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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE DON & JEAN WAGNER CHARITABLE FOUNDATION		A Employer identification number 26-6125236
Number and street (or P.O. box number if mail is not delivered to street address) 1050 W 54TH STREET	Room/suite	B Telephone number (816) 994-8600
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64112		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,911,160.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
Revenue	1 Contributions, gifts, grants, etc., received			N/A		
	2 Check ☒ if the foundation is not required to attach Sch. B					
	3 Interest on savings and temporary cash investments					
	4 Dividends and interest from securities	33,368.	33,368.		STATEMENT 1	
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	476,145.				
	b Gross sales price for all assets on line 6a	3,164,473.				
	7 Capital gain net income (from Part IV, line 2)		476,145.			
	8 Net short-term capital gain					
	9 Income modifications					
	10a Gross sales less returns and allowances					
b Less Cost of goods sold						
c Gross profit or (loss)						
11 Other income						
12 Total. Add lines 1 through 11	509,513.	509,513.				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.	
	14 Other employee salaries and wages					
	15 Pension plans, employee benefits					
	16a Legal fees					
	b Accounting fees	STMT 2	3,290.	0.		3,290.
	c Other professional fees					
	17 Interest					
	18 Taxes	STMT 3	11,757.	13.		0.
	19 Depreciation and depletion					
	20 Occupancy					
	21 Travel, conferences, and meetings					
	22 Printing and publications					
	23 Other expenses					
	24 Total operating and administrative expenses. Add lines 13 through 23		15,047.	13.		3,290.
	25 Contributions, gifts, grants paid		104,200.			104,200.
26 Total expenses and disbursements. Add lines 24 and 25		119,247.	13.		107,490.	
27 Subtract line 26 from line 12:						
a Excess of revenue over expenses and disbursements		390,266.				
b Net investment income (if negative, enter -0-)			509,500.			
c Adjusted net income (if negative, enter -0-)				N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	890,397.	2,300,306.	2,300,306.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	1,629,258.	609,615.	609,615.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ DUE FROM DON WAGNER)	1,239.	1,239.	1,239.
Net Assets or Fund Balances	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	2,520,894.	2,911,160.	2,911,160.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
Net Assets or Fund Balances	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
Net Assets or Fund Balances	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,520,894.	2,911,160.	
	30 Total net assets or fund balances	2,520,894.	2,911,160.	
	31 Total liabilities and net assets/fund balances	2,520,894.	2,911,160.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,520,894.
2 Enter amount from Part I, line 27a	2	390,266.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,911,160.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,911,160.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED LIST	P		
b SEE ATTACHED LIST	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,200,201.		1,847,947.	352,254.
b 964,272.		840,381.	123,891.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			352,254.
b			123,891.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	476,145.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8 If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	63,966.	2,438,817.	.026228
2011	102,366.	2,022,253.	.050620
2010	59,600.	1,654,663.	.036019
2009	116,104.	1,276,246.	.090973
2008	30,834.	2,039,778.	.015116

2 Total of line 1, column (d)	2	.218956
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043791
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,876,513.
5 Multiply line 4 by line 3	5	125,965.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,095.
7 Add lines 5 and 6	7	131,060.
8 Enter qualifying distributions from Part XII, line 4	8	107,490.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	10,190.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10,190.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,190.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,720.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	6,600.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	15,320.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,130.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ 5,130. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X
14 The books are in care of BRANDI HENDRIX Telephone no. 816-305-7872 Located at PO BOX 907, LIBERTY, MO ZIP+4 64069		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):		Yes	No		Yes	No
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No					
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No					
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No					
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No					
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No					
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No					
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b				
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c				X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):						
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	☐ Yes ☒ No					
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b				
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.						
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	3b				
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	4a			X	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4b			X	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?						

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

6b

7b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEAN D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
WILLIAM D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,054,300.
b Average of monthly cash balances	1b	1,866,018.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,920,318.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)		
1e		0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,920,318.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	43,805.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,876,513.
6 Minimum investment return. Enter 5% of line 5	6	143,826.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	143,826.
2a Tax on investment income for 2013 from Part VI, line 5	2a	10,190.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	10,190.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	133,636.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	133,636.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	133,636.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,490.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,490.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	107,490.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				133,636.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			97,717.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 107,490.				
a Applied to 2012, but not more than line 2a			97,717.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				9,773.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				123,863.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed .

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 6

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2013)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE KANSAS CITY ZOO 6800 ZOO DRIVE KANSAS CITY, MO 64132	NONE	501 (C) (3)	CHARITABLE DONATION	73,000.
NELSON GALLERY FOUNDATION 4525 OAK ST KANSAS CITY, MO 64111	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
BRAIN INJURY ASSOCIATION OF KC 6701 W 64TH STREET SUITE 120 NO 120 OVERLAND PARK, KS 66202-4086	NONE	501 (C) (3)	CHARITABLE DONATION	100.
THE PHELPS SCHOOL 583 SUGARTOWN ROAD MALVERN, PA 19355	NONE	501 (C) (3)	CHARITABLE DONATION	500.
DELASALLE EDUCATION CENTER 3740 FOREST AVENUE KANSAS CITY, MO 64109	NONE	501 (C) (3)	CHARITABLE DONATION	500.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	104,200.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

NOT APPLICABLE

2013.04030 THE DON & JEAN WAGNER CHARIT 01724T1

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	33,368.	0.	33,368.	33,368.	
TO PART I, LINE 4	33,368.	0.	33,368.	33,368.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,290.	0.		3,290.
TO FORM 990-PF, PG 1, LN 16B	3,290.	0.		3,290.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	3,024.	0.		0.
FOREIGN TAX	13.	13.		0.
ESTIMATED TAX PAYMENTS	8,720.	0.		0.
TO FORM 990-PF, PG 1, LN 18	11,757.	13.		0.

FORM 990-PF	OTHER FUNDS	STATEMENT	4
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	2,520,894.	2,911,160.
TOTAL TO FORM 990-PF, PART II, LINE 29	2,520,894.	2,911,160.

THE DON & JEAN WAGNER CHARITABLE
FOUNDATION

26-6125236

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARRY S TRUMAN LIBRARY INSTITUTE 500 W US HWY 24 INDEPENDENCE, MO 64050	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
UMKC TRUSTEES 307 ADMINISTRATIVE CENTER, 5115 OAK ST KANSAS CITY, MO 64110	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
THE PEMBROKE HILL SCHOOL 400 WEST 51ST STREET KANSAS CITY, MO 64112	NONE	501 (C) (3)	CHARITABLE DONATION	10,000.
THE PLAZA ACADEMY 4050 PENNSYLVANIA SUITE 143 KANSAS CITY, MO 64111	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
TURNING POINT: THE CENTER FOR HOPE AND HEALING 8900 STATELINE ROAD, SUITE 240 LEAWOOD, KS 66206	NONE	501 (C) (3)	CHARITABLE DONATION	100.
Total from continuation sheets				25,100.



Ameritrade

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Realized Capital Gain/Loss for Form 8949

WAGNER WILLIAM

01/01/2013 -- 12/31/2013 Tax Year 2013

Created: 5/01/2014 0:31 am

Box A and Box D - transactions reported on Form 1099-B showing basis was reported to the IRS

Short Term Sales	1,622,472.28	Long Term Sales	914,963.32
Short Term Cost	1,525,955.85	Long Term Cost	789,327.99
Short Term Gain/Loss Adj	1,609.07	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	98,125.50	Long Term Gain/Loss	125,635.33

Box B and Box E - transactions reported on Form 1099-B showing basis was not reported to the IRS

Short Term Sales	0.00	Long Term Sales	49,308.73
Short Term Cost	0.00	Long Term Cost	51,053.11
Short Term Gain/Loss Adj	0.00	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	0.00	Long Term Gain/Loss	-1,744.38

Box C and Box F - transactions not reported to you on Form 1099-B

Short Term Sales	577,729.08	Long Term Sales	0.00
Short Term Cost	324,310.95	Long Term Cost	0.00
Short Term Gain/Loss Adj	710.37	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	254,128.50	Long Term Gain/Loss	0.00

Close Date	Rec-type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
09/05/2013	Short Sell	09/04/2013	AAPL Jan 18 2014 425.0 Put	100	1,019.45	835.53			ST	boxC	183.92
01/10/2013		05/18/2012	ABBVIE INC (ABBV)	400	13,098.68	12,324.73			ST	boxA	773.95
02/15/2013		05/18/2012	ABBVIE INC (ABBV)	100	3,274.67	3,081.18			ST	boxA	193.49
11/26/2013	Short Sell	11/25/2013	ABBV Feb 22 2014 42.5 Put	1,000	2,144.72	455.24			ST	boxC	1,689.48
12/09/2013	Short Sell	12/06/2013	ABBV Feb 22 2014 47.5 Put	1,000	1,594.73	1,005.24			ST	boxC	589.49
01/10/2013		05/18/2012	ABBOTT LABORATORIES (ABT)	400	13,088.68	11,365.34			ST	boxA	1,723.34
02/15/2013		05/18/2012	ABBOTT LABORATORIES (ABT)	100	3,264.67	2,841.34			ST	boxA	423.33
02/19/2013	Short Expired	02/19/2013	ABT1 Feb 16 2013 62.5 Put	500	1,157.41	0.00			ST	boxC	1,157.41
05/02/2013	Short Expired	05/18/2013	ABT1 May 18 2013 67.5 Put	500	1,747.37	0.00			ST	boxC	1,747.37
04/01/2013	Short Sell	03/28/2013	ABT1 May 18 2013 70.0 Call	500	447.39	2,777.59			ST	boxC	-2,330.20
11/26/2013	Short Sell	11/25/2013	ABX Apr 19 2014 25.0 Call	1,000	344.75	105.24			ST	boxC	239.51
11/06/2013	Short Sell	11/05/2013	ABX Apr 19 2014 27.0 Call	500	477.37	112.62			ST	boxC	364.75
12/03/2013	Short Sell	12/02/2013	ABX Jan 17 2015 35.0 Call	500	612.36	97.62			ST	boxC	514.74
10/30/2013	Short Sell	10/29/2013	ABX Jan 18 2014 27.0 Call	500	392.40	67.62			ST	boxC	324.78
09/13/2013	Short Sell	09/12/2013	ABX Oct 19 2013 24.0 Call	1,000	604.81	105.24			ST	boxC	499.57
06/11/2013		05/18/2012	AUTOMATIC DATA PROCESSING (ADP)	500	30,836.86	25,537.58			LT	boxD	5,299.28
11/18/2013		08/16/2013	AUTOMATIC DATA PROCESSING (ADP)	1,000	66,383.65	71,410.26			ST	boxA	-5,026.61
03/12/2013	Short Sell	03/11/2013	ADP Aug 17 2013 60.0 Put	1,000	3,094.76	1,255.17			ST	boxC	1,839.59
04/01/2013	Short Sell	03/28/2013	ADP Aug 17 2013 60.0 Call	500	847.40	2,787.59			ST	boxC	-1,940.19
04/11/2013	Short Sell	04/10/2013	ADP Aug 17 2013 52.5 Put	1,000	1,894.78	255.17			ST	boxC	1,639.61
02/05/2013	Short Sell	02/04/2013	ADP Feb 16 2013 60.0 Call	500	497.42	277.59			ST	boxC	219.83
02/19/2013	Short Expired	02/19/2013	ADP Feb 16 2013 60.0 Put	500	1,997.36	0.00			ST	boxC	1,997.36
11/21/2013	Short Sell	11/20/2013	ADP Feb 22 2014 65.0 Put	1,000	2,653.69	175.24			ST	boxC	2,478.45
11/21/2013	Short Sell	11/20/2013	ADP Feb 22 2014 75.0 Put	1,000	5,094.67	905.24			ST	boxC	4,189.43
07/05/2013	Short Sell	07/03/2013	ADP Jan 18 2014 60.0 Put	500	3,497.33	547.62			ST	boxC	2,949.71
11/21/2013	Short Sell	11/20/2013	ADP Jan 18 2014 67.5 Put	1,000	3,294.77	105.24			ST	boxC	3,189.53
01/22/2013	Short Expired	01/22/2013	ADP Jan 19 2013 60.0 Call	300	163.45	0.00			ST	boxC	163.45
01/07/2013	Short Sell	01/04/2013	ADP Jan 19 2013 57.5 Call	500	472.42	927.59			ST	boxC	-455.17
12/05/2013	Short Sell	12/04/2013	ADP May 17 2014 60.0 Put	1,000	894.74	305.24			ST	boxC	589.50
11/21/2013	Short Sell	11/20/2013	ADP May 17 2014 75.0 Put	500	1,747.34	947.62			ST	boxC	799.72
05/20/2013	Short Expired	05/18/2013	ADP May 18 2013 57.5 Put	500	1,547.37	0.00			ST	boxC	1,547.37
04/01/2013	Short Sell	03/28/2013	ADP May 18 2013 60.0 Put	1,000	4,494.72	305.17			ST	boxC	4,189.55
02/27/2013	Short Sell	02/26/2013	ADP May 18 2013 60.0 Call	1,000	544.81	2,155.17			ST	boxC	-1,610.36
04/16/2013	Short Sell	04/15/2013	ADP May 18 2013 62.5 Put	1,000	2,544.77	395.17			ST	boxC	2,149.60
05/06/2013	Short Sell	05/03/2013	ADP May 18 2013 65.0 Put	1,000	644.81	105.17			ST	boxC	539.64
11/18/2013	Short Expired	11/16/2013	ADP Nov 16 2013 70.0 Put	1,000	3,594.76	0.00			ST	boxC	3,594.76
07/05/2013	Short Sell	07/03/2013	ADP Nov 16 2013 62.5 Put	1,000	2,344.77	895.24			ST	boxC	1,449.53
11/18/2013	Short Expired	11/16/2013	ADP Nov 16 2013 72.5 Put	500	1,197.35	0.00			ST	boxC	1,197.35
05/07/2013		05/18/2012	AMERICAN ELECTRIC POWER CO (AEP)	1,000	42,983.85	35,565.17			ST	boxA	7,418.68
03/05/2013	Short Sell	03/04/2013	AEP May 18 2013 42.0 Put	1,000	1,544.79	155.17			ST	boxC	1,389.62
07/05/2013	Short Sell	07/03/2013	AFL Aug 17 2013 55.0 Call	200	468.95	533.05			ST	boxC	-64.10
08/14/2013	Short Sell	08/13/2013	AFL Aug 17 2013 55.0 Call	800	1,875.82	5,116.20			ST	boxC	-3,240.38
08/19/2013	Short Expired	08/17/2013	AFL Aug 17 2013 57.5 Put	1,000	3,494.76	0.00			ST	boxC	3,494.76
08/19/2013	Short Expired	08/17/2013	AFL Aug 17 2013 52.5 Put	1,000	4,194.73	0.00			ST	boxC	4,194.73
06/03/2013	Short Sell	06/03/2013	AFL Aug 17 2013 52.5 Call	1,000	4,704.72	4,555.24			ST	boxC	149.48
01/07/2013	Short Sell	01/04/2013	AFL Feb 16 2013 50.0 Call	1,000	1,054.85	3,105.17			ST	boxC	-2,050.32
02/19/2013	Short Expired	02/19/2013	AFL Feb 16 2013 55.0 Call	1,000	1,094.80	0.00			ST	boxC	1,094.80
02/19/2013	Short Expired	02/19/2013	AFL Feb 16 2013 49.0 Put	1,000	2,844.76	0.00			ST	boxC	2,844.76
10/15/2013	Short Sell	10/11/2013	AFL Feb 22 2014 57.5 Put	1,000	4,994.67	1,505.24			ST	boxC	3,489.43
09/17/2013	Short Sell	09/17/2013	AFL Jan 17 2015 45.0 Put	1,000	6,544.68	1,655.24			ST	boxC	4,889.44
10/22/2013	Short Sell	10/21/2013	AFL Jan 18 2014 60.0 Call	1,000	1,764.79	6,255.24			ST	boxC	-4,490.45
09/25/2013	Short Sell	09/24/2013	AFL Jan 18 2014 50.0 Put	1,000	974.74	355.24			ST	boxC	619.50
11/18/2013	Short Sell	11/15/2013	AFL Jan 18 2014 62.5 Call	1,000	3,244.70	4,605.24			ST	boxC	-1,360.54
12/05/2013	Short Sell	12/04/2013	AFL May 17 2014 62.5 Call	1,000	5,294.66	5,105.24			ST	boxC	189.42
05/20/2013	Short Expired	05/18/2013	AFL May 18 2013 55.0 Put	1,000	3,994.74	0.00			ST	boxC	3,994.74

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Gain/Loss	ST	boxC	Gain/Loss
09/13/2013	Short Sell	09/12/2013	AFL Nov 16 2013 52.5 Put	1,000	684.74	325.24	359.50	ST	boxC	359.50
08/02/2013	Short Sell	08/01/2013	AFL Nov 16 2013 55.0 Put	1,000	3,894.76	645.24	3,249.52	ST	boxC	3,249.52
11/08/2013	Short Sell	11/07/2013	AFL Nov 16 2013 55.0 Call	1,000	1,344.79	10,055.24	-8,710.45	ST	boxC	-8,710.45
11/18/2013	Short Expired	11/16/2013	AFL Nov 16 2013 60.0 Put	500	887.36	0.00	887.36	ST	boxC	887.36
01/10/2013	Short Sell	01/09/2013	BHI Apr 20 2013 52.5 Call	500	332.40	52.59	279.81	ST	boxC	279.81
07/22/2013	Short Expired	07/20/2013	BHI Jul 20 2013 49.0 Call	500	677.39	0.00	677.39	ST	boxC	677.39
01/18/2013	Short Expired	04/18/2012	CATERPILLAR INC (CAT)	500	46,711.39	50,592.75	-3,881.36	ST	boxA	-3,881.36
04/22/2013	Short Expired	04/20/2013	CAT Apr 20 2013 90.0 Call	500	2,497.35	0.00	2,497.35	ST	boxC	2,497.35
08/19/2013	Short Expired	08/17/2013	CAT Aug 17 2013 100.0 Call	500	2,847.34	0.00	2,847.34	ST	boxC	2,847.34
08/19/2013	Short Expired	08/17/2013	CAT Aug 17 2013 85.0 Put	500	1,672.35	0.00	1,672.35	ST	boxC	1,672.35
08/02/2013	Short Sell	08/01/2013	CAT Aug 17 2013 80.0 Put	1,000	3,054.76	405.24	2,649.52	ST	boxC	2,649.52
08/19/2013	Short Expired	08/17/2013	CAT Aug 17 2013 97.5 Call	1,000	3,544.75	0.00	3,544.75	ST	boxC	3,544.75
01/22/2013	Short Sell	01/18/2013	CAT Aug 17 2013 75.0 Put	1,000	3,444.75	1,435.17	2,009.58	ST	boxC	2,009.58
02/14/2013	Short Sell	02/13/2013	CAT Feb 16 2013 87.5 Call	500	3,072.37	4,302.59	-1,230.22	ST	boxC	-1,230.22
02/19/2013	Short Expired	02/19/2013	CAT Feb 16 2013 100.0 Call	1,000	3,444.80	0.00	3,444.80	ST	boxC	3,444.80
11/15/2013	Short Sell	11/14/2013	CAT Jan 18 2014 95.0 Call	500	2,622.35	57.62	2,564.73	ST	boxC	2,564.73
02/21/2013	Short Sell	02/20/2013	CAT Mar 16 2013 90.0 Call	500	3,322.33	2,042.59	1,279.74	ST	boxC	1,279.74
02/06/2013	Short Sell	02/05/2013	CAT May 18 2013 97.5 Call	500	1,017.38	2,652.59	-1,635.21	ST	boxC	-1,635.21
01/07/2013	Short Sell	01/04/2013	CAT May 18 2013 65.0 Put	1,000	2,064.78	295.17	1,769.61	ST	boxC	1,769.61
11/18/2013	Short Expired	11/16/2013	CAT Nov 16 2013 90.0 Call	500	502.37	0.00	502.37	ST	boxC	502.37
08/22/2013	Short Sell	08/21/2013	CAT Nov 16 2013 97.5 Call	500	1,032.38	117.62	914.76	ST	boxC	914.76
11/18/2013	Short Expired	11/16/2013	CAT Nov 16 2013 77.5 Put	500	1,672.37	0.00	1,672.37	ST	boxC	1,672.37
01/18/2013	Short Sell	06/13/2011	CARNIVAL CORP (CCL)	500	18,247.03	20,912.79	-2,665.76	LT	boxD	-2,665.76
09/20/2013	Short Sell	06/22/2011	CARNIVAL CORP (CCL)	500	18,967.04	18,467.81	499.23	LT	boxD	499.23
10/29/2013	Short Sell	10/01/2013	CCL Apr 19 2014 36.0 Call	1,000	1,594.73	895.24	699.49	ST	boxC	699.49
02/06/2013	Short Sell	02/05/2013	CCL Apr 20 2013 38.5 Call	500	1,097.39	777.59	319.80	ST	boxC	319.80
04/22/2013	Short Expired	04/20/2013	CCL Apr 20 2013 38.5 Call	500	1,097.39	0.00	1,097.39	ST	boxC	1,097.39
04/22/2013	Short Expired	04/20/2013	CCL Apr 20 2013 39.5 Call	1,000	1,144.80	0.00	1,144.80	ST	boxC	1,144.80
07/22/2013	Short Expired	07/20/2013	CCL Jul 20 2013 33.5 Put	1,000	1,494.79	0.00	1,494.79	ST	boxC	1,494.79
10/21/2013	Short Expired	10/19/2013	CCL Oct 19 2013 37.0 Call	500	447.37	0.00	447.37	ST	boxC	447.37
10/21/2013	Short Expired	10/19/2013	CCL Oct 19 2013 40.0 Call	1,000	744.81	0.00	744.81	ST	boxC	744.81
08/02/2013	Short Sell	08/01/2013	CCL Oct 19 2013 31.0 Put	1,000	1,544.79	155.24	1,389.55	ST	boxC	1,389.55
02/15/2013	Short Sell	12/16/2011	COLGATE PALMOLIVE CO (CL)	500	54,236.22	43,907.61	10,328.61	LT	boxD	10,328.61
05/24/2013	Short Sell	12/16/2011	COLGATE PALMOLIVE CO (CL)	1,000	56,686.41	43,907.61	12,778.80	LT	boxD	12,778.80
08/16/2013	Short Sell	01/18/2013	COLGATE PALMOLIVE CO (CL)	1,000	61,011.32	51,687.67	9,323.65	ST	boxA	9,323.65
04/26/2013	Short Sell	04/25/2013	CL Aug 17 2013 97.5 Put	500	1,822.36	277.59	1,544.77	ST	boxC	1,544.77
02/19/2013	Short Expired	02/19/2013	CL Feb 16 2013 100.0 Put	500	2,422.38	0.00	2,422.38	ST	boxC	2,422.38
10/30/2013	Short Sell	10/29/2013	CL Feb 22 2014 55.0 Put	1,000	2,344.71	295.24	2,049.47	ST	boxC	2,049.47
11/21/2013	Short Sell	11/20/2013	CL Jan 17 2015 55.0 Put	1,000	4,247.31	1,745.24	2,502.07	ST	boxC	2,502.07
11/08/2013	Short Sell	11/07/2013	CL Jan 18 2014 62.5 Call	1,000	872.40	3,655.24	-2,782.84	ST	boxC	-2,782.84
01/07/2013	Short Sell	01/04/2013	CL Jan 19 2013 105.0 Call	500	517.43	1,472.59	-955.16	ST	boxC	-955.16
11/21/2013	Short Sell	11/20/2013	CL May 17 2014 52.5 Put	1,000	1,104.74	405.24	699.50	ST	boxC	699.50
05/10/2013	Short Sell	05/09/2013	CL May 18 2013 115.0 Call	500	537.39	2,652.59	-2,115.20	ST	boxC	-2,115.20
05/20/2013	Short Expired	05/18/2013	CL May 18 2013 52.5 Put	1,000	2,747.34	0.00	2,747.34	ST	boxC	2,747.34
08/02/2013	Short Sell	08/01/2013	CL Nov 16 2013 55.0 Put	1,000	1,344.80	705.24	639.56	ST	boxC	639.56
11/08/2013	Short Sell	11/07/2013	CL Nov 16 2013 62.5 Call	1,000	797.39	2,885.24	-2,087.85	ST	boxC	-2,087.85
11/18/2013	Short Expired	11/16/2013	CL Nov 16 2013 62.5 Put	1,000	3,444.69	0.00	3,444.69	ST	boxC	3,444.69
08/19/2013	Short Expired	08/17/2013	CPB Aug 17 2013 36.0 Put	1,000	2,344.77	0.00	2,344.77	ST	boxC	2,344.77
04/24/2013	Short Sell	04/23/2013	CPB Aug 17 2013 39.0 Put	1,000	2,144.78	255.17	1,889.61	ST	boxC	1,889.61
08/19/2013	Short Expired	08/17/2013	CPB Aug 17 2013 40.0 Put	1,000	1,594.79	0.00	1,594.79	ST	boxC	1,594.79
04/04/2013	Short Sell	04/03/2013	CPB Aug 17 2013 42.0 Call	1,000	744.81	3,905.17	-3,160.36	ST	boxC	-3,160.36
02/19/2013	Short Expired	02/19/2013	CPB Feb 16 2013 35.0 Put	1,000	1,694.79	0.00	1,694.79	ST	boxC	1,694.79
09/13/2013	Short Sell	09/12/2013	CPB Feb 22 2014 48.0 Call	1,000	1,144.73	305.24	839.49	ST	boxC	839.49
08/02/2013	Short Sell	08/01/2013	CPB Jan 18 2014 42.0 Put	1,000	3,894.74	755.24	3,139.50	ST	boxC	3,139.50
11/26/2013	Short Sell	11/25/2013	CPB May 17 2014 47.0 Call	1,000	594.74	145.24	449.50	ST	boxC	449.50

Close Date	Rec-type	Open Date	Security	Shares Sold	Proceeds	Cost (Code)	Gain/Loss
02/21/2013	Short Sell	02/20/2013	CPB May 18 2013 37.0 Put	1,000	1,144.80	205.17	939.63
02/21/2013	Short Sell	02/20/2013	CPB May 18 2013 37.0 Call	1,000	794.81	3,405.17	-2,610.36
02/27/2013	Short Sell	02/26/2013	CPB May 18 2013 38.0 Put	1,000	994.80	405.17	589.63
03/08/2013	Short Sell	03/07/2013	CPB May 18 2013 34.0 Put	1,000	1,744.79	50.17	1,694.62
05/20/2013	Short Expired	05/18/2013	CPB May 18 2013 41.0 Put	1,000	1,494.79	0.00	1,494.79
04/12/2013	Short Sell	04/11/2013	CPB Nov 16 2013 43.0 Call	1,000	3,394.75	3,605.17	-210.42
08/16/2013		02/17/2012	CSX CORP (CSX)	1,000	23,234.41	23,842.96	-608.55
11/25/2013		08/24/2012	CSX CORP (CSX)	1,000	23,664.40	23,736.84	-72.44
05/28/2013	Short Sell	05/24/2013	CSX Aug 17 2013 25.0 Call	1,000	424.82	1,135.17	-710.35
12/03/2013	Short Sell	12/02/2013	CSX Jan 17 2015 17.5 Put	1,000	814.74	305.24	509.50
01/22/2013	Short Expired	01/22/2013	CSX Jan 19 2013 25.0 Call	1,000	834.86	0.00	834.86
05/08/2013	Short Sell	05/07/2013	CSX May 18 2013 22.5 Call	1,000	784.81	2,785.17	-2,000.36
11/15/2013	Short Sell	11/14/2013	CSX Nov 16 2013 25.0 Call	1,000	1,194.80	2,015.24	-820.44
04/18/2013		08/17/2012	CVS CAREMARK CORP (CVS)	1,000	51,083.68	42,665.19	8,418.49
07/17/2013		11/16/2012	CVS CAREMARK CORP (CVS)	1,000	51,583.91	41,965.18	9,618.73
02/27/2013	Short Sell	02/26/2013	CVS Aug 17 2013 50.0 Call	1,000	3,794.74	2,905.17	889.57
08/19/2013	Short Expired	08/17/2013	CVS Aug 17 2013 52.5 Put	1,000	2,764.76	0.00	2,764.76
12/23/2013	Short Expired	12/21/2013	CVS Dec 21 2013 65.0 Put	1,000	2,244.72	0.00	2,244.72
02/14/2013	Short Sell	02/13/2013	CVS Feb 16 2013 50.0 Call	1,000	1,454.84	1,055.17	399.67
02/19/2013	Short Expired	02/19/2013	CVS Feb 16 2013 41.0 Put	1,000	1,494.84	0.00	1,494.84
02/19/2013	Short Expired	02/19/2013	CVS Feb 16 2013 40.0 Put	1,000	1,264.85	0.00	1,264.85
11/21/2013	Short Sell	11/20/2013	CVS Feb 22 2014 60.0 Put	1,000	4,244.68	735.24	3,509.44
08/22/2013	Short Sell	08/21/2013	CVS Feb 22 2014 52.5 Put	1,000	2,494.71	1,405.24	1,089.47
11/21/2013	Short Sell	11/20/2013	CVS Feb 22 2014 65.0 Call	1,000	1,244.73	2,505.24	-1,260.51
11/21/2013	Short Sell	11/20/2013	CVS Jan 18 2014 60.0 Call	500	1,297.38	2,927.62	-1,630.24
01/18/2013	Short Sell	01/17/2013	CVS Jan 19 2013 48.0 Call	1,000	744.81	3,355.17	-2,610.36
04/12/2013	Short Sell	04/11/2013	CVS May 18 2013 48.0 Put	1,000	3,044.76	95.17	2,949.59
11/18/2013	Short Expired	11/16/2013	CVS Nov 16 2013 62.5 Put	500	1,647.35	0.00	1,647.35
01/30/2013	Short Sell	01/29/2013	CVS Nov 16 2013 65.0 Call	1,000	694.81	545.24	149.57
05/08/2013	Short Sell	05/07/2013	DD Apr 20 2013 43.0 Put	1,000	2,304.77	355.17	1,949.60
01/18/2013		03/16/2012	DEERE & CO (DE)	500	502.39	92.59	409.80
12/26/2013		05/25/2012	DEERE & CO (DE)	500	43,501.42	37,157.65	6,343.77
04/22/2013	Short Expired	04/20/2013	DE Apr 20 2013 85.0 Call	500	44,086.61	35,337.75	8,748.86
12/23/2013	Short Expired	12/21/2013	DE Dec 21 2013 75.0 Put	1,000	3,222.33	0.00	3,222.33
12/23/2013	Short Expired	12/21/2013	DE Dec 21 2013 92.5 Call	1,000	2,994.76	0.00	2,994.76
01/07/2013	Short Sell	01/04/2013	DE Jan 19 2013 87.5 Call	1,000	2,754.76	0.00	2,754.76
06/24/2013	Short Expired	06/22/2013	DE Jun 22 2013 95.0 Call	500	2,054.83	1,965.17	89.66
06/24/2013	Short Expired	06/22/2013	DE Jun 22 2013 75.0 Put	500	1,377.37	0.00	1,377.37
06/24/2013	Short Expired	06/22/2013	DE Jun 22 2013 92.5 Call	500	1,947.36	0.00	1,947.36
03/12/2013	Short Sell	03/11/2013	DE Mar 16 2013 85.0 Call	1,000	3,304.74	0.00	3,304.74
03/18/2013	Short Expired	03/18/2013	DE Mar 16 2013 70.0 Put	1,000	1,327.41	3,152.59	-1,825.18
06/27/2013	Short Sell	06/26/2013	DE Sep 21 2013 95.0 Call	500	1,744.79	0.00	1,744.79
09/18/2013	Short Sell	09/17/2013	DE Sep 21 2013 85.0 Put	1,000	1,067.38	167.62	899.76
04/19/2013		03/16/2012	DOVER CORP (DOV)	500	4,044.73	1,395.24	2,649.49
08/27/2013		03/15/2012	DOVER CORP (DOV)	500	36,336.59	25,612.64	10,723.95
08/27/2013		03/15/2012	DOVER CORP (DOV)	500	50,696.46	49,430.69	1,265.77
08/19/2013	Short Expired	08/17/2013	DOV Aug 17 2013 80.0 Put	300	21,727.06	16,025.61	5,701.45
08/02/2013	Short Sell	08/01/2013	DOV Dec 21 2013 70.0 Put	500	1,272.35	0.00	1,272.35
11/25/2013	Short Sell	11/22/2013	DOV Dec 21 2013 70.0 Call	500	1,222.38	327.62	894.76
07/22/2013	Short Sell	07/19/2013	DOV Dec 21 2013 75.0 Put	500	1,947.36	11,602.62	-9,655.26
12/23/2013	Short Expired	12/21/2013	DOV Dec 21 2013 85.0 Put	500	1,997.37	827.62	1,169.75
02/19/2013	Short Expired	02/19/2013	DOV Feb 16 2013 70.0 Put	500	1,852.34	0.00	1,852.34
06/24/2013	Short Expired	06/22/2013	DOV Jun 22 2013 65.0 Put	1,000	1,994.82	0.00	194.82
				1,000	4,694.72	0.00	4,694.72

Close Date	Rec-type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
02/27/2013	Short Sell	02/26/2013	DOV Jun 22 2013 65.0 Call	1,000	1,644.79	7,805.17			ST	boxC	-6,160.38
02/21/2013	Short Sell	02/20/2013	DOV Mar 16 2013 60.0 Call	500	1,697.37	6,352.59			ST	boxC	-4,655.22
02/06/2013	Short Sell	02/05/2013	DOV Mar 16 2013 65.0 Call	1,000	994.85	5,805.17			ST	boxC	-4,810.32
08/22/2013	Short Sell	08/21/2013	DOV Sep 21 2013 70.0 Put	1,000	4,694.72	105.24			ST	boxC	4,589.48
03/15/2013		06/17/2011	EMERSON ELEC CO (EMR)	1,000	55,973.18	50,678.12			LT	boxD	5,295.06
05/14/2013		VARIOUS	EMERSON ELEC CO (EMR)	1,000	53,633.62	56,528.13			LT	boxD	-2,894.51
12/23/2013	Short Expired	12/21/2013	EMR Dec 21 2013 50.0 Put	1,000	1,694.80	0.00			ST	boxC	1,694.80
01/07/2013	Short Sell	01/04/2013	EMR Jan 19 2013 55.0 Call	1,000	1,094.85	805.17			ST	boxC	289.68
01/22/2013	Short Sell	01/18/2013	EMR Jan 19 2013 52.5 Call	1,000	794.86	3,105.17			ST	boxC	-2,310.31
06/24/2013	Short Expired	06/22/2013	EMR Jun 22 2013 52.5 Put	1,000	1,294.80	0.00			ST	boxC	1,294.80
01/07/2013	Short Sell	01/04/2013	EMR Jun 22 2013 39.0 Put	1,000	1,394.79	355.17			ST	boxC	1,039.62
02/01/2013	Short Sell	01/31/2013	EMR Mar 16 2013 50.0 Call	500	1,012.41	3,702.59			ST	boxC	-2,690.18
02/06/2013	Short Sell	02/05/2013	EMR Mar 16 2013 55.0 Call	1,000	1,244.80	1,955.17			ST	boxC	-710.37
11/21/2013	Short Sell	11/20/2013	EMR Mar 22 2014 65.0 Call	500	1,202.35	1,952.62	W		ST	boxC	0.00
09/23/2013	Short Expired	09/21/2013	EMR Sep 21 2013 55.0 Put	1,000	3,294.75	0.00			ST	boxC	-750.27
09/18/2013	Short Sell	09/17/2013	EMR Sep 21 2013 60.0 Call	400	697.91	1,994.60			ST	boxC	3,294.75
09/18/2013	Short Sell	09/17/2013	EMR Sep 21 2013 60.0 Call	100	174.48	495.03			ST	boxC	-1,296.69
03/15/2013		06/25/2010	EXELON CORP (EXC)	500	16,212.04	21,467.78			LT	boxE	-5,255.74
04/19/2013		06/22/2012	EXELON CORP (EXC)	500	16,862.03	18,882.58			ST	boxA	-2,020.55
05/10/2013		VARIOUS	EXELON CORP (EXC)	1,000	32,534.09	36,315.15			ST	boxA	-3,781.06
04/01/2013	Short Sell	03/28/2013	EXC Apr 20 2013 33.0 Call	500	372.41	752.59			ST	boxC	-380.18
04/26/2013	Short Sell	04/25/2013	EXC May 18 2013 34.0 Call	500	467.39	1,652.59			ST	boxC	-1,185.20
10/21/2013	Short Expired	10/19/2013	EXC Oct 19 2013 33.0 Call	500	447.39	0.00			ST	boxC	447.39
04/05/2013		03/17/2011	GENERAL MILLS INC (GIS)	500	20,611.94	18,531.51			LT	boxD	2,080.43
04/05/2013		04/20/2012	GENERAL MILLS INC (GIS)	500	21,121.94	19,277.58			ST	boxA	1,844.36
04/05/2013		VARIOUS	GENERAL MILLS INC (GIS)	500	21,121.94	18,539.01			LT	boxD	2,582.93
02/08/2013	Short Sell	02/07/2013	GIS Apr 20 2013 37.0 Put	1,000	1,444.79	105.17			ST	boxC	1,339.62
12/23/2013	Short Expired	12/21/2013	GIS Dec 21 2013 49.0 Put	1,000	1,264.73	0.00			ST	boxC	1,264.73
01/07/2013	Short Sell	01/04/2013	GIS Jan 19 2013 40.0 Call	1,000	1,099.81	1,805.17			ST	boxC	-705.36
04/04/2013	Short Sell	04/03/2013	GIS Jul 20 2013 39.0 Put	1,000	1,594.79	115.17			ST	boxC	1,479.62
01/18/2013		10/19/2012	GRAINGER W W INC (GWW)	500	101,485.13	100,512.74			ST	boxA	972.39
02/15/2013		08/24/2012	HOME DEPOT INC (HD)	1,000	57,533.58	36,670.50			ST	boxA	20,863.08
12/02/2013		06/21/2013	HOME DEPOT INC (HD)	1,000	75,343.48	71,765.25			ST	boxA	3,578.23
04/12/2013	Short Sell	04/11/2013	HOME DEPOT INC (HD)	1,000	75,833.48	78,515.27			ST	boxA	-1,681.79
05/28/2013	Short Sell	05/24/2013	HD Aug 17 2013 65.0 Put	1,000	3,244.75	965.17			ST	boxC	2,279.58
02/19/2013	Short Expired	02/19/2013	HD Feb 16 2013 55.0 Put	1,000	1,354.79	8,955.17			ST	boxC	-7,600.38
07/22/2013	Short Sell	07/19/2013	HD Feb 22 2014 65.0 Put	1,000	2,994.76	0.00			ST	boxC	2,994.76
01/30/2013	Short Sell	01/29/2013	HD May 18 2013 57.5 Put	1,000	2,534.71	1,355.24			ST	boxC	1,179.47
03/12/2013	Short Sell	03/11/2013	HD May 18 2013 67.5 Call	1,000	2,524.77	475.17			ST	boxC	2,049.60
05/10/2013	Short Sell	05/09/2013	HD Nov 16 2013 70.0 Put	1,000	1,744.79	4,355.17			ST	boxC	-2,610.38
11/18/2013	Short Expired	11/16/2013	HD Nov 16 2013 75.0 Put	500	2,422.35	1,132.59			ST	boxC	1,289.76
11/14/2013	Short Sell	11/13/2013	HD Nov 16 2013 75.0 Call	1,000	3,194.77	0.00			ST	boxC	3,194.77
11/18/2013	Short Expired	11/16/2013	HD Nov 16 2013 80.0 Put	1,000	6,244.72	2,565.24			ST	boxC	3,679.48
03/20/2013		VARIOUS	HJ HEINZ CO (HNZ)	400	2,257.86	0.00			ST	boxC	2,257.86
06/24/2013	Short Expired	06/22/2013	HNZ Jan 17 2015 60.0 Put	1,000	61,333.44	64,617.57			ST	boxA	-3,284.13
06/24/2013	Short Expired	06/22/2013	HNZ Jan 18 2014 55.0 Put	1,000	6,194.69	0.00			ST	boxC	6,194.69
01/22/2013	Short Expired	01/22/2013	HNZ Jan 19 2013 50.0 Put	1,000	4,794.72	0.00			ST	boxC	4,794.72
06/24/2013	Short Expired	06/22/2013	HNZ Jun 22 2013 60.0 Put	1,000	1,694.84	0.00			ST	boxC	1,694.84
03/18/2013	Short Expired	03/18/2013	HNZ Mar 16 2013 55.0 Put	1,000	5,394.70	0.00			ST	boxC	5,394.70
03/18/2013	Short Expired	03/18/2013	HNZ Mar 16 2013 60.0 Put	500	1,647.40	0.00			ST	boxC	1,647.40
06/24/2013	Short Expired	06/22/2013	HNZ Sep 21 2013 65.0 Put	1,000	5,994.69	0.00			ST	boxC	5,994.69
12/23/2013	Short Expired	12/21/2013	HON Dec 21 2013 77.5 Put	1,000	6,094.69	0.00			ST	boxC	6,094.69
07/11/2013	Short Sell	07/10/2013	HON Sep 21 2013 70.0 Put	500	2,272.34	0.00			ST	boxC	2,272.34
05/02/2013		01/21/2011	INTEL CORP (INTC)	500	1,462.37	237.62			ST	boxC	1,224.75
				1,000	22,594.31	20,580.51			LT	boxD	2,013.80

Close Date	Rectype	Open Date	Security	Shares Sold	Proceeds	Cost/Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
07/19/2013		10/19/2012	INTEL CORP (INTC)	1,000	23,484.40	25,275.19		ST	boxA	-1,790.79
11/04/2013		01/16/2013	INTEL CORP (INTC)	1,000	23,854.33	19,420.50		ST	boxA	4,433.83
01/17/2013	Short Sell	01/17/2013	INTC Apr 20 2013 27.0 Call	1,000	1,014.80	75.17		ST	boxC	939.63
02/05/2013	Short Sell	10/16/2013	INTC Oct 19 2013 23.0 Call	1,000	664.81	725.24		ST	boxC	-60.43
02/06/2013	Short Sell	02/04/2013	IP Apr 20 2013 38.0 Call	500	812.39	2,202.59		ST	boxC	-1,390.20
01/30/2013	Short Sell	02/05/2013	IP Apr 20 2013 36.0 Put	1,000	2,994.76	225.17		ST	boxC	2,769.59
03/06/2013	Short Sell	01/29/2013	IP Apr 20 2013 37.0 Call	1,000	2,594.77	5,205.17		ST	boxC	-2,610.40
01/22/2013	Short Expired	03/05/2013	IP Apr 20 2013 34.0 Put	500	1,697.37	57.59		ST	boxC	1,639.78
01/22/2013	Short Expired	01/22/2013	IP Jan 19 2013 35.0 Put	500	1,202.38	0.00		ST	boxC	1,202.38
01/18/2013		01/22/2013	IP Jan 19 2013 36.0 Put	500	922.38	0.00		ST	boxC	922.38
03/05/2013	Short Sell	01/20/2012	JOHNSON & JOHNSON (JNJ)	400	27,347.33	25,266.03		ST	boxA	2,081.30
04/26/2013	Short Sell	01/20/2012	JOHNSON & JOHNSON (JNJ)	500	35,546.63	31,582.55		ST	boxA	3,964.08
05/29/2013		03/04/2013	JNJ Apr 20 2013 67.5 Put	500	1,822.36	37.59		ST	boxC	1,784.77
09/20/2013		04/25/2013	JNJ Jul 20 2013 70.0 Put	500	2,047.36	57.59		ST	boxC	1,989.77
09/20/2013		09/19/2012	KELLOGG CO (K)	500	29,561.88	24,107.61		ST	boxA	5,454.27
10/18/2013		05/29/2013	KELLOGG CO (K)	500	30,766.87	30,407.59		ST	boxA	359.28
04/19/2013	Short Sell	09/19/2012	KELLOGG CO (K)	500	30,766.87	24,107.60		LT	boxD	6,659.27
01/30/2013	Short Sell	05/18/2012	KIMBERLY CLARK CORP (KMB)	500	49,686.50	39,032.58		LT	boxD	10,653.92
11/21/2013	Short Sell	04/18/2013	KMB Apr 20 2013 90.0 Call	500	647.39	5,602.59		ST	boxC	-4,955.20
11/04/2013	Short Sell	01/29/2013	KMB Apr 20 2013 75.0 Put	1,000	2,194.78	205.17		ST	boxC	1,989.61
01/22/2013	Short Expired	11/20/2013	KMB Jan 18 2014 95.0 Call	500	547.39	6,502.62		ST	boxC	-5,955.23
01/22/2013	Short Expired	11/01/2013	KMB Jan 18 2014 77.5 Put	1,000	4,294.73	50.24		ST	boxC	4,244.49
01/22/2013	Short Expired	01/22/2013	KMB Jan 19 2013 85.0 Put	500	1,547.37	0.00		ST	boxC	1,547.37
07/22/2013	Short Expired	01/22/2013	KMB Jan 19 2013 90.0 Call	500	322.43	0.00		ST	boxC	322.43
10/21/2013	Short Expired	01/22/2013	KMB Jul 20 2013 90.0 Call	500	747.39	4,602.62		ST	boxC	-3,855.23
08/02/2013	Short Expired	07/19/2013	KMB Oct 19 2013 95.0 Put	1,500	6,767.08	0.00		ST	boxC	6,767.08
12/23/2013	Short Expired	08/01/2013	KMB Oct 19 2013 90.0 Put	500	1,047.39	377.62		ST	boxC	669.77
02/19/2013	Short Expired	12/21/2013	K Feb 16 2013 57.5 Put	1,000	344.82	0.00		ST	boxC	344.82
06/22/2013	Short Expired	02/19/2013	K Jun 22 2013 55.0 Call	500	797.42	1,427.59		ST	boxC	-630.17
06/24/2013	Short Expired	04/23/2013	K Jun 22 2013 52.5 Put	1,000	0.00	55.17		ST	boxC	-55.17
01/30/2013	Short Sell	02/21/2013	K Jun 22 2013 55.0 Call	1,000	944.80	5,005.17		ST	boxC	-4,060.37
08/05/2013	Short Sell	06/22/2013	K Jun 22 2013 47.5 Put	1,000	1,244.80	0.00		ST	boxC	1,244.80
05/21/2013		01/29/2013	K Mar 16 2013 50.0 Put	500	1,197.38	25.09		ST	boxC	1,172.29
11/19/2013		08/02/2013	K Sep 21 2013 60.0 Put	1,000	3,494.75	205.24		ST	boxC	3,289.51
03/05/2013	Short Sell	04/20/2012	3M COMPANY (MMM)	500	52,236.48	37,883.99		LT	boxD	14,352.49
04/12/2013	Short Sell	08/21/2013	MMM Apr 20 2013 87.5 Put	500	51,186.51	58,597.64		ST	boxA	-7,411.13
02/06/2013	Short Sell	03/04/2013	MMM Apr 20 2013 105.0 Call	500	2,047.36	102.59		ST	boxC	1,944.77
02/12/2013	Short Sell	04/11/2013	MMM Feb 16 2013 97.5 Call	500	557.39	1,827.59		ST	boxC	-1,270.20
10/15/2013	Short Sell	02/05/2013	MMM Jan 17 2015 80.0 Put	1,000	1,057.38	2,102.59		ST	boxC	-1,045.21
04/12/2013	Short Sell	02/11/2013	MMM Jan 17 2015 105.0 Put	500	9,194.62	4,155.17		ST	boxC	5,039.45
01/22/2013	Short Expired	11/20/2013	MMM Jan 17 2015 105.0 Put	500	6,672.26	1,427.62		ST	boxC	5,244.64
06/27/2013	Short Sell	10/11/2013	MMM Jan 18 2014 100.0 Put	500	1,672.35	292.62		ST	boxC	1,379.73
05/30/2013	Short Sell	04/11/2013	MMM Jan 18 2014 82.5 Put	500	3,572.32	592.59		ST	boxC	2,979.73
08/09/2013	Short Sell	01/22/2013	MMM Jan 19 2013 90.0 Put	1,000	10,844.79	0.00		ST	boxC	10,844.79
08/05/2013	Short Sell	01/17/2013	MMM Jan 19 2013 97.5 Call	500	1,037.42	432.59		ST	boxC	604.83
08/28/2013	Short Sell	06/26/2013	MMM Jul 20 2013 97.5 Put	500	1,697.37	62.62		ST	boxC	1,634.75
04/26/2013	Short Sell	05/29/2013	MMM Oct 19 2013 105.0 Put	500	1,922.36	1,292.59		ST	boxC	629.77
04/30/2013		10/21/2013	MMM Oct 19 2013 110.0 Put	500	2,172.37	0.00		ST	boxC	2,172.37
10/29/2013		08/08/2013	MMM Oct 19 2013 115.0 Put	500	2,547.33	1,047.62		ST	boxC	1,499.71
		08/05/2013	MMM Oct 19 2013 100.0 Put	1,000	5,044.71	305.24		ST	boxC	4,739.47
		08/27/2013	MMM Oct 19 2013 115.0 Call	500	812.39	902.62		ST	boxC	-90.23
		04/25/2013	MSFT Jul 20 2013 27.0 Put	1,000	2,024.78	145.17		ST	boxC	1,879.61
		VARIOUS	NORFOLK SOUTHERN CORP (NSC)	1,000	66,133.34	64,770.23		ST	boxA	1,363.11
		09/21/2012	NORFOLK SOUTHERN CORP (NSC)	500	37,586.74	32,657.61		LT	boxD	4,929.13

Close Date	Re-type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST	Box	Gain/Loss
09/25/2013	Short Sell	09/24/2013	NSC Dec 21 2013 77.5 Put	1,000	7,094.67	2,905.24			ST	boxC	4,189.43
10/15/2013	Short Sell	10/11/2013	NSC Dec 21 2013 77.5 Call	1,000	4,294.73	3,305.24			ST	boxC	989.49
11/08/2013	Short Sell	11/07/2013	NSC Dec 21 2013 67.5 Put	1,000	3,094.76	105.24			ST	boxC	2,989.52
04/24/2013	Short Sell	04/23/2013	NSC Jun 22 2013 72.5 Call	1,000	1,144.80	4,605.17			ST	boxC	-3,460.37
02/12/2013	Short Sell	02/11/2013	NSC Jun 22 2013 55.0 Put	500	1,397.37	177.59			ST	boxC	1,219.78
03/18/2013	Short Expired	03/18/2013	NSC Mar 16 2013 65.0 Put	500	1,597.37	0.00			ST	boxC	1,597.37
11/21/2013	Short Sell	11/20/2013	NSC Mar 22 2014 77.5 Put	500	3,197.32	627.62			ST	boxC	2,569.70
11/21/2013	Short Sell	11/20/2013	NSC Mar 22 2014 82.5 Call	500	822.36	2,952.62			ST	boxC	-2,130.26
05/08/2013	Short Sell	05/07/2013	NSC Sep 21 2013 67.5 Put	1,000	3,894.74	1,005.17			ST	boxC	2,889.57
09/23/2013	Short Sell	09/20/2013	NSC Sep 21 2013 77.5 Call	1,000	994.80	855.24			ST	boxC	139.56
01/18/2013		05/20/2011	PACCAR INC (PCAR)	500	21,911.91	25,717.78			LT	boxD	-3,805.87
02/12/2013		08/16/2011	PACCAR INC (PCAR)	500	21,586.94	25,117.80			LT	boxD	-3,530.86
03/15/2013		02/17/2012	PACCAR INC (PCAR)	500	23,886.86	16,612.66			LT	boxD	7,274.20
05/14/2013		08/17/2012	PACCAR INC (PCAR)	500	21,861.91	20,487.58			ST	boxA	1,374.33
08/19/2013	Short Expired	08/17/2013	PCAR Aug 17 2013 47.0 Put	1,000	2,144.78	0.00			ST	boxC	2,144.78
04/24/2013	Short Sell	04/23/2013	PCAR Aug 17 2013 40.0 Put	1,000	2,544.77	605.17			ST	boxC	1,939.60
02/06/2013	Short Sell	02/05/2013	PCAR Feb 16 2013 45.2 Call	500	847.42	1,197.59			ST	boxC	-350.17
11/08/2013	Short Sell	11/07/2013	PCAR Jan 18 2014 48.5 Call	1,000	2,144.78	7,805.24			ST	boxC	-5,660.46
01/22/2013	Short Expired	01/22/2013	PCAR Jan 19 2013 33.2 Put	500	1,097.41	0.00			ST	boxC	1,097.41
01/22/2013	Short Sell	01/18/2013	PCAR Jan 19 2013 46.2 Call	500	1,122.41	52.59			ST	boxC	1,069.82
02/04/2013	Short Sell	02/01/2013	PCAR May 18 2013 32.2 Put	500	622.39	102.59			ST	boxC	519.80
11/18/2013	Short Expired	11/16/2013	PCAR Nov 16 2013 55.0 Put	1,000	2,244.72	0.00			ST	boxC	2,244.72
11/18/2013	Short Expired	11/16/2013	PCAR Nov 16 2013 52.5 Put	500	1,222.35	0.00			ST	boxC	1,222.35
01/15/2013		07/16/2010	PROCTER & GAMBLE CO (PG)	500	33,096.69	29,585.33			LT	boxE	3,511.36
07/25/2013	Short Sell	07/12/2013	PROCTER & GAMBLE CO (PG)	500	38,541.55	40,565.00			ST	boxA	-2,023.45
07/25/2013	Short Sell	07/12/2013	PROCTER & GAMBLE CO (PG)	500	38,541.54	40,501.65			ST	boxA	-1,960.11
02/12/2013	Short Sell	02/11/2013	PG Apr 20 2013 70.0 Put	1,000	4,094.73	415.17			ST	boxC	3,679.56
04/22/2013	Short Expired	04/20/2013	PG Apr 20 2013 65.0 Put	1,500	4,667.12	0.00			ST	boxC	4,667.12
04/01/2013	Short Sell	03/28/2013	PG Apr 20 2013 65.0 Call	1,000	3,894.74	12,005.17			ST	boxC	-8,110.43
10/28/2013	Short Sell	10/25/2013	PG Jan 18 2014 60.0 Put	1,000	2,294.77	75.24			ST	boxC	2,219.53
07/22/2013	Short Expired	07/20/2013	PG Jul 20 2013 80.0 Put	1,000	3,144.75	0.00			ST	boxC	3,144.75
03/18/2013	Short Expired	03/18/2013	PG Mar 16 2013 75.0 Put	1,000	654.81	0.00			ST	boxC	654.81
01/10/2013		VARIOUS	JM SMUCKER CO (SJM)	1,000	86,742.93	74,495.61			LT	boxD	12,247.32
08/13/2013		10/21/2011	JM SMUCKER CO (SJM)	500	50,636.50	36,535.32			LT	boxD	14,101.18
04/22/2013	Short Expired	04/20/2013	SJM Apr 20 2013 85.0 Put	500	2,747.34	0.00			ST	boxC	2,747.34
04/01/2013	Short Sell	03/28/2013	SJM Apr 20 2013 90.0 Call	500	1,022.38	4,452.59			ST	boxC	-3,430.21
04/24/2013	Short Sell	04/23/2013	SJM Jul 20 2013 90.0 Put	500	2,297.35	227.59			ST	boxC	2,069.76
05/08/2013	Short Sell	05/07/2013	SJM Jul 20 2013 95.0 Call	1,000	1,044.80	9,205.17			ST	boxC	-8,160.37
07/22/2013	Short Expired	07/20/2013	SJM Jul 20 2013 80.0 Put	1,000	3,294.75	0.00			ST	boxC	3,294.75
10/21/2013	Short Expired	10/19/2013	SJM Oct 19 2013 90.0 Put	1,000	3,994.74	0.00			ST	boxC	3,994.74
06/27/2013	Short Sell	06/26/2013	SJM Oct 19 2013 100.0 Call	1,000	1,394.79	5,605.24			ST	boxC	-4,210.45
10/17/2013	Short Sell	10/16/2013	SJM Oct 19 2013 105.0 Call	1,000	3,394.75	2,655.24			ST	boxC	739.51
10/21/2013	Short Expired	10/19/2013	SJM Oct 19 2013 85.0 Put	1,000	1,094.74	0.00			ST	boxC	1,094.74
02/15/2013		12/24/2012	SYSCO CORP (SYY)	1,000	31,784.11	28,315.00			ST	boxA	3,469.11
08/19/2013	Short Expired	08/17/2013	SYY Aug 17 2013 32.0 Put	1,000	2,144.78	0.00			ST	boxC	2,144.78
02/19/2013	Short Expired	02/19/2013	SYY Feb 16 2013 32.0 Put	1,000	1,394.79	0.00			ST	boxC	1,394.79
02/19/2013	Short Expired	02/19/2013	SYY Feb 16 2013 27.0 Put	1,000	1,194.85	0.00			ST	boxC	1,194.85
04/12/2013	Short Sell	04/11/2013	SYY May 18 2013 30.0 Put	1,000	1,094.80	50.17			ST	boxC	1,044.63
02/20/2013		07/20/2012	UNITED PARCEL SERVICE INC C (UPS)	1,000	78,693.06	76,065.21			ST	boxA	2,627.85
04/19/2013		10/19/2012	UNITED PARCEL SERVICE INC C (UPS)	500	40,736.49	34,482.60			ST	boxA	6,253.89
05/08/2013		10/19/2012	UNITED PARCEL SERVICE INC C (UPS)	500	42,116.46	34,482.59			ST	boxA	7,633.87
07/19/2013		VARIOUS	UNITED PARCEL SERVICE INC C (UPS)	600	50,475.99	45,331.27			ST	boxA	5,144.72
11/13/2013		02/13/2013	UNITED PARCEL SERVICE INC C (UPS)	400	37,007.25	32,054.07			ST	boxA	4,953.18
04/22/2013	Short Sell	04/19/2013	UPS Apr 20 2013 82.5 Call	500	1,297.38	307.59			ST	boxC	989.79
02/01/2013	Short Sell	01/31/2013	UPS Feb 16 2013 77.5 Call	500	1,422.37	1,142.59			ST	boxC	279.78

Close Date	Rec Type	Open Date	Security	Shares Sold	Price	Cost Code	Gain/Loss	Box	Gain/Loss
01/17/2013	Short Sell	01/17/2013	UPS Jan 19 2013 77.5 Call	500	252.40	1,177.59	-925.19	boxC	-925.19
01/22/2013	Short Expired	01/22/2013	UPS Jan 19 2013 72.5 Put	500	2,022.39	0.00	2,022.39	boxC	2,022.39
02/21/2013	Short Sell	02/20/2013	UPS Mar 16 2013 80.0 Call	500	557.39	2,302.59	-1,745.20	boxC	-1,745.20
10/17/2013	Short Sell	10/16/2013	UPS Oct 19 2013 87.5 Call	400	469.91	1,602.10	-1,132.19	boxC	-1,132.19
04/19/2013		10/21/2011	WALGREEN CO (WAG)	1,000	37,963.97	35,020.56	2,943.41	boxD	2,943.41
11/13/2013		08/13/2013	WALGREEN CO (WAG)	1,000	42,514.07	36,415.17	6,098.90	boxA	6,098.90
04/22/2013	Short Expired	04/20/2013	WAG Apr 20 2013 30.0 Put	1,000	1,024.80	0.00	1,024.80	boxC	1,024.80
04/26/2013	Short Sell	04/25/2013	WAG Jan 18 2014 40.0 Put	1,000	3,544.75	1,185.17	2,359.58	boxC	2,359.58
11/18/2013	Short Sell	11/15/2013	WAG Jan 18 2014 30.0 Put	1,000	2,074.78	30.24	2,044.54	boxC	2,044.54
01/22/2013	Short Expired	01/22/2013	WAG Jan 19 2013 32.0 Put	1,000	984.80	0.00	984.80	boxC	984.80
02/01/2013	Short Sell	01/31/2013	WAG Jul 20 2013 36.0 Call	1,000	894.80	4,455.17	-3,560.37	boxC	-3,560.37
10/21/2013	Short Expired	10/19/2013	WAG Oct 19 2013 46.0 Put	1,000	2,744.76	0.00	2,744.76	boxC	2,744.76
10/21/2013	Short Expired	10/19/2013	WAG Oct 19 2013 46.0 Put	500	1,127.36	0.00	1,127.36	boxC	1,127.36
11/05/2013	Short Sell	11/04/2013	WMT Jan 18 2014 72.5 Put	500	1,132.36	272.62	859.74	boxC	859.74
04/22/2013	Short Expired	04/20/2013	XOM Apr 20 2013 80.0 Put	1,000	2,074.78	0.00	2,074.78	boxC	2,074.78
02/01/2013	Short Sell	01/31/2013	XOM Apr 20 2013 97.5 Call	1,000	1,154.80	155.17	999.63	boxC	999.63
02/06/2013	Short Sell	02/05/2013	XOM Feb 16 2013 90.0 Call	500	762.39	227.59	534.80	boxC	534.80
01/18/2013	Short Sell	01/17/2013	XOM Jan 19 2013 90.0 Call	500	1,647.40	362.59	1,284.81	boxC	1,284.81
06/24/2013	Short Expired	06/22/2013	XOM Jun 22 2013 92.5 Call	500	337.40	0.00	337.40	boxC	337.40
03/18/2013	Short Expired	03/18/2013	XOM Mar 16 2013 90.0 Call	500	562.39	0.00	562.39	boxC	562.39
10/21/2013	Short Expired	10/19/2013	XOM Oct 19 2013 92.5 Call	500	902.36	0.00	902.36	boxC	902.36

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITIES	609,615.	609,615.
TOTAL TO FORM 990-PF, PART II, LINE 10B	609,615.	609,615.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	6
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NAME OF MANAGER

JEAN D. WAGNER
WILLIAM D. WAGNER

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE DON & JEAN WAGNER CHARITABLE FOUNDATION	Enter filer's identifying number Employer identification number (EIN) or 26-6125236
	Number, street, and room or suite no. If a P.O. box, see instructions. 1050 W 54TH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BRANDI HENDRIX

- The books are in the care of ► **PO BOX 907 - LIBERTY, MO 64069**

Telephone No. ► **816-305-7872**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning , and ending .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	15,320.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	8,720.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	6,600.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE DON & JEAN WAGNER CHARITABLE FOUNDATION	Employer identification number (EIN) or 26-6125236
	Number, street, and room or suite no. If a P.O. box, see instructions. 1050 W 54TH STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

BRANDI HENDRIX

- The books are in the care of **PO BOX 907 - LIBERTY, MO 64069**

Telephone No **816-305-7872**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

THE ACCOUNTING RECORDS ARE NOT COMPLETE ENOUGH TO FILE AN ACCURATE TAX RETURN AT THIS TIME.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	15,320.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	15,320.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete and that I am not aware of any information that would require the preparation of this form.

Signature **Brandi Hendrix**

Title

Date **AUG 14 2014**

Form 8868 (Rev. 1-2014)