOR 0 01392874, SALE FACTOR 0 01392874 CUSIP 05949AEV8	☒	12/17/09	07/25/13	431 79	403 72	28 07
31,000 000*	BANC AMER MTG SECS INC	☒	12/17/09	08/25/13	1,148 60	1,073 94	74 66

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	SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 1,148 598, ORIGINAL COST 1,073 94, PURCHASE FACTOR 0 03705156, SALE FACTOR 0 03705156 CUSIP 05949AEV8						
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 1,654 163, ORIGINAL COST 1,546 64, PURCHASE FACTOR 0 0533601, SALE FACTOR 0 0533601 CUSIP 05949AEV8	☒	12/17/09	09/25/13	1,654 16	1,546 64	107 52
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 376 267, ORIGINAL COST 351 81, PURCHASE FACTOR 0 01213764, SALE FACTOR 0 01213764 CUSIP 05949AEV8	☒	12/17/09	10/25/13	376 27	351 81	24 46
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 462 589, ORIGINAL COST 432 52, PURCHASE FACTOR 0 01492222, SALE FACTOR 0 01492222 CUSIP 05949AEV8	☒	12/17/09	11/25/13	462 59	432 52	30 07
31,000 000*	BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 5 500% DUE 05/25/2034 ADJUSTED QUANTITY 52 963, ORIGINAL COST 49 52, PURCHASE FACTOR 0 00170847, SALE FACTOR 0 00170847 CUSIP 05949AEV8	☒	12/17/09	12/25/13	52 96	49 52	3 44
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 1,394 775, ORIGINAL COST 1,380 83, PURCHASE FACTOR 0 02324625, SALE FACTOR 0 02324625 CUSIP 16162WAY5	☒	09/10/10	01/25/13	1,394 77	1,380 83	13 94
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 1,394 775, ORIGINAL COST 1,380 83, PURCHASE FACTOR 0 02324625, SALE FACTOR 0 02324625 CUSIP 16162WAY5	☒	09/10/10	02/25/13	36 13	1,380 83	-1,344 70
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 340 378, ORIGINAL COST 336 97, PURCHASE FACTOR 0 00567297, SALE FACTOR 0 00567297 CUSIP 16162WAY5	☒	09/10/10	03/25/13	340 38	336 97	3 41
60,000 000*	CHASE MORTGAGE FINANCE TRUST	☒	09/10/10	04/25/13	421 34	417 13	4 21

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	SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 421 340, ORIGINAL COST 417 13, PURCHASE FACTOR 0 00702233, SALE FACTOR 0 00702233 CUSIP 16162WAY5						
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 60 502, ORIGINAL COST 59 90, PURCHASE FACTOR 0 00100836, SALE FACTOR 0 00100836 CUSIP 16162WAY5	☒	09/10/10	05/25/13	60 50	59 90	0 60
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 588 857, ORIGINAL COST 4,663 75, PURCHASE FACTOR 0 00981429, SALE FACTOR 0 00981429 CUSIP 16162WAY5	☒	09/10/10	06/25/13	588 86	582 97	5 89
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 11 083, ORIGINAL COST 10 97, PURCHASE FACTOR 0 00018472, SALE FACTOR 0 00018472 CUSIP 16162WAY5	☒	09/10/10	07/25/13	11 08	10 97	0 11
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 86 972, ORIGINAL COST 86 10, PURCHASE FACTOR 0 00144954, SALE FACTOR 0 00144954 CUSIP 16162WAY5	☒	09/10/10	08/25/13	86 97	86 10	0 87
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 10 153, ORIGINAL COST 10 05, PURCHASE FACTOR 0 00016921, SALE FACTOR 0 00016921 CUSIP 16162WAY5	☒	09/10/10	09/25/13	10 15	10 05	0 10
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 10 307, ORIGINAL COST 10 20, PURCHASE FACTOR 0 00017179, SALE FACTOR 0 00017179 CUSIP 16162WAY5	☒	09/10/10	10/25/13	10 31	10 20	0 11
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 79 956, ORIGINAL COST 79 16, PURCHASE FACTOR 0 0013326, SALE FACTOR 0 0013326 CUSIP 16162WAY5	☒	09/10/10	11/25/13	79 96	79 16	0 80
60,000 000*	CHASE MORTGAGE FINANCE TRUST	☒	09/10/10	12/25/13	9 71	9 61	0 10

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	SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY 9 706, ORIGINAL COST 9 61, PURCHASE FACTOR 0 00016176, SALE FACTOR 0 00016176 CUSIP 16162WAY5						
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 272 938, ORIGINAL COST 266 11, PURCHASE FACTOR 0 01819588, SALE FACTOR 0 01819588 CUSIP 12669EXN1	☒	03/25/11	02/25/13	272 94	266 11	6 83
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 1,083 181, ORIGINAL COST 1,056 10, PURCHASE FACTOR 0 07221205, SALE FACTOR 0 07221205 CUSIP 12669EXN1	☒	03/25/11	03/25/13	1,083 18	1,056 10	27 08
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 2,577 974, ORIGINAL COST 2,513 53, PURCHASE FACTOR 0 17186495, SALE FACTOR 0 17186495 CUSIP 12669EXN1	☒	03/25/11	04/25/13	2,577 97	2,513 52	64 45
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 426 663, ORIGINAL COST 416 00, PURCHASE FACTOR 0 02844419, SALE FACTOR 0 02844419 CUSIP 12669EXN1	☒	03/25/11	05/25/13	426 66	416 00	10 66
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 534 087, ORIGINAL COST 4,165 88, PURCHASE FACTOR 0 03560581, SALE FACTOR 0 03560581 CUSIP 12669EXN1	☒	03/25/11	06/25/13	534 09	520 73	13 36
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 69 525, ORIGINAL COST 67 79, PURCHASE FACTOR 0 00463503, SALE FACTOR 0 00463503 CUSIP 12669EXN1	☒	03/25/11	07/25/13	69 53	67 79	1 74
15,000 000*	CHL MORTGAGE PASS THROUGH	☒	03/25/11	08/25/13	1,660 54	1,619 03	41 51

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	TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 1,660 544, ORIGINAL COST 1,619 03, PURCHASE FACTOR 0 11070295, SALE FACTOR 0 11070295 CUSIP 12669EXN1						
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 924 775, ORIGINAL COST 901 66, PURCHASE FACTOR 0 06165168, SALE FACTOR 0 06165168 CUSIP 12669EXN1	☒	03/25/11	09/25/13	924 78	901 66	23 12
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 83 825, ORIGINAL COST 81 73, PURCHASE FACTOR 0 0055883, SALE FACTOR 0 0055883 CUSIP 12669EXN1	☒	03/25/11	10/25/13	83 82	81 73	2 09
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 590 992, ORIGINAL COST 576 22, PURCHASE FACTOR 0 03939948, SALE FACTOR 0 03939948 CUSIP 12669EXN1	☒	03/25/11	11/25/13	590 99	576 22	14 77
15,000 000*	CHL MORTGAGE PASS THROUGH TRUST MTGPC/SERIES 2003-J7 2-A-8-FIXED RT 5 000% DUE 08/25/2033 ADJUSTED QUANTITY 1,284 010, ORIGINAL COST 1,251 91, PURCHASE FACTOR 0 08560068, SALE FACTOR 0 08560068 CUSIP 12669EXN1	☒	03/25/11	12/25/13	1,284 01	1,251 91	32 10
75,000 000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 13 555, ORIGINAL COST 12 57, PURCHASE FACTOR 0 00018073, SALE FACTOR 0 00018073 CUSIP 251563CG5	☒	06/16/09	01/25/13	13 55	12 57	0 98
75,000 000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 13 613, ORIGINAL COST 12 63, PURCHASE FACTOR 0 0001815, SALE FACTOR 0 0001815 CUSIP 251563CG5	☒	06/16/09	02/25/13	13 61	12 63	0 98
75,000 000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1	☒	06/16/09	03/25/13	13 74	12 75	0 99

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	5 500% DUE 09/25/2033 ADJUSTED QUANTITY 13 745, ORIGINAL COST 12 75, PURCHASE FACTOR 0 00018326, SALE FACTOR 0 00018326 CUSIP 251563CG5						
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 04/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 1,756 023, ORIGINAL COST 1,628 71, PURCHASE FACTOR 0 02341364, SALE FACTOR 0 02341364 CUSIP 251563CG5				1,756 02	1,628 71	127 31
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 05/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 10 022, ORIGINAL COST 9 29, PURCHASE FACTOR 0 00013362, SALE FACTOR 0 00013362 CUSIP 251563CG5				10 02	9 29	0 73
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 06/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 10 069, ORIGINAL COST 74 71, PURCHASE FACTOR 0 00013425, SALE FACTOR 0 00013425 CUSIP 251563CG5				10 07	9 34	0 73
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 07/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 10 116, ORIGINAL COST 9 38, PURCHASE FACTOR 0 00013488, SALE FACTOR 0 00013488 CUSIP 251563CG5				10 12	9 38	0 74
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 08/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 10 163, ORIGINAL COST 9 43, PURCHASE FACTOR 0 00013551, SALE FACTOR 0 00013551 CUSIP 251563CG5				10 16	9 43	0 73
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 09/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 883 475, ORIGINAL COST 819 42, PURCHASE FACTOR 0 01177966, SALE FACTOR 0 01177966 CUSIP 251563CG5				883 47	819 42	64 05
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 10/25/13 TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 7 775, ORIGINAL COST 7 21, PURCHASE FACTOR 0 00010366, SALE FACTOR 0 00010366 CUSIP 251563CG5				7 77	7 21	0 56
75,000 000*	DEUTSCHE MTG SECS INC MTG LN ☒ 06/16/09 11/25/13 TRUST SERIES 2004-1 CL I-A-1				7 81	7 24	0 57

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	5 500% DUE 09/25/2033 ADJUSTED QUANTITY 7 811, ORIGINAL COST 7 24, PURCHASE FACTOR 0 00010414, SALE FACTOR 0 00010414 CUSIP 251563CG5						
75,000 000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY 7 848, ORIGINAL COST 7 28, PURCHASE FACTOR 0 00010464, SALE FACTOR 0 00010464 CUSIP 251563CG5	☒	06/16/09	12/25/13	7 85	7 28	0 57
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 557 516, ORIGINAL COST 554 73, PURCHASE FACTOR 0 00139379, SALE FACTOR 0 00139379 CUSIP 32051D6Y3	☒	08/17/10	01/25/13	557 51	554 73	2 78
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 1,112 520, ORIGINAL COST 1,106 96, PURCHASE FACTOR 0 0027813, SALE FACTOR 0 0027813 CUSIP 32051D6Y3	☒	08/17/10	02/25/13	1,112 52	1,106 96	5 56
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 742 756, ORIGINAL COST 739 04, PURCHASE FACTOR 0 00185689, SALE FACTOR 0 00185689 CUSIP 32051D6Y3	☒	08/17/10	03/25/13	742 76	739 04	3 72
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 470 736, ORIGINAL COST 468 38, PURCHASE FACTOR 0 00117684, SALE FACTOR 0 00117684 CUSIP 32051D6Y3	☒	08/17/10	04/25/13	470 74	468 38	2 36
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 1,408 276, ORIGINAL COST 1,401 23, PURCHASE FACTOR 0 00352069, SALE FACTOR 0 00352069 CUSIP 32051D6Y3	☒	08/17/10	05/25/13	1,408 28	1,401 23	7 05
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED	☒	08/17/10	06/25/13	2,938 74	2,924 05	14 69

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	6 250% DUE 10/25/2034 ADJUSTED QUANTITY 2,938 740, ORIGINAL COST 23,392 37, PURCHASE FACTOR 0 00734685, SALE FACTOR 0 00734685 CUSIP 32051D6Y3						
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 1,469 012, ORIGINAL COST 1,461 67, PURCHASE FACTOR 0 00367253, SALE FACTOR 0 00367253 CUSIP 32051D6Y3	☒	08/17/10	07/25/13	1,469 01	1,461 67	7 34
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 905 880, ORIGINAL COST 901 35, PURCHASE FACTOR 0 0022647, SALE FACTOR 0 0022647 CUSIP 32051D6Y3	☒	08/17/10	08/25/13	905 88	901 35	4 53
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 146 832, ORIGINAL COST 146 10, PURCHASE FACTOR 0 00036708, SALE FACTOR 0 00036708 CUSIP 32051D6Y3	☒	08/17/10	09/25/13	146 83	146 10	0 73
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 398 652, ORIGINAL COST 396 66, PURCHASE FACTOR 0 00099663, SALE FACTOR 0 00099663 CUSIP 32051D6Y3	☒	08/17/10	10/25/13	398 65	396 66	1 99
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 1,634 216, ORIGINAL COST 1,626 04, PURCHASE FACTOR 0 00408554, SALE FACTOR 0 00408554 CUSIP 32051D6Y3	☒	08/17/10	11/25/13	1,634 21	1,626 04	8 17
400,000 000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6 250% DUE 10/25/2034 ADJUSTED QUANTITY 828 528, ORIGINAL COST 824 39, PURCHASE FACTOR 0 00207132, SALE FACTOR 0 00207132 CUSIP 32051D6Y3	☒	08/17/10	12/25/13	828 53	824 39	4 14
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030	☒	05/03/06	01/25/13	147 24	146 69	0 55

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ADJUSTED QUANTITY 147 239, ORIGINAL COST 148 69, PURCHASE FACTOR 0 00077494, SALE FACTOR 0 00077494 CUSIP 31407EJX2						
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 154 204, ORIGINAL COST 153 63, PURCHASE FACTOR 0 0008116, SALE FACTOR 0 0008116 CUSIP 31407EJX2	☒	05/03/06	02/25/13	154 20	153 63	0 57
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 13,109 588, ORIGINAL COST 13,060 43, PURCHASE FACTOR 0 06899783, SALE FACTOR 0 06899783 CUSIP 31407EJX2	☒	05/03/06	03/25/13	13,109 59	13,060 43	49 16
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 115 775, ORIGINAL COST 115 34, PURCHASE FACTOR 0 00060934, SALE FACTOR 0 00060934 CUSIP 31407EJX2	☒	05/03/06	04/25/13	115 77	115 34	0 43
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 116 392, ORIGINAL COST 115 96, PURCHASE FACTOR 0 00061259, SALE FACTOR 0 00061259 CUSIP 31407EJX2	☒	05/03/06	05/28/13	116 39	115 96	0 43
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 122 096, ORIGINAL COST 973 10, PURCHASE FACTOR 0 00064261, SALE FACTOR 0 00064261 CUSIP 31407EJX2	☒	05/03/06	06/25/13	122 10	121 64	0 46
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 117 006, ORIGINAL COST 116 57, PURCHASE FACTOR 0 00061582, SALE FACTOR 0 00061582 CUSIP 31407EJX2	☒	05/03/06	07/25/13	117 01	116 57	0 44
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 7,989 388, ORIGINAL COST 7,959 43, PURCHASE FACTOR 0 04204941, SALE FACTOR 0 04204941 CUSIP 31407EJX2	☒	05/03/06	08/26/13	7,989 39	7,959 43	29 96
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 99 965, ORIGINAL COST 99 59, PURCHASE FACTOR 0 00052613, SALE FACTOR 0 00052613 CUSIP 31407EJX2	☒	05/03/06	09/25/13	99 96	99 59	0 37
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 96 980, ORIGINAL COST 96 62, PURCHASE FACTOR 0 00051042, SALE FACTOR 0 00051042 CUSIP 31407EJX2	☒	05/03/06	10/25/13	96 98	96 62	0 36

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190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 98 171, ORIGINAL COST 97 80, PURCHASE FACTOR 0 00051669, SALE FACTOR 0 00051669 CUSIP 31407EJX2	☒	05/03/06	11/25/13	98 17	97 80	0 37
190,000 000*	FNMA GTD PASS THRU POOL#828378 6 000% DUE 06/01/2030 ADJUSTED QUANTITY 100 884, ORIGINAL COST 100 51, PURCHASE FACTOR 0 00053097, SALE FACTOR 0 00053097 CUSIP 31407EJX2	☒	05/03/06	12/26/13	100 88	100 51	0 37
25,000 000	GENERAL ELEC CAP CORP MEDIUM TERM NOTES 4 800% DUE 05/01/2013 CUSIP 36962G3T9	☒	01/14/09	05/01/13	25,000 00	24,975 00	25 00
263 000	GENERAL ELECTRIC CAPITAL CORP PUBLIC INCOME NTES 6 625% DUE 6/28/2032 PINES BOOK ENTRY CUSIP 369622527	☒	04/24/06	01/02/13	6,575 00	6,575 00	0 00
25,000 000	HOME DEPOT INC SR NT 5 250% DUE 12/16/2013 CUSIP 437076AR3	☒	11/04/08	12/16/13	25,000 00	21,158 45	3,841 55
150,000 000	J P MORGAN CHASE & CO GLOBAL SUB NOTE 5 750% DUE 01/02/2013 CUSIP 46625HAT7	☒	04/25/06	01/02/13	150,000 00	149,958 00	42 00
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 378 329, ORIGINAL COST 384 00, PURCHASE FACTOR 0 00713828, SALE FACTOR 0 00713828 CUSIP 55265KQ28	☒	07/28/10	01/25/13	378 32	384 00	-5 68
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 273 992, ORIGINAL COST 278 10, PURCHASE FACTOR 0 00516966, SALE FACTOR 0 00516966 CUSIP 55265KQ28	☒	07/28/10	02/25/13	273 99	278 10	-4 11
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 257 979, ORIGINAL COST 261 85, PURCHASE FACTOR 0 00486753, SALE FACTOR 0 00486753 CUSIP 55265KQ28	☒	07/28/10	03/25/13	257 98	261 85	-3 87

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 150 659, ORIGINAL COST 152 92, PURCHASE FACTOR 0 00284263, SALE FACTOR 0 00284263 CUSIP 55265KQ28	☒	07/28/10	04/25/13	150 66	152 92	-2 26
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 473 192, ORIGINAL COST 480 29, PURCHASE FACTOR 0 00892816, SALE FACTOR 0 00892816 CUSIP 55265KQ28	☒	07/28/10	05/25/13	473 19	480 29	-7 10
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 135 654, ORIGINAL COST 1,101 51, PURCHASE FACTOR 0 00255951, SALE FACTOR 0 00255951 CUSIP 55265KQ28	☒	07/28/10	06/25/13	135 65	137 69	-2 04
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 262 116, ORIGINAL COST 266 05, PURCHASE FACTOR 0 00494559, SALE FACTOR 0 00494559 CUSIP 55265KQ28	☒	07/28/10	07/25/13	262 12	266 05	-3 93
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 153 152, ORIGINAL COST 155 45, PURCHASE FACTOR 0 00288966, SALE FACTOR 0 00288966 CUSIP 55265KQ28	☒	07/28/10	08/25/13	153 15	155 45	-2 30
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 315 418, ORIGINAL COST 320 15, PURCHASE FACTOR 0 00595128, SALE FACTOR 0 00595128 CUSIP 55265KQ28	☒	07/28/10	09/25/13	315 42	320 15	-4 73
53,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 20 501, ORIGINAL COST 20 81, PURCHASE FACTOR 0 00038682, SALE FACTOR 0 00038682 CUSIP 55265KQ28	☒	07/28/10	10/25/13	20 50	20 81	-0 31

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53,000 000*	MASTRASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 85 426, ORIGINAL COST 86 71, PURCHASE FACTOR 0 00161182, SALE FACTOR 0 00161182 CUSIP 55265KQ28	☒	07/28/10	11/25/13	85 43	86 71	-1 28
53,000 000*	MASTRASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5 500% DUE 10/25/2033 ADJUSTED QUANTITY 196 194, ORIGINAL COST 199 14, PURCHASE FACTOR 0 00370177, SALE FACTOR 0 00370177 CUSIP 55265KQ28	☒	07/28/10	12/25/13	196 19	199 14	-2 95
5,000 000	NUVEEN MUNICIPAL OPPORTUNITY FUND INC SYMBOL NIO, CUSIP 670984103	☒	08/16/11	07/25/13	64,524 87	67,997 15	-3,472 28
1,000 000	SPDR BARCLAYS HIGH YIELD BOND ETF SYMBOL JNK, CUSIP 78464A417	☒	10/25/11	02/15/13	40,679 11	38,949 90	1,729 21
2,000 000	SPDR BARCLAYS HIGH YIELD BOND ETF SYMBOL JNK, CUSIP 78464A417	☒	10/20/11	02/15/13	81,358 22	75,657 40	5,700 82
5,000 000	WESTERNASSET EMERGING MKTS DEBT FD INC SYMBOL ESD, CUSIP 95766A101	☒	10/25/11	03/15/13	105,835 20	94,378 00	11,457 20
Long-Term Subtotal					602,474 27		20,138 61
Total Gains and Losses					1,493,447 33		19,796.35
Short-Term							-342 26
Long-Term							20,138.61
Term Unknown							0.00

* Return of principal Quantity represents face value

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,670 000	ASHLAND INC SYMBOL ASH, CUSIP 044209104	☐	09/25/13	10/03/13	144,653 78	155,793 82	-11,140 04
400 000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CLA SYMBOL BIDU, CUSIP 056752108	☐	09/24/13	11/05/13	60,434 94	60,971 96	-537 02
4,600 000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL BP, CUSIP 055622104	☐	05/14/13	08/12/13	188,993 06	198,253 10	-9,260 04
3,214 000	CONAGRA FOODS INC SYMBOL CAG, CUSIP 205887102	☐	08/08/13	08/28/13	109,678 41	117,856 36	-8,177 95
5,000 000	FIRST TR ISE REVERE NAT GAS INDEX FD SYMBOL FCG, CUSIP 33734J102	☐	10/11/13	11/01/13	96,648 31	98,699 50	-2,051 19
3,600 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	11/07/13	11/21/13	121,678 24	137,952 99	-16,274 75
100 000	GOOGLE INC CLA SYMBOL GOOG, CUSIP 38259P508	☐	09/19/13	10/08/13	85,332 22	89,879 18	-4,546 96
1,500 000	HEALTH CARE REIT INC SYMBOL HCN, CUSIP 42217K106	☐	03/12/13	06/03/13	101,104 24	97,131 15	3,973 09
2,400 000	INTERNATIONAL PAPER CO SYMBOL IP, CUSIP 460146103	☐	12/17/12	04/18/13	107,961 58	91,629 60	16,331 98
1,980 000	ISHARES CHINA LARGE CAP ETF SYMBOL FXI, CUSIP 464287184	☐	09/17/13	11/13/13	71,496 55	75,952 60	-4,456 05
2,800 000	ISHARES INC MSCI AUSTRALIA ETF SYMBOL EWA, CUSIP 464286103	☐	11/06/13	12/03/13	69,998 78	75,597 20	-5,598 42
1,800 000	ISHARES MSCI MALAYSIA ETF SYMBOL EWM, CUSIP 464286830	☐	09/24/13	10/31/13	28,637 50	28,023 84	613 66
1,250 000	ISHARES MSCI SOUTH KOREA CAPPED ETF SYMBOL EWY, CUSIP 464286772	☐	09/17/13	11/13/13	76,330 16	77,162 50	-832 34

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
920 000	ISHARES NASDAQ BIOTECHNOLOGY INDEX FD SYMBOL IBB, CUSIP 464287556	☐	05/14/13	10/30/13	192,186 65	170,748 49	21,438 16
1,000 000	ISHARES U S AEROSPACE & DEFENCE ETF SYMBOL ITA, CUSIP 464288760	☐	12/02/13	12/13/13	100,738 34	102,549 90	-1,811 56
1,600 000	LINN CO LLC COM SYMBOL LNCO, CUSIP 535782106	☐	01/23/13	05/06/13	61,854 61	61,112 00	742 61
4,042 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☐	03/26/13	08/08/13	117,660 57	115,599 53	2,061 04
3,000 000	PROSHARES SHORT S&P500 ETF SYMBOL SH, CUSIP 74347R503	☐	12/12/13	12/23/13	76,591 66	78,837 00	-2,245 34
5,150 000	SECTOR SPDR - FINANCIAL SHS BEN INT SYMBOL XLF, CUSIP 81369Y605	☐	05/08/13	06/26/13	99,397 39	99,343 50	53 89
766 000	SECTOR SPDR - FINANCIAL SHS BEN INT SYMBOL XLF, CUSIP 81369Y605	☐	05/08/13	10/01/13	15,335 05	14,776 14	558 91
4,400 000	SECTOR SPDR - FINANCIAL SHS BEN INT SYMBOL XLF, CUSIP 81369Y605	☐	05/14/13	10/01/13	88,086 47	86,191 90	1,894 57
3,000 000	SPDR SER TR S&P REGL BKG ETF SYMBOL KRE, CUSIP 78464A698	☐	06/26/13	08/27/13	106,777 37	100,349 70	6,427 67
1,470 000	WISDOM TREE EMERGING MARKETS EQUITY INCOME FUND ETF SYMBOL DEM, CUSIP 97717W315	☐	01/03/13	03/19/13	80,039 70	85,127 70	-5,088 00
2,600 000	WISDOMTREE JAPAN HEDGED EQUITY FUND SYMBOL DXJ, CUSIP 97717W851	☐	12/17/12	03/25/13	111,574 38	89,933 99	21,640 39
2,100 000	WISDOMTREE TRUST JAPAN SMALLCAP DIVIDEND FUND ETF SYMBOL DFJ, CUSIP 97717W836	☐	12/17/12	04/01/13	98,245 88	90,152 72	8,093 16
Short-Term Subtotal					2,411,435.84		11,809.47

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5,700 000	ALTRIA GROUP INC SYMBOL MO, CUSIP 02209S103	☐	02/03/11	08/08/13	201,492 49	135,941 00	65,551 49

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4,570 000	AMERICAN CAPITAL AGENCY CORP COMMON STOCK SYMBOL AGNC, CUSIP 02503X105	☐	08/16/11	05/13/13	132,162 43	136,199 19	-4,036 76
2,000 000	ANNALY CAPITAL MANAGEMENT INC ORIGINAL COST 36,278 00 WASH SALE REPLACEMENT ADDITION 1,520 66 WASH SALE LOSS DISALLOWED 0 00 SYMBOL NLY, CUSIP 035710409	☐	07/01/11	07/05/13	22,720 60	37,798 66	-15,078 06
2,000 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	12/22/11	07/05/13	22,720 60	33,715 00	-10,994 40
470 000	CHEVRON CORPORATION SYMBOL CVX CUSIP 168764100	☐	08/31/11	12/27/13	58,834 75	46,463 50	12,371 26
550 000	CHEVRON CORPORATION SYMBOL CVX, CUSIP 168764100	☐	08/31/11	12/27/13	68,903 79	54,372 18	14,531 62
675 000	COSTCO WHOLESALE CORP-NEW SYMBOL COST, CUSIP 22160K105	☒	11/05/10	08/28/13	74,853 17	44,360 33	30,492 84
1,225 000	COSTCO WHOLESALE CORP-NEW SYMBOL COST, CUSIP 22160K105	☐	08/26/11	08/28/13	135,844 65	94,719 61	41,125 04
1,000 000	DOMINION RESOURCES INC VA NEW SYMBOL D, CUSIP 25746U109	☐	08/23/11	12/27/13	64,548 97	48,956 09	15,592 88
3,200 000	ELI LILLY & CO SYMBOL LLY, CUSIP 532457108	☐	07/10/12	11/27/13	161,314 35	137,344 00	23,970 35
2,900 000	HOME DEPOT INC SYMBOL HD, CUSIP 437076102	☐	12/07/11	08/21/13	213,963 27	117,594 71	96,368 56
1,000 000	JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP LEVEL OF INDEX SYMBOL AMJ, CUSIP 46625H365	☒	08/15/11	09/19/13	44,786 96	36,160 00	8,626 96
3,300 000	JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP LEVEL OF INDEX SYMBOL AMJ, CUSIP 46625H365	☒	06/28/11	09/19/13	147,796 96	120,843 00	26,953 96
1,600 000	MARKET VECTORS ETF TR JR GOLD MINES CUSIP 57060U589	☒	08/31/11	01/23/13	32,207 43	60,047 84	-27,840 41
1,000 000	MARKET VECTORS ETF TRUST GOLD MINERS ETF SYMBOL GDX, CUSIP 57060U100	☒	07/07/11	05/14/13	28,960 15	56,949 90	-27,989 75
4,200 000	NATIONAL RETAIL PROPERTIES INC SYMBOL NNN, CUSIP 637417106	☐	07/07/11	08/16/13	134,752 29	104,427 87	30,324 42
1,075 000	ROYAL GOLD INC SYMBOL RGLD, CUSIP 780287108	☐	04/08/11	05/14/13	56,973 67	58,247 55	-1,273 88

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Long-Term (con't)								
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS	
4,700 000	SELECT SCTOR SPDR TR SBI UTILS SELECT INDEX SYMBOL XLU, CUSIP 81369Y886	☐	06/08/12	07/01/13	175,071 95	172,864 83	2,207 12	
3,565 000	SPDR BARCLAYS HIGH YIELD BOND ETF SYMBOL JNK, CUSIP 78464A417	☒	10/20/11	02/15/13	145,021 04	134,859 32	10,161 72	
700 000	VERIZON COMMUNICATIONS SYMBOL VZ, CUSIP 92343V104	☐	09/20/11	01/23/13	29,869 38	25,521 80	4,347 58	
4,000 000	VERIZON COMMUNICATIONS SYMBOL VZ, CUSIP 92343V104	☐	09/20/11	08/15/13	194,304 73	145,838 85	48,465 88	
Long-Term Subtotal					2,147,103.63		343,878.42	
Total Gains and Losses					4,558,539.47		355,687.89	
Short-Term							11,809.47	
Long-Term							343,878.42	
Term Unknown							0.00	

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
85 000	ABBOTT LABORATORIES SYMBOL ABT, CUSIP 002824100	☐	08/29/13	09/19/13	3,012 21	2,884 55	127 66
115 000	AGL RESOURCES INC SYMBOL GAS, CUSIP 001204106	☐	02/27/13	04/15/13	4,938 54	4,604 26	334 28
75 000	AMERICAN CAPITAL MORTGAGE INVESTMENT CORP SYMBOL MTGE, CUSIP 02504A104	☐	02/12/13	08/14/13	1,500 08	1,929 04	-428 96
155 000	AMERICAN EAGLE OUTFITTERS INC SYMBOL AEO, CUSIP 02553E106	☐	06/17/13	09/03/13	2,233 99	2,971 60	-737 61
675 000	ANWORTH MORTGAGE ASSET CORP SYMBOL ANH, CUSIP 037347101	☐	07/25/12	07/05/13	3,283 48	4,573 87	-1,290 39
385 000	ANWORTH MORTGAGE ASSET CORP SYMBOL ANH, CUSIP 037347101	☐	12/26/12	12/20/13	1,600 61	2,279 62	-679 01
435 000	ANWORTH MORTGAGE ASSET CORP SYMBOL ANH, CUSIP 037347101	☐	09/25/13	12/20/13	1,808 48	2,103 66	-295 18
285 000	APPLIED MATERIALS INC SYMBOL AMAT, CUSIP 038222105	☐	11/16/12	01/08/13	3,294 04	2,914 38	379 66
70 000	ARLINGTON ASSET INVESTMENT CORP CL-A SYMBOL AI, CUSIP 041356205	☐	09/25/13	12/11/13	1,859 80	1,868 86	-9 06
125 000	ARMOUR RESIDENTIAL REIT INC SYMBOL ARR, CUSIP 042315101	☐	12/18/12	05/14/13	741 54	812 98	-71 44
200 000	ARMOUR RESIDENTIAL REIT INC SYMBOL ARR, CUSIP 042315101	☐	12/18/12	05/24/13	1,100 76	1,300 80	-200 04
235 000	ARMOUR RESIDENTIAL REIT INC SYMBOL ARR, CUSIP 042315101	☐	12/18/12	05/29/13	1,161 65	1,528 44	-366 79
340 000	ARMOUR RESIDENTIAL REIT INC SYMBOL ARR, CUSIP 042315101	☐	12/18/12	06/11/13	1,622 52	2,211 35	-588 83
235 000	ARMOUR RESIDENTIAL REIT INC SYMBOL ARR, CUSIP 042315101	☐	12/31/12	06/11/13	1,121 45	1,499 32	-377 87
45 000	BLACKROCK KELSO CAP	☐	08/22/12	01/24/13	473 82	426 15	47 67

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	CORPORATION SYMBOL BKCC, CUSIP 092533108						
135 000	BLACKROCK KELSO CAP CORPORATION SYMBOL BKCC, CUSIP 092533108	☐	09/25/12	07/30/13	1,362 26	1,298 05	64 21
155 000	CALIFORNIA WATER SERVICE GROUP SYMBOL CWT, CUSIP 130788102	☐	03/21/13	08/01/13	3,342 75	2,987 41	355 34
50 000	CARDINAL HEALTH INC SYMBOL CAH, CUSIP 14149Y108	☐	09/06/12	01/24/13	2,241 34	1,968 60	272 74
40 000	CARDINAL HEALTH INC SYMBOL CAH, CUSIP 14149Y108	☐	09/06/12	08/01/13	2,033 48	1,574 88	458 60
85 000	CONAGRA FOODS INC SYMBOL CAG, CUSIP 205887102	☐	08/29/13	12/19/13	2,857 99	2,895 51	-37 52
45 000	CONAGRA FOODS INC SYMBOL CAG, CUSIP 205887102	☐	09/10/13	12/19/13	1,513 05	1,404 49	108 57
280 000	CYPRESS SEMICONDUCTOR CORP SYMBOL CY, CUSIP 232806109	☐	09/27/12	01/03/13	3,097 63	2,911 38	186 25
185 000	CYPRESS SEMICONDUCTOR CORP SYMBOL CY, CUSIP 232806109	☐	04/19/13	05/23/13	2,007 31	1,754 19	253 12
185 000	CYPRESS SEMICONDUCTOR CORP SYMBOL CY, CUSIP 232806109	☐	11/13/12	05/23/13	2,007 31	1,667 90	339 41
160 000	CYPRESS SEMICONDUCTOR CORP SYMBOL CY, CUSIP 232806109	☐	04/19/13	08/09/13	2,000 09	1,499 54	500 55
205 000	CYS INVESTMENTS INC SYMBOL CYS, CUSIP 12673A108	☐	10/18/12	07/05/13	1,673 13	2,722 99	-1,049 86
25 000	CYS INVESTMENTS INC SYMBOL CYS, CUSIP 12673A108	☐	08/22/12	07/05/13	204 04	342 92	-138 88
75 000	DEUTSCHE TELEKOM AG SPONSORED ADR SYMBOL DTEGY, CUSIP 251566105	☐	09/25/13	12/24/13	1,280 41	1,080 00	200 41
80 000	DEUTSCHE TELEKOM AG SPONSORED ADR SYMBOL DTEGY, CUSIP 251566105	☐	06/27/13	12/24/13	1,365 77	934 35	431 42
125 000	DEUTSCHE TELEKOM AG SPONSORED ADR SYMBOL DTEGY, CUSIP 251566105	☐	06/18/13	12/24/13	2,134 01	1,495 79	638 22
60 000	ELI LILLY & CO SYMBOL LLY, CUSIP 532457108	☐	06/18/13	08/13/13	3,354 08	3,134 77	219 31
30 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☐	08/01/13	08/19/13	2,613 64	2,761 14	-147 50
35 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☐	08/01/13	11/26/13	3,312 92	3,221 32	91 60

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20 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☐	09/25/13	11/26/13	1,893 10	1,740 60	152 50
60 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☐	10/09/13	11/26/13	5,679 30	5,118 29	561 01
20 000	FORD MOTOR CO PAR \$0 01 SYMBOL F, CUSIP 345370860	☐	08/22/12	05/13/13	279 27	190 20	89 07
245 000	FORD MOTOR CO PAR \$0 01 SYMBOL F, CUSIP 345370860	☐	07/27/12	05/13/13	3,421 07	2,203 02	1,218 05
15 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	03/26/13	09/20/13	364 11	346 05	18 06
105 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	03/26/13	12/03/13	2,782 05	2,422 35	359 70
120 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	09/04/13	12/03/13	3,179 49	2,774 01	405 47
85 000	HALLIBURTON COMPANY SYMBOL HAL, CUSIP 406216101	☐	04/05/12	02/13/13	3,463 25	2,780 35	682 90
100 000	HEWLETT PACKARD CO SYMBOL HPQ, CUSIP 428236103	☐	09/25/13	12/05/13	2,753 02	2,123 80	629 22
135 000	HEWLETT PACKARD CO SYMBOL HPQ, CUSIP 428236103	☐	08/22/13	12/05/13	3,716 57	3,019 92	696 65
75 000	HOSPITALITY PROPERTIES TRUST SBI SYMBOL HPT, CUSIP 44106M102	☐	03/19/13	08/16/13	2,014 46	1,935 12	79 34
120 000	INTEL CORP SYMBOL INTC, CUSIP 458140100	☐	01/28/13	06/14/13	2,987 94	2,523 66	464 28
15 000	INTEL CORP SYMBOL INTC, CUSIP 458140100	☐	08/01/13	09/19/13	360 59	346 64	13 95
95 000	INTEL CORP SYMBOL INTC, CUSIP 458140100	☐	01/28/13	09/19/13	2,283 76	1,997 90	285 86
225 000	INTEL CORP SYMBOL INTC, CUSIP 458140100	☐	08/01/13	12/24/13	5,726 98	5,199 59	527 39
70 000	ISHARES MSCI BRAZIL CAPPED ETF SYMBOL EWZ, CUSIP 464286400	☐	07/11/13	09/19/13	3,453 02	2,952 96	500 06
25 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☐	04/11/12	04/01/13	2,044 79	1,602 50	442 29
25 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☐	06/06/12	04/01/13	2,044 79	1,561 83	482 96
20 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☐	09/25/13	11/01/13	1,859 60	1,747 40	112 20

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25 000	JPMORGAN CHASE & CO SYMBOL JPM, CUSIP 46625H100	☐	06/04/12	02/27/13	1,231 01	785 14	445 87
25 000	KLA-TENCOR CORP SYMBOL KLAC, CUSIP 482480100	☐	03/27/13	05/20/13	1,390 62	1,289 44	101 18
65 000	KLA-TENCOR CORP SYMBOL KLAC, CUSIP 482480100	☐	11/19/12	05/20/13	3,615 61	2,882 23	733 38
55 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	05/21/12	02/27/13	2,547 39	2,615 24	-67 85
25 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	12/20/12	02/27/13	1,157 90	1,092 53	65 38
35 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	12/20/12	04/12/13	1,701 71	1,529 54	172 17
15 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	12/20/12	08/14/13	753 29	655 52	97 77
15 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	12/26/12	08/14/13	753 29	639 59	113 70
30 000	KOHL'S CORP SYMBOL KSS, CUSIP 500255104	☐	12/26/12	08/27/13	1,501 38	1,279 18	222 20
30 000	KROGER CO SYMBOL KR, CUSIP 501044101	☐	08/22/12	04/01/13	987 85	660 90	326 95
85 000	KROGER CO SYMBOL KR, CUSIP 501044101	☐	06/12/12	04/01/13	2,798 91	1,826 44	972 47
180 000	MARVELL TECHNOLOGY GROUP LTD SYMBOL MRVL, CUSIP G5876H105	☐	09/13/12	08/14/13	2,419 60	1,844 22	575 38
95 000	MARVELL TECHNOLOGY GROUP LTD SYMBOL MRVL, CUSIP G5876H105	☐	09/06/13	11/05/13	1,245 33	1,144 64	100 70
100 000	MEDLEY CAPITAL CORPORATION SYMBOL MCC, CUSIP 58503F106	☐	05/14/13	12/26/13	1,414 02	1,541 91	-127 89
115 000	MEDLEY CAPITAL CORPORATION SYMBOL MCC, CUSIP 58503F106	☐	06/06/13	12/26/13	1,626 13	1,635 08	-8 95
165 000	MEDLEY CAPITAL CORPORATION SYMBOL MCC, CUSIP 58503F106	☐	09/04/13	12/26/13	2,333 14	2,157 38	175 76
10 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	10/22/13	12/03/13	497 13	465 19	31 93
40 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	09/25/13	12/03/13	1,988 50	1,916 00	72 50
45 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	12/31/12	12/03/13	2,237 07	1,832 94	404 13

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105 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	11/12/12	04/04/13	2,988 23	2,970 44	17 79
20 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	11/13/12	04/04/13	569 19	543 20	25 99
20 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	11/16/12	04/10/13	604 19	533 00	71 19
35 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	11/13/12	04/10/13	1,057 33	950 60	106 73
40 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	11/16/12	06/10/13	1,413 97	1,066 00	347 97
60 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	02/26/13	06/10/13	2,120 96	1,642 18	478 78
27 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	188 99	177 62	11 37
50 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	349 99	328 92	21 07
50 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	349 99	328 92	21 07
50 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	350 00	328 92	21 08
100 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	699 98	657 84	42 14
100 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	01/31/13	700 00	657 84	42 16
25 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	02/01/13	176 99	164 46	12 53
25 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	02/01/13	177 24	164 46	12 78
25 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	02/01/13	177 24	164 46	12 78
25 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	02/01/13	177 24	164 46	12 78
45 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	04/30/13	12/13/13	321 16	310 50	10 66
335 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	09/25/13	12/13/13	2,390 85	2,140 32	250 53
485 000	NEWCASTLE INVESTMENT CORP SYMBOL NCT, CUSIP 65105M108	☐	07/26/12	01/07/13	4,645 27	3,288 30	1,356 97
55 000	NEWCASTLE INVESTMENT CORP SYMBOL NCT, CUSIP 65105M108	☐	08/22/12	01/24/13	561 81	427 35	134 46

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280 000	NEWCASTLE INVESTMENT CORP SYMBOL NCT, CUSIP 65105M108	☐	07/26/12	01/24/13	2,860 10	1,898 40	961 70
50 000	NEWMONT MINING CORP HOLDING CO SYMBOL NEM, CUSIP 651639106	☐	03/28/13	06/20/13	1,525 09	2,085 48	-560 39
40 000	NEWMONT MINING CORP HOLDING CO SYMBOL NEM, CUSIP 651639106	☐	04/10/13	06/21/13	1,156 36	1,559 20	-402 84
25 000	NEWMONT MINING CORP HOLDING CO SYMBOL NEM, CUSIP 651639106	☐	03/28/13	06/21/13	722 72	1,042 74	-320 02
110 000	NORTHSTAR REALTY FINANCE CORP SYMBOL NRF, CUSIP 66704R100	☐	08/22/12	01/24/13	868 53	632 34	236 19
380 000	NORTHSTAR REALTY FINANCE CORP SYMBOL NRF, CUSIP 66704R100	☐	06/08/12	01/24/13	3,000 37	1,923 37	1,077 00
75 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☐	09/09/13	10/24/13	2,304 25	2,110 50	193 75
105 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☐	06/24/13	10/24/13	3,225 95	2,937 73	288 22
185 000	POWERSHARES QQQ TRUST SERIES 1 SYMBOL QQQ, CUSIP 73935A104	☐	02/27/13	02/27/13	12,463 17	12,422 75	40 42
40 000	POWERSHARES QQQ TRUST SERIES 1 SYMBOL QQQ, CUSIP 73935A104	☐	02/27/13	02/28/13	2,703 99	2,686 00	17 99
805 000	PROSHARES SHORT RUSSELL2000 ETF SYMBOL RWM, CUSIP 74347R826	☐	09/20/13	12/20/13	13,850 75	14,887 11	-1,036 36
540 000	PROSHARES SHORT RUSSELL2000 ETF SYMBOL RWM, CUSIP 74347R826	☐	09/25/13	12/20/13	9,291 18	9,978 55	-687 37
140 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 3,989 93 WASH SALE REPLACEMENT ADDITION 298 29 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	11/26/12	04/04/13	2,948 77	4,288 22	-1,339 46
125 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	12/19/12	04/04/13	2,632 83	3,183 75	-550 92
15 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	11/23/12	04/04/13	315 94	429 15	-113 21

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE			
10 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	11/26/12	04/04/13	210 63	285 00	-74 37
245 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	05/09/13	06/21/13	4,648 72	4,580 86	67 86
170 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	06/12/13	06/21/13	3,225 64	3,076 95	148 69
340 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 6,014 50 WASH SALE LOSS DISALLOWED 766 02 CUSIP 74348A202	☐	07/02/13	07/18/13	5,248 48	5 248 48	0 00
295 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 4,492 85 WASH SALE REPLACEMENT ADDITION 664 64 WASH SALE LOSS DISALLOWED 440 32 CUSIP 74348A202	☐	08/01/13	08/20/13	4,717 17	4,717 17	0 00
140 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 2,155 81 WASH SALE REPLACEMENT ADDITION 208 96 WASH SALE LOSS DISALLOWED 107 68 CUSIP 74348A202	☐	08/06/13	08/29/13	2,257 10	2,257 10	0 00
30 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 461 96 WASH SALE REPLACEMENT ADDITION 67 59 WASH SALE LOSS DISALLOWED 45 89 CUSIP 74348A202	☐	08/06/13	08/29/13	483 66	483 66	0 00
15 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 230 98 WASH SALE LOSS DISALLOWED 40 83 CUSIP 74348A202	☐	08/06/13	09/16/13	223 95	223 95	0 00
155 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 2 384 76 WASH SALE REPLACEMENT ADDITION 231 35 WASH SALE LOSS DISALLOWED 302 00 CUSIP 74348A202	☐	08/12/13	09/16/13	2,314 12	2,314 12	0 00
40 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 615 42 WASH SALE REPLACEMENT ADDITION 30 77	☐	08/12/13	09/18/13	579 99	579 99	0 00

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	WASH SALE LOSS DISALLOWED 66 20 CUSIP 74348A202						
100 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 1,607 63 WASH SALE REPLACEMENT ADDITION 76 92 WASH SALE LOSS DISALLOWED 234 58 CUSIP 74348A202	☐	08/15/13	09/18/13	1,449 97	1,449 97	0 00
30 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 482 29 WASH SALE REPLACEMENT ADDITION 45 89 WASH SALE LOSS DISALLOWED 93 19 CUSIP 74348A202	☐	08/15/13	09/18/13	434 99	434 99	0 00
25 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 401 90 WASH SALE LOSS DISALLOWED 39 42 CUSIP 74348A202	☐	08/15/13	09/18/13	362 49	362 49	0 00
155 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 2,242 12 WASH SALE REPLACEMENT ADDITION 302 00 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	09/27/13	10/09/13	2,351 71	2,544 12	-192 40
100 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 1,446 52 WASH SALE REPLACEMENT ADDITION 234 58 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	09/27/13	10/09/13	1,517 23	1,681 11	-163 88
30 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 433 95 WASH SALE REPLACEMENT ADDITION 93 19 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	09/27/13	10/09/13	455 17	527 15	-71 98
40 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 578 61 WASH SALE REPLACEMENT ADDITION 66 20 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	09/27/13	10/09/13	606 89	644 81	-37 92
15 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 216 97 WASH SALE REPLACEMENT ADDITION 40 83	☐	09/27/13	10/09/13	227 58	257 81	-30 22

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	WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202						
25 000	PROSHARES TR ULTRASHORT RUSSELL2000 ORIGINAL COST 361 63 WASH SALE REPLACEMENT ADDITION 39 42 WASH SALE LOSS DISALLOWED 0 00 CUSIP 74348A202	☐	09/27/13	10/09/13	379 31	401 05	-21 74
675 000	PROSHARES TR ULTRASHORT RUSSELL2000 CUSIP 74348A202	☐	09/27/13	10/09/13	10,241 32	9,764 08	477 24
460 000	PROSHARES TR ULTRAPRO SHORT S&P500 CUSIP 74348A632	☐	09/20/13	09/23/13	9,223 70	8,962 87	260 83
24 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,327 73 WASH SALE REPLACEMENT ADDITION 20 27 WASH SALE LOSS DISALLOWED 198 85 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	1,149 15	1,149 15	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 47 WASH SALE REPLACEMENT ADDITION 1 90 WASH SALE LOSS DISALLOWED 18 64 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	107 73	107 73	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 110 64 WASH SALE REPLACEMENT ADDITION 1 69 WASH SALE LOSS DISALLOWED 16 57 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	95 76	95 76	0 00
26 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,438 37 WASH SALE REPLACEMENT ADDITION 21 96 WASH SALE LOSS DISALLOWED 215 41 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	1,244 91	1,244 91	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 13 83 WASH SALE REPLACEMENT ADDITION 0 21	☐	11/01/12	02/27/13	11 97	11 97	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 2 07 SYMBOL SDS, CUSIP 74347B300						
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,078 78 WASH SALE REPLACEMENT ADDITION 10 12 WASH SALE LOSS DISALLOWED 155 21 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	933 69	933 69	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 262 77 WASH SALE REPLACEMENT ADDITION 2 46 WASH SALE LOSS DISALLOWED 37 81 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	227 44	227 44	0 00
7 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 428 74 WASH SALE REPLACEMENT ADDITION 4 02 WASH SALE LOSS DISALLOWED 61 69 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	371 08	371 08	0 00
9 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 525 55 WASH SALE REPLACEMENT ADDITION 4 93 WASH SALE LOSS DISALLOWED 75 62 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	454 87	454 87	0 00
8 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 470 23 WASH SALE REPLACEMENT ADDITION 4 41 WASH SALE LOSS DISALLOWED 67 66 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	406 99	406 99	0 00
8 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 456 40 WASH SALE REPLACEMENT ADDITION 115 76 WASH SALE LOSS DISALLOWED 177 15 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	395 02	395 02	0 00
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 345 76	☐	11/01/12	02/27/13	299 26	299 26	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 87 70						
	WASH SALE LOSS DISALLOWED 134 20						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/01/12	02/27/13	131 67	131 67	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 152 13						
	WASH SALE REPLACEMENT ADDITION 38 59						
	WASH SALE LOSS DISALLOWED 59 05						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	11/01/12	02/27/13	71 82	71 82	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 82 98						
	WASH SALE REPLACEMENT ADDITION 21 05						
	WASH SALE LOSS DISALLOWED 32 21						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	02/27/13	23 94	23 94	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 7 02						
	WASH SALE LOSS DISALLOWED 10 74						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	02/27/13	35 91	35 91	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 10 97						
	WASH SALE LOSS DISALLOWED 16 55						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	02/27/13	11 97	11 97	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 3 66						
	WASH SALE LOSS DISALLOWED 5 52						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	11/01/12	02/27/13	107 73	107 73	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 124 47						
	WASH SALE REPLACEMENT ADDITION 32 90						
	WASH SALE LOSS DISALLOWED 49 64						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/01/12	02/27/13	131 67	131 67	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 152 13						
	WASH SALE REPLACEMENT ADDITION 40 21						
	WASH SALE LOSS DISALLOWED 60 67						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	11/01/12	02/27/13	179 55	179 55	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 207 45						
	WASH SALE REPLACEMENT ADDITION 54 83						
	WASH SALE LOSS DISALLOWED 82 73						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	11/01/12	02/27/13	167 58	167 58	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 193 62						
	WASH SALE REPLACEMENT ADDITION 51 17						
	WASH SALE LOSS DISALLOWED 77 22						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/01/12	02/27/13	47 88	47 88	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 32						
	WASH SALE REPLACEMENT ADDITION 6 87						
	WASH SALE LOSS DISALLOWED 14 31						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/01/12	02/27/13	47 88	47 88	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 32						
	WASH SALE REPLACEMENT ADDITION 6 87						
	WASH SALE LOSS DISALLOWED 14 31						
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	11/01/12	02/27/13	251 38	251 38	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 290 44						
	WASH SALE REPLACEMENT ADDITION 36 05						
	WASH SALE LOSS DISALLOWED 75 11						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	11/01/12	02/27/13	179 55	179 55	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 207 45						
	WASH SALE REPLACEMENT ADDITION 25 75						
	WASH SALE LOSS DISALLOWED 53 65						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 304 27 WASH SALE REPLACEMENT ADDITION 37 76 WASH SALE LOSS DISALLOWED 78 69 SYMBOL SDS CUSIP 74347B300	☐	11/01/12	02/27/13	263 35	263 35	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 13 83 WASH SALE REPLACEMENT ADDITION 1 72 WASH SALE LOSS DISALLOWED 3 58 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	11 97	11 97	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 6 87 WASH SALE LOSS DISALLOWED 14 31 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	47 88	47 88	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 6 87 WASH SALE LOSS DISALLOWED 14 31 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	47 88	47 88	0 00
4 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 221 28 WASH SALE REPLACEMENT ADDITION 27 46 WASH SALE LOSS DISALLOWED 57 23 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	191 53	191 53	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98 WASH SALE REPLACEMENT ADDITION 10 30 WASH SALE LOSS DISALLOWED 21 46 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	71 82	71 82	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 152 13 WASH SALE REPLACEMENT ADDITION 18 88	☐	11/01/12	02/27/13	131 67	131 67	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 39 34 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 6 87 WASH SALE LOSS DISALLOWED 14 31 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	47 88	47 88	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 3 43 WASH SALE LOSS DISALLOWED 7 15 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	23 94	23 94	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 13 83 WASH SALE REPLACEMENT ADDITION 1 72 WASH SALE LOSS DISALLOWED 3 58 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	11 97	11 97	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 69 15 WASH SALE REPLACEMENT ADDITION 8 58 WASH SALE LOSS DISALLOWED 17 88 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	59 85	59 85	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 3 43 WASH SALE LOSS DISALLOWED 7 15 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	23 94	23 94	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 47 WASH SALE REPLACEMENT ADDITION 15 45 WASH SALE LOSS DISALLOWED 32 19 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	107 73	107 73	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 96 81	☐	11/01/12	02/27/13	83 79	83 79	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 12 02						
	WASH SALE LOSS DISALLOWED 25 04						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	02/27/13	11 97	11 97	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 1 72						
	WASH SALE LOSS DISALLOWED 3 58						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	02/27/13	23 94	23 94	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 43						
	WASH SALE LOSS DISALLOWED 7 15						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/01/12	02/27/13	131 67	131 67	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 152 13						
	WASH SALE REPLACEMENT ADDITION 18 88						
	WASH SALE LOSS DISALLOWED 39 34						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	11/01/12	02/27/13	95 76	95 76	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 110 64						
	WASH SALE REPLACEMENT ADDITION 13 73						
	WASH SALE LOSS DISALLOWED 28 61						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	02/27/13	11 97	11 97	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 1 72						
	WASH SALE LOSS DISALLOWED 3 58						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/01/12	02/27/13	59 85	59 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 69 15						
	WASH SALE REPLACEMENT ADDITION 8 58						
	WASH SALE LOSS DISALLOWED 17 88						
	SYMBOL SDS, CUSIP 74347B300						
5 000	PROSHARES TR	☐	11/01/12	02/27/13	239 41	239 41	0 00
	PROSHARES ULTRASHORT S&P 500						

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Schedule of Realized Gains and Losses (con't)

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 276 61 WASH SALE REPLACEMENT ADDITION 81 40 WASH SALE LOSS DISALLOWED 118 60 SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 110 64 WASH SALE REPLACEMENT ADDITION 29 24 WASH SALE LOSS DISALLOWED 44 12 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	95 76	95 76	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98 WASH SALE REPLACEMENT ADDITION 21 93 WASH SALE LOSS DISALLOWED 33 09 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	71 82	71 82	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 13 83 WASH SALE REPLACEMENT ADDITION 3 66 WASH SALE LOSS DISALLOWED 5 52 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	11 97	11 97	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 96 81 WASH SALE REPLACEMENT ADDITION 25 59 WASH SALE LOSS DISALLOWED 38 61 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	83 79	83 79	0 00
5 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 318 10 WASH SALE REPLACEMENT ADDITION 103 95 WASH SALE LOSS DISALLOWED 146 74 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	275 32	275 32	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 7 16 WASH SALE LOSS DISALLOWED 10 88 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	23 94	23 94	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 248 94 WASH SALE REPLACEMENT ADDITION 64 45 WASH SALE LOSS DISALLOWED 97 93 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	215 47	215 47	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 41 49 WASH SALE REPLACEMENT ADDITION 10 74 WASH SALE LOSS DISALLOWED 16 32 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	35 91	35 91	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 7 16 WASH SALE LOSS DISALLOWED 10 88 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	23 94	23 94	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 110 64 WASH SALE REPLACEMENT ADDITION 28 64 WASH SALE LOSS DISALLOWED 43 53 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	95 76	95 76	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 7 16 WASH SALE LOSS DISALLOWED 10 88 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	23 94	23 94	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98 WASH SALE REPLACEMENT ADDITION 11 75 WASH SALE LOSS DISALLOWED 22 91 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	02/27/13	71 82	71 82	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 3 92	☐	11/01/12	04/09/13	21 85	21 85	0 00

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Schedule of Realized Gains and Losses (cont)

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 9 73 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 3 92 WASH SALE LOSS DISALLOWED 9 73 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	21 85	21 85	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 96 81 WASH SALE REPLACEMENT ADDITION 13 71 WASH SALE LOSS DISALLOWED 34 05 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	76 47	76 47	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 47 WASH SALE REPLACEMENT ADDITION 32 22 WASH SALE LOSS DISALLOWED 58 38 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	98 32	98 32	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 14 32 WASH SALE LOSS DISALLOWED 25 95 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	43 70	43 70	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98 WASH SALE REPLACEMENT ADDITION 21 48 WASH SALE LOSS DISALLOWED 38 92 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	65 55	65 55	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 152 13 WASH SALE REPLACEMENT ADDITION 39 39 WASH SALE LOSS DISALLOWED 71 35 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	120 17	120 17	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98	☐	11/01/12	04/09/13	65 55	65 55	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 21 47						
	WASH SALE LOSS DISALLOWED 38 90						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 10 73						
	WASH SALE LOSS DISALLOWED 19 45						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 5 88						
	WASH SALE LOSS DISALLOWED 14 59						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/01/12	04/09/13	54 62	54 62	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 69 15						
	WASH SALE REPLACEMENT ADDITION 9 79						
	WASH SALE LOSS DISALLOWED 24 32						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/01/12	04/09/13	54 62	54 62	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 69 15						
	WASH SALE REPLACEMENT ADDITION 9 69						
	WASH SALE LOSS DISALLOWED 24 22						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 9 69						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 9 69						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 9 69						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	04/09/13	10 92	10 92	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 3 51						
	WASH SALE LOSS DISALLOWED 6 41						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 10 97						
	WASH SALE LOSS DISALLOWED 19 68						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	11/01/12	04/09/13	109 25	109 25	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 138 30						
	WASH SALE REPLACEMENT ADDITION 36 55						
	WASH SALE LOSS DISALLOWED 65 61						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	11/01/12	04/09/13	185 72	185 72	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 235 11						
	WASH SALE REPLACEMENT ADDITION 62 14						
	WASH SALE LOSS DISALLOWED 111 54						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	11/01/12	04/09/13	185 72	185 72	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 235 11						
	WASH SALE REPLACEMENT ADDITION 62 14						
	WASH SALE LOSS DISALLOWED 111 54						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	04/09/13	10 92	10 92	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 3 66						
	WASH SALE LOSS DISALLOWED 6 56						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 47 WASH SALE REPLACEMENT ADDITION 32 90 WASH SALE LOSS DISALLOWED 59 05 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	98 32	98 32	0 00
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 193 62 WASH SALE REPLACEMENT ADDITION 24 03 WASH SALE LOSS DISALLOWED 64 71 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	152 95	152 95	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 69 15 WASH SALE REPLACEMENT ADDITION 8 58 WASH SALE LOSS DISALLOWED 23 11 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	54 62	54 62	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 96 81 WASH SALE REPLACEMENT ADDITION 12 02 WASH SALE LOSS DISALLOWED 32 36 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	76 47	76 47	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 6 87 WASH SALE LOSS DISALLOWED 18 49 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	43 70	43 70	0 00
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 304 27 WASH SALE REPLACEMENT ADDITION 89 53 WASH SALE LOSS DISALLOWED 153 46 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	240 34	240 34	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 41 49 WASH SALE REPLACEMENT ADDITION 10 97	☐	11/01/12	04/09/13	32 77	32 77	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 19 68 SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 193 62 WASH SALE REPLACEMENT ADDITION 24 03 WASH SALE LOSS DISALLOWED 64 71 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	152 95	152 95	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 9 04 WASH SALE LOSS DISALLOWED 14 85 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	21 85	21 85	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 110 64 WASH SALE REPLACEMENT ADDITION 36 16 WASH SALE LOSS DISALLOWED 59 40 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	87 40	87 40	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 207 45 WASH SALE REPLACEMENT ADDITION 53 71 WASH SALE LOSS DISALLOWED 97 29 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	163 87	163 87	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 82 98 WASH SALE REPLACEMENT ADDITION 21 48 WASH SALE LOSS DISALLOWED 38 92 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	65 55	65 55	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 27 66 WASH SALE REPLACEMENT ADDITION 7 16 WASH SALE LOSS DISALLOWED 12 97 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	21 85	21 85	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 41 49	☐	11/01/12	04/09/13	32 77	32 77	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 10 74						
	WASH SALE LOSS DISALLOWED 19 46						
	SYMBOL SDS CUSIP 74347B300						
3 250	PROSHARES TR	☐	11/01/12	04/09/13	142 02	142 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 179 79						
	WASH SALE REPLACEMENT ADDITION 46 55						
	WASH SALE LOSS DISALLOWED 84 32						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/01/12	04/09/13	54 62	54 62	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 69 15						
	WASH SALE REPLACEMENT ADDITION 17 90						
	WASH SALE LOSS DISALLOWED 32 43						
	SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR	☐	11/01/12	04/09/13	131 10	131 10	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 165 96						
	WASH SALE REPLACEMENT ADDITION 42 97						
	WASH SALE LOSS DISALLOWED 77 84						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/01/12	04/09/13	43 70	43 70	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 32						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 25 93						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 92						
	WASH SALE LOSS DISALLOWED 9 73						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/01/12	04/09/13	43 70	43 70	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 32						
	WASH SALE REPLACEMENT ADDITION 7 83						
	WASH SALE LOSS DISALLOWED 19 46						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 5 88						
	WASH SALE LOSS DISALLOWED 14 59						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 92						
	WASH SALE LOSS DISALLOWED 9 73						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 92						
	WASH SALE LOSS DISALLOWED 9 73						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 3 92						
	WASH SALE LOSS DISALLOWED 9 73						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/01/12	04/09/13	10 92	10 92	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 13 83						
	WASH SALE REPLACEMENT ADDITION 3 58						
	WASH SALE LOSS DISALLOWED 6 49						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/01/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 41 49						
	WASH SALE REPLACEMENT ADDITION 10 74						
	WASH SALE LOSS DISALLOWED 19 46						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/01/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 27 66						
	WASH SALE REPLACEMENT ADDITION 7 16						
	WASH SALE LOSS DISALLOWED 12 97						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 47 WASH SALE REPLACEMENT ADDITION 17 63 WASH SALE LOSS DISALLOWED 43 78 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	98 32	98 32	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 41 49 WASH SALE REPLACEMENT ADDITION 5 88 WASH SALE LOSS DISALLOWED 14 59 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	32 77	32 77	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 32 WASH SALE REPLACEMENT ADDITION 7 75 WASH SALE LOSS DISALLOWED 19 38 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	43 70	43 70	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 69 15 WASH SALE LOSS DISALLOWED 24 32 SYMBOL SDS, CUSIP 74347B300	☐	11/01/12	04/09/13	54 62	54 62	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 5 81 WASH SALE LOSS DISALLOWED 16 07 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	32 77	32 77	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 129 08 WASH SALE REPLACEMENT ADDITION 17 44 WASH SALE LOSS DISALLOWED 48 20 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	98 32	98 32	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 5 81 WASH SALE LOSS DISALLOWED 16 07 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	32 77	32 77	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 86 05 WASH SALE REPLACEMENT ADDITION 11 63 WASH SALE LOSS DISALLOWED 32 13 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	65 55	65 55	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 143 42 WASH SALE REPLACEMENT ADDITION 19 38 WASH SALE LOSS DISALLOWED 53 56 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	109 25	109 25	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 28 68 WASH SALE REPLACEMENT ADDITION 3 88 WASH SALE LOSS DISALLOWED 10 71 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	21 85	21 85	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 372 89 WASH SALE REPLACEMENT ADDITION 99 23 WASH SALE LOSS DISALLOWED 188 08 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	284 04	284 04	0 00
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 172 10 WASH SALE REPLACEMENT ADDITION 45 80 WASH SALE LOSS DISALLOWED 86 80 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	131 10	131 10	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 14 34 WASH SALE REPLACEMENT ADDITION 3 82 WASH SALE LOSS DISALLOWED 7 23 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	10 92	10 92	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 272 50 WASH SALE REPLACEMENT ADDITION 72 51	☐	11/07/12	04/09/13	207 57	207 57	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 137 44 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 28 68 WASH SALE REPLACEMENT ADDITION 7 63 WASH SALE LOSS DISALLOWED 14 47 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	21 85	21 85	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 258 15 WASH SALE REPLACEMENT ADDITION 68 70 WASH SALE LOSS DISALLOWED 130 21 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	196 65	196 65	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 143 42 WASH SALE REPLACEMENT ADDITION 38 16 WASH SALE LOSS DISALLOWED 72 34 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	109 25	109 25	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 258 15 WASH SALE REPLACEMENT ADDITION 19 79 WASH SALE LOSS DISALLOWED 81 30 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	196 65	196 65	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 215 13 WASH SALE REPLACEMENT ADDITION 14 55 WASH SALE LOSS DISALLOWED 65 81 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	163 87	163 87	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 36 WASH SALE REPLACEMENT ADDITION 3 88 WASH SALE LOSS DISALLOWED 17 55 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	43 70	43 70	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 28 68	☐	11/07/12	04/09/13	21 85	21 85	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 1 94						
	WASH SALE LOSS DISALLOWED 8 77						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/09/13	21 85	21 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 1 94						
	WASH SALE LOSS DISALLOWED 8 77						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 2 91						
	WASH SALE LOSS DISALLOWED 13 16						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	11/07/12	04/09/13	87 40	87 40	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 114 73						
	WASH SALE REPLACEMENT ADDITION 7 77						
	WASH SALE LOSS DISALLOWED 35 11						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	11/07/12	04/09/13	109 25	109 25	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 143 42						
	WASH SALE REPLACEMENT ADDITION 9 71						
	WASH SALE LOSS DISALLOWED 43 89						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 2 91						
	WASH SALE LOSS DISALLOWED 13 16						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	11/07/12	04/09/13	87 40	87 40	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 114 73						
	WASH SALE REPLACEMENT ADDITION 7 76						
	WASH SALE LOSS DISALLOWED 35 10						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 2 91						
	WASH SALE LOSS DISALLOWED 13 16						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/07/12	04/09/13	43 70	43 70	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 57 36						
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 17 55						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	11/07/12	04/09/13	76 47	76 47	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 100 39						
	WASH SALE REPLACEMENT ADDITION 6 79						
	WASH SALE LOSS DISALLOWED 30 71						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/07/12	04/09/13	120 17	120 17	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 157 76						
	WASH SALE REPLACEMENT ADDITION 1 76						
	WASH SALE LOSS DISALLOWED 39 35						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/07/12	04/09/13	10 92	10 92	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 14 34						
	WASH SALE REPLACEMENT ADDITION 0 16						
	WASH SALE LOSS DISALLOWED 3 58						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/09/13	32 77	32 77	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 0 48						
	WASH SALE LOSS DISALLOWED 10 73						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/07/12	04/09/13	120 17	120 17	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 157 75						
	WASH SALE REPLACEMENT ADDITION 20 41						
	WASH SALE LOSS DISALLOWED 58 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 36 WASH SALE REPLACEMENT ADDITION 7 42 WASH SALE LOSS DISALLOWED 21 09 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	43 70	43 70	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 5 57 WASH SALE LOSS DISALLOWED 15 82 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	32 77	32 77	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 73 WASH SALE REPLACEMENT ADDITION 2 98 WASH SALE LOSS DISALLOWED 30 32 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	87 40	87 40	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 73 WASH SALE REPLACEMENT ADDITION 2 98 WASH SALE LOSS DISALLOWED 30 31 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	87 40	87 40	0 00
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 315 52 WASH SALE REPLACEMENT ADDITION 8 18 WASH SALE LOSS DISALLOWED 83 36 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	240 34	240 34	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 73 WASH SALE REPLACEMENT ADDITION 2 98 WASH SALE LOSS DISALLOWED 30 31 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/09/13	87 40	87 40	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 71 71 WASH SALE REPLACEMENT ADDITION 1 86	☐	11/07/12	04/09/13	54 62	54 62	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 18 95 SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 14 34 WASH SALE REPLACEMENT ADDITION 0 37 WASH SALE LOSS DISALLOWED 3 62 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	11 10	11 10	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 1 12 WASH SALE LOSS DISALLOWED 10 85 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	33 29	33 29	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 86 05 WASH SALE REPLACEMENT ADDITION 2 23 WASH SALE LOSS DISALLOWED 21 70 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	66 58	66 58	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 1 12 WASH SALE LOSS DISALLOWED 10 85 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	33 29	33 29	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 1 12 WASH SALE LOSS DISALLOWED 10 85 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	33 29	33 29	0 00
6 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 387 23 WASH SALE REPLACEMENT ADDITION 10 05 WASH SALE LOSS DISALLOWED 97 65 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	299 63	299 63	0 00
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 315 52	☐	11/07/12	04/19/13	244 14	244 14	0 00

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	WASH SALE REPLACEMENT ADDITION 8 19						
	WASH SALE LOSS DISALLOWED 79 57						
	SYMBOL SDS, CUSIP 74347B300						
4 750	PROSHARES TR	☐	11/07/12	04/19/13	210 85	210 85	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 272 49						
	WASH SALE REPLACEMENT ADDITION 7 07						
	WASH SALE LOSS DISALLOWED 68 72						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/07/12	04/19/13	122 07	122 07	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 157 76						
	WASH SALE REPLACEMENT ADDITION 4 09						
	WASH SALE LOSS DISALLOWED 39 79						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/07/12	04/19/13	55 49	55 49	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 71 71						
	WASH SALE REPLACEMENT ADDITION 1 86						
	WASH SALE LOSS DISALLOWED 18 08						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/07/12	04/19/13	55 49	55 49	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 71 71						
	WASH SALE REPLACEMENT ADDITION 1 86						
	WASH SALE LOSS DISALLOWED 18 08						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	11/07/12	04/19/13	288 53	288 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 372 89						
	WASH SALE REPLACEMENT ADDITION 9 67						
	WASH SALE LOSS DISALLOWED 94 04						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 0 74						
	WASH SALE LOSS DISALLOWED 7 23						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/19/13	33 29	33 29	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 1 12						
	WASH SALE LOSS DISALLOWED 10 85						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 0 74						
	WASH SALE LOSS DISALLOWED 7 23						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	11/07/12	04/19/13	199 75	199 75	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 258 15						
	WASH SALE REPLACEMENT ADDITION 6 70						
	WASH SALE LOSS DISALLOWED 65 10						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/07/12	04/19/13	11 10	11 10	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 14 34						
	WASH SALE REPLACEMENT ADDITION 0 37						
	WASH SALE LOSS DISALLOWED 3 62						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	11/07/12	04/19/13	288 53	288 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 372 89						
	WASH SALE REPLACEMENT ADDITION 9 67						
	WASH SALE LOSS DISALLOWED 94 04						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/07/12	04/19/13	44 39	44 39	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 57 36						
	WASH SALE REPLACEMENT ADDITION 15 27						
	WASH SALE LOSS DISALLOWED 28 24						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	11/07/12	04/19/13	99 88	99 88	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 129 07						
	WASH SALE REPLACEMENT ADDITION 34 35						
	WASH SALE LOSS DISALLOWED 63 55						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 215 13 WASH SALE REPLACEMENT ADDITION 57 25 WASH SALE LOSS DISALLOWED 105 92 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	166 46	166 46	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 14 34 WASH SALE REPLACEMENT ADDITION 3 82 WASH SALE LOSS DISALLOWED 7 06 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	11 10	11 10	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 86 05 WASH SALE REPLACEMENT ADDITION 2 23 WASH SALE LOSS DISALLOWED 21 70 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	66 58	66 58	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 73 WASH SALE REPLACEMENT ADDITION 7 76 WASH SALE LOSS DISALLOWED 33 71 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	88 78	88 78	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 14 34 WASH SALE REPLACEMENT ADDITION 0 97 WASH SALE LOSS DISALLOWED 4 21 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	11 10	11 10	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 86 05 WASH SALE REPLACEMENT ADDITION 5 82 WASH SALE LOSS DISALLOWED 25 29 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	66 58	66 58	0 00
4 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 229 47 WASH SALE REPLACEMENT ADDITION 15 52	☐	11/07/12	04/19/13	177 56	177 56	0 00

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	WASH SALE LOSS DISALLOWED 67 44 SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 14 34 WASH SALE REPLACEMENT ADDITION 0 97 WASH SALE LOSS DISALLOWED 4 21 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	11 10	11 10	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 2 91 WASH SALE LOSS DISALLOWED 12 64 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	33 29	33 29	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 28 68 WASH SALE REPLACEMENT ADDITION 1 94 WASH SALE LOSS DISALLOWED 8 43 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	22 19	22 19	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 43 02 WASH SALE REPLACEMENT ADDITION 2 91 WASH SALE LOSS DISALLOWED 12 65 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	33 29	33 29	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 100 39 WASH SALE REPLACEMENT ADDITION 6 80 WASH SALE LOSS DISALLOWED 29 51 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	77 68	77 68	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 36 WASH SALE REPLACEMENT ADDITION 3 88 WASH SALE LOSS DISALLOWED 16 86 SYMBOL SDS, CUSIP 74347B300	☐	11/07/12	04/19/13	44 39	44 39	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 36	☐	11/07/12	04/19/13	44 39	44 39	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 16 86						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/07/12	04/19/13	44 39	44 39	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 57 36						
	WASH SALE REPLACEMENT ADDITION 0 64						
	WASH SALE LOSS DISALLOWED 13 62						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 0 32						
	WASH SALE LOSS DISALLOWED 6 81						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	11/07/12	04/19/13	288 53	288 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 372 89						
	WASH SALE REPLACEMENT ADDITION 4 16						
	WASH SALE LOSS DISALLOWED 88 53						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 2 88						
	WASH SALE LOSS DISALLOWED 9 37						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/19/13	33 29	33 29	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 4 32						
	WASH SALE LOSS DISALLOWED 14 05						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	11/07/12	04/19/13	44 39	44 39	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 57 36						
	WASH SALE REPLACEMENT ADDITION 3 88						
	WASH SALE LOSS DISALLOWED 16 86						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/07/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 28 68						
	WASH SALE REPLACEMENT ADDITION 1 94						
	WASH SALE LOSS DISALLOWED 8 43						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	11/07/12	04/19/13	88 78	88 78	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 114 73						
	WASH SALE REPLACEMENT ADDITION 1 28						
	WASH SALE LOSS DISALLOWED 27 24						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	11/07/12	04/19/13	110 97	110 97	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 143 42						
	WASH SALE REPLACEMENT ADDITION 3 72						
	WASH SALE LOSS DISALLOWED 36 17						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	11/07/12	04/19/13	33 29	33 29	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 43 02						
	WASH SALE REPLACEMENT ADDITION 1 12						
	WASH SALE LOSS DISALLOWED 10 85						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	11/07/12	04/19/13	110 97	110 97	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 143 42						
	WASH SALE REPLACEMENT ADDITION 3 72						
	WASH SALE LOSS DISALLOWED 36 17						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	11/07/12	04/19/13	11 10	11 10	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 14 34						
	WASH SALE LOSS DISALLOWED 3 62						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	11/14/12	04/19/13	55 49	55 49	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 75 63						
	WASH SALE REPLACEMENT ADDITION 1 86						
	WASH SALE LOSS DISALLOWED 22 01						
	SYMBOL SDS, CUSIP 74347B300						
11 750	PROSHARES TR	☐	11/14/12	04/19/13	521 57	521 57	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 710 98 WASH SALE REPLACEMENT ADDITION 17 49 WASH SALE LOSS DISALLOWED 206 90 SYMBOL SDS, CUSIP 74347B300						
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 196 65 WASH SALE REPLACEMENT ADDITION 4 84 WASH SALE LOSS DISALLOWED 57 23 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	144 26	144 26	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 75 63 WASH SALE REPLACEMENT ADDITION 1 86 WASH SALE LOSS DISALLOWED 22 01 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	55 49	55 49	0 00
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 710 99 WASH SALE REPLACEMENT ADDITION 106 94 WASH SALE LOSS DISALLOWED 296 36 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	521 57	521 57	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 272 29 WASH SALE REPLACEMENT ADDITION 14 26 WASH SALE LOSS DISALLOWED 86 80 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	199 75	199 75	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 38 WASH SALE REPLACEMENT ADDITION 2 38 WASH SALE LOSS DISALLOWED 14 47 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	33 29	33 29	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 38 WASH SALE REPLACEMENT ADDITION 1 82 WASH SALE LOSS DISALLOWED 13 91 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	33 29	33 29	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 75 63 WASH SALE REPLACEMENT ADDITION 3 04 WASH SALE LOSS DISALLOWED 23 19 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	55 49	55 49	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 38 WASH SALE REPLACEMENT ADDITION 1 82 WASH SALE LOSS DISALLOWED 13 91 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	33 29	33 29	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 90 76 WASH SALE REPLACEMENT ADDITION 3 65 WASH SALE LOSS DISALLOWED 27 83 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	66 58	66 58	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 196 65 WASH SALE REPLACEMENT ADDITION 7 90 WASH SALE LOSS DISALLOWED 60 29 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	144 26	144 26	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 196 65 WASH SALE REPLACEMENT ADDITION 7 90 WASH SALE LOSS DISALLOWED 60 29 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	144 26	144 26	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 25 WASH SALE REPLACEMENT ADDITION 1 22 WASH SALE LOSS DISALLOWED 9 28 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	22 19	22 19	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 196 65 WASH SALE REPLACEMENT ADDITION 7 90	☐	11/14/12	04/19/13	144 26	144 26	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 60 29 SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 38 WASH SALE REPLACEMENT ADDITION 1 82 WASH SALE LOSS DISALLOWED 13 91 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	33 29	33 29	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 90 76 WASH SALE REPLACEMENT ADDITION 3 65 WASH SALE LOSS DISALLOWED 27 83 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	66 58	66 58	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 25 WASH SALE REPLACEMENT ADDITION 1 22 WASH SALE LOSS DISALLOWED 9 28 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	22 19	22 19	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 75 63 WASH SALE REPLACEMENT ADDITION 3 04 WASH SALE LOSS DISALLOWED 23 19 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	55 49	55 49	0 00
4 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 257 16 WASH SALE REPLACEMENT ADDITION 10 33 WASH SALE LOSS DISALLOWED 78 84 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	188 65	188 65	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 136 14 WASH SALE REPLACEMENT ADDITION 5 47 WASH SALE LOSS DISALLOWED 41 74 SYMBOL SDS, CUSIP 74347B300	☐	11/14/12	04/19/13	99 88	99 88	0 00
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 710 99	☐	11/14/12	04/19/13	521 57	521 57	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 28 56						
	WASH SALE LOSS DISALLOWED 217 98						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	11/14/12	04/19/13	166 46	166 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 226 91						
	WASH SALE REPLACEMENT ADDITION 9 12						
	WASH SALE LOSS DISALLOWED 69 57						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	11/14/12	04/19/13	22 19	22 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 30 25						
	WASH SALE REPLACEMENT ADDITION 1 22						
	WASH SALE LOSS DISALLOWED 9 28						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	11/14/12	04/19/13	122 07	122 07	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 166 40						
	WASH SALE REPLACEMENT ADDITION 6 68						
	WASH SALE LOSS DISALLOWED 51 02						
	SYMBOL SDS, CUSIP 74347B300						
6 250	PROSHARES TR	☐	11/14/12	04/19/13	277 43	277 43	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 378 18						
	WASH SALE REPLACEMENT ADDITION 15 19						
	WASH SALE LOSS DISALLOWED 115 95						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	11/14/12	04/19/13	99 88	99 88	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 136 14						
	WASH SALE REPLACEMENT ADDITION 5 47						
	WASH SALE LOSS DISALLOWED 41 74						
	SYMBOL SDS, CUSIP 74347B300						
3 250	PROSHARES TR	☐	11/14/12	04/19/13	144 26	144 26	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 196 65						
	WASH SALE REPLACEMENT ADDITION 7 90						
	WASH SALE LOSS DISALLOWED 60 29						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	12/19/12	04/19/13	44 39	44 39	0 00
	PROSHARES ULTRASHORT S&P 500						

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 53 11 WASH SALE REPLACEMENT ADDITION 2 43 WASH SALE LOSS DISALLOWED 11 16 SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 79 67 WASH SALE REPLACEMENT ADDITION 3 65 WASH SALE LOSS DISALLOWED 16 74 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	66 58	66 58	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 53 11 WASH SALE REPLACEMENT ADDITION 6 78 WASH SALE LOSS DISALLOWED 15 51 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	44 39	44 39	0 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,035 82 WASH SALE REPLACEMENT ADDITION 132 20 WASH SALE LOSS DISALLOWED 302 44 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	865 59	865 59	0 00
20 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,088 94 WASH SALE REPLACEMENT ADDITION 138 98 WASH SALE LOSS DISALLOWED 317 95 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	909 98	909 98	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 132 79 WASH SALE REPLACEMENT ADDITION 16 95 WASH SALE LOSS DISALLOWED 38 77 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	110 97	110 97	0 00
18 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 956 14 WASH SALE REPLACEMENT ADDITION 36 48 WASH SALE LOSS DISALLOWED 193 62 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	799 00	799 00	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,035 82 WASH SALE REPLACEMENT ADDITION 39 52 WASH SALE LOSS DISALLOWED 209 76 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	865 59	865 59	0 00
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 185 91 WASH SALE REPLACEMENT ADDITION 7 09 WASH SALE LOSS DISALLOWED 37 65 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	155 36	155 36	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 239 03 WASH SALE REPLACEMENT ADDITION 9 12 WASH SALE LOSS DISALLOWED 48 41 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	199 75	199 75	0 00
27 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,434 21 WASH SALE LOSS DISALLOWED 235 71 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	1,198 51	1,198 51	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 53 11 WASH SALE REPLACEMENT ADDITION 6 78 WASH SALE LOSS DISALLOWED 15 51 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	44 39	44 39	0 00
20 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,088 94 WASH SALE LOSS DISALLOWED 178 96 SYMBOL SDS, CUSIP 74347B300	☐	12/19/12	04/19/13	909 98	909 98	0 00
78 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 3,999 83 WASH SALE LOSS DISALLOWED 537 48 SYMBOL SDS, CUSIP 74347B300	☐	01/03/13	04/19/13	3,462 35	3,462 35	0 00
2 000	PROSHARES TR	☐	01/03/13	05/30/13	77 80	77 80	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 102 55 WASH SALE LOSS DISALLOWED 24 76 SYMBOL SDS, CUSIP 74347B300						
24 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,172 40 WASH SALE REPLACEMENT ADDITION 198 85 WASH SALE LOSS DISALLOWED 437 64 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	933 60	933 60	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 109 91 WASH SALE REPLACEMENT ADDITION 18 64 WASH SALE LOSS DISALLOWED 41 03 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	87 53	87 53	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 97 70 WASH SALE REPLACEMENT ADDITION 16 57 WASH SALE LOSS DISALLOWED 36 47 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	77 80	77 80	0 00
26 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,270 10 WASH SALE REPLACEMENT ADDITION 215 41 WASH SALE LOSS DISALLOWED 474 11 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	1,011 41	1,011 41	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 12 21 WASH SALE REPLACEMENT ADDITION 2 07 WASH SALE LOSS DISALLOWED 4 56 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	9 73	9 73	0 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 952 57 WASH SALE REPLACEMENT ADDITION 155 21 WASH SALE LOSS DISALLOWED 349 23 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	758 55	758 55	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 232 03 WASH SALE REPLACEMENT ADDITION 37 81 WASH SALE LOSS DISALLOWED 85 07 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	184 78	184 78	0 00
7 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 378 58 WASH SALE REPLACEMENT ADDITION 61 69 WASH SALE LOSS DISALLOWED 138 60 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	301 48	301 48	0 00
9 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 464 07 WASH SALE REPLACEMENT ADDITION 75 62 WASH SALE LOSS DISALLOWED 170 14 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	369 55	369 55	0 00
8 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 415 22 WASH SALE REPLACEMENT ADDITION 67 66 WASH SALE LOSS DISALLOWED 152 23 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	330 65	330 65	0 00
8 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 403 01 WASH SALE REPLACEMENT ADDITION 177 15 WASH SALE LOSS DISALLOWED 259 23 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	320 93	320 93	0 00
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 305 31 WASH SALE REPLACEMENT ADDITION 134 20 WASH SALE LOSS DISALLOWED 196 39 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	243 13	243 13	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 134 33 WASH SALE REPLACEMENT ADDITION 59 05	☐	02/04/13	05/30/13	106 98	106 98	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 86 41 SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 73 27 WASH SALE REPLACEMENT ADDITION 32 21 WASH SALE LOSS DISALLOWED 47 13 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	58 35	58 35	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 24 42 WASH SALE REPLACEMENT ADDITION 10 74 WASH SALE LOSS DISALLOWED 15 71 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	19 45	19 45	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 36 63 WASH SALE REPLACEMENT ADDITION 16 55 WASH SALE LOSS DISALLOWED 24 01 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	29 18	29 18	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 12 21 WASH SALE REPLACEMENT ADDITION 5 52 WASH SALE LOSS DISALLOWED 8 00 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	9 73	9 73	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 109 91 WASH SALE REPLACEMENT ADDITION 49 64 WASH SALE LOSS DISALLOWED 72 03 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	87 53	87 53	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 134 33 WASH SALE REPLACEMENT ADDITION 60 67 WASH SALE LOSS DISALLOWED 88 03 SYMBOL SDS, CUSIP 74347B300	☐	02/04/13	05/30/13	106 98	106 98	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 183 18	☐	02/04/13	05/30/13	145 88	145 88	0 00

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	WASH SALE REPLACEMENT ADDITION 82 73						
	WASH SALE LOSS DISALLOWED 120 04						
	SYMBOL SDS CUSIP 74347B300						
3 500	PROSHARES TR	☐	02/04/13	05/30/13	136 15	136 15	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 170 97						
	WASH SALE REPLACEMENT ADDITION 77 22						
	WASH SALE LOSS DISALLOWED 112 04						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	02/04/13	05/30/13	38 90	38 90	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 85						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 24 26						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	02/04/13	05/30/13	38 90	38 90	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 85						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 24 28						
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	02/04/13	05/30/13	204 23	204 23	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 256 46						
	WASH SALE REPLACEMENT ADDITION 75 11						
	WASH SALE LOSS DISALLOWED 127 35						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	02/04/13	05/30/13	145 88	145 88	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 183 18						
	WASH SALE REPLACEMENT ADDITION 53 65						
	WASH SALE LOSS DISALLOWED 90 96						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	02/04/13	05/30/13	213 95	213 95	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 268 67						
	WASH SALE REPLACEMENT ADDITION 78 69						
	WASH SALE LOSS DISALLOWED 133 41						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	02/04/13	05/30/13	9 73	9 73	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 12 21						
	WASH SALE REPLACEMENT ADDITION 3 58						
	WASH SALE LOSS DISALLOWED 6 06						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	02/04/13	05/30/13	38 90	38 90	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 85						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 24 26						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	02/04/13	05/30/13	38 90	38 90	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 85						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 24 26						
	SYMBOL SDS, CUSIP 74347B300						
4 000	PROSHARES TR	☐	02/04/13	05/30/13	155 60	155 60	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 195 40						
	WASH SALE REPLACEMENT ADDITION 57 23						
	WASH SALE LOSS DISALLOWED 97 03						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	02/04/13	05/30/13	9 73	9 73	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 12 21						
	WASH SALE REPLACEMENT ADDITION 3 58						
	WASH SALE LOSS DISALLOWED 6 06						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	03/05/13	05/30/13	48 63	48 63	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 57 18						
	WASH SALE REPLACEMENT ADDITION 17 88						
	WASH SALE LOSS DISALLOWED 26 45						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	03/05/13	05/30/13	106 98	106 98	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 125 81						
	WASH SALE REPLACEMENT ADDITION 39 34						
	WASH SALE LOSS DISALLOWED 58 18						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 74 WASH SALE REPLACEMENT ADDITION 14 31 WASH SALE LOSS DISALLOWED 21 16 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	38 90	38 90	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 87 WASH SALE REPLACEMENT ADDITION 7 15 WASH SALE LOSS DISALLOWED 10 58 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	19 45	19 45	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 43 WASH SALE REPLACEMENT ADDITION 3 58 WASH SALE LOSS DISALLOWED 5 29 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	9 73	9 73	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 18 WASH SALE REPLACEMENT ADDITION 17 88 WASH SALE LOSS DISALLOWED 26 45 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	48 63	48 63	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 87 WASH SALE REPLACEMENT ADDITION 7 15 WASH SALE LOSS DISALLOWED 10 58 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	19 45	19 45	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 102 93 WASH SALE REPLACEMENT ADDITION 32 19 WASH SALE LOSS DISALLOWED 47 60 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	87 53	87 53	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 80 06 WASH SALE REPLACEMENT ADDITION 25 04	☐	03/05/13	05/30/13	68 08	68 08	0 00

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Schedule of Realized Gains and Losses (con't)

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 37 02 SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 43 WASH SALE REPLACEMENT ADDITION 3 58 WASH SALE LOSS DISALLOWED 5 29 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	9 73	9 73	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 87 WASH SALE REPLACEMENT ADDITION 7 15 WASH SALE LOSS DISALLOWED 10 58 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	19 45	19 45	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 125 81 WASH SALE REPLACEMENT ADDITION 39 34 WASH SALE LOSS DISALLOWED 58 18 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	106 98	106 98	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 91 49 WASH SALE REPLACEMENT ADDITION 28 61 WASH SALE LOSS DISALLOWED 42 31 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	77 80	77 80	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 43 WASH SALE REPLACEMENT ADDITION 3 58 WASH SALE LOSS DISALLOWED 5 29 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	9 73	9 73	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 18 WASH SALE REPLACEMENT ADDITION 17 88 WASH SALE LOSS DISALLOWED 26 45 SYMBOL SDS, CUSIP 74347B300	☐	03/05/13	05/30/13	48 63	48 63	0 00
5 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 228 74	☐	03/05/13	05/30/13	194 50	194 50	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 118 60						
	WASH SALE LOSS DISALLOWED 152 85						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/05/13	05/30/13	77 80	77 80	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 91 49						
	WASH SALE REPLACEMENT ADDITION 44 12						
	WASH SALE LOSS DISALLOWED 57 82						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	03/05/13	05/30/13	58 35	58 35	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 62						
	WASH SALE REPLACEMENT ADDITION 33 09						
	WASH SALE LOSS DISALLOWED 43 37						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	03/05/13	05/30/13	9 73	9 73	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 43						
	WASH SALE REPLACEMENT ADDITION 5 52						
	WASH SALE LOSS DISALLOWED 7 23						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	03/05/13	05/30/13	68 08	68 08	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 80 06						
	WASH SALE REPLACEMENT ADDITION 38 61						
	WASH SALE LOSS DISALLOWED 50 60						
	SYMBOL SDS, CUSIP 74347B300						
5 750	PROSHARES TR	☐	03/05/13	05/30/13	223 68	223 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 263 06						
	WASH SALE REPLACEMENT ADDITION 146 74						
	WASH SALE LOSS DISALLOWED 186 12						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/05/13	05/30/13	19 45	19 45	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 87						
	WASH SALE REPLACEMENT ADDITION 10 88						
	WASH SALE LOSS DISALLOWED 14 31						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	03/05/13	05/30/13	175 05	175 05	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 205 87						
	WASH SALE REPLACEMENT ADDITION 97 93						
	WASH SALE LOSS DISALLOWED 128 76						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/05/13	05/30/13	29 18	29 18	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 34 31						
	WASH SALE REPLACEMENT ADDITION 16 32						
	WASH SALE LOSS DISALLOWED 21 46						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/05/13	05/30/13	19 45	19 45	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 87						
	WASH SALE REPLACEMENT ADDITION 10 88						
	WASH SALE LOSS DISALLOWED 14 31						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/05/13	05/30/13	77 80	77 80	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 91 49						
	WASH SALE REPLACEMENT ADDITION 43 53						
	WASH SALE LOSS DISALLOWED 57 22						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/05/13	05/30/13	19 45	19 45	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 87						
	WASH SALE REPLACEMENT ADDITION 10 88						
	WASH SALE LOSS DISALLOWED 14 31						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	03/05/13	05/30/13	58 35	58 35	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 62						
	WASH SALE REPLACEMENT ADDITION 22 91						
	WASH SALE LOSS DISALLOWED 33 19						
	SYMBOL SDS, CUSIP 74347B300						
38 000	PROSHARES TR	☐	03/05/13	05/30/13	1,478 21	1,478 21	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 1,738 48						
	WASH SALE LOSS DISALLOWED 260 28						
	SYMBOL SDS, CUSIP 74347B300						
7 000	PROSHARES TR	☐	03/05/13	06/12/13	279 02	279 02	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 320 24 WASH SALE LOSS DISALLOWED 41 23 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73 WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73 WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 04 WASH SALE REPLACEMENT ADDITION 34 05 WASH SALE LOSS DISALLOWED 42 34 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	69 75	69 75	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 100 34 WASH SALE REPLACEMENT ADDITION 58 38 WASH SALE LOSS DISALLOWED 69 03 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	89 68	89 68	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 44 59 WASH SALE REPLACEMENT ADDITION 25 95 WASH SALE LOSS DISALLOWED 30 68 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	39 86	39 86	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 66 89 WASH SALE REPLACEMENT ADDITION 38 92 WASH SALE LOSS DISALLOWED 46 02 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	59 79	59 79	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 122 63 WASH SALE REPLACEMENT ADDITION 71 35 WASH SALE LOSS DISALLOWED 84 37 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	109 61	109 61	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 66 89 WASH SALE REPLACEMENT ADDITION 38 90 WASH SALE LOSS DISALLOWED 46 01 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	59 79	59 79	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44 WASH SALE REPLACEMENT ADDITION 19 45 WASH SALE LOSS DISALLOWED 23 00 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	29 89	29 89	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44 WASH SALE REPLACEMENT ADDITION 14 59 WASH SALE LOSS DISALLOWED 18 14 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	29 89	29 89	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 74 WASH SALE REPLACEMENT ADDITION 24 32 WASH SALE LOSS DISALLOWED 30 24 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	49 82	49 82	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 74 WASH SALE REPLACEMENT ADDITION 24 22 WASH SALE LOSS DISALLOWED 30 14 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	49 82	49 82	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 69	☐	03/15/13	06/12/13	19 93	19 93	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 12 06 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 69 WASH SALE LOSS DISALLOWED 12 06 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 69 WASH SALE LOSS DISALLOWED 12 06 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 14 WASH SALE REPLACEMENT ADDITION 6 41 WASH SALE LOSS DISALLOWED 7 60 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	9 96	9 96	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44 WASH SALE REPLACEMENT ADDITION 19 68 WASH SALE LOSS DISALLOWED 23 24 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	29 89	29 89	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 111 49 WASH SALE REPLACEMENT ADDITION 65 61 WASH SALE LOSS DISALLOWED 77 45 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	99 65	99 65	0 00
4 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 189 53 WASH SALE REPLACEMENT ADDITION 111 54 WASH SALE LOSS DISALLOWED 131 67 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	169 40	169 40	0 00
4 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 189 53	☐	03/15/13	06/12/13	169 40	169 40	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 111 54						
	WASH SALE LOSS DISALLOWED 131 67						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	03/15/13	06/12/13	9 96	9 96	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 14						
	WASH SALE REPLACEMENT ADDITION 6 56						
	WASH SALE LOSS DISALLOWED 7 75						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	03/15/13	06/12/13	89 68	89 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 100 34						
	WASH SALE REPLACEMENT ADDITION 59 05						
	WASH SALE LOSS DISALLOWED 69 71						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	03/15/13	06/12/13	139 51	139 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 156 08						
	WASH SALE REPLACEMENT ADDITION 64 71						
	WASH SALE LOSS DISALLOWED 81 29						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	03/15/13	06/12/13	49 82	49 82	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 74						
	WASH SALE REPLACEMENT ADDITION 23 11						
	WASH SALE LOSS DISALLOWED 29 03						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	03/15/13	06/12/13	69 75	69 75	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 04						
	WASH SALE REPLACEMENT ADDITION 32 36						
	WASH SALE LOSS DISALLOWED 40 64						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	03/15/13	06/12/13	39 86	39 86	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 44 59						
	WASH SALE REPLACEMENT ADDITION 18 49						
	WASH SALE LOSS DISALLOWED 23 23						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	03/15/13	06/12/13	219 23	219 23	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 245 27						
	WASH SALE REPLACEMENT ADDITION 153 46						
	WASH SALE LOSS DISALLOWED 179 51						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/15/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 33 44						
	WASH SALE REPLACEMENT ADDITION 19 68						
	WASH SALE LOSS DISALLOWED 23 24						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	03/15/13	06/12/13	139 51	139 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 156 08						
	WASH SALE REPLACEMENT ADDITION 64 71						
	WASH SALE LOSS DISALLOWED 81 29						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/15/13	06/12/13	19 93	19 93	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 29						
	WASH SALE REPLACEMENT ADDITION 14 85						
	WASH SALE LOSS DISALLOWED 17 22						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/15/13	06/12/13	79 72	79 72	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 89 19						
	WASH SALE REPLACEMENT ADDITION 59 40						
	WASH SALE LOSS DISALLOWED 68 88						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	03/15/13	06/12/13	149 47	149 47	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 167 23						
	WASH SALE REPLACEMENT ADDITION 97 29						
	WASH SALE LOSS DISALLOWED 115 06						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	03/15/13	06/12/13	59 79	59 79	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 66 89						
	WASH SALE REPLACEMENT ADDITION 38 92						
	WASH SALE LOSS DISALLOWED 46 02						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 12 97 WASH SALE LOSS DISALLOWED 15 34 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44 WASH SALE REPLACEMENT ADDITION 19 46 WASH SALE LOSS DISALLOWED 23 01 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	29 89	29 89	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 144 93 WASH SALE REPLACEMENT ADDITION 84 32 WASH SALE LOSS DISALLOWED 99 72 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	129 54	129 54	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 55 74 WASH SALE REPLACEMENT ADDITION 32 43 WASH SALE LOSS DISALLOWED 38 35 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	49 82	49 82	0 00
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 133 78 WASH SALE REPLACEMENT ADDITION 77 84 WASH SALE LOSS DISALLOWED 92 05 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	119 58	119 58	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 44 59 WASH SALE REPLACEMENT ADDITION 25 93 WASH SALE LOSS DISALLOWED 30 67 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	39 86	39 86	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73	☐	03/15/13	06/12/13	19 93	19 93	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 44 59 WASH SALE REPLACEMENT ADDITION 19 46 WASH SALE LOSS DISALLOWED 24 19 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	39 86	39 86	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44 WASH SALE REPLACEMENT ADDITION 14 59 WASH SALE LOSS DISALLOWED 18 14 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	29 89	29 89	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73 WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73 WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 29 WASH SALE REPLACEMENT ADDITION 9 73 WASH SALE LOSS DISALLOWED 12 10 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	19 93	19 93	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 14 WASH SALE REPLACEMENT ADDITION 6 49 WASH SALE LOSS DISALLOWED 7 67 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	9 96	9 96	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 44	☐	03/15/13	06/12/13	29 89	29 89	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 19 46						
	WASH SALE LOSS DISALLOWED 23 01						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/15/13	06/12/13	19 93	19 93	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 29						
	WASH SALE REPLACEMENT ADDITION 12 97						
	WASH SALE LOSS DISALLOWED 15 34						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	03/15/13	06/12/13	89 68	89 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 100 34						
	WASH SALE REPLACEMENT ADDITION 43 78						
	WASH SALE LOSS DISALLOWED 54 43						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/15/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 33 44						
	WASH SALE REPLACEMENT ADDITION 14 59						
	WASH SALE LOSS DISALLOWED 18 14						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	03/15/13	06/12/13	39 86	39 86	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 44 59						
	WASH SALE REPLACEMENT ADDITION 19 38						
	WASH SALE LOSS DISALLOWED 24 11						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	03/15/13	06/12/13	49 82	49 82	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 55 74						
	WASH SALE REPLACEMENT ADDITION 24 32						
	WASH SALE LOSS DISALLOWED 30 24						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/15/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 33 44						
	WASH SALE REPLACEMENT ADDITION 16 07						
	WASH SALE LOSS DISALLOWED 19 62						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	03/15/13	06/12/13	89 68	89 68	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 100 34						
	WASH SALE REPLACEMENT ADDITION 48 20						
	WASH SALE LOSS DISALLOWED 58 86						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/15/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 33 44						
	WASH SALE REPLACEMENT ADDITION 16 07						
	WASH SALE LOSS DISALLOWED 19 62						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	03/15/13	06/12/13	59 79	59 79	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 66 89						
	WASH SALE REPLACEMENT ADDITION 32 13						
	WASH SALE LOSS DISALLOWED 39 24						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	03/15/13	06/12/13	99 65	99 65	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 111 49						
	WASH SALE REPLACEMENT ADDITION 53 56						
	WASH SALE LOSS DISALLOWED 65 40						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	03/15/13	06/12/13	19 93	19 93	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 29						
	WASH SALE REPLACEMENT ADDITION 10 71						
	WASH SALE LOSS DISALLOWED 13 08						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	03/15/13	06/12/13	259 09	259 09	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 289 87						
	WASH SALE REPLACEMENT ADDITION 188 08						
	WASH SALE LOSS DISALLOWED 218 86						
	SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR	☐	03/15/13	06/12/13	119 58	119 58	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 133 78						
	WASH SALE REPLACEMENT ADDITION 86 80						
	WASH SALE LOSS DISALLOWED 101 01						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 14 WASH SALE REPLACEMENT ADDITION 7 23 WASH SALE LOSS DISALLOWED 8 42 SYMBOL SDS, CUSIP 74347B300	☐	03/15/13	06/12/13	9 96	9 96	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 216 69 WASH SALE REPLACEMENT ADDITION 137 44 WASH SALE LOSS DISALLOWED 164 80 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	189 33	189 33	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 81 WASH SALE REPLACEMENT ADDITION 14 47 WASH SALE LOSS DISALLOWED 17 35 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	19 93	19 93	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 205 29 WASH SALE REPLACEMENT ADDITION 130 21 WASH SALE LOSS DISALLOWED 156 13 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	179 37	179 37	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 05 WASH SALE REPLACEMENT ADDITION 72 34 WASH SALE LOSS DISALLOWED 86 74 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	99 65	99 65	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 205 29 WASH SALE REPLACEMENT ADDITION 81 30 WASH SALE LOSS DISALLOWED 107 22 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	179 37	179 37	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 171 07 WASH SALE REPLACEMENT ADDITION 65 81	☐	03/19/13	06/12/13	149 47	149 47	0 00

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	WASH SALE LOSS DISALLOWED 87 41 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 62 WASH SALE REPLACEMENT ADDITION 17 55 WASH SALE LOSS DISALLOWED 23 31 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	39 86	39 86	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 81 WASH SALE REPLACEMENT ADDITION 8 77 WASH SALE LOSS DISALLOWED 11 65 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	19 93	19 93	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 81 WASH SALE REPLACEMENT ADDITION 8 77 WASH SALE LOSS DISALLOWED 11 65 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	19 93	19 93	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 34 21 WASH SALE REPLACEMENT ADDITION 13 16 WASH SALE LOSS DISALLOWED 17 49 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	29 89	29 89	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 91 24 WASH SALE REPLACEMENT ADDITION 35 11 WASH SALE LOSS DISALLOWED 46 63 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	79 72	79 72	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 05 WASH SALE REPLACEMENT ADDITION 43 89 WASH SALE LOSS DISALLOWED 58 29 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/12/13	99 65	99 65	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 34 21	☐	03/19/13	06/12/13	29 89	29 89	0 00

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	WASH SALE REPLACEMENT ADDITION 13 16						
	WASH SALE LOSS DISALLOWED 17 48						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/19/13	06/12/13	79 72	79 72	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 91 24						
	WASH SALE REPLACEMENT ADDITION 35 10						
	WASH SALE LOSS DISALLOWED 46 62						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/19/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 34 21						
	WASH SALE REPLACEMENT ADDITION 13 16						
	WASH SALE LOSS DISALLOWED 17 48						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	03/19/13	06/12/13	39 86	39 86	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 45 62						
	WASH SALE REPLACEMENT ADDITION 17 55						
	WASH SALE LOSS DISALLOWED 23 31						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	03/19/13	06/12/13	69 75	69 75	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 79 83						
	WASH SALE REPLACEMENT ADDITION 30 71						
	WASH SALE LOSS DISALLOWED 40 79						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	03/19/13	06/12/13	109 61	109 61	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 125 45						
	WASH SALE REPLACEMENT ADDITION 39 35						
	WASH SALE LOSS DISALLOWED 55 20						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	03/19/13	06/12/13	9 96	9 96	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 40						
	WASH SALE REPLACEMENT ADDITION 3 58						
	WASH SALE LOSS DISALLOWED 5 02						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/19/13	06/12/13	29 89	29 89	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 34 21						
	WASH SALE REPLACEMENT ADDITION 10 73						
	WASH SALE LOSS DISALLOWED 15 05						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	03/19/13	06/12/13	9 96	9 96	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 40						
	WASH SALE REPLACEMENT ADDITION 5 27						
	WASH SALE LOSS DISALLOWED 6 71						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	03/19/13	06/13/13	40 26	40 26	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 45 62						
	WASH SALE REPLACEMENT ADDITION 21 09						
	WASH SALE LOSS DISALLOWED 26 45						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	03/19/13	06/13/13	30 19	30 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 34 21						
	WASH SALE REPLACEMENT ADDITION 15 82						
	WASH SALE LOSS DISALLOWED 19 84						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/19/13	06/13/13	80 51	80 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 91 24						
	WASH SALE REPLACEMENT ADDITION 30 32						
	WASH SALE LOSS DISALLOWED 41 05						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	03/19/13	06/13/13	80 51	80 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 91 24						
	WASH SALE REPLACEMENT ADDITION 30 31						
	WASH SALE LOSS DISALLOWED 41 04						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	03/19/13	06/13/13	221 40	221 40	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 250 91						
	WASH SALE REPLACEMENT ADDITION 83 36						
	WASH SALE LOSS DISALLOWED 112 87						
	SYMBOL SDS, CUSIP 74347B300						

THE RICE FAMILY FOUNDATION
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 91 24 WASH SALE REPLACEMENT ADDITION 30 31 WASH SALE LOSS DISALLOWED 41 04 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/13/13	80 51	80 51	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 57 02 WASH SALE REPLACEMENT ADDITION 18 95 WASH SALE LOSS DISALLOWED 25 65 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/13/13	50 32	50 32	0 00
5 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 228 10 WASH SALE LOSS DISALLOWED 26 82 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/13/13	201 28	201 28	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 114 05 WASH SALE LOSS DISALLOWED 66 13 SYMBOL SDS, CUSIP 74347B300	☐	03/19/13	06/13/13	100 64	100 64	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 29 WASH SALE REPLACEMENT ADDITION 3 62 WASH SALE LOSS DISALLOWED 4 85 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	10 06	10 06	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 10 85 WASH SALE LOSS DISALLOWED 14 54 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 67 76 WASH SALE REPLACEMENT ADDITION 21 70 WASH SALE LOSS DISALLOWED 29 09 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	60 38	60 38	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 10 85 WASH SALE LOSS DISALLOWED 14 54 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 10 85 WASH SALE LOSS DISALLOWED 14 54 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
6 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 304 95 WASH SALE REPLACEMENT ADDITION 97 65 WASH SALE LOSS DISALLOWED 130 89 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	271 72	271 72	0 00
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 248 48 WASH SALE REPLACEMENT ADDITION 79 57 WASH SALE LOSS DISALLOWED 106 65 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	221 40	221 40	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 214 60 WASH SALE REPLACEMENT ADDITION 68 72 WASH SALE LOSS DISALLOWED 92 11 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	191 21	191 21	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 124 24 WASH SALE REPLACEMENT ADDITION 39 79 WASH SALE LOSS DISALLOWED 53 33 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	110 70	110 70	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 47 WASH SALE REPLACEMENT ADDITION 18 08	☐	04/05/13	06/13/13	50 32	50 32	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 24 24 SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 47 WASH SALE REPLACEMENT ADDITION 18 08 WASH SALE LOSS DISALLOWED 24 24 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	50 32	50 32	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 293 66 WASH SALE REPLACEMENT ADDITION 94 04 WASH SALE LOSS DISALLOWED 126 04 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	261 66	261 66	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 7 23 WASH SALE LOSS DISALLOWED 9 70 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 10 85 WASH SALE LOSS DISALLOWED 14 54 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 7 23 WASH SALE LOSS DISALLOWED 9 70 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 203 30 WASH SALE REPLACEMENT ADDITION 65 10 WASH SALE LOSS DISALLOWED 87 26 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	181 15	181 15	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 11 29	☐	04/05/13	06/13/13	10 06	10 06	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 3 62						
	WASH SALE LOSS DISALLOWED 4 85						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	04/05/13	06/13/13	261 66	261 66	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 293 66						
	WASH SALE REPLACEMENT ADDITION 94 04						
	WASH SALE LOSS DISALLOWED 126 04						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	04/05/13	06/13/13	40 26	40 26	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 45 17						
	WASH SALE REPLACEMENT ADDITION 28 24						
	WASH SALE LOSS DISALLOWED 33 17						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	04/05/13	06/13/13	90 57	90 57	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 101 65						
	WASH SALE REPLACEMENT ADDITION 63 55						
	WASH SALE LOSS DISALLOWED 74 63						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	04/05/13	06/13/13	150 96	150 96	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 169 42						
	WASH SALE REPLACEMENT ADDITION 105 92						
	WASH SALE LOSS DISALLOWED 124 38						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	04/05/13	06/13/13	10 06	10 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 29						
	WASH SALE REPLACEMENT ADDITION 7 06						
	WASH SALE LOSS DISALLOWED 8 29						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	04/05/13	06/13/13	60 38	60 38	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 67 76						
	WASH SALE REPLACEMENT ADDITION 21 70						
	WASH SALE LOSS DISALLOWED 29 09						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	04/05/13	06/13/13	80 51	80 51	0 00
	PROSHARES ULTRASHORT S&P 500						

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	NEW						
	ORIGINAL COST 90 35						
	WASH SALE REPLACEMENT ADDITION 33 71						
	WASH SALE LOSS DISALLOWED 43 56						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	04/05/13	06/13/13	10 06	10 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 29						
	WASH SALE REPLACEMENT ADDITION 4 21						
	WASH SALE LOSS DISALLOWED 5 45						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	04/05/13	06/13/13	60 38	60 38	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 67 76						
	WASH SALE REPLACEMENT ADDITION 25 29						
	WASH SALE LOSS DISALLOWED 32 67						
	SYMBOL SDS, CUSIP 74347B300						
4 000	PROSHARES TR	☐	04/05/13	06/13/13	161 02	161 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 180 71						
	WASH SALE REPLACEMENT ADDITION 67 44						
	WASH SALE LOSS DISALLOWED 87 13						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	04/05/13	06/13/13	10 06	10 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 29						
	WASH SALE REPLACEMENT ADDITION 4 21						
	WASH SALE LOSS DISALLOWED 5 45						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	04/05/13	06/13/13	30 19	30 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 33 88						
	WASH SALE REPLACEMENT ADDITION 12 64						
	WASH SALE LOSS DISALLOWED 16 34						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	04/05/13	06/13/13	20 13	20 13	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 22 58						
	WASH SALE REPLACEMENT ADDITION 8 43						
	WASH SALE LOSS DISALLOWED 10 89						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 12 65 WASH SALE LOSS DISALLOWED 16 34 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 79 06 WASH SALE REPLACEMENT ADDITION 29 51 WASH SALE LOSS DISALLOWED 38 13 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	70 45	70 45	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 17 WASH SALE REPLACEMENT ADDITION 16 86 WASH SALE LOSS DISALLOWED 21 78 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	40 26	40 26	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 17 WASH SALE REPLACEMENT ADDITION 16 86 WASH SALE LOSS DISALLOWED 21 78 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	40 26	40 26	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 17 WASH SALE REPLACEMENT ADDITION 13 62 WASH SALE LOSS DISALLOWED 18 54 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	40 26	40 26	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 6 81 WASH SALE LOSS DISALLOWED 9 27 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 293 66 WASH SALE REPLACEMENT ADDITION 88 53	☐	04/05/13	06/13/13	261 66	261 66	0 00

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	WASH SALE LOSS DISALLOWED 120 53 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 9 37 WASH SALE LOSS DISALLOWED 11 83 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 14 05 WASH SALE LOSS DISALLOWED 17 75 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 45 17 WASH SALE REPLACEMENT ADDITION 16 86 WASH SALE LOSS DISALLOWED 21 79 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	40 26	40 26	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 8 43 WASH SALE LOSS DISALLOWED 10 89 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 90 35 WASH SALE REPLACEMENT ADDITION 27 24 WASH SALE LOSS DISALLOWED 37 09 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	80 51	80 51	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 112 94 WASH SALE REPLACEMENT ADDITION 36 17 WASH SALE LOSS DISALLOWED 48 48 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	100 64	100 64	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88	☐	04/05/13	06/13/13	30 19	30 19	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 10 85						
	WASH SALE LOSS DISALLOWED 14 54						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	04/05/13	06/13/13	100 64	100 64	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 112 94						
	WASH SALE REPLACEMENT ADDITION 36 17						
	WASH SALE LOSS DISALLOWED 48 48						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	04/05/13	06/13/13	10 06	10 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 11 29						
	WASH SALE REPLACEMENT ADDITION 3 62						
	WASH SALE LOSS DISALLOWED 4 85						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	04/05/13	06/13/13	50 32	50 32	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 56 47						
	WASH SALE REPLACEMENT ADDITION 22 01						
	WASH SALE LOSS DISALLOWED 28 17						
	SYMBOL SDS, CUSIP 74347B300						
11 750	PROSHARES TR	☐	04/05/13	06/13/13	473 00	473 00	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 530 85						
	WASH SALE REPLACEMENT ADDITION 206 90						
	WASH SALE LOSS DISALLOWED 264 76						
	SYMBOL SDS, CUSIP 74347B300						
3 250	PROSHARES TR	☐	04/05/13	06/13/13	130 83	130 83	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 146 83						
	WASH SALE REPLACEMENT ADDITION 57 23						
	WASH SALE LOSS DISALLOWED 73 23						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	04/05/13	06/13/13	50 32	50 32	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 56 47						
	WASH SALE REPLACEMENT ADDITION 22 01						
	WASH SALE LOSS DISALLOWED 28 17						
	SYMBOL SDS, CUSIP 74347B300						
11 750	PROSHARES TR	☐	04/05/13	06/13/13	473 00	473 00	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 530 85 WASH SALE REPLACEMENT ADDITION 296 36 WASH SALE LOSS DISALLOWED 354 22 SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 203 30 WASH SALE REPLACEMENT ADDITION 86 80 WASH SALE LOSS DISALLOWED 108 96 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	181 15	181 15	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 14 47 WASH SALE LOSS DISALLOWED 18 16 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 13 91 WASH SALE LOSS DISALLOWED 17 61 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 47 WASH SALE REPLACEMENT ADDITION 23 19 WASH SALE LOSS DISALLOWED 29 34 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	50 32	50 32	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 13 91 WASH SALE LOSS DISALLOWED 17 61 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 67 76 WASH SALE REPLACEMENT ADDITION 27 83 WASH SALE LOSS DISALLOWED 35 21 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	60 38	60 38	0 00

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QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 83 WASH SALE REPLACEMENT ADDITION 60 29 WASH SALE LOSS DISALLOWED 76 30 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	130 83	130 83	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 83 WASH SALE REPLACEMENT ADDITION 60 29 WASH SALE LOSS DISALLOWED 76 30 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	130 83	130 83	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 9 28 WASH SALE LOSS DISALLOWED 11 74 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	20 13	20 13	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 83 WASH SALE REPLACEMENT ADDITION 60 29 WASH SALE LOSS DISALLOWED 76 30 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	130 83	130 83	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 33 88 WASH SALE REPLACEMENT ADDITION 13 91 WASH SALE LOSS DISALLOWED 17 61 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	30 19	30 19	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 67 76 WASH SALE REPLACEMENT ADDITION 27 83 WASH SALE LOSS DISALLOWED 35 21 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	60 38	60 38	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 22 58 WASH SALE REPLACEMENT ADDITION 9 28	☐	04/05/13	06/13/13	20 13	20 13	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 11 74 SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 47 WASH SALE REPLACEMENT ADDITION 23 19 WASH SALE LOSS DISALLOWED 29 34 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	50 32	50 32	0 00
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 135 53 WASH SALE REPLACEMENT ADDITION 55 65 WASH SALE LOSS DISALLOWED 70 43 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/13/13	120 77	120 77	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 101 65 WASH SALE REPLACEMENT ADDITION 41 74 WASH SALE LOSS DISALLOWED 48 79 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/25/13	94 60	94 60	0 00
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 530 85 WASH SALE REPLACEMENT ADDITION 217 98 WASH SALE LOSS DISALLOWED 254 82 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/25/13	494 02	494 02	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 79 06 WASH SALE REPLACEMENT ADDITION 32 47 WASH SALE LOSS DISALLOWED 37 95 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/25/13	73 58	73 58	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 47 WASH SALE LOSS DISALLOWED 27 11 SYMBOL SDS, CUSIP 74347B300	☐	04/05/13	06/25/13	52 55	52 55	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 84 90 WASH SALE REPLACEMENT ADDITION 37 10	☐	04/11/13	06/25/13	84 09	84 09	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 37 92 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 21 22 WASH SALE REPLACEMENT ADDITION 9 28 WASH SALE LOSS DISALLOWED 9 48 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	21 02	21 02	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 116 73 WASH SALE REPLACEMENT ADDITION 51 02 WASH SALE LOSS DISALLOWED 52 13 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	115 62	115 62	0 00
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 265 31 WASH SALE REPLACEMENT ADDITION 115 95 WASH SALE LOSS DISALLOWED 118 49 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	262 77	262 77	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 95 51 WASH SALE REPLACEMENT ADDITION 41 74 WASH SALE LOSS DISALLOWED 42 65 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	94 60	94 60	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 137 96 WASH SALE REPLACEMENT ADDITION 60 29 WASH SALE LOSS DISALLOWED 61 61 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	136 64	136 64	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 42 45 WASH SALE REPLACEMENT ADDITION 11 16 WASH SALE LOSS DISALLOWED 11 57 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	42 04	42 04	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 63 67	☐	04/11/13	06/25/13	63 07	63 07	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 16 74 WASH SALE LOSS DISALLOWED 17 35 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 42 45 WASH SALE REPLACEMENT ADDITION 15 51 WASH SALE LOSS DISALLOWED 15 91 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	42 04	42 04	0 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 827 77 WASH SALE REPLACEMENT ADDITION 302 44 WASH SALE LOSS DISALLOWED 310 36 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	819 86	819 86	0 00
20 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 870 22 WASH SALE REPLACEMENT ADDITION 317 95 WASH SALE LOSS DISALLOWED 326 27 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	861 90	861 90	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 106 12 WASH SALE REPLACEMENT ADDITION 38 77 WASH SALE LOSS DISALLOWED 39 79 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	105 11	105 11	0 00
18 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 764 10 WASH SALE REPLACEMENT ADDITION 193 62 WASH SALE LOSS DISALLOWED 200 93 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	756 79	756 79	0 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 827 77 WASH SALE REPLACEMENT ADDITION 209 76 WASH SALE LOSS DISALLOWED 217 68 SYMBOL SDS, CUSIP 74347B300	☐	04/11/13	06/25/13	819 86	819 86	0 00
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500	☐	04/11/13	06/25/13	147 15	147 15	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 148 57						
	WASH SALE REPLACEMENT ADDITION 37 65						
	WASH SALE LOSS DISALLOWED 39 07						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	04/11/13	06/25/13	189 20	189 20	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 191 02						
	WASH SALE REPLACEMENT ADDITION 48 41						
	WASH SALE LOSS DISALLOWED 50 23						
	SYMBOL SDS, CUSIP 74347B300						
27 000	PROSHARES TR	☐	04/11/13	06/25/13	1,135 19	1,135 19	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 1,146 15						
	WASH SALE REPLACEMENT ADDITION 235 71						
	WASH SALE LOSS DISALLOWED 246 67						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	04/11/13	06/25/13	42 04	42 04	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 42 45						
	WASH SALE REPLACEMENT ADDITION 15 51						
	WASH SALE LOSS DISALLOWED 15 92						
	SYMBOL SDS, CUSIP 74347B300						
18 500	PROSHARES TR	☐	04/11/13	06/25/13	777 81	777 81	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 785 32						
	WASH SALE REPLACEMENT ADDITION 161 50						
	WASH SALE LOSS DISALLOWED 169 02						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	04/12/13	06/25/13	84 09	84 09	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 85 80						
	WASH SALE REPLACEMENT ADDITION 17 46						
	WASH SALE LOSS DISALLOWED 19 17						
	SYMBOL SDS, CUSIP 74347B300						
78 000	PROSHARES TR	☐	04/12/13	06/25/13	3,279 43	3,279 43	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 3,346 20						
	WASH SALE REPLACEMENT ADDITION 537 48						
	WASH SALE LOSS DISALLOWED 604 25						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
60 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 2,574 00 WASH SALE LOSS DISALLOWED 51 36 SYMBOL SDS, CUSIP 74347B300	☐	04/12/13	06/25/13	2,522 64	2,522 64	0 00
43 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,898 44 WASH SALE LOSS DISALLOWED 90 55 SYMBOL SDS, CUSIP 74347B300	☐	04/15/13	06/25/13	1,807 89	1,807 89	0 00
27 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,192 04 WASH SALE LOSS DISALLOWED 115 23 SYMBOL SDS, CUSIP 74347B300	☐	04/15/13	07/01/13	1,076 82	1,076 82	0 00
150 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 6,374 67 WASH SALE LOSS DISALLOWED 392 36 SYMBOL SDS, CUSIP 74347B300	☐	04/25/13	07/01/13	5,982 32	5,982 32	0 00
23 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 990 60 WASH SALE LOSS DISALLOWED 73 32 SYMBOL SDS, CUSIP 74347B300	☐	04/26/13	07/01/13	917 29	917 29	0 00
102 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 4,393 13 WASH SALE LOSS DISALLOWED 652 86 SYMBOL SDS, CUSIP 74347B300	☐	04/26/13	08/12/13	3,740 27	3,740 27	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 77 26 WASH SALE REPLACEMENT ADDITION 24 76 WASH SALE LOSS DISALLOWED 28 68 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	73 34	73 34	0 00
24 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 927 12 WASH SALE REPLACEMENT ADDITION 437 64	☐	05/22/13	08/12/13	880 06	880 06	0 00

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	WASH SALE LOSS DISALLOWED 484 70 SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 86 91 WASH SALE REPLACEMENT ADDITION 41 03 WASH SALE LOSS DISALLOWED 45 44 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	82 51	82 51	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 77 26 WASH SALE REPLACEMENT ADDITION 36 47 WASH SALE LOSS DISALLOWED 40 39 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	73 34	73 34	0 00
26 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,004 38 WASH SALE REPLACEMENT ADDITION 474 11 WASH SALE LOSS DISALLOWED 525 09 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	953 40	953 40	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 65 WASH SALE REPLACEMENT ADDITION 4 56 WASH SALE LOSS DISALLOWED 5 05 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	9 17	9 17	0 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 753 28 WASH SALE REPLACEMENT ADDITION 349 23 WASH SALE LOSS DISALLOWED 387 47 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	715 05	715 05	0 00
4 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 154 52 WASH SALE REPLACEMENT ADDITION 71 64 WASH SALE LOSS DISALLOWED 79 48 SYMBOL SDS, CUSIP 74347B300	☐	05/22/13	08/12/13	146 68	146 68	0 00
7 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 302 52	☐	06/19/13	08/12/13	284 19	284 19	0 00

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	WASH SALE REPLACEMENT ADDITION 138 80						
	WASH SALE LOSS DISALLOWED 157 14						
	SYMBOL SDS, CUSIP 74347B300						
9 500	PROSHARES TR	☐	06/19/13	08/12/13	348 36	348 36	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 370 84						
	WASH SALE REPLACEMENT ADDITION 170 14						
	WASH SALE LOSS DISALLOWED 192 62						
	SYMBOL SDS, CUSIP 74347B300						
8 500	PROSHARES TR	☐	06/19/13	08/12/13	311 69	311 69	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 331 80						
	WASH SALE REPLACEMENT ADDITION 152 23						
	WASH SALE LOSS DISALLOWED 172 35						
	SYMBOL SDS, CUSIP 74347B300						
38 000	PROSHARES TR	☐	06/19/13	08/20/13	1,444 45	1,444 45	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 1,483 36						
	WASH SALE REPLACEMENT ADDITION 260 28						
	WASH SALE LOSS DISALLOWED 299 20						
	SYMBOL SDS, CUSIP 74347B300						
7 000	PROSHARES TR	☐	06/19/13	08/20/13	266 08	266 08	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 273 25						
	WASH SALE REPLACEMENT ADDITION 41 23						
	WASH SALE LOSS DISALLOWED 48 40						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 13 43						
	WASH SALE LOSS DISALLOWED 14 20						
	SYMBOL SDS, CUSIP 74347B300						
5 000	PROSHARES TR	☐	06/20/13	08/29/13	193 65	193 65	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 202 76						
	WASH SALE REPLACEMENT ADDITION 26 82						
	WASH SALE LOSS DISALLOWED 35 93						
	SYMBOL SDS, CUSIP 74347B300						
27 000	PROSHARES TR	☐	06/24/13	09/18/13	936 41	936 41	0 00
	PROSHARES ULTRASHORT S&P 500						

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	NEW						
	ORIGINAL COST 1,146 69						
	WASH SALE REPLACEMENT ADDITION 246 67						
	WASH SALE LOSS DISALLOWED 456 96						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/24/13	09/18/13	52 02	52 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 63 70						
	WASH SALE REPLACEMENT ADDITION 13 70						
	WASH SALE LOSS DISALLOWED 25 39						
	SYMBOL SDS, CUSIP 74347B300						
17 000	PROSHARES TR	☐	07/03/13	09/18/13	589 59	589 59	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 691 83						
	WASH SALE REPLACEMENT ADDITION 155 31						
	WASH SALE LOSS DISALLOWED 257 56						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	07/03/13	09/18/13	69 36	69 36	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 39						
	WASH SALE REPLACEMENT ADDITION 19 17						
	WASH SALE LOSS DISALLOWED 31 20						
	SYMBOL SDS, CUSIP 74347B300						
78 000	PROSHARES TR	☐	07/03/13	09/18/13	2,705 17	2,705 17	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 3,174 30						
	WASH SALE REPLACEMENT ADDITION 604 25						
	WASH SALE LOSS DISALLOWED 1,073 39						
	SYMBOL SDS, CUSIP 74347B300						
48 000	PROSHARES TR	☐	07/03/13	09/18/13	1,664 72	1,664 72	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 1,953 42						
	WASH SALE REPLACEMENT ADDITION 41 09						
	WASH SALE LOSS DISALLOWED 329 79						
	SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR	☐	07/12/13	09/18/13	104 05	104 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 112 32						
	WASH SALE REPLACEMENT ADDITION 6 32						
	WASH SALE LOSS DISALLOWED 14 60						
	SYMBOL SDS, CUSIP 74347B300						

THE RICE FAMILY FOUNDATION
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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313-89740

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
27 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,010 92 WASH SALE REPLACEMENT ADDITION 115 23 WASH SALE LOSS DISALLOWED 144 73 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	981 43	981 43	0 00
150 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 5,616 27 WASH SALE REPLACEMENT ADDITION 392 36 WASH SALE LOSS DISALLOWED 556 23 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	5,452 40	5,452 40	0 00
23 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 861 16 WASH SALE REPLACEMENT ADDITION 73 32 WASH SALE LOSS DISALLOWED 98 45 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	836 03	836 03	0 00
20 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 748 83 WASH SALE LOSS DISALLOWED 21 85 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	726 99	726 99	0 00
12 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 449 30 WASH SALE REPLACEMENT ADDITION 10 27 WASH SALE LOSS DISALLOWED 23 38 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	436 19	436 19	0 00
8 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 299 53 WASH SALE LOSS DISALLOWED 25 59 SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	10/10/13	290 79	290 79	0 00
67 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 2,530 58 WASH SALE REPLACEMENT ADDITION 922 01 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	2,139 65	3,452 60	-1,312 95

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
102 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 3,762 42 WASH SALE REPLACEMENT ADDITION 652 86 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	07/24/13	11/14/13	3,257 37	4,415 29	-1,157 91
27 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,019 78 WASH SALE REPLACEMENT ADDITION 456 96 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	862 25	1,476 74	-614 50
38 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,482 50 WASH SALE REPLACEMENT ADDITION 299 20 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	1,213 53	1,781 70	-568 17
17 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 642 08 WASH SALE REPLACEMENT ADDITION 257 56 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	542 90	899 65	-356 75
32 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW SYMBOL SDS, CUSIP 74347B300	☐	07/12/13	11/14/13	1,021 92	1,265 53	-243 61
7 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 273 09 WASH SALE REPLACEMENT ADDITION 48 40 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	223 55	321 49	-97 95
5 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 195 06 WASH SALE REPLACEMENT ADDITION 35 93 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	159 68	231 00	-71 33
2 000	PROSHARES TR	☐	09/06/13	11/14/13	63 87	106 74	-42 87

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 75 53 WASH SALE REPLACEMENT ADDITION 31 20 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 73 77 WASH SALE REPLACEMENT ADDITION 28 68 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	07/24/13	11/14/13	63 87	102 45	-38 58
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 65 WASH SALE REPLACEMENT ADDITION 25 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	47 90	82 04	-34 14
475 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	15,054 15	17,127 93	-2,073 78
150 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 5,408 81 WASH SALE REPLACEMENT ADDITION 556 23 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	4,753 94	5,965 05	-1,211 10
37 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 1,334 17 WASH SALE REPLACEMENT ADDITION 254 21 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	1,172 64	1,588 39	-415 75
27 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 973 58 WASH SALE REPLACEMENT ADDITION 144 73 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	855 71	1,118 31	-262 60
11 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500	☐	09/06/13	11/21/13	348 62	566 84	-218 22

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW SYMBOL SDS, CUSIP 74347B300						
23 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 829 35 WASH SALE REPLACEMENT ADDITION 98 45 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	728 94	927 80	-198 86
11 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 415 46 WASH SALE REPLACEMENT ADDITION 75 58 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/21/13	348 62	491 05	-142 42
20 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 721 17 WASH SALE REPLACEMENT ADDITION 21 85 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	633 86	743 03	-109 17
12 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 432 70 WASH SALE REPLACEMENT ADDITION 23 38 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	380 32	456 09	-75 77
8 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 288 47 WASH SALE REPLACEMENT ADDITION 25 59 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	253 54	314 06	-60 51
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 108 17 WASH SALE REPLACEMENT ADDITION 14 60 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/25/13	11/21/13	95 08	122 77	-27 70
55 000	PROSHARES TR PROSHARES ULTRASHORT TR TREAS SYMBOL TBT, CUSIP 74347B201	☐	05/28/13	07/08/13	4,164 22	3,716 54	447 68

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Schedule of Realized Gains and Losses (cont')

Short-Term (cont')

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
40 000	PROSHARES TR PROSHARES ULTRASHORT TR TREAS SYMBOL TBT, CUSIP 74347B201	☐	05/28/13	09/18/13	3,124 76	2,702 94	421 82
40 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL RDS B, CUSIP 780259107	☐	04/09/13	10/24/13	2,864 62	2,645 18	219 44
60 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	04/29/13	09/11/13	1,596 07	1,395 68	200 39
10 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	06/13/13	09/20/13	310 57	249 15	61 41
70 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	04/29/13	09/20/13	2,173 96	1,628 30	545 67
20 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	06/24/13	09/30/13	640 13	454 71	185 42
55 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	06/13/13	09/30/13	1,760 35	1,370 35	390 01
80 000	SELECT SCTOR SPDR TR SBI UTILS SELECT INDEX SYMBOL XLU, CUSIP 81369Y886	☐	09/27/12	04/01/13	3,119 15	2,897 59	221 56
160 000	SELECT SCTOR SPDR TR SBI UTILS SELECT INDEX SYMBOL XLU, CUSIP 81369Y886	☐	06/13/13	06/20/13	5,942 03	5,990 40	-48 37
80 000	SELECT SCTOR SPDR TR SBI UTILS SELECT INDEX SYMBOL XLU, CUSIP 81369Y886	☐	06/13/13	06/24/13	2,900 80	2,995 20	-94 40
0 206	SILVER BAY RLTY TR CORP COM SYMBOL SBY, CUSIP 82735Q102	☒	04/24/13	04/24/13	4 01	4 03	-0 02
12 000	SILVER BAY RLTY TR CORP COM SYMBOL SBY, CUSIP 82735Q102	☒	04/24/13	05/21/13	216 71	234 18	-17 47
130 000	SPDR SER TR S&P DIVIDEND ETF ORIGINAL COST 9,092 12 WASH SALE LOSS DISALLOWED 97 15 SYMBOL SDY, CUSIP 78464A763	☐	05/15/13	05/23/13	8,994 97	8,994 97	0 00
115 000	SPDR SER TR S&P DIVIDEND ETF ORIGINAL COST 7,738 15 WASH SALE REPLACEMENT ADDITION 85 94 WASH SALE LOSS DISALLOWED 120 15 SYMBOL SDY, CUSIP 78464A763	☐	06/03/13	06/20/13	7,670 57	7,703 94	-33 37
90 000	SPDR SER TR	☐	06/06/13	06/24/13	5,820 29	6,133 05	-312 76

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	S&P DIVIDEND ETF ORIGINAL COST 6,012 90 WASH SALE REPLACEMENT ADDITION 120 15 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDY, CUSIP 78464A763						
15 000	SPDR SER TR S&P DIVIDEND ETF SYMBOL SDY, CUSIP 78464A763	☐	06/03/13	06/24/13	970 05	1,020 53	-50 49
5 000	SPDR SER TR S&P DIVIDEND ETF SYMBOL SDY, CUSIP 78464A763	☐	06/03/13	06/24/13	323 35	336 44	-13 09
90 000	ST JUDE MEDICAL INC SYMBOL STJ, CUSIP 790849103	☐	11/21/12	01/24/13	3,588 79	2,819 67	769 12
45 000	TELEFONICA BRASIL SA SPONSORED ADR SYMBOL VIV, CUSIP 87936R106	☐	12/26/12	08/21/13	892 54	1,074 29	-181 75
0 605	TELEFONICA SA SPONSORED ADR SYMBOL TEF, CUSIP 879382208	☐	08/22/12	03/18/13	8 75	7 81	0 94
4 605	TELEFONICA SA SPONSORED ADR SYMBOL TEF, CUSIP 879382208	☐	06/18/12	03/18/13	66 64	52 31	14 33
39 395	TELEFONICA SA SPONSORED ADR SYMBOL TEF, CUSIP 879382208	☐	08/22/12	03/18/13	570 05	508 19	61 86
160 000	TELEFONICA SA SPONSORED ADR SYMBOL TEF, CUSIP 879382208	☐	06/27/13	10/24/13	2,830 54	2,068 42	762 12
85 000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR SYMBOL TEVA, CUSIP 881624209	☐	02/27/13	03/22/13	3,389 95	3,205 77	184 18
60 000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR SYMBOL TEVA, CUSIP 881624209	☐	05/03/13	06/14/13	2,339 95	2,300 87	39 08
60 000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR SYMBOL TEVA, CUSIP 881624209	☐	05/03/13	06/20/13	2,324 10	2,300 87	23 23
10 000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL TOT, CUSIP 89151E109	☐	08/22/12	04/30/13	501 86	499 70	2 16
40 000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL TOT, CUSIP 89151E109	☐	03/27/13	04/30/13	2,007 42	1,916 77	90 65

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
45 000	TOTAL S A 1 ADR REPRESENTING 1 ORD SHS SYMBOL TOT, CUSIP 89151E109	☐	06/12/12	04/30/13	2,258 35	1,956 18	302 17
30 000	TRANSOCEAN LTD US LISTED ORIGINAL COST 1,583 67 WASH SALE LOSS DISALLOWED 249 57 SYMBOL RIG, CUSIP H8817H100	☐	03/05/13	09/24/13	1,334 11	1,334 11	0 00
85 000	WALGREEN CO SYMBOL WAG, CUSIP 931422109	☐	06/19/12	04/01/13	4,034 85	2,552 35	1,482 51
10 000	WASTE MANAGEMENT INC DEL SYMBOL WM, CUSIP 94106L109	☐	08/22/12	04/02/13	388 19	345 20	42 99
55 000	WASTE MANAGEMENT INC DEL SYMBOL WM, CUSIP 94106L109	☐	11/19/12	04/02/13	2,135 06	1,734 98	400 08
35 000	WASTE MANAGEMENT INC DEL SYMBOL WM, CUSIP 94106L109	☐	12/31/12	06/13/13	1,390 61	1,169 26	221 35
45 000	WASTE MANAGEMENT INC DEL SYMBOL WM, CUSIP 94106L109	☐	11/19/12	06/13/13	1,787 92	1,419 53	368 40
90 000	WELLS FARGO & CO SYMBOL WFC, CUSIP 949746101	☐	09/13/12	04/17/13	3,289 42	3,154 44	134 98
80 000	WELLS FARGO & CO SYMBOL WFC, CUSIP 949746101	☐	09/13/12	06/14/13	3,220 96	2,803 94	417 02
Short-Term Subtotal					509,845.18		9,819.67

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100 000	ANWORTH MORTGAGE ASSET CORP SYMBOL ANH, CUSIP 037347101	☐	07/25/12	12/20/13	415 74	676 33	-260 59
105 000	ANWORTH MORTGAGE ASSET CORP SYMBOL ANH, CUSIP 037347101	☐	08/22/12	12/20/13	436 53	692 55	-256 02
120 000	ARLINGTON ASSET INVESTMENT CORP CL-A SYMBOL AI, CUSIP 041356205	☐	09/27/12	12/11/13	3,188 24	2,890 80	297 44
105 000	BLACKROCK KELSO CAP CORPORATION SYMBOL BKCC, CUSIP 092533108	☐	01/12/12	01/24/13	1,105 58	829 40	276 19
60 000	CARDINAL HEALTH INC SYMBOL CAH, CUSIP 14149Y108	☐	09/06/12	09/18/13	3,174 33	2,362 32	812 01
45 000	CENTURYLINK INC ORIGINAL COST 1,650 53	☐	01/12/12	03/05/13	1,588 91	1,588 91	0 00

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 61 62 SYMBOL CTL, CUSIP 156700106						
75 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	01/12/12	04/10/13	2,774 45	2,750 89	23 56
75 000	CENTURYLINK INC ORIGINAL COST 2,750 88 WASH SALE LOSS DISALLOWED 305 75 SYMBOL CTL, CUSIP 156700106	☐	01/12/12	08/19/13	2,445 14	2,445 14	0 00
60 000	CISCO SYSTEMS INC SYMBOL CSCO, CUSIP 17275R102	☐	05/21/12	08/09/13	1,558 86	997 79	561 07
175 000	CYS INVESTMENTS INC SYMBOL CYS, CUSIP 12673A108	☐	01/12/12	06/11/13	1,744 75	2,319 71	-574 96
10 000	CYS INVESTMENTS INC SYMBOL CYS, CUSIP 12673A108	☐	01/12/12	07/05/13	81 62	131 35	-49 74
240 000	DYNEX CAP INC COM SYMBOL DX, CUSIP 26817Q506	☐	01/12/12	08/19/13	1,860 08	2,210 40	-350 32
80 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	01/12/12	07/19/13	1,983 19	1,515 88	467 31
10 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	08/22/12	09/20/13	242 74	208 30	34 44
50 000	GENERAL ELECTRIC CO SYMBOL GE, CUSIP 369604103	☐	01/12/12	09/20/13	1,213 71	947 42	266 29
790 000	GLADSTONE INVESTMENT CORP SYMBOL GAIN, CUSIP 376546107	☐	10/02/12	10/29/13	5,729 14	5,876 49	-147 35
155 000	INTERSIL CORPORATION CLA (FRM INTERSIL HLG CORP CL-A) SYMBOL ISIL, CUSIP 46069S109	☐	07/27/12	07/31/13	1,551 54	1,440 86	110 68
30 000	INTERSIL CORPORATION CLA (FRM INTERSIL HLG CORP CL-A) SYMBOL ISIL, CUSIP 46069S109	☐	08/22/12	08/27/13	319 01	276 90	42 11
130 000	INTERSIL CORPORATION CLA (FRM INTERSIL HLG CORP CL-A) SYMBOL ISIL, CUSIP 46069S109	☐	07/27/12	08/27/13	1,382 37	1,208 47	173 90
15 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☐	06/06/12	11/01/13	1,394 70	937 10	457 61
20 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☐	08/22/12	11/01/13	1,859 60	1,357 80	501 80
55 000	JPMORGAN CHASE & CO SYMBOL JPM, CUSIP 46625H100	☐	01/12/12	01/24/13	2,559 10	2,018 50	540 60
15 000	JPMORGAN CHASE & CO SYMBOL JPM, CUSIP 46625H100	☐	01/12/12	02/27/13	738 60	550 50	188 10

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
110 000	MARVELL TECHNOLOGY GROUP LTD SYMBOL MRVL, CUSIP G5876H105	☐	09/13/12	11/05/13	1,441 97	1,127 03	314 94
45 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	01/12/12	04/10/13	2,112 84	1,736 55	376 29
20 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	01/12/12	12/03/13	994 25	771 80	222 45
80 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/22/12	12/13/13	570 95	504 00	66 95
308 000	NEWYORK MORTGAGE TRUST INC SYMBOL NYMT, CUSIP 649604501	☐	08/16/12	12/13/13	2,198 16	1,942 99	255 17
40 000	PROCTER & GAMBLE CO SYMBOL PG, CUSIP 742718109	☐	02/03/12	03/05/13	3,086 49	2,517 20	569 29
8 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 322 04 WASH SALE REPLACEMENT ADDITION 259 23 WASH SALE LOSS DISALLOWED 278 76 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	302 52	302 52	0 00
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 243 97 WASH SALE REPLACEMENT ADDITION 196 39 WASH SALE LOSS DISALLOWED 211 18 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	229 18	229 18	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 107 34 WASH SALE REPLACEMENT ADDITION 86 41 WASH SALE LOSS DISALLOWED 92 92 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	100 84	100 84	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 55 WASH SALE REPLACEMENT ADDITION 47 13 WASH SALE LOSS DISALLOWED 50 68 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	55 00	55 00	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 15 71	☐	06/19/13	08/12/13	18 33	18 33	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 16 89 SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27 WASH SALE REPLACEMENT ADDITION 24 01 WASH SALE LOSS DISALLOWED 25 78 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	27 50	27 50	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 8 00 WASH SALE LOSS DISALLOWED 8 59 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	9 17	9 17	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 83 WASH SALE REPLACEMENT ADDITION 72 03 WASH SALE LOSS DISALLOWED 77 35 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	82 51	82 51	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 107 34 WASH SALE REPLACEMENT ADDITION 88 03 WASH SALE LOSS DISALLOWED 94 54 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	100 84	100 84	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 38 WASH SALE REPLACEMENT ADDITION 120 04 WASH SALE LOSS DISALLOWED 128 92 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	137 51	137 51	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 86 WASH SALE REPLACEMENT ADDITION 104 04 WASH SALE LOSS DISALLOWED 111 73 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/12/13	119 18	119 18	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 03	☐	06/19/13	08/20/13	38 01	38 01	0 00

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	WASH SALE REPLACEMENT ADDITION 24 26						
	WASH SALE LOSS DISALLOWED 25 28						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 24 26						
	WASH SALE LOSS DISALLOWED 25 28						
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	06/19/13	08/20/13	199 56	199 56	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 204 93						
	WASH SALE REPLACEMENT ADDITION 127 35						
	WASH SALE LOSS DISALLOWED 132 72						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	06/19/13	08/20/13	142 54	142 54	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 146 38						
	WASH SALE REPLACEMENT ADDITION 90 96						
	WASH SALE LOSS DISALLOWED 94 80						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	06/19/13	08/20/13	209 06	209 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 214 69						
	WASH SALE REPLACEMENT ADDITION 133 41						
	WASH SALE LOSS DISALLOWED 139 04						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/19/13	08/20/13	9 50	9 50	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 6 06						
	WASH SALE LOSS DISALLOWED 6 32						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 24 26						
	WASH SALE LOSS DISALLOWED 25 28						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 24 26						
	WASH SALE LOSS DISALLOWED 25 28						
	SYMBOL SDS, CUSIP 74347B300						
4 000	PROSHARES TR	☐	06/19/13	08/20/13	152 05	152 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 156 14						
	WASH SALE REPLACEMENT ADDITION 97 03						
	WASH SALE LOSS DISALLOWED 101 12						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/19/13	08/20/13	9 50	9 50	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 6 06						
	WASH SALE LOSS DISALLOWED 6 32						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	06/19/13	08/20/13	47 51	47 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 79						
	WASH SALE REPLACEMENT ADDITION 26 45						
	WASH SALE LOSS DISALLOWED 27 73						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	06/19/13	08/20/13	104 53	104 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 107 34						
	WASH SALE REPLACEMENT ADDITION 58 18						
	WASH SALE LOSS DISALLOWED 61 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 21 16						
	WASH SALE LOSS DISALLOWED 22 18						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 10 58						
	WASH SALE LOSS DISALLOWED 11 09						
	SYMBOL SDS, CUSIP 74347B300						

THE RICE FAMILY FOUNDATION
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 5 29 WASH SALE LOSS DISALLOWED 5 55 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	9 50	9 50	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 26 45 WASH SALE LOSS DISALLOWED 27 73 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	47 51	47 51	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 10 58 WASH SALE LOSS DISALLOWED 11 09 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 83 WASH SALE REPLACEMENT ADDITION 47 60 WASH SALE LOSS DISALLOWED 49 91 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	85 53	85 53	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 68 31 WASH SALE REPLACEMENT ADDITION 37 02 WASH SALE LOSS DISALLOWED 38 82 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	66 52	66 52	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 5 29 WASH SALE LOSS DISALLOWED 5 55 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	9 50	9 50	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 10 58	☐	06/19/13	08/20/13	19 01	19 01	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 11 09 SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 107 34 WASH SALE REPLACEMENT ADDITION 58 18 WASH SALE LOSS DISALLOWED 61 00 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	104 53	104 53	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 07 WASH SALE REPLACEMENT ADDITION 42 31 WASH SALE LOSS DISALLOWED 44 36 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	76 02	76 02	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 5 29 WASH SALE LOSS DISALLOWED 5 55 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	9 50	9 50	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 26 45 WASH SALE LOSS DISALLOWED 27 73 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	47 51	47 51	0 00
5 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 195 17 WASH SALE REPLACEMENT ADDITION 152 85 WASH SALE LOSS DISALLOWED 157 97 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	190 06	190 06	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 07 WASH SALE REPLACEMENT ADDITION 57 82 WASH SALE LOSS DISALLOWED 59 87 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	76 02	76 02	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 55	☐	06/19/13	08/20/13	57 02	57 02	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 43 37						
	WASH SALE LOSS DISALLOWED 44 90						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/19/13	08/20/13	9 50	9 50	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 7 23						
	WASH SALE LOSS DISALLOWED 7 48						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	06/19/13	08/20/13	66 52	66 52	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 31						
	WASH SALE REPLACEMENT ADDITION 50 60						
	WASH SALE LOSS DISALLOWED 52 39						
	SYMBOL SDS, CUSIP 74347B300						
5 750	PROSHARES TR	☐	06/19/13	08/20/13	218 57	218 57	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 224 45						
	WASH SALE REPLACEMENT ADDITION 186 12						
	WASH SALE LOSS DISALLOWED 192 01						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 14 82						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	06/19/13	08/20/13	171 05	171 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 175 66						
	WASH SALE REPLACEMENT ADDITION 128 76						
	WASH SALE LOSS DISALLOWED 133 36						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 21 46						
	WASH SALE LOSS DISALLOWED 22 23						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 14 82						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/19/13	08/20/13	76 02	76 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 07						
	WASH SALE REPLACEMENT ADDITION 57 22						
	WASH SALE LOSS DISALLOWED 59 27						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 14 31						
	WASH SALE LOSS DISALLOWED 14 82						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/19/13	08/20/13	57 02	57 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 55						
	WASH SALE REPLACEMENT ADDITION 33 19						
	WASH SALE LOSS DISALLOWED 34 72						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 12 10						
	WASH SALE LOSS DISALLOWED 12 61						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 12 10						
	WASH SALE LOSS DISALLOWED 12 61						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	06/19/13	08/20/13	66 52	66 52	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 31						
	WASH SALE REPLACEMENT ADDITION 42 34						
	WASH SALE LOSS DISALLOWED 44 13						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 83 WASH SALE REPLACEMENT ADDITION 69 03 WASH SALE LOSS DISALLOWED 71 34 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	85 53	85 53	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 03 WASH SALE REPLACEMENT ADDITION 30 68 WASH SALE LOSS DISALLOWED 31 71 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	38 01	38 01	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 55 WASH SALE REPLACEMENT ADDITION 46 02 WASH SALE LOSS DISALLOWED 47 56 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	57 02	57 02	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 107 34 WASH SALE REPLACEMENT ADDITION 84 37 WASH SALE LOSS DISALLOWED 87 19 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	104 53	104 53	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 55 WASH SALE REPLACEMENT ADDITION 46 01 WASH SALE LOSS DISALLOWED 47 54 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	57 02	57 02	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27 WASH SALE REPLACEMENT ADDITION 23 00 WASH SALE LOSS DISALLOWED 23 77 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	28 51	28 51	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27 WASH SALE REPLACEMENT ADDITION 18 14	☐	06/19/13	08/20/13	28 51	28 51	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 18 91 SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 30 24 WASH SALE LOSS DISALLOWED 31 52 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	47 51	47 51	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 30 14 WASH SALE LOSS DISALLOWED 31 42 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	47 51	47 51	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 12 06 WASH SALE LOSS DISALLOWED 12 57 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 12 06 WASH SALE LOSS DISALLOWED 12 57 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 12 06 WASH SALE LOSS DISALLOWED 12 57 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 7 60 WASH SALE LOSS DISALLOWED 7 85 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	9 50	9 50	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27	☐	06/19/13	08/20/13	28 51	28 51	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 23 24						
	WASH SALE LOSS DISALLOWED 24 00						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	06/19/13	08/20/13	95 03	95 03	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 97 58						
	WASH SALE REPLACEMENT ADDITION 77 45						
	WASH SALE LOSS DISALLOWED 80 01						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	06/19/13	08/20/13	161 55	161 55	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 165 90						
	WASH SALE REPLACEMENT ADDITION 131 67						
	WASH SALE LOSS DISALLOWED 136 02						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	06/19/13	08/20/13	161 55	161 55	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 165 90						
	WASH SALE REPLACEMENT ADDITION 131 67						
	WASH SALE LOSS DISALLOWED 136 02						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/19/13	08/20/13	9 50	9 50	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 7 75						
	WASH SALE LOSS DISALLOWED 8 00						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	06/19/13	08/20/13	85 53	85 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 87 83						
	WASH SALE REPLACEMENT ADDITION 69 71						
	WASH SALE LOSS DISALLOWED 72 01						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	06/19/13	08/20/13	133 04	133 04	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 136 62						
	WASH SALE REPLACEMENT ADDITION 81 29						
	WASH SALE LOSS DISALLOWED 84 87						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	06/19/13	08/20/13	47 51	47 51	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 48 79						
	WASH SALE REPLACEMENT ADDITION 29 03						
	WASH SALE LOSS DISALLOWED 30 31						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	06/19/13	08/20/13	66 52	66 52	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 31						
	WASH SALE REPLACEMENT ADDITION 40 64						
	WASH SALE LOSS DISALLOWED 42 44						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 23 23						
	WASH SALE LOSS DISALLOWED 24 25						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	06/19/13	08/20/13	209 06	209 06	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 214 69						
	WASH SALE REPLACEMENT ADDITION 179 51						
	WASH SALE LOSS DISALLOWED 185 15						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 23 24						
	WASH SALE LOSS DISALLOWED 24 00						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	06/19/13	08/20/13	133 04	133 04	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 136 62						
	WASH SALE REPLACEMENT ADDITION 81 29						
	WASH SALE LOSS DISALLOWED 84 87						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 17 22						
	WASH SALE LOSS DISALLOWED 17 73						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 07 WASH SALE REPLACEMENT ADDITION 68 88 WASH SALE LOSS DISALLOWED 70 93 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	76 02	76 02	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 38 WASH SALE REPLACEMENT ADDITION 115 06 WASH SALE LOSS DISALLOWED 118 90 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	142 54	142 54	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 55 WASH SALE REPLACEMENT ADDITION 46 02 WASH SALE LOSS DISALLOWED 47 56 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	57 02	57 02	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 15 34 WASH SALE LOSS DISALLOWED 15 85 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27 WASH SALE REPLACEMENT ADDITION 23 01 WASH SALE LOSS DISALLOWED 23 78 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	28 51	28 51	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 86 WASH SALE REPLACEMENT ADDITION 99 72 WASH SALE LOSS DISALLOWED 103 04 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	123 54	123 54	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 38 35	☐	06/19/13	08/20/13	47 51	47 51	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 39 63 SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 117 10 WASH SALE REPLACEMENT ADDITION 92 05 WASH SALE LOSS DISALLOWED 95 12 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	114 04	114 04	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 03 WASH SALE REPLACEMENT ADDITION 30 67 WASH SALE LOSS DISALLOWED 31 70 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	38 01	38 01	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 12 10 WASH SALE LOSS DISALLOWED 12 61 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 03 WASH SALE REPLACEMENT ADDITION 24 19 WASH SALE LOSS DISALLOWED 25 22 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	38 01	38 01	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 27 WASH SALE REPLACEMENT ADDITION 18 14 WASH SALE LOSS DISALLOWED 18 91 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	28 51	28 51	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 12 10 WASH SALE LOSS DISALLOWED 12 61 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51	☐	06/19/13	08/20/13	19 01	19 01	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 12 10						
	WASH SALE LOSS DISALLOWED 12 61						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 12 10						
	WASH SALE LOSS DISALLOWED 12 61						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/19/13	08/20/13	9 50	9 50	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 7 67						
	WASH SALE LOSS DISALLOWED 7 93						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 23 01						
	WASH SALE LOSS DISALLOWED 23 78						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/19/13	08/20/13	19 01	19 01	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 51						
	WASH SALE REPLACEMENT ADDITION 15 34						
	WASH SALE LOSS DISALLOWED 15 85						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	06/19/13	08/20/13	85 53	85 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 87 83						
	WASH SALE REPLACEMENT ADDITION 54 43						
	WASH SALE LOSS DISALLOWED 56 74						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 18 14						
	WASH SALE LOSS DISALLOWED 18 91						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/19/13	08/20/13	38 01	38 01	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 39 03						
	WASH SALE REPLACEMENT ADDITION 24 11						
	WASH SALE LOSS DISALLOWED 25 14						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	06/19/13	08/20/13	47 51	47 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 79						
	WASH SALE REPLACEMENT ADDITION 30 24						
	WASH SALE LOSS DISALLOWED 31 52						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 19 62						
	WASH SALE LOSS DISALLOWED 20 39						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	06/19/13	08/20/13	85 53	85 53	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 87 83						
	WASH SALE REPLACEMENT ADDITION 58 86						
	WASH SALE LOSS DISALLOWED 61 16						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/19/13	08/20/13	28 51	28 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 27						
	WASH SALE REPLACEMENT ADDITION 19 62						
	WASH SALE LOSS DISALLOWED 20 39						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/19/13	08/20/13	57 02	57 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 55						
	WASH SALE REPLACEMENT ADDITION 39 24						
	WASH SALE LOSS DISALLOWED 40 77						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	06/19/13	08/20/13	95 03	95 03	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 97 58						
	WASH SALE REPLACEMENT ADDITION 65 40						
	WASH SALE LOSS DISALLOWED 67 96						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 51 WASH SALE REPLACEMENT ADDITION 13 08 WASH SALE LOSS DISALLOWED 13 59 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	19 01	19 01	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 253 73 WASH SALE REPLACEMENT ADDITION 218 86 WASH SALE LOSS DISALLOWED 225 52 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	247 08	247 08	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 79 WASH SALE REPLACEMENT ADDITION 42 09 WASH SALE LOSS DISALLOWED 43 37 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	47 51	47 51	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE LOSS DISALLOWED 8 26 SYMBOL SDS, CUSIP 74347B300	☐	06/19/13	08/20/13	9 50	9 50	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 70 96 WASH SALE REPLACEMENT ADDITION 58 92 WASH SALE LOSS DISALLOWED 63 37 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/20/13	66 52	66 52	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 10 13 WASH SALE REPLACEMENT ADDITION 8 42 WASH SALE LOSS DISALLOWED 9 05 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/20/13	9 50	9 50	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 192 62 WASH SALE REPLACEMENT ADDITION 164 80 WASH SALE LOSS DISALLOWED 176 87 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/20/13	180 56	180 56	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 17 35 WASH SALE LOSS DISALLOWED 18 62 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/20/13	19 01	19 01	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 26 02 WASH SALE LOSS DISALLOWED 27 93 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/20/13	28 51	28 51	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 101 38 WASH SALE REPLACEMENT ADDITION 86 74 WASH SALE LOSS DISALLOWED 91 29 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	96 83	96 83	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 182 48 WASH SALE REPLACEMENT ADDITION 107 22 WASH SALE LOSS DISALLOWED 115 42 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	174 29	174 29	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 152 07 WASH SALE REPLACEMENT ADDITION 87 41 WASH SALE LOSS DISALLOWED 94 24 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	145 24	145 24	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 40 55 WASH SALE REPLACEMENT ADDITION 23 31 WASH SALE LOSS DISALLOWED 25 13 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	38 73	38 73	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 11 65	☐	06/20/13	08/29/13	19 37	19 37	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 12 57 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 11 65 WASH SALE LOSS DISALLOWED 12 57 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	19 37	19 37	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 49 WASH SALE LOSS DISALLOWED 18 85 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 81 10 WASH SALE REPLACEMENT ADDITION 46 63 WASH SALE LOSS DISALLOWED 50 27 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	77 46	77 46	0 00
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 101 38 WASH SALE REPLACEMENT ADDITION 58 29 WASH SALE LOSS DISALLOWED 62 84 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	96 83	96 83	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 48 WASH SALE LOSS DISALLOWED 18 85 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 81 10 WASH SALE REPLACEMENT ADDITION 46 62 WASH SALE LOSS DISALLOWED 50 26 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	77 46	77 46	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41	☐	06/20/13	08/29/13	29 05	29 05	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 17 48						
	WASH SALE LOSS DISALLOWED 18 85						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/20/13	08/29/13	38 73	38 73	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 40 55						
	WASH SALE REPLACEMENT ADDITION 23 31						
	WASH SALE LOSS DISALLOWED 25 13						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	06/20/13	08/29/13	67 78	67 78	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 70 96						
	WASH SALE REPLACEMENT ADDITION 40 79						
	WASH SALE LOSS DISALLOWED 43 98						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	06/20/13	08/29/13	106 51	106 51	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 111 51						
	WASH SALE REPLACEMENT ADDITION 55 20						
	WASH SALE LOSS DISALLOWED 60 21						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 5 02						
	WASH SALE LOSS DISALLOWED 5 47						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/20/13	08/29/13	29 05	29 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 30 41						
	WASH SALE REPLACEMENT ADDITION 15 05						
	WASH SALE LOSS DISALLOWED 16 42						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 6 71						
	WASH SALE LOSS DISALLOWED 7 17						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/20/13	08/29/13	38 73	38 73	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 40 55						
	WASH SALE REPLACEMENT ADDITION 26 45						
	WASH SALE LOSS DISALLOWED 28 28						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/20/13	08/29/13	29 05	29 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 30 41						
	WASH SALE REPLACEMENT ADDITION 19 84						
	WASH SALE LOSS DISALLOWED 21 21						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/20/13	08/29/13	77 46	77 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 10						
	WASH SALE REPLACEMENT ADDITION 41 05						
	WASH SALE LOSS DISALLOWED 44 69						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/20/13	08/29/13	77 46	77 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 10						
	WASH SALE REPLACEMENT ADDITION 41 04						
	WASH SALE LOSS DISALLOWED 44 69						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	06/20/13	08/29/13	213 02	213 02	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 223 03						
	WASH SALE REPLACEMENT ADDITION 112 87						
	WASH SALE LOSS DISALLOWED 122 89						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/20/13	08/29/13	77 46	77 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 10						
	WASH SALE REPLACEMENT ADDITION 41 04						
	WASH SALE LOSS DISALLOWED 44 69						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	06/20/13	08/29/13	48 41	48 41	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 50 69						
	WASH SALE REPLACEMENT ADDITION 25 65						
	WASH SALE LOSS DISALLOWED 27 93						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 101 38 WASH SALE REPLACEMENT ADDITION 66 13 WASH SALE LOSS DISALLOWED 70 69 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	96 83	96 83	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 10 13 WASH SALE REPLACEMENT ADDITION 4 85 WASH SALE LOSS DISALLOWED 5 30 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	9 68	9 68	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 14 54 WASH SALE LOSS DISALLOWED 15 91 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 60 82 WASH SALE REPLACEMENT ADDITION 29 09 WASH SALE LOSS DISALLOWED 31 82 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	58 10	58 10	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 14 54 WASH SALE LOSS DISALLOWED 15 91 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 14 54 WASH SALE LOSS DISALLOWED 15 91 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
6 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 273 73 WASH SALE REPLACEMENT ADDITION 130 89	☐	06/20/13	08/29/13	261 43	261 43	0 00

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Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 143 19 SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 223 03 WASH SALE REPLACEMENT ADDITION 106 65 WASH SALE LOSS DISALLOWED 116 67 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	213 02	213 02	0 00
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 192 62 WASH SALE REPLACEMENT ADDITION 92 11 WASH SALE LOSS DISALLOWED 100 76 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	183 97	183 97	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 111 51 WASH SALE REPLACEMENT ADDITION 53 33 WASH SALE LOSS DISALLOWED 58 34 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	106 51	106 51	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 24 24 WASH SALE LOSS DISALLOWED 26 52 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	48 41	48 41	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 24 24 WASH SALE LOSS DISALLOWED 26 52 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	48 41	48 41	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 263 59 WASH SALE REPLACEMENT ADDITION 126 04 WASH SALE LOSS DISALLOWED 137 89 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	251 75	251 75	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27	☐	06/20/13	08/29/13	19 37	19 37	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 9 70						
	WASH SALE LOSS DISALLOWED 10 61						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/20/13	08/29/13	29 05	29 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 30 41						
	WASH SALE REPLACEMENT ADDITION 14 54						
	WASH SALE LOSS DISALLOWED 15 91						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/20/13	08/29/13	19 37	19 37	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 20 27						
	WASH SALE REPLACEMENT ADDITION 9 70						
	WASH SALE LOSS DISALLOWED 10 61						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	06/20/13	08/29/13	174 29	174 29	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 182 48						
	WASH SALE REPLACEMENT ADDITION 87 26						
	WASH SALE LOSS DISALLOWED 95 46						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 4 85						
	WASH SALE LOSS DISALLOWED 5 30						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	06/20/13	08/29/13	251 75	251 75	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 263 59						
	WASH SALE REPLACEMENT ADDITION 126 04						
	WASH SALE LOSS DISALLOWED 137 89						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/20/13	08/29/13	38 73	38 73	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 40 55						
	WASH SALE REPLACEMENT ADDITION 33 17						
	WASH SALE LOSS DISALLOWED 34 99						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	06/20/13	08/29/13	87 14	87 14	0 00
	PROSHARES ULTRASHORT S&P 500						

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	NEW						
	ORIGINAL COST 91 24						
	WASH SALE REPLACEMENT ADDITION 74 63						
	WASH SALE LOSS DISALLOWED 78 73						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	06/20/13	08/29/13	145 24	145 24	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 152 07						
	WASH SALE REPLACEMENT ADDITION 124 38						
	WASH SALE LOSS DISALLOWED 131 22						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 8 29						
	WASH SALE LOSS DISALLOWED 8 75						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/20/13	08/29/13	58 10	58 10	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 60 82						
	WASH SALE REPLACEMENT ADDITION 29 09						
	WASH SALE LOSS DISALLOWED 31 82						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/20/13	08/29/13	77 46	77 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 10						
	WASH SALE REPLACEMENT ADDITION 43 56						
	WASH SALE LOSS DISALLOWED 47 21						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 5 45						
	WASH SALE LOSS DISALLOWED 5 90						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/20/13	08/29/13	58 10	58 10	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 60 82						
	WASH SALE REPLACEMENT ADDITION 32 67						
	WASH SALE LOSS DISALLOWED 35 41						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 162 21 WASH SALE REPLACEMENT ADDITION 87 13 WASH SALE LOSS DISALLOWED 94 42 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	154 92	154 92	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 10 13 WASH SALE REPLACEMENT ADDITION 5 45 WASH SALE LOSS DISALLOWED 5 90 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	9 68	9 68	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 16 34 WASH SALE LOSS DISALLOWED 17 70 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 10 89 WASH SALE LOSS DISALLOWED 11 80 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	19 37	19 37	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 16 34 WASH SALE LOSS DISALLOWED 17 71 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 70 96 WASH SALE REPLACEMENT ADDITION 38 13 WASH SALE LOSS DISALLOWED 41 32 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	67 78	67 78	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 40 55 WASH SALE REPLACEMENT ADDITION 21 78	☐	06/20/13	08/29/13	38 73	38 73	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 23 61 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 40 55 WASH SALE REPLACEMENT ADDITION 21 78 WASH SALE LOSS DISALLOWED 23 60 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	38 73	38 73	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 40 55 WASH SALE REPLACEMENT ADDITION 18 54 WASH SALE LOSS DISALLOWED 20 37 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	38 73	38 73	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 9 27 WASH SALE LOSS DISALLOWED 10 18 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	19 37	19 37	0 00
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 263 59 WASH SALE REPLACEMENT ADDITION 120 53 WASH SALE LOSS DISALLOWED 132 37 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	251 75	251 75	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 11 83 WASH SALE LOSS DISALLOWED 12 74 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	19 37	19 37	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 75 WASH SALE LOSS DISALLOWED 19 11 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	29 05	29 05	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 40 55	☐	06/20/13	08/29/13	38 73	38 73	0 00

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	WASH SALE REPLACEMENT ADDITION 21 79						
	WASH SALE LOSS DISALLOWED 23 61						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	06/20/13	08/29/13	19 37	19 37	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 20 27						
	WASH SALE REPLACEMENT ADDITION 10 89						
	WASH SALE LOSS DISALLOWED 11 80						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	06/20/13	08/29/13	77 46	77 46	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 81 10						
	WASH SALE REPLACEMENT ADDITION 37 09						
	WASH SALE LOSS DISALLOWED 40 73						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	06/20/13	08/29/13	96 83	96 83	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 101 38						
	WASH SALE REPLACEMENT ADDITION 48 48						
	WASH SALE LOSS DISALLOWED 53 03						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	06/20/13	08/29/13	29 05	29 05	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 30 41						
	WASH SALE REPLACEMENT ADDITION 14 54						
	WASH SALE LOSS DISALLOWED 15 91						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	06/20/13	08/29/13	96 83	96 83	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 101 38						
	WASH SALE REPLACEMENT ADDITION 48 48						
	WASH SALE LOSS DISALLOWED 53 03						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	06/20/13	08/29/13	9 68	9 68	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 10 13						
	WASH SALE REPLACEMENT ADDITION 4 85						
	WASH SALE LOSS DISALLOWED 5 30						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	06/20/13	08/29/13	48 41	48 41	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 28 17 WASH SALE LOSS DISALLOWED 30 44 SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 60 82 WASH SALE REPLACEMENT ADDITION 33 80 WASH SALE LOSS DISALLOWED 36 53 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	08/29/13	58 10	58 10	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 131 79 WASH SALE REPLACEMENT ADDITION 73 23 WASH SALE LOSS DISALLOWED 80 98 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	124 05	124 05	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 28 17 WASH SALE LOSS DISALLOWED 31 15 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	47 71	47 71	0 00
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 476 49 WASH SALE REPLACEMENT ADDITION 354 22 WASH SALE LOSS DISALLOWED 382 22 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	448 49	448 49	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 182 48 WASH SALE REPLACEMENT ADDITION 108 96 WASH SALE LOSS DISALLOWED 119 68 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	171 76	171 76	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 18 16 WASH SALE LOSS DISALLOWED 19 95 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	28 63	28 63	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 61 WASH SALE LOSS DISALLOWED 19 39 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	28 63	28 63	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 29 34 WASH SALE LOSS DISALLOWED 32 32 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	47 71	47 71	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 61 WASH SALE LOSS DISALLOWED 19 39 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	28 63	28 63	0 00
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 60 82 WASH SALE REPLACEMENT ADDITION 35 21 WASH SALE LOSS DISALLOWED 38 79 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	57 25	57 25	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 131 79 WASH SALE REPLACEMENT ADDITION 76 30 WASH SALE LOSS DISALLOWED 84 04 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	124 05	124 05	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 131 79 WASH SALE REPLACEMENT ADDITION 76 30 WASH SALE LOSS DISALLOWED 84 04 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	124 05	124 05	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 20 27 WASH SALE REPLACEMENT ADDITION 11 74	☐	06/20/13	09/04/13	19 08	19 08	0 00

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	WASH SALE LOSS DISALLOWED 12 93 SYMBOL SDS, CUSIP 74347B300						
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 131 79 WASH SALE REPLACEMENT ADDITION 76 30 WASH SALE LOSS DISALLOWED 84 04 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	124 05	124 05	0 00
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 30 41 WASH SALE REPLACEMENT ADDITION 17 61 WASH SALE LOSS DISALLOWED 19 39 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	28 63	28 63	0 00
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 50 69 WASH SALE REPLACEMENT ADDITION 29 34 WASH SALE LOSS DISALLOWED 32 32 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	47 71	47 71	0 00
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 152 07 WASH SALE LOSS DISALLOWED 139 04 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	143 14	143 14	0 00
10 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 415 66 WASH SALE LOSS DISALLOWED 255 39 SYMBOL SDS, CUSIP 74347B300	☐	06/20/13	09/04/13	391 24	391 24	0 00
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 499 02 WASH SALE REPLACEMENT ADDITION 254 82 WASH SALE LOSS DISALLOWED 305 35 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	448 49	448 49	0 00
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 74 32 WASH SALE REPLACEMENT ADDITION 37 95	☐	06/24/13	09/04/13	66 80	66 80	0 00

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	WASH SALE LOSS DISALLOWED 45 48 SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 53 08 WASH SALE REPLACEMENT ADDITION 27 11 WASH SALE LOSS DISALLOWED 32 48 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	47 71	47 71	0 00
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 84 94 WASH SALE REPLACEMENT ADDITION 37 92 WASH SALE LOSS DISALLOWED 46 52 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	76 34	76 34	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 21 23 WASH SALE REPLACEMENT ADDITION 9 48 WASH SALE LOSS DISALLOWED 11 63 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	19 08	19 08	0 00
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 116 79 WASH SALE REPLACEMENT ADDITION 52 13 WASH SALE LOSS DISALLOWED 63 96 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	104 97	104 97	0 00
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 265 43 WASH SALE REPLACEMENT ADDITION 118 49 WASH SALE LOSS DISALLOWED 145 36 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	238 56	238 56	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 95 55 WASH SALE REPLACEMENT ADDITION 42 65 WASH SALE LOSS DISALLOWED 52 33 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/04/13	85 88	85 88	0 00
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 138 02	☐	06/24/13	09/04/13	124 05	124 05	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 61 61						
	WASH SALE LOSS DISALLOWED 75 59						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/24/13	09/04/13	38 17	38 17	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 42 47						
	WASH SALE REPLACEMENT ADDITION 11 57						
	WASH SALE LOSS DISALLOWED 15 87						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	06/24/13	09/04/13	57 25	57 25	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 63 70						
	WASH SALE REPLACEMENT ADDITION 17 35						
	WASH SALE LOSS DISALLOWED 23 80						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	06/24/13	09/04/13	38 17	38 17	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 42 47						
	WASH SALE REPLACEMENT ADDITION 15 91						
	WASH SALE LOSS DISALLOWED 20 21						
	SYMBOL SDS, CUSIP 74347B300						
19 500	PROSHARES TR	☐	06/24/13	09/04/13	744 30	744 30	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 828 16						
	WASH SALE REPLACEMENT ADDITION 310 36						
	WASH SALE LOSS DISALLOWED 394 22						
	SYMBOL SDS, CUSIP 74347B300						
20 500	PROSHARES TR	☐	06/24/13	09/04/13	782 47	782 47	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 870 63						
	WASH SALE REPLACEMENT ADDITION 326 27						
	WASH SALE LOSS DISALLOWED 414 43						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	06/24/13	09/04/13	95 42	95 42	0 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 106 17						
	WASH SALE REPLACEMENT ADDITION 39 79						
	WASH SALE LOSS DISALLOWED 50 54						
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	06/24/13	09/04/13	200 39	200 39	0 00
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 222 96 WASH SALE REPLACEMENT ADDITION 58 61 WASH SALE LOSS DISALLOWED 81 18 SYMBOL SDS, CUSIP 74347B300						
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 828 16 WASH SALE REPLACEMENT ADDITION 217 68 WASH SALE LOSS DISALLOWED 369 55 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	676 29	676 29	0 00
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 148 64 WASH SALE REPLACEMENT ADDITION 39 07 WASH SALE LOSS DISALLOWED 66 33 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	121 39	121 39	0 00
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 191 11 WASH SALE REPLACEMENT ADDITION 50 23 WASH SALE LOSS DISALLOWED 85 28 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	156 07	156 07	0 00
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 42 47 WASH SALE REPLACEMENT ADDITION 15 92 WASH SALE LOSS DISALLOWED 23 70 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	34 68	34 68	0 00
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 10 61 WASH SALE REPLACEMENT ADDITION 5 87 WASH SALE LOSS DISALLOWED 7 82 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	8 67	8 67	0 00
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 21 23 WASH SALE REPLACEMENT ADDITION 11 74 WASH SALE LOSS DISALLOWED 15 63 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	17 34	17 34	0 00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 53 08 WASH SALE REPLACEMENT ADDITION 29 34 WASH SALE LOSS DISALLOWED 39 08 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	43 35	43 35	0 00
3 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 127 41 WASH SALE REPLACEMENT ADDITION 70 43 WASH SALE LOSS DISALLOWED 93 79 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	104 05	104 05	0 00
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 95 55 WASH SALE REPLACEMENT ADDITION 48 79 WASH SALE LOSS DISALLOWED 66 32 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	78 03	78 03	0 00
12 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 541 49 WASH SALE LOSS DISALLOWED 241 63 SYMBOL SDS, CUSIP 74347B300	☐	06/24/13	09/18/13	442 19	442 19	0 00
24 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 885 27 WASH SALE REPLACEMENT ADDITION 484 70 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	07/24/13	11/14/13	766 44	1,369 97	-603 53
20 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 774 28 WASH SALE REPLACEMENT ADDITION 414 43 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	654 67	1,188 72	-534 05
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 760 68 WASH SALE REPLACEMENT ADDITION 387 47 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	622 73	1,148 16	-525 42

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19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 736 51 WASH SALE REPLACEMENT ADDITION 394 22 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	622 73	1,130 73	-508 00
19 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 736 51 WASH SALE REPLACEMENT ADDITION 369 55 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	622 73	1,106 06	-483 33
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 458 40 WASH SALE REPLACEMENT ADDITION 382 22 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	375 24	840 62	-465 39
17 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 654 73 WASH SALE REPLACEMENT ADDITION 358 47 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	07/24/13	11/14/13	566 85	1,013 21	-446 36
11 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 458 40 WASH SALE REPLACEMENT ADDITION 305 35 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	375 24	763 76	-388 52
8 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 321 83 WASH SALE REPLACEMENT ADDITION 278 76 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	263 46	600 59	-337 12
10 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 399 88 WASH SALE REPLACEMENT ADDITION 255 39	☐	08/30/13	11/14/13	327 33	655 28	-327 94

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
12 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 481 56 WASH SALE REPLACEMENT ADDITION 241 63 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	407 17	723 19	-316 02
6 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 253 58 WASH SALE REPLACEMENT ADDITION 225 52 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	207 58	479 11	-271 53
9 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 370 59 WASH SALE REPLACEMENT ADDITION 192 62 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	303 38	563 21	-259 83
6 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 243 81 WASH SALE REPLACEMENT ADDITION 211 18 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	199 59	454 99	-255 40
5 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 224 32 WASH SALE REPLACEMENT ADDITION 192 01 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	183 63	416 34	-232 71
8 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 331 58 WASH SALE REPLACEMENT ADDITION 172 35 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	271 45	503 93	-232 48
8 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 321 83	☐	08/27/13	11/14/13	263 46	488 44	-224 98

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	WASH SALE REPLACEMENT ADDITION	166 61					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	08/30/13	11/14/13	175 64	399 72	-224 08
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	214 57					
	WASH SALE REPLACEMENT ADDITION	185 15					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
7 750	PROSHARES TR	☐	08/27/13	11/14/13	247 50	459 46	-211 97
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	302 32					
	WASH SALE REPLACEMENT ADDITION	157 14					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
4 750	PROSHARES TR	☐	08/30/13	11/14/13	151 69	362 19	-210 49
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	185 31					
	WASH SALE REPLACEMENT ADDITION	176 87					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
6 750	PROSHARES TR	☐	08/30/13	11/14/13	215 56	406 53	-190 97
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	263 33					
	WASH SALE REPLACEMENT ADDITION	143 19					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	08/30/13	11/14/13	207 58	391 47	-183 89
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	253 58					
	WASH SALE REPLACEMENT ADDITION	137 89					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	08/30/13	11/14/13	207 58	391 47	-183 89
	PROSHARES ULTRASHORT S&P 500 NEW						
	ORIGINAL COST	253 58					
	WASH SALE REPLACEMENT ADDITION	137 89					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
6 250	PROSHARES TR	☐	09/06/13	11/14/13	199 59	381 43	-181 83
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 236 06						
	WASH SALE REPLACEMENT ADDITION 145 36						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
6 500	PROSHARES TR	☐	08/30/13	11/14/13	207 58	385 96	-178 38
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 253 58						
	WASH SALE REPLACEMENT ADDITION 132 37						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
5 500	PROSHARES TR	☐	08/27/13	11/14/13	175 64	353 60	-177 95
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 214 55						
	WASH SALE REPLACEMENT ADDITION 139 04						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	08/27/13	11/14/13	167 66	337 52	-169 87
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 204 80						
	WASH SALE REPLACEMENT ADDITION 132 72						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	08/30/13	11/14/13	135 72	301 83	-166 10
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 165 80						
	WASH SALE REPLACEMENT ADDITION 136 02						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
4 250	PROSHARES TR	☐	08/30/13	11/14/13	135 72	301 83	-166 10
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 165 80						
	WASH SALE REPLACEMENT ADDITION 136 02						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	08/30/13	11/14/13	119 78	285 34	-165 59
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 146 29						
	WASH SALE REPLACEMENT ADDITION 139 04						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 175 55 WASH SALE REPLACEMENT ADDITION 133 36 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	143 71	308 92	-165 22
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 214 57 WASH SALE REPLACEMENT ADDITION 122 89 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	175 64	337 46	-161 82
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 29 WASH SALE REPLACEMENT ADDITION 131 22 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	119 76	277 51	-157 76
5 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 214 57 WASH SALE REPLACEMENT ADDITION 116 67 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	175 64	331 25	-155 60
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 28 WASH SALE REPLACEMENT ADDITION 128 92 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	119 76	275 21	-155 45
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 175 55 WASH SALE REPLACEMENT ADDITION 119 68 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	143.71	295 24	-151 54
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 175 55 WASH SALE REPLACEMENT ADDITION 115 42	☐	08/30/13	11/14/13	143 71	290 98	-147 27

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	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 146 29 WASH SALE REPLACEMENT ADDITION 118 90 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	119 76	265 20	-145 44
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 136 53 WASH SALE REPLACEMENT ADDITION 110 58 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	111 77	247 11	-135 34
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 78 WASH SALE REPLACEMENT ADDITION 111 73 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	103 79	238 51	-134 72
4 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 185 31 WASH SALE REPLACEMENT ADDITION 100 78 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	151 69	286 08	-134 38
4 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 156 03 WASH SALE REPLACEMENT ADDITION 101 12 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	127 74	257 16	-129 42
4 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 175 55 WASH SALE REPLACEMENT ADDITION 95 48 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	143 71	271 02	-127 31
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 79	☐	08/30/13	11/14/13	103 79	229 84	-126 05

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	WASH SALE REPLACEMENT ADDITION	103 04					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
4 000	PROSHARES TR	☐	08/30/13	11/14/13	127 74	250 47	-122 73
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	156 05					
	WASH SALE REPLACEMENT ADDITION	94 42					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	08/27/13	11/14/13	119 76	241 09	-121 33
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	146 28					
	WASH SALE REPLACEMENT ADDITION	94 80					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
3 750	PROSHARES TR	☐	08/30/13	11/14/13	119 76	240 54	-120 79
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	146 29					
	WASH SALE REPLACEMENT ADDITION	94 24					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR	☐	08/30/13	11/14/13	95 81	212 16	-116 35
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	117 03					
	WASH SALE REPLACEMENT ADDITION	95 12					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/27/13	11/14/13	87 82	201 82	-114 00
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	107 27					
	WASH SALE REPLACEMENT ADDITION	94 54					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/27/13	11/14/13	87 82	200 20	-112 37
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	107 27					
	WASH SALE REPLACEMENT ADDITION	92 92					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
5 250	PROSHARES TR	☐	09/06/13	11/14/13	167 66	279 48	-111 82
	PROSHARES ULTRASHORT S&P 500						

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 198 29						
	WASH SALE REPLACEMENT ADDITION 81 18						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
4 500	PROSHARES TR	☐	09/06/13	11/14/13	143 71	255 25	-111 54
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 169 96						
	WASH SALE REPLACEMENT ADDITION 85 28						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
3 000	PROSHARES TR	☐	09/06/13	11/14/13	95 81	207 10	-111 30
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 113 30						
	WASH SALE REPLACEMENT ADDITION 93 79						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	08/30/13	11/14/13	111 77	221 42	-109 65
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 136 54						
	WASH SALE REPLACEMENT ADDITION 84 87						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
3 500	PROSHARES TR	☐	08/30/13	11/14/13	111 77	221 42	-109 65
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 136 54						
	WASH SALE REPLACEMENT ADDITION 84 87						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	08/30/13	11/14/13	79 84	188 83	-108 99
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 97 53						
	WASH SALE REPLACEMENT ADDITION 91 29						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
4 000	PROSHARES TR	☐	08/27/13	11/14/13	127 74	235 52	-107 78
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 156 03						
	WASH SALE REPLACEMENT ADDITION 79 48						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 79 WASH SALE REPLACEMENT ADDITION 84 04 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	103 79	210 83	-107 05
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 79 WASH SALE REPLACEMENT ADDITION 84 04 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	103 79	210 83	-107 05
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 79 WASH SALE REPLACEMENT ADDITION 84 04 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	103 79	210 83	-107 04
2 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 107 28 WASH SALE REPLACEMENT ADDITION 87 19 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	87 82	194 48	-106 66
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 126 79 WASH SALE REPLACEMENT ADDITION 80 98 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	103 79	207 77	-103 98
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 97 53 WASH SALE REPLACEMENT ADDITION 80 01 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	79 84	177 55	-97 71
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 77 WASH SALE REPLACEMENT ADDITION 78 73	☐	08/30/13	11/14/13	71 85	166 51	-94 66

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
3 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 122 75 WASH SALE REPLACEMENT ADDITION 75 59 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	103 79	198 34	-94 55
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 77 WASH SALE REPLACEMENT ADDITION 77 35 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	71 85	165 12	-93 27
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 97 53 WASH SALE REPLACEMENT ADDITION 70 69 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	79 84	168 22	-88 39
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 77 WASH SALE REPLACEMENT ADDITION 72 01 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	71 85	159 79	-87 94
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 77 WASH SALE REPLACEMENT ADDITION 71 34 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	71 85	159 12	-87 26
3 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 132 19 WASH SALE REPLACEMENT ADDITION 68 33 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	111 77	198 52	-86 75
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 97 53	☐	08/30/13	11/14/13	79 84	165 49	-85 65

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 67 96						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	08/30/13	11/14/13	63 87	148 95	-85 08
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 02						
	WASH SALE REPLACEMENT ADDITION 70 93						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	08/30/13	11/14/13	79 84	160 37	-80 54
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 97 53						
	WASH SALE REPLACEMENT ADDITION 62 84						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/27/13	11/14/13	87 82	168 27	-80 45
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 107 27						
	WASH SALE REPLACEMENT ADDITION 61 00						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/27/13	11/14/13	87 82	168 27	-80 45
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 107 27						
	WASH SALE REPLACEMENT ADDITION 61 00						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/30/13	11/14/13	87 82	167 49	-79 67
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 107 28						
	WASH SALE REPLACEMENT ADDITION 60 21						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	09/06/13	11/14/13	71 85	151 30	-79 45
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 84 98						
	WASH SALE REPLACEMENT ADDITION 66 32						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 750	PROSHARES TR	☐	08/30/13	11/14/13	87 82	165 62	-77 80
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 107 28						
	WASH SALE REPLACEMENT ADDITION 58 34						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	08/30/13	11/14/13	71 85	148 94	-77 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 87 77						
	WASH SALE REPLACEMENT ADDITION 61 16						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	131 64	-75 76
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 63 37						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	08/30/13	11/14/13	63 87	137 90	-74 03
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 02						
	WASH SALE REPLACEMENT ADDITION 59 87						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	08/30/13	11/14/13	63 87	137 30	-73 43
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 02						
	WASH SALE REPLACEMENT ADDITION 59 27						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	08/30/13	11/14/13	71 85	144 52	-72 66
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 87 77						
	WASH SALE REPLACEMENT ADDITION 56 74						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 500	PROSHARES TR	☐	08/30/13	11/14/13	79 84	150 57	-70 73
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 97 53						
	WASH SALE REPLACEMENT ADDITION 53 03						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 97 53 WASH SALE REPLACEMENT ADDITION 53 03 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	79 84	150 57	-70 73
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 87 77 WASH SALE REPLACEMENT ADDITION 49 91 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	71 85	137 68	-65 82
2 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 84 98 WASH SALE REPLACEMENT ADDITION 52 33 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	71 85	137 31	-65 46
2 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 94 42 WASH SALE REPLACEMENT ADDITION 50 54 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	79 84	144 97	-65 13
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 68 27 WASH SALE REPLACEMENT ADDITION 52 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	55 89	120 66	-64 77
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 50 27 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	128 30	-64 43
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 50 26	☐	08/30/13	11/14/13	63 87	128 29	-64 42

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 47 21 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	125 23	-61 36
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 50 68 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	47 90	109 20	-61 29
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 46 52 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	124 54	-60 67
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 44 69 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	122 72	-58 85
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 44 69 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	122 71	-58 84
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 02 WASH SALE REPLACEMENT ADDITION 44 69 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	63 87	122 71	-58 84
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 78 01	☐	08/27/13	11/14/13	63 87	122 38	-58 51

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QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 44 36						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	09/06/13	11/14/13	63 87	122 06	-58 19
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 75 53						
	WASH SALE REPLACEMENT ADDITION 46 52						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	08/30/13	11/14/13	47 90	106 08	-58 18
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 51						
	WASH SALE REPLACEMENT ADDITION 47 56						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	08/30/13	11/14/13	47 90	106 08	-58 18
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 51						
	WASH SALE REPLACEMENT ADDITION 47 56						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	08/30/13	11/14/13	47 90	106 06	-58 16
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 51						
	WASH SALE REPLACEMENT ADDITION 47 54						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	08/30/13	11/14/13	47 90	105 91	-58 01
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 51						
	WASH SALE REPLACEMENT ADDITION 47 39						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	113 75	-57 86
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 45 48						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 250	PROSHARES TR	☐	07/24/13	11/14/13	71 85	128 44	-56 58
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 82 99						
	WASH SALE REPLACEMENT ADDITION 45 44						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	112 40	-56 52
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 44 13						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	112 25	-56 37
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 43 98						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 500	PROSHARES TR	☐	08/30/13	11/14/13	47 90	103 42	-55 52
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 58 51						
	WASH SALE REPLACEMENT ADDITION 44 90						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
2 000	PROSHARES TR	☐	08/30/13	11/14/13	63 87	118 76	-54 89
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 78 02						
	WASH SALE REPLACEMENT ADDITION 40 73						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	110 71	-54 82
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 42 44						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 750	PROSHARES TR	☐	08/30/13	11/14/13	55 89	109 59	-53 70
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 68 27						
	WASH SALE REPLACEMENT ADDITION 41 32						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76 WASH SALE REPLACEMENT ADDITION 43 37 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	39 92	92 14	-52 22
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 40 77 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	99 29	-51 39
1 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 68 26 WASH SALE REPLACEMENT ADDITION 38 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	55 89	107 08	-51 20
2 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 73 77 WASH SALE REPLACEMENT ADDITION 40 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	07/24/13	11/14/13	63 87	114 16	-50 29
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 38 79 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	97 31	-49 41
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76 WASH SALE REPLACEMENT ADDITION 39 63 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	39 92	88 40	-48 48
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 36 53	☐	08/30/13	11/14/13	47 90	95 05	-47 15

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 47 21 WASH SALE REPLACEMENT ADDITION 39 08 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	39 92	86 29	-46 37
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 35 41 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	93 93	-46 02
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 34 72 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	93 24	-45 34
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 31 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	90 34	-42 44
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 58 51 WASH SALE REPLACEMENT ADDITION 31 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	47 90	90 34	-42 44
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 34 99 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	74 00	-42 07
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76	☐	08/30/13	11/14/13	39 92	81 25	-41 33

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	WASH SALE REPLACEMENT ADDITION	32 48					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	81 09	-41 17
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	32 32					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	81 09	-41 17
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	32 32					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	80 29	-40 37
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	31 52					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	80 29	-40 37
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	31 52					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	80 19	-40 27
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	31 42					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	79 91	-39 99
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST	48 76					
	WASH SALE REPLACEMENT ADDITION	31 15					
	WASH SALE LOSS DISALLOWED	0 00					
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	79 21	-39 29
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 48 76						
	WASH SALE REPLACEMENT ADDITION 30 44						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	79 08	-39 16
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 76						
	WASH SALE REPLACEMENT ADDITION 30 31						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	70 72	-38 78
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 31 71						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	70 71	-38 77
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 31 70						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/30/13	11/14/13	39 92	76 70	-36 78
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 76						
	WASH SALE REPLACEMENT ADDITION 27 93						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/27/13	11/14/13	39 92	76 49	-36 57
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 76						
	WASH SALE REPLACEMENT ADDITION 27 73						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 250	PROSHARES TR	☐	08/27/13	11/14/13	39 92	76 49	-36 57
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 48 76						
	WASH SALE REPLACEMENT ADDITION 27 73						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76 WASH SALE REPLACEMENT ADDITION 27 73 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	39 92	76 49	-36 57
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 28 28 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	67 29	-35 36
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76 WASH SALE REPLACEMENT ADDITION 26 52 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	39 92	75 28	-35 36
1 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 48 76 WASH SALE REPLACEMENT ADDITION 26 52 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	39 92	75 28	-35 36
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 27 93 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	57 19	-33 24
1 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 56 65 WASH SALE REPLACEMENT ADDITION 23 80 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	47 90	80 46	-32 55
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 00 WASH SALE REPLACEMENT ADDITION 25 28	☐	08/27/13	11/14/13	31 94	64 29	-32 36

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	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 00 WASH SALE REPLACEMENT ADDITION 25 28 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	31 94	64 29	-32 36
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 00 WASH SALE REPLACEMENT ADDITION 25 28 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	31 94	64 29	-32 36
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 00 WASH SALE REPLACEMENT ADDITION 25 28 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	31 94	64 29	-32 36
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 25 22 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	64 23	-32 30
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 25 14 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	64 15	-32 22
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 25 13 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	64 14	-32 21
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01	☐	08/30/13	11/14/13	31 94	64 14	-32 21

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	WASH SALE REPLACEMENT ADDITION 25 13						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	63 26	-31 33
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 24 25						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/27/13	11/14/13	23 95	55 04	-31 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 25 78						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	62 62	-30 69
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 23 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	62 62	-30 68
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 23 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/30/13	11/14/13	31 94	62 62	-30 68
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 01						
	WASH SALE REPLACEMENT ADDITION 23 60						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	09/06/13	11/14/13	31 94	61 47	-29 54
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 37 76						
	WASH SALE REPLACEMENT ADDITION 23 70						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	53 26	-29 31
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 24 00						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	53 26	-29 31
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 24 00						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	08/27/13	11/14/13	31 94	61 19	-29 25
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 39 00						
	WASH SALE REPLACEMENT ADDITION 22 18						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	53 04	-29 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 23 78						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	53 04	-29 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 23 78						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	53 03	-29 08
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 23 77						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	51 49	-27 54
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 22 23						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 39 01 WASH SALE REPLACEMENT ADDITION 20 37 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	31 94	59 38	-27 44
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 21 21 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	50 47	-26 52
1 000	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 37 76 WASH SALE REPLACEMENT ADDITION 20 21 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	31 94	57 98	-26 05
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 20 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	49 65	-25 70
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 20 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	49 65	-25 70
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 19 95 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	49 21	-25 26
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 19 39	☐	08/30/13	11/14/13	23 95	48 65	-24 70

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	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 19 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 65	-24 70
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 19 39 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 65	-24 70
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 19 11 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 37	-24 42
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 18 91 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 17	-24 22
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 18 91 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 17	-24 22
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 18 91 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	48 17	-24 22
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25	☐	08/30/13	11/14/13	23 95	48 11	-24 16

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	WASH SALE REPLACEMENT ADDITION 18 85						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	48 11	-24 16
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 18 85						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	48 11	-24 16
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 18 85						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	46 97	-23 02
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 17 71						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	46 96	-23 01
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 17 70						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	46 70	-22 75
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 17 44						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	38 12	-22 16
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 18 62						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	45 68	-21 73
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 16 42						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
1 000	PROSHARES TR	☐	09/06/13	11/14/13	31 94	53 64	-21 70
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 37 76						
	WASH SALE REPLACEMENT ADDITION 15 87						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	37 24	-21 27
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 17 73						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	45 17	-21 22
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 15 91						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	45 17	-21 22
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 15 91						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	45 17	-21 22
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 15 91						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 750	PROSHARES TR	☐	08/30/13	11/14/13	23 95	45 17	-21 22
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 29 25						
	WASH SALE REPLACEMENT ADDITION 15 91						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 15 91 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	45 17	-21 22
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 16 89 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	15 97	36 40	-20 43
0 750	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 29 25 WASH SALE REPLACEMENT ADDITION 14 20 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	23 95	43 46	-19 51
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 15 85 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	35 36	-19 39
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 15 85 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	35 35	-19 39
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 18 88 WASH SALE REPLACEMENT ADDITION 15 63 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	15 97	34 52	-18 55
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 14 82	☐	08/30/13	11/14/13	15 97	34 32	-18 36

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 14 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	34 32	-18 36
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 14 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	34 32	-18 36
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 13 59 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	33 10	-17 13
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 93 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	32 44	-16 47
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 74 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	32 25	-16 28
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 61 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	32 12	-16 15
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50	☐	08/30/13	11/14/13	15 97	32 12	-16 15

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 12 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 12	-16 15
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 12	-16 15
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 12	-16 15
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 12	-16 15
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 61						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 08	-16 11
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 57						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 08	-16 11
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 19 50						
	WASH SALE REPLACEMENT ADDITION 12 57						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR	☐	08/30/13	11/14/13	15 97	32 08	-16 11
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 57 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 57 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	32 07	-16 10
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 57 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	32 07	-16 10
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 12 57 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	31 31	-15 34
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 11 80 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	31 31	-15 34
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 11 80 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	31.14	-15 17
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 11 09 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	15 97	30 60	-14 63

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 11 09 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	15 97	30 60	-14 63
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 11 09 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	15 97	30 60	-14 63
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 10 61 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	30 11	-14 15
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 10 61 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	30 11	-14 15
0 500	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 19 50 WASH SALE REPLACEMENT ADDITION 10 18 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	15 97	29 69	-13 72
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 9 05 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	7 98	18 81	-10 82
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 8 75	☐	08/30/13	11/14/13	7 98	18 50	-10 52

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 8 59 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/27/13	11/14/13	7 98	18 35	-10 36
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 8 28 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	7 98	18 01	-10 03
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 8 00 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	7 98	17 75	-9 77
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 7 93 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	7 98	17 68	-9 70
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75 WASH SALE REPLACEMENT ADDITION 7 85 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	08/30/13	11/14/13	7 98	17 61	-9 62
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 44 WASH SALE REPLACEMENT ADDITION 7 82 WASH SALE LOSS DISALLOWED 0 00 SYMBOL SDS, CUSIP 74347B300	☐	09/06/13	11/14/13	7 98	17 26	-9 27
0 250	PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW ORIGINAL COST 9 75	☐	08/30/13	11/14/13	7 98	17 24	-9 25

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION 7 48						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	16 92	-8 94
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 7 17						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	16 07	-8 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 6 32						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	16 07	-8 09
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 6 32						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 65	-7 67
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 90						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 65	-7 67
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 90						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	15 30	-7 31
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 55						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	15 30	-7 31
	PROSHARES ULTRASHORT S&P 500						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 55						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	15 30	-7 31
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 55						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 23	-7 24
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 47						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 06	-7 07
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 30						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 06	-7 07
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 30						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/30/13	11/14/13	7 98	15 06	-7 07
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 30						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						
0 250	PROSHARES TR	☐	08/27/13	11/14/13	7 98	14 80	-6 82
	PROSHARES ULTRASHORT S&P 500						
	NEW						
	ORIGINAL COST 9 75						
	WASH SALE REPLACEMENT ADDITION 5 05						
	WASH SALE LOSS DISALLOWED 0 00						
	SYMBOL SDS, CUSIP 74347B300						

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
300 000	PROSPECT CAPITAL CORPORATION SYMBOL PSEC, CUSIP 74348T102	☐	08/07/12	11/20/13	3,427 89	3,287 97	139 92
190 000	REGAL ENTERTAINMENT GROUP CLA SYMBOL RGC, CUSIP 758766109	☐	01/12/12	04/01/13	3,167 70	2,238 28	929 42
175 000	TELEFONICA SA SPONSORED ADR SYMBOL TEF, CUSIP 879382208	☐	01/12/12	03/18/13	2,532 29	3,023 74	-491 45
75 000	WASTE MANAGEMENT INC DEL SYMBOL WM, CUSIP 94106L109	☐	01/12/12	01/24/13	2,658 65	2,534 14	124 51
307 000	WHITESTONE REIT COMMON SHARE SYMBOL WSR, CUSIP 966084204	☐	01/12/12	01/17/13	4,332 19	3,625 55	706 64
Long-Term Subtotal					105,494 49		-13,351.68
Total Gains and Losses					615,339.67		-3,532.01
Short-Term							9,819.67
Long-Term							-13,351.68
Term Unknown							0 00

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1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

BOX 2a - Total capital gain distributions

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
19248X307	COHEN & STEERS PFD SECS & INCOME FD INC CL I				
12/12/13	C M	\$900.61			
TOTAL					\$900.61
543487284	LOOMIS SAYLES FDS II STRATEGIC INCOME FD CLA				
12/17/13	C M	\$290.71			
TOTAL					\$290.71

*REVISED AMOUNTS ARE HIGHLIGHTED FOR YOUR REFERENCE

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RBC Capital Markets, LLC - Dividends

BOX 2a - Total capital gain distributions (con't)

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
543487284	LOOMIS SAYLES FDS II STRATEGIC INCOME FD CLA				
12/17/13	M X	\$3.48			
					TOTAL
					\$3.48
72201R783	PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND INDEX EXCHANGE TRADED FUND				
12/17/13	C M	\$87.72			
					TOTAL
					\$87.72
95766A101	WESTERN ASSET EMERGING MKTS DEBT FD INC				
01/25/13	C M	\$75.43	03/22/13	C M	\$75.43
02/22/13	C M	\$75.43			
					TOTAL
					\$226.29
					Box 2a Total Amount \$1,508.81

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1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

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BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION					
DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
035710409 04/29/13	ANNALY CAPITAL MANAGEMENT INC C M	\$284.20	07/29/13	C M	\$252.62
			TOTAL		\$536.82
42217K106 05/20/13	HEALTH CARE REIT INC C M	\$16.79			
			TOTAL		\$16.79
42217K106 05/20/13	HEALTH CARE REIT INC M X	\$39.31			
			TOTAL		\$39.31
49446R109 01/15/13	KIMCO REALTY CORPORATION C M	\$161.00	07/15/13	C M	\$161.00
04/15/13	C M	\$161.00	10/15/13	C M	\$161.00
			TOTAL		\$644.00
49446R109 01/15/13	KIMCO REALTY CORPORATION M X	\$66.83	07/15/13	M X	\$66.83
04/15/13	M X	\$66.83	10/15/13	M X	\$66.83
			TOTAL		\$267.32
337417106 02/15/13	NATIONAL RETAIL PROPERTIES INC M X	\$0.67	08/15/13	M X	\$0.69
05/15/13	M X	\$0.67			
			TOTAL		\$2.03
16538E747 2/17/13	NORTHERN LTS FD TR III GOOD HRBR U S TCTCL FD CL I C M	\$483.06			
			TOTAL		\$483.06

Box 2a Total Amount \$1,989.33

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REVISED AMOUNTS ARE HIGHLIGHTED FOR YOUR REFERENCE

THE RICE FAMILY FOUNDATION
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

PAGE
8 of 187
RECIPIENT'S ACCOUNT NUMBER
19740

CORRECTED COPY: March 31, 2014

1099-DIV (con't)

RBC Capital Markets, LLC - Dividends

BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION					
DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
037347101	ANWORTH MORTGAGE ASSET CORP				
07/29/13	C M	\$3.28	10/29/13	C M	\$13.53
			TOTAL		\$16.81
26817Q506	DYNEX CAP INC COM				
04/30/13	C M	\$8.76	10/31/13	C M	\$7.56
07/31/13	C M	\$8.76	01/31/14	# C M	\$7.56
			TOTAL		\$32.64
58503F106	MEDLEY CAPITAL CORPORATION				
09/13/13	C M	\$4.09			
			TOTAL		\$4.09
966084204	WHITESTONE REIT COMMON SHARE				
01/08/13	C M	\$0.09	07/09/13	C M	\$0.04
02/08/13	C M	\$0.04	08/09/13	C M	\$0.04
03/08/13	C M	\$0.04	09/11/13	C M	\$0.04
04/09/13	C M	\$0.04	10/09/13	C M	\$0.06
05/09/13	C M	\$0.04	11/08/13	C M	\$0.09
06/10/13	C M	\$0.04	12/11/13	C M	\$0.09
			TOTAL		\$0.65
			Box 2a Total Amount		\$54.19

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Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

File a separate application for each return.

Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.		Employer identification number (EIN) or
	Rice Family Foundation		33-0592384
	Number, street, and room or suite number. If a P.O. box, see instructions.		Social security number (SSN)
	4471 Jutland Drive		
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	San Diego		CA 92117

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

The books are in the care of Lisa Wilson

Telephone No. (858) 273-3165 Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 2014, to file the exempt organization return for the organization named above

The extension is for the organization's return for

calendar year 2013 or

tax year beginning, 20, and ending, 20

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	14,549.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	5,315.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	9,234.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

FF20501 12/31/13

Form 8868 (Rev 1-2014)

Mailed
5/13/14