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## Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury  
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.  
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Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                            |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>BARTELS FAMILY FOUNDATION</b>                                                                                                                                                                                                                                                          |                                                                                                                                            | A Employer identification number<br><b>31-1623458</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>5226 CHARNWOOD FOREST CIRCLE</b>                                                                                                                                                                                        | Room/suite                                                                                                                                 | B Telephone number<br><b>803-642-0605</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>AIKEN, SC 29803</b>                                                                                                                                                                                                              |                                                                                                                                            | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply.<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                            | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                            | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>535,682.</b>                                                                                                                                                                                                        | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |               | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |               |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B                                                      |               |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                | 2.            | 2.                                 |                           |                         | STATEMENT 1                                                 |
| 4 Dividends and interest from securities                                                                                                            | 11,175.       | 11,175.                            |                           |                         | STATEMENT 2                                                 |
| 5a Gross rents                                                                                                                                      |               |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |               |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |               |                                    |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                       | 157,006.      |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |               |                                    | 11,601.                   |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |               |                                    |                           |                         |                                                             |
| 9 Income modification                                                                                                                               |               |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |               |                                    |                           |                         |                                                             |
| b Less: Cost of goods sold                                                                                                                          |               |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |               |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     | 4,072.        | 4,072.                             |                           |                         | STATEMENT 3                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                    | 26,850.       | 26,850.                            |                           |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               | 0.            | 0.                                 |                           |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                                |               |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                 |               |                                    |                           |                         |                                                             |
| 16a Legal fees                                                                                                                                      |               |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                   | STMT 4 750.   | 0.                                 |                           | 0.                      |                                                             |
| c Other professional fees                                                                                                                           | STMT 5 1,913. | 0.                                 |                           | 0.                      |                                                             |
| 17 Interest                                                                                                                                         |               |                                    |                           |                         |                                                             |
| 18 Taxes                                                                                                                                            | STMT 6 38.    | 0.                                 |                           | 0.                      |                                                             |
| 19 Depreciation and depletion                                                                                                                       |               |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |               |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                |               |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                        |               |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                   | STMT 7 2,427. | 0.                                 |                           | 0.                      |                                                             |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             | 5,128.        | 0.                                 |                           | 0.                      |                                                             |
| 25 Contributions, gifts, grants paid                                                                                                                | 23,500.       |                                    |                           |                         | 23,500.                                                     |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            | 28,628.       | 0.                                 |                           |                         | 23,500.                                                     |
| 27 Subtract line 26 from line 12                                                                                                                    | -1,778.       |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |               |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |               | 26,850.                            |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |               |                                    |                           | N/A                     |                                                             |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

|                                                                                                  |                                                                                         | Beginning of year | End of year    |                       |          |
|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|----------|
|                                                                                                  |                                                                                         | (a) Book Value    | (b) Book Value | (c) Fair Market Value |          |
| <b>Assets</b>                                                                                    | 1 Cash - non-interest-bearing                                                           |                   |                |                       |          |
|                                                                                                  | 2 Savings and temporary cash investments                                                |                   |                |                       |          |
|                                                                                                  | 3 Accounts receivable                                                                   |                   |                |                       |          |
|                                                                                                  | Less: allowance for doubtful accounts                                                   |                   |                |                       |          |
|                                                                                                  | 4 Pledges receivable                                                                    |                   |                |                       |          |
|                                                                                                  | Less: allowance for doubtful accounts                                                   |                   |                |                       |          |
|                                                                                                  | 5 Grants receivable                                                                     |                   |                |                       |          |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons    |                   |                |                       |          |
|                                                                                                  | 7 Other notes and loans receivable                                                      |                   |                |                       |          |
|                                                                                                  | Less: allowance for doubtful accounts                                                   |                   |                |                       |          |
|                                                                                                  | 8 Inventories for sale or use                                                           |                   |                |                       |          |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                 |                   |                |                       |          |
|                                                                                                  | 10a Investments - U.S. and state government obligations                                 |                   |                |                       |          |
|                                                                                                  | b Investments - corporate stock                                                         | STMT 8            | 478,033.       | 476,368.              | 535,682. |
|                                                                                                  | c Investments - corporate bonds                                                         |                   |                |                       |          |
|                                                                                                  | 11 Investments - land, buildings, and equipment basis                                   |                   |                |                       |          |
| Less: accumulated depreciation                                                                   |                                                                                         |                   |                |                       |          |
| 12 Investments - mortgage loans                                                                  |                                                                                         |                   |                |                       |          |
| 13 Investments - other                                                                           |                                                                                         |                   |                |                       |          |
| 14 Land, buildings, and equipment basis                                                          |                                                                                         |                   |                |                       |          |
| Less: accumulated depreciation                                                                   |                                                                                         |                   |                |                       |          |
| 15 Other assets (describe)                                                                       |                                                                                         |                   |                |                       |          |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) |                                                                                         | 478,033.          | 476,368.       | 535,682.              |          |
| <b>Liabilities</b>                                                                               | 17 Accounts payable and accrued expenses                                                |                   |                |                       |          |
|                                                                                                  | 18 Grants payable                                                                       |                   |                |                       |          |
|                                                                                                  | 19 Deferred revenue                                                                     |                   |                |                       |          |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons             |                   |                |                       |          |
|                                                                                                  | 21 Mortgages and other notes payable                                                    |                   |                |                       |          |
|                                                                                                  | 22 Other liabilities (describe)                                                         |                   |                |                       |          |
| 23 Total liabilities (add lines 17 through 22)                                                   |                                                                                         | 0.                | 0.             |                       |          |
| <b>Net Assets or Fund Balances</b>                                                               | Foundations that follow SFAS 117, check here <input type="checkbox"/>                   |                   |                |                       |          |
|                                                                                                  | 24 Unrestricted                                                                         |                   |                |                       |          |
|                                                                                                  | 25 Temporarily restricted                                                               |                   |                |                       |          |
|                                                                                                  | 26 Permanently restricted                                                               |                   |                |                       |          |
|                                                                                                  | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> |                   |                |                       |          |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                     | 478,033.          | 476,368.       |                       |          |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                       | 0.                | 0.             |                       |          |
|                                                                                                  | 29 Retained earnings, accumulated income, endowment, or other funds                     | 0.                | 0.             |                       |          |
| 30 Total net assets or fund balances                                                             |                                                                                         | 478,033.          | 476,368.       |                       |          |
| 31 Total liabilities and net assets/fund balances                                                |                                                                                         | 478,033.          | 476,368.       |                       |          |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                 |   |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 478,033. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | -1,778.  |
| 3 Other increases not included in line 2 (itemize) <b>NON-DIVIDEND DISTRIBUTIONS</b>                                                                            | 3 | 113.     |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 476,368. |
| 5 Decreases not included in line 2 (itemize)                                                                                                                    | 5 | 0.       |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 476,368. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                          |                                                  |                                      |                                  |
| c                                                                                                                                 |                                                  |                                      |                                  |
| d                                                                                                                                 |                                                  |                                      |                                  |
| e                                                                                                                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 157,006.            |                                            | 145,405.                                        | 11,601.                                      |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) FM V. as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |
| a                                                                                           |                                      |                                                 |                                                                                                 |
| b                                                                                           |                                      |                                                 |                                                                                                 |
| c                                                                                           |                                      |                                                 |                                                                                                 |
| d                                                                                           |                                      |                                                 |                                                                                                 |
| e                                                                                           |                                      |                                                 | 11,601.                                                                                         |

|                                                                                                                                                                               |   |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7                                             | 2 | 11,601. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 | 3 | N/A     |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2012                                                              |                                       |                                           |                                                          |
| 2011                                                              |                                       |                                           |                                                          |
| 2010                                                              |                                       |                                           |                                                          |
| 2009                                                              |                                       |                                           |                                                          |
| 2008                                                              |                                       |                                           |                                                          |

- 2 Total of line 1, column (d)
- 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years
- 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5
- 5 Multiply line 4 by line 3
- 6 Enter 1% of net investment income (1% of Part I, line 27b)
- 7 Add lines 5 and 6
- 8 Enter qualifying distributions from Part XII, line 4

|   |  |
|---|--|
| 2 |  |
| 3 |  |
| 4 |  |
| 5 |  |
| 6 |  |
| 7 |  |
| 8 |  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter. (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2013 estimated tax payments and 2012 overpayment credited to 2013

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2014 estimated tax

135. Refunded

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶

CT

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                          |    |   |   |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  | 11 |   | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                      | 13 | X |   |

Website address **N/A**

14 The books are in care of **LYNN ENGEHOLM** Telephone no **803-642-0605**  
 Located at **5226 CHARNWOOD FOREST CIRCLE, AIKEN, SC** ZIP+4 **29803**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

|    |     |    |
|----|-----|----|
| 16 | Yes | No |
|    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |      |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | <b>N/A</b>                                                          | 1b   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |      |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| If "Yes," list the years                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |      |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                        | <b>N/A</b>                                                          | 2b   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.                                                                                                                                                                                                                                                                                                                                                                                |                                                                     |      |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) | <b>N/A</b>                                                          | 3b   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                               |                                                                     | 4b X |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                                               | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| LYNN B ENGEHOLM<br>5226 CHARNWOOD FOREST CIRCLE<br>AIKEN, SC 29803 | PRESIDENT<br>0.00                                         | 0.                                        | 0.                                                                    | 0.                                    |
| GAIL GRIFFIN<br>DEFIANCE, MO 63341                                 | TREASURER<br>0.00                                         | 0.                                        | 0.                                                                    | 0.                                    |
|                                                                    |                                                           |                                           |                                                                       |                                       |
|                                                                    |                                                           |                                           |                                                                       |                                       |
|                                                                    |                                                           |                                           |                                                                       |                                       |
|                                                                    |                                                           |                                           |                                                                       |                                       |
|                                                                    |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |          |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. |           |          |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 515,962. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> |          |
| <b>c</b> | Fair market value of all other assets                                                                       | <b>1c</b> |          |
| <b>d</b> | Total (add lines 1a, b, and c)                                                                              | <b>1d</b> | 515,962. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.       |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.       |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 515,962. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 7,739.   |
| <b>5</b> | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | <b>5</b>  | 508,223. |
| <b>6</b> | Minimum investment return. Enter 5% of line 5                                                               | <b>6</b>  | 25,411.  |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                    |           |         |
|-----------|----------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                      | <b>1</b>  | 25,411. |
| <b>2a</b> | Tax on investment income for 2013 from Part VI, line 5                                             | <b>2a</b> | 537.    |
| <b>b</b>  | Income tax for 2013 (This does not include the tax from Part VI.)                                  | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b                                                                                | <b>2c</b> | 537.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                              | <b>3</b>  | 24,874. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                          | <b>4</b>  | 0.      |
| <b>5</b>  | Add lines 3 and 4                                                                                  | <b>5</b>  | 24,874. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                             | <b>6</b>  | 0.      |
| <b>7</b>  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 24,874. |

**Part XII** **Qualifying Distributions** (see instructions)

|          |                                                                                                                                   |           |         |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 23,500. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.      |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.                        | <b>2</b>  |         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                              |           |         |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |         |
| <b>4</b> | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | <b>4</b>  | 23,500. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 0.      |
| <b>6</b> | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | <b>6</b>  | 23,500. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 24,874.     |
| 2 Undistributed Income, if any, as of the end of 2013:                                                                                                                     |               |                            |             |             |
| a Enter amount for 2012 only                                                                                                                                               |               |                            | 21,896.     |             |
| b Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2013:                                                                                                                         |               |                            |             |             |
| a From 2008                                                                                                                                                                |               |                            |             |             |
| b From 2009                                                                                                                                                                |               |                            |             |             |
| c From 2010                                                                                                                                                                |               |                            |             |             |
| d From 2011                                                                                                                                                                |               |                            |             |             |
| e From 2012                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 23,500.                                                                                                    |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 21,896.     |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 1,604.      |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 0.            |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                         | 0.            |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 23,270.     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2009                                                                                                                                                         |               |                            |             |             |
| b Excess from 2010                                                                                                                                                         |               |                            |             |             |
| c Excess from 2011                                                                                                                                                         |               |                            |             |             |
| d Excess from 2012                                                                                                                                                         |               |                            |             |             |
| e Excess from 2013                                                                                                                                                         |               |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2013

(b) 2012

Prior 3 years

(c) 2011

(d) 2010

(e) total

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

LYNN ENGEHOLM

5226 CHARNWOOD FOREST CIR, AIKEN, SC 29803

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution<br>* *                                                                           | Amount         |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------|
| <b>a Paid during the year</b>                                                   |                                                                                                                    |                                      |                                                                                                                      |                |
| CAMP GRAVATT<br>1006 CAMP GRAVATT ROAD<br>AIKEN, SC 29805                       | NONE                                                                                                               | PUBLIC CHARITY                       | GRANT FOR CHRISTMAS<br>RETREAT FOR FORT<br>GORDON FAMILY FROM THE<br>WARRIOR RETREAT<br>BATTALION                    | 5,000.         |
| FIVE ACRES ANIMAL SHELTER<br>1099 PRALLE LANE<br>ST. CHARLES, MO 63303          | NONE                                                                                                               | PUBLIC CHARITY                       | GRANT TO CREATE A SAFE<br>SPACE TO SHELTER DOGS                                                                      | 7,500.         |
| LUTHERAN HOME OF SC<br>300 MINISTRY DRIVE<br>IRMO, SC 29063-2366                | NONE                                                                                                               | PUBLIC CHARITY                       | GRANT FOR THE BE WELL<br>HOME SERVICES PROJECT<br>& AIKEN AND CONTINUING<br>CARE RETIREMENT<br>COMMUNITY FEASIBILITY | 10,000.        |
| FRIENDS OF THE ANIMAL SHELTER<br>PO BOX 2207<br>AIKEN, SC 29802                 | NONE                                                                                                               | PUBLIC CHARITY                       | GRANT TO CREATE A SAFE<br>SPACE TO SHELTER DOGS                                                                      | 500.           |
| BEST FRIENDS ANIMAL SANCTUARY<br>5001 ANGEL CANYON ROAD<br>KANAB, UT 84741-0567 | NONE                                                                                                               | PUBLIC CHARITY                       | GRANT TO CREATE A SAFE<br>SPACE TO SHELTER DOGS                                                                      | 500.           |
| <b>Total</b>                                                                    |                                                                                                                    |                                      |                                                                                                                      | <b>23,500.</b> |
| <b>b Approved for future payment</b>                                            |                                                                                                                    |                                      |                                                                                                                      |                |
| NONE                                                                            |                                                                                                                    |                                      |                                                                                                                      |                |
|                                                                                 |                                                                                                                    |                                      |                                                                                                                      |                |
|                                                                                 |                                                                                                                    |                                      |                                                                                                                      |                |
| <b>Total</b>                                                                    |                                                                                                                    |                                      |                                                                                                                      | <b>0.</b>      |



**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes   | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |    |
| (1)      | Cash                                                                                                                                                                                                                                                                                                                                                                                         | 1a(1) | X  |
| (2)      | Other assets                                                                                                                                                                                                                                                                                                                                                                                 | 1a(2) | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |    |
| (1)      | Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                       | 1b(1) | X  |
| (2)      | Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                 | 1b(2) | X  |
| (3)      | Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                             | 1b(3) | X  |
| (4)      | Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                                   | 1b(4) | X  |
| (5)      | Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                     | 1b(5) | X  |
| (6)      | Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                           | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**Title**

May the IRS discuss this return with the preparer shown below (see Instr)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☒ self-employed

PTIN

WANDA F SCOTT

WZ

660114

P00286982

Firm's name ► WANDA F SCOTT, CPA, LLC

Firm's EIN ▶ 20-0575855

Firm's address ► 221 BARNWELL AVE NW  
AIKEN, SC 29801

Phone no. 803-648-6047

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs. MLC Co. |                             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | WELLS FARGO #0629           | P                                                |                                      |                                  |
| b                                                                                                                                    | WELLS FARGO #0629           | P                                                |                                      |                                  |
| c                                                                                                                                    | WELLS FARGO #0629           | P                                                |                                      |                                  |
| d                                                                                                                                    | WELLS FARGO #5789           | P                                                |                                      |                                  |
| e                                                                                                                                    | WELLS FARGO #5789           | P                                                |                                      |                                  |
| f                                                                                                                                    | WELLS FARGO #5789           | P                                                |                                      |                                  |
| g                                                                                                                                    | WELLS FARGO #2620           | P                                                |                                      |                                  |
| h                                                                                                                                    | WELLS FARGO #2620           | P                                                |                                      |                                  |
| i                                                                                                                                    | WELLS FARGO #2620-WASH SALE | P                                                |                                      |                                  |
| j                                                                                                                                    | WELLS FARGO #2620-WASH SALE | P                                                |                                      |                                  |
| k                                                                                                                                    |                             |                                                  |                                      |                                  |
| l                                                                                                                                    |                             |                                                  |                                      |                                  |
| m                                                                                                                                    |                             |                                                  |                                      |                                  |
| n                                                                                                                                    |                             |                                                  |                                      |                                  |
| o                                                                                                                                    |                             |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     | 362.                                       | 364.                                            | -2.                                          |
| b                     | 268.                                       | 259.                                            | 9.                                           |
| c                     | 4,357.                                     | 4,072.                                          | 285.                                         |
| d                     | 4,060.                                     | 4,053.                                          | 7.                                           |
| e                     | 15,270.                                    | 14,524.                                         | 746.                                         |
| f                     | 87,812.                                    | 83,195.                                         | 4,617.                                       |
| g                     | 32,396.                                    | 29,258.                                         | 3,138.                                       |
| h                     | 12,313.                                    | 9,680.                                          | 2,633.                                       |
| i                     | 28.                                        |                                                 | 28.                                          |
| j                     | 140.                                       |                                                 | 140.                                         |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | -2.                                                                                                     |
| b                         |                                      |                                                 | 9.                                                                                                      |
| c                         |                                      |                                                 | 285.                                                                                                    |
| d                         |                                      |                                                 | 7.                                                                                                      |
| e                         |                                      |                                                 | 746.                                                                                                    |
| f                         |                                      |                                                 | 4,617.                                                                                                  |
| g                         |                                      |                                                 | 3,138.                                                                                                  |
| h                         |                                      |                                                 | 2,633.                                                                                                  |
| i                         |                                      |                                                 | 28.                                                                                                     |
| j                         |                                      |                                                 | 140.                                                                                                    |
| k                         |                                      |                                                 |                                                                                                         |
| l                         |                                      |                                                 |                                                                                                         |
| m                         |                                      |                                                 |                                                                                                         |
| n                         |                                      |                                                 |                                                                                                         |
| o                         |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                    |   |         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                              | 2 | 11,601. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A     |

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - LUTHERAN HOME OF SC

GRANT FOR THE BE WELL HOME SERVICES PROJECT & AIKEN AND CONTINUING CARE

RETIREMENT COMMUNITY FEASIBILITY STUDY PROJECT

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| WELLS FARGO             | 2.                          | 2.                              |                               |
| TOTAL TO PART I, LINE 3 | 2.                          | 2.                              |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| WELLS FARGO       | 656.            | 0.                            | 656.                        | 656.                              |                               |
| WELLS FARGO       | 1,260.          | 0.                            | 1,260.                      | 1,260.                            |                               |
| WELLS FARGO       | 9,259.          | 0.                            | 9,259.                      | 9,259.                            |                               |
| TO PART I, LINE 4 | 11,175.         | 0.                            | 11,175.                     | 11,175.                           |                               |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| CAPITAL GAINS DISTRIBUTION            | 4,072.                      | 4,072.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 4,072.                      | 4,072.                            |                               |

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 750.                         | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16B | 750.                         | 0.                                |                               | 0.                            |

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|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 5 |
|-------------|-------------------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT FEES              | 1,913.                       | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 1,913.                       | 0.                                |                               | 0.                            |

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|             |       |           |   |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 6 |
|-------------|-------|-----------|---|

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| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAX PAID            | 38.                          | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 38.                          | 0.                                |                               | 0.                            |

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|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 7 |
|-------------|----------------|-----------|---|

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| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DUES AND MEMBERSHIPS        | 2,403.                       | 0.                                |                               | 0.                            |
| POSTAGE                     | 24.                          | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 2,427.                       | 0.                                |                               | 0.                            |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 8 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| WELLS FARGO AC -2620                    | 58,287.    | 71,974.              |
| WELLS FARGO AC -2889                    | 391,466.   | 434,677.             |
| WELLS FARGO AC -0629                    | 26,615.    | 29,031.              |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 476,368.   | 535,682.             |

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# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|                                                                |                                                                                          |                                                    |
|----------------------------------------------------------------|------------------------------------------------------------------------------------------|----------------------------------------------------|
| Type or print                                                  | Name of exempt organization or other filer, see instructions.                            | Enter filer's identifying number                   |
|                                                                | BARTELS FAMILY FOUNDATION                                                                | Employer identification number (EIN) or 31-1623458 |
|                                                                | Number, street, and room or suite no. If a P.O. box, see instructions.                   | Social security number (SSN)                       |
| File by the due date for filing your return. See instructions. | 5226 CHARNWOOD FOREST CIRCLE                                                             |                                                    |
|                                                                | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |                                                    |
|                                                                | AIKEN, SC 29803                                                                          |                                                    |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                       | Return Code | Application Is For                | Return Code |
|------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

LYNN ENGEHOLM

- The books are in the care of ► 5226 CHARNWOOD FOREST CIRCLE - AIKEN, SC 29803
- Telephone No. ► 803-642-0605 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                        |    |    |      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | 3a | \$ | 672. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 404. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | 3c | \$ | 268. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.



## 2013 ENHANCED 1099

Page 5 of 21

As of Date: 2/07/14

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

### Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in *italics*. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| AMERICAN CENTY INVT TR / 024932600                   | 0.2190           | 10/01/12               | 2.31            | 2.48                  | -0.17                                            |                        |
| DIVERSIFIED BD FD INSTL                              | 0.2570           | 11/01/12               | 2.71            | 2.90                  | -0.19                                            |                        |
| CL                                                   | 0.2750           | 12/04/12               | 2.90            | 3.11                  | -0.21                                            |                        |
|                                                      | 0.5260           | 12/10/12               | 5.56            | 5.88                  | -0.32                                            |                        |
|                                                      | 0.4880           | 12/10/12               | 5.15            | 5.46                  | -0.31                                            |                        |
|                                                      | 0.2220           | 01/02/13               | 2.34            | 2.48                  | -0.14                                            |                        |
|                                                      | 0.1970           | 02/01/13               | 2.08            | 2.18                  | -0.10                                            |                        |
|                                                      | 0.2200           | 03/01/13               | 2.32            | 2.44                  | -0.12                                            |                        |
|                                                      | 0.2490           | 04/01/13               | 2.63            | 2.76                  | -0.13                                            |                        |
|                                                      | 0.2590           | 05/01/13               | 2.74            | 2.89                  | -0.15                                            |                        |
|                                                      | 0.2620           | 06/03/13               | 2.77            | 2.87                  | -0.10                                            |                        |
|                                                      | 0.2310           | 07/01/13               | 2.45            | 2.47                  | -0.02                                            |                        |
|                                                      | 0.2520           | 08/01/13               | 2.67            | 2.70                  | -0.03                                            |                        |
|                                                      | 0.2700           | 09/03/13               | 2.87            | 2.87                  | 0.00                                             |                        |
| 09/12/13                                             | 3.9270           | Various                | 41.50           | 43.49                 | -1.99                                            | SALE                   |
|                                                      |                  |                        |                 |                       |                                                  | TOTAL 14 LOTS          |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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# 2013 ENHANCED 1099

Page 6 of 21

As of Date: 2/07/14

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor:**  
LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

continued

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                    | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|-------------------------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
| GOLDMAN SACHS TR / 38142B500<br>FINL SQUARE TREAS INSTRS<br>FD INSTL CL |                  |                           |                 |                          |                        |                        |
| 01/11/13                                                                | 99.0600          | 09/04/12                  | 99.06           | 99.06                    | 0.00                   | SALE                   |
| 04/12/13                                                                | 59.7800          | 09/04/12                  | 59.78           | 59.78                    | 0.00                   | SALE                   |
| 10/11/13                                                                | 103.7000         | 09/13/13                  | 103.70          | 103.70                   | 0.00                   | SALE                   |
| MAINSTAY FD HIGH YIELD / 56062X708<br>CORPORATE BD FD CL I              |                  |                           |                 |                          |                        |                        |
|                                                                         | 0.7770           | 09/28/12                  | 4.66            | 4.71                     | -0.05                  |                        |
|                                                                         | 0.7800           | 11/02/12                  | 4.68            | 4.74                     | -0.06                  |                        |
|                                                                         | 0.7850           | 12/03/12                  | 4.71            | 4.77                     | -0.06                  |                        |
|                                                                         | 0.7930           | 12/04/12                  | 4.76            | 4.80                     | -0.04                  |                        |
|                                                                         | 0.7840           | 02/01/13                  | 4.71            | 4.82                     | -0.11                  |                        |
|                                                                         | 0.7890           | 03/01/13                  | 4.74            | 4.85                     | -0.11                  |                        |
|                                                                         | 0.7920           | 04/01/13                  | 4.75            | 4.88                     | -0.13                  |                        |
|                                                                         | 0.7890           | 05/01/13                  | 4.74            | 4.91                     | -0.17                  |                        |
|                                                                         | 0.8020           | 06/03/13                  | 4.82            | 4.94                     | -0.12                  |                        |
|                                                                         | 0.8320           | 06/28/13                  | 5.00            | 4.97                     | 0.03                   |                        |
|                                                                         | 0.8280           | 08/01/13                  | 4.98            | 5.00                     | -0.02                  |                        |
|                                                                         | 0.8410           | 09/03/13                  | 5.06            | 5.03                     | 0.03                   |                        |
| 09/12/13                                                                | 9.5920           | Various                   | 57.61           | 58.42                    | -0.81                  | SALE                   |
| TOTAL 12 LOTS                                                           |                  |                           |                 |                          |                        |                        |

#### TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS

361.65 364.45 -2.80

#### REPORTED TO IRS

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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# 2013 ENHANCED 1099

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As of Date: 207/14

## Your Financial Advisor :

LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP              | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information                   |
|------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------|
| 1a-Date of Sale or Exchange        |                  |                        |                 |                       | Gain or Loss Amount Additional Information |
| AMERICAN CENTY INVT TR / 024932600 |                  |                        |                 |                       |                                            |
| DIVERSIFIED BD FD INSTL            |                  |                        |                 |                       |                                            |
| CL                                 |                  |                        |                 |                       |                                            |
|                                    | 0.6830           | 01/03/12               | 7.21            | 7.50                  | -0.29                                      |
|                                    | 0.2640           | 02/01/12               | 2.78            | 2.93                  | -0.15                                      |
|                                    | 0.2810           | 03/01/12               | 2.96            | 3.11                  | -0.15                                      |
|                                    | 0.2870           | 04/02/12               | 3.03            | 3.16                  | -0.13                                      |
|                                    | 0.2540           | 05/01/12               | 2.68            | 2.82                  | -0.14                                      |
|                                    | 0.2520           | 06/01/12               | 2.66            | 2.81                  | -0.15                                      |
|                                    | 0.2570           | 07/02/12               | 2.71            | 2.87                  | -0.16                                      |
|                                    | 0.2380           | 08/01/12               | 2.51            | 2.69                  | -0.18                                      |
|                                    | 0.2660           | 09/04/12               | 2.81            | 3.01                  | -0.20                                      |
|                                    | 9 1710           | 09/05/12               | 96.89           | 103.45                | -6.56                                      |
|                                    | 11.9530          | Various                | 126.24          | 134.35                | -8.11 SALE                                 |
| 09/12/13                           |                  |                        |                 |                       | TOTAL 10 LOTS                              |
| EUROPACIFIC GROWTH FD / 298706409  |                  |                        |                 |                       |                                            |
| CL F-1                             |                  |                        |                 |                       |                                            |
| 09/12/13                           | 2.3220           | 09/04/12               | 104.68          | 88.01                 | 16.67 SALE                                 |
| MAINSTAY FD HIGH YIELD / 56062X708 |                  |                        |                 |                       |                                            |
| CORPORATE BD FD CL I               |                  |                        |                 |                       |                                            |
|                                    | 0.7610           | 02/02/12               | 4.56            | 4.49                  | 0.07                                       |
|                                    | 0.7550           | 03/01/12               | 4.53            | 4.51                  | -0.02                                      |
|                                    | 0.7660           | 04/02/12               | 4.59            | 4.54                  | 0.05                                       |
|                                    | 0.7680           | 05/01/12               | 4.61            | 4.57                  | 0.04                                       |
|                                    | 0.7840           | 06/01/12               | 4.70            | 4.60                  | 0.10                                       |
|                                    | 0.7830           | 06/29/12               | 4.70            | 4.63                  | 0.07                                       |
|                                    | 0.7760           | 08/01/12               | 4.65            | 4.65                  | 0.00                                       |
|                                    | 0.7760           | 09/04/12               | 4.66            | 4.68                  | -0.02                                      |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
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# 2013 ENHANCED 1099

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As of Date: 2/07/14

## Your Financial Advisor :

LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGEHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

continued

### LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information | TOTAL 8 LOTS |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|--------------|
| 09/12/13                                             | 6.1690           | Various                | 37.00           | 36.67                 | 0.33                | SALE                   |              |

### TOTAL LONG TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS

267.92 259.03 8.89

### LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\*

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information | TOTAL 10 LOTS |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|---------------|
| 09/12/13                                             | 6.3990           | 05/17/11               | 129.77          | 110.77                | 19.00               | SALE                   |               |

AMERICAN CENTY INVT TR / 024932600  
DIVERSIFIED BD FD INSTL  
CL

|          |          |          |          |        |      |
|----------|----------|----------|----------|--------|------|
| 98.7410  | 05/17/11 | 1,042.70 | 1,076.27 | -33.57 |      |
| 0.2070   | 06/01/11 | 2.18     | 2.26     | -0.08  |      |
| 0.4460   | 07/01/11 | 4.71     | 4.83     | -0.12  |      |
| 0.4470   | 08/01/11 | 4.72     | 4.91     | -0.19  |      |
| 0.4450   | 09/01/11 | 4.69     | 4.93     | -0.24  |      |
| 0.5000   | 10/03/11 | 5.28     | 5.56     | -0.28  |      |
| 0.2970   | 11/01/11 | 3.13     | 3.31     | -0.18  |      |
| 0.2930   | 12/01/11 | 3.09     | 3.24     | -0.15  |      |
| 0.9040   | 12/13/11 | 9.54     | 9.86     | -0.32  |      |
| 0.4400   | 12/13/11 | 4.64     | 4.80     | -0.16  |      |
| 102.7200 | Various  | 1,084.68 | 1,119.97 | -35.29 | SALE |

09/12/13

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

### Your Financial Advisor:

LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11787  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP                                                   | 1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|-------------------------------------------------------------------------|-----------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| AMERICAN CENTY MUT FDS / 025083205<br>GROWTH FUND - INSTL<br>09/12/13   |                             | 3 4630           | 05/17/11               | 110.40          | 94.96                 | 15.44               | SALE                   |
| DODGE & COX STK FD / 256219106<br>04/12/13                              |                             | 0.3180           | 05/17/11               | 43.34           | 36.63                 | 6.71                | SALE                   |
| 09/12/13                                                                |                             | 1.8700           | 05/17/11               | 283.24          | 215.37                | 67.87               | SALE                   |
| DREYFUS APPRECIATION / 261970107<br>FD INC INVS SHS<br>09/12/13         |                             | 1.2730           | 05/17/11               | 61.75           | 52.13                 | 9.62                | SALE                   |
| HEARTLAND GROUP INC / 422352849<br>VALUE PLUS FD INSTL CL<br>09/12/13   |                             | 3.7160           | 10/05/11               | 129.36          | 93.16                 | 36.20               | SALE                   |
| JPMORGAN TR I / 4812A2306<br>INTREPID VALUE FD SELECT<br>CL<br>07/12/13 |                             | 3.2170           | 05/17/11               | 101.19          | 78.95                 | 22.24               | SALE                   |
| 09/12/13                                                                |                             | 5 0540           | 05/17/11               | 158.54          | 124.03                | 34.51               | SALE                   |
| MAINSTAY FD HIGH YIELD / 56062X708<br>CORPORATE BD FD CL I              |                             | 112.1990         | 05/17/11               | 673.19          | 677.68                | -4.49               |                        |
|                                                                         |                             | 1.9080           | 05/17/11               | 11.44           | 11.73                 | -0.29               | Original Basis: 10.78  |
|                                                                         |                             | 0.7530           | 05/17/11               | 4.51            | 4.77                  | -0.26               | Original Basis: 4.40   |
|                                                                         |                             | 1.7530           | 06/02/11               | 10.51           | 10.52                 | -0.01               |                        |
|                                                                         |                             | 1.7900           | 07/01/11               | 10.74           | 10.58                 | 0.16                |                        |
|                                                                         |                             | 1.7870           | 08/02/11               | 10.72           | 10.65                 | 0.07                |                        |

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# 2013 ENHANCED 1099

Page 10 of 21

As of Date: 2/07/14

## Your Financial Advisor:

LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROEHLICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
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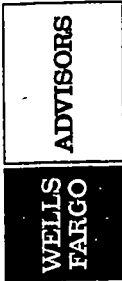
## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                                                    | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|---------------------------------------------------------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                                                                         |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 09/12/13<br>PIMCO FDS PAC INVT / 693390700<br>MGMT SER TOTAL RETURN FD<br>INSTL CL<br>09/12/13          | 1.8590           | 09/01/11               | 11.15           | 10.71                 | 0.44                                             |                        |
|                                                                                                         | 0.7740           | 12/02/11               | 4.64            | 4.43                  | 0.21                                             |                        |
|                                                                                                         | 0.7760           | 12/15/11               | 4.65            | 4.46                  | 0.19                                             |                        |
|                                                                                                         | 123.5990         | Various                | 741.55          | 745.53                | -3.98                                            | SALE                   |
|                                                                                                         |                  |                        |                 |                       | TOTAL 8 LOTS                                     |                        |
| 09/12/13<br>PIONEER SER TR 1 / 723877785<br>PIONEER OAK RIDGE SMALL<br>CAP GROWTH FUND CL Y<br>09/12/13 | 5.0510           | 05/17/11               | 53.65           | 55.78                 | -2.13                                            | SALE                   |
|                                                                                                         | 0.4810           | 05/17/11               | 5.10            | 5.10                  | 0.00                                             | Original Basis: 5.31   |
|                                                                                                         | 0.3630           | 05/17/11               | 3.85            | 3.85                  | 0.00                                             | Original Basis: 4.00   |
|                                                                                                         | 0.8440           | 05/17/11               | 8.95            | 9.31                  | 0.00                                             | SALE                   |
| Wash Sale Loss Disallowed (Box 5):                                                                      |                  |                        |                 |                       | TOTAL 2 LOTS                                     |                        |
| 09/12/13<br>RS INVT TR / 74972H614<br>VALUE FD CL Y<br>09/12/13                                         | 3.3300           | 05/17/11               | 129.09          | 105.07                | 24.02                                            | SALE                   |
|                                                                                                         | 6.2750           | 05/17/11               | 203.76          | 168.99                | 34.77                                            | SALE                   |
| 09/12/13<br>VIRTUS / 92828T889<br>EMERGING MARKETS<br>OPPORTUNITIES FND CL I<br>09/12/13                | 106.2270         | 05/17/11               | 1,014.47        | 981.54                | 32.93                                            | SALE                   |

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2013 ENHANCED 1099

Page 11 of 21

As of Date: 2/07/14

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor:**  
LARRY GIAMBALVO JR/ANTHONY P  
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AIKEN SC 29803-0514

Account Number: 6324-0629  
Your Federal Identification Number: 31-1623458

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| Supplemental Information not reported to the IRS |                  |                        |                       |                     |
|--------------------------------------------------|------------------|------------------------|-----------------------|---------------------|
| 8-Description / CUSIP                            | 1a-Quantity Sold | 1b-Date of Acquisition | 3-Cost or Other Basis | Gain or Loss Amount |
| WELLS FARGO FDS TR / 949915565                   |                  |                        |                       |                     |
| ADVANTAGE ENDEAVOR                               |                  |                        |                       |                     |
| SELECT FD CL I                                   |                  |                        |                       |                     |
| 09/12/13                                         | 7.6820           | 05/17/11               | 103.40                | 23.96 SALE          |

TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

285.87

4,071.63

4,357.14

\*Box 2a: Sales price less commissions and option premiums

\*\*For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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# 2013 AMENDED FORMS 1099

Page 5 of 24

Amended Date: 3/07/14

**Your Financial Advisor:**  
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GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| FIDELITY ADVISOR VIII / 315920850                    |                  |                        |                 |                       |                                                  |                        |
| STRAT INCOME CL A                                    |                  |                        |                 |                       |                                                  |                        |
| 5 8720                                               | 09/04/12         | 71.98                  | 74.16           | -2.18                 |                                                  |                        |
| 5.5750                                               | 10/01/12         | 68.34                  | 70.92           | -2.58                 |                                                  |                        |
| 5.4010                                               | 11/01/12         | 66.20                  | 68.75           | -2.55                 |                                                  |                        |
| 0.3720                                               | 11/09/12         | 4.56                   | 4.74            | -0.18                 |                                                  |                        |
| 5.4340                                               | 12/03/12         | 66.61                  | 69.55           | -2.94                 |                                                  |                        |
| 15.4570                                              | 12/24/12         | 189.48                 | 196.15          | -6.67                 |                                                  |                        |
| 13.6860                                              | 12/24/12         | 167.77                 | 173.67          | -5.90                 |                                                  |                        |
| 5.7640                                               | 01/02/13         | 70.66                  | 73.15           | -2.49                 |                                                  |                        |
| 5.9090                                               | 02/01/13         | 72.43                  | 74.81           | -2.38                 |                                                  |                        |
| 0.3310                                               | 02/19/13         | 4.05                   | 4.17            | -0.12                 |                                                  |                        |
| 5.2780                                               | 03/01/13         | 64.70                  | 66.55           | -1.85                 |                                                  |                        |
| 5.9350                                               | 04/01/13         | 72.76                  | 74.90           | -2.14                 |                                                  |                        |
| 5.7180                                               | 05/01/13         | 70.10                  | 73.19           | -3.09                 |                                                  |                        |
| 6.1000                                               | 06/03/13         | 74.78                  | 76.43           | -1.65                 |                                                  |                        |
| 6.0210                                               | 07/01/13         | 73.81                  | 73.34           | 0.47                  |                                                  |                        |
| 6.2300                                               | 08/01/13         | 76.39                  | 76.51           | -0.12                 |                                                  |                        |
| 99.0830                                              | Various          | 1,214.62               | 1,250.99        | -36.37                | SALE                                             |                        |
| 08/02/13                                             |                  |                        |                 |                       |                                                  | TOTAL 16 LOTS          |

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# 2013 AMENDED FORMS 1099

Page 6 of 24

Amended Date: 3/07/14

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                                | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                            |
|-------------------------------------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|----------------------------|
|                                                                                     |                  |                        |                 |                       | Amount                                           | Additional Information     |
| FIRST TRUST PORTFOLIO LP / 30279C260<br>60/40 STRATEGIC ALLOCATN<br>PORT 1Q 12 REIN | 0.2470           | 01/09/13               | 2.63            | 2.54                  | 0.09                                             |                            |
|                                                                                     | 2.8210           | 05/25/12               | 30.13           | 27.12                 | 3.01                                             |                            |
|                                                                                     | 2.5680           | 12/26/12               | 27.43           | 26.27                 | 1.16                                             | Original Basis: 26.26      |
|                                                                                     | 2.7140           | 04/25/12               | 28.99           | 27.06                 | 1.93                                             |                            |
|                                                                                     | 2.6830           | 09/25/12               | 28.66           | 27.36                 | 1.30                                             |                            |
|                                                                                     | 2.7550           | 07/25/12               | 29.43           | 27.24                 | 2.19                                             |                            |
|                                                                                     | 2.6380           | 11/26/12               | 28.18           | 26.20                 | 1.98                                             |                            |
|                                                                                     | 2.7240           | 08/27/12               | 29.10           | 27.30                 | 1.80                                             |                            |
|                                                                                     | 2.5920           | 10/25/12               | 27.69           | 26.15                 | 1.54                                             |                            |
|                                                                                     | 2.7650           | 06/25/12               | 29.54           | 27.18                 | 2.36                                             |                            |
|                                                                                     | 2.8520           | 01/25/13               | 30.47           | 29.75                 | 0.72                                             |                            |
|                                                                                     | 3.3890           | 02/25/13               | 36.21           | 35.30                 | 0.91                                             |                            |
|                                                                                     | 2.8630           | 02/25/13               | 30.59           | 29.82                 | 0.77                                             |                            |
|                                                                                     | 2.8360           | 03/25/13               | 30.31           | 29.96                 | 0.35                                             |                            |
|                                                                                     | 1.4430           | 03/25/13               | 15.43           | 15.24                 | 0.19                                             |                            |
| 04/10/13                                                                            | 37.8900          | Various                | 404.79          | 384.49                | 20.30                                            | REDEMPTION - TOTAL 15 LOTS |

LORD ABBETT BD-DEB A / 544004104

|         |          |        |        |       |  |
|---------|----------|--------|--------|-------|--|
| 14.9550 | 09/04/12 | 122.46 | 119.34 | 3.12  |  |
| 15.0940 | 10/01/12 | 123.60 | 121.81 | 1.79  |  |
| 14.9160 | 11/01/12 | 122.14 | 120.22 | 1.92  |  |
| 15.0630 | 12/03/12 | 123.35 | 121.56 | 1.79  |  |
| 15.0870 | 01/02/13 | 123.54 | 122.81 | 0.73  |  |
| 14.1540 | 02/01/13 | 115.91 | 116.49 | -0.58 |  |
| 14.4560 | 03/01/13 | 118.38 | 118.83 | -0.45 |  |
| 14.3000 | 04/01/13 | 117.10 | 118.40 | -1.30 |  |
| 13.9000 | 05/01/13 | 113.83 | 116.48 | -2.65 |  |

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001 / PWON / PWL7



# 2013 AMENDED FORMS 1099

Amended Date: 3/07/14

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PALUMBO  
88 FROELICH FARM BOULEVARD  
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Account Number: 5789-2889  
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## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
|                                                      | 13.9680          | 06/03/13                  | 114.39          | 116.07                   | -1.68                  |                        |
|                                                      | 14.6680          | 07/01/13                  | 120.12          | 118.08                   | 2.04                   |                        |
|                                                      | 14.1880          | 08/01/13                  | 116.19          | 116.06                   | 0.13                   |                        |
|                                                      | 7.0610           | 08/01/13                  | 57.83           | 57.76                    | 0.07                   |                        |
| 08/02/13                                             | 181.8100         | Various                   | 1,488.84        | 1,483.91                 | 4.93                   | SALE<br>TOTAL 13 LOTS  |
| OPPENHEIMER GLOBAL FD / 683924104<br>CL A            | 4.6640           | 12/10/13                  | 356.46          | 356.38                   | 0.08                   |                        |
|                                                      | 1.2480           | 12/10/13                  | 95.39           | 95.37                    | 0.02                   |                        |
| 12/19/13                                             | 5.9120           | 12/10/13                  | 451.85          | 451.75                   | 0.10                   | SALE<br>TOTAL 2 LOTS   |
| VAN ECK GLOBAL HARD / 921075750<br>ASSETS FD A       | 7.5700           | 12/24/12                  | 341.11          | 329.05                   | 12.06                  |                        |
|                                                      | 3.5130           | 12/24/12                  | 158.31          | 152.73                   | 5.58                   |                        |
| 08/02/13                                             | 11.0830          | 12/24/12                  | 499.42          | 481.78                   | 17.64                  | SALE<br>TOTAL 2 LOTS   |

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS  
REPORTED TO IRS

4,059.52 4,052.92 6.60

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange   | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|--------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
| FIDELITY ADVISOR VIII / 315920850<br>STRAT INCOME CL A | 22.0790          | 01/03/12                  | 270.65          | 266.49                   | 4.16                   |                        |
|                                                        | 6.1650           | 02/01/12                  | 75.57           | 75.83                    | -0.26                  |                        |
|                                                        | 1.9330           | 02/13/12                  | 23.69           | 23.79                    | -0.10                  |                        |

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# 2013 AMENDED FORMS 1099

Page 8 of 24

Amended Date: 3/07/14

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## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                                            | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information   |
|-------------------------------------------------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|--------------------------|
| 08/02/13<br>FIRST TRUST PORTFOLIO LP / 30279C260<br>60/40 STRATEGIC ALLOCATN<br>PORT 1Q 12 REIN | 5.8440           | 03/01/12                  | 71.63           | 72.58                    | -0.95                  |                          |
|                                                                                                 | 6.4200           | 04/02/12                  | 78.69           | 79.29                    | -0.60                  |                          |
|                                                                                                 | 6.0870           | 05/01/12                  | 74.61           | 75.78                    | -1.17                  |                          |
|                                                                                                 | 6.3730           | 06/01/12                  | 78.12           | 78.13                    | -0.01                  |                          |
|                                                                                                 | 5.7790           | 07/02/12                  | 70.84           | 71.49                    | -0.65                  |                          |
|                                                                                                 | 5.8850           | 08/01/12                  | 72.14           | 73.86                    | -1.72                  |                          |
|                                                                                                 | 66.5650          | Various                   | 815.94          | 817.24                   | -1.30                  | SALE                     |
|                                                                                                 |                  |                           |                 |                          | TOTAL 9 LOTS           |                          |
| 04/10/13<br>LORD ABBETT BD-DEB A / 544004104                                                    | 2.1360           | 02/27/12                  | 22.81           | 21.49                    | 1.32                   |                          |
|                                                                                                 | 2.7200           | 03/26/12                  | 29.05           | 27.37                    | 1.68                   |                          |
|                                                                                                 | 1,242.0000       | 02/01/12                  | 13,268.03       | 12,587.54                | 680.49                 | Original Basis: 12587.55 |
|                                                                                                 | 1,246.8560       | Various                   | 13,319.89       | 12,636.40                | 683.49                 | REDEMPTION               |
|                                                                                                 |                  |                           |                 |                          |                        | TOTAL 3 LOTS             |
| 08/02/13<br>OPPENHEIMER GLOBAL FD / 683924104<br>CL A                                           | 15.5540          | 01/03/12                  | 127.36          | 118.68                   | 8.68                   |                          |
|                                                                                                 | 14.8260          | 02/01/12                  | 121.40          | 116.53                   | 4.87                   |                          |
|                                                                                                 | 15.0500          | 03/01/12                  | 123.24          | 120.10                   | 3.14                   |                          |
|                                                                                                 | 15.4950          | 04/02/12                  | 126.88          | 123.03                   | 3.85                   |                          |
|                                                                                                 | 14.4310          | 05/01/12                  | 118.17          | 114.58                   | 3.59                   |                          |
|                                                                                                 | 15.4290          | 06/01/12                  | 126.34          | 119.73                   | 6.61                   |                          |
|                                                                                                 | 15.9430          | 07/02/12                  | 130.55          | 124.99                   | 5.56                   |                          |
|                                                                                                 | 15.0300          | 08/01/12                  | 123.08          | 119.04                   | 4.04                   |                          |
|                                                                                                 | 121.7580         | Various                   | 997.02          | 956.68                   | 40.34                  | SALE                     |
|                                                                                                 |                  |                           |                 |                          |                        | TOTAL 8 LOTS             |
| 08/02/13<br>OPPENHEIMER GLOBAL FD / 683924104<br>CL A                                           | 1.5420           | 12/11/12                  | 117.84          | 97.47                    | 20.37                  |                          |
|                                                                                                 | 0.2560           | 12/11/12                  | 19.56           | 16.15                    | 3.41                   |                          |

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# 2013 AMENDED FORMS 1099

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Amended Date: 3/07/14

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange      | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|-----------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                           |                  |                        |                 |                       | Amount                                           | Additional Information |
| 12/19/13                                                  | 1.7980           | 12/11/12               | 137.40          | 113.62                | 23.78                                            | SALE                   |
| TOTAL LONG TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS |                  |                        |                 |                       | 15,270.25                                        | 14,523.94 746.31       |

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange   | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|--------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                        |                  |                        |                 |                       | Amount                                           | Additional Information |
| FIDELITY ADVISOR VIII / 315920850<br>STRAT INCOME CL A | 1,214.3440       | 02/19/04               | 14,884.41       | 14,900.00             | -15.59                                           |                        |
|                                                        | 0.9350           | 03/01/04               | 11.46           | 10.90                 | 0.56                                             |                        |
|                                                        | 3.9440           | 04/01/04               | 48.34           | 46.14                 | 2.20                                             |                        |
|                                                        | 0.8980           | 04/01/04               | 11.00           | 10.50                 | 0.50                                             |                        |
|                                                        | 0.0010           | 05/03/04               | 0.01            | 0.01                  | 0.00                                             |                        |
|                                                        | 4.6660           | 05/03/04               | 57.19           | 53.09                 | 4.10                                             |                        |
|                                                        | 5.1940           | 06/01/04               | 63.66           | 58.22                 | 5.44                                             |                        |
|                                                        | 4.8650           | 07/01/04               | 59.63           | 54.82                 | 4.81                                             |                        |
|                                                        | 5.0310           | 08/02/04               | 61.66           | 56.95                 | 4.71                                             |                        |
|                                                        | 5.0790           | 09/01/04               | 62.25           | 58.61                 | 3.64                                             |                        |
|                                                        | 4.8110           | 10/01/04               | 58.96           | 56.09                 | 2.87                                             |                        |
|                                                        | 5.0510           | 11/01/04               | 61.91           | 59.90                 | 2.01                                             |                        |
|                                                        | 4.7230           | 12/01/04               | 57.89           | 56.53                 | 1.36                                             |                        |
|                                                        | 7.0600           | 01/06/05               | 86.53           | 84.22                 | 2.31                                             |                        |
|                                                        | 7.3840           | 01/06/05               | 90.50           | 88.16                 | 2.34                                             |                        |
|                                                        | 10.5490          | 01/06/05               | 129.30          | 125.95                | 3.35                                             |                        |
|                                                        | 5.0100           | 02/01/05               | 61.40           | 59.41                 | 1.99                                             |                        |

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# 2013 AMENDED FORMS 1099

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Amended Date: 3/07/14

Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Your Financial Advisor:

LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGEHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
|                                                      | 3.2760           | 02/09/05               | 40.15           | 38.68                 | 1.47                |                        |
|                                                      | 8.1900           | 02/09/05               | 100.38          | 96.72                 | 3.66                |                        |
|                                                      | 4.5640           | 03/01/05               | 55.94           | 53.94                 | 2.00                |                        |
|                                                      | 5.1940           | 04/01/05               | 63.66           | 60.04                 | 3.62                |                        |
|                                                      | 3.3880           | 05/02/05               | 41.52           | 39.13                 | 2.39                |                        |
|                                                      | 3.3080           | 06/01/05               | 40.54           | 38.43                 | 2.11                |                        |
|                                                      | 4.8120           | 07/01/05               | 58.98           | 56.30                 | 2.68                |                        |
|                                                      | 5.0030           | 08/01/05               | 61.32           | 58.48                 | 2.84                |                        |
|                                                      | 5.0290           | 09/01/05               | 61.64           | 59.19                 | 2.45                |                        |
|                                                      | 4.9680           | 10/03/05               | 60.89           | 57.97                 | 2.92                |                        |
|                                                      | 5.2390           | 11/01/05               | 64.21           | 60.40                 | 3.81                |                        |
|                                                      | 5.0680           | 12/01/05               | 62.12           | 58.48                 | 3.64                |                        |
|                                                      | 5.2460           | 12/30/05               | 64.30           | 60.64                 | 3.66                |                        |
|                                                      | 0.5830           | 12/30/05               | 7.14            | 6.73                  | 0.41                |                        |
|                                                      | 5.5950           | 02/01/06               | 68.57           | 64.90                 | 3.67                |                        |
|                                                      | 7.7270           | 02/10/06               | 94.71           | 89.16                 | 5.55                |                        |
|                                                      | 4.7760           | 03/01/06               | 58.54           | 55.54                 | 3.00                |                        |
|                                                      | 4.1430           | 04/03/06               | 50.78           | 47.81                 | 2.97                |                        |
|                                                      | 1.6460           | 04/13/06               | 20.17           | 19.12                 | 1.05                |                        |
|                                                      | 1.4780           | 04/26/06               | 18.11           | 17.07                 | 1.04                |                        |
|                                                      | 5.3930           | 05/01/06               | 66.10           | 62.39                 | 3.71                |                        |
|                                                      | 5.8130           | 06/01/06               | 71.25           | 66.84                 | 4.41                |                        |
|                                                      | 5.5950           | 07/03/06               | 68.57           | 63.89                 | 4.68                |                        |
|                                                      | 5.9210           | 08/01/06               | 72.57           | 68.20                 | 4.37                |                        |
|                                                      | 5.5660           | 09/01/06               | 68.22           | 64.79                 | 3.43                |                        |
|                                                      | 5.6910           | 10/02/06               | 69.75           | 66.47                 | 3.28                |                        |
|                                                      | 5.9120           | 11/01/06               | 72.46           | 69.47                 | 2.99                |                        |
|                                                      | 5.7250           | 12/01/06               | 70.17           | 67.95                 | 2.22                |                        |
|                                                      | 4.2250           | 12/26/06               | 51.78           | 49.85                 | 1.93                |                        |

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# 2013 AMENDED FORMS 1099

Page 11 of 24

Amended Date: 3/07/14

**Your Financial Advisor:**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
|                                                      | 1.2080           | 12/26/06               | 14.80           | 14.25                 | 0.55                                             |                        |
|                                                      | 7.9460           | 01/03/07               | 97.39           | 93.44                 | 3.95                                             |                        |
|                                                      | 6.1830           | 02/01/07               | 75.78           | 72.46                 | 3.32                                             |                        |
|                                                      | 1.2340           | 02/05/07               | 15.12           | 14.44                 | 0.68                                             |                        |
|                                                      | 1.2340           | 02/05/07               | 15.12           | 14.44                 | 0.68                                             |                        |
|                                                      | 5.5300           | 03/01/07               | 67.78           | 65.31                 | 2.47                                             |                        |
|                                                      | 6.3080           | 04/02/07               | 77.32           | 74.37                 | 2.95                                             |                        |
|                                                      | 5.9220           | 05/01/07               | 72.58           | 70.23                 | 2.35                                             |                        |
|                                                      | 6.1980           | 06/01/07               | 75.97           | 73.01                 | 2.96                                             |                        |
|                                                      | 6.0910           | 07/02/07               | 74.66           | 70.90                 | 3.76                                             |                        |
|                                                      | 6.5360           | 08/01/07               | 80.11           | 75.10                 | 5.01                                             |                        |
|                                                      | 6.5420           | 09/04/07               | 80.18           | 75.62                 | 4.56                                             |                        |
|                                                      | 6.2620           | 10/01/07               | 76.75           | 73.52                 | 3.23                                             |                        |
|                                                      | 6.4990           | 11/01/07               | 79.66           | 76.88                 | 2.78                                             |                        |
|                                                      | 6.3230           | 12/03/07               | 77.50           | 74.48                 | 3.02                                             |                        |
|                                                      | 3.8940           | 12/31/07               | 47.73           | 45.25                 | 2.48                                             |                        |
|                                                      | 10.3870          | 12/31/07               | 127.31          | 120.70                | 6.61                                             |                        |
|                                                      | 8.0620           | 01/02/08               | 98.82           | 93.68                 | 5.14                                             |                        |
|                                                      | 6.4940           | 02/01/08               | 79.60           | 75.53                 | 4.07                                             |                        |
|                                                      | 2.0180           | 02/19/08               | 24.73           | 23.05                 | 1.68                                             |                        |
|                                                      | 7.4050           | 02/19/08               | 90.76           | 84.57                 | 6.19                                             |                        |
|                                                      | 5.9060           | 03/03/08               | 72.39           | 68.21                 | 4.18                                             |                        |
|                                                      | 6.1690           | 04/01/08               | 75.61           | 71.07                 | 4.54                                             |                        |
|                                                      | 5.8010           | 05/01/08               | 71.10           | 67.06                 | 4.04                                             |                        |
|                                                      | 6.1730           | 06/02/08               | 75.66           | 70.87                 | 4.79                                             |                        |
|                                                      | 6.0460           | 07/01/08               | 74.10           | 68.62                 | 5.48                                             |                        |
|                                                      | 6.5470           | 08/01/08               | 80.25           | 73.98                 | 6.27                                             |                        |
|                                                      | 6.3370           | 09/02/08               | 77.67           | 71.17                 | 6.50                                             |                        |
|                                                      | 6.5750           | 10/01/08               | 80.59           | 70.62                 | 9.97                                             |                        |

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# 2013 AMENDED FORMS 1099

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Amended Date: 3/07/14

## Your Financial Advisor:

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PALUMBO  
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SUITE 110  
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(516) 677-5500

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LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
|                                                      | 7.9400           | 11/03/08                  | 97.32           | 76.14                    | 21.18                  |                        |
|                                                      | 8.2150           | 12/01/08                  | 100.69          | 77.55                    | 23.14                  |                        |
|                                                      | 8.3720           | 01/02/09                  | 102.62          | 81.79                    | 20.83                  |                        |
|                                                      | 7.2240           | 02/02/09                  | 88.54           | 70.72                    | 17.82                  |                        |
|                                                      | 6.7600           | 03/02/09                  | 82.86           | 65.03                    | 17.83                  |                        |
|                                                      | 7.8660           | 04/01/09                  | 96.41           | 77.48                    | 18.93                  |                        |
|                                                      | 7.4300           | 05/01/09                  | 91.07           | 76.90                    | 14.17                  |                        |
|                                                      | 7.5000           | 06/01/09                  | 91.93           | 80.70                    | 11.23                  |                        |
|                                                      | 6.3040           | 07/01/09                  | 77.27           | 69.41                    | 7.86                   |                        |
|                                                      | 6.6940           | 08/03/09                  | 82.05           | 76.65                    | 5.40                   |                        |
|                                                      | 6.6350           | 09/01/09                  | 81.33           | 77.17                    | 4.16                   |                        |
|                                                      | 5.8990           | 10/01/09                  | 72.30           | 71.20                    | 1.10                   |                        |
|                                                      | 5.9550           | 11/02/09                  | 72.99           | 72.00                    | 0.99                   |                        |
|                                                      | 5.7670           | 12/01/09                  | 70.69           | 70.64                    | 0.05                   |                        |
|                                                      | 13.9850          | 12/24/09                  | 171.42          | 169.50                   | 1.92                   |                        |
|                                                      | 10.9340          | 01/04/10                  | 134.02          | 132.63                   | 1.39                   |                        |
|                                                      | 6.1210           | 02/01/10                  | 75.03           | 74.62                    | 0.41                   |                        |
|                                                      | 2.1540           | 02/16/10                  | 26.40           | 25.89                    | 0.51                   |                        |
|                                                      | 5.4980           | 03/01/10                  | 67.39           | 66.75                    | 0.64                   |                        |
|                                                      | 6.2230           | 04/01/10                  | 76.28           | 76.61                    | -0.33                  |                        |
|                                                      | 5.8630           | 05/03/10                  | 71.86           | 72.88                    | -1.02                  |                        |
|                                                      | 6.5530           | 06/01/10                  | 80.32           | 79.16                    | 1.16                   |                        |
|                                                      | 6.0050           | 07/01/10                  | 73.60           | 73.14                    | 0.46                   |                        |
|                                                      | 6.0830           | 08/02/10                  | 74.56           | 75.98                    | -1.42                  |                        |
|                                                      | 5.7380           | 09/01/10                  | 70.33           | 72.07                    | -1.74                  |                        |
|                                                      | 5.1740           | 10/01/10                  | 63.42           | 66.23                    | -2.81                  |                        |
|                                                      | 5.3560           | 11/01/10                  | 65.65           | 69.52                    | -3.87                  |                        |
|                                                      | 5.2890           | 12/01/10                  | 64.83           | 67.06                    | -2.23                  |                        |
|                                                      | 21.7630          | 12/23/10                  | 266.77          | 267.90                   | -1.13                  |                        |

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# 2013 AMENDED FORMS 1099

Page 13 of 24

Amended Date: 3/07/14

**Your Financial Advisor :**  
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1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| Supplemental Information not reported to the IRS |                  |                        |                 | Additional Information |                     |
|--------------------------------------------------|------------------|------------------------|-----------------|------------------------|---------------------|
| 8-Description / CUSIP                            | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis  | Amount Gain or Loss |
| 1a-Date of Sale or Exchange                      |                  |                        |                 |                        |                     |
| 08/02/13                                         | 18,1360          | 12/23/10               | 222.31          | 223.25                 | -0.94               |
|                                                  | 18,6070          | 01/03/11               | 228.08          | 230.36                 | -2.28               |
|                                                  | 6,1850           | 02/01/11               | 75.81           | 77.25                  | -1.44               |
|                                                  | 9,2380           | 02/14/11               | 113.23          | 114.74                 | -1.51               |
|                                                  | 5,5020           | 03/01/11               | 67.44           | 68.77                  | -1.33               |
|                                                  | 6,3160           | 04/01/11               | 77.42           | 79.01                  | -1.59               |
|                                                  | 6,0770           | 05/02/11               | 74.49           | 77.24                  | -2.75               |
|                                                  | 6,1770           | 06/01/11               | 75.71           | 78.51                  | -2.80               |
|                                                  | 5,8640           | 07/01/11               | 71.88           | 73.89                  | -2.01               |
|                                                  | 5,8560           | 08/01/11               | 71.78           | 74.49                  | -2.71               |
|                                                  | 5,8630           | 09/01/11               | 71.86           | 73.46                  | -1.60               |
|                                                  | 5,6170           | 10/03/11               | 68.85           | 68.36                  | 0.49                |
|                                                  | 5,8280           | 11/02/11               | 71.44           | 72.79                  | -1.35               |
|                                                  | 5,7510           | 12/01/11               | 70.49           | 70.57                  | -0.08               |
|                                                  | 21,8330          | 12/23/11               | 267.63          | 262.87                 | 4.76                |
|                                                  | 13,3870          | 12/23/11               | 164.10          | 161.18                 | 2.92                |
|                                                  | 1,953,9860       | Various                | 23,950.15       | 23,580.97              | 369.18              |
|                                                  |                  |                        |                 | SALE                   | TOTAL 120 LOTS      |
| LORD ABBETT BD-DEB A / 544004104                 | 1,752,9410       | 02/19/04               | 14,353.34       | 14,900.00              | -546.66             |
|                                                  | 9,3700           | 03/12/04               | 76.72           | 75.89                  | 0.83                |
|                                                  | 9,4910           | 04/14/04               | 77.71           | 76.30                  | 1.41                |
|                                                  | 9,8230           | 05/12/04               | 80.43           | 76.71                  | 3.72                |
|                                                  | 9,8640           | 06/11/04               | 80.76           | 77.13                  | 3.63                |
|                                                  | 9,8690           | 07/13/04               | 80.80           | 77.57                  | 3.23                |
|                                                  | 9,8980           | 08/11/04               | 81.04           | 77.99                  | 3.05                |
|                                                  | 9,9030           | 09/14/04               | 81.08           | 78.43                  | 2.65                |
|                                                  | 9,8820           | 10/13/04               | 80.91           | 78.85                  | 2.06                |
|                                                  | 9,7760           | 11/10/04               | 80.04           | 79.28                  | 0.76                |

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# 2013 AMENDED FORMS 1099

Page 14 of 24

Amended Date: 3/07/14

**Your Financial Advisor :**  
 LARRY GIAMBALVO JR/ANTHONY P  
 PALUMBO  
 88 FROELICH FARM BOULEVARD  
 SUITE 110  
 WOODBURY NY 11797  
 (516) 677-5500

**Payer:**  
 FIRST CLEARING, LLC  
 2801 MARKET STREET  
 SAINT LOUIS, MO 63103  
 Payer ID #: 23-2384840

BARTELS FAMILY FOUNDATION TR  
 LYNN B ENGELHOLM TTEE  
 GAIL GRIFFIN TTEE  
 U/A DTD 02/18/1999  
 5226 CHARNWOOD FOREST CIRCLE  
 AIKEN SC 29803-0514

Account Number: 5789-2889  
 Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

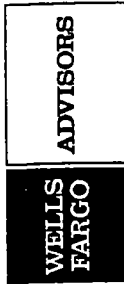
Supplemental information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
|                                                      | 9.8040           | 12/13/04                  | 80.27           | 79.70                    | 0.57                   |                        |
|                                                      | 9.8680           | 01/12/05                  | 80.80           | 80.12                    | 0.68                   |                        |
|                                                      | 9.9090           | 02/11/05                  | 81.13           | 80.56                    | 0.57                   |                        |
|                                                      | 9.9740           | 03/14/05                  | 81.66           | 80.98                    | 0.68                   |                        |
|                                                      | 10.2800          | 04/13/05                  | 84.17           | 81.41                    | 2.76                   |                        |
|                                                      | 10.5780          | 05/13/05                  | 86.61           | 81.87                    | 4.74                   |                        |
|                                                      | 10.4730          | 06/13/05                  | 85.75           | 82.31                    | 3.44                   |                        |
|                                                      | 10.4780          | 07/13/05                  | 85.79           | 82.77                    | 3.02                   |                        |
|                                                      | 10.5090          | 08/12/05                  | 86.05           | 83.23                    | 2.82                   |                        |
|                                                      | 10.5270          | 09/13/05                  | 86.19           | 83.68                    | 2.51                   |                        |
|                                                      | 10.7870          | 10/13/05                  | 88.32           | 84.13                    | 4.19                   |                        |
|                                                      | 10.9460          | 11/15/05                  | 89.62           | 84.61                    | 5.01                   |                        |
|                                                      | 10.9640          | 12/13/05                  | 89.77           | 85.08                    | 4.69                   |                        |
|                                                      | 10.9130          | 01/11/06                  | 89.35           | 85.55                    | 3.80                   |                        |
|                                                      | 11.0150          | 02/13/06                  | 90.19           | 86.02                    | 4.17                   |                        |
|                                                      | 11.1050          | 03/14/06                  | 90.93           | 86.50                    | 4.43                   |                        |
|                                                      | 11.1240          | 04/12/06                  | 91.08           | 86.98                    | 4.10                   |                        |
|                                                      | 11.1140          | 05/12/06                  | 91.00           | 87.46                    | 3.54                   |                        |
|                                                      | 11.3780          | 06/13/06                  | 93.16           | 87.95                    | 5.21                   |                        |
|                                                      | 11.5160          | 07/12/06                  | 94.29           | 88.44                    | 5.85                   |                        |
|                                                      | 11.5210          | 08/10/06                  | 94.33           | 88.94                    | 5.39                   |                        |
|                                                      | 11.5260          | 09/11/06                  | 94.37           | 89.44                    | 4.93                   |                        |
|                                                      | 11.4870          | 10/10/06                  | 94.05           | 89.94                    | 4.11                   |                        |
|                                                      | 11.4190          | 11/10/06                  | 93.50           | 90.44                    | 3.06                   |                        |
|                                                      | 11.3660          | 12/12/06                  | 93.06           | 90.93                    | 2.13                   |                        |
|                                                      | 11.4560          | 01/09/07                  | 93.80           | 91.42                    | 2.38                   |                        |
|                                                      | 11.3900          | 02/08/07                  | 93.26           | 91.92                    | 1.34                   |                        |
|                                                      | 11.4510          | 03/13/07                  | 93.76           | 92.41                    | 1.35                   |                        |
|                                                      | 11.4850          | 04/12/07                  | 94.04           | 92.91                    | 1.13                   |                        |

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# 2013 AMENDED FORMS 1099

Page 15 of 24

Amended Date: 3/07/14

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
|                                                      |                  |                           |                 |                          | -0.03                  |                        |
| 11.4050                                              | 11.4050          | 05/10/07                  | 93.38           | 93.41                    | 0.90                   |                        |
| 11.5780                                              | 11.5780          | 06/12/07                  | 94.80           | 93.90                    | 2.09                   |                        |
| 11.7850                                              | 11.7850          | 07/12/07                  | 96.49           | 94.40                    | 4.08                   |                        |
| 12.0900                                              | 12.0900          | 08/09/07                  | 98.99           | 94.91                    | 3.23                   |                        |
| 12.0490                                              | 12.0490          | 09/11/07                  | 98.66           | 95.43                    | 0.80                   |                        |
| 11.8180                                              | 11.8180          | 10/11/07                  | 96.76           | 95.96                    | 1.90                   |                        |
| 12.0140                                              | 12.0140          | 11/09/07                  | 98.37           | 96.47                    | 3.16                   |                        |
| 12.2310                                              | 12.2310          | 12/11/07                  | 100.15          | 96.99                    | 5.12                   |                        |
| 12.5350                                              | 12.5350          | 01/10/08                  | 102.64          | 97.52                    | 6.62                   |                        |
| 12.7850                                              | 12.7850          | 02/08/08                  | 104.68          | 98.06                    | 8.47                   |                        |
| 13.0780                                              | 13.0780          | 03/10/08                  | 107.08          | 98.61                    | 7.26                   |                        |
| 12.9990                                              | 12.9990          | 04/10/08                  | 106.44          | 99.18                    | 5.37                   |                        |
| 12.8370                                              | 12.8370          | 05/09/08                  | 105.11          | 99.74                    | 5.81                   |                        |
| 12.9590                                              | 12.9590          | 06/09/08                  | 106.11          | 100.30                   | 10.14                  |                        |
| 13.5560                                              | 13.5560          | 07/10/08                  | 111.00          | 100.86                   | 11.87                  |                        |
| 13.8400                                              | 13.8400          | 08/08/08                  | 113.32          | 101.45                   | 11.94                  |                        |
| 13.9220                                              | 13.9220          | 09/09/08                  | 113.99          | 102.05                   | 28.06                  |                        |
| 15.9640                                              | 15.9640          | 10/09/08                  | 130.71          | 102.65                   | 38.63                  |                        |
| 17.3390                                              | 17.3390          | 11/10/08                  | 141.97          | 103.34                   | 50.87                  |                        |
| 18.9250                                              | 18.9250          | 12/09/08                  | 154.96          | 104.09                   | 37.79                  |                        |
| 17.4270                                              | 17.4270          | 01/08/09                  | 142.70          | 104.91                   | 38.06                  |                        |
| 17.5530                                              | 17.5530          | 02/09/09                  | 143.73          | 105.67                   | 47.81                  |                        |
| 18.8370                                              | 18.8370          | 03/10/09                  | 154.24          | 106.43                   | 41.84                  |                        |
| 18.2070                                              | 18.2070          | 04/09/09                  | 149.08          | 107.24                   | 32.15                  |                        |
| 17.1200                                              | 17.1200          | 05/11/09                  | 140.18          | 108.03                   | 24.72                  |                        |
| 14.3050                                              | 14.3050          | 06/08/09                  | 117.13          | 92.41                    | 24.71                  |                        |
| 14.3830                                              | 14.3830          | 07/08/09                  | 117.77          | 93.06                    | 18.41                  |                        |
| 13.6580                                              | 13.6580          | 08/06/09                  | 111.83          | 93.42                    | 18.52                  |                        |
| 13.7340                                              | 13.7340          | 09/08/09                  | 112.46          | 93.94                    |                        |                        |

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# 2013 AMENDED FORMS 1099

Page 16 of 24

Amended Date: 3/07/14

## Your Financial Advisor :

LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGEHOLM TTEE  
GAIL GRIFFIN TTEE  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
|                                                      | 13.3780          | 10/06/09                  | 109.54          | 94.45                    | 15.09                  |                        |
|                                                      | 13.3510          | 11/05/09                  | 109.32          | 95.06                    | 14.26                  |                        |
|                                                      | 13.1670          | 12/04/09                  | 107.81          | 95.46                    | 12.35                  |                        |
|                                                      | 13.0260          | 01/08/10                  | 106.66          | 96.00                    | 10.66                  |                        |
|                                                      | 13.1360          | 02/04/10                  | 107.56          | 96.55                    | 11.01                  |                        |
|                                                      | 13.0950          | 03/05/10                  | 107.22          | 96.90                    | 10.32                  |                        |
|                                                      | 12.9270          | 04/06/10                  | 105.85          | 97.34                    | 8.51                   |                        |
|                                                      | 12.9250          | 05/06/10                  | 105.83          | 97.84                    | 7.99                   |                        |
|                                                      | 13.7610          | 06/04/10                  | 112.68          | 100.87                   | 11.81                  |                        |
|                                                      | 13.8970          | 07/07/10                  | 113.79          | 101.31                   | 12.48                  |                        |
|                                                      | 13.4400          | 08/06/10                  | 110.05          | 101.74                   | 8.31                   |                        |
|                                                      | 13.8190          | 09/03/10                  | 113.15          | 103.92                   | 9.23                   |                        |
|                                                      | 13.6180          | 10/01/10                  | 111.51          | 104.45                   | 7.06                   |                        |
|                                                      | 13.7350          | 11/01/10                  | 112.47          | 107.27                   | 5.20                   |                        |
|                                                      | 14.6380          | 12/01/10                  | 119.86          | 112.86                   | 7.00                   |                        |
|                                                      | 14.1270          | 01/03/11                  | 115.68          | 110.33                   | 5.35                   |                        |
|                                                      | 13.2780          | 02/01/11                  | 108.72          | 105.43                   | 3.29                   |                        |
|                                                      | 13.7780          | 03/01/11                  | 112.82          | 110.64                   | 2.18                   |                        |
|                                                      | 14.1930          | 04/01/11                  | 116.22          | 113.83                   | 2.39                   |                        |
|                                                      | 13.3690          | 05/02/11                  | 109.47          | 108.42                   | 1.05                   |                        |
|                                                      | 14.2790          | 06/01/11                  | 116.92          | 115.66                   | 1.26                   |                        |
|                                                      | 14.5060          | 07/01/11                  | 118.78          | 115.61                   | 3.17                   |                        |
|                                                      | 14.2010          | 08/01/11                  | 116.28          | 113.32                   | 2.96                   |                        |
|                                                      | 15.2780          | 09/01/11                  | 125.10          | 116.42                   | 8.68                   |                        |
|                                                      | 16.3340          | 10/03/11                  | 133.75          | 119.73                   | 14.02                  |                        |
|                                                      | 15.1520          | 11/02/11                  | 124.07          | 116.67                   | 7.40                   |                        |
|                                                      | 16.2430          | 12/01/11                  | 133.01          | 122.31                   | 10.70                  |                        |
| 08/02/13                                             | 2,944 7640       | Various                   | 24,111.98       | 23,840.67                | 271.31                 | SALE                   |
|                                                      |                  |                           |                 |                          |                        | TOTAL 95 LOTS          |

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2013 AMENDED FORMS 1099

Page 17 of 24

Amended Date: 3/07/14

Your Financial Advisor :  
LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

Payer:  
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5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| OPPENHEIMER GLOBAL FD / 683924104<br>CLA             |                  |                        |                 |                       |                                                  |                        |
| 115.7410                                             | 05/03/06         | 8,845.26               | 9,000.00        | -154.74               |                                                  |                        |
| 0.8510                                               | 12/08/06         | 65.03                  | 62.04           | 2.99                  |                                                  |                        |
| 6.1360                                               | 12/08/06         | 468.93                 | 447.28          | 21.65                 |                                                  |                        |
| 0.5420                                               | 12/08/06         | 41.42                  | 39.50           | 1.92                  |                                                  |                        |
| 0.2710                                               | 08/09/07         | 20.71                  | 21.17           | -0.46                 |                                                  |                        |
| 1.1320                                               | 12/10/07         | 86.51                  | 84.08           | 2.43                  |                                                  |                        |
| 7.3750                                               | 12/10/07         | 563.62                 | 547.71          | 15.91                 |                                                  |                        |
| 0.2880                                               | 12/10/07         | 22.00                  | 21.37           | 0.63                  |                                                  |                        |
| 11.9980                                              | 12/08/08         | 916.93                 | 409.37          | 507.56                |                                                  |                        |
| 3.5960                                               | 12/08/08         | 274.81                 | 122.71          | 152.10                |                                                  |                        |
| 0.7730                                               | 12/07/09         | 59.07                  | 40.84           | 18.23                 |                                                  |                        |
| 1.2650                                               | 12/08/10         | 96.67                  | 74.76           | 21.91                 |                                                  |                        |
| 1.0770                                               | 12/08/10         | 82.31                  | 63.62           | 18.69                 |                                                  |                        |
| 2.9780                                               | 12/13/11         | 227.59                 | 159.79          | 67.80                 |                                                  |                        |
| 0.0440                                               | 12/13/11         | 3.36                   | 2.37            | 0.99                  |                                                  |                        |
| 154.0670                                             | Various          | 11,774.22              | 11,096.61       | 677.61                | SALE                                             | TOTAL 15 LOTS          |

12/19/13  
VAN ECK GLOBAL HARD / 921075750  
ASSETS FD A

|          |          |           |           |          |  |  |
|----------|----------|-----------|-----------|----------|--|--|
| 409.1560 | 01/06/06 | 18,436.77 | 15,196.04 | 3,240.73 |  |  |
| 57.3800  | 01/04/07 | 2,585.57  | 2,184.44  | 401.13   |  |  |
| 16.9320  | 01/04/07 | 762.96    | 644.59    | 118.37   |  |  |
| 78.1480  | 01/03/08 | 3,521.39  | 3,791.75  | -270.36  |  |  |
| 52.4760  | 01/03/08 | 2,364.59  | 2,546.13  | -181.54  |  |  |
| 2.6220   | 12/23/10 | 118.15    | 135.10    | -16.95   |  |  |
| 3.4600   | 12/23/11 | 155.91    | 149.86    | 6.05     |  |  |
| 0.6690   | 12/23/11 | 30.14     | 28.99     | 1.15     |  |  |

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# 2013 AMENDED FORMS 1099

Page 18 of 24

Amended Date: 3/07/14

## Your Financial Advisor:

LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROEHLICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY FOUNDATION TR  
LYNN B ENGELHOLM TTEE  
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U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Payer:  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 5789-2889  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (NONCOVERED)-BASIS NOT REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX E CHECKED\*\* continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange      | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|-----------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                           |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 08/02/13                                                  | 620.8430         | Various                | 27,975.48       | 24,676.90             | 3,298.58                                         | SALE TOTAL 8 LOTS      |
| TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES |                  |                        |                 |                       | 87,811.83                                        | 83,195.15 4,616.68     |

\*Box 2a Sales price less commissions and option premiums

\*\*For noncovered securities, the following information is not reported to the IRS: COST OR OTHER BASIS (Box 3), DATE OF ACQUISITION (Box 1b), TYPE OF GAIN OR LOSS (Box 1c), WASH SALE LOSS AMOUNT DISALLOWED (Box 5)

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## 2013 ENHANCED 1099

Page 5 of 34

As of Date: 2/07/14

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGELHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Account Number: 8076-2620**  
**Your Federal Identification Number: 31-1623458**

### Reportable Tax Information

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. Where available, we have also provided additional information for courtesy purposes that is not provided to the IRS. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: TAXPAYERS ARE ULTIMATELY RESPONSIBLE FOR THE ACCURACY OF THEIR TAX RETURNS.

The 1099-B data shown is reported to the IRS. Individual tax lots for each trade, where applicable, have been broken out and shown in italics. This detailed information is not reported to the IRS, but may be helpful in completing Form 8949 of Schedule D of your tax return.

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| ABBOTT LABORATORIES / 002824100                      |                  |                        |                 |                       |                                                  |                        |
| 02/12/13                                             | 1.0000           | 06/08/12               | 34.33           | 29.51                 | 4.82                                             | SALE                   |
|                                                      | 4.0000           | 11/27/12               | 151.69          | 123.22                | 28.47                                            |                        |
|                                                      | 16.0000          | 01/03/13               | 606.76          | 531.88                | 74.88                                            |                        |
|                                                      | 2.0000           | 01/17/13               | 75.84           | 65.70                 | 10.14                                            |                        |
|                                                      | 14.0000          | 04/30/13               | 530.93          | 517.11                | 13.82                                            |                        |
|                                                      | 3.0000           | 06/04/13               | 113.77          | 109.80                | 3.97                                             |                        |
|                                                      | 3.0000           | 07/09/13               | 113.77          | 104.99                | 8.78                                             |                        |
|                                                      | 3.0000           | 09/04/13               | 113.77          | 100.70                | 13.07                                            |                        |
|                                                      | 2.0000           | 10/14/13               | 75.85           | 67.81                 | 8.04                                             |                        |
|                                                      | 47.0000          | Various                | 1,782.38        | 1,621.21              | 161.17                                           | SALE                   |
|                                                      |                  |                        |                 |                       |                                                  | TOTAL 8 LOTS           |
| ABBVIE INC / 00287Y109                               |                  |                        |                 |                       |                                                  |                        |
|                                                      | 2.0000           | 06/08/12               | 68.74           | 63.99                 | 4.75                                             |                        |
|                                                      | 5.0000           | 06/18/12               | 171.87          | 163.07                | 8.80                                             |                        |
|                                                      | 4.0000           | 07/03/12               | 137.50          | 134.39                | 3.11                                             |                        |
|                                                      | 4.0000           | 11/27/12               | 137.51          | 133.62                | 3.89                                             |                        |
|                                                      | 15.0000          | Various                | 515.62          | 495.07                | 20.55                                            | SALE                   |
|                                                      |                  |                        |                 |                       |                                                  | TOTAL 4 LOTS           |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

## Your Financial Advisor:

LARRY GIAMBALVO JR/ANTHONY P.  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGEHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
| AFLAC INC / 001055102                                | 6.0000           | 11/27/12                  | 386.68          | 309.60                   | 77.08                  |                        |
|                                                      | 2.0000           | 01/03/13                  | 128.89          | 105.36                   | 23.53                  |                        |
|                                                      | 2.0000           | 01/17/13                  | 128.90          | 103.02                   | 25.88                  |                        |
|                                                      | 1.0000           | 02/12/13                  | 64.45           | 49.68                    | 14.77                  |                        |
|                                                      | 1.0000           | 04/01/13                  | 64.45           | 51.86                    | 12.59                  |                        |
|                                                      | 1.0000           | 06/04/13                  | 64.45           | 55.70                    | 8.75                   |                        |
|                                                      | 1.0000           | 09/04/13                  | 64.45           | 58.92                    | 5.53                   |                        |
| 10/14/13                                             | 14.0000          | Various                   | 902.27          | 734.14                   | 168.13                 | SALE                   |
|                                                      |                  |                           |                 |                          |                        | TOTAL 7 LOTS           |
| AGNICO EAGLE MINES / 008474108<br>LIMITED            | 17.0000          | 11/27/12                  | 667.35          | 958.22                   | -290.87                |                        |
|                                                      | 3.0000           | 01/03/13                  | 117.77          | 155.88                   | -38.11                 |                        |
|                                                      | 2.0000           | 01/17/13                  | 78.51           | 101.52                   | -23.01                 |                        |
|                                                      | 3.0000           | 02/12/13                  | 117.78          | 132.99                   | -15.21                 |                        |
| 03/04/13                                             | 25.0000          | Various                   | 981.41          | 1,348.61                 | -367.20                | SALE                   |
|                                                      |                  |                           |                 |                          |                        | TOTAL 4 LOTS           |
| ALLSTATE CORP / 020002101                            | 14.0000          | 09/11/12                  | 582.59          | 538.51                   | 44.08                  |                        |
|                                                      | 3.0000           | 11/27/12                  | 124.85          | 121.14                   | 3.71                   |                        |
| 01/03/13                                             | 17.0000          | Various                   | 707.44          | 659.65                   | 47.79                  | SALE                   |
|                                                      |                  |                           |                 |                          |                        | TOTAL 2 LOTS           |
| AMERICAN INTL GROUP INC / 026874784<br>02/12/13      | 1.0000           | 07/03/12                  | 39.68           | 31.80                    | 7.88                   | SALE                   |
| AT & T INC / 00206R102<br>02/12/13                   | 2.0000           | 06/08/12                  | 70.84           | 68.90                    | 1.94                   | SALE                   |
| BED BATH & BEYOND INC / 075896100                    | 2.0000           | 06/08/12                  | 113.61          | 141.82                   | -28.21                 |                        |

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

**Your Financial Advisor:**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROEHLICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

### Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 01/03/13                                             | 5.0000           | 06/18/12               | 284.04          | 366.55                | -82.51                                           | TOTAL 3 LOTS           |
|                                                      | 6.0000           | 07/03/12               | 340.86          | 369.96                | -29.10                                           |                        |
|                                                      | 13.0000          | Various                | 738.51          | 878.33                | -139.82                                          |                        |
| CENTURYLINK INC / 156700106                          |                  |                        |                 |                       |                                                  |                        |
| 02/12/13                                             | 12.0000          | 11/27/12               | 498.59          | 463.38                | 35.21                                            | SALE                   |
| CHIPOTLE MEXICAN GRILL / 169656105                   |                  |                        |                 |                       |                                                  |                        |
| 07/09/13                                             | 1.0000           | 06/04/13               | 388.12          | 356.58                | 31.54                                            | SALE                   |
| 10/14/13                                             | 3.0000           | 06/04/13               | 1,329.34        | 1,069.73              | 259.61                                           | SALE                   |
| COMCAST CORP NEW CL A / 20030N101                    |                  |                        |                 |                       |                                                  |                        |
| 03/04/13                                             | 2.0000           | 06/08/12               | 80.00           | 59.89                 | 20.11                                            | SALE                   |
| COOPER TIRE & RUBBER CO / 216831107                  |                  |                        |                 |                       |                                                  |                        |
|                                                      | 30.0000          | 11/13/12               | 739.90          | 715.20                | 24.70                                            | TOTAL 5 LOTS           |
|                                                      | 8.0000           | 11/27/12               | 197.31          | 196.90                | 0.41                                             |                        |
|                                                      | 2.0000           | 01/03/13               | 49.32           | 51.94                 | -2.62                                            |                        |
|                                                      | 1.0000           | 01/17/13               | 24.66           | 26.65                 | -1.99                                            |                        |
|                                                      | 2.0000           | 02/12/13               | 49.34           | 51.14                 | -1.80                                            |                        |
| 03/04/13                                             | 43.0000          | Various                | 1,060.53        | 1,041.83              | 18.70                                            | SALE                   |
| CVS CAREMARK CORP / 126650100                        |                  |                        |                 |                       |                                                  |                        |
| 03/04/13                                             | 1.0000           | 07/30/12               | 51.37           | 45.21                 | 6.16                                             | SALE                   |
| 04/30/13                                             | 2.0000           | 07/30/12               | 115.94          | 90.41                 | 25.53                                            | SALE                   |
| 07/09/13                                             | 1.0000           | 07/30/12               | 60.21           | 45.21                 | 15.00                                            | SALE                   |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Your Financial Advisor :

LARRY GIAMBALVO JR/ANTHONY P  
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88 FROELICH FARM BOULEVARD  
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LYNN B ENGELHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 8076-2620

Your Federal Identification Number: 31-1623458

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| DIRECTV / 25490A309                                  | 1.0000           | 07/09/13               | 60.95           | 63.33                 | -2.38                                            | SALE                   |
| DOLLAR GENERAL CORP / 256677105                      |                  |                        |                 |                       |                                                  |                        |
| 04/01/13                                             | 1.0000           | 02/12/13               | 50.61           | 45.35                 | 5.26                                             | SALE                   |
| 07/09/13                                             | 11.0000          | 02/12/13               | 571.77          | 498.79                | 72.98                                            | SALE                   |
| DTE ENERGY CO / 233331107                            |                  |                        |                 |                       |                                                  |                        |
| 03/04/13                                             | 1.0000           | 07/03/12               | 66.91           | 59.48                 | 7.43                                             | SALE                   |
|                                                      | 2.0000           | 11/27/12               | 139.29          | 118.95                | 20.34                                            |                        |
|                                                      | 1.0000           | 01/17/13               | 69.65           | 61.42                 | 8.23                                             |                        |
|                                                      | 1.0000           | 06/04/13               | 69.65           | 66.23                 | 3.42                                             |                        |
|                                                      | 1.0000           | 10/14/13               | 69.65           | 66.13                 | 3.52                                             |                        |
|                                                      | 5.0000           | Various                | 348.24          | 312.73                | 35.51                                            | SALE                   |
|                                                      |                  |                        |                 |                       |                                                  | TOTAL 4 LOTS           |
| EDISON INTL / 281020107                              |                  |                        |                 |                       |                                                  |                        |
| 04/01/13                                             | 1.0000           | 06/08/12               | 50.33           | 45.57                 | 4.76                                             | SALE                   |
| 04/30/13                                             | 1.0000           | 06/18/12               | 53.95           | 46.28                 | 7.67                                             | SALE                   |
| ELI LILLY & CO / 532457108                           |                  |                        |                 |                       |                                                  |                        |
| 01/17/13                                             | 1.0000           | 06/18/12               | 53.44           | 41.95                 | 11.49                                            | SALE                   |
| 03/04/13                                             | 1.0000           | 06/18/12               | 54.57           | 41.95                 | 12.62                                            | SALE                   |
|                                                      | 1.0000           | 11/13/12               | 50.21           | 47.36                 | 2.85                                             |                        |
|                                                      | 4.0000           | 11/27/12               | 200.85          | 192.68                | 8.17                                             |                        |
|                                                      | 2.0000           | 01/03/13               | 100.42          | 99.38                 | 1.04                                             |                        |
|                                                      | 1.0000           | 02/12/13               | 50.21           | 53.80                 | -3.59                                            |                        |
|                                                      | 3.0000           | 06/04/13               | 150.64          | 157.29                | -6.65                                            |                        |
|                                                      | 1.0000           | 07/09/13               | 50.21           | 51.41                 | -1.20                                            |                        |

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2013 ENHANCED 1099

As of Date: 2/07/14

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PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
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**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold                   | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------------------------|---------------------------|-----------------|--------------------------|--------------------------------------------------|------------------------|
|                                                      |                                    |                           |                 |                          | Gain or Loss<br>Amount                           | Additional Information |
| 11/07/13                                             | 3.0000                             | 10/14/13                  | 150.66          | 146.34                   | 4.32                                             |                        |
|                                                      | 15.0000                            | Various                   | 753.20          | 748.26                   | 4.94                                             | SALE                   |
|                                                      |                                    |                           |                 |                          | TOTAL 7 LOTS                                     |                        |
| ENERGIZER HOLDINGS INC / 29266R108                   |                                    |                           |                 |                          |                                                  |                        |
| 07/09/13                                             | 1.0000                             | 04/30/13                  | 104.96          | 96.31                    | 8.65                                             | SALE                   |
| 12/03/13                                             | 1.0000                             | 04/30/13                  | 110.24          | 96.30                    | 13.94                                            | SALE                   |
| ENERSYS INC / 29275Y102                              |                                    |                           |                 |                          |                                                  |                        |
| 01/03/13                                             | 1.0000                             | 11/13/12                  | 38.37           | 33.00                    | 5.37                                             | SALE                   |
|                                                      | 10.0000                            | 11/13/12                  | 451.91          | 329.95                   | 121.96                                           |                        |
|                                                      | 3.0000                             | 11/27/12                  | 135.57          | 102.45                   | 33.12                                            |                        |
|                                                      | 1.0000                             | 02/12/13                  | 45.20           | 40.74                    | 4.46                                             |                        |
| 04/01/13                                             | 14.0000                            | Various                   | 632.68          | 473.14                   | 159.54                                           | SALE                   |
|                                                      |                                    |                           |                 |                          | TOTAL 3 LOTS                                     |                        |
| FEDEX CORPORATION / 31428X106                        |                                    |                           |                 |                          |                                                  |                        |
| 09/04/13                                             | 1.0000                             | 07/09/13                  | 107.54          | 105.77                   | 1.77                                             | SALE                   |
| 11/07/13                                             | 1.0000                             | 07/09/13                  | 130.92          | 105.77                   | 25.15                                            | SALE                   |
| FIFTH THIRD BANCORP / 316773100                      |                                    |                           |                 |                          |                                                  |                        |
|                                                      | 38.0000                            | 11/13/12                  | 728.36          | 534.10                   | 194.26                                           |                        |
|                                                      | 10.0000                            | 11/27/12                  | 191.68          | 146.95                   | 44.73                                            |                        |
|                                                      | 2.0000                             | 01/03/13                  | 38.34           | 31.26                    | 7.08                                             |                        |
| 11/07/13                                             | 50.0000                            | Various                   | 958.38          | 712.31                   | 246.07                                           | SALE                   |
|                                                      |                                    |                           |                 |                          | TOTAL 3 LOTS                                     |                        |
| FLUOR CORP (NEW) / 343412102                         |                                    |                           |                 |                          |                                                  |                        |
| 08/01/13                                             | 1.0000                             | 04/01/13                  | 63.86           | 65.39                    | 0.00                                             | SALE                   |
|                                                      | Wash Sale Loss Disallowed (Box 5): |                           | -1.53           |                          |                                                  |                        |
| 09/04/13                                             | 1.0000                             | 04/01/13                  | 64.11           | 65.39                    | -1.28                                            | SALE                   |

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# 2013 ENHANCED 1099

Page 10 of 34

As of Date: 2/07/14

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 88 FROELICH FARM BOULEVARD  
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 Payer ID #: 23-2384840

Account Number: 8076-2620  
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## Reportable Tax Information

**SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED** *continued*  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013** **OMB NO. 1545-0715**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 10/14/13                                             | 2.0000           | 04/01/13               | 147.32          | 130.77                | 16.55                                            | SALE                   |
| GENERAL ELECTRIC COMPANY / 369604103                 |                  |                        |                 |                       |                                                  |                        |
| 11/07/13                                             | 2.0000           | 06/04/13               | 53.53           | 46.96                 | 6.57                                             | SALE                   |
| HANESBRANDS INC / 410345102                          |                  |                        |                 |                       |                                                  |                        |
| 04/30/13                                             | 3.0000           | 03/04/13               | 149.32          | 118.93                | 30.39                                            | SALE                   |
| 08/01/13                                             | 2.0000           | 03/04/13               | 127.58          | 79.29                 | 48.29                                            | SALE                   |
| 11/07/13                                             | 1.0000           | 03/04/13               | 66.39           | 39.65                 | 26.74                                            | SALE                   |
| HCA HOLDINGS INC / 40412C101                         |                  |                        |                 |                       |                                                  |                        |
| 01/17/13                                             | 2.0000           | 11/27/12               | 73.20           | 61.56                 | 11.64                                            | SALE                   |
| 10/14/13                                             | 3.0000           | 11/27/12               | 138.81          | 92.34                 | 46.47                                            | SALE                   |
| HELMERICH & PAYNE INC / 423452101                    |                  |                        |                 |                       |                                                  |                        |
| 10/14/13                                             | 2.0000           | 06/04/13               | 149.98          | 125.38                | 24.60                                            | SALE                   |
| HOME DEPOT INC / 437076102                           |                  |                        |                 |                       |                                                  |                        |
| 03/04/13                                             | 1.0000           | 06/18/12               | 69.44           | 52.35                 | 17.09                                            | SALE                   |
| 04/30/13                                             | 1.0000           | 06/18/12               | 73.67           | 52.35                 | 21.32                                            | SALE                   |
| IMPERIAL OIL LTD NEW / 453038408                     |                  |                        |                 |                       |                                                  |                        |
|                                                      | 7.0000           | 07/03/12               | 272.11          | 302.17                | -30.06                                           |                        |
|                                                      | 6.0000           | 11/27/12               | 233.24          | 259.22                | -25.98                                           |                        |
|                                                      | 2.0000           | 01/03/13               | 77.75           | 85.58                 | -7.83                                            |                        |
|                                                      | 1.0000           | 01/17/13               | 38.87           | 44.12                 | -5.25                                            |                        |
|                                                      | 1.0000           | 02/12/13               | 38.87           | 42.32                 | -3.45                                            |                        |
|                                                      | 2.0000           | 04/01/13               | 77.76           | 81.82                 | -4.06                                            |                        |
| 06/04/13                                             | 19.0000          | Various                | 738.60          | 815.23                | -76.63                                           | SALE                   |
|                                                      |                  |                        |                 |                       |                                                  | TOTAL 6 LOTS           |

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## 2013 ENHANCED 1099

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As of Date: 2/07/14

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SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange                   | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                                        |                  |                        |                 |                       | Amount                                           | Additional Information |
| INTEL CORP / 458140100                                                 | 4.0000           | 05/24/12               | 95.53           | 105.79                | -10.26                                           | Original Basis: 104.92 |
|                                                                        | 5.0000           | 05/24/12               | 119.42          | 140.98                | -21.56                                           | Original Basis: 117.35 |
|                                                                        | 9.0000           | 05/24/12               | 214.95          | 246.77                | -31.82                                           | SALE                   |
|                                                                        |                  |                        |                 |                       | TOTAL 2 LOTS                                     |                        |
| INTERNATIONAL BUSINESS / 459200101<br>MACHINE CORP<br>03/04/13         | 1.0000           | 05/24/12               | 203.49          | 199.63                | 3.86                                             | SALE                   |
|                                                                        | 1.0000           | 06/18/12               | 201.13          | 198.72                | 2.41                                             |                        |
|                                                                        | 1.0000           | 07/03/12               | 201.14          | 195.84                | 5.30                                             |                        |
|                                                                        | 2.0000           | Various                | 402.27          | 394.56                | 7.71                                             | SALE                   |
|                                                                        |                  |                        |                 |                       | TOTAL 2 LOTS                                     |                        |
| JOY GLOBAL INC / 481165108<br>10/14/13<br>11/07/13                     | 1.0000           | 04/01/13               | 51.85           | 57.57                 | -5.72                                            | SALE                   |
|                                                                        | 1.0000           | 04/01/13               | 58.19           | 57.57                 | 0.62                                             | SALE                   |
|                                                                        | 1.0000           | 08/16/12               | 89.97           | 83.46                 | 6.51                                             | SALE                   |
| KIMBERLY-CLARK CORP / 494368103<br>02/12/13<br>03/04/13                | 1.0000           | 08/16/12               | 94.45           | 83.46                 | 10.99                                            | SALE                   |
|                                                                        | 7.0000           | 08/16/12               | 722.26          | 584.22                | 138.04                                           |                        |
|                                                                        | 2.0000           | 11/27/12               | 206.36          | 172.14                | 34.22                                            |                        |
|                                                                        | 1.0000           | 01/03/13               | 103.18          | 86.26                 | 16.92                                            |                        |
|                                                                        | 1.0000           | 01/17/13               | 103.19          | 86.49                 | 16.70                                            |                        |
|                                                                        |                  |                        |                 |                       | 205.88                                           | SALE                   |
|                                                                        |                  |                        |                 |                       | TOTAL 4 LOTS                                     |                        |
| LYONDELLBASELL / N53745100<br>INDUSTRIES AF SCA<br>CLASS A<br>01/03/13 | 1.0000           | 06/08/12               | 57.64           | 36.44                 | 21.20                                            | SALE                   |
|                                                                        | 1.0000           | 06/08/12               | 57.64           | 36.44                 | 21.20                                            | SALE                   |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

**Your Financial Advisor :**  
 LARRY GIAMBALVO JR/ANTHONY P  
 PALUMBO  
 88 FROELICH FARM BOULEVARD  
 SUITE 110  
 WOODBURY NY 11797  
 (516) 677-5500

**Payer:**  
 FIRST CLEARING, LLC  
 2801 MARKET STREET  
 SAINT LOUIS, MO 63103  
 Payer ID #: 23-2384840

BARTELS FAMILY  
 FOUNDATION TRUST  
 LYNN B ENGELHOLM TTEE ET AL  
 U/A DTD 02/18/1999  
 5226 CHARNWOOD FOREST CIRCLE  
 AIKEN SC 29803-0514

Account Number: 8076-2620  
 Your Federal Identification Number: 31-1623458

## Reportable Tax Information

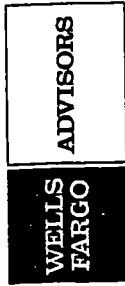
**SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED** *continued*  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013** **OMB NO. 1545-0715**

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis |                        | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|------------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 | Amount                | Additional Information | Amount                                           | Additional Information |
| 11/07/13                                             | 3.0000           | 11/27/12               | 225.58          | 142.53                | 83.05                  | SALE                                             |                        |
| MACY'S INC / 55616P104                               |                  |                        |                 |                       |                        |                                                  |                        |
| 11/07/13                                             | 2.0000           | 10/14/13               | 92.20           | 85.04                 | 7.16                   | SALE                                             |                        |
| 12/03/13                                             | 3.0000           | 10/14/13               | 157.63          | 127.55                | 30.08                  | SALE                                             |                        |
| MARIOTT INTL INC NEW / 571903202                     |                  |                        |                 |                       |                        |                                                  |                        |
| 02/12/13                                             | 1.0000           | 05/08/12               | 41.30           | 39.35                 | 1.95                   | SALE                                             |                        |
|                                                      | 1.0000           | 05/08/12               | 39.01           | 39.34                 | -0.33                  | Original Basis: 37.40                            |                        |
|                                                      | 6.0000           | 05/08/12               | 234.11          | 241.49                | -7.38                  | Original Basis: 229.80                           |                        |
|                                                      | 5.0000           | 07/03/12               | 195.10          | 195.43                | -0.33                  |                                                  |                        |
|                                                      | 2.0000           | 07/30/12               | 78.04           | 72.80                 | 5.24                   |                                                  |                        |
|                                                      | 5.0000           | 11/27/12               | 195.10          | 173.93                | 21.17                  |                                                  |                        |
|                                                      | 1.0000           | 01/17/13               | 39.02           | 39.42                 | -0.40                  |                                                  |                        |
| 03/04/13                                             | 20.0000          | Various                | 780.38          | 762.41                | 17.97                  | SALE                                             | TOTAL 6 LOTS           |
| METLIFE INC / 59156R108                              |                  |                        |                 |                       |                        |                                                  |                        |
| 06/04/13                                             | 2.0000           | 01/03/13               | 88.28           | 70.50                 | 17.78                  | SALE                                             |                        |
| 08/01/13                                             | 1.0000           | 01/03/13               | 50.34           | 35.25                 | 15.09                  | SALE                                             |                        |
| MICROSOFT CORP / 594918104                           |                  |                        |                 |                       |                        |                                                  |                        |
|                                                      | 4.0000           | 05/24/12               | 131.49          | 118.33                | 13.16                  | Original Basis: 117.29                           |                        |
|                                                      | 2.0000           | 06/08/12               | 65.74           | 58.66                 | 7.08                   |                                                  |                        |
|                                                      | 1.0000           | 06/18/12               | 32.88           | 29.80                 | 3.08                   |                                                  |                        |
| 04/30/13                                             | 7.0000           | Various                | 230.11          | 206.79                | 23.32                  | SALE                                             | TOTAL 3 LOTS           |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

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88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGEHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

continued

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED

OMB NO. 1545-0715

1099-B Proceeds from Broker and Barter Exchange Transactions for 2013

Supplemental Information not reported to the IRS

| 8-Description / CUSIP               | 1e-Quantity Sold                   | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|-------------------------------------|------------------------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| 1a-Date of Sale or Exchange         |                                    |                        |                 |                       |                     |                        |
| NORTHROP GRUMMAN CORP / 666807102   |                                    |                        |                 |                       |                     |                        |
| NEW                                 |                                    |                        |                 |                       |                     |                        |
| 04/01/13                            | 1.0000                             | 04/16/12               | 70.15           | 64.62                 | 5.53                | SALE                   |
|                                     |                                    |                        |                 |                       |                     |                        |
| NU SKIN ENTERPRISES INC / 67018T105 | 2.0000                             | 04/16/12               | 91.74           | 116.26                | 0.00                | SALE                   |
| 01/17/13                            | Wash Sale Loss Disallowed (Box 5): |                        |                 |                       |                     |                        |
|                                     | 4.0000                             | 11/27/12               | 387.47          | 177.56                | 209.91              |                        |
|                                     | 3.0000                             | 01/03/13               | 290.61          | 119.67                | 170.94              |                        |
|                                     | 2.0000                             | 02/12/13               | 193.74          | 84.92                 | 108.82              |                        |
| 10/14/13                            | 9.0000                             | Various                | 871.82          | 382.15                | 489.67              | SALE                   |
|                                     |                                    |                        |                 |                       | TOTAL 3 LOTS        |                        |
|                                     |                                    |                        |                 |                       |                     |                        |
| ORACLE CORPORATION / 68389X105      | 6.0000                             | 11/27/12               | 199.62          | 189.21                | 10.41               |                        |
|                                     | 1.0000                             | 01/17/13               | 33.27           | 34.71                 | -1.44               |                        |
|                                     | 1.0000                             | 02/12/13               | 33.27           | 35.00                 | -1.73               |                        |
|                                     | 3.0000                             | 04/01/13               | 99.81           | 96.96                 | 2.85                |                        |
|                                     | 4.0000                             | 07/09/13               | 133.08          | 125.36                | 7.72                |                        |
| 10/14/13                            | 15.0000                            | Various                | 499.05          | 481.24                | 17.81               | SALE                   |
|                                     |                                    |                        |                 |                       | TOTAL 5 LOTS        |                        |
|                                     |                                    |                        |                 |                       |                     |                        |
| PHILLIPS 66 / 718546104             | 1.0000                             | 10/10/12               | 64.34           | 44.92                 | 19.42               | SALE                   |
| 02/12/13                            |                                    |                        |                 |                       |                     |                        |
| 03/04/13                            | 1.0000                             | 10/10/12               | 63.82           | 44.92                 | 18.90               | SALE                   |
|                                     |                                    |                        |                 |                       |                     |                        |
| PROLOGIS INC / 74340W103            | 1.0000                             | 06/08/12               | 39.73           | 31.77                 | 7.96                |                        |
|                                     | 10.0000                            | 06/18/12               | 397.37          | 317.36                | 80.01               |                        |
|                                     | 8.0000                             | 07/03/12               | 317.90          | 265.25                | 52.65               |                        |
|                                     | 1.0000                             | 07/30/12               | 39.73           | 32.36                 | 7.37                |                        |
|                                     | 1.0000                             | 11/13/12               | 39.74           | 33.44                 | 6.30                |                        |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Your Financial Advisor:

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AIKEN SC 29803-0514

Account Number: 8076-2620  
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## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| 06/04/13                                             | 6.0000           | 11/27/12               | 238.44          | 203.28                | 35.16                                            |                        |
|                                                      | 27.0000          | Various                | 1,072.91        | 883.46                | 189.45                                           | SALE                   |
|                                                      |                  |                        |                 |                       | TOTAL 6 LOTS                                     |                        |
| PULTE GROUP INC / 745867101                          |                  |                        |                 |                       |                                                  |                        |
| 11/07/13                                             | 6.0000           | 03/04/13               | 105.80          | 115.95                | -10.15                                           | SALE                   |
| ROBERT HALF INTL INC / 770323103                     |                  |                        |                 |                       |                                                  |                        |
| 01/03/13                                             | 1.0000           | 01/11/12               | 32.88           | 30.16                 | 2.72                                             | SALE                   |
|                                                      | 8.0000           | 07/03/12               | 269.94          | 228.57                | 41.37                                            |                        |
|                                                      | 2.0000           | 07/30/12               | 67.48           | 54.38                 | 13.10                                            |                        |
|                                                      | 3.0000           | 11/27/12               | 101.23          | 83.42                 | 17.81                                            |                        |
|                                                      | 2.0000           | 04/30/13               | 67.50           | 65.82                 | 1.68                                             |                        |
|                                                      | 15.0000          | Various                | 506.15          | 432.19                | 73.96                                            | SALE                   |
|                                                      |                  |                        |                 |                       | TOTAL 4 LOTS                                     |                        |
| ROYAL BANK CANADA / 780087102                        |                  |                        |                 |                       |                                                  |                        |
| MONTREAL QUE                                         |                  |                        |                 |                       |                                                  |                        |
| 06/04/13                                             | 2.0000           | 11/27/12               | 131.60          | 116.98                | 14.62                                            |                        |
|                                                      | 1.0000           | 01/17/13               | 65.80           | 62.39                 | 3.41                                             |                        |
|                                                      | 1.0000           | 06/04/13               | 65.80           | 59.01                 | 6.79                                             |                        |
|                                                      | 1.0000           | 07/09/13               | 65.80           | 58.36                 | 7.44                                             |                        |
|                                                      | 5.0000           | Various                | 329.00          | 296.74                | 32.26                                            | SALE                   |
|                                                      |                  |                        |                 |                       | TOTAL 4 LOTS                                     |                        |
| SANDISK CORPORATION / 80004C101                      |                  |                        |                 |                       |                                                  |                        |
| 11/07/13                                             | 1.0000           | 10/14/13               | 67.26           | 63.00                 | 4.26                                             | SALE                   |
| SEAGATE TECHNOLOGY PLC / G7945M107                   |                  |                        |                 |                       |                                                  |                        |
| 01/03/13                                             | 3.0000           | 04/16/12               | 94.80           | 89.85                 | 4.95                                             | SALE                   |
| 01/17/13                                             | 2.0000           | 04/16/12               | 69.84           | 59.89                 | 9.95                                             | SALE                   |
|                                                      | 1.0000           | 04/16/12               | 36.68           | 29.94                 | 6.74                                             | Original Basis: 23.61  |
|                                                      | 3.0000           | 04/16/12               | 110.06          | 94.73                 | 15.33                                            | Original Basis: 90.93  |

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# 2013 ENHANCED 1099

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|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 04/01/13                                             | 4.0000           | 04/16/12               | 146.74          | 124.67                | 22.07                                            | SALE TOTAL 2 LOTS      |
| STANLEY BLACK & DECKER / 854502101 INC               |                  |                        |                 |                       |                                                  |                        |
| 12/03/13                                             | 8.0000           | 11/07/13               | 638.01          | 648.26                | -10.25                                           | SALE                   |
| SUNTRUST BANKS INC / 867914103                       |                  |                        |                 |                       |                                                  |                        |
|                                                      | 2.0000           | 11/13/12               | 66.74           | 52.64                 | 14.10                                            |                        |
|                                                      | 3.0000           | 11/27/12               | 100.11          | 81.27                 | 18.84                                            |                        |
|                                                      | 1.0000           | 01/03/13               | 33.37           | 28.60                 | 4.77                                             |                        |
|                                                      | 1.0000           | 02/12/13               | 33.37           | 29.47                 | 3.90                                             |                        |
| 10/14/13                                             | 7.0000           | Various                | 233.59          | 191.98                | 41.61                                            | SALE TOTAL 4 LOTS      |
| TARGET CORP / 87612E106                              |                  |                        |                 |                       |                                                  |                        |
|                                                      | 15.0000          | 11/27/12               | 941.13          | 945.83                | -4.70                                            |                        |
|                                                      | 2.0000           | 01/03/13               | 125.48          | 121.04                | 4.44                                             |                        |
|                                                      | 1.0000           | 01/17/13               | 62.75           | 61.46                 | 1.29                                             |                        |
| 02/12/13                                             | 18.0000          | Various                | 1,129.36        | 1,128.33              | 1.03                                             | SALE TOTAL 3 LOTS      |
| TAUBMAN CENTERS INC / 876664103 REIT                 |                  |                        |                 |                       |                                                  |                        |
|                                                      | 1.0000           | 05/08/12               | 81.96           | 79.48                 | 2.48                                             | Original Basis: 74.09  |
|                                                      | 4.0000           | 05/08/12               | 327.84          | 317.57                | 10.27                                            | Original Basis: 295.52 |
|                                                      | 1.0000           | 05/22/12               | 81.96           | 79.07                 | 2.89                                             | Original Basis: 76.55  |
|                                                      | 3.0000           | 07/03/12               | 245.88          | 232.45                | 13.43                                            | Original Basis: 233.25 |
|                                                      | 1.0000           | 08/16/12               | 81.96           | 78.79                 | 3.17                                             | Original Basis: 79.05  |
|                                                      | 2.0000           | 11/27/12               | 163.93          | 152.83                | 11.10                                            | Original Basis: 153.10 |
| 02/12/13                                             | 13.0000          | Various                | 1,065.50        | 1,020.39              | 45.11                                            | SALE TOTAL 7 LOTS      |

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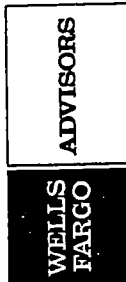
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|------------------------------------------------------|------------------------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                                    |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| TELECOM ARGENTINA SA-SP / 879273209<br>ADR           |                                    |                        |                 |                       |                                                  |                        |
| 04/01/13                                             | 37.0000                            | 02/12/13               | 536.86          | 550.04                | -13.18                                           | SALE                   |
| TEVA PHARMACEUTICAL / 881624209<br>ADR INDS LTD      |                                    |                        |                 |                       |                                                  |                        |
| 12/03/13                                             | 3.0000                             | 11/07/13               | 121.20          | 111.63                | 9.57                                             | SALE                   |
| TIMKEN COMPANY / 887389104                           |                                    |                        |                 |                       |                                                  |                        |
| 01/03/13                                             | 1.0000                             | 04/16/12               | 48.97           | 51.32                 | 0.00                                             | SALE                   |
|                                                      | Wash Sale Loss Disallowed (Box 5): |                        | -2.35           |                       |                                                  |                        |
| 02/12/13                                             | 2.0000                             | 04/16/12               | 112.17          | 102.63                | 9.54                                             | SALE                   |
|                                                      | 9.0000                             | 07/03/12               | 501.22          | 408.29                | 92.93                                            |                        |
|                                                      | 3.0000                             | 07/30/12               | 167.07          | 111.27                | 55.80                                            |                        |
|                                                      | 4.0000                             | 11/27/12               | 222.78          | 164.17                | 58.61                                            |                        |
|                                                      | 2.0000                             | 04/30/13               | 111.39          | 104.56                | 6.83                                             |                        |
| 06/04/13                                             | 18.0000                            | Various                | 1,002.46        | 788.29                | 214.17                                           | SALE                   |
|                                                      |                                    |                        |                 |                       |                                                  | TOTAL 4 LOTS           |
| TJX COS INC NEW / 872540109                          |                                    |                        |                 |                       |                                                  |                        |
| 04/30/13                                             | 2.0000                             | 01/03/13               | 97.52           | 89.23                 | 8.29                                             | SALE                   |
|                                                      | 22.0000                            | 01/03/13               | 1,105.48        | 981.53                | 123.95                                           |                        |
|                                                      | 2.0000                             | 01/17/13               | 100.50          | 89.46                 | 11.04                                            |                        |
| 06/04/13                                             | 24.0000                            | Various                | 1,205.98        | 1,070.99              | 134.99                                           | SALE                   |
|                                                      |                                    |                        |                 |                       |                                                  | TOTAL 2 LOTS           |
| TRAVELERS COS INC/ THE / 89417E109                   |                                    |                        |                 |                       |                                                  |                        |
| 03/04/13                                             | 1.0000                             | 02/12/13               | 80.02           | 79.74                 | 0.28                                             | SALE                   |
| 04/30/13                                             | 1.0000                             | 02/12/13               | 85.47           | 79.74                 | 5.73                                             | SALE                   |

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# 2013 ENHANCED 1099

Page 17 of 34

As of Date: 2/07/14

**Your Financial Advisor :**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGEHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

continued

### SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED 1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| TYCO INTERNATIONAL LTD / H89128104                   |                  |                        |                 |                       |                     |                        |
| SHS                                                  | 34.0000          | 03/04/13               | 1,202.06        | 1,075.59              | 126.47              |                        |
|                                                      | 2.0000           | 04/01/13               | 70.71           | 63.80                 | 6.91                |                        |
|                                                      | 36.0000          | Various                | 1,272.77        | 1,139.39              | 133.38              | SALE                   |
| 07/09/13                                             |                  |                        |                 |                       |                     | TOTAL 2 LOTS           |
| TYSON FOODS INC CL A / 902494103                     |                  |                        |                 |                       |                     |                        |
|                                                      | 4.0000           | 05/24/12               | 94.45           | 76.22                 | 18.23               | Original Basis: 75.54  |
|                                                      | 2.0000           | 06/07/12               | 47.23           | 42.59                 | 4.64                | Original Basis: 38.48  |
| 02/12/13                                             | 6.0000           | Various                | 141.68          | 118.81                | 22.87               | SALE                   |
|                                                      |                  |                        |                 |                       |                     | TOTAL 2 LOTS           |
| UNITEDHEALTH GROUP / 91324P102                       |                  |                        |                 |                       |                     |                        |
| INC                                                  | 1.0000           | 06/08/12               | 57.07           | 53.74                 | 3.33                | SALE                   |
| 02/12/13                                             | 2.0000           | 06/18/12               | 119.04          | 117.91                | 1.13                | SALE                   |
| US BANCORP NEW / 902973304                           |                  |                        |                 |                       |                     |                        |
|                                                      | 11.0000          | 08/16/12               | 366.33          | 365.37                | 0.96                |                        |
|                                                      | 4.0000           | 11/27/12               | 133.21          | 128.76                | 4.45                |                        |
|                                                      | 1.0000           | 02/12/13               | 33.31           | 34.36                 | -1.05               |                        |
| 04/30/13                                             | 16.0000          | Various                | 532.85          | 528.49                | 4.36                | SALE                   |
|                                                      |                  |                        |                 |                       |                     | TOTAL 3 LOTS           |
| WAL-MART STORES INC / 931142103                      |                  |                        |                 |                       |                     |                        |
| 11/07/13                                             | 1.0000           | 06/04/13               | 77.34           | 75.72                 | 1.62                | SALE                   |
| WESTERN DIGITAL CORP / 958102105                     |                  |                        |                 |                       |                     |                        |
| 01/03/13                                             | 2.0000           | 06/18/12               | 86.34           | 60.68                 | 25.66               | SALE                   |
| 02/12/13                                             | 1.0000           | 06/18/12               | 48.28           | 30.34                 | 17.94               | SALE                   |
| 04/01/13                                             | 1.0000           | 06/18/12               | 50.46           | 30.34                 | 20.12               | SALE                   |

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001 / PWON / PWL7

# 2013 ENHANCED 1099

Page 18 of 34

As of Date: 2/07/14

**Your Financial Advisor:**  
LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGELHOLM TTEE ET AL  
U/A DTD 02/18/1999  
5226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0514

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

SHORT TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX A CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| 04/30/13                                             | 2.0000           | 06/18/12               | 109.98          | 60.67                 | 49.31               | SALE                   |
| 06/04/13                                             | 1.0000           | 06/18/12               | 63.33           | 30.33                 | 33.00               | SALE                   |

TOTAL SHORT TERM GAINS OR LOSSES FOR BASIS REPORTED TO IRS 32,395.62 29,258.34 3,165.68

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss Amount | Additional Information |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|---------------------|------------------------|
| ABBOTT LABORATORIES / 002824100                      | 1.0000           | 06/08/12               | 37.92           | 29.50                 | 8.42                |                        |
|                                                      | 5.0000           | 06/18/12               | 189.61          | 150.38                | 39.23               |                        |
|                                                      | 4.0000           | 07/03/12               | 151.69          | 123.94                | 27.75               |                        |
| 11/07/13                                             | 10.0000          | Various                | 379.22          | 303.82                | 75.40               | SALE                   |
|                                                      |                  |                        |                 |                       |                     | TOTAL 3 LOTS           |

AETNA INC(NEW) / 00817Y108

|                              |        |          |        |        |       |                       |
|------------------------------|--------|----------|--------|--------|-------|-----------------------|
| AEINA INC.(NEW) / 0006171106 | 1.0000 | 07/21/11 | 50.52  | 45.45  | 5.07  | Original Basis: 42.68 |
|                              | 1.0000 | 12/05/11 | 50.52  | 42.24  | 8.28  | Original Basis: 41.26 |
| 02/12/13                     | 2.0000 | Various  | 101.04 | 87.69  | 13.35 | SALE                  |
| 04/30/13                     | 4.0000 | 12/05/11 | 231.75 | 168.89 | 62.86 | SALE                  |
| 07/09/13                     | 1.0000 | 05/24/12 | 63.72  | 41.39  | 22.33 | SALE                  |
| 09/04/13                     | 1.0000 | 07/03/12 | 64.36  | 38.39  | 25.97 | SALE                  |
| 12/03/13                     | 1.0000 | 07/03/12 | 67.67  | 38.39  | 29.28 | SALE                  |
|                              |        |          |        |        |       | TOTAL 2 LOTS          |

AFLAC INC / 001055102  
03/04/13

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

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LYNN B ENGELHOLM TTEE ET AL  
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AIKEN SC 29803-0514

**Payer:**  
FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED continued  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| 04/30/13                                             | 2.0000           | 07/21/11               | 108.84          | 97.74                 | 11.10                                            | SALE                   |
| 07/09/13                                             | 1.0000           | 07/21/11               | 58.65           | 48.87                 | 9.78                                             | SALE                   |
| 08/01/13                                             | 1.0000           | 07/21/11               | 62.79           | 48.87                 | 13.92                                            | SALE                   |
|                                                      | 1.0000           | 07/21/11               | 64.44           | 48.87                 | 15.57                                            | Original Basis: 40.50  |
|                                                      | 5.0000           | 05/24/12               | 322.23          | 208.32                | 113.91                                           | Original Basis 206.40  |
|                                                      | 8.0000           | 07/03/12               | 515.57          | 341.81                | 173.76                                           |                        |
| 10/14/13                                             | 14.0000          | Various                | 902.24          | 599.00                | 303.24                                           | SALE                   |
| TOTAL 3 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| AMERICAN INTL GROUP INC / 026874784                  |                  |                        |                 |                       |                                                  |                        |
| 09/04/13                                             | 2.0000           | 07/03/12               | 96.32           | 63.59                 | 32.73                                            | SALE                   |
| AMERISOURCEBERGEN CORP / 03073E105                   |                  |                        |                 |                       |                                                  |                        |
| 02/12/13                                             | 1.0000           | 07/21/11               | 46.71           | 40.26                 | 6.45                                             | SALE                   |
| 04/01/13                                             | 1.0000           | 12/05/11               | 51.41           | 38.22                 | 13.19                                            | SALE                   |
| 07/09/13                                             | 1.0000           | 12/05/11               | 56.14           | 38.22                 | 17.92                                            | SALE                   |
| 10/14/13                                             | 1.0000           | 12/05/11               | 62.95           | 38.21                 | 24.74                                            | SALE                   |
| APPLE INC / 037833100                                |                  |                        |                 |                       |                                                  |                        |
| 08/01/13                                             | 1.0000           | 07/03/12               | 455.76          | 595.96                | 0.00                                             | SALE                   |
| Wash Sale Loss Disallowed (Box 5):                   |                  |                        |                 |                       |                                                  |                        |
|                                                      |                  |                        | -140.20         |                       |                                                  |                        |
| COMCAST CORP NEW CL A / 20030N101                    |                  |                        |                 |                       |                                                  |                        |
| 08/01/13                                             | 2.0000           | 06/18/12               | 92.22           | 62.36                 | 29.86                                            | SALE                   |
| CVS CAREMARK CORP / 126650100                        |                  |                        |                 |                       |                                                  |                        |
| 12/03/13                                             | 1.0000           | 07/30/12               | 66.53           | 45.21                 | 21.32                                            | SALE                   |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

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PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

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SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

continued

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold                               | 1b-Date of<br>Acquisition                               | 2a-Sales Price*                             | 3-Cost or Other<br>Basis                   | Gain or Loss<br>Amount                    | Additional Information                                                           |
|------------------------------------------------------|------------------------------------------------|---------------------------------------------------------|---------------------------------------------|--------------------------------------------|-------------------------------------------|----------------------------------------------------------------------------------|
| DTE ENERGY CO / 2333331107<br>11/07/13               | 5.0000                                         | 07/03/12                                                | 348.24                                      | 297.35                                     | 50.89                                     | SALE                                                                             |
| E M C CORP MASS / 268648102<br>08/01/13              | 3.0000                                         | 05/08/12                                                | 79.17                                       | 86.30                                      | -7.13                                     | SALE                                                                             |
| ELI LILLY & CO / 532457108<br>11/07/13               | 4.0000<br>7.0000<br>2.0000<br>13.0000          | 06/18/12<br>07/03/12<br>08/16/12<br>Various             | 200.85<br>351.49<br>100.42<br>652.76        | 167.80<br>300.24<br>85.52<br>553.56        | 33.05<br>51.25<br>14.90<br>99.20          | SALE                                                                             |
| EXXON MOBIL CORP / 30231G102<br>11/07/13             | 1.0000                                         | 04/16/12                                                | 92.23                                       | 85.23                                      | 7.00                                      | SALE                                                                             |
| GANNETT CO INC DEL / 364730101<br>01/17/13           | 2.0000<br>6.0000<br>1.0000<br>1.0000<br>8.0000 | 07/21/11<br>07/21/11<br>02/01/12<br>04/16/12<br>Various | 39.30<br>157.57<br>26.26<br>26.27<br>210.10 | 27.73<br>83.19<br>15.69<br>13.82<br>112.70 | 11.57<br>74.38<br>10.57<br>12.45<br>97.40 | SALE                                                                             |
| HOME DEPOT INC / 437076102<br>07/09/13               | 1.0000                                         | 06/18/12                                                | 79.94                                       | 52.35                                      | 27.59                                     | SALE                                                                             |
| IMPERIAL OIL LTD NEW / 453038408<br>06/04/13         | 1.0000<br>7.0000<br>1.0000<br>9.0000           | 04/16/12<br>04/16/12<br>04/16/12<br>04/16/12            | 38.87<br>272.11<br>38.87<br>349.85          | 46.28<br>334.73<br>45.78<br>426.79         | -7.41<br>-62.62<br>-6.91<br>-76.94        | Original Basis: 41.53<br>Original Basis: 301.47<br>Original Basis: 43.16<br>SALE |
| TOTAL 3 LOTS                                         |                                                |                                                         |                                             |                                            |                                           |                                                                                  |

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## 2013 ENHANCED 1099

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### Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| JPMORGAN CHASE & CO / 46625H100                      |                  |                        |                 |                       |                                                  |                        |
| 06/04/13                                             | 1.0000           | 02/23/12               | 54.13           | 38.68                 | 15.45                                            | SALE                   |
| 12/03/13                                             | 1.0000           | 02/23/12               | 56.64           | 38.67                 | 17.97                                            | SALE                   |
| LYONDELLBASELL / N53745100                           |                  |                        |                 |                       |                                                  |                        |
| INDUSTRIES AF SCA                                    |                  |                        |                 |                       |                                                  |                        |
| CLASS A                                              |                  |                        |                 |                       |                                                  |                        |
| 10/14/13                                             | 1.0000           | 06/18/12               | 75.64           | 39.34                 | 36.30                                            | SALE                   |
|                                                      | 3.0000           | 06/18/12               | 225.57          | 118.05                | 107.52                                           | Original Basis: 120.84 |
|                                                      | 4.0000           | 07/03/12               | 300.77          | 160.95                | 139.82                                           | Original Basis: 164.68 |
| 11/07/13                                             | 7.0000           | Various                | 526.34          | 279.00                | 247.34                                           | SALE                   |
| TOTAL 2 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| MICROSOFT CORP / 594918104                           |                  |                        |                 |                       |                                                  |                        |
| 10/14/13                                             | 4.0000           | 06/18/12               | 137.85          | 119.20                | 18.65                                            | SALE                   |
| 11/07/13                                             | 3.0000           | 06/18/12               | 112.54          | 89.40                 | 23.14                                            | SALE                   |
| NORTHROP GRUMMAN CORP / 666807102                    |                  |                        |                 |                       |                                                  |                        |
| NEW                                                  |                  |                        |                 |                       |                                                  |                        |
| 04/30/13                                             | 2.0000           | 04/16/12               | 151.20          | 129.22                | 21.98                                            | SALE                   |
| 08/01/13                                             | 1.0000           | 07/03/12               | 92.95           | 63.71                 | 29.24                                            | SALE                   |
| 09/04/13                                             | 1.0000           | 07/03/12               | 94.02           | 63.71                 | 30.31                                            | SALE                   |
| 11/07/13                                             | 1.0000           | 07/03/12               | 109.28          | 63.71                 | 45.57                                            | SALE                   |
| NU SKIN ENTERPRISES INC / 67018T105                  |                  |                        |                 |                       |                                                  |                        |
| 04/30/13                                             | 4.0000           | 04/16/12               | 204.25          | 232.52                | -28.27                                           | SALE                   |
| 06/04/13                                             | 1.0000           | 04/16/12               | 57.52           | 58.12                 | -0.60                                            | SALE                   |
|                                                      | 1.0000           | 04/16/12               | 66.22           | 54.81                 | 11.41                                            | Original Basis: 47.88  |

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# 2013 ENHANCED 1099

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## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Gain or Loss Amount                              | Additional Information |
| 07/09/13                                             | 2.0000           | 04/16/12               | 132.44          | 120.12                | 12.32                                            | Original Basis: 89.60  |
|                                                      | 3.0000           | 04/16/12               | 198.66          | 174.93                | 23.73                                            | SALE                   |
| 08/01/13                                             | 2.0000           | 04/16/12               | 178.05          | 120.12                | 57.93                                            | Original Basis: 89.60  |
|                                                      | 2.0000           | 04/16/12               | 178.05          | 104.29                | 73.76                                            | Original Basis: 79.77  |
| 10/14/13                                             | 4.0000           | 04/16/12               | 356.10          | 224.41                | 131.69                                           | SALE                   |
|                                                      | 1.0000           | 04/30/12               | 96.86           | 56.03                 | 40.83                                            | Original Basis: 44.39  |
|                                                      | 4.0000           | 07/03/12               | 387.47          | 191.55                | 195.92                                           |                        |
|                                                      | 5.0000           | Various                | 484.33          | 247.58                | 236.75                                           | SALE                   |
| ORACLE CORPORATION / 68389X105                       |                  |                        |                 |                       |                                                  |                        |
| 10/14/13                                             | 5.0000           | 07/21/11               | 166.34          | 167.74                | -1.40                                            | Original Basis: 134.87 |
|                                                      | 2.0000           | 08/15/11               | 66.53           | 56.99                 | 9.54                                             | Original Basis: 53.95  |
|                                                      | 2.0000           | 12/05/11               | 66.53           | 65.99                 | 0.54                                             | Original Basis: 53.96  |
|                                                      | 3.0000           | 12/05/11               | 99.80           | 98.94                 | 0.86                                             | Original Basis: 80.89  |
| 10/14/13                                             | 5.0000           | 01/11/12               | 166.34          | 139.11                | 27.23                                            | Original Basis: 134.82 |
|                                                      | 7.0000           | 07/03/12               | 232.88          | 208.32                | 24.56                                            |                        |
| 10/14/13                                             | 24.0000          | Various                | 798.42          | 737.09                | 61.33                                            | SALE                   |
| TOTAL 2 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| PHILLIPS 66 / 718546104                              |                  |                        |                 |                       |                                                  |                        |
| 11/07/13                                             | 1.0000           | 10/10/12               | 63.83           | 44.92                 | 18.91                                            | SALE                   |
| PROLOGIS INC / 74340W103                             |                  |                        |                 |                       |                                                  |                        |
| 06/04/13                                             | 1.0000           | 05/24/12               | 39.73           | 32.42                 | 7.31                                             | SALE                   |
| RAYTHEON COMPANY / 755111507                         |                  |                        |                 |                       |                                                  |                        |
| 04/30/13                                             | 1.0000           | 04/16/12               | 61.61           | 53.99                 | 7.62                                             | SALE                   |
| 07/09/13                                             | 1.0000           | 04/16/12               | 67.72           | 57.31                 | 10.41                                            | SALE                   |
| 09/04/13                                             | 1.0000           | 04/16/12               | 76.28           | 57.30                 | 18.98                                            | SALE                   |
| TOTAL 6 LOTS                                         |                  |                        |                 |                       |                                                  |                        |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

## Your Financial Advisor :

LARRY GIAMBALVO JR/ANTHONY P  
PALUMBO  
88 FROEHLICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

## Payer:

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED *continued*  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Supplemental Information not reported to the IRS |                        |
|------------------------------------------------------|------------------|------------------------|-----------------|-----------------------|--------------------------------------------------|------------------------|
|                                                      |                  |                        |                 |                       | Amount                                           | Additional Information |
| 11/07/13                                             | 1.0000           | 04/16/12               | 84.76           | 57.30                 | 27.46                                            | SALE                   |
| ROBERT HALF INTL INC / 770323103                     |                  |                        |                 |                       |                                                  |                        |
|                                                      | 5.0000           | 01/11/12               | 168.71          | 150.73                | 17.98                                            | Original Basis: 144.53 |
|                                                      | 2.0000           | 01/11/12               | 67.48           | 61.16                 | 6.32                                             | Original Basis: 54.30  |
|                                                      | 2.0000           | 01/25/12               | 67.48           | 59.33                 | 8.15                                             | Original Basis: 55.61  |
|                                                      | 2.0000           | 04/16/12               | 67.48           | 60.95                 | 6.53                                             | Original Basis: 57.82  |
| 06/04/13                                             | 11.0000          | Various                | 371.15          | 332.17                | 38.98                                            | SALE                   |
| TOTAL 4 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| ROYAL BANK CANADA / 780087102                        |                  |                        |                 |                       |                                                  |                        |
| 08/01/13                                             | 1.0000           | 05/08/12               | 62.06           | 55.40                 | 6.66                                             | SALE                   |
|                                                      | 2.0000           | 05/08/12               | 131.59          | 112.94                | 18.65                                            | Original Basis: 100.26 |
|                                                      | 1.0000           | 05/24/12               | 65.80           | 52.73                 | 13.07                                            | Original Basis: 50.13  |
|                                                      | 2.0000           | 07/03/12               | 131.60          | 104.20                | 27.40                                            |                        |
| 10/14/13                                             | 5.0000           | Various                | 328.99          | 269.87                | 59.12                                            | SALE                   |
| TOTAL 3 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| SEAGATE TECHNOLOGY PLC / G7945M107                   |                  |                        |                 |                       |                                                  |                        |
|                                                      | 3.0000           | 04/16/12               | 133.66          | 94.73                 | 38.93                                            | Original Basis: 90.93  |
|                                                      | 1.0000           | 04/30/12               | 44.56           | 28.26                 | 16.30                                            | Original Basis: 26.42  |
|                                                      | 1.0000           | 05/24/12               | 44.56           | 28.23                 | 16.33                                            | Original Basis: 23.62  |
| 06/04/13                                             | 5.0000           | Various                | 222.78          | 151.22                | 71.56                                            | SALE                   |
| 10/14/13                                             | 5.0000           | 05/24/12               | 235.65          | 141.13                | 94.52                                            | SALE                   |
| TOTAL 3 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| SUNTRUST BANKS INC / 867914103                       |                  |                        |                 |                       |                                                  |                        |
|                                                      | 6.0000           | 04/16/12               | 200.22          | 140.85                | 59.37                                            | Original Basis: 136.11 |
|                                                      | 6.0000           | 07/30/12               | 200.22          | 141.27                | 58.95                                            |                        |
| 10/14/13                                             | 12.0000          | Various                | 400.44          | 282.12                | 118.32                                           | SALE                   |
| TOTAL 2 LOTS                                         |                  |                        |                 |                       |                                                  |                        |
| SYMANTEC CORP / 871503108                            |                  |                        |                 |                       |                                                  |                        |
|                                                      | 2.0000           | 07/21/11               | 45.68           | 37.97                 | 7.71                                             | Original Basis: 28.92  |

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**As of Date: 2/07/14**

**Paver:**

FIRST CLEARING, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID #: 23-2384840

**Your Financial Advisor :**

LARRY GIAMBALVO JR/ANTHONY P. PALUMBO  
88 FROELICH FARM BOULEVARD  
SUITE 110  
WOODBURY NY 11797  
(516) 677-5500

BARTELS FAMILY  
FOUNDATION TRUST  
LYNN B ENGELHOLM TTEE ET AL  
UIJA DTD 02/18/1999  
55226 CHARNWOOD FOREST CIRCLE  
AIKEN SC 29803-0574

Account Number: 8076-2620  
Your Federal Identification Number: 31-1623458

## Reportable Tax Information

**LONG TERM GAINS (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED**  
**1099-B Proceeds from Broker and Barter Exchange Transactions for 2013**      **OMB NO. 1545-0715**  
*continued*

Supplemental Information not reported to the IRS

| 8-Description / CUSIP            | 1a-Date of Sale or Exchange | 1e-Quantity Sold | 1b-Date of Acquisition | 2a-Sales Price* | 3-Cost or Other Basis | Gain or Loss | Additional Information |
|----------------------------------|-----------------------------|------------------|------------------------|-----------------|-----------------------|--------------|------------------------|
| 02/12/13                         |                             | 2.0000           | 08/04/11               | 45.68           | 38.88                 | 6.80         | Original Basis: 36.67  |
|                                  |                             | 4.0000           | Various                | 91.36           | 76.85                 | 14.51        | SALE                   |
|                                  |                             | TOTAL 2 LOTS     |                        |                 |                       |              |                        |
| 03/04/13                         |                             | 1.0000           | 08/15/11               | 23.69           | 16.89                 | 6.80         | Original Basis: 14.46  |
|                                  |                             | 2.0000           | 12/05/11               | 47.38           | 32.79                 | 14.59        | Original Basis: 28.92  |
|                                  |                             | 3.0000           | Various                | 71.07           | 49.68                 | 21.39        | SALE                   |
| 08/01/13                         |                             | 1.0000           | 12/05/11               | 26.65           | 16.39                 | 10.26        | Original Basis: 14.46  |
|                                  |                             | 6.0000           | 12/05/11               | 159.90          | 99.73                 | 60.17        | Original Basis: 88.11  |
|                                  |                             | 7.0000           | 12/05/11               | 186.55          | 116.12                | 70.43        | SALE                   |
| TIMKEN COMPANY / 887389104       |                             |                  |                        |                 |                       |              |                        |
| 01/03/13                         |                             | 1.0000           | 07/21/11               | 48.97           | 53.69                 | -4.72        | Original Basis: 40.68  |
|                                  |                             | 1.0000           | 08/04/11               | 48.97           | 56.84                 | -7.87        | Original Basis: 41.04  |
|                                  |                             | 2.0000           | Various                | 97.94           | 110.53                | -12.59       | SALE                   |
| 06/04/13                         |                             | 3.0000           | 04/16/12               | 167.07          | 153.93                | 13.14        | Original Basis: 142.17 |
|                                  |                             | 1.0000           | 04/30/12               | 55.69           | 53.00                 | 2.69         | Original Basis: 50.65  |
|                                  |                             | 5.0000           | 05/24/12               | 278.45          | 255.20                | 23.25        | Original Basis: 228.90 |
|                                  |                             | 9.0000           | Various                | 501.21          | 462.13                | 39.08        | SALE                   |
| TYSON FOODS INC CL A / 902494103 |                             |                  |                        |                 |                       |              |                        |
| 07/09/13                         |                             | 1.0000           | 06/07/12               | 26.47           | 21.28                 | 5.19         | Original Basis: 19.23  |
|                                  |                             | 2.0000           | 06/07/12               | 52.94           | 42.32                 | 10.62        | Original Basis: 38.48  |
|                                  |                             | 3.0000           | 06/07/12               | 79.41           | 63.60                 | 15.81        | SALE                   |
| 08/01/13                         |                             | 1.0000           | 06/07/12               | 28.36           | 21.15                 | 7.21         | Original Basis: 19.23  |
|                                  |                             | 1.0000           | 06/18/12               | 28.36           | 18.89                 | 9.47         |                        |
|                                  |                             | 2.0000           | Various                | 56.72           | 40.04                 | 16.68        | SALE                   |
| 09/04/13                         |                             | 4.0000           | 06/18/12               | 117.74          | 75.56                 | 42.18        | SALE                   |
|                                  |                             | 9.0000           | 06/18/12               | 294.32          | 169.99                | 124.33       | SALE                   |
| 12/03/13                         |                             |                  |                        |                 |                       |              |                        |

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# 2013 ENHANCED 1099

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As of Date: 2/07/14

## Your Financial Advisor :

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## Reportable Tax Information

continued

LONG TERM GAINS/LOSSES (COVERED)-BASIS REPORTED TO THE IRS. REPORT ON FORM 8949 WITH BOX D CHECKED  
1099-B Proceeds from Broker and Barter Exchange Transactions for 2013 OMB NO. 1545-0715

Supplemental Information not reported to the IRS

| 8-Description / CUSIP<br>1a-Date of Sale or Exchange | 1b-Quantity Sold | 1b-Date of<br>Acquisition | 2a-Sales Price* | 3-Cost or Other<br>Basis | Gain or Loss<br>Amount | Additional Information |
|------------------------------------------------------|------------------|---------------------------|-----------------|--------------------------|------------------------|------------------------|
| UNITEDHEALTH GROUP / 91324P102                       |                  |                           |                 |                          |                        |                        |
| INC                                                  |                  |                           |                 |                          |                        |                        |
| 07/09/13                                             | 2.0000           | 06/18/12                  | 135.72          | 117.91                   | 17.81                  | SALE                   |
| 08/01/13                                             | 1.0000           | 06/18/12                  | 73.25           | 58.96                    | 14.29                  | SALE                   |
| 09/04/13                                             | 1.0000           | 06/18/12                  | 73.18           | 58.95                    | 14.23                  | SALE                   |
| WESTERN DIGITAL CORP / 958102105                     |                  |                           |                 |                          |                        |                        |
| 09/04/13                                             | 1.0000           | 07/03/12                  | 64.47           | 29.85                    | 34.62                  | SALE                   |
| 12/03/13                                             | 1.0000           | 07/03/12                  | 75.48           | 29.84                    | 45.64                  | SALE                   |

TOTAL LONG TERM GAINS OR LOSSES FOR BASIS  
REPORTED TO IRS

2,772.99

9,680.41

12,313.20

\*Box 2a Sales price less commissions and option premiums

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