Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

**▶ Do not enter Social Security numbers on this form as it may be made public.**

**▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf***

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013 or tax year beginning , 2013, and ending , 20**

Name of foundation THEODORE ELIOTT CHARITABLE TRUST AGENCY 2215937

**A** Employer identification number 27-0163539

Number and street (or P.O box number if mail is not delivered to street address) CAMBRIDGE TRUST CO., 75 STATE ST., 18TH FL

Room/suite

**B** Telephone number (see instructions) 617-441-1548

City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 02109

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,906,465.

**J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)

*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

APR 29 2014
ENVELOPE POSTMARK DATE

SCANNED MAY 09 2014

RECEIVED
802
MAY 02 2014
IRS-OSC
OGDEN, UT

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **Revenue** | | | | | |
| 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | | | | |
| 4 | Dividends and interest from securities | 38,922. | 38,922. | | STMT 1 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 119,978. | | | |
| b | Gross sales price for all assets on line 6a 719,583. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 119,978. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | **Total.** Add lines 1 through 11 | 158,900. | 158,900. | | |
| **Operating and Administrative Expenses** | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | | | | |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) STMT 3 | 1,175. | NONE | NONE | 1,175. |
| c | Other professional fees (attach schedule) STMT 4 | 16,300. | 16,300. | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instructions) STMT 5 | 1,992. | 1,992. | | |
| 19 | Depreciation (attach schedule) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 256. | | | 256. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (attach schedule) STMT 6 | 61. | 26. | | 35. |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 19,784. | 18,318. | NONE | 1,466. |
| 25 | Contributions, gifts, grants paid | 82,000. | | | 82,000. |
| 26 | **Total expenses and disbursements** Add lines 24 and 25 | 101,784. | 18,318. | NONE | 83,466. |
| 27 | Subtract line 26 from line 12 | | | | |
| a | **Excess of revenue over expenses and disbursements** | 57,116. | | | |
| b | **Net investment income** (if negative, enter -0-) | | 140,582. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | | |

NE
13

## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 155. | 95. | 95. |
| | 2 | Savings and temporary cash investments | 95,600. | 133,600. | 133,600. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | 103,223. | 88,169. | 87,651. |
| | b | Investments - corporate stock (attach schedule) | 855,281. | 786,032. | 1,085,972. |
| | c | Investments - corporate bonds (attach schedule) | 477,753. | 595,373. | 599,147. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ACCRUED INTEREST ) | 300. | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 1,532,312. | 1,603,269. | 1,906,465. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | NONE | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 1,532,312. | 1,603,269. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117,** ▶ ☐ **check here and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 1,532,312. | 1,603,269. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 1,532,312. | 1,603,269. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,532,312. |
| 2 | Enter amount from Part I, line 27a | 2 | 57,116. |
| 3 | Other increases not included in line 2 (itemize) ▶ BOOK TO TAX DIFFERENCE | 3 | 13,841. |
| 4 | Add lines 1, 2, and 3 | 4 | 1,603,269. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,603,269. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 719,583. | | 599,605. | 119,978. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col. (h)) |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | 119,978. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 119,978. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 82,542. | 1,683,469. | 0.049031 |
| 2011 | 80,608. | 1,678,698. | 0.048018 |
| 2010 | 42,852. | 1,611,120. | 0.026598 |
| 2009 | NONE | 1,346,947. | NONE |
| 2008 | | | |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.123647 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.030912 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 1,785,868. |
| 5 Multiply line 4 by line 3 | 5 | 55,205. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,406. |
| 7 Add lines 5 and 6 | 7 | 56,611. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 83,466. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | | |
|---|---|---|---|---|---|
| **1a** | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . .<br>Date of ruling or determination letter ________________ **(attach copy of letter if necessary - see instructions)** | | | | |
| **b** | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b . . . | | | 1 | 1,406. |
| **c** | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . | | | 2 | |
| 3 | Add lines 1 and 2 . . . | | | 3 | 1,406. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 4 | NONE |
| 5 | **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0- . . . | | | 5 | 1,406. |
| 6 | Credits/Payments | | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . | 6a | 876. | | |
| b | Exempt foreign organizations - tax withheld at source . . . | 6b | NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . | 6c | NONE | | |
| d | Backup withholding erroneously withheld . . . | 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d . . . | | | 7 | 876. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached . . . | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶ | | | 9 | 530. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶ | | | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax ▶** NONE **Refunded ▶** | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . | 1a | | X |
| **b** | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| **c** | Did the foundation file **Form 1120-POL** for this year? . . . | 1c | | X |
| **d** | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>**(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________ | | | |
| **e** | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____________ | | | |
| **2** | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . .<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| **3** | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . | 3 | | X |
| **4a** | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . | 4a | | X |
| **b** | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . | 4b | | |
| **5** | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . .<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| **6** | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . | 6 | X | |
| **7** | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| **8a** | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>MA | | | |
| **b** | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.* . . . | 8b | X | |
| **9** | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.* . . . | 9 | | X |
| **10** | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ CAMBRIDGE TRUST COMPANY Telephone no. ▶ (617)441-1548

Located at ▶ 75 STATE ST., 18TH FLOOR, BOSTON, MA ZIP+4 ▶ 02109

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . . . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . . . . . . . . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . . . . . . . . . . . ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . . . . . . . . **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . . . . . . . . . . . . . . . . . . ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . . . . . . . . . . . . . . . ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . . . . . . **6b** X

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . . . . . **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MARICA ARVANITES<br>20 FOSTER STREET, EVERETT, MA 02149 | CO-TRUSTEE | -0- | -0- | -0- |
| CHARLES KARVELAS<br>109 WIDECOMBE CT, CARY, NC 27513 | CO-TRUSTEE | -0- | -0- | -0- |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ NONE

Form **990-PF** (2013)

27-0163539

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | NONE |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 1,714,484. |
| b | Average of monthly cash balances | 1b | 98,580. |
| c | Fair market value of all other assets (see instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 1,813,064. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 1,813,064. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 27,196. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,785,868. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 89,293. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 89,293. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 1,406. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,406. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 87,887. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 87,887. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 87,887. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 83,466. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 83,466. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 1,406. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 82,060. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2013 from Part XI, line 7 | | | | 87,887. |
| 2 | Undistributed income, if any, as of the end of 2013 | | | | |
| a | Enter amount for 2012 only | | | 81,173. | |
| b | Total for prior years 20 11 ,20____,20____ | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2013 | | | | |
| a | From 2008 NONE | | | | |
| b | From 2009 NONE | | | | |
| c | From 2010 NONE | | | | |
| d | From 2011 NONE | | | | |
| e | From 2012 NONE | | | | |
| f | **Total** of lines 3a through e | NONE | | | |
| 4 | Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 83,466. | | | | |
| a | Applied to 2012, but not more than line 2a | | | 81,173. | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | NONE | | | |
| d | Applied to 2013 distributable amount | | | | 2,293. |
| e | Remaining amount distributed out of corpus | NONE | | | |
| 5 | Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | NONE | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d | Subtract line 6c from line 6b. Taxable amount - see instructions | | NONE | | |
| e | Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions | | | | |
| f | Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 85,594. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | NONE | | | |
| 8 | Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | NONE | | | |
| 9 | **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2009 NONE | | | | |
| b | Excess from 2010 NONE | | | | |
| c | Excess from 2011 NONE | | | | |
| d | Excess from 2012 NONE | | | | |
| e | Excess from 2013 NONE | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . . . . . . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test - enter<br>**(1)** Value of all assets . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i). . . . . | | | | | |
| **b** "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . | | | | | |
| **c** "Support" alternative test - enter<br>**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization. . . . . . | | | | | |
| **(4)** Gross investment income . | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

**b** The form in which applications should be submitted and information and materials they should include.

N/A

**c** Any submission deadlines:

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

## Part XV Supplementary Information (continued)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE ATTACHED STATEMENT | NONE | N/A | FOR ORGANIZATION'S GENERAL PURPOSES | 82,000. |
| **Total** . . . . . . . . ▶ 3a | | | | 82,000. |
| b *Approved for future payment* | | | | |
| **Total** . . . . . . . . ▶ 3b | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 38,922. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 119,978. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 158,900. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 158,900.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of. | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ 4/24/14 ▶CO - TRUSTEE

Signature of officer or trustee Date Title

CHARLES KARVELAS

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| GORDON POWERS | | 04/22/2014 | | P00260194 |

Firm's name ▶ERNST & YOUNG U.S. LLP Firm's EIN ▶ 34-6565596

Firm's address ▶200 CLARENDON STREET
BOSTON, MA 02116 Phone no 617-587-9019

**SCHEDULE D**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

**2013**

Name of estate or trust: THEODORE ELIOTT CHARITABLE TRUST AGENCY

**Employer identification number**: 27-0163539

**Note:** *Form 5227 filers need to complete **only** Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| See instructions for how to figure the amounts to enter on the lines below.<br>This form may be easier to complete if you round off cents to whole dollars. | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **1a** Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| **1b** Totals for all transactions reported on Form(s) 8949 with **Box A** checked | | | | |
| **2** Totals for all transactions reported on Form(s) 8949 with **Box B** checked | | | | |
| **3** Totals for all transactions reported on Form(s) 8949 with **Box C** checked | 106,969. | 97,457. | | 9,512. |

| | | |
|---|---|---|
| **4** Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 4 | |
| **5** Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 5 | |
| **6** Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | 6 | ( ) |
| **7** **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶ | 7 | 9,512. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| See instructions for how to figure the amounts to enter on the lines below.<br>This form may be easier to complete if you round off cents to whole dollars. | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **8a** Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| **8b** Totals for all transactions reported on Form(s) 8949 with **Box D** checked | | | | |
| **9** Totals for all transactions reported on Form(s) 8949 with **Box E** checked | | | | |
| **10** Totals for all transactions reported on Form(s) 8949 with **Box F** checked | 612,278. | 502,148. | | 110,130. |

| | | |
|---|---|---|
| **11** Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 11 | |
| **12** Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 12 | |
| **13** Capital gain distributions | 13 | 336. |
| **14** Gain from Form 4797, Part I | 14 | |
| **15** Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | 15 | ( ) |
| **16** **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶ | 16 | 110,466. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D (Form 1041) 2013**

JSA
3F1210 1 000

## Part III Summary of Parts I and II

**Caution:** *Read the instructions* **before** *completing this part.*

| | | | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 17 | **Net short-term gain or (loss)** | 17 | | | 9,512. |
| 18 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 18a | | | 110,466. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 18b | | | |
| c | 28% rate gain | 18c | | | |
| 19 | **Total net gain or (loss).** Combine lines 17 and 18a ▶ | 19 | | | 119,978. |

**Note** *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

## Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

**Note:** *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if.*

- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . 21
22 Enter the **smaller** of line 18a or 19 in column (2) but not less than zero . . . 22
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . 23
24 Add lines 22 and 23 . . . 24
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ 25
26 Subtract line 25 from line 24. If zero or less, enter -0- . . . 26
27 Subtract line 26 from line 21. If zero or less, enter -0- . . . 27
28 Enter the **smaller** of the amount on line 21 or $2,450 . . . 28
29 Enter the **smaller** of the amount on line 27 or line 28 . . . 29
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . ▶ 30
31 Enter the smaller of line 21 or line 26 . . . 31
32 Subtract line 30 from line 26 . . . 32
33 Enter the **smaller** of line 21 or $11,950 . . . 33
34 Add lines 27 and 30 . . . 34
35 Subtract line 34 from line 33. If zero or less, enter -0- . . . 35
36 Enter the **smaller** of line 32 or line 35 . . . 36
37 Multiply line 36 by 15% . . . ▶ 37
38 Enter the amount from line 31 . . . 38
39 Add lines 30 and 36 . . . 39
40 Subtract line 39 from line 38. If zero or less, enter -0- . . . 40
41 Multiply line 40 by 20% . . . ▶ 41
42 Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 42
43 Add lines 37, 41, and 42 . . . 43
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 44
45 **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . ▶ 45

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**

Attachment Sequence No **12A**

Name(s) shown on return: THEODORE ELIOTT CHARITABLE TRUST AGENCY

Social security number or taxpayer identification number: 27-0163539

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

| 1<br>(a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr.) | (c) Date sold or disposed (Mo., day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**<br>(f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 25. ABBOTT LABS | 12/20/2012 | 04/16/2013 | 906.00 | 783.00 | | | 123.00 |
| 65. ABBOTT LABS | 07/03/2013 | 11/08/2013 | 2,435.00 | 2,233.00 | | | 202.00 |
| 35. ADOBE SYS INC | 01/22/2013 | 04/16/2013 | 1,577.00 | 1,326.00 | | | 251.00 |
| 50. ADOBE SYS INC | 06/06/2013 | 11/08/2013 | 2,675.00 | 2,182.00 | | | 493.00 |
| 70. AMERICAN INTL GROUP IN | 06/06/2013 | 11/08/2013 | 3,361.00 | 3,089.00 | | | 272.00 |
| 20. ANADARKO PETE CO | 03/26/2013 | 11/08/2013 | 1,795.00 | 1,773.00 | | | 22.00 |
| 30. BED BATH BEYOND INC | 09/14/2012 | 04/16/2013 | 1,960.00 | 2,136.00 | | | -176.00 |
| 20. BURBERRY GROUP PLC SPO | 02/01/2013 | 04/16/2013 | 771 00 | 876.00 | | | -105.00 |
| 50. BURBERRY GROUP PLC SPO | 09/05/2013 | 11/08/2013 | 2,373.00 | 2,469.00 | | | -96.00 |
| 35. CVS CORP | 07/19/2012 | 04/16/2013 | 1,991.00 | 1,604.00 | | | 387.00 |
| 35. CITRIX SYSTEMS INC | 02/04/2013 | 04/16/2013 | 2,444.00 | 2,555.00 | | | -111.00 |
| 125. CLEAN HARBORS INC | 07/25/2012 | 03/01/2013 | 6,297.00 | 7,386.00 | | | -1,089.00 |
| 20. CYTEC INDS INC | 09/14/2012 | 04/16/2013 | 1,468.00 | 1,378.00 | | | 90.00 |
| 55. DEAN FOODS COMPANY | 09/14/2012 | 04/16/2013 | 1,012.00 | 889.00 | | | 123.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**
JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**

Attachment Sequence No **12A**

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh. XYZ Co ) | (b) Date acquired (Mo., day, yr.) | (c) Date sold or disposed (Mo., day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**<br>(f) Code(s) from instructions | <br>(g) Amount of adjustment | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 585. DEAN FOODS COMPANY | 09/14/2012 | 07/03/2013 | 5,914.00 | 4,477.00 | | | 1,437.00 |
| 55. DISNEY WALT CO | 01/22/2013 | 04/16/2013 | 3,298.00 | 2,896.00 | | | 402.00 |
| 70. DISNEY WALT CO | 01/22/2013 | 05/17/2013 | 4,633.00 | 3,686.00 | | | 947.00 |
| 50. DISNEY WALT CO | 01/22/2013 | 11/08/2013 | 3,384.00 | 2,633.00 | | | 751.00 |
| 40. FREEPORT-MCMORAN COPPE | 08/22/2013 | 11/08/2013 | 1,424 00 | 1,259.00 | | | 165.00 |
| 195. HUNTINGTON BANCSHARES | 09/14/2012 | 04/16/2013 | 1,383.00 | 1,412.00 | | | -29.00 |
| 310. HUNTINGTON BANCSHARES | 06/06/2013 | 11/08/2013 | 2,682.00 | 2,350.00 | | | 332.00 |
| 15. ISHARES MSCI SOUTH KOR INDEX FU | 01/10/2013 | 04/16/2013 | 845.00 | 951 00 | | | -106.00 |
| 155. ISHARES MSCI SOUTH KO INDEX FU | 01/10/2013 | 04/24/2013 | 8,755.00 | 9,828.00 | | | -1,073.00 |
| 65. MERCK & CO INC NEW | 06/21/2012 | 04/16/2013 | 3,034.00 | 2,574.00 | | | 460.00 |
| 5. O'REILLY AUTOMOTIVE INC | 07/11/2012 | 04/16/2013 | 500.00 | 439.00 | | | 61.00 |
| 15. O'REILLY AUTOMOTIVE IN | 10/31/2013 | 11/08/2013 | 1,849.00 | 1,863.00 | | | -14.00 |
| 15. PNC BANK CORP | 01/22/2013 | 11/08/2013 | 1,100.00 | 931 00 | | | 169.00 |
| 15 PARKER HANNIFIN CORP | 09/04/2013 | 11/08/2013 | 1,727 00 | 1,527.00 | | | 200.00 |
| **2 Totals** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**

Attachment
Sequence No **12A**

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

| 1<br>(a)<br>Description of property<br>(Example 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr.) | (c)<br>Date sold or disposed<br>(Mo., day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** | | (h)<br>**Gain or (loss)**<br>Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| | | | | | (f)<br>Code(s) from instructions | (g)<br>Amount of adjustment | |
| 20. PHILLIPS 66 | 08/13/2012 | 04/16/2013 | 1,173.00 | 804.00 | | | 369.00 |
| 70. PHILLIPS 66 | 08/13/2012 | 06/06/2013 | 4,502.00 | 2,812.00 | | | 1,690.00 |
| 20. PRAXAIR INC | 06/06/2013 | 11/08/2013 | 2,477.00 | 2,287.00 | | | 190.00 |
| 80. RANGE RESOURCES CORP | 12/31/2012 | 03/26/2013 | 6,579.00 | 4,984.00 | | | 1,595 00 |
| 20 ROCHE HLDG LTD | 09/19/2013 | 11/08/2013 | 1,355.00 | 1,321.00 | | | 34.00 |
| 25. SANOFI AVENTIS ADR | 12/05/2012 | 04/16/2013 | 1,351.00 | 1,151.00 | | | 200.00 |
| 30. SANOFI AVENTIS ADR | 12/05/2012 | 11/08/2013 | 1,546.00 | 1,381.00 | | | 165.00 |
| 90. US BANCORP DEL | 07/03/2013 | 11/08/2013 | 3,358.00 | 3,270.00 | | | 88.00 |
| 65. UNILEVER NV NEW YORK S | 04/19/2012 | 04/16/2013 | 2,685.00 | 2,183.00 | | | 502.00 |
| .435 WHITEWAVE FOODS CO CL | 09/14/2012 | 06/19/2013 | 7.00 | 6.00 | | | 1.00 |
| 105. WHITEWAVE FOODS CO CL | 05/28/2013 | 11/08/2013 | 2,110.00 | 1,846.00 | | | 264.00 |
| .824 WHITEWAVE FOODS CO CL | 09/14/2012 | 06/19/2013 | 14.00 | 11.00 | | | 3.00 |
| 60. WISDOMTREE JAPAN HEDGE | 09/19/2013 | 11/08/2013 | 2,806.00 | 2,936.00 | | | -130.00 |
| 40. CHECK POINT SOFTWARE T | 07/31/2012 | 04/16/2013 | 1,835.00 | 1,950 00 | | | -115.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949*.

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

| 1<br>(a)<br>Description of property (Example 100 sh XYZ Co ) | (b)<br>Date acquired (Mo , day, yr ) | (c)<br>Date sold or disposed (Mo., day, yr.) | (d)<br>Proceeds (sales price) (see instructions) | (e)<br>Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**<br>(f)<br>Code(s) from instructions | (g)<br>Amount of adjustment | (h)<br>**Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 60. CHECK POINT SOFTWARE T | 05/17/2013 | 11/08/2013 | 3,578.00 | 3,010.00 | | | 568.00 |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 106,969. | 97,457. | | | 9,512. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

JSA
3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side )

THEODORE ELIOTT CHARITABLE TRUST AGENCY

**Social security number or taxpayer identification number**

27-0163539

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo., day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** | | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 25. ANHEUSER-BUSCH INBEV S | 12/02/2011 | 04/16/2013 | 2,471.00 | 1,495.00 | | | 976.00 |
| 40. ANHEUSER-BUSCH INBEV S | 12/02/2011 | 05/23/2013 | 3,810.00 | 2,392.00 | | | 1,418 00 |
| 105. ANHEUSER-BUSCH INBEV | 12/02/2011 | 07/03/2013 | 9,152.00 | 6,213.00 | | | 2,939.00 |
| 10. ANHEUSER-BUSCH INBEV S | 11/23/2011 | 11/08/2013 | 1,003.00 | 572.00 | | | 431.00 |
| 5. APPLE COMPUTER INC | 08/05/2011 | 04/16/2013 | 2,118.00 | 1,869 00 | | | 249.00 |
| 120. BED BATH BEYOND INC | 09/14/2012 | 10/10/2013 | 9,178.00 | 8,388.00 | | | 790.00 |
| 20. BED BATH BEYOND INC | 08/13/2012 | 11/08/2013 | 1,502.00 | 1,267.00 | | | 235.00 |
| 35. CVS CORP | 07/19/2012 | 11/08/2013 | 2,222.00 | 1,604.00 | | | 618.00 |
| 45. COCA COLA CO | 08/08/2011 | 04/16/2013 | 1,896.00 | 1,481.00 | | | 415.00 |
| 455. COCA COLA CO | 08/08/2011 | 09/19/2013 | 17,860.00 | 14,971.00 | | | 2,889.00 |
| 30. COGNIZANT TECHNOLOGY S | 03/01/2012 | 04/16/2013 | 2,215.00 | 2,142.00 | | | 73.00 |
| 30. COGNIZANT TECHNOLOGY S | 03/01/2012 | 11/08/2013 | 2,682.00 | 2,142.00 | | | 540.00 |
| 50. COGNIZANT TECHNOLOGY S | 03/01/2012 | 11/22/2013 | 4,666.00 | 3,569.00 | | | 1,097.00 |
| 25. CYTEC INDS INC | 09/14/2012 | 11/08/2013 | 2,062.00 | 1,722.00 | | | 340.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side )

THEODORE ELIOTT CHARITABLE TRUST AGENCY

**Social security number or taxpayer identification number**

27-0163539

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 10. EOG RESOURCES INC | 12/15/2011 | 04/16/2013 | 1,177.00 | 946.00 | | | 231.00 |
| 10. EOG RESOURCES INC | 12/15/2011 | 11/08/2013 | 1,713.00 | 946.00 | | | 767.00 |
| 115. ECOLAB INC COM | 04/30/2010 | 01/08/2013 | 8,387.00 | 5,637.00 | | | 2,750.00 |
| 15. ECOLAB INC COM | 04/30/2010 | 04/16/2013 | 1,229.00 | 735.00 | | | 494.00 |
| 70. ECOLAB INC COM | 05/13/2010 | 05/23/2013 | 6,109.00 | 3,323.00 | | | 2,786.00 |
| 10. ECOLAB INC COM | 12/09/2009 | 11/08/2013 | 1,048 00 | 448 00 | | | 600.00 |
| 20. EXXON MOBIL CORP | 09/01/2010 | 04/16/2013 | 1,731 00 | 1,207 00 | | | 524.00 |
| 20. EXXON MOBIL CORP | 09/01/2010 | 11/08/2013 | 1,836.00 | 1,207.00 | | | 629.00 |
| 25. FMC CORP | 07/18/2011 | 04/16/2013 | 1,437.00 | 1,073.00 | | | 364.00 |
| 30. FMC CORP | 07/18/2011 | 11/08/2013 | 2,156.00 | 1,287.00 | | | 869.00 |
| 50000. FEDERAL HOME LOAN B 08/07/2006 | 06/15/2009 | 09/13/2013 | 50,000 00 | 50,000.00 | | | |
| 45. FOMENTO ECONOMICO MEXI DE C.V | 03/30/2012 | 04/16/2013 | 5,559 00 | 3,670.00 | | | 1,889.00 |
| 85. FOMENTO ECONOMICO MEXI DE C.V | 03/30/2012 | 04/25/2013 | 9,751.00 | 6,932.00 | | | 2,819.00 |
| 230. FORD MTR CO DEL NEW | 01/05/2011 | 04/16/2013 | 2,967.00 | 4,068.00 | | | -1,101.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side )
THEODORE ELIOTT CHARITABLE TRUST AGENCY

**Social security number or taxpayer identification number**
27-0163539

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh. XYZ Co ) | (b) Date acquired (Mo , day, yr.) | (c) Date sold or disposed (Mo., day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** | | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 945. FORD MTR CO DEL NEW | 03/25/2011 | 10/10/2013 | 15,928.00 | 15,319.00 | | | 609.00 |
| 150. FORD MTR CO DEL NEW | 03/25/2011 | 11/08/2013 | 2,486.00 | 2,259.00 | | | 227.00 |
| 325. GENERAL ELECTRIC CO | 02/03/2011 | 04/08/2013 | 7,455.00 | 6,734.00 | | | 721.00 |
| 155. GENERAL ELECTRIC CO | 02/03/2011 | 04/16/2013 | 3,548.00 | 3,212.00 | | | 336.00 |
| 195. GENERAL ELECTRIC CO | 02/03/2011 | 10/31/2013 | 5,108.00 | 4,040.00 | | | 1,068.00 |
| 150. GENERAL ELECTRIC CO | 02/03/2011 | 11/08/2013 | 3,979.00 | 3,108.00 | | | 871.00 |
| 395. GENERAL ELECTRIC CO | 07/25/2011 | 11/22/2013 | 10,654.00 | 7,734.00 | | | 2,920.00 |
| 5. GOOGLE INC CLASS A | 10/04/2012 | 11/08/2013 | 5,043.00 | 3,833.00 | | | 1,210.00 |
| 16. GOOGLE INC CLASS A | 12/17/2012 | 12/23/2013 | 17,811.00 | 11,976.00 | | | 5,835.00 |
| 205. HEXCEL CORPORATION | 11/18/2010 | 01/30/2013 | 5,523.00 | 3,584.00 | | | 1,939.00 |
| 190. HEXCEL CORPORATION | 11/18/2010 | 02/04/2013 | 5,040.00 | 2,904 00 | | | 2,136.00 |
| 35. HEXCEL CORPORATION | 08/04/2009 | 04/16/2013 | 994.00 | 382.00 | | | 612.00 |
| 40. HEXCEL CORPORATION | 08/04/2009 | 11/08/2013 | 1,710.00 | 436.00 | | | 1,274.00 |
| 50000. INTL BUSINESS MACHI<br>DATED 10/15/2008 6.5% 1 | 06/12/2009 | 10/15/2013 | 50,000.00 | 50,000.00 | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | **Social security number or taxpayer identification number** |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo., day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 55. ISHARES MSCI GERMANY I | 03/16/2012 | 04/16/2013 | 1,350.00 | 1,304.00 | | | 46.00 |
| 65. ISHARES MSCI GERMANY I | 03/16/2012 | 11/08/2013 | 1,872.00 | 1,541.00 | | | 331.00 |
| 65. ISHARES MSCI EMERGING | 01/20/2012 | 04/16/2013 | 2,697.00 | 2,690.00 | | | 7 00 |
| 75. ISHARES MSCI EMERGING | 06/22/2012 | 11/08/2013 | 3,067.00 | 2,945 00 | | | 122.00 |
| 35. JOHNSON & JOHNSON | 05/13/2010 | 04/16/2013 | 2,908.00 | 2,274 00 | | | 634.00 |
| 35. JOHNSON & JOHNSON | 01/20/2010 | 11/08/2013 | 3,241.00 | 2,269.00 | | | 972.00 |
| 45. MCKESSON HBOC INC | 08/03/2011 | 04/08/2013 | 4,819.00 | 3,512.00 | | | 1,307.00 |
| 15. MCKESSON HBOC INC | 08/03/2011 | 04/16/2013 | 1,604.00 | 1,171.00 | | | 433.00 |
| 70. MCKESSON HBOC INC | 08/03/2011 | 10/10/2013 | 9,629.00 | 5,463.00 | | | 4,166.00 |
| 10. MCKESSON HBOC INC | 08/03/2011 | 11/08/2013 | 1,560.00 | 780.00 | | | 780.00 |
| 70. MERCK & CO INC NEW | 06/21/2012 | 11/08/2013 | 3,221.00 | 2,772.00 | | | 449.00 |
| 235. MERCK & CO INC NEW | 06/21/2012 | 11/22/2013 | 11,481.00 | 9,141.00 | | | 2,340.00 |
| 40. MICROSOFT CORP | 07/20/2009 | 04/16/2013 | 1,154.00 | 975.00 | | | 179.00 |
| 50. MICROSOFT CORP | 07/20/2009 | 11/08/2013 | 1,878.00 | 1,217.00 | | | 661.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2013)

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | **Social security number or taxpayer identification number** |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo., day, yr ) | (c) Date sold or disposed (Mo., day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** | | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 25. NESTLE S A ADR | 08/07/2009 | 04/16/2013 | 1,777.00 | 1,008.00 | | | 769.00 |
| 70. NESTLE S A ADR | 07/20/2009 | 05/23/2013 | 4,749.00 | 2,809.00 | | | 1,940.00 |
| 25. NESTLE S A ADR | 07/20/2009 | 11/08/2013 | 1,790.00 | 1,003.00 | | | 787.00 |
| 15. NEXTERA ENERGY INC | 08/03/2011 | 04/16/2013 | 1,193.00 | 807 00 | | | 386.00 |
| 20. NEXTERA ENERGY INC | 08/03/2011 | 11/08/2013 | 1,722.00 | 1,076 00 | | | 646.00 |
| 45. PNC BANK CORP | 03/21/2012 | 04/16/2013 | 2,893.00 | 2,862.00 | | | 31.00 |
| 35. PNC BANK CORP | 03/21/2012 | 11/08/2013 | 2,566.00 | 2,226.00 | | | 340.00 |
| 25000. PETROBRAS INTL DATE 3.5% 02 | 02/08/2012 | 08/28/2013 | 24,956.00 | 25,066.00 | | | -110.00 |
| 15. PHILLIPS 66 | 08/13/2012 | 11/08/2013 | 954.00 | 603.00 | | | 351.00 |
| 150. PHILLIPS 66 | 08/13/2012 | 12/05/2013 | 10,454.00 | 6,027.00 | | | 4,427.00 |
| 15 PRAXAIR INC | 08/07/2009 | 04/16/2013 | 1,640.00 | 1,174.00 | | | 466.00 |
| 40. RANGE RESOURCES CORP | 05/13/2010 | 03/26/2013 | 3,289.00 | 2,030.00 | | | 1,259.00 |
| 15. RANGE RESOURCES CORP | 05/13/2010 | 04/16/2013 | 1,108.00 | 731.00 | | | 377.00 |
| 20. RANGE RESOURCES CORP | 04/30/2010 | 11/08/2013 | 1,493 00 | 957.00 | | | 536.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | Social security number or taxpayer identification number |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh. XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo., day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** | | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 1115. SPDR SERIES TRUST BA YIELD BO | 04/11/2012 | 07/01/2013 | 43,907.00 | 42,881 00 | | | 1,026.00 |
| 35. SVB FINANCIAL GROUP | 07/08/2011 | 04/08/2013 | 2,371 00 | 2,127.00 | | | 244.00 |
| 10. SVB FINANCIAL GROUP | 07/08/2011 | 04/16/2013 | 673 00 | 608.00 | | | 65.00 |
| 15. SVB FINANCIAL GROUP | 07/08/2011 | 11/08/2013 | 1,430.00 | 911.00 | | | 519.00 |
| 50. SCHLUMBERGER LTD | 02/07/2012 | 04/16/2013 | 3,641.00 | 4,284.00 | | | -643.00 |
| 55 SCHLUMBERGER LTD | 02/07/2012 | 11/08/2013 | 5,083.00 | 4,341 00 | | | 742.00 |
| 35. TARGET CORP | 12/01/2010 | 04/16/2013 | 2,377.00 | 2,027 00 | | | 350.00 |
| 220. TARGET CORP | 03/11/2011 | 09/04/2013 | 13,986.00 | 12,480.00 | | | 1,506.00 |
| 15. TARGET CORP | 03/11/2011 | 11/08/2013 | 974.00 | 777.00 | | | 197.00 |
| 160. TARGET CORP | 03/11/2011 | 12/05/2013 | 10,061.00 | 8,292.00 | | | 1,769.00 |
| 30. TRAVELERS COS INC | 03/15/2010 | 04/16/2013 | 2,563.00 | 1,576.00 | | | 987.00 |
| 120. TRAVELERS COS INC | 03/15/2010 | 08/07/2013 | 9,821.00 | 6,304.00 | | | 3,517.00 |
| 20. TRAVELERS COS INC | 03/15/2010 | 11/08/2013 | 1,743.00 | 1,051 00 | | | 692.00 |
| 65. US BANCORP DEL | 07/14/2010 | 04/16/2013 | 2,116.00 | 1,574 00 | | | 542.00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | **Social security number or taxpayer identification number** |
|---|---|
| THEODORE ELIOTT CHARITABLE TRUST AGENCY | 27-0163539 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

## Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| **1** (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr.) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | **Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 70. UNILEVER NV NEW YORK S | 04/19/2012 | 11/08/2013 | 2,676.00 | 2,351.00 | | | 325.00 |
| 85. UNION PAC CORP | 08/04/2009 | 02/01/2013 | 11,368.00 | 5,134 00 | | | 6,234.00 |
| 5. UNION PAC CORP | 08/04/2009 | 04/16/2013 | 693.00 | 302 00 | | | 391.00 |
| 5. UNION PAC CORP | 08/04/2009 | 11/08/2013 | 767.00 | 302.00 | | | 465.00 |
| 385. VANGUARD HIGH DIVIDEN | 05/23/2011 | 02/21/2013 | 20,298 00 | 17,429.00 | | | 2,869.00 |
| 50. VANGUARD HIGH DIVIDEND | 05/23/2011 | 04/16/2013 | 2,754.00 | 2,264.00 | | | 490.00 |
| 185. VANGUARD HIGH DIVIDEN | 05/23/2011 | 07/10/2013 | 10,700.00 | 8,375.00 | | | 2,325.00 |
| 340. VANGUARD HIGH DIVIDEN | 05/23/2011 | 08/22/2013 | 19,414.00 | 15,392.00 | | | 4,022.00 |
| 355 WHITEWAVE FOODS CO CL | 09/14/2012 | 11/22/2013 | 7,463.00 | 4,878.00 | | | 2,585.00 |
| 20. ACCENTURE PLC IRELAND | 08/04/2009 | 04/16/2013 | 1,532.00 | 714.00 | | | 818.00 |
| 25. ACCENTURE PLC IRELAND | 08/04/2009 | 11/08/2013 | 1,891.00 | 892.00 | | | 999.00 |
| 70. ACCENTURE PLC IRELAND | 08/07/2009 | 12/05/2013 | 5,307.00 | 2,498.00 | | | 2,809.00 |
| 130. RENAISSANCERE HLDGS L | 03/16/2012 | 04/16/2013 | 11,547.00 | 9,584.00 | | | 1,963.00 |
| 25. VALIDUS HOLDINGS LTD | 01/20/2012 | 04/16/2013 | 915.00 | 806.00 | | | 109 00 |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | | | | | |

**Note** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side )

THEODORE ELIOTT CHARITABLE TRUST AGENCY

**Social security number or taxpayer identification number**

27-0163539

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh. XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| 275. VALIDUS HOLDINGS LTD | 03/16/2012 | 06/06/2013 | 9,926.00 | 8,734.00 | | | 1,192.00 |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 612,278. | 502,148. | | | 110,130. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

ACCT 2215937
ASSETS HELD 12/31/2013
VALUED AS OF 12/31/2013

CAMBRIDGE TRUST COMPANY
SCHEDULE OF INVESTMENTS
THEODORE ELIOTT CHARITABLE
TRUST AGENCY

| UNITS | DESCRIPTION | BOOK VALUE | TAX COST | CURRENT PRICE | MARKET VALUE | EST INC | CURRENT YIELD |
|---|---|---|---|---|---|---|---|
| | U S GOVERNMENT OBLIGATIONS<br>========================== | | | | | | |
| 50,000 | FEDERAL HOME LN MTG CORP DATED 01/30/2004 CALLABLE 01/30/2007 @ 100 5% 01/30/2014 | 50,707.27 | 50,707.27 | 100.378 | 50,189.00 | 2,500 | 5.0 |
| | CORPORATE BONDS<br>=============== | | | | | | |
| 75,000 | GENERAL ELECTRIC CAP CORP DATED 09/17/2004 4.75% 09/15/2014 | 76,546.21 | 76,546.21 | 103.134 | 77,350.50 | 3,563 | 4.6 |
| 50,000 | WESTPAC BANKING CORP DATED 08/27/2009 4.2% 02/27/2015 | 50,309.82 | 50,309.82 | 104.256 | 52,128.00 | 2,100 | 4.0 |
| 50,000 | PEPSIAMERICAS INC DATED 05/18/2005 5% 05/15/2017 | 52,975.79 | 52,975.79 | 110.143 | 55,071.50 | 2,500 | 4.5 |
| 50,000 | GOLDMAN SACHS GP DATED 08/30/2007 6.25% 09/01/2017 | 57,830.00 | 57,830.00 | 114.486 | 57,243.00 | 3,125 | 5.5 |
| 25,000 | LIBERTY PROPERTY LP DATED 09/25/2007 MAKE WHOLE @ 35/CALLABLE 6.625% 10/01/2017 | 27,944.26 | 27,944.26 | 114.513 | 28,628.25 | 1,656 | 5.8 |
| 25,000 | DUKE ENERGY FLORIDA INC DATED 06/18/2008 MAKE WHOLE @ 25 UNTIL 06/15/2018 5.65% 06/15/2018 | 29,159.12 | 29,159.12 | 114.611 | 28,652.75 | 1,413 | 4.9 |
| 50,000 | CISCO SYSTEMS INC DATED 02/17/2009 4.95% 02/15/2019 | 56,913.50 | 56,913.50 | 112.483 | 56,241.50 | 2,475 | 4.4 |
| 50,000 | PROCTER & GAMBLE CO DATED 02/06/2009 MAKE WHOLE @ 35 4.7% 02/15/2019 | 58,330.18 | 58,330.18 | 111.694 | 55,847.00 | 2,350 | 4.2 |
| 75,000 | BECTON DICKINSON & CO DATED 5/15/2009 5% 05/15/2019 | 80,859.58 | 80,859.58 | 112.127 | 84,095.25 | 3,750 | 4.5 |
| 50,000 | VERIZON COMMUNICATIONS DATED 09/18/2013 MAKE WHOLE @ 40 UNTIL 09/15/2023 5.15% 09/15/2023 | 54,395.50 | 54,395.50 | 107.369 | 53,684.50 | 2,575 | 4.8 |
| | TOTAL CORPORATE BONDS | 545,263.96 | 545,263.96 | | 548,942.25 | 25,507 | 4.6 |

ACCT 2215937
ASSETS HELD 12/31/2013
VALUED AS OF 12/31/2013

CAMBRIDGE TRUST COMPANY
SCHEDULE OF INVESTMENTS
THEODORE ELIOTT CHARITABLE
TRUST AGENCY

| UNITS | DESCRIPTION | BOOK VALUE | TAX COST | CURRENT PRICE | MARKET VALUE | EST INC | CURRENT YIELD |
|---|---|---|---|---|---|---|---|
| | TAXABLE FUNDS<br>============= | | | | | | |
| 4,401.768 | FEDERATED INSTL TR HIGH YIELD BOND FUND | 44,061.70 | 44,061.70 | 10.21 | 44,942.05 | 3,015 | 6.7 |
| 568.928 | FEDERATED EMERGING MARKETS DEBT FUND INSTL SHARES | 6,047.70 | 6,047.70 | 9.25 | 5,262.58 | 294 | 5.6 |
| | TOTAL TAXABLE FUNDS | 50,109.40 | 50,109.40 | | 50,204.63 | 3,309 | 6.6 |
| | TAX EXEMPT FUNDS<br>================ | | | | | | |
| 3,735 | FEDERATED INSTL TR MUNI ULTRASHORT (FUND #253) | 37,462.05 | 37,462.05 | 10.03 | 37,462.05 | 284 | 0.8 |
| | COMMON STOCK<br>============ | | | | | | |
| 550 | ABBOTT LABS | 18,069.03 | 17,971.22 | 38.33 | 21,081.50 | 484 | 2.3 |
| 435 | ADOBE SYSTEMS INC | 16,818.91 | 16,556.73 | 59.879 | 26,047.37 | 11 | 0.0 |
| 600 | AMERICAN INTL GROUP INC | 24,112.75 | 23,837.39 | 51.05 | 30,630.00 | 240 | 0.8 |
| 170 | ANADARKO PETROLEUM CORPORATION | 14,871.09 | 14,847.28 | 79.32 | 13,484.40 | 122 | 0.9 |
| 110 | ANHEUSER-BUSCH INBEV SPON ADR | 6,434.44 | 6,291.98 | 106.46 | 11,710.60 | 275 | 2.3 |
| 85 | APPLE INC | 34,667.17 | 34,657.57 | 561.02 | 47,686.70 | 1,037 | 2.2 |
| 205 | BED BATH BEYOND INC | 13,382.38 | 12,687.79 | 80.30 | 16,461.50 | 0 | 0.0 |
| 410 | BURBERRY GROUP PLC SPONSORED ADR | 18,634.69 | 18,438.04 | 50.218 | 20,589.38 | 364 | 1.8 |
| 320 | CVS CAREMARK CORP | 14,419.77 | 14,366.25 | 71.57 | 22,902.40 | 352 | 1.5 |
| 470 | CITRIX SYSTEMS INC | 27,093.83 | 25,220.63 | 63.25 | 29,727.50 | 0 | 0.0 |
| 235 | COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A | 12,076.78 | 8,164.65 | 100.98 | 23,730.30 | 0 | 0.0 |
| 220 | CYTEC INDS INC | 14,534.17 | 14,407.45 | 93.16 | 20,495.20 | 110 | 0.5 |
| 440 | WALT DISNEY CO | 20,345.88 | 19,222.08 | 76.40 | 33,616.00 | 378 | 1.1 |
| 110 | EOG RESOURCES INC | 10,701.14 | 10,291.26 | 167.84 | 18,462.40 | 83 | 0.4 |
| 115 | ECOLAB INC | 5,519.94 | 5,155.37 | 104.27 | 11,991.05 | 127 | 1.1 |
| 181 | EXXON MOBIL CORP | 11,353.76 | 10,647.06 | 101.20 | 18,317.20 | 456 | 2.5 |
| 255 | FMC CORP | 10,844.59 | 10,800.66 | 75.46 | 19,242.30 | 138 | 0.7 |
| 1,220 | FORD MOTOR CO NEW | 17,572.85 | 15,012.73 | 15.43 | 18,824.60 | 488 | 2.6 |
| 475 | FREEPORT-MCMORAN COPPER & GOLD INC CL B | 15,576.59 | 15,576.59 | 37.74 | 17,926.50 | 594 | 3.3 |

ACCT 2215937
ASSETS HELD 12/31/2013
VALUED AS OF 12/31/2013

CAMBRIDGE TRUST COMPANY
SCHEDULE OF INVESTMENTS
THEODORE ELIOTT CHARITABLE
TRUST AGENCY

| UNITS | DESCRIPTION | BOOK VALUE | TAX COST | CURRENT PRICE | MARKET VALUE | ESTCURRENT INC | YIELD |
|---|---|---|---|---|---|---|---|
| 815 | GENERAL ELECTRIC CO | 15,906.44 | 14,889.22 | 28.03 | 22,844.45 | 717 | 3.1 |
| 29 | GOOGLE INC CLASS A | 20,878.34 | 20,187.63 | 1120.71 | 32,500.59 | 0 | 0.0 |
| 335 | HEXCEL CORPORATION | 4,507.14 | 3,524.30 | 44.69 | 14,971.15 | 0 | 0.0 |
| 2,525 | HUNTINGTON BANCSHARES INC | 17,809.32 | 17,566.53 | 9.65 | 24,366.25 | 505 | 2.1 |
| 320 | JOHNSON & JOHNSON | 20,590.03 | 20,205.70 | 91.59 | 29,308.80 | 845 | 2.9 |
| 115 | MCKESSON CORP INC | 8,951.07 | 8,578.57 | 161.40 | 18,561.00 | 110 | 0.6 |
| 360 | MERCK & CO INC NEW | 13,946.31 | 13,793.74 | 50.05 | 18,018.00 | 634 | 3.5 |
| 405 | MICROSOFT CORP | 9,997.82 | 9,633.58 | 37.41 | 15,151.05 | 454 | 3.0 |
| 210 | NESTLE S A SPONSORED ADR REPSTG REG SHS | 8,898.75 | 8,227.75 | 73.424 | 15,419.04 | 381 | 2.5 |
| 185 | NEXTERA ENERGY INC | 10,237.83 | 9,953.33 | 85.62 | 15,839.70 | 488 | 3.1 |
| 140 | O'REILLY AUTOMOTIVE INC NEW | 14,093.29 | 13,740.42 | 128.71 | 18,019.40 | 0 | 0.0 |
| 420 | PNC FINANCIAL SERVICES GROUP | 24,812.56 | 24,407.16 | 77.58 | 32,583.60 | 739 | 2.3 |
| 150 | PARKER HANNIFIN CORP | 15,256.17 | 15,254.58 | 128.64 | 19,296.00 | 270 | 1.4 |
| 190 | PRAXAIR INC | 15,976.25 | 14,862.42 | 130.03 | 24,705.70 | 456 | 1.8 |
| 170 | RANGE RESOURCES CORP | 8,556.65 | 7,462.97 | 84.31 | 14,332.70 | 27 | 0.2 |
| 235 | ROCHE HLDG LTD | 15,689.51 | 15,689.51 | 70.051 | 16,461.99 | 382 | 2.3 |
| 140 | SVB FINANCIAL GROUP | 8,426.42 | 8,391.93 | 104.86 | 14,680.40 | 0 | 0.0 |
| 275 | SANOFI AVENTIS ADR | 12,007.37 | 11,876.15 | 53.63 | 14,748.25 | 345 | 2.3 |
| 450 | SCHLUMBERGER LTD | 32,520.92 | 31,483.67 | 90.11 | 40,549.50 | 563 | 1.4 |
| 170 | TRAVELERS COS INC | 9,042.85 | 8,586.24 | 90.54 | 15,391.80 | 340 | 2.2 |
| 730 | US BANCORP DEL | 19,431.37 | 18,101.67 | 40.40 | 29,492.00 | 672 | 2.3 |
| 600 | UNILEVER NV | 19,808.83 | 19,732.31 | 40.23 | 24,138.00 | 712 | 2.9 |
| 70 | UNION PACIFIC CORP | 4,799.62 | 3,896.35 | 168.00 | 11,760.00 | 221 | 1.9 |
| 511 | WHITEWAVE FOODS CO CL A | 8,253.05 | 8,960.66 | 22.94 | 11,722.34 | 0 | 0.0 |
| 135 | ACCENTURE PLC IRELAND SHS CLASS A | 5,101.00 | 4,627.21 | 82.22 | 11,099.70 | 251 | 2.3 |
| 585 | UBS AG NEW | 11,375.09 | 11,375.09 | 19.25 | 11,261.25 | 94 | 0.8 |
| 495 | CHECK POINT SOFTWARE TECH | 20,442.86 | 19,117.09 | 64.499 | 31,927.01 | 0 | 0.0 |
|  | TOTAL COMMON STOCK | 684,346.62 | 658,278.51 |  | 972,076.57 | 14,465 | 1.5 |
|  | EQUITY FUNDS<br>============ |  |  |  |  |  |  |
| 530 | ISHARES MSCI GERMANY INDEX FUND | 12,097.13 | 11,991.31 | 31.76 | 16,832.80 | 231 | 1.4 |
| 605 | ISHARES MSCI EMERGING MKT | 22,904.51 | 21,789.78 | 41.795 | 25,285.98 | 519 | 2.1 |
| 90 | ISHARES NASDAQ BIOTECHNOLOGY INDEX FUND | 16,995.38 | 16,995.38 | 227.06 | 20,435.40 | 6 | 0.0 |

ACCT 2215937
ASSETS HELD 12/31/2013
VALUED AS OF 12/31/2013

CAMBRIDGE TRUST COMPANY
SCHEDULE OF INVESTMENTS
THEODORE ELIOTT CHARITABLE
TRUST AGENCY

| UNITS | DESCRIPTION | BOOK VALUE | TAX COST | CURRENT PRICE | MARKET VALUE | EST INC | CURRENT YIELD |
|---|---|---|---|---|---|---|---|
| 1,581.17 | MATTHEWS ASIA DIVIDEND FUND INSTL CLASS | 24,414.65 | 24,414.65 | 15.59 | 24,650.44 | 987 | 4.0 |
| 525 | WISDOMTREE JAPAN HEDGED EQUITY ETF | 25,273.84 | 25,226.78 | 50.84 | 26,691.00 | 324 | 1.2 |
| | TOTAL EQUITY FUNDS | 101,685.51 | 100,417.90 | | 113,895.62 | 2,067 | 1.8 |
| | FIXED VALUE MONEY FUNDS<br>====================== | | | | | | |
| | FEDERATED MONEY MKT OBLIGS GOVT OBLIG TAX MANAGED (FUND #637) | 133,400.00 | 133,400.00 | | 133,400.00 | 13 | 0.0 |
| | PRINCIPAL CASH | 8.99 | 8.99 | | 8.99 | | 0.0 |
| | TOTAL FUND | 1,602,983.80 | 1,575,648.08 | | 1,906,179.11 | 48,145 | 2.5 |

ACCT 2215937
ASSETS HELD 12/31/2013
VALUED AS OF 12/31/2013

CAMBRIDGE TRUST COMPANY
SCHEDULE OF INCOME INVESTMENTS
THEODORE ELIOTT CHARITABLE
TRUST AGENCY

| UNITS | DESCRIPTION | BOOK VALUE | TAX COST | CURRENT PRICE | MARKET VALUE | EST INC | CURRENT YIELD |
|---|---|---|---|---|---|---|---|
| | FIXED VALUE MONEY FUNDS<br>====================== | | | | | | |
| | FEDERATED MONEY MKT OBLIGS GOVT<br>OBLIG TAX MANAGED (FUND #637) | 200.00 | 200.00 | | 200.00 | 0 | 0.0 |
| | INCOME CASH | 86.48 | 86.48 | | 86.48 | | 0.0 |
| | TOTAL FUND | 286.48 | 286.48 | | 286.48 | 0 | 0.0 |

OTHER DEDUCTIONS

CODE 750 CHARITABLE CONTRIBUTIONS (BY FOUNDATIONS, CHARITABLE TRUSTS)

| | DETAIL ====== | PRINCIPAL | INCOME | BOOK VALUE | REG |
|---|---|---|---|---|---|
| 07/16/2013 | GIFT TO HOLY TRINITY GREEK ORTHODOX CHURCH 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 20,000.00- | | | |
| 07/16/2013 | GIFT TO ANNUNCIATION GREEK ORTHODOX CATHEDRAL 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 25,000.00- | | | |
| 07/16/2013 | GIFT TO ST. GEORGE GREEK ORTHODOX CHURCH 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 10,000.00- | | | |
| 07/16/2013 | GIFT TO GREEK ORTHODOX METROPOLIS OF BOSTON 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 12,500.00- | | | |
| 07/16/2013 | GIFT TO ST. JOHN THE MERCIFUL OUTREACH MINISTRY 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 6,000.00- | | | |
| 07/16/2013 | GIFT TO JOSLIN DIABETES CENTER 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 4,000.00- | | | |
| 07/16/2013 | GIFT TO ST. PHILIP GREEK ORTHODOX CHURCH 2013 GIFT FROM THE THEODORE ELIOTT CHARITABLE TRUST | 3,500.00- | | | |
| 07/16/2013 | GIFT TO PERKINS SCHOOL FOR THE BLIND 2013 GIFT FROM THE | 1,000.00- | | | |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---:|---:|
| ABBOTT LABS | 265. | 265. |
| AMERICAN INTL GROUP INC | 127. | 127. |
| ANADARKO PETE CO | 82. | 82. |
| ANHEUSER-BUSCH INBEV SPON ADR | 685. | 685. |
| APPLE COMPUTER INC | 841. | 841. |
| BECTON DICKINSON & CO DATED 5/15/2009 5% | 2,801. | 2,801. |
| BURBERRY GROUP PLC SPONSORED ADR | 246. | 246. |
| CVS CORP | 327. | 327. |
| COCA COLA CO | 395. | 395. |
| CYTEC INDS INC | 125. | 125. |
| EOG RESOURCES INC | 91. | 91. |
| ECOLAB INC COM | 106. | 106. |
| EXXON MOBIL CORP | 506. | 506. |
| FMC CORP | 161. | 161. |
| FEDERAL HOME LN MTG CORP DATED 01/30/200 | 1,120. | 1,120. |
| FEDERAL HOME LOAN BANKS DATED 08/07/2006 | 1,490. | 1,490. |
| FEDERATED INSTL TR MUNI ULTRASHORT (FUND | 6. | 6. |
| FEDERATED INSTL TR HIGH YIELD BOND FUND | 1,451. | 1,451. |
| FEDERATED EMERGING MARKETS DEBT FUND INS | 294. | 294. |
| DUKE ENERGY FLORIDA INC DATED 06/18/2008 | 27. | 27. |
| FORD MTR CO DEL NEW | 791. | 791. |
| FREEPORT-MCMORAN COPPER & GOLD | 116. | 116. |
| GENERAL ELECTRIC CO | 1,364. | 1,364. |
| GENERAL ELECTRIC CAP CORP DATED 09/17/20 | 2,057. | 2,057. |
| HUNTINGTON BANCSHARES INC | 371. | 371. |
| INTL BUSINESS MACHINES CORP DATED 10/15/ | 1,864. | 1,864. |
| ISHARES MSCI GERMANY INDEX FUND | 308. | 308. |
| ISHARES MSCI EMERGING MKT | 650. | 650. |
| JOHNSON & JOHNSON | 918. | 918. |
| LIBERTY PROPERTY LP DATED 09/25/2007 MAK | 983. | 983. |
| MATTHEWS ASIA DIVIDEND FUND INSTL CLASS | 955. | 955. |
| MCKESSON HBOC INC | 211. | 211. |

THEODORE ELIOTT CHARITABLE TRUST AGENCY 27-0163539

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| MERCK & CO INC NEW | 1,200. | 1,200. |
| MICROSOFT CORP | 437. | 437. |
| FEDERATED MONEY MKT OBLIGS GOVT OBLIG TA | 12. | 12. |
| NESTLE S A ADR | 714. | 714. |
| NEXTERA ENERGY INC | 538. | 538. |
| PNC BANK CORP | 768. | 768. |
| PARKER HANNIFIN CORP | 126. | 126. |
| PEPSIAMERICAS INC DATED 05/18/2005 5% 05 | 1,710. | 1,710. |
| PETROBRAS INTL DATED 02/06/2012 3.5% 02 | 922. | 922. |
| PHILLIPS 66 | 263. | 263. |
| PRAXAIR INC | 447. | 447. |
| PROCTER & GAMBLE CO DATED 02/06/2009 MAK | 776. | 776. |
| RANGE RESOURCES CORP | 35. | 35. |
| SPDR SERIES TRUST BARCLAYS HIGH YIELD BO | 1,397. | 1,397. |
| SANOFI AVENTIS ADR | 547. | 547. |
| SCHLUMBERGER LTD | 642. | 642. |
| TARGET CORP | 536. | 536. |
| TRAVELERS COS INC | 491. | 491. |
| US BANCORP DEL | 620. | 620. |
| UNILEVER NV NEW YORK SHRS NEW | 956. | 956. |
| UNION PAC CORP | 280. | 280. |
| VANGUARD HIGH DIVIDEND YIELD ETF | 428. | 428. |
| WESTPAC BANKING CORP DATED 08/27/2009 4. | 1,904. | 1,904. |
| WISDOMTREE JAPAN HEDGED EQUITY ETF | 297. | 297. |
| ACCENTURE PLC IRELAND SHS CLASS A | 416. | 416. |
| RENAISSANCERE HLDGS LTD | 36. | 36. |
| VALIDUS HOLDINGS LTD | 690. | 690. |
| TOTAL | 38,922. | 38,922. |

THEODORE ELIOTT CHARITABLE TRUST AGENCY 27-0163539

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX PREPARATION FEE (NON-ALLOC | 1,175. | | | 1,175. |
| TOTALS | 1,175. | NONE | NONE | 1,175. |

THEODORE ELIOTT CHARITABLE TRUST AGENCY 27-0163539

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| INVESTMENT MGMT FEES-SUBJECT T | 16,300. | 16,300. |
| TOTALS | 16,300. | 16,300. |

THEODORE ELIOTT CHARITABLE TRUST AGENCY 27-0163539

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 582. | 582. |
| FEDERAL TAX PAYMENT - PRIOR YE | 348. | 348. |
| FEDERAL ESTIMATES - PRINCIPAL | 876. | 876. |
| FOREIGN TAXES ON QUALIFIED FOR | 144. | 144. |
| FOREIGN TAXES ON NONQUALIFIED | 42. | 42. |
| TOTALS | 1,992. | 1,992. |

THEODORE ELIOTT CHARITABLE TRUST AGENCY 27-0163539

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| OTHER ALLOCABLE EXPENSE-PRINCI | 35. | | 35. |
| OTHER NON-ALLOCABLE EXPENSE - | 26. | 26. | |
| TOTALS | 61. | 26. | 35. |

## AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

| MONTH | LINE 1a-FMV SECURITIES | LINE 1b-FMV CASH BALANCES | LINE 1c-FMV OTHER ASSETS |
|---|---|---|---|
| JANUARY | 1,698,442. | 52,659. | |
| FEBRUARY | 1,719,505. | 45,902. | |
| MARCH | 1,744,833. | 54,669. | |
| APRIL | 1,657,925. | 157,062. | |
| MAY | 1,671,504. | 154,112. | |
| JUNE | 1,653,828. | 149,767. | |
| JULY | 1,735,986. | 53,359. | |
| AUGUST | 1,668,172. | 86,666. | |
| SEPTEMBER | 1,722,498. | 82,354. | |
| OCTOBER | 1,779,904. | 77,244. | |
| NOVEMBER | 1,748,435. | 135,473. | |
| DECEMBER | 1,772,770. | 133,695. | |
| TOTAL | 20,573,802. | 1,182,962. | |
| AVERAGE FMV | 1,714,484. | 98,580. | |