Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: HOPPER-DEAN FOUNDATION

A Employer identification number: 27-3116560

Number and street (or P O box number if mail is not delivered to street address):
C/O CTC MYCFO, LLC
P.O. BOX 10195, DEPT. 656

Room/suite:

B Telephone number (see instructions): (650) 210-5000

City or town, state or province, country, and ZIP or foreign postal code: PALO ALTO, CA 94303-0995

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 10,585,965.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ______ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc received (attach schedule)	1,745,932.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	149,917.	149,917.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	201,559.			
b	Gross sales price for all assets on line 6a 3,341,034.				
7	Capital gain net income (from Part IV, line 2)		1,946,857.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 2	35,167.			
12	**Total** Add lines 1 through 11	2,132,575.	2,096,774.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 3	7,500.			
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) ATCH 4	39,475.	4,475.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	46,565.	46,275.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	93,540.	50,750.		
25	Contributions, gifts, grants paid	695,037.			695,037.
26	**Total expenses and disbursements** Add lines 24 and 25	788,577.	50,750.	0	695,037.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	1,343,998.			
b	**Net investment income** (if negative, enter -0-)		2,046,024.		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	59,298.	21,252.	21,252.
	2	Savings and temporary cash investments	59,452.	37,312.	37,312.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	6,789,593.	7,447,847.	8,510,936.
	c	Investments - corporate bonds (attach schedule) ATCH 7	915,464.	1,661,394.	2,016,465.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	7,823,807.	9,167,805.	10,585,965.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	7,823,807.	9,167,805.	
	30	**Total net assets or fund balances** (see instructions)	7,823,807.	9,167,805.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	7,823,807.	9,167,805.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,823,807.
2	Enter amount from Part I, line 27a	2	1,343,998.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,167,805.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,167,805.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,946,857.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	682,011.	3,481,593.	0.195891
2011	510,422.	2,614,638.	0.195217
2010		1,401,631.	
2009			
2008			

2 **Total** of line 1, column (d)	2	0.391108
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.130369
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,654,069.
5 Multiply line 4 by line 3	5	1,258,591.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	20,460.
7 Add lines 5 and 6	7	1,279,051.
8 Enter qualifying distributions from Part XII, line 4	8	695,037.

If line 8 is equal to or greater than line 7 check the box in Part VI line 1b and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	40,920.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	40,920.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	40,920.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 37,892.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 30,000.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	67,892.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	55.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	26,917.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 26,917. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ __________ (2) On foundation managers ▶ $ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ C/O HARRIS MYCFO, LLC Telephone no ▶ 650-210-5000

Located at ▶ P.O. BOX 10195, DEPT. 656 PALO ALTO, CA ZIP+4 ▶ 94303 -

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No N/A		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	9,767,899.
b	Average of monthly cash balances	1b	33,186.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	9,801,085.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,801,085.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	147,016.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,654,069.
6	**Minimum investment return.** Enter 5% of line 5	6	482,703.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	482,703.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	40,920.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	40,920.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	441,783.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	441,783.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	441,783.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	695,037.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	695,037.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	695,037.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					441,783.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years 20 11 ,20 10 ,20 09					
3	Excess distributions carryover, if any, to 2013					
a	From 2008					
b	From 2009					
c	From 2010					
d	From 2011	413,846.				
e	From 2012	619,427.				
f	**Total** of lines 3a through e		1,033,273.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 695,037.					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					441,783.
e	Remaining amount distributed out of corpus		253,254.			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,286,527.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,286,527.			
10	Analysis of line 9					
a	Excess from 2009					
b	Excess from 2010					
c	Excess from 2011	413,846.				
d	Excess from 2012	619,427.				
e	Excess from 2013	253,254.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends rents payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JEFF DEAN & HEIDI HOPPER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 9				
Total . . . . ▶ **3a**				695,037.
b *Approved for future payment*				
ATCH 10				
Total . . . . ▶ **3b**				7,500.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	149,917.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	15,868.	
8 Gain or (loss) from sales of assets other than inventory			18	185,691.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 11				35,167.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				386,643.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 386,643.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
	8/8/14	CEO

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAULA Y LO		8/7/14		P00184636

Firm's name ▶ CTC MYCFO, LLC

Firm's EIN ▶ 77-0522698

Firm's address ▶ 2200 GENG ROAD, SUITE 100
PALO ALTO, CA 94303

Phone no 650 210-5000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990***

OMB No 1545-0047

2013

Name of the organization	Employer identification number
HOPPER-DEAN FOUNDATION	27-3116560

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization HOPPER-DEAN FOUNDATION

Employer identification number 27-3116560

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEFF DEAN & HEIDI HOPPER 995 MATADERO AVENUE PALO ALTO, CA 94306	$ 1,745,932.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization HOPPER-DEAN FOUNDATION

Employer identification number 27-3116560

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2110 SHS OF GOOGLE STOCKS	$ 1,745,932.	VAR
		$	
		$	
		$	
		$	
		$	

Name of organization HOPPER-DEAN FOUNDATION

Employer identification number 27-3116560

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					15,868.	
		1875 SHS GOOGLE PROPERTY TYPE: SECURITIES				D	VAR	2/27/13
1,506,457.		563.					1,505,894.	
		235 SHS GOOGLE PROPERTY TYPE: SECURITIES				D	VAR	11/29/13
249,794.		71.					249,723.	
		CHARLES SCHWAB #6021 - SEE STATEMENT A PROPERTY TYPE: SECURITIES				P	VARIOUS	04/17/13
20,916.		19,727.					1,189.	
		CHARLES SCHWAB #8692 ST - SEE STATEMENT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
599,265.		617,008.					-8,285.	
		CHARLES SCHWAB #8692 LT - SEE STATEMENT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
100,735.		105,861.					-4,962.	
		CHARLES SCHWAB #5279 - SEE STATEMENT C PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOOUS
863,868.		676,438.					187,430.	
TOTAL GAIN(LOSS)							1,946,857.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CHARLES SCHWAB # 1319	19,351.	19,351.
CHARLES SCHWAB # 6776	26,184.	26,184.
CHARLES SCHWAB # 7085	8,903.	8,903.
CHARLES SCHWAB # 6021	18,413.	18,413.
CHARLES SCHWAB # 8692	7,275.	7,275.
CHARLES SCHWAB # 3462	39,464.	39,464.
CHARLES SCHWAB # 4577	2,705.	2,705.
CHARLES SCHWAB # 5279	27,622.	27,622.
TOTAL	149,917.	149,917.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
NON-TAXABLE DIVIDENDS		35,167.
	TOTALS	35,167.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES		7,500.			
	TOTALS	7,500.			

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAXES	35,000.	
FOREIGN TAXES	4,475.	4,475.
TOTALS	39,475.	4,475.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MANAGEMENT FEE	46,055.	46,055.
FILING FEE	160.	
BANK FEES	220.	220.
PENALTIES	130.	
TOTALS	46,565.	46,275.

HOPPER-DEAN FOUNDATION

27-3116560

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 1319	1,707,569.	1,717,977.
CHARLES SCHWAB # 6776	1,406,164.	1,660,279.
CHARLES SCHWAB # 7085	459,328.	559,615.
CHARLES SCHWAB # 6021	1,534,758.	1,453,753.
CHARLES SCHWAB # 8692	459,714.	438,637.
CHARLES SCHWAB # 3462	1,473,425.	2,069,459.
CHARLES SCHWAB # 4577	379,320.	583,647.
CHARLES SCHWAB # 5279	27,569.	27,569.
TOTALS	7,447,847.	8,510,936.

HOPPER-DEAN FOUNDATION

27-3116560

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CHARLES SCHWAB # 8692		
CHARLES SCHWAB # 5279	1,661,394.	2,016,465.
TOTALS	1,661,394.	2,016,465.

HOPPER-DEAN FOUNDATION

27-3116560

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HEIDI HOPPER 995 MATADERO AVENUE PALO ALTO, CA 94306	CEO/SECRETARY 2.00	0	0	0
JEFF DEAN 995 MATADERO AVENUE PALO ALTO, CA 94306	CFO 2.00	0	0	0
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
KHAN ACADEMY P O. BOX 1630 MOUNTAIN VIEW, CA 94042	NONE PC	CHARITABLE	50,000
UNIVERSITY OF WASHINGTON FOUNDATION BOX 359505 SEATTLE, WA 98195	NONE PC	CHARITABLE	9,543.
BUILD 2385 BAY ROAD REDWOOD CITY, CA 94063	NONE PC	CHARITABLE	40,000.
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE PC	CHARITABLE	50,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	NONE PC	CHARITABLE	20,000.
UC SANTA CRUZ FOUNDATION 1156 HIGH STREET SANTA CRUZ, CA 95064	NONE PC	CHARITABLE	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CARTER CENTER 453 FREEDOM PARKWAY ATLANTA, GA 30307	NONE PC	CHARITABLE	25,000.
FREE THE CHILDREN 282 SAN ANTONIO ROAD MOUNTAIN VIEW, CA 94040	NONE PC	CHARITABLE	250,000
SPARK PROGRAM 251 RHODE ISLAND ST , #205 SAN FRANCISCO, CA 94103	NONE PC	CHARITABLE	7,500.
CASTILLEJA SCHOOL 1310 BRYANT STREET PLAO ALTO, CA 94301	NONE PC	CHARITABLE	106,750
MENLO SCHOOL - MUSIC@MENLO 50 VALPARAISO AVENUE ATHERTON, CA 94027	NONE PC	CHARITABLE	15,000
KEYS SCHOOL 2890 MIDDLEFIELD ROAD PALO ALTO, CA 94306	NONE PC	CHARITABLE	14,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ASHESI UNIVERSITY FOUNDATION 1414 31ST AVE SOUTH, SUITE 301, BOX #11 SEATTLE, WA 98144	NONE PC	CHARITABLE	10,000
GIRLS FOR A CHANGE P O BOX 1436 SAN JOSE, CA 95109	NONE PC	CHARITABLE	10,000.
LARKIN STREET YOUTH SERVICES 701 SUTTER STREET, SUITE 2 SAN FRANCISCO, CA 94109	NONE PC	CHARITABLE	10,000
CAMBODIAN CHILDREN'S FUND 2461 SANTA MONICA BOULEVARD #833 SANTA MONICA, CA 90404	NONE PC	CHARITABLE	20,000
PAIDEIA SCHOOL 1509 PONCE DE LEON AVENUE ATLANTA, GA 30307	NONE PC	CHARITABLE	1,509.
NEPAL YOUTH FOUNDATION 3030 BRIDGEWAY, SUITE 202 SAUSALITO, CA 94965	NONE PC	CHARITABLE	1,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARR EDUCATIONAL FOUNDATION / DARAJA ACADEMY P.O BOX 4333 SAN RAFAEL, CA 94901	NONE PC	CHARITABLE	10,000
CHICAGO PHOTOGRAPHY CENTER 621 W. BELMONT AVENUE CHICAGO, IL 60657	NONE PC	CHARITABLE	500.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	NONE PC	CHARITABLE	3,670
GIVE DIRECT INC CHURCH STREET STATION P O BOX 3221 NEW YORK, NY 10008	NONE PC	CHARITABLE	20,050
BUILDON 560 DAVIS STREET, SUITE 100 SAN FRANCISCO, CA 94111	NONE PC	CHARITABLE	1,520
NATIONAL ACADEMY OF ENGINEERING 500 FIFTH STREET NW WASHINGTON, DC 20001	PC	CHARITABLE	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PENINSULA OPEN SPACE TRUST 222 HIGH STREET PALO ALTO, CA 94301	NONE PC	CHARITABLE	2,595
SAVE THE CHILDREN FEDERATION, INC 54 WILTON ROAD WESTPORT, CT 06880	NONE PC	CHARITABLE	900.
		TOTAL CONTRIBUTIONS PAID	695,037

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON FOSTER SCHOOL OF BUSINESS BOX 353200 SEATTLE, WA 98195	NONE PC	CHARITABLE	7,500.
		TOTAL CONTRIBUTIONS APPROVED	7,500.

HOPPER-DEAN FOUNDATION 27-3116560

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 11

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
NON-DIVIDEND DISTRIBUTIONS			01	35,167.	
TOTALS				35,167.	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
HOPPER-DEAN FOUNDATION	27-3116560

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	620,181.	636,735.	9,458.	-7,096.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked	863,868.	676,438.		187,430.
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	180,334.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	100,735.	105,861.	164.	-4,962.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	1,756,251.	634.		1,755,617.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13	Capital gain distributions	13	15,868.
14	Gain from Form 4797, Part I	14	
15	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16	**Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	1,766,523.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2013**

Part III Summary of Parts I and II

Caution: *Read the instructions* **before** *completing this part*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			180,334.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			1,766,523.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			1,946,857.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet**, *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . 21
22 Enter the **smaller** of line 18a or 19 in column (2) but not less than zero . . . 22
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . 23
24 Add lines 22 and 23 . . . 24
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶ 25
26 Subtract line 25 from line 24 If zero or less, enter -0- . . . 26
27 Subtract line 26 from line 21 If zero or less, enter -0- . . . 27
28 Enter the **smaller** of the amount on line 21 or $2,450 . . . 28
29 Enter the **smaller** of the amount on line 27 or line 28 . . . 29
30 Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% . . . ▶ 30
31 Enter the smaller of line 21 or line 26 . . . 31
32 Subtract line 30 from line 26 . . . 32
33 Enter the **smaller** of line 21 or $11,950 . . . 33
34 Add lines 27 and 30 . . . 34
35 Subtract line 34 from line 33 If zero or less, enter -0- . . . 35
36 Enter the **smaller** of line 32 or line 35 . . . 36
37 Multiply line 36 by 15% . . . ▶ 37
38 Enter the amount from line 31 . . . 38
39 Add lines 30 and 36 . . . 39
40 Subtract line 39 from line 38 If zero or less, enter -0- . . . 40
41 Multiply line 40 by 20% . . . ▶ 41
42 Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 42
43 Add lines 37, 41, and 42 . . . 43
44 Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 44
45 **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . ▶ 45

Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: HOPPER-DEAN FOUNDATION

Social security number or taxpayer identification number: 27-3116560

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
CHARLES SCHWAB #6021 SEE STATEMENT A	VARIOUS	04/17/13	20,916.	19,727.			1,189.
CHARLES SCHWAB #8692 - SEE STATEMENT B	VARIOUS	VARIOUS	599,265.	617,008.	W	9,458.	-8,285.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			620,181.	636,735.		9,458.	-7,096.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs.gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
HOPPER-DEAN FOUNDATION	27-3116560

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☒ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (C) Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
CHARLES SCHWAB #5279 - SEE STATEMENT C	VARIOUS	VARIOOUS	863,868.	676,438.			187,430.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			863,868	676,438.			187,430.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
HOPPER-DEAN FOUNDATION

Social security number or taxpayer identification number
27-3116560

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
CHARLES SCHWAB #8692 L - SEE STATEMENT B	VARIOUS	VARIOUS	100,735.	105,861.	W	164.	-4,962.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			100,735.	105,861.		164.	-4,962.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
HOPPER-DEAN FOUNDATION

Social security number or taxpayer identification number
27-3116560

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1875 SHS GOOGLE	VAR	2/27/13	1,506,457.	563.			1,505,894.
235 SHS GOOGLE	VAR	11/29/13	249,794.	71.			249,723.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			1,756,251.	634.			1,755,617.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 21, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
TORTOISE MLP FUND INC	89148B101	419.84	10/16/12	04/17/13	$ 11,708.47	$ 10,529.64	$ 0.00	$ 1,178.83
TORTOISE MLP FUND INC	89148B101	330.15	03/04/13	04/17/13	$ 9,207.11	$ 9,197.17	$ 0.00	$ 9.94
Security Subtotal					**$ 20,915.58**	**$ 19,726.81**	**$ 0.00**	**$ 1,188.77**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 20,915.58**	**$ 19,726.81**	**$ 0.00**	**$ 1,188.77**
Total Short-Term					**$ 20,915.58**	**$ 19,726.81**	**$ 0.00**	**$ 1,188.77**

STATEMENT A

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 21, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked)*	$ 20,915.58	$ 19,726.81	$ 0.00	$ 1,188.77
Total Short-Term Realized Gain or (Loss)	$ 20,915.58	$ 19,726.81	$ 0.00	$ 1,188.77
TOTAL REALIZED GAIN OR (LOSS)	$ 20,915.58	$ 19,726.81	$ 0.00	$ 1,188.77

STATEMENT A

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD	72201M487	17,301 39	10/16/12	02/22/13	$	199,628.17	$	201,749 41	$	9.75	$	(2,111.49)
PIMCO UNCONSTRAINED BD	72201M487	32.22	11/30/12	02/22/13	$	371.83	$	376.40	$	4.57	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	14.09	10/16/12	08/30/13	$	157.38	$	164.23	$	0 00	$	(6 85)
PIMCO UNCONSTRAINED BD	72201M487	4,058.30	10/16/12	08/30/13	$	45,317 67	$	47,323 39	$	0 00	$	(2,005 72)
PIMCO UNCONSTRAINED BD	72201M487	65.44	10/22/12	08/30/13	$	730 85	$	765.26	$	34 41	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	32 22	11/30/12	08/30/13	$	359.86	$	376.14	$	5 34	$	(10 94)
PIMCO UNCONSTRAINED BD	72201M487	4,785.16	12/12/12	08/30/13	$	53,434.24	$	55,749.72	$	0.00	$	(2,315.48)
PIMCO UNCONSTRAINED BD	72201M487	10.56	11/30/12	11/07/13	$	118.68	$	123.40	$	4 72	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	26,640 81	12/12/12	11/07/13	$	299,146.40	$	310,379.79	$	9,399.25	$	(1,834.14)
Security Subtotal					**$**	**599,265.08**	**$**	**617,007.74**	**$**	**9,458.04**	**$**	**(8,284.62)**
Total Short-Term *(Cost basis is reported to the IRS)*					**$**	**599,265.08**	**$**	**617,007.74**	**$**	**9,458.04**	**$**	**(8,284.62)**
Total Short-Term					**$**	**599,265.08**	**$**	**617,007.74**	**$**	**9,458.04**	**$**	**(8,284.62)**

STATEMENT B

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
PIMCO UNCONSTRAINED BD	72201M487	29.93	10/22/12	11/07/13	$	336.13	$	350.10	$	13.97	$	0 00
PIMCO UNCONSTRAINED BD	72201M487	35 51	10/22/12	11/07/13	$	398 79	$	418.92	$	20.13	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	23.33	10/22/12	12/23/13	$	258 69	$	275.73	$	17 04	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	11 25	11/13/12	12/23/13	$	124 82	$	131.46	$	6 64	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	12.18	11/13/12	12/23/13	$	135 06	$	143.46	$	8 40	$	0 00
PIMCO UNCONSTRAINED BD	72201M487	18.67	11/19/12	12/23/13	$	207.04	$	217.72	$	10.68	$	0.00
PIMCO UNCONSTRAINED BD	72201M487	8,954.38	12/12/12	12/23/13	$	99,274.39	$	104,323.45	$	87.16	$	(4,961.90)
Security Subtotal					**$**	**100,734.92**	**$**	**105,860.84**	**$**	**164.02**	**$**	**(4,961.90)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$**	**100,734.92**	**$**	**105,860.84**	**$**	**164.02**	**$**	**(4,961.90)**
Total Long-Term					**$**	**100,734.92**	**$**	**105,860.84**	**$**	**164.02**	**$**	**(4,961.90)**

STATEMENT B

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked)*	$ 599,265.08	$ 617,007.74	$ 9,458.04	$ (8,284.62)
Total Short-Term Realized Gain or (Loss)	$ 599,265.08	$ 617,007.74	$ 9,458.04	$ (8,284.62)
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part II, with Box A checked)*	$ 100,734.92	$ 105,860.84	$ 164.02	$ (4,961.90)
Total Long-Term Realized Gain or (Loss)	$ 100,734.92	$ 105,860.84	$ 164.02	$ (4,961.90)
TOTAL REALIZED GAIN OR (LOSS)	$ 700,000.00	$ 722,868.58	$ 9,622.06	$ (13,246.52)

STATEMENT B

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method· High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ACI WORLDWIDE INC· ACIW	52.0000	06/03/13	10/04/13	$2,810.39	$2,418.58	$391.81
ACI WORLDWIDE INC· ACIW	66 0000	06/03/13	10/10/13	$3,536 95	$3,069 73	$467.22
ACI WORLDWIDE INC ACIW	190 0000	05/22/13	10/11/13	$10,269 46	$8,623.54	$1,645.92
ACI WORLDWIDE INC ACIW	124 0000	05/23/13	10/11/13	$6,702.17	$5,627.81	$1,074.36
ACI WORLDWIDE INC. ACIW	13.0000	06/03/13	10/11/13	$702 65	$604.64	$98.01
Security Subtotal				**$24,021.62**	**$20,344.30**	**$3,677.32**
APPLE INC: AAPL	32.0000	12/14/12	12/10/13	$18,025.87	$16,484 21	$1,541 66
APPLE INC. AAPL	9.0000	12/18/12	12/10/13	$5,069.78	$4,800.90	$268 88
Security Subtotal				**$23,095.65**	**$21,285.11**	**$1,810.54**
CME GROUP INC CL A CLASS A: CME	40.0000	12/14/12	05/21/13	$2,576 00	$2,029.93	$546.07
CME GROUP INC CL A CLASS A: CME	360 0000	04/17/13	05/21/13	$23,184.02	$21,210.78	$1,973.24
CME GROUP INC CL A CLASS A. CME	319 0000	12/14/12	06/06/13	$21,846.94	$16,188.69	$5,658.25
CME GROUP INC CL A CLASS A. CME	100.0000	12/14/12	12/10/13	$7,973.31	$5,072.82	$2,900.49

STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CME GROUP INC CL A CLASS A: CME	111 0000	12/14/12	12/10/13	$8,850 37	$5,633.05	$3,217 32
CME GROUP INC CL A CLASS A: CME	400.0000	12/14/12	12/10/13	$31,893.24	$20,299.29	$11,593.95
Security Subtotal				**$96,323.88**	**$70,434.56**	**$25,889.32**
DISCOVERY COMMUN SER C SERIES C: DISCK	178.0000	12/14/12	02/20/13	$11,119.90	$9,994.94	$1,124.96
Security Subtotal				**$11,119.90**	**$9,994.94**	**$1,124.96**
DISNEY WALT CO. DIS	18.0000	12/14/12	02/20/13	$998.46	$879.78	$118.68
DISNEY WALT CO· DIS	200.0000	12/14/12	02/20/13	$11,093.94	$9,775.95	$1,317 99
DISNEY WALT CO: DIS	124 0000	04/17/13	06/06/13	$7,719.89	$7,496.88	$223.01
Security Subtotal				**$19,812.29**	**$18,152.61**	**$1,659.68**
DOVER CORPORATION DOV	189 0000	04/17/13	06/06/13	$14,821.86	$13,185.08	$1,636.78
DOVER CORPORATION. DOV	89 0000	12/14/12	10/04/13	$7,871 56	$5,722 77	$2,148.79
DOVER CORPORATION. DOV	85 0000	12/18/12	10/04/13	$7,517.77	$5,560.30	$1,957.47
DOVER CORPORATION DOV	71 0000	04/17/13	10/04/13	$6,279 55	$4,953 13	$1,326 42
Security Subtotal				**$36,490.74**	**$29,421.28**	**$7,069.46**

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STATEMENT C

SIPC

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DUN & BRADSTREET CP NEW· DNB	8 0000	12/14/12	05/07/13	$726.48	$647.33	$79.15
DUN & BRADSTREET CP NEW: DNB	60.0000	12/18/12	05/07/13	$5,448.63	$5,003.95	$444.68
DUN & BRADSTREET CP NEW. DNB	190 0000	04/17/13	05/07/13	$17,253.99	$16,191.00	$1,062 99
DUN & BRADSTREET CP NEW. DNB	362.0000	12/14/12	05/21/13	$35,748.67	$29,291 66	$6,457.01
DUN & BRADSTREET CP NEW DNB	60 0000	02/13/13	05/21/13	$5,925.19	$4,778 80	$1,146.39
Security Subtotal				**$65,102.96**	**$55,912.74**	**$9,190.22**
EATON VANCE CP NON VTG. EV	154.0000	12/14/12	02/13/13	$6,028.15	$4,803.72	$1,224.43
EATON VANCE CP NON VTG EV	146 0000	12/14/12	02/20/13	$5,743.08	$4,554 18	$1,188.90
EATON VANCE CP NON VTG EV	402 0000	12/14/12	02/20/13	$15,813.15	$12,539 58	$3,273.57
EATON VANCE CP NON VTG EV	1 0000	12/14/12	05/21/13	$43.89	$31.19	$12.70
EATON VANCE CP NON VTG· EV	79 0000	12/14/12	05/21/13	$3,467.26	$2,464.24	$1,003 02
EATON VANCE CP NON VTG. EV	100 0000	12/14/12	05/21/13	$4,388.94	$3,118 30	$1,270.64
EATON VANCE CP NON VTG. EV	398 0000	12/14/12	05/21/13	$17,467 97	$12,414 81	$5,053.16

STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EATON VANCE CP NON VTG· EV	260.0000	04/17/13	05/21/13	$11,411.24	$9,936.17	$1,475.07
Security Subtotal				**$64,363.68**	**$49,862.19**	**$14,501.49**
ENDURANCE SPECALTY HLDGF: ENH	500 0000	12/14/12	06/14/13	$25,048.77	$19,725 93	$5,322 84
ENDURANCE SPECALTY HLDGF· ENH	400 0000	04/17/13	06/14/13	$20,039.02	$19,121.00	$918 02
ENDURANCE SPECALTY HLDGF ENH	640 0000	12/14/12	06/17/13	$32,244.70	$25,249.18	$6,995.52
Security Subtotal				**$77,332.49**	**$64,096.11**	**$13,236.38**
EVEREST RE GROUP LTD F RE	171 0000	04/17/13	10/04/13	$25,198.39	$21,159.35	$4,039.04
Security Subtotal				**$25,198.39**	**$21,159.35**	**$4,039.04**
FRANKLIN RESOURCES INC BEN	128 0000	04/17/13	05/21/13	$21,294.32	$18,923 25	$2,371.07
Security Subtotal				**$21,294.32**	**$18,923.25**	**$2,371.07**
HEARTLAND PAYMENT SYS. HPY	40 0000	12/14/12	02/20/13	$1,326.29	$1,159 15	$167 14
HEARTLAND PAYMENT SYS HPY	190 0000	12/18/12	02/20/13	$6,299.89	$5,589 25	$710 64
HEARTLAND PAYMENT SYS HPY	257 0000	04/17/13	07/16/13	$9,922 42	$7,931 82	$1,990 60
HEARTLAND PAYMENT SYS HPY	100 0000	12/14/12	12/10/13	$4,427.90	$2,897.47	$1,530.43

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STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
HEARTLAND PAYMENT SYS· HPY	100.0000	12/14/12	12/10/13	$4,427.90	$2,897 47	$1,530 43
HEARTLAND PAYMENT SYS· HPY	230.0000	12/14/12	12/10/13	$10,184.18	$6,662.80	$3,521.38
HEARTLAND PAYMENT SYS HPY	260.0000	12/14/12	12/10/13	$11,512.55	$7,534.46	$3,978.09
HEARTLAND PAYMENT SYS. HPY	300.0000	12/14/12	12/10/13	$13,283.71	$8,690 60	$4,593.11
HEARTLAND PAYMENT SYS HPY	260.0000	03/07/13	12/10/13	$11,512 55	$7,811 30	$3,701 25
HEARTLAND PAYMENT SYS· HPY	323.0000	04/17/13	12/10/13	$14,302.13	$9,968 78	$4,333 35
Security Subtotal				**$87,199.52**	**$61,143.10**	**$26,056.42**
ICONIX BRAND GROUP INC· ICON	21 0000	12/14/12	08/02/13	$715 98	$442.60	$273 38
ICONIX BRAND GROUP INC· ICON	320 0000	04/17/13	08/02/13	$10,910 23	$8,076 20	$2,834.03
ICONIX BRAND GROUP INC ICON	440 0000	12/14/12	08/05/13	$15,026 07	$9,273.61	$5,752 46
ICONIX BRAND GROUP INC· ICON	494.0000	12/14/12	08/06/13	$16,749.16	$10,411 73	$6,337.43
Security Subtotal				**$43,401.44**	**$28,204.14**	**$15,197.30**
JOSEPH A BANK CLOTHIERS. JOSB	65 0000	02/28/13	06/07/13	$2,734 43	$2,708 52	$25.91

STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
JOSEPH A BANK CLOTHIERS· JOSB	158.0000	02/28/13	06/13/13	$6,370.17	$6,583 78	($213.61)
JOSEPH A BANK CLOTHIERS JOSB	2 0000	02/28/13	06/20/13	$79.57	$83.34	($3.77)
JOSEPH A BANK CLOTHIERS JOSB	133.0000	04/17/13	06/20/13	$5,291.28	$5,481 08	($189.80)
JOSEPH A BANK CLOTHIERS JOSB	182 0000	03/01/13	06/25/13	$7,417.98	$7,491.82	($73.84)
JOSEPH A BANK CLOTHIERS· JOSB	57 0000	04/17/13	06/25/13	$2,323.22	$2,349.03	($25 81)
Security Subtotal				**$24,216.65**	**$24,697.57**	**($480.92)**
MICROSOFT CORP MSFT	10.0000	12/14/12	05/21/13	$349.65	$269.46	$80.19
MICROSOFT CORP MSFT	111 0000	12/14/12	05/21/13	$3,881 10	$2,990 68	$890 42
MICROSOFT CORP· MSFT	560 0000	04/17/13	05/21/13	$19,580 34	$16,153 00	$3,427.34
MICROSOFT CORP MSFT	989 0000	12/14/12	06/06/13	$34,160 77	$26,646 69	$7,514 08
Security Subtotal				**$57,971.86**	**$46,059.83**	**$11,912.03**
NASDAQ OMX GROUP INC. NDAQ	543.0000	12/14/12	03/06/13	$17,504.43	$13,208.59	$4,295.84
Security Subtotal				**$17,504.43**	**$13,208.59**	**$4,295.84**
NETSPEND HOLDINGS INC. NTSP	100 0000	12/14/12	02/20/13	$1,577.34	$1,088 23	$489 11

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STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NETSPEND HOLDINGS INC NTSP	100 0000	12/14/12	02/20/13	$1,577.34	$1,090.83	$486.51
NETSPEND HOLDINGS INC· NTSP	100.0000	12/14/12	02/20/13	$1,577.34	$1,090.83	$486.51
NETSPEND HOLDINGS INC NTSP	100.0000	12/14/12	02/20/13	$1,577.34	$1,091 83	$485 51
NETSPEND HOLDINGS INC NTSP	100 0000	12/14/12	02/20/13	$1,577.34	$1,092 33	$485.01
NETSPEND HOLDINGS INC· NTSP	100.0000	12/14/12	02/20/13	$1,577 34	$1,092.33	$485.01
NETSPEND HOLDINGS INC· NTSP	100.0000	12/14/12	02/20/13	$1,577 34	$1,092 33	$485.01
NETSPEND HOLDINGS INC NTSP	200 0000	12/14/12	02/20/13	$3,154 71	$2,176.42	$978 29
NETSPEND HOLDINGS INC. NTSP	206 0000	12/14/12	02/20/13	$3,249 33	$2,252 04	$997 29
NETSPEND HOLDINGS INC NTSP	300 0000	12/14/12	02/20/13	$4,733.26	$3,279.98	$1,453 28
NETSPEND HOLDINGS INC: NTSP	550.0000	12/14/12	02/20/13	$8,677 65	$6,013 29	$2,664.36
NETSPEND HOLDINGS INC NTSP	794 0000	12/14/12	02/20/13	$12,527.36	$8,680 21	$3,847 15
Security Subtotal				**$43,383.69**	**$30,040.65**	**$13,343.04**
PARKER-HANNIFIN CORP PH	360 0000	12/14/12	12/10/13	$43,167 20	$30,291.07	$12,876.13

STATEMENT C

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PARKER-HANNIFIN CORP· PH	220.0000	04/17/13	12/10/13	$26,379.96	$19,206.78	$7,173.18
Security Subtotal				**$69,547.16**	**$49,497.85**	**$20,049.31**
UNITED TECHNOLOGIES CORP· UTX	31 0000	12/14/12	07/19/13	$3,164.66	$2,483 22	$681.44
UNITED TECHNOLOGIES CORP UTX	150 0000	04/17/13	07/19/13	$15,312.88	$13,961 50	$1,351.38
UNITED TECHNOLOGIES CORP UTX	69 0000	12/14/12	12/10/13	$7,624.03	$5,527.17	$2,096 86
UNITED TECHNOLOGIES CORP. UTX	75 0000	12/14/12	12/10/13	$8,286.99	$6,007.64	$2,279.35
UNITED TECHNOLOGIES CORP. UTX	200.0000	12/14/12	12/10/13	$22,098.63	$16,020.57	$6,078.06
Security Subtotal				**$56,487.19**	**$44,000.10**	**$12,487.09**
Total Short-Term				**$863,867.86**	**$676,438.27**	**$187,429.59**
Total Realized Gain or (Loss)				**$863,867.86**	**$676,438.27**	**$187,429.59**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers. Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

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STATEMENT C

SIPC

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions HOPPER-DEAN FOUNDATION	Employer identification number (EIN) or 27-3116560
	Number, street, and room or suite no If a P O box, see instructions C/O HARRIS MYCFO, LLC	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions PALO ALTO, CA 94303-0995	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ C/O HARRIS MYCFO, LLC

Telephone No ▶ 650 210-5000 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ______, 20__, and ending ______, 20__

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 67,892.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 37,892.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 30,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.