Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
MARK FOUNDATION
C/O BESSEMER TRUST COMPANY

A Employer identification number
27-3024112

Number and street (or P O box number if mail is not delivered to street address): 300 CRESCENT COURT
Room/suite: 800

B Telephone number
(512) 470-1255

City or town, state or province, country, and ZIP or foreign postal code
DALLAS, TX 75201

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 21,790,170.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	22.	22.		STATEMENT 1
	4 Dividends and interest from securities	481,944.	481,944.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	67,119.			
	b Gross sales price for all assets on line 6a 1,048,270.				
	7 Capital gain net income (from Part IV, line 2)		67,119.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	368,624.	368,624.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	917,709.	917,709.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	3,500.	3,150.		350.
	c Other professional fees STMT 5	6,697.	6,697.		0.
	17 Interest				
	18 Taxes STMT 6	17,200.	0.		0.
	19 Depreciation and depletion	2,463.	2,463.		
	20 Occupancy				
	21 Travel, conferences, and meetings	500.	0.		500.
	22 Printing and publications				
	23 Other expenses STMT 7	79,528.	78,803.		725.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	109,888.	91,113.		1,575.
	25 Contributions, gifts, grants paid	0.			0.
	26 **Total expenses and disbursements.** Add lines 24 and 25	109,888.	91,113.		1,575.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	807,821.			
	b **Net investment income** (if negative, enter -0-)		826,596.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013)

07331117 793187 MAR1031203 2013.05000 MARK FOUNDATION C/O BESSEME MAR10311

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,505,877.	820,388.	820,388.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	6,135.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	13,431,193.	13,191,775.	18,765,659.
	c Investments - corporate bonds STMT 9	452,121.	557,821.	570,413.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	547.	0.	0.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 11)	0.	1,633,710.	1,633,710.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	15,395,873.	16,203,694.	21,790,170.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	15,395,873.	16,203,694.	
	30 **Total net assets or fund balances**	15,395,873.	16,203,694.	
	31 **Total liabilities and net assets/fund balances**	15,395,873.	16,203,694.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,395,873.
2 Enter amount from Part I, line 27a	2	807,821.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,203,694.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,203,694.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,048,270.		981,151.	67,119.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				67,119.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 67,119.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	903,722.	18,217,125.	.049608
2011	275,042.	18,063,774.	.015226
2010	320,680.	11,397,795.	.028135
2009	1,068.	3,540.	.301695
2008	1,176.	3,608.	.325942

2	**Total** of line 1, column (d)	2	.720606
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.144121
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	18,442,600.
5	Multiply line 4 by line 3	5	2,657,966.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	8,266.
7	Add lines 5 and 6	7	2,666,232.
8	Enter qualifying distributions from Part XII, line 4	8	1,635,285.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	16,532.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	16,532.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	16,532.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 16,524.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 1,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	17,524.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	992.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	992. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ C/O BESSEMER TRUST COMPANY Telephone no. ▶ 214-245-1417
Located at ▶ 300 CRESCENT COURT, SUITE 800, DALLAS, TX ZIP+4 ▶ 75201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ _____, _____, _____, _____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ _____, _____, _____, _____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARTHA PEAK ROCHELLE 7301 N. FM 620, SUITE 155-307 AUSTIN, TX 78726	DIRECTOR AND PRESIDENT 20.00	0.	0.	0.
LAURA KATHERINE ROCHELLE 7301 N. FM 620, SUITE 155-307 AUSTIN, TX 78726	DIRECTOR, SECR./TREAS. 3.00	0.	0.	0.
JAMES A ROCHELLE 7301 N. FM 620, SUITE 155-307 AUSTIN, TX 78726	VICE-PRESIDENT 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 12	1,633,710.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	1,633,710.

Form **990-PF** (2013)

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10-10-13

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	13,887,685.
b	Average of monthly cash balances	1b	581,774.
c	Fair market value of all other assets	1c	4,253,993.
d	**Total** (add lines 1a, b, and c)	1d	18,723,452.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,723,452.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	280,852.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,442,600.
6	**Minimum investment return.** Enter 5% of line 5	6	922,130.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	922,130.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 16,532.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	16,532.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	905,598.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	905,598.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	905,598.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,575.
b	Program-related investments - total from Part IX-B	1b	1,633,710.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,635,285.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,635,285.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				905,598.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			812,420.	
b Total for prior years: ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 1,635,285.				
a Applied to 2012, but not more than line 2a			812,420.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				822,865.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				82,733.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NONE				
Total			▶ 3a	0.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	22.	
4 Dividends and interest from securities			14	481,944.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	368,624.	
8 Gain or (loss) from sales of assets other than inventory			18	67,119.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		917,709.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 917,709.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

323621 10-10-13

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [signature] Date: 11-17-14 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEPHEN J. FLANAGAN	Stephen Flanagan	11-17-14		P00548849

Firm's name ▶ TRAVIS WOLFF, LLP Firm's EIN ▶

Firm's address ▶ 15950 N. DALLAS PARKWAY, #600 DALLAS, TX 75248 Phone no. 972-661-1843

Form 990-PF (2013)

323622 10-10-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
b	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
c	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
d	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
e	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
f	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
g	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
h	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
i	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
j	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
k	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
l	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
m	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
n	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
o	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	87,284.		83,663.	3,621.
b	12,193.		11,182.	1,011.
c	65,982.		62,976.	3,006.
d	10,280.		9,198.	1,082.
e	67,040.		66,344.	696.
f	14,818.		14,627.	191.
g	57,929.		55,221.	2,708.
h	7,976.		7,296.	680.
i	117,282.		107,951.	9,331.
j	10,032.		8,536.	1,496.
k	43,643.		41,238.	2,405.
l	28,514.		23,766.	4,748.
m	67,437.		62,125.	5,312.
n	10,382.		9,338.	1,044.
o	29,747.		30,659.	-912.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				3,621.
b				1,011.
c				3,006.
d				1,082.
e				696.
f				191.
g				2,708.
h				680.
i				9,331.
j				1,496.
k				2,405.
l				4,748.
m				5,312.
n				1,044.
o				-912.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

323591
05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
b	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
c	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
d	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
e	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
f	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
g	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
h	DEUTSCHE BANK 9209 (SEE ATTACHED)	P	VARIOUS	12/31/13
i	10000 UNITS DORCHESTER MINERALS TS	P	01/12/12	01/29/13
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,625.		2,873.	752.
b	49,113.		46,235.	2,878.
c	9,400.		8,860.	540.
d	45,404.		42,348.	3,056.
e	9,267.		7,938.	1,329.
f	19,961.		20,174.	-213.
g	4,190.		4,057.	133.
h	54,521.		51,825.	2,696.
i	222,250.		202,721.	19,529.
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				752.
b				2,878.
c				540.
d				3,056.
e				1,329.
f				-213.
g				133.
h				2,696.
i				19,529.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	67,119.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

PO Box 1778, Baltimore MD 21203

[redacted]

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Statement Period: 01/01/2013 - 01/31/2013

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
01/08/13	04/04/12*	SELL First In First Out	GOLDCORP INC NEW SR .000% 08/01/14 B/E DTD 06/05/0 9 CLB Original Cost Basis 3,331 50	380956AB8	3,000.000	3,331 50	3,242.72	-88 78
01/11/13	07/25/12*	SELL First In First Out	INTERNATIONAL GAME T NT CONV 144A 3.250% 05/01/14 B/E DTD 05/11/09 CLB Original Cost Basis 2,123.63	459902AQ5	2,000 000	2,123.63	2,095.24	-28.39
01/14/13	07/18/12*	SELL First In First Out	IXIA SR NT CONV 3.0 15 B/E DTD 12/07/10 Original Cost Basis 3,142.87	45071RAB5	3,000 000	3,142 87	3,644.88	502 01
01/14/13	11/26/12*	SELL First In First Out	IXIA SR NT CONV 3.0 15 B/E DTD 12/07/10 Original Cost Basis 2,240.09	45071RAB5	2,000 000	2,240.09	2,429 92	189 83
01/14/13	08/01/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis 1,041.25	871503AF5	1,000.000	1,041 25	1,100.00	58.75
01/14/13	09/20/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis 1,114 50	871503AF5	1,000.000	1,114 50	1,100 00	-14 50
01/15/13	06/13/12*	SELL First In First Out	ALLEGHENY TECHNOLOGI NT CONV 4 250% 06/01/14 B/E D TD 06/02/09 Original Cost Basis 3,388 08	01741RAD4	3,000 000	3,388 08	3,258 86	-129.22
01/15/13	09/20/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis 2,229.00	871503AF5	2,000.000	2,229 00	2,220 00	-9 00
01/15/13	10/25/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis 1,085 63	871503AF5	1,000 000	1,085 63	1,110.00	24 37
01/16/13	10/08/12*	SELL First In First Out	SALIX PHARMACEUTICAL T CONV 144A 1 500% 03/15/19 R EG DTD 03/16/12 Original Cost Basis: 956.57	795435AD8	1,000.000	956 57	1,026.25	69 68
01/16/13	08/13/12	SELL First In First Out	WELLS FARGO & CO NEW CONV CL A 7.5% PFD SHS	WFC PRL	1.000	1,186.25	1,272.47	86.22

MARK FOUNDATION (EIN:27-3024112)
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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
01/18/13	10/23/12*	SELL First In First Out	AMGEN INC SR NT CONV 75% 02/01/13 B/E DTD 02/17/06 CLB Original Cost Basis: 3,427 45	031162AQ3	3,000 000	3,427 45	3,190 80	-236 65
01/18/13	02/29/12*	SELL First In First Out	HOLOGIC INC SR NT CO 03/01/42 REG DTD 03/05/12 CLB PUT Original Cost Basis: 3,060 34	436440AC5	3,000 000	3,060 34	3,161 25	100.91
01/18/13	09/26/12*	SELL First In First Out	PRICELINE COM INC SR 44A 1 000% 03/15/18 B/E DTD 0 3/12/12 Original Cost Basis· 3,236 25	741503AP1	3,000 000	3,236 25	3,294 00	57 75
01/22/13	10/23/12*	SELL First In First Out	AMGEN INC SR NT CONV 75% 02/01/13 B/E DTD 02/17/06 CLB Original Cost Basis 2,284 97	031162AQ3	2,000 000	2,284 97	2,146 74	-138 23
01/22/13	04/20/12*	SELL First In First Out	SANDISK CORP FIXED R NV 1 500% 08/15/17 B/E DTD 08 /25/10 Original Cost Basis· 4,059 34	80004CAD3	4,000 000	4,059 34	4,870 00	810 66
01/22/13	10/25/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis· 2,171 25	871503AF5	2,000 000	2,171 25	2,242 83	71.58
01/22/13	11/27/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis 2,166.03	871503AF5	2,000.000	2,166 03	2,242.83	76 80
01/22/13	12/11/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis· 2,181 93	871503AF5	2,000 000	2,181 93	2,242 84	60 91
01/23/13	11/28/12*	SELL First In First Out	AMGEN INC SR NT CONV 75% 02/01/13 B/E DTD 02/17/06 CLB Original Cost Basis 3,394 50	031162AQ3	3,000 000	3,394 50	3,250.77	-143 73
01/23/13	11/06/12*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1 750% 04/15/15 B/ E DTD 04/15/08 Original Cost Basis 3,326 25	29264FAB2	3,000 000	3,326 25	3,454 89	128 64
01/23/13	12/11/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis: 1,090 97	871503AF5	1,000 000	1,090 97	1,160 68	69 71
01/23/13	12/27/12*	SELL First In First Out	SYMANTEC CORP SR NT 0% 06/15/13 B/E DTD 06/16/06 Original Cost Basis· 1,063.75	871503AF5	1,000 000	1,063 75	1,160.68	96 93
01/24/13	12/24/12*	SELL First In First Out	AMGEN INC SR NT CONV 75% 02/01/13 B/E DTD 02/17/06 CLB Original Cost Basis 1,133 75	031162AQ3	1,000 000	1,133 75	1,082.93	-50 82

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Statement Period: 01/01/2013 - 01/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
01/24/13	06/01/12*	SELL First In First Out	SANDISK CORP FIXED R NV 1 500% 08/15/17 B/E DTD 08 /25/10 Original Cost Basis: 2,961 00	80004CAD3	3,000 000	2,961 00	3,680.24	719 24
01/24/13	09/26/12*	SELL First In First Out	SANDISK CORP FIXED R NV 1 500% 08/15/17 B/E DTD 08 /25/10 Original Cost Basis 3,375.00	80004CAD3	3,000 000	3,375 00	3,680.24	305 24
01/28/13	12/20/12*	SELL First In First Out	CIENA CORP SR NT 144 12/15/20 B/E DTD 12/27/12 CLB Original Cost Basis. 5,785.51	171779AK7	5,000 000	5,785 51	5,870.97	85 46
01/28/13	05/24/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis. 1,093 75	64110DAB0	1,000 000	1,093 75	1,179.07	85.32
01/28/13	07/06/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis: 2,220 45	64110DAB0	2,000.000	2,220.45	2,358.14	137.69
01/29/13	10/05/12*	SELL First In First Out	CACI INTL INC SR SUB 44A 2 125% 05/01/14 B/E DTD 0 5/16/07 Original Cost Basis. 3,344.94	127190AD8	3,000 000	3,344.94	3,401 25	56.31
01/30/13	07/06/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis 2,220 45	64110DAB0	2,000 000	2,220.45	2,355.00	134.55
01/30/13	10/08/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis 1,102 84	64110DAB0	1,000 000	1,102.84	1,177.50	74.66
01/30/13	08/14/12	SELL First In First Out	WELLS FARGO & CO NEW CONV CL A 7.5% PFD SHS	WFC PRL	1 000	1,196.25	1,288 72	92.47
01/30/13	11/20/12	SELL First In First Out	WELLS FARGO & CO NEW CONV CL A 7.5% PFD SHS	WFC PRL	1.000	1,234.00	1,288.72	54 72
01/31/13	05/30/12*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1.750% 12/01/1 6 B/E DTD 11/16/11 Original Cost Basis 938 57	874054AC3	1,000.000	938.57	990.00	51.43

MARK FOUNDATION (EIN:27-3024112)
2013 FORM 990-PF
PART IV ATTACHMENTS

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
01/31/13	05/30/12*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1 750% 12/01/1 6 B/E DTD 11/16/11 Original Cost Basis 3,754 29	874054AC3	4,000.000	3,754 29	4,012 66	258 37
Total Short Term						**$83,663.20**	**$87,284.09**	**$3,620.89**
Long Term								
01/08/13	12/22/11*	SELL First In First Out	GOLDCORP INC NEW SR 000% 08/01/14 B/E DTD 06/05/0 9 CLB Original Cost Basis: 3,377.78	380956AB8	3,000 000	3,377 78	3,242 72	-135 06
01/16/13	09/19/11*	SELL First In First Out	HEATLHSOUTH CORP 6 5 CONV SER A_CALLABLE	HSRPP	5.000	4,925 00	5,469 87	544 87
01/16/13	08/24/11*	SELL First In First Out	MGM RESORTS INTL SR 250% 04/15/15 B/E DTD 04/20/1 1 CLB Original Cost Basis 940.00	55303QAE0	1,000 000	940 00	1,100 75	160 75
01/18/13	08/02/11*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE	BAC PRL	2.000	1,938 79	2,379 94	441 15
Total Long Term						**$11,181.57**	**$12,193.28**	**$1,011.71**
Total Short Term and Long Term						**$94,844.77**	**$99,477.37**	**$4,632.60**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows.

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
02/07/13	02/10/12*	SELL First In First Out	INTERPUBLIC GROUP CO ONV NT 4.750% 03/15/23 B/E DT D 11/20/07 CLB PUT Original Cost Basis: 2,257.50	460690BE9	2,000.000	2,257.50	2,087.56	-169.94

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
02/07/13	06/05/12*	SELL First In First Out	INTERPUBLIC GROUP CO ONV NT 4 750% 03/15/23 B/E DT D 11/20/07 CLB PUT Original Cost Basis: 3,202.50	460690BE9	3,000.000	3,202 50	3,131.33	-71 17
02/08/13	09/26/12*	SELL First In First Out	PRICELINE COM INC SR 44A 1 000% 03/15/18 B/E DTD 0 3/12/12 Original Cost Basis: 1,078.75	741503AP1	1,000 000	1,078 75	1,118.13	39 38
02/08/13	11/01/12*	SELL First In First Out	PRICELINE COM INC SR 44A 1 000% 03/15/18 B/E DTD 0 3/12/12 Original Cost Basis: 1,061.25	741503AP1	1,000 000	1,061.25	1,118 12	56 87
02/11/13	10/26/12*	SELL First In First Out	AIR LEASE CORP SR NT 3.875% 12/01/18 B/E DTD 11/2 1/11 Original Cost Basis 4,191.37	00912XAA2	4,000.000	4,191.37	4,741 98	550 61
02/11/13	03/13/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 1,012.14	018804AK0	1,000.000	1,012 14	1,070.07	57.93
02/11/13	03/15/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis. 1,019.10	018804AK0	1,000.000	1,019.10	1,070 07	50 97
02/11/13	10/11/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 CLB Original Cost Basis: 2,163.39	458140AD2	2,000 000	2,163.39	2,095 00	-68 39
02/11/13	10/18/12*	SELL First In First Out	LAM RESEARCH CORP 1 5/18 B/E DTD 05/11/11 Original Cost Basis: 2,962 50	512807AL2	3,000.000	2,962.50	3,191.25	228 75
02/11/13	05/30/12*	SELL First In First Out	SL GREEN OPER PARTNE SR NT EXCHANGEABLE 144A 3.000 % 10/15/17 B/E DTD 10/12/10 Original Cost Basis: 3,261.83	78444FAC0	3,000 000	3,261.83	3,464 45	202.62
02/12/13	05/07/12*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 CLB Original Cost Basis: 2,066.63	55303QAE0	2,000.000	2,066 63	2,180.00	113.37
02/13/13	06/07/12*	SELL First In First Out	MOLINA HEALTHCARE IN NV 3 750% 10/01/14 B/E DTD 10 /11/07 Original Cost Basis: 2,091.24	60855RAA8	2,000.000	2,091 24	2,451.93	360.69
02/13/13	10/08/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis: 1,102.83	64110DAB0	1,000.000	1,102.83	1,147.25	44.42
02/13/13	11/01/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis: 1,055.95	64110DAB0	1,000.000	1,055 95	1,147.25	91.30

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Statement Period: 02/01/2013 - 02/28/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
02/13/13	11/13/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Original Cost Basis: 1,047.42	64110DAB0	1,000.000	1,047.42	1,147.24	99.82
02/14/13	02/29/12*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Original Cost Basis: 2,812.50	576203AJ2	3,000.000	2,812.50	2,880.00	67.50
02/20/13	03/15/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis. 2,038.20	018804AK0	2,000 000	2,038.20	2,145.74	107.54
02/20/13	03/16/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 1,025.00	018804AK0	1,000.000	1,025 00	1,072.87	47.87
02/20/13	03/19/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 2,055.19	018804AK0	2,000.000	2,055.19	2,146.10	90.91
02/20/13	03/27/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 1,025.00	018804AK0	1,000.000	1,025.00	1,073 05	48.05
02/20/13	12/13/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1.500% 08/01/31 B/E DTD 07 /26/11 CLB Original Cost Basis: 1,878.88	595112AQ6	2,000.000	1,878.88	2,082.34	203.46
02/20/13	11/01/12*	SELL First In First Out	PRICELINE COM INC SR 44A 1.000% 03/15/18 B/E DTD 0 3/12/12 Original Cost Basis: 3,168.75	741503AP1	3,000.000	3,168.75	3,356.25	187.50
02/25/13	11/07/12*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1.750% 04/15/15 B/ E DTD 04/15/08 Original Cost Basis: 2,200.00	29264FAB2	2,000.000	2,200.00	2,381.11	181.11
02/25/13	11/07/12*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1.750% 04/15/15 B/ E DTD 04/15/08 Original Cost Basis: 1,100 00	29264FAB2	1,000.000	1,100.00	1,189.45	89.45
02/25/13	12/13/12*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1.750% 04/15/15 B/ E DTD 04/15/08 Original Cost Basis: 2,216 00	29264FAB2	2,000.000	2,216.00	2,378.89	162.89

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
02/26/13	11/01/12*	SELL First In First Out	PRICELINE COM INC SR 44A 1 000% 03/15/18 B/E DTD 0 3/12/12 Original Cost Basis 3,168.75 .	741503AP1	3,000 000	3,168.75	3,307.50	138 75
02/27/13	07/27/12*	SELL First In First Out	PEABODY ENERGY CORP CONV 4.750% 12/15/66 B/E DTD 12/20/06 CLB Original Cost Basis. 774 47	704549AG9	1,000 000	774 47	850 00	75.53
02/27/13	08/22/12*	SELL First In First Out	PEABODY ENERGY CORP CONV 4 750% 12/15/66 B/E DTD 12/20/06 CLB Original Cost Basis 1,725 52	704549AG9	2,000.000	1,725.52	1,700 00	-25.52
02/27/13	08/30/12*	SELL First In First Out	PEABODY ENERGY CORP CONV 4.750% 12/15/66 B/E DTD 12/20/06 CLB Original Cost Basis. 1,702 50	704549AG9	2,000.000	1,702.50	1,700.00	-2.50
02/27/13	11/07/12*	SELL First In First Out	PEABODY ENERGY CORP CONV 4 750% 12/15/66 B/E DTD 12/20/06 CLB Original Cost Basis: 2,749.62	704549AG9	3,000.000	2,749.62	2,550 00	-199.62
02/27/13	12/11/12*	SELL First In First Out	PEABODY ENERGY CORP CONV 4 750% 12/15/66 B/E DTD 12/20/06 CLB Original Cost Basis· 942 50	704549AG9	1,000.000	942.50	850 00	-92 50
02/28/13	12/13/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1.500% 08/01/31 B/E DTD 07 /26/11 CLB Original Cost Basis· 2,818 33	595112AQ6	3,000 000	2,818.33	3,157.50	339.17
Total Short Term						**$62,975.61**	**$65,982.43**	**$3,006.82**
Long Term								
02/11/13	08/24/11*	SELL First In First Out	MGM RESORTS INTL SR 250% 04/15/15 B/E DTD 04/20/1 1 CLB Original Cost Basis: 3,760.00	55303QAE0	4,000 000	3,760 00	4,380 00	620 00
02/12/13	12/15/11*	SELL First In First Out	ANNALY CAP MGMT INC ONV SR NTS 4.000% 02/15/15 B/ E DTD 02/12/10 Original Cost Basis. 4,465.00	035710AA0	4,000 000	4,465.00	4,810 00	345 00
02/12/13	10/26/11*	SELL First In First Out	MGM RESORTS INTL SR 250% 04/15/15 B/E DTD 04/20/1 1 CLB Original Cost Basis: 972.50	55303QAE0	1,000.000	972.50	1,090.00	117 50
Total Long Term						**$9,197.50**	**$10,280.00**	**$1,082.50**
Total Short Term and Long Term						**$72,173.11**	**$76,262.43**	**$4,089.32**

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Portfolio Holdings *(continued)*

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

3 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

Ratings

This statement may contain credit rating information obtained from Standard & Poor's. Reproducing and distributing any information received from Standard & Poor's is not permitted without prior written authorization from Standard & Poor's. Standard & Poor's does not guarantee the accuracy, completeness, timeliness or availability of any information. Standard & Poor's is not responsible for any errors or omissions, regardless of the cause, or for the results of using such content. Standard & Poor's makes no express or implied warranties including warranties of merchantability or fitness for a particular purpose. Standard & Poor's shall not be legally responsible for any fees, costs, expenses or losses in connection with the use of their content. Credit ratings are opinions and not statements of facts; are not recommendations to purchase, hold or sell securities; and do not address suitability for investment purpose. Credit ratings should not be relied upon as investment advice.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
03/01/13	01/14/13*	SELL First In First Out	KINROSS GOLD CORP ST SIN#US496902AD95 1.750% 03/15/28 B/E DTD 01/29/08 CLB PUT Original Cost Basis: 3,001 00	496902AD9	3,000.000	3,001.00	3,000.00	-1 00
03/01/13	01/15/13*	SELL First In First Out	KINROSS GOLD CORP ST SIN#US496902AD95 1.750% 03/15/28 B/E DTD 01/29/08 CLB PUT Original Cost Basis: 1,000.45	496902AD9	1,000.000	1,000.45	1,000.00	-0.45
03/04/13	01/15/13*	SELL First In First Out	KINROSS GOLD CORP ST SIN#US496902AD95 1.750% 03/15/28 B/E DTD 01/29/08 CLB PUT Original Cost Basis: 4,001.67	496902AD9	4,000.000	4,001.67	4,000.00	-1.67
03/04/13	01/24/13*	SELL First In First Out	KINROSS GOLD CORP ST SIN#US496902AD95 1.750% 03/15/28 B/E DTD 01/29/08 CLB PUT Original Cost Basis: 3,001.56	496902AD9	3,000.000	3,001.56	3,000.00	-1.56
03/06/13	01/18/13*	SELL First In First Out	THERAVANCE INC CONV 3.000% 01/15/15 B/E DTD 01/23/08 CLB Original Cost Basis. 2,251.28	88338TAA2	2,000 000	2,251.28	2,402 36	151.08

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Statement Period: 03/01/2013 - 03/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
03/06/13	01/18/13*	SELL First In First Out	THERAVANCE INC CONV 3.000% 01/15/15 B/E DTD 01/23 /08 CLB Original Cost Basis: 1,131.28	88338TAA2	1,000.000	1,131.28	1,201.18	69.90
03/07/13	04/23/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3.000% 10/15/14 B/E D TD 10/28/09 Original Cost Basis: 2,069.93	63934EAL2	2,000.000	2,069.93	1,945 00	-124 93
03/07/13	05/17/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3.000% 10/15/14 B/E D TD 10/28/09 Original Cost Basis: 2,974.50	63934EAL2	3,000 000	2,974.50	2,917.50	-57.00
03/11/13	05/17/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3.000% 10/15/14 B/E D TD 10/28/09 Original Cost Basis: 991.50	63934EAL2	1,000.000	991.50	1,013.75	22.25
03/11/13	06/01/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3.000% 10/15/14 B/E D TD 10/28/09 Original Cost Basis. 1,942.50	63934EAL2	2,000.000	1,942.50	2,027.50	85.00
03/11/13	11/20/12	SELL First In First Out	WELLS FARGO & CO NEW CONV CL A 7.5% PFD SHS	WFC PRL	1.000	1,234.00	1,297.47	63.47
03/11/13	11/27/12	SELL First In First Out	WELLS FARGO & CO NEW CONV CL A 7.5% PFD SHS	WFC PRL	1.000	1,245.00	1,297.47	52.47
03/14/13	12/17/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1.500% 08/01/31 B/E DTD 07 /26/11 CLB Original Cost Basis: 1,877.50	595112AQ6	2,000.000	1,877.50	2,270.00	392.50
03/15/13	03/27/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 2,050.00	018804AK0	2,000.000	2,050.00	2,153.01	103.01
03/15/13	04/05/12*	SELL First In First Out	ALLIANT TECHSYSTEMS NT CONV 3.000% 08/15/24 B/E DTD 08/13/04 CLB PUT Original Cost Basis: 1,023.13	018804AK0	1,000.000	1,023.13	1,076.51	53.38
03/19/13	01/23/13*	SELL First In First Out	MEDTRONIC INC SR NT 5% 04/15/13 B/E DTD 04/18/06 Original Cost Basis: 7,023.80	585055AM8	7,000.000	7,023.80	7,004.20	-19.60

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*								
03/20/13	12/14/12*	SELL First In First Out	UNITED STS STL CORP CONV 4.000% 05/15/14 B/E DTD 05/04/09 Original Cost Basis. 1,072.00	912909AE8	1,000.000	1,072.00	1,052.70	-19.30
03/20/13	12/14/12*	SELL First In First Out	UNITED STS STL CORP CONV 4 000% 05/15/14 B/E DTD 05/04/09 Original Cost Basis 3,225.00	912909AE8	3,000 000	3,225.00	3,158.10	-66 90
03/20/13	12/18/12*	SELL First In First Out	UNITED STS STL CORP CONV 4 000% 05/15/14 B/E DTD 05/04/09 Original Cost Basis. 3,240.00	912909AE8	3,000 000	3,240.00	3,158 10	-81.90
03/20/13	12/18/12*	SELL First In First Out	UNITED STS STL CORP CONV 4 000% 05/15/14 B/E DTD 05/04/09 Original Cost Basis: 4,320.00	912909AE8	4,000.000	4,320.00	4,210 80	-109.20
03/20/13	03/05/13*	SELL First In First Out	UNITED STS STL CORP CONV 4.000% 05/15/14 B/E DTD 05/04/09 Original Cost Basis· 3,155 16	912909AE8	3,000.000	3,155.16	3,158.10	2.94
03/26/13	01/28/13*	SELL First In First Out	ANNALY CAP MGMT INC E SENIOR NOTES 5.000% 05/15/1 5 B/E DTD 05/14/12 Original Cost Basis· 2,050.00	035710AB8	2,000.000	2,050.00	2,072.50	22 50
03/26/13	06/29/12*	SELL First In First Out	LIFEPOINT HOSPS INC V NTS 3.500% 05/15/14 B/E DTD 05/29/07 Original Cost Basis: 2,141.25	53219LAH2	2,000.000	2,141.25	2,179 80	38 55
03/26/13	05/30/12*	SELL First In First Out	SL GREEN OPER PARTNE SR NT EXCHANGEABLE 144A 3 000 % 10/15/17 B/E DTD 10/12/10 Original Cost Basis: 1,084.89	78444FAC0	1,000.000	1,084.89	1,185.00	100.11
03/28/13	12/17/12*	SELL First In First Out	BOSTON PPTYS LTD PAR R NT EXCHANGEABLE 3.750% 05/1 5/36 B/E DTD 04/06/06 CLB PUT Original Cost Basis· 6,151.69	10112RAG9	6,000 000	6,151.69	6,172.50	20.81
03/28/13	02/07/13*	SELL First In First Out	BOSTON PPTYS LTD PAR R NT EXCHANGEABLE 3.750% 05/1 5/36 B/E DTD 04/06/06 CLB PUT Original Cost Basis 3,084 79	10112RAG9	3,000.000	3,084.79	3,086.25	1 46
Total Short Term						**$66,343.88**	**$67,039.80**	**$695.92**
Long Term								
03/05/13	02/29/12*	SELL First In First Out	HOLOGIC INC SR NT CO 03/01/42 REG DTD 03/05/12 CLB PUT Original Cost Basis: 2,040.23	436440AC5	2,000.000	2,040.23	2,095.96	55.73
03/05/13	03/01/12*	SELL First In First Out	HOLOGIC INC SR NT CO 03/01/42 REG DTD 03/05/12 CLB PUT Original Cost Basis: 3,063.75	436440AC5	3,000.000	3,063.75	3,143.94	80.19

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Statement Period: 03/01/2013 - 03/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*								
03/12/13	04/13/11*	SELL First In First Out	LIFEPOINT HOSPS INC V-NTS 3.500% 05/15/14 B/E DTD 05/29/07 Original Cost Basis: 5,325.00	53219LAH2	5,000.000	5,325.00	5,368.25	43.25
03/12/13	08/05/11*	SELL First In First Out	ON SEMICONDUCTOR CORT CONV 2 625% 12/15/26 B/E DT D 12/15/06 CLB PUT Original Cost Basis: 3,133 31	682189AG0	3,000.000	3,133.31	3,120 00	-13.31
03/26/13	04/13/11*	SELL First In First Out	LIFEPOINT HOSPS INC V NTS 3.500% 05/15/14 B/E DTD 05/29/07 Original Cost Basis: 1,065.00	53219LAH2	1,000.000	1,065.00	1,089.90	24 90
Total Long Term						**$14,627.29**	**$14,818.05**	**$190.76**
Total Short Term and Long Term						**$80,971.17**	**$81,857.85**	**$886.68**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
04/01/13	12/10/12*	SELL First In First Out	PRICELINE COM INC SR 1 000% 03/15/18 B/E DTD 03/12/ 12 Security Identifier: 741503AQ9 Original Cost Basis: 2,153.75	2,000.000	2,153.75	2,214 93	61.18

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
04/01/13	03/08/13*	SELL First In First Out	RADIAN GROUP INC SR XED RT 3.000% 11/15/17 B/E DT D 11/15/10 Security Identifier: 750236AK7 Original Cost Basis: 3,427.32	3,000.000	3,427 32	3,637 90	210.58
04/04/13	03/08/13*	SELL First In First Out	RADIAN GROUP INC SR XED RT 3.000% 11/15/17 B/E DT D 11/15/10 Security Identifier: 750236AK7 Original Cost Basis 3,427.32	3,000 000	3,427.32	3,510.59	83.27
04/09/13	12/18/12*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1 750% 04/15/15 B/ E DTD 04/15/08 Security Identifier: 29264FAB2 Original Cost Basis. 3,288 81	3,000.000	3,288.81	3,855 00	566 19
04/15/13	12/10/12*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1.000% 03/15/18 B/E Security Identifier: 741503AQ9 Original Cost Basis: 3,230.63	3,000 000	3,230 63	3,427 34	196.71
04/15/13	03/18/13*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1.000% 03/15/18 B/E Security Identifier: 741503AQ9 Original Cost Basis: 1,097.50	1,000.000	1,097.50	1,142.45	44 95
04/18/13	02/13/13*	SELL First In First Out	ENDO HEALTH SOLUTION SR SUB NT 1 750% 04/15/15 B/ E DTD 04/15/08 Security Identifier: 29264FAB2 Original Cost Basis: 3,486.72	3,000.000	3,486 72	3,981 00	494.28
04/18/13	10/11/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier: 458140AD2 Original Cost Basis: 1,081.70	1,000.000	1,081.70	1,076.33	-5.37
04/18/13	10/16/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier: 458140AD2 Original Cost Basis: 4,400.00	4,000.000	4,400.00	4,305.34	-94.66
04/18/13	01/18/13*	SELL First In First Out	THERAVANCE INC CONV 3 000% 01/15/15 B/E DTD 01/23 /08 Security Identifier: 88338TAA2 Original Cost Basis: 1,131.28	1,000.000	1,131.28	1,336.89	205.61
04/22/13	03/06/13*	SELL First In First Out	HOLOGIC INC SR NT CO 12/15/37 B/E DTD 12/10/07 CLB PUT Security Identifier: 436440AA9 Original Cost Basis. 3,011.25	3,000.000	3,011.25	3,015.00	3.75
04/24/13	10/05/12*	SELL First In First Out	CACI INTL INC SR SUB 44A 2.125% 05/01/14 B/E DTD 0 5/16/07 Security Identifier: 127190AD8 Original Cost Basis: 2,229.96	2,000 000	2,229.96	2,251.24	21 28

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Statement Period: 04/01/2013 - 04/30/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
04/24/13	10/12/12*	SELL First In First Out	CACI INTL INC SR SUB 44A 2.125% 05/01/14 B/E DTD 0 5/16/07 Security Identifier 127190AD8 Original Cost Basis 4,371.24	4,000.000	4,371.24	4,502.48	131.24
04/24/13	03/06/13*	SELL First In First Out	HOLOGIC INC SR NT CO 12/15/37 B/E DTD 12/10/07 CLB PUT Security Identifier: 436440AA9 Original Cost Basis: 5,018.75	5,000.000	5,018 75	5,027.50	8.75
04/25/13	01/14/13*	SELL First In First Out	ALPHA NAT RES INC SR 2 375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis: 2,812.50	3,000.000	2,812.50	2,816.25	3.75
04/25/13	04/22/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0.000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier: 594918AE4 Original Cost Basis: 5,032.50	5,000.000	5,032.50	5,137.50	105.00
04/26/13	01/18/13*	SELL First In First Out	THERAVANCE INC CONV 3.000% 01/15/15 B/E DTD 01/23 /08 Security Identifier: 88338TAA2 Original Cost Basis 2,262.56	2,000.000	2,262.56	2,676.63	414 07
04/26/13	01/18/13*	SELL First In First Out	THERAVANCE INC CONV 3.000% 01/15/15 B/E DTD 01/23 /08 Security Identifier: 88338TAA2 Original Cost Basis: 1,118.75	1,000.000	1,118.75	1,338.32	219.57
04/26/13	04/22/13*	SELL First In First Out	THERAVANCE INC CONV 3.000% 01/15/15 B/E DTD 01/23 /08 Security Identifier: 88338TAA2 Original Cost Basis: 2,638.62	2,000.000	2,638.62	2,676.63	38 01
Total Short Term					**$55,221.16**	**$57,929.32**	**$2,708.16**
Long Term							
04/25/13	04/16/12*	SELL First In First Out	ELECTRONIC ARTS SR N HANGED FROM ORIGINAL CUSIP 285 512AB5 0.750% 07/15/16 B/E DT Security Identifier: 285512AA7 Original Cost Basis: 4,594 68	5,000.000	4,594.68	4,856.25	261.57
04/30/13	04/18/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis: 1,800.00	2,000.000	1,800.00	2,080.00	280.00

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
04/30/13	04/18/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis: 900 96	1,000 000	900 96	1,040 00	139 04
Total Long Term					**$7,295.64**	**$7,976.25**	**$680.61**
Total Short Term and Long Term					**$62,516.80**	**$65,905.57**	**$3,388.77**

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When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Please see the money market mutual fund's prospectus or contact your investment professional for additional information.

Federal Deposit Insurance Corporation (FDIC)-Insured Bank Deposits are not protected by Securities Investor Protection Corporation(SIPC).

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
05/02/13	11/13/12*	SELL First In First Out	NETAPP INC SR NT CON 06/01/13 B/E DTD 06/10/08 Security Identifier 64110DAB0 Original Cost Basis. 2,094 82	2,000 000	2,094 85	2,135 00	40 15
05/06/13	04/22/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier 594918AE4 Original Cost Basis 3,019 50	3,000 000	3,019 50	3,120 00	100 50
05/07/13	04/03/13*	SELL First In First Out	RADIAN GROUP INC SR XED RT 3 000% 11/15/17 B/E DT D 11/15/10 Security Identifier 750236AK7 Original Cost Basis 3,457 12	3,000 000	3,457.12	4,165 58	708 46

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Statement Period: 05/01/2013 - 05/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
05/08/13	01/14/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis 3,749.94	4,000.000	3,750.00	3,916 00	166 00
05/08/13	01/24/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis. 2,831.25	3,000 000	2,831.25	2,937 00	105 75
05/08/13	03/13/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis: 3,790.00	4,000 000	3,790.00	3,916.00	126.00
05/08/13	05/08/13*	SELL First In First Out	ALPHA NAT RES INC SR 3.750% 12/15/17 REG DTD 05/13/ 13 Security Identifier 02076XAE2 Original Cost Basis: 2,000 00	2,000 000	2,000.00	2,140.00	140 00
05/08/13	05/08/13*	SELL First In First Out	ALPHA NAT RES INC SR 3.750% 12/15/17 REG DTD 05/13/ 13 Security Identifier: 02076XAE2 Original Cost Basis: 3,000 00	3,000.000	3,000.00	3,187 50	187 50
05/08/13	05/08/13*	SELL First In First Out	ALPHA NAT RES INC SR 3 750% 12/15/17 REG DTD 05/13/ 13 Security Identifier: 02076XAE2 Original Cost Basis· 11,000.00	11,000 000	11,000 00	11,591 25	591.25
05/08/13	10/01/12*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier: 576203AJ2 Original Cost Basis: 2,775 00	3,000.000	2,775.00	2,967 00	192 00
05/08/13	02/13/13*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier: 576203AJ2 Original Cost Basis 4,781 25	5,000.000	4,781 25	4,945 00	163 75
05/09/13	04/23/13	SELL First In First Out	CHESAPEAKE ENERGY CO 5% 144A Security Identifier: CKRGZ	1.000	995 00	1,037 47	42.47
05/09/13	12/17/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1.500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier: 595112AQ6 Original Cost Basis: 938.74	1,000.000	938.75	1,231.25	292.50

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
05/09/13	12/17/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier 595112AQ6 Original Cost Basis 946 24	1,000 000	946 25	1,231 25	285 00
05/14/13	06/07/12*	SELL First In First Out	MOLINA HEALTHCARE IN NV 3 750% 10/01/14 B/E DTD 10/11/07 Security Identifier 60855RAA8 Original Cost Basis 1,045 62	1,000 000	1,045 62	1,312 29	266 67
05/14/13	02/11/13*	SELL First In First Out	MOLINA HEALTHCARE IN NV 3 750% 10/01/14 B/E DTD 10/11/07 Security Identifier 60855RAA8 Original Cost Basis 2,396 70	2,000 000	2,396 70	2,624 59	227 89
05/14/13	04/19/13*	SELL First In First Out	RADIAN GROUP INC SR XED RT 3 000% 11/15/17 B/E DT D 11/15/10 Security Identifier 750236AK7 Original Cost Basis 2,414 08	2,000 000	2,414 08	2,865 23	451 15
05/14/13	10/08/12*	SELL First In First Out	SALIX PHARMACEUTICAL T CONV 1 500% 03/15/19 B/E DT D 03/15/13 Security Identifier 795435AE6 Original Cost Basis. 2,869 69	3,000 000	2,869.69	3,510 00	640 31
05/20/13	02/19/13*	SELL First In First Out	GROUP 1 AUTOMOTIVE I ONV 2 250% 06/15/36 B/E DTD 0 6/26/06 CLB PUT Security Identifier 398905AE9 Original Cost Basis 3,488 02	3,000 000	3,488.02	3,632 12	144.10
05/20/13	10/18/12*	SELL First In First Out	LAM RESH CORP SR NT 0% 05/15/18 B/E DTD 05/11/11 Security Identifier 512807AL2 Original Cost Basis 5,924 94	6,000 000	5,925 00	7,020 00	1,095 00
05/20/13	05/23/12*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 CLB Security Identifier 55303QAE0 Original Cost Basis 1,976 00	2,000.000	1,983 84	2,301.25	317 41
05/20/13	11/16/12*	SELL First In First Out	MGM RESORTS INTL SR 250% 04/15/15 B/E DTD 04/20/1 1 CLB Security Identifier: 55303QAE0 Original Cost Basis 2,031 19	2,000 000	2,024 86	2,301.25	276 39
05/20/13	04/24/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier 594918AE4 Original Cost Basis 3,027 00	3,000 000	3,027.00	3,209 10	182.10
05/20/13	04/24/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier 594918AE4 Original Cost Basis 2,019 97	2,000 000	2,020.00	2,139 40	119 40

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Statement Period: 05/01/2013 - 05/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
05/21/13	04/24/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier 594918AE4 Original Cost Basis. 1,009 98	1,000 000	1,010 00	1,070.00	60 00
05/21/13	04/25/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0.000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier: 594918AE4 Original Cost Basis· 3,060 00	3,000 000	3,060 00	3,210.00	150.00
05/21/13	04/25/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0.000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier: 594918AE4 Original Cost Basis: 2,047.50	2,000.000	2,047 50	2,140.00	92 50
05/21/13	04/25/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier. 594918AE4 Original Cost Basis· 3,071.25	3,000.000	3,071.25	3,221 18	149.93
05/21/13	05/07/13*	SELL First In First Out	MICROSOFT CORP SR NT CONVERTIBLE TO MSFT 0 000% 0 6/15/13 B/E DTD 06/11/10 Security Identifier. 594918AE4 Original Cost Basis: 6,185.40	6,000.000	6,185.40	6,442 36	256 96
05/28/13	10/16/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier. 458140AD2 Original Cost Basis. 4,400.00	4,000.000	4,400 00	4,482 50	82.50
05/28/13	10/17/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier. 458140AD2 Original Cost Basis: 2,175.00	2,000.000	2,175 00	2,240 00	65.00
05/28/13	10/22/12*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier. 458140AD2 Original Cost Basis: 3,251.25	3,000.000	3,251.25	3,360.00	108 75
05/28/13	03/20/13*	SELL First In First Out	INTEL CORP JR SUB DE 950% 12/15/35 B/E DTD 12/16/05 Security Identifier. 458140AD2 Original Cost Basis: 1,050 00	1,000 000	1,050.00	1,120.00	70.00

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
05/29/13	03/28/13*	SELL First In First Out	BOSTON PPTYS LTD PAR XCHANGEABLE SR NT 144A 3 625% 02/15/14 REG DTD 08/19/08 Security Identifier 10112RAM6 Original Cost Basis 5,187.50	5,000 000	5,151 35	5,335 00	183.65
05/30/13	12/17/12*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier 595112AQ6 Original Cost Basis. 1,892.48	2,000 000	1,892 50	2,612.50	720 00
05/30/13	02/06/13*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier: 595112AQ6 Original Cost Basis 2,082 50	2,000 000	2,082.50	2,612 50	530 00
Total Short Term					**$107,950.53**	**$117,281.57**	**$9,331.04**
Long Term							
05/08/13	02/29/12*	SELL First In First Out	MASSEY ENERGY CO SR 250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier 576203AJ2 Original Cost Basis. 1,874 98	2,000 000	1,875 00	1,978 00	103.00
05/20/13	04/18/12*	SELL First In First Out	ILLUMINA INC SR NT C 0 250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier 452327AC3 Original Cost Basis. 1,801 89	2,000 000	1,801 91	2,185 00	383 09
05/20/13	04/30/12*	SELL First In First Out	ILLUMINA INC SR NT C 0 250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier. 452327AC3 Original Cost Basis 921 25	1,000 000	921 25	1,092 50	171 25
05/20/13	05/07/12*	SELL First In First Out	MGM RESORTS INTL SR 250% 04/15/15 B/E DTD 04/20/1 1 CLB Security Identifier 55303QAE0 Original Cost Basis 1,044 60	1,000 000	1,029 23	1,150 63	121 40
05/31/13	08/02/11*	SELL First In First Out	BANK AMER CORP 7 25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier BAC PRL	3 000	2,908 18	3,625 43	717.25
Total Long Term					**$8,535.57**	**$10,031.56**	**$1,495.99**
Total Short Term and Long Term					**$116,486.10**	**$127,313.13**	**$10,827.03**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

[redacted]

Portfolio Holdings *(continued)*

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

Ratings

This statement may contain credit rating information obtained from Standard & Poor's. Reproducing and distributing any information received from Standard & Poor's is not permitted without prior written authorization from Standard & Poor's. Standard & Poor's does not guarantee the accuracy, completeness, timeliness or availability of any information. Standard & Poor's is not responsible for any errors or omissions, regardless of the cause, or for the results of using such content. Standard & Poor's makes no express or implied warranties including warranties of merchantability or fitness for a particular purpose. Standard & Poor's shall not be legally responsible for any fees, costs, expenses or losses in connection with the use of their content. Credit ratings are opinions and not statements of facts; are not recommendations to purchase, hold or sell securities; and do not address suitability for investment purpose. Credit ratings should not be relied upon as investment advice.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
06/04/13	06/08/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier 452327AC3 Original Cost Basis. 1,777.50	2,000.000	1,777.50	2,135.00	357.50
06/11/13	10/09/12*	SELL First In First Out	SALIX PHARMACEUTICAL T CONV 1.500% 03/15/19 B/E DT D 03/15/13 Security Identifier: 795435AE6 Original Cost Basis 1,901.98	2,000.000	1,902.00	2,395.97	493.97
06/14/13	05/06/13	SELL First In First Out	CHESAPEAKE ENERGY CO V 5.75% 144A Security Identifier: CHKWZ	4 000	4,200.00	4,219.92	19.92
06/17/13	05/20/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis· 8,999.98	9,000 000	9,000 00	9,000.00	0.00
06/18/13	02/06/13*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier 595112AQ6 Original Cost Basis: 2,082.50	2,000.000	2,082.50	2,875 04	792.54
06/18/13	04/15/13*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1.500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier 595112AQ6 Original Cost Basis. 2,303.75	2,000.000	2,303.75	2,875.04	571.29
06/18/13	04/24/13*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 500% 08/01/31 B/E DTD 07 /26/11 CLB Security Identifier: 595112AQ6 Original Cost Basis: 2,252.94	2,000.000	2,252.94	2,875.05	622.11

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Statement Period: 06/01/2013 - 06/30/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
06/25/13	05/20/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0 875% 11/15/13 B/E D TD 11/15/06 Security Identifier 369300AD0 Original Cost Basis 1,999.91	2,000.000	2,000 00	1,996 00	-4.00
06/25/13	05/21/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0 875% 11/15/13 B/E D TD 11/15/06 Security Identifier. 369300AD0 Original Cost Basis: 5,012.50	5,000.000	5,012.50	4,990.00	-22.50
06/25/13	05/23/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier 369300AD0 Original Cost Basis. 2,005 00	2,000 000	2,005.00	1,996.00	-9.00
06/26/13	06/03/13*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier: 576203AJ2 Original Cost Basis 4,959 38	5,000.000	4,959.38	4,500.00	-459 38
06/26/13	06/18/13*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier 576203AJ2 Original Cost Basis: 2,827.50	3,000.000	2,827.50	2,700.00	-127.50
06/28/13	07/27/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier 452327AC3 Original Cost Basis 914.99	1,000.000	915 00	1,085.19	170.19
Total Short Term					**$41,238.07**	**$43,643.21**	**$2,405.14**
Long Term							
06/03/13	08/02/11*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier BAC PRL	1.000	969.39	1,176 40	207 01
06/03/13	08/22/11*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier BAC PRL	1.000	793.33	1,176 40	383.07
06/04/13	04/30/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis. 2,763.75	3,000.000	2,763.75	3,202.50	438.75

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
06/04/13	05/30/12*	SELL First In First Out	SL GREEN OPER PARTNE SR NT EXCHANGEABLE 144A 3.000 % 10/15/17 B/E DTD 10/12/10 Security Identifier 78444FAC0 Original Cost Basis: 3,299.65	3,000.000	3,244.64	3,577.50	332.86
06/10/13	01/20/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier: BAC PRL 156 day(s) added to your holding period as a result of a wash sale.	1.000	1,046 91	1,159.98	113.07
06/10/13	01/20/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier. BAC PRL	2.000	1,755.00	2,319.96	564.96
06/10/13	01/23/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier BAC PRL	1.000	886.25	1,159 97	273.72
06/12/13	01/23/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier: BAC PRL	1 000	886 25	1,139 02	252.77
06/12/13	01/26/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier BAC PRL	2.000	1,845.00	2,278.05	433.05
06/12/13	04/03/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier. BAC PRL	1.000	985.00	1,139 02	154.02
06/18/13	09/21/11*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1 625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier 440543AE6 Original Cost Basis: 2,872.47	3,000 000	2,872.50	3,511.92	639.42
06/19/13	04/03/12*	SELL First In First Out	BANK AMER CORP 7.25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier: BAC PRL	4.000	3,940.00	4,503.30	563.30
06/28/13	06/08/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis. 1,777.50	2,000.000	1,777.50	2,170.38	392.88
Total Long Term					**$23,765.52**	**$28,514.40**	**$4,748.88**
Total Short Term and Long Term					**$65,003.59**	**$72,157.61**	**$7,154.02**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
07/01/13	06/24/13	SELL First In First Out	BANK AMER CORP 7 25% ERPETUAL CONV PFD SER L CALLAB LE Security Identifier: BAC PRL	3.000	3,285.00	3,359 94	74.94
07/09/13	06/06/13*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1.000% 03/15/18 B/E Security Identifier 741503AQ9 Original Cost Basis. 3,420.89	3,000.000	3,420 90	3,657.80	236.90
07/09/13	06/13/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1.750% 12/01/1 6 B/E DTD 11/16/11 Security Identifier 874054AC3 Original Cost Basis: 4,454.40	4,000.000	4,454.45	4,464.15	9 70
07/12/13	02/27/13*	SELL First In First Out	BROADSOFT INC FIXED XCHANGED FROM ORIGINAL CUSIP 1 1133BAA0 1 500% 07/01/18 B/E Security Identifier. 11133BAB8 Original Cost Basis. 3,225.00	3,000.000	3,225 00	3,137.58	-87.42
07/12/13	07/27/12*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier 452327AC3 Original Cost Basis· 1,829.98	2,000.000	1,830.00	2,185.32	355.32

MARK FOUNDATION (EIN:27-3024112)
2013 FORM 990-PF
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Statement Period: 07/01/2013 - 07/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
07/12/13	03/20/13*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis. 967.50	1,000.000	967.50	1,092.66	125.16
07/12/13	03/21/13*	SELL First In First Out	ILLUMINA INC SR NT C 0.250% 03/15/16 B/E DTD 03/18/ 11 Security Identifier: 452327AC3 Original Cost Basis: 963.75	1,000 000	963.75	1,092.66	128.91
07/15/13	04/03/13*	SELL First In First Out	CIENA CORP SR NT 144 12/15/20 B/E DTD 12/27/12 CLB Security Identifier: 171779AK7 Original Cost Basis: 4,690.00	4,000.000	4,666 52	5,469 65	803 13
07/18/13	06/13/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1.750% 12/01/1 6 B/E DTD 11/16/11 Security Identifier 874054AC3 Original Cost Basis. 2,227.20	2,000 000	2,227.22	2,284 54	57 32
07/18/13	06/13/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1.750% 12/01/1 6 B/E DTD 11/16/11 Security Identifier: 874054AC3 Original Cost Basis. 3,350.20	3,000.000	3,350.20	3,426 82	76.62
07/22/13	11/19/12*	SELL First In First Out	SL GREEN OPER PARTNE SR NT EXCHANGEABLE 144A 3.000 % 10/15/17 B/E DTD 10/12/10 Security Identifier. 78444FAC0 Original Cost Basis 3,288 75	3,000.000	3,249 91	3,763 51	513.60
07/23/13	02/25/13*	SELL First In First Out	GROUP 1 AUTOMOTIVE I ONV 2.250% 06/15/36 B/E DTD 0 6/26/06 CLB PUT Security Identifier: 398905AE9 Original Cost Basis 2,298.48	2,000.000	2,298.48	2,466 49	168 01
07/23/13	04/30/13*	SELL First In First Out	GROUP 1 AUTOMOTIVE I ONV 2.250% 06/15/36 B/E DTD 0 6/26/06 CLB PUT Security Identifier 398905AE9 Original Cost Basis. 1,172.40	1,000.000	1,172.40	1,233.24	60 84
07/23/13	05/01/13*	SELL First In First Out	GROUP 1 AUTOMOTIVE I ONV 2.250% 06/15/36 B/E DTD 0 6/26/06 CLB PUT Security Identifier: 398905AE9 Original Cost Basis: 2,324.44	2,000.000	2,324.44	2,466.49	142.05

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
07/23/13	11/16/12*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 Security Identifier: 55303QAE0 Original Cost Basis: 1,015 59	1,000.000	1,011.31	1,162.77	151.46
07/23/13	03/05/13*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 Security Identifier: 55303QAE0 Original Cost Basis 3,228.75	3,000.000	3,187 26	3,488.32	301 06
07/23/13	03/13/13*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 Security Identifier: 55303QAE0 Original Cost Basis. 1,075 00	1,000.000	1,062.21	1,162.78	100.57
07/24/13	06/13/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1,750% 12/01/1 6 B/E DTD 11/16/11 Security Identifier: 874054AC3 Original Cost Basis: 2,233.46	2,000.000	2,233.47	2,293.76	60.29
07/24/13	06/24/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC SR NT CONV 1.750% 12/01/1 6 B/E DTD 11/16/11 Security Identifier: 874054AC3 Original Cost Basis: 3,258.75	3,000.000	3,258.75	3,440.63	181.88
07/25/13	06/06/13*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1.000% 03/15/18 B/E Security Identifier 741503AQ9 Original Cost Basis: 2,280.57	2,000 000	2,280.59	2,455.00	174.41
07/30/13	10/09/12*	SELL First In First Out	SALIX PHARMACEUTICAL T CONV 1.500% 03/15/19 B/E DT D 03/16/12 Security Identifier 795435AE6 Original Cost Basis. 950.99	1,000.000	951.00	1,299.23	348.23
07/30/13	11/07/12*	SELL First In First Out	SALIX PHARMACEUTICAL T CONV 1.500% 03/15/19 B/E DT D 03/16/12 Security Identifier 795435AE6 Original Cost Basis 2,846.25	3,000.000	2,846.25	3,897.69	1,051.44
07/30/13	02/12/13*	SELL First In First Out	STARWOOD PPTY TR INC V 4.550% 03/01/18 B/E DTD 02/ 15/13 Security Identifier: 85571BAA3 Original Cost Basis: 4,029.95	4,000.000	4,030 00	4,234.61	204.61
07/31/13	09/19/12*	SELL First In First Out	NUVASIVE INC SR NT C % 07/01/17 B/E DTD 06/28/11 Security Identifier: 670704AC9 Original Cost Basis: 2,850.00	3,000.000	2,850.00	2,926.29	76.29
07/31/13	03/20/13*	SELL First In First Out	NUVASIVE INC SR NT C % 07/01/17 B/E DTD 06/28/11 Security Identifier: 670704AC9 Original Cost Basis 978.75	1,000.000	978.75	975.42	-3.33
Total Short Term					**$62,125.36**	**$67,437.35**	**$5,311.99**

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Statement Period: 07/01/2013 - 07/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term							
07/22/13	04/17/12*	SELL First In First Out	ELECTRONIC ARTS SR N HANGED FROM ORIGINAL CUSIP 285 512AB5 0 750% 07/15/16 B/E DT Security Identifier 285512AA7 Original Cost Basis. 3,669.03	4,000.000	3,669 07	4,250.00	580.93
07/22/13	05/30/12*	SELL First In First Out	SL GREEN OPER PARTNE SR NT EXCHANGEABLE 144A 3.000 % 10/15/17 B/E DTD 10/12/10 Security Identifier 78444FAC0 Original Cost Basis. 1,099.88	1,000.000	1,079.10	1,254.51	175 41
07/31/13	06/11/12*	SELL First In First Out	NUVASIVE INC SR NT C % 07/01/17 B/E DTD 06/28/11 Security Identifier 670704AC9 Original Cost Basis. 3,595.00	4,000.000	3,595.00	3,901.72	306 72
07/31/13	07/05/12*	SELL First In First Out	NUVASIVE INC SR NT C % 07/01/17 B/E DTD 06/28/11 Security Identifier: 670704AC9 Original Cost Basis: 995 00	1,000.000	995.00	975.43	-19.57
Total Long Term					**$9,338.17**	**$10,381.66**	**$1,043.49**
Total Short Term and Long Term					**$71,463.53**	**$77,819.01**	**$6,355.48**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

MARK FOUNDATION (EIN:27-3024112)
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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
08/05/13	06/18/13*	SELL First In First Out	MICRON TECHNOLOGY IN NV 1 875% 06/01/27 B/E DTD 11 /03/10 CLB PUT Security Identifier 595112AK9 Original Cost Basis 6,362 50	5,000 000	6,362 50	6,548 35	185 85
08/07/13	05/20/13*	SELL First In First Out	ALPHA NAT RES INC SR 2 375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis 1,972 50	2,000.000	1,972.50	1,815 00	-157 50
08/07/13	05/20/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier. 02076XAA0 Original Cost Basis 1,969 97	2,000 000	1,970 00	1,815 00	-155.00
,08/13	05/20/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis 4,924 96	5,000 000	4,925 00	4,543 75	-381.25
08/08/13	05/22/13*	SELL First In First Out	ALPHA NAT RES INC SR 2 375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier 02076XAA0 Original Cost Basis. 993 75	1,000 000	993 75	908 75	-85 00
08/08/13	06/06/13*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1 000% 03/15/18 B/E Security Identifier 741503AQ9 Original Cost Basis 1,140.25	1,000 000	1,140 30	1,234 99	94 69
08/08/13	06/07/13*	SELL First In First Out	PRICELINE COM INC SR XCHANGED FROM ORIGINAL CUSIP 7 41503AP1 1 000% 03/15/18 B/E Security Identifier 741503AQ9 Original Cost Basis 4,610 09	4,000 000	4,610 09	4,939 96	329.87
08/12/13	01/14/13*	SELL First In First Out	DENDREON CORP SR NT RT 2 875% 01/15/16 B/E DTD 0 1/20/11 Security Identifier 24823QAC1 Original Cost Basis: 2,355 00	3,000 000	2,355 00	1,972 50	-382.50
~/12/13	01/16/13*	SELL First In First Out	DENDREON CORP SR NT RT 2 875% 01/15/16 B/E DTD 0 1/20/11 Security Identifier: 24823QAC1 Original Cost Basis 812 50	1,000 000	812.50	657 50	-155.00
08/12/13	01/16/13*	SELL First In First Out	DENDREON CORP SR NT RT 2.875% 01/15/16 B/E DTD 0 1/20/11 Security Identifier 24823QAC1 Original Cost Basis 812 50	1,000 000	812 50	657.50	-155.00
08/12/13	05/09/13*	SELL First In First Out	DENDREON CORP SR NT RT 2 875% 01/15/16 B/E DTD 0 1/20/11 Security Identifier 24823QAC1 Original Cost Basis 702 50	1,000 000	702.50	657 50	-45 00
08/29/13	07/25/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis 4,002 00	4,000 000	4,002 00	3,996 00	-6 00

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Statement Period: 08/01/2013 - 08/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Total Short Term					**$30,658.64**	**$29,746.80**	**-$911.84**
ng Term							
08/01/13	09/21/11*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 2,872.47	3,000.000	2,872.50	3,624.60	752.10
Total Long Term					**$2,872.50**	**$3,624.60**	**$752.10**
Total Short Term and Long Term					**$33,531.14**	**$33,371.40**	**-$159.74**

* Noncovered under the cost basis rules as defined below.

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MARK FOUNDATION (EIN:27-3024112)
2013 FORM 990-PF
PART IV ATTACHMENTS

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
09/03/13	10/24/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3.000% 10/15/14 B/E D TD 10/28/09 Security Identifier: 63934EAL2 Original Cost Basis: 2,647.50	3,000 000	2,647.50	3,013 92	366 42
09/03/13	06/28/13*	SELL First In First Out	STARWOOD PPTY TR INC LE FXD RT 4.000% 01/15/19 B/E DTD 07/03/13 Security Identifier: 85571BAB1 Original Cost Basis. 3,015 00	3,000 000	3,015.00	3,107 64	92.64
09/04/13	07/30/13*	SELL First In First Out	MICRON TECHNOLOGY IN NTS 1.875% 06/01/14 B/E DTD 0 5/23/07 Security Identifier: 595112AH6 Original Cost Basis. 4,370 00	4,000 000	4,370 00	4,635.76	265.76
09/09/13	07/30/13*	SELL First In First Out	MICRON TECHNOLOGY IN NTS 1.875% 06/01/14 B/E DTD 0 5/23/07 Security Identifier: 595112AH6 Original Cost Basis: 1,092 50	1,000 000	1,092.50	1,186.42	93.92
09/09/13	08/15/13*	SELL First In First Out	MICRON TECHNOLOGY IN NTS 1.875% 06/01/14 B/E DTD 0 5/23/07 Security Identifier 595112AH6 Original Cost Basis. 4,570.00	4,000 000	4,570 00	4,745.67	175.67
09/09/13	08/29/13*	SELL First In First Out	MICRON TECHNOLOGY IN NTS 1.875% 06/01/14 B/E DTD 0 5/23/07 Security Identifier: 595112AH6 Original Cost Basis: 5,623.46	5,000.000	5,623.46	5,932.09	308.63
09/11/13	02/12/13*	SELL First In First Out	LEVEL 3 COMMUNICATIO NT CONV 7 000% 03/15/15 B/E D TD 10/15/09 Security Identifier 52729NBP4 Original Cost Basis: 1,181.43	1,000.000	1,181.43	1,208.64	27.21
09/11/13	02/12/13*	SELL First In First Out	LEVEL 3 COMMUNICATIO NT CONV 7.000% 03/15/15 B/E D TD 10/15/09 Security Identifier: 52729NBP4 Original Cost Basis 2,372.99	2,000.000	2,372.99	2,417.28	44.29
09/11/13	03/21/13*	SELL First In First Out	LEVEL 3 COMMUNICATIO NT CONV 7.000% 03/15/15 B/E D TD 10/15/09 Security Identifier 52729NBP4 Original Cost Basis: 1,168.75	1,000.000	1,168 75	1,208.64	39.89
09/19/13	05/22/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis. 2,981.25	3,000.000	2,981.25	2,805 00	-176.25

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Statement Period: 09/01/2013 - 09/30/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
09/19/13	05/22/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis: 1,977.50	2,000.000	1,977.50	1,870.00	-107.50
09/19/13	05/31/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis: 991.25	1,000.000	991.25	935 00	-56.25
09/24/13	06/25/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC FIXED RT CONVERTIBLE SR NT 1.000% 07/01/18 B/E DTD 06/1 Security Identifier: 874054AD1 Original Cost Basis: 3,815.99	4,000 000	3,816.03	4,322 64	506 61
09/25/13	07/09/13*	SELL First In First Out	IXIA SR NT CONV 3.0 15 B/E DTD 12/07/10 Security Identifier: 45071RAB5 Original Cost Basis: 2,173.95	2,000 000	2,173 95	2,302.12	128 17
09/25/13	03/13/13*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 CLB Security Identifier: 55303QAE0 Original Cost Basis: 1,075.00	1,000 000	1,055 81	1,262.50	206.69
09/25/13	03/19/13*	SELL First In First Out	MGM RESORTS INTL SR .250% 04/15/15 B/E DTD 04/20/1 1 CLB Security Identifier: 55303QAE0 Original Cost Basis: 3,240.00	3,000.000	3,179.44	3,787.50	608.06
09/25/13	06/25/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC FIXED RT CONVERTIBLE SR NT 1.000% 07/01/18 B/E DTD 06/1 Security Identifier: 874054AD1 Original Cost Basis: 1,907 99	2,000 000	1,908.02	2,186 03	278.01
09/25/13	09/11/13*	SELL First In First Out	TAKE-TWO INTERACTIVE INC FIXED RT CONVERTIBLE SR NT 1.000% 07/01/18 B/E DTD 06/1 Security Identifier: 874054AD1 Original Cost Basis: 2,109.81	2,000.000	2,109.83	2,186.02	76.19
Total Short Term					**$46,234.71**	**$49,112.87**	**$2,878.16**
Long Term							
09/03/13	04/17/12*	SELL First In First Out	ELECTRONIC ARTS SR N HANGED FROM ORIGINAL CUSIP 285 512AB5 0.750% 07/15/16 B/E DT Security Identifier: 285512AA7 Original Cost Basis: 1,834.51	2,000.000	1,834.54	2,248.81	414.27

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
09/03/13	04/24/12*	SELL First In First Out	ELECTRONIC ARTS SR N HANGED FROM ORIGINAL CUSIP 285 512AB5 0 750% 07/15/16 B/E DT Security Identifier: 285512AA7 Original Cost Basis: 905 00	1,000.000	905 00	1,124.41	219.41
09/03/13	06/01/12*	SELL First In First Out	NAVISTAR INTL CORP N NT CONV 3 000% 10/15/14 B/E D TD 10/28/09 Security Identifier 63934EAL2 Original Cost Basis 1,942 50	2,000 000	1,942.50	2,009.28	66.78
09/05/13	08/05/11*	SELL First In First Out	ON SEMICONDUCTOR COR T CONV 2 625% 12/15/26 B/E DT D 12/15/06 CLB PUT Security Identifier. 682189AG0 Original Cost Basis 4,177.74	4,000.000	4,177 74	4,017.50	-160 24
Total Long Term					**$8,859.78**	**$9,400.00**	**$540.22**
Total Short Term and Long Term					**$55,094.49**	**$58,512.87**	**$3,418.38**

* Noncovered under the cost basis rules as defined below

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Portfolio Holdings *(continued)*

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Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
10/08/13	07/25/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 6,003.00	6,000.000	6,003.00	5,994.00	-9.00
10/08/13	08/07/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 3,004.50	3,000.000	3,004.50	2,997.00	-7.50
10/08/13	08/12/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 6,012.00	6,000.000	6,012.00	5,994.00	-18.00
10/11/13	02/07/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 2,094.76	2,000.000	2,094.76	2,368.56	273.80
10/15/13	12/31/12	SELL First In First Out	INTERPUBLIC GROUP CO PFD CONV SER B 5.25% Security Identifier: INPGP	5.000	5,360.95	6,583.06	1,222.11
10/18/13	02/26/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 3,108.44	3,000.000	3,108.44	3,590.81	482.37
10/18/13	04/12/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 2,132.50	2,000.000	2,132.50	2,393.87	261.37

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Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
10/11/13	09/20/12*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1 625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis. 2,110.00	2,000.000	2,110.00	2,368.57	258.57
10/24/13	10/18/12*	SELL First In First Out	CLEARWIRE COMMUNICAT CLEARWIRE FIN INC NT EXCHANGE ABLE 144A 8.250% 12/01/40 B/E Security Identifier: 18538TAG4 Original Cost Basis: 2,940.00	3,000.000	2,940.00	3,397.50	457.50
10/24/13	10/22/12*	SELL First In First Out	CLEARWIRE COMMUNICAT CLEARWIRE FIN INC NT EXCHANGE ABLE 144A 8.250% 12/01/40 B/E Security Identifier: 18538TAG4 Original Cost Basis: 972.50	1,000.000	972.50	1,132.50	160.00
Total Long Term					**$7,937.50**	**$9,267.14**	**$1,329.64**
Total Short Term and Long Term					**$50,285.15**	**$54,671.10**	**$4,385.95**

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[redacted]

Portfolio Holdings *(continued)*

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
10/08/13	07/25/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 6,003.00	6,000.000	6,003.00	5,994.00	-9.00
10/08/13	08/07/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 3,004.50	3,000.000	3,004.50	2,997.00	-7.50
10/08/13	08/12/13*	SELL First In First Out	GENERAL CABLE CORP D NT CONV 0.875% 11/15/13 B/E D TD 11/15/06 Security Identifier: 369300AD0 Original Cost Basis: 6,012.00	6,000.000	6,012.00	5,994.00	-18.00
10/11/13	02/07/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 2,094.76	2,000.000	2,094.76	2,368.56	273.80
10/15/13	12/31/12	SELL First In First Out	INTERPUBLIC GROUP CO PFD CONV SER B 5.25% Security Identifier: INPGP	5.000	5,360.95	6,583.06	1,222.11
10/18/13	02/26/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 3,108.44	3,000.000	3,108.44	3,590.81	482.37
10/18/13	04/12/13*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis: 2,132.50	2,000.000	2,132.50	2,393.87	261.37

[redacted]

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term *(continued)*							
10/11/13	09/20/12*	SELL First In First Out	HORNBECK OFFSHORE SV SR NT 1.625% 11/15/26 B/E DT D 11/13/06 CLB PUT Security Identifier: 440543AE6 Original Cost Basis 2,110.00	2,000 000	2,110.00	2,368.57	258.57
10/24/13	10/18/12*	SELL First In First Out	CLEARWIRE COMMUNICAT CLEARWIRE FIN INC NT EXCHANGE ABLE 144A 8.250% 12/01/40 B/E Security Identifier 18538TAG4 Original Cost Basis 2,940.00	3,000.000	2,940.00	3,397.50	457.50
10/24/13	10/22/12*	SELL First In First Out	CLEARWIRE COMMUNICAT CLEARWIRE FIN INC NT EXCHANGE ABLE 144A 8.250% 12/01/40 B/E Security Identifier: 18538TAG4 Original Cost Basis: 972.50	1,000.000	972.50	1,132.50	160.00
Total Long Term					**$7,937.50**	**$9,267.14**	**$1,329.64**
Total Short Term and Long Term					**$50,285.15**	**$54,671.10**	**$4,385.95**

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Reporting requirements generally will be phased in over a *three-year period*, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[redacted]

Portfolio Holdings *(continued)*

Reporting requirements generally will be phased in over a three-year period, as follows:
- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
11/01/13	08/01/13*	SELL First In First Out	LEVEL 3 COMMUNICATIO NT CONV 7.000% 03/15/15 B/E D TD 10/15/09 Security Identifier: 52729NBP4 Original Cost Basis 4,754 84	4,000.000	4,754.85	5,220 28	465 43
11/07/13	02/14/13*	SELL First In First Out	AUXILIUM PHARMACEUTI R NT CONV 1.500% 07/15/18 B/E DTD 01/30/13 Security Identifier 05334DAA5 Original Cost Basis 4,148.08	4,000 000	4,148 08	4,197 06	48 98
11/07/13	02/19/13*	SELL First In First Out	AUXILIUM PHARMACEUTI R NT CONV 1.500% 07/15/18 B/E DTD 01/30/13 Security Identifier 05334DAA5 Original Cost Basis: 1,024 30	1,000 000	1,024.31	1,049.27	24.96
11/08/13	05/31/13*	SELL First In First Out	OMNICARE INC SR DEB 0% 12/15/35 B/E DTD 12/15/05 C LB PUT Security Identifier 681904AL2 Original Cost Basis. 1,036.25	1,000 000	1,036 25	1,065 00	28.75
11/08/13	05/31/13*	SELL First In First Out	OMNICARE INC SR DEB 0% 12/15/35 B/E DTD 12/15/05 C LB PUT Security Identifier: 681904AL2 Original Cost Basis 1,038.75	1,000 000	1,038 75	1,065 00	26.25
11/08/13	05/31/13*	SELL First In First Out	OMNICARE INC SR DEB 0% 12/15/35 B/E DTD 12/15/05 C LB PUT Security Identifier: 681904AL2 Original Cost Basis: 1,038.75	1,000.000	1,038.75	1,065.00	26.25
11/26/13	03/14/13*	SELL First In First Out	NEWMONT MNG CORP SR .625% 07/15/17 B/E DTD 07/17/0 7 Security Identifier: 651639AJ5 Original Cost Basis: 7,132 84	6,000.000	7,132.84	6,299.30	-833.54
Total Short Term					**$20,173.83**	**$19,960.91**	**-$212.92**

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Statement Period: 11/01/2013 - 11/30/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term							
11/11/13	03/01/12*	SELL First In First Out	HOLOGIC INC SR NT CO 03/01/42 B/E DTD 03/05/12 CLB PUT Security Identifier: 436440AC5 Original Cost Basis: 2,042.50	2,000.000	2,042.50	2,094.90	52.40
11/11/13	04/05/12*	SELL First In First Out	HOLOGIC INC SR NT CO 03/01/42 B/E DTD 03/05/12 CLB PUT Security Identifier: 436440AC5 Original Cost Basis: 2,014.27	2,000.000	2,014.27	2,094.90	80.63
Total Long Term					**$4,056.77**	**$4,189.80**	**$133.03**
Total Short Term and Long Term					**$24,230.60**	**$24,150.71**	**-$79.89**

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

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Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$558,819.97	$571,353.02	$12,533.05	$3,183.31	$16,660.17

Footnotes

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

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Schedule of Realized Gains and Losses Current Period

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term							
12/05/13	12/14/12*	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier. LUTHP	5 000	3,900.00	5,016.57	1,116 57
12/05/13	12/17/12*	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier: LUTHP	2 000	1,584 78	2,003 96	419.18
12/05/13	12/17/12*	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier. LUTHP	2.000	1,587.50	2,003.96	416.46
12/05/13	02/14/13	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier LUTHP	3 000	2,737 50	3,005 95	268 45
12/05/13	03/14/13*	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier LUTHP	3 000	2,767.50	3,005.95	238.45
12/05/13	08/28/13*	SELL First In First Out	LUCENT TECHNOLOGIES ONV TR PFD SECS 7 75% Security Identifier: LUTHP	2 000	1,962.50	2,003 96	41.46
12/06/13	02/19/13*	SELL First In First Out	AUXILIUM PHARMACEUTI R NT CONV 1 500% 07/15/18 B/E DTD 01/30/13 Security Identifier: 05334DAA5 Original Cost Basis 2,048 60	2,000.000	2,048 63	2,253 14	204 51

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Statement Period: 12/01/2013 - 12/31/2013

Schedule of Realized Gains and Losses Current Period *(continued)*

Disposition Date	Acquisition Date	Disposition Transaction	Description	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term *(continued)*							
12/10/13	05/29/13*	SELL First In First Out	GOLDCORP INC NEW SR 000% 08/01/14 B/E DTD 06/05/0 9 CLB Security Identifier: 380956AB8 Original Cost Basis: 13,284.38	13,000 000	13,284 38	13,048 10	-236.28
12/10/13	08/07/13*	SELL First In First Out	GOLDCORP INC NEW SR 000% 08/01/14 B/E DTD 06/05/0 9 CLB Security Identifier: 380956AB8 Original Cost Basis. 4,038.00	4,000 000	4,024.86	4,014.80	-10.06
12/13/13	11/05/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis: 1,927.50	2,000 000	1,927.50	2,000.00	72.50
12/13/13	12/06/13*	SELL First In First Out	ALPHA NAT RES INC SR 2.375% 04/15/15 B/E DTD 04/07/ 08 Security Identifier: 02076XAA0 Original Cost Basis: 1,942.50	2,000.000	1,942.50	2,000.00	57.50
12/13/13	11/06/13*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier 576203AJ2 Original Cost Basis: 3,890.00	4,000.000	3,890.00	4,000.00	110 00
12/13/13	12/06/13*	SELL First In First Out	MASSEY ENERGY CO SR .250% 08/01/15 B/E DTD 08/12/0 8 Security Identifier: 576203AJ2 Original Cost Basis: 1,965.00	2,000.000	1,965 00	2,000.00	35 00
12/16/13	10/11/13*	SELL First In First Out	TTM TECHNOLOGIES INC V 3.250% 05/15/15 B/E DTD 05/ 14/08 Security Identifier: 87305RAC3 Original Cost Basis: 4,102.50	4,000.000	4,102.50	4,120 00	17.50
12/17/13	01/28/13*	SELL First In First Out	ANNALY CAP MGMT INC E SENIOR NOTES 5.000% 05/15/1 5 B/E DTD 05/14/12 Security Identifier: 035710AB8 Original Cost Basis: 4,099.93	4,000.000	4,100.00	4,045 00	-55.00
Total Short Term					**$51,825.15**	**$54,521.39**	**$2,696.24**
Total Short Term and Long Term					**$51,825.15**	**$54,521.39**	**$2,696.24**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY SMITH BARNEY HOLDINGS LLC	22.	22.	
TOTAL TO PART I, LINE 3	22.	22.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DEUTSCHE BANK - ACCRETION OF DISCOUNT	641.	0.	641.	641.	
DEUTSCHE BANK - DIVIDENDS	1,037.	0.	1,037.	1,037.	
DEUTSCHE BANK - INTEREST	17.	0.	17.	17.	
DEUTSCHE BANK - INTEREST	13,712.	0.	13,712.	13,712.	
DEUTSCHE BANK - INTEREST	4,422.	0.	4,422.	4,422.	
DEUTSCHE BANK - PURCHASED INTEREST	-5,379.	0.	-5,379.	-5,379.	
DORCHESTER MINERALS, LP - DIVIDENDS	66.	0.	66.	66.	
DORCHESTER MINERALS, LP - INTEREST	606.	0.	606.	606.	
FROST BANK - ARMSTRONG COMMUNITY MUSIC	68,537.	0.	68,537.	68,537.	
FROST BANK - DIVIDENDS	280,800.	0.	280,800.	280,800.	
FROST BANK - DIVIDENDS	96,309.	0.	96,309.	96,309.	
FROST BANK - DIVIDENDS	10,224.	0.	10,224.	10,224.	
FROST BANK - DIVIDENDS	10,934.	0.	10,934.	10,934.	
FROST BANK - INTEREST	18.	0.	18.	18.	
TO PART I, LINE 4	481,944.	0.	481,944.	481,944.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DORCHESTER MINERALS, LP - ROYALTIES	368,624.	368,624.	
TOTAL TO FORM 990-PF, PART I, LINE 11	368,624.	368,624.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	3,500.	3,150.		350.
TO FORM 990-PF, PG 1, LN 16B	3,500.	3,150.		350.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	6,697.	6,697.		0.
TO FORM 990-PF, PG 1, LN 16C	6,697.	6,697.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX EXPENSE	17,200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	17,200.	0.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DORCHESTER MINERALS LP - OIL AND GAS ROYALTY EXPENSES	52,587.	52,587.		0.
SUBSCRIPTIONS AND MEMBERSHIPS	725.	0.		725.
BANK AND CUSTODIAL FEES	26,216.	26,216.		0.
TO FORM 990-PF, PG 1, LN 23	79,528.	78,803.		725.

FORM 990-PF — CORPORATE STOCK — STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
72,000 SHARES - CHEVRON CORPORATION	5,683,320.	8,993,520.
179,277 SHARES - DORCHESTER MINERALS, LP	3,625,249.	4,657,616.
39,150 SHARES - EXXON MOBIL CORPORATION	3,197,772.	3,961,980.
14,200 SHARES - MARATHON OIL COMPANY	408,861.	501,260.
7,100 SHARES - MARATHON PETROLEUM COMPANY	276,573.	651,283.
19 SHARES - BANK OF AMERICA CORPORATION PREFERRED	0.	0.
5 SHARES - HEALTHSOUTH CORP.	0.	0.
9 SHARES - LUCENT TECHNOLOGIES PREFERRED	0.	0.
5 SHARES - WELLS FARGO & CO PREFERRED	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,191,775.	18,765,659.

FORM 990-PF — CORPORATE BONDS — STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
4,000 - ARCHER DANIELS MIDLAND 0.875% NOTES	4,180.	4,233.
9,000 - AMGEN 0.375% NOTES	0.	0.
16,000 - SANDISK 1.500% NOTES	0.	0.
12,000 - SYMANTEC 1.000% NOTES	0.	0.
14,000 - LIFEPOINT HOSPITALS 3.500% NOTES	15,088.	15,216.
11,000 - MICRON 1.875% NOTES	0.	0.
15,000 - STEEL DYNAMICS 5.125% NOTES	16,665.	17,653.
3,000 - GOLDCORP 2.000% NOTES	0.	0.
7,000 - MOLINA 3.750% NOTES	0.	0.

9,000 - FOREST 3.625% NOTES	0.	0.
15,000 - ANNALY CAPITAL 5.000% NOTES	15,429.	15,178.
14,000 - MGM 4.250% NOTES	0.	0.
21,000 - ADVANCED MICRO DEVICES 6.000% NOTES	20,041.	21,945.
8,000 - MASSEY 3.250% NOTES	0.	0.
8,000 - NUVASIVE 2.750% NOTES	0.	0.
5,000 - BROOKDALE SENIOR LIVING 2.750% NOTES	5,612.	5,938.
8,000 - HORNBECK 1.625% NOTES	0.	0.
7,000 - ON SEMICONDUCTOR 2.625% NOTES	0.	0.
10,000 - LINEAR TECHNOLOGIES 3.000% NOTES	10,663.	11,400.
16,000 - INTEL 2.950% DEBENTURES	0.	0.
6,000 - BOSTON PROPERTIES 3.750% NOTES	0.	0.
21,000 - CHESAPEAKE ENERGY 2.500% NOTES	17,609.	19,582.
10,000 - HOLOGIC 2.000% NOTES	10,012.	10,212.
7,000 - HOLOGIC 2.000% NOTES	7,282.	7,350.
11,000 - NETAPP 1.750% NOTES	0.	0.
9,000 - CACI 2.125% NOTES	0.	0.
7,000 - INTERNATIONAL GAME 3.250% NOTES	7,794.	7,420.
11,000 - U.S. STEEL 4.000% NOTES	0.	0.
3,000 - ALLEGHENY 4.250% NOTES	0.	0.
12,000 - NAVISTAR INTERNATIONAL 3.000% NOTES	11,895.	12,270.
11,000 - ENDO HEALTH 1.750% NOTES	0.	0.
13,000 - IXIA 3.000% NOTES	14,636.	14,008.
16,000 - ILLUMINA 0.250% NOTES	0.	0.
7,000 - ELECTRONIC ARTS 0.750% NOTES	6,867.	7,446.
16,000 - TAKE-TWO INTERACTIVE SOFTWARE 1.750% NOTES	17,067.	17,070.
14,000 - CIENA CORPORATION 0.875% NOTES	12,335.	14,315.
11,000 - SL GREEN 3.000% NOTES	0.	0.
18,000 - WEBMD HEALTH 2.500% NOTES	15,985.	17,685.
16,000 - PRICELINE 1.000% NOTES	0.	0.
9,000 - LAM RESEARCH 1.254% NOTES	0.	0.
4,000 - AIR LEASE 3.875% NOTES	0.	0.
8,000 - STONE ENERGY 1.750% NOTES	7,964.	8,830.
10,000 - SALIX 1.500% NOTES	0.	0.
11,000 - ALLIANT 3.000% NOTES	0.	0.
12,000 - ROYAL GOLD 2.875% NOTES	13,402.	11,535.
5,000 - CIENA 4.000% NOTES	0.	0.
11,000 - INTERPUBLIC GROUP 4.750% NOTES	0.	0.
18,000 - ARVINMERITOR 4.000% NOTES	14,620.	17,224.
5,000 - NUANCE COMMUNICATIONS 2.750% NOTES	5,799.	5,234.
14,000 - NUANCE COMMUNICATIONS 2.750% NOTES	14,934.	13,676.
12,000 - CLEARWIRE COMMUNICATIONS 8.250% NOTES	13,217.	13,800.
9,000 - PEABODY ENERGY 4.750% NOTES	0.	0.
22,000 - UNITED STATES STEEL 4.000% NOTES	22,711.	23,485.
10,000 - ALLEGHENY TECHNOLOGIES 4.250% NOTES	10,398.	10,338.
19,000 - PROLOGIS, L P 3.250% NOTES	22,312.	21,209.
12,000 - VOLCANO CORPORATION 1.750% NOTES	11,904.	11,775.
5,000 - ARES CAPITAL 4.750% NOTES	5,279.	5,278.
17,000 - STARWOOD PROPERTIES 4.550% NOTES	17,810.	18,774.
12,000 - REDWOOD TRUST 4.625% NOTES	12,333.	12,458.
3,000 - BROADSOFT 1.500% NOTES	2,865.	3,015.
12,000 - AUXILIUM PHARMACEUTICALS 1.500% NOTES	12,192.	13,275.
8,000 - FOREST CITY ENTERPRISES 4.250% NOTES	8,184.	8,900.

16,000 - INTERMUNE INC 2.500% NOTES	13,577.	14,250.
15,000 - BIOMARIN PHARMACEUTICAL INC 0.750% NOTES	15,597.	15,891.
24,000 - RYLAND GROUP 0.250% NOTES	22,837.	22,395.
10,000 - WALTER INVESTMENT MANAGEMENT 4.500% NOTES	10,193.	9,988.
13,000 - COBALT INTERNATIONAL ENERGY 2.625% NOTES	13,208.	11,497.
4,000 - PRICELINE COM INC 0.350% NOTES	4,575.	4,558.
9,000 - TTM TECHNOLOGIES INC 1.750% NOTES	9,104.	9,658.
8,000 - TIBCO SOFTWARE 2.250% NOTES	8,165.	8,015.
6,000 - TOLL BROTHERS FINANCE 0.500% NOTES	6,216.	6,240.
13,000 - GOODRICH PETROLEUM 5.000% NOTES	13,592.	13,228.
14,000 - OMNICARE INC 3.250% NOTES	14,770.	14,962.
8,000 - GROUP 1 AUTOMOTIVE INC 2.250% NOTES	9,930.	10,115.
11,000 - MICRON TECHNOLOGY 3.000% NOTES	9,912.	10,828.
1,000 - ARCHER DANIEL 0.875% NOTES	1,061.	1,061.
TOTAL TO FORM 990-PF, PART II, LINE 10C	557,821.	570,413.

FORM 990-PF — OTHER INVESTMENTS — STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PURCHASED INTEREST	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF — OTHER ASSETS — STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM-RELATED INVESTMENT - ARMSTRONG COMMUNITY MUSIC SCHOOL	0.	1,633,710.	1,633,710.
TO FORM 990-PF, PART II, LINE 15	0.	1,633,710.	1,633,710.

FORM 990-PF SUMMARY OF PROGRAM-RELATED INVESTMENTS STATEMENT 12

DESCRIPTION

ON FEBRUARY 22, 2013 THE FOUNDATION MADE A LOAN TO THE ARMSTRONG COMMUNITY MUSIC SCHOOL OF AUSTIN, TEXAS. THE PURPOSE OF THE LOAN WAS IN FURTHERANCE OF THE CHARITABLE PURPOSE OF THE FOUNDATION. THE LOAN BEARS AN INTEREST RATE OF 5% AND IS DUE ON MARCH 1, 2018. THE ORIGINAL PRINCIPLE AMOUNT OF THE NOTE WAS $1,653,930. THE ADDRESS OF ARMSTRONG COMMUNITY MUSIC SCHOOL IS 404 CAMP CRAFT ROAD, AUSTIN, TEXAS 78746.

	AMOUNT
TO FORM 990-PF, PART IX-B, LINE 1	1,633,710.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. MARK FOUNDATION C/O BESSEMER TRUST COMPANY	Employer identification number (EIN) or 27-3024112
	Number, street, and room or suite no. If a P.O. box, see instructions 300 CRESCENT COURT, NO. 800	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DALLAS, TX 75201	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ C/O BESSEMER TRUST COMPANY 300 CRESCENT COURT, SUITE 800 - DALLAS, TX 75201
  Telephone No. ▶ 214-245-1417 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ______ , and ending ______ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
DUE TO CIRCUMSTANCES BEYOND TAXPAYER'S CONTROL, ACCUMULATION OF ALL INFORMATION NECESSARY TO PREPARE A CORRECT RETURN IS INCOMPLETE.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	17,524.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	17,524.
c **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ PRESIDENT Date ▶