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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052





2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION		A Employer identification number 31-1411794	
Number and street (or P O box number if mail is not delivered to street address) C/O PNC BANK 620 LIBERTY AVENUE NO 10THFL		Room/suite	B Telephone number (see instructions) (412) 762-0861
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 24,165,643		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	5,005,000			
	2 Check ▶ <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	215	215		
	4 Dividends and interest from securities.	405,582	405,582		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	440,588			
	b Gross sales price for all assets on line 6a 3,704,580				
	7 Capital gain net income (from Part IV, line 2) . . .		901,853		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	25	0		
	12 Total. Add lines 1 through 11	5,851,410	1,307,650		
	13 Compensation of officers, directors, trustees, etc	47,864	38,291		9,573
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	20,151	945		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	193	0		0
	22 Printing and publications				
	23 Other expenses (attach schedule)	41,676	118		41,558
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	109,884	39,354		51,131
	25 Contributions, gifts, grants paid	857,564			857,564
	26 Total expenses and disbursements. Add lines 24 and 25	967,448	39,354		908,695
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,883,962			
	b Net investment income (if negative, enter -0-)		1,268,296		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,890,310	5,866,577	5,866,577
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	256,994	 154,650	173,151
	b	Investments—corporate stock (attach schedule)	4,177,566	 4,223,938	6,485,552
	c	Investments—corporate bonds (attach schedule).	1,908,773	 1,605,243	1,704,938
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,952,766	 7,219,963	9,935,425
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	14,186,409	19,070,371	24,165,643	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	14,138,260	19,053,483	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	48,149	16,888	
	30	Total net assets or fund balances (see page 17 of the instructions)	14,186,409	19,070,371	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	14,186,409	19,070,371		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	14,186,409
2	Enter amount from Part I, line 27a	2	4,883,962
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	19,070,371
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,070,371

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SALES OF PUBLICLY TRADED SECURITIES			
b	CLASS ACTION SETTLEMENTS		P	
c	CAPITAL GAINS DIVIDENDS		P	
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,686,731		2,802,752	883,979
b 25			25
c 17,849			17,849
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			883,979
b			25
c			17,849
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	901,853
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	930,695	15,711,965	0 059235
2011	894,396	14,670,809	0 060964
2010	780,202	13,008,479	0 059976
2009	856,471	12,093,687	0 070820
2008	827,942	14,364,162	0 057639

2	Total of line 1, column (d).	2	0 308634
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 061727
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	18,214,937
5	Multiply line 4 by line 3.	5	1,124,353
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	12,683
7	Add lines 5 and 6.	7	1,137,036
8	Enter qualifying distributions from Part XII, line 4.	8	908,695

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	25,366
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	25,366
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	25,366
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,440
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	12,440
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	12,926
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ 0. (2) On foundation managers <input type="checkbox"/> \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of PNC BANK NA Telephone no (412) 762-0861 Located at 620 LIBERTY AVENUE 10TH FLOOR PITTSBURGH PA ZIP+4 15222705			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK NA 620 LIBERTY AVENUE PITTSBURGH,PA 152222705	TRUSTEE 8 00	47,864	0	0
DANIEL A AND SUSAN L PFAU 8305 OLD HICKORY DRIVE CINCINNATI,OH 45243	ADVISORY BOARD 1 00	0	0	0
GREATER CINCINNATI FOUNDATION 200 WEST FOURTH STREET CINCINNATI,OH 45202	FOUNDATION MANAGER 6 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0


Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See page 24 of the instructions.	
3 	0
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	18,492,322
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	18,492,322
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	18,492,322
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	277,385
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	18,214,937
6	Minimum investment return. Enter 5% of line 5.	6	910,747

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	910,747
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	25,366
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	25,366
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	885,381
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	885,381
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	885,381

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	908,695
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	908,695
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	908,695
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				885,381
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	120,202			
b From 2009.	257,911			
c From 2010.	138,183			
d From 2011.	172,094			
e From 2012.	157,503			
f Total of lines 3a through e.	845,893			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 908,695				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				885,381
e Remaining amount distributed out of corpus	23,314			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	869,207			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	120,202			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	749,005			
10 Analysis of line 9				
a Excess from 2009. . . .	257,911			
b Excess from 2010. . . .	138,183			
c Excess from 2011. . . .	172,094			
d Excess from 2012. . . .	157,503			
e Excess from 2013. . . .	23,314			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DANIEL A AND SUSAN L PFAU

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DANIEL AND SUSAN PFAU FOUNDATION CO
200 WEST FOURTH STREET
CINCINNATI, OH 45202
(513) 241-2880

b

The form in which applications should be submitted and information and materials they should include

USE GREATER CINCINNATI COMMON GRANT APPLICATION REFER TO THE DANIEL AND SUSAN PFAU FOUNDATION "INFORMATION FOR GRANT APPLICANTS" FOR INFORMATION REQUIRED COPY ATTACHED AND AVAILABLE FROM ABOVE CONTACT

c

Any submission deadlines

SEE "INFORMATION FOR GRANT APPLICANTS" AVAILABLE FROM CONTACT ABOVE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE "INFORMATION FOR GRANT APPLICANTS" AVAILABLE FROM CONTACT ABOVE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	857,564
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ARTHRITIS FOUNDATION 7124 MIAMI AVENUE CINCINNATI, OH 45243	NONE	PUBLIC CHARITY	TO SUPPORT THE KIDS GET ARTHRITIS TOO PROGRAM	15,000
BREAKTHROUGH CINCINNATI INC 6905 GIVEN ROAD CINCINNATI, OH 45243	NONE	PUBLIC CHARITY	TO SUPPORT THE SUMMER ACADEMIC SESSION	15,500
CATHOLIC INNER CITY SCHOOLS 100 EAST EIGHTH STREET CINCINNATI, OH 45202	NONE	PUBLIC CHARITY	TO PROVIDE SUPPORT TO CISE SCHOOLS, IN DISCRETION OF ADVISORY BOARD	15,000
CATHOLIC SOCIAL SERVICES OF CINCINNATI 100 E 8TH STREET CINCINNATI, OH 45202	NONE	PUBLIC CHARITY	TO SUPPORT THE VACACIONES UTILES PROGRAM	25,000
CENTER FOR CHEMICAL ADDICTIONS 830 EZZARD CHARLES DRIVE CINCINNATI, OH 45214	NONE	PUBLIC CHARITY	TO SUPPORT THE HELPING OUR TINIEST ADDICTS PROGRAM	30,000
CENTRAL CLINIC INC 311 ALBERT SABIN WAY CINCINNATI, OH 45229	NONE	PUBLIC CHARITY	TO SUPPORT ENHANCEMENTS TO THE CHILD & FAMILY TREATMENT CENTER	20,000
CHILDREN'S HOME OF CINCINNATI 5050 MADISON ROAD CINCINNATI, OH 45227	NONE	PUBLIC CHARITY	TO SUPPORT THE AUTISM HIGH SCHOOL	20,000
CHURCHES ACTIVE IN NORTHSIDE 4230 HAMILTON AVENUE CINCINNATI, OH 45223	NONE	PUBLIC CHARITY	TO SUPPORT THE EARN AND LEARN SUMMER EMPLOYMENT READINESS PROGRAM	5,000
CINCINNATI ARTS & TECHNOLOGY 700 W PETE ROSE WAY CINCINNATI, OH 45229	NONE	PUBLIC CHARITY	TO SUPPORT THE HIGH SCHOOL ARTS PROGRAM AND MENTAL HEALTH INITIATIVE	30,000
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PUBLIC CHARITY	TO SUPPORT THE CINCYNCE - EDUCATION AND OUTREACH PROGRAM	12,500
CINCINNATI CENTER CITY 4777 RED BANK EXPWY SUITE 19 CINCINNATI, OH 45227	NONE	PUBLIC CHARITY	TO SUPPORT THE WASHINGTON PARK REVITALIZATION PROJECT	25,000
CINCINNATI INSTITUTE OF FINE ARTS 20 EAST CENTRAL PARKWAY SUITE 200 CINCINNATI, OH 45202	NONE	PUBLIC CHARITY	TO SUPPORT THE ARTS SERVICES OFFICE, ENDOWMENT FUND AND COMMUNITY GRANTS FUND	40,000
CINCINNATI THERAPEUTIC RIDING 1342 US 50 MILFORD, OH 45236	NONE	PUBLIC CHARITY	TO SUPPORT THE 2013 RIDERSHIPS SO EVERYONE CAN RIDE PROGRAM	24,954
CINCINNATI YOUTH COLLABORATIVE 301 OAK STREET CINCINNATI, OH 45219	NONE	PUBLIC CHARITY	TO SUPPORT THE GIRLS CLUBS	4,500
CINCYSMILES FOUNDATION 1720 GLENDALE MILFORD RD CINCINNATI, OH 45215	NONE	PUBLIC CHARITY	TO SUPPORT THE JOB TRAINING FOR VOC 2 PROGRAM	20,000
Total  3a				857,564

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CINCYSMILES FOUNDATION 635 W 7TH ST SUITE 309 CINCINNATI,OH 45203	NONE	PUBLIC CHARITY	TO SUPPORT THE DENTAL ROAD CREW PROGRAM	20,000
CLOVERNOOK CENTER FOR THE BLIND AND VISUALLY IMPAIRED 7000 HAMILTON AVENUE CINCINNATI,OH 45205	NONE	PUBLIC CHARITY	TO SUPPORT THE DISCOVERY YOUTH SUMMER DAY CAMPS IN 2014	20,000
COMPREHENSIVE COMMUNITY CHILD CARE 1924 DANA AVENUE CINCINNATI,OH 45207	NONE	PUBLIC CHARITY	TO SUPPORT THE DEVELOPING QUALITY E-LEARNING FOR THE EARLY CHILDHOOD FIELD PROGRAM	6,610
DIOCESAN CATHOLIC CHILDREN'S HOME 75 ORPHANAGE ROAD FORT MITCHELL,KY 41017	NONE	PUBLIC CHARITY	TO SUPPORT THE RESIDENTIAL TREATMENT PROGRAM	10,000
DOWN SYNDROME ASSOCIATION 644 LINN STREET STE 1128 CINCINNATI,OH 45203	NONE	PUBLIC CHARITY	TO SUPPORT THE NEW FAMILY SUPPORT PROGRAM	15,000
FACES WITHOUT PLACES PO BOX 23300 CINCINNATI,OH 45223	NONE	PUBLIC CHARITY	TO SUPPORT THE CORE PROGRAM AND OPERATING EXPENSES	10,000
FERNSIDE INC A CENTER FOR GRIEVING CHILDREN 4360 COOPER ROAD CINCINNATI,OH 45242	NONE	PUBLIC CHARITY	TO SUPPORT THE PEER GRIEF SUPPORT PROGRAMS	25,000
FREESTORE FOODBANK 1141 CENTRAL PARKWAY CINCINNATI,OH 45202	NONE	PUBLIC CHARITY	TO SUPPORT THE EXPANSION OF THE POWER PACK PROGRAM	40,000
GREATER CINCINNATI TELEVISION 1223 CENTRAL PARKWAY CINCINNATI,OH 45113	NONE	PUBLIC CHARITY	TO SUPPORT THE FUNDING INFORMATION TECHNOLOGY INFRASTRUCTURE TO BETTER SERVE OUR MISSION PROJECT	25,000
INTERFAITH HOSPITALITY NETWORK 990 NASSAU STREET CINCINNATI,OH 45206	NONE	PUBLIC CHARITY	TO SUPPORT THE EMERGENCY SHELTER PROGRAM	10,000
LEGAL AID SOCIETY OF CINCINNATI 215 E 9TH STREET STE 200 CINCINNATI,OH 45219	NONE	PUBLIC CHARITY	TO SUPPORT EDUCATION ADVOCACY SUPPORTING SCHOOL SUCCESS PROGRAM	40,000
LIVING ARRANGEMENTS FOR THE DEVELOPMENTALLY DISABLED 3603 VICTORY PARKWAY CINCINNATI,OH 45229	NONE	PUBLIC CHARITY	TO SUPPORT THE LEAD/STARFIRE SUPPORTED EMPLOYMENT INITIATIVE	15,000
MILESTONES INC 12372 RIGGS ROAD INDEPENDENCE,KY 41051	NONE	PUBLIC CHARITY	TO SUPPORT THE THERAPEUTIC HORSEBACK RIDING PROGRAM	20,000
MINDPEACE 5642 HAMILTON AVENUE CINCINNATI,OH 45224	NONE	PUBLIC CHARITY	TO SUPPORT THE IMPROVING ACCESS TO MENTAL HEALTH CARE FOR UNDERSERVED CHILDREN	25,000
NAMI OF HAMILTON COUNTY INC 4790 RED BANK EXPY 218 CINCINNATI,OH 45227	NONE	PUBLIC CHARITY	TO SUPPORT THE PARENTS AND TEACHERS AS ALLIES PLANNING GRANT	8,500
Total  3a				857,564

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL ASSISTANCE LEAGUE 1057 META DRIVE CINCINNATI, OH 45237	NONE	PUBLIC CHARITY	TO SUPPORT THE OPERATION SCHOOL BELL PROGRAM	10,000
NATIONAL SOCIETY TO PREVENT BLINDNESS 615 ELSINORE PLACE CINCINNATI, OH 45202	NONE	PUBLIC CHARITY	TO SUPPORT THE VISION CARE OUTREACH PROGRAM	5,000
NORTHERN KENTUCKY CHILDRENS ADVOCACY CENTER 4890 HOUSTON ROAD FLORENCE, KY 41042	NONE	PUBLIC CHARITY	TO SUPPORT THE LEGAL REPRESENTATION/EDUCATION ADVOCACY PROGRAMS	20,000
NORTHERN KENTUCKY COMMUNITY ACTION COMMISSION 717 MADISON AVENUE COVINGTON, KY 41011	NONE	PUBLIC CHARITY	TO SUPPORT THE SUMMER ENRICHMENT PROGRAM	25,000
NORTHWEST PARENT AND CHILD SOCIETY 590 FRANKLIN STREET SCHENECTADY, NY 12305	NONE	PUBLIC CHARITY	TO SUPPORT THE YOUTHBUILD SCHENECTADY, PATHWAYS TO POSTSECONDARY EDUCATION	25,000
OHIO VALLEY VOICES INC 6642 BRANCH HILL-GUINEA PIKE LOVELAND, OH 45140	NONE	PUBLIC CHARITY	TO SUPPORT THE FAMILY CENTER PROGRAM	30,000
ST ALOYSIUS ORPHANAGE 4721 READING ROAD CINCINNATI, OH 45237	NONE	PUBLIC CHARITY	TO SUPPORT THE SCHOOL BASED, EARLY CHILDHOOD IDENTIFICATION, INTERVENTION AND PREVENTION PROGRAM	25,000
STARFIRE COUNCIL OF GREATER CINCINNATI INC 5030 OAKLAWN DRIVE CINCINNATI, OH 45227	NONE	PUBLIC CHARITY	TO SUPPORT THE CAPSTONE CONNECTORS PROGRAM	30,000
STEPPING STONES CENTER FOR RECOVERY 8889 CORPORATE SQUARE CT JACKSONVILLE, FL 32216	NONE	PUBLIC CHARITY	TO SUPPORT THE SENSORY NEEDS RESPITE AND SUPPORT PROGRAM	25,000
THE CHILDREN'S PROTECTIVE 1241 ELM STREET CINCINNATI, OH 45202	NONE	PUBLIC CHARITY	TO SUPPORT THE CARSON ELEMENTARY AFTER-SCHOOL PROGRAMS TO ADVANCE LITERACY & ACADEMIC SUCCESS	10,000
THE RESIDENT HOME CORPPORATION 3030 WEST FORK ROAD CINCINNATI, OH 45211	NONE	PUBLIC CHARITY	TO SUPPORT THE SANCTUARY COLLABORATIVE	25,000
TRANSITIONS INC 211 E 12TH STREET COVINGTON, KY 45242	NONE	PUBLIC CHARITY	TO SUPPORT THE HEALTHY BABIES PROJECT	10,000
URBAN LEAGUE OF GREATER CINCINNATI INC 3458 READING ROAD CINCINNATI, OH 45229	NONE	PUBLIC CHARITY	TO SUPPORT THE AFTER SCHOOL LEAGUE PROGRAM	25,000
Total  3a				857,564

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION</div>	<div>Employer identification number</div> <div>31-1411794</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)() (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
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Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION	Employer identification number 31-1411794
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	DANIEL A PFAU TRUST 8305 OLD HICKORY DR CINCINNATI, OH 452431440	\$ 5,005,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION	Employer identification number 31-1411794
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION	Employer identification number 31-1411794
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

**TY 2013 All Other Program
Related Investments Schedule**

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION
EIN: 31-1411794

Category	Amount
N/A	0

TY 2013 Explanation of Non-Filing with Attorney General Statement

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Statement: THIS TRUST DOES NOT PROVIDE A COPY OF FORM 990-PF TO THE OHIO ATTORNEY GENERAL BECAUSE, IN LIEU THEREOF, IT IS REQUIRED TO FILE AN ON-LINE ANNUAL REPORT AT WWW.OHIOATTORNEYGENERAL.GOV.

**TY 2013 Investments Corporate
Bonds Schedule**

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION
EIN: 31-1411794

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	1,605,243	1,704,938

TY 2013 Investments Corporate Stock Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCKS	4,223,938	6,485,552

**TY 2013 Investments Government
Obligations Schedule****Name:** DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION**EIN:** 31-1411794**US Government Securities - End of
Year Book Value:**

154,650

**US Government Securities - End of
Year Fair Market Value:**

173,151

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2013 Investments - Other Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS-EQUITY	AT COST	5,144,963	7,896,082
MUTUAL FUNDS - FIXED	AT COST	2,075,000	2,039,343

TY 2013 Other Expenses Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OHIO ATTORNEY GENERAL FILING FEE	200	0		200
GRANT FINANCIAL ANALYSIS	2,500	0		2,500
ADMINISTRATIVE SERVICES	37,274	0		37,274
PROCESSING FEES ON FOREIGN DIVIDENDS	118	118		0
FOUNDATION WEBSITE UPDATE	1,584	0		1,584

TY 2013 Other Income Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CLASS ACTIONS PROCEEDS	25		25

TY 2013 Substantial Contributors Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Name	Address
DANIEL PFAU TRUST	8305 OLD HICKORY DRIVE CINCINNATI, OH 452431440

TY 2013 Taxes Schedule

Name: DANIEL AND SUSAN PFAU CHARITABLE FOUNDATION

EIN: 31-1411794

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATED EXCISE TAX PAID	12,440	0		0
FOREIGN TAXES PAID	945	945		0
FEDERAL EXCISE TAX BALANCE DUE	6,766	0		0