Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: American Modern Foundation

A Employer identification number: 31-1580326

Number and street (or P O box number if mail is not delivered to street address): 7000 Midland Boulevard

Room/suite:

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code: Amelia, OH 45102

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 890,373

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	400,661			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2	2	2	
	4	Dividends and interest from securities	21,816	21,816	21,816	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	425			
	b	Gross sales price for all assets on line 6a 167,568				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	422,904	21,818	21,818	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	75	75	75	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	4,052	4,052	4,052	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,127	4,127	4,127	
	25	Contributions, gifts, grants paid	335,205			335,205
	26	**Total expenses and disbursements.** Add lines 24 and 25	339,332	4,127	4,127	335,205
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	83,572			
	b	**Net investment income** (if negative, enter -0-)		17,691		
	c	**Adjusted net income** (if negative, enter -0-)			17,691	

RECEIVED JUL 2 3 2014 OGDEN, UT

SCANNED JUL 2 9 2014

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	59,374	124,680	124,680
	2	Savings and temporary cash investments	8,391	13,645	13,645
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	197,862	193,969	268,651
	c	Investments—corporate bonds (attach schedule)	491,232	479,122	483,398
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	756,859	811,416	890,374
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	756,859	811,416	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	756,859	811,416	
	31	**Total liabilities and net assets/fund balances** (see instructions)	756,859	811,416	

Part III **Analysis of Changes in Net Assets or Fund Balances**

	Description	Line	Amount
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	756,859
2	Enter amount from Part I, line 27a	2	83,572
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶ Unrealized Loss on Investments	5	-29,015
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	811,416

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Exhibit B			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	425
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-1,156

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	332,630	912,054	.364704
2011	378,177	1,201,079	.314864
2010	569,904	1,056,228	.539565
2009	733,037	984,942	.756521
2008	712,421	968,942	.735244

2	**Total** of line 1, column (d)	2	2.710898
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.5421797
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	897,646
5	Multiply line 4 by line 3	5	486,685
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	196
7	Add lines 5 and 6	7	486,881
8	Enter qualifying distributions from Part XII, line 4	8	335,205

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	354
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	354
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	354
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 341		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 500		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	841
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	487
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax ▶** 487 **Refunded ▶**	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OHIO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ N/A

14 The books are in care of ▶ Kurt Kiessling Telephone no. ▶ 513-943-7100

Located at ▶ 7000 Midland Boulevard, Amelia, Ohio ZIP+4 ▶ 45102

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20 __ , 20 __ , 20 __ , 20 __			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Manuel Z. Rios 7000 Midland Boulevard, Amelia, Ohio 45102	President	0	0	0
James E. Hinkle III 7000 Midland Boulevard, Amelia, Ohio 45102	SVP/Treasurer	0	0	0
Kurt M. Kiessling 7000 Midland Boulevard, Amelia, Ohio 45102	Asst Secretary	0	0	0
James P Tierney 7000 Midland Boulevard, Amelia, Ohio 45102	VP	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	740,118
b	Average of monthly cash balances	1b	171,198
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	911,316
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	911,316
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	13,670
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	897,646
6	**Minimum investment return.** Enter 5% of line 5	6	44,882

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	44,882
2a	Tax on investment income for 2013 from Part VI, line 5	2a	354		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	354
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	44,528
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	44,528
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	44,528

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	335,205
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	335,205
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	335,205

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					44,528
2	Undistributed income, if any, as of the end of 2013:					
a	Enter amount for 2012 only				0	
b	Total for prior years: 20___,20___,20___			0		
3	Excess distributions carryover, if any, to 2013:					
a	From 2008	664,170				
b	From 2009	686,258				
c	From 2010	517,586				
d	From 2011	318,809				
e	From 2012	287,901				
f	**Total** of lines 3a through e		2,474,724			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 335,205					
a	Applied to 2012, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see instructions)			0		
c	Treated as distributions out of corpus (Election required—see instructions)		0			
d	Applied to 2013 distributable amount					44,528
e	Remaining amount distributed out of corpus		290,677			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		2,765,401			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		664,170			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		2,101,231			
10	Analysis of line 9:					
a	Excess from 2009	686,258				
b	Excess from 2010	517,586				
c	Excess from 2011	318,809				
d	Excess from 2012	287,901				
e	Excess from 2013	290,710				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED EXHIBIT "A"				
Total . . . . ▶ **3a**				335,205
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	21,818	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	425	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 22,243

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	ALL INVESTMENT INCOME IS USED DIRECTLY TO CARRY OUT THE FOUNDATION'S EXEMPT PURPOSE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 7/23/14 | Title ASST. SECRETARY

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
American Modern Foundation	31-1580326

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
American Modern Foundation	31-1580326

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	American Modern Insurance Group 7000 Midland Boulevard Amelia, Ohio 45102	$ 400,661	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
American Modern Foundation	31-1580326

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	

Name of organization	Employer identification number
American Modern Foundation	31-1580326

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

American Modern Foundation
Additional Schedules
Form 990-PF
2013

Statement 1 - Operating & Admin Expenses

Description	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes Paid on Dividends				
Federal Tax Payment				
To Form 990-PF Pg 1, Line 18	**0**	**0**	**0**	
Investment Management Fees	4,052	4,052	4,052	
To Form 990-PF Pg 1, Line 23	**4,052**	**4,052**	**4,052**	**-**

Statement 2 - Corporate Stock

	Book Value	Fair Value
Ishares Trust Core S&P Mid-Cap	22,532	30,107
Ishares Trust Russell 1000 Value Index Fund	26,424	37,668
Ishares Trust Russell 1000 Growth Index Fund	36,503	55,868
SPDR S&P Dividend ETF	42,821	63,543
SPDR S&P 500 ETF Tr	23,070	36,938
First Eagle Overseas Fund Class A	42,618	44,527
To Form 990-PF, Part II, Line 10 (b)	**193,969**	**268,651**

Statement 3- Corporate Bonds

	Book Value	Fair Value
Angel Oak Multi Strategy Income Fund Class A	31,168	30,361
Double Line Total Return Fund N	31,645	30,277
Goldman Sachs Strategic Income Class A	30,129	30,675
JP Morgan Strategic Inc Oppor Fund Class A	30,592	30,877
Loomis Sayles Strategic Income Fund Class A	34,020	36,425
Pimco Total Return Fund Class A	44,441	42,885
Prudential Short-Term Corporate Bond Fund Cl A	37,457	37,151
Virtus Multi-Sector Short Term bond Fund Cl A	30,905	31,031
Gateway Fund Class A	40,463	42,053
Mainstay Marketfield Fund Class I	37,004	37,796
Neuberger Berman ABS Tret. Multi-Mgr Fund Cl A	37,248	37,706
Absolute Return Fund Class A	35,675	38,687
SPDR Gold Trust	11,552	14,515
PIMCO All Assets All Auth - A	46,823	42,960
To Form 990-PF, Part II, Line 10 (c)	**479,122**	**483,398**

American Modern Foundation
Additional Schedules
Form 990-PF
2013

Statement 1 - Operating & Admin Expenses

Description	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes Paid on Dividends	74.77	74.77	74.77	
Federal Tax Payment				
To Form 990-PF Pg 1, Line 18	**74.77**	**74.77**	**74.77**	
Investment Management Fees	4,052	4,052	4,052	
To Form 990-PF Pg 1, Line 23	**4,052**	**4,052**	**4,052**	**-**

Statement 2 - Corporate Stock

	Book Value	Fair Value
Ishares Trust Core S&P Mid-Cap	22,532	30,107
Ishares Trust Russell 1000 Value Index Fund	26,424	37,668
Ishares Trust Russell 1000 Growth Index Fund	36,503	55,868
SPDR S&P Dividend ETF	42,821	63,543
SPDR S&P 500 ETF Tr	23,070	36,938
First Eagle Overseas Fund Class A	42,618	44,527
To Form 990-PF, Part II, Line 10 (b)	**193,969**	**268,651**

Statement 3- Corporate Bonds

	Book Value	Fair Value
Angel Oak Multi Strategy Income Fund Class A	31,168	30,361
Double Line Total Return Fund N	31,645	30,277
Goldman Sachs Strategic Income Class A	30,129	30,675
JP Morgan Strategic Inc Oppor Fund Class A	30,592	30,877
Loomis Sayles Strategic Income Fund Class A	34,020	36,425
Pimco Total Return Fund Class A	44,441	42,885
Prudential Short-Term Corporate Bond Fund Cl A	37,457	37,151
Virtus Multi-Sector Short Term bond Fund Cl A	30,905	31,031
Gateway Fund Class A	40,463	42,053
Mainstay Marketfield Fund Class I	37,004	37,796
Neuberger Berman ABS Tret. Multi-Mgr Fund Cl A	37,248	37,706
Absolute Return Fund Class A	35,675	38,687
SPDR Gold Trust	11,552	14,515
PIMCO All Assets All Auth - A	46,823	42,960
To Form 990-PF, Part II, Line 10 (c)	**479,122**	**483,398**

American Modern Foundation
Exhibit A
List of Grants & Contributions Paid during the Year
2013

Name & Address	Relationship	Foundation Status	Purpose of Grant	Amount
4 PAWS FOR ABILITY	NONE	PUBLIC	Unrestricted	-200
AAMGA/GEORGIA STATE UNIVERSITY	NONE	PUBLIC	Unrestricted	-5000
AI 72 CHARITIES, INC	NONE	PUBLIC	Unrestricted	-750
AMELIA BUSINESS ASSOCIATION	NONE	PUBLIC	Unrestricted	-200
AMELIA HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-200
AMELIA MASONIC LODGE SCHOLARSHIP	NONE	PUBLIC	Unrestricted	-100
AMELIA PARADE LLC	NONE	PUBLIC	Unrestricted	-200
AMELIA YOUTH ATHLETIC CLUB	NONE	PUBLIC	Unrestricted	-250
AMERICAN CANCER SOCIETY	NONE	PUBLIC	Unrestricted	-200
AMERICAN HEART ASSOCIATION	NONE	PUBLIC	Unrestricted	-5000
AMERICAN RED CROSS, CINCINNATI	NONE	PUBLIC	Unrestricted	-10000
ANDERSON HIGH SCHOOL BOOSTERS	NONE	PUBLIC	Unrestricted	-250
ANDERSON TOWNSHIP PARK DISTRICT	NONE	PUBLIC	Unrestricted	-100
ARCHBISIOP MCNICHOLAS HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-250
BANK OF AMERICA	NONE	PUBLIC	Unrestricted	-1000
BARRETT CANCER CENTER AT UNIVERSITY HOSPITAL	NONE	PUBLIC	Unrestricted	-250
BATAVIA HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-250
BATAVIA MIDDLE SCHOOL	NONE	PUBLIC	Unrestricted	-100
BATAVIA ROTARY FOUNDATION	NONE	PUBLIC	Unrestricted	-750
BETHESDA FOUNDATION	NONE	PUBLIC	Unrestricted	-350
BETTY HUNT SCHOLLARSHIP FUND (GOOD SAMARITAN)	NONE	PUBLIC	Unrestricted	-100
BOYS & GIRLS CLUB OF CLERMONT COUNTY	NONE	PUBLIC	Unrestricted	-2200
BOYS HOPE/GIRLS HOPE	NONE	PUBLIC	Unrestricted	-500
CINCINNATI ART MUSEUM	NONE	PUBLIC	Unrestricted	-500
CINCINNATI CHILDREN'S HOSPITAL MEDICAL	NONE	PUBLIC	Unrestricted	-500
CINCINNATI FIRE FOUNDATION	NONE	PUBLIC	Unrestricted	-1000
CINCINNATI MUSEUM CENTER	NONE	PUBLIC	Unrestricted	-5000
CINCINNATI YOUTH COLLABORATIVE	NONE	PUBLIC	Unrestricted	-100
CLERMONT CHAMBER OF COMMERCE	NONE	PUBLIC	Unrestricted	-1000
CLERMONT COUNTY KNOTHOLE	NONE	PUBLIC	Unrestricted	-75
CLERMONT NORTHEASTERN SCHOOLS	NONE	PUBLIC	Unrestricted	-250
CLERMONT SENIOR SERVICES	NONE	PUBLIC	Unrestricted	-2000
COLLEGE OF MT. ST. JOSEPH	NONE	PUBLIC	Unrestricted	-25000
CONESES FEST FOR CHARITY INC	NONE	PUBLIC	Unrestricted	-200
CROHN'S & COLITIS FOUNDATION OF AMERICA	NONE	PUBLIC	Unrestricted	-200
DAN BEARD COUNCIL BOY SCOUTS OF AMERICA	NONE	PUBLIC	Unrestricted	-5000
DOWNTOWN CINCINNATI INC	NONE	PUBLIC	Unrestricted	-250
FINE ARTS FUND	NONE	PUBLIC	Unrestricted	-14000
FREESTORE/FOODBANK	NONE	PUBLIC	Unrestricted	-500
GLEN ESTE HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-250
GOSHEN CHAMBER OF COMMERCE	NONE	PUBLIC	Unrestricted	-100
GREATER CINCINNATI FOUNDATION	NONE	PUBLIC	Unrestricted	-200
HOLLY HILL ELEMENTARY	NONE	PUBLIC	Unrestricted	-250
HOSPICE OF CINCINNATI	NONE	PUBLIC	Unrestricted	-1250
INSURING THE CHILDREN	NONE	PUBLIC	Unrestricted	-234 91
JUNIOR ACHIEVEMENT	NONE	PUBLIC	Unrestricted	-3000
KOCH'S SPORTING GOODS	NONE	PUBLIC	Unrestricted	-100
LIFEPOINT SOLUTIONS	NONE	PUBLIC	Unrestricted	-5000
LITERACY COUNCIL IN CLERMONT COUNTY	NONE	PUBLIC	Unrestricted	-250
LITTLE MIAMI YOUTH BASEBALL	NONE	PUBLIC	Unrestricted	-75
LOCUST CORNER ELEMENTARY SCHOOL	NONE	PUBLIC	Unrestricted	-200
MARCH OF DIMES	NONE	PUBLIC	Unrestricted	-1500
MCNICHOLAS HIGH SCHOOL	NONE	PUBLIC	Unrestricted	-180
MERCY HOSPITAL ANDERSON CARDIOLOGY	NONE	PUBLIC	Unrestricted	-10000
MERCY HOSPITAL CLERMONT	NONE	PUBLIC	Unrestricted	-10000

MIAMI VALLEY CHRISTIAN ACADEMY	NONE	PUBLIC	Unrestricted	-1000
MICHAEL J FOX FOUNDATION FOR PARIKINSON'S	NONE	PUBLIC	Unrestricted	-500
MILFORD AREA SWIM TEAM	NONE	PUBLIC	Unrestricted	-200
MILFORD SCHOOLS FOUNDATION	NONE	PUBLIC	Unrestricted	-250
NAMI CLERMONT COUNTY	NONE	PUBLIC	Unrestricted	-100
NICK ERDY FOUNDATION	NONE	PUBLIC	Unrestricted	-200
NWCCSAY	NONE	PUBLIC	Unrestricted	-400
OHIO VALLEY VOICES ANNUAL FUND	NONE	PUBLIC	Unrestricted	-200
REDS ROOKIE SUCCESS LEAGUE CLERMONT	NONE	PUBLIC	Unrestricted	-250
SPECIAL OLYMPICS OHIO	NONE	PUBLIC	Unrestricted	-395
ST JOSEPH ORPHANAGE	NONE	PUBLIC	Unrestricted	-500
ST JOSEPH PTO	NONE	PUBLIC	Unrestricted	-200
ST JOSEPH SCHOOL	NONE	PUBLIC	Unrestricted	-250
THE AMERICAN CANCER SOCIETY	NONE	PUBLIC	Unrestricted	-100
THE MICHAEL J FOX FOUNDATION FOR PARKINSONS	NONE	PUBLIC	Unrestricted	-100
THE SALVATION ARMY	NONE	PUBLIC	Unrestricted	-250
TRI-STATE WARBIRD MUSEUM	NONE	PUBLIC	Unrestricted	-10000
TYLER'S RUN FOUNDATION	NONE	PUBLIC	Unrestricted	-100
UNITED WAY	NONE	PUBLIC	Unrestricted	-175300
UNIVERSITY OF CINCINNATI	NONE	PUBLIC	Unrestricted	-25000
VILLAGE ASSOCIATION OF BATAVIA	NONE	PUBLIC	Unrestricted	-100
WEST CLERMONT LOCAL SCHOOL DISTRICT	NONE	PUBLIC	Unrestricted	-2000
YMCA OF CLERMONT COUNTY	NONE	PUBLIC	Unrestricted	-1000
YWCA OF GREATER CINCINNATI	NONE	PUBLIC	Unrestricted	-445 42
Grand Total				

Total to Form 990-PF, Part XV, line 3a -335,205 33

American Modern Foundation
Capital Gains & Losses - Exhibit B
Calendar 2013

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Invesco Balanced - Risk Allocation Fund A	2,721 617	11/20/2012	8/15/2013	33,911 35	35,000 00	(1,088 65)
Invesco Balanced - Risk Allocation Fund A	63.359	12/7/2012	8/15/2013	789 45	788 19	1 26
Invesco Balanced - Risk Allocation Fund A	46 250	12/7/2012	8/15/2013	576 27	575 35	0 92
Invesco Balanced - Risk Allocation Fund A	30.498	12/7/2012	8/15/2013	380 01	379 39	0 62
Eaton Vance Global Macro Absolute Return Fund A	11 116	10/31/2012	10/2/2013	104.60	107.91	(3 31)
Eaton Vance Global Macro Absolute Return Fund A	10 909	11/30/2012	10/2/2013	102.66	104.72	(2 06)
Eaton Vance Global Macro Absolute Return Fund A	11.249	12/31/2012	10/2/2013	105 85	108 55	(2 70)
Eaton Vance Global Macro Absolute Return Fund A	11.221	1/31/2013	10/2/2013	105.59	109 41	(3 82)
Eaton Vance Global Macro Absolute Return Fund A	10 197	2/28/2013	10/2/2013	95.95	99.33	(3 38)
Eaton Vance Global Macro Absolute Return Fund A	11.327	3/27/2013	10/2/2013	106 59	110.56	(3 97)
Eaton Vance Global Macro Absolute Return Fund A	11 020	4/29/2013	10/2/2013	103.70	107 48	(3 78)
Eaton Vance Global Macro Absolute Return Fund A	11.477	5/30/2013	10/2/2013	108 00	111 73	(3 73)
Eaton Vance Global Macro Absolute Return Fund A	11.330	6/27/2013	10/2/2013	106 61	108.60	(1.99)
Eaton Vance Global Macro Absolute Return Fund A	11 787	7/30/2013	10/2/2013	110.92	112.88	(1.96)
Eaton Vance Global Macro Absolute Return Fund A	12 024	8/29/2013	10/2/2013	113.14	113 50	(0 36)
Eaton Vance Global Macro Absolute Return Fund A	11.703	9/27/2013	10/2/2013	110 13	110 36	(0 23)
Pioneer Strategic Income Fund Class A	11.355	10/31/2012	10/2/2013	124 22	127 97	(3.75)
Pioneer Strategic Income Fund Class A	11 383	11/30/2012	10/2/2013	124.53	128.40	(3 87)
Pioneer Strategic Income Fund Class A	17.966	12/31/2012	10/2/2013	196.55	202 66	(6.11)
Pioneer Strategic Income Fund Class A	11 695	1/31/2013	10/2/2013	127 95	132.74	(4 79)
Pioneer Strategic Income Fund Class A	11 743	2/28/2013	10/2/2013	128 46	133 28	(4 82)
Pioneer Strategic Income Fund Class A	11 782	3/28/2013	10/2/2013	128.90	133 84	(4 94)
Pioneer Strategic Income Fund Class A	11.428	4/30/2013	10/2/2013	125.02	130 96	(5 94)
Pioneer Strategic Income Fund Class A	11 622	5/31/2013	10/2/2013	127.15	131.44	(4 29)
Pioneer Strategic Income Fund Class A	12 011	6/28/2013	10/2/2013	131 40	131 88	(0 48)
Pioneer Strategic Income Fund Class A	12 021	7/31/2013	10/2/2013	131 51	132.35	(0 84)
Pioneer Strategic Income Fund Class A	12 238	8/30/2013	10/2/2013	133 88	132.90	0 98
Pioneer Strategic Income Fund Class A	11 606	9/30/2013	10/2/2013	126 97	126 74	0 23
Total Short-term				**38,537.36**	**39,693.12**	**(1,155.76)**
Double line Total Return Fund N	759 161	7/1/2011	2/22/2013	8,624 07	8,335.59	288 48
Double line Total Return Fund N	30 096	7/29/2011	2/22/2013	341 89	333.76	8 13
Double line Total Return Fund N	29.745	8/31/2011	2/22/2013	337.90	332.55	5 35
Double line Total Return Fund N	1,248.885	9/1/2011	2/22/2013	14,187.34	14,000.00	187.34
Double line Total Return Fund N	132.817	9/23/2011	2/22/2013	1,508.80	1,500.83	7.97
PIMCO Total Return Fund Class A	446 429	7/1/2011	7/22/2013	5,000 00	4,910 72	89 28
PIMCO Total Return Fund Class A	211 109	7/1/2011	9/20/2012	2,271 53	2,322 20	(50 67)
PIMCO Total Return Fund Class A	8 930	7/31/2011	9/19/2013	96 09	99.12	(3 03)
PIMCO Total Return Fund Class A	1,731 634	8/18/2011	9/19/2013	18,632.38	19,169.19	(536 81)
SPDR S&P Dividend ETF	125.000	9/1/2011	9/19/2013	8,872.98	6,468.74	2,404.24
Eaton Vance Global Macro Absolute Return Fund A	3,580.241	5/24/2012	10/2/2013	33,690 07	34,366 82	(676 75)
Eaton Vance Global Macro Absolute Return Fund A	0 410	5/31/2012	10/2/2013	3 86	3 91	(0 05)
Eaton Vance Global Macro Absolute Return Fund A	12 240	6/29/2012	10/2/2013	115 18	117 62	(2 44)
Eaton Vance Global Macro Absolute Return Fund A	12 658	7/31/2012	10/2/2013	119 11	121.88	(2 77)
Eaton Vance Global Macro Absolute Return Fund A	12 639	8/31/2012	10/2/2013	118.93	122.20	(3 27)
Eaton Vance Global Macro Absolute Return Fund A	11.881	9/28/2012	10/2/2013	111.80	116 20	(4.40)
Pioneer Strategic Income Fund Class A	2,764.783	1/18/2011	10/2/2013	30,246.73	30,384.97	(138.24)
Pioneer Strategic Income Fund Class A	7 966	1/31/2011	10/2/2013	87 15	87 79	(0 64)
Pioneer Strategic Income Fund Class A	24 522	2/28/2011	10/2/2013	268 27	272.44	(4 17)
Pioneer Strategic Income Fund Class A	24 725	3/31/2011	10/2/2013	270 49	273.46	(2 97)
Pioneer Strategic Income Fund Class A	24.064	4/30/2011	10/2/2013	263 26	268 79	(5 53)
Pioneer Strategic Income Fund Class A	24.173	5/31/2011	10/2/2013	264 45	269 77	(5.32)
Pioneer Strategic Income Fund Class A	24.016	6/30/2011	10/2/2013	262.74	264 90	(2.16)
Pioneer Strategic Income Fund Class A	18.292	7/31/2011	10/2/2013	200 11	202.68	(2 57)
Pioneer Strategic Income Fund Class A	16 850	8/31/2011	10/2/2013	184 34	182.82	1 52
Pioneer Strategic Income Fund Class A	17.268	9/30/2011	10/2/2013	188 91	183 56	5 35
Pioneer Strategic Income Fund Class A	17.722	10/31/2011	10/2/2013	193.88	192.82	1 06

Pioneer Strategic Income Fund Class A	30.802	11/29/2011	10/2/2013	336 97	325.27	11.70
Pioneer Strategic Income Fund Class A	8 637	11/29/2011	10/2/2013	94.49	91 21	3 28
Pioneer Strategic Income Fund Class A	18 716	11/30/2011	10/2/2013	204.76	198 02	6.74
Pioneer Strategic Income Fund Class A	23 611	12/30/2011	10/2/2013	258 30	250 98	7 32
Pioneer Strategic Income Fund Class A	17 780	1/31/2012	10/2/2013	194 51	192 91	1 60
Pioneer Strategic Income Fund Class A	16 861	2/29/2012	10/2/2013	184 46	184 97	(0 51)
Pioneer Strategic Income Fund Class A	17.378	3/30/2012	10/2/2013	190.12	189 94	0 18
Pioneer Strategic Income Fund Class A	17.430	4/30/2012	10/2/2013	190 68	190.68	-
Pioneer Strategic Income Fund Class A	17.281	5/31/2012	10/2/2013	189.06	186 98	2.08
Pioneer Strategic Income Fund Class A	17.221	6/29/2012	10/2/2013	188 40	187.88	0 52
Pioneer Strategic Income Fund Class A	16.637	7/31/2012	10/2/2013	182 00	184.01	(2 01)
Pioneer Strategic Income Fund Class A	16.597	8/31/2012	10/2/2013	181.58	184 72	(3.14)
Pioneer Strategic Income Fund Class A	15.836	9/28/2012	10/2/2013	173 24	177 52	(4 28)
SPDR Gold Trust	Return of Principal		1/7/2013	6.57	6.57	-
SPDR Gold Trust	Return of Principal		2/21/2013	6.78	6 78	-
SPDR Gold Trust	Return of Principal		3/21/2013	6.59	6 59	-
SPDR Gold Trust	Return of Principal		4/9/2013	6 75	6.75	-
SPDR Gold Trust	Return of Principal		5/10/2013	6.97	6.97	-
SPDR Gold Trust	Return of Principal		6/17/2013	6.09	6.09	-
SPDR Gold Trust	Return of Principal		7/10/2013	6 00	6 00	-
SPDR Gold Trust	Return of Principal		8/15/2013	5.52	5.52	-
SPDR Gold Trust	Return of Principal		9/19/2013	5.86	5 86	-
SPDR Gold Trust	Return of Principal		10/14/2013	6 02	6 02	-
SPDR Gold Trust	Return of Principal		11/13/2013	5 39	5.39	-
SPDR Gold Trust	Return of Principal		12/17/2013	5 18	5 18	-
Total Long-term				**129,104.55**	**127,524.14**	**1,580.41**
Total Proceeds/Cost/Gain(Loss)				**167,641.91**	**167,217.26**	**424.65**