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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation BNY MELLON FOUNDATION			A Employer identification number 31-1605320	
Number and street (or P O box number if mail is not delivered to street address) BNY Mellon, N.A. - P O Box 185		Room/suite	B Telephone number (see instructions) (412) 234-0023	
City or town Pittsburgh	State PA	ZIP code 15230-0185		
Foreign country name	Foreign province/state/county	Foreign postal code	C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 36,861,850		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	808,494	773,183		
	5a Gross rents	1	1		
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,129,915			
	b Gross sales price for all assets on line 6a	18,764,394			
	7 Capital gain net income (from Part IV, line 2)		2,129,915		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	110,735	111,205			
12 Total. Add lines 1 through 11	3,049,145	3,014,304	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	4,202	4,202		
	18 Taxes (attach schedule) (see instructions)	80,194	12,990		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	52,481	52,481		
	24 Total operating and administrative expenses. Add lines 13 through 23	136,877	69,673	0	0
	25 Contributions, gifts, grants paid	1,660,000			1,660,000
26 Total expenses and disbursements. Add lines 24 and 25	1,796,877	69,673	0	1,660,000	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	1,252,268				
b Net investment income (if negative, enter -0-)		2,944,631			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	785,418	722,754	722,754
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	28,077,647	29,462,102	36,139,096
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	28,863,065	30,184,856	36,861,850
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	28,863,065	30,184,856	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	28,863,065	30,184,856	
	31 Total liabilities and net assets/fund balances (see instructions)	28,863,065	30,184,856	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,863,065
2	Enter amount from Part I, line 27a	2	1,252,268
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	81,819
4	Add lines 1, 2, and 3	4	30,197,152
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	12,296
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	30,184,856

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,129,915
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,660,750	32,502,830	0.051096
2011	1,699,500	33,423,766	0.050847
2010	1,938,500	31,393,649	0.061748
2009	1,754,500	28,646,187	0.061247
2008	1,238,750	36,461,932	0.033974

2 Total of line 1, column (d)	2	0.258912
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051782
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	35,334,581
5 Multiply line 4 by line 3	5	1,829,695
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	29,446
7 Add lines 5 and 6	7	1,859,141
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,660,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	58,893	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	58,893	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	58,893	
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	54,010	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,883	
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	58,893	
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ BNY MELLON, N.A. Telephone no ▶ (412) 234-0023			
Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No		
If "Yes," list the years ▶ 20, 20, 20, 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20, 20, 20, 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	.00	0		
	.00	0		
	.00	0		
	00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	NONE

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	35,105,780
b	Average of monthly cash balances	1b	766,891
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	35,872,671
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	35,872,671
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	538,090
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,334,581
6	Minimum investment return. Enter 5% of line 5	6	1,766,729

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,766,729
2a	Tax on investment income for 2013 from Part VI, line 5	2a	58,893
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	58,893
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,707,836
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,707,836
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,707,836

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,660,000
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,660,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,660,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,707,836
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	370,572			
c From 2010	418,370			
d From 2011	92,215			
e From 2012	87,800			
f Total of lines 3a through e	968,957			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,660,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				1,660,000
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	47,836			47,836
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	921,121			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	921,121			
10 Analysis of line 9:				
a Excess from 2009	322,736			
b Excess from 2010	418,370			
c Excess from 2011	92,215			
d Excess from 2012	87,800			
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JAMES P MCDONALD P O BOX 185 PITTSBURGH, PA 15230-0185

- b** The form in which applications should be submitted and information and materials they should include.

PROVIDED UPON INITIAL CONTACT

- c** Any submission deadlines

PROVIDED UPON INITIAL CONTACT

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PROVIDED UPON INITIAL CONTACT

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total				3a 1,660,000
b Approved for future payment NONE				
Total				3b NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	808,494	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property			16	1	
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	2,129,915	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue. a FEE REIMBURSEMENT			42	107,714	
b	OTHER INCOME			42	2,451	
c	ORDINARY BUSINESS INCOME	900099	-470			
d	PARTNERSHIP ROYALTIES			15	1,126	
e	OTHER PARTNERSHIP INCOME			42	-86	
12	Subtotal. Add columns (b), (d), and (e)		-470		3,049,615	0
13	Total. Add line 12, columns (b), (d), and (e)				13	3,049,145

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/16/14

Date

President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
Shawn P Hanlon

Preparer's signature [Signature] cm

Date
5/14/2014

Check ☒ if self-employed

PTIN
P00965923

Firm's name	► PRICEWATERHOUSECOOPERS LLP
Firm's address	► 600 GRANT STREET, PITTSBURGH, PA 15219

Firm's EIN ▶	13-4008324
Phone no	(412) 355-6000

BNY MELLON FOUNDATION

31-1605320 Page 1 of 5

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ST. PATRICK'S CATHEDRAL LANDMARK FOUNDATION

Street

460 MADISON AVENUE

City

NEW YORK

State

NY

Zip Code

10022

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

150,000

Name

THE GREATER BOSTON FOOD BANK, INC

Street

70 SOUTH BAY AVENUE

City

BOSTON

State

MA

Zip Code

02118

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

50,000

Name

BIG BROTHERS AND SISTERS OF CENTRAL FLORIDA

Street

807 SOUTH ORLANDO AVENUE

City

WINTER PARK

State

FL

Zip Code

32789

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

30,000

Name

BIG BROTHERS AND SISTERS OF SOUTHEASTERN PA

Street

123 SOUTH BROAD STREET

City

PHILADELPHIA

State

PA

Zip Code

19109

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

30,000

Name

BIG BROTHERS AND BIG SISTERS OF LONE STAR

Street

450 EAST JOHN CARPENTER FREEWAY

City

IRVING

State

TX

Zip Code

75062

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

Name

BIG BROTHERS AND SISTERS OF DELAWARE

Street

413 LARCH CIRCLE

City

WILMINGTON

State

DE

Zip Code

19804

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

BNY MELLON FOUNDATION

31-1605320 Page 2 of 5

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

BIG BROTHERS AND SISTERS OF ESSEX, HUDSON & UNION COUNTIES

Street

500 BROAD STREET

City

NEWARK

State

NJ

Zip Code

07102

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

Name

BIG BROTHERS AND BIG SISTERS OF METROPOLITAN CHICAGO

Street

560 WEST LAKE STREET

City

CHICAGO

State

IL

Zip Code

60661

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

Name

GOODWILL INDUSTRIES OF GREATER NEW YORK AND NORTHERN NJ

Street

4-21 27TH AVENUE

City

NEW YORK

State

NY

Zip Code

11102

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

100,000

Name

THE LEGAL AID SOCIETY

Street

199 WATER STREET

City

NEW YORK

State

NY

Zip Code

10038

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

NATIONAL MILITARY FAMILY ASSOCIATION

Street

2500 NORTH VAN DORN ST, SUITE 102

City

ALEXANDRIA

State

VA

Zip Code

22302

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

MORE THAN WORDS

Street

376 MOODY STREET

City

WALTHAM

State

MA

Zip Code

02453

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

100,000

BNY MELLON FOUNDATION

31-1605320 Page 3 of 5

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

REDISCOVERY, INC

Street

296 NEWTON STREET, SUITE 150

City

WALTHAM

State

MA

Zip Code

02453

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

YOUTH VILLAGES

Street

400 WEST CUMMINGS PARK, SUITE 150

City

WOBURN

State

MA

Zip Code

01801

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

CHILREN'S AID SOCIETY

Street

105 EAST 22ND STREET, SUITE 504

City

NEW YORK

State

NY

Zip Code

10010

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

100,000

Name

EASTER SEALS

Street

233 SOUTH WACKER DRIVE, SUITE 2400

City

CHICAGO

State

IL

Zip Code

60606

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

80,000

Name

FOOD BANK FOR NEW YORK CITY

Street

39 BROADWAY

City

NEW YORK

State

NY

Zip Code

10006

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

50,000

Name

GOD'S LOVE WE DELIVER

Street

166 AVENUE OF THE AMERICAS

City

NEW YORK

State

NY

Zip Code

10013

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

50,000

BNY MELLON FOUNDATION

31-1605320 Page 4 of 5

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GOOD SHEPHERD SERVICES

Street

305 SEVENTH AVENUE

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

200,000

Name

GREATER CHICAGO FOOD DEPOSITORY

Street

4100 WEST ANN LURIE PLACE

City

CHICAGO

State

IL

Zip Code

60632

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

Name

HELP USA, INC.

Street

5 HANOVER SQUARE, 17TH FLOOR

City

NEW YORK

State

NY

Zip Code

10004

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

HOUSTON FOOD BANK

Street

3811 EASTEX FREEWAY

City

HOUSTON

State

TX

Zip Code

77026

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

15,000

Name

INSTITUTE FOR VETERANS AND MILITARY FAMILIES

Street

721 UNIVERSITY AVENUE, STE 420

City

SYRACUSE

State

NY

Zip Code

13244

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

80,000

Name

LOS ANGELES REGIONAL FOODBANK

Street

1734 EAST 41ST STREET

City

VERNON

State

CA

Zip Code

90058

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

10,000

BNY MELLON FOUNDATION

31-1605320 Page 5 of 5

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

OPERATION WARM, INC.

Street

6 DICKINSON DRIVE, STE 314

City

CHADDS FORD

State

PA

Zip Code

19317

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

60,000

Name

SAN FRANCISCO FOOD BANK

Street

900 PENNSYLVANIA AVENUE

City

SAN FRANCISCO

State

CA

Zip Code

94107

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

10,000

Name

SECOND HARVEST FOOD BANK OF CENTRAL FLORIDA

Street

2008 BRENGLE AVENUE

City

ORLANDO

State

FL

Zip Code

32808

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

20,000

Name

NEW YORK PUBLIC LIBRARY

Street

515 MALCOM X BLVD

City

NEW YORK

State

NY

Zip Code

10037-1801

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATIONS

Amount

75,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Short Term CG Distributions																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals				Net Gain or Loss									
								Capital Gains/Losses													
								Gross Sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss							
Long Term CG Distributions								18,764,394	18,634,478	0	0	0	0	2,129,915							
Short Term CG Distributions								0	0	0	0	0	0	0							
69	X	HELIUM ENERGY SOLUTIONS C	42330P107		8/21/2012		2/20/2013	7,110	5,812				0	1,298							
70	X	HELIUM ENERGY SOLUTIONS C	42330P107		8/21/2012		3/18/2013	8,318	6,974				0	1,342							
71	X	HELIUM ENERGY SOLUTIONS C	42330P107		8/20/2012		3/18/2013	4,851	4,016				0	835							
72	X	HELIUM ENERGY SOLUTIONS C	42330P107		8/20/2012		3/20/2013	1,823	1,530				0	293							
73	X	KRAFT FOODS GROUP INC	500780108		9/23/2011		9/24/2013	8,322	5,520				0	2,802							
74	X	KRAFT FOODS GROUP INC	500780108		10/15/2012		9/24/2013	4,214	3,752				0	462							
75	X	LSI CORPORATION	502181102		8/20/2012		3/20/2013	8,927	10,234				0	-1,307							
76	X	LAS VEGAS SANDS CORP	517834107		9/23/2011		6/12/2013	8,915	8,915				0	0							
77	X	LAS VEGAS SANDS CORP	517834107		9/23/2011		11/8/2013	9,023	5,752				0	3,271							
78	X	LAS VEGAS SANDS CORP	517834107		8/23/2011		11/19/2013	4,168	2,655				0	1,511							
79	X	LAS VEGAS SANDS CORP	517834107		12/13/2012		11/19/2013	694	452				0	242							
80	X	LENDER PROCESSING SERV	52802E102		11/27/2012		3/20/2013	8,934	8,193				0	741							
81	X	LENDER PROCESSING SERV	52802E102		11/28/2012		3/20/2013	1,207	1,223				0	-16							
82	X	LENDER PROCESSING SERV	52802E102		12/3/2013		3/20/2013	5,795	5,608				0	187							
83	X	MALINCKRODT PLC	G5785G107		1/3/2011		7/22/2013	8,752	8,884				0	-132							
84	X	SEAGATE TECHNOLOGY	G7845M107		4/17/2012		7/31/2013	5,731	4,860				0	871							
85	X	WARNER CHILCOTT PLC - CL	G9436B100		8/20/2012		3/20/2013	820	1,029				0	-209							
86	X	WARNER CHILCOTT PLC - CL	G9436B100		8/21/2012		3/20/2013	2,732	3,398				0	-666							
87	X	GARMIN LTD	H2908T108		4/9/2012		2/25/2013	2,063	2,845				0	-782							
88	X	GARMIN LTD	H2908T108		3/28/2012		2/25/2013	1,375	1,893				0	-518							
89	X	GARMIN LTD	H2908T108		3/28/2012		4/17/2013	5,898	8,519				0	-2,621							
90	X	NXP SEMICONDUCTORS N V	N6588X108		9/23/2011		2/4/2013	24,000	13,647				0	10,353							
91	X	NXP SEMICONDUCTORS N V	N6588X108		9/23/2011		3/4/2013	24,883	13,141				0	11,542							
92	X	NXP SEMICONDUCTORS N V	N6588X108		9/23/2011		4/3/2013	55,481	34,033				0	21,458							
93	X	AVAGO TECHNOLOGIES LTD	Y0486S104		10/14/2012		11/8/2013	7,325	7,481				0	-156							
94	X	AVAGO TECHNOLOGIES LTD	Y0486S104		10/14/2012		11/19/2013	3,448	3,521				0	-73							
95	X	AVAGO TECHNOLOGIES LTD	Y0486S104		9/27/2013		11/19/2013	431	427				0	4							
96	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		10/15/2013	45	46				0	-1							
97	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		11/15/2013	212	217				0	-5							
98	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		11/15/2013	30	31				0	-1							
99	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		12/15/2013	216	221				0	-5							
100	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		12/15/2013	31	32				0	-1							
101	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		1/15/2014	247	253				0	-6							
102	X	FILM GOLD G18473 3.0%	3128MMQ30		8/27/2013		1/15/2014	35	36				0	-1							
103	X	FILM GOLD G18488 3.0%	3128MMR1		11/18/2013		1/15/2014	78	81				0	-3							
104	X	FILM GOLD G18488 3.0%	3128MMR1		12/13/2013		1/15/2014	78	80				0	-2							
105	X	FILM GOLD G18488 3.0%	3128MMR1		10/23/2013		12/15/2013	142	152				0	-10							
106	X	FILM GOLD G18488 3.0%	3128PVL00		10/23/2013		1/15/2014	485	485				0	-31							
107	X	APACHE CORP CONV PFD	037411808		9/23/2011		4/12/2013	2,137	2,803				0	-666							
108	X	APACHE CORP CONV PFD	037411808		9/23/2011		7/18/2013	7,101	7,808				0	-707							
109	X	APACHE CORP CONV PFD	037411808		11/20/2012		7/18/2013	2,840	2,885				0	-45							
110	X	APACHE CORP CONV PFD	037411808		11/20/2012		3/20/2013	2,103	2,044				0	59							
111	X	APACHE CORP CONV PFD	037411808		11/20/2012		3/20/2013	4,798	4,751				0	45							
112	X	APACHE CORP CONV PFD	037411808		11/20/2012		3/20/2013	1,598	1,710				0	-111							
113	X	APPLE INC	037833100		11/20/2008		2/20/2013	25,317	4,548				0	20,769							
114	X	APPLE INC	037833100		11/20/2008		3/1/2013	24,586	4,830				0	19,856							
115	X	APPLE INC	037833100		11/20/2008		6/12/2013	31,147	5,767				0	25,380							
116	X	APPLE INC	037833100		11/20/2008		6/12/2013	49,281	9,259				0	40,022							
117	X	FNMA POOL # 745418 5.5%	31403DDX4		4/12/2013		7/25/2013	365	400				0	-35							
118	X	FNMA POOL # 889668 6.0%	31410KMR2		5/15/2013		7/25/2013	362	396				0	-34							
119	X	FNMA POOL # 889668 6.0%	31410KMR2		5/15/2013		8/23/2013	6,645	6,658				0	-13							
120	X	FNMA POOL # 889668 6.0%	31410KMR2		5/15/2013		9/25/2013	501	548				0	-47							
121	X	FNMA POOL # 889668 6.0%	31410KMR2		5/15/2013		10/25/2013	347	380				0	-33							
122	X	FNMA POOL # 889668 6.0%	31410KMR2		5/20/2009		3/25/2013	734	755				0	-21							
123	X	FNMA POOL # 889668 6.0%	31414CRW0		5/20/2009		3/25/2013	401	412				0	-11							
124	X	FNMA POOL # 889668 6.0%	31414CRW0		5/20/2009		3/25/2013	2,484	2,485				0	0							
125	X	TECH DATA CORP	878237108		8/21/2012		3/20/2013	13,031	12,924				0	107							
126	X	TECH DATA CORP	878237108		8/21/2012		3/20/2013	1,340	1,352				0	-12							
127	X	TECH DATA CORP	878237108		8/21/2012		3/20/2013	2,679	2,725				0	-46							
128	X	TECH DATA CORP	878237108		12/5/2012		9/4/2013	5,048	4,840				0	208							
129	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
130	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
131	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
132	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
133	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
134	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
135	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							
136	X	TERADATA CORPORATION	88078W103		3/3/2011		3/1/2013	12,326	10,731				0	1,595							

	Amount
Long Term CG Distributions	118,784
Short Term CG Distributions	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions														Amount		Short Term CG Distributions													
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Capital Gains/Losses			Gross Sales	Cost, Other Basis and Expenses		Net Gain or Loss												
								Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments		Net Gain or Loss														
205	X	TEVA PHARMACEUTICAL IND	881624209		10/25/2012		11/19/2013	1,165	1,228				18,764,394	16,634,479		2,129,915	0												
206	X	TEXTRON INC	883203101		8/20/2012		3/20/2013	2,781	2,452				0	0			329	0											
207	X	TEXTRON INC	883203101		8/21/2012		3/20/2013	8,342	7,408				0	0			934	0											
208	X	THERMO FISHER SCIENTI 2 2	883588BA8		8/9/2011		1/24/2013	15,485	14,974				0	0			511	0											
209	X	THERMO FISHER SCIENTI 2 2	883588BA9		10/7/2011		3/20/2013	5,162	5,064				0	0			89	0											
210	X	THOR INDUSTRIES INC	885160101		11/22/2011		3/20/2013	9,242	6,414				0	0			2,828	0											
211	X	THOR INDUSTRIES INC	885160101		9/10/2011		3/20/2013	4,806	3,186				0	0			1,620	0											
212	X	THOR INDUSTRIES INC	885160101		5/30/2012		3/20/2013	370	257				0	0			113	0											
213	X	THOR INDUSTRIES INC	885160101		8/20/2012		3/20/2013	2,588	2,036				0	0			552	0											
214	X	THOR INDUSTRIES INC	885160101		8/20/2012		3/20/2013	1,848	1,644				0	0			204	0											
215	X	THOR INDUSTRIES INC	885160101		8/20/2012		3/20/2013	2,588	2,315				0	0			273	0											
216	X	THORATEC CORPORATION	885175307		8/20/2012		3/20/2013	5,033	4,484				0	0			569	0											
217	X	THORATEC CORPORATION	885175307		8/21/2012		3/20/2013	14,741	13,152				0	0			1,589	0											
218	X	HOMER DEPOT INC	437076102		9/23/2011		8/16/2013	19,513	8,240				0	0			11,273	0											
219	X	HOMER DEPOT INC	437076102		9/23/2011		8/23/2013	49,951	21,752				0	0			28,189	0											
220	X	HOMER DEPOT INC	437076102		9/23/2011		11/8/2013	29,379	13,183				0	0			16,188	0											
221	X	HOMER DEPOT INC	437076102		9/23/2011		11/8/2013	6,749	2,966				0	0			3,783	0											
222	X	HOMER DEPOT INC	437076102		9/23/2011		11/8/2013	4,024	1,648				0	0			2,376	0											
223	X	HONEYWELL INTL INC	438516108		11/20/2008		6/12/2013	14,914	4,590				0	0			10,324	0											
224	X	HONEYWELL INTL INC	438516108		11/20/2008		11/8/2013	10,316	2,899				0	0			7,417	0											
225	X	HONEYWELL INTL INC	438516108		11/20/2008		11/19/2013	4,391	1,208				0	0			3,183	0											
226	X	HOSPITALITY PROPERTIES T	44108M102		8/20/2012		3/18/2013	3,523	3,065				0	0			458	0											
227	X	HOSPITALITY PROPERTIES T	44108M102		8/21/2012		3/18/2013	10,841	9,538				0	0			1,305	0											
228	X	HUMANA INC	444859102		8/20/2012		1/23/2013	1,449	1,363				0	0			86	0											
229	X	HUMANA INC	444859102		8/21/2012		1/23/2013	2,897	2,732				0	0			165	0											
230	X	HUNTSMAN CORP	447011107		8/20/2012		3/20/2013	2,455	1,911				0	0			544	0											
231	X	HUNTSMAN CORP	447011107		8/21/2012		3/20/2013	7,553	5,954				0	0			1,589	0											
232	X	ITT CORP-WI	450911201		8/20/2012		3/20/2013	5,807	4,128				0	0			1,678	0											
233	X	ITT CORP-WI	450911201		8/21/2012		3/20/2013	17,131	12,232				0	0			4,899	0											
234	X	IDACORP INC	451107106		8/20/2012		3/20/2013	5,698	5,105				0	0			583	0											
235	X	IDACORP INC	451107106		8/21/2012		3/20/2013	18,043	16,220				0	0			1,823	0											
236	X	IDEX CORP	45167R104		8/20/2012		3/20/2013	5,875	4,516				0	0			1,359	0											
237	X	IDEX CORP	45167R104		8/21/2012		3/20/2013	17,624	13,632				0	0			3,992	0											
238	X	IDEX CORP	45167R104		9/18/2012		3/20/2013	6,409	4,987				0	0			1,422	0											
239	X	ILLINOIS ST 4 421% 101	4521518V8		1/8/2010		11/5/2013	10,551	10,000				0	0			551	0											
240	X	UNITED THERAPEUTICS COR	91307C102		8/21/2012		3/20/2013	8,785	7,816				0	0			979	0											
241	X	LILLY ELI & CO	532457108		8/10/2012		5/24/2013	119,456	98,421				0	0			23,035	0											
242	X	LILLY ELI & CO	532457108		8/10/2012		5/28/2013	15,319	12,216				0	0			3,103	0											
243	X	LILLY ELI & CO	532457108		10/24/2012		5/28/2013	3,283	3,047				0	0			236	0											
244	X	LINCOLN ELEC HLDGS INC	533900108		3/8/2012		3/18/2013	3,954	3,251				0	0			703	0											
245	X	LINCOLN ELEC HLDGS INC	533900108		3/8/2012		3/20/2013	8,807	5,572				0	0			3,235	0											
246	X	LINCOLN ELEC HLDGS INC	533900108		6/13/2012		3/20/2013	3,403	2,703				0	0			700	0											
247	X	LINCOLN ELEC HLDGS INC	533900108		8/20/2012		3/20/2013	3,970	2,893				0	0			967	0											
248	X	LINCOLN ELEC HLDGS INC	533900108		8/21/2012		3/20/2013	11,912	9,045				0	0			2,867	0											
249	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		5/15/2013	60	63				0	0			-3	0											
250	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		8/15/2013	675	703				0	0			-28	0											
251	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		7/15/2013	42	44				0	0			-2	0											
252	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		8/15/2013	428	445				0	0			-17	0											
253	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		9/15/2013	40	41				0	0			-1	0											
254	X	FILM GOLD A80393 5.0%	312838N18		3/8/2010		10/15/2013	1,462	1,522				0	0			-60	0											
255	X	FILM GOLD A80393 5.0%	312838N18		9/23/2011		11/8/2013	7,321	5,033				0	0			2,288	0											
256	X	MONDELEZ INTERNATIONAL	609207105		9/23/2011		11/19/2013	3,707	2,407				0	0			1,300	0											
257	X	MONDELEZ INTERNATIONAL	609207105		9/23/2011		11/19/2013	674	439				0	0			238	0											
258	X	MONDELEZ INTERNATIONAL	609207105		8/20/2012		3/20/2013	2,064	1,548				0	0			516	0											
259	X	MOODY'S CORP	615369105		8/21/2012		3/20/2013	6,707	5,036				0	0			1,671	0											
260	X	MORGAN STANLEY 4 875%	617482A43		11/1/2013		8/30/2013	5,034	5,147				0	0			-113	0											
261	X	MORGAN STANLEY 4 875%	617482A43		11/1/2013		8/30/2013	14,799	15,440				0	0			-641	0											
262	X	MORGAN STANLEY GLOB 4	61748AAE8		11/1/2008		11/1/2013	15,544	13,862				0	0			1,882	0											
263	X	MORGAN STANLEY GLOB 4	61748AAE8		5/6/2009		11/1/2013	20,725	19,185				0	0			1,540	0											
264	X	MORGAN STANLEY GLOB 4	61748AAE8		10/1/2012		6/12/2013	5,003	5,007				0	0			-4	0											
265	X	NYSE Euronext 2.0%	629491AB7		10/1/2012		8/12/2013	5,003	4,983				0	0			20	0											
266	X	NYSE Euronext 2.0%	629491AB7		8/21/2012		2/20/2013	2,172	1,666				0	0			508	0											
267	X	NASDAQ OMX GROUP	631103108		8/20/2012		3/20/2013	4,782	3,531				0	0			1,251	0											
268	X	NASDAQ OMX GROUP	631103108		11/21/2012		12/15/2013	288	303				0	0			-15	0											
269	X	FILM GOLD POOL Q12834 3	3132PHK3		11/21/2012		11/5/2013	285	299				0	0			-14	0											
270	X	FILM GOLD POOL Q12834 3	3132PHK3		11/21/2012		8/15/2013	448	447				0	0			1	0											
271	X	FILM GOLD Q18384 3.5%	3132JA2S3		7/8/2013		8/15/2013	76	76				0	0			0	0											
272	X	FILM GOLD Q19384 3.5%	3132JA2S3		7/8/2013		10/15/2013	78	78				0	0			0	0											

Year	Number of Firms	Number of Employees	Number of Firms	Number of Employees
2008	38	7,319	11/19/2013	7,700
2009	38	7,319	05/20/12	16,220
2010	38	7,319	3/18/2013	16,248
2011	38	7,319	3/20/2013	16,248
2012	38	7,319	8/12/201	84,126X201
2013	38	7,319	NEUSTAR INC-CLASS A	340
2014	38	7,319		340
2015	38	7,319		340
2016	38	7,319		340
2017	38	7,319		340
2018	38	7,319		340
2019	38	7,319		340
2020	38	7,319		340
2021	38	7,319		340
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2079	38	7,319		340
2080	38	7,319		340
2081	38	7,319		340
2082	38	7,319		340
2083	38	7,319		340
2084	38	7,319		340
2085	38	7,319		340
2086	38	7,319		340

	Amount
Long Term CG Distributions	118,784
Short Term CG Distributions	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Short Term CG Distributions	
										118,784			
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Cost, Other Basis and Expenses		Net Gain or Loss	
								Gross Sales Price	Cost or Other Basis	Gross Sales	Depreciation		Expense of Sale and Cost of Improvements
409	X VALERO ENERGY CORP NEW	91913Y100			5/3/2013		12/12/2013	4,621	3,786			825	
410	X VALERO ENERGY CORP NEW	91913Y100			9/23/2011		12/12/2013	20,786	7,632			13,154	
411	X VECTREN CORP	92240G101			8/20/2012		1/23/2013	2,478	2,330			148	
412	X VECTREN CORP	92240G101			8/21/2012		1/23/2013	2,788	2,618			172	
413	X VECTREN CORP	92240G101			8/21/2012		1/24/2013	4,334	4,070			264	
414	X VECTREN CORP	92240G101			9/18/2012		1/24/2013	4,025	3,634			391	
415	X VERIZON COMMUNICATIONS	92343V104			3/18/2013		5/13/2013	4,204	3,910			294	
416	X VERIZON COMMUNICATIONS	92343V104			3/18/2013		5/30/2013	2,458	2,444			14	
417	X VERIZON COMMUNICATIONS	92343V104			3/21/2013		5/30/2013	3,632	3,908			26	
418	X VERIZON COMMUNICATIONS	92343V104			3/23/2013		5/30/2013	2,458	2,415			43	
419	X WOART 2011-A A3 1.11%	98158KAC3			3/2/2011		2/15/2013	2,598	2,598			0	
420	X WOART 2011-A A3 1.11%	98158KAC3			3/2/2011		3/15/2013	2,287	2,287			0	
421	X WOART 2011-A A3 1.11%	98158KAC3			3/2/2011		4/3/2013	19,131	19,083			48	
422	X WORTHINGTON INDUSTRIES	981811102			10/18/2012		3/20/2013	3,185	2,447			748	
423	X WORTHINGTON INDUSTRIES	981811102			10/17/2012		3/20/2013	24,111	18,917			5,194	
424	X WYNDHAM WORLDWIDE CORP	98310W108			8/21/2012		3/20/2013	1,868	1,549			319	
425	X WYNDHAM WORLDWIDE CORP	98310W108			9/19/2012		3/20/2013	3,736	3,199			537	
426	X YAHOO INC	98433Z108			3/7/2013		6/12/2013	19,134	16,628			2,505	
427	X YAHOO INC	98433Z108			3/7/2013		8/9/2013	11,263	9,340			1,923	
428	X YAHOO INC	98433Z108			2/7/2013		8/9/2013	29,119	21,428			7,691	
429	X YAHOO INC	98433Z108			2/7/2013		10/24/2013	4,968	3,032			1,834	
430	X YAHOO INC	98433Z108			1/5/2012		10/24/2013	32,111	16,853			15,258	
431	X YAHOO INC	98433Z108			1/5/2012		11/8/2013	9,730	5,212			4,518	
432	X YAHOO INC	98433Z108			1/5/2012		11/19/2013	5,185	2,608			2,569	
433	X YUMI BRANDS INC	988488101			2/1/2013		6/12/2013	11,813	10,533			1,080	
434	X YUMI BRANDS INC	988488101			2/1/2013		11/8/2013	6,973	6,583			390	
435	X YUMI BRANDS INC	988488101			2/1/2013		11/9/2013	2,846	2,833			13	
436	X COMMIT TO PUR OPTIMA PRT	989M00039			12/26/2012		1/12/2013	1,500,000	1,500,000			0	
437	X COMMIT TO PUR OPTIMA PRT	989M00039			12/26/2012		1/12/2013	1,500,000	1,500,000			0	
438	X COMMIT TO PUR OPTIMA DIS	989P12524			12/26/2012		1/12/2013	450,000	450,000			0	
439	X NAROT 2010-A A4 1.31% 8/15/	654788AD5			4/10/2013		10/15/2013	2,314	2,329			-15	
440	X NAROT 2010-A A4 1.31% 8/15/	654788AD5			4/10/2013		11/15/2013	2,303	2,317			-14	
441	X NAROT 2010-A A4 1.31% 8/15/	654788AD5			4/10/2013		12/15/2013	1,993	2,006			-13	
442	X NU SKIN ENTERPRISES INC C	67018T105			9/18/2012		3/20/2013	7,002	7,279			-277	
443	X NU SKIN ENTERPRISES INC C	670348105			4/24/2013		6/12/2013	9,233	9,150			83	
444	X FILMC GOLD A90393 5.0%	312938NJ8			3/8/2010		11/15/2013	44	46			-2	
445	X FILMC GOLD A90393 5.0%	312938NJ8			3/8/2010		12/15/2013	651	877			-26	
446	X FILMC GOLD A90393 5.0%	312938NJ8			3/8/2010		1/15/2014	44	46			-2	
447	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		2/15/2013	1,067	1,134			-67	
448	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		3/15/2013	996	1,058			-62	
449	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		4/15/2013	871	925			-54	
450	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		5/15/2013	928	985			-57	
451	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		6/15/2013	931	989			-58	
452	X FILMC GOLD A92821 5.0%	312941D20			7/22/2010		6/14/2013	22,782	22,689			93	
453	X FEDERAL RLT INV TRUST	313747208			8/20/2012		3/20/2013	2,955	2,885			-30	
454	X FEDERAL RLT INV TRUST	313747208			2/20/2013		3/20/2013	6,866	7,199			-233	
455	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		2/25/2013	425	442			-17	
456	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		3/25/2013	415	431			-16	
457	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		4/25/2013	402	417			-15	
458	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		5/25/2013	451	469			-18	
459	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		6/25/2013	385	400			-15	
460	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		7/25/2013	320	333			-13	
461	X FIMA POOL # 55528 6.0%	31385XD95			2/20/2009		8/25/2013	390	405			-15	
462	X QLOGIC CORP	747271101			8/21/2012		2/20/2013	5,864	6,246			-382	
463	X FIMA POOL # AP0457 3.0%	3138M30K3			3/11/2013		10/25/2013	237	249			-12	
464	X SCHLUMBERGER LTD	806857108			12/14/2012		2/13/2013	27,458	24,172			3,286	
465	X SCHLUMBERGER LTD	806857108			10/26/2011		2/13/2013	35,303	30,621			4,682	
466	X SCHLUMBERGER LTD	806857108			10/26/2011		6/12/2013	9,336	8,846			490	
467	X SCHLUMBERGER LTD	806857108			9/23/2011		11/8/2013	928	680			248	
468	X SCHLUMBERGER LTD	806857108			9/23/2011		11/8/2013	5,568	3,817			1,751	
469	X SCHLUMBERGER LTD	806857108			8/20/2012		11/19/2013	3,662	2,412			1,250	
470	X SCHOLASTIC CORP	807066105			8/20/2012		3/20/2013	3,689	3,755			-66	
471	X SCHOLASTIC CORP	807066105			8/21/2012		3/20/2013	10,453	10,758			-305	
472	X SCHOLASTIC CORP	807066105			10/16/2012		3/20/2013	922	1,027			-105	
473	X SCHOLASTIC CORP	807066105			10/17/2012		3/20/2013	2,152	2,384			-242	
474	X SEMIRA ENERGY	816851109			9/23/2011		6/12/2013	11,158	6,962			4,196	
475	X SEMIRA ENERGY	816851109			9/23/2011		11/8/2013	7,996	4,475			3,511	
476	X SEMIRA ENERGY	816851109			9/23/2011		11/19/2013	3,620	1,989			1,631	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals				Net Gain or Loss		
								Capital Gains/Losses						
								Gross Sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
	Long Term CG Distributions	Amount						18,764,394	18,634,479					2,129,915
	Short Term CG Distributions	118,784						0	0					0
477	X	SERVENOW INC			8/15/2013		11/19/2013	7,352	6,088					1,264
478	X	SERVENOW INC			8/15/2013		11/19/2013	3,623	3,044					579
479	X	CENTERPOINT ENERGY INC			8/25/2012		4/24/2013	15,457	13,704					1,753
480	X	CENTERPOINT ENERGY INC			12/28/2012		4/24/2013	26,325	21,716					4,609
481	X	CENTERPOINT ENERGY INC			8/28/2012		6/12/2013	7,943	7,342					601
482	X	CENTERPOINT ENERGY INC			12/26/2012		6/12/2013	3,738	3,188					550
483	X	CENTERPOINT ENERGY INC			12/26/2012		11/8/2013	2,194	1,793					401
484	X	CENTERPOINT ENERGY INC			12/26/2012		11/8/2013	2,926	2,323					603
485	X	CENTERPOINT ENERGY INC			8/28/2011		11/19/2013	3,689	2,904					785
486	X	CENTERPOINT ENERGY INC			8/23/2011		11/19/2013	884	748					136
487	X	CENTERPOINT ENERGY INC			8/20/2012		3/20/2013	4,884	4,035					849
488	X	CHARLES RIV LABORATORIE			8/20/2012		3/20/2013	15,127	12,532					2,595
489	X	CHARLES RIV LABORATORIE			8/20/2012		3/20/2013	2,882	2,668					214
490	X	CHEESECAKE FACTORY INC			8/21/2012		3/20/2013	8,287	7,721					566
491	X	CHEESECAKE FACTORY INC			3/6/2013		8/28/2013	18,553	17,992					561
492	X	CHEVRON CORPORATION			3/6/2013		8/28/2013	2,683	2,604					79
493	X	CHEVRON CORPORATION			9/23/2011		8/28/2013	1,341	991					350
494	X	CHEVRON CORPORATION			9/23/2011		8/16/2013	23,604	22,490					1,114
495	X	CHEVRON CORPORATION			9/23/2011		8/16/2013	27,331	19,819					7,512
496	X	CHEVRON CORPORATION			9/23/2011		11/8/2013	2,775	2,072					703
497	X	CHEVRON CORPORATION			8/23/2011		11/8/2013	8,401	6,306					2,095
498	X	CHEVRON CORPORATION			9/23/2011		11/19/2013	489	360					129
499	X	CHEVRON CORPORATION			9/23/2011		11/19/2013	4,277	3,153					1,124
500	X	VERIZON COMMUNICATIONS			4/19/2013		6/17/2013	26,447	27,574					-1,127
501	X	VERIZON COMMUNICATIONS			4/19/2013		6/17/2013	13,588	14,047					-459
502	X	VERIZON COMMUNICATIONS			4/19/2013		6/17/2013	2,527	2,601					-74
503	X	VERIZON COMMUNICATIONS			3/7/2013		8/14/2013	13,468	18,826					-3,357
504	X	EQUINIX INC			3/7/2013		8/14/2013	3,281	4,036					-755
505	X	EQUINIX INC			3/7/2013		8/15/2013	55,331	71,152					-15,821
506	X	EQUINIX INC			3/7/2013		8/15/2013	21,142	24,650					-3,508
507	X	EQUINIX INC			9/23/2011		10/24/2013	4,708	7,153					-2,447
508	X	EXELON CORP			9/23/2011		10/28/2013	3,355	5,049					-1,694
509	X	EXELON CORP			9/23/2011		10/28/2013	2,796	3,780					-884
510	X	EXELON CORP			4/12/2012		10/28/2013	1,678	1,945					-267
511	X	EXELON CORP			2/25/2013		6/12/2013	15,811	11,862					3,949
512	X	EXPRESS SCRIPTS HOLDING			10/28/2011		6/12/2013	50,885	35,918					14,967
513	X	EXPRESS SCRIPTS HOLDING			10/28/2011		11/8/2013	7,031	5,131					1,900
514	X	EXPRESS SCRIPTS HOLDING			10/28/2011		11/19/2013	3,242	2,332					910
515	X	EXPRESS SCRIPTS HOLDING			10/28/2011		6/12/2013	14,544	11,442					3,102
516	X	EXXON MOBIL CORP			11/20/2008		8/23/2013	29,646	24,315					5,331
517	X	EXXON MOBIL CORP			11/20/2008		11/6/2013	7,332	5,721					1,611
518	X	EXXON MOBIL CORP			11/20/2008		11/19/2013	3,824	2,881					943
519	X	EXXON MOBIL CORP			8/15/2013		11/19/2013	4,323	3,275					1,048
520	X	FACEBOOK INC-A			8/15/2013		11/19/2013	1,856	1,456					400
521	X	FACEBOOK INC-A			8/15/2013		3/20/2013	2,734	2,836					-102
522	X	FACTSET RESEARCH SYSTEM			8/21/2012		3/20/2013	8,204	8,602					-398
523	X	FACTSET RESEARCH SYSTEM			8/20/2012		3/20/2013	3,652	3,571					81
524	X	FAIR, ISAAC INC			7/11/2012		6/12/2013	10,499	10,287					212
525	X	FAMILY DLR STORES INC			12/19/2013		12/19/2013	6,893	7,961					-768
526	X	ALCATEL-LUCENT-SPONSOR			9/30/2013		11/19/2013	7,144	6,824					320
527	X	ACTAVIS PLC			9/30/2013		11/19/2013	3,541	3,168					373
528	X	ACTAVIS PLC			2/16/2012		12/2/2013	33,508	19,028					14,480
529	X	ALLEGION PLC			4/27/2012		6/12/2013	15,711	12,400					3,311
530	X	AON PLC			4/27/2012		11/8/2013	4,768	3,100					1,668
531	X	AON PLC			11/8/2011		11/8/2013	7,150	4,414					2,736
532	X	AON PLC			3/5/2013		6/12/2013	5,846	3,433					2,413
533	X	ACCENTURE PLC-CL A			3/5/2013		6/12/2013	869	768					101
534	X	ACCENTURE PLC-CL A			9/23/2011		7/10/2013	11,325	7,025					4,300
535	X	ACCENTURE PLC-CL A			2/25/2013		9/19/2013	3,894	3,763					131
536	X	ACCENTURE PLC-CL A			2/25/2013		9/21/2013	3,648	3,763					-114
537	X	ACCENTURE PLC-CL A			3/4/2013		9/21/2013	1,480	1,489					-9
538	X	ACCENTURE PLC-CL A			3/21/2013		9/21/2013	1,460	1,493					-33
539	X	FILM GOLD A92821			7/22/2010		8/15/2013	232	247					-15
540	X	FILM GOLD A92821			7/22/2010		8/15/2013	140	148					-8

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Short Term CG Distributions																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Short Term CG Distributions										118,784		Capital Gains/Losses Other sales		18,764,394		16,634,479		2,129,915	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss					
681	X S.L. GREEN REALTY CORP RE	78440X101			5/20/2011		3/20/2013	2,571	2,568				0	3					
682	X DREYFUS SELECT MGER S/C	86271F578			4/28/2011		3/22/2013	150,000	133,056				0	16,944					
683	X SANDISK CORP	80004C101			6/18/2013		7/28/2013	47,751	53,800				0	-6,049					
684	X SANDISK CORP	80004C101			6/20/2013		7/28/2013	29,428	32,322				0	-2,894					
685	X DREYFUS SELECT MGER S/C	86271F578			4/28/2011		11/19/2013	11,000	7,820				0	3,180					
686	X DREYFUS SELECT MGER S/C	86271F578			9/22/2011		3/22/2013	32,070	24,160				0	7,910					
687	X DREYFUS SELECT MGER S/C	86271F877			12/31/2012		3/22/2013	24,211	21,780				0	2,431					
688	X DREYFUS SELECT MGER S/C	86271F877			3/20/2013		3/22/2013	320	323				0	-3					
689	X DREYFUS SELECT MGER S/C	86271F877			12/10/2009		3/22/2013	93,399	68,072				0	25,327					
690	X DREYFUS SELECT MGER S/C	86271F877			12/10/2009		11/19/2013	11,000	6,728				0	4,272					
691	X BNY MELLON INTERNATIONAL	05569M871			6/12/2013		11/19/2013	39,000	34,537				0	4,463					
692	X BALLY TECHNOLOGIES INC	05874B107			9/18/2012		3/20/2013	17,521	15,881				0	1,640					
693	X BANK OF AMERICA CORP 57	060505CL8			5/6/2009		6/13/2013	10,950	8,660				0	2,270					
694	X BANK OF AMERICA CORP 57	060505CL8			5/6/2009		9/4/2013	10,911	8,711				0	2,200					
695	X BEAM INC	073730103			6/4/2013		6/12/2013	13,055	13,054				0	1					
696	X BEAM INC	073730103			6/4/2013		11/19/2013	8,057	7,833				0	224					
697	X BEAM INC	073730103			6/4/2013		11/19/2013	4,067	3,916				0	151					
698	X BLACK HILLS CORP	092113109			8/20/2012		3/20/2013	1,289	942				0	357					
699	X BLACK HILLS CORP	092113109			8/21/2012		3/20/2013	3,494	2,515				0	949					
700	X BLACKROCK INC 6.25%	09247XAC5			5/5/2009		6/13/2013	5,946	5,010				0	936					
701	X BLACKROCK INC 6.25%	09247XAC5			5/5/2009		9/4/2013	5,810	5,010				0	800					
702	X BLOCK H & R INC	093871105			8/20/2012		12/30/2013	8,270	6,573				0	1,697					
703	X BLOCK H & R INC	093871105			8/20/2012		2/20/2013	9,311	6,404				0	2,907					
704	X BLOCK H & R INC	093871105			8/20/2012		3/18/2013	9,664	5,899				0	3,765					
705	X BOSTON PROPERTIES LP 4.1	10112RAS3			9/30/2011		9/4/2013	5,069	4,920				0	149					
706	X BRINKER INTL INC	109841100			12/0/2012		3/20/2013	8,960	6,818				0	2,144					
707	X BRISTOL-MYERS SQUIBB CO	110122108			5/24/2013		6/12/2013	14,268	14,137				0	131					
708	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		2/25/2013	130	141				0	-11					
709	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		3/25/2013	110	119				0	-9					
710	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		4/25/2013	107	118				0	-8					
711	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		5/25/2013	83	90				0	-7					
712	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		6/25/2013	178	194				0	-16					
713	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		7/25/2013	202	218				0	-17					
714	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		8/25/2013	120	130				0	-10					
715	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		9/25/2013	85	92				0	-7					
716	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		10/25/2013	34	36				0	-2					
717	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		11/25/2013	52	58				0	-6					
718	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		12/25/2013	72	78				0	-6					
719	X FINMA POOL # 975268 5.5% 5/	31414S8D0			1/15/2013		1/25/2014	76	83				0	-7					
720	X FINMA POOL # 975268 5.5% 5/	31414S8D0			6/6/2012		1/10/2013	32,551	32,135				0	416					
721	X FINMA POOL # 975268 5.5% 5/	31414S8D0			6/6/2012		1/10/2013	60,598	60,556				0	-258					
722	X UNITED STATES TIPS 0.625%	912828QV5			8/5/2011		6/13/2013	5,473	5,362				0	111					
723	X UNITED STATES TIPS 0.625%	912828QV5			8/5/2011		11/19/2013	5,406	5,393				0	13					
724	X UNITED STATES TIPS 0.625%	912828QV5			8/24/2011		8/30/2013	10,213	10,238				0	-25					
725	X U.S. TREASURY NOTE 1.375%	912828RH5			10/2/2013		11/19/2013	5,015	4,986				0	29					
726	X U.S. TREASURY NOTE 0.875%	912828V0			1/15/2013		4/17/2013	10,156	10,130				0	26					
727	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		5/6/2013	15,013	14,957				0	56					
728	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		5/8/2013	20,015	19,943				0	72					
729	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		7/16/2013	5,001	4,986				0	15					
730	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		8/27/2013	5,001	4,986				0	15					
731	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		9/23/2013	5,004	4,986				0	18					
732	X U.S. TREASURY NOTE 0.25%	912828R25			1/13/2012		10/9/2013	10,002	9,972				0	30					
733	X U.S. TREASURY NOTE 1.375%	912828SH4			2/28/2012		4/5/2013	77,264	74,854				0	2,410					
734	X U.S. TREASURY NOTE 0.375%	912828SP6			4/11/2012		6/18/2013	20,044	18,967				0	1,077					
735	X U.S. TREASURY NOTE 0.375%	912828SP6			4/11/2012		11/14/2013	10,024	9,884				0	140					
736	X U.S. TREASURY NOTE 0.375%	912828SP6			4/11/2012		12/13/2013	5,011	4,992				0	19					
737	X U.S. TREASURY NOTE 1.25%	912828ST8			5/18/2012		3/27/2013	20,285	20,117				0	178					
738	X U.S. TREASURY NOTE 1.25%	912828ST8			5/18/2012		4/5/2013	40,842	40,235				0	607					
739	X U.S. TREASURY NOTE 1.25%	912828SV3			1/15/2013		1/16/2013	10,032	10,011				0	21					
740	X U.S. TREASURY NOTE 1.25%	912828SV3			7/21/2010		1/15/2014	121	130				0	-8					
741	X INGRIDION INC	457187102			2/20/2010		3/20/2013	8,346	7,960				0	386					
742	X INGRIDION INC	457187102			2/21/2013		3/20/2013	7,651	7,254				0	417					
743	X INTERCONTINENTAL EXCHAM	45865V100			1/17/2013		6/12/2013	15,389	11,684				0	3,705					
744	X INTERCONTINENTAL EXCHAM	45865V100			1/17/2013		11/19/2013	11,324	7,339				0	3,985					
745	X INTERCONTINENTAL EXCHAM	45865V100			1/17/2013		11/19/2013	5,360	3,407				0	1,953					
746	X INTERNATIONAL BUSINESS M	459200101			3/6/2012		4/19/2013	40,245	41,766				0	-1,543					
747	X INTERNATIONAL BUSINESS M	459200101			3/13/2013		4/19/2013	27,948	30,974				0	-3,026					
748	X INTERNATIONAL BUSINESS M	459200101			4/3/2013		4/19/2013	23,651	26,477				0	-2,826					

Part I. Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	118,784
Short Term CG Distributions	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory														
Amount														
118,784														
Long Term CG Distributions														
Short Term CG Distributions														
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
817	X	BRISTOL-MYERS SQUIBB CO	110122108		5/24/2013		11/18/2013	4,682	4,241				0	441
818	X	BROADCOM CORP CL A	111320107		9/27/2012		3/22/2013	30,844	31,576				0	-732
819	X	BROADCOM CORP CL A	111320107		9/27/2012		6/12/2013	10,568	10,842				0	-274
820	X	BROADCOM CORP CL A	111320107		9/27/2012		9/20/2013	25,645	32,424				0	-6,778
821	X	BROADCOM CORP CL A	111320107		9/27/2012		10/1/2013	6,335	8,369				0	-2,033
822	X	BROADCOM CORP CL A	111320107		9/27/2012		10/1/2013	4,223	5,401				0	-1,178
823	X	BROADCOM CORP CL A	111320107		10/9/2012		10/1/2013	1,320	1,647				0	-327
824	X	BROADCOM CORP CL A	111320107		10/9/2012		10/2/2013	25,054	31,289				0	-6,235
825	X	BROADCOM CORP CL A	111320107		11/7/2012		10/2/2013	23,999	28,014				0	-4,015
826	X	BROADCOM CORP CL A	111320107		7/23/2013		10/2/2013	25,845	31,346				0	-5,501
827	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	2,178	2,118				0	60
828	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	7,018	6,889				0	128
829	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	1,421	1,366				0	55
830	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	4,205	4,133				0	72
831	X	BROADCOM CORP CL A	111320107		9/18/2012		3/20/2013	2,724	2,848				0	-224
832	X	BROADCOM CORP CL A	111320107		10/16/2012		3/20/2013	5,448	5,264				0	184
833	X	BROADCOM CORP CL A	111320107		3/18/2013		3/20/2013	7,895	8,110				0	-115
834	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	4,169	3,757				0	412
835	X	BROADCOM CORP CL A	111320107		11/27/2012		3/20/2013	12,507	11,435				0	1,072
836	X	BROADCOM CORP CL A	111320107		3/17/2013		3/20/2013	3,243	3,082				0	181
837	X	BROADCOM CORP CL A	111320107		10/9/2012		11/18/2013	15,639	15,953				0	-214
838	X	BROADCOM CORP CL A	111320107		3/18/2013		11/18/2013	11,170	11,162				0	8
839	X	BROADCOM CORP CL A	111320107		3/18/2013		11/25/2013	160	168				0	-8
840	X	BROADCOM CORP CL A	111320107		10/9/2012		11/25/2013	120	124				0	-4
841	X	BROADCOM CORP CL A	111320107		9/17/2012		2/25/2013	189	189				0	-10
842	X	BROADCOM CORP CL A	111320107		9/17/2012		3/25/2013	225	237				0	-12
843	X	BROADCOM CORP CL A	111320107		9/17/2012		4/25/2013	215	226				0	-11
844	X	BROADCOM CORP CL A	111320107		9/17/2012		5/25/2013	214	225				0	-11
845	X	BROADCOM CORP CL A	111320107		9/17/2012		6/25/2013	284	308				0	-15
846	X	BROADCOM CORP CL A	111320107		5/3/2013		6/25/2013	118	124				0	-6
847	X	BROADCOM CORP CL A	111320107		9/17/2012		7/25/2013	285	299				0	-14
848	X	BROADCOM CORP CL A	111320107		5/3/2013		7/25/2013	114	120				0	-6
849	X	BROADCOM CORP CL A	111320107		9/17/2012		8/25/2013	208	218				0	-11
850	X	BROADCOM CORP CL A	111320107		5/3/2013		8/25/2013	83	88				0	-5
851	X	BROADCOM CORP CL A	111320107		7/11/2013		8/25/2013	42	41				0	1
852	X	BROADCOM CORP CL A	111320107		5/3/2013		9/9/2013	8,832	9,586				0	-754
853	X	BROADCOM CORP CL A	111320107		5/3/2013		9/25/2013	72	76				0	-4
854	X	BROADCOM CORP CL A	111320107		9/17/2012		9/25/2013	178	189				0	-10
855	X	BROADCOM CORP CL A	111320107		10/17/2012		3/20/2013	2,918	2,855				0	63
856	X	BROADCOM CORP CL A	111320107		11/02/2012		9/4/2013	4,971	5,178				0	-208
857	X	BROADCOM CORP CL A	111320107		11/02/2012		6/12/2013	10,481	9,937				0	544
858	X	BROADCOM CORP CL A	111320107		8/20/2012		12/3/2013	2,059	1,872				0	387
859	X	BROADCOM CORP CL A	111320107		8/21/2012		12/3/2013	5,661	4,874				0	987
860	X	BROADCOM CORP CL A	111320107		10/21/2011		12/3/2013	3,603	2,831				0	987
861	X	BROADCOM CORP CL A	111320107		10/21/2011		3/20/2013	6,928	4,852				0	772
862	X	BROADCOM CORP CL A	111320107		3/18/2013		3/20/2013	1,747	1,741				0	2,078
863	X	BROADCOM CORP CL A	111320107		12/3/2013		3/20/2013	1,747	1,578				0	6
864	X	BROADCOM CORP CL A	111320107		11/5/2013		3/20/2013	5,014	5,006				0	171
865	X	BROADCOM CORP CL A	111320107		11/5/2013		3/20/2013	30,258	30,156				0	8
866	X	BROADCOM CORP CL A	111320107		11/5/2013		3/20/2013	50,142	50,088				0	102
867	X	BROADCOM CORP CL A	111320107		8/20/2012		11/19/2013	89,638	89,575				0	54
868	X	BROADCOM CORP CL A	111320107		10/9/2012		6/13/2013	14,954	14,982				0	-8
869	X	BROADCOM CORP CL A	111320107		10/9/2012		8/30/2013	9,967	9,975				0	-8
870	X	BROADCOM CORP CL A	111320107		10/12/2012		4/10/2013	14,992	14,978				0	13
871	X	BROADCOM CORP CL A	111320107		8/22/2012		3/20/2013	14,209	9,729				0	4,480
872	X	BROADCOM CORP CL A	111320107		8/22/2012		3/20/2013	8,778	5,861				0	2,815
873	X	BROADCOM CORP CL A	111320107		8/21/2012		2/20/2013	7,816	7,108				0	-1,192
874	X	BROADCOM CORP CL A	111320107		8/20/2012		2/21/2013	2,593	2,889				0	-396
875	X	BROADCOM CORP CL A	111320107		10/18/2012		2/21/2013	288	337				0	-48
876	X	BROADCOM CORP CL A	111320107		10/16/2012		2/21/2013	6,338	6,881				0	-543
877	X	BROADCOM CORP CL A	111320107		9/23/2011		2/21/2013	288	307				0	-19
878	X	BROADCOM CORP CL A	111320107		7/24/2012		8/12/2013	9,295	8,949				0	346
879	X	BROADCOM CORP CL A	111320107		3/22/2013		8/12/2013	2,187	2,218				0	-31
880	X	BROADCOM CORP CL A	111320107		8/20/2012		3/20/2013	3,883	3,756				0	127
881	X	BROADCOM CORP CL A	111320107		8/21/2012		3/20/2013	11,151	10,465				0	686
882	X	BROADCOM CORP CL A	111320107		3/18/2013		8/13/2013	3,993	3,984				0	9
883	X	BROADCOM CORP CL A	111320107		3/22/2012		8/13/2013	6,075	5,538				0	537

Part I, Line 6 (980-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions															Amount	
Short Term CG Distributions															118,784	
Check "x" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Gross Sales Price	Cost or Other Basis	Valuation Method	Cost, Other Basis and Expenses		Net Gain or Loss	
								Capital Gains/Losses	Other sales				Gross Sales	Depreciation		Adjustments
883	X	JEFFERIES GROUP INC 85			9/23/2011		8/13/2013	12,150	10,875	0	10,875			0	1,275	
886	X	JOHNSON CONTROLS INC 473368107			9/23/2011		6/12/2013	9,688	6,858	0	6,858			0	2,830	
887	X	JOHNSON CONTROLS INC 473368107			9/23/2011		11/19/2013	7,421	4,220	0	4,220			0	3,201	
888	X	JOHNSON CONTROLS INC 473368107			9/23/2011		11/19/2013	3,881	2,110	0	2,110			0	1,771	
889	X	JOHNSON CONTROLS INC 37			4/18/2012		6/12/2013	10,324	10,381	0	10,381			0	-57	
890	X	JOHNSON CONTROLS INC 37			4/18/2012		11/20/2013	5,072	5,190	0	5,190			0	-118	
891	X	HIGHBRIDGE DYNAMIC COM			10/27/2008		8/9/2013	222,484	300,000	0	300,000			0	-77,516	
892	X	KBR INC 48242W108			10/27/2008		3/18/2013	317	227	0	227			0	90	
893	X	KBR INC 48242W108			8/25/2010		3/18/2013	5,706	3,891	0	3,891			0	1,815	
894	X	KLA - TENCOR CORP 482480100			5/30/2013		8/18/2013	1,847	1,697	0	1,697			0	150	
895	X	KLA - TENCOR CORP 482480100			10/18/2013		11/18/2013	3,620	3,876	0	3,876			0	-56	
896	X	KLA - TENCOR CORP 482480100			10/18/2013		11/19/2013	1,241	1,292	0	1,292			0	-51	
897	X	KLA - TENCOR CORP 482480100			5/30/2013		11/19/2013	620	568	0	568			0	54	
898	X	KEYCORP NEW 483287108			8/20/2012		3/20/2013	2,222	1,840	0	1,840			0	382	
899	X	KEYCORP NEW 483287108			9/23/2011		3/20/2013	6,669	5,718	0	5,718			0	1,151	
900	X	KIMBERLY CLARK CORP 494388103			8/21/2012		10/28/2013	4,618	2,885	0	2,885			0	1,634	
901	X	KIMBERLY CLARK CORP 494388103			9/23/2011		11/19/2013	431	278	0	278			0	153	
902	X	KIMCO REALTY CORP 49446R108			9/23/2011		6/6/2013	11,373	7,638	0	7,638			0	3,734	
903	X	KINDER MORGAN INC 92343V104			6/19/2012		3/22/2013	2,633	2,270	0	2,270			0	383	
904	X	VERIZON COMMUNICATIONS 92343V104			3/28/2013		6/17/2013	23,247	22,780	0	22,780			0	467	
905	X	VERIZON COMMUNICATIONS 92343V104			9/7/2012		8/20/2013	493	471	0	471			0	22	
906	X	OCWEN FINANCIAL CORP 875748308			9/23/2011		11/19/2013	3,187	2,861	0	2,861			0	336	
907	X	ONTARIO (PROVINCE OF) 40			8/29/2009		9/4/2013	5,378	4,991	0	4,991			0	387	
908	X	ORACLE CORP 575% 4			11/2/2008		8/12/2013	11,838	10,813	0	10,813			0	1,025	
909	X	ORACLE CORP 575% 4			11/2/2008		9/4/2013	5,784	5,407	0	5,407			0	377	
910	X	OSHKOSH CORPORATION 688239201			9/23/2011		3/20/2013	7,519	7,362	0	7,362			0	157	
911	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		3/22/2013	3,669	2,795	0	2,795			0	1,174	
912	X	PNC FINANCIAL SERVICES G 693475105			7/19/2012		6/12/2013	3,597	3,101	0	3,101			0	486	
913	X	PNC FINANCIAL SERVICES G 693475105			11/8/2011		7/12/2013	16,548	12,418	0	12,418			0	4,130	
914	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		7/1/2013	3,688	2,328	0	1,369			0	1,369	
915	X	PNC FINANCIAL SERVICES G 693475105			11/8/2011		7/25/2013	25,087	17,817	0	17,817			0	7,250	
916	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		7/25/2013	19,750	12,110	0	12,110			0	7,640	
917	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		7/28/2013	4,540	2,785	0	2,785			0	1,745	
918	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		7/30/2013	25,712	15,837	0	15,837			0	9,875	
919	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		7/31/2013	25,938	15,837	0	15,837			0	10,101	
920	X	PNC FINANCIAL SERVICES G 693475105			9/23/2011		11/8/2013	6,673	4,192	0	4,192			0	2,481	
921	X	OCWEN FINANCIAL CORP 875748308			7/31/2013		11/8/2013	6,474	6,189	0	6,189			0	275	
922	X	AGILENT TECHNOLOGIES INC 00846U101			8/21/2012		1/23/2013	2,215	1,841	0	1,841			0	374	
923	X	AGILENT TECHNOLOGIES INC 00846U101			8/20/2012		3/20/2013	2,881	2,571	0	2,571			0	410	
924	X	AGILENT TECHNOLOGIES INC 00846U101			8/21/2012		3/20/2013	6,813	5,892	0	5,892			0	921	
925	X	AIR PRODS & CHEMS INC 009158108			9/23/2011		4/24/2013	86,483	76,372	0	76,372			0	10,111	
926	X	AIR PRODS & CHEMS INC 009158108			9/23/2011		11/19/2013	1,211	832	0	832			0	379	
927	X	ALASKA AIR GROUP INC 011659108			8/20/2012		3/18/2013	4,231	2,454	0	2,454			0	1,777	
928	X	ALASKA AIR GROUP INC 011659108			8/20/2012		3/20/2013	9,932	5,571	0	5,571			0	4,361	
929	X	ALASKA AIR GROUP INC 011659108			8/21/2012		3/20/2013	23,587	13,323	0	13,323			0	10,264	
930	X	ALCATEL-LUCENT-SPONSOR 013804305			10/2/2013		3/20/2013	7,280	7,201	0	7,201			0	80	
931	X	ALCATEL-LUCENT-SPONSOR 013804305			10/2/2013		11/19/2013	3,383	3,444	0	3,444			0	-81	
932	X	ALLIANT TECHSYSTEMS INC 018804104			8/20/2012		3/20/2013	5,730	4,150	0	4,150			0	1,580	
933	X	ALLIANT TECHSYSTEMS INC 018804104			8/21/2012		3/20/2013	16,474	11,815	0	11,815			0	4,559	
934	X	COMMIT TO PUR FNMA SF 01F030421			2/12/2013		2/12/2013	42,019	42,175	0	42,175			0	-156	
935	X	COMMIT TO PUR FNMA SF 01F030421			2/12/2013		3/11/2013	41,962	41,962	0	41,962			0	0	
936	X	COMMIT TO PUR FNMA SF M 01F032823			11/02/2013		2/7/2013	28,430	28,485	0	28,485			0	-63	
937	X	COMMIT TO PUR FNMA SF 01F032823			2/7/2013		3/7/2013	36,888	36,814	0	36,814			0	-74	
938	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			10/9/2013		12/25/2013	539	558	0	558			0	-19	
939	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			7/1/2013		8/25/2013	402	420	0	420			0	-18	
940	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			7/1/2013		8/25/2013	13,715	13,838	0	13,838			0	-223	
941	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			7/1/2013		8/25/2013	20	20	0	20			0	0	
942	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		4/25/2013	680	718	0	718			0	-38	
943	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		5/25/2013	726	766	0	766			0	-40	
944	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		6/14/2013	19,512	19,525	0	19,525			0	-13	
945	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		7/25/2013	235	248	0	248			0	-13	
946	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		7/25/2013	38	40	0	40			0	-2	
947	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		8/25/2013	594	627	0	627			0	-33	
948	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		8/25/2013	13,428	14,287	0	14,287			0	-859	
949	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		9/25/2013	390	412	0	412			0	-22	
950	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		10/25/2013	14	15	0	15			0	-1	
951	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		11/25/2013	15	16	0	16			0	-1	
952	X	FNMA POOL # APO457 3 5% 12/ 3138ML5Y6			3/7/2013		12/25/2013	14	15	0	15			0	-1	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Short Term CG Distributions									
										118,764											
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss							
								Gross Sales	Other sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss						
953	FNMA AR467	3138ML5Y6			3/7/2013		1/25/2014	15	16						-1						
954	FNMA AR467	3138W6MR4			4/9/2013		5/25/2013	135	141						-6						
955	FNMA AR467	3138W6MR4			4/9/2013		6/13/2013	10,032	10,276						-244						
956	FNMA AR467	3138W6MR4			4/9/2013		6/25/2013	130	136						-6						
957	PNC FINANCIAL SERVICES G	683475105			9/23/2011		11/19/2013	3,014	1,863						1,151						
958	PNC FINANCIAL SERVICES G	683475105			9/23/2011		11/19/2013	753	466						287						
959	PPL CORPORATION 8.75%	683511114			7/2/2013		11/19/2013	526	549						-23						
960	PV H CORP	683656100			7/2/2013		11/8/2013	7,387	7,488						-121						
961	NUCOR CORP	670346105			4/24/2013		11/8/2013	7,482	6,100						1,382						
962	FHLMC GOLD J24685 2.5%	31307DGC4			7/15/2013		12/11/2013	33,738	33,804						-66						
963	FHLMC GOLD J24685 2.5%	31307DGC4			7/15/2013		12/15/2013	194	194						0						
964	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		2/15/2013	287	310						-23						
965	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		3/15/2013	305	329						-24						
966	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		4/15/2013	354	382						-28						
967	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		5/15/2013	392	424						-32						
968	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		6/15/2013	338	363						-27						
969	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		7/15/2013	169	182						-13						
970	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		8/15/2013	249	269						-20						
971	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		9/15/2013	102	111						-9						
972	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		10/15/2013	122	132						-10						
973	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		11/15/2013	83	90						-7						
974	FHLMC GOLD 003517 4.5%	3132GJSE0			11/02/2013		12/15/2013	101	109						-8						
975	FNMA POOL # 555528 6.0%	31385XD95			2/20/2009		11/25/2013	227	235						-8						
976	FNMA POOL # 555528 6.0%	31385XD95			2/20/2009		12/25/2013	214	222						-8						
977	FNMA POOL # 555528 6.0%	31385XD95			2/20/2009		1/25/2014	205	213						-8						
978	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		2/25/2013	2,809	2,769						40						
979	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		3/25/2013	1,774	1,749						25						
980	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		4/25/2013	857	845						12						
981	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		5/25/2013	5,565	5,490						75						
982	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		6/14/2013	9,637	8,108						531						
983	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		6/25/2013	3,976	3,924						52						
984	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		7/2/2013	40,775	38,628						2,147						
985	FNMA POOL # 555528 6.0%	31385XD95			2/20/2009		9/25/2013	384	388						-4						
986	FNMA POOL # 555528 6.0%	31385XD95			2/20/2009		10/25/2013	277	288						-11						
987	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		7/25/2013	1,178	1,163						15						
988	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		8/25/2013	249	245						4						
989	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		9/25/2013	317	313						4						
990	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		10/25/2013	99	98						1						
991	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		11/25/2013	26	25						1						
992	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		12/25/2013	28	25						3						
993	FNMA POOL # AH3519 4.0%	3138A44D4			4/8/2011		1/25/2014	184	181						3						
994	FNMA POOL # AH3519 4.0%	3138A5AW2			1/18/2011		2/25/2013	1,500	1,514						-14						
995	FNMA POOL # AH3519 4.0%	3138A5AW2			1/18/2011		3/25/2013	890	898						-8						
996	FNMA POOL # AH3519 4.0%	3138A5AW2			1/18/2011		4/25/2013	1,310	1,322						-12						
997	FNMA AR467	3138W6MR4			4/9/2013		7/25/2013	102	107						-5						
998	FNMA AR467	3138W6MR4			4/9/2013		8/25/2013	164	171						-7						
999	FNMA AR467	3138W6MR4			4/9/2013		9/25/2013	108	110						-2						
1000	FNMA AR467	3138W6MR4			4/9/2013		10/25/2013	116	121						-5						
1001	FNMA AR467	3138W6MR4			4/9/2013		11/25/2013	231	241						-10						
1002	FNMA AR467	3138W6MR4			4/9/2013		12/25/2013	75	79						-4						
1003	FNMA AR467	3138W6MR4			4/9/2013		1/25/2014	131	137						-6						
1004	FNMA AR598	3138W6RS7			7/8/2013		8/25/2013	455	455						0						
1005	FNMA AR598	3138W6RS7			7/8/2013		9/25/2013	57	57						0						
1006	FNMA AR598	3138W6RS7			7/8/2013		10/25/2013	317	317						0						
1007	FNMA AR598	3138W6RS7			7/8/2013		11/25/2013	54	54						0						
1008	FNMA AR598	3138W6RS7			7/8/2013		12/25/2013	56	56						0						
1009	FNMA AR598	3138W6RS7			7/8/2013		1/25/2014	56	56						0						
1010	FNMA POOL # AT5380 3% 4/14	3138WS8S2			5/6/2013		6/14/2013	9,882	10,336						-354						
1011	DREYFUS PRE STRAT INTER	88271F788			3/22/2013		11/19/2013	38,000	38,192						1,808						
1012	SYNOPTS INC	871607107			8/2/2012		3/20/2013	3,142	2,820						322						
1013	TCW EMERGING MARKETS INC	87234N785			9/21/2012		3/20/2013	9,428	8,551						875						
1014	TOOTSIE ROLL INDS INC	880516107			1/23/2013		4/24/2013	2,514	2,432						82						
1015	TOOTSIE ROLL INDS INC	880516107			1/23/2013		5/1/2013	25	21						4						
1016	TOTAL SA DR	89151E109			4/12/2013		6/12/2013	2,887	2,438						448						
1017	TOTAL SA DR	89151E109			6/8/2012		10/18/2013	4,779	3,462						1,287						
1018	TOTAL SA DR	89151E109			6/8/2012		11/6/2013	11,407	8,271						3,136						
1019	TOTAL SYS SVCS INC	891806109			12/13/2012		3/20/2013	13,430	12,359						1,071						
1020	TOTAL SYS SVCS INC	891806109			12/13/2012		3/20/2013	13,430	12,359						1,071						
Capital Gains/Losses										18,764,394		16,834,479		2,128,915							
Other sales										0		0		0							

Long Term CG Distributions
Short Term CG Distributions

Amount
118,764

Gross Sales
18,764,394

Cost, Other Basis and Expenses
16,834,479

Net Gain or Loss
2,129,915

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory											Amount			
Long Term CG Distributions											118,784			
Short Term CG Distributions														
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1021	X TOTAL SVS SVCS INC	891908109			2/20/2013		3/20/2013	1,919	1,758				0	161
1022	X TRANS-CANADA PIPELIN 9 87	8935288Y8			11/19/2008		11/19/2013	6,887	5,727				0	1,140
1023	X DOVER CORP	260003108			9/23/2011		11/8/2013	9,969	5,068				0	4,903
1024	X DOVER CORP	260003108			8/23/2011		11/18/2013	4,584	2,303				0	2,281
1025	X DOW CHEMICAL CO	260543103			8/28/2013		11/19/2013	793	752				0	41
1026	X DREYFUS INFILN ADJ SEC-IN	281967830			9/5/2012		11/18/2013	15,000	18,998				0	-1,998
1027	X DREYFUS EMG MKT DEBT LO	281980494			12/3/2012		6/12/2013	1,929	2,036				0	-107
1028	X DREYFUS EMG MKT DEBT LO	281980494			12/28/2012		6/12/2013	2,586	2,768				0	-182
1029	X WALT DISNEY CO THE	254687106			9/23/2011		2/1/2013	30,024	18,251				0	13,773
1030	X WALT DISNEY CO THE	254687106			9/23/2011		6/12/2013	19,230	8,884				0	10,368
1031	X DREYFUS EMG MKT DEBT LO	281980494			4/1/2013		6/12/2013	3,481	3,675				0	-194
1032	X WALT DISNEY CO THE	254687106			9/23/2011		7/2/2013	28,149	13,592				0	15,557
1033	X WALT DISNEY CO THE	254687106			9/23/2011		8/16/2013	49,854	23,638				0	26,216
1034	X WALT DISNEY CO THE	254687106			9/23/2011		11/8/2013	6,751	2,955				0	3,796
1035	X WALT DISNEY CO THE	254687106			9/23/2011		11/19/2013	4,154	1,773				0	2,381
1036	X DOLBY LABORATORIES INC-C	256591107			8/2/2012		2/20/2013	1,268	1,417				0	-147
1037	X DOLBY LABORATORIES INC-C	256591107			8/21/2012		2/20/2013	2,800	3,184				0	-384
1038	X DOLBY LABORATORIES INC-C	256591107			7/18/2013		11/18/2013	584	520				0	44
1039	X DOMINION RES INC VA PFD	257480885			9/23/2011		6/12/2013	12,513	7,368				0	5,145
1040	X DOVER CORP	260003108			9/27/2011		8/12/2013	37,003	35,326				0	1,677
1041	X DREYFUS EMG MKT DEBT LO	281980494			7/1/2013		11/18/2013	3,064	3,008				0	56
1042	X DREYFUS EMG MKT DEBT LO	281980494			10/1/2013		11/18/2013	1,729	1,721				0	8
1043	X DREYFUS EMG MKT DEBT LO	281980494			9/27/2011		11/18/2013	4,206	4,070				0	136
1044	X DREYFUS EMG MKT DEBT LO	281980494			10/31/2011		6/12/2013	2,633	2,494				0	139
1045	X DREYFUS HIGH YIELD-I	281980759			12/30/2011		6/12/2013	3,340	3,134				0	206
1046	X DREYFUS HIGH YIELD-I	281980759			13/1/2012		6/12/2013	4,709	4,524				0	185
1047	X DREYFUS HIGH YIELD-I	281980759			2/29/2012		6/12/2013	4,362	4,256				0	108
1048	X DREYFUS HIGH YIELD-I	281980759			3/30/2012		6/12/2013	4,510	4,374				0	136
1049	X GILEAD SCIENCES INC	375558103			10/20/2011		6/12/2013	14,237	5,484				0	8,743
1050	X GILEAD SCIENCES INC	375558103			10/20/2011		9/16/2013	50,878	16,483				0	34,395
1051	X GILEAD SCIENCES INC	375558103			10/20/2011		11/8/2013	8,010	2,442				0	5,568
1052	X VERIZON COMMUNICATIONS	92343V104			3/12/2013		6/20/2013	986	966				0	20
1053	X VERIZON COMMUNICATIONS	92343V104			8/20/2013		6/20/2013	5,913	4,257				0	1,656
1054	X VERIZON COMMUNICATIONS	92343V104			3/28/2013		7/10/2013	7,612	7,432				0	180
1055	X VERIZON COMMUNICATIONS	92343V104			4/3/2013		7/10/2013	21,821	21,048				0	773
1056	X VERIZON COMMUNICATIONS	92343V104			4/3/2013		7/23/2013	9,560	9,300				0	260
1057	X VERIZON COMMUNICATIONS	92343V104			9/23/2011		7/23/2013	21,636	15,256				0	6,380
1058	X FHL MC GOLD 003517 4 5%	3132GJSE0			1/10/2013		1/15/2014	83	90				0	-7
1059	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		2/15/2013	42	45				0	-3
1060	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		2/15/2013	43	45				0	-2
1061	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		4/15/2013	43	48				0	-5
1062	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		5/15/2013	497	528				0	-31
1063	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		8/15/2013	534	566				0	-32
1064	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		7/15/2013	2,389	2,533				0	-144
1065	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		8/15/2013	39	42				0	-3
1066	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		8/15/2013	37	40				0	-3
1067	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		10/15/2013	41	43				0	-2
1068	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		11/15/2013	731	775				0	-44
1069	X FHL MC GOLD 004584 4 5%	3132GKX03			1/17/2011		12/15/2013	39	42				0	-3
1070	X FHL MC GOLD 004584 4 5%	3132GKX03			1/15/2014		1/15/2014	865	728				0	-137
1071	X FHL MC GOLD 004584 4 5%	3132GKX03			1/19/2011		5/7/2013	41,815	39,296				0	-24
1072	X FHL MC GOLD 004584 4 5%	3132GKX03			1/19/2011		5/7/2013	41,815	39,296				0	-24
1073	X FHL MC GOLD 004584 4 5%	3132GKX03			1/19/2011		5/25/2013	688	692				0	-4
1074	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		2/25/2013	468	480				0	-12
1075	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		3/25/2013	428	450				0	-22
1076	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		4/25/2013	110	116				0	-6
1077	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1078	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1079	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1080	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1081	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1082	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1083	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1084	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1085	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1086	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1087	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1088	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1089	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1090	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1091	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1092	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1093	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1094	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1095	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1096	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1097	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1098	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1099	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1100	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1101	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1102	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1103	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1104	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1105	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1106	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1107	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1108	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1109	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1110	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1111	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1112	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1113	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1114	X FHL MC GOLD 004584 4 5%	3132GKX03			3/4/2011		5/25/2013	1,645	1,730				0	-85
1115	X FHL MC GOLD 004584 4 5%	3132GKX												

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount					
Short Term CG Distributions										118,784					
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals							
								Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
1089	X	FNMA POOL # AH4784 5% 2/1			3/4/2011		6/25/2013	672	707						-35
1090	X	FNMA POOL # AH4784 5% 2/1			3/4/2011		7/25/2013	1,733	1,922						-189
1091	X	FNMA POOL # AH4784 5% 2/1			3/4/2011		8/25/2013	577	606						-29
1092	X	FNMA POOL # AH4784 5% 2/1			3/4/2011		9/9/2013	15,904	15,553						351
1093	X	FNMA POOL # AH4784 5% 2/1			3/4/2011		9/25/2013	772	812						-40
1094	X	TCW EMERGING MARKETS IN			2/28/2012		6/12/2013	2,457	2,445						12
1095	X	TCW EMERGING MARKETS IN			3/30/2012		6/12/2013	2,313	2,312						1
1096	X	TCW EMERGING MARKETS IN			4/30/2012		6/12/2013	2,316	2,323						-7
1097	X	TCW EMERGING MARKETS IN			6/28/2012		6/12/2013	2,369	2,344						25
1098	X	TCW EMERGING MARKETS IN			7/31/2012		6/12/2013	2,566	2,650						-84
1099	X	TCW EMERGING MARKETS IN			8/31/2012		6/12/2013	2,578	2,664						-85
1100	X	TCW EMERGING MARKETS IN			9/28/2012		6/12/2013	2,568	2,677						-108
1101	X	TCW EMERGING MARKETS IN			11/1/2012		6/12/2013	2,545	2,691						-168
1102	X	TCW EMERGING MARKETS IN			11/30/2012		6/12/2013	2,536	2,704						-150
1103	X	TCW EMERGING MARKETS IN			12/28/2012		6/12/2013	2,597	2,717						-174
1104	X	TCW EMERGING MARKETS IN			1/31/2013		6/12/2013	2,622	2,795						-173
1105	X	TCW EMERGING MARKETS IN			2/28/2013		6/12/2013	2,655	2,811						-156
1106	X	TCW EMERGING MARKETS IN			3/28/2013		6/12/2013	2,693	2,927						-134
1107	X	TCW EMERGING MARKETS IN			4/30/2013		6/12/2013	2,668	2,843						-174
1108	X	TCW EMERGING MARKETS IN			5/31/2013		6/12/2013	2,771	2,857						-86
1109	X	TCW EMERGING MARKETS IN			5/31/2012		6/12/2013	1,972	1,906						66
1110	X	TCW EMERGING MARKETS IN			12/28/2011		11/19/2013	2,928	2,843						88
1111	X	TCW EMERGING MARKETS IN			5/31/2012		11/19/2013	425	427						-2
1112	X	TCW EMERGING MARKETS IN			6/28/2013		11/19/2013	2,546	2,591						-35
1113	X	TCW EMERGING MARKETS IN			7/31/2013		11/19/2013	2,580	2,599						-19
1114	X	TCW EMERGING MARKETS IN			8/30/2013		11/19/2013	2,094	2,033						81
1115	X	TCW EMERGING MARKETS IN			9/30/2013		11/19/2013	2,082	2,044						18
1116	X	TCW EMERGING MARKETS IN			11/1/2013		11/19/2013	2,032	2,054						-22
1117	X	TCW EMERGING MARKETS IN			9/27/2011		11/19/2013	332	317						15
1118	X	TD AMERITRADE HLDG CO 4			12/11/2009		6/12/2013	10,489	10,038						451
1119	X	TD AMERITRADE HLDG CO 4			7/19/2012		11/20/2013	5,176	5,019						157
1120	X	THE TRAVELERS COMPANIES			7/19/2012		6/12/2013	11,572	8,910						2,662
1121	X	THE TRAVELERS COMPANIES			7/19/2012		6/12/2013	6,974	5,091						1,883
1122	X	THE TRAVELERS COMPANIES			7/19/2012		11/19/2013	3,527	2,548						881
1123	X	THE TRAVELERS COMPANIES			7/19/2012		12/9/2013	18,420	85,919						33,501
1124	X	TYCOO INTL FIN 3 375% 10			4/28/2010		6/6/2013	4,984	4,984						246
1125	X	UBS COM MTG TR 2012-C1 3			4/24/2012		9/13/2013	71,062	76,122						-5,060
1126	X	UBS COM MTG TR 2012-C1 3			9/23/2011		8/19/2013	24,422	25,374						-952
1127	X	US BANCORP			9/23/2011		11/17/2013	131,224	91,044						40,180
1128	X	UNION PAC CORP			9/23/2011		12/9/2013	48,628	30,150						18,478
1129	X	UNION PAC CORP			9/23/2011		6/12/2013	7,310	3,872						3,438
1130	X	UNION PAC CORP			9/23/2011		11/8/2013	4,288	2,307						1,978
1131	X	UNION PAC CORP			9/23/2011		11/18/2013	2,208	1,153						1,055
1132	X	UNION PAC CORP			9/23/2011		11/18/2013	504	310						194
1133	X	UNITED PARCEL SERVICE CL			1/5/2009		6/13/2013	7,130	5,607						1,523
1134	X	US TREAS-CPI INFLAT 2 375%			1/5/2009		8/30/2013	6,862	5,628						1,234
1135	X	US TREAS-CPI INFLAT 2 375%			1/5/2009		9/20/2013	41,082	33,775						7,307
1136	X	US TREAS-CPI INFLAT 2 375%			2/4/2013		12/18/2013	61,603	55,125						6,478
1137	X	GLAXO SMITHKLINE PLC SPC			3/14/2013		12/18/2013	29,020	25,767						3,253
1138	X	GLAXO SMITHKLINE PLC SPC			6/5/2013		6/12/2013	27,312	26,816						496
1139	X	GOOGLE INC - CL A			6/5/2013		11/8/2013	4,051	3,434						617
1140	X	GOOGLE INC - CL A			4/23/2013		11/8/2013	16,205	13,028						3,177
1141	X	GOOGLE INC - CL A			4/23/2013		11/19/2013	10,267	8,142						2,125
1142	X	GOOGLE INC - CL A			4/23/2013		12/12/2013	18,219	13,842						4,377
1143	X	GOOGLE INC - CL A			10/20/2011		11/19/2013	3,485	1,017						2,468
1144	X	GILEAD SCIENCES INC			4/24/2013		6/12/2013	14,788	14,408						380
1145	X	GLAXO SMITHKLINE PLC SPC			4/24/2013		9/16/2013	16,376	16,464						-88
1146	X	GLAXO SMITHKLINE PLC SPC			2/4/2013		9/16/2013	8,700	7,745						955
1147	X	GLAXO SMITHKLINE PLC SPC			2/4/2013		11/8/2013	7,920	6,834						1,086
1148	X	GLAXO SMITHKLINE PLC SPC			2/4/2013		11/14/2013	32,801	28,701						4,100
1149	X	GLAXO SMITHKLINE PLC SPC			2/4/2013		11/19/2013	1,052	911						141
1150	X	GLAXO SMITHKLINE PLC SPC			1/16/2013		12/18/2013	12,219	10,548						1,671
1151	X	GLAXO SMITHKLINE PLC SPC			11/19/2013		12/18/2013	508	528						-17
1152	X	VERIZON COMMUNICATIONS			9/23/2011		10/15/2013	3,262	2,483						779
1153	X	VERIZON COMMUNICATIONS			9/23/2011		11/8/2013	2,890	2,128						861
1154	X	VERIZON COMMUNICATIONS			9/23/2011		11/19/2013	1,523	1,064						459
1155	X	VERIZON COMMUNICATIONS			2/6/2009		9/10/2013	16,315	14,918						1,396

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Capital Gains/Losses										18,784,394		16,834,479		2,129,915	
Other sales										0		0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
1157	X VERIZON COMMUNICATIONS	92343VAL8			4/1/2009		9/10/2013	5,438	4,885				0	553	
1158	X VERIZON COMMUNICATIONS	92343VAL8			4/1/2009		9/12/2013	11,120	9,770				0	1,350	
1159	X VIRTUS EMERGING MKTS OP	92828T889			12/20/2012		11/19/2013	1,795	1,891				0	-86	
1160	X VIRTUS EMERGING MKTS OP	92828T889			3/22/2013		11/19/2013	24,205	25,370				0	-1,165	
1161	X VMWARE INC-CLASS A	928563402			7/28/2013		11/18/2013	5,639	5,782				0	-143	
1162	X VMWARE INC-CLASS A	928563402			7/28/2013		11/19/2013	2,352	2,478				0	-126	
1163	X VMWARE INC-CLASS A	928563402			7/28/2013		11/26/2013	26,047	26,430				0	-383	
1164	X WABCO HOLDINGS INC-WI	92927K102			8/21/2009		3/20/2013	4,277	1,245				0	3,032	
1165	X WABCO HOLDINGS INC-WI	92927K102			8/21/2012		3/20/2013	713	581				0	132	
1166	X WABCO HOLDINGS INC-WI	92927K102			8/21/2012		3/20/2013	3,564	2,943				0	621	
1167	X FLMC GOLD G18305 4.0%	3128MMKT9			1/16/2013		9/15/2013	142	151				0	-9	
1168	X FLMC GOLD G18305 4.0%	3128MMKT9			12/7/2012		10/15/2013	446	474				0	-28	
1169	X FLMC GOLD G18305 4.0%	3128MMKT9			1/16/2013		10/15/2013	124	131				0	-7	
1170	X FLMC GOLD G18305 4.0%	3128MMKT9			12/7/2012		11/15/2013	367	380				0	-23	
1171	X FLMC GOLD G18305 4.0%	3128MMKT9			1/16/2013		12/15/2013	102	108				0	-6	
1172	X FLMC GOLD G18305 4.0%	3128MMKT9			12/7/2012		12/15/2013	356	378				0	-22	
1173	X FLMC GOLD G18305 4.0%	3128MMKT9			1/16/2013		12/15/2013	99	105				0	-6	
1174	X FLMC GOLD G18305 4.0%	3128MMKT9			12/7/2012		1/15/2014	345	367				0	-22	
1175	X FLMC GOLD G18305 4.0%	3128MMKT9			1/16/2013		1/15/2014	96	102				0	-6	
1176	X FLMC GOLD G18473 3.0%	3128MMQ30			8/12/2013		9/15/2013	305	313				0	-8	
1177	X FLMC GOLD G18473 3.0%	3128MMQ30			8/27/2013		9/15/2013	44	45				0	-1	
1178	X FLMC GOLD G18473 3.0%	3128MMQ30			8/12/2013		10/15/2013	316	325				0	-9	
1179	X LOS ANGELES CALIF CMN 8 7/4	54438CPA4			10/17/2011		7/11/2013	12,300	12,614				0	-314	
1180	X LOS ANGELES CALIF CMN 8 7/4	54438CPA4			10/17/2011		10/2/2013	18,581	18,818				0	-337	
1181	X LOS ANGELES CALIF CMN 8 7/4	54438CPA4			10/19/2011		10/8/2013	18,688	18,650				0	48	
1182	X LOWE'S COMPANIES INC	546681107			9/21/2012		11/19/2013	503	300				0	203	
1183	X P T C INC	69370C100			10/17/2012		3/18/2013	12,177	10,387				0	1,790	
1184	X PATTERSON COS INC	703395103			2/20/2013		3/20/2013	5,327	5,194				0	133	
1185	X PATTERSON COS INC	703395103			2/21/2013		3/20/2013	8,132	8,663				0	449	
1186	X PEPSCO INC	713448108			6/4/2012		6/12/2013	18,257	17,942				0	315	
1187	X PEPSCO INC	713448108			6/12/2012		7/31/2013	5,049	4,053				0	986	
1188	X PEPSCO INC	713448108			6/4/2013		11/18/2013	11,819	11,418				0	401	
1189	X PEPSCO INC	713448108			6/4/2013		11/18/2013	3,414	3,262				0	152	
1190	X PEPSCO INC	713448108			6/12/2012		11/18/2013	2,560	2,026				0	534	
1191	X PETSART INC	718768108			8/20/2012		3/20/2013	3,099	3,532				0	-433	
1192	X PETSART INC	718768108			8/21/2012		3/20/2013	8,677	9,845				0	-1,268	
1193	X PFIZER INC	717081103			11/20/2008		2/4/2013	98,947	52,802				0	46,145	
1194	X FNMA POOL # AH4784 5% 2/11	3138A8KG4			3/4/2011		10/25/2013	77	81				0	-4	
1195	X FNMA POOL # AH4784 5% 2/11	3138A8KG4			3/4/2011		12/25/2013	465	489				0	-24	
1196	X FNMA POOL # AH4784 5% 2/11	3138A8KG4			3/4/2011		12/25/2013	613	644				0	-31	
1197	X FNMA POOL # AH4784 5% 2/11	3138A8KG4			3/4/2011		12/25/2013	1,822	1,916				0	-94	
1198	X COMMIT TO PUR FNMA SF	01F032874			6/11/2013		7/8/2013	39,850	41,112				0	-1,162	
1199	X AMAZON COM INC	023135108			5/2/2011		6/12/2013	13,968	10,252				0	3,716	
1200	X AMAZON COM INC	023135108			5/2/2011		11/18/2013	10,688	6,232				0	4,456	
1201	X AMAZON COM INC	023135108			5/2/2011		11/18/2013	5,477	3,015				0	2,462	
1202	X AMAZON COM INC 2.5%	023135AJ5			11/27/2012		9/4/2013	4,487	4,962				0	-475	
1203	X AMERICAN CAPITAL AGENCY	02503X105			3/28/2012		21/2/2013	12,547	11,412				0	1,135	
1204	X AMERICAN CAPITAL LTD	02503X103			9/18/2012		3/20/2013	3,287	2,593				0	674	
1205	X AMERICAN EAGLE OUTFITTE	02553E106			12/13/2012		3/20/2013	16,289	17,468				0	-1,178	
1206	X AMERICAN EAGLE OUTFITTE	02553E106			1/23/2013		3/20/2013	7,757	8,177				0	-420	
1207	X AMERICAN FINL GROUP INC	025837104			8/20/2012		3/20/2013	4,238	3,405				0	833	
1208	X AMERICAN FINL GROUP INC	025837104			8/21/2012		3/20/2013	5,651	4,552				0	1,099	
1209	X AMERICAN INTL GROUP 4.2%	026874CA3			10/18/2011		11/10/2013	10,550	9,751				0	799	
1210	X AMERICAN INTL GROUP 4.2%	026874CA3			1/18/2012		11/10/2013	21,089	19,873				0	1,226	
1211	X AMERICAN INTL GROUP 5.8%	026874DGO			11/10/2013		9/4/2013	5,615	5,920				0	-305	
1212	X COMMIT TO PURCHASE	02R022423			11/10/2013		21/2/2013	39,214	38,422				0	-208	
1213	X COMMIT TO PUR FLMC SF	02R022456			4/11/2013		5/14/2013	36,318	36,334				0	-16	
1214	X COMMIT TO PUR FLMC GO	02R022464			5/14/2013		6/12/2013	40,800	41,428				0	-628	
1215	X COMMIT TO PUR FLMC SF	02R022472			6/12/2013		7/15/2013	39,800	40,719				0	-919	
1216	X COMMIT TO PUR FLMC GOL	02R030428			1/14/2013		21/12/2013	41,900	42,025				0	-125	
1217	X TAIWAN SEMICONDUCTOR M	874038100			7/3/2013		9/20/2013	41,089	43,031				0	-1,942	
1218	X TAIWAN SEMICONDUCTOR M	874038100			6/28/2013		11/8/2013	4,120	4,178				0	-56	
1219	X TAIWAN SEMICONDUCTOR M	874038100			6/28/2013		11/8/2013	2,148	2,120				0	28	
1220	X TAIWAN SEMICONDUCTOR M	874038100			6/28/2013		11/19/2013	2,887	3,004				0	-17	
1221	X TAUBMAN CENTERS INC	876684103			8/20/2012		3/20/2013	1,518	1,552				0	-34	
1222	X TAUBMAN CENTERS INC	876684103			8/21/2012		3/20/2013	6,070	6,214				0	-144	
1223	X CLECO CORP NEW	12561W105			10/24/2011		3/20/2013	898	730				0	168	
1224	X CLECO CORP NEW	12561W105			10/24/2011		3/20/2013	4,940	4,008				0	932	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		
Short Term CG Distributions										118,784		
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Net Gain or Loss	
								Capital Gains/Losses				
								Gross Sales	Cost, Other Basis and Expenses	Depreciation	Adjustments	Net Gain or Loss
1225	X	CLECO CORP NEW	12561W105		10/25/2011		3/20/2013	2,695	2,188		0	507
1226	X	CLECO CORP NEW	12561W105		5/18/2012		3/20/2013	3,144	2,800		0	344
1227	X	CLECO CORP NEW	12561W105		5/31/2012		3/20/2013	1,796	1,638		0	160
1228	X	CLECO CORP NEW	12561W105		8/20/2012		3/20/2013	898	848		0	52
1229	X	CLECO CORP NEW	12561W105		8/21/2012		3/20/2013	1,796	1,694		0	102
1230	X	CRH AMERICA INC	12628PAE3		7/22/2009		9/4/2013	15,059	14,855		0	204
1231	X	CRH AMERICA INC	12628PAE3		7/22/2009		10/15/2013	20,000	20,000		0	0
1232	X	C S T BRANDS INC	12646R105		4/24/2013		5/3/2013	3,337	3,679		0	(342)
1233	X	C S T BRANDS INC	12646R105		9/23/2011		5/3/2013	9,508	4,678		0	4,830
1234	X	C S T BRANDS INC	12646R105		9/23/2011		5/22/2013	7	3		0	4
1235	X	TSY INF LIX N/B 2 375% 1/15/	912828G06		1/5/2009		6/14/2013	6,478	5,669		0	809
1236	X	TSY INF LIX N/B 2 375% 1/15/	912828G06		1/5/2009		9/6/2013	6,358	5,691		0	667
1237	X	TSY INF LIX N/B 1 375% 7/15/	912828JE1		1/5/2009		8/30/2013	5,888	5,043		0	845
1238	X	US TREAS INFL INDX 1 375%	912828MF4		6/23/2010		8/30/2013	5,837	5,509		0	328
1239	X	U S TREASURY NOTE 1 75%	912828NP1		7/29/2010		8/18/2013	20,612	20,078		0	534
1240	X	U S TREASURY NOTE 1 25%	912828P27		3/23/2011		1/15/2013	10,121	10,038		0	83
1241	X	CITIGROUP INC	172987C02		3/17/2011		8/30/2013	10,368	10,495		0	(127)
1242	X	CITRIX SYS INC	177376100		7/10/2013		10/14/2013	63,266	70,258		0	(6,992)
1243	X	CITRIX SYS INC	177376100		7/29/2013		10/14/2013	24,019	28,115		0	(4,097)
1244	X	CLIFFS NATS RES INC	18683K101		3/29/2012		3/6/2013	5,155	14,300		0	(9,145)
1245	X	CLIFFS NATS RES INC	18683K101		1/30/2013		3/6/2013	2,700	4,008		0	(1,308)
1246	X	COCA - COLA CO	181216100		9/23/2011		4/4/2013	25,403	21,246		0	4,157
1247	X	COCA - COLA CO	181216100		9/23/2011		8/12/2013	10,612	8,768		0	1,844
1248	X	COCA - COLA CO	181216100		9/23/2011		11/8/2013	6,335	5,396		0	938
1249	X	COMCAST CORP CL A	20030N101		9/23/2011		11/19/2013	3,209	2,998		0	211
1250	X	COMCAST CORP CL A	20030N101		6/27/2012		3/26/2013	29,852	22,440		0	7,412
1251	X	COMCAST CORP CL A	20030N101		4/2/2013		5/13/2013	3,002	2,862		0	140
1252	X	COMCAST CORP CL A	20030N101		6/27/2012		6/7/2013	13,518	10,285		0	3,233
1253	X	COMCAST CORP CL A	20030N101		5/1/2012		6/7/2013	13,519	10,136		0	3,383
1254	X	COMCAST CORP CL A	20030N101		5/1/2012		8/12/2013	12,425	9,522		0	2,903
1255	X	COMCAST CORP CL A	20030N101		5/1/2012		8/20/2013	23,041	18,122		0	4,919
1256	X	COMCAST CORP CL A	20030N101		4/16/2012		8/20/2013	10,544	8,015		0	2,529
1257	X	COMCAST CORP CL A	20030N101		4/2/2013		7/31/2013	2,255	2,116		0	139
1258	X	COMCAST CORP CL A	20030N101		4/2/2013		7/31/2013	451	402		0	49
1259	X	COMCAST CORP CL A	20030N101		10/14/2013		11/8/2013	8,466	8,346		0	120
1260	X	GOOGLE INC - CL A	38259P508		4/25/2013		12/12/2013	7,502	5,692		0	1,810
1261	X	GREENHILL & CO INC	385259104		8/20/2012		3/20/2013	3,343	2,551		0	792
1262	X	GREENHILL & CO INC	385259104		8/21/2012		3/20/2013	5,014	3,873		0	1,141
1263	X	GREIF INC CLASS A	397624107		11/27/2012		3/20/2013	3,189	2,501		0	688
1264	X	GREIF INC CLASS A	397624107		11/28/2012		3/20/2013	10,099	7,986		0	2,113
1265	X	GUESS? INC	401617105		8/20/2012		2/20/2013	853	982		0	(129)
1266	X	GUESS? INC	401617105		8/20/2012		2/20/2013	2,843	3,334		0	(491)
1267	X	HSBC FINANCE CORP 6 876	40428CGB8		6/28/2012		8/12/2013	11,609	10,853		0	756
1268	X	HSBC FINANCE CORP 6 876	40428CGB8		6/28/2012		9/4/2013	5,608	5,427		0	181
1269	X	HALLIBURTON CO	408218101		3/9/2012		2/13/2013	2,853	2,463		0	390
1270	X	HALLIBURTON CO	408218101		10/26/2011		2/13/2013	35,460	30,452		0	5,008
1271	X	HALLIBURTON CO	408218101		3/22/2013		6/12/2013	12,266	11,518		0	748
1272	X	HALLIBURTON CO	408218101		10/26/2011		8/23/2013	6,301	4,550		0	1,751
1273	X	HALLIBURTON CO	408218101		3/22/2013		8/23/2013	23,751	19,461		0	4,290
1274	X	HALLIBURTON CO	408218101		11/20/2008		8/23/2013	969	277		0	692
1275	X	HALLIBURTON CO	408218101		11/20/2008		11/8/2013	7,678	1,938		0	5,741
1276	X	HALLIBURTON CO	408218101		11/20/2008		11/19/2013	3,770	869		0	2,901
1277	X	HANESBRANDS INC	410345102		11/27/2012		3/20/2013	17,008	14,403		0	2,605
1278	X	HANESBRANDS INC	410345102		11/28/2012		3/20/2013	7,487	6,457		0	1,030
1279	X	HANESBRANDS INC	410345102		12/13/2012		3/20/2013	3,734	3,184		0	550
1280	X	HARLEY DAVIDSON INC	412822108		8/23/2013		11/8/2013	7,623	7,180		0	443
1281	X	U S TREASURY NOTE 0 825%	912828TS8		10/22/2012		4/10/2013	24,887	24,817		0	70
1282	X	U S TREASURY NOTE 0 25%	912828TU4		12/12/2012		5/3/2013	5,005	5,001		0	4
1283	X	U S TREASURY NOTE 0 25%	912828TU4		12/10/2012		5/6/2013	5,005	5,001		0	4
1284	X	U S TREASURY NOTE 1 25%	912828TV2		12/10/2012		3/27/2013	5,045	5,078		0	(34)
1285	X	U S TREASURY NOTE 1 25%	912828TV2		12/12/2012		3/27/2013	10,089	10,131		0	(42)
1286	X	UNITED STATES TREAS NT 2	912828UC2		1/16/2013		8/13/2013	14,827	14,958		0	(31)
1287	X	US TREASURY INFLATION INT	912828UH1		3/27/2013		8/30/2013	4,828	5,458		0	(629)
1288	X	U S TREASURY NOTE 0 875	912828UJ7		2/14/2013		9/4/2013	14,581	15,015		0	(434)
1289	X	UNITED STATES TREAS 0 37	912828UJ0		2/25/2013		6/12/2013	14,954	14,992		0	(38)
1290	X	UNITED STATES TREAS 0 75	912828UJ9		3/11/2013		6/12/2013	4,928	4,965		0	(37)
1291	X	UNITED STATES TREAS 0 37	912828UJ7		4/5/2013		8/30/2013	34,877	35,063		0	(186)
1292	X	UNITED STATES TREAS 0 37	912828UJ7		4/5/2013		8/30/2013	14,920	15,027		0	(107)

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount				
Short Term CG Distributions										118,784				
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1283	X	UNITED STATES TREAS 0.37			4/5/2013		9/6/2013	69,456	70,126				0	-670
1284	X	UNITED STATES TREAS 0.37			9/13/2013		11/19/2013	25,006					0	169
1285	X	UNITED STATES TREAS 0.25			5/10/2013		6/14/2013	14,818	14,854				0	-36
1286	X	TSY INF LIX NJB 0.125% 4/15/1			4/17/2013		9/4/2013	5,113	5,448				0	-355
1287	X	U S ETREASURY NOTE 0.82			6/4/2013		6/6/2013	4,906	4,904				0	2
1288	X	WADDELL & REED FINANCIAL			8/20/2012		3/20/2013	3,463	2,364				0	1,099
1289	X	WADDELL & REED FINANCIAL			8/21/2012		9/20/2013	9,955	6,890				0	3,065
1290	X	WALGREEN CO 3.1% 8			9/25/2012		9/4/2013	4,649	5,059				0	-410
1291	X	WEBSTER FINL CORP WATER			8/20/2012		3/20/2013	4,582	4,172				0	390
1292	X	WEBSTER FINL CORP WATER			8/21/2012		3/20/2013	6,944	7,934				0	710
1293	X	WEINGARTEN REALTY INVS			12/13/2012		3/20/2013	6,496	5,626				0	870
1294	X	WEINGARTEN REALTY INVS			1/23/2013		3/20/2013	8,661	7,846				0	815
1295	X	WEINGARTEN REALTY INVS			1/24/2013		3/20/2013	11,135	10,137				0	998
1296	X	WELLS FARGO & COMPANY			12/7/2012		6/12/2013	21,618	15,673				0	5,945
1297	X	WELLS FARGO & COMPANY			1/27/2012		11/9/2013	13,939	9,759				0	4,180
1298	X	PFIZER INC			11/20/2008		5/24/2013	44,103	22,110				0	21,993
1299	X	PFIZER INC			11/20/2008		6/12/2013	9,162	4,655				0	4,527
1300	X	PFIZER INC			11/5/2013		11/9/2013	9,287	9,350				0	-63
1301	X	PFIZER INC			11/14/2013		11/19/2013	2,228	2,236				0	-10
1302	X	PFIZER INC			9/23/2011		11/19/2013	636	348				0	288
1303	X	PHILIP MORRIS INTERNAT			10/28/2011		6/12/2013	6,420	5,068				0	1,352
1304	X	PHILIP MORRIS INTERNAT			11/20/2008		6/12/2013	5,503	2,247				0	3,256
1305	X	P V H CORP			7/22/2013		11/19/2013	3,820	3,681				0	139
1306	X	P T C INC			10/16/2012		3/18/2013	3,288	2,817				0	481
1307	X	PHILIP MORRIS INTERNAT			11/20/2008		11/9/2013	7,114	2,896				0	4,118
1308	X	PHILIP MORRIS INTERNAT			9/24/2013		11/20/2013	2,744	1,123				0	1,621
1309	X	PHILLIPS 66-WI			11/6/2013		2/23/2013	5,338	5,275				0	63
1310	X	PHILLIPS 66			11/14/2011		2/20/2013	4,990	4,306				0	684
1311	X	PLAINS EXPLORATION & PR			11/14/2011		3/18/2013	3,483	3,002				0	481
1312	X	PLAINS EXPLORATION & PR			10/16/2013		3/20/2013	2,744	2,139				0	605
1313	X	PLAINS EXPLORATION & PR			21/1/2013		3/11/2013	1,407	1,070				0	337
1314	X	PLAINS GP HOLDINGS LP-CL			4/10/2013		4/29/2013	41,825	41,838				0	-13
1315	X	COMMIT TO PUR FLMC GO			4/29/2013		6/12/2013	42,038	41,750				0	288
1316	X	COMMIT TO PUR FLMC SF			6/25/2013		6/25/2013	42,200	41,950				0	250
1317	X	COMMIT TO PUR FLMC SF			6/25/2013		6/25/2013	41,541	42,112				0	-571
1318	X	COMMIT TO PUR FLMC SF			6/25/2013		6/25/2013	30,712	31,088				0	-376
1319	X	COMMIT TO PUR FLMC SF			6/25/2013		6/25/2013	35,888	35,744				0	142
1320	X	COMMITMENT TO PURCHASE			11/10/2013		2/7/2013	38,898	36,986				0	-88
1321	X	COMMIT TO PUR FLMC GO			2/7/2013		3/7/2013	26,262	26,298				0	-36
1322	X	AMGEN INC			9/16/2013		11/9/2013	58,756	61,500				0	-1,744
1323	X	CA INC			8/20/2012		3/20/2013	9,415	9,614				0	-399
1324	X	CADENCE DESIGN SYS INC			8/20/2012		3/20/2013	4,781	4,855				0	-174
1325	X	CADENCE DESIGN SYS INC			8/21/2012		3/20/2013	14,342	14,880				0	-538
1326	X	CADENCE DESIGN SYS INC			10/8/2009		3/20/2013	13,987	13,382				0	585
1327	X	CADENCE DESIGN SYS INC			10/8/2009		3/20/2013	11,573	11,240				0	333
1328	X	CADENCE DESIGN SYS INC			10/8/2009		11/5/2013	21,003	15,123				0	5,880
1329	X	CADENCE DESIGN SYS INC			10/8/2009		6/19/2013	18,834	15,123				0	4,711
1330	X	CADENCE DESIGN SYS INC			10/8/2009		6/19/2013	6,625	5,041				0	1,584
1331	X	CADENCE DESIGN SYS INC			10/16/2012		3/20/2013	18,831	15,123				0	4,708
1332	X	CADENCE DESIGN SYS INC			2/20/2013		3/20/2013	3,462	3,183				0	268
1333	X	CADENCE DESIGN SYS INC			2/20/2013		3/20/2013	20,079	20,751				0	-878
1334	X	CADENCE DESIGN SYS INC			2/21/2013		3/20/2013	682	714				0	-22
1335	X	CADENCE DESIGN SYS INC			4/15/2013		6/12/2013	9,895	8,607				0	1,288
1336	X	CADENCE DESIGN SYS INC			4/15/2013		11/19/2013	8,928	5,379				0	1,547
1337	X	CADENCE DESIGN SYS INC			4/15/2013		6/12/2013	3,467	2,680				0	777
1338	X	CADENCE DESIGN SYS INC			9/23/2011		6/12/2013	8,515	8,132				0	383
1339	X	CADENCE DESIGN SYS INC			7/1/2010		6/12/2013	73,874	69,330				0	4,544
1340	X	CADENCE DESIGN SYS INC			9/23/2011		6/12/2013	18,302	17,203				0	1,099
1341	X	CADENCE DESIGN SYS INC			10/15/2013		11/19/2013	474	467				0	7
1342	X	CADENCE DESIGN SYS INC			10/14/2013		11/19/2013	4,268	4,173				0	95
1343	X	CADENCE DESIGN SYS INC			3/8/2013		3/8/2013	22,933	21,530				0	1,403
1344	X	CADENCE DESIGN SYS INC			3/8/2013		11/20/2013	4,868	5,110				0	-242
1345	X	CADENCE DESIGN SYS INC			1/23/2013		3/20/2013	2,400	2,227				0	173
1346	X	CADENCE DESIGN SYS INC			6/6/2013		11/19/2013	723	615				0	108
1347	X	CADENCE DESIGN SYS INC			6/6/2013		9/4/2013	5,209	5,465				0	-278
1348	X	CADENCE DESIGN SYS INC			5/17/2011		6/6/2013	21,859	19,685				0	2,174

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		
Short Term CG Distributions										118,784		
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Net Gain or Loss	
								Capital Gains/Losses				
								Gross Sales	Cost or Other Basis	Valuation Method		Expense of Sale and Cost of Improvements
1381	X CORELOGIC INC	21871D103			11/27/2012		3/20/2013	7,783	7,680		0	83
1382	X CORELOGIC INC	21871D103			2/20/2013		3/20/2013	5,434	5,915		0	-481
1383	X CORRECTIONS CORP AMER	22025Y407			3/18/2013		3/20/2013	27,736	27,523		0	213
1384	X COSTCO WHSL CORP NEW	22160K105			9/23/2011		6/12/2013	11,548	8,685		0	2,863
1385	X COSTCO WHSL CORP NEW	22160K105			9/23/2011		11/18/2013	8,130	5,542		0	2,588
1386	X COSTCO WHSL CORP NEW	22160K105			9/23/2011		11/18/2013	3,948	2,647		0	1,302
1387	X CREE INC	225447101			12/13/2012		3/20/2013	11,861	7,385		0	4,476
1388	X DFA EMERG MKTS CORE EQ	233203421			3/22/2013		11/19/2013	100,000	100,454		0	-454
1389	X D S T SYS INC DEL	23326107			8/20/2012		3/20/2013	4,875	3,590		0	1,285
1390	X D S T SYS INC DEL	23326107			8/21/2012		3/20/2013	13,231	9,934		0	3,297
1391	X DARDEN RESTAURANTS INC	237184105			5/15/2012		11/19/2013	1,050	1,034		0	16
1392	X U S TREASURY NOTE 0.625	912828U21			6/4/2013		6/13/2013	19,550	19,615		0	-65
1393	X U S TREASURY NOTE 0.625	912828U21			6/1/2013		8/30/2013	19,551	19,551		0	-338
1394	X U S TREASURY NOTE 1.75%	912828V83			6/19/2013		9/6/2013	9,034	9,619		0	-585
1395	X U S TREASURY NOTE 1.75%	912828V83			6/19/2013		9/6/2013	18,087	19,184		0	-1,097
1396	X U S TREASURY NOTE 1.75%	912828V83			6/27/2013		9/11/2013	9,004	9,368		0	-364
1397	X U S TREASURY NOTE 1.75%	912828V83			6/27/2013		9/28/2013	13,863	14,052		0	-189
1398	X U S TREASURY NIB 1% 5/31/18	912828V87			6/28/2013		7/11/2013	4,904	4,911		0	-7
1399	X U S TREASURY NIB 1% 5/31/18	912828V87			6/28/2013		11/19/2013	4,958	4,818		0	40
1399	X UNITED STATES TREAS 0.5%	912828V82			6/18/2013		9/20/2013	14,961	15,005		0	-44
1399	X UNITED STATES TREAS 0.5%	912828V82			6/18/2013		11/19/2013	5,009	5,002		0	7
1399	X UNITED STATES TREAS 0.5%	912828V82			7/10/2013		11/19/2013	10,017	9,850		0	167
1399	X UNITED STATES TREAS 0.5%	912828V82			7/25/2013		8/30/2013	9,970	9,997		0	-27
1399	X UNITED STATES TREAS 0.5%	912828V82			8/18/2013		9/6/2013	9,930	9,987		0	-57
1399	X U S TREASURY NIB 0.625% 8/7	912828VR8			8/22/2013		9/6/2013	9,930	9,943		0	-13
1399	X UNITED STATES TREAS 0.37%	912828VU1			10/23/2013		11/19/2013	5,010	5,008		0	2
1399	X UNITED STATES TREAS 0.62%	912828VU4			11/25/2013		12/13/2013	5,013	5,013		0	-11
1399	X UNITED TECHNOLOGIES COR	913017109			9/23/2011		6/12/2013	13,206	9,615		0	3,591
1399	X UNITED TECHNOLOGIES COR	913017109			9/23/2011		11/18/2013	9,849	6,318		0	3,531
1399	X UNITED TECHNOLOGIES COR	913017109			9/23/2011		11/19/2013	4,802	3,022		0	1,780
1399	X WELLS FARGO & COMPANY	949746101			12/1/2012		11/19/2013	435	296		0	139
1399	X WELLS FARGO & COMPANY	949746101			9/2/2010		11/19/2013	6,954	3,871		0	2,983
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013		11/19/2013	350	350		0	0
1399	X WILMINS COS INC	869457100			11/6/2013	</						

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Short Term CG Distributions									
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals		Capital Gains/Losses		Gross Sales	Cost, Other Basis and Expenses		Net Gain or Loss					
									Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation		18,764,394	16,834,479		2,129,915				
1429	X	PROCTER & GAMBLE CO	742718108		8/23/2011		11/19/2013	843	811							232					
1430	X	PROTECTIVE LIFE CORP	743874103		10/16/2012		3/20/2013	4,522	3,633							889					
1431	X	PROTECTIVE LIFE CORP	743874103		10/17/2012		3/20/2013	1,043	843							200					
1432	X	PRUDENTIAL FINL INC 4.75%	744320813		9/10/2009		9/4/2013	5,371	4,988							383					
1433	X	PUERTO RICO COMWLTH 3.1%	745177EW1		5/12/2011		1/15/2013	9,995	10,000							-5					
1434	X	PULTE GROUP INC	745887101		3/18/2013		3/20/2013	7,424	7,280							144					
1435	X	QLOGIC CORP	747277101		8/20/2012		2/20/2013	1,894	2,105							-111					
1436	X	AMGEN INC	031162100		9/16/2013		1/19/2013	4,502	4,556							-54					
1437	X	AMGEN INC 5.85% 8/11	031162102		3/6/2012		9/4/2013	5,110	5,598							-488					
1438	X	ANALOG DEVICES INC	032654105		12/12/2012		2/25/2013	3,619	3,380							239					
1439	X	ANALOG DEVICES INC	032654105		11/4/2011		2/25/2013	452	373							78					
1440	X	ANALOG DEVICES INC	032654105		11/4/2011		3/4/2013	3,612	2,883							829					
1441	X	ANALOG DEVICES INC	032654105		11/4/2011		3/15/2013	2,276	1,864							412					
1442	X	ANALOG DEVICES INC	032654105		11/4/2011		3/21/2013	5,537	4,623							914					
1443	X	ANN INC	035623107		3/30/2012		3/20/2013	7,008	6,659							349					
1444	X	ANN INC	035623107		4/16/2012		3/20/2013	3,352	3,164							188					
1445	X	FNMA POOL # AJ1758 3.5%	3138ASU2		5/1/2013		5/7/2013	5,838	5,847							-11					
1446	X	FNMA POOL # AJ1758 3.5%	3138ASU2		3/14/2013		5/25/2013	852	803							51					
1447	X	FNMA POOL # AJ1758 3.5%	3138ASU2		5/1/2013		6/13/2013	2,875	2,824							-49					
1448	X	FNMA POOL # AJ1758 3.5%	3138ASU2		5/1/2013		6/13/2013	5,421	5,488							-75					
1449	X	FNMA POOL # AJ1758 3.5%	3138ASU2		3/14/2013		6/25/2013	628	665							-37					
1450	X	FNMA POOL # AJ1758 3.5%	3138ASU2		3/14/2013		7/25/2013	256	271							-15					
1451	X	FNMA POOL # AJ1758 3.5%	3138ASU2		3/14/2013		8/25/2013	317	336							-19					
1452	X	FNMA POOL # AJ1758 3.5%	3138ASU2		3/14/2013		9/12/2013	7,444	7,565							-121					
1453	X	FNMA POOL # AJ1758 3.5%	3138ASU2		2/8/2012		8/25/2013	224	237							-13					
1454	X	FNMA POOL # AK2439 3.5%	3138ESV4		3/18/2010		2/25/2013	1,105	1,172							-87					
1455	X	CARTER'S INC	148228108		10/17/2012		3/20/2013	2,293	2,131							162					
1456	X	CATERPILLAR INC	149123101		6/16/2010		6/12/2013	10,054	7,722							2,332					
1457	X	CATERPILLAR INC	149123101		5/8/2013		11/8/2013	5,860	4,504							1,356					
1458	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		6/25/2013	157	163							-6					
1459	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		7/25/2013	41	42							-1					
1460	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		8/25/2013	46	47							-1					
1461	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		9/25/2013	42	44							-2					
1462	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		10/25/2013	42	44							-2					
1463	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		11/25/2013	43	44							-1					
1464	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		12/25/2013	44	46							-2					
1465	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		1/25/2014	44	46							-2					
1466	X	FNMA POOL # AT5380 3% 4/11	3138WS6S2		5/8/2013		6/25/2013	67	70							-3					
1467	X	CARNIVAL CORP	143658300		9/23/2011		6/28/2013	9,954	9,071							883					
1468	X	CARNIVAL CORP	143658300		8/14/2012		3/20/2013	3,089	3,420							-331					
1469	X	CARTER'S INC	148228108		8/20/2012		3/20/2013	2,867	2,632							235					
1470	X	CARTER'S INC	148228108		8/21/2012		3/20/2013	9,174	8,483							681					
1471	X	CLECO CORP NEW	12561W105		10/21/2011		3/20/2013	3,144	2,521							623					
1472	X	CLECO CORP NEW	12561W105		9/18/2012		3/20/2013	898	720							178					
1473	X	CARTER'S INC	148228108		10/16/2012		3/20/2013	3,440	3,345							95					
1474	X	CARTER'S INC	148228108		8/21/2012		3/20/2013	6,307	5,851							458					
1475	X	DELUXE CORP	248019101		8/20/2012		2/20/2013	3,500	2,575							825					
1476	X	DELUXE CORP	248019101		8/20/2012		3/20/2013	4,587	3,108							1,489					
1477	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		9/25/2013	36	36							0					
1478	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		10/25/2013	135	142							-7					
1479	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		11/25/2013	27	27							0					
1480	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		12/25/2013	144	151							-7					
1481	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		1/25/2014	29	29							0					
1482	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		2/25/2013	154	162							-8					
1483	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		3/25/2013	31	31							0					
1484	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		4/25/2013	147	154							-7					
1485	X	FNMA POOL # MA1200 3.0%	3141BAKN7		9/17/2012		5/25/2013	29	29							0					
1486	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		2/25/2013	1,118	1,184							-75					
1487	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		3/25/2013	834	890							-58					
1488	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		4/25/2013	892	852							-60					
1489	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		5/25/2013	965	1,030							-65					
1490	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		6/14/2013	18,078	18,798							-720					
1491	X	FNMA POOL # AD0207 6.0%	3141BMGR7		4/28/2010		6/25/2013	862	1,028							-64					
1492	X	FNMA POOL # AD0207 6.0%	3141BMGR7		6/27/2013		7/25/2013	208	228							-19					
1493	X	FNMA POOL # AD0207 6.0%	3141BMGR7		6/27/2013		8/25/2013	231	252							-21					
1494	X	FNMA POOL # AD0207 6.0%	3141BMGR7		7/12/2013		8/25/2013	58	63							-5					
1495	X	FNMA POOL # AD0207 6.0%	3141BMGR7		7/12/2013		9/25/2013	956	953							3					
1496	X	FNMA POOL # AD0207 6.0%	3141BMGR7		8/27/2013		8/23/2013	3,616	3,802							14					

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals			Net Gain or Loss
											Capital Gains/Losses	Gross Sales	Cost, Other Basis and Expenses	
											Other sales	18,784,394	18,634,478	2,129,915
	Long Term CG Distributions	Amount												
	Short Term CG Distributions	118,784												
1497	X	FNMA POOL # AD0207 6.0%			6/27/2013		9/25/2013	207	225					-18
1498	X	UNITED THERAPEUTICS COR			8/20/2012		3/20/2013	3,141	2,788					353
1499	X	DREYFUS HIGH YIELD-I			4/30/2012		6/12/2013	4,837	4,518					319
1500	X	DREYFUS HIGH YIELD-I			5/31/2012		6/12/2013	4,795	4,566					229
1501	X	DREYFUS HIGH YIELD-I			6/29/2012		6/12/2013	4,260	4,113					147
1502	X	DREYFUS HIGH YIELD-I			7/31/2012		6/12/2013	4,531	4,428					103
1503	X	DREYFUS HIGH YIELD-I			8/31/2012		6/12/2013	4,373	4,284					89
1504	X	DREYFUS HIGH YIELD-I			11/30/2012		6/12/2013	4,535	4,515					20
1505	X	DREYFUS HIGH YIELD-I			9/28/2012		6/12/2013	3,895	3,953					-58
1506	X	DREYFUS HIGH YIELD-I			10/31/2012		6/12/2013	4,759	4,723					36
1507	X	DREYFUS HIGH YIELD-I			12/31/2012		6/12/2013	4,584	4,612					-28
1508	X	FHLMC GOLD G04719 5.5%			11/14/2013		11/15/2013	325	354					-28
1509	X	FHLMC GOLD G04719 5.5%			3/9/2011		2/15/2013	685	733					-48
1510	X	FHLMC GOLD G04719 5.5%			3/9/2011		3/15/2013	713	763					-50
1511	X	FHLMC GOLD G04719 5.5%			3/9/2011		4/15/2013	689	718					-29
1512	X	FHLMC GOLD G04719 5.5%			3/9/2011		5/15/2013	825	883					-58
1513	X	FHLMC GOLD G04719 5.5%			3/9/2011		6/15/2013	709	759					-50
1514	X	FHLMC GOLD G04719 5.5%			3/9/2011		7/15/2013	525	562					-37
1515	X	FHLMC GOLD G04719 5.5%			3/9/2011		8/15/2013	546	585					-39
1516	X	FHLMC GOLD G04719 5.5%			3/9/2011		9/15/2013	548	594					-46
1517	X	FHLMC GOLD G04719 5.5%			3/9/2011		10/15/2013	849	831					18
1518	X	FHLMC GOLD G04719 5.5%			3/9/2011		11/15/2013	387	415					-28
1519	X	FHLMC GOLD G05337 5.5%			6/10/2010		2/15/2013	749	805					-56
1520	X	FHLMC GOLD G05337 5.5%			6/10/2010		3/15/2013	654	703					-49
1521	X	FHLMC GOLD G05337 5.5%			6/10/2010		4/15/2013	826	887					-61
1522	X	FHLMC GOLD G05337 5.5%			6/10/2010		5/15/2013	469	504					-35
1523	X	FHLMC GOLD G05337 5.5%			10/28/2011		4/4/2013	39,345	38,291					1,054
1524	X	MEAD JOHNSON NUTRITION			11/10/2011		11/6/2013	11,098	8,113					2,985
1525	X	METLIFE INC			2/20/2013		6/12/2013	17,510	14,046					3,464
1526	X	METLIFE INC			2/20/2013		11/9/2013	12,457	9,004					3,453
1527	X	METLIFE INC			2/20/2013		11/9/2013	5,762	3,862					1,900
1528	X	METLIFE INC			2/20/2013		9/4/2013	6,212	5,107					1,105
1529	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	8,600	6,364					2,236
1530	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	28,018	18,520					9,498
1531	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	2,899	2,510					389
1532	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	3,137	3,137					0
1533	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	3,484	3,484					0
1534	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	2,532	2,532					0
1535	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	7,090	5,872					1,218
1536	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	5,070	4,087					983
1537	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	18,056	13,032					5,024
1538	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	45,333	42,137					3,196
1539	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	19,134	14,224					4,910
1540	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	11,093	8,097					2,996
1541	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	11,528	8,487					3,041
1542	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	10,418	10,418					0
1543	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	15,376	10,307					5,069
1544	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	6,282	4,294					1,988
1545	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	3,338	2,147					1,191
1546	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	281	309					-28
1547	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	1,088	1,132					-44
1548	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	1,038	1,101					-63
1549	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	499	529					-30
1550	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	823	878					-55
1551	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	734	778					-44
1552	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	716	759					-43
1553	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	358	380					-22
1554	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	207	219					-12
1555	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	2,531	2,683					-152
1556	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	1,573	1,668					-95
1557	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	753	798					-45
1558	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	2,417	2,562					-145
1559	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	586	631					-45
1560	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	52	56					-4
1561	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013	190	207					-17
1562	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013							
1563	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013							
1564	X	METLIFE TOLEDO INTL INC			2/20/2013		3/20/2013							

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Short Term CG Distributions										Amount	
Long Term CG Distributions										Short Term CG Distributions										118,784	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss							
															Totals		Capital Gains/Losses		Other sales		
Gross Sales										Cost, Other Basis and Expenses										Net Gain or Loss	
18,764,394										18,634,479										2,129,915	
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Part I, Line 11 (990-PF) - Other Income

		110,735	111,205	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	BNY INVESTMENT FEE REIMBURSEMENT	110,165	110,165	
2	PARTNERSHIP INCOME	570	1,040	

Part I, Line 18 (990-PF) - Taxes

		80,194	12,990	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	12,990	12,990		
2	PRIOR YEAR EXCISE TAX DUE	13,194			
3	ESTIMATED EXCISE PAYMENTS	54,010			

Part I, Line 23 (990-PF) - Other Expenses

		52,481	52,481	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PARTNERSHIP EXPENSES	52,481	52,481		

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1				0	
2	UNITED STATES TREAS 0.875% 9/15/16			40,238	40,238
3	U S TREASURY NOTE			25,024	24,953
4	UNITED TECHNOLOGIES CORP			96,424	159,775
5	UNIV OF CALIFORNIA C 5.435% 5/15/23			5,714	5,434
6	VALERO ENERGY CORP NEW			41,214	122,473
7	VERIZON COMMUNICATION 5.15% 9/15/2			19,935	21,474
8	VERIZON COMMUNICATIONS INC			35,478	49,140
9	VIRTUS EMERGING MKTS OPPOR-I			824,799	811,762
10	VMWARE INC			61,881	67,282
11	WFRBS 2011-C5 A2 2.684% 11/15/44			25,250	25,776
12	WALGREEN CO			20,070	18,750
13	WELLS FARGO & CO NEW			118,710	233,810
14	YAHOO INC			81,835	190,473
15	YUM BRANDS INC			79,729	114,928
16	BNY MELLON PRIVATE EQUITY FUND VII			350,000	327,635
17	LIAB-BNY MELLON PRIV EQUITY FUND VI			0	0
18	THE OPTIMA PARTNERS FOCUS FUND			1,500,000	1,967,725
19				1	0
20	THE OPTIMA FUTURES FUND LTD			450,000	474,753
21	034356-BNY FDN INC-022102-DIV EQ-SUB			1	0
22	AT&T INC			13,833	14,767
23	ABBOTT LABORATORIES			12,887	13,032
24	AIR PRODUCTS AND CHEMICALS INC CO			9,754	14,420
25	APPLE COMPUTER INC COM			9,765	12,342
26	ARES CAP CORP			15,129	14,927
27	BRISTOL MYERS SQUIBB CO COM			18,219	20,197
28	CATERPILLAR INC			11,763	14,530
29	CENTERPOINT ENERGY INC			11,189	13,908
30	CHEVRONTXACO CORP			9,639	13,365
31	COMCAST CORP NEW CL A			11,256	14,031
32	CONOCOPHILLIPS			10,454	12,010
33	DARDEN RESTAURANTS INC COM			13,966	14,680
34	DOMINION RES INC VA PFD			15,064	15,721
35	DOW CHEMICAL COMPANY COMMON			13,538	16,872
36	E I DU PONT DE NEMOURS & CO COMM			8,270	12,994
37	DUKE ENERGY CORP NEW COM			13,805	16,286
38	GALLAGHER ARTHUR J & CO			11,548	14,548
39	GENERAL ELECTRIC CO			11,652	21,864
40	INVESCO MORTGAGE CAPITAL INC			10,251	9,982
41	KLA TENCOR CORP			14,463	16,760
42	KIMBERLY-CLARK CORP COM			8,537	12,849
43	KINDER MORGAN HOLDCO LLC			9,127	11,880
44	LAS VEGAS SANDS CORP			12,348	21,295
45	LOCKHEED MARTIN CORP			11,456	23,191
46	LORILLARD INC			23,903	28,938

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
47	LOWES COMPANIES INC COM		0	11,682	19,324
48	MATTEL INC		0	6,480	11,895
49	MONDELEZ INTERNATIONAL INC		0	9,847	15,885
50	NATIONAL GRID PLC		0	13,395	17,636
51	NEW RESIDENTIAL INVESTMENT		0	15,302	15,431
52	EATON CORP PLC		0	13,430	19,791
53	SEAGATE TECHNOLOGY		0	7,289	11,794
54	AVAGO TECHNOLOGIES LTD		0	6,398	7,932
55	NEXTERA ENERGY INC CONV PFD		0	15,075	16,989
56	NORDSTROM INC		0	12,612	12,978
57	NUCOR CORP		0	11,227	12,811
58	OCCIDENTAL PETROLEUM CORPORATIO		0	9,069	10,461
59	ONEOK INC NEW		0	10,822	11,814
60	PNC FINANCIAL SERVICES GROUP INC		0	6,521	10,861
61	P L CORPORATION CONV PFD		0	16,308	15,864
62	PEPSICO INC		0	13,510	16,588
63	PFIZER INC COM		0	10,277	18,072
64	PHILIP MORRIS INTERNATIONAL		0	12,628	16,555
65	PHILLIPS 66		0	8,612	12,341
66	PLAINS GP HOLDINGS LP-CL A		0	12,101	14,456
67	TAIWAN SEMICONDUCTOR ADR		0	10,611	10,813
68	TEVA PHARMACEUTICAL INDS ADR		0	14,666	14,429
69	UNITED PARCEL SVC INC CL B		0	10,834	18,389
70	UNITED TECHNOLOGIES CORP UNIT		0	15,876	19,641
71	VERIZON COMMUNICATIONS INC		0	2,838	3,931
72	WILLIAMS CO INC		0	10,851	11,957
73	ACTAVIS PLC		0	103,831	121,127
74	AON CORP		0	114,771	196,303
75	ACCENTURE PLC IRELAND SHS CL A		0	26,594	43,577
76	EATON CORP PLC		0	111,575	164,419
77	INGERSOLL-RAND PLC		0	108,249	181,104
78	INVESCO LTD		0	83,270	191,100
79	AVAGO TECHNOLOGIES LTD		0	111,751	139,601
80	A.B.B. FIN USA INC 2 875% 5/08/22		0	29,215	28,348
81	AT&T INC		0	21,233	21,057
82	AT&T INC		0	36,248	50,630
83	ADOBE SYS INC COM		0	88,583	137,722
84	ADVANTAGE GLOBAL ALPHA-R		0	222,123	256,687
85	ALCATEL SPONSORED ADRS		0	110,431	125,400
86	ALLERGAN INC COM		0	107,107	110,080
87	AMAZON.COM INC		0	19,830	18,029
88	AMAZON.COM INC		0	98,300	195,008
89	AMERICAN INTL GROUP 5 85% 1/16/18		0	23,681	22,941
90	AMCAR 2013-2 A3 0 65% 12/08/17		0	19,996	19,957
91	AMGEN INC 5 65% 6/15/42		0	22,385	21,181
92	AMGEN INC		0	145,924	142,486

28,077,647

29,462,102

36,139,096

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
93	ANHEUSER-BUSCH INBEV 2.5% 7/15/22		0	19,981	36,139,096
94	PROCTER & GAMBLE CO COM		0	14,048	18,499
95	STARWOOD PROPERTY TRUST INC		0	12,672	18,724
96	APPLE COMPUTER INC COM		0	143,489	16,620
97	BBVA US SENIOR SA UNI 3.25%		0	14,366	375,883
98	BNY MELLON CORP BOND-M		0	1,025,522	15,120
99	BNY MELLON MID CAP STK FD CL M		0	1,951,114	1,018,220
100	BNY MELLON S/T US GVT SECS FD CL M		0	303,076	2,210,841
101	BNY MELLON INTERMEDIATE BOND FD C		0	1,089,445	302,823
102	BNY MELLON INTL FD CL M SHSS		0	881,464	1,059,720
103	BANK AMER CORP		0	23,293	1,295,872
104	BEAM INC		0	118,489	33,084
105	BEAR STEARNS CO INC		0	21,401	130,675
106	BLACKROCK INC		0	20,038	22,290
107	BOSTON PROPERTIES LP		0	19,678	23,205
108	BRISTOL MYERS SQUIBB CO COM		0	138,076	20,432
109	CABOT OIL & GAS CORP		0	109,598	155,730
110	CAPITAL ONE FINL CORP COM		0	83,381	117,830
111	CATERPILLAR INC		0	74,706	118,746
112	CELANESE CORP DEL		0	70,035	105,430
113	CENTERPOINT ENERGY INC		0	92,357	108,961
114	CHEVRONTXACO CORP		0	98,557	110,569
115	CHICAGO ILL 6.034% 1/01/42		0	25,000	136,652
116	CITIGROUP INC		0	15,742	22,949
117	CITIGROUP INC 6.125% 11/21/17		0	12,812	15,427
118	COCA-COLA CO USD		0	84,984	17,291
119	COMCAST CORP 3.125% 7/15/22		0	30,317	104,101
120	COMCAST CORP NEW CL A		0	125,938	28,629
121	CONAGRA FOODS INC 3.2% 1/25/23		0	10,015	184,476
122	RABOBANK NEDERLAND		0	21,940	9,284
123	COSTCO WHSL CORP NEW		0	86,027	21,228
124	DFA EMERG MKTS CORE EQUITY		0	3,011,788	123,781
125	DISNEY (WALT) COMPANY HOLDING CO		0	50,823	3,184,373
126	DISCOVERY COMMUNICATIONS		0	20,940	131,408
127	DOVER CORPORATION		0	73,681	20,811
128	DREYFUS FLOATING RATE INC-I		0	316,532	154,464
129	DREYFUS INFLATION ADJUSTED SECS F		0	500,876	317,537
130	DREYFUS EMG MKT DEBT LOC C-I		0	268,013	447,746
131	DREYFUS PREM LT TRM HI YLD-R		0	513,524	271,675
132	DREYFUS PR GL R/E SEC FD CL I		0	386,423	651,825
133	DREYFUS GROWTH & VALUE FDS INC		0	276,420	699,750
134	DREYFUS/NEWTON INTERN EQTY-I		0	977,295	430,853
135	EASTMAN CHEMICAL CO 3.6% 8/15/22		0	19,873	1,229,229
136	EXPRESS SCRIPTS HLDG CO		0	78,834	19,201
137	EXXON MOBIL CORP		0	82,420	118,706
138	FACEBOOK INC		0	45,124	121,440
			0		67,765

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
139	FAMILY DLR STORES INC		0	71,035	36,139,096
140	FHLMC GOLD G18489 3.0% 11/01/28		0	20,436	20,264
141	FHLMC GOLD G04216 5.5% 12/01/37		0	4,737	4,802
142	FHLMC GOLD G04219 5.5% 4/01/38		0	8,080	8,084
143	FHLMC GOLD G05337		0	14,415	14,698
144	FHLMC GOLD G05477 4.5% 5/01/39		0	25,097	24,653
145	FHLMC GOLD G18305 4.0% 4/01/24		0	21,111	20,986
146	FHLMC GOLD G18473 3.0% 7/01/28		0	39,670	39,462
147	FHLMC GOLD POOL J15724 4.0% 6/01/26		0	17,712	17,519
148	FG GOLD POOL T49004 3.0% 9/01/27		0	10,104	9,972
149	FHLMC GOLD POOL A90393 5.0% 12/01/39		0	23,105	24,109
150	FHLMC GOLD A92821 5.0% 7/01/40		0	7,685	7,813
151	FHLMC GOLD A95828 4.5% 12/01/40		0	44,565	46,488
152	FHLMC POOL Q0-3517		0	7,926	7,775
153	FHLMC POOL Q04584 4.5% 11/01/41		0	26,374	26,398
154	FHLMC GOLD POOL Q12934 3.0% 11/01/42		0	34,667	31,313
155	FHLMC GOLD Q19384 3.5% 6/01/43		0	44,063	43,866
156	FHLMC GOLD Q19418 3.5% 6/01/43		0	19,573	19,486
157	FEDERAL NAT'L MTGE ASSN POOL 255		0	17,263	18,648
158	FNMA POOL 0AH3519		0	14,163	14,767
159	FNMA POOL 0AH4794		0	53,221	55,243
160	FNMA POOL 0AK5762		0	6,732	6,499
161	FNMA POOL 0AL0201		0	5,612	5,594
162	FNMA POOL AL4166 5.5% 1/01/39		0	29,947	29,965
163	FNMA POOL 0AK2439		0	34,999	34,572
164	FNMA POOL 0AK2717		0	44,490	43,250
165	FNMA AQ5362 3.5% 12/01/42		0	9,485	8,935
166	FNMA POOL AT4941 3% 5/1/43		0	20,258	18,490
167	FNMA POOL AT5380 3% 4/1/43		0	25,573	23,368
168	FNMA AR8467 2.5% 3/01/28		0	14,585	13,848
169	FNMA AR8596 3.5% 6/01/43		0	33,994	33,815
170	FNMA POOL AU1660 2.5% 7/01/28		0	33,862	33,614
171	FEDERAL NAT'L MTGE ASSN POOL 555		0	9,426	10,187
172	FEDERAL NAT'L MTGE ASSN POOL 745		0	9,980	9,973
173	FNMA POOL 962301 4.5% 3/01/23		0	7,680	7,952
174	FNMA POOL 0975268		0	2,115	2,137
175	FNMA POOL 0MA1200		0	27,936	26,393
176	FIDELITY NAT'L TITLE GROUP INC CLA		0	124,001	133,694
177	FLORIDA ST HURRICANE 2 995% 7/01/20		0	40,000	37,853
178	FORD MOTOR CREDIT CO 3.0% 6/12/17		0	19,995	20,786
179	FREEMONT-MCMORAN COPPER & GOLD		0	68,051	80,764
180	GENERAL ELECTRIC CO		0	86,631	168,741
181	GENERAL ELEC CAP CORP		0	32,624	33,559
182	GILEAD SCIENCES INC		0	36,629	135,180
183	GOLDMAN SACHS GROUP		0	21,205	27,814
184	GOOGLE INC		0	233,822	331,730

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			28,077,647	29,462,102	36,139,096
185	HSBC FINANCE CORP		0	27,133	28,726
186	HALLIBURTON COMPANY COM		0	32,527	119,262
187	HARLEY DAVIDSON INC		0	118,320	139,865
188	HOME DEPOT INC USD 0 05		0	47,789	119,393
189	HONEYWELL INTL INC		0	43,488	164,466
190	HYDRO-QUEBEC 2 0% 6/30/16		0	14,997	15,402
191	ILLINOIS ST 4.421% 1/01/15		0	15,000	15,480
192	INTEL CORP 2.7% 12/15/22		0	29,872	27,654
193	INTERCONTINENTALEXCHANGE GRO		0	112,444	192,981
194	J P MORGAN CHASE & CO		0	37,902	100,586
195	JEFFERIES GROUP INC		0	16,312	18,300
196	JOHNSON CONTROLS INC 3 75% 12/01/21		0	20,762	19,856
197	JOHNSON CONTROLS INC		0	65,945	128,250
198	KLA TENCOR CORP		0	60,073	59,948
199	LAS VEGAS SANDS CORP		0	88,134	155,374
200	MARATHON OIL CORP		0	118,055	141,906
201	MASSACHUSETTS ST		0	15,027	15,794
202	MET LIFE GLOB FNDG 7 717% 2/15/19		0	15,052	18,593
203	METLIFE INC		0	104,731	202,739
204	MONDELEZ INTERNATIONAL INC		0	77,245	124,609
205	MORGAN STANLEY 4 875% 11/01/22		0	30,386	30,710
206	NYSE EURONEXT		0	19,934	20,026
207	NATIONAL-OILWELL INC		0	128,299	147,130
208	ASG MANAGED FUTURES STRAT-Y		0	238,760	258,132
209	ASG GLOBAL ALTERNATIVES FUND-Y		0	70,197	73,853
210	NEW YORK N Y CITY MU 6 282% 6/15/42		0	15,000	16,100
211	NEWS AMER INC		0	4,109	5,684
212	NAROT 2010-A A4 1 31% 9/15/16		0	10,671	10,623
213	NUCOR CORP		0	91,498	112,098
214	OAKLAND CA UNIF SCH 9 5% 8/01/34		0	17,705	16,825
215	OCWEN FINL CORP		0	93,768	110,346
216	ONTARIO (PROVINCE OF) 4.0% 10/07/19		0	14,974	16,238
217	ORACLE CORP		0	25,860	28,889
218	PNC FINANCIAL SERVICES GROUP INC		0	69,867	116,370
219	PVH CORP		0	110,737	123,778
220	PEPSICO INC 4 5% 1/15/20		0	19,933	21,753
221	PEPSICO INC		0	117,691	178,321
222	PETROBRAS INTL FIN CO		0	9,980	9,924
223	PFIZER INC COM		0	123,379	176,735
224	PHILIP MORRIS INTERNATIONAL		0	45,684	106,299
225	PROCTER & GAMBLE CO COM		0	145,444	195,384
226	PRUDENTIAL FINL INC 4 75% 9/17/15		0	19,953	21,313
227	PUERTO RICO COMWLTH 3 67% 5/01/14		0	25,000	23,698
228	ROGERS WIRELESS INC		0	15,818	15,143
229	SALESFORCE COM INC		0	80,320	150,448
230	SCHLUMBERGER LIMITED COM		0	97,283	133,363

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
231	SEMPRA ENERGY		0	65,142	117,586
232	SERVICENOW INC		0	123,496	156,828
233	SHIRE PHARMACEUTICALS GROUP		0	88,152	142,138
234	SIMON PROPERTY GROUP 5.65% 2/01/20		0	24,905	28,424
235	SOUTHWESTERN ENERGY CO		0	156,019	171,479
236	STATE ST CORP COM		0	85,684	201,822
237	DREYFUS SELECT MGRS S/C GROWTH F		0	267,297	373,475
238	DREYFUS SELECT MANAGERS S/C VL-I		0	255,080	383,583
239	DREYFUS PRE STRAT INTER ST-R		0	1,001,013	1,224,074
240	TCW EMERGING MARKETS INCOME FUN		0	466,556	484,004
241	TD AMERITRADE HLDG CO 4.15% 12/01/14		0	15,057	15,487
242	TAIWAN SEMICONDUCTOR ADR		0	94,029	95,571
243	TELEFONICA EMISIONES SAU		0	24,063	26,559
244	TEVA PHARMACEUTICAL INDS ADR		0	147,574	145,090
245	THERMO FISHER SCIENTIFIC INC		0	20,985	19,821
246	THERMO ELECTRON CORP		0	93,476	98,322
247	TIME WARNER INC 4.0% 1/15/22		0	15,536	15,189
248	TIME WARNER CABLE INC		0	29,811	28,433
249	OPTIMA PRNRS GLOBAL FD LTD 01/01/1		0	1,500,000	1,713,675
250	EACM ABSOLUTE RETURN FD LTD 01/01/		0	700,000	764,645
251	UNION PACIFIC CORP		0	36,823	75,096
252	UNITED MEXICAN STS MEDIUM TERM		0	13,268	16,762
253	TSY INFL IX N/B		0	34,152	38,237
254	U S TREAS INFL IDX N/B		0	30,263	35,218
255	US TREAS-CPI INFLATION INDEX		0	27,553	28,996
256	U S TREASURY NOTE 1.75% 7/31/15		0	40,156	40,933
257	U S TREASURY NOTE 2.625%		0	20,909	21,031
258	US TREAS-CPI		0	54,379	54,325
259	US TREAS-CPI		0	21,529	21,039
260	U S TREASURY NOTE		0	30,714	30,684
261	US TREASURY NOTE 1.375% 9/30/18		0	34,947	34,573
262	U S TREASURY NOTE 0.875% 12/31/16		0	24,964	25,055
263	U S TREASURY NOTE		0	24,959	25,058
264	U S TREASURY NOTE		0	99,590	99,961
265	U S TREASURY NT		0	54,845	54,955
266	UNITED STATES TREASURY NOTE 12/15/		0	39,889	39,911
267	U S TREASURY NOTE 0.125% 01/15/2023		0	32,757	28,670
268	UNITED STATES TREAS 0.375% 3/15/16		0	49,651	49,922
269	UNITED STATES TREAS 0.25% 4/15/16		0	34,892	34,814
270	TSY INFL IX N/B 0.125% 4/15/18		0	32,691	30,916

Part III (990-PF) - Changes in Net Assets or Fund Balances**Line 3 - Other increases not included in Part III, Line 2**

1	PRIOR YEAR WASH SALE ADJUSTMENT	1	4,392
2	DEFERRED INCOME	2	355
3	ACCRUED INTEREST CARRYOVER FROM PRIOR YEAR	3	463
4	PARTNERSHIP ADJUSTMENT	4	76,609
5	Total	5	81,819

Line 5 - Decreases not included in Part III, Line 2

1	DIGITAL REALTY TRUST INC COST BASIS ADJUSTMENT	1	44
2	KIMCO REALTY CORP COST BASIS ADJUSTMENT	2	91
3	MICROCHIP TECHNOLOGY INC. COST BASIS ADJUSTMENT	3	428
4	INGERSOLL COST BASIS ADJUSTMENT	4	1,792
5	RETURN OF CAPITAL ADJUSTMENT	5	9,413
6	COST BASIS ADJUSTMENT	6	392
7	ACCRUED INTEREST CARRYOVER TO NEXT YEAR	7	136
8	Total	8	12,296

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Amount		118,784	
Short Term CG Distributions															Amount		0	
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	3	16,834,482	2,011,131	0	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses				
1 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	7/25/2013	1,286			0	1,393	-77	0		0	-77				
2 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	8/25/2013	988			0	1,047	-59	0		0	-59				
3 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	9/25/2013	778			0	825	-48	0		0	-48				
4 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	10/25/2013	120			0	127	-7	0		0	-7				
5 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	11/25/2013	731			0	775	-44	0		0	-44				
6 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	12/25/2013	854			0	1,011	-157	0		0	-157				
7 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	12/25/2013	74			0	78	-4	0		0	-4				
8 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		2/23/2012	12/25/2013	92			0	98	-6	0		0	-6				
9 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		11/15/2013	3/25/2013	163			0	173	-10	0		0	-10				
10 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		11/15/2013	4/25/2013	121			0	130	-9	0		0	-9				
11 FINMA POOL # A27171A 4.0% 2014/2	3138EAT11		11/15/2013	5/25/2013	220			0	234	-14	0		0	-14				
12 SALESFORCE.COM INC	79486L302		6/7/2013	6/12/2013	14,514			0	14,952	-438	0		0	-438				
13 SALESFORCE.COM INC	79486L302		3/1/2012	9/20/2013	1,697			0	1,159	538	0		0	538				
14 SALESFORCE.COM INC	79486L302		6/7/2013	9/20/2013	18,966			0	12,560	6,406	0		0	6,406				
15 SALESFORCE.COM INC	79486L302		9/23/2011	9/20/2013	7,317			0	4,088	3,229	0		0	3,229				
16 SALESFORCE.COM INC	79486L302		9/23/2011	10/24/2013	27,108			0	14,731	12,377	0		0	12,377				
17 SALESFORCE.COM INC	79486L302		9/23/2011	11/8/2013	9,881			0	5,304	4,577	0		0	4,577				
18 SALESFORCE.COM INC	79486L302		8/17/2013	7/28/2013	4,250			0	2,357	1,893	0		0	1,893				
19 SANDISK CORP	80004C101		6/7/2013	7/28/2013	44,420			0	49,029	-4,609	0		0	-4,609				
20 ANN INC	035823107		1/23/2013	3/20/2013	1,828			0	1,927	-99	0		0	-99				
21 APACHE CORP CONV PFD	037411808		4/3/2012	4/12/2013	3,420			0	4,441	-1,021	0		0	-1,021				
22 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	7/25/2013	34			0	35	-1	0		0	-1				
23 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	8/25/2013	37			0	37	-2	0		0	-2				
24 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	9/25/2013	113			0	118	-5	0		0	-5				
25 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	10/25/2013	58			0	60	-2	0		0	-2				
26 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	11/25/2013	34			0	35	-1	0		0	-1				
27 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	12/25/2013	206			0	215	-9	0		0	-9				
28 FINMA POOL # A14841 3% 5/1/43	3138WSP28		5/6/2013	1/25/2014	74			0	77	-3	0		0	-3				
29 FINMA POOL # A14841 3% 5/1/43	3138WSP28		12/1/2013	1/25/2014	212			0	212	0	0		0	0				
30 FINMA REMIC 2003-64 5.5% 3/25/20	31383DKA8		5/20/2010	1/25/2013	3,482			0	3,626	-184	0		0	-184				
31 FINMA REMIC 2003-64 5.5% 3/25/20	31383DKA8		5/20/2010	2/25/2013	2,365			0	2,477	-112	0		0	-112				
32 FED NATL MTGE ASSN 3.0% 9/16/14	3138BAY72		8/13/2009	5/3/2013	5,188			0	4,988	200	0		0	200				
33 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	5/25/2013	846			0	710	-64	0		0	-64				
34 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	6/25/2013	846			0	711	-65	0		0	-65				
35 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	7/25/2013	550			0	605	-55	0		0	-55				
36 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	8/25/2013	598			0	658	-60	0		0	-60				
37 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	9/25/2013	484			0	544	-60	0		0	-60				
38 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	10/25/2013	377			0	415	-38	0		0	-38				
39 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	11/25/2013	343			0	377	-34	0		0	-34				
40 FINMA POOL # 745418 5.5% 4/01/08	31403DDX4		4/1/2013	12/25/2013	292			0	321	-29	0		0	-29				
41 GENERAL ELECTRIC COMPANY	369004103		1/28/2013	11/9/2013	4,866			0	4,046	820	0		0	820				
42 GENERAL ELECTRIC COMPANY	369004103		9/23/2011	11/9/2013	1,822			0	726	78	0		0	78				
43 GENERAL ELEC CAP CORP 5.5% 2/11/39	369004103		2/22/2012	8/30/2013	10,809			0	10,874	-65	0		0	-65				
44 GILEAD SCIENCES INC	375558103		10/20/2011	11/8/2013	24,083			0	12,617	11,478	0		0	11,478				
45 GILEAD SCIENCES INC	375558103		10/20/2011	5/13/2013	33,120			0	12,413	20,707	0		0	20,707				
46 U.S. TREASURY NOTE 1.25% 3/15/14	812838P27		3/23/2011	11/8/2013	5,080			0	5,018	62	0		0	62				
47 U.S. TREASURY NOTE 1.25% 3/15/14	812838P27		3/11/2011	12/3/2013	30,380			0	30,110	270	0		0	270				
48 U.S. TREASURY NOTE 1.25% 3/15/14	812838P27		3/23/2011	12/3/2013	5,080			0	5,018	62	0		0	62				
49 UNITED STATES TREAS 0.75% 8/15/14	812838P27		8/15/2011	12/3/2013	10,075			0	10,018	56	0		0	56				
50 UNITED STATES TREAS 0.75% 8/15/14	812838P27		8/26/2011	12/3/2013	10,075			0	9,984	91	0		0	91				
51 DREYFUS INVESTMENT PARTNERSHIP	263534109		8/17/2013	11/9/2013	38,000			0	35,684	2,316	0		0	2,316				
52 DUKE ENERGY CORP	263534109		9/23/2011	7/1/2013	2,894			0	2,087	827	0		0	827				
53 DUKE ENERGY CORP	263534109		10/18/2012	3/18/2013	1,824			0	1,845	-21	0		0	-21				
54 DUKE ENERGY CORP	263534109		9/23/2011	11/9/2013	710			0	555	155	0		0	155				
55 DUKE ENERGY CORP	263534109		7/17/2009	2/7/2013	25,091			0	13,980	11,101	0		0	11,101				
56 DUKE ENERGY CORP	263534109		7/17/2009	2/7/2013	28,616			0	16,598	12,018	0		0	12,018				
57 DUKE ENERGY CORP	263534109		7/17/2009	2/7/2013	8,611			0	4,923	3,688	0		0	3,688				
58 EASTMAN CHEMICAL CO 3.6% 8/15/22	27743ZAN0		5/30/2012	6/18/2013	10,017			0	9,979	38	0		0	38				
59 ENERGIIZER HOLDINGS INC	26286R108		8/20/2012	3/20/2013	5,777			0	4,082	1,695	0		0	1,695				
60 ENERGIIZER HOLDINGS INC	26286R108		8/21/2012	3/20/2013	18,285			0	13,003	5,282	0		0	5,282				
61 EQUINIX INC	28444U502		3/7/2013	6/12/2013	12,465			0	13,808	-1,341	0		0	-1,341				
62 EQUINIX INC	28444U502		3/4/2013	6/14/2013	20,204			0	24,880	-4,686	0		0	-4,686				
63 HARLEY DAVIDSON INC	412822108		8/23/2013	11/9/2013	4,652			0	4,188	464	0		0	464				
64 HARRIS CORP	413875105		2/20/2013	3/20/2013	8,974			0	8,516	458	0		0	458				
65 HARRIS CORP	413875105		3/16/2013	3/20/2013	13,461			0	13,303	158	0		0	158				
66 HAWAIIAN ELECTRIC INDS INC	419870100		8/20/2012	7/23/2013	1,038			0	1,094	-58	0		0	-58				
67 HAWAIIAN ELECTRIC INDS INC	419870100		8/21/2012	7/23/2013	3,368			0	3,552	-186	0		0	-186				
68 HAWAIIAN ELECTRIC INDS INC	419870100		8/21/2012	7/23/2013	9,300			0	7,104	2,196	0		0	2,196				
69 HELIX ENERGY SOLUTIONS GROUP INC	412330P107		8/21/2012	2/20/2013	7,110			0	5,812	1,298	0		0	1,298				
70 HELIX ENERGY SOLUTIONS GROUP INC	412330P107		8/21/2012	3/20/2013	8,316			0	6,974	1,342	0		0	1,342				
71 HELIX ENERGY SOLUTIONS GROUP INC	412330P107		8/20/2012	3/16/2013	4,851			0	4,016	835	0		0	835				
72 HELIX ENERGY SOLUTIONS GROUP INC	412330P107		8/20/2012	3/20/2013	1,823													

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Short Term CG Distributions		Amount												2,011,131	
		118,784		0														0	
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		Amount	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	3	18,634,482	2,011,131	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adj Basis or Losses
		118,784			0													
233	PHILMC GOLD A90393 5.0% 12/01/83 312933N18						3/8/2010	9/15/2013	40			0	41		-1	0	0	2,011,131
234	PHILMC GOLD A90393 5.0% 12/01/83 312933N18						3/8/2010	10/15/2013	1,482			0	1,522		-40	0	0	2,011,131
235	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	7,321			0	5,033		2,288	0	0	2,288
236	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	3,707			0	2,407		1,300	0	0	1,300
237	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	874			0	438		236	0	0	236
238	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	2,084			0	1,548		536	0	0	536
239	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	6,707			0	5,036		1,671	0	0	1,671
240	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	5,034			0	5,147		-113	0	0	-113
241	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	14,799			0	15,440		-641	0	0	-641
242	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	15,544			0	13,662		1,882	0	0	1,882
243	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	20,725			0	19,185		1,540	0	0	1,540
244	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	5,003			0	5,007		-4	0	0	-4
245	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	5,003			0	4,863		140	0	0	140
246	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	2,172			0	1,866		306	0	0	306
247	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	4,782			0	3,531		1,251	0	0	1,251
248	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	288			0	298		-10	0	0	-10
249	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	285			0	298		-13	0	0	-13
250	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
251	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
252	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
253	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
254	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
255	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
256	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
257	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
258	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
259	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
260	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
261	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
262	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
263	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
264	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
265	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
266	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
267	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
268	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
269	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
270	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
271	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
272	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
273	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
274	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
275	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
276	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
277	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
278	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
279	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
280	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
281	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
282	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
283	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
284	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
285	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
286	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
287	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
288	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
289	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
290	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
291	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
292	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
293	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
294	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
295	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
296	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
297	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
298	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
299	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
300	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
301	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
302	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
303	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
304	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	0
305	MONDELEZ INTERNATIONAL						9/23/2011	11/8/2013	78			0	78		0	0	0	

401	VALERO ENERGY CORP NEW	918137100
402	VALERO ENERGY CORP NEW	918137100
403	VALERO ENERGY CORP NEW	918137100
404	VALERO ENERGY CORP NEW	918137100
405	VALERO ENERGY CORP NEW	918137100
406	VALERO ENERGY CORP NEW	918137100
407	VALERO ENERGY CORP NEW	918137100
408	VALERO ENERGY CORP NEW	918137100
409	VALERO ENERGY CORP NEW	918137100
410	VALERO ENERGY CORP NEW	918137100
411	VECTREN CORP	9224065101
412	VECTREN CORP	9224065101
413	VECTREN CORP	9224065101
414	VECTREN CORP	9224065101
415	VERIZON COMMUNICATIONS INC	9234317104
416	VERIZON COMMUNICATIONS INC	9234317104
417	VERIZON COMMUNICATIONS INC	9234317104
418	VERIZON COMMUNICATIONS INC	9234317104
419	VOART 2011-A3 1 11% 5/15/15	8815894C3
420	VOART 2011-A3 1 11% 5/15/15	8815894C3

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount	Short Term CG Distributions												
Description of Property Sold										CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses	
505	EQUINIX INC									284441502			3/1/2013	8/14/2013	3,281			4,038	-755				-755
506	EQUINIX INC									284441502			3/1/2013	8/15/2013	55,331			71,152	-15,821				-15,821
507	EQUINIX INC									284441502			6/7/2013	8/15/2013	21,142			24,850	-3,508				-3,508
508	EQUINIX CORP									301611101			9/23/2011	10/24/2013	4,706			7,153	-2,447				-2,447
509	EQUINIX CORP									301611101			9/23/2011	10/24/2013	3,355			5,048	-1,694				-1,694
510	EQUINIX CORP									301611101			4/1/2012	10/24/2013	2,786			3,760	-974				-974
511	EQUINIX CORP									301611101			2/25/2013	10/24/2013	1,678			1,945	-267				-267
512	EXPRESS SCRIPTS HOLDING CO									302186108			10/28/2011	8/16/2013	15,611			11,662	3,949				3,949
513	EXPRESS SCRIPTS HOLDING CO									302186108			10/28/2011	8/16/2013	50,685			35,918	14,767				14,767
514	EXPRESS SCRIPTS HOLDING CO									302186108			10/28/2011	11/6/2013	7,031			5,131	1,900				1,900
515	EXPRESS SCRIPTS HOLDING CO									302186108			10/28/2011	11/6/2013	3,242			2,332	910				910
516	EXXON MOBIL CORP									302311102			11/20/2008	8/23/2013	14,544			11,442	3,102				3,102
517	EXXON MOBIL CORP									302311102			11/20/2008	8/23/2013	26,646			24,315	2,331				2,331
518	EXXON MOBIL CORP									302311102			11/20/2008	11/6/2013	7,332			5,721	1,611				1,611
519	EXXON MOBIL CORP									302311102			8/15/2013	11/6/2013	3,824			2,861	963				963
520	EXXON MOBIL CORP									302311102			8/15/2013	11/6/2013	4,323			3,275	1,048				1,048
521	FACEBOOK INC									30303M102			8/20/2012	3/20/2013	2,734			2,836	-102				-102
522	FACEBOOK INC									30303M102			8/20/2012	3/20/2013	1,856			1,566	290				290
523	ACTBEL RESEARCH SYSTEMS INC									303075105			9/20/2012	3/20/2013	2,734			2,836	-102				-102
524	ACTBEL RESEARCH SYSTEMS INC									303075105			9/20/2012	3/20/2013	8,204			8,602	-398				-398
525	FAIR ISAAC INC									303250104			9/20/2012	3/20/2013	3,652			3,571	81				81
526	FAIR ISAAC INC									303250104			9/21/2012	3/20/2013	10,498			10,287	212				212
527	FAMILY DLR STORES INC									96E000600			11/16/2011	8/23/2013	6,863			7,661	-798				-798
528	ALCATEL-LUCENT-SPONSORED ADR									96E000600			12/18/2013	12/18/2013	4,713			0	4,713				4,713
529	ACTAVIS PLC									G00535108			9/30/2013	11/6/2013	7,144			6,624	520				520
530	ACTAVIS PLC									G00535108			9/30/2013	11/6/2013	3,541			3,168	373				373
531	ALLEGION PLC									G01761109			2/18/2012	12/30/2013	33,508			19,028	14,480				14,480
532	ALLEGION PLC									G01761109			4/27/2012	8/23/2013	15,711			12,400	3,311				3,311
533	ALLEGION PLC									G0408V102			4/27/2012	11/6/2013	7,768			3,100	1,668				1,668
534	ALLEGION PLC									G0408V102			11/6/2011	11/6/2013	7,150			4,414	2,736				2,736
535	ALLEGION PLC									G0408V102			11/6/2011	11/6/2013	5,648			3,433	2,213				2,213
536	ALLEGION PLC									G0408V102			3/5/2013	8/23/2013	30,295			28,201	2,094				2,094
537	ALLEGION PLC									G1151C101			3/5/2013	8/23/2013	809			768	41				41
538	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	11,325			7,025	4,300				4,300
539	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	40,061			27,068	12,993				12,993
540	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	3,684			3,763	-79				-79
541	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	3,649			3,763	-114				-114
542	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	1,460			1,483	-23				-23
543	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	232			247	-15				-15
544	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	140			148	-8				-8
545	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	128			136	-8				-8
546	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	112			116	-4				-4
547	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	96			102	-6				-6
548	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	87			71	16				16
549	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	123			131	-8				-8
550	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	1,033			1,052	-19				-19
551	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	1,118			1,139	-21				-21
552	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	1,205			1,227	-22				-22
553	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	936			954	-18				-18
554	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	25,052			24,081	971				971
555	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	674			686	-12				-12
556	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	928			944	-16				-16
557	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	572			583	-11				-11
558	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	469			477	-8				-8
559	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	8,566			8,345	221				221
560	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	20,428			19,303	1,125				1,125
561	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	14,021			13,078	943				943
562	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	16,692			15,439	1,253				1,253
563	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	28,231			27,172	1,059				1,059
564	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	4,481			4,037	444				444
565	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	17,324			14,804	2,520				2,520
566	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	43,851			38,381	5,470				5,470
567	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	3,078			3,284	-206				-206
568	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	3,691			3,891	-199				-199
569	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	22,900			20,253	2,647				2,647
570	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	4,156			4,342	-186				-186
571	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	5,517			5,608	-91				-91
572	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	9,931			8,700	1,231				1,231
573	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	4,688			3,860	808				808
574	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	6,279			5,491	788				788
575	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	7,200			6,139	1,061				1,061
576	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	14,666			14,992	-326				-326
577	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	1,796			1,584	212				212
578	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	11,830			11,234	596				596
579	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	30,140			20,566	9,574				9,574
580	ALLEGION PLC									G1151C101			9/23/2011	8/23/2013	2,466			1,613	853				853

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount	
				11/8/2017	0
650	STARWOOD PROPERTY TRUST INC	85571B106	CUSIP #		
651	STATE STREET CORP	854717103			
652	STATE STREET CORP	854717103			
653	STATE STREET CORP	854717103			
654	STATE STREET CORP	854717103			
655	CHICAGO BRIDGE 61	1875250108			
656	CHICAGO BRIDGE 61	1875250108			
657	CHICAGO BRIDGE 61	1875250108			
658	CHICAGO BRIDGE 61	1875250108			
659	CHURCH & DWIGHT INC	1868151023			
660	CHURCH & DWIGHT INC	1868151023			
661	CHURCH & DWIGHT INC	1868151023			
662	CHURCH & DWIGHT INC	1868151023			
663	CISCO SYSTEMS INC	1713401023			
664	FAMLY DLR STORES INC	3070001008			
665	FG GOLD POOL 1A8004	30% 001/27	31287CA04		
666	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
667	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
668	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
669	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
670	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
671	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
672	FNMU POOL 1A8201	4.5% 301/23	31414CRW0		
673	UNITED STATES TREAS 0.75%	01/91/27	91282B032		
674	UNITED STATES TREAS 0.75%	01/91/27	91282B032		
675	UNITED STATES TREAS 0.75%	01/91/27	91282B032		
676	UNITED STATES TREAS 0.75%	01/91/27	91282B032		
677	UNITED STATES 1-NOTE 0.63%	71/51/27	71282B007		
678	UNITED STATES 1-NOTE 0.63%	71/51/27	71282B007		
679	UNITED STATES 1-NOTE 0.63%	71/51/27	71282B007		
680	FAMILY DLR STORES INC	3070001008			
681	FAMILY DLR STORES INC	3070001008			
682	FG GOLD POOL 1A8004	3.0% 001/27	31287CA04		
683	FG GOLD POOL 1A8004	3.0% 001/27	31287CA04		
684	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
685	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
686	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
687	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
688	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
689	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
690	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
691	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
692	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
693	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
694	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
695	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
696	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
697	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
698	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
699	FNMU GOLD 0A2418	5.5% 1201/37	3128MAR8R		
700	ACCENTURE PLC-CL A	G1151C101	G1151C101		
701	ACCENTURE PLC-CL A	G1151C101	G1151C101		
702	ACCENTURE PLC-CL A	G1151C101	G1151C101		
703	FNMU GOLD 0G5477	4.5% 501/28	3128MTM28		
704	FNMU GOLD 0G5477	4.5% 501/28	3128MTM28		
705	FNMU GOLD 0G5477	4.5% 501/28	3128MTM28		
706	FNMU GOLD 0G5477	4.5% 501/28	3128MTM28		
707	FNMU GOLD 0G5477	4.5% 501/28	3128MTM28		

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		Amount												
Description of Property Sold		CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adj Basis	Gain/Loss Excess of FMV Over Adjusted Basis of Losses			
673	ROGERS WIRELESS INC 0.375%	301777531QAD0		5/20/2008	8/4/2013	10,285			10,545	-260					2,011,131		
674	ROYAL DUTCH SHELL PLC ADR	780259206		9/23/2011	1/16/2013	8,986			7,843	1,153					-280		
675	ROYAL DUTCH SHELL PLC ADR	780259206		10/15/2012	1/16/2013	2,768			2,770	-2					1,153		
676	S E I INVESTMENTS COMPANY	784117103		8/21/2012	3/18/2013	2,018			1,560	458					458		
677	S E I INVESTMENTS COMPANY	784117103		8/20/2012	3/20/2013	7,506			5,717	1,789					1,789		
678	S E I INVESTMENTS COMPANY	784117103		8/21/2012	3/20/2013	17,322			13,369	3,953					3,953		
679	S L GREEN REALTY CORP REIT	78440X101		10/22/2010	3/20/2013	9,427			7,878	1,549					1,549		
680	S L GREEN REALTY CORP REIT	78440X101		10/26/2010	3/20/2013	857			719	138					138		
681	S L GREEN REALTY CORP REIT	78440X101		4/28/2011	3/20/2013	2,571			2,568	3					3		
682	DREYFUS SELECT MGR SIC GR4	86271F578		5/20/2011	3/22/2013	150,000			133,056	16,944					16,944		
683	SANDISK CORP	80004C101		6/18/2013	7/26/2013	47,751			53,800	-6,049					-6,049		
684	SANDISK CORP	80004C101		6/20/2013	7/26/2013	28,428			32,322	-3,894					-3,894		
685	DREYFUS SELECT MGR SIC GR4	86271F578		4/28/2011	1/18/2013	11,000			7,820	3,180					3,180		
686	DREYFUS SELECT MGR SIC GR4	86271F578		9/22/2011	3/22/2013	32,070			24,160	7,910					7,910		
687	DREYFUS SELECT MGR SIC GR4	86271F578		12/31/2012	3/22/2013	24,211			21,760	2,451					2,451		
688	DREYFUS SELECT MGR SIC GR4	86271F578		3/20/2013	3/22/2013	320			323	-3					-3		
689	DREYFUS SELECT MGR SIC GR4	86271F578		12/10/2008	3/22/2013	93,399			68,072	25,327					25,327		
690	DREYFUS SELECT MGR SIC GR4	86271F578		8/12/2010	1/18/2013	39,000			6,728	4,272					4,272		
691	DREYFUS SELECT MGR SIC GR4	86271F578		8/12/2010	1/18/2013	17,521			34,537	4,463					4,463		
692	DREYFUS SELECT MGR SIC GR4	86271F578		8/12/2010	1/18/2013	10,850			15,881	1,640					1,640		
693	DREYFUS SELECT MGR SIC GR4	86271F578		5/8/2009	6/13/2013	10,950			8,680	2,270					2,270		
694	DREYFUS SELECT MGR SIC GR4	86271F578		5/8/2009	6/13/2013	10,811			8,711	2,200					2,200		
695	DREYFUS SELECT MGR SIC GR4	86271F578		6/4/2013	8/12/2013	13,055			13,054	1					1		
696	DREYFUS SELECT MGR SIC GR4	86271F578		6/4/2013	8/12/2013	8,057			7,833	224					224		
697	DREYFUS SELECT MGR SIC GR4	86271F578		6/4/2013	8/12/2013	1,298			3,916	151					151		
698	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	3/20/2013	3,484			942	357					357		
699	DREYFUS SELECT MGR SIC GR4	86271F578		8/21/2012	3/20/2013	5,946			2,515	949					949		
700	DREYFUS SELECT MGR SIC GR4	86271F578		5/5/2009	8/13/2013	5,810			5,010	800					800		
701	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	1/23/2013	8,270			6,573	1,697					1,697		
702	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	1/23/2013	9,311			6,404	2,907					2,907		
703	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	1/23/2013	9,684			5,898	3,785					3,785		
704	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	1/23/2013	5,069			4,920	149					149		
705	DREYFUS SELECT MGR SIC GR4	86271F578		8/20/2012	1/23/2013	14,286			14,137	149					149		
706	DREYFUS SELECT MGR SIC GR4	86271F578		5/24/2013	8/12/2013	130			141	-11					-11		
707	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	110			118	-8					-8		
708	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	107			116	-9					-9		
709	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	83			90	-7					-7		
710	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	178			184	-6					-6		
711	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	202			218	-16					-16		
712	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	120			130	-10					-10		
713	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	85			92	-7					-7		
714	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	34			36	-2					-2		
715	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	52			56	-4					-4		
716	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	72			78	-6					-6		
717	DREYFUS SELECT MGR SIC GR4	86271F578		1/15/2013	3/25/2013	32,551			32,135	416					416		
718	DREYFUS SELECT MGR SIC GR4	86271F578		8/6/2012	1/10/2013	60,586			60,586	-258					-258		
719	DREYFUS SELECT MGR SIC GR4	86271F578		8/6/2012	1/10/2013	5,473			5,392	81					81		
720	DREYFUS SELECT MGR SIC GR4	86271F578		8/5/2011	8/13/2013	5,408			5,393	15					15		
721	DREYFUS SELECT MGR SIC GR4	86271F578		8/24/2011	8/30/2013	10,213			10,238	-25					-25		
722	DREYFUS SELECT MGR SIC GR4	86271F578		10/2/2013	1/15/2013	5,015			4,986	29					29		
723	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	15,015			14,957	58					58		
724	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	20,015			19,943	72					72		
725	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
726	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
727	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
728	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
729	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
730	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
731	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
732	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
733	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
734	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
735	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
736	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
737	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
738	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
739	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
740	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
741	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
742	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
743	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/2012	5/6/2013	5,001			4,986	15					15		
744	DREYFUS SELECT MGR SIC GR4	86271F578		1/13/													

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions									
Description of Property Sold										Description of Property Sold									
CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses							
757 JP MORGAN CHASE & CO		11/20/2008	6/12/2013	9,681			4,347	5,334				5,314							
758 JP MORGAN CHASE & CO		11/20/2008	11/19/2013	5,797			2,656	3,141				3,141							
759 JP MORGAN CHASE & CO		11/20/2008	11/19/2013	2,806			1,207	1,599				1,599							
760 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	2/15/2013	1,148			1,221	-72				-72							
761 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	3/15/2013	318			318	-18				-18							
762 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	3/15/2013	1,043			1,043	-68				-68							
763 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	3/15/2013	280			307	-17				-17							
764 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	4/15/2013	1,005			1,069	-64				-64							
765 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	4/15/2013	279			286	-17				-17							
766 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	5/15/2013	1,025			1,089	-64				-64							
767 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	5/15/2013	285			303	-18				-18							
768 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	6/15/2013	1,131			1,202	-71				-71							
769 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	6/15/2013	314			333	-19				-19							
770 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	7/15/2013	981			1,022	-41				-41							
771 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	7/15/2013	287			283	-4				-4							
772 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	751			786	-17				-17							
773 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	209			221	-12				-12							
774 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	513			545	-32				-32							
775 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	2,200			2,013	187				187							
776 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	7,601			7,465	136				136							
777 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	6,209			4,813	1,396				1,396							
778 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	40,002			37,902	2,500				2,500							
779 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	3,285			3,288	-33				-33							
780 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,203			7,654	1,549				1,549							
781 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,751			4,592	1,159				1,159							
782 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	2,908			2,298	612				612							
783 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	23,721			19,809	3,822				3,822							
784 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	8,058			49,748	9,435				9,435							
785 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,578			5,624	-2,434				-2,434							
786 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	800			4,727	851				851							
787 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	857			734	66				66							
788 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,888			809	48				48							
789 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	6,518			5,004	72				72							
790 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	3,699			2,780	918				918							
791 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,392			3,778	1,616				1,616							
792 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	3,118			2,266	852				852							
793 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	1,788			1,259	529				529							
794 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,255			5,820	-365				-365							
795 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	3,181			3,910	-102				-102							
796 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,544			9,813	-368				-368							
797 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	25,572			19,407	6,165				6,165							
798 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	30,782			15,565	15,187				15,187							
799 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	113,624			54,878	58,945				58,945							
800 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	1,988			1,892	296				296							
801 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	6,183			5,304	859				859							
802 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	13,812			13,750	62				62							
803 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	21,694			17,188	4,506				4,506							
804 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,126			6,842	2,484				2,484							
805 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,035			3,516	1,519				1,519							
806 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	29,377			19,536	9,841				9,841							
807 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	7,800			6,798	1,004				1,004							
808 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,955			3,088	2,097				2,097							
809 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,880			9,64	629				629							
810 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	9,787			2,363	658				658							
811 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	520			478	41				41							
812 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	4,592			4,241	441				441							
813 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	30,844			31,576	-732				-732							
814 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	10,568			10,842	-274				-274							
815 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	25,945			32,424	-6,779				-6,779							
816 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	6,335			8,368	-2,033				-2,033							
817 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	4,223			5,401	-1,178				-1,178							
818 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	1,320			1,647	-327				-327							
819 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	25,054			31,299	-6,245				-6,245							
820 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	23,999			28,014	-4,015				-4,015							
821 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	25,645			31,348	-5,501				-5,501							
822 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	2,178			2,118	60				60							
823 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	7,018			6,888	128				128							
824 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	1,421			1,368	55				55							
825 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	4,205			4,133	72				72							
826 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	2,724			2,948	-224				-224							
827 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	5,448			5,264	184				184							
828 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	7,995			8,110	-115				-115							
829 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	4,169			3,757	412				412							
830 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	12,507			11,435	1,072				1,072							
831 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	3,243			3,062	181				181							
832 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	15,639			15,853	-214				-214							
833 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	160			168	-8				-8							
834 FLMCM GOLD G18305 4.0% 4/01/24		12/7/2012	8/15/2013	120			12												

906	OWEN FINANCIAL CORP.	4.9%	1007/11	675/7463/01
907	ONTARIO (PROVINCE OF)	4.9%	1007/11	68323440/03
908	ORACLE CORP.	5.15%	4715/18	683893AC/03
909	ORACLE CORP.	5.15%	4715/18	683893AC/03
910	OSHKOSH CORPORATION			68623920/01
911	PNC FINANCIAL SERVICES GROUP			69347510/05
912	PNC FINANCIAL SERVICES GROUP			69347510/05
913	PNC FINANCIAL SERVICES GROUP			69347510/05
914	PNC FINANCIAL SERVICES GROUP			69347510/05
915	PNC FINANCIAL SERVICES GROUP			69347510/05
916	PNC FINANCIAL SERVICES GROUP			69347510/05
917	PNC FINANCIAL SERVICES GROUP			69347510/05
918	PNC FINANCIAL SERVICES GROUP			69347510/05
919	PNC FINANCIAL SERVICES GROUP			69347510/05
920	PNC FINANCIAL SERVICES GROUP			69347510/05
921	OWEN FINANCIAL CORP.			675/7463/08
922	AGILENT TECHNOLOGIES INC			00046140/11
923	AGILENT TECHNOLOGIES INC			00046140/11
924	AGILENT TECHNOLOGIES INC			00046140/11

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										118,784	Short Term CG Distributions										0	
Description of Property Sold										CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis of Losses
923	AIR PRODS & CHEMS INC									009158106		9/23/2011	4/24/2013	66,483		0	76,372	10,111	0	0	0	10,111
924	AIR PRODS & CHEMS INC									009158106		9/23/2011	11/18/2013	832		0	832	378	0	0	0	378
925	AIR PRODS & CHEMS INC									009158106		8/21/2012	3/18/2013	4,231		0	2,454	1,777	0	0	0	1,777
926	AIR PRODS & CHEMS INC									009158106		8/21/2012	3/20/2013	9,932		0	5,571	4,361	0	0	0	4,361
927	AIR PRODS & CHEMS INC									009158106		8/21/2012	3/20/2013	23,587		0	13,323	10,264	0	0	0	10,264
928	AIR PRODS & CHEMS INC									009158106		10/2/2013	11/8/2013	7,260		0	7,201	89	0	0	0	89
929	AIR PRODS & CHEMS INC									009158106		10/2/2013	11/8/2013	3,363		0	3,444	-81	0	0	0	-81
930	AIR PRODS & CHEMS INC									009158106		8/20/2013	3/20/2013	5,730		0	4,150	1,580	0	0	0	1,580
931	AIR PRODS & CHEMS INC									009158106		8/21/2012	3/20/2013	16,474		0	11,915	4,559	0	0	0	4,559
932	AIR PRODS & CHEMS INC									009158106		11/4/2013	2/12/2013	42,019		0	42,175	-156	0	0	0	-156
933	AIR PRODS & CHEMS INC									009158106		2/12/2013	3/11/2013	41,962		0	41,962	0	0	0	0	0
934	AIR PRODS & CHEMS INC									009158106		1/10/2013	3/7/2013	26,430		0	38,914	-12	0	0	0	-12
935	AIR PRODS & CHEMS INC									009158106		2/12/2013	3/7/2013	36,898		0	558	-32	0	0	0	-32
936	AIR PRODS & CHEMS INC									009158106		10/8/2013	12/25/2013	403		0	420	-18	0	0	0	-18
937	AIR PRODS & CHEMS INC									009158106		7/17/2013	8/25/2013	20		0	20	0	0	0	0	0
938	AIR PRODS & CHEMS INC									009158106		7/17/2013	8/25/2013	680		0	718	-38	0	0	0	-38
939	AIR PRODS & CHEMS INC									009158106		3/7/2013	4/25/2013	726		0	766	-40	0	0	0	-40
940	AIR PRODS & CHEMS INC									009158106		3/7/2013	8/14/2013	19,512		0	19,925	-413	0	0	0	-413
941	AIR PRODS & CHEMS INC									009158106		3/7/2013	8/25/2013	235		0	248	-13	0	0	0	-13
942	AIR PRODS & CHEMS INC									009158106		3/7/2013	7/25/2013	38		0	40	-2	0	0	0	-2
943	AIR PRODS & CHEMS INC									009158106		3/7/2013	1/25/2014	594		0	627	-33	0	0	0	-33
944	AIR PRODS & CHEMS INC									009158106		3/7/2013	8/25/2013	13,426		0	14,287	-868	0	0	0	-868
945	AIR PRODS & CHEMS INC									009158106		3/7/2013	9/6/2013	380		0	412	-22	0	0	0	-22
946	AIR PRODS & CHEMS INC									009158106		3/7/2013	10/25/2013	15		0	15	0	0	0	0	0
947	AIR PRODS & CHEMS INC									009158106		3/7/2013	11/25/2013	14		0	16	-1	0	0	0	-1
948	AIR PRODS & CHEMS INC									009158106		3/7/2013	12/25/2013	15		0	15	0	0	0	0	0
949	AIR PRODS & CHEMS INC									009158106		3/7/2013	1/25/2014	135		0	141	-6	0	0	0	-6
950	AIR PRODS & CHEMS INC									009158106		4/9/2013	5/25/2013	10,032		0	10,278	-244	0	0	0	-244
951	AIR PRODS & CHEMS INC									009158106		4/9/2013	6/13/2013	130		0	136	-6	0	0	0	-6
952	AIR PRODS & CHEMS INC									009158106		4/9/2013	6/25/2013	3,014		0	1,863	1,151	0	0	0	1,151
953	AIR PRODS & CHEMS INC									009158106		9/23/2011	11/18/2013	753		0	287	466	0	0	0	466
954	AIR PRODS & CHEMS INC									009158106		9/23/2011	11/18/2013	528		0	549	-21	0	0	0	-21
955	AIR PRODS & CHEMS INC									009158106		11/10/2011	11/18/2013	7,387		0	7,488	-101	0	0	0	-101
956	AIR PRODS & CHEMS INC									009158106		7/2/2013	11/8/2013	7,482		0	6,100	1,382	0	0	0	1,382
957	AIR PRODS & CHEMS INC									009158106		4/24/2013	11/8/2013	33,738		0	33,804	-66	0	0	0	-66
958	AIR PRODS & CHEMS INC									009158106		7/15/2013	12/11/2013	194		0	194	0	0	0	0	0
959	AIR PRODS & CHEMS INC									009158106		7/15/2013	12/15/2013	310		0	310	0	0	0	0	0
960	AIR PRODS & CHEMS INC									009158106		11/10/2013	2/15/2013	287		0	287	0	0	0	0	0
961	AIR PRODS & CHEMS INC									009158106		11/10/2013	3/15/2013	305		0	329	-24	0	0	0	-24
962	AIR PRODS & CHEMS INC									009158106		11/10/2013	4/15/2013	354		0	362	-8	0	0	0	-8
963	AIR PRODS & CHEMS INC									009158106		11/10/2013	5/15/2013	392		0	424	-32	0	0	0	-32
964	AIR PRODS & CHEMS INC									009158106		11/10/2013	6/15/2013	336		0	363	-27	0	0	0	-27
965	AIR PRODS & CHEMS INC									009158106		11/10/2013	7/15/2013	169		0	182	-13	0	0	0	-13
966	AIR PRODS & CHEMS INC									009158106		11/10/2013	8/15/2013	249		0	269	-20	0	0	0	-20
967	AIR PRODS & CHEMS INC									009158106		11/10/2013	9/15/2013	102		0	111	-9	0	0	0	-9
968	AIR PRODS & CHEMS INC									009158106		11/10/2013	10/15/2013	122		0	132	-10	0	0	0	-10
969	AIR PRODS & CHEMS INC									009158106		11/10/2013	11/15/2013	83		0	90	-7	0	0	0	-7
970	AIR PRODS & CHEMS INC									009158106		11/10/2013	12/15/2013	101		0	109	-8	0	0	0	-8
971	AIR PRODS & CHEMS INC									009158106		11/10/2013	1/15/2014	227		0	235	-8	0	0	0	-8
972	AIR PRODS & CHEMS INC									009158106		2/10/2013	12/25/2013	214		0	222	-8	0	0	0	-8
973	AIR PRODS & CHEMS INC									009158106		2/10/2013	1/25/2014	205		0	213	-8	0	0	0	-8
974	AIR PRODS & CHEMS INC									009158106		4/9/2011	2/25/2013	2,809		0	2,769	40	0	0	0	40
975	AIR PRODS & CHEMS INC									009158106		4/9/2011	3/25/2013	1,724		0	1,749	-25	0	0	0	-25
976	AIR PRODS & CHEMS INC									009158106		4/9/2011	4/25/2013	957		0	945	12	0	0	0	12
977	AIR PRODS & CHEMS INC									009158106		4/9/2011	5/25/2013	589		0	549	75	0	0	0	75
978	AIR PRODS & CHEMS INC									009158106		4/9/2011	6/14/2013	9,837		0	9,106	531	0	0	0	531
979	AIR PRODS & CHEMS INC									009158106		4/9/2011	6/25/2013	3,976		0	3,924	52	0	0	0	52
980	AIR PRODS & CHEMS INC									009158106		4/9/2011	7/2/2013	40,775		0	38,628	2,147	0	0	0	2,147
981	AIR PRODS & CHEMS INC									009158106		2/20/2009	8/25/2013	384		0	388	-14	0	0	0	-14
982	AIR PRODS & CHEMS INC									009158106		2/20/2009	10/25/2013	288		0	288	0	0	0	0	0
983	AIR PRODS & CHEMS INC									009158106		4/9/2011	10/25/2013	1,163		0	1,163	0	0	0	0	0
984	AIR PRODS & CHEMS INC									009158106		4/9/2011	8/25/2013	249		0	245	4	0	0	0	4
985	AIR PRODS & CHEMS INC									009158106		4/9/2011	9/25/2013	317		0	313	4	0	0	0	4
986	AIR PRODS & CHEMS INC									009158106		4/9/2011	10/25/2013	99		0	98	1	0	0	0	1
987	AIR PRODS & CHEMS INC									009158106		4/9/2011	11/25/2013	26		0	25	1	0	0	0	1
988	AIR PRODS & CHEMS INC									009158106		4/9/2011	12/25/2013	28		0	25	3	0	0	0	3
989	AIR PRODS & CHEMS INC									009158106		4/9/2011	1/25/2014	184		0	181	3	0	0	0	3
990	AIR PRODS & CHEMS INC									009158106		1/18/2011	2/25/2013	1,500		0	1,514	-14	0	0	0	-14
991	AIR PRODS & CHEMS INC									009158106		1/18/2011	3/25/2013	890		0	898	-8	0	0	0	-8
992	AIR PRODS & CHEMS INC									009158106		4/9/2013	7/25/2013	1,310		0	1,322	-12	0	0	0	-12
993																						

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	Short Term CG Distributions		Amount	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain/Loss Excess of FMV Over Adjusted Basis of Losses
1000	FNMA ARB566	3.5%	60143	3136WRS7	0		7/8/2013	1/25/2014	56			56		0	0	0	2,011,131
1001	FNMA POOL # A15360 3% 4/14/3			3136WRS2			3/22/2013	11/18/2013	38,000			36,182	1,818	0	0	0	-354
1010	DREYFUS PRE STRAT INTER ST-I	86271E768		871607107			8/21/2012	3/20/2013	3,142			2,820	322	0	0	0	1,808
1013	SYNDOSYS INC	871607107		871607107			8/21/2012	3/20/2013	9,428			8,551	875	0	0	0	322
1014	TCW EMERGING MARKETS INCOME F	872244765		880516107			1/31/2012	8/12/2013	2,514			2,432	82	0	0	0	875
1015	FOOTSBIE ROLL NIDS INC	880516107		880516107			1/31/2012	8/12/2013	25			21	4	0	0	0	82
1016	FOOTSBIE ROLL NIDS INC	880516107		880516107			1/31/2012	8/12/2013	32			26	6	0	0	0	4
1017	TOTAL SA ADR	88151E109		88151E109			4/12/2013	10/18/2013	2,987			2,439	548	0	0	0	6
1018	TOTAL SA ADR	88151E109		88151E109			6/8/2012	10/18/2013	4,779			3,482	1,297	0	0	0	548
1019	TOTAL SVS SVCS INC	88151E109		88151E109			6/8/2012	10/18/2013	11,407			8,271	3,136	0	0	0	1,297
1020	TOTAL SVS SVCS INC	88151E109		88151E109			6/8/2012	10/18/2013	13,430			12,359	1,071	0	0	0	3,136
1021	TRANS CANADA PIPELIN 8.75% 10/12/89	893526376		893526376			2/20/2013	3/20/2013	1,819			1,758	61	0	0	0	1,071
1022	DOVER CORP	260003108		260003108			9/23/2011	11/9/2013	6,867			5,727	1,140	0	0	0	161
1023	DOVER CORP	260003108		260003108			9/23/2011	11/9/2013	9,969			5,066	4,903	0	0	0	1,140
1024	DOVER CORP	260003108		260003108			9/23/2011	11/9/2013	4,584			2,303	2,281	0	0	0	4,903
1025	DOVER CORP	260003108		260003108			9/23/2011	11/9/2013	763			752	11	0	0	0	2,281
1026	DOVER CORP	260003108		260003108			9/23/2011	11/9/2013	15,000			16,988	-1,988	0	0	0	11
1027	DOW CHEMICAL CO	260003108		260003108			9/23/2011	11/9/2013	1,928			2,036	-107	0	0	0	-1,988
1028	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			12/31/2011	8/12/2013	2,566			2,768	-182	0	0	0	-107
1029	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			12/31/2011	8/12/2013	30,024			18,251	13,773	0	0	0	-182
1030	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	19,230			8,864	10,366	0	0	0	13,773
1031	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	3,481			3,675	-194	0	0	0	10,366
1032	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	28,149			13,582	15,557	0	0	0	-194
1033	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	49,854			23,638	26,216	0	0	0	15,557
1034	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	6,751			2,955	3,796	0	0	0	26,216
1035	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	4,154			1,773	2,381	0	0	0	3,796
1036	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	1,288			1,417	-149	0	0	0	2,381
1037	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	1,288			1,417	-149	0	0	0	-149
1038	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	2,800			3,184	-384	0	0	0	-147
1039	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	594			520	74	0	0	0	-384
1040	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	12,513			7,368	5,145	0	0	0	74
1041	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	3,064			3,326	-262	0	0	0	5,145
1042	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	3,064			3,008	56	0	0	0	-262
1043	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	1,721			1,721	0	0	0	0	56
1044	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	1,721			1,721	0	0	0	0	0
1045	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	4,268			4,070	198	0	0	0	136
1046	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	2,430			2,494	-64	0	0	0	198
1047	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	3,540			3,134	406	0	0	0	-64
1048	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	4,706			4,524	182	0	0	0	406
1049	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	4,362			4,256	106	0	0	0	182
1050	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	4,510			4,256	254	0	0	0	106
1051	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	14,237			14,433	-196	0	0	0	254
1052	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	50,878			18,483	32,395	0	0	0	-196
1053	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	9,010			2,432	6,578	0	0	0	32,395
1054	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	886			866	20	0	0	0	6,578
1055	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	5,913			4,257	1,656	0	0	0	20
1056	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	7,872			7,432	440	0	0	0	1,656
1057	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	21,821			21,432	389	0	0	0	440
1058	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	8,560			8,300	260	0	0	0	389
1059	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	21,836			15,286	6,550	0	0	0	260
1060	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	83			80	3	0	0	0	6,550
1061	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	42			45	-3	0	0	0	3
1062	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	43			46	-3	0	0	0	-3
1063	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	43			46	-3	0	0	0	-3
1064	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	487			520	-33	0	0	0	-33
1065	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	534			566	-32	0	0	0	-33
1066	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	2,388			2,553	-165	0	0	0	-32
1067	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	39			42	-3	0	0	0	-165
1068	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	37			40	-3	0	0	0	-3
1069	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	41			43	-2	0	0	0	-3
1070	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	731			775	-44	0	0	0	-2
1071	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	39			42	-3	0	0	0	-44
1072	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	885			728	157	0	0	0	-3
1073	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	91			96	-5	0	0	0	157
1074	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	83			97	-14	0	0	0	-5
1075	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	95			100	-5	0	0	0	-14
1076	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	382			380	2	0	0	0	-5
1077	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	104			109	-5	0	0	0	2
1078	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	92			97	-5	0	0	0	-5
1079	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	92			96	-4	0	0	0	-5
1080	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	9,083			10,097	-1,014	0	0	0	-4
1081	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	356			374	-18	0	0	0	-1,014
1082	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	278			292	-14	0	0	0	-18
1083	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	73			77	-4	0	0	0	-14
1084	DREYFUS EMG MKT DEBT LOC C-I	261800494		261800494			9/23/2011	8/12/2013	41,815			39,296	2,519	0	0	0	-4
1085	DREYFUS EMG MKT DEBT LOC C-I	2															

[illegible]

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	118,784	0	18,645,610										2,011,131	0		2,011,131		0		Gains Minus Excess of FMV Over Adjusted Basis or Losses	
Description of Property Sold		CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	0		2,011,131		0		Gains Minus Excess of FMV Over Adjusted Basis or Losses			
1177	FLMCO GOLD 6 1/4% 7/1/28	3128AMC030		8/27/2013	9/15/2013	44		0	45		-1	0	0	0	0	0	0	0	0	2,011,131			
1178	FLMCO GOLD 6 1/4% 7/1/28	3128AMC030		8/27/2013	9/15/2013	318		0	325		-8	0	0	0	0	0	0	0	0	2,011,131			
1179	FLMCO GOLD 6 1/4% 7/1/28	3128AMC030		10/17/2011	7/11/2013	12,300		0	12,614		-314	0	0	0	0	0	0	0	0	2,011,131			
1180	LOS ANGELES CALIF CANN 8 7/5% 8/01/14	54438CPA4		10/17/2011	10/2/2013	18,581		0	18,918		-337	0	0	0	0	0	0	0	0	2,011,131			
1181	LOS ANGELES CALIF CANN 8 7/5% 8/01/14	54438CPA4		10/18/2011	10/6/2013	18,688		0	18,650		48	0	0	0	0	0	0	0	0	2,011,131			
1182	LOS ANGELES CALIF CANN 8 7/5% 8/01/14	54438CPA4		8/21/2012	11/18/2013	503		0	300		203	0	0	0	0	0	0	0	0	2,011,131			
1183	P.T.C. INC	69370C100		10/17/2012	3/18/2013	12,177		0	10,387		1,790	0	0	0	0	0	0	0	0	2,011,131			
1184	PATERSON COS INC	703395103		2/20/2013	3/20/2013	5,327		0	5,194		133	0	0	0	0	0	0	0	0	2,011,131			
1185	PATERSON COS INC	703395103		2/21/2013	3/20/2013	9,132		0	8,983		449	0	0	0	0	0	0	0	0	2,011,131			
1186	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1187	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1188	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1189	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1190	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1191	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1192	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1193	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1194	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1195	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1196	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1197	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1198	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1199	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1200	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1201	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1202	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1203	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1204	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1205	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1206	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1207	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1208	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1209	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1210	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1211	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1212	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1213	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1214	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1215	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1216	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1217	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1218	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1219	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1220	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1221	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1222	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1223	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1224	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1225	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1226	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1227	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1228	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1229	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0	17,942		315	0	0	0	0	0	0	0	0	2,011,131			
1230	PEPISCO INC	713448108		6/4/2012	6/12/2013	18,257		0															

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		118,784	Amount		18,643,610		0		18,634,482		2,011,131		0		F M V as of 12/31/89		Adjusted Basis as of 12/31/89		Excess of FMV Over Adj Basis		2,011,131		Gain Minus Excess of FMV Over Adjusted Basis or Losses	
Description of Property Sold		CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses										
1281 GREENHILL & CO INC		385259104		8/20/2012	3/20/2013	3,343			2,551	792				792										
1282 GREENHILL & CO INC		385259104		8/21/2012	3/20/2013	5,014			3,873	1,141				1,141										
1283 GREIF INC CLASS A		397624107		11/27/2012	3/20/2013	3,188			2,501	688				688										
1284 GREIF INC CLASS A		397624107		11/28/2012	3/20/2013	10,088			7,988	2,113				2,113										
1285 GULFSTREAM INC		401617105		8/20/2012	2/20/2013	853			982	-128				-128										
1286 GULFSTREAM INC		401617105		8/21/2012	2/20/2013	2,843			3,334	-491				-491										
1287 HSBC FINANCE CORP 6.678% 1/15/27 40426CGD8		40426CGD8		8/28/2012	6/12/2013	11,609			10,853	756				756										
1288 HSBC FINANCE CORP 6.678% 1/15/27 40426CGD8		40426CGD8		8/28/2012	6/12/2013	5,608			5,427	181				181										
1289 HALLBUTON CO		408216101		3/9/2012	2/13/2013	2,853			2,463	390				390										
1290 HALLBUTON CO		408216101		10/28/2011	2/13/2013	35,460			30,452	5,008				5,008										
1291 HALLBUTON CO		408216101		3/22/2013	6/12/2013	12,296			11,518	778				778										
1292 HALLBUTON CO		408216101		10/28/2011	6/12/2013	6,301			4,550	1,751				1,751										
1293 HALLBUTON CO		408216101		3/22/2013	6/12/2013	23,751			19,481	4,280				4,280										
1294 HALLBUTON CO		408216101		11/20/2008	8/23/2013	989			277	692				692										
1295 HALLBUTON CO		408216101		11/20/2008	8/23/2013	7,879			1,938	5,741				5,741										
1296 HALLBUTON CO		408216101		11/20/2008	8/23/2013	3,770			989	2,801				2,801										
1297 HANESBRANDS INC		410345102		11/27/2012	3/20/2013	17,008			14,403	2,605				2,605										
1298 HANESBRANDS INC		410345102		11/28/2012	3/20/2013	7,487			6,457	1,010				1,010										
1299 HANESBRANDS INC		410345102		12/13/2012	3/20/2013	3,734			3,184	550				550										
1300 HARLEY DAVIDSON INC		412822108		10/22/2012	4/10/2013	24,987			24,817	170				170										
1301 U.S. TREASURY NOTE 0.825% 9/20/17 912828159		912828159		12/13/2012	5/3/2013	5,005			5,001	4				4										
1302 U.S. TREASURY NOTE 0.25% 10/31/14 912828114		912828114		12/13/2012	5/6/2013	5,005			5,001	4				4										
1303 U.S. TREASURY NOTE 0.25% 10/31/14 912828114		912828114		12/13/2012	5/6/2013	5,005			5,001	4				4										
1304 U.S. TREASURY NOTE 1.25% 10/31/16 9128281V2		9128281V2		12/13/2012	3/27/2013	5,045			5,079	-34				-34										
1305 U.S. TREASURY NOTE 1.25% 10/31/16 9128281V2		9128281V2		12/13/2012	3/27/2013	10,089			10,131	-42				-42										
1306 UNITED STATES TREAS NT 25% 12/15/ 9128281H1		9128281H1		1/16/2013	6/13/2013	14,927			14,958	-31				-31										
1307 U.S. TREASURY INFLATION INDEXED 8C 9128281J1		9128281J1		3/27/2013	8/30/2013	4,829			5,458	-629				-629										
1308 U.S. TREASURY NOTE 0.875% 13/1/16 9128281J7		9128281J7		2/14/2013	9/4/2013	14,581			15,015	-434				-434										
1309 UNITED STATES TREAS 0.375% 2/15/1 9128281J0		9128281J0		2/25/2013	6/12/2013	4,828			14,954	-38				-38										
1310 UNITED STATES TREAS 0.375% 2/15/1 9128281J7		9128281J7		3/11/2013	6/12/2013	4,828			14,954	-38				-38										
1311 UNITED STATES TREAS 0.375% 3/15/1 9128281J7		9128281J7		4/5/2013	6/12/2013	34,877			35,083	-186				-186										
1312 UNITED STATES TREAS 0.375% 3/15/1 9128281J7		9128281J7		4/5/2013	6/12/2013	14,920			15,027	-107				-107										
1313 UNITED STATES TREAS 0.375% 3/15/1 9128281J7		9128281J7		4/5/2013	6/12/2013	69,456			70,126	-670				-670										
1314 UNITED STATES TREAS 0.375% 3/15/1 9128281J7		9128281J7		5/10/2013	6/14/2013	25,006			24,837	169				169										
1315 UNITED STATES TREAS 0.375% 4/15/16 9128281W8		9128281W8		4/17/2013	9/4/2013	5,113			5,448	-335				-335										
1316 U.S. INF IN NB 0.125% 4/15/18 9128281X6		9128281X6		8/4/2013	6/6/2013	4,906			4,904	2				2										
1317 U.S. TREASURY NOTE 0.625% 4/20/17 930059100		930059100		8/20/2012	3/20/2013	3,463			2,384	1,089				1,089										
1318 WADDELL & REED FINANCIAL INC CLAS		930059100		8/20/2012	3/20/2013	9,855			6,890	2,965				2,965										
1319 WALGREEN CO 3.1% 8/15/22 831422A2H2		831422A2H2		8/21/2012	3/20/2013	4,649			5,059	-410				-410										
1320 WEBSTER FINL CORP WATERBURY CO		947890109		8/20/2012	3/20/2013	4,582			4,172	390				390										
1321 WEBSTER FINL CORP WATERBURY CO		947890109		8/21/2012	3/20/2013	8,644			7,934	710				710										
1322 WEINGARTEN REALTY INVESTMENT		948741103		12/13/2012	3/20/2013	6,496			5,628	870				870										
1323 WEINGARTEN REALTY INVESTMENT		948741103		1/24/2013	3/20/2013	8,681			7,846	815				815										
1324 WEINGARTEN REALTY INVESTMENT		948741103		1/24/2013	3/20/2013	11,135			10,137	998				998										
1325 WELLS FARGO & COMPANY		949748101		1/27/2012	6/12/2013	21,618			15,673	5,945				5,945										
1326 WELLS FARGO & COMPANY		949748101		1/27/2012	6/12/2013	13,939			9,759	4,180				4,180										
1327 PFIZER INC		717081103		11/20/2008	5/24/2013	44,103			22,110	21,993				21,993										
1328 PFIZER INC		717081103		11/20/2008	6/12/2013	9,182			4,655	4,527				4,527										
1329 PFIZER INC		717081103		11/5/2013	11/6/2013	9,287			9,350	-63				-63										
1330 PFIZER INC		717081103		11/14/2013	11/16/2013	2,228			2,238	-10				-10										
1331 PFIZER INC		717081103		9/23/2011	11/16/2013	638			348	288				288										
1332 PFIZER INC		717081103		10/28/2011	6/12/2013	6,420			5,068	1,352				1,352										
1333 PHILIP MORRIS INTERNAT		718172109		11/20/2008	6/12/2013	5,503			2,247	3,256				3,256										
1334 PHILIP MORRIS INTERNAT		718172109		7/2/2013	11/16/2013	3,820			3,691	139				139										
1335 P.V.H. CORP		683656100		10/16/2012	3/18/2013	7,114			2,817	4,297				4,297										
1336 P.T.C. INC		68370C100		11/20/2008	11/6/2013	41,825			2,696	41,129				41,129										
1337 PHILIP MORRIS INTERNAT		718172109		11/20/2008	11/6/2013	2,744			5,275	-2,531				-2,531										
1338 PHILIP MORRIS INTERNAT		718172109		8/24/2013	11/20/2013	5,338			5,275	63				63										
1339 PHILIP MORRIS INTERNAT		718172109		4/12/2013	12/4/2013	3,483			4,306	-823				-823										
1340 PHILIP MORRIS INTERNAT		718172109		4/12/2013	12/4/2013	2,744			3,002	-258				-258										
1341 PLAINS GP HOLDINGS LP-CL A		72651A108		11/14/2011	3/18/2013	1,407			1,070	337				337										
1342 PLAINS GP HOLDINGS LP-CL A		72651A108		11/14/2011	3/18/2013	3,760			2,852	908				908										
1343 PLAINS GP HOLDINGS LP-CL A		72651A108		10/16/2012	11/16/2013	445			449	-4				-4										
1344 PLAINS GP HOLDINGS LP-CL A		72651A108		2/11/2013	3/11/2013	41,838			41,839	-13				-13										
1345 PLAINS GP HOLDINGS LP-CL A		72651A108		3/13/2013	3/11/2013	42,038			41,750	288				288										
1346 PLAINS GP HOLDINGS LP-CL A		72651A108		4/20/2013	4/28/2013	42,200			41,950	250				250										
1347 PLAINS GP HOLDINGS LP-CL A		72651A108		4/28/2013	6/26/2013	41,541			42,112	-571				-571										
1348 PLAINS GP HOLDINGS LP-CL A		72651A108		6/26/2013	8/1/2013	30,712			31,088	-376				-376										
1349 PLAINS GP HOLDINGS LP-CL A		72651A108		6/26/2013	8/1/2013	35,888			35,744	142				142										
1350 PLAINS GP HOLDINGS LP-CL A		72651A108		1/10/2013	3/7/2013	38,888			38,998	-88				-88										
1351 PLAINS GP HOLDINGS LP-CL A		72651A108		2/7/2013	3/7/2013	26,282			26,282	-38				-38										
1352 PLAINS GP HOLDINGS LP-CL A		72651A108		8/1/2013	7/6/2013	58,756			61,500	-1,744				-1,744										
1353 AMGEN INC		931162100		8/16/2013	11/6/2013	9,415			9,814	-399				-399										
1334 CA INC		12673P105		8/20/2012																				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis of Losses
1345 CAMDEN PROPERTY TRUST REIT	133131102		2/20/2013	3/20/2013	20,079			20,757	-678				2,011,131
1346 CAMDEN PROPERTY TRUST REIT	133131102		2/21/2013	3/20/2013				714					-478
1347 CAPITAL ONE FINANCIAL CORP	14040H105		4/15/2013	6/12/2013	9,895				1,288				-22
1348 CAPITAL ONE FINANCIAL CORP	14040H105		4/15/2013	11/8/2013	9,895			3,378	1,547				1,288
1349 CAPITAL ONE FINANCIAL CORP	14040H105		4/15/2013	11/8/2013	9,895			2,690	777				1,547
1350 CARVALHO CORP	143858300		9/23/2011	6/12/2013	8,515				383				777
1351 CARVALHO CORP	143858300		7/1/2010	6/21/2013	73,874			69,330	4,544				383
1352 CARVALHO CORP	143858300		9/23/2011	6/21/2013	19,302			17,203	1,099				4,544
1353 COMCAST CORP CL A	20030N101		10/15/2013	11/19/2013	4,286			4,173	13				1,099
1354 COMCAST CORP CL A	20030N101		10/14/2013	11/19/2013	4,286			4,173	13				95
1355 COMCAST CORP 5.9% 3/15/18	20030N105		3/8/2013	11/20/2013	22,833			21,530	1,403				1,403
1356 COMCAST CORP 3.125% 7/15/22	20030NBD2		1/23/2013	3/20/2013	2,400			5,110	-2,442				-242
1357 COMMERCE BANCSHARES INC	20825C104		6/6/2013	11/19/2013	723			615	108				173
1358 CONOCOPHILLIPS	1390B0BANK NEDERLAND 4.5% 1/11/21		6/6/2013	6/4/2013	5,209			5,485	-276				108
1359 CONOCOPHILLIPS	1390B0BANK NEDERLAND 4.5% 1/11/21		5/17/2011	6/6/2013	21,859			19,685	2,174				276
1360 CONOCOPHILLIPS	1390B0BANK NEDERLAND 5.25% 5/24/21		11/27/2012	3/20/2013	7,783			7,680	103				2,174
1361 CORRELOGIC INC	21871D103		2/20/2013	3/20/2013	5,434			5,915	-481				83
1362 CORRELOGIC INC	21871D103		3/18/2013	3/20/2013	27,738			27,523	215				215
1363 CORRELOGIC INC	21871D103		9/23/2011	6/12/2013	11,548			8,665	2,883				2,883
1364 COSTCO WHSL CORP NEW	22160K105		9/23/2011	11/8/2013	8,130			5,542	2,588				2,588
1365 COSTCO WHSL CORP NEW	22160K105		9/23/2011	11/8/2013	8,130			2,647	1,302				1,302
1366 COSTCO WHSL CORP NEW	22160K105		12/15/2012	3/20/2013	11,861			7,385	4,476				4,476
1367 CREE INC	225447101		3/22/2013	11/19/2013	100,000			100,454	-454				-454
1368 CREE INC	225447101		8/21/2012	3/20/2013	4,875			3,580	1,295				1,295
1369 D S T SYS INC DEL	23332B107		5/15/2012	3/20/2013	13,231			9,934	3,297				3,297
1370 D S T SYS INC DEL	23332B107		5/15/2012	3/20/2013	13,231			1,034	16				16
1371 DARDEN RESTAURANTS INC	23718A105		6/4/2013	6/13/2013	19,550			19,615	-65				-65
1372 DARDEN RESTAURANTS INC	23718A105		8/11/2013	8/30/2013	19,550			19,551	-1				-1
1373 DARDEN RESTAURANTS INC	23718A105		6/18/2013	9/6/2013	9,034			9,619	-585				-585
1374 DARDEN RESTAURANTS INC	23718A105		6/18/2013	9/6/2013	9,034			19,194	-11,177				-11,177
1375 DARDEN RESTAURANTS INC	23718A105		6/27/2013	9/6/2013	9,034			9,368	-334				-334
1376 DARDEN RESTAURANTS INC	23718A105		6/27/2013	9/6/2013	9,034			14,052	-189				-189
1377 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1378 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1379 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1380 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1381 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1382 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1383 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1384 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1385 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1386 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1387 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1388 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1389 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1390 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1391 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1392 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1393 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1394 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1395 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1396 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1397 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1398 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1399 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1400 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1401 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1402 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1403 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1404 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1405 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1406 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1407 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1408 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1409 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1410 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1411 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1412 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1413 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1414 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1415 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1416 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1417 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1418 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1419 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1420 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1421 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1422 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1423 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1424 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1425 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1426 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1427 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7
1428 DARDEN RESTAURANTS INC	23718A105		6/28/2013	7/11/2013	4,804			4,811	-7				-7

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Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		0
2 First quarter estimated tax payment	5/9/2013	13,050
3 Second quarter estimated tax payment	6/11/2013	32,000
4 Third quarter estimated tax payment	9/10/2013	5,430
5 Fourth quarter estimated tax payment	12/11/2013	3,530
6 Other payments		
7 Total		54,010

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers												
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	R JEEP BRYANT		C/O BNY MELLON	NEW YORK	NY	10286		CHAIRMAN & DIRECTOR	0.25	0		
2	DAISEY HOLMES		C/O BNY MELLON	NEW YORK	NY	10286		PRESIDENT & DIRECTOR	0.25	0	0	0
3	RAYMOND DORADO		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
4	JOANNE JAXTIMER		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
5	JAMES P MCDONALD		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
6	KAREN PEETZ		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
7	KURT WOETZEL		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
8	RICHARD F BRUECKNER		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
9	GERALD L HASSELL		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
10	DORIS P MEISTER		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		
11	LISA B PETERS		C/O BNY MELLON	NEW YORK	NY	10286		BOARD MEMBER	0.25	0		

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	BNY MELLON FOUNDATION		31-1605320
	Number, street, and room or suite no. If a P O box, see instructions		Social security number (SSN)
	BNY Mellon, N A - P O Box 185		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	Pittsburgh, PA 15230-0185		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BNY MELLON, N.A.

Telephone No. ▶ (412) 234-0023

Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year 2013 or

▶ ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	58,893
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	54,010
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	4,883

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

HTA