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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013

B Check if applicable
- Address change
- Name change
- Initial return
- Terminated
- Amended return
- Application pending

C Name of organization
THE FOUNDATION FOR APPALACHIAN OHIO

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
35 PUBLIC SQUARE P O BOX 456

City or town, state or province, country, and ZIP or foreign postal code
NELSONVILLE, OH 45764

D Employer identification number
31-1620483

E Telephone number
(740)753-1111

G Gross receipts $ 7,593,462

F Name and address of principal officer
CARA DINGUS BROOK
35 PUBLIC SQUARE
NELSONVILLE,OH 45764

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ WWW APPALACHIANOHIO ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1998 | M State of legal domicile OH

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
FAO IS A REGIONAL COMMUNITY FOUNDATION SERVING THE 32 COUNTIES OF APPALACHIAN OHIO WITH A MISSION TO CREATE OPPORTUNITIES FOR APPALACHIAN OHIO'S CITIZENS AND COMMUNITIES BY INSPIRING AND SUPPORTING PHILANTHROPY

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	15
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	15
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	10
6	Total number of volunteers (estimate if necessary)	6	81
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	2,517,485	2,733,118
	9	Program service revenue (Part VIII, line 2g)	90,861	743,929
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	201,997	562,590
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		0
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,810,343	4,039,637
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	325,421	482,312
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	444,462	470,012
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶151,168		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	526,201	766,169
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	1,296,084	1,718,493
	19	Revenue less expenses Subtract line 18 from line 12	1,514,259	2,321,144
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	12,140,667	15,449,963
	21	Total liabilities (Part X, line 26)	1,625,886	1,866,477
	22	Net assets or fund balances Subtract line 21 from line 20	10,514,781	13,583,486

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2014-11-17
Signature of officer | Date

CARA DINGUS BROOK PRESIDENT & CEO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BRIAN D LONG CPA		2014-11-17		P00514706

Firm's name ▶ JC & CO | Firm's EIN ▶ 31-1423687

Firm's address ▶ 125 W MULBERRY ST
LANCASTER, OH 431303014 | Phone no (740) 653-9581

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . ☐

1 Briefly describe the organization's mission

FAO IS A REGIONAL COMMUNITY FOUNDATION SERVING THE 32 COUNTIES OF APPALACHIAN OHIO WITH A MISSION TO CREATE OPPORTUNITIES FOR APPALACHIAN OHIO'S CITIZENS AND COMMUNITIES BY INSPIRING AND SUPPORTING PHILANTHROPY

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 386,587 including grants of $) (Revenue $)

FAO SUPPORTED THE DEVELOPMENT OF MANY LOCAL VOLUNTEER-DRIVEN INITIATIVES TO DEVELOP PHILANTHROPIC FUNDS FOR THEIR COMMUNITIES AND ORGANIZATIONS FAO COMPLETED INCUBATION OF THE APPALACHIAN PARTNERSHIP FOR ECONOMIC GROWTH

4b (Code) (Expenses $ 470,812 including grants of $ 367,722) (Revenue $)

FAO PROVIDED GRANT SUPPORT TO THE REGION THROUGH SEVERAL MINI-GRANT PROGRAMS AND THROUGH DONOR SERVICES FAO ADMINISTERED THE CHILD OF APPALACHIA WRITING CONTEST AND AWARDS

4c (Code) (Expenses $ 140,362 including grants of $ 114,590) (Revenue $)

FAO HELPED DONORS ELIMINATE BARRIERS TO POST-SECONDARY EDUCATION FOR THE REGION'S STUDENTS THROUGH A VARIETY OF SCHOLARSHIP PROGRAMS, ALTOGETHER PROVIDING 75 SCHOLARSHIPS IN 2013

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶ 997,761

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV **Checklist of Required Schedules** *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	9			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	10			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a	Yes	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	Yes	
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c	Yes	
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	1			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		No
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h	Yes	
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		No
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		No
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

					Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	15			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O					
b	Enter the number of voting members included in line 1a, above, who are independent	1b	15			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?			3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?			5		No
6	Did the organization have members or stockholders?			6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			7a		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following					
a	The governing body?			8a	Yes	
b	Each committee with authority to act on behalf of the governing body?			8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*			9		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b		No
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ►OH

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

►CARA DINGUS BROOK PRESIDENT CEO 35 PUBLIC SQUARE
P O BOX 456
NELSONVILLE, OH 45764 P O BOX 456 (740) 753-1111

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) MIKE BROOKS TRUSTEE	2 00	X						0	0	0
(2) MARIANNE CAMPBELL EMERITUS	2 00	X						0	0	0
(3) MIKE CAREY TRUSTEE	2 00	X						0	0	0
(4) T J CONGER TREASURER	2 00	X		X				0	0	0
(5) RON CREMEANS TRUSTEE	2 00	X						0	0	0
(6) MATT ELLI TRUSTEE	2 00	X						0	0	0
(7) TERRY FLEMING TRUSTEE	2 00	X						0	0	0
(8) BARBARA HANSEN TRUSTEE	2 00	X						0	0	0
(9) THOMAS W JOHNSON TRUSTEE	2 00	X						0	0	0
(10) BELINDA JONES SECRETARY	2 00	X		X				0	0	0
(11) MICK MCLAUGHLIN EMERITUS	2 00	X						0	0	0
(12) JOY PADGETT CHAIRPERSON	2 00	X		X				0	0	0
(13) CHRISTIANE SCHMENK TRUSTEE	2 00	X						0	0	0
(14) B J SMITH TRUSTEE	2 00	X						0	0	0
(15) RON STRICKMAKER EMERITUS	2 00	X						0	0	0
(16) DAVID WILHELM TRUSTEE	2 00	X						0	0	0
(17) MIKE WORKMAN TRUSTEE	2 00	X						0	0	0

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) CARA DINGUS BROOK PRESIDENT AN	50 00			X				107,748	0	3,726

		(D)	(E)	(F)
1b	Sub-Total . . . . ▶			
c	Total from continuation sheets to Part VII, Section A . . . . ▶			
d	Total (add lines 1b and 1c) . . . . ▶	107,748		3,726

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶1

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4		No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

Section	Line	Description	Ref	Amount / Business Code	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . .	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations . . .	1d					
	e	Government grants (contributions)	1e	2,261				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	2,730,857				
	g	Noncash contributions included in lines 1a-1f $						
	h	**Total.** Add lines 1a-1f . . . . ▶			2,733,118			
Program Service Revenue				Business Code				
	2a	OTHER FEES			719,929	719,929		
	b	OFFICE RENT			24,000	24,000		
	c							
	d							
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a–2f . . . . ▶			743,929			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . ▶			247,572			247,572
	4	Income from investment of tax-exempt bond proceeds . . ▶						
	5	Royalties . . . . ▶						
			(i) Real	(ii) Personal				
	6a	Gross rents						
	b	Less rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss) . . . . ▶						
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory	3,868,843					
	b	Less cost or other basis and sales expenses	3,553,825					
	c	Gain or (loss)	315,018					
	d	Net gain or (loss) . . . . ▶			315,018			315,018
	8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . .	a					
	b	Less direct expenses . . .	b					
	c	Net income or (loss) from fundraising events . . ▶						
	9a	Gross income from gaming activities See Part IV, line 19 . . .	a					
	b	Less direct expenses . . .	b					
	c	Net income or (loss) from gaming activities . . ▶						
	10a	Gross sales of inventory, less returns and allowances .	a					
	b	Less cost of goods sold . .	b					
	c	Net income or (loss) from sales of inventory . . ▶						
		Miscellaneous Revenue		Business Code				
	11a							
	b							
	c							
	d	All other revenue						
	e	**Total.** Add lines 11a–11d . . . . ▶						
	12	**Total revenue.** See Instructions . . . . . ▶			4,039,637	743,929		562,590

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☑

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	197,485	197,485		
2 Grants and other assistance to individuals in the United States See Part IV, line 22	274,827	274,827		
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	10,000	10,000		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	111,474	55,737	15,607	40,130
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	300,687	150,344	74,915	75,428
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	4,793	2,397	671	1,725
9 Other employee benefits	19,730	9,865	2,762	7,103
10 Payroll taxes	33,328	16,664	4,666	11,998
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	15,700		15,700	
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees	17,396	3,653	13,743	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	251,810	52,880	198,930	
12 Advertising and promotion	21,389	19,250	2,139	
13 Office expenses	47,039	26,813	20,226	
14 Information technology				
15 Royalties . .				
16 Occupancy	13,158		13,158	
17 Travel	12,127	6,791	5,336	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	96,383	53,974	27,625	14,784
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	36,647		36,647	
23 Insurance	20,227		20,227	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a COMMUNICATION	80,739	41,177	39,562	
b ADMINISTRATIVE	70,464	35,232	35,232	
c MISCELLANEOUS	59,560	22,635	36,925	
d SUB-CONTRACTS	13,809	13,809		
e All other expenses	9,721	4,228	5,493	
25 **Total functional expenses.** Add lines 1 through 24e	1,718,493	997,761	569,564	151,168
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		1	
	2	Savings and temporary cash investments	1,449,947	2	3,014,222
	3	Pledges and grants receivable, net	170,940	3	164,840
	4	Accounts receivable, net	75,000	4	
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges		9	
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a: 703,444			
	b	Less accumulated depreciation — 10b: 114,210	613,210	10c	589,234
	11	Investments—publicly traded securities	9,777,901	11	11,679,773
	12	Investments—other securities See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11	53,669	15	1,894
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	12,140,667	16	15,449,963
Liabilities	17	Accounts payable and accrued expenses	17,291	17	20,999
	18	Grants payable	4,556	18	6,640
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	1,604,039	25	1,838,838
	26	**Total liabilities.** Add lines 17 through 25	1,625,886	26	1,866,477
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	5,483,172	27	5,995,211
	28	Temporarily restricted net assets	1,151,601	28	1,918,638
	29	Permanently restricted net assets	3,880,008	29	5,669,637
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	10,514,781	33	13,583,486
	34	Total liabilities and net assets/fund balances	12,140,667	34	15,449,963

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,039,637
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,718,493
3	Revenue less expenses Subtract line 2 from line 1	3	2,321,144
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,514,781
5	Net unrealized gains (losses) on investments	5	747,561
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,583,486

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))**	**(iv)** Is the organization in col **(i)** listed in your governing document? Yes	**(iv)** No	**(v)** Did you notify the organization in col **(i)** of your support? Yes	**(v)** No	**(vi)** Is the organization in col **(i)** organized in the U S ? Yes	**(vi)** No	**(vii)** Amount of monetary support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	765,147	1,350,761	1,499,839	2,517,485	2,733,118	8,866,350
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	765,147	1,350,761	1,499,839	2,517,485	2,733,118	8,866,350
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						2,958,686
6 **Public support.** Subtract line 5 from line 4						5,907,664

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	765,147	1,350,761	1,499,839	2,517,485	2,733,118	8,866,350
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	136,020	52,882	175,454	178,696	247,572	790,624
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support** (Add lines 7 through 10)						9,656,974

12 Gross receipts from related activities, etc (see instructions) | 12 | 905,891

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) | 14 | 61 180 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 | 15 | 71 840 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2012** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test		
Return Reference	Explanation	

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SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	28	119
2	Aggregate contributions to (during year)	294,323	2,438,795
3	Aggregate grants from (during year)	193,793	288,519
4	Aggregate value at end of year	2,643,975	10,939,511

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☑ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶________

4 Number of states where property subject to conservation easement is located ▶________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	5,843,984	5,073,915	5,147,216	4,900,357	4,619,102
b Contributions	1,823,587	508,264	100,674	68,314	207,287
c Net investment earnings, gains, and losses	1,021,174	470,515	1,074	198,620	208,383
d Grants or scholarships	140,839	96,384	58,700		66,800
e Other expenditures for facilities and programs					
f Administrative expenses	166,118	112,326	116,349	20,075	67,615
g End of year balance	8,381,788	5,843,984	5,073,915	5,147,216	4,900,357

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 20 660 %

b Permanent endowment ▶ 67 640 %

c Temporarily restricted endowment ▶ 11 700 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		11,200		11,200
b Buildings		499,816	23,543	476,273
c Leasehold improvements				
d Equipment		192,428	90,667	101,761
e Other				
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				589,234

Part VII **Investments—Other Securities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value
(1)Financial derivatives		
(2)Closely-held equity interests		
Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII **Investments—Program Related.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX **Other Assets.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X **Other Liabilities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	
AGENCY FUNDS	1,815,798
ACCRUED BENEFITS	23,040
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	1,838,838

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	4,804,309
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	747,561		
b	Donated services and use of facilities	2b	17,111		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	764,672
3	Subtract line **2e** from line **1**			3	4,039,637
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	4,039,637

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	1,735,604
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	17,111		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	17,111
3	Subtract line **2e** from line **1**			3	1,718,493
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	1,718,493

Part XIII **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
SCHEDULE D, PAGE 3, PART X	ACCOUNTING PRINCIPLES GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA REQUIRE MANAGEMENT TO EVALUATE TAX POSITIONS TAKEN BY FAO AND RECOGNIZE A TAX LIABILITY (OR ASSET) IF THE ORGANIZATION HAS TAKEN AN UNCERTAIN POSITION THAT MORE LIKELY THAN NOT WOULD BE SUSTAINED UPON EXAMINATION BY THE INTERNAL REVENUE SERVICE FAO'S MANAGEMENT HAS ANALYZED THE TAX POSITIONS TAKEN BY FAO, AND HAS CONCLUDED THAT AS OF DECEMBER 31, 2013, THERE ARE NO UNCERTAIN POSITIONS TAKEN OR EXPECTED TO BE TAKEN THAT WOULD REQUIRE RECOGNITION OF A LIABILITY (OR ASSET) OR DISCLOSURE IN THE FINANCIAL STATEMENTS FAO IS SUBJECT TO ROUTINE AUDITS BY TAXING JURISDICTIONS, HOWEVER, THERE ARE CURRENTLY NO AUDITS FOR ANY TAX PERIODS IN PROGRESS MANAGEMENT BELIEVES IT IS NO LONGER SUBJECT TO INCOME TAX EXAMINATIONS FOR YEARS PRIOR TO 2010
SCHEDULE D, PAGE 4, PART XIII	PART XIII - SUPPLEMENTAL FINANCIAL INFORMATION PART V LINE 4 - INTENDED USE OF ENDOWMENT FUNDS ENDOWMENT FUNDS ARE INTENDED TO PROVIDE A PREDICTABLE STREAM OF FUNDING TO PROGRAMS SUPPORTED BY THE ENDOWED FUNDS, WHILE SEEKING TO MAINTAIN THE PURCHASING POWER OF THE ENDOWED FUNDS ENDOWED FUNDS INCLUDE THOSE ASSETS OF DONOR RESTRICTED FUNDS THAT FAO MUST HOLD IN PERPETUITY

Part XIII **Supplemental Information** ***(continued)***

Return Reference	Explanation

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees, agents, and independent contractors in region	**(d)** Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region	**(f)** Total expenditures for and investments in region
(1)					
(2)					
(3)					
(4)					
(5)					
3a Sub-total					
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)					

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			MISSION SUPPORT	10,000	CHECK			
(2)								
(3)								
(4)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ 1

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☐ Yes ☑ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☐ Yes ☑ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☐ Yes ☑ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☐ Yes ☑ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☐ Yes ☑ No

Additional Data

Software ID:
Software Version:
EIN: 31-1620483
Name: THE FOUNDATION FOR APPALACHIAN OHIO

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ **Attach to Form 990**
▶ **Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) ROLLING HILLS LOCAL SCHOOL DISTRICT ROLLING HILLS LOCAL SCHOOL DISTRICT 60551 SOUTHGATE RD 60551 SOUTHGATE RD CAMBRIDGE,OH 43725	31-6014835	GOV	32,500				PROGRAM SUPPORT
(2) FIRST FIRST 2616 N BROAD ST 2616 N BROAD STREET COLMAR,PA 18915	94-2738019	501C3	22,700				SCHOLARSHIP SUPPORT
(3) SUCEDE SUCEDE 765 CRANDON BLVD SUITE 405 765 CRANDON BLVD SUITE 405 KEY BISCAYNE,FL 33149	51-0460145	501C3	10,000				PROGRAM SUPPORT
(4) CONNECT OHIO CONNECT OHIO 51 JEFFERSON AVE 51 JEFFERSON AVE COLUMBUS,OH 43215	26-0550687	501C3	26,550				MISSION SUPPORT
(5) CITY OF GALLIPOLIS CITY OF GALLIPOLIS PO BOX 339 P O BOX 339 GALLIPOLIS,OH 45631	31-6400226	GOV	8,000				PROGRAM SUPPORT
(6) ATHENS CONSERVANCY ATHENS CONSERVANCY P O BOX 2281 P O BOX 2281 ATHENS,OH 45701	32-0035073	501C3	9,000				PROGRAM SUPPORT
(7) OHIO UNIVERSITY FOUNDATION OHIO UNIVERSITY FOUNDATION P O BOX 869 P O BOX 869 ATHENS,OH 45701	31-6402269	501C3	73,735				PROGRAM SUPPORT
(8) SMITH CHAPEL METHODIST CHURCH SMITH CHAPEL METHODIST CHURCH 1333 E FRONT STREET 1333 E FRONT STREET LOGAN,OH 43138	45-4699812	501C3	10,000				COMMUNITY SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 8

3 Enter total number of other organizations listed in the line 1 table . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) GRANTS	142	160,237			
(2) SCHOLARSHIPS	75	114,590			

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	**Explanation**
SCHEDULE I, PAGE 1, PART I, LINE 2	SEE DUE DILIGENCE POLICIES AND PROCEDURES ATTACHMENT

Additional Data

Software ID:
Software Version:
EIN: 31-1620483
Name: THE FOUNDATION FOR APPALACHIAN OHIO

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ROLLING HILLS LOCAL SCHOOL DISTRICT ROLLING HILLS LOCAL SCHOOL DISTRICT 60551 SOUTHGATE RD 60551 SOUTHGATE RD CAMBRIDGE, OH 43725	31-6014835	GOV	32,500				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FIRST FIRST 2616 N BROAD ST 2616 N BROAD STREET COLMAR,PA 18915	94-2738019	501C3	22,700				SCHOLARSHIP SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
SUCEDE SUCEDE 765 CRANDON BLVD SUITE 405 765 CRANDON BLVD SUITE 405 KEY BISCAYNE, FL 33149	51-0460145	501C3	10,000				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CONNECT OHIO CONNECT OHIO 51 JEFFERSON AVE 51 JEFFERSON AVE COLUMBUS,OH 43215	26-0550687	501C3	26,550				MISSION SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITY OF GALLIPOLIS CITY OF GALLIPOLIS PO BOX 339 P O BOX 339 GALLIPOLIS, OH 45631	31-6400226	GOV	8,000				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ATHENS CONSERVANCY ATHENS CONSERVANCY P O BOX 2281 P O BOX 2281 ATHENS, OH 45701	32-0035073	501C3	9,000				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
OHIO UNIVERSITY FOUNDATION OHIO UNIVERSITY FOUNDATION P O BOX 869 P O BOX 869 ATHENS, OH 45701	31-6402269	501C3	73,735				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SMITH CHAPEL METHODIST CHURCH SMITH CHAPEL METHODIST CHURCH 1333 E FRONT STREET 1333 E FRONT STREET LOGAN, OH 43138	45-4699812	501C3	10,000				COMMUNITY SUPPORT

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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PAGE 1, PART I, LINE 6	
FORM 990, PAGE 6, PART VI, LINE 11B	THE CHIEF EXECUTIVE OFFICER REVIEWS THE FORM 990 OBSERVING THAT ALLOCATIONS AMONG EXPENSE AND REVENUE ACCOUNTS ARE REASONABLE OTHER INFORMATION REGARDING THE ORGANIZATION'S EXEMPT PURPOSES ARE REVIEWED FOR ACCURACY ANY CHANGES ARE MADE AND REVIEWED WITH THE BOARD OF D IRECTORS
FORM 990, PAGE 6, PART VI, LINE 12C	BOARD MEMBERS, EMPLOYEES AND VOLUNTEER COMMITTEE MEMBERS HAVE TO COMPLETE A CONFLICT OF IN TEREST QUESTIONNAIRE ANNUALLY CONFLICTS ARE NOTED AND ABSTAINED VOTING RECORDED IN MINUTE S
FORM 990, PAGE 6, PART VI, LINE 15A	COMPARABLE INDEPENDENT DATA IS REVIEWED TO HELP DETERMINE COMPENSATION RANGES AND AS OUTLINED IN FAO'S COMPENSATION POLICY
FORM 990, PAGE 6, PART VI, LINE 19	GOVERNING DOCUMENTS ARE OPEN TO PUBLIC INSPECTION UPON REQUEST AND INCLUDED WITH ALL NEW FUND AGREEMENTS
FORM 990, PART IX, LINE 11G	OTHER FEES 16,739 62,971 0 GRAPHIC DESIGN & COMMUNIC 36,141 135,959 0

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) FAO HOLDINGS LTD FAO HOLDINGS LTD 35 PUBLIC SQUARE 35 PUBLIC SQUARE NELSONVILLE, OH 45764 31-1620483	REAL EST	OH		476,273	FAO FOUNDATION FOR APPALACHIAN OHIO

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	No	(k) Percentage ownership

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity? Yes	No

Part V **Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	**1a**		
b Gift, grant, or capital contribution to related organization(s)	**1b**		
c Gift, grant, or capital contribution from related organization(s)	**1c**		
d Loans or loan guarantees to or for related organization(s)	**1d**		
e Loans or loan guarantees by related organization(s)	**1e**		
f Dividends from related organization(s)	**1f**		
g Sale of assets to related organization(s)	**1g**		
h Purchase of assets from related organization(s)	**1h**		
i Exchange of assets with related organization(s)	**1i**		
j Lease of facilities, equipment, or other assets to related organization(s)	**1j**		
k Lease of facilities, equipment, or other assets from related organization(s)	**1k**		
l Performance of services or membership or fundraising solicitations for related organization(s)	**1l**		
m Performance of services or membership or fundraising solicitations by related organization(s)	**1m**		
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	**1n**		
o Sharing of paid employees with related organization(s)	**1o**		
p Reimbursement paid to related organization(s) for expenses	**1p**		
q Reimbursement paid by related organization(s) for expenses	**1q**		
r Other transfer of cash or property to related organization(s)	**1r**		
s Other transfer of cash or property from related organization(s)	**1s**		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	**(b)** Transaction type (a-s)	**(c)** Amount involved	**(d)** Method of determining amount involved

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493321096714

Form **4562**
Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2013
Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE FOUNDATION FOR APPALACHIAN OHIO	INDIRECT DEPRECIATION	31-1620483

Part I **Election To Expense Certain Property Under Section 179**
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)** (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	36,647

Part III **MACRS Depreciation (Do not** include listed property.**)** (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV **Summary** (see instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	36,647
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? Yes No | **24b** If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1					28			
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . .		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report				44	

THE FOUNDATION FOR APPALACHIAN OHIO

Due Diligence and Expenditure Responsibility Policy and Procedures

The Foundation for Appalachian Ohio makes a variety of grants, including discretionary and donor advised. The Foundation President/CEO, Program Staff and Committee ensure the grantmaking process is in alignment with the mission, goals, and objectives of the Foundation, in accordance with the Foundation's Articles of Incorporation, Code of Regulations, and policies and procedures regarding conflict of interest. The process includes for discretionary grants: the RFP, announcement and availability, review of applications by the Grants and Program Advisory Committee. Unrestricted, discretionary strategic grants, donor advised grants and scholarship distributions are presented to the Board for approval.

The Foundation's grantmaking process ensures all grants will be used for charitable purposes consistent with the nature of the grant and the nature of the fund from which the grant is made. Scholarship distributions are likewise overseen, and include the involvement of the Scholarship Advisory Committee and distributions as outlined in the individual scholarship fund agreements and the Foundation's Scholarship Policies. Advisory committees make recommendations to the Board for distributions via Program staff, who work closely with Advisory Committees to ensure appropriateness of recommendations to the Board in accordance with the RFP, fund agreements, all governing documents, conflict of interest policy, and so on.

The Board provides the final checks and balances to ensure appropriateness of distributions in accordance with all governing documents prior to approval of funding.

Once the funding has been approved by the Board, the following steps and documentation are used to ensure due diligence:

1. An individual file will be maintained on each grantee.

2. Utilizing FIMS (Foundation Information Management System), the conditions follow-up/due function will be utilized with each grant. These conditions will be programmed in the database to ensure the tracking and completion of all requirements.

3. The grant agreement, specifying the terms and conditions of the grant, is issued. Two originals are created, to be signed by the FAO President/CEO and grantee executive director. The grantee returns one signed original and retains the second for files.

4. Once the signed grant agreement is returned to the Foundation, a letter of transmittal with the grant check is issued, indicating the check number and date, amount of the grant, grant period, and reporting period. A copy of this grant agreement, along with a copy of the check is kept on file with the grant agreement form.

5. During the entire grant period, Program staff make themselves available to each grantee to provide technical assistance, advice, etc., and to ensure due diligence.

6. During the latter quarter of the grant period, Program staff will send a letter along with the final report form to request the grantee to complete the final report.

7. The Program staff ensures each grantee completes a final report form. Grantees are required to provide their report within 30 days of project completion or within 30 days of the end of the grant period. Timely reports are essential prior to any future funding from the Foundation. At the request of the grantee, extensions may be approved by Program staff.

8. Next, using the Grantee Report Form Assessment, Program staff will evaluate the final report. She will determine whether or not the grantee has achieved the goals and objectives as originally approved by FAO and as indicated in the grant agreement; and, she will determine whether or not the funds were expended according to the grant agreement and appropriate documentation was provided. Additional observations will be made, including any special accomplishments, issues, newly identified gaps, etc. Any issues or outstanding conditions of the grant agreement will be noted, and the Program staff will work with the grantee to ensure these issues/conditions are addressed. The grantee report form assessment will be attached to the grantee final report form, and both documents will be placed in the file with the original grant agreement, transmittal letter, etc.

9. For multi-year grants, the grantee must fill out a grantee report form and attach a new project plan and budget detailing how grant funds will be expended. Continued funding is subject to approval by the Foundation.

10. Program staff will keep the President/CEO informed of any issues or concerns that may arise, as well as the progress, accomplishments, and outcomes of each grant distribution.

DONOR ADVISED FUND GRANTEE ORGANIZATIONS – TAX STATUS DETERMINATION

Certain types of grants are prohibited under Pension Protection Act of 2006. They include:

1. Grants to individuals, including grants made directly to an organization for the benefit of a specific individual (ex: grant to a school)
2. Grants to donors, advisors or related parties; and
3. Grants for non-charitable purposes.

Additionally, under the Pension Reform Act of 2006, community foundations are required to determine the tax status of organizations named to receive grants from donor advised funds. Organizations listed in Section 170(b)(1)(A) of the IRS Code do not require any further investigation. However, the following types of organizations require "expenditure responsibility" for grants made to them from donor advised funds:

1. organizations other than those listed in Section 170(b)(1)(A),
2. type III organizations that are not functionally integrated, and
3. any type of supporting organization if the donor advisor (or related parties) of a donor advised fund controls the supporting organization or an organization that the supporting organization supports.

To fulfill this requirement, FAO keeps a written file of the IRS determination letters and/or most recent IRS Form 990 Filing of all organizations receiving grants from our donor advised funds. For those organizations not providing or having an IRS letter, FAO will access the IRS Business Master File on the IRS website and make a notation of each such organization for our file. These notations include the grantee's name, public charity classification, date of search and origin of information (the IRS Business Master File).

Further, FAO staff review all grant requests from advised funds to ensure that all legal requirements are met. In keeping with federal provisions, the following types of grants are prohibited: grants that provide tangible benefits to the donor, advisor, or related parties; grants that fulfill pre-existing personal pledges; grants to or designated for an individual; grants to support non-charitable activities; grants to private foundations; and grants to for-profit entities. In addition, no grants, loans, compensation, or similar payments may be paid to donors, advisors, or related parties from donor advised funds. Grants for institutional membership may be paid if the benefits are determined to be *de minimus* and if the grant check is marked "benefits waived." Requests for support for events that donors will attend will not be paid from Community Foundation funds, even if a portion of the support would be tax-deductible if paid by the individual.

For those organizations requiring expenditure responsibility (see above three instances), we ensure that grants are spent only for their intended purposes by following the regulations for expenditure responsibility.

Expenditure Responsibility
If a Type III supporting organization is NOT functionally integrated, expenditure responsibility **is** required for grants from donor advised funds.

Staff has grant expenditure responsibility when such is required by law or policy. Grants to organizations not described in §170(b)(1)(A) of the Internal Revenue Code such as non charities, private non-operating foundations, or certain supporting organizations of public charities all require expenditure responsibility. Expenditure responsibility is also required for grants to any type of supporting organization if the donor advisor (or related parties) of a donor advised fund controls the supporting organization or an organization that the supporting organization supports.

Expenditure responsibility requires:
1. Conducting a pre-grant inquiry including a reasonable investigation of the grantee to ensure that the proposed activity is charitable and that the grantee is able to perform the proposed activity.
2. Executing a written agreement with the grantee that specifies the charitable purposes of the grant and includes provisions that prohibit use of the funds for lobbying activities and require the grantee to return any funds not used for the designated purposes.

3. Requiring the grantee to maintain the grant funds in a separate fund so that charitable funds are segregated from non-charitable funds.
4. Requiring the grantee to provide regular reports on the use of the funds and the charitable activity supported by the grant.
5. Including a report on Form 990 about the grant including a brief description of the grant, the amount, the charitable purpose and the current status of the grant.

Supporting organizations receive public charity status from the IRS due to its particular relationship with another publicly supported charity or government unit. Based on that relationship, a supporting organization is defined as Type I, Type II, or Type III. Type III supporting organizations are further defined as functionally or non-functionally integrated. Any type of supporting organization that supports a public charity which is controlled directly or indirectly by the donor, donor advisor, or related party requires expenditure responsibility by the Foundation. Any non-functionally integrated Type III supporting organization requires expenditure responsibility.

The following steps should be followed to determine whether a grant recommendation from a Donor Advised Fund requires expenditure responsibility:

1. Verify that the organization is a public charity by checking its status in IRS Publication 78, the organization's IRS determination letter, or IRS Business Master File.
2. Determine if the public charity is a supporting organization from one of the following sources:
   a) The IRS Business Master File (BMF) and the potential grantee's IRS determination letter, or
   b) A report from a third party that includes the grantee's name, EIN, and public charity classification under §509(a)(1), (2), or (3); a statement that the information is from the most currently available IRS monthly update to the BMF, along with the IRS BMF revision date; the date and time of the grantmaker's search. The grantmaker must retain this report in electronic or hard-copy form.
3. Determine the type of Supporting Organization from one of the following sources:
   a) For Type I or Type II supporting organizations a written representation signed by an officer, director, or trustee of the grantee if both of the following are true:
      i. The representation describes the process used for selecting the grantee's officers, directors, or trustees and references the pertinent provisions of the grantee's organizing documents that establish the grantee's relationship to its supported organization
      ii. The grantmaker collects and reviews copies of the grantee's governing documents. If the grantee's governing documents are not sufficient to establish the relationship, the grantmaker must also collect organizing documents from the supported organization.
   b) For determining that a Type III supporting organization is functionally integrated the Foundation must do the following:
      i. Obtain the grantee's written representation identifying the organization it supports.
      ii. Collect and review the grantee's organizing documents (and those of the supported organization if necessary).
      iii. Collect a written representation signed by an officer, director, or trustee of each supported organization stating that the supporting organization is functionally

integrated and that but for the involvement of the supporting organization, the supported organization normally would engage in those activities itself.

   c) Alternatively, the Foundation may rely on a reasoned written opinion of counsel of either the grantee or the Foundation in making the determination that a supporting organization is a Type I, Type II, or functionally integrated Type III supporting organization.

4. Once the Foundation has determined that a potential grantee is a supporting organization and is not a Type III non-functionally integrated supporting organization (where expenditure responsibility is automatically required), the Foundation, working with local counsel, will determine whether the donor, donor advisor, or related parties control the supported organization. Control can be determined in one of the following ways:
   a) If any donor, donor advisor, or related parties may, by aggregating their votes or positions of authority, require a supported organization to make expenditure, or prevent a supported organization from making expenditure.
   b) Working from a collected list of organizations that the potential grantee supports, the Foundation will work with the donor(s) and advisors to determine whether any of those supported organizations are controlled by the donor, advisor, or related party. Certification from either the donor or advisor or directly from the supported organization indicating that the donor, advisor, or related parties do not control the supported organization must be obtained.

If the Foundation determines through the above stated processes that expenditure responsibility is required, it will perform the following steps:

1. The Foundation will conduct a pre-grant inquiry to determine whether the proposed grantee is reasonably likely to use the grant for the specified purposes.
2. The Foundation and grantee will sign a written grant agreement with specific terms required by law.
3. The grantee will maintain the grant funds in a separate account on the grantee's books.
4. The grantee will report to the grantor, in writing, not less than every six months during
   a) the term of the grant, explaining how it used the funds and describing its compliance with the grant terms and its progress toward the grant purposes.

The Foundatıon for Appalachıan Ohıo
Attachment Form 990 31-1620483
2013 Securıtıes Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
3/7/2013	ABERDEEN ASIA PACIFIC EQUITY FUND	641 4700	12 22	7,838 76	(7,745 59)	93 17
3/7/2013	ABERDEEN ASIA PACIFIC EQUITY FUND	121 4200	12 22	1,483 75	(1,282 20)	201 55
3/7/2013	ABERDEEN ASIA PACIFIC EQUITY FUND	32 1770	12 22	393 20	(339 79)	53 41
		795 0670	36 66	9,715 71	(9,367 58)	348 13
10/30/13	ALLEGHENY TECHNOLOGIES	611 0000	33 54	20,100 60	(20,492 94)	(392 34)
		611 0000	33 54	20,100 60	(20,492 94)	(392 34)
12/1/13	ALLEGION PLC	0 3333	28 18	14 07	(9 39)	4 68
		0 3333	28 18	14 07	(9 39)	4 68
3/26/13	ALLSTATE CORP	8 0000	48 53	388 24	(306 16)	82 08
		8 0000	48 5310	388 24	(306 16)	82 08
9/25/13	AMCAP FUND CL A	72 4240	26 70	1,933 72	(1,589 28)	344 44
10/21/13	AMCAP FUND CL A	741 0150	26 99	20,000 00	(14,870 33)	5,129 67
10/25/13	AMCAP FUND CL A	17 4130	27 48	478 50	(382 11)	96 39
11/1/13	AMCAP FUND CL A	1 1930	27 65	33 00	(26 18)	6 82
		832 0450	108 8200	22,445 22	(16,867 90)	5,577 32
10/21/13	AMERICAN BALANCED FUND	863 5580	23 16	20,000 00	(15,824 19)	4,175 81
10/21/13	AMERICAN BALANCED FUND	215 8890	23 16	5,000 00	(4,522 95)	477 05
		1079 4470	46 3200	25,000 00	(20,347 14)	4,652 86
3/7/2013	AMERICAN CENTURY EQUITY INCOME FUND	1707 1900	8 38	14,306 25	(11,612 69)	2,693 56
		1707 1900	8 3800	14,306 25	(11,612 69)	2,693 56
3/26/13	AMERICAN EXPRESS CO	3 0000	66 83	200 48	(172 62)	27 86
		3 0000	66 8250	200 48	(172 62)	27 86
9/25/13	AMERN HIGH INCOME TRUST CL	156 8580	11 31	1,774 06	(1,789 53)	(15 47)
10/22/13	AMERN HIGH INCOME TRUST CL	2944 7850	11 41	33,599 99	(33,003 28)	596 71
10/25/13	AMERN HIGH INCOME TRUST CL	38 1240	11 41	435 00	(435 04)	(0 04)
11/1/13	AMERN HIGH INCOME TRUST CL	2 5980	11 43	29 70	(29 65)	0 05
		3142 3650	45 5600	35,838 75	(35,257 50)	581 25
10/21/13	AMERN US GOVT SECS FUND	724 6380	13 80	10,000 00	(9,850 62)	149 38
10/21/13	AMERN US GOVT SECS FUND	362 3190	13 80	5,000 00	(4,920 04)	79 96
		1086 9570	27 6000	15,000 00	(14,770 66)	229 34
12/27/13	APPLE INC	46 0000	565 27	25,764 73	(25,764 73)	0 00
		46 0000	565 2650	25,764 73	(25,764 73)	0 00
12/16/13	ARTISAN MID CAP VALUE	115 0000	26 01	2,991 15	(1,358 15)	1,633 00
		115 0000	26 0100	2,991 15	(1,358 15)	1,633 00
3/7/13	ASTON OPTIMUM MID CAP FUND	66 5100	37 62	2,502 10	(2,258 01)	244 09
		66 5100	37 6200	2,502 10	(2,258 01)	244 09
10/18/13	ASTON/FAIRPOINTE MID CAP	42 6530	46 59	1,987 20	(1,424 69)	562 51
11/5/13	ASTON/FAIRPOINTE MID CAP	73 1940	46 41	3,396 93	(2,357 30)	1,039 63
		115 8470	93 0000	5,384 13	(3,781 99)	1,602 14
9/24/13	BBH CORE SELECT FUND	468 7840	20 57	9,642 89	(7,388 04)	2,254 85
		468 7840	20 5700	9,642 89	(7,388 04)	2,254 85
3/7/2013	BBH FD INC TAX EFFIC EQ N	754 9530	18 92	14,283 72	(11,922 56)	2,361 16

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
		754 9530	18 9200	14,283 72	(11,922 56)	2,361 16
9/25/13	BOND FUND OF AMERICA CL A	123 9700	12 45	1,543 43	(1,586 19)	(42 76)
10/21/13	BOND FUND OF AMERICA CL A	1598 7210	12 51	20,000 00	(20,706 33)	(706 33)
10/21/13	BOND FUND OF AMERICA CL A	1998 4010	12 51	25,000 00	(25,396 57)	(396 57)
10/22/13	BOND FUND OF AMERICA CL A	1202 0590	12 60	15,000 00	(15,452 61)	(452 61)
10/25/13	BOND FUND OF AMERICA CL A	30 0360	12 60	378 45	(384 43)	(5 98)
11/1/13	BOND FUND OF AMERICA CL A	2 0700	12 61	26 10	(26 35)	(0 25)
		4955 2570	75 2800	61,947 98	(63,552 48)	(1,604 50)
3/26/13	BORG WARNER INC	1 0000	75 91	75 91	(71 59)	4 32
		1 0000	75 9100	75 91	(71 59)	4 32
9/24/13	CALL BOND MORGAN STANLEY STEP-UP	5000 0000	100 00	50,000 00	(50,000 00)	0 00
9/25/13	CAPITAL INCOME BUILDER FUND	29 6770	56 79	1,685 36	(1,641 69)	43 67
10/21/13	CAPITAL INCOME BUILDER FUND	350 0180	57 14	20,000 00	(18,642 50)	1,357 50
10/21/13	CAPITAL INCOME BUILDER FUND	175 0090	57 14	10,000 00	(9,527 54)	472 46
10/22/13	CAPITAL INCOME BUILDER FUND	1203 2910	58 34	70,200 00	(63,166 19)	7,033 81
10/25/13	CAPITAL INCOME BUILDER FUND	7 0090	58 34	408 90	(387 85)	21 05
11/1/13	CAPITAL INCOME BUILDER FUND	0 4810	58 64	28 20	(26 62)	1 58
		1765 4850	346 3900	102,322 46	(93,392 39)	8,930 07
3/7/2013	CAPITAL PRIVATE CLIENT SVCS NON US EQT	1390 0200	10 49	14,581 31	(13,789 00)	792 31
		1390 0200	10 4900	14,581 31	(13,789 00)	792 31
9/25/13	CAPITAL WORLD BOND FUND	83 1460	20 27	1,685 36	(1,655 89)	29 47
10/22/13	CAPITAL WORLD BOND FUND	242 7180	20 60	5,000 00	(5,022 47)	(22 47)
10/22/13	CAPITAL WORLD BOND FUND	2038 8350	20 60	42,000 01	(42,183 59)	(183 58)
10/25/13	CAPITAL WORLD BOND FUND	19 8500	20 60	408 90	(395 36)	13 54
11/1/13	CAPITAL WORLD BOND FUND	1 3700	20 59	28 20	(27 29)	0 91
		2385 9190	102 6600	49,122 47	(49,284 60)	(162 13)
9/25/13	CAPITAL WORLD GRW & INC FUND	41 7670	42 90	1,791 80	(1,623 63)	168 17
10/21/13	CAPITAL WORLD GRW & INC FUND	462 3210	43 26	20,000 00	(17,009 76)	2,990 24
10/21/13	CAPITAL WORLD GRW & INC FUND	231 1600	43 26	10,000 00	(8,114 85)	1,885 15
10/22/13	CAPITAL WORLD GRW & INC FUND	1832 5790	44 20	81,000 00	(62,932 06)	18,067 94
10/25/13	CAPITAL WORLD GRW & INC FUND	9 9400	44 20	439 35	(386 61)	52 74
11/1/13	CAPITAL WORLD GRW & INC FUND	0 6840	44 28	30 30	(26 60)	3 70
12/24/13	CAPITAL WORLD GRW & INC FUND	1006 0360	44 73	45,000 00	(33,050 02)	11,949 98
		3584 4870	306 8300	158,261 45	(123,143 53)	35,117 92
8/22/2013	CENOVUS ENERGY	15 0000	31 89	427 90	(478 28)	(50 38)
8/22/2013	CENOVUS ENERGY	44 0000	31 60	1,255 20	(1,390 55)	(135 35)
8/22/2013	CENOVUS ENERGY	1 0000	30 84	28 53	(30 84)	(2 31)
		60 0000	94 3288	1,711 63	(1,899 67)	(188 04)
3/26/13	CISCO SYSTEMS	5 0000	20 79	103 97	(95 78)	8 19
		5 0000	20 7945	103 97	(95 78)	8 19
11/5/13	COLUMBIA ASIA PAX	1273 5010	13 69	17,434 23	(17,090 39)	343 84
		1273 5010	13 6900	17,434 23	(17,090 39)	343 84
3/7/13	COLUMBIA FDS SER TR II MASS ASIA PC FP R5	355 5240	13 30	4,728 47	(4,207 00)	521 47
		355 5240	13 3000	4,728 47	(4,207 00)	521 47

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
3/7/13	DELAWARE EMERGING MARKETS FUND	421 1270	14 99	6,312 69	(6,549 22)	(236 53)
		421 1270	14 9900	6,312 69	(6,549 22)	(236 53)
3/7/13	DODGE & COX INTERNATIONAL STOCK	215 7790	36 33	7,839 25	(7,907 00)	(67 75)
		215 7790	36 3300	7,839 25	(7,907 00)	(67 75)
3/7/2013	DOUBLELINE FDS TR TTL RTN BD	483 2690	11 33	5,475 44	(5,407 78)	67 66
		483 2690	11 3300	5,475 44	(5,407 78)	67 66
9/6/2013	DREYFUS EMG MKT DEBT LOC C-I	101 8190	13 57	1,381 68	(1,365 75)	15 93
9/6/13	DREYFUS EMG MKT DEBT LOC C-I	253 8450	13 57	3,444 68	(3,450 57)	(5 89)
9/9/13	DREYFUS EMG MKT DEBT LOC C-I	669 0000	13 69	9,158 61	(9,675 38)	(516 77)
9/9/13	DREYFUS EMG MKT DEBT LOC C-I	669 7380	13 69	9,168 71	(9,118 23)	50 48
9/10/13	DREYFUS EMG MKT DEBT LOC C-I	478 4380	13 72	6,564 17	(6,750 25)	(186 08)
		2172 8400	68 2400	29,717 85	(30,360 18)	(642 33)
2/1/13	EATON CORP	22 0000	58 51	1,287 14	(1,141 93)	145 21
3/26/13	EATON CORP	4 0000	61 52	246 07	(207 63)	38 44
		26 0000	120 0279	1,533 21	(1,349 56)	183 65
8/22/2013	EATON VANCE FLOATING-RATE ADVANTAGE I	616 5700	11 15	6,874 76	(6,757 61)	117 15
		616 5700	11 1500	6,874 76	(6,757 61)	117 15
9/24/13	EATON VANCE GLOBAL MACRO-I	1579 7800	9 46	14,944 72	(15,715 00)	(770 28)
		1579 7800	9 4600	14,944 72	(15,715 00)	(770 28)
3/7/13	EDGEWOOD GROWTH FUND	254 1100	15 06	3,826 90	(2,968 00)	858 90
		254 1100	15 0600	3,826 90	(2,968 00)	858 90
2/1/13	EMERSON ELECTRIC CORP	33 0000	58 00	1,914 09	(1,641 39)	272 70
4/11/13	EMERSON ELECTRIC CORP	75 0000	56 95	4,271 36	(3,732 15)	539 21
6/13/13	EMERSON ELECTRIC CORP	85 0000	56 72	4,821 29	(4,284 69)	536 60
		193 0000	171 6764	11,006 74	(9,658 23)	1,348 51
3/26/13	EOG RESOURCES INC	3 0000	125 44	376 31	(337 29)	39 02
9/18/13	EOG RESOURCES INC	1 0000	168 50	168 50	(112 43)	56 07
		4 0000	293 9390	544 81	(449 72)	95 09
3/26/13	EQT CORP	7 0000	67 25	470 74	(384 86)	85 88
9/18/13	EQT CORP	5 0000	90 08	450 40	(274 90)	175 50
12/4/13	EQT CORP	9 0000	83 06	747 56	(494 82)	252 74
		21 0000	240 3958	1,668 70	(1,154 58)	514 12
9/25/13	EUROPACIFIC GROWTH FUND	36 7050	46 40	1,703 10	(1,560 98)	142 12
10/22/13	EUROPACIFIC GROWTH FUND	905 5200	48 37	43,800 00	(36,054 84)	7,745 16
10/25/13	EUROPACIFIC GROWTH FUND	8 7230	48 37	421 95	(370 97)	50 98
11/1/13	EUROPACIFIC GROWTH FUND	0 6060	48 01	29 10	(25 77)	3 33
		951 5540	191 1500	45,954 15	(38,012 56)	7,941 59
3/7/13	FMI FDS INC LARGE CAP FD	22 3150	18 79	419 29	(360 75)	58 54
3/7/2013	FMI FDS INC LARGE CAP FD	54 5770	18 79	1,025 50	(761 35)	264 15
		76 8920	37 5800	1,444 79	(1,122 10)	322 69
11/5/13	FMI LARGE CAP FUND LARGE CAP FD	571 7140	21 29	12,171 79	(8,334 80)	3,836 99
8/22/2013	FMI LARGE CAP FUND LARGE CAP FD	362 0530	20 01	7,244 68	(4,012 26)	3,232 42
6/3/13	FMI LARGE CAP FUND LARGE CAP FD	563 0000	20 02	11,271 26	(6,347 26)	4,924 00
10/17/13	FMI LARGE CAP FUND LARGE CAP FD	563 0930	21 05	11,853 11	(6,132 08)	5,721 03

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
		2059 8600	82 3700	42,540 84	(24,826 40)	17,714 44
9/25/13	FUNDAMENTAL INVESTORS FUND	38 5430	48 79	1,880 50	(1,618 11)	262 39
10/21/13	FUNDAMENTAL INVESTORS FUND	405 3510	49 34	20,000 00	(14,604 37)	5,395 63
10/21/13	FUNDAMENTAL INVESTORS FUND	202 6750	49 34	10,000 00	(7,185 71)	2,814 29
10/22/13	FUNDAMENTAL INVESTORS FUND	1368 2330	50 43	69,000 00	(51,126 15)	17,873 85
10/25/13	FUNDAMENTAL INVESTORS FUND	9 1430	50 43	461 10	(383 84)	77 26
11/1/13	FUNDAMENTAL INVESTORS FUND	0 6250	50 86	31 80	(26 24)	5 56
12/24/13	FUNDAMENTAL INVESTORS FUND	875 9980	51 37	45,000 00	(34,639 26)	10,360 74
		2900 5680	350 5600	146,373 40	(109,583 68)	36,789 72
10/21/13	GATEWAY FUND	11 4160	28 47	325 00	(324 45)	0 55
		11 4160	28 4690	325 00	(324 45)	0 55
11/5/13	GOLDMAN SACHS TR STRG INCM INST	1602 0000	10 54	16,885 08	(16,724 88)	160 20
		1602 0000	10 5400	16,885 08	(16,724 88)	160 20
10/21/13	GROWTH FUND OF AMERICA	466 9620	42 83	20,000 00	(14,507 07)	5,492 93
10/21/13	GROWTH FUND OF AMERICA	233 4810	42 83	10,000 00	(7,382 21)	2,617 79
10/22/13	GROWTH FUND OF AMERICA	1360 8250	43 65	59,400 00	(41,392 44)	18,007 56
		2061 2680	129 3100	89,400 00	(63,281 72)	26,118 28
8/22/2013	HARTFORD CAPITAL APPRECIATION FUND	144 7480	42 18	6,105 48	(5,501 87)	603 61
3/7/13	HARTFORD CAPITAL APPRECIATION FUND	141 6540	38 01	5,384 26	(3,864 97)	1,519 29
3/7/2013	HARTFORD CAPITAL APPRECIATION FUND	21 6070	38 01	821 29	(632 00)	189 29
		308 0090	118 2000	12,311 03	(9,998 84)	2,312 19
1/30/2013	HIGHBRIDGE DYNAMIC COMM STRG FD	848 3040	14 54	12,334 34	(13,487 22)	(1,152 88)
1/30/2013	HIGHBRIDGE DYNAMIC COMM STRG FD	1180 8770	14 54	17,169 95	(20,076 46)	(2,906 51)
1/30/2013	HIGHBRIDGE DYNAMIC COMM STRG FD	218 8490	14 54	3,182 06	(3,195 19)	(13 13)
3/7/13	HIGHBRIDGE DYNAMIC COMM STRG FD	157 2260	13 67	2,149 28	(2,568 34)	(419 06)
		2405 2560	57 2900	34,835 63	(39,327 21)	(4,491 58)
8/16/2013	HOME DEPOT INC	52 0000	75 85	3,944 35	(3,006 94)	937 41
		52 0000	75 8543	3,944 35	(3,006 94)	937 41
6/6/13	HOSPIRA INC	50 0000	35 09	1,699 55	(1,889 44)	(189 89)
6/6/13	HOSPIRA INC	0 0350	35 09	1 36	(1 41)	(0 05)
		50 0350	70 1800	1,700 91	(1,890 85)	(189 94)
3/7/2013	HSBC FDS TOTAL RETURN	666 0580	10 26	6,833 76	(6,886 99)	(53 23)
11/5/13	HSBC FDS TOTAL RETURN	1715 3720	10 07	17,273 80	(16,724 88)	548 92
2/1/13	HSBC FDS TOTAL RETURN I	145 0180	10 25	1,486 43	(1,500 92)	(14 49)
		2526 4480	30 5800	25,593 99	(25,112 79)	481 20
3/7/13	IiSHARES MSCI EAFE INDEX FUND	20 0000	59 23	1,184 57	(1,242 18)	(57 61)
		20 0000	59 2290	1,184 57	(1,242 18)	(57 61)
10/21/13	INCOME FUND OF AMERICA FUND	1003 0090	19 94	20,000 00	(18,002 62)	1,997 38
10/21/13	INCOME FUND OF AMERICA FUND	501 5050	19 94	10,000 00	(9,137 73)	862 27
10/22/13	INCOME FUND OF AMERICA FUND	2687 0080	20 32	54,600 00	(48,557 49)	6,042 51
		4191 5220	60 2000	84,600 00	(75,697 84)	8,902 16
3/26/13	INGERSOLL-RAND PLC	3 0000	55 28	165 84	(136 83)	29 01
		3 0000	55 2799	165 84	(136 83)	29 01
11/5/13	INV BALANCED-RISK ALLOC-Y	1371 1160	12 81	17,564 00	(17,609 58)	(45 58)

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
		1371 1160	12 8100	17,564 00	(17,609 58)	(45 58)
10/21/13	INVESTMENT CO OF AMERICA	547 0460	36 56	20,000 00	(16,806 98)	3,193 02
10/21/13	INVESTMENT CO OF AMERICA	273 5230	36 56	10,000 00	(8,321 20)	1,678 80
		820 5690	73 1200	30,000 00	(25,128 18)	4,871 82
2/1/13	ISHARES CORE S&P MID-CAP ETF	31 0000	109 93	3,407 73	(2,927 33)	480 40
3/7/13	ISHARES CORE S&P MID-CAP ETF	15 0000	11 92	1,678 73	(1,020 02)	658 71
11/5/13	ISHARES CORE S&P MID-CAP ETF	157 0000	129 01	20,254 99	(18,734 31)	1,520 68
		203 0000	250 8550	25,341 45	(22,681 66)	2,659 79
3/7/13	Ishares msci china index FUND	32 0000	47 01	1,504 28	(1,594 19)	(89 91)
		32 0000	47 0090	1,504 28	(1,594 19)	(89 91)
10/22/13	ISHARES RUSSELL 2000 INDEX FUND	9 0000	110 76	996 86	(644 85)	352 01
		9 0000	110 7620	996 86	(644 85)	352 01
3/7/2013	ISHARES RUSSELL MIDCAP INDEX FUND	5 0000	124 90	624 48	(517 20)	107 28
10/22/13	ISHARES RUSSELL MIDCAP INDEX FUND	4 0000	144 37	577 48	(347 64)	229 84
		9 0000	269 2660	1,201 96	(864 84)	337 12
3/7/2013	JPM MARKET EXPANSION INDEX FD	115 5450	11 70	1,351 88	(747 58)	604 30
		115 5450	11 7000	1,351 88	(747 58)	604 30
11/5/13	JPM CORE BOND FD	152 1230	11 64	1,770 71	(1,607 70)	163 01
		152 1230	11 6400	1,770 71	(1,607 70)	163 01
7/30/13	JPM EMERG MRKT EQ FD	127 8900	21 84	2,780 00	(3,042 21)	(262 21)
7/30/13	JPM EMERG MRKT EQ FD	293 0400	21 84	6,400 00	(6,993 57)	(593 57)
7/31/13	JPM EMERG MRKT EQ FD	610 4490	21 82	13,320 00	(14,589 73)	(1,269 73)
8/1/2013	JPM EMERG MRKT EQ FD	773 2360	21 82	16,872 00	(18,534 47)	(1,662 47)
8/1/2013	JPM EMERG MRKT EQ FD	610 4490	21 82	13,320 00	(14,589 73)	(1,269 73)
8/1/2013	JPM EMERG MRKT EQ FD	764 8030	21 82	16,688 00	(18,141 12)	(1,453 12)
		3179 8670	130 9600	69,380 00	(75,890 83)	(6,510 83)
2/4/2013	JPM EQUITY INCOME FD	977 4200	10 81	10,565 91	(8,371 34)	2,194 57
2/4/2013	JPM EQUITY INCOME FD	190 7600	10 81	2,062 12	(1,631 00)	431 12
3/7/2013	JPM EQUITY INCOME FD	44 1360	35 17	1,552 25	(898 61)	653 64
11/5/13	JPM EQUITY INDEX FUND	19 6920	40 06	788 86	(400 93)	387 93
		1232 0080	96 8500	14,969 14	(11,301 88)	3,667 26
7/19/13	JPM INTL CURRENCY INCOME FD FUND	134 7720	11 07	1,503 00	(1,516 96)	(13 96)
7/19/13	JPM INTL CURRENCY INCOME FD FUND	723 5770	11 07	8,010 00	(8,364 55)	(354 55)
7/19/13	JPM INTL CURRENCY INCOME FD FUND	706 7750	11 07	7,824 00	(8,170 32)	(346 32)
7/19/13	JPM INTL CURRENCY INCOME FD FUND	274 7970	11 07	3,042 00	(3,176 65)	(134 65)
		1839 9210	44 2800	20,379 00	(21,228 48)	(849 48)
3/7/13	JPM INTL VALUE FD	134 3450	13 32	1,789 47	(2,284 86)	(495 39)
4/17/2013	JPM INTL VALUE FD	1512 0000	13 20	19,958 40	(21,228 48)	(1,270 08)
4/17/2013	JPM INTL VALUE FD	1171 9970	13 20	15,470 36	(15,611 00)	(140 64)
4/17/2013	JPM INTL VALUE FD	1513 2170	13 20	19,974 46	(19,355 63)	618 83
4/17/2013	JPM INTL VALUE FD	573 9640	13 20	7,576 32	(7,511 56)	64 76
11/5/13	JPM INTL VALUE FD	34 9900	15 06	526 95	(500 00)	26 95
		4940 5130	81 1800	65,295 96	(66,491 53)	(1,195 57)
3/7/13	JPM INTREPID AMERICA FD	11 2440	28 38	319 11	(278 74)	40 37
3/7/2013	JPM INTREPID AMERICA FD	48 5900	28 38	1,378 98	(1,158 39)	220 59

The Foundatıon for Appalachıan Ohıo
Attachment Form 990 31-1620483
2013 Securıtıes Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
3/7/2013	JPM INTREPID AMERICA FD	35 7260	28 38	1,013 91	(889 93)	123 98
4/17/13	JPM INTREPID AMERICA FD	111 9910	28 67	3,210 78	(2,776 26)	434 52
4/17/2013	JPM INTREPID AMERICA FD	747 0000	28 67	21,416 49	(18,146 80)	3,269 69
4/17/2013	JPM INTREPID AMERICA FD	550 0700	28 67	15,770 51	(15,611 00)	159 51
4/17/2013	JPM INTREPID AMERICA FD	699 4610	28 67	20,053 55	(14,064 72)	5,988 83
4/17/2013	JPM INTREPID AMERICA FD	251 9490	28 67	7,223 38	(5,006 66)	2,216 72
11/5/13	JPM INTREPID AMERICA FD	35 3400	33 33	1,177 88	(829 69)	348 19
3/7/2013	JPM INTREPID VALUE FUND	51 7700	28 42	1,471 30	(1,223 84)	247 46
		2543 1410	290 2400	73,035 89	(59,986 03)	13,049 86
1/30/2013	JPM LARGE CAP GROWTH FD	64 9090	24 85	1,613 00	(1,413 72)	199 28
2/4/2013	JPM LARGE CAP GROWTH FD	365 3520	24 85	9,079 00	(7,957 37)	1,121 63
9/27/13	JPM LARGE CAP GROWTH FD	788 5580	28 99	22,860 30	(16,479 43)	6,380 87
11/5/13	JPM LARGE CAP GROWTH FD	1717 2540	29 99	51,500 45	(44,008 82)	7,491 63
11/7/13	JPM LARGE CAP GROWTH FD	788 0000	29 10	22,930 80	(16,997 29)	5,933 51
		3724 0730	137 7800	107,983 55	(86,856 63)	21,126 92
3/7/2013	JPM MARKET EXPANSION INDEX FD	304 3600	11 70	3,561 01	(1,969 21)	1,591 80
		304 3600	11 7000	3,561 01	(1,969 21)	1,591 80
8/22/2013	JPM MIDCAP CORE FD	792 2530	21 62	17,128 51	(13,888 01)	3,240 50
		792 2530	21 6200	17,128 51	(13,888 01)	3,240 50
9/20/13	JPM REALTY INCOME FD	649 3120	11 95	7,759 28	(5,854 51)	1,904 77
9/23/13	JPM REALTY INCOME FD	129 0100	11 88	1,532 64	(1,506 62)	26 02
9/23/13	JPM REALTY INCOME FD	372 1500	11 88	4,421 14	(3,315 86)	1,105 28
9/25/13	JPM REALTY INCOME FD	862 0000	11 79	10,162 98	(9,001 45)	1,161 53
9/25/13	JPM REALTY INCOME FD	862 3950	11 79	10,167 64	(7,683 94)	2,483 70
		2874 8670	59 2900	34,043 68	(27,362 38)	6,681 30
2/4/2013	JPM SHORT DURATION BOND FUND	286 7150	10 99	3,151 00	(3,159 60)	(8 60)
2/4/2013	JPM SHORT DURATION BOND FUND	45 0410	10 99	495 00	(490 50)	4 50
3/7/2013	JPM SHORT DURATION BOND FUND	3762 3860	10 98	41,311 00	(40,408 47)	902 53
6/24/13	JPM SHORT DURATION BOND FUND	450 4130	10 89	4,905 00	(4,945 55)	(40 55)
6/25/13	JPM SHORT DURATION BOND FUND	3155 1880	10 89	34,360 00	(34,766 60)	(406 60)
6/25/13	JPM SHORT DURATION BOND FUND	2144 1690	10 89	23,350 00	(22,994 50)	355 50
6/25/13	JPM SHORT DURATION BOND FUND	2736 7310	10 89	29,803 00	(29,865 62)	(62 62)
6/25/13	JPM SHORT DURATION BOND FUND	1128 5580	10 89	12,290 00	(12,185 13)	104 87
11/5/13	JPM SHORT DURATION BOND FUND	1412 3630	10 92	15,423 00	(15,478 83)	(55 83)
		15121 5640	98 3300	165,088 00	(164,294 80)	793 20
3/7/2013	JPM TAX AWARE EQUITY FD	685 9860	21 58	14,803 58	(12,876 00)	1,927 58
11/5/13	JPM TAX AWARE EQUITY FD	7 7760	25 00	194 40	(141 66)	52 74
		693 7620	46 5800	14,997 98	(13,017 66)	1,980 32
4/17/2013	JPM TR I MIDCAP CORE	592 4680	19 65	11,642 00	(10,593 33)	1,048 67
4/17/2013	JPM TR I MIDCAP CORE	367 4810	19 67	7,221 00	(6,647 73)	573 27
4/17/2013	JPM TR I MIDCAP CORE	597 3030	19 65	11,737 00	(10,225 82)	1,511 18
4/17/2013	JPM TR I MIDCAP CORE	219 2370	19 65	4,308 00	(3,937 44)	370 46
		1776 4890	78 6200	34,908 00	(31,404 32)	3,503 58
10/21/13	JPM TR II CORE BOND FD	42 8450	11 67	500 00	(479 83)	20 17
		42 8450	11 6700	500 00	(479 83)	20 17
3/7/2013	JPM TR INFL MANAGED BD	2353 4260	10 80	25,417 00	(25,427 49)	(10 49)
		2353 4260	10 8000	25,417 00	(25,427 49)	(10 49)

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
3/7/2013	JPM TREAS & AGENCY FD	1346 5140	9 61	12,940 00	(13,136 81)	(196 81)
		1346 5140	9 6100	12,940 00	(13,136 81)	(196 81)
2/1/13	JPM TRI GROWTH ADVANTAGE FD	282 9430	10 67	3,019 00	(1,863 29)	1,155 71
3/7/13	JPM TRI GROWTH ADVANTAGE FD	300 7610	10 86	3,266 26	(1,708 32)	1,557 94
		583 7040	21 5300	6,285 26	(3,571 61)	2,713 65
3/7/2013	JPM US CAP CORE PLUS FD	46 6750	24 16	1,127 68	(965 71)	161 97
		46 6750	24 1600	1,127 68	(965 71)	161 97
2/4/2013	JPM US EQUITY FD	5322 9250	11 80	62,810 52	(53,539 01)	9,271 51
2/4/2013	JPM US EQUITY FD	993 6450	11 80	11,725 01	(9,611 72)	2,113 29
		6316 5700	23 6000	74,535 53	(63,150 73)	11,384 80
11/5/13	JPM US LARGE CORE PLUS FD	1113 7790	28 45	31,687 00	(27,700 78)	3,986 22
11/7/13	JPM US LARGE CORE PLUS FD	446 6290	28 18	12,586 00	(10,410 92)	2,175 08
11/26/13	JPM US LARGE CORE PLUS FD	88 1590	29 39	2,591 00	(2,129 92)	461 08
		1648 5670	86 0200	46,864 00	(40,241 62)	6,622 38
2/5/13	JPMORGAN CHASE & CO	15 0000	48 36	725 45	(581 93)	143 52
3/26/13	JPMORGAN CHASE & CO	3 0000	48 53	145 59	(116 39)	29 20
		18 0000	96 8947	871 04	(698 32)	172 72
5/17/13	JPMORGAN INTL EQTY INDX	77 4740	19 85	1,537 86	(1,319 41)	218 45
		77 4740	19 8500	1,537 86	(1,319 41)	218 45
2/6/2013	LEGG MASON PARTNERS TR CLEARBRIDGE APPREC	1313 8860	16 49	21,665 98	(15,991 45)	5,674 53
		1313 8860	16 4900	21,665 98	(15,991 45)	5,674 53
9/27/13	MANNING & NAPIER EQUITY SERI	1916 1980	20 97	40,182 67	(37,500 00)	2,682 67
		1916 1980	20 9700	40,182 67	(37,500 00)	2,682 67
4/17/13	MANNING & NAPIER FUND	171 2710	18 66	3,195 92	(3,222 19)	(26 27)
		171 2710	18 6600	3,195 92	(3,222 19)	(26 27)
3/7/13	MANNING & NAPIER FUND INC EQUITY SERIES	182 6060	18 80	3,433 00	(3,610 12)	(177 12)
2/4/2013	MANNING & NAPIER FUND INC EQUITY SERIES	3348 5370	18 46	61,814 00	(64,108 74)	(2,294 74)
2/4/2013	MANNING & NAPIER FUND INC EQUITY SERIES	477 4110	18 46	8,813 00	(8,623 45)	189 55
4/17/2013	MANNING & NAPIER FUND INC EQUITY SERIES	1059 0000	18 66	19,760 94	(17,691 29)	2,069 65
4/17/2013	MANNING & NAPIER FUND INC EQUITY SERIES	830 3720	18 66	15,494 74	(15,611 00)	(116 26)
4/17/2013	MANNING & NAPIER FUND INC EQUITY SERIES	1059 0140	18 66	19,761 20	(17,346 65)	2,414 55
4/17/2013	MANNING & NAPIER FUND INC EQUITY SERIES	401 6510	18 66	7,494 81	(6,579 04)	915 77
		7358 5910	130 3600	136,571 69	(133,570 29)	3,001 40
3/7/13	MANNING & NAPIER FUND INC WORLD OPPORT	357 1900	8 20	2,928 96	(3,100 76)	(171 80)
		357 1900	8 2000	2,928 96	(3,100 76)	(171 80)
2/1/13	METLIFE INC	95 0000	37 99	3,608 98	(3,337 26)	271 72
		95 0000	37 9901	3,608 98	(3,337 26)	271 72
3/7/2013	MFS INTL VALUE-I	68 6470	30 26	2,077 25	(1,747 75)	329 50
3/7/2013	MFS INTL VALUE-I	32 4180	30 26	980 96	(834 12)	146 84
		101 0650	60 5200	3,058 21	(2,581 87)	476 34
3/7/13	NEUBERGER BERMAN MULTI CAP OPPORTUNITIOES	275 5440	12 38	3,411 23	(2,850 37)	560 86
		275 5440	12 3800	3,411 23	(2,850 37)	560 86

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
9/25/13	NEW PRESPECTIVE FUND	51 8810	36 93	1,915 98	(1,650 80)	265 18
10/22/13	NEW PRESPECTIVE FUND	1199 0530	38 03	45,600 00	(33,987 34)	11,612 66
10/25/13	NEW PRESPECTIVE FUND	12 2390	38 03	465 45	(389 43)	76 02
11/1/13	NEW PRESPECTIVE FUND	0 8490	38 15	32 40	(27 01)	5 39
		1264 0220	151 1400	48,013 83	(36,054 58)	11,959 25
12/18/13	ORACLE CORP	300 0000	9 24	10,040 45	(2,771 99)	7,268 46
		300 0000	9 2400	10,040 45	(2,771 99)	7,268 46
12/5/13	PFIZER INCORPORATED	135 0000	31 20	4,212 12	(3,267 00)	945 12
		135 0000	31 2014	4,212 12	(3,267 00)	945 12
12/18/13	PHILLIPS 66	51 0000	30 43	3,659 78	(1,551 78)	2,108 00
12/23/13	PHILLIPS 66	0 5500	62 73	40 56	(35 05)	5 51
		51 5500	93 1600	3,700 34	(1,586 83)	2,113 51
3/7/2013	PIMCO COMMODITIES PLUS STRATEGY P	1363 2130	10 93	14,899 92	(15,527 00)	(627 08)
11/5/13	PIMCO COMMODITIES PLUS STRATEGY P	70 8140	10 40	736 47	(776 12)	(39 65)
		1434 0270	21 3300	15,636 39	(16,303 12)	(666 73)
3/7/13	PIMCO FDS UNCONSTR BD	76 8470	11 54	886 82	(901 42)	(14 60)
		76 8470	11 5400	886 82	(901 42)	(14 60)
8/22/2013	PIMCO UNCONSTRAINED BOND-P	1411 6070	11 14	15,725 30	(16,475 13)	(749 83)
		1411 6070	11 1400	15,725 30	(16,475 13)	(749 83)
9/6/13	POTASH CORP OF SASKATCHEWAN INC	60 0000	30 42	1,825 35	(2,611 20)	(785 85)
10/8/13	POTASH CORP OF SASKATCHEWAN INC	92 0000	32 20	2,962 46	(3,709 82)	(747 36)
		152 0000	62 6241	4,787 81	(6,321 02)	(1,533 21)
9/12/13	POWERSHARES DB COMMODITY INDEX TRACKING	247 0000	26 25	6,484 65	(7,431 34)	(946 69)
10/22/13	POWERSHARES DB COMMODITY INDEX TRACKING	1215 0000	26 11	31,729 04	(34,153 60)	(2,424 56)
11/22/13	POWERSHARES DB COMMODITY INDEX TRACKING	413 0000	25 55	10,553 91	(11,808 96)	(1,255 05)
11/22/13	POWERSHARES DB COMMODITY INDEX TRACKING	985 0000	25 55	25,170 93	(26,171 68)	(1,000 75)
12/17/13	POWERSHARES DB COMMODITY INDEX TRACKING	1053 0000	25 39	26,735 93	(27,935 90)	(1,199 97)
12/17/13	POWERSHARES DB COMMODITY INDEX TRACKING	2523 0000	25 39	64,059 62	(62,692 07)	1,367 55
		6436 0000	154 2560	164,734 08	(170,193 55)	(5,459 47)
7/29/13	PRIME OBLIGATION FUND	449311 5000	1 00	449,311 50	(449,311 50)	0 00
10/9/13	PRIME OBLIGATION FUND	617 7500	1 00	617 75	(617 75)	0 00
		449929 2500	2 0000	449,929 25	(449,929 25)	0 00
11/5/13	PRIMECAP ODYSSEY STOCK FUND	1303 2370	20 65	26,911 84	(25,087 32)	1,824 52
		1303 2370	20 6500	26,911 84	(25,087 32)	1,824 52
2/28/13	PROCTER & GAMBLE CO	55 0000	76 78	4,222 67	(3,761 45)	461 22
3/26/13	PROCTER & GAMBLE CO	1 0000	77 34	77 34	(68 52)	8 82
		56 0000	154 1175	4,300 01	(3,829 97)	470 04
3/26/13	QUESTAR CORP	14 0000	23 82	333 53	(282 71)	50 82
		14 0000	23 8245	333 53	(282 71)	50 82
6/24/13	RIDGEWORTH SIX FLOATING -I	352 5610	8 98	3,166 00	(3,183 52)	(17 52)
6/25/13	RIDGEWORTH SIX FLOATING -I	2551 4480	8 98	22,912 00	(23,052 07)	(140 07)
6/25/13	RIDGEWORTH SIX FLOATING -I	1749 4430	8 98	15,710 00	(15,797 47)	(87 47)
6/25/13	RIDGEWORTH SIX FLOATING -I	2224 8330	8 98	19,979 00	(19,985 97)	(6 97)
6/25/13	RIDGEWORTH SIX FLOATING -I	894 5430	8 98	8,033 00	(8,077 73)	(44 73)

The Foundatıon for Appalachıan Ohıo
Attachment Form 990 31-1620483
2013 Securıtıes Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
		7772 8280	44 9000	69,800 00	(70,096 76)	(296 76)
3/7/13	RS INTERNATIONAL GROWTH FUND	173 4650	18 93	3,283 69	(2,994 00)	289 69
		173 4650	18 9300	3,283 69	(2,994 00)	289 69
3/26/13	SANOFI ADR	7 0000	50 53	353 70	(292 22)	61 48
		7 0000	50 5300	353 70	(292 22)	61 48
4/2/13	SHS ARTISAN MID CAP	6 4350	40 39	259 93	(160 88)	99 05
4/2/13	SHS ARTISAN MID CAP	14 5040	40 39	585 81	(362 60)	223 21
4/2/13	SHS ARTISAN MID CAP	0 7390	40 39	29 83	(27 36)	2 47
4/2/13	SHS ARTISAN MID CAP	1 5550	40 39	62 81	(61 57)	1 24
4/2/13	SHS ARTISAN MID CAP	1 0050	40 39	40 60	(36 71)	3 89
4/2/13	SHS ARTISAN MID CAP	0 6090	40 39	24 60	(20 24)	4 36
4/2/13	SHS ARTISAN MID CAP	0 2350	40 39	9 49	(7 81)	1 68
4/2/13	SHS ARTISAN MID CAP	0 8170	40 39	32 98	(27 16)	5 82
4/2/13	SHS ARTISAN MID CAP	0 7170	40 39	28 96	(23 84)	5 12
7/2/13	SHS ARTISAN MID CAP	7 4870	42 61	319 02	(187 17)	131 85
7/2/13	SHS ARTISAN MID CAP	17 0410	42 61	726 10	(426 03)	300 07
7/2/13	SHS ARTISAN MID CAP	0 3470	42 61	14 77	(12 85)	1 92
7/2/13	SHS ARTISAN MID CAP	2 0550	42 61	87 57	(79 64)	7 93
7/2/13	SHS ARTISAN MID CAP	0 7020	42 61	29 92	(25 64)	4 28
7/2/13	SHS ARTISAN MID CAP	0 1760	42 61	7 52	(6 42)	1 10
7/2/13	SHS ARTISAN MID CAP	0 3620	42 61	15 41	(12 04)	3 37
7/2/13	SHS ARTISAN MID CAP	0 5130	42 61	21 84	(17 61)	4 23
7/2/13	SHS ARTISAN MID CAP	0 3470	42 61	14 79	(12 23)	2 56
10/2/13	SHS ARTISAN MID CAP	23 1560	49 37	1,143 21	(578 90)	564 31
10/2/13	SHS ARTISAN MID CAP	53 2780	49 37	2,630 35	(1,331 95)	1,298 40
10/2/13	SHS ARTISAN MID CAP	1 0140	49 37	50 06	(37 54)	12 52
10/2/13	SHS ARTISAN MID CAP	0 5200	49 37	25 65	(17 87)	7 78
10/2/13	SHS ARTISAN MID CAP	0 8760	49 37	43 23	(29 13)	14 10
10/2/13	SHS ARTISAN MID CAP	1 0140	49 37	50 06	(38 57)	11 49
		135 5040	1043 2200	6,254 51	(3,541 76)	2,712 75
7/2/13	SHS BROWN ADV GROWTH	0 9620	16 35	15 73	(12 56)	3 17
4/2/13	SHS BROWN ADV GROWTH	26 8700	15 89	426 96	(363 19)	63 77
4/2/13	SHS BROWN ADV GROWTH	60 7560	15 89	965 41	(821 66)	143 75
4/2/13	SHS BROWN ADV GROWTH	2 7500	15 89	43 69	(37 48)	6 21
4/2/13	SHS BROWN ADV GROWTH	6 5390	15 89	103 91	(83 51)	20 40
4/2/13	SHS BROWN ADV GROWTH	3 8730	15 89	61 54	(51 66)	9 88
4/2/13	SHS BROWN ADV GROWTH	2 2060	15 89	35 06	(27 06)	8 00
4/2/13	SHS BROWN ADV GROWTH	1 0170	15 89	16 16	(13 18)	2 98
4/2/13	SHS BROWN ADV GROWTH	3 1130	15 89	49 47	(40 34)	9 13
4/2/13	SHS BROWN ADV GROWTH	2 6750	15 89	42 51	(34 67)	7 84
7/2/13	SHS BROWN ADV GROWTH	8 8090	16 35	144 02	(115 05)	28 97
7/2/13	SHS BROWN ADV GROWTH	19 7170	16 35	322 38	(257 50)	64 88
7/2/13	SHS BROWN ADV GROWTH	0 4730	16 35	7 74	(6 45)	1 29
7/2/13	SHS BROWN ADV GROWTH	2 8280	16 35	46 23	(38 04)	8 19
7/2/13	SHS BROWN ADV GROWTH	0 2400	16 35	3 92	(3 23)	0 69
7/2/13	SHS BROWN ADV GROWTH	0 4980	16 35	8 15	(6 45)	1 70
7/2/13	SHS BROWN ADV GROWTH	0 7030	16 35	11 49	(9 45)	2 04
7/2/13	SHS BROWN ADV GROWTH	0 4740	16 35	7 75	(6 38)	1 37
10/2/13	SHS BROWN ADV GROWTH	29 9820	17 64	528 89	(391 56)	137 33
10/2/13	SHS BROWN ADV GROWTH	69 2950	17 64	1,222 36	(904 99)	317 37
10/2/13	SHS BROWN ADV GROWTH	1 3880	17 64	24 49	(18 92)	5 57
10/2/13	SHS BROWN ADV GROWTH	0 7100	17 64	12 53	(9 55)	2 98
10/2/13	SHS BROWN ADV GROWTH	0 7770	17 64	13 71	(10 07)	3 64

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
10/2/13	SHS BROWN ADV GROWTH	1 3870	17 64	24 46	(19 77)	4 69
		248 0420	396 0000	4,138 56	(3,282 72)	855 84
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0770	11 39	0 88	(0 86)	0 02
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0940	11 39	1 07	(1 05)	0 02
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0490	11 39	0 56	(0 56)	0 00
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0830	11 39	0 94	(0 94)	0 00
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0760	11 39	0 86	(0 86)	0 00
1/8/13	SHS FEDERATED TOTAL RETURN ND FD	0 0340	11 39	0 39	(0 38)	0 01
1/14/13	SHS FEDERATED TOTAL RETURN ND FD	6 9470	11 41	79 26	(79 06)	0 20
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	7 5590	11 37	85 95	(85 14)	0 81
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	35 5250	11 37	403 92	(398 40)	5 52
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	5 0550	11 37	57 48	(57 83)	(0 35)
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	5 4110	11 37	61 52	(61 04)	0 48
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	10 0890	11 37	114 71	(113 81)	0 90
4/2/13	SHS FEDERATED TOTAL RETURN ND FD	7 6080	11 37	86 50	(85 82)	0 68
9/23/13	SHS FEDERATED TOTAL RETURN ND FD	4763 6860	10 95	52,162 36	(52,242 01)	(79 65)
9/23/13	SHS FEDERATED TOTAL RETURN ND FD	0 0070	10 95	0 08	(0 08)	0 00
		4842 3000	169 8700	53,056 48	(53,127 84)	(71 36)
4/2/13	SHS INVESCO INT'L GROWTH	0 0590	30 24	1 77	(1 72)	0 05
4/2/13	SHS INVESCO INT'L GROWTH	0 4050	30 24	12 25	(11 78)	0 47
4/2/13	SHS INVESCO INT'L GROWTH	0 0650	30 24	1 96	(1 89)	0 07
10/2/13	SHS INVESCO INT'L GROWTH	16 5620	33 11	548 36	(492 85)	55 51
10/2/13	SHS INVESCO INT'L GROWTH	38 1680	33 11	1,263 75	(1,136 61)	127 14
10/2/13	SHS INVESCO INT'L GROWTH	0 7400	33 11	24 51	(21 64)	2 87
10/2/13	SHS INVESCO INT'L GROWTH	0 3790	33 11	12 54	(11 09)	1 45
10/2/13	SHS INVESCO INT'L GROWTH	0 5560	33 11	18 40	(16 70)	1 70
10/2/13	SHS INVESCO INT'L GROWTH	0 7390	33 11	24 48	(21 63)	2 85
		57 6730	289 3800	1,908 02	(1,715 91)	192 11
1/14/13	SHS PIMCO TOTAL RETURN	0 5210	11 24	5 86	(5 62)	0 24
1/14/13	SHS PIMCO TOTAL RETURN	1 7540	11 24	19 71	(19 47)	0 24
1/14/13	SHS PIMCO TOTAL RETURN	0 0530	11 24	0 60	(0 57)	0 03
4/2/13	SHS PIMCO TOTAL RETURN	0 7120	11 25	8 01	(8 00)	0 01
10/17/13	SHS PIMCO TOTAL RETURN	0 0010	10 84	0 01	(0 01)	0 00
		3 0410	55 8100	34 19	(33 67)	0 52
4/2/13	SHS VANGUARD EQUITY INCOME	10 2680	55 85	573 46	(492 85)	80 61
4/2/13	SHS VANGUARD EQUITY INCOME	23 3020	55 85	1,301 44	(1,119 10)	182 34
4/2/13	SHS VANGUARD EQUITY INCOME	0 8990	55 85	50 21	(36 55)	13 66
4/2/13	SHS VANGUARD EQUITY INCOME	2 5190	55 85	140 69	(130 10)	10 59
4/2/13	SHS VANGUARD EQUITY INCOME	1 3320	55 85	74 41	(62 97)	11 44
4/2/13	SHS VANGUARD EQUITY INCOME	0 6900	55 85	38 55	(34 09)	4 46
4/2/13	SHS VANGUARD EQUITY INCOME	0 4050	55 85	22 60	(18 81)	3 79
4/2/13	SHS VANGUARD EQUITY INCOME	1 0550	55 85	58 94	(49 11)	9 83
4/2/13	SHS VANGUARD EQUITY INCOME	0 8780	55 85	49 02	(40 81)	8 21
7/2/13	SHS VANGUARD EQUITY INCOME	6 6090	57 70	381 34	(302 49)	78 85
7/2/13	SHS VANGUARD EQUITY INCOME	15 0250	57 70	866 96	(687 67)	179 29
7/2/13	SHS VANGUARD EQUITY INCOME	0 3130	57 70	18 08	(14 14)	3 94
7/2/13	SHS VANGUARD EQUITY INCOME	1 8340	57 70	105 82	(93 43)	12 39
7/2/13	SHS VANGUARD EQUITY INCOME	0 6300	57 70	36 36	(28 83)	7 53
7/2/13	SHS VANGUARD EQUITY INCOME	0 1610	57 70	9 31	(7 53)	1 78
7/2/13	SHS VANGUARD EQUITY INCOME	0 3220	57 70	18 59	(14 95)	3 64
7/2/13	SHS VANGUARD EQUITY INCOME	0 4620	57 70	26 63	(21 49)	5 14
7/2/13	SHS VANGUARD EQUITY INCOME	0 3130	57 70	18 05	(14 56)	3 49
		67 0170	1021 9500	3,790 46	(3,169 48)	620 98

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
1/14/13	SHS VANGUARD S/T INVEST GR-ADM	0 4950	10 84	5 37	(5 32)	0 05
1/14/13	SHS VANGUARD S/T INVEST GR-ADM	6 0840	10 84	65 95	(66 32)	(0 37)
1/14/13	SHS VANGUARD S/T INVEST GR-ADM	0 0170	10 84	0 18	(0 18)	0 00
4/2/13	SHS VANGUARD S/T INVEST GR-ADM	1 6300	10 80	17 60	(17 50)	0 10
4/2/13	SHS VANGUARD S/T INVEST GR-ADM	0 1500	10 80	1 62	(1 61)	0 01
9/23/13	SHS VANGUARD S/T INVEST GR-ADM	7337 9130	10 69	78,442 29	(78,223 05)	219 24
9/23/13	SHS VANGUARD S/T INVEST GR-ADM	0 0050	10 69	0 05	(0 05)	0 00
		7346 2940	75 5000	78,533 06	(78,314 03)	219 03
1/14/13	SHS VANGUARD SHORT TERM INV GRADE BOND	0 0090	10 84	0 10	(0 10)	0 00
		0 0090	10 8400	0 10	(0 10)	0 00
4/2/13	SHS WESMARK BALANCED	8 8250	11 54	101 84	(93 15)	8 69
4/2/13	SHS WESMARK BALANCED	5 0420	11 54	58 19	(53 68)	4 51
4/2/13	SHS WESMARK BALANCED	10 6220	11 54	122 58	(119 93)	2 65
4/2/13	SHS WESMARK BALANCED	5 8280	11 54	67 26	(62 46)	4 80
4/2/13	SHS WESMARK BALANCED	8 2710	11 54	95 45	(88 21)	7 24
9/23/13	SHS WESMARK BALANCED	1682 4520	11 74	19,751 99	(17,817 49)	1,934 50
		1721 0400	69 4400	20,197 31	(18,234 92)	1,962 39
1/8/13	SHS WESMARK GOVERNMENT BOND FD	0 1260	10 30	1 30	(1 28)	0 02
1/8/13	SHS WESMARK GOVERNMENT BOND FD	0 0680	10 30	0 70	(0 70)	0 00
1/8/13	SHS WESMARK GOVERNMENT BOND FD	0 1240	10 30	1 27	(1 27)	0 00
1/8/13	SHS WESMARK GOVERNMENT BOND FD	0 1240	10 30	1 28	(1 27)	0 01
1/8/13	SHS WESMARK GOVERNMENT BOND FD	0 0560	10 30	0 56	(0 56)	0 00
1/14/13	SHS WESMARK GOVERNMENT BOND FD	1 5840	10 30	16 31	(16 38)	(0 07)
1/14/13	SHS WESMARK GOVERNMENT BOND FD	6 9570	10 30	71 66	(71 30)	0 36
1/14/13	SHS WESMARK GOVERNMENT BOND FD	4 4440	10 30	45 77	(45 95)	(0 18)
1/14/13	SHS WESMARK GOVERNMENT BOND FD	0 2400	10 30	2 48	(2 48)	0 00
2/20/13	SHS WESMARK GOVERNMENT BOND FD	97 3710	10 24	1,000 00	(988 75)	11 25
2/20/13	SHS WESMARK GOVERNMENT BOND FD	87 6340	10 27	900 00	(903 30)	(3 30)
2/20/13	SHS WESMARK GOVERNMENT BOND FD	97 3700	10 27	1,000 00	(1,000 03)	(0 03)
2/20/13	SHS WESMARK GOVERNMENT BOND FD	97 3710	10 27	1,000 00	(1,000 04)	(0 04)
2/20/13	SHS WESMARK GOVERNMENT BOND FD	97 3710	10 27	1,000 00	(1,002 26)	(2 26)
9/23/13	SHS WESMARK GOVERNMENT BOND FD	6240 8790	9 89	61,722 29	(63,765 03)	(2,042 74)
9/23/13	SHS WESMARK GOVERNMENT BOND FD	0 0030	9 89	0 03	(0 03)	0 00
10/17/13	SHS WESMARK GOVERNMENT BOND FD	0 0010	9 91	0 01	(0 01)	0 00
10/17/13	SHS WESMARK GOVERNMENT BOND FD	0 0050	9 91	0 05	(0 05)	0 00
		6731 7280	183 6200	66,763 71	(68,800 69)	(2,036 98)
4/2/13	SHS WESMARK GROWTH FUND	179 4130	14 99	2,689 40	(2,517 16)	172 24
4/2/13	SHS WESMARK GROWTH FUND	407 2500	14 99	6,104 68	(4,434 95)	1,669 73
4/2/13	SHS WESMARK GROWTH FUND	15 5620	14 99	233 28	(212 89)	20 39
4/2/13	SHS WESMARK GROWTH FUND	23 1360	14 99	346 81	(324 60)	22 21
4/2/13	SHS WESMARK GROWTH FUND	11 9050	14 99	178 46	(155 68)	22 78
4/2/13	SHS WESMARK GROWTH FUND	7 0910	14 99	106 29	(90 69)	15 60
4/2/13	SHS WESMARK GROWTH FUND	18 3100	14 99	274 47	(234 18)	40 29
4/2/13	SHS WESMARK GROWTH FUND	15 1980	14 99	227 82	(194 38)	33 44
4/2/13	SHS WESMARK GROWTH FUND	44 0330	14 99	660 05	(602 37)	57 68
7/2/13	SHS WESMARK GROWTH FUND	93 4750	15 53	1,451 66	(1,311 45)	140 21
7/2/13	SHS WESMARK GROWTH FUND	212 1000	15 53	3,293 92	(2,683 06)	610 86
7/2/13	SHS WESMARK GROWTH FUND	4 4890	15 53	69 71	(61 41)	8 30
7/2/13	SHS WESMARK GROWTH FUND	26 4540	15 53	410 83	(361 89)	48 94
7/2/13	SHS WESMARK GROWTH FUND	9 0540	15 53	140 61	(127 03)	13 58
7/2/13	SHS WESMARK GROWTH FUND	2 2920	15 53	35 60	(29 84)	5 76
7/2/13	SHS WESMARK GROWTH FUND	4 6520	15 53	72 25	(59 50)	12 75

The Foundation for Appalachian Ohio

Attachment Form 990 31-1620483

2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
7/2/13	SHS WESMARK GROWTH FUND	6 6240	15 53	102 87	(85 87)	17 00
7/2/13	SHS WESMARK GROWTH FUND	4 4860	15 53	69 67	(57 89)	11 78
10/2/13	SHS WESMARK GROWTH FUND	75 2270	16 50	1,241 25	(1,055 44)	185 81
10/2/13	SHS WESMARK GROWTH FUND	174 9930	16 50	2,887 38	(2,213 66)	673 72
10/2/13	SHS WESMARK GROWTH FUND	3 7500	16 50	61 87	(51 30)	10 57
10/2/13	SHS WESMARK GROWTH FUND	1 9250	16 50	31 76	(25 07)	6 69
10/2/13	SHS WESMARK GROWTH FUND	0 6390	16 50	10 54	(8 17)	2 37
10/2/13	SHS WESMARK GROWTH FUND	3 7480	16 50	61 85	(51 05)	10 80
		1345 8060	373 6800	20,763 03	(16,949 53)	3,813 50
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	75 0370	10 99	824 66	(773 32)	51 34
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	171 4230	10 99	1,883 94	(1,484 52)	399 42
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	4 6910	10 99	51 55	(54 51)	(2 96)
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	18 6620	10 99	205 10	(216 85)	(11 75)
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	7 8960	10 99	86 78	(90 80)	(4 02)
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	3 0340	10 99	33 34	(31 14)	2 20
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	3 1550	10 99	34 67	(32 70)	1 97
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	6 0450	10 99	66 44	(62 35)	4 09
4/2/13	SHS WESMARK SMALL COMPANY GROWTH	4 6220	10 99	446 84	(443 61)	3 23
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	5 4630	11 38	62 17	(55 61)	6 56
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	12 1130	11 38	137 85	(104 90)	32 95
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 3100	11 38	3 53	(3 60)	(0 07)
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	1 9020	11 38	21 65	(22 10)	(0 45)
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 6400	11 38	7 28	(7 36)	(0 08)
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 1540	11 38	1 75	(1 50)	0 25
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 3360	11 38	3 82	(3 45)	0 37
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 4650	11 38	5 29	(4 77)	0 52
7/2/13	SHS WESMARK SMALL COMPANY GROWTH	0 3110	11 38	3 54	(3 19)	0 35
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	48 0050	12 74	611 58	(417 73)	193 85
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	110 5840	12 74	1,408 84	(957 00)	451 84
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	2 1350	12 74	27 20	(24 81)	2 39
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	1 0930	12 74	13 92	(10 67)	3 25
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	1 6620	12 74	21 17	(17 05)	4 12
10/2/13	SHS WESMARK SMALL COMPANY GROWTH	2 1340	12 74	27 19	(21 90)	5 29
		481 8720	277 7700	5,990 10	(4,845 44)	1,144 66
1/8/13	SHS WESTMARK GOVERNMENT BOND	0 1390	10 30	1 43	(1 41)	0 02
2/20/13	SHS WESTMARK GOVERNMENT BOND	97 3710	10 27	1,000 00	(987 52)	12 48
		97 5100	20 5700	1,001 43	(988 93)	12 50
9/25/13	SMALL CAP WORLD FUND	37 3670	48 90	1,827 27	(1,558 90)	268 37
10/22/13	SMALL CAP WORLD FUND	489 2600	50 28	24,600 00	(18,088 37)	6,511 63
10/25/13	SMALL CAP WORLD FUND	8 9980	50 25	452 40	(375 39)	77 01
11/1/13	SMALL CAP WORLD FUND	0 6230	50 10	31 20	(25 99)	5 21
		536 2480	199 5300	26,910 87	(20,048 65)	6,862 22
6/5/13	SPDR GOLD TRUST	2 0000	135 77	271 54	(306 08)	(34 54)
6/5/13	SPDR GOLD TRUST	1 0000	135 56	135 56	(153 04)	(17 48)
6/5/13	SPDR GOLD TRUST	2 0000	135 39	270 78	(306 07)	(35 29)
6/5/13	SPDR GOLD TRUST	4 0000	135 41	541 62	(612 15)	(70 53)
6/10/13	SPDR GOLD TRUST	9 0000	135 77	1,221 95	(1,547 24)	(325 29)
6/10/13	SPDR GOLD TRUST	7 0000	135 57	948 98	(1,203 41)	(254 43)
6/10/13	SPDR GOLD TRUST	10 0000	135 39	1,353 94	(1,719 16)	(365 22)
6/10/13	SPDR GOLD TRUST	17 0000	135 40	2,301 87	(2,733 80)	(431 93)
6/10/13	SPDR GOLD TRUST	11 0000	135 77	1,493 49	(1,683 42)	(189 93)
6/10/13	SPDR GOLD TRUST	9 0000	135 57	1,220 12	(1,368 03)	(147 91)
6/10/13	SPDR GOLD TRUST	13 0000	135 39	1,760 12	(1,959 23)	(199 11)

The Foundation for Appalachian Ohio
Attachment Form 990 31-1620483
2013 Securities Sold by Date

Date	Type	Shares	Price Per Unit	Proceeds	Cost	Gain (Loss)
6/10/13	SPDR GOLD TRUST	22 0000	135 40	2,978 89	(3,315 62)	(336 73)
6/10/13	SPDR GOLD TRUST	4 0000	135 77	543 09	(612 15)	(69 06)
6/10/13	SPDR GOLD TRUST	3 0000	135 57	406 70	(459 11)	(52 41)
6/10/13	SPDR GOLD TRUST	5 0000	135 39	676 97	(765 19)	(88 22)
6/10/13	SPDR GOLD TRUST	9 0000	135 40	1,218 64	(1,377 35)	(158 71)
6/11/13	SPDR GOLD TRUST	2 0000	135 18	270 36	(332 30)	(61 94)
6/11/13	SPDR GOLD TRUST	6 0000	135 34	812 06	(996 90)	(184 84)
6/11/13	SPDR GOLD TRUST	6 0000	135 24	811 44	(996 90)	(185 46)
6/11/13	SPDR GOLD TRUST	20 0000	135 49	2,709 78	(3,323 00)	(613 22)
6/12/13	SPDR GOLD TRUST	4 0000	135 42	541 66	(664 60)	(122 94)
10/24/13	SPDR GOLD TRUST	54 0000	130 07	7,023 74	(8,972 10)	(1,948 36)
10/24/13	SPDR GOLD TRUST	126 0000	130 07	16,388 71	(12,941 98)	3,446 73
10/25/13	SPDR GOLD TRUST	21 0000	129 89	2,727 62	(3,411 95)	(684 33)
10/25/13	SPDR GOLD TRUST	48 0000	129 89	6,234 58	(4,345 92)	1,888 66
		415 0000	3365 1200	54,864 21	(56,106 70)	(1,242 49)
3/7/13	SPDR S&P 500 ETF TRUST	21 0000	154 67	3,247 99	(2,805 06)	442 93
3/7/2013	SPDR S&P 500 ETF TRUST	8 0000	154 67	1,237 33	(1,131 92)	105 41
3/7/2013	SPDR S&P 500 ETF TRUST	8 0000	154 67	1,237 33	(1,196 40)	40 93
3/7/2013	SPDR S&P 500 ETF TRUST	3 0000	154 70	464 11	(386 88)	77 23
6/5/13	SPDR S&P 500 ETF TRUST	145 0000	165 57	24,007 07	(21,684 75)	2,322 32
		185 0000	784 2670	30,193 83	(27,205 01)	2,988 82
3/7/2013	T ROWE PRICE INSTL INCOME FDS FLTG RATE FD	2022 2730	10 26	20,748 52	(20,428 00)	320 52
3/7/13	T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA	87 2300	16 97	1,480 29	(1,257 79)	222 50
		2109 5030	27 2300	22,228 81	(21,685 79)	543 02
10/18/13	T ROWE PRICE NEW ASIA	186 9680	16 98	3,174 72	(2,349 94)	824 78
11/5/13	T ROWE PRICE NEW ASIA	27 3920	16 63	455 53	(465 67)	(10 14)
9/24/13	T ROWE PRICE NEW ASIA	919 8950	16 47	15,150 67	(15,610 62)	(459 95)
		1134 2550	50 0800	18,780 92	(18,426 23)	354 69
7/19/13	T ROWE PRICE OVERSEAS STOCK	1478 6870	9 29	13,737 00	(13,101 17)	635 83
7/19/13	T ROWE PRICE OVERSEAS STOCK	1399 0310	9 29	12,997 00	(12,311 47)	685 53
9/27/13	T ROWE PRICE OVERSEAS STOCK	1511 5780	9 76	14,753 00	(13,392 58)	1,360 42
11/5/13	T ROWE PRICE OVERSEAS STOCK	1467 7000	10 00	14,677 00	(13,007 00)	1,670 00
9/27/13	T ROWE PRICE OVERSEAS STOCK	1542 4180	9 76	15,054 00	(13,370 18)	1,683 82
		7399 4140	48 1000	71,218 00	(65,182 40)	6,035 60
6/6/13	TEVA PHARM IND LTD ADR	207 0000	38 28	7,731 50	(8,184 79)	(453 29)
		207 0000	38 2848	7,731 50	(8,184 79)	(453 29)
3/26/13	TRAVELERS COS INC	7 0000	83 75	586 21	(457 59)	128 62
		7 0000	83 7453	586 21	(457 59)	128 62
3/26/13	UNION PACIFIC	1 0000	139 01	139 01	(122 88)	16 13
		1 0000	139 0101	139 01	(122 88)	16 13
6/24/13	US BANCORP NEW CUSIP	65 0000	33 84	2,300 34	(2,199 30)	101 04
		65 0000	33 8353	2,300 34	(2,199 30)	101 04
3/7/2013	VANGUARD FI SECS F INTR TRM CP PT FUND	2657 0880	10 24	27,208 58	(23,722 86)	3,485 72
		2657 0880	10 2400	27,208 58	(23,722 86)	3,485 72
8/1/2013	VANGUARD FTSE EMERGING MARKETS EFT	232 0000	39 14	9,079 21	(10,696 57)	(1,617 36)
8/1/2013	VANGUARD FTSE EMERGING MARKETS EFT	189 0000	39 14	7,396 42	(8,304 66)	(908 24)
8/1/2013	VANGUARD FTSE EMERGING MARKETS EFT	233 0000	39 14	9,118 34	(10,742 67)	(1,624 33)

The Foundatıon for Appalachıan Ohıo
Attachment Form 990 31-1620483
2013 Securıtıes Sold by Date

Date	Type	Shares	Prıce Per Unıt	Proceeds	Cost	Gaın (Loss)
7/30/13	VANGUARD FTSE EMERGING MARKETS ETF	34 0000	39 59	1,334 79	(1,595 47)	(260 68)
7/30/13	VANGUARD FTSE EMERGING MARKETS ETF	87 0000	39 26	3,415 47	(4,011 21)	(595 74)
7/31/13	VANGUARD FTSE EMERGING MARKETS ETF	189 0000	39 16	7,396 42	(8,304 66)	(908 24)
		964 0000	235 4080	37,740 65	(43,655 24)	(5,914 59)
2/22/13	VANGUARD INTERMEDIATE	45 0000	87 43	3,933 27	(3,885 08)	48 19
12/10/13	VANGUARD INTERMEDIATE	100 0000	83 04	8,296 35	(8,313 56)	(17 21)
		145 0000	170 4700	12,229 62	(12,198 64)	30 98
2/22/13	VANGUARD SHORT-TERM BOND ETF	74 0000	80 94	5,988 74	(6,032 20)	(43 46)
		74 0000	80 9446	5,988 74	(6,032 20)	(43 46)
3/26/13	VERIZON COMMUNICATIONS	1 0000	49 45	49 45	(44 13)	5 32
		1 0000	49 4500	49 45	(44 13)	5 32
3/7/13	VICTORY PORTFOLIOS DIVRS STK CL I	191 0200	18 14	3,465 10	(3,063 96)	401 14
		191 0200	18 1400	3,465 10	(3,063 96)	401 14
11/5/13	VIRTUS EMERGING MKTS OPPOR	48 1230	9 86	474 49	(500 00)	(25 51)
3/7/2013	VIRTUS INSIGHT TR VIRTUS EMRG FD	1487 2730	10 33	15,363 53	(15,542 00)	(178 47)
		1535 3960	20 1900	15,838 02	(16,042 00)	(203 98)
10/4/13	VODAFONE GROUP PLC	125 0000	35 55	4,443 75	(3,531 72)	912 03
		125 0000	35 5525	4,443 75	(3,531 72)	912 03
10/21/13	WASHINGTON MUTUAL INVS FUND	531 4910	37 63	20,000 00	(16,464 05)	3,535 95
10/21/13	WASHINGTON MUTUAL INVS FUND	265 7450	37 63	10,000 00	(7,871 67)	2,128 33
10/22/13	WASHINGTON MUTUAL INVS FUND	1596 2440	38 34	61,200 00	(45,204 53)	15,995 47
		2393 4800	113 6000	91,200 00	(69,540 25)	21,659 75
5/1/13	WESTERN UNION CO	73 0000	15 45	1,128 08	(1,332 64)	(204 56)
8/1/13	WESTERN UNION CO	117 0000	18 26	2,152 80	(2,135 87)	16 93
8/1/2013	WESTERN UNION CO	70 0000	14 68	1,288 00	(1,027 41)	260 69
		260 0000	48 3847	4,568 88	(4,495 92)	73 06
				3,868,843 05	-3,553,824 85	315,018 20