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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

NEW YORK STATE HEALTH FOUNDATION

A Employer identification number

30-0127892

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

1385 BROADWAY, 23RD FLOOR

B Telephone number

212-664-7656

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10018-6013

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

(from Part II, col. (c), line 16)

\$ 287,629,473. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

305,000.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

3,322,903.

6,531,804.

STATEMENT 1

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

10,309,431.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 122,453,177.

7 Capital gain net income (from Part IV, line 2)

12,769,190.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

718,888.

59,407.

STATEMENT 2

12 Total. Add lines 1 through 11

14,656,222.

19,360,401.

13 Compensation of officers, directors, trustees, etc.

428,887.

0.

428,887.

14 Other employee salaries and wages

2,356,386.

322,339.

2,010,769.

15 Pension plans, employee benefits

691,586.

80,037.

610,762.

16a Legal fees

3,608.

0.

3,608.

b Accounting fees

32,175.

0.

31,875.

c Other professional fees

562,375.

480,293.

81,757.

17 Interest

18 Taxes

362,000.

165,242.

0.

19 Depreciation and depletion

113,304.

0.

20 Occupancy

660,708.

72,678.

562,657.

21 Travel, conferences, and meetings

114,560.

938.

116,910.

22 Printing and publications

29,607.

0.

26,212.

23 Other expenses

403,507.

136,200.

363,918.

24 Total operating and administrative expenses. Add lines 13 through 23

5,758,703.

1,257,727.

4,237,355.

25 Contributions, gifts, grants paid

8,684,303.

9,839,176.

26 Total expenses and disbursements. Add lines 24 and 25

14,443,006.

1,257,727.

14,076,531.

27 Subtract line 26 from line 12

213,216.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

18,102,674.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			640,396.	1,109,744.	1,109,744.
	2 Savings and temporary cash investments			1,029,658.	939,968.	939,968.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable				152,500.	152,500.
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			18,971.	9,442.	9,442.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other		STMT 8		276,527,553.	283,749,279.	283,749,279.
14 Land, buildings, and equipment basis ▶		877,494.				
Less: accumulated depreciation ▶		625,285.		308,210.	252,209.	252,209.
15 Other assets (describe ▶ STATEMENT 9)				1,669,840.	1,416,331.	1,416,331.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)				280,194,628.	287,629,473.	287,629,473.
17 Accounts payable and accrued expenses				336,539.	359,472.	
18 Grants payable				7,536,192.	5,720,841.	
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 10)			1,255,054.	1,462,468.	
23 Total liabilities (add lines 17 through 22)			9,127,785.	7,542,781.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒					
	24 Unrestricted			271,066,843.	280,086,692.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
29 Retained earnings, accumulated income, endowment, or other funds			271,066,843.	280,086,692.		
30 Total net assets or fund balances						
31 Total liabilities and net assets/fund balances			280,194,628.	287,629,473.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	271,066,843.
2 Enter amount from Part I, line 27a	2	213,216.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	8,986,360.
4 Add lines 1, 2, and 3	4	280,266,419.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	179,727.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	280,086,692.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 121,982,032.		120,804,247.	12,769,190.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			12,769,190.

2 Capital gain net income or (net capital loss)	2	12,769,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	16,008,078.	273,474,679.	.058536
2011	16,696,087.	278,449,597.	.059961
2010	16,593,248.	268,239,596.	.061860
2009	16,577,550.	265,726,299.	.062386
2008	13,858,855.	287,159,627.	.048262

2 Total of line 1, column (d)	2 .291005
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .058201
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4 276,157,862.
5 Multiply line 4 by line 3	5 16,072,664.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 181,027.
7 Add lines 5 and 6	7 16,253,691.
8 Enter qualifying distributions from Part XII, line 4.	8 14,133,834.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	362,053.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	362,053.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	362,053.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	548,248.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	548,248.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	626.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	185,569.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 185,569. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		X
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NYSHEALTH.ORG	13	X	
14	The books are in care of THE FOUNDATION Telephone no 212-664-7656 Located at 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY ZIP+4 10018-6013			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Attachment G

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		428,887.	20,263.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 28.00	321,339.	34,073.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT 40.00	267,501.	26,542.	0.
AMY DRIVER - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, FINANCE & OPER. 40.00	220,719.	16,554.	0.
JACQUELINE MARTINEZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	VICE PRESIDENT, PROGRAM 40.00	191,386.	23,396.	0.
MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, COMMUNICATIONS 40.00	175,342.	13,151.	0.
Total number of other employees paid over \$50,000				12

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BLACKROCK	INVESTMENT	
55 EAST 52ND STREET, NEW YORK, NY 10055	MANAGEMENT FEES	188,147.
WELLINGTON MANAGEMENT CO.	INVESTMENT	
280 CONGRESS STREET, BOSTON, MA 02210	MANAGEMENT FEES	113,959.
JP MORGAN	INVESTMENT CUSTODIAL	
1111 POLARIS PARKWAY, COLUMBUS, OH 43240	FEES	70,612.
SMITH GRAHAM - 6900 CHASE TOWERS, 600 TRAVIS,	INVESTMENT	
HOUSTON, TX 77002	MANAGEMENT FEES	56,418.
TYDAS INCORPORATED		
134 WEST 29TH STREET, NEW YORK, NY 10001	IT CONSULTANT	53,190.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 LEARNING AND EVALUATION - SEE ATTACHMENT D	
	682,675.
2 LEVERAGE - SEE ATTACHMENT D	
	214,173.
3 OUTREACH AND EDUCATION - SEE ATTACHMENT D	
	736,851.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	276,354,944.
b	Average of monthly cash balances	1b	4,008,368.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	280,363,312.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	280,363,312.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,205,450.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	276,157,862.
6	Minimum investment return. Enter 5% of line 5	6	13,807,893.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	13,807,893.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	362,053.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	362,053.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	13,445,840.
4	Recoveries of amounts treated as qualifying distributions	4	101,459.
5	Add lines 3 and 4	5	13,547,299.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	13,547,299.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	14,076,531.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	57,303.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	14,133,834.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	14,133,834.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				13,547,299.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,199,538.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 14,133,834.				
a Applied to 2012, but not more than line 2a			1,199,538.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	190,000.	Attachment H		
d Applied to 2013 distributable amount				12,744,296.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	190,000.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				803,003.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	190,000.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2013

(b) 2012

Prior 3 years

(c) 2011

(d) 2010

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JAMES KNICKMAN

1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018

b The form in which applications should be submitted and information and materials they should include

SEE WWW.NYSHEALTH.ORG

c Any submission deadlines

SEE WWW.NYSHEALTH.ORG

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE WWW.NYSHEALTH.ORG

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B				9,839,176.
Total			▶ 3a	9,839,176.
b Approved for future payment				
SEE ATTACHMENT C				5,720,841.
Total			▶ 3b	5,720,841.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Name of the organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
NEW YORK STATE HEALTH FOUNDATION	30-0127892

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALTMAN FOUNDATION 521 FIFTH AVENUE, 35TH FLOOR NEW YORK, NY 10175	\$ 115,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	THE NEW YORK COMMUNITY TRUST 909 THIRD AVENUE NEW YORK, NY 10022	\$ 115,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	ROBERT WOOD JOHNSON FOUNDATION ROUTE 1 & COLLEGE ROAD E. PO BOX 2316 PRINCETON, NJ 08543	\$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	2,374,993.	0.	2,374,993.	2,672,817.	
INTEREST	947,910.	0.	947,910.	3,858,987.	
TO PART I, LINE 4	3,322,903.	0.	3,322,903.	6,531,804.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	9,323.	9,323.	
OTHER K-1 INCOME	0.	50,084.	
GRANT REDUCTIONS	660,477.	0.	
RENTAL INCOME	49,088.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	718,888.	59,407.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	3,608.	0.		3,608.
TO FM 990-PF, PG 1, LN 16A	3,608.	0.		3,608.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	32,175.	0.		31,875.
TO FORM 990-PF, PG 1, LN 16B	32,175.	0.		31,875.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	130,582.	48,500.		81,757.
INVESTMENT MANAGEMENT	431,793.	431,793.		0.
TO FORM 990-PF, PG 1, LN 16C	562,375.	480,293.		81,757.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	362,000.	0.		0.
FOREIGN TAXES PAID	0.	165,242.		0.
TO FORM 990-PF, PG 1, LN 18	362,000.	165,242.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES & IT	207,885.	26,597.		168,013.
INSURANCE	50,458.	0.		50,443.
OUTREACH AND PUBLIC EVENTS	138,145.	0.		138,460.
MISCELLANEOUS	7,019.	0.		7,002.
OTHER EXPENSES THROUGH K-1	0.	109,603.		0.
TO FORM 990-PF, PG 1, LN 23	403,507.	136,200.		363,918.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	FMV	283,749,279.	283,749,279.
TOTAL TO FORM 990-PF, PART II, LINE 13		283,749,279.	283,749,279.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	670,701.	378,205.	378,205.
PREPAID FEDERAL EXCISE TAX	48,000.	186,000.	186,000.
PROGRAM RELATED INVESTMENTS	951,139.	849,680.	849,680.
DEPOSITS AND OTHER ASSETS	0.	2,446.	2,446.
TO FORM 990-PF, PART II, LINE 15	1,669,840.	1,416,331.	1,416,331.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	681,000.	861,000.
DEFERRED RENT	574,054.	601,468.
TOTAL TO FORM 990-PF, PART II, LINE 22	1,255,054.	1,462,468.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	428,887.	20,263.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LARAY BROWN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN T. LANE 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ANGELA DIAZ-TERM ENDED 3/6/13 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LUCY FRIEDMAN-TERM ENDED 2/7/13 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN PALMER-TERM ENDED 2/7/13 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
MARGARET I. CUOMO-TERM STARTED 2/7/13 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.

NEW YORK STATE HEALTH FOUNDATION

30-0127892

PAUL FRANCIS-TERM STARTED 2/7/13	BOARD MEMBER			
1385 BROADWAY, 23RD FLOOR	3.00	0.	0.	0.
NEW YORK, NY 10018				

MARC N GOUREVITCH-TERM STARTED 3/6/13	BOARD MEMBER			
1385 BROADWAY, 23RD FLOOR	3.00	0.	0.	0.
NEW YORK, NY 10018				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

428,887.	20,263.	0.
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New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part IV Capital Gains and Losses
December 31, 2013

Name of Security	Date Sold	No of Shares	Adjusted Cost	Sales Proceeds	Realized Gains (Losses)
7-10 year Treasury fund	1/15/2013	223.88	3,955.60	3,999.43	43.83
7-10 year Treasury fund	2/1/2013	3,197.31	56,491.32	57,090.86	599.54
7-10 year Treasury fund	2/1/2013	1,258,938.19	22,243,411.25	22,371,122.65	127,711.40
		1,262,359.38	22,303,858.17	22,432,212.94	128,354.77
7-10 year Treasury fund-Non Lending	3/20/2013	444,017.22	5,760,034.41	5,700,000.00	(60,034.41)
7-10 year Treasury fund-Non Lending	4/15/2013	168,699.42	2,188,461.21	2,200,000.00	11,538.79
7-10 year Treasury fund-Non Lending	4/30/2013	129,455.86	1,679,372.28	1,700,000.00	20,627.72
7-10 year Treasury fund-Non Lending	6/11/2013	233,914.43	3,034,466.02	3,000,000.00	(34,466.02)
7-10 year Treasury fund-Non Lending	6/25/2013	359,945.61	4,669,411.47	4,500,000.00	(169,411.47)
7-10 year Treasury fund-Non Lending	7/16/2013	414,299.71	5,374,522.60	5,129,064.80	(245,457.80)
7-10 year Treasury fund-Non Lending	7/16/2013	4,045.07	52,474.86	50,078.30	(2,396.56)
		1,754,377.32	22,758,742.84	22,279,143.10	(479,599.74)
Barclays Govt/Credit	1/15/2013	98.34	5,023.71	4,146.57	(877.14)
Barclays Govt/Credit	1/23/2013	31,223.38	1,595,049.26	1,316,994.75	(278,054.51)
Barclays Govt/Credit	1/23/2013	28,046.75	1,455,791.11	1,183,005.25	(272,785.86)
Barclays Govt/Credit	2/28/2013	46.17	2,396.49	1,950.05	(446.44)
Barclays Govt/Credit	3/28/2013	10,662.17	553,429.27	450,000.00	(103,429.27)
Barclays Govt/Credit	6/11/2013	47,597.55	2,470,592.50	2,000,000.00	(470,592.50)
Barclays Govt/Credit	6/25/2013	49,295.96	2,558,749.96	2,034,814.63	(523,935.33)
		166,970.32	8,641,032.32	6,990,911.25	(1,650,121.07)
BGI Russell 1000 Index Fund	1/14/2013	198.11	2,470.57	3,442.70	972.13
BGI Russell 1000 Index Fund	2/27/2013	263.36	3,284.27	4,761.36	1,477.09
BGI Russell 1000 Index Fund	7/24/2013	308.26	3,844.21	6,272.65	2,428.44
BGI Russell 1000 Index Fund	8/13/2013	391.39	4,880.89	8,014.82	3,133.93
BGI Russell 1000 Index Fund	12/5/2013	387.18	4,828.39	8,475.23	3,646.84
		1,548.30	19,308.32	30,966.76	11,658.44
Blackrock ACWI ex-US Index Fund	1/16/2013	846.47	17,288.64	19,572.04	2,283.40
Blackrock ACWI ex-US Index Fund	3/1/2013	910.21	18,590.49	20,761.30	2,170.81
Blackrock ACWI ex-US Index Fund	7/25/2013	929.73	18,989.17	22,080.95	3,091.78
Blackrock ACWI ex-US Index Fund	8/14/2013	926.42	18,921.57	22,175.32	3,253.75
Blackrock ACWI ex-US Index Fund	12/6/2013	890.47	18,187.31	22,577.78	4,390.47
		4,503.30	91,977.18	107,167.39	15,190.21
Blackrock Emerging Markets	1/16/2013	109.83	3,482.85	3,783.56	300.71
Blackrock Emerging Markets	3/1/2013	119.16	3,778.72	3,999.92	221.20
Blackrock Emerging Markets	7/24/2013	132.74	4,209.36	4,136.64	(72.72)
Blackrock Emerging Markets	8/14/2013	156.34	4,957.74	4,866.27	(91.47)
Blackrock Emerging Markets	12/6/2013	159.51	5,058.27	5,261.90	203.63
		677.58	21,486.93	22,048.29	561.36
Blackrock TIPS Fund	1/15/2013	107.02	2,174.58	2,336.17	161.59
Blackrock TIPS Fund	2/28/2013	108.55	2,205.67	2,364.79	159.12
Blackrock TIPS Fund	3/20/2013	75,782.28	1,539,851.88	1,648,251.29	108,399.41
Blackrock TIPS Fund	3/20/2013	79,626.53	1,614,774.90	1,731,863.06	117,088.16
Blackrock TIPS Fund	3/20/2013	914.29	18,588.69	19,885.65	1,296.96
Blackrock TIPS Fund	5/8/2013	80,222.00	1,631,016.87	1,749,901.94	118,885.07
Blackrock TIPS Fund	5/8/2013	89,857.22	1,857,509.25	1,960,077.33	102,568.08
Blackrock TIPS Fund	5/8/2013	6,419.07	133,486.71	140,020.73	6,534.02
Blackrock TIPS Fund	6/11/2013	84,512.18	1,757,459.04	1,781,727.47	24,268.43
Blackrock TIPS Fund	6/11/2013	57,785.98	1,197,703.48	1,218,272.53	20,569.05
Blackrock TIPS Fund	7/16/2013	35,213.83	729,860.88	714,934.91	(14,925.97)
Blackrock TIPS Fund	7/16/2013	103,596.68	2,191,470.35	2,103,289.62	(88,180.73)

		614,145.63	12,676,102.31	13,072,925.49	396,823.18
Wellington Global Natural Resources	1/31/2013	3,720.04	38,310.54	38,018.84	(291 70)
Wellington Global Natural Resources	4/30/2013	4,059.08	41,802.11	39,088.98	(2,713.13)
Wellington Global Natural Resources	7/31/2013	3,887.27	40,032.69	36,851.30	(3,181.39)
		11,666.40	120,145.34	113,959 12	(6,186 22)
10-20 Year Treasury	3/13/2013	25,000.00	3,313,997.50	3,282,526.45	-31,471.05
10-20 Year Treasury	4/22/2013	12,000.00	1,590,718.80	1,639,294 07	48,575.27
10-20 Year Treasury	4/22/2013	25,000.00	3,263,320 00	3,415,195 99	151,875.99
10-20 Year Treasury	5/8/2013	13,300.00	1,736,086 24	1,793,919.67	57,833.43
10-20 Year Treasury	5/8/2013	5,400.00	704,727.00	728,358.36	23,631.36
10-20 Year Treasury	5/8/2013	4,300.00	564,123.45	579,989.06	15,865.61
10-20 Year Treasury	6/3/2013	11,800.00	1,548,059.70	1,545,736.52	-2,323.18
10-20 Year Treasury	6/3/2013	16,900 00	2,203,760.00	2,213,809.08	10,049.08
10-20 Year Treasury	6/3/2013	1,300.00	170,579.50	170,293 01	-286.49
10-20 Year Treasury	6/24/2013	36,700.00	4,815,590.50	4,591,196 52	-224,393.98
			19,910,962.69	19,960,318 73	49,356.04
20 Year Treasury	5/8/2013	8,500.00	753,054.10	1,021,678.81	268,624 71
20 Year Treasury	6/4/2013	25,000.00	2,214,865.00	2,853,820.33	638,955 33
20 Year Treasury	6/5/2013	20,000 00	1,771,892.00	2,292,386 10	520,494.10
20 Year Treasury	6/11/2013	1,100.00	97,454.06	124,779.19	27,325.13
20 Year Treasury	6/11/2013	23,900 00	2,981,042.22	2,711,111 45	-269,930.77
20 Year Treasury	6/24/2013	31,100 00	3,879,096.78	3,363,207.42	-515,889.36
			11,697,404.16	12,366,983.30	669,579 14
Cliffs Natural Resources 5.8% 15-Mar-2020	2/13/2013	10,000 00	1,049,480 00	1,071,879.55	22,399.55
Comcast 4.65% 15-Jul-2042	11/25/2013	10,000.00	1,002,295.00	930,720.00	-71,575.00
CVS Caremark 5.75% 6/1/2017	1/16/2013	3,660.00	371,841 36	435,302.10	63,460.74
Dow Chemical 5.7% 5/15/2018	11/18/2013	7,130 00	650,598.24	812,149.78	161,551.54
Gold ETF	9/13/2013	72,500.00	789,609 10	923,720.90	134,111.80
Gold ETF	9/13/2013	47,500 00	530,480 00	605,196.45	74,716 45
Gold ETF	10/7/2013	56,500.00	630,992.00	724,876 71	93,884.71
Gold ETF	10/7/2013	58,500.00	665,019.23	750,536 07	85,516.84
Gold ETF	10/28/2013	45,500.00	517,237.18	596,872 24	79,635 06
Gold ETF	10/28/2013	30,500.00	338,971.21	400,101.18	61,129 97
			3,472,308 72	4,001,303.55	528,994 83
Home Depot 5 25% 12/16/2013	12/16/2013	10,000 00	1,037,780 00	1,000,000.00	-37,780.00
NASDAQ OMX Group 4% Nts 15-Jan-2015	2/13/2013	6,500.00	648,560.00	671,632 00	23,072 00
OCM High Yield	4/1/2013	86,187 41	6,600,000.00	6,852,682.70	252,682.70
OCM High Yield	4/1/2013	548.288	42,351.52	43,593.88	1,242 36
OCM High Yield	4/1/2013	1,619.49	129,712.63	128,764.86	-947.77
OCM High Yield	4/1/2013	2,278.37	179,020.69	181,150.96	2,130.27
OCM High Yield	4/1/2013	1,709.38	134,313.17	135,911.49	1,598.32
OCM High Yield	4/1/2013	1,699 98	135,299.59	135,164.10	-135.49
OCM High Yield	4/1/2013	1,685.51	132,757.45	134,013.60	1,256.15
OCM High Yield	4/1/2013	1,767.55	131,345.93	140,536 37	9,190.44
OCM High Yield	4/1/2013	1,743 04	131,506.03	138,587.13	7,081.10
OCM High Yield	4/1/2013	2,409 74	181,806.29	191,595.91	9,789.62
OCM High Yield	4/12/2013	1,153.28	87,011.16	91,696.40	4,685.24
OCM High Yield	4/12/2013	1,748.31	135,230.63	139,006.22	3,775.59
OCM High Yield	4/12/2013	1,715.37	133,174.54	136,388 51	3,213.97
OCM High Yield	4/12/2013	1,773.43	140,741.46	141,004.12	262.66
OCM High Yield	4/12/2013	2,762.73	216,685.47	219,662.46	2,976.99
OCM High Yield	4/12/2013	1,752.35	137,439.36	139,327 59	1,888.23
			8,648,395.92	8,949,086.30	300,690.38

Pentair 5% 15-May-2021	2/1/2013	10,000.00	1,000,464.00	1,103,410.00	102,946.00
Russell 1000	8/7/2013	12,000.00	815,737.20	1,130,706.72	314,969.52
Time Warner Cable 4.5% 15-SEP-2042	7/5/2013	1,750.00	176,237.25	130,460.75	-45,776.50
TIPS	1/2/2013	5,000.00	497,007.50	605,834.92	108,827.42
TIPS	5/8/2013	22,880.00	2,274,306.32	2,755,546.97	481,240.65
		.	2,771,313.82	3,361,381.89	590,068.07
Van Eck Global Hard Assets Fund	12/9/2013	20,652.62	918,215.60	1,000,000.00	81,784.40
Barclays Aggregate ETF Distribution	12/2/2013		-	7,363.00	7,363.00
TOTAL CAPITAL GAINS			<u>120,804,247.36</u>	<u>121,982,032.01</u>	<u>1,177,784.65</u>

Grants Paid FYE 2013													
Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Total Payment Amount	Primary Street	Primary City	Primary State/Province	Primary Zip/Postal Code
13-02433	AC Center, Inc.	AIDS Care / Trillium Health	170(B)(1)(a)(i)	PC	Non-Profit Organization Providing Healthy/Health Related Services	Bringing Health Insurance Enrollment to Rochester's LGBT Communities	\$115,000.00	13/19/2013	\$95,000.00	259 Monroe Ave	Rochester	NY	14607
13-02449	Action for a Better Community, Inc.	ABC	170(B)(1)(a)(i)	PC	Community Based Organization	Enroll Monroe	\$115,000.00	11/15/2013	\$95,000.00	550 East Main Street	Rochester	NY	14604-2322
13-02089	AIDS Community Resources, Inc.	ACH Health	170(B)(1)(a)(i)	PC	Community-Based Organization	New York Benefit Exchange Education and Enrollment Program (NYBEEP)	\$115,000.00	7/26/2013	\$95,000.00	627 West Genesee	Syracuse	NY	13204
13-02308	Allegany Western Seaboard Rural Health Network, Inc.	AWSRHN	170(B)(1)(a)(i)	PC	Community-Based Organization	Sailing Up the National Diabetes Prevention Program	\$15,000.00	12/10/2013	\$7,500.00	85 North Main Street	Wellsville	New York	14895
11-00310	American Cancer Society - Eastern Division (Albany)	ACS	Non Verifiable	PC	Community-Based Organization	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform	\$300,027.00	12/23/2013	\$75,000.00	19 Dove Street, Suite 103	Albany	NY	12210
11-02004	Anthony L Jordan Health Corporation	Anthony Jordan Health Center	170(B)(1)(a)(i)	PC	Community Health Center	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access	\$270,000.00	11/1/2013	\$67,500.00	82 Holland Street	Rochester	NY	14605
11-02004	Anthony L Jordan Health Corporation	Anthony Jordan Health Center	170(B)(1)(a)(i)	PC	Community Health Center	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access	\$270,000.00	4/24/2013	\$67,500.00	82 Holland Street	Rochester	NY	14605
13-02127	Asian American Accountable Care Organization	AAACO	170(B)(1)(a)(i)	NC	Community Based Organization in Partnership with Primary Care Provider(s)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	9/11/2013	\$14,000.00	41 Elizabeth Street, 6th Floor	New York	NY	10013
2970249	Associated Community Action of the Northeast Adirondack Region, Inc.	Smith House Health Care Center	170(B)(1)(a)(i)	PC	Community Health Center	Creating Primary Care Access in Danmorea	\$50,000.00	1/11/2013	\$10,000.00	39 Farrell Road	Willboro	NY	12996-3904
13-02095	Benson Strategy Group, LLC	BSG	170(B)(1)(a)(i)	NC	Consulting Firm	Oral Health Opinion Research	\$97,650.00	6/5/2013	\$48,825.00	777 3rd Avenue, 33 Floor	New York	New York	10017
13-02095	Benson Strategy Group, LLC	BSG	170(B)(1)(a)(i)	NC	Consulting Firm	Oral Health Opinion Research	\$97,650.00	9/1/2013	\$47,511.00	777 3rd Avenue, 33 Floor	New York	New York	10017
13-01810	Bridgspan Group	Bridgspan	170(B)(1)(a)(i)	PC	Consulting Firm	Scaling the Diabetes Prevention Program in the State of New York	\$266,100.00	5/15/2013	\$133,050.00	535 Boylston Street 10th Floor	Boston	MA	02116
13-01810	Bridgspan Group	Bridgspan	170(B)(1)(a)(i)	PC	Consulting Firm	Scaling the Diabetes Prevention Program in the State of New York	\$266,100.00	12/23/2013	\$133,050.00	535 Boylston Street 10th Floor	Boston	MA	02116
10-02193	Brooklyn Hospital Center	BHC	170(B)(1)(a)(i)	PC	Hospital	Meeting the Mark: Achieving Excellence in Diabetes Care	\$25,000.00	9/11/2013	\$20,000.00	121 Dekalb Avenue	Brooklyn	NY	11201
13-02357	Brooklyn Hospital Center	BHC	170(B)(1)(a)(i)	PC	Hospital	Meeting the Mark: Achieving Excellence in Diabetes Care	\$5,000.00	9/11/2013	\$5,000.00	121 Dekalb Avenue	Brooklyn	NY	11201
13-02423	Capital District Gay and Lesbian Community Council, Inc.	Pride Center of the Capital Region	170(B)(1)(a)(i)	PC	Non-Profit Organization Providing Healthy/Health Related Services	Capital Region LGBT Health Insurance Enrollment	\$115,000.00	10/17/2013	\$95,000.00	332 Hudson Avenue	Albany	NY	12210
13-02117	Catholic Charities of the Roman Catholic Diocese of Rochester	Our Catholic Family Center	170(B)(1)(a)(i)	PC	Community Based Organization	Train the Trainers: Meeting the Health Needs of Refugee Torture Survivors	\$40,000.00	8/5/2013	\$32,000.00	87 North Clinton Avenue	Rochester	NY	14604
12-01637	Center for Alternative Sentencing and Employment Services, Inc.	CASES	170(B)(1)(a)(i)	PC	Non-Profit Organization Providing Healthy/Health Related Services	Establishing a Mental Health Clinic for Criminal Justice-Involved Individuals	\$225,000.00	4/19/2013	\$180,000.00	346 Broadway, 3rd Floor	New York	NY	10013
13-02085	Center for Children's Initiatives	CCI	170(B)(1)(a)(i)	PC	Non-Profit Organization Providing Healthy/Health Related Services	Consumer Assistance Program	\$115,000.00	7/16/2013	\$95,000.00	322 Eighth Ave., 4th Floor	New York	New York	10001
12-01645	Center for Excellence in Health Care Journalism		170(B)(1)(a)(i)	PC	Other	2013 New York Health Journalism Fellowships	\$30,000.00	5/17/2013	\$5,000.00	10 NEH Hall	Columbia	MO	65211
11-00508	Center for Governmental Research, Inc.	CGR	509(A)(2)	PC	Research organization	The Future of Public Nursing Homes in New York State	\$179,808.00	10/29/2013	\$25,962.00	1 South Washington Street, Suite 400	Rochester	NY	14614
13-02203	Center for Health Care Strategies, Inc.	CHCS	170(B)(1)(a)(i)	PC	Other non-health organization (e.g. employers, schools)	Advancing Health Homes in New York	\$49,961.00	9/20/2013	\$39,968.80	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311
1305622	Center for Health Care Strategies, Inc.	CHCS	170(B)(1)(a)(i)	PC	Other non-health organization (e.g. employers, schools)	Identifying Best Practices in Recruitment and Engagement & Promoting Sustainability	\$322,563.00	4/4/2013	\$80,611.00	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311
12-01610	Center for Health Care Strategies, Inc.	CHCS	170(B)(1)(a)(i)	PC	Other non health organization (e.g. employers, schools)	New York State Health Homes Learning Collaborative	\$310,013.00	12/9/2013	\$103,347.00	200 American Metro Blvd, Suite 119	Hamilton	NJ	08619-2311
10-02379	Central Brooklyn Medical Group, PC	Preferred Health Partners	170(B)(1)(a)(i)	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$100,000.00	11/14/2013	\$70,000.00	1 MetroTech Center North Floor 9	Brooklyn	NY	11201
13-02088	Central New York Health Systems Agency, Inc.	HealthConnections Health Planning	170(B)(1)(a)(i)	PC	Other, fill in the field below	Planning for a Statewide, Integrated Practitioner Database	\$75,000.00	8/26/2013	\$60,000.00	109 South Warren Street, Suite 500	Syracuse	NY	13202
11-00550	Champlain Valley Health Network, Inc.	CVHN	170(B)(1)(a)(i)	NC	Primary Care Networks	Meeting the Mark: Achieving Excellence in Diabetes Care	\$40,000.00	1/3/2013	\$32,000.00	P.O. Box 2866	Plattsburgh	NY	12901
13-02092	Charles B. Wang Community Health Center, Inc.		509(A)(2)	PC	Community Health Center	Health Benefits Exchange - Chinese Outreach and Enrollment	\$115,000.00	7/26/2013	\$95,000.00	268 Canal Street	New York	New York	10013
13-02237	Chautauque County Health Network's, Inc	CCNH	170(B)(1)(a)(i)	PC	Community-Based Organizations	Sailing Up the National Diabetes Prevention Program	\$15,000.00	12/13/2013	\$7,500.00	200 Harrison Street, Suite 200	Jamestown	NY	14701
13-02208	Chinese Community Accountable Care Organization, Inc.	CCACO	170(B)(1)(a)(i)	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$52,500.00	10/1/2013	\$10,500.00	139 Centre Street, Suite 318	New York	NY	10013
11-00433	Chinese Community Accountable Care Organization, Inc.	CCACO	170(B)(1)(a)(i)	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$115,000.00	5/15/2013	\$92,000.00	139 Centre Street, Suite 318	New York	NY	10013

13-02037	Chinese Community Accountable Care Organization, Inc.	CCACO	170(B)(1)(a)(i)(I)	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$12,500.00	5/15/2013	\$12,500.00	139 Centre Street, Suite 318	New York	NY	10013
10-00701	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	Coalition of Behavioral Health Agencies, Inc.	170(B)(1)(a)(i)(I)	PC	Other	Reengineering the Business Model for Mental Health Clinics	\$350,456.00	10/17/2013	\$87,614.00	90 Broad Street, 8th Floor	New York	NY	10004
13-01782	Cobdenbeck LLP	J. H. Cobden, LLP	170(B)(1)(a)(i)(I)	NC	Other	Reengineering Business Model for Health Centers w/Disproportionate Shares of Uninsured & Commercially Insured Patients	\$147,250.00	4/16/2013	\$73,625.00	1212 Avenue of the Americas, 12th Floor	New York	NY	10036
13-01797	Community Funds, Inc.	Long Island Community Foundation (LICF)	170(B)(1)(a)(i)(I)	PC	Other	Long Island Sandy Health Initiative	\$75,000.00	3/28/2013	\$75,000.00	900 Wall Whitman Road, Suite 205	Meville	New York	11747
11-00471	Community Health Care Association of New York State, Inc.	CHCANS	170(B)(1)(a)(i)(I)	PC	Community-Based Organizations	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers	\$201,258.00	7/17/2013	\$50,377.00	111 Broadway, Suite 1402	New York	NY	10006
13-02086	Community Health Project, Inc.	San Carlos-Loride Community Health Center	509(A)(2)	PC	Community Health Center	Outreach and Enrollment Program	\$115,000.00	8/5/2013	\$95,000.00	356 West 18th Street	New York	NY	10011-4401
13-01903	Corporation for Supportive Housing	CSH	170(B)(1)(a)(i)(I)	PC	Public Benefit Corporation	Building Health Home Capacity to Serve Homeless Clients	\$95,000.00	10/18/2013	\$76,000.00	61 Broadway, Suite 2300	New York	NY	10006
12-01245	Council of Family and Child Care Agencies, Inc.	COFCCA	170(B)(1)(a)(i)(I)	PC	PC	Building the Bar for Health/Mental Health Services for Children in Foster Care	\$220,000.00	12/17/2013	\$44,000.00	254 West 31 Street	New York	NY	10001
13-02150	Crystal Run Healthcare	CRHC	170(B)(1)(a)(i)(I)	NC	Private Practice	Meeting the Mark - Achieving Excellence in Diabetes Care	\$87,500.00	9/11/2013	\$17,500.00	155 Crystal Run Road	Middletown	New York	10941
13-02080	Direct Care Alliance	DCA	170(B)(1)(a)(i)(I)	PC	Other	Get Direct Care Workers Covered	\$115,000.00	7/16/2013	\$65,000.00	West 43rd Street, Room 612	New York	NY	10036
12-01279	East End Endocrine Associates	EAEA	170(B)(1)(a)(i)(I)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$7,500.00	5/15/2013	\$500.00	189 Main Road	Riverhead	NY	11901
12-01279	East End Endocrine Associates	EAEA	170(B)(1)(a)(i)(I)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$7,500.00	7/8/2013	\$2,000.00	189 Main Road	Riverhead	NY	11901
13-02198	Empire Justice Center, Inc.	EJC	170(B)(1)(a)(i)(I)	PC	Community-Based Organization	Ambassadors for Coverage	\$220,000.00	8/28/2013	\$176,000.00	2015 Grand Concourse	Rochester	NY	14614-1418
12-01031	Esken Medical Associates, P C	EMAC	170(B)(1)(a)(i)(I)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$60,000.00	8/7/2013	\$48,000.00	2015 Grand Concourse	Bronx	NY	10453
14-02763	Esken Medical Associates, P C	EMAC	170(B)(1)(a)(i)(I)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$17,500.00	8/7/2013	\$17,500.00	165 Court Street 4 South	Bronx	NY	10453
2519928	Excellis Health Plan, Inc.	Excellis Health Plan, Inc.	Non Verifiable	PC	Health Plan and Insurer	Evaluating Patient-Centered Medical Home Pilot Project	\$300,000.00	9/27/2013	\$75,000.00	Genetics	Rochester	NY	14647
12-01677	F. F. Thompson Health System, Inc.	Thompson Health System	170(B)(1)(a)(i)(I)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$22,500.00		\$0.00	350 Parrish Street	Canandaigua	New York	14424
13-01677	F. F. Thompson Health System, Inc.	Thompson Health System	170(B)(1)(a)(i)(I)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$22,500.00		\$0.00	350 Parrish Street	Canandaigua	New York	14424
13-01677	F. F. Thompson Health System, Inc.	Thompson Health System	170(B)(1)(a)(i)(I)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$22,500.00	2/28/2013	\$0.00	350 Parrish Street	Canandaigua	New York	14424
13-02209	Faxon-St. Luke's Healthcare	CSH Diabetes	170(B)(1)(a)(i)(I)	PC	Hospital	Scaling Up the National Diabetes Prevention Program	\$15,000.00	12/23/2013	\$7,500.00	4th Floor Faxon Campus 1676 Sunset Ave	Ulrich	NY	13502
11-00927	Finger Lakes Migrant Health Care Project	Finger Lakes Community Health	170(B)(1)(a)(i)(I)	PC	Non-Profit Organization Providing Health/Health Related Services	Aligning Priorities with Expanded Capacity	\$69,831.00	7/16/2013	\$13,966.00	14 Maliden Lane	Penn Yan	NY	14527
11-00437	Fort Drum Regional Health Planning Organization, Inc.	FDRO	170(B)(1)(a)(i)(I)	PC	Community Based Organizations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$112,500.00	1/25/2013	\$44,000.00	120 Washington Street, Suite 302	Watertown	NY	13601
11-02811	Foundation for Long Term Care, Inc.	FLTC	509(A)(2)	PC	Research organization	Statewide Replication of Exceptional Care Planning in Nursing Homes	\$104,592.00	12/17/2013	\$5,963.00	13 British American Blvd, Suite 2	Latham	NY	12110
13-01968	Foundation for Long Term Care, Inc.	FLTC	509(A)(2)	PC	Research organization	Long Term Care and Services in Rural New York: Building a Sustainable and Replicable System	\$90,088.00	10/4/2013	\$72,070.00	13 British American Blvd, Suite 2	Latham	NY	12110
10-02476	Foundation for Quality Care, Inc.	FQC	509(A)	PC	Community-Based Organizations	Implementation of the Gold STRAP Program to Reduce Pressure Ulcers in New York State	\$300,000.00	12/23/2013	\$74,842.00	33 Elk Street, Suite 300	Albany	NY	12207-1062
10-02168	Fund for Public Health in New York, Inc.	FPNY	170(B)(1)(a)(i)(I)	PC	Health department (County, City, State)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$75,000.00	5/10/2013	\$60,000.00	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007
11-00765	Fund for Public Health in New York, Inc.	FPNY	170(B)(1)(a)(i)(I)	PC	Health department (County, City, State)	Innovations in Monitoring Population Health Using Electronic Health Records	\$350,000.00	12/17/2013	\$48,095.00	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007
12-01507	Fund for Public Health in New York, Inc.	FPNY	170(B)(1)(a)(i)(I)	PC	Health department (County, City, State)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$52,500.00	5/10/2013	\$52,500.00	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007
10-02463	Genesee Valley Group Health Association	Ultima Health Medical Group	Non Verifiable	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care	\$80,000.00	9/13/2013	\$41,500.00	205 Park Club Lane	Buffalo	NY	14221
13-02126	Grantmakers for Effective Organizations	GEO	Non Verifiable	PC	Professional Association	Annual Membership Grant July 1, 2013 - June 30, 2014	\$6,100.00	7/8/2013	\$6,100.00	1725 Decker Street	Washington	DC	20036
13-02125	Grantmakers in Health	GIH	Non Verifiable	PC	Professional Association	Annual Membership Grant July 1, 2013 - June 30, 2014	\$10,000.00	7/8/2013	\$10,000.00	1100 Connecticut Avenue, NW	Washington	DC	20036
11-00163	Greater New York Hospital Foundation, Inc.	GNHYA Foundation	509(A)(3)	PC	Professional Associations	Improving Care Continuity in Hospital-Dominated Teaching Clinics	\$306,613.00	12/23/2013	\$76,654.00	555 West 57th Street	New York	NY	10019

11-00416	Health Alliance Physicians PC	Health Alliance Physician Organization (HAPCO)	1708(1)(1)(a)(i)	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	5/15/2013	\$48,000.00	730 S Crouse Ave	Syracuse	NY	13210
13-02999	Health and Welfare Council of Long Island, Inc.	HWCUL	1708(1)(1)(a)(i)(v)	PC	Community-Based Organization	Expanding HWCUL's Health Exchange Network to the East End	\$115,000.00	9/16/2013	\$95,000.00	1 Helen Keller Way 4th Floor	Hempstead	NY	11550
13-01785	Health Management Associates, Inc.	HMA	1708(1)(1)(a)(i)	NC	Other-ill in the field below	Chartbook of Health Care Costs and Payments in New York	\$50,024.00	4/4/2013	\$25,012.00	1350 Connecticut Avenue, NW, Suite 605	Washington	DC	20036
13-02226	Health People, Inc.	HP	1708(1)(1)(a)(i)	PC	Community-Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/11/2013	\$10,000.00	552 Southern Blvd	Bronx	NY	10455
12-01481	Health Policy Associates, Inc.	HPA	1708(1)(1)(a)(i)	NC	Consulting Firm	Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	\$16,055.00	6/14/2013	\$5,500.00	847 25th Avenue	San Francisco	CA	94121
12-01481	Health Policy Associates, Inc.	HPA	1708(1)(1)(a)(i)	NC	Consulting Firm	Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	\$56,055.00	5/10/2013	\$6,170.00	847 25th Avenue	San Francisco	CA	94121
13-02406	Health Research, Inc.	HRI	Non Verifiable	PC	Other	Healthy Connections - Healthy Communities	\$110,000.00	10/29/2013	\$88,000.00	One University Place	Rensselaer	NY	12144-3447
13-02400	Henry Street Settlement	HSS	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Supporting a Health Insurance Enrollment Network in New York State	\$115,000.00	10/17/2013	\$95,000.00	365 Henry Street	New York	NY	10002
13-02276	Hertimer County Rural Health Network, Inc.	Hertimer County HealthNet	1708(1)(1)(a)(i)	PC	Other	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/13/2013	\$10,000.00	320 North Main Street, Suite 3300	Hertimer	NY	13350
13-02119	HIS Branches, Inc.	HIS Branches Health Services	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$7,500.00	10/4/2013	\$6,000.00	342 Arnett Blvd, Suite 1	Rochester	NY	14619-1147
13-02119	HIS Branches, Inc.	HIS Branches Health Services	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$7,500.00	7/17/2013	\$1,500.00	342 Arnett Blvd, Suite 1	Rochester	NY	14619-1147
10-02206	Home Aids Service of Eastern New York, Inc.	Eddy Visiting Nurse Association	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Transforming Home-Based Chronic Care Management	\$269,426.00	4/24/2013	\$53,615.00	2224 Burdett Avenue	Troy	NY	12180
12-01257	Home Aids Service of Eastern New York, Inc.	Eddy Visiting Nurse Association (The Eddy)	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	The Medical House Call Model: Caring for Homebound, Chronically Ill Patients	\$399,920.00	1/15/2013	\$133,306.00	2224 Burdett Avenue	Troy	NY	12180
13-01804	Hospital Educational and Research Fund, Inc.	Healthcare Association of New York State (HANS)	501(1)(6)	PC	Professional Association	Small Grant Awards for Late Adopters in HANS Network	\$150,000.00	7/17/2013	\$80,000.00	One Empire Drive	Rensselaer	NY	12144
13-02619	Hudson River HealthCare, Inc.	HRHCare	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care	\$17,500.00	11/6/2013	\$1,500.00	1037 Main Street	Peeckskill	NY	10566-2913
11-02925	Hudson River HealthCare, Inc.	HRHCare	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Preserving Primary Care Capacity in CoRam	\$95,031.00	4/4/2013	\$19,006.00	1037 Main Street	Peeckskill	NY	10566-2913
11-02769	Hudson River HealthCare, Inc.	HRHCare	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care	\$180,000.00	2/6/2013	\$86,000.00	1037 Main Street	Peeckskill	NY	10566-2913
10-03339	Hudson River HealthCare, Inc.	HRHCare	1708(1)(1)(a)(i)(v)	PC	Community Health Center	Preserving Community Based Primary Care & Residency Training in Yonkers	\$150,000.00	11/25/2013	\$30,000.00	1037 Main Street	Peeckskill	NY	10566-2913
13-02281	Ibero-American Action League, Inc.	Ibero	509(1)(2)	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$15,000.00	12/13/2013	\$7,500.00	817 East Main Street	Rochester	NY	14605
12-01375	IM Research and Service Corporation	New York Chapter, American College of Physicians (ACP)	509(1)(2)	NC	Professional Associations	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 4	\$83,086.00	9/9/2013	\$14,068.70	744 Broadway	Albany	NY	12207 2331
11-00983	Institute for Family Health	IFH	1708(1)(1)(a)(i)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Preparing to Transform the Provider-Payer Relationship	\$301,646.00	7/17/2013	\$75,412.00	16 East 15 Street	New York	NY	10003
13-02310	Institute for Family Health	IFH	1708(1)(1)(a)(i)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/13/2013	\$10,000.00	16 East 15 Street	New York	NY	10003
11-00832	Institute for Leadership Inc.	IFL	1708(1)(1)(a)(i)	PC	Community Based Organization	New York State Diabetes Campaign Faith-Based Initiative - Year 3	\$513,244.00	4/25/2013	\$8,469.00	245 West 135th Street	New York	NY	10030
11-00832	Institute for Leadership Inc.	IFL	1708(1)(1)(a)(i)	PC	Community-Based Organization	New York State Diabetes Campaign Faith-Based Initiative - Year 3	\$513,244.00	2/13/2013	\$16,068.00	245 West 135th Street	New York	NY	10030
12-01502	Institute for Leadership Inc.	IFL	1708(1)(1)(a)(i)	PC	Community-Based Organization	Replicating the Nationally Recognized Diabetes Prevention Program (DPP) in Faith-based and Other Community Settings	\$28,650.00	1/3/2013	\$18,650.00	245 West 135th Street	New York	NY	10030
13-01792	Iraq and Afghanistan Veterans of America, Inc.	IAVA	Non Verifiable	PC	Community-Based Organization	Connecting Veterans and Their Families to High Quality Community Services	\$175,106.00	4/16/2013	\$140,085.00	292 Madison Ave, 10th Floor	New York	NY	10017
10-02648	Island Peer Review Organization, Inc.	IPRO	1708(1)(1)(a)(i)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care	\$220,000.00	5/10/2013	\$1,000.00	1979 Marcus Ave	Lake Success	NY	11042
12-01635	Jewish Family Service of Buffalo and Erie County	JFSBEC	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Western New York Torture Survivor Care Management in NY State: Emerging & Evolving Roles, Delivery Models, & Workforce Development Issues & Opportunities	\$250,000.00	7/17/2013	\$83,333.00	70 Barber Street	Buffalo	NY	14209
13-02409	Joelyn Levy & Associates, LLC		Non Verifiable	NC	Consulting Firm		\$75,000.00	10/29/2013	\$20,000.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
13-01844	Kolmona Primary Care Inc	KPC	1708(1)(1)(a)(i)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	9/9/2013	\$500.00	553 Clinton Avenue	Albany	New York	12206
13-01844	Kolmona Primary Care Inc	KPC	1708(1)(1)(a)(i)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	10/17/2013	\$2,000.00	553 Clinton Avenue	Albany	New York	12206

13-02404	Lenox Hill Neighborhood House	LHNH	1708(1)(1)(a)(i)	PC	Community-Based Organization	Health Insurance Enrollment Program Meeting the Mark Achieving Excellence in Diabetes Care	\$115,000.00	10/17/2013	\$95,000.00	331 East 70th Street	New York	NY	10021
12-01497	LHNH Medical, P.C.	Manhattan's Physician Group	1708(1)(1)(a)(i)	NC	Private Practice Community-Based Organizations In Partnership with Primary Care Provider(s)	Meeting the Mark Achieving Excellence in Diabetes Care	\$77,500.00	1/11/2013	\$15,500.00	154 West 71 Street	New York	NY	10023
11-00330	UPIX, Inc.		1708(1)(1)(a)(i)	PC	Community-Based Organizations In Partnership with Primary Care Provider(s)	Meeting the Mark Achieving Excellence in Diabetes Care	\$210,000.00	7/9/2013	\$110,500.00	347 W 36th Street Suite 201	New York	NY	10018
13-02413	Long Island Gay and Lesbian Youth, Inc.	UGALY	1708(1)(1)(a)(i)	PC	Community-Based Organizations In Partnership with Primary Care Provider(s)	Health Care Access Initiative	\$115,000.00	10/17/2013	\$95,000.00	34 Park Avenue	Bay Shore	NY	11706-7309
10-02521	Long Island Home	South Oats Hospital	1708(1)(1)(a)(i)	PC	Community-Based Organizations In Partnership with Primary Care Provider(s)	Planning for the Integration of Mental Health Services in Pediatric Care	\$77,761.00	12/13/2013	\$15,553.00	400 Sunnyside Highway	Amityville	NY	11701
13-02740	Maimonides Medical Center	MAMC	Non Verifiable	PC	Hospital	Integrating Social and Clinical Services into a Total Cost of Care Model	\$290,950.00	10/18/2013	\$232,760.00	4802 10th Avenue	Brooklyn	NY	11219
13-02987	Mate the Road New York	MARNY	1708(1)(1)(a)(i)	PC	Community-Based Organization	Health Navigator Project	\$115,000.00	7/16/2013	\$95,000.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372
12-01026	Manhattan Institute for Policy Research, Inc.	MI	1708(1)(1)(a)(i)	PC	Other-III in the field below	Better Health, Lower Cost: Fulfilling the Promise of Medicaid's Redesign	\$101,682.00	3/29/2013	\$17,324.40	52 Vanderbilt Avenue	New York	NY	10017-3808
13-02352	Manhattan Institute for Policy Research, Inc.	MI	1708(1)(1)(a)(i)	PC	Other-III in the field below	Start: A Planning Project	\$44,008.00	9/27/2013	\$35,206.00	52 Vanderbilt Avenue	New York	NY	10017-3808
25-07600	MDRC		Non Verifiable	PC	Other-III in the field below	Evaluation of Chronic Illness Demonstration Project (CDP)	\$250,000.00	11/7/2013	\$50,000.00	18th Floor	New York	NY	10016
13-02154	Medical Associates of the Hudson Valley	MAHV	1708(1)(1)(a)(i)	NC	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	7/26/2013	\$4,000.00	360 Washington Avenue	Kingston	NY	12401
13-01808	Mercy Residential Services	Mercy Community Services, Inc.	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$7,500.00	5/16/2013	\$1,500.00	142 Webster Avenue	Rochester	NY	14629
13-02120	Montefiore Medical Center	South Bronx Health Center	Non Verifiable	PC	Hospital	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$7,500.00	9/27/2013	\$1,500.00	111 East 210th Street	Bronx	NY	10467
38-05137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	CMO, The Care Management Company	Non Verifiable	PC	Other-III in the field below	Program Collaborative Care Transitions Program Providers & Payers Working to Improve Quality & Reduce Medical Expenditures	\$574,090.00	1/25/2013	\$287,045.00	200 Corporate Boulevard South	Yonkers	NY	10701
13-02135	Mount Sinai Hospital	Mount Sinai Adolescent Health Center	1708(1)(1)(a)(i)	PC	Community Health Center	Healthy Youth, Healthy Futures: A Blueprint for Integrated Adolescent Health Services	\$85,000.00	8/22/2013	\$68,000.00	320 East 94th Street	New York	NY	10128
12-01026	Mount Sinai School of Medicine		1708(1)(1)(a)(i)	PC	Academic Institution (University or College)	Hepatitis C Virus Infection Telemedicine Collaborative Care Center	\$247,785.00	5/15/2013	\$123,893.00	Box 1123, Mount Sinai School of Medicine 1425 Madison Ave., Room 11-70C	New York	NY	10029-6574
13-02749	Mount Sinai School of Medicine		1708(1)(1)(a)(i)	PC	Academic Institution (University or College)	Meeting the Mark Achieving Excellence in Diabetes Care	\$57,500.00	10/25/2013	\$10,500.00	70C	New York	NY	10029-6574
11-00276	National Association of Social Workers (New York State Chapter)	NASW-NYS	501(c)(6)	PC	Professional Associations	Veterans Mental Health Training Initiative	\$150,000.00	2/4/2013	\$20,810.00	188 Washington Ave	Albany	NY	12210
14-02765	National Committee for Quality Assurance	NCOA	1708(1)(1)(a)(i)	PC	Underwriting Fees for the National Diabetes Recognition Programs	Underwriting Fees for the National Diabetes Recognition Programs	\$900,000.00	8/8/2013	\$300,000.00	Suite 1000	Washington	DC	20005
13-01791	National Urban Fellows, Inc.	NUF	Non Verifiable	PC	Fellows, Class of 2014	NYSHealth's CEIC Tracking Progress in NYS Addiction & Mental Health	\$70,000.00	8/26/2013	\$70,000.00	102 West 38th Street, 7th Floor	New York	NY	10018
11-02788	NORI State, Inc.	NORI State, Inc.	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Providers' Provision of Outpatient Integrated Care	\$282,524.00	11/25/2013	\$35,083.00	71 West 23rd Street, 8th Floor	New York	NY	10010-3509
24-06657	NORI State, Inc.	National Development and Research Institutes Inc.	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Integration of Care for Individuals with Substance Abuse	\$3,201,644.00	7/17/2013	\$40,021.00	71 West 23rd Street, 8th Floor	New York	NY	10010-3509
34-06912	NORI State, Inc.	National Development and Research Institutes Inc.	1708(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Expanding Technical Assistance Support and Reach of NYHealth Center for Excellence in Integrated Care (CEIC)	\$443,570.00	7/17/2013	\$55,320.00	71 West 23rd Street, 8th Floor	New York	NY	10010-3509
11-02721	New York Academy of Medicine	NYAM	1708(1)(1)(a)(i)	PC	Other-III in the field below	Faith Based Diabetes Programming	\$59,557.00	7/26/2013	\$11,911.00	1216 Fifth Avenue	New York	NY	10029
13-01811	New York City Environmental Justice Alliance, Inc.	NYCEJA	1708(1)(1)(a)(i)	PC	Other	The Waterfront Project	\$98,889.00	4/19/2013	\$49,295.00	166A 72nd Street	Brooklyn	NY	11232
13-01811	New York City Environmental Justice Alliance, Inc.	NYCEJA	1708(1)(1)(a)(i)	PC	Other	The Waterfront Project	\$98,889.00	11/22/2013	\$24,647.00	166A 72nd Street	Brooklyn	NY	11232
11-02786	New York City Health and Hospitals Corporation	NYCH	1708(1)(1)(a)(i)	NC	Primary Care Networks	An Evaluation of the WICQACH (Community Older Adults Care About Their Health) Initiative	\$65,000.00	4/19/2013	\$13,000.00	346 Broadway, Em 1115	New York	NY	10013
25-0706	New York City Health and Hospitals Corporation - Elmhurst Hospital Center	Elmhurst Hospital Center	1708(1)(1)(a)(i)	NC	Hospital	New Support and Support	\$156,027.00	3/20/2013	\$31,205.00	79-01 Broadway	Elmhurst	NY	11379
13-01760	New York Health Collaborative, Inc.	NYHC	1708(1)(1)(a)(i)	PC	Public Benefit Corporation	Meeting the Mark Achieving Excellence in Diabetes Care	\$27,500.00	2/13/2013	\$16,000.00	40 Worth Street	New York	NY	10013
12-01078	New York Health Collaborative, Inc.	NYHC	1708(1)(1)(a)(i)	PC	Public Benefit Corporation	Meeting the Mark Achieving Excellence in Diabetes Care	\$105,000.00	7/8/2013	\$81,500.00	40 Worth Street	New York	NY	10013
13-02004	New York Hotel Trades Council and Hotel Association of New York Health Center, Inc.	NYHTC	1708(1)(1)(a)(i)	PC	Other	Meeting the Mark Achieving Excellence in Diabetes Care	\$115,000.00	11/15/2013	\$92,000.00	305 W. 44th Street	New York	NY	10036
13-02004	Association of New York Health Center, Inc.	NYHTC	1708(1)(1)(a)(i)	PC	Other	Meeting the Mark Achieving Excellence in Diabetes Care	\$115,000.00	8/26/2013	\$23,000.00	305 W. 44th Street	New York	NY	10036

11-00394	New York Immigration Coalition	The New York Immigration Coalition	170(8)(1)(a)(v)	PC	Other-III in the field below	Implementing Health Reform in New York. Maximizing Coverage for Immigrants	\$75,000.00	4/4/2013	\$15,000.00	137-139 West 25th Street, 12th Floor	New York	10001
10-02357	New York Lawyers for the Public Interest, Inc.	NYLP	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Improving Citizen Participation in NYC School Siting Process	\$234,851.00	1/9/2013	\$10,000.00	151 West 30th Street, 11th Floor	New York	10001-4017
3878459	New York State YMCA Foundation	YMCA	509(A)(2)	PC		Prevention Program Across New York State	\$373,999.00	1/3/2013	\$60,374.00	33 Elk Street, Suite 200	Albany	12207-1062
13-02166	New York University	Silver School of Social Work, MSilver Institute for Policy & Research	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	Lessons 30 Years of Integrating HIV Prevention & Care - Implications for the Creation & Implementation of Health Homes	\$24,495.00	10/17/2013	\$19,596.00	MSilver Institute for Poverty Policy & Research, New York University 41 East 11th Street, 2nd floor	New York	10003
13-02001	New York University	MSilver Institute for Policy and Research	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	Introducing Fast-Track Outcome Assessments to New York's Mental Health Clinics	\$317,610.00	12/10/2013	\$254,088.00	MSilver Institute for Poverty Policy & Research, New York University 41 East 11th Street, 7th floor	New York	10003
13-02178	New York University - College of Dentistry	NYUCD	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	A Model Partnership Meeting Pediatric Dental Needs in Dutchess County	\$75,000.00	7/16/2013	\$60,000.00	345 East 24th Street, 1037M	New York	10010
13-02324	New York University - School of Medicine	NYU	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	Evaluation Technical Assistance for NYSHealth Foundation Grantees Phase 3	\$148,297.00	10/18/2013	\$118,638.00	550 First Avenue TRB 6th floor, 624	New York	10016
11-02793	New York University - School of Medicine	NYU	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	Evaluation Technical Assistance for NYSHealth Grantees and Applicants Phase 3	\$167,174.00	1/9/2013	\$33,240.00	550 First Avenue TRB 6th floor, 624	New York	10016
12-01479	New York University - School of Medicine	NYU	170(8)(1)(a)(v)	PC	Academic Institution (University or College)	Evaluation Technical Assistance for NYSHealth Grantees and Applicants Phase 4	\$151,940.00	12/4/2013	\$30,118.00	550 First Avenue TRB 6th floor, 624	New York	10016
11-00873	North Country Children's Clinic, Inc.		170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	North Country Adult Care "Freedom Bus" Eastern Dutchess Medical Transportation	\$100,072.00	10/24/2013	\$20,072.00	238 Arsenal Street 51 South Center Street	Watertown	13601
11-00287	Northeast Community Council, Inc.	North East Community Center	170(8)(1)(a)(v)	PC	Community-Based Organization	Consolidating the Oswego Primary Care Safety Net	\$70,776.00	12/13/2013	\$14,155.00	P O Box 35	Millerton	12546
3254860	Northern Oswego County Health Services, Inc.		509(A)(2)	PC	Community Health Center	Meeting the Mark Achieving Excellence in Diabetes Care	\$400,000.00	10/4/2013	\$100,000.00	61 Delano Street	Pulaski	13142 1400
11-00422	North Shore-Long Island Jewish Health System, Inc.	Physician and Ambulatory Network Services (PAANS)	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Growing Management Infrastructure in an Expanding FQHC	\$40,000.00	9/11/2013	\$14,500.00	125 Community Drive	Great Neck	11021
11-00931	Northwest Buffalo Community Health Care Center, Inc.	Neighborhood Health Center	170(8)(1)(a)(v)	PC	Community Health Center	Meeting the Mark Achieving Excellence in Diabetes Care	\$100,000.00	4/1/2013	\$20,000.00	155 Lawn Avenue	Buffalo	14207
13-01741	P2 Collaborative of Western New York, Inc.	P2	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark Achieving Excellence in Diabetes Care	\$120,000.00	12/9/2013	\$72,000.00	355 Harlem Road Building C, 2nd Floor	Buffalo	14224 1875
13-01741	P2 Collaborative of Western New York, Inc.	P2	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark Achieving Excellence in Diabetes Care	\$120,000.00	2/13/2013	\$24,000.00	355 Harlem Road Building C, 2nd Floor	Buffalo	14224 1875
11-00009	P2 Collaborative of Western New York, Inc.	P2	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark Achieving Excellence in Diabetes Care	\$167,500.00	9/11/2013	\$74,000.00	355 Harlem Road Building C, 2nd Floor	Buffalo	14224 1875
12-01281	Patchogue Family Medical Care		170(8)(1)(a)(v)	NC	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	5/15/2013	\$1,000.00	130 Medford Avenue	Patchogue	11772
13-02439	Peoples Equal Action and Community Effort	PEACE, Inc. or P E A C E, Inc.	170(8)(1)(a)(v)	PC	Community-Based Organization	Onondaga County Enrollment Network	\$115,000.00	10/25/2013	\$95,000.00	217 South Salina Street, 2nd Floor	Syracuse	13202
13-01825	Perry Avenue Family Medical, Inc.		170(8)(1)(a)(v)	NC	Community Health Center	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$10,000.00	5/15/2013	\$2,000.00	3071 Perry Avenue	Bronx	10467
12-00980	Pew Charitable Trusts	Formerly the New York Regional Association of Grantmakers (NYRAG)	170(8)(1)(a)(v)	PC	Other	Building the Case for a Campaign to Expand Community Water Fluoridation in New York State	\$135,000.00	4/25/2013	\$27,000.00	901 E Street NW	Washington	20004
13-02124	Philanthropy New York		Non Verifiable	PC		Annual Membership Grant July 1, 2013 - June 30, 2014	\$18,100.00	7/8/2013	\$18,100.00	79 Fifth Avenue, 4th Floor	New York	10003 8076
11-00318	Project HOPE-The People-to-People Health Foundation	Health Affairs	170(8)(1)(a)(v)	PC	Other	Sponsoring Health Affairs Theme Issues on Diabetes and Insurance Exchanges	\$150,000.00	8/7/2013	\$30,000.00	7500 Old Georgetown Rd, Suite 600	Bethesda	20814
13-02013	Public Health Solutions	PHS	170(8)(1)(a)(v)	PC	Non-Profit Organization Providing Health/Health Related Services	Improving Women's Health Services at Community Health Centers	\$284,159.00	9/27/2013	\$227,327.00	40 Worth Street 5th Floor	New York	10013
10-02144	Queens Library Foundation, Inc.	QLF	170(8)(1)(a)(v)	PC	Community-Based Organization in Partnership with Primary Care Provider(s)	Queens ConnectCare	\$250,000.00	9/9/2013	\$46,875.00	89-11 Merrick Boulevard	Jamaica	11432 5242
10-02144	Queens Library Foundation, Inc.	QLF	170(8)(1)(a)(v)	PC	Community-Based Organization in Partnership with Primary Care Provider(s)	Queens ConnectCare	\$250,000.00	5/15/2013	\$62,500.00	89-11 Merrick Boulevard	Jamaica	11432 5242
13-01799	RAND Corporation	RAND	170(8)(1)(a)(v)	PC	Other-III in the field below	Behavioral Health and Primary Care Services for People with Serious Mental Illness	\$257,383.00	4/25/2013	\$205,907.00	1715 Main Street, P O BOX 2138	Santa Monica	90407-2138

10-02391	Rapaport Family Medicine, P.C.	1709(01)(1)(a)(i)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$2,500.00	1/25/2013	\$2,000.00	2200 Main Street	Buffalo	NY	14214
13-02210	Refuah Health Center, Inc.	1709(01)(1)(a)(i)	PC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$10,000.00	9/20/2013	\$2,000.00	728 North Main Street, 275 Seventh Avenue	Spring Valley	NY	10977
13-02093	Restaurant Opportunities Center of New York	1709(01)(1)(a)(i)	PC	Other	Expanding Healthcare Access for the Restaurant Workers Healthcare Project	\$115,000.00	7/16/2013	\$95,000.00	140 West 31st Street, Suite 1703	New York	NY	10001
13-02083	Retail Action Project	1709(01)(1)(a)(i)	PC	Community Based Organization	Using Technology to Improve Scheduling Efficiency in Home Care	\$115,000.00	7/16/2013	\$65,000.00	2nd Floor, 116 Lomb Memorial Drive	New York	NY	10001
10-01954	Rochester Institute of Technology	1709(01)(1)(a)(i)	PC	Academic Institution (University or College)	Ultras Community Health Center	\$229,059.00	8/12/2013	\$11,906.24	Drive	Rochester	NY	14623
11-00899	Roche Primary Care Network, Inc.	1709(01)(1)(a)(i)	PC	Community Health Center	Business Plan Development Project	\$99,993.00	7/16/2013	\$19,999.00	259 Monroe Avenue	Rochester	NY	14680
10-01897	RocheCare, Inc.	1709(01)(1)(a)(i)	PC	Community Health Center	Quality Assurance Development Project	\$50,000.00	8/16/2013	\$25,000.00	170 A. Holly Patterson	Uniondale	NY	11553
13-02314	Rural Health Network of South Central New York, Inc.	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Scaling Up the National Diabetes Prevention Program	\$17,000.00	12/13/2013	\$8,500.00	7663 Main Street	Whitney Point	NY	13862
11-00141	Safe Space NYC, Inc.	1709(01)(1)(a)(i)	PC	Community-Based Organization	Seen and Heard: Sustaining a Clinical Response Model to Childhood Trauma	\$223,118.00	12/13/2013	\$48,279.50	85-74 162 Street	Jamaica	NY	11432
13-02753	Schuyler Center for Analysis and Advocacy, Inc.	1709(01)(1)(a)(i)	PC	Think Tank	Water Fluoridation	\$500,335.00	10/17/2013	\$250,168.00	150 State Street 4th floor	Albany	NY	12207
13-02718	St. Peter's Hospital Foundation, Inc.	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Scaling Up the National Diabetes Prevention Program	\$10,000.00	12/24/2013	\$5,000.00	319 South Main Street, Suite 314	Albany	NY	12208
13-01802	Staten Island Foundation	1709(01)(1)(a)(i)	PC	Other	The Staten Island Foundation Nonprofit Recovery Fund	\$50,000.00	3/28/2013	\$50,000.00	200 Christopher Lane, Suite 3B	New York	NY	10314
13-02463	Staten Island Mental Health Society, Inc.	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	SMHS Sandy Help	\$37,500.00	12/4/2013	\$37,500.00	669 Carleton Avenue	Staten Island	NY	10301
12-01491	Syracuse University	1709(01)(1)(a)(i)	PC	Academic Institution (University or College)	Taking Care of the New Home Front: Using Federal Resources to Expand Community Capacity for NY's Veterans and Families	\$511,489.00	3/12/2013	\$127,877.00	Office of Sponsored Programs, 115 Bowne Hall	Syracuse	NY	13244 200
13-02100	That Design Firm, Inc.	1709(01)(1)(a)(i)	NC	Other	Cover New York: A Visualization for Health Reform	\$28,000.00	7/17/2013	\$14,000.00	601 Van Ness Avenue, Suite E733	San Francisco	CA	94102
13-02100	That Design Firm, Inc.	1709(01)(1)(a)(i)	NC	Other	Cover New York: A Visualization for Health Reform	\$28,000.00	10/31/2013	\$14,000.00	601 Van Ness Avenue, Suite E733	San Francisco	CA	94102
11-02531	Trustees of Columbia University in the City of New York	1709(01)(1)(a)(i)	PC	Academic Institution (University or College)	Advancing the Integration of Community Health Workers in to Patient-Centered Medical Homes and Health Homes in NYS	\$160,000.00	1/3/2013	\$31,974.60	Office of Research Administration, 630 West 108th Street, Box 49	New York	NY	10032
13-02072	Union Health Center	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$12,500.00	12/4/2013	\$10,000.00	Union Health Center 275 Seventh Avenue	New York	NY	10001
13-02072	Union Health Center	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	\$12,500.00	7/11/2013	\$2,500.00	Union Health Center 275 Seventh Avenue	New York	NY	10001
13-02035	United Cerebral Palsy Association of Greater South, Inc.	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$7,500.00	7/11/2013	\$1,500.00	159 Carleton Avenue	Central Islip	NY	11722
11-02410	United Hospital Fund of New York	1709(01)(1)(a)(i)	PC	Other-ill in the field below	Implementing Health Reform: Addressing Issues of Benefits, Provider Networks, and Market Structures	\$399,332.00	8/2/2013	\$99,808.00	1411 Broadway, 12 Floor	New York	NY	10018
12-02997	United States Conference of Catholic Bishops	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Systemwide Expansion of the Diabetes Center of Excellence	\$153,743.00	8/21/2013	\$30,748.00	992 North Village Avenue	Rockville Centre	NY	11570
13-02794	United States Conference of Catholic Bishops	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/12/2013	\$10,000.00	992 North Village Avenue	Rockville Centre	NY	11570
11-00851	Universal Primary Care	1709(01)(1)(a)(i)	PC	Community Health Center	Primary Care Expansion in Allegheny and Cateaugus Counties	\$100,000.00	12/4/2013	\$20,000.00	500 Main Street	Clear	NY	14760
13-01796	University of Chicago	1709(01)(1)(a)(i)	PC	Academic Institution (University or College)	Diabetes Prevention Programs: What is the Weight of the Evidence?	\$124,164.00	4/24/2013	\$99,331.00	5841 S. Maryland Ave., MC2007	Chicago	IL	60637
13-01796	University of Chicago	1709(01)(1)(a)(i)	PC	Academic Institution (University or College)	Diabetes Prevention Programs: What is the Weight of the Evidence?	\$124,164.00	4/22/2013	\$0.00	5841 S. Maryland Ave., MC2007	Chicago	IL	60637
11-02958	University of Rochester	1709(01)(1)(a)(i)	PC	Community-Academic Partnership	Expanding Connections	\$300,141.00	5/10/2013	\$71,744.00	601 Elmwood Avenue	Rochester	NY	14642
13-02472	Urban Health Plan, Inc.	1709(01)(1)(a)(i)	PC	Community Health Center	Outreach and Enrollment	\$115,000.00	12/10/2013	\$95,000.00	1065 Southern Blvd	Bronx	NY	10459
12-01430	W. N. Y. Medical P.C.	1709(01)(1)(a)(i)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$32,500.00	11/27/2013	\$26,000.00	4979 Harlem Road	Amherst	NY	14226
12-01430	W. N. Y. Medical P.C.	1709(01)(1)(a)(i)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$32,500.00	2/28/2013	\$6,500.00	4979 Harlem Road	Amherst	NY	14226
13-02678	Western New York Medical, P.C.	1709(01)(1)(a)(i)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$12,500.00	11/27/2013	\$12,500.00	4979 Harlem Road	Amherst	NY	14226
13-02110	Westchester Community Opportunity Program, Inc.	1709(01)(1)(a)(i)	PC	Community-Based Organization	Healthcare Insurance Enrollment Project	\$115,000.00	7/17/2013	\$95,000.00	2269 Saw Mill River Road Bldg. #3	Elmsford	New York	10523
12-01641	Westchester Institute for Human Development	1709(01)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	3/4/2013	\$500.00	West Hill 20 Hospital Oval	Valhalla	New York	10595

12-01681	Westchester Institute for Human Development	WHHD	1700(1)(1)(a)(ii)	PC	Non-Profit Organization Providing Health/Health Related Services	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	11/19/2013	\$2,000.00	WHHD 329 Cedarwood Hall 20 Hospital Oval West	Yonkers	New York	10595
12-01518	Whitney M. Young, Jr. Health Center, Inc.	Whitney M. Young, Jr. Health Services	1700(1)(1)(a)(i)	PC	Non-Profit Organization Providing Health/Health Related Services	Behavioral Health/Primary Care Integration Project	\$100,000.00	3/28/2013	\$80,000.00	970 Lat Drive	Albany	NY	12207
13-02306	Young Men's Christian Association Buffalo Niagara	YMCA Buffalo Niagara	1700(1)(1)(a)(i)	PC	Community Based Organization	Scaling Up the National Diabetes Prevention Program	\$20,000.00	12/13/2013	\$10,000.00	301 Cayuga Road	Buffalo	NY	14225
TOTAL									\$9,839,176.24				

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Street	City	State/Province	Zip/Postal Code	Organization Type	Project Title
13-02433	AC Center, Inc.	\$115,000.00	5/29/2015	\$20,000.00	259 Monroe Ave	Rochester	NY	14607	PC	Bringing Health Insurance Enrollment to Rochester's LGBT Communities
13-02449	Action for a Better Community, Inc.	\$115,000.00	5/29/2015	\$20,000.00	550 East Main Street	Rochester	NY	14604-2522	PC	Enroll Monroe
12-01418	Airondack Health Institute, Inc.	\$304,911.00	8/29/2014	\$132,459.00	5 Carey Road	Queensbury	New York	12804	PC	Sustaining the Success of the Primary Care Medical Home Model in the Adirondacks
13-02089	AIDS Community Resources, Inc.	\$115,000.00	2/27/2015	\$20,000.00	627 West Genesee Street	Syracuse	NY	13204	PC	Medical Home Model in the Adirondacks
13-01902	Albany Medical Center	\$167,772.00	2/28/2017	\$33,555.00	Albany Medical Center Pediatric Group 1 Clara Barton Drive	Albany	NY	12208	NC	Enrollment Program (NYBELLEP) Scaling Up the WIC Smiles Program
13-01902	Albany Medical Center	\$167,772.00	12/23/2013	\$134,217.00	Albany Medical Center Pediatric Group, 1 Clara Barton Drive	Albany	NY	12208	NC	Scaling Up the WIC Smiles Program
13-02308	Allegheny Western Strouben Rural Health Network, Inc.	\$15,000.00	10/31/2014	\$7,500.00	85 North Main Street, Suite 5	Wellsville	New York	14895	PC	Scaling Up the National Diabetes Prevention Program
11-00310	American Cancer Society - Eastern Division (Albany)	\$300,027.00	9/30/2014	\$75,006.00	19 Dove Street, Suite 103	Albany	NY	12210	PC	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform
13-02127	Asian American Accountable Care Organization	\$70,000.00	9/23/2014	\$56,000.00	41 Elizabeth Street, 6th Floor	New York	NY	10013	NC	Meeting the Mark - Achieving Excellence in Diabetes Care
10-02175	CapitalCare Medical Group	\$82,500.00	6/20/2014	\$32,000.00	501 New Kerner Road	Albany	NY	12205	NC	Meeting the Mark - Achieving Excellence in Diabetes Care
13-02423	Capital District Gay and Lesbian Community Council, Inc.	\$115,000.00	5/29/2015	\$20,000.00	332 Hudson Avenue	Albany	NY	12210	PC	Capital Region LGBT Health Insurance Enrollment
13-02117	Catholic Charities of the Roman Catholic Diocese of Rochester	\$40,000.00	8/29/2014	\$8,000.00	87 North Clinton Avenue	Rochester	NY	14604	PC	Train the Trainers Meeting the Health Need of Refugee/Torture Survivors
12-01627	Center for Alternative Sentencing and Employment Services, Inc.	\$225,000.00	11/28/2014	\$45,000.00	346 Broadway, 3rd Floor	New York	NY	10013	PC	Establishing a Mental Health Clinic for Criminal Justice Involved Individuals
13-02085	Center for Children's Initiatives	\$115,000.00	2/27/2015	\$20,000.00	322 Eighth Ave., 4th Floor	New York	New York	10001	PC	Consumer Assistance Program
11-00326	Center for Health Care Strategies, Inc.	\$118,418.00	8/29/2014	\$23,683.00	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	PC	Increasing Primary Care Rates and Access to Medicaid Providers in New York State
12-01610	Center for Health Care Strategies, Inc.	\$310,013.00	7/31/2014	\$103,337.00	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	PC	New York State Health Homes Learning Collaborative
13-02203	Center for Health Care Strategies, Inc.	\$49,961.00	6/30/2014	\$9,992.00	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	PC	Advancing Health Homes in New York
13-02088	Central New York Health Systems Agency, Inc.	\$75,000.00	5/30/2014	\$15,000.00	109 South Warren Street, Suite 500	Syracuse	NY	13202	PC	Identifying Best Practices in Recruitment and Engagement & Promoting Sustainability
13-02092	Charles B. Wang Community Health Center, Inc.	\$115,000.00	2/27/2015	\$20,000.00	268 Canal Street	New York	New York	10013	PC	Planning for a Statewide, Integrated Practitioner Database
13-02237	Chautauque County Health Network, Inc.	\$15,000.00	10/31/2014	\$7,500.00	200 Harrison Street, Suite 200	Hamlet	NY	14701	PC	Consumer Assistance for New York State Health Practitioner Database
12-01380	Children's Defense Fund	\$79,785.00	3/31/2014	\$15,957.00	15 Maiden Lane, Suite 1200	New York	NY	10038	PC	Benefit Exchange - Chinese Outreach and Enrollment
13-02208	Chinese Community Accountable Care Organization, Inc.	\$52,500.00	11/28/2014	\$42,000.00	139 Centre Street, Suite 318	New York	NY	10013	PC	Scaling Up the National Diabetes Prevention Program
13-01782	ConnKernick LLP	\$147,250.00	3/31/2014	\$73,625.00	1212 Avenue of the Americas	New York	NY	10036	NC	School Based Health Centers - Growing and Sustaining Comprehensive Models for Pediatric and Adolescent Health
2553196	Columbia University - Mailman School of Public Health	\$196,709.00	2/27/2015	\$39,341.00	1212th Floor	New York	NY	10032	PC	Meeting the Mark - Achieving Excellence in Diabetes Care
13-02086	Community Health Project, Inc.	\$115,000.00	2/27/2015	\$20,000.00	356 West 18th Street	New York	NY	10011-4401	PC	Reengineering Business Model for Health Centers w/Disproportionate Shares of Uninsured & Commercially Insured Patients
12-01521	Community Service Society of New York	\$1,200,000.00	8/29/2014	\$340,000.00	105 East 22nd Street	New York	NY	10010	PC	First Steps to Healthy Living - Evaluation of New York State Early Childhood Obesity Prevention Programs
13-01903	Corporation for Supportive Housing	\$95,000.00	11/28/2014	\$19,000.00	61 Broadway, Suite 2300	New York	NY	10006	PC	Outreach and Enrollment Program
13-02150	Crystal Run Healthcare	\$47,500.00	11/27/2014	\$70,000.00	155 Crystal Run Road	Middletown	New York	10941	NC	Expanding the Small Business Assistance Program to Preserve and Increase Health Coverage
13-02080	Direct Care Alliance	\$115,000.00	5/30/2014	\$25,000.00	Direct Care Alliance 4 West 43rd Street, Room 612	New York	NY	10036	PC	Homeless Clients
13-02080	Direct Care Alliance	\$115,000.00	2/27/2015	\$25,000.00	Direct Care Alliance 4 West 43rd Street, Room 612	New York	NY	10036	PC	Meeting the Mark - Achieving Excellence in Diabetes Care
13-02198	Empire Justice Center, Inc.	\$220,000.00	10/31/2014	\$44,000.00	17 West Main Street, 2nd Floor	Rochester	NY	14614-2418	PC	Get Direct Care Workers Covered
11-00914	Family Health Network of Central New York, Inc.	\$100,000.00	10/31/2014	\$20,000.00	17 Main Street, Suite 302	Rochester	NY	13045-6615	PC	Get Direct Care Workers Covered
13-02209	Faxon-St. Luke's Healthcare	\$15,000.00	10/31/2014	\$7,500.00	4th Floor Faxon Campus 1676 Sunset Ave	Utica	NY	13502	PC	Expanding Primary Care Access for Public Housing Residents
10-01932	Floating Hospital, Inc., The	\$238,524.00	2/28/2014	\$47,704.00	P.O. Box 8397	Long Island City	NY	11101	PC	Scaling Up the National Diabetes Prevention Program
13-01968	Foundation for Long Term Care, Inc.	\$90,088.00	11/28/2014	\$18,018.00	13 British American Blvd, Suite 2	Latham	NY	12110	PC	Expanding Primary Care Access for Public Housing Residents

11-00647	Girls Educational and Mentoring Services, Inc.	\$224,249.00	7/31/2014	\$44,850.00	2988 West 149th Street	NY	NY	10039	PC	Training Medical Professionals to Serve Commercially and Sexually Exploited Youth
12-01563	Greater Hudson Valley Family Health Center, Inc.	\$100,000.00	2/7/2015	\$20,000.00	2570 US Highway 9W, Suite 10	Cornwall	NY	12518	PC	Expanding Expansion into the Southern Tier
11-00163	Greater New York Hospital Foundation, Inc.	\$306,613.00	5/30/2014	\$76,653.00	555 West 57th Street, 15th Floor	New York	NY	10019	PC	Improving Care Continuity in Hospital-Sponsored Teaching Clinics
13-02099	Health and Welfare Council of Long Island, Inc.	\$115,000.00	2/27/2015	\$20,000.00	1 Helen Keller Way 4th Floor	Hempstead	NY	11550	PC	Expanding HWCU's Health Exchange Network to the East End
13-01785	Health Management Associates, Inc.	\$50,024.00	11/29/2013	\$25,012.00	1350 Connecticut Avenue, NW, Suite 605	Washington	DC	20036	NC	Charter of Health Care Costs and Payments in New York
13-02726	Health People, Inc.	\$20,000.00	10/31/2014	\$10,000.00	552 Southern Blvd	Bronx	NY	10455	PC	Scaling Up the National Diabetes Prevention Program
13-02606	Health Research, Inc.	\$110,000.00	11/28/2014	\$22,000.00	One University Place	Rensselaer	NY	12144 3447	PC	Healthy Connections - Healthy Communities
13-02600	Henry Street Settlement	\$115,000.00	5/29/2015	\$20,000.00	265 Henry Street	New York	NY	10002	PC	Supporting a Health Insurance Enrollment Network in New York State
13-02716	Herkimer County Rural Health Network, Inc.	\$20,000.00	8/29/2014	\$10,000.00	320 North Main Street, Suite 3300	Herkimer	NY	13350	PC	Scaling Up the National Diabetes Prevention Program
12-01257	Home Aide Service of Eastern New York, Inc.	\$399,920.00	2/27/2015	\$133,308.00	2224 Burdett Avenue	Troy	NY	12180	PC	The Medical House Call Model: Caring for Homebound, Chronically Ill Patients
12-01257	Home Aide Service of Eastern New York, Inc.	\$399,920.00	2/28/2014	\$133,306.00	2224 Burdett Avenue	Troy	NY	12180	PC	The Medical House Call Model: Caring for Homebound, Chronically Ill Patients
12-01118	Hospice and Palliative Care Association of New York State, Inc.	\$98,129.00	12/8/2014	\$19,626.00	2 Computer Drive West Suite 105	Albany	NY	12205	PC	Expanding Access to Palliative Care Services in New York State
11-00854	Hospital Educational and Research Fund, Inc.	\$1,015,068.00	7/31/2014	\$233,767.00	One Empire Drive	Rensselaer	NY	12144	PC	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3
13-01804	Hospital Educational and Research Fund, Inc.	\$150,000.00	1/2/2014	\$70,000.00	One Empire Drive	Rensselaer	NY	12144	PC	Small Grant Awards for Late Adopters in HANYS Network
12-01544	Hudson Headwaters Health Network	\$100,000.00	8/29/2014	\$20,000.00	One Broad Street Plaza	Glens Falls	NY	12801	PC	Expanding Capacity in Two Adirondack Communities
12-01590	Hudson River HealthCare, Inc.	\$100,000.00	2/28/2014	\$20,000.00	1037 Main Street	Pekskill	NY	10566-2913	PC	Preserving Poughkeepsie Access to Care (PPAC)
13-02619	Hudson River HealthCare, Inc.	\$17,500.00	11/14/2014	\$14,000.00	1037 Main Street	Pekskill	NY	10566-2913	PC	Meeting the Mark: Achieving Excellence in Diabetes Care
13-02181	Ibero-American Action League, Inc.	\$15,000.00	10/31/2014	\$7,500.00	817 East Main Street	Rochester	NY	14605	PC	Scaling Up the National Diabetes Prevention Program
11-00010	Institute for Community Living, Inc.	\$372,587.00	8/29/2014	\$93,146.75	40 Rector Street, 8th Floor	New York	NY	10006	PC	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care Coordination
11-00010	Institute for Community Living, Inc.	\$372,587.00	3/29/2013	\$93,146.75	40 Rector Street, 8th Floor	New York	NY	10006	PC	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care Coordination
11-00963	Institute for Family Health	\$301,646.00	8/29/2014	\$75,411.00	16 East 18 Street	New York	NY	10003	PC	Preparing to Transform the Provider-Payer Relationship
12-01034	Institute for Family Health	\$97,500.00	11/29/2013	\$48,000.00	16 East 18 Street	New York	NY	10003	PC	Meeting the Mark: Achieving Excellence in Diabetes Care
12-01034	Institute for Family Health	\$97,500.00	8/29/2014	\$10,000.00	16 East 18 Street	New York	NY	10003	PC	Meeting the Mark: Achieving Excellence in Diabetes Care
13-01792	Iraq and Afghanistan Veterans of America, Inc.	\$175,106.00	8/29/2014	\$35,021.00	292 Madison Ave, 10th Floor	New York	NY	10017	PC	Connecting Veterans and Their Families to High-Quality Community Services
12-01635	Jewish Family Service of Buffalo and Erie County	\$250,000.00	9/30/2015	\$83,334.00	70 Barker Street	Buffalo	NY	14209	PC	Western New York Torture Survivor Center
12-01635	Jewish Family Service of Buffalo and Erie County	\$250,000.00	6/30/2014	\$83,333.00	70 Barker Street	Buffalo	NY	14209	PC	Western New York Torture Survivor Center
13-02409	Joelyn Levy & Associates, LLC	\$25,000.00	5/29/2015	\$5,000.00	501 Fifth Avenue, Suite 1702	New York	NY	10017	NC	Care Management in NY State: Emerging & Evolving Roles, Delivery Models, & Workforce Development Issues & Opportunities
11-00955	Legal Aid Society	\$189,769.00	3/10/2014	\$37,954.00	199 Water Street	New York	NY	10038	PC	Preserving Health Care for Homeless New Yorkers
13-02404	Lenox Hill Neighborhood House	\$115,000.00	5/29/2015	\$20,000.00	331 East 20th Street	New York	NY	10021	PC	Health Insurance Enrollment Program
12-01497	LHMH Medical, P C	\$77,500.00	11/28/2014	\$40,000.00	154 West 71 Street	New York	NY	10023	NC	Meeting the Mark: Achieving Excellence in Diabetes Care
13-02413	Long Island Gay and Lesbian Youth, Inc.	\$115,000.00	11/29/2013	\$20,000.00	34 Park Avenue	Bay Shore	NY	11706-7309	PC	Health Care Access Initiative
12-01240	Long Island Home	\$138,010.00	11/30/2015	\$27,602.00	400 Sunrise Highway	Amityville	NY	11701	PC	Integration of Mental Health Services in Pediatric Care
10-01793	Low Income Investment Fund	\$300,000.00	2/27/2015	\$60,000.00	350 Broadway, Suite 701	New York	NY	10013	PC	Developing Supermarkets in Low Income Communities
13-02240	Maimonides Medical Center	\$290,950.00	2/28/2014	\$58,190.00	4802 10th Avenue	Brooklyn	NY	11219	PC	Integrating Social and Clinical Services into a Total Cost of Care Model
13-02087	Make the Road New York	\$115,000.00	9/10/2014	\$20,000.00	92-10 Roosevelt Avenue	Jackson Heights	New York	11372	PC	Health Navigator Project
13-02352	Manhattan Institute for Policy Research, Inc.	\$44,008.00	8/30/2013	\$6,789.00	52 Vanderbilt Avenue	New York	NY	10017-3808	PC	Payment Reforms that Put Patients First: A Planning Project
13-02154	Medical Associates of the Hudson Valley	\$20,000.00	6/18/2013	\$16,000.00	360 Washington Avenue	Kingston	NY	12401	NC	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices
12-01372	Medicare Rights Center, Inc.	\$195,245.00	5/31/2013	\$39,049.00	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	PC	Improving the Development and Implementation of New York's Dual Integration Program
10-01914	Memorial Sloan-Kettering Cancer Center	\$243,948.00	11/24/2014	\$48,789.00	1275 York Avenue, Box 701	New York	New York	10017	PC	Food for Health Program
11-00212	MFL Legal Services, Inc.	\$50,000.00	10/31/2014	\$10,000.00	299 Broadway, 4th Floor	New York	NY	10007	PC	Mental Health Legal Partnership
13-02120	Monmouth Medical Center	\$7,500.00	6/30/2015	\$6,000.00	111 East 210th Street	Bronx	NY	10467	PC	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices

13-02135	Mount Sinai Hospital	\$85,000.00			\$17,000.00	320 East 94th Street	New York	NY	10128	Healthy Youth, Healthy Futures: A Blueprint for Integrated Adolescent Health Services
12-01626	Mount Sinai School of Medicine	\$247,785.00	6/30/2014		\$61,946.00	Box 1123, Mount Sinai School of Medicine, 1625 Madison Ave., Room 11-20C	New York	NY	10029-6574	Hepatitis C Virus Infection Telemedicine Collaborative Care Center
12-01626	Mount Sinai School of Medicine	\$247,785.00	12/16/2014		\$61,946.00	Box 1123, Mount Sinai School of Medicine, 1625 Madison Ave., Room 11-20C	New York	NY	10029-6574	Hepatitis C Virus Infection Telemedicine Collaborative Care Center
13-02343	Mount Sinai School of Medicine	\$52,500.00	2/15/2016		\$42,000.00	Box 1123, Mount Sinai School of Medicine, 1625 Madison Ave., Room 11-20C	New York	NY	10029-6574	Meeting the Mark: Achieving Excellence in Diabetes Care
12-01708	National Academy of Sciences	\$225,000.00	10/31/2013		\$45,000.00	300 3rd St., NW	Washington	DC	20001	Roundtable on Population Health Improvement
3215651	National Center on Addiction and Substance Abuse at Columbia University	\$439,906.00	5/31/2013		\$53,738.00	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$439,906.00	11/28/2014		\$53,738.00	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$439,906.00	5/30/2014		\$53,738.00	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
12-01343	New York Association on Independent Living, Inc.	\$199,994.00	10/24/2014		\$39,999.00	99 Washington Avenue, Suite, 806A	Albany	NY	12210-2808	Guiding Implementation of the Community First-Choice Option in New York
13-01811	New York City Environmental Justice Alliance, Inc.	\$98,598.00	2/28/2014		\$24,647.00	166A 22nd Street	Brooklyn	NY	11232	The Waterfront Project
13-01760	New York eHealth Collaborative, Inc.	\$97,500.00	8/29/2014		\$81,500.00	40 North Street	New York	NY	10013	Meeting the Mark: Achieving Excellence in Diabetes Care
12-01598	New York Health Plan Association Council, Inc.	\$80,385.00	3/31/2014		\$20,095.00	80 State Street, #825	Albany	NY	12207	New York State Duals Demonstration Capitation Analysis
10-02567	New York Lawyers for the Public Interest, Inc.	\$234,851.00	7/31/2014		\$46,970.00	151 West 30th Street, 11th Floor	New York	NY	10001-4017	Healthy Schools: Increasing and Improving Citizen Participation in NYC School Siting Process
11-00043	New York State Unified Court System	\$219,993.00	3/31/2014		\$54,998.00	25 Beaver Street, 8th Floor	New York	NY	10004	Resolving Medical Malpractice Cases with Judge Directed Negotiation: Upstate Expansion
13-02166	New York University	\$24,495.00	11/30/2015		\$4,899.00	McSilver Institute for Poverty, Policy, & Research	New York	NY	10003	Lessons: 30 Years of Integrating HIV Prevention & Care - Implications for the Creation & Implementation of Health Homes
13-02001	New York University	\$317,610.00	9/30/2014		\$63,522.00	McSilver Institute for Poverty, Policy, & Research, New York University, 41 East 11th Street, 7th Floor	New York	NY	10003	Introducing Fast-Track Outcome Assessments to New York's Mental Health Clinics
13-02128	New York University - College of Dentistry	\$75,000.00	1/31/2014		\$15,000.00	945 East 24th Street, 1037W	New York	NY	10010	A Model Partnership: Meeting Pediatric Dental Needs in Dutchess County
10-02139	New York University - College of Nursing	\$305,545.00	11/28/2014		\$57,297.00	728 Broadway, 10th Floor	NY	NY	10003	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural Areas
3664376	New York University - Robert F. Wagner Graduate School of Public Service	\$170,648.00			\$85,324.00	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Improving Care and Reducing Costs for High Cost Medicaid Patients, Phase 2
13-02324	New York University - School of Medicine	\$148,297.00	2/29/2016		\$29,659.00	550 First Avenue, 18th 6th floor, 624	New York	NY	10016	Evaluation Technical Assistance for NYS Health Foundation Grantees, Phase 5
12-01682	New York University - School of Medicine	\$239,822.00	1/31/2014		\$47,964.00	550 First Avenue, 18th 6th floor, 624	New York	NY		The Impact of the New York City Sugar Sweetened Beverage Policy on Calories Purchased and Consumed
2534737	Northern New York Rural Behavioral Health Institute, Inc.	\$263,925.00	2/27/2015		\$13,196.00	P.O. Box 891	Saratoga Lake	NY	12983-0891	HealthNet: Mental Health Services for North Country Elders
11-00917	Oak Orchard Community Health Center, Inc.	\$100,000.00	6/27/2014		\$20,000.00	300 West Avenue	Brockport	NY	14420	Preserving and Expanding Primary Care Capacity in Wyoming County, New York
12-01566	Open Door Family Medical Center, Inc.	\$100,000.00	5/29/2015		\$20,000.00	165 Main Street	Ossining	NY	10562	Expanding Oral and Behavioral Health in the Hudson Valley
12-01281	Patchogue Family Medical Care	\$5,000.00	6/23/2014		\$4,000.00	130 Medford Avenue	Patchogue	NY	11772	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices
13-02339	Peoples Equal Action and Community Effort	\$115,000.00	1/29/2015		\$20,000.00	371 South Salina Street, 2nd Floor	Syracuse	NY	13202	Grondara County Enrollment Network
13-01825	Perry Avenue Family Medical, Inc.	\$10,000.00	9/30/2013		\$8,000.00	3071 Perry Avenue	Bronx	New York	10467	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices
10-02685	Prime Care Physicians PLLC	\$82,500.00	4/30/2014		\$58,000.00	4 Atrium Drive	Albany	NY	12205	Meeting the Mark: Achieving Excellence in Diabetes Care
11-00110	Project Equinox, Inc.	\$300,000.00	9/31/2015		\$75,000.00	500 Central Avenue	Albany	NY	12206	Equinox and Charlowe Agency Merger
13-02013	Public Health Solutions	\$284,159.00	7/31/2014		\$75,000.00	500 Central Avenue	Albany	NY	12206	Equinox and Charlowe Agency Merger
13-02013	Public Health Solutions	\$284,159.00	8/29/2014		\$56,832.00	40 North Street 5th Floor	New York	NY	10013	Improving Women's Health Services at Community Health Centers
10-02414	Queens Library Foundation, Inc.	\$250,000.00	2/27/2015		\$15,675.00	89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Queens ConnectCare
13-01799	RAND Corporation	\$257,383.00	12/31/2014		\$51,476.00	1776 Main Street, P.O. BOX 2138	Santa Monica	CA	90407-2138	Examination of New York's Integrated Behavioral Health and Primary Care Services for People with Serious Mental Illness
12-01547	Reliuh Health Center, Inc.	\$100,000.00	11/10/2014		\$20,000.00	728 North Main Street	Spring Valley	NY	10977	Supporting a Healthy Community: Expanding Services in Sullivan County
12-01493	Reliuh Health Center, Inc.	\$198,800.00	2/27/2015		\$39,760.00	728 North Main Street	Spring Valley	NY	10977	Reliuh Health Center and Fidelis Care: Improving Quality and Aligning Financial Accountability
13-02210	Reliuh Health Center, Inc.	\$10,000.00	5/30/2014		\$8,000.00	728 North Main Street	Spring Valley	NY	10977	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice

NEW YORK STATE HEALTH FOUNDATION

EIN #30-0127892

December 31, 2013

Form 990-PF

PART IX-A Summary of Direct Charitable Activities

1) Learning and evaluation: Activities to learn from and communicate grantmaking results.

One of the Foundation's guiding principles is to "be a learning organization interested in measuring outcomes, communicating results, and diffusing successful ideas for implementation throughout New York State and beyond." These learning and evaluation activities increase the impact of the Foundation's grantmaking. Foundation staff develop grant outcome reports to capture and share the lessons of nearly every grant awarded and maintain a vibrant website to disseminate these lessons. Staff also spend time developing and shaping policy analyses and reports on key topics affecting New York's health system.

\$682,675

2) Leverage: Activities to raise funds and create partnerships with other organizations to increase the ability of grantees to improve the health of New Yorkers.

A key strategy for the Foundation is to attract and leverage public and private funds to complement and amplify its own grant dollars. In 2013, the Foundation helped secure nearly \$50 million in co-funding in support of initiatives to improve health in New York State. Examples include (1) a commitment of \$26 million from the U.S. Department of Veterans Affairs for community-based initiatives supporting returning veterans and their families and (2) a \$125,000 investment from the Dyson Foundation to co-fund with NYStateHealth a program in Poughkeepsie to expand oral health services for low-income children.

\$214,173

3) Outreach and education: Activities to convene key stakeholders and educate the media, policymakers, and other audiences about important health care trends and issues affecting New Yorkers and the New York health system.

Foundation staff regularly reach out to the media and respond to requests from reporters to inform their stories on pressing health issues; write blog posts, journal articles, and opinion pieces; share news and information via e-mail blasts and social media; and deliver speeches and presentations at meetings and conferences. The Foundation also serves as a neutral convener of key players in New York's health system. In 2013, the Foundation hosted nearly 40 convenings, from small, invitation-only discussions, to its "A Conversation With..." series (which brings together leaders in the field of health care to discuss issues pertinent to the Foundation's work), to large conferences attracting hundreds of attendees. These two large convenings were: (1) a November conference on health care costs and price transparency, attended by approximately 300 health care leaders, policymakers, and researchers and (2) a December conference (attended by approximately 250 people), co-hosted with the New York State Department of Health, on opportunities for improving population health and making New York the healthiest state.

\$736,851

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part II - Balance Sheet
Schedule of Portfolio Holdings
December 31, 2013

Type and Name of Security	Balance at December 31, 2013		
	No of Shares	Cost	Market Value
Equities			
iShares Russell 1000 ETF	374,300	25,554,839	38,616,531
Blackrock Russell 1000 Index Fund	2,100,102	29,713,395	47,330,816
Blackrock ACWI ex-US Index Fund	2,107,359	42,332,854	54,675,048
Blackrock Emerging Markets Index Fund	268,925	8,312,664	8,890,335
Van Eck Global Hard Assets Fund	338,003	16,025,201	16,862,990
Wellington Natural Resources Fund	1,580,673	17,453,495	16,359,964
Equity Funds Subtotal		139,392,448	182,735,683
Hard Assets			
iShares COMEX Gold ETF	918,500	9,982,573	10,728,080
Subtotal Hard Assets		9,982,573	10,728,080
Fixed Income Funds			
iShares Aggregate Bond Index	657,000	71,551,727	69,924,510
Subtotal Fixed Income Funds		71,551,727	69,924,510
Money Market Funds			
Blackrock Money Market Fund B	7,462	7,642	7,642
Subtotal Money Market		7,642	7,642
Type and Name of Bond	Par Value	Cost	Market Value
American Express Credit 5 125% BDS 8-25-2014	1,000,000	1,060,800	1,030,450
Blackrock 3 5% 1-Dec-2014	1,000,000	1,005,118	1,029,220
ConAgra Foods 3 2% 25-Jan-2023	1,000,000	996,655	928,430
CVS Caremark 5 3% 12-5-2043	500,000	499,030	512,725
Discovery Comm 3 7% 1-JUN-2015	1,000,000	998,985	1,040,550
Dow Chemical 5 7% 5/15/2018	2,870,000	261,882	328,130
FISERV 3 5% 1-Oct-2022	1,000,000	1,001,250	928,610
Goldman Sachs 3 7% 1-Aug-2015	1,000,000	1,001,194	1,041,290
JP Morgan 6 3% BDS 23-Apr-2019	1,000,000	1,092,690	1,180,250
Kroger 3 85% 01-Aug-2023	1,000,000	987,710	984,560
Mondelez International 6 5% 09-Feb-2040	875,000	866,565	1,045,625
Newmont Mining 5 125% 01/Oct/2019	500,000	497,510	518,546
Oracle 5 0% 7/8/19	1,000,000	998,050	1,132,090
Quest Diagnostics 3 2% 01/Apr/2016	1,000,000	1,003,229	1,037,040
Republic Services 5 25% Unsec Snr Nts 15-Nov-2021	1,000,000	1,001,788	1,090,250
Time Warner Inc 3 15% BDS 15-Jul-2015	240,000	239,714	248,638
Verizon 5 15% 08-15-2023	1,000,000	1,072,130	1,073,690
Viacom 4 375% Sept 15 2014	1,000,000	996,485	1,026,080
Wal-Mart Stores 5 25% 1/Sep/2035	1,000,000	1,198,270	1,086,330
Zoetis 3 25% 1-Feb-2023	1,000,000	1,007,157	935,670
Subtotal Corporate Bonds	19,985,000	17,786,211	18,198,174
NYC Ser D-1 Build Amer Bds 4 749% 01-Dec-2019	1,000,000	1,000,000	1,099,900.00
Ohio State Hwy Cap Impt-Ser M BAB 01-May-2025	1,000,000	1,000,000	1,055,290.00
Subtotal Taxable Municipal Bonds	2,000,000	2,000,000	2,155,190
TOTAL Investment HOLDINGS		\$ 240,720,600	\$ 283,749,279

New York State Health Foundation
 EIN# 30-0127892
 Form 990-PF
 Part II - Balance Sheet
 Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year	End of year	
	Book Value	Book Value	FMV
Leasehold Improvements	\$ 123,995	\$ 132,562	\$ 132,562
Leasehold Improvements - Accumulated Depreciation	(22,125)	(30,683)	(30,683)
Furniture Fixtures and Equipment	696,196	744,932	744,932
Furniture Fixtures and Equipment - Accumulated Depreciation	<u>(489,856)</u>	<u>(594,602)</u>	<u>(594,602)</u>
Total of Line 14 Column (A) and (B)	<u>\$ 308,210</u>	<u>\$ 252,209</u>	<u>\$ 252,209</u>

Expenditure Responsibility Grants Paid FYE 2013													
Request Number	Organization Name	Organization NA Item	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Total Payment Amount	Primary Address Line	Primary City	Primary State/Province	Primary Zip/Postal Code
13-02127	Asian American Accountable Care Organization	AAACO	170081116010	NC	Community Based Organizations in Partnership with Primary Care Provider(s)	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	9/11/2013	\$14,000.00	41 Elizabeth Street, 6th Floor	New York	NY	10013
13-02095	Benson Strategy Group, LLC	BSG	170081116010	NC	Consulting Firm	Oral Health Opinion Research	\$97,650.00	6/5/2013	\$48,825.00	777 3rd Avenue, 33 Floor	New York	New York	10017
13-02095	Benson Strategy Group, LLC	BSG	170081116010	NC	Consulting Firm	Oral Health Opinion Research	\$97,650.00	9/11/2013	\$47,511.00	777 3rd Avenue, 33 Floor	New York	New York	10017
10-02379	Central Brooklyn Medical Group, PC	Preferred Health Partners	170081116010	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$100,000.00	11/14/2013	\$70,000.00	1 MetroTech Center	Brooklyn	NY	11201
11-00950	Champlain Valley Health Network, Inc	CVHN	170081116010	NC	Primary Care Networks	Meeting the Mark: Achieving Excellence in Diabetes Care	\$40,000.00	1/3/2013	\$32,000.00	P.O. Box 2866	Plattsburgh	NY	12901
13-02108	Chinese Community Accountable Care Organization, Inc	CCACO	170081116010	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$52,500.00	10/1/2013	\$10,500.00	139 Centre Street Suite 218	New York	NY	10013
11-00433	Chinese Community Accountable Care Organization, Inc	CCACO	170081116010	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$115,000.00	5/15/2013	\$92,000.00	139 Centre Street, Suite 218	New York	NY	10013
13-02037	Chinese Community Accountable Care Organization, Inc	CCACO	170081116010	NC	Professional Associations	Meeting the Mark: Achieving Excellence in Diabetes Care	\$12,500.00	5/15/2013	\$12,500.00	139 Centre Street Suite 218	New York	NY	10013
13-01782	Cohnitznick LLP	J.H. Cohn, LLP	170081116010	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$147,250.00	4/16/2013	\$73,625.00	1212 Avenue of the Americas	New York	NY	10036
13-02150	Crystal Run Healthcare	CRHC	170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$87,500.00	9/11/2013	\$17,500.00	155 Crystal Run Road	Middletown	New York	10941
12-01279	East End Endocrine Associates		170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	5/15/2013	\$500.00	189 Main Road	Haverhead	NY	11961
13-01279	East End Endocrine Associates		170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	7/6/2013	\$2,000.00	189 Main Road	Haverhead	NY	11961
12-01031	Essex Medical Associates, P.C		170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$60,000.00	8/2/2013	\$48,000.00	2015 Grand Concourse Avenue	Bronx	NY	10453
14-02763	Essex Medical Associates, P.C		170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$17,500.00	8/2/2013	\$17,500.00	2015 Grand Concourse Avenue	Bronx	NY	10453
11-00416	Health Alliance Physicians PC	Health Alliance Physician Organization (HAPPO)	170081116010	NC	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$70,000.00	5/15/2013	\$48,000.00	730 S. Crouse Ave 1350 Connecticut Avenue NW, Suite 605	Syracuse	NY	13210
13-01785	Health Management Associates, Inc	HMA	170081116010	NC	Other: fill in the field below	Rpt: NY's Health's Work to Advance Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	\$50,024.00	4/4/2013	\$25,012.00	605	Washington	DC	20036
12-01481	Health Policy Associates, Inc	HPA	170081116010	NC	Consulting Firm	Rpt: NY's Health's Work to Advance Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	\$56,055.00	6/14/2013	\$5,500.00	847 25th Avenue	San Francisco	CA	94121
12-01481	Health Policy Associates, Inc	HPA	170081116010	NC	Consulting Firm	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 4	\$83,048.00	9/9/2013	\$6,120.00	847 25th Avenue	San Francisco	CA	94121
12-01375	IM Research and Service Corporation	New York Chapter, American College of Physicians (ACPP)	50964121	NC	Professional Associations	State: Emerging & Evolving Roles, Delivery Models, & Workforce Development Issues & Opportunities	\$18,000.00	10/29/2013	\$20,000.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
13-02409	Jacobson & Associates, LLC		Non Verifiable	NC	Consulting Firm	Meeting the Mark: Achieving Excellence in Diabetes Care	\$77,500.00	1/11/2013	\$15,500.00	154 West 71 Street	New York	NY	10023
12-01487	LIHM Medical, P.C.	Manhattan's Physician Group	170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	7/26/2013	\$4,000.00	360 Washington Avenue	Kingston	NY	12401
13-02154	Medical Associates of the Hudson Valley	MAHV	170081116010	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	7/26/2013	\$4,000.00	360 Washington Avenue	Kingston	NY	12401
11-00286	New York City Health and Hospitals Corporation	NHC	170081116010	NC	Primary Care Networks	Older Adults Care About Their Health Initiative	\$65,000.00	4/19/2013	\$13,000.00	346 Broadway Rm 1115	New York	NY	10013

25-0706	New York City Health and Hospitals Corporation - Elmhurst Hospital Center	1708111A(1)	NC	Hospital	New Mothers Breastfeeding Education and Support	\$156,027.00	3/29/2013	\$31,205.00	75-01 Broadway	Elmhurst	NY	11373
12-0181	Pachogue Family Medical Care	1708111A(1)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	5/15/2013	\$1,000.00	150 Medford Avenue	Pachogue	NY	11372
13-0185	Perry Avenue Family Medical, Inc	1708111A(1)	NC	Community Health Center	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$10,000.00	5/15/2013	\$2,000.00	3071 Perry Avenue	Bronx	New York	10467
10-02391	Rusha Family Medicine, P C	1708111A(1)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	1/15/2013	\$2,000.00	1200 Main Street	Buffalo	NY	14214
13-02100	Tha Design Firm, Inc	1708111A(1)	NC	Other	Cover New York: A Visualization for Health Reform	\$28,000.00	7/17/2013	\$14,000.00	601 Van Ness Avenue	San Francisco	CA	94102
13-02100	Tha Design Firm, Inc	1708111A(1)	NC	Other	Cover New York: A Visualization for Health Reform	\$28,000.00	10/31/2013	\$14,000.00	601 Van Ness Avenue	San Francisco	CA	94102
13-01430	W N Y Medical, P C	1708111A(1)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$32,500.00	11/27/2013	\$26,000.00	4979 Harlem Road	Amherst	NY	14226
13-01430	W N Y Medical, P C	1708111A(1)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$32,500.00	7/29/2013	\$6,500.00	4979 Harlem Road	Amherst	NY	14226
13-02678	W N Y Medical, P C	1708111A(1)	NC	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care	\$12,500.00	11/27/2013	\$12,500.00	4979 Harlem Road	Amherst	NY	14226
Total								\$746,866.70				

New York State Health Foundation

EIN# 30-0127892

December 31, 2013

Form 990-PF: Page 9: Line 4(c)

The Foundation received two contributions from non-operating foundations which it expensed or redistributed. In accordance with IRS Reg. 53-4942(a)-3(d) the Foundation is electing to treat the \$190,000 not as a qualifying distribution but as a distribution out of corpus.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	ATTACHMENT A	P	VARIOUS	VARIOUS
b	WELLINGTON REALIZED LOSSES THROUGH K-1	P	VARIOUS	VARIOUS
c	OAK TREE REALIZED GAINS THROUGH K-1	P	VARIOUS	VARIOUS
d	BLACKROCK BARCLAY'S GOVERNMENT CREDIT INDEX FUND	P	VARIOUS	VARIOUS
e	BLACKROCK 7-10 TREASURY FUND NON LENDING GAINS TH	P	VARIOUS	VARIOUS
f	BLACKROCK US TIPS FUND GAINS THROUGH K-1	P	VARIOUS	VARIOUS
g	BLACKROCK MSCI EMERGING MARKETS INDEX FUND GAINS	P	VARIOUS	VARIOUS
h	BLACKROCK ACWI EX-US INDEX FUND GAINS THROUGH K-1	P	VARIOUS	VARIOUS
i	BLACKROCK RUSSELL 1000 B INDEX FUND GAINS THROUGH	P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 121,982,032.		120,804,247.	1,177,785.
b			-1,226,986.
c			509,606.
d			47,791.
e			223,162.
f			46,564.
g			54,152.
h			6,444,445.
i			5,492,671.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,177,785.
b			-1,226,986.
c			509,606.
d			47,791.
e			223,162.
f			46,564.
g			54,152.
h			6,444,445.
i			5,492,671.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	12,769,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. NEW YORK STATE HEALTH FOUNDATION	Employer identification number (EIN) or 30-0127892
	Number, street, and room or suite no. If a P.O. box, see instructions. 1385 BROADWAY, 23RD FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No. ► **212-664-7656** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ► ☐ . If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	362,053.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	548,248.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.