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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).



OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation UNVERFEHRT CHARITABLE FOUNDATION		A Employer identification number  27-3469866	
Number and street (or P O box number if mail is not delivered to street address) 6 ROLLING RIDGE ROAD		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MILLSTADT, IL 62260		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 2,170,044		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	17,873			
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	12,509	12,509		
	4 Dividends and interest from securities. . . . .	36,353	36,353		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	32,094			
	b Gross sales price for all assets on line 6a 555,361				
	7 Capital gain net income (from Part IV , line 2) . . .		17,395		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	98,829	66,257		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .	17,873			
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . . 	1,200	1,200	1,200	1,200
	c Other professional fees (attach schedule) . . . . . 	11,858	11,858	11,858	11,858
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) 	1,089	25	25	25
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .				
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	32,020	13,083	13,083	13,083
	25 Contributions, gifts, grants paid . . . . .	100,660			100,660
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	132,680	13,083	13,083	113,743
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-33,851			
	b <b>Net investment income</b> (if negative, enter -0-)		53,174		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	45,180	36,255	36,255
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	737,914	762,803	899,793
	c	Investments—corporate bonds (attach schedule). . . . .	515,358	471,398	472,209
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	606,398	600,543	761,787
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,904,850	1,870,999	2,170,044	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	1,904,850	1,870,999	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	1,904,850	1,870,999	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	1,904,850	1,870,999	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,904,850
2	Enter amount from Part I, line 27a . . . . .	2	-33,851
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,870,999
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,870,999

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	17,395
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	113,993	1,917,948	0 059435
2011	118,338	2,100,109	0 056349
2010			
2009			
2008			
2	Total of line 1, column (d). . . . .		2 0 115784
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3 0 057892
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .		4 2,099,612
5	Multiply line 4 by line 3. . . . .		5 121,551
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6 532
7	Add lines 5 and 6. . . . .		7 122,083
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		8 113,743

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	1,063
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2. . . . .	3	1,063
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	1,063
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d. . . . .	7	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	23
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <input type="checkbox"/>	9	1,086
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . <input type="checkbox"/>	10	
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶CARL A UNVERFEHRT Telephone no ▶(618) 972-3845 Located at ▶6 ROLLING RIDGE MILLSTADT YM ZIP +4 ▶62260			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARL A UNVERFEHRT 6 ROLLING RIDGE MILLSTADT,IL 62260	TREASURER 5 00	0	8,937	0
JUDY M CLIFTON 6 ROLLING RIDGE MILLSTADT,IL 62260	PRESIDENT 5 00	0	8,936	0
KARI M CARTER 6 ROLLING RIDGE MILLSTADT,IL 62260	DIRECTOR 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

<b>1</b>	DISTRIBUTION OF FUNDS TO ORGANIZATIONS EXEMPT FROM TAX UNDER IRC SECTION 501(C)(3)	
<b>2</b>		
<b>3</b>		
<b>4</b>		

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . .



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	2,094,358
b	Average of monthly cash balances. . . . .	1b	37,228
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	2,131,586
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	2,131,586
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	31,974
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,099,612
6	Minimum investment return. Enter 5% of line 5. . . . .	6	104,981

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	104,981
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	1,063
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,063
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	103,918
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	103,918
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	103,918

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	113,743
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	113,743
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	113,743
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				103,918
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				14,444
e From 2012. . . . .				19,137
f Total of lines 3a through e. . . . .	33,581			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 113,743				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				103,918
e Remaining amount distributed out of corpus	9,825			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	43,406			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	43,406			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				14,444
d Excess from 2012. . . .				19,137
e Excess from 2013. . . .				9,825

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

UNVERFEHRT CHARITABLE FOUNDATION  
6 ROLLING RIDGE  
MILLSTADT, IL 62260  
(618) 972-3845

b

The form in which applications should be submitted and information and materials they should include

THERE WILL BE NO APPLICATION FORM OR GRANT PROPOSAL PAPERWORK REQUIRED. DISTRIBUTIONS MAY BE REQUESTED THROUGH A WRITTEN DOCUMENT TO THE FOUNDATION.

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

DISTRIBUTIONS WILL BE MADE AT THE DISCRETION OF THE BOARD OF DIRECTORS

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2014-05-12

Date

\*\*\*\*\*

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name  
POLLY A STOVER CPA  
MBA

Preparer's Signature

Date  
2014-05-12

Check if self-employed ☐

PTIN  
P00154763

Firm's name

DIEL & FORGUSON LLC

Firm's EIN

Firm's address

852 CAMBRIDGE BLVD STE 100 O FALLON, IL 622691957

Phone no (618) 632-7574

Form 990-PF (2013)

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

CARL UNVERFEHRT
JUDY CLIFTON

**TY 2013 Accounting Fees Schedule****Name:** UNVERFEHRT CHARITABLE FOUNDATION**EIN:** 27-3469866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES - ACCT	1,200	1,200	1,200	1,200



## TY 2013 Compensation Explanation

**Name:** UNVERFEHRT CHARITABLE FOUNDATION

**EIN:** 27-3469866

Person Name	Explanation
CARL A UNVERFEHRT	
JUDY M CLIFTON	
KARI M CARTER	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: UNVERFEHRT CHARITABLE FOUNDATION  
EIN: 27-3469866

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ARTISAN SMALL CAP VALUE	2010-11	PURCHASE	2013-04		10,138	10,000			138	
ARTISAN SMALL CAP VALUE	2010-12	PURCHASE	2013-04		9	10			-1	
ARTISAN SMALL CAP VALUE	2011-01	PURCHASE	2013-04		5,803	6,000			-197	
ARTISAN SMALL CAP VALUE	2011-02	PURCHASE	2013-04		5,196	5,700			-504	
ARTISAN SMALL CAP VALUE	2011-12	PURCHASE	2013-04		9	8			1	
ARTISAN SMALL CAP VALUE	2011-12	PURCHASE	2013-04		421	377			44	
ARTISAN SMALL CAP VALUE	2011-12	PURCHASE	2013-04		1,548	1,384			164	
ARTISAN SMALL CAP VALUE	2012-12	PURCHASE	2013-04		188	179			9	
ARTISAN SMALL CAP VALUE	2012-12	PURCHASE	2013-04		190	181			9	
ARTISAN SMALL CAP VALUE	2012-12	PURCHASE	2013-04		350	334			16	
HEARTLAND VALUE PLUS FD	2010-11	PURCHASE	2013-04		6,270	5,461			809	
HEARTLAND VALUE PLUS FD	2010-12	PURCHASE	2013-04		61	58			3	
HEARTLAND VALUE PLUS FD	2010-12	PURCHASE	2013-04		30	29			1	
HEARTLAND VALUE PLUS FD	2011-01	PURCHASE	2013-04		6,129	5,700			429	
HEARTLAND VALUE PLUS FD	2011-02	PURCHASE	2013-04		5,190	5,000			190	
HEARTLAND VALUE PLUS FD	2011-07	PURCHASE	2013-04		2,479	2,500			-21	
HEARTLAND VALUE PLUS FD	2011-12	PURCHASE	2013-04		166	146			20	
HEARTLAND VALUE PLUS FD	2011-12	PURCHASE	2013-04		290	256			34	
HEARTLAND VALUE PLUS FD	2012-12	PURCHASE	2013-04		146	136			10	
HEARTLAND VALUE PLUS FD	2012-12	PURCHASE	2013-04		250	232			18	
HEARTLAND VALUE PLUS FD	2012-12	PURCHASE	2013-04		426	395			31	
ILLINOIS MUN EL	2011-05	PURCHASE	2013-02		30,000	29,918			82	
ISH RUSSELL MIDCAP VALUE IDX	2010-11	PURCHASE	2013-04		14,183	10,516			3,667	
ISH RUSSELL MIDCAP VAL IDX	2010-12	PURCHASE	2013-04		5,673	4,506			1,167	
ISH RUSSELL MIDCAP VAL IDX	2010-12	PURCHASE	2013-04		5,673	4,506			1,167	
ISH RUSSELL MIDCAP VAL IDX	2010-12	PURCHASE	2013-04		87	69			18	
ISH RUSSELL MIDCAP VAL IDX	2011-01	PURCHASE	2013-04		14,183	11,381			2,802	
ISH RUSSELL MIDCAP VAL IDX	2011-02	PURCHASE	2013-04		11,346	9,429			1,917	
ISH RUSSELL MIDCAP VAL IDX	2011-03	PURCHASE	2013-04		11,346	9,509			1,837	
ISH RUSSELL MIDCAP VAL IDX	2011-03	PURCHASE	2013-04		213	180			33	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ISH RUSSELL MIDCAP VAL IDX	2011-07	PURCHASE	2013-04		326	272			54	
ISH RUSSELL MIDCAP VAL IDX	2011-09	PURCHASE	2013-04		303	208			95	
ISH RUSSELL MIDCAP VAL IDX	2011-12	PURCHASE	2013-04		417	320			97	
ISH RUSSELL MIDCAP VAL IDX	2012-03	PURCHASE	2013-04		278	235			43	
ISH RUSSELL MIDCAP VAL IDX	2012-07	PURCHASE	2013-04		324	267			57	
ISH RUSSELL MIDCAP VAL IDX	2012-10	PURCHASE	2013-04		288	249			39	
ISH RUSSELL MIDCAP VAL IDX	2012-12	PURCHASE	2013-04		498	439			59	
ISH RUSSELL MIDCAP VAL IDX	2013-04	PURCHASE	2013-04		216	217			-1	
ISH RUSSELL MIDCAP VAL IDX	2013-04	PURCHASE	2013-04		22	22				
ISH S&P600 SMALLCAP VL IDX	2010-11	PURCHASE	2013-04		8,869	6,638			2,231	
ISH S&P600 SMALLCAP VL IDX	2010-11	PURCHASE	2013-04		3,548	2,655			893	
ISH S&P600 SMALLCAP VL IDX	2010-11	PURCHASE	2013-04		887	664			223	
ISH S&P600 SMALLCAP VL IDX	2010-12	PURCHASE	2013-04		3,548	2,924			624	
ISH S&P600 SMALLCAP VL IDX	2010-12	PURCHASE	2013-04		4,435	3,655			780	
ISH S&P600 SMALLCAP VL IDX	2010-12	PURCHASE	2013-04		5,322	4,385			937	
ISH S&P600 SMALLCAP VL IDX	2010-12	PURCHASE	2013-04		123	101			22	
ISH S&P600 SMALLCAP VL IDX	2011-03	PURCHASE	2013-04		3,424	2,823			601	
PERKINS MID CAP VALUE FD	2010-11	PURCHASE	2013-04		10,929	10,000			929	
PERKINS MID CAP VALUE FD	2010-12	PURCHASE	2013-04		79	74			5	
PERKINS MID CAP VALUE FD	2011-02	PURCHASE	2013-04		4,419	4,400			19	
PERKINS MID CAP VALUE FD	2011-04	PURCHASE	2013-04		3,057	3,100			-43	
PERKINS MID CAP VALUE FD	2011-04	PURCHASE	2013-04		2,872	3,000			-128	
PERKINS MID CAP VALUE FD	2011-12	PURCHASE	2013-04		332	285			47	
PERKINS MID CAP VALUE FD	2011-12	PURCHASE	2013-04		1,576	1,353			223	
PERKINS MID CAP VALUE FD	2012-12	PURCHASE	2013-04		171	157			14	
PERKINS MID CAP VALUE FD	2012-12	PURCHASE	2013-04		846	774			72	
PIMCO COMMODITY REAL RETURN I	2010-11	PURCHASE	2013-04		13,485	18,025			-4,540	
PIMCO COMMODITY REAL RETURN I	2010-12	PURCHASE	2013-04		4,900	6,549			-1,649	
PIMCO COMMODITY REAL RETURN I	2010-12	PURCHASE	2013-04		379	506			-127	
PIMCO COMMODITY REAL RETURN I	2011-01	PURCHASE	2013-04		2,406	3,216			-810	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PIMCO COMMODITY REAL RETURN I	2011-01	PURCHASE	2013-04		2,417	3,231			-814	
PIMCO COMMODITY REAL RETURN I	2011-02	PURCHASE	2013-04		2,082	2,783			-701	
PIMCO COMMODITY REAL RETURN I	2011-02	PURCHASE	2013-04		2,453	3,278			-825	
PIMCO COMMODITY REAL RETURN I	2011-03	PURCHASE	2013-04		2,033	2,718			-685	
PIMCO COMMODITY REAL RETURN I	2011-03	PURCHASE	2013-04		805	1,076			-271	
PIMCO COMMODITY REAL RETURN I	2011-04	PURCHASE	2013-04		3,322	4,441			-1,119	
PIMCO COMMODITY REAL RETURN I	2011-05	PURCHASE	2013-04		3,496	4,673			-1,177	
PIMCO COMMODITY REAL RETURN I	2011-06	PURCHASE	2013-04		2,357	3,151			-794	
PIMCO COMMODITY REAL RETURN I	2011-06	PURCHASE	2013-04		2,127	2,843			-716	
PIMCO COMMODITY REAL RETURN I	2011-06	PURCHASE	2013-04		2,209	2,952			-743	
PIMCO COMMODITY REAL RETURN I	2011-09	PURCHASE	2013-04		2,216	2,962			-746	
PIMCO COMMODITY REAL RETURN I	2011-12	PURCHASE	2013-04		215	288			-73	
PIMCO COMMODITY REAL RETURN I	2011-12	PURCHASE	2013-04		911	1,217			-306	
PIMCO COMMODITY REAL RETURN I	2011-12	PURCHASE	2013-04		6,165	8,240			-2,075	
PIMCO COMMODITY REAL RETURN I	2012-03	PURCHASE	2013-04		474	634			-160	
PIMCO COMMODITY REAL RETURN I	2012-06	PURCHASE	2013-04		372	498			-126	
PIMCO COMMODITY REAL RETURN I	2012-09	PURCHASE	2013-04		437	584			-147	
PIMCO COMMODITY REAL RETURN I	2012-12	PURCHASE	2013-04		163	218			-55	
PIMCO COMMODITY REAL RETURN I	2012-12	PURCHASE	2013-04		402	538			-136	
PIMCO COMMODITY REAL RETURN I	2012-12	PURCHASE	2013-04		164	219			-55	
PIMCO COMMODITY REAL RETURN I	2013-03	PURCHASE	2013-04		268	358			-90	
RIDGEWORTH US GOV SEC	2012-05	PURCHASE	2013-06		5,988	6,011			-23	
RIDGEWORTH US GOV SEC	2012-05	PURCHASE	2013-06		4	4				
RIDGEWORTH US GOV SEC	2012-06	PURCHASE	2013-06		4	4				
RIDGEWORTH US GOV SEC	2012-07	PURCHASE	2013-06		4	4				
RIDGEWORTH US GOV SEC	2012-08	PURCHASE	2013-06		4	4				
RIDGEWORTH US GOV SEC	2012-09	PURCHASE	2013-06		4	4				
RIDGEWORTH US GOV SEC	2012-10	PURCHASE	2013-06		3	3				
RIDGEWORTH US GOV SEC	2012-11	PURCHASE	2013-06		3	3				
RIDGEWORTH US GOV SEC	2012-12	PURCHASE	2013-06		5	5				

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
RIDGEWORTH US GOV SEC	2013-01	PURCHASE	2013-06		3	4			-1	
RIDGEWORTH US GOV SEC	2013-02	PURCHASE	2013-06		43,968	44,136			-168	
RIDGEWORTH US GOV SEC	2013-02	PURCHASE	2013-10		5,819	5,858			-39	
RIDGEWORTH US GOV SEC	2013-02	PURCHASE	2013-10		22	22				
RIDGEWORTH US GOV SEC	2013-03	PURCHASE	2013-10		37	37				
RIDGEWORTH US GOV SEC	2013-04	PURCHASE	2013-10		49,656	49,994			-338	
RIDGEWORTH US GOV SEC	2013-04	PURCHASE	2013-10		43	44			-1	
RIDGEWORTH US GOV SEC	2013-05	PURCHASE	2013-10		55	55				
RIDGEWORTH US GOV SEC	2013-06	PURCHASE	2013-10		48	48				
RIDGEWORTH US GOV SEC	2013-07	PURCHASE	2013-10		29	29				
RIDGEWORTH US GOV SEC	2013-08	PURCHASE	2013-10		26	26				
RIDGEWORTH US GOV SEC	2013-09	PURCHASE	2013-10		24	24				
VANGUARD EUROPE PAC ETF	2011-01	PURCHASE	2013-04		17,396	17,598			-202	
VANGUARD EUROPE PAC ETF	2011-02	PURCHASE	2013-04		16,480	17,046			-566	
VANGUARD EUROPE PAC ETF	2011-02	PURCHASE	2013-04		15,381	15,805			-424	
VANGUARD EUROPE PAC ETF	2011-02	PURCHASE	2013-05		771	753			18	
VANGUARD EUROPE PAC ETF	2011-04	PURCHASE	2013-05		13,493	13,291			202	
VANGUARD EUROPE PAC ETF	2011-04	PURCHASE	2013-05		12,530	12,814			-284	
VANGUARD EUROPE PAC ETF	2011-05	PURCHASE	2013-05		13,108	13,065			43	
VANGUARD EUROPE PAC ETF	2011-06	PURCHASE	2013-05		5,205	4,927			278	
VANGUARD EUROPE PAC ETF	2011-12	PURCHASE	2013-05		3,365	2,631			734	
VANGUARD EUROPE PAC ETF	2012-03	PURCHASE	2013-05		33	29			4	
VANGUARD EUROPE PAC ETF	2012-10	PURCHASE	2013-05		2,074	1,794			280	
VANGUARD EUROPE PAC ETF	2012-12	PURCHASE	2013-05		1,017	919			98	
VANGUARD EUROPE PAC ETF	2013-04	PURCHASE	2013-05		450	422			28	
VANGUARD EUROPE PAC ETF	2013-04	PURCHASE	2013-05		10	10				
VANGUARD SMALL CAP GRWTH	2010-11	PURCHASE	2013-04		29,311	21,807			7,504	
VANGUARD SMALL CAP GRWTH	2010-12	PURCHASE	2013-04		10,747	8,706			2,041	
VANGUARD SMALL CAP GRWTH	2011-03	PURCHASE	2013-04		3	2			1	
VANGUARD SMALL CAP GROWTH	2011-04	PURCHASE	2013-04		11,724	10,629			1,095	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
VANGUARD SMALL CAP GRWTH	2011-06	PURCHASE	2013-04		5,862	4,868			994	
VANGUARD SMALL CAP GRWTH	2012-01	PURCHASE	2013-04		305	244			61	
VANGUARD SMALL CAP GRWTH	2012-03	PURCHASE	2013-04		4	4				
VANGUARD SMALL CAP GRWTH	2013-01	PURCHASE	2013-04		568	531			37	
VANGUARD SMALL CAP GRWTH	2013-01	PURCHASE	2013-04		13	13				
VANGUARD SMALL CAP GRWTH	2013-04	PURCHASE	2013-04		7	7				
NEUBERGER BERMAN HI INC BD	2010-11	PURCHASE	2013-04		5,139	4,978			161	
NEUBERGER BERMAN HI INC BD	2010-11	PURCHASE	2013-04		2	2				
NEUBERGER BERMAN HI INC BD	2010-12	PURCHASE	2013-04		7,172	7,000			172	
NEUBERGER BERMAN HI INC BD	2010-12	PURCHASE	2013-04		79	77			2	
NEUBERGER BERMAN HI INC BD	2010-12	PURCHASE	2013-04		134	131			3	
NEUBERGER BERMAN HI INC BD	2011-01	PURCHASE	2013-04		3,567	3,500			67	
NEUBERGER BERMAN HI INC BD	2011-01	PURCHASE	2013-04		3,541	3,500			41	
NEUBERGER BERMAN HI INC BD	2011-01	PURCHASE	2013-04		123	122			1	
NEUBERGER BERMAN HI INC BD	2011-02	PURCHASE	2013-04		149	149				
NEUBERGER BERMAN HI INC BD	2011-03	PURCHASE	2013-04		144	143			1	
NEUBERGER BERMAN HI INC BD	2011-04	PURCHASE	2013-04		146	146				
NEUBERGER BERMAN HI INC BD	2011-05	PURCHASE	2013-04		141	141				
NEUBERGER BERMAN HI INC BD	2011-06	PURCHASE	2013-04		148	145			3	
NEUBERGER BERMAN HI INC BD	2011-07	PURCHASE	2013-04		144	142			2	
NEUBERGER BERMAN HI INC BD	2011-08	PURCHASE	2013-04		151	141			10	
NEUBERGER BERMAN HI INC BD	2011-09	PURCHASE	2013-04		146	131			15	
NEUBERGER BERMAN HI INC BD	2011-10	PURCHASE	2013-04		74	70			4	

**TY 2013 Investments Corporate  
Bonds Schedule****Name:** UNVERFEHRT CHARITABLE FOUNDATION**EIN:** 27-3469866

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CHARLES SCHWAB BOND FUNDS	250,397	242,162
CHARLES SCHWAB FIXED INCOME	221,001	230,047

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** UNVERFEHRT CHARITABLE FOUNDATION  
**EIN:** 27-3469866

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHARLES SCHWAB EQUITY FUNDS	762,803	899,793



**TY 2013 Investments - Other Schedule**

**Name:** UNVERFEHRT CHARITABLE FOUNDATION

**EIN:** 27-3469866

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CHARLES SCWAB OTHER ASSETS	AT COST	600,543	761,787

## TY 2013 Other Professional Fees Schedule

**Name:** UNVERFEHRT CHARITABLE FOUNDATION

**EIN:** 27-3469866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISOR FEES	11,858	11,858	11,858	11,858

# TY 2013 Substantial Contributors Schedule

**Name:** UNVERFEHRT CHARITABLE FOUNDATION

**EIN:** 27-3469866

Name	Address
CARL A UNVERFEHRT	6 ROLLING RIDGE MILLSTADT,IL 62260

**TY 2013 Taxes Schedule****Name:** UNVERFEHRT CHARITABLE FOUNDATION**EIN:** 27-3469866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES & LICENSES	25	25	25	25
FEDERAL INCOME TAX	1,064			