



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending ,

THE CHU FAMILY FOUNDATION INC.
662 GARDEN CIRCLE
STATHAM, GA 30666

A Employer identification number
32-0338339B Telephone number (see the instructions)
770-725-5382

G Check all that apply:

☐ Initial return	☐ Initial return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 7,223,250.**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

- 1 Contributions, gifts, grants, etc., received (att sch) **328,504.**
- 2 ☐ If the foundn is not req to att Sch B
- 3 Interest on savings and temporary cash investments
- 4 Dividends and interest from securities **93,627.**
- 5a Gross rents **93,627.**
- b Net rental income or (loss)
- 6a Net gain/(loss) from sale of assets not on line 10 **244,399.**
- b Gross sales price for all assets on line 6a **3,754,787.**
- 7 Capital gain net income (from Part IV, line 2) **244,399.**
- 8 Net short-term capital gain **77,178.**
- 9 Income modifications
- 10a Gross sales less returns and allowances
- b Less Cost of goods sold
- c Gross profit/(loss) (att sch)
- 11 Other income (attach schedule)

12 **Total.** Add lines 1 through 11**666,530.****338,026.****170,805.**

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) **532.**

SEE ST 1

532.**532.**b Accounting fees (attach sch) **1,700.**

SEE ST 2

1,700.**1,700.**

c Other prof fees (attach sch)

17 Interest

18 Dividends (attach schedule) (see instructions)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 3**63,677.****52,900.****10,777.**24 **Total operating and administrative expenses.** Add lines 13 through 23**66,061.****52,900.****13,161.**25 Contributions, gifts, grants paid **PART XV****329,000.****329,000.**26 **Total expenses and disbursements.** Add lines 24 and 25**395,061.****52,900.****0.**

27 Subtract line 26 from line 12:

a **Excess of revenue over expenses and disbursements****271,469.**b **Net investment income** (if negative, enter -0-)**285,126.**c **Adjusted net income** (if negative, enter -0-)**170,805.**

SCANNED MAY 14 2014

ADMINISTRATIVE AND OPERATING EXPENSES

RECEIVED
MAY 14 2014
ST. MARY'S
UT

68

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	410,815.	317,422.	317,422.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) STATEMENT 4	5,364,916.	6,905,828.	6,905,828.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	5,775,731.	7,223,250.	7,223,250.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒			
	24 Unrestricted	5,775,731.	7,223,250.	
	25 Temporarily restricted			
BALANCE SHEETS	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	5,775,731.	7,223,250.	
	31 Total liabilities and net assets/fund balances (see instructions)	5,775,731.	7,223,250.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,775,731.
2	Enter amount from Part I, line 27a	2	271,469.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 5	3	1,176,050.
4	Add lines 1, 2, and 3	4	7,223,250.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,223,250.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SHORT TERM - SEE ATTACHED		P	VARIOUS	VARIOUS
b LONG TERM - SEE ATTACHED		P	VARIOUS	VARIOUS
c CAPITAL GAIN DISTRIBUTIONS		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,584,957.		2,507,779.	77,178.
b 1,156,799.		1,002,609.	154,190.
c 13,031.			13,031.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			77,178.
b			154,190.
c			13,031.
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	244,399.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	77,178.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	227,013.	5,411,977.	0.041946
2011	57,316.		
2010			
2009			
2008			

2 Total of line 1, column (d)	2	0.041946
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.020973
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,164,401.
5 Multiply line 4 by line 3	5	129,286.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,851.
7 Add lines 5 and 6	7	132,137.
8 Enter qualifying distributions from Part XII, line 4	8	342,161.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1 2,851.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 2,851.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 2,851.
6 Credits/Payments:		
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a 3,500.	
b Exempt foreign organizations – tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7 3,500.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8 29.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 620.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 620. Refunded	11 0.	

Part VII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JEE CHU</u> Telephone no. <u></u> Located at <u>662 GARDEN CIRCLE STATHAM GA</u> ZIP + 4 <u>30666</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b**

N/A

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEE H CHU 662 GARDEN CIRCLE STATHAM, GA 30666	PRESIDENT 0	0.	0.	0.
CHUNG K CHU 662 GARDEN CIRCLE STATHAM, GA 30666	SECRETARY 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	5,924,739.
b	Average of monthly cash balances	1 b	333,536.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	6,258,275.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,258,275.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	93,874.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,164,401.
6	Minimum investment return. Enter 5% of line 5	6	308,220.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	308,220.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	2,851.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	2,851.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	305,369.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	305,369.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	305,369.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	342,161.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	342,161.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,851.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	339,310.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				305,369.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011	28,077.			
e From 2012	57,300.			
f Total of lines 3a through e	85,377.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 342,161.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				305,369.
e Remaining amount distributed out of corpus	36,792.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	122,169.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	122,169.			
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011	28,077.			
d Excess from 2012	57,300.			
e Excess from 2013	36,792.			

Part IV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 6				
Total			3 a	329,000.
b Approved for future payment				
Total			3 b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE CHU FAMILY FOUNDATION INC.

Employer identification number

32-0338339

Organization type (check one).

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (i) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE CHU FAMILY FOUNDATION INC.

Employer identification number

32-0338339

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHUNG & JEE CHU 662 GARDEN CIRCLE STATHAM, GA 30666	\$ 328,504.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE CHU FAMILY FOUNDATION INC.

32-0338339

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE CHU FAMILY FOUNDATION INC.

Employer identification number

32-0338339

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10)**organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.)

► \$ _____ N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 1
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 532.			\$ 532.
TOTAL	\$ 532.	\$ 0.	\$ 0.	\$ 532.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	\$ 1,700.			\$ 1,700.
TOTAL	\$ 1,700.	\$ 0.	\$ 0.	\$ 1,700.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FUNDRAISING	\$ 929.			\$ 929.
INVESTMENT FEES	52,900.	\$ 52,900.		
MEALS	1,398.			1,398.
OFFICE EXPENSE	357.			357.
TRAVEL	8,093.			8,093.
TOTAL	\$ 63,677.	\$ 52,900.	\$ 0.	\$ 10,777.

STATEMENT 4
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
104 SHARES AMAZON COM INC	MKT VAL	\$ 41,474.	\$ 41,474.
56 SHARES APPLE INC	MKT VAL	31,417.	31,417.
705 SHARES DANAHER CORP	MKT VAL	54,426.	54,426.
1259 SHARES DAVITA INC	MKT VAL	79,783.	79,783.
312 SHS ECOLAB INC	MKT VAL	32,532.	32,532.
446 SHARES EXPEDITORS INTL OF WASH INC	MKT VAL	19,736.	19,736.
84 SHARES GOOGLE INC	MKT VAL	94,140.	94,140.
636 SHARES ILLUMINA INC	MKT VAL	70,335.	70,335.
423 SHARES INTUIT INC	MKT VAL	32,283.	32,283.
89 SHARES MASTERCARD INC	MKT VAL	74,356.	74,356.
1048 SHARES STARBUCKS CORP	MKT VAL	82,153.	82,153.

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 4 (CONTINUED)
 FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
442 SHARES TIFFANY & CO	MKT VAL	\$ 41,009.	\$ 41,009.
216 SHARES VISA INC	MKT VAL	48,099.	48,099.
1704 SHARES WELLS FARGO & CO	MKT VAL	77,362.	77,362.
452 SHARES AFLAC INC	MKT VAL	30,194.	30,194.
427 SHARES AT&T INC	MKT VAL	15,013.	15,013.
560 SHARES ALTRIA GROUP INC	MKT VAL	21,498.	21,498.
399 SHARES AUTOMATIC DATA PROCESSING INC	MKT VAL	32,239.	32,239.
289 SHARES BRISTOL MYERS SQUIBB CO	MKT VAL	15,360.	15,360.
230 SHARES CHEVRON CORP	MKT VAL	28,729.	28,729.
283 SHARES CONOCOPHILLIPS	MKT VAL	19,994.	19,994.
216 SHARES DUKE ENERGY CORP	MKT VAL	14,906.	14,906.
281 SHARES GENERAL MILLS INC	MKT VAL	14,025.	14,025.
1171 SHARES INTEL CORP	MKT VAL	30,393.	30,393.
445 SHARES JOHNSON & JOHNSON	MKT VAL	40,758.	40,758.
239 SHARES LOCKHEED MARTIN CORP	MKT VAL	35,530.	35,530.
327 SHARES MCDONALDS CORP	MKT VAL	31,729.	31,729.
1186 SHARES MICROSOFT CORP	MKT VAL	44,368.	44,368.
467 SHARES PAYCHEX INC	MKT VAL	21,263.	21,263.
348 SHARES PEPSICO INC	MKT VAL	28,863.	28,863.
1178 SHARES PFIZER INC	MKT VAL	36,082.	36,082.
127 SHARES PHILIP MORRIS INTL INC	MKT VAL	11,066.	11,066.
245 SHS RAYTHEON COMPANY	MKT VAL	22,222.	22,222.
383 SHARES ROYAL DUTCH SHELL	MKT VAL	27,296.	27,296.
349 SHARES SOUTHERN CO	MKT VAL	14,347.	14,347.
608 SHARES SYSCO CORP	MKT VAL	21,949.	21,949.
283 SHARES UNITED TECHNOLOGIES CORP	MKT VAL	32,205.	32,205.
352 SHARES VERIZON COMMUNICATIONS	MKT VAL	17,297.	17,297.
164 SHARES WAL-MART STORES INC	MKT VAL	12,905.	12,905.
464 SHARES WASTE MGMT INC	MKT VAL	20,820.	20,820.
1854 SHARES ALERIAN MLP	MKT VAL	32,983.	32,983.
6980.860 SHS BALCKROCK GLOBAL ALLOCATION	MKT VAL	149,600.	149,600.
14131.152 SHS EATON VANCE GLOBAL	MKT VAL	133,115.	133,115.
2820.524 SHS FIRST EAGLE GLOBAL FD	MKT VAL	151,801.	151,801.
5093.965 SHS IVY ASSET STRATEGY FUND	MKT VAL	164,433.	164,433.
190 SHS ISHARES CORE S&P TOTAL	MKT VAL	16,074.	16,074.
534 ISHARES TR S&P 500 INDEX	MKT VAL	99,137.	99,137.
155 SHS ISHARES RUSSELL 1000 INDEX	MKT VAL	15,991.	15,991.
537 SHS SPDR TRUST SERIES 1	MKT VAL	99,179.	99,179.
586 SHS VANGUARD S&P 500 ETF	MKT VAL	99,122.	99,122.
5243.875 SHS DELAWARE EMERGING MARKETS	MKT VAL	84,846.	84,846.
2632.261 FIRST EAGLE OVERSEAS FUND	MKT VAL	61,858.	61,858.
7738.950 HARDING LOEVNER INTL	MKT VAL	139,533.	139,533.
7002.707 SHS T ROWE PRICE INTER	MKT VAL	113,934.	113,934.
2084.751 SHS THORNBURG INTL VALUE FD	MKT VAL	66,837.	66,837.
SKYBRIDGE MULTI ADVISOR HEDGE	MKT VAL	233,651.	233,651.
150 SHS AMERICAN TOWER REIT COM	MKT VAL	11,973.	11,973.
344 SHS ASCENA RETAIL GROUP INC	MKT VAL	7,279.	7,279.
259 SHS DICKS SPORTING GOODS INC	MKT VAL	15,048.	15,048.
194 SHS DOLLAR TREE INC	MKT VAL	10,945.	10,945.
296 SHS FACEBOOK INC	MKT VAL	16,176.	16,176.
77 SHS FACTSET RESEARCH SYSTEMS INC	MKT VAL	8,361.	8,361.
257 SHS GNC HOLDINGS INC	MKT VAL	15,022.	15,022.
131 SHS ILLUMINA INC	MKT VAL	14,487.	14,487.
44 SHS INTERCONTINENTALEXCHANGE INC	MKT VAL	9,896.	9,896.
676 SHS INTERNATIONAL GAME TECH	MKT VAL	12,276.	12,276.

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 4 (CONTINUED)
 FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
157 SHS LIFE TIME FITNESS INC	MKT VAL	\$ 7,379.	\$ 7,379.
65 SHS LINKEDIN CORP	MKT VAL	14,094.	14,094.
108 SHS MICHAEL KORS HOLDINGS INC	MKT VAL	8,769.	8,769.
364 SHS MYRIAD GENETIC INC	MKT VAL	7,637.	7,637.
238 SHS RESMED INC	MKT VAL	11,205.	11,205.
114 SHS STURM RUGER & CO	MKT VAL	8,332.	8,332.
105 SHS TECHNE CP	MKT VAL	9,940.	9,940.
132 SHS VALEANT PHARMACEUTIC INTL INC	MKT VAL	15,497.	15,497.
80 SHS VERISK ANALYTICS	MKT VAL	5,258.	5,258.
119 SHS AFLAC INC	MKT VAL	7,949.	7,949.
73 SHS BED BATH & BEYOND	MKT VAL	5,862.	5,862.
161 SHS CH ROBINSON WORLDWIDE INC	MKT VAL	9,394.	9,394.
68 SHS COGNIZANT TECH SOLUTIONS	MKT VAL	6,867.	6,867.
192 SHS EXPEDITORS INTL WASH INC	MKT VAL	8,496.	8,496.
87 SHS FACTSET RESEARCH SYSTMES INC	MKT VAL	9,446.	9,446.
51 SHS GENL DYNAMICS CORP	MKT VAL	4,873.	4,873.
47 SHS INFOSYS LIMITED	MKT VAL	2,660.	2,660.
42 SHS INTL BUSINESS MACHINES	MKT VAL	7,878.	7,878.
64 SHS MATTHEWS INTL	MKT VAL	2,727.	2,727.
92 SHS MEDTRONIC INC	MKT VAL	5,280.	5,280.
216 SHS MICROSOFT CORP	MKT VAL	8,081.	8,081.
58 SHS ROCKWELLS COLLINS INC	MKT VAL	4,287.	4,287.
340 SHS STAPLES INC	MKT VAL	5,403.	5,403.
36 SHS STRAYER EDUCATION INC	MKT VAL	1,241.	1,241.
131 SHS STRYKER CORP	MKT VAL	9,843.	9,843.
40 SHS TARGET CORP	MKT VAL	2,531.	2,531.
121 SHS VARIAN MEDICAL SYS INC	MKT VAL	9,401.	9,401.
68 SHS WAL MART STORES INC	MKT VAL	5,351.	5,351.
193 SHS ISHARES CORE S&P TOTAL	MKT VAL	16,328.	16,328.
158 SHS ISHARES RUSSELL 1000 INDEX	MKT VAL	16,301.	16,301.
191 SHS S&P 500 INDEX FUND	MKT VAL	35,459.	35,459.
422 SHS SPDR TRUST SERIES 1	MKT VAL	77,939.	77,939.
596 SHS VANGUARD S&P 500 ETF	MKT VAL	100,813.	100,813.
192.515 SHS IRONWOOD INSTIT	MKT VAL	207,724.	207,724.
5000 SHS ACHILLION PHARMACEUTICALS	MKT VAL	16,595.	16,595.
14400 SHS GILEAD SCIENCE	MKT VAL	1,081,440.	1,081,440.
7500 SHS IDENIX PHARMACEUTICALS	MKT VAL	44,850.	44,850.
157 SHS COGNIZANT TECH SOLUTIONS	MKT VAL	15,854.	15,854.
892 SHS COMCAST CORP	MKT VAL	46,353.	46,353.
832 SHS DICKS SPORTING GOODS	MKT VAL	48,339.	48,339.
1268 SHS FACEBOOK INC	MKT VAL	69,295.	69,295.
1349 SHS GNC HOLDINGS INC	MKT VAL	78,849.	78,849.
1257 SHS HCA HOLDINGS INC	MKT VAL	59,971.	59,971.
152 SHS INTERCONTINENTALEXCHANGE GROUP	MKT VAL	34,188.	34,188.
792 SHS L BRANDS INC	MKT VAL	48,985.	48,985.
188 SHS LINKEDIN CORP	MKT VAL	40,764.	40,764.
389 SHS NATIONAL OILWELL VARCO INC	MKT VAL	30,937.	30,937.
931 SHS RESMED INC	MKT VAL	43,831.	43,831.
506 SCHLUMBERGER LTD	MKT VAL	45,596.	45,596.
277 SHS T ROWE PRICE GROUP INC	MKT VAL	23,204.	23,204.
623 SHS WALGREEN CO	MKT VAL	35,785.	35,785.
1910 SHS AMBEV	MKT VAL	14,039.	14,039.
53 SHS APPLE INC	MKT VAL	29,734.	29,734.
609 SHS BB&T CORP	MKT VAL	22,728.	22,728.
321 SHS DU PONT EI DE NEMOURS & CO	MKT VAL	20,855.	20,855.

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 4 (CONTINUED)
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
434 SHS MARSH & MCLENNAN COS INC	MKT VAL	\$ 20,988.	\$ 20,988.
380 SHS NESTLE	MKT VAL	27,964.	27,964.
339 SHS PROCTOR & GAMBLE	MKT VAL	27,598.	27,598.
313 SHS TARGET CORP	MKT VAL	19,804.	19,804.
183 UNITED PARCEL SERVICE	MKT VAL	19,230.	19,230.
680 SHS WALGREEN CO	MKT VAL	39,059.	39,059.
949 SHS WELLS FARGO & CO	MKT VAL	43,085.	43,085.
12921.497 WELLS FARGO ADV ABSOLT RET ADM	MKT VAL	144,204.	144,204.
13072.472 SHS FPA NEW INCOME FD	MKT VAL	134,254.	134,254.
13320.997 SHS GABELLI ABC	MKT VAL	135,341.	135,341.
8530.422 SHS MERGER FUND	MKT VAL	136,572.	136,572.
13010.035 SHS PUTNAM ABSOLUTE RET	MKT VAL	134,708.	134,708.
201 SHS AIR LEASE CORP	MKT VAL	6,247.	6,247.
37 SHS BIOGEN IDEC INC	MKT VAL	10,344.	10,344.
71 SHS CELGENE CORP	MKT VAL	11,997.	11,997.
61 SHS COGNIZANT TECH SOLUTIONS	MKT VAL	6,160.	6,160.
298 SHS D R HORTON INC	MKT VAL	6,651.	6,651.
285 SHS HCA HOLDINGS INC	MKT VAL	13,597.	13,597.
54 SHS IDEXX LABS	MKT VAL	5,744.	5,744.
162 SHS LENAR CORP	MKT VAL	6,409.	6,409.
149 SHS MONSTER BEVERAGE CORP	MKT VAL	10,098.	10,098.
423 SHS WISDOMTREE INVSTS INC	MKT VAL	7,491.	7,491.
228 SHS ORACLE CORP	MKT VAL	8,723.	8,723.
538 SHS THE FINANCIAL SEL SECT SPDR FD	MKT VAL	11,761.	11,761.
543 SHS S&P 500 INDEX FUND	MKT VAL	100,808.	100,808.
546 SHS SPDR TRUST SERIES 1	MKT VAL	100,841.	100,841.
	TOTAL	\$ 6,905,828.	\$ 6,905,828.

STATEMENT 5
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

NET UNREALIZED GAINS OR LOSSES ON INVESTMENTS	\$ 1,176,050.
TOTAL	\$ 1,176,050.

STATEMENT 6
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE KOREAN AMERICAN SCHOLARSHIP FUND 330 MILLSTONE CIRCLE ATHENS, GA 30605			TO PROVIDE SCHOLARSHIPS TO KOREAN STUDENTS IN ATLANTA AREA.	\$ 5,000.

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 6 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNIVERSITY AT BUFFALO FOUNDATION 3330 HOCHSTETTER HALL BUFFALO, NY 14260			TO PROVIDE SCHOLARSHIPS TO PHARMACY STUDENTS	\$ 20,000.
IDAHO STATE UNIVERSITY FOUNDATION 921 SOUTH 8TH AVENUE POCATELLO, ID 83209			TO PROVIDE SCHOLARSHIPS TO PHARMACY STUDENTS	20,000.
KOREAN CHURCH OF ATLANTA ORCHESTRA 3205 PLEASANT HILL RD DULUTH, GA 30024			TO PROVIDE SCHOLARSHIPS	3,000.
PIEDMONT REGIONAL LIBRARY SYSTEM 189 BELLVIEW ST WINDER, GA 30680			TO PROVIDE SCHOLARSHIPS	15,000.
SEOUL NATIONAL UNIVERSITY FOUNDATION 2F RESEARCH PARK BLDG , SEOUL 151-919 SOUTH KOREA			TO PROVIDE SCHOLARSHIPS	40,000.
UNIVERSTIY OF GEORGIA FOUNDATION 394 S MILLEDGE AVE, STE 100 ATHENS, GA 30602			TO PROVIDE SCHOLARSHIPS	45,000.
DUKE UNIVERSITY PO BOX 90581 DURHAM, NC 27708				100,000.
GEORGIA MUSEUM OF ART 90 CARLTON STREET ATHENS, GA 30602				40,000.
THE KOREAN AMERICAN SCHOOL OF ATLANTA 467 INDUSTRIAL PARK DR NORCROSS, GA 30071				8,000.
KOREAN CHURCH OF ATLANTA 3205 PLEASANT HILL RD DULUTH, GA 30096				6,000.
KOREAN COMMUNITY PRESBYTERIAN CHURCH OF 2534 DULUTH HIGHWAY DULUTH, GA 30097				6,000.

2013

FEDERAL STATEMENTS

PAGE 6

THE CHU FAMILY FOUNDATION INC.

32-0338339

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
GOOD NEIGHBORING FOUNDATION 3835 PRESIDENTIAL PKWY ATLANTA, GA 30340				\$ 1,000.
AMERICAN KOREAN WAR VET SHCOLARSHIP 1952 GALLOWS RD, STE 310 VIENNA, VA 22182				20,000.
TOTAL \$				<u>329,000.</u>

The Chu Family Foundation, Inc
2013 Smith Barney
Sch D reconciliation

Account #	Interest Income Per 1099	Ordinary Dividend Income per 1099	Qualified Dividend Income per 1099	Capital Gain Distributions per 1099	Short Term			Long Term		
					Proceeds	Cost	Wash Sale	Proceeds	Cost	Wash Sale
308 113287	-	13,447 95	13,436 45	-	279,912 36	285,618 99	981 81	261,931 21	184,964 47	
308 113668	-	27,614 93	27,612 30	-	158,399 17	137,427 90		174,086 02	133,204 35	
308 165910	-	18,033 16	2,619 25	2,419 11	716,828 95	706,273 89		338,751 33	337,815 05	32 12
308 165908	-	11,092 03	6,669 08	8,645 44	60,543 26	61,319 74		109,576 37	107,823 66	
308 165957	-									
308 114137	-	5,660 12	4,536 71	1,966 31	26,538 45	25,076 12		109,655 47	110,947 79	
308 165950	-	6,150 84	6,047 29	-	294,527 56	288,633 61	2,111 38	17,732 84	14,702 34	
308 165951	-	0 12	-	-						
308-114110	-	6,061 06	5,957 30		905,353 01	883,575 27	6,500 14			
308 165917	-	1,505 26	1,409 16		90,846 24	76,699 71	222 31	49,362 59	40,078 79	
308 165949	-	4,055 99	3,958 38		52,008 30	43,153 62		95,703 20	73,072 85	
308 112739	-	0 51	-	-						
308 113659	-	4 73	-	-						
Totals	-	93,626 70	72,245 92	13,030 86	2,584,957 30	2,507,778 85	9,815 64	1,156,799 03	1,002,609 30	32 12
Total per 1041		93,627 00	72,246 00	13,031 00						
Differences	-	0 30		0 14						

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
APPLE INC									
		CUSIP: 037833100		Symbol (Box 1d): AAPL					
	2 000	05/29/12	01/24/13	\$909 69	\$1,142 61	\$232 92	(\$232 92)	\$0 00	
	6 000	05/29/12	03/07/13	\$2,529 18	\$3,427 88	\$0 00	(\$898 70)	\$0 00	
	5 000	05/29/12	03/07/13	\$2,107 61	\$2,856 50	\$748 89	(\$748 89)	\$0 00	
	4 000	05/29/12	04/02/13	\$1,729 23	\$2,285 23	\$0 00	(\$556 00)	\$0 00	
	10 000	06/15/12	04/02/13	\$4,323 06	\$5,701 85	\$0 00	(\$1,378 79)	\$0 00	
	19 000	06/15/12	04/15/13	\$8,019 17	\$10,833 51	\$0 00	(\$2,814 34)	\$0 00	
Security Subtotal	46 000			\$19,617 94	\$26,247 58	\$981 81	(\$6,629 64)	\$0 00	
CARNIVAL CP NEW PAIRED COM									
		CUSIP: 143658300		Symbol (Box 1d): CCL					
	23 000	01/29/13	06/25/13	\$795 32	\$892 03	\$0 00	(\$96 71)	\$0 00	
	313 000	01/29/13	08/14/13	\$11,528 27	\$12,139 39	\$0 00	(\$611 12)	\$0 00	
	30 000	02/28/13	08/14/13	\$1,104 95	\$1,073 54	\$0 00	\$31 41	\$0 00	
Security Subtotal	366 000			\$13,428 54	\$14,104 96	\$0 00	(\$676 42)	\$0 00	
CERNER CORP									
		CUSIP: 156782104		Symbol (Box 1d): CERN					
	15 000	06/08/12	05/01/13	\$1,433 60	\$1,182 60	\$0 00	\$251 00	\$0 00	
	23 000	07/10/12	05/01/13	\$2,198 19	\$1,894 05	\$0 00	\$304 14	\$0 00	
	52 000	12/03/12	07/23/13	\$2,619 71	\$2,031 33	\$0 00	\$588 38	\$0 00	
Security Subtotal	90 000			\$6,251 50	\$5,107 98	\$0 00	\$1,143 52	\$0 00	
CH ROBINSON WORLDWIDE INC NEW									
		CUSIP: 12541W209		Symbol (Box 1d): CHRW					
	26 000	01/29/13	03/25/13	\$1,516 59	\$1,752 64	\$0 00	(\$236 05)	\$0 00	
	26 000	01/09/13	06/19/13	\$1,489 34	\$1,662 93	\$0 00	(\$173 59)	\$0 00	
	55 000	01/29/13	06/19/13	\$3,150 53	\$3,707 51	\$0 00	(\$556 98)	\$0 00	
	24 000	12/18/12	06/25/13	\$1,314 69	\$1,522 34	\$0 00	(\$207 65)	\$0 00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CH ROBINSON WORLDWIDE INC	174.000	12/18/12	08/07/13	\$9,805.68	\$11,036.94	\$0.00	(\$1,231.26)	\$0.00	
	178.000	01/09/13	08/08/13	\$10,012.75	\$11,384.64	\$0.00	(\$1,371.89)	\$0.00	
Security Subtotal	483.000			\$27,289.58	\$31,067.00	\$0.00	(\$3,777.42)	\$0.00	
COGNIZANT TECH SOLUTIONS CL A									
	10.000	11/15/13	12/05/13	\$936.68	\$941.62	\$0.00	(\$4.94)	\$0.00	
CUSIP: 192446102 Symbol (Box 1d): CTSH									
DAVITA INC									
	27.000	06/15/12	01/02/13	\$2,931.14	\$2,409.17	\$0.00	\$521.97	\$0.00	
CUSIP: 23918K108 Symbol (Box 1d): DVA									
DICKS SPORTING GOODS INC									
	52.000	03/27/13	06/25/13	\$2,622.32	\$2,452.32	\$0.00	\$170.00	\$0.00	
	46.000	03/27/13	12/05/13	\$2,511.67	\$2,169.36	\$0.00	\$342.31	\$0.00	
Security Subtotal	98.000			\$5,133.99	\$4,621.68	\$0.00	\$512.31	\$0.00	
CUSIP: 253393102 Symbol (Box 1d): DKS									
EXPEDITORS INTL WASH INC									
	31.000	01/29/13	03/25/13	\$1,105.16	\$1,342.70	\$0.00	(\$237.54)	\$0.00	
	76.000	01/09/13	03/26/13	\$2,709.08	\$3,198.51	\$0.00	(\$489.43)	\$0.00	
	113.000	01/29/13	03/26/13	\$4,027.97	\$4,894.34	\$0.00	(\$866.37)	\$0.00	
	47.000	12/18/12	06/25/13	\$1,749.30	\$1,823.85	\$0.00	(\$74.55)	\$0.00	
	172.000	01/09/13	07/31/13	\$6,954.84	\$7,238.72	\$0.00	(\$283.88)	\$0.00	
	38.000	01/09/13	11/19/13	\$1,639.82	\$1,599.25	\$0.00	\$40.57	\$0.00	
	15.000	02/25/13	11/19/13	\$647.30	\$610.57	\$0.00	\$36.73	\$0.00	
	126.000	09/10/13	11/19/13	\$5,437.29	\$5,577.49	\$0.00	(\$140.20)	\$0.00	
	26.000	12/18/12	12/05/13	\$1,121.62	\$1,008.94	\$0.00	\$112.68	\$0.00	
Security Subtotal	644.000			\$25,392.38	\$27,294.37	\$0.00	(\$1,901.99)	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FACEBOOK INC CL-A									
CUSIP: 30303M102 Symbol (Box 1d): FB									
	125.000	09/12/12	06/25/13	\$3,014.95	\$2,576.45	\$0.00	\$438.50	\$0.00	
	93.000	01/18/13	07/15/13	\$2,398.59	\$2,809.58	\$0.00	(\$410.99)	\$0.00	
	178.000	01/24/13	07/15/13	\$4,590.86	\$5,497.64	\$0.00	(\$906.78)	\$0.00	
	217.000	01/02/13	10/30/13	\$10,856.36	\$6,038.39	\$0.00	\$4,817.97	\$0.00	
	46.000	01/18/13	10/30/13	\$2,301.35	\$1,389.69	\$0.00	\$911.66	\$0.00	
Security Subtotal	659.000			\$23,162.11	\$18,311.75	\$0.00	\$4,850.36	\$0.00	
FISERV INC WISCONSIN									
CUSIP: 337738108 Symbol (Box 1d): FISV									
	8.000	06/08/12	03/25/13	\$685.67	\$553.12	\$0.00	\$132.55	\$0.00	
HCA HOLDINGS INC									
CUSIP: 40412C101 Symbol (Box 1d): HCA									
	68.000	07/23/13	12/05/13	\$3,164.83	\$2,642.68	\$0.00	\$522.15	\$0.00	
INTERCONTINENTALEXCHANGE GROUP									
CUSIP: 45866F104 Symbol (Box 1d): ICE									
	8.000	02/05/13	12/05/13	\$1,703.97	\$1,142.84	\$0.00	\$561.13	\$0.00	
INTERCONTINENTALEXCHANGE, INC									
CUSIP: 45865V100 Symbol (Box 1d):									
	11.000	02/05/13	06/25/13	\$1,945.09	\$1,571.41	\$0.00	\$373.68	\$0.00	
L BRANDS INC COM									
CUSIP: 501797104 Symbol (Box 1d): LB									
	51.000	01/29/13	06/25/13	\$2,536.69	\$2,439.66	\$0.00	\$97.03	\$0.00	
	43.000	01/29/13	12/05/13	\$2,677.56	\$2,056.97	\$0.00	\$620.59	\$0.00	
Security Subtotal	94.000			\$5,214.25	\$4,496.63	\$0.00	\$717.62	\$0.00	
LINKEDIN CORP-A									
CUSIP: 53578A108 Symbol (Box 1d): LNKD									
	15.000	07/30/12	06/25/13	\$2,621.80	\$1,589.72	\$0.00	\$1,032.08	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 11 of 26

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NATIONAL OILWELL VARCO INC									
		CUSIP: 637071101	Symbol (Box 1d): NOV						
	161,000	09/12/12	06/19/13	\$11,520.85	\$13,572.25	\$0.00	(\$2,051.40)	\$0.00	
	25,000	09/12/12	06/25/13	\$1,700.60	\$2,107.49	\$0.00	(\$406.89)	\$0.00	
Security Subtotal	186,000			\$13,221.45	\$15,679.74	\$0.00	(\$2,458.29)	\$0.00	
RESMED INC									
		CUSIP: 761152107	Symbol (Box 1d): RMD						
	57,000	03/05/13	06/25/13	\$2,721.13	\$2,566.31	\$0.00	\$154.82	\$0.00	
	53,000	03/05/13	12/05/13	\$2,503.67	\$2,386.21	\$0.00	\$117.46	\$0.00	
Security Subtotal	110,000			\$5,224.80	\$4,952.52	\$0.00	\$272.28	\$0.00	
SCHLUMBERGER LTD									
		CUSIP: 806857108	Symbol (Box 1d): SLB						
	36,000	07/18/12	06/25/13	\$2,611.75	\$2,477.83	\$0.00	\$133.92	\$0.00	
T ROWE PRICE GROUP INC									
		CUSIP: 74144T108	Symbol (Box 1d): TROW						
	33,000	12/03/12	08/15/13	\$2,393.36	\$2,129.01	\$0.00	\$264.35	\$0.00	
TARGET CORPORATION									
		CUSIP: 87612E106	Symbol (Box 1d): TGT						
	29,000	01/29/13	06/25/13	\$2,004.15	\$1,774.75	\$0.00	\$229.40	\$0.00	
	184,000	01/29/13	11/25/13	\$11,716.79	\$11,260.51	\$0.00	\$456.28	\$0.00	
	214,000	01/30/13	11/25/13	\$13,627.13	\$13,040.90	\$0.00	\$586.23	\$0.00	
	40,000	05/01/13	11/25/13	\$2,547.13	\$2,827.94	\$0.00	(\$280.81)	\$0.00	
	39,000	07/15/13	11/25/13	\$2,483.45	\$2,818.83	\$0.00	(\$335.38)	\$0.00	
Security Subtotal	506,000			\$32,378.65	\$31,722.93	\$0.00	\$655.72	\$0.00	

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
V F CORPORATION CUSIP: 918204108 Symbol (Box 1d): VFC									
	1.000	06/08/12	01/02/13	\$148.22	\$139.47	\$0.00	\$8.75	\$0.00	
	18.000	07/10/12	01/02/13	\$2,667.85	\$2,496.96	\$0.00	\$170.89	\$0.00	
	19.000			\$2,816.07	\$2,636.43	\$0.00	\$179.64	\$0.00	
Security Subtotal									
WALGREEN CO CUSIP: 931422109 Symbol (Box 1d): WAG									
	41.000	01/23/13	06/25/13	\$1,815.44	\$1,611.65	\$0.00	\$203.79	\$0.00	
	34.000	01/23/13	12/05/13	\$1,910.08	\$1,336.49	\$0.00	\$573.59	\$0.00	
	75.000			\$3,725.52	\$2,948.14	\$0.00	\$777.38	\$0.00	
Security Subtotal									
Total Short Term Covered Securities				\$201,851.07	\$204,649.11	\$981.81	(\$2,798.04)	\$0.00	

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535New York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 32-0338339
Account Number 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
APPLE INC	CUSIP: 037833100	Symbol (Box 1d): AAPL							
	5 000	05/02/12	01/24/13	\$2,274.24	\$2,921.30	\$0.00	(\$647.06)	\$0.00	
CERNER CORP	CUSIP: 156782104	Symbol (Box 1d): CERN							
	4 000	05/02/12	05/01/13	\$382.29	\$332.20	\$0.00	\$50.09	\$0.00	
SPDR GOLD TR GOLD SHS	CUSIP: 78463V107	Symbol (Box 1d):							
	0 000	01/07/13		\$19.85	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0 000	02/21/13		\$21.60	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0 000	03/12/13		\$20.99	\$0.00	\$0.00	\$0.00	\$0.00	CT
	12 000	09/12/12	03/25/13	\$1,862.31	\$2,019.90	\$0.00	(\$157.59)	\$0.00	
	41 000	09/12/12	03/27/13	\$6,364.85	\$6,901.33	\$0.00	(\$536.48)	\$0.00	
	22 000	09/12/12	04/03/13	\$3,348.38	\$3,703.15	\$0.00	(\$354.77)	\$0.00	
	66 000	10/31/12	04/03/13	\$10,045.12	\$10,994.12	\$0.00	(\$949.00)	\$0.00	
	74 000	06/01/12	04/05/13	\$11,203.59	\$11,595.72	\$0.00	(\$392.13)	\$0.00	
	6 000	06/08/12	04/05/13	\$908.40	\$926.94	\$0.00	(\$18.54)	\$0.00	
	7 000	07/10/12	04/05/13	\$1,059.80	\$1,077.16	\$0.00	(\$17.36)	\$0.00	
	39 000	08/22/12	04/05/13	\$5,904.60	\$6,202.55	\$0.00	(\$297.95)	\$0.00	
	97 000	08/23/12	04/05/13	\$14,685.79	\$15,731.46	\$0.00	(\$1,045.67)	\$0.00	
	10 000	10/31/12	04/05/13	\$1,514.00	\$1,665.78	\$0.00	(\$151.78)	\$0.00	
	4 000	12/03/12	04/05/13	\$605.60	\$665.48	\$0.00	(\$59.88)	\$0.00	
	20,000	01/23/13	04/05/13	\$3,027.99	\$3,274.79	\$0.00	(\$246.80)	\$0.00	
Security Subtotal	398,000			\$60,592.87	\$64,758.38	\$0.00	(\$4,227.95)	\$0.00	
UNION PACIFIC CORP	CUSIP: 907818108	Symbol (Box 1d): UNP							
	8 000	05/02/12	05/01/13	\$1,176.10	\$920.72	\$0.00	\$255.38	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 15 of 26

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

New York, NY 10004
Identification Number
26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
V F CORPORATION	92,000	01/26/12	01/02/13	\$13,635.79	\$12,037.28	\$0.00	\$1,598.51	\$0.00	
Total Short Term Noncovered Securities				\$78,061.29	\$80,969.88	\$0.00	(\$2,971.03)	\$0.00	
Total Short Term Covered and Noncovered Securities				\$279,912.36	\$285,618.99	\$981.81	(\$5,769.07)	\$0.00	

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number: 26-4310632THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
APPLE INC	CUSIP: 037833100									
	5 000	05/29/12	06/19/13		\$2,147.38	\$3,141.84	\$0.00	(\$994.46)	\$0.00	\$0.00
	2 000	06/17/12	06/19/13		\$858.94	\$1,190.10	\$0.00	(\$331.16)	\$0.00	\$0.00
Security Subtotal	7 000				\$3,006.32	\$4,331.94	\$0.00	(\$1,325.62)	\$0.00	\$0.00
CERNER CORP	CUSIP: 156782104									
	16 000	06/08/12	07/23/13		\$806.07	\$630.72	\$0.00	\$175.35	\$0.00	\$0.00
COMCAST CORP (NEW) CLASS A	CUSIP: 20030N101									
	27 000	07/10/12	07/31/13		\$1,215.91	\$847.53	\$0.00	\$368.38	\$0.00	\$0.00
DAVITA INC	CUSIP: 23918K108									
	64 000	06/15/12	07/03/13		\$7,227.38	\$5,710.61	\$0.00	\$1,516.77	\$0.00	\$0.00
FACEBOOK INC CL-A	CUSIP: 30303M102									
	74 000	09/12/12	12/05/13		\$3,582.27	\$1,525.26	\$0.00	\$2,057.01	\$0.00	\$0.00
FISERV INC WISCONSIN	CUSIP: 337738108									
	45 000	06/08/12	07/23/13		\$4,125.48	\$3,111.30	\$0.00	\$1,014.18	\$0.00	\$0.00
LINKEDIN CORP-A	CUSIP: 53578A108									
	13 000	09/06/12	10/30/13		\$3,080.17	\$1,532.65	\$0.00	\$1,547.52	\$0.00	\$0.00
	12 000	07/30/12	12/05/13		\$2,708.95	\$1,271.77	\$0.00	\$1,437.18	\$0.00	\$0.00
Security Subtotal	25 000				\$5,789.12	\$2,804.42	\$0.00	\$2,984.70	\$0.00	\$0.00
NATIONAL OILWELL VARCO INC	CUSIP: 637071101									
	23 000	09/12/12	12/05/13		\$1,877.22	\$1,938.89	\$0.00	(\$61.67)	\$0.00	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 17 of 26

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number 32-0338339
Account Number 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SCHLUMBERGER LTD	CUSIP: 806857108	Symbol (Box 1d): SLB							
	27 000	07/18/12	12/05/13	\$2,348.41	\$1,858.37	\$0.00	\$490.04	\$0.00	
UNION PACIFIC CORP	CUSIP: 907818108	Symbol (Box 1d): UNP							
	16 000	06/08/12	10/30/13	\$2,407.63	\$1,760.64	\$0.00	\$646.99	\$0.00	
Total Long Term Covered Securities				\$32,385.81	\$24,519.68	\$0.00	\$7,866.13	\$0.00	

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535New York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 32-0338339
Account Number 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMAZON COM INC									
		CUSIP: 023135106	Symbol (Box 1d): AMZN						
	1.000	12/16/11	06/25/13	\$271.41	\$183.12	\$0.00	\$88.29	\$0.00	
	6.000	01/26/12	06/25/13	\$1,628.49	\$1,159.14	\$0.00	\$469.35	\$0.00	
	6.000	01/26/12	12/05/13	\$2,301.25	\$1,159.14	\$0.00	\$1,142.11	\$0.00	
Security Subtotal	13.000			\$4,201.15	\$2,501.40	\$0.00	\$1,699.75	\$0.00	
APPLE INC									
		CUSIP: 037833100	Symbol (Box 1d): AAPL						
	13.000	03/05/12	04/15/13	\$5,486.80	\$6,967.48	\$0.00	(\$1,480.68)	\$0.00	
	5.000	01/26/12	06/19/13	\$2,147.38	\$2,223.15	\$0.00	(\$75.77)	\$0.00	
	2.000	03/05/12	06/19/13	\$858.95	\$1,071.92	\$0.00	(\$212.97)	\$0.00	
	4.000	05/06/11	06/25/13	\$1,606.53	\$1,396.40	\$0.00	\$210.13	\$0.00	
	14.000	01/26/12	07/23/13	\$5,929.22	\$6,224.82	\$0.00	(\$295.60)	\$0.00	
	3.000	05/06/11	12/05/13	\$1,705.32	\$1,047.30	\$0.00	\$658.02	\$0.00	
Security Subtotal	41.000			\$17,734.20	\$18,931.07	\$0.00	(\$1,196.87)	\$0.00	
CERNER CORP									
		CUSIP: 156782104	Symbol (Box 1d): CERN						
	18.000	08/16/11	06/25/13	\$1,704.57	\$1,049.94	\$0.00	\$654.63	\$0.00	
	26.000	02/28/12	07/23/13	\$1,309.86	\$977.47	\$0.00	\$332.39	\$0.00	
	31.000	03/05/12	07/23/13	\$1,561.75	\$1,202.08	\$0.00	\$359.67	\$0.00	
	52.000	08/16/11	08/15/13	\$2,462.36	\$1,516.58	\$0.00	\$945.78	\$0.00	
	2.000	11/28/11	08/15/13	\$94.71	\$58.76	\$0.00	\$35.95	\$0.00	
	210.000	01/26/12	08/15/13	\$9,944.16	\$6,248.32	\$0.00	\$3,695.84	\$0.00	
	88.000	03/05/12	08/15/13	\$4,167.08	\$3,305.72	\$0.00	\$861.36	\$0.00	
	63.000	04/25/12	08/15/13	\$2,983.25	\$2,256.52	\$0.00	\$726.73	\$0.00	
Security Subtotal	490.000			\$24,227.74	\$16,615.39	\$0.00	\$7,612.35	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 19 of 26

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 20030N101 CMCSA									
COMCAST CORP (NEW) CLASS A	53 000	01/18/12	06/25/13	\$2,124.73	\$1,362.30	\$0.00	\$762.43	\$0.00	
	4 000	01/18/12	06/25/13	\$160.36	\$102.82	\$0.00	\$57.54	\$0.00	
	13 000	01/26/12	06/25/13	\$521.16	\$341.20	\$0.00	\$179.96	\$0.00	
	80 000	05/02/12	07/31/13	\$3,602.68	\$2,424.00	\$0.00	\$1,178.68	\$0.00	
	51 000	01/26/12	12/05/13	\$2,492.32	\$1,338.54	\$0.00	\$1,153.78	\$0.00	
Security Subtotal	201 000			\$8,901.25	\$5,568.86	\$0.00	\$3,332.39	\$0.00	
CUSIP: 235851102 Symbol (Box 1d): DHR									
DANAHER CORPORATION	9 000	10/24/11	06/25/13	\$558.26	\$433.47	\$0.00	\$124.79	\$0.00	
	2 000	12/16/11	06/25/13	\$124.06	\$93.86	\$0.00	\$30.20	\$0.00	
	37 000	01/26/12	06/25/13	\$2,295.06	\$1,951.51	\$0.00	\$343.55	\$0.00	
	42 000	01/26/12	12/05/13	\$3,091.56	\$2,215.23	\$0.00	\$876.33	\$0.00	
Security Subtotal	90 000			\$6,068.94	\$4,694.07	\$0.00	\$1,374.87	\$0.00	
CUSIP: 23918K108 Symbol (Box 1d): DVA									
DAVITA INC	36 000	05/06/11	06/25/13	\$4,410.28	\$3,049.56	\$0.00	\$1,360.72	\$0.00	
	2 000	05/12/11	06/25/13	\$245.02	\$171.16	\$0.00	\$73.86	\$0.00	
	6 000	05/17/11	06/25/13	\$735.04	\$515.06	\$0.00	\$219.98	\$0.00	
	6 000	05/17/11	12/05/13	\$342.86	\$257.53	\$0.00	\$85.33	\$0.00	
	62 000	08/16/11	12/05/13	\$3,542.93	\$2,296.48	\$0.00	\$1,246.45	\$0.00	
Security Subtotal	112 000			\$9,276.13	\$6,289.79	\$0.00	\$2,986.34	\$0.00	
CUSIP: 278865100 Symbol (Box 1d): ECL									
ECOLAB INC	113 000	01/26/12	05/14/13	\$9,891.88	\$6,864.39	\$0.00	\$3,027.49	\$0.00	
	29 000	02/28/12	05/14/13	\$2,538.62	\$1,783.79	\$0.00	\$754.83	\$0.00	
	60 000	04/25/12	05/14/13	\$5,252.32	\$3,745.53	\$0.00	\$1,506.79	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ECOLAB INC	37.000	05/02/12	05/14/13	\$3,238.94	\$2,363.93	\$0.00	\$875.01	\$0.00	
	12.000	05/06/11	12/05/13	\$1,237.41	\$631.44	\$0.00	\$605.97	\$0.00	
Security Subtotal	251.000			\$22,159.17	\$15,389.08	\$0.00	\$6,770.09	\$0.00	
FISERV INC WISCONSIN									
	11.000	05/06/11	06/25/13	\$946.64	\$686.18	\$0.00	\$260.46	\$0.00	
	13.000	02/24/12	07/23/13	\$1,191.80	\$867.75	\$0.00	\$324.05	\$0.00	
	14.000	02/28/12	07/23/13	\$1,283.48	\$932.68	\$0.00	\$350.80	\$0.00	
	12.000	05/06/11	08/15/13	\$1,191.10	\$748.56	\$0.00	\$442.54	\$0.00	
	23.000	08/16/11	08/15/13	\$2,282.94	\$1,263.16	\$0.00	\$1,019.78	\$0.00	
	10.000	08/29/11	08/15/13	\$992.58	\$551.16	\$0.00	\$441.42	\$0.00	
	1.000	10/07/11	08/15/13	\$99.26	\$53.77	\$0.00	\$45.49	\$0.00	
	1.000	12/16/11	08/15/13	\$99.26	\$57.96	\$0.00	\$41.30	\$0.00	
	104.000	01/26/12	08/15/13	\$10,322.85	\$6,615.20	\$0.00	\$3,707.65	\$0.00	
	12.000	02/28/12	08/15/13	\$1,191.10	\$799.44	\$0.00	\$391.66	\$0.00	
	65.000	03/05/12	08/15/13	\$6,451.77	\$4,306.90	\$0.00	\$2,144.87	\$0.00	
Security Subtotal	266.000			\$26,052.78	\$16,882.76	\$0.00	\$9,170.02	\$0.00	
GNC HOLDINGS, INC COM CL A									
	68.000	02/14/12	06/25/13	\$3,121.15	\$2,035.86	\$0.00	\$1,085.29	\$0.00	
	27.000	02/14/12	06/25/13	\$1,239.28	\$807.22	\$0.00	\$432.06	\$0.00	
	51.000	02/14/12	12/05/13	\$2,988.04	\$1,524.75	\$0.00	\$1,463.29	\$0.00	
	36.000	02/21/12	12/05/13	\$2,109.20	\$1,179.29	\$0.00	\$929.91	\$0.00	
Security Subtotal	182.000			\$9,457.67	\$5,547.12	\$0.00	\$3,910.55	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number 32-0338339

Account Number 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GOOGLE INC-CL A									
	CUSIP: 38259P508	Symbol (Box 1d): GOOG							
	2 000	08/11/11	06/25/13	\$1,739.19	\$1,111.04	\$0.00	\$628.15	\$0.00	
	1 000	08/11/11	06/25/13	\$869.59	\$555.52	\$0.00	\$314.07	\$0.00	
	3 000	08/16/11	06/25/13	\$2,608.78	\$1,640.37	\$0.00	\$968.41	\$0.00	
	1 000	08/16/11	12/05/13	\$1,055.69	\$546.79	\$0.00	\$508.90	\$0.00	
	2 000	10/11/11	12/05/13	\$2,111.38	\$1,088.61	\$0.00	\$1,022.77	\$0.00	
	1 000	11/28/11	12/05/13	\$1,055.69	\$587.97	\$0.00	\$467.72	\$0.00	
	2 000	01/26/12	12/05/13	\$2,111.38	\$1,142.36	\$0.00	\$969.02	\$0.00	
Security Subtotal	12 000			\$11,551.70	\$6,672.66	\$0.00	\$4,879.04	\$0.00	
ILLUMINA INC									
	CUSIP: 452327109	Symbol (Box 1d): ILMN							
	45 000	05/06/11	06/25/13	\$3,328.59	\$3,182.40	\$0.00	\$146.19	\$0.00	
	12 000	05/06/11	12/05/13	\$1,169.86	\$848.64	\$0.00	\$321.22	\$0.00	
	24 000	08/16/11	12/05/13	\$2,339.71	\$1,278.96	\$0.00	\$1,060.75	\$0.00	
Security Subtotal	81 000			\$6,838.16	\$5,310.00	\$0.00	\$1,528.16	\$0.00	
INTUIT INC									
	CUSIP: 461202103	Symbol (Box 1d): INTU							
	27 000	01/26/12	06/25/13	\$1,587.03	\$1,552.93	\$0.00	\$34.10	\$0.00	
	24 000	01/26/12	12/05/13	\$1,775.48	\$1,380.38	\$0.00	\$395.10	\$0.00	
Security Subtotal	51 000			\$3,362.51	\$2,933.31	\$0.00	\$429.20	\$0.00	
MASTERCARD INC CL A									
	CUSIP: 57636Q104	Symbol (Box 1d): MA							
	1 000	03/05/12	04/15/13	\$530.66	\$417.26	\$0.00	\$113.40	\$0.00	
	2 000	03/05/12	05/01/13	\$1,082.28	\$834.52	\$0.00	\$247.76	\$0.00	
	7 000	05/06/11	06/25/13	\$3,959.93	\$1,931.23	\$0.00	\$2,028.70	\$0.00	

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number: 308 113287 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MASTERCARD INC CL A	4.000	05/06/11	12/05/13	\$3,008.14	\$1,103.56	\$0.00	\$1,904.58	\$0.00	
	1.000	08/16/11	12/05/13	\$752.04	\$335.24	\$0.00	\$416.80	\$0.00	
Security Subtotal	15.000			\$9,333.05	\$4,621.81	\$0.00	\$4,711.24	\$0.00	
STARBUCKS CORP WASHINGTON	74.000	05/06/11	06/25/13	\$4,812.87	\$2,751.07	\$0.00	\$2,061.80	\$0.00	
	2.000	05/06/11	12/05/13	\$159.68	\$74.35	\$0.00	\$85.33	\$0.00	
	16.000	08/11/11	12/05/13	\$1,277.42	\$599.09	\$0.00	\$678.33	\$0.00	
	15.000	08/11/11	12/05/13	\$1,197.58	\$561.65	\$0.00	\$635.93	\$0.00	
	4.000	08/11/11	12/05/13	\$319.35	\$149.77	\$0.00	\$169.58	\$0.00	
	27.000	08/16/11	12/05/13	\$2,155.64	\$1,023.57	\$0.00	\$1,132.07	\$0.00	
Security Subtotal	138.000			\$9,922.54	\$5,159.50	\$0.00	\$4,763.04	\$0.00	
T ROWE PRICE GROUP INC	24.000	11/30/11	06/25/13	\$1,735.64	\$1,341.58	\$0.00	\$394.06	\$0.00	
	1.000	11/30/11	06/25/13	\$72.32	\$55.90	\$0.00	\$16.42	\$0.00	
	4.000	02/28/12	08/15/13	\$290.11	\$246.56	\$0.00	\$43.55	\$0.00	
	1.000	04/25/12	08/15/13	\$72.53	\$63.12	\$0.00	\$9.41	\$0.00	
	32.000	05/02/12	08/15/13	\$2,320.84	\$1,989.76	\$0.00	\$331.08	\$0.00	
	16.000	11/30/11	12/05/13	\$1,257.57	\$894.39	\$0.00	\$363.18	\$0.00	
	2.000	11/30/11	12/05/13	\$157.20	\$111.80	\$0.00	\$45.40	\$0.00	
Security Subtotal	80.000			\$5,906.21	\$4,703.11	\$0.00	\$1,203.10	\$0.00	
TIFFANY & COMPANY NEW	18.000	10/06/11	06/25/13	\$1,299.04	\$1,187.14	\$0.00	\$111.90	\$0.00	
	13.000	10/06/11	06/25/13	\$938.19	\$857.38	\$0.00	\$80.81	\$0.00	
	1.000	10/06/11	12/05/13	\$89.72	\$65.95	\$0.00	\$23.77	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TIFFANY & COMPANY NEW	2 000	12/16/11	12/05/13	\$179.44	\$127.69	\$0.00	\$51.75	\$0.00	
	23,000	01/26/12	12/05/13	\$2,063.51	\$1,461.88	\$0.00	\$601.63	\$0.00	
Security Subtotal	57,000			\$4,569.90	\$3,700.04	\$0.00	\$869.86	\$0.00	
UNION PACIFIC CORP									
	8,000	01/26/12	05/01/13	\$1,176.10	\$914.79	\$0.00	\$261.31	\$0.00	
	12,000	01/26/12	06/25/13	\$1,828.28	\$1,372.19	\$0.00	\$456.09	\$0.00	
	52,000	01/26/12	10/30/13	\$7,824.82	\$5,946.15	\$0.00	\$1,878.67	\$0.00	
	17,000	02/28/12	10/30/13	\$2,558.11	\$1,897.88	\$0.00	\$660.23	\$0.00	
	70,000	03/05/12	10/30/13	\$10,533.41	\$7,646.80	\$0.00	\$2,886.61	\$0.00	
	20,000	04/25/12	10/30/13	\$3,009.55	\$2,267.78	\$0.00	\$741.77	\$0.00	
Security Subtotal	179,000			\$26,930.27	\$20,045.59	\$0.00	\$6,884.68	\$0.00	
V F CORPORATION									
	28,000	05/06/11	01/02/13	\$4,150.02	\$2,825.48	\$0.00	\$1,324.54	\$0.00	
	16,000	08/16/11	01/02/13	\$2,371.44	\$1,788.96	\$0.00	\$582.48	\$0.00	
	3,000	09/15/11	01/02/13	\$444.65	\$372.01	\$0.00	\$72.64	\$0.00	
	3,000	10/14/11	01/02/13	\$444.65	\$400.80	\$0.00	\$43.85	\$0.00	
	1,000	12/16/11	01/02/13	\$148.22	\$130.58	\$0.00	\$17.64	\$0.00	
Security Subtotal	51,000			\$7,558.98	\$5,517.83	\$0.00	\$2,041.15	\$0.00	
VISA INC CL A									
	3,000	02/28/12	04/15/13	\$491.95	\$354.39	\$0.00	\$137.56	\$0.00	
	6,000	02/28/12	05/01/13	\$1,000.81	\$708.78	\$0.00	\$292.03	\$0.00	
	1,000	03/05/12	05/01/13	\$166.80	\$116.36	\$0.00	\$50.44	\$0.00	
	16,000	05/06/11	06/25/13	\$2,883.94	\$1,267.84	\$0.00	\$1,616.10	\$0.00	
	3,000	05/06/11	12/05/13	\$606.02	\$237.72	\$0.00	\$368.30	\$0.00	

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VISA INC CL A	1.000	05/09/11	12/05/13	\$202.01	\$79.12	\$0.00	\$122.89	\$0.00	
	8.000	08/16/11	12/05/13	\$1,616.04	\$684.56	\$0.00	\$931.48	\$0.00	
Security Subtotal	38.000			\$6,967.57	\$3,448.77	\$0.00	\$3,518.80	\$0.00	
CUSIP: 949746101 Symbol (Box 1d): WFC									
WELLS FARGO & CO NEW	6.000	12/23/11	06/25/13	\$241.56	\$166.94	\$0.00	\$74.62	\$0.00	
	19.000	12/29/11	06/25/13	\$764.93	\$525.63	\$0.00	\$239.30	\$0.00	
	19.000	12/29/11	06/25/13	\$764.93	\$525.63	\$0.00	\$239.30	\$0.00	
	18.000	12/29/11	06/25/13	\$724.67	\$497.97	\$0.00	\$226.70	\$0.00	
	18.000	12/29/11	06/25/13	\$724.67	\$497.97	\$0.00	\$226.70	\$0.00	

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 113287 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WELLS FARGO & CO NEW	33.000	01/26/12	06/25/13	\$1,328.55	\$976.40	\$0.00	\$352.15	\$0.00	
	92.000	01/26/12	12/05/13	\$3,976.17	\$2,722.09	\$0.00	\$1,254.08	\$0.00	
Security Subtotal	205.000			\$8,525.48	\$5,912.63	\$0.00	\$2,612.85	\$0.00	
Total Long Term Noncovered Securities				\$229,545.40	\$160,444.79	\$0.00	\$69,100.61	\$0.00	
Total Long Term Covered and Noncovered Securities				\$261,931.21	\$184,964.47	\$0.00	\$76,966.74	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$541,843.57	\$470,583.46	\$981.81	\$71,197.67	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2a)				\$541,843.57					
Total Cost or Other Basis (Box 3)					\$229,168.79				
Total Wash Sale Loss Disallowed (Box 5)						\$981.81			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

CT The proceeds amount is the sales price of the bullion sold by the Trust to pay investment expenses. Proceeds from the sale of bullion are reported on Form 1099-B

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339

Account Number: 308 113668 744

Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACE LTD		CUSIP: H0023R105	Symbol (Box 1d): ACE					
	36 000	06/08/12	03/01/13	\$3,068.50	\$2,609.64	\$0.00	\$458.86	\$0.00
	15 000	07/10/12	03/01/13	\$1,278.53	\$1,085.25	\$0.00	\$193.28	\$0.00
Security Subtotal	51 000			\$4,347.03	\$3,694.89	\$0.00	\$652.14	\$0.00
BRISTOL MYERS SQUIBB CO		CUSIP: 110122108	Symbol (Box 1d): BMY					
	10 000	07/17/13	10/28/13	\$520.99	\$449.40	\$0.00	\$71.59	\$0.00
CENTURYLINK INC		CUSIP: 156700106	Symbol (Box 1d): CTL					
	51 000	06/08/12	03/01/13	\$1,772.96	\$1,898.73	\$0.00	(\$125.77)	\$0.00
	18 000	07/10/12	03/01/13	\$625.75	\$711.54	\$0.00	(\$85.79)	\$0.00
Security Subtotal	69 000			\$2,398.71	\$2,610.27	\$0.00	(\$211.56)	\$0.00
CLOROX CO DE		CUSIP: 189054109	Symbol (Box 1d): CLX					
	44 000	03/01/13	12/04/13	\$4,061.09	\$3,685.57	\$0.00	\$375.52	\$0.00
DU PONT EI DE NEMOURS & CO		CUSIP: 263534109	Symbol (Box 1d): DD					
	11 000	01/25/13	06/25/13	\$581.44	\$529.93	\$0.00	\$51.51	\$0.00
GENERAL ELECTRIC CO		CUSIP: 369604103	Symbol (Box 1d): GE					
	58 000	12/20/12	06/25/13	\$1,343.25	\$1,221.14	\$0.00	\$122.11	\$0.00
	348 000	12/20/12	12/04/13	\$9,138.88	\$7,326.82	\$0.00	\$1,812.06	\$0.00
	107 000	01/29/13	12/04/13	\$2,809.94	\$2,408.40	\$0.00	\$401.54	\$0.00
	267 000	02/25/13	12/04/13	\$7,011.72	\$6,154.91	\$0.00	\$856.81	\$0.00
	211 000	03/19/13	12/04/13	\$5,541.10	\$4,906.26	\$0.00	\$634.84	\$0.00
Security Subtotal	991 000			\$25,844.89	\$22,017.53	\$0.00	\$3,827.36	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 11 of 28

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JPMORGAN CHASE & CO		CUSIP: 46625H100	Symbol (Box 1d): JPM					
	76 000	01/30/13	10/30/13	\$4,017 60	\$3,585 58	\$0 00	\$432 02	\$0 00
LOCKHEED MARTIN CORP		CUSIP: 539830109	Symbol (Box 1d): LMT					
	38 000	04/24/13	09/10/13	\$4,765 26	\$3,720 85	\$0 00	\$1,044 41	\$0 00
MARSH & MCLENNAN COS INC		CUSIP: 571748102	Symbol (Box 1d): MMC					
	32 000	10/18/12	06/25/13	\$1,257 89	\$1,122 52	\$0 00	\$135 37	\$0 00
	34 000	02/25/13	11/12/13	\$1,577 50	\$1,221 81	\$0 00	\$355 69	\$0 00
	40 000	05/09/13	11/12/13	\$1,855 88	\$1,577 46	\$0 00	\$278 42	\$0 00
Security Subtotal	106,000			\$4,691.27	\$3,921.79	\$0.00	\$769.48	\$0.00
MEDTRONIC INC		CUSIP: 585055106	Symbol (Box 1d): MDT					
	84 000	06/08/12	02/21/13	\$3,760 98	\$3,131 52	\$0 00	\$629 46	\$0 00
	41 000	07/10/12	02/21/13	\$1,835 72	\$1,584 56	\$0 00	\$271 16	\$0 00
Security Subtotal	125,000			\$5,596.70	\$4,696.08	\$0.00	\$900.62	\$0.00
MERCK & CO INC NEW COM		CUSIP: 58933Y105	Symbol (Box 1d): MRK					
	6 000	07/17/13	07/25/13	\$286 00	\$291 84	\$0 00	(\$5 84)	\$0 00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol (Box 1d): NSRGY					
	9 000	02/08/13	06/25/13	\$576 70	\$629 05	\$0 00	(\$52 35)	\$0 00
RAYTHEON CO (NEW)		CUSIP: 755111507	Symbol (Box 1d): RTN					
	60 000	02/28/13	09/10/13	\$4,611 32	\$3,300 24	\$0 00	\$1,311 08	\$0 00
	60 000	02/28/13	10/28/13	\$4,871 50	\$3,300 24	\$0 00	\$1,571 26	\$0 00
Security Subtotal	120,000			\$9,482.82	\$6,600.48	\$0.00	\$2,882.34	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
STAPLES INC								
		CUSIP: 855030102		Symbol (Box 1d): SPLS				
	51 000	06/27/12	03/08/13	\$655 45	\$739 42	\$0 00	(\$83 97)	\$0 00
	919 000	06/27/12	03/08/13	\$11,810 99	\$11,656 04	\$0 00	\$154 95	\$0 00
	71 000	07/10/12	03/08/13	\$912 49	\$924 42	\$0 00	(\$11 93)	\$0 00
	204 000	08/14/12	03/08/13	\$2,621 81	\$2,744 76	\$0 00	(\$122 95)	\$0 00
	264 000	12/27/12	03/08/13	\$3,392 93	\$2,931 51	\$0 00	\$461 42	\$0 00
	210 000	03/01/13	03/08/13	\$2,698 93	\$2,753 06	\$0 00	(\$54 13)	\$0 00
Security Subtotal	1,719,000			\$22,092.60	\$21,749.21	\$0.00	\$343.39	\$0.00
TARGET CORPORATION								
		CUSIP: 87612E106		Symbol (Box 1d): TGT				
	30 000	11/29/12	10/30/13	\$1,925 58	\$1,879 89	\$0 00	\$45 69	\$0 00
	18 000	03/01/13	10/30/13	\$1,155 35	\$1,154 52	\$0 00	\$0 83	\$0 00
Security Subtotal	48,000			\$3,080.93	\$3,034.41	\$0.00	\$46.52	\$0.00
TRAVELERS COMPANIES INC COM								
		CUSIP: 89417E109		Symbol (Box 1d): TRV				
	42 000	06/08/12	01/30/13	\$3,261 28	\$2,604 00	\$0 00	\$657 28	\$0 00
	20 000	07/10/12	01/30/13	\$1,552 99	\$1,254 60	\$0 00	\$298 39	\$0 00
Security Subtotal	62,000			\$4,814.27	\$3,858.60	\$0.00	\$955.67	\$0.00
UNITED PARCEL SERVICE INC CL-B								
		CUSIP: 911312106		Symbol (Box 1d): UPS				
	12 000	06/28/12	06/25/13	\$1,030 54	\$917 64	\$0 00	\$112 90	\$0 00
UNITED TECHNOLOGIES CORP								
		CUSIP: 913017109		Symbol (Box 1d): UTX				
	1 000	03/01/13	10/25/13	\$107 20	\$90 27	\$0 00	\$16 93	\$0 00
	48 000	03/19/13	10/25/13	\$5,145 70	\$4,454 19	\$0 00	\$691 51	\$0 00
	36 000	03/01/13	10/30/13	\$3,847 14	\$3,249 80	\$0 00	\$597 34	\$0 00
Security Subtotal	85,000			\$9,100.04	\$7,794.26	\$0.00	\$1,305.78	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 13 of 28

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 931142103 Symbol (Box 1d): WMT								
	1,000	06/08/12	02/08/13	\$71.53	\$67.99	\$0.00	\$3.54	\$0.00
	24,000	06/27/12	02/08/13	\$1,716.69	\$1,647.56	\$0.00	\$69.13	\$0.00
Security Subtotal	25,000			\$1,788.22	\$1,715.55	\$0.00	\$72.67	\$0.00
CUSIP: 931422109 Symbol (Box 1d): WAG								
	45,000	06/27/12	06/25/13	\$1,993.46	\$1,326.06	\$0.00	\$667.40	\$0.00
	33,000	03/01/13	07/17/13	\$1,641.18	\$1,355.30	\$0.00	\$285.88	\$0.00
Security Subtotal	78,000			\$3,634.64	\$2,681.36	\$0.00	\$953.28	\$0.00
Total Short Term Covered Securities				\$112,711.74	\$98,184.29	\$0.00	\$14,527.45	\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number 26-4310632

JEE H. CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABBOTT LABORATORIES									
		CUSIP: 002824100		Symbol (Box 1d): ABT					
	131 000	01/26/12	01/07/13	\$4,343.43	\$3,449.20	\$0.00	\$0.00	\$894.23	\$0.00
	14 000	02/28/12	01/07/13	\$464.18	\$382.20	\$0.00	\$0.00	\$81.98	\$0.00
	72 000	03/05/12	01/07/13	\$2,387.23	\$1,978.51	\$0.00	\$0.00	\$408.72	\$0.00
	38 000	04/25/12	01/07/13	\$1,259.92	\$1,118.89	\$0.00	\$0.00	\$141.03	\$0.00
Security Subtotal	255.000			\$8,454.76	\$6,928.80	\$0.00	\$0.00	\$1,525.96	\$0.00
ABBVIE INC COM									
		CUSIP: 00287Y109		Symbol (Box 1d): ABBV					
	131 000	01/26/12	01/07/13	\$4,505.52	\$3,740.37	\$0.00	\$0.00	\$765.15	\$0.00
	14 000	02/28/12	01/07/13	\$481.51	\$414.47	\$0.00	\$0.00	\$67.04	\$0.00
	72 000	03/05/12	01/07/13	\$2,476.32	\$2,145.53	\$0.00	\$0.00	\$330.79	\$0.00
	38 000	04/25/12	01/07/13	\$1,306.94	\$1,213.34	\$0.00	\$0.00	\$93.60	\$0.00
Security Subtotal	255.000			\$8,770.29	\$7,513.71	\$0.00	\$0.00	\$1,256.58	\$0.00
ACE LTD									
		CUSIP: H0023R105		Symbol (Box 1d): ACE					
	69 000	04/04/12	03/01/13	\$5,881.29	\$5,057.74	\$0.00	\$0.00	\$823.55	\$0.00
	67 000	04/04/12	03/01/13	\$5,710.82	\$4,911.13	\$0.00	\$0.00	\$799.69	\$0.00
	8 000	04/25/12	03/01/13	\$681.89	\$602.75	\$0.00	\$0.00	\$79.14	\$0.00
Security Subtotal	144.000			\$12,274.00	\$10,571.62	\$0.00	\$0.00	\$1,702.38	\$0.00
AFLAC INCORPORATED									
		CUSIP: 001055102		Symbol (Box 1d): AFL					
	17 000	03/05/12	02/08/13	\$852.33	\$800.32	\$0.00	\$0.00	\$52.01	\$0.00
CENTURYLINK INC									
		CUSIP: 156700106		Symbol (Box 1d): CTL					
	68 000	03/05/12	03/01/13	\$2,363.95	\$2,627.94	\$0.00	\$0.00	(\$263.99)	\$0.00
	32 000	04/25/12	03/01/13	\$1,112.45	\$1,215.92	\$0.00	\$0.00	(\$103.47)	\$0.00
Security Subtotal	100.000			\$3,476.40	\$3,843.86	\$0.00	\$0.00	(\$367.46)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COMP DE BEB AMBEV SP ADR	CUSIP: 20441W203	Symbol (Box 1d): ABVAZ						
	0.000	03/28/13	03/28/13	\$0.03	\$0.00	\$0.00	\$0.03	\$0.00
MEDTRONIC INC	CUSIP: 585055106	Symbol (Box 1d): MDT						
	30.000	02/28/12	02/21/13	\$1,343.21	\$1,161.71	\$0.00	\$181.50	\$0.00
	90.000	03/05/12	02/21/13	\$4,029.62	\$3,397.89	\$0.00	\$631.73	\$0.00
	51.000	04/25/12	02/21/13	\$2,283.45	\$1,896.88	\$0.00	\$386.57	\$0.00
Security Subtotal	171.000			\$7,656.28	\$6,456.48	\$0.00	\$1,199.80	\$0.00
TRAVELERS COMPANIES INC COM	CUSIP: 89417E109	Symbol (Box 1d): TRV						
	11.000	04/25/12	01/30/13	\$854.14	\$700.14	\$0.00	\$154.00	\$0.00
	42.000	03/05/12	03/01/13	\$3,349.20	\$2,428.68	\$0.00	\$920.52	\$0.00
Security Subtotal	53.000			\$4,203.34	\$3,128.82	\$0.00	\$1,074.52	\$0.00
Total Short Term Noncovered Securities				\$45,687.43	\$39,243.61	\$0.00	\$6,443.82	\$0.00
Total Short Term Covered and Noncovered Securities				\$158,399.17	\$137,427.90	\$0.00	\$20,971.27	\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H. CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 113688 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COLOROX CO DE		CUSIP: 189054109	Symbol (Box 1d): CLX					
	8 000	06/08/12	12/04/13	\$738 38	\$573 36	\$0 00	\$165 02	\$0 00
	10 000	06/27/12	12/04/13	\$922 98	\$711 86	\$0 00	\$211 12	\$0 00
	9 000	07/10/12	12/04/13	\$830 68	\$651 69	\$0 00	\$178 99	\$0 00
Security Subtotal	27 000			\$2 492 04	\$1 936 91	\$0 00	\$555 13	\$0 00
JPMORGAN CHASE & CO		CUSIP: 46625H100	Symbol (Box 1d): JPM					
	217 000	06/08/12	10/30/13	\$11 471 29	\$7 281 04	\$0 00	\$4 190 25	\$0 00
	14 000	07/10/12	10/30/13	\$740 08	\$479 08	\$0 00	\$261 00	\$0 00
Security Subtotal	231 000			\$12 211 37	\$7 760 12	\$0 00	\$4 451 25	\$0 00
LEGGETT & PLATT INC		CUSIP: 524660107	Symbol (Box 1d): LEG					
	98 000	06/08/12	08/13/13	\$3 011 14	\$2 031 54	\$0 00	\$979 60	\$0 00
	29 000	06/27/12	08/13/13	\$891 05	\$580 51	\$0 00	\$310 54	\$0 00
	30 000	07/10/12	08/13/13	\$921 79	\$630 00	\$0 00	\$291 79	\$0 00
Security Subtotal	157 000			\$4 823 98	\$3 242 05	\$0 00	\$1 581 93	\$0 00
MERCK & CO INC NEW COM		CUSIP: 58933Y105	Symbol (Box 1d): MRK					
	1 000	06/27/12	07/25/13	\$47 67	\$40 47	\$0 00	\$7 20	\$0 00
	19 000	07/10/12	07/25/13	\$905 68	\$795 72	\$0 00	\$109 96	\$0 00
	92 000	06/08/12	11/25/13	\$4 534 65	\$3 536 48	\$0 00	\$998 17	\$0 00
Security Subtotal	112 000			\$5 488 00	\$4 372 67	\$0 00	\$1 115 33	\$0 00
RAYTHEON CO (NEW)		CUSIP: 755111507	Symbol (Box 1d): RTN					
	8 000	07/10/12	09/10/13	\$614 84	\$453 04	\$0 00	\$161 80	\$0 00
TARGET CORPORATION		CUSIP: 87612E106	Symbol (Box 1d): TGT					
	35 000	08/21/12	10/30/13	\$2 246 52	\$2 244 97	\$0 00	\$1 55	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 17 of 28

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

THE CHU FAMILY FOUNDATION, INC
JEE H CHU

CHUNG K. CHU

662 GARDEN CIRCLE
STATAM GA 30666-2535

New York, NY 10004
Identification Number

26-4310632

Taxpayer ID Number

32-0338339
Account Number: 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WALGREEN CO	10.000	06/27/12	12/05/13	\$561.39	\$294.68	\$0.00	\$266.71	\$0.00
CUSIP: 931422109 Symbol (Box 1d): WAG								
Total Long Term Covered Securities				\$28,438.14	\$20,304.44	\$0.00	\$8,133.70	\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number
26-4310632Taxpayer ID Number
32-0338339
Account Number
308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABBOTT LABORATORIES								
		CUSIP: 002824100	Symbol (Box 1d): ABB					
	40,000	05/06/11	01/07/13	\$1,326.24	\$1,012.35	\$0.00	\$313.89	\$0.00
	29,000	08/16/11	01/07/13	\$961.52	\$689.39	\$0.00	\$272.13	\$0.00
	3,000	08/31/11	01/07/13	\$99.47	\$75.13	\$0.00	\$24.34	\$0.00
	1,000	10/03/11	01/07/13	\$33.16	\$24.15	\$0.00	\$9.01	\$0.00
Security Subtotal	73,000			\$2,420.39	\$1,801.02	\$0.00	\$619.37	\$0.00
ABBVIE INC COM								
		CUSIP: 00287Y109	Symbol (Box 1d): ABBV					
	40,000	05/06/11	01/07/13	\$1,375.73	\$1,097.82	\$0.00	\$277.91	\$0.00
	29,000	08/16/11	01/07/13	\$997.41	\$747.59	\$0.00	\$249.82	\$0.00
	3,000	08/31/11	01/07/13	\$103.18	\$81.47	\$0.00	\$21.71	\$0.00
	1,000	10/03/11	01/07/13	\$34.39	\$26.18	\$0.00	\$8.21	\$0.00
Security Subtotal	73,000			\$2,510.71	\$1,953.06	\$0.00	\$557.65	\$0.00
AFLAC INCORPORATED								
		CUSIP: 001055102	Symbol (Box 1d): AFL					
	65,000	01/26/12	02/08/13	\$3,258.90	\$3,162.58	\$0.00	\$96.32	\$0.00
	26,000	10/03/11	06/25/13	\$1,472.35	\$880.29	\$0.00	\$592.06	\$0.00
	9,000	10/03/11	12/05/13	\$591.46	\$304.72	\$0.00	\$286.74	\$0.00
Security Subtotal	100,000			\$5,322.71	\$4,347.59	\$0.00	\$975.12	\$0.00
ALERIAN MLP ETF								
		CUSIP: 00162Q866	Symbol (Box 1d): AMLP					
	116,000	05/06/11	06/25/13	\$2,014.29	\$1,714.15	\$0.00	\$300.14	\$0.00
	43,000	05/06/11	12/05/13	\$740.87	\$612.07	\$0.00	\$128.80	\$0.00
Security Subtotal	159,000			\$2,755.16	\$2,326.22	\$0.00	\$428.94	\$0.00
ALTRIA GROUP INC								
		CUSIP: 02209S103	Symbol (Box 1d): MO					
	15,000	01/26/12	06/25/13	\$530.24	\$431.62	\$0.00	\$98.62	\$0.00
	12,000	01/26/12	12/05/13	\$444.11	\$345.29	\$0.00	\$98.82	\$0.00
Security Subtotal	27,000			\$974.35	\$776.91	\$0.00	\$197.44	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 19 of 28

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number
26-4310632Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AT&T INC	CUSIP: 00206R102	Symbol (Box 1d): T						
	25.000	05/06/11	06/25/13	\$874.23	\$787.34	\$0.00	\$86.89	\$0.00
AUTOMATIC DATA PROCESSING INC	CUSIP: 053015103	Symbol (Box 1d): ADP						
	14.000	05/06/11	06/25/13	\$961.50	\$755.22	\$0.00	\$206.28	\$0.00
	11.000	08/16/11	06/25/13	\$755.47	\$523.48	\$0.00	\$231.99	\$0.00
	8.000	08/16/11	12/05/13	\$629.10	\$380.71	\$0.00	\$248.39	\$0.00
	1.000	11/15/11	12/05/13	\$78.64	\$52.55	\$0.00	\$26.09	\$0.00
Security Subtotal	34.000			\$2,424.71	\$1,711.96	\$0.00	\$712.75	\$0.00
BB & T CORP	CUSIP: 054937107	Symbol (Box 1d): BBT						
	22.000	01/25/12	06/25/13	\$740.94	\$610.19	\$0.00	\$130.75	\$0.00
	17.000	01/26/12	06/25/13	\$572.55	\$460.18	\$0.00	\$112.37	\$0.00
	11.000	01/26/12	12/05/13	\$379.82	\$297.76	\$0.00	\$82.06	\$0.00
Security Subtotal	50.000			\$1,693.31	\$1,368.13	\$0.00	\$325.18	\$0.00
BRISTOL MYERS SQUIBB CO	CUSIP: 110122108	Symbol (Box 1d): BMY						
	29.000	05/06/11	06/25/13	\$1,313.09	\$831.39	\$0.00	\$481.70	\$0.00
	70.000	03/05/12	10/28/13	\$3,646.94	\$2,299.33	\$0.00	\$1,347.61	\$0.00
	49.000	01/26/12	11/12/13	\$2,564.21	\$1,597.68	\$0.00	\$966.53	\$0.00
	46.000	03/05/12	11/12/13	\$2,407.21	\$1,510.99	\$0.00	\$896.22	\$0.00
Security Subtotal	194.000			\$9,931.45	\$6,239.39	\$0.00	\$3,692.06	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 156700106 Symbol (Box 1d): CTL								
	6 000	08/16/11	03/01/13	\$208 58	\$206 68	\$0 00	\$1 90	\$0 00
	118 000	01/26/12	03/01/13	\$4,102 14	\$4,422 63	\$0 00	(\$320 49)	\$0 00
	2 000	02/28/12	03/01/13	\$69 53	\$80 87	\$0 00	(\$11 34)	\$0 00
Security Subtotal	126 000			\$4,380 25	\$4,710 18	\$0 00	(\$329 93)	\$0 00
CUSIP: 166764100 Symbol (Box 1d): CVX								
	14 000	05/06/11	06/25/13	\$1,640 63	\$1,446 99	\$0 00	\$193 64	\$0 00
	6 000	05/06/11	12/05/13	\$725 86	\$620 14	\$0 00	\$105 72	\$0 00
Security Subtotal	20 000			\$2,366 49	\$2,067 13	\$0 00	\$299 36	\$0 00
CUSIP: 189054109 Symbol (Box 1d): CLX								
	10 000	01/26/12	06/25/13	\$818 48	\$691 23	\$0 00	\$127 25	\$0 00
	20 000	01/26/12	12/04/13	\$1,845 95	\$1,382 46	\$0 00	\$463 49	\$0 00
	12 000	02/28/12	12/04/13	\$1,107 57	\$810 75	\$0 00	\$296 82	\$0 00
	37 000	03/05/12	12/04/13	\$3,415 01	\$2,510 13	\$0 00	\$904 88	\$0 00
	11 000	04/25/12	12/04/13	\$1,015 27	\$768 24	\$0 00	\$247 03	\$0 00
Security Subtotal	90 000			\$8,202 28	\$6,162 81	\$0 00	\$2,039 47	\$0 00
CUSIP: 20441W203 Symbol (Box 1d): ABVAZ								
	13 000	08/31/11	06/25/13	\$446 93	\$456 63	\$0 00	(\$9 70)	\$0 00
CUSIP: 20825C104 Symbol (Box 1d): COP								
	10 000	01/26/12	06/25/13	\$597 28	\$536 79	\$0 00	\$60 49	\$0 00
CUSIP: 26441C204 Symbol (Box 1d): DUK								
	9 000	05/06/11	06/25/13	\$596 77	\$504 09	\$0 00	\$92 68	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H. CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

Taxpayer ID Number 32-0338339

Account Number 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GENERAL MILLS INC	17 000	08/16/11	06/25/13	\$822.95	\$619.33	\$0.00	\$203.62	\$0.00
INTEL CORP	66 000	05/06/11	06/25/13	\$1,560.87	\$1,541.01	\$0.00	\$19.86	\$0.00
JOHNSON & JOHNSON	17 000	08/16/11	06/25/13	\$1,444.20	\$1,090.89	\$0.00	\$353.31	\$0.00
	4 000	08/31/11	06/25/13	\$339.81	\$262.59	\$0.00	\$77.22	\$0.00
	1 000	10/03/11	06/25/13	\$84.95	\$62.39	\$0.00	\$22.56	\$0.00
	6 000	01/26/12	06/25/13	\$509.73	\$394.48	\$0.00	\$115.25	\$0.00
	8 000	01/26/12	12/05/13	\$743.02	\$525.98	\$0.00	\$217.04	\$0.00
Security Subtotal	36,000			\$3,121.71	\$2,336.33	\$0.00	\$785.38	\$0.00
JPMORGAN CHASE & CO	23 000	10/03/11	06/25/13	\$1,197.35	\$670.45	\$0.00	\$526.90	\$0.00
	16 000	10/03/11	10/30/13	\$845.81	\$466.40	\$0.00	\$379.41	\$0.00
	34,000	11/15/11	10/30/13	\$1,797.34	\$1,110.10	\$0.00	\$687.24	\$0.00
Security Subtotal	73,000			\$3,840.50	\$2,246.95	\$0.00	\$1,593.55	\$0.00
LEGGETT & PLATT INC	7 000	05/06/11	06/25/13	\$212.94	\$182.96	\$0.00	\$29.98	\$0.00
	3 000	07/05/11	06/25/13	\$91.26	\$74.28	\$0.00	\$16.98	\$0.00
	25 000	07/06/11	06/25/13	\$760.48	\$617.24	\$0.00	\$143.24	\$0.00
	4 000	01/26/12	07/03/13	\$123.59	\$91.98	\$0.00	\$31.61	\$0.00
	55 000	04/25/12	07/03/13	\$1,699.42	\$1,299.60	\$0.00	\$399.82	\$0.00
	137 000	01/26/12	07/25/13	\$4,272.48	\$3,150.17	\$0.00	\$1,122.31	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 113688 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LEGGETT & PLATT INC	59,000	08/16/11	08/13/13	\$1,812.83	\$1,202.56	\$0.00	\$610.27	\$0.00
	29,000	01/26/12	08/13/13	\$891.05	\$666.83	\$0.00	\$224.22	\$0.00
	34,000	02/28/12	08/13/13	\$1,044.68	\$776.50	\$0.00	\$268.18	\$0.00
	90,000	03/05/12	08/13/13	\$2,765.34	\$2,010.26	\$0.00	\$755.08	\$0.00
Security Subtotal	443,000			\$13,674.07	\$10,072.38	\$0.00	\$3,601.69	\$0.00
LOCKHEED MARTIN CORP								
	17,000	05/06/11	06/25/13	\$1,763.37	\$1,369.68	\$0.00	\$393.69	\$0.00
	1,000	08/16/11	06/25/13	\$103.73	\$70.70	\$0.00	\$33.03	\$0.00
Security Subtotal	18,000			\$1,867.10	\$1,440.38	\$0.00	\$426.72	\$0.00
MC DONALDS CORP								
	12,000	05/06/11	06/25/13	\$1,171.65	\$949.88	\$0.00	\$221.77	\$0.00
	6,000	08/16/11	06/25/13	\$585.83	\$517.83	\$0.00	\$68.00	\$0.00
	1,000	01/26/12	06/25/13	\$97.64	\$99.08	\$0.00	(\$1.44)	\$0.00
	5,000	01/26/12	12/05/13	\$476.39	\$495.39	\$0.00	(\$19.00)	\$0.00
Security Subtotal	24,000			\$2,331.51	\$2,062.18	\$0.00	\$269.33	\$0.00
MEDTRONIC INC								
	34,000	08/12/11	02/21/13	\$1,522.30	\$1,066.22	\$0.00	\$456.08	\$0.00
	33,000	08/16/11	02/21/13	\$1,477.53	\$1,053.69	\$0.00	\$423.84	\$0.00
	2,000	10/03/11	02/21/13	\$89.55	\$64.20	\$0.00	\$25.35	\$0.00
	20,000	12/22/11	02/21/13	\$895.47	\$743.84	\$0.00	\$151.63	\$0.00
	125,000	01/26/12	02/21/13	\$5,596.70	\$4,972.69	\$0.00	\$624.01	\$0.00
Security Subtotal	214,000			\$9,581.55	\$7,900.64	\$0.00	\$1,680.91	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number: 32-0338339
Account Number 308 113668 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MERCK & CO INC NEW COM								
	CUSIP: 58933Y105	Symbol (Box 1d): MRK						
	36 000	05/06/11	06/25/13	\$1,671.99	\$1,317.86	\$0.00	\$354.13	\$0.00
	78 000	01/26/12	07/25/13	\$3,718.04	\$3,014.29	\$0.00	\$703.75	\$0.00
	19 000	05/06/11	11/25/13	\$936.50	\$695.54	\$0.00	\$240.96	\$0.00
	3 000	07/05/11	11/25/13	\$147.87	\$106.80	\$0.00	\$41.07	\$0.00
	50 000	08/16/11	11/25/13	\$2,464.48	\$1,591.50	\$0.00	\$872.98	\$0.00
	2 000	10/03/11	11/25/13	\$98.58	\$63.68	\$0.00	\$34.90	\$0.00
	116 000	01/26/12	11/25/13	\$5,717.60	\$4,482.80	\$0.00	\$1,234.80	\$0.00
	28 000	02/28/12	11/25/13	\$1,380.11	\$1,073.18	\$0.00	\$306.93	\$0.00
	102 000	03/05/12	11/25/13	\$5,027.54	\$3,912.39	\$0.00	\$1,115.15	\$0.00
	71 000	04/25/12	11/25/13	\$3,499.56	\$2,726.90	\$0.00	\$772.66	\$0.00
Security Subtotal	505,000			\$24,662.27	\$18,984.94	\$0.00	\$5,677.33	\$0.00
MICROSOFT CORP								
	CUSIP: 594918104	Symbol (Box 1d): MSFT						
	50 000	08/09/11	06/25/13	\$1,680.97	\$1,232.40	\$0.00	\$448.57	\$0.00
	23 000	08/16/11	06/25/13	\$773.24	\$586.01	\$0.00	\$187.23	\$0.00
	26 000	08/16/11	12/05/13	\$983.82	\$662.45	\$0.00	\$321.37	\$0.00
Security Subtotal	99,000			\$3,438.03	\$2,480.86	\$0.00	\$957.17	\$0.00
PAYCHEX INC								
	CUSIP: 704326107	Symbol (Box 1d): PAYX						
	3 000	07/05/11	06/25/13	\$112.29	\$92.89	\$0.00	\$19.40	\$0.00
	7 000	07/06/11	06/25/13	\$262.01	\$218.82	\$0.00	\$43.19	\$0.00
	18 000	08/16/11	06/25/13	\$673.72	\$484.86	\$0.00	\$188.86	\$0.00
	10 000	08/16/11	12/05/13	\$426.39	\$269.37	\$0.00	\$157.02	\$0.00
Security Subtotal	38,000			\$1,474.41	\$1,065.94	\$0.00	\$408.47	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PEPSICO INC NC	CUSIP: 713448108	Symbol (Box 1d): PEP						
	22.000	01/26/12	06/25/13	\$1,761.50	\$1,467.38	\$0.00	\$294.12	\$0.00
PFIZER INC	CUSIP: 717081103	Symbol (Box 1d): PFE						
	70.000	05/06/11	06/25/13	\$1,958.56	\$1,432.00	\$0.00	\$526.56	\$0.00
	23.000	05/06/11	12/05/13	\$717.81	\$470.52	\$0.00	\$247.29	\$0.00
	1.000	05/18/11	12/05/13	\$31.21	\$21.11	\$0.00	\$10.10	\$0.00
Security Subtotal	94.000			\$2,707.58	\$1,923.63	\$0.00	\$783.95	\$0.00
PHILIP MORRIS INTL INC	CUSIP: 718172109	Symbol (Box 1d): PM						
	6.000	01/26/12	06/25/13	\$520.61	\$460.21	\$0.00	\$60.40	\$0.00
PROCTER & GAMBLE	CUSIP: 742718109	Symbol (Box 1d): PG						
	22.000	02/28/12	06/25/13	\$1,687.37	\$1,478.46	\$0.00	\$208.91	\$0.00
	8.000	02/28/12	12/05/13	\$661.10	\$537.62	\$0.00	\$123.48	\$0.00
Security Subtotal	30.000			\$2,348.47	\$2,016.08	\$0.00	\$332.39	\$0.00
RAYTHEON CO (NEW)	CUSIP: 755111507	Symbol (Box 1d): RTN						
	23.000	01/26/12	06/25/13	\$1,499.11	\$1,133.38	\$0.00	\$365.73	\$0.00
ROYAL DUTCH SHELL PLC	CUSIP: 780259206	Symbol (Box 1d): RDSA						
	21.000	08/16/11	06/25/13	\$1,328.22	\$1,371.47	\$0.00	(\$43.25)	\$0.00
	3.000	08/16/11	12/05/13	\$197.31	\$195.92	\$0.00	\$1.39	\$0.00
	1.000	10/03/11	12/05/13	\$65.77	\$60.00	\$0.00	\$5.77	\$0.00
	3.000	01/26/12	12/05/13	\$197.30	\$215.09	\$0.00	(\$17.79)	\$0.00
Security Subtotal	28.000			\$1,788.60	\$1,842.48	\$0.00	(\$53.88)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 25 of 28

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339

Account Number: 308 113668 744

Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC

JEE H. CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SYSCO CORP								
		CUSIP: 871829107	Symbol (Box 1d): SY					
	23.000	05/06/11	06/25/13	\$779.23	\$661.08	\$0.00	\$118.15	\$0.00
	9.000	08/16/11	06/25/13	\$304.91	\$250.92	\$0.00	\$53.99	\$0.00
Security Subtotal	32.000			\$1,084.14	\$912.00	\$0.00	\$172.14	\$0.00
TARGET CORPORATION								
		CUSIP: 87612E106	Symbol (Box 1d): TGT					
	12.000	01/25/12	06/25/13	\$829.30	\$611.98	\$0.00	\$217.32	\$0.00
	13.000	01/26/12	06/25/13	\$898.41	\$658.39	\$0.00	\$240.02	\$0.00
Security Subtotal	25.000			\$1,727.71	\$1,270.37	\$0.00	\$457.34	\$0.00
TRAVELERS COMPANIES INC COM								
		CUSIP: 89417E109	Symbol (Box 1d): TRV					
	7.000	01/26/12	01/30/13	\$543.55	\$414.60	\$0.00	\$128.95	\$0.00
	25.000	10/03/11	03/01/13	\$1,993.57	\$1,182.42	\$0.00	\$811.15	\$0.00
	17.000	11/15/11	03/01/13	\$1,355.63	\$978.83	\$0.00	\$376.80	\$0.00
	63.000	01/26/12	03/01/13	\$5,023.80	\$3,731.40	\$0.00	\$1,292.40	\$0.00
	15.000	02/28/12	03/01/13	\$1,196.14	\$879.18	\$0.00	\$316.96	\$0.00
Security Subtotal	127.000			\$10,112.69	\$7,186.43	\$0.00	\$2,926.26	\$0.00
UNITED TECHNOLOGIES CORP								
		CUSIP: 913017109	Symbol (Box 1d): UTX					
	13.000	08/12/11	06/25/13	\$1,190.26	\$931.62	\$0.00	\$258.64	\$0.00
	11.000	08/16/11	06/25/13	\$1,007.14	\$803.33	\$0.00	\$203.81	\$0.00
	3.000	02/28/12	10/30/13	\$320.60	\$250.99	\$0.00	\$69.61	\$0.00
	2.000	03/05/12	10/30/13	\$213.73	\$166.14	\$0.00	\$47.59	\$0.00
Security Subtotal	29.000			\$2,731.73	\$2,152.08	\$0.00	\$579.65	\$0.00
VERIZON COMMUNICATIONS								
		CUSIP: 92343V104	Symbol (Box 1d): VZ					
	21.000	05/06/11	06/25/13	\$1,055.02	\$785.55	\$0.00	\$269.47	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 113668 744

Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WAL MART STORES INC	CUSIP: 931142103	01/26/12	02/08/13	WMT	\$2,145.86	\$1,837.80	\$0.00	\$308.06	\$0.00
	30.000				\$816.40	\$584.08	\$0.00	\$232.32	\$0.00
	11.000	08/31/11	06/25/13		\$2,962.26	\$2,421.88	\$0.00	\$540.38	\$0.00
Security Subtotal	41.000								
WASTE MGMT INC (DELA)	CUSIP: 94106L109	08/16/11	06/25/13	WM	\$978.48	\$761.66	\$0.00	\$216.82	\$0.00
	25.000								

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 113668 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d): WFC	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WELLS FARGO & CO NEW									
	47,000	04/04/12	06/25/13	\$1,892.65	\$1,592.29	\$0.00	\$0.00	\$300.36	\$0.00
	14,000	04/04/12	12/05/13	\$605.34	\$474.30	\$0.00	\$0.00	\$131.04	\$0.00
Security Subtotal	61,000			\$2,497.99	\$2,066.59	\$0.00	\$0.00	\$431.40	\$0.00
Total Long Term Noncovered Securities				\$145,647.88	\$112,899.91	\$0.00	\$0.00	\$32,747.97	\$0.00
Total Long Term Covered and Noncovered Securities				\$174,086.02	\$133,204.35	\$0.00	\$0.00	\$40,881.67	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$332,485.19	\$270,632.25	\$0.00	\$0.00	\$61,852.94	\$0.00
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2a)				\$332,485.19					
Total Cost or Other Basis (Box 3)					\$118,488.73				
Total Wash Sale Loss Disallowed (Box 5)					\$0.00				
Total Fed Tax Withheld (Box 4)								\$0.00	

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 114110 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES CORE S&P TOTAL US STOC								
	CUSIP: 464287150	Symbol (Box 1d): ITOT						
147 000	12/30/12	04/01/13		\$10,469 10	\$9,757 37	\$0 00	\$711 73	\$0 00
3 000	12/31/12	04/01/13		\$213 66	\$194 60	\$0 00	\$19 06	\$0 00
46 000	02/12/13	04/01/13		\$3,276.04	\$3,192 40	\$0 00	\$83 64	\$0 00
1 000	04/10/13	04/15/13		\$70 77	\$72 45	\$0 00	(\$1 68)	\$0 00
200 000	04/10/13	04/15/13		\$14,139 61	\$14,474 95	\$335.34	(\$335.34)	\$0 00
12 000	04/18/13	06/25/13		\$871 18	\$883 94	\$0 00	(\$12 76)	\$0 00
188 000	04/18/13	10/03/13		\$14,456.94	\$13,848 38	\$0 00	\$608.56	\$0 00
597 000				\$43,497.30	\$42,424.09	\$335.34	\$1,073.21	\$0.00
Security Subtotal								
ISHARES RUSSELL 1000 ETF								
	CUSIP: 464287622	Symbol (Box 1d): IWB						
120 000	12/30/12	04/01/13		\$10,418 39	\$9,692 64	\$0 00	\$725.75	\$0 00
3 000	12/31/12	04/01/13		\$260 46	\$236 91	\$0 00	\$23 55	\$0 00
38 000	02/12/13	04/01/13		\$3,299.16	\$3,213 66	\$0 00	\$85 50	\$0 00
1 000	04/10/13	04/15/13		\$86 38	\$88 40	\$0 00	(\$2 02)	\$0 00
164 000	04/10/13	04/15/13		\$14,144 68	\$14,475 39	\$330.71	(\$330.71)	\$0 00
10 000	04/18/13	06/25/13		\$883 48	\$897 47	\$0 00	(\$13 99)	\$0 00
154 000	04/18/13	10/03/13		\$14,474 20	\$13,820.96	\$0 00	\$653.24	\$0 00
490 000				\$43,566.75	\$42,425.43	\$330.71	\$1,141.32	\$0.00
Security Subtotal								
S & P 500 INDEX FUND								
	CUSIP: 464287200	Symbol (Box 1d): IVV						
423 000	12/30/12	04/01/13		\$66,324 91	\$61,868 89	\$0 00	\$4,456 02	\$0 00
2 000	12/31/12	04/01/13		\$313 59	\$285 91	\$0 00	\$27 68	\$0 00
3 000	01/03/13	04/01/13		\$470 39	\$438 81	\$0 00	\$31 58	\$0 00
134 000	02/12/13	04/01/13		\$21,010 73	\$20,459 54	\$0 00	\$551.19	\$0 00
566 000	04/10/13	04/15/13		\$88,297 17	\$90,248 45	\$1,951 28	(\$1,951 28)	\$0 00
8 000	04/10/13	04/15/13		\$1,248 26	\$1,275 85	\$0 00	(\$27 59)	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 7 of 12

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU

CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Taxpayer ID Number 32-0338339
Account Number: 308 114110 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
S & P 500 INDEX FUND	33.000	04/18/13	06/25/13	\$5,281.22	\$5,350.19	\$0.00	(\$68.97)	\$0.00
	533.000	04/18/13	10/03/13	\$89,856.90	\$86,413.69	\$0.00	\$3,443.21	\$0.00
Security Subtotal	1,702.000			\$272,803.17	\$266,341.33	\$1,951.28	\$6,461.84	\$0.00
CUSIP: 78462F103 Symbol (Box 1d): SPY								
SPDR TRUST SERIES 1	3.000	12/30/12	04/01/13	\$468.08	\$429.59	\$0.00	\$38.49	\$0.00
	422.000	12/30/12	04/01/13	\$65,843.18	\$61,430.56	\$0.00	\$4,412.62	\$0.00
	2.000	12/31/12	04/01/13	\$312.05	\$284.38	\$0.00	\$27.67	\$0.00
	3.000	01/03/13	04/01/13	\$468.08	\$436.59	\$0.00	\$31.49	\$0.00
	134.000	02/12/13	04/01/13	\$20,907.55	\$20,355.94	\$0.00	\$551.61	\$0.00
	8.000	04/10/13	04/15/13	\$1,242.76	\$1,270.31	\$0.00	(\$27.55)	\$0.00
	569.000	04/10/13	04/15/13	\$88,357.56	\$90,316.90	\$1,959.34	(\$1,959.34)	\$0.00
	33.000	04/18/13	06/25/13	\$5,227.43	\$5,323.00	\$0.00	(\$95.57)	\$0.00
	536.000	04/18/13	10/03/13	\$89,779.07	\$86,458.46	\$0.00	\$3,320.61	\$0.00
Security Subtotal	1,710.000			\$272,605.76	\$266,305.73	\$1,959.34	\$6,300.03	\$0.00
CUSIP: 922908413 Symbol (Box 1d):								
VANGUARD S&P 500 ETF	923.000	12/30/12	04/01/13	\$65,928.41	\$61,137.01	\$0.00	\$4,791.40	\$0.00
	5.000	12/30/12	04/01/13	\$357.14	\$328.04	\$0.00	\$29.10	\$0.00
	5.000	12/31/12	04/01/13	\$357.14	\$325.65	\$0.00	\$31.49	\$0.00
	300.000	02/12/13	04/01/13	\$21,428.52	\$20,871.00	\$0.00	\$557.52	\$0.00
	19.000	04/10/13	04/15/13	\$1,351.48	\$1,380.91	\$0.00	(\$29.43)	\$0.00
	1,242.000	04/10/13	04/15/13	\$88,328.83	\$90,252.30	\$1,923.47	(\$1,923.47)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number 32-0338339

Account Number 308 114110 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD S&P 500 ETF	71.000	04/18/13	06/25/13	\$5,150.96	\$5,242.47	\$0.00	(\$91.51)	\$0.00
	1.171,000	04/18/13	10/03/13	\$89,897.27	\$86,463.81	\$0.00	\$3,433.46	\$0.00
Security Subtotal	3,736,000			\$272,799.75	\$266,001.19	\$1,923.47	\$6,798.56	\$0.00
Total Short Term Covered Securities				\$905,272.73	\$883,497.77	\$6,500.14	\$21,774.96	\$0.00

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 114110 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD INDEX FDS S&P 500 ETF	0.500	10/10/13	10/24/13	VOO	\$80.28	\$77.50	\$0.00	\$2.78	\$0.00
Total Short Term Noncovered Securities					\$80.28	\$77.50	\$0.00	\$2.78	\$0.00
Total Short Term Covered and Noncovered Securities					\$905,353.01	\$883,575.27	\$6,500.14	\$21,777.74	\$0.00
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2a)					\$905,353.01				
Total Cost or Other Basis (Box 3)						\$883,497.77			
Total Wash Sale Loss Disallowed (Box 5)						\$6,500.14			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 114137 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HARDING LOEVNER EMERG MKTS ADV								
		CUSIP: 412295305		Symbol (Box 1d): HLEMX				
	244,868	06/08/12	05/30/13	\$11,932.42	\$10,732.57	\$0.00	\$1,199.85	\$0.00
	42,601	07/10/12	05/30/13	\$2,075.95	\$1,929.84	\$0.00	\$146.11	\$0.00
	17,423	07/20/12	05/30/13	\$849.02	\$796.59	\$0.00	\$52.43	\$0.00
	8,047	12/20/12	05/30/13	\$392.13	\$387.15	\$0.00	\$4.98	\$0.00
	70,880	12/20/12	05/30/13	\$3,453.97	\$3,410.06	\$0.00	\$43.91	\$0.00
Security Subtotal	383,819			\$18,703.49	\$17,256.21	\$0.00	\$1,447.28	\$0.00
ISHARES MSCI EMERGING MKTS FD								
		CUSIP: 464287234		Symbol (Box 1d): EEM				
	68,000	07/10/12	06/10/13	\$2,732.19	\$2,625.48	\$0.00	\$106.71	\$0.00
	45,000	07/20/12	06/10/13	\$1,808.07	\$1,735.65	\$0.00	\$72.42	\$0.00
	82,000	05/30/13	06/10/13	\$3,294.70	\$3,458.78	\$0.00	(\$164.08)	\$0.00
Security Subtotal	195,000			\$7,834.96	\$7,819.91	\$0.00	\$15.05	\$0.00
Total Short Term Covered Securities				\$26,538.45	\$25,076.12	\$0.00	\$1,462.33	\$0.00

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 114137 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES MSCI EMERGING MKTS FD	287,000	06/08/12	06/10/13	\$11,531.46	\$10,876.15	\$0.00	\$655.31	\$0.00
Total Long Term Covered Securities				\$11,531.46	\$10,876.15	\$0.00	\$655.31	\$0.00

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 114137 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DELAWARE EMERGING MARKETS A								
		CUSIP: 245914841	Symbol (Box 1d): DEMAX					
	205.143	05/06/11	06/25/13	\$2,732.51	\$3,376.65	\$0.00	(\$644.14)	\$0.00
	105.244	08/16/11	06/25/13	\$1,401.85	\$1,453.42	\$0.00	(\$51.57)	\$0.00
Security Subtotal	310.387			\$4,134.36	\$4,830.07	\$0.00	(\$695.71)	\$0.00
FIRST EAGLE OVERSEAS I								
		CUSIP: 32008F200	Symbol (Box 1d): SGOIX					
	159.029	11/15/11	06/25/13	\$3,543.16	\$3,541.58	\$0.00	\$1.58	\$0.00
HARDING LOEVNER EMERG MKTS ADV								
		CUSIP: 412295305	Symbol (Box 1d): HLEMX					
	74.436	05/06/11	05/30/13	\$3,627.27	\$3,799.20	\$0.00	(\$171.93)	\$0.00
	63.086	08/16/11	05/30/13	\$3,074.18	\$2,895.00	\$0.00	\$179.18	\$0.00
	1.521	12/20/11	05/30/13	\$74.12	\$62.35	\$0.00	\$11.77	\$0.00
	303.429	01/27/12	05/30/13	\$14,786.10	\$14,070.00	\$0.00	\$716.10	\$0.00
	63.262	02/28/12	05/30/13	\$3,082.76	\$3,072.00	\$0.00	\$10.76	\$0.00
	159.702	03/05/12	05/30/13	\$7,782.28	\$7,728.00	\$0.00	\$54.28	\$0.00
	112.887	04/09/12	05/30/13	\$5,500.98	\$5,475.00	\$0.00	\$25.98	\$0.00
Security Subtotal	778.323			\$37,927.69	\$37,101.55	\$0.00	\$826.14	\$0.00
HARDING LOEVNER INTL EQTY INST								
		CUSIP: 412295107	Symbol (Box 1d): HLMIX					
	382.129	05/06/11	06/25/13	\$5,968.85	\$6,088.45	\$0.00	(\$119.60)	\$0.00
	77.198	08/16/11	06/25/13	\$1,205.83	\$1,105.13	\$0.00	\$100.70	\$0.00
Security Subtotal	459.327			\$7,174.68	\$7,193.58	\$0.00	(\$18.90)	\$0.00
ISHARES MSCI EMERGING MKTS FD								
		CUSIP: 464287234	Symbol (Box 1d): EEM					
	68.942	05/06/11	06/10/13	\$2,770.04	\$3,346.29	\$0.00	(\$576.25)	\$0.00
	1.241	06/29/11	06/10/13	\$49.86	\$58.08	\$0.00	(\$8.22)	\$0.00
	76.000	08/16/11	06/10/13	\$3,053.63	\$3,161.60	\$0.00	(\$107.97)	\$0.00
	1.816	12/30/11	06/10/13	\$72.97	\$69.13	\$0.00	\$3.84	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 114137 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES MSCI EMERGING MKTS	329,000	01/27/12	06/10/13	\$13,218.99	\$13,929.27	\$0.00	(\$710.28)	\$0.00
	65,000	02/28/12	06/10/13	\$2,611.65	\$2,879.34	\$0.00	(\$267.69)	\$0.00
	192,000	03/05/12	06/10/13	\$7,714.42	\$8,420.41	\$0.00	(\$705.99)	\$0.00
	163,001	04/09/12	06/10/13	\$6,549.27	\$6,870.12	\$0.00	(\$320.85)	\$0.00
Security Subtotal	897,000			\$36,040.83	\$38,734.24	\$0.00	(\$2,693.41)	\$0.00
CUSIP: 77956H823 Symbol (Box 1d): PAITX								
T ROWE PRICE INTL STOCK ADV	197,291	11/15/11	06/25/13	\$2,771.94	\$2,545.05	\$0.00	\$226.89	\$0.00
	221,193	01/27/12	06/25/13	\$3,107.76	\$2,939.65	\$0.00	\$168.11	\$0.00
Security Subtotal	418,484			\$5,879.70	\$5,484.70	\$0.00	\$395.00	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 114137 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
THORNBURG INTL VALUE I	125 085	11/15/11	06/25/13	\$3,423.59	\$3,185.92	\$0.00	\$237.67	\$0.00
Total Long Term Noncovered Securities				\$98,124.01	\$100,071.64	\$0.00	(\$1,947.63)	\$0.00
Total Long Term Covered and Noncovered Securities				\$109,655.47	\$110,947.79	\$0.00	(\$1,292.32)	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$136,193.92	\$136,023.91	\$0.00	\$170.01	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$136,193.92				
Total Cost or Other Basis (Box 3)					\$35,952.27			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 165908 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 72201M438 Symbol (Box 1d): PAUPX								
PIMCO ALL ASSET ALL AUTH P	1,041 389	06/08/12	06/03/13	\$11,184 52	\$10,747 12	\$0 00	\$437 40	\$0 00
	48 280	06/21/12	06/03/13	\$518 53	\$499 70	\$0 00	\$18 83	\$0 00
	595 121	07/10/12	06/03/13	\$6,391 60	\$6,308 28	\$0 00	\$83 32	\$0 00
	78 137	09/20/12	06/03/13	\$839 19	\$871 23	\$0 00	(\$32 04)	\$0 00
	290 012	12/27/12	06/03/13	\$3,114 73	\$3,242 33	\$0 00	(\$127 60)	\$0 00
	74 077	12/31/12	06/03/13	\$795 59	\$821 51	\$0 00	(\$25 92)	\$0 00
	3,042 338	02/12/13	06/03/13	\$32,674 71	\$33,709 07	\$0 00	(\$1,034 36)	\$0 00
	106 703	03/21/13	06/03/13	\$1,145 99	\$1,166 26	\$0 00	(\$20 27)	\$0 00
	361 118	04/03/13	06/03/13	\$3,878 40	\$3,954 24	\$0 00	(\$75 84)	\$0 00
Security Subtotal	5,637,175			\$60,543.26	\$61,319.74	\$0.00	(\$776.48)	\$0.00
Total Short Term Covered Securities				\$60,543.26	\$61,319.74	\$0.00	(\$776.48)	\$0.00

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 165908 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 72201M438 Symbol (Box 1d): PAUPX								
PIMCO ALL ASSET ALL AUTH P	2,952.560	01/27/12	06/03/13	\$31,710.49	\$31,179.03	\$0.00	\$531.46	\$0.00
	639.649	02/28/12	06/03/13	\$6,869.83	\$6,921.00	\$0.00	(\$51.17)	\$0.00
	1,189.322	03/05/12	06/03/13	\$12,773.32	\$12,809.00	\$0.00	(\$35.68)	\$0.00
	1,335.940	03/19/12	06/03/13	\$14,348.00	\$14,348.00	\$0.00	\$0.00	\$0.00
	58.308	03/22/12	06/03/13	\$626.23	\$616.90	\$0.00	\$9.33	\$0.00
	1,504.784	04/25/12	06/03/13	\$16,161.38	\$16,041.00	\$0.00	\$120.38	\$0.00
Security Subtotal	7,680.563			\$82,489.25	\$81,914.93	\$0.00	\$574.32	\$0.00
Total Long Term Covered Securities				\$82,489.25	\$81,914.93	\$0.00	\$574.32	\$0.00

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 165908 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATAM GA 30866-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BLACKROCK GLOBAL ALLOCATION I								
		CUSIP: 09251T509 Symbol (Box 1d): MALOX						
	295.411	05/06/11	06/28/13	\$6,117.96	\$6,020.48	\$0.00	\$97.48	\$0.00
	4.555	07/22/11	06/28/13	\$94.33	\$92.69	\$0.00	\$1.64	\$0.00
	193.530	08/16/11	06/28/13	\$4,008.01	\$3,711.90	\$0.00	\$296.11	\$0.00
Security Subtotal	493.496			\$10,220.30	\$9,825.07	\$0.00	\$395.23	\$0.00
FIRST EAGLE GLOBAL I								
		CUSIP: 32008F606 Symbol (Box 1d): SGILX						
	121.491	05/06/11	06/28/13	\$6,189.95	\$5,951.83	\$0.00	\$238.12	\$0.00
	43.385	08/16/11	06/28/13	\$2,210.46	\$2,015.23	\$0.00	\$195.23	\$0.00
Security Subtotal	164.876			\$8,400.41	\$7,967.06	\$0.00	\$433.35	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number 308 165908 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
IVY ASSET STRATEGY I CUSIP: 466001864 Symbol (Box 1d): IVAEX								
	227.846	05/06/11	06/28/13	\$6,151.84	\$5,999.19	\$0.00	\$152.65	\$0.00
	85.725	08/16/11	06/28/13	\$2,314.57	\$2,117.41	\$0.00	\$197.16	\$0.00
Security Subtotal	313.571			\$8,466.41	\$8,116.60	\$0.00	\$349.81	\$0.00
Total Long Term Noncovered Securities				\$27,087.12	\$25,908.73	\$0.00	\$1,178.39	\$0.00
Total Long Term Covered and Noncovered Securities				\$109,576.37	\$107,823.66	\$0.00	\$1,752.71	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$170,119.63	\$169,143.40	\$0.00	\$976.23	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$170,119.63				
Total Cost or Other Basis (Box 3)					\$143,234.67			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

JEE H. CHU

CHUNG K CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 165910 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES JP MORGAN EM BOND FD								
		CUSIP: 464288281		Symbol (Box 1d): EMB				
	45.000	02/28/12	02/28/13	\$5,364.15	\$5,092.47	\$0.00	\$271.68	\$0.00
	71.000	03/05/12	02/28/13	\$8,463.44	\$8,066.87	\$0.00	\$396.57	\$0.00
	47.000	04/25/12	02/28/13	\$5,602.56	\$5,341.41	\$0.00	\$261.15	\$0.00
	57.000	06/08/12	02/28/13	\$6,794.59	\$6,382.60	\$0.00	\$411.99	\$0.00
	44.000	07/10/12	02/28/13	\$5,244.94	\$5,092.12	\$0.00	\$152.82	\$0.00
Security Subtotal	264.000			\$31,469.68	\$29,975.47	\$0.00	\$1,494.21	\$0.00
METROPOLITAN WEST TOT RET BD I								
		CUSIP: 592905509		Symbol (Box 1d): MWTIX				
	4,859.190	01/27/12	01/16/13	\$53,062.31	\$50,924.31	\$0.00	\$2,138.00	\$0.00
	1,695.057	02/10/12	01/16/13	\$18,510.01	\$17,832.00	\$0.00	\$678.01	\$0.00
	2,066.540	02/28/12	01/16/13	\$22,566.60	\$21,802.00	\$0.00	\$764.60	\$0.00
	3,077.725	03/05/12	01/16/13	\$33,608.73	\$32,470.00	\$0.00	\$1,138.73	\$0.00
	2,008.687	04/25/12	01/16/13	\$21,934.84	\$21,272.00	\$0.00	\$662.84	\$0.00
	2,102.138	06/08/12	01/16/13	\$22,955.33	\$22,366.75	\$0.00	\$588.58	\$0.00
	2,337.818	07/10/12	01/16/13	\$25,529.11	\$25,108.17	\$0.00	\$420.94	\$0.00
Security Subtotal	18,147.155			\$198,166.93	\$191,775.23	\$0.00	\$6,391.70	\$0.00
OPPENHEIMER INTL BOND Y								
		CUSIP: 68380T509		Symbol (Box 1d): OIBYX				
	1,486.148	06/08/12	06/03/13	\$9,451.90	\$9,258.69	\$0.00	\$193.21	\$0.00
	37.779	06/29/12	06/03/13	\$240.27	\$238.01	\$0.00	\$2.26	\$0.00
	1,357.778	07/10/12	06/03/13	\$8,635.47	\$8,608.30	\$0.00	\$27.17	\$0.00
	39.436	07/31/12	06/03/13	\$250.81	\$253.97	\$0.00	(\$3.16)	\$0.00
	46.283	08/31/12	06/03/13	\$294.36	\$298.99	\$0.00	(\$4.63)	\$0.00
	34.305	09/28/12	06/03/13	\$218.18	\$224.01	\$0.00	(\$5.83)	\$0.00
	42.614	10/31/12	06/03/13	\$271.03	\$279.12	\$0.00	(\$8.09)	\$0.00
	41.635	11/30/12	06/03/13	\$264.80	\$273.54	\$0.00	(\$8.74)	\$0.00

CONTINUED ON NEXT PAGE

Page 9 of 18

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number. 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 165910 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
OPPENHEIMER INTL BOND Y	36.074	12/28/12	06/03/13	\$229.43	\$237.37	\$0.00	(\$7.94)	\$0.00
	71.096	12/28/12	06/03/13	\$452.17	\$467.81	\$0.00	(\$15.64)	\$0.00
	39.780	01/31/13	06/03/13	\$253.00	\$262.55	\$0.00	(\$9.55)	\$0.00
	38.138	02/28/13	06/03/13	\$242.56	\$249.42	\$0.00	(\$6.86)	\$0.00
	15.427	02/28/13	06/03/13	\$98,116.64	\$100,893.53	\$0.00	(\$2,776.89)	\$0.00
	87.254	03/28/13	06/03/13	\$554.94	\$567.15	\$0.00	(\$12.21)	\$0.00
	94.197	04/30/13	06/03/13	\$599.09	\$622.64	\$0.00	(\$23.55)	\$0.00
	100.465	05/31/13	06/03/13	\$638.95	\$639.96	\$0.00	(\$1.01)	\$0.00
Security Subtotal	18,980.127			\$120,713.60	\$123,375.06	\$0.00	(\$2,661.46)	\$0.00
PIMCO TOTAL RETURN P								
	1,962.591	06/08/12	06/03/13	\$21,725.86	\$22,098.76	\$0.00	(\$372.90)	\$0.00
	2,168.514	07/10/12	06/03/13	\$24,005.59	\$24,699.37	\$0.00	(\$693.78)	\$0.00
Security Subtotal	4,131.105			\$45,731.45	\$46,798.13	\$0.00	(\$1,066.68)	\$0.00
TEMPLETON GLOBAL BD FD ADV								
	843.550	06/08/12	06/03/13	\$11,227.64	\$10,544.37	\$0.00	\$683.27	\$0.00
	23.235	06/15/12	06/03/13	\$309.26	\$291.37	\$0.00	\$17.89	\$0.00
	602.620	07/10/12	06/03/13	\$8,020.87	\$7,767.77	\$0.00	\$253.10	\$0.00
	25.288	07/16/12	06/03/13	\$336.58	\$325.46	\$0.00	\$11.12	\$0.00
	24.880	08/15/12	06/03/13	\$331.15	\$327.42	\$0.00	\$3.73	\$0.00
	19.167	09/17/12	06/03/13	\$255.11	\$255.50	\$0.00	(\$0.39)	\$0.00
	19.203	10/15/12	06/03/13	\$255.59	\$257.51	\$0.00	(\$1.92)	\$0.00
	19.249	11/15/12	06/03/13	\$256.20	\$257.74	\$0.00	(\$1.54)	\$0.00
	112.144	12/17/12	06/03/13	\$1,492.64	\$1,480.30	\$0.00	\$12.34	\$0.00
	74.108	12/17/12	06/03/13	\$986.38	\$978.23	\$0.00	\$8.15	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number: 26-4310632

Taxpayer ID Number 32-0338339
Account Number 308 165910 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TEMPLETON GLOBAL BD FD ADV	0.742	12/17/12	06/03/13	\$9.88	\$9.79	\$0.00	\$0.09	\$0.00
	18.959	01/15/13	06/03/13	\$252.34	\$254.43	\$0.00	(\$2.09)	\$0.00
	18.903	02/15/13	06/03/13	\$251.60	\$254.05	\$0.00	(\$2.45)	\$0.00
	7.325	02/28/13	06/03/13	\$97,504.71	\$98,237.28	\$0.00	(\$732.57)	\$0.00
	42.479	03/15/13	06/03/13	\$565.40	\$570.92	\$0.00	(\$5.52)	\$0.00
	42.233	04/15/13	06/03/13	\$562.12	\$571.41	\$0.00	(\$9.29)	\$0.00
	42.092	05/15/13	06/03/13	\$560.38	\$574.56	\$0.00	(\$14.18)	\$0.00
Security Subtotal	9,254.531			\$123,177.85	\$122,958.11	\$0.00	\$219.74	\$0.00
WESTERN ASSET CORE PLUS BD I								
	4,538.503	01/27/12	01/16/13	\$53,009.67	\$50,922.00	\$0.00	\$2,087.67	\$0.00
	1,578.438	02/10/12	01/16/13	\$18,436.14	\$17,789.00	\$0.00	\$647.14	\$0.00
	1,929.381	02/28/12	01/16/13	\$22,535.15	\$21,802.01	\$0.00	\$733.14	\$0.00
	2,879.735	03/05/12	01/16/13	\$33,635.28	\$32,541.01	\$0.00	\$1,094.27	\$0.00
	1,900.883	04/25/12	01/16/13	\$22,202.29	\$21,518.00	\$0.00	\$684.29	\$0.00
	1,985.398	06/08/12	01/16/13	\$23,189.43	\$22,573.96	\$0.00	\$615.47	\$0.00
	2,102.854	07/10/12	01/16/13	\$24,561.48	\$24,245.91	\$0.00	\$315.57	\$0.00
Security Subtotal	16,915.192			\$197,569.44	\$191,391.89	\$0.00	\$6,177.55	\$0.00
Total Short Term Covered Securities				\$716,828.95	\$706,273.89	\$0.00	\$10,555.06	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 165910 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EATON VANCE GLB MCR0 ABS RETI								
CUSIP: 277923728 Symbol (Box 1d): EIGMX								
	1,887,986	01/27/12	02/28/13	\$18,653.71	\$18,777.19	\$0.00	(\$123.48)	\$0.00
	67,368	01/27/12	02/28/13	\$665.46	\$669.86	\$0.00	(\$4.40)	\$0.00
	67,368	01/27/12	02/28/13	\$665.54	\$669.95	\$4.41	(\$4.41)	\$0.00
	61,247	01/27/12	02/28/13	\$605.04	\$609.04	\$4.00	(\$4.00)	\$0.00
	61,247	01/27/12	02/28/13	\$605.06	\$609.06	\$0.00	(\$4.00)	\$0.00
	60,545	01/27/12	02/28/13	\$598.16	\$602.11	\$3.95	(\$3.95)	\$0.00
	60,545	01/27/12	02/28/13	\$598.13	\$602.09	\$0.00	(\$3.96)	\$0.00
	2,514,687	01/27/12	07/24/13	\$24,317.35	\$24,776.98	\$0.00	(\$459.63)	\$0.00
	60,582	01/27/12	07/24/13	\$585.62	\$596.69	\$11.07	(\$11.07)	\$0.00
	60,582	01/27/12	07/24/13	\$585.73	\$596.79	\$0.00	(\$11.06)	\$0.00
	47,532	01/27/12	07/24/13	\$459.64	\$468.33	\$0.00	(\$8.69)	\$0.00
	47,532	01/27/12	07/24/13	\$459.61	\$468.30	\$8.69	(\$8.69)	\$0.00
	0.907	02/01/12	07/24/13	\$8.77	\$8.94	\$0.00	(\$0.17)	\$0.00
	1,794,505	02/10/12	07/24/13	\$17,352.86	\$17,698.78	\$0.00	(\$345.92)	\$0.00
Security Subtotal	6,792,633			\$66,160.68	\$67,154.11	\$32.12	(\$993.43)	\$0.00
ISHARES JP MORGAN EM BOND FD								
CUSIP: 464288281 Symbol (Box 1d): EMB								
	115,000	01/27/12	02/28/13	\$13,708.38	\$12,687.95	\$0.00	\$1,020.43	\$0.00
	39,000	02/10/12	02/28/13	\$4,648.93	\$4,331.88	\$0.00	\$317.05	\$0.00
Security Subtotal	154,000			\$18,357.31	\$17,019.83	\$0.00	\$1,337.48	\$0.00
OPPENHEIMER INTL BOND Y								
CUSIP: 68380T509 Symbol (Box 1d): OIBYX								
	1,623,251	01/27/12	06/03/13	\$10,323.88	\$10,323.88	\$0.00	\$0.00	\$0.00
	0.553	02/01/12	06/03/13	\$3.52	\$3.52	\$0.00	\$0.00	\$0.00
	680,094	02/10/12	06/03/13	\$4,325.40	\$4,339.00	\$0.00	(\$13.60)	\$0.00
	868,594	02/28/12	06/03/13	\$5,524.26	\$5,559.00	\$0.00	(\$34.74)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE

Page 13 of 18

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Taxpayer ID Number 32-0338339
Account Number 308 165910 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
OPPENHEIMER INTL BOND Y	10 332	03/01/12	06/03/13	\$65.71	\$66.02	\$0.00	(\$0.31)	\$0.00
	1,255 643	03/05/12	06/03/13	\$7,985.89	\$8,011.00	\$0.00	(\$25.11)	\$0.00
	2,789 065	03/22/12	06/03/13	\$17,738.45	\$17,599.00	\$0.00	\$139.45	\$0.00
	22 294	04/02/12	06/03/13	\$141.79	\$141.12	\$0.00	\$0.67	\$0.00
	1,288 504	04/25/12	06/03/13	\$8,194.89	\$8,182.00	\$0.00	\$12.89	\$0.00
	27 044	05/01/12	06/03/13	\$172.00	\$172.54	\$0.00	(\$0.54)	\$0.00
	35 055	05/31/12	06/03/13	\$222.95	\$216.99	\$0.00	\$5.96	\$0.00
Security Subtotal	8,600.429			\$54,698.74	\$54,614.07	\$0.00	\$84.67	\$0.00
PIMCO TOTAL RETURN P								
	1,220 975	01/27/12	02/28/13	\$13,711.55	\$13,528.40	\$0.00	\$183.15	\$0.00
	3,381 024	01/27/12	06/03/13	\$37,427.90	\$37,461.75	\$0.00	(\$33.85)	\$0.00
	1,616 742	02/10/12	06/03/13	\$17,897.32	\$17,962.00	\$0.00	(\$64.68)	\$0.00
	1,963 702	02/28/12	06/03/13	\$21,738.16	\$21,856.00	\$0.00	(\$117.84)	\$0.00
	2,904 582	03/05/12	06/03/13	\$32,153.69	\$32,328.00	\$0.00	(\$174.31)	\$0.00
	1,893 744	04/25/12	06/03/13	\$20,963.73	\$21,191.00	\$0.00	(\$227.27)	\$0.00
Security Subtotal	12,980.769			\$143,892.35	\$144,327.15	\$0.00	(\$434.80)	\$0.00
TEMPLETON GLOBAL BD FD ADV								
	782 472	01/27/12	06/03/13	\$10,414.69	\$10,179.96	\$0.00	\$234.73	\$0.00
	316 730	02/10/12	06/03/13	\$4,215.67	\$4,165.00	\$0.00	\$50.67	\$0.00
	6 515	02/21/12	06/03/13	\$86.71	\$85.48	\$0.00	\$1.23	\$0.00
	411 943	02/28/12	06/03/13	\$5,482.96	\$5,450.00	\$0.00	\$32.96	\$0.00
	594 335	03/05/12	06/03/13	\$7,910.59	\$7,869.00	\$0.00	\$41.59	\$0.00
	10 576	03/20/12	06/03/13	\$140.77	\$139.29	\$0.00	\$1.48	\$0.00
	1,349.617	03/22/12	06/03/13	\$17,963.39	\$17,599.01	\$0.00	\$364.38	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165910 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TEMPLETON GLOBAL BD FD ADV	16 295	04/19/12	06/03/13	\$216.89	\$210.69	\$0.00	\$6.20	\$0.00
	672 350	04/25/12	06/03/13	\$8,948.97	\$8,754.00	\$0.00	\$194.97	\$0.00
	19,655	05/15/12	06/03/13	\$261.61	\$247.46	\$0.00	\$14.15	\$0.00
Security Subtotal	4,180,488			\$55,642.25	\$54,699.89	\$0.00	\$942.36	\$0.00
Total Long Term Covered Securities				\$338,751.33	\$337,815.05	\$32.12	\$936.28	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$1,055,580.28	\$1,044,088.94	\$32.12	\$11,491.34	\$0.00

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$1,055,580.28
Total Cost or Other Basis (Box 3)	\$1,044,088.94
Total Wash Sale Loss Disallowed (Box 5)	\$32.12
Total Fed Tax Withheld (Box 4)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALKERMES PLC SHS		CUSIP: G01767105	Symbol (Box 1d): ALKS					
	17,000	09/26/12	06/25/13	\$465.11	\$350.80	\$0.00	\$114.31	\$0.00
	101,000	01/14/13	12/05/13	\$4,017.55	\$2,229.47	\$0.00	\$1,788.08	\$0.00
	54,000	06/19/13	12/05/13	\$2,148.00	\$1,604.62	\$0.00	\$543.38	\$0.00
	7,000	07/15/13	12/05/13	\$278.44	\$213.72	\$0.00	\$64.72	\$0.00
Security Subtotal	179,000			\$6,909.10	\$4,398.61	\$0.00	\$2,510.49	\$0.00
AMERICAN TOWER REIT COM		CUSIP: 03027X100	Symbol (Box 1d): AMT					
	7,000	12/20/12	06/25/13	\$504.34	\$539.46	\$35.12	(\$35.12)	\$0.00
ANN INC COM		CUSIP: 035623107	Symbol (Box 1d): ANN					
	48,000	06/13/12	05/24/13	\$1,456.62	\$1,199.04	\$0.00	\$257.58	\$0.00
	33,000	12/03/12	05/24/13	\$1,001.43	\$1,107.09	\$0.00	(\$105.66)	\$0.00
	19,000	05/01/13	05/24/13	\$576.58	\$556.74	\$0.00	\$19.84	\$0.00
Security Subtotal	100,000			\$3,034.63	\$2,862.87	\$0.00	\$171.76	\$0.00
BIOGEN IDEC INC		CUSIP: 09062X103	Symbol (Box 1d): BILB					
	3,000	03/05/13	06/25/13	\$596.14	\$515.86	\$0.00	\$80.28	\$0.00
CELGENE CORP		CUSIP: 151020104	Symbol (Box 1d): CELG					
	3,000	03/05/13	06/25/13	\$343.70	\$320.85	\$0.00	\$22.85	\$0.00
CH ROBINSON WORLDWIDE INC NEW		CUSIP: 12541W209	Symbol (Box 1d): CHRW					
	3,000	06/13/12	06/11/13	\$169.48	\$174.60	\$0.00	(\$5.12)	\$0.00
	15,000	06/13/12	06/11/13	\$847.41	\$870.82	\$0.00	(\$23.41)	\$0.00
	19,000	07/18/12	06/11/13	\$1,073.38	\$1,125.54	\$0.00	(\$52.16)	\$0.00
	18,000	08/20/12	06/11/13	\$1,016.89	\$1,033.77	\$0.00	(\$16.88)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744
Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CH ROBINSON WORLDWIDE INC	17,000	10/18/12	06/11/13	\$960.39	\$1,044.50	\$0.00	(\$84.11)	\$0.00
	16,000	12/12/12	06/11/13	\$903.90	\$999.04	\$0.00	(\$95.14)	\$0.00
Security Subtotal	88,000			\$4,971.45	\$5,248.27	\$0.00	(\$276.82)	\$0.00
CHARTER COMMUNICATIONS INC DEL		CUSIP: 16117M305 Symbol (Box 1d): CHTR						
	4,000	12/20/12	06/25/13	\$473.23	\$293.43	\$0.00	\$179.80	\$0.00
	26,000	12/20/12	07/19/13	\$3,332.86	\$1,907.27	\$0.00	\$1,425.59	\$0.00
	28,000	01/22/13	07/19/13	\$3,589.23	\$2,207.11	\$0.00	\$1,382.12	\$0.00
Security Subtotal	58,000			\$7,395.32	\$4,407.81	\$0.00	\$2,987.51	\$0.00
EQUIFAX INC		CUSIP: 294429105 Symbol (Box 1d): EFX						
	13,000	02/28/12	02/20/13	\$713.56	\$550.55	\$0.00	\$163.01	\$0.00
	24,000	03/05/12	02/20/13	\$1,317.34	\$1,005.84	\$0.00	\$311.50	\$0.00
	8,000	04/26/12	02/20/13	\$439.11	\$369.84	\$0.00	\$69.27	\$0.00
	11,000	05/03/12	02/20/13	\$603.78	\$506.66	\$0.00	\$97.12	\$0.00
	44,000	06/13/12	02/20/13	\$2,415.14	\$2,048.64	\$0.00	\$366.50	\$0.00
Security Subtotal	100,000			\$5,488.93	\$4,481.53	\$0.00	\$1,007.40	\$0.00
EXPEDITORS INTL WASH INC		CUSIP: 302130109 Symbol (Box 1d): EXPD						
	1,000	06/13/12	04/11/13	\$37.13	\$39.04	\$0.00	(\$1.91)	\$0.00
	28,000	02/25/13	04/11/13	\$1,039.65	\$1,138.76	\$0.00	(\$99.11)	\$0.00
	29,000	08/20/12	08/15/13	\$1,153.92	\$1,115.59	\$0.00	\$38.33	\$0.00
	39,000	10/18/12	08/15/13	\$1,551.83	\$1,388.87	\$0.00	\$162.96	\$0.00
	20,000	12/12/12	08/15/13	\$795.80	\$766.80	\$0.00	\$29.00	\$0.00
Security Subtotal	117,000			\$4,578.33	\$4,449.06	\$0.00	\$129.27	\$0.00

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number 32-0338339
Account Number 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FACEBOOK INC CL-A	74 000	05/01/13	10/30/13	\$3,672.96	\$2,033.31	\$0.00	\$1,639.65	\$0.00
FOSSIL INC	25 000	03/25/13	05/24/13	\$2,597.60	\$2,397.92	\$0.00	\$199.68	\$0.00
GANNETT COMPANY INC DE	22.000	04/26/12	04/04/13	\$454.18	\$300.96	\$0.00	\$153.22	\$0.00
	6.000	05/03/12	04/04/13	\$123.86	\$83.82	\$0.00	\$40.04	\$0.00
Security Subtotal	28.000			\$578.04	\$384.78	\$0.00	\$193.26	\$0.00
HCA HOLDINGS INC	9 000	03/05/13	06/25/13	\$331.37	\$333.47	\$2.10	(\$2.10)	\$0.00
HERTZ GLOBAL HOLDINGS, INC	14 000	06/10/13	06/25/13	\$329.55	\$363.91	\$34.36	(\$34.36)	\$0.00
	86 000	06/10/13	10/30/13	\$1,957.51	\$2,235.42	\$0.00	(\$277.91)	\$0.00
	14 000	06/10/13	10/30/13	\$318.66	\$378.79	\$0.00	(\$60.13)	\$0.00
	96.000	06/17/13	10/30/13	\$2,185.14	\$2,361.81	\$0.00	(\$176.67)	\$0.00
Security Subtotal	210.000			\$4,790.86	\$5,339.93	\$34.36	(\$549.07)	\$0.00
INTERCONTINENTAL EXCHANGE, INC	4 000	07/30/12	06/25/13	\$707.90	\$530.72	\$0.00	\$177.18	\$0.00
IRONWOOD PHARM INC A	15 000	04/26/12	04/24/13	\$242.15	\$195.30	\$0.00	\$46.85	\$0.00
	8 000	05/03/12	04/24/13	\$129.15	\$102.48	\$0.00	\$26.67	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
IRONWOOD PHARM INC A	42,000	06/13/12	04/24/13	\$678.02	\$540.12	\$0.00	\$137.90	\$0.00
	20,000	01/07/13	04/24/13	\$322.86	\$247.80	\$0.00	\$75.06	\$0.00
Security Subtotal	85,000			\$1,372.18	\$1,085.70	\$0.00	\$286.48	\$0.00
LIFE TIME FITNESS INC								
	9,000	06/10/13	10/30/13	\$418.27	\$456.35	\$0.00	(\$38.08)	\$0.00
	24,000	06/19/13	10/30/13	\$1,115.38	\$1,227.46	\$0.00	(\$112.08)	\$0.00
Security Subtotal	33,000			\$1,533.65	\$1,683.81	\$0.00	(\$150.16)	\$0.00
LULULEMON ATHLETICA INC								
	11,000	03/19/12	01/09/13	\$785.79	\$807.51	\$21.72	(\$21.72)	\$0.00
	1,000	05/03/12	01/09/13	\$71.43	\$80.85	\$0.00	(\$9.42)	\$0.00
	15,000	03/15/12	02/14/13	\$999.86	\$1,093.65	\$0.00	(\$93.79)	\$0.00
	9,000	03/19/12	02/14/13	\$599.91	\$660.69	\$0.00	(\$60.78)	\$0.00
	11,000	03/28/12	02/14/13	\$733.22	\$764.35	\$0.00	(\$31.13)	\$0.00
	5,000	04/26/12	02/14/13	\$333.29	\$361.40	\$0.00	(\$28.11)	\$0.00
	31,000	08/13/12	02/14/13	\$2,066.37	\$1,795.52	\$0.00	\$270.85	\$0.00
	4,000	12/03/12	02/14/13	\$268.63	\$291.16	\$0.00	(\$24.53)	\$0.00
Security Subtotal	87,000			\$5,856.50	\$5,855.13	\$21.72	\$1.37	\$0.00
MICHAEL KORS HOLDINGS LTD								
	5,000	12/20/12	06/25/13	\$293.24	\$273.71	\$0.00	\$19.53	\$0.00
MYRIAD GENETIC INC								
	7,000	11/13/12	06/25/13	\$183.81	\$209.88	\$0.00	(\$26.07)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RESMED INC								
		CUSIP: 761152107		Symbol (Box 1d): RMD				
	18,000	09/06/12	06/25/13	\$860.74	\$711.92	\$0.00	\$148.82	\$0.00
	3,000	12/12/12	10/25/13	\$148.50	\$128.07	\$0.00	\$20.43	\$0.00
	11,000	03/04/13	10/25/13	\$544.49	\$484.88	\$0.00	\$59.61	\$0.00
	3,000	06/19/13	10/25/13	\$148.50	\$143.10	\$0.00	\$5.40	\$0.00
	2,000	07/12/13	10/25/13	\$99.00	\$89.55	\$0.00	\$9.45	\$0.00
	24,000	07/31/13	10/25/13	\$1,187.97	\$1,130.42	\$0.00	\$57.55	\$0.00
Security Subtotal	61,000			\$2,989.20	\$2,687.94	\$0.00	\$301.26	\$0.00
SALESFORCE.COM, INC								
		CUSIP: 79466L302		Symbol (Box 1d): CRM				
	44,000	06/13/12	05/24/13	\$1,858.18	\$1,432.42	\$0.00	\$425.76	\$0.00
	8,000	08/20/12	05/24/13	\$337.85	\$295.34	\$0.00	\$42.51	\$0.00
	32,000	09/12/12	05/24/13	\$1,351.39	\$1,225.84	\$0.00	\$125.55	\$0.00
Security Subtotal	84,000			\$3,547.42	\$2,953.60	\$0.00	\$593.82	\$0.00
SHUTTERFLY INC								
		CUSIP: 82568P304		Symbol (Box 1d): SFLY				
	24,000	01/07/13	07/02/13	\$1,363.81	\$765.96	\$0.00	\$597.85	\$0.00
	13,000	01/07/13	08/13/13	\$724.45	\$414.90	\$0.00	\$309.55	\$0.00
	13,000	01/07/13	10/30/13	\$633.53	\$414.90	\$0.00	\$218.63	\$0.00
	40,000	01/07/13	12/17/13	\$1,825.81	\$1,276.60	\$0.00	\$549.21	\$0.00
Security Subtotal	90,000			\$4,547.60	\$2,872.36	\$0.00	\$1,675.24	\$0.00
STURM RUGER & CO								
		CUSIP: 864159108		Symbol (Box 1d): RGR				
	13,000	12/12/12	10/30/13	\$836.66	\$633.41	\$0.00	\$203.25	\$0.00
	21,000	08/07/13	10/30/13	\$1,351.52	\$1,148.71	\$0.00	\$202.81	\$0.00
Security Subtotal	34,000			\$2,188.18	\$1,782.12	\$0.00	\$406.06	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 11 of 22

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TERADATA CORP								
		CUSIP: 86076W103		Symbol (Box 1d): TDC				
	4 000	09/06/12	06/25/13	\$194.51	\$323.52	\$129.01	(\$129.01)	\$0.00
	7 000	11/13/12	11/08/13	\$304.85	\$450.87	\$0.00	(\$146.02)	\$0.00
	8 000	12/14/12	11/08/13	\$348.41	\$478.48	\$0.00	(\$130.07)	\$0.00
	21 000	06/27/13	11/08/13	\$914.57	\$1,076.67	\$0.00	(\$162.10)	\$0.00
Security Subtotal	40 000			\$1,762.34	\$2,329.54	\$129.01	(\$567.20)	\$0.00
ULTRA PETROLEUM CORP								
		CUSIP: 903914109		Symbol (Box 1d): UPL				
	84 000	07/30/12	06/14/13	\$1,775.94	\$2,002.16	\$0.00	(\$226.22)	\$0.00
	10 000	07/30/12	06/14/13	\$211.42	\$230.64	\$0.00	(\$19.22)	\$0.00
	101 000	09/12/12	06/14/13	\$2,135.35	\$2,273.31	\$0.00	(\$137.96)	\$0.00
	25 000	02/14/13	06/14/13	\$528.55	\$424.00	\$0.00	\$104.55	\$0.00
Security Subtotal	220 000			\$4,651.26	\$4,930.11	\$0.00	(\$278.85)	\$0.00
VALEANT PHARMACEUTIC INTL INC								
		CUSIP: 91911K102		Symbol (Box 1d): VRX				
	12 000	12/20/12	05/01/13	\$904.61	\$730.80	\$0.00	\$173.81	\$0.00
	16 000	10/31/12	08/14/13	\$1,664.21	\$896.77	\$0.00	\$767.44	\$0.00
	8 000	12/12/12	08/14/13	\$832.10	\$477.04	\$0.00	\$355.06	\$0.00
	2 000	12/20/12	08/14/13	\$208.03	\$121.80	\$0.00	\$86.23	\$0.00
Security Subtotal	38 000			\$3,608.95	\$2,226.41	\$0.00	\$1,382.54	\$0.00
VERISK ANALYTICS CL A								
		CUSIP: 92345Y106		Symbol (Box 1d): VRSK				
	6 000	07/30/12	06/25/13	\$347.51	\$301.62	\$0.00	\$45.89	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VITAMIN SHOPPE INC COM								
		CUSIP: 92849E101		Symbol (Box 1d): VSI				
	6.000	02/28/12	02/21/13	\$378.10	\$258.84	\$0.00	\$119.26	\$0.00
	12.000	04/26/12	02/21/13	\$756.20	\$570.24	\$0.00	\$185.96	\$0.00
	1.000	05/03/12	02/21/13	\$63.01	\$46.98	\$0.00	\$16.03	\$0.00
Security Subtotal	19.000			\$1,197.31	\$876.06	\$0.00	\$321.25	\$0.00
VMWARE INC CLASS A								
		CUSIP: 928563402		Symbol (Box 1d): VMW				
	12.000	06/13/12	05/31/13	\$865.31	\$1,090.80	\$0.00	(\$225.49)	\$0.00
	7.000	06/21/12	05/31/13	\$504.77	\$630.21	\$0.00	(\$125.44)	\$0.00
	2.000	07/16/12	05/31/13	\$144.22	\$169.90	\$0.00	(\$25.68)	\$0.00
	15.000	02/25/13	05/31/13	\$1,081.64	\$1,081.05	\$0.00	\$0.59	\$0.00
Security Subtotal	36.000			\$2,595.94	\$2,971.96	\$0.00	(\$376.02)	\$0.00
WEIGHT WATCHERS INTL INC								
		CUSIP: 948626106		Symbol (Box 1d): WTW				
	31.000	08/13/12	06/14/13	\$1,378.39	\$1,470.33	\$0.00	(\$91.94)	\$0.00
	17.000	10/31/12	06/14/13	\$755.89	\$878.69	\$0.00	(\$122.80)	\$0.00
Security Subtotal	48.000			\$2,134.28	\$2,349.02	\$0.00	(\$214.74)	\$0.00
WISDOMTREE INVSTG INC								
		CUSIP: 97717P104		Symbol (Box 1d): WETF				
	39.000	01/07/13	06/25/13	\$421.58	\$279.17	\$0.00	\$142.41	\$0.00
	141.000	01/09/13	07/02/13	\$1,641.41	\$1,058.91	\$0.00	\$582.50	\$0.00
Security Subtotal	180.000			\$2,062.99	\$1,338.08	\$0.00	\$724.91	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number: 32-0338339
Account Number 308 165917 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ZILLOW INC								
		CUSIP: 98954A107 Symbol (Box 1d): Z						
	36,000	06/13/12	05/06/13	\$2,197.63	\$1,150.92	\$0.00	\$1,046.71	\$0.00
	22,000	11/29/12	05/17/13	\$1,275.58	\$577.29	\$0.00	\$698.29	\$0.00
	58,000			\$3,473.21	\$1,728.21	\$0.00	\$1,745.00	\$0.00
Security Subtotal				\$90,846.24	\$76,699.71	\$222.31	\$14,146.53	\$0.00
Total Short Term Covered Securities								

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALKERMES PLC SHS	89 000	09/26/12	12/05/13	Symbol (Box 1d): ALKS	\$3,540 21	\$1,836 56	\$0.00	\$1,703 65	\$0.00
ANN INC COM				CUSIP: 035623107 Symbol (Box 1d): ANN					
ASCENA RETAIL GROUP INC COM	117 000	05/03/12	05/24/13	Symbol (Box 1d): ASNA	\$3,550 51	\$3,328 65	\$0.00	\$221.86	\$0.00
				CUSIP: 04351G101 Symbol (Box 1d): ASNA					
	18 000	03/26/12	06/25/13	Symbol (Box 1d): ASNA	\$309 23	\$404.64	\$95 41	(\$95 41)	\$0.00
DICKS SPORTING GOODS INC				CUSIP: 253393102 Symbol (Box 1d): DKS					
	16 000	02/06/12	06/25/13	Symbol (Box 1d): DKS	\$809 10	\$684 14	\$0.00	\$124.96	\$0.00
DOLLAR TREE INC				CUSIP: 256746108 Symbol (Box 1d): DLTR					
	14 000	02/06/12	06/25/13	Symbol (Box 1d): DLTR	\$688 50	\$600.11	\$0.00	\$88.39	\$0.00
EQUIFAX INC				CUSIP: 294429105 Symbol (Box 1d): EFX					
	45 000	01/27/12	02/20/13	Symbol (Box 1d): EFX	\$2,470 02	\$1,774 80	\$0.00	\$695 22	\$0.00
	10 000	02/13/12	02/20/13	Symbol (Box 1d): EFX	\$548.89	\$428.80	\$0.00	\$120.09	\$0.00
Security Subtotal	55,000				\$3,018.91	\$2,203.60	\$0.00	\$815.31	\$0.00
EXPEDITORS INTL WASH INC				CUSIP: 302130109 Symbol (Box 1d): EXPD					
	10,000	06/13/12	06/25/13	Symbol (Box 1d): EXPD	\$372 49	\$390 42	\$0.00	(\$17 93)	\$0.00
	15,000	06/13/12	08/13/13	Symbol (Box 1d): EXPD	\$608.84	\$585 63	\$0.00	\$23 21	\$0.00
	17,000	07/18/12	08/13/13	Symbol (Box 1d): EXPD	\$690 01	\$654.64	\$0.00	\$35 37	\$0.00
	13,000	07/18/12	08/15/13	Symbol (Box 1d): EXPD	\$517 28	\$500 61	\$0.00	\$16 67	\$0.00
Security Subtotal	55,000				\$2,188.62	\$2,131.30	\$0.00	\$57.32	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FACEBOOK INC CL-A		CUSIP: 30303M102	Symbol (Box 1d): FB					
	77 000	07/30/12	10/30/13	\$3,821.87	\$1,837.93	\$0.00	\$1,983.94	\$0.00
	16,000	09/12/12	10/30/13	\$794.15	\$331.68	\$0.00	\$462.47	\$0.00
Security Subtotal	93,000			\$4,616.02	\$2,169.61	\$0.00	\$2,446.41	\$0.00
FACTSET RESEARCH SYSTEMS INC		CUSIP: 303075105	Symbol (Box 1d): FDS					
	6,000	02/28/12	06/25/13	\$593.32	\$532.80	\$0.00	\$60.52	\$0.00
GANNETT COMPANY INC DE		CUSIP: 364730101	Symbol (Box 1d): GCI					
	33 000	02/28/12	04/04/13	\$681.27	\$497.64	\$0.00	\$183.63	\$0.00
	67,000	02/28/12	04/04/13	\$1,383.19	\$1,001.40	\$0.00	\$381.79	\$0.00
	45,000	03/05/12	04/04/13	\$929.01	\$671.85	\$0.00	\$257.16	\$0.00
Security Subtotal	145,000			\$2,993.47	\$2,170.89	\$0.00	\$822.58	\$0.00
GNC HOLDINGS, INC COM CL A		CUSIP: 36191G107	Symbol (Box 1d): GNC					
	18 000	02/07/12	06/25/13	\$827.62	\$519.46	\$0.00	\$308.16	\$0.00
ILLUMINA INC		CUSIP: 452327109	Symbol (Box 1d): ILMN					
	9,000	04/23/12	06/25/13	\$664.54	\$393.46	\$0.00	\$271.08	\$0.00
INTERNATIONAL GAME TECH		CUSIP: 459902102	Symbol (Box 1d): IGT					
	40 000	04/27/12	06/25/13	\$651.98	\$634.68	\$0.00	\$17.30	\$0.00
IRONWOOD PHARM INC A		CUSIP: 46333X108	Symbol (Box 1d): IRWD					
	97 000	03/27/12	04/24/13	\$1,565.90	\$1,338.33	\$0.00	\$227.57	\$0.00
LIFE TIME FITNESS INC		CUSIP: 53217R207	Symbol (Box 1d): LTM					
	13 000	01/27/12	06/25/13	\$637.24	\$624.00	\$0.00	\$13.24	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number 26-4310632

Taxpayer ID Number 32-0338339
Account Number 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LINKEDIN CORP-A								
		CUSIP: 53578A108		Symbol (Box 1d): LNKD				
	6 000	01/27/12	06/25/13	\$1,049 50	\$462 12	\$0 00	\$587 38	\$0 00
	6 000	04/19/12	09/23/13	\$1,423 41	\$629 71	\$0 00	\$793.70	\$0 00
	7 000	07/18/12	10/30/13	\$1,598.00	\$729.96	\$0.00	\$868.04	\$0.00
Security Subtotal	19 000			\$4,070.91	\$1,821.79	\$0.00	\$2,249.12	\$0.00
SALESFORCE COM, INC								
		CUSIP: 79466L302		Symbol (Box 1d): CRM				
	40 000	02/09/12	05/24/13	\$1,689 25	\$1,240 00	\$0 00	\$449 25	\$0 00
	8 000	02/28/12	05/24/13	\$337 85	\$285 50	\$0 00	\$52 35	\$0 00
	20 000	03/05/12	05/24/13	\$844 63	\$701 75	\$0 00	\$142 88	\$0 00
	12 000	04/26/12	05/24/13	\$506 78	\$459 78	\$0 00	\$47 00	\$0 00
	4 000	05/03/12	05/24/13	\$168 93	\$162 42	\$0.00	\$6.51	\$0.00
Security Subtotal	84 000			\$3,547.44	\$2,849.45	\$0.00	\$697.99	\$0.00
SHUTTERFLY INC								
		CUSIP: 82568P304		Symbol (Box 1d): SFLY				
	10 000	03/02/12	06/25/13	\$548 29	\$321 60	\$0 00	\$226 69	\$0 00
	20 000	03/02/12	07/02/13	\$1,136 51	\$643 20	\$0 00	\$493.31	\$0 00
	13 000	03/05/12	12/17/13	\$593 39	\$394.94	\$0.00	\$198 45	\$0 00
	3 000	04/26/12	12/17/13	\$136 94	\$93.33	\$0 00	\$43 61	\$0 00
	5 000	05/03/12	12/17/13	\$228 23	\$150 15	\$0.00	\$78.08	\$0.00
Security Subtotal	51 000			\$2,643.36	\$1,603.22	\$0.00	\$1,040.14	\$0.00
STURM RUGER & CO								
		CUSIP: 864159108		Symbol (Box 1d): RGR				
	9 000	02/06/12	06/25/13	\$439 91	\$388 04	\$0 00	\$51 87	\$0 00
TECHNE CP								
		CUSIP: 878377100		Symbol (Box 1d): TECH				
	5 000	02/06/12	06/25/13	\$344 99	\$330 07	\$0 00	\$14 92	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 17 of 22

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165917 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TERADATA CORP	CUSIP: 88076W103	Symbol (Box 1d): TDC						
	20,000	09/06/12	10/15/13	\$872.08	\$1,617.61	\$0.00	(\$745.53)	\$0.00
	27,000	09/12/12	10/15/13	\$1,177.31	\$2,018.70	\$0.00	(\$841.39)	\$0.00
	3,000	09/06/12	11/08/13	\$130.65	\$242.28	\$0.00	(\$111.63)	\$0.00
	4,000	09/08/12	11/08/13	\$174.20	\$334.09	\$0.00	(\$159.89)	\$0.00
	4,000	09/12/12	11/08/13	\$174.20	\$299.07	\$0.00	(\$124.87)	\$0.00
Security Subtotal	58,000			\$2,528.44	\$4,511.75	\$0.00	(\$1,983.31)	\$0.00
VALEANT PHARMACEUTIC INTL INC	CUSIP: 91911K102	Symbol (Box 1d): VRX						
	11,000	02/06/12	06/25/13	\$936.41	\$554.28	\$0.00	\$382.13	\$0.00
VITAMIN SHOPPE INC COM	CUSIP: 92849E101	Symbol (Box 1d): VSI						
	17,000	02/13/12	02/21/13	\$1,071.28	\$746.86	\$0.00	\$324.42	\$0.00
VMWARE INC CLASS A	CUSIP: 928563402	Symbol (Box 1d): VMW						
	23,000	03/29/12	05/31/13	\$1,658.52	\$2,549.32	\$0.00	(\$890.80)	\$0.00
	2,000	04/26/12	05/31/13	\$144.22	\$216.54	\$0.00	(\$72.32)	\$0.00
	3,000	05/03/12	05/31/13	\$216.33	\$343.53	\$0.00	(\$127.20)	\$0.00
Security Subtotal	28,000			\$2,019.07	\$3,109.39	\$0.00	(\$1,090.32)	\$0.00
WEIGHT WATCHERS INTL INC	CUSIP: 948626106	Symbol (Box 1d): WTW						
	14,000	02/07/12	06/14/13	\$622.50	\$1,035.58	\$0.00	(\$413.08)	\$0.00
	4,000	02/13/12	06/14/13	\$177.86	\$304.36	\$0.00	(\$126.50)	\$0.00
	5,000	02/28/12	06/14/13	\$222.32	\$389.25	\$0.00	(\$166.93)	\$0.00
	9,000	03/05/12	06/14/13	\$400.18	\$699.12	\$0.00	(\$298.94)	\$0.00
	3,000	04/26/12	06/14/13	\$133.39	\$226.08	\$0.00	(\$92.69)	\$0.00
Security Subtotal	35,000			\$1,556.25	\$2,654.39	\$0.00	(\$1,098.14)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 165917 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ZILLOW INC CUSIP: 98954A107 Symbol (Box 1d): Z								
	5 000	02/21/12	05/06/13	\$305.23	\$156.87	\$0.00	\$148.36	\$0.00
	11,000	04/02/12	05/06/13	\$671.50	\$407.22	\$0.00	\$264.28	\$0.00
	7,000	04/26/12	05/06/13	\$427.32	\$237.37	\$0.00	\$189.95	\$0.00
	17,000	02/21/12	05/17/13	\$985.68	\$533.34	\$0.00	\$452.34	\$0.00
	7,000	02/28/12	05/17/13	\$405.87	\$218.89	\$0.00	\$186.98	\$0.00
	13,000	03/05/12	05/17/13	\$753.76	\$383.63	\$0.00	\$370.13	\$0.00
Security Subtotal	60,000			\$3,549.36	\$1,937.32	\$0.00	\$1,612.04	\$0.00
Total Long Term Covered Securities				\$49,362.59	\$40,078.79	\$95.41	\$9,283.80	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$140,208.83	\$116,778.50	\$317.72	\$23,430.33	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$140,208.83				
Total Cost or Other Basis (Box 3)					\$116,778.50			
Total Wash Sale Loss Disallowed (Box 5)						\$317.72		
Total Fed Tax Withheld (Box 4)								\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number
26-4310632Taxpayer ID Number
32-0338339
Account Number
308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 002824100 Symbol (Box 1d): ABT								
ABBOTT LABORATORIES	23.000	01/27/12	01/08/13	\$766.57	\$607.45	\$0.00	\$159.12	\$0.00
	5.000	02/10/12	01/08/13	\$166.65	\$132.08	\$0.00	\$34.57	\$0.00
	8.000	02/28/12	01/08/13	\$266.63	\$218.42	\$0.00	\$48.21	\$0.00
	12.000	03/05/12	01/08/13	\$399.95	\$329.75	\$0.00	\$70.20	\$0.00
	8.000	04/25/12	01/08/13	\$266.63	\$235.56	\$0.00	\$31.07	\$0.00
	13.000	06/08/12	01/08/13	\$433.28	\$383.37	\$0.00	\$49.91	\$0.00
	5.000	07/10/12	01/08/13	\$166.65	\$158.13	\$0.00	\$8.52	\$0.00
Security Subtotal	74.000			\$2,466.36	\$2,064.76	\$0.00	\$401.60	\$0.00
CUSIP: 075887109 Symbol (Box 1d): BDX								
BECTON DICKINSON & CO	37.000	06/08/12	04/03/13	\$3,570.53	\$2,691.38	\$0.00	\$879.15	\$0.00
	2.000	07/10/12	04/03/13	\$193.00	\$149.84	\$0.00	\$43.16	\$0.00
	1.000	10/25/12	04/03/13	\$96.50	\$75.25	\$0.00	\$21.25	\$0.00
Security Subtotal	40.000			\$3,860.03	\$2,916.47	\$0.00	\$943.56	\$0.00
CUSIP: 075896100 Symbol (Box 1d): BBBY								
BED BATH & BEYOND INC	5.000	12/18/12	06/25/13	\$344.64	\$296.71	\$0.00	\$47.93	\$0.00
CUSIP: 067383109 Symbol (Box 1d): BCR								
C R BARD INC NJ	1.000	10/25/12	08/13/13	\$116.18	\$96.62	\$0.00	\$19.56	\$0.00
CUSIP: 235851102 Symbol (Box 1d): DHR								
DANAHER CORPORATION	7.000	08/29/12	07/17/13	\$479.11	\$373.45	\$0.00	\$105.66	\$0.00
	1.000	12/18/12	07/17/13	\$68.44	\$55.45	\$0.00	\$12.99	\$0.00
	1.000	07/10/13	07/17/13	\$68.44	\$67.78	\$0.00	\$0.66	\$0.00
Security Subtotal	9.000			\$615.99	\$496.68	\$0.00	\$119.31	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DONALDSON CO INC								
		CUSIP: 257651109	Symbol (Box 1d): DCI					
	8 000	06/27/12	06/25/13	\$280.31	\$253.76	\$0.00	\$26.55	\$0.00
	35 000	10/25/12	10/17/13	\$1,406.28	\$1,121.75	\$0.00	\$284.53	\$0.00
Security Subtotal	43 000			\$1,686.59	\$1,375.51	\$0.00	\$311.08	\$0.00
FISERV INC WISCONSIN								
		CUSIP: 337738108	Symbol (Box 1d): FISV					
	1 000	12/18/12	08/13/13	\$101.58	\$80.50	\$0.00	\$21.08	\$0.00
	1 000	07/10/13	08/13/13	\$101.60	\$90.09	\$0.00	\$11.51	\$0.00
Security Subtotal	2 000			\$203.18	\$170.59	\$0.00	\$32.59	\$0.00
IDEXX LABS								
		CUSIP: 45168D104	Symbol (Box 1d): IDXX					
	3 000	04/23/13	06/25/13	\$269.99	\$261.45	\$0.00	\$8.54	\$0.00
	37 000	04/23/13	10/11/13	\$3,842.34	\$3,224.60	\$0.00	\$617.74	\$0.00
Security Subtotal	40 000			\$4,112.33	\$3,486.05	\$0.00	\$626.28	\$0.00
INTUIT INC								
		CUSIP: 461202103	Symbol (Box 1d): INTU					
	1 000	12/18/12	10/29/13	\$71.45	\$61.80	\$0.00	\$9.65	\$0.00
	35 000	05/03/13	10/29/13	\$2,500.73	\$2,117.50	\$0.00	\$383.23	\$0.00
	1 000	10/17/13	10/29/13	\$71.45	\$67.97	\$0.00	\$3.48	\$0.00
Security Subtotal	37 000			\$2,643.63	\$2,247.27	\$0.00	\$396.36	\$0.00
JOHNSON & JOHNSON								
		CUSIP: 478160104	Symbol (Box 1d): JNJ					
	11 000	06/08/12	05/14/13	\$954.78	\$689.15	\$0.00	\$265.63	\$0.00
	5 000	07/10/12	05/14/13	\$433.99	\$339.15	\$0.00	\$94.84	\$0.00
	25 000	08/29/12	05/14/13	\$2,169.94	\$1,688.50	\$0.00	\$481.44	\$0.00
Security Subtotal	41 000			\$3,558.71	\$2,716.80	\$0.00	\$841.91	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004

Identification Number:

26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H. CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATAM GA 30666-2535

Taxpayer ID Number:

32-0338339

Account Number:

308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 704326107 Symbol (Box 1d): PAYX								
PAYCHEX INC	20 000	06/08/12	05/03/13	\$747 09	\$614 80	\$0 00	\$132 29	\$0 00
	9 000	07/10/12	05/03/13	\$336 19	\$280 62	\$0 00	\$55 57	\$0 00
	1 000	03/26/13	05/03/13	\$37 35	\$34 86	\$0 00	\$2 49	\$0 00
Security Subtotal	30 000			\$1,120.63	\$930.28	\$0.00	\$190.35	\$0.00
CUSIP: 713448108 Symbol (Box 1d): PEP								
PEPSICO INC NC	8 000	04/25/12	03/26/13	\$630 47	\$533 68	\$0 00	\$96 79	\$0 00
	12 000	06/08/12	03/26/13	\$945 70	\$815 28	\$0 00	\$130 42	\$0 00
	5 000	07/10/12	03/26/13	\$394 04	\$349 15	\$0 00	\$44 89	\$0 00
	1 000	12/18/12	03/26/13	\$78 80	\$70 04	\$0 00	\$8 76	\$0 00
Security Subtotal	26 000			\$2,049.01	\$1,768.15	\$0.00	\$280.86	\$0.00
CUSIP: 826552101 Symbol (Box 1d): SIAL								
SIGMA ALDRICH CORP DEL	23 000	01/27/12	01/22/13	\$1,765 51	\$1,559 50	\$0 00	\$206 01	\$0 00
	5 000	02/10/12	01/22/13	\$383 81	\$346 75	\$0 00	\$37 06	\$0 00
	9 000	02/28/12	01/22/13	\$690 85	\$655 29	\$0 00	\$35 56	\$0 00
	12 000	03/05/12	01/22/13	\$921 13	\$854 59	\$0 00	\$66 54	\$0 00
	8 000	04/25/12	01/22/13	\$614 09	\$565 89	\$0 00	\$48 20	\$0 00
	13 000	06/08/12	01/22/13	\$997 90	\$908 31	\$0 00	\$89 59	\$0 00
	6 000	07/10/12	01/22/13	\$460 57	\$438 12	\$0 00	\$22 45	\$0 00
	1 000	12/18/12	01/22/13	\$76 75	\$73 19	\$0 00	\$3 56	\$0 00
Security Subtotal	77 000			\$5,910.61	\$5,401.64	\$0.00	\$508.97	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 32-0338339
Account Number 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SPDR TRUST SERIES 1 CUSIP: 78462F103 Symbol (Box 1d): SPY								
	12,000	03/26/13	04/23/13	\$1,888.52	\$1,873.63	\$0.00	\$14.89	\$0.00
	10,000	04/03/13	04/23/13	\$1,573.76	\$1,552.70	\$0.00	\$21.06	\$0.00
Security Subtotal	22,000			\$3,462.28	\$3,426.33	\$0.00	\$35.95	\$0.00
STERICYCLE INC CUSIP: 858912108 Symbol (Box 1d): SRCL								
	1,000	07/10/13	07/18/13	\$117.46	\$115.03	\$0.00	\$2.43	\$0.00
SYSCO CORP CUSIP: 871829107 Symbol (Box 1d): SY								
	28,000	06/08/12	05/03/13	\$977.80	\$801.36	\$0.00	\$176.44	\$0.00
	12,000	07/10/12	05/03/13	\$419.06	\$350.40	\$0.00	\$68.66	\$0.00
	43,000	08/06/12	05/03/13	\$1,501.62	\$1,259.04	\$0.00	\$242.58	\$0.00
	2,000	04/23/13	05/03/13	\$69.84	\$69.78	\$0.00	\$0.06	\$0.00
Security Subtotal	85,000			\$2,968.32	\$2,480.58	\$0.00	\$487.74	\$0.00
TECHNE CP CUSIP: 878377100 Symbol (Box 1d): TECH								
	1,000	07/10/12	03/26/13	\$67.77	\$73.92	\$0.00	(\$6.15)	\$0.00
	22,000	05/03/13	10/17/13	\$1,902.96	\$1,431.94	\$0.00	\$471.02	\$0.00
Security Subtotal	23,000			\$1,970.73	\$1,505.86	\$0.00	\$464.87	\$0.00
THERMO FISHER SCIENTIFIC INC CUSIP: 883556102 Symbol (Box 1d): TMO								
	19,000	06/08/12	05/17/13	\$1,630.68	\$962.54	\$0.00	\$668.14	\$0.00
	8,000	07/10/12	05/17/13	\$686.60	\$414.88	\$0.00	\$271.72	\$0.00
	1,000	10/25/12	05/17/13	\$85.83	\$61.55	\$0.00	\$24.28	\$0.00
	1,000	12/18/12	05/17/13	\$85.82	\$64.54	\$0.00	\$21.28	\$0.00
Security Subtotal	29,000			\$2,488.93	\$1,503.51	\$0.00	\$985.42	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Taxpayer ID Number 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TORCHMARK CORP	CUSIP: 891027104	Symbol (Box 1d): TMK						
	7 000	07/10/12	07/10/13	\$478.62	\$356.44	\$0.00	\$122.18	\$0.00
UNITED PARCEL SERVICE INC CL-B	CUSIP: 911312106	Symbol (Box 1d): UPS						
	4 000	12/18/12	06/25/13	\$343.47	\$295.11	\$0.00	\$48.36	\$0.00
	54 000	12/18/12	10/22/13	\$5,062.65	\$3,983.94	\$0.00	\$1,078.71	\$0.00
	1 000	07/10/13	10/22/13	\$93.75	\$90.45	\$0.00	\$3.30	\$0.00
	1 000	10/17/13	10/22/13	\$93.76	\$91.86	\$0.00	\$1.90	\$0.00
Security Subtotal	60 000			\$5,593.63	\$4,461.36	\$0.00	\$1,132.27	\$0.00
UNITED TECHNOLOGIES CORP	CUSIP: 913017109	Symbol (Box 1d): UTX						
	1 000	08/06/12	07/18/13	\$101.22	\$77.12	\$0.00	\$24.10	\$0.00
	13 000	08/29/12	07/18/13	\$1,315.89	\$1,043.61	\$0.00	\$272.28	\$0.00
Security Subtotal	14 000			\$1,417.11	\$1,120.73	\$0.00	\$296.38	\$0.00
WATERS CORP	CUSIP: 941848103	Symbol (Box 1d): WAT						
	4 000	07/10/12	07/10/13	\$413.90	\$299.80	\$0.00	\$114.10	\$0.00
	18 000	03/26/13	07/10/13	\$1,862.54	\$1,677.41	\$0.00	\$185.13	\$0.00
Security Subtotal	22 000			\$2,276.44	\$1,977.21	\$0.00	\$299.23	\$0.00
Total Short Term Covered Securities				\$49,461.41	\$40,914.58	\$0.00	\$8,546.83	\$0.00

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 32-0338339
Account Number 308 165949 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 00287Y109 Symbol (Box 1d): ABBV								
ABBVIE INC COM	23 000	01/27/12	01/08/13	\$791 60	\$658 72	\$0 00	\$132 88	\$0 00
	5 000	02/10/12	01/08/13	\$172 09	\$143 22	\$0 00	\$28 87	\$0 00
	8 000	02/28/12	01/08/13	\$275 34	\$236 86	\$0 00	\$38 48	\$0 00
	12 000	03/05/12	01/08/13	\$413 01	\$357 59	\$0 00	\$55 42	\$0 00
	8 000	04/25/12	01/08/13	\$275 34	\$255 44	\$0 00	\$19 90	\$0 00
	13 000	06/08/12	01/08/13	\$447 43	\$415 74	\$0 00	\$31 69	\$0 00
	5 000	07/10/12	01/08/13	\$172 08	\$171 47	\$0 00	\$0 61	\$0 00
Security Subtotal	74 000			\$2,546.89	\$2,239.04	\$0.00	\$307.85	\$0.00
Total Short Term Noncovered Securities				\$2,546.89	\$2,239.04	\$0.00	\$307.85	\$0.00
Total Short Term Covered and Noncovered Securities				\$52,008.30	\$43,153.62	\$0.00	\$8,854.68	\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 15 of 28

Corporate Tax Statement
Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AFLAC INCORPORATED	8 000	01/27/12	06/25/13	\$454 31	\$390 99	\$0 00	\$63 32	\$0 00
C R BARD INC NJ	3 000	01/27/12	06/25/13	\$328 79	\$280 93	\$0 00	\$47 86	\$0 00
	11 000	01/27/12	08/13/13	\$1,277 96	\$1,030 08	\$0 00	\$247 88	\$0 00
	4 000	02/10/12	08/13/13	\$464 71	\$380 20	\$0 00	\$84 51	\$0 00
	4 000	02/28/12	08/13/13	\$464 71	\$377 04	\$0 00	\$87 67	\$0 00
	8 000	03/05/12	08/13/13	\$929 43	\$742 47	\$0 00	\$186 96	\$0 00
	5 000	04/25/12	08/13/13	\$580 89	\$486 34	\$0 00	\$94 55	\$0 00
	8 000	06/08/12	08/13/13	\$929 43	\$789 84	\$0 00	\$139 59	\$0 00
	3 000	07/10/12	08/13/13	\$348 53	\$318 63	\$0 00	\$29 90	\$0 00
Security Subtotal	46 000			\$5,324.45	\$4,405.53	\$0 00	\$918.92	\$0 00
CH ROBINSON WORLDWIDE INC NEW	10 000	02/01/12	06/25/13	\$547 99	\$639 60	\$0 00	(\$91 61)	\$0 00
COGNIZANT TECH SOLUTIONS CL A	5 000	01/27/12	06/25/13	\$312 24	\$356 44	\$0 00	(\$44 20)	\$0 00
DANAHER CORPORATION	4 000	01/27/12	06/25/13	\$247 87	\$209 80	\$0 00	\$38 07	\$0 00
	10 000	01/27/12	07/17/13	\$684 45	\$524 49	\$0 00	\$159 96	\$0 00
	4 000	02/10/12	07/17/13	\$273 78	\$206 00	\$0 00	\$67 78	\$0 00
	5 000	02/28/12	07/17/13	\$342 22	\$266 55	\$0 00	\$75 67	\$0 00
	8 000	03/05/12	07/17/13	\$547 56	\$422 96	\$0 00	\$124 60	\$0 00
	6 000	04/25/12	07/17/13	\$410 67	\$320 80	\$0 00	\$89 87	\$0 00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DANAHER CORPORATION	8,000	06/08/12	07/17/13	\$547.56	\$408.16	\$0.00	\$139.40	\$0.00
	4,000	07/10/12	07/17/13	\$273.78	\$205.36	\$0.00	\$68.42	\$0.00
Security Subtotal	49,000			\$3,327.89	\$2,564.12	\$0.00	\$763.77	\$0.00
DONALDSON CO INC								
		CUSIP: 257651109		Symbol (Box 1d): DCI				
	78,000	06/27/12	10/17/13	\$3,134.01	\$2,474.16	\$0.00	\$659.85	\$0.00
	7,000	07/10/12	10/17/13	\$281.26	\$229.25	\$0.00	\$52.01	\$0.00
Security Subtotal	85,000			\$3,415.27	\$2,703.41	\$0.00	\$711.86	\$0.00
ECOLAB INC								
		CUSIP: 278865100		Symbol (Box 1d): ECL				
	41,000	01/27/12	04/29/13	\$3,409.67	\$2,488.48	\$0.00	\$921.19	\$0.00
	10,000	02/10/12	04/29/13	\$831.63	\$610.10	\$0.00	\$221.53	\$0.00
	14,000	02/28/12	04/29/13	\$1,164.28	\$860.86	\$0.00	\$303.42	\$0.00
	20,000	03/05/12	04/29/13	\$1,663.25	\$1,183.00	\$0.00	\$480.25	\$0.00
	10,000	04/25/12	04/29/13	\$831.63	\$624.26	\$0.00	\$207.37	\$0.00
Security Subtotal	95,000			\$7,900.46	\$5,766.70	\$0.00	\$2,133.76	\$0.00
EXPEDITORS INTL WASH INC								
		CUSIP: 302130109		Symbol (Box 1d): EXPD				
	12,000	01/27/12	06/25/13	\$447.35	\$533.59	\$0.00	(\$86.24)	\$0.00
FACTSET RESEARCH SYSTEMS INC								
		CUSIP: 303075105		Symbol (Box 1d): FDS				
	6,000	03/12/12	06/25/13	\$593.32	\$547.50	\$0.00	\$45.82	\$0.00
FISERV INC WISCONSIN								
		CUSIP: 337738108		Symbol (Box 1d): FISV				
	5,000	01/27/12	06/25/13	\$430.14	\$315.17	\$0.00	\$114.97	\$0.00
	18,000	01/27/12	08/13/13	\$1,828.50	\$1,134.62	\$0.00	\$693.88	\$0.00
	6,000	02/10/12	08/13/13	\$609.50	\$386.10	\$0.00	\$223.40	\$0.00
	7,000	02/28/12	08/13/13	\$711.08	\$466.13	\$0.00	\$244.95	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number

26-4310632

Taxpayer ID Number

32-0338339

Account Number

308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FISERV INC WISCONSIN	12,000	03/05/12	08/13/13	\$1,219.00	\$794.45	\$0.00	\$424.55	\$0.00
	8,000	04/25/12	08/13/13	\$812.67	\$555.57	\$0.00	\$257.10	\$0.00
	12,000	06/08/12	08/13/13	\$1,219.00	\$822.00	\$0.00	\$397.00	\$0.00
	6,000	07/10/12	08/13/13	\$609.50	\$422.22	\$0.00	\$187.28	\$0.00
Security Subtotal	74,000			\$7,439.39	\$4,896.26	\$0.00	\$2,543.13	\$0.00
GENL DYNAMICS CORP		CUSIP: 369550108	Symbol (Box 1d): GD					
	4,000	01/27/12	06/25/13	\$306.11	\$281.57	\$0.00	\$24.54	\$0.00
INFOSYS LIMITED ADR		CUSIP: 456788108	Symbol (Box 1d): INFY					
	3,000	01/27/12	06/25/13	\$120.68	\$162.36	\$0.00	(\$41.68)	\$0.00
INTL BUSINESS MACHINES CORP		CUSIP: 459200101	Symbol (Box 1d): IBM					
	2,000	01/27/12	06/25/13	\$389.97	\$381.59	\$0.00	\$8.38	\$0.00
INTUIT INC		CUSIP: 461202103	Symbol (Box 1d): INTU					
	7,000	01/27/12	06/25/13	\$412.71	\$402.13	\$0.00	\$10.58	\$0.00
	18,000	01/27/12	10/29/13	\$1,286.09	\$1,034.04	\$0.00	\$252.05	\$0.00
	6,000	02/10/12	10/29/13	\$428.70	\$339.66	\$0.00	\$89.04	\$0.00
	9,000	02/28/12	10/29/13	\$643.04	\$519.93	\$0.00	\$123.11	\$0.00
	13,000	03/05/12	10/29/13	\$928.84	\$737.49	\$0.00	\$191.35	\$0.00
	9,000	04/25/12	10/29/13	\$643.04	\$518.46	\$0.00	\$124.58	\$0.00
	14,000	06/08/12	10/29/13	\$1,000.29	\$795.06	\$0.00	\$205.23	\$0.00
	6,000	07/10/12	10/29/13	\$428.70	\$342.36	\$0.00	\$86.34	\$0.00
Security Subtotal	82,000			\$5,771.41	\$4,689.13	\$0.00	\$1,082.28	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JOHNSON & JOHNSON								
	CUSIP: 478160104	Symbol (Box 1d): JNJ						
	19,000	01/27/12	05/14/13	\$1,649.16	\$1,247.43	\$0.00	\$401.73	\$0.00
	5,000	02/10/12	05/14/13	\$433.99	\$322.40	\$0.00	\$111.59	\$0.00
	7,000	02/28/12	05/14/13	\$607.59	\$456.82	\$0.00	\$150.77	\$0.00
	11,000	03/05/12	05/14/13	\$954.78	\$711.48	\$0.00	\$243.30	\$0.00
	7,000	04/25/12	05/14/13	\$607.59	\$450.67	\$0.00	\$156.92	\$0.00
Security Subtotal	49,000			\$4,253.11	\$3,188.80	\$0.00	\$1,064.31	\$0.00
MATTHEWS INTL CP CL A								
	CUSIP: 577128101	Symbol (Box 1d): MATW						
	4,000	05/11/12	06/25/13	\$149.99	\$120.32	\$0.00	\$29.67	\$0.00
MEDTRONIC INC								
	CUSIP: 585055106	Symbol (Box 1d): MDT						
	6,000	01/27/12	06/25/13	\$310.85	\$235.51	\$0.00	\$75.34	\$0.00
MICROSOFT CORP								
	CUSIP: 594918104	Symbol (Box 1d): MSFT						
	12,000	01/27/12	06/25/13	\$404.15	\$351.35	\$0.00	\$52.80	\$0.00
PAYCHEX INC								
	CUSIP: 704326107	Symbol (Box 1d): PAYX						
	36,000	01/27/12	05/03/13	\$1,344.75	\$1,156.66	\$0.00	\$188.09	\$0.00
	9,000	02/10/12	05/03/13	\$336.19	\$281.52	\$0.00	\$54.67	\$0.00
	13,000	02/28/12	05/03/13	\$485.61	\$407.55	\$0.00	\$78.06	\$0.00
	19,000	03/05/12	05/03/13	\$709.73	\$598.05	\$0.00	\$111.68	\$0.00
	12,000	04/25/12	05/03/13	\$448.25	\$370.27	\$0.00	\$77.98	\$0.00
Security Subtotal	89,000			\$3,324.53	\$2,814.05	\$0.00	\$510.48	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 713448108 Symbol (Box 1d): PEP								
PEPSICO INC NC	21,000	01/27/12	03/26/13	\$1,654.97	\$1,383.17	\$0.00	\$271.80	\$0.00
	6,000	02/10/12	03/26/13	\$472.85	\$382.50	\$0.00	\$90.35	\$0.00
	7,000	02/28/12	03/26/13	\$551.66	\$441.98	\$0.00	\$109.68	\$0.00
	11,000	03/05/12	03/26/13	\$866.89	\$690.29	\$0.00	\$176.60	\$0.00
Security Subtotal	45,000			\$3,546.37	\$2,897.94	\$0.00	\$648.43	\$0.00
CUSIP: 774341101 Symbol (Box 1d): COL								
ROCKWELL COLLINS INC	4,000	01/27/12	06/25/13	\$246.87	\$232.89	\$0.00	\$13.98	\$0.00
CUSIP: 78462F103 Symbol (Box 1d): SPY								
SPDR TRUST SERIES 1	12,000	01/27/12	06/25/13	\$1,902.32	\$1,580.12	\$0.00	\$322.20	\$0.00
CUSIP: 855030102 Symbol (Box 1d): SPLS								
STAPLES INC	21,000	01/27/12	06/25/13	\$330.74	\$336.97	\$0.00	(\$6.23)	\$0.00
CUSIP: 858912108 Symbol (Box 1d): SRCL								
STERICYCLE INC	3,000	04/03/12	06/25/13	\$326.39	\$252.30	\$0.00	\$74.09	\$0.00
	29,000	04/03/12	07/18/13	\$3,406.28	\$2,438.90	\$0.00	\$967.38	\$0.00
	5,000	04/25/12	07/18/13	\$587.29	\$437.64	\$0.00	\$149.65	\$0.00
	8,000	06/08/12	07/18/13	\$939.66	\$693.20	\$0.00	\$246.46	\$0.00
	4,000	07/10/12	07/18/13	\$469.83	\$369.68	\$0.00	\$100.15	\$0.00
Security Subtotal	49,000			\$5,729.45	\$4,191.72	\$0.00	\$1,537.73	\$0.00
CUSIP: 863236105 Symbol (Box 1d): STRA								
STRAYER EDUCATION INC	3,000	01/27/12	06/25/13	\$148.13	\$330.04	\$0.00	(\$181.91)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC

JEE H CHU

CHUNG K. CHU

662 GARDEN CIRCLE

STATHAM GA 30666-2535

Taxpayer ID Number: 32-0338339

Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
STRYKER CORP	8 000	01/27/12	06/25/13	\$519.59	\$438.72	\$0.00	\$80.87	\$0.00
CUSIP: 863667101 Symbol (Box 1d): SYK								
SYSCO CORP	49 000	01/27/12	05/03/13	\$1,711.15	\$1,484.58	\$0.00	\$226.57	\$0.00
	12 000	02/10/12	05/03/13	\$419.06	\$351.00	\$0.00	\$68.06	\$0.00
	18 000	02/28/12	05/03/13	\$628.59	\$528.12	\$0.00	\$100.47	\$0.00
	26 000	03/05/12	05/03/13	\$907.96	\$765.97	\$0.00	\$141.99	\$0.00
	19 000	04/25/12	05/03/13	\$663.51	\$548.00	\$0.00	\$115.51	\$0.00
Security Subtotal	124 000			\$4,330.27	\$3,677.67	\$0.00	\$652.60	\$0.00
CUSIP: 87612E106 Symbol (Box 1d): TGT								
TARGET CORPORATION	3 000	01/27/12	06/25/13	\$207.56	\$150.27	\$0.00	\$57.29	\$0.00
CUSIP: 878377100 Symbol (Box 1d): TECH								
TECHNE CP	5 000	01/27/12	06/25/13	\$344.99	\$350.09	\$0.00	(\$5.10)	\$0.00
	12 000	01/27/12	10/17/13	\$1,037.98	\$840.20	\$0.00	\$197.78	\$0.00
	4 000	02/10/12	10/17/13	\$345.99	\$270.32	\$0.00	\$75.67	\$0.00
	5 000	02/28/12	10/17/13	\$432.49	\$359.80	\$0.00	\$72.69	\$0.00
	8 000	03/05/12	10/17/13	\$691.99	\$564.32	\$0.00	\$127.67	\$0.00
	6 000	05/11/12	10/17/13	\$518.99	\$418.38	\$0.00	\$100.61	\$0.00
	8 000	06/08/12	10/17/13	\$691.99	\$530.16	\$0.00	\$161.83	\$0.00
	3 000	07/10/12	10/17/13	\$259.50	\$221.76	\$0.00	\$37.74	\$0.00
Security Subtotal	51 000			\$4,323.92	\$3,555.03	\$0.00	\$768.89	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number 26-4310632

Taxpayer ID Number 32-0338339
Account Number 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
THE FINANCIAL SEL SECT SPDR FD	33.000	01/27/12	06/25/13	\$629.95	\$465.18	\$0.00	\$164.77	\$0.00
CUSIP: 81369Y605 Symbol (Box 1d): XLF								
THERMO FISHER SCIENTIFIC INC	32.000	01/27/12	05/17/13	\$2,746.41	\$1,681.26	\$0.00	\$1,065.15	\$0.00
	8.000	02/10/12	05/17/13	\$686.60	\$444.88	\$0.00	\$241.72	\$0.00
	12.000	02/28/12	05/17/13	\$1,029.90	\$685.20	\$0.00	\$344.70	\$0.00
	17.000	03/05/12	05/17/13	\$1,459.03	\$959.86	\$0.00	\$499.17	\$0.00
	10.000	04/25/12	05/17/13	\$858.25	\$547.26	\$0.00	\$310.99	\$0.00
Security Subtotal	79.000			\$6,780.19	\$4,318.46	\$0.00	\$2,461.73	\$0.00
CUSIP: 891027104 Symbol (Box 1d): TMK								
TORCHMARK CORP	9.000	01/27/12	06/25/13	\$580.48	\$413.27	\$0.00	\$167.21	\$0.00
	41.000	01/27/12	07/10/13	\$2,803.35	\$1,882.65	\$0.00	\$920.70	\$0.00
	11.000	02/10/12	07/10/13	\$752.12	\$518.32	\$0.00	\$233.80	\$0.00
	16.000	02/28/12	07/10/13	\$1,093.99	\$779.68	\$0.00	\$314.31	\$0.00
	23.000	03/05/12	07/10/13	\$1,572.61	\$1,126.79	\$0.00	\$445.82	\$0.00
	15.000	04/25/12	07/10/13	\$1,025.62	\$719.75	\$0.00	\$305.87	\$0.00
	24.000	06/08/12	07/10/13	\$1,640.99	\$1,133.04	\$0.00	\$507.95	\$0.00
Security Subtotal	139.000			\$9,469.16	\$6,573.50	\$0.00	\$2,895.66	\$0.00
CUSIP: 913017109 Symbol (Box 1d): UTX								
UNITED TECHNOLOGIES CORP	3.000	01/27/12	06/25/13	\$274.70	\$232.97	\$0.00	\$41.73	\$0.00
	5.000	01/27/12	07/18/13	\$506.11	\$388.28	\$0.00	\$117.83	\$0.00
	3.000	02/10/12	07/18/13	\$303.67	\$249.42	\$0.00	\$54.25	\$0.00
	2.000	02/28/12	07/18/13	\$202.44	\$167.24	\$0.00	\$35.20	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 23 of 28

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATAM GA 30666-2535

Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNITED TECHNOLOGIES CORP	4 000	03/05/12	07/18/13	\$404 89	\$332 29	\$0 00	\$72 60	\$0.00
	3 000	04/25/12	07/18/13	\$303 67	\$240 00	\$0 00	\$63 67	\$0.00
	5 000	06/08/12	07/18/13	\$506 11	\$375 80	\$0 00	\$130 31	\$0.00
Security Subtotal	25.000			\$2,501.59	\$1,986.00	\$0.00	\$515.59	\$0.00
VARIAN MEDICAL SYS INC		CUSIP: 92220P105 Symbol (Box 1d): VAR						
	8 000	01/27/12	06/25/13	\$533 83	\$529 78	\$0 00	\$4 05	\$0.00
WAL MART STORES INC		CUSIP: 931142103 Symbol (Box 1d): WMT						
	5 000	01/27/12	06/25/13	\$371 69	\$303 98	\$0 00	\$67 71	\$0.00
WALGREEN CO		CUSIP: 931422109 Symbol (Box 1d): WAG						
	6 000	01/27/12	06/25/13	\$266 09	\$203 99	\$0 00	\$62 10	\$0.00
	25 000	01/27/12	10/16/13	\$1 438 93	\$849 94	\$0 00	\$588 99	\$0.00
	7 000	02/10/12	10/16/13	\$402 90	\$241 57	\$0 00	\$161 33	\$0.00
	10 000	02/28/12	10/16/13	\$575 57	\$332 50	\$0 00	\$243 07	\$0.00
	15 000	03/05/12	10/16/13	\$863 36	\$499 29	\$0 00	\$364 07	\$0.00
	7 000	04/25/12	10/16/13	\$402 90	\$248 92	\$0 00	\$153 98	\$0.00
	15 000	06/08/12	10/16/13	\$863 36	\$466 50	\$0 00	\$396 86	\$0.00
	7 000	07/10/12	10/16/13	\$402 90	\$208 88	\$0 00	\$194 02	\$0.00
Security Subtotal	92.000			\$5,216.01	\$3,051.59	\$0.00	\$2,164.42	\$0.00
WATERS CORP		CUSIP: 941848103 Symbol (Box 1d): WAT						
	4 000	01/27/12	06/25/13	\$396 97	\$348 17	\$0 00	\$48 80	\$0.00
	9 000	01/27/12	07/10/13	\$931 28	\$783 39	\$0 00	\$147 89	\$0.00
	4 000	02/10/12	07/10/13	\$413 90	\$351 00	\$0 00	\$62 90	\$0.00
	4 000	02/28/12	07/10/13	\$413 90	\$360 88	\$0 00	\$53 02	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorTHE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535New York, NY 10004
Identification Number
26-4310632Taxpayer ID Number: 32-0338339
Account Number: 308 165949 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WATERS CORP	7 000	03/05/12	07/10/13	\$724.33	\$622.16	\$0.00	\$102.17	\$0.00
	5 000	04/25/12	07/10/13	\$517.38	\$412.85	\$0.00	\$104.53	\$0.00
	7 000	06/08/12	07/10/13	\$724.33	\$545.72	\$0.00	\$178.61	\$0.00
Security Subtotal	40,000			\$4,122.09	\$3,424.17	\$0.00	\$697.92	\$0.00
Total Long Term Covered Securities				\$95,703.20	\$73,072.85	\$0.00	\$22,630.35	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$147,711.50	\$116,226.47	\$0.00	\$31,485.03	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$147,711.50				
Total Cost or Other Basis (Box 3)					\$113,987.43			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number 32-0338339
Account Number. 308 165950 744

Customer Service: 866-324-6088

THE CHU FAMILY FOUNDATION, INC
JEE H. CHUCHUNG K CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES CORE S&P TOTAL US STOC								
	CUSIP: 464287150	Symbol (Box 1d): ITOT						
	2 000	12/20/12	04/01/13	\$142.43	\$131.27	\$0.00	\$11.16	\$0.00
	18 000	12/30/12	04/01/13	\$1,281.83	\$1,179.09	\$0.00	\$102.74	\$0.00
	45 000	02/12/13	04/01/13	\$3,204.56	\$3,123.00	\$0.00	\$81.56	\$0.00
	66 000	04/10/13	04/15/13	\$4,667.81	\$4,776.76	\$0.00	(\$108.95)	\$0.00
	4 000	04/10/13	10/03/13	\$307.60	\$289.50	\$0.00	\$18.10	\$0.00
	56 000	04/18/13	10/03/13	\$4,306.36	\$4,123.59	\$0.00	\$182.77	\$0.00
	2 000	04/23/13	10/03/13	\$153.80	\$143.97	\$0.00	\$9.83	\$0.00
Security Subtotal	193 000			\$14,064.39	\$13,767.18	\$108.95	\$297.21	\$0.00
ISHARES RUSSELL 1000 ETF								
	CUSIP: 464287622	Symbol (Box 1d): IWB						
	2 000	12/20/12	04/01/13	\$173.65	\$159.87	\$0.00	\$13.78	\$0.00
	14 000	12/30/12	04/01/13	\$1,215.56	\$1,115.90	\$0.00	\$99.66	\$0.00
	1 000	01/03/13	04/01/13	\$86.83	\$80.89	\$0.00	\$5.94	\$0.00
	36 000	02/12/13	04/01/13	\$3,125.73	\$3,044.52	\$0.00	\$81.21	\$0.00
	54 000	04/10/13	04/15/13	\$4,662.72	\$4,766.33	\$103.61	(\$103.61)	\$0.00
	4 000	04/10/13	10/03/13	\$375.81	\$353.06	\$0.00	\$22.75	\$0.00
	46 000	04/18/13	10/03/13	\$4,321.77	\$4,123.84	\$0.00	\$197.93	\$0.00
	1 000	04/23/13	10/03/13	\$93.95	\$87.73	\$0.00	\$6.22	\$0.00
Security Subtotal	158 000			\$14,056.02	\$13,732.14	\$103.61	\$323.88	\$0.00
S & P 500 INDEX FUND								
	CUSIP: 464287200	Symbol (Box 1d): IVV						
	8 000	12/20/12	04/01/13	\$1,253.95	\$1,157.99	\$0.00	\$95.96	\$0.00
	44 000	12/30/12	04/01/13	\$6,896.73	\$6,349.06	\$0.00	\$547.67	\$0.00
	6 000	01/02/13	04/01/13	\$940.46	\$885.67	\$0.00	\$54.79	\$0.00
	3 000	01/03/13	04/01/13	\$470.23	\$438.81	\$0.00	\$31.42	\$0.00
	126 000	02/12/13	04/01/13	\$19,749.73	\$19,238.07	\$0.00	\$511.66	\$0.00

CONTINUED ON NEXT PAGE

Page 7 of 14

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

THE CHU FAMILY FOUNDATION, INC
JEE H. CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Identification Number: 26-4310632

Taxpayer ID Number: 32-0338339
Account Number: 308 165950 744

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
S & P 500 INDEX FUND	189 000	04/10/13	04/15/13	\$29,511.56	\$30,135.84	\$624.28	(\$624.28)	\$0.00
	1 000	04/10/13	04/15/13	\$156.35	\$159.66	\$0.00	(\$3.31)	\$0.00
	12 000	04/10/13	10/03/13	\$2,021.61	\$1,913.40	\$0.00	\$108.21	\$0.00
	165 000	04/18/13	10/03/13	\$27,797.10	\$26,727.13	\$0.00	\$1,069.97	\$0.00
Security Subtotal	554,000			\$88,797.72	\$87,005.63	\$624.28	\$1,792.09	\$0.00
CUSIP: 79462F103 Symbol (Box 1d): SPY								
SPDR TRUST SERIES 1	9 000	12/20/12	04/01/13	\$1,403.61	\$1,305.45	\$0.00	\$98.16	\$0.00
	43 000	12/30/12	04/01/13	\$6,706.13	\$6,215.39	\$0.00	\$490.74	\$0.00
	3 000	01/02/13	04/01/13	\$467.87	\$443.65	\$0.00	\$24.22	\$0.00
	6 000	01/03/13	04/01/13	\$935.74	\$873.19	\$0.00	\$62.55	\$0.00
	127 000	02/12/13	04/01/13	\$19,806.47	\$19,292.57	\$0.00	\$513.90	\$0.00
	191 000	04/10/13	04/15/13	\$29,687.74	\$30,317.43	\$629.69	(\$629.69)	\$0.00
	11 000	04/10/13	10/03/13	\$1,843.60	\$1,746.03	\$0.00	\$97.57	\$0.00
	166 000	04/18/13	10/03/13	\$27,821.65	\$26,751.96	\$0.00	\$1,069.69	\$0.00
Security Subtotal	556,000			\$86,672.81	\$86,945.67	\$629.69	\$1,727.14	\$0.00
CUSIP: 922908413 Symbol (Box 1d):								
VANGUARD S&P 500 ETF	13 000	12/20/12	04/01/13	\$928.33	\$863.87	\$0.00	\$64.46	\$0.00
	101 000	12/30/12	04/01/13	\$7,212.44	\$6,643.07	\$0.00	\$569.37	\$0.00
	5 000	01/02/13	04/01/13	\$357.05	\$336.52	\$0.00	\$20.53	\$0.00
	15 000	01/03/13	04/01/13	\$1,071.15	\$999.89	\$0.00	\$71.26	\$0.00
	277 000	02/12/13	04/01/13	\$19,780.66	\$19,270.89	\$0.00	\$509.77	\$0.00
	1 000	04/10/13	04/15/13	\$71.35	\$72.90	\$0.00	(\$1.55)	\$0.00
	417 000	04/10/13	04/15/13	\$29,657.10	\$30,301.95	\$644.85	(\$644.85)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

THE CHU FAMILY FOUNDATION, INC
JEE H CHU
CHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number. 26-4310632
Taxpayer ID Number. 32-0338339
Account Number 308 165950 744
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VANGUARD S&P 500 ETF	24 000	04/10/13	10/03/13	\$1,842.17	\$1,744.01	\$0.00	\$98.16	\$0.00
	365,000	04/18/13	10/03/13	\$28,016.37	\$26,949.89	\$0.00	\$1,066.48	\$0.00
				\$88,936.62	\$87,182.99	\$644.85	\$1,753.63	\$0.00
Security Subtotal	1,218,000			\$294,527.56	\$288,633.61	\$2,111.38	\$5,893.95	\$0.00
Total Short Term Covered Securities								

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification NumberTHE CHU FAMILY FOUNDATION, INC
JEE H. CHUCHUNG K. CHU
662 GARDEN CIRCLE
STATHAM GA 30666-2535

26-4310632

32-0338339

308 165950 744

Taxpayer ID Number:

Account Number:

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ISHARES CORE S&P TOTAL US STOC	12,000	01/27/12	06/25/13	\$871.06	\$720.12	\$0.00	\$150.94	\$0.00
ISHARES RUSSELL 1000 ETF	10,000	01/27/12	06/25/13	\$883.38	\$731.00	\$0.00	\$152.38	\$0.00
S & P 500 INDEX FUND	34,000	01/27/12	06/25/13	\$5,441.26	\$4,495.82	\$0.00	\$945.44	\$0.00
SPDR TRUST SERIES 1	34,000	01/27/12	06/25/13	\$5,386.18	\$4,477.01	\$0.00	\$909.17	\$0.00
VANGUARD S&P 500 ETF	71,000	01/27/12	06/25/13	\$5,150.96	\$4,278.39	\$0.00	\$872.57	\$0.00
Total Long Term Noncovered Securities				\$17,732.84	\$14,702.34	\$0.00	\$3,030.50	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$312,260.40	\$303,335.95	\$2,111.38	\$8,924.45	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)	\$312,260.40							
Total Cost or Other Basis (Box 3)	\$288,633.61							
Total Wash Sale Loss Disallowed (Box 5)	\$2,111.38							
Total Fed Tax Withheld (Box 4)	\$0.00							

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS