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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HOWARD P ARNOLD FOUNDATION INC PNC BANK NA		A Employer identification number 31-1202969	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 609		B Telephone number (see instructions) (800) 622-8036	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 152309738		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 1,514,103		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	29,551	29,551		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	151,603			
	b Gross sales price for all assets on line 6a 496,964				
	7 Capital gain net income (from Part IV, line 2) . . .		151,603		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	13	13		
	12 Total. Add lines 1 through 11	181,167	181,167		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	12,527	6,263		6,264
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,271	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	13,808	6,263		6,264
	25 Contributions, gifts, grants paid	60,500			60,500
	26 Total expenses and disbursements. Add lines 24 and 25	74,308	6,263		66,764
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	106,859			
	b Net investment income (if negative, enter -0-)		174,904		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	42,076	39,066	39,066
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	626,546	723,191	977,455
	c	Investments—corporate bonds (attach schedule).	400,472	395,673	401,429
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	85,696	103,719	96,153
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,154,790	1,261,649	1,514,103
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,154,790	1,261,649	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,154,790	1,261,649	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,154,790	1,261,649	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,154,790
2	Enter amount from Part I, line 27a	2	106,859
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,261,649
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,261,649

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SALES OF PUBLICLY TRADED SECURITIES	P		
b	CAPITAL GAINS DIVIDENDS	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 477,412		345,361	132,051
b 19,552			19,552
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			132,051
b			19,552
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	151,603
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	65,490	1,334,876	0 049061
2011	59,479	1,321,754	0 045000
2010	56,160	1,243,804	0 045152
2009	67,058	1,121,222	0 059808
2008	71,495	1,335,290	0 053543

2 Total of line 1, column (d).	2	0 252564
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050513
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,413,769
5 Multiply line 4 by line 3.	5	71,414
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,749
7 Add lines 5 and 6.	7	73,163
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	66,764

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	3,498
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	3,498
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,498
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,200
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	1,200
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,298
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IN _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of PNC BANK NA Telephone no (800) 622-8036 Located at PO BOX 609 PITTSBURGH PA ZIP +4 152309738			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK NA PO BOX 94651 CLEVELAND, OH 441014651	TRUSTEE 2 00	11,327	0	0
LAWRENCE SHINE 111 E WAYNE STREET SUITE 800 FORT WAYNE, IN 46802	VICE PRES 2 00	600	0	0
MACLYN PARKER BAKER DANIELS 111 E WAYNE ST FORT WAYNE, IN 46802	SECRETARY 2 00	600	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See page 24 of the instructions.	
3 	0
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,435,298
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,435,298
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,435,298
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	21,529
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,413,769
6	Minimum investment return. Enter 5% of line 5.	6	70,688

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	70,688
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	3,498
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,498
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	67,190
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	67,190
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	67,190

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	66,764
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	66,764
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	66,764
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				67,190
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			61,089	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 66,764				
a Applied to 2012, but not more than line 2a			61,089	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				5,675
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				61,515
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JOCY MUYA PNC BANK NA
1900 EAST NINTH STREET
CLEVELAND, OH 44114
(216) 222-3226

b

The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST SHOULD INCLUDE THE PURPOSE FOR WHICH THE GRANT WILL BE USED AND A COPY OF THE ORGANIZATION'S IRS EXEMPTION LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CHARITABLE ORGANIZATIONS MUST BE SECTION 501(C)(3) OF THE IRS CODE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	60,500
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A HOPE CENTER PREGNANCY & RELATIONS RESOURCE 3630 HOBSON RD FORT WAYNE,IN 46815	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
ALLEN COUNTY EDUCATION PARTNERSHIP 709 CLAY ST STE 101 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
ALLEN COUNTYFT WAYNE HISTORICAL SOCIETY 302 EAST BERRY STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
ARTLINK INC 437 E BERRY STREET STE 202 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
ASSOCIATED CHURCHES OF FT WAYNEALLEN COUNTY 602 EAST WAYNE STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
MUSTARD SEED FURNITURE BK 3636 ILLINOIS RD FORT WAYNE,IN 46804	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
BOY SCOUTS OF AMERICA 8315 W JEFFERSON BLVD FORT WAYNE,IN 46804	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
BOYS & GIRLS CLUB OF FORT WAYNE 2609 FAIRFIELD AVENUE FORT WAYNE,IN 468071294	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
CANCER SERVICES OF ALLEN COUNTY 6316 MUTUAL DRIVE FORT WAYNE,IN 46825	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
CHILDREN'S HOPE INC 7922 WEST JEFFERSON BLVD FORT WAYNE,IN 46804	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
MENTAL HEALTH AMERICA ALLEN CTY 2200 LAKE AVENUE FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
COMMUNITY TRANSPORTATION NETWORK 2701 S COLISEUM BLVD STE 1315 FORT WAYNE,IN 46808	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
CRIME VICTIM CARE OF ALLEN COUNTY 2456 LAKE AVENUE FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
EASTER SEALS ARC OF NE INDIANA 4919 COLDWATER RD FORT WAYNE,IN 46825	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
EMBASSY THEATRE FOUNDATION 125 W JEFFERSON BLVD FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total  3a				60,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FORT WAYNE BALLET 324 PENN AVENUE FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
FORT WAYNE CHILDREN'S CHOIR 921 BARR ST STE 102 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
FORT WAYNE CIVIC THEATRE 303 EAST MAIN STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
CHILDREN'S AUTISM CENTER 10313 ABOITE CENTER RD FORT WAYNE,IN 46804	N/A	PUBLIC CHARITY	GENERAL SUPPORT	500
FORT WAYNE PHILHARMONIC ORCHESTRA 4901 FULLER DRIVE FORT WAYNE,IN 46835	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
HOMEBOUND MEALS 611 W BERRY ST FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
GIRL SCOUTS OF N INDIANA-MICHIANA 10008 DUPONT CIRCLE DRIVE EAST FORT WAYNE,IN 46825	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
GREATER FT WAYNE CHAMBER OF COMMERCE FOUNDATION 826 EWING STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
HAROLD W MCMILLEN CENTER FOR HEALTH EDUCATION 600 JIM KELLY BLVD FORT WAYNE,IN 468161146	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,500
HEADWATERS PARK ALLIANCE 110 W BERRY ST STE 1006 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,500
HEARCARE CONNECTION INC 5933 EAST STATE BLVD FORT WAYNE,IN 46815	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
JUNIOR ACHIEVEMENT OF 601 NOBLE DR FORT WAYNE,IN 46825	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
LEAGUE FOR THE BLINDDISABLED 5821 SOUTH ANTHONY BLVD FORT WAYNE,IN 46816	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
LIFELINE YOUTH & FAMILY SERVICES 7136 GETTYSBURG PIKE PO BOX 80487 FORT WAYNE,IN 468980487	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
LOVE IN DEED INC 3901 S WAYNE AVE STE 110 FORT WAYNE,IN 46807	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total  3a				60,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SCIENCE CENTRAL INC 1950 N CLINTON ST FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
MATTHEW 25 INC 413 E JEFFERSON BLVD FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,000
MARTIN LUTHER KING SCHOOL 6001 S ANTHONY BLVD FORT WAYNE,IN 46816	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
WOMEN'S BUREAU INC 2417 FAIRFIELD AVENUE FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
HEARTLAND CHAMBER CHORALE 1516 LEESBURG RD FORT WAYNE,IN 46808	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
RIVER GREENWAY CONSORTIUM 300 E AMIN STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
SCAN INC 500 W MAIN ST FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
SOUTHEAST YOUTH COUNCIL 123 MEYER DR PO BOX 236 MONROEVILLE,IN 46773	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
SUPER SHOT INC 709 CLAY ST STE 300 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,500
TEACH OUR CHILDREN FUND 3310 MALLARD COVE LANE FORT WAYNE,IN 46804	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
TURNSTONE CENTER FOR DISABLED CHILDREN AND ADULTS INC 3320 NORTH CLINTON STREET FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,500
CREATIVE SPORTS INC 1701 FREEMAN STREET FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
YMCA OF FORT WAYNE 347 W BERRY ST STE 500 FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
YWCA NORTHEAST INDIANA 1610 SPY RUN AVENUE FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
ERIN'S HOUSE FOR GRIEVING CHILDREN 5670 YMCA PARK DR FORT WAYNE,IN 46835	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total  3a				60,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EUELL A WILSON CENTER 1512 OXFORD ST FORT WAYNE,IN 46806	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
INTERFAITH HOSPITALITY NETWORK 2925 E STATE BLVD FORT WAYNE,IN 46805	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
WELLSPRING INTERFAITH SOCIAL SVC 1316 BROADWAY FORT WAYNE,IN 46802	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
AMERICAN NATIONAL RED CROSS 1212 E CALIFORNIA ROAD FORT WAYNE,IN 46895	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
FORT WAYNE PARK FOUNDATION PO BOX 609 PITTSBURGH,PA 15230	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total.  3a				60,500

**TY 2013 All Other Program
Related Investments Schedule**

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA
EIN: 31-1202969

Category	Amount
N/A	0

**TY 2013 Investments Corporate
Bonds Schedule**

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA
EIN: 31-1202969

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME	395,673	401,429

**TY 2013 Investments Corporate
Stock Schedule**

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA
EIN: 31-1202969

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	723,191	977,455

TY 2013 Investments - Other Schedule

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA

EIN: 31-1202969

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OTHER	AT COST	103,719	96,153

TY 2013 Other Expenses Schedule

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA

EIN: 31-1202969

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	10	0		0

TY 2013 Other Income Schedule

Name: HOWARD P ARNOLD FOUNDATION INC PNC BANK NA

EIN: 31-1202969

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PROCEEDS DUE ON CLASS ACTIONS	13	13	13

TY 2013 Taxes Schedule**Name:** HOWARD P ARNOLD FOUNDATION INC PNC BANK NA**EIN:** 31-1202969

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRIOR YEAR TAX BALANCE DUE	71	0		0
ESTIMATED TAX PAID	1,200	0		0