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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation MICHAEL T RIORDAN FAMILY FOUNDATION C/O MICHAEL T RIORDAN TRUSTEE % MICHAEL T RIORDAN		A Employer identification number 30-6000348	
Number and street (or P O box number if mail is not delivered to street address) W3563 MEREDITH LANE Suite		Room/suite	B Telephone number (see instructions) (920) 295-3365
City or town, state or province, country, and ZIP or foreign postal code GREEN LAKE, WI 549419650		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 10,412,903		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13	13		
	4 Dividends and interest from securities.	175,583	175,583		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	533,662			
	b Gross sales price for all assets on line 6a 2,829,224				
	7 Capital gain net income (from Part IV, line 2) . . .		533,662		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	709,258	709,258		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,883	2,442		2,441
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,790	3,535		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	57,535	57,535		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	70,208	63,512		2,441
	25 Contributions, gifts, grants paid	450,000			450,000
	26 Total expenses and disbursements. Add lines 24 and 25	520,208	63,512		452,441
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	189,050			
	b Net investment income (if negative, enter -0-)		645,746		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	361,914	235,169	235,169
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	8,179,333	8,538,170	10,177,734
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,541,247	8,773,339	10,412,903	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	8,541,247	8,773,339	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	8,541,247	8,773,339	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	8,541,247	8,773,339	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	8,541,247
2	Enter amount from Part I, line 27a	2	189,050
3	Other increases not included in line 2 (itemize) ▶ _____	3	43,042
4	Add lines 1, 2, and 3	4	8,773,339
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,773,339

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	533,662
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	453,414	9,379,833	0 048339
2011	445,487	9,485,020	0 046967
2010	405,598	9,026,205	0 044936
2009	546,147	8,148,643	0 067023
2008	628,429	10,925,609	0 057519

2	Total of line 1, column (d).	2	0 264784
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052957
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	9,918,841
5	Multiply line 4 by line 3.	5	525,272
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	6,457
7	Add lines 5 and 6.	7	531,729
8	Enter qualifying distributions from Part XII, line 4.	8	452,441

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	12,915
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	12,915
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	12,915
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,400
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	12,400
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	6
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	521
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation (2) On foundation managers			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?	9		No
	If "Yes," complete Part XIV			
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MICHAEL T RIORDAN Telephone no (920) 295-3366 Located at W3563 MEREDITH LANE GREEN LAKE WI ZIP+4 549419650			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL T RIORDAN	TRUSTEE	0	0	0
16127 FOREST OAKS DRIVE FORT MYERS, FL 33908	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,660,992
b	Average of monthly cash balances.	1b	408,897
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,069,889
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,069,889
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	151,048
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,918,841
6	Minimum investment return. Enter 5% of line 5.	6	495,942

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	495,942
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	12,915
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,915
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	483,027
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	483,027
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	483,027

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	452,441
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	452,441
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	452,441
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				483,027
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			446,958	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 452,441				
a Applied to 2012, but not more than line 2a			446,958	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				5,483
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				477,544
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	0			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MICHAEL T RIORDAN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	450,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
STATE STREET #AR0234 - SEE ATTACHED	P	2013-01-01	2013-12-31
STATE STREET #AR0234 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0234 - SEE ATTACHED	P	2011-01-01	2013-12-31
1162 232 SHS - FPA CRESCENT FUND	P	2013-01-02	2013-02-25
10,282 934 SHS - FPA CRESCENT FUND	P	2011-01-01	2013-02-25
STATE STREET #AR0237 - SEE ATTACHED	P	2013-01-01	2013-12-31
STATE STREET #AR0237 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0237 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0238 - SEE ATTACHED	P	2013-01-01	2013-12-31
STATE STREET #AR0238 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0238 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0241 - SEE ATTACHED	P	2013-01-01	2013-12-01
STATE STREET #AR0241 - SEE ATTACHED	P	2011-01-01	2013-12-31
STATE STREET #AR0241 - SEE ATTACHED	P	2011-01-01	2013-12-31
6 4 PUT ON SPX 12/21/13 @ 1300 00	P	2013-06-20	2013-12-27
9 6 PUT ON SPX 12/21/13 @ 1300 00	P	2012-01-02	2013-12-27
STATE STREET #AR4899 - SEE ATTACHED	P	2013-01-01	2013-12-31
USCI FUND - PTP 1256 LOSS	P	2013-01-01	2013-12-31
USCI FUND - PTP 1256 LOSS	P	2012-01-01	2013-12-31
CAPITAL GAIN DIVIDENDS	P		


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,277		31,698	12,579
39,312		22,354	16,958
128,241		68,489	59,752
34,019		33,228	791
300,981		284,645	16,336
168,227		145,583	22,845
76,206		62,347	13,892
228,158		81,444	146,714
97,445		87,927	9,518
38,118		29,905	8,716
164,893		85,939	78,954
93,998		81,502	12,496
54,875		46,411	8,478
23,977		16,269	7,708
0		10,138	-10,138
0		15,207	-15,207
1,224,571		1,202,147	38,609
			-2,906
			-4,359
			111,926


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,579
			16,958
			59,752
			791
			16,336
			22,644
			13,859
			146,714
			9,518
			8,213
			78,954
			12,496
			8,464
			7,708
			-10,138
			-15,207
			22,424


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST AGNES CHURCH 1501 CHICAGO ROAD CHICAGO HEIGHTS,IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	6,000
ST JAMES HOSPITAL 1423 CHICAGO ROAD CHICAGO HEIGHTS,IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	4,500
COMMONWEALTH MAGAZINE 476 RIVERSIDE DRIVE ROOM 405 NEWYORK,NY 10115	NONE	PC	TO FURTHER PROGRAM SERVICES	250
CATHOLIC CHARITIES OF ARCHDIOCESE OF CHICAGO 721 NORTH LASALLE CHICAGO,IL 60654	NONE	PC	TO FURTHER PROGRAM SERVICES	1,500
CATHOLIC CHARITIES DIOCESE OF VENICE PO BOX 2116 1000 PINEBROOK ROAD VENICE,FL 34285	NONE	PC	TO FURTHER PROGRAM SERVICES	1,000
OUR LADY OF LOURDES CATHOLIC CHURCH PARISH OFFICE 1307 LOURDES AVENUE DE PERE,WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	3,000
HOUSE OF HOPE 1660 CHRISTIANA STREET GREEN BAY,WI 54303	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
FREEDOM HOUSE MINISTRIES 2997 SAINT ANTHONY DRIVE GREEN BAY,WI 54311	NONE	PC	TO FURTHER PROGRAM SERVICES	20,000
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUNSET BLVD LOS ANGELES,CA 90027	NONE	PC	TO FURTHER PROGRAM SERVICES	40,000
GREEN LAKE ASSOCIATION 492 HILL STREET STE 105 PO BOX 364 GREEN LAKE,WI 54941	NONE	PC	TO FURTHER PROGRAM SERVICES	80
RIPON AREA UNITED WAY PO BOX 71 RIPON,WI 54971	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
BROWN COUNTY UNITED WAY PO BOX 1593 GREEN BAY,WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
HOPE HOSPICE 9470 HEALTH PARK CIRCLE FORT MYERS,FL 33908	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
RUTH HELF CHILDREN'S LEARNING CENTER HK PARTNERS LLC 7840 WHICKS STREET MILWAUKEE,WI 532191122	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
UNITY HOSPICE & PALLIATIVE CARE 2366 OAK RIDGE CIRCLE DE PERE,WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
Total  3a				450,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEW COMMUNITY CLINIC 622 BODART STREET GREEN BAY, WI 54301	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
MARIAN CATHOLIC HIGH SCHOOL 700 ASHLAND AVENUE CHICAGO HEIGHTS, IL 60411	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
CATHOLIC MEDICAL MISSION BOARD 10 WEST 17TH STREET NEW YORK, NY 100115765	NONE	PC	TO FURTHER PROGRAM SERVICES	750
CHICAGO PUBLIC RADIO NAVY PIER 848 EAST GRAND AVENUE CHICAGO, IL 60611	NONE	PC	TO FURTHER PROGRAM SERVICES	250
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE 2ND FLOOR NEW YORK, NY 10001	NONE	PC	TO FURTHER PROGRAM SERVICES	500
WGPU PUBLIC MEDIA 10501 FGCU BLVD SOUTH FORT MYERS, FL 339656565	NONE	PC	TO FURTHER PROGRAM SERVICES	250
GOLDEN HOUSE PO BOX 727 GREEN BAY, WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	15,000
LIFELINE FAMILY CENTER INC 907 SE 5TH AVENUE CAPE CORAL, FL 33990	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
NAMI FL 6216 TRAIL BOULEVARD BUILDING C NAPLES, FL 34108	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
NAMI OSHKOSH PO BOX 3303 OSHKOSH, WI 54903	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
SPECIAL OPERATIONS WARRIOR FOUNDATION PO BOX 13483 TAMPA, FL 33681	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
COMMUNITY PREGNANCY CLINICS PO BOX 7608 NAPLES, FL 34101	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
GREEN LAKE COUNTY AREA UNITED FUND PO BOX 203 BERLIN, WI 549230203	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
HARRY CHAPIN FOOD BANK 3760 FOWLER STREET FORT MYERS, FL 33901	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
CHILDREN'S HOSPITAL OF WISCONSIN FOUNDATION MAIL STOP 3050 PO BOX 1997 MILWAUKEE, WI 53201	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
Total  3a				450,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COOPER RIIS HEALING FARM 101 HEALING FARM LANE MILL SPRING, NC 28756	NONE	PC	TO FURTHER PROGRAM SERVICES	40,000
ST JOHN THE BAPTIST 1211 WEST MAIN STREET PRINCETON, WI 54968	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
OUR LADY OF LOURDES CATHOLIC SCHOOL 1305 LOURDES AVENUE DE PERE, WI 54115	NONE	PC	TO FURTHER PROGRAM SERVICES	27,000
THE CHILDREN'S HOSPITAL OF SW FLORIDA PO BOX 2218 FORT MEYERS, FL 33902	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
AFCAAM 3681 MICHIGAN AVE FORT MYERS, FL 33916	NONE	PC	TO FURTHER PROGRAM SERVICES	600
MOTHER BEAR CAN FOUNDATION FOR EXCELLENCE IN MENTAL 29100 SW TOWN CENTER LOOP W WILSONVILLE, OR 97070	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
THE KEY EVENT PO BOX 5391 DE PERE, WI 541155391	NONE	PC	TO FURTHER PROGRAM SERVICES	5,000
VNA HOSPICE HOUSE 901 37TH STREET VERO BEACH, FL 32960	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
ST JOHN THE EVANGELIST HOMELESS SHELTER PO BOX 1743 GREEN BAY, WI 54305	NONE	PC	TO FURTHER PROGRAM SERVICES	9,320
DIRECT RELIEF 27 S LA PATERA LANE SANTA BARBARA, CA 93117	NONE	PC	TO FURTHER PROGRAM SERVICES	40,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEWYORK, NY 10168	NONE	PC	TO FURTHER PROGRAM SERVICES	35,000
HOLLYWOOD SCHOOLHOUSE 1233 NORTH MCCADDEN PLACE LOS ANGELES, CA 90038	NONE	PC	TO FURTHER PROGRAM SERVICES	7,500
PEOPLE ASSISTING THE HOMELESS 340 N MADISON AVE LOS ANGELES, CA 90004	NONE	PC	TO FURTHER PROGRAM SERVICES	17,500
WOUNDED WARRIOR PROJECT PO BOX 758517 TOPEKA, KS 66675	NONE	PC	TO FURTHER PROGRAM SERVICES	10,000
Total  3a				450,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**TY 2013 Investments Corporate
Stock Schedule**

Name: MICHAEL T RIORDAN FAMILY FOUNDATION
C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STATE STREET - HARRIS	649,439	1,104,806
STATE STREET - SANDS	524,949	1,138,231
STATE STREET - ADVISORY	431,377	579,435
STATE STREET - MUTUAL FUND	5,998,136	6,292,169
STATE STREET - EAGLE	569,510	683,281
STATE STREET - GOOD HARBOR	364,759	379,812

TY 2013 Investments - Land Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

TY 2013 Land, Etc. Schedule**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

TY 2013 Other Expenses Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	55,203	55,203		
BANK FEES	71	71		
USCI FUND - PTP K-1 LOSS	2,261	2,261		

TY 2013 Other Increases Schedule

Name: MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Description	Amount
BOOK-TAX REPORTING DIFFERENCES	43,042

TY 2013 Taxes Schedule**Name:** MICHAEL T RIORDAN FAMILY FOUNDATION

C/O MICHAEL T RIORDAN TRUSTEE

EIN: 30-6000348

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	3,535	3,535		
FEDERAL EXCISE TAX	4,255			

2013 Tax Information Statement

Page 8 of 18

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0234
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 4)	(Box 15)	
Short Term Sales Reported on 1099-B									
001055102	AFLAC INC COM		AFL						
50.0000	11/13/2013	10/25/2013	3,280.27	3,312.71	0.00	-32.44	0.00	0.00	
026874784	AMERICAN INTL GROUP		AIG						
200.0000	11/13/2013	12/05/2012	9,608.33	6,782.96	0.00	2,825.37	0.00	0.00	
260003108	DOVER CORP COM		DOV						
100.0000	11/13/2013	11/15/2012	9,190.34	6,095.30	0.00	3,095.04	0.00	0.00	
H84989104	TE CONNECTIVITY LTD		TEL						
200.0000	11/13/2013	11/15/2012	10,272.72	6,810.00	0.00	3,462.72	0.00	0.00	
882508104	TEXAS INSTRUMENTS INC COM		TXN						
200.0000	08/06/2013	11/15/2012	7,945.90	5,798.00	0.00	2,147.90	0.00	0.00	
882508104	TEXAS INSTRUMENTS INC COM		TXN						
100.0000	08/06/2013	11/15/2012	3,979.43	2,899.00	0.00	1,080.43	0.00	0.00	
Total Short Term Sales Reported on 1099-B			44,276.99	31,697.97	0.00	12,579.02	0.00	0.00	

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 9 of 18

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0234

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
001055102	AFLAC INC COM		AFL						
25.0000	11/13/2013	05/02/2012	1,540.14	1,124.79	0.00	515.35	0.00	0.00	
057224107	BAKER HUGHES INC COM		BHI						
100.0000	11/13/2013	10/09/2012	5,720.90	4,491.12	0.00	1,229.78	0.00	0.00	
31428X106	FEDEX CORPORATION		FDX						
125.0000	11/13/2013	06/22/2012	16,814.60	11,303.73	0.00	5,510.87	0.00	0.00	
92826C839	VISA INC-CLASS A SHARES		V						
75.0000	10/25/2013	01/12/2011	15,136.00	5,434.48	0.00	9,701.52	0.00	0.00	
Total Long Term Sales Reported on 1099-B			39,311.64	22,354.12	0.00	16,957.52	0.00	0.00	

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 10 of 18

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0234
Recipient's Tax ID Number: 30-6000348
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Recipient's Name and Address:
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MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B											
038222105	APPLIED MATLS INC COM	AMAT	1000.0000	11/13/2013	02/10/2010	17,419.69	12,141.70	0.00	5,327.99	0.00	0.00
20030N101	COMCAST CORP-A	CMCSA	100.0000	11/13/2013	02/12/2010	4,979.66	1,534.25	0.00	3,441.41	0.00	0.00
25243Q205	DIAGEO PLC SPONSORED ADR NEW	DEO	100.0000	04/25/2013	07/07/2008	12,004.95	6,978.61	0.00	5,026.34	0.00	0.00
25243Q205	DIAGEO PLC SPONSORED ADR NEW	DEO	200.0000	07/12/2013	01/07/2009	24,117.08	11,618.88	0.00	12,498.20	0.00	0.00
53071M104	LIBERTY INTERACTIVE CORP	LINTA	300.0000	11/13/2013	08/11/2010	6,282.86	2,900.53	0.00	3,382.32	0.00	0.00
G5785G107	MALLINCKRODT PLC	MNK	75.0000	07/12/2013	Various	3,159.27	2,227.96	0.00	931.31	0.00	0.00
585055106	MEDTRONIC INC COM	MDT	50.0000	11/13/2013	04/10/2008	2,864.79	2,485.97	0.00	378.82	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 11 of 18

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0234
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

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MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
681904108	OMNICARE INC COM		OCR						
200.0000	07/12/2013	12/08/2009	9,875.56	4,629.90	0.00	5,245.66	0.00	0.00	
681904108	OMNICARE INC COM		OCR						
200.0000	08/30/2013	12/08/2009	10,955.80	4,629.90	0.00	6,325.90	0.00	0.00	
681904108	OMNICARE INC COM		OCR						
300.0000	08/30/2013	04/10/2008	10,374.97	6,078.44	0.00	10,346.53	0.00	0.00	
H84989104	TE CONNECTIVITY LTD		TEL						
50.0000	11/13/2013	09/18/2008	2,568.18	1,328.49	0.00	1,239.69	0.00	0.00	
882508104	TEXAS INSTRUMENTS INC COM		TXN						
400.0000	08/06/2013	06/19/2008	15,891.80	11,984.00	0.00	3,907.80	0.00	0.00	
Total Long Term Sales Reported on 1099-B			128,240.60	68,488.63	0.00	59,751.97	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

Page 8 of 17

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0235

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
Short Term Sales Reported on 1099-B									
30254T759	FPA CRESCENT FUND-I		FPACX						
1162.2320	02/25/2013	01/02/2013	34,018.53	33,228.21	0.00	790.32	0.00	0.00	
Total Short Term Sales Reported on 1099-B			34,018.53	33,228.21	0.00	790.32	0.00	0.00	

Report on Form 8949, Part I, with Box A checked

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2013 Tax Information Statement

Page 9 of 17

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0235
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
Long Term Sales Reported on 1099-B									
30254T759	FPA CRESCENT FUND-I		FPACX						
10282.9340	02/25/2013	Various	300,981.47	284,644.97	0.00	16,336.50	0.00	0.00	
Total Long Term Sales Reported on 1099-B			300,981.47	284,644.97	0.00	16,336.50	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

Page 8 of 26

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0237
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc.. less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 1c)	
Short Term Sales Reported on 1099-B									
015351109	ALEXION PHARMACEUTICALS INC		ALXN						
25.0000	08/06/2013	11/15/2012	2,879.30	2,178.25	0.00	701.05	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
10.0000	05/07/2013	10/02/2012	1,044.88	925.85	0.00	119.03	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
60.0000	05/23/2013	10/02/2012	5,834.39	5,555.10	0.00	327.79	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
70.0000	08/25/2013	Various	5,906.72	6,363.52	0.00	-456.80	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
35.0000	10/22/2013	11/15/2012	3,209.21	3,080.00	0.00	129.21	0.00	0.00	
023135106	AMAZON COM INC COM		AMZN						
5.0000	05/07/2013	11/15/2012	1,290.10	1,108.40	0.00	181.70	0.00	0.00	
023135106	AMAZON COM INC COM		AMZN						
15.0000	08/06/2013	11/15/2012	4,482.60	3,325.20	0.00	1,157.40	0.00	0.00	
037833100	APPLE COMPUTER INC COM		AAPL						
12.0000	02/07/2013	11/15/2012	5,493.47	6,323.40	0.00	-829.93	0.00	0.00	

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2013 Tax Information Statement

Page 9 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 1b)
042068106	ARM HLDGS PLC SPONSORED ADR		ARMH					
95.0000	11/13/2013	02/07/2013	4,231.22	4,179.55	0.00	51.67	0.00	0.00
052752108	BAIDU INC ADR		BIDU					
45.0000	11/13/2013	08/06/2013	6,805.97	6,025.40	0.00	780.57	0.00	0.00
09062X103	BIOGEN IDEC INC		BIIB					
20.0000	11/13/2013	04/05/2013	4,674.11	3,863.08	0.00	811.03	0.00	0.00
09061G101	BIOMARIN PHARMACEUTICAL INC		BMRN					
45.0000	09/16/2013	11/16/2012	3,284.74	2,145.58	0.00	1,139.15	0.00	0.00
09061G101	BIOMARIN PHARMACEUTICAL INC		BMRN					
30.0000	11/13/2013	11/15/2012	1,920.26	1,430.40	0.00	489.86	0.00	0.00
169656105	CHIPOTLE MEXICAN GRILL INC-A		CMG					
17.0000	10/17/2013	Various	7,465.85	5,325.41	0.00	2,140.44	0.00	0.00
169656105	CHIPOTLE MEXICAN GRILL INC-A		CMG					
10.0000	11/13/2013	12/31/2012	5,344.62	2,935.88	0.00	2,408.74	0.00	0.00
189754104	COACH INC		COH					
185.0000	03/14/2013	Various	9,197.81	10,738.71	0.00	-1,540.90	0.00	0.00
315616102	FS NETWORKS INC		FFIV					
85.0000	07/17/2013	Various	6,856.74	7,401.29	0.00	544.55	0.00	0.00

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2013 Tax Information Statement

Page 10 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1a)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
30249U101	FMC TECHNOLOGIES INC		FTI					
35.0000	11/13/2013	11/15/2012	1,711.06	1,414.00	0.00	297.06	0.00	0.00
38259P508	GOOGLE INC-A		GOOG					
8.0000	07/05/2013	02/07/2013	7,157.86	6,139.55	0.00	1,018.31	0.00	0.00
38259P508	GOOGLE INC-A		GOOG					
6.0000	08/06/2013	Various	5,390.08	4,005.14	0.00	1,384.94	0.00	0.00
38259P508	GOOGLE INC-A		GOOG					
2.0000	11/13/2013	11/15/2012	2,036.96	1,295.08	0.00	741.88	0.00	0.00
45865V100	INTERCONTINENTAL EXCHANGE GROUP		ICE					
10.0000	01/14/2013	11/15/2012	1,283.62	1,286.00	0.00	7.62	0.00	0.00
46120E602	INTUITIVE SURGICAL INC		ISRG					
5.0000	11/13/2013	11/15/2012	1,964.76	2,615.75	0.00	-651.99	0.00	0.00
53578A108	LINKEDIN CORP-A		LNKD					
9.0000	11/13/2013	10/17/2013	1,951.52	2,152.76	201.24	0.00	0.00	0.00
61166W101	MONSANTO CO NEW		MON					
30.0000	11/13/2013	09/20/2013	3,247.44	3,181.27	0.00	66.17	0.00	0.00
637071101	NATIONAL OILWELL INC COM		NOV					
25.0000	11/13/2013	11/15/2012	2,054.37	1,756.38	0.00	297.99	0.00	0.00

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2013 Tax Information Statement

Page 11 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
654106103	NIKE INC CL B COM		NKE						
80.0000	11/13/2013	05/10/2013	6,171.09	5,171.49	0.00	999.60	0.00	0.00	
654106103	NIKE INC CL B COM		NKE						
30.0000	12/18/2013	05/10/2013	2,315.15	1,920.31	0.00	375.84	0.00	0.00	
74005P104	PRAXAIR INC COM		PX						
15.0000	08/05/2012	11/15/2012	1,798.94	1,581.75	0.00	217.19	0.00	0.00	
741503403	PRICELINE COM INC		PCLN						
7.0000	11/13/2013	02/07/2013	7,794.78	4,814.73	0.00	2,980.05	0.00	0.00	
747525103	QUALCOMM INC COM		QCOM						
70.0000	01/18/2013	11/15/2012	4,514.15	4,288.20	0.00	225.95	0.00	0.00	
75886F107	REGENERON PHARMACEUTICALS INC COM		REGN						
20.0000	02/20/2013	11/15/2012	3,184.03	2,645.40	0.00	338.63	0.00	0.00	
75886F107	REGENERON PHARMACEUTICALS INC COM		REGN						
10.0000	11/13/2013	05/10/2013	2,763.45	2,733.52	0.00	29.93	0.00	0.00	
79466L302	SALESFORCE COM INC		CRM						
25.0000	05/07/2013	11/15/2012	1,060.31	876.81	0.00	183.50	0.00	0.00	
79466L302	SALESFORCE COM INC		CRM						
95.0000	11/13/2013	11/15/2012	5,441.43	3,331.89	0.00	2,109.54	0.00	0.00	

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2013 Tax Information Statement

Page 12 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
806857108	SCHLUMBERGER LTD COM		SLB					
10 0000	08/01/2013	02/07/2013	832.62	780.38	0.00	52.24	0.00	0.00
806857108	SCHLUMBERGER LTD COM		SLB					
55.0000	11/13/2013	02/07/2013	5,070.83	4,292.10	0.00	778.73	0.00	0.00
808513105	SCHWAB CHARLES CORP NEW COM		SCHW					
55.0000	11/13/2013	08/06/2013	1,294.67	1,242.86	0.00	51.81	0.00	0.00
848637104	SPLUNK INC		SPLK					
45 0000	11/13/2013	10/29/2013	2,779.60	2,784.93	0.00	-5.33	0.00	0.00
955244109	STARBUCKS CORP COM		SBUX					
35 0000	08/06/2013	11/15/2012	2,550.76	1,696.45	0.00	854.31	0.00	0.00
50384S303	ULTA SALON COSMETIC & FRAGRANCE INC		ULTA					
35 0000	11/13/2013	07/05/2013	4,515.97	3,512.40	0.00	1,003.57	0.00	0.00
92826C839	VISA INC-CLASS A SHARES		V					
35.0000	08/06/2013	11/15/2012	6,399.39	4,857.30	0.00	1,542.09	0.00	0.00
92826C839	VISA INC-CLASS A SHARES		V					
15.0000	11/13/2013	11/15/2012	2,961.55	2,081.70	0.00	879.85	0.00	0.00
Total Short Term Sales Reported on 1099-B			168,226.88	145,583.18	201.24	22,844.94	0.00	0.00

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2013 Tax Information Statement

Page 13 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

023135106	AMAZON COM INC COM		AMZN						
10.0000	08/06/2013	03/08/2012	2,988.40	1,864.97	0.00	1,123.43	0.00	0.00	
023135106	AMAZON COM INC COM		AMZN						
5.0000	10/17/2013	03/08/2012	1,549.04	932.48	0.00	616.56	0.00	0.00	
N07059210	ASML HOLDING NV		ASML						
63.0000	11/13/2013	Various	5,499.80	3,551.56	0.00	1,948.24	0.00	0.00	
04685W103	ATHENAHEALTH INC		ATHN						
10.0000	11/13/2013	03/14/2012	1,323.37	764.15	0.00	559.22	0.00	0.00	
156782104	CERNER CORP COM		CERN						
110.0000	07/17/2013	07/11/2012	5,450.04	4,472.01	0.00	978.03	0.00	0.00	
156782104	CERNER CORP COM		CERN						
65.0000	11/13/2013	Various	3,652.78	2,576.24	0.00	1,076.54	0.00	0.00	
189754104	COACH INC		COH						
260.0000	03/14/2013	Various	12,926.65	15,860.50	0.00	2,933.85	0.00	0.00	

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2013 Tax Information Statement

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
315616102	FFIV	FFIV						
50.0000	07/17/2013	04/01/2011	4,033.37	4,741.67	0.00	-708.30	0.00	0.00
30303M102	FACEBOOK INC-A		FB					
145.0000	11/13/2013	05/17/2012	6,880.13	5,510.00	0.00	1,370.13	0.00	0.00
38259P508	GOOGLE INC-A		GOOG					
8.0000	11/13/2013	04/02/2012	8,147.86	5,140.35	0.00	3,007.51	0.00	0.00
517834107	LAS VEGAS SANDS CORP		LVS					
15.0000	05/01/2013	07/31/2012	854.68	845.67	0.00	308.01	0.00	0.00
517834107	LAS VEGAS SANDS CORP		LVS					
6.0000	11/13/2013	07/31/2012	428.30	217.27	0.00	211.03	0.00	0.00
654106103	NIKE INC CL B COM		NKE					
40.0000	12/18/2013	11/15/2012	3,086.86	1,806.60	0.00	1,280.26	0.00	0.00
74005P104	PRAXAIR INC COM		PX					
84.0000	08/06/2013	Various	10,074.07	9,311.07	0.00	763.00	0.00	0.00
75286F107	REGENERON PHARMACEUTICALS INC COM		REGN					
45.0000	02/20/2013	Various	7,164.07	2,872.91	0.00	4,291.16	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM		SWN					
60.0000	11/13/2013	08/18/2011	2,146.16	2,179.38	33.22	0.00	0.00	0.00
Total Long Term Sales Reported on 1039-B			76,205.58	62,346.83	33.22	13,891.97	0.00	0.00

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2013 Tax Information Statement

Page 15 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 3)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1a)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 1b)

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 16 of 26

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0237
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 3)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
Long Term Sales Reported on 1099-B									
015351109	ALEXION PHARMACEUTICALS INC		ALXN						
10.0000	08/06/2013	10/06/2010	1,151.72	334.37	0.00	817.35	0.00	0.00	
015351109	ALEXION PHARMACEUTICALS INC		ALXN						
35.0000	11/13/2013	10/06/2010	4,002.50	1,170.29	0.00	2,832.21	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
95.0000	10/22/2013	10/09/2002	8,710.71	2,644.92	0.00	6,065.79	0.00	0.00	
018490102	ALLERGAN INC COM		AGN						
160.0000	11/08/2013	10/09/2002	14,327.43	4,454.60	0.00	9,872.83	0.00	0.00	
023135106	AMAZON COM INC COM		AMZN						
35.0000	10/17/2013	03/13/2008	10,843.31	2,273.54	0.00	8,569.77	0.00	0.00	
023135106	AMAZON COM INC COM		AMZN						
25.0000	11/13/2013	03/13/2008	8,756.26	1,623.98	0.00	7,132.28	0.00	0.00	
037633100	APPLE COMPUTER INC COM		AAPL						
23.0000	02/07/2013	08/24/2006	10,529.15	1,555.72	0.00	8,973.43	0.00	0.00	

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2013 Tax Information Statement

Page 17 of 26

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0237

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
037833100	APPLE COMPUTER INC COM		AAPL						
10.0000	02/22/2013	08/24/2006	4,476.22	876.40	0.00	3,799.82	0.00	0.00	
037833100	APPLE COMPUTER INC COM		AAPL						
20.0000	03/25/2013	08/24/2006	9,306.50	1,352.80	0.00	7,953.70	0.00	0.00	
037833100	APPLE COMPUTER INC COM		AAPL						
45.0000	04/03/2013	08/24/2006	19,426.27	3,043.80	0.00	16,382.47	0.00	0.00	
037833100	APPLE COMPUTER INC COM		AAPL						
27.0000	04/05/2013	08/24/2006	11,402.62	1,826.28	0.00	9,576.34	0.00	0.00	
315616102	F5 NETWORKS INC		FFIV						
125.0000	07/17/2013	Various	10,083.43	12,527.20	0.00	-2,443.77	0.00	0.00	
30249U101	FMC TECHNOLOGIES INC		FTI						
25.0000	11/13/2013	11/03/2006	1,222.18	448.28	0.00	773.90	0.00	0.00	
45865V100	INTERCONTINENTAL EXCHANGE GROUP		ICE						
25.0000	01/14/2013	07/12/2006	3,234.06	1,450.25	0.00	1,783.81	0.00	0.00	
45865V100	INTERCONTINENTAL EXCHANGE GROUP		ICE						
130.0000	01/31/2013	07/12/2006	18,063.73	7,541.30	0.00	10,522.43	0.00	0.00	
517834107	LAS VEGAS SANDS CORP		LVS						
49.0000	11/13/2013	11/10/2008	3,497.74	245.35	0.00	3,252.39	0.00	0.00	
637071101	NATIONAL-OIL WELL INC COM		NOV						
20.0000	11/13/2013	01/22/2009	1,643.49	471.78	0.00	1,171.71	0.00	0.00	

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2013 Tax Information Statement

Page 18 of 26

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0237
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 1f)	
654106103	NIKE INC CL B COM		NKE						
35.0000	12/18/2013	06/03/2009	2,701.01	1,006.64	0.00	1,694.37	0.00	0.00	
74005P104	PRAXAIR INC COM		PX						
146.0000	08/06/2013	08/23/2010	17,509.69	12,878.77	0.00	4,630.92	0.00	0.00	
747525103	QUALCOMM INC COM		QCOM						
155.0000	01/18/2013	12/26/2008	9,995.61	5,348.08	0.00	4,647.53	0.00	0.00	
747525103	QUALCOMM INC COM		QCOM						
295.0000	05/10/2013	12/26/2008	18,988.40	10,178.59	0.00	8,809.81	0.00	0.00	
79466L302	SALESFORCE COM INC		CRM						
95.0000	11/13/2013	02/01/2007	5,441.43	1,077.41	0.00	4,364.02	0.00	0.00	
79466L302	SALESFORCE COM INC		CRM						
105.0000	12/18/2013	02/01/2007	5,434.74	1,190.82	0.00	4,243.92	0.00	0.00	
845467109	SOUTHWESTERN ENERGY CO COM		SWN						
35.0000	11/13/2013	12/15/2010	1,251.93	1,238.76	0.00	15.17	0.00	0.00	
855244109	STARBUCKS CORP COM		SBUX						
80.0000	08/06/2013	07/24/2002	5,830.31	830.37	0.00	4,999.94	0.00	0.00	
855244109	STARBUCKS CORP COM		SBUX						
40.0000	11/13/2013	07/24/2002	3,225.94	415.19	0.00	2,810.75	0.00	0.00	
855244109	STARBUCKS CORP COM		SBUX						
40.0000	12/18/2013	07/24/2002	3,052.14	415.19	0.00	2,636.95	0.00	0.00	

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2013 Tax Information Statement

Page 19 of 26

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0237
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 1)	(Box 15)	
92826C839	VISA INC-CLASS A SHARES		V						
35.0000	11/13/2013	Various	6,910.27	1,685.70	0.00	5,224.57	0.00	0.00	
92826C839	VISA INC-CLASS A SHARES		V						
35.0000	11/27/2013	03/18/2008	7,139.18	1,540.00	0.00	5,599.18	0.00	0.00	
Total Long Term Sales Reported on 1099-B			228,157.97	81,444.36	0.00	146,713.61	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

Page 8 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PC BOX 5300
BOSTON MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 14)	
Short Term Sales Reported on 1099-B									
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
13.0000	01/31/2013	11/15/2012	500.74	383.89	0.00	116.85	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
10.0000	02/04/2013	11/15/2012	395.22	295.30	0.00	99.92	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
52.0000	02/08/2013	11/15/2012	2,000.97	1,535.56	0.00	465.41	0.00	0.00	
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW						
10.0000	02/05/2013	02/09/2012	444.17	505.29	0.00	-61.12	0.00	0.00	
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW						
10.0000	02/05/2013	02/09/2012	446.47	505.29	0.00	-58.82	0.00	0.00	
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW						
10.0000	02/07/2013	02/09/2012	442.46	505.29	0.00	-62.83	0.00	0.00	
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW						
2.0000	02/13/2013	02/17/2012	91.47	91.91	0.00	-0.44	0.00	0.00	
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW						
38.0000	02/14/2013	02/17/2012	1,778.63	1,746.35	0.00	32.28	0.00	0.00	

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2013 Tax Information Statement

Page 9 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 6)	(Box 15)
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW					
60.0000	02/26/2013	Various	2,792.33	2,584.04	0.00	208.29	0.00	0.00
080555105	BELO A H CORP COM		BLC					
283.0000	06/13/2013	Various	3,854.90	3,208.31	0.00	646.62	0.00	0.00
080555105	BELO A H CORP COM		BLC					
367.0000	06/13/2013	Various	4,999.88	4,042.53	0.00	\$57.35	0.00	0.00
144577103	CARRIZO OIL & GAS INC		CRZO					
10.0000	07/11/2013	09/06/2012	309.18	275.92	0.00	33.26	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
50.0000	04/10/2013	Various	2,853.66	2,432.80	0.00	420.86	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
20.0000	05/03/2013	11/15/2012	1,187.39	949.00	0.00	238.39	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
15.0000	05/20/2013	11/15/2012	929.63	711.75	0.00	217.88	0.00	0.00
247850100	DELTIC TIMBER CORP CM		DEL					
10.0000	03/18/2013	11/30/2012	715.60	690.38	0.00	25.22	0.00	0.00
247850100	DELTIC TIMBER CORP CM		DEL					
30.0000	09/23/2013	11/30/2012	1,951.38	2,071.13	0.00	-119.75	0.00	0.00

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2013 Tax Information Statement

Page 10 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
257287102	DOMINION DIAMOND CORP		DDC						
30.0000	11/07/2013	02/13/2013	413.66	456.81	0.00	-43.15	0.00	0.00	
257287102	DOMINION DIAMOND CORP		DDC						
110.0000	11/11/2013	Various	1,497.16	1,665.66	0.00	-168.50	0.00	0.00	
257287102	DOMINION DIAMOND CORP		DDC						
72.0000	11/22/2013	01/22/2013	972.00	1,085.22	0.00	-113.22	0.00	0.00	
257287102	DOMINION DIAMOND CORP		DDC						
18.0000	11/22/2013	01/22/2013	243.69	271.31	0.00	27.62	0.00	0.00	
257287102	DOMINION DIAMOND CORP		DDC						
200.0000	12/04/2013	Various	2,685.13	3,009.97	0.00	-324.78	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
50.0000	03/22/2013	10/18/2012	1,126.57	898.02	0.00	228.55	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
50.0000	03/25/2013	10/18/2012	1,142.10	898.01	0.00	244.09	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
10.0000	03/26/2013	09/05/2012	229.34	169.81	0.00	60.03	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
30.0000	03/26/2013	09/05/2012	587.78	503.44	0.00	177.84	0.00	0.00	

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2013 Tax Information Statement

Page 11 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

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Payer's State ID Number:

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State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
377316104	GLATFELTER P H CO COM		GLT						
140.0000	04/19/2013	Various	3,164.96	2,358.02	0.00	806.94	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
130.0000	05/16/2013	Various	3,232.80	2,131.58	0.00	1,101.22	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
10.0000	06/27/2013	06/27/2012	252.06	160.81	0.00	91.25	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
16.0000	06/27/2013	06/27/2012	403.68	257.30	0.00	146.38	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
3.0000	08/26/2013	11/15/2012	78.97	46.83	0.00	32.14	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
37.0000	08/26/2013	11/15/2012	946.43	577.57	0.00	368.86	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
50.0000	09/11/2013	11/15/2012	1,296.48	780.50	0.00	515.98	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
5.0000	09/16/2013	11/15/2012	132.09	78.05	0.00	54.04	0.00	0.00	
46146P102	INVESTORS BANCORP INC		ISBC						
30.0000	02/14/2013	11/15/2012	547.18	481.20	0.00	65.98	0.00	0.00	

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2013 Tax Information Statement

Page 12 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 3)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
46146P102	INVESTORS BANCORP INC		ISBC					
10.0000	07/09/2013	11/15/2012	223.26	160.40	0.00	62.86	0.00	0.00
46146P102	INVESTORS BANCORP INC		ISBC					
15.0000	07/25/2013	11/15/2012	338.95	240.60	0.00	98.35	0.00	0.00
527288104	LEUCADIA NATL CORP COM		LUK					
30.7000	10/31/2013	11/30/2012	876.37	641.36	0.00	235.01	0.00	0.00
527288104	LEUCADIA NATL CORP COM		LUK					
80.0000	11/22/2013	11/30/2012	2,304.79	1,671.31	0.00	633.48	0.00	0.00
527288104	LEUCADIA NATL CORP COM		LUK					
108.0000	11/29/2013	11/30/2012	3,097.32	2,256.27	0.00	841.05	0.00	0.00
55264U108	MB FINANCIAL INC		MBFI					
5.0000	07/24/2013	02/20/2013	147.59	118.54	0.00	29.05	0.00	0.00
55264U108	MB FINANCIAL INC		MBFI					
2.0000	07/24/2013	02/20/2013	59.09	47.42	0.00	11.67	0.00	0.00
55264U108	MB FINANCIAL INC		MBFI					
23.0000	07/29/2013	02/20/2013	665.75	545.28	0.00	120.47	0.00	0.00
55264U108	MB FINANCIAL INC		MBFI					
10.0000	08/12/2013	02/20/2013	280.47	237.08	0.00	43.39	0.00	0.00

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2013 Tax Information Statement

Page 13 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
55264U108	MB FINANCIAL INC		MBFI						
10.0000	08/12/2013	02/20/2013	281.04	237.08	0.00	43.96	0.00	0.00	
55264U108	MB FINANCIAL INC		MBFI						
3.0000	08/14/2013	02/20/2013	85.85	71.12	0.00	14.73	0.00	0.00	
55264U108	MB FINANCIAL INC		MBFI						
67.0000	08/14/2013	Various	1,916.16	1,587.93	0.00	328.23	0.00	0.00	
55264U108	MB FINANCIAL INC		MBFI						
180.0000	09/06/2013	Various	4,914.64	4,198.40	0.00	716.24	0.00	0.00	
608554200	MOLEX INC CL A COM		MOLXA						
10.0000	05/15/2013	11/15/2012	246.72	210.00	0.00	36.72	0.00	0.00	
608554200	MOLEX INC CL A COM		MOLXA						
20.0000	05/15/2013	11/15/2012	495.74	420.00	0.00	75.74	0.00	0.00	
608554200	MOLEX INC CL A COM		MOLXA						
50.0000	05/16/2013	11/15/2012	1,250.84	1,050.00	0.00	200.84	0.00	0.00	
G67742109	ONEBEACON INSURANCE GROUP LTD-A		OB						
80.0000	01/24/2013	11/15/2012	1,113.02	1,049.76	0.00	63.26	0.00	0.00	
G67742109	ONEBEACON INSURANCE GROUP LTD-A		OB						
45.0000	01/31/2013	11/15/2012	615.47	590.49	0.00	24.98	0.00	0.00	

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2013 Tax Information Statement

Page 14 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
729132100	PLEXUS CORPORATION		PLXS					
20.0000	02/07/2013	03/10/2012	520.80	646.10	0.00	-125.30	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
10.0000	02/14/2013	09/10/2012	261.39	323.05	0.00	-61.66	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
10.0000	02/14/2013	09/10/2012	261.74	323.05	0.00	-61.31	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
10.0000	02/15/2013	09/10/2012	261.09	323.05	0.00	-61.96	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
10.0000	02/15/2013	09/10/2012	260.84	323.05	0.00	-62.21	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
30.0000	03/08/2013	Various	734.09	933.92	0.00	-199.83	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
60.0000	03/12/2013	Various	1,478.70	1,811.34	0.00	-332.64	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
30.0000	03/19/2013	Various	719.18	880.58	0.00	-161.40	0.00	0.00
729132100	PLEXUS CORPORATION		PLXS					
20.0000	03/19/2013	10/19/2012	479.38	582.18	0.00	-102.80	0.00	0.00

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2013 Tax Information Statement

Page 15 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
729132100	PLEXUS CORPORATION		PLXS						
10.0000	03/20/2013	10/19/2012	245.86	288.46	0.00	-42.60	0.00	0.00	
754730109	RAYMOND JAMES FINL INC COM		RJF						
30.0000	01/28/2013	01/31/2012	1,334.54	1,043.41	0.00	291.13	0.00	0.00	
806037107	SCANSOURCE INC COM		SCSC						
10.0000	04/10/2013	01/09/2013	272.14	332.85	0.00	-60.71	0.00	0.00	
806037107	SCANSOURCE INC COM		SCSC						
20.0000	04/10/2013	01/09/2013	544.73	665.70	0.00	-120.97	0.00	0.00	
806037107	SCANSOURCE INC COM		SCSC						
10.0000	04/15/2013	Various	270.19	330.19	0.00	-60.00	0.00	0.00	
806037107	SCANSOURCE INC COM		SCSC						
35.0000	04/18/2013	Various	013.36	1,108.23	0.00	-194.83	0.00	0.00	
865233106	SUSSEX HOLDINGS CORP		SUSX						
20.0000	11/26/2013	10/11/2013	1,278.74	1,061.76	0.00	216.98	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
50.0000	07/09/2013	Various	2,715.23	2,673.77	0.00	41.46	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
50.0000	07/24/2013	Various	2,910.16	2,285.87	0.00	624.29	0.00	0.00	

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2013 Tax Information Statement

Page 16 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

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State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/08/2013	11/14/2012	633.93	407.16	0.00	226.77	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/13/2013	11/15/2012	667.78	405.20	0.00	262.58	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/13/2013	11/15/2012	669.58	405.20	0.00	264.38	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/14/2013	Various	671.00	402.10	0.00	268.90	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/16/2013	09/12/2012	662.88	399.00	0.00	263.88	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	08/19/2013	09/12/2012	649.00	399.00	0.00	250.00	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
20.0000	09/06/2013	09/12/2012	1,285.70	798.01	0.00	487.69	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	09/06/2013	Various	642.36	395.93	0.00	246.43	0.00	0.00	
034164103	THE ANDERSONS INC		ANDE						
10.0000	09/10/2013	10/31/2012	673.16	392.88	0.00	280.28	0.00	0.00	

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2013 Tax Information Statement

Page 17 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
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State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

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MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
034164103	THE ANDERSONS INC		ANDE						
15.0000	10/15/2013	10/31/2012	1,037.08	589.26	0.00	447.80	0.00	0.00	
88830R101	TITAN MACHY INC		TITN						
200.0000	08/09/2013	Various	3,426.57	5,584.62	0.00	-2,158.05	0.00	0.00	
88830R101	TITAN MACHY INC		TITN						
100.0000	08/20/2013	Various	2,720.38	3,330.97	0.00	-610.59	0.00	0.00	
88830R101	TITAN MACHY INC		TITN						
1.0000	09/04/2013	10/31/2012	17.12	23.46	0.00	-6.36	0.00	0.00	
88830R101	TITAN MACHY INC		TITN						
15.0000	09/04/2013	10/31/2012	255.81	352.22	0.00	-96.41	0.00	0.00	
88830R101	TITAN MACHY INC		TITN						
124.0000	09/04/2013	Various	2,104.24	2,789.15	0.00	-684.89	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
40.0000	02/04/2013	11/15/2012	1,588.22	1,172.80	0.00	415.42	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
15.0000	02/12/2013	11/15/2012	616.57	439.80	0.00	176.77	0.00	0.00	
Total Short Term Sales Reported on 1099-B			97,444.86	87,927.26	0.00	9,517.60	0.00	0.00	

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2013 Tax Information Statement

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STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

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MICHAEL T. RIORDAN, TRUSTEE
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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW					
10.0000	02/13/2013	02/09/2012	457.33	505.29	0.00	-47.96	0.00	0.00
049164205	ATLAS AIR WORLDWIDE HOLDINGS INC		AAWW					
50.0000	02/26/2013	Various	2,326.95	2,258.86	0.00	68.09	0.00	0.00
053307103	AVNET INC COM		AVT					
50.0000	04/23/2013	12/13/2011	1,602.84	1,503.50	0.00	99.34	0.00	0.00
144577103	CARRIZO OIL & GAS INC		CRZO					
110.0000	07/11/2013	Various	3,400.98	3,456.66	0.00	-55.68	0.00	0.00
22662X100	CRIMSON WINE GROUP LTD		CWGL					
17.0000	04/13/2013	12/12/2011	150.78	110.11	0.00	40.67	0.00	0.00
247850100	DELTIC TIMBER CORP CM		DEL					
10.0000	09/23/2013	12/12/2011	650.46	576.30	0.00	74.16	0.00	0.00
344849104	FOOT LOCKER INC		FL					
30.0000	02/13/2013	02/28/2011	1,009.92	585.26	0.00	424.66	0.00	0.00

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STATE STREET BANK & TRUST COMPANY
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PO BOX 5300
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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 7)	(Box 15)	
377316104	GLATFELTER P H CO COM		GLT						
84.0000	07/02/2013	Various	2,162.44	1,337.36	0.00	825.08	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
10.0000	08/20/2013	06/07/2012	264.74	158.12	0.00	106.62	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
20.0000	08/26/2013	06/07/2012	524.47	316.24	0.00	208.23	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
30.0000	08/26/2013	06/07/2012	789.74	474.37	0.00	315.37	0.00	0.00	
377316104	GLATFELTER P H CO COM		GLT						
130.0000	09/16/2013	05/30/2012	3,434.38	1,996.22	0.00	1,438.16	0.00	0.00	
46121Y102	INTREPID POTASH INC		IPI						
80.0000	07/09/2013	04/27/2012	1,499.32	2,002.68	503.36	0.00	0.00	0.00	
527288104	LEUCADIA NATL CORP COM		LUK						
150.7000	06/10/2013	12/12/2011	4,417.36	3,309.63	0.00	1,107.73	0.00	0.00	
527288104	LEUCADIA NATL CORP COM		LUK						
19.3000	10/31/2013	12/12/2011	550.95	423.86	0.00	127.09	0.00	0.00	
576690101	MATERION CORP		576690101						
60.0000	05/20/2013	Various	1,694.65	1,692.33	0.00	2.32	0.00	0.00	

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2013 Tax Information Statement

Page 20 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
576690101	MATERION CORP		576690101					
50.0000	06/13/2013	01/24/2012	1,502.41	1,384.43	0.00	117.98	0.00	0.00
576690101	MATERION CORP		576690101					
40.0000	07/12/2013	Various	1,210.86	1,053.33	0.00	157.53	0.00	0.00
576690101	MATERION CORP		576690101					
50.0000	10/22/2013	03/07/2012	1,499.97	1,305.53	0.00	192.39	0.00	0.00
754730109	RAYMOND JAMES FINL INC COM		RJF					
30.0000	01/28/2013	11/18/2011	1,334.54	859.80	0.00	474.74	0.00	0.00
754730109	RAYMOND JAMES FINL INC COM		RJF					
20.0000	02/01/2013	11/18/2011	905.22	573.20	0.00	332.02	0.00	0.00
85254C305	STAGE STORES INC		SSI					
27.0000	07/07/2013	Various	650.49	433.97	0.00	216.52	0.00	0.00
034164103	THE ANDERSONS INC		ANDE					
25.0000	10/15/2013	10/03/2012	1,728.47	946.51	0.00	781.96	0.00	0.00
034164103	THE ANDERSONS INC		ANDE					
35.0000	10/21/2013	Various	2,507.14	1,310.80	0.00	1,196.34	0.00	0.00
91879C109	VAIL RESORTS INC COM		MTN					
10.0000	04/10/2013	01/26/2012	614.88	443.05	0.00	171.83	0.00	0.00

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2013 Tax Information Statement

Page 21 of 43

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

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Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 6)	
91879Q109	VAIL RESORTS INC COM		MTN						
20.0000	04/10/2013	01/26/2012	1,227.78	886.10	0.00	341.68	0.00	0.00	
Total Long Term Sales Reported on 1099-B			38,118.07	29,904.56	503.36	8,716.87	0.00	0.00	

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Page 22 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

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MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)						
Country Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)
Long Term Sales Reported on 1099-B								
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
25.0000	02/05/2013 09/03/2008		962.00	387.84	0.00	574.16	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
40.0000	02/19/2013 09/03/2008		1,670.10	620.54	0.00	1,049.56	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
6.0000	02/26/2013 09/03/2008		257.81	93.08	0.00	164.73	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
2.0000	02/26/2013 09/03/2008		86.06	31.03	0.00	55.03	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
10.0000	02/27/2013 09/03/2008		429.22	155.14	0.00	274.08	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
22.0000	02/27/2013 09/03/2008		945.42	341.50	0.00	604.12	0.00	0.00
02916P103	AMERICAN RAILCAR INDUSTRIES INC	ARII						
20.0000	03/11/2013 09/03/2008		912.22	310.27	0.00	601.95	0.00	0.00

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2013 Tax Information Statement

Page 23 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
10.0000	03/12/2013	09/03/2008	449.44	155.14	0.00	294.30	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
30.0000	03/19/2013	09/03/2008	1,330.32	465.41	0.00	864.91	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
30.0000	04/02/2013	09/03/2008	1,328.07	465.41	0.00	862.66	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
40.0000	04/10/2013	09/03/2008	1,720.71	620.54	0.00	1,100.17	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
15.0000	05/24/2013	09/03/2008	502.27	232.73	0.00	269.57	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
20.0000	05/24/2013	09/03/2008	667.84	310.27	0.00	357.57	0.00	0.00	
02916P103	AMERICAN RAILCAR INDUSTRIES INC		ARII						
80.0000	05/28/2013	09/03/2008	2,686.39	1,241.09	0.00	1,445.30	0.00	0.00	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
30.0000	02/12/2013	Various	2,263.71	1,124.71	0.00	1,139.00	0.00	0.00	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
10.0000	02/21/2013	02/17/2009	733.83	303.16	0.00	430.67	0.00	0.00	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
8.0000	02/25/2013	02/17/2009	587.24	242.53	0.00	344.71	0.00	0.00	

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2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
12.0000	02/26/2013	02/17/2009	870.09	363.79	0.00	506.30	0.00	0.00	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
30.0000	03/06/2013	02/17/2009	2,213.94	909.47	0.00	1,304.47	0.00	0.00	
032657207	ANALOGIC CORP COM PAR \$0.05		ALOG						
30.0000	03/19/2013	02/17/2009	2,329.25	909.47	0.00	1,419.78	0.00	0.00	
053807103	AVNET INC COM		AVT						
30.0000	04/24/2013	11/24/2008	984.56	409.99	0.00	574.57	0.00	0.00	
053807103	AVNET INC COM		AVT						
80.0000	04/30/2013	11/24/2008	2,584.16	1,093.31	0.00	1,490.85	0.00	0.00	
053807103	AVNET INC COM		AVT						
10.0000	05/03/2013	11/24/2008	327.94	136.66	0.00	191.28	0.00	0.00	
053807103	AVNET INC COM		AVT						
30.0000	05/03/2013	11/24/2008	983.18	409.99	0.00	573.19	0.00	0.00	
053807103	AVNET INC COM		AVT						
20.0000	05/08/2013	11/24/2008	666.62	273.33	0.00	393.29	0.00	0.00	
053807103	AVNET INC COM		AVT						
80.0000	05/20/2013	11/24/2008	2,700.35	1,093.32	0.00	1,607.03	0.00	0.00	
053807103	AVNET INC COM		AVT						
70.0000	05/23/2013	11/24/2008	2,360.36	956.65	0.00	1,403.71	0.00	0.00	

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2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 2)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)		(Box 2a)					
147528103	CASEYS GEN STORES INC COM		CASY					
5.0000	05/20/2013	04/03/2009	309.88	135.76	5.00	174.12	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
30.0000	07/27/2013	Various	2,052.25	803.40	0.00	1,248.85	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
2.0000	10/04/2013	03/25/2009	144.60	53.41	0.00	91.19	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
13.0000	10/04/2013	03/25/2009	937.12	347.17	0.00	589.95	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
8.0000	10/07/2013	03/25/2009	573.46	213.64	0.00	359.82	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
2.0000	10/08/2013	03/25/2009	143.44	53.41	0.00	90.03	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
2.0000	10/08/2013	03/25/2009	144.00	53.41	0.00	90.59	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
3.0000	10/10/2013	03/25/2009	217.54	80.12	0.00	137.42	0.00	0.00
147528103	CASEYS GEN STORES INC COM		CASY					
10.0000	10/10/2013	03/25/2009	733.08	267.05	0.00	466.03	0.00	0.00
168615102	CHICOS FAS INC COM		CHS					
80.0000	01/09/2012	11/03/2010	1,437.29	789.48	0.00	647.81	0.00	0.00

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2013 Tax Information Statement

Page 26 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
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W3563 MEREDITH LANE
GREEN LAKE, WI 54941

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 2a)								(Box 4)	(Box 15)
168615102	CHICOS FAS INC COM	CHS	120.0000	01/10/2013	Various	2,080.03	924.02	0.00	1,156.01	0.00	0.00
168615102	CHICOS FAS INC COM	CHS	20.0000	01/22/2013	04/13/2009	359.79	139.55	0.00	220.24	0.00	0.00
168615102	CHICOS FAS INC COM	CHS	110.0000	01/22/2013	04/13/2009	1,964.92	767.53	0.00	1,197.39	0.00	0.00
168615102	CHICOS FAS INC COM	CHS	130.0000	01/24/2013	04/13/2009	2,314.42	907.07	0.00	1,407.35	0.00	0.00
22662X100	CRIMSON WINE GROUP LTD	CWGL	15.0000	04/19/2013	Various	133.05	102.81	0.00	30.24	0.00	0.00
292562105	ENCORE WIRE CORP	WIRE	10.0000	03/20/2013	07/24/2008	344.75	189.72	0.00	155.03	0.00	0.00
292562105	ENCORE WIRE CORP	WIRE	10.0000	03/25/2013	07/24/2008	348.96	189.72	0.00	159.24	0.00	0.00
G3075P101	ENSTAR GROUP LTD	ESGR	10.0000	02/08/2013	06/09/2010	1,247.55	650.25	0.00	597.30	0.00	0.00
421906108	HEALTHCARE SVCS GROUP INC	HCSG	10.0000	01/14/2013	03/17/2006	241.69	90.83	0.00	150.86	0.00	0.00
421906108	HEALTHCARE SVCS GROUP INC	HCSG	20.0000	01/14/2013	03/17/2006	457.15	181.66	0.00	305.49	0.00	0.00

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2013 Tax Information Statement

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WMS TAX DEPARTMENT
PO BOX 5300
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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
60.0000	01/15/2013	03/17/2006	1,453.16	544.99	0.00	908.17	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
80.0000	01/24/2013	03/17/2006	1,962.02	726.65	0.00	1,235.37	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
50.0000	05/14/2013	03/17/2006	1,102.50	454.16	0.00	648.34	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
130.0000	07/22/2013	03/17/2006	3,250.80	1,180.80	0.00	2,070.00	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
15.0000	08/12/2013	03/17/2006	375.00	136.25	0.00	238.75	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
13.0000	08/12/2013	03/17/2006	324.93	118.08	0.00	206.85	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
82.0000	08/14/2013	03/17/2006	2,016.63	744.81	0.00	1,271.82	0.00	0.00	
421906108	HEALTHCARE SVCS GROUP INC		HCSG						
95.0000	09/05/2013	03/17/2006	2,328.55	862.90	0.00	1,465.65	0.00	0.00	
46146P102	INVESTORS BANCORP INC		ISBC						
265.0000	07/25/2013	03/17/2006	5,988.20	3,691.45	0.00	2,296.75	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
10.0000	01/10/2013	07/15/2010	646.96	372.94	0.00	274.02	0.00	0.00	

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2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 3)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
483007704	KAISER ALUMINUM CORP		KALU						
4.0000	01/28/2012	10/30/2008	250.25	123.39	0.00	126.86	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
10.0000	01/28/2013	10/30/2008	624.83	308.48	0.00	316.35	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
10.0000	02/05/2013	10/30/2008	620.28	308.48	0.00	311.80	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
6.0000	02/05/2013	10/30/2008	371.91	185.08	0.00	186.83	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
40.0000	02/12/2013	Various	2,533.42	1,214.21	0.00	1,319.21	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
40.0000	03/21/2013	11/11/2008	2,558.98	1,194.52	0.00	1,364.46	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
40.0000	04/05/2013	11/11/2008	2,468.34	1,194.53	0.00	1,273.81	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
40.0000	04/24/2013	Various	2,534.74	1,046.78	0.00	1,487.96	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
27.0000	04/29/2013	10/27/2008	1,705.55	673.33	0.00	1,032.22	0.00	0.00	
483007704	KAISER ALUMINUM CORP		KALU						
3.0000	04/30/2013	10/27/2008	188.93	74.82	0.00	114.11	0.00	0.00	

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2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
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MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
527288104	LEUCADIA NATL CORP COM		LUK						
.7000	03/04/2013	03/17/2006	18.12	19.64	0.00	1.52	0.00	0.00	
527288104	LEUCADIA NATL CORP COM		LUK						
59.3000	06/10/2013	03/17/2006	1,738.22	1,663.71	0.00	74.51	0.00	0.00	
527288104	LEUCADIA NATL CORP COM		LUK						
90.0000	11/29/2013	06/10/2010	2,581.10	1,806.74	0.00	775.36	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
6.0000	09/24/2013	03/17/2006	87.07	102.53	0.00	-15.46	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
94.0000	09/25/2013	03/17/2006	1,376.05	1,606.37	0.00	-230.32	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
110.0000	10/25/2013	03/17/2006	1,621.34	1,879.79	0.00	-258.45	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
100.0000	11/15/2013	Various	1,426.00	1,603.20	0.00	-179.20	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
10.0000	12/05/2013	09/08/2010	135.74	115.04	0.00	16.70	0.00	0.00	
566330106	MARCUS CORP COM		MCS						
5.0000	12/05/2013	09/08/2010	67.70	59.52	0.00	8.18	0.00	0.00	
58/118100	MENS WEARHOUSE INC COM		MW						
20.0000	02/05/2013	09/03/2008	595.84	458.23	0.00	137.61	0.00	0.00	

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2013 Tax Information Statement

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Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0238

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 5)
(Box 1e)	(Box 1c)	(Box 2a)									
587118100	MENS WEARHOUSE INC COM	MW	20.0000	02/05/2013	09/03/2008	596.01	458.23	0.00	137.78	0.00	0.00
587118100	MENS WEARHOUSE INC COM	MW	100.0000	02/12/2013	09/03/2008	2,928.19	2,291.15	0.00	635.04	0.00	0.00
587118100	MENS WEARHOUSE INC COM	MW	70.0000	02/20/2013	Various	2,048.36	1,576.65	0.00	471.71	0.00	0.00
587118100	MENS WEARHOUSE INC COM	MW	80.0000	03/04/2013	Various	2,226.70	1,593.54	0.00	633.16	0.00	0.00
608554200	MOLFX INC CL A COM	MOLXA	30.0000	05/16/2013	08/23/2010	750.50	486.83	0.00	263.67	0.00	0.00
608554200	MOLFX INC CL A COM	MOLXA	390.0000	10/01/2013	Various	14,925.04	5,216.74	0.00	9,708.30	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	20.0000	01/07/2013	08/11/2008	286.03	386.17	0.00	-100.14	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	20.0000	01/09/2013	08/11/2008	283.85	386.17	0.00	-102.32	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	20.0000	01/09/2013	08/11/2008	281.79	386.17	0.00	-104.38	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	30.0000	01/24/2013	08/11/2008	417.38	579.25	0.00	-161.87	0.00	0.00

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2013 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-6007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE WI 54941

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Cusip	Description (Box 2)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 2a)									
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	75.0000	01/31/2013	Various	1,025.79	854.72	0.00	171.07	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	10.0000	02/25/2013	Various	135.16	111.79	0.00	23.35	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	10.0000	02/25/2013	06/26/2009	135.23	111.59	0.00	23.64	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	20.0000	02/28/2013	06/26/2009	264.19	223.19	0.00	41.00	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	60.0000	02/28/2013	06/26/2009	793.16	669.56	0.00	123.50	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	20.0000	03/05/2013	06/26/2009	265.65	223.19	0.00	42.46	0.00	0.00
G67742109	ONEBEACON INSURANCE GROUP LTD-A	OB	225.0000	03/18/2013	06/26/2009	3,062.23	2,510.87	0.00	551.36	0.00	0.00
754730109	RAYMOND JAMES FINL INC COM	RJF	40.0000	02/01/2013	08/31/2009	1,210.43	910.10	0.00	300.33	0.00	0.00
76116A108	RESOLUTE ENERGY CORP	REN	80.0000	02/01/2013	09/16/2009	672.78	784.00	0.00	-111.22	0.00	0.00
76116A108	RESOLUTE ENERGY CORP	REN	120.0000	10/15/2013	09/16/2009	1,221.61	1,176.00	0.00	45.61	0.00	0.00

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2013 Tax Information Statement

Page 32 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-8000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
76116A108	RESOLUTE ENERGY CORP		REN						
44.0000	10/17/2013	09/16/2009	460.89	431.20	0.00	29.69	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
11.0000	10/17/2013	09/16/2009	115.88	107.80	0.00	8.08	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
32.0000	10/18/2013	09/16/2009	340.65	313.60	0.00	27.05	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
34.0000	10/18/2013	09/16/2009	363.45	333.20	0.00	30.25	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
49.0000	10/21/2013	09/16/2009	519.91	480.20	0.00	39.71	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
10.0000	10/24/2013	09/16/2009	96.04	98.00	0.00	-1.96	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
30.0000	10/24/2013	09/16/2009	291.82	294.00	0.00	-2.18	0.00	0.00	
76116A108	RESOLUTE ENERGY CORP		REN						
220.0000	10/31/2013	09/16/2009	2,044.97	2,156.00	0.00	-111.03	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
40.0000	01/08/2013	Various	904.26	651.02	0.00	253.24	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
24.0000	01/31/2013	12/13/2010	546.98	390.12	0.00	156.86	0.00	0.00	

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2013 Tax Information Statement

Page 33 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
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State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FOTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 2)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
85254C305	STAGE STORES INC		SSI						
18.0000	01/31/2013	12/13/2010	410.75	292.59	0.00	118.17	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
20.0000	02/01/2013	12/13/2010	458.32	325.10	0.00	133.22	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
28.0000	02/04/2013	12/13/2010	638.42	455.14	0.00	183.28	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
53.0000	02/07/2013	Various	1,276.30	766.72	0.00	510.18	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
30.0000	02/07/2013	11/09/2010	723.28	416.65	0.00	306.73	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
30.0000	03/07/2013	Various	791.37	409.99	0.00	381.38	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
40.0000	03/08/2013	Various	1,066.69	539.16	0.00	527.52	0.00	0.00	
85254C305	STAGE STORES INC		SSI						
30.0000	03/12/2013	Various	799.35	402.39	0.00	396.96	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
25.0000	02/12/2013	Various	1,027.62	440.42	0.00	587.20	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
20.0000	03/14/2013	09/10/2009	906.67	343.04	0.00	563.63	0.00	0.00	

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2013 Tax Information Statement

Page 34 of 43

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0238
Recipient's Tax ID Number: 30-6000348
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MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
896522109	TRINITY INDS INC COM		TRN						
30.0000	06/03/2013	09/10/2009	1,216.87	514.55	0.00	702.32	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
50.0000	08/12/2013	09/10/2009	2,037.52	857.59	0.00	1,179.93	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
26.0000	11/04/2013	09/10/2009	1,398.41	445.95	0.00	952.46	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
44.0000	11/05/2013	Various	2,337.22	742.59	0.00	1,594.63	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
70.0000	11/21/2013	09/08/2009	3,516.14	1,144.20	0.00	2,371.94	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
40.0000	11/22/2013	09/08/2009	2,038.83	653.83	0.00	1,385.00	0.00	0.00	
896522109	TRINITY INDS INC COM		TRN						
65.0000	12/02/2013	09/08/2009	3,384.47	1,062.48	0.00	2,321.99	0.00	0.00	
91879Q109	VAIL RESORTS INC COM		MTN						
50.0000	04/22/2013	07/21/2008	2,967.48	1,877.07	0.00	1,088.51	0.00	0.00	
91879Q109	VAIL RESORTS INC COM		MTN						
20.0000	05/22/2013	07/21/2008	1,320.65	751.19	0.00	569.46	0.00	0.00	
91879Q109	VAIL RESORTS INC COM		MTN						
20.0000	05/31/2013	07/21/2008	1,272.95	751.18	0.00	521.77	0.00	0.00	

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2013 Tax Information Statement

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STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
91879Q109	VAIL RESORTS INC COM		MTN						
10.0000	10/01/2013	07/21/2008	700.40	375.59	0.00	324.81	0.00	0.00	
91879Q109	VAIL RESORTS INC COM		MTN						
10.0000	10/01/2013	07/21/2008	693.33	375.59	0.00	317.74	0.00	0.00	
91879Q109	VAIL RESORTS INC COM		MTN						
20.0000	10/03/2013	07/21/2008	1,375.37	751.19	0.00	624.18	0.00	0.00	
Total Long Term Sales Reported on 1099-B			164,893.18	85,938.72	0.00	78,954.43	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 7 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
Short Term Sales Reported on 1099-B									
G05384105	ASPEN INSURANCE HOLDINGS LTD		AHL						
184 0000	03/08/2013	11/15/2012	6,745 42	5,493 61	0 00	1,251 81	0 00	0 00	
294821608	ERICSSON-SPONSORED ADR		ERIC						
512 0000	01/30/2013	08/02/2012	5,523 54	4,756 58	0 00	766 96	0 00	0 00	
294821608	ERICSSON-SPONSORED ADR		ERIC						
871 0000	01/31/2013	08/02/2012	10,121 66	8,091 76	0 00	2,029 90	0 00	0 00	
294821608	ERICSSON-SPONSORED ADR		ERIC						
356 0000	01/31/2013	Various	4,132 72	3,112 57	0 00	1,020 15	0 00	0 00	
294821608	ERICSSON-SPONSORED ADR		ERIC						
110 0000	02/01/2013	11/15/2012	1,333 18	944 86	0 00	388 32	0 00	0 00	
438128308	HONDA MTR LTD ADR NEW		HMC						
251 0000	03/08/2013	Various	9,673 64	7,700 65	0 00	1,972 99	0 00	0 00	
693483109	POSCO SPONSORED ADR		PKX						
7 0000	05/28/2013	08/02/2012	500 89	562 16	0 00	-61 27	0 00	0 00	
693483109	POSCO SPONSORED ADR		PKX						
23 0000	05/29/2013	08/02/2012	1,635 98	1,847 08	0 00	-211 10	0 00	0 00	

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2013 Tax Information Statement

Page 8 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed		Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis					
(Box 1e/)	(Box 1a/)	(Box 1b/)	(Box 2a/)	(Box 3/)	(Box 5/)		(Box 4/)	(Box 15/)	
693483109	POSCO SPONSORED ADR		PKX						
21 0000	05/30/2013	08/02/2012	1,501 97	1,686 47	0 00		-184 50	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
9 0000	05/30/2013	08/02/2012	644 17	722 77	0 00		-78 60	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
28 0000	05/31/2013	08/02/2012	1,988 61	2,248 62	0 00		-260 01	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
49 0000	06/03/2013	08/02/2012	3,518 84	3,935 09	0 00		-416 25	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
21 0000	06/04/2013	08/02/2012	1,509 23	1,686 46	0 00		-177 23	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
32 0000	06/05/2013	Various	2,281 57	2,512 11	0 00		-230 53	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
11 0000	06/06/2013	11/15/2012	785 42	803 99	0 00		-18 57	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
13 0000	06/10/2013	11/15/2012	914 33	950 17	0 00		-35 84	0 00	0 00
693483109	POSCO SPONSORED ADR		PKX						
15 0000	06/13/2013	11/15/2012	1,045 42	1,096 35	0 00		-50 93	0 00	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 9 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)							
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e/)	(Box 1a/)	(Box 1b/)	(Box 2a/)	(Box 3/)	(Box 5/)		(Box 4/)	(Box 15/)		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
0001	07/02/2013	06/27/2013	0 01	0 01	0 00	0 00	0 00	0 00		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
0003	09/30/2013	Various	0 03	0 03	0 00	0 00	0 00	0 00		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
0015	09/30/2013	Various	0 09	0 12	0 03	0 00	0 00	0 00		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
0039	12/27/2013	Various	0 32	0 32	0 00	0 00	0 00	0 00		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
0006	12/27/2013	Various	0 04	0 06	0 02	0 00	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
85 0000	09/03/2013	11/15/2012	3,959 96	3,512 45	0 00	447 51	0 00	0 00		
826197501	SIEMENS AG-ADR		SI							
39 0000	03/08/2013	11/15/2012	4,204 41	3,842 67	0 00	361 74	0 00	0 00		
826197501	SIEMENS AG-ADR		SI							
27 0000	05/28/2013	Various	2,892 19	2,602 40	0 00	289 79	0 00	0 00		
826197501	SIEMENS AG-ADR		SI							
57 0000	05/29/2013	08/02/2012	6,104 07	4,790 93	0 00	1,313 14	0 00	0 00		

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 10 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE , WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed		Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis					
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
826197501	SIEMENS AG-ADR		SI						
40 0000	05/30/2013	08/02/2012	4,324 17	3,362 06	0 00		962 11	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
25 0000	05/31/2013	08/02/2012	2,653 18	2,101 29	0 00		551 89	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
27 0000	06/03/2013	08/02/2012	2,880 56	2,269 39	0 00		611 17	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
27 0000	06/04/2013	08/02/2012	2,856 46	2,269 39	0 00		587 07	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
15 0000	06/05/2013	08/02/2012	1,586 19	1,260 77	0 00		325 42	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
5 0000	06/06/2013	08/02/2012	528 04	420 26	0 00		107 78	0 00	0 00
826197501	SIEMENS AG-ADR		SI						
3 0000	06/07/2013	08/02/2012	318 20	252 15	0 00		66 05	0 00	0 00
89151E109	TOTAL S A SPONSORED ADR		TOT						
110 0000	08/26/2013	11/15/2012	6,147 77	5,238 20	0 00		909 57	0 00	0 00
89151E109	TOTAL S A SPONSORED ADR		TOT						
30 0000	08/27/2013	11/15/2012	1,685 38	1,428 60	0 00		256 78	0 00	0 00

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2013 Tax Information Statement

Page 11 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE , WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
Total Short Term Sales Reported on 1099-B			93,997.66	81,502.40	0.05	12,495.32	0.00	0.00	

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

438128308	HONDA MTR LTD ADR NEW		HMC						
170 0000	11/06/2013	08/02/2012	6,798 40	5,142 50	0 00	1,655 90	0 00	0 00	
438128308	HONDA MTR LTD ADR NEW		HMC						
52 0000	11/07/2013	08/02/2012	2,048 82	1,573 00	0 00	475 82	0 00	0 00	
438128308	HONDA MTR LTD ADR NEW		HMC						
107 0000	11/08/2013	08/02/2012	4,215 17	3,236 75	0 00	978 42	0 00	0 00	
438128308	HONDA MTR LTD ADR NEW		HMC						
80 0000	11/11/2013	08/02/2012	3,178 19	2,420 00	0 00	758 19	0 00	0 00	
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A						
8539	04/03/2013	Various	55 18	62 27	7 09	0 00	0 00	0 00	
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A						
0535	07/02/2013	10/21/2011	3 41	3 93	0 52	0 00	0 00	0 00	
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A						
5959	09/30/2013	Various	39 30	43 75	4 45	0 00	0 00	0 00	

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2013 Tax Information Statement

Page 12 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)							
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e/)	(Box 1a/)	(Box 1b/)	(Box 2a/)	(Box 3/)	(Box 5/)		(Box 4/)	(Box 15/)		
780259206	ROYAL DUTCH SHELL PLC ADR A		RDS/A							
6758	12/27/2013	Various	47 95	49 48	1 53	0 00	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
11 0000	08/26/2013	08/02/2012	512 09	466 75	0 00	45 34	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
183 0000	08/27/2013	08/02/2012	8,420 84	7,765 00	0 00	655 84	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
97 0000	08/28/2013	08/02/2012	4,546 03	4,115 87	0 00	430 16	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
46 0000	08/29/2013	08/02/2012	2,158 20	1,951 86	0 00	206 34	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
25 0000	08/30/2013	08/02/2012	1,170 94	1,060 79	0 00	110 15	0 00	0 00		
803866300	SASOL LTD ADR		SSL							
22 0000	09/03/2013	08/02/2012	1,024 93	933 50	0 00	91 43	0 00	0 00		
89151E109	TOTAL S A SPONSORED ADR		TOT							
75 0000	08/26/2013	03/20/2012	4,191 66	4,151 97	0 00	39 69	0 00	0 00		
89151E109	TOTAL S A SPONSORED ADR		TOT							
81 0000	08/27/2013	08/02/2012	4,550 53	3,726 35	0 00	824 18	0 00	0 00		

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 13 of 22

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR0241

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Revised: 04/05/2014

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE , WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
89151E109	TOTAL S A SPONSORED ADR		TOT					
148 0000	08/28/2013	08/02/2012	8,421 08	6,808 64	0 00	1,612 44	0 00	0 00
89151E109	TOTAL S A SPONSORED ADR		TOT					
35 0000	08/29/2013	08/02/2012	1,954 07	1,610 15	0 00	343 92	0 00	0 00
89151E109	TOTAL S A SPONSORED ADR		TOT					
28 0000	09/03/2013	08/02/2012	1,538 50	1,288 12	0 00	250 38	0 00	0 00
Total Long Term Sales Reported on 1099-B			54,875.29	46,410.68	13.59	8,478.20	0.00	0.00

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 14 of 22

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR0241
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice
Revised: 04/05/2014

Recipient's Name and Address:
MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE , WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc , less commissions and option premiums

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)	
Long Term Sales Reported on 1099-B									
438128308	HONDA MTR LTD ADR NEW		HMC						
43 0000	11/11/2013	03/19/2004	1,708 28	966 21	0 00	742 07	0 00	0 00	
438128308	HONDA MTR LTD ADR NEW		HMC						
182 0000	11/12/2013	03/19/2004	7,263 10	4,089 54	0 00	3,173 56	0 00	0 00	
826197501	SIEMENS AG-ADR		SI						
50 0000	03/08/2013	07/28/2010	5,390 26	4,986 71	0 00	403 55	0 00	0 00	
89151E109	TOTAL S A SPONSORED ADR		TOT						
175 0000	09/03/2013	06/14/2002	9,615 65	6,226 09	0 00	3,389 56	0 00	0 00	
Total Long Term Sales Reported on 1099-B			23,977.29	16,268.55	0.00	7,708.74	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

MICHAEL T RIORDAN FAMILY FDN - OPTI AR1445

Schedule D Detail of Short-term Capital Gains and Losses

[illegible]

JSA
3F0971 1 000

MICHAEL T RIORDAN FAMILY FDN - OPTI AR1445
Schedule D Detail of Long-term Capital Gains and Losses

[illegible]

JSA
3F0970 1 000

2013 Tax Information Statement

Page 6 of 14

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: AR4899
Recipient's Tax ID Number: 30-6000348
Payer's Federal ID Number: 20-8007203
Payer's State ID Number: FL
State: FL
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
Short Term Sales Reported on 1099-B									
464288661	ISHARES 3-7 YEAR TREASURY BOND ETF		IEI						
764.0000	06/03/2013	05/01/2013	93,478.73	94,927.81	0.00	-1,449.08	0.00	0.00	
464288661	ISHARES 3-7 YEAR TREASURY BOND ETF		IEI						
1074.0000	08/01/2013	Various	129,313.96	131,185.71	0.00	-1,871.75	0.00	0.00	
464288661	ISHARES 3-7 YEAR TREASURY BOND ETF		IEI						
1055.0000	10/01/2013	09/03/2013	127,683.48	126,218.82	0.00	1,464.66	0.00	0.00	
464287200	ISHARES S & P 500 INDEX FUND		IVV						
83.0000	06/03/2013	Various	13,631.69	13,145.47	0.00	486.22	0.00	0.00	
464287200	ISHARES S & P 500 INDEX FUND		IVV						
643.0000	07/01/2013	03/01/2013	104,693.99	97,982.89	0.00	6,731.10	0.00	0.00	
464287507	ISHARES TR		IJH						
874.0000	09/03/2013	08/01/2013	103,669.01	105,748.04	6,079.03	0.00	0.00	0.00	
464287655	ISHARES TR RUSSELL 2000 INDEX FD		IWM						
1106.0000	05/01/2013	03/01/2013	102,442.82	100,477.99	0.00	1,964.83	0.00	0.00	
464287655	ISHARES TR RUSSELL 2000 INDEX FD		IWM						
55.0000	08/01/2013	Various	5,774.02	5,451.70	0.00	372.32	0.00	0.00	

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2013 Tax Information Statement

Page 7 of 14

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number:

AR4899

Recipient's Tax ID Number:

30-6000348

Payer's Federal ID Number:

20-8007203

Payer's State ID Number:

FL

State:

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 2)	(Box 5)		(Box 4)	(Box 15)
464287655	ISHARES TR RUSSELL 2000 INDEX FD		IWM					
1053.0000	11/01/2013	Various	114,318.98	103,102.74	0.00	11,216.24	0.00	0.00
74347R180	PROSHARES ULTRA 7-10 YEAR TR		UST					
478.9989	06/03/2013	05/01/2013	26,343.64	28,283.31	0.00	-1,939.67	0.00	0.00
74347R180	PROSHARES ULTRA 7-10 YEAR TR		UST					
772.0011	06/03/2013	05/01/2013	42,457.96	45,584.12	3,126.16	0.00	0.00	0.00
74347R180	PROSHARES ULTRA 7-10 YEAR TR		UST					
772.0000	08/01/2013	05/29/2013	39,208.96	43,423.86	0.00	-4,214.90	0.00	0.00
74347R180	PROSHARES ULTRA 7-10 YEAR TR		UST					
789.0000	10/01/2013	09/03/2013	40,781.89	39,201.39	0.00	1,580.50	0.00	0.00
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM					
1175.0000	05/01/2013	05/01/2013	64,407.28	67,875.50	0.00	-2,531.78	0.00	0.00
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM					
74.0000	07/01/2013	06/03/2013	4,571.80	4,516.81	0.00	54.99	0.00	0.00
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM					
33.0000	08/01/2013	06/03/2013	2,329.28	2,014.25	0.00	315.03	0.00	0.00
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM					
42.0000	09/03/2013	06/03/2013	2,760.67	2,563.60	0.00	197.07	0.00	0.00

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2013 Tax Information Statement

Page 8 of 14

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FL

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Questions?

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☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

MICHAEL T. RIORDAN FAMILY FDTN
MICHAEL T. RIORDAN, TRUSTEE
W3563 MEREDITH LANE
GREEN LAKE, WI 54941

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(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM						
64.0000	10/01/2013	06/03/2013	4 745.84	3,906.43	0.00	839.41	0.00	0.00	
74347R842	PROSHARES ULTRA RUSSELL 2000		UWM						
853.0000	11/01/2013	06/03/2013	84,218.75	52,065.42	0.00	12,153.33	0.00	0.00	
74347R107	PROSHARES ULTRA S & P 500		SSO						
161.0000	05/01/2013	03/01/2013	12,085.11	10,945.15	0.00	1,139.96	0.00	0.00	
74347R107	PROSHARES ULTRA S & P 500		SSO						
820.0000	07/01/2013	Various	64,182.11	56,536.72	0.00	7,645.39	0.00	0.00	
74347R404	ULTRA MIDCAP400 PROSHARES		MVV						
43.0003	09/03/2013	08/01/2013	4,270.00	4,803.10	0.00	533.10	0.00	0.00	
74347R404	ULTRA MIDCAP400 PROSHARES		MVV						
562.9897	09/03/2013	08/01/2013	55,906.86	62,886.70	6,979.84	0.00	0.00	0.00	
74347R404	ULTRA MIDCAP400 PROSHARES		MVV						
11.0000	11/01/2013	08/29/2013	1,284.33	1,369.15	0.00	-84.82	0.00	0.00	
Total Short Term Sales Reported on 1099-B			1,224,570.96	1,202,146.68	16,185.03	38,609.31	0.00	0.00	

Report on Form 8949, Part I, with Box A checked

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