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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

## THE SIMMS/MANN FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

9320 WILSHIRE BLVD

Room/suite  
300

City or town, state or province, country, and ZIP or foreign postal code

BEVERLY HILLS, CA 90212

G Check all that apply:

Initial return       Initial return of a former public charity  
 Final return       Amended return  
 Address change       Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust       Other taxable private foundationI Fair market value of all assets at end of year      J Accounting method:  Cash       Accrual  
(from Part II, col. (c), line 16)       Other (specify) \_\_\_\_\_

► \$ 83,851,183. (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	2,425,000.		N/A	
2	Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	566,750.	566,491.		STATEMENT 1
4	Dividends and interest from securities	846,332.	846,332.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	932,532.			
b	Gross sales price for all assets on line 6a	6,846,050.			
7	Capital gain net income (from Part IV, line 2)		932,532.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	487,063.	463,184.		STATEMENT 3
12	Total. Add lines 1 through 11	5,257,677.	2,808,539.		
13	Compensation of officers, directors, trustees, etc	70,000.	0.		70,000.
14	Other employee salaries and wages	27,898.	0.		27,898.
15	Pension plans, employee benefits	6,500.	0.		6,500.
16a	Legal fees <b>RECEIVED</b> STMT 4	43,637.	0.		43,637.
b	Accounting fees STMT 5	38,950.	1,300.		33,900.
c	Other professional fees STMT 6	453,288.	292,773.		143,983.
17	Interest <b>NOV 24 2014</b> STMT 7	428,161.	0.		12,704.
18	Taxes STMT 8				
19	Depreciation and depletion <b>OPEN, UT</b> STMT 9				
20	Occupancy	83,737.	5,600.		78,137.
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 8	392,601.	305,629.		82,977.
24	Total operating and administrative expenses. Add lines 13 through 23	1,544,772.	605,302.		499,736.
25	Contributions, gifts, grants paid	2,324,769.			2,793,769.
26	Total expenses and disbursements. Add lines 24 and 25	3,869,541.	605,302.		3,293,505.
27	Subtract line 26 from line 12:	1,388,136.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		2,203,237.		
c	Adjusted net income (if negative, enter -0-)			N/A	

323501  
10-10-13 LHA For Paperwork Reduction Act Notice, see instructions

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		4,476.	7,794.	7,794.
	2 Savings and temporary cash investments		440,889.	222,181.	222,181.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges		38.	609.	609.
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 9	5,453,019.	12,300,991.	12,300,991.
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other	STMT 10	55,313,159.	66,638,360.	66,638,360.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►	STATEMENT 11)	10,624,439.	4,681,248.	4,681,248.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		71,836,020.	83,851,183.	83,851,183.
	17 Accounts payable and accrued expenses		195,251.	17,811.	
	18 Grants payable		595,000.	126,000.	
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►	STATEMENT 12)	0.	360,000.	
	23 Total liabilities (add lines 17 through 22)		790,251.	503,811.	
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		71,045,769.	83,347,372.	
	25 Temporarily restricted				
	26 Permanently restricted				
31	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances		71,045,769.	83,347,372.	
31 Total liabilities and net assets/fund balances			71,836,020.	83,851,183.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	71,045,769.
2 Enter amount from Part I, line 27a	2	1,388,136.
3 Other increases not included in line 2 (itemize) ► <u>NET UNREALIZED GAIN/(LOSS)</u>	3	10,913,467.
4 Add lines 1, 2, and 3	4	83,347,372.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	83,347,372.

**Part IV Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e <b>6,846,050.</b>		<b>5,913,518.</b>		<b>932,532.</b>
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				<b>932,532.</b>
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<b>932,532.</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	<b>2,062,706.</b>	<b>66,290,580.</b>	<b>.031116</b>
2011	<b>3,446,307.</b>	<b>67,781,307.</b>	<b>.050845</b>
2010	<b>2,940,603.</b>	<b>64,170,671.</b>	<b>.045825</b>
2009	<b>1,705,515.</b>	<b>58,478,527.</b>	<b>.029165</b>
2008	<b>2,762,295.</b>	<b>70,588,167.</b>	<b>.039133</b>

2 Total of line 1, column (d)

**.196084**

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

**.039217**

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

**72,020,118.**

5 Multiply line 4 by line 3

**2,824,413.**

6 Enter 1% of net investment income (1% of Part I, line 27b)

**22,032.**

7 Add lines 5 and 6

**2,846,445.**

8 Enter qualifying distributions from Part XII, line 4

**3,293,505.**If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	22,032.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3	Add lines 1 and 2			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-			
6	<b>Credits/Payments:</b>			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	39,195.	
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	39,195.	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9		
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	17,163.	
11	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> ►	11	0.	

## Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	X	
1c	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>0.</u> (2) On foundation managers. ► \$ <u>0.</u>		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>0.</u>		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	X	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	X	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>CA, DE</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	SEE STATEMENT 13	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

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## Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<input checked="" type="checkbox"/>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	<input checked="" type="checkbox"/>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	<input checked="" type="checkbox"/>
14	The books are in care of ► <u>ANDREW KANE</u> Telephone no. ► <u>310-275-1395</u> Located at ► <u>9320 WILSHIRE BLVD, BEVERLY HILLS, CA</u> ZIP+4 ► <u>90212</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	<input type="checkbox"/> N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	<input checked="" type="checkbox"/> X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	<input checked="" type="checkbox"/> X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	<input type="checkbox"/> N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	<input checked="" type="checkbox"/> X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input checked="" type="checkbox"/> X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	<input checked="" type="checkbox"/> X

## Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  
 Yes  No  
 (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  
 Yes  No  
 (3) Provide a grant to an individual for travel, study, or other similar purposes?  
 Yes  No  
 (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  
 Yes  No  
 (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  
 Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

► 

X

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

## SEE STATEMENT 14

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

6b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

 Yes  No

N/A

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

## Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VICTORIA MANN SIMMS 9320 WILSHIRE BLVD. SUITE 300 BEVERLY HILLS, CA 90212	PRESIDENT/DIRECTOR 15.00	70,000.	0.	0.
RONALD A. SIMMS 9320 WILSHIRE BLVD. SUITE 300 BEVERLY HILLS, CA 90212	TREASURER/VICE PRESIDENT 2.00	0.	0.	0.
JOSH SIMMS 9320 WILSHIRE BLVD. SUITE 300 BEVERLY HILLS, CA 90212	SECRETARY/DIRECTOR 1.00	0.	0.	0.
JULIE SIMMS LIEBMAN 9320 WILSHIRE BLVD. SUITE 300 BEVERLY HILLS, CA 90212	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SVC GREYCOURT 607 COLLEGE AVE, PITTSBURGH, PA 15232	INVESTMENT	165,321.

Total number of others receiving over \$50,000 for professional services ►

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	Expenses
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
Total. Add lines 1 through 3	►	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,379,286.
b	Average of monthly cash balances	1b	15,051,429.
c	Fair market value of all other assets	1c	50,686,156.
d	<b>Total</b> (add lines 1a, b, and c)	1d	73,116,871.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	1,747,956.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	73,116,871.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,096,753.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	72,020,118.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	3,601,006.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,601,006.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	22,032.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	22,032.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,578,974.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,578,974.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,578,974.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,293,505.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,293,505.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	22,032.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	3,271,473.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,578,974.
2 Undistributed income, if any, as of the end of 2013			2,965,790.	
a Enter amount for 2012 only		0.		
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 3,293,505.			2,965,790.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				327,715.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				3,251,259.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

4942(j)(3) or  4942(j)(5)

b Check box to indicate whether the foundation is a private operating foundation described in section

Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

## Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

## SEE STATEMENT 15

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

## NONE

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE STATEMENT ATTACHED 9320 WILSHIRE BLVD, SUITE #300 BEVERLY HILLS, CA 90212		PC	GENERAL SUPPORT	2,793,769.
<b>Total</b>				► 3a 2,793,769.
<b>b Approved for future payment</b>				
FOUNDATION FOR SANTA BARBARA CITY COLLEGE 721 CLIFF DR SANTA BARBARA, CA 93109		PC	CAMPAIGN FOR STUDENT SUCCESS	96,000.
<b>Total</b>				► 3b 96,000.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	<38,065.>	14	525,128.	
8 Gain or (loss) from sales of assets other than inventory			18	932,532.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		<38,065.>		2,870,742.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	2,832,677.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.  
► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Name of the organization

**THE SIMMS/MANN FAMILY FOUNDATION**

Employer identification number

**31-1812498**

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use **exclusively** for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use **exclusively** for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an **exclusively** religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received **nonexclusively** religious, charitable, etc., contributions of \$5,000 or more during the year

► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE SIMMS/MANN FAMILY FOUNDATION

31-1812498

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE US LIFE FUND FOR JULIE SIMMS <u>LIEBMAN</u>  <u>1029 W. THIRD AVE #400</u>  <u>ANCHORAGE, AK 99501</u>	\$ 2,425,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>

Name of organization

Employer identification number

THE SIMMS/MANN FAMILY FOUNDATION

31-1812498

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

---

**Name of organization**

**Employer identification number**

**THE SIMMS/MANN FAMILY FOUNDATION**

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

Use duplicate copies of Part III if additional space is needed.

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	<b>MASON CAPITAL, LTD</b>	P	10/29/09	11/18/13
b	<b>PALO ALTO NETWORKS, INC</b>	P	01/02/11	VARIOUS
c	<b>PIMCO</b>	P	11/10/09	VARIOUS
d	<b>SILVER SPRINGS NETWORKS INC</b>	P	08/31/11	VARIOUS
e	<b>STEEL PARTNERS</b>	P	10/01/03	12/01/13
f	<b>THE RAPTOR PRIV HLDGS LTD</b>	P	04/01/05	VARIOUS
g	<b>FOREIGN EXCHANGE GAIN/(LOSS)</b>	P	VARIOUS	VARIOUS
h	<b>CAPITAL GAIN DISTRIB PMC</b>	P	VARIOUS	VARIOUS
i	<b>PARTNERSHIP GAIN/(LOSS)</b>	P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,102,618.		2,400,000.	702,618.
b 247,043.		14,199.	232,844.
c 3,300,000.		3,304,583.	<4,583.>
d 20,094.		14,456.	5,638.
e 58,539.		50,937.	7,602.
f 19,882.		27,026.	<7,144.>
g		102,317.	<102,317.>
h 11,709.			11,709.
i 86,165.			86,165.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "0-")
a			702,618.
b			232,844.
c			<4,583.>
d			5,638.
e			7,602.
f			<7,144.>
g			<102,317.>
h			11,709.
i			86,165.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	932,532.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

The Simms/Mann Family Foundation  
Year ended December 31, 2013

Part X Line 1e - Reduction claimed for blockage or other factors.

Investment in Real Estate

1) Description of asset

33.33% Member interest in Industry Drive Associates, LLC.  
Industry Drive Associates, LLC owns and rents industrial real estate.

2) Securities Percentage owned

N/A Not a Security

3) FMV before claimed blockage discount 2,683,744

4) Amount of discount claimed 925,892

5) The investment has been appraised pursuant to IRC Reg. 53.4942(a)-2(c)(4)(iv)(b)  
The discount was taken for lack of control and lack of marketability.

**The Simms/Mann Family Foundation  
Year ended December 31, 2013**

**Part X Line 1e - Reduction claimed for blockage or other factors.**

**Investment in Real Estate**

**1) Description of asset**

50% Member interest in Anchor Industrial Investments, LLC.  
Anchor Industrial Investments owns and rents industrial real estate.

**2) Securities Percentage owned**

N/A Not a Security

**3) FMV before claimed blockage discount** 2,740,214

**4) Amount of discount claimed** 822,064

**5) The investment has been appraised pursuant to IRC Reg. 53.4942(a)-2(c)(4)(iv)(b)**  
The discount was taken for lack of control and lack of marketability.

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
A Place Called Home 2830 South Central Avenue Los Angeles, CA 90011	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,500.00
Academy of Healing Arts for Teens AHA! Inc 111 E Arellaga Street Santa Barbara, CA 93101	None Public Charity 501(c)(3) and 509(a)(1)	Civic	5,525.00
American Friends of Magen David Adom 352 Seventh Avenue, Suite 400 New York, NY 10001	None Public Charity 501(c)(3) and 509(a)(1)	Medical	10,250.00
American Friends of the Israel Museum 500 Fifth Ave, Suite 2540 New York, NY 10110	None Public Charity 501(c)(3) and 509(a)(1)	Civic	10,000.00
American National Red Cross 2025 E St Washington, DC 20006	None Public Charity 501(c)(3) and 509(a)(1)	Medical	1,000.00
Avon Products Foundation Inc 777 3rd Avenue New York, NY 10017	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000.00
Bayith Lepletot Inc 1362 W 32st Street Brooklyn, NY 11210	None Public Charity 501(c)(3) and 509(a)(1)	Civic	250.00
Beverly Hills Firemen's Relief Fund P O Box 1720 Beverly Hills, CA 90213	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000.00
Beverly Hills Police Officer's Benevolent Fund 464 N Rexford Dr Beverly Hills, CA 90210	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000.00
Cedars Sinai Medical Center 8700 Beverly Blvd West Hollywood, CA 90048	None Public Charity 501(c)(3) and 509(a)(1)	Medical	1,500.00

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
	None	Medical	17,500 00
<b>Cerebral Palsy International Research Foundation</b> 186 Princeton Highstown Rd Princeton Junction, NJ 08550	None Public Charity 501(c)(3) and 509(a)(1)	Civic	2,500 00
<b>Children's Bureau of Southern California</b> 1910 Magnolia Avenue Los Angeles, CA 90007	None Public Charity 501(c)(3) and 509(a)(1)	Medical	100,250 00
<b>Children's Hospital of LA</b> 4650 Sunset Blvd Los Angeles, CA 90027	None Public Charity 501(c)(3) and 509(a)(1)	Civic	500 00
<b>Children's Music Fund</b> 1301 20th St., Ste 220 Santa Monica, CA 90404	None Public Charity 501(c)(3) and 509(a)(1)	Medical	5,100 00
<b>City of Hope</b> 1500 W Duarre Rd Duarte, CA 91010	None Public Charity 501(c)(3) and 509(a)(1)	Civic	2,000 00
<b>Community Partners</b> 1000 N Alameda Street, Suite 240 Los Angeles, CA 90012	None Public Charity 501(c)(3) and 509(a)(1)	Civic	200 00
<b>Covenant House California</b> 1325 N Western Ave Hollywood, CA 90027	None Public Charity 501(c)(3) and 509(a)(1)	Medical	1,000 00
<b>Crohn's &amp; Colitis Foundation of America, Inc</b> 386 Park Ave South, 17th Floor New York, NY 10016	None Public Charity 501(c)(3) and 509(a)(2)	Civic	534 00
<b>Ensemble Theatre Project Inc</b> P O Box 2307 Santa Barbara, CA 93120	None Public Charity 501(c)(3) and 509(a)(2)		

## Relationship to Substantial Contributor

Recipient Name and Address	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Everychild Foundation P O Box 1808 Pacific Palisades, CA 90272	None Public Charity 501(c)(3) and 509(a)(1)	Civic	5,000.00
Foundation for Santa Barbara City College 721 Cliff Dr. Santa Barbara, CA 93109	None Public Charity 501(c)(3) and 509(a)(1)	Educational	35,000.00
Friends of the Israel Defense Forces 1430 Broadway, Suite 1301 New York, NY 10018	None Public Charity 501(c)(3) and 509(a)(1)	Civic	300,000.00
Friendship Connection Foundation Inc c/o Sarowitz Milito Co 169 West End Brooklyn, NY 11235	None Public Charity 501(c)(3) and 509(a)(2)	Civic	25,000.00
Geffen Playhouse 10886 LeConte Avenue Los Angeles, CA 90024	None Public Charity 501(c)(3) and 509(a)(1)	Civic	20,000.00
International Dyslexia Association 5225 Canyon Crest Dr. , Suite 71-308 Riverside, CA 92507	None Public Charity 501(c)(3) and 509(a)(2)	Medical	500.00
Israel Cancer Research Fund 295 Madison Avenue, Suite 1030 New York, NY 10017	None Public Charity 501(c)(3) and 509(a)(1)	Medical	25,000.00
Jewish Federation Council of Greater Los Angeles 6505 Wilshire Blvd , Ste 800 Los Angeles, CA 90048	None Public Charity 501(c)(3) and 509(a)(1)	Civic	260,000.00
Jewish Federation of Greater Santa Barbara 524 Chapala St Santa Barbara, CA 93101	None Public Charity 501(c)(3) and 509(a)(1)	Civic	5,000.00

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient		Purpose of Grant or Contribution	Amount
	None	Religious		
Jewish Resource Center Chabad of Aspen 435 West Main Street Aspen, CO 81611	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00	\$0,000 00
KCET-Community Television of Southern California 2900 W Alameda Avenue Burbank, CA 91505	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00	
KOCE-TV Foundation 3080 Bristol Street, Suite 400 Costa Mesa, CA 92626	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00	
KOCE-TV Foundation 3080 Bristol Street, Suite 400 Costa Mesa, CA 92626	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00	
LA County Museum of Natural History 900 Exposition Blvd Los Angeles, CA 90007	None Public Charity 501(c)(3) and 509(a)(2)	Civic	500 00	
Los Angeles Child Development Center 2014 Sawtelle Blvd Los Angeles, CA 90025	None Public Charity 501(c)(3) and 509(a)(1)	Civic	250 00	
Media Fellowship International 7210 NE 149th Place Kenmore, WA 98028	None Public Charity 501(c)(3) and 509(a)(1)	Religious	1,000 00	
Music Associates of Aspen 225 Music School Road Aspen, CO 81611	None Public Charity 501(c)(3) and 509(a)(1)	Civic	7,000 00	
National Brain Tumor Society 124 Watertown St , Suite 2D Watertown, MA 02472	None Public Charity 501(c)(3) and 509(a)(1)	Medical	500 00	

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
		Civic	500 00
National Center for Family Philanthropy Inc 1101 Connecticut Ave., Suite 220 Washington, DC 20036	None Public Charity 501(c)(3) and 509(a)(1)		
People Assisting the Homeless 340 N Madison Ave Los Angeles, CA 90004	None Public Charity 501(c)(3) and 509(a)(1)	Civic	5,000 00
Performing Arts Center of Los Angeles County 135 N Grand Ave Los Angeles, CA 90012	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00
Philanthropy Roundtable 1150 17th St NW, Suite 503 Washington, DC 20036	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00
Prince of Wales Foundation 888 17th St NW, Suite 201 Washington, DC 20006	None Public Charity 501(c)(3) and 509(a)(1)	Civic	50,000 00
Rancho Research Institute 7601 E Imperial Hwy 900 Annexa Downey, CA 90242	None Public Charity 501(c)(3) and 509(a)(2)	Medical	507,500 00
Regents UCLA 10920 Wilshire Blvd., Suite 620 c/o Payroll Mgr Los Angeles Campus Los Angeles, CA 90024	None Public Charity 501(c)(3) and 509(a)(1)	Educational	1,000 00
Regents UCLA 10920 Wilshire Blvd., Suite 620 c/o Payroll Mgr Los Angeles Campus Los Angeles, CA 90024	None Public Charity 501(c)(3) and 509(a)(1)	Medical	500,000 00
Regents UCLA 10920 Wilshire Blvd., Suite 620 c/o Payroll Mgr Los Angeles Campus Los Angeles, CA 90024	None Public Charity 501(c)(3) and 509(a)(1)	Religious	29,500 00

## Simms/Mann Family Foundation

31-01812498

Form 990-PF, Part XV - Grants and Contributions Paid During 2013  
12/31/2013

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient	Purpose of Grant or Contribution Civic	Amount
<b>Santa Barbara International Film Festival</b> 1528 Chapala St , Ste 203 Santa Barbara, CA 93101	None Public Charity 501(c)(3) and 509(a)(1)		3,310.00
<b>Santa Barbara Museum of Art</b> 1130 State Street Santa Barbara, CA 93101	None Public Charity 501(c)(3) and 509(a)(1)	Civic	13,000.00
<b>Shriners Hospitals for Children</b> P O Box 31356 Tampa, FL 33631	None Public Charity 501(c)(3) and 509(a)(1)	Medical	300.00
<b>Simms/Mann Institute for Education and Community Development</b> 9320 Wilshire Blvd , Suite 300 Beverly Hills, CA 90212	None Private Operating Charity 501(c)(3)	Educational	500,000.00
<b>Simon Wiesenthal Center</b> 1399 S Roxbury, 2nd Floor Los Angeles, CA 90035	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,800.00
<b>Stop Cancer</b> 2566 Overland Ave , Suite 790 Los Angeles, CA 90064	None Public Charity 501(c)(3) and 509(a)(1)	Medical	1,500.00
<b>The Arnold Gold Foundation</b> 619 Palisades Avenue Englewood Cliffs, NJ 07632	None Public Charity 501(c)(3) and 509(a)(2)		10,000.00
<b>The Aspen Institute</b> 1 Dupont Circle NW , Suite 700 Washington, DC 20036	None Public Charity 501(c)(3) and 509(a)(2)	Civic	10,000.00
<b>The Museum of Contemporary Art</b> 250 S Grand Ave Los Angeles, CA 90012	None Public Charity 501(c)(3) and 509(a)(1)	Civic	10,000.00

Recipient Name and Address	Relationship to Substantial Contributor and Foundation Status of Recipient	Purpose of Grant or Contribution	Amount	Civic	
				None	Public Charity 501(c)(3) and 509(a)(1)
The Rape Foundation 1223 Wilshire Blvd, No 410 Santa Monica, CA 90403	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00		
The Salvation Army 180 East Ocean Blvd , 9th Floor Long Beach, CA 90802	None Public Charity 501(c)(3) and 509(a)(1)	Civic	1,000 00		
Trinity Christian Center of Santa Ana 14131 Chambers Rd Tustin, CA 92780	None Public Charity 501(c)(3) and 509(a)(1)	Religious	1,000 00		
UCSB Arts & Lectures 3201 Saasb Payroll Office Santa Barbara, CA 93106	None Public Charity 501(c)(3) and 509(a)(1)	Civic	10,000 00		
United Presbyterian Church USA 10822 Wilshire Blvd Los Angeles, CA 90024	None Public Charity 501(c)(3) and 509(a)(1)	Religious	5,000 00		
Venice Family Clinic 604 Rose Ave Venice, CA 90291	None Public Charity 501(c)(3) and 509(a)(1)	Medical	50,000 00		
Wallis Annenberg Center for Performing Arts 9911 W Pico Blvd , Suite 680 Los Angeles, CA 90035	None Public Charity 501(c)(3) and 509(a)(1)	Civic	175,000 00		
Wilderness Youth Project 5336 Hollister Ave , Ste D Santa Barbara, CA 93111	None Public Charity 501(c)(3) and 509(a)(1)	Civic	500 00		
Women's Guild Cedars Sinai Medical Center 8700 Beverly Blvd , Ste 2416 Los Angeles, CA 90048	None Public Charity 501(c)(3) and 509(a)(1)	Medical	5,000 00		
		TOTAL			<u><u>2,793,769 00</u></u>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GREENFIELD ETHANOL	421,132.	421,132.	
JP MORGAN ACCRUED INT	13.	13.	
JP MORGAN MONEY MARKET	66.	66.	
PARTNERSHIP INTEREST INCOME	144,844.	145,280.	
PARTNERSHIP TAX-EXEMPT INCOME	695.	0.	
TOTAL TO PART I, LINE 3	566,750.	566,491.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP DIVIDEND INCOME	609,757.	0.	609,757.	609,757.	
PIMCO DIVIDEND INCOME	236,575.	0.	236,575.	236,575.	
TO PART I, LINE 4	846,332.	0.	846,332.	846,332.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TIKCHIK INTEREST INCOME	366,419.	366,419.	
PARTNERSHIP INCOME/(LOSS)- UBTI	<38,065.>	0.	
OTHER INVESTMENT INCOME	61,944.	0.	
PARTNERSHIP INCOME/(LOSS)	96,765.	96,765.	
TOTAL TO FORM 990-PF, PART I, LINE 11	487,063.	463,184.	

## FORM 990-PF

## LEGAL FEES

## STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	43,637.	0.		43,637.
TO FM 990-PF, PG 1, LN 16A	43,637.	0.		43,637.

## FORM 990-PF

## ACCOUNTING FEES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	38,950.	1,300.		33,900.
TO FORM 990-PF, PG 1, LN 16B	38,950.	1,300.		33,900.

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	165,321.	148,789.		0.
PROFESSIONAL AND ADMIN.				
SERVICE FEES	287,967.	143,984.		143,983.
TO FORM 990-PF, PG 1, LN 16C	453,288.	292,773.		143,983.

## FORM 990-PF

## TAXES

## STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	49,195.	0.		0.
PAYROLL TAXES	8,161.	0.		8,161.
CA FILING FEE	10.	0.		10.
UBI TAXES	6,262.	0.		0.
DEFERRED TAXES	360,000.	0.		0.

THE SIMMS/MANN FAMILY FOUNDATION

31-1812498

USE TAX	4,533.	0.	4,533.
TO FORM 990-PF, PG 1, LN 18	428,161.	0.	12,704.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE CONSULTING	5,613.	0.		5,613.
DUES AND SUBSCRIPTIONS	653.	327.		326.
ACCTNG COMPUTER SYS EXP	1,808.	904.		904.
OTHER DIRECT CHARITABLE COSTS	7,459.	0.		7,459.
INSURANCE	2,878.	0.		2,878.
APPRAISAL FEES	14,000.	0.		14,000.
RECRUITING EXPENSE	45,000.	0.		45,000.
OFFICE EXPENSE	6,047.	0.		6,047.
PENALTIES	4,794.	0.		0.
MEMBERSHIP FEE	750.	0.		750.
PARTNERSHIP INVESTMENT EXPENSES	303,599.	304,398.		0.
TO FORM 990-PF, PG 1, LN 23	392,601.	305,629.		82,977.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HALOZYME THERAPEUTICS	12,168,881.	12,168,881.
XOOM	122,207.	122,207.
AMYRIS BIOTECHNOLOGIES	9,903.	9,903.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,300,991.	12,300,991.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GREENFIELD ETHANOL	FMV	2,917,624.	2,917,624.
AG SUPERFUND, L.P.	FMV	198,187.	198,187.
EMINENCE FUND, LTD.	FMV	3,034,380.	3,034,380.
FARALLON CAPITAL INSTITUTE	FMV	856,816.	856,816.
FIR TREE INTERNATIONAL	FMV	3,404,035.	3,404,035.
EMERGING SOVEREIGN OFFSHORE FUND	FMV	1,099,244.	1,099,244.
SANKATY SENIOR LOAN FUND, L.P.	FMV	1,032,471.	1,032,471.
OMEGA OVERSEAS PTR LTD	FMV	7,636,082.	7,636,082.
STEEL PARTNERSHIP	FMV	167,197.	167,197.
THE RAPTOR GLOBAL FUND LTD.	FMV	16,972.	16,972.
BOSTON VENTURES V, L.P.	FMV	49,058.	49,058.
BOSTON VENTURES VI, L.P.	FMV	280,735.	280,735.
GRAYSTONE VENTURE FUND LP	FMV	46,405.	46,405.
INDUSTRY DRIVE ASSOCIATES, LLC	FMV	1,757,852.	1,757,852.
NCD PARTNERS V, L.P.	FMV	740,051.	740,051.
PORTAGE FOUNDERS, L.P.	FMV	81,075.	81,075.
PIMCO	FMV	20,437,201.	20,437,201.
GREENLIGHT	FMV	2,216,700.	2,216,700.
BRUIN BIOMETRICS, LLC	FMV	70,423.	70,423.
DAVIDSON KEMPNER INST PTNR	FMV	3,092,305.	3,092,305.
BREVAN HOWARD FUND LIMITED	FMV	1,163,863.	1,163,863.
MASON CAPITAL, LTD	FMV	0.	0.
TACONIC OFFSHORE, LTD	FMV	2,975,673.	2,975,673.
LONE STAR RE FUND II	FMV	591,898.	591,898.
LONE STAR FUND VII	FMV	496,598.	496,598.
ABSOLUTE RETURN CAYMAN, LTD	FMV	3,455,494.	3,455,494.
ANCHOR INDUSTRIAL INVSTMNTS, LLC	FMV	1,918,150.	1,918,150.
PHARO MACRO FUND, LTD	FMV	1,911,703.	1,911,703.
VIRTUAL WORLD COMPUTING, LLC	FMV	51,950.	51,950.
ALLEN GLOBAL PTNRS OFFSHORE	FMV	2,104,433.	2,104,433.
NCD PARTNERS VII, L.P.	FMV	2,833,785.	2,833,785.
TOTAL TO FORM 990-PF, PART II, LINE 13		66,638,360.	66,638,360.

FORM 990-PF

OTHER ASSETS

STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
NOTES RECEIVABLE - SEE FOOTNOTE	10,334,433.	4,291,924.	4,291,924.
ACCRUED INTEREST	255,943.	310,557.	310,557.
OTHER ASSETS	34,063.	78,767.	78,767.
TO FORM 990-PF, PART II, LINE 15	10,624,439.	4,681,248.	4,681,248.

FORM 990-PF

OTHER LIABILITIES

STATEMENT 12

## DESCRIPTION

BOY AMOUNT

EOY AMOUNT

DEFERRED EXCISE TAX

0. 360,000.

TOTAL TO FORM 990-PF, PART II, LINE 22

0. 360,000.

FORM 990-PF

EXPLANATION CONCERNING PART VII-A, LINE 8B

STATEMENT 13

## EXPLANATION

FORM 990-PF NOT REQUIRED FOR DELAWARE.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 14

## GRANTEE'S NAME

SIMMS/MANN INSTITUTE FOR EDUCATION AND COMMUNITY DEVELOPMENT

## GRANTEE'S ADDRESS

9320 WILSHIRE BLVD, SUITE 300  
BEVERLY HILLS, CA 90212

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
100,000.	01/30/13	100,000.	12/31/13

## PURPOSE OF GRANT

THE GRANT WAS DISTRIBUTED EXCLUSIVELY FOR CHARITABLE PURPOSES, IN FURTHERANCE OF THE GRANTEE'S PRIMARY PURPOSE OF (A) DEVELOPING CURRICULUM FOR STUDENTS OF INFANT/TODDLER EDUCATION, FOCUSING ON PRENATAL TO THREE YEARS OF AGE, (B) CREATING A THINK TANK OF EARLY CHILDHOOD DEVELOPMENT EDUCATORS WITHIN THE CALIFORNIA COMMUNITY COLLEGE SYSTEM, AND (C) DEVELOPING EDUCATIONAL MATERIALS AND TOOLS RELATED TO EMOTIONAL SKILL BUILDING AND DEVELOPMENT.

## DATES OF REPORTS BY GRANTEE

12/31/13

## ANY DIVERSION BY GRANTEE

NONE

## RESULTS OF VERIFICATION

GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

## GRANTEE'S NAME

THE SIMMS FAMILY FOUNDATION

## GRANTEE'S ADDRESS

9320 WILSHIRE BLVD, SUITE 300  
BEVERLY HILLS, CA 90212

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
20,000.	02/27/08	16,165.	12/31/13

## PURPOSE OF GRANT

LEGAL AND OTHER EXPENSES TO FORM THE FOUNDATION, APPLY FOR TAX-EXEMPT STATUS, FUTURE GRANTS TO 501(C)(3) ORGANIZATIONS AND ADMINISTRATIVE EXPENSES.

## DATES OF REPORTS BY GRANTEE

12/31/13

## ANY DIVERSION BY GRANTEE

NONE

## RESULTS OF VERIFICATION

GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

THE SIMMS/MANN FAMILY FOUNDATION HAS GRANTED ADDITIONAL TIME TO THE GRANTEE TO SPEND THE REMAINING FUNDS.

## GRANTEE'S NAME

SIMMS/MANN INSTITUTE FOR EDUCATION AND COMMUNITY DEVELOPMENT

## GRANTEE'S ADDRESS

9320 WILSHIRE BLVD, SUITE 300  
BEVERLY HILLS, CA 90212

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
400,000.	05/08/13	300,414.	12/31/13

## PURPOSE OF GRANT

THE GRANT WAS DISTRIBUTED EXCLUSIVELY FOR CHARITABLE PURPOSES, IN FURTHERANCE OF THE GRANTEE'S PRIMARY PURPOSE OF (A) DEVELOPING CURRICULUM FOR STUDENTS OF INFANT/TODDLER EDUCATION, FOCUSING ON PRENATAL TO THREE YEARS OF AGE, (B) CREATING A THINK TANK OF EARLY CHILDHOOD DEVELOPMENT EDUCATORS WITHIN THE CALIFORNIA COMMUNITY COLLEGE SYSTEM, AND (C) DEVELOPING EDUCATIONAL MATERIALS AND TOOLS RELATED TO EMOTIONAL SKILL BUILDING AND DEVELOPMENT.

## DATES OF REPORTS BY GRANTEE

12/31/13

## ANY DIVERSION BY GRANTEE

NONE

## RESULTS OF VERIFICATION

GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 15

## NAME OF MANAGER

VICTORIA MANN SIMMS  
RONALD A. SIMMS

## GENERAL EXPLANATION

STATEMENT 16

## FORM/LINE IDENTIFIER

FORM 990-PF, FOOTNOTE REGARDING STATEMENT 11

## EXPLANATION:

ON SEPTEMBER 15, 2007, THE SIMMS/MANN FAMILY FOUNDATION "FOUNDATION" RECEIVED A CONTRIBUTION FROM A THIRD PARTY IN THE FORM OF TWO PROMISSORY NOTES, IN WHICH THE OBLIGATOR OF SUCH NOTES IS A DISQUALIFIED PARTY. THE CONTRIBUTION MADE WAS MOTIVATED ONLY BY A CHARITABLE INTENT, AND NEITHER THE DONOR NOR ANY OTHER PARTY RECEIVED ANY CONSIDERATION IN RETURN FROM THE FOUNDATION. IN THE OPINION OF LEGAL COUNSEL, THE TRANSACTION FALLS WITHIN THE EXCEPTION FOR AN ACT OF SELF-DEALING PURSUANT TO TREASURY REGULATION 53.4941 (D)-(2)(C)(3). THIS INFORMATION IS BEING REPORTED ON LINE 15 AND NOT LINE 6, BECAUSE LINE 6 RELATES TO ONLY LOANS TO DISQUALIFIED PERSONS THAT WERE ACTUALLY MADE BY THE FOUNDATION.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box . . . . . ►
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

***Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.***

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

**A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete**

**Part I only** \_\_\_\_\_

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Enter filer's identifying number**

Type or print  File by the due date for filing your return. See instructions.	<p>Name of exempt organization or other filer, see instructions.   <b>THE SIMMS/MANN FAMILY FOUNDATION</b></p> <p>Number, street, and room or suite no. If a P.O. box, see instructions.   <b>9320 WILSHIRE BLVD, NO. 300</b></p> <p>City, town or post office, state, and ZIP code. For a foreign address, see instructions.   <b>BEVERLY HILLS, CA 90212</b></p>	<p>Employer identification number (EIN) or   <b>31-1812498</b></p> <p>Social security number (SSN)</p>
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Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**SIMMS, INC**

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until

AUGUST 15, 2014

is for the organization's return for:

►  calendar year 2013 or

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return

Change in accounting period			
<b>3a</b>	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ <b>26,084.</b>
<b>b</b>	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ <b>39,195.</b>
<b>c</b>	<b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ <b>0.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.  
323841

Form 8869 (Rev. 1-2014)

expired 5/12/14

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See Instructions	Name of exempt organization or other filer, see instructions. <b>THE SIMMS/MANN FAMILY FOUNDATION</b>	Employer identification number (EIN) or Social security number (SSN) <b>31-1812498</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>9320 WILSHIRE BLVD, NO. 300</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>BEVERLY HILLS, CA 90212</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**SIMMS, INC**

- The books are in the care of ► **9320 WILSHIRE BLVD, SUITE 300 – BEVERLY HILLS, CA 90212**

Telephone No. ► **310-275-1395** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ► . If it is for part of the group, check this box ►  and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 17, 2014**.
- 5 For calendar year **2013**, or other tax year beginning , and ending .
- 6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period
- 7 State in detail why you need the extension

**TAXPAYER NEEDS ADDITIONAL TIME TO ACCUMULATE ALL OF THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ <b>26,084.</b>
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ <b>39,195.</b>
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ <b>0.</b>

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ► *Deb D. O.*

Title ► AGENT

Date ► **8/11/14**

Form 8868 (Rev. 1-2014)