Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: Richard and Diana Vigil Charitable Trust

Number and street (or P O box number if mail is not delivered to street address): P O Box 463

Room/suite:

City or town: Englewood

State: CO

ZIP code: 80151-0463

Foreign country name:

Foreign province/state/county:

Foreign postal code:

A Employer identification number: 26-6645560

B Telephone number (see instructions): (303) 761-9539

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 971,508

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

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Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2	2		
	4	Dividends and interest from securities	16,062	16,062		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	65,266			
	b	Gross sales price for all assets on line 6a 306,204				
	7	Capital gain net income (from Part IV, line 2)		65,266		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-7,975			
	12	**Total.** Add lines 1 through 11	73,355	81,330	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,482	4,482		
	c	Other professional fees (attach schedule)	9,157	9,157		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,522	1,522		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	312	312		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,473	15,473	0	0
	25	Contributions, gifts, grants paid	70,000			70,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	85,473	15,473	0	70,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-12,118			
	b	**Net investment income** (if negative, enter -0-)		65,857		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,298	1,794	1,794
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	773,147	771,158	969,464
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ See Attached Statement)	7,875	250	250
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	785,320	773,202	971,508
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	785,320	773,202	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	785,320	773,202	
	31	**Total liabilities and net assets/fund balances** (see instructions)	785,320	773,202	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	785,320
2	Enter amount from Part I, line 27a	2	-12,118
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	773,202
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	773,202

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Schwab 2013 1099			
b	See Attached Schwab 2013 1099			
c	See Attached Schwab 2013 1099			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	23,701			23,701
b	10,688		10,379	309
c	271,815		230,559	41,256
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				23,701
b				309
c				41,256
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	65,266
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	59,211	867,773	0 068233
2011	59,433	910,946	0 065243
2010	59,191	891,523	0 066393
2009	44,485	864,208	0 051475
2008			0 000000

2	**Total** of line 1, column (d)	2	0 251344
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 062836
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	954,923
5	Multiply line 4 by line 3	5	60,004
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	659
7	Add lines 5 and 6	7	60,663
8	Enter qualifying distributions from Part XII, line 4	8	70,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter. ______ (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	659
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	659
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	659
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,159		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,159
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	500
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 500 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ John H Stamper Telephone no ▶ (303) 761-9539

Located at ▶ 3600 S Gilpin Street Englewood CO ZIP+4 ▶ 80113

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes: No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
John H Stamper 3600 S Gilpin Englewood, CO 80113	Pres 1 00	0		
Nancy T Stamper 3600 S Gilpin Englewood, CO 80113	VP 00	0		
Allison S Perkins 3600 S Gilpin Englewood, CO 80113	Treas 00	0		
Amy S Corrigan 3600 S Gilpin Englewood, CO 80113	Secy 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2 NONE	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	962,631
b	Average of monthly cash balances	1b	6,834
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	969,465
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	969,465
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	14,542
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	954,923
6	**Minimum investment return.** Enter 5% of line 5	6	47,746

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	47,746
2a	Tax on investment income for 2013 from Part VI, line 5	2a	659		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	659
3	Distributable amount before adjustments Subtract line 2c from line 1			3	47,087
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	47,087
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	47,087

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	70,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	70,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	659
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	69,341

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					47,087
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only				0	
b	Total for prior years 20____, 20____, 20____					
3	Excess distributions carryover, if any, to 2013					
a	From 2008					
b	From 2009	2,305				
c	From 2010	16,233				
d	From 2011	15,020				
e	From 2012	17,400				
f	**Total** of lines 3a through e		50,958			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 70,000					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2013 distributable amount					47,087
e	Remaining amount distributed out of corpus		22,913			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		73,871			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		73,871			
10	Analysis of line 9					
a	Excess from 2009	2,305				
b	Excess from 2010	16,233				
c	Excess from 2011	15,020				
d	Excess from 2012	17,400				
e	Excess from 2013	22,913				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Horizons At Colorado Academy 3800 S Pierce Street Denver, CO 80235	none	PC	Educ Enrichment Programs to At Risk K-8	10,000
Colorado Uplift 3914 King Street Denver, CO 80211	none	PC	Post Secondary College Guidance	20,000
Tennyson Center for Children 2950 Tennyson Street Denver, CO 80212	none	PC	Treatment center and K-12 school for emotionally and crisis-affected children	5,000
KIPP Colorado Schools 375 S Tejon Street Denver, CO 80223	none	PC	Open Enrollment College Prep Public Schools	15,000
Boys & Girls Club of Denver 2017 West 9th Avenue Denver, CO 80204	none	PC	After School Activities & Educ Enrichment Programs	10,000
Fisher House Foundation, Inc 111 Rockville Pike #420 Rockville, MD 20850-5168	none	PC	Veterans Housing During Medical Crisis	10,000
Total			▶ **3a**	70,000
b *Approved for future payment*				
Colorado Uplift 3914 King Street Denver, CO 80211	none	PC	Post Secondary College Guidance	40,000
Total			▶ **3b**	40,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2	
4 Dividends and interest from securities			14	16,062	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	-7,975	
8 Gain or (loss) from sales of assets other than inventory			18	65,266	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		73,355	0
13 Total. Add line 12, columns (b), (d), and (e)				13	73,355

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N A	N A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee:
Date: 10/1/14
Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: Judy Fithian
Preparer's signature: Judy M. Fithian
Date: 9/30/2014
Check ☐ if self-employed
PTIN: P00279193
Firm's name ▶ Judy M Shoemaker LLC
Firm's EIN ▶ 84-1610973
Firm's address ▶ 11605 Meridian Mkt Vw 124/173, Falcon, CO 80831-8237
Phone no 719/660-7778

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions Richard and Diana Vigil Charitable Trust	Employer identification number (EIN) or 26-6645560
	Number, street, and room or suite no If a P O box, see instructions P O Box 463	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions Englewood, CO 80151-0463	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ John H Stamper

Telephone No ▶ (303) 761-9539 Fax No ▶ (303) 761-9520

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2014, to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 2013 or
▶ ☐ tax year beginning ______, and ending ______

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 1,159
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 1,159
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions Richard and Diana Vigil Charitable Trust	Employer identification number (EIN) or 26-6645560
	Number, street, and room or suite no If a P O box, see instructions P O Box 463	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions Englewood, CO 80151-0463	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ John H Stamper
  Telephone No ▶ (303) 761-9539 Fax No ▶ 303 761 9520
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2014

5 For calendar year 2013 , or other tax year beginning ______ , and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension Additional time is needed to accumulate records for the accurate preparation of the organizations financial information and tax return

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 1,159
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 1,159
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ President Date ▶ 8/15/2014

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	306,204	240,938	65,266
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	See Attached Schwab 2013 109							23,701						23,701
2	X	See Attached Schwab 2013 109							10,688	10,379					309
3	X	See Attached Schwab 2013 109							271,815	230,559					41,256

Part I, Line 11 (990-PF) - Other Income

		-7,975	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Loss on Disposal of Assets	-7,975	0	
2			0	

Part I, Line 16a (990-PF) - Legal Fees

		0	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Berenbaum Weinshienk PC				

Part I, Line 16b (990-PF) - Accounting Fees

		4,482	4,482	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Judy M Fithian LLC	4,482	4,482		

Part I, Line 16c (990-PF) - Other Professional Fees

		9,157	9,157	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Investment Advisory Fees	9,157	9,157		

Part I, Line 18 (990-PF) - Taxes

		1,522	1,522	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Annual Secretary of State Filing	10	10		
2	Tax on investment income	1,351	1,351		
3	Foreign tax on securities sales	161	161		

Part I, Line 23 (990-PF) - Other Expenses

		312	312	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Moving Expense	300	300		
2	Postage	12	12		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			773,147	771,158	851,076	969,464
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	See Attached Schedule		773,147	771,158	851,076	969,464

Part II, Line 15 (990-PF) - Other Assets

		7,875	250	250
	Asset Description	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	Home Furnishings	7,875	250	250
2	Rounding			

Part VII-A, Lines 1a and 1b (990-PF) - Political Participation

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐ Yes ☒ No

1b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? ☐ Yes ☒ No

If "Yes" to 1a or 1b, please describe the activities

N/A

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										0	0	0
1	John H Stamper		3600 S Gilpin	Englewood	CO	80113		Pres	1 00	0		
2	Nancy T Stamper		3600 S Gilpin	Englewood	CO	80113		VP	0 00	0		
3	Allison S Perkins		3600 S Gilpin	Englewood	CO	80113		Treas	0 00	0		
4	Amy S Comgan		3600 S Gilpin	Englewood	CO	80113		Secy	0 00	0		

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Schwab One® Trust Account of
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL

Account Number
4667-8598

TAX YEAR 2013
FORM 1099 COMPOSITE & YEAR-END SUMMARY

Date Prepared: March 7, 2014

Recipient's Name and Address

03/07-89013-YECA0604 *
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL
PO BOX 463
ENGLEWOOD CO 80151

Your Independent Investment Manager and/or Advisor

PRIVATE CAPITAL MANAGEMENT LLC
250 STEELE ST STE 350
DENVER CO 80206
(303) 370-0055

The custodian of your brokerage account is Charles Schwab & Co., Inc.

Items for Attention

- This is a **CORRECTED** Form(s) 1099. One or more of the issuers of the securities in your account reallocated certain income (e.g., dividends, capital gains) after we mailed your original Form(s) 1099. If we receive updated information after we've mailed your Form 1099, we're required by the IRS to send a corrected form with the revisions clearly highlighted.

  If you've already filed your tax return, you may want to consider filing an amended return based on the changes in your taxable income as reported on your corrected Form 1099. We suggest that you consult with a tax advisor, or an independent investment advisor, before you proceed.

Important Official IRS Form(s) 1099 Enclosed

The report in this package contains your income tax return documents and year-end summary. Please retain this package for tax preparation purposes.

For tax advice, please consult with a qualified tax advisor, CPA, financial planner, or investment manager. Except as noted in the Terms and Conditions, your Investment Advisors are not affiliated with, or supervised by Schwab.

To contact Schwab:

If you have any questions or need additional information about your Form(s) 1099 or your year-end summary, please call your advisor directly, or call Schwab Alliance™ at 1-800-515-2157.

To contact the IRS:

Tax questions for individuals: 1-800-829-1040
Tax questions for businesses: 1-800-829-4933
To order tax forms or publications: 1-800-829-3676
To pay taxes by credit card: 1-888-272-9829
For additional information and to print forms and publications, visit www.irs.gov.

Date Prepared: March 7, 2014

Your Form 1099 Composite may include the following Internal Revenue Service (IRS) forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-B and 1099-OID. You'll only receive the form(s) that apply to your particular financial situation and please keep for your record. Please note that information in the Year-End Summary is not provided to the IRS. It is provided to you as additional tax reporting information you may need to complete your tax return.

Table of Contents

charles SCHWAB

Schwab One® Trust Account of
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL

Account Number
4667-8598

TAX YEAR 2013
FORM 1099 COMPOSITE

Date Prepared: March 7, 2014

Recipient's Name and Address

JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL
PO BOX 463
ENGLEWOOD CO 80151

Taxpayer ID Number: 26-6645560
Account Number: 4667-8598

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Dividends and Distributions — 2013

CORRECTED

Form 1099-DIV

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0110)

Box	Description		Amount	Total
1a	**Total Ordinary Dividends** **(Includes amount shown in box 1b)**	CORRECTED		$ 16,062.26
1b	**Qualified Dividends**	CORRECTED	$ 11,677.47	
2a	**Total Capital Gain Distributions** **(Includes amounts shown in boxes 2b, 2c and 2d)**	CORRECTED		$ 23,701.41
2b	Unrecap. Sec. 1250 Gain		$ 0.00	
2c	Section 1202 Gain		$ 0.00	
2d	Collectibles (28%) Gain		$ 268.80	
3	Nondividend Distributions			$ 0.00
4	**Federal Income Tax Withheld**			$ **0.00**
5	Investment Expenses			$ 0.00
6	Foreign Tax Paid			$ 160.97
7	Foreign Country or U.S. Possession			
8	Cash Liquidation Distributions			$ 0.00
9	Noncash Liquidation Distributions			$ 0.00
10	Exempt-interest Dividends			$ 0.00
11	Specified Private Activity Bond Interest Dividends			$ 0.00
12	State			
13	State Identification No.			
14	State Tax Withheld			$ 0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-DIV: Dividends and Distributions

Recipient's identification number.
For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.
May show an account or other unique number the payer assigned to distinguish your account.

Box 1a.
Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b.
Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a.
Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b.
Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions(Form 1040).

Box 2c.
Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d.
Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3.
Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4.
Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.
Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6.
Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7.
This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9.
Shows cash and noncash liquidation distributions.

Box 10.
Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11.
Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251.

Boxes 12-14. State income tax withheld reporting boxes.

Nominees.
If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Future Developments.
For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to *www.irs gov/form1099div*

charles SCHWAB

Schwab One® Trust Account of
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL

Account Number
4667-8598

TAX YEAR 2013
FORM 1099 COMPOSITE

Date Prepared: March 7, 2014

Recipient's Name and Address

JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL
PO BOX 463
ENGLEWOOD CO 80151

Taxpayer ID Number: 26-6645560
Account Number: 4667-8598

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2013

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ARTISAN VALUE FUND INV	04314H873 ARTLX	S	11/21/13 12/23/13	$ 3,941.36 297.01	$ 3,941.37	$ 0.00	$ 0.00
Security Subtotal				**$ 3,941.36**	**$ 3,941.37**	**$ 0.00**	**$ 0.00**
FIRST EAGLE US VALUE FD CL I	32008F861 FEVIX	S	12/13/12 04/05/13	$ 1,495.65 79.01	$ 1,420.60	$ 0.00	$ 0.00
Security Subtotal				**$ 1,495.65**	**$ 1,420.60**	**$ 0.00**	**$ 0.00**
NEUBERGER BERMAN EQTY INCM FD INST CL	641224498 NBHIX	S	 07/01/13	$ 3,366.00 279.42	$ 3,288.80	$ 0.00	$ 0.00
Security Subtotal				**$ 3,366.00**	**$ 3,288.80**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.
For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.
May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.
For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.
Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.
This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.
Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.
If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.
Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4.
Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.
Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a.
If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.
If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.
Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.
Shows state income tax withheld.

Taxpayer ID Number: 26-6645560

Date Prepared: March 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
NUVEEN SANTA BARBARA DIV GROWTH I	67065W639 NSBRX	S	 07/11/13	$ 1,884.90 62.15	$ 1,728.11	$ 0.00	$ 0.00
Security Subtotal				**$ 1,884.90**	**$ 1,728.11**	**$ 0.00**	**$ 0.00**
Total Short-Term (Cost basis is reported to the IRS)				**$ 10,687.91**	**$ 10,378.88**		
Total Short-Term Sales Price of Stocks, Bonds, etc.				**$ 10,687.91**	**$ 10,378.88**		

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

charles SCHWAB

Schwab One® Trust Account of
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL

Account Number
4667-8598

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 26-6645560

Date Prepared: **March 7, 2014**

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.)

LONG-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
ARTISAN VALUE FUND INV	04314H873 ARTLX	S	 12/23/13	$	71,363.04 5,377.77	$	60,450.53	$	0.00	$	0.00
Security Subtotal				**$**	**71,363.04**	**$**	**60,450.53**	**$**	**0.00**	**$**	**0.00**
NEUBERGER BERMAN EQTY INCM FD INST CL	641224498 NBHIX	S	 07/01/13	$	1,223.92 101.60	$	1,140.95	$	0.00	$	0.00
Security Subtotal				**$**	**1,223.92**	**$**	**1,140.95**	**$**	**0.00**	**$**	**0.00**
NUVEEN SANTA BARBARA DIV GROWTH I	67065W639 NSBRX	S	 07/11/13	$	867.75 28.61	$	747.98	$	0.00	$	0.00
Security Subtotal				**$**	**867.75**	**$**	**747.98**	**$**	**0.00**	**$**	**0.00**
Total Long-Term (Cost basis is reported to the IRS)				**$**	**73,454.71**	**$**	**62,339.46**				

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Taxpayer ID Number: 26-6645560

Date Prepared: March 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
FIRST EAGLE US VALUE FD CL I	32008F861	S		$	45,348.54	$	41,497.52	$	0.00	$	0.00
	FEVIX		04/05/13		2,395.60						
Security Subtotal				**$**	**45,348.54**	**$**	**41,497.52**	**$**	**0.00**	**$**	**0.00**
NEUBERGER BERMAN EQTY INCM FD	641224498	S		$	71,810.48	$	66,000.90	$	0.00	$	0.00
INST CL	NBHIX		07/01/13		5,961.32						
Security Subtotal				**$**	**71,810.48**	**$**	**66,000.90**	**$**	**0.00**	**$**	**0.00**
NUVEEN SANTA BARBARA DIV	67065W639	S		$	81,201.44	$	60,721.59	$	0.00	$	0.00
GROWTH I	NSBRX		07/11/13		2,677.80						
Security Subtotal				**$**	**81,201.44**	**$**	**60,721.59**	**$**	**0.00**	**$**	**0.00**
Total Long-Term (Cost basis is available but not reported to the IRS)				**$**	**198,360.46**	**$**	**168,220.01**				
Total Long-Term Sales Price of Stocks, Bonds, etc.				**$**	**271,815.17**	**$**	**230,559.47**				
Total Sales Price of Stocks, Bonds, etc.				**$**	**282,503.08**						
Total Federal Income Tax Withheld				**$**	**0.00**						

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Notes for your Form 1099-B (continued)

Not Provided	Schwab is not providing Cost Basis on this security type.
Missing	Cost Basis may be missing due to one of the following reasons: • Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm). • The security was purchased more than 10 years ago.
Acquisition Date	If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary. (1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank. (2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

Box (1c) Type of Gain or Loss

Long-term	**Long-Term Realized Gain or Loss** has a holding period greater than one year.
Short-term	**Short-Term Realized Gain or Loss** has a holding period of one year or less.

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu
CV = Conversion
E = Exchange
M = Cash Merger
P = Principal
MT = Maturity
S = Sale
R = Redemption
T = Tender
SS = Short Sale

- **The cost basis and basis adjustments for covered securities are reported to the IRS.**
- **Gross Proceeds from each of your security transactions are reported individually to the IRS.**
- **Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: March 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

INTEREST & DIVIDENDS

The information in the following sections may be helpful for, but not limited to, Schedule B. Please consult with your tax advisor or financial advisor regarding specific questions.

Detail Information of Dividends and Distributions

Description	CUSIP Number	Paid in 2013	Paid / Adjusted in 2014 for 2013		Amount
Ordinary Dividends					
Non-Qualified Dividends					
FIRST EAGLE GLOBAL FUND	32008F606	$ 0.00	$ 150.60		$ 150.60
FPA CRESCENT FUND INST	30254T759	$ 0.00	$ 11.82		$ 11.82
IVA INTL FD CL I	45070A404	$ 0.00	$ 1,034.48		$ 1,034.48
NEUBERGER BERMAN EQTY	**641224498**	**$ 0.00**	**$ 153.33**	CORRECTED	**$ 153.33**
OAKMARK INTL FD CLASS I	413838202	$ 0.00	$ 83.68		$ 83.68
SCH ADV CASH RESRV PREM	808515712	$ 0.02	$ 0.00		$ 0.02
SCOUT MID CAP FD	81063U206	$ 0.00	$ 56.42		$ 56.42
T ROWE PRICE CAPITAL	77954M105	$ 0.00	$ 411.71		$ 411.71
VULCAN VALUE PARTNERS FD	317609691	$ 0.00	$ 127.03		$ 127.03
Total Non-Qualified Dividends (Included in Box 1a)		**$ 0.02**	**$ 2,029.07**		**$ 2,029.09**
Short-Term Capital Gains					
ARTISAN GLOBAL VALUE FD	04314H840	$ 602.30	$ (374.18)		$ 228.12
ARTISAN MID CAP VALUE FD	04314H709	$ 254.97	$ (254.97)		$ 0.00
ARTISAN VALUE FUND INV	04314H873	$ 719.01	$ (383.98)		$ 335.03
BBH CORE SELECT FUND	05528X604	$ 334.89	$ (334.89)		$ 0.00
FIRST EAGLE GLOBAL FUND	32008F606	$ 446.65	$ (383.45)		$ 63.20
FPA CRESCENT FUND INST	30254T759	$ 30.62	$ (29.14)		$ 1.48
PRINCIPAL MIDCAP INST CL	74253Q747	$ 237.78	$ (237.78)		$ 0.00
ROYCE SPECIAL EQUITY FD	780905782	$ 310.44	$ (310.44)		$ 0.00
SCOUT MID CAP FD	81063U206	$ 723.90	$ (228.24)		$ 495.66
T ROWE PRICE CAPITAL	77954M105	$ 881.92	$ (299.85)		$ 582.07
VANGUARD EQUITY INCOME	921921300	$ 95.23	$ (54.47)		$ 40.76

Schwab One® Trust Account of
JOHN H STAMPER TT RICHARD AND
DIANA VIGIL CHARITABLE TRUST
U/W DIANA M VIGIL

Account Number
4667-8598

TAX YEAR 2013
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: March 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Detail Information of Dividends and Distributions (continued)

Description	CUSIP Number		Paid in 2013		Paid / Adjusted in 2014 for 2013			Amount
Short-Term Capital Gains (continued)								
VULCAN VALUE PARTNERS FD	317609691	$	890.23	$	(517.50)		$	372.73
YACKTMAN FUND SERVICE CL	561709478	$	236.65	$	0.00		$	236.65
Total Short-Term Capital Gains (Included in Box 1a)		**$**	**5,764.59**	**$**	**(3,408.89)**		**$**	**2,355.70**
Qualified Dividends								
ARTISAN GLOBAL VALUE FD	04314H840	$	522.64	$	374.18		$	896.82
ARTISAN MID CAP VALUE FD	04314H709	$	236.43	$	254.97		$	491.40
ARTISAN VALUE FUND INV	04314H873	$	460.34	$	383.98		$	844.32
BBH CORE SELECT FUND	05528X604	$	346.37	$	334.89		$	681.26
FIRST EAGLE GLOBAL FUND	32008F606	$	1,064.36	$	232.85		$	1,297.21
FPA CRESCENT FUND INST	30254T759	$	244.12	$	17.32		$	261.44
IVA INTL FD CL I	45070A404	$	1,905.50	$	(887.83)		$	1,017.67
NEUBERGER BERMAN EQTY	**641224498**	**$**	**1,016.48**	**$**	**(381.13)**	**CORRECTED**	**$**	**635.35**
NUVEEN SANTA BARBARA	67065W639	$	743.19	$	0.00		$	743.19
OAKMARK INTL FD CLASS I	413838202	$	346.96	$	(69.36)		$	277.60
OAKMARK SELECT FUND	413838608	$	16.25	$	0.00		$	16.25
PRINCIPAL MIDCAP INST CL	74253Q747	$	181.75	$	237.78		$	419.53
ROYCE SPECIAL EQUITY FD	780905782	$	38.34	$	310.44		$	348.78
SCOUT MID CAP FD	81063U206	$	82.39	$	171.82		$	254.21
T ROWE PRICE CAPITAL	77954M105	$	623.80	$	(111.86)		$	511.94
VANGUARD DIV GROWTH FD	921908604	$	842.21	$	0.00		$	842.21
VANGUARD EQUITY INCOME	921921300	$	988.42	$	54.47		$	1,042.89
VULCAN VALUE PARTNERS FD	317609691	$	303.41	$	390.47		$	693.88
YACKTMAN FUND SERVICE CL	561709478	$	401.52	$	0.00		$	401.52
Total Qualified Dividends (Box 1b and included in Box 1a)		**$**	**10,364.48**	**$**	**1,312.99**		**$**	**11,677.47**
Total Ordinary Dividends (Box 1a) (Total Non-Qualified Dividends, Short-Term Capital Gains and Qualified Dividends)		**$**	**16,129.09**	**$**	**(66.83)**		**$**	**16,062.26**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: March 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Detail Information of Dividends and Distributions (continued)

Description	CUSIP Number	Paid in 2013	Paid / Adjusted in 2014 for 2013		Amount
Capital Gain Distributions					
15% Rate Gain					
ARTISAN GLOBAL VALUE FD	04314H840	$ 948.71	$ 0.00		$ 948.71
ARTISAN MID CAP VALUE FD	04314H709	$ 2,102.44	$ 0.00		$ 2,102.44
ARTISAN VALUE FUND INV	04314H873	$ 2,762.02	$ 0.00		$ 2,762.02
BBH CORE SELECT FUND	05528X604	$ 1,111.02	$ 0.00		$ 1,111.02
FIRST EAGLE GLOBAL FUND	32008F606	$ 1,963.09	$ (268.80)		$ 1,694.29
FPA CRESCENT FUND INST	30254T759	$ 1,760.56	$ 0.00		$ 1,760.56
IVA INTL FD CL I	45070A404	$ 1,340.64	$ 0.00		$ 1,340.64
NEUBERGER BERMAN EQTY	**641224498**	**$ 0.00**	**$ 227.80**	**CORRECTED**	**$ 227.80**
OAKMARK INTL FD CLASS I	413838202	$ 233.37	$ 0.00		$ 233.37
OAKMARK SELECT FUND	413838608	$ 857.62	$ 0.00		$ 857.62
PRINCIPAL MIDCAP INST CL	74253Q747	$ 1,264.99	$ 0.00		$ 1,264.99
ROYCE SPECIAL EQUITY FD	780905782	$ 2,513.62	$ 0.00		$ 2,513.62
SCOUT MID CAP FD	81063U206	$ 1,022.10	$ 0.00		$ 1,022.10
T ROWE PRICE CAPITAL	77954M105	$ 1,806.86	$ 0.00		$ 1,806.86
VANGUARD DIV GROWTH FD	921908604	$ 437.95	$ 0.00		$ 437.95
VANGUARD EQUITY INCOME	921921300	$ 1,949.68	$ 0.00		$ 1,949.68
VULCAN VALUE PARTNERS FD	317609691	$ 362.48	$ 0.00		$ 362.48
YACKTMAN FUND SERVICE CL	561709478	$ 1,036.46	$ 0.00		$ 1,036.46
Total 15% Rate Gain (Included in Box 2a)		**$ 23,473.61**	**$ (41.00)**		**$ 23,432.61**
Collectibles (28%) Gain					
FIRST EAGLE GLOBAL FUND	32008F606	$ 0.00	$ 268.80		$ 268.80
Total Collectibles (28%) Gain (Box 2d and included in Box 2a)		**$ 0.00**	**$ 268.80**		**$ 268.80**
Total Capital Gain Distributions (Box 2a)		**$ 23,473.61**	**$ 227.80**		**$ 23,701.41**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: March 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Detail Information of Dividends and Distributions (continued)

Description		CUSIP Number	Paid in 2013	Paid / Adjusted in 2014 for 2013	Amount
Foreign Tax Paid	**Country**				
IVA INTL FD CL I	Not Provided	45070A404	$ 0.00	$ (146.65)	$ (146.65)
OAKMARK INTL FD CLASS I	Not Provided	413838202	$ 0.00	$ (14.32)	$ (14.32)
Total Foreign Tax Paid (Box 6)			**$ 0.00**	**$ (160.97)**	**$ (160.97)**

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ARTISAN VALUE FUND INV	04314H873	34.69	11/21/13	12/23/13	$ 460.34	$ 460.34	$ 0.00	$ 0.00
ARTISAN VALUE FUND INV	04314H873	54.18	11/21/13	12/23/13	$ 719.00	$ 719.01	$ 0.00	$ (0.01)
ARTISAN VALUE FUND INV	04314H873	208.14	11/21/13	12/23/13	$ 2,762.02	$ 2,762.02	$ 0.00	$ 0.00
Security Subtotal					**$ 3,941.36**	**$ 3,941.37**	**$ 0.00**	**$ (0.01)**
FIRST EAGLE US VALUE FD	32008F861	16.12	12/13/12	04/05/13	$ 305.19	$ 289.87	$ 0.00	$ 15.32
FIRST EAGLE US VALUE FD	32008F861	22.51	12/13/12	04/05/13	$ 426.24	$ 404.86	$ 0.00	$ 21.38
FIRST EAGLE US VALUE FD	32008F861	40.37	12/13/12	04/05/13	$ 764.22	$ 725.87	$ 0.00	$ 38.35
Security Subtotal					**$ 1,495.65**	**$ 1,420.60**	**$ 0.00**	**$ 75.05**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: March 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
NEUBERGER BERMAN EQTY	641224498	54.84	09/21/12	07/01/13	$ 660.67	$ 655.40	$ 0.00	$ 5.27
NEUBERGER BERMAN EQTY	641224498	8.48	12/14/12	07/01/13	$ 102.17	$ 97.88	$ 0.00	$ 4.29
NEUBERGER BERMAN EQTY	641224498	30.69	12/14/12	07/01/13	$ 369.75	$ 354.22	$ 0.00	$ 15.53
NEUBERGER BERMAN EQTY	641224498	100.93	12/14/12	07/01/13	$ 1,215.90	$ 1,164.82	$ 0.00	$ 51.08
NEUBERGER BERMAN EQTY	641224498	39.29	03/22/13	07/01/13	$ 473.29	$ 482.48	$ 0.00	$ (9.19)
NEUBERGER BERMAN EQTY	641224498	45.17	06/21/13	07/01/13	$ 544.22	$ 534.00	$ 0.00	$ 10.22
Security Subtotal					**$ 3,366.00**	**$ 3,288.80**	**$ 0.00**	**$ 77.20**
NUVEEN SANTA BARBARA	67065W639	13.08	09/28/12	07/11/13	$ 396.73	$ 354.54	$ 0.00	$ 42.19
NUVEEN SANTA BARBARA	67065W639	23.70	12/28/12	07/11/13	$ 718.89	$ 630.38	$ 0.00	$ 88.51
NUVEEN SANTA BARBARA	67065W639	12.07	03/28/13	07/11/13	$ 366.10	$ 353.87	$ 0.00	$ 12.23
NUVEEN SANTA BARBARA	67065W639	13.29	06/28/13	07/11/13	$ 403.18	$ 389.32	$ 0.00	$ 13.86
Security Subtotal					**$ 1,884.90**	**$ 1,728.11**	**$ 0.00**	**$ 156.79**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 10,687.91**	**$ 10,378.88**	**$ 0.00**	**$ 309.03**
Total Short-Term					**$ 10,687.91**	**$ 10,378.88**	**$ 0.00**	**$ 309.03**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: March 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ARTISAN VALUE FUND INV	04314H873	5,338.07	03/16/12	12/23/13	$ 70,836.30	$ 60,000.00	$ 0.00	$ 10,836.30
ARTISAN VALUE FUND INV	04314H873	39.69	12/19/12	12/23/13	$ 526.74	$ 450.53	$ 0.00	$ 76.21
Security Subtotal					**$ 71,363.04**	**$ 60,450.53**	**$ 0.00**	**$ 10,912.51**
NEUBERGER BERMAN EQTY	641224498	45.37	03/23/12	07/01/13	$ 546.63	$ 516.85	$ 0.00	$ 29.78
NEUBERGER BERMAN EQTY	641224498	56.22	06/22/12	07/01/13	$ 677.29	$ 624.10	$ 0.00	$ 53.19
Security Subtotal					**$ 1,223.92**	**$ 1,140.95**	**$ 0.00**	**$ 82.97**
NUVEEN SANTA BARBARA	67065W639	13.35	03/30/12	07/11/13	$ 405.10	$ 356.95	$ 0.00	$ 48.15
NUVEEN SANTA BARBARA	67065W639	15.25	06/29/12	07/11/13	$ 462.65	$ 391.03	$ 0.00	$ 71.62
Security Subtotal					**$ 867.75**	**$ 747.98**	**$ 0.00**	**$ 119.77**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 73,454.71**	**$ 62,339.46**	**$ 0.00**	**$ 11,115.25**

Long-Term Realized Gain or (Loss)

This section is for noncovered securities and corresponds to transactions reported on your 1099-B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box B checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
FIRST EAGLE US VALUE FD	32008F861	2,306.80	12/02/11	04/05/13	$ 43,667.58	$ 40,035.00	$ 0.00	$ 3,632.58
FIRST EAGLE US VALUE FD	32008F861	6.30	12/13/11	04/05/13	$ 119.32	$ 103.81	$ 0.00	$ 15.51

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: March 7, 2014**

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Long-Term Realized Gain or (Loss) (continued)

This section is for noncovered securities and corresponds to transactions reported on your 1099-B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box B checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
FIRST EAGLE US VALUE FD	32008F861	25.35	12/13/11	04/05/13	$ 479.89	$ 417.53	$ 0.00	$ 62.36
FIRST EAGLE US VALUE FD	32008F861	57.14	12/13/11	04/05/13	$ 1,081.75	$ 941.18	$ 0.00	$ 140.57
Security Subtotal					**$ 45,348.54**	**$ 41,497.52**	**$ 0.00**	**$ 3,851.02**
NEUBERGER BERMAN EQTY	641224498	5,871.72	10/24/11	07/01/13	$ 70,731.14	$ 65,035.00	$ 0.00	$ 5,696.14
NEUBERGER BERMAN EQTY	641224498	11.65	12/16/11	07/01/13	$ 140.41	$ 125.65	$ 0.00	$ 14.76
NEUBERGER BERMAN EQTY	641224498	24.94	12/16/11	07/01/13	$ 300.51	$ 268.93	$ 0.00	$ 31.58
NEUBERGER BERMAN EQTY	641224498	52.99	12/16/11	07/01/13	$ 638.42	$ 571.32	$ 0.00	$ 67.10
Security Subtotal					**$ 71,810.48**	**$ 66,000.90**	**$ 0.00**	**$ 5,809.58**
NUVEEN SANTA BARBARA	67065W639	2,646.67	09/13/11	07/11/13	$ 80,257.25	$ 60,000.00	$ 0.00	$ 20,257.25
NUVEEN SANTA BARBARA	67065W639	15.28	09/30/11	07/11/13	$ 463.44	$ 333.48	$ 0.00	$ 129.96
NUVEEN SANTA BARBARA	67065W639	15.85	12/29/11	07/11/13	$ 480.75	$ 388.11	$ 0.00	$ 92.64
Security Subtotal					**$ 81,201.44**	**$ 60,721.59**	**$ 0.00**	**$ 20,479.85**
Total Long-Term *(Cost basis is available but not reported to the IRS)*					**$ 198,360.46**	**$ 168,220.01**	**$ 0.00**	**$ 30,140.45**
Total Long-Term					**$ 271,815.17**	**$ 230,559.47**	**$ 0.00**	**$ 41,255.70**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: March 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked.)*	$ 10,687.91	$ 10,378.88	$ 0.00	$ 309.03
Total Short-Term Realized Gain or (Loss)	$ 10,687.91	$ 10,378.88	$ 0.00	$ 309.03
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part II, with Box A checked)*	$ 73,454.71	$ 62,339.46	$ 0.00	$ 11,115.25
Total Long-Term Realized Gain or (Loss) *(Cost basis is available but not reported to the IRS Report on Form 8949, Part II, with Box B checked)*	$ 198,360.46	$ 168,220.01	$ 0.00	$ 30,140.45
Total Long-Term Realized Gain or (Loss)	$ 271,815.17	$ 230,559.47	$ 0.00	$ 41,255.70
TOTAL REALIZED GAIN OR (LOSS)	$ 282,503.08	$ 240,938.35	$ 0.00	$ 41,564.73

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: March 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

Notes for Your Realized Gain or (Loss)

Schwab has provided realized gain and loss information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided	Schwab is not providing Cost Basis on this security type .
Missing	Cost Basis may be missing due to one of the following reasons: • Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm). • The security was purchased more than 10 years ago.
Acquisition Date	If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

SUMMARY OF FEES & EXPENSES

The information in the following sections may be helpful for, but not limited to, calculating your itemized deductions for Schedule A. Please consult with your tax advisor or financial advisor regarding specific questions.

Fees — 2013

Description		Amount
ADVISOR FEES	$	9,157.11
Total of Fees — 2013	**$**	**9,157.11**

COST BASIS DISCLOSURE

Changes to Cost Basis Reporting Requirements
Starting with the 2011 tax year, Charles Schwab & Co. will begin reporting adjusted cost basis to you and the IRS on your Form 1099-B for covered securities in your taxable brokerage accounts that you sell and that are covered by the new Emergency Economic Stabilization Act regulations. In the event of transfers of securities, we are also required to report adjusted cost basis information to other custodians for covered securities transferred to them.

Schwab will treat securities as covered when they are acquired on or after the following effective dates:

- January 1, 2011 for stocks and other equities
- January 1, 2012 for mutual funds, ETFs, and Dividend Reinvestment Plan ("DRIP") shares
- January 1, 2014 for other securities (e.g., fixed income and options)

Because these new reporting requirements could impact the cost basis used to compute your taxable gains and losses, it's important to understand when and how they will apply to you. The information in this document is intended as informational only and is not individualized tax advice. Schwab does not provide tax advice and encourages you to consult with your tax professional to understand how the new reporting requirements will affect you and your tax situation.

What Does This Mean for You
1. When you sell covered securities, as described above, Schwab will be required to report details about your cost basis to you and the IRS on Form 1099-B. You will continue to be responsible for reporting all cost basis information for both covered and uncovered securities to the IRS on your tax returns.

2. Unless you've indicated otherwise, Schwab will calculate your gains and losses using the IRS default cost basis method of Average Cost for mutual funds and FIFO (First In, First Out) for all other securities. It is your responsibility to choose the cost basis method appropriate to your tax situation. See "Cost Basis Methods" for the methods offered by Schwab.

3. When you sell a security, the cost basis method used to calculate your gain or loss cannot be changed after your trade settles. So it's important to consider the tax implications at the time of trade.

What is Cost Basis?
Cost basis is the original purchase price you paid for a security plus commissions and any fees. Adjusted cost basis includes any other adjustments to the price. Adjusted cost basis also includes any adjustments due to wash sales, amortization, accretion, and corporate actions.

Your realized gain/loss on a security is determined by subtracting the adjusted cost basis from the sales proceeds in an account less commissions and fees.

For covered securities that were not both purchased and sold at Schwab, Schwab calculates cost basis using data that you have provided to us or that we have obtained from third-party sources such as your prior custodian or another third-party source. Because the accuracy of cost basis data depends upon these third-party inputs, we are not able to guarantee the availability, accuracy, or completeness of such cost basis data on transactions that did not occur at Schwab. If we are not provided with adequate information to report cost basis data for covered securities that were not both purchased and sold at Schwab, those securities will be considered uncovered and the cost basis will not be reported to the IRS even if acquired after the effective date.

Cost Basis Methods
It is your responsibility to choose the cost basis method appropriate to your tax situation. Failure to select the proper cost basis method may cause you to pay more tax than necessary. Schwab does not provide tax advice and encourages you to consult with your tax professional regarding this decision.

To view and change your default cost basis method on your brokerage account, go to the Service tab on schwab.com, then the Cost Basis Method page in Account Settings. You can also make a change by calling 800-435-4000. If your account is managed by an investment advisor, please call your advisor to make a change.

Default Cost Basis Methods
We apply the IRS default method of Average Cost for your mutual funds and the default method of FIFO (First In, First Out) to all other securities unless you inform us of a preferred method.

FIFO	Shares you acquired first are sold first
Average Cost	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.

Cost Basis Methods Offered by Schwab:
You may select a different cost basis method for your brokerage account other than the default methods described above. The following cost basis methods are offered by Schwab:

FIFO (First In, First Out)	Shares you acquired first are sold first
LIFO (Last In, First Out)	Shares you acquired last are sold first
HCOST (High Cost)	Shares with the highest cost are sold first
LCOST (Low Cost)	Shares with lowest cost are sold first
Average Cost (mutual funds only)	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.
Specific Identification	The IRS allows taxpayers to identify specific lots to be sold. Such identification must be made with the broker at the time of trade and no later than close of business on the day the trade settles.

COST BASIS DISCLOSURE (continued)

Tax Lot Optimizer™: Lots are selected and sold with the objective of taking losses first (short-term then long-term) and gains last (long-term then short-term). Lots are sold in this order:

Short Term Losses	Lots that reflect a short term loss are sold first, beginning with lots that generate the greatest short term loss down to the least short term loss
Long Term Losses	Lots that reflect a long term loss are sold, beginning with lots that generate the greatest long term loss down to the least long term loss
Short Term No Gains or Losses	Short term lots that reflect no gain or loss
Long Term No Gains or Losses	Long term lots that reflect no gain or loss
Long Term Gains	Lots that reflect a long term gain, beginning with lots that generate the least long term gain up to the greatest long term gain
Short Term Gains	Lots that reflect a short term gain, beginning with lots that generate the least short term gain up to the greatest short term gain

The cost basis method used to determine the gain/loss of a trade cannot be changed after the trade settles. If Schwab does not receive a specific instruction by the end of settlement date, we will use the current cost basis method you have selected for your account or the default cost basis method if you have made no alternate selection to calculate the gain/loss that is reported to you and the IRS at the end of year.

The Internal Revenue Service does not recognize LIFO, HCOST, LCOST and Tax Lot Optimizer as accounting methods, but it does recognize standing instructions to sell lots as adequate identification for reporting gains/losses.

Cost Basis Adjustments
Cost basis is generally based on your purchase price plus commissions and any fees; however the original cost basis may be subject to adjustments that increase or decrease your basis.

Schwab will adjust the cost basis of securities in your brokerage account in the following circumstances:

Wash Sales: If you sell shares at a loss and buy additional shares in the same security 30 days before or after that date (61-day range), you may not claim the loss on your tax return until you sell the new shares. If a wash sale occurs, the loss is disallowed for federal income tax purposes but may be added to the cost basis of the purchased shares. Schwab will adjust the cost basis and holding period of shares when a wash sale occurs within an account as a result of a purchase of an identical security with the same CUSIP. It's important to note that the wash sale reporting requirements for you as a taxpayer are different and broader than the reporting requirements for Schwab. For more details on your wash sale reporting requirements, please see IRS publication 550 or speak with your tax professional.

Corporate Actions: In some instances corporate actions can affect cost basis of your securities. Regulations require issuers to provide statements describing the effects of a corporate action on the cost basis of a security. Schwab will adjust cost basis for corporate actions based on the information provided in the issuer statement.

Amortization/Accretion: Year-to-Date Amortization and Accretion is provided only for bonds sold in your account or held on 12/31. If you purchased a bond at par or transferred the security out of the account before year-end, no amount will be displayed. In some cases, such as when an account is transferred, Life-to-Date amortization values may be displayed. The amortization/accretion amount displayed on bonds you sold is calculated through the trade date of the sale.

Return of Capital Payments: Cost basis will be reduced for any return of capital (principal) distributions.

Option Adjustments: Schwab will adjust the cost basis or realized gain/loss (proceeds) of the underlying security for option assignments and exercises (by factoring the premium paid or received).

Inheritance: Schwab will adjust the cost basis of securities that have been inherited based on the fair market value on the date of death unless alternate instructions are received from an authorized representative of the estate.

Gifts: Shares will be gifted based on your default cost basis method unless otherwise specified with a given purchase date and cost prior to the gift transfer. Schwab will track the fair market value and gift date in addition to the donor's adjusted cost basis and will apply IRS gift rules at the time of sale to determine gain or loss.

You will still be required to track and make adjustments to your securities as it is possible that you could have other situations that require cost basis adjustments that Schwab is not required to report.

Restricted Stock Awards: If you filed an election pursuant to IRC Section 83(b) on a Restricted Stock Award issued by your employer, a different cost basis may apply to shares vested from that award than the cost basis reported on this statement. Please consult with your personal tax advisor to understand the tax implications.

Acquisition date: If cost basis is missing or not provided, a short-term holding period may have applied for position that may have been held long term.

Please Note: This information is not intended to be a substitute for specific individualized tax, legal or investment planning advice. Where specific advice is necessary or appropriate, Schwab recommends consulting with a qualified tax advisor, CPA, financial planner or investment manager. Except as noted in the Terms and Conditions, your Investment Advisors are not affiliated with, or supervised by Schwab.

Foreign Investors should note that the information provided is from a U.S. tax perspective. The information provided may or may not have relevance in other jurisdictions.

Contact Us
If you have any questions or need more information about the cost basis methods or terminology in this Disclosure Statement, please call us at 1-800-435-4000. If your account is managed by an investment advisor, please call Schwab Alliance at 1-800-515-2157.

TERMS AND CONDITIONS

GENERAL INFORMATION

Form 1099 Composite

For U.S. taxpayers, the information reported to you on Form 1099 is given to the Internal Revenue Service (IRS). Form 1099 Composite is comprised of the following substitute forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-OID, and 1099-B. The form(s) you receive in Form 1099 Composite depends on the income reportable to the IRS.

Year-End Summary

The information in the Year-End Summary is provided to you as a courtesy, should you need additional information when completing your tax return. When relevant, IRS box numbers are referenced to indicate individual items that make up the totals appearing on your Form(s) 1099. With the exception of the totals reported in the IRS box numbers referenced, Charles Schwab does not provide the details of the Year-End Summary to the IRS.

We recommend that all customers consult their investment and tax advisors prior to using this information.

Corrected Form 1099 and Year-End Summary

We are required to send you a corrected form with the revisions clearly marked when we receive updates or revisions to information contained in the form. This generally occurs if one or more of the issuers of the securities in your account reallocated certain income distribution (e.g., dividends or capital gains) after we mailed your original Form 1099. As a result, the supplemental information in Year-End Summary may be updated.

Upon receiving the corrected form, you may want to consider filing an amended return based on the changes in your taxable income as reported on your corrected Form 1099. We suggest that you consult with a qualified tax advisor, CPA, financial planner, or investment manager before you proceed.

Duplicate Form 1099 and Year-End Summary

If you request a duplicate Form 1099, please be aware that Schwab uses the most up-to-date information available at the time of the production. Thus, your duplicate Form 1099 and Year-End Summary may have more up-to-date information than the original Form 1099.

Realized Gain or (Loss) in Year-End Summary

Realized Gain or (Loss) section provides information for all your realized gain or (loss) transactions during the tax year. It contains all transactions included in the Form 1099-B as well as transactions that are not reported on Form 1099-B (for example: sales and expirations of option activities, cash in lieu under $20, negative or zero proceed sales, bankruptcy, and worthless securities). This supplemental information is believed to be accurate as of the date the data was compiled but they may not be updated for any corrections after the data was initially compiled.

IN CASE OF QUESTIONS: If you have questions about this report or about specific Schwab accounts or Schwab One® transactions (other than wire transfers or check transactions), contact Schwab at 1-800-435-4000. If you have a complaint regarding your Schwab statement or our products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105. Outside of the U.S., call +1-415-667-5009.

For Clients of Investment Advisors

The Year-End Summary in this report is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your account at Schwab ("Account"). Schwab Advisor Services™ is a division of Charles Schwab & Co., Inc. and provides back-office brokerage and related services to investment advisors and retirement plan providers. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and, except in the case of an affiliated company that may act as the investment advisor for the Sweep Funds, Windhaven Investment Management, Inc. ("Windhaven®"), or ThomasPartners, Inc. ("TPI"), is not affiliated with your Investment Advisor, whose name appears on this report ("Advisors"). Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisors' commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

Richard and Diana Vigil Charitable Trust
2013 Form 990-PF
Page 8, Part X, Line #1
Fair market value of assets

Securities

Mo	Mo	Mo	Mo	Mo	Mo	Mo	Mo	Mo	Mo	Mo	Mo	Securities
Yr	Yr	Yr	Yr	Yr	Yr	Yr	Yr	Yr	Yr	Yr	Yr	Average
January	February	March	April	May	June	July	August	Sept	Oct	Nov	Dec	
2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	
886,422	893,614	920,817	928,377	937,157	922,903	955,084	938,658	970,586	1,002,595	1,019,070	962,631	944,826

Cash

January	February	March	April	May	June	July	August	Sept	Oct	Nov	Dec	Cash
2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	Average
416	416	416	2,958	2,958	2,958	5,956	5,956	5,956	3,529	1,529	6,834	3,324
886,839	894,030	921,233	931,335	940,116	925,861	961,040	944,614	976,541	1,006,124	1,020,599	969,464	
												948,150