



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE AARON AND BETTY LEE STERN FOUNDATION		A Employer identification number 27-0771357	
Number and street (or P O box number if mail is not delivered to street address) 465 PARK AVENUE		B Telephone number (see instructions) (212) 588-0910	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 10,189,504		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	143	143		
	4 Dividends and interest from securities.	102,010	102,010		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	478,236			
	b Gross sales price for all assets on line 6a 2,452,600				
	7 Capital gain net income (from Part IV, line 2) . . .		478,236		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	580,389	580,389		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	8,151	4,075		4,076
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	202,852	0		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,921	1,461		1,460
	24 Total operating and administrative expenses. Add lines 13 through 23	213,924	5,536		5,536
	25 Contributions, gifts, grants paid	494,500			494,500
	26 Total expenses and disbursements. Add lines 24 and 25	708,424	5,536		500,036
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-128,035			
	b Net investment income (if negative, enter -0-)		574,853		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,201,324	579,457	579,457
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	907,753	4,401,585	9,610,047
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,109,077	4,981,042	10,189,504
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	5,109,077	4,981,042	
	30	Total net assets or fund balances (see page 17 of the instructions)	5,109,077	4,981,042	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,109,077	4,981,042	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,109,077
2	Enter amount from Part I, line 27a	2	-128,035
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	4,981,042
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,981,042

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	698 094211 TIGER GLOBAL	P	2002-11-01	2013-12-31
b	SEE SCHEDULE	P		
c	SEE SCHEDULE	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 500,000		69,810	430,190
b 1,496,005		1,405,689	90,316
c 456,595		498,865	-42,270
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			430,190
b			90,316
c			-42,270
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	478,236
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d).	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	
7 Add lines 5 and 6.	7	
8 Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	11,497
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	11,497
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	11,497
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	106,240
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	106,240
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	94,743
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 94,743 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶AARON STERN Telephone no ▶(212) 588-0910 Located at ▶465 PARK AVENUE NEW YORK NY ZIP +4 ▶10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR AARON STERN	TRUSTEE	0	0	0
465 PARK AVENUE NEW YORK, NY 10022	0 50			
BETTY LEE STERN	TRUSTEE	0	0	0
465 PARK AVENUE NEW YORK, NY 10022	0 50			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	8,749,130
b	Average of monthly cash balances.	1b	1,364,938
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,114,068
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,114,068
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	151,711
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,962,357
6	Minimum investment return. Enter 5% of line 5.	6	498,118

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	498,118
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	11,497
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,497
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	486,621
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	486,621
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	486,621

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	500,036
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	500,036
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	500,036
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				486,621
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			403,844	
b Total for prior years 2011 , 20 , 20		89,505		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 \$ 500,036				
a Applied to 2012, but not more than line 2a			403,844	
b Applied to undistributed income of prior years (Election required—see instructions).		89,505		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				6,687
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				479,934
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	494,500
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes

☒ No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-07-08

Signature of officer or trustee

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes☐ No

Paid Preparer Use Only

Print/Type preparer's name ANDREW ROTTER	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00449684	
Firm's name	CITRIN COOPERMAN & COMPANY LLP			Firm's EIN	22-2428965
Firm's address	529 FIFTH AVENUE NEW YORK, NY 100174683			Phone no	(212) 697-1000

Form 990-PF (2013)

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

DR AARON STERN
BETTY LEE STERN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK,NY 10024		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
AMHERST COLLEGE P O BOX 5000 AMHERST,MA 01002		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
BROOKLYN URBAN GARDEN CHARTER SCHOOL 500 19TH STREET BROOKLYN,NY 11215		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,000
BRUNSWICK SCHOOL 100 MAHER AVENUE GREEWICH,CT 06830		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	50,000
BROWN UNIVERSITY P O BOX 1893 PROVIDENCE,RI 02912		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	10,000
CITIZENS COMMITTEE FOR CHILDREN 105 EAST 22ND STREET NEW YORK,NY 10010		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	2,000
CLASSROOM INC 245 FIFTH AVENUE NEW YORK,NY 10016		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	50,000
COLUMBIA UNIVERSITY SCHOOL OF THE ARTS 2960 BROADWAY NEW YORK,NY 10027		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	50,000
CONGREGATION RODEPH SHOLOM 2385 PARK AVENUE BRIDGEPORT,CT 06604		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	250
DUBIN BREAST CENTER 5 EAST 98TH STREET NEW YORK,NY 10029		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
FLAGLER MUSEUM 1 WHITEHALL WAY PALM BEACH,FL 33480		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	1,000
GLADES ACADEMY FOUNDATION INC ONE NORTH CLEMATIS STREET WEST PALM BEACH,FL 33401		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	10,000
ETHICAL CULTURE FIELDSTON SCHOOL 3901 FIELDSTON RD BRONX,NY 10471		501(C)(5)	SUPPORT OF THE ORGANIZATION'S MISSION	10,000
GUGGENHEIM MUSEUM 1071 FIFTH AVENUE NEW YORK,NY 10128		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	1,750
LINCOLN CENTER PERFORMING ARTS INC 70 LINCOLN CENTER PLAZA NEW YORK,NY 10023		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,500
Total  3a				494,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE HOLE IN THE WALL GANG CAMP 565 ASHFORD CENTER RD ASHFORD,CT 06278		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEWYORK,NY 10065		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,000
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEWYORK,NY 10019		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	12,000
NY CITY CENTER 130 WEST 56TH STREET NEWYORK,NY 10019		509(A)(2)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
LOS ANGELES COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES,CA 90036		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,600
TEMPLE SHOLOM 100 EAST PUTNAM AVENUE GREEWICH,CT 06830		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	3,650
THE ACTORS FUND 729 SEVENTH AVENUE NEWYORK,NY 10019		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	1,000
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEWYORK,NY 10028		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	4,500
THE METROPOLITAN OPERA LINCOLN CENTER BROADWAY AND 64TH STREET NEWYORK,NY 10023		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	2,500
THE NORTON MUSEUM OF ART 1451 S OLIVE AVENUE WEST PALM BEACH,FL 33401		509(A)(2)	SUPPORT OF THE ORGANIZATION'S MISSION	1,750
THE RAYMOND F KRAVIS CENTER FOR THE PERFORMING ARTS INC 701 OKEECHOBEE BOULEVARD WEST PALM BEACH,FL 33401		509(A)(2)	SUPPORT OF THE ORGANIZATION'S MISSION	1,000
THIRTEEN 825 EIGHT AVENUE NEWYORK,NY 10019		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	1,000
PAVE ACADEMY CHARTER SCHOOL 732 HENRY STREET BROOKLYN,NY 11231		501(C)(7)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
SUSAN G KOMEN 5005 LBJ FREEWAY DALLAS,TX 75244		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
YALE CENTRE FOR BRITISH ART 1080 CHAPEL STREET NEW HAVEN,CT 06510		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,000
Total  3a				494,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE UNIVERSITY 1080 CHAPEL STREET NEW HAVEN,CT 06510		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
THE BOYS & GIRLS CLUB OF GREENWICH 4 HORSENECK LANE GREEWICH,CT 06830		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	1,000
THE MEETING HOUSE 133 EAST 58TH STREET NEW YORK,NY 10022		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	5,000
THE WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVENUE NEW YORK,NY 10021		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
URSINUS COLLEGE 601 E MAIN STREET COLLEGEVILLE,PA 19426		509(A)(1)	SUPPORT OF THE ORGANIZATION'S MISSION	25,000
Total  3a				494,500

TY 2013 Accounting Fees Schedule

Name: THE AARON AND BETTY LEE STERN FOUNDATION

EIN: 27-0771357

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	8,151	4,075		4,076

TY 2013 Applied to Prior Year Election

Name: THE AARON AND BETTY LEE STERN FOUNDATION

EIN: 27-0771357

Election: WE HEREBY ELECT TO APPLY \$89,505 OF CURRENT YEAR EXCESS
QUALIFYING DISTRIBUTIONS TO PRIOR YEAR.

TY 2013 Investments - Other Schedule

Name: THE AARON AND BETTY LEE STERN FOUNDATION

EIN: 27-0771357

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TIGER GLOBAL LTD CLASS C	FMV	837,944	6,000,365
J.P.MORGAN	FMV	3,563,641	3,609,682

TY 2013 Other Expenses Schedule

Name: THE AARON AND BETTY LEE STERN FOUNDATION

EIN: 27-0771357

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	2,921	1,461		1,460

TY 2013 Taxes Schedule

Name: THE AARON AND BETTY LEE STERN FOUNDATION

EIN: 27-0771357

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	202,852	0		0



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8	Box 1d Stock or Other Symbol	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
Description	Cusip							
ANALOG DEVICES INC	ADI 032654-10-5	08/20/2013 Various	1,340.000	64,180.99		59,103.71		5,077.28
AUTOMATIC DATA PROCESSING INC	ADP 053015-10-3	08/20/2013 Various	974.000	70,055.48		63,123.63		6,931.85
BAXTER INTERNATIONAL INC	BAX 071813-10-9	08/20/2013 Various	974.000	69,584.66		69,844.49		-259.83
BLACKROCK INC	BLK 09247X-10-1	08/20/2013 Various	285.000	75,993.08		70,787.94		5,205.14
CATERPILLAR INC	CAT 149123-10-1	08/20/2013 Various	847.000	71,030.04		70,148.67		881.37
CHEVRON CORP	CVX 166764-10-0	08/20/2013 Various	582.000	69,190.98		68,865.66		325.32
COLGATE PALMOLIVE CO	CL 194162-10-3	08/20/2013 Various	1,154.000	68,299.11		67,589.25		709.86

* GROSS PROCEEDSLESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED.
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8	Box 1d Stock or Other Symbol	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
Description	Cusip							
CONOCOPHILLIPS	COP 20825C-10-4	08/20/2013 Various	1,133.000	74,657.62		67,255.60		7,402.02
GENERAL MILLS INC	GIS 370334-10-4	08/20/2013 Various	1,436.000	71,120.82		69,955.17		1,165.65
HONEYWELL INTERNATIONAL INC	HON 438516-10-6	08/20/2013 Various	943.000	76,884.37		69,477.35		7,407.02
ILLINOIS TOOL WORKS INC	ITW 452308-10-9	08/20/2013 Various	897.000	64,555.52		55,243.48		9,312.04
JOHNSON & JOHNSON	JNJ 478160-10-4	08/20/2013 Various	816.000	73,667.85		66,979.86		6,687.99
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/19/2013 04/04/2013	38.000	4,091.12		3,641.70		449.42
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/20/2013 04/04/2013	72.000	7,627.36		6,900.06		727.30

* GROSS PROCEEDSLESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8 Description	Box 1d Stock or Other Symbol Cusip	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/20/2013 04/04/2013	41.000	4,365.67		3,929.20		436.47
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/21/2013 04/04/2013	66.000	6,961.98		6,325.06		636.92
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/21/2013 04/04/2013	30.000	3,165.94		2,875.03		290.91
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/21/2013 04/04/2013	24.000	2,527.15		2,300.02		227.13
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/24/2013 04/04/2013	69.000	7,187.03		6,612.56		574.47
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/24/2013 04/04/2013	5.000	522.14		479.17		42.97
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/25/2013 04/04/2013	25.000	2,599.07		2,395.86		203.21

* GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8 Description	Box 1d Stock or Other Symbol Cusip	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/25/2013 04/04/2013	20 000	2,076.26		1,916.68		159.58
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/26/2013 04/04/2013	40.000	4,219.60		3,833.37		386.23
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/26/2013 04/04/2013	2.000	210.64		191.67		18.97
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/27/2013 04/04/2013	69.000	7,391.74		6,612.56		779.18
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/27/2013 04/04/2013	18.000	1,924.93		1,725.01		199.92
LOCKHEED MARTIN CORP	LMT 539830-10-9	06/28/2013 Various	104.000	11,250.12		9,937.52		1,312.60
LOCKHEED MARTIN CORP	LMT 539830-10-9	07/01/2013 03/22/2013	40.000	4,329.49		3,703.40		626.09

* GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8	Box 1d Stock or Other Symbol	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
Description	Cusip							
MC DONALDS CORP	MCD	08/20/2013	701.000	67,040.44		69,853.71		-2,813.27
	580135-10-1	Various						
NEXTERA ENERGY INC	NEE	08/20/2013	889.000	73,137.20		69,870.72		3,266.48
	65339F-10-1	Various						
PEPSICO INC	PEP	08/20/2013	884.000	71,130.79		69,292.26		1,838.53
	713448-10-8	Various						
PROCTER & GAMBLE CO	PG	08/20/2013	865.000	68,983.93		67,796.22		1,187.71
	742718-10-9	Various						
STAPLES INC	SPLS	06/17/2013	605.000	9,946.33		8,078.61		1,867.72
	855030-10-2	Various						
STAPLES INC	SPLS	08/20/2013	4,678.000	77,961.25		61,443.20		16,518.05
	855030-10-2	04/04/2013						
THE TRAVELERS COMPANIES INC.	TRV	08/20/2013	879.000	70,701.49		74,059.87		-3,358.38
	89417E-10-9	Various						

* GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number: V 78423-00-7

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8 Description	Box 1d Stock or Other Symbol Cusip	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
WALGREEN CO	WAG 931422-10-9	04/24/2013 04/04/2013	133.000	6,540 32		6,200 31		340 01
WALGREEN CO	WAG 931422-10-9	08/20/2013 Various	1,439.000	69,723.22		67,033.12		2,690.10
XILINX CORP	XLNX 983919-10-1	08/20/2013 Various	1,376.000	61,169.01		50,307.71		10,861.30
Total Short-Term Covered				1,496,004.74		1,405,689.41		90,315.33

* GROSS PROCEEDSLESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED.
IF APPLICABLE, FEDERAL AND STATE INCOME TAX WITHHELD IS PRESENTED ON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.



JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

Federal Identification Number: 13-4994650
877/576-1658

AARON AND BETTY LEE STERN FOUNDATION
465 PARK AVE APT 23
NEW YORK, NY 10022-1943
Account Number W 91664-00-8

2013 Proceeds from Broker and Barter Exchange Transactions (Form 1099-B)

OMB No. 1545-0715

Copy B For Recipient - (Keep for your records) RECIPIENT'S Identification Number: 27-0771357

Box 1c: Short-Term Covered - Box 6b: Basis Reported to IRS

Box 8	Box 1d Stock or Other Symbol	Box 1a Date of sale or exchange Box 1b Date of acquisition	Box 1e Quantity Sold	Box 2a Stocks, bonds, etc*	Box 2b Check if loss not allowed based on amount in Box 2a	Box 3 Cost or other basis	Box 5 Wash sale loss disallowed	Gain or loss
Description	Cusip							
ISHARES MSCI ALL COUNTRY ASIA	AAXJ	06/21/2013	8,600.000	456,594.76		498,865.36		-42,270.60
EX JAPAN INDEX FUND	464288-18-2	03/21/2013						
Total Short-Term Covered				456,594.76		498,865.36		-42,270.60

* GROSS PROCEEDSLESS COMMISSIONS AND OPTION PREMIUMS ARE BEING REPORTED.
IF APPLICABLE, FEDERALAND STATE INCOME TAX WITHHELDIS PRESENTEDON THE FINAL PAGE OF FORM 1099-B

Department of the Treasury-Internal Revenue Service

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHERSANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.