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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation ALLEN SHAFFER CHARITABLE TRUST		A Employer identification number 27-6622707
Number and street (or P O box number if mail is not delivered to street address) SUSQ TR 1570 MANHEIM PIKE BX 3300	Room/suite	B Telephone number (see instructions)
City or town, state or province, country, and ZIP or foreign postal code LANCASTER, PA 176043300		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 726,364	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	103	103		
	4 Dividends and interest from securities	20,099	17,436		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,363			
	b Gross sales price for all assets on line 6a 256,266				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	14,839	17,539			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,503	8,503		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	620	201		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	9	9		
	24 Total operating and administrative expenses. Add lines 13 through 23	9,132	8,713	0	0
	25 Contributions, gifts, grants paid	34,441			34,441
26 Total expenses and disbursements. Add lines 24 and 25	43,573	8,713	0	34,441	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-28,734				
b Net investment income (if negative, enter -0-)		8,826			
c Adjusted net income (if negative, enter -0-)			0		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	31				
	2	Savings and temporary cash investments	4,502	-742		-742	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			0		0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	143,901	<input checked="" type="checkbox"/>	128,691		130,923
	b	Investments—corporate stock (attach schedule)	406,379	<input checked="" type="checkbox"/>	397,730		485,531
	c	Investments—corporate bonds (attach schedule)	111,420	<input checked="" type="checkbox"/>	111,731		110,652
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	666,233		637,410		726,364	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
23	Total liabilities (add lines 17 through 22)			0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	666,233		637,410		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see page 17 of the instructions)	666,233		637,410			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	666,233		637,410			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	666,233
2	Enter amount from Part I, line 27a	2	-28,734
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	637,499
5	Decreases not included in line 2 (itemize) ▶ _____ <input checked="" type="checkbox"/>	5	89
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	637,410

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-5,363
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	34,554	692,077	0.049928
2011	20,526	443,864	0.046244
2010	0	1	0.0
2009			
2008			

2 Total of line 1, column (d).	2	0.096172
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.032057
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	701,067
5 Multiply line 4 by line 3.	5	22,474
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	88
7 Add lines 5 and 6.	7	22,562
8 Enter qualifying distributions from Part XII, line 4.	8	34,441

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	88
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	88
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	88
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	232
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	232
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	144
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 88 Refunded <input type="checkbox"/>	11	56

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes	
14	The books are in care of ▶ _____ Telephone no ▶ _____ Located at ▶ _____ ZIP +4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 _____			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ _____	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSQUEHANNA TRUST AND INVESTMENT CO 1570 MANHEIM PIKE PO BOX 3300 LANCASTER, PA 176043300	TRUSTEE / PART-TIME 1	8,503		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	711,805
b	Average of monthly cash balances.	1b	-62
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	711,743
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	711,743
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,676
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	701,067
6	Minimum investment return. Enter 5% of line 5.	6	35,053

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	35,053
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	88
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	88
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	34,965
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	34,965
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	34,965

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	34,441
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	34,441
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	88
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	34,353

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				34,965
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			1,173	
b Total for prior years 2011, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				0
b From 2009.				0
c From 2010.				0
d From 2011.				0
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>34,441</u>				
a Applied to 2012, but not more than line 2a			1,173	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				33,268
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,697
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2009.	0			
b Excess from 2010.	0			
c Excess from 2011.	0			
d Excess from 2012.	0			
e Excess from 2013.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ST PAULS EVANGELICAL LUTHERAN CHURCH 324 NORTH ST MILLERSBURG, PA 17061	NONE	N/A	SUPPORT OPERATIONS OF	34,441
Total ▶ 3a				34,441
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 CLOROX		2011-12-14	2013-01-02
50 ABBVIE INC		2011-11-17	2013-01-08
75 ISHARES FTSE CHINA 25 INDEX FUND		2012-11-02	2013-01-09
250 EMC CORPORATION		2012-05-21	2013-01-10
100 DISNEY WALT COMPANY		2011-09-19	2013-01-18
25 DUPONT DE NEMOURS EI CO		2012-08-10	2013-02-01
125 ISHARES FTSE CHINA 25 INDEX FUND		2012-11-02	2013-02-08
125 DEVON ENERGY CORPORATION NEW		2013-01-11	2013-02-13
25 TRANSCANADA CORP COM		2012-01-18	2013-02-22
25 CHEVRONTEXACO CORP		2012-11-15	2013-03-01
25 KIMBERLY CLARK CORP		2011-11-17	2013-03-11
25 CLOROX		2011-12-14	2013-03-14
50 J P MORGAN CHASE & CO		2012-06-06	2013-03-18
50 EMERSON ELECTRIC COMPANY		2012-01-13	2013-03-26
25 A T & T INC		2011-11-17	2013-04-12
25 AUTOMATIC DATA PROCESSING INC COM		2011-11-17	2013-04-12
150 CENTRAL FUND CANADA		2011-03-23	2013-04-12
50 COCA COLA COMPANY		2011-11-17	2013-04-12
75 GENERAL ELECTRIC COMPANY		2012-03-19	2013-04-12
75 PFIZER INC		2011-03-23	2013-04-12
50 CHEVRONTEXACO CORP		2012-05-24	2013-04-15
25000 MEDTRONIC INC CONV 1 625% 04/15/13		2011-11-22	2013-04-15
100 APACHE CORP		2013-03-11	2013-04-16
75 DEERE & CO COM		2012-12-26	2013-04-17
275 BARRICK GOLD CORP		2011-11-17	2013-04-18
100 BRISTOL-MYERS SQUIBB CO		2011-11-17	2013-04-18
25 PEPSICO INC		2011-11-17	2013-04-18
50 MERCK & CO INC		2011-11-17	2013-04-25
50 VERIZON COMMUNICATIONS		2011-12-01	2013-05-01
50 J P MORGAN CHASE & CO		2012-06-06	2013-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 BRISTOL-MYERS SQUIBB CO		2011-11-17	2013-05-03
25 J P MORGAN CHASE & CO		2012-06-06	2013-05-03
10 APPLE COMPUTER		2013-01-16	2013-05-07
50 WALGREEN CO COM		2012-03-12	2013-05-07
100 BP AMOCO P L C ADR SPONSORED		2013-02-12	2013-05-14
50 EMERSON ELECTRIC COMPANY		2012-01-13	2013-05-21
25 DUPONT DE NEMOURS EI CO		2012-08-10	2013-05-23
75 SCHLUMBERGER LTD		2013-05-06	2013-05-23
50 PROCTER & GAMBLE		2011-11-17	2013-05-24
500 BANK AMER CORP		2013-05-07	2013-05-29
100 A T & T INC		2011-11-17	2013-06-03
25 EXXON MOBIL CORPORATION		2011-03-23	2013-06-03
75 WASTE MANAGEMENT INC NEW		2011-03-23	2013-06-03
50 WAL-MART STORES INC		2011-11-17	2013-06-04
25 BRISTOL-MYERS SQUIBB CO		2011-05-09	2013-06-20
25 CLOROX		2011-12-14	2013-06-20
100 NEWMONT MINING		2011-11-17	2013-06-20
50 COCA COLA COMPANY		2011-03-23	2013-06-21
225 PEOPLE'S UNITED FINANCIAL INC		2012-03-27	2013-06-21
50 KINDER MORGAN INC		2012-06-04	2013-08-06
50 CISCO SYS INC		2012-05-29	2013-08-14
25 PROCTER & GAMBLE		2011-03-23	2013-08-19
125 GOLDCORP INC NEW		2011-11-17	2013-08-20
25 NEWMONT MINING		2011-03-23	2013-08-20
125 CA INC		2012-11-09	2013-08-21
25 WALGREEN CO COM		2012-03-12	2013-08-27
50 NEWMONT MINING		2011-03-23	2013-08-28
25 KINDER MORGAN INC		2012-06-04	2013-09-05
25 VERIZON COMMUNICATIONS		2012-08-28	2013-09-12
75 NEWMONT MINING		2011-03-23	2013-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
125 PEOPLE'S UNITED FINANCIAL INC		2012-03-27	2013-09-19
100 SYSCO CORP		2011-11-28	2013-10-01
275 YAMANA GOLD INC		2013-04-18	2013-10-02
300 YAMANA GOLD INC		2011-11-17	2013-10-02
50 UNITED TECHNOLOGIES CORP		2013-09-04	2013-10-23
50 CATERPILLAR INC		2013-10-18	2013-11-01
200 GOLDCORP INC NEW		2011-03-23	2013-11-20
150 SOUTHERN COMPANY		2013-09-20	2013-12-03
150 FRANCO NEVADA CORP		2013-10-29	2013-12-05
75 VALERO ENERGY CORP		2013-10-10	2013-12-05
15000 FED HOME LOAN BKS 1 850% 12/09/13		2011-03-23	2013-12-09
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,682		3,252	430
1,682		1,313	369
3,082		2,831	251
5,923		6,366	-443
5,191		3,238	1,953
1,197		1,274	-77
4,972		4,718	254
7,566		6,748	818
1,163		1,021	142
2,921		2,529	392
2,356		1,748	608
2,100		1,626	474
2,467		1,644	823
2,762		2,443	319
963		712	251
1,645		1,247	398
2,755		3,425	-670
2,039		1,657	382
1,761		1,498	263
2,296		1,489	807
5,855		4,976	879
25,000		25,103	-103
7,153		7,543	-390
6,179		6,515	-336
4,910		13,898	-8,988
4,048		3,056	992
2,055		1,596	459
2,366		1,737	629
2,631		1,886	745
2,400		1,644	756

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,006		1,483	523
1,185		822	363
4,559		5,069	-510
2,461		1,685	776
4,305		4,318	-13
2,942		2,443	499
1,350		1,274	76
5,585		5,718	-133
4,101		3,087	1,014
6,733		6,552	181
3,485		2,848	637
2,280		2,060	220
3,122		2,755	367
3,789		2,829	960
1,121		719	402
2,031		1,626	405
2,945		6,641	-3,696
1,993		1,600	393
3,258		3,007	251
1,890		1,647	243
1,308		825	483
1,991		1,518	473
3,944		6,335	-2,391
822		1,369	-547
3,743		2,783	960
1,183		843	340
1,600		2,737	-1,137
886		823	63
1,168		1,070	98
2,096		4,106	-2,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,789		1,671	118
3,178		2,798	380
2,833		3,179	-346
3,090		4,336	-1,246
5,305		5,166	139
4,159		4,372	-213
4,689		9,894	-5,205
6,157		6,270	-113
5,613		6,805	-1,192
3,413		2,603	810
15,000		15,210	-210
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			430
			369
			251
			-443
			1,953
			-77
			254
			818
			142
			392
			608
			474
			823
			319
			251
			398
			-670
			382
			263
			807
			879
			-103
			-390
			-336
			-8,988
			992
			459
			629
			745
			756

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			523
			363
			-510
			776
			-13
			499
			76
			-133
			1,014
			181
			637
			220
			367
			960
			402
			405
			-3,696
			393
			251
			243
			483
			473
			-2,391
			-547
			960
			340
			-1,137
			63
			98
			-2,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			118
			380
			-346
			-1,246
			139
			-213
			-5,205
			-113
			-1,192
			810
			-210

**TY 2013 Investments Corporate
Bonds Schedule**

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BRKSHRE HTHWY IN 2.20% 8/15/16	25,506	25,823
GE CAP INTERNTS 4.10% 10/15/15	10,403	10,472
MDTRNC INC CONV 1.625% 4/15/13		
STATOIL ASA 3.125% 8/17/17	9,940	10,497
TYT MTR CR CORP 3.200% 6/17/15	15,417	15,599
VANGUARD BD INDEX FD SIG- 1351	15,166	14,520
TEMPLETON GLOBAL BOND A406	9,885	9,855
DES MOINES IA CMNTY COL 2.00%	25,414	23,886

TY 2013 Investments Corporate Stock Schedule

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	4,126	7,012
AT&T INC	11,430	12,306
ABBOTT LABORATORIES	5,849	6,708
ARCHER DANIELS MIDLAND		
ATOMATIC DATA PROCESSING INC	4,983	8,080
BARRICK GOLD CORP		
BRISTOL-MYERS SQUIBB CO	6,201	11,959
CENTRAL FUND CANADA	24,764	14,575
CHINA MOBILE LTD SPONS ADR	6,721	6,481
CISCO SYS INC	8,731	10,654
CLOROX	3,672	4,638
COCA COLA COMPANY	9,001	10,327
COLGATE-PALMOLIVE COMPANY	4,387	6,521
DEERE & CO COM	8,384	9,133
DISNEY WALT COMPANY		
DUPONT DE NEMOURS EI CO	8,862	11,370
ELI LILLY & COMPANY		
EXELON CORP		
EXXON MOBIL CORPORATION	8,566	10,120
GENERAL ELECTRIC COMPANY	9,428	14,015
GOLDCORP INC NEW		
INTEL CORP	12,385	14,275
ISHARES MSCI EAFE INDEX FUND	3,918	5,032
ISHARES MSCI JAPAN INDEX	8,612	10,318
JAPAN SMALLER CAP FUND INC	3,244	3,439
JOHNSON & JOHNSON	12,122	18,318
KIMBERLY CLARK CORP	6,385	7,834
KRAFT FOODS INC		
MERCK & CO INC	9,788	12,512
MICROSOFT CORP	8,443	12,158

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NEWMONT MINING		
PAYCHEX INC	4,696	7,968
PEPSICO INC	4,753	6,220
PFIZER INC	9,848	15,315
PROCTOR & GAMBLE CO	6,010	8,141
SYSCO CORP	5,183	6,317
TRANSCANADA CORP	3,994	4,566
TRAVELERS COMPANIES INC	7,905	11,318
VERIZON COMMUNICATIONS	6,642	8,600
VODAFONE GRP PLC NEW SPONS ADR	8,578	11,776
WAL MART STORES INC	6,584	7,869
WASTE MANAGEMENT INC	8,534	11,218
YAMANA GOLD INC		
BECTON DICKINSON	5,758	8,287
CA INC	3,897	5,889
CHEVRON CORP		
EMC CORPORATION	5,041	5,030
GLAXOSMITHKLINE PLC SPONS	7,031	8,008
ISHARES FTSE CHINA 25 INDEX FD		
JPMORGAN CHASE & CO		
PEOPLE'S UNITED FINL INC	2,005	2,268
THOMSON REUTERS CORP	7,999	10,401
WALGREEN COMPANY	2,383	4,308
WELLS FARGO & CO	11,352	14,755
EMERSON ELECTRIC COMPANY	2,473	3,509
KINDER MORGAN INC	3,293	3,600
TEXAS INSTRUMENTS	10,182	12,075
CORNING INC	6,696	7,128
CAMPBELL SOUP CO	7,435	7,574
POTASH CORP SASK INC	7,237	7,398

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BP PLC ADR SPON	4,256	4,861
BANK OF AMERICA CORPORATION	7,072	7,006
BANK OF NEW YORK MELLON CORP	5,854	6,988
CITIGROUP INC	8,871	9,119
US BANCORP DEL	7,476	9,090
WISDOMTREE EMERG MKTS EQ FD ET	7,866	7,655
VALERO ENERGY CORP	5,161	7,560
BROADCOM CORP CL A	5,663	5,929

**TY 2013 Investments Government
Obligations Schedule**

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

**US Government Securities - End of
Year Book Value:** 128,691

**US Government Securities - End of
Year Fair Market Value:** 130,923

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Other Decreases Schedule

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

Description	Amount
ADJUSTMENT FOR ROUNDING	6
ADJUSTMENT FOR CANADIAN FOREIGN TAX OVERWITHHELD	83

TY 2013 Other Expenses Schedule

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE- INCOME	9	9		0

TY 2013 Taxes Schedule

Name: ALLEN SHAFFER CHARITABLE TRUST

EIN: 27-6622707

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	164	164		0
FEDERAL TAX PAYMENT - PRIOR YE	187	0		0
FEDERAL ESTIMATES - PRINCIPAL	232	0		0
FOREIGN TAXES ON QUALIFIED FOR	29	29		0
FOREIGN TAXES ON NONQUALIFIED	8	8		0