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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation COLONEL (IL) JAMES N. PRITZKER CHARITABLE DISTRIBUTION FUND		A Employer identification number 30-0040386
Number and street (or P O box number if mail is not delivered to street address) 104 SOUTH MICHIGAN AVE.	Room/suite 525	B Telephone number (312) 374-9390
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 52,977,195. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,598,411.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	268,948.	268,948.		STATEMENT 1
	4 Dividends and interest from securities	761,753.	761,753.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,731,815.			
	b Gross sales price for all assets on line 6a	10,787,266.			
	7 Capital gain net income (from Part IV, line 2)		1,731,815.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	13,360,927.	2,762,516.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	214,782.			214,782.
	15 Pension plans, employee benefits	39,400.			39,400.
	16a Legal fees				
	b Accounting fees	STMT 3 33,507.	0.		33,507.
	c Other professional fees	STMT 4 232,228.	232,228.		0.
	17 Interest				
	18 Taxes	STMT 5 26,276.	12,891.		13,385.
	19 Depreciation and depletion	4,000.	0.		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	7,401.	0.		7,401.
	23 Other expenses	STMT 6 223,016.	0.		79,644.
	24 Total operating and administrative expenses Add lines 13 through 23	780,610.	245,119.		388,119.
	25 Contributions, gifts, grants paid	12,178,719.			12,178,719.
26 Total expenses and disbursements Add lines 24 and 25	12,959,329.	245,119.		12,566,838.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	401,598.				
b Net investment income (if negative, enter -0-)		2,517,397.			
c Adjusted net income (if negative, enter -0-)			N/A		

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CHARITABLE DISTRIBUTION FUND

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	16,244,599.	14,131,669.	14,131,669.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	20,878,819.	22,905,176.	31,215,926.
	c Investments - corporate bonds STMT 8	7,220,380.	7,712,551.	7,625,600.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶ 56,215.				
Less: accumulated depreciation STMT 9 ▶ 52,215.	8,000.	4,000.	4,000.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	44,351,798.	44,753,396.	52,977,195.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	44,351,798.	44,753,396.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	44,351,798.	44,753,396.		
31 Total liabilities and net assets/fund balances	44,351,798.	44,753,396.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	44,351,798.
2 Enter amount from Part I, line 27a	2	401,598.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	44,753,396.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	44,753,396.

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CHARITABLE DISTRIBUTION FUND**

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 10,787,266.		9,055,451.	1,731,815.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			1,731,815.	
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 1,731,815.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	3,541,058.	38,093,711.	.092956
2011	7,733,756.	29,742,707.	.260022
2010	21,519,610.	30,975,486.	.694730
2009	13,846,563.	29,160,426.	.474841
2008	9,850,034.	31,738,886.	.310346
2 Total of line 1, column (d)			2 1.832895
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .366579
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 50,739,353.
5 Multiply line 4 by line 3			5 18,599,981.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 25,174.
7 Add lines 5 and 6			7 18,625,155.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.			8 12,566,838.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	50,348.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	50,348.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	50,348.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	23,207.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	30,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	53,207.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,859.	
11 Enter the amount of line 10 to be. Credited to 2014 estimated tax ☐ 2,859. Refunded ☐ 0.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.TAWANIFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>JOE PSIODA</u> Telephone no. ► <u>312-374-9455</u> Located at ► <u>104 S. MICHIGAN AVE., SUITE 500, CHICAGO, IL</u> ZIP+4 ► <u>60603</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continues)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LISA LANZ - 104 S. MICHIGAN AVE, SUITE 525, CHICAGO, IL 60603	EXECUTIVE DIRECTOR 40.00	158,794.	23,764.	0.
KAREEMA CRUZ - 104 S. MICHIGAN AVE, SUITE 525, CHICAGO, IL 60603	EXECUTIVE ASSISTANT 40.00	53,350.	8,092.	0.

Total number of other employees paid over \$50,000

0

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Q

0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	34,958,274.
b	Average of monthly cash balances	1b	16,553,759.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	51,512,033.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	51,512,033.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	772,680.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,739,353.
6	Minimum investment return Enter 5% of line 5	6	2,536,968.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,536,968.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	50,348.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	50,348.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,486,620.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,486,620.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,486,620.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	12,566,838.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,566,838.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	12,566,838.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,486,620.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	8,281,948.			
b From 2009	12,404,226.			
c From 2010	19,986,890.			
d From 2011	6,271,569.			
e From 2012	1,651,665.			
f Total of lines 3a through e	48,596,298.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 12,566,838.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,486,620.
e Remaining amount distributed out of corpus	10,080,218.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	58,676,516.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	8,281,948.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	50,394,568.			
10 Analysis of line 9:				
a Excess from 2009	12,404,226.			
b Excess from 2010	19,986,890.			
c Excess from 2011	6,271,569.			
d Excess from 2012	1,651,665.			
e Excess from 2013	10,080,218.			

COLONEL (IL) JAMES N. PRITZKER
CHARITABLE DISTRIBUTION FUND

30-0040386 Page 11

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT 104 SOUTH MICHIGAN AVENUE, SUITE 525 CHICAGO, IL 60603		VARIOUS	CIVIC AND EDUCATION	12,178,719.
Total			3a	12,178,719.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	268,948.		
4 Dividends and interest from securities			14	761,753.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	1,731,815.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		2,762,516.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	2,762,516.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

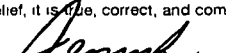
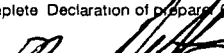
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return (including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	 Signature of officer or trustee	 Date	PRESIDENT Title		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	GREGORY S. ADAMS				P00095597
	Firm's name ▶ CLIFTONLARSONALLEN LLP				Firm's EIN ▶ 41-0746749
	Firm's address ▶ 1301 W. 22ND ST, STE 1100 OAK BROOK, IL 60523				Phone no (630) 573-8600

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

COLONEL (IL) JAMES N. PRITZKER
CHARITABLE DISTRIBUTION FUND

Employer identification number

30-0040386

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization

COLONEL (IL) JAMES N. PRITZKER
CHARITABLE DISTRIBUTION FUND

Employer identification number

30-0040386

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	COL (IL) J.N. PRITZKER, IL ARNG (RETIRED) 104 SOUTH MICHIGAN AVENUE, SUITE 500 CHICAGO, IL 60603	\$ 9,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	COLSON TRUST, LEWIS COLLENS, TRUSTEE 104 SOUTH MICHIGAN AVENUE, SUITE 500 CHICAGO, IL 60603	\$ 945,039.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	TAL HAVA PRITZKER 104 SOUTH MICHIGAN AVENUE, SUITE 500 CHICAGO, IL 60603	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

COLONEL (IL) JAMES N. PRITZKER
CHARITABLE DISTRIBUTION FUND

Employer identification number

30-0040386

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

COLONEL (IL) JAMES N. PRITZKER
CHARITABLE DISTRIBUTION FUND

30-0040386

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THE NORTHERN TRUST COMPANY # 2659564 (SEE ATTACHE	P	01/01/13	12/31/13
b	THE NORTHERN TRUST COMPANY # 2659566 (SEE ATTACHE	P	01/01/13	12/31/13
c	THE NORTHERN TRUST COMPANY # 2659584 (SEE ATTACHE	P	01/01/13	12/31/13
d	THE NORTHERN TRUST COMPANY # 2659594 (SEE ATTACHE	P	01/01/13	12/31/13
e	THE NORTHERN TRUST COMPANY # 2659563 (SEE ATTACHE	P	01/01/13	12/31/13
f	THE NORTHERN TRUST COMPANY # 2659137 (SEE ATTACHE	P	01/01/13	12/31/13
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,381,358.		1,399,824.	-18,466.
b 3,596,298.		2,605,182.	991,116.
c 1,985,290.		1,782,288.	203,002.
d 1,134,490.		961,719.	172,771.
e 2,441,312.		2,064,740.	376,572.
f		241,698.	-241,698.
g 248,518.			248,518.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-18,466.
b			991,116.
c			203,002.
d			172,771.
e			376,572.
f			-241,698.
g			248,518.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,731,815.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	268,948.	268,948.	
TOTAL TO PART I, LINE 3	268,948.	268,948.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND AND INTEREST FROM SECURITIES	1,010,271.	248,518.	761,753.	761,753.	
TO PART I, LINE 4	1,010,271.	248,518.	761,753.	761,753.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	33,507.	0.		33,507.
TO FORM 990-PF, PG 1, LN 16B	33,507.	0.		33,507.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	232,228.	232,228.		0.
TO FORM 990-PF, PG 1, LN 16C	232,228.	232,228.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	13,385.	0.		13,385.	
EXCISE TAX	12,891.	12,891.		0.	
TO FORM 990-PF, PG 1, LN 18	26,276.	12,891.		13,385.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER EMPLOYEE EXPENSES	24,527.	0.		24,527.	
REPAIRS AND MAINTENANCE	2,022.	0.		2,022.	
SUPPLIES	1,728.	0.		1,728.	
TELEPHONE AND UTILITIES	3,431.	0.		3,431.	
DUES AND SUBSCRIPTIONS	294.	0.		294.	
INSURANCE	6,172.	0.		6,172.	
MISCELLANEOUS	2,471.	0.		2,471.	
SOFTWARE EXPENSE	13,091.	0.		13,091.	
PROMOTION	6,067.	0.		6,067.	
SHIPPING EXPENSES	2,367.	0.		2,367.	
EVENTS	17,474.	0.		17,474.	
LIBRARY AWARDS PROGRAM	143,372.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	223,016.	0.		79,644.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK	22,905,176.	31,215,926.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	22,905,176.	31,215,926.		

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
FIXED INCOME INVESTMENTS	7,712,551.	7,625,600.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,712,551.	7,625,600.	

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
RAISERS EDGE SOFTWARE	4,850.	4,850.	0.
FURNITURE & FIXTURES	39,365.	39,365.	0.
GRANT MAKING SOFTWARE	12,000.	8,000.	4,000.
TOTAL TO FM 990-PF, PART II, LN 14	56,215.	52,215.	4,000.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
COL (IL) J.N. PRITZKER, IL ARNG (RET) 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	PRESIDENT 1.00	0.	0. 0.
CHARLES E. DOBRUSIN 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	DIRECTOR 1.00	0.	0. 0.
DAVID R. PELIZZON 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	DIRECTOR 1.00	0.	0. 0.
LEWIS COLLENS 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	DIRECTOR 1.00	0.	0. 0.
GUY SELLARS 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	TREASURER 1.00	0.	0. 0.
MARY PARTHE 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	DIRECTOR 1.00	0.	0. 0.
TAL HAVA PRITZKER 104 S. MICHIGAN AVE, STE 500 CHICAGO, IL 60603	DIRECTOR 1.00	0.	0. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0. 0.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
12	FURNITURE & FIXTURES														
	FURNITURE & FIXTURES	12/31/07	SL	5.00		16	39,365.				39,365.	39,365.		0.	39,365.
	* 990-PF PG 1 TOTAL														
	FURNITURE & FIXTURES						39,365.				39,365.	39,365.		0.	39,365.
	OTHER														
4	RAISERS EDGE SOFTWARE	06/10/05	SL	3.00		16	4,850.				4,850.	4,850.		0.	4,850.
13	GRANT MAKING SOFTWARE	12/31/11	SL	3.00		16	12,000.				12,000.	4,000.	4,000.		8,000.
	* 990-PF PG 1 TOTAL OTHER						16,850.				16,850.	8,850.		4,000.	12,850.
	* GRAND TOTAL 990-PF PG 1 DEPR						56,215.				56,215.	48,215.		4,000.	52,215.



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 1 of 11

26-59137

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N. PRITZKER CHARITABLE

Contents

Letter	2
Tax Summary	4
Combined Year-End 1099 Forms	5
Form 1099-MISC: Miscellaneous Income	6
Dividends and Interest Detail	7
Other Tax Information	9
Form 1099 Instructions for Recipient	10

Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Questions?

If you have any questions regarding this tax information statement, please call Linda M Benedino at (312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 3 of 11
26-59137
XX-XXX0386
Ref: NMR

Account Number:
Tax ID Number:

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 4 of 11
Account Number: 26-59137
Tax ID Number: XX-XXX0386
Ref: NMR

Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year.....	\$30,681.55	\$30,681.55
Qualified	\$18,439.60	\$18,439.60
Long Term Gains and Losses:		
Capital Gain Distributions:		
Capital gain distributions	\$241,697.71	\$241,697.71
Other Income	(N/A)	\$1,679.50

DEDUCTIONS

	Total
Agency Fees	\$5,719.26

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
XX-XXX0386
Recipient's Tax ID Number:
36-7158276
Payer's Federal ID Number:
(312)557-0211

26-59137
2nd TIN notice
☐ Corrected

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions		OMB No. 1545-0110
1a Total ordinary dividends	\$30,681.55	
1b Qualified dividends	\$18,439.60	
2a Total capital gain distributions	\$241,697.71	
2b Unrecaptured Section 1250 Gain		
2c Section 1202 gain		
2d Collectibles (28%) gain		
3 Nondividend distributions		
4 Federal income tax withheld		
5 Investment expenses		
6 Foreign tax paid		
7 Foreign country or U.S. possession		
8 Cash liquidation distributions		
9 Non-cash liquidation distributions		
10 Exempt-interest dividends		
11 Specified private activity bond interest dividends		
12 State		IL
13 State identification number		
14 State tax withheld		

Form 1099-INT Interest Income		OMB No. 1545-0112
1 Interest income		
2 Early withdrawal penalty		
3 Interest on U.S. Savings Bonds and Treasury obligations		
4 Federal income tax withheld		
5 Investment expenses		
6 Foreign tax paid		
7 Foreign country or U.S. possession		
8 Tax-exempt interest		
9 Specified private activity bond interest		
10 Tax-exempt bond CUSIP no.		
11 State		
12 State identification number		
13 State tax withheld		

Summary of Form 1099-B	
Proceeds from Broker and Barter Exchange Transactions	
2 Sales price of stocks, bonds, etc.	
3 Cost or other basis	
4 Federal income tax withheld	
5 Wash sale loss disallowed	
9 Profit or (loss) realized in 2013 on closed contracts	
10 Unrealized profit or (loss) on open contracts - 2012	
11 Unrealized profit or (loss) on open contracts - 2013	
12 Aggregate profit or (loss) on contracts	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement

Summary of Form 1099-OLD	
Original Issue Discount	
1 Original issue discount for 2013	
2 Other periodic interest	
3 Early withdrawal penalty	
4 Federal income tax withheld	
5 Foreign tax paid	
6 Foreign country or U.S. possession	
8 Original issue discount on US Treasury obligations	
9 Investment expenses	

Original Issue Discount Amounts are reported individually to the IRS. Refer to the 1099-OLD section of this Tax Information Statement

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-59137
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Questions? (312)557-0211
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Ref: NMR

2013 Form 1099-MISC: Miscellaneous Income

OMB No. 1545-0115

1. Rents	
2. Royalties	
3. Other income	
4. Federal income tax withheld	\$1,679.50
5. Fishing boat proceeds	
6. Medical and health care payments	
7. Nonemployee compensation	
8. Substitute payments in lieu of dividends or interest	
9. Payer made direct sales of \$5,000 or more of consumer products to a buyer (recipient) for resale	☐
10. Crop insurance proceeds	
11. Foreign tax paid	
12. Foreign country	
13. Excess golden parachute payments	
14. Gross proceeds paid to an attorney	
15a. Section 409A deferrals	
15b. Section 409A income	

16. State tax withheld	Payer's state no
17. State. IL	
18. State income	

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Dividends and Interest Detail

Qualified Dividends Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFO DREYFUS OPPORTUNISTIC MID CAP VALUE A	18,439.60
Total Reported on Form 1099-DIV	\$18,439.60
Total Qualified Domestic Dividends	\$18,439.60
Total Qualified Dividends included on 1099-DIV box 1a and 1b	\$18,439.60

Non-Qualified Dividends Non-Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFO DREYFUS OPPORTUNISTIC MID CAP VALUE A	12,241.95
Total Reported on Form 1099-DIV	\$12,241.95
Total Non-Qualified Domestic Dividends	\$12,241.95
Total Non-Qualified Dividends included in Form 1099-DIV box 1a	\$12,241.95

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Capital Gain Distributions

Description	Amount of dividend
Reported on Form 1099-DIV #REORG/MF GLOBAL LTD NAME CHANGE MF GLOBAL HOLDINGS LTD 2056953 EFF 1/4/10	526.24
MFQ DREYFUS OPPORTUNISTIC MID CAP VALUE A	241,171.47
Total Reported on Form 1099-DIV	\$241,697.71
Total capital gain distributions	\$241,697.71

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Other Tax Information

Other Income

Description

MFO DREYFUS OPPORTUNISTIC MID CAP VALUE A
Total other income

Amount of
income
1,679.50
1,679.50

Deductions
Agency Fees

Description

Trustee/Executor Fees-Income (allocable)

Total Agency Fees

Amount of
expense
5,719.26
5,719.26

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
26-59137
Tax ID Number:
XX-XXX0386

Ref: NMR

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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XX-XXX0386
Ref: NMR

Miscellaneous Income - Form 1099-MISC Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is \$400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub 334 for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES (or Form 1040-ES(NR)). Individuals must report these amounts as explained in the box 7 instructions on this page. Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax return.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business.

Box 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub 544.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. An amount in this box means the fishing boat operator considers you self-employed. Report this amount on Schedule C (Form 1040). See Pub 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. Shows nonemployee compensation. If you are in the trade or business of catching fish, box 7 may show cash you received for the sale of fish. If the amount in this box is SE income, report it on Schedule C or F (Form 1040), and complete Schedule SE (Form 1040). You received this form instead of Form W-2 because the payer did not consider you an employee and did not withhold income tax or social security and Medicare tax. If you believe you are an employee and cannot get the payer to correct this form, report the amount from box 7 on Form 1040, line 7 (or Form 1040NR, line 8). You must also complete Form 8919 and attach it to your return. If you are not an employee but the amount in this box is not SE income (for example, it is income from a sporadic activity or a hobby), report it on Form 1040, line 21 (or Form 1040NR, line 21).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040 (or Form 1040NR).

Box 9. If checked, \$5,000 or more of sales of consumer products was paid to you on a buy-sell, deposit-commission, or other basis. A dollar amount does not have to be shown. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 10. Report this amount on Schedule F (Form 1040).

Box 11. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 12. Shows the country or U.S. possession to which the foreign tax was paid.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See the Form 1040 (or Form 1040NR) instructions for where to report.

Box 14. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 15a. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 15b. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. This amount is also included in box 7 as nonemployee compensation. Any amount included in box 15a that is currently taxable is also included in this box. This income is also subject to a substantial additional tax to be reported on Form 1040 (or Form 1040NR). See "Total Tax" in the Form 1040 (or Form 1040NR) instructions.

Boxes 16-18. Shows state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099misc.

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 1 of 83
Account Number: 26-59594
Tax ID Number: XX-XXX0386
Ref: NMR

From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N PRITZKER CHARITABLE

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Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Questions?

If you have any questions regarding this tax information statement, please call Linda M Benedino at (312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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26-59594
XX-XXX0386
Ref: NMIR

Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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26-59594
XX-XXX0386
Ref: NMR

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 4 of 83
Account Number: 26-59594
Tax ID Number: XX-XXX0386
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Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year	\$19,545.52	\$19,545.52
Qualified	\$15,103.13	\$15,103.13
U.S. Government Interest Reported as Dividends:		
Total for year	\$1.66	\$1.66
Qualified	\$0.00	\$0.00
Foreign Dividends:		
Total for year	\$984.02	\$984.02
Qualified	\$984.02	\$984.02
Short Term Gains and Losses:		
Other Short Term (Basis information reported to the IRS):		
Sales Price	\$481,780.55	\$481,780.55
Cost or other basis	\$419,575.91	\$419,575.91
Wash sale loss disallowed	\$96.71	\$96.71
Net gain/loss	(N/A)	\$62,301.34
Long Term Gains and Losses:		
Capital Gain Distributions:		
Capital gain distributions	\$89.35	\$89.35
Unrecaptured Section 1250 Gain	\$95.56	\$95.56
Other Long Term (Basis information reported to the IRS):		
Sales Price	\$363,933.60	\$363,933.60
Cost or other basis	\$312,444.74	\$312,444.74
Wash sale loss disallowed	\$1,096.54	\$1,096.54
Net gain/loss	(N/A)	\$52,585.33
Other Long Term (Basis information not reported to the IRS):		
Sales Price	\$288,776.19	\$288,776.19
Cost or other basis	(N/A)	\$231,048.11



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

26-59594

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

INCOME

	Reported on Combined 1099	Total
Wash sale loss disallowed.....	(N/A)	\$245.47
Net gain/loss.....	(N/A)	\$57,973.52

DEDUCTIONS

	Total
Agency Fees.....	\$4,661.58
Other Deductions Subject to 2% Limitation.....	\$19,849.00

MISCELLANEOUS

	Reported on 1099	Total
Nondividend Distributions.....	\$1,250.36	\$1,250.36
Foreign Taxes Paid on Dividends and Interest.....	\$54.90	\$54.90

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
XX-XXX0386
Recipient's Tax ID Number:
36-7158276
Payer's Federal ID Number:
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26-59594
2nd TIN notice
☐ Corrected

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions OMB No. 1545-0110

1a Total ordinary dividends	\$20,531.20
1b Qualified dividends	\$16,087.15
2a Total capital gain distributions	\$184.91
2b Unrecaptured Section 1250 Gain	\$95.56
2c Section 1202 gain	
2d Collectibles (28%) gain	
3 Nondividend distributions	\$1,250.36
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	\$54.90
7 Foreign country or U.S. possession	Various
8 Cash liquidation distributions	
9 Non-cash liquidation distributions	
10 Exempt-interest dividends	
11 Specified private activity bond interest dividends	
12 State	IL
13 State identification number	
14 State tax withheld	

Summary of Form 1099-B Proceeds from Broker and Barter Exchange Transactions

2 Sales price of stocks, bonds, etc.	\$1,134,490.34
3 Cost or other basis	\$732,020.65
4 Federal income tax withheld	
5 Wash sale loss disallowed	\$1,193.25
9 Profit or (loss) realized in 2013 on closed contracts	
10 Unrealized profit or (loss) on open contracts - 2012	
11 Unrealized profit or (loss) on open contracts - 2013	
12 Aggregate profit or (loss) on contracts	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement

Form 1099-INT Interest Income OMB No. 1545-0112

1 Interest income	
2 Early withdrawal penalty	
3 Interest on U.S. Savings Bonds and Treasury obligations	
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	
7 Foreign country or U.S. possession	
8 Tax-exempt interest	
9 Specified private activity bond interest	
10 Tax-exempt bond CUSIP no.	
11 State	
12 State identification number	
13 State tax withheld	

Summary of Form 1099-OLD Original Issue Discount

1 Original issue discount for 2013	
2 Other periodic interest	
3 Early withdrawal penalty	
4 Federal income tax withheld	
5 Foreign tax paid	
6 Foreign country or U.S. possession	
8 Original issue discount on US Treasury obligations	
9 Investment expenses	

Original Issue Discount Amounts are reported individually to the IRS. Refer to the 1099-OLD section of this Tax Information Statement

Account Number:
26-59594
Tax ID Number:
XX-XXX0386
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2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Summary of Foreign Investments

Country	Foreign Dividends Qualified Non-Qualified	Foreign Interest	Foreign Income	Foreign Tax Paid
Reported on 1099 Forms DIV or INT	1099-DIV Box 1	1099-INT Box 1	1099-DIV/INT Box 6	
Bermuda	235.77		235.77	
Canada	282.00		282.00	54.90
Other countries	466.25		466.25	
Total Reported on 1099 Forms DIV or INT	984.02		984.02	54.90
Total Foreign Investments	984.02		984.02	54.90

(Enter on Form 1116)

Important Tax Return Documents Enclosed

2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld		State Tax Withheld	
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)			
Short Term Sales Reported on 1099-B											
239359102	DAWSON GEOPHYSICAL CO COM		DWSN								
200.0000	01/02/2013	Various	5,420.14	5,897.25	0.00	-477.11	0.00	0.00			
559079207	MAGELLAN HLTH SVCS INC COM NEW		MGLN								
25.0000	01/02/2013	04/30/2012	1,218.06	1,111.16	0.00	106.90	0.00	0.00			
595137100	MICROSEMI CORP		MSCC								
150.0000	01/02/2013	Various	3,215.76	2,760.64	0.00	455.12	0.00	0.00			
48123V102	J2 GLOBAL INC COM		JCOM								
25.0000	01/03/2013	06/14/2012	790.23	610.23	0.00	180.00	0.00	0.00			
559079207	MAGELLAN HLTH SVCS INC COM NEW		MGLN								
25.0000	01/03/2013	04/30/2012	1,217.97	1,111.15	0.00	106.82	0.00	0.00			
595137100	MICROSEMI CORP		MSCC								
25.0000	01/03/2013	07/06/2012	544.45	464.00	0.00	80.45	0.00	0.00			
896215209	TRIMAS CORP COM NEW COM NEW		TRS								
50.0000	01/07/2013	11/16/2012	1,432.20	1,151.12	0.00	281.08	0.00	0.00			
48123V102	J2 GLOBAL INC COM		JCOM								
50.0000	01/08/2013	06/14/2012	1,588.26	1,220.47	0.00	367.79	0.00	0.00			

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2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 15)
896215209	TRIMAS CORP COM NEW COM NEW	TRS	(Box 4)
50.0000	01/08/2013 Various	1,437.14	(Box 5)
		Cost or Other Basis (Box 3)	Net Gain or Loss
896215209	TRIMAS CORP COM NEW COM NEW	TRS	1,242.06
50.0000	01/08/2013 12/20/2012	1,436.30	195.07
128030202	CAL MAINE FOODS INC COM NEW STK	CALM	103.31
25.0000	01/09/2013 07/31/2012	1,018.20	74.16
393657101	GREENBRIER COMPANIES INC	GBX	0.00
50.0000	01/09/2013 12/20/2012	892.52	-14.36
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	-16.76
25.0000	01/10/2013 12/20/2012	619.24	0.00
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	-15.52
25.0000	01/10/2013 12/20/2012	620.48	0.00
29084Q100	EMCOR GROUP INC COM	EME	0.00
25.0000	01/11/2013 07/31/2012	873.21	217.14
48123V102	J2 GLOBAL INC COM	JCOM	0.00
25.0000	01/11/2013 06/21/2012	801.24	175.56
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	-15.33
25.0000	01/11/2013 12/20/2012	620.67	0.00

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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
212485106	CONVERGY CORP COM	CVG	25.0000	01/14/2013	02/07/2012	420.38	337.65	0.00	82.72	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	GLPW	25.0000	01/14/2013	12/21/2012	409.82	430.39	20.57	0.00	0.00	0.00
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	25.0000	01/15/2013	03/13/2012	620.48	672.33	0.00	-51.85	0.00	0.00
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	25.0000	01/16/2013	03/13/2012	620.81	672.34	0.00	-51.53	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM	25.0000	01/17/2013	11/15/2012	385.12	424.69	39.57	0.00	0.00	0.00
239359102	DAWSON GEOPHYSICAL CO COM	DWSN	100.0000	01/17/2013	02/03/2012	2,391.12	3,482.31	0.00	-1,091.19	0.00	0.00
806882106	SCHNITZER STL INDS CL A	SCHN	75.0000	01/17/2013	Various	2,235.63	2,223.64	0.00	11.99	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM	25.0000	01/18/2013	11/15/2012	406.24	424.68	18.44	0.00	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM	24.9931	01/18/2013	11/15/2012	406.45	424.57	0.00	-18.12	0.00	0.00

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Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

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COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
026375105	AMERICAN GREETINGS CORP CLASS A	AM	(Box 1e)								
25.0069	01/18/2013 11/15/2012					406.67	424.80	18.13	0.00	0.00	0.00
212485106	CONVERGY CORP COM	CVG									
75.0000	01/18/2013 02/07/2012					1,262.23	1,012.96	0.00	249.27	0.00	0.00
212485106	CONVERGY CORP COM	CVG									
50.0000	01/18/2013 02/07/2012					842.93	675.31	0.00	167.62	0.00	0.00
239359102	DAWSON GEOPHYSICAL CO COM	DWSN									
300.0000	01/18/2013 Various					7,158.09	11,195.93	0.00	-4,037.84	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	ANH									
100.0000	01/22/2013 12/20/2012					621.98	592.93	0.00	29.05	0.00	0.00
585028106	MEDNAX INC COM	MD									
25.0000	01/22/2013 07/24/2012					2,164.07	1,533.61	0.00	630.46	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM									
25.0000	01/29/2013 11/12/2012					399.31	426.74	0.00	-27.43	0.00	0.00
501242101	KULICKE & SOFFA INDUSTRIES INC	KLIC									
75.0000	01/29/2013 06/14/2012					870.56	702.98	0.00	167.58	0.00	0.00
872417308	TGC INDS INC COM NEW COM NEW	TGE									
35.0000	01/29/2013 08/02/2012					314.33	215.81	0.00	98.52	0.00	0.00

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CHICAGO, IL 60680

Account Number:

Recipient's Tax ID Number: 26-59594
XX-XX0386

Payer's Federal ID Number: 36-7158276

Payer's State ID Number:

State: IL

Questions? (312)557-0211

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:

COLONEL (IL) JAMES N. PRITZKER

TAWANI ENTERPRISES

104 S MICHIGAN AVE.

SUITE 500

CHICAGO, IL 60603

Ref: NMR

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Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss			
(Box 1e) 886885102	(Box 1a) TILLYS INC CL A	(Box 1b)	TLYS	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
100.0000	01/29/2013	12/03/2012	1,511.88	1,329.48	0.00	182.40	0.00	0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC						
25.0000	01/30/2013	06/14/2012	276.37	234.32	0.00	42.05	0.00	0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC						
150.0000	01/30/2013	Various	1,692.29	1,434.30	0.00	257.99	0.00	0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC						
50.0000	01/30/2013	07/27/2012	553.49	476.82	0.00	76.67	0.00	0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC						
125.0000	01/30/2013	Various	1,414.75	1,220.19	0.00	194.56	0.00	0.00	
026375105	AMERICAN GREETINGS CORP CLASS A		AM						
25.0000	01/31/2013	11/12/2012	399.25	426.74	0.00	-27.49	0.00	0.00	
31787A507	FINISAR CORPORATION COMMON STOCK		FNSR						
200.0000	01/31/2013	10/10/2012	3,090.53	2,538.58	0.00	551.95	0.00	0.00	
58502B106	MEDNAX INC COM		MD						
25.0000	01/31/2013	07/24/2012	2,129.97	1,533.61	0.00	596.36	0.00	0.00	
929297109	WMS INDUSTRIES INC		WMS						
375.0000	01/31/2013	Various	9,310.25	6,017.18	0.00	3,293.07	0.00	0.00	

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
929297109	WMS INDUSTRIES INC	WMS	100 0000	01/31/2013	Various	2,471.33	1,599.47	0.00	871.86	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM	50.0000	02/01/2013	11/14/2012	799.07	853.97	0.00	-54.90	0.00	0.00
026375105	AMERICAN GREETINGS CORP CLASS A	AM	50.0000	02/04/2013	11/14/2012	798.51	853.97	0.00	-55.46	0.00	0.00
92343X100	VERINT SYSTEMS INC	VRNT	4300	02/05/2013	12/20/2012	14.25	12.36	0.00	1.89	0.00	0.00
30219E103	EXPRESS INC COM	EXPR	25.0000	02/06/2013	10/11/2012	456.74	277.63	0.00	179.11	0.00	0.00
30219E103	EXPRESS INC COM	EXPR	50.0000	02/06/2013	Various	912.04	562.20	0.00	349.84	0.00	0.00
59804T100	MIDSTATES PETE CO INC COM	MPO	125.0000	02/06/2013	Various	986.82	709.51	0.00	277.31	0.00	0.00
929297109	WMS INDUSTRIES INC	WMS	50.0000	02/06/2013	08/27/2012	1,220.94	810.71	0.00	410.23	0.00	0.00
89784N104	#REORG/TRUE RELIGION APPAREL INC CASH MERGER EFF 07/30/2013	TRLG	225.0000	02/07/2013	Various	6,188.22	6,109.15	0.00	79.07	0.00	0.00

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Ref: NMR

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P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions?

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Cusip		Description (Box 8)			Stock or Other Symbol (Box 1d)							Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld							
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)										
59804T100	MIDSTATES PETE CO INC COM		MPO												
125.0000	02/08/2013	Various	1,044.50	777.13	0.00	267.37	0.00	0.00							
30219E103	EXPRESS INC COM		EXPR												
75.0000	02/12/2013	Various	1,385.72	917.65	0.00	468.07	0.00	0.00							
30219E103	EXPRESS INC COM		EXPR												
50.0000	02/12/2013	Various	924.02	579.99	0.00	344.03	0.00	0.00							
774188106	ROCKVILLE FINL INC NEW COM		RCKB												
25.0000	02/14/2013	08/09/2012	323.70	298.25	0.00	25.45	0.00	0.00							
774188106	ROCKVILLE FINL INC NEW COM		RCKB												
375.0000	02/15/2013	Various	4,807.39	4,473.75	0.00	333.64	0.00	0.00							
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK												
75.0000	02/15/2013	05/31/2012	1,120.92	1,032.19	0.00	88.73	0.00	0.00							
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM		GLPW												
25.0000	02/19/2013	12/21/2012	382.41	463.30	0.00	-80.89	0.00	0.00							
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK												
25.0000	02/19/2013	05/31/2012	369.80	344.06	0.00	25.74	0.00	0.00							
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK												
25.0000	02/20/2013	12/20/2012	369.24	394.25	0.00	-25.01	0.00	0.00							

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(Box 1e) 91030T109	(Box 1a) UTD FINL BANCORP INC MD COM STK	(Box 1b) UBNK									
50 0000	02/21/2013	12/20/2012				738.77	788.50	0.00	-49.73	0.00	0.00
747277101	QLOGIC CORP	QLGC									
500 0000	02/22/2013	Various				5,816.60	5,282.76	0.00	533.84	0.00	0.00
747277101	QLOGIC CORP	QLGC									
75 0000	02/22/2013	Various				871.86	665.14	0.00	206.72	0.00	0.00
243537107	DECKERS OUTDOOR CORP	DECK									
50.0000	03/01/2013	Various				2,271.62	2,367.62	0.00	-96.01	0.00	0.00
243537107	DECKERS OUTDOOR CORP	DECK									
50 0000	03/01/2013	Various				2,273.60	1,996.55	0.00	277.05	0.00	0.00
243537107	DECKERS OUTDOOR CORP	DECK									
100.0000	03/01/2013	06/21/2012				4,559.91	4,471.94	0.00	87.97	0.00	0.00
30219E103	EXPRESS INC COM	EXPR									
150.0000	03/07/2013	Various				2,816.57	2,094.60	0.00	721.97	0.00	0.00
30219E103	EXPRESS INC COM	EXPR									
50.0000	03/11/2013	Various				930.96	793.70	0.00	137.26	0.00	0.00
30219E103	EXPRESS INC COM	EXPR									
50.0000	03/11/2013	08/28/2012				936.00	773.59	0.00	162.41	0.00	0.00

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30219E103	EXPRESS INC COM	EXPR	50.0000	03/11/2013	Various	933.76	762.40	0.00	171.36	0.00	0.00
14057J101	CAPITOL FED FINL INC COM	CFEN	50.0000	03/12/2013	12/20/2012	598.48	583.93	0.00	14.55	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	03/14/2013	11/08/2012	1,386.97	867.85	0.00	519.12	0.00	0.00
747277101	QLOGIC CORP	QLGC	50.0000	03/15/2013	Various	609.10	600.23	0.00	8.87	0.00	0.00
747277101	QLOGIC CORP	QLGC	100.0000	03/15/2013	Various	1,208.89	1,223.18	0.00	-14.29	0.00	0.00
747277101	QLOGIC CORP	QLGC	25.0000	03/15/2013	07/30/2012	301.87	294.50	0.00	7.37	0.00	0.00
747277101	QLOGIC CORP	QLGC	50.0000	03/15/2013	07/31/2012	603.87	587.49	0.00	16.38	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	03/28/2013	11/08/2012	1,387.26	867.85	0.00	519.41	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	04/03/2013	11/05/2012	1,386.96	907.30	0.00	479.66	0.00	0.00

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2013 Tax Information Statement

Page 17 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
441339108	HOT TOPIC INC	HOTT	100.0000	04/04/2013	09/11/2012	1,386.96	914.13	0.00	472.83	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	04/05/2013	09/11/2012	1,386.99	914.13	0.00	472.86	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	04/08/2013	09/11/2012	1,387.38	914.13	0.00	473.25	0.00	0.00
747277101	QLOGIC CORP	QLGC	50.0000	04/08/2013	Various	536.90	616.38	0.00	-79.48	0.00	0.00
719405102	PHOTONICS INC	PLAB	300.0000	04/10/2013	Various	2,165.94	1,797.27	0.00	368.67	0.00	0.00
719405102	PHOTONICS INC	PLAB	100.0000	04/10/2013	02/04/2013	723.07	592.39	0.00	130.68	0.00	0.00
441339108	HOT TOPIC INC	HOTT	100.0000	04/11/2013	09/11/2012	1,387.73	914.13	0.00	473.60	0.00	0.00
441339108	HOT TOPIC INC	HOTT	200.0000	04/11/2013	09/11/2012	2,777.45	1,828.26	0.00	949.19	0.00	0.00
719405102	PHOTONICS INC	PLAB	300.0000	04/16/2013	Various	2,182.45	1,847.22	0.00	335.23	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ **Corrected**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Date of Sale or Exchange	Date of Acquisition						
(Box 1e) 719405102	(Box 1a) PHOTRONICS INC	(Box 1b) PHOTRONICS INC						
200.0000	04/16/2013	Various	PLAB	1,452.06	0.00	242.95	0.00	0.00
67423R108	OBAGI MED PRODS INC COM		OMPI	6,219.97	0.00	5,780.03	0.00	0.00
500.0000	04/25/2013	Various	MSCC	521.51	0.00	57.52	0.00	0.00
595137100	MICROSEMI CORP		QLGC	261.81	0.00	-48.63	0.00	0.00
25.0000	04/29/2013	07/06/2012	AREX	1,180.15	0.00	-37.17	0.00	0.00
747277101	QLOGIC CORP		CJES	1,652.77	0.00	313.57	0.00	0.00
25.0000	04/29/2013	08/28/2012	CNSI	2,950.25	0.00	-292.28	0.00	0.00
03834A103	APPROACH RES INC COM STK		CNSI	755.25	0.00	-89.65	0.00	0.00
50.0000	04/30/2013	12/10/2012	IPHS	1,250.25	0.00	80.64	0.00	0.00
12467B304	C&J ENERGY SVCS INC COM MON STOCK							
100.0000	04/30/2013	05/17/2012						
20585P105	COMVERSE INC							
100.0000	04/30/2013	Various						
20585P105	COMVERSE INC							
25.0000	04/30/2013	11/01/2012						
45774N108	INNOPHOS HLDGS INC COM STK							
25.0000	04/30/2013	12/20/2012						

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
665531109	(Box 1a) (Box 1b) NORTHERN OIL & GAS INC NEV COM STK	NOG	100.0000	04/30/2013	03/04/2013	1,283.08	1,356.71	0.00	-73.63	0.00	0.00
20585P105	COMVERSE INC	CNSI	60.0000	05/01/2013	11/01/2012	1,672.42	1,812.60	0.00	-140.18	0.00	0.00
758766109	REGAL ENTERTAINMENT GROUP CL A COMMON	RGC	25.0000	05/01/2013	08/15/2012	454.19	336.76	0.00	117.43	0.00	0.00
019344100	ALLIED NEV GOLD CORP COM STK	ANV	100.0000	05/02/2013	02/27/2013	949.10	1,978.16	0.00	-1,029.06	0.00	0.00
48020T101	THE JONES GROUP INC COMMON STOCK	JNY	400.0000	05/07/2013	Various	5,481.06	4,323.50	0.00	1,157.56	0.00	0.00
48123V102	J2 GLOBAL INC COM	JCOM	25.0000	05/07/2013	06/21/2012	1,044.54	625.68	0.00	418.86	0.00	0.00
861642106	STONE ENERGY CORP	SGY	50.0000	05/07/2013	12/17/2012	1,086.39	984.26	0.00	102.13	0.00	0.00
48020T101	THE JONES GROUP INC COMMON STOCK	JNY	200.0000	05/10/2013	12/20/2012	2,859.85	2,216.40	0.00	643.45	0.00	0.00
886885102	TILLYS INC CL A	TLYS	100.0000	05/15/2013	12/05/2012	1,621.96	1,333.44	0.00	288.52	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)					Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed						
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)						
163893209	CHEMTURA CORP COM NEW	CHMT	572.17	518.53	0.00	53.64	0.00	0.00	0.00		
25.0000	05/16/2013	12/20/2012	CHMT	2,074.12	0.00	211.83	0.00	0.00	0.00		
163893209	CHEMTURA CORP COM NEW	CHMT	572.17	518.53	0.00	53.64	0.00	0.00	0.00		
100.0000	05/16/2013	12/20/2012	CHMT	2,074.12	0.00	211.83	0.00	0.00	0.00		
858375108	STEIN MART INC	SMRT	1,112.15	852.52	0.00	259.63	0.00	0.00	0.00		
100.0000	05/16/2013	09/25/2012	ANV	5,653.54	0.00	-3,891.54	0.00	0.00	0.00		
019344100	ALLIED NEV GOLD CORP COM STK	ANV	174.19	494.54	0.00	-320.35	0.00	0.00	0.00		
250.0000	05/17/2013	Various	ANV	566.73	0.00	184.82	0.00	0.00	0.00		
019344100	ALLIED NEV GOLD CORP COM STK	STRZA	566.73	381.91	0.00	370.82	0.00	0.00	0.00		
25.0000	05/17/2013	02/27/2013	STRZA	763.81	0.00	-1,222.66	0.00	0.00	0.00		
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY CAP COM SER A	STRZA	1,134.63	1,595.58	0.00	-1,792.74	0.00	0.00	0.00		
25.0000	05/17/2013	01/15/2013	ANV	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY CAP COM SER A	ANV	372.92	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		
50.0000	05/17/2013	01/15/2013	ANV	549.58	0.00	-1,792.74	0.00	0.00	0.00		
019344100	ALLIED NEV GOLD CORP COM STK	ANV	549.58	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		
50.0000	05/20/2013	Various	ANV	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		
019344100	ALLIED NEV GOLD CORP COM STK	ANV	549.58	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		
75.0000	05/20/2013	Various	ANV	2,342.32	0.00	-1,792.74	0.00	0.00	0.00		

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2013 Tax Information Statement

Page 21 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)									
019344100	ALLIED NEV GOLD CORP COM STK	ANV	50 0000	05/20/2013	12/03/2012	383.25	1,596.55	0.00	-1,213.30	0.00	0.00
019344100	ALLIED NEV GOLD CORP COM STK	ANV	75 0000	05/20/2013	Various	548.65	2,263.90	0.00	-1,715.25	0.00	0.00
019344100	ALLIED NEV GOLD CORP COM STK	ANV	50 0000	05/20/2013	12/07/2012	356.29	1,545.00	0.00	-1,188.71	0.00	0.00
019344100	ALLIED NEV GOLD CORP COM STK	ANV	50 0000	05/20/2013	12/07/2012	362.80	1,545.00	0.00	-1,182.20	0.00	0.00
019344100	ALLIED NEV GOLD CORP COM STK	ANV	25 0000	05/20/2013	02/07/2013	175.72	603.29	0.00	-427.57	0.00	0.00
48020T101	THE JONES GROUP INC COMMON STOCK	JNY	200 0000	05/20/2013	12/20/2012	2,983.51	2,216.40	0.00	767.11	0.00	0.00
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY CAP COM SER A	STRZA	125 0000	05/20/2013	Various	2,834.41	1,943.86	0.00	890.55	0.00	0.00
858375108	STEIN MART INC	SMRT	200 0000	05/22/2013	Various	2,348.58	1,705.68	0.00	642.90	0.00	0.00
947684106	WEBSENSE INC COM	WBSN	50 0000	05/22/2013	11/06/2012	1,247.22	651.50	0.00	595.72	0.00	0.00

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2013 Tax Information Statement

Page 22 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:

Recipient's Tax ID Number: 26-59594
Payer's Federal ID Number: XX-XXX0386
Payer's State ID Number: 36-7158276

State:

IL (312)557-0211

IL

(312)557-0211

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
947684106	WEBSENSE INC COM	WBSN	150.0000	05/22/2013	11/06/2012	3,738.88	1,954.50	0.00	1,784.38	0.00	0.00
858375108	STEIN MART INC	SMRT	100.0000	05/23/2013	09/18/2012	1,197.07	853.00	0.00	344.07	0.00	0.00
947684106	WEBSENSE INC COM	WBSN	25.0000	05/23/2013	11/20/2012	624.24	333.38	0.00	290.86	0.00	0.00
120076104	BUILD-A-BEAR WORKSHOP INC COM STK	BBW	100.0000	05/28/2013	10/04/2012	668.99	388.00	0.00	280.99	0.00	0.00
120076104	BUILD-A-BEAR WORKSHOP INC COM STK	BBW	200.0000	06/04/2013	10/04/2012	1,320.17	776.00	0.00	544.17	0.00	0.00
120076104	BUILD-A-BEAR WORKSHOP INC COM STK	BBW	100.0000	06/05/2013	10/04/2012	621.86	388.00	0.00	233.86	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST	50.0000	06/10/2013	05/02/2013	1,621.61	1,446.14	0.00	175.47	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST	25.0000	06/10/2013	05/02/2013	811.81	723.07	0.00	88.74	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST	125.0000	06/13/2013	Various	4,055.70	3,662.34	0.00	393.36	0.00	0.00

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2013 Tax Information Statement

Page 23 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
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☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
12646R105	CST BRANDS INC COM USD0.01	CST	50.0000	06/13/2013	05/02/2013	1,628.75	1,446.14	0.00	182.61	0.00	0.00
12646R105	CST BRANDS INC COM USD0.01	CST	50.0000	06/13/2013	05/02/2013	1,621.85	1,446.14	0.00	175.71	0.00	0.00
441339108	HOT TOPIC INC	HOTT									
1200.0000	Various			06/13/2013	Various	16,800.00	11,661.41	0.00	5,138.59	0.00	0.00
12646R105	CST BRANDS INC COM USD0.01	CST	150.0000	06/14/2013	04/29/2013	4,862.81	4,408.91	0.00	453.90	0.00	0.00
48020T101	THE JONES GROUP INC COMMON STOCK	JNY	200.0000	06/27/2013	11/07/2012	2,708.21	2,335.06	0.00	373.15	0.00	0.00
947684106	WEBSENSE INC COM	WBSN	625.0000	06/28/2013	Various	15,468.75	9,272.04	0.00	6,196.71	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	25.0000	07/03/2013	03/04/2013	396.97	266.66	0.00	130.31	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	300.0000	07/05/2013	Various	4,780.15	3,214.64	0.00	1,565.50	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	75.0000	07/08/2013	03/04/2013	1,194.24	806.41	0.00	387.83	0.00	0.00

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2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

Account Number: 26-59594
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Ref: NMR

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538034109	LIVE NATION ENTERTAINMENT INC	LYV	(Box 1e)	(Box 1a)	(Box 1b)						
100.0000	07/09/2013	03/04/2013				1,590.20	1,075.22	0.00	514.98	0.00	0.00
M87245102	SYNERON MEDICAL LTD COM	ELOS									
200.0000	07/09/2013	09/26/2012				1,673.97	1,964.74	0.00	-290.77	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST									
150.0000	07/11/2013	Various				4,843.08	4,478.23	0.00	364.85	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST									
25.0000	07/12/2013	05/10/2013				803.86	752.15	0.00	51.71	0.00	0.00
12646R105	CST BRANDS INC COM USD0.01	CST									
25.0000	07/15/2013	05/10/2013				799.47	752.15	0.00	47.32	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST									
150.0000	07/17/2013	Various				4,796.64	4,519.95	0.00	276.69	0.00	0.00
12646R105	CST BRANDS INC COM USD0 01	CST									
100.0000	07/17/2013	05/01/2013				3,198.51	3,032.76	0.00	165.75	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV									
25.0000	07/18/2013	03/04/2013				410.14	269.44	0.00	140.70	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV									
50.0000	07/19/2013	03/04/2013				821.55	538.87	0.00	282.68	0.00	0.00

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2013 Tax Information Statement

Page 25 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Federal Income Tax Withheld (Box 4)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 15)
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
50.0000	07/22/2013 03/04/2013	820.92	0.00
007865108	AEROPOSTALE INC	ARO	0.00
100.0000	07/23/2013 06/26/2013	1,467.43	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
25.0000	07/23/2013 03/04/2013	410.49	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
125.0000	07/23/2013 Various	2,064.82	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
50.0000	07/23/2013 03/05/2013	825.14	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
25.0000	07/23/2013 03/05/2013	411.74	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
475.0000	07/25/2013 Various	7,860.78	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV	0.00
25.0000	07/25/2013 03/06/2013	409.75	0.00
48020T101	THE JONES GROUP INC COMMON STOCK	JNY	0.00
200.0000	07/31/2013 10/24/2012	3,302.06	0.00
		2,452.28	0.00
		849.78	0.00
		2,612.65	0.00
		131.50	0.00
		137.17	0.00
		275.99	0.00
		703.03	0.00
		141.05	0.00
		195.03	0.00
		282.04	0.00

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2013 Tax Information Statement

Page 26 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:

Recipient's Tax ID Number: 26-59594
XX-XX0386

Payer's Federal ID Number: 36-7158276

Payer's State ID Number:

IL
(312)557-0211

Questions?

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
292475209	EMULEX CORP COM NEW	ELX	(Box 1e)	(Box 1a)	(Box 1b)						
100 0000	08/01/2013 Various					809.20	627.63	0.00	181.57	0.00	0.00
538034109	LIVE NATION ENTERTAINMENT INC	LYV				2,902.13	1,987.78	0.00	914.35	0.00	0.00
175 0000	08/02/2013 Various										
538034109	LIVE NATION ENTERTAINMENT INC	LYV				5,398.35	4,055.05	0.00	1,343.30	0.00	0.00
325 0000	08/05/2013 Various										
026375105	AMERICAN GREETINGS CORP CLASS A	AM				12,350.00	11,179.34	0.00	1,170.66	0.00	0.00
650 0000	08/09/2013 Various										
209341106	#REORG/CONSOLIDATED GRAPHICS CASH & STK	CGX				5,697.52	3,452.98	0.00	2,244.54	0.00	0.00
100 0000	MERGER DONNELLEY R R 0002280351 02-03-14										
50077B207	08/13/2013 05/02/2013	KTOS									
200 0000	KRATOS DEFENSE & SECURITY SOLUTIONS INC					1,594.02	935.70	0.00	658.34	0.00	0.00
50077B207	08/26/2013 Various	KTOS									
100 0000	08/26/2013 02/25/2013					774.74	441.92	0.00	332.82	0.00	0.00
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS									
199 0000	08/28/2013 08/30/2012					1,627.61	980.63	0.00	646.98	0.00	0.00
502403207	LTX-CREDENCE CORPORATION COMMON STOCK	LTXC									
900 0000	09/06/2013 Various					4,487.94	5,286.61	0.00	-798.67	0.00	0.00

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2013 Tax Information Statement

Page 27 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
502403207	LTX-CREDENCE CORPORATION COMMON STOCK	LTXC	200.0000	09/06/2013	Various	999.96	1,211.61	0.00	-211.65	0.00	0.00
502403207	LTX-CREDENCE CORPORATION COMMON STOCK	LTXC	100.0000	09/06/2013	05/17/2013	497.99	606.09	0.00	-108.10	0.00	0.00
46131B100	INVERSCO MORTGAGE CAPITAL INC COM STK	IVR	100.0000	09/26/2013	Various	1,552.03	1,725.41	0.00	-173.38	0.00	0.00
680665205	OLIN CORP	OLN	50.0000	09/26/2013	12/20/2012	1,164.14	1,066.67	0.00	97.47	0.00	0.00
529898108	LIBBEY INC	LBV	50.0000	10/16/2013	Various	1,077.76	936.63	0.00	141.12	0.00	0.00
52602E102	#REORG/LENDER CASH & STK MERGER FIDELITY NATIONAL 2016925 01-02-2014	LPS	300.0000	10/17/2013	Various	10,004.76	7,439.27	0.00	2,565.49	0.00	0.00
529898108	LIBBEY INC	LBV	175.0000	10/17/2013	Various	3,818.49	3,325.31	0.00	493.18	0.00	0.00
529898108	LIBBEY INC	LBV	125.0000	10/17/2013	Various	2,708.70	2,369.19	0.00	339.51	0.00	0.00
529898108	LIBBEY INC	LBV	175.0000	10/18/2013	Various	3,863.23	3,373.11	0.00	490.12	0.00	0.00

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2013 Tax Information Statement

Page 28 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 929297109	(Box 1a) WMS INDUSTRIES INC	(Box 1b) Various			WMS	5,200.00	0.00	1,856.94	0.00	0.00
200.0000	10/18/2013	Various			LIBBY INC	550.40	0.00	66.05	0.00	0.00
529898108	10/21/2013	12/05/2012			LIBBY INC	1,088.48	0.00	112.33	0.00	0.00
25.0000	10/22/2013	Various			LIBBY INC	976.15	0.00	115.25	0.00	0.00
529898108	10/24/2013	Various			RTK	526.09	0.00	-54.80	0.00	0.00
50.0000	10/25/2013	Various			RTK	944.73	0.00	-112.58	0.00	0.00
529898108	10/25/2013	Various			RTK	712.78	0.00	-72.84	0.00	0.00
50.0000	10/25/2013	Various			RTK	528.70	0.00	-57.01	0.00	0.00
760112102	10/25/2013	Various			RTK	1,003.16	0.00	-112.66	0.00	0.00
275.0000	10/25/2013	Various								
760112102	10/25/2013	Various								
500.0000	10/25/2013	Various								
760112102	10/25/2013	Various								
375.0000	10/25/2013	Various								
760112102	10/25/2013	Various								
275.0000	10/25/2013	Various								
760112102	10/25/2013	Various								
525.0000	10/25/2013	Various								

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2013 Tax Information Statement

Page 29 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
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Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)			Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)				
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)							
760112102	RENTTECH INC COM STK		RTK									
250 0000	10/25/2013	07/02/2013	478.19	523.83	0.00	-45.64	0.00	0.00				
760112102	RENTTECH INC COM STK		RTK									
225 0000	10/25/2013	07/02/2013	431.38	471.44	0.00	-40.06	0.00	0.00				
760112102	RENTTECH INC COM STK		RTK									
200 0000	10/25/2013	07/02/2013	380.99	419.06	0.00	-38.07	0.00	0.00				
574795100	MASIMO CORP COM STK		MASI									
125.0000	10/28/2013	Various	3,533.21	2,487.01	0.00	1,046.20	0.00	0.00				
12467B304	C&J ENERGY SVCS INC COM MON STOCK		CJES									
225.0000	10/31/2013	Various	5,186.75	5,058.37	0.00	128.38	0.00	0.00				
52602E102	#REORG/LENDER CASH & STK MERGER FIDELITY NATIONAL 2016925.01-02-2014		LPS									
100.0000	10/31/2013	02/25/2013	3,457.00	2,518.35	0.00	938.65	0.00	0.00				
760112102	RENTTECH INC COM STK		RTK									
700.0000	10/31/2013	Various	1,187.67	1,526.48	0.00	-338.81	0.00	0.00				
928298108	VISHAY INTERTECHNOLOGY INC		VSH									
200 0000	10/31/2013	12/20/2012	2,449.96	2,085.46	0.00	364.50	0.00	0.00				
928298108	VISHAY INTERTECHNOLOGY INC		VSH									
100.0000	10/31/2013	09/18/2013	1,220.72	1,322.33	0.00	-101.61	0.00	0.00				

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
243537107	DECKERS OUTDOOR CORP	DECK	50.0000	11/01/2013	Various	3,440.68	2,859.33	0.00	581.35	0.00	0.00
62855J104	MYRIAD GENETICS INC	MYGN	150.0000	11/01/2013	Various	3,638.01	3,946.62	0.00	-308.61	0.00	0.00
760112102	RENTECH INC COM STK	RTK	200.0000	11/01/2013	07/26/2013	331.61	440.12	0.00	-108.51	0.00	0.00
760112102	RENTECH INC COM STK	RTK	500.0000	11/01/2013	07/26/2013	824.78	1,100.30	0.00	-275.52	0.00	0.00
256603101	#REORG/DOLE FOOD CASH MERGER	DOLE	75.0000	11/04/2013	01/17/2013	1,012.50	792.38	0.00	220.12	0.00	0.00
76547T106	RICHMONT MINES INC COM STK	RIC	25.0000	11/07/2013	09/18/2013	31.00	36.67	0.00	-5.67	0.00	0.00
76547T106	RICHMONT MINES INC COM STK	RIC	25.0000	11/08/2013	09/18/2013	29.87	36.67	0.00	-6.80	0.00	0.00
41754V103	HARVEST NAT RES INC COM	HNR	500.0000	11/12/2013	Various	1,623.01	2,556.19	0.00	-933.17	0.00	0.00
76547T106	RICHMONT MINES INC COM STK	RIC	150.0000	11/12/2013	Various	176.99	377.73	0.00	-200.74	0.00	0.00

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2013 Tax Information Statement

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Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
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State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Recipient's Name and Address:
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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 03834A103	(Box 1a) APPROACH RES INC COM STK	(Box 1b) AREX									
125.0000	11/13/2013 Various	2,976.18	3,038.70	0.00					-62.52	0.00	0.00
41754V103	HARVEST NAT RES INC COM	HNR									
250.0000	11/13/2013 Various	730.66	1,280.58	0.00					-549.92	0.00	0.00
050095108	ATWOOD OCEANICS INC	ATW									
25.0000	11/14/2013 12/20/2012	1,447.81	1,177.08	0.00					270.73	0.00	0.00
41754V103	HARVEST NAT RES INC COM	HNR									
475.0000	11/14/2013 Various	1,344.22	2,490.16	0.00					-1,145.94	0.00	0.00
451055107	ICONIX BRAND GROUP INC COM	ICON									
50.0000	11/14/2013 09/18/2013	1,899.80	1,668.37	0.00					231.43	0.00	0.00
52602E102	#REORG/LENDER CASH & STK MERGER FIDELITY NATIONAL 2016925 01-02-2014	LPS									
450.0000	11/14/2013 Various	15,338.57	12,553.95	0.00					2,784.62	0.00	0.00
680665205	OLIN CORP	OLN									
50.0000	11/14/2013 09/18/2013	1,216.48	1,150.34	0.00					66.14	0.00	0.00
760112102	RENTECH INC COM STK	RTK									
700.0000	11/14/2013 Various	1,213.78	1,578.20	0.00					-364.42	0.00	0.00
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY CAP COM SER A	STRZA									
387.0000	11/14/2013 Various	11,152.36	6,043.13	0.00					5,109.23	0.00	0.00

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2013 Tax Information Statement

Page 32 of 83
Ref: NMR

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CHICAGO, IL 60680

Account Number: 26-59594
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Covered (Box 6a is not checked)

Cusip		Description (Box 8)			Stock or Other Symbol (Box 1d)			Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed					
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)					
92343X100	VERINT SYSTEMS INC	VRNT	VRNT	899.81	732.66	0.00	167.15	0.00	0.00	
25 0000	11/14/2013	Various	AREX	1,173.52	1,236.85	0.00	-63.33	0.00	0.00	
03834A103	APPROACH RES INC COM STK	AREX	AREX	1,179.88	1,217.46	0.00	-37.58	0.00	0.00	
50 0000	11/15/2013	Various	MFA	926.24	967.03	0.00	-40.79	0.00	0.00	
03834A103	APPROACH RES INC COM STK	RTK	RTK	1,353.91	1,781.73	0.00	-427.82	0.00	0.00	
50.0000	11/15/2013	Various	STRZA	5,072.25	3,376.45	0.00	1,695.80	0.00	0.00	
55272X102	MFA MORTGAGE INVESTMENTS INC COMMON	STRZA	STRZA	6,507.11	5,301.17	0.00	1,205.94	0.00	0.00	
125 0000	11/15/2013	Various	VRNT	6,213.66	6,004.64	0.00	209.02	0.00	0.00	
760112102	RENTTECH INC COM STK	RIC	RIC	28.99	76.10	0.00	-47.11	0.00	0.00	
775.0000	11/15/2013	Various								
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY									
175.0000	CAP COM SER A									
85571Q102	STARZ LIBERTY SER A COMMON STOCK SER A LIBERTY									
225.0000	CAP COM SER A									
92343X100	VERINT SYSTEMS INC									
172.2800	11/15/2013	Various								
76547T106	RICHMONT MINES INC COM STK									
25.0000	11/19/2013	12/20/2012								

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2013 Tax Information Statement

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P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
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Questions?

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 41754V103	(Box 1a) HARVEST NAT RES INC COM	(Box 1b) HNR	393.50	538.50	0.00	-145.00	0.00	0.00
100 0000	11/25/2013 09/30/2013	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	577.86	808.27	0.00	-230.41	0.00	0.00
150 0000	11/26/2013 Various	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	182.24	270.72	0.00	-88.48	0.00	0.00
50.0000	11/26/2013 10/01/2013	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	803.23	1,218.22	0.00	-414.99	0.00	0.00
225.0000	11/27/2013 10/01/2013	MA SI						
574795100	MASIMO CORP COM STK		2,869.82	2,007.25	0.00	862.58	0.00	0.00
100.0000	11/27/2013 Various	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	365.88	542.36	0.00	-176.48	0.00	0.00
100.0000	11/29/2013 Various	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	191.66	273.81	0.00	-82.15	0.00	0.00
50.0000	12/05/2013 Various	HNR						
41754V103	HARVEST NAT RES INC COM	HNR	191.46	275.99	0.00	-84.53	0.00	0.00
50.0000	12/06/2013 10/10/2013	HNR						
41754V103	HARVEST NAT RES INC COM		95.54	137.99	0.00	-42.45	0.00	0.00
25.0000	12/09/2013 10/10/2013							

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2013 Tax Information Statement

Page 34 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed (Box 5)		Net Gain or Loss		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition		Stocks, Bonds, etc.	Cost or Other Basis								
(Box 1e)	(Box 1a)	(Box 1b)		(Box 2a)	(Box 3)								
41754V103	HARVEST NAT RES INC COM			HNR									
25.0000	12/10/2013	10/10/2013		95.11	137.99		0.00	-42.88		0.00		0.00	
41754V103	HARVEST NAT RES INC COM			HNR									
75.0000	12/12/2013	10/03/2013		269.97	426.79		0.00	-156.82		0.00		0.00	
41754V103	HARVEST NAT RES INC COM			HNR									
250.0000	12/12/2013	10/03/2013		910.48	1,422.62		0.00	-512.14		0.00		0.00	
41754V103	HARVEST NAT RES INC COM			HNR									
100.0000	12/13/2013	10/03/2013		394.26	569.05		0.00	-174.79		0.00		0.00	
574795100	MASIMO CORP COM STK			MASI									
200.0000	12/16/2013	Various		5,647.96	4,094.45		0.00	1,553.51		0.00		0.00	
574795100	MASIMO CORP COM STK			MASI									
200.0000	12/16/2013	Various		5,651.31	4,104.98		0.00	1,546.32		0.00		0.00	
83438K103	#REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014			SLTM									
600.0000	12/20/2013	Various		1,751.97	1,307.00		0.00	444.97		0.00		0.00	
83438K103	#REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014			SLTM									
100.0000	12/20/2013	08/15/2013		292.49	216.84		0.00	75.65		0.00		0.00	
29362U104	ENTEGRIIS INC			ENTG									
100.0000	12/23/2013	08/19/2013		1,136.16	902.76		0.00	233.40		0.00		0.00	

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2013 Tax Information Statement

Page 35 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed (Box 5)		Net Gain or Loss (Box 4)		Federal Income Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition		Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)						
(Box 1e)	(Box 1a)	(Box 1b)									
45667G103	INFINERA CORP COM STK USD0.001			INFN	3,857.92	4,141.92	0.00	-283.99	0.00	0.00	0.00
400.0000	12/23/2013	Various			481,780.55	419,575.91	96.71	62,301.34	0.00	0.00	0.00
Total Short Term Sales Reported on 1099-B											
Report on Form 8949, Part I, with Box A checked											
Long Term Sales Reported on 1099-B											
29084Q100	EMCOR GROUP INC COM			EME	866.53	631.19	0.00	235.34	0.00	0.00	0.00
25.0000	01/02/2013	08/05/2011									
871546206	SYMMETRY MED INC COM STK			SMA	539.18	450.75	0.00	88.43	0.00	0.00	0.00
50.0000	01/02/2013	Various									
115736100	BROWN SHOE CO INC COM			BWS	1,817.01	873.54	0.00	943.47	0.00	0.00	0.00
100.0000	01/03/2013	10/25/2011									
29084Q100	EMCOR GROUP INC COM			EME	873.30	631.20	0.00	242.10	0.00	0.00	0.00
25.0000	01/03/2013	08/05/2011									
501242101	KULICKE & SOFFA INDUSTRIES INC			KLIC	309.54	225.71	0.00	83.83	0.00	0.00	0.00
25.0000	01/03/2013	04/29/2011									
871546206	SYMMETRY MED INC COM STK			SMA	1,336.06	1,127.47	0.00	208.59	0.00	0.00	0.00
125.0000	01/03/2013	06/10/2011									

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2013 Tax Information Statement

Page 36 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
501242101	(Box 1a) (Box 1b) KULICKE & SOFFA INDUSTRIES INC	KLIC	125.0000	01/04/2013	Various	1,542.96	1,129.41	0.00	413.55	0.00	0.00
128030202	CAL MAINE FOODS INC COM NEW STK	CALM	50.0000	01/07/2013	09/26/2011	2,031.09	1,508.05	0.00	523.04	0.00	0.00
115736100	BROWN SHOE CO INC COM	BWS	100.0000	01/08/2013	10/24/2011	1,637.12	879.10	0.00	758.02	0.00	0.00
128030202	CAL MAINE FOODS INC COM NEW STK	CALM	25.0000	01/08/2013	09/26/2011	1,012.72	754.03	0.00	258.69	0.00	0.00
212485106	CONVERGY CORP COM	CVG	25.0000	01/08/2013	05/18/2011	425.58	335.12	0.00	90.46	0.00	0.00
290840100	EMCOR GROUP INC COM	EME	25.0000	01/08/2013	08/05/2011	867.89	631.19	0.00	236.70	0.00	0.00
871546206	SYMMETRY MED INC COM STK	SMA	50.0000	01/08/2013	06/10/2011	531.45	450.99	0.00	80.46	0.00	0.00
128030202	CAL MAINE FOODS INC COM NEW STK	CALM	25.0000	01/09/2013	09/26/2011	1,018.20	754.02	0.00	264.18	0.00	0.00
871546206	SYMMETRY MED INC COM STK	SMA	50.0000	01/09/2013	02/10/2011	525.68	461.98	0.00	63.70	0.00	0.00

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2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
26-59594
Recipient's Tax ID Number:
XX-XXX0386
Payer's Federal ID Number:
36-7158276
Payer's State ID Number:
IL
Questions?
(312)557-0211

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Net Gain or Loss		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)						
115736100	BROWN SHOE CO INC COM		BWS								
200.0000	01/10/2013	Various	3,218.86	1,794.19	0.00	1,424.67		0.00		0.00	
212485106	CONVERGY CORP COM		CVG								
50.0000	01/11/2013	05/18/2011	846.04	670.25	0.00	175.79		0.00		0.00	
29084Q100	EMCOR GROUP INC COM		EME								
25.0000	01/11/2013	07/20/2011	873.21	719.68	0.00	153.53		0.00		0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC								
50.0000	01/11/2013	04/29/2011	612.61	452.27	0.00	160.34		0.00		0.00	
212485106	CONVERGY CORP COM		CVG								
25.0000	01/14/2013	05/18/2011	420.38	335.12	0.00	85.25		0.00		0.00	
29084Q100	EMCOR GROUP INC COM		EME								
25.0000	01/14/2013	07/20/2011	864.98	719.68	0.00	145.30		0.00		0.00	
501242101	KULICKE & SOFFA INDUSTRIES INC		KLIC								
25.0000	01/14/2013	05/02/2011	306.38	226.79	0.00	79.59		0.00		0.00	
871546206	SYMMETRY MED INC COM STK		SMA								
25.0000	01/14/2013	02/10/2011	260.54	230.99	0.00	29.55		0.00		0.00	
871546206	SYMMETRY MED INC COM STK		SMA								
25.0000	01/15/2013	02/10/2011	260.32	230.98	0.00	29.34		0.00		0.00	

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
559079207	MAGELLAN HLTH SVCS INC COM NEW	01/18/2013	03/17/2011	MGLN	1,257.46	0.00	95.42	0.00	0.00
714046109	PERKINELMER INC	01/22/2013	07/27/2011	PKI	1,257.79	0.00	498.32	0.00	0.00
501242101	KULICKE & SOFFA INDUSTRIES INC	01/28/2013	Various	KLIC	1,135.11	0.00	458.54	0.00	0.00
125 0000									
501242101	KULICKE & SOFFA INDUSTRIES INC	01/28/2013	05/02/2011	KLIC	909.51	0.00	368.36	0.00	0.00
100.0000									
64115T104	NETSCOUT SYS INC COM	01/28/2013	07/14/2011	NTCT	1,563.57	0.00	1,096.50	0.00	0.00
100.0000									
501242101	KULICKE & SOFFA INDUSTRIES INC	01/29/2013	Various	KLIC	1,368.17	0.00	372.94	0.00	0.00
150.0000									
58502B106	MEDNAX INC COM	01/31/2013	03/24/2011	MD	3,176.55	0.00	1,083.40	0.00	0.00
50 0000									
871543104	SYMMETRICOM INC	02/01/2013	09/28/2011	SYMM	439.98	0.00	95.64	0.00	0.00
100.0000									
64115T104	NETSCOUT SYS INC COM	02/04/2013	07/14/2011	NTCT	1,563.57	0.00	1,087.83	0.00	0.00
100.0000									

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P.O. BOX 803878
CHICAGO, IL 60680

Account Number:

26-59594

Recipient's Tax ID Number:

XX-XXX0386

Payer's Federal ID Number:

36-7158276

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IL

(312)557-0211

Questions?

☐ 2nd TIN notice

Recipient's Name and Address:

COLONEL (IL) JAMES N. PRITZKER

TAWANI ENTERPRISES

104 S MICHIGAN AVE.

SUITE 500

CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Federal Income Tax Withheld (Box 4)
31787A507	FINISAR CORPORATION COMMON STOCK	FNSR	Net Gain or Loss
200 0000	02/05/2013 10/26/2011	3,300.72	-334.98
871543104	SYMMETRICOM INC	SYMM	0.00
100 0000	02/05/2013 09/30/2011	520.28	80.28
31787A507	FINISAR CORPORATION COMMON STOCK	FNSR	0.00
100 0000	02/08/2013 10/27/2011	1,618.26	-412.09
163893209	CHEMTURA CORP COM NEW	CHMT	0.00
75.0000	02/13/2013 Various	1,745.32	990.66
163893209	CHEMTURA CORP COM NEW	CHMT	0.00
175.0000	02/14/2013 Various	4,072.15	2,257.40
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	XIDEQ	0.00
200.0000	02/15/2013 Various	530.00	35.53
78425V104	SI FINL GROUP INC MD COM	SIFI	0.00
25 0000	02/15/2013 02/07/2011	290.52	58.52
78425V104	SI FINL GROUP INC MD COM	SIFI	0.00
25.0000	02/15/2013 02/07/2011	291.49	59.49
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	XIDEQ	0.00
500.0000	02/19/2013 12/16/2011	1,353.16	115.66

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)				
31787A507	FINISAR CORPORATION COMMON STOCK		FNSR						
100.0000	02/19/2013	10/27/2011	1,656.97	2,030.35	0.00	-373.38	0.00	0.00	
78425V104	SI FINL GROUP INC MD COM		SIFI						
175.0000	02/19/2013	Various	2,043.79	1,667.50	0.00	376.29	0.00	0.00	
78425V104	SI FINL GROUP INC MD COM		SIFI						
50.0000	02/20/2013	06/21/2011	590.98	501.00	0.00	89.98	0.00	0.00	
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK						
50.0000	02/21/2013	07/12/2011	738.77	795.34	0.00	-56.57	0.00	0.00	
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK						
100.0000	02/22/2013	Various	1,488.76	1,592.05	0.00	-103.28	0.00	0.00	
78425V104	SI FINL GROUP INC MD COM		SIFI						
25.0000	02/26/2013	06/21/2011	293.12	250.50	0.00	42.62	0.00	0.00	
163893209	CHEMTURA CORP COM NEW		CHMT						
100.0000	02/27/2013	09/22/2011	1,992.16	1,131.87	0.00	860.29	0.00	0.00	
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM		GLPW						
25.0000	02/27/2013	06/21/2011	422.77	646.05	0.00	-223.28	0.00	0.00	
78425V104	SI FINL GROUP INC MD COM		SIFI						
50.0000	02/27/2013	06/21/2011	586.72	501.00	0.00	85.72	0.00	0.00	

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37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	GLPW	25.0000	02/28/2013	06/21/2011	421.12	646.05	0.00	-224.93	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	GLPW	50.0000	03/01/2013	06/21/2011	835.98	1,292.11	0.00	-456.13	0.00	0.00
78425V104	SI FINL GROUP INC MD COM	SIFI	100.0000	03/01/2013	Various	1,146.98	1,002.50	0.00	144.46	0.00	0.00
31787A507	FINISAR CORPORATION COMMON STOCK	FNSR	200.0000	03/06/2013	Various	2,986.70	4,083.24	1,096.54	0.00	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	VSH	25.0000	03/06/2013	08/09/2011	329.16	257.50	0.00	71.66	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	VSH	100.0000	03/07/2013	Various	1,312.93	1,032.75	0.00	280.18	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	VSH	75.0000	03/08/2013	08/08/2011	990.84	780.78	0.00	210.06	0.00	0.00
14057J101	CAPITOL FED FINL INC COM.	CCFN	14057J101	03/12/2013	06/21/2011	897.73	902.25	0.00	-4.52	0.00	0.00
441339108	HOT TOPIC INC	HOTT	441339108	03/14/2013	10/28/2011	4,160.90	2,355.51	0.00	1,805.39	0.00	0.00

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2013 Tax Information Statement

Page 42 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
497498105	KIRKLANDS INC COM	400.0000	04/02/2013	Various	KIRK 4,520.04	4,518.61	0.00	101.43	0.00	0.00
497498105	KIRKLANDS INC COM	100.0000	04/02/2013	11/02/2011	KIRK 1,151.85	1,121.74	0.00	30.11	0.00	0.00
03475V101	ANGIODYNAMICS INC COM STK	200.0000	04/04/2013	Various	ANGO 2,173.72	2,466.10	0.00	-292.40	0.00	0.00
559079207	MAGELLAN HLTH SVCS INC COM NEW	25.0000	04/08/2013	03/17/2011	MGLN 1,227.00	1,162.04	0.00	64.96	0.00	0.00
758766109	REGAL ENTERTAINMENT GROUP CL A COMMON	25.0000	04/08/2013	02/08/2012	RGC 420.09	310.98	0.00	109.11	0.00	0.00
758766109	REGAL ENTERTAINMENT GROUP CL A COMMON	50.0000	04/08/2013	02/08/2012	RGC 838.73	621.96	0.00	216.77	0.00	0.00
03475V101	ANGIODYNAMICS INC COM STK	200.0000	04/09/2013	Various	ANGO 2,040.84	2,479.79	0.00	-438.94	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	800.0000	04/11/2013	Various	XIDEQ 1,143.97	1,987.66	0.00	-843.69	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	300.0000	04/12/2013	Various	XIDEQ 443.98	772.97	0.00	-328.99	0.00	0.00

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2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)				State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	
758766109	REGAL ENTERTAINMENT GROUP CL A COMMON		RGC					
100.0000	04/12/2013	02/08/2012	1,748.71	1,243.91	0.00	504.80	0.00	
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
100.0000	04/15/2013	12/22/2011	149.99	272.97	0.00	-122.98	0.00	
719405102	PHOTONICS INC		PLAB					
100.0000	04/18/2013	03/06/2012	705.69	623.01	0.00	82.68	0.00	
719405102	PHOTONICS INC		PLAB					
100.0000	04/18/2013	04/09/2012	706.98	625.14	0.00	81.84	0.00	
719405102	PHOTONICS INC		PLAB					
100.0000	04/19/2013	04/05/2012	708.15	638.28	0.00	69.87	0.00	
758766109	REGAL ENTERTAINMENT GROUP CL A COMMON		RGC					
25.0000	04/19/2013	02/08/2012	429.65	310.98	0.00	118.67	0.00	
719405102	PHOTONICS INC		PLAB					
100.0000	04/24/2013	03/07/2012	719.21	644.67	0.00	74.54	0.00	
559079207	MAGELLAN HLTH SVCS INC COM NEW		MGLN					
25.0000	04/25/2013	03/17/2011	1,265.39	1,162.04	0.00	103.35	0.00	
719405102	PHOTONICS INC		PLAB					
200.0000	04/25/2013	03/05/2012	1,468.76	1,305.32	0.00	163.44	0.00	

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2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:

Recipient's Tax ID Number: XX-XX0386

Payer's Federal ID Number: 36-7158276

Payer's State ID Number:

State:

Questions?

☐ Corrected

Recipient's Name and Address:

COLONEL (IL) JAMES N. PRITZKER

TAWANI ENTERPRISES

104 S MICHIGAN AVE.

SUITE 500

CHICAGO, IL 60603

26-59594

XX-XX0386

36-7158276

IL

(312)557-0211

☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld (Box 15)
050095108	ATWOOD OCEANICS INC	ATW	2,432.86	1,727.45	0.00	705.41	0.00	0.00
559079207	MAGELLAN HLTH SVCS INC COM NEW	MGLN	2,532.76	2,343.45	0.00	189.29	0.00	0.00
747277101	QLOGIC CORP	QLGC	523.62	760.80	0.00	-237.18	0.00	0.00
00508B102	ACTUATE CORP COM	BIRT	1,230.77	1,197.98	0.00	32.79	0.00	0.00
00508B102	ACTUATE CORP COM	BIRT	1,228.79	1,204.56	0.00	24.23	0.00	0.00
12467B304	C&J ENERGY SVCS INC COM MON STOCK	CJES	491.59	428.81	0.00	62.78	0.00	0.00
45774N108	INNPHOS HLDGS INC COM STK	IPHS	5,001.02	3,993.19	0.00	1,007.83	0.00	0.00
100.0000	ACTUATE CORP COM	BIRT	903.71	903.42	0.00	0.29	0.00	0.00
00508B102	ACTUATE CORP COM	BIRT	752.49	752.85	0.00	-0.36	0.00	0.00

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2013 Tax Information Statement

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Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
005088102	ACTUATE CORP COM	100.0000	05/02/2013	08/17/2011	BIRT	603.77	0.00	1.49	0.00	0.00
005088102	ACTUATE CORP COM	100.0000	05/02/2013	08/17/2011	BIRT	603.71	0.00	1.43	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	100.0000	05/02/2013	09/09/2011	XIDEQ	467.10	0.00	-388.11	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	100.0000	05/02/2013	09/09/2011	XIDEQ	468.62	0.00	-397.48	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	300.0000	05/02/2013	Various	XIDEQ	1,013.04	0.00	-792.97	0.00	0.00
005088102	ACTUATE CORP COM	225.0000	05/03/2013	Various	BIRT	1,370.17	0.00	23.57	0.00	0.00
005088102	ACTUATE CORP COM	100.0000	05/03/2013	Various	BIRT	607.27	0.00	-8.27	0.00	0.00
163893209	CHEMTURA CORP COM NEW	50.0000	05/03/2013	09/22/2011	CHMT	565.93	0.00	476.69	0.00	0.00
163893209	CHEMTURA CORP COM NEW	25.0000	05/03/2013	09/22/2011	CHMT	525.91	0.00	242.94	0.00	0.00

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2013 Tax Information Statement

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Payer's Name and Address:
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Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
871543104	(Box 1a) (Box 1b) SYMMETRICOM INC	SYMM	100.0000	05/03/2013	10/25/2011	516.98	495.45	0.00	21.53	0.00	0.00
00508B102	ACTUATE CORP COM	BIRT	325.0000	05/06/2013	Various	2,012.84	2,009.30	0.00	3.54	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	XIDEQ	200.0000	05/09/2013	08/03/2011	146.40	1,360.80	0.00	-1,214.40	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW	XIDEQ	300.0000	05/10/2013	Various	263.72	2,043.80	0.00	-1,780.08	0.00	0.00
719405102	PHOTONICS INC	PLAB	200.0000	05/10/2013	Various	1,550.62	1,314.32	0.00	236.30	0.00	0.00
858375108	STEIN MART INC	SMRT	100.0000	05/13/2013	11/15/2011	1,099.78	643.00	0.00	456.78	0.00	0.00
871543104	SYMMETRICOM INC	SYMM	400.0000	05/14/2013	Various	2,059.95	2,153.09	0.00	-93.14	0.00	0.00
871543104	SYMMETRICOM INC	SYMM	100.0000	05/14/2013	07/28/2011	520.07	571.84	0.00	-51.77	0.00	0.00
163893209	CHEMTURA CORP COM NEW	CHMT	75.0000	05/15/2013	01/06/2011	1,720.66	1,204.40	0.00	516.26	0.00	0.00

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2013 Tax Information Statement

Page 47 of 83

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
163893209	CHEMTURA CORP COM NEW	75.0000	05/15/2013	Various	CHMT	1,715.23	0.00	397.27	0.00	0.00
163893209	CHEMTURA CORP COM NEW	50.0000	05/16/2013	07/12/2011	CHMT	916.48	0.00	227.87	0.00	0.00
497498105	KIRKLANDS INC COM	200.0000	05/16/2013	Various	KIRK	2,318.18	0.00	495.76	0.00	0.00
497498105	KIRKLANDS INC COM	100.0000	05/17/2013	12/15/2011	KIRK	1,180.30	0.00	266.68	0.00	0.00
871543104	SYMMETRICOM INC	100.0000	05/17/2013	05/03/2011	SYMM	522.95	0.00	-68.82	0.00	0.00
497498105	KIRKLANDS INC COM	200.0000	05/20/2013	Various	KIRK	2,518.18	0.00	389.41	0.00	0.00
497498105	KIRKLANDS INC COM	100.0000	05/22/2013	12/23/2011	KIRK	1,303.58	0.00	114.64	0.00	0.00
497498105	KIRKLANDS INC COM	100.0000	05/22/2013	12/27/2011	KIRK	1,350.77	0.00	69.05	0.00	0.00
649295102	NY & CO INC COM STK	100.0000	05/28/2013	12/19/2011	NYW	566.48	0.00	282.08	0.00	0.00

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104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)			Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)				
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)							
649295102	NY & CO INC COM STK		NWY									
200.0000	05/28/2013	12/19/2011	1,134.36	568.80	0.00	565.56	0.00	0.00				
78425V104	SI FINL GROUP INC MD COM		SIFI									
250.0000	06/06/2013	Various	2,754.95	2,656.91	0.00	98.04	0.00	0.00				
649295102	NY & CO INC COM STK		NWY									
100.0000	06/10/2013	12/19/2011	560.10	284.40	0.00	275.70	0.00	0.00				
649295102	NY & CO INC COM STK		NWY									
100.0000	06/13/2013	12/19/2011	547.99	284.40	0.00	263.59	0.00	0.00				
649295102	NY & CO INC COM STK		NWY									
400.0000	06/14/2013	Various	2,214.04	1,141.20	0.00	1,072.84	0.00	0.00				
649295102	NY & CO INC COM STK		NWY									
200.0000	06/17/2013	12/20/2011	1,129.98	576.00	0.00	553.98	0.00	0.00				
69323K100	PCM INC COM		PCMI									
100.0000	06/27/2013	02/10/2011	976.98	735.52	0.00	241.46	0.00	0.00				
69323K100	PCM INC COM		PCMI									
100.0000	07/01/2013	02/14/2011	981.42	759.30	0.00	222.12	0.00	0.00				
69323K100	PCM INC COM		PCMI									
100.0000	07/03/2013	07/11/2011	956.37	803.00	0.00	153.37	0.00	0.00				

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
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Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 15)
126501105	CTS CORP	CTS	0.00
100.0000	07/05/2013	06/16/2011	0.00
126501105	CTS CORP	CTS	0.00
100.0000	07/08/2013	06/17/2011	0.00
69323K100	PCM INC COM	PCMI	0.00
100.0000	07/08/2013	07/22/2011	0.00
126501105	CTS CORP	CTS	0.00
100.0000	07/09/2013	06/13/2012	0.00
126501105	CTS CORP	CTS	0.00
100.0000	07/10/2013	05/24/2012	0.00
48562P103	KAPSTONE PAPER & PACKAGING CORP	KS	0.00
100.0000	KAPSTONE PAPER AND PACKAGING CORP COMMON	4,297.85	2,861.28
69323K100	PCM INC COM	PCMI	0.00
100.0000	07/18/2013	05/18/2011	0.00
292475209	EMULEX CORP COM NEW	ELX	0.00
50.0000	08/01/2013	07/27/2012	0.00
48562P103	KAPSTONE PAPER & PACKAGING CORP	KS	0.00
200.0000	KAPSTONE PAPER AND PACKAGING CORP COMMON	8,995.90	6,077.88

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2013 Tax Information Statement

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CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
(Box 1e) 91030T109	(Box 1a) UTD FINL BANCORP INC MD COM STK	(Box 1b) Various	UBNK	(Box 2a) 2,479.96	(Box 3) 2,398.17	(Box 5) 0.00	(Box 4) 0.00	(Box 15) 0.00	
150.0000	08/05/2013	Various	UBNK	2,479.96	2,398.17	0.00	0.00	0.00	
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK	412.19	400.37	0.00	0.00	0.00	
25.0000	08/07/2013	05/09/2011	UBNK	412.19	400.37	0.00	0.00	0.00	
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK	413.33	400.37	0.00	0.00	0.00	
25.0000	08/08/2013	05/09/2011	UBNK	413.33	400.37	0.00	0.00	0.00	
91030T109	UTD FINL BANCORP INC MD COM STK		UBNK	412.21	400.37	0.00	0.00	0.00	
25.0000	08/09/2013	05/09/2011	UBNK	412.21	400.37	0.00	0.00	0.00	
872417308	TGC INDS INC COM NEW COM NEW		TGE	426.37	293.61	0.00	0.00	0.00	
50.0000	08/13/2013	08/02/2012	TGE	426.37	293.61	0.00	0.00	0.00	
413160102	HARMONIC INC		HLIT	784.80	395.46	0.00	0.00	0.00	
100.0000	08/14/2013	07/23/2012	HLIT	784.80	395.46	0.00	0.00	0.00	
872417308	TGC INDS INC COM NEW COM NEW		TGE	878.09	587.23	0.00	0.00	0.00	
100.0000	08/14/2013	08/02/2012	TGE	878.09	587.23	0.00	0.00	0.00	
55278T105	MFC INDL LTD COM		MIL	811.14	755.07	0.00	0.00	0.00	
100.0000	08/26/2013	Various	MIL	811.14	755.07	0.00	0.00	0.00	
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC		KTOS	875.80	584.39	0.00	0.00	0.00	
100.0000	09/05/2013	12/19/2011	KTOS	875.80	584.39	0.00	0.00	0.00	

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2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
(Box 1e)	(Box 1a)	(Box 1b)		(Box 3)	(Box 5)				
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS	2,619.91	1,771.78	0 00	848.13	0.00	0.00	
300.0000	09/06/2013	Various							
55278T105	MFC INDL LTD COM	MIL	3,280.00	3,169.53	0 00	110.50	0.00	0.00	
400.0000	09/06/2013	Various							
87162W100	SYNNEX CORP COM STK	SNX	4,755.12	3,166.98	0.00	1,588.14	0.00	0.00	
100.0000	09/09/2013	07/07/2011							
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS	902.48	603.00	0.00	299.48	0.00	0.00	
100.0000	09/10/2013	03/20/2012							
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS	904.99	603.00	0.00	301.99	0.00	0.00	
100.0000	09/11/2013	03/20/2012							
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS	1,815.48	1,206.00	0.00	609.48	0.00	0.00	
200.0000	09/12/2013	03/20/2012							
50077B207	KRATOS DEFENSE & SECURITY SOLUTIONS INC	KTOS	2,625.03	1,981.47	0.00	643.56	0.00	0.00	
300.0000	09/16/2013	Various							
91030T109	UTD FINL BANCORP INC MD COM STK	UBNK	1,646.99	1,602.11	0.00	44.88	0.00	0.00	
100.0000	09/23/2013	Various							
91030T109	UTD FINL BANCORP INC MD COM STK	UBNK	411.74	400.75	0.00	10.99	0.00	0.00	
25.0000	09/24/2013	05/10/2011							

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions?

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
91030T109	UTD FINL BANCORP INC MD COM STK	UBNK	(Box 1e)	(Box 1a)	(Box 1b)						
25.0000	09/24/2013	05/16/2011	411.74	400.58	0.00	11.16	0.00	0.00	0.00	0.00	0.00
64115T104	NETSCOUT SYS INC COM	NTCT	200.0000	09/25/2013	07/13/2011	5,268.84	3,334.66	0.00	1,934.18	0.00	0.00
243537107	DECKERS OUTDOOR CORP	DECK	100.0000	09/26/2013	Various	6,431.32	5,002.53	0.00	1,428.79	0.00	0.00
46131B100	INVESCO MORTGAGE CAPITAL INC COM STK	IVR	250.0000	09/26/2013	Various	3,880.06	5,713.45	0.00	-1,833.39	0.00	0.00
64115T104	NETSCOUT SYS INC COM	NTCT	100.0000	09/26/2013	07/13/2011	2,629.18	1,667.33	0.00	961.85	0.00	0.00
87162W100	SYNNEX CORP COM STK	SNX	200.0000	09/26/2013	05/18/2012	12,329.58	6,748.38	0.00	5,581.20	0.00	0.00
69323K100	PCM INC COM	PCMI	200.0000	10/03/2013	Various	1,998.40	1,926.26	0.00	72.14	0.00	0.00
747277101	QLOGIC CORP	QLGC	188.0000	10/09/2013	Various	1,965.63	2,882.89	0.00	-917.26	0.00	0.00
747277101	QLOGIC CORP	QLGC	225.0000	10/10/2013	Various	2,364.67	3,545.31	0.00	-1,180.64	0.00	0.00

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2013 Tax Information Statement

Page 53 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
954235107	WEST MARINE INC COM	WMAR	(Box 1e)	(Box 1a)	(Box 1b)						
200 0000	10/10/2013 Various	2,384.02				2,384.02	1,707.81	0.00	676.21	0.00	0.00
747277101	QLOGIC CORP	QLGC									
137 0000	10/11/2013 Various	1,442.13				1,442.13	2,289.79	0.00	-847.66	0.00	0.00
91030T109	UTD FINL BANCORP INC MD COM STK	UBNK									
25.0000	10/11/2013 05/10/2011	411.74				411.74	400.75	0.00	10.99	0.00	0.00
91030T109	UTD FINL BANCORP INC MD COM STK	UBNK									
25 0000	10/15/2013 05/10/2011	411.74				411.74	400.75	0.00	10.99	0.00	0.00
954235107	WEST MARINE INC COM	WMAR									
200.0000	10/16/2013 Various	2,453.96				2,453.96	1,801.13	0.00	652.83	0.00	0.00
62855J104	MYRIAD GENETICS INC	MYGN									
125.0000	10/17/2013 Various	3,027.34				3,027.34	2,782.00	0.00	245.34	0.00	0.00
413160102	HARMONIC INC	HLIT									
200.0000	10/18/2013 06/25/2012	1,565.75				1,565.75	815.90	0.00	749.85	0.00	0.00
929297109	WMS INDUSTRIES INC	WMS									
425.0000	10/18/2013 Various	11,050.00				11,050.00	6,943.26	0.00	4,106.74	0.00	0.00
55278T105	MFC INDL LTD COM	MIL									
100 0000	10/24/2013 02/15/2012	846.98				846.98	803.35	0.00	43.63	0.00	0.00

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2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 124678304	(Box 1a) C&J ENERGY SVCS INC COM MON STOCK	(Box 1b) Various								
375 0000	10/31/2013 Various			CJES	8,643.60	7,029.23	0 00	1,614.37	0 00	0 00
243537107	DECKERS OUTDOOR CORP			DECK						
100.0000	10/31/2013 Various				6,891.86	5,151.43	0 00	1,740.45	0 00	0 00
451055107	ICONIX BRAND GROUP INC COM			ICON						
100 0000	10/31/2013 Various				3,621.30	1,539.66	0 00	2,081.63	0 00	0 00
680665205	OLIN CORP			OLN						
100 0000	10/31/2013 06/21/2011				2,256.48	2,206.10	0 00	50 38	0 00	0 00
928298108	VISHAY INTERTECHNOLOGY INC			VSH						
225.0000	10/31/2013 Various				2,746.62	2,843.03	0 00	-96.41	0 00	0 00
928298108	VISHAY INTERTECHNOLOGY INC			VSH						
575.0000	10/31/2013 Various				7,043.63	6,045.39	0 00	998.24	0 00	0 00
243537107	DECKERS OUTDOOR CORP			DECK						
50.0000	11/01/2013 06/11/2012				3,440.68	2,644.24	0 00	796 43	0 00	0 00
451055107	ICONIX BRAND GROUP INC COM			ICON						
100.0000	11/01/2013 Various				3,621.92	1,544.59	0 00	2,077 33	0 00	0 00
451055107	ICONIX BRAND GROUP INC COM			ICON						
100.0000	11/01/2013 05/01/2012				3,606 02	1,541 00	0 00	2,065 02	0 00	0 00

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2013 Tax Information Statement

Page 55 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
62855J104	MYRIAD GENETICS INC	MYGN	200.0000	11/01/2013	Various	4,850.67	4,991.66	0.00	-140.99	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	VSH	200.0000	11/01/2013	Various	2,452.56	2,795.85	0.00	-343.29	0.00	0.00
256603101	#REORG/DOLE FOOD CASH MERGER	DOLE	500.0000	11/04/2013	Various	8,100.00	8,233.78	0.00	-133.78	0.00	0.00
050095108	ATWOOD OCEANICS INC	ATW	100.0000	11/14/2013	02/27/2012	5,791.23	4,699.32	0.00	1,091.91	0.00	0.00
243537107	DECKERS OUTDOOR CORP	DECK	100.0000	11/14/2013	06/01/2011	7,657.55	9,322.80	0.00	-1,665.25	0.00	0.00
451055107	ICONIX BRAND GROUP INC COM	ICON	125.0000	11/14/2013	Various	4,749.50	1,939.02	0.00	2,810.48	0.00	0.00
46131B100	INVESTCO MORTGAGE CAPITAL INC COM STK	IVR	225.0000	11/14/2013	Various	3,421.38	5,170.55	0.00	-1,749.17	0.00	0.00
46131B100	INVESTCO MORTGAGE CAPITAL INC COM STK	IVR	25.0000	11/14/2013	05/04/2011	381.24	574.51	0.00	-193.27	0.00	0.00
680665205	OLIN CORP	OLN	100.0000	11/14/2013	03/29/2011	2,432.95	2,235.10	0.00	197.85	0.00	0.00

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2013 Tax Information Statement

Page 56 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ **Corrected**

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Net Gain or Loss		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed						
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)						
037347101	ANWORTH MTG AST CORP COM		ANH								
100.0000	11/15/2013	05/04/2011	443.71	721.52	0.00	-277.81	0.00	0.00	0.00	0.00	0.00
037347101	ANWORTH MTG AST CORP COM		ANH								
175.0000	11/15/2013	Various	779.42	1,266.30	0.00	-486.89	0.00	0.00	0.00	0.00	0.00
461318100	INVESCO MORTGAGE CAPITAL INC COM STK		IVR								
50.0000	11/15/2013	05/04/2011	756.42	1,149.03	0.00	-392.61	0.00	0.00	0.00	0.00	0.00
461318100	INVESCO MORTGAGE CAPITAL INC COM STK		IVR								
150.0000	11/15/2013	Various	2,273.81	3,448.46	0.00	-1,174.65	0.00	0.00	0.00	0.00	0.00
92343X100	VERINT SYSTEMS INC		VRNT								
207.7200	11/15/2013	Various	7,491.88	9,695.74	0.00	-2,203.86	0.00	0.00	0.00	0.00	0.00
037347101	ANWORTH MTG AST CORP COM		ANH								
175.0000	11/18/2013	Various	782.28	1,272.76	0.00	-490.48	0.00	0.00	0.00	0.00	0.00
037347101	ANWORTH MTG AST CORP COM		ANH								
150.0000	12/03/2013	Various	645.90	1,107.13	0.00	-461.23	0.00	0.00	0.00	0.00	0.00
871543104	SYMMETRICOM INC		SYMM								
200.0000	12/03/2013	Various	1,436.00	1,372.80	0.00	63.20	0.00	0.00	0.00	0.00	0.00
83438K103	#REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014		SLTM								
700.0000	12/20/2013	Various	2,050.18	1,754.44	0.00	295.74	0.00	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

Page 57 of 83
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59594
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
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Questions?

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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
83438K103	(Box 1a) #REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014	SLTM	(Box 1e)	(Box 1b)							
400.0000	12/20/2013 Various					1,168.25	952.00	0.00	216.25	0.00	0.00
76547T106	RICHMONT MINES INC COM STK	RIC				49.50	161.62	0.00	-112.14	0.00	0.00
50.0000	12/23/2013 Various										
76547T106	RICHMONT MINES INC COM STK	RIC				49.19	170.24	0.00	-121.05	0.00	0.00
50.0000	12/24/2013 07/25/2012										
76547T106	RICHMONT MINES INC COM STK	RIC				322.59	1,150.56	0.00	-827.97	0.00	0.00
325.0000	12/30/2013 Various										
76547T106	RICHMONT MINES INC COM STK	RIC				697.45	3,708.88	0.00	-3,011.43	0.00	0.00
700.0000	12/31/2013 Various										
Total Long Term Sales Reported on 1099-B							363,933.60	1,096.54	52,585.33	0.00	0.00

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Page 58 of 83
Ref: NMR

Recipient's Name and Address:
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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
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Payer's State ID Number: IL
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Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 2b)	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B								
212485106	CONVERGY CORP COM	CVG	825.83	664.31	0.00	161.52	0.00	0.00
58502B106	MEDNAX INC COM	MD	2,080.95	1,350.75	0.00	730.20	0.00	0.00
714046109	PERKINELMER INC	PKI	798.98	590.00	0.00	208.98	0.00	0.00
25.0000	01/02/2013 03/08/2010	PGI	245.29	199.43	0.00	45.86	0.00	0.00
740585104	PREMIERE GLOBAL SVCS INC COM	SXT	1,790.26	1,696.73	0.00	93.53	0.00	0.00
81725T100	SENSIENT TECHNOLOGIES CORP COMMON	CVG	415.94	333.51	0.00	82.43	0.00	0.00
50.0000	01/02/2013 Various	PKI	797.30	590.00	0.00	207.30	0.00	0.00
212485106	CONVERGY CORP COM							
25.0000	01/03/2013 12/27/2010							
714046109	PERKINELMER INC							
25.0000	01/03/2013 03/08/2010							

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2013 Tax Information Statement

Account Number: 26-59594
 Recipient's Tax ID Number: XX-XXX0386
 Payer's Federal ID Number: 36-7158276
 Payer's State ID Number: IL
 State: (312)557-0211
 Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 COLONEL (IL) JAMES N. PRITZKER
 TAWANI ENTERPRISES
 104 S MICHIGAN AVE.
 SUITE 500
 CHICAGO, IL 60603

Payer's Name and Address:
 THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
714046109	PERKINELMER INC	01/03/2013	03/08/2010	PKI	801.73	590.00	0.00	211.73	0.00	0.00
740585104	PREMIERE GLOBAL SVCS INC COM	01/03/2013	03/08/2010	PGI	245.42	199.42	0.00	46.00	0.00	0.00
212485106	CONVERGY CORP COM	01/04/2013	12/27/2010	CVG	418.71	333.50	0.00	85.21	0.00	0.00
763165107	RICHARDSON ELECTRS LTD COM	01/04/2013	03/08/2010	RELL	1,204.68	800.23	0.00	404.45	0.00	0.00
763165107	RICHARDSON ELECTRS LTD COM	01/07/2013	03/08/2010	RELL	1,196.25	800.23	0.00	396.02	0.00	0.00
212485106	CONVERGY CORP COM	01/08/2013	12/27/2010	CVG	851.16	667.01	0.00	184.15	0.00	0.00
714046109	PERKINELMER INC	01/08/2013	03/08/2010	PKI	821.79	590.00	0.00	231.79	0.00	0.00
81725T100	SENSIENT TECHNOLOGIES CORP COMMON	01/08/2013	11/05/2010	SXT	905.27	855.02	0.00	50.25	0.00	0.00
225223304	CRAY INC COM NEW STK	01/09/2013	03/08/2010	CRAY	1,662.25	599.34	0.00	1,062.91	0.00	0.00
58502B106	MEDNAX INC COM	01/09/2013	03/08/2010	MD	2,052.74	1,350.75	0.00	701.99	0.00	0.00

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2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld (Box 15)
225223304	CRAY INC COM NEW STK	01/11/2013	03/08/2010	CRAY	1,643.95	0.00	1,044.61	0.00	0.00
587118100	MENS WEARHOUSE INC	01/11/2013	12/23/2010	MW	792.74	0.00	167.46	0.00	0.00
817251100	SENSIENT TECHNOLOGIES CORP COMMON	01/11/2013	11/05/2010	SXT	922.13	0.00	67.11	0.00	0.00
58502B106	MEDNAX INC COM	01/14/2013	03/08/2010	MD	2,075.75	0.00	725.00	0.00	0.00
587118100	MENS WEARHOUSE INC	01/14/2013	12/23/2010	MW	783.47	0.00	158.19	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	01/15/2013	Various	GLPW	1,853.00	0.00	-198.42	0.00	0.00
871546206	SYMMETRY MED INC COM STK	01/15/2013	12/13/2010	SMA	260.32	0.00	28.92	0.00	0.00
806882106	SCHNITZER STL INDS CL A	01/17/2013	03/08/2010	SCHN	744.98	0.00	-496.17	0.00	0.00
806882106	SCHNITZER STL INDS CL A	01/17/2013	03/08/2010	SCHN	4,471.25	0.00	-2,975.65	0.00	0.00
58502B106	MEDNAX INC COM	01/18/2013	03/08/2010	MD	2,147.00	0.00	796.25	0.00	0.00

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2013 Tax Information Statement

Page 61 of 83
Ref: NMR

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: IL
Questions? (312)557-0211
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
763165107	(Box 1a) RICHARDSON ELECTRS LTD COM	01/18/2013	03/08/2010	RELL	1,197.64	800.23	0.00	397.41	0.00	0.00
806882106	SCHNITZER STL INDS CL A	01/18/2013	Various	SCHN	2,250.52	3,810.38	0.00	-1,559.86	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	01/22/2013	11/02/2010	ANH	310.99	347.68	0.00	-36.69	0.00	0.00
58502B106	MEDNAX INC COM	01/22/2013	03/08/2010	MD	2,164.07	1,350.75	0.00	813.32	0.00	0.00
763165107	RICHARDSON ELECTRS LTD COM	01/24/2013	03/08/2010	RELL	1,197.32	800.23	0.00	397.09	0.00	0.00
680665205	OLIN CORP	01/29/2013	03/08/2010	OLN	1,682.98	1,398.62	0.00	284.36	0.00	0.00
680665205	OLIN CORP	01/29/2013	03/08/2010	OLN	3,370.06	2,797.25	0.00	572.81	0.00	0.00
680665205	OLIN CORP	01/29/2013	03/08/2010	OLN	1,683.47	1,398.62	0.00	284.85	0.00	0.00
48562P103	KAPSTONE PAPER & PACKAGING CORP	01/29/2013	05/24/2010	KS	2,444.06	1,071.46	0.00	1,372.60	0.00	0.00
87305R109	TTM TECHNOLOGIES INC	02/08/2013	Various	TTMI	3,452.12	3,624.48	0.00	-172.36	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Account Number: 26-59594
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Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	500.86	264.36	0.00	236.50	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	500.60	264.36	0.00	236.24	0.00	0.00
576853105	MATRIX SVC CO COM	MTRX	394.34	297.44	0.00	96.90	0.00	0.00
576853105	MATRIX SVC CO COM	MTRX	391.49	297.43	0.00	94.06	0.00	0.00
576853105	MATRIX SVC CO COM	MTRX	1,182.26	892.31	0.00	289.95	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	1,499.43	793.08	0.00	706.35	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	999.17	528.72	0.00	470.45	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	GLPW	379.43	463.25	0.00	-83.82	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	1,023.97	528.80	0.00	495.17	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	1,023.62	528.76	0.00	494.86	0.00	0.00

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
317923100	(Box 1a) FINISH LINE INC CL A	02/19/2013	03/08/2010	FINL	3,748.13	2,712.94	0.00	1,035.19	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	02/19/2013	Various	GLPW	2,676.90	3,255.11	0.00	-578.21	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	02/19/2013	05/05/2010	GLPW	380.42	465.80	0.00	-85.38	0.00	0.00
48562P103	KAPSTONE PAPER & PACKAGING CORP	02/22/2013	03/08/2010	KS	5,144.18	2,444.60	0.00	2,699.58	0.00	0.00
59522J103	MID-AMER APT CMNTYS INC COM	02/22/2013	03/08/2010	MAA	1,734.49	1,303.03	0.00	431.46	0.00	0.00
59522J103	MID-AMER APT CMNTYS INC COM	02/22/2013	08/11/2010	MAA	1,734.09	1,390.97	0.00	343.12	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	02/22/2013	03/08/2010	VSH	3,746.68	2,647.36	0.00	1,099.32	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	02/22/2013	03/08/2010	VSH	1,362.60	962.68	0.00	399.92	0.00	0.00
928298108	VISHAY INTERTECHNOLOGY INC	02/22/2013	03/08/2010	VSH	2,390.74	1,684.69	0.00	706.05	0.00	0.00
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	02/25/2013	Various	GLPW	2,545.34	2,797.87	0.00	-252.53	0.00	0.00

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2013 Tax Information Statement

Page 64 of 83
Ref: NMR

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice
☐ Corrected

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CHICAGO, IL 60603

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CHICAGO, IL 60680

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 2a)							
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	02/26/2013	05/20/2010	GLPW	836 19	0.00	-96 67	0.00	0.00
50 0000					932 86	0.00			
37941P306	GLOBAL POWER EQUIPMENT GROUP INC COM	02/27/2013	05/20/2010	GLPW	845.54	0.00	-87.32	0.00	0.00
50 0000					932 86	0.00			
928298108	VISHAY INTERTECHNOLOGY INC	03/01/2013	03/08/2010	VSH	2,263.22	0.00	578.53	0.00	0.00
175.0000					1,684.69	0.00			
928298108	VISHAY INTERTECHNOLOGY INC	03/01/2013	03/08/2010	VSH	645.23	0.00	163.89	0.00	0.00
50.0000					481.34	0.00			
928298108	VISHAY INTERTECHNOLOGY INC	03/04/2013	03/08/2010	VSH	972.50	0.00	250.49	0.00	0.00
75.0000					722.01	0.00			
928298108	VISHAY INTERTECHNOLOGY INC	03/05/2013	03/08/2010	VSH	657.49	0.00	176.15	0.00	0.00
50.0000					481.34	0.00			
48562P103	KAPSTONE PAPER & PACKAGING CORP	03/06/2013	03/08/2010	KS	2,749.24	0.00	1,518.56	0.00	0.00
100.0000	KAPSTONE PAPER AND PACKAGING CORP COMMON				1,230.68	0.00			
928298108	VISHAY INTERTECHNOLOGY INC	03/06/2013	03/08/2010	VSH	1,974.97	0.00	530.96	0.00	0.00
150.0000					1,444.01	0.00			
225223304	CRAY INC COM NEW STK	03/07/2013	03/08/2010	CRAY	2,018.21	0.00	1,418.87	0.00	0.00
100 0000					599.34	0.00			
638522102	NATIONAL WESTN LIFE INS CO CL A	03/07/2013	03/08/2010	NWLI	3,963.11	0.00	-606.39	0.00	0.00
25.0000					4,569.50	0.00			

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2013 Tax Information Statement

Page 65 of 83
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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis		(Box 4)	(Box 15)	
(Box 1e)		(Box 1a)							
59522J103	25.0000	MID-AMER APT CMNTYS INC COM	03/15/2013 08/11/2010	MAA	1,390.97	0.00	0.00	0.00	0.00
67423R108	100.0000	OBAGI MED PRODS INC COM	04/02/2013 03/08/2010	OMPI	1,142.50	0.00	0.00	0.00	0.00
67423R108	200.0000	OBAGI MED PRODS INC COM	04/03/2013 03/17/2010	OMPI	2,360.50	0.00	0.00	0.00	0.00
59522J103	25.0000	MID-AMER APT CMNTYS INC COM	04/08/2013 08/11/2010	MAA	1,390.97	0.00	0.00	0.00	0.00
225223304	300.0000	CRAY INC COM NEW STK	04/10/2013 03/08/2010	CRAY	1,798.02	0.00	0.00	0.00	0.00
225223304	100.0000	CRAY INC COM NEW STK	04/11/2013 03/08/2010	CRAY	599.34	0.00	0.00	0.00	0.00
758766109	75.0000	REGAL ENTERTAINMENT GROUP CL A COMMON	04/22/2013 10/26/2010	RGC	960.44	0.00	0.00	0.00	0.00
225223304	100.0000	CRAY INC COM NEW STK	04/24/2013 03/08/2010	CRAY	599.34	0.00	0.00	0.00	0.00
67423R108	300.0000	OBAGI MED PRODS INC COM	04/25/2013 Various	OMPI	3,591.70	0.00	0.00	0.00	0.00
050095108	100.0000	ATWOOD OCEANICS INC	04/29/2013 03/08/2010	ATW	3,660.99	0.00	0.00	0.00	0.00

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Corrected	2nd TIN notice
☐	☐

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Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)						
	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
(Box 1e)		(Box 1a)		MAA						
59522J103		MID-AMER APT CMNTYS INC COM								
25 0000		04/29/2013	08/11/2010	1,709.60	1,390.97	0.00	318.63	0.00	0.00	
747277101		QLOGIC CORP		QLGC						
100.0000		04/29/2013	08/23/2010	1,047.23	1,490.68	0.00	-443.45	0.00	0.00	
758766109		REGAL ENTERTAINMENT GROUP CL A COMMON		RGC						
50 0000		04/29/2013	Various	894.22	641.17	0.00	253.03	0.00	0.00	
050095108		ATWOOD OCEANICS INC		ATW						
25 0000		04/30/2013	03/08/2010	1,210.79	915.25	0.00	295.54	0.00	0.00	
45774N108		INNPHOS HLDGS INC COM STK		IPHS						
50 0000		04/30/2013	11/05/2010	2,513.19	1,687.48	0.00	825.71	0.00	0.00	
45774N108		INNPHOS HLDGS INC COM STK		IPHS						
50 0000		04/30/2013	11/05/2010	2,500.51	1,687.48	0.00	813.03	0.00	0.00	
758766109		REGAL ENTERTAINMENT GROUP CL A COMMON		RGC						
75 0000		05/01/2013	06/02/2010	1,362.58	963.06	0.00	399.52	0.00	0.00	
050095108		ATWOOD OCEANICS INC		ATW						
75 0000		05/02/2013	03/08/2010	3,764.39	2,745.74	0.00	1,018.65	0.00	0.00	
302051206		EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ						
300 0000		05/02/2013	Various	213.42	1,601.06	0.00	-1,387.64	0.00	0.00	
302051206		EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ						
100 0000		05/02/2013	08/30/2010	73.36	422.93	0.00	-349.57	0.00	0.00	

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2013 Tax Information Statement

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State Questions? (312)557-0211
☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
163893209	CHEMTURA CORP COM NEW		CHMT					
225 0000	05/03/2013 Various		4,691.80	3,542.98	0.00	1,148.82	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
500.0000	05/03/2013 Various		393.20	2,890.64	0.00	-2,497.44	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
300 0000	05/06/2013 03/08/2010		205.88	1,800.54	0.00	-1,594.66	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
400 0000	05/07/2013 03/08/2010		261.79	2,400.72	0.00	-2,138.93	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
400 0000	05/08/2013 Various		271.63	2,416.93	0.00	-2,145.30	0.00	0.00
302051206	EXIDE TECHNOLOGIES COM NEW COM NEW		XIDEQ					
600.0000	05/09/2013 Various		439.19	3,813.07	0.00	-3,373.89	0.00	0.00
62855J104	MYRIAD GENETICS INC		MYGN					
75 0000	05/10/2013 09/23/2010		2,477.99	1,220.98	0.00	1,257.01	0.00	0.00
83438K103	#REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014		SLTM					
200.0000	05/13/2013 03/08/2010		394.01	398.30	0.00	-4.29	0.00	0.00
858375108	STEIN MART INC		SMRT					
100.0000	05/14/2013 06/23/2010		1,098.71	673.34	0.00	425.37	0.00	0.00
163893209	CHEMTURA CORP COM NEW		CHMT					
150.0000	05/15/2013 12/27/2010		3,441.33	2,395.53	0.00	1,045.80	0.00	0.00

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2013 Tax Information Statement

Page 68 of 83
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Federal Income Tax Withheld (Box 4)
(Box 1e)	(Box 1a)	(Box 2a)	
163893209	CHEMTURA CORP COM NEW	CHMT	
25 0000	05/15/2013 12/27/2010	571 98	0.00
P8744Y102	STEINER LEISURE LTD COM STK USD0.01	STNR	
200 0000	05/15/2013 03/08/2010	9,993.77	0.00
858375108	STEIN MART INC	SMRT	
100.0000	05/16/2013 06/22/2010	1,112.15	0.00
871543104	SYMMETRICOM INC	SYMM	
100.0000	05/17/2013 03/08/2010	526.98	0.00
871543104	SYMMETRICOM INC	SYMM	
200.0000	05/21/2013 03/08/2010	1,051.97	0.00
858375108	STEIN MART INC	SMRT	
100 0000	05/29/2013 03/22/2010	1,250.36	0.00
858375108	STEIN MART INC	SMRT	
100 0000	05/30/2013 12/06/2010	1,266.80	0.00
858375108	STEIN MART INC	SMRT	
100 0000	05/31/2013 12/06/2010	1,286.06	0.00
858375108	STEIN MART INC	SMRT	
100.0000	06/03/2013 12/07/2010	1,299.18	0.00
858375108	STEIN MART INC	SMRT	
300 0000	06/11/2013 Various	3,963.21	0.00
		2,740.20	0.00
		1,223.01	0.00
		375.64	0.00
		356.38	0.00
		349.97	0.00
		-255.03	0.00
		-126.52	0.00
		436.57	0.00
		1,618.51	0.00
		172.73	0.00

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2013 Tax Information Statement

Account Number: 26-59594
Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211

Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
858375108	(Box 1e) STEIN MART INC	06/14/2013	03/17/2010	SMRT	1,356.41	914.78	0.00	441.63	0.00	0.00
858375108	STEIN MART INC	06/17/2013	03/17/2010	SMRT	1,344.04	914.78	0.00	429.26	0.00	0.00
827048109	SILGAN HOLDINGS INC COM	06/18/2013	06/22/2010	SLGN	47.99	29.51	0.00	18.48	0.00	0.00
87162W100	SYNNEX CORP COM STK	06/26/2013	03/08/2010	SNX	8,299.83	6,002.00	0.00	2,297.83	0.00	0.00
48562P103	KAPSTONE PAPER & PACKAGING CORP	07/19/2013	03/08/2010	KS	4,304.51	1,230.68	0.00	3,073.83	0.00	0.00
858375108	STEIN MART INC	07/11/2013	Various	SMRT	2,871.08	1,855.97	0.00	1,015.11	0.00	0.00
871543104	SYMMETRICOM INC	07/19/2013	03/08/2010	SYMM	961.60	1,307.00	0.00	-345.40	0.00	0.00
871543104	SYMMETRICOM INC	07/30/2013	03/08/2010	SYMM	1,515.03	1,960.50	0.00	-445.47	0.00	0.00
300 0000	CRAY INC COM NEW STK	08/02/2013	03/08/2010	CRAY	2,712.95	599.34	0.00	2,113.61	0.00	0.00
225223304	MYRIAD GENETICS INC	08/20/2013	10/01/2010	MYGN	683.10	409.18	0.00	273.92	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Account Number: 26-59594
Recipient's Name and Address:
COLONEL (IL) JAMES N PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ **Corrected**

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)									
62855J104	MYRIAD GENETICS INC	08/20/2013	10/01/2010	MYGN	2,052.88	1,227.53	0.00	825.35	0.00	0.00
62855J104	MYRIAD GENETICS INC	08/22/2013	09/27/2010	MYGN	1,390.86	819.00	0.00	571.86	0.00	0.00
62855J104	MYRIAD GENETICS INC	09/06/2013	Various	MYGN	2,812.84	1,639.58	0.00	1,173.26	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	09/09/2013	Various	ANH	450.50	695.97	245.47	0.00	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	09/09/2013	11/03/2010	ANH	112.62	174.42	0.00	-61.80	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	09/09/2013	11/03/2010	ANH	338.99	523.29	0.00	-184.30	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	09/09/2013	11/03/2010	ANH	448.00	697.72	0.00	-249.72	0.00	0.00
M87245102	SYNERON MEDICAL LTD COM	09/17/2013	03/08/2010	ELOS	816.99	1,036.81	0.00	-219.82	0.00	0.00
868168105	SUPERIOR INDS INTL INC	09/18/2013	Various	SUP	2,277.87	1,767.34	0.00	510.53	0.00	0.00
868168105	SUPERIOR INDS INTL INC	09/19/2013	03/08/2010	SUP	902.85	765.70	0.00	137.15	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
				Stocks Bonds, etc. (Box 2a)					
				SUP					
				2,248.59	1,914.25	0.00	334.34	0.00	0.00
				SUP					
				1,797.23	1,531.40	0.00	265.83	0.00	0.00
				ELOS					
				843.98	1,036.81	0.00	-192.83	0.00	0.00
				OLN					
				4,656.56	3,818.52	0.00	838.04	0.00	0.00
				FINL					
				2,475.32	1,356.47	0.00	1,118.85	0.00	0.00
				FINL					
				2,469.99	1,356.47	0.00	1,113.52	0.00	0.00
				ELOS					
				867.05	1,036.81	0.00	-169.76	0.00	0.00
				ELOS					
				874.57	1,036.81	0.00	-162.24	0.00	0.00
				QLGC					
				2,101.92	3,210.92	0.00	-1,109.00	0.00	0.00
				QLGC					
				1,578.97	2,561.63	0.00	-982.66	0.00	0.00

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2013 Tax Information Statement

Page 72 of 83
Ref: NMR

Account Number: 26-59594
 Recipient's Tax ID Number: XX-XXX0386
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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)									
62855J104	MYRIAD GENETICS INC	10/14/2013	Various	MYGN	2,971.53	2,090.10	0.00	881.43	0.00	0.00
125 0000										
868168105	SUPERIOR INDS INTL INC	10/14/2013	03/08/2010	SUP	449.32	382.85	0.00	66.47	0.00	0.00
25.0000										
M87245102	SYNERON MEDICAL LTD COM	10/14/2013	Various	ELOS	1,793.96	2,116.20	0.00	-322.24	0.00	0.00
200 0000										
62855J104	MYRIAD GENETICS INC	10/17/2013	10/11/2010	MYGN	605.47	423.18	0.00	182.29	0.00	0.00
25 0000										
871543104	SYMMETRICOM INC	10/24/2013	03/08/2010	SYMM	4,321.48	3,921.00	0.00	400.48	0.00	0.00
600 0000										
256603101	#REORG/DOLE FOOD CASH MERGER 11-04-2013	11/04/2013	03/08/2010	DOLE	378.00	337.44	0.00	40.56	0.00	0.00
28 0000										
037347101	ANWORTH MTG AST CORP COM	11/14/2013	Various	ANH	2,577.50	4,019.48	0.00	-1,441.98	0.00	0.00
575 0000										
050095108	ATWOOD OCEANICS INC	11/14/2013	03/08/2010	ATW	5,791.23	3,660.99	0.00	2,130.24	0.00	0.00
100 0000										
45768S105	INNOSPEC INC COM STK	11/14/2013	03/08/2010	IOSP	10,290.29	2,488.50	0.00	7,801.79	0.00	0.00
225 0000										
55272X102	MFA MORTGAGE INVESTMENTS INC COMMON	11/14/2013	03/08/2010	MFA	4,311.67	4,122.75	0.00	188.92	0.00	0.00
575 0000										

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2013 Tax Information Statement

Page 73 of 83
Ref: NMR

Account Number: 26-59594
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ Corrected ☐ 2nd TIN notice

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TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	ANH	776.49	0.00	-469.39	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	Various	ANH	1,245.88	0.00	-469.39	0.00	0.00
175.0000	11/15/2013	Various	ANH	180.78	0.00	-69.44	0.00	0.00
037347101	ANWORTH MTG AST CORP COM	Various	MFA	3,089.24	0.00	59.95	0.00	0.00
25.0000	11/15/2013	11/12/2010	SLTM	4,574.50	0.00	451.50	0.00	0.00
55272X102	MFA MORTGAGE INVESTMENTS INC COMMON	Various	SLTM	1,194.90	0.00	560.07	0.00	0.00
425.0000	11/15/2013	Various	SLTM	288,776.19	245.47	57,973.52	0.00	0.00
871543104	SYMMETRICOM INC	Various	SLTM	231,048.11	245.47	57,973.52	0.00	0.00
700.0000	12/03/2013	03/08/2010	SLTM	1,754.97	0.00	560.07	0.00	0.00
83438K103	#REORG/SOLTA MED INC CASH MERGER EFF 01-24-2014	03/08/2010	SLTM	288,776.19	245.47	57,973.52	0.00	0.00
600.0000	12/20/2013	03/08/2010	SLTM	231,048.11	245.47	57,973.52	0.00	0.00
Total Long Term Sales Reported on 1099-B			288,776.19	231,048.11	245.47	57,973.52	0.00	0.00

Report on Form 8949, Part II, with Box E checked

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Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Dividends and Interest Detail

Qualified Dividends

Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
#REORG/LENDER CASH & STK MERGER FIDELITY NATIONAL 2016925 01-02-2014	
AMERICAN EAGLE OUTFITTERS INC NEW COMMON	212.50
AMERICAN GREETINGS CORP CLASS A	290.64
APPLIED INDL TECHNOLOGIES INC	195.00
BEBE STORES INC COM	448.50
BROOKS AUTOMATION INC COM	320.00
CAPITOL FED FINL INC COM	582.00
COHU INC COM	551.26
COMMERCIAL METALS COMPANY	252.00
CONVERGY CORP COM	288.00
CTS CORP	232.75
DELEK US HLDGS INC COM STK	175.00
EMCOR GROUP INC COM	101.25
FINISH LINE INC CL A	54.00
FOOT LOCKER INC	434.00
GATX CORP	337.50
GORDMAN'S STORES INC COM STK	465.00
HILLENBRAND INC COM STK	2,271.24
	455.27

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Qualified Domestic Dividends

Description	Amount of dividend
INNPHOS HLDGS INC COM STK	78.75
INNOSPEC INC COM STK	112.50
INSTEEL INDS INC COM	33.00
J2 GLOBAL INC COM	468.94
KELLY SVCS INC CLA	47.50
KFORCE.COM INC	70.00
LA Z BOY CHAIR CO	314.00
MENS WEARHOUSE INC	360.00
NATURES SUNSHINE PRODS INC COM	2,660.00
QLIN CORP	290.00
PERKINELMER INC	59.50
REGAL ENTERTAINMENT GROUP CLA COMMON	1,176.00
RICHARDSON ELECTRS LTD COM	216.00
ROCKVILLE FINL INC NEW COM	192.50
SENSIENT TECHNOLOGIES CORP COMMON	273.00
SL FINL GROUP INC MD COM	28.50
SILGAN HOLDINGS INC COM	0.28
STAGE STORES INC COMMON STOCK	175.00
STEIN MART INC	150.00
SUPERIOR INDS INTL INC	8.00

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Qualified Domestic Dividends

Description	Amount of dividend
THE JONES GROUP INC COMMON STOCK	170.00
UTD FINL BANCORP INC MD COM STK	291.75
VIEWPOINT FINL GROUP INC MD COM	262.00
Total Reported on Form 1099-DIV	\$15,103.13
Total Qualified Domestic Dividends	\$15,103.13

Qualified Foreign Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
ASPEN INSURANCE HLDGS COM	466.25
MFC INDL LTD COM	282.00
MONTPELIER RE HLDGS LTD COM	235.77
Total Reported on Form 1099-DIV	\$984.02
Total Qualified Foreign Dividends	\$984.02

Total Qualified Dividends included on 1099-DIV box 1a and 1b

\$16,087.15

Non-Qualified Dividends

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Non-Qualified Domestic Dividends

Amount of
 dividend

Description

Reported on Form 1099-DIV

ANWORTH MGT ASI CORP COM

666.66

INVESCO MORTGAGE CAPITAL INC COM STK

1,342.50

MFA MORTGAGE INVESTMENTS INC COMMON

1,498.00

MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO

10.64

MID-AMER APT CMNTYS INC COM

914.59

QLIN CORP

10.00

Total Reported on Form 1099-DIV

\$4,442.39

Total Non-Qualified Domestic Dividends

\$4,442.39

Non-Qualified U.S. Government Interest Reported as Dividends

Amount of
 dividend

Description

Reported on Form 1099-DIV

MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO

1.66

Total Reported on Form 1099-DIV

\$1.66

Total Non-Qualified U.S. Government Interest Reported as Dividends

\$1.66

Total Non-Qualified Dividends included in Form 1099-DIV box 1a

\$4,444.05

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Capital Gain Distributions

Description

Reported on Form 1099-DIV
ANWORTH MTG AST CORP COM
MID-AMER APT CMNTYS INC COM
Total Reported on Form 1099-DIV
Total capital gain distributions

Amount of
dividend

22.24
67.11
\$89.35
\$89.35

Unrecaptured Section 1250 Gain

Description

Reported on Form 1099-DIV
MID-AMER APT CMNTYS INC COM
Total Reported on Form 1099-DIV
Total Unrecaptured Section 1250 Gain

Amount of
dividend

95.56
\$95.56
\$95.56

Nondividend Distributions

Description

Reported on Form 1099-DIV
ANWORTH MTG AST CORP COM

Amount of
dividend

17.60

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Nondividend Distributions

Description	Amount of dividend
GORDMANS STORES INC COM STK	968.76
JABIL CIRCUIT INC	264.00
Total Reported on Form 1099-DIV	\$1,250.36
Total Nondividend Distributions	\$1,250.36

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
Canada	54.90
MFC INDL LTD COM	\$54.90
Total for Canada	\$54.90
Total Foreign Tax Withheld on dividends and interest (1099-DIV and 1099-DIV box 6)	

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Other Tax Information

Deductions
Agency Fees

Description	Amount of expense
Trustee/Executor Fees-Income (allocable)	4,661.58
Total Agency Fees	4,661.58

Other Deductions Subject to 2% Limitation

Description	Amount of expense
Investment Management Fees	19,849.00
Total Other Deductions Subject to 2% Limitation	19,849.00

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

Account Number: 26-59594
 Tax ID Number: XX-XXX0386
 Ref: NMR

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box 8.

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or

the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

26-59594

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B
Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 1 of 32

26-59584

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N. PRITZKER CHARITABLE

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Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Questions?

If you have any questions regarding this tax information statement, please call Linda M Benedino at (312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 2 of 32
26-59584
XX-XXX0386
Ref: NMIR

Account Number:
Tax ID Number:

Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Page 3 of 32
26-59584
XX-XXX0386
Ref: NMR

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 4 of 32

Account Number:

26-59584

Tax ID Number:

XX-XXX0386

Ref: NMR

Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year.....	\$18.23	\$18.23
U.S. Government Interest Reported as Dividends:		
Total for year.....	\$2.82	\$2.82
Qualified.....	\$0.00	\$0.00
Foreign Dividends:		
Total for year.....	\$189,095.96	\$189,095.96
Qualified.....	\$189,095.96	\$189,095.96
Short Term Gains and Losses:		
Other Short Term (Basis information reported to the IRS):		
Sales Price.....	\$512,224.87	\$512,224.87
Cost or other basis.....	\$485,526.87	\$485,526.87
Net gain/loss.....	(N/A)	\$26,698.00
Long Term Gains and Losses:		
Capital Gain Distributions:		
Capital gain distributions.....	\$6,730.63	\$6,730.63
Other Long Term (Basis information reported to the IRS):		
Sales Price.....	\$587,213.04	\$587,213.04
Cost or other basis.....	\$558,775.37	\$558,775.37
Net gain/loss.....	(N/A)	\$28,437.67
Other Long Term (Basis information not reported to the IRS):		
Sales Price.....	\$885,851.81	\$885,851.81
Cost or other basis.....	(N/A)	\$731,253.74
Net gain/loss.....	(N/A)	\$154,598.07



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 5 of 32
26-59584
XX-XXX0386
Ref: NMR

Account Number:
Tax ID Number:

DEDUCTIONS

Total

Agency Fees \$14,720.93
Other Deductions Subject to 2% Limitation \$44,225.14

MISCELLANEOUS

Total

Reported on 1099

Foreign Taxes Paid on Dividends and Interest \$21,918.23

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
XX-XXX0386
Payer's Federal ID Number:
(312)557-0211

26-59584
XX-XXX0386
36-7158276
(312)557-0211

Recipient's Name and Address:
COLONEL (IL) JAMES N PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions

OMB No. 1545-0110

1a Total ordinary dividends	\$189,117.01
1b Qualified dividends	\$189,095.96
2a Total capital gain distributions	\$6,730.63
2b Unrecaptured Section 1250 Gain	
2c Section 1202 gain	
2d Collectibles (28%) gain	
3 Nondividend distributions	
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	\$21,918.23
7 Foreign country or U.S. possession	Various
8 Cash liquidation distributions	
9 Non-cash liquidation distributions	
10 Exempt-interest dividends	
11 Specified private activity bond interest dividends	
12 State	IL
13 State identification number	
14 State tax withheld	

Form 1099-INT Interest Income

OMB No. 1545-0112

1 Interest income	
2 Early withdrawal penalty	
3 Interest on U.S. Savings Bonds and Treasury obligations	
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	
7 Foreign country or U.S. possession	
8 Tax-exempt interest	
9 Specified private activity bond interest	
10 Tax-exempt bond CUSIP no.	
11 State	
12 State identification number	
13 State tax withheld	

Summary of Form 1099-B

Proceeds from Broker and Barter Exchange Transactions

2 Sales price of stocks, bonds, etc.	\$1,985,289.72
3 Cost or other basis	\$1,044,302.24
4 Federal income tax withheld	
5 Wash sale loss disallowed	
9 Profit or (loss) realized in 2013 on closed contracts	
10 Unrealized profit or (loss) on open contracts - 2012	
11 Unrealized profit or (loss) on open contracts - 2013	
12 Aggregate profit or (loss) on contracts	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement

Summary of Form 1099-OID

Original Issue Discount

1 Original issue discount for 2013	
2 Other periodic interest	
3 Early withdrawal penalty	
4 Federal income tax withheld	
5 Foreign tax paid	
6 Foreign country or U.S. possession	
8 Original issue discount on US Treasury obligations	
9 Investment expenses	

Original Issue Discount Amounts are reported individually to the IRS. Refer to the 1099-OID section of this Tax Information Statement

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Summary of Foreign Investments

Country	Foreign Dividends Qualified	Foreign Dividends Non-Qualified	Foreign Interest	Total Foreign Income	Foreign Tax Paid
Reported on 1099 Forms DIV or INT	1099-DIV Box 1		1099-INT Box 1		1099-DIV/INT Box 6
<u>Australia</u>	1,809.30			1,809.30	
<u>Canada</u>	4,900.72			4,900.72	968.27
<u>France</u>	17,002.54			17,002.54	5,054.91
<u>Germany</u>	9,133.61			9,133.61	2,408.99
<u>Italy</u>	5,055.63			5,055.63	1,011.13
<u>Japan</u>	16,828.24			16,828.24	1,202.70
<u>Netherlands</u>	6,408.00			6,408.00	961.20
<u>Norway</u>	895.03			895.03	223.76
<u>Other countries</u>	43,912.34			43,912.34	6,158.66
<u>Sweden</u>	6,760.28			6,760.28	1,014.04
<u>Switzerland</u>	8,902.12			8,902.12	1,516.69
<u>United Kingdom</u>	59,308.63			59,308.63	
<u>Various</u>	8,179.52			8,179.52	1,397.88
Total Reported on 1099 Forms DIV or INT	189,095.96			189,095.96	21,918.23
Total Foreign Investments	189,095.96			189,095.96	21,918.23

(Enter on Form 1116)

Important Tax Return Documents Enclosed

2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address.
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip			Description (Box 8)		Stock or Other Symbol (Box 1d)					State Tax Withheld	
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)			
Short Term Sales Reported on 1099-B											
088606108	BHP LTD SPONSORED ADR		BHP								
560.0000	01/16/2013	Various	42,804.21	36,481.58	0.00	6,322.63	0.00	0.00			
589339100	ADR MERCK KGAA ADR		MKGAY								
95.0000	01/17/2013	06/01/2012	4,258.04	2,913.78	0.00	1,344.26	0.00	0.00			
589339100	ADR MERCK KGAA ADR		MKGAY								
200.0000	01/18/2013	06/01/2012	8,868.62	6,134.28	0.00	2,734.34	0.00	0.00			
589339100	ADR MERCK KGAA ADR		MKGAY								
255.0000	01/22/2013	06/01/2012	11,195.52	7,821.21	0.00	3,374.31	0.00	0.00			
37427X104	ADR GETINGE AB ADR		GNGBY								
300.0000	02/08/2013	Various	8,778.07	8,737.68	0.00	40.39	0.00	0.00			
37427X104	ADR GETINGE AB ADR		GNGBY								
375.0000	02/11/2013	10/09/2012	11,300.15	10,940.10	0.00	360.05	0.00	0.00			
23381A108	ADR DAIHATSU MTR CO LTD		DHTMY								
555.0000	02/19/2013	12/10/2012	21,989.32	20,623.36	0.00	1,365.96	0.00	0.00			
68618H103	ADR ORICA LTD		OCLDY								
185.0000	03/18/2013	04/10/2012	4,635.90	5,119.41	0.00	-483.51	0.00	0.00			

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 9 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
26-59584
Recipient's Tax ID Number:
XX-XXX0386
Payer's Federal ID Number:
36-7158276
Payer's State ID Number:
IL
State:
IL
Questions?
(312)557-0211

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
68618H103	ADR ORICA LTD	OCLDY	530.0000	03/19/2013	03/30/2012	13,228.34	15,477.22	0.00	-2,248.88	0.00	0.00
456837103	ADR ING GROEP N V SPONSORED ADR	ING	355.0000	03/27/2013	07/19/2012	2,553.78	2,351.95	0.00	201.83	0.00	0.00
456837103	ADR ING GROEP N V SPONSORED ADR	ING	1550.0000	03/28/2013	07/19/2012	11,170.13	10,269.06	0.00	901.07	0.00	0.00
83404D109	ADR SOFTBANK CORP ADR	SFTBY	2600.0000	04/16/2013	Various	57,660.98	50,064.48	0.00	7,596.50	0.00	0.00
83404D109	ADR SOFTBANK CORP ADR	SFTBY	255.0000	04/17/2013	08/02/2012	5,680.73	5,131.57	0.00	549.16	0.00	0.00
800212201	ADR SANDVIK AB ADR	SDVKY	685.0000	04/22/2013	06/11/2012	9,632.25	8,866.02	0.00	766.23	0.00	0.00
111013108	ADR BRIT SKY BROADCASTING GROUP PLC SPONSORED ADR	BSYBY	330.0000	05/10/2013	Various	16,407.57	14,789.67	0.00	1,617.90	0.00	0.00
23381A108	ADR DAIHATSU MTR CO LTD	DHTMY	800.0000	06/10/2013	12/10/2012	31,831.44	29,727.36	0.00	2,104.08	0.00	0.00
23381A108	ADR DAIHATSU MTR CO LTD	DHTMY	650.0000	06/11/2013	12/10/2012	26,259.41	24,153.48	0.00	2,105.93	0.00	0.00

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2013 Tax Information Statement

Page 10 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: IL
Questions? (312)557-0211
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
919134304	ADR VALEO SPONSORED	VLEEV	(Box 1e)	(Box 1a)	(Box 1b)						
225.0000	06/26/2013 Various					6,879.57	5,560.03	0.00	1,319.54	0.00	0.00
919134304	ADR VALEO SPONSORED	VLEEV									
285.0000	06/26/2013 08/17/2012					8,714.12	7,041.12	0.00	1,673.00	0.00	0.00
928856400	VOLVO AKTIEBOLAGET ADR	VOLVY									
1900.0000	09/25/2013 03/15/2013					29,770.58	29,945.14	0.00	-174.56	0.00	0.00
928856400	VOLVO AKTIEBOLAGET ADR	VOLVY									
1800.0000	09/26/2013 03/15/2013					27,572.82	28,369.08	0.00	-796.26	0.00	0.00
928856400	VOLVO AKTIEBOLAGET ADR	VOLVY									
95.0000	09/27/2013 03/15/2013					1,429.07	1,497.26	0.00	-68.19	0.00	0.00
010198208	AKTIEBOLAGET ELECTROLUX LTD SPONSORED ADR	ELUXY									
200.0000	10/01/2013 07/25/2013					10,490.06	11,505.16	0.00	-1,015.10	0.00	0.00
010198208	AKTIEBOLAGET ELECTROLUX LTD SPONSORED ADR	ELUXY									
700.0000	10/03/2013 07/25/2013					37,087.73	40,268.06	0.00	-3,180.33	0.00	0.00
010198208	AKTIEBOLAGET ELECTROLUX LTD SPONSORED ADR	ELUXY									
200.0000	10/04/2013 07/25/2013					10,320.70	11,505.16	0.00	-1,184.46	0.00	0.00
010198208	AKTIEBOLAGET ELECTROLUX LTD SPONSORED ADR	ELUXY									
530.0000	10/07/2013 Various					26,932.65	30,608.57	0.00	-3,675.92	0.00	0.00

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2013 Tax Information Statement

Page 11 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums
Covered (Box 6a is not checked)

CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)		Stocks Bonds, etc. (Box 2a) GNGBY		Cost or Other Basis (Box 3) (Box 5)		Wash Sale Loss Disallowed (Box 5)		Net Gain or Loss (Box 4)		Federal Income Tax Withheld (Box 15)		State Tax Withheld (Box 15)	
430.0000	---	10/16/2013	11/01/2012			13,391.86		13,309.45		0.00		82.41		0.00		0.00	
37427X104		ADR GETINGE AB ADR				GNGBY		22,078.74		0.00		-441.11		0.00		0.00	
680.0000	---	10/18/2013	Various			SIG		12,586.96		0.00		2,868.77		0.00		0.00	
G81276100		SIGNET JEWELERS LTD ORD USD0.18				SIG		11,649.93		0.00		2,637.96		0.00		0.00	
200.0000	---	11/20/2013	03/15/2013			SIG		485,526.87		0.00		26,698.00		0.00		0.00	
G81276100		SIGNET JEWELERS LTD ORD USD0.18				SIG		14,287.89		0.00		2,637.96		0.00		0.00	
185.0000	---	11/21/2013	Various			SIG		485,526.87		0.00		26,698.00		0.00		0.00	
Total Short Term Sales Reported on 1099-B																	

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B		SANOFI-AVENTIS SPONSORED ADR		SNY		5,277.87		3,846.90		0.00		1,430.97		0.00		0.00	
80105N105		ADR MERCK KGAA ADR				MKGAY		11,112.03		0.00		7,040.67		0.00		0.00	
110.0000	---	01/15/2013	02/01/2011			REXMY		14.12		0.00		3.48		0.00		0.00	
589339100		ADR REXAM PLC SPONSORED ADR COM NO PAR				REXMY		17.60		0.00		3.48		0.00		0.00	
405.0000	---	01/17/2013	09/16/2011			REXMY		14.12		0.00		3.48		0.00		0.00	
761655505		ADR REXAM PLC SPONSORED ADR COM NO PAR				REXMY		14.12		0.00		3.48		0.00		0.00	
5000	---	01/28/2013	10/10/2011			REXMY		14.12		0.00		3.48		0.00		0.00	

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2013 Tax Information Statement

Page 12 of 32

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: IL
Questions? (312)557-0211
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)				Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed					
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)					(Box 15)
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	21,517.56	21,420.23	0.00		97.33	0.00	0.00	
600 0000	02/06/2013 Various									
716473103	ADR PETROFAC LTD ADS	POFCY	14,852.19	14,600.68	0.00		251.51	0.00	0.00	
1200 0000	02/19/2013 Various									
716473103	ADR PETROFAC LTD ADS	POFCY	10,869.50	10,986.66	0.00		-117.16	0.00	0.00	
890.0000	02/20/2013 Various									
68618H103	ADR ORICA LTD	OCLDY	2,881.78	3,212.74	0.00		-330.96	0.00	0.00	
115 0000	03/18/2013 02/23/2012									
68618H103	ADR ORICA LTD	OCLDY	31,822.91	35,619.55	0.00		-3,796.64	0.00	0.00	
1275.0000	03/19/2013 02/23/2012									
456837103	ADR ING GROEP N V SPONSORED ADR	ING	11,114.32	9,946.56	0.00		1,167.76	0.00	0.00	
1545.0000	03/27/2013 09/13/2011									
456837103	ADR ING GROEP N V SPONSORED ADR	ING	24,069.84	35,666.86	0.00		-11,597.02	0.00	0.00	
3340 0000	03/28/2013 Various									
456837103	ADR ING GROEP N V SPONSORED ADR	ING	1,298.58	2,149.69	0.00		-851.11	0.00	0.00	
180 0000	04/02/2013 05/27/2011									
800212201	ADR SANDVIK AB ADR	SDVKY	53,223.48	54,063.80	0.00		-840.32	0.00	0.00	
3785.0000	04/22/2013 04/19/2012									

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2013 Tax Information Statement

Page 13 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

CUSIP		Description (Box 8)		Stock or Other Symbol (Box 1d)		Net Gain or Loss		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed						
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)						
111013108	ADR BRIT SKY BROADCASTING GROUP PLC		BSYBY								
790 0000	SPONSORED ADR	05/10/2013	39,278.71	37,038.60	0.00	2,240.11	0.00	0.00	0.00	0.00	0.00
307305102	FANUC CORPORATION		FANUY								
135.0000	05/17/2013	02/02/2011	3,556.63	3,558.25	0.00	-1.62	0.00	0.00	0.00	0.00	0.00
919134304	ADR VALEO SPONSORED		VLECY								
15.0000	06/26/2013	10/13/2011	458.64	369.69	0.00	88.95	0.00	0.00	0.00	0.00	0.00
589339100	ADR MERCK KGAA ADR		MKGAY								
900 0000	07/02/2013	Various	45,870.22	30,400.16	0.00	15,470.06	0.00	0.00	0.00	0.00	0.00
589339100	ADR MERCK KGAA ADR		MKGAY								
400 0000	07/03/2013	Various	20,050.81	14,071.23	0.00	5,979.58	0.00	0.00	0.00	0.00	0.00
589339100	ADR MERCK KGAA ADR		MKGAY								
300.0000	07/05/2013	04/29/2011	14,985.27	10,675.14	0.00	4,310.13	0.00	0.00	0.00	0.00	0.00
589339100	ADR MERCK KGAA ADR		MKGAY								
300.0000	07/08/2013	Various	15,061.24	10,702.49	0.00	4,358.75	0.00	0.00	0.00	0.00	0.00
589339100	ADR MERCK KGAA ADR		MKGAY								
20.0000	07/09/2013	05/02/2011	993.89	715.09	0.00	278.80	0.00	0.00	0.00	0.00	0.00
23405X100	#REORG/DAITO TRUST MAND TRUST ADR DAITO TR		DITFY								
1015.0000	CONSTR 2T18AP1 12-19-2013		22,364.73	19,074.65	0.00	3,290.08	0.00	0.00	0.00	0.00	0.00
	07/10/2013	Various									

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2013 Tax Information Statement

Page 14 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip			Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)			
23405X100	#REORG/DAITO TRUST MAND TRUST ADR DAITO TR	DITFY	22,703.14	20,682.72	0.00	2,020.42	0.00	0.00		
1025 0000	CONSTRT18AP1 12-19-2013	POT	27,222.89	33,872.79	0.00	-6,649.90	0.00	0.00		
73755L107	POTASH CORP OF SASKATCHEWAN INC	TLSYY	56,337.92	37,992.49	0.00	18,345.43	0.00	0.00		
705 0000	ADR TELSTRA CORP LTD SPONSORED ADR	EXPGY	26,748.92	21,681.85	0.00	5,067.07	0.00	0.00		
87969N204	FINALINSTALMENT	GNGBY	6,210.55	5,859.98	0.00	350.57	0.00	0.00		
2480.0000	07/25/2013 Various	GNGBY	27,095.15	26,336.39	0.00	758.76	0.00	0.00		
30215C101	EXPERIAN PLC	TKPPY	21,388.40	23,551.60	0.00	-2,163.20	0.00	0.00		
1410 0000	09/30/2013 08/10/2012	TKPPY	256.15	258.51	0.00	-2.36	0.00	0.00		
37427X104	ADR GETINGE AB ADR	WOSYY	140	177	0.00	-0.37	0.00	0.00		
200.0000	10/15/2013 Various									
37427X104	ADR GETINGE AB ADR									
870.0000	10/16/2013 Various									
878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP									
835.0000	SEC#2856204 EFF 7/14/03									
878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP									
10 0000	SEC#2856204 EFF 7/14/03									
977868306	ADR WOLSELEY PLC JERSEY SPONSORED ADR 2013									
5800	12/02/2013 10/20/2011									

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2013 Tax Information Statement

Page 15 of 32
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59584
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
716473103	ADR PETROFAC LTD ADS.		POFCY						
500.0000	12/17/2013	04/07/2011	4,509 22	6,274 20	0.00	-1,764.98	0.00	0.00	
716473103	ADR PETROFAC LTD ADS.		POFCY						
4145.0000	12/18/2013	Various	37,020.83	53,017.95	0.00	-15,997.12	0.00	0.00	
Total Long Term Sales Reported on 1099-B			587,213.04	558,775.37	0.00	28,437.67	0.00	0.00	

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Page 16 of 32
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59584
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B								
80105N105	SANOFI-AVENTIS SPONSORED ADR	SNY						
575.0000	01/15/2013 Various		27,588.85	21,055.80	0.00	6,533.05	0.00	0.00
23405X100	#REORG/DAITO TRUST MAND TRUST ADR DAITO TR	DITFY						
875.0000	CONSTR 2T18AP1 12-19-2013 02/04/2013 10/04/2010		20,703.34	13,230.52	0.00	7,472.82	0.00	0.00
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY						
1415.0000	02/06/2013 03/05/2010		50,745.57	33,691.15	0.00	17,054.42	0.00	0.00
80105N105	SANOFI-AVENTIS SPONSORED ADR	SNY						
835.0000	02/08/2013 03/05/2010		37,951.81	31,698.44	0.00	6,253.37	0.00	0.00
23405X100	#REORG/DAITO TRUST MAND TRUST ADR DAITO TR	DITFY						
810.0000	CONSTR 2T18AP1 12-19-2013 03/08/2013 Various		17,076.19	12,351.25	0.00	4,724.94	0.00	0.00
110448107	BRITISH AMERN TOB PLC SPONSORED ADR	BTI						
455.0000	03/14/2013 03/05/2010		47,775.33	32,131.69	0.00	15,643.64	0.00	0.00
456837103	ADR ING GROEP N V SPONSORED ADR	ING						
5110.0000	03/28/2013 10/25/2010		36,825.41	58,198.30	0.00	-21,372.89	0.00	0.00

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2013 Tax Information Statement

Page 17 of 32
Ref: NMR

Account Number: 26-59584
 Recipient's Tax ID Number: XX-XXX0386
 Payer's Federal ID Number: 36-7158276
 Payer's State ID Number: IL
 State: (312)557-0211
 Questions? ☐ 2nd TIN notice

Payer's Name and Address:
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 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 COLONEL (IL) JAMES N PRITZKER
 TAWANI ENTERPRISES
 104 S MICHIGAN AVE.
 SUITE 500
 CHICAGO, IL 60603

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition						
138006309	CANON INC ADR REPSTG 5 SHS		CAJ					
1300 0000	04/30/2013 03/05/2010		46,481 10	57,184 01	0.00	-10,702 91	0.00	0.00
138006309	CANON INC ADR REPSTG 5 SHS		CAJ					
915 0000	05/01/2013 03/05/2010		32,226.12	40,248.74	0.00	-8,022 62	0.00	0.00
307305102	FANUC CORPORATION		FANUY					
2445.0000	05/17/2013 Various		64,414.52	48,639 39	0.00	15,775.13	0.00	0.00
80105N105	SANOFLAVENTIS SPONSORED ADR		SNY					
595 0000	07/01/2013 03/05/2010		30,998.31	22,587 51	0.00	8,410.80	0.00	0.00
23405X100	#REORG/DAITO TRUST MAND TRUST ADR DAITO TR		DITFY					
485.0000	CONSTR 2T18AP1 12-19-2013		10,686.59	7,492.93	0.00	3,193.66	0.00	0.00
03524A108	ADR ANHEUSER BUSCH INBEV SA/NV SPONSOREDADR		BUD					
680.0000	07/16/2013 03/05/2010		62,021 86	33,596.08	0.00	28,425.78	0.00	0.00
73755L107	POTASH CORP OF SASKATCHEWAN INC		POT					
1065 0000	07/16/2013 Various		41,123.94	43,591 43	0.00	-2,467.49	0.00	0.00
045387107	GDR ASSA ABLOY AB ADR		ASAZY					
1200 0000	07/30/2013 03/05/2010		26,445.26	11,868 00	0.00	14,577.26	0.00	0.00
904767704	UNILEVER PLC SPONSORED ADR NEW		UL					
700 0000	07/30/2013 03/05/2010		28,334.03	21,180.60	0.00	7,153 43	0.00	0.00
045387107	GDR ASSA ABLOY AB ADR		ASAZY					
1100 0000	07/31/2013 03/05/2010		24,244.46	10,879.00	0.00	13,365.46	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

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Ref: NMR

Account Number: 26-59584
Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)									
904767704	UNILEVER PLC SPONSORED ADR NEW	07/31/2013	03/05/2010	UL	41,549.49	31,014.45	0.00	10,535.04	0.00	0.00
1025.0000										
045387107	GDR ASSA ABLOY AB ADR	08/01/2013	03/05/2010	ASAZY	7,728.79	3,510.95	0.00	4,217.84	0.00	0.00
355.0000										
80105N105	SANOFI-AVENTIS SPONSORED ADR	08/06/2013	03/05/2010	SNY	10,368.27	7,592.44	0.00	2,775.83	0.00	0.00
200.0000										
80105N105	SANOFI-AVENTIS SPONSORED ADR	08/07/2013	03/05/2010	SNY	50,111.60	36,443.71	0.00	13,667.89	0.00	0.00
960.0000										
52106N889	MFO LAZARD FDS INC EMERGING MKTS PORTFOLIO	10/09/2013	Various	LZEMX	118,900.00	109,746.27	0.00	9,153.73	0.00	0.00
6106.8300										
98433V102	ADR YAHOO JAPAN CORP ADR	10/09/2013	03/05/2010	YAHOO	1.18	2.51	0.00	-1.33	0.00	0.00
3300										
878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP	10/31/2013	10/28/2010	TKPPY	10,575.21	8,262.39	0.00	2,312.82	0.00	0.00
400.0000										
878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP	10/31/2013	10/28/2010	TKPPY	7,931.41	6,196.79	0.00	1,734.62	0.00	0.00
300.0000										
878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP	11/01/2013	Various	TKPPY	33,043.17	28,859.39	0.00	4,183.78	0.00	0.00
1290.0000										
Total Long Term Sales Reported on 1099-B					885,851.81	731,253.74	0.00	154,598.07	0.00	0.00

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Dividends and Interest Detail

Qualified Dividends

Qualified Foreign Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
#REORG/DAITO TRUST MAND TRUST ADR DAITO TR CONSTR 2I18AP1 12-19-2013	1,058.69
#REORG/INFORMA MAND EXCH INFORMA PLC 2Q18AK1 EFF 07/01/2013	2,817.87
#REORG/REXAM PLC REVERSE STOCK SPLIT REXAM PLC 2M1XAG1 EFF 01/28/2013	11,683.96
#REORG/WOLSELEY ADR REV STK SPLIT ADR WOLSELEY JERSEY 2K1SAF1 12/10/2012	3,945.97
#REORG/WOLSELEY REV STK SPLIT WOLSELEY PLC JERSEY 2T1UAT1 12-02-2013	5,464.28
ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	7,822.86
ADR ASSD BRIT FOODS LTD ADR NEW	326.60
ADR BAYERISCHE MOTOREN WERKE A G ADR	3,106.19
ADR BRIT SKY BROADCASTING GROUP PLC SPONSORED ADR	752.01
ADR CARLSBERG AS SPONSORED ADR	686.53
ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR	906.90
ADR DAIHATSU MTR CO LTD	994.86
ADR DAIWA HOUSE IND LTD ADR	1,325.68
ADR GETINGE AB ADR	1,394.72
ADR HSBC HLDGS PLC SPONSORED ADR NEW	6,817.50
ADR INFORMA PLC SPONSORED ADR	1,505.27
ADR LADBROKES PLC SPONSORED ADR	997.67
ADR LIXIL GROUP CORP ADR	1,900.54

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Qualified Foreign Dividends

Description	Amount of dividend
ADR MEDIOLANUM SPA ADR	1,294.16
ADR MERCK KGAA ADR	1,433.22
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	7,995.22
ADR ORICA LTD	938.37
ADR PETROFAC LTD ADS	1,524.25
ADR PETROLEUM GEO-SVCS ASA NEW SPONSORED ADR	895.03
ADR REXAM PLC SPONSORED ADR COM NO PAR	3,903.81
ADR SAMPO OYJ ADR ADR	5,626.77
ADR SANDS CHINA LTD UNSPONSORED ADR	3,144.96
ADR SEVEN & I HLDGS CO LTD ADR	2,074.36
ADR SOFTBANK CORP ADR	291.26
ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR	5,432.93
ADR SWATCH GROUP AG ADR	875.79
ADR SWEDBANK A B SPONSORED ADR	4,978.03
ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	1,553.58
ADR TELSTRA CORP LTD SPONSORED ADR FINAL INSTALMENT	1,809.30
ADR TULLOW OIL PLC ADR ADR	620.07
ADR VALEO SPONSORED	3,280.30
ADR YAHOO JAPAN CORP ADR	871.31
ATLANTIA SPA ADR	3,055.82

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Qualified Foreign Dividends

Description	Amount of dividend
BAYER AG 00	3,234.01
BG PLC ADR FIN INST N	1,265.38
BHP LTD SPONSORED ADR	4,373.20
BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH	5,133.09
BRITISH AMERN TOB PLC SPONSORED ADR	7,358.84
CANON INC ADR REPSTG 5 SHS	1,655.28
DAIKIN INDS LTD ADR	401.56
ENIS P A SPONSORED ADR	5,055.63
EXPERIAN PLC	1,348.30
FANUC CORPORATION	355.94
GDR ASSA ABLOY AB ADR	3,168.49
KOMATSU LTD SPON ADR NEW	1,971.49
MAKITA ELECTRIC WORKS LTD ADR NEW	394.88
MFO LAZARD FDS INC EMERGING MKTS PORTFOLIO	8,179.52
POTASH CORP. OF SASKATCHEWAN INC	1,486.80
PRUDENTIAL PLC ADR	5,530.10
RED ELECTRICA CORPORACION SA	3,682.26
ROGERS COMMUNICATIONS INC CL B	3,413.92
ROYAL DUTCH SHELL PLC SPONSORED	6,408.00
SANOFLAVENTIS SPONSORED ADR	7,035.57

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Qualified Foreign Dividends

Description	Amount of dividend
SAP AKTIENGESellschaft SPONSORED ADR	2,793.41
SIGNET JEWELERS LTD ORD USD0.18	570.00
UNILEVER PLC SPONSORED ADR NEW	6,254.32
VODAFONE GROUP PLC SPONSORED ADR	1,137.08
VOLVO AKTIEBOLAGET ADR	1,782.25
Total Reported on Form 1099-DIV	\$189,095.96
Total Qualified Foreign Dividends	\$189,095.96

Total Qualified Dividends included on 1099-DIV box 1a and 1b

\$189,095.96

Non-Qualified Dividends

Non-Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTH INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	18.23
Total Reported on Form 1099-DIV	\$18.23
Total Non-Qualified Domestic Dividends	\$18.23

Important Tax Return Documents Enclosed

2013 Tax Information Statement
 COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Non-Qualified U.S. Government Interest Reported as Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTH INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	2.82
Total Reported on Form 1099-DIV	\$2.82
Total Non-Qualified U.S. Government Interest Reported as Dividends	\$2.82
Total Non-Qualified Dividends included in Form 1099-DIV box 1a	\$21.05

Capital Gain Distributions

Description	Amount of dividend
Reported on Form 1099-DIV MFO LAZARD FDS INC EMERGING MKTS PORTFOLIO	6,730.63
Total Reported on Form 1099-DIV	\$6,730.63
Total capital gain distributions	\$6,730.63

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
Canada	
POTASH CORP. OF SASKATCHEWAN INC	284.96
ROGERS COMMUNICATIONS INC CL B	683.31
Total for Canada	\$968.27
France	
ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	466.07
ADR VALEO SPONSORED	984.09
BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH	1,539.93
SANOFI-AVENTIS SPONSORED ADR	2,064.82
Total for France	\$5,054.91
Germany	
ADR BAYERISCHE MOTOREN WERKE A G ADR	819.26
BAYER A G 00	852.97
SAP AKTIENGESELLSCHAFT SPONSORED ADR	736.76
Total for Germany	\$2,408.99
Italy	
ENI S P A SPONSORED ADR	1,011.13
Total for Italy	\$1,011.13
Japan	
#REORG/DAITO TRUST MAND TRUST ADR DAITO TR CONSTR 2T18AP1 12-19-2013	75.66
ADR DAIHATSU MTR CO LTD	71.10
ADR DAIWA HOUSE IND LTD ADR	94.75
ADR SEVEN & I HLDGS CO LTD ADR	148.25

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
ADR SOFTBANK CORP ADR	20.82
ADR SUMITOMO MITSUBI FINL GROUP INC SPONSORED ADR	388.29
ADR YAHOO JAPAN CORP ADR	62.27
CANON INC ADR REPSTG 5 SHS	118.30
DAIKIN INDS LTD ADR	28.70
FANUC CORPORATION	25.44
KOMATSU LTD SPON ADR NEW	140.90
MAKITA ELECTRIC WORKS LTD ADR NEW	28.22
Total for Japan	\$1,202.70
Netherlands	
ROYAL DUTCH SHELL PLC SPONSORED	961.20
Total for Netherlands	\$961.20
Norway	
ADR PETROLEUM GEO-SVCS ASA NEW SPONSORED ADR	223.76
Total for Norway	\$223.76
Other countries	
ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	1,955.71
ADR CARLSBERG AS SPONSORED ADR	185.36
ADR GETINGE AB ADR	234.66
ADR LIXIL GROUP CORP ADR	135.84
ADR MEDIOLANUM SPA ADR	258.83
ADR MERCK KGAA ADR	378.01

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
ADR SAMPO QYJ ADR ADR	844.01
ADR SWATCH GROUP AG ADR	306.53
ATLANTIA SPA ADR	611.16
GDR ASSA ABLOY AB ADR	475.27
RED ELECTRICA CORPORACION SA	773.28
Total for Other countries	\$6,158.66
Sweden	
ADR SWEDBANK A B SPONSORED ADR	746.70
VOLVO AKTIEBOLAGET ADR	267.34
Total for Sweden	\$1,014.04
Switzerland	
ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR	317.41
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	1,199.28
Total for Switzerland	\$1,516.69
Various	
MEO LAZARD FDS INC EMERGING MKTS PORTFOLIO	1,397.88
Total for Various	\$1,397.88
Total Foreign Tax Withheld on dividends and interest (1099-DIV and 1099-DIV box 6)	\$21,918.23

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Other Tax Information

Deductions

Agency Fees

Description	Amount of expense
#REORG/DAITO TRUST MAND TRUST ADR DAITO TR CONSTR 2T18AP1 12-19-2013	101.00
#REORG/INFORMA MAND EXCH INFORMA PLC 2Q18AK1 EFF 07/01/2013	282.53
#REORG/WOLSELEY ADR REV STK SPLIT ADR WOLSELEY JERSEY 2K1SAF1 12/10/2012	300.15
#REORG/WOLSELEY REV STK SPLIT WOLSELEY PLC JERSEY 2T1UAT1 12-02-2013	574.20
ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	135.08
ADR ASSD BRIT FOODS LTD ADR NEW	47.00
ADR BAYERISCHE MOTOREN WERKE A G ADR	145.00
ADR CARLSBERG AS SPONSORED ADR	60.12
ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR	82.71
ADR DAIHATSU MTR CO LTD	78.30
ADR DAIWA HOUSE IND LTD ADR	16.34
ADR GETINGE AB ADR	95.92
ADR INFORMA PLC SPONSORED ADR	148.70
ADR LADBROKES PLC SPONSORED ADR	144.80
ADR LIXIL GROUP CORP ADR	183.60
ADR MEDIOLANUM SPA ADR	96.50
ADR MERCK KGAA ADR	96.00
ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	16.48
ADR ORICA LTD	148.75

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Agency Fees

Description	Amount of expense
ADR PETROFAC LTD ADS	148.64
ADR PETROLEUM GEO-SVCS ASA NEW SPONSORED ADR	31.75
ADR SAMPO OYJ ADR ADR	350.63
ADR SANDS CHINA LTD UNSPONSORED ADR	183.75
ADR SEVEN & J HLDGS CO LTD ADR	170.37
ADR SOFTBANK CORP ADR	62.81
ADR SUMITOMO MITSUBI FINL GROUP INC SPONSORED ADR	63.36
ADR SWATCH GROUP AG ADR	56.58
ADR SWEDBANK A B SPONSORED ADR	11.50
ADR TELSTRA CORP LTD SPONSORED ADR FINAL INSTALMENT	49.60
ADR TULLOW OIL PLC ADR ADR	99.97
ADR VALEO SPONSORED	67.20
ADR YAHOO JAPAN CORP ADR	367.04
ATLANTIA SPA ADR	240.89
BAYER A G 00	26.10
BRITISH AMERN TOB PLC SPONSORED ADR	16.22
CANON INC ADR REPSTG 5 SHS	11.08
DAIKIN INDS LTD ADR	39.38
EXPERIAN PLC	128.04
FANUC CORPORATION	56.76
GDR ASSA ABLOY AB ADR	250.02

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Agency Fees

Description	Amount of expense
KOMATSU LTD SPON ADR NEW	172.55
MAKITA ELECTRIC WORKS LTD ADR NEW	4.68
RED ELECTRICA CORPORACION SA	270.45
SAP AKTIENGESSELLSCHAFT SPONSORED ADR	50.30
Trustee/Executor Fees - Non-Allocable	265.10
Trustee/Executor Fees-Income (allocable)	8,737.75
VODAFONE GROUP PLC SPONSORED ADR	21.95
VOLVO AKTIEBOLAGET ADR	13.28
Total Agency Fees	14,720.93

Other Deductions Subject to 2% Limitation

Description	Amount of expense
Investment Management Fees	44,225.14
Total Other Deductions Subject to 2% Limitation	44,225.14

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box 8 **Recipient's information number**. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or

the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that

had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

26-59584

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 1 of 25

Account Number:
26-59566
Tax ID Number:
XX-XXX0386
Ref: NMR

From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N PRITZKER CHARITABLE

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Summary of Foreign Investments	7
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Dividends and Interest Detail	16
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Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO , IL 60603

Questions?

If you have any questions regarding this tax information statement, please call Linda M Benedino at (312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

26-59566
XX-XXX0386
Ref: NMR

Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Page 3 of 25
26-59566
XX-XXX0386
Ref: NMIR

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number: 26-59566
Tax ID Number: XX-XXX0386
Ref: NMIR

Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year	\$199,974.91	\$199,974.91
Qualified	\$199,944.69	\$199,944.69
U.S. Government Interest Reported as Dividends:		
Total for year	\$4.69	\$4.69
Qualified	\$0.00	\$0.00
Foreign Dividends:		
Total for year	\$40,522.66	\$40,522.66
Qualified	\$40,522.66	\$40,522.66
Short Term Gains and Losses:		
Other Short Term (Basis information reported to the IRS):		
Sales Price	\$1,159,586.25	\$1,159,586.25
Cost or other basis	\$1,054,526.76	\$1,054,526.76
Net gain/loss	(N/A)	\$105,059.49
Long Term Gains and Losses:		
Other Long Term (Basis information reported to the IRS):		
Sales Price	\$1,465,913.16	\$1,465,913.16
Cost or other basis	\$945,668.42	\$945,668.42
Net gain/loss	(N/A)	\$520,244.74
Other Long Term (Basis information not reported to the IRS):		
Sales Price	\$970,798.83	\$970,798.83
Cost or other basis	(N/A)	\$604,987.18
Net gain/loss	(N/A)	\$365,811.65



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

26-59566
XX-XXX0386
Ref: NMR

Account Number:
Tax ID Number:

DEDUCTIONS

Total

Agency Fees \$12,609.37
Other Deductions Subject to 2% Limitation \$61,879.00

MISCELLANEOUS

Total

Reported on 1099

Nondividend Distributions \$2,785.03
Foreign Taxes Paid on Dividends and Interest \$3,151.52

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
XX-XXX0386
Recipient's Tax ID Number:
36-7158276
Payer's Federal ID Number:
(312)557-0211

26-59566
2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions

OMB No. 1545-0110

1a Total ordinary dividends	\$240,502.26
1b Qualified dividends	\$240,467.35
2a Total capital gain distributions	
2b Unrecaptured Section 1250 Gain	
2c Section 1202 gain	
2d Collectibles (28%) gain	
3 Nondividend distributions	\$2,785.03
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	\$3,151.52
7 Foreign country or U.S. possession	Various
8 Cash liquidation distributions	
9 Non-cash liquidation distributions	
10 Exempt-interest dividends	
11 Specified private activity bond interest dividends	
12 State	IL
13 State identification number	
14 State tax withheld	

Form 1099-INT Interest Income

OMB No. 1545-0112

1 Interest income	
2 Early withdrawal penalty	
3 Interest on U.S. Savings Bonds and Treasury obligations	
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	
7 Foreign country or U.S. possession	
8 Tax-exempt interest	
9 Specified private activity bond interest	
10 Tax-exempt bond CUSIP no.	
11 State	
12 State identification number	
13 State tax withheld	

Summary of Form 1099-B

Proceeds from Broker and Barter Exchange Transactions

2 Sales price of stocks, bonds, etc.	\$3,596,298.24
3 Cost or other basis	\$2,000,195.18
4 Federal income tax withheld	
5 Wash sale loss disallowed	
9 Profit or (loss) realized in 2013 on closed contracts	
10 Unrealized profit or (loss) on open contracts - 2012	
11 Unrealized profit or (loss) on open contracts - 2013	
12 Aggregate profit or (loss) on contracts	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement

Summary of Form 1099-OID

Original Issue Discount

1 Original issue discount for 2013	
2 Other periodic interest	
3 Early withdrawal penalty	
4 Federal income tax withheld	
5 Foreign tax paid	
6 Foreign country or U.S. possession	
8 Original issue discount on US Treasury obligations	
9 Investment expenses	

Original Issue Discount Amounts are reported individually to the IRS. Refer to the 1099-OID section of this Tax Information Statement

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

Account Number:
 Tax ID Number:

COLONEL (IL) JAMES N. PRITZKER

Summary of Foreign Investments

Country	Foreign Dividends Qualified Non-Qualified	Foreign Interest	Foreign Income	Foreign Tax Paid
Reported on 1099 Forms DIV or INT	1099-DIV Box 1	1099-INT Box 1		1099-DIV/INT Box 6
<u>Bermuda</u>	1,533.30		1,533.30	
<u>Canada</u>	5,140.47		5,140.47	1,082.57
<u>Ireland</u>	1,999.20		1,999.20	
<u>Israel</u>	1,368.28		1,368.28	205.24
<u>Japan</u>	4,014.40		4,014.40	286.91
<u>Netherlands</u>	12,312.56		12,312.56	1,576.80
<u>Other countries</u>	3,965.26		3,965.26	
<u>Switzerland</u>	1,884.70		1,884.70	
<u>United Kingdom</u>	8,304.49		8,304.49	
Total Reported on 1099 Forms DIV or INT	40,522.66		40,522.66	3,151.52
Total Foreign Investments	40,522.66		40,522.66	3,151.52

(Enter on Form 1116)

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Page 8 of 25
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59566
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)				State Tax Withheld (Box 15)	
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)		
Short Term Sales Reported on 1099-B									
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW		MS						
2810.0000	01/25/2013	06/15/2012	63,905.27	39,556.65	0.00	24,348.62	0.00		
101137107	BOSTON SCIENTIFIC CORP		BSX						
14100.0000	01/31/2013	Various	105,369.75	84,780.35	0.00	20,589.40	0.00		
98956P102	ZIMMER HLDGS INC		ZMH						
840.0000	01/31/2013	07/27/2012	62,599.08	48,869.10	0.00	13,729.98	0.00		
87612E106	TARGET CORP		TGT						
1730.0000	02/13/2013	12/24/2012	108,357.85	103,182.91	0.00	5,174.94	0.00		
039483102	ARCHER DANIELS MIDLAND CO		ADM						
1410.0000	03/05/2013	08/07/2012	45,429.46	36,436.80	0.00	8,992.66	0.00		
713448108	PEPSICO INC		PEP						
635.0000	03/20/2013	12/24/2012	48,400.84	43,873.29	0.00	4,527.55	0.00		
855030102	STAPLES INC		SPLS						
5330.0000	06/18/2013	08/15/2012	87,454.71	61,096.72	0.00	26,357.99	0.00		
878742204	TECK RESOURCES LIMITED VTG		TCK						
4650.0000	07/08/2013	Various	93,825.13	135,949.54	0.00	-42,124.41	0.00		

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2013 Tax Information Statement

Page 9 of 25
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59566
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)				Federal Income Tax Withheld		State Tax Withheld	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld			
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 15)			
878742204	TECK RESOURCES LIMITED VTC		TCK								
26650000	07/09/2013	Various	54,282.70	86,239.70	0.00	-31,957.00	0.00	0.00			
413875105	HARRIS CORP DEL COM		HRS								
16850000	08/16/2013	03/25/2013	97,068.80	74,965.31	0.00	22,103.49	0.00	0.00			
881624209	TEVA PHARMACEUTICALS INDS LTD ADR		TEVA								
42500000	10/30/2013	08/12/2013	161,758.56	168,651.90	0.00	-6,893.34	0.00	0.00			
92553P201	VIACOM INC		VIAB								
57500000	11/01/2013	12/03/2012	47,821.91	29,698.63	0.00	18,123.28	0.00	0.00			
500255104	KOHL'S CORP		KSS								
23800000	11/11/2013	Various	136,849.75	109,781.36	0.00	27,068.39	0.00	0.00			
92857W209	VODAFONE GROUP PLC SPONSORED ADR		VOD								
12500000	11/27/2013	02/27/2013	46,462.44	31,444.50	0.00	15,017.94	0.00	0.00			
Total Short Term Sales Reported on 1099-B									105,059.49	0.00	

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2013 Tax Information Statement

Page 10 of 25
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59566
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: IL
Questions? (312)557-0211
☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
931422109	WALGREEN CO	WAG	1040.0000	01/17/2013	10/26/2011	40,985.90	34,588.11	0.00	6,397.79	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	MS	520.0000	01/25/2013	08/18/2011	11,825.89	8,353.18	0.00	3,472.71	0.00	0.00
020002101	ALLSTATE CORP	ALL	85.0000	02/05/2013	07/21/2011	3,771.01	2,442.80	0.00	1,328.21	0.00	0.00
31428X106	FEDEX CORP	FDX	480.0000	02/05/2013	09/22/2011	50,140.87	31,758.38	0.00	18,382.49	0.00	0.00
87612E106	TARGET CORP	TGT	1335.0000	02/13/2013	Various	83,617.18	68,584.21	0.00	15,032.97	0.00	0.00
713448108	PEPSICO INC	PEP	2005.0000	03/20/2013	Various	152,824.69	130,032.00	0.00	22,792.69	0.00	0.00
844741108	SOUTHWEST AIRLINES CO	LUV	5525.0000	03/25/2013	03/23/2012	70,953.76	45,601.69	0.00	25,352.07	0.00	0.00
075887109	BECTON DICKINSON & CO	BDX	1070.0000	04/01/2013	01/18/2012	102,210.32	81,461.88	0.00	20,748.44	0.00	0.00
931422109	WALGREEN CO	WAG	400.0000	04/25/2013	10/26/2011	19,813.31	13,303.12	0.00	6,510.19	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 11 of 25
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59566
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)				Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed					
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)					
92826C839	VISA INC CLASS A SHARES		V							
725.0000	05/01/2013	02/10/2011	120,934.18	54,391.89	0.00	66,542.29	0.00	0.00		
09247X101	BLACKROCK INC CL A		BLK							
120.0000	05/08/2013	10/04/2011	32,897.63	16,636.67	0.00	16,260.96	0.00	0.00		
09247X101	BLACKROCK INC CL A		BLK							
405.0000	05/22/2013	Various	118,284.95	59,538.36	0.00	58,746.59	0.00	0.00		
46625H100	J P MORGAN CHASE & CO		JPM							
200.0000	07/02/2013	11/23/2011	10,628.31	5,747.50	0.00	4,880.81	0.00	0.00		
60871R209	MOLSON COORS BREWING CO CL B		TAP							
1140.0000	08/06/2013	11/04/2011	59,712.84	44,460.91	0.00	15,251.93	0.00	0.00		
626755102	MURPHY USA INC COM		MUSA							
5000	09/03/2013	06/07/2012	19.35	12.95	0.00	6.40	0.00	0.00		
423452101	HELMERICH & PAYNE INC		HP							
1925.0000	10/09/2013	06/18/2012	137,198.71	85,720.06	0.00	51,478.65	0.00	0.00		
423452101	HELMERICH & PAYNE INC		HP							
885.0000	10/10/2013	Various	64,250.94	39,581.92	0.00	24,669.02	0.00	0.00		
626755102	MURPHY USA INC COM		MUSA							
287.0000	10/14/2013	Various	11,872.01	9,542.00	0.00	2,330.01	0.00	0.00		

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2013 Tax Information Statement

Page 12 of 25
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59566
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
844741108	SOUTHWEST AIRLINES CO				LUV					
7005.0000	11/20/2013 Various				126,576.74	57,965.78	0.00	68,610.96	0.00	0.00
219350105	CORNING INC				GLW					
4430.0000	11/27/2013 08/13/2012				75,842.04	51,086.32	0.00	24,755.72	0.00	0.00
478366107	JOHNSON CONTROLS INC				JCI					
955.0000	11/27/2013 Various				47,917.62	27,109.52	0.00	20,808.10	0.00	0.00
Total Long Term Sales Reported on 1099-B					1,465,913.16	945,668.42	0.00	520,244.74	0.00	0.00

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Page 13 of 25
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59566
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice
Corrected ☐

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed				
Long Term Sales Reported on 1099-B										
98956P102	ZIMMER HLDGS INC									
50 0000	01/31/2013	03/09/2010	ZMH	3,726 14	2,967 25	0.00	758 89	0.00	0.00	
00101J106	ADT									
2005 0000	02/04/2013	03/04/2010	94,842 39	47,365.22	0 00	47,477.17	0.00	0.00		
020002101	ALL									
400.0000	02/05/2013	Various	17,745.91	12,496.09	0.00	5,249.82	0.00	0.00		
31428X106	FDX									
175.0000	02/05/2013	04/21/2010	18,280 53	16,027.57	0.00	2,252.96	0.00	0.00		
857477103	STT									
1422.0000	02/05/2013	05/25/2010	80,166.16	53,786.16	0 00	26,380.00	0.00	0.00		
857477103	STT									
93.0000	02/05/2013	05/25/2010	5,243.45	3,517 66	0.00	1,725.79	0 00	0 00		
G16962105	BG									
590.0000	02/08/2013	03/10/2010	42,717 34	36,141.93	0.00	6,575.41	0.00	0.00		

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2013 Tax Information Statement

Page 14 of 25
Ref: NMR

Account Number: 26-59566
Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
<u>92343V104</u> (Box 1e) 4025.0000	VERIZON COMMUNICATIONS 02/27/2013 03/03/2010	VZ	185,874.36	109,976.00	0.00	75,898.36	0.00	0.00
039483102	ARCHER DANIELS MIDLAND CO	ADM	21,103.75	19,532.04	0.00	1,571.71	0.00	0.00
<u>H6169Q108</u> 962.0000	PENTAIR LTD SHS 03/11/2013 03/04/2010	PNR	49,715.61	26,036.92	0.00	23,678.69	0.00	0.00
65339F101	NEXTERA ENERGY INC COM	NEE	8,059.20	4,801.52	0.00	3,257.68	0.00	0.00
<u>100.0000</u>	04/24/2013 03/17/2010	MNK	22.04	16.03	0.00	6.01	0.00	0.00
G5785G107	MALLINCKRODT PLC COMMON STOCK		16,473.89	12,252.75	0.00	4,221.14	0.00	0.00
<u>5000</u>	07/01/2013 12/28/2010	JPM	13,618.72	13,077.51	0.00	541.21	0.00	0.00
46625H100	J P MORGAN CHASE & CO		13,308.45	10,379.74	0.00	2,928.71	0.00	0.00
<u>310.0000</u>	07/02/2013 05/14/2010	TAP	64,760.02	32,049.34	0.00	32,710.68	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B		10,755.13	8,803.74	0.00	1,951.39	0.00	0.00
<u>260.0000</u>	08/06/2013 12/28/2010	MNK						
G5785G107	MALLINCKRODT PLC COMMON STOCK							
<u>297.0000</u>	08/13/2013 Various							
031162100	AMGEN INC	AMGN						
<u>565.0000</u>	08/26/2013 03/05/2010							
626755102	MURPHY USA INC COM	MUSA						
<u>260.0000</u>	10/14/2013 04/21/2010							

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2013 Tax Information Statement

Page 15 of 25
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59566
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **2nd TIN notice**

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ **Corrected**

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) H89128104	TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0.80	10/16/2013	03/04/2010	TYC	139,520.71	0.00	66,712.83	0.00	0.00
4010.0000					72,807.88				
755111507	RAYTHEON CO NEW			RTN	101,669.23	0.00	40,269.29	0.00	0.00
1250.0000					61,399.94				
58933Y105	MERCK & CO INC			MRK	83,195.80	0.00	21,643.91	0.00	0.00
1675.0000					61,551.89				
Total Long Term Sales Reported on 1099-B					970,798.83	0.00	365,811.65	0.00	0.00

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Dividends and Interest Detail

Qualified Dividends Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
3M CO	4,521.20
AETNA INC NEW	2,751.00
ALLSTATE CORP	3,300.00
AMGEN INC	2,601.45
ARCHER DANIELS MIDLAND CO	3,903.55
AT&T INC	9,753.75
BECTON DICKINSON & CO	2,681.45
BLACKROCK INC CL A	3,368.40
CHEVRONTXACO CORP COM	6,571.50
CHUBB CORP COM	2,543.30
COACH INC	3,929.26
CONOCOPHILLIPS	8,923.80
CORNING INC	5,896.80
DEVON ENERGY CORP NEW	3,113.20
DIAMOND OFFSHORE DRILLING INC	3,765.60
EMERSON ELECTRIC CO	2,938.20
FEDEX CORP	411.40
GENERAL ELECTRIC CO	6,843.80

Important Tax Return Documents Enclosed

Account Number:
Tax ID Number:

2013 Tax Information Statement
COLONEL (IL) JAMES N. PRITZKER

Qualified Domestic Dividends

Description	Amount of dividend
HARRIS CORP DEL COM	4,739.95
HELMERICH & PAYNE INC	2,248.00
IAC / INTERACTIVE CORP COM PAR \$.001 STK	1,366.80
INTEL CORP COM	8,849.25
J P MORGAN CHASE & CO	5,953.40
JOHNSON CONTROLS INC	2,964.00
KOHL'S CORP	5,411.00
L-3 COMMUNICATIONS HLDGS INC	4,884.00
MARSH & MCLENNAN COS INC	3,589.60
MCKESSON HBOC INC	785.40
MERCK & CO INC	8,722.55
MICROSOFT CORP COM	5,902.15
MOLSON COORS BREWING CO CL B	3,564.80
MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	1,703.00
MURPHY OIL CORP	2,737.52
NATIONAL-OILWELL INC	2,222.35
NEXTERA ENERGY INC COM	3,471.60
PEPSICO INC	2,496.69
PHILLIPS 66 COM	2,203.65
PNC BANK CORP	5,366.40

Important Tax Return Documents Enclosed

2013 Tax Information Statement
COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Qualified Domestic Dividends

Description	Amount of dividend
<u>RAYTHEON CO NEW</u>	7,385.25
<u>SOUTHWEST AIRLINES CO</u>	1,476.00
<u>STAPLES INC</u>	4,201.80
<u>STATE STREET CORP</u>	3,010.50
<u>TIME WARNER INC USD0.01</u>	3,501.76
<u>US BANCORP DEL NEW</u>	5,458.13
<u>VERIZON COMMUNICATIONS</u>	2,072.88
<u>VIACOM INC</u>	3,857.75
<u>VISA INC CLASS A SHARES</u>	239.25
<u>WAL MART STORES INC</u>	2,439.30
<u>WALGREEN CO</u>	2,505.40
<u>XCEL ENERGY INC</u>	5,622.70
<u>ZIMMER HLDGS INC</u>	1,174.20
Total Reported on Form 1099-DIV	\$199,944.69
Total Qualified Domestic Dividends	\$199,944.69

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Qualified Foreign Dividends

Amount of
 dividend

Reported on Form 1099-DIV	
BUNGE LIMITED	1,533.30
COVIDIEN PLC USD0.20(POST CONSOLDTN)	1,999.20
HONDA MTR LTD ADR HONDA MTR LTD OF JAPAN	4,014.40
PENTAIR LTD SHS	221.26
ROYAL DUTCH SHELL PLC SPONSORED	10,512.00
SCHLUMBERGER LTD ISIN #AN8068571086	1,800.56
IE CONNECTIVITY LTD	3,744.00
TECK RESOURCES LIMITED VTG	5,140.47
TEVA PHARMACEUTICALS INDS LTD ADR	1,368.28
TYCO INTERNATIONAL LTD(SWITZERLAND).COM USD0.80	1,884.70
VODAFONE GROUP PLC SPONSORED ADR	8,304.49
Total Reported on Form 1099-DIV	\$40,522.66
Total Qualified Foreign Dividends	\$40,522.66

Total Qualified Dividends included on 1099-DIV box 1a and 1b

\$240,467.35

Non-Qualified Dividends

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Non-Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	30.22
Total Reported on Form 1099-DIV	\$30.22
Total Non-Qualified Domestic Dividends	\$30.22

Non-Qualified U.S. Government Interest Reported as Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	4.69
Total Reported on Form 1099-DIV	\$4.69
Total Non-Qualified U.S. Government Interest Reported as Dividends	\$4.69
Total Non-Qualified Dividends included in Form 1099-DIV box 1a	\$34.91

Nondividend Distributions

Description	Amount of dividend
Reported on Form 1099-DIV ADT CORP COM	250.63
DIAMOND OFFSHORE DRILLING INC	2,534.40
Total Reported on Form 1099-DIV	\$2,785.03

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Nondividend Distributions

Amount of
 dividend

\$2,785.03

Total Nondividend Distributions

Foreign Tax Withheld on Dividends and Interest

Amount of
 tax

Description

Canada

TECK RESOURCES LIMITED VTG

Total for Canada

1,082.57
 \$1,082.57

Israel

TEVA PHARMACEUTICALS INDS LTD ADR

Total for Israel

205.24
 \$205.24

Japan

HONDA MTR LTD ADR HONDA MTR LTD OF JAPAN

Total for Japan

286.91
 \$286.91

Netherlands

ROYAL DUTCH SHELL PLC SPONSORED

Total for Netherlands

1,576.80
 \$1,576.80

Total Foreign Tax Withheld on dividends and interest (1099-INT and 1099-Div box 6)

\$3,151.52

Important Tax Return Documents Enclosed

Account Number:
Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Other Tax Information

Deductions

Agency Fees

Description

HONDA MTR LTD ADR HONDA MTR LTD OF JAPAN

Trustee/Executor Fees-Income (allocable)

VODAFONE GROUP PLC SPONSORED ADR

Total Agency Fees

Amount of
expense

52.31

12,400.76

156.30

12,609.37

Other Deductions Subject to 2% Limitation

Description

Investment Management Fees

Total Other Deductions Subject to 2% Limitation

Amount of
expense

61,879.00

61,879.00

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, *Investment Income and Expenses*.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, *Request for Taxpayer Identification Number and Certification*, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box 8.

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or

the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER



From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N. PRITZKER CHARITABLE

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Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Questions?

If you have any questions regarding this tax information
statement, please call Linda M Benedino at
(312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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XX-XXX0386
Ref: NMR

Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

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26-59564
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Ref: NMR

Account Number:
Tax ID Number:

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 4 of 29

Account Number: 26-59564
Tax ID Number: XX-XXX0386
Ref: NMR

Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year.....	\$36.58	\$36.58
U.S. Government Interest Reported as Dividends:		
Total for year.....	\$5.67	\$5.67
Qualified.....	\$0.00	\$0.00
Domestic Interest.....	\$226,125.31	\$226,125.31
Amortization.....	(N/A)	-\$5,243.28
U.S. Government Interest.....	\$44,055.83	\$44,055.83
Foreign Interest.....	\$2,413.21	\$2,413.21
Short Term Gains and Losses:		
Other Short Term (Basis information not reported to the IRS):		
Sales Price.....	\$207,615.33	\$207,615.33
Cost or other basis.....	(N/A)	\$213,706.70
Net gain/loss.....	(N/A)	-\$6,091.37
Long Term Gains and Losses:		
Other Long Term (Basis information not reported to the IRS):		
Sales Price.....	\$1,173,742.81	\$1,173,742.81
Cost or other basis.....	(N/A)	\$1,186,117.10
Net gain/loss.....	(N/A)	-\$12,374.28



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 5 of 29
26-59564
XX-XXX0386
Ref: NMR

Account Number:
Tax ID Number:

DEDUCTIONS

Total

Agency Fees	\$10,244.71
Other Deductions Subject to 2% Limitation	\$20,468.00

MISCELLANEOUS

Total

Reported on 1099

Investment Expenses Paid on Dividends and Interest	\$2,071.81
--	------------

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number
26-59564
Recipient's Tax ID Number:
XX-XXX0386
Payer's Federal ID Number:
36-7158276
Questions?
(312)557-0211

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ Corrected ☐ 2nd TIN notice

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions	
OMB No. 1545-0110	
1a Total ordinary dividends	\$42.25
1b Qualified dividends	
2a Total capital gain distributions	
2b Unrecaptured Section 1250 Gain	
2c Section 1202 gain	
2d Collectibles (28%) gain	
3 Nondividend distributions	
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	
7 Foreign country or U.S. possession	
8 Cash liquidation distributions	
9 Non-cash liquidation distributions	
10 Exempt-interest dividends	
11 Specified private activity bond interest dividends	
12 State	IL
13 State identification number	
14 State tax withheld	

Form 1099-INT Interest Income	
OMB No. 1545-0112	
1 Interest income	\$228,538.52
2 Early withdrawal penalty	
3 Interest on U.S. Savings Bonds and Treasury obligations	\$44,055.83
4 Federal income tax withheld	
5 Investment expenses	
6 Foreign tax paid	\$2,071.81
7 Foreign country or U.S. possession	UNITED KINGDOM
8 Tax-exempt interest	
9 Specified private activity bond interest	
10 Tax-exempt bond CUSIP no.	
11 State	IL
12 State identification number	
13 State tax withheld	

Summary of Form 1099-B	
Proceeds from Broker and Barter Exchange Transactions	
2 Sales price of stocks, bonds, etc.	\$1,381,358.14
3 Cost or other basis	
4 Federal income tax withheld	
5 Wash sale loss disallowed	
9 Profit or (loss) realized in 2013 on closed contracts	
10 Unrealized profit or (loss) on open contracts - 2012	
11 Unrealized profit or (loss) on open contracts - 2013	
12 Aggregate profit or (loss) on contracts	
Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement	

Summary of Form 1099-OID	
Original Issue Discount	
1 Original issue discount for 2013	
2 Other periodic interest	
3 Early withdrawal penalty	
4 Federal income tax withheld	
5 Foreign tax paid	
6 Foreign country or U.S. possession	
8 Original issue discount on US Treasury obligations	
9 Investment expenses	
Original Issue Discount Amounts are reported individually to the IRS Refer to the 1099-OID section of this Tax Information Statement	

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Summary of Foreign Investments

Country	Foreign Dividends Qualified Non-Qualified 1099-DIV Box 1	Foreign Interest 1099-INT Box 1 2,413.21	Foreign Income 2,413.21	Foreign Tax Paid 1099-DIV/INT Box 6
Reported on 1099 Forms DIV or INT United Kingdom				
Total Reported on 1099 Forms DIV or INT		2,413.21	2,413.21	
Total Foreign Investments		2,413.21	2,413.21	
(Enter on Form 1116)				

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Page 8 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice
☐ Corrected

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Date of Sale or Exchange (Box 1e)	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 2b)				
Short Term Sales Reported on 1099-B								
06741JS26	BARCLAYS BK PLC 2 75% DUE 02-23-2015							
33000 0000	01/17/2013	02/22/2012	34,179 09	33,042 24	0.00	1,136 85	0.00	0.00
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA					
2177 1700	02/25/2013	05/10/2012	2,177 17	2,177 17	0 00	0 00	0.00	0.00
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA					
2340 6700	03/25/2013	05/10/2012	2,340.67	2,340.67	0.00	0 00	0.00	0.00
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA					
3469 2900	04/25/2013	05/10/2012	3,469.29	3,469.29	0 00	0 00	0.00	0.00
713448BK3	PEPSICO INC 3.75% DUE 03-01-2014 REG							
29000 0000	08/26/2013	05/28/2013	29,502.28	29,730 80	0 00	-228 52	0.00	0.00
912828TR1	UNITED STATES TREAS NTS DTD 00424 1% DUE09-30-2019 REG							
79000.0000	09/13/2013	11/20/2012	74,192.11	78,685 23	0 00	-4,493.12	0.00	0.00
38141GDO4	GOLDMAN SACHS GROUP INC BOND 5 25 DUE 10-15-2013 BEO							
53000 0000	10/15/2013	11/05/2012	53,000 00	55,241.90	0.00	-2,241.90	0.00	0.00

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2013 Tax Information Statement

Page 9 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
47214TAD1	(Box 1a) JCP&L TRANSITION 6.16% DUE 06-05-2019 A-4 6.16% DUE 06-05-2019 REG 12/05/2013 05/10/2013	SPCL1	679.04	771.40	0.00	-92.36	0.00	0.00
637432DC6	NATL RURAL UTILS COOP FIN CORP COLL TR BD DTD 02/25/2004 4 75 3-1-2014 REG 12/16/2013 06/07/2013		8,075.68	8,248.00	0.00	-172.32	0.00	0.00
8000.0000			207,615.33	213,706.70	0.00	-6,091.37	0.00	0.00
Total Short Term Sales Reported on 1099-B								

Report on Form 8949, Part I, with Box B checked

Long Term Sales Reported on 1099-B

12617AAD9	CPL TRANSITION FDG 5.96% DUE 07-15-2015 5 96 DUE 07-15-2015 BEO 01/15/2013 03/23/2010	SPCL1	26,228.02	28,326.26	0.00	-2,098.24	0.00	0.00
06739FFZ9	BARCLAYS BK PLC SR NT 5 2 DUE 07-10-2014 01/17/2013 01/11/2011		77,529.65	78,840.00	0.00	-1,310.35	0.00	0.00
084664AD3	BERKSHIRE HATHAWAY 4 625% DUE 10-15-2013 DUE 10-15-2013 REG 01/17/2013 03/17/2010		57,732.08	60,667.60	0.00	-2,935.52	0.00	0.00
56000.0000			1,581.20	1,561.43	0.00	19.77	0.00	0.00
83149EAD9	SLM STUDENT LN TR SER 2006-5 NT CL A4 FLT RT 04-25-2023 BEO 01/25/2013 01/18/2012	SPCL1	3,654.07	3,948.68	0.00	-294.61	0.00	0.00
1581.2000			8,552.29	8,552.29	0.00	0.00	0.00	0.00
69363YAC5	PSNH FDG LLC 6 48000001907% DUE 05-01-2015 02/01/2013 03/22/2010	SPCL1						
3654.0700								
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO 02/15/2013 01/20/2012	GOLD1						
8552.2900								

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2013 Tax Information Statement

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Ref: NMR

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COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA	879 12	879.12	0.00	0.00	0.00	0.00
879 1200	02/25/2013 09/16/2010							
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	FNMA	13,124.10	13,124.10	0.00	0.00	0.00	0.00
13124.1000	02/25/2013 03/11/2010							
438516AW6	HONEYWELL INTL INC 4.25% DUE 03-01-2013							
120000 0000	03/01/2013 03/29/2010							
637432LM5	NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD 5 5% DUE 07-01-2013	MTN1	22,371.14	24,318.58	0.00	-1,947.44	0.00	0.00
22000 0000	03/01/2013 05/03/2010							
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO	GOLD1	6,890.04	6,890.04	0.00	0.00	0.00	0.00
6890.0400	03/15/2013 01/20/2012							
10623PDR8	BRAZOS STUDENT FIN CORP SER 2009-1 CL A FLT RT 06-25-2027 BEO	SPCL1	7,093.49	6,895.65	0.00	197.84	0.00	0.00
7093 4900	03/25/2013 04/14/2010							
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA	757.97	757.97	0.00	0.00	0.00	0.00
757 9700	03/25/2013 09/16/2010							
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	FNMA	9,646.39	9,646.39	0.00	0.00	0.00	0.00
9646 3900	03/25/2013 03/11/2010							
90333WAB4	U S BK NATL ASSN MINNEAPOLIS MINN MEDIUM TRANCHE # SB 00002 6.3 2-4-14 REG 04/11/2013 01/11/2011		72,217.47	77,347.62	0.00	-5,130.15	0.00	0.00
69000.0000	04/11/2013 01/11/2011							
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO	GOLD1	4,359.66	4,359.66	0.00	0.00	0.00	0.00
4359.6600	04/15/2013 01/20/2012							

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2013 Tax Information Statement

Page 11 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange	Date of Acquisition						
74254PYE6	PRIN LIFE INC 5.3% DUE 04-24-2013 # TR 00533 5.3 DUE 04-24-2013 REG		67,000.00	72,167.71	0.00	-5,167.71	0.00	0.00
67000 0000	04/24/2013 03/16/2010							
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA						
979.4600	04/25/2013 09/16/2010		979.46	979.46	0.00	0.00	0.00	0.00
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	FNMA						
8704.4500	04/25/2013 03/11/2010		8,704.45	8,704.45	0.00	0.00	0.00	0.00
83149EAD9	SLM STUDENT LN TR SER 2006-5 NT CL A4 FLT RT	SPCL1						
1730 0000	04-25-2023 BEO		1,730.00	1,708.38	0.00	21.62	0.00	0.00
69363YAC5	04/25/2013 01/18/2012							
3820.9300	PSNH FDG LLC 6.4800000 1907% DUE 05-01-2015	SPCL1						
3128MMPE7	05/01/2013 03/22/2010		3,820.93	4,128.99	0.00	-308.06	0.00	0.00
4612.6200	FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO	GOLD1						
31412N4V5	05/15/2013 01/20/2012		4,612.62	4,612.62	0.00	0.00	0.00	0.00
665 6400	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA						
31417BY43	05/28/2013 09/16/2010		665.64	665.64	0.00	0.00	0.00	0.00
2123.4700	FNMA POOL #AB5230 2.5% 05-01-2027 BEO	FNMA						
31418NYF1	05/28/2013 05/10/2012		2,123.47	2,123.47	0.00	0.00	0.00	0.00
11425 3100	FNMA POOL #AD1609 4% 02-01-2025 BEO	FNMA						
3128MMPE7	05/28/2013 03/11/2010		11,425.31	11,425.31	0.00	0.00	0.00	0.00
4770.7000	FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO	GOLD1						
	06/17/2013 01/20/2012		4,770.70	4,770.70	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

Page 12 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number:
26-59564
XX-XX0386
36-7158276
IL
(312)557-0211

Recipient's Tax ID Number:
Payer's Federal ID Number:
Payer's State ID Number:
State:
Questions?

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Date of Sale or Exchange	Date of Acquisition						
	(Box 1a)							
(Box 1e)								
10623PDR8	BRAZOS STUDENT FIN CORP SER 2009-1 CL A FLT RT	SPCL1						
8161 8000	06-25-2027 BEO 06/25/2013 04/14/2010	8,161.80		7,934.16	0.00	227.64	0.00	0.00
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA						
581.9200	06/25/2013 09/16/2010	581.92		581.92	0.00	0.00	0.00	0.00
31417BY43	FNMA POOL #AB5230 2 5% 05-01-2027 BEO	FNMA						
2602.5900	06/25/2013 05/10/2012	2,602.59		2,602.59	0.00	0.00	0.00	0.00
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	FNMA						
8574.5400	06/25/2013 03/11/2010	8,574.54		8,574.54	0.00	0.00	0.00	0.00
912828MP2	UNITED STATES TREAS NTS DTD 00278 3.625%DUE							
324000.0000	02-15-2020 REG 06/27/2013 Various	361,589.05		332,970.12	0.00	28,618.94	0.00	0.00
637432LM5	NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD	MTN1						
46000 0000	5.5% DUE 07-01-2013 07/01/2013 05/03/2010	46,000.00		50,847.94	0.00	-4,847.94	0.00	0.00
025816AQ2	AMERN EXPRESS CO 4 875% DUE 07-15-2013 07-15-2013							
52000 0000	07/15/2013 03/17/2010	52,000.00		54,940.60	0.00	-2,940.60	0.00	0.00
12617AAD9	CPL TRANSITION FDG 5 96% DUE 07-15-2015 5 96 DUE	SPCL1						
20697 2200	07-15-2015 BEO 07/15/2013 03/23/2010	20,697.22		22,353.00	0.00	-1,655.78	0.00	0.00
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420	GOLD1						
3926 2800	3%01-01-2027 BEO 07/15/2013 01/20/2012	3,926.28		3,926.28	0.00	0.00	0.00	0.00
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	FNMA						
441.6500	07/25/2013 09/16/2010	441.65		441.65	0.00	0.00	0.00	0.00

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2013 Tax Information Statement

Account Number: 26-59564
Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stocks or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
31417BY43	(Box 1a) FNMA POOL #AB5230 2.5% 05-01-2027 BEO							
3179 4100	07/25/2013 05/10/2012		3,179.41	3,179.41	0.00	0.00	0.00	0.00
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO							
9358 6200	07/25/2013 03/11/2010		9,358.62	9,358.62	0.00	0.00	0.00	0.00
83149EAD9	SUM STUDENT LN TR SER 2006-5 NT CL A4 FLT RT							
1592 1500	04-25-2023 BEO 07/25/2013 01/18/2012		1,592.15	1,572.25	0.00	19.90	0.00	0.00
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420							
2287.9100	3%01-01-2027 BEO 08/15/2013 01/20/2012		2,287.91	2,287.91	0.00	0.00	0.00	0.00
665859AJ3	NORTHERN TR CORP 5.5% DUE 08-15-2013 REG							
51000.0000	08/15/2013 03/30/2010		51,000.00	56,369.79	0.00	-5,369.79	0.00	0.00
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO							
345 5800	08/26/2013 09/16/2010		345.58	345.58	0.00	0.00	0.00	0.00
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO							
2085.6600	08/26/2013 05/10/2012		2,085.66	2,085.66	0.00	0.00	0.00	0.00
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO							
8588 3800	08/26/2013 03/11/2010		8,588.38	8,588.38	0.00	0.00	0.00	0.00
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420							
1943 4900	3%01-01-2027 BEO 09/16/2013 01/20/2012		1,943.49	1,943.49	0.00	0.00	0.00	0.00
10623PDR8	BRAZOS STUDENT FIN CORP SER 2009-1 CL A FLT RT							
5955 2200	06-25-2027 BEO 09/25/2013 04/14/2010		5,955.22	5,789.13	0.00	166.09	0.00	0.00

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2013 Tax Information Statement

Page 14 of 29
Ref: NMR

Account Number: 26-59564
 Recipient's Tax ID Number: XX-XX0386
 Payer's Federal ID Number: 36-7158276
 Payer's State ID Number: IL
 State: IL
 Questions? (312)557-0211
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
 THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 COLONEL (IL) JAMES N. PRITZKER
 TAWANI ENTERPRISES
 104 S MICHIGAN AVE.
 SUITE 500
 CHICAGO, IL 60603

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)									
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	09/25/2013	09/16/2010	FNMA	317.33	317.33	0.00	0.00	0.00	0.00
317.3300										
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO	09/25/2013	05/10/2012	FNMA	2,324.31	2,324.31	0.00	0.00	0.00	0.00
2324.3100										
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	09/25/2013	03/11/2010	FNMA	6,382.03	6,382.03	0.00	0.00	0.00	0.00
6382.0300										
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420	10/15/2013	01/20/2012	GOLD1	1,487.58	1,487.58	0.00	0.00	0.00	0.00
1487.5800										
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	10/25/2013	09/16/2010	FNMA	274.21	274.21	0.00	0.00	0.00	0.00
274.2100										
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO	10/25/2013	05/10/2012	FNMA	1,371.62	1,371.62	0.00	0.00	0.00	0.00
1371.6200										
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO	10/25/2013	03/11/2010	FNMA	4,151.33	4,151.33	0.00	0.00	0.00	0.00
4151.3300										
83149EAD9	SLM STUDENT LN TR SER 2006-5 NT CL A4 FLT RT	04-25-2023 BEO	01/18/2012	SPCL1	1,595.09	1,575.15	0.00	19.94	0.00	0.00
1595.0900										
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420	11/15/2013	01/20/2012	GOLD1	1,248.22	1,248.22	0.00	0.00	0.00	0.00
1248.2200										
31412N4V5	FNMA POOL #930636 4% 03-01-2024 BEO	11/25/2013	09/16/2010	FNMA	233.55	233.55	0.00	0.00	0.00	0.00
233.5500										

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2013 Tax Information Statement

Page 15 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA	1,411.25	0.00	0.00	0.00	0.00
1411.2500	11/25/2013 05/10/2012							
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO		FNMA	3,562.84	0.00	0.00	0.00	0.00
3562.8400	11/25/2013 03/11/2010							
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420		GOLD1	1,812.57	0.00	0.00	0.00	0.00
1812.5700	3%01-01-2027 BEO 12/16/2013 01/20/2012							
10623PDR8	BRAZOS STUDENT FIN CORP SER 2009-1 CLA FLT RT		SPCL1	6,140.46	0.00	176.17	0.00	0.00
6316.6300	06-25-2027 BEO 12/26/2013 04/14/2010							
31412NAV5	FNMA POOL #930636 4% 03-01-2024 BEO		FNMA	271.13	0.00	0.00	0.00	0.00
271.1300	12/26/2013 09/16/2010							
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA	1,231.15	0.00	0.00	0.00	0.00
1231.1500	12/26/2013 05/10/2012							
31418NYF1	FNMA POOL #AD1609 4% 02-01-2025 BEO		FNMA	3,836.68	0.00	0.00	0.00	0.00
3836.6800	12/26/2013 03/11/2010							
3128MMPE7	FEDERAL HOME LN MTG CORP POOL #G18420		GOLD1	2,007.37	0.00	0.00	0.00	0.00
2007.3700	3%01-01-2027 BEO 12/31/2013 01/20/2012							
31412NAV5	FNMA POOL #930636 4% 03-01-2024 BEO		FNMA	254.05	0.00	0.00	0.00	0.00
254.0500	12/31/2013 09/16/2010							
31417BY43	FNMA POOL #AB5230 2.5% 05-01-2027 BEO		FNMA	924.70	0.00	0.00	0.00	0.00
924.7000	12/31/2013 05/10/2012							

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2013 Tax Information Statement

Page 16 of 29
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59564
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)			Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
				Stocks Bonds, etc (Box 2a)	Cost or Other Basis	FNMA				
31418NYF1	(Box 1e) FNMA POOL #AD1609 4% 02-01-2025 BEO			3,368.43	3,368.43		0.00	0.00	0.00	0.00
3368 4300	12/31/2013 03/11/2010			1,173,742.81	1,186,117.10		0.00	-12,374.28	0.00	0.00
Total Long Term Sales Reported on 1099-B										

Report on Form 8949, Part II, with Box E checked

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2013 Tax Information Statement

Account Number:
Tax ID Number:

COLONEL (IL) JAMES N. PRITZKER

Dividends and Interest Detail

Non-Qualified Dividends

Non-Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	36.58
Total Reported on Form 1099-DIV	\$36.58
Total Non-Qualified Domestic Dividends	\$36.58

Non-Qualified U.S. Government Interest Reported as Dividends

Description	Amount of dividend
Reported on Form 1099-DIV MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	5.67
Total Reported on Form 1099-DIV	\$5.67
Total Non-Qualified U.S. Government Interest Reported as Dividends	\$5.67
Total Non-Qualified Dividends included in Form 1099-DIV box 1a	\$42.25

Interest

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Domestic Interest

Description	Amount of amortization	Amount of interest
Reported on Form 1099-INT		
ALABAMA PWR CO 3.375% DUE 10-01-2020		4,421.26
ALLSTATE CORP SR NT 7.45% DUE 05-16-2019		3,427.00
AMERN EXPRESS CO 4.875% DUE 07-15-2013 07-15-2013 BEQ		2,535.00
AMERN EXPRESS CO 7% DUE 03-19-2018 REG		11.86
AMERN EXPRESS CO 8.125% DUE 05-20-2019		1,882.29
BA CR CARD TR 5.17% DUE 06-15-2019 06-15-2019 REG		12,821.64
BB&T CORP SR MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR 00001 5.7% DUE 04-30-2014		8,433.15
BERKSHIRE HATHAWAY 1.9 DUE 01-31-2017		1,056.03
BERKSHIRE HATHAWAY 4.625% DUE 10-15-2013 DUE 10-15-2013/04-22-2004 REG		705.06
BK NY INC MEDIUM TERM SUB NTS BO TRANCHE# SB 00170 4.95 DUE 03-15-2015		3,553.00
BK NY MELLON CORP STEP UP DUE 06-20-2017		279.16
BOTTLING GROUP LLC 6.95% DUE 03-15-2014 03-15-2014/10-24-2008 REG		7,436.50
BRAZOS STUDENT FIN CORP SER 2009-1 CLA FLI RT 06-25-2027 BEQ		716.50
CENTERPOINT ENERGY 3.46000003815% DUE 08-15-2019		21.53
CENTERPOINT ENERGY TRANSITION BD CO II LSR NT BD SER A A-4 5.17 8-01-2019 REG		6,979.50
COMERICA BK DALLAS 5.75% DUE 11-21-2016 5.75 11/21/2016 5.75% DUE 11-21-2016 BEQ		8,050.00
CONOCOPHILLIPS 4.75% DUE 02-01-2014		346.22

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Domestic Interest

Description	Amount of amortization	Amount of interest
CONS EDISON CO NY 5.3% DUE 12-01-2016 12-01-2016 BEO		7,420.00
CPL TRANSITION FDG 5.96% DUE 07-15-2015 5.96 DUE 07-15-2015 BEO		2,015.15
DISNEY WALT CO NEW MEDIUM TERM NTS BOOK MEDIUM TERM NOTES 1.35 DUE 08-16-2016		1,674.00
FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO		5,094.22
FL PWR & LT CO 5.55% DUE 11-01-2017 BEO		4,884.00
FNMA POOL #930636 4% 03-01-2024 BEO		633.55
FNMA POOL #AB5230 2 5% 05-01-2027 BEO		4,892.75
FNMA POOL #AD1609 4% 02-01-2025 BEO		8,918.59
FNMA TR 00145 FLTG 04-01-2016		1,452.48
GENERAL ELEC CAP CORP MEDIUM TERM NTS BOOK ENTRY MTN 5.375% DUE 10-20-2016		4,568.76
GOLDMAN SACHS GROUP INC BOND 5.25 DUE 10-15-2013 BEO		2,604.73
HONEYWELL INTL INC 4.25% DUE 03-01-2013		2,550.00
HONEYWELL INTL INC SR NT 3.875 DUE 02-15-2014 BEO		1,952.57
INTL BUSINESS 7.625% DUE 10-15-2018 DUE 10-15-2018 BEO		7,777.50
J P MORGAN CHASE & CO GLOBAL SR NT DTD 04/24/2003 5.25 DUE 05-01-2015 REG		1,627.50
JCP&L TRANSITION 6.16% DUE 06-05-2019 A-4 6.16% DUE 06-05-2019 REG		3,422.22
JOHNSON & JOHNSON 5.15% DUE 07-15-2018 5.15% DUE 07-15-2018 BEO		5,922.50

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Domestic Interest

Description	Amount of amortization	Amount of interest
JPMORGAN CHASE & CO FORMERLY J P MORGAN NT 5.125 DUE 09-15-2014 REG		2,613.76
KIMBERLY-CLARK SR NT 7.5 DUE 11-01-2018 BEO		8,475.00
METLIFE INC 5% DUE 06-15-2015 06-15-2015/06-23-2005 BEO		2,600.00
METLIFE INC 6.817% DUE 08-15-2018 08-15-2018 REG		4,499.22
NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD 5.5% DUE 07-01-2013		3,336.67
NATL RURAL UTILS COOP FIN CORP COLL TR BD DTD 02/25/2004 4.75 3-1-2014 REG		454.81
NORTHERN TR CORP 4.625% DUE 05-01-2014		878.76
NORTHERN TR CORP 5.5% DUE 08-15-2013 REG		2,805.00
NORTH STS PWR CO 5.25% DUE 03-01-2018 5.25% DUE 03-01-2018/03-18-2008 BEO		7,245.00
PECO ENERGY CO 5.35% DUE 03-01-2018 DUE 03-01-2018/03-02-2008 BEO		6,687.50
PEPSICO INC 3.75% DUE 03-01-2014 REG		256.77
PNC BK N A PITT PA MEDIUM TERM SUB TRANCHE # TR 00004 6 DUE 12-07-2017		4,080.00
PNC FDG CORP 4.25% DUE 09-21-2015		2,210.00
PRIN LIFE INC 5.3% DUE 04-24-2013 # TR 00533 5.3 DUE 04-24-2013 REG		1,775.50
PROCTER & GAMBLE 1.45% DUE 08-15-2016		1,785.43
PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00005 5.1 09-20-2014		7,394.86
PSNH FDG LLC 6.4800000 1907% DUE 05-01-2015		182.99

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Domestic Interest

Description	Amount of amortization	Amount of interest
PUB SVC ELEC GAS CO SECD MEDIUM TERM TRANCHE # TR 00017 5.3 DUE 05-01-2018		252.63
SBC COMMUNICATIONS 5.1% DUE 09-15-2014 09-15-2014 BEO		6,069.00
SLC STUDENT LN TR 2008-1 ASSET BACKED NTCL A-4A FLTG RATE 12-15-2032 REG		1,139.75
SLM STUDENT LN TR 2008-1 CL A-4 FLTG RATE DUE 01-25-2022 REG		205.74
SLM STUDENT LN TR SER 2006-5 NT CL A4 FLT RT 04-25-2023 BEO		68.99
STATE STR CORP 5.375% DUE 04-30-2017 REG		2,848.76
SUNTRUST BANK ATLANTA GA TRANCHE # TR 00012 7.25% DUE 03-15-2018		1,377.50
SUNTRUST BKS INC 6% DUE 09-11-2017 09/11/2017 6 DUE 09-11-2017 BEO		3,180.00
TARGET CORP 5.375% DUE 05-01-2017 05-01-2017/05-01-2007 BEO		6,235.00
U S BANCORP MEDIUM 3 15% DUE 03-04-2015 BD 3.15% DUE 03-04-2015		1,543.50
U S BK NATL ASSN MINNEAPOLIS MINN MEDIUM TRANCHE # SB 00002 6.3 2-4-14 REG		3,042.90
US BANCORP DEL 3 442% DUE 02-01-2016		3,136.52
UTD PARCEL SVC INC 3.125% DUE 01-15-2021		3,700.27
UTD TECHNOLOGIES 4 875% DUE 05-01-2015 4.875 DUE 05-01-2015/04-29-2005 BEO		5,957.26
Total Reported on Form 1099-INT		\$226,125.31
Not Reported on Form 1099-INT		
FEDERAL HOME LN MTG CORP POOL #G18420 3% 01-01-2027 BEO	-2,023.91	
FNMA POOL #930636 4% 03-01-2024 BEO	-369.14	

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Domestic Interest

Description

FNMA POOL #AB5230 2.5% 05-01-2027 BEO

FNMA POOL #AD1609 4% 02-01-2025 BEO

Total Not Reported on Form 1099-INT

Total Domestic Interest

Amount of
amortization

Amount of
interest

-940.94

-1,909.29

-\$5,243.28

-\$5,243.28

\$226,125.31

Foreign Interest

Description

Reported on Form 1099-INT

BARCLAYS BK PLC 2.75% DUE 02-23-2015

BARCLAYS BK PLC SR NT 5.2 DUE 07-10-2014

Total Reported on Form 1099-INT

Total Foreign Interest

Amount of
amortization

Amount of
interest

378.13

2,035.08

\$2,413.21

2013 Tax Information Statement

Account Number:
Tax ID Number:

COLONEL (IL) JAMES N. PRITZKER

U.S. Government Interest

Description	Amount of amortization	Amount of interest
Reported on Form 1099-INT		
UNITED STATES TREAS NTS DTD 00278 3.625%DUE 02-15-2020 REG		22,808.67
UNITED STATES TREAS NTS DTD 00424 1% DUE09-30-2019 REG		636.07
UNITED STATES TREAS NTS DTD 05/15/2013 1.75% DUE 05-15-2023 REG		2,589.81
US TREAS NTS DTD 00363 2.125 DUE 08-15-2021 REG		12,537.50
UNIT STATES TREAS 1.75% DUE 05-15-2022 05-15-2022		5,483.78
Total Reported on Form 1099-INT		\$44,055.83
Total U.S. Government Interest		\$44,055.83

Important Tax Return Documents Enclosed

Account Number:
Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Other Tax Information

Deductions

Agency Fees

Description

Trustee/Executor Fees-Income (allocable)

Total Agency Fees

Amount of
expense
10,244.71
10,244.71

Other Deductions Subject to 2% Limitation

Description

Investment Management Fees

Total Other Deductions Subject to 2% Limitation

Amount of
expense
20,468.00
20,468.00

2013 Tax Information Statement
COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Investment Expenses

Interest Investment Expenses

Description	Amount of expense
Reported on Form 1099-INT	
FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO	514.82
FNMA POOL #930636 4% 03-01-2024 BEO	61.36
FNMA POOL #AB5230 2.5% 05-01-2027 BEO	734.10
FNMA POOL #AD1609 4% 02-01-2025 BEO	761.53
Total Reported on Form 1099-INT	2,071.81
Total Interest Investment Expenses	2,071.81

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Interest Income - Form 1099-INT Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2013 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251.

Box 10. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8. If blank, no CUSIP number was issued for the bond(s).

Boxes 11-13. State tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the

"recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A

husband or wife is not required to file a nominee return to show amounts owned by the other.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box 8.

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or

the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that

had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed

ADDITIONAL WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

Account Number 26-59564 COLONEL (IL) JAMES N. PRITZKER

PAN 590Y

Issue FEDERAL HOME LN MTG CORP POOL #G18420 3%01-01-2027 BEO

Cusip Number 3128MMPE7

Security Type WHMT

Record or Trade Date	Payment Settlement Date	Transaction Type	Principal Balance Per \$1000	Position Original Face Value	Principal Paid or Proceeds	Federal Withholding	Cost	Interest	Expense	Income Adjustment	Market Discount Fraction
01/31/2013	02/15/2013	Distribution	690.96340	260,000.00	8,552.29	0.00		499.58	50.45	-189.87	0.02693118
02/28/2013	03/15/2013	Distribution	698.06998	260,000.00	6,890.84	0.00		475.80	48.05	-185.56	0.02704850
03/31/2013	04/15/2013	Distribution	631.56981	260,000.00	4,359.66	0.00		456.77	46.25	-180.15	0.02699042
04/30/2013	05/15/2013	Distribution	614.80188	260,000.00	4,612.62	0.00		444.64	45.02	-177.62	0.02734965
05/31/2013	06/15/2013	Distribution	597.06103	260,000.00	4,770.70	0.00		431.69	43.60	-174.91	0.02768862
06/30/2013	07/15/2013	Distribution	578.71218	260,000.00	3,926.28	0.00		418.42	42.26	-170.97	0.02783591
07/31/2013	08/15/2013	Distribution	563.61111	260,000.00	2,287.91	0.00		401.14	41.28	-166.10	0.02781703
08/31/2013	09/15/2013	Distribution	554.81146	260,000.00	1,943.49	0.00		395.73	39.96	-162.53	0.02799872
09/30/2013	10/15/2013	Distribution	547.33648	260,000.00	1,487.58	0.00		391.60	39.55	-158.79	0.02814196
10/31/2013	11/15/2013	Distribution	541.61501	260,000.00	1,248.22	0.00		388.13	38.20	-155.33	0.02832618
11/30/2013	12/15/2013	Distribution	536.81415	260,000.00	1,812.57	0.00		383.09	38.69	-152.60	0.02863838
12/31/2013	01/15/2014	Distribution	529.84272	260,000.00	2,007.37	0.00				-149.48	0.02888099
					43,898.73	0.00		5,094.22	514.82	-2,023.91	

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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ADDITIONAL WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

PAN 590Y

Account Number 26-59564 COLONEL (IL) JAMES N. PRITZKER

Issue FNMA POOL #930636 4% 03-01-2024 BEO

Cusip Number 31412N4V5

Security Type WHMT

Record or Trade Date	Payment Settlement Date	Transaction Type	Principal Balance Per \$1000	Position Original Face Value	Principal Paid or Proceeds	Federal Withholding	Cost	Interest	Expense	Income Adjustment	Market Discount Fraction
01/31/2013	02/25/2013	Distribution	255.15265	70,000.00	879.12	0.00		65.92	6.38	-34.48	0.02381175
02/28/2013	03/25/2013	Distribution	242.59379	70,000.00	757.97	0.00		62.73	6.12	-33.70	0.02383700
03/31/2013	04/25/2013	Distribution	231.76561	70,000.00	978.46	0.00		59.89	5.81	-33.75	0.02446060
04/30/2013	05/25/2013	Distribution	217.77326	70,000.00	865.64	0.00		56.29	5.48	-32.54	0.02417825
05/31/2013	06/25/2013	Distribution	208.26410	70,000.00	581.92	0.00		53.83	5.24	-31.80	0.02421436
06/30/2013	07/25/2013	Distribution	199.95090	70,000.00	441.65	0.00		51.64	4.98	-30.91	0.02411548
07/31/2013	08/25/2013	Distribution	193.64167	70,000.00	345.58	0.00		50.01	4.83	-30.14	0.02410243
08/31/2013	09/25/2013	Distribution	188.70477	70,000.00	274.21	0.00		48.72	4.69	-29.52	0.02418860
09/30/2013	10/25/2013	Distribution	184.17144	70,000.00	233.55	0.00		47.54	4.57	-28.88	0.02424951
10/31/2013	11/25/2013	Distribution	180.25421	70,000.00	233.55	0.00		46.60	4.54	-28.30	0.02435344
11/30/2013	12/25/2013	Distribution	176.91782	70,000.00	211.13	0.00		45.70	4.42	-27.84	0.02455366
12/31/2013	01/25/2014	Distribution	173.04459	70,000.00	254.05	0.00		44.68	4.30	-27.28	0.02466174
					6,001.61	0.00		633.55	61.36	-369.14	

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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ADDITIONAL WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

PAN 590Y

Account Number 26-59564 COLONEL (IL) JAMES N. PRITZKER

Issue FNMA POOL #AB5230 2.5% 05-01-2027 BEO

Cusip Number 31417BY43

Security Type WHMT

Record or Trade Date	Payment Settlement Date	Transaction Type	Principal Balance Per \$1000	Position Original Face Value	Principal Paid or Proceeds	Federal Withholding	Cost	Interest	Expense	Income Adjustment	Market Discount Fraction
01/31/2013	02/25/2013	Distribution	898.71135	200,000.00	2,177.17	0.00	440.57	440.57	66.11	-82.80	0.01854549
02/28/2013	03/25/2013	Distribution	887.82548	200,000.00	2,340.67	0.00	435.05	435.05	65.12	-82.14	0.01874300
03/31/2013	04/25/2013	Distribution	876.12213	200,000.00	2,459.29	0.00	429.31	429.31	64.26	-81.92	0.01905145
04/30/2013	05/25/2013	Distribution	858.77570	200,000.00	2,123.47	0.00	421.07	421.07	63.25	-80.57	0.01910170
05/31/2013	06/25/2013	Distribution	848.15836	200,000.00	2,602.59	0.00	415.66	415.66	62.26	-79.96	0.01932519
06/30/2013	07/25/2013	Distribution	835.14540	200,000.00	3,179.41	0.00	409.36	409.36	61.38	-79.42	0.01957276
07/31/2013	08/25/2013	Distribution	819.24835	200,000.00	2,085.66	0.00	401.63	401.63	60.28	-78.06	0.01962156
08/31/2013	09/25/2013	Distribution	808.82003	200,000.00	2,324.31	0.00	396.76	396.76	59.75	-77.33	0.01982856
09/30/2013	10/25/2013	Distribution	797.19848	200,000.00	1,371.62	0.00	390.61	390.61	58.44	-76.13	0.01991541
10/31/2013	11/25/2013	Distribution	790.34038	200,000.00	1,411.25	0.00	387.64	387.64	58.33	-75.29	0.02009539
11/30/2013	12/25/2013	Distribution	783.28412	200,000.00	1,231.15	0.00	384.21	384.21	57.84	-74.25	0.02022444
12/31/2013	01/25/2014	Distribution	777.12838	200,000.00	924.70	0.00	380.88	380.88	57.08	-73.07	0.02031326
					25,241.29	0.00	4,892.75	4,892.75	734.10	-940.94	

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2/26/2014 4:20 PM

ADDITIONAL WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

Account Number 26-59564 COLONEL (IL) JAMES N. PRITZKER

PAN 590Y

Issue FNMA POOL #AD1609 4% 02-01-2025 BEO

Cusip Number 31418NYF1

Security Type WHMT

Record or Trade Date	Payment Settlement Date	Transaction Type	Principal Balance Per \$1000	Position Original Face Value	Principal Paid or Proceeds	Federal Withholding	Cost	Interest	Expense	Income Adjustment	Market Discount Fraction
01/31/2013	02/25/2013	Distribution	375.15292	681,000.00	13,124.10	0.00		931.68	80.08	-178.44	0.02297298
02/28/2013	03/25/2013	Distribution	355.88111	681,000.00	9,646.39	0.00		883.61	75.76	-172.97	0.02279302
03/31/2013	04/25/2013	Distribution	341.71607	681,000.00	8,704.45	0.00		847.84	72.14	-169.95	0.02291684
04/30/2013	05/25/2013	Distribution	328.93420	681,000.00	11,425.31	0.00		816.31	69.63	-169.15	0.02334390
05/31/2013	06/25/2013	Distribution	312.15695	681,000.00	8,574.54	0.00		775.07	66.47	-164.21	0.02320359
06/30/2013	07/25/2013	Distribution	299.56585	681,000.00	9,358.62	0.00		743.88	63.87	-162.04	0.02344141
07/31/2013	08/25/2013	Distribution	285.82339	681,000.00	8,588.38	0.00		710.16	61.34	-158.76	0.02351759
08/31/2013	09/25/2013	Distribution	273.21196	681,000.00	6,382.03	0.00		678.33	58.14	-154.07	0.02337363
09/30/2013	10/25/2013	Distribution	263.84040	681,000.00	4,151.33	0.00		654.79	55.87	-149.41	0.02320884
10/31/2013	11/25/2013	Distribution	257.74447	681,000.00	3,552.84	0.00		639.14	54.06	-146.10	0.02323307
11/30/2013	12/25/2013	Distribution	252.51270	681,000.00	3,836.68	0.00		625.70	52.50	-143.56	0.02337253
12/31/2013	01/25/2014	Distribution	246.87881	681,000.00	3,368.43	0.00		612.08	51.67	-140.63	0.02344345
					90,723.10	0.00		8,918.59	761.53	-1,909.29	

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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F.V. 333 333333
CHICAGO, IL 60680

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 1 of 22

26-59563

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

From:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

COLONEL (IL) JAMES N. PRITZKER CHARITABLE

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Mail to:

COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Questions?

If you have any questions regarding this tax information statement, please call Linda M Benedino at (312)557-0211

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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26-59563
XX-XXX0386
Ref: NMIR

Amounts shown on the 1099 forms should be reported on the appropriate schedules of your Federal Income Tax Return and (if applicable) State Income Tax Return. The name of the Payer is shown at the top of each 1099 form. IRS Instructions for Recipients are included for each 1099 form at the end of this package. It is important to note that amounts shown as "not reported on 1099" may also be taxable to you.

Please note that if you are an exempt recipient (such as a pension plan, profit sharing plan, foundation, or other type of entity in which reporting to the IRS is not required), the amounts on this Tax Information Statement will NOT be reported to the IRS and you will NOT see any references to Form 1099 nor its instructions as part of this package.

Under the Emergency Economic Stabilization Act of 2008, beginning in 2011 payers are required to report to the IRS on Form 1099-B the adjusted basis of Covered securities which have been sold during the year. The intent is to ensure that investors accurately report gains and losses of securities in their annual tax filings.

The cost basis reporting provisions go into effect over a three year period, during which specific security types are considered Covered under a phased approach. For 2011, all stock held in a corporation (except those for which average cost is permissible) acquired on or after January 1, 2011 is generally considered a Covered security. This includes both US and non-US issued stock. For 2012, all mutual funds and securities eligible for average cost, which are acquired on or after January 1, 2012, are generally considered Covered. Certain Dividend Reinvestment Plans and Exchange Traded Funds also fall into this category. For 2014, certain bonds and options (as well as other securities specified by the IRS), which were acquired on or after January 1, 2014, are considered Covered.

The Northern Trust Company will only report cost basis information to the IRS for Covered securities.

Included in this Tax Information Statement is asset specific detail for bond premium amortization. As required by the Internal Revenue Code, bond premiums have been amortized for all tax exempt bonds in your account. Bond premiums have only been amortized on taxable bonds in your account if you have notified The Northern Trust Company that you have made the appropriate election with the Internal Revenue Service. If bond premium amortization has been processed in your account, that information is displayed on the Tax Summary page and within the interest detail section of this tax statement. Bond premium amortization is NOT reported to the IRS on Form 1099-INT for your account.

If, during the prior calendar year, you held an investment in an alternative investment such as a partnership

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

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26-59563
XX-XXX0386
Ref: NMR

(including hedge funds, private equity funds or any other type of investment for which a schedule K-1 is required), an oil and gas venture, a rental real estate property, or a farm business, the income and/or expense attributable to that investment has not been included in your tax information letter. Any such information - schedule K-1 for partnerships or schedule of income and expenses for the other alternative investments - will be separately mailed to you.

If your account contains sales of Master Limited Partnerships ("MLPs"), those sales will be reported on the Form 1099-B of your tax letter and the proceeds received will be reported to the IRS. An MLP is publicly traded on an exchange and, therefore, is required to be reported as a sale on the 1099-B. Cost basis is NOT required to be reported to the IRS for partnerships. Due to the nature of the calculation to determine the adjusted cost basis in the sale of an MLP, the cost basis on the Form 1099-B will be shown as "NOT AVAILABLE." If your account contains sales of Limited Partnerships ("LPs"), those sales will NOT be reported on the Form 1099-B of your tax letter, but rather on the miscellaneous schedule categorized under "Partnership Receipts." Generally, LPs are not publicly traded on an exchange and, therefore, not required to be reported as a sale. If your account held an interest in an LP or MLP, all other types of cash distributions will be shown on the miscellaneous schedule categorized under "Partnership Receipts." Please consult with your tax advisor on the proper reporting of partnership sales on your income tax return.

Please forward this Tax Information Statement to your tax advisor.

Sincerely,
The Northern Trust Company - Global Client Tax Services

Important Tax Return Documents Enclosed



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

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XX-XXX0386

Ref: NMIR

Account Number:

Tax ID Number:

Tax Summary

Listed below is a summarization of all items posted to your account. It includes items reported to the IRS on 1099 Forms INT, DIV, B and OID, items reported to the IRS by individual payers, and miscellaneous information you may need in the preparation of your federal and state income tax returns.

INCOME

	Reported on Combined 1099	Total
Domestic Dividends:		
Total for year	\$48,899.01	\$48,899.01
Qualified	\$47,209.84	\$47,209.84
U.S. Government Interest Reported as Dividends:		
Total for year	\$2.18	\$2.18
Qualified	\$0.00	\$0.00
Foreign Dividends:		
Total for year	\$7,210.50	\$7,210.50
Qualified	\$7,210.50	\$7,210.50
Short Term Gains and Losses:		
Other Short Term (Basis information reported to the IRS):		
Sales Price	\$629,808.40	\$629,808.40
Cost or other basis	\$585,202.21	\$585,202.21
Net gain/loss	(N/A)	\$44,606.19
Long Term Gains and Losses:		
Other Long Term (Basis information reported to the IRS):		
Sales Price	\$1,086,321.55	\$1,086,321.55
Cost or other basis	\$1,031,329.04	\$1,031,329.04
Net gain/loss	(N/A)	\$54,992.51
Other Long Term (Basis information not reported to the IRS):		
Sales Price	\$725,181.93	\$725,181.93
Cost or other basis	(N/A)	\$448,208.80
Net gain/loss	(N/A)	\$276,973.13



Northern Trust

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

26-59563

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

DEDUCTIONS

Total

Agency Fees	\$8,235.94
Other Deductions Subject to 2% Limitation	\$34,691.18

MISCELLANEOUS

Total

Reported on 1099

Foreign Taxes Paid on Dividends and Interest	\$18.00
--	---------

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
Recipient's Tax ID Number:
Payer's Federal ID Number:
Questions?

26-59563
XX-XX0386
36-7158276
(312)557-0211

☐ Corrected

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

☐ 2nd TIN notice

2013 Combined Year-End 1099 Forms

Form 1099-DIV Dividends and Distributions

OMB No. 1545-0110

1a Total ordinary dividends.....	\$56,111.69
1b Qualified dividends.....	\$54,420.34
2a Total capital gain distributions.....	
2b Unrecaptured Section 1250 Gain.....	
2c Section 1202 gain.....	
2d Collectibles (28%) gain.....	
3 Nondividend distributions.....	
4 Federal income tax withheld	
5 Investment expenses.....	
6 Foreign tax paid.....	\$18.00
7 Foreign country or U.S. possession.....	Various
8 Cash liquidation distributions.....	
9 Non-cash liquidation distributions.....	
10 Exempt-interest dividends.....	
11 Specified private activity bond interest dividends.....	
12 State.....	IL
13 State identification number.....	
14 State tax withheld.....	

Form 1099-INT Interest Income

OMB No. 1545-0112

1 Interest income.....	
2 Early withdrawal penalty.....	
3 Interest on U.S. Savings Bonds and Treasury obligations.....	
4 Federal income tax withheld	
5 Investment expenses.....	
6 Foreign tax paid.....	
7 Foreign country or U.S. possession.....	
8 Tax-exempt interest.....	
9 Specified private activity bond interest.....	
10 Tax-exempt bond CUSIP no.....	
11 State.....	
12 State identification number.....	
13 State tax withheld.....	

Summary of Form 1099-B

Proceeds from Broker and Barter Exchange Transactions

2 Sales price of stocks, bonds, etc.....	\$2,441,311.88
3 Cost or other basis.....	\$1,616,531.25
4 Federal income tax withheld	
5 Wash sale loss disallowed.....	
9 Profit or (loss) realized in 2013 on closed contracts.....	
10 Unrealized profit or (loss) on open contracts - 2012.....	
11 Unrealized profit or (loss) on open contracts - 2013.....	
12 Aggregate profit or (loss) on contracts.....	

Sales Price less commissions and option premiums from each sale transaction are reported individually to the IRS. Refer to the 1099-B section of this Tax Information Statement

Summary of Form 1099-OID

Original Issue Discount

1 Original issue discount for 2013.....	
2 Other periodic interest.....	
3 Early withdrawal penalty.....	
4 Federal income tax withheld	
5 Foreign tax paid.....	
6 Foreign country or U.S. possession.....	
8 Original issue discount on US Treasury obligations.....	
9 Investment expenses.....	

Original Issue Discount Amounts are reported individually to the IRS. Refer to the 1099-OID section of this Tax Information Statement

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Summary of Foreign Investments

Country	Foreign Dividends Qualified Non-Qualified	Foreign Interest	Total Income	Foreign Tax Paid
Reported on 1099 Forms DIV or INT	1099-DIV Box 1	1099-INT Box 1		1099-DIV/INT Box 6
Ireland	1,428.00		1,428.00	
Netherlands	2,302.50		2,302.50	18.00
Other countries	3,480.00		3,480.00	
Total Reported on 1099 Forms DIV or INT	7,210.50		7,210.50	18.00
Total Foreign Investments	7,210.50		7,210.50	18.00

(Enter on Form 1116)

Important Tax Return Documents Enclosed

2013 Tax Information Statement

Page 8 of 22
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59563
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)			Stock or Other Symbol (Box 1d)					State Tax Withheld (Box 15)		
	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)			
Short Term Sales Reported on 1099-B											
G4412G101	HERBALIFE LTD COM STK										
500.0000	01/10/2013	02/15/2012	HLF	19,150.67	29,759.50	0.00	-10,608.83	0.00	0.00		
501889208	LKQ CORP COM LKQ CORP										
3400.0000	01/28/2013	03/23/2012	LKQ	79,388.22	53,559.69	0.00	25,828.53	0.00	0.00		
256677105	DOLLAR GEN CORP NEW COM										
2000.0000	03/20/2013	Various	DG	97,960.00	97,937.16	0.00	22.84	0.00	0.00		
747525103	QUALCOMM INC										
900.0000	05/08/2013	06/20/2012	QCOM	57,797.15	51,829.56	0.00	5,967.59	0.00	0.00		
25490A309	DIRECTV COM COM										
900.0000	05/24/2013	02/25/2013	DTV	56,800.98	44,090.28	0.00	12,710.70	0.00	0.00		
384802104	W W GRAINGER INC										
236.0000	06/19/2013	06/20/2012	GWV	59,967.85	44,764.76	0.00	15,203.09	0.00	0.00		
582839106	MEAD JOHNSON NUTRITION COM USD0 01										
800.0000	07/03/2013	08/22/2012	MJN	54,811.60	56,252.00	0.00	-1,440.40	0.00	0.00		
055921100	BMC SOFTWARE INC										
500.0000	07/16/2013	01/28/2013	BMC	22,813.80	22,472.50	0.00	341.30	0.00	0.00		

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2013 Tax Information Statement

Page 9 of 22
Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59563
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld (Box 15)
756577102	RED HAT INC	RHT	1000.0000	08/14/2013	10/03/2012	53,034.07	55,796.30	0.00	-2,762.23	0.00	0.00
278642103	EBAY INC	EBAY	278642103	10/21/2013	Various	103,343.80	106,671.43	0.00	-3,327.63	0.00	0.00
451734107	IHS INC COM CL A COM CL A	IHS	451734107	10/22/2013	05/08/2013	24,740.26	22,069.03	0.00	2,671.23	0.00	0.00
218.0000			218.0000			629,808.40	585,202.21	0.00	44,606.19	0.00	0.00
Total Short Term Sales Reported on 1099-B											
Report on Form 8949, Part I, with Box A checked											
Long Term Sales Reported on 1099-B											
009711101	AKAMAI TECHNOLOGIES INC	AKAM	900.0000	01/10/2013	04/25/2011	35,569.54	36,713.88	0.00	-1,144.34	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	3000.0000	01/23/2013	Various	103,650.38	119,604.52	0.00	-15,954.14	0.00	0.00
48203R104	JUNIPER NETWORKS INC	JNPR	4200.0000	01/28/2013	Various	93,678.48	134,985.75	0.00	-41,307.27	0.00	0.00
25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA	800.0000	02/25/2013	06/27/2011	56,303.69	32,245.52	0.00	24,058.17	0.00	0.00

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2013 Tax Information Statement

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Ref: NMR

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59563
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)							
257651109	DONALDSON COMPANY	02/25/2013	04/25/2011	DCI	28,607.20	0.00	5,046.04	0.00	0.00
800 0000	ALTERA CORP	04/03/2013	Various	ALTR	82,436.40	0.00	-15,277.40	0.00	0.00
021441100	ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY	04/03/2013	11/11/2011	CBI	62,617.08	0.00	18,812.99	0.00	0.00
2500 0000	ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY	04/16/2013	Various	CBI	67,590.94	0.00	11,824.37	0.00	0.00
167250109	NATIONAL-OILWELL INC	06/07/2013	Various	NOV	94,546.55	0.00	-4,540.11	0.00	0.00
1300 0000	MALLINCKRODT PLC COMMON STOCK	07/01/2013	11/11/2011	MNK	22.04	0.00	5.30	0.00	0.00
637071101	BMC SOFTWARE INC	07/16/2013	02/15/2011	BMC	40,517.31	0.00	-4,042.26	0.00	0.00
1350.0000	MALLINCKRODT PLC COMMON STOCK	07/16/2013	11/11/2011	MNK	8,769.94	0.00	1,674.14	0.00	0.00
G5785G107	BMC SOFTWARE INC	07/17/2013	02/15/2011	BMC	50,767.91	0.00	-5,031.92	0.00	0.00
.5000									
055921100									
888.0000									
G5785G107									
212.0000									
055921100									
1112.0000									

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-59563
Recipient's Tax ID Number: XX-XX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

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Ref: NMR

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)		
756577102	RED HAT INC		RHT							
950.0000	08/14/2013	08/01/2012	50,382.37	50,676.23	0.00	-293.86	0.00	0.00		
451734107	IHS INC COM CL A COM CL A		IHS							
556.0000	10/01/2013	04/10/2012	63,053.47	52,726.98	0.00	10,326.49	0.00	0.00		
74144T108	PRICE T ROWE GROUP INC		TROW							
1600.0000	10/01/2013	04/25/2011	115,078.47	101,242.88	0.00	13,835.59	0.00	0.00		
67018T105	NU SKIN ENTERPRISES INC CL A		NUS							
862.0000	10/21/2013	Various	88,015.73	34,532.02	0.00	53,483.71	0.00	0.00		
451734107	IHS INC COM CL A COM CL A		IHS							
394.0000	10/22/2013	Various	44,714.05	41,197.04	0.00	3,517.01	0.00	0.00		
Total Long Term Sales Reported on 1099-B			1,086,321.55	1,031,329.04	0.00	54,992.51	0.00	0.00		

Report on Form 8949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 12 of 22
Ref: NMR

Recipient's Name and Address:
COLONEL (IL) JAMES N. PRITZKER
TAWANI ENTERPRISES
104 S MICHIGAN AVE.
SUITE 500
CHICAGO, IL 60603

Account Number: 26-59563
Recipient's Tax ID Number: XX-XXX0386
Payer's Federal ID Number: 36-7158276
Payer's State ID Number: IL
State: (312)557-0211
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B								
009711101	AKAMAI TECHNOLOGIES INC	AKAM	35,569.54	43,998.39	0.00	-8,428.85	0.00	0.00
G4412G101	HERBALIFE LTD COM STK	HLF	19,150.67	17,179.90	0.00	1,970.77	0.00	0.00
500.0000	01/10/2013 12/15/2010	PX	110,099.73	93,624.60	0.00	16,475.13	0.00	0.00
74005P104	PRAXAIR INC	DCI	107,276.99	87,616.50	0.00	19,660.49	0.00	0.00
1000.0000	01/28/2013 12/08/2010	ECL	46,515.63	27,625.36	0.00	18,890.27	0.00	0.00
257651109	DONALDSON COMPANY	V	59,167.82	27,157.97	0.00	32,009.85	0.00	0.00
3000.0000	02/25/2013 12/15/2010	QCOM	109,172.40	65,412.60	0.00	43,759.80	0.00	0.00
278865100	ECOLAB INC							
560.0000	04/30/2013 12/15/2010							
92826C839	VISA INC CLASS A SHARES							
350.0000	04/30/2013 12/15/2010							
747525103	QUALCOMM INC							
1700.0000	05/08/2013 03/03/2010							

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

Account Number:
Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Dividends and Interest Detail

Qualified Dividends Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
ALLERGAN INC	280.00
ALTERA CORP	250.00
AMERICAN TOWER CORP	524.86
AMPHENOL CORP NEW CLA	875.50
APPLE COMPUTER INC	3,984.45
COSTCO WHSL CORP NEW	1,626.75
CVS CORP	2,070.00
DANAHER CORP	95.46
DONALDSON COMPANY	380.00
ECOLAB INC	984.40
EMC CORP MASS	1,160.00
GAP INC COM	421.40
INTUIT	980.00
KANSAS CITY SOUTHERN INDS INC NEW	451.50
LPL FINL HLDGS INC COM	465.50
MCKESSON HBOC INC	246.00
MEAD JOHNSON NUTRITION COM USD0.01	784.00
MONSANTO CO COM	2,021.50

Important Tax Return Documents Enclosed

Account Number:
 Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Qualified Domestic Dividends

Description	Amount of dividend
NATIONAL-OILWELL INC	175.50
NIKE INC CLASS B	1,190.28
NU SKIN ENTERPRISES INC CL A	1,414.20
OCEANEERING INTERNATIONAL INC	542.96
ORACLE CORPORATION	1,152.00
PETSMART INC	584.55
PHILIP MORRIS INTERNATIONAL	4,441.56
POLARIS INDS INC	1,721.58
PRECISION CASTPARTS CORP	60.00
PRICE T ROWE GROUP INC	1,824.00
QUALCOMM INC	650.00
RESMED INC	966.00
ROPER INDS INC NEW	346.50
ROSS STORES INC	1,088.00
STARBUCKS CORP	1,958.00
STRYKER CORP	1,158.05
TRACTOR SUPPLY CO	686.00
UNITED TECHNOLOGIES CORP	3,621.75
VISA INC CLASS A SHARES	1,297.00
W.W. GRAINGER INC	1,338.09

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
 Tax ID Number:

Qualified Domestic Dividends

Description	Amount of dividend
WELLS FARGO & CO NEW	3,392.50
Total Reported on Form 1099-DIV	\$47,209.84
Total Qualified Domestic Dividends	\$47,209.84

Qualified Foreign Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
ACCENTURE PLC SHS CL A NEW	3,480.00
ADR CHICAGO BRDG & IRON CO N Y N Y REGISTRY SH NV	120.00
COVIDIEN PLC USD0.20(POST CONSOLDTN)	1,428.00
SCHLUMBERGER LTD ISIN #AN8068571086	2,182.50
Total Reported on Form 1099-DIV	\$7,210.50
Total Qualified Foreign Dividends	\$7,210.50

Total Qualified Dividends included on 1099-DIV box 1a and 1b

\$54,420.34

Non-Qualified Dividends

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Non-Qualified Domestic Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
AMERICAN TOWER CORP	1,675.14
MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	14.03
Total Reported on Form 1099-DIV	\$1,689.17
Total Non-Qualified Domestic Dividends	\$1,689.17

Non-Qualified U.S. Government Interest Reported as Dividends

Description	Amount of dividend
Reported on Form 1099-DIV	
MFB NORTHN INSTL FDS DIVERSIFIED ASSETS PORTFOLIO	2.18
Total Reported on Form 1099-DIV	\$2.18
Total Non-Qualified U.S. Government Interest Reported as Dividends	\$2.18
Total Non-Qualified Dividends included in Form 1099-DIV box 1a	\$1,691.35

Important Tax Return Documents Enclosed

Account Number:
Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Foreign Tax Withheld on Dividends and Interest

Description	Amount of tax
Netherlands	18.00
ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY SH NV	\$18.00
Total for Netherlands	\$18.00
Total Foreign Tax Withheld on dividends and interest (1099-DIV and 1099-DIV box 6)	

Important Tax Return Documents Enclosed

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Account Number:
Tax ID Number:

Other Tax Information

Deductions
Agency Fees

Description	Amount of expense
Trustee/Executor Fees-Income (allocable)	8,235.94
Total Agency Fees	8,235.94

Other Deductions Subject to 2% Limitation

Description	Amount of expense
Investment Management Fees	34,691.18
Total Other Deductions Subject to 2% Limitation	34,691.18

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Page 20 of 22
Account Number: 26-59563
Tax ID Number: XX-XXX0386
Ref: NMR

Dividends and Distributions - Form 1099-DIV Instructions for Recipient

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions reported the investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 10. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

Important Tax Return Documents Enclosed

Account Number: 26-59563
 Tax ID Number: XX-XXX0386
 Ref: NMR

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box 8.

Recipient's information number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or

the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2011, stock in most mutual funds and other regulated investment companies purchased before 2012, and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b. If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts,

"RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Important Tax Return Documents Enclosed

26-59563

XX-XXX0386

Ref: NMR

Account Number:

Tax ID Number:

2013 Tax Information Statement

COLONEL (IL) JAMES N. PRITZKER

Proceeds from Broker and Barter Exchange Transactions - Form 1099-B Instructions for Recipient continued

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.

Important Tax Return Documents Enclosed

Tax Asset Detail 1/01/13 - 12/31/13

Asset Id	Property Description	Date In Service	Tax Cost	Sec 179 Exp Current = c	Tax Bonus Amt	Tax Prior Depreciation	Tax Current Depreciation	Tax End Depr	Tax Net Book Value	Tax Method	Tax Period
Group: Computer equipment/software											
16	Blackbaud - Raisers Edge	6/10/05	4,850 00	0 00	0 00	4,850 00	0 00	4,850 00	0 00	S/L	3 0
33	Grant Making Software Deposit	12/31/11	12,000 00	0 00	0 00	4,000 00	4,000 00	8,000 00	4,000 00	S/L	3 0
	Computer equipment/software		<u>16,850 00</u>	<u>0 00c</u>	<u>0 00</u>	<u>8,850 00</u>	<u>4,000 00</u>	<u>12,850 00</u>	<u>4,000 00</u>		
Group: Furniture and equipment											
31	Cort - office furniture and furninshu	12/31/07	39,365 29	0 00	0 00	39,365 29	0 00	39,365 29	0 00	S/L	5 0
	Furniture and equipment		<u>39,365 29</u>	<u>0 00c</u>	<u>0 00</u>	<u>39,365 29</u>	<u>0 00</u>	<u>39,365 29</u>	<u>0 00</u>		
	Grand Total		<u>56,215 29</u>	<u>0 00c</u>	<u>0 00</u>	<u>48,215 29</u>	<u>4,000 00</u>	<u>52,215 29</u>	<u>4,000 00</u>		

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

Purpose of granting activities focuses on one or more of the following areas

- (1) Historical preservation of military heritage,
- (2) Conservation and preservation of historical sites and resources,
- (3) Health and wellness, and
- (4) Awards in recognition of achievement in various areas focusing on the military

Date	Description of Gift	Name	Amount
01/11/2013	Cash	Chicago Public Schools Dept of ROTC	\$ 164,000 00
01/11/2013	Cash	Department of Navy, NROTC Chicago Area	15,700 00
01/11/2013	Cash	University Club Foundation	5,000 00
01/11/2013	Cash	Chicago History Museum	25,000 00
01/11/2013	Cash	Chicago House and Social Services Agency	130,000 00
01/11/2013	Cash	Illinois State Historical Society	1,000 00
01/11/2013	Cash	Salvation Army Metro Division	5,000 00
01/11/2013	Cash	Spertus Institute of Jewish Studies	5,000 00
01/11/2013	Cash	St Luke Episcopal Church	5,000 00
01/11/2013	Cash	John Marshall Law School	20,000 00
01/11/2013	Cash	UIF/Media Ebertfest	3,000 00
01/11/2013	Cash	United States Veterans Art Program	15,000 00
02/14/2013	Cash	Fire Battalion Cadet Association	17,000 00
02/14/2013	Cash	Fire Battalion Cadet Association	4,000 00
02/14/2013	Cash	Evanston Historical Center	50,000 00
02/14/2013	Cash	Chicago Symphony Orchestra	2,000 00
02/14/2013	Cash	Clinton Foundation	1,000 00
02/14/2013	Cash	Lyric Opera of Chicago	5,000 00
02/14/2013	Cash	Museum of Science and Industry	2,500 00
02/14/2013	Cash	Army Heritage Center Foundation	1,000 00
02/14/2013	Cash	Coalition of Families Korean/CW POW/MIAs	2,500 00
02/14/2013	Cash	Franklin & Eleanor Roosevelt Institute	1,000 00
02/14/2013	Cash	Friends Of The Carter Library	1,000 00
02/14/2013	Cash	General Douglas MacArthur Foundation	5,000 00
02/14/2013	Cash	George Bush Presidential Library	1,000 00
02/14/2013	Cash	George W Bush Presidential Library	1,000 00
02/14/2013	Cash	Gerald R Ford Presidential Library Fnd	1,000 00
02/14/2013	Cash	Harry S Truman Library Institute	1,000 00
02/14/2013	Cash	Hoover Presidential Library Association	1,000 00
02/14/2013	Cash	Jamestown Foundation	1,000 00
02/14/2013	Cash	Lyndon B Johnson Foundation	1,000 00
02/14/2013	Cash	National Guard Educational Foundation	5,000 00
02/14/2013	Cash	National Infantry Foundation	4,500 00
02/14/2013	Cash	National Trust For Historic Preservation	1,000 00
02/14/2013	Cash	Naval Historical Foundation	50,000 00
02/14/2013	Cash	Nixon Foundation	1,000 00
02/14/2013	Cash	Patton Museum Foundation	10,000 00
02/14/2013	Cash	Ronald Regan Presidential Foundation	1,000 00
02/14/2013	Cash	American Red Cross National	10,000 00
02/14/2013	Cash	Danny Did Foundation	500 00

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

02/14/2013	Cash	Doctors Without Borders USA, Inc	10,000 00
02/14/2013	Cash	Equality Illinois Education Project	20,000 00
02/14/2013	Cash	Foundation 65	15,000 00
02/14/2013	Cash	Leave No Veteran Behind	10,000 00
02/15/2013	Cash	Eisenhower Foundation	1,000 00
02/15/2013	Cash	Michael D Palm Center	450,000 00
02/15/2013	Cash	John F Kennedy Library Foundation	1,000 00
02/15/2013	Cash	The Heart of a Marine Foundation	1,000 00
03/13/2013	Cash	Campbell University AROTC	5,000 00
03/13/2013	Cash	Ill Institute of Technolgy NROTC	4,500 00
03/13/2013	Cash	Illinois State University AROTC	5,000 00
03/13/2013	Cash	Los Alamos High School NJROTC	2,000 00
03/13/2013	Cash	Norwich University AFROTC	5,000 00
03/13/2013	Cash	Norwich University AROTC	5,000 00
03/13/2013	Cash	Norwich University NROTC	9,000 00
03/13/2013	Cash	Punahou School	2,400 00
03/13/2013	Cash	Southern Illinois University - Carbondale	2,750 00
03/13/2013	Cash	Tulane University NROTC	5,000 00
03/13/2013	Cash	Tulane University AFROTC	5,000 00
03/13/2013	Cash	University of Hawaii ROTC	5,650 00
03/13/2013	Cash	Valley Forge Military College	8,080 00
03/13/2013	Cash	Marine Military Academy	2,000 00
03/13/2013	Cash	Evanston Historical Center	5,000 00
03/13/2013	Cash	Landmarks Illinois	5,000 00
03/13/2013	Cash	Chicago History Museum	25,000 00
03/13/2013	Cash	National Defense University Foundation	5,000 00
03/13/2013	Cash	Abraham Lincoln Presidential Library Fnd	5,000 00
03/13/2013	Cash	American Legion Department of IL	1,000 00
03/13/2013	Cash	Center For the Study of the Presidency	2,000 00
03/13/2013	Cash	Congressional Medal of Honor Foundation	25,000 00
03/13/2013	Cash	IL Holocaust Museum & Education Cntr	15,000 00
03/13/2013	Cash	Katahdin Productions	5,000 00
03/13/2013	Cash	National Veterans Art Museum	18,000 00
03/13/2013	Cash	Pacific Aviation Museum Pearl Harbor	2,000 00
03/13/2013	Cash	America's VetDogs	2,000 00
03/13/2013	Cash	Lone Survivor Foundation	1,000 00
03/13/2013	Cash	Marine Corps Scholarship Foundation (2)	50,000 00
03/13/2013	Cash	OutServe-SLDN	5,000 00
03/13/2013	Cash	Reserve Officers Association/STARs Fnd	10,000 00
03/13/2013	Cash	The Mission Continues	10,000 00
03/14/2013	Cash	Ill Institute of Technolgy AFROTC	4,000 00
03/14/2013	Cash	Moreno Valley High AFJROTC	3,250 00
03/14/2013	Cash	Gerber/Hart Library	2,000 00
03/15/2013	Cash	Eastern Illinois University AROTC	5,000 00
03/15/2013	Cash	Tulane University AROTC	5,000 00
03/15/2013	Cash	U of St Mary of Lake/Mundelein Seminary	5,000 00
03/15/2013	Cash	Jewish War Veterans	1,000 00
03/18/2013	Cash	Union League Boys and Girls Club	2,500 00
04/12/2013	Cash	Chgo Zoological Soc -Brookfield Zoo Whirl	2,000 00
04/12/2013	Cash	Gettysburg Foundation	5,000 00
04/12/2013	Cash	Seminary Ridge Museum	1,000 00
04/12/2013	Cash	Inner City Foundation	10,000 00

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

04/12/2013	Cash	Chemical Corps Regimental Association	1,000 00
04/12/2013	Cash	Hebrew Union Col-Jewish Inst of Religion	5,000 00
04/12/2013	Cash	Loyola University Medical Center	5,000 00
04/12/2013	Cash	USO of Illinois	5,000 00
04/12/2013	Cash	The Williams Institute UCLA School of Law	1,000 00
04/12/2013	Cash	Wings World Quest	2,500 00
04/12/2013	Cash	82nd Airborne Division Association	8,000 00
04/12/2013	Cash	AUSA-Institute of Land Welfare	2,000 00
04/12/2013	Cash	George C Marshall Foundation	10,000 00
04/12/2013	Cash	IL Holocaust Museum & Education Cntr	10,000 00
04/12/2013	Cash	IL Natl Guard & Militia Historical Soc	2,000 00
04/12/2013	Cash	Maine Military Museum	1,000 00
04/12/2013	Cash	American Red Cross Of Greater Chicago	10,000 00
04/12/2013	Cash	Army Emergency Relief Fund	2,000 00
04/12/2013	Cash	Jesse White Foundation	1,000 00
04/12/2013	Cash	NLP Research and Recognition Project	7,467 80
04/12/2013	Cash	Rails to Trails Conservancy	5,000 00
04/12/2013	Cash	Team Red, White and Blue	50,000 00
05/10/2013	Cash	Lincoln Park Zoo	60,000 00
05/10/2013	Cash	Chicago History Museum	25,000 00
05/10/2013	Cash	DePaul University School of Music	5,000 00
05/10/2013	Cash	Emanuel Congregation	25,000 00
05/10/2013	Cash	Illinois Humanities Council	2,500 00
05/10/2013	Cash	Women's Board of the Lincoln Park Zoo	25,000 00
05/10/2013	Cash	American Air Museum in Britain	500 00
05/10/2013	Cash	Army Aviation Heritage Foundation	500 00
05/10/2013	Cash	Colorado Ski & Snowboard Museum	1,000 00
05/10/2013	Cash	Foundation for the National Archives	10,000 00
05/10/2013	Cash	Hawaii Army Museum Society	5,000 00
05/10/2013	Cash	National Strategy Forum	30,000 00
05/10/2013	Cash	Rock Island Arsenal Historical Society	1,750 00
05/10/2013	Cash	USO of Illinois	27,000 00
05/10/2013	Cash	Adventure Cycling Association	30,000 00
05/10/2013	Cash	Chicago Botanic Garden	10,000 00
05/10/2013	Cash	Howard Brown Health Center	1,500 00
05/10/2013	Cash	Howard Young Foundation	1,250 00
05/10/2013	Cash	Ride 2 Recovery	100,000 00
05/10/2013	Cash	USO of Illinois	20,000 00
05/14/2013	Cash	Mackinac Associates	1,000 00
05/14/2013	Cash	Marine Corps Heritage Center/Foundation	5,000 00
05/14/2013	Cash	Norwich University	500,000 00
06/14/2013	Cash	Crazy Horse Memorial Foundation	1,000 00
06/14/2013	Cash	Chicago Botanic Garden	1,500 00
06/14/2013	Cash	Civic Federation	10,000 00
06/14/2013	Cash	Illinois Institute of Technology	500,000 00
06/14/2013	Cash	Loyola University Chicago	20,000 00
06/14/2013	Cash	Marine Corps Association Foundation	5,000 00
06/14/2013	Cash	Marine Corps Law Enforcement Foundation	1,000 00
06/14/2013	Cash	National Defense University Foundation	1,000 00
06/14/2013	Cash	Ravinia	25,000 00
06/14/2013	Cash	WTTW - Public Television	10,000 00
06/14/2013	Cash	WWCI	50,000 00

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

06/14/2013	Cash	WYCC-TV Chicago 20	10,000 00
06/14/2013	Cash	Air Force Memorial Foundation	1,000 00
06/14/2013	Cash	Chicago Foundation for Education	1,000 00
06/14/2013	Cash	DuSable Mus of African American History	10,000 00
06/14/2013	Cash	Fort Campbell Historical Foundation	5,000 00
06/14/2013	Cash	Gilder Lehrman Institute	15,000 00
06/14/2013	Cash	Illinois Association of Museums	1,500 00
06/14/2013	Cash	Marines' Memorial Association	250 00
06/14/2013	Cash	Museum of Flight	1,000 00
06/14/2013	Cash	National Infantry Museum	10,000 00
06/14/2013	Cash	U S Naval Institute	60,000 00
06/14/2013	Cash	U S Naval Institute	10,000 00
06/14/2013	Cash	Vietnam Veterans Memorial Fund, Inc	10,000 00
06/14/2013	Cash	West Point Association of Graduates	50,000 00
06/14/2013	Cash	Greater Chicago Food Depository	10,000 00
06/14/2013	Cash	League of Illinois Bicyclists	5,000 00
06/14/2013	Cash	NLP Research and Recognition Project	6,532 20
06/14/2013	Cash	Chicago Botanic Garden	10,000 00
07/15/2013	Cash	Chicago History Museum	-650 00
07/15/2013	Cash	WWCI	-1,050 00
07/19/2013	Cash	Mammoth Site of Hot Springs SD	1,000 00
07/19/2013	Cash	Emanuel Congregation	70,000 00
07/19/2013	Cash	101st Airborne Division Association	1,000 00
07/19/2013	Cash	DuSable Mus of African American History	3,000 00
07/19/2013	Cash	Honor Flight Chicago	10,000 00
07/19/2013	Cash	Intrepid Sea, Air & Space Museum	1,000 00
07/19/2013	Cash	National Museum of World War II Aviation	1,000 00
07/19/2013	Cash	United States Navy Memorial Foundation	500 00
07/19/2013	Cash	Bernie's Book Bank	20,000 00
07/19/2013	Cash	Illinois Trails Conservancy	10,000 00
07/19/2013	Cash	Ride 2 Recovery	5,000 00
08/23/2013	Cash	Chicago Architecture Foundation	10,000 00
08/23/2013	Cash	Naval War College Foundation	14,000 00
08/23/2013	Cash	Project Liberty Ship	1,000 00
08/23/2013	Cash	Art Institute of Chicago	5,000 00
08/23/2013	Cash	Campbell University	2,000 00
08/23/2013	Cash	Chicago Police Memorial Foundation	750 00
08/23/2013	Cash	Congressional Medal of Honor Society	10,000 00
08/23/2013	Cash	Frank Lloyd Wright Building Conservancy	3,000 00
08/23/2013	Cash	Frank Lloyd Wright Preservation Trust	20,000 00
08/23/2013	Cash	Friends of Louisiana Natl Guard Museums	10,000 00
08/23/2013	Cash	Museum of Science and Industry	30,000 00
08/23/2013	Cash	Army Historical Foundation	30,000 00
08/23/2013	Cash	Colonial Williamsburg Foundation	1,000 00
08/23/2013	Cash	National Constitution Center	1,000 00
08/23/2013	Cash	The National WWII Museum	50,000 00
08/23/2013	Cash	United States Ship Constitution Museum	2,500 00
08/23/2013	Cash	Active Transportation Alliance	10,000 00
08/23/2013	Cash	University of Minnesota Foundation	41,405 00
08/23/2013	Cash	USO of Illinois	35,000 00
08/26/2013	Cash	Von Braun Center for Science & Innovation	250,000 00
08/31/2013	Cash	Civil War Preservation Trust (2)	1,000 00

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

09/20/2013	Cash	College Options Foundation	167,300 00
09/20/2013	Cash	Evanston History Center	75,000 00
09/20/2013	Cash	Friends of Nachusa Grasslands	10,000 00
09/20/2013	Cash	Lincoln Park Zoo	125,000 00
09/20/2013	Cash	Chicago Dancing Festival	5,000 00
09/20/2013	Cash	Chicago Public Library Foundation	25,000 00
09/20/2013	Cash	Congressional Medal of Honor Foundation	10,000 00
09/20/2013	Cash	Driehaus Museum	2,500 00
09/20/2013	Cash	Field Museum - Founder's Council	10,000 00
09/20/2013	Cash	Francis W Parker School	5,000 00
09/20/2013	Cash	Intl Foundation for Gender Education	10,000 00
09/20/2013	Cash	Josephinum Academy	500 00
09/20/2013	Cash	Marine Corps Scholarship Foundation	10,000 00
09/20/2013	Cash	Military Officers Assoc of America	500 00
09/20/2013	Cash	The Navy Seal Foundation {2}	10,000 00
09/20/2013	Cash	Planetary Studies Foundation	25,000 00
09/20/2013	Cash	Reserve Officers Association	4,000 00
09/20/2013	Cash	Airborne & Special Operations Museum Fnd	1,000 00
09/20/2013	Cash	American Veterans Center	15,000 00
09/20/2013	Cash	Bugles Across America	2,000 00
09/20/2013	Cash	Fort Wm Henry Harrison Museum	1,000 00
09/20/2013	Cash	Great Lakes Naval Museum Association	2,000 00
09/20/2013	Cash	IL Natl Guard & Militia Historical Soc {2	20,000 00
09/20/2013	Cash	National Guard Assoc of the United States	1,000 00
09/20/2013	Cash	National September 11 Memorial Museum	1,000 00
09/20/2013	Cash	Pentagon Memorial Fund	1,000 00
09/20/2013	Cash	OSS Society	10,000 00
09/20/2013	Cash	Air Force Museum Foundation	10,000 00
09/20/2013	Cash	US Nat'l Holocaust Memorial Museum	1,000 00
09/20/2013	Cash	721 Club	721 00
09/20/2013	Cash	A Safe Haven Foundation	500 00
09/20/2013	Cash	Achilles Foundation	2,000 00
09/20/2013	Cash	LaRabida Children's Hospital	500 00
09/20/2013	Cash	Lambs Farm	500 00
09/20/2013	Cash	Salvation Army Metro Division	40,000 00
09/20/2013	Cash	The Navy Seal Foundation	1,000 00
09/20/2013	Cash	USO of Illinois	25,000 00
09/20/2013	Cash	Wounded Warrior Project	5,000 00
09/20/2013	Cash	Adventure Cycling Assoc-Natl Bicycle Rte	2,000 00
10/18/2013	Cash	Shedd Aquarium	5,000 00
10/18/2013	Cash	Abraham Lincoln Presidential Library Fnd	5,000 00
10/18/2013	Cash	Abe Wouk Foundation	50,000 00
10/18/2013	Cash	American Ireland Fund	5,000 00
10/18/2013	Cash	Chicago Symphony Orchestra	10,000 00
10/18/2013	Cash	Foreign Policy Research Institute	3,500 00
10/18/2013	Cash	The John Howard Association of Illinois	5,000 00
10/18/2013	Cash	Loyola University Chicago	10,000 00
10/18/2013	Cash	Presence Health	10,000 00
10/18/2013	Cash	Securing America's Future Energy	5,000 00
10/18/2013	Cash	USA Shooting Team Foundation	1,500 00
10/18/2013	Cash	West Point Association of Graduates	5,000 00
10/18/2013	Cash	George Washington's Mount Vernon	500 00

Colonel (IL) James N. Pritzker Charitable Distribution Fund
Listing of Grants Paid
For the Year Ending December 31, 2013

10/18/2013	Cash	Hawaii Army Museum Society	8,000 00
10/18/2013	Cash	IL Natl Guard & Militia Historical Soc	50,000 00
10/18/2013	Cash	Inter-Univ Sem On Armed Forces & Society	10,000 00
10/18/2013	Cash	Naval War College Foundation	1,000 00
10/18/2013	Cash	Women In Milit Serv for Amer Mem Fnd	3,000 00
10/18/2013	Cash	Center for Independent Futures	2,500 00
10/18/2013	Cash	Fisher House Foundation	100,000 00
10/18/2013	Cash	Union League Boys and Girls Club	2,000 00
10/25/2013	Cash	Norwich University	3,500,000 00
11/01/2013	Cash	PMML	3,000,000 00
11/18/2013	Cash	Chicago History Museum	5,000 00
11/18/2013	Cash	National Forest Foundation	10,000 00
11/18/2013	Cash	Boy Scouts of America - Chicago Area	250 00
11/18/2013	Cash	Chicago Architecture Foundation	2,500 00
11/18/2013	Cash	Emanuel Congregation	250 00
11/18/2013	Cash	IIT Chicago - Kent College of Law	10,000 00
11/18/2013	Cash	Joffrey Ballet	2,000 00
11/18/2013	Cash	Norwich University	50,000 00
11/18/2013	Cash	Society of Architectural Historians	10,000 00
11/18/2013	Cash	University of Illinois at Chicago- Great	5,000 00
11/18/2013	Cash	Vietnam Veterans Memorial Fund, Inc	25,000 00
11/18/2013	Cash	Air Force Museum Foundation	5,000 00
11/18/2013	Cash	Bong P38 Fund, Inc	500 00
11/18/2013	Cash	Cantigny First Division Foundation	5,000 00
11/18/2013	Cash	Chicago Council of the Navy League	5,000 00
11/18/2013	Cash	Evanston History Center	60,000 00
11/18/2013	Cash	Friends of Norwich University Library	2,000 00
11/18/2013	Cash	Friends of Norwich University Museum	2,000 00
11/18/2013	Cash	Texas Military Forces Museum	1,000 00
11/18/2013	Cash	Armed Forces Retirement Home	500 00
11/18/2013	Cash	Army Distaff Foundation	2,000 00
11/18/2013	Cash	Camperships for Nebagamon Inc	5,000 00
11/18/2013	Cash	Kinsey Institute/IU Foundation	5,000 00
11/18/2013	Cash	Lurie Children's Hospital of Chicago	100,000 00
11/18/2013	Cash	PeaceAble Cities	15,500 00
11/18/2013	Cash	Walter E Olson Memorial Library Found	321,000 00
11/18/2013	Cash	World Prof Assoc for Transgender Health	50,000 00
12/20/2013	Cash	Northwestern University Library	5,000 00
12/20/2013	Cash	Mitchell Museum of the American Indian	5,000 00
12/20/2013	Cash	Barat Education Foundation	5,000 00
		Cash Donations	\$ 12,034,556.00
Gifts-In-Kind			
Various	In-Kind Donations	Pritzker Military Library - Literature awards	142,231 00
Various	In-Kind Donations	Pritzker Military Library	1,140 00
Various	In-Kind Donations	Various JROTC Programs	792 00
		In Kind Donations	\$ 144,163.00
			\$ 12,178,719.00

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

- **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions	Enter filer's identifying number
File by the due date for filing your return. See instructions	COLONEL (IL) JAMES N. PRITZKER CHARITABLE DISTRIBUTION FUND	Employer identification number (EIN) or 30-0040386
	Number, street, and room or suite no. If a P O box, see instructions 104 SOUTH MICHIGAN AVE., NO. 525	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHICAGO, IL 60603	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOE PSIODA

- The books are in the care of ► **104 S. MICHIGAN AVE., SUITE 500 - CHICAGO, IL 60603**

Telephone No ► **312-374-9455**

Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453 EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions COLONEL (IL) JAMES N. PRITZKER CHARITABLE DISTRIBUTION FUND	Employer identification number (EIN) or 30-0040386
	Number, street, and room or suite no. If a P O box, see instructions 104 SOUTH MICHIGAN AVE., NO. 525	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHICAGO, IL 60603	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JOE PSIODA

- The books are in the care of **104 S. MICHIGAN AVE., SUITE 500 - CHICAGO, IL 60603**

Telephone No. **312-374-9455**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE FORM 990-PF IS NOT YET AVAILABLE. AN ADDITIONAL EXTENSION OF TIME IS REQUESTED.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **PRESIDENT**

Date

Form **8868** (Rev. 1-2014)