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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation  
► Do not enter Social Security numbers on this form as it may be made public.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

THE SIGAL FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

2909 44TH STREET NW

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20016-3548

G Check all that apply

 Initial return Final return Address change Initial return of a former public charity Amended return Name changeH Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at  
end of year (from Part II, col (c), line  
16) ► \$ 1,253,485.J Accounting method  Cash  Accrual Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

A Employer identification number

32-6094347

B Telephone number (see instructions)

(202) 944-6600

C If exemption application is  
pending, check here ► D 1 Foreign organizations, check here ► 2 Foreign organizations meeting the  
85% test, check here and attach  
computation ► E If private foundation status was terminated  
under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ► Part I Analysis of Revenue and Expenses (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	24,564	24,564		ATCH 1
7 Capital gain net income (from Part IV, line 2)	21,801			
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	5,344	5,344		ATCH 2
12 Total. Add lines 1 through 11	51,709	51,709		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) *	9,899	9,899		
17 Interest				
18 Taxes (attach schedule) (see instructions)	234			ATCH 4
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses				
Add lines 13 through 23	10,133	9,899		
25 Contributions, gifts, grants paid	59,500			59,500
26 Total expenses and disbursements. Add lines 24 and 25	69,633	9,899		59,500
27 Subtract line 26 from line 12	-17,924			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		41,810		
c Adjusted net income (if negative, enter -0-)				

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing . . . . .		18,316.	20,639.	20,639.
	2 Savings and temporary cash investments . . . . .				
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10 a Investments - U S and state government obligations (attach schedule) . . . . .				
	b Investments - corporate stock (attach schedule) . . . . .				
	c Investments - corporate bonds (attach schedule) . . . . .				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	12 Investments - mortgage loans . . . . .				
	13 Investments - other (attach schedule) . . . . .	ATCH 5	1,035,975.	1,015,728.	1,232,846.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	15 Other assets (describe ►) . . . . .				
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		1,054,291.	1,036,367.	1,253,485.
Liabilities	17 Accounts payable and accrued expenses . . . . .				
	18 Grants payable . . . . .				
	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
	22 Other liabilities (describe ►) . . . . .				
	23 Total liabilities (add lines 17 through 22) . . . . .		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted . . . . .				
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ► <input checked="" type="checkbox"/>				
	check here and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds . . . . .				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund . . . . .				
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .		1,054,291.	1,036,367.	
	30 Total net assets or fund balances (see instructions) . . . . .		1,054,291.	1,036,367.	
	31 Total liabilities and net assets/fund balances (see instructions) . . . . .		1,054,291.	1,036,367.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,054,291.
2 Enter amount from Part I, line 27a . . . . .	2	-17,924.
3 Other increases not included in line 2 (itemize) ►	3	
4 Add lines 1, 2, and 3 . . . . .	4	1,036,367.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	1,036,367.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>(e) Gross sales price</b>	<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69</b>			<b>(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))</b>		
<b>(i) F M V as of 12/31/69</b>	<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (i) over col (j), if any</b>			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>	<b>{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</b>		<b>2</b>	<b>21,801.</b>	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>	<b>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</b>		<b>3</b>	<b>0</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	27,643.	1,076,149.	0.025687
2011		567,868.	
2010			
2009			
2008			
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.025687
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.008562
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>			<b>4</b> 1,192,526.
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 10,210.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 418.
<b>7 Add lines 5 and 6</b>			<b>7</b> 10,628.
<b>8 Enter qualifying distributions from Part XII, line 4</b>			<b>8</b> 59,500.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

<b>Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)</b>		
1 a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	<div style="display: flex; align-items: center; justify-content: space-between;"> <div style="flex: 1;"> <b>1</b>  <b>2</b>  <b>3</b>  <b>4</b>  <b>5</b>  <b>6</b>  <b>7</b>  <b>8</b>  <b>9</b>  <b>10</b>  <b>11</b> </div> <div style="flex: 1; text-align: right;"> <b>418.</b>  <b>0</b>  <b>418.</b>  <b>0</b>  <b>418.</b>  <b>0</b>  <b>418.</b>  <b>0</b>  <b>418.</b>  <b>0</b>  <b>Refunded</b> </div> </div>	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . .		
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		
3 Add lines 1 and 2 . . .		
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . .		
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . 6a		
b Exempt foreign organizations - tax withheld at source . . . 6b		
c Tax paid with application for extension of time to file (Form 8868) . . . 6c		
d Backup withholding erroneously withheld . . . 6d		
7 Total credits and payments Add lines 6a through 6d . . . 7	0	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . 8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . ► 9	418.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ► 10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded ► 11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .	1a	X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	X
1c Did the foundation file Form 1120-POL for this year? . . .	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . <i>If "Yes," attach a detailed description of the activities</i>	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . .	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . .	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . <i>If "Yes," attach the statement required by General Instruction T</i>	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► DC, . . .		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . . .	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . .	9	X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . .	10	X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X
Website address ► N/A			
14	The books are in care of ► GERALD R. SIGAL Located at ► 1800 M STREET, NW STE 1050 WASHINGTON, DC	Telephone no ► 2029446600 ZIP+4 ► 20036	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	► 15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here ►			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c X	
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ►			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a X	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .	<input type="checkbox"/>	5b	
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ►	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	X
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<input type="checkbox"/>		
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	X
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .	<input type="checkbox"/>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

## 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0
-----				
-----				
-----				
-----				

## 2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000 . . . . . ►

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
-----		
-----		
-----		
-----		
-----		
Total number of others receiving over \$50,000 for professional services . . . . . ►		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	NONE	
2	-----	
3	-----	
4	-----	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2	-----	
All other program-related investments. See instructions		
3	NONE	
Total. Add lines 1 through 3 . . . . . ►		

**Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )**

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1a	1,200,190.
b Average of monthly cash balances	1b	10,496.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	1,210,686.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	1,210,686.
4 Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	18,160.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,192,526.
6 Minimum investment return. Enter 5% of line 5	6	59,626.

**Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part )**

1 Minimum investment return from Part X, line 6	1	59,626.
2a Tax on investment income for 2013 from Part VI, line 5	2a	418.
b Income tax for 2013 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	418.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	59,208.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	59,208.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	59,208.

**Part XII Qualifying Distributions (see instructions)**

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	59,500.
b Program-related investments - total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	59,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	418.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	59,082.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				59,208.
2 Undistributed income, if any, as of the end of 2013			53,450.	
a Enter amount for 2012 only . . . . .		244.		
b Total for prior years 20 11 ,20 10 ,20 09 . . . . .				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .				
b From 2009 . . . . .				
c From 2010 . . . . .				
d From 2011 . . . . .				
e From 2012 . . . . .				
f Total of lines 3a through e . . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 59,500.			53,450.	
a Applied to 2012, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				6,050.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .				
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		244.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		244.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				53,158.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2009 . . . . .				
b Excess from 2010 . . . . .				
c Excess from 2011 . . . . .				
d Excess from 2012 . . . . .				
e Excess from 2013 . . . . .				

**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## THE SIGAL FAMILY FOUNDATION

32-6094347

Page 11

Form 990-PF (2013)

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
ATCH 7				
<b>Total</b> .....			► 3a	59,500.
<i>b Approved for future payment</i>				
<b>Total</b> .....			► 3b	

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Form 990-PF (2013)

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments . . . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities . . . . .					
5 Net rental income or (loss) from real estate				14	24,564.
a Debt-financed property . . . . .					
b Not debt-financed property . . . . .					
6 Net rental income or (loss) from personal property . . . . .					
7 Other investment income . . . . .				18	15,060.
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . . . .					
10 Gross profit or (loss) from sales of inventory . . . . .					
11 Other revenue a					
b ATCH 8					12,085.
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e) . . . . .				51,709.	
13 Total. Add line 12, columns (b), (d), and (e) . . . . .				13	51,709.

(See worksheet in line 13 instructions to verify calculations )

## **Part XVI/B Relationship of Activities to the**

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



THE SIGAL FAMILY FOUNDATION 32-609434  
**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

32-6094347

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME						P or D	Date acquired	Date sold
Kind of Property		Description						
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis			
		TOTAL CAPITAL GAIN DISTRIBUTIONS					6,740.	
55,029.		WF #1001 - LT NONCOVERED PROPERTY TYPE: SECURITIES 39,968.				P	VAR	VAR
TOTAL GAIN (LOSS) .....							15,061.	
							<u>21,801.</u>	

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS AND INTEREST	24,564.	24,564.
TOTAL	<u>24,564.</u>	<u>24,564.</u>

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PARTNERSHIP INCOME	-154.	-154.
OTHER INCOME	5,756.	5,756.
FOREIGN TAX PAID	-258.	-258.
 TOTALS	 <u>5,344.</u>	 <u>5,344.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS MANAGEMENT FEE WF AGENCY FEES ALVAREZ & MARSAL TAXAND	6,707. 692. 2,500.	6,707. 692. 2,500.
TOTALS	<u>9,899.</u>	<u>9,899.</u>

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ATTACHMENT 4

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FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES <u>PER BOOKS</u>
US TAXES	234.
TOTALS	<u>234.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER EQUITY INVESTMENTS	1,035,975.	1,015,728.	1,232,846.
TOTALS	<u>1,035,975.</u>	<u>1,015,728.</u>	<u>1,232,846.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS \_\_\_\_\_  
TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION \_\_\_\_\_

GERALD SIGAL  
2909 44TH STREET NW  
WASHINGTON, DC 20016-3548

ELLEN SIGAL  
2909 44TH STREET NW  
WASHINGTON, DC 20016-3548

4856CT 590X

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FORM 9000E - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

## FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TREATMENT ACTION GROUP 261 FIFTH AVENUE, SUITE 2110 NEW YORK, NY 10016-7701			HIV RESEARCH	10,000
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVENUE AT 75TH STREET NEW YORK, NY 10021			ART MUSEUM	5,000
WASHINGTON ANIMAL RESCUE LEAGUE 71 OGLETHORPE ST NW WASHINGTON, DC 20011			ANIMAL SHELTER	5,000
PARSONS THE NEW SCHOOL FOR DESIGN 66 WEST 12TH STREET NEW YORK, NY 10011			ART DESIGN UNIVERSITY	5,000
CONGREGATION BEIT SIMCHAT TORAH SYNAGOGUE 57 BETHUNE STREET NEW YORK, NY 10014			GENERAL CONGREGATION DONATION	7,000
KIDS V CANCER 3401 NEWARK STREET NW WASHINGTON, DC 20016			PEDIATRIC CANCER RESEARCH	5,000

ATTACHMENT 7

FORM 9005C PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D.)

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

## RECIPIENT NAME AND ADDRESS

AND

## FOUNDATION STATUS OF RECIPIENT

AMOUNT

YACHAD

1666 CONNECTICUT AVENUE NW  
SUITE 500

WASHINGTON, DC 20009

AMERICAN LITTORAL SOCIETY  
600 PENNSYLVANIA AVENUE SE  
#210

WASHINGTON, DC 20003

THE PUPPET COMPANY  
7300 MACARTHUR BLVD  
GLEN ECHO, MD 20812ADVENTURE THEATER  
7300 MACARTHUR BLVD  
GLEN ECHO, MD 20812FAMILY EQUALITY COUNCIL  
1725 K STREET NW  
#212

WASHINGTON, DC 20006

GLEN ECHO PARK PARTNERSHIP FOR ARTS AND CULTURE  
7300 MACARTHUR BLVD  
GLEN ECHO, MD 20812

SUPPORTING MARYLAND ARTS

1,000

FAMILY THEATRE PRODUCTIONS  
1,000

1,000

MUSICAL THEATER PRODUCTIONS  
1,000

5,000

SUPPORTING LGBT PARENTS AND FAMILIES  
1,000

1,000

FORM 990EZ, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

## FOUNDATION STATUS OF RECIPIENT

## RECIPIENT NAME AND ADDRESS

DC STUDENTS CONSTRUCTION TRADES FOUNDATION  
5151 WISCONSIN AVENUE NWSUITE 307  
WASHINGTON, DC 20016

## SHARE OUR STRENGTH

1030 15TH STREET NW

SUITE 1100 WEST

WASHINGTON, DC 20005

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

## FOUNDATION STATUS OF RECIPIENT

## PURPOSE OF GRANT OR CONTRIBUTION

## PURPOSE OF GRANT OR CONTRIBUTION

AMOUNTSUPPORTING YOUTH INTERESTED IN CONSTRUCTION  
RELATED FIELDS

FIGHTING CHILD HUNGER

5,000

## TOTAL CONTRIBUTIONS PAID

AMOUNT59,500

ATTACHMENT 8

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
FOREIGN TAX PAID				-258.	
PARTNERSHIP INCOME				-154.	
CAPITAL GAIN DISTRIBUTIONS				6,740.	
OTHER INCOME				5,757.	
TOTALS				<u>12,085.</u>	

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

OMB No 1545-0092

► Attach to Form 1041, Form 5227, or Form 990-T.  
► Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10  
► Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

**2013**

Name of estate or trust

THE SIGAL FAMILY FOUNDATION

Employer identification number

32-6094347

Note: Form 5227 filers need to complete only Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

(d)  
Proceeds  
(sales price)

(e)  
Cost  
(or other basis)

(g)  
Adjustments  
to gain or loss from  
Form(s) 8949, Part I,  
line 2, column (g)

(h) Gain or (loss)  
Subtract column (e)  
from column (d) and  
combine the result with  
column (g)

1a	Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b			
1b	Totals for all transactions reported on Form(s) 8949 with Box A checked . . . . .			
2	Totals for all transactions reported on Form(s) 8949 with Box B checked . . . . .			
3	Totals for all transactions reported on Form(s) 8949 with Box C checked . . . . .			
4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .			4
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .			5
6	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .			6 ( )
7	Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ►			7

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

(d)  
Proceeds  
(sales price)

(e)  
Cost  
(or other basis)

(g)  
Adjustments  
to gain or loss from  
Form(s) 8949, Part II,  
line 2, column (g)

(h) Gain or (loss)  
Subtract column (e)  
from column (d) and  
combine the result with  
column (g)

8a	Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b			
8b	Totals for all transactions reported on Form(s) 8949 with Box D checked . . . . .	55,029.	39,968.	15,061.
9	Totals for all transactions reported on Form(s) 8949 with Box E checked . . . . .			
10	Totals for all transactions reported on Form(s) 8949 with Box F checked . . . . .			
11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .			11
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .			12
13	Capital gain distributions. . . . .			13 6,740.
14	Gain from Form 4797, Part I. . . . .			14
15	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .			15 ( )
16	Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ►			16 21,801.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

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<b>Part III</b> <b>Summary of Parts I and II</b> <b>Caution: Read the instructions before completing this part</b>		<b>(1) Beneficiaries' (see instr.)</b>	<b>(2) Estate's or trust's</b>	<b>(3) Total</b>
17 Net short-term gain or (loss) . . . . .	17			
18 Net long-term gain or (loss):				
a Total for year . . . . .	18a			21,801.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . . .	18b			
c 28% rate gain . . . . .	18c			
19 Total net gain or (loss). Combine lines 17 and 18a. ►	19			21,801.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

#### **Part IV Capital Loss Limitation**

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 19, column (3) or b \$3,000 . . . . .	20	( )
---	----	-----

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

#### **Part V Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21			
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero. . . . .	22			
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . .	23			
24 Add lines 22 and 23 . . . . .	24			
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ►	25			
26 Subtract line 25 from line 24 If zero or less, enter -0- . . . . .	26			
27 Subtract line 26 from line 21 If zero or less, enter -0- . . . . .	27			
28 Enter the smaller of the amount on line 21 or \$2,450 . . . . .	28			
29 Enter the smaller of the amount on line 27 or line 28 . . . . .	29			
30 Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ►	30			
31 Enter the smaller of line 21 or line 26 . . . . .	31			
32 Subtract line 30 from line 26 . . . . .	32			
33 Enter the smaller of line 21 or \$11,950 . . . . .	33			
34 Add lines 27 and 30 . . . . .	34			
35 Subtract line 34 from line 33 If zero or less, enter -0- . . . . .	35			
36 Enter the smaller of line 32 or line 35 . . . . .	36			
37 Multiply line 36 by 15%. . . . .			37	
38 Enter the amount from line 31 . . . . .	38			
39 Add lines 30 and 36 . . . . .	39			
40 Subtract line 39 from line 38 If zero or less, enter -0- . . . . .	40			
41 Multiply line 40 by 20% . . . . .			41	
42 Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	42			
43 Add lines 37, 41, and 42 . . . . .	43			
44 Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	44			
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .			45	

Schedule D (Form 1041) 2013

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

**Social security number or taxpayer identification number**

THE SIGAL FAMILY FOUNDATION

32-6094347

*Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part II** Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You **must** check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)  
 (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS  
 (F) Long-term transactions not reported to you on Form 1099-B

(subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

55,029 39,968

15,061.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

2013 ENHANCED 1099

ADVISORY

Page 7 of 17

33.369

As of Date: 2/07/14

**Payer:** FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor :**  
**BERT BURSON**  
**7901 WISCONSIN AVE, 2ND FL**  
**BETHESDA, MD 20814**  
**(800) 503-6232**

Account Number: 7970-1001  
Your Federal Identification Number: 32-6094347

## Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.**

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not recorded to the IRS, but may be helpful in completing Form 8949 or Schedule D of your tax return.

**LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013      OMB NO. 1545-0715**

8-Description / CUSIP		1a-Date of Sale or Exchange		1b-Quantity Sold		1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	4-Gain or Loss Amount	Supplemental Information not reported to the IRS Additional Information
EATON VANCE ATLANTA / 277902656	11/26/13	1,221,6790	10/20/10	27,500.00	15,759.66	11,740.34	SALE			
CAPITAL SMALL CAP CL-A										
JPMORGAN CHASE & CO ETN / 46625H355	11/26/13	303.0000	12/29/10	13,778.75	10,953.23	2,825.52	SALE			
ALERIAN MLP INDEX ETN BASED ON WAP										
PRINCIPAL INVESTMENT FUNDS / 74254T252	11/26/13	1,336,2490	12/29/10	13,750.00	13,255.60	494.40	SALE			
PREFERRED SECURITIES CL A										
<b>TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES</b>					<b>55,028.75</b>	<b>39,968.49</b>	<b>15,060.26</b>			

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Box 2a Sales price less commissions and option premiums  
Box 2b For noncovered securities, the following information is not reported to the IRS. COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT

**THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES**

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

## 2013 ENHANCED 1099

53,370

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As of Date: 2/07/14

**Your Financial Advisor :**  
BERT BURSON  
7901 WISCONSIN AVE, 2ND FL  
BETHESDA, MD 20814  
(800) 563-6232

THE SIGNAL FAMILY FOUNDATION  
GERALD R SIGNAL TTEE  
ELLEN V SIGNAL TTEE ET AL  
UADTO1162009  
2909 44TH STREET NW  
WASHINGTON DC 20016-3548

Account Number: 7970-1001  
Your Federal Identification Number: 32-6094347

### Details of Reportable Tax Information

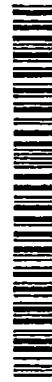
While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the summary page of this package. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

#### 1099-DIV Dividends and Distributions

Description	Notes	Payment Date	# of Payments	Activity	Amount	IRS Box	Country	CUSIP
ISHARES MSCI EAFE ET		12/30/2013	1	DIVIDEND	44.76	1a		464287465
ISHARES MSCI EAFE ET		12/30/2013	1	QUALIFIED DIVIDEND	835.81	1a, 1b		464287465
ISHS RUSSL 1000 GRW ET		12/30/2013	1	QUALIFIED DIVIDEND	659.56	1a, 1b		464287614
ISHS RUSSL 1000 VAL ET		12/30/2013	1	QUALIFIED DIVIDEND	1,473.34	1a, 1b		464287598
OPPENHMR DEV MKTS CL A		VARIOUS	2	QUALIFIED DIVIDEND	61.09	1a, 1b		683974109
PIMCO MGMT SER T/R FD-A	VARIOUS	2		SHORT TERM CAP GAIN	291.47	1a		693390445
PIMCO MGMT SER T/R FD-A		12/02/2013	1	DIVIDEND	163.63	1a		693390445
PIMCO MGMT SER T/R FD-A		12/02/2013	1	QUALIFIED DIVIDEND	0.56	1a, 1b		693390445
PIMCO MGMT SER T/R FD-A		01/02/2014	1	DIVIDEND	276.83	1a		693390445
PIMCO MGMT SER T/R FD-A		01/02/2014	1	QUALIFIED DIVIDEND	0.94	1a, 1b		693390445
PRIN PREFERRED SEC CL A	VARIOUS	2		DIVIDEND	1,207.31	1a		742547252
PRIN PREFERRED SEC CL A	VARIOUS	2		QUALIFIED DIVIDEND	368.60	1a, 1b		742547252
<b>TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)</b>					<b>5,383.90</b>	<b>1a</b>		
<b>TOTAL QUALIFIED DIVIDENDS</b>					<b>3,399.90</b>	<b>1b</b>		
EATON VNC ATL SML CAP-A		12/13/2013	1	CAPITAL GAINS	883.27	2a		277902656
OPPENHMR DEV MKTS CL A	VARIOUS	2		CAPITAL GAINS	142.54	2a		683974109
PIMCO MGMT SER T/R FD-A	VARIOUS	2		CAPITAL GAINS	1,524.51	2a		693390445
PRIN PREFERRED SEC CL A		12/19/2013	1	CAPITAL GAINS	4,189.73	2a		742547252
<b>TOTAL CAPITAL DISTRIBUTIONS (INCLUDING BOXES 2B, 2C, AND 2D, IF ANY)</b>					<b>6,740.05</b>	<b>2a</b>		

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES



020 / M317

**UBS Financial Services Inc.**  
 1000 Harbor Boulevard  
 Weehawken, NJ 07085-6790  
 Federal ID 13-2638166

**Your Financial Advisor:**  
 WITTENSTEIN ADELMAN GROUP  
 212-713-7800/800-225-2971

**Payer:**

THE SIGAL FAMILY FOUNDATION  
 2909 44TH STREET NW  
 WASHINGTON DC 20016-3548

## 2013 Informational Statement

**Account Number:** JG 49943 4E  
**Tax Identification Number:** 32-6094347

### Dividends and Distributions Details

*Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.*

Security Description	CUSIP	Activity Description	Ex Date	Payment Date	Amount
ISHARES RUSSELL 1000 VALUE ETF	464287598	Qualified Dividend	03/25/13	04/01/13	1,028.16
		Qualified Dividend	07/02/13	07/09/13	1,249.10
		Qualified Dividend	09/24/13	09/30/13	1,113.27
				<b>Sub-Total:</b>	<b>\$ 3,390.53</b>
ISHARES RUSSELL 1000 GROWTH ETF	464287614	Qualified Dividend	03/25/13	04/01/13	468.27
		Qualified Dividend	07/02/13	07/09/13	594.63
		Qualified Dividend	09/24/13	09/30/13	538.25
				<b>Sub-Total:</b>	<b>\$ 1,601.15</b>
ISHARES MSCI EAFE ETF	464287465	Dividend	06/27/13	07/05/13	9.47
		Qualified Dividend	06/27/13	07/05/13	1,933.15
		Foreign Tax Paid	06/27/13	07/05/13	(186.58)
				<b>Sub-Total:</b>	<b>\$ 1,756.04</b>
PIMCO TOTAL RETURN FUND CLASS A	693390445	Dividend	01/31/13	01/31/13	381.12
		Qualified Dividend	01/31/13	01/31/13	1.30
		Dividend	02/28/13	02/28/13	447.23
		Qualified Dividend	02/28/13	02/28/13	1.53
		Dividend	03/28/13	03/28/13	586.56
		Qualified Dividend	03/28/13	03/28/13	2.00
		Dividend	04/30/13	04/30/13	714.81
		Qualified Dividend	04/30/13	04/30/13	2.44
		Dividend	05/31/13	05/31/13	568.78
		Qualified Dividend	05/31/13	05/31/13	1.94
		Dividend	06/28/13	06/28/13	377.50
		Qualified Dividend	06/28/13	06/28/13	1.29
		Dividend	07/31/13	07/31/13	479.14
		Qualified Dividend	07/31/13	07/31/13	1.63
		Dividend	08/30/13	08/30/13	510.92
		Qualified Dividend	08/30/13	08/30/13	1.74
		Dividend	09/30/13	09/30/13	391.06
		Qualified Dividend	09/30/13	09/30/13	1.33
		Dividend	10/31/13	10/31/13	441.75
		Qualified Dividend	10/31/13	10/31/13	1.51
		Dividend	11/29/13	11/29/13	254.64

*continued next page*

UBS Financial Services Inc.

1000 Harbor Boulevard  
Weehawken, NJ 07086-6799  
Federal ID 13-2638166

**Your Financial Advisor:**  
**WITTENSTEIN ADELMAN GROUP**  
**212-713-7800/800-225-2971**

**Payer:** THE SIGAL FAMILY FOUNDATION  
2909 44TH STREET NW  
WASHINGTON DC 20016-3548

2013 Information Statement

Account Number: JG 49943 4E  
Tax Identification Number: 32-6094347

## Dividends and Distributions Details (continued)



## UBS Financial Services Inc.

1000 Harbor Boulevard  
Weehawken, NJ 07086-6790  
Federal ID 13-2638166

### Your Financial Advisor:

WITTENSTEIN ADELMAN GROUP  
212-713-7800/800-225-2971  
WASHINGTON DC 20016-3548

## 2013 Informational Statement

Account Number: JG 49943 4E  
Tax Identification Number: 32-6094347

### Interest Income Details

In addition to amounts reported in Box 3, income from certain Federal Agency obligations reported in Box 1 may be exempt from state taxes. Please consult your tax advisor concerning your specific tax situation. For clients holding REILICs / CDOs, you may receive a corrected Consolidated Form 1099 in March. This Form will contain a Supplemental/Information Statement comprised of rate accruals provided to us by the issuers' agents. This information that is not available in January and is necessary for individual tax filing purposes. See endnote for more information.

Security Description	CUSIP	Activity Description	Payment Date	Amount
Interest income (less Box 3)	90499A916		11/20/13	\$ 0.02
UBS BANK USA BUSINESS ACCOUNT				
<b>Total Box 1 Interest Income (less Box 3)</b>				<b>\$ 0.02</b>

### Miscellaneous Income Details

Security Description	CUSIP	Activity Description	Payment Date	Amount
Other Income	46625H365		03/11/13 05/06/13 09/06/13	1,349.45 1,414.41 1,470.43 <b>\$ 4,234.29</b>
JPORGAN ALERIAN MLP INDEX				
<b>Total Box 3 Other Income</b>				<b>\$ 4,234.29</b>

### Other Income Details

The items in this section are provided to assist you with your tax return preparation. You should discuss the appropriate treatment of these fees and expenses with your tax advisor.

Activity	Security Description	CUSIP number	Days held	Quantity/ Face amount	Payment Date	Amortized acquisition premium	Amount
Program Fees and Related Services	ADVISORY ACCOUNT FEE-JG 49943						6,706.82
<b>Total Program Fees and Related Services</b>							<b>\$ 6,706.82</b>

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box. . . . . ►

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions  THE SIGAL FAMILY FOUNDATION	Employer identification number (EIN) or  32-6094347
	Number, street, and room or suite no. If a P.O. box, see instructions  2909 44TH STREET NW	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions  WASHINGTON, DC 20016-3548	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ► GERALD R. STGAT, 1800 M STREET, NW STE 1050 WASHINGTON, DC 20036 Telephone No ► 202 9446600 Fax No ►
- If the organization does not have an office or place of business in the United States, check this box . . . . . ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . . . . . If this is for the whole group, check this box . . . . . ►  If it is for part of the group, check this box . . . . . ►  and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until 11/15, 2014
- For calendar year 2013, or other tax year beginning , 20 , and ending , 20
- If the tax year entered in line 5 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period
- State in detail why you need the extension ADDITIONAL TIME IS NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

- If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions  
8a \$ 0
- If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868  
8b \$ 0
- Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions  
8c \$ 0

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ►

Title ►

Date ►

Form 8868 (Rev. 1-2014)