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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
TANG FAMILY FOUNDATION

A Employer identification number
27-1490252

Number and street (or P O box number if mail is not delivered to street address) 101 WESTCOTT STE 603 | Room/suite

B Telephone number (see instructions)
(713) 980-8799

City or town, state or province, country, and ZIP or foreign postal code
HOUSTON, TX 77007

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,039,736

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	282	282		
	4	Dividends and interest from securities	23,227	23,227		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	179,483			
	b	Gross sales price for all assets on line 6a 1,999,273				
	7	Capital gain net income (from Part IV, line 2)		179,483		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	202,992	202,992		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,500	1,500		0
	c	Other professional fees (attach schedule)	5,275	5,275		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9,246	9,246		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	145	145		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,166	16,166		0
	25	Contributions, gifts, grants paid	76,025			76,025
	26	**Total expenses and disbursements.** Add lines 24 and 25	92,191	16,166		76,025
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	110,801			
	b	**Net investment income** (if negative, enter -0-)		186,826		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	388,165	29,215	29,215
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,357,061	1,826,812	2,010,521
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,745,226	1,856,027	2,039,736
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	1,745,226	1,856,027	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,745,226	1,856,027	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,745,226	1,856,027	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,745,226
2	Enter amount from Part I, line 27a	2	110,801
3	Other increases not included in line 2 (itemize) ▶ ______	3	0
4	Add lines 1, 2, and 3	4	1,856,027
5	Decreases not included in line 2 (itemize) ▶ ______	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,856,027

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	179,483
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	60,408	1,623,344	0 037212
2011	47,438	1,320,748	0 035918
2010	4,350	1,291,259	0 003369
2009	0	1,210,486	0 000000
2008			

2	**Total** of line 1, column (d).	2	0 076499
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 019125
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,779,863
5	Multiply line 4 by line 3.	5	34,040
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,868
7	Add lines 5 and 6.	7	35,908
8	Enter qualifying distributions from Part XII, line 4.	8	76,025

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,868
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,868
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,868
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	846		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	3,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	3,846
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	18
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,960
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,960 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶NANCY T CHANG Telephone no ▶(713) 636-2887

Located at ▶101 WESTCOTT STE 603 HOUSTON TX ZIP+4 ▶77007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required ***(continued)***

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION HAS NO DIRECT CHARITABLE ACTIVITY NO OTHER ORGANIZATION OR BENEFICIARIES ARE SERVED THERE ARE NO OTHER ACTIVITIES	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,364,555
b	Average of monthly cash balances	1b	442,413
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,806,968
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,806,968
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,105
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,779,863
6	**Minimum investment return.** Enter 5% of line 5	6	88,993

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	88,993
2a	Tax on investment income for 2013 from Part VI, line 5 2a 1,868		
b	Income tax for 2013 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	1,868
3	Distributable amount before adjustments Subtract line 2c from line 1	3	87,125
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	87,125
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	87,125

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	76,025
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	76,025
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,868
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	74,157

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				87,125
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			76,025	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 76,025				
a Applied to 2012, but not more than line 2a			76,025	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				87,125
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NANCY T CHANG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BIOHOUSTON INC 6500 MAIN STREET STE 1040 HOUSTON, TX 77030		NONE- PUBLIC CHARITY	GENERAL SUPPORT	14,000
GREATER HOUSTON COMMUNITY FOUNDATION 5120 WOODWAY DR HOUSTON, TX 77056		NONE- PUBLIC CHARITY	GENERAL SUPPORT	10,000
SAN FRANCISCO PARKS ALLIANCE PO BOX 170160 SAN FRANCISCO, CA 941170160		NONE- PUBLIC CHARITY	GENERAL SUPPORT	32,025
ASIA SOCIETY TEXAS CENTER 1370 SOUTHMORE BLVD HOUSTON, TX 77004		NONE- PUBLIC CHARITY	GENERAL SUPPORT	20,000
Total . . . . ▶ **3a**				76,025
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	282	
4 Dividends and interest from securities. . . .			14	23,227	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	179,483	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		202,992	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 202,992

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-30 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
MATTHEW AYCOCK CPA			☐	P00296916

Firm's name ►	AYCOCK & COMPANY PC	Firm's EIN ►	20-4210510
Firm's address ►	7500 SAN FELIPE SUITE 990 HOUSTON, TX 77063	Phone no	(713) 275-3700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
HONEYWELL INTL INC CMN - 25 SHS	P	2011-07-15	2013-02-05
GOOGLE INC CMN CLASS A - 3 SHS	P	2011-07-15	2013-02-27
LOWES COMPANIES INC CMN - 26 SHS	P	2011-07-15	2013-02-27
BOEING COMPANY CMN - 9 SHS	P	2011-07-22	2013-05-08
BOEING COMPANY CMN - 20 SHS	P	2012-04-10	2013-05-08
NIKE CLASS-B CMN CLASS B - 40 SHS	P	2012-04-10	2013-05-08
JOHNSON & JOHNSON CMN - 42 SHS	P	2011-07-15	2013-05-23
OCCIDENTAL PETROLEUM CORP CMN - 27 SHS	P	2012-04-10	2013-06-07
OCCIDENTAL PETROLEUM CORP CMN - 29 SHS	P	2011-07-15	2013-06-07
PRAXAIR INC CMN - 23 SHS	P	2012-04-10	2013-06-07
PRAXAIR INC CMN - 25 SHS	P	2011-07-15	2013-06-07
JOHNSON & JOHNSON CMN - 4 SHS	P	2011-07-15	2013-07-09
JOHNSON & JOHNSON CMN - 30 SHS	P	2013-06-14	2013-07-09
JOHNSON & JOHNSON CMN - 42 SHS	P	2012-04-10	2013-07-09
PEPSICO INC CMN - 40 SHS	P	2011-07-15	2013-07-17
AMERICAN EXPRESS CO CMN - 12 SHS	P	2011-08-09	2013-07-31
AMERICAN EXPRESS CO CMN - 50 SHS	P	2012-04-10	2013-07-31
AMERICAN EXPRESS CO CMN - 62 SHS	P	2011-07-15	2013-07-31
AMERICAN EXPRESS CO CMN - 152 SHS	P	2012-07-26	2013-07-31
MCDONALDS CORP CMN - 20 SHS	P	2012-04-10	2013-07-31
MCDONALDS CORP CMN - 21 SHS	P	2011-07-15	2013-07-31
MCDONALDS CORP CMN - 49 SHS	P	2012-07-26	2013-07-31
VISA INC CMN CLASS A - 10 SHS	P	2012-04-10	2013-08-20
VISA INC CMN CLASS A - 30 SHS	P	2011-07-15	2013-08-20
VISA INC CMN CLASS A - 49 SHS	P	2012-07-26	2013-08-20
PROCTOR & GAMBLE COMPANY (THE) CMN - 8 SHS	P	2012-02-24	2013-10-15
PROCTOR & GAMBLE COMPANY (THE) CMN - 33 SHS	P	2012-05-23	2013-10-15
PROCTOR & GAMBLE COMPANY (THE) CMN - 35 SHS	P	2011-07-15	2013-10-15
PROCTOR & GAMBLE COMPANY (THE) CMN - 39 SHS	P	2012-04-10	2013-10-15
PROCTOR & GAMBLE COMPANY (THE) CMN - 138 SHS	P	2012-07-26	2013-10-15

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LOWES COMPANIES INC CMN - 92 SHS	P	2011-07-15	2013-11-12
LOWES COMPANIES INC CMN - 272 SHS	P	2012-07-26	2013-11-12
ABBVIE INC CMN - 17 SHS	P	2012-06-14	2013-02-27
ABBVIE INC CMN - 45 SHS	P	2012-04-10	2013-02-27
ABBVIE INC CMN - 50 SHS	P	2012-04-09	2013-02-27
ABBVIE INC CMN - 133 SHS	P	2012-07-26	2013-02-27
LOWES COMPANIES INC CMN - 47 SHS	P	2012-04-10	2013-02-27
PRUDENTIAL FINANCIAL INC CMN - 36 SHS	P	2012-04-10	2013-03-21
OCCIDENTAL PETROLEUM CORP CMN - 22 SHS	P	2013-06-06	2013-06-07
OCCIDENTAL PETROLEUM CORP CMN - 69 SHS	P	2012-07-26	2013-06-07
PRAXAIR INC CMN - 19 SHS	P	2013-06-06	2013-06-07
PRAXAIR INC CMN - 58 SHS	P	2012-07-26	2013-06-07
JOHNSON & JOHNSON CMN - 41 SHS	P	2013-06-06	2013-07-09
JOHNSON & JOHNSON CMN - 141 SHS	P	2012-07-26	2013-07-09
PEPSICO INC CMN - 39 SHS	P	2013-06-06	2013-07-17
AMERICAN EXPRESS CO CMN - 48 SHS	P	2013-06-06	2013-07-31
MC DONALDS CORP CMN - 16 SHS	P	2013-06-06	2013-07-31
GOOGLE INC CMN CLASS A - 4 SHS	P	2013-06-06	2013-08-15
VISA INC CMN CLASS A - 16 SHS	P	2013-06-06	2013-08-20
PROCTOR & GAMBLE COMPANY (THE) CMN - 45 SHS	P	2013-06-06	2013-10-15
VERTEX PHARMACEUTICALS INC CMN - 35 SHS	P	2013-06-19	2013-11-06
VERTEX PHARMACEUTICALS INC CMN - 163 SHS	P	2013-06-07	2013-11-06
LOWES COMPANIES INC CMN - 65 SHS	P	2013-06-06	2013-11-12
GS HIGH YIELD FUND INSITUTIONAL SHARES - 3,396 74 SHS	P	2012-09-06	2013-11-19
SPDR GOLD TRUST ETF - 1,000 SHS	P	2011-08-10	2013-03-22
PERRIGO COMPANY CMN - 300 SHS	P	2011-03-02	2013-03-21
PERRIGO COMPANY CMN - 1,250 SHS	P	2011-04-11	2013-03-21
UNIVERSITY GEN HEALTH SYS INC CMN - 5,000 SHS	P	2012-10-09	2013-05-24
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-09-28	2013-05-24
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-10-02	2013-05-24

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UNIVERSITY GEN HEALTH SYS INC CMN - 5,000 SHS	P	2012-10-09	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 5,000 SHS	P	2012-10-26	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-10-10	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-10-11	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-10-15	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-10-18	2013-05-30
UNIVERSITY GEN HEALTH SYS INC CMN - 5,000 SHS	P	2012-10-26	2013-06-17
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-11-05	2013-06-17
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-11-13	2013-06-17
UNIVERSITY GEN HEALTH SYS INC CMN - 3,000 SHS	P	2012-11-28	2013-07-15
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-11-26	2013-07-15
UNIVERSITY GEN HEALTH SYS INC CMN - 7,000 SHS	P	2012-11-28	2013-08-06
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-12-13	2013-08-06
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-12-07	2013-08-06
UNIVERSITY GEN HEALTH SYS INC CMN - 10,000 SHS	P	2012-12-11	2013-08-06
EPITOMICS INT'L	P	2013-10-10	2013-10-10
3D SYSTEMS CORP - 130 SHS	P	2013-11-01	2013-12-02
ADVANCE AUTO PARTS INC - 82 SHS	P	2013-11-02	2013-12-02
AEROVIRONMENT INC - 198 SHS	P	2013-12-02	2013-12-31
AFFYMETRIX INC - 1,129 SHS	P	2013-11-02	2013-12-02
AGILENT TECHNOLOGIES INC - 2 SHS	P	2013-10-01	2013-10-01
AGILENT TECHNOLOGIES INC - 130 SHS	P	2013-10-01	2013-10-31
ALIGN TECHNOLOGY INC - 143 SHS	P	2013-11-01	2013-12-02
ALLIANT TECHSYSTEMS INC - 50 SHS	P	2013-12-02	2013-12-31
ALNYLAM PHARMACEUTICALS INC - 104 SHS	P	2013-10-01	2013-10-31
AMERICAN STATES WATER CO - 253 SHS	P	2013-10-01	2013-11-01
ANACOR PHARMACEUTICALS INC - 613 SHS	P	2013-11-01	2013-12-02
APOGEE ENTERPRISES INC - 225 SHS	P	2013-10-01	2013-11-01
APOLLO EDUCATION GROUP INC - 307 SHS	P	2013-11-01	2013-12-02
ASIANINFO-LINKAGE INC - 604 SHS	P	2013-10-01	2013-11-01

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BIOMARIN PHARMACEUTICAL INC - 92 SHS	P	2013-10-01	2013-10-31
BUFFALO WILD WINGS INC - 56 SHS	P	2013-11-01	2013-12-02
CADENCE PHARMACEUTICALS INC - 644 SHS	P	2013-12-02	2013-12-31
CAL-MAINE FOODS INC - 146 SHS	P	2013-10-01	2013-11-01
CALAMP CORP - 339 SHS	P	2013-11-01	2013-12-02
CANTEL MEDICAL CORP - 219 SHS	P	2013-10-01	2013-10-31
CARDIOVASCULAR SYSTEMS INC - 258 SHS	P	2013-11-01	2013-12-02
CATO CORP-CLASS A - 177 SHS	P	2013-12-02	2013-12-31
CEC ENTERTAINMENT INC - 44 SHS	P	2013-10-01	2013-10-01
CEC ENTERTAINMENT INC - 146 SHS	P	2013-10-01	2013-11-01
CELLDEX THERAPEUTICS INC - 373 SHS	P	2013-10-01	2013-10-31
CHANNELADVISOR CORP - 189 SHS	P	2013-10-01	2013-11-01
CIENA CORP - 267 SHS	P	2013-10-01	2013-10-31
CLAYTON WILLIAMS ENERGY INC - 103 SHS	P	2013-11-01	2013-12-02
CLIFFS NATURAL RESOURCES INC - 303 SHS	P	2013-11-01	2013-12-02
COMFORT SYSTEMS USA INC - 294 SHS	P	2013-12-02	2013-12-31
CROSSTEX ENERGY INC - 264 SHS	P	2013-11-01	2013-12-02
CVENT INC - 198 SHS	P	2013-10-01	2013-10-31
CYBERONICS INC - 86 SHS	P	2013-12-02	2013-12-31
DICK'S SPORTING GOODS INC - 107 SHS	P	2013-12-02	2013-12-31
DIGITAL GLOBE INC - 150 SHS	P	2013-12-02	2013-12-31
DONALDSON CO INC - 145 SHS	P	2013-12-02	2013-12-31
DREAMWORKS ANIMATION SKG-A - 479 SHS	P	2013-10-01	2013-10-31
DSW INC - CLASS A - 136 SHS	P	2013-12-02	2013-12-31
DYAX CORP - 1,019 SHS	P	2013-10-01	2013-10-31
E*TRADE FINANCIAL CORPORATION - 478 SHS	P	2013-11-01	2013-12-02
EHEALTH INC - 189 SHS	P	2013-11-01	2013-12-02
ENDO HEALTH SOLUTIONS - 91 SHS	P	2013-12-02	2013-12-31
ENERGEN CORP - 2 SHS	P	2013-10-01	2013-10-01
ENERGEN CORP - 85 SHS	P	2013-10-01	2013-11-01

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ENVESTNET INC - 220 SHS	P	2013-11-01	2013-12-02
EVEREST RE GROUP LTD - 39 SHS	P	2013-12-02	2013-12-31
FEDERAL-MOGUL CORP - 392 SHS	P	2013-11-01	2013-12-02
FEI COMPANY - 77 SHS	P	2013-10-01	2013-11-01
FERRO CORP - 620 SHS	P	2013-11-01	2013-12-02
FINISH LINE/THE - CL A - 284 SHS	P	2013-10-01	2013-11-01
FIRST SOLAR INC - 102 SHS	P	2013-12-02	2013-12-31
FIVE BELOW - 4 SHS	P	2013-10-01	2013-10-01
FIVE BELOW - 151 SHS	P	2013-10-01	2013-10-31
FOOT LOCKER INC - 156 SHS	P	2013-12-02	2013-12-31
FOREST CITY ENTERPRISES - CL A - 316 SHS	P	2013-12-02	2013-12-31
GENTEX CORP - 277 SHS	P	2013-11-01	2013-12-02
GERON CORP - 10 SHS	P	2013-12-02	2013-12-02
GERON CORP - 1,077 SHS	P	2013-12-02	2013-12-31
GLOBE SPECIALTY METALS INC - 452 SHS	P	2013-10-01	2013-10-31
GOGO INC - 204 SHS	P	2013-12-02	2013-12-31
GRAFTECH INTERNATIONAL LTD - 525 SHS	P	2013-12-02	2013-12-31
GREEN DOT CORP - CLASS A - 265 SHS	P	2013-10-01	2013-10-31
GREENWAT MEDICAL TECHNOLOGIE - 339 SHS	P	2013-10-01	2013-11-01
GT ADVANCED TECHNOLOGIES INC - 592 SHS	P	2013-12-02	2013-12-31
HALOZYME THERAPUTICS INC - 402 SHS	P	2013-12-02	2013-12-31
HARSCO CORP - 548 SHS	P	2013-10-01	2013-10-31
HAVERTY FURNITURE - 209 SHS	P	2013-12-02	2013-12-31
HEARTLAND EXPRESS INC - 327 SHS	P	2013-12-02	2013-12-31
HHGREGG INC - 390 SHS	P	2013-10-01	2013-10-31
HOLLYSYS AUTOMATION TECHNOLO - 476 SHS	P	2013-11-01	2013-12-02
HOWARD HUGHES CORP/THE - 53 SHS	P	2013-12-02	2013-12-31
HUNTSMAN CORP - 323 SHS	P	2013-10-01	2013-10-31
HYSTER-YALE MATERIALS - 78 SHS	P	2013-10-01	2013-10-31
IMMUNOGEN INC - 403 SHS	P	2013-12-02	2013-12-31

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INCYTE CORP - 175 SHS	P	2013-10-01	2013-11-01
INFOBLOX INC - 328 SHS	P	2013-10-01	2013-11-01
INSMED INC - 369 SHS	P	2013-12-02	2013-12-31
INSYS THERAPEUTICS INC - 202 SHS	P	2013-10-01	2013-10-31
INSYS THERAPEUTICS INC - 134 SHS	P	2013-12-02	2013-12-31
INTERCONTINENTALEXCHANGE GRO - 28 SHS	P	2013-12-02	2013-12-31
ISIS PHARMACEUTICALS INC - 178 SHS	P	2013-10-01	2013-10-31
ISIS PHARMACEUTICALS INC - 157 SHS	P	2013-12-02	2013-12-31
ITT EDUCATIONAL SERVICES INC - 204 SHS	P	2013-11-01	2013-12-02
JOS A BANK CLOTHIERS INC - 166 SHS	P	2013-11-01	2013-12-02
KENNAMETAL INC - 147 SHS	P	2013-10-01	2013-11-01
KERYX BIOPHARMACEUTICALS - 412 SHS	P	2013-12-02	2013-12-31
KIMBALL INTERNATIONAL-B - 392 SHS	P	2013-12-02	2013-12-31
KODIAK OIL & GAS CORP - 661 SHS	P	2013-11-01	2013-12-02
KYTHERA BIOPHARMACEUTICALS I - 153 SHS	P	2013-10-01	2013-11-01
LA-Z-BOY INC - 206 SHS	P	2013-12-02	2013-12-31
LANNETT CO INC - 315 SHS	P	2013-10-01	2013-11-01
LANNETT CO INC - 187 SHS	P	2013-12-02	2013-12-31
LAREDO PETROLEUM HOLDINGS IN - 5 SHS	P	2013-10-01	2013-10-01
LAREDO PETROLEUM HOLDINGS IN - 227 SHS	P	2013-10-01	2013-10-31
LEIDOS HOLDINGS INC - 147 SHS	P	2013-10-01	2013-11-01
LIN MEDIA LLC - A - 228 SHS	P	2013-12-02	2013-12-31
LIVE NATION ENTERTAINMENT IN - 334 SHS	P	2013-12-02	2013-12-31
LIVEPERSON INC - 505 SHS	P	2013-12-02	2013-12-31
LUMBER LIQUIDATORS HOLDINGS - 72 SHS	P	2013-11-01	2013-12-02
LUXOFT HOLDING INC - 158 SHS	P	2013-12-02	2013-12-31
MAGNUM HUNTER RESOURCES CORP - 1,118 SHS	P	2013-11-01	2013-12-02
MANHATTAN ASSOCIATES INC - 50 SHS	P	2013-12-02	2013-12-31
MANKIND CORP - 1,625 SHS	P	2013-11-01	2013-12-02
MANPOWERGROUP INC - 103 SHS	P	2013-11-01	2013-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MARKETO INC - 235 SHS	P	2013-11-01	2013-12-02
MARVELL TECHNOLOGY GROUP LTD - 421 SHS	P	2013-12-02	2013-12-31
MASCO CORP - 317 SHS	P	2013-10-01	2013-11-01
MATADOR RESOURCES CO - 276 SHS	P	2013-12-02	2013-12-31
MAXIMUS INC - 134 SHS	P	2013-12-02	2013-12-31
MEDIDATA SOLUTIONS INC - 73 SHS	P	2013-11-01	2013-12-02
NATUS MEDICAL INC - 404 SHS	P	2013-11-01	2013-12-02
NAVIGANT CONSULTING INC - 456 SHS	P	2013-11-01	2013-12-02
NAVIGATOR HOLDINGS LTD - 40 SHS	P	2013-12-02	2013-12-31
NETFLIX INC - 25 SHS	P	2013-11-01	2013-12-02
NETSUITE INC - 82 SHS	P	2013-11-01	2013-12-02
NPS PHARMACEUTICALS INC - 283 SHS	P	2013-11-01	2013-12-02
NU SKIN ENTERPRISES INC - A - 70 SHS	P	2013-11-01	2013-12-02
NU SKIN ENTERPRISES INC - A - 49 SHS	P	2013-12-02	2013-12-31
OPKO HEALTH INC - 574 SHS	P	2013-12-02	2013-12-31
PACKAGING CORP OF AMERICA - 117 SHS	P	2013-10-01	2013-10-31
PALL CORP - 87 SHS	P	2013-10-01	2013-11-01
PANDORA MEDIA INC - 215 SHS	P	2013-12-02	2013-12-31
PARK-OHIO HOLDINGS CORP - 138 SHS	P	2013-12-02	2013-12-31
PENN VIRGINIA CORP - 913 SHS	P	2013-11-01	2013-12-02
PHOTRONICS INC - 691 SHS	P	2013-12-02	2013-12-31
PITNEY BOWES INC - 261 SHS	P	2013-12-02	2013-12-31
POWER SOLUTIONS INTERNATIONA - 81 SHS	P	2013-12-02	2013-12-31
PTC INC - 185 SHS	P	2013-12-02	2013-12-31
RALLY SOFTWARE DEVELOPMENT - 227 SHS	P	2013-10-01	2013-10-31
REPROS THERAPEUTICS INC - 258 SHS	P	2013-10-01	2013-10-31
RESTORATION HARDWARE HOLDING - 110 SHS	P	2013-10-01	2013-10-31
REVLON INC-CLASS A - 250 SHS	P	2013-10-01	2013-10-31
RIGNET INC - 146 SHS	P	2013-12-02	2013-12-31
RITE AID CORP - 1,394 SHS	P	2013-10-01	2013-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ROSS STORES INC - 81 SHS	P	2013-12-02	2013-12-31
RR DONNELLEY & SONS CO - 327 SHS	P	2013-12-02	2013-12-31
SCHULMAN (A) INC - 175 SHS	P	2013-12-02	2013-12-31
SHUTTERSTOCK INC - 83 SHS	P	2013-12-02	2013-12-31
SNAP-ON INC - 67 SHS	P	2013-10-01	2013-11-01
SOLARCITY CORP - 148 SHS	P	2013-11-01	2013-12-02
SOLERA HOLDINGS INC - 91 SHS	P	2013-12-02	2013-12-31
SONIC CORP - 394 SHS	P	2013-10-01	2013-11-01
SOTHEBYS - 2 SHS	P	2013-10-01	2013-10-01
SOTHEBYS - 135 SHS	P	2013-10-01	2013-11-01
SP PLUS CORP - 240 SHS	P	2013-12-02	2013-12-31
SPECTRUM BRANDS HOLDINGS INC - 88 SHS	P	2013-12-02	2013-12-31
SPIRIT AEROSYSTEMS HOLD-CL A - 185 SHS	P	2013-12-02	2013-12-31
SPIRIT AIRLINES INC - 190 SHS	P	2013-11-01	2013-12-02
SPLUNK INC - 85 SHS	P	2013-12-02	2013-12-31
SPS COMMERCE INC - 118 SHS	P	2013-11-01	2013-12-02
STRATASYS LTD - 51 SHS	P	2013-12-02	2013-12-31
SUN HYDRAULICS CORP - 140 SHS	P	2013-12-02	2013-12-31
SUNEDISON INC - 467 SHS	P	2013-12-02	2013-12-31
SUNPOWER CORP - 263 SHS	P	2013-11-01	2013-12-02
SYNERGY RESOURCES CORP - 713 SHS	P	2013-10-01	2013-11-01
SYNNEX CORP - 224 SHS	P	2013-10-01	2013-11-01
TASER INTERNATIONAL INC - 463 SHS	P	2013-10-01	2013-10-31
TASER INTERNATIONAL INC - 463 SHS	P	2013-11-01	2013-11-01
TESORO CORP - 105 SHS	P	2013-12-02	2013-12-31
THERAPEUDICS MD INC - 1,712 SHS	P	2013-11-01	2013-12-02
TIBCO SOFTWARE INC - 261 SHS	P	2013-10-01	2013-10-31
TIFFANY & CO - 69 SHS	P	2013-12-02	2013-12-31
TOWERS WATSON & CO-CL A - 63 SHS	P	2013-10-01	2013-11-01
TRACTOR SUPPLY COMPANY - 114 SHS	P	2013-11-01	2013-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
TREX COMPANY INC - 114 SHS	P	2013-11-01	2013-12-02
TRINITY INDUSTRIES INC - 117 SHS	P	2013-12-02	2013-12-31
TYLER TECHNOLOGIES INC - 85 SHS	P	2013-11-01	2013-12-02
UBIQUITI NETWORKS INC - 216 SHS	P	2013-11-01	2013-12-02
ULTA SALON COSMETICS & FRAGR - 56 SHS	P	2013-10-01	2013-11-01
UNITED CONTINENTAL HOLDINGS - 155 SHS	P	2013-12-02	2013-12-31
UNITED STATES STEEL CORP - 318 SHS	P	2013-11-01	2013-12-02
US AIRWAYS GROUP INC - 368 SHS	P	2013-11-01	2013-12-02
US CELLULAR CORP - 137 SHS	P	2013-12-02	2013-12-31
VERIFONE SYSTEMS INC - 296 SHS	P	2013-10-01	2013-10-31
VIEWPOINT FINANCIAL GROUP IN - 235 SHS	P	2013-12-02	2013-12-31
WADDELL & REED FINANCIAL-A - 130 SHS	P	2013-11-01	2013-12-02
WAGEWORKS INC - 103 SHS	P	2013-12-02	2013-12-31
WAUSAU PAPER CORP - 537 SHS	P	2013-10-01	2013-10-31
WHIRLPOOL CORP - 46 SHS	P	2013-10-01	2013-11-01
WHITING PETROLEUM CORPORATION - 124 SHS	P	2013-11-01	2013-12-02
WILEY (JOHN) & SONS-CLASS A - 141 SHS	P	2013-10-01	2013-11-01
XYLEM INC - 243 SHS	P	2013-11-01	2013-12-02
YELP INC - 118 SHS	P	2013-11-01	2013-12-02
ZYNGA INC - CL A - 2,237 SHS	P	2013-11-01	2013-12-02
CROSSTEX ENERGY LP - 313 SHS	P	2013-11-01	2013-12-02
HI-CRUSH PARTNERS LP - 191 SHS	P	2013-12-02	2013-12-31
GS 661 CAPITAL GAIN DIST	P	2013-12-31	2013-12-31
CROSSTEX ENERGY LP - ORDINARY GAIN/LOSS	P	2013-12-31	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,734		1,427	307
2,399		1,779	620
965		594	371
847		654	193
1,882		1,442	440
2,554		2,171	383
3,666		2,829	837
2,513		2,442	71
2,699		3,037	-338
2,623		2,564	59
2,851		2,669	182
355		269	86
2,661		1,949	712
3,725		2,716	1,009
3,364		2,726	638
893		536	357
3,721		2,850	871
4,614		3,191	1,423
11,313		8,740	2,573
1,960		1,970	-10
2,058		1,787	271
4,802		4,365	437
1,736		1,189	547
5,209		2,651	2,558
8,508		6,253	2,255
624		535	89
2,572		2,056	516
2,728		2,264	464
3,040		2,601	439
10,757		8,982	1,775

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
4,577		2,102	2,475
13,532		7,164	6,368
623		547	76
1,649		1,422	227
1,832		1,590	242
4,872		4,495	377
1,744		1,458	286
2,116		2,178	-62
2,047		2,031	16
6,421		5,906	515
2,166		2,169	-3
6,613		6,074	539
3,636		3,422	214
12,506		9,711	2,795
3,280		3,155	125
3,572		3,609	-37
1,568		1,529	39
3,449		3,431	18
2,778		2,838	-60
3,508		3,441	67
2,209		2,791	-582
10,289		13,369	-3,080
3,234		2,607	627
25,000		24,762	238
155,357		172,500	-17,143
35,456		23,240	12,216
147,734		104,911	42,823
2,970		2,071	899
5,940		4,242	1,698
5,940		4,217	1,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,970		2,071	899
2,970		2,121	849
5,940		4,040	1,900
5,940		3,939	2,001
5,940		4,040	1,900
5,940		4,040	1,900
2,970		2,121	849
5,940		4,040	1,900
5,940		4,444	1,496
1,806		1,212	594
6,020		4,040	1,980
4,158		2,828	1,330
5,940		4,040	1,900
5,940		4,040	1,900
5,940		4,040	1,900
58,026			58,026
9,957		8,123	1,834
8,346		8,096	250
5,740		5,973	-233
9,659		8,235	1,424
102		103	-1
6,599		6,697	-98
7,791		8,139	-348
6,050		6,125	-75
6,003		6,805	-802
7,200		6,989	211
8,360		7,984	376
7,019		6,872	147
8,192		8,134	58
7,003		6,967	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,773		6,725	-952
8,345		8,064	281
5,802		6,005	-203
7,404		6,990	414
8,306		7,978	328
7,743		6,963	780
8,582		7,870	712
5,640		5,971	-331
2,019		2,018	1
6,450		6,699	-249
8,553		13,646	-5,093
6,437		6,968	-531
6,223		6,783	-560
7,529		8,019	-490
7,595		8,146	-551
5,717		5,971	-254
8,515		7,967	548
6,125		7,034	-909
5,671		5,948	-277
6,202		6,078	124
6,170		5,964	206
6,298		6,069	229
16,512		13,845	2,667
5,809		6,073	-264
8,361		7,086	1,275
8,621		8,185	436
8,457		7,960	497
6,112		6,086	26
156		158	-2
6,429		6,694	-265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,593		7,987	606
6,053		6,034	19
8,054		8,132	-78
6,765		6,755	10
8,671		7,907	764
7,110		6,980	130
5,539		6,157	-618
176		178	-2
7,307		6,705	602
6,476		6,090	386
6,028		6,098	-70
8,312		8,145	167
55		55	0
5,186		5,917	-731
7,931		7,017	914
5,056		5,941	-885
5,904		5,966	-62
5,717		6,968	-1,251
6,890		6,944	-54
5,142		5,965	-823
6,077		5,972	105
15,330		13,865	1,465
6,501		5,964	537
6,395		5,985	410
6,035		7,018	-983
9,010		7,986	1,024
6,307		6,075	232
7,498		6,784	714
6,130		7,024	-894
5,934		6,002	-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,001		6,733	268
14,510		13,621	889
6,275		6,014	261
8,057		7,081	976
5,185		5,956	-771
6,298		6,004	294
5,941		6,704	-763
6,235		6,107	128
7,910		7,951	-41
9,401		7,957	1,444
6,777		6,778	-1
5,330		5,947	-617
5,886		5,971	-85
7,499		8,219	-720
6,838		7,042	-204
6,346		5,962	384
7,435		6,993	442
6,151		6,009	142
146		148	-2
7,213		6,714	499
6,920		6,792	128
6,545		5,952	593
6,585		6,063	522
7,519		5,971	1,548
7,107		8,125	-1,018
5,995		5,961	34
8,223		7,943	280
5,858		6,022	-164
8,151		7,920	231
8,254		8,111	143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,265		7,944	-679
6,086		6,123	-37
6,646		6,754	-108
5,130		5,966	-836
5,919		6,097	-178
8,657		7,991	666
9,209		7,961	1,248
8,744		7,946	798
1,058		853	205
9,022		8,251	771
7,903		8,174	-271
7,368		7,931	-563
8,766		8,177	589
6,767		6,130	637
4,842		6,122	-1,280
7,296		6,760	536
7,047		6,759	288
5,692		6,094	-402
7,240		5,971	1,269
9,774		8,020	1,754
6,201		5,985	216
6,093		6,101	-8
6,080		5,991	89
6,516		6,062	454
6,064		6,987	-923
4,648		6,990	-2,342
7,670		6,805	865
5,936		7,004	-1,068
7,040		5,980	1,060
7,410		6,920	490

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,073		6,121	-48
6,653		6,100	553
6,187		5,984	203
7,039		5,991	1,048
6,955		6,748	207
7,636		8,141	-505
6,443		6,108	335
7,598		7,003	595
98		99	-1
7,030		6,709	321
6,200		5,994	206
6,162		6,116	46
6,283		6,080	203
8,676		8,144	532
5,813		6,076	-263
7,701		7,962	-261
6,853		6,036	817
5,668		5,969	-301
6,050		6,134	-84
7,868		8,125	-257
7,319		6,961	358
13,646		13,874	-228
8,262		7,215	1,047
8,262		8,202	60
6,126		6,176	-50
8,314		7,900	414
6,419		6,839	-420
6,383		6,080	303
7,292		6,885	407
8,414		8,105	309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,227		7,931	296
6,377		6,106	271
8,667		8,125	542
8,598		8,154	444
7,216		6,813	403
5,839		6,094	-255
8,462		8,146	316
8,610		8,131	479
5,732		6,077	-345
6,721		6,788	-67
6,473		5,975	498
8,380		8,136	244
6,111		5,991	120
6,275		6,967	-692
6,707		6,701	6
7,439		8,153	-714
7,014		6,776	238
8,375		8,132	243
6,959		8,103	-1,144
9,630		7,973	1,657
8,387		7,949	438
7,263		5,975	1,288
4,475			4,475
103			103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			307
			620
			371
			193
			440
			383
			837
			71
			-338
			59
			182
			86
			712
			1,009
			638
			357
			871
			1,423
			2,573
			-10
			271
			437
			547
			2,558
			2,255
			89
			516
			464
			439
			1,775

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,475
			6,368
			76
			227
			242
			377
			286
			-62
			16
			515
			-3
			539
			214
			2,795
			125
			-37
			39
			18
			-60
			67
			-582
			-3,080
			627
			238
			-17,143
			12,216
			42,823
			899
			1,698
			1,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			899
			849
			1,900
			2,001
			1,900
			1,900
			849
			1,900
			1,496
			594
			1,980
			1,330
			1,900
			1,900
			1,900
			58,026
			1,834
			250
			-233
			1,424
			-1
			-98
			-348
			-75
			-802
			211
			376
			147
			58
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-952
			281
			-203
			414
			328
			780
			712
			-331
			1
			-249
			-5,093
			-531
			-560
			-490
			-551
			-254
			548
			-909
			-277
			124
			206
			229
			2,667
			-264
			1,275
			436
			497
			26
			-2
			-265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			606
			19
			-78
			10
			764
			130
			-618
			-2
			602
			386
			-70
			167
			0
			-731
			914
			-885
			-62
			-1,251
			-54
			-823
			105
			1,465
			537
			410
			-983
			1,024
			232
			714
			-894
			-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			268
			889
			261
			976
			-771
			294
			-763
			128
			-41
			1,444
			-1
			-617
			-85
			-720
			-204
			384
			442
			142
			-2
			499
			128
			593
			522
			1,548
			-1,018
			34
			280
			-164
			231
			143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-679
			-37
			-108
			-836
			-178
			666
			1,248
			798
			205
			771
			-271
			-563
			589
			637
			-1,280
			536
			288
			-402
			1,269
			1,754
			216
			-8
			89
			454
			-923
			-2,342
			865
			-1,068
			1,060
			490

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-48
			553
			203
			1,048
			207
			-505
			335
			595
			-1
			321
			206
			46
			203
			532
			-263
			-261
			817
			-301
			-84
			-257
			358
			-228
			1,047
			60
			-50
			414
			-420
			303
			407
			309

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			296
			271
			542
			444
			403
			-255
			316
			479
			-345
			-67
			498
			244
			120
			-692
			6
			-714
			238
			243
			-1,144
			1,657
			438
			1,288
			4,475
			103

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NANCY T CHANG 101 WESTCOTT STE 603 HOUSTON, TX 77007	DIRECTOR & PRESIDENT 0 00	0	0	0
AMY CHANG BERGER 101 WESTCOTT STE 603 HOUSTON, TX 77007	DIRECTOR & TREASURER 0 00	0	0	0
ANN M CHANG 101 WESTCOTT STE 603 HOUSTON, TX 77007	DIRECTOR & SECRETARY 0 00	0	0	0
LINDA TANG 101 WESTCOTT STE 603 HOUSTON, TX 77007	DIRECTOR & VICE PRESIDENT 0 00	0	0	0
BRIAN BERGER 101 WESTCOTT STE 603 HOUSTON, TX 77007	DIRECTOR 0 00	0	0	0

TY 2013 Accounting Fees Schedule

Name: TANG FAMILY FOUNDATION

EIN: 27-1490252

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,500	1,500		0

TY 2013 Investments Corporate Stock Schedule

Name: TANG FAMILY FOUNDATION

EIN: 27-1490252

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN GOLDMAN SACHS - 15867	0	0
INVESTMENT IN APPLIED STEMCELL INC	52,500	52,500
INVESTMENT IN GOLDMAN SACHS - 15865	573,074	732,842
NEXBIO	413,706	413,706
INVESTMENT IN GOLDMAN SACHS - 15866	347,452	369,952
INVESTMENT - INTERACTIVE B-1328	215,256	215,804
INVESTMENT - INTERACTIVE B-1386	224,824	225,717

TY 2013 Other Expenses Schedule

Name: TANG FAMILY FOUNDATION

EIN: 27-1490252

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CROSSTEX ENERGY LP	145	145		0

TY 2013 Other Professional Fees Schedule

Name: TANG FAMILY FOUNDATION

EIN: 27-1490252

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	5,275	5,275		0

TY 2013 Taxes Schedule

Name: TANG FAMILY FOUNDATION

EIN: 27-1490252

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2012 FEDERAL INCOME TAXES	9,246	9,246		0