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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

|                                                                                                                                                        |                                                                                                                                        |                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>BROADCOM FOUNDATION</b>                                                                                                       |                                                                                                                                        | <b>A</b> Employer identification number<br>26-4754581                                                                                                 |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>5300 CALIFORNIA STREET</b>                                      | Room/suite<br><b>53068</b>                                                                                                             | <b>B</b> Telephone number (see instructions)<br>(949) 926-9434                                                                                        |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>IRVINE, CA 92617</b>                                                    |                                                                                                                                        | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                     |
| <b>G</b> Check all that apply                                                                                                                          | <input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input checked="" type="checkbox"/> Address change | <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |
| <b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation                                    |                                                                                                                                        | <b>D</b> 1 Foreign organizations, check here <input type="checkbox"/>                                                                                 |
| <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                       |                                                                                                                                        | 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>                                              |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 102,679,148.</b>                                        |                                                                                                                                        | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                  |
| <b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ |                                                                                                                                        | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                               |
| (Part I, column (d) must be on cash basis)                                                                                                             |                                                                                                                                        |                                                                                                                                                       |

| <b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |                                                                                    | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>Revenue</b>                                                                                                                                                            | 1 Contributions, gifts, grants, etc., received (attach schedule)                   | 25,000,000.                        |                           |                         |                                                             |
|                                                                                                                                                                           | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 3 Interest on savings and temporary cash investments                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 4 Dividends and interest from securities                                           | 2,439,502.                         | 2,439,502.                |                         |                                                             |
|                                                                                                                                                                           | 5a Gross rents                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | b Net rental income or (loss)                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 6a Net gain or (loss) from sale of assets not on line 10                           | 151,395.                           |                           |                         |                                                             |
|                                                                                                                                                                           | b Gross sales price for all assets on line 6a                                      | 25,144,095.                        |                           |                         |                                                             |
|                                                                                                                                                                           | 7 Capital gain net income (from Part IV, line 2)                                   |                                    | 151,326.                  |                         |                                                             |
|                                                                                                                                                                           | 8 Net short-term capital gain                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 9 Income modifications                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 10a Gross sales less returns and allowances                                        |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                                                 |                                                                                    |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                                |                                                                                    |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule) ATCH. 1                                                                                                                                 | 34,623.                                                                            | 223.                               |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                          | 27,625,520.                                                                        | 2,591,051.                         |                           |                         |                                                             |
| <b>Operating and Administrative Expenses</b>                                                                                                                              | 13 Compensation of officers, directors, trustees, etc.                             | 0                                  |                           |                         |                                                             |
|                                                                                                                                                                           | 14 Other employee salaries and wages                                               | 145,000.                           |                           |                         | 145,000.                                                    |
|                                                                                                                                                                           | 15 Pension plans, employee benefits                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 16a Legal fees (attach schedule)                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | b Accounting fees (attach schedule) ATCH. 2                                        | 30,184.                            |                           |                         | 30,184.                                                     |
|                                                                                                                                                                           | c Other professional fees (attach schedule) *                                      | 361,118.                           | 330,727.                  |                         | 30,391.                                                     |
|                                                                                                                                                                           | 17 Interest                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 18 Taxes (attach schedule) (see instructions) ATCH. 4                              | 43,935.                            | 7,335.                    |                         |                                                             |
|                                                                                                                                                                           | 19 Depreciation (attach schedule) and depletion                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 20 Occupancy                                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 21 Travel, conferences, and meetings                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 22 Printing and publications                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | 23 Other expenses (attach schedule) ATCH. 5                                        | 91,421.                            | 166.                      |                         | 91,250.                                                     |
|                                                                                                                                                                           | 24 Total operating and administrative expenses. Add lines 13 through 23            | 671,658.                           | 338,228.                  |                         | 296,825.                                                    |
|                                                                                                                                                                           | 25 Contributions, gifts, grants paid                                               | 3,645,881.                         |                           |                         | 3,645,881.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                                  | 4,317,539.                                                                         | 338,228.                           | 0                         | 3,942,706.              |                                                             |
| 27 Subtract line 26 from line 12                                                                                                                                          |                                                                                    |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                       | 23,307,981.                                                                        |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                          |                                                                                    | 2,252,823.                         |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                            |                                                                                    |                                    |                           |                         |                                                             |

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| Part II Balance Sheets      |                                                                                                                                        | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)              |             | Beginning of year | End of year    |                       |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                                        |                                                                                                                                 |             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                                      | Cash - non-interest-bearing . . . . .                                                                                           |             |                   |                |                       |
|                             | 2                                                                                                                                      | Savings and temporary cash investments . . . . .                                                                                |             | 2,204,713.        | 7,025,198.     | 7,025,198.            |
|                             | 3                                                                                                                                      | Accounts receivable ▶ 54,303.                                                                                                   |             |                   |                |                       |
|                             |                                                                                                                                        | Less allowance for doubtful accounts ▶                                                                                          |             | 16,733.           | 54,303.        | 54,303.               |
|                             | 4                                                                                                                                      | Pledges receivable ▶                                                                                                            |             |                   |                |                       |
|                             |                                                                                                                                        | Less allowance for doubtful accounts ▶                                                                                          |             |                   |                |                       |
|                             | 5                                                                                                                                      | Grants receivable . . . . .                                                                                                     |             |                   |                |                       |
|                             | 6                                                                                                                                      | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . |             |                   |                |                       |
|                             | 7                                                                                                                                      | Other notes and loans receivable (attach schedule) ▶                                                                            |             |                   |                |                       |
|                             |                                                                                                                                        | Less allowance for doubtful accounts ▶                                                                                          |             |                   |                |                       |
|                             | 8                                                                                                                                      | Inventories for sale or use . . . . .                                                                                           |             |                   |                |                       |
|                             | 9                                                                                                                                      | Prepaid expenses and deferred charges . . . . .                                                                                 |             |                   |                |                       |
|                             | 10 a                                                                                                                                   | Investments - U.S. and state government obligations (attach schedule), **                                                       | 17,686,465. | 16,928,142.       | 16,290,649.    |                       |
|                             | b                                                                                                                                      | Investments - corporate stock (attach schedule) ATCH 7                                                                          | 26,987,669. | 35,344,662.       | 44,559,181.    |                       |
|                             | c                                                                                                                                      | Investments - corporate bonds (attach schedule) ATCH 8                                                                          | 23,935,774. | 34,812,030.       | 34,749,817.    |                       |
|                             | 11                                                                                                                                     | Investments - land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                         |             |                   |                |                       |
| 12                          | Investments - mortgage loans . . . . .                                                                                                 |                                                                                                                                 |             |                   |                |                       |
| 13                          | Investments - other (attach schedule) . . . . .                                                                                        |                                                                                                                                 |             |                   |                |                       |
| 14                          | Land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                                              |                                                                                                                                 |             |                   |                |                       |
| 15                          | Other assets (describe ▶) . . . . .                                                                                                    |                                                                                                                                 |             |                   |                |                       |
| 16                          | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .                                 | 70,831,354.                                                                                                                     | 94,164,335. | 102,679,148.      |                |                       |
| Liabilities                 | 17                                                                                                                                     | Accounts payable and accrued expenses . . . . .                                                                                 |             |                   |                |                       |
|                             | 18                                                                                                                                     | Grants payable . . . . .                                                                                                        |             |                   |                |                       |
|                             | 19                                                                                                                                     | Deferred revenue . . . . .                                                                                                      |             |                   |                |                       |
|                             | 20                                                                                                                                     | Loans from officers, directors, trustees, and other disqualified persons .                                                      |             |                   |                |                       |
|                             | 21                                                                                                                                     | Mortgages and other notes payable (attach schedule) . . . . .                                                                   |             |                   |                |                       |
|                             | 22                                                                                                                                     | Other liabilities (describe ▶) . . . . .                                                                                        |             |                   |                |                       |
|                             | 23                                                                                                                                     | Total liabilities (add lines 17 through 22) . . . . .                                                                           | 0           | 0                 |                |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                 |             |                   |                |                       |
|                             | 24                                                                                                                                     | Unrestricted . . . . .                                                                                                          | 70,831,354. | 94,164,335.       |                |                       |
|                             | 25                                                                                                                                     | Temporarily restricted . . . . .                                                                                                |             |                   |                |                       |
|                             | 26                                                                                                                                     | Permanently restricted . . . . .                                                                                                |             |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, . . . <input type="checkbox"/> check here and complete lines 27 through 31.                   |                                                                                                                                 |             |                   |                |                       |
|                             | 27                                                                                                                                     | Capital stock, trust principal, or current funds . . . . .                                                                      |             |                   |                |                       |
|                             | 28                                                                                                                                     | Paid-in or capital surplus, or land, bldg, and equipment fund . . . .                                                           |             |                   |                |                       |
|                             | 29                                                                                                                                     | Retained earnings, accumulated income, endowment, or other funds . .                                                            |             |                   |                |                       |
|                             | 30                                                                                                                                     | Total net assets or fund balances (see instructions) . . . . .                                                                  | 70,831,354. | 94,164,335.       |                |                       |
|                             | 31                                                                                                                                     | Total liabilities and net assets/fund balances (see instructions) . . . . .                                                     | 70,831,354. | 94,164,335.       |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 70,831,354. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 23,307,981. |
| 3 | Other increases not included in line 2 (itemize) ▶ ATCH 9                                                                                                            | 3 | 25,000.     |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 94,164,335. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                         | 5 |             |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . .                                                        | 6 | 94,164,335. |

\*\*ATCH 6

Form 990-PF (2013)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co)                                                                     |                                            |                                                 | (b) How<br>acquired<br>P - Purchase<br>D - Donation                                          | (c) Date<br>acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------|--------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                                                                                          |                                            |                                                 |                                                                                              |                                       |                                |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| (e) Gross sales price                                                                                                                                                                                   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                 |                                       |                                |
| <b>a</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                             |                                            |                                                 |                                                                                              |                                       |                                |
| (i) F M V as of 12/31/69                                                                                                                                                                                | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col (j), if any   | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |                                       |                                |
| <b>a</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>b</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>c</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>d</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>e</b>                                                                                                                                                                                                |                                            |                                                 |                                                                                              |                                       |                                |
| <b>2</b> Capital gain net income or (net capital loss)<br>{ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                           |                                            |                                                 | <b>2</b>                                                                                     | 151,326.                              |                                |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in<br>Part I, line 8 |                                            |                                                 | <b>3</b>                                                                                     | 0                                     |                                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                          | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2012                                                                                                                                                                                                                          | 3,827,091.                               | 74,150,292.                                  | 0.051613                                                  |
| 2011                                                                                                                                                                                                                          | 2,693,323.                               | 63,533,681.                                  | 0.042392                                                  |
| 2010                                                                                                                                                                                                                          | 1,249,833.                               | 48,389,589.                                  | 0.025829                                                  |
| 2009                                                                                                                                                                                                                          | 38,610.                                  | 10,941,748.                                  | 0.003529                                                  |
| 2008                                                                                                                                                                                                                          |                                          |                                              |                                                           |
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                          |                                          |                                              | <b>2</b> 0.123363                                         |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the<br>number of years the foundation has been in existence if less than 5 years                                      |                                          |                                              | <b>3</b> 0.030841                                         |
| <b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                                                         |                                          |                                              | <b>4</b> 83,222,901.                                      |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                            |                                          |                                              | <b>5</b> 2,566,677.                                       |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                           |                                          |                                              | <b>6</b> 22,528.                                          |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                    |                                          |                                              | <b>7</b> 2,589,205.                                       |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the<br>Part VI instructions |                                          |                                              | <b>8</b> 3,942,706.                                       |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                                   |    |         |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|---------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) |    |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                            |    | 1       | 22,528. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                                          |    |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                             |    | 2       |         |
| 3 Add lines 1 and 2 . . . . .                                                                                                                                                                                                                     |    | 3       | 22,528. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                                                                                           |    | 4       | 0       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                                               |    | 5       | 22,528. |
| 6 Credits/Payments                                                                                                                                                                                                                                |    |         |         |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .                                                                                                                                                                     | 6a | 55,600. |         |
| b Exempt foreign organizations - tax withheld at source . . . . .                                                                                                                                                                                 | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                                   | 6c |         |         |
| d Backup withholding erroneously withheld . . . . .                                                                                                                                                                                               | 6d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                                                                                                   | 7  | 55,600. |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .                                                                                                          | 8  |         |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                                                                                                         | 9  |         |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .                                                                                                                                            | 10 | 33,072. |         |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 22,600. Refunded <input type="checkbox"/> . . . . .                                                                                                  | 11 | 10,472. |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                        | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                      |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                                                                                                       |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                                                                                                            |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                               |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                 |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                               |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                                                                                                           |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                     | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA, _____                                                                                                                                                                                                               |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .                                                                                                                                                                                                         |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                   |                                                                                                                                                                                           |    |     |    |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                                | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  | 11 |     | X  |
| 12                                                                                                                                | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). | 12 |     | X  |
| 13                                                                                                                                | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13 | X   |    |
| Website address <b>WWW.BROADCOMFOUNDATION.ORG</b>                                                                                 |                                                                                                                                                                                           |    |     |    |
| 14                                                                                                                                | The books are in care of <b>LAURI FISCHER</b> Telephone no <b>949-926-6642</b><br>Located at <b>5300 CALIFORNIA STREET, SUITE 14042 IRVINE, CA</b> ZIP+4 <b>92617</b>                     |    |     |    |
| 15                                                                                                                                | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year.   | 15 |     |    |
| 16                                                                                                                                | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country |                                                                                                                                                                                           |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a  | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |     |    |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                         |     |    |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                 |     |    |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                     |     |    |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                           |     |    |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                  |     |    |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                |     |    |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                       | 1b  | X  |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                    | 1c  | X  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |     |    |
| a   | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years                                                                                                                                                                                                                                              |     |    |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                       | 2b  |    |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here                                                                                                                                                                                                                                                                                                                                                                                |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                 |     |    |
| b   | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3b  |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a  | X  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                              | 4b  | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** ☒Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No **ATCH 10**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b** ☐**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATCH 11              |                                                           | 0                                         | 0                                                                     | 0                                     |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATCH 12                                                       |                                                           | 145,000.         | 0                                                                     | 0                                     |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total number of other employees paid over \$50,000** ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                        | (b) Type of service | (c) Compensation |
|------------------------------------------------------------------------------------|---------------------|------------------|
| ATCH 13                                                                            |                     | 420,477.         |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . |                     | ▶                |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 BROADCOM FOUNDATION SPONSORS AN ANNUAL UNIVERSITY RESEARCH COMPETITION TO REWARD INNOVATIVE GRADUATE STUDENTS DOING RESEARCH AT TOP ENGINEERING UNIVERSITIES AROUND THE WORLD.                                                                       | 31,481.  |
| 2 BROADCOM MASTERS® (MATH, APPLIED SCIENCE, TECHNOLOGY AND ENGINEERING AS RISING STARS), IS THE PREMIER INTERNATIONAL MIDDLE SCHOOL SCIENCE AND ENGINEERING FAIR COMPETITION.                                                                          | 18,946.  |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 NONE                                                                                                           |        |
| 2                                                                                                                |        |
| All other program-related investments See instructions                                                           |        |
| 3 NONE                                                                                                           |        |
| Total. Add lines 1 through 3                                                                                     |        |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |                                                                                                            |    |             |
|---|------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |             |
| a | Average monthly fair market value of securities                                                            | 1a | 80,393,774. |
| b | Average of monthly cash balances                                                                           | 1b | 4,096,481.  |
| c | Fair market value of all other assets (see instructions)                                                   | 1c |             |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 84,490,255. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e |             |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  |             |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 84,490,255. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)  | 4  | 1,267,354.  |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4        | 5  | 83,222,901. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 4,161,145.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|    |                                                                                                  |    |            |
|----|--------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                    | 1  | 4,161,145. |
| 2a | Tax on investment income for 2013 from Part VI, line 5                                           | 2a | 22,528.    |
| b  | Income tax for 2013 (This does not include the tax from Part VI)                                 | 2b |            |
| c  | Add lines 2a and 2b                                                                              | 2c | 22,528.    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1                             | 3  | 4,138,617. |
| 4  | Recoveries of amounts treated as qualifying distributions                                        | 4  | 25,000.    |
| 5  | Add lines 3 and 4                                                                                | 5  | 4,163,617. |
| 6  | Deduction from distributable amount (see instructions)                                           | 6  |            |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7  | 4,163,617. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                                     |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                           |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                       | 1a | 3,942,706. |
| b | Program-related investments - total from Part IX-B                                                                                                  | 1b |            |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                           | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                                                 |    |            |
| a | Suitability test (prior IRS approval required)                                                                                                      | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                                               | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                           | 4  | 3,942,706. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5  | 22,528.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                                      | 6  | 3,920,178. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 4,163,617.  |
| <b>2</b> Undistributed income, if any, as of the end of 2013                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only . . . . .                                                                                                                                               |               |                            | 1,959,748.  |             |
| <b>b</b> Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u> . . . . .                                                                                                         |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013                                                                                                                                    |               |                            |             |             |
| <b>a</b> From 2008 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2009 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2010 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2011 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2012 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              |               |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>3,942,706.</u>                                                                                                     |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a . . . . .                                                                                                                               |               |                            | 1,959,748.  |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .                                                                                    |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .                                                                                            |               |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount . . . . .                                                                                                                                     |               |                            |             | 1,982,958.  |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               |               |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )                                                  |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                    |               |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                          |               |                            |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .                                                                                                          |               |                            |             |             |
| <b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .                                                                            |               |                            |             |             |
| <b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .                                                                |               |                            |             | 2,180,659.  |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .                                  |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              |               |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              |               | 0                          |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| <b>a</b> Excess from 2009 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2010 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2011 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2012 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2013 . . . . .                                                                                                                                                         |               |                            |             |             |

Form **990-PF** (2013)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year | Prior 3 years |          |          | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2013 | (b) 2012      | (c) 2011 | (d) 2010 |           |
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**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 14

b The form in which applications should be submitted and information and materials they should include

SEE LINE 2A

c Any submission deadlines

SEE LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE LINE 2A

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div> | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount     |
|---------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|------------|
| <b>a Paid during the year</b><br><br>ATCH 15                        |                                                                                                           |                                |                                  |            |
| <b>Total</b> . . . . . ▶ <b>3a</b>                                  |                                                                                                           |                                |                                  | 3,645,881. |
| <b>b Approved for future payment</b>                                |                                                                                                           |                                |                                  |            |
| <b>Total</b> . . . . . ▶ <b>3b</b>                                  |                                                                                                           |                                |                                  |            |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated             |  | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions ) |
|------------------------------------------------------------|--|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
|                                                            |  | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                    |
| 1 Program service revenue                                  |  |                           |               |                                      |               |                                                                    |
| a _____                                                    |  |                           |               |                                      |               |                                                                    |
| b _____                                                    |  |                           |               |                                      |               |                                                                    |
| c _____                                                    |  |                           |               |                                      |               |                                                                    |
| d _____                                                    |  |                           |               |                                      |               |                                                                    |
| e _____                                                    |  |                           |               |                                      |               |                                                                    |
| f _____                                                    |  |                           |               |                                      |               |                                                                    |
| g Fees and contracts from government agencies              |  |                           |               |                                      |               |                                                                    |
| 2 Membership dues and assessments . . . . .                |  |                           |               |                                      |               |                                                                    |
| 3 Interest on savings and temporary cash investments       |  |                           |               |                                      |               |                                                                    |
| 4 Dividends and interest from securities . . . . .         |  |                           |               | 14                                   | 2,439,502.    |                                                                    |
| 5 Net rental income or (loss) from real estate             |  |                           |               |                                      |               |                                                                    |
| a Debt-financed property . . . . .                         |  |                           |               |                                      |               |                                                                    |
| b Not debt-financed property . . . . .                     |  |                           |               |                                      |               |                                                                    |
| 6 Net rental income or (loss) from personal property .     |  |                           |               |                                      |               |                                                                    |
| 7 Other investment income . . . . .                        |  |                           |               |                                      |               |                                                                    |
| 8 Gain or (loss) from sales of assets other than inventory |  | 525990                    | 69.           | 18                                   | 151,326.      |                                                                    |
| 9 Net income or (loss) from special events . . . . .       |  |                           |               |                                      |               |                                                                    |
| 10 Gross profit or (loss) from sales of inventory . .      |  |                           |               |                                      |               |                                                                    |
| 11 Other revenue a _____                                   |  |                           |               |                                      |               |                                                                    |
| b ATCH 16                                                  |  |                           | 7.            |                                      | 34,616.       |                                                                    |
| c _____                                                    |  |                           |               |                                      |               |                                                                    |
| d _____                                                    |  |                           |               |                                      |               |                                                                    |
| e _____                                                    |  |                           |               |                                      |               |                                                                    |
| 12 Subtotal Add columns (b), (d), and (e) . . . . .        |  |                           | 76.           |                                      | 2,625,444.    |                                                                    |
| 13 Total. Add line 12, columns (b), (d), and (e) . . . . . |  |                           |               |                                      |               | 13 2,625,520.                                                      |

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

**2013**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

BROADCOM FOUNDATION

Employer identification number

26-4754581

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received
- nonexclusively*
- religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **BROADCOM FOUNDATION**Employer identification number  
26-4754581**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                          | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                               |
|------------|--------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | BROADCOM INTERNATIONAL, LIMITED<br>C/O G. MORRISON, 5300 CALIFORNIA ST<br>IRVINE, CA 92617 | \$ 25,000,000.             | Person <input checked="checked" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions) |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |
|            |                                                                                            | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions)                   |



Name of organization BROADCOM FOUNDATION

Employer identification number  
26-4754581**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|----------------------------------------------|------------------------------------------------|----------------------|
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$-----                                        | -----                |

Name of organization BROADCOM FOUNDATION

Employer identification number

26-4754581

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry**For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-------------------------|------------------------------------------|
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |

**Part I, Line 6a (990-PF) - Net Gain/(Loss) from Sales of Assets Not Included in Part IV**

| <b>Name</b>                                   | <b>Date<br/>Acquired</b> | <b>Acquisition<br/>Method</b> | <b>Date Sold</b> | <b>Gross Sales<br/>Price</b> | <b>Book Basis</b> | <b>Net<br/>Gain/(Loss)</b> |
|-----------------------------------------------|--------------------------|-------------------------------|------------------|------------------------------|-------------------|----------------------------|
| Publicly-traded Securities                    |                          |                               |                  |                              |                   |                            |
| Passthrough K-1 Capital Gain/(Loss) - non UBI |                          |                               |                  | \$ 25,144,095                | \$ 24,993,161     | \$ 150,934                 |
|                                               |                          |                               |                  |                              |                   | \$ 392                     |
| Total included in Part IV                     |                          |                               |                  | \$ 25,144,095                | \$ 24,993,161     | \$ 151,326                 |
| Passthrough K-1 Capital Gain/(Loss) - UBI     |                          |                               |                  |                              |                   | \$ 69                      |
| Part I, Line 6a Total                         |                          |                               |                  |                              |                   | \$ 151,395                 |

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION                          | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--------------------------------------|-----------------------------------------|-----------------------------|
| K-1 INC/LOSS THE BLACKSTONE GROUP LP | 230.                                    | 223.                        |
| FEDERAL TAX REFUND                   | 34,393.                                 |                             |
| TOTALS                               | <u>34,623.</u>                          | <u>223.</u>                 |

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u>           | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|------------------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| AUDIT SUPPORT                | 16,000.                                           |                                      |                                    | 16,000.                        |
| GENERAL TAX CONSULTATIONS    | 12,270.                                           |                                      |                                    | 12,270.                        |
| RETURN PREP/TAX CONSULTATION | 1,914.                                            |                                      |                                    | 1,914.                         |
| TOTALS                       | <u>30,184.</u>                                    |                                      |                                    | <u>30,184.</u>                 |

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>             | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------------------|---------------------------------------------------|--------------------------------------|--------------------------------|
| INVESTMENT MANAGEMENT SERVICES | 330,727.                                          | 330,727.                             |                                |
| ANNUAL REPORT DESIGN COSTS     | 11,445.                                           |                                      | 11,445.                        |
| VIDEO PRODUCTION FOR MASTERS   | 16,146.                                           |                                      | 16,146.                        |
| MASTERS PROGRAM APP DESIGN     | 2,800.                                            |                                      | 2,800.                         |
| TOTALS                         | <u>361,118.</u>                                   | <u>330,727.</u>                      | <u>30,391.</u>                 |

ATTACHMENT 4FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u>            | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|-------------------------------|---------------------------------------------------|--------------------------------------|
| 990-PF ESTIMATED TAX FOR 2013 | 36,600.                                           | 7,335.                               |
| FOREIGN TAX PAID              | 7,335.                                            |                                      |
| TOTALS                        | <u>43,935.</u>                                    | <u>7,335.</u>                        |

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION                    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | CHARITABLE<br>PURPOSES |
|--------------------------------|-----------------------------------------|-----------------------------|------------------------|
| ADMINISTRATIVE FEES            | 89,750.                                 |                             | 89,750.                |
| BANK CHARGES                   | 153.                                    | 153.                        |                        |
| K-1 EXP THE BLACKSTONE GROUP L | 18.                                     | 13.                         |                        |
| SOFTWARE LICENSING COSTS       | 1,500.                                  |                             | 1,500.                 |
| TOTALS                         | 91,421.                                 | 166.                        | 91,250.                |



FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 6

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| FFCB - 2.180% - 09/20/2021     | 1,003,440.                   | 927,770.              |
| FFCB CONS BD - 1.890% - 08/20/ | 544,046.                     | 515,597.              |
| FFCB CONS BD - 2.20% - 08/27/2 | 750,000.                     | 690,158.              |
| FHLB 2.430% 10/11/2022         | 900,000.                     | 831,456.              |
| FHLB - 1.200% - 03/30/2022     | 750,000.                     | 742,095.              |
| FHLB - 2.10% - 09/27/2021      | 1,412,524.                   | 1,298,843.            |
| FNMA REMIC SER 2013-18 CL NA - | 48,440.                      | 44,950.               |
| US T BILL - 2.000% - 11/15/202 | 2,619,914.                   | 2,481,569.            |
| US T BILL NOTE - 3.625% - 02/1 | 1,651,172.                   | 1,618,710.            |
| US OBLIGATIONS TOTAL           | <u>9,679,536.</u>            | <u>9,151,148.</u>     |
| ALAMEDA FING-B - 4.482% - 7/1/ | 400,000.                     | 421,840.              |
| CALIFORNIA ST GO - 05.750% - 0 | 1,623,664.                   | 1,579,746.            |
| CUYAHOGA ECON TXB G - 5.000% - | 586,500.                     | 648,396.              |
| LOS ANGELES CALIF 05.08100% 07 | 1,058,680.                   | 968,879.              |
| NEW YORK N Y SER. 2010 D-1 - 4 | 451,340.                     | 438,872.              |
| NEW YORK ST DORM AUTH REV TXBL | 800,000.                     | 775,328.              |
| PIERCE SWR-TXB-B-BABS - 2.625% | 708,442.                     | 720,734.              |
| UNIVERSITY NC CHAPEL HILL REV- | 1,000,000.                   | 959,750.              |
| WASHINGTON ST UNIV 3.93% 10/01 | 619,980.                     | 625,956.              |
| STATE OBLIGATIONS TOTAL        | <u>7,248,606.</u>            | <u>7,139,501.</u>     |
| US AND STATE OBLIGATIONS TOTAL | <u>16,928,142.</u>           | <u>16,290,649.</u>    |

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| 3M CO                          | 326,681.                     | 561,000.              |
| ABBOTT LABS                    | 293,487.                     | 389,816.              |
| ABBVIE INC.                    | 368,873.                     | 587,987.              |
| ACCENTURE PLC                  | 347,865.                     | 534,430.              |
| ACE LTD                        | 330,973.                     | 573,039.              |
| AFLAC INC.                     | 379,547.                     | 497,126.              |
| AIR PRODS & CHEM INC.          | 326,530.                     | 447,120.              |
| AMERICAN EXPRESS CO            | 337,396.                     | 604,080.              |
| AMERICAN WATER WORKS COMPANY I | 341,950.                     | 359,210.              |
| ANALOG DEVICES INC.            | 376,105.                     | 473,292.              |
| AUTOMATIC DATA PROCESSING INC. | 313,517.                     | 484,800.              |
| BECTON DICKINSON & CO          | 271,912.                     | 386,715.              |
| BERKSHIRE HATHAWAY INC. CLASS  | 408,737.                     | 414,960.              |
| BHP BILLITON LIMITED           | 485,644.                     | 443,300.              |
| BLACKROCK INC                  | 288,180.                     | 529,138.              |
| BOEING CO                      | 299,121.                     | 614,205.              |
| C H ROBINSON WORLDWIDE INC.    | 392,163.                     | 388,319.              |
| CHEVRON CORP                   | 443,917.                     | 605,814.              |
| CHICAGO BRIDGE & IRON          | 345,909.                     | 374,130.              |
| CHINA MOBILE HGK LTD           | 395,936.                     | 392,175.              |
| CHUBB CORP                     | 303,871.                     | 500,254.              |
| CITIGROUP INC                  | 484,364.                     | 495,045.              |
| CLOROX CO                      | 329,955.                     | 463,800.              |
| COLGATE-PALMOLIVE COMPANY      | 302,657.                     | 489,075.              |
| CONOCOPHILLIPS                 | 432,391.                     | 582,863.              |
| CUMMINS INC                    | 461,032.                     | 528,638.              |
| CVS CAREMARK CORP.             | 470,116.                     | 572,560.              |
| DEERE CO                       | 494,095.                     | 502,315.              |
| DEVON ENERGY CORPORATION       | 298,310.                     | 309,350.              |

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| DISCOVER FINL SVCS COM         | 229,934.                     | 237,788.              |
| DOMINION RESOURCES INC         | 302,889.                     | 420,485.              |
| DOUBLELINE TOTAL RETURN BOND   | 265,523.                     | 251,911.              |
| DU PONT DE NEMOURS             | 355,060.                     | 454,790.              |
| EMC CORP-MASS                  | 528,409.                     | 503,000.              |
| EMERSON ELECTRIC CO.           | 396,381.                     | 561,440.              |
| EXXON MOBIL CORP               | 485,511.                     | 657,800.              |
| FIRST REP BK SAN FRANCISCO CAL | 249,500.                     | 193,000.              |
| FLAHERTY&CRUMRINE CLAYMORE P   | 1,024,802.                   | 1,049,999.            |
| GENERAL ELECTRIC CO            | 406,626.                     | 602,645.              |
| GOLDMAN SACHS GROUP            | 260,000.                     | 222,900.              |
| GOOGLE INC                     | 222,619.                     | 504,320.              |
| HALLIBURTON COMPANY            | 359,099.                     | 342,563.              |
| HOLLYFRONTIER CORP             | 308,979.                     | 322,985.              |
| HOME DEPOT INC.                | 658,838.                     | 698,325.              |
| INTERNATIONAL BUSINESS MACHINE | 336,133.                     | 375,140.              |
| INTUIT                         | 363,540.                     | 456,241.              |
| ISHARES IBOX \$ HIGH YIELD COR | 243,904.                     | 255,420.              |
| JOHNSON & JOHNSON              | 390,840.                     | 549,540.              |
| JOHNSON CONTROLS INC.          | 354,949.                     | 564,300.              |
| JP MORGAN CHASE & CO           | 417,427.                     | 558,952.              |
| KRAFT FOODS GROUP, INC.        | 339,095.                     | 431,280.              |
| LOWES COMPANIES INC.           | 233,387.                     | 495,500.              |
| MCDONALD'S CORP                | 521,083.                     | 606,438.              |
| MEDTRONIC INC                  | 384,088.                     | 527,185.              |
| MERCK & CO INC.                | 366,748.                     | 500,500.              |
| MICROSOFT CORPORATION          | 410,939.                     | 517,904.              |
| MONDELEZ INTERNATIONAL INC     | 284,416.                     | 423,600.              |
| NESTLE S.A.                    | 417,683.                     | 441,540.              |

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| NEXTERA ENERGY, INC            | 256,706.                     | 428,100.              |
| NIKE INC-CL B                  | 419,552.                     | 692,032.              |
| NOVO NORDISK A S               | 467,745.                     | 508,090.              |
| ORACLE CORP                    | 342,939.                     | 461,760.              |
| PEPSICO INC                    | 359,467.                     | 456,170.              |
| PIMCO 0-5 YEAR H/Y CORP BOND   | 514,774.                     | 531,800.              |
| PIMCO ALL ASSET FUND INSTITUTI | 540,202.                     | 517,515.              |
| POWERSHARES CEF INCOME COMPOSI | 520,746.                     | 511,140.              |
| POWERSHARES II PFD             | 1,125,215.                   | 1,075,199.            |
| PROCTER GAMBLE CO              | 313,078.                     | 407,050.              |
| ROCHE HOLDING LTD ADR          | 247,929.                     | 456,300.              |
| ROYAL DUTCH SHELL PLC          | 599,879.                     | 650,076.              |
| SCHLUMBERGER LTD               | 491,677.                     | 518,133.              |
| SPDR BLACKSTONE/GSO SENIOR LOA | 1,000,009.                   | 1,000,399.            |
| STRYKER CORPORATION            | 261,309.                     | 375,700.              |
| TAIWAN SEMICONDUCTOR MFG CO LT | 398,335.                     | 398,957.              |
| TARGET CORPORATION             | 532,552.                     | 585,248.              |
| TJX COMPANIES INC              | 308,257.                     | 462,043.              |
| TRANSOCEAN LTD.                | 532,676.                     | 516,439.              |
| UNION PACIFIC                  | 377,666.                     | 588,000.              |
| UNITED PARCEL SERVICE          | 374,730.                     | 528,868.              |
| UNITED TECHNOLOGIES CORP       | 351,399.                     | 512,100.              |
| V F CORP                       | 323,746.                     | 698,207.              |
| VANGUARD MSCI EUROPEAN ETF     | 614,876.                     | 705,599.              |
| VERIZON COMMUNICATIONS         | 267,259.                     | 393,120.              |
| WAL-MART STORES INC.           | 273,254.                     | 393,450.              |
| WALT DISNEY HOLDINGS CO.       | 406,117.                     | 721,979.              |
| WELLS FARGO & CO.              | 415,903.                     | 531,180.              |
| WELLS FARGO PF CL A            | 248,250.                     | 201,300.              |

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

| <u>DESCRIPTION</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------|------------------------------|-----------------------|
| WILLIAMS COS       | 357,550.                     | 578,550.              |
| WISDOMTREE EM MKTS | 331,229.                     | 322,630.              |
| TOTALS             | <u>35,344,662.</u>           | <u>44,559,181.</u>    |

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| ABBEY NATL TREAS SVCS PLC 2.87 | 404,572.                     | 402,736.              |
| ABBVIE INC SR NT 1.20% 11/06/2 | 1,512,645.                   | 1,515,240.            |
| AMERICAN EXPRESS CR CORP - 5.1 | 660,613.                     | 618,270.              |
| AMGEN INC BOND - 2.125% - 05/1 | 698,747.                     | 708,862.              |
| ANHEUSER-BUSCH INBEV WOR NT -  | 175,205.                     | 175,998.              |
| BANK OF MONTREAL MTN 0.87940%  | 501,425.                     | 502,520.              |
| CAMPBELL SOUP CO-0.7426%-08/01 | 1,475,000.                   | 1,476,932.            |
| CITIGROUP INC PERP NT 5.950% 1 | 253,750.                     | 231,338.              |
| CITIGROUP INC SR NT 6.01000% 0 | 1,598,660.                   | 1,579,560.            |
| CME NOTE 5.75% 2/15/2014       | 403,364.                     | 402,253.              |
| EMC CORP MASS SR NT 1.87500% 0 | 1,597,790.                   | 1,581,824.            |
| FORD MOTOR CREDIT 1.50% 01/17/ | 501,325.                     | 499,775.              |
| FORD MOTOR CREDIT CO LLC 8.70% | 1,073,941.                   | 1,058,620.            |
| GE CAP CORP SER A - 5.625% - 9 | 828,435.                     | 853,060.              |
| GECC - 7.125% - 12/15/2049     | 287,188.                     | 279,375.              |
| GENERAL ELEC CAP CORP - 1.1382 | 793,080.                     | 808,592.              |
| GENERAL ELEC CO 5.25% DUE 12-0 | 484,277.                     | 481,075.              |
| GOLDMAN SACHS GROUP INC - 1.13 | 565,368.                     | 601,026.              |
| GTE CORP 6.840% 4/15/2018      | 1,724,612.                   | 1,671,430.            |
| HEWLETT PACKARD CO NT - 03.300 | 1,588,765.                   | 1,622,269.            |
| INTL PAPER CO-5.250%-04/01/201 | 274,050.                     | 270,525.              |
| JPMORGAN CHASE & CO FR 1.800%  | 1,574,379.                   | 1,566,128.            |
| JPMORGAN CHASE & CO NOTE 05.15 | 252,500.                     | 224,375.              |
| JPMORGAN CHASE & CO SR NT - 6. | 166,395.                     | 172,722.              |
| KINDER MORGAN ENER PARTNERS 6. | 1,426,867.                   | 1,429,135.            |
| MICROSOFT CORP BOND 01.62500%  | 496,047.                     | 493,185.              |
| MORGAN STANLEY - 6.000% - 01/2 | 593,559.                     | 613,980.              |
| MORGAN STANLEY 5.45% 1/9/17    | 1,558,938.                   | 1,663,499.            |
| MORGAN STANLEY SR NT - 2.875%  | 305,421.                     | 303,885.              |

ATTACHMENT 8 (CONT'D)FORM 990PF, PART II - CORPORATE BONDS

| <u>DESCRIPTION</u>             | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|------------------------------|-----------------------|
| OCCIDENTAL PETE CORP-1.50%-02/ | 849,303.                     | 830,765.              |
| PROGRESS ENERGY INC MAKE WHOLE | 1,133,170.                   | 1,098,790.            |
| RFMSI 2004-S6 3A2 - 4.500% - 0 | 182,030.                     | 182,352.              |
| RIO TINTO FIN USA LTD NOTE - 3 | 1,000,063.                   | 969,922.              |
| RIO TINTO FINANCE PLC 08.95000 | 592,814.                     | 590,617.              |
| SCHWAB CHARLES CORP NEW - 0.00 | 265,000.                     | 277,000.              |
| SIMON PPTY GROUP - 2.150% - 09 | 324,395.                     | 329,485.              |
| SIMON PROPERTY GROUP LP - 02.  | 848,414.                     | 853,306.              |
| STARBUCKS CORP NOTE CALL 6.25% | 708,660.                     | 699,120.              |
| TEXAS INSTRS INC NT - 2.375% - | 499,465.                     | 518,270.              |
| TIME WARNER INC 5.875% 11/15/2 | 807,484.                     | 789,719.              |
| UPS - 5.125% - 04/01/2019      | 518,189.                     | 511,830.              |
| WELLS FARGO & CO SUB NOTES 5%  | 1,777,205.                   | 1,738,247.            |
| WELLS FARGO CO MTN - 2.100% -  | 498,630.                     | 509,325.              |
| WESTPAC BANKING CORP - 3.000%  | 1,030,290.                   | 1,042,880.            |
| TOTALS                         | <u>34,812,030.</u>           | <u>34,749,817.</u>    |

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| <u>DESCRIPTION</u> | <u>AMOUNT</u>  |
|--------------------|----------------|
| RETURNED GRANT     | 25,000.        |
| TOTAL              | <u>25,000.</u> |



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                             |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold |
|----------------------------------------------|---------------------------------------|-----------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis               | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |           |
| 25144095.                                    |                                       | PUBLICLY-TRADED SECURITIES<br>24993161. |                          |                                |                                    |              | 150,934.             |           |
|                                              |                                       | PASSTHROUGH K1 CAPITAL GAIN             |                          |                                |                                    |              | 392.                 |           |
| TOTAL GAIN (LOSS) .....                      |                                       |                                         |                          |                                |                                    |              | <u>151,326.</u>      |           |

ATTACHMENT 10FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: UNIVERSITA DEGLI STUDI DI PAVIA  
GRANTEE'S ADDRESS: CORSO STRADA NUOVA 65  
CITY, STATE & ZIP: PAVIA  
FOREIGN COUNTRY: ITALY  
GRANT DATE: 11/20/2012  
GRANT AMOUNT: 25,000.  
GRANT PURPOSE: TO SUPPORT SCIENTIFIC RESEARCH  
AMOUNT EXPENDED:  
ANY DIVERSION? NO  
DATES OF REPORTS: 1/10/14 AND 1/14/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: HEART AND STROKE FOUNDATION OF ONTARIO  
GRANTEE'S ADDRESS: 2300 YONGE ST SUITE 1300 M4P IE4  
CITY, STATE & ZIP: TORONTO  
FOREIGN COUNTRY: CANADA  
GRANT DATE: 09/09/2011  
GRANT AMOUNT: 2,500.  
GRANT PURPOSE: TO SUPPORT THE BECEL HEART AND STROKE RIDE FOR HEART  
PROJECT  
AMOUNT EXPENDED: 2,500.  
ANY DIVERSION? NO  
DATES OF REPORTS: 4/4/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: TECHNION RESEARCH AND DEVELOPMENT FDN  
GRANTEE'S ADDRESS: TECHNION CITY 32000  
CITY, STATE & ZIP: HAIFA  
FOREIGN COUNTRY: ISRAEL  
GRANT DATE: 01/06/2012  
GRANT AMOUNT: 50,000.  
GRANT PURPOSE: TO SUPPORT THE WII KINECT ENHANCED  
ACTIVE VISION PROJECT  
AMOUNT EXPENDED: 23,819.  
ANY DIVERSION? NO  
DATES OF REPORTS: 4/22/13  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: TECHNION RESEARCH AND DEVELOPMENT FDN  
GRANTEE'S ADDRESS: TECHNION CITY 32000  
CITY, STATE & ZIP: HAIFA  
FOREIGN COUNTRY: ISRAEL  
GRANT DATE: 12/23/2013  
GRANT AMOUNT: 25,000.

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT PURPOSE: TO SUPPORT THE WII KINECT ENHANCED  
ACTIVE VISION PROJECT

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: REPORT EXPECTED IN 2014

VERIFICATION DATE:

RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: INST OF COMMUNIC & COMP SYS OF NTUA

GRANTEE'S ADDRESS: IROON POLITECHNIUO 9 157 73

CITY, STATE & ZIP: ATHENS

FOREIGN COUNTRY: GREECE

GRANT DATE: 02/01/2012

GRANT AMOUNT: 25,000.

GRANT PURPOSE: TO SUPPORT THE TERAHERTZ SPECTRUM PROJECT

AMOUNT EXPENDED: 25,000.

ANY DIVERSION? NO

DATES OF REPORTS: 7/26/13

VERIFICATION DATE:

RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: INST OF COMMUNIC & COMP SYS OF NTUA

GRANTEE'S ADDRESS: IROON POLITECHNIUO 9 157 73

CITY, STATE & ZIP: ATHENS

FOREIGN COUNTRY: GREECE

GRANT DATE: 09/05/2013

GRANT AMOUNT: 25,000.

GRANT PURPOSE: TO SUPPORT THE TERAHERTZ SPECTRUM PROJECT

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: REPORT EXPECTED IN 2014

VERIFICATION DATE:

RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: INST OF COMMUNIC & COMP SYS OF NTUA

GRANTEE'S ADDRESS: IROON POLITECHNIUO 9 157 73

CITY, STATE & ZIP: ATHENS

FOREIGN COUNTRY: GREECE

GRANT DATE: 12/11/2013

GRANT AMOUNT: 25,000.

GRANT PURPOSE: TO SUPPORT THE DEVELOPMENT OF HIGH DR AND SFDR DAC-LESS  
DIRECT DIGITAL FREQUENCY SYNTHESIZERS (DDS)

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: REPORT EXPECTED IN 2014

VERIFICATION DATE:

RESULTS OF VERIFICATION:  
NONE NECESSARY

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: CURE CANCER AUSTRALIA FOUNDATION  
GRANTEE'S ADDRESS: 15-17 YOUNG STREET LEVEL 6 NSW 2000  
CITY, STATE & ZIP: SYDNEY  
FOREIGN COUNTRY: AUSTRALIA  
GRANT DATE: 04/17/2012  
GRANT AMOUNT: 500.  
GRANT PURPOSE: TO HIRE INDIVIDUALS WORKING ON A SCIENTIFIC RESEARCH PROJECT.  
AMOUNT EXPENDED: 500.  
ANY DIVERSION? NO  
DATES OF REPORTS: 12/19/13  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: CURE CANCER AUSTRALIA FOUNDATION  
GRANTEE'S ADDRESS: 15-17 YOUNG STREET LEVEL 6 NSW 2000  
CITY, STATE & ZIP: SYDNEY  
FOREIGN COUNTRY: AUSTRALIA  
GRANT DATE: 05/10/2013  
GRANT AMOUNT: 500.  
GRANT PURPOSE: TO HIRE INDIVIDUALS WORKING ON A SCIENTIFIC RESEARCH PROJECT  
AMOUNT EXPENDED: 500.  
ANY DIVERSION? NO  
DATES OF REPORTS: 7/17/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: UK ELECTRONICS SKILLS FOUNDATION  
GRANTEE'S ADDRESS: STE 47, GEDDES HOUSE, KIRKTON NORTH EH54 6GU  
CITY, STATE & ZIP: LIVINGSTON W LOTHIAN  
FOREIGN COUNTRY: UNITED KINGDOM  
GRANT DATE: 04/23/2013  
GRANT AMOUNT: 7,500.  
GRANT PURPOSE: TO SUPPORT UK ELECTRONICS SKILLS FOUNDATION SUMMER SCHOOL  
AMOUNT EXPENDED: 7,500.  
ANY DIVERSION? NO  
DATES OF REPORTS: 7/6/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: CAMBRIDGE UNIVERSITY-COMPUTER LABORATORY  
GRANTEE'S ADDRESS: W GATES BLDG 15 JJ THOMSON AVE CB3 0FD  
CITY, STATE & ZIP: CAMBRIDGE  
FOREIGN COUNTRY: UNITED KINGDOM  
GRANT DATE: 05/16/2012

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT AMOUNT: 25,000.  
GRANT PURPOSE: TO SUPPORT THE RASPBERRY PI COMPUTER PROJECT  
AMOUNT EXPENDED: 25,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: 3/26/13  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: CAMBRIDGE UNIVERSITY-COMPUTER LABORATORY  
GRANTEE'S ADDRESS: W GATES BLDG 15 JJ THOMSON AVE CB3 OFD  
CITY, STATE & ZIP: CAMBRIDGE  
FOREIGN COUNTRY: UNITED KINGDOM  
GRANT DATE: 12/12/2013  
GRANT AMOUNT: 25,000.  
GRANT PURPOSE: TO SUPPORT THE RASPBERRY PI COMPUTER PROJECT  
AMOUNT EXPENDED:  
ANY DIVERSION? NO  
DATES OF REPORTS: REPORT EXPECTED IN 2014  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: WESTED  
GRANTEE'S ADDRESS: 730 HARRISON STREET  
CITY, STATE & ZIP: SAN FRANCISCO, CA 94107  
GRANT DATE: 05/13/2013  
GRANT AMOUNT: 14,000.  
GRANT PURPOSE: TO SUPPORT THE ENGINEERING IN ELEMENTARY PROJECT  
AMOUNT EXPENDED: 14,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: 4/30/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

GRANTEE'S NAME: UNIVERSITA DEGLI STUDI DI PAVIA  
GRANTEE'S ADDRESS: CORSO STRADA NUOVA 65  
CITY, STATE & ZIP: PAVIA  
FOREIGN COUNTRY: ITALY  
GRANT DATE: 12/19/2011  
GRANT AMOUNT: 25,000.  
GRANT PURPOSE: TO SUPPORT SCIENTIFIC RESEARCH  
AMOUNT EXPENDED: 25,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: 7/3/12, 1/10/14 AND 1/14/14  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
NONE NECESSARY

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

ERIC K BRANDT  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

DIRECTOR

1.00

ARTHUR CHONG  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

DIRECTOR

1.00

LAURI FISCHER  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

SECRETARY

1.00

SCOTT A MCGREGOR  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

PRESIDENT/DIRECTOR

1.00

GREGG S MORRISON  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

CHIEF FINANCIAL OFFICER

1.00

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11 (CONT'D)

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

HENRY SAMUELI  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

DIRECTOR

1.00

TERRI L TIMBERMAN  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

DIRECTOR

1.00

MARIA WRONSKI  
5300 CALIFORNIA STREET  
53068  
IRVINE, CA 92617

TREASURER

1.00

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

## ATTACHMENT 12

| <u>NAME AND ADDRESS</u>                                    | <u>TITLE AND AVERAGE<br/>HOURS PER WEEK<br/>DEVOTED TO POSITION</u> | <u>COMPENSATION</u> |
|------------------------------------------------------------|---------------------------------------------------------------------|---------------------|
| PAULA GOLDEN<br>5300 CALIFORNIA STREET<br>IRVINE, CA 92617 | EXECUTIVE DIRECTOR<br>40.00                                         | 145,000.            |
|                                                            |                                                                     | 0                   |
|                                                            | TOTAL COMPENSATION                                                  | <u>145,000.</u>     |



990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

| <u>NAME AND ADDRESS</u>                                                                  | <u>TYPE OF SERVICE</u> | <u>COMPENSATION</u> |
|------------------------------------------------------------------------------------------|------------------------|---------------------|
| COVINGTON CAPITAL MANAGEMENT<br>601 SOUTH FIGUEROA ST, STE 2000<br>LOS ANGELES, CA 90017 | INVESTMENT MGMT        | 330,727.            |
| FOUNDATION SOURCE<br>55 WALLS DRIVE, SUITE 302<br>FAIRFIELD, CT 06824                    | ADMINISTRATIVE         | 89,750.             |
|                                                                                          | TOTAL COMPENSATION     | <u>420,477.</u>     |

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ATTACHMENT 14

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FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

[WWW.FSREQUESTS.COM/BROADCOM](http://WWW.FSREQUESTS.COM/BROADCOM)

## BROADCOM FOUNDATION

26-4754581

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15

| RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND                                               |                                | PURPOSE OF GRANT OR CONTRIBUTION              | AMOUNT   |
|----------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------|----------|
| RECIPIENT NAME AND ADDRESS                                                                   | FOUNDATION STATUS OF RECIPIENT |                                               |          |
| A HOME AWAY FROM HOMELESSNESS<br>FORT MASON BLDG 9<br>SAN FRANCISCO, CA 94123                | N/A<br>PC                      | GENERAL & UNRESTRICTED                        | 500.     |
| AFTERSCHOOL ALLIANCE<br>1616 H ST NW<br>WASHINGTON, DC 20006                                 | N/A<br>PC                      | PROJECT DEVELOPMENT OF MASTERS JUNIOR VARSITY | 2,500    |
| AINAHAU O KALEPONI HAWAIIAN CIVIC CLUB<br>12534 VALLEY VIEW ST 343<br>GARDEN GROVE, CA 92845 | N/A<br>PC                      | GENERAL & UNRESTRICTED                        | 500      |
| ALEARN<br>3777 STEVENS CREEK BLVD STE 330<br>SANTA CLARA, CA 95051                           | N/A<br>PC                      | SATURDAY MATH INITIATIVE                      | 2,500    |
| AMERICAN COMMITTEE FOR THE WEIZMANN INST OF SCI<br>633 3RD AVE FL 20<br>NEW YORK, NY 10017   | N/A<br>PC                      | PROFESSOR LUBOMIRSKY'S RESEARCH PROJECT       | 25,000   |
| AMERICAN FRIENDS OF THE TEL AVIV UNIVERSITY INC<br>39 BROADWAY RM 1510<br>NEW YORK, NY 10006 | N/A<br>PC                      | BROADCOM FOUNDATION SCHOLARS PROGRAM          | 150,000. |

ATTACHMENT 15

## BROADCOM FOUNDATION

26-4754581

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND |                     | PURPOSE OF GRANT OR CONTRIBUTION              | AMOUNT  |
|----------------------------------------------------------------------------------------------|------------------------------------------------|---------------------|-----------------------------------------------|---------|
|                                                                                              | FOUNDATION                                     | STATUS OF RECIPIENT |                                               |         |
| AMERICAN FRIENDS OF THE TEL AVIV UNIVERSITY INC<br>39 BROADWAY RM 1510<br>NEW YORK, NY 10006 | N/A                                            | PC                  | PROFESSOR ERAN SOCHER'S RESEARCH PROJECT      | 25,000  |
| AMERICAN HEART ASSOCIATION INC - ORANGE COUNTY<br>4600 CAMPUS DR<br>IRVINE, CA 92617         | N/A                                            | PC                  | GENERAL & UNRESTRICTED                        | 15,000  |
| AMERICAN WORLD ADOPTION ASSOCIATION<br>6723 WHITTIER AVE STE 306<br>MCLEAN, VA 22101         | N/A                                            | PC                  | GENERAL & UNRESTRICTED                        | 500     |
| AMICI LOVANIENSIS INC<br>116 W MAIN ST STE 302<br>SALISBURY, MD 21801                        | N/A                                            | PC                  | PROF MICHEL STEYAERT'S RESEARCH AT K.U LEUVEN | 25,000  |
| ARIZONA STATE UNIVERSITY<br>OFC FOR RESEARCH AND SPONSORED PROJECTS<br>TEMPE, AZ 85287       | N/A                                            | PC                  | PROFESSOR SAYFE KIAEI'S RESEARCH PROJECT      | 25,000. |
| ART OF LIVING FOUNDATION<br>2401 15TH ST, NW<br>WASHINGTON, DC 20009                         | N/A                                            | PC                  | SANTA BARBARA CHAPTER                         | 500     |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                                          | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION           | AMOUNT  |
|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|--------------------------------------------|---------|
|                                                                                                                     |                                                                                  |  |                                            |         |
| ASHA FOR EDUCATION<br>PO BOX 322<br>NEW YORK, NY 10040                                                              | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                     | 500     |
| ASHA FOR EDUCATION<br>PO BOX 322<br>NEW YORK, NY 10040                                                              | N/A<br>PC                                                                        |  | SILICON VALLEY CHAPTER                     | 500     |
| BEIJING TSINGHUA UNIVERSITY<br>NO 1 TSINGHUA YUAN<br>BEIJING<br>HAIDIAN DISTRICT<br>CHINA                           | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                     | 25,000. |
| BEIJING UNIVERSITY OF POSTS AND TELECOMMUNICATIONS<br>NO. 10 XI TU CHENG RD<br>BEIJING<br>HAIDIAN DISTRICT<br>CHINA | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                     | 25,000. |
| BIG BROTHERS BIG SISTERS OF ORANGE COUNTY<br>14131 YORBA ST STE 200<br>TUSTIN, CA 92780                             | N/A<br>PC                                                                        |  | BIG BROTHERS BIG SISTERS MENTORING PROGRAM | 1,000   |
| BOY SCOUTS OF AMERICA - CRADLE OF LIBERTY COUNCIL<br>1485 VALLEY FORGE RD<br>WAYNE, PA 19087                        | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                     | 500.    |

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                                          | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION                            | AMOUNT  |
|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|-------------------------------------------------------------|---------|
| BREAST CANCER SOLUTIONS<br>130 MCCORMICK AVE, STE 102<br>COSTA MESA, CA 92626                                       | N/A<br>PC                                                                        | GENERAL & UNRESTRICTED                                      | 500     |
| BRIDGING INCORPORATED<br>201 W 87TH ST<br>BLOOMINGTON, MN 55420                                                     | N/A<br>PC                                                                        | CHARITABLE EVENT                                            | 2,500   |
| BUDDHIST COMPASSION RELIEF TZU CHI FDN REP OF CHIN<br>220 E PALM AVE<br>MONROVIA, CA 91016                          | N/A<br>PC                                                                        | GENERAL & UNRESTRICTED                                      | 500     |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>M/C 5-32, 1200 E. CALIFORNIA BLVD<br>PASADENA, CA 91125                       | N/A<br>PC                                                                        | PROFESSOR A EMAMI'S RESEARCH PROJECT                        | 25,000  |
| CALIFORNIANS DEDICATED TO EDUCATION FOUNDATION<br>5429 MADISON AVE<br>SACRAMENTO, CA 95841                          | N/A<br>PC                                                                        | NEXT GENERATION SCIENCE STANDARDS HEARINGS IN<br>CALIFORNIA | 10,000. |
| CAMBRIDGE UNIVERSITY - COMPUTER LABORATORY<br>W GATES BLDG 15 JJ THOMSON AVE CB3 0FD<br>CAMBRIDGE<br>UNITED KINGDOM | N/A<br>NC                                                                        | EXPENDITURE RESPONSIBILITY GRANT                            | 25,000  |

ATTACHMENT 15

## BROADCOM FOUNDATION

26-4754581

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|----------------------------------|--------|
|                                                                                             |                                                                                  |  |                                  |        |
| CHILDRENS MUSEUM OF OAK PARK INC<br>6445 N AVE<br>OAK PARK, IL 60302                        | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED           | 500    |
| CHOC FOUNDATION DBA CHOC CHILDREN'S<br>PO BOX 10061<br>NEWPORT BEACH, CA 92658              | N/A<br>PC                                                                        |  | TALIUM HEALTH KICK PROGRAM       | 5,000  |
| COMMUNITY ACTION PARTNERSHIP OF ORANGE COUNTY<br>11870 MONARCH ST<br>GARDEN GROVE, CA 92841 | N/A<br>PC                                                                        |  | NATIONAL FAMILY VOLUNTEER DAY    | 2,500  |
| COMPUTER HISTORY MUSEUM<br>1401 N SHORELINE BLVD<br>MOUNTAIN VIEW, CA 94043                 | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED           | 2,500. |
| COMPUTER HISTORY MUSEUM<br>1401 N SHORELINE BLVD<br>MOUNTAIN VIEW, CA 94043                 | N/A<br>PC                                                                        |  | RASPBERRY PI WORKSHOP            | 7,500  |
| CURE CANCER AUSTRALIA FOUNDATION<br>15-17 YOUNG ST, LEVEL 6 NSW 2000<br>SYDNEY<br>AUSTRALIA | N/A<br>NC                                                                        |  | EXPENDITURE RESPONSIBILITY GRANT | 500    |

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                    | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                                                      | AMOUNT |
|-----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------|--------|
|                                                                                               |                                                                                  |  |                                                                                       |        |
| DELAWARE VALLEY SCIENCE FAIRS INC<br>236 RANDELL HALL 3141 CHESTNUT<br>PHILADELPHIA, PA 19104 | N/A<br>PC                                                                        |  | DELAWARE VALLEY SCIENCE FAIRS PROGRAM                                                 | 2,500  |
| DESCHUTES COUNTY 4-H & FFA LIVESTOCK AUCTION ASSN<br>PO BOX 1645<br>REDMOND, OR 97756         | N/A<br>PC                                                                        |  | 4H WESTSIDE LEGO TEAM                                                                 | 500    |
| DISCOVERY SCIENCE CENTER OF ORANGE COUNTY<br>2500 N MAIN ST<br>SANTA ANA, CA 92705            | N/A<br>PC                                                                        |  | FFSEA PILOT IN BOYS & GIRLS CLUBS - SOUTH COUNTY<br>(SAN JUAN CAPISTRANO/ALISO VIEJO) | 25,000 |
| DOCTORS WITHOUT BORDERS USA INC<br>333 7TH AVE<br>NEW YORK, NY 10001                          | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                                                                | 500    |
| EKAL VIDYALAYA FOUNDATION OF USA INC<br>1712 HWY 6 S STE A<br>HOUSTON, TX 77077               | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                                                                | 500    |
| ENVISION EXCELLENCE IN STEM EDUCATION<br>2108 LAMBERTON RD<br>CLEVELAND HEIGHTS, OH 44118     | N/A<br>PC                                                                        |  | STEM FUNDERS NETWORK                                                                  | 10,000 |



## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                         | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                       | AMOUNT |
|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|--------------------------------------------------------|--------|
|                                                                                                    |                                                                                  |  |                                                        |        |
| GAINS PROGRAM-SANTA CLARA UNIFIED SCHOOL DISTRICT<br>1889 LAWRENCE RD<br>SANTA CLARA, CA 95052     | N/A<br>PC                                                                        |  | GIRLS ACHIEVING IN NON-TRADITIONAL SUBJECTS<br>PROGRAM | 2,500  |
| GEORGIA INSTITUTE OF TECHNOLOGY<br>500 TECH PKWY<br>ATLANTA, GA 30332                              | N/A<br>PC                                                                        |  | PROFESSOR GREGORY DURGIN'S RESEARCH PROJECT            | 25,000 |
| GIRL SCOUTS OF ORANGE COUNTY<br>9500 TOLEDO WAY<br>IRVINE, CA 92618                                | N/A<br>PC                                                                        |  | CAMP SCHERMAN                                          | 500    |
| GIRLS INCORPORATED OF ORANGE COUNTY<br>1815 ANAHEIM AVE<br>COSTA MESA, CA 92627                    | N/A<br>PC                                                                        |  | EUREKA' PROGRAM                                        | 2,500  |
| GWINNETT COUNTY PUBLIC SCHOOLS FOUNDATION FUND INC<br>437 OLD PEACHTREE RD NW<br>SUWANEE, GA 30024 | N/A<br>PC                                                                        |  | GWINNETT REGIONAL FAIR                                 | 2,500. |
| HABITAT FOR HUMANITY INTERNATIONAL INC<br>2619 BROADWAY STE 205/206<br>OAKLAND, CA 94612           | N/A<br>PC                                                                        |  | AFFORDABLE HOUSING PROGRAM                             | 10,000 |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                              | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                                                            | AMOUNT |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------------|--------|
|                                                                                         |                                                                                  |  |                                                                                             |        |
| HABITAT FOR HUMANITY OF ORANGE COUNTY<br>2200 S RITCHIEY ST<br>SANTA ANA, CA 92705      | N/A<br>PC                                                                        |  | TEAM BUILDING PROGRAM                                                                       | 5,000  |
| HELP THEM GROW INC<br>1030 E EL CAMINO REAL<br>SUNNYVALE, CA 94087                      | N/A<br>PC                                                                        |  | INDIA VOLUNTEER (BANGALORE) PROJECT                                                         | 7,500  |
| HIGH TECH HIGH<br>2861 WOMBLE RD<br>SAN DIEGO, CA 92106                                 | N/A<br>PC                                                                        |  | NORTH COUNTY CAMPUS                                                                         | 500.   |
| HISPANIC FOUNDATION OF SILICON VALLEY<br>1922 THE ALAMEDA STE 217<br>SAN JOSE, CA 95126 | N/A<br>PC                                                                        |  | SATURDAY MATH PROGRAM                                                                       | 5,000. |
| HOAG CHARITY SPORTS<br>3920 BIRCH ST<br>NEWPORT BEACH, CA 92660                         | N/A<br>PC                                                                        |  | NURSING EDUCATION FUND AND SCHOLARSHIPS PROJECT                                             | 15,000 |
| IMPERIAL COLLEGE FOUNDATION INC<br>837 STATE ST<br>ATLANTA, GA 30332                    | N/A<br>PC                                                                        |  | PROFESSOR PETER CHEUNG'S RESEARCH PROJECT, DEPT<br>OF ELECTRICAL AND ELECTRONIC ENGINEERING | 25,000 |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                          |  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                 | AMOUNT  |
|-----------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------|--|--------------------------------------------------|---------|
| INDIAN INSTITUTE OF SCIENCE<br>C V RAMAN RD, INDIAN INSTITUTE OF 56001<br>BANGALORE<br>INDIA        |  | N/A<br>PC                                                                        |  | PROFESSOR NEELESH MEHTA'S RESEARCH PROJECT       | 5,000   |
| INST OF COMMUNIC & COMP SYS/NATL TECH UNIV ATHENS<br>IROON POLITECHNIOU 9 157 73<br>ATHENS<br>GRECE |  | N/A<br>NC                                                                        |  | EXPENDITURE RESPONSIBILITY GRANT                 | 50,000. |
| INTERNATIONAL MEDICAL CORPS<br>1919 SANTA MONICA BLVD STE 400<br>SANTA MONICA, CA 90404             |  | N/A<br>PC                                                                        |  | RELIEF EFFORTS IN THE PHILIPPINES                | 10,000. |
| IOWA STATE UNIVERSITY FOUNDATION<br>PO BOX 22<br>AMES, IA 50010                                     |  | N/A<br>PC                                                                        |  | PROFESSOR RANDALL L GEIGER'S RESEARCH PROJECT    | 25,000  |
| IRVINE PUBLIC SCHOOLS FOUNDATION<br>1 POST STE 250<br>IRVINE, CA 92618                              |  | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                           | 15,000  |
| IRVINE PUBLIC SCHOOLS FOUNDATION<br>1 POST STE 250<br>IRVINE, CA 92618                              |  | N/A<br>PC                                                                        |  | 2013 IRVINE UNIFIED SCHOOL DISTRICT SCIENCE FAIR | 12,000  |

ATTACHMENT 15

## BROADCOM FOUNDATION

26-4754581

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                         | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND |                     | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----------------------------------------------------------------------------------------------------|------------------------------------------------|---------------------|----------------------------------|--------|
|                                                                                                    | FOUNDATION                                     | STATUS OF RECIPIENT |                                  |        |
| LATINO COMMUNITY FOUNDATION<br>ONE EMBARCADERO CENTER, STE 1400<br>SAN FRANCISCO, CA 94111         | N/A                                            | SO I                | GENERAL & UNRESTRICTED           | 10,000 |
| MAKE-A-WISH FOUNDATION OF ORANGE COUNTY<br>14232 RED HILL AVE<br>TUSTIN, CA 92780                  | N/A                                            | PC                  | GENERAL & UNRESTRICTED           | 500.   |
| MASSACHUSETTS STATE SCIENCE & ENGINEERING FAIR INC<br>955 MASSACHUSETTS AVE<br>CAMBRIDGE, MA 02139 | N/A                                            | PC                  | GENERAL & UNRESTRICTED           | 3,000  |
| MASSACHUSETTS STATE SCIENCE & ENGINEERING FAIR INC<br>955 MASSACHUSETTS AVE<br>CAMBRIDGE, MA 02139 | N/A                                            | PC                  | 2014 FUNDING PROGRAM             | 3,000  |
| MASSACHUSETTS STATE SCIENCE & ENGINEERING FAIR INC<br>955 MASSACHUSETTS AVE<br>CAMBRIDGE, MA 02139 | N/A                                            | PC                  | VIDEO PROJECT                    | 5,000  |
| MONARCH SCHOOL PROJECT<br>1625 NEWTON AVE<br>SAN DIEGO, CA 92113                                   | N/A                                            | PC                  | GENERAL & UNRESTRICTED           | 500    |

BROADCOM FOUNDATION

26-4754581

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                    | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                                                              | AMOUNT |
|-----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------|--------|
|                                                                                               |                                                                                  |  |                                                                                               |        |
| MUSTARD SEED COMMUNITIES INC<br>29 JAMES AVE<br>MEDFIELD, MA 02052                            | N/A<br>PC                                                                        |  | MUSTARD SEED ORPHANAGE IN HOGAR BELEN-DIRIAMBÁ,<br>NICARAGUA                                  | 500.   |
| NATIONAL FOUNDATION FOR AUTISM RESEARCH<br>PO BOX 502177<br>SAN DIEGO, CA 92150               | N/A<br>PC                                                                        |  | CHARITABLE EVENT                                                                              | 2,500  |
| NATIONAL TAIWAN UNIV ACADEMIC DEVELOPMENT FDN<br>79 W MONROE ST STE 1119<br>CHICAGO, IL 60603 | N/A<br>PC                                                                        |  | PROFESSOR TIAN-WEI HUANG'S RESEARCH PROJECT IN<br>ELECTRICAL ENGINEERING AND COMPUTER SCIENCE | 25,000 |
| NATIONAL TAIWAN UNIV ACADEMIC DEVELOPMENT FDN<br>79 W MONROE ST STE 1119<br>CHICAGO, IL 60603 | N/A<br>PC                                                                        |  | PROFESSOR TIAN-WEI HUANG'S RESEARCH                                                           | 25,000 |
| NATIONAL TAIWAN UNIV ACADEMIC DEVELOPMENT FDN<br>79 W MONROE ST STE 1119<br>CHICAGO, IL 60603 | N/A<br>PC                                                                        |  | PROFESSOR TZI-DAR CHIUH'S RESEARCH                                                            | 25,000 |
| NORTH MUSEUM CORPORATION<br>400 COLLEGE AVE<br>LANCASTER, PA 17603                            | N/A<br>PC                                                                        |  | CHARITABLE EVENT                                                                              | 2,500  |

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                               | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |    | PURPOSE OF GRANT OR CONTRIBUTION                  | AMOUNT |
|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----|---------------------------------------------------|--------|
|                                                                                          |                                                                                  |    |                                                   |        |
| ORANGE COUNTY SCIENCE AND ENGINEERING FAIR INC<br>PO BOX 1361<br>HUNTINGTN BCH, CA 92647 | N/A                                                                              | PC | GENERAL & UNRESTRICTED                            | 3,500  |
| ORANGE COUNTY SCIENCE AND ENGINEERING FAIR INC<br>PO BOX 1361<br>HUNTINGTN BCH, CA 92647 | N/A                                                                              | PC | TRAINING TEACHERS AND AFTER SCHOOL ADMINISTRATORS | 30,000 |
| OREGON STATE UNIVERSITY FOUNDATION<br>850 SW 35TH ST<br>CORVALLIS, OR 97333              | N/A                                                                              | PC | PROFESSOR GABOR TEMES' RESEARCH PROJECT           | 25,000 |
| OREGON STATE UNIVERSITY FOUNDATION<br>850 SW 35TH ST<br>CORVALLIS, OR 97333              | N/A                                                                              | PC | PROFESSOR UN-KU MOON'S RESEARCH PROJECT           | 25,000 |
| P E F ISRAEL ENDOWMENT FUNDS INC<br>317 MADISON AVE STE 607<br>NEW YORK, NY 10017        | N/A                                                                              | PC | ETGARIM, ISRAEL                                   | 500    |
| PACIFIC YOUTH CORRECTIONAL MINISTRIES<br>PO BOX 8875<br>REDLANDS, CA 92375               | N/A                                                                              | PC | GENERAL & UNRESTRICTED                            | 500    |

BROADCOM FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                            |  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION          | AMOUNT  |
|-------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------|-------------------------------------------|---------|
| PEKING UNIVERSITY EDUCATION FOUNDATION USA<br>510 BROADWAY STE 300<br>MILBRAE, CA 94030               |  | N/A<br>PC                                                                        | PROFESSOR WEN GAO'S RESEARCH              | 25,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA - UC DAVIS<br>1 SHIELDS AVE<br>DAVIS, CA 95616                |  | N/A<br>PC                                                                        | BROADCOM MASTERS JUNIOR VARSITY           | 10,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA - UC DAVIS<br>1 SHIELDS AVE<br>DAVIS, CA 95616                |  | N/A<br>PC                                                                        | PROFESSOR PAUL HURST'S RESEARCH PROJECT   | 25,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA - UC DAVIS<br>1 SHIELDS AVE<br>DAVIS, CA 95616                |  | N/A<br>PC                                                                        | PROFESSOR STEPHEN LEWIS' RESEARCH PROJECT | 25,000. |
| REGENTS OF THE UNIV OF CALIFORNIA AT BERKELEY<br>2195 HEARSTAVENUE RM 120MC1104<br>BERKELEY, CA 94720 |  | N/A<br>PC                                                                        | PROFESSOR ALI NIKNEJAD'S RESEARCH PROJECT | 25,000. |
| REGENTS OF THE UNIV OF CALIFORNIA AT BERKELEY<br>2195 HEARSTAVENUE RM 120MC1104<br>BERKELEY, CA 94720 |  | N/A<br>PC                                                                        | PROFESSOR ELAD ALON'S RESEARCH PROJECT    | 25,000. |

## BROADCOM FOUNDATION

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FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                             | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                            | AMOUNT  |
|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|-------------------------------------------------------------|---------|
|                                                                                                        |                                                                                  |  |                                                             |         |
| REGENTS OF THE UNIV OF CALIFORNIA AT BERKELEY<br>2195 HEARSTAVENUE RM 120MC1104<br>BERKELEY, CA 94720  | N/A<br>PC                                                                        |  | PROFESSOR JAN RABAHEY'S RESEARCH PROJECT                    | 25,000. |
| REGENTS OF THE UNIV OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DR, MAIL CODE 0940<br>LA JOLLA, CA 92093 | N/A<br>PC                                                                        |  | PROFESSOR ALEX VARDY'S RESEARCH PROJECT                     | 25,000  |
| REGENTS OF THE UNIV OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DR, MAIL CODE 0940<br>LA JOLLA, CA 92093 | N/A<br>PC                                                                        |  | PROFESSOR BHASKAR RAO'S PROJECT                             | 25,000  |
| REGENTS OF THE UNIV OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DR, MAIL CODE 0940<br>LA JOLLA, CA 92093 | N/A<br>PC                                                                        |  | PROFESSOR IAN GALTON'S RESEARCH PROJECT                     | 25,000  |
| REGENTS OF THE UNIV OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DR, MAIL CODE 0940<br>LA JOLLA, CA 92093 | N/A<br>PC                                                                        |  | PROFESSORS KEN YOCUM AND ALEX SNOEREN'S RESEARCH<br>PROJECT | 25,000  |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 S STATE ST G395<br>ANN ARBOR, MI 48109                   | N/A<br>PC                                                                        |  | PROFESSOR MARIOS C. PAPAETHYMIU'S RESEARCH<br>PROJECT       | 25,000  |



## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| <u>RECIPIENT NAME AND ADDRESS</u>                                                                                  | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br/>AND<br/>FOUNDATION STATUS OF RECIPIENT</u> |    | <u>PURPOSE OF GRANT OR CONTRIBUTION</u>           | <u>AMOUNT</u> |
|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|----|---------------------------------------------------|---------------|
|                                                                                                                    |                                                                                           |    |                                                   |               |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD STE 620<br>LOS ANGELES, CA 90024               | N/A                                                                                       | PC | PROFESSOR ALAN WILLSON'S RESEARCH PROJECT         | 25,000.       |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD STE 620<br>LOS ANGELES, CA 90024               | N/A                                                                                       | PC | PROFESSOR BABAK DANESHRAH'S RESEARCH PROJECT      | 25,000        |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD STE 620<br>LOS ANGELES, CA 90024               | N/A                                                                                       | PC | PROFESSOR FRANK CHANG'S RESEARCH PROJECT          | 25,000        |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD STE 620<br>LOS ANGELES, CA 90024               | N/A                                                                                       | PC | PROFESSOR JASON CONG'S RESEARCH PROJECT           | 25,000        |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD STE 620<br>LOS ANGELES, CA 90024               | N/A                                                                                       | PC | PROFESSOR RICHARD WESEL'S RESEARCH PROJECT        | 25,000        |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>SCHOOL OF ENGINEERING AND APPLIED SCIENCE<br>LOS ANGELES, CA 90095 | N/A                                                                                       | PC | BROADCOM FOUNDATION THIRD/FOURTH YEAR FELLOWSHIPS | 90,000        |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                                         | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                              | AMOUNT  |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|---------------------------------------------------------------|---------|
|                                                                                                                    |                                                                                  |  |                                                               |         |
| REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES<br>SCHOOL OF ENGINEERING AND APPLIED SCIENCE<br>LOS ANGELES, CA 90095 | N/A<br>PC                                                                        |  | BROADCOM FOUNDATION SECOND YEAR FELLOWSHIP                    | 250,000 |
| ROYAL SOCIETY OF MEDICINE<br>1 WIMPOLE STREET WIG OAE<br>LONDON<br>UNITED KINGDOM                                  | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                                        | 500     |
| SANTA CLARA VALLEY SCIENCE FAIR ASN<br>PO BOX 307<br>LOS ALTOS, CA 94023                                           | N/A<br>PC                                                                        |  | SYNOPSIS SCIENCE AND TECHNOLOGY CHAMPIONSHIP                  | 5,000.  |
| SCHMAHL SCIENCE WORKSHOPS<br>171 BRANHAM LN STE 10 PMB 223<br>SAN JOSE, CA 95136                                   | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                                        | 500     |
| SCIENCE BUDDIES<br>PO BOX 5038<br>CARMEL, CA 93921                                                                 | N/A<br>PC                                                                        |  | REGIONAL SUPPORT FOR ENGINEERING PROJECT GUIDE                | 25,000  |
| SCIE FOUNDATION INC<br>140 PHILIPS RD<br>EXTON, PA 19341                                                           | N/A<br>PC                                                                        |  | FOUNDATION INITIATIVE - SUPPORT RETURNING<br>VETERANS PROJECT | 10,000. |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                              | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION            | AMOUNT    |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------|-----------|
| SILICON VALLEY EDUCATION FOUNDATION<br>1400 PARKMOOR AVE, STE 200<br>SAN JOSE, CA 95126 | N/A<br>PC                                                                        | AFTER SCHOOL MATH INITIATIVE                | 5,000     |
| SOCIETY FOR SCIENCE & THE PUBLIC<br>1719 N ST NW<br>WASHINGTON, DC 20036                | N/A<br>PC                                                                        | BROADCOM MASTERS PROGRAM                    | 1,000,000 |
| SOCIETY FOR SCIENCE & THE PUBLIC<br>1719 N ST NW<br>WASHINGTON, DC 20036                | N/A<br>PC                                                                        | INTERNATIONAL MASTERS PROGRAM               | 270,400   |
| SOCIETY OF WOMEN ENGINEERS<br>PO BOX 61333<br>SUNNYVALE, CA 94088                       | N/A<br>PC                                                                        | CHARITABLE EVENT                            | 3,000     |
| STANFORD UNIVERSITY<br>326 GALVEZ ST<br>STANFORD, CA 94305                              | N/A<br>PC                                                                        | PROFESSOR BORIS MURMANN'S RESEARCH PROJECT  | 25,000    |
| STANFORD UNIVERSITY<br>326 GALVEZ ST<br>STANFORD, CA 94305                              | N/A<br>PC                                                                        | PROFESSOR EMMANUEL CANDES' RESEARCH PROJECT | 25,000    |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                             | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND |                     | PURPOSE OF GRANT OR CONTRIBUTION               | AMOUNT  |
|----------------------------------------------------------------------------------------|------------------------------------------------|---------------------|------------------------------------------------|---------|
|                                                                                        | FOUNDATION                                     | STATUS OF RECIPIENT |                                                |         |
| STOP HUNGER NOW INC<br>615 HILLSBOROUGH ST STE 200<br>RALEIGH, NC 27603                | N/A<br>PC                                      |                     | CHARITABLE EVENT                               | 5,000   |
| STOP HUNGER NOW INC<br>615 HILLSBOROUGH ST STE 200<br>RALEIGH, NC 27603                | N/A<br>PC                                      |                     | CHARITABLE EVENT                               | 2,500   |
| STOP HUNGER NOW INC<br>615 HILLSBOROUGH ST STE 200<br>RALEIGH, NC 27603                | N/A<br>PC                                      |                     | CHARITABLE EVENT                               | 10,000. |
| T H I N K TOGETHER<br>2101A E 4TH ST<br>SANTA ANA, CA 92705                            | N/A<br>PC                                      |                     | AFTER SCHOOL SCIENCE FAIR PROGRAM              | 30,000  |
| TECHNION RESEARCH AND DEVELOPMENT FOUNDATION<br>TECHNION CITY 32000<br>HAIFA<br>ISRAEL | N/A<br>NC                                      |                     | EXPENDITURE RESPONSIBILITY GRANT               | 25,000  |
| TEXAS A&M FOUNDATION<br>401 GEORGE BUSH DR<br>COLLEGE STATION, TX 77840                | N/A<br>PC                                      |                     | PROFESSOR JOSE SILVA-MARTINEZ RESEARCH PROJECT | 25,000  |

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                                                 | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION              | AMOUNT  |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|-----------------------------------------------|---------|
|                                                                                                                            |                                                                                  |  |                                               |         |
| TEXAS A&M FOUNDATION<br>401 GEORGE BUSH DR<br>COLLEGE STATION, TX 77840                                                    | N/A<br>PC                                                                        |  | PROFESSOR SANCHEZ-SINENCIO'S RESEARCH PROJECT | 25,000  |
| THE EXPLORATORIUM<br>PIERS 15/17<br>SAN FRANCISCO, CA 94111                                                                | N/A<br>PC                                                                        |  | GIRLS SCIENCE INSTITUTE PROGRAM               | 5,000   |
| TIGER WOODS FOUNDATION INC<br>ONE TIGER WOODS WAY<br>ANAHEIM, CA 92801                                                     | N/A<br>PC                                                                        |  | TYLC STEM EDUCATION & ENRICHMENT PROGRAM      | 2,000.  |
| UK ELECTRONICS SKILLS FOUNDATION<br>STE 47, GEDDES HOUSE, KIRKTON NORTH EH54 6GU<br>LIVINGSTON W LOTHIAN<br>UNITED KINGDOM | N/A<br>NC                                                                        |  | EXPENDITURE RESPONSIBILITY GRANT              | 7,500   |
| UNIVERSITAT POLITECNICA DE CATALUNYA<br>JORDI GIRONA 31 08034<br>BARCELONA<br>SPAIN                                        | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                        | 25,000. |
| UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION<br>100 THEORY, STE 250<br>IRVINE, CA 92617                                      | N/A<br>PC                                                                        |  | PROFESSOR G P LI'S RESEARCH PROJECT           | 25,000  |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                       | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION                             | AMOUNT   |
|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------|----------|
| UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION<br>100 THEORY, STE 250<br>IRVINE, CA 92617            | N/A<br>PC                                                                        | PROFESSOR HAMID JAFARKHANI'S RESEARCH PROJECT                | 25,000   |
| UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION<br>100 THEORY, STE 250<br>IRVINE, CA 92617            | N/A<br>PC                                                                        | PROFESSOR MICHAEL GREEN'S RESEARCH PROJECT                   | 25,000   |
| UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION<br>100 THEORY, STE 250<br>IRVINE, CA 92617            | N/A<br>PC                                                                        | PROFESSOR SYED JAFAR'S RESEARCH PROJECT                      | 25,000   |
| UNIV OF CA IRVINE FDN HENRY SAMUELI ENGINEERING SCH<br>5200 ENGINEERING HALL<br>IRVINE, CA 92697 | N/A<br>PC                                                                        | BROADCOM FOUNDATION UCI ELECTRICAL ENGINEERING<br>FELLOWSHIP | 200,000. |
| UNIV OF CA IRVINE FDN HENRY SAMUELI ENGINEERING SCH<br>5200 ENGINEERING HALL<br>IRVINE, CA 92697 | N/A<br>PC                                                                        | UCI FAB CAMP PROGRAM                                         | 4,500    |
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>DEVELOPMENT OFC<br>SANTA BARBARA, CA 93106             | N/A<br>PC                                                                        | PROFESSOR KEN ROSE'S RESEARCH PROJECT                        | 25,000   |

BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                |  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION                                           | AMOUNT  |
|-------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------|----------------------------------------------------------------------------|---------|
| UNIVERSITY OF MINNESOTA FOUNDATION<br>200 OAK ST SE<br>MINNEAPOLIS, MN 55455              |  | N/A<br>PC                                                                        | PROFESSOR CHRIS KIM'S RESEARCH PROJECT                                     | 25,000  |
| UNIVERSITY OF MINNESOTA FOUNDATION<br>200 OAK ST SE<br>MINNEAPOLIS, MN 55455              |  | N/A<br>PC                                                                        | PROFESSOR KESHAB PARHI'S RESEARCH PROJECT                                  | 25,000  |
| UNIVERSITY OF TEXAS FOUNDATION<br>PO BOX 250<br>AUSTIN, TX 78767                          |  | N/A<br>PC                                                                        | PROFESSOR JEFFREY T. ANDREWS' RESEARCH AT<br>UNIVERSITY OF TEXAS AT AUSTIN | 25,000. |
| UNIVERSITY OF TORONTO<br>21 KING'S COLLEGE CIR<br>TORONTO, ON M5S 3                       |  | N/A<br>PC                                                                        | PROFESSOR TONY CARUSONE'S RESEARCH PROJECT                                 | 25,000  |
| VOLUNTEER CENTER OF GREATER ORANGE COUNTY<br>1901 E 4TH ST STE 100<br>SANTA ANA, CA 92705 |  | N/A<br>PC                                                                        | STEM FUNDERS NETWORK PROGRAM                                               | 10,000  |
| WASHINGTON UNIVERSITY<br>CAMPUS BOX 1228 ONE BROOKINGS DR<br>ST. LOUIS, MO 61310          |  | N/A<br>PC                                                                        | PROFESSOR RAJ JAIN'S RESEARCH PROJECT                                      | 25,000  |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION               | AMOUNT |
|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|------------------------------------------------|--------|
|                                                                                           |                                                                                  |  |                                                |        |
| WESTED<br>730 HARRISON ST<br>SAN FRANCISCO, CA 94107                                      | N/A<br>NC                                                                        |  | EXPENDITURE RESPONSIBILITY GRANT               | 14,000 |
| WINGS OF FREEDOM<br>613 WATERVIEW DR<br>COPPELL, TX 75019                                 | N/A<br>PC                                                                        |  | SVECHHA FOUNDATION DIVISION                    | 3,000  |
| WORLD RELIEF CORP OF NATL ASSN OF EVANGELICALS<br>7 E BALTIMORE ST<br>BALTIMORE, MD 21202 | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                         | 500.   |
| YAD SARAH<br>124 HERZI BOULEVARD 96187<br>JERUSALEM<br>ISRAEL                             | N/A<br>PC                                                                        |  | GENERAL & UNRESTRICTED                         | 500    |
| B. YUAN<br>1627 CARL STREET, APT 9<br>LAUDERDALE, MN 55108                                | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD | 1,000  |
| H. VENKATRAM<br>1460 NW DIVISION ST, APT 40<br>CORVALLIS, OR 97330                        | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD | 1,000. |



## BROADCOM FOUNDATION

26-4754581

FORM 990FF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                | AMOUNT |
|---------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|-------------------------------------------------|--------|
|                                                                           |                                                                                  |  |                                                 |        |
| C. VENERUS<br>1024 WILBUR AVENUE, APT 1<br>SAN DIEGO, CA 92109            | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000. |
| S POORKASMAEI<br>5202 PALO VERDE ROAD<br>IRVINE, CA 92617                 | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000  |
| M. A. M OSORIO<br>1200 E CALIFORNIA BLVD, MC 136-93<br>PASADENA, CA 91125 | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION 3RD PLACE AWARD | 2,500  |
| J JIN<br>3006 BLUESTEM DRIVE<br>COLLEGE STATION, TX 77845                 | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000  |
| M KOUTSOUPIDOU<br>ALKIONIS 89 17562<br>ATHENS<br>PALEO FALIRO<br>GREECE   | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000  |
| K BANOVIC<br>1502-3665 ARISTA WAY 4A3<br>MISSISSAUGA<br>ONTARIO<br>CANADA | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000  |

BROADCOM FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                     | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION                | AMOUNT  |
|----------------------------------------------------------------|----------------------------------------------------------------------------------|--|-------------------------------------------------|---------|
|                                                                |                                                                                  |  |                                                 |         |
| S. JAMESON<br>3 ITSHAK KATSENELSON 64366<br>TEL AVIV<br>ISRAEL | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000   |
| W. WU<br>BURGWAL 3 2611GE<br>DELFT<br>NETHERLANDS              | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION 2ND PLACE AWARD | 5,000   |
| Y KIM<br>720 HILGARD AVE, APT 108<br>LOS ANGELES, CA 90024     | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION 1ST PLACE AWARD | 10,000. |
| S. M SAADAT<br>9110 JUDICIAL DR #8218<br>SAN DIEGO, CA 92122   | NONE                                                                             |  | UNIVERSITY RESEARCH COMPETITION FINALIST AWARD  | 1,000   |
| R. WONG<br>960 WEST HEDDING ST.<br>SAN JOSE, CA 95126          | NONE                                                                             |  | TEACHER AWARD                                   | 415     |
| S CHAWAN<br>5812 WOODBRIDGE TRAIL<br>NEWBURGH, IN 47630        | NONE                                                                             |  | TEACHER AWARD                                   | 415     |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                            | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|-----------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------|--------|
| S RASBURY<br>1900 LAUREL RD E<br>NOKOMIS, FL 34275                    | NONE                                                                             | TEACHER AWARD                    | 415.   |
| F. WHITTAKER<br>1938 ALFRESCO PLACE<br>LOUISVILLE, KY 40205           | NONE                                                                             | TEACHER AWARD                    | 415    |
| M LEWIS<br>116 SERRANO WAY<br>CHAPEL HILL, NC 27517                   | NONE                                                                             | TEACHER AWARD                    | 415    |
| J CHRISTENSEN<br>506 WINDMERE WAY<br>NEW HOPE, PA 18938               | NONE                                                                             | TEACHER AWARD                    | 415    |
| D. SAMANIEGO<br>601 JAMES I HARRISON PKW EAST<br>TUSCALOOSA, AL 35405 | NONE                                                                             | TEACHER AWARD                    | 415    |
| C WOJCIECHOWSKI<br>3333 FIFTH AVENUE<br>PITTSBURGH, PA 15213          | NONE                                                                             | TEACHER AWARD                    | 415    |

## BROADCOM FOUNDATION

26-4754581

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT           |
|-----------------------------------------------------------|----------------------------------------------------------------------------------|--|----------------------------------|------------------|
|                                                           |                                                                                  |  |                                  |                  |
| S B PATEL<br>215 W GREYING<br>THE WOODLANDS, TX 77382     | NONE                                                                             |  | TEACHER AWARD                    | 415.             |
| H. ANSELMO<br>420 GODSHALL ROAD<br>Souderton, PA 18964    | NONE                                                                             |  | TEACHER AWARD                    | 415.             |
| J SMITH<br>4750 COLLEGIATE DRIVE<br>PANAMA CITY, FL 32405 | NONE                                                                             |  | TEACHER AWARD                    | 415              |
| E COYNE<br>3623 PETERSVILLE ROAD<br>KNOXVILLE, MD 21758   | NONE                                                                             |  | TEACHER AWARD                    | 416.             |
| TOTAL CONTRIBUTIONS PAID                                  |                                                                                  |  |                                  | <u>3,645.881</u> |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

| <u>DESCRIPTION</u>                 | <u>BUSINESS<br/>CODE</u> | <u>EXCLUSION</u> |             | <u>RELATED OR EXEMPT<br/>FUNCTION INCOME</u> |
|------------------------------------|--------------------------|------------------|-------------|----------------------------------------------|
|                                    |                          | <u>AMOUNT</u>    | <u>CODE</u> |                                              |
| K-1 INC/LOSS<br>FEDERAL TAX REFUND | 525990                   | 7.               | 14          | 223.                                         |
|                                    |                          |                  | 01          | 34,393.                                      |
| TOTALS                             |                          | <u>7.</u>        |             | <u>34,616.</u>                               |