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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

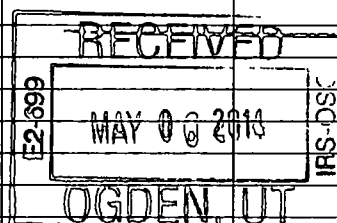
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OMB No 1545-0052

**2013****Open to Public Inspection****For calendar year 2013 or tax year beginning , 2013, and ending , 20**

Name of foundation <b>THE EARLY FAMILY FOUNDATION 23-04878</b>		<b>A Employer identification number</b> 31-1619812
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	<b>B Telephone number (see instructions)</b> 312-630-6000
City or town, state or province, country, and ZIP or foreign postal code <b>THE NORTHERN TRUST COMPANY</b> P.O. BOX 803878 CHICAGO, IL 60680		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G Check all that apply:</b>		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>H Check type of organization.</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 961,259.</b>		
<b>J Accounting method:</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	24,054	23,966		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	52,140			
b	Gross sales price for all assets on line 6a 328,956				
7	Capital gain net income (from Part IV, line 2)		52,140		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	-671			STMT 2
12	<b>Total. Add lines 1 through 11</b>	75,523	76,106		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 3	2,000	1,000	NONE	1,000
c	Other professional fees (attach schedule) STMT 4	4,963	4,963		
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 5	1,394	442		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule) STMT 6	15			15
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	8,372	6,405	NONE	1,015
25	Contributions, gifts, grants paid	86,000			86,000
26	<b>Total expenses and disbursements. Add lines 24 and 25</b>	94,372	6,405	NONE	87,015
27	<b>Subtract line 26 from line 12</b>				
a	Excess of revenue over expenses and disbursements	-18,849			
b	Net investment income (if negative, enter -0-)		69,701		
c	Adjusted net income (if negative, enter -0-)				



ENVELOPE opened APR 29 2014

EXPENSES Operating and Administrative MAY 12 2014

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing . . . . .			NONE	
	2 Savings and temporary cash investments . . . . .		33,809.	48,623.	48,623.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			NONE	
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			NONE	
	5 Grants receivable . . . . .			NONE	
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			NONE	
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE		NONE	
	8 Inventories for sale or use . . . . .			NONE	
	9 Prepaid expenses and deferred charges . . . . .			NONE	
	10 a Investments - U S and state government obligations (attach schedule) . .			NONE	
	b Investments - corporate stock (attach schedule) . . . . .		378,875.	421,312.	536,510.
	c Investments - corporate bonds (attach schedule) . . . . .		352,323.	341,883.	339,831.
	11 Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
	12 Investments - mortgage loans . . . . .			NONE	
	13 Investments - other (attach schedule) . . . . .		102,610.	36,950.	36,295.
	14 Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			NONE	
	15 Other assets (describe ▶ )			NONE	
	16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		867,617.	848,768.	961,259.
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .			NONE	
	18 Grants payable . . . . .			NONE	
	19 Deferred revenue . . . . .			NONE	
	20 Loans from officers, directors, trustees, and other disqualified persons .			NONE	
	21 Mortgages and other notes payable (attach schedule) . . . . .			NONE	
	22 Other liabilities (describe ▶ )			NONE	
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ▶</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24 Unrestricted . . . . .				
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, . . . ▶</b> <input checked="" type="checkbox"/> <b>check here and complete lines 27 through 31.</b>				
	27 Capital stock, trust principal, or current funds . . . . .		867,617.	848,768.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29 Retained earnings, accumulated income, endowment, or other funds . .				
	30 <b>Total net assets or fund balances</b> (see instructions) . . . . .		867,617.	848,768.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		867,617.	848,768.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	867,617.
2 Enter amount from Part I, line 27a . . . . .	2	-18,849.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3 . . . . .	4	848,768.
5 Decreases not included in line 2 (itemize) ▶	5	
6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . .	6	848,768.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 328,935.		276,795.	52,140.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>			52,140.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>			<b>2</b>	52,140.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>			<b>3</b>		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	93,024.	922,019.	0.100892
2011	97,578.	911,476.	0.107055
2010	70,515.	861,594.	0.081842
2009	62,515.	762,114.	0.082028
2008	100,515.	849,000.	0.118392
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.490209
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.098042
<b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>			<b>4</b> 919,735.
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 90,173.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 697.
<b>7 Add lines 5 and 6</b>			<b>7</b> 90,870.
<b>8 Enter qualifying distributions from Part XII, line 4</b>			<b>8</b> 87,015.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter. . . . . (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,394.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	1,394.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	1,394.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	991.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	991.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	403.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> NORTHERN TRUST Telephone no. <input type="checkbox"/> (312) 630-6000			
Located at <input type="checkbox"/> 50 SOUTH LA SALLE ST, CHICAGO, IL ZIP+4 <input type="checkbox"/> 60675				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	<input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year . . . . . <input type="checkbox"/> 15				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/>	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7				

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	933,741.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	NONE
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	933,741.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	933,741.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	14,006.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	919,735.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	45,987.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	45,987.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	1,394.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,394.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	44,593.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	44,593.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	44,593.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	87,015.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	87,015.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	87,015.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				44,593.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			NONE	
<b>b</b> Total for prior years: 20 <u>11</u> , 20 <u>      </u> , 20 <u>      </u>		NONE		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .	61,884.			
<b>b</b> From 2009 . . . . .	24,743.			
<b>c</b> From 2010 . . . . .	29,134.			
<b>d</b> From 2011 . . . . .	53,878.			
<b>e</b> From 2012 . . . . .	48,905.			
<b>f</b> Total of lines 3a through e . . . . .	218,544.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>87,015.</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2013 distributable amount . . . . .				44,593.
<b>e</b> Remaining amount distributed out of corpus . .	42,422.			
<b>5</b> Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	260,966.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .	NONE			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	61,884.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	199,082.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . . . .	24,743.			
<b>b</b> Excess from 2010 . . . . .	29,134.			
<b>c</b> Excess from 2011 . . . . .	53,878.			
<b>d</b> Excess from 2012 . . . . .	48,905.			
<b>e</b> Excess from 2013 . . . . .	42,422.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

PATRICK J. EARLY

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
CATHOLIC CHARITIES * CHICAGO IL	NONE	PUBLIC	GENERAL	15,000.
HOLY NAME SCHOOL * OMAHA NE	NONE	PUBLIC	GENERAL	30,000.
ALL SAINTS CATHOLIC ACADEMY * NAPERVILLE IL	NONE	PUBLIC	GENERAL	10,000.
SAINT PETER & PAUL PARISH * NAPERVILLE IL	NONE	PUBLIC	GENERAL	5,000.
COLORADO SCHOOL OF MINES FOUNDATION * GOLDEN CO	NONE	PUBLIC	GENERAL	25,000.
JOLIET DIOCESE EDUCATION FDN * JOLIET IL	NONE	PUBLIC	GENERAL	1,000.
<b>Total</b>			<b>3a</b>	<b>86,000.</b>
<b>b Approved for future payment</b>				
<b>Total</b>			<b>3b</b>	



		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash . . . . .	<b>1a(1)</b>	X
	(2) Other assets . . . . .	<b>1a(2)</b>	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>	X
	(2) Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>	X
	(3) Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>	X
	(4) Reimbursement arrangements . . . . .	<b>1b(4)</b>	X
	(5) Loans or loan guarantees . . . . .	<b>1b(5)</b>	X
	(6) Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	<b>1c</b>	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. My preparation of this return is based on all information of which I have any knowledge.

Signature of officer or trustee

Date:

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ► THE NORTHERN TRUST COMPANY

Firm's EIN	▶ 36-1561860
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Firm's address ► P.O. BOX 803878

60680

Phone no

Form **990-PF** (2013)

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No 1545-0092

**2013**

Name of estate or trust

THE EARLY FAMILY FOUNDATION 23-04878

Employer identification number

31-1619812

**Note:** Form 5227 filers need to complete **only** Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .				
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .	42,646.	40,673.	17.	1,990.
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .			<b>4</b>	
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .			<b>5</b>	
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .			<b>6</b> ( )	
<b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶			<b>7</b>	1,990.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .				
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .	277,048.	238,384.	74.	38,738.
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .			<b>11</b>	
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .			<b>12</b>	
<b>13</b> Capital gain distributions. . . . .			<b>13</b>	11,412.
<b>14</b> Gain from Form 4797, Part I. . . . .			<b>14</b>	
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .			<b>15</b> ( )	
<b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶			<b>16</b>	50,150.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

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**Part III Summary of Parts I and II****Caution:** Read the instructions before completing this part.

		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>17</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>17</b>		1,990.
<b>18</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>18a</b>		50,150.
b	Unrecaptured section 1250 gain (see line 18 of the wrkst.) . . . .	<b>18b</b>		1.
c	28% rate gain . . . . .	<b>18c</b>		8,609.
<b>19</b>	<b>Total net gain or (loss).</b> Combine lines 17 and 18a. . . . . ▶	<b>19</b>		52,140.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

**20** Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:  
a The loss on line 19, column (3) or **b** \$3,000 . . . . . **20** ( )

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

<b>21</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	<b>21</b>			
<b>22</b>	Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero. . . . . <b>22</b>				
<b>23</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . <b>23</b>				
<b>24</b>	Add lines 22 and 23 . . . . . <b>24</b>				
<b>25</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ <b>25</b>				
<b>26</b>	Subtract line 25 from line 24. If zero or less, enter -0- . . . . . <b>26</b>				
<b>27</b>	Subtract line 26 from line 21. If zero or less, enter -0- . . . . . <b>27</b>				
<b>28</b>	Enter the <b>smaller</b> of the amount on line 21 or \$2,450 . . . . . <b>28</b>				
<b>29</b>	Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . . <b>29</b>				
<b>30</b>	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶ <b>30</b>				
<b>31</b>	Enter the smaller of line 21 or line 26 . . . . . <b>31</b>				
<b>32</b>	Subtract line 30 from line 26. . . . . <b>32</b>				
<b>33</b>	Enter the <b>smaller</b> of line 21 or \$11,950 . . . . . <b>33</b>				
<b>34</b>	Add lines 27 and 30 . . . . . <b>34</b>				
<b>35</b>	Subtract line 34 from line 33. If zero or less, enter -0- . . . . . <b>35</b>				
<b>36</b>	Enter the <b>smaller</b> of line 32 or line 35 . . . . . <b>36</b>				
<b>37</b>	Multiply line 36 by 15%. . . . . ▶ <b>37</b>				
<b>38</b>	Enter the amount from line 31 . . . . . <b>38</b>				
<b>39</b>	Add lines 30 and 36 . . . . . <b>39</b>				
<b>40</b>	Subtract line 39 from line 38. If zero or less, enter -0- . . . . . <b>40</b>				
<b>41</b>	Multiply line 40 by 20% . . . . . ▶ <b>41</b>				
<b>42</b>	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . <b>42</b>				
<b>43</b>	Add lines 37, 41, and 42 . . . . . <b>43</b>				
<b>44</b>	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . <b>44</b>				
<b>45</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶ <b>45</b>				

Schedule D (Form 1041) 2013



**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE EARLY FAMILY FOUNDATION 23-04878

Social security number or taxpayer identification number

31-1619812

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	AMERICAN TOWER CORP	06/25/2013	07/25/2013	513.00	507.00			6.00
4.	AMERICAN TOWER CORP	09/23/2013	12/05/2013	311.00	295.00			16.00
5.	C R BARD INC	03/15/2013	07/25/2013	562.00	509.00			53.00
3.	C R BARD INC	03/15/2013	12/05/2013	410.00	305.00			105.00
14.	BRISTOL MYERS SQUIBB C	06/22/2012	03/14/2013	535.00	491.00			44.00
8.	CVS CORP	06/22/2012	03/04/2013	411.00	362.00			49.00
13.	CISCO SYS INC	01/16/2013	03/04/2013	268.00	274.00	W	6.00	
41.	CISCO SYS INC	03/14/2013	07/25/2013	1,043.00	893.00			150.00
21.	CISCO SYS INC	09/18/2013	12/05/2013	439.00	515.00			-76.00
9.	CITIGROUP INC COM NEW C	10/23/2013	12/05/2013	459.00	452.00			7.00
5.	DOMINION RES INC VA NEW	06/22/2012	01/15/2013	262.00	268.00			-6.00
2.	DOMINION RES INC VA NEW	06/22/2012	01/16/2013	105.00	107.00			-2.00
28.	E I DU PONT DE NEMOURS	12/12/2012	06/19/2013	1,506.00	1,275.00			231.00
1.	E I DU PONT DE NEMOURS	12/12/2012	06/20/2013	53.00	45.00			8.00

**2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE EARLY FAMILY FOUNDATION 23-04878

Social security number or taxpayer identification number

31-1619812

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**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	EMERSON ELECTRIC CO	12/14/2012	03/04/2013	276.00	260.00			16.00
12.	EMERSON ELECTRIC CO	12/14/2012	07/25/2013	714.00	623.00			91.00
5.	EMERSON ELECTRIC CO	12/12/2012	12/05/2013	332.00	259.00			73.00
18.	EXELON CORP	04/24/2013	07/25/2013	563.00	668.00			-105.00
8.	EXELON CORP	04/24/2013	12/05/2013	222.00	297.00			-75.00
28.	MFC FLEXSHARES TR IBOX TARGET DURATION TIPS IN	07/25/2013	09/19/2013	704.00	703.00			1.00
7.	GILEAD SCIENCES INC	05/06/2013	07/25/2013	416.00	384.00			32.00
3.	GILEAD SCIENCES INC	05/06/2013	12/05/2013	220.00	164.00			56.00
9.	INTEL CORP COM	05/07/2012	03/04/2013	189.00	251.00			-62.00
2.	#REORG/INTERCONTINEN NA INTERCONTI 2RIHAZI EFF	06/22/2012	03/04/2013	307.00	261.00			46.00
9.	JOHNSON CONTROLS INC	06/22/2012	01/16/2013	284.00	243.00			41.00
7.	MERCK & CO INC	01/14/2013	03/04/2013	302.00	303.00	W	1.00	
21.	MERCK & CO INC	03/14/2013	07/25/2013	995.00	933.00			62.00
9.	MERCK & CO INC	03/14/2013	12/05/2013	439.00	398.00			41.00

**2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE EARLY FAMILY FOUNDATION 23-04878

Social security number or taxpayer identification number

31-1619812

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**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	METLIFE INC	02/22/2013	03/04/2013	211.00	214.00	W	3.00	
15.	METLIFE INC	02/22/2013	07/25/2013	729.00	536.00			193.00
9.	METLIFE INC	09/23/2013	12/05/2013	459.00	427.00			32.00
9.	MONDELEZ INTL INC COM	09/18/2013	12/05/2013	309.00	292.00			17.00
7.	MONSANTO CO COM	06/19/2013	07/25/2013	710.00	742.00			-32.00
3.	MONSANTO CO COM	06/19/2013	12/05/2013	333.00	318.00			15.00
3.	NOBLE ENERGY INC COM	06/22/2012	04/24/2013	337.00	241.00			96.00
155.	MFB NORTHERN FUNDS UL FIXED INCOME FD	11/29/2012	03/04/2013	1,584.00	1,586.00			-2.00
1.	MFB NORTHERN FUNDS ULTR FIXED INCOME FD	11/29/2012	09/19/2013	10.00	10.00			
4.	PETSMART INC	11/18/2013	12/05/2013	292.00	299.00	W	7.00	
20.	PFIZER INC	12/05/2012	03/04/2013	552.00	508.00			44.00
47.	PFIZER INC	12/05/2012	07/25/2013	1,353.00	1,195.00			158.00
547.63	PIMCO COMMODITY REA STRATEGY FUND	12/12/2012	01/24/2013	3,691.00	3,518.00			173.00
94.	MFC SPDR GOLD TR GOLD	06/22/2012	03/14/2013	14,450.00	14,718.00			-268.00

**2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if **Box A** above is checked), line 2 (if **Box B** above is checked), or line 3 (if **Box C** above is checked) ►

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE EARLY FAMILY FOUNDATION 23-04878

Social security number or taxpayer identification number

31-1619812

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**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	ST JUDE MEDICAL INC	09/24/2012	03/04/2013	203.00	215.00			-12.00
13.	ST JUDE MEDICAL INC	09/19/2012	07/25/2013	675.00	559.00			116.00
4.	SPECTRA ENERGY CORP COM	06/22/2012	02/25/2013	118.00	111.00			7.00
8.	SPECTRA ENERGY CORP COM	06/22/2012	02/26/2013	231.00	223.00			8.00
6.	STARBUCKS CORP	08/13/2012	03/04/2013	331.00	276.00			55.00
13.	STARBUCKS CORP	08/13/2012	07/25/2013	870.00	593.00			277.00
6.	COVIDIEN PLC USD0.20(PO	06/22/2012	05/06/2013	391.00	316.00			75.00
5.	EATON CORP PLC COM USD0	12/03/2012	03/04/2013	310.00	258.00			52.00
11.	EATON CORP PLC COM USD	12/03/2012	07/25/2013	741.00	565.00			176.00
7.	#REORG/NOBLE STOCK MERG 2R1JA21 EFF 11-20-2013	10/17/2012	03/04/2013	244.00	266.00			-22.00
17.	#REORG/NOBLE STOCK MER 2R1JA21 EFF 11-20-2013	11/02/2012	07/25/2013	672.00	642.00			30.00
<b>2 Totals.</b>	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►			42,646.	40,673.		17.	1,990.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE EARLY FAMILY FOUNDATION 23-04878

31-1619812

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**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	AMERICAN EXPRESS CO	07/06/2011	03/04/2013	436.00	368.00			68.00
14.	AMERICAN EXPRESS CO	06/22/2012	07/25/2013	1,057.00	765.00			292.00
6.	AMERICAN EXPRESS CO	09/01/2011	12/05/2013	507.00	299.00			208.00
4.	AMERICAN WTR WKS CO INC	01/30/2012	03/04/2013	160.00	134.00			26.00
8.	AMERICAN WTR WKS CO INC	06/22/2012	07/25/2013	342.00	268.00			74.00
4.	AMERICAN WTR WKS CO INC	01/27/2012	12/05/2013	164.00	134.00			30.00
3.	AMGEN INC	01/23/2012	03/04/2013	276.00	205.00			71.00
8.	AMGEN INC	03/01/2012	07/25/2013	860.00	545.00			315.00
3.	AMGEN INC	03/01/2012	12/05/2013	340.00	203.00			137.00
1.	APPLE COMPUTER INC	09/01/2011	03/04/2013	423.00	382.00			41.00
4.	APPLE COMPUTER INC	06/22/2012	07/25/2013	1,749.00	1,923.00			-174.00
2.	APPLE COMPUTER INC	09/12/2011	12/05/2013	1,136.00	747.00			389.00
3.	BHP LTD SPONSORED ADR	09/01/2011	03/04/2013	219.00	245.00			-26.00
6.	BHP LTD SPONSORED ADR	02/22/2010	07/25/2013	378.00	452.00			-74.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

THE EARLY FAMILY FOUNDATION 23-04878

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
12.	BHP LTD SPONSORED ADR	06/22/2012	10/23/2013	856.00	880.00			-24.00
7.	BHP LTD SPONSORED ADR	06/22/2012	10/24/2013	504.00	376.00			128.00
5.	BHP LTD SPONSORED ADR	05/14/2009	10/25/2013	359.00	249.00			110.00
10.	BRISTOL MYERS SQUIBB C	11/08/2011	03/04/2013	367.00	298.00			69.00
92.	BRISTOL MYERS SQUIBB C	09/12/2011	03/14/2013	3,518.00	2,681.00			837.00
17.	CVS CORP	04/27/2012	07/25/2013	1,043.00	765.00			278.00
7.	CVS CORP	04/27/2012	12/05/2013	461.00	315.00			146.00
4.	CHEVRONTXACO CORP	11/04/2011	03/04/2013	467.00	411.00			56.00
9.	CHEVRONTXACO CORP	06/22/2012	07/25/2013	1,137.00	902.00			235.00
4.	CHEVRONTXACO CORP	09/01/2011	12/05/2013	484.00	349.00			135.00
3.	CITRIX SYS INC	09/01/2011	03/04/2013	217.00	179.00			38.00
5.	CITRIX SYS INC	06/22/2012	07/25/2013	348.00	347.00			1.00
3.	CITRIX SYS INC	09/12/2011	12/05/2013	176.00	160.00			16.00
10.	COCA COLA CO	08/29/2011	03/04/2013	386.00	347.00			39.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
23.	COCA COLA CO	06/22/2012	07/25/2013	935.00	838.00			97.00
10.	COCA COLA CO	08/29/2011	12/05/2013	398.00	347.00			51.00
4.	COSTCO WHSL CORP NEW	09/01/2011	03/04/2013	410.00	320.00			90.00
9.	COSTCO WHSL CORP NEW	06/22/2012	07/25/2013	1,052.00	767.00			285.00
11.	COSTCO WHSL CORP NEW	02/02/2011	09/18/2013	1,294.00	747.00			547.00
3.	COSTCO WHSL CORP NEW	01/30/2008	12/05/2013	363.00	199.00			164.00
9.	DANAHER CORP	09/12/2011	03/04/2013	551.00	395.00			156.00
20.	DANAHER CORP	06/22/2012	07/25/2013	1,340.00	966.00			374.00
9.	DANAHER CORP	01/30/2008	12/05/2013	663.00	334.00			329.00
5.	WALT DISNEY CO	09/01/2011	03/04/2013	278.00	177.00			101.00
12.	WALT DISNEY CO	06/22/2012	07/25/2013	774.00	498.00			276.00
5.	WALT DISNEY CO	09/12/2011	12/05/2013	351.00	157.00			194.00
17.	DOMINION RES INC VA NE	09/12/2011	01/10/2013	886.00	788.00			98.00
25.	DOMINION RES INC VA NE	09/14/2010	01/11/2013	1,307.00	1,100.00			207.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Social security number or taxpayer identification number

THE EARLY FAMILY FOUNDATION 23-04878

31-1619812

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	9. DOMINION RES INC VA NEW	09/15/2010	01/14/2013	472.00	395.00			77.00
	8. DOMINION RES INC VA NEW	09/15/2010	01/15/2013	419.00	350.00			69.00
	5. E I DU PONT DE NEMOURS	02/22/2011	03/04/2013	240.00	272.00			-32.00
	35. E I DU PONT DE NEMOURS	09/12/2011	06/19/2013	1,882.00	1,484.00			398.00
	16. E M C CORP MASS COM	09/01/2011	03/04/2013	369.00	354.00			15.00
	39. E M C CORP MASS COM	06/22/2012	07/25/2013	1,030.00	899.00			131.00
	17. E M C CORP MASS COM	09/12/2011	12/05/2013	406.00	337.00			69.00
	6. EXPRESS SCRIPTS HLDG CO	01/23/2012	03/04/2013	344.00	310.00			34.00
	14. EXPRESS SCRIPTS HLDG C	06/20/2012	07/25/2013	934.00	754.00			180.00
	7. EXPRESS SCRIPTS HLDG CO	06/22/2012	12/05/2013	467.00	376.00			91.00
	7. EXXON MOBIL CORP	12/06/2005	03/04/2013	621.00	422.00			199.00
	14. EXXON MOBIL CORP	12/06/2005	07/25/2013	1,328.00	844.00			484.00
	7. EXXON MOBIL CORP	12/06/2005	12/05/2013	659.00	422.00			237.00
	112. MFC FLEXSHARES TR MOR GLOBAL UPSTREAM NAT RES	09/29/2011	03/04/2013	3,892.00	3,521.00			371.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
30.	MFC FLEXSHARES TR TR I TARGET DURATION TIPS IN	09/29/2011	03/04/2013	764.00	747.00			17.00
29.	MFC FLEXSHARES TR IBOX TARGET DURATION TIPS IN	09/29/2011	03/04/2013	761.00	721.00			40.00
323.	MFC FLEXSHARES TR IBO TARGET DURATION TIPS IN	06/22/2012	09/19/2013	8,116.00	8,082.00			34.00
3.	FRANKLIN RESOURCES INC	07/07/2011	03/04/2013	421.00	407.00			14.00
6.	FRANKLIN RESOURCES INC	09/01/2011	07/25/2013	877.00	758.00			119.00
17.	FRANKLIN RESOURCES INC	06/22/2012	09/23/2013	867.00	623.00			244.00
5.	FRANKLIN RESOURCES INC	06/22/2012	12/05/2013	270.00	174.00			96.00
17.	GENERAL ELECTRIC CO	05/05/2010	03/04/2013	391.00	311.00			80.00
42.	GENERAL ELECTRIC CO	06/22/2012	07/25/2013	1,032.00	800.00			232.00
18.	GENERAL ELECTRIC CO	05/05/2010	12/05/2013	476.00	329.00			147.00
2.	GOOGLE INC CL A	01/13/2012	07/25/2013	1,786.00	1,250.00			536.00
9.	HOME DEPOT INC	02/06/2012	03/04/2013	626.00	407.00			219.00
20.	HOME DEPOT INC	06/22/2012	06/25/2013	1,486.00	938.00			548.00
16.	HOME DEPOT INC	02/06/2012	07/25/2013	1,273.00	720.00			553.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	HOME DEPOT INC	01/23/2012	12/05/2013	471.00	267.00			204.00
22.	INTEL CORP COM	05/07/2012	07/25/2013	502.00	613.00			-111.00
9.	INTEL CORP COM	05/07/2012	12/05/2013	218.00	251.00			-33.00
4.	#REORG/INTERCONTINEN NA INTERCONTI 2RIHAZI EFF	06/07/2012	07/25/2013	708.00	513.00			195.00
2.	INTERCONTINENTALEXCHANG COM COM	06/07/2012	12/05/2013	425.00	256.00			169.00
4.	INTL BUSINESS MACHINES	11/04/2011	01/16/2013	768.00	715.00			53.00
2.	INTL BUSINESS MACHINES	09/01/2011	03/04/2013	407.00	342.00			65.00
3.	INTL BUSINESS MACHINES	06/22/2012	07/25/2013	590.00	558.00			32.00
2.	INTL BUSINESS MACHINES	09/01/2011	12/05/2013	352.00	342.00			10.00
11.	J P MORGAN CHASE & CO	02/22/2011	03/04/2013	533.00	451.00			82.00
26.	J P MORGAN CHASE & CO	03/13/2012	07/25/2013	1,456.00	1,060.00			396.00
11.	J P MORGAN CHASE & CO	03/12/2012	12/05/2013	614.00	413.00			201.00
64.	JOHNSON CONTROLS INC	09/01/2011	01/16/2013	2,018.00	1,722.00			296.00
4.	MCDONALDS CORP	09/22/2011	03/04/2013	380.00	343.00			37.00
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10.	MCDONALDS CORP	06/22/2012	07/25/2013	968.00	862.00			106.00
4.	MCDONALDS CORP	08/01/2012	12/05/2013	382.00	358.00			24.00
4.	NATIONAL-OILWELL INC	09/01/2011	03/04/2013	264.00	264.00			
8.	NATIONAL-OILWELL INC	09/01/2011	07/25/2013	572.00	526.00			46.00
4.	NATIONAL-OILWELL INC	01/30/2008	12/05/2013	326.00	255.00			71.00
4.	NIKE INC CLASS B	09/12/2011	03/04/2013	219.00	165.00			54.00
8.	NIKE INC CLASS B	06/22/2012	07/25/2013	499.00	379.00			120.00
4.	NIKE INC CLASS B	09/12/2011	12/05/2013	316.00	146.00			170.00
2.	NOBLE ENERGY INC COM	09/01/2011	03/04/2013	219.00	176.00			43.00
14.	NOBLE ENERGY INC COM	09/01/2011	04/23/2013	1,543.00	1,003.00			540.00
4.	NOBLE ENERGY INC COM	04/16/2009	04/24/2013	449.00	237.00			212.00
4.	NORFOLK SOUTHN CORP COM	08/10/2011	03/04/2013	295.00	270.00			25.00
9.	NORFOLK SOUTHN CORP COM	06/22/2012	07/25/2013	668.00	623.00			45.00
8.	NORFOLK SOUTHN CORP COM	08/10/2011	09/18/2013	615.00	540.00			75.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <b>Column (e)</b> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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	3. NORFOLK SOUTHN CORP COM	08/10/2011	12/05/2013	264.00	203.00			61.00
	117. MFB NORTHN FDS MULTI- GLOBAL REALESTATE FD	09/01/2011	01/24/2013	2,190.00	2,038.00			152.00
	227. MFB NORTHN FDS MULTI- GLOBAL REALESTATE FD	09/12/2011	03/04/2013	4,288.00	3,735.00			553.00
	97. MFB NORTHN FDS MULTI-M GLOBAL REALESTATE FD	04/20/2012	07/25/2013	1,826.00	1,682.00			144.00
	395. MFB NORTHN FDS MULTI- GLOBAL REALESTATE FD	04/20/2012	09/19/2013	7,517.00	6,849.00			668.00
	396. MFB NORTHN FDS MULTI- EMERGING MKTS EQTY FD	09/01/2011	03/04/2013	7,556.00	8,316.00			-760.00
	2. MFB NORTHN FDS MULTI-MA EMERGING MKTS EQTY FD	09/01/2011	09/19/2013	39.00	42.00			-3.00
	186. MFB NORTHN FDS MULTI- EMERGING MKTS EQTY FD	09/12/2011	12/05/2013	3,517.00	3,842.00			-325.00
	870. MFB NORTHN MULTI-MANA EQTY FD FD	09/01/2011	03/04/2013	8,517.00	8,649.00			-132.00
	251. MFB NORTHN MULTI-MANA EQTY FD FD	09/01/2011	03/14/2013	2,505.00	2,299.00			206.00
	210. MFB NORTHN MULTI-MANA EQTY FD FD	09/01/2011	12/05/2013	2,239.00	1,924.00			315.00
	103. MFB NORTHN MULTIMGR S	06/02/2011	03/04/2013	1,075.00	1,081.00			-6.00
	357. MFB NORTHN MULTIMGR S	04/26/2010	07/25/2013	4,280.00	3,406.00			874.00
	48. MFB NORTHN MULTIMGR SM	04/26/2010	12/05/2013	626.00	458.00			168.00
	<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							

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76.	MFB NORTHN MULTIMGR MI	09/01/2011	01/24/2013	956.00	838.00			118.00
161.	MFB NORTHN MULTIMGR M	09/01/2011	03/04/2013	2,090.00	1,758.00			332.00
240.	MFB NORTHN MULTIMGR M	06/22/2012	12/05/2013	3,718.00	2,706.00			1,012.00
63.	MFB NORTHN FDS SMALL C	12/16/2010	03/04/2013	1,114.00	912.00			202.00
244.	MFB NORTHN FDS SMALL	06/22/2012	07/25/2013	4,982.00	3,563.00			1,419.00
25.	MFB NORTHN FDS SMALL C	12/16/2010	12/05/2013	548.00	362.00			186.00
1383.	MFB NORTHN HI YIELD	11/04/2011	03/04/2013	10,566.00	10,188.00			378.00
3159.	MFB NORTHN HI YIELD	06/22/2012	07/25/2013	23,914.00	22,956.00			958.00
7.	MFB NORTHN HI YIELD FXD	06/22/2012	09/19/2013	53.00	50.00			3.00
251.	MFB NORTHERN FDS FIXE	11/04/2011	01/24/2013	2,661.00	2,648.00			13.00
1621.99753	MFB NORTHERN FD INCOME FD	11/04/2011	03/04/2013	17,096.00	17,112.00			-16.00
2.00247	MFB NORTHERN FDS F FD	11/04/2011	03/04/2013	21.00	21.00	W		
15.	MFB NORTHERN FDS FIXED	06/22/2012	09/19/2013	152.00	159.00			-7.00
106.	MFB NORTHERN FDS FIXE	11/02/2012	12/05/2013	1,077.00	1,151.00	W	74.00	
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Social security number or taxpayer identification number

THE EARLY FAMILY FOUNDATION 23-04878

31-1619812

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☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	NUCOR CORP	02/06/2012	03/04/2013	178.00	181.00			-3.00
8.	NUCOR CORP	02/06/2012	07/25/2013	368.00	361.00			7.00
4.	NUCOR CORP	02/06/2012	12/05/2013	207.00	181.00			26.00
6.	OMNICOM GRP INC COM	12/17/2010	03/04/2013	344.00	278.00			66.00
12.	OMNICOM GRP INC COM	06/22/2012	07/25/2013	772.00	562.00			210.00
13.	OMNICOM GRP INC COM	12/17/2010	11/14/2013	901.00	596.00			305.00
17.	OMNICOM GRP INC COM	11/22/2010	11/15/2013	1,193.00	777.00			416.00
15.	OMNICOM GRP INC COM	09/01/2011	11/18/2013	1,050.00	646.00			404.00
3.	OMNICOM GRP INC COM	09/01/2011	11/19/2013	210.00	120.00			90.00
12.	ORACLE CORPORATION	09/01/2011	03/04/2013	415.00	333.00			82.00
33.	ORACLE CORPORATION	10/04/2010	04/23/2013	1,078.00	899.00			179.00
21.	ORACLE CORPORATION	06/22/2012	07/25/2013	676.00	585.00			91.00
9.	ORACLE CORPORATION	10/04/2010	12/05/2013	313.00	245.00			68.00
21.	PFIZER INC	11/16/2012	12/05/2013	656.00	499.00			157.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	853.42 PIMCO COMMODITY REA STRATEGY FUND	12/07/2011	01/24/2013	5,752.00	7,231.00			-1,479.00
	2. PRECISION CASTPARTS COR	10/25/2011	03/04/2013	373.00	344.00			29.00
	3. PRECISION CASTPARTS COR	10/21/2011	07/25/2013	678.00	505.00			173.00
	1. PRECISION CASTPARTS COR	10/21/2011	12/05/2013	252.00	168.00			84.00
	2. PROCTER & GAMBLE CO	05/09/2002	03/04/2013	152.00	92.00			60.00
	6. PROCTER & GAMBLE CO	06/22/2012	07/25/2013	480.00	361.00			119.00
	3. PROCTER & GAMBLE CO	06/22/2012	12/05/2013	248.00	166.00			82.00
	8. QUALCOMM INC	07/15/2011	03/04/2013	532.00	439.00			93.00
	18. QUALCOMM INC	06/22/2012	07/25/2013	1,156.00	996.00			160.00
	8. QUALCOMM INC	07/15/2011	12/05/2013	586.00	439.00			147.00
	165. MFC SPDR GOLD TR GOLD	11/04/2011	01/24/2013	26,679.00	21,010.00	C		5,669.00
	15. MFC SPDR GOLD TR GOLD	05/10/2010	03/04/2013	2,286.00	1,758.00	C		528.00
	66. MFC SPDR GOLD TR GOLD	05/10/2010	03/14/2013	10,146.00	7,734.00	C		2,412.00
	5. ST JUDE MEDICAL INC	09/19/2012	12/05/2013	290.00	215.00			75.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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7.	ST JUDE MEDICAL INC	09/21/2012	12/11/2013	410.00	301.00			109.00
4.	ST JUDE MEDICAL INC	09/21/2012	12/12/2013	232.00	172.00			60.00
4.	SCHLUMBERGER LTD ISIN #	02/28/2011	03/04/2013	307.00	371.00			-64.00
8.	SCHLUMBERGER LTD ISIN #	04/11/2011	07/25/2013	664.00	728.00			-64.00
3.	SCHLUMBERGER LTD ISIN #	04/11/2011	12/05/2013	261.00	272.00			-11.00
2.	SIMON PPTY GROUP INC NE	11/02/2010	03/04/2013	321.00	199.00			122.00
5.	SIMON PPTY GROUP INC NE	06/22/2012	07/25/2013	815.00	699.00			116.00
5.	SIMON PPTY GROUP INC NE	11/02/2010	09/23/2013	757.00	471.00			286.00
7.	SIMON PPTY GROUP INC NE	07/23/2010	10/23/2013	1,110.00	601.00			509.00
5.	SIMON PPTY GROUP INC NE	07/23/2010	10/24/2013	795.00	429.00			366.00
1.	SIMON PPTY GROUP INC NE	07/23/2010	10/25/2013	159.00	86.00			73.00
52.	SPECTRA ENERGY CORP CO	09/12/2011	02/22/2013	1,530.00	1,285.00			245.00
31.	SPECTRA ENERGY CORP CO	02/19/2008	02/25/2013	911.00	729.00			182.00
5.	STARBUCKS CORP	08/10/2012	12/05/2013	398.00	227.00			171.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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10.	US BANCORP DEL NEW	04/25/2008	03/04/2013	339.00	345.00			-6.00
22.	US BANCORP DEL NEW	06/22/2012	07/25/2013	823.00	665.00			158.00
10.	US BANCORP DEL NEW	02/22/2011	12/05/2013	386.00	277.00			109.00
2.	UNITED TECHNOLOGIES COR	09/01/2011	03/04/2013	178.00	147.00			31.00
5.	UNITED TECHNOLOGIES COR	06/22/2012	07/25/2013	522.00	335.00			187.00
3.	UNITED TECHNOLOGIES COR	05/09/2002	12/05/2013	327.00	105.00			222.00
6.	UNITEDHEALTH GROUP INC	09/01/2011	03/04/2013	319.00	285.00			34.00
12.	UNITEDHEALTH GROUP INC	06/22/2012	07/25/2013	865.00	636.00			229.00
18.	UNITEDHEALTH GROUP INC	09/12/2011	09/18/2013	1,311.00	697.00			614.00
4.	UNITEDHEALTH GROUP INC	11/16/2012	12/05/2013	291.00	207.00			84.00
16.	UNITEDHEALTH GROUP INC	11/16/2012	12/11/2013	1,159.00	829.00			330.00
11.	UNITEDHEALTH GROUP INC	07/15/2010	12/12/2013	785.00	338.00			447.00
3.	V F CORP	04/28/2011	03/04/2013	485.00	321.00			164.00
4.	V F CORP	06/22/2012	06/25/2013	751.00	571.00			180.00
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						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	V F CORP	06/27/2011	07/25/2013	1,179.00	636.00			543.00
2.	V F CORP	06/27/2011	12/05/2013	466.00	211.00			255.00
4.	VERIZON COMMUNICATIONS	09/28/2011	03/04/2013	188.00	149.00			39.00
10.	VERIZON COMMUNICATIONS	06/22/2012	07/25/2013	502.00	411.00			91.00
4.	VERIZON COMMUNICATIONS	09/29/2011	12/05/2013	196.00	148.00			48.00
14.	WELLS FARGO & CO NEW	12/03/2010	03/04/2013	497.00	403.00			94.00
32.	WELLS FARGO & CO NEW	06/22/2012	07/25/2013	1,401.00	1,040.00			361.00
14.	WELLS FARGO & CO NEW	03/12/2012	12/05/2013	605.00	440.00			165.00
4.	COVIDIEN PLC USD0.20 (PO	09/30/2008	03/04/2013	252.00	213.00			39.00
16.	COVIDIEN PLC USD0.20 (P	09/01/2011	03/14/2013	1,050.00	851.00			199.00
24.	COVIDIEN PLC USD0.20 (P	09/12/2011	05/06/2013	1,565.00	1,148.00			417.00
5.	EATON CORP PLC COM USD0	12/03/2012	12/05/2013	356.00	255.00			101.00
7.	NOBLE CORP PLC COMMON S	10/16/2012	12/05/2013	264.00	263.00			1.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				277,048.	238,384.		74.	38,738.

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# Foundation

31 DEC 13

Account number 2304878

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<b>Equity Securities</b>								
<b>Common stock</b>								
<b>United States - USD</b>								
AMERICAN EXPRESS CO CUSIP 025816109								
50 000		80 73	0 00	4,538 50	2,243 63	2,292 87	0 00	2,292 87
AMERICAN WTR WKS CO INC NEW COM CUSIP 030420103								
28 000		42 26	0 00	1,225 54	987 32	258 22	0 00	258 22
AMGEN INC COM CUSIP 031182100								
26 000		114 16	0 00	2,968 16	1,749 32	1,218 84	0 00	1,218 84
APPLE INC COM STK CUSIP 037833100								
14 000		561 11	0 00	7,855 54	1,674 85	6,180 69	0 00	6,180 69
BAXTER INTL INC COM CUSIP 071813109								
40 000		69 55	0 00	2,782 00	2,882 93	99 07	0 00	99 07
C R BARD CUSIP 067363109								
18 000		133 94	0 00	2,410 92	1,825 35	585 57	0 00	585 57
CHEVRON CORP COM CUSIP 166764100								
32 000		124 91	0 00	3,997 12	2,374 22	1,622 90	0 00	1,622 90
CISCO SYSTEMS INC CUSIP 17275R102								
184 000		22 45	0 00	3,681 80	3,486 14	195 66	0 00	195 66
CITIGROUP INC COM NEW COM NEW CUSIP 172987424								
68 000		52 11	0 00	3,543 48	3,409 55	133 93	0 00	133 93

Northern Trust

\*Generated by Northern Trust from reviewed periodic data on 10 Feb 14 B003

# Foundation

31 DEC 13

Account number 2304878

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## ◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss	
Investment Mgr ID	Shares/PAR value					Market	Translation
Total							
Equity Securities							
Common stock							
United States - USD							
CITRIX SYS INC COM	CUSIP 177376100						
19 000	63 25	0 00	1,201 75	893 62	308 13	0 00	308 13
COCA COLA CO COM CUSIP 191216100							
81 000	41 31	0 00	3,346 11	2,788 88	557 23	0 00	557 23
COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105							
19 000	119 01	0 00	2,261 19	1,262 30	998 89	0 00	998 89
CVS CAREMARK CORP COM STK CUSIP 126650100							
CVS							
60 000	71 57	0 00	4,294 20	2,880 00	1,614 20	0 00	1,614 20
DANAHER CORP COM CUSIP 235851102							
69 000	77 20	1 72	5,326 80	2,224 18	3,102 62	0 00	3,102 62
EATON CORP PLC COM USD0 50 CUSIP G29183103							
39 000	76 12	0 00	2,968 68	2,014 54	954 14	0 00	954 14
EMC CORP COM CUSIP 268648102							
EMC							
134 000	25 15	0 00	3,370 10	2,835 13	534 97	0 00	534 97
EMERSON ELECTRIC CO COM CUSIP 281011104							
40 000	70 18	0 00	2,807 20	2,071 81	735 39	0 00	735 39
EXELON CORP COM CUSIP 30161N101							
64 000	27 39	0 00	1,752 98	2,352 88	-599 92	0 00	-599 92

Northern Trust

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# Foundation

31 DEC 13

Account number 2304878

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAF value								

### Equity Securities

#### Common stock

##### United States - USD

EXPRESS SCRIPTS HLDG CO COM	CUSIP 30218G108							
80 000	70 24	0 00		4,214 40	3,276 44	937 96	0 00	937 96
EXXON MOBIL CORP COM	CUSIP 30231G102							
50 000	101 20	0 00		5,060 00	3,353 60	1,706 40	0 00	1,706 40
FRKLN RES INC COM	CUSIP 354813101							
44 000	57 73	5 28		2,540 12	1,366 44	1,173 68	0 00	1,173 68
GENERAL ELECTRIC CO	CUSIP 369804103							
GE	28 03	31 46		4,008 29	2,647 55	1,360 74	0 00	1,360 74
GILEAD SCIENCES INC	CUSIP 375558103							
GILD	75 15	0 00		1,878 75	1,389 71	509 04	0 00	509 04
GOOGLE INC CL A CL A	CUSIP 38258P508							
GOOG	1,120 71	0 00		4,482 84	1,918 52	2,564 32	0 00	2,564 32
HOME DEPOT INC COM	CUSIP 437078102							
54 000	82 34	0 00		4,446 38	2,402 97	2,043 39	0 00	2,043 39
INTEL CORP COM	CUSIP 458140100							
INTC	74 000	25 96	0 00	1,921 04	2,034 79	-113 75	0 00	-113 75
INTERCONTINENTALEXCHANGE GROUP INC COM	CUSIP 45868F104							
13 000	224 92	0 00		2,923 96	1,633 62	1,290 34	0 00	1,290 34

### Northern Trust

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## ◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID	Shares/PAR value					Market	Translation	
Equity Securities								
Common stock								
United States - USD								
INTERNATIONAL BUSINESS MACHS CORP COM CUSIP 459200101								
IBM	11 000	187 57	0 00	2,063 27	1,398 41	664 86	0 00	664 86
JPMORGAN CHASE & CO COM CUSIP 46825H100								
JPM	88 000	58 48	0 00	5,146 24	2,722 79	2,423 45	0 00	2,423 45
MC DONALDS CORP COM CUSIP 580135101								
	33 000	97 03	0 00	3,201 99	2,878 87	323 12	0 00	323 12
MERCK & CO INC NEW COM CUSIP 58933Y105								
	73 000	50 05	32 12	3,653 65	3,145 72	507 93	0 00	507 93
METLIFE INC COM STK USD0 01 CUSIP 59156R108								
	68 000	53 92	0 00	3,668 58	2,526 88	1,139 70	0 00	1,139 70
MONDELEZ INTL INC COM CUSIP 809207105								
	78 000	35 30	10 64	2,682 80	2,483 11	219 69	0 00	219 69
MONSANTO CO NEW COM CUSIP 61168W101								
	23 000	118 55	0 00	2,680 65	2,433 10	247 55	0 00	247 55
NATIONAL OILWELL VARCO COM STK CUSIP 637071101								
NOV	31 000	79 53	0 00	2,465 43	1,228 81	1,236 82	0 00	1,236 82
NIKE INC CL B CUSIP 654108103								
	30 000	78 64	7 20	2,359 20	857 30	1,401 90	0 00	1,401 90

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Description / Asset ID		Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss	
Investment Mgr ID	Shares/PAR value					Market	Translation
Total							
Equity Securities							
Common stock							
United States - USD							
NOBLE CORP PLC COMMON STOCK CUSIP 085431101							
	58 000	37 47	0 00	2,210 73	2,129 29	81 44	0 00
NORFOLK SOUTHN CORP COM CUSIP 855844108							
	25 000	92 83	0 00	2,320 75	1,648 87	673 88	0 00
NUCOR CORP COM CUSIP 870346105							
	28 000	53 38	10 36	1,494 84	1,224 14	270 50	0 00
ORACLE CORP COM CUSIP 88388X105							
	74 000	38 26	0 00	2,831 24	1,997 34	833 90	0 00
PETSMART INC COM CUSIP 716788108							
	31 000	72 75	0 00	2,255 25	2,300 97	-45 72	0 00
PFIZER INC COM CUSIP 717081103							
	184 000	30 63	0 00	5,023 32	3,924 43	1,098 89	0 00
PRECISION CASTPARTS CORP COM CUSIP 740188105							
	11 000	269 30	0 00	2,962 30	1,790 11	1,172 19	0 00
PROCTER & GAMBLE COM NPV CUSIP 742718109							
	21 000	81 41	0 00	1,709 61	960 73	748 88	0 00
QUALCOMM INC COM CUSIP 747525103							
	62 000	74 25	0 00	4,603 50	3,142 87	1,460 63	0 00

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## ◆ Asset Detail - Base Currency

Discription / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value								

### Equity Securities

#### Common stock

##### United States - USD

SCHLUMBERGER LTD COM COM CUSIP 808857108	SLB	28 000	90 11	9 88	2,523 08	2,129 60	393 48	0 00	393 48
ST JUDE MED INC COM CUSIP 780849103	STJ	31 000	61 95	7 75	1,920 45	1,324 66	595 79	0 00	595 79
STARBUCKS CORP COM CUSIP 855244109		45 000	78 39	0 00	3,527 55	2,033 84	1,493 71	0 00	1,493 71
UNITED TECHNOLOGIES CORP COM CUSIP 913017109		19 000	113 80	0 00	2,182 20	684 81	1,497 39	0 00	1,497 39
US BANCORP CUSIP 802873304	USB	77 000	40 40	17 71	3,110 80	1,925 53	1,185 27	0 00	1,185 27
V F CORP COM CUSIP 918204108		76 000	62 34	0 00	4,737 84	1,988 15	2,749 69	0 00	2,749 69
VERIZON COMMUNICATIONS COM CUSIP 92343V104	VZ	33 000	49 14	0 00	1,621 62	1,222 66	398 96	0 00	398 96
WALT DISNEY CO CUSIP 254687108		41 000	78 40	35 28	3,132 40	1,210 77	1,921 63	0 00	1,921 63
WELLS FARGO & CO NEW COM STK CUSIP 949748101	WFC	110 000	45 40	0 00	4,994 00	2,821 57	2,172 43	0 00	2,172 43
Total USD				169 18	172,866 88	113,733 40	59,133 48	0 00	59,133 48

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value								

### Equity Securities

#### Common stock

Total United States			169.18	172,866.88	113,733.40	59,133.48	0.00	59,133.48
Total Common Stock			169.18	172,866.88	113,733.40	59,133.48	0.00	59,133.48

#### Equity funds

##### Emerging Markets Region - USD

MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD CUSIP 865162483

4,292.810	19.05		0.00	81,779.93	73,858.22	7,921.71	0.00	7,921.71
Total USD			0.00	81,779.93	73,858.22	7,921.71	0.00	7,921.71
Total Emerging Markets Region			0.00	81,779.93	73,858.22	7,921.71	0.00	7,921.71

##### Global Region - USD

MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD CUSIP 33939L407

1,350.000	34.31		938.49	46,318.50	48,323.00	-2,004.50	0.00	-2,004.50
Total USD			938.49	46,318.50	48,323.00	-2,004.50	0.00	-2,004.50
Total Global Region			938.49	46,318.50	48,323.00	-2,004.50	0.00	-2,004.50

##### International Region - USD

MFB NORTHN INTL EQTY INDEX FD CUSIP 665130209

2,248.130	12.34		0.00	27,741.92	27,112.44	629.48	0.00	629.48
Total USD			0.00	27,741.92	27,112.44	629.48	0.00	629.48
Total International Region			0.00	27,741.92	27,112.44	629.48	0.00	629.48

14,372.800	10.94		0.00	157,238.43	120,148.44	37,091.99	0.00	37,091.99
Total USD			0.00	157,238.43	120,148.44	37,091.99	0.00	37,091.99
Total International Region			0.00	157,238.43	120,148.44	37,091.99	0.00	37,091.99

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## ◆ Asset Detail - Base Currency

Discription / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value								

### Equity Securities

#### Equity funds

##### United States - USD

MFB NORTHERN FUNDS SMALL CAP CORE FUND CUSIP 865162665

444 660	20 95	9,316 25	0.00	6,476 43	2,837 82	0.00	2,837 82
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MFB NORTHN MULTI-MANAGER MID CAP FD CUSIP 865162574

2,236 660	13 73	30,709 34	0.00	22,924 66	7,784 68	0.00	7,784 68
-----------	-------	-----------	------	-----------	----------	------	----------

MFB NORTHN MULTI-MANAGER SMALL CAP FD CUSIP 865162566

694 260	11 50	7,984 22	0.00	8,410 66	1,573 56	0.00	1,573 56
---------	-------	----------	------	----------	----------	------	----------

Total USD		48,009 81	0.00	35,813 75	12,196 06	0.00	12,196 06
-----------	--	-----------	------	-----------	-----------	------	-----------

Total United States		48,009 81	0.00	35,813 75	12,196 06	0.00	12,196 06
---------------------	--	-----------	------	-----------	-----------	------	-----------

Total Equity Funds		361,088.59	938.49	305,253.85	55,834.74	0.00	55,834.74
--------------------	--	------------	--------	------------	-----------	------	-----------

#### Other equity

##### United States - USD

AMERICAN TOWER CORP CUSIP 03027X100

32 000	79 82	2,554 24	0.00	2,325 13	229 11	0.00	229 11
--------	-------	----------	------	----------	--------	------	--------

Total USD		2,554 24	0.00	2,325 13	229 11	0.00	229 11
-----------	--	----------	------	----------	--------	------	--------

Total United States		2,554 24	0.00	2,325 13	229 11	0.00	229 11
---------------------	--	----------	------	----------	--------	------	--------

Total Other Equity		2,554.24	0.00	2,325.13	229.11	0.00	229.11
--------------------	--	----------	------	----------	--------	------	--------

Total Equity Securities		536,509.71	1,107.67	421,312.38	115,197.33	0.00	115,197.33
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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value								

### Fixed Income Securities

#### Corporate/government

##### United States - USD

MFB NORTHERN FDS FIXED INCOME FD CUSIP 685162808

18,084,340	10 09	0 00	182,359 19	189,341 95	-6,982 76	0 00	-6,982 76
Issue Date 25 Aug 08							

MFB NORTHN FDS ULTRA SHORT FXD INC FD CUSIP 685162467

1,789 130	10 21	0 00	18,369 11	18,402 60	-33 49	0 00	-33 49
Issue Date 25 Aug 08							

MFB NORTHN HI YIELD FXD INC FD CUSIP 685162699

12,315 840	7 49	0 00	92,245 64	86,581 71	5,663 93	0 00	5,663 93
Issue Date 25 Aug 08							

Total USD

Total United States

#### Total Corporate/Government

33,179,310 302,973 94 304,326 26 -1,352 32 0 00 -1,352 32

#### Other bonds

##### United States - USD

MFC FLEXSHARES TR TR IBOX 3 YR TARGET DURATION TIPS INDEX FD CUSIP 33839L508

1,479 000	24 92	0 00	36,856 68	37,557 06	-700 38	0 00	-700 38
Issue Date 07 Dec 12							

Total USD

Total United States

#### Total Other Bonds

1,479,000 36,856 68 37,557 06 -700 38 0 00 -700 38

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## ◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss	
Investment Mgr ID	Shares/PAR value					Market	Translation
Total Fixed Income Securities							
	34,658,310		0.00	339,830.82	341,883.32	-2,052.70	0.00
							-2,052.70

## Real Estate

### Real estate funds

#### Global Region - USD

MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD CUSIP 065162475

	2,222,590	16.33	0.00	36,294.89	36,950.05	-655.16	0.00	-655.16
Total USD				36,294.89	36,950.05	-655.16	0.00	-655.16
Total Global Region			0.00	36,294.89	36,950.05	-655.16	0.00	-655.16
Total Real Estate Funds								
	2,222,590		0.00	36,294.89	36,950.05	-655.16	0.00	-655.16
Total Real Estate								
	2,222,590		0.00	36,294.89	36,950.05	-655.16	0.00	-655.16

## Cash and Short Term Investments

### Short term

USD - United States dollar

		1.00	0.31	48,623.04	48,623.04	0.00	0.00	0.00
Total short term - all currencies			0.31	48,623.04	48,623.04	0.00	0.00	0.00
Total short term - all countries			0.31	48,623.04	48,623.04	0.00	0.00	0.00
Total Short Term								
	48,623.040		0.31	48,623.04	48,623.04	0.00	0.00	0.00

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## ◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss	
Investment Mgr ID	Shares/PAR value					Market	Translation
Total Cash and Short Term Investments							
	48,623.040		0.31	48,623.04	48,623.04	0.00	0.00
Total							
	113,976.410		1,107.98	961,258.26	848,768.79	112,489.47	112,489.47

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS ETC.	24,054.	23,966.
	-----	-----
TOTAL	24,054.	23,966.
	=====	=====

## FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
DEFERRED INCOME ETC.	-671.
	-----
TOTALS	-671.
	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION	2,000.	1,000.		1,000.
TOTALS	2,000.	1,000.	NONE	1,000.
	=====	=====	=====	=====



## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT FEES	4,963.	4,963.
	-----	-----
TOTALS	4,963.	4,963.
	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXCISE	952.	
FOREIGN	442.	442.
	-----	-----
TOTALS	1,394.	442.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

REVENUE  
AND  
EXPENSES  
PER BOOKS  
-----

CHARITABLE  
PURPOSES  
-----

DESCRIPTION  
-----

ATTORNEY GENERAL

15.

15.

TOTALS

15.

15.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

PATRICK J. EARLY

ADDRESS:

ALL C/O NORTHERN TR PO BOX 803878  
CHICAGO, IL 60680,

TITLE:

PRES, TREAS

COMPENSATION EXPLANATION:

10 HRS PER YEAR

OFFICER NAME:

ANDREW J. EARLY

ADDRESS:

TITLE:

DIRECTOR

COMPENSATION EXPLANATION:

2 HRS PER YEAR

OFFICER NAME:

KATHLEEN E. MALLIN

ADDRESS:

TITLE:

SECRETARY

COMPENSATION EXPLANATION:

5 HRS PER YEAR

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:  
TIMOTHY P. EARLY  
ADDRESS:

TITLE:  
DIRECTOR  
COMPENSATION EXPLANATION:  
2 HRS PER YEAR

OFFICER NAME:  
CHRISTOPHER M. EARLY  
ADDRESS:

TITLE:  
DIRECTOR  
COMPENSATION EXPLANATION:  
2 HRS PER YEAR

OFFICER NAME:  
PAMELA J. CHRISTENSEN  
ADDRESS:

TITLE:  
DIR  
COMPENSATION EXPLANATION:  
5 HRS PER YEAR

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

WILLIAM J. EARLY

ADDRESS:

TITLE:

DIR

COMPENSATION EXPLANATION:

2 HRS PER YEAR