Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE CORY FOUNDATION INC.

A Employer identification number
27-3360171

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 99006

Room/suite

B Telephone number
502-681-0519

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE, KY 40269-0006

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*
▶$ 2,252,333.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

	Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	49,779.	49,779.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	99,426.			
	b Gross sales price for all assets on line 6a 621,652.				
	7 Capital gain net income (from Part IV, line 2)		99,426.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total**. Add lines 1 through 11	149,205.	149,205.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	750.	0.		750.
	b Accounting fees STMT 3	5,075.	0.		5,075.
	c Other professional fees STMT 4	12,710.	12,710.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	421.	0.		421.
	23 Other expenses STMT 5	50.	0.		50.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	19,006.	12,710.		6,296.
	25 Contributions, gifts, grants paid	100,000.			100,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	119,006.	12,710.		106,296.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	30,199.			
	b **Net investment income** (if negative, enter -0-)		136,495.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2013)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	7,255.	11,135.	11,135.
	2	Savings and temporary cash investments	32,606.	22,180.	22,180.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 6	390,537.	465,138.	612,709.
	c	Investments - corporate bonds STMT 7	316,558.	290,265.	284,234.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	1,122,042.	1,109,890.	1,322,075.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,868,998.	1,898,608.	2,252,333.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,868,998.	1,898,608.	
	30	**Total net assets or fund balances**	1,868,998.	1,898,608.	
	31	**Total liabilities and net assets/fund balances**	1,868,998.	1,898,608.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,868,998.
2	Enter amount from Part I, line 27a	2	30,199.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,899,197.
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENTS	5	589.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,898,608.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIFTH THIRD - SEE ATTACHED	P		
b	FIFTH THIRD - SEE ATTACHED	P		
c	MERRILL LYNCH - SEE ATTACHED			
d	MERRILL LYNCH - SEE ATTACHED			
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	34,788.		29,269.	5,519.
b	256,699.		226,874.	29,825.
c	9,265.		8,209.	1,056.
d	302,915.		257,874.	45,041.
e	17,985.			17,985.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				5,519.
b				29,825.
c				1,056.
d				45,041.
e				17,985.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	99,426.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	102,847.	2,019,247.	.050933
2011	94,897.	2,009,790.	.047217
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	.098150
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049075
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,153,304.
5	Multiply line 4 by line 3	5	105,673.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,365.
7	Add lines 5 and 6	7	107,038.
8	Enter qualifying distributions from Part XII, line 4	8	106,296.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	2,730.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,730.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	2,730.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 657.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	657.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	8.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	2,081.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT STAUBLE Telephone no. ▶ 502-681-0519

Located at ▶ PO BOX 99006, LOUISVILLE, KY ZIP+4 ▶ 40269-0006

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	PRESIDENT 1.00	0.	0.	0.
JESSICA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	VICE PRESIDENT 1.00	0.	0.	0.
MADELINE STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	SECRETARY 1.00	0.	0.	0.
KARLA STAUBLE PO BOX 99006 LOUISVILLE, KY 40269-0006	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	2,136,976.
b	Average of monthly cash balances			1b	49,119.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	2,186,095.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,186,095.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	32,791.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	2,153,304.
6	**Minimum investment return** Enter 5% of line 5			6	107,665.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	107,665.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,730.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,730.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	104,935.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	104,935.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	104,935.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	106,296.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	106,296.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	106,296.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				104,935.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			93,641.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 106,296.				
a Applied to 2012, but not more than line 2a			93,641.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				12,655.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				92,280.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BELLARMINE UNIVERSITY 2001 NEWBURG ROAD LOUISVILLE, KY 40205	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
BOYS AND GIRLS CLUB OF KENTUCKIANA 3200 GREENWOOD AVE LOUISVILLE, KY 40211	NONE	EXEMPT	GRANT - OPERATIONS	7,500.
BROWN UNIVERSITY BROWN UNIVERSITY PROVIDENCE, RI 02912	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
CABBAGE PATCH SETTLEMENT HOUSE 1413 SOUTH 6TH STREET LOUISVILLE, KY 40208	NONE	EXEMPT	GRANT - OPERATIONS	10,000.
HARVEY MUDD COLLEGE 301 PLATT BLVD CLAREMONT, CA 91711	NONE	COLLEGE	SCHOLARSHIPS	5,000.
Total SEE CONTINUATION SHEET(S)			▶ **3a**	100,000.
b *Approved for future payment* NONE				
Total			▶ **3b**	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	49,779.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	99,426.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		149,205.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 149,205.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5-14-14 | Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTINE N KOENIG	Christine N Koenig	5.14.14		P01022180

Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC | Firm's EIN ▶ 61-1064249

Firm's address ▶ 9300 SHELBYVILLE RD STE 1100
LOUISVILLE, KY 40222-5187 | Phone no. (502)426-9660

Form **990-PF** (2013)

323622
10-10-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT INCOME	67,764.	17,985.	49,779.	49,779.	
TO PART I, LINE 4	67,764.	17,985.	49,779.	49,779.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	750.	0.		750.
TO FM 990-PF, PG 1, LN 16A	750.	0.		750.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	4,900.	0.		4,900.
TAX SERVICE FEE	175.	0.		175.
TO FORM 990-PF, PG 1, LN 16B	5,075.	0.		5,075.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	12,710.	12,710.		0.
TO FORM 990-PF, PG 1, LN 16C	12,710.	12,710.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	50.	0.		50.
TO FORM 990-PF, PG 1, LN 23	50.	0.		50.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD - SEE ATTACHED	465,138.	612,709.
TOTAL TO FORM 990-PF, PART II, LINE 10B	465,138.	612,709.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD - SEE ATTACHED	290,265.	284,234.
TOTAL TO FORM 990-PF, PART II, LINE 10C	290,265.	284,234.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD - ALTERNATIVE AND REAL ESTATE FUNDS - SEE ATTACHED	COST	137,112.	143,175.
MERRILL LYNCH - MUTUAL FUNDS - SEE ATTACHED	COST	972,778.	1,178,900.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,109,890.	1,322,075.

FORM 990-PF PART XV - LINE 1A STATEMENT 9

LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

ROBERT STAUBLE
JESSICA STAUBLE

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT STAUBLE
C/O THE CORY FOUNDATION, 4020 SWEENEY LN
LOUISVILLE, KY 40299

TELEPHONE NUMBER

502-681-0519

FORM AND CONTENT OF APPLICATIONS

AN APPLICATION IS REQUIRED WITH SUPPORTING DOCUMENTATION AND MUST BE RECEIVED BY SPECIFIED DEADLINES FOR SCHOLARSHIPS. APPLICATION WAS ATTACHED TO 2011 RETURN.

ANY SUBMISSION DEADLINES

APPLICATIONS MUST BE RECEIVED BY FEBRUARY 1 FOR THE APRIL 1 AWARD AND AUGUST 1 FOR THE OCTOBER AWARD

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS WILL BE AWARDED TO NONPROFIT ORGANIZATIONS WITH 501(C)(3) STATUS TO SUPPORT EDUCATIONAL AND ATHLETIC PROGRAMS IN A VARIETY OF SETTINGS. SCHOLARSHIPS ARE AWARDED FOR STUDENTS WHO ATTEND ACCREDITED UNDER-GRADUATE INSTITUTES AND HAVE A MINIMUM 2.5 GPA.

GOVERNMENT COPY

EMA Fiscal Statement

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FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
12/13/13	Divd Reinv	2	COHEN & STEERS REALTY			
			Sub Total		3,231.35	3,231.35
			Net Total		**39,961.33**	**39,964.93**
			Electronic Transfers			
08/02/13	Wire Transfer		WIRE TRF OUTP43214007424		40,000.00	
11/18/13	Wire Transfer		WIRE TRF OUTP43322009431		15,000.00	
			Net Total		**55,000.00**	
			Other Activity			
01/15/13	Journal Entry		QRLY FEE $1,169.11		1,169.11	
04/09/13	Journal Entry		QRLY FEE $1,229.02		1,229.02	
07/09/13	Journal Entry		QRLY FEE $1,268.18		1,268.18	
10/08/13	Journal Entry		QRLY FEE $1,261.26		1,261.26	
			Net Total		**4,927.57**	

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
216.0000	LG MSN INV CNS FNL SVC I	04/22/09	03/11/13	3.222.72	1,849.76	1,372.96 LT
0.4380	LG MSN INV CNS FNL SVC I	12/13/12	03/11/13	6.53	4.43	2.10 ST
1 0000	AM CENT SM CAP VAL INVSTR	09/20/05	03/11/13	9.38	11.00	N/C
4.0000	AM CENT SM CAP VAL INVSTR	09/20/05	03/11/13	37.51	43.99	N/C
4.0000	AM CENT SM CAP VAL INVSTR	09/20/05	03/11/13	37 52	44.00	(6.48) LT
2.0000	AM CENT SM CAP VAL INVSTR	09/20/05	03/11/13	18.76	22.00	(3.24) LT
412.0000	AM CENT SM CAP VAL INVSTR	12/06/05	03/11/13	3,864.56	4,033 48	(168.92) LT
9 0000	AM CENT SM CAP VAL INVSTR	12/06/05	03/11/13	84.43	88.11	(3 68) LT
0.1620	AM CENT SM CAP VAL INVSTR	12/26/12	03/11/13	1.52	1.36	0.16 ST
192.0000	BARON SMALL CAP FUND INS	03/03/05	03/11/13	5,625.60	4,328.45	1,297.15 LT
0.1950	BARON SMALL CAP FD CL	03/03/05	03/11/13	5.71	4 39	1.32 LT

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0.2960	BARON SMALL CAP FUND INS	11/30/12	03/11/13	8.67	7.21	1.46 ST
270.0000	MFS VALUE FD CL I	03/14/12	03/11/13	7,638.30	6,741.90	896.40 ST
0.3310	MFS VALUE FD CL I	12/11/12	03/11/13	9.36	8.43	0.93 ST
242.0000	MAINSTAY LG CP GRTH CL I	12/29/10	03/11/13	2,102.98	1,759.34	343.64 LT
0 1030	MAINSTAY LARGE CAP	12/29/10	03/11/13	0.89	0.74	0.15 LT
0 1530	MAINSTAY LG CP GRTH CL I	12/28/12	03/11/13	1.32	1.20	0.12 ST
100.0000	CULLEN HIGH DIV EQTY I	03/14/12	03/11/13	1,490 00	1,339.00	151.00 ST
0.3340	CULLEN HIGH DIVIDEND	03/14/12	03/11/13	4.97	4.47	0.50 ST
0.3210	CULLEN HIGH DIV EQTY I	02/28/13	03/11/13	4.78	4.68	0.10 ST
1.0000	AM CENT SM CAP VAL INVSTR	09/21/05	05/10/13	9.63	10.27	(0.64) LT
4.0000	AM CENT SM CAP VAL INVSTR	09/21/05	05/10/13	38.51	43.88	(5.37) LT
448.0000	AM CENT SM CAP VAL INVSTR	12/06/05	05/10/13	4,314.24	4,385.92	(71.68) LT
11.0000	AM CENT SM CAP VAL INVSTR	12/28/05	05/10/13	105 93	106.59	(0.66) LT
9.0000	AM CENT SM CAP VAL INVSTR	03/14/06	05/10/13	86.67	91.80	(5.13) LT
385.0000	AM CENT SM CAP VAL INVSTR	12/12/06	05/10/13	3,707.55	3,746.05	(38.50) LT
587.0000	AM CENT SM CAP VAL INVSTR	12/12/06	05/10/13	5,652 81	5,711.51	(58.70) LT
34.0000	AM CENT SM CAP VAL INVSTR	12/27/06	05/10/13	327.42	334.22	(6.80) LT
17.0000	AM CENT SM CAP VAL INVSTR	06/12/07	05/10/13	163.71	175.61	(11.90) LT
15.0000	AM CENT SM CAP VAL INVSTR	09/11/07	05/10/13	144.45	147.75	(3.30) LT
831.0000	AM CENT SM CAP VAL INVSTR	12/11/07	05/10/13	8,002.54	6,357.15	1,645.39 LT
0.5390	AMERICAN CENTURY SMALL	12/11/07	05/10/13	5.19	4.12	1.07 LT
0.0890	AM CENT SM CAP VAL INVSTR	03/12/13	05/10/13	0.85	0.83	0.02 ST
733.0000	BARON SMALL CAP FUND INS	03/03/05	05/10/13	22,539.74	16,524.76	6,014.98 LT
0.2910	BARON SMALL CAP FUND INS	03/03/05	05/10/13	8.95	6.56	2.39 LT
44.0000	BARON SMALL CAP FUND INS	11/22/05	05/10/13	1,353.01	998.80	354.21 LT
1,438.0000	MAINSTAY LG CP GRTH CL I	12/29/10	05/10/13	13,100.18	10,454.26	2,645.92 LT
0.4080	MAINSTAY LG CP GRTH CL I	12/29/10	05/10/13	3.72	2.97	0.75 LT
124.0000	CULLEN HIGH DIV EQTY I	03/14/12	05/10/13	1,946.80	1,660.36	286.44 LT
0.3280	CULLEN HIGH DIVIDEND	03/14/12	05/10/13	5.15	4.39	0.76 LT
0.0100	CULLEN HIGH DIV EQTY I	04/30/13	05/10/13	0.15	0.15	0.00
31.0000	PIMCO TOTAL RETURN FUND	04/28/06	05/10/13	349.36	319.30	30.06 LT
136.0000	PIMCO TOTAL RETURN FUND	05/31/06	05/10/13	1,532.72	1.391.28	141.44 LT
150.0000	PIMCO TOTAL RETURN FUND	06/30/06	05/10/13	1,690.50	1,527.00	163.50 LT
131.0000	PIMCO TOTAL RETURN FUND	07/31/06	05/10/13	1,476.37	1.349.30	127.07 LT
139.0000	PIMCO TOTAL RETURN FUND	08/31/06	05/10/13	1,566.53	1,446.99	119.54 LT
144.0000	PIMCO TOTAL RETURN FUND	09/29/06	05/10/13	1,622.88	1,504.80	118.08 LT
138.0000	PIMCO TOTAL RETURN FUND	10/31/06	05/10/13	1,555.26	1,444.86	110.40 LT
140.0000	PIMCO TOTAL RETURN FUND	11/30/06	05/10/13	1,577.80	1,477.00	100.80 LT
139.0000	PIMCO TOTAL RETURN FUND	12/13/06	05/10/13	1,566.53	1,453.94	112.59 LT
151.0000	PIMCO TOTAL RETURN FUND	12/29/06	05/10/13	1,701.77	1,567.38	134.39 LT

PLEASE SEE REVERSE SIDE

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EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
133.0000	PIMCO TOTAL RETURN FUND	01/31/07	05/10/13	1,498.91	1,372.56	126.35 LT
138.0000	PIMCO TOTAL RETURN FUND	02/28/07	05/10/13	1,555.26	1,442.10	113.16 LT
156.0000	PIMCO TOTAL RETURN FUND	03/30/07	05/10/13	1,758.12	1,627.08	131.04 LT
142.0000	PIMCO TOTAL RETURN FUND	04/30/07	05/10/13	1,600.34	1,478 22	122.12 LT
162.0000	PIMCO TOTAL RETURN FUND	05/31/07	05/10/13	1.825.74	1,655.64	170.10 LT
155.0000	PIMCO TOTAL RETURN FUND	06/29/07	05/10/13	1,746.85	1,574.80	172.05 LT
148.0000	PIMCO TOTAL RETURN FUND	07/31/07	05/10/13	1,667.96	1,515.52	152.44 LT
177.0000	PIMCO TOTAL RETURN FUND	08/31/07	05/10/13	1,994.79	1,828.41	166.38 LT
140.0000	PIMCO TOTAL RETURN FUND	09/28/07	05/10/13	1,577.80	1,468.60	109.20 LT
164.0000	PIMCO TOTAL RETURN FUND	10/31/07	05/10/13	1,848.28	1,731.84	116.44 LT
167.0000	PIMCO TOTAL RETURN FUND	11/30/07	05/10/13	1,882.09	1,796.92	85.17 LT
254.0000	PIMCO TOTAL RETURN FUND	12/12/07	05/10/13	2,862.58	2,697.48	165.10 LT
148.0000	PIMCO TOTAL RETURN FUND	12/31/07	05/10/13	1,667.96	1,582.12	85.84 LT
145.0000	PIMCO TOTAL RETURN FUND	01/31/08	05/10/13	1,634.15	1,595.00	39.15 LT
162.0000	PIMCO TOTAL RETURN FUND	02/29/08	05/10/13	1,825.74	1,777.14	48.60 LT
140.0000	PIMCO TOTAL RETURN FUND	03/31/08	05/10/13	1,577.80	1,527.40	50.40 LT
61.0000	PIMCO TOTAL RETURN FUND	04/30/08	05/10/13	687.48	665.51	21.97 LT
0.0160	PIMCO TOTAL RETURN FUND	04/30/13	05/10/13	0.18	0.18	0.00
38 0000	C&S REALTY SHARES N	04/22/09	05/10/13	2,833.66	1,151.78	1,681.88 LT
0.7310	COHEN & STEERS REALTY	04/22/09	05/10/13	54.50	22.15	32.35 LT
0.0380	C&S REALTY SHARES N	03/28/13	05/10/13	2.83	2.63	0.20 ST
94.0000	LG MSN INV CNS FNL SVC I	04/22/09	07/31/13	1,579.19	804.99	774.20 LT
331.0000	LG MSN INV CNS FNL SVC I	04/22/09	07/31/13	5,560.81	2,833.74	2,727.07 LT
0.0860	L MASON INVESTMENT CNSL	04/22/09	07/31/13	1.44	0.73	0.71 LT
0.1410	LG MSN INV CNS FNL SVC I	12/13/12	07/31/13	2.36	1.43	0.93 ST
0.5080	BARON SMALL CAP FUND INS	03/03/05	07/31/13	16.18	11.44	4.74 LT
51.0000	BARON SMALL CAP FUND INS	11/22/05	07/31/13	1,624.35	1,157.70	466.65 LT
36.0000	BARON SMALL CAP FUND INS	11/20/06	07/31/13	1,146.60	815 76	330.84 LT
443.0000	MFS VALUE FD CL I	03/14/12	07/31/13	13,781.73	11,061.71	2,720.02 LT
0.6140	MFS VALUE FD CL I	06/26/13	07/31/13	19.10	18.08	1.02 ST
1,277.0000	MAINSTAY LG CP GRTH CL I	12/29/10	07/31/13	11,939.95	9,283.79	2,656.16 LT
0.2350	MAINSTAY LARGE CAP	12/29/10	07/31/13	2.19	1.70	0.49 LT
0.4890	MAINSTAY LG CP GRTH CL I	12/29/10	07/31/13	4.57	3.55	1.02 LT
271.0000	CULLEN HIGH DIV EQTY I	03/14/12	07/31/13	4,287.22	3,628.69	658.53 LT
0.4220	CULLEN HIGH DIV EQTY I	07/31/13	07/31/13	6.68	6.59	0.09 ST
295.0000	AM CENT SM CAP VAL INVSTR	12/11/07	09/05/13	2,935.25	2,256.75	678.50 LT
0.3070	AMERICAN CENTURY SMALL	12/11/07	09/05/13	3.06	2.35	0.71 LT
0.0310	AM CENT SM CAP VAL INVSTR	06/11/13	09/05/13	0.30	0.30	0.00
0.0060	BARON SMALL CAP FUND INS	03/03/05	09/05/13	0.19	0.14	0.05 LT
74.0000	BARON SMALL CAP FUND INS	11/20/06	09/05/13	2,362.82	1,676.84	685 98 LT

PLEASE SEE REVERSE SIDE

	Page	Statement Period	Account No.
x 99999999	24 of 28	Year Ending 12/31/13	[redacted]

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0.5160	BARON SMALL CAP FD CL	11/20/06	09/05/13	16.48	11.70	4.78 LT
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	5.98	6.57	N/C
45 0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	269.10	294.29	N/C
11.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	65.78	71.28	N/C
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	5.98	6.50	N/C
55.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	328.90	358.04	N/C
1.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	5.98	6.51	(0.53) LT
92.0000	MAINSTAY HI YLD CRP BD I	03/03/05	09/05/13	550 16	598 92	(48.76) LT
0.1610	MAINSTAY HI YLD CRP BD I	08/30/13	09/05/13	0.96	0.96	0.00
359.0000	MAINSTAY LG CP GRTH CL I	12/29/10	09/05/13	3,403 32	2,609.93	793.39 LT
0.1030	MAINSTAY LARGE CAP	12/29/10	09/05/13	0 98	0.75	0.23 LT
0.7650	MAINSTAY LG CP GRTH CL I	12/29/10	09/05/13	7.25	5.56	1.69 LT
180.0000	CALVERT SHORT DURATION	04/22/09	09/05/13	2,932.20	2,796.25	135.95 LT
0.0870	CALVERT SHORT DURATION	08/30/13	09/05/13	1.42	1.43	(0.01) ST
48.0000	AMERICAN EURO PACIFIC	04/22/09	09/05/13	2,106.72	1,308.71	798.01 LT
0.7550	AMERICAN EURO PACIFIC	05/10/13	09/05/13	33.14	33.69	(0.55) ST
172.0000	LG MSN INV CNS FNL SVC I	04/22/09	11/26/13	3,151.04	1,472.52	1,678.52 LT
0.0640	LG MSN INV CNS FNL SVC I	09/05/13	11/26/13	1.17	1.05	0.12 ST
39.0000	AM CENT SM CAP VAL INVSTR	12/11/07	11/26/13	429.77	298.35	131.42 LT
94.0000	AM CENT SM CAP VAL INVSTR	12/11/07	11/26/13	1,035.88	719.10	316.78 LT
1.0000	AM CENT SM CAP VAL INVSTR	06/22/11	11/26/13	11.02	8.91	2.11 LT
10.0000	AM CENT SM CAP VAL INVSTR	06/22/11	11/26/13	110.21	89.70	20.51 LT
0.1390	AM CENT SM CAP VAL INVSTR	09/10/13	11/26/13	1.53	1.41	0.12 ST
3.0000	BARON SMALL CAP FUND INS	11/20/06	11/15/13	105.75	67.98	37.77 LT
0.3470	BARON SMALL CAP FD CL	11/20/06	11/15/13	12.24	7.84	4.40 LT
0.4840	BARON SMALL CAP FUND INS	11/20/06	11/15/13	17.05	10.96	6.09 LT
19.0000	BARON SMALL CAP FUND INS	11/20/06	11/26/13	644.10	430.57	213.53 LT
0.4160	BARON SMALL CAP FUND INS	11/20/06	11/26/13	14.10	9.42	4.68 LT
1.0000	BARON SMALL CAP FUND INS	11/30/11	11/26/13	33.90	22.37	11.53 LT
24.0000	BARON SMALL CAP FUND INS	11/30/11	11/26/13	813.60	533.76	279.84 LT
11.0000	BARON SMALL CAP FUND INS	12/19/11	11/26/13	372.90	243.98	128.92 LT
184.0000	MFS VALUE FD CL I	03/14/12	11/15/13	6,090.40	4,594.48	1,495.92 LT
0.1200	MFS VALUE FD CL I	03/14/12	11/15/13	3.98	N/A	3.98 LT
381.0000	MFS VALUE FD CL I	03/14/12	11/26/13	12,668.25	9,513.83	3,154.42 LT
0.4400	MFS VALUE FD CL I	03/14/12	11/26/13	14.63	10.99	3.64 LT
0.4680	MFS VALUE FD CL I	09/25/13	11/15/13	15.48	14.50	0.98 ST
838.0000	MAINSTAY LG CP GRTH CL I	12/29/10	11/15/13	8,815.76	6,092.26	2,723.50 LT
1,134.0000	MAINSTAY LG CP GRTH CL I	12/29/10	11/26/13	11,997.72	8,244.18	3,753.54 LT
0 6610	MAINSTAY LARGE CAP	12/29/10	11/26/13	7.00	4.80	2.20 LT
0.7620	MAINSTAY LG CP GRTH CL I	12/29/10	11/15/13	8.02	5.54	2.48 LT

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EMA Fiscal Statement

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
0 1350	MAINSTAY LG CP GRTH CL I	12/29/10	11/26/13	1.42	0.98	0.44 LT
797.0000	CULLEN HIGH DIV EQTY I	03/14/12	11/26/13	13,102.68	10,671.83	2,430.85 LT
0.3000	CULLEN HIGH DIVIDEND	03/14/12	11/26/13	4.94	4.01	0.93 LT
0 4250	CULLEN HIGH DIV EQTY I	10/31/13	11/26/13	6.98	6.76	0.22 ST
1.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	11.26	11.93	N/C
8 0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	90.08	95.44	N/C
4,901.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	55,185.26	58,468.93	(3,283.67) LT
0.2290	PIMCO REAL RETURN FUND	10/31/13	11/26/13	2.58	2.60	(0.02) ST
11.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	119.90	120.01	N/C
82.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	893.79	894.62	(0.83) LT
161.0000	PIMCO TOTAL RETURN FUND	05/30/08	11/26/13	1.754.90	1,733.97	20.93 LT
158.0000	PIMCO TOTAL RETURN FUND	06/30/08	11/26/13	1,722.20	1,679.54	42.66 LT
154 0000	PIMCO TOTAL RETURN FUND	07/31/08	11/26/13	1,678.60	1,632.40	46.20 LT
155.0000	PIMCO TOTAL RETURN FUND	08/29/08	11/26/13	1,689.50	1,652.30	37.20 LT
143.0000	PIMCO TOTAL RETURN FUND	09/30/08	11/26/13	1,558.70	1,470.04	88.66 LT
49.0000	PIMCO TOTAL RETURN FUND	10/31/08	11/26/13	534.11	496.86	37.25 LT
0.3230	PIMCO TOTAL RETURN FUND	10/31/13	11/26/13	3.52	3.52	0.00
4,901 0000	PIMCO REAL RETURN FUND CXL	03/14/12	11/26/13	55,185.26	58,468.93	3,283.67 LT
8.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	90.08	95.44	N/C
1.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	11.26	11.93	N/C
29.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	326.54	345.97	N/C
4,863.0000	PIMCO REAL RETURN FUND	03/14/12	11/26/13	54,757.38	58,015.59	(3,258.21) LT
82.0000	PIMCO TOTAL RETURN FUND CXL	04/30/08	11/26/13	893.79	894.62	0.83 LT
1.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	10.90	10.91	N/C
10.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	109.00	109.10	N/C
30.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	327.00	327.30	N/C
5.0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	54.50	54.55	N/C
36 0000	PIMCO TOTAL RETURN FUND	04/30/08	11/26/13	392.39	392.76	(0.37) LT

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Corporate Actions				
01/28/13	GILEAD SCIENCES INC COMMON RECEIVED 01/28/13 75 SHARES AS 100.00% STOCK SPLIT			
	Total Corporate Actions			
Adjustments				
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(339.76)		
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(62.38)		
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(102.48)		
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(55.70)		
	Total Adjustments	***$(560.32)***		

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
01/04/13	MICROCHIP TECHNOLOGY INC	80.0000	$32.09	$2,563.84	$2,358.56		$205.28
	Net Gain/(Loss) on Securities Sold			**$2,563.84**	**$2,358.56**	**$0.00**	**$205.28**

* * * * End of statement for Investmen[redacted] * * *

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Purchases				
02/28/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$25,000.00		$(25,000.00)
02/28/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$783.00	$(783.00)	
	Total Cash Equivalents Purchases	***$25,783.00***	***$(783.00)***	***$(25,000.00)***

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
02/01/13	GENERAL ELECTRIC COMPANY	25,000 0000	$100.00	$25,000.00	$26,330.00		$(1,330.00)
	Net Gain/(Loss) on Securities Sold			**$25,000.00**	**$26,330.00**	**$0.00**	**$(1,330.00)**

*** * * * End of statement for Investment A[redacted]* * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Security Sales & Maturities				(continued)
	DIRECT TRADING 04/17 SALE 235 SHS @ 32.396 MISC .17 COMM 9.40			
	Total Security Sales & Maturities	*$(106,438.42)*		*$121,260.74*
Cash Equivalents Sales				
04/30/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(490.00)		$490.00
04/30/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(7,299.00)	$7,299.00	
	Total Cash Equivalents Sales	*$(7,789.00)*	*$7,299.00*	*$490.00*

GAIN / (LOSS) ON SALE OF SECURITIES

						Realized Gain/(Loss)	
Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Short-Term	Long-Term
04/02/13	EUROPACIFIC GROWTH FD CL F-2	144.0000	$42.16	$6,071.04	$5,410.08		$660.96
04/02/13	ASG MANAGED FUTURES STRAG FD	1,218.2080	$9.29	$11,317.15	$13,071.71		$(1,754.56)
04/02/13	ROYCE FD PREMIER INVT	747.3290	$20.14	$15,051.21	$12,792.79		$2,258.42
04/03/13	AQR FDS RISK PARITY FD CL I	1,462.8044	$11.99	$17,539.02	$15,330.15		$2,208.87
04/03/13	BLACKROCK GLOBAL ALLOCATIO-I	765.5494	$20.56	$15,739.70	$15,361.50		$378.20
04/04/13	ISHARES BARCLAYS TIPS BOND FUND	161.0000	$121.32	$19,525.72	$15,864.42		$3,661.30
04/04/13	SPDR GOLD TRUST	60.0000	$154.76	$9,283.00	$8,172 97		$1,110.03
04/04/13	VANGUARD REIT ETF	131.0000	$70.29	$9,202.61	$7,410.54		$1,792.07
04/10/13	MCKESSON CORPORATION	69.0000	$106.87	$7,370.96	$5,453.17		$1,917.79
04/10/13	MICROSOFT CORP	90.0000	$28.45	$2,556.84	$2,369.55		$187.29
04/22/13	US BANCORP DEL	235.0000	$32.40	$7,603.49	$5,201.54		$2,401.95
	Net Gain/(Loss) on Securities Sold			**$121,260.74**	**$106,438.42**	**$0.00**	**$14,822.32**

*** * * * End of statement for Investment A[redacted]* * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Security Sales & Maturities				(continued)
	JP MORGAN SECURITIES REDEEMED ON 05/07/13 @ 47.3423 522 UNITS			
05/13/13	VANGUARD REIT ETF BONY BROKERAGE REDEEMED ON 05/08/13 @ 76.2005 111 UNITS	$(6,279.16)		$8,453.63
	Total Security Sales & Maturities	***$(68,793.27)***		***$80,599.38***
Cash Equivalents Purchases				
05/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$12,532.00		$(12,532.00)
05/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$958.00	$(958.00)	
	Total Cash Equivalents Purchases	***$13,490.00***	***$(958.00)***	***$(12,532.00)***

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
05/07/13	CATERPILLAR INC	47.0000	$84.27	$3,958.65	$4,024.43		$(65.78)
05/07/13	GOLDMAN SACHS GROUP	25.0000	$143.29	$3,581.13	$4,261.75		$(680.62)
05/07/13	YUM! BRANDS INC	131.0000	$68 02	$8,905.39	$4,714.69		$4,190.70
05/09/13	THE MERGER FUND	949 0000	$15.95	$15,136 55	$15,316.86		$(180.31)
05/09/13	PIMCO ALL ASSET ALL AUTH FUND	753.0000	$11.16	$8,403.48	$8,516.43		$(112.95)
05/09/13	PIMCO TOTAL RETURN FUND	661.0000	$11.30	$7,469.30	$6,814.92		$654.38
05/10/13	JPM ALERIAN MLP INDEX ETN	522.0000	$47.34	$24,691.25	$18,865.03	$5,826.22	
05/13/13	VANGUARD REIT ETF	111.0000	$76.20	$8,453.63	$6,279.16		$2,174 47
	Net Gain/(Loss) on Securities Sold			**$80,599.38**	**$68,793.27**	**$5,826.22**	**$5,979.89**

* * * * End of statement for Investmen[redacted] * * *

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GAIN / (LOSS) ON SALE OF SECURITIES

			Sale			Realized Gain/(Loss)	
Date	Description	Quantity	Price	Proceeds	Cost Basis	Short-Term	Long-Term
07/19/13	ACCENTURE PLC CLASS A COMMON	5.0000	$75.17	$375.62	$270.24		$105.38
07/31/13	PIMCO ALL ASSET ALL AUTH FUND	1,903.2870	$10.27	$19,546.76	$21,120.19		$(1,573.43)
07/31/13	TCW FDS INC	906 0000	$8 58	$7,773.48	$8,471.10	$(697.62)	
	Net Gain/(Loss) on Securities Sold			**$27,695.86**	**$29,861.53**	**$(697.62)**	**$(1,468.05)**

* * * * End of statement for Investment Acc[redacted] * * *

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Sales				
08/30/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(23,932.00)		$23,932.00
	Total Cash Equivalents Sales	***$(23,932.00)***		***$23,932.00***
Adjustments				
08/29/13	ORACLE CORPORATION WASH SALE COST BASIS ADJUSTMENT	$7.29		
	Total Adjustments	***$7.29***		

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
08/02/13	ACCENTURE PLC CLASS A COMMON	8.0000	$73.47	$587.43	$432.38		$155.05
08/02/13	AT&T INC	24.0000	$35.48	$850 55	$666.24		$184 31
08/02/13	AMERICAN EXPRESS CO	11 0000	$75.12	$825.87	$631.91		$193 96
08/02/13	APPLE INC	2 0000	$454.58	$909 06	$634.16		$274 90
08/02/13	AUTOMATIC DATA PROCESSING INC	7.0000	$72.19	$505.01	$353 70		$151.31
08/02/13	BERKSHIRE HATHAWAY INC DEL	7.0000	$116.36	$814.23	$581.56		$232.67
08/02/13	CHEVRON CORPORATION	10.0000	$125.40	$1,253.58	$565 90		$687 68
08/02/13	CHUBB CORP	12.0000	$86.97	$1,043.14	$493.06		$550 08
08/02/13	CITIGROUP INC	16.0000	$51 62	$825 27	$806.40		$18 87
08/02/13	COCA COLA CO	14.0000	$40.34	$564.19	$406.14		$158 05
08/02/13	DEERE & CO	8.0000	$82.46	$659.35	$631.28		$28 07
08/02/13	DU PONT E I DE NEMOURS & CO	12.0000	$57.59	$690.59	$576.24		$114.35
08/02/13	EXXON MOBIL CORP	9.0000	$93.59	$841.94	$776.34		$65 60
08/02/13	GENERAL ELECTRIC CO	33.0000	$24.45	$805.52	$535.23		$270 29
08/02/13	GILEAD SCIENCES INC COMMON	13 0000	$61.86	$803.65	$269.49		$534 16
08/02/13	HOME DEPOT INC	12.0000	$78 73	$944.26	$288.04		$656.22
08/02/13	HONEYWELL INTL INC	8.0000	$83.01	$663.71	$301.36		$362.35
08/02/13	INTEL CORP	33 0000	$23 35	$769 22	$893.91		$(124.69)
08/02/13	INTERNATIONAL BUSINESS MACHS	5 0000	$196 09	$980 23	$580.15		$400 08
08/02/13	JP MORGAN CHASE & CO	16 0000	$55 41	$885 90	$640 90		$245 00
08/02/13	JOHNSON & JOHNSON	12.0000	$93.17	$1,117 54	$785.16		$332.38
08/02/13	MCDONALDS CORP	7 0000	$98.05	$686 06	$226.03		$460 03

GAIN/(LOSS) ON SALE OF SECURITIES (continued)

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
08/02/13	MICROSOFT CORP	15.0000	$31 80	$476.39	$392.75		$83 64
08/02/13	MYLAN INC.	23 0000	$33.09	$760.14	$462.97		$297.17
08/02/13	NEXTERA ENERGY INC	6.0000	$86.07	$516.17	$271.62		$244.55
08/02/13	ORACLE CORPORATION	10 0000	$32.51	$324.69	$331.98	$(7 29)	
08/02/13	PEPSICO INC COMMON	10.0000	$85.32	$852.79	$546.10		$306.69
08/02/13	PFIZER INC	32.0000	$29.70	$949.10	$765.76	$183.34	
08/02/13	PRAXAIR INC	3.0000	$120.62	$361.73	$262.47		$99 26
08/02/13	PROCTER & GAMBLE CO	13.0000	$80.27	$1,042.97	$687.05		$355.92
08/02/13	SCHLUMBERGER LTD	8.0000	$81.41	$650.95	$601.28		$49 67
08/02/13	STARBUCKS CORP	14.0000	$72.08	$1,008.54	$824.78	$183.76	
08/02/13	TJX COS INC NEW	5.0000	$52.23	$260.94	$229.83	$31 11	
08/02/13	UNITED TECHNOLOGIES CORP	6.0000	$105.40	$632.15	$296 22		$335.93
08/02/13	WALGREEN CO	14.0000	$50.15	$701.53	$485 58		$215.95
08/07/13	GILEAD SCIENCES INC COMMON	45.0000	$61.54	$2,767.39	$935.92		$1,831.47
08/22/13	COCA COLA CO	10.0000	$38.78	$387.35	$290.10		$97.25
08/29/13	INTERNATIONAL BUSINESS MACHS	25.0000	$185.96	$4,648.02	$2,900.75		$1,747.27
	Net Gain/(Loss) on Securities Sold			**$34,367.15**	**$22,360.74**	**$390.92**	**$11,615.49**

*** * * * End of statement for Investment Acco[redacted] * * ***

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
	Cash and Money Funds						
	CASH		0.70	0.70			
12,730	ML BANK DEPOSIT PROGRAM	04/06/11	12,730.00	12,730.00			3.82
	Total Cash and Money Funds		**12,730.70**	**12,730.70**			3.82

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Mutual Funds/Closed End Funds/UIT					
3,219	L MASON INVESTMENT CNSL FINANCIAL SERVICES FD I	04/22/09	28,821.30	60,581.58	31,760.28	232.00
	.2580 Fractional Share	12/12/13	4.65	4.86	0.21	1.00
3,756	AMERICAN CENTURY SMALL CAP VAL FD INVESTOR CL	06/22/11	32,242.07	36,545.88	4,303.81	338.00
	.9260 Fractional Share	12/23/13	8.91	9.01	0.10	1.00
1,739	BARON SMALL CAP FD CL INST	12/19/11	45,504.93	61,247.58	15.742.65	
	7650 Fractional Share	11/27/13	23.27	26.94	3.67	
3,653	DELAWARE EMERGING MARKETS FUND INSTL CL	05/10/13	56,343.22	59,434 31	3,091.09	563.00
	.5900 Fractional Share	12/23/13	9.43	9.60	0.17	1.00
5,038	MFS VALUE FD CL I	03/14/12	130.367.61	168,067.68	37,700.07	2,801.00
	.4480 Fractional Share	12/10/13	14.49	14.95	0.46	1.00

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EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Mutual Funds/Closed End Funds/UIT					
19,342	MAINSTAY HIGH YIELD CORPORATE BOND FD CL I	03/03/05	119,765.99	117,019.10	(2,746.89)	8,414.00
	.7960 Fractional Share	12/16/13	4.81	4.82	0.01	1.00
16,342	MAINSTAY LARGE CAP GROWTH FUND CL I	12/29/10	120.961.98	170,120.22	49,158.24	
	.6190 Fractional Share	12/04/13	5.26	6.44	1.18	
4.976	CULLEN HIGH DIVIDEND EQUITY FUND CL I	03/14/12	67,096.43	83,248.48	16,152.05	2,040.00
	.9110 Fractional Share	11/29/13	12.84	15.24	2.40	1.00
8 550	CALVERT SHORT DURATION INCOME FUND CL Y	04/22/09	135.659.57	140,134.50	4,474.93	3,163.00
	.4690 Fractional Share	12/27/13	7.68	7.69	0.01	1.00
5,237	PIMCO REAL RETURN FUND CL P	03/14/12	62,258.40	57,449.89	(4,808.51)	644.00
	.4800 Fractional Share	12/11/13	5.30	5.27	(0.03)	1.00
5,422	PIMCO TOTAL RETURN FUND CL P	04/30/08	57,033.77	57,961.18	927.41	1,437.00
	.9690 Fractional Share	12/11/13	10.45	10 36	(0.09)	1 00
2,211	AMERICAN EURO PACIFIC GROWTH FUND CL F2	04/22/09	80,153.91	108,294.78	28,140.87	1,269.00
	.7240 Fractional Share	12/27/13	34.98	35 46	0.48	1.00
933	COHEN & STEERS REALTY SHARES FUND CL N	04/22/09	36,393.71	58,611.06	22,217.35	1,456.00

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	.5340 Fractional Share	12/12/13	32.75	33.55	0.80	1.00
	Total Mutual Funds/Closed End Funds/UIT		**972,777.71**	**1,178,900.43**	**206,122.72**	**22,368.00**

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Fixed Income								(continued)	
		CUSIP - 87234N765							
25,000 0000		TOTAL CAPITAL SA 10/02/09 3.125 10/02/15 CUSIP - 89152UAA0	$104.357	$26,089.25	2.5%	$26,809.75	$(720.50)	$781.25	3.0%
25,000.0000		WAL MART STORES INC NOTE 04/18/11 2.800 04/15/16 CUSIP - 931142DC4	$104.738	$26,184 50	2.5%	$26,656.50	$(472.00)	$700 00	2.7%
		Fixed Income - Total		*$284,233.97*	*27.1%*	*$290,264.65*	*$(6,030.68)*	*$11,412.50*	*4.0%*
Equities									
90.0000	ACN	ACCENTURE PLC CLASS A COMMON COMPANY BASED IN IRELAND CUSIP - G1151C101	$82 220	$7,399.80	0.7%	$4,864.32	$2,535 48	$167.40	2 3%
248.0000	T	AT&T INC CUSIP - 00206R102	$35.160	$8,719.68	0.8%	$7,527.03	$1,192.65	$456.32	5 2%
114.0000	AXP	AMERICAN EXPRESS CO CUSIP - 025816109	$90 730	$10,343 22	1.0%	$6,595.98	$3,747.24	$104 88	1.0%
20.0000	AAPL	APPLE INC CUSIP - 037833100	$561.020	$11,220.40	1 1%	$7,345.07	$3,875.33	$244.00	2.2%
91.0000	ADP	AUTOMATIC DATA PROCESSING INC CUSIP - 053015103	$80.799	$7,352 71	0 7%	$4,924.91	$2,427.80	$174.72	2.4%
78.0000	BRK B	BERKSHIRE HATHAWAY INC DEL CL B NEW CUSIP - 084670702	$118.560	$9,247.68	0.9%	$7,312 38	$1,935.30		
105.0000	CVX	CHEVRON CORPORATION CUSIP - 166764100	$124.910	$13,115.55	1.2%	$6,100.95	$7,014.60	$420.00	3.2%
118.0000	CB	CHUBB CORP COM CUSIP - 171232101	$96 630	$11,402.34	1.1%	$6,360 33	$5,042.01	$207.68	1.8%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
191.0000	C	CITIGROUP INC CUSIP - 172967424	$52.110	$9,953.01	0.9%	$9,083.60	$869 41	$7.64	0.1%
142.0000	KO	COCA COLA CO CUSIP - 191216100	$41.310	$5,866 02	0 6%	$4,179.96	$1,686.06	$159 04	2.7%
80.0000	DE	DEERE & CO CUSIP - 244199105	$91.330	$7,306.40	0.7%	$6,376.05	$930 35	$163.20	2.2%
118.0000	DD	DU PONT E I DE NEMOURS & CO CUSIP - 263534109	$64.970	$7,666.46	0 7%	$5,683.55	$1,982.91	$212.40	2.8%
1,922.8590	AEPFX	EUROPACIFIC GROWTH FD CL F-2 CUSIP - 29875E100	$48.980	$94,181.63	9.0%	$74,751.12	$19,430 51	$1,103.72	1.2%
105.0000	XOM	EXXON MOBIL CORP CUSIP - 30231G102	$101.200	$10,626 00	1.0%	$8,337.30	$2,288.70	$264.60	2.5%
349.0000	GE	GENERAL ELECTRIC CO CUSIP - 369604103	$28.030	$9,782 47	0 9%	$6,171.79	$3,610 68	$307.12	3.1%
92.0000	GILD	GILEAD SCIENCES INC COMMON CUSIP - 375558103	$75.100	$6,909.20	0.7%	$1,922.86	$4,986.34		
5.0000	GOOG	GOOGLE INC-CL A CUSIP - 38259P508	$1,120.710	$5,603 55	0.5%	$4,579.50	$1,024.05		
122 0000	HD	HOME DEPOT INC CUSIP - 437076102	$82.340	$10,045 48	1.0%	$3,914.89	$6,130.59	$190.32	1.9%
92.0000	HON	HONEYWELL INTL INC CUSIP - 438516106	$91 370	$8,406 04	0 8%	$4,332.48	$4,073.56	$165.60	2.0%
342.0000	INTC	INTEL CORP CUSIP - 458140100	$25 955	$8,876 61	0 8%	$8,410.26	$466 35	$307 80	3 5%
20.0000	IBM	INTERNATIONAL BUSINESS MACHS CUSIP - 459200101	$187.570	$3,751.40	0.4%	$2,320.60	$1,430.80	$76.00	2.0%
167.0000	JPM	JP MORGAN CHASE & CO CUSIP - 46625H100	$58.480	$9,766 16	0.9%	$3,799.40	$5,966.76	$253.84	2.6%

PORTFOLIO POSITIONS (continued)

Equities (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
123.0000	JNJ	JOHNSON & JOHNSON CUSIP - 478160104	$91.590	$11,265 57	1.1%	$8,603.57	$2,662.00	$324.72	2.9%
68.0000	MCD	MCDONALDS CORP CUSIP - 580135101	$97.030	$6,598.04	0.6%	$4,591 48	$2,006 56	$220.32	3.3%
50.0000	MDT	MEDTRONIC INC CUSIP - 585055106	$57.390	$2,869.50	0.3%	$2,745.19	$124.31	$56.00	2 0%
155.0000	MSFT	MICROSOFT CORP CUSIP - 594918104	$37.410	$5,798 55	0 6%	$4,071.55	$1,727.00	$173 60	3 0%
242.0000	MYL	MYLAN INC. CUSIP - 628530107	$43.400	$10,502 80	1.0%	$5,085.32	$5,417 48		
56.0000	NEE	NEXTERA ENERGY INC CUSIP - 65339F101	$85.620	$4,794 72	0.5%	$2,535 12	$2,259.60	$147.84	3.1%
205.0000	ORCL	ORACLE CORPORATION CUSIP - 68389X105	$38.260	$7,843.30	0.7%	$6,717.46	$1,125.84	$98.40	1.3%
3,229.4820	ODVYX	OPPENHEIMER DVLPING MKTS-Y CUSIP - 683974505	$37 560	$121,299 34	11.6%	$110,844 87	$10,454 47	$526.41	0.4%
105.0000	PEP	PEPSICO INC COMMON CUSIP - 713448108	$82.940	$8,708 70	0.8%	$6,740 40	$1,968.30	$238 35	2.7%
333.0000	PFE	PFIZER INC CUSIP - 717081103	$30.630	$10,199.79	1.0%	$9,587 04	$612.75	$346.32	3.4%
33.0000	PX	PRAXAIR INC CUSIP - 74005P104	$130.030	$4,290.99	0.4%	$2,887.17	$1,403 82	$79.20	1.8%
130.0000	PG	PROCTER & GAMBLE CO CUSIP - 742718109	$81.410	$10,583.30	1.0%	$7,368 89	$3,214 41	$312 78	3.0%
92.0000	SLB	SCHLUMBERGER LTD CUSIP - 806857108	$90.110	$8,290.12	0 8%	$6,914.72	$1,375 40	$115.00	1.4%
146.0000	SBUX	STARBUCKS CORP COM	$78.390	$11,444 94	1.1%	$8,631.93	$2,813 01	$151 84	1 3%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		CUSIP - 855244109							
55.0000	TJX	TJX COS INC NEW COM CUSIP - 872540109	$63.730	$3,505 15	0 3%	$2,529 94	$975 21	$31.90	0.9%
4,371.0000	TSFYX	TOUCHSTONE SMALL CAP CORE FUND CLASS Y CUSIP - 89155H249	$21.130	$92,359.23	8.8%	$70,907.71	$21,451.52	$1,582.30	1.7%
59.0000	UTX	UNITED TECHNOLOGIES CORP CUSIP - 913017109	$113 800	$6,714.20	0.6%	$4,223.65	$2,490.55	$139 24	2 1%
141.0000	WAG	WALGREEN CO CUSIP - 931422109	$57.440	$8,099.04	0.8%	$5,257.86	$2,841.18	$177.66	2.2%
		Equities - Total		*$612,709.09*	*58.4%*	*$465,138.20*	*$147,570.89*	*$9,708.16*	*1.6%*
Alternative Strategies									
5,633 0000	ASFIX	ABSOLUTE STRATEGIES FUND-I CUSIP - 34984T600	$10.970	$61,794.01	5 9%	$63,631.82	$(1,837.81)	$16 90	
813.0000	MLPI	UBS E-TRACS ALERIAN MLP INFRASTR ETN ETF CUSIP - 902641646	$39.430	$32,056 59	3.1%	$31,402.20	$654.39	$1,475.60	4.6%
		Alternative Strategies - Total		*$93,850.60*	*8.9%*	*$95,034.02*	*$(1,183.42)*	*$1,492.50*	*1.6%*
Real Estate Investments									
764.0000	VNQ	VANGUARD REIT ETF CUSIP - 922908553	$64.560	$49,323.84	4 7%	$42,079.95	$7,243.89	$2,132.32	4.3%
		Real Estate Investments - Total		*$49,323.84*	*4.7%*	*$42,079.95*	*$7,243.89*	*$2,132.32*	*4.3%*
Total Portfolio Positions				$1,049,567.28	100.0%	$901,966.60	$147,600.68	$24,745.76	2.4%

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LOUISVILLE FENCING CENTER 1401 W MUHAMMAD ALI BLVD LOUISVILLE, KY 40203	NONE	EXEMPT	GRANT - OPERATIONS	5,000.
LOUISVILLE YOUTH ORCHESTRA 4121 SHELBYVILLE ROAD LOUISVILLE, KY 40207	NONE	EXEMPT	GRANT - OPERATIONS	7,500.
LOUISVILLE ZOO FOUNDATION 1100 TREVILLIAN WAY LOUISVILLE, KY 40213	NONE	EXEMPT	GRANT - OPERATIONS	5,000.
MURRAY STATE UNIVERSITY 100 SPARKS HALL MURRAY, KY 42071	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
ST JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE, KY 40206	NONE	EXEMPT	GRANT - OPERATIONS	10,000.
UNIVERSITY OF KENTUCKY MAIN BUILDING LEXINGTON, KY 40506	NONE	UNIVERSITY	GRANT/SCHOLARSHIPS	25,000.
UNIVERSITY OF LOUISVILLE 2323 SOUTH BROOK STREET LOUISVILLE, KY 40292	NONE	UNIVERSITY	SCHOLARSHIPS	10,000.
Total from continuation sheets				67,500.

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