Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013**

Name of foundation
EVELYN W DUNN CHARITABLE TRUST

**A Employer identification number**
31-1418808

Number and street (or P O box number if mail is not delivered to street address)
600 VINE STREET
ROOM/SUITE 2650

Room/suite

**B** Telephone number (see instructions)
(513) 381-9200

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 45202

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 637,770

**J** Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 1 | 1 | | |
| | 4 | Dividends and interest from securities | 13,439 | 13,439 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 30,840 | | | |
| | b | Gross sales price for all assets on line 6a 344,131 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 30,840 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 44,280 | 44,280 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 6,243 | 3,121 | | 3,122 |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | 3,170 | 2,378 | | 792 |
| | b | Accounting fees (attach schedule) | 1,600 | 1,200 | | 400 |
| | c | Other professional fees (attach schedule) | 8,918 | 8,918 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 482 | 229 | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 200 | | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 20,613 | 15,846 | | 4,314 |
| | 25 | Contributions, gifts, grants paid | 26,000 | | | 26,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 46,613 | 15,846 | | 30,314 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -2,333 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 28,434 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 15,140 | 20,997 | 20,997 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______ | | | |
| | 4 | Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 303,661 | 311,424 | 443,730 |
| | c | Investments—corporate bonds (attach schedule) | 189,917 | 174,068 | 173,043 |
| | 11 | Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______ | | | |
| | 15 | Other assets (describe ▶ ______) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 508,718 | 506,489 | 637,770 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ______) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 508,718 | 506,489 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 508,718 | 506,489 | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 508,718 | 506,489 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 508,718 |
| 2 | Enter amount from Part I, line 27a | 2 | -2,333 |
| 3 | Other increases not included in line 2 (itemize) ▶ ______ | 3 | 104 |
| 4 | Add lines 1, 2, and 3 | 4 | 506,489 |
| 5 | Decreases not included in line 2 (itemize) ▶ ______ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 506,489 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | UBS 1099B ST GAINS LOSSES | P | 2013-01-01 | 2013-12-15 |
| b | UBS 1099B LT GAINS LOSSES | P | 2012-01-01 | 2013-12-15 |
| c | UBS 1099B WITH BOX B OR E | P | 2013-01-01 | 2013-12-15 |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 175,270 | | 175,382 | -112 |
| b | 168,681 | | 137,909 | 30,772 |
| c | 11 | | | 11 |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -112 |
| b | | | | 30,772 |
| c | | | | 11 |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 30,840 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 19,383 | 576,213 | 0 033639 |
| 2011 | 21,415 | 551,591 | 0 038824 |
| 2010 | 23,149 | 594,947 | 0 038909 |
| 2009 | 25,190 | 517,654 | 0 048662 |
| 2008 | 41,141 | 664,463 | 0 061916 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). | 2 | 0 221950 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 044390 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | 4 | 610,399 |
| 5 | Multiply line 4 by line 3. | 5 | 27,096 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 284 |
| 7 | Add lines 5 and 6. | 7 | 27,380 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 30,314 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | 1 | 284 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 284 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 284 |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 284 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶OH | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶PAUL F WENKER Telephone no ▶(513) 381-9200

Located at ▶600 VINE STREET SUITE 2650 CINCINNATI OH ZIP+4 ▶45202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☑ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? | ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20___, 20___, 20___, 20___ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | 4b | | No |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

**(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945–5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

*If "Yes" to 6b, file Form 8870.*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| J KENNETH MEAGHER<br>600 VINE STREET SUITE 2650<br>CINCINNATI,OH 45202 | TRUSTEE<br>1 50 | 6,243 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| **1** | |
| **2** | |
| **3** | |
| **4** | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| **1** N/A | |
| **2** | |
| All other program-related investments See page 24 of the instructions | |
| **3** | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 596,326 |
| b | Average of monthly cash balances | 1b | 23,368 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 619,694 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 619,694 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 9,295 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 610,399 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 30,520 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 30,520 |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 284 | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 284 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 30,236 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 30,236 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 30,236 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 30,314 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 30,314 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 284 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 30,030 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| **1** Distributable amount for 2013 from Part XI, line 7 | | | | 30,236 |
| **2** Undistributed income, if any, as of the end of 2013 | | | | |
| **a** Enter amount for 2012 only. . . . . . . | | | 1,419 | |
| **b** Total for prior years 20___, 20___, 20___ | | | | |
| **3** Excess distributions carryover, if any, to 2013 | | | | |
| **a** From 2008. . . . . . . | | | | |
| **b** From 2009. . . . . . . | | | | |
| **c** From 2010. . . . . . . | | | | |
| **d** From 2011. . . . . . . | | | | |
| **e** From 2012. . . . . . . | | | | |
| **f Total** of lines 3a through e. . . . . . . . . | | | | |
| **4** Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 30,314 | | | | |
| **a** Applied to 2012, but not more than line 2a | | | 1,419 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . . | | | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . . . . . | | | | |
| **d** Applied to 2013 distributable amount. . . . . | | | | 28,895 |
| **e** Remaining amount distributed out of corpus | | | | |
| **5** Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| **6 Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| **b** Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . | | | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| **d** Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . | | | | |
| **e** Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . | | | | |
| **f** Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . . . . . . . | | | | 1,341 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . . . . . . . . . | | | | |
| **8** Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . | | | | |
| **9 Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a . . . . . . | | | | |
| **10** Analysis of line 9 | | | | |
| **a** Excess from 2009. . . . | | | | |
| **b** Excess from 2010. . . . | | | | |
| **c** Excess from 2011. . . . | | | | |
| **d** Excess from 2012. . . . | | | | |
| **e** Excess from 2013. . . . | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2013 | **(b)** 2012 | **(c)** 2011 | **(d)** 2010 | **(e) Total** |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL F WENKER
600 VINE STREET SUITE 2650
CINCINNATI,OH 45202
(513) 381-9200

**b** The form in which applications should be submitted and information and materials they should include

LETTER

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| INTERFAITH HOSPITAL NETWORK OF GREATER CINCINNATI<br>2110 ST MICHAEL ST<br>CINCINNATI,OH 45204 | | | SUPPORT CHARITABLE PURPOSE | 12,500 |
| YWCA LITERACY SERVICES<br>898 WALNUT STREET<br>CINCINNATI,OH 452022088 | | | SUPPORT CHARITABLE PURPOSE | 12,500 |
| PRO KIDS<br>2605 BURNET AVENUE<br>CINCINNATI,OH 45219 | | | SUPPORT CHARITABLE PURPOSE | 1,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 26,000 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 1 | |
| 4 Dividends and interest from securities. . . . | | | 14 | 13,439 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property . . . . . . . . . . . . . . | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 30,840 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. . | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | | | 44,280 | |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . 13 44,280

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash. . . . . . . . . . | **1a(1)** | | No |
| **(2)** Other assets. . . . . . . . . . | **1a(2)** | | No |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. . . . . . . | **1b(1)** | | No |
| **(2)** Purchases of assets from a noncharitable exempt organization. . . . . . | **1b(2)** | | No |
| **(3)** Rental of facilities, equipment, or other assets. . . . . . . | **1b(3)** | | No |
| **(4)** Reimbursement arrangements. . . . . . . . . | **1b(4)** | | No |
| **(5)** Loans or loan guarantees. . . . . . . . . | **1b(5)** | | No |
| **(6)** Performance of services or membership or fundraising solicitations. . . . . . | **1b(6)** | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . . . | **1c** | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. . . . . . . . . . ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

| ****** | 2014-05-15 | ****** | May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No |
|---|---|---|---|
| Signature of officer or trustee | Date | Title | |

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ☐ | PTIN |
|---|---|---|---|---|
| JEFFREY TATE | | 2014-05-01 | | P01299322 |
| Firm's name ▶ MIDWEST CPA LLC | | | Firm's EIN ▶ | |
| Firm's address ▶ 4555 LAKE FOREST DR STE 410 BLUE ASH, OH 452423760 | | | Phone no (513) 588-2830 | |

# TY 2013 Accounting Fees Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ACCOUNTING FEES | 1,600 | 1,200 | | 400 |

# TY 2013 Compensation Explanation

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Person Name | Explanation |
|---|---|
| J KENNETH MEAGHER | |

# TY 2013 Investments Corporate Bonds Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| FIXED INCOME | | |
| ISHARE TR CORE TOTAL | 56,337 | 53,960 |
| ISHARE 1-3 YEAR CREDIT | 52,631 | 52,835 |
| ISHARE INTER CREDIT | 13,416 | 14,672 |
| POWERSHARE ETF | 51,684 | 51,576 |

# TY 2013 Investments Corporate Stock Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| EQUITIES | | |
| AMERICAN TOWER CORP REIT | 3,728 | 3,991 |
| ANADARKO PETROLEUM | 1,677 | 3,173 |
| ANALOG DEVICED | 2,720 | 4,940 |
| APPLE INC | 4,167 | 11,781 |
| AT & T | 4,858 | 6,786 |
| CELGENE | 4,686 | 13,348 |
| CHEVRON | 3,332 | 5,996 |
| CISCO | 3,818 | 5,047 |
| COCA COLA | 6,166 | 9,088 |
| COMCAST | 3,077 | 8,886 |
| CSX CORP | 2,419 | 4,287 |
| EMC CORP MASS | 2,362 | 3,068 |
| EXPRESS SCRIPT | 4,411 | 5,760 |
| EXXON MOBIL | 4,741 | 6,780 |
| FEDEX CORP | 3,233 | 5,176 |
| GENL ELECTRIC | 4,464 | 6,391 |
| INTEL CORP | 4,841 | 5,347 |
| INTERCONTINENTALEXCHANGE GROUP | 2,444 | 5,173 |
| IBM | 3,493 | 6,190 |
| JOHNSON & JOHNSON | 3,070 | 4,946 |
| JP MORGAN | 4,545 | 7,310 |
| MCDONALDS CORP | 3,326 | 5,434 |
| MEDTRONICS | 5,167 | 7,289 |
| MERK & CO | 4,390 | 6,056 |
| METLIFE | 3,771 | 4,637 |
| MICROSOFT CORP | 2,414 | 3,741 |
| NOVARTIS AG | 1,699 | 3,296 |
| OCCIDENTAL PETROLEUM | 3,623 | 4,660 |
| PEPSICO | 4,114 | 6,055 |

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| PNC FIANCIAL | 3,163 | 3,957 |
| SCHLUMBERGER LTD | 4,572 | 6,398 |
| TEVA | 2,620 | 2,084 |
| THERMO FISCHER | 2,754 | 7,572 |
| TJX | 4,395 | 9,368 |
| TRAVELERS | 2,735 | 5,976 |
| UNITED TECH | 5,197 | 9,559 |
| US BANCORP | 2,928 | 4,161 |
| WALT DISNEY | 3,169 | 10,390 |
| YUM BRANDS | 2,577 | 5,520 |
| 3M | 4,173 | 7,153 |
| ALPS TR BARRON | 9,961 | 10,453 |
| ISHARE RUSSELL MIDCAP VALUE | 4,724 | 5,585 |
| ISHARE RUSSELL MIDCAP GROWTH | 5,125 | 6,242 |
| ISHARE MSCI | 43,366 | 54,615 |
| MARKET VECTORS WIDE MOAT | 9,940 | 10,238 |
| VANGUARD EXTD MKT | 22,714 | 23,404 |
| VANGUARD INDEX FUNDS VALUE | 5,671 | 8,861 |
| VANGUARD INDEX FUNDS GROWTH | 5,768 | 9,956 |
| VANGUARD FTSE | 42,451 | 48,745 |
| WISDOMTREE TRUST JAPAN HEDGE | 16,665 | 18,861 |

# TY 2013 Legal Fees Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| RENDIGS, FRY, KIELY AND DENNIS | 3,170 | 2,378 | | 792 |

# TY 2013 Other Expenses Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXPENSES | | | | |
| TREASURER STATE OF OHIO | 200 | | | |

# TY 2013 Other Increases Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Description | Amount |
|---|---|
| UBS 1099 NONDIVIDEND DISTR - RETURN OF CAPITAL | 23 |
| ACCOUNTING ADJUSTMENT | 81 |

# TY 2013 Other Professional Fees Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ASSET MANAGEMENT FEES | 8,918 | 8,918 | | |

# TY 2013 Taxes Schedule

**Name:** EVELYN W DUNN CHARITABLE TRUST

**EIN:** 31-1418808

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES PAID | 229 | 229 | | |
| US TREASURY | 253 | | | |

## Long-term capital gains and losses

31-1418808 FORM 990 PF PART IV LINE 16

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ANADARKO PETROLEUM CORP | FIFO | 1 000 | Apr 03, 07 | Nov 15, 13 | 90 79 | 43 72 | | | 47 07 |
| | FIFO | 2 000 | Jan 08, 09 | Nov 15, 13 | 181 59 | 83 84 | | | 97 75 |
| APPLE INC | FIFO | 1 000 | Dec 03, 09 | Jul 29, 13 | 447 59 | 198 43 | | | 249 16 |
| AT&T INC | FIFO | 6 000 | Jul 01, 09 | Jul 29, 13 | 215 47 | 151 01 | | | 64 46 |
| CELGENE CORP | FIFO | 3 000 | Dec 20, 10 | May 21, 13 | 370 79 | 177 96 | | | 192 83 |
| | FIFO | 3 000 | Dec 20, 10 | Aug 26, 13 | 423 83 | 177 96 | | | 245 87 |
| CHEVRON CORP | FIFO | 2 000 | Jan 06, 05 | Jul 29, 13 | 251 99 | 103 44 | | | 148 55 |
| CISCO SYSTEMS INC | FIFO | 14 000 | Jun 14, 12 | Jun 26, 13 | 340 94 | 237 57 | | | 103 37 |
| COCA COLA CO COM | FIFO | 6 000 | Jul 01, 09 | Aug 26, 13 | 229 09 | 148 52 | | | 80 57 |
| | FIFO | 5 000 | Jul 01, 09 | Nov 15, 13 | 200 36 | 123 76 | | | 76 60 |
| COMCAST CORP NEW CL A | FIFO | 6 000 | Jul 09, 10 | May 21, 13 | 254 15 | 107 97 | | | 146 18 |
| CSX CORPORATION | FIFO | 8 000 | Dec 03, 09 | May 21, 13 | 209 43 | 129 86 | | | 79 57 |
| | FIFO | 8 000 | Dec 03, 09 | Aug 26, 13 | 204 42 | 129 87 | | | 74 55 |
| EMC CORP MASS | FIFO | 10 000 | Jul 09, 10 | Jun 26, 13 | 240 29 | 193 66 | | | 46 63 |
| EXXON MOBIL CORP | FIFO | 4.000 | Jul 01, 09 | Nov 15, 13 | 379 79 | 283 03 | | | 96 76 |
| FEDEX CORP | FIFO | 3 000 | Nov 05, 10 | Nov 15, 13 | 414 56 | 269 40 | | | 145 16 |
| GENL ELECTRIC CO | FIFO | 12 000 | Jun 14, 12 | Jul 29, 13 | 293 90 | 234 92 | | | [illegible] |
| INTEL CORP | FIFO | 9 000 | Nov 28, 11 | Jul 29, 13 | 208 92 | 211 51 | | -2 59 | |
| INTERCONTINENTALEXCHANGE INC *NAME CHANGE-EFF-11/2013 * | FIFO | 2 000 | Jul 09, 10 | Jul 29, 13 | 356 29 | 212 51 | | | 143 78 |
| ISHARES IBOXX $ INVT GRADE CORPORATE BOND ETF | FIFO | 4 000 | Jul 01, 09 | May 21, 13 | 480 87 | 398 56 | | | 82 31 |
| | FIFO | 210 000 | Jul 01, 09 | Jun 25, 13 | 23,483 94 | 20,924 40 | | | 2,559 54 |
| | FIFO | 3.000 | Jul 22, 10 | Jun 25, 13 | 335 48 | 328 41 | | | 7 07 |
| ISHARES INTER CREDIT BOND ETF | FIFO | 2 000 | Jul 01, 09 | May 21, 13 | 222 19 | 196 60 | | | 25 59 |
| | FIFO | 5 000 | Jul 01, 09 | Jun 26, 13 | 535 94 | 491 50 | | | 44 44 |
| | FIFO | 2 000 | Jul 01, 09 | Jul 29, 13 | 216 33 | 196 60 | | | 19 73 |
| | FIFO | 2 000 | Jul 01, 09 | Aug 26, 13 | 214 19 | 196 60 | | | 17 59 |
| ISHARES MSCI EAFE ETF | FIFO | 8 000 | Dec 07, 11 | May 21, 13 | 508 43 | 410 87 | | | 97 56 |
| | FIFO | 12 000 | Dec 07, 11 | Aug 26, 13 | 734 91 | 616 30 | | | 118 61 |
| | FIFO | 18 000 | Dec 07, 11 | Nov 15, 13 | 1,189 32 | 924 45 | | | 264 87 |
| ISHARES MSCI JAPAN ETF | FIFO | 611 000 | Jan 12, 10 | Aug 20, 13 | 6,867 52 | 6,287 19 | | | 580 33 |
| | FIFO | 926 000 | Mar 24, 11 | Aug 20, 13 | 10,408 06 | 9,814 86 | | | 593 20 |
| ISHARES MSCI PAC EX JAPAN ETF | FIFO | 243 000 | Jan 12, 10 | Apr 02, 13 | 12,113 35 | 10,352 77 | | | 1,760 58 |
| ISHARES RUSSELL MID-CAP VALUE ETF | FIFO | 6 000 | Jul 01, 09 | May 21, 13 | 363 31 | 176 41 | | | 186 90 |
| | FIFO | 151 000 | Jul 01, 09 | Jun 25, 13 | 8,590 89 | 4,439 66 | | | 4,151 23 |
| | FIFO | 44 000 | Mar 24, 11 | Jun 25, 13 | 2,503 30 | 2,078 12 | | | 425 18 |
| | FIFO | 5 000 | Mar 24, 11 | Aug 26, 13 | 300 29 | 236 15 | | | 64 14 |

4

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| ISHARES RUSSELL MID-CAP GROWTH ETF | FIFO | 5 000 | Jul 01, 09 | May 21, 13 | 374 19 | 184 18 | | 190 01 |
| | FIFO | 70 000 | Jul 01, 09 | Jun 25, 13 | 4,939 84 | 2,578 56 | | 2,361 28 |
| | FIFO | 46 000 | Mar 24, 11 | Jun 25, 13 | 3,246 18 | 2,745 27 | | 500 91 |
| ISHARES TR CORE TOTAL US BOND MKT ETF | FIFO | 4 000 | Jun 08, 11 | May 21, 13 | 441 00 | 430 72 | | 10 28 |
| | FIFO | 116 000 | Jun 08, 11 | Jun 25, 13 | 12,325 95 | 12,490 88 | -164 93 | |
| | FIFO | 11 000 | Jun 08, 11 | Jun 26, 13 | 1,173 90 | 1,184 48 | -10 58 | |
| | FIFO | 13 000 | Jun 08, 11 | Jul 29, 13 | 1,392 73 | 1,399 84 | -7 11 | |
| | FIFO | 6 000 | Jun 08, 11 | Aug 26, 13 | 636 15 | 646 08 | -9 93 | |
| | FIFO | 23 000 | Jun 08, 11 | Dec 23, 13 | 2,456 15 | 2,476 64 | -20 49 | |
| | FIFO | 48 000 | Aug 05, 11 | Dec 23, 13 | 5,125 87 | 5,210 39 | -84 52 | |
| JOHNSON & JOHNSON COM | FIFO | 3 000 | Jul 01, 09 | Jul 29, 13 | 279 41 | 170 54 | | 108 87 |
| JPMORGAN CHASE & CO | FIFO | 8 000 | Oct 07, 08 | Nov 15, 13 | 438 81 | 329 87 | | 108 94 |
| MEDTRONIC INC | FIFO | 9 000 | Apr 14, 11 | May 21, 13 | 471 08 | 366 20 | | 104 88 |
| MERCK & CO INC NEW COM | FIFO | 7 000 | Jul 09, 10 | May 21, 13 | 330 34 | 253 98 | | 76 36 |
| METLIFE INC | FIFO | 5 000 | Dec 10, 10 | Jul 29, 13 | 242 54 | 219 26 | | 23 28 |
| | FIFO | 4 000 | Dec 10, 10 | Nov 15, 13 | 206 99 | 175 40 | | 31 59 |
| MICROSOFT CORP | FIFO | 6 000 | Jul 01, 09 | Nov 15, 13 | 226 81 | 144 83 | | 81 98 |
| NOVARTIS AG SPON ADR | FIFO | 3 000 | Jul 01, 09 | Aug 26, 13 | 225 23 | 124 35 | | 100 88 |
| OCCIDENTAL PETROLEUM CRP | FIFO | 3 000 | Jan 08, 09 | May 21, 13 | 279 78 | 177 03 | | 102 75 |
| PEPSICO INC | FIFO | 4 000 | Jul 01, 09 | May 21, 13 | 330 83 | 225 44 | | 105 39 |
| | FIFO | 3 000 | Jul 01, 09 | Jul 29, 13 | 256 17 | 169 07 | | 87 10 |
| | FIFO | 3 000 | Jul 01, 09 | Nov 15, 13 | 257 64 | 169 08 | | 88 56 |
| PNC FINANCIAL SERVICES GROUP | FIFO | 3 000 | Apr 14, 11 | Nov 15, 13 | 226 01 | 186 04 | | 39 97 |
| POWERSHARES EMERGING MARKETS SOVEREIGN DEBT | FIFO | 572 000 | Sep 30, 10 | Apr 02, 13 | 17,039 61 | 15,973 21 | | 1,066 40 |
| SCHLUMBERGER LTD NETHERLANDS ANTILLES | FIFO | 4 000 | Mar 10, 10 | Aug 26, 13 | 328 19 | 256 28 | | 71 91 |
| TECHNOLOGY SECTOR SPDR TRUST SHS | FIFO | 9 000 | Feb 22, 12 | Aug 26, 13 | 288 11 | 257 49 | | 30 62 |
| | FIFO | 143 000 | Feb 22, 12 | Sep 27, 13 | 4,601 04 | 4,091 18 | | 509 86 |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | FIFO | 5 000 | Jul 01, 09 | May 21, 13 | 201 31 | 250 24 | -48 93 | |
| | FIFO | 5 000 | Jul 01, 09 | Nov 15, 13 | 190 96 | 250 24 | -59 28 | |

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## Realized gains and losses (continued)

### **Long-term capital gains and losses** (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| THERMO FISHER SCIENTIFIC INC | FIFO | 3 000 | Jul 01, 09 | Aug 26, 13 | 271 97 | 121 50 | | | 150 47 |
| | FIFO | 3 000 | Jul 01, 09 | Nov 15, 13 | 303 38 | 121 49 | | | 181 89 |
| TJX COS INC NEW | FIFO | 8 000 | Nov 28, 11 | May 21, 13 | 413 35 | 239 21 | | | 174 14 |
| | FIFO | 11 000 | Nov 28, 11 | Nov 15, 13 | 695 55 | 328 91 | | | 366 64 |
| TRAVELERS COS INC/THE | FIFO | 4 000 | Dec 23, 08 | Aug 26, 13 | 321 20 | 168 87 | | | 152 33 |
| UNTD TECHNOLOGIES CORP | FIFO | 2 000 | Jan 02, 08 | Aug 26, 13 | 205 25 | 150 02 | | | 55 23 |
| US BANCORP DEL (NEW) | FIFO | 6 000 | Feb 11, 11 | Aug 26, 13 | 222 29 | 170 56 | | | 51 73 |
| VANGUARD FTSE EUROPE ETF | FIFO | 143 000 | Jan 12, 10 | Jun 25, 13 | 6,830 60 | 7,152 86 | | -322 26 | |
| | FIFO | 10 000 | Jan 12, 10 | Jun 26, 13 | 481 89 | 500 20 | | -18 31 | |
| | FIFO | 12 000 | Jan 12, 10 | Jul 29, 13 | 618 62 | 600 24 | | | 18 38 |
| | FIFO | 9 000 | Jan 12, 10 | Aug 26, 13 | 476 54 | 450 18 | | | 26 36 |
| | FIFO | 4 000 | Jan 12, 10 | Nov 15, 13 | 226 51 | 200 08 | | | 26 43 |
| VANGUARD INDEX FUNDS VANGUARD VALUE ETF | FIFO | 5 000 | Jul 01, 09 | Jun 26, 13 | 338 21 | 200 10 | | | 138 11 |
| | FIFO | 3 000 | Jul 01, 09 | Jul 29, 13 | 213 64 | 120 06 | | | 93 58 |
| | FIFO | 4 000 | Jul 01, 09 | Aug 26, 13 | 279 40 | 160 08 | | | 119 32 |
| | FIFO | 114 000 | Jul 01, 09 | Oct 28, 13 | 8,341 24 | 4,562 28 | | | 3,778 96 |
| | FIFO | 24 000 | Jan 12, 10 | Oct 28, 13 | 1,756 05 | 1,173 31 | | | 582 74 |
| VANGUARD INDEX FUNDS VANGUARD GROWTH ETF | FIFO | 5 000 | Jul 01, 09 | May 21, 13 | 412 57 | 219 05 | | | 193 52 |
| | FIFO | 4 000 | Jul 01, 09 | Jul 29, 13 | 329 04 | 175 24 | | | 153 80 |
| | FIFO | 5 000 | Jul 01, 09 | Aug 26, 13 | 411 73 | 219 05 | | | 192 68 |
| | FIFO | 11 000 | Jul 01, 09 | Sep 27, 13 | 934 33 | 481 91 | | | 452 42 |
| | FIFO | 99 000 | Jul 01, 09 | Oct 28, 13 | 8,756 42 | 4,337 17 | | | 4,419 25 |
| | FIFO | 16 000 | Jan 12, 10 | Oct 28, 13 | 1,415 18 | 862 56 | | | 552 62 |
| | FIFO | 3 000 | Jan 12, 10 | Nov 15, 13 | 268 64 | 161 73 | | | 106 91 |
| WALT DISNEY CO (HOLDING CO) DISNEY COM | FIFO | 4 000 | Oct 20, 08 | May 21, 13 | 263 89 | 103 20 | | | 160 69 |
| | FIFO | 5 000 | Oct 20, 08 | Aug 26, 13 | 307 94 | 129 00 | | | 178 94 |

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**Account name:** EVELYN W DUNN CHARITABLE TRUST
**Friendly account name:** Dunn CRT mgd
**Account number:** WL 33704 HD

**Your Financial Advisor:**
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## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| YUM! BRANDS INC | FIFO | 3 000 | Jul 01, 09 | Jul 29, 13 | 219 62 | 105 90 | | | 113 72 |
| | FIFO | 3 000 | Jul 01, 09 | Nov 15, 13 | 221 40 | 105 90 | | | 115 50 |
| 3M CO | FIFO | 2 000 | Jul 09, 10 | Aug 26, 13 | 229 39 | 163 63 | | | 65 76 |
| **Total** | | | | | **$168,681.17** | **$137,909.45** | | **-$748.93** | **$31,520.65** |
| **Net long-term capital gains or losses** | | | | | | | | | **$30,771.72** |
| **Net capital gains/losses:** | | | | | | | | | **$30,660.21** |

Realized gains and losses (continued)

## Short-term capital gains and losses

31-1418808 FORM 990PF PART IV LINE 1a

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| AMERICAN TOWER CORP REIT | FIFO | 3 000 | Sep 27, 13 | Nov 15, 13 | 237 38 | 223 66 | | | 13 72 |
| EXPRESS SCRIPTS HLDG CO | FIFO | 4 000 | Dec 06, 12 | Jun 26, 13 | 246 47 | 215 17 | | | 31 30 |
| ISHARES GOLD TRUST ETF | FIFO | 1,710 000 | Sep 18, 12 | Mar 18, 13 | 26,617 77 | 29,508 19 | | -2,890 42 | |
| ISHARES RUSSELL MID-CAP GROWTH ETF | FIFO | 39 000 | Apr 02, 13 | Jun 25, 13 | 2,752 19 | 2,701 14 | | | 51 05 |
| | FIFO | 3 000 | Apr 02, 13 | Aug 26, 13 | 228 23 | 207 78 | | | 20 45 |
| ISHARES 1-3 YEAR CREDIT BOND ETF | FIFO | 3 000 | Jun 25, 13 | Jun 26, 13 | 314 24 | 313 95 | | | 0 29 |
| | FIFO | 6 000 | Jun 25, 13 | Jul 29, 13 | 630 74 | 627 90 | | | 2 84 |
| | FIFO | 2 000 | Jun 25, 13 | Aug 26, 13 | 209 89 | 209 30 | | | 0 59 |
| | FIFO | 5 000 | Jun 25, 13 | Nov 15, 13 | 527 01 | 523 25 | | | 3 76 |
| POWERSHARES ETF TRUST II | FIFO | 9 000 | Apr 02, 13 | May 21, 13 | 226 97 | 226 08 | | | 0 89 |
| | FIFO | 48 000 | Apr 02, 13 | Jun 26, 13 | 1,187 70 | 1,187 70 | -18 06 | | |
| | FIFO | 43 000 | Apr 02, 13 | Jul 29, 13 | 1,072 14 | 1,080 16 | | -8 02 | |
| | FIFO | 19 000 | Apr 02, 13 | Aug 26, 13 | 468 60 | 477 28 | | -8 68 | |
| SPDR BARCLAYS 1-3 MTH T-BILL ETF | FIFO | 613 000 | Nov 13, 12 | Jan 24, 13 | 28,074 77 | 28,087 66 | | -12 89 | |
| | Adjustment | 14 000 | Mar 18, 13 | May 21, 13 | 641 19 | 641 19 | -0 21 | | |
| | FIFO | 568 000 | Mar 18, 13 | Jun 06, 13 | 26,012 87 | 26,012 87 | -9 48 | | |
| | FIFO | 3 000 | Jun 12, 13 | Jun 26, 13 | 137 41 | 137 41 | -0 02 | | |
| | FIFO | 14 000 | Jun 12, 13 | Jun 26, 13 | 641 25 | 641 25 | -0 09 | | |
| | FIFO | 31 000 | Jun 12, 13 | Jul 29, 13 | 1,419 59 | 1,420 63 | 0 52 | -1 04 | |
| | FIFO | 12 000 | Jun 12, 13 | Aug 26, 13 | 549 47 | 549 92 | 0 20 | -0 45 | |
| | FIFO | 477 000 | Jun 12, 13 | Oct 11, 13 | 21,831 91 | 21,859 33 | 7 96 | -27 42 | |
| | FIFO | 3 000 | Jun 25, 13 | Oct 11, 13 | 137 31 | 137 50 | 0 07 | -0 19 | |
| | FIFO | 45 000 | Jun 25, 13 | Oct 11, 13 | 2,059 61 | 2,062 20 | 0 75 | -2 59 | |
| | FIFO | 14 000 | Jun 25, 13 | Oct 11, 13 | 640 77 | 641 64 | 0 30 | -0 87 | |
| | FIFO | 585 000 | Jun 25, 13 | Oct 11, 13 | 26,774 98 | 26,798 85 | | -23 87 | |
| VANGUARD EXTD MKT ETF | FIFO | 3 000 | Oct 17, 13 | Nov 15, 13 | 241 25 | 237 03 | | | 4 22 |
| VANGUARD TOTAL STOCK MKT ETF | FIFO | 4 000 | Jan 24, 13 | May 21, 13 | 345 21 | 308 80 | | | 36 41 |
| | FIFO | 292 000 | Jan 24, 13 | Jun 12, 13 | 24,308 58 | 22,542 40 | | | 1,766 18 |
| | FIFO | 3 000 | Jan 24, 13 | Jul 29, 13 | 261 63 | 231 60 | | | 30 03 |
| | FIFO | 64 000 | Jan 24, 13 | Oct 17, 13 | 5,775 90 | 4,940 80 | | | 835 10 |
| WISDOMTREE TRUST JAPAN HEDGED EQUITY FD | FIFO | 14 000 | Aug 20, 13 | Nov 15, 13 | 696 98 | 628 88 | | | 68 10 |
| **Total** | | | | | **$175,270.01** | **$175,381.52** | | **-$2,976.44** | **$2,864.93** |
| **Net short-term capital gains and losses** | | | | | | | | **-$111.51** | |