



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation LPL FINANCIAL FOUNDATION, INC		A Employer identification number 27-4171978
Number and street (or P.O. box number if mail is not delivered to street address) 75 STATE STREET, 24TH FLOOR	Room/suite	B Telephone number 704-733-3824
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 02109		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☒ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 217,608. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	461,125.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	370.	370.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	461,495.	370.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	792.	0.		0.
	b Accounting fees STMT 3	2,500.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	250.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	42,891.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	46,433.	0.		0.
	25 Contributions, gifts, grants paid	1,036,898.			1,036,898.
26 Total expenses and disbursements. Add lines 24 and 25	1,083,331.	0.		1,036,898.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<621,836.>				
b Net investment income (if negative, enter -0-)		370.			
c Adjusted net income (if negative, enter -0-)			N/A		

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			839,444.	217,608.	217,608.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	11 Investments - land, buildings, and equipment basis ▶					
Liabilities	Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other					
	14 Land, buildings, and equipment; basis ▶					
	Less accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			839,444.	217,608.	217,608.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			839,444.	217,608.	
	30 Total net assets or fund balances			839,444.	217,608.	
	31 Total liabilities and net assets/fund balances			839,444.	217,608.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	839,444.
2 Enter amount from Part I, line 27a	2	<621,836.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	217,608.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	217,608.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b NONE				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k); but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) -- over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	414,927.	565,249.	.734061
2011	1,352,421.	1,424,598.	.949335
2010	200,000.	2,093,134.	.095550
2009			
2008			
2 Total of line 1, column (d)			2 1.778946
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .592982
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 786,804.
5 Multiply line 4 by line 3			5 466,561.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4.
7 Add lines 5 and 6			7 466,565.
8 Enter qualifying distributions from Part XII, line 4			8 1,036,898.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	4.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	4.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	4.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		4.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of JIM HOOKS, TREASURER Telephone no. 704-733-3824 Located at 4828 PARKWAY PLAZA BLVD, 1ST FLOOR, CHARLOTTE, NC ZIP+4 28217			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 0.
b	Average of monthly cash balances	1b 798,786.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 798,786.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 798,786.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 11,982.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 786,804.
6	Minimum investment return. Enter 5% of line 5	6 39,340.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 39,340.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 4.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 4.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 39,336.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 39,336.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 39,336.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 1,036,898.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 1,036,898.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 4.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 1,036,894.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				39,336.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010	192,831.			
d From 2011	1,281,215.			
e From 2012	386,681.			
f Total of lines 3a through e	1,860,727.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	1,036,898.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				39,336.
e Remaining amount distributed out of corpus	997,562.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,858,289.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,858,289.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010	192,831.			
c Excess from 2011	1,281,215.			
d Excess from 2012	386,681.			
e Excess from 2013	997,562.			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

(4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BIG BROTHERS BIG SISTERS OF GREATER CHARLOTTE 3801 E INDEPENDENCE BLVD, STE 101 CHARLOTTE, NC 28205		PC	YOUTH MENTORING	50,000.
BOYS AND GIRLS CLUB PO BOX 178569 SAN DIEGO, CA 92177		PC	YOUTH COMMUNITY CENTERS-AND PROGRAMS	20,000.
BOYS AND GIRLS CLUB 50 CONGRESS ST, STE 730 BOSTON, MA 02109		PC	YOUTH COMMUNITY CENTERS AND PROGRAMS	10,000.
BOYS AND GIRLS CLUB 4335 STUART ANDREW BLVD, STE 120 CHARLOTTE, NC 28217		PC	YOUTH COMMUNITY CENTERS AND PROGRAMS	20,000.
CITY YEAR 287 COLUMBUS AVENUE BOSTON, MA 02116		PC	NATIONAL SERVICE ORGANIZATION EDUCATION PROGRAMS	25,000.
Total	SEE CONTINUATION SHEET(S)			1,036,898.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| | c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| | d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11-14-2014
Date

TREASURER
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name CATHY TRIBBETT	Preparer's signature 	Date 11/13/14	Check ☐ if self-employed	PTIN P00967565
Firm's name ▶ DIXON HUGHES GOODMAN LLP			Firm's EIN ▶ 56-0747981	
Firm's address ▶ 6525 MORRISON BLVD., STE 500 CHARLOTTE, NC 28211			Phone no. 704-367-7020	

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

LPL FINANCIAL FOUNDATION, INC

27-4171978

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

LPL FINANCIAL FOUNDATION, INC**27-4171978****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LPL FINANCIAL LLC 4828 PARKWAY PLAZA BLVD CHARLOTTE, NC 28217	\$ 461,125.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

LPL FINANCIAL FOUNDATION, INC**27-4171978****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

LPL FINANCIAL FOUNDATION, INC**27-4171978****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITIES IN SCHOOLS 601 E 5TH STREET, STE 300 CHARLOTTE, NC 28202		PC	YOUTH DEVELOPMENT AND EDUCATION PROGRAMS	20,000.
CRITTENTON WOMEN'S UNION ONE WASHINGTON MALL, 3RD FLOOR BOSTON, MA 02108		PC	SAFE HOUSING AND PROGRAMS FOR WOMEN	10,000.
CROSSROADS FOR KIDS 119 MYRTLE STREET DUXBURY, MA 02332		PC	YOUTH CAMP	10,000.
DRESS FOR SUCCESS 500 CLANTON RD, STE A CHARLOTTE, NC 28217		PC	CAREER DEVELOPMENT FOR DISADVANTAGED WOMEN	20,000.
DRESS FOR SUCCESS 989 COMMONWEALTH AVE BOSTON, MA 02215		PC	CAREER DEVELOPMENT FOR DISADVANTAGED WOMEN	10,000.
DRESS FOR SUCCESS 1122 BROADWAY, STE 200 SAN DIEGO, CA 92101		PC	CAREER DEVELOPMENT FOR DISADVANTAGED WOMEN	20,000.
GOODWILL 2122 FREEDOM DRIVE CHARLOTTE, NC 28208		PC	HUMAN SERVICE AND EMPLOYMENT PROGRAMS	20,000.
GOODWILL 1010 HARRISON AVE BOSTON, MA 02119		PC	HUMAN SERVICE AND EMPLOYMENT PROGRAMS	10,000.
JOHNSON C. SMITH UNIVERSITY 100 BEATTIES FORD ROAD CHARLOTTE, NC 28216		PC	EDUCATIONAL INSTITUTION	20,000.
JUNIOR ACHEIVEMENT 201 S TRYON ST, STE LL100 CHARLOTTE, NC 28202		PC	YOUTH PROGRAMS	20,000.
Total from continuation sheets				911,898.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUNIOR ACHEIVEMENT 400 FIFTH AVENUE WALTHAM, MA 02451		PC	YOUTH PROGRAMMING	10,000.
JUNIOR ACHEIVEMENT 4756 MISSION GORGE PLACE SAN DIEGO, CA 92120		PC	YOUTH PROGRAMS	20,000.
OPERATION HOPE 707 WILSHIRE BLVD, STE 3030 LOS ANGELES, CA 90017		PC	FINANCIAL LITERACY PROGRAMS FOR UNDERSERVED COMMUNITIES	200,000.
THE PREUSS SCHOOL - UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILLMAN DR LAJOLLA, CA 92093		PC	CHARTER MIDDLE/HIGH SCHOOL FOR LOW INCOME STUDENTS	20,000.
SAN DIEGO SECOND CHANCE PROGRAM 6145 IMPERIAL AVE SAN DIEGO, CA 92114		PC	HUMAN SERVICES AND HOUSING PROGRAMS	20,000.
USO SAN DIEGO 303 A STREET SAN DIEGO, CA 92101		PC	MILITARY AND VETERAN PROGRAMS	20,000.
MATCHING GIFTS (SEE ATTACHED) 75 STATE STREET, 24TH FLOOR BOSTON, MA 02109		PC	SEE ATTACHED STATEMENT	461,898.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
-------------	--	--	--	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LPL FINANCIAL	370.	0.	370.	370.	
TO PART I, LINE 4	370.	0.	370.	370.	

FORM 990-PF	LEGAL FEES				STATEMENT	2
-------------	------------	--	--	--	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	792.	0.		0.
TO FM 990-PF, PG 1, LN 16A	792.	0.		0.

FORM 990-PF	ACCOUNTING FEES				STATEMENT	3
-------------	-----------------	--	--	--	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	2,500.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,500.	0.		0.

FORM 990-PF	TAXES				STATEMENT	4
-------------	-------	--	--	--	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MASSACHUSETTS ANNUAL REPORT FEE	250.	0.		0.
TO FORM 990-PF, PG 1, LN 18	250.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
REGISTERED AGENT FEES	230.	0.		0.	
SUBCONTRACTED ADMINSTRATIVE SERVICES	39,061.	0.		0.	
PROCESSING FEES	3,600.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	42,891.	0.		0.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	6
-------------	---	-----------	---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HEATHER RANDOLPH CARTER 4828 PARKWAY PLAZA CHARLOTTE, NC 28217	PRESIDENT 1.00	0.	0.	0.
JOY GOBLE 4828 PARKWAY PLAZA CHARLOTTE, NC 28217	TREASURER 1.00	0.	0.	0.
JENNIFER CARPENTER 75 STATE STREET, 24TH FLOOR BOSTON, MA 02109	CLERK 1.00	0.	0.	0.
SALLIE LARSEN 4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121-3091	DIRECTOR 1.00	0.	0.	0.
KANDIS BATES 4828 PARKWAY PLAZA CHARLOTTE, NC 28217	DIRECTOR 1.00	0.	0.	0.
LAUREN MITCHELL 4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121-3091	DIRECTOR 1.00	0.	0.	0.
STACEY PERKINS 4828 PARKWAY PLAZA CHARLOTTE, NC 28217	DIRECTOR 1.00	0.	0.	0.

LPL FINANCIAL FOUNDATION, INC

27-4171978

ANDY KALBAUGH 4828 PARKWAY PLAZA CHARLOTTE, NC 28217	DIRECTOR 1.00	0.	0.	0.
CHRIS ARTHUR 75 STATE STREET, 24TH FLOOR BOSTON, MA 02109	DIRECTOR 1.00	0.	0.	0.
RICK TIRADO 4707 EXECUTIVE DRIVE SAN DIEGO, CA 92121-3091	DIRECTOR 1.00	0.	0.	0.
LPL FINANCIAL LLC 75 STATE STREET, 24TH FLOOR BOSTON, MA 02109	SOLE MEMBER 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>0.</u>	<u>0.</u>	<u>0.</u>

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
1IN6 INC, PO Box 222033 , Santa Clarita, CA 91322		PC	Program/Operating Support	\$ 500.00
211 Brevard Inc, PO Box 417 , Cocoa, FL 32923-0417		PC	Program/Operating Support	\$ 1,000.00
24 Hours of Booty Inc, 500 E. Morehead St., Suite 218 , Charlotte, NC 28202		PC	Program/Operating Support	\$ 500.00
A L S Family Charitable Foundation Inc, P.O. Box 229 , Buzzards Bay, MA 2532		PC	Program/Operating Support	\$ 100.00
A Womans Place, P O. Box 299 , Doylestown, PA 18901		PC	Program/Operating Support	\$ 500.00
Active Minds Inc, 2001 South Street North West , Washington, DC 20009		PC	Program/Operating Support	\$ 250.00
Ada Jenkins Families and Careers Development Center Inc, P.O. Box 1842, Davidson, NC 28036		PC	Program/Operating Support	\$ 7,000.00
Adams County Lutheran Education Association, 312 West Ash St , Kenesaw, NE 68956		PC	Program/Operating Support	\$ 300.00
Adopt-A-Rescue-Pet, 1500 E. Tropicana Suite 105, Las Vegas, NV 89119		PC	Program/Operating Support	\$ 100.00
ADV & SAS, PO Box 593 , Streator, IL 61364		PC	Program/Operating Support	\$ 1,000.00
Aikane O Hokulani Inc, 2940 Kamakini Street , Honolulu, HI 96816		PC	Program/Operating Support	\$ 1,000.00
Alexandria Country Day School, 5603 Bayou Rapides Rd , Alexandria, LA 71303-4053		PC	Program/Operating Support	\$ 1,000.00
Alfond Youth Center, 126 North St , Waterville, ME 4901		PC	Program/Operating Support	\$ 500.00
Alzheimers & Dementia Alliance of WI Inc, 517 North Segoe Road Suite 301, Madison, WI 53705		PC	Program/Operating Support	\$ 250.00
Alzheimers Disease and Related Disorders Association Inc, 225 North Michigan Ave 17th Floor, Chicago, IL 60601-7633		PC	Program/Operating Support	\$ 350.00
ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION, 6632 CONVOY CT, SAN DIEGO, CA 92111-1009		PC	Program/Operating Support	\$ 249.99
Amateur Athletic Union of the United States - Kings Youth Baseball, 13714 Lost Creek Rd , Tomball, TX 77375		PC	Program/Operating Support	\$ 800.00
Amateur Athletic Union of the United States Inc, 8250 Creek Hollow Ct , Jacksonville, FL 32244		PC	Program/Operating Support	\$ 500.00
Amazement Square, 27 9th St , Lynchburg, VA 24504		PC	Program/Operating Support	\$ 125.00
Ambrose Parent Teacher Organization - Ambrose Elementary, 27 High Street , Winchester, MA 1890		PC	Program/Operating Support	\$ 600.00
American Cancer Society - Relay For Life of Longview, 1301 S Broadway , Tyler, TX 75701		PC	Program/Operating Support	\$ 250.00
American Cancer Society - Relay for Life of North Leon, 2619 Centennial Blvd , Tallahassee, FL 32308		PC	Program/Operating Support	\$ 100.00
American Cancer Society / Making Strides Against Breast Cancer, 6301 Richmond Ave , Houston, TX 77057		PC	Program/Operating Support	\$ 500.00
American Cancer Society Inc - American Cancer Society, North Region, 10501 Euclid Avenue , Cleveland, OH 44106		PC	Program/Operating Support	\$ 250.00
American Cancer Society Inc, 1695 E Prosperity Avenue , Tulare, CA 93274		PC	Program/Operating Support	\$ 250.00
American Cancer Society Inc, 1710 Webster St. , Oakland, CA 94612		PC	Program/Operating Support	\$ 250.00
American Cancer Society Inc, 2 Commerce Drive Suite 110, Bedford, NH 3110		PC	Program/Operating Support	\$ 50.00
American Cancer Society Inc, 250 Williams St 4th Flr , Atlanta, GA 30303-0000		PC	Program/Operating Support	\$ 200.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
American Cancer Society Inc, 250 Williams Street , Atlanta, GA 30303		PC	Program/Operating Support	\$ 200.00
American Cancer Society Inc, 3893 Adler Place Suite 170 , Bethlehem, PA 18017		PC	Program/Operating Support	\$ 500.00
American Cancer Society Inc, 4207 Lindell Boulevard , Saint Louis, MO 63108		PC	Program/Operating Support	\$ 100.00
American Cancer Society Inc, 740 Commerce Drive, Suite B , Perrysburg, OH 43551		PC	Program/Operating Support	\$ 500.00
American Cancer Society Inc, Attn:Rear Saver Detroit 20450 Civic Center Drive, Southfield, MI 48076		PC	Program/Operating Support	\$ 250.00
American Diabetes Association Inc; 4500 Rockside Road Suite 440, Independence, OH 44131		PC	Program/Operating Support	\$ 110.00
American Foundation for Suicide Prevention, 120 Wall Street 29th Floor, New York, NY 10005		PC	Program/Operating Support	\$ 625.00
American Friends of Alyn Hospital Inc, 51 E 42nd St Rm 308 , New York, NY 10017-5404		PC	Program/Operating Support	\$ 200.00
American Heart Association - Midwest Affiliate - Western South Dakota, PO Box 90545 , Sioux Falls, SD 57109		PC	Program/Operating Support	\$ 1,000.00
American Heart Association Inc, 1710 Gilbreth Rd Matching Gift Processing Center - Los Angeles, Burlingame, CA 94010		PC	Program/Operating Support	\$ 500.00
American Heart Association Inc, 2159 E. Pass Road, Unit A , Gulfport, MS 39507		PC	Program/Operating Support	\$ 500.00
American Heart Association Inc, 7272 Greenville Ave , Dallas, TX 75231-4596		PC	Program/Operating Support	\$ 350.00
American Heart Association Inc, 7272 Greenville Avenue , Dallas, TX 75231		PC	Program/Operating Support	\$ 350.00
American Heart Association Inc, PO Box 3049 , Syracuse, NY 13220		PC	Program/Operating Support	\$ 250.00
American Lung Association of New En Gland Inc, 122 State State , Augusta, ME 4330		PC	Program/Operating Support	\$ 200.00
American Lung Association of the Mid-Atlantic, 3001 Old Gettysburg Road , Camp Hill, PA 17011		PC	Program/Operating Support	\$ 200.00
American Lung Association of The Upper Midwest - American Lung Association in Minnesota, 490 Concordia Avenue , Saint Paul, MN 55103		PC	Program/Operating Support	\$ 125.00
American National Red Cross - American Red Cross Serving Erie & Niagara Counties, 786 Delaware Avenue , Buffalo, NY 14209		PC	Program/Operating Support	\$ 250.00
American National Red Cross, 1201 West River Parkway , Minneapolis, MN 55454		PC	Program/Operating Support	\$ 100.00
American National Red Cross, 1299 Pineview Drive , Morgantown, WV 26505		PC	Program/Operating Support	\$ 200.00
American National Red Cross, PO Box 37295 , Washington, DC 20013		PC	Program/Operating Support	\$ 600.00
AMERICAN RED CROSS , 2025 E ST NW WASHINGTON, DC 20006-5009		PC	Program/Operating Support	\$ 200.00
AMERICAN RED CROSS, 2025 E ST NW WASHINGTON, DC 20006-5009		PC	Program/Operating Support	\$ 840.00
Amherst College Trustees, PO Box 5000 , Amherst, MA 1002		PC	Program/Operating Support	\$ 250.00
Amyotrophic Lateral Sclerosis Association, 1170 Old Henderson Road Suite 221, Columbus, OH 43220		PC	Program/Operating Support	\$ 250.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Amyotrophic Lateral Sclerosis Association, 3242 Parkside Center Circle, Tampa, FL 33619		PC	Program/Operating Support	\$ 1,000.00
Amyotrophic Lateral Sclerosis Association, 4 North Blount Street 2nd Floor, Raleigh, NC 27601		PC	Program/Operating Support	\$ 500.00
Amyotrophic Lateral Sclerosis Association, 4643 E Thomas Rd Ste 1, Phoenix, AZ 85018		PC	Program/Operating Support	\$ 100.00
Amyotrophic Lateral Sclerosis Association, 6950 Squibb Road Suite 210, Mission, KS 66202		PC	Program/Operating Support	\$ 100.00
Amyotrophic Lateral Sclerosis Association, P O Box 565, Agoura Hills, CA 91376		PC	Program/Operating Support	\$ 200.00
Anderson County Backpack Buddies, 1048 Eagle Lake Dr, Lawrenceburg, KY 40342-9069		PC	Program/Operating Support	\$ 250.00
Anderson County Humane Society Inc, PO Box 2262, Anderson, SC 29622		PC	Program/Operating Support	\$ 1,000.00
Anderson Humane Society Inc, PO Box 494 1410 Versailles Road, Lawrenceburg, KY 40342		PC	Program/Operating Support	\$ 250.00
Angelman Syndrome Foundation Inc, 4255 Westbrook Drive #219, Aurora, IL 60504		PC	Program/Operating Support	\$ 200.00
Angels Against Abuse Inc, 11300 4th Street North, Suite 200, St Petersburg, FL 33716		PC	Program/Operating Support	\$ 250.00
Angels Among Us, 11918 Poppleton Plaza Suite 2, Omaha, NE 68144		PC	Program/Operating Support	\$ 1,250.00
Angels of Glory-Texas Children's Choir, 8409 Corto Circle, San Antonio, TX 78209		PC	Program/Operating Support	\$ 750.00
Animals Rule Placement Foundation, 305 N Harbor Blvd, San Pedro, CA 90731		PC	Program/Operating Support	\$ 488.70
Ann Arbor Public Schools Educational Foundation, 2555 S. State Street, Ann Arbor, MI 48104		PC	Program/Operating Support	\$ 800.00
Anti-Defamation League, 1120 Lincoln Street # 1301, Denver, CO 80203		PC	Program/Operating Support	\$ 500.00
Antioch Community Church, PO Box 18039, Minneapolis, MN 55418		PC	Program/Operating Support	\$ 500.00
Art and History Museums, 231 West Packwood Avenue, Maitland, FL 32751-5553		PC	Program/Operating Support	\$ 1,000.00
Art With A Heart Inc, 3355 Keswick Road Stable Building Suite 104, Baltimore, MD 21211		PC	Program/Operating Support	\$ 375.00
Arthritis Foundation Inc, 9089 Clairemont Blvd #104, San Diego, CA 92123		PC	Program/Operating Support	\$ 280.00
Ashland Schools Foundation, 100 Walker Avenue, Ashland, OR 97520		PC	Program/Operating Support	\$ 300.00
ASSOCIATED CATHOLIC CHARITIES INC, 1966 GREENSPRING DR STE 200 TIMONIUM, MD 21093-4164		PC	Program/Operating Support	\$ 120.00
ASSOCIATED CATHOLIC CHARITIES INC, 1966 GREENSPRING DR STE 200 TIMONIUM, MD 21093-4164		PC	Program/Operating Support	\$ 240.00
Association for Waldorf Education In San Diego, 3547 Altadena Avenue, San Diego, CA 92105		PC	Program/Operating Support	\$ 250.00
Association of Former Students of Texas A & M University, 505 George Bush Dr., College Station, TX 77840-2918		PC	Program/Operating Support	\$ 265.00
Athletic Foundation of the University of North Carolina at Ch, 9201 University City Boulevard Reese 316, Charlotte, NC 28223		PC	Program/Operating Support	\$ 500.00
Auburn University Foundation, 317 S College St, Auburn, AL 36849-5149		PC	Program/Operating Support	\$ 150.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Avon Products Foundation Incorporated, One Avon Plaza , Rye, NY 10580		PC	Program/Operating Support	\$ 600.00
Bakers Boys Club of Warsaw, 765 W Market St , Warsaw, IN 46580-2518		PC	Program/Operating Support	\$ 500 00
Banchero Friends Services, 13732 Midvale Ave N. Unit 103, Seattle, WA 98133		PC	Program/Operating Support	\$ 400 00
Baptist Missionary Association of America, P.O. Box 30910 , Little Rock, AR 72260		PC	Program/Operating Support	\$ 250.00
Baptist State Convention of North Carolina Inc - Watermark Church, P.O. Box 127 , Troutman, NC 28166		PC	Program/Operating Support	\$ 500.00
Bay Haven Boosters Inc, 2501 Hawks Landing Boulevard , Panama City, FL 32405-2528		PC	Program/Operating Support	\$ 250 00
Bay State Performing Arts Inc, 95 Berkeley Street , Boston, MA 02116-6229		PC	Program/Operating Support	\$ 250 00
Bedford Crew Club, 18 Quincy Drive , Bedford, NH 3110		PC	Program/Operating Support	\$ 250.00
Belvedere Cove Foundation, PO Box 786 , Belvedere, CA 94920-0786		PC	Program/Operating Support	\$ 250.00
Benevolent & Protective Order of Elks Scholarship Association 317, 1931 Redwood Street , Port Townsend, WA 98368		PC	Program/Operating Support	\$ 500.00
Benton County Museum and Historical Society, P.O. Box 1407 , Prosser, WA 99350		PC	Program/Operating Support	\$ 250.00
Bergin University of Canine Studies, 5860 Labath Avenue , Rohnert Park, CA 94928		PC	Program/Operating Support	\$ 500.00
Berry College Inc, PO Box 490129 , Mount Berry, GA 30149		PC	Program/Operating Support	\$ 500.00
BEST FRIENDS ANIMAL SOCIETY , 5001 ANGEL CANYON RD KANAB, UT 84741-5000		PC	Program/Operating Support	\$ 75.00
BEST FRIENDS ANIMAL SOCIETY, 5001 ANGEL CANYON RD KANAB, UT 84741-5000		PC	Program/Operating Support	\$ 150.00
Bestprep, 7100 Northland Cir N Ste 402 , Brooklyn Park, MN 55428-1500		PC	Program/Operating Support	\$ 500 00
BETA NU LAMBDA FOUNDATION, PO BOX 562133 CHARLOTTE, NC 28256-2133		PC	Program/Operating Support	\$ 90 00
BETHEL CHURCH OF REDDING, 933 COLLEGE VIEW DRIVE, REDDING, CA, 96003		PC	Program/Operating Support	\$ 500.00
Bethlehem High School, 309 West Stephen Foster , Bardstown, KY 40004		PC	Program/Operating Support	\$ 500.00
Big Brothers Big Sisters of Northeast Iowa Inc, 2530 University Avenue Suite 8, Waterloo, IA 50701-0000		PC	Program/Operating Support	\$ 250 00
Big Brothers Big Sisters of Oklahoma Inc, 5840 S Memorial Dr Ste 105 , Tulsa, OK 74145		PC	Program/Operating Support	\$ 500.00
Big Brothers Big Sisters of San Diego County Inc, 8515 Arjons Drive Suite A, San Diego, CA 92126		PC	Program/Operating Support	\$ 300 00
Big Brothers Big Sisters of Southern Maine, 195 Lancaster St , Portland, ME 04101-2407		PC	Program/Operating Support	\$ 850.00
Big Brothers Big Sisters of Ventura County Inc, 445 Rosewood Ave, Suite Q , Camarillo, CA 93010		PC	Program/Operating Support	\$ 1,000.00
Big Brothers-Big Sisters of Greater Flint, 410 East Second Street , Flint, MI 48503		PC	Program/Operating Support	\$ 100 00
Big Shoulders Fund, 212 W Van Buren Street, Suite 900 , Chicago, IL 60607		PC	Program/Operating Support	\$ 500 00
Big Sur Land Trust, 509 Hartnell St , Monterey, CA 93940		PC	Program/Operating Support	\$ 250.00
Bikes and Trykes, 3004 W. Faidley Ave. , Grand Island, NE 68803		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Bill Wilson Center, 3490 The Alameda , Santa Clara, CA 95050		PC	Program/Operating Support	\$ 1,000.00
Birth Haven Inc, 4 Academy St , Newton, NJ 7860		PC	Program/Operating Support	\$ 250 00
Bishop Luers High School, 333 East Paulding Road , Ft Wayne, IN 46816		PC	Program/Operating Support	\$ 1,500.00
Black Hills Area Community Foundation, P.O. Box 231 , Rapid City, SD 57709		PC	Program/Operating Support	\$ 500.00
Black Hills State University Foundation, 1200 University St Unit 9506, Spearfish, SD 57799-9506		PC	Program/Operating Support	\$ 1,000.00
Blacklick Elementary Pride Partners PTO, 6540 Havens Corners Road , Blacklick, OH 43004-8415		PC	Program/Operating Support	\$ 500.00
Blank Park Zoo Foundation Inc, 7401 Sw 9th St , Des Moines, IA 50315		PC	Program/Operating Support	\$ 500.00
BLOOMS FROM THE HEART		PC	Program/Operating Support	\$ 250 00
Boston Bhangra Inc, 97 Aspinwall Ave , Brookline, MA 02446-6401		PC	Program/Operating Support	\$ 500.00
Bowling Green School Foundation Inc, 137 Clough St , Bowling Green, OH 43402		PC	Program/Operating Support	\$ 400.00
Boy Scouts of America - Bay Area Council Friends of Scouting, 3020 53rd Street , Galveston, TX 77551		PC	Program/Operating Support	\$ 150 00
Boy Scouts of America Alamo Area Council, 2226 NW Military Hwy , San Antonio, TX 78213		PC	Program/Operating Support	\$ 500.00
Boy Scouts of America- Mt. Diablo Silverado Council- Mt. Diablo Silverado Council, 800 Ellinwood Way , Pleasant Hill, CA 94523		PC	Program/Operating Support	\$ 250.00
Boy Scouts of America, 1410 E 7th St , Charlotte, NC 28204		PC	Program/Operating Support	\$ 200.00
Boy Scouts of America, 2555 Northern Rd , Appleton, WI 54914		PC	Program/Operating Support	\$ 100.00
Boy Scouts of America, 600 Wheeling Rd , Mount Prospect, IL 60056		PC	Program/Operating Support	\$ 195 00
Boy Scouts of America, 715 Betsy Drive , Columbia, SC 29210		PC	Program/Operating Support	\$ 250 00
Boy Scouts of America, 9644 Brookline Ave. , Baton Rouge, LA 70809		PC	Program/Operating Support	\$ 1,250.00
Boy Scouts of America, PO Box 924528 , Houston, TX 77292-4528		PC	Program/Operating Support	\$ 500.00
Boys & Girls Club of Greater Nashua Inc, 47 Grand Ave , Nashua, NH 3060		PC	Program/Operating Support	\$ 100.00
Boys & Girls Club of Greeneville Greene County, PO Box 1977 , Greeneville, TN 37744		PC	Program/Operating Support	\$ 600.00
Boys & Girls Clubs of East County Inc, 8820 Tamberly Way , Santee, CA 92071		PC	Program/Operating Support	\$ 500 00
Boys & Girls Clubs of Southeastern Michigan, 26777 Halsted Rd. Suite 100, Farmington Hills, MI 48331-3560		PC	Program/Operating Support	\$ 500.00
Boys & Girls Clubs of the Virginia, 11825 Rock Landing Drive Chesapeake Bldg., Newport News, VA 23606		PC	Program/Operating Support	\$ 500 00
BOYS AND GIRLS CLUB OF CABARRUS COUNTY INC , 247 SPRING ST NW CONCORD, NC 28025-4724		PC	Program/Operating Support	\$ 6 00
BOYS AND GIRLS CLUB OF CABARRUS COUNTY INC, 247 SPRING ST NW CONCORD, NC 28025-4724		PC	Program/Operating Support	\$ 12.00
BOYS AND GIRLS CLUBS OF BOSTON INC, 50 CONGRESS STREET, SUITE 730, BOSTON, MA 02109		PC	Program/Operating Support	\$ 100.00
Boys and Girls Clubs of Greater Sacramento, 5212 Lemon Hill Ave , Sacramento, CA 95824-1528		PC	Program/Operating Support	\$ 1,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Boys and Girls Clubs of Hartford Inc, 170 Sigourney Street , Hartford, CT 6105		PC	Program/Operating Support	\$ 500.00
Boys and Girls Clubs of the North Valley, 601 Wall Street , Chico, CA 95928		PC	Program/Operating Support	\$ 2,800.00
Boys Scouts of America, 415 North Second St. , Saint Charles, IL 60174		PC	Program/Operating Support	\$ 150.00
Brayden Spencer Powell Memorial Scholarship Organization Inc, 2149 Greenview Dr , Woodstock, IL 60098-7016		PC	Program/Operating Support	\$ 500.00
Bridge House, PO Box 626 , Boulder, CO 80306		PC	Program/Operating Support	\$ 500.00
Bridgehaven Inc, 950 S 1st St , Louisville, KY 40203		PC	Program/Operating Support	\$ 100.00
Brigham Young University, PO Box 21128 , Provo, UT 84602-1128		PC	Program/Operating Support	\$ 750.00
Brookline Community Mental Health Center Inc, 43 Garrison Rd , Brookline, MA 2445		PC	Program/Operating Support	\$ 500.00
Brookstone Schools of Mecklenburg County, PO Box 667890 , Charlotte, NC 28266		PC	Program/Operating Support	\$ 500.00
Bucknell University, 301 Market Street , Lewisburg, PA 17837		PC	Program/Operating Support	\$ 400.00
Buhl Park Corporation, 715 Hazen Rd. , Hermitage, PA 16148		PC	Program/Operating Support	\$ 200.00
BULLDOG CLUB , PO BOX BT MS STATE UNIV, MS 39762-5569		PC	Program/Operating Support	\$ 30.00
BULLDOG CLUB, PO BOX BT MS STATE UNIV, MS 39762-5569		PC	Program/Operating Support	\$ 60.00
Buncombe County Medical Society, 304 Summit St , Asheville, NC 28803		PC	Program/Operating Support	\$ 500.00
Burlingame High School Parents Group Inc, 1 Mangini Way , Burlingame, CA 94010		PC	Program/Operating Support	\$ 500.00
Butte Family YMCA Inc, 2975 Washoe , Butte, MT 59701		PC	Program/Operating Support	\$ 500.00
CALIFORNIA SOUTHERN BAPTIST CONVENTION, 678 EAST SHAW AVENUE, FRESNO, VA 93710-7704		PC	Program/Operating Support	\$ 500.00
Camp Sunshine Inc, 1850 Clairmont Road , Decatur, GA 30033		PC	Program/Operating Support	\$ 500.00
Campus Crusade for Christ Inc, 100 Lake Hart Drive , Orlando, FL 32832		PC	Program/Operating Support	\$ 500.00
Canandaigua All Sports Boosters Inc, 435 East Street , Canandaigua, NY 14424-1218		PC	Program/Operating Support	\$ 500.00
Canisius College, 2001 Main Street , Buffalo, NY 14208		PC	Program/Operating Support	\$ 500.00
Capital City Area Council for Special Services, 1115 Fairgrounds Rd , Jefferson Cty, MO 65109-5443		PC	Program/Operating Support	\$ 500.00
Capital Region Medical Foundation, PO Box 1128 , Jefferson Cty, MO 65102		PC	Program/Operating Support	\$ 150.00
Cardinal Services Inc of Indiana, 504 N Bay Dr. , Warsaw, IN 46580-4627		PC	Program/Operating Support	\$ 350.00
Care Fresno Inc, PO BOX 8147 , Fresno, CA 93747		PC	Program/Operating Support	\$ 120.00
Carleton College, 1 N College Street , Northfield, MN 55057		PC	Program/Operating Support	\$ 125.00
CARMEL CREEK ELEMENTARY, 4210 CARMEL CENTER RD, SAN DIEGO, CA 92130		PC	Program/Operating Support	\$ 300.00
CAROLINA PROJECT, 7845 COLONY RD, STE C4112, CHARLOTTE, NC 28226		PC	Program/Operating Support	\$ 25.00
Carroll Symphony Orchestra Foundation Inc, PO Box 1756 , Carrollton, GA 30112		PC	Program/Operating Support	\$ 500.00

LPL Financial Charitable Foundation, Inc
 EIN: 20-0721133
 Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Caryng Place Incorporated, PO Box 622 , Cary, NC 27512-0622		PC	Program/Operating Support	\$ 500.00
CASA Kane Co, 100 S Third St. Suite 460, Geneva, IL 60134		PC	Program/Operating Support	\$ 500.00
Cathedral High School Education Foundation, 312 7th Avenue North , St. Cloud, MN 56303		PC	Program/Operating Support	\$ 150 00
Catholic Relief Services Inc, 228 W Lexington Street , Baltimore, MD 21201-3422		PC	Program/Operating Support	\$ 250 00
Catholic Social Services Inc, 33 E Northampton St , Wilkes Barre, PA 18701-2406		PC	Program/Operating Support	\$ 350.00
Catlin Gabel School, 8825 Sw Barnes Rd , Portland, OR 97225-6527		PC	Program/Operating Support	\$ 500.00
Centaur Stride Inc, PO Box 174 , Westfield, NY 14787-0174		PC	Program/Operating Support	\$ 2,000.00
Center for Citizen Leadership, 1141 S 7th St , Saint Louis, MO 63104		PC	Program/Operating Support	\$ 100.00
Center for Community Justice Incorporated, 121 S. Third St , Elkhart, IN 46516		PC	Program/Operating Support	\$ 100.00
Central Catholic High School Inc, 300 Hampshire St , Lawrence, MA 1841		PC	Program/Operating Support	\$ 500.00
Central Connecticut Coast Young Mens Christian Association Inc, 1240 Chapel Street , New Haven, CT 6511		PC	Program/Operating Support	\$ 500.00
Cerebral Palsy Inc, 2801 South Webster Avenue , Green Bay, WI 54305		PC	Program/Operating Support	\$ 200.00
Challenged Athletes Inc, 9591 Waples Street , San Diego, CA 92121		PC	Program/Operating Support	\$ 100.00
Character In Athletics Inc, 4645 Ziegler Rd Unit 200 , Fort Collins, CO 80528		PC	Program/Operating Support	\$ 600.00
Charlotte Country Day School, 1440 Carmel Road , Charlotte, NC 28226		PC	Program/Operating Support	\$ 500.00
Charlotte Latin Schools Inc, 9502 Providence Road , Charlotte, NC 28277		PC	Program/Operating Support	\$ 500.00
CHARLOTTE MECKLENBURG DRUG FREE COALITION INC, 1117 EAST MOREHEAD STREET, SUITE 101, CHARLOTTE, NC 28204		PC	Program/Operating Support	\$ 150.00
Cherry Creek School District Parent Teacher Community Council Inc, 7855 S Willow Way , Centennial, CO 80112		PC	Program/Operating Support	\$ 150 00
Cherry Creek Schools Foundation, 4700 South Yosemite Street #130, Greenwood Village, CO 80111		PC	Program/Operating Support	\$ 1,000.00
Chestnut Hill Benevolent Association, 910 Boylston Street , Chestnut Hill, MA 2467		PC	Program/Operating Support	\$ 1,000 00
Child Abuse and Neglect Council Great Lakes Bay Region, 1311 N. Michigan Ave. , Saginaw, MI 48602		PC	Program/Operating Support	\$ 500.00
Child Care Resources Inc, 4600 Park Road, Suite 400 , Charlotte, NC 28209		PC	Program/Operating Support	\$ 1,000 00
Child Protective Services Community Partners Inc, 1215 Skiles St , Dallas, TX 75204		PC	Program/Operating Support	\$ 100 00
CHILDFUND INTERNATIONAL USA , 2821 EMERYWOOD PARKWAY RICHMOND, VA 23294-3726		PC	Program/Operating Support	\$ 90.00
CHILDFUND INTERNATIONAL USA, 2821 EMERYWOOD PARKWAY RICHMOND, VA 23294-3726		PC	Program/Operating Support	\$ 180.00
Childhood Lead Action Project, 1192 Westminster Street , Providence, RI 2909		PC	Program/Operating Support	\$ 125.00
Children Today, P.O. Box 16004 , Long Beach, CA 90806		PC	Program/Operating Support	\$ 500.00
Children Up, 481 Alma , Elmhurst, IL 60126		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Childrens Health Care Foundation, 2910 Centre Pointe Drive , Roseville, MN 55113		PC	Program/Operating Support	\$ 1,000.00
Childrens Hospital Corporation - Boston Children's Hospital Trust, 401 Park Drive #602 , Boston, MA 2215		PC	Program/Operating Support	\$ 500.00
Childrens Hospital Foundation, 13123 E 16th Ave Box 045, Aurora, CO 80045		PC	Program/Operating Support	\$ 250 00
Childrens Hospital Foundation, 234 E Gray Street Suite 450, Louisville, KY 40202		PC	Program/Operating Support	\$ 1,000 00
Childrens Inn at Nih T C I, 7 West Drive , Bethesda, MD 20814- 1509		PC	Program/Operating Support	\$ 500.00
Childrens Musical Theatre of Bartlesville, 600 Se Delaware Ave Ste C , Bartlesville, OK 74003-3627		PC	Program/Operating Support	\$ 1,000 00
Chinmaya Mission San Diego, 11715 Treadwell Drive , Poway, CA 92064		PC	Program/Operating Support	\$ 500.00
CHRISTINA CULTURAL ARTS CENTER INC , 705 N MARKET ST WILMINGTON, DE 19801-3008		PC	Program/Operating Support	\$ 52 00
CHRISTINA CULTURAL ARTS CENTER INC, 705 N MARKET ST WILMINGTON, DE 19801-3008		PC	Program/Operating Support	\$ 156.00
Christopher J Collins Foundation Inc, 4653 Carmel Mountain Road Suite 308-112, San Diego, CA 92130		PC	Program/Operating Support	\$ 250.00
Christopher J Collins Foundationinc, 4653 Carmel Mountain Road Suite 308-112, San Diego, CA 92130		PC	Program/Operating Support	\$ 50 00
Citizens for Affordable Housing In Newton Development Organization In, 1075 Washington Street , Newton, MA 2465		PC	Program/Operating Support	\$ 250.00
City of Slidell Main, Planning Dept P.O. Box 828, Slidell, LA 70459		PC	Program/Operating Support	\$ 500.00
City Rescue Mission of Saginaw Inc, P O. Box 548 , Saginaw, MI 48606		PC	Program/Operating Support	\$ 500.00
Clackamas Community College Foundation, 19600 South Molalla Avenue , Oregon City, OR 97045-8980		PC	Program/Operating Support	\$ 500.00
CLARK ATLANTA UNIVERSITY INC, 223 JAMES P BRAWLEY DRIVE SW, ATLANTA, GA 30314		PC	Program/Operating Support	\$ 25 00
Clark University, 950 Main Street , Worcester, MA 1610		PC	Program/Operating Support	\$ 50.00
Clearwater Arts Foundation Inc, PO Box 955 , Clearwater, FL 33757-0955		PC	Program/Operating Support	\$ 500.00
Clemente Course In the Humanities Inc, 7 Kingman Road , Amherst, MA 1002		PC	Program/Operating Support	\$ 250.00
Clemson Iptay Club, PO Box 31 , Clemson, SC 29633-0031		PC	Program/Operating Support	\$ 1,150.00
Cloud Foundation, 107 South 7th Street , Colorado Springs, CO 80905-1602		PC	Program/Operating Support	\$ 500.00
Co County Wellness Services, PO Box 8626 , Reading, PA 19601- 8626		PC	Program/Operating Support	\$ 900 00
Coalition To Unchain Dogs Inc, PO Box 3259 , Durham, NC 27715		PC	Program/Operating Support	\$ 500.00
Colburn National Foundation Inc, 270 West Circular Street , Saratoga Springs, NY 12866		PC	Program/Operating Support	\$ 1,000.00
Colgate University Treasurers Office, 13 Oak Drive , Hamilton, NY 13346		PC	Program/Operating Support	\$ 1,600.00
College of St Scholastica Inc, 1200 Kenwood Avenue , Duluth, MN 55811-4199		PC	Program/Operating Support	\$ 500 00
Colonial Flag Foundation, 9362 S 300 W , Sandy, UT 84070		PC	Program/Operating Support	\$ 500.00
Colorado Wind Ensemble, P O Box 101374 , Denver, CO 80250- 1374		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Communities Foundation of Oklahoma Inc, 2932 NW 122 Suite D, Oklahoma City, OK 73120-1955		PC	Program/Operating Support	\$ 350.00
Community Action Partnership of San Luis Obispo County Inc, 1030 Southwood Drive , Sn Luis Obisp, CA 93401		PC	Program/Operating Support	\$ 500.00
COMMUNITY FOOD BANK OF NEW JERSEY INC, 31 EVANS TERMINAL, HILLSIDE, NJ 07205		PC	Program/Operating Support	\$ 500.00
Community Food Share Inc, 650 S Taylor Ave , Louisville, CO 80027		PC	Program/Operating Support	\$ 1,000.00
Community Foundation for Southern Arizona, 2250 East Broadway Boulevard, Tucson, AZ 85719		PC	Program/Operating Support	\$ 250.00
Community Foundation for the Ohio Valley Inc, PO Box 670 , Wheeling, WV 26003		PC	Program/Operating Support	\$ 500.00
Community Foundation of Tompkins County Inc, 309 N Aurora Street , Ithaca, NY 14850		PC	Program/Operating Support	\$ 500.00
Community Hall Foundation Program Endowment, 182 South Main Street , Akron, OH 44308		PC	Program/Operating Support	\$ 1,000.00
Community Housing Resource Center, P O Box 25206 , Charlotte, NC 28229-5206		PC	Program/Operating Support	\$ 900.00
Community Partners In Action, 110 Bartholomew Avenue Suite 3010, Hartford, CT 6106		PC	Program/Operating Support	\$ 250.00
Community Solutions for Children Families and Individuals, 9015 Murrar Ave., #100 , Morgan Hill, CA 95038		PC	Program/Operating Support	\$ 1,000.00
Community Violence Intervention Center Inc-Network for Victim Recovery of D C., 5321 First Place NE , Washington, DC 20011		PC	Program/Operating Support	\$ 500.00
Compassion International Incorporated, 12290 Voyager Pkwy , Colorado Spgs, CO 80921-3668		PC	Program/Operating Support	\$ 138.00
Confederate Memorial Literary Society, 1201 E Clay St , Richmond, VA 23219		PC	Program/Operating Support	\$ 500.00
Connections for Independent Living, 1331 8th Avenue , Greeley, CO 80631		PC	Program/Operating Support	\$ 250.00
Conroe Noon Lions Club Charities Inc, PO Box 1135 , Conroe, TX 77305		PC	Program/Operating Support	\$ 500.00
Conservative Baptist Association Of America - New Life Church, 1984 McKillican St. , West Linn, OR 97068		PC	Program/Operating Support	\$ 500.00
Contra Costa Jewish Day School, 3836 Mt. Diablo Blvd. , Lafayette, CA 94549		PC	Program/Operating Support	\$ 300.00
Cornell University, 130 E Seneca Street, Ste 400 , Ithaca, NY 14850		PC	Program/Operating Support	\$ 100.00
Council of Orange County Society of St Vincent De Paul, 8014 Marine Way , Irvine, CA 92618		PC	Program/Operating Support	\$ 500.00
Cove School Inc, 350 Lee Rd , Northbrook, IL 60062-1521		PC	Program/Operating Support	\$ 500.00
Covenant House, 5 Penn Plaza , New York, NY 10001		PC	Program/Operating Support	\$ 500.00
CRISIS ASSISTANCE MINISTRY, 500 SPRATT ST STE A CHARLOTTE, NC 28206-3235		PC	Program/Operating Support	\$ 378.00
Crista Ministries - King's Schools, 19303 Fremont Avenue North , Seattle, WA 98133		PC	Program/Operating Support	\$ 250.00
Crohns & Colitis Foundation of America, 1034 South Brentwood Suite 1510, St. Louis, MO 63117		PC	Program/Operating Support	\$ 500.00
Crohns & Colitis Foundation of America, 1100 S. Mint Street Suite 204, Charlotte, NC 28203		PC	Program/Operating Support	\$ 100.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Crohns & Colitis Foundation of America, 386 Park Ave S Fl 17 , New York, NY 10016-8804		PC	Program/Operating Support	\$ 1,175.00
Crohns & Colitis Foundation of America, 45 Wilson Avenue , Manalapan, NJ 7726		PC	Program/Operating Support	\$ 250.00
Crohns & Colitis Foundation of America, 931 East 86th Street Suite 210, Indianapolis, IN 46240		PC	Program/Operating Support	\$ 250.00
Cross Eyed Missions, PO Box 688 , Waynesburg, OH 44688		PC	Program/Operating Support	\$ 1,000.00
Crossnore School Incorporated, 100 DAR Drive P. O. Box 249, Crossnore, NC 28616		PC	Program/Operating Support	\$ 750 00
Cypress Girls Softball League, PO Box 245 , Cypress, CA 90630		PC	Program/Operating Support	\$ 250.00
Cystic Fibrosis Foundation Headquarters, 114 Perimeter Road Unit G & H, Nashua, NH 3063		PC	Program/Operating Support	\$ 350.00
Cystic Fibrosis Foundation Headquarters, 6931 Arlington Road, Suite 200 , Bethesda, MD 20814		PC	Program/Operating Support	\$ 500 00
Cystic Fibrosis Foundation, 6800 Park Ten Blvd Ste 131E, San Antonio, TX 78213		PC	Program/Operating Support	\$ 250.00
D Evelyn Education Foundation, 10359 W Nassau Ave , Denver, CO 80235-1400		PC	Program/Operating Support	\$ 500.00
Dana Farber Cancer Institute Inc Steven Connolly, 44 Binney Street, BP388 , Boston, MA 2115		PC	Program/Operating Support	\$ 375.00
Dana-Farber Cancer Institute, 10 Brookline Place West 6th Floor, Brookline, MA 2445		PC	Program/Operating Support	\$ 250 00
Davidson College, Box 7174 , Davidson, NC 28035-7174		PC	Program/Operating Support	\$ 250.00
Davis Phinney Foundation, 1722 14th Street, Suite 150 , Boulder, CO 80302		PC	Program/Operating Support	\$ 100.00
DE LA SALLE ACADEMY, 202 W 97TH ST, NEW YORK, NY 10025		PC	Program/Operating Support	\$ 500.00
DEL MAR SCHOOLS EDUCATION FOUNDATION, 1303 ASHELY FALLS DRIVE, SAN DIEGO, CA 92103		PC	Program/Operating Support	\$ 250.00
Del Norte Highschool Music Boosters, 16601 Nighthawk Lane , San Diego, CA 92127		PC	Program/Operating Support	\$ 200.00
Denison University, 150 Ridge Road , Granville, OH 43023		PC	Program/Operating Support	\$ 250.00
Denver Academy Inc, 4400 E Iliff Ave , Denver, CO 80222-6019		PC	Program/Operating Support	\$ 400 00
Denver Urban Ministries, 1717 East Colfax Avenue , Denver, CO 80218		PC	Program/Operating Support	\$ 250.00
Desertarc, 73255 Country Club Dr , Palm Desert, CA 92260		PC	Program/Operating Support	\$ 135.00
Disabled Sports USA - Three Rivers Adaptive Sports, P.O. Box 38235 , Pittsburgh, PA 15238		PC	Program/Operating Support	\$ 350.00
DOCTORS WITHOUT BORDERS USA INC , 333 7TH AVE FL 2 NEW YORK, NY 10001-5029		PC	Program/Operating Support	\$ 60.00
DOCTORS WITHOUT BORDERS USA INC, 333 7TH AVE FL 2 NEW YORK, NY 10001-5029		PC	Program/Operating Support	\$ 120 00
Doctors Without Borders, 333 7th Ave Fl 2 , New York, NY 10001-5126		PC	Program/Operating Support	\$ 100.00
Domestic Abuse Support Center of Shawano County Inc, PO Box 665 , Shawano, WI 54166		PC	Program/Operating Support	\$ 150.00
Donorschoose Org, 213 W 35th St Frnt 2 # Ea , New York, NY 10001		PC	Program/Operating Support	\$ 100.00
DRESS FOR SUCCESS CHARLOTTE, 500 CLANTON ROAD, CHARLOTTE, NC 28217		PC	Program/Operating Support	\$ 40.00
Ducks Unlimited Inc, One Waterfowl Way , Memphis, TN 38120-2351		PC	Program/Operating Support	\$ 500 00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
East Bay Center for the Performing Arts, 339 11th Street , Richmond, CA 94801		PC	Program/Operating Support	\$ 1,000.00
East Greenbush Miracle League Inc, 10 McDonald Ln , Rensselaer, NY 12144-9652		PC	Program/Operating Support	\$ 300.00
Easter Seals of Greater Houston Inc - FBO Social Motion Skills, 4500 Bissonnet, Suite 340 , Bellaire, TX 77401		PC	Program/Operating Support	\$ 250.00
Education Foundation at Black Mountain, 9353 Oviedo St , San Diego, CA 92129		PC	Program/Operating Support	\$ 500.00
Educational Foundation of Alpha Gamma Rho, 10101 N.W. Ambassador Drive , Kansas City, MO 64153-1395		PC	Program/Operating Support	\$ 200.00
EGLESTON SQUARE MAIN STREETS, 3134 WASHINGTON STREET, EGLESTON SQUARE, MA, 02119		PC	Program/Operating Support	\$ 50.00
Elijah Family Homes, PO Box 3027 , Richland, WA 99354		PC	Program/Operating Support	\$ 400.00
Elizabeth Lane Elementary, 121 Elizabeth Lane , Matthews, NC 28105		PC	Program/Operating Support	\$ 125.00
Enloe Hospital Foundation, 249 W. Sixth Avenue , Chico, CA 95926		PC	Program/Operating Support	\$ 750.00
Evangel University, 1111 N. Glenstone Avenue , Springfield, MO 65802		PC	Program/Operating Support	\$ 500.00
Evansville ARC Inc, 615 W Virginia Street P.O. Box 4089, Evansville, IN 47724-0089		PC	Program/Operating Support	\$ 1,000.00
Evergreen Country Day School Inc, 1093 Swede Gultch Road , Evergreen, CO 80439		PC	Program/Operating Support	\$ 500.00
Exceptional Equestrians of the Meramec Valley Inc, P O Box 1384 , Washington, MO 63090		PC	Program/Operating Support	\$ 1,000.00
Exchange Club-Carl Perkins Center for the Prevention of Child Abuse, 213 Cheyenne Drive , Jackson, TN 38305		PC	Program/Operating Support	\$ 1,000.00
Faith Reformed Church, 220 West Central Avenue , Zeeland, MI 49464		PC	Program/Operating Support	\$ 1,000.00
Families of Spinal Muscular Atrophy, 925 Bussee Road , Elk Grove Village, IL 60007-2400		PC	Program/Operating Support	\$ 200.00
Family Concern Counseling Incorp, 2004 Valparaiso St. , Valparaiso, IN 46383		PC	Program/Operating Support	\$ 200.00
Family Resource Information and Education Network for Down Syndrome, P O Box 641 , Middletown, MD 21769		PC	Program/Operating Support	\$ 250.00
Family Service Association of Bucks County, 4 Cornerstone Drive , Langhorne, PA 19047		PC	Program/Operating Support	\$ 500.00
Family-Faculty Connection of Dingeman Elementary School, 11840 Scripps Creek Drive , San Diego, CA 92131-3701		PC	Program/Operating Support	\$ 250.00
Farmington Village Green and Library Association, 6 Montith Drive , Farmington, CT 06032-1041		PC	Program/Operating Support	\$ 500.00
FEED MORE INC , 1415 RHOADMILLER ST RICHMOND, VA 23220- 1111		PC	Program/Operating Support	\$ 150.00
FEED MORE INC, 1415 RHOADMILLER ST RICHMOND, VA 23220- 1111		PC	Program/Operating Support	\$ 300.00
Feed the People Charity, 901 Highpoint Dr. , Rocky Hill, CT 6067		PC	Program/Operating Support	\$ 100.00
Feiro Marine Life Center, PO Box 625 , Port Angeles, WA 98362		PC	Program/Operating Support	\$ 500.00
Fidelity Investments Charitable Gift Fund, P.O. Box 770001 , Cincinnati, OH 45277-0053		PC	Program/Operating Support	\$ 1,500.00
First Place, PO Box 22536 , Seattle, WA 98122		PC	Program/Operating Support	\$ 1,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIRST PRESBYTERIAN CHURCH OF SAN DIEGO, 320 DATE ST, SAN DIEGO, CA 92101		PC	Program/Operating Support	\$ 300.00
Fisher House Foundation Inc, 111 Rockville Pike Suite 420, Rockville, MD 20850		PC	Program/Operating Support	\$ 700.00
Fisher House of Boston Inc, PO Box 230, Walpole, MA 2071		PC	Program/Operating Support	\$ 125.00
FLOOD-SAN DIEGO, 3878 RUFFIN ROAD, SAN DIEGO, CA 92123		PC	Program/Operating Support	\$ 1,000.00
Florida State University Foundation Inc, PO Box 3062739, Tallahassee, FL 32306		PC	Program/Operating Support	\$ 400.00
Flying H Youth Ranch, 370 Flying H Loop, Naches, WA 98937-9440		PC	Program/Operating Support	\$ 250.00
Folds of Honor Foundation, 5800 N Patriot Drive, Owasso, OK 74055-8267		PC	Program/Operating Support	\$ 375.00
Food Bank of the Rockies, 10700 E. 45th Avenue, Denver, CO 80239		PC	Program/Operating Support	\$ 250.00
Food for the Hungry Inc, 1224 East Washington Street, Phoenix, AZ 85034		PC	Program/Operating Support	\$ 288.00
Foothill Family Service, 2500 East Foothill Boulevard Suite 300, Pasadena, CA 91107		PC	Program/Operating Support	\$ 500.00
For the Child Inc, 4565 California Avenue, Long Beach, CA 90807		PC	Program/Operating Support	\$ 1,000.00
Forest Grove Foundation Inc, 2417 Pacific Ave, Forest Grove, OR 97116		PC	Program/Operating Support	\$ 500.00
Forgotten Harvest Inc, 21800 Greenfield Road, Oak Park, MI 48237-2507		PC	Program/Operating Support	\$ 250.00
Fort Collins Rotary Club Endowment Fund, P.O. Box 438, Fort Collins, CO 80522		PC	Program/Operating Support	\$ 500.00
FORT MILL WRESTLING FOUNDATION, 1054 KILPATRICK LN FORT MILL, SC 29708-6911		PC	Program/Operating Support	\$ 120.00
FORT MILL WRESTLING FOUNDATION, 1054 KILPATRICK LN FORT MILL, SC 29708-6911		PC	Program/Operating Support	\$ 80.00
Foundation for Allen Schools, PO Box 13, Allen, TX 75013-0001		PC	Program/Operating Support	\$ 500.00
Foundation for Ucp of Greater Sacramento, 4350 Auburn Blvd, Sacramento, CA 95841		PC	Program/Operating Support	\$ 500.00
Foundation of the Rotary Club of Honolulu Hawaii, 2255 Kuhio Ave Ste 718, Honolulu, HI 96815-2658		PC	Program/Operating Support	\$ 500.00
Foundation Rwanda, Foundation Rwanda c/o Getty Images 75 Varick Street, 5th Fl, New York, NY 10013		PC	Program/Operating Support	\$ 75.00
Fresno Californias Family Community Church, 2434 East Nees Avenue, Fresno, CA 93720		PC	Program/Operating Support	\$ 500.00
Friends Association for Care & Protection of Children, 113 West Chestnut Street, West Chester, PA 19380		PC	Program/Operating Support	\$ 250.00
Friends of Children Everywhere, P.O. Box 6904, Kokomo, IN 46904		PC	Program/Operating Support	\$ 500.00
FRIENDS OF NGONG ROAD, PO BOX 2090, MINNEAPOLIS, MN, 55402		PC	Program/Operating Support	\$ 500.00
Fullerton College, 321 E. Chapman Ave., Fullerton, CA 92832		PC	Program/Operating Support	\$ 500.00
Furman University, 3300 Poinsett Highway, Greenville, SC 29613		PC	Program/Operating Support	\$ 500.00
Furry Friends Network, 391 Limestone Rd, Carlisle, PA 17015-4344		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GASTON COUNTY SCHOOLS, PO BOX 1397 GASTONIA, NC 28053 1397		PC	Program/Operating Support	\$ 180.00
Gatehouse Ministries, 2211 College View Dr , Redding, CA 96003-8601		PC	Program/Operating Support	\$ 1,000.00
Gateway To Hope, 845 North New Ballas Suite 380, St. Louis, MO 63141		PC	Program/Operating Support	\$ 100.00
General Council on Finance & Admins of the United Methodist Church - Aledo United Methodist Church, P.O. Box 126 , Aledo, TX 76008		PC	Program/Operating Support	\$ 500.00
General Council on Finance & Admins of the United Methodist Church - Christ United Methodist Church, 2375 East 3300 South , Salt Lake City, UT 84109		PC	Program/Operating Support	\$ 350.00
Georgetown University, 37th And O Streets NW , Washington, DC 20057		PC	Program/Operating Support	\$ 250.00
Global Brigades Inc, 1099 East Champlain Drive , Fresno, CA 93720		PC	Program/Operating Support	\$ 400.00
Gnanananda Seva Samajam Inc., 14423 Ashleigh Greene Road , Boyds, MD 20841		PC	Program/Operating Support	\$ 500.00
Golden Dreams Therapeutic Riding Inc, 21197 St. Louis Rd , Middleburg, VA 20117		PC	Program/Operating Support	\$ 750.00
Golf Foundation of Iowa, 12928 NW 85th Avenue , Grimes, IA 50111		PC	Program/Operating Support	\$ 150.00
Goodwill Industries of Metropolitan Chicago Inc, 30 N. Racine Avenue, Suite 230 , Chicago, IL 60607		PC	Program/Operating Support	\$ 500.00
Gould Academy, 39 Church St , Bethel, ME 4217		PC	Program/Operating Support	\$ 150.00
Grace Foundation of Northern California, P.O. Box 4692 , El Dorado Hills, CA 95762		PC	Program/Operating Support	\$ 500.00
Grassroot Institute of Hawaii Inc, 355 Merchant Street #3377 , Honolulu, HI 96817		PC	Program/Operating Support	\$ 100.00
Greater Boston Legal Services Inc, 197 Friend Street , Boston, MA 2114		PC	Program/Operating Support	\$ 550.00
GREATER CLEVELAND COUNTY SOCCER ASSOCIATION, PO BOX 3104, SHELBY, NC, 28151		PC	Program/Operating Support	\$ 100.00
Greater Edwardsville Area Community Foundation, P O Box 102 , Edwardsville, IL 62025		PC	Program/Operating Support	\$ 500.00
Greater Green Bay Community Foundation Inc, 310 W Walnut St Suite 350, Green Bay, WI 54303		PC	Program/Operating Support	\$ 800.00
Greater Tacoma Community Foundation, 950 Pacific Ave Ste 1100 , Tacoma, WA 98402		PC	Program/Operating Support	\$ 1,000.00
Green Fields Country Day School, 6000 N Camino De La Tierra , Tucson, AZ 85741		PC	Program/Operating Support	\$ 500.00
GREENPEACE FUND INC , 702 H ST NW STE 300 WASHINGTON, DC 20001-3876		PC	Program/Operating Support	\$ 30.00
GREENPEACE FUND INC, 702 H ST NW STE 300 WASHINGTON, DC 20001-3876		PC	Program/Operating Support	\$ 60.00
Grossmont High School Educational Foundation, 1100 Murray Dr , El Cajon, CA 92020		PC	Program/Operating Support	\$ 150.00
Growth Works Inc, 271 S Main St , Plymouth, MI 48170		PC	Program/Operating Support	\$ 200.00
Guardians of the Jewish Home for the Aged, 10780 Santa Monica Boulevard Suite 225, Los Angeles, CA 90025		PC	Program/Operating Support	\$ 300.00
Gulf Coast Symphony Orchestra Inc, 11975 Seaway Road, Suite A130 , Gulfport, MS 39503		PC	Program/Operating Support	\$ 500.00
Gustavus Adolphus College, 800 West College Avenue , Saint Peter, MN 56082		PC	Program/Operating Support	\$ 700.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Habitat for Humanity International Inc, 420 East English , Wichita, KS 67202		PC	Program/Operating Support	\$ 175.00
Habitat for Humanity International Inc, 747 Old Spanish Trail , Slidell, LA 70458		PC	Program/Operating Support	\$ 250.00
Habitat for Humanity Tucson Inc, 621 W Lester St , Tucson, AZ 85705-6432		PC	Program/Operating Support	\$ 500.00
Hardee Animal Rescue Team Inc, P.O. Box 2486 , Wauchula, FL 33873		PC	Program/Operating Support	\$ 500.00
Harvest Hope Food Bank, 2220 Shop Road , Columbia, SC 29201		PC	Program/Operating Support	\$ 500.00
Haskell Free Library Inc, P.O. Box 337 , Derby Line, VT 5830		PC	Program/Operating Support	\$ 250.00
Hastings Area Swim Team Boosters Inc, PO Box 573 , Hastings, MN 55033-0573		PC	Program/Operating Support	\$ 500.00
Hastings Catholic Schools, 521 North Kansas Ave , Hastings, NE 68901		PC	Program/Operating Support	\$ 1,000.00
Hastings Education Foundation Inc, PO Box 565 , Hastings-on-hudson, NY 10706-0565		PC	Program/Operating Support	\$ 240.00
Haven Crisis Pregnancy Center Inc, 132 Ganttown Road , Turnersville, NJ 8012		PC	Program/Operating Support	\$ 100.00
Havenhouse St Louis, 12685 Olive Blvd , Saint Louis, MO 63141		PC	Program/Operating Support	\$ 500.00
Hawaii Youth Symphony Association, 1110 University Avenue Suite 200 , Honolulu, HI 96826		PC	Program/Operating Support	\$ 500.00
HBHS Model United Nations Booster Club, 1905 Main Street , Huntingtn Bch, CA 92648		PC	Program/Operating Support	\$ 200.00
Hear Us Inc, 115 E Ogden Ave., #117-329 , Naperville, IL 60563		PC	Program/Operating Support	\$ 250.00
HEIFER INTERNATIONAL FOUNDATION, 1 WORLD AVENUE, LITTLE ROCK, AR, 72202		PC	Program/Operating Support	\$ 500.00
Helping Hand Center, 9649 W. 55th St. , Countryside, IL 60525		PC	Program/Operating Support	\$ 300.00
Hendersonville Samaritan Association, 116 Dunn Street , Hendersonville, TN 37075		PC	Program/Operating Support	\$ 500.00
Heritage Foundation, 214 Massachusetts Ave NE , Washington, DC 20002-4958		PC	Program/Operating Support	\$ 500.00
HERITAGE LUTHERAN CHURCH,		PC	Program/Operating Support	\$ 500.00
HIGHLAND CREEK ELEM , 7242 HIGHLAND CREEK CHARLOTTE, NC 28269		PC	Program/Operating Support	\$ 60.00
HIGHLAND CREEK ELEM, 7242 HIGHLAND CREEK CHARLOTTE, NC 28269		PC	Program/Operating Support	\$ 120.00
Highland Parent Teacher Organization, 701 NW Newport Ave , Bend, OR 97701		PC	Program/Operating Support	\$ 250.00
Hilltopper Athletic Foundation Inc, Western Kentucky University 1605 Avenue of Champions, Bowling Green, KY 42101		PC	Program/Operating Support	\$ 500.00
Hole In the Wall Gang Fund Inc, 555 Long Wharf Drive , New Haven, CT 06511-6107		PC	Program/Operating Support	\$ 1,000.00
Holy Name of Mary School Endowment Fund, 724 East Bonita Avenue , San Dimas, CA 91773		PC	Program/Operating Support	\$ 500.00
Home Start Incorporated, 5005 Texas St Ste 203 , San Diego, CA 92108		PC	Program/Operating Support	\$ 500.00
Homeless Shelter Action Committee Inc-Good Neighbor Homeless Shelter, 110 Porter St PO Box 664, Cartersville, GA 30120		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Hope & Heroes Childrens Cancer Fund, 161 Fort Washington Avenue Irving Pavilion, 7th Floor, New York, NY 10032		PC	Program/Operating Support	\$ 260 00
Hope House Inc, P.O. Box 577 , Lees Summit, MO 64063		PC	Program/Operating Support	\$ 500.00
Hope Pregnancy Centers of Brazos Valley Inc, 205 Brentwood Dr E , College Station, TX 77840		PC	Program/Operating Support	\$ 100.00
Hoping Hearts Foundation Inc, 251 W Central Avenue 278 , Springboro, OH 45066		PC	Program/Operating Support	\$ 1,500.00
HORIZON CHRISTIAN FELLOWSHIP RANCHO SANTA FE, 6365 EL APAJO, RANCHO SANTA FE, CA, 92067		PC	Program/Operating Support	\$ 500.00
Horizon Christian Fellowship, PO Box 17480 , San Diego, CA 92177		PC	Program/Operating Support	\$ 500.00
Horizon House of Illinois Valley Inc, 2000 Plank Road , Peru, IL 61354		PC	Program/Operating Support	\$ 150 00
Horse and Buddy, PO Box 675 , Apex, NC 27502		PC	Program/Operating Support	\$ 500.00
Hoshyar Foundation, P.O. Box 28502 , Austin, TX 78755		PC	Program/Operating Support	\$ 100.00
Hospice of the Hills Inc, PO Box 1927 , Harrison, AR 72602		PC	Program/Operating Support	\$ 500.00
House of Mercy Inc, P O. Box 808 701 Mercy Drive, Belmont, NC 28012		PC	Program/Operating Support	\$ 50.00
Houston Junior Womans Club Charitable Fund, PO Box 19684 , Houston, TX 77224		PC	Program/Operating Support	\$ 500 00
Houston Livestock Show & Rodeo Inc, PO Box 20070 , Houston, TX 77225		PC	Program/Operating Support	\$ 500.00
Houston Masterworks Chorus Inc, 4119 Montrose Blvd Ste 260 , Houston, TX 77006		PC	Program/Operating Support	\$ 1,000 00
HUMANE FARMING ASSOCIATION, PO BOX 3577, SAN RAFAEL, CA 94912		PC	Program/Operating Support	\$ 120.00
HUMANE SOCIETY OF CHARLOTTE INC , 2700 TOOMEY AVE CHARLOTTE, NC 28203-5556		PC	Program/Operating Support	\$ 72.00
HUMANE SOCIETY OF CHARLOTTE INC, 2700 TOOMEY AVE CHARLOTTE, NC 28203-5556		PC	Program/Operating Support	\$ 164.00
Humane Society of Charlotte Inc, 2700 Toomey Avenue , Charlotte, NC 28203		PC	Program/Operating Support	\$ 150.00
HUMANE SOCIETY OF CONCORD & GREATER CABARRUS COUNTY , PO BOX 5347 CONCORD, NC 28027-1506		PC	Program/Operating Support	\$ 150.00
HUMANE SOCIETY OF CONCORD & GREATER CABARRUS COUNTY, PO BOX 5347 CONCORD, NC 28027-1506		PC	Program/Operating Support	\$ 325.00
Humane Society of Scott County, 2802 W Central Park , Davenport, IA 52804		PC	Program/Operating Support	\$ 1,100.00
Humane Society of South Coastal Georgia Inc, 4627 US Hwy 17N , Brunswick, GA 31525		PC	Program/Operating Support	\$ 500.00
Humane Society of the Pikes Peak Region, 610 Abbott Lane , Colorado Springs, CO 80905		PC	Program/Operating Support	\$ 200.00
Humane Society of West Michigan, 3077 Wilson Drive NW , Grand Rapids, MI 49534		PC	Program/Operating Support	\$ 500.00
Humanity First USA, 15000 Good Hope Rd , Silver Spring, MD 20905		PC	Program/Operating Support	\$ 100.00
Ice Sports Association, 108 S Dakota Avenue , Sioux Falls, SD 57104		PC	Program/Operating Support	\$ 500 00
Imerman Angels, 400 W Eriesuite 405 , Chicago, IL 60610		PC	Program/Operating Support	\$ 2,000.00
Imparting His Presence Ministries Inc, 961 Persimmon Point NE , Atlanta, GA 30328		PC	Program/Operating Support	\$ 1,000.00
Indiana & Mich Mennonite Camp Association - Amigo Centre, 26455 Banker Street Rd , Sturgis, MI 49091		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Indiana Easter Seal Society for Crippled Children & Adults Inc, 3701 Bellemeade Ave., Evansville, IN 47714		PC	Program/Operating Support	\$ 650.00
Indiana University Foundation, PO Box 500, Bloomington, IN 47402-2298		PC	Program/Operating Support	\$ 500.00
Institute for Christian and Jewish Studies, Inc., 956 Dulaney Valley Road, Baltimore, MD 21204		PC	Program/Operating Support	\$ 500.00
International Bodhisattva Sangha, 12584 Sora Way, San Diego, CA 92129		PC	Program/Operating Support	\$ 3,500.00
INTERVARSITY CHRISTIAN FELLOWSHIP- USA, 6400 SCHROEDER RD MADISON, WI 53711-2424		PC	Program/Operating Support	\$ 60.00
INTERVARSITY CHRISTIAN FELLOWSHIP- USA, 6400 SCHROEDER RD MADISON, WI 53711-2424		PC	Program/Operating Support	\$ 360.00
INTL FEDERATION OF RED CROSS AND RED CRESCENT SOC AT THE U N INC, 420 LEXINGTON AVE, RM 2811, NEW YORK, NY 10170-2811		PC	Program/Operating Support	\$ 70.00
Iolani School, 563 Kamoku St, Honolulu, HI 96826-5245		PC	Program/Operating Support	\$ 250.00
Iona College, 715 North Avenue, New Rochelle, NY 10801		PC	Program/Operating Support	\$ 300.00
IREDELL COUNTY KIDNEY DIALYSIS ORGANIZATION INC, 244 BEECH BROOK LN STATESVILLE, NC 28625-8746		PC	Program/Operating Support	\$ 30.00
IREDELL COUNTY KIDNEY DIALYSIS ORGANIZATION INC, 244 BEECH BROOK LN STATESVILLE, NC 28625-8746		PC	Program/Operating Support	\$ 50.00
Isaiahs Legacy, 310 Eastdale Cir, Montgomery, AL 36117-2112		PC	Program/Operating Support	\$ 1,500.00
Jacksonville University, 2800 University Blvd. N, Jacksonville, FL 32211		PC	Program/Operating Support	\$ 500.00
JACOBS & CUSHMAN SAN DIEGO FOOD BANK, 9850 DISTRIBUTION AVE SAN DIEGO, CA 92121-2320		PC	Program/Operating Support	\$ 60.00
JACOBS & CUSHMAN SAN DIEGO FOOD BANK, 9850 DISTRIBUTION AVE SAN DIEGO, CA 92121-2320		PC	Program/Operating Support	\$ 120.00
JACOBS LADDER JOB CENTER INC, 704 W 5TH ST, CHARLOTTE, NC 28202		PC	Program/Operating Support	\$ 500.00
Jazz Sanctuary Inc, 916 South Swansons Street, Philadelphia, PA 19147-4332		PC	Program/Operating Support	\$ 500.00
JDRF International, 5665 Oberlin Drive Suite 106, San Diego, CA 92121		PC	Program/Operating Support	\$ 675.00
JDRF International, 7000 SW Hampton St. Suite 101, Portland, OR 97223		PC	Program/Operating Support	\$ 100.00
JDRF International, 811 Wilshire Blvd Suite 1600, Los Angeles, CA 900017		PC	Program/Operating Support	\$ 250.00
Jerabek Elementary Family Faculty Organization Inc, 10050 Avenida Magnifica, San Diego, CA 92131		PC	Program/Operating Support	\$ 270.00
Jesuit High School of New Orleans, 4133 Banks St, New Orleans, LA 70119-6811		PC	Program/Operating Support	\$ 200.00
JEWISH FAMILY SERVICE OF SAN DIEGO, 8804 BALBOA AVE SAN DIEGO, CA 92123-1506		PC	Program/Operating Support	\$ 120.00
JEWISH FAMILY SERVICE OF SAN DIEGO, 8804 BALBOA AVE SAN DIEGO, CA 92123-1506		PC	Program/Operating Support	\$ 260.00
Jewish Federation of the Bluegrass Inc, 1050 Chinoe Road Suite 112, Lexington, KY 40502		PC	Program/Operating Support	\$ 200.00
John Hopkins University N4327b, 1101 E 33rd St Ste D200, Baltimore, MD 21218-3637		PC	Program/Operating Support	\$ 100.00
JOHNSON C SMITH UNIVERSITY INCORPORATED, 100 BEATTIES FORD RD CHARLOTTE, NC 28216-5302		PC	Program/Operating Support	\$ 60.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOHNSON C SMITH UNIVERSITY INCORPORATED, 100 BEATTIES FORD RD CHARLOTTE, NC 28216-5302		PC	Program/Operating Support	\$ 620.00
Johnstown Christian School, 125 Christian School Rd , Hollsopple, PA 15935-8419		PC	Program/Operating Support	\$ 700.00
Judith Karman Hospice Inc, PO Box 818 , Stillwater, OK 74076-0818		PC	Program/Operating Support	\$ 1,000.00
Junior Achievement of Arkansas, 1501 North University Avenue Suite 670, Little Rock, AR 72207		PC	Program/Operating Support	\$ 500.00
Junior Achievement of Central Carolinas, 201 South Tryon St. LL100 , Charlotte, NC 28202		PC	Program/Operating Support	\$ 507.75
Junior Achievement of Kentuckiana Inc, 1401 W. Muhammed Ali Blvd. , Louisville, KY 40203		PC	Program/Operating Support	\$ 200.00
Junior Achievement Eastern North Carolina Inc, 4900 Waters Edge Drive Suite 175, Raleigh, NC 27606		PC	Program/Operating Support	\$ 500.00
Junior Auxiliary of Slidell Louisiana Inc, PO Box 1486 , Slidell, LA 70459		PC	Program/Operating Support	\$ 500.00
Junior League of San Jose Inc, 1615 Dry Creek Road , San Jose, CA 95125		PC	Program/Operating Support	\$ 200.00
Junior Womans Club of Charlotte Foundation Inc, PO Box 471445 , Charlotte, NC 28247-1445		PC	Program/Operating Support	\$ 100.00
Just In Time for Foster Youth, P.O. Box 81292 , San Diego, CA 92138		PC	Program/Operating Support	\$ 2,000.00
Juvenile Diabetes Research Foundation International, 17992 Mitchell South, Suite 100 , Irvine, CA 92614		PC	Program/Operating Support	\$ 500.00
Juvenile Diabetes Research Foundation International, 28 Kennedy Blvd Suite 180, East Brunswick, NJ 8816		PC	Program/Operating Support	\$ 200.00
Juvenile Diabetes Research Foundation International, 4343 E. Camelback Rd , Phoenix, AZ 85018		PC	Program/Operating Support	\$ 100.00
Juvenile Diabetes Research Foundation International, 49 Stevenson St. Ste 1200 , San Francisco, CA 94105		PC	Program/Operating Support	\$ 200.00
Keith Bovenschen School, 12345 Frazho Road , Warren, MI 48089		PC	Program/Operating Support	\$ 500.00
Kenny Rogers Childrens Center, PO Box 608 , Sikeston, MO 63801-0608		PC	Program/Operating Support	\$ 500.00
Kent Center for Human & Organizational Development Inc, 2756 Post Rd 104 , Warwick, RI 2886		PC	Program/Operating Support	\$ 500.00
Kent Denver Country Day School, 4000 E Quincy Ave , Englewood, CO 80113-4916		PC	Program/Operating Support	\$ 100.00
Kids Around the World Inc, 2424 Charles Street , Rockford, IL 61125-0225		PC	Program/Operating Support	\$ 150.00
Kids First Center, 222 St. John St. Suite 101 , Portland, ME 4102		PC	Program/Operating Support	\$ 125.00
KINGDOM BUILDERS CHURCH INTERNATIONAL, 10708 TAVERNAY PKWY CHARLOTTE, NC 28262-4451		PC	Program/Operating Support	\$ 374.94
Kirk of the Hills Presbyterian Church - Kirk Day School, 12928 Ladue Road , Saint Louis, MO 63141		PC	Program/Operating Support	\$ 1,000.00
Kirkwood School District Foundation, 11289 Manchester Rd , Kirkwood, MO 63122-1122		PC	Program/Operating Support	\$ 300.00
Kosciusko County Community Foundation Inc, 102 E Market St , Warsaw, IN 46580-2806		PC	Program/Operating Support	\$ 650.00
L S U Foundation - University Laboratory School, 45 Dalrymple Drive , Baton Rouge, LA 70803		PC	Program/Operating Support	\$ 250.00
La Costa Heights Elementary, 3035 Levante Street , Carlsbad, CA 92009-8331		PC	Program/Operating Support	\$ 450.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LABRADORS AND FRIENDS DOG RESCUE GROUP INC, 2307 FENTON PARKWAY #107-160, SAN DIEGO, CA 92108		PC	Program/Operating Support	\$ 400 00
LABRADORS AND FRIENDS DOG RESCUE GROUP INC, 2308 FENTON PARKWAY #107-160, SAN DIEGO, CA 92108		PC	Program/Operating Support	\$ 100.00
Laguna Relief and Resource Coalition, 20652 Laguna Canyon Road , Laguna Beach, CA 92651		PC	Program/Operating Support	\$ 200.00
Lake Hopatcong Foundation A New Jersey Nonprofit Corporation, 37 Nolan's Point Park Road , Lake Hopatcong, NJ 7849		PC	Program/Operating Support	\$ 250.00
Lake-Geauga Recovery Centers Inc, 9083 Mentor Avenue , Mentor, OH 44060		PC	Program/Operating Support	\$ 400.00
Lance Armstrong Foundation Events, 2201 East 6th Street , Austin, TX 78702-3456		PC	Program/Operating Support	\$ 100 00
Latvian Culture Center of Colorado, 10705 West Virginia Avenue , Lakewood, CO 80226		PC	Program/Operating Support	\$ 500.00
Law As Ministry, 2053 Silver Creek Drive , Colorado Springs, CO 80921		PC	Program/Operating Support	\$ 500 00
League To Save Lake Tahoe, 2608 Lake Tahoe Blvd , S Lake Tahoe, CA 96150		PC	Program/Operating Support	\$ 500.00
Leavener Ministry Inc, 12212 Princewood Ct , Fishers, IN 46037		PC	Program/Operating Support	\$ 500.00
Legacy Community Health Services Inc, P O. Box 66308 , Houston, TX 77266		PC	Program/Operating Support	\$ 500.00
Lehigh County Conference of Churches, 534 Chew Street , Allentown, PA 18102		PC	Program/Operating Support	\$ 200.00
Leukemia & Lymphoma Society Inc, 1210 Pontiac Avenue , Cranston, RI 2920		PC	Program/Operating Support	\$ 800.00
Leukemia & Lymphoma Society Inc, 1218 Arion Parkway, Suite 102 , San Antonio, TX 78216		PC	Program/Operating Support	\$ 1,800.00
Leukemia & Lymphoma Society Inc, 1311 Mamaroneck Ave. , White Plains, NY 10605		PC	Program/Operating Support	\$ 1,450 00
Leukemia & Lymphoma Society Inc, 4053 Maple Road Suite 110 , Amherst, NY 14226		PC	Program/Operating Support	\$ 250.00
Leukemia & Lymphoma Society Inc, 4530 Park Road Suite 240, Charlotte, NC 28209		PC	Program/Operating Support	\$ 850.00
Leukemia & Lymphoma Society Inc, 675 N First Street Suite 100, San Jose, CA 95112		PC	Program/Operating Support	\$ 100.00
Leukemia & Lymphoma Society Inc, 675 North First Street Suite 1100, San Jose, CA 95112		PC	Program/Operating Support	\$ 50.00
Leukemia & Lymphoma Society Inc, 9 Erie Drive Suite 101 , Natick, MA 1760		PC	Program/Operating Support	\$ 500.00
Leukemia & Lymphoma Society Inc, 9150 Chesapeake Drive Suite 100, San Diego, CA 92123		PC	Program/Operating Support	\$ 500.00
Leukemia & Lymphoma Society Inc, 9320 SW Barbur Boulevard Suite 140, Portland, OR 97219		PC	Program/Operating Support	\$ 1,000 00
Leukemia and Lymphoma Southern Florida & Puerto Rico Chapter, 200 South Park Road Suite 140, Hollywood, FL 33021		PC	Program/Operating Support	\$ 3,503.48
LexArts, Inc., 161 North Mill , Lexington, KY 40507		PC	Program/Operating Support	\$ 100 00
Lexington Humane Society, 1600 Old Frankfort Pike , Lexington, KY 40504		PC	Program/Operating Support	\$ 100.00
Liberty Road Foundation, 16625 Redmond Way No M-323 , Redmond, WA 98052		PC	Program/Operating Support	\$ 250 00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Life Skills Fore Pasadena Youth Inc, 1133 Rosemont Ave , Pasadena, CA 91103		PC	Program/Operating Support	\$ 500.00
Lifesong for Orphans Inc, 202 N Ford St , Gridley, IL 61744-3902		PC	Program/Operating Support	\$ 1,000.00
Livestrong Foundation, National Mail Processing Center PO Box 6003, Albert Lea, MN 56007		PC	Program/Operating Support	\$ 50 00
Logan Heights Veterans Memorial Committee, 3748 Cresta Bonita Drive , Bonita, CA 91902		PC	Program/Operating Support	\$ 990.00
Logansport Community High School, 1 Berry Lane , Logansport, IN 46947		PC	Program/Operating Support	\$ 250.00
Longmont Meals on Wheels Inc, 910 Longs Peak Ave , Longmont, CO 80501		PC	Program/Operating Support	\$ 350.00
Los Penasquitos Academy Foundation, 14125 Cuca Street , San Diego, CA 92129		PC	Program/Operating Support	\$ 500.00
Love in the Name of Christ of Yakima, 811 West Yakima Avenue Suite 104, Yakima, WA 98902		PC	Program/Operating Support	\$ 300 00
Lower Cape May Little League, P O. Box 775 , North Cape May, NJ 8204		PC	Program/Operating Support	\$ 500.00
LPL FINANCIAL CHARITABLE FOUNDATION INC, 4828 Parkway Plaza Att: Kathleen Zemaitis Charlotte, NC 28217		PC	Program/Operating Support	\$ 332.45
Lung Cancer Alliance, 888 16th St Nw Ste 150 , Washington, DC 20006		PC	Program/Operating Support	\$ 100.00
Lutheran Church Missouri Synod Foundation, 1333 South Kirkwood Road , Saint Louis, MO 63122		PC	Program/Operating Support	\$ 1,000.00
Lutheran Church of Our Saviour, 4153 Rainbow Boulevard , Kansas City, KS 66103		PC	Program/Operating Support	\$ 250.00
Lymphoma Research Foundation, 115 Broadway Rm 1301 , New York, NY 10006-1623		PC	Program/Operating Support	\$ 550.00
Macdonell United Methodist Childrens Services Inc, 8326 Main St , Houma, LA 70363		PC	Program/Operating Support	\$ 500.00
Madison Education Foundation Inc, PO Box 43 , Rexburg, ID 83440		PC	Program/Operating Support	\$ 1,000.00
Madison Memorial Hospital Foundation Inc, PO Box 310 , Rexburg, ID 83440		PC	Program/Operating Support	\$ 300.00
Madison Rotary Foundation Inc, PO Box 335 , Madison, CT 6443		PC	Program/Operating Support	\$ 500.00
Main Line Deputy Dog, P.O. Box 200 , Wayne, PA 19087		PC	Program/Operating Support	\$ 100.00
Main Street Pauls Valley Inc, PO Box 986 , Pauls Valley, OK 73075-0986		PC	Program/Operating Support	\$ 150 00
Main Street Philanthropy Inc, 3214 Bayflower Avenue , Saint Cloud, FL 34773		PC	Program/Operating Support	\$ 250.00
Maine Adaptive Sports & Recreation, 8 Sundance Lane , Newry, ME 4261		PC	Program/Operating Support	\$ 269.23
Maine Music Society, 221 Lisbon Street , Lewiston, ME 4240		PC	Program/Operating Support	\$ 500.00
Mainegeneral Health and Affiates, P.O. Box 828 , Waterville, ME 4903		PC	Program/Operating Support	\$ 200 00
Make A Wish Foundatin of New Jersey, 1034 Salem Road , Union, NJ 7083		PC	Program/Operating Support	\$ 400.00
Make A Wish Foundation of America, 4742 N. 24th Street Suite 400, Phoenix, AZ 85016		PC	Program/Operating Support	\$ 100.00
Make-A Wish Foundation of San Diego Inc, 2440 Hotel Circle North Ste 200, San Diego, CA 92108		PC	Program/Operating Support	\$ 100.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Make-A-Wish Foundation of North Dakota, 1102 43rd St S Ste E , Fargo, ND 58103		PC	Program/Operating Support	\$ 250.00
March of Dimes Foundation, 1019 West 9th Avenue , King Of Prussia, PA 19406		PC	Program/Operating Support	\$ 200.00
March of Dimes Foundation, 1275 Mamaroneck Ave , White Plains, NY 10605-5201		PC	Program/Operating Support	\$ 100.00
March of Dimes Foundation, 3550 N. Central Ave. Ste. 610, Phoenix, AZ 85012		PC	Program/Operating Support	\$ 400.00
Marillac Clinic Inc, 2333 North 6th Street , Grand Junction, CO 81501		PC	Program/Operating Support	\$ 200.00
Marist School inc, 3790 A Dunwoody Road Ne , Atlanta, GA 30319		PC	Program/Operating Support	\$ 100.00
Marriageteam, PO Box 873086 , Vancouver, WA 98687-3086		PC	Program/Operating Support	\$ 900.00
Mary Hitchcock Memorial Hospital, 1 Medical Center Dr , Lebanon, NH 03756-1000		PC	Program/Operating Support	\$ 200.00
Mary Institute and Saint Louis Country Day School, 101 N Warson Rd , Saint Louis, MO 63124		PC	Program/Operating Support	\$ 500.00
MASSACHUSETTS SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS, 350 S HUNTINGTON AVE BOSTON, MA 02130-4803		PC	Program/Operating Support	\$ 180.00
Matthew 25 Ministries Inc, 11060 Kenwood Rd , Blue Ash, OH 45242		PC	Program/Operating Support	\$ 250.00
Mattingly Center Inc, 1520 Baxter Ave , Louisville, KY 40205-1009		PC	Program/Operating Support	\$ 500.00
Maui Preparatory Academy, PMB#186 5095 Napilihau St. #109B, Lahaina, HI 96761		PC	Program/Operating Support	\$ 500.00
Mcquaid Jesuit High School, 1800 S Clinton Ave , Rochester, NY 14618-2608		PC	Program/Operating Support	\$ 250.00
Meadowbrook Middle, Academy Program 12320 Meadowbrook Ln, Poway, CA 92064		PC	Program/Operating Support	\$ 500.00
Mecklenburg Area Catholic Schools, 1123 S Church St , Charlotte, NC 28203-4003		PC	Program/Operating Support	\$ 100.00
Memorial Health System - Abraham Lincoln Healthcare Foundation, 200 Stahlhut Drive , Lincoln, IL 62656		PC	Program/Operating Support	\$ 1,000.00
Merrick Inc., 3210 Labore Road , St Paul, MN 55110		PC	Program/Operating Support	\$ 500.00
Metro Hope Ministries Inc, 2739 Cedar Ave S , Minneapolis, MN 55407		PC	Program/Operating Support	\$ 3,500.00
Mibb Foundation Inc, 6735 Telegraph Road , Bloomfield Hills, MI 48301		PC	Program/Operating Support	\$ 800.00
MICHAEL J FOX FOUNDATION FOR PARKINSONS RESEARCH , 498 SEVENTH AVE 18 FLOOR NEW YORK, NY 10018-6701		PC	Program/Operating Support	\$ 126.00
MICHAEL J FOX FOUNDATION FOR PARKINSONS RESEARCH, 498 SEVENTH AVE 18 FLOOR NEW YORK, NY 10018-6701		PC	Program/Operating Support	\$ 252.00
MICHAEL PIEGARE FOUNDATION INC, 5 ROUGH RIDERS COURT, COLD SPRING HARBOR, NY, 11724		PC	Program/Operating Support	\$ 500.00
Michigan Christian Youth Camp, 820 North Lake George Rd , Attica, MI 48412		PC	Program/Operating Support	\$ 250.00
Michigan Opera Theatre, 1526 Broadway St , Detroit, MI 48226-2115		PC	Program/Operating Support	\$ 500.00
Michigan Samoyed Rescue, PO Box 90641 , Burton, MI 48509		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Michigan State University, Spartan Way 535 Chestnut Rd, Room 300, East Lansing, MI 48824-1005		PC	Program/Operating Support	\$ 100.00
Middle Tennessee State University Foundation, MTSU Box 109 , Murfreesboro, TN 37132		PC	Program/Operating Support	\$ 940.00
Million Dollar Round Table Foundation, 325 West Touhy Avenue , Park Ridge, IL 60068		PC	Program/Operating Support	\$ 250.00
Minnesota Military Family Foundation, 620 Mendelsan Avenue N Suite 101 , Golden Valley, MN 55427		PC	Program/Operating Support	\$ 250.00
MIRACLE BABIES , 8745 Aero Drive, Suite 111 SAN DIEGO, CA 92123		PC	Program/Operating Support	\$ 120.00
MIRACLE BABIES, 8745 Aero Drive, Suite 111 SAN DIEGO, CA 92123		PC	Program/Operating Support	\$ 180.00
Miracle League of Green Bay, 1900 Libal Street , Green Bay, WI 54301		PC	Program/Operating Support	\$ 500.00
Mission Bay Performance Paddlers-San Diego Canoe and Kayak Team, 4131 Raffee Drive , San Diego, CA 92117		PC	Program/Operating Support	\$ 500.00
Mission Hospital Regional Medical Center, 27700 Medical Center Road , Mission Viejo, CA 92691-6426		PC	Program/Operating Support	\$ 500.00
Mission4maureen, 1568 S. Green Rd #21602 , South Euclid, OH 44121		PC	Program/Operating Support	\$ 250.00
Mobile Symphony Inc, 257 Dauphin St , Mobile, AL 36602-2719		PC	Program/Operating Support	\$ 500.00
Moraga Educational Foundation, P.O. Box 34 , Moraga, CA 94556		PC	Program/Operating Support	\$ 1,250.00
MORGAN MEMORIAL GOODWILL INDUSTRIES INC, 1010 HARRISON AVE, BOSTON, MA 02119		PC	Program/Operating Support	\$ 100.00
Mount Saint Marys University, 16300 Old Emmitsburg Road , Emmitsburg, MD 21727-9989		PC	Program/Operating Support	\$ 500.00
MS VIEWS AND NEWS INC, 777 NW 72ND AVE, SUITE 3005, MIAMI, FL, 33126		PC	Program/Operating Support	\$ 400.00
MS VIEWS AND NEWS INC, 778 NW 72ND AVE, SUITE 3005, MIAMI, FL, 33126		PC	Program/Operating Support	\$ 100.00
Muncie Mission Ministries Incorporated, PO Box 2349 , Muncie, IN 47307		PC	Program/Operating Support	\$ 200.00
Muscular Dystrophy Association, 951 Werner Court #385 , Casper, WY 82601		PC	Program/Operating Support	\$ 200.00
Muslim Community Services of San Diego, 4203 Genesee Avenue , San Diego, CA 92117		PC	Program/Operating Support	\$ 100.00
NAMI METROWEST INC, 82 BRIGHAM ST, MARLBOROUGH, MA 01752		PC	Program/Operating Support	\$ 200.00
Nansemond-Suffolk Academy Association Incorporated, 3373 Pruden Blvd. , Suffolk, VA 23434-7235		PC	Program/Operating Support	\$ 100.00
Nashua Soup Kitchen And Shelter Incorporated, 42 Chestnut St PO Box 3116, Nashua, NH 03061-3116		PC	Program/Operating Support	\$ 1,500.00
National Association of Watch and Clock Collectors Inc, 514 Poplar St. , Columbia, PA 17512-2130		PC	Program/Operating Support	\$ 250.00
National Exchange Club Foundation Inc, 3050 West Central Avenue , Toledo, OH 43606		PC	Program/Operating Support	\$ 750.00
National Lung Cancer Partnership, 1 Point Pl, Ste 200 , Madison, WI 53719		PC	Program/Operating Support	\$ 550.00
National Multiple Sclerosis Society, 1 Kalisa Way Ste 205, Paramus, NJ 7652		PC	Program/Operating Support	\$ 250.00
National Multiple Sclerosis Society, 1117 Perimeter Ctr W Ste E101 , Atlanta, GA 30338-5466		PC	Program/Operating Support	\$ 2,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
National Multiple Sclerosis Society, 12121 Scripps Summit Drive #190 , San Diego, CA 92131		PC	Program/Operating Support	\$ 520.00
National Multiple Sclerosis Society, 1867 Lackland Hill Parkway , Saint Louis, MO 63146		PC	Program/Operating Support	\$ 1,250.00
National Multiple Sclerosis Society, 21311 Civic Center Drive , Southfield, MI 48076		PC	Program/Operating Support	\$ 100.00
National Multiple Sclerosis Society, 30 South 17th Street, Suite 800 , Philadelphia, PA 19103		PC	Program/Operating Support	\$ 120.00
National Multiple Sclerosis Society, 733 Third Avenue , New York, NY 10017-3204		PC	Program/Operating Support	\$ 250.00
National Multiple Sclerosis Society, 7611 State Line, Suite 100 , Kansas City, MO 64114		PC	Program/Operating Support	\$ 450.00
National Multiple Sclerosis Society, 8111 N. Stadium Drive Suite 100, Houston, TX 77054		PC	Program/Operating Support	\$ 1,000.00
National Multiple Sclerosis Society, 900 South Broadway Suite 200, Denver, CO 80209		PC	Program/Operating Support	\$ 900.00
National Multiple Sclerosis Society, 900 South Broadway Suite 250, Denver, CO 80209		PC	Program/Operating Support	\$ 500.00
National Multiple Sclerosis Society, PO Box 845945 , Boston, MA 02284-5945		PC	Program/Operating Support	\$ 520.00
National Multiple Sclerosis Society, Upstate New York Chapter 1650 South Ave., Suite 100, Rochester, NY 14620		PC	Program/Operating Support	\$ 120.00
National Wild Turkey Federation Inc, 2780 Highway V , Cape Girardeau, MO 63701		PC	Program/Operating Support	\$ 300.00
Natural Science Center of Greensboro Inc, 4301 Lawndale Drive , Greensboro, NC 27455		PC	Program/Operating Support	\$ 500.00
Ncsu Student Aid Association Inc - The Wolfpack Club, PO Box 37100 , Raleigh, NC 27627		PC	Program/Operating Support	\$ 1,900.00
Nebula Dance Lab, PO Box 30245 , Santa Barbara, CA 93130		PC	Program/Operating Support	\$ 400.00
Network of Victim Assistance In Bucks County, 2370 York Road Suite B1 Commonwydd, Jamison, PA 18929		PC	Program/Operating Support	\$ 250.00
Neurofibromatosis Inc Mid Atlantic, 2 Village Square., Suite #213, 5100 Falls Road , Baltimore, MD 21210		PC	Program/Operating Support	\$ 1,000.00
Nevada Junior Livestock Show Board, P O Box 8026 , Reno, NV 89507		PC	Program/Operating Support	\$ 200.00
New Hampshire Musculoskeletal Institute- Itute, 35 Kosciuszko Street , Manchester, NH 3101		PC	Program/Operating Support	\$ 500.00
New Hampton School, 70 Main Street , New Hampton, NH 03256 4243		PC	Program/Operating Support	\$ 1,000.00
New Hope Arts Inc, 2 Stockton Ave , New Hope, PA 18938		PC	Program/Operating Support	\$ 250.00
New Hope Centers for Grief Support, 315 Griswold Street , Northville, MI 48167		PC	Program/Operating Support	\$ 1,000.00
New Mexico Arts and Crafts Fair, 2501 San Pedro NE Suite 110, Albuquerque, NM 87110		PC	Program/Operating Support	\$ 1,000.00
New Pathways For Youth Inc, 1001 E Pierce St , Phoenix, AZ 85006		PC	Program/Operating Support	\$ 500.00
New York Military Academy, 78 Academy Avenue , Cornwall On Hudson, NY 12520		PC	Program/Operating Support	\$ 500.00
Newton Community Service Centers Inc, 492 Waltham St , West Newton, MA 02465-1920		PC	Program/Operating Support	\$ 300.00
NORTH CAROLINA DANCE THEATRE, 701 N Tryon St CHARLOTTE, NC 28202		PC	Program/Operating Support	\$ 90.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
North Haledon PTO, 515 High Mountain Rd , N Haledon, NJ 7508		PC	Program/Operating Support	\$ 250.00
North Hills Affordable Housing Inc-HEARTH, 3724 Mt Royal Blvd. Suite 101, Glenshaw, PA 15116		PC	Program/Operating Support	\$ 500.00
North Idaho College Foundation Inc, 1000 West Garden Ave , Coeur D Alene, ID 83814		PC	Program/Operating Support	\$ 500.00
North Lakeland Discovery Center Inc, 215 County HWY W , Manitowish Waters, WI 54545		PC	Program/Operating Support	\$ 500.00
North Parkersburg Baptist Church - North Christian School, 3109 Emerson Ave , Parkersburg, WV 26104		PC	Program/Operating Support	\$ 500.00
North Valley Community Foundation, 3120 Cohasset Road Suite 8 , Chico, CA 95973		PC	Program/Operating Support	\$ 500.00
Northampton Survival Center Inc, 265 Prospect Street , Northampton, MA 1060		PC	Program/Operating Support	\$ 250.00
Northern Illinois Food Bank, 273 Dearborn Ct , Geneva, IL 60134		PC	Program/Operating Support	\$ 300.00
Northwestern University - Cognitive Neurology & Alzheimer's Disease Center (CNADC), 320 East Superior Street Searle 11 , Chicago, IL 60611		PC	Program/Operating Support	\$ 54.00
NORTHWESTERN UNIVERSITY, Office of Medical Development - 420 E Superior, 9th Floor Chicago, IL 60611		PC	Program/Operating Support	\$ 250.08
Northwoods Animal Shelter Inc, 930 Selden Road , Iron River, MI 49935		PC	Program/Operating Support	\$ 250.00
Notre Dame Academy, 4635 River Green Pkwy , Duluth, GA 30096		PC	Program/Operating Support	\$ 400.00
Oakland School Education Foundation, 2111 Pontiac Lake Rd , Waterford, MI 48328		PC	Program/Operating Support	\$ 500.00
Ohio Special Olympics Inc, 8121 Deepwood Blvd. , Mentor, OH 44060-7703		PC	Program/Operating Support	\$ 500.00
Oklahoma Shakespeare in the Park, PO Box 1437 900 W Main, Oklahoma City, OK 73101		PC	Program/Operating Support	\$ 200.00
OLIVENHAIN PIONEER ELEMENTARY , 8000 CALLE ACERVO CARLSBAD, CA 92009 8900		PC	Program/Operating Support	\$ 126.00
OLIVENHAIN PIONEER ELEMENTARY, 8000 CALLE ACERVO CARLSBAD, CA 92009 8900		PC	Program/Operating Support	\$ 252.00
One Fund Boston, Inc., 800 Boylston Street #990009, Boston, MA 2199		PC	Program/Operating Support	\$ 2,300.00
One Fund Boston, Inc., 800 Boylston Street , Boston, MA 2199		PC	Program/Operating Support	\$ 700.00
OPEN DOOR OF HOPE INC , P.O. Box 426 SHELBYVILLE, KY 40065		PC	Program/Operating Support	\$ 30.00
OPEN DOOR OF HOPE INC, P O Box 426 SHELBYVILLE, KY 40065		PC	Program/Operating Support	\$ 60.00
OPERATION HOMEFRONT INC, 4728 PARK RD, SUITE A, CHARLOTTE, NC 28209		PC	Program/Operating Support	\$ 100.00
Opportunity House, 430 N 2nd St , Reading, PA 19601-2753		PC	Program/Operating Support	\$ 1,000.00
Optimist Club Foundation of Wichita, 1101 North Sandplum Lane , Wichita, KS 67212		PC	Program/Operating Support	\$ 100.00
Optimist International Foundation, 4494 Lindell Blvd , Saint Louis, MO 63108		PC	Program/Operating Support	\$ 400.00
Order of St Benedict Inc, 2280 Water Tower Rd PO Box 4000, Collegeville, MN 56321-4000		PC	Program/Operating Support	\$ 250.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Oregon Supported Living Program, 1250 Charnelton St , Eugene, OR 97401		PC	Program/Operating Support	\$ 100.00
Orleans County Citizen Advocacy Inc, 55 Seymour Lane, Suite 18 , Newport, VT 5855		PC	Program/Operating Support	\$ 250.00
Oshkosh Area Community Foundation, 230 Ohio Street #100 , Oshkosh, WI 54902		PC	Program/Operating Support	\$ 2,000 00
Painted Rock School Foundation, 16711 Martincort Rd , Poway, CA 92064-1628		PC	Program/Operating Support	\$ 252 00
Pan Massachusetts Challenge Trust, 77 4th Ave , Needham, MA 02494-2704		PC	Program/Operating Support	\$ 3,525.00
Pancreatic Cancer Action Network, 1500 Rosecrans Avenue Suite 200 , Manhattan Beach, CA 90266		PC	Program/Operating Support	\$ 250.00
Parent Teacher Association of P S 199 Inc, 270 West 70th Street , New York, NY 10023		PC	Program/Operating Support	\$ 500.00
Paris Community Theater, PO Box 913 , Paris, TX 75461		PC	Program/Operating Support	\$ 250 00
Parker County Center of Hope Inc, 629 Palo Pinto, Suite D P.O. Box 190, Weatherford, TX 76086		PC	Program/Operating Support	\$ 1,000.00
Parkinson's Association of San Diego, 8555 Aero Drive Suite 308, San Diego, CA 92123-1745		PC	Program/Operating Support	\$ 1,000.00
Parkland School District Education Foundation, 1210 Springhouse Rd , Allentown, PA 18104		PC	Program/Operating Support	\$ 500.00
Partners In Education Foundation, 124 W Central Ave , El Dorado, KS 67042		PC	Program/Operating Support	\$ 125.00
PASTOR OF OUR LADY OF GRACE CATHOLIC PARISH IN EL CAJON CA A C , 2766 NAVAJO RD EL CAJON, CA 92020-2121		PC	Program/Operating Support	\$ 150.00
PASTOR OF OUR LADY OF GRACE CATHOLIC PARISH IN EL CAJON CA A C , 2766 NAVAJO RD EL CAJON, CA 92020-2121		PC	Program/Operating Support	\$ 300.00
Pastoral Center of the Diocese of Charlotte - Triad Catholic Schools Foundation, 1123 South Church Street , Charlotte, NC 28203		PC	Program/Operating Support	\$ 500.00
Pathway Caring for Children, 4895 Dressler Road Northwest Suite A, Canton, OH 44718		PC	Program/Operating Support	\$ 750.00
Pats Place Child Advocacy Center Inc, 901 East Boulevard , Charlotte, NC 28203		PC	Program/Operating Support	\$ 300.00
Pawsitively Cats, 5894 E Hermans Rd , Tucson, AZ 85756		PC	Program/Operating Support	\$ 100.00
Pediatric Brain Tumor Foundation of the United States Inc, 302 Ridgefield Court , Asheville, NC 28806		PC	Program/Operating Support	\$ 100.00
PEGGY ADAMS ANIMAL RESCUE LEAGUE OF THE PALM BEACHES INC , 3200 N MILITARY TRL W PALM BEACH, FL 33409-2733		PC	Program/Operating Support	\$ 120 00
PEGGY ADAMS ANIMAL RESCUE LEAGUE OF THE PALM BEACHES INC, 3200 N MILITARY TRL W PALM BEACH, FL 33409-2733		PC	Program/Operating Support	\$ 240 00
Peoples Anti-Cruelty Association of New Mexico Inc, P.O. Box 21280 , Albuquerque, NM 87154		PC	Program/Operating Support	\$ 200.00
Peoples Resource Center, 201 Naperville Road , Wheaton, IL 60187		PC	Program/Operating Support	\$ 500.00
Philadelphia Ronald Mcdonald House Inc, 3925 Chestnut St , Philadelphia, PA 19104		PC	Program/Operating Support	\$ 400.00
Phoenix Society Inc, 1835 R W Berends Dr Sw , Grand Rapids, MI 49519		PC	Program/Operating Support	\$ 100 00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Pittsburgh Foundation, 5 Ppg Pl Ste 250 , Pittsburgh, PA 15222		PC	Program/Operating Support	\$ 250.00
Place of Hope Inc, 9078 Isaiah Lane , West Palm Beach, FL 33418		PC	Program/Operating Support	\$ 500.00
Planned Parenthood of New Mexico Inc, 719 San Mateo NE , Albuquerque, NM 87108		PC	Program/Operating Support	\$ 200.00
Point Loma Nazarene University, 3900 Lomaland Drive , San Diego, CA 92106		PC	Program/Operating Support	\$ 100.00
POMERADO ELEMENTARY SCHOOL FOUNDATION, 12321 9TH ST POWAY, CA 92064-3502		PC	Program/Operating Support	\$ 180.00
Portland Rose Festival Foundation, 1020 SW Naito Parkway , Portland, OR 97204		PC	Program/Operating Support	\$ 500.00
POWAY UNIFIED , 15250 Avenue of Science San Diego, CA 92128		PC	Program/Operating Support	\$ 120.00
POWAY UNIFIED, 15250 Avenue of Science San Diego, CA 92128		PC	Program/Operating Support	\$ 240.00
Poway Valley Senior Citizens Corp, 13094 Civic Center Drive , Poway, CA 92064		PC	Program/Operating Support	\$ 500.00
Powder River Basin Resource Council, 934 North Main Street , Sheridan, WY 82801		PC	Program/Operating Support	\$ 150.00
Pregnancy Counseling Center, 10211 Sepulveda Boulevard , Mission Hills, CA 91345		PC	Program/Operating Support	\$ 150.00
PRESBYTERIAN CHURCH USA, 100 WITHERSPOON ST, LOUISVILLE, KY 40202		PC	Program/Operating Support	\$ 500.00
President & Trustees of Colby College, 4120 Mayflower HL , Waterville, ME 04901-8841		PC	Program/Operating Support	\$ 1,000.00
President and Fellows of Harvard College, Recording Secretary's Office 124 Mount Auburn Street - Room 430N, Cambridge, MA 02138		PC	Program/Operating Support	\$ 500.00
PROJECT BREAD - THE WALK FOR HUNGER INC, 145 BORDER ST, BOSTON, MA 02128		PC	Program/Operating Support	\$ 100.00
Providence Hospice of Seattle Foundation, 425 Pontius Ave N Suite 300, Seattle, WA 98109		PC	Program/Operating Support	\$ 300.00
PTA California Congress of Parents Teachers & Students Inc, 1314 W. Gladstone Street , San Dimas, CA 91773		PC	Program/Operating Support	\$ 300.00
PTA California Congress of Parents Teachers & Students Inc-Marshall Middle School PTSA, 9700 Avenue of Nations , San Diego, CA 92131		PC	Program/Operating Support	\$ 250.00
PTA North Carolina Congress-Grand Oak Elementary PTA, 15410 Stumptown Road , Huntersville, NC 28078		PC	Program/Operating Support	\$ 100.00
Public Action To Deliver Shelter Inc - Hesed House, 659 South River Street , Aurora, IL 60506		PC	Program/Operating Support	\$ 250.00
Puget Sound Christian Clinic, 2150 N 122nd St , Seattle, WA 98133-8524		PC	Program/Operating Support	\$ 1,000.00
Punahou School, 1601 Punahou St , Honolulu, HI 96822-3336		PC	Program/Operating Support	\$ 100.00
Puppy Jake Foundation, 4020 John Lynde Rd , Des Moines, IA 50312		PC	Program/Operating Support	\$ 250.00
Purdue Foundation Inc, 403 West Wood Street , West Lafayette, IN 47907-2007		PC	Program/Operating Support	\$ 196.80
RADY CHILDRENS HOSPITAL AND HEALTH CENTER, 3020 CHILDRENS WAY, SAN DIEGO, CA 92123		PC	Program/Operating Support	\$ 500.00
Rafiki Foundation Incorporated, PO Box 1988 , Eustis, FL 32727-1988		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Rainbow House Childrens Resource Center Inc, PO Box 1239 , Warner Robins, GA 31099		PC	Program/Operating Support	\$ 500.00
Rauch Foundation Inc, 845 Park Place , New Albany, IN 47150-2262		PC	Program/Operating Support	\$ 500.00
Ray Anthony Peacock Memorial Scholarship Fund, 3874 Blodgett , Houston, TX 77004		PC	Program/Operating Support	\$ 125 00
Read To Succeed Inc, 2627 Big Eagle Trl , Murfreesboro, TN 37127		PC	Program/Operating Support	\$ 500 00
Rejoice Lutheran Church, 7855 Stow Road , Hudson, OH 44236		PC	Program/Operating Support	\$ 500.00
Rescue Train, 11271 Ventura Blvd STE 405, Studio City, CA 91604		PC	Program/Operating Support	\$ 205.00
Reston Bible Church, 45650 Oakbrook Court , Dulles, VA 20166		PC	Program/Operating Support	\$ 1,000.00
Rivier University, 420 South Main Street , Nashua, NH 3060		PC	Program/Operating Support	\$ 250 00
Robert Wood Johnson University Hospital at Hamilton Foundation, 1 Hamilton Health Place , Hamilton, NJ 8690		PC	Program/Operating Support	\$ 500.00
Rochester College, 800 West Avon Road , Rochester Hills, MI 48307		PC	Program/Operating Support	\$ 250.00
Rock Recovery Inc, P.O. Box 100923 , Arlington, VA 22201		PC	Program/Operating Support	\$ 500.00
Rocky Mountain Childhood Cancer Foundation, 8371 Southpark Lane , Littleton, CO 80120		PC	Program/Operating Support	\$ 500.00
Ronald McDonald House Charities of San Antonio Texas Inc, 4803 Sid Katz Dr , San Antonio, TX 78229		PC	Program/Operating Support	\$ 500.00
Ronald Mcdonald House Charities of Spokane, 1015 W 5th Ave , Spokane, WA 99204		PC	Program/Operating Support	\$ 100.00
Ronald Mcdonald House of Durham Inc, 506 Alexander Avenue , Durham, NC 27705		PC	Program/Operating Support	\$ 500.00
Room at the Inn Inc, 3737 Weona Ave , Charlotte, NC 28209-2355		PC	Program/Operating Support	\$ 200.00
Roswell Park Alliance Foundation, PO Box 631 , Buffalo, NY 14240		PC	Program/Operating Support	\$ 250.00
Rush Henrietta Rotary Foundation Inc, PO Box 22838 , Rochester, NY 14692		PC	Program/Operating Support	\$ 500.00
Rutgers University Foundation, 7 College Ave , New Brunswick, NJ 8901		PC	Program/Operating Support	\$ 1,500.00
Ruth Harbor, 534 42nd St , Des Moines, IA 50312-2707		PC	Program/Operating Support	\$ 250.00
Ryan J Clarke Cpr Fund for Schools, 1707 Canyon Crest Lane , Paso Robles, CA 93446		PC	Program/Operating Support	\$ 500.00
Saint James School, 6010 Vaughn Road , Montgomery, AL 36116		PC	Program/Operating Support	\$ 250.00
Salute To Military Golf Association Inc, PO Box 83893 , Gaithersburg, MD 20883		PC	Program/Operating Support	\$ 1,400 00
Samaritan Hospital, 2215 Burdett Ave , Troy, NY 12180		PC	Program/Operating Support	\$ 1,000.00
San Diego Asian Film Foundation, 2508 Historic Decatur Road Suite 140, San Diego, CA 92106		PC	Program/Operating Support	\$ 500.00
San Diego Humane Society & SPCA, 2905 San Luis Rey Road , Oceanside, CA 92058		PC	Program/Operating Support	\$ 300 00
SAN DIEGO LESBIAN GAY BISEXUAL AND TRANSGENDER COMMUNITY CENTER, 3909 CENTRE ST SAN DIEGO, CA 92103-3410		PC	Program/Operating Support	\$ 360.00
San Diego Master Chorale, 10845 Rancho Bernardo Road, Suite 105 , San Diego, CA 92127		PC	Program/Operating Support	\$ 250.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAN DIEGO RESCUE MISSION INC , PO BOX 80427 SAN DIEGO, CA 92138-0427		PC	Program/Operating Support	\$ 12.00
SAN DIEGO RESCUE MISSION INC, PO BOX 80427 SAN DIEGO, CA 92138-0427		PC	Program/Operating Support	\$ 24.00
San Diego Rock Church, 2277 Rosecrans St 3rd Floor , San Diego, CA 92106-6048		PC	Program/Operating Support	\$ 1,000.00
San Diego State University Foundation - KPBS, 5200 Campanile Drive , San Diego, CA 92182		PC	Program/Operating Support	\$ 500.00
San Jose Repertory Theatre, 101 Paseo de San Antonio , San Jose, CA 95113		PC	Program/Operating Support	\$ 500.00
Saratoga Springs Rotary Education Foundation Inc, PO Box 4423 , Saratoga Springs, NY 12866		PC	Program/Operating Support	\$ 500 00
Scappoose Booster Club, PO Box 366 , Scappoose, OR 97056		PC	Program/Operating Support	\$ 500 00
Scholarship America Inc, 1550 American Boulevard East Suite 155, Minneapolis, MN 55425		PC	Program/Operating Support	\$ 50.00
School of Love Inc, 5105 Tomahawk Road , Prairie Village, KS 66208		PC	Program/Operating Support	\$ 500.00
Scottsdale Rotary Foundation, PO Box 5633 , Scottsdale, AZ 85261		PC	Program/Operating Support	\$ 1,490 00
SECCA Foundation - Southeastern Center for Contemporary Art, 750 Marguerite Drive , Winston Salem, NC 27106		PC	Program/Operating Support	\$ 250.00
Seminole Boosters Inc, P.O Box 1353 , Tallahassee, FL 32302		PC	Program/Operating Support	\$ 500.00
Senior Connections Inc, 5238 Peachtree Road , Atlanta, GA 30341		PC	Program/Operating Support	\$ 500.00
Sertoma Inc-Woodmen Valley Sertoma, PO Box 49671 , Colorado Springs, CO 80949		PC	Program/Operating Support	\$ 500.00
Shaker Lakes Regional Nature Center, 2600 S Park Blvd , Cleveland, OH 44120-1668		PC	Program/Operating Support	\$ 375 00
SHARE OUR STRENGTH INC , 1730 M STREET NW WASHINGTON, DC 20036-4542		PC	Program/Operating Support	\$ 120.00
SHARE OUR STRENGTH INC, 1730 M STREET NW WASHINGTON, DC 20036-4542		PC	Program/Operating Support	\$ 240.00
Sharon Regional Health Foundation, 740 East State Street , Sharon, PA 16146		PC	Program/Operating Support	\$ 300.00
Shelby County United Fund Inc, 126 N Harrison St , Shelbyville, IN 46176		PC	Program/Operating Support	\$ 250 00
Sheriffs Youth Foundation of Los Angeles County, 4700 W Ramona Blvd Unit 4 , Monterey Park, CA 91754-2156		PC	Program/Operating Support	\$ 500.00
Shippensburg University Foundation, 1871 Old Main Drive , Shippensburg, PA 17257-2200		PC	Program/Operating Support	\$ 1,000 00
Sierra Environmental Studies Foundation, PO Box 1895 , Grass Valley, CA 95945		PC	Program/Operating Support	\$ 1,000.00
Sightsavers International, Inc, ONE BOSTON PLAZA, SUITE 2600, BOSTON, MA, 02108		PC	Program/Operating Support	\$ 500.00
Sigma Chi Foundation, 1714 Hinman Avenue , Evanston, IL 60201		PC	Program/Operating Support	\$ 500.00
Smile Train Inc, 41 Madison Avenue 28th Floor, New York, NY 10010		PC	Program/Operating Support	\$ 750.00
Solana Beach Foundation for Learning, 309 North Rios Avenue , Solana Beach, CA 92075		PC	Program/Operating Support	\$ 300 00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOUTH CENTRAL SCHOLARS FOUNDATION, 29000 S WESTERN AVE, STE 401 RCH, PALOS VRD, CA 90275-0815		PC	Program/Operating Support	\$ 425.00
South Dakota State University Foundation, 815 MEDARY AVE. , Brookings, SD 57007		PC	Program/Operating Support	\$ 1,000.00
South Florida National Parks Trust, 1390 S. Dixie Hwy #2203, Coral Gables, FL 33146		PC	Program/Operating Support	\$ 500.00
Southeastern Rugby Incorporated, P.O Box 52654 , Knoxville, TN 37950		PC	Program/Operating Support	\$ 2,500 00
Space Coast Early Intervention Center Inc, 3790 Dairy Rd , Melbourne, FL 32904		PC	Program/Operating Support	\$ 100.00
Special Kids Inc, 202 Arnette Street , Murfreesboro, TN 37130		PC	Program/Operating Support	\$ 250.00
Special Olympics Washington, 1809 7th Avenue Suite 1509 , Seattle, WA 98101		PC	Program/Operating Support	\$ 250 00
Spectrum for Living Development Inc, 210 Rivervale Rd Apt 3 , River Vale, NJ 07675-6281		PC	Program/Operating Support	\$ 500.00
Spruce Creek High School, 801 Taylor Road , Port Orange, FL 32127		PC	Program/Operating Support	\$ 600 00
St Baldricks Foundation Inc, 1333 South Mayflower Avenue, Suite 400 , Monrovia, CA 91016		PC	Program/Operating Support	\$ 975.00
St Elizabeths School, 2350 Gaylord St , Denver, CO 80205		PC	Program/Operating Support	\$ 500.00
St Georges School Inc, 2929 W Waikiki Rd , Spokane, WA 99208		PC	Program/Operating Support	\$ 500.00
St Johns Healthcare Foundation Oxnard and Pleasant Valley, 1600 N. Rose Ave , Oxnard, CA 93030		PC	Program/Operating Support	\$ 500.00
St Josephs Foundation, 350 W Thomas Rd , Phoenix, AZ 85013-4409		PC	Program/Operating Support	\$ 250.00
St Josephs University, Office of Development and Alumni Relations 5600 City Avenue, Philadelphia, PA 19131-1395		PC	Program/Operating Support	\$ 280 00
ST JUDE CHILDRENS RESEARCH HOSPITAL INC , 262 DANNY THOMAS PL MSC 512 MEMPHIS, TN 38105-3678		PC	Program/Operating Support	\$ 132.00
ST JUDE CHILDRENS RESEARCH HOSPITAL INC, 262 DANNY THOMAS PL MSC 512 MEMPHIS, TN 38105-3678		PC	Program/Operating Support	\$ 264.00
St Jude Childrens Research Hospital Inc, 501 St. Jude Place Workplace Giving, Memphis, TN 38105		PC	Program/Operating Support	\$ 1,200 00
St Marys University of Minnesota, 700 Terrace Heights 8 , Winona, MN 55987		PC	Program/Operating Support	\$ 250.00
St Paul Lutheran Church - St Paul Lutheran School, 2916 McKee Road , Merced, CA 95340		PC	Program/Operating Support	\$ 375.00
ST VINCENT DE PAUL VILLAGE INC , 3350 E ST SAN DIEGO, CA 92102-3332		PC	Program/Operating Support	\$ 120.00
ST VINCENT DE PAUL VILLAGE INC, 3350 E ST SAN DIEGO, CA 92102-3332		PC	Program/Operating Support	\$ 240 00
St. Lawrence University, 23 Romoda Drive , Canton, NY 13617-1501		PC	Program/Operating Support	\$ 500.00
St. Peter - St. Joseph Children's Home, 919 Mission Road , San Antonio, TX 78210		PC	Program/Operating Support	\$ 2,950.00
St. Vincent de Paul Catholic Church , 6828 Old Reid Rd Charlotte, NC 28210		PC	Program/Operating Support	\$ 125 04
St. Vincent de Paul Catholic Church, 6828 Old Reid Rd Charlotte, NC 28210		PC	Program/Operating Support	\$ 250.08
Standup for Kids, 83 Walton St Nw Ste 300 , Atlanta, GA 30303		PC	Program/Operating Support	\$ 250.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Steamboat Training Adaptive Recreational Sports, PO Box 770208, Steamboat Spr, CO 80477		PC	Program/Operating Support	\$ 1,000.00
STEELE CANYON BAND PARENTS, 12440 CAMPO RD SPRING VALLEY, CA 91978-2331		PC	Program/Operating Support	\$ 90.00
STEELE CANYON BAND PARENTS, 12440 CAMPO RD SPRING VALLEY, CA 91978-2331		PC	Program/Operating Support	\$ 180.00
Stephen Sexton Memorial Foundation, 985 Graceland Ave, Des Plaines, IL 60016-7211		PC	Program/Operating Support	\$ 400 00
Stetson University Inc, 421 North Woodland Blvd, Deland, FL 32723		PC	Program/Operating Support	\$ 500 00
Stonehenge Youth Association Inc, 325 Stonehenge Way, Bogart, GA 30622-2166		PC	Program/Operating Support	\$ 1,000.00
Stout Street Foundation, 7251 East 49th Avenue, Commerce City, CO 80022		PC	Program/Operating Support	\$ 500 00
Studio Channel Islands Inc, 2221 Ventura Blvd, Camarillo, CA 93010		PC	Program/Operating Support	\$ 500 00
Sturgis Area Community Foundation, 310 N Franks Ave, Sturgis, MI 49091-1259		PC	Program/Operating Support	\$ 2,000.00
Summit School Inc, 2100 Reynolda Road, Winston Salem, NC 27106-5115		PC	Program/Operating Support	\$ 250.00
Sunrise Charitable Fund Inc, PO Box 9344, Wichita, KS 67277		PC	Program/Operating Support	\$ 325.00
Sunset International Bible Institute Inc, 3723 34th Street, Lubbock, TX 79410-2895		PC	Program/Operating Support	\$ 1,500.00
Support The Enlisted Project, 7128 MIRAMAR RD STE 11A SAN DIEGO, CA 92121-2389		PC	Program/Operating Support	\$ 150.00
Support The Enlisted Project, 7128 MIRAMAR RD STE 11A SAN DIEGO, CA 92121-2389		PC	Program/Operating Support	\$ 300.00
Susan G Komen Breast Cancer Foundation - Susan G. Komen for the Cure Eastern Washington Affiliate, 400 S Jefferson Suite 319, Spokane, WA 99204		PC	Program/Operating Support	\$ 100 00
Susan G Komen Breast Cancer Foundation - Vermont-NH Affiliate of Susan G. Komen, 21 Bonnet Street PO Box 2496, Manchester Center, VT 5255		PC	Program/Operating Support	\$ 100.00
SUSAN G KOMEN BREAST CANCER FOUNDATION, 11845 W OLYMPIC BLVD STE 665W LOS ANGELES, CA 90064-5032		PC	Program/Operating Support	\$ 2.00
SUSAN G KOMEN BREAST CANCER FOUNDATION, 89 SOUTH ST STE LL01 BOSTON, MA 02111-2611		PC	Program/Operating Support	\$ 292.00
Susan G Komen Breast Cancer Foundation, 2316 Randolph Rd., Charlotte, NC 28207		PC	Program/Operating Support	\$ 350.00
Susan G Komen Breast Cancer Foundation, 44 Middle Avenue, Summit, NJ 7901		PC	Program/Operating Support	\$ 250.00
Susan G Komen Breast Cancer Foundation, 4424 Vogel Road Suite 205, Evansville, IN 47715		PC	Program/Operating Support	\$ 250.00
Susan G Komen Breast Cancer Foundation, 4699 Murphy Canyon Road Suite 102B, San Diego, CA 92123		PC	Program/Operating Support	\$ 50.00
Susan G Komen Breast Cancer Foundation, 820 W. Jackson Blvd., Suite 800, Chicago, IL 60607		PC	Program/Operating Support	\$ 2,600.00
Susquehanna University, 514 University Ave, Selinsgrove, PA 17870		PC	Program/Operating Support	\$ 250.00
Swallows Nest Childrens Home Inc, 5705 Blackhawk Forest Drive , Westerville, OH 43082		PC	Program/Operating Support	\$ 250.00
Sycamore Valley Elementary, 2200 Holbrook Drive, Danville, CA 94526		PC	Program/Operating Support	\$ 300.00

LPL Financial Charitable Foundation, Inc
EIN: 20-0921133
Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Table of Plenty In Chelmsford Inc, 18 Saint Andrews Way , North Chelmsford, MA 01863-2086		PC	Program/Operating Support	\$ 125.00
Tarrant County Youth Collaboration, 6707 Brentwood Stair Road Suite 228, Fort Worth, TX 76112		PC	Program/Operating Support	\$ 500.00
Taylor University, 236 W Reade Ave , Upland, IN 46989-1001		PC	Program/Operating Support	\$ 500.00
Ted Gilmore Youth Camp Inc, 7666 Flagstone Dr , Pleasanton, CA 94588		PC	Program/Operating Support	\$ 500.00
TEMPLE HEIGHTS ELEMENTARY, 1550 TEMPLE HEIGHTS DRIVE, OCEANSIDE, CA, 92056		PC	Program/Operating Support	\$ 500.00
Texas A&M Foundation, 401 George Bush Drive , College Station, TX 77840-2811		PC	Program/Operating Support	\$ 1,000.00
Texas Aggie Corps of Cadets Association, 1134 Finfeather Rd , Bryan, TX 77803		PC	Program/Operating Support	\$ 225.00
Texas Council on Economic Education, 1801 Allen Parkway , Houston, TX 77019-2507		PC	Program/Operating Support	\$ 500.00
Texas Wesleyan University, 1201 Wesleyan , Fort Worth, TX 76105		PC	Program/Operating Support	\$ 2,000.00
The ALS Association , 1275 K ST NW STE 1050 WASHINGTON, DC 20005-6822		PC	Program/Operating Support	\$ 60.00
The ALS Association, 1275 K ST NW STE 1050 WASHINGTON, DC 20005-6822		PC	Program/Operating Support	\$ 120.00
The Associate Alumnae of Douglas College, 181 Ryders Lane , New Brunswick, NJ 8901		PC	Program/Operating Support	\$ 50.00
The Banner School Inc, 1730 North Market Street , Frederick, MD 21701		PC	Program/Operating Support	\$ 250.00
The Carolinas Healthcare Foundation Inc - Carolinas ALS Research Fund, c/o Carolinas Healthcare Foundation P O. Box 32861, Charlotte, NC 28232		PC	Program/Operating Support	\$ 500.00
The Carolinas Healthcare Foundation Inc, P O. Box 32861 , Charlotte, NC 28232		PC	Program/Operating Support	\$ 500.00
The Community Foundation Serving Greeley and Weld County, 711 8th Avenue , Greeley, CO 80631		PC	Program/Operating Support	\$ 250.00
The Cookbook Project Incorporated, 85 Atkinson Lane , Sudbury, MA 1776		PC	Program/Operating Support	\$ 250.00
The El Segundo Educational Foundation, 641 Sheldon St. , El Segundo, CA 90245-0000		PC	Program/Operating Support	\$ 250.00
The Elephant Sanctuary in Tennessee, P.O. Box 393 , Hohenwald, TN 38462-0393		PC	Program/Operating Support	\$ 100.00
The Executive Committee of the Southern Baptist Convention - Crossroads Church of Douglasville, 5960 Stewart Parkway , Douglasville, GA 30135		PC	Program/Operating Support	\$ 200.00
The Giving Closet, 2804 A&B Northeast 65th Avenue , Vancouver, WA 98661		PC	Program/Operating Support	\$ 250.00
The Global Orphan Project, 6114 N 9 Highway , Parkville, MO 64152		PC	Program/Operating Support	\$ 300.00
The Healing Center, 6409 1/2 Roosevelt Way N.E. , Seattle, WA 98115		PC	Program/Operating Support	\$ 100.00
The Michael Lisnow Respite Center Inc, 112 Main Street , Hopkinton, MA 1748		PC	Program/Operating Support	\$ 500.00
The Multiple Myeloma Research Foundation Inc, 383 Main Avenue 5th Floor, Norwalk, CT 6851		PC	Program/Operating Support	\$ 100.00
The Musical Arts Association, 11001 Euclid Avenue , Cleveland, OH 44106-1713		PC	Program/Operating Support	\$ 250.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NATURE CONSERVANCY OF CALIFORNIA, 201 MISSION ST FL 4 SAN FRANCISCO, CA 94105-1832		PC	Program/Operating Support	\$ 180.00
The Navigators, 3820 N 30th St , Colorado Spgs, CO 80904-5002		PC	Program/Operating Support	\$ 4,450.00
The Noah Project, 2914 Ruben Road , Monroe, NC 28112		PC	Program/Operating Support	\$ 200.00
The Pennsylvania State University, 208 Old Main , University Park, PA 16802		PC	Program/Operating Support	\$ 500.00
The Porter Academy Inc, 200 Cox Rd , Roswell, GA 30075		PC	Program/Operating Support	\$ 1,000.00
The Preuss School UCSD, 3650 VOIGHT DRIVE, SAN DIEGO, CA, 92037		PC	Program/Operating Support	\$ 100.00
The Rescue Mission, PO Box 1912 , Tacoma, WA 98401-1912		PC	Program/Operating Support	\$ 1,000.00
The Rotary Foundation of Rotary International, 1560 Sherman Avenue , Evanston, IL 60201-3698		PC	Program/Operating Support	\$ 2,000.00
The Salvation Army, PO Box 1715 , Johnson City, TN 37605		PC	Program/Operating Support	\$ 500.00
The Salvation Army, PO Box 173 , Olympia, WA 98507-0173		PC	Program/Operating Support	\$ 100.00
The Siskiyou Institute, 339 Cambridge St. , Ashland, OR 97520		PC	Program/Operating Support	\$ 150.00
The Smith Center for the Performing Arts, 361 Symphony Park Avenue , Las Vegas, NV 89106		PC	Program/Operating Support	\$ 500.00
The University of Tennessee Foundation Inc, Advancement Services, Gift Records Office 600 Henley Street, B022 Conference Center Building, Knoxville, TN 37996-4103		PC	Program/Operating Support	\$ 250.00
The Waypoint Foundation, 925 Basin St SW , Ephrata, WA 98823		PC	Program/Operating Support	\$ 1,290.00
The Westview School Inc, 1900 Kersten Dr , Houston, TX 77043		PC	Program/Operating Support	\$ 250.00
The Young Mens Christian Association of Greater Seattle, 909 4th Avenue , Seattle, WA 98104		PC	Program/Operating Support	\$ 600.00
the@Irvin M Shlenker School of Congregation Beth Israel, 5600 N Braeswood , Houston, TX 77096-2908		PC	Program/Operating Support	\$ 2,050.00
Thomas College, 180 W River Rd , Waterville, ME 04901-5066		PC	Program/Operating Support	\$ 1,000.00
Toledo Cultural Arts Center Inc, 410 Adams Street , Toledo, OH 43604		PC	Program/Operating Support	\$ 500.00
Tommy Joes Jon Lowe Foundation Inc, 4400 Jenifer Street Northwest Suite 2, Washington, DC 20015		PC	Program/Operating Support	\$ 500.00
Tomorrows Leaders Today, PO Box 1731 , Santa Rosa, CA 95402		PC	Program/Operating Support	\$ 500.00
Toolbank USA Inc-Houston Community Toolbank, C/O Phillips and Reiter PLLC 1300 W Sam Houston Pkwy S, Houston, TX 77042		PC	Program/Operating Support	\$ 900.00
Towson University Foundation Inc, 8000 York Road , Towson, MD 21252		PC	Program/Operating Support	\$ 500.00
Transaccess, 1150 S Bascom Ave , San Jose, CA 95128-3509		PC	Program/Operating Support	\$ 500.00
TRI IT FOR LIFE , PO BOX 34424 CHARLOTTE, NC 28234-4424		PC	Program/Operating Support	\$ 126.00
TRI IT FOR LIFE, PO BOX 34424 CHARLOTTE, NC 28234-4424		PC	Program/Operating Support	\$ 252.00
Tri-Cities Opera Company Inc, 315 Clinton Street , Binghamton, NY 13905-2017		PC	Program/Operating Support	\$ 500.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Tricity Family Services, 1120 Randall Court , Geneva, IL 60134		PC	Program/Operating Support	\$ 250.00
Tri-County Office on Aging - Meals On Wheels - Tri-County Office on Aging, 5303 S. Cedar St., Suite1 , Lansing, MI 48911-3800		PC	Program/Operating Support	\$ 2,400.00
Trinity Valley School, 7500 Dutch Branch Road , Fort Worth, TX 76132		PC	Program/Operating Support	\$ 500 00
Truckee Tahoe Community Foundation, PO Box 366 , Truckee, CA 96160		PC	Program/Operating Support	\$ 1,000.00
Trustees of Boston University, 595 Commonwealth Avenue, Suite 700 West Entrance, Boston, MA 2215		PC	Program/Operating Support	\$ 250.00
Trustees of the University of Pennsylvania, 433 Franklin Building 3451 Walnut Street, Philadelphia, PA 19104-6205		PC	Program/Operating Support	\$ 1,200.00
Tulare County Outreach Inc, P.O. Box 1772 , Visalia, CA 93279		PC	Program/Operating Support	\$ 500.00
U S. Fund For Unicef, 125 Maiden Lane , New York, NY 10038		PC	Program/Operating Support	\$ 700.00
UMAA Foundation, P.O. Box 355 , University, MS 38677		PC	Program/Operating Support	\$ 500 00
Unified Community Connections, Inc, 11350 McCormick Road, Suite 1100 Executive Plaza III, Hunt Valley, MD 21031		PC	Program/Operating Support	\$ 500.00
Union Graduate College, 80 Nott Terrace , Schenectady, NY 12308		PC	Program/Operating Support	\$ 500.00
United Cerebral Palsy Association of Greater Cleveland Inc, 10011 Euclid Ave , Cleveland, OH 44106-4701		PC	Program/Operating Support	\$ 750.00
UNITED FAMILY SERVICES INC, 601 E 5TH ST, SUITE 400, CHARLOTTE, NC, 28202		PC	Program/Operating Support	\$ 100 00
United Fund of Westfield NJ, 301 North Ave W , Westfield, NJ 7090		PC	Program/Operating Support	\$ 500 00
UNITED JEWISH CHARITIES OF GREATER CHARLOTTE INC,		PC	Program/Operating Support	\$ 500 00
United Jewish Community of the Virginia Peninsula Inc, 2700 Spring Road , Newport News, VA 23606		PC	Program/Operating Support	\$ 500.00
United Services Organizations-USO San Diego, 303 A Street Suite 100, San Diego, CA 92101		PC	Program/Operating Support	\$ 500.00
UNITED WAY OF CENTRAL CAROLINAS INC, 301 S. BREVARD STREET, CHARLOTTE, NC 28202		PC	Program/Operating Support	\$ 500 00
United Way of Daviess County Inc, PO Box 224 , Washington, IN 47501-0224		PC	Program/Operating Support	\$ 350.00
United Way of Douglas & Pope Counties, 2504 Aga Drive PO Box 1148, Alexandria, MN 56308		PC	Program/Operating Support	\$ 500.00
United Way of Douglas County Inc, 2518 Ridge Court Room 200, Lawrence, KS 66046-4079		PC	Program/Operating Support	\$ 250.00
United Way of Greater Nashua Inc, 20 Broad Street , Nashua, NH 3064		PC	Program/Operating Support	\$ 250.00
United Way of Illinois Valley Inc, 103 Fifth Street , Peru, IL 61354		PC	Program/Operating Support	\$ 200.00
UNITED WAY OF SAN DIEGO COUNTY , 4699 MURPHY CYN RD SAN DIEGO, CA 92123-4320		PC	Program/Operating Support	\$ 330.00
UNITED WAY OF SAN DIEGO COUNTY, 4699 MURPHY CYN RD SAN DIEGO, CA 92123-4320		PC	Program/Operating Support	\$ 1,160.00
United Way of Western Nebraska, 1517 Broadway Ste 106 , Scottsbluff, NE 69361-3184		PC	Program/Operating Support	\$ 1,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
University Health System Inc - University of Tennessee Medical Center, 1520 Cherokee Trail Suite 110, Knoxville, TN 37920		PC	Program/Operating Support	\$ 2,000.00
University High, 4771 Campus Drive , Irvine, CA 92612		PC	Program/Operating Support	\$ 500.00
University of Alaska, PO Box 755080 , Fairbanks, AK 99775-5080		PC	Program/Operating Support	\$ 500.00
University of Georgia Athletic Association Inc, P.O. Box 1472 , Athens, GA 30603		PC	Program/Operating Support	\$ 1,000.00
University of Hawaii Foundation, P.O. Box 11270 , Honolulu, HI 96828-0270		PC	Program/Operating Support	\$ 250.00
University of Iowa Foundation, P.O Box 4550 , Iowa City, IA 52244-4550		PC	Program/Operating Support	\$ 500.00
University of Louisiana at Lafayette Alumni Association Inc, PO Box 40151 , Lafayette, LA 70504		PC	Program/Operating Support	\$ 250.00
University of Massachusetts Amherst Foundation Inc, 134 Hicks Way, Memorial Hall , Amherst, MA 1003		PC	Program/Operating Support	\$ 500.00
University of Nevada-Reno Foundation University of Nevada-Re, 3685 Frost Lane , Reno, NV 89511		PC	Program/Operating Support	\$ 300.00
University of New England, 11 Hills Beach Rd , Biddeford, ME 4005		PC	Program/Operating Support	\$ 125.00
University of Redlands, PO Box 3080 , Redlands, CA 92373		PC	Program/Operating Support	\$ 500.00
University of Southern Indiana Foundation Inc, 8600 University Boulevard , Evansville, IN 47712		PC	Program/Operating Support	\$ 250.00
University of Virginia Darden School Foundation, PO Box 7263 , Charlottesville, VA 22906-7263		PC	Program/Operating Support	\$ 100.00
University of Virginia Foundation, P.O. Box 400218 , Charlottesville, VA 22904		PC	Program/Operating Support	\$ 500.00
University of Wisconsin Foundation, 1848 University Avenue , Madison, WI 53726-4090		PC	Program/Operating Support	\$ 500.00
University Radio Foundation Inc, 8801 Jm Keynes Dr 91 , Charlotte, NC 28262-5474		PC	Program/Operating Support	\$ 120.00
Upendo Village Nfp, P.O. Box 667 , Wheaton, IL 60187-0667		PC	Program/Operating Support	\$ 500.00
Upreach Therapeutic Riding Center, 153 Paige Hill Road PO Box 355, Goffstown, NH 3045		PC	Program/Operating Support	\$ 250.00
Us Catholic Conference - Academy of the Sacred Heart, 619 N. Second St. , Saint Charles, MO 63301		PC	Program/Operating Support	\$ 400.00
Us Catholic Conference - Church of the Resurrection, 21060 SW Stafford Road , Tualatin, OR 97062		PC	Program/Operating Support	\$ 500.00
US Catholic Conference - McGill-Toolen Catholic High School, 1501 Old Shell Road , Mobile, AL 36604		PC	Program/Operating Support	\$ 500.00
Us Catholic Conference - Saint John the Evangelist School, 111 New Balch Street , Beverly, MA 1915		PC	Program/Operating Support	\$ 100.00
US Catholic Conference - St Jude Parish, 19704 Johnson Road , South Bend, IN 46614		PC	Program/Operating Support	\$ 500.00
US Catholic Conference - St Patrick Catholic Congregation, 1225 West Main Street , Whitewater, WI 53190		PC	Program/Operating Support	\$ 500.00
US Catholic Conference - St. Johns Hospice for Men, 1221 Race Street , Philadelphia, PA 19107		PC	Program/Operating Support	\$ 200.00
US Catholic Conference - St. Patrick's Parish - St. Mary's Catholic School, 301 E Adams , O'Neill, NE 68763		PC	Program/Operating Support	\$ 500.00
US Catholic Conference-St. Joseph Catholic School, 417 6th Ave , De Witt, IA 52742		PC	Program/Operating Support	\$ 1,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Vail Clinic Inc, PO Box 40000 , Vail, CO 81658		PC	Program/Operating Support	\$ 500.00
Valhalla High School, 1725 Hillsdale Road , El Cajon, CA 92019		PC	Program/Operating Support	\$ 200.00
Valley Caregiver Resource Center, 3845 N Clark St Ste 201 , Fresno, CA 93726		PC	Program/Operating Support	\$ 250.00
Valley Forge Military Academy Foundation, 1001 Eagle Rd , Wayne, PA 19087-3613		PC	Program/Operating Support	\$ 500 00
Variety Care Foundation Inc, PO Box 2098 , Oklahoma City, OK 73101		PC	Program/Operating Support	\$ 250 00
Ventura County Community Foundation, 4001 Mission Oaks Blvd Ste A , Camarillo, CA 93012		PC	Program/Operating Support	\$ 250 00
Ventura Hillside Conservancy, PO Box 1284 , Ventura, CA 93002		PC	Program/Operating Support	\$ 250.00
Veterans of Foreign Wars Foundation, 406 W. 34th Street , Kansas City, MO 64111		PC	Program/Operating Support	\$ 100.00
VICTORY JUNCTION GANG CAMP INC , 4500 ADAMS WAY RANDLEMAN, NC 27317-8242		PC	Program/Operating Support	\$ 36.00
VICTORY JUNCTION GANG CAMP INC, 4500 ADAMS WAY RANDLEMAN, NC 27317-8242		PC	Program/Operating Support	\$ 72.00
VIETNAM VETERANS OF SAN DIEGO, 4141 PACIFIC HWY SAN DIEGO, CA 92110-2030		PC	Program/Operating Support	\$ 270 00
Vine Maple Place, PO Box 1092 , Maple Valley, WA 98038-1092		PC	Program/Operating Support	\$ 500 00
Vision House, PO Box 2951 , Renton, WA 98056		PC	Program/Operating Support	\$ 200.00
VOICES FOR CHILDREN , 2851 MEADOW LARK DR SAN DIEGO, CA 92123-2709		PC	Program/Operating Support	\$ 150.00
Voices for Children, 2851 Meadow Lark Dr , San Diego, CA 92123-2709		PC	Program/Operating Support	\$ 50.00
VOICES FOR CHILDREN, 2851 MEADOW LARK DR SAN DIEGO, CA 92123-2709		PC	Program/Operating Support	\$ 390.00
Volunteers for International Development and Aid, 2700 LAS VEGAS BLVD S UNIT 1707 , Las Vegas, NV 89109		PC	Program/Operating Support	\$ 1,000.00
Vsc Foundation Inc, PO Box 493 , Montpelier, VT 5601		PC	Program/Operating Support	\$ 250.00
Wabash College, PO Box 352 , Crawfordsville, IN 47933		PC	Program/Operating Support	\$ 500.00
Walk on Therapeutic Riding Program Inc, 1469 County Rd J , River Falls, WI 54022		PC	Program/Operating Support	\$ 150 00
Washington & Lee University, 204 West Washington Street , Lexington, VA 24450		PC	Program/Operating Support	\$ 200.00
WCP, 1100 N. Main Street, Suite 100 , Ann Arbor, MI 48104		PC	Program/Operating Support	\$ 100.00
West Des Moines Business Incubator, P.O. Box 65320 , West Des Moines, IA 50266-1314		PC	Program/Operating Support	\$ 500.00
West Hills Wolf Pack Football Booster Club Inc, 9449 Willowgrove Ave , Santee, CA 92071		PC	Program/Operating Support	\$ 250 00
WEST MECKLENBURG HIGH , 7400 TUCKASEEGEE RD CHARLOTTE, NC 28214 2621		PC	Program/Operating Support	\$ 120.00
WEST MECKLENBURG HIGH, 7400 TUCKASEEGEE RD CHARLOTTE, NC 28214 2621		PC	Program/Operating Support	\$ 240.00
Westborough Community Land Trust Inc, PO Box 838 , Westborough, MA 1581		PC	Program/Operating Support	\$ 250.00
Western Slope Center For Children, PO Box 3978 , Grand Jct, CO 81502		PC	Program/Operating Support	\$ 300.00
Western Washington University Foundation, 516 High Street , Bellingham, WA 98225		PC	Program/Operating Support	\$ 2,000.00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Westerville Area Resource Ministry, 175 East Broadway Avenue Suite A , Westerville, OH 43081-1660		PC	Program/Operating Support	\$ 250.00
Westmoreland Intermediate Unit Foundation Inc, 102 Equity Drive , Greensburg, PA 15601-7190		PC	Program/Operating Support	\$ 100.00
Wexner Heritage Village, 1151 College Avenue , Columbus, OH 43209		PC	Program/Operating Support	\$ 500.00
Wheaton College, 501 College Ave , Wheaton, IL 60187-5501		PC	Program/Operating Support	\$ 750 00
Wheeler Mission Ministries, 245 N. Delaware Street , Indianapolis, IN 46204		PC	Program/Operating Support	\$ 500.00
Where There's A Will There's A Cure, 39W670 Green Pl , Geneva, IL 60134		PC	Program/Operating Support	\$ 100.00
Widener University, University Advancement One University Place, Chester, PA 19013		PC	Program/Operating Support	\$ 2,500.00
Wildwood Park for the Arts Inc, 20919 Denny Rd , Little Rock, AR 72223		PC	Program/Operating Support	\$ 500.00
Willa Cather Pioneer Memorial and Educational Foundation, 413 N Webster St , Red Cloud, NE 68970		PC	Program/Operating Support	\$ 500.00
Wing Luke Memorial Foundation-The Wing Luke Museum of Asian Pacific american Experience, 719 South King Street , Seattle, WA 98104		PC	Program/Operating Support	\$ 500 00
Winona State University Foundation, PO Box 5838 Somsen 209, Winona, MN 55987-0838		PC	Program/Operating Support	\$ 500.00
Wisconsin Dream Center, 445 N Warner Rd. , Whitewater, WI 53190		PC	Program/Operating Support	\$ 500 00
Womens Council of Greater Baton Rouge Inc, P O. Box 80138 , Baton Rouge, LA 70898		PC	Program/Operating Support	\$ 100.00
Womens Daytime Drop-In Center, 2218 Acton Street , Berkeley, CA 94702		PC	Program/Operating Support	\$ 200.00
Womens Resource Center, 415 7th Street Suite 1, Wallace, ID 83873		PC	Program/Operating Support	\$ 300.00
Woodberry Forest School, 898 Woodberry Forest Rd., 10 Woo , Woodberry Forest, VA 22989		PC	Program/Operating Support	\$ 100.00
Woodside Bible Church of Troy, 6600 Rochester Road , Troy, MI 48085-1352		PC	Program/Operating Support	\$ 500.00
World Ocean School, PO Box 701 , Camden, ME 4843		PC	Program/Operating Support	\$ 500.00
World Vision International, 34834 Weyerhaeuser Way S PO Box 9716, Federal Way, WA 98063-9716		PC	Program/Operating Support	\$ 500.00
WORLD VISION, PO BOX 9716 FEDERAL WAY, WA 98063-9716		PC	Program/Operating Support	\$ 543.60
Wounded Warrior Project Inc, 4899 Belfort Rd. Ste. 300 , Jacksonville, FL 32256		PC	Program/Operating Support	\$ 1,400 00
Wounded Warrior Project Inc, 4899 Belfort Road, Suite 300 , Jacksonville, FL 32256		PC	Program/Operating Support	\$ 50 00
XAVIERIAN BROTHERS HIGH SCHOOL, 800 CLAPBOARDTREE ST, WESTWOOD, MA, 02090-1718		PC	Program/Operating Support	\$ 346.32
Yellow Jacket Foundation Inc, 1200 University Street Unit 9506 , Spearfish, SD 57799-9506		PC	Program/Operating Support	\$ 500 00
YELLOW TEA ROSE FOUNDATION OF NC, PO BOX 33421 CHARLOTTE, NC 28233-3421		PC	Program/Operating Support	\$ 270.00
YMCA Of Greater Omaha, 430 S 20th St , Omaha, NE 68102-2506		PC	Program/Operating Support	\$ 500.00
YMCA of San Diego County - La Jolla Ymca, 8355 Cliffridge Ave. , La Jolla, CA 92037		PC	Program/Operating Support	\$ 700 00

Part XV Supplementary Information (Continued)

Recipient Name and Address	If recipient is an individual, show and relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YMCA of San Diego County, 8881 Dallas Street , La Mesa, CA 91942		PC	Program/Operating Support	\$ 1,000.00
York Hospital, 15 Hospital Dr , York, ME 3909		PC	Program/Operating Support	\$ 500 00
Young Harris College, PO Box 275 , Young Harris, GA 30582		PC	Program/Operating Support	\$ 1,000 00
Young Life, 420 N Cascade Ave , Colorado Spgs, CO 80903-3325		PC	Program/Operating Support	\$ 250.00
Young Mens Christian Association Greater Marinette Menominee, 1600 West Dr , Menominee, MI 49858-2238		PC	Program/Operating Support	\$ 500.00
Young Mens Christian Association of Albany Inc, 1701 Gillionville Rd , Albany, GA 31707		PC	Program/Operating Support	\$ 500.00
Young Mens Christian Association of Hazleton, 75 S Church St , Hazleton, PA 18201-6229		PC	Program/Operating Support	\$ 150.00
Young Mens Christian Association of Pierce and Kitsap Counties, 1614 S Mildred St Ste 1 , Tacoma, WA 98465		PC	Program/Operating Support	\$ 1,500.00
Young Mens Christian Association of the Greater Twin Cities, 2125 East Hennepin Avenue , Minneapolis, MN 55413-1766		PC	Program/Operating Support	\$ 500.00
Young Mens Christian Association of the Pikes Peak Region - YMCA of the Pikes Peak Region, 316 North Tejon , Colorado Springs, CO 80903		PC	Program/Operating Support	\$ 250.00
Young Mens Christian Association, 30 Mechanic St , Manchester, NH 03101-1923		PC	Program/Operating Support	\$ 100.00
Young Mens Christian Association Greater Charlotte- Morrison Branch, 9405 Bryant Farms Road , Charlotte, NC 28277		PC	Program/Operating Support	\$ 500.00
Young Womens Christian Association of Birmingham, 309 23rd St N , Birmingham, AL 35203		PC	Program/Operating Support	\$ 500.00
Youth Conservation Alliance, 847 S. Randall Road, Suite 101 , Elgin, IL 60123		PC	Program/Operating Support	\$ 500.00
Youth for Christ USA Inc, PO Box 1064 , Valparaiso, IN 46383		PC	Program/Operating Support	\$ 2,200.00
Youth Making A Difference, 2176 So. 2000 East , Salt Lake City, UT 84106		PC	Program/Operating Support	\$ 300.00
Youth Soccer of New Rochelle Inc, PO Box 64 , New Rochelle, NY 10804		PC	Program/Operating Support	\$ 250.00
Youth Town of Tennessee Inc, PO Box 1385 , Jackson, TN 38302		PC	Program/Operating Support	\$ 300.00
Zeeland Christian School, 334 West Central Avenue , Zeeland, MI 49464		PC	Program/Operating Support	\$ 1,000.00
Zumix Inc, 260 Sumner Street , Boston, MA 2128		PC	Program/Operating Support	\$ 150 00

Total Matching - to Part XV

\$ 461,898.46

FEDERAL IDENTIFICATION

no. _____
Fee: \$15.00

Examiner

The Commonwealth of Massachusetts

William Francis Galvin

Secretary of the Commonwealth

One Ashburton Place, Room 1717, Boston, Massachusetts 02108-1512

ARTICLES OF AMENDMENT (General Laws, Chapter 180, Section 7)

Name
Approved

We, Heather Carter, President / Vice President,

and Jennifer Carpenter, Clerk / Assistant Clerk,

of LPL Financial Charitable Foundation Inc.

(Exact name of corporation)

located at 75 State Street, 24th Floor, Boston, MA 02109

(Address of corporation in Massachusetts)

do hereby certify that these Articles of Amendment affecting articles numbered:

1

(Number those articles 1, 2, 3, and/or 4 being amended)

of the Articles of Organization were duly adopted at a meeting held on June 7, 2013, by vote of:

1 members, _____ directors, or _____ shareholders**.

☒ Being at least two-thirds of its members legally qualified to vote in meetings of the corporation; OR

☐ Being at least two-thirds of its directors where there are no members pursuant to General Laws,
Chapter 180, Section 3; OR

☐ In the case of a corporation having capital stock, by the holders of at least two-thirds of the capital stock having
the right to vote therein.

Article 1 is hereby amended to change the name of the Corporation to "LPL Financial Foundation Inc."

C ☐
P ☐
M ☐
R.A. ☐

*Delete the inapplicable words.

**Check only one box that applies.

Note: If the space provided under any article or item on this form is insufficient, additions shall be set forth on one side only of separate 8 1/2 x 11 sheets of paper with a left margin of at least 1 inch. Additions to more than one article may be made on a single sheet so long as each article requiring each addition is clearly indicated.

RC

The foregoing amendment(s) will become effective when these Articles of Amendment are filed in accordance with General Laws, Chapter 180, Section 7 unless these articles specify, in accordance with the vote adopting the amendment, a later effective date not more than *thirty days* after such filing, in which event the amendment will become effective on such later date.

Later effective date: _____

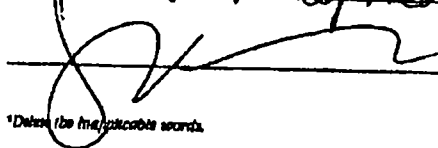
SIGNED UNDER THE PENALTIES OF PERJURY, this 11th day of June, 20 13



, "President

Heather Carter

, "Clerk

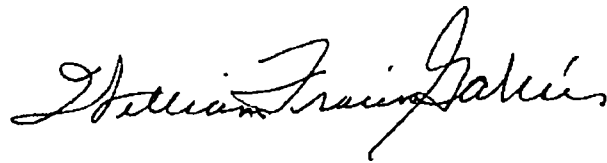

*Delete the inapplicable words.

Jennifer Carpenter

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears
that the provisions of the General Laws relative to corporations have been complied with,
and I hereby approve said articles; and the filing fee having been paid, said articles are
deemed to have been filed with me on:

June 12, 2013 02:51 PM

A handwritten signature in black ink, reading "William Francis Galvin". The signature is written in a cursive style with a large, stylized "G" at the end.

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions. LPL FINANCIAL FOUNDATION, INC	Employer identification number (EIN) or 27-4171978
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions. 75 STATE STREET, 24TH FLOOR	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. BOSTON, MA 02109	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JIM HOOKS, TREASURER

- The books are in the care of **4828 PARKWAY PLAZA BLVD, 1ST FLOOR - CHARLOTTE, NC 28217**

Telephone No **704-733-3824**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME NEEDED TO OBTAIN INFORMATION FROM THIRD PARTY SOURCES TO MEET FILING REQUIREMENTS.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶**

Title **▶ TREASURER**

Date **▶**

Form 8868 (Rev. 1-2014)