EXTENSION GRANTED TO 11/17/14

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: THE GROSSMAN FAMILY FOUNDATION

A Employer identification number: 26-3919092

Number and street (or P O box number if mail is not delivered to street address): 133 RIVER ROAD | Room/suite:

B Telephone number: 203-340-2020

City or town, state or province, country, and ZIP or foreign postal code: COS COB, CT 06807

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [ ] Section 501(c)(3) exempt private foundation [X] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 160,631,222.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4,064.	4,063.		STATEMENT 1
	4 Dividends and interest from securities	5,075,143.	4,926,747.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,953,218.			
	b Gross sales price for all assets on line 6a 127,141,010.				
	7 Capital gain net income (from Part IV, line 2)		5,953,218.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	675,231.	590,662.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	11,707,656.	11,474,690.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	80,000.	8,000.		72,000.
	14 Other employee salaries and wages	263,927.	46,863.		217,064.
	15 Pension plans, employee benefits	20,856.	4,276.		16,580.
	16a Legal fees STMT 4	12,178.	0.		12,178.
	b Accounting fees STMT 5	17,000.	8,500.		8,500.
	c Other professional fees STMT 6	405,256.	366,995.		38,261.
	17 Interest	98,806.	70,679.		25,392.
	18 Taxes STMT 7	85,423.	30,423.		0.
	19 Depreciation and depletion	6,647.	0.		
	20 Occupancy				
	21 Travel, conferences, and meetings	8,318.	0.		8,318.
	22 Printing and publications				
	23 Other expenses STMT 8	50,825.	35,911.		10,638.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,049,236.	571,647.		408,931.
	25 Contributions, gifts, grants paid	7,191,021.			7,191,021.
	26 **Total expenses and disbursements.** Add lines 24 and 25	8,240,257.	571,647.		7,599,952.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	3,467,399.			
	b **Net investment income** (if negative, enter -0-)		10,903,043.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	2,661,757.	2,264,900.	2,264,900.
2 Savings and temporary cash investments			
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations STMT 10	0.	97,500.	99,916.
b Investments - corporate stock STMT 11	22,772,975.	60,392,841.	62,889,435.
c Investments - corporate bonds STMT 12	101,029,430.	62,065,557.	63,043,457.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 13	34,582,397.	27,321,931.	32,318,309.
14 Land, buildings, and equipment: basis ▶ 47,563.			
Less accumulated depreciation STMT 9 ▶ 32,358.	21,852.	15,205.	15,205.
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	161,068,411.	152,157,934.	160,631,222.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ STATEMENT 14)	12,319,165.	0.	
23 **Total liabilities** (add lines 17 through 22)	12,319,165.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	148,749,246.	152,157,934.	
30 **Total net assets or fund balances**	148,749,246.	152,157,934.	
31 **Total liabilities and net assets/fund balances**	161,068,411.	152,157,934.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	148,749,246.
2 Enter amount from Part I, line 27a	2	3,467,399.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	152,216,645.
5 Decreases not included in line 2 (itemize) ▶ TIMING DIFFERENCES	5	58,711.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	152,157,934.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	127,141,010.		121,549,886.	5,953,218.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				5,953,218.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,953,218.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	7,429,287.	154,114,679.	.048206
2011	7,200,132.	154,345,236.	.046650
2010	6,569,113.	148,057,231.	.044369
2009	106,874.	133,049,007.	.000803
2008	0.	128,057,462.	.000000

2 **Total** of line 1, column (d)	2	.140028
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.028006
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	163,779,217.
5 Multiply line 4 by line 3	5	4,586,801.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	109,030.
7 Add lines 5 and 6	7	4,695,831.
8 Enter qualifying distributions from Part XII, line 4	8	7,599,952.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	109,030.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	189,484.
3	Add lines 1 and 2	3	298,514.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	298,514.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 89,201.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 60,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	149,201.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	4,580.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 15 ▶	9	153,893.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) SEE STATEMENT 18	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEVEN GROSSMAN Telephone no. ▶ 203-340-2020

Located at ▶ 133 RIVER ROAD, COS COB, CT ZIP+4 ▶ 06807

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ [X]
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | 148,397.

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? [ ] Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? [ ] Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? [ ] Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? [ ] Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? [ ] Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) [ ] Yes [X] No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? [ ] Yes [X] No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? [ ] Yes [X] No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 19		80,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA FRANCISCOVICH 133 RIVER ROAD, COS COB, CT 06807	EXECUTIVE DIRECTOR 40.00	206,231.	15,775.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
UBS FINANCIAL SERVICES INC. 500 CAMPUS DRIVE, FLORHAM PARK, NJ 07932	INVESTMENT ADVISORY	205,933.
GOLDMAN SACHS & CO. 200 WEST STREET, NEW YORK, NY 10282	INVESTMENT ADVISORY	171,901.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	164,878,522.
b	Average of monthly cash balances	1b	1,394,795.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	166,273,317.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	166,273,317.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,494,100.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	163,779,217.
6	**Minimum investment return.** Enter 5% of line 5	6	8,188,961.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	8,188,961.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	298,514.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	298,514.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	7,890,447.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	7,890,447.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	7,890,447.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,599,952.
b	*Program-related investments - total from Part IX-B*	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,599,952.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	109,030.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,490,922.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				7,890,447.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			7,584,309.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 7,599,952.				
a Applied to 2012, but not more than line 2a			7,584,309.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				15,643.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				7,874,804.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

STEVEN GROSSMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FROM K-1 - WILLIAMS PARTNERS L.P.	N/A	EXEMPT ORG. UNDER 501(C)(3)	GENERAL PURPOSE	15.
FROM K-1 - ATLAS PIPELINE PARTNERS LP	N/A	EXEMPT ORG. UNDER 501(C)(3)	GENERAL PURPOSE	6.
NATIONAL PHILANTHROPIC TRUST 165 TOWNSHIP LINE ROAD, SUITE 150 JENKINTOWN, PA 19046	N/A	EXEMPT ORG. UNDER 501(C)(3)	GENERAL PURPOSE	7,191,000.
Total			▶ 3a	7,191,021.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

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10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,064.	
4 Dividends and interest from securities			14	5,075,143.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	675,231.	
8 Gain or (loss) from sales of assets other than inventory			18	5,953,218.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		11,707,656.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 11,707,656.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/11/14 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRIS CARREIRA	[signature]	11/10/14		P01250834
Firm's name ▶ WTAS LLC			Firm's EIN ▶ 33-1197384	
Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 408 OLD GREENWICH, CT 06870			Phone no. 203-987-3660	

THE GROSSMAN FAMILY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
26-39190[illegible] PAGE 1 OF 5

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHARLES SCHWAB #5990 - SEE ATTACHED	P	VARIOUS	VARIOUS
b	WELLS FARGO ADVANTAGE ULTRA SHORT MUNICIPAL INCOM	P	VARIOUS	VARIOUS
c	UBS #MW 326 - SEE ATTACHED	P	VARIOUS	VARIOUS
d	UBS #MW 328 - SEE ATTACHED	P	VARIOUS	VARIOUS
e	311,769.29 SHS INVESCO BALANCED-RISK ALLOCATION F	P	11/02/12	07/18/13
f	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL	P	VARIOUS	08/22/13
g	MORGAN STANLEY #042 - SEE ATTACHED	P	VARIOUS	VARIOUS
h	MORGAN STANLEY #065 - SEE ATTACHED	P	09/17/13	10/15/13
i	200 SHS BCE INC.	P	09/10/13	12/18/13
j	GOLDMAN SACHS #468-1 - SEE ATTACHED	P	VARIOUS	VARIOUS
k	GOLDMAN SACHS #794-8 - SEE ATTACHED	P	VARIOUS	08/22/13
l	100 SHS LOCKHEED MARTIN CORP	P	09/10/13	11/26/13
m	MORGAN STANLEY #042 - SEE ATTACHED	P	07/19/13	12/20/13
n	75,000 SHS KINGDOM OF BAHRAIN 5500	P	09/10/13	10/31/13
o	MORGAN STANLEY #065 - SEE ATTACHED	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,246,935.		6,858,902.	388,033.
b	6,440,527.		6,441,568.	-1,041.
c	267,658.		249,798.	17,860.
d	2,462,333.		2,512,258.	-49,925.
e	3,915,822.		4,000,000.	-84,178.
f	5,975,231.		6,004,929.	-29,698.
g	3,965,527.		3,969,242.	-3,715.
h	6,583.		6,725.	-142.
i	8,487.		8,661.	-174.
j	287,374.		256,174.	31,200.
k	359,995.		358,969.	1,026.
l	13,630.		12,492.	1,138.
m	500,000.		529,447.	-29,447.
n	77,438.		73,875.	3,563.
o	191,780.		190,638.	1,142.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				388,033.
b				-1,041.
c				17,860.
d				-49,925.
e				-84,178.
f				-29,698.
g				-3,715.
h				-142.
i				-174.
j				31,200.
k				1,026.
l				1,138.
m				-29,447.
n				3,563.
o				1,142.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS #794-8 - SEE ATTACHED	P	VARIOUS	VARIOUS
b	UBS #MW 325 - SEE ATTACHED	P	VARIOUS	VARIOUS
c	CHARLES SCHWAB #5990 - SEE ATTACHED	P	02/14/12	05/22/13
d	GOLDMAN SACHS #468-1 - SEE ATTACHED	P	VARIOUS	VARIOUS
e	MORGAN STANLEY #042 - SEE ATTACHED	P	VARIOUS	08/30/13
f	UBS #MW 326 - SEE ATTACHED	P	VARIOUS	VARIOUS
g	UBS #MW 328 - SEE ATTACHED	P	VARIOUS	VARIOUS
h	WELLS FARGO ADVANTAGE ULTRA SHORT MUNICIPAL INCOM	P	VARIOUS	VARIOUS
i	UBS #MW 326 - SEE ATTACHED	P	VARIOUS	VARIOUS
j	UBS #MW 328 - SEE ATTACHED	P	VARIOUS	VARIOUS
k	MORGAN STANLEY #042 - SEE ATTACHED	P	VARIOUS	VARIOUS
l	GOLDMAN SACHS #795-5 - SEE ATTACHED	P	VARIOUS	07/19/13
m	GOLDMAN SACHS #794-8 - SEE ATTACHED	P	VARIOUS	VARIOUS
n	GOLDMAN SACHS #794-8 - SEE ATTACHED	P	02/01/10	03/28/13
o	GS HIGH YIELD FUND INSTITUTIONAL	P	10/07/09	07/19/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,209,695.		1,082,099.	127,596.
b	13,500,000.		13,500,000.	0.
c	902,735.		1,000,000.	-97,265.
d	2,349,304.		1,812,085.	537,219.
e	8,222.		8,225.	-3.
f	159,293.		140,568.	18,725.
g	3,196,325.		3,194,123.	2,202.
h	23,387,209.		23,394,693.	-7,484.
i	569,706.		352,406.	217,300.
j	329,039.		276,007.	53,032.
k	13,370,719.		12,501,878.	868,841.
l	7,142,710.		7,075,312.	67,398.
m	3,816,224.		1,089,023.	2,727,201.
n	2,832.		1,122.	1,710.
o	2,000,000.		1,834,014.	165,986.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				127,596.
b				0.
c				-97,265.
d				537,219.
e				-3.
f				18,725.
g				2,202.
h				-7,484.
i				217,300.
j				53,032.
k				868,841.
l				67,398.
m				2,727,201.
n				1,710.
o				165,986.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS #MW 325 - SEE ATTACHED	P	VARIOUS	VARIOUS
b	25 SHS LLOYDS BANKING GROUP PLC	P	07/10/10	11/07/13
c	158,103.1310 SHS NEUBERGER BERMAN EQUITY INCOME F	P	12/14/10	10/25/13
d	412,615.2340 SHS BLACKROCK HIGH YIELD BOND	P	10/07/11	01/30/13
e	188,857.413 SHS NEUBERGER BERMAN INCOME FUND	P	11/23/10	10/25/13
f	CHARLES SCHWAB #5990 - SEE ATTACHED	P	VARIOUS	05/22/13
g	SALE OF GOLDMAN SACHS GLOBAL TACTICAL TRADING PLC	P	VARIOUS	01/01/13
h	DISPOSITION OF SPRUCEGROVE: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
i	DISPOSITION OF WILLIAM BLAIR: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
j	DISPOSITION OF ARTISAN: DYNAMIC EQUITY (NON-US EQ	P	VARIOUS	VARIOUS
k	SPRUCEGROVE: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
l	LATEEF: DYNAMIC EQUITY LLC	P	VARIOUS	VARIOUS
m	WILLIAM BLAIR: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
n	ARTISAN: DYNAMIC EQUITY (NON-US EQUITY) [SERIES]	P	VARIOUS	VARIOUS
o	ATLAS PIPELINE PARTNERS LP	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,999,910.		3,999,910.	0.
b	674.		630.	44.
c	1,993,680.		1,717,000.	276,680.
d	3,379,314.		2,921,316.	457,998.
e	2,381,492.		2,000,000.	381,492.
f	2,602,260.		4,000,000.	-1,397,740.
g	1,014,217.		1,000,000.	14,217.
h	2,071,293.		2,071,294.	-1.
i	1,585,128.		1,585,127.	1.
j	1,865,746.		1,483,134.	382,612.
k				18,831.
l				10,362.
m				73,161.
n				10,462.
o				-1.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				0.
b				44.
c				276,680.
d				457,998.
e				381,492.
f				-1,397,740.
g				14,217.
h				-1.
i				1.
j				382,612.
k				18,831.
l				10,362.
m				73,161.
n				10,462.
o				-1.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THE BLACKSTONE GROUP LP	P	VARIOUS	VARIOUS
b	KKR & CO. LP	P	VARIOUS	VARIOUS
c	UBS PAULSON ADVANTAGE LLC	P	VARIOUS	VARIOUS
d	SPRUCEGROVE: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
e	LATEEF: DYNAMIC EQUITY LLC	P	VARIOUS	VARIOUS
f	WILLIAM BLAIR: NON-US EQUITY LLC	P	VARIOUS	VARIOUS
g	ARTISAN: DYNAMIC EQUITY (NON-US EQUITY) [SERIES]	P	VARIOUS	VARIOUS
h	ATLAS PIPELINE PARTNERS LP	P	VARIOUS	VARIOUS
i	THE BLACKSTONE GROUP LP	P	VARIOUS	VARIOUS
j	KKR & CO. LP	P	VARIOUS	VARIOUS
k	TEEKAY LNG PARTNERS LP	P	VARIOUS	VARIOUS
l	WESTERN GAS PARTNERS LP	P	VARIOUS	VARIOUS
m	WILLIAMS PARTNERS LP	P	VARIOUS	VARIOUS
n	UBS PAULSON ADVANTAGE LLC	P	VARIOUS	VARIOUS
o	AMICI FUND INTERNATIONAL	P	VARIOUS	03/27/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				1.
b				5.
c				-113,438.
d				164,674.
e				148,048.
f				135,483.
g				97,048.
h				-270.
i				40.
j				218.
k				-143.
l				28.
m				12.
n				-175,378.
o	309,318.			309,318.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1.
b				5.
c				-113,438.
d				164,674.
e				148,048.
f				135,483.
g				97,048.
h				-270.
i				40.
j				218.
k				-143.
l				28.
m				12.
n				-175,378.
o				309,318.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DISPOSITION OF UBS PAULSON ADVANTAGE LLC	P	VARIOUS	VARIOUS
b	LOSSES ON FINAL DISPOSITION OF PTP UNITS	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,520,779.		2,036,242.	-515,463.
b				-7,049.
c	753,866.			753,866.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-515,463.
b				-7,049.
c				753,866.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	5,953,218.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	31,199 73	Net Covered Long-Term Gains (Losses)	537,218 91	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	0 00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**31,199.73**	**Total Long-Term Gains (Losses)**	**537,218.91**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
RESEARCH IN MOTION LIMITED CMN (760975102)	07/25/2012	01/18/2013	330 00	5,207 77	0 00	2,314 22	2,893 55
RESEARCH IN MOTION LIMITED CMN (760975102)	07/25/2012	01/23/2013	440 00	7,744 26	0 00	3,085 63	4,658 63
HEWLETT-PACKARD CO CMN (428236103)	07/25/2012	07/10/2013	210 00	5,444 98	0 00	3,792 60	1,652 38
BLACKBERRY LTD CMN (09228F103)	08/31/2012	08/22/2013	20 00	204 80	0 00	134 14	70 66
C H ROBINSON WORLDWIDE INC CMN (12541W209)	05/21/2013	08/22/2013	125 00	7,108 65	0 00	7,428 88	(320 23)
C H ROBINSON WORLDWIDE INC CMN (12541W209)	02/26/2013	08/22/2013	210 00	11,942 53	0 00	11,773 78	168 75
C H ROBINSON WORLDWIDE INC CMN (12541W209)	04/05/2013	08/22/2013	220 00	12,511 22	0 00	12,897 26	(386 04)
COCA-COLA COMPANY (THE) CMN (191216100)	02/22/2013	08/22/2013	1,495 00	57,381 43	0 00	57,392 45	(11.02)
DELL INC CMN (24702R101)	07/10/2013	08/22/2013	215 00	2,964 56	0 00	2,870 74	93 82
DELL INC CMN (24702R101)	11/16/2012	08/22/2013	510 00	7,032 22	0 00	4,492 49	2,539 73
DELL INC CMN (24702R101)	10/09/2012	08/22/2013	1,165 00	16,063 78	0 00	11,249 82	4,813 96
EXXON MOBIL CORPORATION CMN (30231G102)	04/26/2013	08/22/2013	165 00	14,381 15	0 00	14,528 65	(147 50)
ORACLE CORPORATION CMN (68389X105)	07/05/2013	08/22/2013	420 00	13,632 16	0 00	12,944 11	688 05
ORACLE CORPORATION CMN (68389X105)	06/25/2013	08/22/2013	425 00	13,794 45	0 00	12,748 56	1,045 89
ORACLE CORPORATION CMN (68389X105)	06/28/2013	08/22/2013	660 00	21,421 97	0 00	20,219 23	1,202 74
ORACLE CORPORATION CMN (68389X105)	06/21/2013	08/22/2013	840 00	27,264 33	0 00	25,595 14	1,669 19

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SIGMA-ALDRICH CORPORATION CMN (826552101)	04/03/2013	08/22/2013	160 00	13,449 76	0 00	12,229 23	1,220 53
STRYKER CORP CMN (863667101)	10/12/2012	08/22/2013	80 00	5,515 08	0 00	4,216 42	1,298 66
STRYKER CORP CMN (863667101)	10/10/2012	08/22/2013	335 00	23,094 40	0 00	17,432 50	5,661 90
WELLS FARGO & CO (NEW) CMN (949746101)	04/26/2013	08/22/2013	500 00	21,214 63	0 00	18,828 55	2,386 08
Net Covered Short-Term Gains (Losses)				**287,374.13**	**0.00**	**256,174.40**	**31,199.73**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
HEWLETT-PACKARD CO CMN (428236103)	05/17/2011	01/17/2013	230 00	3,955 81	0 00	8,314 66	(4,358 85)
HEWLETT-PACKARD CO CMN (428236103)	04/26/2011	01/17/2013	985 00	16,941 22	0 00	39,862 95	(22,921 73)
RESEARCH IN MOTION LIMITED CMN (760975102)	12/16/2011	01/18/2013	115 00	1,814 84	0 00	1,548 33	266 51
PFIZER INC CMN (717081103)	04/26/2011	01/30/2013	695 00	19,130 84	0 00	14,018 15	5,112 69
H & R BLOCK INC CMN (093671105)	09/02/2011	02/15/2013	600 00	15,081 68	0 00	8,016 30	7,065 38
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	04/26/2011	02/21/2013	775 00	22,002 68	0 00	13,580 09	8,422 59
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/26/2011	02/22/2013	250 00	19,241 36	0 00	15,875 00	3,366 36
HEWLETT-PACKARD CO CMN (428236103)	05/17/2011	03/27/2013	10 00	235 53	0 00	361 51	(125 98)
HEWLETT-PACKARD CO CMN (428236103)	08/19/2011	03/27/2013	270 00	6,359 22	0 00	6,394.79	(35 57)
BECTON DICKINSON & CO CMN (075887109)	04/26/2011	04/05/2013	165 00	15,850 86	0 00	13,860 00	1,990 86
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/26/2011	04/05/2013	145 00	12,560 60	0 00	9,971 65	2,588 95
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/26/2011	04/05/2013	150 00	5,862 80	0 00	3,582 44	2,280 36
CONOCOPHILLIPS CMN (20825C104)	04/26/2011	04/05/2013	240 00	13,986 21	0 00	14,897 49	(911 28)
PEPSICO INC CMN (713448108)	04/26/2011	04/05/2013	185 00	14,556 84	0 00	12,383 90	2,172 94
PFIZER INC CMN (717081103)	04/26/2011	04/12/2013	455 00	13,954 26	0 00	9,177 35	4,776 91

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	04/26/2011	04/24/2013	845 00	26,320 32	0 00	14,806 68	11,513 64
BECTON DICKINSON & CO CMN (075887109)	04/26/2011	04/26/2013	70 00	6,666 11	0 00	5,880 00	786 11
PFIZER INC CMN (717081103)	04/26/2011	04/26/2013	835 00	24,910 58	0 00	16,841 95	8,068 63
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	04/26/2011	05/10/2013	420 00	13,999 08	0 00	7,359 53	6,639 55
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/26/2011	05/21/2013	130 00	11,175 88	0 00	8,940 10	2,235 78
CISCO SYSTEMS, INC CMN (17275R102)	04/26/2011	06/25/2013	540 00	13,113 18	0 00	9,288 00	3,825 18
MICROSOFT CORPORATION CMN (594918104)	04/26/2011	06/25/2013	70 00	2,351 10	0 00	1,801 80	549 30
MICROSOFT CORPORATION CMN (594918104)	04/26/2011	07/05/2013	290 00	9,756 91	0 00	7,464 60	2,292 31
HEWLETT-PACKARD CO CMN (428236103)	08/19/2011	07/10/2013	230 00	5,963 54	0 00	5,447 41	516 13
VIACOM INC CMN CLASS B (92553P201)	04/26/2011	08/02/2013	195 00	15,478 13	0 00	9,401 65	6,076 48
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	07/25/2012	08/22/2013	375 00	6,956 28	0 00	10,395 00	(3,438 72)
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	04/26/2011	08/22/2013	750 00	13,912 55	0 00	29,992 50	(16,079 95)
AVON PRODUCTS INC CMN (054303102)	09/14/2011	08/22/2013	85 00	1,726 69	0 00	1,814 21	(87 52)
AVON PRODUCTS INC CMN (054303102)	09/13/2011	08/22/2013	130.00	2,640 83	0 00	2,760 43	(119 60)
AVON PRODUCTS INC CMN (054303102)	11/16/2011	08/22/2013	135 00	2,742 40	0 00	2,396 21	346 19
AVON PRODUCTS INC CMN (054303102)	09/15/2011	08/22/2013	220 00	4,469 09	0 00	4,747 69	(278 60)
AVON PRODUCTS INC CMN (054303102)	07/25/2012	08/22/2013	370 00	7,516 20	0 00	5,657 30	1,858 90
AVON PRODUCTS INC CMN (054303102)	09/23/2011	08/22/2013	485 00	9,852 31	0 00	9,615 95	236 36
AVON PRODUCTS INC CMN (054303102)	11/01/2011	08/22/2013	625 00	12,696 28	0 00	11,091 81	1,604 47
BARD C R INC N J CMN (067383109)	04/12/2012	08/22/2013	53 00	6,064 95	0 00	5,111 00	953 95
BARD C R INC N J CMN (067383109)	04/13/2012	08/22/2013	180 00	20,597 94	0 00	17,356 00	3,241 94
BARD C R INC N J CMN (067383109)	12/14/2011	08/22/2013	225 00	25,747 43	0 00	19,050 14	6,697 29
BARD C R INC N J CMN (067383109)	04/26/2011	08/22/2013	510 00	58,360 83	0 00	52,698 30	5,662 53
BECTON DICKINSON & CO CMN (075887109)	07/25/2012	08/22/2013	70 00	6,927 08	0 00	5,144 30	1,782 78
BECTON DICKINSON & CO CMN (075887109)	04/26/2011	08/22/2013	120 00	11,874 99	0 00	10,080 00	1,794 99

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
BLACKBERRY LTD CMN (09228F103)	07/25/2012	08/22/2013	640 00	6,553 48	0 00	4,488 19	2,065 29
CISCO SYSTEMS, INC CMN (17275R102)	05/17/2012	08/22/2013	190 00	4,560 30	0 00	3,174 90	1,385 40
CISCO SYSTEMS, INC CMN (17275R102)	06/15/2011	08/22/2013	480 00	11,520 76	0 00	7,146 77	4,373 99
CISCO SYSTEMS, INC CMN (17275R102)	06/08/2011	08/22/2013	485 00	11,640 77	0 00	7,399 45	4,241 32
CISCO SYSTEMS, INC CMN (17275R102)	07/24/2012	08/22/2013	575 00	13,800 91	0 00	8,694 63	5,106 28
CISCO SYSTEMS, INC CMN (17275R102)	05/12/2011	08/22/2013	660 00	15,841 04	0 00	11,193 14	4,647 90
CISCO SYSTEMS, INC CMN (17275R102)	05/31/2011	08/22/2013	660 00	15,841 04	0 00	11,006 42	4,834 62
CISCO SYSTEMS, INC CMN (17275R102)	06/03/2011	08/22/2013	1,035 00	24,841 64	0 00	16,639 07	8,202 57
CISCO SYSTEMS, INC CMN (17275R102)	04/26/2011	08/22/2013	1,795 00	43,082 84	0 00	30,874 00	12,208 84
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/12/2012	08/22/2013	115 00	9,658 00	0 00	8,017 05	1,640 95
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/16/2012	08/22/2013	165 00	13,857 13	0 00	11,586 86	2,270 27
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/13/2012	08/22/2013	185 00	15,536 79	0 00	12,936 75	2,600 04
CLOROX CO (THE) (DELAWARE) CMN (189054109)	04/26/2011	08/22/2013	275 00	23,095 22	0 00	18,911 75	4,183 47
COCA-COLA COMPANY (THE) CMN (191216100)	04/26/2011	08/22/2013	1,640 00	62,946 86	0 00	54,571 00	8,375 86
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/26/2011	08/22/2013	1,130 00	45,656 40	0 00	26,987 68	18,668 72
CONOCOPHILLIPS CMN (20825C104)	05/09/2012	08/22/2013	440 00	29,104 17	0 00	23,577 88	5,526 29
CONOCOPHILLIPS CMN (20825C104)	04/26/2011	08/22/2013	850 00	56,223 97	0 00	52,761 94	3,462 03
CORNING INCORPORATED CMN (219350105)	07/25/2012	08/22/2013	205 00	3,000 29	0 00	2,457 95	542 34
CORNING INCORPORATED CMN (219350105)	09/22/2011	08/22/2013	710 00	10,391 24	0 00	8,601 65	1,789 59
CORNING INCORPORATED CMN (219350105)	04/26/2011	08/22/2013	985 00	14,416 01	0 00	20,143 25	(5,727 24)
EXXON MOBIL CORPORATION CMN (30231G102)	07/25/2012	08/22/2013	20 00	1,743 17	0 00	1,705 00	38 17
EXXON MOBIL CORPORATION CMN (30231G102)	04/26/2011	08/22/2013	275 00	23,968 58	0 00	23,787 50	181 08
HEWLETT-PACKARD CO CMN (428236103)	07/25/2012	08/22/2013	615 00	13,689 66	0 00	11,106.90	2,582 76
JOHNSON & JOHNSON CMN (478160104)	04/26/2011	08/22/2013	1,245 00	110,058 57	0 00	79,904 10	30,154 47
MICROSOFT CORPORATION CMN (594918104)	04/26/2011	08/22/2013	4,055 00	130,994 09	0 00	104,375 70	26,618 39

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	05/12/2011	08/22/2013	146 00	2,289 85	0 00	1,161 47	1,128 38
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	07/13/2011	08/22/2013	177 00	2,776 06	0 00	1,275 71	1,500 35
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	06/10/2011	08/22/2013	298 00	4,673 81	0 00	2,233 55	2,440 26
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	04/26/2011	08/22/2013	1,269 00	19,902 90	0 00	10,182 22	9,720 68
PEPSICO INC CMN (713448108)	02/14/2012	08/22/2013	15 00	1,189 83	0 00	951 81	238 02
PEPSICO INC CMN (713448108)	07/22/2011	08/22/2013	170 00	13,484 76	0 00	11,112 85	2,371 91
PEPSICO INC CMN (713448108)	04/26/2011	08/22/2013	2,515 00	199,495 13	0 00	168,354 10	31,141 03
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/12/2012	08/22/2013	130 00	10,389 17	0 00	8,580 36	1,808 81
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/26/2012	08/22/2013	205 00	16,382 93	0 00	13,360 51	3,022 42
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	06/20/2012	08/22/2013	210 00	16,782 51	0 00	12,618 77	4,163 74
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/27/2012	08/22/2013	370 00	29,569 18	0 00	23,746 12	5,823 06
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	04/26/2011	08/22/2013	2,035 00	162,630 50	0 00	129,222 50	33,408 00
STRYKER CORP CMN (863667101)	11/01/2011	08/22/2013	65 00	4,481 00	0 00	3,034 06	1,446 94
STRYKER CORP CMN (863667101)	09/08/2011	08/22/2013	90 00	6,204 47	0 00	4,451 11	1,753 36
STRYKER CORP CMN (863667101)	04/26/2011	08/22/2013	685 00	47,222 87	0 00	39,914 95	7,307 92
SYSCO CORPORATION CMN (871829107)	07/25/2012	08/22/2013	80 00	2,582 53	0 00	2,284 00	298 53
SYSCO CORPORATION CMN (871829107)	04/12/2012	08/22/2013	190 00	6,133 51	0 00	5,590 18	543 33
SYSCO CORPORATION CMN (871829107)	03/05/2012	08/22/2013	360 00	11,621 39	0 00	10,599 95	1,021 44
SYSCO CORPORATION CMN (871829107)	02/15/2012	08/22/2013	435 00	14,042 51	0 00	12,697 87	1,344 64
SYSCO CORPORATION CMN (871829107)	04/26/2011	08/22/2013	2,415 00	77,960 16	0 00	70,226 75	7,733 41
THE BANK OF NY MELLON CORP CMN (064058100)	07/25/2012	08/22/2013	50 00	1,552 40	0 00	1,028 00	524 40
THE BANK OF NY MELLON CORP CMN (064058100)	09/23/2011	08/22/2013	970 00	30,116 51	0 00	18,251 81	11,864 70
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	05/12/2011	08/22/2013	585 00	18,750 68	0 00	8,982 37	9,768 31
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	07/13/2011	08/22/2013	710 00	22,757 24	0 00	9,865 83	12,891 41
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	06/10/2011	08/22/2013	1,190 00	38,142 40	0 00	17,273 41	20,868 99

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	04/26/2011	08/22/2013	5,075 00	162,666 14	0 00	78,745 48	83,920 66
U S BANCORP CMN (902973304)	04/26/2011	08/22/2013	1,560 00	58,352 03	0 00	39,187 20	19,164 83
VIACOM INC CMN CLASS B (92553P201)	04/26/2011	08/22/2013	1,020 00	81,080 52	0 00	49,177 87	31,902 65
WAL MART STORES INC CMN (931142103)	04/26/2011	08/22/2013	405 00	29,766 98	0 00	21,679 65	8,087 33
WELLPOINT, INC CMN (94973V107)	08/20/2012	08/22/2013	174 00	15,023 37	0 00	9,971 59	5,051 78
WELLPOINT, INC CMN (94973V107)	08/21/2012	08/22/2013	300 00	25,902 35	0 00	17,286 39	8,615 96
Net Covered Long-Term Gains (Losses)				**2,349,304.05**	**0.00**	**1,812,085.14**	**537,218.91**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	1,025 37	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	126,215 24	Net NonCovered Long-Term Gains (Losses)	1,778,120 23		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	1,709 58		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**127,240 61**	**Total Long-Term Gains (Losses)**	**1,779,829 81**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KINDER MORGAN INC CMN CLASS P (49456B101)	01/02/2013	08/22/2013	27 00	1,002 05	0 00	983 67	18 38
KINDER MORGAN INC CMN CLASS P (49456B101)	01/14/2013	08/22/2013	70 00	2,597 90	0 00	2,587 20	10 70
KINDER MORGAN INC CMN CLASS P (49456B101)	01/22/2013	08/22/2013	72 00	2,672 12	0 00	2,708 98	(36 86)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/23/2013	08/22/2013	72 00	2,672 13	0 00	2,709 10	(36 97)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/23/2013	08/22/2013	116 00	4,305 09	0 00	4,358 31	(53 22)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/18/2013	08/22/2013	125 00	4,639 11	0 00	4,651 20	(12 09)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/09/2013	08/22/2013	198 00	7,348 34	0 00	7,355 44	(7 10)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/11/2013	08/22/2013	200 00	7,422 57	0 00	7,405 50	17 07
KINDER MORGAN INC CMN CLASS P (49456B101)	01/17/2013	08/22/2013	203 00	7,533 91	0 00	7,558 73	(24 82)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/08/2013	08/22/2013	247 00	9,166 87	0 00	9,177 66	(10 79)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/11/2013	08/22/2013	300 00	11,133 86	0 00	11,106 00	27 86
KINDER MORGAN INC CMN CLASS P (49456B101)	01/09/2013	08/22/2013	336 00	12,469 92	0 00	12,457 34	12 58
KINDER MORGAN INC CMN CLASS P (49456B101)	01/15/2013	08/22/2013	342 00	12,692 60	0 00	12,596 37	96 23
KINDER MORGAN INC CMN CLASS P (49456B101)	01/14/2013	08/22/2013	359 00	13,323 51	0 00	13,275 43	48 08
KINDER MORGAN INC CMN CLASS P (49456B101)	01/16/2013	08/22/2013	385 00	14,288 45	0 00	14,118 53	169 92
KINDER MORGAN INC CMN CLASS P (49456B101)	01/07/2013	08/22/2013	395 00	14,659 58	0 00	14,697 79	(38 21)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KINDER MORGAN INC CMN CLASS P (49456B101)	01/09/2013	08/22/2013	396 00	14,696 69	0 00	14,679 76	16 93
KINDER MORGAN INC CMN CLASS P (49456B101)	01/14/2013	08/22/2013	400 00	14,845 14	0 00	14,809 00	36 14
KINDER MORGAN INC CMN CLASS P (49456B101)	01/08/2013	08/22/2013	470 00	17,443 04	0 00	17,499 79	(56 75)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/10/2013	08/22/2013	490 00	18,185 30	0 00	18,170 38	14 92
KINDER MORGAN INC CMN CLASS P (49456B101)	01/04/2013	08/22/2013	491 00	18,222 41	0 00	18,071 11	151 30
KINDER MORGAN INC CMN CLASS P (49456B101)	01/11/2013	08/22/2013	505 00	18,741 99	0 00	18,701 77	40 22
KINDER MORGAN INC CMN CLASS P (49456B101)	01/07/2013	08/22/2013	592 00	21,970 81	0 00	22,042 47	(71 66)
KINDER MORGAN INC CMN CLASS P (49456B101)	01/03/2013	08/22/2013	685 00	25,422 31	0 00	25,296 57	125 74
KINDER MORGAN INC CMN CLASS P (49456B101)	01/10/2013	08/22/2013	694 00	25,756 32	0 00	25,726 65	29 67
KINDER MORGAN INC CMN CLASS P (49456B101)	01/16/2013	08/22/2013	696 00	25,830 55	0 00	25,522 53	308 02
KINDER MORGAN INC CMN CLASS P (49456B101)	01/04/2013	08/22/2013	834 00	30,952 12	0 00	30,702 04	250 08
Net Covered Short-Term Gains (Losses)				**359,994.69**	**0.00**	**358,969.32**	**1,025.37**
NonCovered Short-Term Gains (Losses)							
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/22/2013	154 00	9,377 13	0 00	7,768 70	1,608 43
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/25/2013	67 00	4,111 04	0 00	3,379 89	731 15
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/26/2013	17 00	1,040 18	0 00	857 58	182 60
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/26/2013	129 00	7,892 90	0 00	6,507 55	1,385 35
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/27/2013	88 00	5,315 26	0 00	4,439 26	876 00
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/28/2013	17 00	1,034 33	0 00	857 58	176 75

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/28/2013	81 00	4,915 78	0 00	4,086 13	829 65
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	03/28/2013	86 00	5,225 83	0 00	4,338 37	887 46
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/01/2013	86 00	5,188 85	0 00	4,338 37	850 48
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/01/2013	121 00	7,281 89	0 00	6,103 98	1,177 91
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/02/2013	23 00	1,361 62	0 00	1,160 26	201 36
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/02/2013	45 00	2,659 90	0 00	2,270 08	389 82
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/04/2013	147 00	8,544 89	0 00	7,415 58	1,129 31
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/05/2013	27 00	1,577 85	0 00	1,362 05	215 80
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	04/05/2013	228 00	13,328 40	0 00	11,501 71	1,826 69
ACCESS MIDSTREAM PARTNERS L P CMN (00434L109)	08/13/2013	08/22/2013	184 00	8,585 14	0 00	8,563 88	21 26
ACCESS MIDSTREAM PARTNERS L P CMN (00434L109)	08/13/2013	08/22/2013	2,866 00	133,722 94	0 00	133,183 02	539 92
ATLAS PIPELINE PARTNERS, L.P CMN (049392103)	04/18/2013	08/22/2013	709 00	26,065 36	0 00	24,672 42	1,392 94
ATLAS PIPELINE PARTNERS, L P CMN (049392103)	04/18/2013	08/22/2013	790 00	29,043 21	0 00	27,417 27	1,625 94
ATLAS PIPELINE PARTNERS, L P CMN (049392103)	04/18/2013	08/22/2013	862 00	31,690 19	0 00	29,933 98	1,756 21
ATLAS PIPELINE PARTNERS, L P CMN (049392103)	04/18/2013	08/22/2013	3,589 00	131,944 42	0 00	122,026 00	9,918 42
EQT MIDSTREAM PARTNERS LP CMN (26885B100)	07/22/2013	08/22/2013	11 00	497 92	0 00	506 19	(8 27)
EQT MIDSTREAM PARTNERS LP CMN (26885B100)	07/23/2013	08/22/2013	53 00	2,399 08	0 00	2,426 98	(27 90)
EQT MIDSTREAM PARTNERS LP CMN (26885B100)	07/17/2013	08/22/2013	1,179 00	53,368 27	0.00	52,780 65	587 62

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
EQT MIDSTREAM PARTNERS LP CMN (26885B100)	07/17/2013	08/22/2013	4,757 00	215,328 99	0 00	206,929 50	8,399 49
GENESIS ENERGY, L P COMMON STOCK (371927104)	10/02/2012	08/22/2013	100 00	4,968 18	0 00	3,215 00	1,753 18
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	11/19/2012	08/22/2013	8 00	555 06	0 00	397 02	158 04
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	11/16/2012	08/22/2013	15 00	1,040 73	0 00	719 86	320 87
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	11/15/2012	08/22/2013	63 00	4,371 06	0 00	2,935 54	1,435 52
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	11/19/2012	08/22/2013	116 00	8,048 30	0 00	5,758 36	2,289 94
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	11/14/2012	08/22/2013	1,348 00	93,526 79	0 00	63,364 36	30,162 43
MPLX LP CMN (55336V100)	10/25/2012	08/22/2013	3,770 00	133,814 57	0 00	82,940 00	50,874 57
QEP MIDSTREAM PARTNERS, L P CMN (74735R115)	08/08/2013	08/22/2013	2,265 00	49,900 93	0 00	47,565 00	2,335 93
WESTERN GAS PARTNERS, LP CMN (958254104)	05/22/2013	08/22/2013	2 00	122 40	0 00	123 03	(0 63)
WESTERN GAS PARTNERS, LP CMN (958254104)	05/15/2013	08/22/2013	9 00	550 82	0 00	548 64	2 18
WESTERN GAS PARTNERS, LP CMN (958254104)	05/17/2013	08/22/2013	11 00	673 23	0 00	672 40	0 83
WESTERN GAS PARTNERS, LP CMN (958254104)	05/20/2013	08/22/2013	19 00	1,162 84	0 00	1,160 87	1 97
WESTERN GAS PARTNERS, LP CMN (958254104)	05/20/2013	08/22/2013	32 00	1,958 47	0 00	1,956 48	1 99
WESTERN GAS PARTNERS, LP CMN (958254104)	05/16/2013	08/22/2013	32 00	1,958 48	0 00	1,953 28	5 20
WESTERN GAS PARTNERS, LP CMN (958254104)	05/17/2013	08/22/2013	32 00	1,958 48	0 00	1,956 48	2 00
WESTERN GAS PARTNERS, LP CMN (958254104)	05/21/2013	08/22/2013	34 00	2,080 88	0 00	2,087 06	(6 18)
WESTERN GAS PARTNERS, LP CMN (958254104)	05/16/2013	08/22/2013	35 00	2,142 08	0 00	2,136 00	6 08
WESTERN GAS PARTNERS, LP CMN (958254104)	05/14/2013	08/22/2013	89 00	5,447 01	0 00	5,426 13	20 88
WESTERN GAS PARTNERS, LP CMN (958254104)	05/14/2013	08/22/2013	106 00	6,487 45	0 00	6,470 24	17 21
WESTERN GAS PARTNERS, LP CMN (958254104)	05/15/2013	08/22/2013	158 00	9,669 97	0 00	9,644 32	25 65

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
WESTERN GAS PARTNERS, LP CMN (958254104)	05/14/2013	08/22/2013	353 00	21,604 42	0 00	21,524 56	79 86
WESTERN GAS PARTNERS, LP CMN (958254104)	05/14/2013	08/22/2013	2,388 00	146,151 17	0 00	146,097 84	53 33
Net NonCovered Short-Term Gains (Losses)				**1,209,694.69**	**0.00**	**1,083,479.45**	**126,215.24**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/02/2013	394 00	20,370 18	0 00	9,328 20	11,041 98
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/02/2013	310 00	14,538 92	0 00	8,254 65	6,284 27
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/03/2013	370 00	19,401 96	0 00	8,759 99	10,641 97
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/03/2013	149 00	7,065 03	0 00	3,967 56	3,097 47
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/04/2013	696 00	36,750 83	0 00	16,478 25	20,272 58
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/04/2013	232 00	11,060 65	0 00	6,177 67	4,882 98
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/07/2013	701 00	37,156 24	0 00	16,596 62	20,559 62
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/07/2013	407 00	19,739 76	0 00	10,837 56	8,902 20
ENTERPRISE PRODUCTS PART L.P CMN (293792107)	02/01/2010	01/08/2013	469 00	24,625 70	0 00	11,103 88	13,521 82
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/08/2013	313 00	15,186 23	0 00	8,334 53	6,851 70
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/09/2013	943 00	50,028 24	0 00	22,326.13	27,702 11
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/09/2013	314 00	15,359 79	0 00	8,361 16	6,998 63
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/10/2013	354 00	18,951 08	0 00	8,381 18	10,569 90
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/10/2013	188 00	9,324 22	0 00	5,006 05	4,318 17
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/11/2013	270 00	14,581 37	0 00	6,392 42	8,188 95
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/11/2013	126 00	6,263 68	0 00	3,355 12	2,908 56
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/01/2010	01/14/2013	15 00	816 48	0 00	355 14	461 34

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ENTERPRISE PRODUCTS PART L.P CMN (293792107)	02/11/2010	01/14/2013	135 00	7,348 24	0 00	3,165 81	4,182 43
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/14/2013	158 00	7,798 38	0 00	4,207 21	3,591 17
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/15/2013	158 00	7,765 18	0 00	4,207 21	3,557 97
ENTERPRISE PRODUCTS PART L.P CMN (293792107)	02/11/2010	01/16/2013	153 00	8,287 01	0 00	3,587 92	4,699 09
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/16/2013	94 00	4,607 81	0 00	2,503 02	2,104 79
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	01/17/2013	101 00	4,995 86	0 00	2,689 42	2,306 44
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/22/2013	121 00	5,200 62	0 00	2,959 36	2,241 26
ENTERPRISE PRODUCTS PART L.P CMN (293792107)	02/11/2010	03/22/2013	31 00	1,826 17	0 00	726 97	1,099 20
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/22/2013	57 00	3,362 92	0 00	1,336 68	2,026 24
GENESIS ENERGY, L.P COMMON STOCK (371927104)	01/24/2012	03/22/2013	22 00	1,071 57	0 00	615 78	455 79
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/22/2013	43 00	2,199 51	0 00	910 47	1,289 04
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	03/22/2013	252 00	14,127 76	0 00	7,653 74	6,474 02
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/01/2010	03/22/2013	30 00	1,692 67	0 00	798 84	893 83
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/22/2013	43 00	2,426 15	0 00	1,128 76	1,297 39
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/01/2010	03/22/2013	90 00	4,018 41	0 00	2,141 12	1,877 29
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/01/2010	03/22/2013	492 00	22,071 61	0 00	11,704 78	10,366 83
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/25/2013	122 00	5,282 25	0 00	2,983 82	2,298 43
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/25/2013	6 00	355 16	0 00	140 70	214 46
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/25/2013	63 00	3,741 49	0 00	1,477 38	2,264 11
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	03/25/2013	33 00	1,599 28	0 00	923 67	675 61
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/25/2013	55.00	2,878 09	0 00	1,164 56	1,713 53
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/25/2013	76 00	4,004 97	0 00	1,609 21	2,395 76

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	03/25/2013	48 00	2,688 85	0 00	1,457 86	1,230 99
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/25/2013	8 00	453 88	0 00	210 00	243 88
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/25/2013	81 00	4,583 69	0 00	2,126 27	2,457 42
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/01/2010	03/25/2013	330 00	15,011 79	0 00	7,850 77	7,161 02
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	03/25/2013	79 00	3,260 58	0 00	2,171 93	1,088 65
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/26/2013	113 00	4,916 83	0 00	2,763 70	2,153 13
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/26/2013	124 00	5,361 02	0 00	3,032 73	2,328 29
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/26/2013	29 00	1,727 19	0 00	680 06	1,047 13
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/26/2013	47 00	2,801 85	0 00	1,102 17	1,699 68
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	03/26/2013	10 00	481 84	0 00	279 90	201 94
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	03/26/2013	61 00	2,939 20	0 00	1,695 19	1,244 01
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	03/26/2013	108 00	5,197 07	0 00	3,022 92	2,174 15
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/26/2013	61 00	3,227 87	0 00	1,291 60	1,936 27
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	03/26/2013	67 00	3,788 48	0 00	2,034 92	1,753 56
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/26/2013	64 00	3,584 68	0 00	1,680 01	1,904 67
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	03/26/2013	27 00	1,235 20	0 00	625 94	609 26
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/01/2010	03/26/2013	174 00	7,960 14	0 00	4,139 50	3,820 64
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/01/2010	03/26/2013	201 00	9,153 35	0 00	4,781 83	4,371 52
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	03/26/2013	235 00	9,698 58	0 00	6,460 81	3,237 77
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/27/2013	62 00	2,712 15	0 00	1,516 37	1,195 78
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/27/2013	35 00	2,076 84	0 00	820 77	1,256 07

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GENESIS ENERGY, L.P COMMON STOCK (371927104)	01/24/2012	03/27/2013	64 00	3,063 90	0 00	1,778 56	1,285 34
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/27/2013	102 00	5,413 07	0 00	2,159 73	3,253 34
ONEOK PARTNERS, L.P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	03/27/2013	24 00	1,349 89	0 00	728 93	620 96
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/27/2013	77 00	4,308 04	0 00	2,021 27	2,286 77
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	03/27/2013	6 00	274 08	0 00	139 10	134 98
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	03/27/2013	201 00	9,187 56	0 00	4,659 74	4,527 82
TEEKAY LNG PARTNERS L.P CMN (Y8564M105)	02/01/2010	03/27/2013	114 00	4,689 90	0 00	3,134 18	1,555 72
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/28/2013	31 00	1,353 38	0 00	758 18	595 20
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	03/28/2013	104 00	4,535 34	0 00	2,543 58	1,991 76
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	03/28/2013	70 00	4,220 30	0 00	1,641 53	2,578 77
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	03/28/2013	110 00	6,212 80	0 00	2,887 52	3,325 28
TEEKAY LNG PARTNERS L.P CMN (Y8564M105)	02/01/2010	03/28/2013	90 00	3,728 70	0 00	2,474 35	1,254 35
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	04/01/2013	45 00	1,972 31	0 00	1,100 59	871 72
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	04/01/2013	52 00	2,273 91	0 00	1,271 79	1,002 12
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	04/01/2013	73 00	3,197 88	0 00	1,785 40	1,412 48
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	04/01/2013	3 00	181 75	0 00	70 35	111 40
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/01/2013	36 00	1,725 68	0 00	1,000 44	725 24
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/01/2013	41 00	2,166 23	0 00	868 13	1,298 10
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/01/2013	50 00	2,844 59	0 00	1,518 60	1,325 99
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/01/2013	60 00	3,410 37	0 00	1,575 01	1,835 36

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	04/01/2013	81 00	3,720 08	0 00	1,877 81	1,842 27
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	04/01/2013	90 00	3,689 19	0 00	2,474 35	1,214 84
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	04/02/2013	88 00	3,876 91	0 00	2,152 26	1,724 65
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/02/2013	70 00	3,356 86	0 00	1,945 30	1,411 56
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/02/2013	41 00	2,155 23	0 00	868 13	1,287 10
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/02/2013	30 00	1,715 10	0 00	911 16	803 94
ONEOK PARTNERS, L.P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/02/2013	45 00	2,572 72	0.00	1,366 74	1,205 98
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/02/2013	10 00	569 01	0 00	262 50	306 51
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/02/2013	31 00	1,762 62	0 00	813 76	948 86
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	04/02/2013	81 00	3,725 83	0 00	1,877 81	1,848 02
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	04/02/2013	96 00	3,922 57	0 00	2,639 31	1,283 26
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/03/2013	1.00	46 83	0 00	27 79	19 04
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/03/2013	20 00	1,027 07	0 00	423 48	603 59
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/03/2013	34 00	1,756 40	0 00	719 91	1,036 49
ONEOK PARTNERS, L.P. LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/03/2013	37 00	2,079 33	0 00	1,123 76	955 57
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/03/2013	28 00	1,554 90	0 00	735 01	819 89
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	04/03/2013	22 00	995 27	0 00	510 02	485 25
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	04/03/2013	20 00	777 07	0 00	549 86	227 21
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/04/2013	52 00	2,645 39	0 00	1,101 04	1,544 35
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/04/2013	18 00	1,015 76	0 00	546 70	469 06

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	04/04/2013	141 00	7,957 35	0 00	4,282 45	3,674 90
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/04/2013	46 00	2,537 47	0 00	1,207 51	1,329 96
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/04/2013	61 00	3,366 53	0 00	1,601 26	1,765 27
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	04/04/2013	88 00	3,958 76	0 00	2,040 09	1,918 67
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	04/04/2013	232 00	9,103 29	0 00	6,378 33	2,724 96
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/05/2013	56 00	2,845 54	0 00	1,185 73	1,659 81
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/05/2013	35 00	1,926 20	0 00	918 76	1,007 44
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/08/2013	77 00	3,579 63	0 00	2,139 83	1,439 80
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/08/2013	103 00	5,305 96	0 00	2,180 90	3,125 06
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	04/08/2013	31 00	1,737 43	0 00	813 76	923 67
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/09/2013	33 00	1,553 98	0 00	917 07	636 91
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/09/2013	42 00	2,198 34	0 00	889 30	1,309 04
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	04/10/2013	5 00	261 58	0 00	105 87	155 71
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/11/2013	33 00	1,517 38	0 00	917 07	600 31
GENESIS ENERGY, L.P COMMON STOCK (371927104)	01/24/2012	04/12/2013	22 00	1,006 46	0 00	611 38	395 08
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/16/2013	46 00	2,123 81	0 00	1,278 34	845 47
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/19/2013	34 00	1,565 04	0 00	944 86	620 18
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/23/2013	52 00	2,487 41	0 00	1,445 08	1,042 33
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	04/24/2013	28 00	1,370 87	0 00	778 12	592 75
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	05/01/2013	16 00	781 02	0 00	444 64	336 38
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	05/06/2013	16 00	782 53	0 00	444 64	337 89
GENESIS ENERGY, L.P. COMMON STOCK (371927104)	01/24/2012	05/07/2013	31 00	1,533 02	0 00	861 49	671 53
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	05/08/2013	29 00	1,475 56	0 00	805 91	669 65
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/12/2013	93 00	4,926 12	0 00	2,156 00	2,770 12

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/12/2013	551 00	29,207 23	0 00	12,773 72	16,433 51
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/12/2013	44 00	1,972 87	0 00	1,121 42	851 45
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/12/2013	111 00	4,989 67	0 00	2,829 02	2,160 65
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	07/12/2013	185 00	8,295 00	0 00	5,086 17	3,208 83
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/01/2010	07/12/2013	524 00	23,291 60	0 00	14,406 23	8,885 37
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/15/2013	326 00	17,532 49	0 00	7,557 59	9,974 90
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/15/2013	111 00	4,975 46	0 00	2,829 02	2,146 44
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/15/2013	747 00	33,305 91	0 00	19,038 57	14,267 34
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	07/16/2013	2,510 00	127,337 09	0 00	76,233 72	51,103 37
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/16/2013	232 00	12,232 28	0 00	5,378 41	6,853 87
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/16/2013	223 00	9,970 15	0 00	5,683 53	4,286 62
TEEKAY LNG PARTNERS L.P CMN (Y8564M105)	02/11/2010	07/16/2013	278 00	12,352 02	0 00	7,085 30	5,266 72
WILLIAMS PARTNERS L P CMN (96950F104)	02/11/2010	07/16/2013	2,069 00	107,430 95	0 00	74,281 45	33,149 50
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/17/2013	169 00	8,909 55	0 00	3,917 89	4,991 66
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/17/2013	90 00	3,986 36	0 00	2,293 80	1,692 56
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/18/2013	164 00	8,676 59	0 00	3,801 98	4,874 61
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/18/2013	225 00	9,918 25	0 00	5,734 51	4,183 74
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/19/2013	64 00	3,348 27	0 00	1,483 70	1,864 57
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/19/2013	283 00	12,180 67	0 00	7,212 74	4,967 93
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	07/22/2013	183 00	9,469 29	0 00	4,242 45	5,226 84
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	07/22/2013	157 00	6,666 40	0 00	4,001 41	2,664 99
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/01/2010	08/22/2013	2,242 00	95,571 44	0 00	54,833 72	40,737 72

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EL PASO PIPELINE PARTNERS, L P UNITS REPRESENTING LIMITED PATNERSHIP INTERESTS (283702108)	02/11/2010	08/22/2013	5,223 00	222,644 78	0 00	126,910 54	95,734 24
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/18/2010	08/22/2013	3,060 00	181,155 27	0 00	75,840 45	105,314 82
ENTERPRISE PRODUCTS PART L P CMN (293792107)	02/11/2010	08/22/2013	3,199 00	189,384 22	0 00	75,018 07	114,366 15
GENESIS ENERGY, L P COMMON STOCK (371927104)	03/23/2012	08/22/2013	750 00	37,261 38	0 00	23,137 88	14,123 50
GENESIS ENERGY, L P COMMON STOCK (371927104)	01/24/2012	08/22/2013	4,328 00	215,022 98	0 00	120,275 12	94,747 86
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/23/2010	08/22/2013	662 00	36,230 89	0 00	14,468 14	21,762 75
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	08/22/2013	3,795 00	207,698 25	0 00	80,354 57	127,343 68
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/11/2010	08/22/2013	4,304 00	235,555 54	0 00	90,492 25	145,063 29
MARKWEST ENERGY PARTNERS, L P UNIT LTD PARTNERSHIP INT (570759100)	08/14/2012	08/22/2013	2,934 00	203,566 47	0 00	148,008 86	55,557 61
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/23/2010	08/22/2013	834 00	40,989 22	0 00	25,053 65	15,935 57
ONEOK PARTNERS, L P LIMITED PARTNERS INTEREST CMN (68268N103)	02/02/2010	08/22/2013	2,444 00	120,117 09	0 00	74,229 17	45,887 92
PLAINS ALL AMERICAN PIPELINE L P COMMON UNITS (726503105)	02/11/2010	08/22/2013	6,735 00	350,696 12	0 00	176,795 09	173,901 03
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/11/2010	08/22/2013	1,601 00	78,672 89	0 00	37,115 66	41,557 23
TARGA RESOURCES PARTNERS LP CMN (87611X105)	02/18/2010	08/22/2013	2,424 00	119,114 98	0 00	58,636 56	60,478 42
TEEKAY LNG PARTNERS L P CMN (Y8564M105)	02/11/2010	08/22/2013	2,864 00	119,519 79	0 00	72,993 91	46,525 88
WILLIAMS PARTNERS L. P CMN (96950F104)	02/11/2010	08/22/2013	287 00	14,153 59	0 00	10,303 90	3,849 69
WILLIAMS PARTNERS L P CMN (96950F104)	08/08/2012	08/22/2013	650 00	32,055 17	0 00	33,021 11	(965 94)
WILLIAMS PARTNERS L P CMN (96950F104)	02/18/2010	08/22/2013	3,144 00	155,048 38	0 00	118,832 83	36,215 55
Net NonCovered Long-Term Gains (Losses)				**3,816,224.20**	**0.00**	**2,038,103.97**	**1,778,120.23**

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
1099B Non Reportable Long-Term Gains (Losses)							
MAGELLAN MIDSTREAM PARTNERS LP CMN (559080106)	02/01/2010	03/28/2013	53 00	2,831 79	0 00	1,122 21	1,709 58
Net 1099B Non Reportable Long-Term Gains (Losses)				**2,831.79**	**0.00**	**1,122.21**	**1,709.58**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	0 00	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	67,398 68		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**0.00**	**Total Long-Term Gains (Losses)**	**67,398.68**	**Total Ordinary Gains (Losses)**	**0 00**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STONE HARBOR LOCAL MARKETS I MUTUAL FUND (861647501)	11/07/2011	07/19/2013	308,411 22	3,142,710 28	0 00	3,293,740 79	(151,030 51)
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	01/29/2010	07/19/2013	581 01	5,746 20	0 00	5,467 31	278 89
T ROWE PRICE FUNDS T. ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	08/31/2010	07/19/2013	4,125 07	40,796 97	0 00	39,476 95	1,320 02
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	07/30/2010	07/19/2013	4,447 94	43,990 12	0 00	42,878 13	1,111 99
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	05/28/2010	07/19/2013	4,569 99	45,197 17	0 00	42,729 38	2,467 79
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	06/30/2010	07/19/2013	4,579 54	45,291 67	0 00	42,910 31	2,381 36
T ROWE PRICE FUNDS T. ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	02/26/2010	07/19/2013	4,637 48	45,864 71	0 00	43,453 22	2,411 49
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	03/31/2010	07/19/2013	4,646 65	45,955 32	0 00	44,747 19	1,208 13
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	04/30/2010	07/19/2013	4,854 08	48,006 82	0 00	47,521 41	485 41

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	10/04/2011	07/19/2013	68,825 03	680,679 54	0 00	604,283 76	76,395 78
T ROWE PRICE FUNDS T ROWE PRICE INSTL HIGH YLD MUTUAL FUND CLASS I SHARES (77958B204)	01/22/2010	07/19/2013	303,182 15	2,998,471 49	0 00	2,868,103 16	130,368 33
Net NonCovered Long-Term Gains (Losses)				**7,142,710.29**	**0.00**	**7,075,311.61**	**67,398.68**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Corporate Tax Statement
Tax Year 2013

THE GROSSMAN FAMILY FOUNDATION
ADVENT
133 RIVER ROAD
COS COB CT 06807-2539

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number. 26-4310632

Taxpayer ID Number: 26-3919092
Account Number: 065 300

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTERPUBLIC GRP 5 25% SER B		**CUSIP:** 460690803	**Symbol (Box 1d):**					
	5.000	09/17/13	10/15/13	$6,583.06	$6,725 00	$0 00	($141 94)	$0 00
Total Short Term Covered Securities				**$6,583.06**	**$6,725.00**	**$0.00**	**($141.94)**	**$0.00**

Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALPHA NATURAL 2375 15AP15	CUSIP: 02076XAA0	Symbol (Box 1d):						
	5,000 000	09/16/13	09/19/13	$4,675 00	$4,600 00	$0.00	$75 00	$0 00
	2,000 000	11/05/13	12/13/13	$2,000 00	$1,927.50	$0 00	$72 50	$0 00
	2,000.000	11/19/13	12/13/13	$2,000 00	$1,945 00	$0 00	$55 00	$0 00
	4,000.000	12/06/13	12/13/13	$4,000 00	$3,885.00	$0.00	$115.00	$0.00
Security Subtotal	**13,000.000**			**$12,675.00**	**$12,357.50**	**$0.00**	**$317.50**	**$0.00**
ANNALY CAP MGMT 5000 15MY15	CUSIP: 035710AB8	Symbol (Box 1d):						
	9,000 000	09/17/13	12/17/13	$9,101 25	$9,166 50	$0 00	($65.25)	$0 00
ARVINMERITOR, INC 4000 *27FB15	CUSIP: 043353AH4	Symbol (Box 1d):						
	1,000 000	09/17/13	10/02/13	$939 50	$922 50	$0.00	$17.00	$0 00
AUXILIUM PHARMACE 1500 18JL15	CUSIP: 05334DAA5	Symbol (Box 1d):						
	1,000 000	09/16/13	10/01/13	$1,031 00	$1,039.65	$0 00	($8.65)	$0 00
	4,000 000	09/16/13	11/07/13	$4,197 06	$4,155.10	$0 00	$41 96	$0 00
	3,000.000	09/16/13	12/06/13	$3,379.71	$3,120.00	$0.00	$259.71	$0.00
Security Subtotal	**8,000.000**			**$8,607.77**	**$8,314.75**	**$0.00**	**$293.02**	**$0.00**
CHESAPEAKE ENERGY 2250 *38DE15	CUSIP: 165167CB1	Symbol (Box 1d):						
	1,000 000	09/16/13	10/01/13	$919 00	$915.00	$0 00	$4.00	$0 00
CLEARWIRE ESCROW 8250 *40DE01	CUSIP: 18538TAG4	Symbol (Box 1d):						
	7,000 000	09/16/13	10/24/13	$7,927 50	$7,796.93	$0 00	$130 57	$0.00

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked) **(Continued)**

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GEN CABLE CORP 0875 13NV15	CUSIP: 369300AD0	Symbol (Box 1d):						
	1,000 000	09/17/13	10/02/13	$999 50	$1,000 35	$0 00	($0 85)	$0 00
	12,000.000	09/17/13	10/08/13	$11,988.00	$12,003.70	$0.00	($15.70)	$0.00
Security Subtotal	**13,000.000**			**$12,987.50**	**$13,004.05**	**$0.00**	**($16.55)**	**$0.00**
GOLDCORP INC 2000 14AU01	CUSIP: 380956AB8	Symbol (Box 1d):						
	15,000 000	09/16/13	12/10/13	$15,055 50	$15,225 00	$0 00	($169 50)	$0 00
	15,000.000	11/18/13	12/10/13	$15,055.50	$15,112.50	$0.00	($57.00)	$0.00
Security Subtotal	**30,000.000**			**$30,111.00**	**$30,337.50**	**$0.00**	**($226.50)**	**$0.00**
HOLOGIC INC 2000 *42MH01	CUSIP: 436440AC5	Symbol (Box 1d):						
	1,000 000	09/17/13	10/02/13	$1,020 00	$1,017 50	$0 00	$2.50	$0.00
	3,000.000	09/17/13	11/11/13	$3,142.35	$3,052 50	$0.00	$89 85	$0.00
Security Subtotal	**4,000.000**			**$4,162.35**	$4,070 00	**$0.00**	$92 35	**$0.00**
HORNBECK OFF 16250*26NV15	CUSIP: 440543AE6	Symbol (Box 1d):						
	5,000.000	09/17/13	10/11/13	$5,921.42	$5,931 25	$0 00	($9 83)	$0 00
	8,000.000	09/17/13	10/18/13	$9,575.50	$9,490.00	$0.00	$85.50	$0.00
Security Subtotal	**13,000.000**			**$15,496.92**	**$15,421.25**	**$0.00**	**$75.67**	**$0.00**
IXIA 3000 15DE15	CUSIP: 45071RAB5	Symbol (Box 1d):						
	2,000 000	09/17/13	09/25/13	$2,302 12	$2,280 00	$0 00	$22 12	$0 00
	1,000.000	09/17/13	10/02/13	$1,148.00	$1,140.00	$0.00	$8.00	$0.00
Security Subtotal	**3,000.000**			**$3,450.12**	**$3,420.00**	**$0.00**	**$30.12**	**$0.00**

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Morgan Stanley

Corporate Tax Statement
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked) **(Continued)**

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LEVEL 3 COMMN INC 7000 15MH15	CUSIP: 52729NBP4	Symbol (Box 1d):						
	3,000.000	09/16/13	10/30/13	$3,821 75	$3,660 00	$0.00	$161 75	$0 00
	4,000.000	09/16/13	11/01/13	$5,220.28	$4,880.00	$0.00	$340.28	$0.00
Security Subtotal	**7,000.000**			**$9,042.03**	**$8,540.00**	**$0.00**	**$502.03**	**$0.00**
LUCENT TECH 7 3/4 3-15-17	CUSIP: 549462307	Symbol (Box 1d):						
	5 000	09/17/13	12/05/13	$5,000 22	$4,998 30	$0 00	$1 92	$0 00
	11.000	09/17/13	12/05/13	$11,015 15	$10,996 25	$0.00	$18.90	$0.00
	16.000	11/18/13	12/05/13	$16,000.72	$16,188.44	$0.00	($187.72)	$0.00
Security Subtotal	**32.000**			**$32,016.09**	**$32,182.99**	**$0.00**	**($166.90)**	**$0.00**
MASSEY ENERGY CO 3250 15AU01	CUSIP: 576203AJ2	Symbol (Box 1d):						
	3,000 000	11/06/13	12/13/13	$3,000 00	$2,917 50	$0 00	$82 50	$0 00
	5,000 000	11/19/13	12/13/13	$5,000 00	$4,912 50	$0.00	$87 50	$0 00
	3,000.000	12/06/13	12/13/13	$3,000.00	$2,947.50	$0.00	$52.50	$0.00
Security Subtotal	**11,000.000**			**$11,000.00**	**$10,777.50**	**$0.00**	**$222.50**	**$0.00**
MGM RESORTS INTER 4250 15AP15	CUSIP: 55303QAE0	Symbol (Box 1d):						
	3,000 000	09/16/13	09/25/13	$3,787.50	$3,714 00	$0 00	$73 50	$0 00
NEWMONT MINING 1625 17JL15	CUSIP: 651639AJ5	Symbol (Box 1d):						
	5,000 000	09/17/13	11/26/13	$5,249 42	$5,595 00	$0 00	($345 58)	$0 00
	4,000.000	11/18/13	11/26/13	$4,199.54	$4,292.00	$0.00	($92.46)	$0.00
Security Subtotal	**9,000.000**			**$9,448.96**	**$9,887.00**	**$0.00**	**($438.04)**	**$0.00**
OMNICARE INC 3250 *35DERG	CUSIP: 681904AL2	Symbol (Box 1d):						
	3,000 000	09/17/13	11/08/13	$3,195 00	$3,206 25	$0 00	($11.25)	$0 00

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Morgan Stanley

Corporate Tax Statement
Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TAKE-TWO INTERACT 1000 18JL01	CUSIP: 874054AD1	Symbol (Box 1d):						
	3,000.000	09/16/13	09/24/13	$3,241 98	$3,177.00	$0 00	$64 98	$0 00
	4,000 000	09/16/13	09/25/13	$4,372 05	$4,236 00	$0 00	$136 05	$0 00
	1,000.000	09/16/13	10/01/13	$1,119.00	$1,059.00	$0.00	$60.00	$0.00
Security Subtotal	**8,000.000**			**$8,733.03**	**$8,472.00**	**$0.00**	**$261.03**	**$0.00**
TTM TECHNOLOGIES 3250 15MY15	CUSIP: 87305RAC3	Symbol (Box 1d):						
	4,000.000	10/11/13	12/16/13	$4,120 00	$4,102.50	$0.00	$17.50	$0 00
	3,000.000	11/18/13	12/16/13	$3,090.00	$3,066.00	$0.00	$24.00	$0.00
Security Subtotal	**7,000.000**			**$7,210.00**	**$7,168.50**	**$0.00**	**$41.50**	**$0.00**

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WEBMD HEALTH CORP 2500 18JA31	CUSIP: 94770VAF9	Symbol (Box 1d):						
	1,000.000	09/16/13	10/02/13	$969 00	$964 00	$0 00	$5 00	$0 00
Total Short Term Noncovered Securities				**$191,779.52**	$190,638.22	**$0.00**	$1,141.30	**$0.00**

Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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GOLDMAN SACHS CORE FIX INC I	CUSIP: 38141W810		Symbol (Box 1d): GSFIX					
	233 160	08/30/13	09/24/13	$2,413 11	$2,396 88	$0 00	$16 23	$0 00
	885.322	09/30/13	10/23/13	$9,242.72	$9,171.94	$0.00	$70.78	$0.00
Security Subtotal	**1,118.482**			**$11,655.83**	**$11,568.82**	**$0.00**	**$87.01**	**$0.00**
GOLDMAN SACHS INV GRD CR INST	CUSIP: 38143H845		Symbol (Box 1d): GSGDX					
	387 405	08/30/13	11/20/13	$3,614 48	$3,575 75	$0 00	$38 73	$0 00
	2,062.574	09/30/13	11/20/13	$19,243.88	$19,202.54	$0.00	$41.34	$0.00
Security Subtotal	**2,449.979**			**$22,858.36**	**$22,778.29**	**$0.00**	**$80.07**	**$0.00**
WELLS FARGO UL S/T MUN INC A	CUSIP: 949917884		Symbol (Box 1d): SMAVX					
	0 153	08/31/12	08/30/13	$0 74	$0 74	$0 00	$0.00	$0 00
	0 735	08/31/12	08/30/13	$3 54	$3 55	$0 00	($0.01)	$0 00
	1 072	09/28/12	08/30/13	$5 17	$5 18	$0 00	($0 01)	$0 00
	1 004	10/31/12	08/30/13	$4 84	$4 85	$0 00	($0 01)	$0 00
	1 128	11/30/12	08/30/13	$5 44	$5 45	$0 00	($0.01)	$0 00
	0.813	12/31/12	08/30/13	$3 92	$3 92	$0.00	$0.00	$0.00
	0.714	01/31/13	08/30/13	$3 44	$3 45	$0 00	($0.01)	$0 00
	0 847	02/28/13	08/30/13	$4 08	$4 09	$0 00	($0 01)	$0.00
	0.679	03/28/13	08/30/13	$3 27	$3.28	$0 00	($0 01)	$0.00
	0 954	04/30/13	08/30/13	$4 60	$4 61	$0 00	($0.01)	$0 00
	0.986	05/31/13	08/30/13	$4 75	$4 76	$0 00	($0 01)	$0 00
	0 772	06/28/13	08/30/13	$3 72	$3 72	$0 00	$0.00	$0 00
	0.855	07/31/13	08/30/13	$4.13	$4.12	$0.00	$0.01	$0.00
Security Subtotal	**10.712**			**$51.64**	**$51.72**	**$0.00**	**($0.08)**	**$0.00**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WELLS FARGO UL S/T MUNI INC I	CUSIP: 949917702		Symbol (Box 1d): SMAIX					
	70,270 967	01/30/13	08/30/13	$338,705 36	$339,422 82	$0.00	($717 46)	$0 00
	298,512 259	03/04/13	08/30/13	$1,438,826 10	$1,441,873 91	$0 00	($3,047 81)	$0 00
	75,925 726	04/30/13	08/30/13	$365,961 24	$366,037.93	$0 00	($76 69)	$0 00
	370,844.606	05/29/13	08/30/13	$1,787,468.95	$1,787,508.09	$0.00	($39.14)	$0.00
Security Subtotal	815,553.558			$3,930,961.65	$3,934,842.75	$0.00	($3,881.10)	$0.00
Total Short Term Covered Securities				**$3,965,527.48**	**$3,969,241.58**	**$0.00**	**($3,714.10)**	**$0.00**

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

THE GROSSMAN FAMILY FOUNDATION
CASH
133 RIVER ROAD
COS COB CT 06807-2539

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 26-3919092
Account Number: 042 300

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GOLDMAN SACHS LCL EMRGMKT DB I	CUSIP: 38145N303		Symbol (Box 1d): GIMDX					
	58,893 581	07/19/13	12/20/13	$500,000 00	$529,447 40	$0 00	($29,447 40)	$0 00
Total Short Term Noncovered Securities				**$500,000.00**	**$529,447.40**	**$0.00**	**($29,447.40)**	**$0.00**
Total Short Term Covered and Noncovered Securities				**$4,465,527.48**	**$4,498,688.98**	**$0.00**	**($33,161.50)**	**$0.00**

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WELLS FARGO UL S/T MUN INC A	CUSIP: 949917884	Symbol (Box 1d): SMAVX						
	663 212	02/29/12	08/30/13	$3,195 54	$3,196 62	$0 00	($1.08)	$0 00
	509 365	03/30/12	08/30/13	$2,454 26	$2,455.14	$0.00	($0 88)	$0 00
	472 143	04/30/12	08/30/13	$2,274 92	$2,275 73	$0 00	($0 81)	$0 00
	49 147	05/31/12	08/30/13	$236 80	$236 89	$0 00	($0 09)	$0 00
	11 303	06/29/12	08/30/13	$54 46	$54 48	$0 00	($0 02)	$0 00
	1.290	07/31/12	08/30/13	$6.22	$6.22	$0.00	$0.00	$0.00
Security Subtotal	1,706.460			$8,222.20	$8,225.08	$0.00	($2.88)	$0.00
Total Long Term Covered Securities				**$8,222.20**	**$8,225.08**	**$0.00**	**($2.88)**	**$0.00**

Morgan Stanley

Corporate Tax Statement
Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GOLDMAN SACHS CORE FIX INC I	CUSIP: 38141W810	Symbol (Box 1d): GSFIX						
	9,728 259	08/26/09	08/30/13	$100,000.00	$88,819 00	$0.00	$11,181.00	$0 00
	198,602 490	08/26/09	09/05/13	$2,019,781 37	$1,813,240 73	$0 00	$206,540 64	$0 00
	102.465	08/31/09	09/05/13	$1,042 07	$938 58	$0.00	$103 49	$0 00
	3,372 880	09/30/09	09/05/13	$34,302 09	$31,468 63	$0 00	$2,833 46	$0 00
	43,743 846	10/07/09	09/05/13	$444,874.47	$410,750 34	$0.00	$34,124.13	$0.00
	14,663 343	10/07/09	09/13/13	$150,000.00	$137,687.32	$0.00	$12,312.68	$0 00
	4,509 549	05/25/11	09/24/13	$46,671 97	$45,185 23	$0 00	$1,486 74	$0 00
	9,750.624	06/01/11	09/24/13	$100,914 92	$98,383 80	$0.00	$2,531.12	$0 00
	9,662 415	05/25/11	09/26/13	$100,000 00	$96,816.43	$0.00	$3,183 57	$0 00
	9,615 962	10/07/09	10/18/13	$100,000 00	$90,292.92	$0.00	$9,707 08	$0.00
	64,305 072	10/07/09	10/23/13	$671,341 73	$603,818 20	$0.00	$67,523.53	$0 00
	4,364 034	10/30/09	10/23/13	$45,560 30	$41,196.48	$0 00	$4,363 82	$0.00
	4,091 546	11/30/09	10/23/13	$42,715 54	$39,033 35	$0 00	$3,682 19	$0 00
	3,966 091	12/31/09	10/23/13	$41,405 79	$37,281 26	$0 00	$4,124 53	$0 00
	3,582 236	01/29/10	10/23/13	$37,398 36	$34,246 18	$0.00	$3,152 18	$0.00
	38,538 074	05/25/11	10/23/13	$402,335 56	$386,147 65	$0.00	$16,187 91	$0 00
	95,877 852	10/07/09	10/24/13	$1,000,000 00	$900,283 44	$0.00	$99,716 56	$0 00
	123,231.625	10/07/09	10/29/13	$1,286,532.17	$1,157,132.64	$0.00	$129,399.53	$0.00
Security Subtotal	**641,708.363**			**$6,624,876.34**	**$6,012,722.18**	**$0.00**	**$612,154.16**	**$0.00**
GOLDMAN SACHS HIGH YLD INSTL	CUSIP: 38141W679	Symbol (Box 1d): GSHIX						
	68,213 711	10/07/09	11/13/13	$500,000 00	$459,760.41	$0 00	$40,239 59	$0.00
GOLDMAN SACHS INV GRD CR INST	CUSIP: 38143H845	Symbol (Box 1d): GSGDX						
	12,195 758	08/26/09	10/29/13	$115,000 00	$107,688 54	$0 00	$7,311 46	$0 00
	201,250 226	08/26/09	11/20/13	$1,877,662 60	$1,777,039 50	$0.00	$100,623 10	$0 00

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Morgan Stanley

Corporate Tax Statement
Tax Year 2013

THE GROSSMAN FAMILY FOUNDATION
CASH
133 RIVER ROAD
COS COB CT 06807-2539

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number: 26-3919092
Account Number: 042 300

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked) **(Continued)**

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GOLDMAN SACHS INV GRD CR	3,224 459	08/31/09	11/20/13	$30,084 17	$28,568.71	$0 00	$1,515 46	$0 00
	4,968 677	09/30/09	11/20/13	$46,357 71	$44,668.41	$0 00	$1,689 30	$0 00
	364,640.884	10/07/09	11/20/13	$3,402,095 80	$3,299,963 54	$0.00	$102,132 26	$0.00
	6,011 672	10/30/09	11/20/13	$56,088 84	$54,285 40	$0 00	$1,803 44	$0 00
	6,492 409	11/30/09	11/20/13	$60,574 11	$59,340 62	$0 00	$1,233.49	$0 00
	7,657 168	12/31/09	11/20/13	$71,441 30	$68,990.32	$0 00	$2,450 98	$0 00
	6,008 897	01/29/10	11/20/13	$56,062 95	$55,041.50	$0 00	$1,021 45	$0 00
	55,782.748	07/22/10	11/20/13	$520,452.48	$523,794.43	$0.00	($3,341.95)	$0.00
Security Subtotal	668,232.898			$6,235,819.96	$6,019,380.97	$0.00	$216,438.99	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked) **(Continued)**

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WELLS FARGO UL S/T MUN INC A	CUSIP: 949917884		Symbol (Box 1d): SMAVX					
	87 647	11/30/11	08/30/13	$422.31	$421 57	$0 00	$0.74	$0 00
	1,109 385	12/30/11	08/30/13	$5,345 33	$5,336 03	$0 00	$9.30	$0 00
	883.160	01/31/12	08/30/13	$4,255.31	$4,256.74	$0.00	($1.43)	$0.00
Security Subtotal	2,080.192			$10,022.95	$10,014.34	$0.00	$8.61	$0.00
Total Long Term Noncovered Securities				**$13,370,719.25**	**$12,501,877.90**	**$0.00**	**$868,841.35**	**$0.00**
Total Long Term Covered and Noncovered Securities				**$13,378,941.45**	**$12,510,102.98**	**$0.00**	**$868,838.47**	**$0.00**
Total Short and Long Term, Covered and Noncovered Securities				**$17,844,468.93**	**$17,008,791.96**	**$0.00**	**$835,676.97**	**$0.00**

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	**$17,844,468.93**				
Total Cost or Other Basis (Box 3)		**$3,977,466.66**			
Total Wash Sale Loss Disallowed (Box 5)			**$0.00**		
Total Fed Tax Withheld (Box 4)					**$0.00**

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 328 KB
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AEGON N V 6 500% CALLABLE 12/15/10					*CUSIP* 007924400		*Symbol (Box 1d)* AED			
Sell	**420.0000**		08/09/12	04/10/13	**10,547.27**	**10,479.00**				**68.27**
Description (Box 8) AEGON NV 8 000% DUE 02/15/42 CALLABLE					*CUSIP* 007924608		*Symbol (Box 1d)* AEK			
Sell	**590.0000**		04/11/13	11/07/13	**16,077.22**	**16,992.00**				**(914.78)**
Description (Box 8) AEGON NV SHS SPON ADR 6 375% PREFERRED CALLABLE 6/15/15					*CUSIP* 007924301		*Symbol (Box 1d)* AEH			
Sell	**625.0000**		05/15/13	11/07/13	**15,187.30**	**16,687.50**				**(1,500.20)**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AEGON NV 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* N00927306		*Symbol (Box 1d)* AEV CL			
Sell	**570.0000**		08/09/12	04/10/13	**14,369.38**	**14,363.94**				**5.44**
Description (Box 8) AFFILIATED MANAGERS GROU 6 375% DUE 08/15/42 CALLABLE					*CUSIP* 008252876		*Symbol (Box 1d)* MGR			
Sell	**750.0000**		09/07/12	04/10/13	**20,039.55**	**19,215.00**				**824.55**
Description (Box 8) AFLAC INC 5 500% DUE 09/15/52 CALLABLE					*CUSIP* 001055300		*Symbol (Box 1d)* AFSD			
Sell	**1,215.0000**		09/24/12	04/10/13	**31,833.02**	**30,169.42**				**1,663.60**
Description (Box 8) ALLIANZ SE 8 375% PERPETUAL, CALLABLE					*CUSIP* 018805200					
Redemption	2,100 0000		08/21/12	06/15/13	52,500 00	55,650 00				(3,150 00)
Redemption	1,955 0000		01/04/13	06/15/13	48,875 00	50,145 75				(1,270 75)
Sub Total	**4,055.0000**				**101,375.00**	**105,795.75**				**(4,420.75)**
Description (Box 8) AMERICAN FINANCIAL GROUP (AFG) 6 375% DUE 06/12/42 CALLABLE					*CUSIP* 025932401		*Symbol (Box 1d)* AFW			
Sell	**310.0000**		06/11/12	04/10/13	**8,332.61**	**7,701.73**				**630.88**
Description (Box 8) AMERICAN FINANCIAL GROUP 7 000% DUE 09/30/50 CALLABLE					*CUSIP* 025932302		*Symbol (Box 1d)* AFQ			
Sell	175 0000		02/06/13	04/10/13	4,673 52	4,646 25				27 27
Sell	165 0000		02/06/13	11/07/13	4,235 50	4,380 75				(145 25)
Sub Total	**340.0000**				**8,909.02**	**9,027.00**				**(117.98)**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8) AMERICAN INTL GROUP INC 6 450% SERIES A-4 DUE 06/15/2077 CALLABLE					*CUSIP* 026874800		*Symbol (Box 1d)* AFF CL			
Redemption	**560.0000**		08/09/12	06/17/13	**14,000.00**	**14,027.94**				**(27.94)**
Description (Box 8) APOLLO INVMT CORP 6 625% DUE 10/15/42 CALLABLE					*CUSIP* 03761U304		*Symbol (Box 1d)* AIB			
Sell	**190.0000**		10/12/12	04/10/13	**4,809.68**	**4,685.40**				**124.28**
Description (Box 8) ARES CAPITAL (ARCC) RATE 7 000% QUARTERLY MATURITY 02/15/2022					*CUSIP* 04010L509		*Symbol (Box 1d)* ARN			
Sell	**210.0000**		07/24/13	11/07/13	**5,441.03**	**5,439.00**				**2.03**
Description (Box 8) ASPEN INSURANCE HOLDINGS 5 950% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* G05384154		*Symbol (Box 1d)* AHL PRC			
Sell	590 0000		05/01/13	11/07/13	14,002 17	15,292 80				(1,290 63)
Sell	30 0000		05/07/13	11/07/13	711 97	780 00				(68 03)
Sub Total	**620.0000**				**14,714.14**	**16,072.80**				**(1,358.66)**
Description (Box 8) AXIS CAPITAL HOLDINGS LT 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* G0692U117		*Symbol (Box 1d)* AXS PRD			
Sell	**340.0000**		05/14/13	11/07/13	**6,742.11**	**8,523.80**				**(1,781.69)**
Description (Box 8) BANK OF NEW YORK MELLON 5 200% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 064058209		*Symbol (Box 1d)* BK PRC			
Sell	**3,060.0000**		09/13/12	03/04/13	**76,865.48**	**76,316.40**				**549.08**
Description (Box 8) BARCLAYS BANK PLC 8 125% SER 5 PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 06739H362		*Symbol (Box 1d)* BCS PRD			
Sell	**385.0000**		10/15/13	11/07/13	**9,841.59**	**9,763.60**				**77.99**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) BB&T CORPORATION (BBT) SER E 5 625% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 054937404		*Symbol (Box 1d)* BBT PRE			
Sell	1,115 0000		07/25/12	03/12/13	28,465 31	27,761 72				703 59
Sell	395 0000		08/09/12	03/12/13	10,084 13	9,930 30				153 83
Sell	1,490 0000		08/16/12	03/12/13	38,038 84	37,540 85				497 99
Sell	1,420 0000		09/05/12	03/12/13	36,251 79	36,185 72				66 07
Sell	390 0000		09/05/12	04/10/13	10,081 27	9,938 33				142 94
Sub Total	**4,810.0000**				**122,921.34**	**121,356.92**				**1,564.42**
Description (Box 8) CAPITAL ONE FINANCIAL CORP (COF) SER B 6 000% PAR VALUE - 25 00					*CUSIP* 14040H402		*Symbol (Box 1d)* COF PRP			
Sell	**890.0000**		09/06/12	04/10/13	**22,710.42**	**22,196.42**				**514.00**
Description (Box 8) CHARLES SCHWAB CORP (SCHW) 6 000% PFD CLBL PAR VALUE - 25 00 USD					*CUSIP* 808513204		*Symbol (Box 1d)* SCHW PRB			
Sell	**655.0000**		05/31/12	04/10/13	**17,553.35**	**16,207.32**				**1,346.03**
Description (Box 8) CITIGROUP 6 350% DUE 03/15/67 CALLABLE					*CUSIP* 17311H209		*Symbol (Box 1d)* C PRE			
Sell	**370.0000**		08/22/13	11/07/13	**9,269.45**	**9,157.50**				**111.95**
Description (Box 8) CITIGROUP CAP IX 6 000% DUE 02/14/33 CALLABLE					*CUSIP* 173066200		*Symbol (Box 1d)* C PRS			
Sell	**60.0000**		08/09/12	05/17/13	**1,515.33**	**1,490.79**				**24.54**
Description (Box 8) CITIGROUP CAP XV 6 500% DUE 09/15/66 CALLABLE 04/16/13@25					*CUSIP* 17310G202		*Symbol (Box 1d)* C PRUCL			
Redemption	**1,380.0000**		08/09/12	04/16/13	**34,500.00**	**34,569.00**				**(69.00)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) CITIGROUP CAPITAL XIII FXD/FLT TRUST PFD 7 875% DUE 10/30/2040					*CUSIP* 173080201	*Symbol (Box 1d)* C PRN				
Sell	**725.0000**		06/28/13	11/07/13	**19,866.03**	**20,242.00**	**264.48**			**(111.49)**
Description (Box 8) CITIGROUP INC 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 172967341	*Symbol (Box 1d)* C PRK				
Sell	**205.0000**		10/25/13	11/07/13	**5,124.91**	**5,112.09**				**12.82**
Description (Box 8) CITIGROUP INC SER J 7 125% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 172967358	*Symbol (Box 1d)* C PRJ				
Sell	**405.0000**		09/13/13	11/07/13	**10,502.32**	**10,052.10**				**450.22**
Description (Box 8) COMMONWEALTH REIT 7 500% DUE 11/15/19 CALLABLE					*CUSIP* 203233507	*Symbol (Box 1d)* CWHN				
Sell	**320.0000**		10/08/13	11/07/13	**6,636.68**	**6,681.60**	**44.92**			**0.00**
Description (Box 8) COMMONWEALTH REIT (CWH) 5 750% DUE 08/01/42 CALLABLE					*CUSIP* 203233705	*Symbol (Box 1d)* CWHO				
Sell	500 0000		07/23/12	04/10/13	12,349 72	12,340 00				9 72
Sell	355.0000		07/23/12	04/11/13	8,747 00	8,761 40				(14.40)
Sub Total	**855.0000**				**21,096.72**	**21,101.40**				**(4.68)**
Description (Box 8) COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE					*CUSIP* 222388209	*Symbol (Box 1d)* CFC PRB				
Sell	675 0000		02/22/12	01/29/13	16,927 68	15,835 50				1,092 18
Sell	65 0000		11/12/12	01/29/13	1,630 07	1,627 60				2 47
Sell	714 0000		11/12/12	01/30/13	17,912 29	17,878 56				33 73
Sell	750 0000		11/12/12	01/30/13	18,817 16	18,780 00				37 16
Sell	282 0000		11/12/12	02/07/13	7,075 22	7,061 28				13 94
Sell	564 0000		11/12/12	02/14/13	14,195 00	14,122 56				72 44

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE					*CUSIP* 222388209		*Symbol (Box 1d)* CFC PRB			
Sell	390 0000		10/01/13	11/07/13	9,754 90	9,824 03	61 15			(7 98)
Sell	84 0000		10/01/13	12/16/13	2,133 56	2,115 94				17 62
Sell	276 0000		10/02/13	12/16/13	7,010 28	6,955 20				55 08
Sub Total	**3,800.0000**				**95,456.16**	**94,200.67**	**61.15**			**1,316.64**
Description (Box 8) DEUTSCHE BK CAP FD TR IX 6 625% PERPETUAL CALL 08/20/12					*CUSIP* 25153Y206		*Symbol (Box 1d)* DTT			
Sell	**430.0000**		06/27/13	11/07/13	**10,778.62**	**10,775.80**				**2.82**
Description (Box 8) DISCOVER FINANCIAL SERVI CES (DFS) 6 500 PRF CLBL PAR VALUE - 25 00 USD					*CUSIP* 254709207		*Symbol (Box 1d)* DFS PRB			
Sell	1,120 0000		10/22/12	04/10/13	29,220 15	28,445 76				774 39
Sell	2,270 0000		10/22/12	10/17/13	53,026 28	57,653 46				(4,627 18)
Sub Total	**3,390.0000**				**82,246.43**	**86,099.22**				**(3,852.79)**
Description (Box 8) DOMINION RESOURCES INC 8 3750% DUE 06/15/64					*CUSIP* 25746U604		*Symbol (Box 1d)* DRU			
Sell	510 0000		08/21/13	11/07/13	13,310 82	13,387 50	76 68			0 00
Sell	520 0000		09/20/13	11/07/13	13,571 82	13,516 62				55 20
Sell	130 0000		09/27/13	11/07/13	3,392 95	3,382 60				10 35
Sub Total	**1,160.0000**				**30,275.59**	**30,286.72**	**76.68**			**65.55**
Description (Box 8) DUKE ENERGY CORPORATION (DUK) 5 125% DUE 01/15/73 CALL					*CUSIP* 26441C303		*Symbol (Box 1d)* DUKH			
Sell	385 0000		01/10/13	04/10/13	9,824 98	9,744 35				80 63
Sell	395 0000		01/10/13	08/22/13	8,093 41	9,997 45				(1,904 04)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) DUKE ENERGY CORPORATION (DUK) 5 125% DUE 01/15/73 CALL					*CUSIP* 26441C303		*Symbol (Box 1d)* DUKH			
Sell	775 0000		01/16/13	08/22/13	15,879 47	19,483 50				(3,604 03)
Sub Total	**1,555.0000**				**33,797.86**	**39,225.30**				**(5,427.44)**
Description (Box 8) ENTERGY LOUISIANA LLC 5 250% DUE 07/01/52 CALLABLE					*CUSIP* 29364W504		*Symbol (Box 1d)* ELJ			
Sell	244 0000		06/27/12	04/10/13	6,280 42	6,136 60				143 82
Sell	316 0000		06/27/12	04/11/13	8,098 90	7,947 40				151 50
Sub Total	**560.0000**				**14,379.32**	**14,084.00**				**295.32**
Description (Box 8) ENTERGY MISS INC 1ST MTG 6 000% DUE 05/01/51 CALLABLE					*CUSIP* 29364N835		*Symbol (Box 1d)* EMZ			
Sell	**160.0000**		08/09/12	04/10/13	**4,284.70**	**4,584.00**				**(299.30)**
Description (Box 8) EPR PROPERTIES CONV CUML SER F 6 625% PFD REIT CLBL PAR VALUE - 25 00					*CUSIP* 26884U406		*Symbol (Box 1d)* EPR PRF			
Sell	205 0000		02/04/13	04/11/13	5,225 35	5,149 40				75 95
Sell	180 0000		02/04/13	11/07/13	3,875 35	4,521 42				(646 07)
Sub Total	**385.0000**				**9,100.70**	**9,670.82**				**(570.12)**
Description (Box 8) FIRST NIAGARA FINL GROUP 8 625% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 33582V207		*Symbol (Box 1d)* FNFG PRB			
Sell	640 0000		01/03/13	11/07/13	18,197 76	18,048 00				149 76
Sell	20 0000		06/28/13	11/07/13	568 68	573 00				(4 32)
Sub Total	**660.0000**				**18,766.44**	**18,621.00**				**145.44**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) FIRST REP BANK PFD-B 6 200% SER B DUE 12/30/49 CALLABLE					*CUSIP* 33616C407		*Symbol (Box 1d)* FRC PRB			
Sell	**315.0000**		06/25/12	04/10/13	**8,391.69**	**7,953.75**				**437.94**
Description (Box 8) HARTFORD FINL SVCS GRP D 7 875% DUE 04/15/42 CALLABLE					*CUSIP* 416518504		*Symbol (Box 1d)* HGH			
Sell	500 0000		04/22/13	11/07/13	14,536 85	15,190 00				(653 15)
Sell	35 0000		09/18/13	11/07/13	1,017 58	966 89				50 69
Sub Total	**535.0000**				**15,554.43**	**16,156.89**				**(602.46)**
Description (Box 8) HSBC HOLDINGS PLC 8 000% PERPETUAL					*CUSIP* 404280802		*Symbol (Box 1d)* HSEB			
Sell	345 0000		02/19/13	04/10/13	9,777 15	9,635 85				141 30
Sell	410 0000		02/19/13	11/07/13	11,202 24	11,451 30				(249 06)
Sub Total	**755.0000**				**20,979.39**	**21,087.15**				**(107.76)**
Description (Box 8) ING GROEP N V 7 050% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 456837202		*Symbol (Box 1d)* IND			
Sell	**545.0000**		04/23/12	04/10/13	**13,804.54**	**12,380.60**				**1,423.94**
Description (Box 8) INTEGRYS ENERGY GROUP IN 6 000% DUE 08/01/73 CALLABLE					*CUSIP* 45822P204		*Symbol (Box 1d)* IEH			
Sell	**1,180.0000**		08/14/13	11/07/13	**28,539.57**	**29,370.20**				**(830.63)**
Description (Box 8) JPMORGAN CHASE & CO SER J 8 625% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 46625H621		*Symbol (Box 1d)* JPM PRICL			
Redemption	**1,130.0000**		06/28/13	09/03/13	**28,250.00**	**28,713.30**				**(463.30)**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 328 KB
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) JPMORGAN CHASE & CO SER O 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 48126E750		*Symbol (Box 1d)* JPM PRD			
Sell	185 0000		01/24/13	04/10/13	4,741 63	4,723 51				18 12
Sell	585 0000		01/24/13	08/22/13	12,489 53	14,936 52				(2,446 99)
Sub Total	**770.0000**				**17,231.16**	**19,660.03**				**(2,428.87)**
Description (Box 8) KIMCO REALTY CORPORATION (KIM) 5 500% PREF CLBL PAR VALUE - 25 00 USD					*CUSIP* 49446R778		*Symbol (Box 1d)* KIM PRJ			
Sell	1,075 0000		08/02/12	04/10/13	27,186 14	26,498 53				687 61
Sell	1,520 0000		09/17/12	09/06/13	30,627 46	37,787 20				(7,159 74)
Sub Total	**2,595.0000**				**57,813.60**	**64,285.73**				**(6,472.13)**
Description (Box 8) KKR FINANCIAL HOLDINGS LLC (KFN) 7 375% PREF CLBL PAR VAL - 25 00					*CUSIP* 48248A603		*Symbol (Box 1d)* KFN PR			
Sell	**1,565.0000**		01/14/13	04/01/13	**40,689.09**	**39,125.00**				**1,564.09**
Description (Box 8) MORGAN STANLEY CAP TR VI 6 600% DUE 02/01/46 CALLABLE					*CUSIP* 617461207		*Symbol (Box 1d)* MSJ			
Sell	**380.0000**		09/24/13	11/08/13	**9,385.88**	**9,477.20**				**(91.32)**
Description (Box 8) MORGAN STANLEY CAP TR VI 6 600% DUE 10/15/66 CALLABLE					*CUSIP* 61750K208		*Symbol (Box 1d)* MSZ			
Sell	475 0000		08/09/12	01/22/13	12,002 98	11,865 50				137 48
Sell	1,036 0000		08/09/12	02/05/13	26,193 84	25,879 28				314 56
Sell	524 0000		08/09/12	02/08/13	13,219 33	13,089 52				129 81
Sell	765 0000		09/24/13	11/08/13	18,776 44	19,079 10				(302 66)
Sub Total	**2,800.0000**				**70,192.59**	**69,913.40**				**279.19**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) NEXTERA ENERGY CAP HLDGS 5 700% DUE 03/01/72 CALLABLE					*CUSIP* 65339K605		*Symbol (Box 1d)* NEE PRG			
Sell	**1,260.0000**		03/20/12	02/20/13	**33,578.25**	**31,500.00**				**2,078.25**
Description (Box 8) NEXTERA ENERGY CAP HLDGS 8 750% SER F DUE 03/01/69 CALLABLE					*CUSIP* 65339K506		*Symbol (Box 1d)* NEE PRFCL			
Sell	148 0000		10/07/13	11/07/13	3,837 58	3,815 44				22 14
Sell	37 0000		10/16/13	11/07/13	959 39	954 60				4 79
Sub Total	**185.0000**				**4,796.97**	**4,770.04**				**26.93**
Description (Box 8) NEXTERA ENERGY CAPITAL HOLDINGS (NEE) 5 625% DUE 06/15/72 CALLABLE					*CUSIP* 65339K704		*Symbol (Box 1d)* NEE PRH			
Sell	**550.0000**		06/15/12	04/10/13	**14,332.74**	**13,728.00**				**604.74**
Description (Box 8) PNC FINL SERV GRP INC SER Q 5 375% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 693475832		*Symbol (Box 1d)* PNC PRQ			
Sell	725 0000		09/19/12	04/10/13	18,429 09	17,958 25				470 84
Sell	2,355 0000		09/19/12	08/12/13	53,104 33	58,333 35				(5,229 02)
Sub Total	**3,080.0000**				**71,533.42**	**76,291.60**				**(4,758.18)**
Description (Box 8) PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 693475857		*Symbol (Box 1d)* PNC PRP			
Sell	**2,095.0000**		04/19/12	04/10/13	**58,512.04**	**53,166.91**				**5,345.13**
Description (Box 8) PPL CAPITAL FUNDING INC (PPL)5 900% DUE 04/30/73 CALLABLE					*CUSIP* 69352P202		*Symbol (Box 1d)* PPX			
Sell	405 0000		03/13/13	04/10/13	10,308 40	10,125 00				183 40
Sell	1,175 0000		03/13/13	09/23/13	25,673 30	29,375 00				(3,701 70)
Sub Total	**1,580 0000**				**35,981.70**	**39,500.00**				**(3,518.30)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PRUDENTIAL FINANCIAL INC (PRU) 5 750% DUE 12/15/52 CALLABLE					*CUSIP* 744320607		*Symbol (Box 1d)* PJH			
Sell	788 0000		11/07/13	01/03/13	16,996 78	20,069 73				(3,072 95)
Sell	715 0000		01/24/13	04/10/13	18,253 54	18,404 10				(150 56)
Sell	785 0000		01/24/13	10/16/13	16,571 06	20,205 90				(3,634 84)
Sell	265 0000		01/30/13	10/16/13	5,594 05	6,658 02				(1,063 97)
Sell	3 0000		01/30/13	11/07/13	66 63	75 37				(8 74)
Sell	502 0000		02/04/13	11/07/13	11,149 32	12,785 54				(1,636 22)
Sub Total	**3,058.0000**				**68,631.38**	**78,198.66**				**(9,567.28)**
Description (Box 8) PS BUSINESS PARKS INC CUML SER T 6 000% PREFERRED CLBL					*CUSIP* 69360J685		*Symbol (Box 1d)* PSB PRT			
Sell	540 0000		06/06/12	04/10/13	13,998 65	13,392 00				606 65
Sell	404 0000		06/12/12	04/10/13	10,473 06	10,011 12				461 94
Sell	131 0000		06/13/12	04/10/13	3,395 96	3,251 04				144 92
Sell	505 0000		06/21/12	04/10/13	13,091 33	12,643 53				447 80
Sub Total	**1,580.0000**				**40,959.00**	**39,297.69**				**1,661.31**
Description (Box 8). QWEST CORPORATION (CTL) 7.000% DUE 07/01/52 CALLABLE					*CUSIP.* 74913G501		*Symbol (Box 1d).* CTU			
Sell	**455.0000**		08/09/12	04/10/13	**12,124.07**	**12,130.30**				**(6.23)**
Description (Box 8) REGIONS FINANCIAL CORPORATION (RF) 6 375% PAR VAL - 25 00 USD					*CUSIP* 7591EP308		*Symbol (Box 1d)* RF PRA			
Sell	385 0000		10/26/12	04/10/13	9,771 08	9,548 00				223 08
Sell	1,175 0000		10/26/12	10/16/13	26,025 80	29,140 00				(3,114 20)
Sub Total	**1,560 0000**				**35,796.88**	**38,688.00**				**(2,891.12)**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

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Account Number: 328 KB
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) REINSURANCE GROUP OF AME RICA (RGA) 6 200% DUE 09/15/42 CALLABLE					*CUSIP* 759351703		*Symbol (Box 1d)* RZA			
Sell	936 0000		12/11/12	04/10/13	25,655 19	24,948 24				706 95
Sell	114 0000		12/20/12	04/10/13	3,124 67	3,078 00				46 67
Sell	116 0000		12/20/12	11/07/13	2,883 71	3,132 00				(248 29)
Sell	1,044 0000		12/20/12	11/08/13	25,819 76	28,188 00				(2,368 24)
Sub Total	**2,210.0000**				**57,483.33**	**59,346.24**				**(1,862.91)**
Description (Box 8) RENAISSANCERE HOLDINGS L SER E 5 375% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* G7498P119		*Symbol (Box 1d)* RNR PRE			
Sell	610 0000		05/21/13	10/16/13	11,797 20	15,189 00				(3,391 80)
Sell	670 0000		06/13/13	10/16/13	12,957 57	15,255 90				(2,298 33)
Sub Total	**1,280.0000**				**24,754.77**	**30,444.90**				**(5,690.13)**
Description (Box 8) SCANA CORP NEW 7 700% DUE 01/30/65 CALLABLE					*CUSIP* 80589M201		*Symbol (Box 1d)* SCU			
Sell	1 0000		05/09/12	03/21/13	27 43	28 11				(0 68)
Sell	214 0000		05/09/12	03/22/13	5,870 53	6,015 54				(145 01)
Sub Total	**215.0000**				**5,897.96**	**6,043.65**				**(145.69)**
Description (Box 8) SCE TRUST I 5 625% PREF (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25 00					*CUSIP* 78406T201		*Symbol (Box 1d)* SCE PRF			
Sell	2,135 0000		05/14/12	04/10/13	55,964 36	53,353 65				2,610.71
Sell	505 0000		05/17/12	04/10/13	13,237 47	12,309 38				928 09
Sub Total	**2,640.0000**				**69,201.83**	**65,663.03**				**3,538.80**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) SELECTIVE INS GROUP INC 5 875% DUE 02/09/43 CALLABLE					*CUSIP* 816300404		*Symbol (Box 1d)* SGZA			
Sell	395 0000		02/07/13	04/10/13	9,882 72	9,740 70				142 02
Sell	207 0000		02/07/13	11/07/13	4,355 21	5,104 62				(749 41)
Sell	153 0000		02/07/13	11/07/13	3,219 06	3,763 80				(544 74)
Sub Total	**755.0000**				**17,456.99**	**18,609.12**				**(1,152.13)**
Description (Box 8) SENIOR HOUSING PROPRTIES TRUST(SNH) 5 625% DUE 08/01/42 CALLABLE					*CUSIP* 81721M208		*Symbol (Box 1d)* SNHN			
Sell	**1,205.0000**		07/18/12	04/10/13	**30,594.26**	**30,079.69**				**514.57**
Description (Box 8) SOLAR CAP LTD 6 750% DUE 11/15/42 CALLABLE					*CUSIP* 83413U308		*Symbol (Box 1d)* SLRA			
Sell	215 0000		01/09/13	04/10/13	5,368 43	5,181 50				186 93
Sell	185 0000		01/09/13	11/07/13	3,915 46	4,458 50				(543 04)
Sub Total	**400.0000**				**9,283.89**	**9,640.00**				**(356.11)**
Description (Box 8): STANLEY BLACK & DECKER I 5 750% DUE 07/25/52 CALLABLE					*CUSIP.* 854502705		*Symbol (Box 1d)* SWJ			
Sell	**675.0000**		07/19/12	04/10/13	**17,765.94**	**16,903.62**				**862.32**
Description (Box 8) STATE STREET CORPORATION (STT) 5 250% PREFERRED PAR VALUE - 25 00 USD					*CUSIP* 857477509		*Symbol (Box 1d)* STT PRC			
Sell	3,045 0000		08/15/12	03/08/13	76,732 28	75,972 75				759 53
Sell	740 0000		09/06/12	03/08/13	18,647 59	18,603 60				43 99
Sell	715 0000		10/08/12	03/08/13	18,017 59	18,375 50				(357 91)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) STATE STREET CORPORATION (STT) 5 250% PREFERRED PAR VALUE - 25 00 USD					*CUSIP* 857477509		*Symbol (Box 1d)* STT PRC			
Sell	687 0000		10/09/12	03/08/13	17,312 02	17,601 15				(289 13)
Sell	878 0000		10/23/12	03/08/13	22,125 10	22,796 04				(670 94)
Sub Total	**6,065.0000**				**152,834.58**	**153,349.04**				**(514.46)**
Description (Box 8) SUNTRUST BANKS INC 5 875% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 867914889		*Symbol (Box 1d)* STI PRE			
Sell	375 0000		01/22/13	04/10/13	9,421 10	9,397 46				23 64
Sell	286 0000		01/22/13	09/12/13	6,113 14	7,167 13				(1,053 99)
Sell	580 0000		01/24/13	09/12/13	12,397 29	14,529 93				(2,132 64)
Sell	324 0000		01/25/13	09/12/13	6,925 38	8,096 76				(1,171 38)
Sub Total	**1,565.0000**				**34,856.91**	**39,191.28**				**(4,334.37)**
Description (Box 8) TAUBMAN CENTERS INC CUML SER K 6 250% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 876664707		*Symbol (Box 1d)* TCO PRK			
Sell	815 0000		03/13/13	04/10/13	20,561 99	20,278 83				283 16
Sell	1,567 0000		03/13/13	09/25/13	35,303 90	38,990 09				(3,686 19)
Sell	803 0000		03/20/13	09/25/13	18,091 27	19,954 55				(1,863 28)
Sub Total	**3,185.0000**				**73,957.16**	**79,223.47**				**(5,266.31)**
Description (Box 8) TELEPHONE & DATA SYSTEMS (TDS) 5 875% DUE 12/01/61 CALLABLE					*CUSIP* 879433795		*Symbol (Box 1d)* TDA			
Sell	190 0000		02/19/13	04/10/13	4,803 19	4,803 20				(0 01)
Sell	255 0000		02/19/13	11/07/13	5,406 68	6,446 40				(1,039 72)
Sub Total	**445.0000**				**10,209.87**	**11,249.60**				**(1,039.73)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) THE GOLDMAN SACHS GROUP (GS) 5 500% PREF CLBL PAR VALUE - 25 00 USD					*CUSIP* 38145G308	*Symbol (Box 1d)* GS PRJ				
Sell	**810.0000**		04/29/13	11/07/13	**18,349.66**	**20,695.50**				**(2,345.84)**
Description (Box 8) UBS PFD FDG TR IV PFD TR FLTG RT 1 1550% PREFERRED CLBL					*CUSIP* 90263W201	*Symbol (Box 1d)* UBS PRD				
Sell	**350.0000**		09/12/13	11/07/13	**5,224.85**	**5,222.56**				**2.29**
Description (Box 8) US BANCORP DEL SER G PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 902973817	*Symbol (Box 1d)* USB PRN				
Sell	**745.0000**		03/12/13	04/10/13	**20,738.11**	**20,413.00**				**325.11**
Description (Box 8) VORNADO REALTY TRUST (VNO) 5 700% PREF CLBL PAR VALUE - 25 00 USD					*CUSIP* 929042851	*Symbol (Box 1d)* VNO PRK				
Sell	365 0000		07/12/12	04/10/13	9,362 08	9,158 11				203 97
Sell	735 0000		07/12/12	04/18/13	18,889 08	18,441 66				447 42
Sell	410 0000		08/09/12	04/18/13	10,536 76	10,202 31				334 45
Sell	15 0000		08/09/12	04/18/13	386 39	373 26				13 13
Sub Total	**1,525.0000**				**39,174.31**	**38,175.34**				**998.97**
Description (Box 8) WACHOVIA PREFERRED FNDNG 7 25%NONCUM EXCHANGEABLE PERPETUAL SER A PFD SEC					*CUSIP* 92977V206	*Symbol (Box 1d)* WNA PRCL				
Sell	1,615 0000		06/18/12	01/08/13	42,958 04	43,508 10				(550 06)
Sell	1,215 0000		08/09/12	01/08/13	32,318 27	33,084 45				(766 18)
Sub Total	**2,830.0000**				**75,276.31**	**76,592.55**				**(1,316.24)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) WEBSTER FINANCIAL CORP D SER E 6 400% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 947890406		*Symbol (Box 1d)* WBS PRE			
Sell	190 0000		01/24/13	04/10/13	4,850 59	4,826 00				24 59
Sell	585 0000		01/24/13	10/29/13	13,378 72	14,859 00				(1,480 28)
Sub Total	**775.0000**				**18,229.31**	**19,685.00**				**(1,455.69)**
Description (Box 8) WELLS FARGO & CO (WFC) 5 850% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 949746556		*Symbol (Box 1d)* WFC PRQ			
Sell	**845.0000**		07/16/13	11/07/13	**20,440.27**	**21,098.72**				**(658.45)**
Description (Box 8) WELLS FARGO & CO NEW 8 000% PREFERRED CLBL					*CUSIP* 949746879		*Symbol (Box 1d)* WFC PRJ			
Sell	824 0000		03/05/13	04/10/13	24,724 97	23,854 80				870 17
Sell	286 0000		03/05/13	04/11/13	8,582 87	8,279 70				303 17
Sell	65 0000		03/05/13	05/21/13	2,026 01	1,881 75				144 26
Sell	1,080 0000		03/05/13	11/07/13	30,936 06	31,266 00	103 87			(226 07)
Sub Total	**2,255.0000**				**66,269.91**	**65,282.25**	**103.87**			**1,091.53**
Description (Box 8)·ZIONS BANCORP 9 500% PREFERRED CLBL					*CUSIP* 989701503		*Symbol (Box 1d)* ZB PRCCL			
Sell	750 0000		01/15/13	04/10/13	19,379 71	19,590 00	210 29			0 00
Sell	10 0000		03/08/13	04/10/13	258 40	258 30				0 10
Redemption	1,530 0000		03/08/13	09/15/13	38,250 00	39,519 90				(1,269 90)
Redemption	750 0000		03/22/13	09/15/13	18,750 00	19,597 79				(847 79)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) ZIONS BANCORP 9 500% PREFERRED CLBL					*CUSIP* 989701503	*Symbol (Box 1d)* ZB PRCCL				
Redemption	30 0000		03/22/13	09/15/13	750 00	775 50				(25 50)
Sub Total	**3,070.0000**				**77,388.11**	**79,741.49**	**210.29**			**(2,143.09)**
Short-term total Box A, Part I	**98,833.0000**				**$2,462,333.49**	**$2,513,019.45**	**$761.39**			**$(49,924.57)**

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AEGON N V PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 007924509	*Symbol (Box 1d)* AEB				
Sell	1,080 0000		11/23/11	04/10/13	26,880 60	17,766 00				9,114 60
Sell	30 0000		11/29/11	04/10/13	746 68	508 20				238 48
Sell	1,010 0000		11/29/11	11/07/13	20,919 67	17,109 40				3,810 27
Sub Total	**2,120.0000**				**48,546.95**	**35,383.60**				**13,163.35**
Description (Box 8) AEGON NV 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* N00927306	*Symbol (Box 1d)* AEV CL				
Sell	720 0000		11/22/11	01/18/13	18,218 04	15,120 00				3,098 04
Sell	980 0000		11/22/11	04/10/13	24,705 24	20,580 00				4,125 24
Sub Total	**1,700.0000**				**42,923.28**	**35,700.00**				**7,223.28**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AFFILIATED MANAGERS GROU 6 375% DUE 08/15/42 CALLABLE					*CUSIP* 008252876	*Symbol (Box 1d)* MGR				
Sell	**1,085.0000**		09/07/12	11/08/13	**24,976.27**	**27,797.70**				**(2,821.43)**
Description (Box 8) AFLAC INC 5 500% DUE 09/15/52 CALLABLE					*CUSIP* 001055300	*Symbol (Box 1d)* AFSD				
Sell	585 0000		09/24/12	10/18/13	12,741 08	14,526 02				(1,784 94)
Sell	1,215 0000		09/24/12	11/07/13	27,495 09	30,169 42				(2,674 33)
Sub Total	**1,800.0000**				**40,236.17**	**44,695.44**				**(4,459.27)**
Description (Box 8) ALLIANZ SE 8 375% PERPETUAL, CALLABLE					*CUSIP* 018805200					
Redemption	1,800 0000		11/23/11	06/15/13	45,000 00	45,396 00				(396 00)
Redemption	270 0000		03/01/12	06/15/13	6,750 00	7,047 00				(297 00)
Sub Total	**2,070.0000**				**51,750.00**	**52,443.00**				**(693.00)**
Description (Box 8) ALLIED CAPITAL CORP NEW 6 875% DUE 04/15/47 CALLABLE					*CUSIP* 01903Q207	*Symbol (Box 1d)* AFC				
Sell	335 0000		04/21/11	04/10/13	8,418 53	7,822 25				596 28
Sell	285 0000		04/21/11	05/07/13	7,267 34	6,654 75				612 59
Sell	5 0000		04/21/11	05/23/13	126 75	116 75				10 00
Sell	100 0000		11/22/11	05/23/13	2,534 96	2,280 00				254 96
Sell	200 0000		11/25/11	05/23/13	5,069 91	4,570 00				499 91
Sell	100 0000		11/25/11	11/07/13	2,335 06	2,285 00				50 06
Sell	120 0000		08/13/12	11/07/13	2,802 07	3,016 80				(214 73)
Sub Total	**1,145.0000**				**28,554.62**	**26,745.55**				**1,809.07**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AMERICAN FINANCIAL GROUP (AFG) 6 375% DUE 06/12/42 CALLABLE					*CUSIP* 025932401		*Symbol (Box 1d)* AFW			
Sell	**385.0000**		06/11/12	11/07/13	**9,344.71**	**9,565.06**				**(220.35)**
Description (Box 8) AMERICAN INTL GROUP INC 6 450% SERIES A-4 DUE 06/15/2077 CALLABLE					*CUSIP* 026874800		*Symbol (Box 1d)* AFF CL			
Sell	255 0000		02/15/12	04/10/13	6,453 93	5,801 71				652 22
Sell	255 0000		03/09/12	04/10/13	6,453 93	6,059 05				394 88
Redemption	468 0000		03/09/12	06/17/13	11,700 00	11,120 15				579 85
Redemption	742 0000		03/12/12	06/17/13	18,550 00	17,396 34				1,153 66
Sub Total	**1,720.0000**				**43,157.86**	**40,377.25**				**2,780.61**
Description (Box 8) APOLLO INVMT CORP 6 625% DUE 10/15/42 CALLABLE					*CUSIP* 03761U304		*Symbol (Box 1d)* AIB			
Sell	**175.0000**		10/12/12	11/07/13	**3,715.94**	**4,315.50**				**(599.56)**
Description (Box 8) ARCH CPTL GRP LTD (ACGL) 6 750% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* G0450A204		*Symbol (Box 1d)* ARH PRC			
Sell	1,235 0000		04/09/12	04/10/13	33,846 64	31,233 64				2,613 00
Sell	1,725 0000		04/09/12	11/07/13	42,158 44	43,625 94	43 39			(1,424 11)
Sub Total	**2,960.0000**				**76,005.08**	**74,859.58**	**43.39**			**1,188.89**
Description (Box 8) ARES CAPITAL CORP 7 750% DUE 10/15/40					*CUSIP* 04010L202		*Symbol (Box 1d)* ARY			
Sell	426 0000		02/28/11	04/10/13	11,378 30	10,471 08				907 22
Sell	149 0000		02/28/11	04/11/13	3,981 21	3,662 42				318 79
Sell	275 0000		04/27/11	04/11/13	7,347 86	6,828 25				519 61
Sell	274 0000		04/27/11	11/07/13	6,968 25	6,803 42				164 83

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

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Description (Box 8) ARES CAPITAL CORP 7 750% DUE 10/15/40					*CUSIP* 04010L202		*Symbol (Box 1d)* ARY			
Sell	626 0000		04/28/11	11/07/13	15,920 15	15,618 70				301 45
Sell	25 0000		07/01/11	11/07/13	635 79	627 50				8 29
Sell	380 0000		11/23/11	11/07/13	9,663 99	9,481 00				182 99
Sub Total	**2,155.0000**				**55,895.55**	**53,492.37**				**2,403.18**
Description (Box 8) ASPEN INSURANCE HOLDINGS LIMITED (AHL) 7 250% PAR VALUE - 25 00 USD					*CUSIP* G05384147		*Symbol (Box 1d)* AHL PRB			
Sell	320 0000		04/04/12	04/10/13	8,669 95	7,936 00				733 95
Sell	400 0000		04/04/12	11/07/13	10,022 55	9,920 00				102 55
Sub Total	**720.0000**				**18,692.50**	**17,856.00**				**836.50**
Description (Box 8) ASPEN INSURANCE HOLDINGS LTD 7 401% PREFERRED CLBL PAR VALUE -					*CUSIP* G05384139		*Symbol (Box 1d)* AHL PRA			
Sell	**295.0000**		11/22/11	11/07/13	**7,546.32**	**7,286.50**				**259.82**
Description (Box 8) ASSURED GUARNTY MUNI HLD 6 250% DUE 11/01/02 CALLABLE					*CUSIP* 04623A304		*Symbol (Box 1d)* AGO PRE			
Sell	191 0000		02/08/12	04/10/13	4,792 10	4,622 18				169 92
Sell	469 0000		02/22/12	04/10/13	11,767 00	11,469 40				297 60
Sell	409 0000		02/22/12	05/08/13	10,417 00	10,002 09				414 91
Sell	176 0000		02/22/12	05/10/13	4,482 62	4,304 08				178 54
Sell	343 0000		02/22/12	11/07/13	7,627 33	8,388 07				(760 74)
Sell	267 0000		02/23/12	11/07/13	5,937 31	6,541 47				(604 16)
Sub Total	**1,855.0000**				**45,023.36**	**45,327.29**				**(303.93)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AVIVA PLC 8 250% DUE 12/01/41 CALLABLE					*CUSIP* 05382A203		*Symbol (Box 1d)* AVV			
Sell	600 0000		11/21/11	04/10/13	17,075 62	14,820 00				2,255 62
Sell	315 0000		11/25/11	04/10/13	8,964 70	7,607 25				1,357 45
Sell	85 0000		11/25/11	11/07/13	2,294 25	2,052 75				241 50
Sell	1,000 0000		12/20/11	11/07/13	26,991 23	24,845 90				2,145 33
Sell	120 0000		01/27/12	11/07/13	3,238 95	3,117 26				121 69
Sub Total	**2,120.0000**				**58,564.75**	**52,443.16**				**6,121.59**
Description (Box 8) BARCLAYS BK PLC SER 2 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 06739F390		*Symbol (Box 1d)* BCS PR			
Sell	501 0000		05/19/11	04/10/13	12,732 68	12,479 91				252 77
Sell	199 0000		05/19/11	04/11/13	5,054 51	4,957 09				97 42
Sell	500 0000		05/19/11	11/07/13	12,264 39	12,455 00				(190 61)
Sell	75 0000		06/23/11	11/07/13	1,839 65	1,759 49				80 16
Sell	345 0000		11/22/11	11/07/13	8,462 43	7,038 00				1,424 43
Sub Total	**1,620.0000**				**40,353.66**	**38,689.49**				**1,664.17**
Description (Box 8) BB&T CORPORATION (BBT) SER E 5 625% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* 054937404		*Symbol (Box 1d)* BBT PRE			
Sell	**1,150.0000**		09/05/12	09/10/13	**24,379.58**	**29,305.33**				**(4,925.75)**
Description (Box 8) CAPITAL ONE FINANCIAL CORP (COF) SER B 6 000% PAR VALUE - 25 00					*CUSIP* 14040H402		*Symbol (Box 1d)* COF PRP			
Sell	465 0000		09/06/12	09/10/13	10,164 77	11,597 01				(1,432 24)
Sell	1,215 0000		09/06/12	10/23/13	27,750 12	30,301 85				(2,551 73)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) CAPITAL ONE FINANCIAL CORP (COF) SER B 6 000% PAR VALUE - 25 00					*CUSIP* 14040H402		*Symbol (Box 1d)* COF PRP			
Sell	307 0000		09/06/12	11/07/13	6,747 53	7,656 52				(908 99)
Sell	143 0000		09/07/12	11/07/13	3,142 99	3,570 71				(427 72)
Sub Total	**2,130.0000**				**47,805.41**	**53,126.09**				**(5,320.68)**
Description (Box 8) CHARLES SCHWAB CORP (SCHW) 6 000% PFD CLBL PAR VALUE - 25 00 USD					*CUSIP* 808513204		*Symbol (Box 1d)* SCHW PRB			
Sell	750 0000		05/31/12	11/07/13	17,812 27	18,558 00				(745 73)
Sell	720 0000		05/31/12	11/18/13	16,488 00	17,815 68				(1,327 68)
Sell	755 0000		08/09/12	11/18/13	17,289 50	19,999 87				(2,710 37)
Sub Total	**2,225.0000**				**51,589.77**	**56,373.55**				**(4,783.78)**
Description (Box 8) CITIGROUP CAP IX 6 000% DUE 02/14/33 CALLABLE					*CUSIP* 173066200		*Symbol (Box 1d)* C PRS			
Sell	1,085 0000		02/22/12	04/10/13	27,482 43	25,510 73				1,971 70
Sell	244 0000		02/22/12	05/02/13	6,229 64	5,736 98				492 66
Sell	926 0000		03/06/12	05/02/13	23,642 01	21,981 30				1,660 71
Sell	276 0000		03/06/12	05/03/13	7,059 95	6,551 66				508 29
Sell	33 0000		03/08/12	05/03/13	844 12	792 00				52 12
Sell	153 0000		03/08/12	05/06/13	3,916 21	3,672 00				244 21
Sell	123 0000		03/08/12	05/08/13	3,119 22	2,952 00				167 22
Sell	515 0000		03/08/12	05/17/13	13,006 54	12,360 00				646 54
Sell	495 0000		08/09/12	11/07/13	12,310 49	12,299 02				11 47
Sub Total	**3,850.0000**				**97,610.61**	**91,855.69**				**5,754.92**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) CITIGROUP CAP XV 6 500% DUE 09/15/66 CALLABLE 04/16/13@25					*CUSIP* 17310G202	*Symbol (Box 1d)* C PRUCL				
Redemption	1,250 0000		09/15/11	04/16/13	31,250 00	28,752 75				2,497 25
Redemption	900 0000		11/22/11	04/16/13	22,500 00	20,457 00				2,043 00
Redemption	876 0000		02/27/12	04/16/13	21,900 00	21,630 89				269 11
Redemption	169 0000		02/28/12	04/16/13	4,225 00	4,174 28				50 72
Sub Total	**3,195.0000**				**79,875.00**	**75,014.92**				**4,860.08**
Description (Box 8) COMMONWEALTH REIT (CWH) 5 750% DUE 08/01/42 CALLABLE					*CUSIP* 203233705	*Symbol (Box 1d)* CWHO				
Sell	815 0000		07/23/12	11/07/13	15,688 56	20,114 20				(4,425 64)
Sell	165 0000		08/09/12	11/07/13	3,176 21	4,024 35				(848 14)
Sub Total	**980.0000**				**18,864.77**	**24,138.55**				**(5,273.78)**
Description (Box 8) COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE					*CUSIP* 222388209	*Symbol (Box 1d)* CFC PRB				
Sell	750 0000		11/21/11	01/11/13	19,057 15	15,330 00				3,727 15
Sell	740 0000		11/21/11	01/17/13	18,817 78	15,125 60				3,692 18
Sell	40 0000		11/21/11	01/29/13	1,003 12	817 60				185 52
Sub Total	**1,530.0000**				**38,878.05**	**31,273.20**				**7,604.85**
Description (Box 8) DB CAPITAL FUNDING VIII CALL 10/18/11@25 00 6 375% PREFERRED CLBL					*CUSIP* 25153U204	*Symbol (Box 1d)* DUA				
Sell	925 0000		04/29/11	04/10/13	23,600 66	22,486 75				1,113 91
Sell	50 0000		06/23/11	04/10/13	1,275 71	1,160 00				115 71
Sell	940 0000		11/22/11	04/10/13	23,983 38	17,888 20				6,095 18

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) DB CAPITAL FUNDING VIII CALL 10/18/11@25 00 6 375% PREFERRED CLBL					*CUSIP* 25153U204		*Symbol (Box 1d)* DUA			
Sell	360 0000		11/22/11	11/07/13	8,729 88	6,850 80				1,879 08
Sell	445 0000		08/09/12	11/07/13	10,791 11	11,030 30				(239 19)
Sub Total	**2,720.0000**				**68,380.74**	**59,416.05**				**8,964.69**
Description (Box 8) DIGITAL REALTY TRUST INC SER E 7 000% PREFERRED CLBL					*CUSIP* 253868707		*Symbol (Box 1d)* DLR PRE			
Sell	580 0000		09/12/11	04/10/13	15,566 85	14,471 00				1,095 85
Sell	20 0000		09/12/11	11/07/13	456 98	499 00				(42 02)
Sell	400 0000		11/21/11	11/07/13	9,139 52	10,044 00				(904 48)
Sell	460 0000		03/01/12	11/07/13	10,510 45	12,089 63				(1,579 18)
Sub Total	**1,460.0000**				**35,673.80**	**37,103.63**				**(1,429.83)**
Description (Box 8) DISCOVER FINANCIAL SERVI CES (DFS) 6 500 PRF CLBL PAR VALUE - 25 00 USD					*CUSIP* 254709207		*Symbol (Box 1d)* DFS PRB			
Sell	430 0000		10/22/12	11/07/13	10,263 96	10,921 14				(657 18)
Sell	370 0000		10/22/12	11/20/13	8,602 35	9,397 26				(794 91)
Sub Total	**800.0000**				**18,866.31**	**20,318.40**				**(1,452.09)**
Description (Box 8) DTE ENERGY CORP 6 500% DUE 12/01/61 CALLABLE					*CUSIP* 233331602		*Symbol (Box 1d)* DTZ			
Sell	885 0000		11/29/11	04/10/13	24,257 31	22,082 52				2,174 79
Sell	1,090 0000		11/29/11	11/07/13	26,551 93	27,197 68				(645 75)
Sell	385 0000		02/02/12	11/07/13	9,378 44	10,429 65				(1,051 21)
Sub Total	**2,360.0000**				**60,187.68**	**59,709.85**				**477.83**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) ENDURANCE SPECIALITY HLDG CALL 12/15/15@25 00 SER A					*CUSIP* 29267H208	*Symbol (Box 1d)* ENH PRA				
Sell	75 0000		06/27/11	11/07/13	1,924 34	1,942 50				(18 16)
Sell	935 0000		11/21/11	11/07/13	23,990 10	23,636 80				353 30
Sub Total	**1,010.0000**				**25,914.44**	**25,579.30**				**335.14**
Description (Box 8) ENDURANCE SPECIALTY PFD- SER B 7 500% PREFERRED CLBL PAR VALUE - 25 00					*CUSIP* G30397304	*Symbol (Box 1d)* ENHPRB				
Sell	285 0000		05/25/11	03/25/13	7,751 83	7,125 00				626 83
Sell	740 0000		05/25/11	04/10/13	20,090 41	18,500 00				1,590 41
Sell	70 0000		05/25/11	04/10/13	1,900 44	1,764 00				136 44
Sell	513 0000		05/25/11	04/24/13	13,968 68	12,927.60				1,041 08
Sell	467 0000		05/25/11	04/29/13	12,860 94	11,768 40				1,092 54
Sell	25 0000		06/22/11	04/29/13	688 49	621 50				66 99
Sell	300 0000		11/21/11	04/29/13	8,261 84	7,532 55				729 29
Sell	1,000 0000		11/21/11	11/07/13	25,299 56	25,108 50				191 06
Sell	115 0000		07/24/12	11/07/13	2,909 45	3,053 25				(143 80)
Sell	105 0000		08/03/12	11/07/13	2,656 45	2,819 25				(162 80)
Sub Total	**3,620.0000**				**96,388.09**	**91,220.05**				**5,168.04**
Description (Box 8) ENTERGY LOUISIANA LLC 5 250% DUE 07/01/52 CALLABLE					*CUSIP* 29364W504	*Symbol (Box 1d)* ELJ				
Sell	1,040 0000		06/27/12	08/16/13	21,995 62	26,156 00				(4,160 38)
Sell	455 0000		06/27/12	11/07/13	9,642 19	11,443 25				(1,801 06)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) ENTERGY LOUISIANA LLC 5 250% DUE 07/01/52 CALLABLE					*CUSIP* 29364W504		*Symbol (Box 1d)* ELJ			
Sell	105 0000		06/27/12	11/14/13	2,204 96	2,640 75				(435 79)
Sell	725 0000		08/14/12	11/14/13	15,224 74	19,009 43				(3,784 69)
Sub Total	**2,325.0000**				**49,067.51**	**59,249.43**				**(10,181.92)**
Description (Box 8) ENTERGY MISS INC 1ST MTG 6 000% DUE 05/01/51 CALLABLE					*CUSIP* 29364N835		*Symbol (Box 1d)* EMZ			
Sell	**560.0000**		08/09/12	10/29/13	**13,467.77**	**16,044.00**				**(2,576.23)**
Description (Box 8) FIRST REP BANK CUML SER A 6 700% PREFERRED CLBL					*CUSIP* 33616C209		*Symbol (Box 1d)* FRC PRA			
Sell	396 0000		01/17/12	04/10/13	10,683 88	9,896 04				787 84
Sell	179 0000		01/17/12	04/11/13	4,831 10	4,473 21				357 89
Sell	20 0000		01/17/12	04/12/13	539 80	499 80				40 00
Sell	390 0000		01/17/12	11/07/13	9,552 69	9,746 10				(193 41)
Sell	137 0000		02/28/12	11/07/13	3,355 69	3,527 33				(171 64)
Sell	253 0000		02/29/12	11/07/13	6,197 00	6,489 30				(292 30)
Sub Total	**1,375.0000**				**35,160.16**	**34,631.78**				**528.38**
Description (Box 8) FIRST REP BANK PFD-B 6 200% SER B DUE 12/30/49 CALLABLE					*CUSIP* 33616C407		*Symbol (Box 1d)* FRC PRB			
Sell	363 0000		06/25/12	10/17/13	8,004 01	9,165 75				(1,161 74)
Sell	392 0000		06/25/12	10/21/13	8,682 65	9,898 00				(1,215 35)
Sell	370 0000		08/09/12	10/21/13	8,195 36	9,749 50				(1,554 14)
Sub Total	**1,125.0000**				**24,882.02**	**28,813.25**				**(3,931.23)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) GOLDMAN SACHS GROUP 6 125% DUE 11/01/60 CALLABLE					*CUSIP* 38145X111		*Symbol (Box 1d)* GSF			
Sell	**1,920.0000**		08/09/12	11/07/13	**47,615.36**	**50,419.20**				**(2,803.84)**
Description (Box 8) GOLDMAN SACHS GROUP INC SER D PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 38144G804		*Symbol (Box 1d)* GS PRD			
Sell	625 0000		02/25/11	04/10/13	14,624 74	13,918 75				705 99
Sell	400 0000		03/04/11	04/10/13	9,359 83	8,980 00				379 83
Sell	200 0000		11/21/11	04/10/13	4,679 91	3,630 00				1,049 91
Sell	520 0000		11/21/11	08/22/13	10,566 22	9,438 00				1,128 22
Sell	1,105 0000		11/21/11	11/07/13	21,974 53	20,055 75				1,918 78
Sub Total	**2,850.0000**				**61,205.23**	**56,022.50**				**5,182.73**
Description (Box 8) GOLDMAN SACHS GROUP INC 6 5% DUE 11/01/2061 CALLABLE					*CUSIP* 38144G184		*Symbol (Box 1d)* GSJ			
Sell	380 0000		10/25/11	04/10/13	10,552 36	9,405 00				1,147 36
Sell	1,095 0000		10/26/11	04/10/13	30,407 47	27,331 20				3,076 27
Sell	375 0000		10/28/11	04/10/13	10,413 52	9,299 36				1,114 16
Sub Total	**1,850.0000**				**51,373.35**	**46,035.56**				**5,337.79**
Description (Box 8) HEALTH CARE REIT INC 6 500% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 42217K700		*Symbol (Box 1d)* HCN PRJ			
Sell	318 0000		03/02/12	04/10/13	8,593 31	8,057 23				536 08
Sell	317 0000		03/02/12	04/11/13	8,574 66	8,031 89				542 77
Sell	1,460.0000		03/02/12	09/10/13	34,061 21	36,992 31				(2,931 10)
Sell	740 0000		08/09/12	09/10/13	17,263 90	19,854 20				(2,590 30)
Sub Total	**2,835.0000**				**68,493.08**	**72,935.63**				**(4,442.55)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) HOSPITALITY PROPERTIES T 7 125% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 44106M607		*Symbol (Box 1d)* HPT PRD			
Sell	305 0000		01/13/12	04/10/13	8,243 57	7,576 20				667 37
Sell	342 0000		01/13/12	10/23/13	8,403 47	8,495 28				(91 81)
Sell	373 0000		01/13/12	10/31/13	9,201 75	9,265 32				(63 57)
Sell	390 0000		08/09/12	10/31/13	9,621 13	10,515 96				(894 83)
Sub Total	**1,410.0000**				**35,469.92**	**35,852.76**				**(382.84)**
Description (Box 8) HSBC USA INC NEW SER F PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 40428H805		*Symbol (Box 1d)* HUSI PRF			
Sell	375 0000		07/12/11	04/10/13	8,406 41	8,351 25				55 16
Sell	300 0000		07/12/11	11/07/13	5,808 32	6,681 00				(872 68)
Sell	125 0000		11/23/11	11/07/13	2,420 14	2,118 75				301 39
Sub Total	**800.0000**				**16,634.87**	**17,151.00**				**(516.13)**
Description (Box 8) ING GROEP N V 6 20% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 456837400		*Symbol (Box 1d)* ISP			
Sell	760 0000		02/22/12	04/10/13	18,885 66	15,604 77				3,280 89
Sell	695 0000		02/22/12	11/07/13	16,437 43	14,270 16				2,167 27
Sub Total	**1,455.0000**				**35,323.09**	**29,874.93**				**5,448.16**
Description (Box 8) ING GROEP N V 7 050% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 456837202		*Symbol (Box 1d)* IND			
Sell	**725.0000**		04/23/12	11/07/13	**18,235.97**	**16,469.61**				**1,766.36**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) KIMCO REALTY CORPORATION (KIM) 5 500% PREF CLBL PAR VALUE - 25 00 USD					*CUSIP* 49446R778		*Symbol (Box 1d)* KIM PRJ			
Sell	405 0000		08/02/12	08/21/13	8,184 91	9,983 17				(1,798 26)
Sell	615 0000		08/03/12	08/21/13	12,428 93	15,159 50				(2,730 57)
Sell	9 0000		08/03/12	09/06/13	181 35	221 85				(40 50)
Sell	996 0000		08/09/12	09/06/13	20,069 05	24,480 98				(4,411 93)
Sub Total	**2,025.0000**				**40,864.24**	**49,845.50**				**(8,981.26)**
Description (Box 8) KKR FINANCIAL HOLDINGS 8 375% DUE 11/01/41 CALLABLE					*CUSIP* 48248A405		*Symbol (Box 1d)* KFH			
Sell	890 0000		11/09/11	04/10/13	25,444 80	22,134 30				3,310 50
Sell	295 0000		11/09/11	11/07/13	8,020 64	7,336 65				683 99
Sell	800 0000		11/21/11	11/07/13	21,750 90	19,808 00				1,942 90
Sell	105 0000		01/13/12	11/07/13	2,854 81	2,695 35				159 46
Sub Total	**2,090.0000**				**58,071.15**	**51,974.30**				**6,096.85**
Description (Box 8) LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE					*CUSIP* 539439802		*Symbol (Box 1d)* LYG PRA			
Sell	150 0000		06/23/11	11/07/13	4,044 61	3,895 50				149 11
Sell	1,804 0000		11/23/11	11/07/13	48,643 11	45,532 96				3,110 15
Sub Total	**1,954.0000**				**52,687.72**	**49,428.46**				**3,259.26**
Description (Box 8) MORGAN STANLEY CALL 7/15/11@$25 00 SER A PREFERRED CLBL					*CUSIP* 61747S504		*Symbol (Box 1d)* MS PRA			
Sell	200 0000		01/12/11	04/10/13	4,635 92	3,914 00				721 92
Sell	500 0000		02/24/11	04/10/13	11,589 79	10,290 00				1,299 79
Sell	675 0000		02/25/11	04/10/13	15,646 21	13,965 75				1,680 46

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) MORGAN STANLEY CALL 7/15/11@$25 00 SER A PREFERRED CLBL					*CUSIP* 61747S504		*Symbol (Box 1d)* MS PRA			
Sell	50 0000		11/22/11	04/10/13	1,158 98	787 50				371 48
Sell	760 0000		11/22/11	11/08/13	14,688 87	11,970 00				2,718 87
Sub Total	**2,185.0000**				**47,719.77**	**40,927.25**				**6,792.52**
Description (Box 8) MORGAN STANLEY CAP TR VI 6 600% DUE 10/15/66 CALLABLE					*CUSIP* 61750K208		*Symbol (Box 1d)* MSZ			
Sell	700 0000		09/30/11	01/07/13	17,568 14	15,081 64				2,486 50
Sell	143 0000		09/30/11	01/14/13	3,594 95	3,080 96				513 99
Sell	292 0000		10/03/11	01/14/13	7,340 75	6,304 28				1,036 47
Sell	325 0000		11/22/11	01/14/13	8,170 35	7,159 75				1,010 60
Sell	770 0000		11/22/11	01/16/13	19,349 67	16,963 10				2,386 57
Sell	305 0000		11/22/11	01/22/13	7,707 18	6,719 15				988 03
Sub Total	**2,535.0000**				**63,731.04**	**55,308.88**				**8,422.16**
Description (Box 8) MS CAPITAL TRUST III 6 250% DUE 3/01/33 CALLABLE 3/1/08@25 00					*CUSIP* 617460209		*Symbol (Box 1d)* MWR			
Sell	1,025 0000		09/28/11	04/10/13	25,901 17	22,555 94				3,345 23
Sell	123 0000		09/28/11	09/11/13	3,038 35	2,706 72				331 63
Sell	117 0000		09/28/11	09/12/13	2,895 91	2,574 67				321 24
Sell	213 0000		09/28/11	11/08/13	5,150 25	4,687 24				463 01
Sell	522 0000		09/30/11	11/08/13	12,621 74	11,259 54				1,362 20
Sell	390 0000		11/21/11	11/08/13	9,430 04	8,073 00				1,357 04
Sub Total	**2,390.0000**				**59,037.46**	**51,857.11**				**7,180.35**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) NATIONAL RETAIL PROPERTI CUML SER D 6 625% PREFERRED CLBL PAR VALUE					*CUSIP* 637417601		*Symbol (Box 1d)* NNN PRD			
Sell	965 0000		02/17/12	04/11/13	25,855 15	24,115 35				1,739 80
Sell	1,000 0000		02/17/12	11/08/13	22,519 61	24,990 00				(2,470 39)
Sub Total	**1,965.0000**				**48,374.76**	**49,105.35**				**(730.59)**
Description (Box 8) NEXTERA ENERGY CAP HLDGS 5 700% DUE 03/01/72 CALLABLE					*CUSIP* 65339K605		*Symbol (Box 1d)* NEE PRG			
Sell	1,685 0000		03/20/12	04/10/13	44,593 54	42,125 00				2,468 54
Sell	312 0000		03/20/12	09/10/13	6,783 45	7,800 00				(1,016 55)
Sell	233 0000		03/20/12	09/26/13	5,047 04	5,825 00				(777 96)
Sell	72 0000		03/20/12	10/09/13	1,522 77	1,800 00				(277 23)
Sell	13 0000		03/20/12	10/10/13	274 94	325 00				(50 06)
Sell	500 0000		03/20/12	11/07/13	10,839 81	12,500 00				(1,660 19)
Sell	1,270 0000		03/20/12	11/11/13	27,152 26	31,750 00				(4,597 74)
Sell	805 0000		03/20/12	12/19/13	16,614 91	20,125 00				(3,510 09)
Sub Total	**4,890.0000**				**112,828.72**	**122,250.00**				**(9,421.28)**
Description (Box 8) NEXTERA ENERGY CAPITAL HOLDINGS (NEE) 5 625% DUE 06/15/72 CALLABLE					*CUSIP* 65339K704		*Symbol (Box 1d)* NEE PRH			
Sell	1,600 0000		06/15/12	09/19/13	34,511 40	39,936 00				(5,424 60)
Sell	685 0000		08/09/12	09/19/13	14,775 19	18,426 50				(3,651 31)
Sub Total	**2,285.0000**				**49,286.59**	**58,362.50**				**(9,075.91)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PARTNERRE PERPNC5 SER E 7 250% PREFERRED CLBL PAR VALUE - 25.00 USD					*CUSIP* G68603508		*Symbol (Box 1d)* PRE PRE			
Sell	519 0000		06/15/11	04/10/13	14,397 62	12,938 67				1,458 95
Sell	346 0000		06/15/11	04/11/13	9,602 56	8,625 78				976 78
Sell	640 0000		06/15/11	11/08/13	16,396 51	15,955 20				441 31
Sell	25 0000		06/21/11	11/08/13	640 49	621 75				18 74
Sell	235 0000		11/28/11	11/08/13	6,020 60	6,039 50				(18 90)
Sell	104 0000		11/30/11	11/08/13	2,664 43	2,695 68				(31 25)
Sell	176 0000		12/01/11	11/08/13	4,509 04	4,593 60				(84 56)
Sub Total	**2,045.0000**				**54,231.25**	**51,470.18**				**2,761.07**
Description (Box 8) PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 693475857		*Symbol (Box 1d)* PNC PRP			
Sell	1,560 0000		04/19/12	11/08/13	39,217 72	39,589.68				(371 96)
Sell	890 0000		08/09/12	11/08/13	22,374 21	25,002 41				(2,628 20)
Sub Total	**2,450.0000**				**61,591.93**	**64,592.09**				**(3,000.16)**
Description (Box 8) PS BUSINESS PARKS INC CUML SER T 6 000% PREFERRED CLBL					*CUSIP* 69360J685		*Symbol (Box 1d)* PSB PRT			
Sell	1,312 0000		06/21/12	11/08/13	27,617 12	32,848 15				(5,231 03)
Sell	326 0000		06/22/12	11/08/13	6,862 18	8,176 08				(1,313 90)
Sell	242 0000		07/06/12	11/08/13	5,094 01	6,169 28				(1,075 27)
Sub Total	**1,880.0000**				**39,573.31**	**47,193.51**				**(7,620.20)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8) PUBLIC STORAGE PFD-S RT 5 900% QUARTERLY MAT PERPTUAL FIXED RATE					*CUSIP* 74460W206		*Symbol (Box 1d)* PSA PRS			
Sell	570 0000		01/06/12	04/10/13	15,002 12	14,204 40				797 72
Sell	695 0000		01/06/12	11/07/13	15,512 83	17,319 40				(1,806 57)
Sell	760 0000		01/06/12	12/11/13	16,408 12	18,939 20				(2,531 08)
Sell	815 0000		08/09/12	12/11/13	17,595 54	21,888 94				(4,293 40)
Sub Total	**2,840.0000**				**64,518.61**	**72,351.94**				**(7,833.33)**
Description (Box 8) PUBLIC STORAGE SER T 5 750% PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 74460W404		*Symbol (Box 1d)* PSA PRT			
Sell	750 0000		03/07/12	04/10/13	19,402 15	18,610 65				791 50
Sell	70 0000		03/07/12	04/11/13	1,810 86	1,736 99				73 87
Sell	1,010 0000		03/07/12	09/19/13	22,229 71	25,062 35				(2,832 64)
Sell	615 0000		03/07/12	10/07/13	13,683 51	15,260 73				(1,577 22)
Sell	630 0000		03/07/12	11/11/13	13,544 82	15,632 94				(2,088 12)
Sub Total	**3,075.0000**				**70,671.05**	**76,303.66**				**(5,632.61)**
Description (Box 8) QWEST CORP 7 500% DUE 09/15/51 CALLABLE					*CUSIP* 74913G303		*Symbol (Box 1d)* CTW			
Sell	570 0000		09/15/11	04/10/13	15,429 61	14,187 30				1,242 31
Sell	580 0000		09/15/11	11/07/13	14,702 75	14,436 20				266 55
Sell	245 0000		11/21/11	11/07/13	6,210 64	6,240 15				(29 51)
Sub Total	**1,395.0000**				**36,343.00**	**34,863.65**				**1,479.35**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 328 KB
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) QWEST CORP $25 PAR 40NC5 SR NOTES 7 375% DUE 06/01/51 CALLABLE					*CUSIP* 74913G204	*Symbol (Box 1d)* CTQ				
Sell	1,160 0000		06/02/11	04/10/13	31,019 21	28,768 00				2,251 21
Sell	105 0000		06/06/11	04/10/13	2,807 77	2,616 60				191 17
Sell	1,070 0000		06/06/11	11/07/13	26,899 97	26,664 40				235 57
Sell	600 0000		11/22/11	11/07/13	15,084 10	15,378 00				(293 90)
Sub Total	**2,935.0000**				**75,811.05**	**73,427.00**				**2,384.05**
Description (Box 8) QWEST CORPORATION (CTL) 7 000% DUE 07/01/52 CALLABLE					*CUSIP* 74913G501	*Symbol (Box 1d)* CTU				
Sell	540 0000		08/09/12	10/17/13	12,689 78	14,396 40				(1,706 62)
Sell	420 0000		08/09/12	11/07/13	10,265 46	11,197 20				(931 74)
Sub Total	**960.0000**				**22,955.24**	**25,593.60**				**(2,638.36)**
Description (Box 8) RAYMOND JAMES FINANCIAL 6 900% DUE 03/15/42 CALLABLE					*CUSIP* 754730208	*Symbol (Box 1d)* RJD				
Sell	1,515 0000		03/01/12	04/10/13	41,699 60	37,875 00				3,824 60
Sell	1,975 0000		03/01/12	11/07/13	49,709 89	49,375 00				334 89
Sub Total	**3,490.0000**				**91,409.49**	**87,250.00**				**4,159.49**
Description (Box 8) REGENCY CENTERS CORP SER 6 6 625% PREFERRED CLBL					*CUSIP* 758849707	*Symbol (Box 1d)* REG PRF				
Sell	640 0000		02/28/12	04/11/13	17,166 40	16,227 97				938 43
Sell	466 0000		02/28/12	11/08/13	10,375 86	11,815 99				(1,440 13)
Sell	194 0000		02/29/12	11/08/13	4,319 57	4,906 75				(587 18)
Sub Total	**1,300.0000**				**31,861.83**	**32,950.71**				**(1,088.88)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) SANTANDER FIN PFD SA GTD PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 80281R888		*Symbol (Box 1d)* SAN PRB			
Sell	600 0000		02/09/11	04/10/13	12,239 78	10,980 00				1,259 78
Sell	200 0000		06/21/11	04/10/13	4,079 93	3,690 00				389 93
Sell	230 0000		11/21/11	04/10/13	4,691 92	3,226 90				1,465 02
Sell	540 0000		11/21/11	11/07/13	9,995 23	7,576 20				2,419 03
Sub Total	**1,570.0000**				**31,006.86**	**25,473.10**				**5,533.76**
Description (Box 8) SANTANDER FINANCE PREF S SER 10 10 500% PREFERRED CLBL					*CUSIP* E8683R144		*Symbol (Box 1d)* SAN PRE			
Sell	110 0000		06/15/11	04/10/13	2,995 79	3,100 90				(105 11)
Sell	100 0000		06/21/11	04/10/13	2,723 45	2,812 45				(89 00)
Sell	705 0000		11/22/11	04/10/13	19,200 32	18,358 20				842 12
Sell	1,395 0000		11/22/11	11/07/13	37,467 66	36,325 80				1,141 86
Sell	25 0000		06/13/12	11/07/13	671 46	628 75				42 71
Sub Total	**2,335.0000**				**63,058.68**	**61,226.10**				**1,832.58**
Description (Box 8) SCANA CORP NEW 7 700% DUE 01/30/65 CALLABLE					*CUSIP* 80589M201		*Symbol (Box 1d)* SCU			
Sell	299 0000		12/14/11	03/15/13	8,153 55	8,587 28				(433 73)
Sell	201 0000		12/14/11	03/21/13	5,513 53	5,772 72				(259 19)
Sub Total	**500.0000**				**13,667.08**	**14,360.00**				**(692.92)**
Description (Box 8) SCE TRUST I 5 625% PREF (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25 00					*CUSIP* 78406T201		*Symbol (Box 1d)* SCE PRF			
Sell	315 0000		05/17/12	09/09/13	6,819 66	7,678 12				(858 46)
Sell	60 0000		05/17/12	09/10/13	1,304 38	1,462 50				(158 12)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) SCE TRUST I 5 625% PREF (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25 00					*CUSIP* 78406T201	*Symbol (Box 1d)* SCE PRF				
Sell	200 0000		05/17/12	11/08/13	4,168 65	4,875 00				(706 35)
Sell	580 0000		05/22/12	11/08/13	12,089 08	14,052 41				(1,963 33)
Sell	550 0000		05/23/12	11/08/13	11,463 78	13,337 50				(1,873 72)
Sell	1,080 0000		06/06/12	11/08/13	22,510 69	26,708 40				(4,197 71)
Sell	190 0000		07/30/12	11/08/13	3,960 22	5,022 63				(1,062 41)
Sell	720 0000		07/30/12	12/06/13	14,651 74	19,033 13				(4,381 39)
Sell	910 0000		08/10/12	12/06/13	18,518 18	24,114 91				(5,596 73)
Sub Total	**4,605.0000**				**95,486.38**	**116,284.60**				**(20,798.22)**
Description (Box 8)·SENIOR HOUSING PROPRTIES TRUST(SNH) 5 625% DUE 08/01/42 CALLABLE					*CUSIP.* 81721M208	*Symbol (Box 1d)*·SNHN				
Sell	1,025 0000		07/18/12	11/07/13	20,069 15	25,586 46				(5,517 31)
Sell	360 0000		08/09/12	11/07/13	7,048 68	8,766 00				(1,717 32)
Sub Total	**1,385.0000**				**27,117.83**	**34,352.46**				**(7,234.63)**
Description (Box 8) STANLEY BLACK & DECKER I 5 750% DUE 07/25/52 CALLABLE					*CUSIP* 854502705	*Symbol (Box 1d)* SWJ				
Sell	720 0000		07/19/12	11/07/13	16,271 79	18,030 53				(1,758 74)
Sell	390 0000		07/19/12	11/14/13	8,728 05	9,766 53				(1,038 48)
Sub Total	**1,110.0000**				**24,999.84**	**27,797.06**				**(2,797.22)**
Description (Box 8) TELEPHONE & DATA SYSTEMS 6 875% DUE 11/15/59					*CUSIP* 879433845	*Symbol (Box 1d)* TDE				
Sell	235 0000		01/19/11	04/10/13	6,107 51	5,870 30				237 21
Sell	915 0000		01/19/11	11/07/13	22,366 79	22,856 70				(489 91)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) TELEPHONE & DATA SYSTEMS 6 875% DUE 11/15/59					*CUSIP* 879433845		*Symbol (Box 1d)* TDE			
Sell	175 0000		08/25/11	11/07/13	4,277 80	4,348 75				(70 95)
Sell	205 0000		11/28/11	11/07/13	5,011 14	5,217 15				(206 01)
Sub Total	**1,530.0000**				**37,763.24**	**38,292.90**				**(529.66)**
Description (Box 8) U S CELLULAR CORP 6 950% DUE 05/15/60 CALLABLE					*CUSIP* 911684405		*Symbol (Box 1d)* UZA			
Sell	375 0000		05/24/11	04/10/13	10,019 78	9,356 25				663 53
Sell	500 0000		05/24/11	11/07/13	12,284 78	12,475 00				(190 22)
Sell	100 0000		11/22/11	11/07/13	2,456 96	2,575 00				(118 04)
Sub Total	**975.0000**				**24,761.52**	**24,406.25**				**355.27**
Description (Box 8) US BANCORP DEL SER F PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 902973833		*Symbol (Box 1d)* USB PRM			
Sell	1,215 0000		01/19/12	04/10/13	36,292 94	30,589 81				5,703 13
Sell	1,645 0000		01/19/12	11/07/13	43,973 04	41,415 84				2,557 20
Sub Total	**2,860.0000**				**80,265.98**	**72,005.65**				**8,260.33**
Long-term total Box D, Part II	**131,744.0000**				**$3,196,325.22**	**$3,194,165.56**	**$43.39**			**$2,203.05**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 328 KB
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) AEGON N V 6 500% CALLABLE 12/15/10					*CUSIP* 007924400	*Symbol (Box 1d)* AED				
Sell	175 0000		09/10/09	04/10/13	4,394 69	2,518 23				1,876 46
Sell	1,200 0000		10/16/09	04/10/13	30,135 05	20,015 21				10,119 84
Sell	100 0000		11/05/09	04/10/13	2,511 25	1,584 83				926 42
Sell	25 0000		06/02/10	04/10/13	627 81	421 25				206 56
Sell	50 0000		07/30/10	04/10/13	1,255 63	1,011 00				244 63
Sell	325 0000		08/02/10	04/10/13	8,161 57	6,641 72				1,519 85
Sub Total	**1,875.0000**				**47,086.00**	**32,192.24**				**14,893.76**
Description (Box 8) ALLIANZ SE 8 375% PERPETUAL, CALLABLE					*CUSIP* 018805200					
Sell	1,200 0000		06/22/09	04/11/13	30,455 32	25,440 00				5,015 32
Sell	250 0000		09/04/09	04/11/13	6,344 86	6,067 50				277 36
Sell	25 0000		10/12/09	04/11/13	634 48	606 25				28 23
Sell	570 0000		10/15/09	04/11/13	14,466 28	13,759 80				706 48
Redemption	430 0000		10/15/09	06/15/13	10,750 00	10,380 20				369 80
Redemption	100 0000		07/08/10	06/15/13	2,500 00	2,487 50				12 50
Redemption	375 0000		12/22/10	06/15/13	9,375 00	9,656 25				(281 25)
Sub Total	**2,950.0000**				**74,525.94**	**68,397.50**				**6,128.44**
Description (Box 8) ASPEN INSURANCE HOLDINGS LTD 7 401% PREFERRED CLBL PAR VALUE -					*CUSIP* G05384139	*Symbol (Box 1d)* AHL PRA				
Sell	355 0000		09/15/09	04/10/13	9,777 12	6,950 90				2,826 22
Sell	220 0000		09/15/09	04/11/13	6,030 18	4,307 60				1,722 58

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) ASPEN INSURANCE HOLDINGS LTD 7 401% PREFERRED CLBL PAR VALUE -					*CUSIP* G05384139		*Symbol (Box 1d)* AHL PRA			
Sell	125 0000		09/25/09	04/11/13	3,426 23	2,500 00				926 23
Sell	10 0000		10/15/09	04/11/13	274 10	198 10				76 00
Sell	510 0000		10/15/09	11/07/13	13,046 19	10,102 90				2,943 29
Sub Total	**1,220.0000**				**32,553.82**	**24,059.50**				**8,494.32**
Description (Box 8) ENDURANCE SPECIALITY HLDG CALL 12/15/15@25 00 SER A					*CUSIP* 29267H208		*Symbol (Box 1d)* ENH PRA			
Sell	665 0000		06/05/09	02/28/13	18,061 00	11,571 00				6,490 00
Sell	145 0000		06/05/09	04/10/13	4,009 05	2,523 00				1,486 05
Sell	200 0000		09/03/09	04/10/13	5,529 71	4,096 00				1,433 71
Sell	50 0000		09/16/09	04/10/13	1,382 43	1,055 50				326 93
Sell	645 0000		10/16/09	04/10/13	17,833 34	14,673 75				3,159 59
Sell	55 0000		10/16/09	11/07/13	1,411 18	1,251 25				159 93
Sell	125 0000		06/16/10	11/07/13	3,207 23	2,931 25				275 98
Sub Total	**1,885.0000**				**51,433.94**	**38,101.75**				**13,332.19**
Description (Box 8) GOLDMAN SACHS GROUP INC SER D PREFERRED CLBL PAR VALUE - 25 00 USD					*CUSIP* 38144G804		*Symbol (Box 1d)* GS PRD			
Sell	**375.0000**		11/12/10	04/10/13	**8,774.84**	**8,475.00**				**299.84**
Description (Box 8) LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE					*CUSIP* 539439802		*Symbol (Box 1d)* LYG PRA			
Sell	286 0000		06/30/10	02/06/13	7,947 76	7,055 62				892 14
Sell	224 0000		06/30/10	02/15/13	6,200 67	5,526 08				674 59
Sell	405 0000		06/30/10	04/10/13	11,186 05	9,991 35				1,194 70
Sell	1,090 0000		07/07/10	04/10/13	30,105 68	27,086 50				3,019 18

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE					*CUSIP* 539439802		*Symbol (Box 1d)* LYG PRA			
Sell	325 0000		07/07/10	09/13/13	8,615 60	8,076 25				539 35
Sell	35 0000		07/07/10	11/07/13	943 74	869 75				73 99
Sell	146 0000		07/29/10	11/07/13	3,936 75	3,685 04				251 71
Sell	50 0000		10/04/10	11/07/13	1,348 20	1,330 50				17 70
Sub Total	**2,561.0000**				**70,284.45**	**63,621.09**				**6,663.36**
Description (Box 8) SCANA CORP NEW 7 700% DUE 01/30/65 CALLABLE					*CUSIP* 80589M201		*Symbol (Box 1d)* SCU			
Sell	538 0000		11/17/09	02/05/13	14,617 13	13,450 00				1,167 13
Sell	228 0000		11/17/09	03/07/13	6,226 54	5,700 00				526 54
Sell	180 0000		11/17/09	03/12/13	4,907 07	4,500 00				407 07
Sell	79 0000		11/17/09	03/14/13	2,162 18	1,975 00				187 18
Sell	175 0000		11/17/09	03/15/13	4,772 14	4,375 00				397 14
Sub Total	**1,200.0000**				**32,685.06**	**30,000.00**				**2,685.06**
Description (Box 8) TELEPHONE & DATA SYSTEMS 6 875% DUE 11/15/59					*CUSIP* 879433845		*Symbol (Box 1d)* TDE			
Sell	**450.0000**		12/30/10	04/10/13	**11,695.24**	**11,159.99**				**535.25**
Long-term total Box E, Part II	**12,516.0000**				**$329,039.29**	**$276,007.07**				**$53,032.22**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Payer:
GROSSMAN FAMILY FOUNDATION TST
133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 326 KR
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) BCE INC NEW CAD					*CUSIP* 05534B760		*Symbol (Box 1d)* BCE			
Sell	**25.0000**		03/07/12	01/10/13	**1,067.43**	**1,026.25**				**41.18**
Description (Box 8) BRISTOL MYERS SQUIBB CO					*CUSIP* 110122108		*Symbol (Box 1d)* BMY			
Sell	**854.0000**		08/09/12	07/08/13	**37,520.18**	**27,228.67**				**10,291.51**
Description (Box 8) CENTURYLINK INC					*CUSIP* 156700106		*Symbol (Box 1d)* CTL			
Sell	36 0000		03/07/12	02/15/13	1,172 52	1,392 48				(219 96)
Sell	363 0000		07/23/12	02/15/13	11,822 86	14,964 06				(3,141 20)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) CENTURYLINK INC					*CUSIP* 156700106	*Symbol (Box 1d)* CTL				
Sell	1,909 0000		08/09/12	02/15/13	62,175 88	82,540 31				(20,364 43)
Sub Total	**2,308.0000**				**75,171.26**	**98,896.85**				**(23,725.59)**
Description (Box 8) HEINZ H J CO **MERGER EFF 06/13**					*CUSIP* 423074103					
Sell	30 0000		03/07/12	02/15/13	2,168 07	1,571 40				596 67
Sell	1,353 0000		08/09/12	02/15/13	97,779 80	74,654 21				23,125 59
Sub Total	**1,383.0000**				**99,947.87**	**76,225.61**				**23,722.26**
Description (Box 8) LILLY ELI & CO					*CUSIP* 532457108	*Symbol (Box 1d)* LLY				
Sell	**768.0000**		08/09/12	05/06/13	**41,750.15**	**32,898.59**				**8,851.56**
Description (Box 8) WINDSTREAM CORP **EXCHANGE -EFF-09/2013**					*CUSIP* 97381W104					
Sell	**1,515.0000**		08/09/12	06/03/13	**12,201.60**	**13,521.93**				**(1,320.33)**
Short-term total Box A, Part I	**6,853.0000**				**$267,658.49**	**$249,797.90**				**$17,860.59**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) ASTRAZENECA PLC SPON ADR					*CUSIP* 046353108		*Symbol (Box 1d)* AZN			
Sell	**741.0000**		01/05/11	12/05/13	**41,714.83**	**34,700.29**				**7,014.54**
Description (Box 8) BCE INC NEW CAD					*CUSIP* 05534B760		*Symbol (Box 1d)* BCE			
Sell	36 0000		02/16/11	01/10/13	1,537 11	1,296 00				241 11
Sell	42 0000		08/29/11	01/10/13	1,793 29	1,660 21				133 08
Sell	8 0000		03/07/12	09/17/13	337 39	328 40				8 99
Sell	646 0000		08/09/12	09/17/13	27,244 65	29,040 97				(1,796 32)
Sub Total	**732.0000**				**30,912.44**	**32,325.58**				**(1,413.14)**
Description (Box 8) BRISTOL MYERS SQUIBB CO					*CUSIP* 110122108		*Symbol (Box 1d)* BMY			
Sell	60 0000		02/16/11	07/08/13	2,636 08	1,536 60				1,099 48
Sell	72 0000		08/29/11	07/08/13	3,163 29	2,108 66				1,054 63
Sell	42 0000		03/07/12	07/08/13	1,845 26	1,354 78				490 48
Sub Total	**174.0000**				**7,644.63**	**5,000.04**				**2,644.59**
Description (Box 8) CENTURYLINK INC					*CUSIP* 156700106		*Symbol (Box 1d)* CTL			
Sell	27 0000		02/16/11	02/15/13	879 38	1,142 64				(263 26)
Sell	33 0000		08/29/11	02/15/13	1,074 81	1,161 24				(86 43)
Sell	382 0000		10/14/11	02/15/13	12,441 69	13,176 91				(735 22)
Sell	361 0000		02/07/12	02/15/13	11,757 72	13,520 78				(1,763 06)
Sub Total	**803.0000**				**26,153.60**	**29,001.57**				**(2,847.97)**

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UBS Financial Services Inc.
1000 Harbor Boulevard
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Federal ID 13-2638166

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Payer:
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133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 326 KR
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) HEINZ H J CO **MERGER EFF 06/13**					*CUSIP* 423074103					
Sell	30 0000		02/16/11	02/15/13	2,168 07	1,456 20				711 87
Sell	39 0000		08/29/11	02/15/13	2,818 48	2,015 81				802 67
Sub Total	**69.0000**				**4,986.55**	**3,472.01**				**1,514.54**
Description (Box 8) LILLY ELI & CO					*CUSIP* 532457108		*Symbol (Box 1d)* LLY			
Sell	33 0000		02/16/11	05/06/13	1,793 96	1,136 52				657 44
Sell	45 0000		08/29/11	05/06/13	2,446 29	1,637 51				808 78
Sell	338 0000		02/07/12	05/06/13	18,374 42	13,362 14				5,012 28
Sell	39 0000		03/07/12	05/06/13	2,120 13	1,515 54				604 59
Sub Total	**455.0000**				**24,734.80**	**17,651.71**				**7,083.09**
Description (Box 8). NATIONAL GRID PLC NEW SPON ADR					*CUSIP* 636274300		*Symbol (Box 1d)* NGG			
Sell	**379.0000**		01/05/11	09/13/13	**22,251.91**	**17,129.17**				**5,122.74**
Description (Box 8) WINDSTREAM CORP **EXCHANGE -EFF-09/2013**					*CUSIP* 97381W104					
Sell	33 0000		02/16/11	06/03/13	265 77	404 27				(138 50)
Sell	45 0000		08/29/11	06/03/13	362 43	510 34				(147 91)
Sell	33 0000		03/07/12	06/03/13	265 77	372 67				(106 90)
Sub Total	**111.0000**				**893.97**	**1,287.28**				**(393.31)**
Long-term total Box D, Part II	**3,464.0000**				**$159,292.73**	**$140,567.65**				**$18,725.08**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) BCE INC NEW CAD					*CUSIP* 05534B760		*Symbol (Box 1d)* BCE			
Sell	509 0000		05/27/09	01/10/13	21,732 95	11,274 10				10,458 85
Sell	249 0000		06/09/09	01/10/13	10,631 64	5,657 16				4,974 48
Sell	478 0000		06/19/09	01/10/13	20,409 33	9,980 40				10,428 93
Sell	196 0000		08/28/09	01/10/13	8,368 68	4,802 00				3,566 68
Sell	254 0000		05/18/10	01/10/13	10,845 12	7,862 31				2,982 81
Sell	471 0000		11/24/10	01/10/13	20,110 45	16,097 13				4,013 32
Sub Total	**2,157.0000**				**92,098.17**	**55,673.10**				**36,425.07**
Description (Box 8) BRISTOL MYERS SQUIBB CO					*CUSIP* 110122108		*Symbol (Box 1d)* BMY			
Sell	224 0000		05/27/09	06/03/13	10,788 88	4,396 22				6,392 66
Sell	493 0000		06/09/09	06/03/13	23,745 18	9,597 48				14,147 70
Sell	951 0000		06/19/09	06/03/13	45,804 60	19,588 22				26,216 38
Sell	390 0000		08/28/09	06/03/13	18,784 22	8,622 74				10,161 48
Sell	285 0000		11/24/10	06/03/13	13,726 93	7,318 34				6,408 59
Sell	503 0000		11/24/10	07/08/13	22,099 12	12,916 24				9,182 88
Sub Total	**2,846.0000**				**134,948.93**	**62,439.24**				**72,509.69**
Description (Box 8) CENTURYLINK INC					*CUSIP* 156700106		*Symbol (Box 1d)* CTL			
Sell	200 0000		05/27/09	02/15/13	6,513 97	6,037 94				476 03
Sell	103 0000		06/09/09	02/15/13	3,354 70	3,314 51				40 19
Sell	188 0000		06/19/09	02/15/13	6,123 14	5,863 66				259 48

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) CENTURYLINK INC					*CUSIP* 156700106		*Symbol (Box 1d)* CTL			
Sell	77 0000		08/28/09	02/15/13	2,507 88	2,489 41				18 47
Sell	379 0000		04/12/10	02/15/13	12,343 98	13,687 90				(1,343 92)
Sell	277 0000		05/13/10	02/15/13	9,021 85	9,517 31				(495 46)
Sell	388 0000		11/24/10	02/15/13	12,637 11	16,528 02				(3,890 91)
Sub Total	**1,612.0000**				**52,502.63**	**57,438.75**				**(4,936.12)**
Description (Box 8) GLAXO SMITHKLINE PLC ADR					*CUSIP* 37733W105		*Symbol (Box 1d)* GSK			
Sell	513 0000		05/27/09	04/18/13	25,846 98	17,358 89				8,488 09
Sell	249 0000		06/09/09	04/18/13	12,545 61	8,418 19				4,127 42
Sell	295 0000		06/19/09	04/18/13	14,863 27	10,846 41				4,016 86
Sub Total	**1,057.0000**				**53,255.86**	**36,623.49**				**16,632.37**
Description (Box 8) HEINZ H J CO **MERGER EFF 06/13**					*CUSIP* 423074103					
Sell	438 0000		05/27/09	02/15/13	31,653 77	15,942 54				15,711 23
Sell	233 0000		06/09/09	02/15/13	16,838 65	8,457 74				8,380 91
Sell	547 0000		06/19/09	02/15/13	39,531 08	20,151 32				19,379 76
Sell	224 0000		08/28/09	02/15/13	16,188 23	8,581 37				7,606 86
Sell	456 0000		11/24/10	02/15/13	32,954 61	22,097 40				10,857 21
Sub Total	**1,898.0000**				**137,166.34**	**75,230.37**				**61,935.97**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) LILLY ELI & CO					*CUSIP* 532457108	*Symbol (Box 1d)* LLY				
Sell	277 0000		10/09/09	05/06/13	15,058 32	9,348 12				5,710 20
Sell	468 0000		11/24/10	05/06/13	25,441 50	15,986 51				9,454 99
Sub Total	**745.0000**				**40,499.82**	**25,334.63**				**15,165.19**
Description (Box 8) VODAFONE GROUP PLC NEW SPON ADR					*CUSIP* 92857W209	*Symbol (Box 1d)* VOD				
Sell	757 0000		05/27/09	12/05/13	27,825 85	14,328 65				13,497 20
Sell	371 0000		06/09/09	12/05/13	13,637 24	6,941 41				6,695 83
Sell	17 0000		06/19/09	12/05/13	624 89	335 89				289 00
Sub Total	**1,145.0000**				**42,087.98**	**21,605.95**				**20,482.03**
Description (Box 8) WINDSTREAM CORP **EXCHANGE -EFF-09/2013**					*CUSIP* 97381W104					
Sell	593 0000		05/27/09	06/03/13	4,775 94	4,405 60				370 34
Sell	290 0000		06/09/09	06/03/13	2,335 62	2,174 54				161 08
Sell	558 0000		06/19/09	06/03/13	4,494 05	4,138 59				355 46
Sell	228 0000		08/28/09	06/03/13	1,836 28	1,729 79				106 49
Sell	460 0000		11/24/10	06/03/13	3,704 78	5,612 01				(1,907 23)
Sub Total	**2,129.0000**				**17,146.67**	**18,060.53**				**(913.86)**
Long-term total Box E, Part II	**13,589.0000**				**$569,706.40**	**$352,406.06**				**$217,300.34**
Total					**$996,657.62**					

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Payer:
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133 RIVER ROAD
COS COB CT 06807-2539

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 325 KR
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) BARCLAYS BANK PLC AIRBAG AYON CMI 3/14/2014 6.51%					*CUSIP* 06742C665					
Redemption	**2,000.0000**		03/08/13	09/13/13	**2,000,000.00**	**2,000,000.00**				**0.00**
Description (Box 8) BARCLAYS BANK PLC AIRBAG AYON X 3/31/2014 9 04%					*CUSIP* 06742C525					
Redemption	**500.0000**		03/26/13	09/27/13	**500,000.00**	**500,000.00**				**0.00**
Description (Box 8) BARCLAYS BANK PLC AIRBAG AYON X 5/16/2014 8 59%					*CUSIP* 06742C194					
Redemption	**1,000.0000**		05/10/13	11/15/13	**1,000,000.00**	**1,000,000.00**				**0.00**

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2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: 325 KR
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) DEUTSCHE BANK AG AIRBAG YON X 01/31/2013 9 12%					*CUSIP* 25154X546					
Redemption	**1,000.0000**		07/27/12	01/31/13	**1,000,000.00**	**1,000,000.00**				**0.00**
Description (Box 8) ROYAL BANK OF CANADA AIRBAG AYON RIO 4/21/2014 8 01%					*CUSIP* 78008D414					
Redemption	**1,000.0000**		04/12/13	10/18/13	**1,000,000.00**	**1,000,000.00**				**0.00**
Description (Box 8) ROYAL BANK OF CANADA AIRBAG AYON IP 11/18/2013 8 48%					*CUSIP* 78008W602					
Redemption	**2,000.0000**		11/09/12	02/15/13	**2,000,000.00**	**2,000,000.00**				**0.00**
Description (Box 8) ROYAL BANK OF CANADA AIRBAG AYON IP 6/30/2014 9 00%					*CUSIP* 78008Y764					
Redemption	**2,000.0000**		06/26/13	09/30/13	**2,000,000.00**	**2,000,000.00**				**0.00**
Description (Box 8) ROYAL BANK OF CANADA AIRBAG AYON IP 9/30/2014 6 10%					*CUSIP* 78009Q513					
Redemption	**3,000.0000**		09/20/13	12/24/13	**3,000,000.00**	**3,000,000.00**				**0.00**
Description (Box 8) UBS AG AIRBAG AYON FCX 9/30/2014 7 30%					*CUSIP* 90271M500					
Redemption	**1,000.0000**		09/26/13	12/31/13	**1,000,000.00**	**1,000,000.00**				**0.00**
Short-term total Box B, Part I	**13,500.0000**				**$13,500,000.00**	**$13,500,000.00**				**$0.00**

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Account Number: 325 KR
Tax Identification Number: 26-3919092

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) HSBC USA INC TRIGGER YON CMI 04/19/2013 10 05%					*CUSIP* 40433M401					
Redemption	**8,770.0000**		04/13/12	04/19/13	**999,955.40**	**999,955.40**				**0.00**
Description (Box 8) ROYAL BANK OF CANADA TRIGGER YON WFT 02/08/2013 9 63%					*CUSIP* 78010V261					
Redemption	**114,942.0000**		02/03/12	02/08/13	**1,999,990.80**	**1,999,990.80**				**0.00**
Description (Box 8) UBS AG TRIGGER YON NAV 04/11/2013 9 93%					*CUSIP* 9026M0580					
Redemption	**25,706.0000**		04/05/12	04/11/13	**999,963.40**	**999,963.40**				**0.00**
Long-term total Box E, Part II	**149,418.0000**				**$3,999,909.60**	**$3,999,909.60**				**$0.00**
Total					**$17,499,909.60**					

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES TR INTL	SELEC 464288448	7.00	11/15/12	01/02/13	$ 237 78	$ 219.03	$ 0.00	$ 18.75
ISHARES TR INTL	SELEC 464288448	200.00	11/15/12	01/02/13	$ 6,794.70	$ 6,258.03	$ 0.00	$ 536.67
ISHARES TR INTL	SELEC 464288448	373.00	11/15/12	01/02/13	$ 12,674.05	$ 11,671 22	$ 0.00	$ 1,002 83
ISHARES TR INTL	SELEC 464288448	793.00	11/15/12	01/02/13	$ 26,937.16	$ 24,813.08	$ 0.00	$ 2,124.08
ISHARES TR INTL	SELEC 464288448	2,700.00	11/15/12	01/02/13	$ 91,745.13	$ 84,483 38	$ 0.00	$ 7,261 75
ISHARES TR INTL	SELEC 464288448	5,578 00	11/15/12	01/02/13	$ 189,481 20	$ 174,536.40	$ 0 00	$ 14,944.80
ISHARES TR INTL	SELEC 464288448	6,329.00	11/15/12	01/02/13	$ 215,051 05	$ 198,035.30	$ 0.00	$ 17,015.75
ISHARES TR INTL	SELEC 464288448	200.00	11/15/12	01/17/13	$ 6,942 02	$ 6,258 03	$ 0 00	$ 683.99

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Schwab One® Trust Account of
STEVEN GROSSMAN TTEE
THE GROSSMAN FAMILY FOUNDATION
U/A DTD 12/23/2008

Account Number
1450-5990

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES TR INTL SELEC	464288448	700.00	11/15/12	01/17/13	$ 24,296.38	$ 21,903 10	$ 0.00	$ 2,393.28
ISHARES TR INTL SELEC	464288448	1,089 00	11/15/12	01/17/13	$ 37,798 21	$ 34,074.96	$ 0.00	$ 3,723 25
ISHARES TR INTL SELEC	464288448	6,000.00	11/15/12	01/17/13	$ 208,260.60	$ 187,740.84	$ 0.00	$ 20,519 76
ISHARES TR INTL SELEC	464288448	1,000.00	11/15/12	02/19/13	$ 35,099.09	$ 31,290.14	$ 0 00	$ 3,808.95
ISHARES TR INTL SELEC	464288448	3,133 00	11/15/12	02/19/13	$ 109,965.46	$ 98,032.01	$ 0.00	$ 11,933.45
ISHARES TR INTL SELEC	464288448	3,857.00	11/15/12	02/19/13	$ 135,377 21	$ 120,686.07	$ 0.00	$ 14,691 14
Security Subtotal					**$ 1,100,660.04**	**$ 1,000,001.59**	**$ 0.00**	**$ 100,658.45**
JP MORGAN EXCH TRADED	46625H365	100.00	05/01/12	01/02/13	$ 3,981.84	$ 4,008 36	$ 0.00	$ (26.52)
JP MORGAN EXCH TRADED	46625H365	250.00	05/01/12	01/02/13	$ 9,957.10	$ 10,020.89	$ 0.00	$ (63 79)
JP MORGAN EXCH TRADED	46625H365	300 00	05/01/12	01/02/13	$ 11,945.51	$ 12,025.07	$ 0.00	$ (79.56)
JP MORGAN EXCH TRADED	46625H365	678.00	05/01/12	01/02/13	$ 26,990 09	$ 27,176.65	$ 0.00	$ (186.56)
JP MORGAN EXCH TRADED	46625H365	1,700 00	05/01/12	01/02/13	$ 67,658.96	$ 68,142.05	$ 0 00	$ (483.09)
JP MORGAN EXCH TRADED	46625H365	4,500.00	05/01/12	01/02/13	$ 179,092.76	$ 180,376.01	$ 0.00	$ (1,283.25)
JP MORGAN EXCH TRADED	46625H365	4,946.00	05/01/12	01/02/13	$ 196,847.80	$ 198,253.28	$ 0.00	$ (1,405.48)
JP MORGAN EXCH TRADED	46625H365	2,200.00	05/01/12	01/17/13	$ 92,091 18	$ 88,183.83	$ 0 00	$ 3,907 35
JP MORGAN EXCH TRADED	46625H365	4,036 00	05/01/12	01/17/13	$ 168,941.43	$ 161,777.24	$ 0.00	$ 7,164 19
JP MORGAN EXCH TRADED	46625H365	100.00	05/01/12	02/19/13	$ 4,362 76	$ 4,008.36	$ 0.00	$ 354.40
JP MORGAN EXCH TRADED	46625H365	100 00	05/01/12	02/19/13	$ 4,364.86	$ 4,008.36	$ 0.00	$ 356 50
JP MORGAN EXCH TRADED	46625H365	100 00	05/01/12	02/19/13	$ 4,364 96	$ 4,008.36	$ 0.00	$ 356.60
JP MORGAN EXCH TRADED	46625H365	200.00	05/01/12	02/19/13	$ 8,729 51	$ 8,016.71	$ 0 00	$ 712.80

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
JP MORGAN EXCH TRADED	46625H365	300.00	05/01/12	02/19/13	$ 13,092.00	$ 12,025 07	$ 0 00	$ 1,066.93
JP MORGAN EXCH TRADED	46625H365	300.00	05/01/12	02/19/13	$ 13,094 88	$ 12,025.07	$ 0.00	$ 1,069.81
JP MORGAN EXCH TRADED	46625H365	537.00	05/01/12	02/19/13	$ 23,429.63	$ 21,524.87	$ 0.00	$ 1,904.76
JP MORGAN EXCH TRADED	46625H365	700.00	05/01/12	02/19/13	$ 30,541 42	$ 28,058 49	$ 0 00	$ 2,482.93
JP MORGAN EXCH TRADED	46625H365	3,900.00	05/01/12	02/19/13	$ 170,163 18	$ 156,325.87	$ 0 00	$ 13,837 31
Security Subtotal					**$ 1,029,649.87**	**$ 999,964.54**	**$ 0.00**	**$ 29,685.33**
POWERSHS QQQ TRUST SE	73935A104	8,053.00	11/15/12	01/02/13	$ 537,846 92	$ 500,013.01	$ 0.00	$ 37,833.91
POWERSHS QQQ TRUST SE	73935A104	4,026 00	11/15/12	01/17/13	$ 271,180.37	$ 249,975.45	$ 0.00	$ 21,204.92
POWERSHS QQQ TRUST SE	73935A104	4,027.00	11/15/12	02/19/13	$ 273,945.75	$ 250,037.55	$ 0 00	$ 23,908.20
Security Subtotal					**$ 1,082,973.04**	**$ 1,000,026.01**	**$ 0.00**	**$ 82,947.03**
SPDR BARCLAYS ETF HI	78464A417	4,728.00	05/01/12	01/02/13	$ 193,697.60	$ 187,512.66	$ 0 00	$ 6,184 94
SPDR BARCLAYS ETF HI	78464A417	2,364 00	05/01/12	01/17/13	$ 97,553.50	$ 93,756.33	$ 0.00	$ 3,797.17
SPDR BARCLAYS ETF HI	78464A417	2,364.00	05/01/12	02/19/13	$ 96,300.61	$ 93,756.32	$ 0.00	$ 2,544.29
Security Subtotal					**$ 387,551.71**	**$ 375,025.31**	**$ 0.00**	**$ 12,526.40**
SPDR S&P BANK ETF	78464A797	200.00	05/01/12	01/02/13	$ 4,861.80	$ 4,732.65	$ 0 00	$ 129.15
SPDR S&P BANK ETF	78464A797	1,100.00	05/01/12	01/02/13	$ 26,739.78	$ 26,029.57	$ 0.00	$ 710.21
SPDR S&P BANK ETF	78464A797	14,547.00	05/01/12	01/02/13	$ 353,635.98	$ 344,229.17	$ 0 00	$ 9,406.81
SPDR S&P BANK ETF	78464A797	7,923.00	05/01/12	01/17/13	$ 199,812 55	$ 187,483.86	$ 0 00	$ 12,328.69

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
SPDR S&P BANK ETF	78464A797	7,924 00	05/01/12	02/19/13	$ 208,950 17	$ 187,507.52	$ 0 00	$ 21,442.65
Security Subtotal					**$ 794,000.28**	**$ 749,982.77**	**$ 0.00**	**$ 44,017.51**
WELLS FARGO ADVTG ULTR	949917702	359,550.00	08/13/12	06/17/13	$ 1,733,031 00	$ 1,733,906.80	$ 875.80	$ 0.00
Security Subtotal					**$ 1,733,031.00**	**$ 1,733,906.80**	**$ 875.80**	**$ 0.00**
WISDOMTREE EMERGING M	97717W315	45.00	11/15/12	01/02/13	$ 2,599 59	$ 2,308.51	$ 0.00	$ 291.08
WISDOMTREE EMERGING M	97717W315	2,342.00	11/15/12	01/02/13	$ 135,294.44	$ 120,145.14	$ 0.00	$ 15,149.30
WISDOMTREE EMERGING M	97717W315	3,342.00	11/15/12	01/02/13	$ 193,063.21	$ 171,445.37	$ 0.00	$ 21,617.84
WISDOMTREE EMERGING M	97717W315	3,747 00	11/15/12	01/02/13	$ 216,463.29	$ 192,221.96	$ 0 00	$ 24,241.33
WISDOMTREE EMERGING M	97717W315	5,008.00	11/15/12	01/17/13	$ 288,851.03	$ 256,911.55	$ 0.00	$ 31,939.48
WISDOMTREE EMERGING M	97717W315	5,009.00	11/15/12	02/19/13	$ 282,797.86	$ 256,962.86	$ 0.00	$ 25,835.00
Security Subtotal					**$ 1,119,069.42**	**$ 999,995.39**	**$ 0.00**	**$ 119,074.03**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 7,246,935.36**	**$ 6,858,902.41**	**$ 875.80**	**$ 388,908.75**
Total Short-Term					**$ 7,246,935.36**	**$ 6,858,902.41**	**$ 875.80**	**$ 388,908.75**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

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Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO COMMODITY REAL	722005667	145,134.25	02/14/12	05/22/13	$ 902,735.06	$ 1,000,000 00	$ 0.00	$ (97,264.94)
Security Subtotal					**$ 902,735.06**	**$ 1,000,000.00**	**$ 0.00**	**$ (97,264.94)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 902,735.06**	**$ 1,000,000.00**	**$ 0.00**	**$ (97,264.94)**

Long-Term Realized Gain or (Loss)

This section is for noncovered securities and corresponds to transactions reported on your 1099-B as **"cost basis is available but not reported to the IRS."**Report on Form 8949, Part II, with Box B checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO COMMODITY REAL	722005667	398,116.51	03/11/11	05/22/13	$ 2,476,284.69	$ 3,806,360.76	$ 0.00	$ (1,330,076 07)
PIMCO COMMODITY REAL	722005667	20,253.19	05/24/11	05/22/13	$ 125,974.89	$ 193,639.24	$ 0.00	$ (67,664.35)
Security Subtotal					**$ 2,602,259.58**	**$ 4,000,000.00**	**$ 0.00**	**$ (1,397,740.42)**
Total Long-Term *(Cost basis is available but not reported to the IRS)*					**$ 2,602,259.58**	**$ 4,000,000.00**	**$ 0.00**	**$ (1,397,740.42)**
Total Long-Term					**$ 3,504,994.64**	**$ 5,000,000.00**	**$ 0.00**	**$ (1,495,005.36)**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

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Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked.)*	$ 7,246,935.36	$ 6,858,902.41	$ 875.80	$ 388,908.75
Total Short-Term Realized Gain or (Loss)	$ 7,246,935.36	$ 6,858,902.41	$ 875.80	$ 388,908.75
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part II, with Box A checked)*	$ 902,735.06	$ 1,000,000.00	$ 0.00	$ (97,264.94)
Total Long-Term Realized Gain or (Loss) *(Cost basis is available but not reported to the IRS. Report on Form 8949, Part II, with Box B checked)*	$ 2,602,259.58	$ 4,000,000.00	$ 0.00	$ (1,397,740.42)
Total Long-Term Realized Gain or (Loss)	$ 3,504,994.64	$ 5,000,000.00	$ 0.00	$ (1,495,005.36)
TOTAL REALIZED GAIN OR (LOSS)	$ 10,751,930.00	$ 11,858,902.41	$ 875.80	$ (1,106,096.61)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ATLAS PIPELINE PARTNERS, LP	682.	682.	
CHARLES SCHWAB	21.	21.	
EL PASO PIPELINE PARTNERS, LP	20.	20.	
ENTERPRISE PRODUCTS PARTNERS, LP	4.	4.	
GENESIS ENERGY PARTNERS	983.	983.	
GOLDMAN SACHS #795-5	1.	1.	
GOLDMAN SACHS #905-3	44.	44.	
KKR & CO. LP	20.	20.	
KKR FINANCIAL - FLEX FID	53.	53.	
KKR FINANCIAL HOLDINGS	424.	424.	
MAGELLAN MIDSTREAM PARTNERS, LP	8.	8.	
MARKWEST ENERGY PARTNERS, LP	403.	403.	
MORGAN STANLEY #42-300	70.	70.	
MORGAN STANLEY #51-300	4.	4.	
MORGAN STANLEY #62-300	2.	2.	
MORGAN STANLEY #64-300	1.	1.	
MORGAN STANLEY #68-300	136.	136.	
MORGAN STANLEY #78Q44	1.	1.	
MPLX LP	5.	5.	
ONEOK PARTNERS LP	6.	6.	
PLAINS ALL AMERICAN PIPELINE, LP	26.	26.	
TARGA RESOURCES PARTNERS, LP	5.	5.	
TEEKAY LNG PARTNERS, LP	853.	853.	
THE BLACKSTONE GROUP, LP	13.	13.	
UBS #280	1.	1.	
UBS #286	1.	1.	
UBS #326	73.	73.	
UBS #466	8.	8.	
UBS #690	40.	40.	
WESTERN GAS PARTNERS, LP	137.	137.	
WILLIAMS PARTNERS, LP	19.	18.	
TOTAL TO PART I, LINE 3	4,064.	4,063.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ARTISAN - DYNAMIC EQUITY	22,226.	0.	22,226.	22,226.	
BLACKROCK PREFERRED PARTNERS LLC	154,912.	0.	154,912.	154,912.	
CHARLES SCHWAB #5990	349,922.	24.	349,898.	240,578.	
GOLDMAN SACHS #468-1	36,438.	0.	36,438.	36,438.	
GOLDMAN SACHS #794-8	11,159.	0.	11,159.	11,159.	
GOLDMAN SACHS #795-5	778,220.	6,874.	771,346.	771,346.	
GOLDMAN SACHS #905-3	443,704.	0.	443,704.	443,704.	
KKR & CO. LP	97.	0.	97.	97.	
KKR FINANCIAL HOLDINGS - FLEX FID	13.	0.	13.	13.	
KKR FINANCIAL HOLDINGS LLC	106.	0.	106.	106.	
LATEEF: DYNAMIC EQUITY LLC	29,118.	0.	29,118.	29,118.	
MAGELLAN MIDSTREAM PARTNERS, LP	2.	0.	2.	2.	
MARKWEST ENERGY	2,138.	0.	2,138.	2,138.	
MORGAN STANLEY #1063 - AMORTIZATION	-1,215.	0.	-1,215.	-1,215.	
MORGAN STANLEY #1065 - AMORTIZATION	-3,075.	0.	-3,075.	-3,075.	
MORGAN STANLEY #42-300	253,994.	56,177.	197,817.	197,153.	
MORGAN STANLEY #51-300	1,662.	0.	1,662.	1,662.	
MORGAN STANLEY #62-300	13,949.	7,186.	6,763.	6,763.	
MORGAN STANLEY #63-300	11,177.	0.	11,177.	11,177.	
MORGAN STANLEY #63-300	13,589.	0.	13,589.	13,589.	
MORGAN STANLEY #64-300	25,788.	1,229.	24,559.	24,559.	
MORGAN STANLEY #65-300	66.	0.	66.	66.	

MORGAN STANLEY #65-300	8,846.	0.	8,846.	8,846.	
MORGAN STANLEY #65-300 - OID	2,559.	0.	2,559.	2,559.	
PLAINS ALL AMERICAN PIPELINE, LP	20.	0.	20.	20.	
SKYBRIDGE MULTI-ADSR	177,726.	0.	177,726.	177,726.	
SPRUCEGROVE: NON-US EQUITY, LLC	40,030.	0.	40,030.	40,030.	
TEEKAY LNG PARTNERS, LP	603.	0.	603.	603.	
THE BLACKSTONE GROUP L.P.	6.	0.	6.	6.	
UBS #025	68,878.	0.	68,878.	68,878.	
UBS #134	192,960.	87,635.	105,325.	105,325.	
UBS #135	174,500.	110,525.	63,975.	63,975.	
UBS #136	14,391.	0.	14,391.	14,391.	
UBS #142	209,731.	62,031.	147,700.	147,700.	
UBS #161	56,939.	0.	56,939.	56,939.	
UBS #162	88,955.	53,262.	35,693.	35,693.	
UBS #212	17,727.	0.	17,727.	17,727.	
UBS #280	259,599.	246,022.	13,577.	13,577.	
UBS #286	382,743.	0.	382,743.	382,743.	
UBS #306	193,383.	10,677.	182,706.	182,706.	
UBS #325	44,494.	0.	44,494.	44,494.	
UBS #326	236,883.	928.	235,955.	235,955.	
UBS #326	277,932.	0.	277,932.	277,932.	
UBS #328	201,767.	2,823.	198,944.	198,944.	
UBS #328	165,166.	0.	165,166.	165,166.	
UBS #457	34,008.	5,755.	28,253.	28,253.	
UBS #466	69,312.	39,134.	30,178.	30,178.	
UBS #473	150,137.	25,171.	124,966.	124,966.	
UBS #569	255.	0.	255.	255.	
UBS #570	28,076.	0.	28,076.	28,076.	
UBS #659	18,854.	0.	18,854.	18,854.	
UBS #690	90,382.	2,255.	88,127.	49,715.	
UBS #872	91,319.	35,839.	55,480.	55,480.	
UBS #873	13,643.	319.	13,324.	13,324.	
UBS #920	345,764.	0.	345,764.	345,764.	
UBS PAULSON ADVANTAGE LLC	3,757.	0.	3,757.	3,757.	
WILLIAM BLAIR: NON-US EQUITY, LLC	23,672.	0.	23,672.	23,672.	
WILLIAMS PARTNERS, L.P.	2.	0.	2.	2.	
TO PART I, LINE 4	5,829,009.	753,866.	5,075,143.	4,926,747.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ATLAS PIPELINE PARTNERS	-17,259.	-17,259.	
ARTISAN: DYNAMIC EQUITY (NON-US EQUITY)	18,304.	18,304.	
CHARLES SCHWAB #5990	600.	600.	
EL PASO PIPELINE PARTNERS, LP	107.	107.	
ENTERPRISE PRODUCTS PARTNERS LP	-2,471.	-31,867.	
EQT MIDSTREAM PARTNERS	305.	305.	
GENESIS ENERGY LP	-7,488.	-16,568.	
KKR & CO. LP	-41.	0.	
KKR FINANCIAL HOLDINGS - FLEX FID	26.	26.	
KKR FINANCIAL HOLDINGS LLC	185.	185.	
LATEEF: DYNAMIC EQUITY LLC	-13.	-13.	
MAGELLAN MIDSTREAM PARTNERS, LP	5,998.	5,998.	
MARKWEST ENERGY PARTNERS	-16,650.	-34,571.	
MPLX	-1,574.	-3,015.	
ONEOK PARTNERS, LP	-7,747.	-25,612.	
PLAINS ALL AMERICAN PIPELINE, L.P.	4,367.	4,367.	
SPRUCEGROVE: NON-US EQUITY, LLC	26,188.	26,188.	
TARGA RESOURCES PARTNERS, LP	-4,824.	-28,926.	
TEEKAY LNG PARTNERS, LP	909.	-3,412.	
THE BLACKSTONE GROUP	12.	12.	
WESTERN GAS PARTNERS LP	-4,112.	-4,112.	
WILLIAMS PARTNERS, LP	-9,905.	-33,284.	
UBS #325: OPTION PREMIUMS	517,153.	686,178.	
UBS #326	4,898.	0.	
UBS #326	50,237.	0.	
UBS #473	70,995.	0.	
UBS #690	75.	75.	
UBS #872	75.	75.	
WILLIAM BLAIR: NON-US EQUITY, LLC	49,812.	49,812.	
UBS PAULSON ADVANTAGE LLC	-2,931.	-2,931.	
TOTAL TO FORM 990-PF, PART I, LINE 11	675,231.	590,662.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	12,178.	0.		12,178.
TO FM 990-PF, PG 1, LN 16A	12,178.	0.		12,178.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WTAS FEES	17,000.	8,500.		8,500.
TO FORM 990-PF, PG 1, LN 16B	17,000.	8,500.		8,500.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT & ACCOUNT MANAGEMENT FEES	382,956.	344,695.		38,261.
INVESTMENT CONSULTING - WELLSPRING	22,300.	22,300.		0.
TO FORM 990-PF, PG 1, LN 16C	405,256.	366,995.		38,261.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	30,423.	30,423.		0.
FEDERAL ESTIMATED PAYMENTS	55,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	85,423.	30,423.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ARTISAN: DYNAMIC EQUITY (NON-US EQUITY)	6,733.	5,855.		0.
ATLAS PIPELINE PARTNERS	8.	0.		0.
BANK CHARGES	190.	0.		190.
COMPUTER RELATED (ACCENT)	840.	0.		840.
INSURANCE	7,249.	0.		7,249.
MEMBERSHIPS - GRANTMAKER	825.	0.		825.
PAYROLL FEES	1,534.	0.		1,534.
ONEOK PARTNERS, LP	22.	0.		0.
MAGELLAN MIDSTREAM PARTNERS, LP	28.	0.		0.
MARKWEST ENERGY PARTNERS LP	20.	0.		0.
MPLX LP	21.	0.		0.
PLAINS ALL AMERICAN PIPELINE, LP	35.	0.		0.
EL PASO PIPELINE PARTNERS, LP	73.	0.		0.
TARGA RESOURCES PARTNERS, LP	10.	0.		0.
ENTERPRISE PRODUCTS PARTNERS LP	13.	0.		0.
GENESIS ENERGY LP	40.	0.		0.
WESTERN GAS PARTNERS LP	1.	0.		0.
WILLIAMS PARTNERS, LP	37.	0.		0.
WILLIAM BLAIR: NON-US EQUITY, LLC	7,520.	6,070.		0.
SPRUCEGROVE: NON-US EQUITY, LLC	6,984.	5,619.		0.
LATEEF: DYNAMIC EQUITY LLC	15,643.	15,368.		0.
UBS PAULSON ADVANTAGE LLC	2,999.	2,999.		0.
TO FORM 990-PF, PG 1, LN 23	50,825.	35,911.		10,638.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
COMPUTER	10,325.	8,206.	2,119.	2,119.
FURNITURE	37,238.	24,152.	13,086.	13,086.
TO 990-PF, PART II, LN 14	47,563.	32,358.	15,205.	15,205.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #063 - SEE ATTACHED		X	97,500.	99,916.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			97,500.	99,916.
TOTAL TO FORM 990-PF, PART II, LINE 10A			97,500.	99,916.

FORM 990-PF CORPORATE STOCK STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #468-1 - SEE ATTACHED	0.	0.
UBS #326 - SEE ATTACHED	4,819,493.	5,868,042.
GOLDMAN SACHS #795-5 - SEE ATTACHED	0.	0.
VIRTUS EMERGING MARKETS OPPORTUNITIES FD	1,500,005.	1,476,089.
RBB BOSTON LONG SHORT EQUITY FUND INSTITUTIONAL	4,245,665.	4,299,203.
INVESCO MORTGAGE CAPITAL	600,000.	440,400.
PIMCO COMMODITY REAL RETURN STRATEGY	0.	0.
EATON VANCE INCOME FUND	6,039,484.	6,419,128.
I SHARES MORTGAGE REAL - REM	112,046.	110,961.
DOUBLE LINE TOTAL RETURN FUND	1,000,000.	843,600.
OAKMARK INT'L FUND	3,000,075.	3,214,984.
SUN AMERICA FOCUSED DIVIDEND STRATEGY	4,500,005.	4,680,109.
THORNBURG INCOME BUILDER	3,000,000.	3,305,730.
THORNBURG INT'L GROWTH FUND	3,000,011.	3,315,847.
HENDERSON GLOBAL EQUITY INCOME	2,200,005.	2,218,487.
ING SENIOR INCOME	1,000,000.	1,003,897.
LORD ABBETT SHT DURATION	1,000,000.	998,901.
PRUDENTIAL HI YLD	250,000.	255,797.

MORGAN STANLEY #851 - SEE ATTACHED	231,784.	252,049.
OAKMARK INT'L	250,000.	257,938.
OAKMARK GLOBAL SELECT FUND	250,000.	265,947.
MORGAN STANLEY #063 - SEE ATTACHED	656,478.	656,803.
GOLDMAN SACHS HIGH YIELD INSTITUTIONAL	1,891,622.	1,967,154.
GOLDMAN SACHS LCL EMERGING DB I	2,623,953.	2,472,746.
WELLS FARGO ADVANTAGE ULTRA SHORT MUNI INCOME FUND	9,806,318.	9,800,811.
INVESCO DIVERSIFIED DIVIDEND FUND	3,915,822.	4,122,687.
YACKTMAN FOCUSED INSTITUTIONAL FUND	4,500,075.	4,642,125.
TOTAL TO FORM 990-PF, PART II, LINE 10B	60,392,841.	62,889,435.

FORM 990-PF CORPORATE BONDS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BLACKROCK HIGH YIELD BOND	0.	0.
DOUBLE LINE TOTAL RETURN FUND	7,074,493.	7,077,506.
GOLDMAN SACHS #905-3 - SEE ATTACHED	0.	0.
GOLDMAN SACHS #795-5 - SEE ATTACHED	0.	0.
LOOMIS SAYLES STRATEGIC INCOME FUND	3,179,369.	4,178,753.
NEUBERGER BERMAN EQUITY INCOME FUND	0.	0.
TCW EMERGING MARKETS INCOME FUND	6,191,163.	5,948,240.
TCW TOTAL RETURN BOND FUND	5,150,000.	5,226,286.
UBS #325 - SEE ATTACHED	14,250,000.	14,153,817.
UBS #328 - SEE ATTACHED	3,558,013.	3,304,585.
EATON VANCE FLOATING RATE ADVANTAGED FUND	12,600,016.	12,673,494.
WELLS FARGO ADVANTAGE ULTRA SHORT MUNI INCOME FUND	0.	0.
PUTNAM DIVERSIFIED INCOME FUND	2,000,000.	2,017,834.
EATON VANCE BOND FUND	250,076.	252,390.
T.ROWE PRICE HIGH YIELD	3,892,592.	4,254,702.
MORGAN STANLEY #063 - SEE ATTACHED	2,934,467.	2,966,023.
MORGAN STANLEY #065 - SEE ATTACHED	985,368.	989,827.
TOTAL TO FORM 990-PF, PART II, LINE 10C	62,065,557.	63,043,457.

FORM 990-PF OTHER INVESTMENTS STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARTISAN: DYNAMIC EQUITY (NON-US EQUITY) SERIES	COST	0.	0.
GS HEDGE FUND OPPORTUNITIES	COST	3,633,000.	4,948,551.
GOLDMAN SACHS #794-8 - SEE ATTACHED	COST	0.	0.
JERICHO CAPITAL INTERNATIONAL LTD.	COST	7,000,000.	9,978,782.
LATEEF: DYNAMIC EQUITY SERIES LLC	COST	2,156,283.	2,864,894.
SPRUCEGROVE: NON-US EQUITY LLC	COST	0.	0.
UBS PAULSON ADVANTAGE LLC	COST	0.	152,078.
WILLIAM BLAIR: NON-US EQUITY LLC	COST	0.	0.
GS GLOBAL TACTICAL TRADING	COST	0.	0.
INVESCO BALANCED RISK ALLOCATION FUND	COST	0.	0.
CHARLES SCHWAB #5990 - SEE ATTACHED	COST	0.	0.
BLACKROCK PREFERRED PARTNERS	COST	5,154,912.	5,061,281.
MAINSTAY MARKETFIELD FUND	COST	2,200,005.	2,226,521.
SKYBRIDGE MULTI-ADSR	COST	5,177,726.	5,106,458.
TOUCHSTONE MERGER ARBITRAGE	COST	2,000,005.	1,979,744.
TOTAL TO FORM 990-PF, PART II, LINE 13		27,321,931.	32,318,309.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
GOLDMAN SACHS #905-3	5,604,184.	0.
UBS #690	6,714,981.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	12,319,165.	0.

FORM 990-PF INTEREST AND PENALTIES STATEMENT 15

TAX DUE FROM FORM 990-PF, PART VI	149,313.
UNDERPAYMENT PENALTY	4,580.
LATE PAYMENT INTEREST	2,275.
LATE PAYMENT PENALTY	4,479.
TOTAL AMOUNT DUE	160,647.

Account Detail — Portfolio Management Active Assets Account 1063-300 — THE GROSSMAN FAMILY FOUNDATION, FLEX FID, Nickname: FLEXIBLE FIXED INCOME

STOCKS

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FIRST INDUSTRIAL RLTY TR PFD F	10/24/13	200 000	$905 000	$181,000 00	**$174,625.00**	$(6,375 00) ST	$1,213 00	0 69
Share Price $873 125, Moody B1 S&P B								
KKR FINL HOLDINGS 7.375%-A (KFN)	10/18/13	400 000	24 139	9,655 52	9,640 00	(15 52) ST		
	12/13/13	600 000	22 832	13,698 90	14 460 00	761 10 ST		
	Total	1,000 000		23,445 00	**24,100 00**	655 00 ST	1,844 00	7 65
Share Price $24 100, S&P BB (+), Next Dividend Payable 01/2014								
SLM CORP 0.0000% SERIES (SLMBP)	12/10/13	200 000	69 089	13,817 88	13,536 00	(281 88) ST		
	12/10/13	100 000	68 747	6,874 66	6,768 00	(106 66) ST		
	12/10/13	400 000	68 475	27,390 00	27,072 00	(318 00) ST		
	12/18/13	100 000	66 740	6,674 00	6,768 00	94 00 ST		
	12/18/13	100 000	67 285	6,728 50	6,768 00	39 50 ST		
	12/26/13	100 000	67 282	6,728 19	6,768 00	39 81 ST		
	Total	1,000 000		68,213 23	**67,680.00**	(533 23) ST	2,000 00	2 95
Share Price $67 680 Moody BA3 (-) S&P BB (-) Next Dividend Payable 03/2014								
SLM CP SER A 6.97 PFD (SLMAP)	12/23/13	700 000	44 994	31,496 01	31,843 00	346 99 ST		
	12/23/13	150 000	44 800	6,720 00	6,823 50	103 50 ST		
	12/23/13	150 000	44 800	6,720 00	6,823 50	103 50 ST		
	Total	1 000 000		44,936 01	**45,490.00**	553 99 ST	3,485 00	7 66
Share Price $45 490, Moody BA3 (-) S&P BB (-), Next Dividend Payable 01/2014								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
STOCKS	7.9%	$317,594.24	$311,895.00	$(5,699.24) ST	$8,542 00 $0.00	2.74%

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade
For more information on Watchlist and CreditWatch Indicators, please go to our website at www morganstanley com/bondratings or contact your financial advisor

Account Detail

Portfolio Management Active Assets Account 1063-300

THE GROSSMAN FAMILY FOUNDATION, FLEX FID
Nickname: FLEXIBLE FIXED INCOME

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
STONE HARBOR EMERGING MARKET (EDI)	9/12/13	1,000 000	$19 190	$19,190 00	$19 010 00	$(180 00) ST		
	10/7/13	50 000	19 490	974 50	950 50	(24 00) ST		
	10/31/13	750 000	19 870	14,902 43	14,257 50	(644 93) ST		
	12/30/13	200 000	19 083	3,816 50	3 802 00	(14 50) ST		
	Total	2,000 000		38,883 43	38,020 00	(863 43) ST	3 626 00	9 53

Share Price $19 010 Next Dividend Payable 01/2014

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	1 0%	$38,883 43	$38,020 00	$(863.43) ST	$3,626.00 $0 00	9 54%

MUNICIPAL BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
PUERTO RICO ELEC PWR AUTH PWR REV	12/24/13	100,000 000	$97 500	$97 500 00			$5,500 00	5 66
REF-LL			$97 500	$97,500 00	$97,166.00	$(334 00) ST	$2,750 00	
CUSIP 7452686K3								

Unit Price $97 166, Coupon Rate 5 500% Matures 07/01/2017, Int Semi-Annually Jan/Jul 01, Yield to Maturity 6 417%, Federal Tax Exempt, Moody BAA1 S&P A Insurer NATL PUBLIC FINANCE GU Issued 07/02/02

	Face Value Percentage of Assets %	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUNICIPAL BONDS	100,000 000	$97,500.00 $97,500.00	$97,166.00	$(334 00) ST	$5,500.00 $2,750.00	5 66%
TOTAL MUNICIPAL BONDS (incl accr int.)	2.5%		$99,916.00			

[redacted]

Account Detail

Portfolio Management Active Assets Account 01063-300
THE GROSSMAN FAMILY FOUNDATION
FLEX FID
Nickname: FLEXIBLE FIXED INCOME

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
ING CAP FDG TR III 3.8481% FXD TO	9/17/13	75,000 000	$96 875	$72 656 25				
12/31/13 FLOATS THEREAFTER			$96 875	$72,656 25	$74,718 75	$2,062 50 ST		
CUSIP 44978NAA3	10/25/13	200,000 000	99 000	198,000 00				
			99 000	198,000 00	199 250 00	1,250 00 ST		
	Total	275 000 000		270,656 25			10,582 30	3 86
				270,656 25	**273,968.75**	3,312 50 ST	—	
Unit Price $99 625, Coupon Rate 3 848% Perpetual Maturity Interest Paid Quarterly Jun 30, Callable $100 00 on 03/31/14, Yield to Maturity 3 867%, Floater, Moody B1 S&P BBB-, Issued 12/15/00								
PNC FINL SERV 8.25% FIXED DIV TO	10/11/13	75 000 000	99 813	74,859 38			3,344 30	4 47
5/2013 FLOATS THEREAFTER			99 813	74,859 38	**74,812.50**	(46 88) ST	371 59	
CUSIP 693475AJ4								
Unit Price $99 750, Coupon Rate 4 459% Perpetual Maturity, Interest Paid Quarterly Nov 21, Callable $100 00 on 01/30/14, Yield to Maturity 4 473%, Floater, Moody BAA3 S&P BBB Issued 05/21/08								
ROYAL BK SCOTLAND RBS 7.64% FI XED	9/18/13	50 000 000	94 050	47,025 00				
9/29/2017 FLTS THEREAFTER			94 050	47,025 00	48 435 00	1 410 00 ST		
CUSIP 780097AU5	10/28/13	100,000 000	95 500	95 500 00				
			95 500	95,500 00	96,870 00	1,370 00 ST		
	Total	150,000 000		142 525 00			11 460 00	7 88
				142,525 00	**145,305 00**	2,780 00 ST	2,865 00	
Unit Price $96 870, Coupon Rate 7 640%, Perpetual Maturity, Int Semi-Annually Mar/Sep 31, Callable $100 00 on 09/29/17, Yield to Maturity 7 899%, Floater, Moody B1 S&P BB, Issued 10/04/07								
ZIONS BANCORPORATION 5.80% FIX TO	12/23/13	50,000 000	90 500	45,250 00			2,900 00	6 37
9/15/23 FLOATS THEREAFTER			90 500	45,250 00	**45,500.00**	250 00 ST	128 88	
CUSIP 989701BD8								
Unit Price $91 000, Coupon Rate 5 800%, Perpetual Maturity, Int Semi-Annually Jun/Dec 15 Callable $100 00 on 06/15/23 Yield to Maturity 6 429% Floater, S&P BB, Issued 05/21/13								
AMERICAN EXPRESS BK FSB	12/5/13	250,000 000	98 950	247,375 00			1,173 20	0 47
CUSIP 02580EBY8			98 950	247,375 00	**247,540.00**	165 00 ST	61 92	
Unit Price $99 016, Coupon Rate 0 469%, Matures 06/12/2017, Interest Paid Monthly Jul 12, Yield to Maturity 759%, Floater, Moody A2 S&P A-; Issued 06/12/07								
ICAHN ENTERPRISES, L.P. / ICAH N	10/31/13	250,000 000	104 995	262,487 50			20,000 00	7 69
ENTERPRISES FINANCE CORPORAT			104 831	262,078 34	**260,000.00**	(2,078 34) ST	9 222 22	
CUSIP 451102AH0								
Unit Price $104 000, Coupon Rate 8 000%, Matures 01/15/2018, Int Semi-Annually Jan/Jul 15, Callable $104 00 on 01/30/14 Yield to Maturity 6 848%, Moody BA3 S&P BBB-, Issued 07/15/10								
FORD MOTOR CREDIT CO LLC	9/26/13	75,000 000	99 963	74,972 25			2,156 20	2 80
CUSIP 345397WL3			99 963	74,972 25	**76,769 25**	1,797 00 ST	539 06	
Unit Price $102 359 Coupon Rate 2 875% Matures 10/01/2018 Int Semi-Annually Apr/Oct 01 Yield to Maturity 2 347% First Coupon 04/01/14, Moody BAA3 S&P BBB- Issued 10/01/13								
GOLDMAN SACHS GROUP INC	11/13/13	250,000 000	100 178	250,445 00			3 351 50	1 33
CUSIP 38141EB73			100 174	250,434 67	**250,587.50**	152 83 ST	428 24	
Unit Price $100 235, Coupon Rate 1 340%, Matures 11/15/2018, Interest Paid Quarterly Feb 18, Yield to Maturity 1 290%, First Coupon 02/18/14, Floater, Moody BAA1 S&P A- Issued 11/15/13								

Account Detail — Portfolio Management Active Assets Account 1063-300 — THE GROSSMAN FAMILY FOUNDATION FLEX FID Nickname: FLEXIBLE FIXED INCOME

CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
PETROBRAS GLOBAL FINANCE	9/11/13	75 000 000	98 230	73,672 50				
CUSIP 71647NAE9			98 230	73,672 50	73,875 00	202 50 ST		
	10/24/13	200,000 000	97 490	194 980 00				
			97 490	194 980 00	197,000 00	2 020 00 ST		
	Total	275,000 000		268,652 50			6 554 90	2 41
				268,652 50	**270,875 00**	2,222 50 ST	1,383 81	
Unit Price $98 500 Coupon Rate 2 383%, Matures 01/15/2019 Interest Paid Quarterly Jul 15, Yield to Maturity 2 703%, Floater, Moody BAA1 S&P BBB, Issued 05/20/13								
TELECOM ITALIA CAPITAL	11/7/13	200,000 000	112 548	225,096 00			14,350 00	6 40
CUSIP 872456AA6			112 282	224,564 59	**224,180.00**	(384 59) ST	518 19	
Unit Price $112 090, Coupon Rate 7 175%, Matures 06/18/2019, Int Semi-Annually Jun/Dec 18, Yield to Maturity 4 644%, Moody BA1 S&P BB+, Issued 06/18/09								
NOVA CHEMICALS CORPORATION	10/10/13	75,000 000	110 450	82,837 50			6,468 80	7 83
CUSIP 66977WAM1			110 149	82,612 11	**82,593.75**	(18.36) ST	1,078 12	
Unit Price $110 125 Coupon Rate 8 625%, Matures 11/01/2019, Int Semi-Annually May/Nov 01 Callable $104 31 on 11/01/14, Yield to Call 1 507%, Moody BA2 S&P BB+, Issued 05/01/10								
ROCKWOOD SPECIALITES GRO	11/13/13	200,000 000	101 301	202,602 00			9,250 00	4 52
CUSIP 774477AJ2			101 282	202 563 03	**204,250.00**	1,686 97 ST	1 952 77	
Unit Price: $102 125, Coupon Rate 4 625%, Matures 10/15/2020, Int Semi-Annually Apr/Oct 15, Callable $103 46 on 10/15/15, Yield to Maturity 4 260%, Moody BA1 S&P BB+, Issued 09/25/12								
UNITED CONTINENTAL HLDGS	11/4/13	250,000 000	99 875	249,687 50			15,000 00	6 01
CUSIP 910047AG4			99 875	249,687 50	**249,375 00**	(312 50) ST	2,208 33	
Unit Price $99 750, Coupon Rate 6 000%, Matures 12/01/2020 Int Semi-Annually Jun/Dec 01 Yield to Maturity 6 044%, First Coupon 06/01/14, Moody B2 S&P B, Issued 11/08/13								
NATIONSTAR MORTGAGE CORP	9/19/13	50 000 000	97 250	48 625 00			3,250 00	6 82
CUSIP 63860UAK6			97,250	48,625 00	**47,625.00**	(1,000 00) ST	1,625 00	
Unit Price $95 250 Coupon Rate 6 500%, Matures 07/01/2021 Int Semi-Annually Jan/Jul 01, Callable $103 25 on 01/01/17, Yield to Maturity 7 335%, Moody B2 S&P B+, Issued 02/07/13								
GENERAL ELECTRIC CAPITAL CORP	11/21/13	200 000 000	99 100	198,200 00			2,487 20	1 25
CUSIP 36966TJA1			99 100	198,200 00	**198,780.00**	580 00 ST	525 07	
Unit Price $99 390, Coupon Rate 1 243% Matures 04/15/2023 Interest Paid Quarterly Jul 15 Yield to Maturity 1 313%, Floater, Moody A1 S&P AA+ Issued 04/16/13								
NELNET INC 7.4% FIXED TO 9/2011 FLOATS THEREAFTER	12/26/13	100,000 000	75 000	75,000 00				
CUSIP 64031NAB4			75 000	75,000 00	75,000 00	0 00 ST		
	12/27/13	25 000 000	75 000	18 750 00				
			75 000	18 750 00	18,750 00	0 00 ST		
	Total	125,000 000		93,750 00			4,527 20	4 82
				93,750 00	**93,750 00**		25 15	
Unit Price $75 000, Coupon Rate 3 621%, Matures 09/29/2036, Interest Paid Quarterly Mar 29, Callable $100 00 on 01/30/14, Yield to Maturity 5 573% Floater, Moody BA2 S&P BB, Issued 09/27/06								
LLOYDS BANK PLC	12/11/13	250,000 000	65 250	163 125 00			1 062 80	0 65
CUSIP G55356AH5			65 250	163,125 00	**162,500.00**	(625 00) ST	118 08	
Unit Price $65 000 Coupon Rate 0 425%, Matures 11/29/2049, Interest Paid Quarterly Feb 21 Callable $100 00 on 02/28/14, Yield to Maturity 1 740%, Floater Moody BA1 S&P BBB-, Issued 11/21/85								

Account Detail

Portfolio Management Active Assets Account 1063-300

THE GROSSMAN FAMILY FOUNDATION
FLEX FID
Nickname: FLEXIBLE FIXED INCOME

CORPORATE BONDS		3,000,000.000		$2,901,145.88 $2,899,930.62	$2,908,411.75	$8,481.13 ST	$117,918.40 $23,051.43	4.05%

CORPORATE FIXED INCOME

FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
ARES CAPITAL CORP 5.875% (ARU)	12/30/13	400 000	$24 662 $24 662	$9,864 79 $9,864 79	$9,960.00	$95 21 ST	$587 48 —	5 89
Unit Price $24 900 Coupon Rate 5 875% Matures 10/01/2022, Interest Paid Quarterly Apr 01, Callable $25 00 on 10/01/15, S&P BBB								
MERRILL LYNCH CAP TR II 6.45% 06/15/2062 (MER.M)	10/18/13	250 000	24 734 24 734	6,183 55 6,183 55	6,150 00	(33 55) ST		
	12/13/13	750 000	24 651 24 651	18 488 21 18,488 21	18,450 00	(38 21) ST		
	Total	1,000 000		24,671 76 24,671 76	24,600 00	(71 76) ST	1,612 50 —	6 55
Unit Price $24 600, Coupon Rate 6 450% Matures 06/15/2062 Interest Paid Quarterly Sep 15, Callable $25 00 on 01/31/14, Floater, Moody BA1 S&P BB+								
FIXED-RATE CAPITAL SECURITIES		—		$34,536.55 $34,536.55	$34,560.00	$23 45 ST	$2,199.98 $0.00	6.37%

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME	3,000,000.000	$2,935,682.43 $2,934,467.17	$2,942,971.75	$8,504.58 ST	$120,118.38 $23,051.43	4.08%
TOTAL CORPORATE FIXED INCOME (incl accr int.)	74 7%		$2,966,023.18			

Account Detail

Portfolio Management Active Assets Account 1063-300
THE GROSSMAN FAMILY FOUNDATION
FLEX FID
Nickname: FLEXIBLE FIXED INCOME

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown may reflect distributions on shares no longer held in the account It may not reflect all distributions received in cash due to but not limited to the following investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund s shares, and cash distributions shown This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HIGHLAND FLTG RATE OPPORT A (HFRAX)	11/8/13	21 957 340	$7.970	$175,000 00	$179,391 47	$4,391 47 ST		
	11/18/13	15 605 493	8 010	125 000 00	127,496 88	2,496 88 ST		
	Total	37,562 833		300,000 00	306,888.35	6,888 35 ST	11,795 00	3 84
Total Purchases vs Market Value				300 000 00	306,888 35			
Cumulative Cash Distributions					369 14			
Net Value Increase/(Decrease)					7,257 49			

Share Price $8 170, Dividend Cash, Capital Gains Cash

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	7.7%	$300,000.00	$306,888.35	$6,888.35 ST	$11,795.00 $0 00	3.84%

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page
For more information about the pricing of Money Market Funds please see the Expanded Disclosures

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	$3,688,354.26	$3,942,414.32	$8,586.84 ST	$149,719.38 $25,801.43	3.77%

TOTAL VALUE (includes accrued interest) $3,968,215.75

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating Please Provide' are not included

Account Detail — Consulting and Evaluation Services Active Assets Account 1065-300 — THE GROSSMAN FAMILY FOUNDATION ADVENT Nickname: ADVENT

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
ARCHER DANIELS	11/8/13	3,000 000	$104 500	$3,135 00				
CUSIP 039483AW2			$104 500	$3,135 00	$3 174 39	$39 39 ST		
	11/18/13	4,000 000	103 700	4,148 00				
			103 700	4,148 00	4,232 52	84 52 ST		
	12/30/13	7,000 000	105 850	7 409 50				
			105 850	7,409 50	7,406 91	(2 59) ST		
	Total	14 000 000		14,692 50			122 50	0 82
				14,692 50	**14,813.82**	121 32 ST	46 27	
Unit Price $105 813, Coupon Rate 0 875%, Matures 02/15/2014 Int Semi-Annually Feb/Aug 15, Convertible, S&P A, Issued 02/22/07								
INTERNATIONAL GAME TECHNOLOGY	9/17/13	7,000 000	116 700	8,169 00				
CUSIP 459902AQ5			116 700	8,169 00	7,420 00	(749 00) ST		
	11/18/13	6,000 000	106 000	6,360 00				
			106 000	6,360 00	6 360 00	0 00 ST		
	Total	13,000 000		14,529 00			422 50	3 06
				14 529 00	**13,780 00**	(749 00) ST	70 41	
Unit Price $106 000, Coupon Rate 3 250%, Matures 05/01/2014, Int Semi-Annually May/Nov 01 Convertible, Moody BAA2 S&P BBB, Issued 05/11/09								
LIFEPOINT HOSPITALS INC	9/16/13	12 000 000	107 381	12,885 77				
CUSIP 53219LAH2			107 381	12,885 77	13 042 56	156 79 ST		
	11/19/13	11 000 000	107 500	11,825 00				
			107 500	11,825 00	11,955 68	130 68 ST		
	Total	23,000 000		24,710 77			805 00	3 22
				24,710 77	**24,998 24**	287 47 ST	102 86	
Unit Price $108 688 Coupon Rate 3 500%, Matures 05/15/2014 Int Semi-Annually May/Nov 15, Convertible, S&P B, Issued 05/29/07								
US STEEL CORP	9/17/13	11 000 000	102 700	11 297 00				
CUSIP 912909AE8			102 700	11 297 00	11 742 50	445 50 ST		
	10/10/13	5 000 000	102 750	5 137 50				
			102 750	5,137 50	5,337 50	200 00 ST		
	11/13/13	3,000 000	105 500	3,165 00				
			105 500	3,165 00	3,202 50	37 50 ST		
	11/18/13	19,000 000	106 250	20 187 50				
			106 250	20 187 50	20,282 50	95 00 ST		
	Total	38 000 000		39,787 00			1 520 00	3 74
				39,787 00	**40,565 00**	778 00 ST	194 22	
Unit Price $106 750, Coupon Rate 4 000%, Matures 05/15/2014 Int Semi-Annually May/Nov 15, Convertible, Moody B1 S&P BB-, Issued 05/04/09								

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CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
ALLEGHENY TECH	9/16/13	4,000 000	103 875	4,155 00				
CUSIP 01741RAD4			103 875	4 155 00	4,135 00	(20 00) ST		
	10/15/13	1,000 000	103 875	1,038 75				
			103 875	1,038 75	1,033 75	(5 00) ST		
	10/16/13	4,000 000	104 125	4,165 00				
			104 125	4,165 00	4,135 00	(30.00) ST		
	11/18/13	9,000 000	104 400	9,396 00				
			104 400	9,396 00	9,303 75	(92 25) ST		
	Total	18,000 000		18,754 75			765 00	4 11
				18,754 75	**18,607.50**	(147 25) ST	63 74	
Unit Price $103 375 Coupon Rate 4 250%, Matures 06/01/2014, Int Semi-Annually Jun/Dec 01, Convertible, S&P BBB- Issued 06/02/09								
STEEL DYNAMICS INC	9/17/13	10,000 000	111 500	11,150 00				
CUSIP 858119AP5			111 500	11,150 00	11,768 80	618 80 ST		
	9/18/13	4,000 000	111 000	4,440 00				
			111 000	4,440 00	4,707 52	267 52 ST		
	11/18/13	13,000 000	116 600	15,158 00				
			116 600	15,158 00	15,299 44	141 44 ST		
	Total	27,000 000		30,748 00			1,383.80	4 35
				30,748.00	**31,775.76**	1,027.76 ST	61.49	
Unit Price $117 688, Coupon Rate 5 125% Matures 06/15/2014, Int Semi-Annually Jun/Dec 15, Convertible, S&P BB+, Issued 06/09/09								
NAVISTAR INTL CORP NEW	9/17/13	10,000 000	102 250	10 225 00				
CUSIP 63934EAL2			102 250	10,225 00	10,225 00	0 00 ST		
	11/19/13	9,000 000	103 750	9,337 50				
			103 750	9 337 50	9,202 50	(135 00) ST		
	Total	19,000 000		19,562 50			570 00	2 93
				19,562 50	**19,427.50**	(135 00) ST	120 33	
Unit Price $102 250, Coupon Rate 3 000% Matures 10/15/2014 Int Semi-Annually Apr/Oct 15, Convertible, S&P CCC- Issued 10/28/09								
PROLOGIS INC	9/16/13	9 000 000	113 800	10,242 00				
CUSIP 74340XAT8			113 800	10,242 00	10,046 25	(195 75) ST		
	10/24/13	3 000 000	116 926	3,507 77				
			116 926	3,507 77	3,348 75	(159 02) ST		
	10/28/13	4,000 000	117 389	4,695 54				
			117 389	4,695 54	4,465 00	(230 54) ST		
	11/12/13	1 000 000	115 000	1 150 00				
			115 000	1,150 00	1,116 25	(33 75) ST		
	11/18/13	18,000 000	116 000	20,880 00				
			116 000	20 880 00	20,092 50	(787 50) ST		

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CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	35 000 000		40,475 31			1,137 50	2 91
				40,475 31	**39,068.75**	(1,406 56) ST	334 93	
Unit Price $111 625, Coupon Rate 3 250%, Matures 03/15/2015, Int Semi-Annually Mar/Sep 15, Convertible, S&P BBB Issued 03/15/11								
ADVANCED MICRO DEVICES	9/16/13	18,000 000	104 000	18 720 00				
CUSIP 007903AL1			103 311	18,595 99	18,810 00	214 01 ST		
	11/18/13	17 000,000	104 600	17,782 00				
			104 251	17,722 63	17 765 00	42 37 ST		
	Total	35,000 000		36 502 00			2,100 00	5 74
				36,318 62	**36,575.00**	256 38 ST	350 00	
Unit Price $104 500, Coupon Rate 6 000% Matures 05/01/2015 Int Semi-Annually May/Nov 01 Yield to Maturity 2 543% Convertible, S&P B Issued 04/27/07								
ANNALY CAPITAL MGMT	9/17/13	9,000,000	101 850	9,166 50				
CUSIP 035710AB8			101 850	9,166 50	9,106 92	(59 58) ST		
	11/19/13	16,000 000	102 000	16 320 00				
			102 000	16,320 00	16 190 08	(129 92) ST		
	Total	25,000 000		25,486 50			1,250 00	4 94
				25,486 50	**25,297 00**	(189 50) ST	159 72	
Unit Price $101 188 Coupon Rate 5 000%, Matures 05/15/2015, Int Semi-Annually May/Nov 15, Yield to Maturity 4 097%, Convertible, Issued 05/14/12								
IXIA	9/17/13	8,000 000	114 000	9,120 00				
CUSIP 45071RAB5			114 000	9,120 00	8,620 00	(500 00) ST		
	9/18/13	3,000 000	113 719	3,411 58				
			113 719	3,411 58	3,232.50	(179 08) ST		
	11/19/13	12,000 000	110 350	13,242 00				
			110 350	13,242 00	12,930 00	(312 00) ST		
	Total	23,000 000		25,773 58			690 00	2 78
				25 773 58	**24,782.50**	(991 08) ST	30 66	
Unit Price $107 750, Coupon Rate 3 000%, Matures 12/15/2015, Int Semi-Annually Jun/Dec 15 Convertible Issued 12/07/10								
ELECTRONIC ARTS INC.	9/16/13	6 000 000	112 000	6,720 00				
CUSIP 285512AA7			112 000	6 720 00	6,382 50	(337 50) ST		
	11/18/13	7,000 000	107 400	7,518 00				
			107 400	7,518 00	7,446 25	(71 75) ST		
	Total	13,000 000		14,238 00			97 50	0 70
				14 238 00	**13,828.75**	(409 25) ST	44 95	
Unit Price $106 375 Coupon Rate 0 750% Matures 07/15/2016 Int Semi-Annually Jan/Jul 15 Convertible, Issued 07/20/11								

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CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj Unit Cost	Orig. Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STONE ENERGY CORPORATION	9/16/13	3 000 000	107 000	3 210 00				
CUSIP 861642AN6			107 000	3 210 00	3,311 25	101 25 ST		
	11/5/13	3,000 000	107 750	3 232 50				
			107 750	3,232 50	3,311 25	78 75 ST		
	11/19/13	9,000 000	107 550	9,679 50				
			107 550	9,679 50	9 933 75	254 25 ST		
	Total	15,000 000		16,122 00			262 50	1 58
				16 122 00	**16,556.25**	434 25 ST	87 49	
Unit Price $110 375 Coupon Rate 1 750%, Matures 03/01/2017 Int Semi-Annually Mar/Sep 01, Convertible S&P B- Issued 03/06/12								
CIENA CORP	9/16/13	13,000 000	101 400	13,182 00				
CUSIP 171779AE1			101 295	13 168 33	13,292 50	124 17 ST		
	11/18/13	12,000 000	101 800	12 216 00				
			101 744	12 209 32	12,270 00	60 68 ST		
	Total	25 000 000		25,398 00			218 80	0 85
				25,377 65	**25,562.50**	184 85 ST	9 72	
Unit Price $102 250 Coupon Rate 0 875%, Matures 06/15/2017, Int Semi-Annually Jun/Dec 15, Convertible S&P B, Issued 06/11/07								
VOLCANO CORP	9/17/13	11,000 000	103 400	11,374 00				
CUSIP 928645AB6			103 177	11,349 42	10,793 75	(555 67) ST		
	11/18/13	10 000 000	98 875	9,887 50				
			98 875	9,887 50	9,812 50	(75 00) ST		
	Total	21,000 000		21,261 50			367 50	1 78
				21,236 92	**20,606.25**	(630 67) ST	30 62	
Unit Price $98 125, Coupon Rate 1 750%, Matures 12/01/2017, Int Semi-Annually Jun/Dec 01, Yield to Maturity 2 253%, Convertible, Issued 12/10/12								
ARES CAPITAL CORPORATION	12/10/13	10,000 000	105 579	10 557 88			475 00	4 49
CUSIP 04010LAJ2			105 515	10 551 46	**10,556.30**	4 84 ST	219 02	
Unit Price $105 563 Coupon Rate 4 750%, Matures 01/15/2018 Int Semi-Annually Jan/Jul 15 Yield to Maturity 3 268% Convertible S&P BBB Issued 10/10/12								
WEBMD HEALTH CORP	9/16/13	15,000 000	96 400	14,460 00				
CUSIP 94770VAF9			96 400	14,460 00	14,737 50	277 50 ST		
	11/19/13	15 000 000	100 500	15,075 00				
			100 488	15,073 15	14,737 50	(335 65) ST		
	Total	30,000 000		29,535 00			750 00	2 54
				29,533 15	**29,475.00**	(58 15) ST	312 50	
Unit Price $98 250, Coupon Rate 2 500%, Matures 01/31/2018 Int Semi Annually Jan/Jul 31, Yield to Maturity 2 958% Convertible Issued 01/11/11								
STARWOOD PROPERTY TRUST	9/16/13	15,000 000	103 500	15 525 00				
CUSIP 85571BAA3			103 293	15,493 94	16 565 70	1 071 76 ST		
	11/18/13	14,000 000	108 700	15,218 00				
			108 484	15,187 78	15,461 32	273 54 ST		

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CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	29,000 000		30 743 00			1,319 50	4 11
				30,681 72	**32,027 02**	1,345 30 ST	439 83	
Unit Price $110 438, Coupon Rate 4 550%, Matures 03/01/2018 Int Semi-Annually Mar/Sep 01, Yield to Maturity 1 930% Convertible S&P BB-, Issued 02/15/13								
REDWOOD TRUST INC	9/16/13	5,000 000	104 500	5 225 00				
CUSIP 758075AB1			104 240	5,212 02	5,190 65	(21 37) ST		
	11/18/13	6,000 000	105 000	6 300 00				
			104 882	6 292 92	6,228 78	(64 14) ST		
	12/16/13	8,000 000	102 847	8 227 79				
			102 827	8 226 17	8 305 04	78 87 ST		
	Total	19 000 000		19,752 79			878 80	4 45
				19,731 11	**19,724.47**	(6 64) ST	185 51	
Unit Price $103 813, Coupon Rate 4 625%, Matures 04/15/2018, Int Semi-Annually Apr/Oct 15 Yield to Maturity 3 655% Convertible, Issued 03/06/13								
BROOKDALE SENIOR LIVING INC.	9/17/13	5,000 000	116 125	5,806 25				
CUSIP 112463AA2			116 125	5,806 25	5,937 50	131 25 ST		
	11/19/13	5,000 000	125 500	6,275 00				
			125 500	6 275 00	5,937 50	(337 50) ST		
	Total	10,000 000		12,081 25			275 00	2 31
				12,081 25	**11,875.00**	(206 25) ST	12 22	
Unit Price $118 750, Coupon Rate 2 750%, Matures 06/15/2018, Int Semi-Annually Jun/Dec 15, Convertible, Issued 06/14/11								
BROADSOFT INC.	9/17/13	2,000 000	112 100	2,242 00				
CUSIP 11133BAB8			112 100	2,242 00	2,010 00	(232 00) ST		
	11/18/13	3,000 000	102 000	3,060 00				
			101 953	3 058 59	3 015 00	(43 59) ST		
	Total	5 000 000		5 302 00			75 00	1 49
				5 300 59	**5,025.00**	(275 59) ST	37 50	
Unit Price $100 500 Coupon Rate 1 500%, Matures 07/01/2018 Int Semi-Annually Jan/Jul 01, Yield to Maturity 1 385% Convertible, Issued 06/20/11								
TAKE-TWO INTERACTIVE SOF	9/16/13	1,000 000	105 900	1,059 00				
CUSIP 874054AD1			105 900	1,059 00	1 066 88	7 88 ST		
	9/18/13	3,000 000	105 375	3,161 25				
			105 375	3,161 25	3 200 64	39 39 ST		
	10/9/13	4 000 000	106 875	4,275 00				
			106 875	4,275 00	4,267 52	(7 48) ST		
	10/30/13	5,000 000	109 500	5 475 00				
			109 500	5,475 00	5,334 40	(140 60) ST		
	11/18/13	14 000 000	110 500	15 470 00				
			110 500	15,470 00	14 936 32	(533 68) ST		

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CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	27,000 000		29,440 25			270 00	0 93
				29,440 25	**28,805 76**	(634 49) ST	144 74	
Unit Price $106 688 Coupon Rate 1 000% Matures 07/01/2018, Int Semi-Annually Jan/Jul 01, First Coupon 01/01/14, Convertible Issued 06/18/13								
AUXILIUM PHARMACEUTICALS	9/16/13	9 000 000	104 000	9,360 00				•
CUSIP 05334DAA5			103 768	9,339 15	9,956 25	617 10 ST		
	11/18/13	11 000 000	108 300	11,913 00				
			108 300	11,913 00	12,168 75	255 75 ST		
	Total	20 000 000		21,273 00			300 00	1 35
				21,252 15	**22,125.00**	872 85 ST	138 33	
Unit Price $110 625, Coupon Rate 1 500% Matures 07/15/2018 Int Semi-Annually Jan/Jul 15, Convertible Issued 01/30/13								
FOREST CITY ENTERPRISES INC	9/17/13	7,000 000	110 750	7,752 50				
CUSIP 345550AP2			110 161	7,711 26	7,787 50	76 24 ST		
	11/19/13	7,000 000	112 375	7,866 25				
			112 101	7 847 05	7,787 50	(59 55) ST		
	Total	14,000 000		15,618 75			595 00	3 82
				15,558 31	**15,575 00**	16 69 ST	224 77	
Unit Price $111 250, Coupon Rate 4 250%, Matures 08/15/2018, Int Semi-Annually Feb/Aug 15, Yield to Maturity 1 708%, Convertible, Moody B2 S&P B+, Issued 07/19/11								
INTERMUNE INC	9/16/13	14,000 000	89 300	12,502 00				
CUSIP 45884XAE3			89 300	12,502 00	12 468 82	(33 18) ST		
	11/18/13	12 000 000	88 600	10,632 00				
			88 600	10,632 00	10,687 56	55 56 ST		
	Total	26 000 000		23,134 00			650 00	2 80
				23,134 00	**23,156 38**	22 38 ST	191 38	
Unit Price $89 063, Coupon Rate 2 500%, Matures 09/15/2018; Int Semi-Annually Mar/Sep 15, Yield to Maturity 5 146%, Convertible, Issued 09/19/11								
BIOMARIN PHARMACEUTICAL	10/9/13	2 000 000	103 875	2,077 50				
CUSIP 09061GAE1			103 875	2,077 50	2 118 76	41 26 ST		
	10/9/13	4,000 000	104 375	4 175 00				
			104 375	4 175 00	4 237 52	62 52 ST		
	10/25/13	3,000 000	105 975	3,179 26				
			105 975	3 179 26	3,178 14	(1 12) ST		
	11/6/13	1,000 000	102 770	1,027 70				
			102 770	1,027 70	1,059 38	31 68 ST		
	11/6/13	2,000 000	103 250	2,065 00				
			103 250	2,065 00	2 118 76	53 76 ST		
	11/18/13	13 000 000	106 000	13 780 00				
			106 000	13,780 00	13,771 94	(8 06) ST		

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CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	25,000 000		26,304 46			187 50	0 70
				26,304 46	**26,484 50**	180 04 ST	39 58	
Unit Price $105 938, Coupon Rate 0 750%, Matures 10/15/2018 Int Semi-Annually Apr/Oct 15, First Coupon 04/15/14, Convertible, Issued 10/15/13								
RYLAND GROUP	9/17/13	16,000 000	89 500	14,320 00				
CUSIP 783764AS2			89 500	14 320 00	14,930 08	610 08 ST		
	11/18/13	15,000 000	92 000	13,800 00				
			92 000	13,800 00	13 996 95	196 95 ST		
	12/10/13	11,000 000	91 234	10,035 73				
			91 234	10 035 73	10,264 43	228 70 ST		
	Total	42,000 000		38,155 73			105 00	0 26
				38,155 73	**39,191.46**	1,035 73 ST	8 74	
Unit Price $93 313, Coupon Rate 0 250% Matures 06/01/2019 Int Semi-Annually Jun/Dec 01, Yield to Maturity 1 542% Convertible, Moody B1 S&P BB-, Issued 05/20/13								
ROYAL GOLD INC.	9/16/13	11,000 000	97 300	10,703 00				
CUSIP 780287AA6			97 300	10,703 00	10,573 75	(129 25) ST		
	11/18/13	11,000 000	96,400	10,604 00				
			96 400	10,604.00	10,573 75	(30 25) ST		
	Total	22 000 000		21,307 00			632 50	2 99
				21,307 00	**21,147 50**	(159 50) ST	28 11	
Unit Price $96 125, Coupon Rate 2 875%, Matures 06/15/2019, Int Semi-Annually Jun/Dec 15, Yield to Maturity 3 665%, Convertible, Issued 06/20/12								
WALTER INVESTMENT MGMT	9/17/13	9,000 000	105 150	9,463 50				
CUSIP 93317WAA0			104 936	9,444 24	8,988 75	(455 49) ST		
	11/18/13	10,000 000	102 600	10,260 00				
			102 557	10,255 65	9,987 50	(268 15) ST		
	Total	19,000 000		19,723 50			855 00	4 50
				19,699 89	**18,976 25**	(723 64) ST	142 50	
Unit Price $99 875 Coupon Rate 4 500%, Matures 11/01/2019 Int Semi-Annually May/Nov 01 Yield to Maturity 4 524%, Convertible, Issued 10/23/12								
COBALT INTL ENERGY INC	10/3/13	4,000 000	105 911	4,236 42				
CUSIP 19075FAA4			105 699	4,227 96	3,537 52	(690 44) ST		
	10/8/13	5,000 000	102 720	5,136 00				
			102 627	5,131 37	4,421 90	(709 47) ST		
	11/19/13	10,000 000	100 375	10,037 50				
			100 369	10 036 88	8,843 80	(1,193 08) ST		
	12/2/13	5 000 000	93 609	4 680 46				
			93 609	4,680 46	4,421 90	(258 56) ST		
	Total	24,000 000		24,090 38			630 00	2 96
				24,076 67	**21,225 12**	(2 851 55) ST	52 49	
Unit Price $88 438, Coupon Rate 2 625% Matures 12/01/2019 Int Semi-Annually Jun/Dec 01 Yield to Maturity 4 899%, Convertible, Issued 12/17/12								

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CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
TTM TECHNOLOGIES INC	12/18/13	15,000 000	101 151	15,172 65			262 50	1 63
CUSIP 87305RAD1			101 148	15,172 13	**16,096.95**	924 82 ST	8 02	
Unit Price $107 313, Coupon Rate 1 750% Matures 12/15/2020, Int Semi-Annually Jun/Dec 15, Yield to Maturity 672% First Coupon 06/15/14, Convertible, S&P BB, Issued 12/20/13								
ARVINMERITOR, INC.	9/17/13	16,000 000	92 250	14,760 00				
CUSIP 043353AH4			92 250	14,760 00	15,310 08	550 08 ST		
	11/19/13	16,000 000	92 500	14 800 00				
			92 500	14,800 00	15 310 08	510 08 ST		
	Total	32,000 000		29,560 00			1,280 00	4 18
				29,560 00	**30,620 16**	1 060 16 ST	483 55	
Unit Price $95 688 Coupon Rate 4 000%, Matures 02/15/2027, Int Semi-Annually Feb/Aug 15, Callable $100 00 on 02/15/19, Yield to Maturity 4 437%, Stepped Convertible, S&P B-, Issued 02/08/07								
LINEAR TECHNOLOGY CORP	9/16/13	8,000.000	108 000	8,640 00				
CUSIP 535678AC0			109 750	8,780 01	9,120 00	339 99 ST		
	11/19/13	8,000 000	107 875	8,630 00				
			108 554	8,684 29	9,120 00	435 71 ST		
	Total	16,000 000		17,270 00			480 00	2 63
				17 464 30	**18,240 00**	775 70 ST	80 00	
Unit Price $114 000, Coupon Rate 3 000% Matures 05/01/2027, Int Semi-Annually May/Nov 01, Callable $100 00 on 05/01/14 Yield to Call 005% Convertible, Issued 04/24/07								
NUANCE COMMUNIC	9/16/13	5,000 000	115 983	5,799 15				
CUSIP 67020YAB6			115 688	5 784 42	5,234 40	(550 02) ST		
	11/18/13	4,000 000	106 000	4,240,00				
			105 959	4,238 34	4,187 52	(50 82) ST		
	Total	9 000 000		10,039 15			247 50	2 62
				10 022 76	**9,421.92**	(600 84) ST	93 50	
Unit Price $104 688, Coupon Rate 2 750% Matures 08/15/2027 Int Semi-Annually Feb/Aug 15, Callable $100 00 on 08/20/14, Yield to Call 005%, Convertible, S&P BB- Issued 08/13/07								
NUANCE COMMUNICATIONS INC	9/16/13	12,000 000	102 100	12 252 00				
CUSIP 67020YAF7			102 074	12,248 89	11,722 56	(526 33) ST		
	11/18/13	12 000 000	97 700	11 724 00				
			97 700	11,724 00	11,722 56	(1 44) ST		
	Total	24,000 000		23,976 00			660 00	2 81
				23,972 89	**23,445 12**	(527 77) ST	110 00	
Unit Price $97 688 Coupon Rate 2 750%, Matures 11/01/2031, Int Semi-Annually May/Nov 01, Callable $100 00 on 11/06/17, Yield to Maturity 2 917%, Convertible, S&P BB-, Issued 10/24/11								
TIBCO SOFTWARE INC	9/20/13	6,000 000	101 875	6,112 50				
CUSIP 88632QAB9			101 853	6 111 19	6 011 28	(99 91) ST		
	10/4/13	1,000 000	102 625	1,026 25				
			102 599	1 025 99	1 001 88	(24 11) ST		
	11/18/13	8 000 000	101 300	8,104 00				
			101 294	8 103 49	8 015 04	(88 45) ST		

Account Detail

Consulting and Evaluation Services Active Assets Account 1065-300

THE GROSSMAN FAMILY FOUNDATION
ADVENT
Nickname: ADVENT

CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	15,000 000		15,242 75			337 50	2 24
				15,240 67	**15,028.20**	(212 47) ST	56 25	
Unit Price $100 188 Coupon Rate 2 250% Matures 05/01/2032, Int Semi Annually May/Nov 01, Callable $100 00 on 05/05/17, Yield to Call 2 191%, Convertible, Issued 04/23/12								
TOLL BROTHERS FINANCE CORP	10/28/13	5,000 000	103 600	5 180 00				
CUSIP 88947EAM2			104 570	5,228 51	5,200 00	(28 51) ST		
	11/19/13	6,000 000	101 650	6 099 00				
			102 281	6,136 84	6,240 00	103 16 ST		
	Total	11,000 000		11,279 00			55 00	0 48
				11,365 35	**11,440.00**	74 65 ST	16 19	
Unit Price $104 000, Coupon Rate 0 500%, Matures 09/15/2032 Int Semi-Annually Mar/Sep 15 Callable $100 00 on 09/15/17, Convertible, Moody BA1 S&P BB+, Issued 09/11/12								
GOODRICH PETROLEUM CORPORATION	11/26/13	6 000 000	106 254	6 375 26				
CUSIP 382410AG3			106 237	6,374 23	6,105 00	(269 23) ST		
	12/6/13	10,000 000	104 560	10,455 97				
			104 551	10 455 12	10,175 00	(280 12) ST		
	12/11/13	6,000 000	103 060	6,183 62				
			103 056	6,183 37	6,105 00	(78 37) ST		
	Total	22,000 000		23,014 85			1,100 00	4 91
				23,012 72	**22,385.00**	(627 72) ST	381 94	
Unit Price $101 750, Coupon Rate 5 000%, Matures 10/01/2032, Int Semi-Annually Apr/Oct 01, Callable $100 00 on 10/01/16, Yield to Call 4 316%, First Coupon 04/01/14, Convertible, Issued 08/26/13								
OMNICARE INC DBE	9/17/13	5,000 000	106 875	5,343 75				
CUSIP 681904AL2			109 039	5 451 93	5,343 75	(108 18) ST		
	9/19/13	4 000 000	106 750	4 270 00				
			108 828	4,353 13	4,275 00	(78 13) ST		
	10/23/13	3,000 000	106 500	3,195 00				
			107 854	3 235 63	3,206 25	(29 38) ST		
	11/19/13	11,000 000	106 800	11,748 00				
			107 643	11 840 77	11 756 25	(84 52) ST		
	Total	23,000 000		24,556 75			747 50	3 04
				24,881 46	**24,581.25**	(300 21) ST	33 22	
Unit Price $106 875, Coupon Rate 3 250%, Matures 12/15/2035 Int Semi-Annually Jun/Dec 15 Callable $100 00 on 12/15/15, Yield to Call 005%, Convertible, Moody B2 S&P B+, Issued 12/15/05								
GROUP 1 AUTOMOTIVE INC/STEP CPN	12/5/13	6,000 000	123 710	7,422 58				
CUSIP 398905AE9			123 655	7,419 32	7,586 28	166 96 ST		
	12/6/13	6,000 000	124 550	7,473 00				
			124 496	7 469 77	7 586 28	116 51 ST		
	Total	12 000 000		14 895 58			270 00	1 77
				14 889 09	**15,172 56**	283 47 ST	11 99	
Unit Price $126 438 Coupon Rate 2 250% Matures 06/15/2036 Int Semi-Annually Jun/Dec 15, Callable $100 00 on 06/15/16, Yield to Call 005% Stepped Convertible S&P BB Issued 06/26/06								

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THE GROSSMAN FAMILY FOUNDATION
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CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
CHESAPEAKE ENERGY CORP	9/16/13	18,000 000	91 500	16,470 00				
CUSIP 165167CB1			93 937	16,908 63	16,785 00	(123 63) ST		
	11/18/13	19,000 000	93 300	17,727 00				
			94 265	17,910 32	17 717 50	(192 82) ST		
	Total	37,000 000		34,197 00			832 50	2 41
				34,818 95	**34,502 50**	(316.45) ST	36 99	
Unit Price $93 250, Coupon Rate 2 250%, Matures 12/15/2038, Int Semi-Annually Jun/Dec 15, Callable $100 00 on 12/15/18, Yield to Maturity 2 620%, Convertible, Moody BA3 S&P BB- Issued 05/27/08								
CLEARWIRE ESCROW CORPORATION	9/16/13	11,000 000	111 400	12,254 00				
CUSIP 18538TAG4			111 361	12,249.68	12 650 00	400 32 ST		
	11/18/13	10,000 000	115 000	11,500.00				
			114 979	11,497 86	11,500 00	2 14 ST		
	Total	21,000 000		23,754 00			1,732 50	7 17
				23 747 54	**24,150 00**	402 46 ST	144 37	
Unit Price $115 000 Coupon Rate 8 250%, Matures 12/01/2040 Int Semi-Annually Jun/Dec 01, Callable $100 00 on 12/01/17 Yield to Call 4 067% Convertible Moody BA1 Issued 12/08/10								
HOLOGIC INC	9/17/13	8 000 000	101 750	8 140 00				
CUSIP 436440AC5			103 496	8,279 68	8,170 00	(109 68) ST		
	11/18/13	7,000 000	102 300	7,161 00				
			102 991	7,209 40	7,148 75	(60 65) ST		
	Total	15 000 000		15,301 00			300 00	1 95
				15 489 08	**15,318.75**	(170 33) ST	99 99	
Unit Price $102 125 Coupon Rate 2 000% Matures 03/01/2042, Int Semi-Annually Mar/Sep 01, Callable $100 00 on 03/06/18, Yield to Call 1 474%, Stepped, Convertible, S&P B+, Issued 03/05/12								
MICRON TECHNOLOGY INC	11/7/13	5,000 000	86 538	4,326 92				
CUSIP 595112AY9			86 538	4,326 92	4 921 90	594 98 ST		
	11/7/13	1 000 000	86 250	862 50				
			86 250	862 50	984 38	121 88 ST		
	11/8/13	1,000 000	86 694	866 94				
			86 694	866 94	984 38	117 44 ST		
	11/18/13	7 000 000	92 950	6 506 50				
			92 950	6 506 50	6,890 66	384 16 ST		
	12/11/13	6 000 000	99 500	5,970 00				
			99 500	5,970 00	5,906 28	(63 72) ST		

Account Detail

Consulting and Evaluation Services Active Assets Account 1065-300

THE GROSSMAN FAMILY FOUNDATION
ADVENT
Nickname: ADVENT

CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
	Total	20,000 000		18,532 86			600 00	3 04
				18 532 86	19,687.60	1 154 74 ST	81 66	

Unit Price $98 438, Coupon Rate 3 000%, Matures 11/15/2043 Int Semi-Annually May/Nov 15, Callable $83 03 on 11/20/18 Yield to Call 005%, First Coupon 05/15/14, Convertible, S&P BB- Issued 11/12/13

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
HOLOGIC INC	9/17/13	6 000 000	104 625	6,277 50				
CUSIP 436440AG6			104 625	6,277 50	6 300 00	22 50 ST		
	11/19/13	6,000 000	105 000	6 300 00				
			105 000	6,300 00	6 300 00	0 00 ST		
	Total	12,000 000		12,577 50			—	—
				12,577 50	12,600.00	22.50 ST	—	

Unit Price $105 000, Zero Coupon Matures 12/15/2043 Callable $100 00 on 12/15/17 Convertible, Issued 02/21/13

	Face Value Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
CORPORATE FIXED INCOME	950,000.000	$984,429.49			$28,615.90	2 91%
		$985,368 14	$984,304 59	$(1,063.55) ST	$5,522 30	
TOTAL CORPORATE FIXED INCOME (incl accr int)	98.4%		$989,826.89			

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	$985,368 14	$1,000,140.17	$(1,063.55) ST	$28,627 90	2 85%
					$5,522 30	

TOTAL VALUE (includes accrued interest)	$1,005,662.47

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included

Account Detail

Portfolio Management Active Assets Account
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THE GROSSMAN FAMILY FOUNDATION
BUY WRITE
Nickname: BUY WRITE

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ACCENTURE PLC IRELAND CL A (ACN)	9/10/13	100 000	$74 347	$7,434 65	$8,222.00	$787 35 ST	$186 00	2 26
Share Price $82 220 Next Dividend Payable 05/2014								
APPLE INC (AAPL)	12/18/13	10 000	546 995	5,469 95	5,610 20	140 25 ST	122 00	2 17
Share Price $561 020, Next Dividend Payable 02/2014								
AT&T INC (T)	9/10/13	200 000	33 826	6,765 26	7,032 00	266 74 ST	368 00	5 23
Share Price $35 160, Next Dividend Payable 02/2014								
BLACKSTONE GROUP LP (BX)	9/10/13	300 000	22 508	6,755 00	9,450 00	2,697 51 ST	354 00	3 74
Share Price $31 500, Next Dividend Payable 02/2014								
CHEVRON CORP (CVX)	9/10/13	100 000	121 977	12,197 70	12,491 00	293 30 ST	400 00	3 20
Share Price $124 910 Next Dividend Payable 03/2014								
DIAGEO PLC SPON ADR NEW (DEO)	9/10/13	100 000	124 671	12,467 06	13,242 00	774 94 ST	299 80	2 26
Share Price $132 420, Next Dividend Payable 04/2014								
DU PONT EI DE NEMOURS & CO (DD)	9/10/13	100 000	58 137	5 813 74	6,497 00	683 26 ST	180 00	2 77
Share Price $64 970 Next Dividend Payable 03/2014								
EMERSON ELECTRIC CO (EMR)	9/10/13	100 000	63 537	6 353 69	7,018 00	664 31 ST	172 00	2 45
Share Price $70 180, Next Dividend Payable 03/2014								
GENERAL ELECTRIC CO (GE)	9/10/13	300 000	23 836	7,150 89	8,409 00	1,258 11 ST	264 00	3 13
Share Price $28 030 Next Dividend Payable 01/27/14								
GLAXOSMITHKLINE PLC ADS (GSK)	9/10/13	100 000	50 185	5,018 51	5,339.00	320 49 ST	240 90	4 51
Share Price $53 390, Next Dividend Payable 01/09/14								
HONEYWELL INTERNATIONAL INC (HON)	9/10/13	100 000	83 987	8,398 66	9,137.00	738 34 ST	180 00	1 97
Share Price $91 370 Next Dividend Payable 03/2014								
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	9/10/13	100 000	55 602	5,560 16	5,513.00	(47 16) ST	240 00	4 35
Share Price $55 130								
INTEL CORP (INTC)	9/10/13	300 000	23 038	6,911 49	7,786 50	875 01 ST	270 00	3 46
Share Price $25 955 Next Dividend Payable 03/2014								
INTERNATIONAL PAPER CO (IP)	9/10/13	200 000	49 396	9 879 26	9,806 00	(73 26) ST	280 00	2 85
Share Price $49 030 Next Dividend Payable 03/2014								
JOHNSON & JOHNSON (JNJ)	9/10/13	100 000	87 957	8,795 70	9,159 00	363 30 ST	264 00	2 88
Share Price $91 590, Next Dividend Payable 03/2014								
KKR & CO LP (KKR)	9/10/13	400 000	20 106	8,248 00	9,736 00	1,693 48 ST	648 00	6 65
Share Price $24 340, Next Dividend Payable 02/2014								
KRAFT FOODS GROUP INC COM (KRFT)	9/10/13	100 000	53 070	5,306 96	5,391.00	84 04 ST	210 00	3 89
Share Price $53 910 Next Dividend Payable 01/17/14								

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Portfolio Management Active Assets Account
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THE GROSSMAN FAMILY FOUNDATION
BUY WRITE
Nickname: BUY WRITE

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
LOCKHEED MARTIN CORP (LMT)	12/5/13	100 000	137 124	13,712 41	14,866 00	1 153 59 ST	532 00	3 57
Share Price $148 660, Next Dividend Payable 03/2014								
MERCK & CO INC NEW COM (MRK)	9/10/13	200 000	48 166	9 633 26	10,010 00	376 74 ST	352 00	3 51
Share Price $50 050, Next Dividend Payable 01/08/14								
MICROSOFT CORP (MSFT)	9/10/13	200 000	32 187	6,437 38	7,482 00	1,044 62 ST	224 00	2 99
Share Price $37 410, Next Dividend Payable 03/2014								
MONDELEZ INTL INC COM (MDLZ)	9/10/13	200 000	31 057	6 211 38	7,060 00	848 62 ST	112 00	1 58
Share Price $35 300, Next Dividend Payable 01/14/14								
PEPSICO INC NC (PEP)	9/10/13	100 000	79 180	7,918 00	8,294 00	376 00 ST	227 00	2 73
Share Price $82 940, Next Dividend Payable 01/02/14								
PFIZER INC (PFE)	9/10/13	300 000	28 358	8,507 28	9,189.00	681 72 ST	312 00	3 39
Share Price $30 630 Next Dividend Payable 03/2014								
PHILIP MORRIS INTL INC (PM)	9/10/13	100 000	84 157	8 415 69	8,713.00	297 31 ST	376 00	4 31
Share Price $87 130, Next Dividend Payable 01/10/14								
QUALCOMM INC (QCOM)	9/10/13	100 000	69 748	6,974 75	7,425.00	450 25 ST	140 00	1 88
Share Price $74 250, Next Dividend Payable 03/2014								
ROYAL DUTCH SHELL PLC (RDS'A)	9/10/13	100 000	64 760	6,476 00	7,127.00	651 00 ST	306 00	4 29
Share Price $71 270								
TUPPERWARE BRANDS CORP (TUP)	9/10/13	100 000	83 324	8,332 37	9,453.00	1,120 63 ST	248 00	2 62
Share Price $94 530, Next Dividend Payable 01/06/14								
UNITED PARCEL SERVICE INC CL-B (UPS)	9/10/13	100 000	87 737	8,773 70	10,508.00	1,734 30 ST	248 00	2 36
Share Price $105 080 Next Dividend Payable 03/2014								
WILLIAMS CO INC (WMB)	9/10/13	200 000	35 976	7 195 28	7,714.00	518 72 ST	304 00	3 94
Share Price $38 570 Next Dividend Payable 03/2014								
YUM BRANDS INC (YUM)	9/10/13	100 000	71 320	7 131 99	7,561 00	429 01 ST	148 00	1 95
Share Price $75 610 Next Dividend Payable 02/2014								
COMMON STOCKS				$234,246 17	$255,240.70	$20,994 53	$8,207 70 $0.00	3 22%

Account Detail

Portfolio Management Active Assets Account
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THE GROSSMAN FAMILY FOUNDATION
BUY WRITE
Nickname. BUY WRITE

STOCKS

OPTIONS

Security Description	Trade Date	Number of Contracts	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL ACCENTURE LTD AT 90 000 EXPIRES 05/17/2014 (ACN 140517C00090000) *Contract Price $0 850 Short Position*	12/31/13	(1 000)	$0 800	$(79 99)	$(85 00)	$(5 01) ST
CALL APPLE INC AT 645 000 EXPIRES 07/19/2014 (AAPL7 140719C00645000) *Contract Price $16 600, Adjusted Option, Contract = 10 00000 Shares, Short Position*	12/18/13	(1 000)	15 701	(157 01)	(166.00)	(8 99) ST
CALL BLACKSTONE GROUP LP AT 34 000 EXPIRES 06/21/2014 (BX 140621C00034000) *Contract Price $1 160 Short Position*	12/11/13	(3 000)	0 705	(211 52)	(348.00)	(136 48) ST
CALL DIAGEO PLS SPON ADR AT 145 000 EXPIRES 07/19/2014 (DEO 140719C00145000) *Contract Price $1 200 Short Position*	12/2/13	(1 000)	1 006	(100 61)	(120 00)	(19 39) ST
CALL DU PONT EI DE NEMOUR AT 70.000 EXPIRES 07/19/2014 (DD 140719C00070000) *Contract Price $1 370, Short Position*	12/4/13	(1 000)	0 632	(63 19)	(137.00)	(73 81) ST
CALL EMERSON ELECTRIC CO AT 75 000 EXPIRES 06/21/2014 (EMR 140621C00075000) *Contract Price $1 250 Short Position*	12/9/13	(1 000)	0 870	(86 99)	(125 00)	(38 01) ST
CALL GENERAL ELECTRIC CO AT 30 000 EXPIRES 06/21/2014 (GE 140621C00030000) *Contract Price $0 510, Short Position*	12/11/13	(3 000)	0 290	(86 99)	(153 00)	(66 01) ST
CALL HONEYWELL INTERNATIO AT 100 000 EXPIRES 06/21/2014 (HON 140621C00100000) *Contract Price $1 140 Short Position*	11/14/13	(1 000)	0 979	(97 89)	(114.00)	(16 11) ST
CALL INTEL CORP AT 28 000 EXPIRES 07/19/2014 (INTC 140719C00028000) *Contract Price $0 690, Short Position*	12/19/13	(3 000)	0 540	(161 99)	(207 00)	(45 01) ST

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Portfolio Management Active Assets Account
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THE GROSSMAN FAMILY FOUNDATION
BUY WRITE
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STOCKS

OPTIONS (CONTINUED)

Security Description	Trade Date	Number of Contracts	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL INTERNATIONAL PAPER CO AT 55.000 EXPIRES 07/19/2014 (IP 140719C00055000) *Contract Price $1 270, Short Position*	12/4/13	(2 000)	0 759	(151 75)	(254.00)	(102.25) ST
CALL KKR & CO LP AT 28.000 EXPIRES 06/21/2014 (KKR 140621C00028000) *Contract Price $0 350, Short Position*	12/11/13	(4 000)	0 508	(203 07)	(140.00)	63 07 ST
CALL KRAFT FOODS GROUP INC CO AT 60.000 EXPIRES 06/21/2014 (KRFT 140621C00060000) *Contract Price $0 450, Short Position*	12/9/13	(1 000)	0 705	(70 51)	(45.00)	25 51 ST
CALL LOCKHEED MARTIN CORP AT 155.000 EXPIRES 06/21/2014 (LMT 140621C00155000) *Contract Price $3 900, Short Position*	12/5/13	(1 000)	1 750	(174 99)	(390 00)	(215 01) ST
CALL MERCK & CO INC NEW COM AT 57.500 EXPIRES 07/19/2014 (MRK 140719C00057500) *Contract Price $0 330, Short Position*	12/4/13	(2 000)	0 320	(63 99)	(66.00)	(2.01) ST
CALL MICROSOFT CORP AT 45.000 EXPIRES 07/19/2014 (MSFT 140719C00045000) *Contract Price $0 360 Short Position*	11/14/13	(2 000)	0 600	(119 99)	(72.00)	47 99 ST
CALL MONDELEZ INTL INC COM AT 39.000 EXPIRES 06/21/2014 (MDLZ 140621C00039000) *Contract Price $0 630, Short Position*	12/19/13	(2 000)	0 480	(95 99)	(126 00)	(30 01) ST
CALL PEPSICO INC NC AT 92.500 EXPIRES 07/19/2014 (PEP 140719C00092500) *Contract Price $0 550, Short Position*	12/19/13	(1 000)	0 560	(55 99)	(55 00)	0 99 ST
CALL QUALCOMM INC AT 85.000 EXPIRES 07/19/2014 (QCOM 140719C00085000) *Contract Price $0 920, Short Position*	12/11/13	(1 000)	1 150	(114 99)	(92.00)	22.99 ST

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THE GROSSMAN FAMILY FOUNDATION
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STOCKS

OPTIONS (CONTINUED)

Security Description	Trade Date	Number of Contracts	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL TUPPERWARE CORP AT 110.000 EXPIRES 07/19/2014 (TUP 140719C00110000) *Contract Price $1 050, Short Position*	12/11/13	(1 000)	0 906	(90 57)	(105.00)	(14 43) ST
CALL UNITED PARCEL SERVIC AT 110.000 EXPIRES 04/19/2014 (UPS 140419C00110000) *Contract Price $1 170, Short Position*	11/6/13	(1 000)	0 653	(65 27)	(117.00)	(51 73) ST
CALL WILLIAMS CO AT 43 000 EXPIRES 05/17/2014 (WMB 140517C00043000) *Contract Price $0 790, Short Position*	12/19/13	(2 000)	0 550	(109 99)	(158 00)	(48 01) ST
CALL YUM BRANDS INC AT 87.500 EXPIRES 07/19/2014 (YUM 140719C00087500) *Contract Price $1 170, Short Position*	12/16/13	(1 000)	0 990	(98 99)	(117.00)	(18 01) ST
OPTIONS				$(2,462.27)	$(3,192.00)	$(729.73) ST

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	93.1%	$231,783.90	$252,048.70	$20,264.80	$8,207.70 $0.00	3.26%
TOTAL STOCKS (LONG)			$255,240 70			
TOTAL STOCKS (SHORT)			$(3,192.00)			

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes Where market value information is not available, we assume for purposes of calculating the unrealized gain or loss that market value is $0 In such cases, the unrealized gain or loss provided may not provide an accurate reflection of the true unrealized gain or loss For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures section

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	$231,575.91	$270,600.66	$20,472 79 ST	$8,216.70 $0.00	3.04%

TOTAL VALUE (includes accrued interest)	$270,600.66

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UBS AG AIRBAG YON GTAT 04/30/2014 10 50% Exchange OTC EAI $52,500 Current yield 10 28%	Oct 29, 13	500 000	1,000 000	500,000 00	1,021 830	510,915 00	10,915 00	ST
JP MORGAN CHASE AIRBAG YON JDSU 05/14/2014 8 12% Exchange OTC EAI $40,600 Current yield 8 05%	Nov 8, 13	500 000	1,000 000	500,000 00	1,008 350	504,175 00	4,175 00	ST
ROYAL BANK OF CANADA AIRBAG YON RAX 05/30/2014 9 13% Exchange OTC EAI $68,475 Current yield 9 09%	Nov 26, 13	750 000	1,000 000	750,000 00	1,004 160	753,120 00	3,120 00	ST

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Account name: GROSSMAN FAMILY FOUNDATION TST
Friendly account name: Yield Notes
Account number: 3325 KR

Your Financial Advisor
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973-360-4200/800-631-8179

Your assets › Equities › Structured products (continued)

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROYAL BANK OF CANADA AIRBAG YON AMD 06/19/2014 9 43% Exchange OTC EAI $47,150 Current yield 9 34%	Dec 13, 13	500 000	1,000 000	500,000 00	1,009 310	504,655 00	4,655 00	ST
DEUTSCHE BANK AG AIRBAG AYON NUAN 9/12/2014 9 20% Exchange OTC EAI $92,000 Current yield 10 52%	Sep 6, 13	1,000 000	1,000 000	1,000,000 00	874 669	874,669 00	-125,331 00	ST
ROYAL BANK OF CANADA AIRBAG AYON CMI 9/16/2014 6 50% Exchange OTC EAI $130,000 Current yield 6 45%	Sep 10, 13	2,000 000	1,000 000	2,000,000 00	1,008 100	2,016,200 00	16,200 00	ST
CITIGROUP FUNDING INC AIRBAG AYON X 10/01/2014 9 16% Exchange OTC EAI $45,800 Current yield 9 16%	Sep 26, 13	500 000	1,000 000	500,000 00	1,000 000	500,000 00		ST
CITIGROUP FUNDING INC AIRBAG AYON LEN 10/10/2014 8 40% Exchange OTC EAI $84,000 Current yield 8 42%	Oct 4, 13	1,000 000	1,000 000	1,000,000 00	998 140	998,140 00	-1,860 00	ST
CITIGROUP FUNDING INC AIRBAG AYON JOY 10/10/2014 8 60% Exchange OTC EAI $86,000 Current yield 8 61%	Oct 4, 13	1,000 000	1,000 000	1,000,000 00	998 400	998,400 00	-1,600 00	ST
UBS AG AIRBAG AYON CLF 10/20/2014 9 50% Exchange OTC EAI $71,250 Current yield 9 51%	Oct 11, 13	750 000	1,000 000	750,000 00	998 640	748,980 00	-1,020 00	ST

continued next page

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973-360-4200/800-631-8179

Your assets › **Equities** › **Structured products** (continued)

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE AIRBAG AYON RIO 10/24/2014 7 00% Exchange OTC EAI $70,000 Current yield 6 98%	Oct 18, 13	1,000 000	1,000 000	1,000,000 00	1,002 880	1,002,880 00	2,880 00	ST
ROYAL BANK OF CANADA AIRBAG AYON TDC 10/31/2014 7 35% Exchange OTC EAI $36,750 Current yield 7 44%	Oct 29, 13	500 000	1,000 000	500,000 00	987 800	493,900 00	-6,100 00	ST
DEUTSCHE BANK AG AIRBAG AYON VRTX 11/14/2014 8 80% Exchange OTC EAI $44,000 Current yield. 8 64%	Nov 8, 13	500 000	1,000 000	500,000 00	1,018 377	509,188 50	9,188 50	ST
JP MORGAN CHASE AIRBAG AYON NBR 11/28/2014 7 57% Exchange OTC EAI $56,775 Current yield 7 67%	Nov 26, 13	750 000	1,000 000	750,000 00	987 530	740,647 50	-9,352 50	ST
UBS AG AIRBAG AYON IGT 12/19/2014 8 00% Exchange OTC EAI $40,000 Current yield 7 97%	Dec 13, 13	500 000	1,000 000	500,000 00	1,003 190	501,595 00	1,595 00	ST
UBS AG AIRBAG AYON HPQ 12/19/2014 7 95% Exchange OTC EAI $39,750 Current yield 7 89%	Dec 13, 13	500 000	1,000 000	500,000 00	1,008 040	504,020 00	4,020 00	ST
DEUTSCHE BANK AG AIRBAG AYON CELG 12/19/2014 8 80% Exchange OTC EAI $44,000 Current yield 8 86%	Dec 13, 13	500 000	1,000 000	500,000 00	993 634	496,817 00	-3,183 00	ST

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Account name GROSSMAN FAMILY FOUNDATION TST
Friendly account name: Yield Notes
Account number: 3325 KR

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Your assets › **Equities** › **Structured products** (continued)

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE AIRBAG AYON FTNT 12/29/2014 9 65% Exchange OTC EAI $72,375 Current yield 9 74%	Dec 20, 13	750 000	1,000 000	750,000 00	990 860	743,145 00	-6,855 00	ST
BARCLAYS BANK PLC AIRBAG AYON GTAT 12/29/2014 11 00% Exchange OTC EAI $82,500 Current yield 10 97%	Dec 20, 13	750 000	1,000 000	750,000 00	1,003 160	752,370 00	2,370 00	ST
Total				**$14,250,000.00**		**$14,153,817.00**	**-$96,183.00**	

Account name GROSSMAN FAMILY FOUNDATION TST
Friendly account name: Federated Div
Account number 3326 KR

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973-360-4200/800-631-8179

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM								
Symbol ABBV Exchange NYSE								
EAI $5,885 Current yield 3 03%	Feb 19, 13	3,573 000	37 621	134,420 19	52 810	188,690 13	54,269 94	ST
	Jun 5, 13	45 000	42 940	1,932 30	52 810	2,376 45	444 15	ST
	Dec 23, 13	60 000	52 586	3,155.18	52 810	3,168 60	13 42	ST
Security total		3,678 000	37 930	139,507 67		194,235 18	54,727 51	
ALTRIA GROUP INC								
Symbol MO Exchange NYSE								
EAI $12,895 Current yield 5 00%	May 27, 09	639 000	16 708	10,676 54	38 390	24,531 21	13,854 67	LT
	Jun 9, 09	313 000	17 388	5,442 51	38 390	12,016 07	6,573 56	LT
	Jun 19, 09	601 000	16 368	9,837 29	38 390	23,072 39	13,235 10	LT
	Aug 28, 09	246 000	18 240	4,487 04	38 390	9,443 94	4,956 90	LT
	Nov 24, 10	495 000	24 518	12,136 61	38 390	19,003 05	6,866 44	LT

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Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 16, 11	36 000	24 470	880 92	38 390	1,382 04	501 12	LT
	Aug 29, 11	48 000	26 550	1,274 40	38 390	1,842 72	568 32	LT
	Oct 14, 11	454 000	27 600	12,530 40	38 390	17,429 06	4,898 66	LT
	Nov 11, 11	559 000	27 799	15,540 17	38 390	21,460 01	5,919 84	LT
	Mar 7, 12	48 000	30 036	1,441 76	38 390	1,842 72	400 96	LT
	Aug 9, 12	2,334 000	34 839	81,316 33	38 390	89,602 26	8,285 93	LT
	May 6, 13	724 000	36 054	26,103 68	38 390	27,794 36	1,690 68	ST
	Jun 5, 13	105 000	35 950	3,774 75	38 390	4,030 95	256 20	ST
	Dec 23, 13	114 000	37 967	4,328 29	38 390	4,376 46	48 17	ST
Security total		6,716 000	28 257	189,770 69		257,827 24	68,056 55	
AMER ELECTRIC POWER CO Symbol AEP Exchange NYSE EAI $2,540 Current yield 4 28%	May 21, 12	721 000	37 844	27,286 17	46 740	33,699 54	6,413 37	LT
	Aug 9, 12	507 000	43 726	22,169 44	46 740	23,697 18	1,527 74	LT
	Jun 5, 13	21 000	45 720	960 12	46 740	981 54	21 42	ST
	Dec 23, 13	21 000	46 660	979 86	46 740	981 54	1 68	ST
Security total		1,270 000	40 469	51,395 59		59,359 80	7,964 21	
ASTRAZENECA PLC SPON ADR Symbol AZN Exchange NYSE EAI $10,606 Current yield 4 72%	Jan 5, 11	7 000	46 828	327 80	59 370	415 59	87 79	LT
	Feb 16, 11	27 000	48 940	1,321 38	59 370	1,602 99	281 61	LT
	Mar 4, 11	508 000	48 887	24,834 75	59 370	30,159 96	5,325 21	LT
	May 11, 11	340 000	51 998	17,679.39	59.370	20,185 80	2,506.41	LT
	Aug 29, 11	30 000	46 942	1,408 28	59 370	1,781 10	372 82	LT
	Oct 17, 11	302 000	47 175	14,246 85	59 370	17,929 74	3,682 89	LT
	Mar 7, 12	27 000	44 510	1,201 77	59 370	1,602 99	401 22	LT
	Jul 23, 12	633 000	46 153	29,215 10	59 370	37,581 21	8,366 11	LT
	Aug 9, 12	1,776 000	46 956	83,395 28	59 370	105,441 12	22,045 84	LT
	Jun 5, 13	78 000	50 769	3,960 05	59 370	4,630 86	670 81	ST
	Dec 23, 13	60 000	58 786	3,527 20	59 370	3,562 20	35 00	ST
Security total		3,788 000	47 814	181,117 85		224,893 56	43,775 71	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC								
Symbol T Exchange NYSE								
EAI $13,320 Current yield 5 23%	Jun 19, 09	423 000	23 957	10,134 02	35 160	14,872 68	4,738 66	LT
	Aug 28, 09	280 000	26 206	7,337 68	35 160	9,844 80	2,507 12	LT
	Dec 8, 09	427 000	27 832	11,884 66	35 160	15,013 32	3,128 66	LT
	Sep 9, 10	149 000	27 900	4,157 10	35 160	5,238 84	1,081 74	LT
	Nov 24, 10	534 000	28 150	15,032 10	35 160	18,775 44	3,743 34	LT
	Feb 16, 11	51 000	28 190	1,437 69	35 160	1,793 16	355 47	LT
	Aug 29, 11	63 000	29 297	1,845 74	35 160	2,215 08	369 34	LT
	Nov 11, 11	463 000	29 450	13,635 35	35 160	16,279 08	2,643 73	LT
	Mar 7, 12	54 000	30 840	1,665 36	35 160	1,898 64	233 28	LT
	Aug 9, 12	2,564 000	37 185	95,344 13	35 160	90,150 24	-5,193 89	LT
	Feb 19, 13	733 000	35 410	25,955 53	35 160	25,772 28	-183 25	ST
	Jun 3, 13	1,264 000	35 126	44,400 40	35 160	44,442 24	41 84	ST
	Jun 5, 13	114 000	35 455	4,041 96	35 160	4,008 24	-33 72	ST
	Dec 23, 13	120 000	34 597	4,151 70	35 160	4,219 20	67 50	ST
Security total		7,239 000	33 295	241,023 42		254,523 24	13,499 82	
BCE INC NEW CAD								
Symbol BCE Exchange NYSE								
EAI $3,371 Current yield 5 17%								
CAD Exchange rate 1 06250	Aug 9, 12	1,441 000	44 955	64,780 23	43 290	62,380 89	-2,399 34	LT
	Jun 5, 13	33 000	43 720	1,442 76	43 290	1,428 57	-14 19	ST
	Dec 23, 13	33 000	43 030	1,419 99	43 290	1,428 57	8 58	ST
Security total		1,507 000	44 886	67,642 98		65,238 03	-2,404 95	
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI $10,511 Current yield 4 69%	Jan 10, 13	2,250 000	45 024	101,305 35	48 610	109,372 50	8,067 15	ST
	Feb 19, 13	895 000	41 470	37,116 28	48 610	43,505 95	6,389 67	ST
	Apr 18, 13	1,315 000	41 065	54,001 40	48 610	63,922 15	9,920 75	ST
	Jun 5, 13	75 000	43 005	3,225 43	48 610	3,645 75	420 32	ST
	Dec 23, 13	75 000	47 437	3,557 79	48 610	3,645 75	87 96	ST
Security total		4,610 000	43 212	199,206 25		224,092 10	24,885 85	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI $1,752 Current yield 2 71%	Aug 9, 12	1,196 000	31 883	38,132 88	53 150	63,567 40	25,434 52	LT
	Dec 23, 13	21 000	53 420	1,121 82	53 150	1,116 15	-5 67	ST
Security total		1,217 000	32 255	39,254 70		64,683 55	25,428 85	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $1,792 Current yield 3 20%	Aug 28, 09	15 000	70 676	1,060 14	124 910	1,873 65	813 51	LT
	Nov 24, 10	219 000	82 897	18,154 66	124 910	27,355 29	9,200 63	LT
	Feb 16, 11	15 000	96 810	1,452 15	124 910	1,873 65	421 50	LT
	Aug 29, 11	6 000	97 661	585 97	124 910	749 46	163 49	LT
	Mar 7, 12	3 000	109 810	329 43	124 910	374 73	45 30	LT
	Aug 9, 12	175 000	113 159	19,802 88	124 910	21,859 25	2,056 37	LT
	Jun 5, 13	9 000	122 440	1,101 96	124 910	1,124 19	22 23	ST
	Dec 23, 13	6 000	123 110	738 66	124 910	749 46	10 80	ST
Security total		448 000	96 486	43,225 85		55,959 68	12,733 83	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $1,542 Current yield 2 71%	Jun 19, 09	32 000	24 614	787 65	41 310	1,321 92	534 27	LT
	Aug 28, 09	270 000	24 543	6,626 61	41 310	11,153 70	4,527 09	LT
	Nov 24, 10	464 000	32 279	14,977 46	41 310	19,167 84	4,190 38	LT
	Aug 29, 11	18 000	34 665	623 97	41 310	743 58	119 61	LT
	Mar 7, 12	12 000	34 275	411 30	41 310	495 72	84 42	LT
	Aug 9, 12	536 000	39 636	21,245 14	41 310	22,142 16	897 02	LT
	Jun 5, 13	21 000	41 000	861 00	41 310	867 51	6.51	ST
	Dec 23, 13	24 000	40 110	962 64	41 310	991 44	28 80	ST
Security total		1,377 000	33 766	46,495 77		56,883 87	10,388 10	
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI $10,430 Current yield 3 91%	May 27, 09	202 000	33 929	6,853 67	70 650	14,271 30	7,417 63	LT
	Jun 9, 09	98 000	34 864	3,416 73	70 650	6,923 70	3,506 97	LT
	Jun 19, 09	190 000	33 077	6,284 81	70 650	13,423 50	7,138 69	LT
	Aug 28, 09	77 000	34 721	2,673.56	70 650	5,440.05	2,766 49	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 12, 10	257 000	42 529	10,930 13	70 650	18,157 05	7,226 92	LT
	Nov 24, 10	229 000	46 581	10,667 12	70 650	16,178 85	5,511 73	LT
	Feb 16, 11	18 000	56 782	1,022 09	70 650	1,271 70	249 61	LT
	Aug 29, 11	21 000	50 989	1,070 78	70 650	1,483 65	412 87	LT
	Mar 7, 12	15 000	58 865	882 98	70 650	1,059 75	176 77	LT
	May 4, 12	329 000	53 101	17,470 39	70 650	23,243 85	5,773 46	LT
	May 21, 12	288 000	51 606	14,862 61	70 650	20,347.20	5,484 59	LT
	Aug 9, 12	1,487 000	57 197	85,052 68	70 650	105,056 55	20,003 87	LT
	Jun 3, 13	445 000	61 722	27,466 33	70 650	31,439 25	3,972 92	ST
	Jun 5, 13	60 000	61 726	3,703 61	70 650	4,239 00	535 39	ST
	Dec 23, 13	63 000	69 727	4,392 83	70 650	4,450 95	58 12	ST
Security total		3,779 000	52 064	196,750 32		266,986 35	70,236 03	
DOMINION RESOURCES INC VA (NEW) Symbol D Exchange NYSE EAI $4,421 Current yield 3 48%	Dec 8, 09	109 000	38 324	4,177 34	64 690	7,051 21	2,873 87	LT
	Nov 24, 10	402 000	41 957	16,867 11	64 690	26,005 38	9,138.27	LT
	Feb 16, 11	30 000	44 050	1,321 50	64 690	1,940 70	619 20	LT
	Aug 29, 11	36,000	48 760	1,755 36	64 690	2,328 84	573 48	LT
	Mar 7, 12	9 000	50 320	452 88	64 690	582 21	129 33	LT
	Aug 9, 12	396 000	53 617	21,232 65	64 690	25,617 24	4,384 59	LT
	Feb 19, 13	926 000	55 303	51,210 76	64 690	59,902 94	8,692 18	ST
	Jun 5, 13	24 000	54 606	1,310 56	64 690	1,552.56	242 00	ST
	Dec 23, 13	33 000	64 500	2,128 50	64 690	2,134 77	6 27	ST
Security total		1,965 000	51 123	100,456 66		127,115 85	26,659 19	
DUKE ENERGY CORP NEW Symbol DUK Exchange NYSE EAI. $9,039 Current yield 4 52%	May 27, 09	368 333	41 446	15,266 13	69 010	25,418 69	10,152 56	LT
	Jun 9, 09	180 333	42 508	7,665 70	69 010	12,444 80	4,779 10	LT
	Jun 19, 09	346 333	43 408	15,033 81	69 010	23,900 46	8,866 65	LT
	Aug 28, 09	142 000	46 678	6,628 35	69 010	9,799 42	3,171 07	LT
	Nov 24, 10	286 000	52 857	15,117 27	69 010	19,736 86	4,619 59	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 16, 11	22 000	53 310	1,172 82	69 010	1,518 22	345 40	LT
	Aug 29, 11	26 000	56 301	1,463 83	69 010	1,794 26	330 43	LT
	Mar 7, 12	20 000	62 933	1,258 66	69 010	1,380 20	121 54	LT
	Aug 9, 12	944.000	67 876	64,075 42	69 010	65,145 44	1,070 02	LT
	Oct 23, 12	466.000	65 180	30,373 88	69 010	32,158 66	1,784 78	LT
	Jun 5, 13	48 000	67 120	3,221 76	69 010	3,312 48	90 72	ST
	Dec 23, 13	48 000	69 550	3,338 40	69 010	3,312 48	-25 92	ST
Security total		2,897 000	56 823	164,616 03		199,921 97	35,305 94	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI $8,583 Current yield 4 51%	Jun 19, 09	188 000	36 767	6,912 29	53 390	10,037 32	3,125 03	LT
	Aug 28, 09	197 000	39 108	7,704 37	53 390	10,517 83	2,813 46	LT
	Apr 12, 10	318 000	39 150	12,449 70	53 390	16,978 02	4,528 32	LT
	Nov 24, 10	491 000	39 189	19,241 90	53 390	26,214 49	6,972 59	LT
	Feb 16, 11	36 000	38 380	1,381 68	53 390	1,922 04	540 36	LT
	Aug 29, 11	45 000	42 737	1,923 18	53 390	2,402 55	479 37	LT
	Mar 7, 12	36 000	44 420	1,599 12	53 390	1,922 04	322 92	LT
	Jul 23, 12	317 000	45 487	14,419 38	53 390	16,924 63	2,505 25	LT
	Aug 9, 12	1,821 000	46 779	85,186 20	53 390	97,223 19	12,036 99	LT
	Jun 5, 13	57 000	51 355	2,927 28	53 390	3,043 23	115 95	ST
	Dec 23, 13	57 000	51 810	2,953 17	53.390	3,043 23	90 06	ST
Security total		3,563 000	43.979	156,698 27		190,228 57	33,530 30	
HCP INC Symbol HCP Exchange NYSE EAI $3,276 Current yield 5 78%	Nov 14, 11	885 000	38 186	33,794 98	36 320	32,143 20	-1,651 78	LT
	Mar 7, 12	12 000	38 972	467 67	36 320	435 84	-31 83	LT
	Aug 9, 12	609 000	45 554	27,742 59	36 320	22,118 88	-5,623.71	LT
	Jun 5, 13	27 000	46 807	1,263 79	36 320	980 64	-283 15	ST
	Dec 23, 13	27 000	36 330	980 91	36 320	980 64	-0 27	ST
Security total		1,560 000	41 186	64,249 94		56,659 20	-7,590 74	
HEALTH CARE REIT INC SBI Symbol HCN Exchange NYSE EAI $5,621 Current yield 5 71%	Oct 19, 09	348 000	43 497	15,137 18	53 570	18,642 36	3,505 18	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 18, 10	261 000	40 291	10,516 09	53 570	13,981 77	3,465 68	LT
	Nov 24, 10	172 000	44 273	7,615 11	53 570	9,214 04	1,598 93	LT
	Feb 16, 11	12 000	47 801	573 62	53 570	642 84	69 22	LT
	Aug 29, 11	18 000	47 463	854 34	53 570	964 26	109 92	LT
	Mar 7, 12	12 000	53 007	636 09	53 570	642 84	6 75	LT
	Aug 9, 12	556 000	59 323	32,983 64	53 570	29,784 92	-3,198 72	LT
	Feb 19, 13	404 000	63 478	25,645 23	53 570	21,642 28	-4,002 95	ST
	Jun 5, 13	21 000	67 030	1,407 63	53 570	1,124 97	-282 66	ST
	Dec 23, 13	33 000	53 050	1,750 65	53 570	1,767 81	17 16	ST
Security total		1,837 000	52 869	97,119 58		98,408 09	1,288 51	
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $5,243 Current yield 2 88%	May 27, 09	303 000	54 338	16,464 47	91 590	27,751 77	11,287 30	LT
	Jun 9, 09	150 000	55 897	8,384 67	91 590	13,738 50	5,353 83	LT
	Jun 19, 09	285 000	56 457	16,090 47	91 590	26,103 15	10,012 68	LT
	Aug 28, 09	116 000	60 106	6,972 38	91 590	10,624 44	3,652 06	LT
	Nov 24, 10	234 000	63 309	14,814 35	91 590	21,432 06	6,617 71	LT
	Feb 16, 11	18 000	60 630	1,091 34	91 590	1,648 62	557 28	LT
	Aug 29, 11	21 000	64 700	1,358 70	91 590	1,923 39	564 69	LT
	Mar 7, 12	18 000	64 260	1,156 68	91 590	1,648 62	491 94	LT
	Aug 9, 12	775.000	68 488	53,078 55	91 590	70,982 25	17,903 70	LT
	Jun 5, 13	33 000	83 960	2,770 68	91 590	3,022 47	251 79	ST
	Dec 23, 13	33 000	92 120	3,039 96	91 590	3,022.47	-17.49	ST
Security total		1,986 000	63 052	125,222 25		181,897 74	56,675 49	
KIMBERLY CLARK CORP Symbol KMB Exchange NYSE EAI $4,406 Current yield 3 10%	May 27, 09	59 000	51 428	3,034 31	104 460	6,163 14	3,128 83	LT
	Jun 9, 09	199 000	52 749	10,497 15	104 460	20,787 54	10,290 39	LT
	Jun 19, 09	381 000	51 139	19,483 96	104 460	39,799 26	20,315 30	LT
	Aug 28, 09	155 000	59 519	9,225 52	104 460	16,191 30	6,965 78	LT
	Nov 24, 10	313 000	61 169	19,145 96	104 460	32,695 98	13,550 02	LT
	Feb 16, 11	24 000	64 830	1,555 92	104 460	2,507 04	951 12	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 29, 11	30 000	68 178	2,045 36	104 460	3,133 80	1,088 44	LT
	Mar 7, 12	21 000	71 420	1,499 82	104 460	2,193 66	693 84	LT
	Aug 9, 12	133 000	82 975	11,035 70	104 460	13,893 18	2,857 48	LT
	Jun 5, 13	21 000	96 280	2,021 88	104 460	2,193 66	171 78	ST
	Dec 23, 13	24 000	104 800	2,515 20	104 460	2,507 04	-8 16	ST
Security total		1,360 000	60 339	82,060 78		142,065 60	60,004 82	
KRAFT FOODS GROUP INC Symbol KRFT Exchange OTC EAI $9,240 Current yield 3 90%	Oct 12, 12	2,214 000	46 990	104,035 86	53 910	119,356 74	15,320 88	LT
	Dec 5, 12	1,008 000	45 992	46,360 84	53 910	54,341 28	7,980 44	LT
	May 6, 13	1,037 000	53 520	55,500 24	53 910	55,904 67	404 43	ST
	Jun 5, 13	66 000	53 435	3,526 76	53 910	3,558 06	31 30	ST
	Dec 23, 13	75 000	53 855	4,039 13	53 910	4,043 25	4 12	ST
Security total		4,400 000	48 514	213,462 83		237,204 00	23,741 17	
LILLY ELI & CO Symbol LLY Exchange NYSE EAI $2,036 Current yield 3 84%	Aug 9, 12	1,003 000	42 836	42,965 21	51 000	51,153 00	8,187 79	LT
	Jun 5, 13	18 000	52 350	942 30	51 000	918 00	-24 30	ST
	Dec 23, 13	18 000	50 850	915 30	51 000	918 00	2 70	ST
Security total		1,039 000	43 140	44,822 81		52,989 00	8,166 19	
LORILLARD INC Symbol LO Exchange NYSE EAI $6,204 Current yield 4 34%	Oct 9, 09	600.000	25 953	15,572 19	50 680	30,408 00	14,835 81	LT
	Nov 24, 10	168 000	28 010	4,705 68	50 680	8,514 24	3,808 56	LT
	Feb 16, 11	18 000	25 790	464 22	50 680	912 24	448 02	LT
	Aug 29, 11	9 000	37 280	335 52	50 680	456 12	120 60	LT
	Mar 7, 12	18 000	42 824	770.84	50 680	912 24	141 40	LT
	Aug 9, 12	546 000	41 139	22,462 17	50 680	27,671.28	5,209.11	LT
	Feb 19, 13	623 000	41 805	26,045.08	50 680	31,573 64	5,528 56	ST
	Jun 3, 13	748 000	43 024	32,182 40	50 680	37,908 64	5,726 24	ST
	Jun 5, 13	45 000	42 560	1,915 20	50 680	2,280 60	365 40	ST
	Dec 23, 13	45 000	50 330	2,264 85	50 680	2,280 60	15 75	ST
Security total		2,820 000	37 843	106,718 15		142,917 60	36,199 45	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MCDONALDS CORP								
Symbol. MCD Exchange NYSE								
EAI $4,497 Current yield 3.34%	Oct 9, 09	26 000	56 698	1,474 15	97 030	2,522 78	1,048 63	LT
	Aug 12, 10	39 000	72 041	2,809 62	97 030	3,784 17	974 55	LT
	Sep 8, 10	15 000	76 029	1,140 44	97 030	1,455 45	315 01	LT
	Nov 24, 10	223 000	79 389	17,703 79	97 030	21,637 69	3,933 90	LT
	Aug 29, 11	3 000	90 300	270 90	97 030	291.09	20 19	LT
	Mar 7, 12	6 000	99 890	599 34	97 030	582 18	-17 16	LT
	Aug 9, 12	210 000	87 537	18,382 92	97 030	20,376 30	1,993 38	LT
	Dec 5, 12	818 000	87 292	71,405 67	97 030	79,370 54	7,964 87	LT
	Jun 5, 13	24 000	96 720	2,321 28	97 030	2,328 72	7 44	ST
	Dec 23, 13	24 000	96 237	2,309 70	97 030	2,328 72	19 02	ST
Security total		1,388 000	85 315	118,417 81		134,677 64	16,259 83	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $9,015 Current yield 3 52%	Jan 6, 12	2,372 000	38 723	91,852 38	50 050	118,718 60	26,866 22	LT
	Mar 7, 12	33 000	37 300	1,230 90	50 050	1,651 65	420 75	LT
	Aug 9, 12	1,631 000	44 156	72,019 26	50 050	81,631 55	9,612 29	LT
	Feb 19, 13	939 000	41 899	39,343 54	50 050	46,996 95	7,653 41	ST
	Jun 5, 13	63 000	49 016	3,088 06	50 050	3,153 15	65 09	ST
	Dec 23, 13	84 000	49 437	4,152 75	50 050	4,204 20	51.45	ST
Security total		5,122 000	41 329	211,686 89		256,356 10	44,669 21	
NATIONAL GRID PLC NEW SPON ADR								
Symbol NGG Exchange NYSE								
EAI $10,999 Current yield 4 82%	Jan 5, 11	405 000	45 195	18,304 26	65 320	26,454 60	8,150 34	LT
	Feb 16, 11	24 000	45 910	1,101 84	65 320	1,567 68	465 84	LT
	May 11, 11	515 000	51 901	26,729 22	65 320	33,639 80	6,910 58	LT
	Jun 8, 11	352 000	48 924	17,221 42	65 320	22,992 64	5,771 22	LT
	Jan 9, 12	543 000	48 217	26,182 27	65 320	35,468 76	9,286 49	LT
	Mar 7, 12	51 000	50 922	2,597 07	65 320	3,331 32	734 25	LT
	Aug 9, 12	1,538 000	53 863	82,842 68	65 320	100,462.16	17,619 48	LT
	Jun 5, 13	66 000	57 053	3,765 56	65 320	4,311 12	545 56	ST

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Account name GROSSMAN FAMILY FOUNDATION TST
Friendly account name: Federated Div
Account number: 3326 KR

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		3,494 000	51 158	178,744 32		228,228 08	49,483 76	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $1,680 Current yield 2 74%	Oct 13, 11	420 000	62 285	26,159 92	82 940	34,834 80	8,674 88	LT
	Mar 7, 12	6 000	62 530	375 18	82 940	497 64	122 46	LT
	Aug 9, 12	290 000	72 207	20,940 26	82 940	24,052 60	3,112 34	LT
	Jun 5, 13	12 000	81 080	972 96	82 940	995 28	22 32	ST
	Dec 23, 13	12 000	81 850	982 20	82 940	995 28	13 08	ST
Security total		740 000	66 798	49,430 52		61,375 60	11,945 08	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $4,681 Current yield 4 32%	Jun 19, 09	61 000	42 567	2,596 64	87 130	5,314 93	2,718 29	LT
	Aug 28, 09	139 000	45 597	6,338 09	87 130	12,111 07	5,772 98	LT
	Aug 9, 10	55 000	52 522	2,888 74	87 130	4,792 15	1,903 41	LT
	Sep 20, 10	21 000	55 800	1,171 80	87 130	1,829 73	657 93	LT
	Oct 15, 10	25 000	58 470	1,461 77	87 130	2,178 25	716 48	LT
	Nov 24, 10	179 000	59 049	10,569 81	87 130	15,596 27	5,026 46	LT
	Feb 16, 11	21 000	60 460	1,269 66	87 130	1,829 73	560 07	LT
	Aug 29, 11	27 000	70 060	1,891 62	87 130	2,352 51	460 89	LT
	Mar 7, 12	18 000	83 960	1,511 28	87 130	1,568 34	57 06	LT
	Aug 9, 12	371 000	91 676	34,011 98	87 130	32,325 23	-1,686 75	LT
	May 6, 13	289 000	93 181	26,929 42	87 130	25,180 57	-1,748 85	ST
	Jun 5, 13	21 000	91 170	1,914 57	87 130	1,829 73	-84 84	ST
	Dec 23, 13	18 000	85 350	1,536 30	87 130	1,568 34	32 04	ST
Security total		1,245 000	75 576	94,091 68		108,476 85	14,385 17	
PPL CORP								
Symbol PPL Exchange NYSE								
EAI $6,662 Current yield 4 89%	May 10, 11	1,322 000	27 682	36,596 84	30.090	39,778 98	3,182 14	LT
	Jun 3, 11	729 000	27 755	20,233 76	30 090	21,935 61	1,701 85	LT
	Aug 29, 11	39 000	28 200	1,099 80	30 090	1,173 51	73 71	LT
	Mar 7, 12	30 000	27 810	834 30	30 090	902 70	68 40	LT
	Aug 9, 12	1,440 000	29 617	42,649 20	30 090	43,329 60	680 40	LT
	Feb 19, 13	843 000	30 410	25,635 63	30 090	25,365 87	-269 76	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 5, 13	57 000	29 626	1,688 70	30 090	1,715 13	26 43	ST
	Dec 23, 13	72 000	29 835	2,148 15	30 090	2,166 48	18 33	ST
Security total		4,532 000	28 880	130,886 38		136,367 88	5,481 50	
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $2,007 Current yield 2 96%	Jun 9, 09	2 000	52 600	105 20	81 410	162 82	57 62	LT
	Jun 19, 09	216 000	50 857	10,985 29	81 410	17,584 56	6,599 27	LT
	Aug 28, 09	88 000	52 947	4,659 41	81 410	7,164 08	2,504 67	LT
	Nov 24, 10	142 000	62 550	8,882 10	81.410	11,560 22	2,678 12	LT
	Feb 16, 11	12 000	63 740	764 88	81 410	976 92	212 04	LT
	Aug 29, 11	12 000	63 090	757 08	81 410	976 92	219 84	LT
	Mar 7, 12	9 000	66.560	599 04	81 410	732 69	133 65	LT
	Aug 9, 12	326 000	66 846	21,791 96	81 410	26,539 66	4,747 70	LT
	Jun 5, 13	15 000	76 800	1,152 00	81 410	1,221 15	69 15	ST
	Dec 23, 13	12 000	81 240	974 88	81 410	976 92	2 04	ST
Security total		834 000	60 758	50,671 84		67,895 94	17,224 10	
REALTY INCOME CORP MD SBI Symbol O Exchange NYSE EAI $3,756 Current yield 5 86%	Jul 8, 13	1,691 000	42 716	72,233 77	37 330	63,125 03	-9,108 74	ST
	Dec 23, 13	27 000	37 990	1,025 73	37 330	1,007 91	-17 82	ST
Security total		1,718 000	42 642	73,259 50		64,132 94	-9,126 56	
REYNOLDS AMERN INC (HOLDING CO) Symbol RAI Exchange. NYSE EAI $10,844 Current yield 5 04%	May 27, 09	534 000	19 844	10,596 70	49 990	26,694 66	16,097 96	LT
	Jun 9, 09	262 000	19 124	5,010 73	49 990	13,097 38	8,086 65	LT
	Jun 19, 09	502 000	18 744	9,409 74	49 990	25,094 98	15,685 24	LT
	Aug 28, 09	206 000	22 794	4,695 67	49 990	10,297 94	5,602 27	LT
	Nov 24, 10	414 000	31 607	13,085 71	49 990	20,695 86	7,610 15	LT
	Feb 16, 11	33 000	33 490	1,105 17	49 990	1,649 67	544 50	LT
	Aug 29, 11	39 000	37 098	1,446 85	49 990	1,949 61	502 76	LT
	Mar 7, 12	27 000	41 720	1,126 44	49 990	1,349 73	223 29	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 9, 12	1,369 000	45 496	62,284 71	49 990	68,436 31	6,151 60	LT
	Oct 23, 12	773 000	41 898	32,387 70	49 990	38,642 27	6,254 57	LT
	Jun 5, 13	72 000	48 246	3,473 74	49 990	3,599 28	125 54	ST
	Dec 23, 13	72 000	48 790	3,512 88	49 990	3,599 28	86 40	ST
Security total		4,303 000	34 426	148,136 04		215,106 97	66,970 93	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol RDS B Exchange NYSE EAI $11,124 Current yield 4 79%	May 27, 09	342 000	52 575	17,980 75	75 110	25,687 62	7,706 87	LT
	Jun 9, 09	176 000	56 073	9,868 92	75 110	13,219 36	3,350 44	LT
	Jun 19, 09	321 000	53 151	17,061 53	75 110	24,110 31	7,048 78	LT
	Aug 28, 09	131 000	54 601	7,152 76	75 110	9,839 41	2,686 65	LT
	Feb 4, 10	180 000	52 516	9,453 02	75 110	13,519 80	4,066 78	LT
	Oct 14, 10	60 000	61 823	3,709 42	75 110	4,506 60	797 18	LT
	Nov 15, 10	30 000	65 180	1,955 41	75 110	2,253 30	297 89	LT
	Nov 24, 10	251 000	62 606	15,714 31	75 110	18,852 61	3,138 30	LT
	Feb 16, 11	24 000	69 260	1,662 24	75 110	1,802 64	140 40	LT
	Aug 29, 11	30 000	65 322	1,959.68	75 110	2,253 30	293 62	LT
	Mar 7, 12	24 000	71 920	1,726 08	75 110	1,802 64	76 56	LT
	Aug 9, 12	1,062 000	73 629	78,194 98	75 110	79,766 82	1,571 84	LT
	Oct 23, 12	354 000	69 739	24,687 71	75 110	26,588 94	1,901 23	LT
	Jun 5, 13	54 000	67 995	3,671 77	75 110	4,055 94	384 17	ST
	Dec 23, 13	51 000	73 840	3,765 84	75 110	3,830 61	64 77	ST
Security total		3,090 000	64 260	198,564 42		232,089 90	33,525 48	
SENIOR HSG PROPERTIES TRUST SBI Symbol SNH Exchange NYSE EAI $5,430 Current yield 7 02%	Feb 19, 13	2,058 000	24 652	50,734 43	22 230	45,749 34	-4,985 09	ST
	Jun 3, 13	1,309 000	26 133	34,209 01	22 230	29,099 07	-5,109 94	ST
	Jun 5, 13	57 000	26 136	1,489 80	22 230	1,267 11	-222 69	ST
	Dec 23, 13	57 000	22 340	1,273 38	22 230	1,267 11	-6 27	ST
Security total		3,481 000	25 196	87,706 62		77,382 63	-10,323 99	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SOUTHERN CO								
Symbol SO Exchange NYSE								
EAI $8,964 Current yield 4 94%	May 27, 09	674 000	28 399	19,141 26	41 110	27,708.14	8,566 88	LT
	Jun 9, 09	328 000	28 819	9,452 80	41 110	13,484 08	4,031 28	LT
	Jun 19, 09	632.000	30 839	19,490 56	41 110	25,981 52	6,490 96	LT
	Aug 28, 09	259 000	31 459	8,148 01	41 110	10,647 49	2,499 48	LT
	Nov 24, 10	525 000	37 910	19,902 75	41 110	21,582 75	1,680 00	LT
	Feb 16, 11	39 000	37 720	1,471 08	41 110	1,603 29	132 21	LT
	Aug 29, 11	48 000	40 947	1,965 46	41.110	1,973 28	7 82	LT
	Mar 7, 12	39 000	44 540	1,737 06	41 110	1,603 29	-133 77	LT
	Aug 9, 12	1,722 000	46 855	80,685 58	41 110	70,791 42	-9,894 16	LT
	Jun 5, 13	75 000	43 815	3,286 17	41 110	3,083 25	-202 92	ST
	Dec 23, 13	75 000	41 066	3,079 98	41 110	3,083 25	3 27	ST
Security total		4,416 000	38 125	168,360 71		181,541 76	13,181 05	
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $11,019 Current yield 4 29%	Jul 16, 09	228 000	53 461	12,189 29	61 270	13,969 56	1,780 27	LT
	Aug 4, 09	474 000	55 587	26,348 62	61 270	29,041 98	2,693 36	LT
	Aug 28, 09	112 000	57 725	6,465 20	61 270	6,862 24	397 04	LT
	Sep 8, 09	110 000	58 882	6,477 08	61 270	6,739 70	262 62	LT
	Nov 24, 10	117 000	50 950	5,961 15	61 270	7,168 59	1,207 44	LT
	Feb 16, 11	15 000	58 916	883 75	61 270	919 05	35 30	LT
	Aug 29, 11	24 000	48 590	1,166 16	61 270	1,470 48	304 32	LT
	Oct 17, 11	512 000	51 603	26,420 94	61 270	31,370 24	4,949 30	LT
	Mar 7, 12	24 000	54 580	1,309 92	61 270	1,470 48	160 56	LT
	Aug 9, 12	1,957 000	49 193	96,272 39	61 270	119,905 39	23,633 00	LT
	Oct 23, 12	482 000	50 280	24,235 35	61 270	29,532 14	5,296 79	LT
	Jun 5, 13	72 000	49 906	3,593 27	61 270	4,411 44	818 17	ST
	Dec 23, 13	69 000	60 283	4,159.56	61 270	4,227 63	68 07	ST
Security total		4,196 000	51 354	215,482 68		257,088 92	41,606 24	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol· UL Exchange NYSE								
EAI $1,935 Current yield 3 39%	Nov 24, 10	28 000	29 278	819 80	41 200	1,153 60	333 80	LT
	Feb 16, 11	27 000	29 000	783 00	41 200	1,112 40	329 40	LT
	Aug 29, 11	36 000	33 450	1,204 20	41 200	1,483 20	279 00	LT
	Mar 7, 12	27 000	32 150	868 05	41 200	1,112 40	244 35	LT
	Aug 9, 12	1,224 000	35 949	44,002 70	41 200	50,428 80	6,426 10	LT
	Jun 5, 13	21 000	41 510	871 71	41 200	865 20	-6 51	ST
	Dec 23, 13	24 000	40 040	960 96	41 200	988 80	27 84	ST
Security total		1,387 000	35 696	49,510 42		57,144 40	7,633 98	
VENTAS INC								
Symbol VTR Exchange NYSE								
EAI $2,633 Current yield 5 06%	Sep 17, 13	890 000	62 986	56,058 07	57 280	50,979 20	-5,078 87	ST
	Dec 23, 13	18 000	57 240	1,030 32	57 280	1,031 04	0 72	ST
Security total		908 000	62 873	57,088 39		52,010 24	-5,078 15	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI $10,144 Current yield 4 31%	May 27, 09	601 000	27 222	16,360 74	49 140	29,533 14	13,172 40	LT
	Jun 9, 09	291 000	27 642	8,044 02	49 140	14,299 74	6,255 72	LT
	Jun 19, 09	566 000	28 028	15,863 97	49 140	27,813 24	11,949 27	LT
	Aug 28, 09	231 000	28 985	6,695 71	49 140	11,351 34	4,655 63	LT
	Dec 8, 09	248 000	31 365	7,778 67	49 140	12,186 72	4,408 05	LT
	Dec 8, 09	116 000	31 365	3,638 42	49 140	5,700 24	2,061 82	LT
	Nov 24, 10	567 000	32 448	18,398 56	49 140	27,862 38	9,463 82	LT
	Feb 16, 11	42 000	36 068	1,514 86	49 140	2,063.88	549.02	LT
	Aug 29, 11	54 000	36 017	1,944 92	49 140	2,653 56	708 64	LT
	Mar 7, 12	39 000	38 940	1,518 66	49 140	1,916 46	397.80	LT
	Aug 9, 12	1,868 000	44 397	82,934 53	49.140	91,793 52	8,858 99	LT
	Jun 5, 13	81 000	48 416	3,921 76	49 140	3,980 34	58 58	ST
	Dec 23, 13	81 000	48 725	3,946 76	49 140	3,980 34	33 58	ST
Security total		4,785 000	36 063	172,561 58		235,134 90	62,573 32	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VODAFONE GROUP PLC NEW SPON ADR								
Symbol VOD Exchange NYSE								
EAI $10,273 Current yield 4 04%	Jun 19, 09	695 000	19 758	13,731 95	39 310	27,320 45	13,588 50	LT
	Aug 28, 09	292 000	21 777	6,359 12	39 310	11,478 52	5,119 40	LT
	Sep 8, 09	250 000	22 787	5,696 95	39 310	9,827 50	4,130 55	LT
	May 18, 10	392 000	19 889	7,796 65	39 310	15,409 52	7,612 87	LT
	Nov 24, 10	458 000	26 167	11,984 49	39 310	18,003 98	6,019 49	LT
	Feb 16, 11	51 000	29 560	1,507 56	39 310	2,004 81	497 25	LT
	Aug 29, 11	66 000	26 816	1,769 92	39 310	2,594 46	824 54	LT
	Mar 7, 12	51 000	26 849	1,369 32	39 310	2,004 81	635 49	LT
	Aug 9, 12	3,101 000	29 699	92,099 59	39 310	121,900 31	29,800 72	LT
	Oct 23, 12	877 000	27 998	24,554.86	39 310	34,474 87	9,920 01	LT
	Jun 5, 13	126 000	28 856	3,635 91	39 310	4,953 06	1,317 15	ST
	Dec 23, 13	102 000	38 566	3,933 74	39 310	4,009 62	75 88	ST
Security total		6,461 000	26 999	174,440 06		253,981 91	79,541 85	
WILLIAMS COS INC (DEL)								
Symbol WMB Exchange NYSE								
EAI $3,742 Current yield 3 94%	Dec 5, 13	2,423 000	36 382	88,155 04	38 570	93,455 11	5,300 07	ST
	Dec 23, 13	39 000	37 946	1,479 93	38 570	1,504 23	24 30	ST
Security total		2,462 000	36 407	89,634 97		94,959 34	5,324 37	
Total				**$4,819,493.22**		**$5,868,042.22**	**$1,048,549.00**	

Account name. GROSSMAN FAMILY FOUNDATION TST
Friendly account name· Stonebridge Pfd
Account number· 3328 KB

Your Financial Advisor·
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Fixed income

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AEGON N V PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AEB Exchange NYSE EAI $1,714 Current yield 5 14%	Nov 29, 11	410 000	16 940	6,945 40	19 680	8,068 80	1,123 40	LT
	Aug 9, 12	975 000	21 850	21,303 75	19 680	19,188 00	-2,115 75	LT
	Sep 11, 13	125 000	20 180	2,522 50	19 680	2,460 00	-62 50	ST
	Sep 12, 13	88 000	20 180	1,775.84	19 680	1,731 84	-44 00	ST
	Sep 23, 13	97 000	20 450	1,983 65	19 680	1,908 96	-74 69	ST
Security total		1,695 000		34,531 14		33,357 60	-1,173 54	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AEGON NV SHS SPON ADR 6 375% PREFERRED CALLABLE 6/15/15 Symbol AEH Exchange NYSE EAI $1,726 Current yield 6 81%	May 15, 13	228 000	26 700	6,087 60	23 410	5,337 48	-750 12	ST
	May 20, 13	617 000	26 679	16,461 31	23 410	14,443 97	-2,017 34	ST
	May 22, 13	167 000	26 700	4,458 90	23 410	3,909 47	-549 43	ST
	May 23, 13	50 000	26 600	1,330 00	23 410	1,170.50	-159 50	ST
	May 24, 13	1 000	26 640	26 64	23 410	23 41	-3 23	ST
	May 29, 13	20 000	26 340	526 80	23 410	468 20	-58 60	ST
Security total		1,083 000		28,891 25		25,353 03	-3,538 22	
AEGON NV 8 000% DUE 02/15/42 CALLABLE Symbol AEK Exchange NYSE EAI $1,930 Current yield 7 09%	Apr 11, 13	965 000	28 800	27,792 00	28 190	27,203 35	-588 65	ST
AFFILIATED MANAGERS GROU 6 375% DUE 08/15/42 CALLABLE Symbol MGR Exchange NYSE EAI $2,997 Current yield 7 01%	Sep 7, 12	977 000	25 620	25,030 74	22 750	22,226 75	-2,803 99	LT
	Sep 7, 12	183 000	25 648	4,693 62	22 750	4,163 25	-530 37	LT
	Oct 2, 13	720 000	22 767	16,392 38	22 750	16,380 00	-12 38	ST
Security total		1,880 000		46,116.74		42,770.00	-3,346 74	
AFLAC INC 5 500% DUE 09/15/52 CALLABLE Symbol AFSD Exchange NYSE EAI $2,668 Current yield 6 48%	Sep 24, 12	1,620 000	24 830	40,225 90	21 220	34,376 40	-5,849 50	LT
	Sep 9, 13	320 000	21 850	6,992 00	21 220	6,790 40	-201 60	ST
Security total		1,940 000		47,217 90		41,166 80	-6,051 10	

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLIED CAPITAL CORP NEW 6 875% DUE 04/15/47 CALLABLE Symbol AFC Exchange NYSE EAI $645 Current yield 7 54%	Aug 13, 12	375 000	25 140	9,427 50	22 820	8,557 50	-870 00	LT
AMERICAN FINANCIAL GROUP 7 000% DUE 09/30/50 CALLABLE Symbol AFQ Exchange NYSE EAI $1,199 Current yield 6 90%	Feb 6, 13	395 000	26 550	10,487 25	25 350	10,013 25	-474 00	ST
	Jul 10, 13	144 000	25 920	3,732 48	25 350	3,650 40	-82 08	ST
	Jul 11, 13	146 000	25 948	3,788 52	25 350	3,701 10	-87 42	ST
Security total		685 000		18,008 25		17,364 75	-643 50	
AMERICAN FINANCIAL GROUP (AFG) 6 375% DUE 06/12/42 CALLABLE Symbol AFW Exchange NYSE EAI $1,156 Current yield 6 73%	Jun 11, 12	385 000	24 844	9,565 05	23 680	9,116 80	-448 25	LT
	Aug 9, 12	340 000	26 680	9,071 20	23 680	8,051 20	-1,020 00	LT
Security total		725 000		18,636 25		17,168 00	-1,468 25	
APOLLO INVMT CORP 6 625% DUE 10/15/42 CALLABLE Symbol AIB Exchange NYSE EAI $687 Current yield 8 03%	Oct 12, 12	415 000	24 660	10,233 90	20 620	8,557 30	-1,676 60	LT
ARCH CAPITAL GROUP LTD (ACGL) 6 750% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ARH PRC Exchange NYSE EAI. $4,845 Current yield 7 36%	Apr 9, 12	1,200 000	25 290	30,348 48	22 930	27,516 00	-2,832 48	LT
	Aug 9, 12	1,340 000	27 830	37,292 20	22 930	30,726 20	-6,566 00	LT
	Sep 24, 12	95 000	27 320	2,595 40	22 930	2,178 35	-417 05	LT
	Oct 4, 13	107 000	23 971	2,564 90	22 930	2,453 51	-111 39	ST
	Oct 7, 13	77 000	23 910	1,841 07	22 930	1,765 61	-75 46	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 11, 13	51 000	23 765	1,255 45[2]	22 930	1,169 43	-86 02	LT
Security total		2,870 000		75,897 50		65,809 10	-10,088 40	
ARES CAPITAL CORP 7 750% DUE 10/15/40 Symbol ARY Exchange NYSE EAI $3,983 Current yield 7 66%	Nov 23, 11	820 000	24 950	20,459 00	25 310	20,754 20	295 20	LT
	Aug 9, 12	1,235 000	26 990	33,332 65	25 310	31,257 85	-2,074 80	LT
Security total		2,055 000		53,791 65		52,012 05	-1,779 60	
ARES CAPITAL (ARCC) RATE 7 000% QUARTERLY MATURITY 02/15/2022 Symbol ARN Exchange NYSE EAI $595 Current yield 6 68%	Jul 24, 13	340 000	25 900	8,806 00	26 200	8,908 00	102 00	ST
ASPEN INSURANCE HOLDINGS LTD 7 401% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AHL PRA Exchange NYSE EAI $2,525 Current yield 7 31%	Nov 22, 11	305 000	24 700	7,533 50	25 300	7,716 50	183 00	LT
	Nov 23, 11	200 000	24 650	4,930 00	25 300	5,060 00	130 00	LT
	Aug 13, 12	860 000	26 770	23,022 20	25 300	21,758 00	-1,264 20	LT
Security total		1,365 000		35,485 70		34,534 50	-951 20	
ASPEN INSURANCE HOLDINGS LIMITED (AHL) 7 250% VALUE - 25 00 USD Symbol AHL PRB Exchange NYSE EAI $1,269 Current yield 7 40%	Apr 4, 12	340 000	24 800	8,432 00	24 490	8,326 60	-105 40	LT
	Aug 9, 12	360 000	26 701	9,612 40	24 490	8,816.40	-796 00	LT
Security total		700 000		18,044 40		17,143 00	-901 40	
ASPEN INSURANCE HOLDINGS 5 950% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AHL PRC Exchange NYSE EAI $1,651 Current yield 6 56%	May 7, 13	550 000	26 000	14,300 00	22 670	12,468 50	-1,831 50	ST
	Jun 25, 13	560 000	24 110	13,501 60	22 670	12,695 20	-806 40	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		1,110 000		27,801 60		25,163 70	-2,637 90	
ASSURED GUARNTY MUNI HLD 6 250% DUE 11/01/02 CALLABLE Symbol AGO PRE Exchange NYSE EAI $1,846 Current yield 7 67%	Feb 23, 12	286 000	24 499	7,006 97	20 390	5,831 54	-1,175 43	LT
	Aug 9, 12	895 000	25 000	22,375 00	20 390	18,249 05	-4,125 95	LT
Security total		1,181 000		29,381 97		24,080 59	-5,301 38	
AVIVA PLC 8.250% DUE 12/01/41 CALLABLE Symbol AVV Exchange NYSE EAI $4,023 Current yield 7 53%	Jan 27, 12	860 000	25 977	22,340 40	27 400	23,564 00	1,223 60	LT
	Aug 9, 12	1,090 000	28 130	30,661 70	27 400	29,866 00	-795 70	LT
Security total		1,950 000		53,002 10		53,430 00	427 90	
AXIS CAPITAL HOLDINGS LTD 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AXS PRD Exchange NYSE EAI $1,217 Current yield 7 40%	May 14, 13	270 000	25 070	6,768 90	18 589	5,019 03	-1,749 87	ST
	May 24, 13	615 000	24 795	15,249 17	18 589	11,432 23	-3,816 94	ST
Security total		885 000		22,018 07		16,451 26	-5,566 81	
BARCLAYS BANK PLC SER 3 7 100% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PRA Exchange NYSE EAI $1,243 Current yield 7 05%	Dec 11, 13	700 000	25 010	17,507 00	25 170	17,619 00	112 00	ST
BARCLAYS BANK PLC ADR PFD SR 5 SER 5 8 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PRD Exchange NYSE EAI $1,401 Current yield 8 00%	Oct 15, 13	180 000	25 360	4,564 80	25 370	4,566 60	1 80	ST
	Oct 18, 13	510 000	25 460	12,984 60	25 370	12,938 70	-45 90	ST
Security total		690 000		17,549 40		17,505 30	-44 10	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BARCLAYS BK PLC SER 2 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PR Exchange NYSE EAI $2,368 Current yield 6 86%	Nov 22, 11	315 000	20 400	6,426 00	24 140	7,604 10	1,178 10	LT
	Nov 23, 11	220 000	20 240	4,452 80	24 140	5,310 80	858 00	LT
	Nov 29, 11	220 000	19 990	4,397 80	24 140	5,310 80	913 00	LT
	Aug 9, 12	675 000	25 000	16,875 00	24 140	16,294 50	-580 50	LT
Security total		1,430 000		32,151 60		34,520 20	2,368 60	
CAPITAL ONE FINANCIAL CORP (COF) SER B 6 000% PREFERRED PAR VALUE - 25 00 USD Symbol COF PRP Exchange NYSE EAI $1,200 Current yield 6 90%	Sep 7, 12	800 000	24 970	19,976 00	21 740	17,392 00	-2,584 00	LT
CITIGROUP CAP IX 6 000% DUE 02/14/33 CALLABLE Symbol C PRS Exchange NYSE EAI $1,065 Current yield 5 98%	Aug 9, 12	710 000	24 846	17,641 01	25 100	17,821 00	179 99	LT
CITIGROUP 6.350% DUE 03/15/67 CALLABLE Symbol C PRE Exchange NYSE EAI $1,112 Current yield 6 34%	Aug 22, 13	170 000	24 750	4,207 50	25 050	4,258 50	51 00	ST
	Sep 6, 13	530 000	25 260	13,387 80	25 050	13,276 50	-111 30	ST
Security total		700 000		17,595 30		17,535 00	-60 30	
CITIGROUP CAPITAL XIII FXD/FLT TRUST PFD 7 875% DUE 10/30/2040 Symbol C PRN Exchange NYSE EAI $2,520 Current yield 7 22%	Jun 28, 13	310 000	27 920	8,655 20	27 250	8,447 50	-207 70	ST
	Oct 3, 13	460 000	27 300	12,558 00	27 250	12,535 00	-23 00	ST
	Oct 8, 13	182 000	27 284	5,060 20²	27 250	4,959 50	-100 70	ST
	Oct 25, 13	328 000	27 350	9,140 90²	27 250	8,938 00	-202.90	ST
Security total		1,280 000		35,414 30		34,880 00	-534 30	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CITIGROUP INC SER J 7 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol C PRJ Exchange NYSE EAI $1,202 Current yield 6 86%	Sep 13, 13	675 000	24 820	16,753 50	25 940	17,509 50	756 00	ST
CITIGROUP INC 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol C PRK Exchange NYSE EAI $1,203 Current yield 6 78%	Oct 25, 13	350 000	24 937	8,727 95	25 340	8,869 00	141 05	ST
	Nov 13, 13	350 000	24 910	8,718 50	25 340	8,869 00	150 50	ST
Security total		700 000		17,446 45		17,738 00	291 55	
CITY NATL CORP DEP 1/40 SR-D SER D PREFERRED CLBL PAR VALUE - 25 00 USD 6 75% Symbol CYN PRD Exchange NYSE EAI $582 Current yield 6 42%	Dec 6, 13	345 000	25 380	8,756 10	26 280	9,066 60	310 50	ST
COMMONWEALTH REIT 7.500% DUE 11/15/19 CALLABLE Symbol CWHN Exchange NYSE EAI $1,260 Current yield 7 15%	Oct 8, 13	425 000	20 880	8,874 00	20 980	8,916 50	42 50	ST
	Nov 25, 13	415 000	20 740	8,652 02[2]	20 980	8,706 70	54 68	ST
Security total		840 000		17,526 02		17,623 20	97.18	
COMMONWEALTH REIT (CWH) 5 750% DUE 08/01/42 CALLABLE Symbol CWHO Exchange NYSE EAI $2,632 Current yield 7 57%	Aug 9, 12	510 000	24 390	12,438 90	19 000	9,690 00	-2,748 90	LT
	Feb 21, 13	257 000	24 577	6,316 29	19 000	4,883 00	-1,433 29	ST
	Feb 28, 13	663 000	24 550	16,276 65	19 000	12,597 00	-3,679 65	ST
	Sep 27, 13	400 000	19 449	7,779 96	19 000	7,600 00	-179 96	ST
Security total		1,830 000		42,811 80		34,770 00	-8,041 80	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
COUNTRYWIDE CAP IV 6 750% DUE 04/01/33 CALLABLE Symbol CFC PRA Exchange NYSE EAI $1,764 Current yield 6 78%	Oct 18, 13	555 000	25 000	13,875 00	24 890	13,813 95	-61 05	ST
	Nov 13, 13	490 000	25 050	12,274 50	24 890	12,196 10	-78 40	ST
Security total		1,045 000		26,149 50		26,010 05	-139 45	
COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE Symbol CFC PRB Exchange NYSE EAI $1,199 Current yield 6 90%	Oct 2, 13	340 000	25 200	8,568 00	25 350	8,619 00	51 00	ST
	Nov 29, 13	345 000	25 120	8,727 55²	25 350	8,745 75	18 20	ST
Security total		685 000		17,295 55		17,364 75	69 20	
DB CAPITAL FUNDING VIII CALL 10/18/11@25 00 6 375% PREFERRED CLBL PAR VALUE - 25.00 USD Symbol .DUA Exchange NYSE EAI $2,311 Current yield 6 58%	Aug 9, 12	365 000	24 787	9,047 33	24 240	8,847 60	-199 73	LT
	May 24, 13	630 000	25 290	15,932 70	24 240	15,271 20	-661 50	ST
	Aug 28, 13	455 000	24 030	10,933 65	24 240	11,029 20	95 55	ST
Security total		1,450 000		35,913 68		35,148 00	-765 68	
DEUTSCHE BK CAP FD TR IX 6 625% PERPETUAL CALL 08/20/12 Symbol DTT Exchange NYSE EAI $2,343 Current yield 6 66%	Jun 27, 13	700 000	25 060	17,542 00	24 860	17,402 00	-140 00	ST
	Dec 6, 13	715 000	24 800	17,732 00	24 860	17,774 90	42.90	ST
Security total		1,415 000		35,274 00		35,176 90	-97 10	
DIGITAL REALTY TRUST INC SER E 7 000% PREFERRED CLBL Symbol. DLR.PRE Exchange OTC EAI $3,360 Current yield 8 06%	Mar 1, 12	259 000	26 281	6,806 98	21 710	5,622 89	-1,184 09	LT
	Mar 5, 12	271 000	26 420	7,159 82	21 710	5,883 41	-1,276 41	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 13, 12	810 000	27 350	22,153 50	21 710	17,585 10	-4,568 40	LT
	May 15, 13	580 000	27 160	15,752 80	21 710	12,591 80	-3,161 00	ST
Security total		1,920 000		51,873 10		41,683 20	-10,189 90	
DISCOVER FINANCIAL SERVICES (DFS) 6 500% PRF CLBL VALUE - 25 00 USD Symbol DFS PRB Exchange NYSE EAI $593 Current yield 7 05%	Oct 22, 12	365 000	25 398	9,270 27	23 040	8,409 60	-860 67	LT
DOMINION RESOURCES INC 8 3750% DUE 06/15/64 Symbol DRU Exchange NYSE EAI $5,633 Current yield 8 14%	Sep 27, 13	420 000	26 020	10,928 40	25 740	10,810 80	-117 60	ST
	Oct 7, 13	234 000	25 998	6,083 72	25 740	6,023 16	-60 56	ST
	Oct 8, 13	291 000	25 991	7,607 25[2]	25 740	7,490 34	-116 91	ST
	Oct 18, 13	510 000	26 070	13,328 63[2]	25 740	13,127 40	-201 23	ST
	Oct 28, 13	322 000	26 078	8,397 18	25 740	8,288 28	-108 90	ST
	Nov 1, 13	238 000	26 137	6,220 65	25 740	6,126 12	-94 53	ST
	Dec 23, 13	130 000	25 745	3,346 85	25 740	3,346 20	-0 65	ST
	Dec 26, 13	545 000	25 700	14,006 50	25 740	14,028 30	21 80	ST
Security total		2,690 000		69,919 18		69,240 60	-678 58	
DTE ENERGY CORP 6 500% DUE 12/01/61 CALLABLE Symbol DTZ Exchange NYSE EAI $4,672 Current yield 6 72%	Feb 2, 12	1,169 000	27 090	31,668 21	24 180	28,266 42	-3,401 79	LT
	Feb 3, 12	331 000	27 119	8,976 49	24 180	8,003 58	-972 91	LT
	Aug 13, 12	1,375 000	29 220	40,177 50	24 180	33,247 50	-6,930 00	LT
Security total		2,875 000		80,822 20		69,517 50	-11,304 70	
ENDURANCE SPECIALTY PFD-B SER B 7 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ENHPRB Exchange NYSE EAI $3,900 Current yield 7 41%	Aug 3, 12	570 000	26 850	15,304 50	25 290	14,415 30	-889 20	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 9, 12	1,510 000	26 920	40,649 20	25 290	38,187 90	-2,461 30	LT
Security total		2,080 000		55,953 70		52,603 20	-3,350 50	
ENDURANCE SPECIALITY HLDG CALL 12/15/15@25 00 SER A 7 75% PREFERRED CLBL Symbol ENH PRA Exchange NYSE EAI $3,983 Current yield 7 58%	Nov 21, 11	665 000	25 280	16,811 20	25 580	17,010 70	199 50	LT
	Aug 9, 12	1,390 000	27 011	37,545 99	25 580	35,556 20	-1,989 79	LT
Security total		2,055 000		54,357 19		52,566 90	-1,790 29	
EPR PROPERTIES CONV CUML SER F 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD REIT Symbol EPR PRF Exchange NYSE EAI $671 Current yield 7 82%	Feb 4, 13	405 000	25 118	10,173 19	21 190	8,581 95	-1,591 24	ST
F N B CORP FLA PREFERRED CLBL PAR VALUE - 25 00 USD RATE-7 25% Symbol FNB PRE Exchange NYSE EAI $616 Current yield 7 00%	Dec 9, 13	340 000	25 800	8,772 00	25 900	8,806 00	34 00	ST
FIFTH THIRD BANCORP 6 625% DUE 12/31/49 CALLABLE SER I Symbol FITBI Exchange OTC EAI $2,335 Current yield 6 63%	Dec 5, 13	1,410 000	25 030	35,292 30	24 970	35,207 70	-84 60	ST
FIRST NIAGARA FINL GROUP INC 8 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol FNFG PRB Exchange NYSE EAI $2,663 Current yield 7 74%	Sep 17, 13	295 000	28 280	8,342 60	27 860	8,218 70	-123 90	ST
	Sep 25, 13	940 000	28 600	26,884 00	27 860	26,188 40	-695 60	ST
Security total		1,235 000		35,226 60		34,407 10	-819 50	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FIRST REP BANK CUML SER A 6 700% PREFERRED CLBL Symbol FRC.PRA Exchange NYSE EAI $2,404 Current yield 7 07%	Feb 29, 12	429 000	25 649	11,003 59	23 680	10,158 72	-844 87	LT
	Mar 5, 12	236 000	25 594	6,040 23	23 680	5,588 48	-451 75	LT
	Aug 9, 12	770 000	27 119	20,881 63	23 680	18,233 60	-2,648 03	LT
Security total		1,435 000		37,925 45		33,980 80	-3,944 65	
GOLDMAN SACHS GROUP 6 125% DUE 11/01/60 CALLABLE Symbol GSF Exchange NYSE EAI $390 Current yield 6 28%	Aug 9, 12	255 000	26 260	6,696 30	24 360	6,211 80	-484 50	LT
GOLDMAN SACHS GROUP INC 6 5% DUE 11/01/2061 CALLABLE Symbol. GSJ Exchange NYSE EAI $5,241 Current yield· 6.44%	Oct 28, 11	1,125 000	24 798	27,898 09	25 230	28,383 75	485 66	LT
	Nov 21, 11	800 000	24 490	19,592 00	25 230	20,184 00	592 00	LT
	Nov 22, 11	1,300 000	24 570	31,941 00	25 230	32,799 00	858 00	LT
Security total		3,225 000		79,431 09		81,366 75	1,935 66	
GOLDMAN SACHS GROUP INC SER D PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRD Exchange NYSE EAI $2,248 Current yield 5 56%	Nov 21, 11	310 000	18 150	5,626 50	18 390	5,700 90	74 40	LT
	Nov 22, 11	465 000	18 469	8,588 50	18 390	8,551 35	-37 15	LT
	Sep 4, 12	542 000	21 070	11,419 94	18 390	9,967 38	-1,452 56	LT
	Sep 6, 12	883 000	21 050	18,587 15	18 390	16,238 37	-2,348 78	LT
Security total		2,200 000		44,222 09		40,458 00	-3,764 09	
HARTFORD FINL SVCS GRP D 7 875% DUE 04/15/42 CALLABLE Symbol HGH Exchange NYSE EAI $1,782 Current yield 6 87%	Sep 18, 13	440 000	27 625	12,155 13	28 660	12,610 40	455 27	ST

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Your assets • **Fixed income** • **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 26, 13	465 000	28 450	13,229 25	28 660	13,326 90	97 65	ST
Security total		905 000		25,384 38		25,937 30	552 92	
HSBC HOLDINGS PLC 8 000% PERPETUAL Symbol HSEB Exchange NYSE EAI $1,290 Current yield 7 42%	Feb 19, 13	645.000	27 930	18,014 85	26 940	17,376 30	-638 55	ST
HSBC USA INC NEW SER F PREFERRED CLBL PAR VALUE - 25 00 USD Symbol HUSI PRF Exchange NYSE EAI $818 Current yield 4 86%	Nov 23, 11	675 000	16 950	11,441 25	18 600	12,555 00	1,113 75	LT
	Sep 4, 12	230 000	22 359	5,142 78	18 600	4,278 00	-864 78	LT
Security total		905 000		16,584 03		16,833 00	248 97	
ING GROEP N V 7 050% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol IND Exchange NYSE EAI. $1,842 Current yield 7 00%	Apr 23, 12	445 000	22 716	10,108 93	25 190	11,209 55	1,100 62	LT
	Aug 9, 12	600 000	24 736	14,841 72	25.190	15,114 00	272 28	LT
Security total		1,045 000		24,950 65		26,323 55	1,372 90	
ING GROEP N V 7 200% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol. INZ Exchange NYSE EAI $621 Current yield. 7 09%	Nov 18, 13	345 000	25 460	8,783 70	25.400	8,763 00	-20 70	ST
ING GROEP N V 6 20% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ISP Exchange NYSE EAI $1,721 Current yield 6 61%	Feb 22, 12	135 000	20 532	2,771 90	23 450	3,165 75	393 85	LT
	Apr 23, 12	285.000	20 567	5,861 74	23 450	6,683 25	821 51	LT
	Aug 9, 12	690 000	22 268	15,365 20	23 450	16,180 50	815 30	LT
Security total		1,110 000		23,998 84		26,029 50	2,030 66	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTEGRYS ENERGY GROUP IN 6 000% DUE 08/01/73 CALLABLE Symbol IEH Exchange NYSE EAI $3,263 Current yield 6 26%	Aug 14, 13	1,055 000	24 890	26,258 95	23 950	25,267 25	-991 70	ST
	Sep 12, 13	1,120 000	23 609	26,443 09	23 950	26,824 00	380 91	ST
Security total		2,175 000		52,702 04		52,091 25	-610 79	
KKR FINANCIAL HOLDINGS 8 375% DUE 11/01/41 CALLABLE Symbol KFH Exchange NYSE EAI $4,052 Current yield 7 81%	Jan 13, 12	915 000	25 670	23,488 05	26 810	24,531 15	1,043 10	LT
	Aug 9, 12	1,020 000	28 237	28,802 66	26 810	27,346 20	-1,456 46	LT
Security total		1,935 000		52,290 71		51,877 35	-413 36	
LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE Symbol LYG PRA Exchange NYSE EAI $7,580 Current yield 7 27%	Nov 23, 11	196 000	25 240	4,947 04	26 650	5,223 40	276 36	LT
	May 7, 12	235 000	26 460	6,218 10	26 650	6,262 75	44.65	LT
	Aug 9, 12	1,890 000	27 199	51,406 87	26 650	50,368 50	-1,038 37	LT
	Oct 28, 13	1,590 000	26 759	42,547 76	26 650	42,373 50	-174 26	ST
Security total		3,911 000		105,119 77		104,228 15	-891 62	
MORGAN STANLEY CAP TR VII 6 600% DUE 10/15/66 CALLABLE Symbol MSZ Exchange NYSE EAI $2,351 Current yield 6 79%	Sep 24, 13	325 000	24 940	8,105 50	24 300	7,897 50	-208 00	ST
	Oct 2, 13	558 000	24 540	13,693 32	24 300	13,559 40	-133 92	ST
	Oct 3, 13	542 000	24 475	13,265 56	24 300	13,170 60	-94 96	ST
Security total		1,425 000		35,064 38		34,627 50	-436 88	
MORGAN STANLEY CAP TR VI Symbol MSJ Exchange NYSE EAI $1,172 Current yield 6 72%	Sep 24, 13	710 000	24 940	17,707 40	24 550	17,430 50	-276 90	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MORGAN STANLEY CALL 7/15/11@$25 00 SER A PREFERRED CLBL Symbol· MS PRA Exchange NYSE EAI $1,860 Current yield 5 44%	Nov 22, 11	890 000	15 750	14,017 50	18 800	16,732 00	2,714 50	LT
	Sep 5, 12	930 000	18 937	17,611 88	18 800	17,484 00	-127 88	LT
Security total		1,820 000		31,629 38		34,216 00	2,586.62	
MS CAPITAL TRUST III 6 250% DUE 3/01/33 CALLABLE 3/1/08@25 00 Symbol MWR Exchange NYSE EAI $3,405 Current yield 6 51%	Nov 21, 11	1,110 000	20 700	22,977 00	23 990	26,628 90	3,651 90	LT
	Aug 9, 12	1,070 000	24 989	26,739 19	23 990	25,669 30	-1,069 89	LT
Security total		2,180 000		49,716 19		52,298 20	2,582 01	
NATIONAL RETAIL PROPERTIES CUML SER D 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol NNN PRD Exchange OTC EAI $3,858 Current yield 7 48%	Feb 17, 12	125 000	24 990	3,123 75	22 150	2,768 75	-355 00	LT
	Feb 21, 12	1,050 000	25 035	26,286 75	22 150	23,257 50	-3,029 25	LT
	Aug 9, 12	1,155 000	26 450	30,549 75	22 150	25,583 25	-4,966 50	LT
Security total		2,330 000		59,960 25		51,609 50	-8,350 75	
NEXTERA ENERGY CAP HLDGS 8 750% SER F DUE 03/01/69 CALLABLE Symbol NEE PRF Exchange NYSE EAI $744 Current yield 8 59%	Oct 16, 13	161 000	25 800	4,153 80	25 470	4,100 67	-53 13	ST
	Oct 22, 13	179 000	25 810	4,619 99	25.470	4,559 13	-60 86	ST
Security total		340 000		8,773 79		8,659 80	-113 99	
NEXTERA ENERGY CAP HLDGS 5.700% DUE 03/01/72 CALLABLE Symbol NEE PRG Exchange NYSE EAI $3,477 Current yield 6 82%	Mar 20, 12	185 000	25 000	4,625 00	20 900	3,866 50	-758 50	LT
	Aug 17, 12	770 000	27 400	21,098 00	20 900	16,093 00	-5,005 00	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 24, 12	1,185 000	27 420	32,492 70	20 900	24,766 50	-7,726 20	LT
	Sep 7, 12	180 000	26 990	4,858 20	20 900	3,762 00	-1,096 20	LT
	Sep 21, 12	120 000	26 660	3,199 30	20 900	2,508 00	-691 30	LT
Security total		2,440 000		66,273 20		50,996 00	-15,277 20	
PARTNERRE PERPNCS SER E 7 250% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PRE PRE Exchange NYSE EAI $3,717 Current yield 7 17%	Dec 1, 11	985 000	26 100	25,708 50	25 300	24,920 50	-788 00	LT
	Aug 9, 12	1,065 000	27 927	29,743 21	25 300	26,944 50	-2,798 71	LT
Security total		2,050 000		55,451.71		51,865 00	-3,586 71	
PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PNC.PRP Exchange NYSE EAI $6,912 Current yield 6 06%	Aug 9, 12	860 000	28 092	24,159 64	25 250	21,715 00	-2,444 64	LT
	Sep 4, 12	46 000	27 690	1,273 74	25 250	1,161 50	-112 24	LT
	Sep 5, 12	94 000	27.778	2,611 18	25 250	2,373 50	-237 68	LT
	Mar 4, 13	2,950 000	27 630	81,508 50	25 250	74,487 50	-7,021 00	ST
	May 14, 13	565 000	28 430	16,062 95	25 250	14,266 25	-1,796 70	ST
Security total		4,515 000		125,616 01		114,003 75	-11,612 26	
PRUDENTIAL FINANCIAL INC (PRU) 5 750% DUE 12/15/52 CALLABLE Symbol PJH Exchange NYSE EAI $1,133 Current yield 6 81%	Feb 4, 13	788 000	25 469	20,069 73	21 120	16,642 56	-3,427 17	ST
PS BUSINESS PARKS INC CUML SER T 6 000% PREFERRED CLBL Symbol PSB PRT Exchange. NYSE EAI $4,950 Current yield 7 43%	Jul 6, 12	383 000	25 492	9,763 78	20 200	7,736 60	-2,027 18	LT
	Jul 9, 12	1,002 000	25 519	25,570 64	20 200	20,240 40	-5,330 24	LT
	Aug 9, 12	1,915 000	25 358	48,561 14	20 200	38,683 00	-9,878 14	LT
Security total		3,300 000		83,895 56		66,660 00	-17,235 56	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PUBLIC STORAGE SER T 5 750% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PSA PRT Exchange NYSE EAI $1,733 Current yield 6 82%	Mar 7, 12	80 000	24 814	1,985 14	21 100	1,688 00	-297 14	LT
	Aug 9, 12	1,125 000	26 792	30,141 34	21 100	23,737 50	-6,403 84	LT
Security total		1,205 000		32,126 48		25,425 50	-6,700 98	
QWEST CORP $25 PAR 40NC5 SR NOTES 7 375% DUE 06/01/51 CALLABLE Symbol CTQ Exchange NYSE EAI $5,145 Current yield 7 97%	Nov 22, 11	900 000	25 630	23,067 00	23 130	20,817 00	-2,250 00	LT
	May 8, 12	285 000	26 000	7,410 00	23 130	6,592 05	-817 95	LT
	Oct 10, 12	1,605 000	27 170	43,607 85	23 130	37,123 65	-6,484 20	LT
Security total		2,790 000		74,084 85		64,532 70	-9,552 15	
QWEST CORP 7 500% DUE 09/15/51 CALLABLE Symbol CTW Exchange NYSE EAI $2,597 Current yield 8 00%	Nov 21, 11	555 000	25 470	14,135 85	23 430	13,003 65	-1,132 20	LT
	Aug 9, 12	830 000	27 480	22,808 40	23 430	19,446 90	-3,361 50	LT
Security total		1,385 000		36,944 25		32,450 55	-4,493 70	
QWEST CORPORATION (CTL) 7 000% DUE 07/01/52 CALLABLE Symbol CTU Exchange NYSE EAI $1,260 Current yield 8 00%	Aug 9, 12	720 000	26 660	19,195 20	21 870	15,746 40	-3,448 80	LT
RAYMOND JAMES FINANCIAL 6 900% DUE 03/15/42 CALLABLE Symbol RJD Exchange NYSE EAI $6,003 Current yield 6 90%	Mar 1, 12	730 000	25 000	18,250 00	25 000	18,250 00		LT
	May 23, 12	415 000	25 591	10,620 43	25 000	10,375 00	-245 43	LT
	Jun 11, 12	535 000	26 090	13,958 15	25 000	13,375 00	-583 15	LT
	Aug 9, 12	1,800 000	27 318	49,173 66	25 000	45,000 00	-4,173 66	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		3,480 000		92,002 24		87,000 00	-5,002 24	
RBS CAPITAL FUND TRUST VII SER G 6 080% PREFERRED CLBL Symbol RBS PRG Exchange NYSE EAI $1,224 Current yield 7 25%	Nov 22, 13	805 000	21 700	17,468 50	20 980	16,888 90	-579 60	ST
REGENCY CENTERS CORP SER 6 6 625% PREFERRED CLBL Symbol REG PRF Exchange NYSE EAI $2,583 Current yield 7 63%	Feb 29, 12	790 000	25 292	19,981 07	21 700	17,143 00	-2,838 07	LT
	Aug 9, 12	770 000	26 600	20,482 00	21 700	16,709 00	-3,773 00	LT
Security total		1,560 000		40,463 07		33,852 00	-6,611 07	
REINSURANCE GROUP OF AME RICA (RGA) 6 200% DUE 09/15/42 CALLABLE Symbol RZA Exchange NYSE EAI $3,286 Current yield 6 39%	Dec 20, 12	635 000	27 000	17,145 00	24 270	15,411 45	-1,733 55	LT
	Mar 8, 13	1,485 000	27 250	40,466 25	24 270	36,040 95	-4,425 30	ST
Security total		2,120 000		57,611 25		51,452 40	-6,158 85	
ROYAL BK SCOTLAND GROUP PLC SER T 7 250% PREFERRED SPON CLBL PAR VALUE - 25 00 USD Symbol RBS PRT Exchange. NYSE EAI $1,287 Current yield 7.55%	Nov 22, 13	355 000	24 500	8,697 50	24 000	8,520 00	-177 50	ST
	Nov 27, 13	355 000	24 620	8,740 10	24 000	8,520 00	-220 10	ST
Security total		710 000		17,437 60		17,040 00	-397 60	
SANTANDER FINANCE PREF SA UNI SER 10 10.500% PREFERRED CLBL Symbol SAN PRE Exchange NYSE EAI $8,558 Current yield 9 96%	Jun 13, 12	198 000	25 150	4,979 70	26 370	5,221 26	241 56	LT
	Jun 18, 12	52 000	25 150	1,307 80	26 370	1,371 24	63 44	LT
	Jun 22, 12	35 000	25 750	901 25	26 370	922 95	21 70	LT
	Aug 9, 12	1,580 000	26 540	41,933 20	26 370	41,664 60	-268 60	LT
	Sep 12, 13	760 000	26 320	20,003 20	26 370	20,041 20	38 00	ST
	Dec 5, 13	237 000	26 860	6,365 82	26 370	6,249 69	-116 13	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 6, 13	398 000	26 867	10,693 34	26 370	10,495 26	-198 08	ST
Security total		3,260 000		86,184 31		85,966 20	-218 11	
SANTANDER FIN PFD SA GTD PFD PREFERRED CLBL PAR VALUE - 25 00 USD Symbol SAN PRB Exchange NYSE EAI $971 Current yield 5 68%	Nov 21, 11	130 000	14 030	1,823 90	18 000	2,340 00	516 10	LT
	Aug 9, 12	820 000	15 250	12,505 00	18 000	14,760 00	2,255 00	LT
Security total		950 000		14,328 90		17,100 00	2,771 10	
SCE TRUST I 5 625% PREFERRED (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25 00 USD Symbol SCE PRF Exchange NYSE EAI $4,710 Current yield 6.99%	Aug 10, 12	2,740 000	26 499	72,609 73	20 100	55,074 00	-17,535 73	LT
	Jan 15, 13	610 000	26 505	16,168 17	20 100	12,261 00	-3,907 17	ST
Security total		3,350 000		88,777 90		67,335 00	-21,442 90	
SELECTIVE INS GROUP INC 5 875% DUE 02/09/43 CALLABLE Symbol SGZA Exchange NYSE EAI $1,227 Current yield 7 43%	Feb 7, 13	835 000	24 600	20,541 00	19 770	16,507 95	-4,033 05	ST
SENIOR HOUSING PROPRTIES TRUST(SNH) 5 625% DUE 08/01/42 CALLABLE Symbol. SNHN Exchange NYSE EAI $3,768 Current yield 6 94%	Aug 9, 12	535 000	24 350	13,027 25	20 270	10,844 45	-2,182 80	LT
	Oct 8, 12	620 000	24 965	15,478 42	20 270	12,567 40	-2,911 02	LT
	Oct 9, 12	1,000 000	24 920	24,920 00	20 270	20,270 00	-4,650 00	LT
	Sep 11, 13	331 000	20 096	6,651 78	20 270	6,709 37	57 59	ST
	Sep 12, 13	194 000	19 856	3,852 14	20 270	3,932 38	80 24	ST
Security total		2,680 000		63,929 59		54,323 60	-9,605 99	

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Account name. GROSSMAN FAMILY FOUNDATION TST
Friendly account name Stonebridge Pfd
Account number. :3328 KB

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SOLAR CAP LTD 6 750% DUE 11/15/42 CALLABLE Symbol SLRA Exchange NYSE EAI $701 Current yield 8 38%	Jan 9, 13	127 000	24 100	3,060 70	20 150	2,559 05	-501 65	ST
	Jan 15, 13	288 000	24 353	7,013 81	20 150	5,803 20	-1,210 61	ST
Security total		415 000		10,074 51		8,362 25	-1,712 26	
STANLEY BLACK & DECKER I 5 750% DUE 07/25/52 CALLABLE Symbol SWJ Exchange NYSE EAI $1,675 Current yield 6 72%	Jul 19, 12	445 000	25 042	11,143 87	21 380	9,514 10	-1,629 77	LT
	Aug 9, 12	720 000	25 750	18,540 00	21 380	15,393 60	-3,146 40	LT
Security total		1,165 000		29,683 87		24,907 70	-4,776 17	
TELEPHONE & DATA SYSTEMS 6 875% DUE 11/15/59 Symbol TDE Exchange NYSE EAI $3,704 Current yield 7 59%	Nov 28, 11	995 000	25 449	25,322 25	22.640	22,526 80	-2,795 45	LT
	Aug 15, 12	1,160 000	27 190	31,540 40	22 640	26,262 40	-5,278 00	LT
Security total		2,155 000		56,862 65		48,789 20	-8,073 45	
TELEPHONE & DATA SYSTEMS (TDS) 5 875% DUE 12/01/61 CALLABLE Symbol TDA Exchange NYSE EAI $610 Current yield 7 60%	Feb 19, 13	92 000	25 280	2,325 76	19 340	1,779 28	-546 48	ST
	Feb 20, 13	243 000	25 300	6,147 90	19 340	4,699 62	-1,448 28	ST
	Oct 1, 13	80 000	20 229	1,618 32	19 340	1,547 20	-71 12	ST
Security total		415 000		10,091 98		8,026 10	-2,065 88	
THE GOLDMAN SACHS GROUP (GS) 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRJ Exchange NYSE EAI $2,124 Current yield 6 17%	Apr 29, 13	370 000	25 550	9,453 50	22 290	8,247 30	-1,206 20	ST

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 9, 13	1,175 000	26 100	30,667 50	22 290	26,190 75	-4,476 75	ST
Security total		1,545 000		40,121 00		34,438 05	-5,682 95	
U S CELLULAR CORP 6 950% DUE 05/15/60 CALLABLE Symbol UZA Exchange NYSE EAI $1,868 Current yield 7 67%	Nov 22, 11	4 000	25 750	103 00	22 650	90 60	-12 40	LT
	Nov 30, 11	496 000	26 050	12,920 80	22 650	11,234 40	-1,686 40	LT
	Aug 14, 12	575 000	27 710	15,933 25	22 650	13,023 75	-2,909 50	LT
Security total		1,075 000		28,957 05		24,348 75	-4,608 30	
UBS PFD FDG TR IV PFD TR FLTG RT 1 1550% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol UBS PRD Exchange NYSE EAI $264 Current yield 1 48%	Sep 12, 13	81 000	14 921	1,208 65	15 100	1,223 10	14 45	ST
	Oct 15, 13	47 000	14 930	701 71	15 100	709 70	7 99	ST
	Oct 17, 13	242 000	14 950	3,617 90	15 100	3,654 20	36 30	ST
	Oct 21, 13	215 000	14 900	3,203 50	15 100	3,246 50	43 00	ST
	Nov 27, 13	595 000	14 987	8,917 56	15 100	8,984.50	66 94	ST
Security total		1,180 000		17,649 32		17,818 00	168 68	
US BANCORP DEL SER F PREFERRED CLBL PAR VALUE - 25 00 USD Symbol USB PRM Exchange NYSE EAI $4,802 Current yield 6 18%	Jan 19, 12	1,240 000	25 176	31,219 23	26 300	32,612 00	1,392 77	LT
	Aug 9, 12	1,105 000	29 269	32,343 02	26.300	29,061 50	-3,281 52	LT
	Jun 3, 13	610 000	28 760	17,543 60	26 300	16,043 00	-1,500 60	ST
Security total		2,955 000		81,105 85		77,716 50	-3,389.35	
US BANCORP DEL SER G PREFERRED CLBL PAR VALUE - 25 00 USD Symbol USB PRN Exchange NYSE EAI $3,210 Current yield 5 48%	Mar 12, 13	2,140 000	27 400	58,636 00	27 370	58,571 80	-64 20	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VORNADO REALTY L P 7 875% DUE 10/01/2039 Symbol VNOD Exchange NYSE EAI $2,018 Current yield 7 58%.	Aug 26, 13	525 000	26 149	13,728 70	25 990	13,644 75	-83 95	ST
	Sep 19, 13	500 000	26 110	13,055 00	25 990	12,995 00	-60 00	ST
Security total		1,025 000		26,783 70		26,639.75	-143 95	
WELLS FARGO & CO (WFC) 5.850% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol WFC PRQ Exchange NYSE EAI $2,129 Current yield 6 21%	Jul 16, 13	1,455 000	24 968	36,329 75	23 570	34,294 35	-2,035 40	ST
WELLS FARGO & CO NEW PREFERRED CLBL PAR VALUE - 25 00 USD Symbol WFC PRR Exchange NYSE EAI $2,310 Current yield 6 44%	Dec 13, 13	1,395 000	24 986	34,855 47	25 720	35,879 40	1,023 93	ST
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL Symbol WFC PRJ Exchange NYSE EAI $4,360 Current yield 7 15%	Mar 5, 13	1,840 000	28 950	53,268 00	27 960	51,446 40	-1,821 60	ST
	Nov 26, 13	340 000	28 320	9,732 67[2]	27 960	9,506 40	-226 27	ST
Security total		2,180 000		63,000 67		60,952 80	-2,047 87	
Total				**$3,558,013.32**		**$3,304,585.19**	**-$253,428.13**	

FORM 990-PF LATE PAYMENT PENALTY STATEMENT 16

DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/14	209,313.	209,313.		
EXTENSION PAYMENT	05/15/14	-60,000.	149,313.	6	4,479.
DATE FILED	11/15/14		149,313.		
TOTAL LATE PAYMENT PENALTY					4,479.

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 17

DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/14	209,313.	209,313.	.0300		
EXTENSION PAYMENT	05/15/14	-60,000.	149,313.	.0300	184	2,275.
DATE FILED	11/15/14		151,588.			
TOTAL LATE PAYMENT INTEREST						2,275.

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 12 STATEMENT 18

EXPLANATION

DURING 2013, THE GROSSMAN FAMILY FOUNDATION MADE $7,191,021 OF QUALIFYING DISTRIBUTIONS. OF THIS AMOUNT, $7,191,000 OF QUALIFYING DISTRIBUTIONS WERE MADE TO A DONOR ADVISED FUND OVER WHICH THE FOUNDATION OR A DISQUALIFIED PERSON HAD ADVISORY PRIVILEGES. DISTRIBUTIONS FROM THE DONOR ADVISED FUND WILL BE MADE, IN ACCORDANCE WITH SECTION 170(C)(2)(B) TO CORPORATIONS, TRUSTS, OR COMMUNITY CHESTS, FUNDS OR FOUNDATIONS ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY, OR EDUCATIONAL PURPOSES, OR TO FOSTER NATIONAL OR INTERNATIONAL AMATEUR SPORTS COMPETITION (BUT ONLY IF NO PART OF ITS ACTIVITIES INVOLVE THE PROVISION OF ATHLETIC FACILITIES OR EQUIPMENT), OR FOR THE PREVENTION OF CRUELTY TO CHILDREN OR ANIMALS. BECAUSE THE FOUNDATION IS THINLY STAFFED FOR ITS SIZE, THE GROSSMAN FAMILY FOUNDATION USES THE DONOR ADVISED FUND AS A COST EFFECTIVE WAY OF HANDLING THE GRANT ADMINISTRATION FUNCTION. THE DONOR ADVISED FUND ALSO SERVES AS A RESOURCE TO FOUNDATION STAFF, PROVIDING ADVICE AND ACCESS TO INFORMATION ON GRANT MAKING BEST PRACTICES. ADDITIONALLY, BECAUSE A MAJORITY OF THE FOUNDATION'S GRANTS ARE MADE WITHIN A NARROW GEOGRAPHIC AREA, MAKING GRANTS THROUGH THE DONOR ADVISED FUND HELPS MINIMIZE PUBLICITY.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 19

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEVEN GROSSMAN 133 RIVER ROAD COS COB, CT 06807	TRUSTEE 10.00	0.	0.	0.
CAROLE GREENBERG 133 RIVER ROAD COS COB, CT 06807	TRUSTEE 8.00	25,000.	0.	0.
PAUL NAPOLI 133 RIVER ROAD COS COB, CT 06807	TRUSTEE 8.00	17,500.	0.	0.
MORTON E. MARVIN 133 RIVER ROAD COS COB, CT 06807	TRUSTEE 7.50	20,000.	0.	0.
JUDITH C. MEYERS 133 RIVER ROAD COS COB, CT 06807	TRUSTEE 5.00	17,500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		80,000.	0.	0.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER	121510	200DB	5.00	17	10,325.			10,325.	6,794.		1,412.
2	FURNITURE	120110	200DB	7.00	17	37,238.			37,238.	18,917.		5,235.
	* TOTAL 990-PF PG 1 DEPR					47,563.		0.	47,563.	25,711.	0.	6,647.

328102 05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at** *www.irs.gov/form8868*

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I — Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE GROSSMAN FAMILY FOUNDATION	26-3919092
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O box, see instructions.	Social security number (SSN)
	133 RIVER ROAD	
	City, town or post office, state, and ZIP code For a foreign address, see instructions.	
	COS COB, CT 06807	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ STEVEN GROSSMAN
  133 RIVER ROAD - COS COB, CT 06807
  Telephone No. ▶ 203-340-2020 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for:
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ____________ , and ending ____________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. *See instructions*	**3a**	$ 149,201.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$ 89,201.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$ 60,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev 1-2014)
323841
12-31-13

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
File by the due date for filing your return. See instructions.	THE GROSSMAN FAMILY FOUNDATION	26-3919092
	Number, street, and room or suite no. If a P.O. box, see instructions. 133 RIVER ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. COS COB, CT 06807	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ STEVEN GROSSMAN 133 RIVER ROAD - COS COB, CT 06807
  Telephone No. ▶ 203-340-2020 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning ______ , and ending ______ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NECESSARY IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 108,996.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 149,201.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ As Agent Date ▶ 8/11/14

323842
12-31-13