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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE M66 FOUNDATION INC C/O JONATHAN KORNGOLD DIRECTOR		A Employer identification number 27-4378922
Number and street (or P O box number if mail is not delivered to street address) Room/suite 101 CENTRAL PARK WEST NO APT2C		B Telephone number (see instructions) (203) 618-4623
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10023		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,207,725	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>				
Revenue				
1 Contributions, gifts, grants, etc , received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	579	579		
4 Dividends and interest from securities.	30,882	30,882		
5a Gross rents				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	57,535			
b Gross sales price for all assets on line 6a 897,721				
7 Capital gain net income (from Part IV, line 2)		57,535		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	127	127		
12 Total. Add lines 1 through 11	89,123	89,123		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0	0		0
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	1,700	1,700		0
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	219	219		0
24 Total operating and administrative expenses. Add lines 13 through 23	1,919	1,919		0
25 Contributions, gifts, grants paid	395,300			395,300
26 Total expenses and disbursements. Add lines 24 and 25	397,219	1,919		395,300
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-308,096			
b Net investment income (if negative, enter -0-)		87,204		
c Adjusted net income (if negative, enter -0-)				

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	196,301	26,470	26,470	
	2 Savings and temporary cash investments	1,169,437	773,734	919,443	
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)				
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)	4,896	261,812	261,812	
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)					
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,370,634	1,062,016	1,207,725		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶ _____)				
	23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0	0		
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0			
29 Retained earnings, accumulated income, endowment, or other funds	1,370,634	1,062,016			
30 Total net assets or fund balances (see page 17 of the instructions)	1,370,634	1,062,016			
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,370,634	1,062,016			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,370,634
2	Enter amount from Part I, line 27a	2	-308,096
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,062,538
5	Decreases not included in line 2 (itemize) ▶ _____	5	522
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,062,016

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	57,535
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	335,913	1,448,824	0.231852
2011	371,150	1,577,988	0.235205
2010	0	0	0.000000
2009			
2008			
2	Total of line 1, column (d).		2 0.467057
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.155686
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.		4 1,026,721
5	Multiply line 4 by line 3.		5 159,846
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 872
7	Add lines 5 and 6.		7 160,718
8	Enter qualifying distributions from Part XII, line 4.		8 395,300

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes columns for line numbers and amounts. Total tax due is 316.

Part VII-A Statements Regarding Activities

Table with 10 rows (1a-10) and 3 columns: Question, Yes, No. Contains questions about political activities, tax on political expenditures, and foundation status.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14 The books are in care of THE FOUNDATION Telephone no (203) 618-4623 Located at 101 CENTRAL PARK WEST NO APT2C NEW YORK NY ZIP +4 10023			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country N/A	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c			No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b			
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b			
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a			No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b			No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,021,096
b	Average of monthly cash balances.	1b	21,260
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,042,356
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,042,356
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	15,635
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,026,721
6	Minimum investment return. Enter 5% of line 5.	6	51,336

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	51,336
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	872
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	872
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	50,464
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	50,464
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	50,464

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	395,300
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	395,300
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	872
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	394,428

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				50,464
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				292,914
e From 2012.				264,360
f Total of lines 3a through e.	557,274			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>395,300</u>				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				50,464
e Remaining amount distributed out of corpus	344,836			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	902,110			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	902,110			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				292,914
d Excess from 2012.				264,360
e Excess from 2013.				344,836

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				3a 395,300
b <i>Approved for future payment</i>				
Total				3b 0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
26 13 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2013-01-31	2013-02-08
9606 15 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES	P	2013-01-03	2013-02-08
514 40 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-03-22
476 64 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-03-22
1212 12 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-04-17
1138 52 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-04-17
5020 08 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-04-26
2351 83 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-04-26
1241 31 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-05-06
1164 96 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-05-06
1248 75 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-05-13
1159 56 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-05-13
15 04 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-21	2013-06-03
537 25 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-04-26	2013-06-03
946 63 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-06-03
1502 35 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-06-03
15 04 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-04-13	2013-11-26
17 59 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-03-15	2013-11-26
381 78 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-04-26	2013-11-26
929 05 SHS GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHS	P	2013-04-07	2013-11-26
1093 16 SHS GS STRATEGIC INCOME FUND INSTITUTIONAL SHS	P	2013-03-15	2013-11-26
7009 35 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SH	P	2011-04-27	2013-02-08
20351 53 SHS GS CREDIT STRATEGIES FUND COMMON SHARES	P	2011-04-27	2013-03-15
3656 31 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SH	P	2011-04-27	2013-03-22
2638 52 GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SHS	P	2011-04-27	2013-06-03
6591 34 SHS GS CREDIT STRATEGIES FUND COMMON SHARES	P	2011-04-27	2013-06-21
2051 28 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SH	P	2011-04-27	2013-07-26
843 17 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SHS	P	2011-04-27	2013-09-10
834 03 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SHS	P	2011-04-27	2013-09-17
416 32 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SHS	P	2011-04-27	2013-09-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
415 63 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SHS	P	2011-04-27	2013-10-04
247 38 SHS GS CREDIT STRATEGIES FUND COMMON SHARES	P	2011-06-30	2013-12-20
334 63 SHS GS CREDIT STRATEGIES FUND COMMON SHS	P	2011-04-27	2013-12-20
376 77 SHS GS CREDIT STRATEGIES FUND COMMON SHARES	P	2011-09-30	2013-12-20
1038 34 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SH	P	2011-04-27	2013-12-24
4012 84 SHS GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS SH	P	2011-04-27	2013-12-30
GAP COINVESTMENTS III LLC	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
273		273	0
100,288		100,000	288
4,900		5,047	-147
5,000		5,019	-19
12,000		11,903	97
12,000		11,989	11
50,000		49,297	703
25,000		24,765	235
12,500		12,190	310
12,500		12,267	233
12,500		12,262	238
12,500		12,210	290
141		141	0
5,018		5,018	0
8,842		8,842	0
16,000		15,820	180
129		142	-13
151		151	0
3,268		3,803	-535
7,953		8,153	-200
11,500		11,506	-6
75,000		70,584	4,416
220,000		217,965	2,035
40,000		36,819	3,181
30,000		26,570	3,430
70,000		70,593	-593
24,000		20,657	3,343
10,000		8,491	1,509
10,000		8,399	1,601
5,000		4,192	808

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,000		4,185	815
2,580		2,630	-50
3,490		3,584	-94
3,930		3,854	76
13,000		10,456	2,544
50,000		40,409	9,591
9,591			9,591
13,667			13,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			288
			-147
			-19
			97
			11
			703
			235
			310
			233
			238
			290
			0
			0
			0
			180
			-13
			0
			-535
			-200
			-6
			4,416
			2,035
			3,181
			3,430
			-593
			3,343
			1,509
			1,601
			808

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			815
			-50
			-94
			76
			2,544
			9,591
			9,591
			13,667

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
92ND STREET Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	N/A	PUBLIC CHARITY	TO SUPPORT CULTURAL AND COMMUNITY SERVICES	82,700
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 100245192	N/A	PUBLIC CHARITY	SUPPORT FOR THE MUSEUM AND ITS EDUCATIONAL AND SCIENTIFIC INITIATIVES	78,000
BIKUR CHOLIM 135 WEST 50TH STREET NEW YORK, NY 10020	N/A	PUBLIC CHARITY	TO PROVIDE COMFORT AND SUPPORT TO PEOPLE WHO ARE ILL, HOMEBOUND, ISOLATED OR IN DISTRESS	5,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022	N/A	PUBLIC CHARITY	TO PROTECT AND PRESERVE CENTRAL PARK	72,000
CHABAD HOUSE AT HARVARD 38-40 BANKS STREET CAMBRIDGE, MA 02138	N/A	PUBLIC CHARITY	RELIGIOUS AND EDUCATIONAL SUPPORT	5,000
PRESIDENT & FELLOWS OF HARVARD COLLEGE 124 MOUNT AUBURN STREET CAMBRIDGE, MA 021385795	N/A	PUBLIC CHARITY	EDUCATIONAL INNITIATIVES	50,000
THE SOCIETY OF MSKCC 1233 YORK AVENUE NEW YORK, NY 10065	N/A	PUBLIC CHARITY	TO SUPPORT PATIENT CARE, CANCER RESEARCH, PUBLIC EDUCATION AND PREVENTION OF CANCER	17,500
TOMCHE SHABBOS OF ROCKLAND COUNTY PO BOX 847 MONSEY, NY 10952	N/A	PUBLIC CHARITY	TO PROVIDE MEALS TO NEEDY FAMILIES	5,000
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW HAVEN, NY 10022	N/A	PUBLIC CHARITY	CARE FOR PEOPLE IN NEED, INSPIRE A PASSION FOR JEWISH LIFE AND LEARNING, AND STRENGTHEN COMMUNITIES IN NEW YORK, IN ISRAEL, AND AROUND THE WORLD	5,000
USI FOUNDATION 8600 UNIVERSITY BOULEVARD EVANSVILLE, IN 47712	N/A	PUBLIC CHARITY	HELP SUPPORT STUDENTS ACCOMPLISH GOALS OF HIGHER EDUCATION	1,000
NEW YORK THEATRE BALLET 131 EAST 10TH STREET 2ND FLOOR NEW YORK, NY 10003	N/A	PUBLIC CHARITY	NEW YORK THEATRE BALLET'S MISSION IS TO PERFORM SMALL CLASSIC MASTERPIECES AND NEW CONTEMPORARY WORKS FOR ADULTS AND INNOVATIVE HOUR-LONG BALLETS FOR YOUNG CHILDREN, ALL AT AFFORDABLE PRICES	3,000
GOODWILL GROUP FOUNDATION 28 JETHRO PETERS LANE NORTHBOROUGH, MA 01532	N/A	PUBLIC CHARITY	GOODWILL'S MISSION IS TO IMPROVE THE QUALITY OF LIFE OF DISADVANTAGED THAI WOMEN BY EMPOWERING THEM THROUGH PERSONAL AND CAREER DEVELOPMENT SERVICES	5,000
UNIVERSITY ATHLETIC ASSOCIATION 115 SULLYS TRAIL SUITE 14 PITTSFORD, NY 14534	N/A	PUBLIC CHARITY	THROUGH THE EDUCATION AND THE PROMOTION OF THE HEALTH AND WELFARE OF STUDENTS, THE UNIVERSITY ATHLETIC ASSOCIATION SEEKS TO LINK EXPERIENCES OF ALL BACKGROUNDS, RACES, ORIGINS, GENDERS, AND CULTURES TO PREPARE GENERATIONS OF STUDENTS AND STAFF, INCLUDING WOMEN AND MINORITIES, TO BE PRODUCTIVE MEMBERS OF SOCIETY	5,100
CROHN'S AND COLITIS FOUNDATION 733 THIRD AVE NEW YORK, NY 10017	N/A	PUBLIC CHARITY	THE MISSION OF THE CROHN'S & COLITIS FOUNDATION OF AMERICA (CCFA) IS TO CURE CROHN'S DISEASE AND ULCERATIVE COLITIS, AND TO IMPROVE THE QUALITY OF LIFE OF CHILDREN AND ADULTS AFFECTED BY THESE DISEASES	1,000
GIRLS INC OF NEW YORK CITY 120 WALL STREET SUITE 1804 NEW YORK, NY 10005	N/A	PUBLIC CHARITY	THE MISSION OF GIRLS INC OF NEW YORK CITY IS TO ADVOCATE FOR AND IMPROVE THE LIVES OF UNDER-SERVED GIRLS AGED 6 TO 18 IN THE FIVE BOROUGHS WE PURSUE THIS MISSION BY PROVIDING GENDER-BASED EDUCATIONAL PROGRAMS IN THE AREAS OF MATH, SCIENCE, HEALTH AND SAFETY, FINANCIAL LITERACY, AND MULTICULTURAL APPRECIATION WE PROVIDE PROGRAMS DIRECTLY OR IN PARTNERSHIP WITH OTHER ORGANIZATIONS AS AN INDEPENDENT AFFILIATE OF THE GIRLS INC NATIONAL ORGANIZATION, OUR COMMITMENT IS TO INSPIRE ALL GIRLS TO BE STRONG, SMART AND BOLDSM	5,000
Total				395,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE DALTON SCHOOL 108 EAST 89TH STREET NEW YORK, NY 10128	N/A	PUBLIC CHARITY	THE DALTON SCHOOL IS COMMITTED TO PROVIDING AN EDUCATION OF EXCELLENCE THAT MEETS EACH STUDENT'S INTERESTS, ABILITIES AND NEEDS WITHIN A COMMON CURRICULAR FRAMEWORK AND REFLECTS AND PROMOTES AN UNDERSTANDING OF, AND APPRECIATION FOR, DIVERSITY IN OUR COMMUNITY AS AN INTEGRAL PART OF SCHOOL LIFE	50,000
JEWISH COMMUNITY RELATIONS COUNCIL 255 WEST 34TH STREET NEW YORK, NY 10122	N/A	PUBLIC CHARITY	RELIGIOUS-TO ENSURE THE RIGHTS ABD PROTECTIONS OF JEWS	5,000
Total				395,300

3a

TY 2013 Investments - Other Schedule

Name: THE M66 FOUNDATION INC
 C/O JONATHAN KORNGOLD DIRECTOR
EIN: 27-4378922

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GAP COINVESTMENTS III, LLC	AT COST	261,812	261,812

TY 2013 Other Decreases Schedule**Name:** THE M66 FOUNDATION INC

C/O JONATHAN KORNGOLD DIRECTOR

EIN: 27-4378922

Description	Amount
BOOK TO TAX DIFFERENCE	522

TY 2013 Other Expenses Schedule

Name: THE M66 FOUNDATION INC
 C/O JONATHAN KORNGOLD DIRECTOR
EIN: 27-4378922

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	194	194		0
FILING FEE	25	25		0

TY 2013 Other Income Schedule

Name: THE M66 FOUNDATION INC
 C/O JONATHAN KORNGOLD DIRECTOR
EIN: 27-4378922

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GAP COINVESTMENTS III LLC	127	127	127

TY 2013 Taxes Schedule

Name: THE M66 FOUNDATION INC
 C/O JONATHAN KORNGOLD DIRECTOR
EIN: 27-4378922

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	450	450		0
STATE FILING FEE	250	250		0
FEDERAL TAX	1,000	1,000		0

TY 2013 IRS Payment

Name: THE M66 FOUNDATION INC
C/O JONATHAN KORNGOLD DIRECTOR

EIN: 27-4378922

Routing Transit Number: 011302920

Bank Account Number: 26900001218674

Type of Account: Checking

**Payment Amount in Dollars and
Cents:** 316

Requested Payment Date: 2014-11-12

**Taxpayer's Daytime Phone
Number:** (203) 618-4623