Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , **and ending**

Name of foundation
SB WOLF FAMILY FOUNDATION
C/O STEVEN WOLF

A Employer identification number
26-4542157

Number and street (or P O box number if mail is not delivered to street address)
851 W. BELDEN AVENUE

Room/suite

B Telephone number
312-264-2104

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60614

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,166,895.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	201,080.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	24,784.	23,715.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-33.			
	b	Gross sales price for all assets on line 6a 421,993.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	225,831.	23,715.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 2	850.	0.		850.
	c	Other professional fees STMT 3	5,764.	5,764.		0.
	17	Interest				
	18	Taxes STMT 4	408.	193.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 5	15.	0.		15.
	24	**Total operating and administrative expenses** Add lines 13 through 23	7,037.	5,957.		865.
	25	Contributions, gifts, grants paid	53,000.			53,000.
	26	**Total expenses and disbursements** Add lines 24 and 25	60,037.	5,957.		53,865.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	165,794.			
	b	**Net investment income** (if negative, enter -0-)		17,758.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

SCANNED MAY 2 7 2014

RECEIVED
MAY 19 2014
A025
IRS-OSC
OGDEN, UT

323501
10-10-13

LHA **For Paperwork Reduction Act Notice, see instructions**

Form **990-PF** (2013)

12

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	105,767.	15,506.	15,506.
2 Savings and temporary cash investments			
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable		200,000.	200,000.
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 6	748,554.	855,043.	951,389.
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	854,321.	1,070,549.	1,166,895.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable		53,000.	
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	53,000.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	854,321.	1,017,549.	
30 **Total net assets or fund balances**	854,321.	1,017,549.	
31 **Total liabilities and net assets/fund balances**	854,321.	1,070,549.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	854,321.
2 Enter amount from Part I, line 27a	2	165,794.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,020,115.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	2,566.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,017,549.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS #09311 - PUBLICLY TRADED SECURITIES	P		
b	UBS #11894 - PUBLICLY TRADED SECURITIES	P		
c	UBS #13418 - PUBLICLY TRADED SECURITIES	P		
d	CAPITAL GAINS DIVIDENDS			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	322,458.		322,494.	-36.
b	91,696.		92,425.	-729.
c	7,207.		7,107.	100.
d	632.			632.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-36.
b				-729.
c				100.
d				632.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -33.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	35,450.	825,439.	.042947
2011	34,802.	690,206.	.050423
2010	0.	691,016.	.000000
2009			
2008			

2	**Total** of line 1, column (d)	2	.093370
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031123
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	914,723.
5	Multiply line 4 by line 3	5	28,469.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	178.
7	Add lines 5 and 6	7	28,647.
8	Enter qualifying distributions from Part XII, line 4	8	53,865.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	178.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	178.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	178.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	0.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	178.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEVEN WOLF Telephone no. ▶312-264-2104

Located at ▶851 W. BELDEN AVENUE, CHICAGO, IL ZIP+4 ▶60614

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEVEN WOLF 851 W. BELDEN AVE CHICAGO, IL 60614	TRUSTEE 0.00	0.	0.	0.
BARBARA WOLF 851 W. BELDEN AVE CHICAGO, IL 60614	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	868,016.
b	Average of monthly cash balances			1b	60,637.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	928,653.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	928,653.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	13,930.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	914,723.
6	**Minimum investment return.** Enter 5% of line 5			6	45,736.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	45,736.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	178.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	178.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	45,558.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	45,558.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	45,558.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	53,865.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	53,865.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	178.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	53,687.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				45,558.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			39,255.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 53,865.				
a Applied to 2012, but not more than line 2a			39,255.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				14,610.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				30,948.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SB WOLF FAMILY FOUNDATION

Form 990-PF (2013) C/O STEVEN WOLF 26-4542157 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DARTMOUTH COLLEGE HANOVER, NH 03755	N/A	501(C)(3)	CHARITABLE	15,000.
HUMAN DEVELOPMENT AND CHILDREN FOUNDATION PO BOX 1604 ANNAPOLIS, MD 21401	N/A	501(C)(3)	CHARITABLE	8,000.
THE ARK 6450 N. CALIFORNIA AVE CHICAGO, IL 60645	N/A	501(C)(3)	CHARTIABLE	30,000.
Total			▶ 3a	53,000.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

323611
10-10-13

SB WOLF FAMILY FOUNDATION
C/O STEVEN WOLF 26-4542157

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	24,784.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-33.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		24,751.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 24,751.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ X Wolf | X 5/11/14 | ▶ TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
DANIEL A. WOLF	Daniel A. Wolf	5/8/14		P00806685

Firm's name ▶ STEINBERG ADVISORS, LTD.

Firm's EIN ▶ 36-4320168

Firm's address ▶ 5 REVERE DRIVE
NORTHBROOK, IL 60062

Phone no. (847) 205-4700

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization
SB WOLF FAMILY FOUNDATION
C/O STEVEN WOLF

Employer identification number
26-4542157

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization	Employer identification number
SB WOLF FAMILY FOUNDATION C/O STEVEN WOLF	26-4542157

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN AND BARBARA WOLF 851 W. BELDEN AVENUE CHICAGO, IL 60614	$ 201,080.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
SB WOLF FAMILY FOUNDATION C/O STEVEN WOLF	26-4542157

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

SB WOLF FAMILY FOUNDATION
C/O STEVEN WOLF

Employer identification number

26-4542157

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS 09311	13,770.	53.	13,717.	13,433.	
UBS 09312	4,947.	0.	4,947.	4,947.	
UBS 11894	5,190.	579.	4,611.	3,826.	
UBS 13418	1,509.	0.	1,509.	1,509.	
TO PART I, LINE 4	25,416.	632.	24,784.	23,715.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	850.	0.		850.
TO FORM 990-PF, PG 1, LN 16B	850.	0.		850.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS ACCOUNT #09311 FEES	1,834.	1,834.		0.
UBS ACCOUNT #09312 FEES	2,065.	2,065.		0.
UBS ACCOUNT #11894 FEES	1,407.	1,407.		0.
UBS ACCOUNT #13418 FEES	458.	458.		0.
TO FORM 990-PF, PG 1, LN 16C	5,764.	5,764.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	193.	193.		0.
FEDERAL EXCISE TAX	215.	0.		0.
TO FORM 990-PF, PG 1, LN 18	408.	193.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL FILING FEE	15.	0.		15.
TO FORM 990-PF, PG 1, LN 23	15.	0.		15.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS INVESTMENTS	COST	855,043.	951,389.
TOTAL TO FORM 990-PF, PART II, LINE 13		855,043.	951,389.

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	129 69	134.10					
UBS BANK USA BUS ACCT	2,831.81	3,426 14					250,000 00
Total	**$2,961.50**	**$3,560.24**					

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK STRATEGIC									
INCOME A									
Symbol BASIX									
Trade date Aug 5, 13	6,344.810	10.020	63,575.00	63,575 00	10 160	64,463.27	888 27		ST
Total reinvested	37.384	10 050		375 71	10 160	379.82	4.11		

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $1,583 Current yield 2 44%									
Security total	6,382 194	10 020	63,575.00	63,950.71		64,843.09	892 38	1,268 09	
EATON VANCE GLOBAL MACRO									
ABSOLUTE RETURN									
FUND A									
Symbol EAGMX									
Trade date Mar 20, 12	3,404 116	9.990	34,007.12	34,007.12	9.430	32,100 81	-1,906 31		LT
Trade date Feb 6, 13	1,007 049	9.930	10,000.00	10,000.00	9 430	9,496 47	-503.53		ST
EAI $1,579 Current yield 3 80%									
Security total	4,411.165	9 976	44,007.12	44,007 12		41,597 28	-2,409 84	-2,409 84	
EATON VANCE FLOATING									
RATE FUND CLASS A									
Symbol EVBLX									
Trade date Sep 22, 11	2,199 273	8 970	19,727.48	19,727.48	9 500	20,893.09	1,165 61		LT
Trade date Feb 2, 12	1,297.297	9.250	12,000.00	12,000 00	9 500	12,324 32	324 32		LT
Trade date Apr 11, 12	903.226	9.299	8,400.00	8,400 00	9.500	8,580 65	180 65		LT
Total reinvested	66 286	9.075		601 55	9.500	629 72	28 17		
EAI $1,554 Current yield 3 66%									
Security total	4,466.082	9.120	40,127 48	40,729.03		42,427.77	1,698 75	2,300.30	
GOLDMAN SACHS STRATEGIC									
INCOME CL A									
Symbol GSZAX									
Trade date Aug 5, 13	6,066 317	10 479	63,575 00	63,575.00	10 660	64,666.94	1,091.94		ST
Total reinvested	51 411	10.515		540 60	10 660	548 04	7 44		
EAI $2,312 Current yield 3 55%									
Security total	6,117.728	10 480	63,575.00	64,115.60		65,214 98	1,099 38	1,639 98	
HARTFORD FLOATING RATE									
FUND CLASS A									
Symbol HFLAX									
Trade date May 3, 11	3,935.180	8 970	35,298 57	35,298.57	9 030	35,534.67	236.10		LT
Trade date Feb 14, 12	389.977	8.780	3,424.00	3,424.00	9.030	3,521.49	97 49		LT

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 11, 12	340.136	8.820	3,000.00	3,000 00	9.030	3,071.43	71.43		LT
Total reinvested	33.527	8 815		295.57	9 030	302.75	7.18		
EAI $1,701 Current yield 4 01%									
Security total	4,698.820	8 942	41,722.57	42,018 14		42,430.34	412.20	707 77	
LORD ABBETT SHORT DURATION INCOME FUND CLASS A Symbol LALDX									
Trade date Aug 5, 13	9,274.179	4.570	42,383.00	42,383 00	4.550	42,197.51	-185.49		ST
Total reinvested	119.871	4.562		546.94	4 550	545.41	-1.53		
EAI $1,588 Current yield 3 72%									
Security total	9,394.050	4.570	42,383.00	42,929 94		42,742.92	-187 02	359 92	
PUTNAM DIVERSIFIED INCOME TRUST CLASS A Symbol PDINX									
Trade date Aug 5, 13	5,392 239	7 860	42,383 00	42,383.00	7 920	42,706 53	323.53		ST
Total reinvested	128 712	7 823		1,007.03	7.920	1,019.40	12 37		
EAI $2,451 Current yield 5 61%									
Security total	5,520 951	7 859	42,383.00	43,390.03		43,725 93	335.90	1,342.93	
VIRTUS MULTI-SECTOR SHORT TERM BOND FUND - CLASS A Symbol NARAX									
Trade date Oct 5, 11	2,131.650	4.639	9,890.85	9,890.85	4.860	10,359.82	468.97		LT
Trade date Feb 14, 12	149 068	4.830	720.00	720 00	4 860	724.47	4.47		LT
Trade date· Apr 11, 12	1,242.236	4.830	6,000.00	6,000.00	4.860	6,037.27	37.27		LT
Trade date Apr 9, 13	5,030.181	4.970	25,000.00	25,000 00	4 860	24,446.68	-553.32		ST
Total reinvested	185 719	4.827		896.60	4 860	902.59	5.99		
EAI $1,529 Current yield 3 60%									
Security total	8,738.854	4 864	41,610.85	42,507.45		42,470.83	-36 62	859.98	

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total			**$379,384.02**	**$383,648.02**		**$385,453.14**	**$1,805.13**	**$6,069.12**	

Total estimated annual income: $14,297

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK GLOBAL									
LONG/SHORT CREDIT FUND A									
Symbol BGCAX									
Trade date Aug 5, 13	3,990 866	10 620	42,383 00	42,383.00	10.790	43,061.44	678 44		ST
Total reinvested	11.639	10 687		124.39	10 790	125.58	1 19		
EAI $476 Current yield 1 10%									
Security total	4,002 505	10.620	42,383.00	42,507 39		43,187.02	679 63	804 02	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	3,560.24	0.82%	3,560.24		
Fixed income	Mutual funds	385,453.14	89.19%	383,648.02	14,297.00	1,805.13
Non-traditional	Mutual funds	43,187.02	9.99%	42,507.39	476.00	679.63
Total		**$432,200.40**	**100.00%**	**$429,715.65**	**$14,773.00**	**$2,484.76**

Account name: SB WOLF FAMILY FOUNDATION
Friendly account name: Foundation Eq
Account number: Y4 09312 CI

Your Financial Advisor:
COLEMAN/IRWIN
312-525-7400/888-827-8469

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	578 66	1,976 19					250,000 00

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES RUSSELL 2000 ETF									
Symbol IWM									
Trade date Jan 12, 11	25.000	79.910	1,997.75	1,997.75	115.360	2,884.00	886.25		LT
Trade date Mar 8, 11	29.000	82.506	2,392.69	2,392.69	115 360	3,345.44	952 75		LT
Trade date Apr 18, 11	10.000	81.657	816.57	816.57	115.360	1,153.60	337.03		LT
Trade date May 20, 11	20.000	83 210	1,664 20	1,664.20	115.360	2,307.20	643.00		LT
Trade date Jun 16, 11	19 000	78.100	1,483.90	1,483.90	115.360	2,191.84	707.94		LT

continued next page

Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Aug 8, 11	52.000	67 980	3,534 96	3,534.96	115.360	5,998 72	2,463 76		LT
Trade date Sep 23, 11	89 000	65 310	5,812.59	5,812.59	115.360	10,267.04	4,454 45		LT
Trade date Oct 3, 12	146.000	83.650	12,212 90	12,212.90	115.360	16,842.56	4,629 66		LT
EAI $551 Current yield 1 22%									
Security total	390.000	76 707	29,915.56	29,915 56		44,990.40	15,074 84	15,074.84	
ISHARES RUSSELL 1000 VALUE ETF									
Symbol IWD									
Trade date Sep 23, 11	492.000	56 412	27,755 00	27,755 00	94 170	46,331 64	18,576 64	18,576 64	LT
EAI $903 Current yield 1.95%									
ISHARES RUSSELL 1000 GROWTH ETF									
Symbol IWF									
Trade date Jan 12, 11	261 000	58 530	15,276 33	15,276 33	85.950	22,432 95	7,156 62		LT
Trade date Mar 8, 11	58 000	60 290	3,496 82	3,496 82	85.950	4,985 10	1,488 28		LT
Trade date Apr 18, 11	90 000	59 280	5,335 20	5,335 20	85 950	7,735.50	2,400 30		LT
Trade date May 20, 11	85 000	61 436	5,222 10	5,222.10	85 950	7,305.75	2,083.65		LT
Trade date Jun 16, 11	83 000	58.046	4,817 86	4,817.86	85 950	7,133.85	2,315 99		LT
Trade date Sep 23, 11	167 000	53 426	8,922.19	8,922.19	85 950	14,353 65	5,431.46		LT
Trade date Apr 25, 12	39.000	65.610	2,558.79	2,558 79	85.950	3,352.05	793.26		LT
Trade date Oct 3, 12	105.000	67.296	7,066.13	7,066.13	85.950	9,024.75	1,958 62		LT
EAI $984 Current yield 1.29%									
Security total	888 000	59.342	52,695.42	52,695 42		76,323.60	23,628.18	23,628 18	
ISHARES RUSSELL MIDCAP ETF									
Symbol IWR									
Trade date Jan 12, 11	64 000	103.660	6,634.24	6,634 24	149 980	9,598 72	2,964.48		LT
Trade date Apr 18, 11	20.000	106.859	2,137.19	2,137.19	149.980	2,999.60	862.41		LT
Trade date May 20, 11	21.000	110.900	2,328.90	2,328 90	149 980	3,149.58	820.68		LT
Trade date Jun 16, 11	24.000	104 099	2,498.39	2,498.39	149.980	3,599.52	1,101.13		LT
Trade date Aug 8, 11	32 000	89.810	2,873.92	2,873.92	149 980	4,799.36	1,925 44		LT
Trade date Sep 23, 11	75 000	89.647	6,723.59	6,723.59	149.980	11,248.50	4,524.91		LT
Trade date Oct 3, 12	58 000	111.409	6,461.77	6,461.77	149.980	8,698.84	2,237.07		LT

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $579 Current yield 1 31%									
Security total	294 000	100 878	29,658.00	29,658 00		44,094 12	14,436.12	14,436 12	
VANGUARD FTSE EMERGING MARKETS									
ETF									
Symbol VWO									
Trade date Sep 23, 11	290 000	36 859	10,689 17	10,689.17	41 140	11,930.60	1,241 43		LT
Trade date Oct 3, 12	63 000	41 980	2,644 74	2,644 74	41.140	2,591.82	-52 92		LT
Trade date Jul 2, 13	25.000	38.700	967.50	967.50	41.140	1,028 50	61 00		ST
EAI $425 Current yield 2 73%									
Security total	378 000	37 834	14,301.41	14,301 41		15,550 92	1,249 51	1,249 51	
VANGUARD INTL EQUITY INDEX FD									
INC FSTE ALL-WORLD EX-US									
INDEX FD ETF									
Symbol VEU									
Trade date Apr 18, 11	16.000	48 646	778.34	778.34	50 730	811.68	33.34		LT
Trade date May 20, 11	115.000	49.278	5,667.03	5,667.03	50.730	5,833.95	166 92		LT
Trade date Jun 16, 11	71.000	47.200	3,351.20	3,351.20	50.730	3,601.83	250 63		LT
Trade date Sep 23, 11	625.000	38.545	24,090.94	24,090.94	50.730	31,706 25	7,615.31		LT
Trade date Oct 3, 12	200.000	43 256	8,651.30	8,651.30	50 730	10,146 00	1,494 70		LT
EAI $1,386 Current yield 2 66%									
Security total	1,027.000	41.420	42,538 81	42,538.81		52,099.71	9,560.90	9,560 90	
Total			**$196,864.20**	**$196,864.20**		**$279,390.39**	**$82,526.19**	**$82,526.19**	

Total estimated annual income: $4,828

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	1,976.19	0.70%	1,976.19		
Equities	Closed end funds & Exchange traded products	279,390.39	99.30%	196,864.20	4,828.00	82,526.19
Total		**$281,366.58**	**100.00%**	**$198,840.39**	**$4,828.00**	**$82,526.19**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 00	2,019.87					
UBS BANK USA BUS ACCT	849 37	1,598.36					250,000.00
Total	**$849.37**	**$3,618.23**					

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
CBRE CLARION LONG/SHORT									
FD INV									
Symbol CLSVX									
Trade date Mar 20, 12	811.043	10 190	8,264 53	8,264 53	9.890	8,021.21	-243 32		LT

continued next page

Your assets › **Non-traditional** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 25, 12	575 264	10.429	6,000.00	6,000 00	9 890	5,689.36	-310 64		LT
Trade date Dec 19, 12	142 571	9.919	1,414.30	1,414.30	9.890	1,410.03	-4 27		LT
Trade date Oct 8, 13	405 268	9.870	4,109 42	4,109.42[2]	9 890	4,008 10	-101.32		LT
Security total	1,934 146	10 231	19,788.25	19,788 25		19,128 70	-659 55	-659 55	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol MFLDX									
Trade date Sep 13, 13	2,092 385	17 989	37,642.00	37,642.00	18 520	38,750 97	1,108 97		ST
Trade date Oct 8, 13	448 179	17 850	8,000.00	8,000 00	18 520	8,300 27	300 27		ST
EAI $5 Current yield 0 01%									
Security total	2,540 564	17.965	45,642 00	45,642 00		47,051.24	1,409.24	1,409 24	
WELLS FARGO ADVANTAGE									
ABSOLUTE RETURN FUND CL									
A									
Symbol WARAX									
Trade date Sep 13, 13	3,437.626	10.949	37,642.00	37,642.00	11.140	38,295.15	653.15		ST
Trade date Oct 8, 13	733 945	10 899	8,000.00	8,000.00	11.140	8,176.15	176.15		ST
EAI $676 Current yield 1 45%									
Security total	4,171.571	10 941	45,642.00	45,642.00		46,471 30	829 30	829.30	
Total			**$111,072.25**	**$111,072.25**		**$112,651.24**	**$1,578.99**	**$1,578.99**	

Total estimated annual income: $681

---

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Your assets (continued)

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PIMCO ALL ASSETS ALL									
AUTH-A									
Symbol PAUAX									
Trade date Mar 20, 12	1,171.211	10 630	12,449.98	12,449.98	9.900	11,594.99	-854 99		LT
Trade date Feb 6, 13	2,260.398	11.059	25,000.00	25,000.00	9.900	22,377 94	-2,622.06		ST
Trade date Feb 8, 13	271.493	11.050	3,000.00	3,000.00	9.900	2,687.78	-312.22		ST
Trade date Oct 8, 13	674.374	10 379	7,178.70	7,178.70[2]	9 900	6,676.30	-502.40		
EAI $2,254 Current yield 5 20%									
Security total	4,377.476	10.880	47,628 68	47,628.68		43,337.01	-4,291.67	-4,291.67	
PUTNAM CAPITAL SPECTRUM									
A									
Symbol PVSAX									
Trade date Sep 13, 13	688 567	32.800	22,585.00	22,585.00	35.790	24,643.81	2,058.81		ST
Trade date Oct 8, 13	122.175	32 739	4,000.00	4,000 00	35 790	4,372.64	372 64		ST
EAI $58 Current yield 0 20%									
Security total	810 742	32 791	26,585 00	26,585 00		29,016 45	2,431 45	2,431 45	
Total			**$74,213.68**	**$74,213.68**		**$72,353.46**	**-$1,860.22**	**-$1,860.22**	

Total estimated annual income: $2,312

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	3,618.23	1.92%	3,618.23		
Non-traditional	Mutual funds	112,651.24	59.72%	111,072.25	681.00	1,578.99
Other	Mutual funds	72,353.46	38.36%	74,213.68	2,312.00	-1,860.22
Total		$188,622.93	100.00%	$188,904.16	$2,993.00	-$281.23

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 29		**Cash and money balance**					$849.37
Dec 5	Dividend	MAINSTAY MARKETFIELD FUND CLASS I AS OF 12/04/13				5.37	854.74
Dec 10	Dividend	PUTNAM CAPITAL SPECTRUM A				58.37	
Dec 10	Lt Cap Gain	PUTNAM CAPITAL SPECTRUM A LONG TERM CAPITAL GAIN				219.71	
Dec 10	St Cap Gain	PUTNAM CAPITAL SPECTRUM A SHORT TERM CAPITAL GAIN				288.62	
Dec 10	St Cap Gain	WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A SHORT TERM CAPITAL GAIN				.08	
Dec 10	Lt Cap Gain	WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A LONG TERM CAPITAL GAIN				13.02	1,434.54
Dec 16	Lt Cap Gain	CBRE CLARION LONG/SHORT FD INV LONG TERM CAPITAL GAIN AS OF 12/13/13				163.82	1,598 36
Dec 31	Dividend	PIMCO ALL ASSETS ALL AUTH-A AS OF 12/30/13				1,344 45	
Dec 31	Dividend	WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A				675.42	3,618 23
Dec 31		**Closing cash and money balance**					$3,618.23

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	499 52	688.98					250,000.00
UBS SELECT PRIME CAPITAL	5,662.68	5,662.79	1.00	0.01%	Nov 1 to Nov 30	30	
Total	**$6,162.20**	**$6,351.77**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI $52 Current yield 2 22%	Jan 14, 13	32 000	52.630	1,684 16	66 800	2,137.60	453 44	ST
	Mar 12, 13	3 000	50 790	152 37	66 800	200.40	48 03	ST
Security total		35 000	52.472	1,836 53		2,338 00	501 47	
AIR PROD & CHEMICAL INC								
Symbol APD Exchange NYSE								
EAI $57 Current yield 2 55%	Jan 14, 13	19 000	87.887	1,669 86	111 780	2,123 82	453 96	ST
	Mar 12, 13	1 000	89 130	89 13	111 780	111 78	22.65	ST
Security total		20 000	87 950	1,758 99		2,235.60	476.61	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI $104 Current yield 4 73%	Jan 14, 13	34.000	48.577	1,651.64	59.370	2,018 58	366 94	ST
	Mar 12, 13	3.000	45.830	137 49	59.370	178 11	40 62	ST
Security total		37.000	48.355	1,789 13		2,196.69	407.56	
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI $70 Current yield 2 14%	Jan 14, 13	22.000	76 052	1,673 15	136.490	3,002 78	1,329.63	ST
	Mar 12, 13	2.000	84.075	168 15	136.490	272.98	104.83	ST
Security total		24.000	76 721	1,841.30		3,275 76	1,434 46	
BRITISH AMER TOBACCO PLC GB SPON ADR								
Symbol BTI Exchange AMEX								
EAI $74 Current yield· 4 05%	Jan 14, 13	16.000	101.408	1,622.54	107.420	1,718.72	96.18	ST
	Mar 12, 13	1.000	107.670	107.67	107.420	107.42	-0.25	ST
Security total		17.000	101 777	1,730.21		1,826 14	95 93	
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI $68 Current yield 3 05%	Jan 14, 13	22.000	76.029	1,672.65	92.760	2,040 72	368 07	ST
	Mar 12, 13	2.000	84.570	169 14	92.760	185 52	16 38	ST
Security total		24.000	76 741	1,841 79		2,226 24	384 45	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $54 Current yield 2 72%	Jan 14, 13	45.000	37.000	1,665 00	41.310	1,858 95	193 95	ST
	Mar 12, 13	3.000	38.990	116.97	41.310	123.93	6.96	ST
Security total		48 000	37 124	1,781.97		1,982.88	200 91	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $44 Current yield 2 11%	Jan 14, 13	30 000	54 000	1,620 00	65 210	1,956 30	336.30	ST
	Mar 12, 13	2 000	57 745	115 49	65.210	130 42	14 93	ST
Security total		32 000	54 234	1,735 49		2,086 72	351 23	
CSX CORPORATION								
Symbol CSX Exchange NYSE								
EAI $52 Current yield 2.10%	Jan 14, 13	80 000	20.676	1,654 14	28.770	2,301 60	647 46	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 12, 13	6 000	23.340	140.04	28.770	172.62	32.58	ST
Security total		86.000	20.863	1,794.18		2,474 22	680 04	
DIAGEO PLC NEW GB SPON ADR Symbol DEO Exchange NYSE EAI $45 Current yield 2 27%	Jan 14, 13	14 000	115.194	1,612.72	132.420	1,853.88	241.16	ST
	Mar 12, 13	1.000	119.110	119.11	132.420	132.42	13.31	ST
Security total		15.000	115 455	1,731.83		1,986.30	254 47	
EMERSON ELECTRIC CO Symbol EMR Exchange NYSE EAI $55 Current yield 2 45%	Jan 14, 13	30.000	54.963	1,648.91	70.180	2,105.40	456.49	ST
	Mar 12, 13	2 000	57 210	114 42	70 180	140.36	25 94	ST
Security total		32.000	55.104	1,763.33		2,245 76	482 43	
ILLINOIS TOOL WORKS INC Symbol ITW Exchange NYSE EAI $49 Current yield 2 01%	Jan 14, 13	27.000	62.566	1,689.30	84.080	2,270.16	580.86	ST
	Mar 12, 13	2.000	61.970	123.94	84.080	168 16	44 22	ST
Security total		29 000	62.526	1,813.24		2,438 32	625 08	
INTEL CORP Symbol INTC Exchange OTC EAI $74 Current yield 3 48%	Jan 14, 13	76 000	21 796	1,656 50	25.955	1,972 58	316 08	ST
	Mar 12, 13	6 000	21 596	129 58	25.955	155.73	26.15	ST
Security total		82.000	21 781	1,786 08		2,128 31	342 23	
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $66 Current yield 2 88%	Jan 14, 13	23 000	72.660	1,671 18	91.590	2,106.57	435 39	ST
	Mar 12, 13	2 000	78.610	157 22	91 590	183 18	25 96	ST
Security total		25.000	73 136	1,828 40		2,289 75	461 35	
MEDTRONIC INC Symbol MDT Exchange NYSE EAI $46 Current yield 1 95%	Jan 14, 13	38.000	44 214	1,680 16	57 390	2,180 82	500 66	ST
	Mar 12, 13	3 000	46 156	138 47	57.390	172.17	33.70	ST
Security total		41.000	44.357	1,818 63		2,352 99	534 36	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $66 Current yield 3.08%	Jan 14, 13	23.000	71.766	1,650.64	85.620	1,969.26	318 62	ST
	Mar 12, 13	2.000	74.380	148 76	85.620	171 24	22.48	ST
Security total		25.000	71.976	1,799 40		2,140.50	341 10	
NORTHEAST UTILITIES								
Symbol NU Exchange NYSE								
EAI $68 Current yield 3 49%	Jan 14, 13	43.000	39.036	1,678.57	42 390	1,822.77	144 20	ST
	Mar 12, 13	3.000	42.350	127.05	42.390	127.17	0.12	ST
Security total		46.000	39.253	1,805.62		1,949.94	144.32	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $56 Current yield 2 58%	Jan 14, 13	25.000	65.450	1,636.25	80.380	2,009.50	373.25	ST
	Mar 12, 13	2.000	69.590	139 18	80.380	160.76	21.58	ST
Security total		27 000	65.757	1,775.43		2,170 26	394.83	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $56 Current yield 2 68%	Jan 14, 13	20 000	82 466	1,649.32	95.100	1,902.00	252.68	ST
	Mar 12, 13	2.000	84.045	168 09	95.100	190 20	22 11	ST
Security total		22.000	82.610	1,817 41		2,092 20	274.79	
PEARSON PLC SPON ADR								
Symbol PSO Exchange NYSE								
EAI $69 Current yield 3 21%	Jan 14, 13	88 000	19.010	1,672 88	22 400	1,971 20	298 32	ST
	Mar 12, 13	8.000	17.690	141.52	22.400	179.20	37 68	ST
Security total		96 000	18 900	1,814 40		2,150.40	336 00	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI $46 Current yield 2 32%	Jan 14, 13	34 000	48 792	1,658.93	53.630	1,823 42	164 49	ST
	Mar 12, 13	3.000	49 150	147.45	53.630	160 89	13 44	ST
Security total		37 000	48 821	1,806 38		1,984 31	177 93	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI $3 Current yield 2 50%	Mar 12, 13	3.000	40.500	121 50	40.080	120.24	-1.26	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange· NYSE								
EAI $89 Current yield 4 27%	Jan 14, 13	31.000	52.919	1,640.50	61.270	1,899.37	258 87	ST
	Mar 12, 13	3 000	51 563	154.69	61.270	183.81	29.12	ST
Security total		34.000	52.800	1,795 19		2,083 18	287.99	
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol UL Exchange NYSE								
EAI $64 Current yield 3 38%	Jan 14, 13	43 000	38 361	1,649 55	41.200	1,771.60	122 05	ST
	Mar 12, 13	3 000	40.920	122 76	41.200	123.60	0.84	ST
Security total		46 000	38 528	1,772 31		1,895 20	122 89	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI $57 Current yield 2 36%	Jan 14, 13	21.000	78.890	1,656.69	105 080	2,206 68	549.99	ST
	Mar 12, 13	2.000	84.650	169 30	105.080	210 16	40 86	ST
Security total		23 000	79.391	1,825.99		2,416 84	590 85	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $47 Current yield 2 07%	Jan 14, 13	19 000	85 486	1,624 25	113.800	2,162 20	537 95	ST
	Mar 12, 13	1.000	92 920	92 92	113.800	113.80	20 88	ST
Security total		20 000	85.859	1,717.17		2,276 00	558.83	
VF CORP								
Symbol VFC Exchange NYSE								
EAI $50 Current yield 1 67%	Jan 14, 13	44 000	38.034	1,673 51	62 340	2,742.96	1,069 45	ST
	Mar 12, 13	4 000	40.552	162.21	62 340	249 36	87.15	ST
Security total		48 000	38 244	1,835 72		2,992 32	1,156 60	
Total				**$46,737.62**		**$58,355.07**	**$11,617.45**	

Total estimated annual income: $1,581

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	6,351.77	9.82%	6,351.77		
Equities	**Common stock**	58,355.07	90.18%	46,737.62	1,581.00	11,617.45
Total		**$64,706.84**	**100.00%**	**$53,089.39**	**$1,581.00**	**$11,617.45**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 29		**Cash and money balance**					**$6,162.20**
Dec 2	Dividend	INTEL CORP PAID ON 82 AS OF 12/01/13				18.45	
Dec 2	Dividend	AFLAC INC PAID ON 35				12 95	6,193 60
Dec 3	Foreign Dividend	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON 3 CUSIP 881624209				98	
Dec 3	Foreign Tax Withheld	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR CUSIP 881624209				- 15	6,194 43
Dec 4	Dividend	UBS SELECT PRIME CAPITAL FUND AS OF 12/03/13				02	
Dec 4	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 23				14.26	6,208 71
Dec 6	Dividend	BOEING COMPANY PAID ON 24				11 64	6,220 35
Dec 10	Dividend	EMERSON ELECTRIC CO PAID ON 32				13 76	
Dec 10	Dividend	JOHNSON & JOHNSON COM PAID ON 25				16.50	
Dec 10	Dividend	UNTD TECHNOLOGIES CORP PAID ON 20				11 80	6,262 41
Dec 11	Foreign Dividend	UNILEVER PLC AMER SHS NEW SPON ADR PAID ON 46 CUSIP 904767704				16 92	6,279 33
Dec 12	St Cap Gain	UBS SELECT PRIME CAPITAL FUND AS OF 12/11/13				09	6,279 42
Dec 13	Dividend	CSX CORPORATION PAID ON 86				12.90	6,292.32
Dec 16	Dividend	COCA COLA CO COM PAID ON 48				13.44	
Dec 16	Dividend	NEXTERA ENERGY INC COM PAID ON 25				16.50	6,322 26

continued next page