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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE KLEINSCHMIDT FAMILY FOUNDATION		A Employer identification number 27-0112179	
Number and street (or P O box number if mail is not delivered to street address) R KLEINSCHMIDT 730 COLUMBUS AVE NO 16I		Room/suite	B Telephone number (see instructions) (212) 698-0800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10025		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 7,983,258		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	460,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	132,139	132,139		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	73,074			
	b Gross sales price for all assets on line 6a 578,275				
	7 Capital gain net income (from Part IV , line 2) . . .		73,074		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	665,213	205,213		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,449	1,249		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	135	0		135
	23 Other expenses (attach schedule)	1,468	987		481
	24 Total operating and administrative expenses. Add lines 13 through 23	7,052	2,236		616
	25 Contributions, gifts, grants paid	344,185			344,185
	26 Total expenses and disbursements. Add lines 24 and 25	351,237	2,236		344,801
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	313,976			
	b Net investment income (if negative, enter -0-)		202,977		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,295,516	762,287	762,287
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	810,054	<input checked="" type="checkbox"/> 712,281	711,754
	b	Investments—corporate stock (attach schedule)	0	<input checked="" type="checkbox"/> 495,016	483,300
	c	Investments—corporate bonds (attach schedule).	0	<input checked="" type="checkbox"/> 339,177	333,620
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,081,522	<input checked="" type="checkbox"/> 4,186,078	5,673,649
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	<input checked="" type="checkbox"/> 12,419	<input checked="" type="checkbox"/> 18,648	<input checked="" type="checkbox"/> 18,648	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,199,511	6,513,487	7,983,258	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	6,199,511	6,513,487	
	30	Total net assets or fund balances (see page 17 of the instructions)	6,199,511	6,513,487	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,199,511	6,513,487		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	6,199,511
2	Enter amount from Part I, line 27a	2	313,976
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	6,513,487
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,513,487

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	73,074
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries


(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	253,431	5,854,668	0 043287
2011	279,440	4,939,412	0 056574
2010	207,865	3,662,321	0 056758
2009	125,304	2,387,916	0 052474
2008	109,887	2,217,046	0 049565

2	Total of line 1, column (d).	2	0 258658
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051732
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	7,031,119
5	Multiply line 4 by line 3.	5	363,734
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,030
7	Add lines 5 and 6.	7	365,764
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	344,801

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4,060
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	4,060
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,060
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,845
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,845
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,215
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of ROBERT KLEINSCHMIDT Telephone no (212) 698-0800 Located at 730 COLUMBUS AVE SUITE 16I NEW YORK NY ZIP+4 10025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

5b

If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See page 24 of the instructions.	
3	0
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,736,123
b	Average of monthly cash balances.	1b	402,069
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,138,192
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,138,192
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	107,073
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,031,119
6	Minimum investment return. Enter 5% of line 5.	6	351,556

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	351,556
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	4,060
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,060
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	347,496
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	347,496
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	347,496

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	344,801
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	344,801
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	344,801
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				347,496
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				2,074
d From 2011.				34,333
e From 2012.				
f Total of lines 3a through e.	36,407			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 344,801				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				344,801
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,695			2,695
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	33,712			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	33,712			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				33,712
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT W KLEINSCHMIDT

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROBERT W KLEINSCHMIDT
730 COLUMBUS AVE APT 16I
NEW YORK, NY 10025
(212) 698-0800

b

The form in which applications should be submitted and information and materials they should include

NO SPECIAL FORM REQUIRED

c

Any submission deadlines

NO DEADLINES

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	344,185
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2443 613 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-01-15
3466 70 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-01-15
434 618 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-01-15
2625 935 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-01-25
2825 59 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-02-15
1920 036 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-02-15
1747 715 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-02-15
2762 665 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-02-25
100M TENNESSEE VALLEY AUTHORITY 6% 3-15-13	P	2011-02-11	2013-03-15
1938 851 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-03-15
2489 118 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-03-15
2237 35 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-03-15
2583 207 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-03-25
1848 115 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-04-15
3129 18 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-04-15
1679 65 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-04-15
2619 759 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-04-25
100M FEDERAL HOME LOAN BK 3 5% 11-09-20	P	2011-01-18	2013-05-10
2089 242 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-05-15
1908 46 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-05-15
3710 854 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-05-15
2964 97 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-05-28
1566 71 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-06-17
2337 29 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-06-17
1285 46 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-06-17
2636 594 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-06-25
1741 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-07-15
1806 395 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-07-15
388 753 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-07-15
2466 807 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-07-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1884 01 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-08-15
2715 635 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-08-15
3126 014 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-08-15
2236 977 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-08-26
200M ABBEY NATIONAL TREEAURY SERVICE 1 650% 8-31-13	P	2013-02-06	2013-08-30
1455 653 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-09-16
922 272 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-09-16
5150 193 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-09-16
2086 413 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-09-25
1291 366 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-10-15
803 758 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-10-15
3698 661 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-10-15
1763 691 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-10-25
1325 27 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-11-15
469 13 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-11-15
379 98 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-11-15
1504 44 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-11-25
1207 83 SH FEDERAL HOME LOAN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2013-12-16
563 70 SH FED HOME LOAN MTG CORP POOL # J09598 4% 5-1-24	P	2011-01-12	2013-12-16
306 02 SH GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2013-12-16
1460 214 SH FED NAT MTG ASSN PASS-THRU 3 5% 1-1-21	P	2011-01-12	2013-12-26
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,444		2,634	-190
3,467		3,588	-121
435		463	-28
2,626		2,716	-90
2,826		3,046	-220
1,920		1,987	-67
1,748		1,863	-115
2,763		2,857	-94
100,000		110,322	-10,322
1,939		2,090	-151
2,489		2,576	-87
2,237		2,385	-148
2,583		2,672	-89
1,848		1,992	-144
3,129		3,238	-109
1,680		1,791	-111
2,620		2,709	-89
100,000		93,357	6,643
2,089		2,252	-163
1,908		1,975	-67
3,711		3,956	-245
2,965		3,067	-102
1,567		1,689	-122
2,337		2,419	-82
1,285		1,370	-85
2,637		2,727	-90
1,741		1,877	-136
1,806		1,869	-63
389		414	-25
2,467		2,551	-84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,884		2,031	-147
2,716		2,810	-94
3,126		3,333	-207
2,237		2,314	-77
200,000		200,502	-502
1,456		1,569	-113
922		954	-32
5,150		5,491	-341
2,086		2,158	-72
1,291		1,392	-101
804		832	-28
3,699		3,943	-244
1,764		1,824	-60
1,325		1,429	-104
469		485	-16
380		405	-25
1,504		1,556	-52
1,208		1,302	-94
564		583	-19
306		326	-20
1,460		1,510	-50
82,268			82,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-190
			-121
			-28
			-90
			-220
			-67
			-115
			-94
			-10,322
			-151
			-87
			-148
			-89
			-144
			-109
			-111
			-89
			6,643
			-163
			-67
			-245
			-102
			-122
			-82
			-85
			-90
			-136
			-63
			-25
			-84


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-147
			-94
			-207
			-77
			-502
			-113
			-32
			-341
			-72
			-101
			-28
			-244
			-60
			-104
			-16
			-25
			-52
			-94
			-19
			-20
			-50
			82,268


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT W KLEINSCHMIDT	PRESIDENT/TREASURER/DIR 1 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
NELL F KLEINSCHMIDT	VICE PREISIENT/SECY/DIR 1 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
ALEXANDER KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
PAUL KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
LARA KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE NEW YORK SOCIETY FOR THE PREVENTION OF CRUELTY TO CHILDREN 161 WILLIAM ST NEW YORK,NY 10038	N/A	PUBLIC CHARITY	PROTECTING CHILDREN WHILE ENHANCING FAMILY STABILITY	500
ONEIDA TRIBE OF INDIANS OF WISCONSIN PO BOX 365 ONEIDA,WI 54155	N/A	PUBLIC CHARITY	FOR EDUCATION AND TRAININGEDUCATE BRIGHT YOUNG PEOPLE THROUGH COORDINATED RESE	10,000
NYC-PARENTS IN ACTION PO BOX 287451 NEW YORK,NY 10128	N/A	PUBLIC CHARITY	PROVIDE EDUCATION INFORMATION AND A COMMUNICATION NETWORK TO INFORM PARENTS ABOUT SOCIALAND DEVELOPMENTAL ISSUES	2,365
PHILANTHROPY ROUNDTABLE 1730 M STREET WASHINGTON,DC 20036	N/A	PUBLIC CHARITY	PHILANTHROPIC FREEDOM IS ESSENTIAL FOR A FREE SOCIETY	500
ROCKAWAY WATERFRONT ALLIANCE PO BOX 900645 FAR ROCKAWAY,NY 11690	N/A	PUBLIC CHARITY	REVITALIZING THE ROCKAWAY COMMUNITY	500
METROSQUASH LLC 5655 S UNIVERSITY AVE CHICAGO,IL 60637	N/A	PUBLIC CHARITY	SECURING THE FUTURE FOR THE METROSQUASH ACADEMIC AND SQUASH CENTER	1,000
MOUNTAIN LAKE COMMUNITY SERVICE PO BOX 832 LAKE WALES,FL 33859	N/A	PUBLIC CHARITY	HELPING TO MAKE A DIFFERENCE IN THE LIVES OF PEOPLE IN THE LAKE WALES COMMUNITY	500
NATIONAL ALLIANCE ON MENTAL ILLNESS OF WESTCHESTER INC 100 CLEARBROOK RD ELMSFORD,NY 10523	N/A	PUBLIC CHARITY	TO IMPROVE THE QUALITY OF LIFE FOR PEOPLE WITH MENTAL ILLNESS AND THIER FAMILIES	1,000
NEIGHBORHOOD COALITION FOR SHELTER 157 EAST 86TH ST NEW YORK,NY 10028	N/A	PUBLIC CHARITY	HELP HOMELESS AND FORMERLY HOMELESS MEN, WOMEN AND YOUNG ADULTS TOWARDS A BRIGHTER FUTURE	500
NEW YORK CITY CHILDREN'S HOLIDAY PARTY INC 170 E 87TH ST NEW YORK,NY 10128	N/A	PUBLIC CHARITY	TO CREATE JOY IN THE HEARTS OF CHILDREN IN THE CITY OF NEW YORKEDUCATE, PROMOTE AND PROTECT NY FRUIT INDUSTRY	1,000
FREEDOM WORKS FOUNDATION 400 NORTH CAPITAL STREET NW WASHINGTON,DC 20001	N/A	PUBLIC CHARITY	TO LOWER TAXES, LESS GOVERNMENT AND MORE FREEDOM	1,000
GARDEN CLUB OF LAWRENCE 14 E 60TH STREET NEW YORK,NY 10022	N/A	PUBLIC CHARITY	KNOWLEDGE OF CONSERVATION, HORTICULTURE & LANDSCAPE DESIGN	700
GLOBAL BRIGADES USA 1099 EAST CHAMPLAIN DR FRESNO,CA 93720	N/A	PUBLIC CHARITY	TO SUPPORTP STUDENT VOLUNTEERS AT SYRACUSE UNIVERSITY	200
LAWRENCEVILLE FUND PO BOX 6008 LAWRENCEVILLE,NJ 08648	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	5,000
LITTLE BABY FACE FOUNDATION 135 E 74TH ST NEW YORK,NY 10021	N/A	PUBLIC CHARITY	HELPING CHILDREN WORLDWIDE WHO HAVE BEEN BORN WITH DISFIGURING FACIAL DEFORMOTIES	500
Total  3a				344,185


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
564 PARK AVENUE PRESERVATION 564 PARK AVE NEWYORK,NY 10021	N/A	PUBLIC CHARITY	RESTORATION FUND	5,000
DISCOVER COURAGE 1 COLUMBUS CENTER VIRGINIA BEACH,VA 23462	N/A	PUBLIC CHARITY	SPECIALIZED SERVICES AND PROGRAMS TO INTERAGENCY AND NATIONAL MISSION FORCE MEMBERS, PAST ANDPRESENT	2,000
DENNISON UNIVERSITY BOX D GRANVILLE,OH 43023	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	5,000
101 EAST 69TH ST CONSERVANCY 101 EAST 69 STREET NEWYORK,NY 10021	N/A	PUBLIC CHARITY	HELP TO PRESERVE THE EXTERIOR AND THE INTERIOR SUPPORTING STRUCTURE OF THE CLUBHOUSE AT 101 E 69TH STDESIGGNE BY DELANO AND ALDRICH AS HOME TO THE UNION CLUB	5,000
ADIRONDACK MUSEUM 9097 STATE ROUTE 30 BLUE MOUNTAIN LAKE,NY 12812	N/A	PUBLIC CHARITY	PRESERVING HISTORY AND CULTURE OF THE ADIRONDACKS	1,000
AYN RAND INSTITUTE 2120 ALTON PKWAY STE 5801 IRVINE,CA 92606	N/A	PUBLIC CHARITY	WORKS TO INTRODUCE YOUNG PEOPLETO AYN RAND'S NOVELS TO SUPPORT SCHOLARSHIP AND RESEARCH BASED ON HER IDEAS	5,000
BEHIND THE BOOK 145 WEST 96 ST STE 1E NEWYORK,NY 10025	N/A	PUBLIC CHARITY	TO EXCITE CHILDREN AND YOUNG ADULTS ABOUT READING	1,000
			INDEPENDENT ENVIRONMENTAL RESEARCH	0
CATO INSTITUTE 1000 MASSACHUSETTS AVE NW WASHINGTON,DC 20001	N/A	PUBLIC CHARITY	INCREASE UNDERSTANDING OF PUBLIC POLOCIES ADVANCING	50,000
CENTER FOR COMPETITIVE POLITICS 124 WEST STREET ALEXANDRIA,VA 22314	N/A	PUBLIC CHARITY	FIGHT GOR THE FIRST AMENDMENT'S POLITICAL RIFGTS OF SPEECH, ASSEMBLY AND PETITION	5,000
CENTRAL PARK CONSERVANCY 14 E 60TH STREET NEWYORK,NY 10022	N/A	PUBLIC CHARITY	RESTORE, MANAGE AND ENHANCE CENTRAL PARK IN PARTNERS	12,390
CHILDREN'S STOREFRONT 70 E 129TH ST NEWYORK,NY 10035	N/A	PUBLIC CHARITY	INDEPENDENT TUITION-FREE SCHOOL IN HARLEM SERVINGPRE-SCHOOL THROUGH 8TH GRADE	1,000
COLUMBIA UNIVERSITY 622 WEST 113TH ST NEWYORK,NY 10025	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	100
CORNELL UNIVERSITY PO BOX 8 OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	SUPPORT & ADVANCEMENT OF HIGHER EDUCATION	3,495
EAST SIDE HOUSE SETTLEMENT 337 ALEXANDER AVE BRONX,NY 10454	N/A	PUBLIC CHARITY	PROVIDES RESIDENTS OF THE SOUTH BRONX WITH EDUCATIONALAND TECHNOLOGY SKILLS	850
Total  3a				344,185

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FULTON CHAIN OF LAKES PERFORMING ARTS COUNCIL PO BOX 680 INLET,NY 13360	N/A	PUBLIC CHARITY	PERFORMING ARTS FOR THE PUBLIC	500
GOLDWATER INSTITUTE 500 E CORONADO RD PHOENIX,AZ 85004	N/A	PUBLIC CHARITY	SUPPORT FOR SOUND PUBLIC POLICY	5,000
HORTICULTURAL SOCIETY OF NY 148 W37TH ST NEW YORK,NY 10018	N/A	PUBLIC CHARITY	SUSTAIN VITAL CONNECTION BETWEEN PEOPLE AND PLANTS	500
INSTITUTE FOR HUMANE STUDIES 3301 N FAIRFAX DR 440 ARLINGTON,VA 22201	N/A	PUBLIC CHARITY	OFFERS EDUCATIONAL AND CAREER PROGRAMS	1,000
JERICOHO PROJECT 245 W29TH ST 9TH FL NEW YORK,NY 10001	N/A	PUBLIC CHARITY	HELPS HOMELESS VETERANS	22,750
LAWRENCE-CEDARHURST FIRE DEPT 75 WASHINGTON AVE LAWRENCE,NY 11559	N/A	PUBLIC CHARITY	VOLUNTEER SERVICE TO THE COMMUNITY	100
VIEW -ARTS CENTER OF OLD FORGE PO BOX 1144 OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	PROVIDING ARTS EDUCATUIN AND PROGRAMING FOR YEAR-ROUNDAND SEASONAL RESIDENTS	5,985
MANHATTAN INSTITUTE FOR POLICY RESEARCH 52 VANDERBILT AVE NEW YORK,NY 10017	N/A	PUBLIC CHARITY	DEVELOP AND DISSEMINATE NEW IDEAS THAT FOSTER GREATER ECONOMIC CHOICE AND INDIVIDUAL RESPONSIBILITY	27,750
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEW YORK,NY 10028	N/A	PUBLIC CHARITY	TO COLLECT, PRESERVE, STUDY, EXHIBIT WORKS OF ART	170
NATIONAL FABRY DISEASE FOUNDATION 4301 CONNECTICUT AVE NW WASHINGTON,DC 20008	N/A	PUBLIC CHARITY	TO HELP ENSURE THAT ALL IND WITH FABRY DISEASE ARE D	30,000
			CONSULTING SERVICES PERTAINING TO NEW NETHERLAND	0
NEW YORK BOTANICAL GARDEN BRONX RIVER PARKWAY BRONX,NY 10458	N/A	PUBLIC CHARITY	ADVOCATE FOR THE PLANT KINGDOM, MUSEUM OF LIVING PLA	13,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK,NY 10024	N/A	PUBLIC CHARITY	EDUCATION PROGRAMS IN HELPING THE PUBLIC LREAN ABOUT NEW YORK AND AMERICAN HISTORY	1,700
OLD FORGE LIBRARY BOX 128 220 CROSBY BLVD OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	OFFER RICHEST TO POOREST EQUAL ACCES TO INFORMATION	500
REASON FOUNDATION 5737 MESMER AVE LOS ANGELES,CA 90230	N/A	PUBLIC CHARITY	PROMOTING CHOICE, COMPETITION AND A DYNAMIC MARKET ECONOMY ASTHE FOUNDATION FOR HUMAN DIGNITY AND PROGRESS	5,000
Total  3a				344,185

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SAN FRANCISCO CHORAL SOCIETY 236 WEST PORTAL SUITE 775 SAN FRANCISCO,CA 94127	N/A	PUBLIC CHARITY	TO BE A PREMEIR SYMPHONIC CHORUS INSPIRING AND ENRICHING PEOPLE'S LIVES THROUGH THE JOY ANDPOWER OF CHORAL MUSICLEARNING AND PERFORMING LARGE SCALE CHORAL WORKS,EDU	20,000
THE BRICK PRESBYTERIAN CHURCH 62 EAST 92ND STREET NEW YORK,NY 10128	N/A	PUBLIC CHARITY	VARIOUS RELIGIOUS, EDUCATIONAL AND CHARITABLE PROGRA	8,800
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK,NY 10023	N/A	PUBLIC CHARITY	SUPPORT THE MET, BUILD AWARENESS AND APRECIATION FOR	12,255
WILLIAMS COLLEGE 75 PARK STREET WILLIAMSTOWN,MA 01267	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	50,000
TOWN OF WEBB HISTORICAL ASSOC PO BOX 513 OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	PRESERVING TOWN HERITAGE	175
TRINITY SCHOOL 139 W 91ST STREET NEW YORK,NY 10024	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	9,750
US SQUASH 555 8TH AVE NEW YORK,NY 10018	N/A	PUBLIC CHARITY	TO ENHANCE PEOPLE'S HEALTH & WELL BEING BY INCREASIN	1,050
UNIVERSITY OF WISCONSIN OSHKOSH FOUNDATION INC 842 ALGOMA BLVD OSHKOSH,WI 54901	N/A	PUBLIC CHARITY	SUPPORT & ADVANCEMENT OF HIGHER EDUCATION	1,000
THE SCHOOL OF AMERICAN BALLET 70 LINCOLN CENTER PLAZA NEW YORK,NY 10023	N/A	PUBLIC CHARITY	EDUCATION PROGRAMS	2,600
THE GHETTO FILM SCHOOL 79 ALEXANDER AVE BRONX,NY 10454	N/A	PUBLIC CHARITY	EDUCATE, DEVELOP AND CELEBRATE THE NEXT GENERATION OF GREAT AMERICAN STORYTELLERS	1,000
THE INDEPENDENT INSTITUTE 100 SWAN WAY OAKLAND,CA 94621	N/A	PUBLIC CHARITY	PRODUCE TOP-QUALITY, CUTTING-EDGE PUBLICATIONS AND OTHER PROGRAMS THAT PROMOTE ANDADVANCE INDIVIDUAL LIBERTY	1,000
WIKIMEDIA FOUNDATION PO BOX 98204 WASHINGTON,DC 20090	N/A	PUBLIC CHARITY	TO BRING THE SUM TOTAL OF ALL HUMAM KNOWLEDGE TO EVERYONE AROUND THE WORLDIN THEIR OWN LANGUAGE	500
Total  3a				344,185

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div> <div>2013</div>

<div>Name of the organization</div> <div>THE KLEINSCHMIDT FAMILY FOUNDATION</div>	<div>Employer identification number</div> <div>27-0112179</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number
27-0112179

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALEXANDER KLEINSCHMIDT 40 W 57TH STREET 19FL NEW YORK, NY 10019	\$ 230,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	PAUL KLEINSCHMIDT 40 W 57TH STREET 19FL NEW YORK, NY 10019	\$ 230,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE KLEINSCHMIDT FAMILY FOUNDATION	Employer identification number 27-0112179
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE KLEINSCHMIDT FAMILY FOUNDATION	Employer identification number 27-0112179
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Part III	Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

**TY 2013 All Other Program
Related Investments Schedule**

Name: THE KLEINSCHMIDT FAMILY FOUNDATION
EIN: 27-0112179

Category	Amount
NONE	0

TY 2013 Investments Corporate Bonds Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Name of Bond	End of Year Book Value	End of Year Fair Market Value
200M NATIONAL AUSTRALIA BANK 8% 9-24-16	225,027	224,620
100M PRUDENTIAL PLC 11.75% 12-29-49	114,150	109,000

TY 2013 Investments Corporate Stock Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2500 SH PRINCIPAL FINANCIAL GROUP 5.5630% SERIES A	245,014	250,625
10000 SH ZIONS BANCORPORATION PFD 6.3% SERIES G	250,002	232,675

TY 2013 Investments Government Obligations Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

**US Government Securities - End of
Year Book Value:**

712,281

**US Government Securities - End of
Year Fair Market Value:**

711,754

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2013 Investments - Other Schedule**Name:** THE KLEINSCHMIDT FAMILY FOUNDATION**EIN:** 27-0112179

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TOCQUEVILLE FUND	AT COST	2,733,819	3,983,857
TOCQUEVILLE GOLD FUND	AT COST	227,212	135,283
1000 SH ISHARES BARCLAYS 1-3 YEAR CREDIT BOND FUND	AT COST	104,127	105,460
1000 SH ISHARES IBOXX INV GRADE CORP BOND FUND	AT COST	105,125	114,188
THE TOCQUEVILLE INTL VALUE FUND	AT COST	363,754	465,653
THE TOCQUEVILLE OPPORTUNITY FUND	AT COST	300,000	440,720
3000 SH SPDR SERIES TR BARCLAYS HIGH YIELD ETF	AT COST	113,309	121,680
TOCQUEVILLE SELECT FUND	AT COST	238,732	306,808

TY 2013 Other Assets Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MISC RECEIVABLE	12,419	18,648	18,648

TY 2013 Other Expenses Schedule**Name:** THE KLEINSCHMIDT FAMILY FOUNDATION**EIN:** 27-0112179

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	481	0		481
BANK FEES	3	3		0
INVESTMENT EXPENSE	984	984		0

TY 2013 Substantial Contributors Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Name	Address
ALEXANDER KLEINSCHMIDT	TOCQUEVILLE 40 W 57TH ST NEWYORK,NY 10019
PAUL KLEINSCHMIDT'	TOCQUEVILLE 40 W 57TH ST NEWYORK,NY 10019

TY 2013 Taxes Schedule**Name:** THE KLEINSCHMIDT FAMILY FOUNDATION**EIN:** 27-0112179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990 PF	4,200	0		0
FOREIGN TAXES	1,249	1,249		0