Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: John C. Bock Foundation

A Employer identification number: 26-6014448

Number and street (or P O box number if mail is not delivered to street address): 411 E Wisconsin Avenue, Ste 1000 — Room/suite:

B Telephone number (see the instructions): (414) 287-1235

City or town, state or province, country, and ZIP or foreign postal code: Milwaukee WI 53202

C If exemption application is pending, check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return [X] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ► [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 7,443,470.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc, received (att sch)	0.			
2 Ck ► [X] if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	162,450.	162,450.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	427,839.	L-6a Stmt		
b Gross sales price for all assets on line 6a 2,122,934.				
7 Capital gain net income (from Part IV, line 2)		427,839.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) REMIC-Int difference	1.	1.		
12 **Total.** Add lines 1 through 11	590,290.	590,290.		
OPERATING AND ADMINISTRATIVE EXPENSES 13 Compensation of officers, directors, trustees, etc	63,500.	6,350.		57,150.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) L-16a Stmt	12,360.	1,236.		11,124.
b Accounting fees (attach sch) L-16b Stmt	4,886.	488.		4,398.
c Other prof fees (attach sch) L-16c Stmt	44,252.	43,577.		675.
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	6,576.	1,075.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	1,652.	166.		1,486.
24 **Total operating and administrative expenses.** Add lines 13 through 23	133,226.	52,892.		74,833.
25 Contributions, gifts, grants paid	218,500.			218,500.
26 **Total expenses and disbursements.** Add lines 24 and 25	351,726.	52,892.		293,333.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	238,564.			
b **Net investment income** (if negative, enter -0-)		537,398.		
c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	304,325.	350,257.	350,257.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule) L-10a. Stmt	3,533.	2,720.	1,616.
	b Investments — corporate stock (attach schedule) L-10b. Stmt	2,986,254.	2,752,276.	4,256,850.
	c Investments — corporate bonds (attach schedule) L-10c. Stmt	439,073.	392,406.	411,794.
	11 Investments — land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) L-13 Stmt	1,765,724.	2,234,539.	2,414,169.
	14 Land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► L-15 Stmt)	4,329.	8,784.	8,784.
	16 **Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	5,503,238.	5,740,982.	7,443,470.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	5,503,238.	5,740,982.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	5,503,238.	5,740,982.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	5,503,238.	5,740,982.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,503,238.
2	Enter amount from Part I, line 27a	2	238,564.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	5,741,802.
5	Decreases not included in line 2 (itemize) ► See Other Decreases Stmt	5	820.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,740,982.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	Publicly traded securities			
b	Publicly traded securities			
c	Publicly traded securities			
d	Publicly traded securities			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,098,038.		1,695,095.	402,943.
b	24,688.		0.	24,688.
c	167.		0.	167.
d	41.		0.	41.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				402,943.
b				24,688.
c				167.
d				41.
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	427,839.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	228,485.	6,132,040.	0.037261
2011	238,326.	6,122,934.	0.038924
2010	267,809.	5,462,494.	0.049027
2009	235,669.	4,835,930.	0.048733
2008	358,125.	5,488,124.	0.065255

2	Total of line 1, column (d)	2	0.239200
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047840
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,985,466.
5	Multiply line 4 by line 3	5	334,185.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,374.
7	Add lines 5 and 6	7	339,559.
8	Enter qualifying distributions from Part XII, line 4	8	293,333.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b	1	10,748.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	10,748.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	10,748.
6	Credits/Payments:		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6a: 7,499.		
b	Exempt foreign organizations — tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	7,499.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	3,249.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► 0. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► WI - Wisconsin			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.bockfoundation.org

14 The books are in care of ► von Briesen & Roper, s.c. Telephone no. ► (414) 287-1278

Located at ► 411 E Wisconsin Ave, Ste 1000 Milwaukee WI ZIP + 4 ► 53202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5 a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).*	☐ Yes ☐ No			
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If 'Yes' to 6b, file Form 8870.*		6b		X
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Luke Seggelink v/o vBR, 411 E Wisconsin Ave, #1000 Milwaukee WI 53202	Trustee 2.00	13,000.	0.	0.
Jeremy c. Shea One S Pinckney St, #600 Madison WI 53703	Trustee 1.00	13,000.	0.	0.
Albert Goldstein v/o vBR, 411 E Wisconsin Ave, #1000 Milwaukee WI 53202	Trustee 1.00	11,500.	0.	0.
See Information about Officers, Directors, Trustees, Etc		26,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	6,861,705.
b	Average of monthly cash balances	1b	230,139.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	7,091,844.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,091,844.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	106,378.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,985,466.
6	**Minimum investment return.** Enter 5% of line 5	6	349,273.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	349,273.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	10,748.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	10,748.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	338,525.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	338,525.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	338,525.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	293,333.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	293,333.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	293,333.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				338,525.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010 28,307.				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	28,307.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► $ 293,333.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				293,333.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	28,307.			28,307.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				16,885.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009 0.				
b Excess from 2010 0.				
c Excess from 2011 0.				
d Excess from 2012 0.				
e Excess from 2013 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Sally C Merrell, Esq
411 E Wisconsin Ave, Ste 1000
Milwaukee WI 53202 (414) 276-1122 smerrell@vonbriesen.com

b The form in which applications should be submitted and information and materials they should include:

See website located at www.bockfoundation.org

c Any submission deadlines:

May 31st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See mission statement at the website.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Aldo Leopold Nature Center 330 Febrite Drive Monona WI 53716	None	Public	Charitable	5,000.
Audubon Corkscrew Swamp Sanctuary 375 Sanctuary Road Naples FL 34120	None	Public	Charitable	5,000.
Benedictine Life Fdn of WI, Inc. 4200 County Road M Middleton WI 53562	None	Public	Charitable	25,000.
Blue Mounds Area Project P.O. Box 332 Mount Horeb WI 53572	None	Public	Charitable	4,500.
Community GroundWorks 3601 Memorial Drive, Suite 4 Madison WI 53704	None	Public	Charitable	10,000.
Driftless Area Land Conservancy 338 North Iowa Street Dodgeville WI 53533	None	Public	Charitable	5,000.
Environmental Law & Policy Center 35 East Wacker Drive, Suite 1600 Chicago IL 60601	None	Public	Charitable	25,000.
Friends of Boerner Botanical Gardens 9400 Boerner Drive, Suite 1 Hales Corners WI 53130	None	Public	Charitable	5,000.
Friends of Pheasant Branch P.O. Box 628242 Middleton WI 53562	None	Public	Charitable	15,000.
See Line 3a statement				119,000.
Total ► 3a				218,500.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	162,450.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	427,839.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Baird--REMIC non-tax			14	1.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				590,290.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 590,290.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 05/12/14 — Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Jeanine D Schoen		05/30/14		P00951477
Firm's name ► VON BRIESEN ROPER SC			Firm's EIN ► 39-1576289	
Firm's address ► 411 E Wisconsin Ave, Suite 1000 Milwaukee WI 53202			Phone no (414) 276-1122	

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2013

Name	Employer Identification Number
John C. Bock Foundation	26-6014448

Asset Information:

Description of Property: Publicly Traded Securities
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: 2,122,934. Cost or other basis (do not reduce by depreciation) 1,695,095.
Sales Expense: Valuation Method:
Total Gain (Loss): 427,839. Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Miscellaneous Statement

Part VII-B, Question 1a(4), page 5		
The Foundation paid the sum of $63,500 in Trustees fees to the Trustees. These fees relate to the management of the investments and grants paid to qualifying charitable organizations. It is believed that the fees were necessary for the exempt purpose of the Foundation and were reasonable in amount for the services rendered. Accordingly, the payment of the fees is an excepted transaction within the meaning of IRC Sec. 4941(D)(2)(E) and Treas. Reg. Sec. 53.4941(D)-3(C).		
Total		

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Form 990-PF, 2012 estimate	5,501.			
Foreign taxes on dividends	1,075.	1,075.		
Total	6,576.	1,075.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Berlin Printing & Office--printing	177.	18.		159.
Assn of Small Foundations--membership	725.	73.		652.
i.t. Interative, LLC--domain registration	230.	23.		207.
i.t. Interative, LLC--web hosting	420.	42.		378.
Miscellaneous expenses	100.	10.		90.
Total	1,652.	166.		1,486.

Form 990-PF, Page 2, Part III, Line 5
Other Decreases Stmt

Mutual funds--adjustments to value	815.
Rounding	5.
Total	820.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] Sharon L Bock v/o vBR, 411 E Wisconsin Ave, #1000 Milwaukee WI 53202	Trustee 1.00	13,000.	0.	0.
Person . . [X] Business . [ ] Carl J. Bock v/o vBR, 411 E Wisconsin Ave, #1000 Milwaukee WI 53202	Trustee 1.00	13,000.	0.	0.
Total		26,000.	0.	0.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Natural Resources Fdn of WI P.O. Box 2317 Madison WI 53701	None	Public	Charitable	Person or [ ] Business [X] 10,000.
Northeast Wisconsin Land Tr 14 Tri-Park Way, Ste. 1 Appleton WI 54914	None	Public	Charitable	Person or [ ] Business [X] 15,000.
Northland College, Sigurd Olson Envir Insti 1411 Ellis Avenue Ashland WI 54806	None	Public	Charitable	Person or [ ] Business [X] 25,000.
Northwoods Land Trust P.O. Box 321 Eagle River WI 54521	None	Public	Charitable	Person or [ ] Business [X] 22,000.
River Revitalization Fdn 1845 N. Farwell Ave, Ste 100 Milwaukee WI 53202	None	Public	Charitable	Person or [ ] Business [X] 5,000.
Oshkosh Area Comm Fdn, Sheldon Area 1754 North Oakwood Road Oshkosh WI 54904	None	Public	Charitable	Person or [ ] Business [X] 15,000.
West Wisconsin Land Trust 500 East Main Street, Ste 307 Menomonie WI 54751	None	Public	Charitable	Person or [ ] Business [X] 12,000.
Wild Ones Natural Landscapers, Ltd P.O. Box 1274 Appleton WI 54912	None	Public	Charitable	Person or [ ] Business [X] 5,000.
Wisconsin League of Conservation Voters Insti 133 South Butler Street, Ste. 320 Madison WI 53703	None	Public	Charitable	Person or [ ] Business [X] 10,000.
Total				119,000.

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen & Roper, s.c.	Legal Fees	12,360.	1,236.		11,124.
Total		12,360.	1,236.		11,124.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen & Roper, s.c.	Tax preparation and accounting	4,886.	488.		4,398.
Total		4,886.	488.		4,398.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Robert W. Baird	Investment Management	43,502.	43,502.		
Emil Haney	Committee fee	750.	75.		675.
Total		44,252.	43,577.		675.

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
FHLMC			2,720.	1,616.
Total			2,720.	1,616.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
See attached schedule	2,752,276.	4,256,850.
Total	2,752,276.	4,256,850.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
See attached schedule	392,406.	411,794.

Form 990-PF, Page 2, Part II, Line 10c
L-10c Stmt

Continued

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
Total	392,406.	411,794.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
See attached schedule	2,234,539.	2,414,169.
Total	2,234,539.	2,414,169.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	End of Year Fair Market Value
Receivable-dividends reportable current year, received next year	4,329.	8,784.	8,784.
Total	4,329.	8,784.	8,784.

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2012.
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.
Performance on funds and unit investment trusts is provided for informational purposes only to assist you in comparing the total current value of your position with your total amount invested, excluding reinvestment activity. Performance may be misstated if any cost information is inaccurate or if you have sold part of your position since your original investment.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
CASH	4,703.35	4,703.35			
GENERAL MONEY MARKET	54,845.38	54,845.38		5.48	0.01%
Net yield from 12/01/12 - 12/31/12 was 0.01% (Compounded).					
Total Cash and Cash Alternatives	$59,548.73	$59,548.73		$5.48	0.01%

- 18407

41,142

PORTFOLIO ASSETS

Stock Funds	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
COLUMBIA MASTERS INTL EQUITY CL C	CMTCX	11,113 368	8.6800	8.7716	96,464.05	97,482.57	-1,018 63	1,322 50	
REINVESTMENTS		700.893	8.6800	9.3775	6,083 73	6,572.64	-488.93	83 39	
TOTAL		11,814.261	8 6800	8.8076	102,547.78	104,055.21	-1,507.56	1,405 89	1 37%
PERFORMANCE $5,065.21					102,547.78	97,482.57			
FRANKLIN GLOBAL REAL ESTATE CL A	FGRRX	2,125.689	7.7400	4.8456	16,452.83	10,300 23	6,152.60	144 54	0 88%
MUTUAL GLOBAL DISCOVERY CL A	TEDIX	3,816 456	28 2700	26 8656	107,891.20	102,531.48	5,359.62	1,755 57	
REINVESTMENTS		657.099	28.2700	27.6456	18,576.19	18,165 92	410 15	302.26	
TOTAL		4,473.555	28.2700	26.9802	126,467.39	120,697.40	5,769.77	2,057 83	1 63%
PERFORMANCE $23,935 91					126,467.39	102,531.48			
OPPENHEIMER INTL DIVERISIFIED CL A	OIDAX	16,051.639	12.0600	11 2138	193,582.77	180,000 00	13,582 63	4,109 21	
REINVESTMENTS		1,227.596	12.0600	10.2369	14,804.80	12,566 82	2,237 95	314 27	
TOTAL		17,279.235	12.0600	11 1444	208,387.57	192,566 82	15,820.58	4,423.48	2.12%
PERFORMANCE· $28,387.57					208,387.57	180,000 00			
TEMPLETON GLOBAL SMALLER COMPANIES CL A	TEMGX	7,577.922	6.8400	5 7743	51,832.97	43,756.89	8,076 04	454 65	
REINVESTMENTS		1,286.102	6.8400	5.6324	8,796.95	7,243.81	1,553 05	77.19	

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stock Funds continued									
TOTAL		8,864.024	6.8400	5.7537	60,629.92	51,000.70	9,629.09	531 84	0 88%
PERFORMANCE: $16,873.03					60,629.92	43,756.89			
Total Stock Funds					$514,485.49	$478,620.36	$35,864.48	$8,563.58	1.66%
Preferred Stocks									
DUKE REALTY CORP DEP SHS REPSTG 1/10TH PFD SER J CUMULATIVE PERP 6.625%	DRE'J	1,000	25.0100	17.1356	25,010.00	17,135.60	7,874.40	1,656.20	6 62%
Total Preferred Stocks					$25,010.00	$17,135.60	$7,874.40	$1,656.20	6.62%
Balanced Funds									
AIM INVESCO BALANCED RISK ALLOCATION CL C	ABRCX	5,346.262	12.1900	12.3451	65,170 93	66,000.00	-829.11	1,630 60	
REINVESTMENTS		258 717	12.1900	12.1900	3,153.76	3,153.76	-0 01	78 91	
TOTAL		5,604.979	12.1900	12.3379	68,324 69	69,153.76	-829 12	1,709 51	2 50%
PERFORMANCE $2,324 69					68,324.69	66,000 00			
FRANKLIN INCOME CL A	FKINX	177,123 370	2 2400	1.9680	396,756.34	348,576 50	48,179 71	24,443 02	
REINVESTMENTS		16,076.219	2 2400	1.9913	36,010.73	32,012 36	3,998.19	2,218 52	
TOTAL		193,199 589	2.2400	1 9699	432,767 07	380,588 86	52,177 90	26,661 54	6 16%
PERFORMANCE· $84,190 57					432,767.07	348,576 50			
NUVEEN PFD INCM OPPTY	JPC	5,000 000	9.7100	8 3794	48,550.00	41,896.95	6,656.18	3,798 00	7 82%
Total Balanced Funds					$549,641.76	$491,639.57	$58,004.96	$32,169.05	5.85%
Taxable Bonds									
AMERICAN INTL GROUP INC GLBL SR NOTE B/E CPN 4 250% DUE 05/15/13 DTD 11/15/03 FC 05/15/04 ORIGINAL COST· $3,737 50	026874AT4 S&P. A- Moody: Baa1	5,000	101.2146	96.9378	5,060.73	4,846 89***	213.84	212 50	4 20%
DUKE REALTY LIMITED PARTNERSHIP SENIOR NOTE B/E CPN 6 250% DUE 05/15/13 DTD 05/08/08 FC 11/15/08 ORIGINAL COST: $19,030.00	26441YAR8 S&P: BBB- Moody· Baa2	20,000	101.9920	99.4638	20,398.40	19,892.76***	505 64	1,250.00	6 13%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
UNITED DOMINION RLTY TR MEDIUM TERM NOTE B/E CPN 6.050% DUE 06/01/13 DTD 06/07/06 FC 12/01/06 ORIGINAL COST: $9,200.00	91019PCR1 S&P: BBB Moody: Baa2	10,000	102.0960	99.0429	10,209.60	9,904.29***	305.31	605.00	5.93%
ALCOA INC NOTE CPN 6.000% DUE 07/15/13 DTD 07/15/08 FC 01/15/09 ORIGINAL COST: $19,975 00	013817AR2 S&P: BBB- Moody. Baa3	20,000	102.6519	99.8750	20,530.38	19,975 00	576 59	1,200 00	5.84%
GENWORTH FINANCIAL INC NOTE B/E CPN 5 750% DUE 06/15/14 DTD 06/15/04 FC 12/15/04	37247DAE6 S&P: BBB- Moody: Baa3	15,000	105.1096	99.1500	15,766 44	14,872.50	893.94	862 50	5.47%
CITIGROUP INC SUBORDINATED NOTE B/E CPN 5.000% DUE 09/15/14 DTD 09/16/04 FC 03/15/05 ORIGINAL COST: $9,327.00	172967CQ2 S&P: BBB+ Moody: Baa3	10,000	105.2120	97.4809	10,521.20	9,748.09***	773.11	500 00	4 75%
BANK OF AMERICA CORP NOTE CPN 4 750% DUE 08/01/15 DTD 07/26/05 FC 02/01/06 ORIGINAL COST· $14,452 50	060505BS2 S&P· A- Moody· Baa2	15,000	107 9150	98.2841	16,187.25	14,742.61***	1,444.64	712.50	4 40%
BANK AMERICA CORP INTERNOTES B/E SEMI SURVIVOR OPTION CPN 5.350% DUE 09/15/15 DTD 09/03/09 FC 03/15/10	06050WBS3 S&P. A- Moody Baa2	10,000	107.0630	100.0000	10,706.30	10,000.00	706 30	535 00	5 00%
PROLOGIS NOTE B/E CPN 5 625% DUE 11/15/15 DTD 05/15/06 FC 11/15/06 ORIGINAL COST· $9,275 00	743410AJ1 S&P BBB- Moody· Baa2	10,000	110.3350	96.2679	11,033.50	9,626.79***	1,406 71	562 50	5 10%
HOME DEPOT INC SR NOTE B/E CPN 5 400% DUE 03/01/16 DTD 03/24/06 FC 09/01/06 ORIGINAL COST: $14,740 50	437076AP7 S&P: A- Moody· A3	15,000	114.2416	99.1126	17,136.24	14,866 89***	2,269 35	810 00	4 73%
HORACE MANN EDUCATORS CORP SENIOR NOTE B/E CPN 6 850% DUE 04/15/16 DTD 04/21/06 FC 10/15/06	440327AJ3 S&P. BBB Moody· Baa3	15,000	109.1210	99 8200	16,368.15	14,973 00	1,395 15	1,027 50	6.28%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
COUNTRYWIDE FINANCIAL CORP SUBORDINATE NOTE CPN 6.250% DUE 05/15/16 DTD 05/16/06 FC 11/15/06 ORIGINAL COST: $9,007.50	222372AJ3 S&P: BBB+ Moody: Baa3	10,000	109.7820	92.3517	10,978.20	9,235 17***	1,743 03	625.00	5 69%
GOLDMAN SACHS GROUP INC MED TERM NOTE B/E SEMI SURVIVOR OPTION CPN 6.000% DUE 05/15/16 DTD 05/29/09 FC 11/15/09	38141E6R5 S&P: A- Moody: A3	10,000	111.7840	100.0000	11,178 40	10,000.00	1,178.40	600 00	5 37%
BANK OF AMERICA CORP SUB NOTE B/E CPN 5.750% DUE 08/15/16 DTD 08/14/06 FC 02/15/07 ORIGINAL COST: $9,373.30	060505CL6 S&P: BBB+ Moody: Baa3	10,000	109.3532	95.1254	10,935.32	9,512.54***	1,422.78	575 00	5 26%
BRANDYWINE OPERATING PARTNERSHIP LP GTD NOTE B/E CPN 5 700% DUE 05/01/17 DTD 04/30/07 FC 11/01/07 ORIGINAL COST. $9,815.00	105340AJ2 S&P: BBB- Moody. Baa3	10,000	113.2510	98.7754	11,325.10	9,877.54***	1,447.56	570 00	5 03%
UNITRIN INC SENIOR NOTE B/E CPN 6 000% DUE 05/15/17 DTD 05/11/07 FC 11/15/07	913275AC7 S&P· BBB- Moody. Baa3	15,000	109.5550	99.4000	16,433 25	14,910 00	1,523 25	900.00	5 48%
AMB PROPERTY LP GTD NOTE B/E CPN 4 000% DUE 01/15/18 DTD 11/12/10 FC 07/15/11 CALL 10/15/17 @ 100 000 ORIGINAL COST $12,446 33	00163MAM6 S&P· BBB- Moody· Baa2	13,000	106 2520	96.8265	13,812.76	12,587.45***	1,225.31	520.00	3 76%
JEFFERIES GROUP INC SENIOR NOTE B/E CPN 5 125% DUE 04/13/18 DTD 04/13/11 FC 10/13/11 ORIGINAL COST· $9,782.50	472319AK8 S&P. BBB Moody. Baa3	10,000	105.2500	98.0041	10,525.00	9,800.41***	724 59	512 50	4 87%
AMERENENERGY GENERATING CO SR NOTE B/E CPN 7 000% DUE 04/15/18 DTD 04/09/08 FC 10/15/08 ORIGINAL COST. $27,494 00	02360XAL1 S&P B Moody Ba3	30,000	72.5000	93 4553	21,750 00	28,036.59***	-6,286 59	2,100 00	9 66%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
DOW CHEMICAL COMPANY SENIOR NOTE B/E CPN 5 700% DUE 05/15/18 DTD 05/06/08 FC 11/15/08 ORIGINAL COST: $9,780 00	260543BV4 S&P: BBB Moody: Baa2	10,000	118.9420	98.5158	11,894.20	9,851.58***	2,042.62	570.00	4.79%
GENWORTH FINANCIAL INC SENIOR NOTE B/E CPN 6.515% DUE 05/22/18 DTD 05/22/08 FC 11/22/08	37247DAK2 S&P: BBB- Moody: Baa3	10,000	107.1740	99.8250	10,717.40	9,982.50	734.90	651 50	6.08%
ALCOA INC NOTE B/E CPN 6.750% DUE 07/15/18 DTD 07/15/08 FC 01/15/09 ORIGINAL COST: $9,490.00	013817AS0 S&P: BBB- Moody Baa3	10,000	114.2530	96.4654	11,425.30	9,646 54***	1,778 76	675 00	5 91%
HARTFORD FINANCIAL SERVICES GROUP INC SENIOR NOTE CPN 6 000% DUE 01/15/19 DTD 05/12/08 FC 01/15/09 ORIGINAL COST: $9,000.00	416515AV6 S&P: BBB Moody. Baa3	10,000	116 6840	92.8123	11,668.40	9,281 23***	2,387.17	600.00	5.14%
GENL ELECTRIC CAP CORP INTERNOTES B/E SEMI SURVIVOR OPTION CPN 5 500% DUE 07/15/19 DTD 07/22/04 FC 01/15/05 CALL 07/15/13 @ 100 000 ORIGINAL COST: $16,310 40	36966RRC2 S&P: AA+ Moody: A1	16,000	100 0300	100.0000	16,004 80	16,000 00***	4 80	880 00	5 50%
BANK AMERICA CORP INTERNOTES B/E SEMI SURVIVOR OPTION CPN 4.750% DUE 08/15/19 DTD 08/05/10 FC 02/15/11 ORIGINAL COST $10,020 00	06050WDH5 S&P: A- Moody Baa2	10,000	105.8190	100 1623	10,581.90	10,016.23***	565 67	475 00	4 49%
COMPASS BANK BIRMINGHAM NOTE B/E CPN 5 500% DUE 04/01/20 DTD 03/21/05 FC 10/01/05 ORIGINAL COST. $25,028 75	20449EBT2 S&P. BB+ Moody: Baa3	25,000	99 3370	100.1150	24,834.25	25,028.75	-186 69	1,375.00	5 54%
PARTNER RE FINANCE B LLC SENIOR NOTE CPN 5.500% DUE 06/01/20 DTD 03/15/10 FC 06/01/10 ORIGINAL COST $10,115 70	70213BAA9 S&P. A- Moody: A3	10,000	112.5340	100.9856	11,253 40	10,098.56***	1,154 84	550 00	4 89%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENL ELECTRIC CAP CORP INTERNOTES B/E SEMI SURVIVOR OPTION CPN 5.000% DUE 10/15/21 DTD 04/01/04 FC 10/15/04 CALL 04/15/13 @ 100.000	36966RNW2 S&P: AA+ Moody: A1	10,000	99.4940	99.7750	9,949.40	9,977 50	-28.10	500.00	5 03%
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE B/E STEP CPN 4.000% DUE 01/29/25 DTD 01/29/10 FC 07/29/10 CALL 01/29/13 @ 100 000	38143UGC9 S&P: A- Moody: A3	10,000	98.8790	100.8000	9,887.90	10,080 00	-192.10	400 00	4 05%
CITIGROUP FUNDING INC MEDIUM TERM SENIOR NOTE B/E STEP CPN 4 250% DUE 09/15/25 DTD 09/15/10 FC 03/15/11 CALL 03/15/15 @ 100.000	1730T0JT7 S&P: A- Moody: Baa2	10,000	101.7620	101.8100	10,176.20	10,181.00	-4 80	425 00	4.18%
CITIGROUP FUNDING INC MEDIUM TERM SENIOR NOTE B/E STEP CPN 4.250% DUE 11/16/25 DTD 11/16/10 FC 05/16/11 CALL 11/16/15 @ 100 000	1730T0JV2 S&P: A- Moody Baa2	10,000	101.7250	100 8500	10,172 50	10,085 00	87.50	425 00	4 18%
TENNECO PACKAGING INC DEBENTURE CPN 7 950% DUE 12/15/25 DTD 11/04/99 FC 12/15/99 ORIGINAL COST $16,120 00	880394AB7 S&P CCC+ Moody Caa2	20,000	82 0000	81.3802	16,400.00	16,276 03***	123.97	1,590.00	9 70%
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTES B/E STEP CPN 4 000% DUE 09/21/27 DTD 09/21/12 FC 03/21/13 CALL 03/21/13 @ 100 000	38143U7D7 S&P. A- Moody. A3	10,000	99 9900	100 9000	9,999.00	10,090 00	-91 00	400 00	4 00%
BANK AMERICA CORP INTERNOTES B/E SEMI SURVIVOR OPTION CPN 5.250% DUE 06/15/30 DTD 06/16/05 FC 12/15/05 CALL 06/15/13 @ 100 000	06050XVB6 S&P BBB+ Moody: Baa3	10,000	99.0810	99.0960	9,908.10	9,909 60	-1.50	525 00	5 30%

BAIRD

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
FEDL HOME LOAN MTG CORP MULTICL REMIC 2510 CL YB MONTHLY 14 DAY DELAY CPN 4.000% DUE 06/15/32 DTD 10/01/02 FC 11/15/02 REMAINING PRINCIPAL: $2,344	31392WWF3	100,000	107.3240	101.2500	2,516.32	3,533.42***	-1,017.10	93.78	3 73%
U S WEST COMMUNICATIONS INC DEBENTURE MBIA CPN 6 875% DUE 09/15/33 DTD 09/15/93 FC 03/15/94 CALL 09/15/13 @ 100 976 ORIGINAL COST $15,239 40	912920AR6 S&P: BBB Moody Baa2	15,000	100.2500	101.0449	15,037.50	15,156.74***	-119 24	1,031 25	6 86%
Total Taxable Bonds		**549,000**			**$463,282.79**	**$442,603.20**	**$20,708.61**	**$25,846.53**	**5.58%**

Taxable Bond Funds	Symbol/CUSIP	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
DWS FLOATING RATE CL A	DFRAX	13,436.081	9.4000	9.4902	126,299.14	127,511.25	-1,212.10	5,949.47	
REINVESTMENTS		840 656	9.4000	9 2009	7,902.18	7,734.76	167 36	372 26	
TOTAL		14,276.737	9 4000	9 4732	134,201 32	135,246.01	-1,044.74	6,321 73	4 71%
PERFORMANCE $6,690 07					134,201.32	127,511.25			
FRANKLIN STRATEGIC INCOME CL A	FRSTX	7,285 752	10.6800	9 9487	77,811 81	72,483.70	5,328 10	4,291 31	
REINVESTMENTS		299.770	10.6800	10.0798	3,201.56	3,021 62	179 84	176 56	
TOTAL		7,585.522	10.6800	9 9539	81,013.37	75,505 32	5,507 94	4,467 87	5 51%
PERFORMANCE $8,529 67					81,013 37	72,483 70			
LOOMIS SAYLES STRATEGIC INCOME CL A	NEFZX	10,792 434	15.4700	15.1958	166,958 94	164,000 00	2,958 84	8,785 04	
REINVESTMENTS		111.344	15.4700	14.2203	1,722.50	1,583 35	139 10	90 63	
TOTAL		10,903.778	15.4700	15.1859	168,681.44	165,583 35	3,097 94	8,875.67	5 26%
PERFORMANCE: $4,681.44					168,681 44	164,000.00			
NATIXIS LOOMIS SAYLES STRATEGIC ALPHA CL A	LABAX	1,431.466	10.1900	10.4788	14,586.63	15,000.00	-413 37	440 89	
REINVESTMENTS		80.942	10.1900	9.7606	824.80	790.04	34.73	24 93	
TOTAL		1,512.408	10.1900	10.4403	15,411.43	15,790.04	-378 64	465 82	3.02%
PERFORMANCE $411 43					15,411.43	15,000.00			
PIMCO ALL ASSET CL A	PASAX	12,822.051	12.5600	12.5565	161,044.96	161,000 00	44 85	8,411 26	5 22%
PIMCO INCOME CL A	PONAX	4,074.116	12.3600	12.2726	50,356.05	50,000.00	356 05	2,501.49	
REINVESTMENTS		113.925	12.3600	12.2746	1,408 13	1,398.38	9 67	69 96	
TOTAL		4,188 041	12.3600	12.2727	51,764.18	51,398.38	365.72	2,571 45	4 97%
PERFORMANCE $1,764 18					51,764.18	50,000.00			

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JOHN C BOCK FOUNDATION TRUST

Statement Period: DECEMBER 1 - DECEMBER 31, 2012
Account Number: 1624-6811 AW12

BAIRD

ASSET DETAILS continued

Taxable Bond Funds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
PUTNAM DIVERSIFIED INCOME CL A	PDINX	17,518.903	7.7700	8.2768	136,121.87	145,000.00	-8,878 20	7,778 39	
REINVESTMENTS		118.097	7.7700	7.9735	917.62	941.65	-24.06	52 43	
TOTAL		17,637.000	7.7700	8.2747	137,039.49	145,941 65	-8,902 26	7,830 82	5 71%
PERFORMANCE: -$7,960.51					137,039.49	145,000 00			
VICTORY FUND FOR INCOME CL C	VFFCX	3,994.488	11.0900	11.2655	44,298 87	45,000.00	-701.16	1,897.38	4 28%
Total Taxable Bond Funds					$793,455.06	$795,464.75	-$2,010.35	$40,842.00	5.15%
Total Portfolio Assets					$2,345,875.10	$2,225,463.48	$120,442.10	$109,077.36	4.65%
Total Assets					$2,405,423.83	$2,285,012.21	$120,442.10	$109,082.84	4.53%

+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.
* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.
*** The original cost of this security has been adjusted to reflect principal payments, return of capital or amortization/accretion based on our records and/or provided by you.

Less check outstanding	(18,407.00)	(18,407.00)
	2,387,016.83	2,266,605.21

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JOHN C BOCK FOUNDATION TRUST

Statement Period: JANUARY 1 - DECEMBER 31, 2012
Account Number: 1625-1288 AW12

BAIRD

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2012.

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
CASH	-26,799.30	-26,799 30			
GENERAL MONEY MARKET	231,948.78	231,948.78		23.19	0 01%
Net yield from 12/01/12 - 12/31/12 was 0.01% (Compounded).		205,149.48			
Total Cash and Cash Alternatives	$205,149.48	$205,149.48		$23.19	N/A

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ALLEGHENY TECHNOLOGIES INC	ATI	900	30.3600	29.7770	27,324 00	26,799.30	524 70	648.00	2 37%
ARROW ELECTRONICS INC	ARW	1,600	38 0800	25 1961	60,928.00	40,313.83	20,614.17	N/A	N/A
BAKER HUGHES INC	BHI	1,850	40.8477	44 7373	75,568.24	82,763 96	-7,195 73	1,110 00	1 47%
BANK OF AMERICA CORP	BAC	6,950	11.6100	10.7136	80,689.50	74,459.64	6,229 86	278 00	0 34%
CISCO SYSTEMS INC	CSCO	3,000	19.6494	21.8340	58,948 20	65,501.96x	-6,553 76	1,680 00	2 85%
FINISH LINE INC CLASS A	FINL	1,225	18.9300	20.1157	23,189.25	24,641 73	-1,452 48	294 00	1.27%
GENERAL ELECTRIC COMPANY	GE	3,350	20.9900	19.4586	70,316.50	65,186 18	5,130 32	2,546 00	3 62%
HANESBRANDS INC	HBI	1,550	35.8200	25.0688	55,521.00	38,856.60	16,664 40	N/A	N/A
INTEL CORP	INTC	1,375	20.6200	17.3892	28,352.50	23,910 16	4,442.34	1,237 50	4 36%
INTERPUBLIC GROUP COMPANY INC	IPG	4,650	11.0200	5.7689	51,243 00	26,825.33	24,417 67	1,116 00	2 18%
JOHNSON CONTROLS INC	JCI	3,050	30.6700	30.9406	93,543.50	94,368 69	-825.19	2,318 00	2 48%
METLIFE INC	MET	1,875	32 9400	31 4778	61,762.50	59,020.94	2,741.56	1,387.50	2 25%
MODINE MANUFACTURING COMPANY	MOD	5,500	8.1300	9.8068	44,715.00	53,937.45	-9,222 45	N/A	N/A
OWENS ILL INC NEW	OI	3,075	21.2700	26.2145	65,405.25	80,609.65	-15,204 40	N/A	N/A
PATTERSON UTI ENERGY INC	PTEN	2,500	18.6300	19.2545	46,575.00	48,136.26	-1,561 26	500 00	1.07%
RANGE RESOURCES CORP	RRC	450	62.8300	37.4002	28,273.50	16,830 09	11,443 41	72.00	0 25%
SEALED AIR CORP NEW	SEE	4,650	17.5100	19.5358	81,421.50	90,841 51	-9,420 01	2,418 00	2.97%
SYSCO CORP	SYY	1,175	31.6600	28 5855	37,200.50	33,587.96	3,612 54	1,316 00	3 54%
TIME WARNER INC NEW	TWX	1,141	47.8300	32 0027	54,574.03	36,515 12	18,058 90	1,186 64	2 17%

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Statement Period: JANUARY 1 - DECEMBER 31, 2012
Account Number: 1625-1288 AW12

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
WELLS FARGO & CO NEW	WFC	1,125	34.1800	26.1042	38,452.50	29,367.23	9,085 27	990 00	2 57%
WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	WSH	1,125	33.5300	35.2518	37,721.25	39,658.28	-1,937.03	1,215.00	3 22%
Total Stocks/Options					$1,121,724.72	$1,052,131.87	$69,592.83	$20,312.64	1.81%
Total Portfolio Assets					$1,121,724.72	$1,052,131.87	$69,592.83	$20,312.64	1.81%
Total Assets					$1,326,874.20	$1,257,281.35	$69,592.83	$20,335.83	1.53%

+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

x The cost amount for this position has been adjusted as a result of a 30 day wash sale in your account. Please consult a tax advisor with any questions.

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2012.
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
CASH	12,643.65	12,643 65			
GENERAL MONEY MARKET Net yield from 12/01/12 - 12/31/12 was 0.01% (Compounded).	27,134 22	27,134 22		2 71	0 01%
Total Cash and Cash Alternatives	$39,777.87	$39,777.87		$2.71	0.01%

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
AGILENT TECHNOLOGIES INC	A	775	40.9400	39 0591	31,728.50	30,270.78	1,457.72	310.00	0 98%
ACCENTURE PLC IRELAND CLASS A NEW	ACN	422	66.5000	68.2437	28,063.00	28,798.85	-735.85	683 64	2 44%
ADOBE SYSTEMS INC DELAWARE	ADBE	715	37.6800	36.9786	26,941.20	26,439 70	501 50	N/A	N/A
ALLERGAN INC	AGN	334	91.7300	93 7414	30,637 82	31,309.63	-671.81	66 80	0 22%
AMERICAN TOWER CORP NEW	AMT	413	77.2700	71.6750	31,912 51	29,601.77	2,310.74	396 48	1 24%
APPLE INC	AAPL	65	532.1729	396 9014	34,591 23	25,798 59	8,792.63	689 00	1 99%
BB&T CORP	BBT	903	29.1100	33.3334	26,286.33	30,100.08	-3,813 75	722 40	2.75%
BED BATH & BEYOND INC	BBBY	479	55.9100	62.9414	26,780.89	30,148 91	-3,368.02	N/A	N/A
CAPITAL ONE FINANCIAL CORP	COF	508	57.9300	58.1399	29,428.44	29,535.07	-106 63	101 60	0.35%
CANADIAN NATIONAL RAILWAY COMPANY	CNI	333	91.0100	89.4996	30,306.33	29,803.36	502 97	502 83	1.66%
CITRIX SYSTEMS INC	CTXS	389	65.6200	63.4339	25,526.18	24,675.79	850.39	N/A	N/A
COACH INC	COH	535	55.5100	55.2676	29,697.85	29,568.18	129.67	642.00	2 16%
COCA-COLA COMPANY	KO	777	36.2500	38.4526	28,166 25	29,877.64	-1,711.39	792 54	2.81%
COLGATE-PALMOLIVE COMPANY	CL	276	104.5400	108.0594	28,853.04	29,824.40	-971 36	684 48	2 37%
COMCAST CORP CLASS A NEW	CMCSA	826	37.3600	36.4648	30,859.36	30,119 92	739.44	536 90	1 74%
CUMMINS INC	CMI	282	108.3500	98.7506	30,554.70	27,847.67	2,707.03	564.00	1 85%
DOLLAR TREE INC	DLTR	634	40.5600	48.1503	25,715.04	30,527.28	-4,812 24	N/A	N/A

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Statement Period: DECEMBER 1 - DECEMBER 31, 2012
Account Number: 1625-1291 AW12

BAIRD

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
E M C CORP MASS	EMC	1,151	25.3000	27.1558	29,120.30	31,256.33	-2,136 03	N/A	N/A
EBAY INC	EBAY	652	50.9977	38.1068	33,250.50	24,845.64	8,404.84	N/A	N/A
ESTEE LAUDER COMPANY INC	EL	473	59.8600	62.7333	28,313 78	29,672 85	-1,359 07	340.56	1 20%
EXXON MOBIL CORP	XOM	337	86.5500	92.4847	29,167.35	31,167 33	-1,999 98	768.36	2 63%
GOOGLE INC CL A	GOOG	41	707.3800	511.3278	29,002.58	20,964 44	8,038.14	N/A	N/A
INTERNATIONAL BUSINESS MACHINES CORP	IBM	143	191.5500	209.1385	27,391.65	29,906.81	-2,515 16	486 20	1 77%
INTUITIVE SURGICAL INC NEW	ISRG	59	490.3700	504.2459	28,931.83	29,750 51	-818.68	N/A	N/A
JOHNSON & JOHNSON	JNJ	436	70.1000	68 3460	30,563 60	29,798.84	764 76	1,063 84	3 48%
KRAFT FOODS GRP INC	KRFT	268	45.4700	36 0470	12,185.96	9,660.59	2,525 35	536 00	4 40%
MICROSOFT CORP	MSFT	842	26.7097	29.4370	22,489 56	24,785 95	-2,296.39	774 64	3 44%
MONDELEZ INTERNATIONAL INC	MDLZ	680	25 4532	20.8477	17,308.17	14,176 44	3,131.72	353 60	2 04%
MONSANTO COMPANY NEW	MON	341	94.6500	72.3019	32,275.65	24,654.95	7,620.70	511 50	1.58%
NATIONAL OILWELL VARCO INC	NOV	373	68.3500	80.8672	25,494.55	30,163.46	-4,668.91	193.96	0 76%
PERRIGO COMPANY	PRGO	271	104.0300	103.2524	28,192.13	27,981.40	210.73	97 56	0 35%
PRAXAIR INC	PX	296	109 4500	105.9632	32,397.20	31,365 10	1,032.10	651 20	2 01%
PRECISION CASTPARTS CORP	PCP	183	189.4200	163 5338	34,663.86	29,926 68	4,737.18	21.96	0 06%
QUALCOMM INC	QCOM	509	61 8596	62 2078	31,486.53	31,663 79	-177 27	509 00	1 62%
ROPER INDUSTRIES INC NEW	ROP	270	111.4800	110.2758	30,099 60	29,774.46	325 14	178 20	0.59%
SCHLUMBERGER LTD	SLB	404	69.2986	57.6365	27,996.63	23,285 13	4,711 48	444 40	1.59%
TJX COMPANIES INC NEW	TJX	662	42.4500	45 2119	28,101.90	29,930.29	-1,828 39	304 52	1.08%
TRAVELERS COMPANIES INC	TRV	449	71.8200	69.1552	32,247 18	31,050.67	1,196.51	826 16	2 56%
UNITED PARCEL SERVICE INC CL B	UPS	423	73.7300	56.0393	31,187.79	23,704.64	7,483 15	964 44	3 09%
UNITED TECHNOLOGIES CORP	UTX	398	82.0100	78.7355	32,639.98	31,336 71	1,303 27	851.72	2 61%
V F CORP	VFC	194	150.9700	160.1273	29,288 18	31,064.70	-1,776 52	675 12	2 31%
Total Stocks/Options					$1,179,845.13	$1,146,135.33	$33,709.71	$17,245.61	1.46%

Total Portfolio Assets	$1,179,845.13	$1,146,135.33	$33,709.71	$17,245.61	1.46%

Total Assets	$1,219,628.00	$1,185,913.20	$33,709.71	$17,248.32	1.41%

\+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2012.
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	18,255.68	18,255.68		1 83	0.01%
Net yield from 12/01/12 - 12/31/12 was 0.01% (Compounded)					
Total Cash and Cash Alternatives	$18,255.68	$18,255.68		$1.83	0.01%

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ABAXIS INC	ABAX	615	37.1000	25.4021	22,816.50	15,622 28x	7,194 22	2,460.00	10.78%
ATHENAHEALTH INC	ATHN	388	73 2900	52 0544	28,436 52	20,197 09x	8,239 43	N/A	N/A
BEACON ROOFING SUPPLY INC	BECN	1,050	33.2800	15.8763	34,944.00	16,670 16	18,273 84	N/A	N/A
BIO REFERENCE LABS NEW COM PAR $0.01	BRLI	967	28.6298	16.1762	27,685.01	15,642.41	12,042.60	N/A	N/A
CABOT MICROELECTRONICS CORP	CCMP	483	35.5100	31.6283	17,151.33	15,276.45	1,874 88	N/A	N/A
CASS INFORMATION SYSTEMS INC	CASS	451	42.2000	29.5939	19,032.20	13,346.85	5,685.34	192.39	1 01%
CEPHEID INC	CPHD	1,048	33.8600	9.2422	35,485.28	9,685 87	25,799 41	N/A	N/A
CHEESECAKE FACTORY INC	CAKE	810	32.7100	23.6677	26,495.10	19,170.81	7,324 29	388.80	1.47%
CHEMED CORP NEW	CHE	575	68.5900	44.5198	39,439.25	25,598 91	13,840 34	414.00	1.05%
CONCUR TECHNOLOGIES INC	CNQR	373	67.5200	30.7412	25,184.96	11,466.46	13,718 50	N/A	N/A
CONSTANT CONTACT INC	CTCT	382	14.2100	23.2847	5,428.22	8,894.77	-3,466.55	N/A	N/A
COSTAR GROUP INC	CSGP	365	89.3700	60.8329	32,620.05	22,204.00	10,416 05	N/A	N/A
DEALERTRACK TECHNOLOGIES INC	TRAK	990	28.7200	21.0364	28,432.80	20,826.06	7,606 74	N/A	N/A
DIGI INTERNATIONAL INC	DGII	1,588	9.4700	12.1259	15,038.36	19,255.99x	-4,217.63	N/A	N/A
EBIX INC NEW	EBIX	869	16.1200	20 6846	14,008.28	17,974 90	-3,966 62	173.80	1.24%
ECHO GLOBAL LOGISTICS INC	ECHO	884	17.9700	12.6942	15,885.48	11,221.65	4,663.83	N/A	N/A
FARO TECHNOLOGIES INC	FARO	380	35 6800	35.1698	13,558 40	13,364.53	193 87	N/A	N/A
FINANCIAL ENGINES INC	FNGN	683	27.7400	23.4738	18,946.42	16,032.60	2,913.82	N/A	N/A

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
FORRESTER RESEARCH INC	FORR	265	26.8000	25.1559	7,102.00	6,666.31	435.69	N/A	N/A
FORWARD AIR CORP	FWRD	390	35.0100	30.2691	13,653.90	11,804.94x	1,848.96	156.00	1.14%
FRESH MARKET INC	TFM	431	48.0900	38.4402	20,726.79	16,567.74	4,159.05	N/A	N/A
GENTEX CORP	GNTX	951	18.8500	14.8997	17,926.35	14,169.59	3,756.76	494.52	2.76%
GRAND CANYON EDUCATION INC	LOPE	1,496	23.4700	19.7391	35,111.12	29,529.62	5,581.50	N/A	N/A
GREENWAY MEDICAL TECHS INC	GWAY	800	15.3600	18.8066	12,288.00	15,045.28	-2,757.28	N/A	N/A
INNERWORKINGS INC	INWK	1,565	13.7800	9.8735	21,565.70	15,452.01x	6,113.69	N/A	N/A
IPC THE HOSPITALIST COMPANY INC	IPCM	545	39.7100	24.1263	21,641.95	13,148.81	8,493.14	N/A	N/A
LKQ CORP	LKQ	2,260	21.1000	5.5492	47,686.00	12,541.11	35,144.89	N/A	N/A
LUMINEX CORP DEL	LMNX	505	16.7985	23.8081	8,483.24	12,023.07	-3,539.83	N/A	N/A
MAXIMUS INC	MMS	659	63.2200	14.1831	41,661.98	9,346.66	32,315.32	237.24	0.57%
MAXWELL TECH INC	MXWL	870	8.3000	17.0978	7,221.00	14,875.07	-7,654.07	N/A	N/A
MEDNAX INC	MD	425	79.5200	33.5123	33,796.00	14,242.72	19,553.28	N/A	N/A
MOBILE MINI INC	MINI	1,080	20.8490	17.7138	22,516.92	19,130.88x	3,386.02	N/A	N/A
NATIONAL INSTRUMENTS CORP	NATI	1,375	25.8100	17.3578	35,488.75	23,867.03	11,621.71	770.00	2.17%
NEOGEN CORP	NEOG	649	45.3200	8.1840	29,412.68	5,311.41	24,101.27	N/A	N/A
PEGASYSTEMS INC	PEGA	452	22.6800	46.4819	10,251.36	21,009.81	-10,758.45	54.24	0.53%
PORTFOLIO RECOVERY ASSOC INC	PRAA	358	106.8600	40.3357	38,255.88	14,440.18	23,815.70	N/A	N/A
POWER INTEGRATIONS INC	POWI	565	33.6100	18.0574	18,989.65	10,202.45	8,787.20	113.00	0.60%
RITCHIE BROTHERS AUCTIONEERS INC	RBA	1,214	20.8900	21.8873	25,360.46	26,571.19	-1,210.73	594.86	2.35%
ROLLINS INC	ROL	2,028	22.0400	9.4596	44,697.12	19,184.06	25,513.06	648.96	1.45%
SPS COMMERCE INC DELAWARE	SPSC	657	37.2700	17.4944	24,486.39	11,493.81	12,992.58	N/A	N/A
SCIQUEST INC NEW	SQI	1,011	15.8600	15.3187	16,034.46	15,487.23	547.23	N/A	N/A
SEMTECH CORP	SMTC	1,255	28.9500	16.1876	36,332.25	20,315.50	16,016.75	N/A	N/A
STRATASYS LTD	SSYS	397	80.1500	73.0100	31,819.55	28,984.97	2,834.58	N/A	N/A
TECHNE CORP	TECH	370	68.3400	44.0246	25,285.80	16,289.10	8,996.70	444.00	1.76%
3D SYSTEMS CORP DEL NEW	DDD	395	53.3500	28.8082	21,073.25	11,379.22	9,694.03	N/A	N/A
ULTIMATE SOFTWARE GROUP INC	ULTI	610	94.4100	20.4662	57,590.10	12,484.40	45,105.70	N/A	N/A
UNITED NATURAL FOODS	UNFI	710	53.5900	24.7826	38,048.90	17,595.62	20,453.28	N/A	N/A
VERINT SYSTEMS INC	VRNT	600	29.3600	32.0621	17,616.00	19,237.28x	-1,621.28	N/A	N/A
Total Stocks/Options					**$1,202,711.71**	**$770,848.86**	**$431,862.81**	**$7,141.81**	**0.59%**

S BOCK A GOLDSTEIN C BOCK
L SEGGELINK & J SHEA TTEES
JOHN C BOCK FOUNDATION TRUST

Statement Period: DECEMBER 1 - DECEMBER 31, 2013
Account Number: 1624-6811 AW12

BAIRD

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2013. Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you.

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Performance on funds and unit investment trusts is provided for informational purposes only to assist you in comparing the total current value of your position with your total amount invested, excluding reinvestment activity. Performance may be misstated if any cost information is inaccurate or if you have sold part of your position since your original investment

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
CASH	-128.78	-128 78			
GENERAL MONEY MARKET	15,855 13	15,855 13		1.59	0 01%
Net yield from 12/01/13 - 12/31/13 was 0 01% (Compounded)					
Total Cash and Cash Alternatives	$15,726.35	$15,726.35		$1.59	N/A

less check outstanding 10000.00

5726.35

PORTFOLIO ASSETS

Stock Funds	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
COLUMBIA ACORN INTL CL C	LAICX	2,553.907	45.0400	41.1135	115,027.96	105,000.00	10,027.96	1,815 81	
REINVESTMENTS		113.215	45.0400	43.4499	5,099.21	4,919.18	180 02	80 51	
TOTAL		2,667.122	45.0400	41.2127	120,127.17	109,919.18	10,207.98	1,896 32	1.58%
PERFORMANCE. $15,127 17					120,127 17	105,000 00			
FRANKLIN GLOBAL REAL ESTATE CL A	FGRRX	832.626	7.7900	4.8200	6,486.15	4,013.25	2,472.90	156 53	2.41%
MUTUAL GLOBAL DISCOVERY CL A	TEDIX	3,816.456	33.2400	26.8656	126,858 98	102,531 48	24,327.41	1,965.48	
REINVESTMENTS		882.791	33.2400	28.9251	29,343 99	25,534 82	3,809 03	454.63	
TOTAL		4,699 247	33.2400	27.2525	156,202 97	128,066 30	28,136.44	2,420 11	1 55%
PERFORMANCE $53,671 49					156,202.97	102,531.48			
OPPENHEIMER INTL DIVERISIFIED CL A	OIDAX	16,051.639	14.8900	11.2138	239,008.89	180,000.00	59,008.79	3,402 94	
REINVESTMENTS		1,227.596	14.8900	10.2369	18,278.91	12,566.82	5,712.04	260 25	

BAIRD

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stock Funds continued									
TOTAL		17,279 235	14.8900	11.1444	257,287.80	192,566.82	64,720.83	3,663 19	1 42%
PERFORMANCE $77,287 80					257,287 80	180,000 00			
TEMPLETON GLOBAL SMALLER COMPANIES CL A	TEMGX	7,577 922	9.0200	5.7743	68,352 84	43,756 89	24,595.93	772 93	
REINVESTMENTS		1,286.102	9.0200	5.6324	11,600.65	7,243 81	4,356 76	131 20	
TOTAL		8,864.024	9.0200	5.7537	79,953 49	51,000.70	28,952 69	904.13	1 13%
PERFORMANCE: $36,196 60					79,953.49	43,756 89			
Total Stock Funds					$620,057.58	$485,566.25	$134,490.84	$9,040.28	1.46%
Preferred Stocks									
DUKE REALTY CORP DEP SHS REPSTG 1/10TH PFD SER J CUMULATIVE PERP 6 625%	DRE'J	1,000	23.4500	17.1356	23,450.00	17,135.60	6,314.40	1,656 20	7 06%
Total Preferred Stocks					$23,450.00	$17,135.60	$6,314.40	$1,656.20	7.06%
Balanced Funds									
INVESCO BALANCED RISK ALLOC CL C	ABRCX	12,651.429	11.4200	12.3306	144,479.30	156,000 00	-11,520.84	3,023 67	
REINVESTMENTS		1,306.433	11.4200	11 4442	14,919.48	14,951.05	-31 62	312 25	
TOTAL		13,957.862	11.4200	12.2477	159,398.78	170,951.05	-11,552.46	3,335 92	2 09%
PERFORMANCE: $3,398 78					159,398.78	156,000 00			
FRANKLIN INCOME CL A	FKINX	193,988.954	2.4200	2.0031	469,453.23	388,576.50	80,876.63	25,024 57	
REINVESTMENTS		16,076 219	2.4200	1 9913	38,904 48	32,012 36	6,891 87	2,073 83	
TOTAL		210,065.173	2.4200	2.0022	508,357.71	420,588.86	87,768 50	27,098 40	5 33%
PERFORMANCE $119,781 21					508,357 71	388,576 50			
JANUS INTECH GLOBAL DIVIDEND CL A	JGDAX	13,563.687	12.3300	12.9021	167,240 25	175,000.00	-7,759.79	5,533 98	
REINVESTMENTS		936 514	12.3300	12.0636	11,547.22	11,297 74	249 43	382 10	
TOTAL		14,500.201	12.3300	12.8479	178,787.47	186,297.74	-7,510.36	5,916.08	3.31%
PERFORMANCE $3,787 47					178,787 47	175,000 00			
NATIXIS ASG GLOBAL ALTERNATIVES CL A	GAFAX	2,216 312	11.3300	11.2800	25,110.79	25,000.00	110 81	39 87	
REINVESTMENTS		133.391	11.3300	11.3000	1,511.34	1,507.32	4 00	2 42	
TOTAL		2,349.703	11.3300	11.2811	26,622.13	26,507.32	114 81	42 29	0 16%
PERFORMANCE: $1,622.13					26,622.13	25,000 00			

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Balanced Funds continued									
NUVEEN PFD INCM OPPTY	JPC	5,000.000	8.8700	8.3794	44,350.00	41,896 95	2,456 18	3,798 00	8 56%
PIMCO ALL ASSET CL A	PASAX	20,947.949	12.0900	12.6981	253,260.70	266,000 00	-12,739 44	11,186 20	4 42%
Total Balanced Funds					$1,170,776.79	$1,112,241.92	$58,537.23	$51,376.89	4.39%
Taxable Bonds									
GENWORTH FINL INC NOTE CPN 5 750% DUE 06/15/14 DTD 06/15/04 FC 12/15/04	37247DAE6 S&P: BBB- Moody: Baa3	15,000	102.0748	99.1500	15,311.22	14,872.50	438 72	862 50	5 63%
CITIGROUP INC SUB NOTE CPN 5 000% DUE 09/15/14 DTD 09/16/04 FC 03/15/05 ORIGINAL COST. $9,327 00	172967CQ2 S&P: BBB+ Moody: Baa3	10,000	102.8980	98.9257	10,289 80	9,892.57***	397.23	500 00	4 86%
BANK AMER CORP NOTE CPN 4 750% DUE 08/01/15 DTD 07/26/05 FC 02/01/06 ORIGINAL COST. $14,452 50	060505BS2 S&P. A- Moody: Baa2	15,000	105.9480	98 9203	15,892.20	14,838 05***	1,054.15	712 50	4 48%
BANK AMER CORP INTERNOTES SEMI SURVIVOR OPTION CPN 5.350% DUE 09/15/15 DTD 09/03/09 FC 03/15/10	06050WBS3 S&P. A- Moody: Baa2	10,000	105.3870	100 0000	10,538.70	10,000 00	538.70	535.00	5 08%
PROLOGIS NOTE CPN 5 625% DUE 11/15/15 DTD 05/15/06 FC 11/15/06 ORIGINAL COST: $9,275 00	743410AJ1 S&P. BBB Moody: WR	10,000	106 5400	97.4843	10,654.00	9,748 43***	905 57	562 50	5.28%
HOME DEPOT INC SR NOTE CPN 5.400% DUE 03/01/16 DTD 03/24/06 FC 09/01/06 ORIGINAL COST: $14,740 50	437076AP7 S&P: A Moody: A2	15,000	109.6887	99.3761	16,453.30	14,906 41***	1,546 89	810.00	4 92%
HORACE MANN EDUCATORS CORP SR NOTE CPN 6 850% DUE 04/15/16 DTD 04/21/06 FC 10/15/06	440327AJ3 S&P: BBB Moody: Baa3	15,000	108.6800	99.8200	16,302.00	14,973.00	1,329.00	1,027.50	6.30%

ASSET DETAILS continued

Taxable Bonds continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
COUNTRYWIDE FINL CORP SUB NOTE CPN 6.250% DUE 05/15/16 DTD 05/16/06 FC 11/15/06 ORIGINAL COST: $9,007 50	222372AJ3 S&P BBB+ Moody Baa3	10,000	110 3350	94.3913	11,033.50	9,439.13***	1,594 37	625 00	5 66%
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE SEMI SURVIVOR OPTION CPN 6 000% DUE 05/15/16 DTD 05/29/09 FC 11/15/09	38141E6R5 S&P· A- Moody· Baa1	10,000	108 3700	100.0000	10,837.00	10,000 00	837 00	600 00	5 54%
BANK AMER CORP SUB NOTE CPN 5.750% DUE 08/15/16 DTD 08/14/06 FC 02/15/07 ORIGINAL COST· $9,373 30	060505CL6 S&P. BBB+ Moody Baa3	10,000	110.2810	96.3477	11,028.10	9,634.77***	1,393 33	575.00	5 21%
BRANDYWINE OPERATING PARTNERSHIP LP GTD NOTE CPN 5 700% DUE 05/01/17 DTD 04/30/07 FC 11/01/07 ORIGINAL COST· $9,815 00	105340AJ2 S&P· BBB- Moody Baa3	10,000	109.7520	99 0308	10,975.20	9,903 08***	1,072.12	570 00	5 19%
UNITRIN INC SR NOTE CPN 6.000% DUE 05/15/17 DTD 05/11/07 FC 11/15/07	913275AC7 S&P. BBB- Moody: Baa3	15,000	109.6370	99 4000	16,445.55	14,910.00	1,535 55	900 00	5 47%
AMB PPTY LP GTD NOTE CPN 4.000% DUE 01/15/18 DTD 11/12/10 FC 07/15/11 CALL 10/15/17 @ 100.000 ORIGINAL COST· $12,446 33	00163MAM6 S&P· BBB Moody: Baa2	13,000	105.2290	97.3986	13,679.77	12,661.82***	1,017 95	520.00	3 80%
JEFFERIES GROUP INC SR NOTE CPN 5.125% DUE 04/13/18 DTD 04/13/11 FC 10/13/11 ORIGINAL COST. $9,782.50	472319AK8 S&P BBB Moody: Baa3	10,000	108.1250	98.3388	10,812.50	9,833.88***	978.62	512 50	4 74%
AMERENENERGY GENERATING CO SR NOTE CPN 7 000% DUE 04/15/18 DTD 04/09/08 FC 10/15/08 ORIGINAL COST: $35,593 00	02360XAL1 S&P. CCC+ Moody: B3	40,000	85.5000	91.7888	34,200 00	36,715 52***	-2,515 52	2,800 00	8 19%

BAIRD

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
DOW CHEMICAL CO SR NOTE CPN 5.700% DUE 05/15/18 DTD 05/06/08 FC 11/15/08 ORIGINAL COST· $9,780.00	260543BV4 S&P· BBB Moody Baa2	10,000	114.3130	98.7576	11,431 30	9,875.76***	1,555.54	570 00	4 99%
GENWORTH FINL INC SR NOTE CPN 6 515% DUE 05/22/18 DTD 05/22/08 FC 11/22/08	37247DAK2 S&P BBB- Moody· Baa3	10,000	113.7230	99.8250	11,372.30	9,982.50	1,389 80	651 50	5 73%
ALCOA INC NOTE CPN 6 750% DUE 07/15/18 DTD 07/15/08 FC 01/15/09 ORIGINAL COST. $9,490 00	013817AS0 S&P· BBB- Moody: Ba1	10,000	112.6610	97 0013	11,266 10	9,700 13***	1,565.97	675 00	5 99%
HARTFORD FINL SVCS GRP INC SR NOTE CPN 6 000% DUE 01/15/19 DTD 05/12/08 FC 01/15/09 ORIGINAL COST $9,000 00	416515AV6 S&P· BBB Moody: Baa3	10,000	114 6660	93.7932	11,466.60	9,379.32***	2,087 28	600 00	5 23%
BANK AMER CORP INTERNOTES SEMI SURVIVOR OPTION CPN 4.750% DUE 08/15/19 DTD 08/05/10 FC 02/15/11 ORIGINAL COST: $10,020 00	06050WDH5 S&P A- Moody· Baa2	10,000	103.3610	100 1408	10,336.10	10,014.08***	322 02	475 00	4 60%
COMPASS BANK BIRMINGHAM NOTE CPN 5 500% DUE 04/01/20 DTD 03/21/05 FC 10/01/05 ORIGINAL COST: $25,028.75	20449EBT2 S&P: BB+ Moody. Baa3	25,000	102.3480	100.1150	25,587.00	25,028.75	570.87	1,375.00	5 37%
PARTNER RE FINANCE B LLC SR NOTE CPN 5.500% DUE 06/01/20 DTD 03/15/10 FC 06/01/10 ORIGINAL COST· $10,115.70	70213BAA9 S&P. A- Moody: A3	10,000	107.9090	100.8740	10,790.90	10,087.40***	703.50	550 00	5.10%
TIME WARNER CABLE INC NOTE CPN 4.000% DUE 09/01/21 DTD 09/12/11 FC 03/01/12 CALL 06/01/21 @ 100.000 ORIGINAL COST. $9,295 20	88732JBA5 S&P: BBB Moody: Baa2	10,000	91.9170	92.9994	9,191.70	9,299.94***	-108.24	400.00	4 35%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	• Current Yield %
PPL ENERGY SUPPLY LLC SR NOTE CONV CPN 4.600% DUE 12/15/21 DTD 12/16/11 FC 06/15/12 CALL 09/15/21 @ 100 000	69352JAN7 S&P· BBB Moody Baa2	10,000	96.0946	98.1710	9,609 46	9,817.10	-207 64	460 00	4 79%
CITIGROUP FUNDING INC MEDIUM TERM SR NOTE STEP CPN 4.250% DUE 09/15/25 DTD 09/15/10 FC 03/15/11 CALL 03/15/15 @ 100 000	1730T0JT7 S&P· A- Moody· Baa2	10,000	100.9010	101.8100	10,090 10	10,181 00	-90 90	425 00	4 21%
CITIGROUP FUNDING INC MEDIUM TERM SR NOTE STEP CPN 4.250% DUE 11/16/25 DTD 11/16/10 FC 05/16/11 CALL 11/16/15 @ 100 000	1730T0JV2 S&P. A- Moody: Baa2	10,000	101 3900	100 8500	10,139.00	10,085 00	54 00	425 00	4 19%
TENNECO PACKAGING INC DEBENTURE CPN 7 950% DUE 12/15/25 DTD 11/04/99 FC 12/15/99 ORIGINAL COST. $16,120.00	880394AB7 S&P. CCC+ Moody: Caa2	20,000	93 5000	82.0451	18,700.00	16,409 01***	2,290 99	1,590 00	8 50%
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE STEP CPN 4 000% DUE 09/21/27 DTD 09/21/12 FC 03/21/13 CALL 03/21/14 @ 100 000	38143U7D7 S&P. A- Moody: Baa1	10,000	94.2970	100.9000	9,429.70	10,090.00	-660.30	400.00	4 24%
GOLDMAN SACHS GROUP INC SR NOTE STEP CPN 3 250% DUE 01/08/28 DTD 01/08/13 FC 07/08/13 CALL 01/08/14 @ 100 000	38141GLM4 S&P A- Moody: Baa1	15,000	89.5690	100.5000	13,435.35	15,075 00	-1,639 65	487.50	3.63%
BANK AMER CORP INTERNOTES SEMI SURVIVOR OPTION CPN 5 250% DUE 06/15/30 DTD 06/16/05 FC 12/15/05 CALL 06/15/14 @ 100 000	06050XVB6 S&P. BBB+ Moody· Baa3	10,000	97 8770	99.0960	9,787 70	9,909 60	-121.90	525 00	5 36%
FEDL HOME LOAN MTG CORP MULTICL REMIC 2510 CL YB MONTHLY 14 DAY DELAY CPN 4.000% DUE 06/15/32 DTD 10/01/02 FC 11/15/02 REMAINING PRINCIPAL· $1,530	31392WWF3	100,000	105.5875	101.2500	1,616.48	2,719.76***	-1,103.28	61.23	3.79%

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Taxable Bonds continued									
U S WEST COMMUNICATIONS INC DEBENTURE MBIA CPN 6 875% DUE 09/15/33 DTD 09/15/93 FC 03/15/94 CALL 09/15/14 @ 100 878 ORIGINAL COST $15,239 40	912920AR6 S&P. A Moody Baa1	15,000	98 0500	100 9477	14,707 50	15,142 15***	-434 65	1,031 25	7 01%
Total Taxable Bonds		503,000			$413,410.13	$395,125.66	$18,297.09	$22,813.98	5.52%
Taxable Bond Funds									
COLUMBIA EMERGING MARKETS BOND CL C	REBCX	11,741.777	10.9700	12.1628	128,807 27	142,812.33	-14,005 11	5,953 08	
REINVESTMENTS		507.901	10 9700	11 3199	5,571 69	5,749 40	-177 81	257.50	
TOTAL		12,249 678	10.9700	12.1278	134,378 96	148,561.73	-14,182 92	6,210 58	4 62%
PERFORMANCE -$8,433.37					134,378 96	142,812 33			
DWS FLOATING RATE CL A	DFRAX	5,497.304	9.4600	9.3918	52,004.46	51,629.50***	374 99	1,958 66	
REINVESTMENTS		840.656	9.4600	9.1597	7,952 64	7,700.19***	252.37	299 55	
TOTAL		6,337.960	9.4600	9 3610	59,957 10	59,329 69	627 36	2,258 21	3 77%
PERFORMANCE. $8,327 60					59,957.10	51,629.50			
LOOMIS SAYLES STRATEGIC INCOME CL A	NEFZX	6,337.542	16.3600	14.9146	103,682 18	94,521.65	9,160.44	4,987.64	
REINVESTMENTS		128.681	16.3600	14.4844	2,105.22	1,863.87	241 30	101 27	
TOTAL		6,466.223	16.3600	14.9060	105,787 40	96,385 52	9,401.74	5,088.91	4 81%
PERFORMANCE $11,265 75					105,787 40	94,521.65			
NATIXIS ASG MANAGED FUTURES STRATEGY CL A	AMFAX	2,623.295	10 2500	9.5300	26,888.77	25,000.00	1,888.77	68 20	0.25%
LOOMIS SAYLES STRATEGIC ALPHA CL A	LABAX	1,895.719	10.0600	10.5501	19,070.92	20,000 00	-929.09	593.37	
REINVESTMENTS		125.635	10.0600	9.8616	1,263.90	1,238 96	24 87	39 31	
TOTAL		2,021.354	10 0600	10.5073	20,334.82	21,238.96	-904.22	632 68	3 11%
PERFORMANCE $334 82					20,334 82	20,000 00			
PIMCO INCOME CL A	PONAX	6,414 220	12.2600	12.4723	78,638.34	80,000.00	-1,361.70	3,989.64	
REINVESTMENTS		438.712	12.2600	12.3654	5,378.60	5,424 83	-46 34	272 88	
TOTAL		6,852.932	12.2600	12.4654	84,016.94	85,424 83	-1,408.04	4,262 52	5 07%
PERFORMANCE $4,016 94					84,016.94	80,000 00			
PUTNAM DIVERSIFIED INCOME CL A	PDINX	18,124.964	7.9200	8.2759	143,549.71	150,000.00	-1,293 27	8,047 48	

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Taxable Bond Funds continued									
REINVESTMENTS		118 097	7 9200	7 6672	935.33	905 47***	29 84	52 43	
TOTAL		18,243 061	7.9200	8 2719	144,485.04	150,905.47	-1,263 43	8,099 91	5 61%
PERFORMANCE. -$5,514 96					144,485 04	150,000.00			
TEMPLETON GLOBAL BOND CL A	TPINX	1,577.001	13.1400	13.1634	20,721.79	20,758 69	-36.91	1,094 43	
REINVESTMENTS		0.375	13.1400	13 0400	4 93	4 89	0 03	0 26	
TOTAL		1,577.376	13.1400	13 1634	20,726 72	20,763 58	-36 88	1,094 69	5 28%
PERFORMANCE -$31 97					20,726 72	20,758 69			
VICTORY FUND FOR INCOME CL C	VFFCX	2,587 894	10.3400	11 2528	26,758.82	29,121.12	-2,362 31	1,143 84	4 27%
Total Taxable Bond Funds					$623,334.57	$636,730.90	-$8,239.93	$28,859.54	4.63%
Total Portfolio Assets					$2,851,029.07	$2,646,800.33	$209,399.63	$113,746.89	3.99%
Total Assets					$2,866,755.42	$2,662,526.68	$209,399.63	$113,748.48	3.97%

\+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

*** The original cost of this security has been adjusted to reflect principal payments, return of capital or amortization/accretion based on our records and/or provided by you.

Less outstanding check	(10,000.00)	(10,000.00)
	2,856,755.42	2,652,526.68

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JOHN C BOCK FOUNDATION TRUST

Statement Period: DECEMBER 1 - DECEMBER 31, 2013
Account Number: 1625-1291 AW12

BAIRD

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you.

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
CASH	99 01	99 01			
GENERAL MONEY MARKET	21,573.85	21,573 85		2 16	0 01%
Net yield from 12/01/13 - 12/31/13 was 0 01% (Compounded).					
Total Cash and Cash Alternatives	$21,672.86	$21,672.86		$2.16	0.01%

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ADOBE SYSTEMS INC DELAWARE	ADBE	585	59.8790	36.9786	35,029 21	21,632 48	13,396 73	N/A	N/A
ALLERGAN INC	AGN	282	111.0800	93.7414	31,324 56	26,435 07	4,889 49	56 40	0 18%
AMERICAN EXPRESS COMPANY	AXP	400	90 7300	69.8484	36,292.00	27,939.36	8,352 64	368 00	1 01%
AMERISOURCEBERGEN CORP	ABC	466	70.3100	54.0385	32,764.46	25,181 93	7,582 53	438 04	1 34%
ANALOG DEVICES INC	ADI	586	50 9300	48.6191	29,844 98	28,490 79	1,354 19	796 96	2 67%
APPLE INC	AAPL	65	561.0200	396 9014	36,466 30	25,798 59	10,667 71	793 00	2 17%
CANADIAN NATIONAL RAILWAY COMPANY	CNI	576	57 0200	44.7240	32,843.52	25,761.01	7,082.51	227.40	0 69%
CELGENE CORP	CELG	240	168.9680	117.8010	40,552 32	28,272 24	12,280.08	N/A	N/A
CHIPOTLE MEXICAN GRILL INC CL A	CMG	68	532 7800	361 9537	36,229 04	24,612 85	11,616 19	N/A	N/A
CITRIX SYSTEMS INC	CTXS	389	63.2500	63.4339	24,604.25	24,675 79	-71.54	N/A	N/A
COLGATE-PALMOLIVE COMPANY	CL	477	65 2100	53.9951	31,105 17	25,755 65	5,349 52	648 72	2.09%
COMCAST CORP CL A NEW	CMCSA	651	51.9650	36.4648	33,829.21	23,738.58	10,090.63	507.78	1.50%
CUMMINS INC	CMI	282	140.9700	98.7506	39,753 54	27,847 67	11,905 87	705 00	1 77%
DISNEY WALT COMPANY	DIS	440	76 4000	67.2064	33,616.00	29,570.82	4,045.18	378 40	1 13%
DOLLAR TREE INC	DLTR	564	56 4200	48.0485	31,820.88	27,099.38	4,721.50	N/A	N/A
EOG RESOURCES INC	EOG	198	167.8400	158.3975	33,232 32	31,362 71	1,869 61	148 50	0.45%
EBAY INC	EBAY	555	54.8650	36.4308	30,450 07	20,219 10	10,230.96	N/A	N/A
ESTEE LAUDER COMPANY INC	EL	473	75.3200	62.7333	35,626.36	29,672 85	5,953 51	378 40	1 06%

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Statement Period: DECEMBER 1 - DECEMBER 31, 2013
Account Number: 1625-1291 AW12

BAIRD

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
EXXON MOBIL CORP	XOM	337	101.2000	92 4847	34,104.40	31,167 33	2,937 07	849 24	2 49%
FMC CORP NEW	FMC	451	75.4600	66.6688	34,032.46	30,067.63	3,964 83	243.54	0.72%
FACEBOOK INC CL A	FB	701	54.6490	40.0374	38,308.94	28,066 22	10,242.72	N/A	N/A
GOOGLE INC CL A	GOOG	36	1,120.7100	477 0983	40,345.56	17,175 54	23,170.02	N/A	N/A
HOME DEPOT INC	HD	412	82.3400	79.1032	33,924.08	32,590 52	1,333 56	642 72	1 89%
ILLINOIS TOOL WORKS INC	ITW	420	84.0800	76.4478	35,313.60	32,108 08	3,205 52	705 60	2 00%
INTERCONTINENTALEXCHANGE GROUP INC	ICE	151	224.9200	182.6797	33,962.92	27,584.63	6,378.29	392 60	1.16%
JOHNSON & JOHNSON	JNJ	361	91.5900	68 2932	33,063 99	24,653 84	8,410 15	953 04	2.88%
MONDELEZ INTERNATIONAL INC	MDLZ	680	35.3000	20.8477	24,004.00	14,176.44	9,827 56	380 80	1 59%
MONSANTO COMPANY NEW	MON	285	116 5500	68.4687	33,216.75	19,513 59	13,703.16	490 20	1 48%
NOBLE ENERGY INC	NBL	446	68.1100	59.1842	30,377.06	26,396 17	3,980 89	249.76	0 82%
PERRIGO COMPANY PLC	PRGO	216	153.4600	155.3396	33,147 36	33,553.35	-405.99	N/A	N/A
PRAXAIR INC	PX	231	130.0300	105 4379	30,036.93	24,356.15	5,680 78	554 40	1 85%
PRECISION CASTPARTS CORP	PCP	128	269.3000	162.8350	34,470.40	20,842.88	13,627 52	15 36	0 04%
QUALCOMM INC	QCOM	444	74 2500	62.0011	32,967 00	27,528 49	5,438 51	621 60	1.89%
SCHLUMBERGER LTD	SLB	359	90 1100	56.1673	32,349.49	20,164.05	12,185.44	448 75	1 39%
SCHWAB CHARLES CORP NEW	SCHW	1,190	26.0000	17.7080	30,940.00	21,072.52	9,867 48	285 60	0 92%
TJX COMPANIES INC NEW	TJX	497	63.7300	45.0598	31,673 81	22,394 74	9,279.07	288 26	0 91%
TRAVELERS COMPANIES INC	TRV	387	90.5400	69.0847	35,038 98	26,735.78	8,303.20	774.00	2 21%
UNITED TECHNOLOGIES CORP	UTX	290	113.8000	78.4960	33,002.00	22,763 84	10,238.16	684 40	2.07%
UNITEDHEALTH GROUP INC	UNH	442	75.3000	72.1813	33,282 60	31,904.13	1,378.47	495.04	1 49%
VISA INC CLASS A	V	174	222.6800	181.8379	38,746.32	31,639 79	7,106 53	278 40	0 72%
Total Stocks/Options					$1,341,692.84	$1,040,522.58	$301,170.25	$14,799.91	1.10%
Total Portfolio Assets					$1,341,692.84	$1,040,522.58	$301,170.25	$14,799.91	1.10%
Total Assets					$1,363,365.70	$1,062,195.44	$301,170.25	$14,802.07	1.09%

+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account. It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you.

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	249,466.52	249,466.52		24 95	0 01%
Net yield from 12/01/13 - 12/31/13 was 0.01% (Compounded).					
Total Cash and Cash Alternatives	$249,466.52	$249,466.52		$24.95	0.01%

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ALLEGHENY TECHNOLOGIES INC	ATI	1,800	35.6300	28.6330	64,134.00	51,539.40	12,594.60	1,296 00	2 02%
ARROW ELECTRONICS INC	ARW	950	54.2500	23.9945	51,537.50	22,794.77	28,742.73	N/A	N/A
BAKER HUGHES INC	BHI	1,850	55 2600	44.7373	102,231.00	82,763 96	19,467.04	1,110 00	1 09%
BANK AMERICA CORP	BAC	6,950	15 5700	10.7136	108,211.50	74,459.64	33,751.86	278.00	0 26%
CISCO SYSTEMS INC	CSCO	3,850	22.4300	21 6939	86,355.50	83,521.37x	2,834.13	2,618 00	3 03%
FINISH LINE INC CLASS A	FINL	2,925	28 1700	19.7528	82,397 25	57,776 94	24,620.31	819 00	0 99%
GENERAL ELECTRIC COMPANY	GE	3,350	28 0300	19.4586	93,900 50	65,186.18	28,714.32	2,948.00	3 14%
GENERAL MOTORS COMPANY	GM	875	40.8700	33.2286	35,761.25	29,075 03	6,686 22	N/A	N/A
INTEL CORP	INTC	1,375	25.9550	17.3892	35,688 12	23,910.16	11,777 96	1,237 50	3 47%
INTERPUBLIC GROUP COMPANY INC	IPG	4,650	17.7000	5.7689	82,305.00	26,825.33	55,479.67	1,395.00	1 69%
JPMORGAN CHASE & COMPANY	JPM	900	58.4800	54.4235	52,632.00	48,981.15	3,650.85	1,368 00	2 60%
JOHNSON CONTROLS INC	JCI	1,800	51.3000	27 8477	92,340.00	50,125 90	42,214.10	1,584 00	1.72%
MEDASSETS INC	MDAS	1,600	19.8300	17.9837	31,728.00	28,773 92	2,954 08	N/A	N/A
METLIFE INC	MET	1,875	53 9200	31.4778	101,100.00	59,020 94	42,079 06	2,062 50	2 04%
MODINE MANUFACTURING COMPANY	MOD	3,100	12 8200	8.9132	39,742.00	27,630.81	12,111 19	N/A	N/A
OWENS ILL INC NEW	OI	3,075	35.7800	26.2145	110,023.50	80,609 65	29,413 85	N/A	N/A
PATTERSON UTI ENERGY INC	PTEN	1,350	25.3200	19.3830	34,182 00	26,167.04	8,014 96	270 00	0 79%
RANGE RESOURCES CORP	RRC	450	84 3100	37.4002	37,939 50	16,830 09	21,109 41	72 00	0 19%
SEALED AIR CORP NEW	SEE	1,850	34.0500	13.5283	62,992 50	25,027 34	37,965.16	962 00	1 53%

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Statement Period: DECEMBER 1 - DECEMBER 31, 2013
Account Number: 1625-1288 AW12

BAIRD

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
SOUTHWESTERN ENERGY COMPANY	SWN	1,300	39.3300	37 2482	51,129 00	48,422 66	2,706 34	N/A	N/A
WEATHERFORD INTL LTD REG	WFT	3,000	15.4900	11 5987	46,470 00	34,796 10	11,673 90	N/A	N/A
WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	WSH	1,125	44 8100	35.2518	50,411.25	39,658.28	10,752 97	1,260 00	2 50%
Total Stocks/Options					$1,453,211.37	$1,003,896.66	$449,314.71	$19,280.00	1.33%
Total Portfolio Assets					$1,453,211.37	$1,003,896.66	$449,314.71	$19,280.00	1.33%
Total Assets					$1,702,677.89	$1,253,363.18	$449,314.71	$19,304.95	1.13%

\+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

x The cost amount for this position has been adjusted as a result of a 30 day wash sale in your account. Please consult a tax advisor with any questions.

S BOCK & A GOLDSTEIN & C BOCK
& L SEGGELINK & J SHEA TTEES
JOHN C BOCK FDTN TR U/A DTD

Statement Period: DECEMBER 1 - DECEMBER 31, 2013
Account Number: 1625-1294 AW12

BAIRD

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	73,391.35	73,391 35		7 34	0 01%
Net yield from 12/01/13 - 12/31/13 was 0.01% (Compounded).					
Total Cash and Cash Alternatives	$73,391.35	$73,391.35		$7.34	0.01%

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ABAXIS INC	ABAX	529	40.0120	24.2189	21,166.34	12,811.78x	8,354.56	N/A	N/A
ADVISORY BOARD COMPANY	ABCO	430	63.6700	55.1019	27,378.10	23,693 83	3,684.27	N/A	N/A
ATHENAHEALTH INC	ATHN	333	134.5000	48.2910	44,788 50	16,080.89x	28,707.61	N/A	N/A
BEACON ROOFING SUPPLY INC	BECN	905	40.2800	15.8630	36,453.40	14,356.00	22,097.40	N/A	N/A
BIO REFERENCE LABS NEW COM PAR $0 01	BRLI	835	25.5400	15.7457	21,325.90	13,147.63	8,178.27	N/A	N/A
CABOT MICROELECTRONICS CORP	CCMP	627	45.7000	31.9334	28,653.90	20,022 23	8,631.67	N/A	N/A
CASS INFORMATION SYSTEMS INC	CASS	391	67.3500	29 5123	26,333.85	11,539.30	14,794.53	166 80	0 63%
CEPHEID INC	CPHD	1,278	46.6720	16.4629	59,646.81	21,039.53	38,607 28	N/A	N/A
CHEESECAKE FACTORY INC	CAKE	701	48.2700	23.2625	33,837 27	16,307 00	17,530 27	392 56	1.16%
CHEMED CORP NEW	CHE	495	76 6200	42.6034	37,926.90	21,088.68	16,838.22	396 00	1 04%
COSTAR GROUP INC	CSGP	273	184 5800	54.1560	50,390 34	14,784.60	35,605 74	N/A	N/A
DEALERTRACK TECHNOLOGIES INC	TRAK	850	48.0800	19.6478	40,868.00	16,700.62	24,167.38	N/A	N/A
DIGI INTERNATIONAL INC	DGII	1,369	12.1200	11 8257	16,592 28	16,189.41x	402.87	N/A	N/A
EBIX INC NEW	EBIX	764	14.7100	20.6845	11,238 44	15,802 99	-4,564 55	229 20	2 04%
ECHO GLOBAL LOGISTICS INC	ECHO	773	21.4800	12.4394	16,604.04	9,615.62	6,988 42	N/A	N/A
FINANCIAL ENGINES INC	FNGN	587	69 4800	22.8585	40,784 76	13,417 93	27,366 83	117 40	0 29%
FIVE BELOW INC	FIVE	312	43.1980	36.3000	13,477.77	11,325.60	2,152.17	N/A	N/A

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BAIRD

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
FORWARD AIR CORP	FWRD	342	43 9100	30.1343	15,017 22	10,305 94x	4,711 28	136 80	0 91%
FRESH MARKET INC	TFM	376	40 5000	38 4401	15,228 00	14,453 48	774 52	N/A	N/A
GENTEX CORP	GNTX	1,076	32 9800	16.0411	35,486 48	17,260 23	18,226 25	602 56	1 70%
GRAND CANYON EDUCATION INC	LOPE	1,290	43 6000	18 8212	56,244 00	24,279 37	31,964.63	N/A	N/A
INNERWORKINGS INC	INWK	1,377	7.7900	9 1115	10,726.83	12,546 60x	-1,819 77	N/A	N/A
IPC THE HOSPITALIST COMPANY INC	IPCM	470	59.3900	21.1349	27,913 30	9,933 41	17,979 89	N/A	N/A
K12 INC	LRN	840	21 7500	24 9551	18,270 00	20,962 27	-2,692 27	N/A	N/A
MAXIMUS INC	MMS	1,135	43.9900	7.0578	49,928.65	8,010 59	41,918.06	204 30	0 41%
MEDNAX INC	MD	734	53.3800	16 7456	39,180 92	12,291 28	26,889.64	N/A	N/A
MOBILE MINI INC	MINI	930	41.1800	16.7898	38,297.40	15,614 50x	22,682 90	632 40	1 65%
NATIONAL INSTRUMENTS CORP	NATI	1,447	32.0200	18.9063	46,332 94	27,357.35	18,975 59	810.32	1 75%
NEOGEN CORP	NEOG	843	45.7000	5 4560	38,525 10	4,599 40	33,925 70	N/A	N/A
PEGASYSTEMS INC	PEGA	572	49 1800	47 2315	28,130.96	27,016 41	1,114.55	68 64	0 24%
PORTFOLIO RECOVERY ASSOC INC	PRAA	923	52.8400	13.3276	48,771.32	12,301.33	36,469 99	N/A	N/A
POWER INTEGRATIONS INC	POWI	485	55.8200	18.0125	27,072.70	8,736 05	18,336.65	155 20	0 57%
PROTO LABS INC	PRLB	370	71.1800	45.3163	26,336.60	16,767 03	9,569 57	N/A	N/A
RITCHIE BROTHERS AUCTIONEERS INC	RBA	1,824	22.9300	20.6691	41,824.32	37,700.46	4,123.86	948 48	2 27%
ROADRUNNER TRANSPORTATION SVCS HLDGS INC	RRTS	785	26.9500	24.3962	21,155.75	19,151 01	2,004 74	N/A	N/A
ROLLINS INC	ROL	1,496	30.2900	9 1456	45,313 84	13,681 89	31,631 94	538 56	1.19%
SPS COMMERCE INC DELAWARE	SPSC	566	65.3000	17.4943	36,959.80	9,901.79	27,058.01	N/A	N/A
SCIQUEST INC NEW	SQI	873	28 4800	15 1035	24,863.04	13,185.39	11,677.65	N/A	N/A
SEMTECH CORP	SMTC	1,083	25 2800	16.0110	27,378.24	17,339 90	10,038.34	N/A	N/A
STRATASYS LTD	SSYS	249	134.7000	73.0100	33,540.30	18,179 49	15,360.81	N/A	N/A
TECHNE CORP	TECH	320	94.6700	39.4201	30,294.40	12,614 43	17,679 97	396.80	1.31%
ULTIMATE SOFTWARE GROUP INC	ULTI	391	153.2200	20.1200	59,909 02	7,866.92	52,042 10	N/A	N/A
UNITED NATURAL FOODS	UNFI	613	75.3900	23 8278	46,214 07	14,606.42	31,607 65	N/A	N/A
VERINT SYSTEMS INC	VRNT	515	42.9400	31.3302	22,114.10	16,135 03x	5,979.07	N/A	N/A
Total Stocks/Options					$1,438,495.90	$690,721.61	$747,774.26	$5,796.02	0.40%

Total Portfolio Assets					$1,438,495.90	$690,721.61	$747,774.26	$5,796.02	0.40%