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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Barbara A Stiefel Foundation Inc

% JEFFREY M MUTNIK CPA

Number and street (or P O box number if mail is not delivered to street address)
c/o Berkowitz Dick Pollack Brant LL
200 S Biscayne Blvd Sixth Floor

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
Miami, FL 33131

A Employer identification number
27-0878154

B Telephone number (see instructions)
(305) 379-9164

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 997,272

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	340,934			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,235	2,235		
	4	Dividends and interest from securities	26,894	26,894		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	52,551			
	b	Gross sales price for all assets on line 6a 585,236				
	7	Capital gain net income (from Part IV, line 2)		52,551		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	422,614	81,680		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages	5,748			5,748
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	1,916	958	0	958
	b	Accounting fees (attach schedule)	15,634	7,817	0	7,817
	c	Other professional fees (attach schedule)	17,889			17,889
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,216	371		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	2,139			2,139
	22	Printing and publications				
	23	Other expenses (attach schedule)	7,464	7,464		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	52,006	16,610	0	34,551
	25	Contributions, gifts, grants paid	330,310			330,310
	26	**Total expenses and disbursements.** Add lines 24 and 25	382,316	16,610	0	364,861
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	40,298			
	b	**Net investment income** (if negative, enter -0-)		65,070		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash—non-interest-bearing	46,242	59,985	59,985
2 Savings and temporary cash investments			
3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U S and state government obligations (attach schedule)			
b Investments—corporate stock (attach schedule)	843,779	864,842	937,287
c Investments—corporate bonds (attach schedule)			
11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)			
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	890,021	924,827	997,272
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	890,021	924,827	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see page 17 of the instructions)	890,021	924,827	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	890,021	924,827	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	890,021
2	Enter amount from Part I, line 27a	2	40,298
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	930,319
5	Decreases not included in line 2 (itemize) ▶	5	5,492
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	924,827

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	ATTACHMENT A			
b	ATTACHMENT B			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	9,833			9,833
b	42,718			42,718
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				9,833
b				42,718
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	52,551
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	682,763	1,202,685	0 567699
2011	759,170	1,863,443	0 407402
2010	520,959	160,640	3 243022
2009	0	0	0 0
2008			

2	**Total** of line 1, column (d)	2	4 218123
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1 054531
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	988,923
5	Multiply line 4 by line 3	5	1,042,850
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	651
7	Add lines 5 and 6	7	1,043,501
8	Enter qualifying distributions from Part XII, line 4	8	364,861

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,301
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,301
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,301
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	19
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,320
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JEFFREY M MUTNIK CPA Telephone no ▶(305) 379-7000

Located at ▶200 S BISCAYNE BLVD 6TH FLOOR MIAMI FL ZIP+4 ▶33131

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Barbara A Stiefel c/o Berkowitz Dick Pollack Brant LL Miami, FL 33131	President/Treasurer/Director 2 5	0	0	0
Christine E Stiefel c/o Berkowitz Dick Pollack Brant LL Miami, FL 33131	Vice President/Director 1 25	0	0	0
Erik Schmollinger c/o Berkowitz Dick Pollack Brant LL Miami, FL 33131	Secretary/Director 1 25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	941,189
b	Average of monthly cash balances	1b	62,794
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,003,983
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,003,983
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,060
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	988,923
6	**Minimum investment return.** Enter 5% of line 5	6	49,446

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	49,446
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,301		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,301
3	Distributable amount before adjustments Subtract line 2c from line 1			3	48,145
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	48,145
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	48,145

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	364,861
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	364,861
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	364,861

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				48,145
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009. 0				
c From 2010. 512,927				
d From 2011. 671,751				
e From 2012. 623,457				
f **Total** of lines 3a through e.	1,808,135			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 364,861				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				48,145
e Remaining amount distributed out of corpus	316,716			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,124,851			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	2,124,851			
10 Analysis of line 9				
a Excess from 2009. . . . 0				
b Excess from 2010. . . . 512,927				
c Excess from 2011. . . . 671,751				
d Excess from 2012. . . . 623,457				
e Excess from 2013. . . . 316,716				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

BARBARA A STIEFEL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOLID'AFRICA PO Box 1053 Kigali RW	NO RELATIONSHIP		Grant will be used to provide food, payment of hospital bills and transport, payment of medical expenses, and items for personal hygiene care to vulnerable patients in public Hospitals in Rwanda, Africa {Expenditure responsiblity undertaken - see attached statement pursuant to IRC 53 4945-5(d)(2)}	60,000
The Secondary Modern School of Kayonza District of Kayonza Eastern Province, District of Kayon RW	NO RELATIONSHIP	Foreign Public Schoo	Used towards the construction of a girl's dormitory at a public school in Kayonza, Rwanda {Expenditure responsiblity undertaken - see attached statement pursuant to IRC 53 4945-5(d)(2)}	88,310
The Nature Conservancy 222 S Westmonte Drive Suite 300 Altamonte Springs, FL 32714	None	PC	To support the work of the Nature Conservancy at Florida and around the world, including Tuungane and along the Kenyan coast, which is to help preserve precious lands and waters	50,000
INTERNATIONAL FOUNDATION FOR DERMATOLOGY WILLIAM HOUSE 4 FITZROY SQUARE LONDON W1T 5HQ UK	NO RELATIONSHIP		USED TOWARDS THE CONSTRUCTION OF A DERMATOLOGY WARD AND BURN UNIT AT THE KILIMANJARO CHRISTIAN MEDICAL CENTER IN MOSHI, TANZANIA {TAX EXEMPT UNDER LAWS OF UNITED KINGDOM}- See Attached Statement Pursuant to IRC 53 4945-(D)(2)	132,000
Total . . . . ▶ **3a**				330,310
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	2,235	
4 Dividends and interest from securities. . . .			14	26,894	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	52,551	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				81,680	

13 Total. Add line 12, columns (b), (d), and (e). **13** 81,680

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-08-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
BPB				
Firm's name	BERKOWITZ POLLACK BRANT LLP		Firm's EIN	
Firm's address	200 S BISCAYNE BLVD SIXTH FLOOR MIAMI, FL 33131		Phone no (305) 379-7000	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
Barbara A Stiefel Foundation Inc	27-0878154

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
Barbara A Stiefel Foundation Inc

Employer identification number
27-0878154

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BARBARA A STIEFEL 200 S Biscayne Blvd Sixth Floor Miami, FL 33131	$ 340,934	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	**Employer identification number**
Barbara A Stiefel Foundation Inc	27-0878154

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
Barbara A Stiefel Foundation Inc

Employer identification number
27-0878154

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	15,634	7,817		7,817

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments Corporate Stock Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEI	864,842	937,287

TY 2013 Investments - Land Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

TY 2013 Land, Etc. Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

TY 2013 Legal Fees Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	1,916	958		958

TY 2013 Other Decreases Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Description	Amount
CURRENCY TRANSLATION	5,492

TY 2013 Other Expenses Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	346	346		
INVESTMENT MANAGEMENT FEES	7,118	7,118		

TY 2013 Other Professional Fees Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEE	17,889			17,889

TY 2013 Taxes Schedule

Name: Barbara A Stiefel Foundation Inc

EIN: 27-0878154

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX FEE ON INTEREST	371	371		
FEDERAL TAXES	845			

TY 2013 IRS Payment

Name:	Barbara A Stiefel Foundation Inc
EIN:	27-0878154
Routing Transit Number:	061000104
Bank Account Number:	10000109888585
Type of Account:	Checking
Payment Amount in Dollars and Cents:	1,340
Requested Payment Date:	2014-08-26
Taxpayer's Daytime Phone Number:	(305) 379-9164

ATTACHMENT A

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

SHORT TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
1/14/2013	ALTISOURCE ASSET MGMT CORP	3	250.64	240.00	10.64
1/14/2013	ACORDIA THERAPEUTICS	41	1,109.44	1,054.38	55.06
1/14/2013	ARROW FINL CORP	23	571.19	514.12	57.07
1/14/2013	MERIDIAN INTERSTAT BANCORP INC	22	364.12	273.42	90.70
1/14/2013	WESTFIELD FINANCIAL INC	78	560.36	564.08	(3.72)
1/14/2013	TARGACEPT INC	114	546.13	547.14	(1.01)
1/14/2013	PUBLIC SVC ENTERPRISE GROUP INC	40	1,204.37	1,268.39	(64.02)
2/13/2013	CASH AMERICA INTL	6.00	294.77	231.30	63.47
2/13/2013	ARDEN GROUP	8.00	368.03	655.60	(287.57)
2/13/2013	ALTRIA GROUP	8	276.71	220.80	55.91
2/13/2013	ALLEGIANT TRAVEL	84	6,577.11	4,181.52	2,395.59
2/13/2013	HORMEL FOODS CORP	7.00	250.31	201.46	48.85
2/13/2013	DUKE ENERGY CORP	13.00	895.03	848.06	46.97
2/13/2013	DOMINION RESOURCES	64.00	3,470.27	3,198.08	272.19
2/13/2013	DELTA NAT GAS INC	18.00	356.15	266.55	89.60
2/13/2013	MAGELLEN HEALTH SERVICES INC	5.00	262.84	252.55	10.29
2/13/2013	LORILLARD INC	10.00	401.59	378.79	22.80
2/13/2013	KIMBERLY CLARK CORP	3.00	272.45	214.23	58.22
2/13/2013	INTERNAT BUS MACH CORP	2.00	402.97	373.70	29.27
2/13/2013	MERCHANTS BANCSHARES INC	9.00	254.78	238.59	16.19
2/13/2013	PAPA JOHNS INTL INC	4.00	226.59	210.08	16.51
2/13/2013	NEXTERA ENERGY INC	4.00	288.07	218.44	69.63
3/14/2013	OCEANFIRST FINL CORP	66.00	927.64	949.46	(21.82)
3/14/2013	PG & E CORP	101.00	4,334.17	4,428.53	(94.36)
3/14/2013	ALTISOURCE RESIDENTIAL CORP. CLASS B	10.00	203.34	150.00	53.34
3/14/2013	CSG SYS INTL INC	96.00	1,864.10	1,944.89	(80.79)
3/14/2013	CASH AMERICA INTL INC	25.00	1,311.25	963.74	347.51
3/14/2013	CONOCOPHILLIPS	31.00	1,811.92	1,741.87	70.05
4/12/2013	PAPA JOHNS INTL INC	11.00	670.95	577.72	93.23
4/12/2013	PERRIGO CO	24.00	2,408.42	2,361.39	47.03
4/12/2013	TJX COS INC	29.00	1,383.27	1,297.17	86.10
4/12/2013	BIOGEN IDEC INC	14.00	2,708.10	1,764.26	943.84
4/12/2013	CONOCOPHILLIPS	50.00	2,987.49	2,809.46	178.03
4/12/2013	HOME DEPOT INC	31.00	2,207.15	1,585.03	622.12
5/15/2013	SAFETY INSURANCE GROUP INC	42.00	2,139.67	1,718.64	421.03
5/15/2013	UNITED THERAPEUTICS CORP DEL	52.00	3,467.88	2,874.00	593.88
5/15/2013	CAL MAINE FOODS INC	100.00	4,232.29	4,113.14	119.15
5/15/2013	ALASKA AIR GROUP INC	44.00	2,912.31	2,369.49	542.82
6/14/2013	INVESTORS REAL ESTATE TR	131.00	1,129.23	1,162.81	(33.58)
5/15/2013	EARTHLINK INC	157.00	912.40	2,407.20	(1,494.80)

ATTACHMENT A

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

SHORT TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
6/14/2013	ESSEX PPTY TR INC COM	28.00	4,314.64	4,187.58	127.06
6/14/2013	UNITED FINANCIAL BANCORP INC	67.00	1,018.75	947.11	71.64
6/14/2013	ANNALY CAPITAL MANAGEMENT INC	273.00	3,625.38	4,092.28	(466.90)
6/14/2013	J2 GLOBAL INC	9.00	375.29	357.78	17.51
6/14/2013	ALASKA AIR GROUP INC	46.00	2,591.97	1,776.38	815.59
6/14/2013	EARTHLINK INC	277.00	1,679.78	1,791.82	(112.04)
6/25/2013	MAGELLAN HEALTH SERVICES INC	6.00	336.89	303.06	33.83
7/16/2013	MID-AMER APT CMNTYS INC	21.00	1,424.04	1,511.15	(87.11)
7/16/2013	NV ENERGY INC	89.00	2,099.56	1,633.13	466.43
7/16/2013	ROCKVILLE FINANCIAL	64.00	781.73	735.10	46.63
7/16/2013	ANNALY CAPITAL MANAGEMENT INC	81.00	980.09	1,185.02	(204.93)
7/16/2013	UNITED THERAPEUTICS CORP DEL	11.00	748.00	607.96	140.04
7/16/2013	HATTERAS FINL CORP REIT	89.00	2,116.93	2,340.97	(224.04)
7/16/2013	INVESTORS REAL ESTATE TR	415.00	3,744.60	3,646.77	97.83
8/14/2013	MID-AMER APT CMNTYS INC	42.00	2,748.35	2,985.10	(236.75)
8/14/2013	PEPCO HOLDINGS INC	242.00	4,929.18	4,839.71	89.47
8/14/2013	RETAIL OPPORTUNITY INVESTMENTS	49.00	682.30	620.03	62.27
8/14/2013	TANGER FACTORY OUTLET CTRS INC	145.00	4,708.88	5,354.26	(645.38)
8/14/2013	PEOPLES FEDERAL	28.00	512.80	508.28	4.52
8/14/2013	J2 GLOBAL INC	61.00	3,131.87	2,104.74	1,027.13
8/14/2013	HATTERAS FINL CORP REIT	90.00	1,725.28	2,367.27	(641.99)
8/14/2013	CBOE HOLDINGS INC	25.00	1,219.51	904.27	315.24
9/12/2013	PEOPLES FEDERAL	23.00	417.67	413.93	3.74
9/12/2013	PIEDMONT OFFICE A REIT	270.00	4,732.72	4,662.06	70.66
9/12/2013	RETAIL OPPORTUNITY INVESTMENTS	125.00	1,636.25	1,581.69	54.56
9/12/2013	UNITED THERAPEUTICS CORP DEL	24.00	1,653.57	1,176.22	477.35
9/12/2013	CBOE HOLDINGS INC	32.00	1,460.45	1,157.47	302.98
9/12/2013	ENTERGY CORP NEW	54.00	3,401.96	3,392.95	9.01
9/12/2013	HOME PROPERTIES INC. COMMON	51.00	3,001.10	3,314.50	(313.40)
9/12/2013	J2 GLOBAL INC	69.00	3,417.70	2,172.37	1,245.33
10/15/2013	SCANA CORP	108.00	4,882.08	5,408.56	(526.48)
10/15/2013	RETAIL OPPORTUNITY INVESTMENTS	213.00	2,904.29	2,675.86	228.43
10/10/2013	VECTOR GROUP LTD	0.05	0.78	0.76	0.02
10/15/2013	PROASSURANCE CORP	93.00	3,973.84	5,051.57	(1,077.73)
10/15/2013	PORTLAND GENERAL	180.00	5,040.65	4,811.67	228.98
10/15/2013	WESTAR ENERGY INC	26.00	787.53	861.92	(74.39)
10/15/2013	VECTREN CORP	117.00	3,820.44	3,776.76	43.68
10/15/2013	AMERICAN ELEC PWR INC	49.00	2,135.92	2,158.03	(22.11)
10/15/2013	CBOE HOLDINGS INC	73.00	3,315.62	2,640.48	675.14
10/15/2013	HOME PROPERTIES INC. COMMON	31.00	1,779.06	2,014.70	(235.64)

ATTACHMENT A

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

SHORT TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
10/15/2013	PINNACLE WEST CAP CORP	94.00	5,056.21	4,703.16	353.05
10/28/2013	WESTAR ENERGY INC	132.00	4,181.24	4,215.75	(34.51)
10/28/2013	AMERICAN ELEC PWR	41.00	1,907.70	1,795.52	112.18
10/28/2013	CBOE HOLDINGS INC	15.00	760.50	542.56	217.94
10/28/2013	DR PEPPER SNAPPLE GROUP INC	111.00	5,156.98	5,435.83	(278.85)
11/12/2013	CALIFORNIA WTR SVC GROUP	215.00	4,842.56	4,526.79	315.77
11/25/2013	NORTHWEST BANCSHARES	44.00	611.14	544.00	67.14
11/25/2013	NORTHFIELD BANCORP INC	40.00	507.99	487.63	20.36
11/25/2013	NORDSTROM INC	7.00	432.10	411.98	20.12
11/25/2013	PATTERSON COS INC	12.00	509.87	505.08	4.79
11/25/2013	PDL BIOPHARMA INC	80.00	732.07	551.05	181.02
11/25/2013	OWENS & MINOR INC (NEW)	10.00	374.89	357.84	17.05
11/25/2013	PEOPLES UNITED FINANCIAL INC	35.00	514.84	501.03	13.81
11/25/2013	PEOPLES FEDERAL	21.00	369.59	374.34	(4.75)
11/25/2013	PAYCHEX INC	11.00	476.51	404.67	71.84
11/25/2013	LABORATORY CORP AMERICA HOLDINGS	3.00	312.38	301.62	10.76
11/25/2013	NEW SOURCE ENERGY PARTNERS LP	12.00	264.83	245.66	19.17
11/25/2013	MORNINGSTAR INC	3.00	253.98	232.47	21.51
11/25/2013	MEDTRONIC INC	9.00	511.28	517.23	(5.95)
11/25/2013	MEDNAX INC	4.00	439.15	402.30	36.85
11/25/2013	ASPEN INSURANCE	9.00	358.28	318.96	39.32
11/25/2013	VERISIGN INC	12.00	663.94	596.39	67.55
11/25/2013	VECTOR GROUP LTD	32.00	515.51	485.22	30.29
11/25/2013	UNIVERSAL CORP VA	12.00	611.98	664.69	(52.71)
11/25/2013	WEIS MARKETS INC	13.00	611.33	515.32	96.01
11/25/2013	SERVICE CORP INTERNATIONAL	29.00	513.00	516.20	(3.20)
11/25/2013	SCHEIN HENRY INC	4.00	452.15	406.43	45.72
11/25/2013	UNITIL CORP	18.00	535.85	517.36	18.49
11/25/2013	AMDOCS LTD ORD	18.00	728.98	632.31	96.67
11/25/2013	WINTRUST FINL CORP	11.00	481.35	483.60	(2.25)
11/25/2013	WEST PHARMACEUTICAL SVCS INC	8.00	377.19	311.44	65.75
11/25/2013	FRESH DEL MONTE PRODUCE INC	17.00	453.89	501.81	(47.92)
11/25/2013	PROVIDENT FINL SVCS INC	20.00	372.59	373.17	(0.58)
11/25/2013	US BANCORP	15.00	580.49	569.71	10.78
11/25/2013	TERRITORIAL BANCORP INC	31.00	696.24	704.10	(7.86)
11/25/2013	SYSCO CORP SHORT TERM GAIN	12.00	399.83	368.52	31.31
11/25/2013	CARDINAL HEALTH INC	7.00	453.73	360.86	92.87
11/25/2013	BEMIS INC	7.00	274.11	280.63	(6.52)
11/25/2013	BECTON DICKINSON & CO	7.00	760.67	626.83	133.84
11/25/2013	THE BANK OF KENTUCKY FINANCIAL CORP	12.00	374.03	374.58	(0.55)

ATTACHMENT A

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

SHORT TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
11/25/2013	CIGNA CORP	3.00	257.09	241.05	16.04
11/25/2013	BROOKLINE BANCORP INC	40.00	351.99	350.18	1.81
11/25/2013	BERKSHIRE HATHAWAY INC-CL B	4.00	459.11	449.64	9.47
11/25/2013	CHEVRON CORPORATION	5.00	609.44	580.70	28.74
11/25/2013	BANK OF HAWAII CORP	9.00	527.30	479.61	47.69
11/25/2013	CAPITOL FEDERAL FINANCIAL INC.	33.00	393.68	392.04	1.64
11/25/2013	CABLEVISION NY GROUP CL A	17.00	251.93	273.19	(21.26)
11/25/2013	AMERICAN FINL GROUP INC OHIO	11.00	616.10	535.87	80.23
11/25/2013	AMERICAN EXPRESS CO	7.00	574.55	505.47	69.08
11/25/2013	ALMOST FAMILY INC	20.00	454.59	387.51	67.08
11/25/2013	AMERISOURCEBERGEN CORP	7.00	485.44	410.41	75.03
11/25/2013	BALLY TECHNOLOGIES INC	5.00	348.14	338.81	9.33
11/25/2013	BOK FINL CORP	9.00	562.22	1,189.05	(626.83)
11/25/2013	FIRST OF LONG ISLAND CORP	7.00	278.10	272.45	5.65
11/25/2013	FIRST CTZNS BANCSHARES INC CL A	2.00	437.99	419.47	18.52
11/25/2013	ASSOCIATED BANC CORP	31.00	521.72	510.54	11.18
11/25/2013	EMPIRE DIST ELEC CO	24.00	546.95	535.45	11.50
11/25/2013	JACK HENRY & ASSOC INC	6.00	340.49	262.64	77.85
11/25/2013	GYRODYNE CO AMER INC REIT	7.00	502.59	524.23	(21.64)
11/25/2013	CONOCOPHILLIPS	6.00	433.25	418.50	14.75
11/25/2013	DICE HOLDINGS INC	81.00	577.51	673.59	(96.08)
11/25/2013	DILLARDS INC	6.00	535.01	497.63	37.38
12/24/2013	SCHEIN HENRY INC	3.00	336.17	304.82	31.35
12/24/2013	PDL BIOPHARMA INC	32.00	263.39	220.42	42.97
12/24/2013	NEW SOURCE ENERGY PARTNERS LP	51.00	1,140.00	1,041.31	98.69
11/25/2013	DST SYS INC DEL	8.00	701.02	599.44	101.58
11/25/2013	CULLEN FROST BANKERS INC	5.00	357.79	345.84	11.95
12/24/2013	SERVICE CORP INTERNATIONAL	266.00	4,564.13	4,530.77	33.36
12/24/2013	MAGELLAN HEALTH SERVICES INC	5.00	287.24	284.75	2.49
12/24/2013	UTAH MED PRODS INC	7.00	366.72	419.16	(52.44)
12/24/2013	UNS ENERGY CORPORATION	16.00	953.26	735.20	218.06
12/24/2013	SYNOPSYS INC	41.00	1,605.74	1,512.90	92.84
12/24/2013	AMERICAN FINL GROUP INC OHIO	5.00	279.59	243.58	36.01
12/24/2013	CABLEVISION NY GROUP CL A	21.00	359.09	359.63	(0.54)
12/24/2013	BERKLEY W R CORP	6.00	254.45	248.19	6.26
12/24/2013	BANK OF HAWAII CORP	50.00	2,895.46	2,664.49	230.97
12/24/2013	CALIFORNIA WTR SVC GROUP	30.00	665.14	631.64	33.50
12/24/2013	AMERICAN EXPRESS CO	3.00	258.99	216.63	42.36
12/24/2013	GYRODYNE CO AMER INC REIT	18.00	1,284.35	1,320.18	(35.83)
12/24/2013	FIRST MERCHANTS CORP	12.00	259.79	226.31	33.48

ATTACHMENT A

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

SHORT TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
12/24/2013	CARDINAL HEALTH INC	6.00	400.13	309.31	90.82
			216,401.54	**206,568.57**	**9,832.97**

ATTACHMENT B

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

LONG TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
1/14/2013	AMERICAN STS WTR CO	30	1,472.21	1,047.92	424.29
1/14/2013	DELTA NAT GAS INC	51	1,000.83	755.22	245.61
1/14/2013	CHESAPEAKE UTIL	10	466.19	404.64	61.55
1/14/2013	BANK OF MARIN BANCORP	15	577.09	540.75	36.34
1/14/2013	BOFI HOLDING INC	83	2,455.13	1,360.97	1,094.16
1/14/2013	PERRIGO CO	9	986.29	896.20	90.09
1/14/2013	NORTHWEST NAT GAS CO	99	4,396.25	4,522.50	(126.25)
1/23/2013	PRIME OBLIGATION FUND	1116.54	1,116.54	1,116.54	0.00
1/14/2013	PRIME OBLIGATION FUND	6682.6	6,682.60	2,450.10	4,232.50
1/14/2013	ALTISOURCE PORTFOLIO SOLUTIONS	31	2,828.09	1,135.88	1,692.21
2/13/2013	CONOCOPHILIPS	5.00	288.69	280.95	7.74
2/13/2013	IAC INTERACTIVE CORP	77.00	3,365.48	3,180.08	185.40
2/13/2013	MCCORMICK & CO INC	5.00	317.59	233.75	83.84
2/13/2013	MERIDIAN INTERSTAT BANCORP INC	29.00	518.28	360.42	157.86
2/13/2013	MERCK & CO	6.00	246.65	196.32	50.33
2/13/2013	REYNOLDS AMERICA	6.00	265.97	234.97	31.00
2/13/2013	PHILIP MORRIS INTERNATIONAL	4.00	361.03	265.91	95.12
3/1/2013	MORGAN STANLEY 5.300%	18000	18000	18182.88	(182.88)
3/6/2013	PRIME OBLIGATION FUND (TPRXX)	19989.84	19989.84	19989.84	0.00
3/14/2013	UNITED FINANCIAL BANCORP INC	33.00	494.99	508.22	(13.23)
3/14/2013	VILLAGE SUPER MKT INC CL A	21.00	686.89	517.44	169.45
3/14/2013	PRIME OBLIGATION FUND (TPRXX)	97.20	97.20	97.20	0.00
3/14/2013	IAC INTERACTIVECORP	54.00	2,354.99	2,230.19	124.80
3/14/2013	HEINZ H J	84.00	6,090.09	4,344.46	1,745.63
4/12/2013	PERRIGO CO	24.00	433.60	0.00	433.60
3/14/2013	CHESAPEAKE UTIL	78.00	3,853.20	3,156.22	696.98
4/12/2013	NATHANS FAMOUS	8.00	351.19	168.80	182.39
4/12/2013	CAL MAINE FOODS INC	11.00	463.75	461.31	2.44
4/12/2013	UNITED FINANCIAL BANCORP INC	33.00	492.85	508.22	(15.37)
4/12/2013	VILLAGE SUPER MKT INC CL A	13.00	425.87	320.32	105.55
4/12/2013	PRIME OBLIGATION FUND (TPRXX)	3283.34	3,283.34	3,283.34	0.00
4/12/2013	LACLEDE GROUP	47.00	2,043.33	1,871.53	171.80
4/12/2013	CHESAPEAKE UTIL	16.00	791.62	647.43	144.19
4/12/2013	AMERICAN STS WTR CO	94.00	5,293.23	3,279.45	2,013.78
5/15/2013	UNITED FINANCIAL BANCORP INC	154.00	2,326.27	2,370.56	(44.29)
4/18/2013	PRIME OBLIGATION FUND (TPRXX)	1078.72	1,078.72	1,078.72	0.00
4/18/2013	PRIME OBLIGATION FUND (TPRXX)	193.1	193.1	193.1	0.00
5/15/2013	VILLAGE SUPER MKT INC CL A	16.00	594.30	394.24	200.06
5/15/2013	PRIME OBLIGATION FUND (TPRXX)	935.96	935.96	935.96	0.00
5/15/2013	NATHANS FAMOUS	13.00	628.56	274.30	354.26

ATTACHMENT B

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

LONG TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
5/15/2013	CAL MAINE FOODS INC	100.00	104.23	0.00	104.23
5/15/2013	BIOGEN IDEC INC	17.00	3,622.45	2,014.08	1,608.37
5/15/2013	INTERNATIONAL BUSINESS MACHINES C	17.00	3,465.35	3,176.45	288.90
5/15/2013	LORILLARD INC	27.00	1,162.05	1,021.50	140.55
6/3/2013	VERIZON GLOBAL 4.375%	18000	18000	18945.72	(945.72)
6/11/2013	PRIME OBLIGATION FUND (TPRXX)	10391.33	10391.33	10391.33	0.00
6/14/2013	INTERNATIONAL BUSINESS MACHINES C	8.00	1,633.67	1,494.80	138.87
6/14/2013	UNITED FINANCIAL BANCORP INC	67.00	0.00	1.62	(1.62)
6/14/2013	LACLEDE GROUP INC	65.00	2,973.78	2,588.29	385.49
6/14/2013	AMGEN INC	46.00	4,508.62	2,796.00	1,712.62
6/14/2013	ESSA BANCORP INC	51.00	553.74	573.92	(20.18)
6/14/2013	DELTA NAT GAS INC	40.00	870.32	592.33	277.99
7/15/2013	PRIME OBLIGATION FUND (TPRXX)	250.98	250.98	250.98	0.00
7/15/2013	DUPONT EI NEMOUR 5.000%	15000	15000	16084.65	(1,084.65)
7/16/2013	ROCKVILLE FINANCIAL	64.00	67.75	0.00	67.75
7/16/2013	MERCHANTS BANCSHARES INC	16.00	520.18	437.17	83.01
7/16/2013	EVEREST RE GROUP LIMITED	38.00	4,895.56	3,743.15	1,152.41
7/16/2013	PRIME OBLIGATION FUND (TPRXX)	4149.89	4,149.89	4,149.89	0.00
7/16/2013	ESSA BANCORP INC	95.00	1,050.91	1,069.07	(18.16)
7/16/2013	ALLERGAN INC	35.00	3,130.35	2,950.13	180.22
7/16/2013	AMGEN INC	17.00	1,753.63	961.17	792.46
7/18/2013	PRIME OBLIGATION FUND (TPRXX)	1168.69	1,168.69	1,168.69	0.00
7/18/2013	PRIME OBLIGATION FUND (TPRXX)	194.92	194.92	194.92	0.00
7/16/2013	FRANKLIN FINANCIAL	54.00	1,017.25	888.61	128.64
7/30/2013	PRIME OBLIGATION FUND (TPRXX)	9970.4	9970.4	9970.4	0.00
8/14/2013	LORILLARD INC	74.00	3,217.48	2,799.66	417.82
8/14/2013	ALLERGAN INC	6.00	546.71	505.74	40.97
8/14/2013	ARDEN GROUP INC	4.00	528.79	327.80	200.99
8/14/2013	BRISTOL MYERS SQUIBB CO	55.00	2,403.47	1,801.76	601.71
9/5/2013	PRIME OBLIGATION FUND (TPRXX)	363.88	363.88	363.88	0.00
8/14/2013	ENTERGY CORP NEW	23.00	1,545.54	1,623.29	(77.75)
8/14/2013	ESSA BANCORP INC	36.00	408.35	405.12	3.23
9/12/2013	UNITED THERAPEUTICS CORP DEL	24.00	163.54	0.00	163.54
9/12/2013	PRIME OBLIGATION FUND (TPRXX)	1832.57	1,832.57	1,832.57	0.00
9/12/2013	MONDELEZ INTERNATIONAL INC	118.00	3,669.75	2,668.28	1,001.47
9/12/2013	ENTERGY CORP NEW	54.00	0.00	221.14	(221.14)
9/12/2013	BRISTOL MYERS SQUIBB CO	13.00	548.98	425.87	123.11
9/12/2013	FIRST OF LONG ISLAND CORP	23.00	842.22	574.66	267.56
10/15/2013	PORTLAND GENERAL	180.00	100.20	0.00	100.20
10/15/2013	PRIME OBLIGATION FUND (TPRXX)	703.78	703.78	703.78	0.00

ATTACHMENT B

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

LONG TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
10/15/2013	WISCONSIN ENERGY CORP	113.00	4,555.11	3,986.02	569.09
10/15/2013	BRISTOL MYERS SQUIBB CO	74.00	3,429.11	2,424.19	1,004.92
10/15/2013	PINNACLE WEST CAP CORP	94.00	90.65	0.00	90.65
10/15/2013	PPL CORPORATION	163.00	4,890.66	4,686.25	204.41
10/15/2013	AMERICAN WATER WORKS INC	130	5,269.92	4,842.59	427.33
10/21/2013	PRIME OBLIGATION FUND (TPRXX)	1059.48	1,059.48	1,059.48	0.00
10/21/2013	PRIME OBLIGATION FUND (TPRXX)	187.99	187.99	187.99	0.00
10/15/2013	MCKESSON CORP. COMMON STOCK	3.00	400.31	221.46	178.85
10/28/2013	XCEL ENERGY INC	108.00	3,132.00	2,947.27	184.73
10/28/2013	PRIME OBLIGATION FUND (TPRXX)	525.10	525.10	525.10	0.00
10/28/2013	NEXTERA ENERGY INC	23.00	1,957.29	1,256.03	701.26
11/12/2013	XCEL ENERGY INC	67.00	1,937.62	1,755.39	182.23
11/12/2013	PRIME OBLIGATION FUND (TPRXX)	1179.43	1,179.43	1,179.43	0.00
11/12/2013	REYNOLDS AMERICA	123.00	6,359.84	4,816.81	1,543.03
11/12/2013	MGE ENERGY INC	25.00	1,401.15	1,049.39	351.76
11/12/2013	MERCHANTS BANCSHARES INC	69.00	2,019.29	1,820.33	198.96
10/28/2013	CAMPBELL SOUP	117.00	4,988.80	3,844.38	1,144.42
10/28/2013	CONSOLIDATED EDISON INC	84.00	4,892.91	4,759.13	133.78
11/22/2013	PRIME OBLIGATION FUND (TPRXX)	127.66	127.66	127.66	0.00
11/12/2013	CHURCH & DWIGHT INC	39.00	2,551.74	1,743.62	808.12
11/12/2013	LANCASTER COLONY CORP	57.00	4,681.12	3,616.63	1,064.49
11/12/2013	CAMPBELL SOUP CO	24.00	1,026.00	773.62	252.38
11/12/2013	AMERICAN ELEC PWR INC	31.00	1,447.65	1,350.19	97.46
11/22/2013	PRIME OBLIGATION FUND (TPRXX)	92.78	92.78	92.78	0.00
11/25/2013	PHILIP MORRIS INTERNATIONAL	5.00	446.54	332.38	114.16
11/25/2013	PFIZER INC	26.00	830.17	563.69	266.48
11/25/2013	PEPSICO INC	7.00	595.27	435.81	159.46
11/25/2013	KROGER CO	21.00	873.16	472.49	400.67
11/25/2013	MCDONALDS CORP	5.00	487.19	446.35	40.84
11/25/2013	MCCORMICK & CO INC	6.00	411.23	280.50	130.73
11/25/2013	MGE ENERGY INC	7.00	386.95	293.83	93.12
11/25/2013	NEXTERA ENERGY INC	5.00	431.74	273.05	158.69
11/25/2013	MERCK & CO INC	8.00	384.71	261.76	122.95
11/25/2013	LILLY ELI & CO	14.00	709.51	532.16	177.35
11/25/2013	MCKESSON CORP. COMMON STOCK	3.00	474.80	221.46	253.34
11/25/2013	WES MARKETS INC	13.00	20.06	0.00	20.06
11/25/2013	WAL MART STORES INC	9.00	709.54	495.00	214.54
11/25/2013	VERIZON COMMUNICATIONS	13.00	655.06	481.38	173.68
11/25/2013	BUNGE LIMITED	6.00	478.85	478.85	0.00
11/25/2013	PROCTER & GAMBLE CO	10.00	846.28	646.00	200.28

ATTACHMENT B

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

LONG TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
11/25/2013	SIMPLICITY BANCORP INC.	26.00	408.71	356.40	52.31
11/25/2013	TARGET CORP	7.00	465.63	404.23	61.40
11/25/2013	ROCKVILLE FINANCIAL	19.00	286.89	210.67	76.22
11/25/2013	SOUTHERN COMPANY	10.00	414.49	424.87	(10.38)
11/25/2013	JM SMUCKER CO/THE-NEW COM	5.00	507.64	428.40	79.24
11/25/2013	KIMBERLY CLARK CORP	6.00	646.48	428.45	218.03
11/25/2013	CENTURYLINK INC	11.00	349.68	461.16	(111.48)
11/25/2013	CVS CAREMARK CORP	14.00	916.28	645.21	271.07
11/25/2013	ALTRIA GROUP INC	13.00	488.92	358.79	130.13
11/25/2013	ABBOTT LABS	11.00	419.75	274.98	144.77
11/25/2013	AT & T INC	16.00	566.55	465.11	101.44
11/25/2013	AUTOMATIC DATA PROCESSING INC	9.00	710.63	457.83	252.80
11/25/2013	ARDEN GROUP INC	4.00	494.01	327.80	166.21
11/25/2013	GENERAL MLS INC	15.00	738.58	589.80	148.78
11/25/2013	KELLOGG CO	11.00	671.98	594.00	77.98
11/25/2013	JOHNSON & JOHNSON	8.00	760.41	513.52	246.89
11/25/2013	HORMEL FOODS CORP	15.00	632.08	431.69	200.39
11/25/2013	EXXON MOBIL CORP	5.00	472.84	459.13	13.71
11/25/2013	ESSA BANCORP INC	32.00	345.91	360.11	(14.20)
11/25/2013	CONAGRA FOODS INC	17.00	547.75	480.59	67.16
11/25/2013	COLGATE PALMOLIVE CO	11.00	716.74	501.11	215.63
11/25/2013	DUKE ENERGY CORP	8.00	561.11	526.48	34.63
11/25/2013	THE HERSHEY COMPANY	10.00	949.98	596.09	353.89
11/25/2013	DTE ENERGY CO	7.00	481.10	383.52	97.58
11/25/2013	COCA COLA BOTTLING CO CONS	4.00	262.55	267.28	(4.73)
11/25/2013	CLOROX CO	7.00	646.85	463.63	183.22
11/25/2013	CHURCH & DWIGHT INC	6.00	389.75	268.25	121.50
11/25/2013	COSTCO WHOLESALE CORP NEW	6.00	735.53	489.90	245.63
11/25/2013	PRIME OBLIGATION FUND (TPRXX)	11538.93	11538.93	11538.93	0.00
12/16/2013	HOME DEPOT INC 5.250%	15000	15000	15990	(990.00)
12/23/2013	PRIME OBLIGATION FUND (TPRXX)	20359.6	20359.6	20359.6	0.00
12/24/2013	MGE ENERGY INC	31.00	1,720.97	1,301.24	419.73
12/24/2013	LANCASTER COLONY CORP	11.00	953.01	697.95	255.06
12/24/2013	MERCHANTS BANCSHARES INC	49.00	1,523.25	1,282.82	240.43
12/24/2013	PRIME OBLIGATION FUND (TPRXX)	1875.80	1,875.80	1,875.80	0.00
12/24/2013	WEIS MARKETS INC	20.00	1,008.06	770.81	237.25
12/24/2013	VERIZON COMMUNICATIONS	6.00	290.69	222.17	68.52
12/24/2013	SOUTHERN COMPANY	100.00	4,078.94	4,248.68	(169.74)
12/24/2013	HERITAGE FINANCIAL GROUP	15.00	276.14	197.13	79.01
12/24/2013	ABBOTT LABS	66.00	2,503.35	1,649.87	853.48

ATTACHMENT B

BARBARA A. STIEFEL FOUNDATION INC
SEI ACCOUNT 452902CO AND 452902MV Capital Gains/Losses
1/1/2013 - 12/31/2013

LONG TERM CAPITAL GAIN (LOSS)

Sale Date	Description	Units	Proceeds	Cost Basis	Gain (Loss)
12/24/2013	AT & T INC	8.00	275.36	232.56	42.80
12/24/2013	DTE ENERGY CO	73.00	4,788.52	3,994.05	794.47
12/24/2013	CONAGRA FOODS INC	126.00	4,218.42	3,293.53	924.89
12/31/2013	PRIME OBLIGATION FUND (TPRXX)	985.31	985.31	985.31	0.00
12/24/2013	COLGATE PALMOLIVE CO	87.00	5,580.03	3,963.28	1,616.75
12/24/2013	CHURCH & DWIGHT INC	57.00	3,745.58	2,548.36	1,197.22
			368,834.56	**326,116.80**	**42,717.76**

Report to IRS on Form 990-PF

The Barbara A. Stiefel Foundation **EIN: 27-0878154**

Attachment to Form 990-PF

Expenditure Responsibility Statement
For the year 2013

Pursuant to IRS Regulation §53 4945-5(d)(2), The Barbara A Stiefel Foundation provides the following information

(i)	Grantee	The Secondary Modern School of Kayonza, Rwanda
(ii)	Amount of Grants	$23,336 Paid at various dates in 2013
(iii)	Purpose of Grants	Provide funds for construction of a girl's dormitory at a public secondary school in the Kayonza District of Rwanda
(iv)	& (vi) Reports	The Secondary Modern School of Kayonza has submitted full and complete reports of its expenditures of The Barbara A Stiefel Foundation's grant, and these reports show that the grant was properly devoted exclusively for charitable purposes
(v)	Diversions	To the knowledge of The Barbara A Stiefel Foundation, no funds have been diverted to any activity other than the activity for which the grant was originally made
(vii)	Verification	The Barbara A Stiefel Foundation has no reason to doubt the accuracy or reliability of the report from Secondary Modern School of Kayonza A representative of The Barbara A Stiefel Foundation personally inspected the progress of the construction of the dormitory during the course of 2013

Report to IRS on Form 990-PF

The Barbara A. Stiefel Foundation **EIN: 27-0878154**

Attachment to Form 990-PF

Expenditure Responsibility Statement
For the year 2013

Pursuant to IRS Regulation §53 4945-5(d)(2), The Barbara A Stiefel Foundation provides the following information

(i)	Grantee	Solid'Africa
(ii)	Amount of Grants	$60,000 was paid on March 14, 2013
(iii)	Purpose of Grants	Provide funds for the installation of a water filtration system and to help educate and create behavioral changes among patients, care givers and hospital personnel in relation to clean water in Rwanda
(iv)	& (vi) Reports	Solid'Africa has submitted full and complete reports of its expenditures of The Barbara A Stiefel Foundation's grant, and these reports show that the grant was properly devoted exclusively for charitable purposes
(v)	Diversions	To the knowledge of The Barbara A Stiefel Foundation, no funds have been diverted to any activity other than the activity for which the grant was originally made
(vii)	Verification	The Barbara A Stiefel Foundation has no reason to doubt the accuracy or reliability of the report from Solid'Africa A representative of The Barbara A Stiefel Foundation personally inspected the progress of the construction of the water filtration system during the course of 2013

Report to IRS on Form 990-PF

The Barbara A. Stiefel Foundation **EIN: 27-0878154**

Attachment to Form 990-PF

Expenditure Responsibility Statement
For the year 2013

Pursuant to IRS Regulation §53 4945-5(d)(2), The Barbara A Stiefel Foundation provides the following information

(i)	Grantee	The International Foundation For Dermatology (IFD)
(ii)	Amount of Grants	$132,000 was paid on December 05, 2013
(iii)	Purpose of Grants	Used towards the construction of a dermatology ward and and burn unit at the Kilimanjaro Christian Medical Center in Moshi, Tanzania
(iv)	& (vi) Reports	IFD has submitted full and complete reports of its expenditures of The Barbara A Stiefel Foundation's grant, and these reports show that the grant was properly devoted exclusively for charitable purposes
(v)	Diversions	To the knowledge of The Barbara A Stiefel Foundation, no funds have been diverted to any activity other than the activity for which the grant was originally made
(vii)	Verification	The Barbara A Stiefel Foundation has no reason to doubt the accuracy or reliability of the report from IFD (Tax Exempt under the laws of United Kingdom) A representative of The Barbara A Stiefel Foundation personally inspected the progress of the construction of the hospital dermatology ward and burn unit during the course of 2013