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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES
% JEFFREY C HAMMES

A Employer identification number
27-3621031

Number and street (or P O box number if mail is not delivered to street address) Room/suite
KIRKLAND ELLIS LLP
300 N LASALLE STREET Suite

B Telephone number (see instructions)
(847) 835-9707

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60654

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 2,108,911

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	4,115	4,115		
	4	Dividends and interest from securities	41,215	41,215		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	65,819			
	b	Gross sales price for all assets on line 6a 2,138,181				
	7	Capital gain net income (from Part IV, line 2)		65,819		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-32,978	-32,978		
	12	**Total.** Add lines 1 through 11	78,171	78,171		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,364	1,682	0	1,682
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	5,129	5,119		10
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,493	6,801	0	1,692
	25	Contributions, gifts, grants paid	325,000			325,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	333,493	6,801	0	326,692
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-255,322			
	b	**Net investment income** (if negative, enter -0-)		71,370		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	609,398	203,114	203,114
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,016,345	1,025,379	1,094,285
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	549,080	691,008	811,512
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,174,823	1,919,501	2,108,911
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	2,174,823	1,919,501	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,174,823	1,919,501	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,174,823	1,919,501	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,174,823
2	Enter amount from Part I, line 27a	2	-255,322
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,919,501
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,919,501

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SCHWAB #1698 - SEE ATTACHED	P	2012-12-21	2013-06-24
b	SCHWAB #2225 - SEE ATTACHED	P	2013-01-01	2013-12-01
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,938		3,589	349
b	2,134,243		2,068,773	65,470
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				349
b				65,470
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	65,819
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	21,111	1,984,815	0 010636
2011	20,764	1,902,470	0 010914
2010	0	82,805	0 0
2009			
2008			

2	**Total** of line 1, column (d).	2	0 02155
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 007183
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,115,584
5	Multiply line 4 by line 3.	5	15,196
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	714
7	Add lines 5 and 6.	7	15,910
8	Enter qualifying distributions from Part XII, line 4.	8	326,692

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	714
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	714
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	714
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a: 31		
b	Exempt foreign organizations—tax withheld at source	6b:		
c	Tax paid with application for extension of time to file (Form 8868)	6c: 2,500		
d	Backup withholding erroneously withheld	6d:		
7	Total credits and payments Add lines 6a through 6d		7	2,531
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	9
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,808
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,808 **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶JEFFREY C HAMMES Telephone no ▶(847) 835-9707

Located at ▶300 N LASALLE STREET CHICAGO IL ZIP+4 ▶60654

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JEFFREY C HAMMES KIRKLAND ELLIS LLP CHICAGO, IL 60654	DIRECTOR, PRESIDENT, TREASURER 0	0	0	0
LINDA H HAMMES KIRKLAND ELLIS LLP CHICAGO, IL 60654	DIRECTOR, SECRETARY 0	0	0	0
MITCHELL H HAMMES KIRKLAND ELLIS LLP CHICAGO, IL 60654	DIRECTOR 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,724,294
b	Average of monthly cash balances	1b	423,507
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,147,801
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,147,801
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	32,217
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,115,584
6	**Minimum investment return.** Enter 5% of line 5	6	105,779

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	105,779
2a	Tax on investment income for 2013 from Part VI, line 5	2a	714		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	714
3	Distributable amount before adjustments Subtract line 2c from line 1			3	105,065
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	105,065
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	105,065

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	326,692
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	326,692
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	714
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	325,978

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				105,065
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			98,769	
b Total for prior years 2011 , 2010 , 2009		55,886		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 326,692				
a Applied to 2012, but not more than line 2a			98,769	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				105,065
e Remaining amount distributed out of corpus	66,972			
5 Excess distributions carryover applied to 2013				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	66,972			
b Prior years' undistributed income Subtract line 4b from line 2b		55,886		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		55,886		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	66,972			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . . 66,972				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JEFFREY C HAMMES

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STANFORD LAW SCHOOL 559 NATHAN ABBOTT WAY STANFORD,CA 943058610	NONE	PC	TO FURTHER PROGRAM SERVICES	20,000
JEWISH UNITED FUND 30 S WELLS ST CHICAGO,IL 606065056	NONE	PC	TO FURTHER PROGRAM SERVICES	80,000
NORTHWESTERN LAW 1201 DAVIS ST EVANSTON,IL 602084410	NONE	PC	TO FURTHER PROGRAM SERVICES	100,000
UNIVERSITY OF WISCONSIN 1848 UNIVERSITY AVENUE MADISON,WI 537264090	NONE	PC	TO FURTHER PROGRAM SERVICES	125,000
Total . . . . ▶ **3a**				325,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	4,115	
4 Dividends and interest from securities. . . .			14	41,215	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.				0	
8 Gain or (loss) from sales of assets other than inventory			18	65,819	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				111,149	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 111,149

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2013-05-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Thomas Drennan				P00071232
Firm's name ▶ TOPEL FORMAN LLC			Firm's EIN ▶	
Firm's address ▶ 500 NORTH MICHIGAN AVE SUITE 1700 CHICAGO, IL 60611			Phone no (312)642-0006	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

TY 2013 Investments Corporate Stock Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB [1698] - SEE ATTACHED	697,646	755,368
SCHWAB [2225] - SEE ATTACHED	327,733	338,917

TY 2013 Investments - Land Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

TY 2013 Investments - Other Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
KIRKLAND & ELLIS INVESTMENT			
PARTNERSHIPS	AT COST	691,008	811,512

TY 2013 Land, Etc. Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

TY 2013 Other Expenses Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	10			10
BANK FEES	200	200		
INVESTMENT FEES	4,919	4,919		

TY 2013 Other Income Schedule

Name: HAMMES FAMILY FOUNDATION
C/O JEFFREY C HAMMES

EIN: 27-3621031

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
KIRKLAND & ELLIS INVESTMENT PARTNERSHIPS	-33,141	-33,141	
BLACKSTONE GROUP	163	163	

Investment Detail - Equities

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	Acquired	Unrealized Gain or (Loss)
KAYNE ANDERSON MLP INVT ◊	**611 1179**	**39 8500**	**24,353 05**		**5,920 04**
SYMBOL KYN	36 1179	34 8209 r	1,257 66		181 64
	415 0000	30 0807	12,483 52	12/21/12	4,054 23
	160 0000	29 3239	4,691 83	12/28/12	1,684 17
Cost Basis			*18,433 01*		
TORTOISE EGY INFRASTRUCT ◊	**475 6980**	**47 6700**	**22,676 52**		**4,424 68**
SYMBOL TYG	22 6980	46 4622 r	1,054 60		27 41
	326 0000	38 2674	12,475 19	12/21/12	3,065 23
	127 0000	37 1814	4,722 05	12/28/12	1,332 04
Cost Basis			*18,251 84*		
Total Equities	**1,086.8159**		**47,029.57**		**10,344.72**
		Total Cost Basis.	***36,684.85***		

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital g overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case prior rate

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Average Cost Basis	Co
DODGE & COX INCOME FUND ◊ SYMBOL DODIX	4,008 4730	13 5300	54,234 64	13 85	5
JPMORGAN HIGH YIELD FD ◊ SLCT CL SYMBOL OHYFX	7,164 6180	7 9800	57,173 65	8 18	5

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of yo
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Mutual Funds (continued)

Bond Funds (continued)	Quantity	Market Price	Market Value	Average Cost Basis	Co
PIMCO EMRG LOCAL BD FD ◊ INSTL CL SYMBOL PELBX	3,214 0210	9 3300	29,986 82	11 00	3
PIMCO FOREIGN BOND FUND ◊ INSTITUTIONAL CLASS SYMBOL PFORX	1,291 8750	10 5200	13,590 53	10 95	1
VANGUARD INFLATION ◊ PROTECTED SECURITIES FD SYMBOL VIPSX	2,357 1230	12 9800	30,595 46	14 55	3
WELLS FARGO ADVTG INTL ◊ BD I SYMBOL ESICX	1,197 3830	10 7700	12,895 81	11 72	1
Total Bond Funds	**19,233.4930**		**198,476.91**		**21**

Equity Funds	Quantity	Market Price	Market Value	Average Cost Basis	Co
AMERICAN FD EUROPACIFIC ◊ GWTH FD CL F2 SYMBOL AEPFX	1,247 8250	48 9800	61,118 47	41 47	5
COHEN & STEERS INST ◊ REALTY SHARES SYMBOL CSRIX	1,198 5620	40 7700	48,865 37	41 82	5
DFA EMERGING MKTS VALUE ◊ PORT INSTL SYMBOL DFEVX	1,664 0640	27 6100	45,944 81	29 29	4

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of yo
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	Average Cost Basis	Co
DODGE & COX INTL STOCK ◊ FUND SYMBOL DODFX	1,488 2760	43 0400	64,055 40	34 48	5
PIMCO COMMODITY REAL ◊ RETURN STRAT INST SYMBOL PCRIX	10,433 4800	5 4900	57,279 81	6 64	6
Total Equity Funds	**16,032.2070**		**277,263.86**		**27**
Total Mutual Funds	**35,265.7000**		**475,740.77**		**48**

Investment Detail - Other Assets

Other Assets	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	Acquired	Unrealiz Gain or (Los
ISHARES CORE S&P ETF ◊	**897 6945**	**185 6500**	**166,656 98**		**37,839**
S&P 500 INDEX	17 6945	168 7270	2,985 54		299
SYMBOL IVV	636 0000	143 5680	91,309 27	12/21/12	26,764
	44 0000	141 4856	6,225 37	12/28/12	1,943
	100 0000	141 4857	14,148 57	12/28/12	4,416
	100 0000	141 4857	14,148 57	12/28/12	4,416
Cost Basis			*128,817 32*		

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of yo
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealiz Gain or (Los
VANGUARD SMALL CAP ◊	**599 7327**	**109 9500**	**65,940 61**		**16,999**
SYMBOL VB	7 7327	83 1274 ᶠ	642 80		207
	426 0000	82 2610	35,043 19	12/21/12	11,795
	166 0000	79 8499	13,255 09	12/28/12	4,996
Cost Basis			*48,941 08*		
Total Other Assets	**1,497.4272**		**232,597.59**		**54,839.**
		Total Cost Basis:	***177,758.40***		

TOTAL COST $ 697,646
TOTAL FMV $755,368

Total Investment De

Total Account Va

Total Cost Ba

Transaction Detail - Purchases & Sales

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity
12/02/13	12/02/13	Reinvested Shares	TORTOISE EGY INFRASTRUCT TYG	5 3894
Total Equities Activity				

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of yo
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Change in Account Value

Change in Account Value	This Period	Year to Date
Starting Value	**$ 749,913.89**	**$ 678,037 07**
Cash Value of Purchases & Sales	(10,336 57)	(85,819 93)
Investments Purchased/Sold	10,336 57	85,819 93
Deposits & Withdrawals	0 00	0 00
Dividends & Interest	10,918 96	24,291 28
Fees & Charges	(1,266 18)	(4,918 98)
Transfers	0 00	0 00
Income Reinvested	(10,336 57)	(23,866 47)
Change in Value of Investments	7,550 15	83,237 35
Ending Value on 12/31/2013	**$ 756,780 25**	**$ 756,780.25**
Total Change in Account Value (Totals include Deposits & Withdrawals)	**$ 6,866.36**	**$ 78,743.18**

Asset Composition

Asset Composition	Market Value
Cash and Money Market Funds [Sweep]	$ 1,412 32
Equities	47,029 57
Bond Funds	198,476 91
Equity Funds	277,263 86
Other Assets	232,597 59
Total Assets Long	**$ 756,780 25**
Total Account Value	**$ 756,780.25**

Gain or (Loss) Summary

Realized Gain or (Loss) This Period

Short Term	$0 00
Long Term	$0 00

Unrealized Gain or (Loss)

All Investments	$57,721 96

Values may not reflect all of your gains/losses

DiMEO
SCHNEIDER
& ASSOCIATES, LLC

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete *or unavailable for some of your holdings*
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

SIPC

Income Summary

Income Summary	This Period Federally Tax-Exempt	This Period Federally Taxable	Year to Date Federally Tax-Exempt	Year to Date Federally Taxable
Money Funds Dividends	0 00	0 00	0 00	0 04
Cash Dividends	0 00	6,981 08	0 00	20,179 53
Total Capital Gains	0 00	3,937 88	0 00	4,111 71
Total Income	**0.00**	**10,918.96**	**0.00**	**24,291.28**

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value
Cash	852 82
Total Cash	**852.82**

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield
SCH ADV CASH RESRV PREM SWZXX	559 5000	1 0000	559 50	0 01%
Total Money Market Funds [Sweep]			**559.50**	

Total Cash & Money Market [Sweep]	**1,412.32**

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
KAYNE ANDERSON MLP INVT ◊	**611 1179**	**39 8500**	**24,353 05**		**5,920 04**	**5 97%**	**1,454 46**
SYMBOL KYN	36 1179	34 8209 r	1,257 66		181 64		Short-Term
	415 0000	30 0807	12,483 52	12/21/12	4,054 23	375	Long-Term
	160 0000	29 3239	4,691 83	12/28/12	1,684 17	368	Long-Term
Cost Basis			*18,433 01*				
TORTOISE EGY INFRASTRUCT ◊	**475 6980**	**47 6700**	**22,676 52**		**4,424 68**	**4 82%**	**1,094 11**
SYMBOL TYG	22 6980	46 4622 r	1,054 60		27 41		Short-Term
	326 0000	38 2674	12,475 19	12/21/12	3,065 23	375	Long-Term
	127 0000	37 1814	4,722 05	12/28/12	1,332 04	368	Long-Term
Cost Basis			*18,251 84*				
Total Equities	**1,086.8159**		**47,029.57**		**10,344.72**		**2,548 57**
		Total Cost Basis.	***36,684.85***				

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DODGE & COX INCOME FUND ◊ SYMBOL DODIX	4,008 4730	13 5300	54,234 64	13 85	55,532 08	(1,297 44)
JPMORGAN HIGH YIELD FD ◊ SLCT CL SYMBOL OHYFX	7,164 6180	7 9800	57,173 65	8 18	58,579 37	(1,405 72)

Investment Detail - Total

Realized Gain or (Loss)

Short Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES CORE S&P ETF S&P 500 INDEX IVV	25 0000	12/21/12	06/24/13	3,937 98	3,589 20	348 78
Total Short Term				**3,937.98**	**3,589.20**	**348.78**
Total Realized Gain or (Loss)				**3,937.98**	**3,589.20**	**348.78**

Schwab has provided accurate realized gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings If all data for a given investment is not available, the investment will not be listed here
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options

Hammes Family Foundation
Schwab Account Holdings #2225
YE 12/31/2013
Realized Gain or (Loss)

Stocks	Date Acquired	2013 Dispositions Date	Quantity	Proceeds	Book Cost	Gain/Loss	As of 12/31/13 Quantity	Book Cost	FMV
SECTOR SPDR	05/15/13	05/20/13	5,000 00	99,563 82	99,054 31	509 51	1000 0000	21,496 95	21,860 00
	05/15/13	07/29/13	4,000 00	82,233 62	78,723 16	3,510 46			
	05/24/13	08/23/13	2,000 00	39,994 35	40,120 27	(125 92)			
	08/13/13	09/23/13	1,000 00	20,111 70	20,439 47	(327 77)			
SPDR GOLD TRUST	08/16/13	08/30/13	500 00	67,425 88	66,509 23	916 65	-	-	-
	08/16/13	09/10/13	1,000 00	131,322 76	134,686 12	(3,363 36)			
SPDR S&P MIDCAP 400 ETF	VARIOUS	02/08/13	150 00	30,238 47	28,450 49	1,787 98	-	-	-
	01/02/13	02/27/13	50 00	10,073 84	9,483 49	590 35			
	VARIOUS	02/27/13	100 00	20,147 78	18,966 99	1,180 79			
	VARIOUS	05/20/13	300 00	66,153 98	59,930 37	6,223 61			
	VARIOUS	05/20/13	400 00	88,205 71	87,985 56	220 15			
WISDOMTREE JPN HDGD EQTY JAPAN	03/28/13	04/17/13	500 00	22,796 54	21,543 45	1,253 09	-	-	-
ALTRIA GROUP INC MO	05/15/13	05/20/13	100 00	3,732 52	3,747 35	(14 83)	-	-	-
	05/15/13	05/20/13	320 00	11,942 47	11,991 51	(49 04)			
	05/15/13	05/20/13	580 00	21,647 46	21,734 62	(87 16)			
		09/23/13	1,000 00	35,225 44	37,473 47	(2,248 03)			
GENERAL ELECTRIC COMPANY GE	05/15/13	05/20/13	1,000 00	23,441 52	23,243 48	198 04	-	-	-
		09/23/13	1,000 00	24,213 63	23,243 47	970 16			
BLACKSTONE GROUP LP BX	05/17/13	07/29/13	1,000 00	23,152 65	23,657 95	(505 30)	-	(163 00)	-
DAVITA HEALTHCARE PTNR DVA	05/28/13	07/29/13	100 00	11,778 24	12,738 35	(960 11)	-	-	-
DIRECTV DTV	05/28/13	07/29/13	100 00	6,363 54	6,393 65	(30 11)	-	-	-
VANGUARD TOTAL STOCK MKT VTI	06/13/13	07/29/13	2,000 00	174,932 01	165,728 30	9,203 71	1,250 00	116,340 67	119,900 00
	06/20/13								
	06/20/13								
	10/11/13	10/30/13	250 00	22,968 65	22,163 73	804 92			
	12/09/13								
CH ROBINSON WORLDWD NEW CHRW	05/08/13	09/23/13	200 00	12,271 04	11,400 65	870 39	-	-	-
	05/08/13								
HCA HOLDINGS INC HCA	05/28/13	09/23/13	300 00	12,487 30	11,525 31	961 99	-	-	-

Hammes Family Foundation
Schwab Account Holdings #2225
YE 12/31/2013
Realized Gain or (Loss)

	Date	2013 Dispositions					As of 12/31/13		
Stocks	**Acquired**	**Date**	**Quantity**	**Proceeds**	**Book Cost**	**Gain/Loss**	**Quantity**	**Book Cost**	**FMV**
	05/28/13								
NETAPP INC NTAP	05/30/13	09/23/13	500 00	21,542 18	19,007 45	2,534 73	-	-	-
						-			
						-			
ANNALY CAPITAL MGMT	09/14/12	1/14/2013	2,000 00	29,354 39	35,186 95	(5,832 56)	-	-	-
ISHARES CORE S&P ETF	11/07/12	2/5/2013	300 00	24,951 69	22,474 49	2,477 20	-	-	-
	VARIOUS	02/27/13	350 00	29,405 09	26,220 23	3,184 86			
	VARIOUS	04/17/13	350 00	29,018 70	26,220 23	2,798 47			
	VARIOUS	10/30/13	500 00	52,147 14	50,457 75	1,689 39			
ISHARES MSCI PAC EX JAPN	11/07/12	02/05/13	750 00	36,381 73	34,250 31	2,131 42	-	-	-
	01/07/13	05/20/13	450 00	22,557 57	20,961 92	1,595 65			
		05/20/13	300 00	15,038 68	14,317 77	720 91			
MKT VECTORS PHARMA ETF	12/10/12	02/05/13	300 00	12,813 32	12,487 49	325 83	-	-	-
	VARIOUS	02/05/13	700 00	29,899 87	29,137 46	762 41			
	02/08/13	04/29/13	500 00	23,317 53	21,376 95	1,940 58			
SPDR S&P 500 ETF	11/07/12	02/05/13	55 00	8,297 84	7,715 78	582 06	300 00	53,963 35	55,407 00
	VARIOUS	02/05/13	135 00	20,367 41	18,938 74	1,428 67			
	VARIOUS	02/05/13	310 00	46,769 92	43,488 95	3,280 97			
	VARIOUS	02/08/13	200 00	30,334 57	28,057 39	2,277 18			
	VARIOUS	02/27/13	400 00	60,882 09	56,623 68	4,258 41			
	VARIOUS	05/20/13	400 00	66,710 36	58,150 36	8,560 00			
	05/21/13	07/29/13	1,000 00	169,020 11	167,337 35	1,682 76			
SPDR EURO STOXX 50 ETF	09/04/12	02/05/13	1,000 00	35,226 26	33,593 48	1,632 78	500 00	19,668 47	21,100 00
	1/7/2013	02/27/13	1,000 00	33,902 29	33,593 47	308 82			
	10/10/2013	09/23/13	1,000 00	38,433 38	35,074 95	3,358 43			
	VARIOUS	10/30/13	500 00	20,526 69	19,668 48	858 21			
SECTOR SPDR UTIL SELECT	5/30/2013	09/23/13	1,000 00	38,073 39	37,966 95	106 44	-	-	-
SECTOR SPDR TECH SELECT	5/31/2013	08/23/13	2,000 00	63,993 94	63,810 43	183 51	1,500 00	51,249 92	53,610 00
	7/29/2013	09/23/13	1,000 00	32,403 49	31,763 47	640 02			
	10/11/2013	10/30/13	500 00	16,901 76	16,222 98	678 78			
SECTOR SPDR HEALTH FUND	8/14/2013	08/23/13	100 00	4,948 16	5,091 69	(143 53)	250 00	12,816 22	13,860 00
	10/11/2013	08/23/13	100 00	4,948 22	5,091 70	(143 48)			
	VARIOUS	08/23/13	300 00	14,844 67	15,275 09	(430 42)			

Hammes Family Foundation
Schwab Account Holdings #2225
YE 12/31/2013
Realized Gain or (Loss)

	Date	2013 Dispositions					As of 12/31/13		
Stocks	Acquired	Date	Quantity	Proceeds	Book Cost	Gain/Loss	Quantity	Book Cost	FMV
	VARIOUS	09/23/13	500 00	25,532 11	25,458 47	73 64			
	VARIOUS	10/30/13	250 00	13,273 82	12,816 23	457 59			
PIMCO ETF	8/29/2013						500 00	52,197 55	53,180 00
	8/29/2013								
Totals				**2,134,243 29**	**2,068,773 39**	**65,469 90**		**327,570 13**	**338,917 00**