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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury  
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning

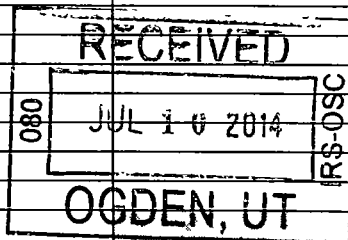
, 2013, and ending

|                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                      |                                                                                                                                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>The Joseph C Scott Foundation</b>                                                                                                                                                                                                                                                            |                                                                                                                                                                                                      | <b>A</b> Employer identification number<br>26-3892199                                                                                                                                                 |
| Number and street (or P O box number if mail is not delivered to street address)<br>1515 The Fairway                                                                                                                                                                                                                  | Room/suite<br>182 Woodside                                                                                                                                                                           | <b>B</b> Telephone number (see the instructions)<br>(215) 884-7542                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br>Jenkintown PA 19046                                                                                                                                                                                                                       |                                                                                                                                                                                                      | <b>C</b> If exemption application is pending, check here. <input type="checkbox"/>                                                                                                                    |
| <b>G</b> Check all that apply<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial Return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                                                                      | <b>D</b> 1 Foreign organizations, check here . . . . . <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/> |
| <b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                                                                      | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>                                                                        |
| <b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16)<br>\$ 3,022,730.                                                                                                                                                                                                          | <b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis) | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . <input type="checkbox"/>                                                                     |

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

|                                                                                          | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>1</b> Contributions, gifts, grants, etc. received (att sch) . . . . .                 |                                    |                           |                         |                                                             |
| <b>2</b> Ck <input checked="" type="checkbox"/> If the foundn is not req to att Sch B    |                                    |                           |                         |                                                             |
| <b>3</b> Interest on savings and temporary cash investments . . . . .                    |                                    |                           |                         |                                                             |
| <b>4</b> Dividends and interest from securities . . . . .                                | 80,303.                            |                           |                         |                                                             |
| <b>5a</b> Gross rents . . . . .                                                          |                                    |                           |                         |                                                             |
| <b>b</b> Net rental income or (loss) . . . . .                                           |                                    |                           |                         |                                                             |
| <b>6a</b> Net gain/(loss) from sale of assets not on line 10                             |                                    |                           |                         |                                                             |
| <b>b</b> Gross sales price for all assets on line 6a . . . . .                           |                                    |                           |                         |                                                             |
| <b>7</b> Capital gain net income (from Part IV, line 2) . . . . .                        |                                    | 179,391.                  |                         |                                                             |
| <b>8</b> Net short-term capital gain . . . . .                                           |                                    |                           | 179,391.                |                                                             |
| <b>9</b> Income modifications . . . . .                                                  |                                    |                           |                         |                                                             |
| <b>10a</b> Gross sales less returns and allowances . . . . .                             |                                    |                           |                         |                                                             |
| <b>b</b> Less Cost of goods sold . . . . .                                               |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit/(loss) (att sch) . . . . .                                         |                                    |                           |                         |                                                             |
| <b>11</b> Other income (attach schedule) . . . . .                                       |                                    |                           |                         |                                                             |
| Foreign Taxes . . . . .                                                                  | 1,087.                             |                           |                         |                                                             |
| <b>12</b> Total. Add lines 1 through 11. . . . .                                         | 81,390.                            | 179,391.                  | 179,391.                |                                                             |
| <b>13</b> Compensation of officers, directors, trustees, etc . . . . .                   |                                    |                           |                         |                                                             |
| <b>14</b> Other employee salaries and wages . . . . .                                    |                                    |                           |                         |                                                             |
| <b>15</b> Pension plans, employee benefits . . . . .                                     |                                    |                           |                         |                                                             |
| <b>16a</b> Legal fees (attach schedule) . . . . .                                        |                                    |                           |                         |                                                             |
| <b>b</b> Accounting fees (attach sch). L-16b Stmt. . . . .                               | 441.                               |                           |                         |                                                             |
| <b>c</b> Other prof fees (attach sch). L-16c Stmt . . . . .                              | 36,413.                            |                           |                         |                                                             |
| <b>17</b> Interest . . . . .                                                             |                                    |                           |                         |                                                             |
| <b>18</b> Taxes (attach schedule)(see instrs) . . . . .                                  |                                    |                           |                         |                                                             |
| <b>19</b> Depreciation (attach sch) and depletion . . . . .                              |                                    |                           |                         |                                                             |
| <b>20</b> Occupancy . . . . .                                                            |                                    |                           |                         |                                                             |
| <b>21</b> Travel, conferences, and meetings . . . . .                                    |                                    |                           |                         |                                                             |
| <b>22</b> Printing and publications . . . . .                                            |                                    |                           |                         |                                                             |
| <b>23</b> Other expenses (attach schedule) . . . . .                                     |                                    |                           |                         |                                                             |
| Office Expenses . . . . .                                                                | 155.                               |                           |                         |                                                             |
| <b>24</b> Total operating and administrative expenses. Add lines 13 through 23 . . . . . | 37,009.                            |                           |                         |                                                             |
| <b>25</b> Contributions, gifts, grants paid . . . . .                                    | 154,600.                           |                           |                         |                                                             |
| <b>26</b> Total expenses and disbursements. Add lines 24 and 25 . . . . .                | 191,609.                           |                           |                         |                                                             |
| <b>27</b> Subtract line 26 from line 12:                                                 |                                    |                           |                         |                                                             |
| <b>a</b> Excess of revenue over expenses and disbursements . . . . .                     | -110,219.                          |                           |                         |                                                             |
| <b>b</b> Net investment income (if negative, enter -0-) . . . . .                        |                                    | 179,391.                  |                         |                                                             |
| <b>c</b> Adjusted net income (if negative, enter -0-) . . . . .                          |                                    |                           | 179,391.                |                                                             |



SCANNED JUL 30 2014

ADMINISTRATIVE EXPENSES AND OPERATING

| Part II Balance Sheets      |                                                                                                                                     | Beginning of year<br>(a) Book Value | End of year    |                       |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------|-----------------------|
|                             |                                                                                                                                     |                                     | (b) Book Value | (c) Fair Market Value |
| ASSETS                      | 1 Cash — non-interest-bearing . . . . .                                                                                             | 40,697.                             | 129,171.       | 129,171.              |
|                             | 2 Savings and temporary cash investments . . . . .                                                                                  |                                     | 124,054.       | 124,054.              |
|                             | 3 Accounts receivable . . . . .                                                                                                     |                                     |                |                       |
|                             | Less: allowance for doubtful accounts . . . . .                                                                                     |                                     |                |                       |
|                             | 4 Pledges receivable . . . . .                                                                                                      |                                     |                |                       |
|                             | Less: allowance for doubtful accounts . . . . .                                                                                     |                                     |                |                       |
|                             | 5 Grants receivable . . . . .                                                                                                       |                                     |                |                       |
|                             | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                     |                |                       |
|                             | 7 Other notes and loans receivable (attach sch) . . . . .                                                                           |                                     |                |                       |
|                             | Less: allowance for doubtful accounts . . . . .                                                                                     |                                     |                |                       |
|                             | 8 Inventories for sale or use . . . . .                                                                                             |                                     |                |                       |
|                             | 9 Prepaid expenses and deferred charges . . . . .                                                                                   |                                     |                |                       |
|                             | 10a Investments — U.S. and state government obligations (attach schedule) . . . . .                                                 | 1,560,026.                          |                |                       |
|                             | b Investments — corporate stock (attach schedule) . . . . .                                                                         | 956,964.                            | 2,726,179.     | 2,769,505.            |
|                             | c Investments — corporate bonds (attach schedule) . . . . .                                                                         | 352,545.                            |                |                       |
| LIABILITIES                 | 11 Investments — land, buildings, and equipment basis . . . . .                                                                     |                                     |                |                       |
|                             | Less: accumulated depreciation (attach schedule) . . . . .                                                                          |                                     |                |                       |
|                             | 12 Investments — mortgage loans . . . . .                                                                                           |                                     |                |                       |
|                             | 13 Investments — other (attach schedule) . . . . .                                                                                  |                                     |                |                       |
|                             | 14 Land, buildings, and equipment basis . . . . .                                                                                   |                                     |                |                       |
|                             | Less: accumulated depreciation (attach schedule) . . . . .                                                                          |                                     |                |                       |
|                             | 15 Other assets (describe . . . . .)                                                                                                |                                     |                |                       |
|                             | 16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I). . . . .                           | 2,910,232.                          | 2,979,404.     | 3,022,730.            |
|                             | 17 Accounts payable and accrued expenses . . . . .                                                                                  |                                     |                |                       |
|                             | 18 Grants payable . . . . .                                                                                                         |                                     |                |                       |
| NET ASSETS OR FUND BALANCES | 19 Deferred revenue . . . . .                                                                                                       |                                     |                |                       |
|                             | 20 Loans from officers, directors, trustees, & other disqualified persons . . . . .                                                 |                                     |                |                       |
|                             | 21 Mortgages and other notes payable (attach schedule) . . . . .                                                                    |                                     |                |                       |
|                             | 22 Other liabilities (describe . . . . .)                                                                                           |                                     |                |                       |
|                             | 23 Total liabilities (add lines 17 through 22) . . . . .                                                                            |                                     |                |                       |
|                             | Foundations that follow SFAS 117, check here . . . . .                                                                              |                                     |                |                       |
|                             | and complete lines 24 through 26 and lines 30 and 31.                                                                               |                                     |                |                       |
| NET ASSETS OR FUND BALANCES | 24 Unrestricted . . . . .                                                                                                           |                                     |                |                       |
|                             | 25 Temporarily restricted . . . . .                                                                                                 |                                     |                |                       |
|                             | 26 Permanently restricted . . . . .                                                                                                 |                                     |                |                       |
|                             | Foundations that do not follow SFAS 117, check here . . . . .                                                                       | X                                   |                |                       |
|                             | and complete lines 27 through 31.                                                                                                   |                                     |                |                       |
|                             | 27 Capital stock, trust principal, or current funds . . . . .                                                                       | 2,910,232.                          | 2,979,404.     |                       |
|                             | 28 Paid-in or capital surplus, or land, building, and equipment fund . . . . .                                                      |                                     |                |                       |
| NET ASSETS OR FUND BALANCES | 29 Retained earnings, accumulated income, endowment, or other funds . . . . .                                                       |                                     |                |                       |
|                             | 30 Total net assets or fund balances (see instructions) . . . . .                                                                   | 2,910,232.                          | 2,979,404.     |                       |
|                             | 31 Total liabilities and net assets/fund balances (see instructions) . . . . .                                                      | 2,910,232.                          | 2,979,404.     |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 2,910,232. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | -110,219.  |
| 3 | Other increases not included in line 2 (itemize) . . . . . See Other Increases Stmt                                                                                  | 3 | 179,391.   |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 2,979,404. |
| 5 | Decreases not included in line 2 (itemize) . . . . .                                                                                                                 | 5 |            |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 . . . . .                                                      | 6 | 2,979,404. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) |                                         | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1 a                                                                                                                                      | AXA Advisors (3143-5448) Short-Term     | P                                                | See Attached                            | Various                             |
| b                                                                                                                                        | AXA Advisors (3143-5448) Long-Term      | P                                                | See Attached                            | Various                             |
| c                                                                                                                                        | AXA Advisors (7408-5931) Short-Term     | P                                                | See Attached                            | Various                             |
| d                                                                                                                                        | Curian Clearing (RA1746101Z) Short-Term | P                                                | See Attached                            | Various                             |
| e                                                                                                                                        | See Columns (a) thru (d)                |                                                  |                                         |                                     |

| (e) Gross sales price      | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|----------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 130,632.                 |                                            | 131,310.                                        | -678.                                        |
| b 118,959.                 |                                            | 114,294.                                        | 4,665.                                       |
| c 789,661.                 |                                            | 784,559.                                        | 5,102.                                       |
| d 5,247,453.               |                                            | 5,087,532.                                      | 159,921.                                     |
| e See Columns (e) thru (h) |                                            | 1,013,062.                                      | 10,381.                                      |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|-----------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| a                                       |                                      |                                                     | -678.                                                                                                 |
| b 0.                                    | 0.                                   | 0.                                                  | 4,665.                                                                                                |
| c 0.                                    | 0.                                   | 0.                                                  | 5,102.                                                                                                |
| d 0.                                    | 0.                                   | 0.                                                  | 159,921.                                                                                              |
| e See Columns (i) thru (l)              | 0.                                   | 0.                                                  | 10,381.                                                                                               |

|                                                                                |                                                                                                                                                                                                          |  |   |          |
|--------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|----------|
| 2 Capital gain net income or (net capital loss)                                | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div>                                |  | 2 | 179,391. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br/>           in Part I, line 8         </div> |  | 3 | 179,391. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year<br>beginning in) | (b) Adjusted qualifying distributions | (c) Net value of<br>noncharitable-use assets | (d) Distribution ratio<br>(column (b) divided by column (c)) |
|----------------------------------------------------------------------|---------------------------------------|----------------------------------------------|--------------------------------------------------------------|
| 2012                                                                 | 181,066.                              | 0.                                           | 0.000000                                                     |
| 2011                                                                 | 179,270.                              | 0.                                           | 0.000000                                                     |
| 2010                                                                 | 124,749.                              | 0.                                           | 0.000000                                                     |
| 2009                                                                 |                                       |                                              |                                                              |
| 2008                                                                 |                                       |                                              |                                                              |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | 0.000000   |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.000000   |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                 | 4 | 2,933,228. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 0.         |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 1,794.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 1,794.     |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 |            |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

|                                                                                                                                                                                                                                   |     |        |        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------|--------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs) |     |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                 |     | 1      | 3,588. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)                                                                                                        |     |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                        |     | 2      | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                               |     | 3      | 3,588. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                     |     | 4      | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-                                                                                                                                          |     | 5      | 3,588. |
| 6 Credits/Payments                                                                                                                                                                                                                |     |        |        |
| a 2013 estimated tax pmts and 2012 overpayment credited to 2013                                                                                                                                                                   | 6 a |        |        |
| b Exempt foreign organizations — tax withheld at source                                                                                                                                                                           | 6 b |        |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                             | 6 c | 0.     |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                         | 6 d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                             | 7   | 0.     |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                    | 8   | 81.    |        |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                    | 9   | 3,669. |        |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                       | 10  | 0.     |        |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded                                                                                                                                                      | 11  |        |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                            |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?                                                                                                                                                                                        |     | X  |
| If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities                                                                                                                                        |     |    |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                              |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation (2) On foundation managers                                                                                                                                                                             |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers                                                                                                                                                                                              |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If 'Yes,' attach a detailed description of the activities                                                                                                                                                                      |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes                                                                                                        |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                     |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                  |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If 'Yes,' attach the statement required by General Instruction T                                                                                                                                                                |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV                                                                                                                                                                                               | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions)<br>PA - Pennsylvania                                                                                                                                                                                                         |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation                                                                                                                       |     | X  |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV                                                                              |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses                                                                                                                                                                                               |     | X  |

BAA

Form 990-PF (2013)

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                              |                                                                                                                                                                                           |    |     |    |
|----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                                           | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)   | 11 |     | X  |
| 12                                                                                                                                           | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)  | 12 |     | X  |
| 13                                                                                                                                           | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13 | X   |    |
| Website address . . . . . N/A                                                                                                                |                                                                                                                                                                                           |    |     |    |
| 14                                                                                                                                           | The books are in care of . . . . . Donn Patton, CPA . . . . . Telephone no . . . . . (412) 833-5077                                                                                       |    |     |    |
| Located at . . . . . 2484 Fife Drive . . . . . Pittsburgh PA . . . . . ZIP + 4 . . . . . 15241                                               |                                                                                                                                                                                           |    |     |    |
| 15                                                                                                                                           | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .                                                                             |    |     |    |
| and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15                                                 |                                                                                                                                                                                           |    |     |    |
| 16                                                                                                                                           | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country . . . . . |                                                                                                                                                                                           |    |     |    |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| <b>1 a</b> During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .                                                                                                                                                                                                                                                                           | 1 b                                                                 |    |
| Organizations relying on a current notice regarding disaster assistance check here . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                     |    |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .                                                                                                                                                                                                                                                                                                        | 1 c                                                                 | X  |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| <b>a</b> At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If 'Yes,' list the years . . . . . 20 __ , 20 __ , 20 __ , 20 __                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions) . . . . .                                                                                                                                                                                | 2 b                                                                 |    |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here . . . . .                                                                                                                                                                                                                                                                                                                                                                                |                                                                     |    |
| ▶ 20 __ , 20 __ , 20 __ , 20 __                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     |    |
| <b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . . | 3 b                                                                 |    |
| <b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                              | 4 a                                                                 | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .                                                                                                                                                                                                                                                              | 4 b                                                                 | X  |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                                  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Patricia S Scott<br>1515 The Fairways, 182 Woodside<br>Rydal PA 19046 | Trustee<br>2.00                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                                                                       |                                                           |                                           |                                                                       |                                       |
|                                                                       |                                                           |                                           |                                                                       |                                       |
|                                                                       |                                                           |                                           |                                                                       |                                       |
|                                                                       |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

None

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| None                                                                     |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | None             |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|   | Expenses |
|---|----------|
| 1 |          |
| 2 |          |
| 3 |          |
| 4 |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
| 2                                                                                                                |        |
| All other program-related investments See instructions                                                           |        |
| 3                                                                                                                |        |
| Total. Add lines 1 through 3                                                                                     |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                                   |            |            |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes                        |            |            |
| <b>a</b> | Average monthly fair market value of securities . . . . .                                                                         | <b>1 a</b> | 2,633,827. |
| <b>b</b> | Average of monthly cash balances . . . . .                                                                                        | <b>1 b</b> | 344,069.   |
| <b>c</b> | Fair market value of all other assets (see instructions) . . . . .                                                                | <b>1 c</b> |            |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .                                                                                   | <b>1 d</b> | 2,977,896. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c<br>(attach detailed explanation) . . . . . <b>1 e</b> |            |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .                                                                    | <b>2</b>   |            |
| <b>3</b> | Subtract line 2 from line 1d . . . . .                                                                                            | <b>3</b>   | 2,977,896. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1-1/2% of line 3<br>(for greater amount, see instructions) . . . . .            | <b>4</b>   | 44,668.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .             | <b>5</b>   | 2,933,228. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5 . . . . .                                                                    | <b>6</b>   | 146,661.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|            |                                                                                                                     |            |          |
|------------|---------------------------------------------------------------------------------------------------------------------|------------|----------|
| <b>1</b>   | Minimum investment return from Part X, line 6 . . . . .                                                             | <b>1</b>   | 146,661. |
| <b>2 a</b> | Tax on investment income for 2013 from Part VI, line 5 . . . . .                                                    | <b>2 a</b> | 3,588.   |
| <b>b</b>   | Income tax for 2013. (This does not include the tax from Part VI) . . . . .                                         | <b>2 b</b> |          |
| <b>c</b>   | Add lines 2a and 2b . . . . .                                                                                       | <b>2 c</b> | 3,588.   |
| <b>3</b>   | Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .                                     | <b>3</b>   | 143,073. |
| <b>4</b>   | Recoveries of amounts treated as qualifying distributions . . . . .                                                 | <b>4</b>   |          |
| <b>5</b>   | Add lines 3 and 4 . . . . .                                                                                         | <b>5</b>   | 143,073. |
| <b>6</b>   | Deduction from distributable amount (see instructions) . . . . .                                                    | <b>6</b>   |          |
| <b>7</b>   | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . . | <b>7</b>   | 143,073. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                                   |            |    |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                         |            |    |
| <b>a</b> | Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 . . . . .                                                                           | <b>1 a</b> |    |
| <b>b</b> | Program-related investments — total from Part IX-B . . . . .                                                                                                      | <b>1 b</b> |    |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .                                               | <b>2</b>   |    |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                               |            |    |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .                                                                                                          | <b>3 a</b> |    |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .                                                                                                   | <b>3 b</b> |    |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .                                       | <b>4</b>   |    |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.<br>Enter 1% of Part I, line 27b (see instructions) . . . . . | <b>5</b>   | 0. |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .                                                                                   | <b>6</b>   | 0. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 143,073.    |
| <b>2</b> Undistributed income, if any, as of the end of 2013                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only . . . . .                                                                                                                                               |               |                            | 137,189.    |             |
| <b>b</b> Total for prior years 20 , 20 , 20                                                                                                                                                 |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013                                                                                                                                    |               |                            |             |             |
| <b>a</b> From 2008 . . . . . 0.                                                                                                                                                             |               |                            |             |             |
| <b>b</b> From 2009 . . . . . 0.                                                                                                                                                             |               |                            |             |             |
| <b>c</b> From 2010 . . . . . 29,557.                                                                                                                                                        |               |                            |             |             |
| <b>d</b> From 2011 . . . . . 180,758.                                                                                                                                                       |               |                            |             |             |
| <b>e</b> From 2012 . . . . . 186,375.                                                                                                                                                       |               |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | 396,690.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$                                                                                                                       |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a . . . . .                                                                                                                               |               |                            |             |             |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions) . . . . .                                                                                    |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions) . . . . .                                                                                            |               |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount . . . . .                                                                                                                                     |               |                            |             |             |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .                                        |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .                                                                                                                          | 396,690.      |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                          |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions . . . . .                                                                                                          |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions . . . . .                                                                            |               |                            | 137,189.    |             |
| <b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .                                                               |               |                            |             | 143,073.    |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .                                  |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 396,690.      |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| <b>a</b> Excess from 2009 . . . . . 0.                                                                                                                                                      |               |                            |             |             |
| <b>b</b> Excess from 2010 . . . . . 29,557.                                                                                                                                                 |               |                            |             |             |
| <b>c</b> Excess from 2011 . . . . . 180,758.                                                                                                                                                |               |                            |             |             |
| <b>d</b> Excess from 2012 . . . . . 186,375.                                                                                                                                                |               |                            |             |             |
| <b>e</b> Excess from 2013 . . . . . 0.                                                                                                                                                      |               |                            |             |             |

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                                    | Tax year |          |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |          |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |          |          |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |          |          |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |          |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                 |          |          |          |          |           |
| <b>a</b> 'Assets' alternative test — enter                                                                                                                         |          |          |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |          |          |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |          |          |          |          |           |
| <b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |          |          |          |          |           |
| <b>c</b> 'Support' alternative test — enter                                                                                                                        |          |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |          |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |          |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |          |          |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |          |          |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                         | If recipient is an individual,<br>show any relationship to any<br>foundation manager or<br>substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution         | Amount   |
|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------|----------|
| <b>a Paid during the year</b>                                                            |                                                                                                                    |                                      |                                             |          |
| Opera Company of Philadelphia<br>1420 Locust Street, Suite 201<br>Philadelphia PA 19102  |                                                                                                                    | 501(c)3                              | To provide public support to organization   | 15,000.  |
| Abington Health Foundation<br>1200 Old York Road<br>Abington PA 19001                    |                                                                                                                    | 501(c)3                              | To provide public support to local hospital | 11,000.  |
| Abington PAL<br>1166 Old York Road<br>Abington PA 19001                                  |                                                                                                                    | 501(c)3                              | Reduce juvenile crime and violence          | 5,000.   |
| Druding Center, Project Rainbow<br>521 Moredon Road<br>Huntingdon Valley PA 19006        |                                                                                                                    | 501(c)3                              | Support for battered women                  | 3,100.   |
| PA Center Adapted Sports<br>4 Boat House Row<br>Philadelphia PA 19130                    |                                                                                                                    | 501(c)3                              | Support the mission of the Organization     | 15,000.  |
| Presby's Inspired Life<br>2000 Joshua Road<br>Lafayette Hill PA 19444                    |                                                                                                                    | 501(c)3                              | Support the Medical Center                  | 75,000.  |
| Mann Center for Performing Arts<br>123 south Broad Street<br>Philadelphia PA 19109       |                                                                                                                    | 501(c)3                              | Support of the Arts                         | 5,000.   |
| Youth Work Foundation of Union League<br>140 South Broad Street<br>Philadelphia PA 19102 |                                                                                                                    | 501 (c) 3                            | Support of child welfare                    | 1,000.   |
| Abington Public Library<br>1030 Old York Road<br>Abington PA 19001                       |                                                                                                                    | 501 (c) 3                            | Maintain library services                   | 1,000.   |
| See Line 3a statement                                                                    |                                                                                                                    |                                      |                                             | 23,500.  |
| <b>Total</b>                                                                             |                                                                                                                    |                                      | <b>3 a</b>                                  | 154,600. |
| <b>b Approved for future payment</b>                                                     |                                                                                                                    |                                      |                                             |          |
|                                                                                          |                                                                                                                    |                                      |                                             |          |
| <b>Total</b>                                                                             |                                                                                                                    |                                      | <b>3 b</b>                                  |          |

### **Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated                          | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions ) |
|-------------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
|                                                                         | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                                                    |
| <b>1</b> Program service revenue                                        |                           |               |                                      |               |                                                                    |
| <b>a</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>b</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>c</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>d</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>e</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>f</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>g</b> Fees and contracts from government agencies . .                |                           |               |                                      |               |                                                                    |
| <b>2</b> Membership dues and assessments . . . . .                      |                           |               |                                      |               |                                                                    |
| <b>3</b> Interest on savings and temporary cash investments . . . .     |                           |               |                                      |               |                                                                    |
| <b>4</b> Dividends and interest from securities . . . . .               |                           |               |                                      |               | 80,303.                                                            |
| <b>5</b> Net rental income or (loss) from real estate                   |                           |               |                                      |               |                                                                    |
| <b>a</b> Debt-financed property . . . . .                               |                           |               |                                      |               |                                                                    |
| <b>b</b> Not debt-financed property . . . . .                           |                           |               |                                      |               |                                                                    |
| <b>6</b> Net rental income or (loss) from personal property . . . .     |                           |               |                                      |               |                                                                    |
| <b>7</b> Other investment income . . . . .                              |                           |               |                                      |               |                                                                    |
| <b>8</b> Gain or (loss) from sales of assets other than inventory . . . |                           |               |                                      |               |                                                                    |
| <b>9</b> Net income or (loss) from special events . . . .               |                           |               |                                      |               |                                                                    |
| <b>10</b> Gross profit or (loss) from sales of inventory . . .          |                           |               |                                      |               |                                                                    |
| <b>11</b> Other revenue                                                 |                           |               |                                      |               |                                                                    |
| <b>a</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>b</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>c</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>d</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>e</b> _____                                                          |                           |               |                                      |               |                                                                    |
| <b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .             |                           |               |                                      |               | 80,303.                                                            |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .       |                           |               |                                      |               | 80,303.                                                            |

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Application for Extension of Time To File an  
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I. Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

|                                                               |                                                                                         |                                         |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------|
| <b>Type or print</b>                                          | Name of exempt organization or other filer, see instructions                            | Employer identification number (EIN) or |
|                                                               | The Joseph C Scott Foundation                                                           | 26-3892199                              |
|                                                               | Number, street, and room or suite number. If a P.O. box, see instructions               | Social security number (SSN)            |
|                                                               | 1515 The Fairway, #182 Woodside                                                         |                                         |
| File by the due date for filing your return. See instructions | City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                         |
|                                                               | Jenkintown                                                                              | PA 19046                                |

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

| Application Is For                          | Return Code | Application Is For                | Return Code |
|---------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                     | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                                 | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                      | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                                 | 04          | Form 5227                         | 10          |
| Form 990-T (section 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)         | 06          | Form 8870                         | 12          |

- The books are in the care of ► Donn Patton, CPA

Telephone No. ► (412) 833-5077 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 20 13 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

|                                                                                                                                                                                        |     |    |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|----|
| 3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                  | 3 a | \$ | 0. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3 b | \$ | 0. |
| c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.       | 3 c | \$ | 0. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Form 990-PF, Page 2, Part III, Line 3

**Other Increases Stmt**

|                          |                 |
|--------------------------|-----------------|
| Long Term Capital Gains  | 19,460.         |
| Short Term Capital Gains | 159,931.        |
| <b>Total</b>             | <b>179,391.</b> |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

**Columns (a) thru (d)**

| (a)<br>List and describe the kind(s) of property sold<br>(e.g., real estate, 2-story brick warehouse, or<br>common stock, 200 shares MLC Company) | (b)<br>How acquired<br>P — Purchase<br>D — Donation | (c)<br>Date acquired<br>(month,<br>day, year) | (d)<br>Date sold<br>(month,<br>day, year) |
|---------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|-------------------------------------------|
| Curian Clearing (RA1746101Z) Long-Term                                                                                                            | P                                                   | See Attached                                  | Various                                   |
| Curian Clearing (RA1746201R) Short-Term                                                                                                           | P                                                   | See Attached                                  | Various                                   |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

**Columns (e) thru (h)**

| (e)<br>Gross sales<br>price | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| 731,161.                    |                                               | 716,366.                                           | 14,795.                                         |
| 292,282.                    |                                               | 296,696.                                           | -4,414.                                         |
| <b>Total</b>                |                                               | <b>1,013,062.</b>                                  | <b>10,381.</b>                                  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

**Columns (i) thru (l)**Complete only for assets showing gain in column (h) and owned  
by the foundation on 12/31/69

| (i)<br>Fair Market Value<br>as of 12/31/69 | (j)<br>Adjusted basis<br>as of 12/31/69 | (k)<br>Excess of column (i)<br>over column (j), if any | (l)<br>Gains (column (h)<br>gain minus column (k),<br>but not less than -0-)<br>or losses (from<br>column (h)) |
|--------------------------------------------|-----------------------------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| 0.                                         | 0.                                      | 0.                                                     | 14,795.                                                                                                        |
|                                            |                                         |                                                        | -4,414.                                                                                                        |
| <b>Total</b>                               | <b>0.</b>                               | <b>0.</b>                                              | <b>10,381.</b>                                                                                                 |

Form 990-PF, Page 11, Part XV, line 3a

**Line 3a statement**

| Recipient<br><br>Name and address<br>(home or business)                                           | If recipient is<br>an individual,<br>show any<br>relationship to<br>any foundation<br>manager or<br>substantial<br>contributor | Founda-<br>tion<br>status<br>of re-<br>cipient | Purpose of<br>grant or contribution | Person or<br>Business<br>Checkbox<br><br>Amount                   |
|---------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------|-------------------------------------------------------------------|
| <b>a Paid during the year</b>                                                                     |                                                                                                                                |                                                |                                     |                                                                   |
| Pan Massachusetts Challenge<br>77 Fourth Avenue<br>Needham MA 02494                               |                                                                                                                                | 501 (c) 3                                      | Provide support<br>for mission      | Person or Business <input checked="" type="checkbox"/><br>1,000.  |
| Lawrenceville School<br>PO Box 6008<br>Lawrenceville NJ 08648                                     |                                                                                                                                | 501 (c) 3                                      | General support<br>to school        | Person or Business <input checked="" type="checkbox"/><br>5,000.  |
| Jenkintown Day Nursery<br>796 Baeder Road<br>Jenkintown PA 19046                                  |                                                                                                                                | 501 (c) 3                                      | Support of early<br>education       | Person or Business <input checked="" type="checkbox"/><br>1,000.  |
| Commonwealth Youth Choir<br>35 West Cheltenham Avenue<br>Philadelphia PA 19144                    |                                                                                                                                | 501 (c) 3                                      | Provide support<br>for mission      | Person or Business <input checked="" type="checkbox"/><br>500.    |
| Children's Hospital of Philadelphia<br>34th Street and Civic Center Blvd<br>Philadelphia PA 19104 |                                                                                                                                | 501 (c) 3                                      | Provide support<br>for mission      | Person or Business <input checked="" type="checkbox"/><br>1,000.  |
| ALS Foundation<br>321 Norristown Road<br>Ambler PA 19002                                          |                                                                                                                                | 501 (c) 3                                      | Support research<br>& treatment     | Person or Business <input checked="" type="checkbox"/><br>500.    |
| Curry College<br>1071 Blue Hill Ave<br>Milton MA 02186                                            |                                                                                                                                | 501 (c) 3                                      | Support of<br>Education             | Person or Business <input checked="" type="checkbox"/><br>10,000. |
| Abington Friend School<br>575 Washington Lane<br>Jenkintown PA 19046                              |                                                                                                                                | 501 (c) 3                                      | Support of<br>Education             | Person or Business <input checked="" type="checkbox"/><br>2,000.  |
| The Sisters of the Holy Redeemer<br>521 Moredon Road<br>Huntingdon Valley PA 19006                |                                                                                                                                | 501 (c) 3                                      | Support Mission                     | Person or Business <input checked="" type="checkbox"/><br>500.    |
| Pennypack Ecological Trust<br>2955 Edge Hill Road<br>Huntingdon Valley PA 19006                   |                                                                                                                                | 501 (c) 3                                      | Preserve Land                       | Person or Business <input checked="" type="checkbox"/><br>1,000.  |
| Jenkintown Library<br>460 Old York Road<br>Jenkintown PA 19046                                    |                                                                                                                                | 501 (c) 3                                      | Maintain Library<br>Services        | Person or Business <input checked="" type="checkbox"/><br>1,000.  |

Total

23,500.

Form 990-PF, Page 1, Part I

**Line 16b - Accounting Fees**

| Name of Provider | Type of Service Provided | Amount Paid Per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------|--------------------------|-----------------------|-----------------------|---------------------|---------------------------------------|
| Donn Patton, CPA | Accounting & Tax         | 441.                  |                       |                     |                                       |
| <b>Total</b>     |                          | <u>441.</u>           |                       |                     |                                       |

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

| Name of Provider | Type of Service Provided | Amount Paid Per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------|--------------------------|-----------------------|-----------------------|---------------------|---------------------------------------|
| AXA 31435448     | Investment               | 150.                  |                       |                     |                                       |
| AXA 74085931     | Investment               | 2,781.                |                       |                     |                                       |
| Acct RA1746101Z  | Investment               | 32,413.               |                       |                     |                                       |
| Acct RA1746201R  | Investment               | 1,069.                |                       |                     |                                       |
| <b>Total</b>     |                          | <u>36,413.</u>        |                       |                     |                                       |

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

| Line 10b - Investments - Corporate Stock: | End of Year       |                   |
|-------------------------------------------|-------------------|-------------------|
|                                           | Book Value        | Fair Market Value |
| Ishares Russell 1000 Index Fd             | 300,042.          | 318,279.          |
| Ishares SP Midcap 400 Index Fd            | 299,735.          | 313,517.          |
| Vanguard Index Reit Eft                   | 90,095.           | 83,089.           |
| Vanguard Small Cap Eft                    | 299,453.          | 312,038.          |
| Financial Investors Listed Private Cl     | 148,368.          | 146,730.          |
| Forward Fds Intl Real Estate Fd           | 94,008.           | 89,890.           |
| Lord Abbett Invt Tr Short Dur Incm Cl     | 301,409.          | 300,995.          |
| Oppenheimer Developing Markets Fds        | 204,670.          | 202,355.          |
| Pimco Funds Commodity Real Rtrn           | 144,040.          | 137,233.          |
| Putnam Funds Trust Capital Spectrum       | 287,646.          | 306,339.          |
| Putnam Invt Fds Intl Voyager Fd           | 204,582.          | 207,992.          |
| Ridgeworth Fds Seix Float Rate Inc        | 150,627.          | 151,126.          |
| Virtus Opptys Tr Foreign Opptys           | 201,504.          | 199,922.          |
| <b>Total</b>                              | <u>2,726,179.</u> | <u>2,769,505.</u> |

## Summary of Brokerage Accounts Capital Gain/Loss Transactions

Form 990 Page 3 Part IV

| Brokerage Firm  | Account #  | Gross Proceeds      | Cost Basis          | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss | Number of Pages |
|-----------------|------------|---------------------|---------------------|--------------------|---------------------------|-----------------------|-----------------|
| AXA Advisors    | 3143-5448  | \$ 130,632          | \$ 131,310          | \$ (678)           | -                         | \$ (678)              | 1               |
| AXA Advisors    | 3143-5448  | 118,959             | 114,294             | 4,665              | -                         | 4,665                 | 1               |
| AXA Advisors    | 7408-5931  | 789,661             | 784,558             | 5,102              | -                         | 5,102                 | 1               |
| Curian Clearing | RA1746101Z | 5,247,453           | 5,087,532           | 159,921            | -                         | 159,921               | 165             |
| Curian Clearing | RA1746101Z | 731,161             | 716,366             | 14,795             | -                         | 14,795                | 36              |
| Curian Clearing | RA1746201R | 292,282             | 296,696             | (4,414)            | -                         | (4,414)               | 12              |
|                 |            | <u>\$ 7,310,147</u> | <u>\$ 7,130,757</u> | <u>\$ 179,391</u>  | <u>-</u>                  | <u>\$ 179,391</u>     |                 |

| Shares | Symbol/Description | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|--------|--------------------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 13000  | Fedl Natl Mtg Assn | 6/7/2012         | 1/10/2013 | 12,132.95         | 12,066.63  | 66.32                 | -                               | 66.32                    |
| 4000   | Fedl Natl Mtg Assn | 10/5/2012        | 1/10/2013 | 4,163.09          | 4,189.54   | (26.45)               | -                               | (26.45)                  |
| 3000   | US Treasury Note   | 3/2/2012         | 2/7/2013  | 3,050.86          | 2,985.93   | 64.93                 | -                               | 64.93                    |
| 4000   | US Treasury Note   | 12/26/2012       | 2/7/2013  | 6,004.81          | 6,177.24   | (172.43)              | -                               | (172.43)                 |
| 6000   | US Treasury Note   | 7/25/2012        | 2/7/2013  | 6,504.49          | 6,510.31   | (5.82)                | -                               | (5.82)                   |
| 8000   | US Treasury Note   | 11/21/2012       | 2/7/2013  | 7,995.31          | 8,025.44   | (30.13)               | -                               | (30.13)                  |
| 5000   | US Treasury Note   | 12/13/2012       | 2/7/2013  | 4,997.07          | 5,019.69   | (22.62)               | -                               | (22.62)                  |
| 6000   | US Treasury Note   | 1/22/2013        | 2/7/2013  | 8,391.89          | 8,519.38   | (127.49)              | -                               | (127.49)                 |
| 5000   | US Treasury Note   | 1/9/2013         | 2/7/2013  | 4,942.38          | 4,955.08   | (12.70)               | -                               | (12.70)                  |
| 5000   | Fedl Natl Mtg Assn | 6/7/2012         | 2/8/2013  | 4,478.65          | 4,497.92   | (19.27)               | -                               | (19.27)                  |
| 3000   | Fedl Natl Mtg Assn | 12/14/2012       | 2/8/2013  | 3,067.27          | 3,119.90   | (52.63)               | -                               | (52.63)                  |
| 10000  | Fedl Natl Mtg Assn | 4/23/2012        | 2/8/2013  | 7,173.96          | 7,311.35   | (137.39)              | -                               | (137.39)                 |
| 7000   | Fedl Natl Mtg Assn | 6/7/2012         | 2/27/2013 | 6,279.41          | 6,297.06   | (17.65)               | -                               | (17.65)                  |
| 4000   | Fedl Natl Mtg Assn | 12/14/2012       | 2/27/2013 | 4,108.89          | 4,159.87   | (50.98)               | -                               | (50.98)                  |
| 11000  | Fedl Natl Mtg Assn | 4/23/2012        | 2/27/2013 | 7,898.32          | 8,042.47   | (144.15)              | -                               | (144.15)                 |
| 1000   | Fedl Natl Mtg Assn | 2/20/2013        | 2/27/2013 | 223.01            | 222.69     | 0.32                  | -                               | 0.32                     |
| 4000   | US Treasury Note   | 3/2/2012         | 2/27/2013 | 4,087.34          | 3,981.24   | 106.10                | -                               | 106.10                   |
| 7000   | US Treasury Note   | 7/25/2012        | 2/27/2013 | 7,604.18          | 7,582.55   | 21.63                 | -                               | 21.63                    |
| 6000   | US Treasury Note   | 12/7/2012        | 2/27/2013 | 6,517.87          | 6,616.65   | (98.78)               | -                               | (98.78)                  |
| 16000  | US Treasury Note   | 12/31/2012       | 2/27/2013 | 16,035.62         | 16,062.28  | (26.66)               | -                               | (26.66)                  |
| 3000   | US Treasury Note   | 1/15/2013        | 2/27/2013 | 2,985.00          | 2,980.55   | 4.45                  | -                               | 4.45                     |
| 2000   | US Treasury Note   | 1/22/2013        | 2/27/2013 | 1,990.00          | 1,986.41   | 3.59                  | -                               | 3.59                     |
|        |                    |                  |           | 130,632.37        | 131,310.18 | (677.81)              | -                               | (677.81)                 |

## Detail of AXA Advisors 3143-5448 (Long Term)

Form 990 Page 3 Part IV

| Shares | Symbol/Description      | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|--------|-------------------------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 1800   | FXICX                   | 7/5/2011         | 1/30/2013 | 24,282.00         | 23,778.00  | 504.00                | -                               | 504.00                   |
| 2150   | FXIMX                   | 7/5/2011         | 1/31/2013 | 24,037.00         | 22,811.50  | 1,225.50              | -                               | 1,225.50                 |
| 5      | FXICX                   | 7/5/2011         | 2/4/2013  | 67.55             | 66.05      | 1.50                  | -                               | 1.50                     |
| 5      | FXIMX                   | 7/5/2011         | 2/5/2013  | 55.90             | 53.05      | 2.85                  | -                               | 2.85                     |
| 8000   | Fedl Natl Mtg Assn      | 7/11/2011        | 2/8/2013  | 4,097.65          | 4,092.41   | 5.24                  | -                               | 5.24                     |
| 5000   | Fedl Home Loan Mtg Corp | 11/10/2011       | 2/8/2013  | 1,254.77          | 1,319.91   | (65.14)               | -                               | (65.14)                  |
| 1095   | FXICX                   | 7/5/2011         | 2/22/2013 | 14,782.50         | 14,464.95  | 317.55                | -                               | 317.55                   |
| 290    | FXICX                   | 8/16/2011        | 2/22/2013 | 3,915.00          | 3,778.70   | 136.30                | -                               | 136.30                   |
| 780    | FXICX                   | 10/4/2011        | 2/22/2013 | 10,530.00         | 9,484.80   | 1,045.20              | -                               | 1,045.20                 |
| 2615   | FXIMX                   | 7/5/2011         | 2/26/2013 | 29,235.70         | 27,745.15  | 1,490.55              | -                               | 1,490.55                 |
| 10000  | Fedl Natl Mtg Assn      | 7/11/2011        | 2/27/2013 | 5,193.20          | 5,115.50   | 77.70                 | -                               | 77.70                    |
| 6000   | Fedl Home Loan Mtg Corp | 11/10/2011       | 2/27/2013 | 1,507.47          | 1,583.88   | (76.41)               | -                               | (76.41)                  |
|        |                         |                  |           | 118,958.74        | 114,293.90 | 4,664.84              | -                               | 4,664.84                 |

## Details of AXA Advisors Account 7408-5931 (Short-Term)

| Shares    | Symbol                    | Date<br>Acquired | Date Sold  | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|---------------------------|------------------|------------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 4896.865  | Calamos Mkt Ntrl Incm     | 10/17/2013       | 10/24/2013 | 63,512.34         | 63,316.47  | 195.87                | -                               | 195.87                   |
| 8442.196  | FRWRD CR ANLY L/S INVS    | 10/17/2013       | 10/24/2013 | 64,329.53         | 63,316.47  | 1,013.06              | -                               | 1,013.06                 |
| 1765      | ISHS Core S&P MDCP ETF    | 10/17/2013       | 10/23/2013 | 226,886.98        | 225,225.65 | 1,661.33              | -                               | 1,661.33                 |
| 11222.917 | Loomis Invnt Grd BD       | 10/17/2013       | 10/24/2013 | 139,500.86        | 138,490.80 | 1,010.06              | -                               | 1,010.06                 |
| 3898.797  | Merger Fund SBI           | 10/17/2013       | 10/24/2013 | 63,394.44         | 63,316.47  | 77.97                 | -                               | 77.97                    |
| 11250.268 | PIMCO Incm P              | 10/17/2013       | 10/24/2013 | 139,390.82        | 138,490.80 | 900.02                | -                               | 900.02                   |
| 8133.96   | Vanguard Int TM Trsy Invs | 10/17/2013       | 10/24/2013 | 92,645.80         | 92,401.78  | 244.02                | -                               | 244.02                   |
|           |                           |                  |            | 789,660.77        | 784,558.44 | 5,102.33              | -                               | 5,102.33                 |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.00006 | IVV    | 10/5/2012        | 8/13/2013 | \$ 0.01        | \$ 0.01    | \$ -                  | \$ -                            | \$ -                     |
| 0.00070 | PCLN   | 10/15/2012       | 9/6/2013  | \$ 0.67        | \$ 0.42    | \$ 0.26               | \$ -                            | \$ 0.26                  |
| 0.00082 | HAR    | 9/10/2012        | 2/1/2013  | \$ 0.04        | \$ 0.04    | \$ -                  | \$ -                            | \$ -                     |
| 0.00088 | IVV    | 10/5/2012        | 8/12/2013 | \$ 0.14        | \$ 0.13    | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.00180 | ALXN   | 2/22/2013        | 6/20/2013 | \$ 0.16        | \$ 0.16    | \$ -                  | \$ -                            | \$ -                     |
| 0.00210 | IVV    | 10/5/2012        | 7/10/2013 | \$ 0.35        | \$ 0.31    | \$ 0.04               | \$ -                            | \$ 0.04                  |
| 0.00271 | IWF    | 1/30/2013        | 3/22/2013 | \$ 0.19        | \$ 0.19    | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.00308 | HSIC   | 6/5/2012         | 1/8/2013  | \$ 0.26        | \$ 0.23    | \$ 0.03               | \$ -                            | \$ 0.03                  |
| 0.00424 | PCLN   | 3/7/2013         | 9/27/2013 | \$ 4.28        | \$ 3.05    | \$ 1.23               | \$ -                            | \$ 1.23                  |
| 0.00493 | IWM    | 12/7/2012        | 4/19/2013 | \$ 0.45        | \$ 0.40    | \$ 0.04               | \$ -                            | \$ 0.04                  |
| 0.00499 | KSU    | 8/10/2012        | 4/1/2013  | \$ 0.55        | \$ 0.36    | \$ 0.18               | \$ -                            | \$ 0.18                  |
| 0.00548 | BYI    | 6/6/2012         | 2/14/2013 | \$ 0.27        | \$ 0.25    | \$ 0.02               | \$ -                            | \$ 0.02                  |
| 0.00555 | MOLX   | 3/6/2013         | 4/26/2013 | \$ 0.15        | \$ 0.16    | \$ (0.01)             | \$ -                            | \$ (0.01)                |
| 0.00685 | GOOG   | 3/7/2013         | 9/27/2013 | \$ 6.00        | \$ 5.71    | \$ 0.29               | \$ -                            | \$ 0.29                  |
| 0.00736 | WWV    | 9/10/2012        | 1/14/2013 | \$ 0.29        | \$ 0.33    | \$ (0.03)             | \$ -                            | \$ (0.03)                |
| 0.00765 | RGLD   | 6/5/2012         | 2/19/2013 | \$ 0.52        | \$ 0.59    | \$ (0.06)             | \$ -                            | \$ (0.06)                |
| 0.00824 | GOOG   | 3/19/2013        | 9/27/2013 | \$ 7.21        | \$ 6.72    | \$ 0.49               | \$ -                            | \$ 0.49                  |
| 0.00963 | PCLN   | 3/19/2013        | 9/27/2013 | \$ 9.72        | \$ 6.72    | \$ 3.00               | \$ -                            | \$ 3.00                  |
| 0.01002 | IVV    | 10/5/2012        | 8/15/2013 | \$ 1.68        | \$ 1.48    | \$ 0.20               | \$ -                            | \$ 0.20                  |
| 0.01026 | IVV    | 10/5/2012        | 8/14/2013 | \$ 1.74        | \$ 1.52    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.01044 | LNKD   | 9/6/2013         | 9/27/2013 | \$ 2.58        | \$ 2.64    | \$ (0.06)             | \$ -                            | \$ (0.06)                |
| 0.01202 | CFR    | 1/16/2013        | 6/3/2013  | \$ 0.77        | \$ 0.69    | \$ 0.07               | \$ -                            | \$ 0.07                  |
| 0.01446 | PCLN   | 5/1/2013         | 9/27/2013 | \$ 14.59       | \$ 10.05   | \$ 4.54               | \$ -                            | \$ 4.54                  |
| 0.01503 | ONXX   | 11/12/2012       | 7/12/2013 | \$ 1.99        | \$ 1.13    | \$ 0.86               | \$ -                            | \$ 0.86                  |
| 0.01544 | PCLN   | 5/1/2013         | 9/27/2013 | \$ 15.58       | \$ 10.73   | \$ 4.85               | \$ -                            | \$ 4.85                  |
| 0.01583 | GOOG   | 10/5/2012        | 9/27/2013 | \$ 13.86       | \$ 12.26   | \$ 1.60               | \$ -                            | \$ 1.60                  |
| 0.01604 | AMG    | 1/15/2013        | 9/27/2013 | \$ 2.95        | \$ 2.24    | \$ 0.71               | \$ -                            | \$ 0.71                  |
| 0.01682 | CELG   | 10/15/2012       | 9/6/2013  | \$ 2.48        | \$ 1.31    | \$ 1.17               | \$ -                            | \$ 1.17                  |
| 0.01781 | ALXN   | 2/22/2013        | 9/6/2013  | \$ 1.99        | \$ 1.55    | \$ 0.44               | \$ -                            | \$ 0.44                  |
| 0.01806 | PCP    | 10/15/2012       | 9/6/2013  | \$ 3.98        | \$ 2.98    | \$ 1.00               | \$ -                            | \$ 1.00                  |
| 0.01885 | STRZA  | 1/15/2013        | 1/23/2013 | \$ 0.30        | \$ 0.29    | \$ 0.01               | \$ -                            | \$ 0.01                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.01906 | CL     | 6/5/2012         | 4/8/2013  | \$ 2.23        | \$ 1.84    | \$ 0.39               | -                               | \$ 0.39                  |
| 0.01932 | IVV    | 10/5/2012        | 7/12/2013 | \$ 3.25        | \$ 2.85    | \$ 0.40               | -                               | \$ 0.40                  |
| 0.01971 | BLK    | 3/19/2013        | 9/27/2013 | \$ 5.34        | \$ 5.02    | \$ 0.31               | -                               | \$ 0.31                  |
| 0.01991 | NVO    | 3/19/2013        | 9/27/2013 | \$ 3.38        | \$ 3.34    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.02142 | PRGO   | 3/7/2013         | 9/27/2013 | \$ 2.65        | \$ 2.48    | \$ 0.17               | -                               | \$ 0.17                  |
| 0.02142 | V      | 3/7/2013         | 9/27/2013 | \$ 4.13        | \$ 3.43    | \$ 0.70               | -                               | \$ 0.70                  |
| 0.02260 | STRZA  | 10/5/2012        | 1/23/2013 | \$ 0.36        | \$ 0.25    | \$ 0.10               | -                               | \$ 0.10                  |
| 0.02260 | LMCA   | 10/5/2012        | 9/27/2013 | \$ 3.36        | \$ 2.21    | \$ 1.15               | -                               | \$ 1.15                  |
| 0.02319 | IVV    | 10/5/2012        | 9/10/2013 | \$ 3.93        | \$ 3.43    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.02324 | AMZN   | 1/15/2013        | 9/27/2013 | \$ 7.33        | \$ 6.28    | \$ 1.05               | -                               | \$ 1.05                  |
| 0.02340 | GOOG   | 5/1/2013         | 9/27/2013 | \$ 20.48       | \$ 19.22   | \$ 1.26               | -                               | \$ 1.26                  |
| 0.02415 | V      | 3/19/2013        | 9/27/2013 | \$ 4.66        | \$ 3.82    | \$ 0.84               | -                               | \$ 0.84                  |
| 0.02439 | TRV    | 3/19/2013        | 4/29/2013 | \$ 2.07        | \$ 2.03    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.02451 | CBT    | 3/18/2013        | 5/8/2013  | \$ 0.93        | \$ 0.86    | \$ 0.07               | -                               | \$ 0.07                  |
| 0.02550 | GOOG   | 11/5/2012        | 9/27/2013 | \$ 22.32       | \$ 17.25   | \$ 5.07               | -                               | \$ 5.07                  |
| 0.02551 | LH     | 2/20/2013        | 7/16/2013 | \$ 2.48        | \$ 2.30    | \$ 0.18               | -                               | \$ 0.18                  |
| 0.02571 | BOH    | 11/5/2012        | 4/16/2013 | \$ 1.24        | \$ 1.13    | \$ 0.10               | -                               | \$ 0.10                  |
| 0.02670 | ONXX   | 1/15/2013        | 9/27/2013 | \$ 3.33        | \$ 2.24    | \$ 1.09               | -                               | \$ 1.09                  |
| 0.02717 | AMZN   | 3/19/2013        | 9/27/2013 | \$ 8.57        | \$ 6.97    | \$ 1.60               | -                               | \$ 1.60                  |
| 0.02750 | IWD    | 2/20/2013        | 3/18/2013 | \$ 2.23        | \$ 2.18    | \$ 0.05               | -                               | \$ 0.05                  |
| 0.02801 | ARW    | 8/10/2012        | 8/6/2013  | \$ 1.31        | \$ 1.02    | \$ 0.29               | -                               | \$ 0.29                  |
| 0.02844 | LULU   | 10/5/2012        | 3/22/2013 | \$ 1.77        | \$ 2.17    | \$ (0.40)             | -                               | \$ (0.40)                |
| 0.02844 | CXO    | 10/5/2012        | 9/27/2013 | \$ 3.07        | \$ 2.74    | \$ 0.33               | -                               | \$ 0.33                  |
| 0.02849 | VNTV   | 8/6/2012         | 6/27/2013 | \$ 0.80        | \$ 0.64    | \$ 0.16               | -                               | \$ 0.16                  |
| 0.02858 | GOOG   | 2/20/2013        | 9/27/2013 | \$ 25.01       | \$ 23.00   | \$ 2.02               | -                               | \$ 2.02                  |
| 0.02878 | IWR    | 10/26/2012       | 3/6/2013  | \$ 3.59        | \$ 3.13    | \$ 0.46               | -                               | \$ 0.46                  |
| 0.02976 | AMP    | 3/19/2013        | 9/27/2013 | \$ 2.72        | \$ 2.21    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.03047 | ARMH   | 7/19/2013        | 9/6/2013  | \$ 1.30        | \$ 1.26    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.03055 | IWF    | 3/19/2013        | 5/17/2013 | \$ 2.31        | \$ 2.16    | \$ 0.16               | -                               | \$ 0.16                  |
| 0.03065 | MCD    | 3/19/2013        | 9/27/2013 | \$ 2.98        | \$ 3.03    | \$ (0.05)             | -                               | \$ (0.05)                |
| 0.03066 | THD    | 7/18/2012        | 3/20/2013 | \$ 2.81        | \$ 2.16    | \$ 0.64               | -                               | \$ 0.64                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.03132 | MYGN   | 12/27/2012       | 5/22/2013 | \$ 1.03        | \$ 0.85    | \$ 0.19               | \$ -                            | \$ 0.19                  |
| 0.03155 | MLM    | 10/5/2012        | 9/27/2013 | \$ 3.06        | \$ 2.74    | \$ 0.32               | \$ -                            | \$ 0.32                  |
| 0.03208 | NOV    | 3/7/2013         | 9/27/2013 | \$ 2.51        | \$ 2.17    | \$ 0.33               | \$ -                            | \$ 0.33                  |
| 0.03225 | NVO    | 10/15/2012       | 9/6/2013  | \$ 5.22        | \$ 5.29    | \$ (0.07)             | \$ -                            | \$ (0.07)                |
| 0.03225 | MLM    | 1/15/2013        | 9/27/2013 | \$ 3.13        | \$ 3.17    | \$ (0.04)             | \$ -                            | \$ (0.04)                |
| 0.03245 | CBT    | 6/6/2012         | 2/14/2013 | \$ 1.24        | \$ 1.19    | \$ 0.05               | \$ -                            | \$ 0.05                  |
| 0.03247 | IWD    | 2/4/2013         | 3/1/2013  | \$ 2.55        | \$ 2.52    | \$ 0.04               | \$ -                            | \$ 0.04                  |
| 0.03292 | IWR    | 10/19/2012       | 2/28/2013 | \$ 4.04        | \$ 3.66    | \$ 0.37               | \$ -                            | \$ 0.37                  |
| 0.03328 | PCLN   | 2/20/2013        | 9/27/2013 | \$ 33.58       | \$ 23.45   | \$ 10.13              | \$ -                            | \$ 10.13                 |
| 0.03361 | COL    | 3/19/2013        | 9/27/2013 | \$ 2.31        | \$ 2.13    | \$ 0.18               | \$ -                            | \$ 0.18                  |
| 0.03369 | BIIB   | 3/19/2013        | 9/27/2013 | \$ 8.21        | \$ 5.96    | \$ 2.26               | \$ -                            | \$ 2.26                  |
| 0.03423 | LNKD   | 10/5/2012        | 3/1/2013  | \$ 5.76        | \$ 4.15    | \$ 1.61               | \$ -                            | \$ 1.61                  |
| 0.03435 | TMO    | 3/19/2013        | 9/27/2013 | \$ 3.19        | \$ 2.66    | \$ 0.53               | \$ -                            | \$ 0.53                  |
| 0.03442 | PX     | 3/19/2013        | 9/27/2013 | \$ 4.14        | \$ 3.90    | \$ 0.24               | \$ -                            | \$ 0.24                  |
| 0.03481 | AAPL   | 3/7/2013         | 9/27/2013 | \$ 16.76       | \$ 14.72   | \$ 2.04               | \$ -                            | \$ 2.04                  |
| 0.03482 | AAPL   | 11/5/2012        | 9/27/2013 | \$ 16.76       | \$ 20.13   | \$ (3.37)             | \$ -                            | \$ (3.37)                |
| 0.03524 | AMG    | 3/6/2013         | 9/27/2013 | \$ 6.47        | \$ 5.28    | \$ 1.19               | \$ -                            | \$ 1.19                  |
| 0.03530 | MLM    | 11/5/2012        | 9/27/2013 | \$ 3.42        | \$ 2.98    | \$ 0.44               | \$ -                            | \$ 0.44                  |
| 0.03532 | MKC    | 1/15/2013        | 1/28/2013 | \$ 2.15        | \$ 2.31    | \$ (0.16)             | \$ -                            | \$ (0.16)                |
| 0.03548 | IVV    | 10/5/2012        | 9/11/2013 | \$ 6.02        | \$ 5.24    | \$ 0.78               | \$ -                            | \$ 0.78                  |
| 0.03594 | CMI    | 3/7/2013         | 9/27/2013 | \$ 4.79        | \$ 4.23    | \$ 0.56               | \$ -                            | \$ 0.56                  |
| 0.03600 | ALOG   | 11/5/2012        | 9/27/2013 | \$ 2.93        | \$ 2.63    | \$ 0.30               | \$ -                            | \$ 0.30                  |
| 0.03630 | EW     | 9/6/2013         | 9/26/2013 | \$ 2.47        | \$ 2.61    | \$ (0.14)             | \$ -                            | \$ (0.14)                |
| 0.03647 | IWM    | 3/18/2013        | 9/3/2013  | \$ 3.67        | \$ 3.45    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.03676 | KSU    | 11/5/2012        | 9/26/2013 | \$ 4.03        | \$ 2.98    | \$ 1.04               | \$ -                            | \$ 1.04                  |
| 0.03697 | OXY    | 3/19/2013        | 9/27/2013 | \$ 3.49        | \$ 2.98    | \$ 0.50               | \$ -                            | \$ 0.50                  |
| 0.03701 | RBC    | 11/5/2012        | 9/27/2013 | \$ 2.52        | \$ 2.47    | \$ 0.05               | \$ -                            | \$ 0.05                  |
| 0.03772 | LNKD   | 3/19/2013        | 9/27/2013 | \$ 9.31        | \$ 6.59    | \$ 2.72               | \$ -                            | \$ 2.72                  |
| 0.03786 | KSU    | 1/15/2013        | 9/26/2013 | \$ 4.15        | \$ 3.25    | \$ 0.90               | \$ -                            | \$ 0.90                  |
| 0.03788 | CL     | 3/19/2013        | 9/27/2013 | \$ 2.27        | \$ 2.13    | \$ 0.14               | \$ -                            | \$ 0.14                  |
| 0.03791 | ERIE   | 6/5/2012         | 2/21/2013 | \$ 2.75        | \$ 2.67    | \$ 0.08               | \$ -                            | \$ 0.08                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.03808 | CASY   | 8/10/2012        | 6/20/2013 | \$ 2.25        | \$ 2.29    | \$ (0.04)             | -                               | \$ (0.04)                |
| 0.03901 | RBC    | 1/15/2013        | 9/27/2013 | \$ 2.66        | \$ 2.89    | \$ (0.23)             | -                               | \$ (0.23)                |
| 0.03920 | IWM    | 2/11/2013        | 5/28/2013 | \$ 3.91        | \$ 3.55    | \$ 0.36               | -                               | \$ 0.36                  |
| 0.03993 | IWM    | 5/1/2013         | 9/17/2013 | \$ 4.21        | \$ 3.70    | \$ 0.51               | -                               | \$ 0.51                  |
| 0.03999 | BIIB   | 10/5/2012        | 6/20/2013 | \$ 8.00        | \$ 6.13    | \$ 1.87               | -                               | \$ 1.87                  |
| 0.04015 | KORS   | 5/1/2013         | 9/27/2013 | \$ 2.99        | \$ 2.29    | \$ 0.70               | -                               | \$ 0.70                  |
| 0.04044 | IWR    | 3/13/2013        | 6/13/2013 | \$ 5.26        | \$ 5.10    | \$ 0.15               | -                               | \$ 0.15                  |
| 0.04059 | SLB    | 3/7/2013         | 9/27/2013 | \$ 3.61        | \$ 3.14    | \$ 0.46               | -                               | \$ 0.46                  |
| 0.04129 | ERIE   | 10/5/2012        | 9/27/2013 | \$ 3.03        | \$ 2.68    | \$ 0.35               | -                               | \$ 0.35                  |
| 0.04163 | QCOM   | 9/6/2013         | 9/27/2013 | \$ 2.80        | \$ 2.85    | \$ (0.05)             | -                               | \$ (0.05)                |
| 0.04194 | BOH    | 11/5/2012        | 4/24/2013 | \$ 1.96        | \$ 1.85    | \$ 0.11               | -                               | \$ 0.11                  |
| 0.04206 | MCD    | 2/20/2013        | 9/27/2013 | \$ 4.09        | \$ 3.95    | \$ 0.13               | -                               | \$ 0.13                  |
| 0.04211 | DOV    | 10/5/2012        | 9/27/2013 | \$ 3.77        | \$ 2.52    | \$ 1.25               | -                               | \$ 1.25                  |
| 0.04216 | PEP    | 3/19/2013        | 9/27/2013 | \$ 3.38        | \$ 3.24    | \$ 0.14               | -                               | \$ 0.14                  |
| 0.04218 | COF    | 3/19/2013        | 9/27/2013 | \$ 2.91        | \$ 2.27    | \$ 0.65               | -                               | \$ 0.65                  |
| 0.04239 | OIS    | 11/5/2012        | 5/8/2013  | \$ 3.98        | \$ 2.98    | \$ 1.00               | -                               | \$ 1.00                  |
| 0.04252 | MKC    | 10/5/2012        | 1/28/2013 | \$ 2.59        | \$ 2.68    | \$ (0.09)             | -                               | \$ (0.09)                |
| 0.04298 | ONXX   | 11/12/2012       | 9/27/2013 | \$ 5.36        | \$ 3.24    | \$ 2.12               | -                               | \$ 2.12                  |
| 0.04321 | CAM    | 3/19/2013        | 9/27/2013 | \$ 2.55        | \$ 2.79    | \$ (0.24)             | -                               | \$ (0.24)                |
| 0.04331 | LMCA   | 3/18/2013        | 9/27/2013 | \$ 6.44        | \$ 4.73    | \$ 1.71               | -                               | \$ 1.71                  |
| 0.04349 | EOG    | 3/19/2013        | 9/27/2013 | \$ 7.44        | \$ 5.57    | \$ 1.87               | -                               | \$ 1.87                  |
| 0.04367 | AXP    | 3/7/2013         | 9/27/2013 | \$ 3.31        | \$ 2.83    | \$ 0.48               | -                               | \$ 0.48                  |
| 0.04404 | DVN    | 3/19/2013        | 9/27/2013 | \$ 2.58        | \$ 2.54    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.04408 | TSLA   | 8/27/2013        | 9/6/2013  | \$ 7.39        | \$ 7.36    | \$ 0.03               | -                               | \$ 0.03                  |
| 0.04448 | MLM    | 3/6/2013         | 9/27/2013 | \$ 4.31        | \$ 4.56    | \$ (0.24)             | -                               | \$ (0.24)                |
| 0.04462 | CHKP   | 2/20/2013        | 9/27/2013 | \$ 2.53        | \$ 2.32    | \$ 0.21               | -                               | \$ 0.21                  |
| 0.04541 | VTR    | 3/19/2013        | 9/27/2013 | \$ 2.84        | \$ 3.19    | \$ (0.35)             | -                               | \$ (0.35)                |
| 0.04551 | NOV    | 3/19/2013        | 9/27/2013 | \$ 3.56        | \$ 3.12    | \$ 0.44               | -                               | \$ 0.44                  |
| 0.04551 | ONXX   | 3/6/2013         | 9/27/2013 | \$ 5.67        | \$ 3.63    | \$ 2.05               | -                               | \$ 2.05                  |
| 0.04588 | MNST   | 3/7/2013         | 9/27/2013 | \$ 2.44        | \$ 2.20    | \$ 0.24               | -                               | \$ 0.24                  |
| 0.04617 | ONXX   | 8/10/2012        | 7/12/2013 | \$ 6.12        | \$ 3.33    | \$ 2.79               | -                               | \$ 2.79                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.04635 | CTSH   | 2/20/2013        | 5/3/2013  | \$ 3.05        | \$ 3.61    | \$ (0.56)             | -                               | \$ (0.56)                |
| 0.04636 | HAR    | 10/5/2012        | 6/20/2013 | \$ 2.33        | \$ 2.19    | \$ 0.14               | -                               | \$ 0.14                  |
| 0.04641 | RCII   | 11/12/2012       | 6/24/2013 | \$ 1.72        | \$ 1.58    | \$ 0.14               | -                               | \$ 0.14                  |
| 0.04656 | SLB    | 10/19/2012       | 9/27/2013 | \$ 4.14        | \$ 3.48    | \$ 0.66               | -                               | \$ 0.66                  |
| 0.04674 | TW     | 7/2/2013         | 9/27/2013 | \$ 5.05        | \$ 3.90    | \$ 1.14               | -                               | \$ 1.14                  |
| 0.04791 | SHY    | 5/1/2013         | 6/18/2013 | \$ 4.04        | \$ 4.05    | \$ (0.01)             | -                               | \$ (0.01)                |
| 0.04793 | HCN    | 1/15/2013        | 9/27/2013 | \$ 3.02        | \$ 2.91    | \$ 0.11               | -                               | \$ 0.11                  |
| 0.04807 | CME    | 3/19/2013        | 9/27/2013 | \$ 3.61        | \$ 2.98    | \$ 0.63               | -                               | \$ 0.63                  |
| 0.04827 | IWR    | 10/23/2012       | 3/6/2013  | \$ 6.02        | \$ 5.27    | \$ 0.75               | -                               | \$ 0.75                  |
| 0.04836 | KSU    | 10/5/2012        | 9/26/2013 | \$ 5.30        | \$ 3.67    | \$ 1.63               | -                               | \$ 1.63                  |
| 0.04869 | PLCE   | 10/5/2012        | 9/9/2013  | \$ 2.67        | \$ 3.01    | \$ (0.34)             | -                               | \$ (0.34)                |
| 0.04874 | PLCE   | 11/5/2012        | 9/9/2013  | \$ 2.68        | \$ 2.98    | \$ (0.31)             | -                               | \$ (0.31)                |
| 0.04882 | PCLN   | 10/19/2012       | 9/27/2013 | \$ 49.26       | \$ 27.60   | \$ 21.67              | -                               | \$ 21.67                 |
| 0.04891 | TUR    | 10/5/2012        | 3/20/2013 | \$ 3.33        | \$ 2.78    | \$ 0.55               | -                               | \$ 0.55                  |
| 0.04919 | BA     | 3/19/2013        | 9/27/2013 | \$ 5.82        | \$ 4.21    | \$ 1.62               | -                               | \$ 1.62                  |
| 0.04936 | XOM    | 3/19/2013        | 9/27/2013 | \$ 4.29        | \$ 4.38    | \$ (0.09)             | -                               | \$ (0.09)                |
| 0.04945 | BIIB   | 5/1/2013         | 9/27/2013 | \$ 12.05       | \$ 10.74   | \$ 1.32               | -                               | \$ 1.32                  |
| 0.04945 | MKC    | 9/10/2012        | 1/28/2013 | \$ 3.01        | \$ 3.12    | \$ (0.10)             | -                               | \$ (0.10)                |
| 0.04949 | GPI    | 11/12/2012       | 4/1/2013  | \$ 2.94        | \$ 2.91    | \$ 0.03               | -                               | \$ 0.03                  |
| 0.04959 | WWV    | 10/5/2012        | 2/19/2013 | \$ 2.21        | \$ 2.19    | \$ 0.02               | -                               | \$ 0.02                  |
| 0.04974 | AGG    | 3/19/2013        | 6/20/2013 | \$ 5.33        | \$ 5.50    | \$ (0.16)             | -                               | \$ (0.16)                |
| 0.05037 | HCN    | 10/5/2012        | 9/27/2013 | \$ 3.17        | \$ 2.94    | \$ 0.23               | -                               | \$ 0.23                  |
| 0.05044 | EOG    | 1/15/2013        | 9/27/2013 | \$ 8.63        | \$ 6.28    | \$ 2.35               | -                               | \$ 2.35                  |
| 0.05055 | VRSN   | 7/17/2012        | 4/8/2013  | \$ 2.33        | \$ 2.20    | \$ 0.13               | -                               | \$ 0.13                  |
| 0.05059 | RGLD   | 3/6/2013         | 3/8/2013  | \$ 3.34        | \$ 3.21    | \$ 0.13               | -                               | \$ 0.13                  |
| 0.05068 | STRZA  | 8/10/2012        | 1/23/2013 | \$ 0.80        | \$ 0.52    | \$ 0.29               | -                               | \$ 0.29                  |
| 0.05103 | DVA    | 6/5/2012         | 3/13/2013 | \$ 5.97        | \$ 4.15    | \$ 1.82               | -                               | \$ 1.82                  |
| 0.05119 | BYI    | 11/12/2012       | 7/24/2013 | \$ 3.60        | \$ 2.36    | \$ 1.24               | -                               | \$ 1.24                  |
| 0.05138 | HBI    | 1/15/2013        | 8/14/2013 | \$ 3.17        | \$ 1.92    | \$ 1.25               | -                               | \$ 1.25                  |
| 0.05166 | KSU    | 3/6/2013         | 9/26/2013 | \$ 5.66        | \$ 5.49    | \$ 0.17               | -                               | \$ 0.17                  |
| 0.05179 | AXP    | 3/19/2013        | 9/27/2013 | \$ 3.93        | \$ 3.39    | \$ 0.54               | -                               | \$ 0.54                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.05243 | HAR    | 9/10/2012        | 6/20/2013 | \$ 2.64        | \$ 2.52    | \$ 0.12               | \$ -                            | \$ 0.12                  |
| 0.05294 | RWX    | 1/11/2013        | 9/27/2013 | \$ 2.23        | \$ 2.19    | \$ 0.05               | \$ -                            | \$ 0.05                  |
| 0.05303 | STRZA  | 9/10/2012        | 1/23/2013 | \$ 0.84        | \$ 0.57    | \$ 0.26               | \$ -                            | \$ 0.26                  |
| 0.05346 | AAPL   | 10/5/2012        | 9/27/2013 | \$ 25.74       | \$ 35.53   | \$ (9.79)             | \$ -                            | \$ (9.79)                |
| 0.05348 | DVA    | 3/6/2013         | 9/27/2013 | \$ 3.05        | \$ 3.31    | \$ (0.27)             | \$ -                            | \$ (0.27)                |
| 0.05351 | ONXX   | 10/5/2012        | 7/12/2013 | \$ 7.09        | \$ 4.81    | \$ 2.28               | \$ -                            | \$ 2.28                  |
| 0.05362 | STRZA  | 12/27/2012       | 1/23/2013 | \$ 0.85        | \$ 0.63    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.05362 | LMCA   | 12/27/2012       | 9/27/2013 | \$ 7.97        | \$ 5.46    | \$ 2.50               | \$ -                            | \$ 2.50                  |
| 0.05377 | UNH    | 3/19/2013        | 9/27/2013 | \$ 3.87        | \$ 2.96    | \$ 0.90               | \$ -                            | \$ 0.90                  |
| 0.05396 | OIS    | 10/5/2012        | 5/8/2013  | \$ 5.07        | \$ 4.16    | \$ 0.91               | \$ -                            | \$ 0.91                  |
| 0.05419 | CTSH   | 3/7/2013         | 9/27/2013 | \$ 4.48        | \$ 4.38    | \$ 0.11               | \$ -                            | \$ 0.11                  |
| 0.05420 | PCLN   | 11/5/2012        | 9/27/2013 | \$ 54.69       | \$ 34.13   | \$ 20.56              | \$ -                            | \$ 20.56                 |
| 0.05427 | ERIE   | 3/6/2013         | 9/27/2013 | \$ 3.99        | \$ 4.04    | \$ (0.05)             | \$ -                            | \$ (0.05)                |
| 0.05450 | CRI    | 3/6/2013         | 7/29/2013 | \$ 3.91        | \$ 3.09    | \$ 0.83               | \$ -                            | \$ 0.83                  |
| 0.05450 | RBC    | 10/5/2012        | 9/27/2013 | \$ 3.72        | \$ 3.78    | \$ (0.06)             | \$ -                            | \$ (0.06)                |
| 0.05456 | DVA    | 10/5/2012        | 9/27/2013 | \$ 3.11        | \$ 2.96    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.05467 | RKT    | 3/6/2013         | 3/8/2013  | \$ 4.90        | \$ 4.87    | \$ 0.03               | \$ -                            | \$ 0.03                  |
| 0.05495 | CXO    | 1/15/2013        | 9/27/2013 | \$ 5.93        | \$ 4.76    | \$ 1.17               | \$ -                            | \$ 1.17                  |
| 0.05512 | MON    | 3/19/2013        | 9/27/2013 | \$ 5.83        | \$ 5.65    | \$ 0.18               | \$ -                            | \$ 0.18                  |
| 0.05554 | STRZA  | 7/17/2012        | 1/23/2013 | \$ 0.88        | \$ 0.53    | \$ 0.35               | \$ -                            | \$ 0.35                  |
| 0.05593 | PLCE   | 9/10/2012        | 9/9/2013  | \$ 3.07        | \$ 3.24    | \$ (0.17)             | \$ -                            | \$ (0.17)                |
| 0.05622 | ALK    | 10/5/2012        | 9/27/2013 | \$ 3.57        | \$ 2.08    | \$ 1.49               | \$ -                            | \$ 1.49                  |
| 0.05624 | IP     | 3/19/2013        | 9/27/2013 | \$ 2.56        | \$ 2.56    | \$ -                  | \$ -                            | \$ -                     |
| 0.05643 | ANN    | 11/5/2012        | 9/27/2013 | \$ 2.05        | \$ 1.97    | \$ 0.08               | \$ -                            | \$ 0.08                  |
| 0.05661 | ELD    | 3/19/2013        | 6/20/2013 | \$ 2.64        | \$ 2.99    | \$ (0.35)             | \$ -                            | \$ (0.35)                |
| 0.05687 | CVS    | 3/19/2013        | 9/27/2013 | \$ 3.28        | \$ 3.08    | \$ 0.20               | \$ -                            | \$ 0.20                  |
| 0.05687 | RGLD   | 9/10/2012        | 3/8/2013  | \$ 3.76        | \$ 5.05    | \$ (1.29)             | \$ -                            | \$ (1.29)                |
| 0.05689 | SNA    | 10/5/2012        | 9/27/2013 | \$ 5.66        | \$ 4.16    | \$ 1.50               | \$ -                            | \$ 1.50                  |
| 0.05696 | ERIE   | 1/15/2013        | 9/27/2013 | \$ 4.19        | \$ 3.97    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.05697 | GPI    | 1/15/2013        | 4/1/2013  | \$ 3.39        | \$ 3.61    | \$ (0.22)             | \$ -                            | \$ (0.22)                |
| 0.05711 | BRK B  | 3/7/2013         | 9/27/2013 | \$ 6.55        | \$ 5.91    | \$ 0.64               | \$ -                            | \$ 0.64                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.05736 | AAPL   | 3/19/2013        | 9/27/2013 | \$ 27.62       | \$ 26.03   | \$ 1.58               | \$ -                            | \$ 1.58                  |
| 0.05755 | RGLD   | 10/5/2012        | 3/8/2013  | \$ 3.80        | \$ 5.75    | \$ (1.95)             | \$ -                            | \$ (1.95)                |
| 0.05789 | EOG    | 9/6/2013         | 9/27/2013 | \$ 9.90        | \$ 9.49    | \$ 0.41               | \$ -                            | \$ 0.41                  |
| 0.05815 | IWM    | 2/11/2013        | 6/12/2013 | \$ 5.67        | \$ 5.26    | \$ 0.41               | \$ -                            | \$ 0.41                  |
| 0.05827 | JOSB   | 11/5/2012        | 9/9/2013  | \$ 2.42        | \$ 2.78    | \$ (0.35)             | \$ -                            | \$ (0.35)                |
| 0.05892 | CASY   | 11/5/2012        | 9/27/2013 | \$ 4.34        | \$ 2.98    | \$ 1.36               | \$ -                            | \$ 1.36                  |
| 0.05974 | TMK    | 1/15/2013        | 9/27/2013 | \$ 4.35        | \$ 3.17    | \$ 1.17               | \$ -                            | \$ 1.17                  |
| 0.06050 | HSIC   | 1/15/2013        | 9/27/2013 | \$ 6.27        | \$ 5.05    | \$ 1.22               | \$ -                            | \$ 1.22                  |
| 0.06051 | SRCL   | 10/19/2012       | 9/27/2013 | \$ 6.99        | \$ 5.63    | \$ 1.36               | \$ -                            | \$ 1.36                  |
| 0.06130 | ALXN   | 9/10/2012        | 4/24/2013 | \$ 5.50        | \$ 6.74    | \$ (1.25)             | \$ -                            | \$ (1.25)                |
| 0.06132 | LIFE   | 11/5/2012        | 9/27/2013 | \$ 4.59        | \$ 2.98    | \$ 1.61               | \$ -                            | \$ 1.61                  |
| 0.06161 | LMCA   | 6/5/2012         | 1/4/2013  | \$ 7.39        | \$ 5.05    | \$ 2.34               | \$ -                            | \$ 2.34                  |
| 0.06194 | OIS    | 9/10/2012        | 5/8/2013  | \$ 5.82        | \$ 5.11    | \$ 0.71               | \$ -                            | \$ 0.71                  |
| 0.06215 | CIT    | 3/19/2013        | 9/27/2013 | \$ 3.01        | \$ 2.73    | \$ 0.28               | \$ -                            | \$ 0.28                  |
| 0.06216 | SIRO   | 10/5/2012        | 9/27/2013 | \$ 4.16        | \$ 3.67    | \$ 0.49               | \$ -                            | \$ 0.49                  |
| 0.06223 | IT     | 1/15/2013        | 9/27/2013 | \$ 3.72        | \$ 3.10    | \$ 0.62               | \$ -                            | \$ 0.62                  |
| 0.06238 | VRSK   | 3/7/2013         | 9/27/2013 | \$ 4.07        | \$ 3.72    | \$ 0.34               | \$ -                            | \$ 0.34                  |
| 0.06252 | KSS    | 3/19/2013        | 9/27/2013 | \$ 3.25        | \$ 2.93    | \$ 0.32               | \$ -                            | \$ 0.32                  |
| 0.06256 | BOH    | 10/5/2012        | 4/16/2013 | \$ 3.01        | \$ 2.90    | \$ 0.11               | \$ -                            | \$ 0.11                  |
| 0.06274 | AGN    | 3/7/2013         | 9/27/2013 | \$ 5.71        | \$ 6.92    | \$ (1.21)             | \$ -                            | \$ (1.21)                |
| 0.06274 | CELG   | 3/7/2013         | 9/27/2013 | \$ 9.68        | \$ 6.92    | \$ 2.76               | \$ -                            | \$ 2.76                  |
| 0.06286 | COH    | 3/7/2013         | 9/27/2013 | \$ 3.41        | \$ 3.11    | \$ 0.30               | \$ -                            | \$ 0.30                  |
| 0.06334 | DHR    | 3/19/2013        | 9/27/2013 | \$ 4.42        | \$ 3.92    | \$ 0.50               | \$ -                            | \$ 0.50                  |
| 0.06338 | LAMR   | 1/15/2013        | 2/14/2013 | \$ 2.81        | \$ 2.60    | \$ 0.21               | \$ -                            | \$ 0.21                  |
| 0.06354 | ALXN   | 1/15/2013        | 5/15/2013 | \$ 6.62        | \$ 6.28    | \$ 0.34               | \$ -                            | \$ 0.34                  |
| 0.06358 | ELD    | 12/4/2012        | 6/13/2013 | \$ 3.12        | \$ 3.36    | \$ (0.24)             | \$ -                            | \$ (0.24)                |
| 0.06369 | CRI    | 11/5/2012        | 7/29/2013 | \$ 4.57        | \$ 3.45    | \$ 1.12               | \$ -                            | \$ 1.12                  |
| 0.06390 | LTM    | 9/10/2012        | 4/26/2013 | \$ 2.95        | \$ 3.18    | \$ (0.23)             | \$ -                            | \$ (0.23)                |
| 0.06433 | FRC    | 10/5/2012        | 6/27/2013 | \$ 2.47        | \$ 2.19    | \$ 0.28               | \$ -                            | \$ 0.28                  |
| 0.06464 | DVA    | 1/15/2013        | 9/27/2013 | \$ 3.68        | \$ 3.53    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.06480 | EGN    | 11/5/2012        | 9/27/2013 | \$ 4.96        | \$ 2.98    | \$ 1.98               | \$ -                            | \$ 1.98                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.06481 | MAN    | 1/15/2013        | 4/24/2013 | \$ 3.33        | \$ 3.03    | \$ 0.30               | -                               | \$ 0.30                  |
| 0.06493 | HCN    | 3/6/2013         | 9/27/2013 | \$ 4.09        | \$ 4.20    | \$ (0.11)             | -                               | \$ (0.11)                |
| 0.06506 | SM     | 10/5/2012        | 2/28/2013 | \$ 3.80        | \$ 3.45    | \$ 0.35               | -                               | \$ 0.35                  |
| 0.06510 | VAR    | 3/7/2013         | 9/27/2013 | \$ 4.83        | \$ 4.70    | \$ 0.13               | -                               | \$ 0.13                  |
| 0.06529 | AAPL   | 3/7/2013         | 9/27/2013 | \$ 31.43       | \$ 27.61   | \$ 3.83               | -                               | \$ 3.83                  |
| 0.06531 | SHOO   | 11/5/2012        | 9/27/2013 | \$ 3.48        | \$ 2.81    | \$ 0.67               | -                               | \$ 0.67                  |
| 0.06624 | CME    | 2/20/2013        | 9/27/2013 | \$ 4.97        | \$ 3.89    | \$ 1.08               | -                               | \$ 1.08                  |
| 0.06624 | HSIC   | 10/5/2012        | 9/27/2013 | \$ 6.87        | \$ 5.31    | \$ 1.56               | -                               | \$ 1.56                  |
| 0.06638 | ERIE   | 7/17/2012        | 4/16/2013 | \$ 5.00        | \$ 4.78    | \$ 0.22               | -                               | \$ 0.22                  |
| 0.06654 | NU     | 3/19/2013        | 9/27/2013 | \$ 2.76        | \$ 2.83    | \$ (0.07)             | -                               | \$ (0.07)                |
| 0.06666 | AGN    | 10/15/2012       | 9/6/2013  | \$ 5.96        | \$ 6.22    | \$ (0.26)             | -                               | \$ (0.26)                |
| 0.06678 | IT     | 11/5/2012        | 9/27/2013 | \$ 3.99        | \$ 2.98    | \$ 1.01               | -                               | \$ 1.01                  |
| 0.06688 | BIDU   | 3/19/2013        | 9/27/2013 | \$ 10.28       | \$ 5.67    | \$ 4.61               | -                               | \$ 4.61                  |
| 0.06720 | DVA    | 3/19/2013        | 9/27/2013 | \$ 3.83        | \$ 3.97    | \$ (0.14)             | -                               | \$ (0.14)                |
| 0.06782 | RKT    | 6/6/2012         | 3/8/2013  | \$ 6.08        | \$ 3.53    | \$ 2.55               | -                               | \$ 2.55                  |
| 0.06782 | IWM    | 11/13/2012       | 4/1/2013  | \$ 6.30        | \$ 5.38    | \$ 0.92               | -                               | \$ 0.92                  |
| 0.06786 | ABBV   | 3/19/2013        | 9/27/2013 | \$ 3.01        | \$ 2.59    | \$ 0.42               | -                               | \$ 0.42                  |
| 0.06791 | GILD   | 3/7/2013         | 9/27/2013 | \$ 4.31        | \$ 3.04    | \$ 1.26               | -                               | \$ 1.26                  |
| 0.06795 | TWX    | 3/19/2013        | 9/27/2013 | \$ 4.50        | \$ 3.78    | \$ 0.72               | -                               | \$ 0.72                  |
| 0.06798 | XOM    | 2/20/2013        | 9/27/2013 | \$ 5.90        | \$ 6.09    | \$ (0.19)             | -                               | \$ (0.19)                |
| 0.06852 | HBI    | 1/15/2013        | 8/6/2013  | \$ 4.29        | \$ 2.56    | \$ 1.74               | -                               | \$ 1.74                  |
| 0.06870 | FAST   | 3/19/2013        | 9/27/2013 | \$ 3.42        | \$ 3.49    | \$ (0.07)             | -                               | \$ (0.07)                |
| 0.06874 | SLGN   | 8/1/2012         | 2/21/2013 | \$ 2.98        | \$ 2.81    | \$ 0.16               | -                               | \$ 0.16                  |
| 0.06880 | AET    | 3/19/2013        | 9/27/2013 | \$ 4.43        | \$ 3.49    | \$ 0.94               | -                               | \$ 0.94                  |
| 0.06903 | MCD    | 11/5/2012        | 9/27/2013 | \$ 6.71        | \$ 6.01    | \$ 0.69               | -                               | \$ 0.69                  |
| 0.06911 | IWD    | 4/8/2013         | 7/15/2013 | \$ 6.03        | \$ 5.56    | \$ 0.47               | -                               | \$ 0.47                  |
| 0.06923 | EL     | 9/10/2012        | 6/18/2013 | \$ 4.80        | \$ 4.24    | \$ 0.56               | -                               | \$ 0.56                  |
| 0.06926 | TJX    | 9/6/2013         | 9/27/2013 | \$ 3.91        | \$ 3.76    | \$ 0.15               | -                               | \$ 0.15                  |
| 0.06987 | IWM    | 12/18/2012       | 4/19/2013 | \$ 6.32        | \$ 5.90    | \$ 0.42               | -                               | \$ 0.42                  |
| 0.07067 | TJX    | 3/19/2013        | 9/27/2013 | \$ 3.99        | \$ 3.17    | \$ 0.82               | -                               | \$ 0.82                  |
| 0.07076 | CMA    | 1/15/2013        | 3/12/2013 | \$ 2.58        | \$ 2.24    | \$ 0.35               | -                               | \$ 0.35                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.07089 | KSU    | 8/10/2012        | 6/20/2013 | \$ 7.68        | \$ 5.18    | \$ 2.50               | -                               | \$ 2.50                  |
| 0.07098 | FIS    | 3/19/2013        | 9/27/2013 | \$ 3.29        | \$ 2.69    | \$ 0.60               | -                               | \$ 0.60                  |
| 0.07102 | IWM    | 11/5/2012        | 3/25/2013 | \$ 6.64        | \$ 5.78    | \$ 0.86               | -                               | \$ 0.86                  |
| 0.07106 | HAR    | 11/5/2012        | 6/20/2013 | \$ 3.57        | \$ 2.98    | \$ 0.59               | -                               | \$ 0.59                  |
| 0.07117 | CASY   | 10/5/2012        | 9/27/2013 | \$ 5.24        | \$ 3.89    | \$ 1.35               | -                               | \$ 1.35                  |
| 0.07129 | WRB    | 1/15/2013        | 9/27/2013 | \$ 3.09        | \$ 2.81    | \$ 0.27               | -                               | \$ 0.27                  |
| 0.07161 | IWR    | 1/8/2013         | 5/1/2013  | \$ 9.18        | \$ 8.29    | \$ 0.89               | -                               | \$ 0.89                  |
| 0.07168 | RGA    | 8/16/2012        | 2/5/2013  | \$ 4.13        | \$ 4.12    | \$ 0.01               | -                               | \$ 0.01                  |
| 0.07204 | BOH    | 9/10/2012        | 4/16/2013 | \$ 3.47        | \$ 3.43    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.07217 | CFR    | 10/5/2012        | 6/3/2013  | \$ 4.60        | \$ 4.22    | \$ 0.38               | -                               | \$ 0.38                  |
| 0.07237 | UTX    | 11/5/2012        | 9/27/2013 | \$ 7.91        | \$ 5.65    | \$ 2.27               | -                               | \$ 2.27                  |
| 0.07251 | IWM    | 4/16/2013        | 7/24/2013 | \$ 7.55        | \$ 6.61    | \$ 0.94               | -                               | \$ 0.94                  |
| 0.07262 | IWR    | 6/3/2013         | 9/27/2013 | \$ 10.13       | \$ 9.49    | \$ 0.64               | -                               | \$ 0.64                  |
| 0.07263 | ESRX   | 3/7/2013         | 9/27/2013 | \$ 4.50        | \$ 4.27    | \$ 0.23               | -                               | \$ 0.23                  |
| 0.07263 | PRGO   | 5/1/2013         | 9/27/2013 | \$ 8.98        | \$ 8.68    | \$ 0.31               | -                               | \$ 0.31                  |
| 0.07291 | MELI   | 8/13/2013        | 9/6/2013  | \$ 8.69        | \$ 9.45    | \$ (0.77)             | -                               | \$ (0.77)                |
| 0.07305 | V      | 5/1/2013         | 9/27/2013 | \$ 14.09       | \$ 12.20   | \$ 1.89               | -                               | \$ 1.89                  |
| 0.07317 | ALV    | 2/19/2013        | 6/20/2013 | \$ 5.48        | \$ 5.01    | \$ 0.48               | -                               | \$ 0.48                  |
| 0.07344 | RGLD   | 6/5/2012         | 2/14/2013 | \$ 5.28        | \$ 5.64    | \$ (0.36)             | -                               | \$ (0.36)                |
| 0.07355 | SLXP   | 10/5/2012        | 9/27/2013 | \$ 4.95        | \$ 3.01    | \$ 1.94               | -                               | \$ 1.94                  |
| 0.07387 | IWR    | 2/11/2013        | 5/28/2013 | \$ 9.92        | \$ 9.06    | \$ 0.86               | -                               | \$ 0.86                  |
| 0.07392 | SJM    | 11/5/2012        | 9/27/2013 | \$ 7.76        | \$ 6.26    | \$ 1.50               | -                               | \$ 1.50                  |
| 0.07398 | RGLD   | 1/15/2013        | 3/8/2013  | \$ 4.89        | \$ 6.06    | \$ (1.17)             | -                               | \$ (1.17)                |
| 0.07447 | IWR    | 10/5/2012        | 2/28/2013 | \$ 9.13        | \$ 8.43    | \$ 0.70               | -                               | \$ 0.70                  |
| 0.07473 | DOV    | 3/6/2013         | 9/27/2013 | \$ 6.69        | \$ 5.49    | \$ 1.20               | -                               | \$ 1.20                  |
| 0.07504 | ABT    | 3/19/2013        | 9/27/2013 | \$ 2.48        | \$ 2.52    | \$ (0.04)             | -                               | \$ (0.04)                |
| 0.07506 | MINT   | 3/19/2013        | 5/6/2013  | \$ 7.63        | \$ 7.63    | \$ -                  | -                               | \$ -                     |
| 0.07530 | ADSK   | 10/5/2012        | 2/19/2013 | \$ 2.93        | \$ 2.52    | \$ 0.41               | -                               | \$ 0.41                  |
| 0.07545 | GOOG   | 11/5/2012        | 9/27/2013 | \$ 66.04       | \$ 51.04   | \$ 15.00              | -                               | \$ 15.00                 |
| 0.07553 | SNA    | 11/5/2012        | 9/27/2013 | \$ 7.52        | \$ 5.97    | \$ 1.55               | -                               | \$ 1.55                  |
| 0.07557 | TUR    | 11/5/2012        | 3/20/2013 | \$ 5.15        | \$ 4.66    | \$ 0.49               | -                               | \$ 0.49                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.07579 | LTM    | 10/5/2012        | 4/26/2013 | \$ 3.50        | \$ 3.50    | \$ -                  | \$ -                            | \$ -                     |
| 0.07601 | IWM    | 10/5/2012        | 2/1/2013  | \$ 6.86        | \$ 6.47    | \$ 0.38               | \$ -                            | \$ 0.38                  |
| 0.07604 | ARG    | 10/5/2012        | 9/27/2013 | \$ 8.05        | \$ 6.35    | \$ 1.70               | \$ -                            | \$ 1.70                  |
| 0.07624 | GPI    | 3/6/2013         | 4/1/2013  | \$ 4.53        | \$ 4.56    | \$ (0.02)             | \$ -                            | \$ (0.02)                |
| 0.07632 | MYGN   | 1/15/2013        | 5/28/2013 | \$ 2.47        | \$ 2.09    | \$ 0.38               | \$ -                            | \$ 0.38                  |
| 0.07655 | DD     | 2/20/2013        | 9/27/2013 | \$ 4.53        | \$ 3.59    | \$ 0.94               | \$ -                            | \$ 0.94                  |
| 0.07666 | KMT    | 1/15/2013        | 9/27/2013 | \$ 3.51        | \$ 3.17    | \$ 0.34               | \$ -                            | \$ 0.34                  |
| 0.07682 | NVO    | 2/20/2013        | 9/27/2013 | \$ 13.03       | \$ 13.52   | \$ (0.49)             | \$ -                            | \$ (0.49)                |
| 0.07682 | OIS    | 3/6/2013         | 9/27/2013 | \$ 8.00        | \$ 5.80    | \$ 2.19               | \$ -                            | \$ 2.19                  |
| 0.07721 | MYGN   | 10/5/2012        | 5/22/2013 | \$ 2.54        | \$ 2.19    | \$ 0.35               | \$ -                            | \$ 0.35                  |
| 0.07727 | RIF    | 10/5/2012        | 9/27/2013 | \$ 3.24        | \$ 2.90    | \$ 0.34               | \$ -                            | \$ 0.34                  |
| 0.07732 | LPNT   | 10/5/2012        | 9/27/2013 | \$ 3.57        | \$ 3.34    | \$ 0.23               | \$ -                            | \$ 0.23                  |
| 0.07744 | WEC    | 3/19/2013        | 9/27/2013 | \$ 3.12        | \$ 3.19    | \$ (0.07)             | \$ -                            | \$ (0.07)                |
| 0.07748 | XRAY   | 11/5/2012        | 9/27/2013 | \$ 3.36        | \$ 2.98    | \$ 0.37               | \$ -                            | \$ 0.37                  |
| 0.07791 | BYI    | 10/5/2012        | 7/24/2013 | \$ 5.48        | \$ 3.78    | \$ 1.70               | \$ -                            | \$ 1.70                  |
| 0.07803 | HTSI   | 10/5/2012        | 2/19/2013 | \$ 3.31        | \$ 3.07    | \$ 0.24               | \$ -                            | \$ 0.24                  |
| 0.07819 | IGT    | 9/10/2012        | 9/10/2013 | \$ 1.56        | \$ 0.97    | \$ 0.59               | \$ -                            | \$ 0.59                  |
| 0.07820 | BLK    | 11/5/2012        | 9/27/2013 | \$ 21.17       | \$ 14.79   | \$ 6.38               | \$ -                            | \$ 6.38                  |
| 0.07820 | CVS    | 2/20/2013        | 9/27/2013 | \$ 4.51        | \$ 4.09    | \$ 0.42               | \$ -                            | \$ 0.42                  |
| 0.07841 | EIS    | 10/5/2012        | 3/20/2013 | \$ 3.52        | \$ 3.30    | \$ 0.23               | \$ -                            | \$ 0.23                  |
| 0.07849 | MAN    | 11/5/2012        | 2/19/2013 | \$ 4.37        | \$ 2.98    | \$ 1.38               | \$ -                            | \$ 1.38                  |
| 0.07853 | LLTC   | 10/5/2012        | 9/27/2013 | \$ 3.11        | \$ 2.63    | \$ 0.48               | \$ -                            | \$ 0.48                  |
| 0.07906 | EWZ    | 10/5/2012        | 3/20/2013 | \$ 4.35        | \$ 4.34    | \$ -                  | \$ -                            | \$ -                     |
| 0.07907 | BECN   | 11/5/2012        | 9/27/2013 | \$ 2.94        | \$ 2.55    | \$ 0.39               | \$ -                            | \$ 0.39                  |
| 0.07972 | CIT    | 10/5/2012        | 1/10/2013 | \$ 3.17        | \$ 3.23    | \$ (0.06)             | \$ -                            | \$ (0.06)                |
| 0.07978 | BIDU   | 10/15/2012       | 9/6/2013  | \$ 10.88       | \$ 9.01    | \$ 1.87               | \$ -                            | \$ 1.87                  |
| 0.08015 | WWW    | 1/15/2013        | 3/14/2013 | \$ 3.59        | \$ 3.25    | \$ 0.35               | \$ -                            | \$ 0.35                  |
| 0.08046 | IWR    | 12/31/2012       | 5/1/2013  | \$ 10.32       | \$ 8.99    | \$ 1.33               | \$ -                            | \$ 1.33                  |
| 0.08054 | RBC    | 3/6/2013         | 9/27/2013 | \$ 5.49        | \$ 6.32    | \$ (0.83)             | \$ -                            | \$ (0.83)                |
| 0.08065 | CFR    | 1/15/2013        | 6/3/2013  | \$ 5.14        | \$ 4.62    | \$ 0.52               | \$ -                            | \$ 0.52                  |
| 0.08096 | EXPD   | 3/7/2013         | 5/3/2013  | \$ 3.01        | \$ 3.17    | \$ (0.17)             | \$ -                            | \$ (0.17)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.08098 | MAN    | 7/17/2012        | 2/19/2013 | \$ 4.50        | \$ 2.71    | \$ 1.79               | \$ -                            | \$ 1.79                  |
| 0.08116 | SNA    | 1/15/2013        | 9/27/2013 | \$ 8.08        | \$ 6.49    | \$ 1.59               | \$ -                            | \$ 1.59                  |
| 0.08141 | A      | 3/19/2013        | 9/27/2013 | \$ 4.20        | \$ 3.44    | \$ 0.76               | \$ -                            | \$ 0.76                  |
| 0.08178 | MON    | 9/6/2013         | 9/27/2013 | \$ 8.65        | \$ 8.23    | \$ 0.41               | \$ -                            | \$ 0.41                  |
| 0.08190 | CFR    | 9/10/2012        | 6/3/2013  | \$ 5.22        | \$ 4.74    | \$ 0.48               | \$ -                            | \$ 0.48                  |
| 0.08208 | SFG    | 11/5/2012        | 9/27/2013 | \$ 4.54        | \$ 2.81    | \$ 1.72               | \$ -                            | \$ 1.72                  |
| 0.08247 | MMC    | 3/19/2013        | 9/27/2013 | \$ 3.61        | \$ 3.03    | \$ 0.58               | \$ -                            | \$ 0.58                  |
| 0.08294 | TW     | 1/15/2013        | 9/27/2013 | \$ 8.95        | \$ 4.76    | \$ 4.19               | \$ -                            | \$ 4.19                  |
| 0.08331 | RKT    | 10/5/2012        | 3/8/2013  | \$ 7.47        | \$ 6.13    | \$ 1.34               | \$ -                            | \$ 1.34                  |
| 0.08345 | IT     | 3/6/2013         | 9/27/2013 | \$ 4.99        | \$ 4.35    | \$ 0.64               | \$ -                            | \$ 0.64                  |
| 0.08394 | WWW    | 9/10/2012        | 2/19/2013 | \$ 3.74        | \$ 3.73    | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.08405 | KORS   | 9/10/2012        | 9/6/2013  | \$ 6.37        | \$ 4.52    | \$ 1.85               | \$ -                            | \$ 1.85                  |
| 0.08413 | EL     | 3/19/2013        | 8/14/2013 | \$ 5.48        | \$ 5.25    | \$ 0.23               | \$ -                            | \$ 0.23                  |
| 0.08415 | BOH    | 1/15/2013        | 4/24/2013 | \$ 3.94        | \$ 3.89    | \$ 0.04               | \$ -                            | \$ 0.04                  |
| 0.08443 | DRC    | 10/5/2012        | 4/8/2013  | \$ 4.88        | \$ 4.49    | \$ 0.39               | \$ -                            | \$ 0.39                  |
| 0.08517 | TPX    | 5/1/2013         | 9/27/2013 | \$ 3.73        | \$ 4.08    | \$ (0.34)             | \$ -                            | \$ (0.34)                |
| 0.08538 | RGLD   | 12/27/2012       | 3/8/2013  | \$ 5.64        | \$ 6.83    | \$ (1.19)             | \$ -                            | \$ (1.19)                |
| 0.08596 | ADSK   | 9/10/2012        | 2/19/2013 | \$ 3.35        | \$ 2.74    | \$ 0.61               | \$ -                            | \$ 0.61                  |
| 0.08652 | LULU   | 3/19/2013        | 3/22/2013 | \$ 5.39        | \$ 5.40    | \$ (0.01)             | \$ -                            | \$ (0.01)                |
| 0.08684 | IWM    | 12/27/2012       | 5/1/2013  | \$ 8.04        | \$ 7.19    | \$ 0.84               | \$ -                            | \$ 0.84                  |
| 0.08684 | WSH    | 11/5/2012        | 9/27/2013 | \$ 3.79        | \$ 2.98    | \$ 0.81               | \$ -                            | \$ 0.81                  |
| 0.08685 | RS     | 10/5/2012        | 9/27/2013 | \$ 6.35        | \$ 4.54    | \$ 1.80               | \$ -                            | \$ 1.80                  |
| 0.08694 | MYGN   | 7/17/2012        | 5/22/2013 | \$ 2.86        | \$ 2.19    | \$ 0.67               | \$ -                            | \$ 0.67                  |
| 0.08716 | PCP    | 3/19/2013        | 9/27/2013 | \$ 19.86       | \$ 16.86   | \$ 3.00               | \$ -                            | \$ 3.00                  |
| 0.08752 | LPNT   | 1/15/2013        | 9/27/2013 | \$ 4.04        | \$ 3.61    | \$ 0.43               | \$ -                            | \$ 0.43                  |
| 0.08757 | PCLN   | 2/20/2013        | 9/27/2013 | \$ 88.37       | \$ 61.72   | \$ 26.65              | \$ -                            | \$ 26.65                 |
| 0.08759 | EW     | 3/19/2013        | 9/26/2013 | \$ 5.96        | \$ 7.04    | \$ (1.08)             | \$ -                            | \$ (1.08)                |
| 0.08765 | RGA    | 3/6/2013         | 9/27/2013 | \$ 5.89        | \$ 5.18    | \$ 0.71               | \$ -                            | \$ 0.71                  |
| 0.08772 | IWR    | 2/21/2013        | 6/12/2013 | \$ 11.44       | \$ 10.63   | \$ 0.80               | \$ -                            | \$ 0.80                  |
| 0.08783 | GOOG   | 3/6/2013         | 9/27/2013 | \$ 76.87       | \$ 73.09   | \$ 3.78               | \$ -                            | \$ 3.78                  |
| 0.08804 | MYGN   | 9/10/2012        | 5/22/2013 | \$ 2.90        | \$ 2.37    | \$ 0.53               | \$ -                            | \$ 0.53                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.08839 | STRZA  | 11/12/2012       | 1/23/2013 | \$ 1.40        | \$ 0.97    | \$ 0.43               | \$ -                            | \$ 0.43                  |
| 0.08839 | LMCA   | 11/12/2012       | 9/27/2013 | \$ 13.13       | \$ 8.40    | \$ 4.74               | \$ -                            | \$ 4.74                  |
| 0.08841 | OIS    | 1/15/2013        | 9/27/2013 | \$ 9.20        | \$ 6.71    | \$ 2.49               | \$ -                            | \$ 2.49                  |
| 0.08860 | RDEN   | 1/15/2013        | 9/27/2013 | \$ 3.34        | \$ 4.26    | \$ (0.91)             | \$ -                            | \$ (0.91)                |
| 0.08864 | MCD    | 10/15/2012       | 6/20/2013 | \$ 8.58        | \$ 8.24    | \$ 0.34               | \$ -                            | \$ 0.34                  |
| 0.08892 | VNQ    | 3/19/2013        | 6/20/2013 | \$ 5.87        | \$ 6.21    | \$ (0.34)             | \$ -                            | \$ (0.34)                |
| 0.08914 | DRC    | 6/5/2012         | 3/21/2013 | \$ 5.38        | \$ 3.80    | \$ 1.58               | \$ -                            | \$ 1.58                  |
| 0.08928 | BYI    | 9/10/2012        | 7/24/2013 | \$ 6.28        | \$ 4.11    | \$ 2.17               | \$ -                            | \$ 2.17                  |
| 0.08938 | CBT    | 10/5/2012        | 5/8/2013  | \$ 3.40        | \$ 3.34    | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 0.08965 | ONXX   | 7/17/2012        | 7/12/2013 | \$ 11.88       | \$ 6.10    | \$ 5.78               | \$ -                            | \$ 5.78                  |
| 0.08975 | SIRO   | 1/15/2013        | 9/27/2013 | \$ 6.01        | \$ 5.84    | \$ 0.17               | \$ -                            | \$ 0.17                  |
| 0.08978 | ABG    | 10/5/2012        | 3/21/2013 | \$ 3.34        | \$ 2.68    | \$ 0.66               | \$ -                            | \$ 0.66                  |
| 0.09061 | YUM    | 9/10/2012        | 6/18/2013 | \$ 6.53        | \$ 6.00    | \$ 0.53               | \$ -                            | \$ 0.53                  |
| 0.09061 | SM     | 9/10/2012        | 2/28/2013 | \$ 5.30        | \$ 4.80    | \$ 0.50               | \$ -                            | \$ 0.50                  |
| 0.09077 | PLCE   | 1/15/2013        | 9/9/2013  | \$ 4.98        | \$ 4.33    | \$ 0.65               | \$ -                            | \$ 0.65                  |
| 0.09086 | SBAC   | 10/5/2012        | 9/27/2013 | \$ 7.24        | \$ 5.86    | \$ 1.38               | \$ -                            | \$ 1.38                  |
| 0.09123 | ALV    | 11/12/2012       | 4/24/2013 | \$ 6.22        | \$ 5.20    | \$ 1.02               | \$ -                            | \$ 1.02                  |
| 0.09149 | PRE    | 10/5/2012        | 9/27/2013 | \$ 8.38        | \$ 7.01    | \$ 1.37               | \$ -                            | \$ 1.37                  |
| 0.09199 | MASI   | 10/5/2012        | 9/27/2013 | \$ 2.44        | \$ 2.24    | \$ 0.19               | \$ -                            | \$ 0.19                  |
| 0.09225 | CIT    | 9/10/2012        | 1/10/2013 | \$ 3.67        | \$ 3.68    | \$ (0.01)             | \$ -                            | \$ (0.01)                |
| 0.09240 | SIX    | 1/15/2013        | 9/27/2013 | \$ 3.16        | \$ 2.88    | \$ 0.28               | \$ -                            | \$ 0.28                  |
| 0.09265 | IWM    | 12/10/2012       | 4/19/2013 | \$ 8.39        | \$ 7.64    | \$ 0.74               | \$ -                            | \$ 0.74                  |
| 0.09382 | CASY   | 1/15/2013        | 9/27/2013 | \$ 6.91        | \$ 4.90    | \$ 2.01               | \$ -                            | \$ 2.01                  |
| 0.09396 | ABBV   | 2/20/2013        | 9/27/2013 | \$ 4.16        | \$ 3.66    | \$ 0.51               | \$ -                            | \$ 0.51                  |
| 0.09406 | AMZN   | 2/20/2013        | 9/27/2013 | \$ 29.66       | \$ 25.71   | \$ 3.95               | \$ -                            | \$ 3.95                  |
| 0.09414 | MAN    | 6/5/2012         | 2/19/2013 | \$ 5.24        | \$ 3.20    | \$ 2.03               | \$ -                            | \$ 2.03                  |
| 0.09445 | SRCL   | 5/1/2013         | 9/27/2013 | \$ 10.91       | \$ 10.27   | \$ 0.64               | \$ -                            | \$ 0.64                  |
| 0.09453 | DPZ    | 1/15/2013        | 9/27/2013 | \$ 6.37        | \$ 4.26    | \$ 2.12               | \$ -                            | \$ 2.12                  |
| 0.09465 | ADSK   | 1/15/2013        | 2/19/2013 | \$ 3.69        | \$ 3.46    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.09474 | IWM    | 12/27/2012       | 4/25/2013 | \$ 8.88        | \$ 7.85    | \$ 1.03               | \$ -                            | \$ 1.03                  |
| 0.09530 | DRC    | 9/10/2012        | 4/8/2013  | \$ 5.51        | \$ 4.99    | \$ 0.52               | \$ -                            | \$ 0.52                  |

## Joseph C Scott Foundation

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.09531 | RKT    | 9/10/2012        | 3/8/2013  | \$ 8.55        | \$ 6.42    | \$ 2.13               | \$ -                            | \$ 2.13                  |
| 0.09584 | LAMR   | 10/5/2012        | 2/14/2013 | \$ 4.25        | \$ 3.67    | \$ 0.58               | \$ -                            | \$ 0.58                  |
| 0.09601 | EWY    | 10/5/2012        | 3/20/2013 | \$ 5.58        | \$ 5.80    | \$ (0.21)             | \$ -                            | \$ (0.21)                |
| 0.09601 | FTI    | 10/15/2012       | 9/6/2013  | \$ 5.29        | \$ 4.24    | \$ 1.05               | \$ -                            | \$ 1.05                  |
| 0.09602 | V      | 11/5/2012        | 9/27/2013 | \$ 18.52       | \$ 13.75   | \$ 4.77               | \$ -                            | \$ 4.77                  |
| 0.09610 | ABG    | 11/6/2012        | 3/21/2013 | \$ 3.58        | \$ 3.02    | \$ 0.56               | \$ -                            | \$ 0.56                  |
| 0.09614 | CTSH   | 11/5/2012        | 5/3/2013  | \$ 6.32        | \$ 6.32    | \$ -                  | \$ -                            | \$ -                     |
| 0.09622 | WRB    | 3/6/2013         | 9/27/2013 | \$ 4.16        | \$ 4.04    | \$ 0.12               | \$ -                            | \$ 0.12                  |
| 0.09642 | MINT   | 9/19/2013        | 9/27/2013 | \$ 9.78        | \$ 9.77    | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.09656 | CASY   | 9/10/2012        | 6/20/2013 | \$ 5.70        | \$ 5.38    | \$ 0.32               | \$ -                            | \$ 0.32                  |
| 0.09685 | ARG    | 1/15/2013        | 9/27/2013 | \$ 10.25       | \$ 8.94    | \$ 1.31               | \$ -                            | \$ 1.31                  |
| 0.09687 | TRV    | 11/5/2012        | 4/29/2013 | \$ 8.23        | \$ 6.69    | \$ 1.54               | \$ -                            | \$ 1.54                  |
| 0.09697 | TMK    | 3/6/2013         | 9/27/2013 | \$ 7.06        | \$ 5.59    | \$ 1.46               | \$ -                            | \$ 1.46                  |
| 0.09715 | PCP    | 2/20/2013        | 9/27/2013 | \$ 22.13       | \$ 17.96   | \$ 4.17               | \$ -                            | \$ 4.17                  |
| 0.09747 | VRSK   | 10/5/2012        | 9/27/2013 | \$ 6.36        | \$ 4.71    | \$ 1.65               | \$ -                            | \$ 1.65                  |
| 0.09749 | IWM    | 2/11/2013        | 6/3/2013  | \$ 9.48        | \$ 8.82    | \$ 0.66               | \$ -                            | \$ 0.66                  |
| 0.09756 | RGLD   | 8/10/2012        | 3/8/2013  | \$ 6.45        | \$ 7.38    | \$ (0.93)             | \$ -                            | \$ (0.93)                |
| 0.09774 | SIX    | 2/14/2013        | 9/27/2013 | \$ 3.35        | \$ 3.20    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.09828 | IWR    | 9/26/2012        | 1/28/2013 | \$ 11.86       | \$ 10.78   | \$ 1.08               | \$ -                            | \$ 1.08                  |
| 0.09900 | RKT    | 1/15/2013        | 3/8/2013  | \$ 8.88        | \$ 7.37    | \$ 1.51               | \$ -                            | \$ 1.51                  |
| 0.09912 | LNKD   | 9/10/2012        | 3/1/2013  | \$ 16.69       | \$ 11.73   | \$ 4.96               | \$ -                            | \$ 4.96                  |
| 0.09914 | SRCL   | 3/7/2013         | 9/27/2013 | \$ 11.45       | \$ 9.61    | \$ 1.84               | \$ -                            | \$ 1.84                  |
| 0.09916 | KORS   | 10/5/2012        | 9/6/2013  | \$ 7.52        | \$ 5.41    | \$ 2.11               | \$ -                            | \$ 2.11                  |
| 0.09916 | DE     | 10/15/2012       | 2/15/2013 | \$ 8.93        | \$ 8.23    | \$ 0.70               | \$ -                            | \$ 0.70                  |
| 0.09937 | SBAC   | 3/6/2013         | 9/27/2013 | \$ 7.91        | \$ 7.25    | \$ 0.66               | \$ -                            | \$ 0.66                  |
| 0.09947 | THD    | 10/5/2012        | 3/20/2013 | \$ 9.10        | \$ 7.75    | \$ 1.36               | \$ -                            | \$ 1.36                  |
| 0.09952 | CMA    | 11/5/2012        | 3/12/2013 | \$ 3.63        | \$ 2.98    | \$ 0.65               | \$ -                            | \$ 0.65                  |
| 0.09969 | CTL    | 3/19/2013        | 9/27/2013 | \$ 3.17        | \$ 3.41    | \$ (0.24)             | \$ -                            | \$ (0.24)                |
| 0.10006 | SBH    | 1/15/2013        | 9/27/2013 | \$ 2.64        | \$ 2.45    | \$ 0.18               | \$ -                            | \$ 0.18                  |
| 0.10037 | ALV    | 3/6/2013         | 8/14/2013 | \$ 8.43        | \$ 6.73    | \$ 1.70               | \$ -                            | \$ 1.70                  |
| 0.10044 | TXN    | 3/19/2013        | 9/27/2013 | \$ 4.02        | \$ 3.49    | \$ 0.53               | \$ -                            | \$ 0.53                  |

## Joseph C Scott Foundation

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.10086 | AAPL   | 2/20/2013        | 9/27/2013 | \$ 48.56       | \$ 45.72   | \$ 2.83               | \$ -                            | \$ 2.83                  |
| 0.10158 | GPC    | 11/5/2012        | 9/27/2013 | \$ 8.17        | \$ 6.38    | \$ 1.78               | \$ -                            | \$ 1.78                  |
| 0.10163 | PCLN   | 3/6/2013         | 9/27/2013 | \$ 102.55      | \$ 73.71   | \$ 28.84              | \$ -                            | \$ 28.84                 |
| 0.10204 | EWV    | 10/5/2012        | 3/20/2013 | \$ 7.21        | \$ 6.93    | \$ 0.28               | \$ -                            | \$ 0.28                  |
| 0.10286 | DOV    | 1/15/2013        | 9/27/2013 | \$ 9.21        | \$ 6.86    | \$ 2.34               | \$ -                            | \$ 2.34                  |
| 0.10292 | ABT    | 2/20/2013        | 9/27/2013 | \$ 3.41        | \$ 3.59    | \$ (0.18)             | \$ -                            | \$ (0.18)                |
| 0.10303 | POR    | 10/5/2012        | 9/27/2013 | \$ 2.91        | \$ 2.85    | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 0.10312 | CBT    | 9/10/2012        | 5/8/2013  | \$ 3.93        | \$ 3.86    | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 0.10334 | PRE    | 1/15/2013        | 9/27/2013 | \$ 9.46        | \$ 8.58    | \$ 0.88               | \$ -                            | \$ 0.88                  |
| 0.10390 | NVO    | 11/5/2012        | 9/27/2013 | \$ 17.62       | \$ 16.53   | \$ 1.09               | \$ -                            | \$ 1.09                  |
| 0.10436 | RJF    | 1/15/2013        | 9/27/2013 | \$ 4.38        | \$ 4.33    | \$ 0.05               | \$ -                            | \$ 0.05                  |
| 0.10439 | KMT    | 3/6/2013         | 9/27/2013 | \$ 4.78        | \$ 4.25    | \$ 0.53               | \$ -                            | \$ 0.53                  |
| 0.10467 | LVS    | 9/10/2012        | 1/30/2013 | \$ 5.39        | \$ 4.61    | \$ 0.78               | \$ -                            | \$ 0.78                  |
| 0.10508 | ALK    | 1/15/2013        | 9/27/2013 | \$ 6.67        | \$ 4.90    | \$ 1.77               | \$ -                            | \$ 1.77                  |
| 0.10541 | RDEN   | 10/5/2012        | 9/27/2013 | \$ 3.98        | \$ 4.93    | \$ (0.95)             | \$ -                            | \$ (0.95)                |
| 0.10545 | CCMP   | 1/15/2013        | 9/27/2013 | \$ 4.08        | \$ 3.75    | \$ 0.33               | \$ -                            | \$ 0.33                  |
| 0.10573 | HCC    | 10/5/2012        | 9/27/2013 | \$ 4.64        | \$ 3.72    | \$ 0.92               | \$ -                            | \$ 0.92                  |
| 0.10586 | V      | 3/19/2013        | 9/27/2013 | \$ 20.42       | \$ 16.73   | \$ 3.69               | \$ -                            | \$ 3.69                  |
| 0.10635 | UNM    | 11/12/2012       | 9/27/2013 | \$ 3.26        | \$ 2.08    | \$ 1.18               | \$ -                            | \$ 1.18                  |
| 0.10647 | PMT    | 10/5/2012        | 9/26/2013 | \$ 2.40        | \$ 2.59    | \$ (0.19)             | \$ -                            | \$ (0.19)                |
| 0.10707 | RGLD   | 7/17/2012        | 3/8/2013  | \$ 7.07        | \$ 7.87    | \$ (0.80)             | \$ -                            | \$ (0.80)                |
| 0.10733 | WMGI   | 3/6/2013         | 9/27/2013 | \$ 2.79        | \$ 2.59    | \$ 0.20               | \$ -                            | \$ 0.20                  |
| 0.10912 | ALXN   | 6/5/2012         | 4/24/2013 | \$ 9.79        | \$ 9.58    | \$ 0.20               | \$ -                            | \$ 0.20                  |
| 0.10940 | ROL    | 10/5/2012        | 9/27/2013 | \$ 2.91        | \$ 2.57    | \$ 0.33               | \$ -                            | \$ 0.33                  |
| 0.10953 | ARG    | 3/6/2013         | 9/27/2013 | \$ 11.59       | \$ 11.19   | \$ 0.40               | \$ -                            | \$ 0.40                  |
| 0.10974 | USPH   | 11/5/2012        | 9/27/2013 | \$ 3.36        | \$ 2.94    | \$ 0.43               | \$ -                            | \$ 0.43                  |
| 0.11006 | NOV    | 5/1/2013         | 9/27/2013 | \$ 8.60        | \$ 7.08    | \$ 1.52               | \$ -                            | \$ 1.52                  |
| 0.11048 | TW     | 10/5/2012        | 9/27/2013 | \$ 11.93       | \$ 5.91    | \$ 6.02               | \$ -                            | \$ 6.02                  |
| 0.11056 | EMB    | 7/5/2013         | 9/27/2013 | \$ 12.07       | \$ 11.82   | \$ 0.26               | \$ -                            | \$ 0.26                  |
| 0.11064 | BLK    | 3/7/2013         | 9/27/2013 | \$ 29.95       | \$ 27.61   | \$ 2.34               | \$ -                            | \$ 2.34                  |
| 0.11157 | YUM    | 3/19/2013        | 7/15/2013 | \$ 8.03        | \$ 7.71    | \$ 0.31               | \$ -                            | \$ 0.31                  |

## Joseph C Scott Foundation

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.11168 | IWR    | 4/16/2013        | 8/14/2013 | \$ 15.38       | \$ 14.02   | \$ 1.37               | -                               | \$ 1.37                  |
| 0.11240 | VOLC   | 11/5/2012        | 9/27/2013 | \$ 2.64        | \$ 3.22    | \$ (0.57)             | -                               | \$ (0.57)                |
| 0.11266 | MMC    | 2/20/2013        | 9/27/2013 | \$ 4.94        | \$ 4.09    | \$ 0.84               | -                               | \$ 0.84                  |
| 0.11293 | IWM    | 10/23/2012       | 3/6/2013  | \$ 10.43       | \$ 9.11    | \$ 1.32               | -                               | \$ 1.32                  |
| 0.11333 | LVS    | 10/15/2012       | 1/30/2013 | \$ 5.84        | \$ 5.05    | \$ 0.79               | -                               | \$ 0.79                  |
| 0.11382 | PCLN   | 3/6/2013         | 9/27/2013 | \$ 114.85      | \$ 82.55   | \$ 32.30              | -                               | \$ 32.30                 |
| 0.11390 | BOH    | 3/6/2013         | 4/24/2013 | \$ 5.33        | \$ 5.59    | \$ (0.26)             | -                               | \$ (0.26)                |
| 0.11434 | CXO    | 3/6/2013         | 9/27/2013 | \$ 12.33       | \$ 10.17   | \$ 2.17               | -                               | \$ 2.17                  |
| 0.11438 | EMC    | 3/7/2013         | 9/27/2013 | \$ 2.98        | \$ 2.77    | \$ 0.21               | -                               | \$ 0.21                  |
| 0.11444 | HAR    | 3/6/2013         | 9/27/2013 | \$ 7.58        | \$ 4.97    | \$ 2.60               | -                               | \$ 2.60                  |
| 0.11483 | POR    | 1/15/2013        | 9/27/2013 | \$ 3.24        | \$ 3.17    | \$ 0.07               | -                               | \$ 0.07                  |
| 0.11492 | OIS    | 12/17/2012       | 6/20/2013 | \$ 10.39       | \$ 7.64    | \$ 2.74               | -                               | \$ 2.74                  |
| 0.11607 | PCLN   | 1/15/2013        | 9/27/2013 | \$ 117.13      | \$ 76.31   | \$ 40.82              | -                               | \$ 40.82                 |
| 0.11675 | V      | 2/20/2013        | 9/27/2013 | \$ 22.52       | \$ 18.27   | \$ 4.25               | -                               | \$ 4.25                  |
| 0.11679 | IWR    | 12/18/2012       | 4/17/2013 | \$ 14.45       | \$ 13.37   | \$ 1.08               | -                               | \$ 1.08                  |
| 0.11713 | BIIB   | 2/20/2013        | 9/27/2013 | \$ 28.55       | \$ 19.68   | \$ 8.87               | -                               | \$ 8.87                  |
| 0.11731 | HXL    | 10/5/2012        | 9/27/2013 | \$ 4.53        | \$ 2.96    | \$ 1.58               | -                               | \$ 1.58                  |
| 0.11760 | DE     | 11/5/2012        | 2/15/2013 | \$ 10.59       | \$ 10.07   | \$ 0.52               | -                               | \$ 0.52                  |
| 0.11770 | PCP    | 11/5/2012        | 9/27/2013 | \$ 26.81       | \$ 20.48   | \$ 6.33               | -                               | \$ 6.33                  |
| 0.11818 | LPNT   | 3/6/2013         | 9/27/2013 | \$ 5.46        | \$ 5.28    | \$ 0.17               | -                               | \$ 0.17                  |
| 0.11839 | UHS    | 10/5/2012        | 9/27/2013 | \$ 8.84        | \$ 5.47    | \$ 3.37               | -                               | \$ 3.37                  |
| 0.11865 | SLXP   | 1/15/2013        | 9/27/2013 | \$ 7.99        | \$ 5.41    | \$ 2.58               | -                               | \$ 2.58                  |
| 0.11874 | AMP    | 11/5/2012        | 9/27/2013 | \$ 10.85       | \$ 7.12    | \$ 3.73               | -                               | \$ 3.73                  |
| 0.11875 | MYGN   | 3/6/2013         | 5/28/2013 | \$ 3.85        | \$ 3.00    | \$ 0.85               | -                               | \$ 0.85                  |
| 0.11904 | ARIA   | 11/5/2012        | 9/27/2013 | \$ 2.22        | \$ 2.62    | \$ (0.40)             | -                               | \$ (0.40)                |
| 0.11914 | CME    | 11/5/2012        | 9/27/2013 | \$ 8.94        | \$ 6.63    | \$ 2.31               | -                               | \$ 2.31                  |
| 0.11939 | CMCSK  | 2/20/2013        | 9/27/2013 | \$ 5.14        | \$ 4.69    | \$ 0.45               | -                               | \$ 0.45                  |
| 0.11945 | AGCO   | 1/15/2013        | 6/3/2013  | \$ 6.63        | \$ 6.13    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.11958 | BEN    | 3/19/2013        | 9/27/2013 | \$ 6.07        | \$ 5.92    | \$ 0.15               | -                               | \$ 0.15                  |
| 0.11963 | EMB    | 5/1/2013         | 6/20/2013 | \$ 12.74       | \$ 14.48   | \$ (1.74)             | -                               | \$ (1.74)                |
| 0.12032 | SBAC   | 1/15/2013        | 9/27/2013 | \$ 9.58        | \$ 8.45    | \$ 1.13               | -                               | \$ 1.13                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.12054 | CTSH   | 10/19/2012       | 9/3/2013  | \$ 8.93        | \$ 8.35    | \$ 0.59               | -                               | \$ 0.59                  |
| 0.12060 | VMW    | 5/14/2013        | 9/6/2013  | \$ 10.25       | \$ 9.39    | \$ 0.86               | -                               | \$ 0.86                  |
| 0.12079 | R      | 10/5/2012        | 9/27/2013 | \$ 7.21        | \$ 5.09    | \$ 2.12               | -                               | \$ 2.12                  |
| 0.12137 | HAR    | 12/17/2012       | 9/27/2013 | \$ 8.04        | \$ 5.12    | \$ 2.92               | -                               | \$ 2.92                  |
| 0.12149 | BYI    | 1/15/2013        | 9/26/2013 | \$ 9.05        | \$ 5.63    | \$ 3.43               | -                               | \$ 3.43                  |
| 0.12183 | ALXN   | 10/5/2012        | 4/24/2013 | \$ 10.93       | \$ 14.55   | \$ (3.62)             | -                               | \$ (3.62)                |
| 0.12187 | EWU    | 3/19/2013        | 3/20/2013 | \$ 2.23        | \$ 2.22    | \$ 0.01               | -                               | \$ 0.01                  |
| 0.12199 | HSIC   | 3/6/2013         | 9/27/2013 | \$ 12.65       | \$ 10.99   | \$ 1.65               | -                               | \$ 1.65                  |
| 0.12204 | RDEN   | 3/6/2013         | 9/27/2013 | \$ 4.60        | \$ 4.87    | \$ (0.27)             | -                               | \$ (0.27)                |
| 0.12216 | EWZ    | 11/5/2012        | 3/20/2013 | \$ 6.71        | \$ 6.60    | \$ 0.11               | -                               | \$ 0.11                  |
| 0.12220 | BHLB   | 11/5/2012        | 9/27/2013 | \$ 3.06        | \$ 2.84    | \$ 0.23               | -                               | \$ 0.23                  |
| 0.12236 | OIS    | 8/10/2012        | 5/8/2013  | \$ 11.49       | \$ 9.64    | \$ 1.85               | -                               | \$ 1.85                  |
| 0.12249 | SIRO   | 3/6/2013         | 9/27/2013 | \$ 8.20        | \$ 8.91    | \$ (0.71)             | -                               | \$ (0.71)                |
| 0.12252 | CMI    | 5/1/2013         | 9/27/2013 | \$ 16.34       | \$ 12.72   | \$ 3.62               | -                               | \$ 3.62                  |
| 0.12256 | LTM    | 8/10/2012        | 4/26/2013 | \$ 5.66        | \$ 5.64    | \$ 0.02               | -                               | \$ 0.02                  |
| 0.12265 | HYS    | 7/5/2013         | 9/27/2013 | \$ 12.93       | \$ 12.61   | \$ 0.32               | -                               | \$ 0.32                  |
| 0.12306 | IWF    | 2/20/2013        | 4/25/2013 | \$ 8.90        | \$ 8.55    | \$ 0.35               | -                               | \$ 0.35                  |
| 0.12314 | CVH    | 10/5/2012        | 5/7/2013  | \$ 2.81        | \$ 1.89    | \$ 0.91               | -                               | \$ 0.91                  |
| 0.12348 | ACWI   | 3/19/2013        | 6/20/2013 | \$ 6.16        | \$ 6.24    | \$ (0.08)             | -                               | \$ (0.08)                |
| 0.12383 | IWM    | 4/8/2013         | 7/2/2013  | \$ 12.18       | \$ 11.33   | \$ 0.86               | -                               | \$ 0.86                  |
| 0.12425 | IWM    | 9/10/2012        | 1/2/2013  | \$ 10.73       | \$ 10.47   | \$ 0.26               | -                               | \$ 0.26                  |
| 0.12447 | TAL    | 5/8/2013         | 5/24/2013 | \$ 5.34        | \$ 5.01    | \$ 0.33               | -                               | \$ 0.33                  |
| 0.12456 | HAR    | 8/10/2012        | 2/1/2013  | \$ 5.64        | \$ 5.36    | \$ 0.29               | -                               | \$ 0.29                  |
| 0.12509 | LVS    | 3/19/2013        | 8/27/2013 | \$ 6.89        | \$ 6.58    | \$ 0.30               | -                               | \$ 0.30                  |
| 0.12511 | MAI    | 1/15/2013        | 9/27/2013 | \$ 3.32        | \$ 2.52    | \$ 0.79               | -                               | \$ 0.79                  |
| 0.12528 | MAI    | 3/6/2013         | 9/27/2013 | \$ 3.32        | \$ 2.59    | \$ 0.73               | -                               | \$ 0.73                  |
| 0.12561 | EPU    | 10/5/2012        | 3/20/2013 | \$ 5.45        | \$ 5.65    | \$ (0.20)             | -                               | \$ (0.20)                |
| 0.12569 | CNL    | 10/5/2012        | 9/27/2013 | \$ 5.65        | \$ 5.31    | \$ 0.34               | -                               | \$ 0.34                  |
| 0.12620 | MENT   | 11/5/2012        | 9/27/2013 | \$ 2.92        | \$ 1.92    | \$ 1.00               | -                               | \$ 1.00                  |
| 0.12647 | RTI    | 11/5/2012        | 9/27/2013 | \$ 4.01        | \$ 3.05    | \$ 0.97               | -                               | \$ 0.97                  |
| 0.12662 | AVT    | 10/5/2012        | 9/27/2013 | \$ 5.29        | \$ 3.72    | \$ 1.57               | -                               | \$ 1.57                  |

## Joseph C Scott Foundation

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.12676 | SIX    | 3/6/2013         | 9/27/2013 | \$ 4.34        | \$ 4.35    | \$ (0.01)             | \$ -                            | \$ (0.01)                |
| 0.12686 | ROST   | 6/13/2012        | 4/16/2013 | \$ 8.04        | \$ 8.22    | \$ (0.18)             | \$ -                            | \$ (0.18)                |
| 0.12686 | MLM    | 11/12/2012       | 9/27/2013 | \$ 12.31       | \$ 10.82   | \$ 1.48               | \$ -                            | \$ 1.48                  |
| 0.12698 | IWM    | 10/26/2012       | 3/14/2013 | \$ 11.98       | \$ 10.25   | \$ 1.74               | \$ -                            | \$ 1.74                  |
| 0.12752 | ERIE   | 8/3/2012         | 6/20/2013 | \$ 9.47        | \$ 8.54    | \$ 0.94               | \$ -                            | \$ 0.94                  |
| 0.12828 | MOLX   | 10/5/2012        | 4/26/2013 | \$ 3.45        | \$ 3.39    | \$ 0.05               | \$ -                            | \$ 0.05                  |
| 0.12952 | EZA    | 1/15/2013        | 3/20/2013 | \$ 8.24        | \$ 8.68    | \$ (0.44)             | \$ -                            | \$ (0.44)                |
| 0.12967 | CACHR  | 6/5/2012         | 4/19/2013 | \$ 0.24        | \$ 0.42    | \$ (0.17)             | \$ -                            | \$ (0.17)                |
| 0.12977 | CLI    | 3/6/2013         | 7/31/2013 | \$ 3.10        | \$ 3.70    | \$ (0.59)             | \$ -                            | \$ (0.59)                |
| 0.12991 | CASY   | 3/6/2013         | 9/27/2013 | \$ 9.57        | \$ 7.36    | \$ 2.21               | \$ -                            | \$ 2.21                  |
| 0.13018 | IWR    | 5/8/2013         | 9/3/2013  | \$ 17.46       | \$ 17.17   | \$ 0.29               | \$ -                            | \$ 0.29                  |
| 0.13032 | CMA    | 8/10/2012        | 2/14/2013 | \$ 4.60        | \$ 3.98    | \$ 0.62               | \$ -                            | \$ 0.62                  |
| 0.13095 | LULU   | 9/10/2012        | 3/22/2013 | \$ 8.17        | \$ 10.13   | \$ (1.96)             | \$ -                            | \$ (1.96)                |
| 0.13127 | BLK    | 6/5/2012         | 4/8/2013  | \$ 32.31       | \$ 21.80   | \$ 10.51              | \$ -                            | \$ 10.51                 |
| 0.13247 | COL    | 11/5/2012        | 9/27/2013 | \$ 9.10        | \$ 7.18    | \$ 1.92               | \$ -                            | \$ 1.92                  |
| 0.13273 | MAN    | 11/12/2012       | 2/19/2013 | \$ 7.38        | \$ 4.79    | \$ 2.60               | \$ -                            | \$ 2.60                  |
| 0.13283 | ARW    | 2/14/2013        | 9/27/2013 | \$ 6.40        | \$ 5.45    | \$ 0.96               | \$ -                            | \$ 0.96                  |
| 0.13292 | EMB    | 9/11/2013        | 9/27/2013 | \$ 14.52       | \$ 14.07   | \$ 0.44               | \$ -                            | \$ 0.44                  |
| 0.13322 | ZION   | 10/5/2012        | 5/22/2013 | \$ 3.69        | \$ 2.96    | \$ 0.74               | \$ -                            | \$ 0.74                  |
| 0.13407 | MKC    | 11/12/2012       | 1/28/2013 | \$ 8.17        | \$ 8.32    | \$ (0.16)             | \$ -                            | \$ (0.16)                |
| 0.13421 | CBT    | 1/15/2013        | 5/8/2013  | \$ 5.11        | \$ 5.77    | \$ (0.66)             | \$ -                            | \$ (0.66)                |
| 0.13490 | FAST   | 1/15/2013        | 9/27/2013 | \$ 6.72        | \$ 6.28    | \$ 0.44               | \$ -                            | \$ 0.44                  |
| 0.13491 | OIS    | 7/17/2012        | 5/8/2013  | \$ 12.67       | \$ 9.68    | \$ 2.99               | \$ -                            | \$ 2.99                  |
| 0.13504 | BLK    | 2/20/2013        | 9/27/2013 | \$ 36.55       | \$ 32.65   | \$ 3.90               | \$ -                            | \$ 3.90                  |
| 0.13554 | R      | 6/24/2013        | 9/27/2013 | \$ 8.09        | \$ 7.99    | \$ 0.10               | \$ -                            | \$ 0.10                  |
| 0.13563 | EWV    | 11/5/2012        | 3/20/2013 | \$ 9.58        | \$ 8.93    | \$ 0.65               | \$ -                            | \$ 0.65                  |
| 0.13572 | HAR    | 1/15/2013        | 9/27/2013 | \$ 8.99        | \$ 6.78    | \$ 2.21               | \$ -                            | \$ 2.21                  |
| 0.13587 | JACK   | 1/15/2013        | 9/27/2013 | \$ 5.46        | \$ 3.89    | \$ 1.56               | \$ -                            | \$ 1.56                  |
| 0.13608 | SNA    | 3/6/2013         | 9/27/2013 | \$ 13.55       | \$ 11.08   | \$ 2.46               | \$ -                            | \$ 2.46                  |
| 0.13616 | PX     | 11/5/2012        | 9/27/2013 | \$ 16.37       | \$ 14.79   | \$ 1.58               | \$ -                            | \$ 1.58                  |
| 0.13616 | CTL    | 2/20/2013        | 9/27/2013 | \$ 4.33        | \$ 4.69    | \$ (0.36)             | \$ -                            | \$ (0.36)                |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.13633 | TMO    | 11/5/2012        | 9/27/2013 | \$ 12.66       | \$ 8.41    | \$ 4.25               | -                               | \$ 4.25                  |
| 0.13645 | VRSK   | 1/15/2013        | 9/27/2013 | \$ 8.90        | \$ 7.43    | \$ 1.47               | -                               | \$ 1.47                  |
| 0.13689 | AWI    | 1/15/2013        | 9/27/2013 | \$ 7.55        | \$ 7.43    | \$ 0.12               | -                               | \$ 0.12                  |
| 0.13690 | IWR    | 9/6/2012         | 1/16/2013 | \$ 16.07       | \$ 15.21   | \$ 0.86               | -                               | \$ 0.86                  |
| 0.13751 | LH     | 11/5/2012        | 7/9/2013  | \$ 13.19       | \$ 11.78   | \$ 1.41               | -                               | \$ 1.41                  |
| 0.13766 | VRTX   | 5/1/2013         | 9/27/2013 | \$ 10.49       | \$ 10.74   | \$ (0.24)             | -                               | \$ (0.24)                |
| 0.13858 | IEX    | 11/5/2012        | 9/27/2013 | \$ 8.99        | \$ 5.97    | \$ 3.02               | -                               | \$ 3.02                  |
| 0.13887 | CVH    | 1/15/2013        | 5/7/2013  | \$ 3.17        | \$ 2.41    | \$ 0.75               | -                               | \$ 0.75                  |
| 0.13957 | SLB    | 5/1/2013         | 9/27/2013 | \$ 12.40       | \$ 10.27   | \$ 2.13               | -                               | \$ 2.13                  |
| 0.14001 | V      | 10/5/2012        | 9/27/2013 | \$ 27.00       | \$ 19.77   | \$ 7.24               | -                               | \$ 7.24                  |
| 0.14013 | MCD    | 10/5/2012        | 6/20/2013 | \$ 13.57       | \$ 12.73   | \$ 0.84               | -                               | \$ 0.84                  |
| 0.14022 | XRAY   | 1/15/2013        | 9/27/2013 | \$ 6.08        | \$ 5.55    | \$ 0.52               | -                               | \$ 0.52                  |
| 0.14032 | MYGN   | 12/27/2012       | 5/28/2013 | \$ 4.55        | \$ 3.79    | \$ 0.76               | -                               | \$ 0.76                  |
| 0.14034 | WWV    | 3/6/2013         | 3/14/2013 | \$ 6.29        | \$ 6.13    | \$ 0.16               | -                               | \$ 0.16                  |
| 0.14041 | IWM    | 3/18/2013        | 6/27/2013 | \$ 13.65       | \$ 13.28   | \$ 0.38               | -                               | \$ 0.38                  |
| 0.14045 | IWR    | 12/31/2012       | 4/17/2013 | \$ 17.38       | \$ 15.69   | \$ 1.69               | -                               | \$ 1.69                  |
| 0.14062 | KSU    | 7/17/2012        | 4/1/2013  | \$ 15.37       | \$ 9.55    | \$ 5.81               | -                               | \$ 5.81                  |
| 0.14081 | ABG    | 9/10/2012        | 3/21/2013 | \$ 5.24        | \$ 4.24    | \$ 1.01               | -                               | \$ 1.01                  |
| 0.14100 | BOH    | 8/10/2012        | 4/16/2013 | \$ 6.78        | \$ 6.55    | \$ 0.24               | -                               | \$ 0.24                  |
| 0.14100 | CNL    | 11/5/2012        | 9/27/2013 | \$ 6.34        | \$ 5.97    | \$ 0.38               | -                               | \$ 0.38                  |
| 0.14120 | CVH    | 9/10/2012        | 5/7/2013  | \$ 3.22        | \$ 2.00    | \$ 1.22               | -                               | \$ 1.22                  |
| 0.14133 | RTI    | 5/1/2013         | 9/27/2013 | \$ 4.48        | \$ 3.98    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.14164 | GOOG   | 2/20/2013        | 9/27/2013 | \$ 123.97      | \$ 113.96  | \$ 10.01              | -                               | \$ 10.01                 |
| 0.14166 | IWR    | 12/7/2012        | 4/17/2013 | \$ 17.53       | \$ 15.82   | \$ 1.71               | -                               | \$ 1.71                  |
| 0.14214 | BEN    | 3/7/2013         | 9/27/2013 | \$ 7.22        | \$ 6.92    | \$ 0.30               | -                               | \$ 0.30                  |
| 0.14316 | BIIB   | 11/5/2012        | 9/27/2013 | \$ 34.89       | \$ 19.90   | \$ 14.99              | -                               | \$ 14.99                 |
| 0.14320 | RGA    | 1/15/2013        | 9/27/2013 | \$ 9.63        | \$ 7.93    | \$ 1.70               | -                               | \$ 1.70                  |
| 0.14330 | RCII   | 1/15/2013        | 6/24/2013 | \$ 5.32        | \$ 4.90    | \$ 0.42               | -                               | \$ 0.42                  |
| 0.14508 | ALXN   | 11/5/2012        | 4/24/2013 | \$ 13.02       | \$ 13.11   | \$ (0.09)             | -                               | \$ (0.09)                |
| 0.14565 | OXY    | 11/5/2012        | 9/27/2013 | \$ 13.73       | \$ 11.35   | \$ 2.38               | -                               | \$ 2.38                  |
| 0.14618 | HYS    | 9/11/2013        | 9/27/2013 | \$ 15.41       | \$ 15.28   | \$ 0.13               | -                               | \$ 0.13                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.14625 | MAN    | 8/10/2012        | 2/19/2013 | \$ 8.13        | \$ 5.52    | \$ 2.61               | \$ -                            | \$ 2.61                  |
| 0.14636 | AIT    | 10/5/2012        | 9/27/2013 | \$ 7.51        | \$ 6.19    | \$ 1.32               | \$ -                            | \$ 1.32                  |
| 0.14639 | UTX    | 10/5/2012        | 9/27/2013 | \$ 16.01       | \$ 11.59   | \$ 4.42               | \$ -                            | \$ 4.42                  |
| 0.14665 | RGA    | 7/19/2012        | 2/5/2013  | \$ 8.46        | \$ 8.50    | \$ (0.04)             | \$ -                            | \$ (0.04)                |
| 0.14687 | TW     | 3/6/2013         | 9/27/2013 | \$ 15.86       | \$ 9.84    | \$ 6.01               | \$ -                            | \$ 6.01                  |
| 0.14752 | MOLX   | 9/10/2012        | 4/26/2013 | \$ 3.97        | \$ 4.05    | \$ (0.08)             | \$ -                            | \$ (0.08)                |
| 0.14761 | AMG    | 11/27/2012       | 6/20/2013 | \$ 23.90       | \$ 18.84   | \$ 5.07               | \$ -                            | \$ 5.07                  |
| 0.14776 | IWF    | 5/1/2013         | 7/2/2013  | \$ 10.85       | \$ 10.73   | \$ 0.12               | \$ -                            | \$ 0.12                  |
| 0.14839 | RCII   | 10/5/2012        | 5/8/2013  | \$ 5.36        | \$ 5.26    | \$ 0.10               | \$ -                            | \$ 0.10                  |
| 0.14857 | EWY    | 11/5/2012        | 3/20/2013 | \$ 8.64        | \$ 8.58    | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 0.14867 | IWM    | 10/26/2012       | 3/6/2013  | \$ 13.73       | \$ 12.00   | \$ 1.73               | \$ -                            | \$ 1.73                  |
| 0.14879 | LTM    | 1/15/2013        | 6/3/2013  | \$ 7.37        | \$ 7.57    | \$ (0.21)             | \$ -                            | \$ (0.21)                |
| 0.14881 | IGT    | 3/19/2013        | 9/27/2013 | \$ 2.90        | \$ 2.54    | \$ 0.36               | \$ -                            | \$ 0.36                  |
| 0.14933 | LIFE   | 10/5/2012        | 9/27/2013 | \$ 11.18       | \$ 7.61    | \$ 3.58               | \$ -                            | \$ 3.58                  |
| 0.14946 | CL     | 11/5/2012        | 9/27/2013 | \$ 8.97        | \$ 7.86    | \$ 1.11               | \$ -                            | \$ 1.11                  |
| 0.14956 | CRM    | 3/7/2013         | 9/27/2013 | \$ 7.85        | \$ 6.92    | \$ 0.93               | \$ -                            | \$ 0.93                  |
| 0.14972 | CRM    | 3/19/2013        | 9/27/2013 | \$ 7.86        | \$ 6.47    | \$ 1.39               | \$ -                            | \$ 1.39                  |
| 0.14980 | SJM    | 10/5/2012        | 9/27/2013 | \$ 15.72       | \$ 12.99   | \$ 2.73               | \$ -                            | \$ 2.73                  |
| 0.15081 | EW     | 11/5/2012        | 9/26/2013 | \$ 10.27       | \$ 13.26   | \$ (3.00)             | \$ -                            | \$ (3.00)                |
| 0.15111 | EOG    | 2/20/2013        | 9/27/2013 | \$ 25.85       | \$ 19.75   | \$ 6.10               | \$ -                            | \$ 6.10                  |
| 0.15191 | EMB    | 1/11/2013        | 6/13/2013 | \$ 17.04       | \$ 18.47   | \$ (1.43)             | \$ -                            | \$ (1.43)                |
| 0.15221 | PRXL   | 10/5/2012        | 9/27/2013 | \$ 7.61        | \$ 4.87    | \$ 2.74               | \$ -                            | \$ 2.74                  |
| 0.15223 | CBT    | 3/6/2013         | 5/8/2013  | \$ 5.80        | \$ 5.59    | \$ 0.20               | \$ -                            | \$ 0.20                  |
| 0.15225 | EGN    | 10/5/2012        | 9/27/2013 | \$ 11.66       | \$ 7.99    | \$ 3.66               | \$ -                            | \$ 3.66                  |
| 0.15230 | IWM    | 2/15/2013        | 6/4/2013  | \$ 14.85       | \$ 14.01   | \$ 0.84               | \$ -                            | \$ 0.84                  |
| 0.15294 | DVN    | 6/5/2012         | 4/8/2013  | \$ 8.26        | \$ 9.02    | \$ (0.76)             | \$ -                            | \$ (0.76)                |
| 0.15296 | CRM    | 10/5/2012        | 9/27/2013 | \$ 8.03        | \$ 6.05    | \$ 1.97               | \$ -                            | \$ 1.97                  |
| 0.15300 | MKC    | 8/10/2012        | 1/28/2013 | \$ 9.32        | \$ 9.16    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.15313 | EPOL   | 11/5/2012        | 3/20/2013 | \$ 4.06        | \$ 3.98    | \$ 0.08               | \$ -                            | \$ 0.08                  |
| 0.15314 | DRI    | 11/5/2012        | 2/5/2013  | \$ 7.20        | \$ 8.04    | \$ (0.84)             | \$ -                            | \$ (0.84)                |
| 0.15331 | AGN    | 3/19/2013        | 9/27/2013 | \$ 13.94       | \$ 16.63   | \$ (2.69)             | \$ -                            | \$ (2.69)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.15340 | HI     | 1/15/2013        | 9/27/2013 | \$ 4.20        | \$ 3.61    | \$ 0.59               | \$ -                            | \$ 0.59                  |
| 0.15344 | V      | 11/5/2012        | 9/27/2013 | \$ 29.59       | \$ 21.97   | \$ 7.62               | \$ -                            | \$ 7.62                  |
| 0.15348 | WRB    | 10/5/2012        | 9/27/2013 | \$ 6.64        | \$ 5.80    | \$ 0.84               | \$ -                            | \$ 0.84                  |
| 0.15372 | SNPS   | 10/5/2012        | 9/27/2013 | \$ 5.79        | \$ 5.09    | \$ 0.70               | \$ -                            | \$ 0.70                  |
| 0.15379 | EMB    | 8/7/2013         | 9/27/2013 | \$ 16.80       | \$ 16.76   | \$ 0.04               | \$ -                            | \$ 0.04                  |
| 0.15408 | ROST   | 10/5/2012        | 9/3/2013  | \$ 10.54       | \$ 10.24   | \$ 0.31               | \$ -                            | \$ 0.31                  |
| 0.15415 | MAN    | 12/27/2012       | 2/19/2013 | \$ 8.57        | \$ 6.42    | \$ 2.15               | \$ -                            | \$ 2.15                  |
| 0.15463 | CCK    | 11/5/2012        | 6/24/2013 | \$ 6.11        | \$ 5.97    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.15506 | SLGN   | 10/5/2012        | 2/21/2013 | \$ 6.71        | \$ 6.73    | \$ (0.02)             | \$ -                            | \$ (0.02)                |
| 0.15519 | ABG    | 1/15/2013        | 8/26/2013 | \$ 7.74        | \$ 5.05    | \$ 2.69               | \$ -                            | \$ 2.69                  |
| 0.15569 | MNST   | 5/1/2013         | 9/27/2013 | \$ 8.27        | \$ 8.71    | \$ (0.43)             | \$ -                            | \$ (0.43)                |
| 0.15570 | HCC    | 1/15/2013        | 9/27/2013 | \$ 6.84        | \$ 5.99    | \$ 0.85               | \$ -                            | \$ 0.85                  |
| 0.15626 | PRE    | 3/6/2013         | 9/27/2013 | \$ 14.31       | \$ 14.09   | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.15666 | IWR    | 4/8/2013         | 8/14/2013 | \$ 21.58       | \$ 19.58   | \$ 2.00               | \$ -                            | \$ 2.00                  |
| 0.15712 | SLGN   | 1/15/2013        | 2/21/2013 | \$ 6.80        | \$ 6.65    | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.15720 | RS     | 3/6/2013         | 9/27/2013 | \$ 11.49       | \$ 10.46   | \$ 1.02               | \$ -                            | \$ 1.02                  |
| 0.15795 | BOH    | 7/17/2012        | 4/16/2013 | \$ 7.60        | \$ 7.36    | \$ 0.24               | \$ -                            | \$ 0.24                  |
| 0.15823 | YUM    | 5/1/2013         | 7/15/2013 | \$ 11.38       | \$ 10.74   | \$ 0.65               | \$ -                            | \$ 0.65                  |
| 0.15857 | AGCO   | 11/6/2012        | 6/3/2013  | \$ 8.80        | \$ 7.35    | \$ 1.45               | \$ -                            | \$ 1.45                  |
| 0.15861 | BLK    | 10/5/2012        | 9/27/2013 | \$ 42.93       | \$ 29.93   | \$ 13.01              | \$ -                            | \$ 13.01                 |
| 0.15869 | FBHS   | 3/6/2013         | 9/27/2013 | \$ 6.63        | \$ 5.70    | \$ 0.93               | \$ -                            | \$ 0.93                  |
| 0.15923 | DPZ    | 3/6/2013         | 9/27/2013 | \$ 10.74       | \$ 7.98    | \$ 2.76               | \$ -                            | \$ 2.76                  |
| 0.15971 | SLXP   | 3/6/2013         | 9/27/2013 | \$ 10.76       | \$ 7.87    | \$ 2.88               | \$ -                            | \$ 2.88                  |
| 0.16017 | DRIV   | 11/5/2012        | 9/27/2013 | \$ 2.82        | \$ 2.27    | \$ 0.56               | \$ -                            | \$ 0.56                  |
| 0.16071 | CMA    | 10/5/2012        | 3/12/2013 | \$ 5.86        | \$ 5.15    | \$ 0.72               | \$ -                            | \$ 0.72                  |
| 0.16113 | LNKD   | 8/10/2012        | 2/12/2013 | \$ 24.84       | \$ 16.84   | \$ 8.00               | \$ -                            | \$ 8.00                  |
| 0.16135 | BIIB   | 7/17/2012        | 4/24/2013 | \$ 33.75       | \$ 23.44   | \$ 10.31              | \$ -                            | \$ 10.31                 |
| 0.16159 | ROL    | 1/15/2013        | 9/27/2013 | \$ 4.29        | \$ 3.82    | \$ 0.47               | \$ -                            | \$ 0.47                  |
| 0.16242 | SKT    | 10/5/2012        | 1/8/2013  | \$ 5.62        | \$ 5.20    | \$ 0.42               | \$ -                            | \$ 0.42                  |
| 0.16252 | ASCMA  | 3/6/2013         | 9/27/2013 | \$ 13.02       | \$ 11.14   | \$ 1.88               | \$ -                            | \$ 1.88                  |
| 0.16273 | CFR    | 8/10/2012        | 6/3/2013  | \$ 10.36       | \$ 9.05    | \$ 1.32               | \$ -                            | \$ 1.32                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.16300 | BGG    | 11/5/2012        | 9/27/2013 | \$ 3.31        | \$ 3.16    | \$ 0.15               | -                               | \$ 0.15                  |
| 0.16329 | TRV    | 6/5/2012         | 4/8/2013  | \$ 13.75       | \$ 9.89    | \$ 3.86               | -                               | \$ 3.86                  |
| 0.16361 | IT     | 11/12/2012       | 9/27/2013 | \$ 9.79        | \$ 7.49    | \$ 2.29               | -                               | \$ 2.29                  |
| 0.16381 | IWM    | 10/1/2012        | 1/28/2013 | \$ 14.69       | \$ 13.81   | \$ 0.88               | -                               | \$ 0.88                  |
| 0.16393 | LLTC   | 1/15/2013        | 9/27/2013 | \$ 6.49        | \$ 5.84    | \$ 0.65               | -                               | \$ 0.65                  |
| 0.16459 | IWM    | 2/21/2013        | 6/12/2013 | \$ 16.04       | \$ 14.85   | \$ 1.19               | -                               | \$ 1.19                  |
| 0.16540 | COF    | 11/5/2012        | 9/27/2013 | \$ 11.42       | \$ 10.01   | \$ 1.42               | -                               | \$ 1.42                  |
| 0.16545 | RGA    | 10/5/2012        | 9/27/2013 | \$ 11.13       | \$ 9.69    | \$ 1.44               | -                               | \$ 1.44                  |
| 0.16547 | RJF    | 3/6/2013         | 9/27/2013 | \$ 6.95        | \$ 7.46    | \$ (0.51)             | -                               | \$ (0.51)                |
| 0.16552 | EMB    | 6/28/2013        | 9/27/2013 | \$ 18.08       | \$ 18.01   | \$ 0.06               | -                               | \$ 0.06                  |
| 0.16574 | HBHC   | 3/6/2013         | 9/27/2013 | \$ 5.18        | \$ 4.97    | \$ 0.21               | -                               | \$ 0.21                  |
| 0.16577 | AGCO   | 3/18/2013        | 6/3/2013  | \$ 9.20        | \$ 9.05    | \$ 0.15               | -                               | \$ 0.15                  |
| 0.16663 | PEP    | 11/5/2012        | 9/27/2013 | \$ 13.36       | \$ 11.48   | \$ 1.88               | -                               | \$ 1.88                  |
| 0.16664 | R      | 3/6/2013         | 9/27/2013 | \$ 9.94        | \$ 9.63    | \$ 0.31               | -                               | \$ 0.31                  |
| 0.16684 | IWM    | 12/7/2012        | 4/1/2013  | \$ 15.49       | \$ 13.70   | \$ 1.80               | -                               | \$ 1.80                  |
| 0.16689 | AMZN   | 11/5/2012        | 9/27/2013 | \$ 52.63       | \$ 38.64   | \$ 13.99              | -                               | \$ 13.99                 |
| 0.16699 | HCC    | 2/14/2013        | 9/27/2013 | \$ 7.33        | \$ 6.68    | \$ 0.65               | -                               | \$ 0.65                  |
| 0.16702 | CTSH   | 5/1/2013         | 9/27/2013 | \$ 13.81       | \$ 10.74   | \$ 3.08               | -                               | \$ 3.08                  |
| 0.16704 | LKQ    | 3/6/2013         | 9/27/2013 | \$ 5.27        | \$ 3.38    | \$ 1.89               | -                               | \$ 1.89                  |
| 0.16724 | EGN    | 1/15/2013        | 9/27/2013 | \$ 12.80       | \$ 8.22    | \$ 4.58               | -                               | \$ 4.58                  |
| 0.16746 | SJM    | 2/20/2013        | 9/27/2013 | \$ 17.57       | \$ 15.42   | \$ 2.15               | -                               | \$ 2.15                  |
| 0.16783 | RS     | 1/15/2013        | 9/27/2013 | \$ 12.26       | \$ 10.69   | \$ 1.58               | -                               | \$ 1.58                  |
| 0.16794 | WSH    | 1/15/2013        | 9/27/2013 | \$ 7.33        | \$ 5.84    | \$ 1.49               | -                               | \$ 1.49                  |
| 0.16838 | HCC    | 11/5/2012        | 9/27/2013 | \$ 7.39        | \$ 5.97    | \$ 1.43               | -                               | \$ 1.43                  |
| 0.16877 | UTX    | 2/20/2013        | 9/27/2013 | \$ 18.45       | \$ 15.35   | \$ 3.11               | -                               | \$ 3.11                  |
| 0.16891 | FRC    | 1/15/2013        | 6/27/2013 | \$ 6.49        | \$ 5.84    | \$ 0.65               | -                               | \$ 0.65                  |
| 0.16909 | PWR    | 10/5/2012        | 5/29/2013 | \$ 4.82        | \$ 4.22    | \$ 0.61               | -                               | \$ 0.61                  |
| 0.16933 | EMB    | 12/11/2012       | 6/6/2013  | \$ 19.16       | \$ 20.75   | \$ (1.59)             | -                               | \$ (1.59)                |
| 0.16967 | MEAS   | 5/1/2013         | 9/27/2013 | \$ 9.21        | \$ 7.19    | \$ 2.03               | -                               | \$ 2.03                  |
| 0.16973 | CTSH   | 6/5/2012         | 4/8/2013  | \$ 12.55       | \$ 9.87    | \$ 2.68               | -                               | \$ 2.68                  |
| 0.16975 | CAM    | 11/5/2012        | 9/27/2013 | \$ 10.03       | \$ 8.72    | \$ 1.31               | -                               | \$ 1.31                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.17045 | DE     | 8/10/2012        | 2/12/2013 | \$ 15.86       | \$ 13.27   | \$ 2.59               | \$ -                            | \$ 2.59                  |
| 0.17053 | CXO    | 11/12/2012       | 9/27/2013 | \$ 18.39       | \$ 14.15   | \$ 4.24               | \$ -                            | \$ 4.24                  |
| 0.17068 | MKC    | 7/17/2012        | 1/28/2013 | \$ 10.39       | \$ 10.33   | \$ 0.07               | \$ -                            | \$ 0.07                  |
| 0.17115 | PCLN   | 1/8/2013         | 9/27/2013 | \$ 172.71      | \$ 112.84  | \$ 59.86              | \$ -                            | \$ 59.86                 |
| 0.17171 | RAIL   | 3/6/2013         | 9/27/2013 | \$ 3.61        | \$ 3.68    | \$ (0.07)             | \$ -                            | \$ (0.07)                |
| 0.17204 | GOOG   | 1/15/2013        | 9/27/2013 | \$ 150.58      | \$ 123.21  | \$ 27.37              | \$ -                            | \$ 27.37                 |
| 0.17287 | AMAT   | 3/19/2013        | 9/27/2013 | \$ 3.05        | \$ 2.25    | \$ 0.80               | \$ -                            | \$ 0.80                  |
| 0.17348 | DNR    | 10/5/2012        | 9/27/2013 | \$ 3.22        | \$ 2.85    | \$ 0.37               | \$ -                            | \$ 0.37                  |
| 0.17351 | DVN    | 11/5/2012        | 9/27/2013 | \$ 10.17       | \$ 10.00   | \$ 0.17               | \$ -                            | \$ 0.17                  |
| 0.17377 | FXI    | 10/5/2012        | 3/20/2013 | \$ 6.45        | \$ 6.20    | \$ 0.25               | \$ -                            | \$ 0.25                  |
| 0.17395 | AAPL   | 10/5/2012        | 9/27/2013 | \$ 83.75       | \$ 115.61  | \$ (31.87)            | \$ -                            | \$ (31.87)               |
| 0.17405 | TNC    | 3/6/2013         | 9/27/2013 | \$ 10.82       | \$ 8.28    | \$ 2.54               | \$ -                            | \$ 2.54                  |
| 0.17466 | LNKD   | 2/20/2013        | 9/27/2013 | \$ 43.11       | \$ 28.69   | \$ 14.41              | \$ -                            | \$ 14.41                 |
| 0.17523 | BA     | 3/7/2013         | 9/27/2013 | \$ 20.74       | \$ 14.25   | \$ 6.49               | \$ -                            | \$ 6.49                  |
| 0.17525 | UHS    | 1/15/2013        | 9/27/2013 | \$ 13.09       | \$ 9.09    | \$ 4.00               | \$ -                            | \$ 4.00                  |
| 0.17609 | SNPS   | 1/15/2013        | 9/27/2013 | \$ 6.63        | \$ 5.70    | \$ 0.94               | \$ -                            | \$ 0.94                  |
| 0.17614 | ROST   | 9/10/2012        | 9/3/2013  | \$ 12.05       | \$ 11.90   | \$ 0.15               | \$ -                            | \$ 0.15                  |
| 0.17628 | ADTN   | 1/15/2013        | 3/8/2013  | \$ 3.65        | \$ 3.53    | \$ 0.12               | \$ -                            | \$ 0.12                  |
| 0.17637 | IWR    | 4/8/2013         | 7/24/2013 | \$ 24.12       | \$ 22.04   | \$ 2.08               | \$ -                            | \$ 2.08                  |
| 0.17639 | AXP    | 2/20/2013        | 6/20/2013 | \$ 12.89       | \$ 10.99   | \$ 1.91               | \$ -                            | \$ 1.91                  |
| 0.17642 | DD     | 11/5/2012        | 9/27/2013 | \$ 10.43       | \$ 7.80    | \$ 2.64               | \$ -                            | \$ 2.64                  |
| 0.17647 | ERIE   | 12/27/2012       | 9/27/2013 | \$ 12.97       | \$ 11.87   | \$ 1.10               | \$ -                            | \$ 1.10                  |
| 0.17669 | SLGN   | 9/10/2012        | 2/21/2013 | \$ 7.65        | \$ 7.54    | \$ 0.11               | \$ -                            | \$ 0.11                  |
| 0.17677 | DPZ    | 2/14/2013        | 9/27/2013 | \$ 11.92       | \$ 8.01    | \$ 3.91               | \$ -                            | \$ 3.91                  |
| 0.17703 | IWM    | 10/19/2012       | 2/28/2013 | \$ 16.04       | \$ 14.49   | \$ 1.54               | \$ -                            | \$ 1.54                  |
| 0.17718 | V      | 2/20/2013        | 9/27/2013 | \$ 34.17       | \$ 27.73   | \$ 6.45               | \$ -                            | \$ 6.45                  |
| 0.17724 | HTSI   | 1/15/2013        | 2/19/2013 | \$ 7.52        | \$ 6.43    | \$ 1.09               | \$ -                            | \$ 1.09                  |
| 0.17735 | VRTX   | 9/6/2013         | 9/27/2013 | \$ 13.52       | \$ 13.96   | \$ (0.44)             | \$ -                            | \$ (0.44)                |
| 0.17770 | BOH    | 6/5/2012         | 4/16/2013 | \$ 8.55        | \$ 7.84    | \$ 0.71               | \$ -                            | \$ 0.71                  |
| 0.17851 | STR    | 2/14/2013        | 6/10/2013 | \$ 4.34        | \$ 4.22    | \$ 0.13               | \$ -                            | \$ 0.13                  |
| 0.17888 | CFR    | 7/17/2012        | 6/3/2013  | \$ 11.39       | \$ 10.33   | \$ 1.07               | \$ -                            | \$ 1.07                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.17888 | JDSU   | 10/5/2012        | 9/27/2013 | \$ 2.62        | \$ 2.08    | \$ 0.53               | -                               | \$ 0.53                  |
| 0.17906 | ADTN   | 10/5/2012        | 3/8/2013  | \$ 3.71        | \$ 2.96    | \$ 0.75               | -                               | \$ 0.75                  |
| 0.17918 | WSH    | 3/6/2013         | 9/27/2013 | \$ 7.82        | \$ 6.84    | \$ 0.98               | -                               | \$ 0.98                  |
| 0.17943 | SM     | 8/10/2012        | 2/28/2013 | \$ 10.49       | \$ 8.45    | \$ 2.04               | -                               | \$ 2.04                  |
| 0.17957 | CELG   | 3/19/2013        | 9/27/2013 | \$ 27.69       | \$ 20.11   | \$ 7.59               | -                               | \$ 7.59                  |
| 0.17962 | XOM    | 11/5/2012        | 9/27/2013 | \$ 15.60       | \$ 16.14   | \$ (0.55)             | -                               | \$ (0.55)                |
| 0.17967 | PRGS   | 11/5/2012        | 9/27/2013 | \$ 4.67        | \$ 3.59    | \$ 1.08               | -                               | \$ 1.08                  |
| 0.17994 | EMC    | 9/10/2012        | 5/14/2013 | \$ 4.14        | \$ 4.98    | \$ (0.83)             | -                               | \$ (0.83)                |
| 0.18037 | SIRO   | 12/27/2012       | 9/27/2013 | \$ 12.08       | \$ 11.29   | \$ 0.78               | -                               | \$ 0.78                  |
| 0.18073 | NOV    | 11/5/2012        | 9/27/2013 | \$ 14.13       | \$ 12.95   | \$ 1.18               | -                               | \$ 1.18                  |
| 0.18096 | AGCO   | 3/6/2013         | 6/3/2013  | \$ 10.04       | \$ 9.53    | \$ 0.51               | -                               | \$ 0.51                  |
| 0.18115 | MSFT   | 3/19/2013        | 9/27/2013 | \$ 6.06        | \$ 5.09    | \$ 0.96               | -                               | \$ 0.96                  |
| 0.18193 | EPU    | 11/5/2012        | 3/20/2013 | \$ 7.89        | \$ 8.06    | \$ (0.17)             | -                               | \$ (0.17)                |
| 0.18197 | IWR    | 9/14/2012        | 1/16/2013 | \$ 21.36       | \$ 20.91   | \$ 0.45               | -                               | \$ 0.45                  |
| 0.18224 | AMAG   | 5/1/2013         | 9/27/2013 | \$ 3.97        | \$ 4.00    | \$ (0.04)             | -                               | \$ (0.04)                |
| 0.18255 | CNL    | 1/15/2013        | 9/27/2013 | \$ 8.21        | \$ 7.57    | \$ 0.64               | -                               | \$ 0.64                  |
| 0.18266 | CELG   | 5/1/2013         | 9/27/2013 | \$ 28.17       | \$ 21.47   | \$ 6.70               | -                               | \$ 6.70                  |
| 0.18316 | ARW    | 10/5/2012        | 9/27/2013 | \$ 8.83        | \$ 6.40    | \$ 2.42               | -                               | \$ 2.42                  |
| 0.18328 | HAR    | 11/12/2012       | 6/20/2013 | \$ 9.21        | \$ 7.08    | \$ 2.14               | -                               | \$ 2.14                  |
| 0.18333 | CIT    | 8/10/2012        | 1/10/2013 | \$ 7.29        | \$ 7.02    | \$ 0.27               | -                               | \$ 0.27                  |
| 0.18359 | SIX    | 12/12/2012       | 6/20/2013 | \$ 13.36       | \$ 11.51   | \$ 1.85               | -                               | \$ 1.85                  |
| 0.18424 | PWR    | 3/6/2013         | 6/10/2013 | \$ 4.99        | \$ 5.39    | \$ (0.40)             | -                               | \$ (0.40)                |
| 0.18483 | AWI    | 3/6/2013         | 9/27/2013 | \$ 10.19       | \$ 9.84    | \$ 0.35               | -                               | \$ 0.35                  |
| 0.18486 | VRSK   | 3/6/2013         | 9/27/2013 | \$ 12.06       | \$ 11.08   | \$ 0.97               | -                               | \$ 0.97                  |
| 0.18487 | LNKD   | 11/5/2012        | 9/27/2013 | \$ 45.63       | \$ 19.17   | \$ 26.45              | -                               | \$ 26.45                 |
| 0.18515 | GOOG   | 3/6/2013         | 9/27/2013 | \$ 162.05      | \$ 154.08  | \$ 7.97               | -                               | \$ 7.97                  |
| 0.18564 | TFX    | 3/6/2013         | 9/27/2013 | \$ 15.17       | \$ 15.00   | \$ 0.17               | -                               | \$ 0.17                  |
| 0.18577 | WWAV   | 3/6/2013         | 6/3/2013  | \$ 3.10        | \$ 2.78    | \$ 0.31               | -                               | \$ 0.31                  |
| 0.18609 | PMT    | 1/15/2013        | 9/27/2013 | \$ 4.24        | \$ 5.12    | \$ (0.88)             | -                               | \$ (0.88)                |
| 0.18612 | SCHW   | 3/7/2013         | 9/27/2013 | \$ 3.94        | \$ 3.29    | \$ 0.65               | -                               | \$ 0.65                  |
| 0.18614 | ADSK   | 7/17/2012        | 2/19/2013 | \$ 7.25        | \$ 5.94    | \$ 1.31               | -                               | \$ 1.31                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.18646 | EL     | 10/5/2012     | 6/18/2013 | \$ 12.92       | \$ 11.96   | \$ 0.96            | -                         | \$ 0.96               |
| 0.18646 | HBI    | 3/6/2013      | 8/14/2013 | \$ 11.50       | \$ 7.44    | \$ 4.06            | -                         | \$ 4.06               |
| 0.18677 | IWF    | 2/14/2013     | 4/25/2013 | \$ 13.51       | \$ 12.91   | \$ 0.59            | -                         | \$ 0.59               |
| 0.18687 | UFPI   | 3/6/2013      | 9/27/2013 | \$ 7.90        | \$ 7.79    | \$ 0.11            | -                         | \$ 0.11               |
| 0.18753 | TCBK   | 5/1/2013      | 9/27/2013 | \$ 4.25        | \$ 3.39    | \$ 0.86            | -                         | \$ 0.86               |
| 0.18755 | IWF    | 3/7/2013      | 5/28/2013 | \$ 14.25       | \$ 13.19   | \$ 1.06            | -                         | \$ 1.06               |
| 0.18932 | DRC    | 8/10/2012     | 4/8/2013  | \$ 10.95       | \$ 9.28    | \$ 1.66            | -                         | \$ 1.66               |
| 0.18974 | ALK    | 3/6/2013      | 9/27/2013 | \$ 12.05       | \$ 10.67   | \$ 1.38            | -                         | \$ 1.38               |
| 0.19029 | PCLN   | 10/15/2012    | 6/20/2013 | \$ 155.00      | \$ 112.84  | \$ 42.16           | -                         | \$ 42.16              |
| 0.19060 | MOLX   | 3/6/2013      | 6/20/2013 | \$ 5.55        | \$ 5.41    | \$ 0.15            | -                         | \$ 0.15               |
| 0.19081 | XRAY   | 3/6/2013      | 9/27/2013 | \$ 8.27        | \$ 8.08    | \$ 0.19            | -                         | \$ 0.19               |
| 0.19089 | IEX    | 10/5/2012     | 9/27/2013 | \$ 12.38       | \$ 8.05    | \$ 4.33            | -                         | \$ 4.33               |
| 0.19090 | MON    | 2/20/2013     | 9/27/2013 | \$ 20.18       | \$ 18.95   | \$ 1.23            | -                         | \$ 1.23               |
| 0.19174 | RS     | 12/27/2012    | 9/27/2013 | \$ 14.01       | \$ 11.67   | \$ 2.34            | -                         | \$ 2.34               |
| 0.19198 | R      | 1/15/2013     | 9/27/2013 | \$ 11.45       | \$ 10.33   | \$ 1.13            | -                         | \$ 1.13               |
| 0.19212 | GILD   | 3/19/2013     | 9/27/2013 | \$ 12.18       | \$ 8.61    | \$ 3.57            | -                         | \$ 3.57               |
| 0.19252 | UNM    | 3/6/2013      | 9/27/2013 | \$ 5.91        | \$ 4.97    | \$ 0.93            | -                         | \$ 0.93               |
| 0.19290 | CTSH   | 10/5/2012     | 4/25/2013 | \$ 12.23       | \$ 14.01   | \$ (1.78)          | -                         | \$ (1.78)             |
| 0.19296 | AGN    | 2/20/2013     | 9/27/2013 | \$ 17.55       | \$ 21.01   | \$ (3.46)          | -                         | \$ (3.46)             |
| 0.19322 | HTSI   | 9/10/2012     | 2/19/2013 | \$ 8.20        | \$ 7.81    | \$ 0.38            | -                         | \$ 0.38               |
| 0.19395 | V      | 10/5/2012     | 9/27/2013 | \$ 37.41       | \$ 27.38   | \$ 10.02           | -                         | \$ 10.02              |
| 0.19437 | PWR    | 9/10/2012     | 5/29/2013 | \$ 5.54        | \$ 4.80    | \$ 0.74            | -                         | \$ 0.74               |
| 0.19459 | RCII   | 3/6/2013      | 6/24/2013 | \$ 7.23        | \$ 7.36    | \$ (0.13)          | -                         | \$ (0.13)             |
| 0.19474 | BRK B  | 5/1/2013      | 9/27/2013 | \$ 22.33       | \$ 20.75   | \$ 1.57            | -                         | \$ 1.57               |
| 0.19494 | PLCE   | 11/12/2012    | 9/9/2013  | \$ 10.70       | \$ 11.03   | \$ (0.33)          | -                         | \$ (0.33)             |
| 0.19512 | KSU    | 11/12/2012    | 9/26/2013 | \$ 21.37       | \$ 14.98   | \$ 6.39            | -                         | \$ 6.39               |
| 0.19517 | TRV    | 10/5/2012     | 4/29/2013 | \$ 16.58       | \$ 13.63   | \$ 2.95            | -                         | \$ 2.95               |
| 0.19530 | BOH    | 12/27/2012    | 4/24/2013 | \$ 9.14        | \$ 8.59    | \$ 0.55            | -                         | \$ 0.55               |
| 0.19580 | RCII   | 9/10/2012     | 5/8/2013  | \$ 7.07        | \$ 7.04    | \$ 0.03            | -                         | \$ 0.03               |
| 0.19589 | CYN    | 3/6/2013      | 9/27/2013 | \$ 12.93       | \$ 11.04   | \$ 1.89            | -                         | \$ 1.89               |
| 0.19634 | AWI    | 11/9/2012     | 9/9/2013  | \$ 10.11       | \$ 9.93    | \$ 0.18            | -                         | \$ 0.18               |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.19640 | LMCA   | 6/6/2012         | 1/4/2013  | \$ 23.56       | \$ 16.66   | \$ 6.90               | -                               | \$ 6.90                  |
| 0.19659 | QCOM   | 3/7/2013         | 9/27/2013 | \$ 13.22       | \$ 13.07   | \$ 0.15               | -                               | \$ 0.15                  |
| 0.19664 | ECL    | 3/6/2013         | 9/27/2013 | \$ 19.31       | \$ 15.30   | \$ 4.01               | -                               | \$ 4.01                  |
| 0.19707 | IWR    | 8/15/2012        | 1/2/2013  | \$ 22.67       | \$ 21.32   | \$ 1.34               | -                               | \$ 1.34                  |
| 0.19708 | HAR    | 7/17/2012        | 2/1/2013  | \$ 8.93        | \$ 7.49    | \$ 1.44               | -                               | \$ 1.44                  |
| 0.19842 | OKSB   | 11/5/2012        | 9/27/2013 | \$ 2.94        | \$ 2.08    | \$ 0.87               | -                               | \$ 0.87                  |
| 0.19871 | VRSN   | 10/5/2012        | 4/8/2013  | \$ 9.16        | \$ 9.96    | \$ (0.80)             | -                               | \$ (0.80)                |
| 0.19884 | SM     | 7/17/2012        | 2/28/2013 | \$ 11.62       | \$ 9.94    | \$ 1.68               | -                               | \$ 1.68                  |
| 0.19890 | WWV    | 11/12/2012       | 2/19/2013 | \$ 8.87        | \$ 8.32    | \$ 0.54               | -                               | \$ 0.54                  |
| 0.19956 | LAMR   | 11/12/2012       | 2/14/2013 | \$ 8.85        | \$ 8.12    | \$ 0.73               | -                               | \$ 0.73                  |
| 0.19965 | MOLX   | 1/15/2013        | 4/26/2013 | \$ 5.37        | \$ 5.63    | \$ (0.26)             | -                               | \$ (0.26)                |
| 0.20115 | YUM    | 10/5/2012        | 6/18/2013 | \$ 14.50       | \$ 13.41   | \$ 1.10               | -                               | \$ 1.10                  |
| 0.20119 | WSH    | 10/5/2012        | 9/27/2013 | \$ 8.78        | \$ 7.55    | \$ 1.23               | -                               | \$ 1.23                  |
| 0.20145 | IWM    | 5/22/2013        | 9/27/2013 | \$ 21.48       | \$ 20.14   | \$ 1.34               | -                               | \$ 1.34                  |
| 0.20200 | IEX    | 6/5/2012         | 1/14/2013 | \$ 9.65        | \$ 7.55    | \$ 2.10               | -                               | \$ 2.10                  |
| 0.20212 | ZION   | 12/27/2012       | 6/20/2013 | \$ 5.54        | \$ 4.24    | \$ 1.30               | -                               | \$ 1.30                  |
| 0.20223 | OXY    | 2/20/2013        | 9/27/2013 | \$ 19.06       | \$ 17.40   | \$ 1.67               | -                               | \$ 1.67                  |
| 0.20224 | MOLX   | 3/6/2013         | 9/17/2013 | \$ 7.81        | \$ 5.74    | \$ 2.07               | -                               | \$ 2.07                  |
| 0.20295 | CIT    | 7/17/2012        | 1/10/2013 | \$ 8.07        | \$ 7.10    | \$ 0.97               | -                               | \$ 0.97                  |
| 0.20385 | SUSQ   | 1/15/2013        | 9/27/2013 | \$ 2.55        | \$ 2.24    | \$ 0.32               | -                               | \$ 0.32                  |
| 0.20388 | PLCE   | 3/6/2013         | 9/9/2013  | \$ 11.19       | \$ 9.53    | \$ 1.66               | -                               | \$ 1.66                  |
| 0.20400 | CFR    | 3/6/2013         | 9/27/2013 | \$ 14.19       | \$ 12.44   | \$ 1.75               | -                               | \$ 1.75                  |
| 0.20413 | LAMR   | 7/17/2012        | 2/14/2013 | \$ 9.05        | \$ 5.94    | \$ 3.11               | -                               | \$ 3.11                  |
| 0.20422 | PWR    | 1/15/2013        | 6/10/2013 | \$ 5.53        | \$ 5.84    | \$ (0.31)             | -                               | \$ (0.31)                |
| 0.20447 | RWX    | 1/8/2013         | 9/27/2013 | \$ 8.62        | \$ 8.36    | \$ 0.26               | -                               | \$ 0.26                  |
| 0.20480 | ERUS   | 2/7/2013         | 3/20/2013 | \$ 4.58        | \$ 4.86    | \$ (0.28)             | -                               | \$ (0.28)                |
| 0.20524 | ZION   | 12/27/2012       | 9/27/2013 | \$ 5.61        | \$ 4.31    | \$ 1.30               | -                               | \$ 1.30                  |
| 0.20551 | ROST   | 6/5/2012         | 1/4/2013  | \$ 11.99       | \$ 12.66   | \$ (0.67)             | -                               | \$ (0.67)                |
| 0.20565 | AXP    | 11/5/2012        | 6/19/2013 | \$ 15.38       | \$ 11.54   | \$ 3.84               | -                               | \$ 3.84                  |
| 0.20597 | IP     | 11/5/2012        | 9/27/2013 | \$ 9.37        | \$ 7.24    | \$ 2.13               | -                               | \$ 2.13                  |
| 0.20654 | DRC    | 7/17/2012        | 4/8/2013  | \$ 11.94       | \$ 9.17    | \$ 2.78               | -                               | \$ 2.78                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.20667 | IWR    | 9/6/2012         | 1/7/2013  | \$ 23.99       | \$ 22.96   | \$ 1.02               | -                               | \$ 1.02                  |
| 0.20681 | KMT    | 11/12/2012       | 9/27/2013 | \$ 9.47        | \$ 7.31    | \$ 2.16               | -                               | \$ 2.16                  |
| 0.20699 | PWR    | 11/12/2012       | 6/10/2013 | \$ 5.60        | \$ 5.34    | \$ 0.27               | -                               | \$ 0.27                  |
| 0.20713 | BIIB   | 7/17/2012        | 2/19/2013 | \$ 34.41       | \$ 30.09   | \$ 4.32               | -                               | \$ 4.32                  |
| 0.20714 | EGN    | 3/6/2013         | 9/27/2013 | \$ 15.86       | \$ 9.43    | \$ 6.43               | -                               | \$ 6.43                  |
| 0.20749 | AMG    | 2/19/2013        | 9/27/2013 | \$ 38.11       | \$ 30.84   | \$ 7.27               | -                               | \$ 7.27                  |
| 0.20901 | ABG    | 3/6/2013         | 8/26/2013 | \$ 10.43       | \$ 7.36    | \$ 3.07               | -                               | \$ 3.07                  |
| 0.20912 | AAPL   | 5/1/2013         | 9/27/2013 | \$ 100.68      | \$ 91.00   | \$ 9.67               | -                               | \$ 9.67                  |
| 0.20934 | ERIE   | 11/12/2012       | 9/27/2013 | \$ 15.39       | \$ 13.76   | \$ 1.62               | -                               | \$ 1.62                  |
| 0.21047 | BA     | 11/5/2012        | 9/27/2013 | \$ 24.91       | \$ 14.79   | \$ 10.12              | -                               | \$ 10.12                 |
| 0.21086 | DE     | 6/5/2012         | 1/25/2013 | \$ 19.72       | \$ 14.98   | \$ 4.74               | -                               | \$ 4.74                  |
| 0.21093 | HAR    | 11/13/2012       | 6/20/2013 | \$ 10.60       | \$ 8.23    | \$ 2.37               | -                               | \$ 2.37                  |
| 0.21194 | VRSK   | 5/1/2013         | 9/27/2013 | \$ 13.82       | \$ 13.06   | \$ 0.76               | -                               | \$ 0.76                  |
| 0.21196 | BIDU   | 11/5/2012        | 9/27/2013 | \$ 32.58       | \$ 21.81   | \$ 10.77              | -                               | \$ 10.77                 |
| 0.21255 | IR     | 11/5/2012        | 9/27/2013 | \$ 13.93       | \$ 10.04   | \$ 3.89               | -                               | \$ 3.89                  |
| 0.21259 | IWM    | 4/19/2013        | 9/17/2013 | \$ 22.43       | \$ 19.20   | \$ 3.24               | -                               | \$ 3.24                  |
| 0.21275 | NVO    | 3/6/2013         | 9/27/2013 | \$ 36.08       | \$ 38.20   | \$ (2.12)             | -                               | \$ (2.12)                |
| 0.21304 | CTSH   | 3/19/2013        | 9/27/2013 | \$ 17.62       | \$ 16.57   | \$ 1.05               | -                               | \$ 1.05                  |
| 0.21327 | HSIC   | 10/23/2012       | 9/27/2013 | \$ 22.11       | \$ 15.83   | \$ 6.28               | -                               | \$ 6.28                  |
| 0.21421 | COH    | 5/1/2013         | 9/27/2013 | \$ 11.62       | \$ 12.51   | \$ (0.89)             | -                               | \$ (0.89)                |
| 0.21478 | ZION   | 1/15/2013        | 9/27/2013 | \$ 5.87        | \$ 4.69    | \$ 1.18               | -                               | \$ 1.18                  |
| 0.21480 | GILD   | 5/1/2013         | 9/27/2013 | \$ 13.62       | \$ 10.74   | \$ 2.89               | -                               | \$ 2.89                  |
| 0.21515 | BYI    | 3/6/2013         | 9/27/2013 | \$ 15.70       | \$ 10.77   | \$ 4.92               | -                               | \$ 4.92                  |
| 0.21519 | RWO    | 6/28/2013        | 9/27/2013 | \$ 9.14        | \$ 9.03    | \$ 0.11               | -                               | \$ 0.11                  |
| 0.21520 | XRAY   | 10/5/2012        | 9/27/2013 | \$ 9.32        | \$ 8.32    | \$ 1.01               | -                               | \$ 1.01                  |
| 0.21542 | IWF    | 5/15/2013        | 6/20/2013 | \$ 15.57       | \$ 16.34   | \$ (0.77)             | -                               | \$ (0.77)                |
| 0.21549 | ZION   | 3/6/2013         | 9/27/2013 | \$ 5.89        | \$ 5.39    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.21586 | ADSK   | 6/5/2012         | 2/1/2013  | \$ 8.46        | \$ 6.73    | \$ 1.73               | -                               | \$ 1.73                  |
| 0.21697 | CRI    | 3/6/2013         | 9/27/2013 | \$ 16.55       | \$ 12.28   | \$ 4.26               | -                               | \$ 4.26                  |
| 0.21697 | PCLN   | 11/12/2012       | 9/27/2013 | \$ 218.94      | \$ 136.37  | \$ 82.57              | -                               | \$ 82.57                 |
| 0.21715 | RBC    | 11/12/2012       | 9/27/2013 | \$ 14.81       | \$ 14.78   | \$ 0.03               | -                               | \$ 0.03                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.21738 | KORS   | 6/6/2012         | 4/24/2013 | \$ 11.71       | \$ 8.48    | \$ 3.23               | -                               | \$ 3.23                  |
| 0.21784 | BXS    | 5/1/2013         | 9/27/2013 | \$ 4.36        | \$ 3.45    | \$ 0.92               | -                               | \$ 0.92                  |
| 0.21817 | CVH    | 3/6/2013         | 5/7/2013  | \$ 4.97        | \$ 4.09    | \$ 0.88               | -                               | \$ 0.88                  |
| 0.21832 | CSL    | 4/1/2013         | 6/20/2013 | \$ 13.77       | \$ 14.73   | \$ (0.95)             | -                               | \$ (0.95)                |
| 0.21844 | DOV    | 11/12/2012       | 9/27/2013 | \$ 19.55       | \$ 13.14   | \$ 6.41               | -                               | \$ 6.41                  |
| 0.21905 | ROST   | 6/11/2012        | 4/16/2013 | \$ 13.89       | \$ 14.10   | \$ (0.21)             | -                               | \$ (0.21)                |
| 0.21939 | UHS    | 3/6/2013         | 9/27/2013 | \$ 16.38       | \$ 12.85   | \$ 3.54               | -                               | \$ 3.54                  |
| 0.21947 | DBV    | 3/19/2013        | 6/20/2013 | \$ 5.50        | \$ 5.93    | \$ (0.43)             | -                               | \$ (0.43)                |
| 0.22004 | TJX    | 5/1/2013         | 9/27/2013 | \$ 12.42       | \$ 10.74   | \$ 1.68               | -                               | \$ 1.68                  |
| 0.22052 | GILD   | 11/5/2012        | 9/27/2013 | \$ 13.99       | \$ 7.34    | \$ 6.64               | -                               | \$ 6.64                  |
| 0.22083 | IEX    | 3/6/2013         | 9/27/2013 | \$ 14.32       | \$ 11.40   | \$ 2.93               | -                               | \$ 2.93                  |
| 0.22114 | VAR    | 5/1/2013         | 9/27/2013 | \$ 16.42       | \$ 14.22   | \$ 2.20               | -                               | \$ 2.20                  |
| 0.22130 | IYR    | 1/11/2013        | 9/27/2013 | \$ 14.25       | \$ 14.69   | \$ (0.44)             | -                               | \$ (0.44)                |
| 0.22165 | ERUS   | 10/5/2012        | 3/20/2013 | \$ 4.96        | \$ 5.11    | \$ (0.15)             | -                               | \$ (0.15)                |
| 0.22169 | LMCA   | 3/6/2013         | 9/27/2013 | \$ 32.94       | \$ 24.53   | \$ 8.42               | -                               | \$ 8.42                  |
| 0.22255 | IEX    | 1/15/2013        | 9/27/2013 | \$ 14.43       | \$ 10.68   | \$ 3.76               | -                               | \$ 3.76                  |
| 0.22267 | MYGN   | 11/12/2012       | 5/22/2013 | \$ 7.34        | \$ 6.66    | \$ 0.68               | -                               | \$ 0.68                  |
| 0.22335 | LLTC   | 3/6/2013         | 9/27/2013 | \$ 8.84        | \$ 8.60    | \$ 0.25               | -                               | \$ 0.25                  |
| 0.22353 | JACK   | 11/12/2012       | 9/27/2013 | \$ 8.98        | \$ 5.62    | \$ 3.36               | -                               | \$ 3.36                  |
| 0.22435 | CIT    | 6/5/2012         | 1/10/2013 | \$ 8.92        | \$ 7.50    | \$ 1.43               | -                               | \$ 1.43                  |
| 0.22454 | AVT    | 2/14/2013        | 9/27/2013 | \$ 9.38        | \$ 8.18    | \$ 1.20               | -                               | \$ 1.20                  |
| 0.22482 | AGCO   | 3/18/2013        | 6/20/2013 | \$ 11.75       | \$ 12.27   | \$ (0.52)             | -                               | \$ (0.52)                |
| 0.22502 | IWM    | 5/8/2013         | 9/18/2013 | \$ 23.79       | \$ 21.65   | \$ 2.14               | -                               | \$ 2.14                  |
| 0.22524 | DRC    | 1/15/2013        | 4/24/2013 | \$ 12.73       | \$ 12.85   | \$ (0.12)             | -                               | \$ (0.12)                |
| 0.22544 | TMO    | 2/20/2013        | 9/27/2013 | \$ 20.93       | \$ 16.50   | \$ 4.43               | -                               | \$ 4.43                  |
| 0.22549 | UNH    | 11/5/2012        | 9/27/2013 | \$ 16.22       | \$ 12.40   | \$ 3.82               | -                               | \$ 3.82                  |
| 0.22608 | SNA    | 11/12/2012       | 9/27/2013 | \$ 22.50       | \$ 17.48   | \$ 5.02               | -                               | \$ 5.02                  |
| 0.22664 | VRSK   | 6/5/2012         | 3/21/2013 | \$ 13.61       | \$ 10.40   | \$ 3.21               | -                               | \$ 3.21                  |
| 0.22735 | HCN    | 11/12/2012       | 9/27/2013 | \$ 14.32       | \$ 13.11   | \$ 1.21               | -                               | \$ 1.21                  |
| 0.22823 | EWN    | 10/5/2012        | 3/6/2013  | \$ 4.70        | \$ 4.43    | \$ 0.27               | -                               | \$ 0.27                  |
| 0.22832 | IVV    | 8/7/2013         | 9/27/2013 | \$ 38.77       | \$ 38.76   | \$ -                  | -                               | \$ -                     |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.22901 | CACHR  | 10/5/2012        | 4/19/2013 | \$ 0.43        | \$ 0.40    | \$ 0.03               | -                               | \$ 0.03                  |
| 0.22936 | CVH    | 7/17/2012        | 5/7/2013  | \$ 5.23        | \$ 1.10    | \$ 4.13               | -                               | \$ 4.13                  |
| 0.22943 | PRXL   | 1/15/2013        | 9/27/2013 | \$ 11.47       | \$ 7.50    | \$ 3.97               | -                               | \$ 3.97                  |
| 0.22966 | QCOM   | 10/19/2012       | 9/27/2013 | \$ 15.44       | \$ 13.66   | \$ 1.78               | -                               | \$ 1.78                  |
| 0.22987 | PEP    | 2/20/2013        | 9/27/2013 | \$ 18.43       | \$ 17.42   | \$ 1.01               | -                               | \$ 1.01                  |
| 0.22997 | RGLD   | 11/12/2012       | 3/8/2013  | \$ 15.19       | \$ 20.19   | \$ (4.99)             | -                               | \$ (4.99)                |
| 0.23033 | AMP    | 2/20/2013        | 9/27/2013 | \$ 21.05       | \$ 15.88   | \$ 5.17               | -                               | \$ 5.17                  |
| 0.23084 | BIIB   | 8/10/2012        | 4/24/2013 | \$ 48.28       | \$ 33.39   | \$ 14.89              | -                               | \$ 14.89                 |
| 0.23097 | RJF    | 12/27/2012       | 9/27/2013 | \$ 9.70        | \$ 8.78    | \$ 0.92               | -                               | \$ 0.92                  |
| 0.23193 | UHS    | 6/6/2012         | 2/19/2013 | \$ 13.25       | \$ 9.05    | \$ 4.20               | -                               | \$ 4.20                  |
| 0.23198 | AGN    | 11/5/2012        | 9/27/2013 | \$ 21.10       | \$ 21.10   | \$ (0.01)             | -                               | \$ (0.01)                |
| 0.23247 | THD    | 2/7/2013         | 3/20/2013 | \$ 21.28       | \$ 20.45   | \$ 0.83               | -                               | \$ 0.83                  |
| 0.23303 | BIDU   | 2/20/2013        | 9/27/2013 | \$ 35.82       | \$ 21.07   | \$ 14.75              | -                               | \$ 14.75                 |
| 0.23334 | IWR    | 5/8/2013         | 8/30/2013 | \$ 31.22       | \$ 30.78   | \$ 0.44               | -                               | \$ 0.44                  |
| 0.23385 | RSR    | 1/15/2013        | 9/27/2013 | \$ 7.88        | \$ 7.07    | \$ 0.81               | -                               | \$ 0.81                  |
| 0.23410 | ADTN   | 3/6/2013         | 3/8/2013  | \$ 4.85        | \$ 4.87    | \$ (0.02)             | -                               | \$ (0.02)                |
| 0.23412 | CFR    | 12/27/2012       | 6/3/2013  | \$ 14.91       | \$ 12.60   | \$ 2.31               | -                               | \$ 2.31                  |
| 0.23427 | GILD   | 5/1/2013         | 9/27/2013 | \$ 14.86       | \$ 11.71   | \$ 3.15               | -                               | \$ 3.15                  |
| 0.23440 | CFR    | 11/12/2012       | 6/3/2013  | \$ 14.93       | \$ 13.11   | \$ 1.82               | -                               | \$ 1.82                  |
| 0.23494 | MMC    | 11/5/2012        | 9/27/2013 | \$ 10.30       | \$ 8.04    | \$ 2.26               | -                               | \$ 2.26                  |
| 0.23586 | ONXX   | 6/24/2013        | 9/27/2013 | \$ 29.41       | \$ 19.21   | \$ 10.20              | -                               | \$ 10.20                 |
| 0.23640 | CTSH   | 11/5/2012        | 9/27/2013 | \$ 19.55       | \$ 15.54   | \$ 4.01               | -                               | \$ 4.01                  |
| 0.23653 | LIFE   | 5/22/2013        | 9/27/2013 | \$ 17.72       | \$ 17.54   | \$ 0.17               | -                               | \$ 0.17                  |
| 0.23656 | AMAT   | 2/20/2013        | 9/27/2013 | \$ 4.17        | \$ 3.27    | \$ 0.91               | -                               | \$ 0.91                  |
| 0.23658 | IWR    | 10/1/2012        | 2/5/2013  | \$ 28.77       | \$ 26.40   | \$ 2.37               | -                               | \$ 2.37                  |
| 0.23671 | FAST   | 2/20/2013        | 9/27/2013 | \$ 11.79       | \$ 12.59   | \$ (0.80)             | -                               | \$ (0.80)                |
| 0.23715 | LTM    | 12/27/2012       | 6/3/2013  | \$ 11.74       | \$ 11.29   | \$ 0.45               | -                               | \$ 0.45                  |
| 0.23722 | IWR    | 10/1/2012        | 2/1/2013  | \$ 28.91       | \$ 26.47   | \$ 2.44               | -                               | \$ 2.44                  |
| 0.23749 | EWA    | 10/5/2012        | 3/20/2013 | \$ 6.39        | \$ 5.78    | \$ 0.61               | -                               | \$ 0.61                  |
| 0.23774 | ALT    | 11/5/2012        | 5/6/2013  | \$ 12.34       | \$ 11.88   | \$ 0.46               | -                               | \$ 0.46                  |
| 0.23814 | DE     | 10/5/2012        | 2/12/2013 | \$ 22.16       | \$ 19.87   | \$ 2.29               | -                               | \$ 2.29                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.23847 | NORW   | 7/18/2012        | 3/20/2013 | \$ 3.66        | \$ 3.22    | \$ 0.44               | -                               | \$ 0.44                  |
| 0.23885 | IWR    | 10/15/2012       | 2/28/2013 | \$ 29.29       | \$ 26.45   | \$ 2.84               | -                               | \$ 2.84                  |
| 0.23907 | MRC    | 1/15/2013        | 9/27/2013 | \$ 6.46        | \$ 6.85    | \$ (0.39)             | -                               | \$ (0.39)                |
| 0.23935 | RGLD   | 6/6/2012         | 3/8/2013  | \$ 15.81       | \$ 19.05   | \$ (3.24)             | -                               | \$ (3.24)                |
| 0.23939 | LIFE   | 3/6/2013         | 9/27/2013 | \$ 17.93       | \$ 14.61   | \$ 3.32               | -                               | \$ 3.32                  |
| 0.23944 | HTSI   | 8/10/2012        | 2/19/2013 | \$ 10.16       | \$ 9.76    | \$ 0.40               | -                               | \$ 0.40                  |
| 0.23991 | EWJ    | 3/19/2013        | 3/20/2013 | \$ 2.56        | \$ 2.55    | \$ 0.02               | -                               | \$ 0.02                  |
| 0.24041 | IWD    | 3/6/2013         | 3/18/2013 | \$ 19.51       | \$ 19.24   | \$ 0.27               | -                               | \$ 0.27                  |
| 0.24042 | IWM    | 1/4/2013         | 5/2/2013  | \$ 22.35       | \$ 20.99   | \$ 1.36               | -                               | \$ 1.36                  |
| 0.24050 | FOSL   | 6/13/2013        | 6/20/2013 | \$ 24.67       | \$ 24.95   | \$ (0.29)             | -                               | \$ (0.29)                |
| 0.24151 | CME    | 10/5/2012        | 9/27/2013 | \$ 18.12       | \$ 13.88   | \$ 4.24               | -                               | \$ 4.24                  |
| 0.24172 | AMP    | 10/5/2012        | 9/27/2013 | \$ 22.09       | \$ 14.01   | \$ 8.08               | -                               | \$ 8.08                  |
| 0.24213 | DRC    | 2/19/2013        | 4/24/2013 | \$ 13.69       | \$ 15.26   | \$ (1.57)             | -                               | \$ (1.57)                |
| 0.24248 | CCMP   | 3/6/2013         | 9/27/2013 | \$ 9.38        | \$ 8.72    | \$ 0.66               | -                               | \$ 0.66                  |
| 0.24277 | ALXN   | 2/20/2013        | 6/20/2013 | \$ 21.62       | \$ 20.61   | \$ 1.01               | -                               | \$ 1.01                  |
| 0.24322 | AGG    | 5/1/2013         | 6/20/2013 | \$ 26.08       | \$ 27.12   | \$ (1.04)             | -                               | \$ (1.04)                |
| 0.24338 | AGCO   | 12/27/2012       | 6/3/2013  | \$ 13.50       | \$ 11.73   | \$ 1.77               | -                               | \$ 1.77                  |
| 0.24344 | ROST   | 1/15/2013        | 9/27/2013 | \$ 17.65       | \$ 13.85   | \$ 3.80               | -                               | \$ 3.80                  |
| 0.24384 | RSG    | 10/5/2012        | 9/27/2013 | \$ 8.22        | \$ 6.79    | \$ 1.43               | -                               | \$ 1.43                  |
| 0.24466 | IWD    | 12/4/2012        | 2/8/2013  | \$ 19.15       | \$ 17.47   | \$ 1.69               | -                               | \$ 1.69                  |
| 0.24572 | UTX    | 3/19/2013        | 9/27/2013 | \$ 26.87       | \$ 22.92   | \$ 3.95               | -                               | \$ 3.95                  |
| 0.24576 | OIS    | 12/17/2012       | 5/8/2013  | \$ 23.08       | \$ 16.35   | \$ 6.73               | -                               | \$ 6.73                  |
| 0.24587 | ESRX   | 5/1/2013         | 9/27/2013 | \$ 15.23       | \$ 14.65   | \$ 0.58               | -                               | \$ 0.58                  |
| 0.24615 | BYI    | 8/10/2012        | 7/24/2013 | \$ 17.31       | \$ 11.07   | \$ 6.25               | -                               | \$ 6.25                  |
| 0.24619 | HCC    | 3/6/2013         | 9/27/2013 | \$ 10.81       | \$ 9.95    | \$ 0.86               | -                               | \$ 0.86                  |
| 0.24632 | AMG    | 12/7/2012        | 9/27/2013 | \$ 45.25       | \$ 31.56   | \$ 13.69              | -                               | \$ 13.69                 |
| 0.24648 | KSU    | 6/6/2012         | 3/14/2013 | \$ 26.52       | \$ 16.17   | \$ 10.35              | -                               | \$ 10.35                 |
| 0.24658 | ADSK   | 8/10/2012        | 2/19/2013 | \$ 9.60        | \$ 8.62    | \$ 0.99               | -                               | \$ 0.99                  |
| 0.24761 | PX     | 6/5/2012         | 4/8/2013  | \$ 27.40       | \$ 25.35   | \$ 2.05               | -                               | \$ 2.05                  |
| 0.24777 | AIV    | 10/5/2012        | 9/27/2013 | \$ 6.95        | \$ 6.30    | \$ 0.66               | -                               | \$ 0.66                  |
| 0.24790 | RKT    | 8/10/2012        | 3/8/2013  | \$ 22.23       | \$ 15.35   | \$ 6.88               | -                               | \$ 6.88                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.24793 | CNL    | 3/6/2013      | 9/27/2013 | \$ 11.15       | \$ 11.08   | \$ 0.07            | -                         | \$ 0.07               |
| 0.24796 | BOH    | 11/12/2012    | 4/24/2013 | \$ 11.60       | \$ 10.82   | \$ 0.78            | -                         | \$ 0.78               |
| 0.24816 | IWR    | 10/26/2012    | 3/14/2013 | \$ 31.48       | \$ 27.01   | \$ 4.47            | -                         | \$ 4.47               |
| 0.24819 | GOOG   | 11/12/2012    | 9/27/2013 | \$ 217.23      | \$ 165.29  | \$ 51.93           | -                         | \$ 51.93              |
| 0.24820 | WMGI   | 11/12/2012    | 9/27/2013 | \$ 6.45        | \$ 4.99    | \$ 1.46            | -                         | \$ 1.46               |
| 0.24856 | ALK    | 11/12/2012    | 9/27/2013 | \$ 15.79       | \$ 10.20   | \$ 5.59            | -                         | \$ 5.59               |
| 0.24931 | VTR    | 2/20/2013     | 9/27/2013 | \$ 15.57       | \$ 17.40   | \$ (1.82)          | -                         | \$ (1.82)             |
| 0.24933 | EWO    | 10/5/2012     | 3/20/2013 | \$ 4.47        | \$ 4.04    | \$ 0.42            | -                         | \$ 0.42               |
| 0.24941 | FB     | 3/19/2013     | 9/27/2013 | \$ 12.72       | \$ 6.64    | \$ 6.07            | -                         | \$ 6.07               |
| 0.24980 | ELD    | 7/5/2013      | 9/27/2013 | \$ 11.77       | \$ 11.77   | \$ -               | -                         | \$ -                  |
| 0.25017 | MCD    | 8/10/2012     | 4/15/2013 | \$ 25.69       | \$ 21.98   | \$ 3.71            | -                         | \$ 3.71               |
| 0.25083 | CSL    | 5/8/2013      | 9/27/2013 | \$ 17.54       | \$ 16.68   | \$ 0.86            | -                         | \$ 0.86               |
| 0.25130 | AIT    | 1/15/2013     | 9/27/2013 | \$ 12.89       | \$ 10.69   | \$ 2.21            | -                         | \$ 2.21               |
| 0.25159 | REXX   | 11/5/2012     | 9/27/2013 | \$ 5.60        | \$ 3.45    | \$ 2.15            | -                         | \$ 2.15               |
| 0.25402 | LNKD   | 7/17/2012     | 2/12/2013 | \$ 39.16       | \$ 26.11   | \$ 13.04           | -                         | \$ 13.04              |
| 0.25456 | IWR    | 3/21/2013     | 7/24/2013 | \$ 34.82       | \$ 32.18   | \$ 2.63            | -                         | \$ 2.63               |
| 0.25509 | MLM    | 3/14/2013     | 9/27/2013 | \$ 24.74       | \$ 26.78   | \$ (2.03)          | -                         | \$ (2.03)             |
| 0.25625 | IWR    | 10/19/2012    | 3/6/2013  | \$ 31.96       | \$ 28.52   | \$ 3.44            | -                         | \$ 3.44               |
| 0.25644 | CMA    | 9/10/2012     | 3/12/2013 | \$ 9.36        | \$ 8.23    | \$ 1.13            | -                         | \$ 1.13               |
| 0.25654 | CRM    | 5/1/2013      | 9/27/2013 | \$ 13.46       | \$ 10.74   | \$ 2.73            | -                         | \$ 2.73               |
| 0.25702 | CTSH   | 5/1/2013      | 9/27/2013 | \$ 21.26       | \$ 16.52   | \$ 4.74            | -                         | \$ 4.74               |
| 0.25741 | IWF    | 3/7/2013      | 5/17/2013 | \$ 19.49       | \$ 18.11   | \$ 1.37            | -                         | \$ 1.37               |
| 0.25778 | MASI   | 6/5/2012      | 2/19/2013 | \$ 5.18        | \$ 4.98    | \$ 0.20            | -                         | \$ 0.20               |
| 0.25813 | LTM    | 3/6/2013      | 6/3/2013  | \$ 12.78       | \$ 11.08   | \$ 1.69            | -                         | \$ 1.69               |
| 0.25876 | EMC    | 3/19/2013     | 5/14/2013 | \$ 5.96        | \$ 6.45    | \$ (0.50)          | -                         | \$ (0.50)             |
| 0.25923 | ALXN   | 12/14/2012    | 5/15/2013 | \$ 27.00       | \$ 24.52   | \$ 2.48            | -                         | \$ 2.48               |
| 0.25954 | PMT    | 3/6/2013      | 9/27/2013 | \$ 5.91        | \$ 6.63    | \$ (0.72)          | -                         | \$ (0.72)             |
| 0.25962 | ADTN   | 9/26/2012     | 1/14/2013 | \$ 5.42        | \$ 4.98    | \$ 0.45            | -                         | \$ 0.45               |
| 0.25983 | OIS    | 11/12/2012    | 5/8/2013  | \$ 24.40       | \$ 17.30   | \$ 7.10            | -                         | \$ 7.10               |
| 0.25984 | EMC    | 1/15/2013     | 5/14/2013 | \$ 5.98        | \$ 6.28    | \$ (0.30)          | -                         | \$ (0.30)             |
| 0.26158 | MINT   | 5/1/2013      | 6/20/2013 | \$ 26.52       | \$ 26.58   | \$ (0.07)          | -                         | \$ (0.07)             |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.26159 | VRSN   | 11/12/2012       | 6/20/2013 | \$ 11.49       | \$ 11.00   | \$ 0.49               | \$ -                            | \$ 0.49                  |
| 0.26173 | IWM    | 1/10/2013        | 5/9/2013  | \$ 25.24       | \$ 22.80   | \$ 2.43               | \$ -                            | \$ 2.43                  |
| 0.26186 | LIFE   | 1/15/2013        | 9/27/2013 | \$ 19.61       | \$ 14.08   | \$ 5.54               | \$ -                            | \$ 5.54                  |
| 0.26201 | SBH    | 3/6/2013         | 9/27/2013 | \$ 6.90        | \$ 7.67    | \$ (0.76)             | \$ -                            | \$ (0.76)                |
| 0.26202 | DHR    | 11/5/2012        | 9/27/2013 | \$ 18.29       | \$ 13.63   | \$ 4.66               | \$ -                            | \$ 4.66                  |
| 0.26218 | LMCA   | 6/6/2012         | 1/7/2013  | \$ 31.18       | \$ 22.23   | \$ 8.94               | \$ -                            | \$ 8.94                  |
| 0.26224 | SNPS   | 3/6/2013         | 9/27/2013 | \$ 9.88        | \$ 9.22    | \$ 0.66               | \$ -                            | \$ 0.66                  |
| 0.26255 | APKT   | 3/6/2013         | 4/1/2013  | \$ 7.68        | \$ 7.67    | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.26283 | ARG    | 11/12/2012       | 9/27/2013 | \$ 27.82       | \$ 22.89   | \$ 4.92               | \$ -                            | \$ 4.92                  |
| 0.26329 | AIT    | 3/6/2013         | 9/27/2013 | \$ 13.51       | \$ 11.71   | \$ 1.80               | \$ -                            | \$ 1.80                  |
| 0.26391 | AVT    | 1/15/2013        | 9/27/2013 | \$ 11.03       | \$ 8.38    | \$ 2.65               | \$ -                            | \$ 2.65                  |
| 0.26456 | WWAV   | 3/6/2013         | 9/27/2013 | \$ 5.30        | \$ 2.96    | \$ 2.34               | \$ -                            | \$ 2.34                  |
| 0.26473 | KSU    | 5/8/2013         | 9/26/2013 | \$ 29.00       | \$ 29.07   | \$ (0.07)             | \$ -                            | \$ (0.07)                |
| 0.26488 | NU     | 11/5/2012        | 9/27/2013 | \$ 11.00       | \$ 10.31   | \$ 0.68               | \$ -                            | \$ 0.68                  |
| 0.26513 | STR    | 2/1/2013         | 6/10/2013 | \$ 6.45        | \$ 6.23    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 0.26539 | AMG    | 1/28/2013        | 9/27/2013 | \$ 48.75       | \$ 38.32   | \$ 10.43              | \$ -                            | \$ 10.43                 |
| 0.26575 | CBT    | 8/10/2012        | 5/8/2013  | \$ 10.12       | \$ 9.88    | \$ 0.24               | \$ -                            | \$ 0.24                  |
| 0.26616 | RSG    | 3/6/2013         | 9/27/2013 | \$ 8.97        | \$ 8.29    | \$ 0.68               | \$ -                            | \$ 0.68                  |
| 0.26617 | COL    | 2/20/2013        | 9/27/2013 | \$ 18.29       | \$ 15.76   | \$ 2.53               | \$ -                            | \$ 2.53                  |
| 0.26627 | BEN    | 10/15/2012       | 9/6/2013  | \$ 12.53       | \$ 11.35   | \$ 1.17               | \$ -                            | \$ 1.17                  |
| 0.26743 | COL    | 10/5/2012        | 9/27/2013 | \$ 18.38       | \$ 14.65   | \$ 3.73               | \$ -                            | \$ 3.73                  |
| 0.26775 | SIRO   | 11/12/2012       | 9/27/2013 | \$ 17.93       | \$ 15.40   | \$ 2.53               | \$ -                            | \$ 2.53                  |
| 0.26790 | LVS    | 10/5/2012        | 1/30/2013 | \$ 13.79       | \$ 12.30   | \$ 1.49               | \$ -                            | \$ 1.49                  |
| 0.26819 | PRXL   | 7/17/2012        | 7/2/2013  | \$ 12.69       | \$ 7.75    | \$ 4.95               | \$ -                            | \$ 4.95                  |
| 0.26826 | CVH    | 7/13/2012        | 5/7/2013  | \$ 6.12        | \$ 1.28    | \$ 4.84               | \$ -                            | \$ 4.84                  |
| 0.26832 | LAMR   | 8/10/2012        | 2/14/2013 | \$ 11.90       | \$ 8.97    | \$ 2.92               | \$ -                            | \$ 2.92                  |
| 0.26841 | AWI    | 12/27/2012       | 9/9/2013  | \$ 13.82       | \$ 13.21   | \$ 0.61               | \$ -                            | \$ 0.61                  |
| 0.26846 | EZA    | 8/10/2012        | 3/20/2013 | \$ 17.07       | \$ 18.12   | \$ (1.04)             | \$ -                            | \$ (1.04)                |
| 0.26871 | PMT    | 2/14/2013        | 9/27/2013 | \$ 6.12        | \$ 6.91    | \$ (0.78)             | \$ -                            | \$ (0.78)                |
| 0.26895 | GDI    | 5/2/2013         | 7/31/2013 | \$ 20.44       | \$ 20.15   | \$ 0.29               | \$ -                            | \$ 0.29                  |
| 0.26897 | TWX    | 11/5/2012        | 9/27/2013 | \$ 17.83       | \$ 11.48   | \$ 6.35               | \$ -                            | \$ 6.35                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.26902 | FXI    | 11/5/2012        | 3/20/2013 | \$ 9.99        | \$ 10.13   | \$ (0.14)             | -                               | \$ (0.14)                |
| 0.26951 | GOOG   | 10/8/2012        | 9/27/2013 | \$ 235.89      | \$ 204.05  | \$ 31.83              | -                               | \$ 31.83                 |
| 0.26994 | DVA    | 11/12/2012       | 9/27/2013 | \$ 15.38       | \$ 15.22   | \$ 0.16               | -                               | \$ 0.16                  |
| 0.27013 | ELD    | 5/1/2013         | 6/20/2013 | \$ 12.62       | \$ 14.48   | \$ (1.87)             | -                               | \$ (1.87)                |
| 0.27083 | CIU    | 9/11/2013        | 9/27/2013 | \$ 29.24       | \$ 28.78   | \$ 0.46               | -                               | \$ 0.46                  |
| 0.27136 | ABBV   | 11/5/2012        | 9/27/2013 | \$ 12.02       | \$ 9.16    | \$ 2.86               | -                               | \$ 2.86                  |
| 0.27137 | ABT    | 11/5/2012        | 9/27/2013 | \$ 8.98        | \$ 8.45    | \$ 0.53               | -                               | \$ 0.53                  |
| 0.27157 | ROST   | 7/17/2012        | 6/20/2013 | \$ 17.24       | \$ 18.86   | \$ (1.62)             | -                               | \$ (1.62)                |
| 0.27215 | PCLN   | 10/15/2012       | 6/20/2013 | \$ 221.69      | \$ 161.38  | \$ 60.30              | -                               | \$ 60.30                 |
| 0.27227 | RCII   | 11/12/2012       | 5/8/2013  | \$ 9.83        | \$ 9.26    | \$ 0.56               | -                               | \$ 0.56                  |
| 0.27244 | QCOM   | 3/19/2013        | 9/27/2013 | \$ 18.32       | \$ 17.59   | \$ 0.74               | -                               | \$ 0.74                  |
| 0.27274 | EL     | 11/5/2012        | 8/13/2013 | \$ 18.03       | \$ 16.17   | \$ 1.87               | -                               | \$ 1.87                  |
| 0.27275 | SKT    | 9/10/2012        | 1/8/2013  | \$ 9.43        | \$ 9.06    | \$ 0.38               | -                               | \$ 0.38                  |
| 0.27284 | RHT    | 5/17/2013        | 9/6/2013  | \$ 13.98       | \$ 15.06   | \$ (1.08)             | -                               | \$ (1.08)                |
| 0.27309 | SBH    | 11/12/2012       | 9/27/2013 | \$ 7.19        | \$ 6.87    | \$ 0.33               | -                               | \$ 0.33                  |
| 0.27369 | HSIC   | 11/12/2012       | 9/27/2013 | \$ 28.38       | \$ 21.02   | \$ 7.36               | -                               | \$ 7.36                  |
| 0.27380 | POR    | 3/6/2013         | 9/27/2013 | \$ 7.73        | \$ 8.30    | \$ (0.57)             | -                               | \$ (0.57)                |
| 0.27399 | WCN    | 9/10/2012        | 6/20/2013 | \$ 10.99       | \$ 8.16    | \$ 2.83               | -                               | \$ 2.83                  |
| 0.27415 | PX     | 10/5/2012        | 9/27/2013 | \$ 32.97       | \$ 29.16   | \$ 3.81               | -                               | \$ 3.81                  |
| 0.27513 | ECL    | 3/19/2013        | 9/27/2013 | \$ 27.02       | \$ 21.81   | \$ 5.21               | -                               | \$ 5.21                  |
| 0.27526 | BERY   | 10/9/2012        | 3/14/2013 | \$ 5.37        | \$ 4.05    | \$ 1.32               | -                               | \$ 1.32                  |
| 0.27560 | THG    | 3/6/2013         | 9/27/2013 | \$ 15.28       | \$ 11.87   | \$ 3.41               | -                               | \$ 3.41                  |
| 0.27570 | WCN    | 11/5/2012        | 9/27/2013 | \$ 12.27       | \$ 8.95    | \$ 3.32               | -                               | \$ 3.32                  |
| 0.27583 | SUSQ   | 3/6/2013         | 9/27/2013 | \$ 3.46        | \$ 3.32    | \$ 0.14               | -                               | \$ 0.14                  |
| 0.27584 | EXPD   | 5/1/2013         | 5/3/2013  | \$ 10.24       | \$ 9.81    | \$ 0.43               | -                               | \$ 0.43                  |
| 0.27591 | ARW    | 3/6/2013         | 9/27/2013 | \$ 13.30       | \$ 11.09   | \$ 2.21               | -                               | \$ 2.21                  |
| 0.27601 | RDEN   | 11/12/2012       | 9/27/2013 | \$ 10.41       | \$ 12.49   | \$ (2.07)             | -                               | \$ (2.07)                |
| 0.27632 | ROST   | 3/6/2013         | 9/27/2013 | \$ 20.04       | \$ 16.47   | \$ 3.56               | -                               | \$ 3.56                  |
| 0.27696 | CCK    | 10/5/2012        | 6/24/2013 | \$ 10.95       | \$ 10.57   | \$ 0.39               | -                               | \$ 0.39                  |
| 0.27772 | DVA    | 11/5/2012        | 9/27/2013 | \$ 15.83       | \$ 15.47   | \$ 0.36               | -                               | \$ 0.36                  |
| 0.27804 | EMB    | 7/2/2012         | 1/17/2013 | \$ 33.90       | \$ 31.84   | \$ 2.06               | -                               | \$ 2.06                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.27811 | EZA    | 9/10/2012        | 3/20/2013 | \$ 17.69       | \$ 18.67   | \$ (0.99)             | -                               | \$ (0.99)                |
| 0.27821 | AWI    | 11/12/2012       | 9/9/2013  | \$ 14.33       | \$ 13.97   | \$ 0.35               | -                               | \$ 0.35                  |
| 0.27886 | LAMR   | 9/10/2012        | 2/14/2013 | \$ 12.37       | \$ 9.04    | \$ 3.33               | -                               | \$ 3.33                  |
| 0.27901 | ROL    | 3/6/2013         | 9/27/2013 | \$ 7.41        | \$ 6.84    | \$ 0.58               | -                               | \$ 0.58                  |
| 0.27903 | LH     | 10/5/2012        | 7/9/2013  | \$ 26.77       | \$ 26.23   | \$ 0.54               | -                               | \$ 0.54                  |
| 0.27911 | SAVE   | 10/5/2012        | 9/27/2013 | \$ 9.63        | \$ 5.01    | \$ 4.62               | -                               | \$ 4.62                  |
| 0.27929 | CRI    | 8/10/2012        | 7/29/2013 | \$ 20.05       | \$ 14.38   | \$ 5.67               | -                               | \$ 5.67                  |
| 0.27943 | TJX    | 11/5/2012        | 9/27/2013 | \$ 15.77       | \$ 11.72   | \$ 4.05               | -                               | \$ 4.05                  |
| 0.27982 | LUV    | 3/6/2013         | 9/27/2013 | \$ 4.10        | \$ 3.41    | \$ 0.70               | -                               | \$ 0.70                  |
| 0.27997 | ABG    | 8/10/2012        | 3/21/2013 | \$ 10.42       | \$ 7.38    | \$ 3.05               | -                               | \$ 3.05                  |
| 0.28098 | FIS    | 11/5/2012        | 9/27/2013 | \$ 13.04       | \$ 9.33    | \$ 3.71               | -                               | \$ 3.71                  |
| 0.28197 | CFR    | 1/16/2013        | 6/20/2013 | \$ 18.05       | \$ 16.24   | \$ 1.82               | -                               | \$ 1.82                  |
| 0.28235 | RWX    | 8/7/2013         | 9/27/2013 | \$ 11.91       | \$ 11.49   | \$ 0.41               | -                               | \$ 0.41                  |
| 0.28240 | LTM    | 10/19/2012       | 4/26/2013 | \$ 13.04       | \$ 12.06   | \$ 0.98               | -                               | \$ 0.98                  |
| 0.28346 | HTSI   | 7/17/2012        | 2/19/2013 | \$ 12.02       | \$ 11.65   | \$ 0.37               | -                               | \$ 0.37                  |
| 0.28359 | PCLN   | 11/12/2012       | 9/27/2013 | \$ 286.17      | \$ 178.24  | \$ 107.93             | -                               | \$ 107.93                |
| 0.28372 | CL     | 2/20/2013        | 9/27/2013 | \$ 17.02       | \$ 15.97   | \$ 1.05               | -                               | \$ 1.05                  |
| 0.28410 | CTSH   | 9/6/2013         | 9/27/2013 | \$ 23.50       | \$ 22.00   | \$ 1.50               | -                               | \$ 1.50                  |
| 0.28413 | PX     | 2/20/2013        | 9/27/2013 | \$ 34.17       | \$ 31.29   | \$ 2.88               | -                               | \$ 2.88                  |
| 0.28437 | CASY   | 11/12/2012       | 9/27/2013 | \$ 20.94       | \$ 13.94   | \$ 7.00               | -                               | \$ 7.00                  |
| 0.28518 | SAVE   | 8/1/2012         | 6/20/2013 | \$ 9.34        | \$ 5.80    | \$ 3.53               | -                               | \$ 3.53                  |
| 0.28684 | DSX    | 11/5/2012        | 9/27/2013 | \$ 3.47        | \$ 2.11    | \$ 1.36               | -                               | \$ 1.36                  |
| 0.28694 | ROL    | 6/5/2012         | 4/4/2013  | \$ 6.74        | \$ 6.00    | \$ 0.74               | -                               | \$ 0.74                  |
| 0.28741 | JDSU   | 12/27/2012       | 9/27/2013 | \$ 4.20        | \$ 3.76    | \$ 0.44               | -                               | \$ 0.44                  |
| 0.28772 | BYI    | 11/12/2012       | 9/26/2013 | \$ 21.44       | \$ 13.28   | \$ 8.16               | -                               | \$ 8.16                  |
| 0.28838 | IWD    | 3/19/2013        | 5/2/2013  | \$ 23.68       | \$ 23.34   | \$ 0.33               | -                               | \$ 0.33                  |
| 0.28850 | AMZN   | 3/6/2013         | 9/27/2013 | \$ 90.98       | \$ 79.09   | \$ 11.89              | -                               | \$ 11.89                 |
| 0.28907 | RWX    | 3/7/2013         | 9/27/2013 | \$ 12.19       | \$ 12.34   | \$ (0.15)             | -                               | \$ (0.15)                |
| 0.29053 | IWM    | 10/19/2012       | 3/6/2013  | \$ 26.82       | \$ 23.79   | \$ 3.04               | -                               | \$ 3.04                  |
| 0.29083 | TCBK   | 3/6/2013         | 9/27/2013 | \$ 6.59        | \$ 5.06    | \$ 1.53               | -                               | \$ 1.53                  |
| 0.29096 | CFN    | 10/5/2012        | 9/27/2013 | \$ 10.73       | \$ 8.32    | \$ 2.41               | -                               | \$ 2.41                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.29113 | VRSN   | 9/10/2012        | 4/8/2013  | \$ 13.42       | \$ 14.08   | \$ (0.66)             | -                               | \$ (0.66)                |
| 0.29122 | IWR    | 1/14/2013        | 5/2/2013  | \$ 37.34       | \$ 34.01   | \$ 3.33               | -                               | \$ 3.33                  |
| 0.29185 | IWR    | 9/10/2012        | 1/16/2013 | \$ 34.25       | \$ 32.72   | \$ 1.54               | -                               | \$ 1.54                  |
| 0.29279 | CVH    | 8/10/2012        | 5/7/2013  | \$ 6.68        | \$ 1.46    | \$ 5.22               | -                               | \$ 5.22                  |
| 0.29285 | RKT    | 11/12/2012       | 3/8/2013  | \$ 26.27       | \$ 18.52   | \$ 7.74               | -                               | \$ 7.74                  |
| 0.29310 | PRXL   | 2/1/2013         | 9/27/2013 | \$ 14.66       | \$ 10.13   | \$ 4.52               | -                               | \$ 4.52                  |
| 0.29376 | SKT    | 11/12/2012       | 1/8/2013  | \$ 10.16       | \$ 9.39    | \$ 0.77               | -                               | \$ 0.77                  |
| 0.29389 | MOLX   | 8/10/2012        | 4/26/2013 | \$ 7.90        | \$ 7.62    | \$ 0.28               | -                               | \$ 0.28                  |
| 0.29466 | OXY    | 10/5/2012        | 9/27/2013 | \$ 27.78       | \$ 25.22   | \$ 2.56               | -                               | \$ 2.56                  |
| 0.29548 | CELG   | 2/20/2013        | 9/27/2013 | \$ 45.57       | \$ 30.28   | \$ 15.28              | -                               | \$ 15.28                 |
| 0.29636 | ADSK   | 11/12/2012       | 2/19/2013 | \$ 11.54       | \$ 9.16    | \$ 2.39               | -                               | \$ 2.39                  |
| 0.29695 | BIIB   | 10/15/2012       | 6/20/2013 | \$ 59.33       | \$ 44.20   | \$ 15.12              | -                               | \$ 15.12                 |
| 0.29702 | CBT    | 7/17/2012        | 5/8/2013  | \$ 11.31       | \$ 11.62   | \$ (0.31)             | -                               | \$ (0.31)                |
| 0.29743 | FHN    | 8/14/2013        | 9/27/2013 | \$ 3.30        | \$ 3.60    | \$ (0.31)             | -                               | \$ (0.31)                |
| 0.29907 | PLCE   | 12/27/2012       | 9/9/2013  | \$ 16.42       | \$ 12.74   | \$ 3.67               | -                               | \$ 3.67                  |
| 0.29950 | SCMR   | 8/10/2012        | 2/15/2013 | \$ 0.70        | \$ 0.46    | \$ 0.24               | -                               | \$ 0.24                  |
| 0.29953 | FRC    | 3/6/2013         | 6/27/2013 | \$ 11.51       | \$ 11.20   | \$ 0.31               | -                               | \$ 0.31                  |
| 0.29976 | LULU   | 8/10/2012        | 3/22/2013 | \$ 18.69       | \$ 17.68   | \$ 1.01               | -                               | \$ 1.01                  |
| 0.29981 | ECL    | 11/5/2012        | 9/27/2013 | \$ 29.44       | \$ 21.11   | \$ 8.34               | -                               | \$ 8.34                  |
| 0.30027 | AET    | 11/5/2012        | 9/27/2013 | \$ 19.33       | \$ 13.14   | \$ 6.20               | -                               | \$ 6.20                  |
| 0.30038 | IWM    | 11/12/2012       | 3/25/2013 | \$ 28.10       | \$ 23.83   | \$ 4.27               | -                               | \$ 4.27                  |
| 0.30039 | EMB    | 8/16/2012        | 5/6/2013  | \$ 36.42       | \$ 35.41   | \$ 1.01               | -                               | \$ 1.01                  |
| 0.30087 | BIIB   | 10/15/2012       | 9/27/2013 | \$ 73.33       | \$ 44.79   | \$ 28.54              | -                               | \$ 28.54                 |
| 0.30125 | CTL    | 11/5/2012        | 9/27/2013 | \$ 9.58        | \$ 11.54   | \$ (1.96)             | -                               | \$ (1.96)                |
| 0.30168 | CCMP   | 12/10/2012       | 6/20/2013 | \$ 10.17       | \$ 10.21   | \$ (0.03)             | -                               | \$ (0.03)                |
| 0.30186 | ZION   | 12/27/2012       | 5/22/2013 | \$ 8.37        | \$ 6.34    | \$ 2.03               | -                               | \$ 2.03                  |
| 0.30217 | AXP    | 5/1/2013         | 9/27/2013 | \$ 22.90       | \$ 20.75   | \$ 2.15               | -                               | \$ 2.15                  |
| 0.30261 | EW     | 2/20/2013        | 9/26/2013 | \$ 20.61       | \$ 26.24   | \$ (5.64)             | -                               | \$ (5.64)                |
| 0.30284 | CL     | 10/5/2012        | 9/27/2013 | \$ 18.17       | \$ 16.43   | \$ 1.74               | -                               | \$ 1.74                  |
| 0.30310 | EWG    | 7/18/2012        | 3/20/2013 | \$ 7.65        | \$ 6.03    | \$ 1.61               | -                               | \$ 1.61                  |
| 0.30472 | ELD    | 9/11/2013        | 9/27/2013 | \$ 14.36       | \$ 14.13   | \$ 0.23               | -                               | \$ 0.23                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.30531 | ARG    | 6/6/2012         | 3/14/2013 | \$ 31.21       | \$ 25.91   | \$ 5.30               | -                               | \$ 5.30                  |
| 0.30541 | AAPL   | 3/6/2013         | 9/27/2013 | \$ 147.04      | \$ 130.92  | \$ 16.12              | -                               | \$ 16.12                 |
| 0.30570 | WCN    | 8/13/2012        | 6/20/2013 | \$ 12.26       | \$ 9.16    | \$ 3.10               | -                               | \$ 3.10                  |
| 0.30592 | LPNT   | 11/12/2012       | 9/27/2013 | \$ 14.12       | \$ 11.24   | \$ 2.88               | -                               | \$ 2.88                  |
| 0.30633 | AUY    | 10/5/2012        | 9/27/2013 | \$ 3.20        | \$ 5.97    | \$ (2.77)             | -                               | \$ (2.77)                |
| 0.30641 | CTSH   | 3/19/2013        | 5/3/2013  | \$ 20.14       | \$ 23.84   | \$ (3.69)             | -                               | \$ (3.69)                |
| 0.30649 | JDSU   | 1/15/2013        | 9/27/2013 | \$ 4.48        | \$ 4.04    | \$ 0.44               | -                               | \$ 0.44                  |
| 0.30674 | CKK    | 9/10/2012        | 6/24/2013 | \$ 12.13       | \$ 11.34   | \$ 0.79               | -                               | \$ 0.79                  |
| 0.30719 | WEC    | 11/5/2012        | 9/27/2013 | \$ 12.36       | \$ 11.54   | \$ 0.82               | -                               | \$ 0.82                  |
| 0.30720 | ABG    | 7/17/2012        | 3/21/2013 | \$ 11.44       | \$ 8.13    | \$ 3.30               | -                               | \$ 3.30                  |
| 0.30762 | EL     | 2/20/2013        | 8/13/2013 | \$ 20.34       | \$ 19.68   | \$ 0.66               | -                               | \$ 0.66                  |
| 0.30799 | IR     | 1/8/2013         | 9/27/2013 | \$ 20.18       | \$ 15.04   | \$ 5.14               | -                               | \$ 5.14                  |
| 0.30814 | VRSN   | 3/6/2013         | 9/27/2013 | \$ 15.73       | \$ 14.50   | \$ 1.22               | -                               | \$ 1.22                  |
| 0.30827 | UFPI   | 11/12/2012       | 9/27/2013 | \$ 13.03       | \$ 11.02   | \$ 2.00               | -                               | \$ 2.00                  |
| 0.30862 | CRZO   | 3/6/2013         | 9/27/2013 | \$ 11.41       | \$ 7.27    | \$ 4.14               | -                               | \$ 4.14                  |
| 0.30917 | DRI    | 10/5/2012        | 2/5/2013  | \$ 14.53       | \$ 17.07   | \$ (2.53)             | -                               | \$ (2.53)                |
| 0.31000 | CACHR  | 3/6/2013         | 4/19/2013 | \$ 0.58        | \$ 0.75    | \$ (0.17)             | -                               | \$ (0.17)                |
| 0.31094 | DD     | 6/5/2012         | 4/8/2013  | \$ 15.07       | \$ 14.59   | \$ 0.49               | -                               | \$ 0.49                  |
| 0.31099 | IWR    | 6/10/2013        | 9/27/2013 | \$ 43.37       | \$ 41.09   | \$ 2.28               | -                               | \$ 2.28                  |
| 0.31177 | G      | 9/12/2012        | 6/20/2013 | \$ 5.95        | \$ 5.31    | \$ 0.64               | -                               | \$ 0.64                  |
| 0.31197 | RKT    | 7/17/2012        | 3/8/2013  | \$ 27.98       | \$ 18.85   | \$ 9.13               | -                               | \$ 9.13                  |
| 0.31202 | SM     | 11/12/2012       | 2/28/2013 | \$ 18.24       | \$ 17.09   | \$ 1.14               | -                               | \$ 1.14                  |
| 0.31240 | ONXX   | 1/28/2013        | 9/27/2013 | \$ 38.95       | \$ 24.25   | \$ 14.71              | -                               | \$ 14.71                 |
| 0.31260 | HTSI   | 11/12/2012       | 2/19/2013 | \$ 13.26       | \$ 11.45   | \$ 1.81               | -                               | \$ 1.81                  |
| 0.31283 | EWQ    | 7/18/2012        | 3/20/2013 | \$ 7.49        | \$ 5.98    | \$ 1.51               | -                               | \$ 1.51                  |
| 0.31306 | EOG    | 11/5/2012        | 9/27/2013 | \$ 53.56       | \$ 36.16   | \$ 17.40              | -                               | \$ 17.40                 |
| 0.31321 | VRSN   | 1/15/2013        | 9/27/2013 | \$ 15.99       | \$ 12.12   | \$ 3.87               | -                               | \$ 3.87                  |
| 0.31335 | LULU   | 11/5/2012        | 3/22/2013 | \$ 19.54       | \$ 21.88   | \$ (2.34)             | -                               | \$ (2.34)                |
| 0.31352 | HXL    | 3/6/2013         | 9/27/2013 | \$ 12.12       | \$ 8.70    | \$ 3.42               | -                               | \$ 3.42                  |
| 0.31388 | AXP    | 2/20/2013        | 6/19/2013 | \$ 23.47       | \$ 19.55   | \$ 3.92               | -                               | \$ 3.92                  |
| 0.31496 | DPZ    | 12/31/2012       | 6/20/2013 | \$ 18.13       | \$ 13.56   | \$ 4.56               | -                               | \$ 4.56                  |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.31530 | TW     | 11/12/2012       | 9/27/2013 | \$ 34.04       | \$ 16.47   | \$ 17.57              | -                               | \$ 17.57                 |
| 0.31533 | ROST   | 11/12/2012       | 9/27/2013 | \$ 22.86       | \$ 17.38   | \$ 5.49               | -                               | \$ 5.49                  |
| 0.31596 | CASY   | 9/14/2012        | 6/20/2013 | \$ 18.65       | \$ 18.80   | \$ (0.15)             | -                               | \$ (0.15)                |
| 0.31616 | IR     | 2/20/2013        | 9/27/2013 | \$ 20.71       | \$ 17.03   | \$ 3.69               | -                               | \$ 3.69                  |
| 0.31661 | FRC    | 11/12/2012       | 6/27/2013 | \$ 12.16       | \$ 10.82   | \$ 1.34               | -                               | \$ 1.34                  |
| 0.31801 | RGA    | 8/6/2012         | 2/5/2013  | \$ 18.34       | \$ 17.95   | \$ 0.40               | -                               | \$ 0.40                  |
| 0.31896 | RGA    | 8/10/2012        | 2/5/2013  | \$ 18.40       | \$ 17.97   | \$ 0.43               | -                               | \$ 0.43                  |
| 0.31900 | AMED   | 5/1/2013         | 9/27/2013 | \$ 5.43        | \$ 3.12    | \$ 2.31               | -                               | \$ 2.31                  |
| 0.31948 | UHS    | 6/5/2012         | 2/19/2013 | \$ 18.25       | \$ 12.18   | \$ 6.08               | -                               | \$ 6.08                  |
| 0.32009 | SAVE   | 8/10/2012        | 6/20/2013 | \$ 10.48       | \$ 6.30    | \$ 4.18               | -                               | \$ 4.18                  |
| 0.32032 | A      | 11/5/2012        | 9/27/2013 | \$ 16.53       | \$ 11.91   | \$ 4.62               | -                               | \$ 4.62                  |
| 0.32080 | SIRO   | 10/23/2012       | 9/27/2013 | \$ 21.48       | \$ 17.52   | \$ 3.96               | -                               | \$ 3.96                  |
| 0.32082 | IWR    | 3/18/2013        | 6/24/2013 | \$ 40.27       | \$ 40.63   | \$ (0.36)             | -                               | \$ (0.36)                |
| 0.32102 | QCOM   | 11/5/2012        | 9/27/2013 | \$ 21.59       | \$ 19.28   | \$ 2.31               | -                               | \$ 2.31                  |
| 0.32254 | EWO    | 11/5/2012        | 3/20/2013 | \$ 5.78        | \$ 5.21    | \$ 0.57               | -                               | \$ 0.57                  |
| 0.32353 | WRB    | 11/12/2012       | 9/27/2013 | \$ 14.00       | \$ 12.49   | \$ 1.52               | -                               | \$ 1.52                  |
| 0.32418 | PTEN   | 3/6/2013         | 9/27/2013 | \$ 7.10        | \$ 7.55    | \$ (0.45)             | -                               | \$ (0.45)                |
| 0.32456 | IWM    | 4/26/2013        | 8/14/2013 | \$ 33.86       | \$ 30.16   | \$ 3.70               | -                               | \$ 3.70                  |
| 0.32464 | SCMR   | 7/17/2012        | 2/15/2013 | \$ 0.76        | \$ 0.57    | \$ 0.19               | -                               | \$ 0.19                  |
| 0.32687 | SCMR   | 6/5/2012         | 2/15/2013 | \$ 0.76        | \$ 0.14    | \$ 0.62               | -                               | \$ 0.62                  |
| 0.32721 | CBT    | 3/6/2013         | 9/27/2013 | \$ 13.96       | \$ 12.02   | \$ 1.94               | -                               | \$ 1.94                  |
| 0.32725 | EOG    | 10/15/2012       | 6/20/2013 | \$ 42.63       | \$ 35.85   | \$ 6.79               | -                               | \$ 6.79                  |
| 0.32850 | AIT    | 12/27/2012       | 9/27/2013 | \$ 16.86       | \$ 13.47   | \$ 3.39               | -                               | \$ 3.39                  |
| 0.32854 | IWF    | 3/6/2013         | 4/25/2013 | \$ 23.76       | \$ 23.13   | \$ 0.63               | -                               | \$ 0.63                  |
| 0.32906 | SFG    | 3/6/2013         | 9/27/2013 | \$ 18.18       | \$ 13.22   | \$ 4.96               | -                               | \$ 4.96                  |
| 0.32927 | GPC    | 10/5/2012        | 9/27/2013 | \$ 26.47       | \$ 20.76   | \$ 5.71               | -                               | \$ 5.71                  |
| 0.32979 | IWR    | 10/26/2012       | 3/8/2013  | \$ 41.41       | \$ 35.90   | \$ 5.51               | -                               | \$ 5.51                  |
| 0.33008 | PRXL   | 7/13/2012        | 7/2/2013  | \$ 15.62       | \$ 9.61    | \$ 6.02               | -                               | \$ 6.02                  |
| 0.33068 | AMG    | 11/27/2012       | 9/27/2013 | \$ 60.74       | \$ 42.20   | \$ 18.55              | -                               | \$ 18.55                 |
| 0.33177 | AAPL   | 10/15/2012       | 9/27/2013 | \$ 159.73      | \$ 208.72  | \$ (49.00)            | -                               | \$ (49.00)               |
| 0.33218 | GOOG   | 10/15/2012       | 6/20/2013 | \$ 294.23      | \$ 244.07  | \$ 50.16              | -                               | \$ 50.16                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.33275 | EWI    | 11/5/2012        | 3/20/2013 | \$ 4.12        | \$ 4.08    | \$ 0.04               | -                               | \$ 0.04                  |
| 0.33287 | CCK    | 2/1/2013         | 6/24/2013 | \$ 13.16       | \$ 12.84   | \$ 0.32               | -                               | \$ 0.32                  |
| 0.33300 | Q      | 5/14/2013        | 6/20/2013 | \$ 14.32       | \$ 14.77   | \$ (0.45)             | -                               | \$ (0.45)                |
| 0.33334 | DBV    | 11/5/2012        | 9/4/2013  | \$ 8.50        | \$ 8.55    | \$ (0.06)             | -                               | \$ (0.06)                |
| 0.33351 | EWN    | 11/5/2012        | 3/6/2013  | \$ 6.87        | \$ 6.34    | \$ 0.53               | -                               | \$ 0.53                  |
| 0.33355 | WCN    | 10/5/2012        | 9/27/2013 | \$ 14.85       | \$ 9.80    | \$ 5.05               | -                               | \$ 5.05                  |
| 0.33372 | CLI    | 1/28/2013        | 6/20/2013 | \$ 7.75        | \$ 9.12    | \$ (1.36)             | -                               | \$ (1.36)                |
| 0.33396 | MOLX   | 6/5/2012         | 4/24/2013 | \$ 8.78        | \$ 7.52    | \$ 1.25               | -                               | \$ 1.25                  |
| 0.33642 | CELG   | 11/5/2012        | 9/27/2013 | \$ 51.88       | \$ 24.57   | \$ 27.31              | -                               | \$ 27.31                 |
| 0.33646 | DOX    | 1/15/2013        | 9/27/2013 | \$ 12.46       | \$ 11.83   | \$ 0.63               | -                               | \$ 0.63                  |
| 0.33665 | IWR    | 9/17/2013        | 9/27/2013 | \$ 46.95       | \$ 47.16   | \$ (0.21)             | -                               | \$ (0.21)                |
| 0.33666 | PEP    | 10/5/2012        | 9/27/2013 | \$ 26.99       | \$ 23.94   | \$ 3.05               | -                               | \$ 3.05                  |
| 0.33753 | G      | 3/6/2013         | 9/27/2013 | \$ 6.45        | \$ 6.13    | \$ 0.32               | -                               | \$ 0.32                  |
| 0.33762 | IWR    | 10/26/2012       | 4/1/2013  | \$ 42.61       | \$ 36.75   | \$ 5.86               | -                               | \$ 5.86                  |
| 0.33919 | IWR    | 1/15/2013        | 5/28/2013 | \$ 45.54       | \$ 39.67   | \$ 5.87               | -                               | \$ 5.87                  |
| 0.34005 | PMTG   | 10/5/2012        | 9/27/2013 | \$ 9.67        | \$ 7.61    | \$ 2.06               | -                               | \$ 2.06                  |
| 0.34067 | CAM    | 10/5/2012        | 9/27/2013 | \$ 20.12       | \$ 18.85   | \$ 1.28               | -                               | \$ 1.28                  |
| 0.34068 | DRC    | 10/11/2012       | 4/8/2013  | \$ 19.70       | \$ 17.90   | \$ 1.80               | -                               | \$ 1.80                  |
| 0.34174 | IWM    | 9/26/2012        | 1/16/2013 | \$ 29.94       | \$ 28.37   | \$ 1.58               | -                               | \$ 1.58                  |
| 0.34191 | EA     | 11/5/2012        | 9/27/2013 | \$ 8.90        | \$ 4.48    | \$ 4.42               | -                               | \$ 4.42                  |
| 0.34204 | ALOG   | 3/6/2013         | 9/27/2013 | \$ 27.82       | \$ 25.34   | \$ 2.49               | -                               | \$ 2.49                  |
| 0.34217 | ERUS   | 11/5/2012        | 3/20/2013 | \$ 7.66        | \$ 7.41    | \$ 0.25               | -                               | \$ 0.25                  |
| 0.34279 | ABG    | 12/27/2012       | 8/26/2013 | \$ 17.10       | \$ 10.31   | \$ 6.78               | -                               | \$ 6.78                  |
| 0.34316 | IWR    | 9/14/2012        | 1/28/2013 | \$ 41.43       | \$ 39.43   | \$ 2.00               | -                               | \$ 2.00                  |
| 0.34479 | IWD    | 3/18/2013        | 5/2/2013  | \$ 28.31       | \$ 28.02   | \$ 0.29               | -                               | \$ 0.29                  |
| 0.34495 | ONXX   | 3/21/2013        | 9/27/2013 | \$ 43.01       | \$ 28.80   | \$ 14.22              | -                               | \$ 14.22                 |
| 0.34565 | YUM    | 11/12/2012       | 6/18/2013 | \$ 24.92       | \$ 24.99   | \$ (0.07)             | -                               | \$ (0.07)                |
| 0.34638 | MRC    | 3/6/2013         | 9/27/2013 | \$ 9.36        | \$ 10.36   | \$ (1.00)             | -                               | \$ (1.00)                |
| 0.34663 | KSU    | 9/6/2013         | 9/26/2013 | \$ 37.97       | \$ 37.71   | \$ 0.26               | -                               | \$ 0.26                  |
| 0.34686 | TPX    | 3/6/2013         | 9/27/2013 | \$ 15.21       | \$ 15.06   | \$ 0.15               | -                               | \$ 0.15                  |
| 0.34739 | SAVE   | 11/5/2012        | 9/27/2013 | \$ 11.99       | \$ 5.97    | \$ 6.02               | -                               | \$ 6.02                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.34741 | AGG    | 12/11/2012       | 6/6/2013  | \$ 37.74       | \$ 38.77   | \$ (1.03)             | -                               | \$ (1.03)                |
| 0.34772 | QCOM   | 5/1/2013         | 9/27/2013 | \$ 23.38       | \$ 21.47   | \$ 1.91               | -                               | \$ 1.91                  |
| 0.34777 | PMT    | 10/19/2012       | 9/26/2013 | \$ 7.83        | \$ 8.51    | \$ (0.68)             | -                               | \$ (0.68)                |
| 0.34780 | NVO    | 10/15/2012       | 6/20/2013 | \$ 53.66       | \$ 57.08   | \$ (3.42)             | -                               | \$ (3.42)                |
| 0.34795 | SDY    | 6/28/2013        | 9/27/2013 | \$ 24.05       | \$ 23.09   | \$ 0.97               | -                               | \$ 0.97                  |
| 0.34805 | SHLM   | 3/6/2013         | 9/13/2013 | \$ 9.73        | \$ 11.04   | \$ (1.31)             | -                               | \$ (1.31)                |
| 0.34806 | MCD    | 9/10/2012        | 6/20/2013 | \$ 33.71       | \$ 31.83   | \$ 1.88               | -                               | \$ 1.88                  |
| 0.34808 | SBAC   | 11/12/2012       | 9/27/2013 | \$ 27.72       | \$ 23.31   | \$ 4.41               | -                               | \$ 4.41                  |
| 0.34870 | FHN    | 1/15/2013        | 9/27/2013 | \$ 3.86        | \$ 3.46    | \$ 0.40               | -                               | \$ 0.40                  |
| 0.34888 | PRXL   | 8/10/2012        | 7/2/2013  | \$ 16.51       | \$ 9.21    | \$ 7.30               | -                               | \$ 7.30                  |
| 0.34907 | OKSB   | 3/6/2013         | 9/27/2013 | \$ 5.18        | \$ 4.51    | \$ 0.67               | -                               | \$ 0.67                  |
| 0.34924 | SLGN   | 8/10/2012        | 2/21/2013 | \$ 15.12       | \$ 14.16   | \$ 0.96               | -                               | \$ 0.96                  |
| 0.34924 | RGLD   | 9/6/2012         | 3/8/2013  | \$ 23.07       | \$ 31.32   | \$ (8.24)             | -                               | \$ (8.24)                |
| 0.34955 | MCRS   | 4/17/2013        | 9/27/2013 | \$ 17.52       | \$ 14.88   | \$ 2.65               | -                               | \$ 2.65                  |
| 0.35001 | DVN    | 10/5/2012        | 9/27/2013 | \$ 20.52       | \$ 21.27   | \$ (0.75)             | -                               | \$ (0.75)                |
| 0.35002 | CTSH   | 2/20/2013        | 9/27/2013 | \$ 28.95       | \$ 27.26   | \$ 1.69               | -                               | \$ 1.69                  |
| 0.35045 | SAVE   | 3/6/2013         | 9/27/2013 | \$ 12.09       | \$ 7.98    | \$ 4.12               | -                               | \$ 4.12                  |
| 0.35057 | HXL    | 1/15/2013        | 9/27/2013 | \$ 13.55       | \$ 9.75    | \$ 3.80               | -                               | \$ 3.80                  |
| 0.35160 | AAPL   | 1/15/2013        | 9/27/2013 | \$ 169.27      | \$ 172.31  | \$ (3.03)             | -                               | \$ (3.03)                |
| 0.35225 | IWR    | 3/6/2013         | 6/12/2013 | \$ 45.93       | \$ 44.03   | \$ 1.90               | -                               | \$ 1.90                  |
| 0.35234 | RCII   | 12/27/2012       | 6/24/2013 | \$ 13.08       | \$ 11.93   | \$ 1.15               | -                               | \$ 1.15                  |
| 0.35275 | CTSH   | 7/17/2012        | 4/25/2013 | \$ 22.36       | \$ 20.57   | \$ 1.79               | -                               | \$ 1.79                  |
| 0.35303 | HI     | 3/6/2013         | 9/27/2013 | \$ 9.66        | \$ 8.61    | \$ 1.05               | -                               | \$ 1.05                  |
| 0.35328 | USPH   | 8/10/2012        | 6/20/2013 | \$ 10.39       | \$ 9.25    | \$ 1.15               | -                               | \$ 1.15                  |
| 0.35334 | IWM    | 10/5/2012        | 2/20/2013 | \$ 32.55       | \$ 30.03   | \$ 2.52               | -                               | \$ 2.52                  |
| 0.35344 | SAVE   | 10/5/2012        | 9/27/2013 | \$ 12.20       | \$ 6.35    | \$ 5.85               | -                               | \$ 5.85                  |
| 0.35353 | ABG    | 11/6/2012        | 6/20/2013 | \$ 13.62       | \$ 11.11   | \$ 2.52               | -                               | \$ 2.52                  |
| 0.35408 | CACHR  | 7/17/2012        | 4/19/2013 | \$ 0.66        | \$ 1.08    | \$ (0.42)             | -                               | \$ (0.42)                |
| 0.35437 | EZA    | 2/20/2013        | 3/20/2013 | \$ 22.54       | \$ 23.66   | \$ (1.12)             | -                               | \$ (1.12)                |
| 0.35503 | ADSK   | 6/6/2012         | 2/19/2013 | \$ 13.83       | \$ 11.62   | \$ 2.21               | -                               | \$ 2.21                  |
| 0.35545 | DD     | 10/5/2012        | 9/27/2013 | \$ 21.02       | \$ 17.96   | \$ 3.06               | -                               | \$ 3.06                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.35560 | VTR    | 11/5/2012        | 9/27/2013 | \$ 22.21       | \$ 22.52   | \$ (0.30)             | -                               | \$ (0.30)                |
| 0.35662 | TRV    | 7/17/2012        | 4/29/2013 | \$ 30.29       | \$ 22.53   | \$ 7.76               | -                               | \$ 7.76                  |
| 0.35719 | TRV    | 2/20/2013        | 4/29/2013 | \$ 30.34       | \$ 28.81   | \$ 1.53               | -                               | \$ 1.53                  |
| 0.35723 | LTM    | 11/12/2012       | 6/3/2013  | \$ 17.69       | \$ 16.02   | \$ 1.66               | -                               | \$ 1.66                  |
| 0.35760 | AGCO   | 11/12/2012       | 6/3/2013  | \$ 19.84       | \$ 16.02   | \$ 3.81               | -                               | \$ 3.81                  |
| 0.35883 | EWM    | 10/5/2012        | 1/8/2013  | \$ 5.43        | \$ 5.41    | \$ 0.02               | -                               | \$ 0.02                  |
| 0.36052 | IWM    | 1/4/2013         | 5/1/2013  | \$ 33.41       | \$ 31.47   | \$ 1.94               | -                               | \$ 1.94                  |
| 0.36062 | BA     | 6/5/2012         | 3/13/2013 | \$ 30.35       | \$ 24.16   | \$ 6.19               | -                               | \$ 6.19                  |
| 0.36063 | NOV    | 10/5/2012        | 9/27/2013 | \$ 28.19       | \$ 29.04   | \$ (0.85)             | -                               | \$ (0.85)                |
| 0.36109 | RCII   | 11/12/2012       | 6/20/2013 | \$ 13.47       | \$ 12.29   | \$ 1.19               | -                               | \$ 1.19                  |
| 0.36151 | CIT    | 10/5/2012        | 9/27/2013 | \$ 17.49       | \$ 14.65   | \$ 2.85               | -                               | \$ 2.85                  |
| 0.36217 | VTR    | 10/5/2012        | 9/27/2013 | \$ 22.62       | \$ 22.80   | \$ (0.17)             | -                               | \$ (0.17)                |
| 0.36249 | PRXL   | 3/6/2013         | 9/27/2013 | \$ 18.12       | \$ 13.16   | \$ 4.97               | -                               | \$ 4.97                  |
| 0.36292 | LMCA   | 9/6/2013         | 9/27/2013 | \$ 53.93       | \$ 52.37   | \$ 1.56               | -                               | \$ 1.56                  |
| 0.36305 | XOM    | 10/5/2012        | 9/27/2013 | \$ 31.52       | \$ 33.75   | \$ (2.23)             | -                               | \$ (2.23)                |
| 0.36323 | DRIV   | 3/6/2013         | 9/27/2013 | \$ 6.40        | \$ 5.43    | \$ 0.97               | -                               | \$ 0.97                  |
| 0.36399 | TEX    | 5/1/2013         | 9/27/2013 | \$ 12.35       | \$ 10.12   | \$ 2.23               | -                               | \$ 2.23                  |
| 0.36416 | MASI   | 11/12/2012       | 9/27/2013 | \$ 9.65        | \$ 7.70    | \$ 1.95               | -                               | \$ 1.95                  |
| 0.36491 | AMG    | 4/24/2013        | 9/27/2013 | \$ 67.03       | \$ 54.28   | \$ 12.75              | -                               | \$ 12.75                 |
| 0.36523 | BPFH   | 11/5/2012        | 9/27/2013 | \$ 4.00        | \$ 3.41    | \$ 0.59               | -                               | \$ 0.59                  |
| 0.36526 | SLXP   | 11/12/2012       | 9/27/2013 | \$ 24.60       | \$ 14.80   | \$ 9.80               | -                               | \$ 9.80                  |
| 0.36590 | ECL    | 1/15/2013        | 9/27/2013 | \$ 35.93       | \$ 26.78   | \$ 9.15               | -                               | \$ 9.15                  |
| 0.36591 | INDY   | 1/15/2013        | 3/20/2013 | \$ 8.81        | \$ 9.30    | \$ (0.49)             | -                               | \$ (0.49)                |
| 0.36613 | HBHC   | 11/12/2012       | 9/27/2013 | \$ 11.43       | \$ 11.20   | \$ 0.23               | -                               | \$ 0.23                  |
| 0.36648 | EWA    | 11/5/2012        | 3/20/2013 | \$ 9.86        | \$ 8.90    | \$ 0.96               | -                               | \$ 0.96                  |
| 0.36666 | DPZ    | 12/17/2012       | 3/12/2013 | \$ 18.28       | \$ 15.58   | \$ 2.70               | -                               | \$ 2.70                  |
| 0.36711 | SKT    | 8/10/2012        | 1/8/2013  | \$ 12.70       | \$ 12.14   | \$ 0.56               | -                               | \$ 0.56                  |
| 0.36781 | GOOG   | 11/12/2012       | 9/27/2013 | \$ 321.92      | \$ 244.96  | \$ 76.97              | -                               | \$ 76.97                 |
| 0.36820 | JACK   | 3/6/2013         | 9/27/2013 | \$ 14.79       | \$ 12.03   | \$ 2.76               | -                               | \$ 2.76                  |
| 0.36841 | TMK    | 11/27/2012       | 6/20/2013 | \$ 23.87       | \$ 19.08   | \$ 4.79               | -                               | \$ 4.79                  |
| 0.36851 | WTS    | 3/6/2013         | 9/27/2013 | \$ 20.75       | \$ 17.73   | \$ 3.02               | -                               | \$ 3.02                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.36897 | BEN    | 11/5/2012        | 9/27/2013 | \$ 18.73       | \$ 16.01   | \$ 2.72               | -                               | \$ 2.72                  |
| 0.36905 | AIV    | 1/15/2013        | 9/27/2013 | \$ 10.36       | \$ 10.17   | \$ 0.19               | -                               | \$ 0.19                  |
| 0.36977 | ASCMA  | 11/12/2012       | 9/27/2013 | \$ 29.62       | \$ 22.40   | \$ 7.22               | -                               | \$ 7.22                  |
| 0.37013 | AVT    | 3/6/2013         | 9/27/2013 | \$ 15.47       | \$ 13.05   | \$ 2.41               | -                               | \$ 2.41                  |
| 0.37086 | IWR    | 12/7/2012        | 4/9/2013  | \$ 46.67       | \$ 41.43   | \$ 5.24               | -                               | \$ 5.24                  |
| 0.37131 | ARW    | 1/15/2013        | 9/27/2013 | \$ 17.89       | \$ 14.37   | \$ 3.53               | -                               | \$ 3.53                  |
| 0.37188 | IWM    | 5/8/2013         | 8/30/2013 | \$ 37.63       | \$ 35.78   | \$ 1.85               | -                               | \$ 1.85                  |
| 0.37276 | CMA    | 8/10/2012        | 3/12/2013 | \$ 13.60       | \$ 11.38   | \$ 2.23               | -                               | \$ 2.23                  |
| 0.37333 | SNA    | 12/27/2012       | 9/27/2013 | \$ 37.16       | \$ 28.88   | \$ 8.28               | -                               | \$ 8.28                  |
| 0.37355 | DPZ    | 12/31/2012       | 3/12/2013 | \$ 18.63       | \$ 16.08   | \$ 2.54               | -                               | \$ 2.54                  |
| 0.37364 | QCOM   | 2/20/2013        | 9/27/2013 | \$ 25.13       | \$ 24.59   | \$ 0.54               | -                               | \$ 0.54                  |
| 0.37365 | UNH    | 6/5/2012         | 3/13/2013 | \$ 20.35       | \$ 20.70   | \$ (0.34)             | -                               | \$ (0.34)                |
| 0.37394 | CTSH   | 11/12/2012       | 9/27/2013 | \$ 30.93       | \$ 24.47   | \$ 6.45               | -                               | \$ 6.45                  |
| 0.37447 | DOX    | 10/5/2012        | 9/27/2013 | \$ 13.87       | \$ 12.37   | \$ 1.50               | -                               | \$ 1.50                  |
| 0.37508 | RGA    | 7/17/2012        | 2/5/2013  | \$ 21.64       | \$ 21.46   | \$ 0.18               | -                               | \$ 0.18                  |
| 0.37701 | PRE    | 6/27/2013        | 9/27/2013 | \$ 34.52       | \$ 33.97   | \$ 0.55               | -                               | \$ 0.55                  |
| 0.37777 | IWM    | 9/26/2012        | 1/28/2013 | \$ 33.88       | \$ 31.36   | \$ 2.52               | -                               | \$ 2.52                  |
| 0.37788 | IWR    | 2/11/2013        | 6/12/2013 | \$ 49.27       | \$ 46.34   | \$ 2.93               | -                               | \$ 2.93                  |
| 0.37801 | IWR    | 3/13/2013        | 6/12/2013 | \$ 49.29       | \$ 47.70   | \$ 1.58               | -                               | \$ 1.58                  |
| 0.37849 | IWR    | 3/12/2013        | 6/12/2013 | \$ 49.35       | \$ 47.60   | \$ 1.75               | -                               | \$ 1.75                  |
| 0.37925 | PWR    | 8/10/2012        | 5/29/2013 | \$ 10.81       | \$ 9.28    | \$ 1.53               | -                               | \$ 1.53                  |
| 0.37945 | PCP    | 3/6/2013         | 9/27/2013 | \$ 86.44       | \$ 72.17   | \$ 14.28              | -                               | \$ 14.28                 |
| 0.37984 | IWR    | 12/7/2012        | 4/1/2013  | \$ 47.94       | \$ 42.43   | \$ 5.51               | -                               | \$ 5.51                  |
| 0.38008 | ATML   | 2/14/2013        | 9/27/2013 | \$ 2.78        | \$ 2.61    | \$ 0.17               | -                               | \$ 0.17                  |
| 0.38048 | FTI    | 3/19/2013        | 9/27/2013 | \$ 21.05       | \$ 19.42   | \$ 1.63               | -                               | \$ 1.63                  |
| 0.38119 | SEE    | 3/6/2013         | 9/26/2013 | \$ 10.41       | \$ 8.70    | \$ 1.71               | -                               | \$ 1.71                  |
| 0.38152 | MEAS   | 3/6/2013         | 9/27/2013 | \$ 20.72       | \$ 13.99   | \$ 6.73               | -                               | \$ 6.73                  |
| 0.38223 | AWI    | 11/9/2012        | 6/20/2013 | \$ 18.05       | \$ 19.33   | \$ (1.28)             | -                               | \$ (1.28)                |
| 0.38262 | AAPL   | 2/20/2013        | 9/27/2013 | \$ 184.21      | \$ 173.45  | \$ 10.75              | -                               | \$ 10.75                 |
| 0.38275 | RGA    | 8/16/2012        | 6/20/2013 | \$ 25.60       | \$ 22.02   | \$ 3.58               | -                               | \$ 3.58                  |
| 0.38334 | CBT    | 6/6/2012         | 3/21/2013 | \$ 13.28       | \$ 14.10   | \$ (0.82)             | -                               | \$ (0.82)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.38410 | AMED   | 11/12/2012       | 9/27/2013 | \$ 6.54        | \$ 3.91    | \$ 2.63               | -                               | \$ 2.63                  |
| 0.38482 | AAPL   | 1/15/2013        | 9/27/2013 | \$ 185.27      | \$ 188.59  | \$ (3.32)             | -                               | \$ (3.32)                |
| 0.38490 | SLGN   | 7/17/2012        | 2/21/2013 | \$ 16.66       | \$ 17.17   | \$ (0.51)             | -                               | \$ (0.51)                |
| 0.38534 | STR    | 1/15/2013        | 6/10/2013 | \$ 9.38        | \$ 8.22    | \$ 1.15               | -                               | \$ 1.15                  |
| 0.38538 | CCK    | 1/15/2013        | 6/24/2013 | \$ 15.24       | \$ 14.58   | \$ 0.66               | -                               | \$ 0.66                  |
| 0.38577 | AGG    | 8/16/2012        | 5/6/2013  | \$ 42.76       | \$ 42.93   | \$ (0.17)             | -                               | \$ (0.17)                |
| 0.38625 | TJX    | 2/20/2013        | 9/27/2013 | \$ 21.80       | \$ 17.42   | \$ 4.38               | -                               | \$ 4.38                  |
| 0.38700 | RJF    | 11/12/2012       | 9/27/2013 | \$ 16.25       | \$ 14.60   | \$ 1.65               | -                               | \$ 1.65                  |
| 0.38702 | EAT    | 3/6/2013         | 7/16/2013 | \$ 15.67       | \$ 13.57   | \$ 2.10               | -                               | \$ 2.10                  |
| 0.38707 | IWF    | 11/5/2012        | 1/9/2013  | \$ 26.01       | \$ 25.13   | \$ 0.88               | -                               | \$ 0.88                  |
| 0.38715 | IWM    | 9/10/2012        | 1/7/2013  | \$ 33.57       | \$ 32.61   | \$ 0.96               | -                               | \$ 0.96                  |
| 0.38739 | RAIL   | 11/12/2012       | 9/27/2013 | \$ 8.15        | \$ 7.29    | \$ 0.86               | -                               | \$ 0.86                  |
| 0.38759 | YUM    | 2/20/2013        | 7/15/2013 | \$ 27.89       | \$ 25.25   | \$ 2.64               | -                               | \$ 2.64                  |
| 0.38759 | JNK    | 9/11/2013        | 9/27/2013 | \$ 15.46       | \$ 15.34   | \$ 0.13               | -                               | \$ 0.13                  |
| 0.38768 | CBT    | 11/12/2012       | 5/8/2013  | \$ 14.76       | \$ 13.53   | \$ 1.24               | -                               | \$ 1.24                  |
| 0.38826 | IWR    | 12/3/2012        | 4/1/2013  | \$ 49.00       | \$ 43.22   | \$ 5.78               | -                               | \$ 5.78                  |
| 0.38997 | WPP    | 5/1/2013         | 9/27/2013 | \$ 5.03        | \$ 3.87    | \$ 1.15               | -                               | \$ 1.15                  |
| 0.39010 | CIT    | 2/20/2013        | 9/27/2013 | \$ 18.88       | \$ 16.68   | \$ 2.19               | -                               | \$ 2.19                  |
| 0.39033 | IWR    | 9/26/2012        | 2/1/2013  | \$ 47.57       | \$ 42.82   | \$ 4.76               | -                               | \$ 4.76                  |
| 0.39090 | EAT    | 2/19/2013        | 6/20/2013 | \$ 15.56       | \$ 12.46   | \$ 3.10               | -                               | \$ 3.10                  |
| 0.39127 | LULU   | 2/20/2013        | 3/22/2013 | \$ 24.40       | \$ 27.53   | \$ (3.13)             | -                               | \$ (3.13)                |
| 0.39156 | WCN    | 3/6/2013         | 9/27/2013 | \$ 17.43       | \$ 13.47   | \$ 3.96               | -                               | \$ 3.96                  |
| 0.39280 | AMTD   | 11/5/2012        | 3/14/2013 | \$ 8.28        | \$ 6.32    | \$ 1.96               | -                               | \$ 1.96                  |
| 0.39307 | EZA    | 11/12/2012       | 3/20/2013 | \$ 25.00       | \$ 26.00   | \$ (1.00)             | -                               | \$ (1.00)                |
| 0.39398 | CFN    | 1/15/2013        | 9/27/2013 | \$ 14.53       | \$ 11.69   | \$ 2.84               | -                               | \$ 2.84                  |
| 0.39411 | DE     | 8/10/2012        | 2/8/2013  | \$ 36.52       | \$ 30.69   | \$ 5.84               | -                               | \$ 5.84                  |
| 0.39525 | CMI    | 10/19/2012       | 9/27/2013 | \$ 52.72       | \$ 36.25   | \$ 16.47              | -                               | \$ 16.47                 |
| 0.39548 | AUY    | 1/15/2013        | 9/27/2013 | \$ 4.13        | \$ 6.92    | \$ (2.80)             | -                               | \$ (2.80)                |
| 0.39556 | IWM    | 12/3/2012        | 4/1/2013  | \$ 36.73       | \$ 32.40   | \$ 4.33               | -                               | \$ 4.33                  |
| 0.39685 | ERIE   | 6/6/2012         | 4/16/2013 | \$ 29.86       | \$ 28.03   | \$ 1.84               | -                               | \$ 1.84                  |
| 0.39750 | MINT   | 6/21/2013        | 6/28/2013 | \$ 40.24       | \$ 40.30   | \$ (0.06)             | -                               | \$ (0.06)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.39813 | SAVE   | 8/1/2012         | 2/21/2013 | \$ 8.12        | \$ 8.10    | \$ 0.01               | -                               | \$ 0.01                  |
| 0.39817 | THD    | 11/5/2012        | 3/20/2013 | \$ 36.44       | \$ 30.08   | \$ 6.36               | -                               | \$ 6.36                  |
| 0.39884 | CIT    | 11/12/2012       | 1/10/2013 | \$ 15.86       | \$ 14.81   | \$ 1.06               | -                               | \$ 1.06                  |
| 0.39964 | BIIB   | 3/6/2013         | 9/27/2013 | \$ 97.40       | \$ 68.24   | \$ 29.15              | -                               | \$ 29.15                 |
| 0.40084 | BVI    | 10/23/2012       | 7/24/2013 | \$ 28.20       | \$ 19.10   | \$ 9.10               | -                               | \$ 9.10                  |
| 0.40121 | EZA    | 7/17/2012        | 3/20/2013 | \$ 25.52       | \$ 25.78   | \$ (0.27)             | -                               | \$ (0.27)                |
| 0.40203 | HAR    | 12/27/2012       | 9/27/2013 | \$ 26.62       | \$ 17.33   | \$ 9.29               | -                               | \$ 9.29                  |
| 0.40466 | WCN    | 8/13/2012        | 4/4/2013  | \$ 14.29       | \$ 12.13   | \$ 2.16               | -                               | \$ 2.16                  |
| 0.40524 | IWR    | 4/24/2013        | 8/15/2013 | \$ 54.89       | \$ 51.50   | \$ 3.39               | -                               | \$ 3.39                  |
| 0.40534 | SKT    | 7/17/2012        | 1/8/2013  | \$ 14.02       | \$ 13.30   | \$ 0.72               | -                               | \$ 0.72                  |
| 0.40607 | PMTC   | 10/23/2012       | 9/27/2013 | \$ 11.55       | \$ 8.16    | \$ 3.38               | -                               | \$ 3.38                  |
| 0.40757 | NU     | 2/20/2013        | 9/27/2013 | \$ 16.92       | \$ 16.84   | \$ 0.07               | -                               | \$ 0.07                  |
| 0.40874 | ATML   | 10/5/2012        | 9/27/2013 | \$ 2.99        | \$ 2.19    | \$ 0.80               | -                               | \$ 0.80                  |
| 0.40946 | IWM    | 12/7/2012        | 4/9/2013  | \$ 37.78       | \$ 33.61   | \$ 4.16               | -                               | \$ 4.16                  |
| 0.40995 | RBC    | 7/25/2012        | 6/20/2013 | \$ 26.32       | \$ 26.71   | \$ (0.39)             | -                               | \$ (0.39)                |
| 0.41075 | GPC    | 2/20/2013        | 9/27/2013 | \$ 33.02       | \$ 28.54   | \$ 4.49               | -                               | \$ 4.49                  |
| 0.41098 | IWM    | 12/4/2012        | 4/25/2013 | \$ 38.53       | \$ 33.58   | \$ 4.96               | -                               | \$ 4.96                  |
| 0.41238 | IP     | 10/5/2012        | 9/27/2013 | \$ 18.76       | \$ 15.41   | \$ 3.35               | -                               | \$ 3.35                  |
| 0.41282 | AXP    | 10/5/2012        | 6/19/2013 | \$ 30.87       | \$ 24.20   | \$ 6.67               | -                               | \$ 6.67                  |
| 0.41308 | LIFE   | 12/27/2012       | 9/27/2013 | \$ 30.94       | \$ 20.01   | \$ 10.93              | -                               | \$ 10.93                 |
| 0.41330 | V      | 3/6/2013         | 9/27/2013 | \$ 79.71       | \$ 66.70   | \$ 13.01              | -                               | \$ 13.01                 |
| 0.41496 | IWR    | 1/8/2013         | 5/2/2013  | \$ 53.20       | \$ 48.03   | \$ 5.17               | -                               | \$ 5.17                  |
| 0.41562 | BA     | 2/20/2013        | 9/27/2013 | \$ 49.20       | \$ 31.62   | \$ 17.58              | -                               | \$ 17.58                 |
| 0.41628 | BEN    | 2/20/2013        | 9/27/2013 | \$ 21.13       | \$ 20.08   | \$ 1.06               | -                               | \$ 1.06                  |
| 0.41637 | VRSN   | 11/12/2012       | 4/8/2013  | \$ 19.20       | \$ 17.51   | \$ 1.69               | -                               | \$ 1.69                  |
| 0.41811 | PMCS   | 10/5/2012        | 1/14/2013 | \$ 2.23        | \$ 2.30    | \$ (0.07)             | -                               | \$ (0.07)                |
| 0.41852 | RWX    | 3/19/2013        | 9/27/2013 | \$ 17.65       | \$ 17.64   | \$ 0.01               | -                               | \$ 0.01                  |
| 0.42030 | HCC    | 11/12/2012       | 9/27/2013 | \$ 18.45       | \$ 14.78   | \$ 3.68               | -                               | \$ 3.68                  |
| 0.42063 | ERIE   | 5/8/2013         | 9/27/2013 | \$ 30.92       | \$ 34.15   | \$ (3.23)             | -                               | \$ (3.23)                |
| 0.42093 | JDSU   | 3/6/2013         | 9/27/2013 | \$ 6.15        | \$ 5.91    | \$ 0.25               | -                               | \$ 0.25                  |
| 0.42095 | ROST   | 11/12/2012       | 9/3/2013  | \$ 28.80       | \$ 23.20   | \$ 5.60               | -                               | \$ 5.60                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.42098 | BYI    | 12/27/2012    | 9/26/2013 | \$ 31.37       | \$ 18.42   | \$ 12.94           | -                         | \$ 12.94              |
| 0.42189 | ECL    | 10/15/2012    | 6/20/2013 | \$ 35.49       | \$ 28.75   | \$ 6.74            | -                         | \$ 6.74               |
| 0.42283 | BA     | 10/5/2012     | 9/27/2013 | \$ 50.05       | \$ 30.06   | \$ 20.00           | -                         | \$ 20.00              |
| 0.42357 | ALXN   | 3/19/2013     | 9/27/2013 | \$ 49.45       | \$ 39.43   | \$ 10.02           | -                         | \$ 10.02              |
| 0.42386 | WMGI   | 10/10/2012    | 6/20/2013 | \$ 10.98       | \$ 9.16    | \$ 1.82            | -                         | \$ 1.82               |
| 0.42486 | SLGN   | 11/12/2012    | 2/21/2013 | \$ 18.39       | \$ 18.10   | \$ 0.29            | -                         | \$ 0.29               |
| 0.42544 | RCII   | 7/17/2012     | 5/8/2013  | \$ 15.36       | \$ 14.33   | \$ 1.03            | -                         | \$ 1.03               |
| 0.42570 | VRSN   | 12/3/2012     | 6/20/2013 | \$ 18.69       | \$ 15.47   | \$ 3.22            | -                         | \$ 3.22               |
| 0.42706 | SDY    | 7/5/2013      | 9/27/2013 | \$ 29.52       | \$ 28.42   | \$ 1.10            | -                         | \$ 1.10               |
| 0.42764 | QCOM   | 10/5/2012     | 9/27/2013 | \$ 28.76       | \$ 27.20   | \$ 1.56            | -                         | \$ 1.56               |
| 0.42778 | DE     | 7/17/2012     | 2/8/2013  | \$ 39.64       | \$ 32.38   | \$ 7.26            | -                         | \$ 7.26               |
| 0.42784 | GILD   | 11/5/2012     | 9/27/2013 | \$ 27.13       | \$ 14.25   | \$ 12.88           | -                         | \$ 12.88              |
| 0.42836 | TFX    | 11/12/2012    | 9/27/2013 | \$ 35.01       | \$ 29.11   | \$ 5.90            | -                         | \$ 5.90               |
| 0.42878 | IYR    | 3/19/2013     | 9/27/2013 | \$ 27.60       | \$ 29.56   | \$ (1.96)          | -                         | \$ (1.96)             |
| 0.42953 | AXP    | 8/10/2012     | 6/19/2013 | \$ 32.12       | \$ 24.09   | \$ 8.03            | -                         | \$ 8.03               |
| 0.42999 | IR     | 10/5/2012     | 9/27/2013 | \$ 28.17       | \$ 19.81   | \$ 8.36            | -                         | \$ 8.36               |
| 0.43036 | BERY   | 1/15/2013     | 9/27/2013 | \$ 8.64        | \$ 7.14    | \$ 1.50            | -                         | \$ 1.50               |
| 0.43114 | LVS    | 2/20/2013     | 8/27/2013 | \$ 23.74       | \$ 21.79   | \$ 1.95            | -                         | \$ 1.95               |
| 0.43151 | AIT    | 6/10/2013     | 9/27/2013 | \$ 22.14       | \$ 20.96   | \$ 1.18            | -                         | \$ 1.18               |
| 0.43174 | MOLX   | 7/17/2012     | 4/26/2013 | \$ 11.61       | \$ 9.97    | \$ 1.64            | -                         | \$ 1.64               |
| 0.43180 | BERY   | 11/12/2012    | 9/27/2013 | \$ 8.67        | \$ 6.01    | \$ 2.66            | -                         | \$ 2.66               |
| 0.43184 | CYN    | 11/12/2012    | 9/27/2013 | \$ 28.50       | \$ 20.98   | \$ 7.52            | -                         | \$ 7.52               |
| 0.43226 | GILD   | 10/5/2012     | 9/27/2013 | \$ 27.41       | \$ 15.12   | \$ 12.29           | -                         | \$ 12.29              |
| 0.43399 | WWV    | 12/7/2012     | 2/19/2013 | \$ 19.35       | \$ 18.10   | \$ 1.25            | -                         | \$ 1.25               |
| 0.43627 | NIHD   | 1/15/2013     | 3/8/2013  | \$ 2.06        | \$ 2.67    | \$ (0.61)          | -                         | \$ (0.61)             |
| 0.43750 | STR    | 8/6/2012      | 5/29/2013 | \$ 10.39       | \$ 8.90    | \$ 1.49            | -                         | \$ 1.49               |
| 0.43780 | IR     | 3/19/2013     | 9/27/2013 | \$ 28.68       | \$ 24.18   | \$ 4.51            | -                         | \$ 4.51               |
| 0.43846 | EWL    | 10/5/2012     | 3/20/2013 | \$ 12.95       | \$ 11.19   | \$ 1.76            | -                         | \$ 1.76               |
| 0.43995 | IGT    | 11/5/2012     | 9/27/2013 | \$ 8.56        | \$ 5.71    | \$ 2.85            | -                         | \$ 2.85               |
| 0.44005 | NIHD   | 12/31/2012    | 3/8/2013  | \$ 2.08        | \$ 3.08    | \$ (1.01)          | -                         | \$ (1.01)             |
| 0.44008 | ALXN   | 8/10/2012     | 4/24/2013 | \$ 39.48       | \$ 45.46   | \$ (5.98)          | -                         | \$ (5.98)             |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.44162 | NOV    | 2/20/2013        | 9/27/2013 | \$ 34.52       | \$ 30.42   | \$ 4.10               | -                               | \$ 4.10                  |
| 0.44167 | JACK   | 12/27/2012       | 9/27/2013 | \$ 17.74       | \$ 12.50   | \$ 5.24               | -                               | \$ 5.24                  |
| 0.44176 | CMA    | 6/6/2012         | 2/14/2013 | \$ 15.59       | \$ 12.63   | \$ 2.96               | -                               | \$ 2.96                  |
| 0.44178 | VNTV   | 7/17/2012        | 6/27/2013 | \$ 12.39       | \$ 10.20   | \$ 2.19               | -                               | \$ 2.19                  |
| 0.44186 | ANN    | 3/6/2013         | 9/27/2013 | \$ 16.05       | \$ 12.88   | \$ 3.17               | -                               | \$ 3.17                  |
| 0.44219 | IWM    | 4/16/2013        | 7/31/2013 | \$ 45.94       | \$ 40.32   | \$ 5.62               | -                               | \$ 5.62                  |
| 0.44353 | JNK    | 8/7/2013         | 9/27/2013 | \$ 17.69       | \$ 17.63   | \$ 0.06               | -                               | \$ 0.06                  |
| 0.44479 | PRGO   | 2/20/2013        | 9/27/2013 | \$ 55.00       | \$ 51.13   | \$ 3.87               | -                               | \$ 3.87                  |
| 0.44491 | ECL    | 11/12/2012       | 9/27/2013 | \$ 43.69       | \$ 31.10   | \$ 12.59              | -                               | \$ 12.59                 |
| 0.44547 | IWR    | 4/4/2013         | 7/24/2013 | \$ 60.93       | \$ 55.63   | \$ 5.29               | -                               | \$ 5.29                  |
| 0.44582 | STR    | 11/5/2012        | 6/10/2013 | \$ 10.85       | \$ 8.95    | \$ 1.90               | -                               | \$ 1.90                  |
| 0.44609 | PMTG   | 1/15/2013        | 9/27/2013 | \$ 12.69       | \$ 10.39   | \$ 2.30               | -                               | \$ 2.30                  |
| 0.44622 | WCN    | 1/15/2013        | 9/27/2013 | \$ 19.87       | \$ 15.29   | \$ 4.57               | -                               | \$ 4.57                  |
| 0.44685 | IWM    | 3/14/2013        | 6/20/2013 | \$ 42.68       | \$ 42.17   | \$ 0.51               | -                               | \$ 0.51                  |
| 0.44699 | EWN    | 1/15/2013        | 3/20/2013 | \$ 9.36        | \$ 9.30    | \$ 0.06               | -                               | \$ 0.06                  |
| 0.44772 | ABV    | 6/5/2012         | 4/8/2013  | \$ 18.66       | \$ 13.96   | \$ 4.70               | -                               | \$ 4.70                  |
| 0.44956 | CMCSK  | 11/5/2012        | 9/27/2013 | \$ 19.35       | \$ 16.20   | \$ 3.15               | -                               | \$ 3.15                  |
| 0.44983 | KSS    | 11/5/2012        | 9/27/2013 | \$ 23.40       | \$ 24.60   | \$ (1.21)             | -                               | \$ (1.21)                |
| 0.45008 | DNR    | 1/15/2013        | 9/27/2013 | \$ 8.35        | \$ 7.79    | \$ 0.56               | -                               | \$ 0.56                  |
| 0.45013 | EMC    | 10/5/2012        | 5/14/2013 | \$ 10.36       | \$ 12.42   | \$ (2.06)             | -                               | \$ (2.06)                |
| 0.45051 | FIS    | 2/20/2013        | 9/27/2013 | \$ 20.91       | \$ 16.66   | \$ 4.25               | -                               | \$ 4.25                  |
| 0.45141 | RS     | 11/12/2012       | 9/27/2013 | \$ 32.98       | \$ 25.03   | \$ 7.95               | -                               | \$ 7.95                  |
| 0.45208 | ONXX   | 1/7/2013         | 9/27/2013 | \$ 56.37       | \$ 36.74   | \$ 19.63              | -                               | \$ 19.63                 |
| 0.45338 | FAST   | 9/6/2013         | 9/27/2013 | \$ 22.59       | \$ 21.80   | \$ 0.79               | -                               | \$ 0.79                  |
| 0.45344 | DRC    | 11/12/2012       | 4/24/2013 | \$ 25.63       | \$ 23.31   | \$ 2.32               | -                               | \$ 2.32                  |
| 0.45357 | ALXN   | 1/30/2013        | 6/20/2013 | \$ 40.40       | \$ 43.51   | \$ (3.11)             | -                               | \$ (3.11)                |
| 0.45525 | UNH    | 10/5/2012        | 9/27/2013 | \$ 32.74       | \$ 26.11   | \$ 6.63               | -                               | \$ 6.63                  |
| 0.45622 | STR    | 10/5/2012        | 6/10/2013 | \$ 11.10       | \$ 9.31    | \$ 1.79               | -                               | \$ 1.79                  |
| 0.45670 | OIS    | 6/6/2012         | 2/14/2013 | \$ 36.95       | \$ 29.72   | \$ 7.23               | -                               | \$ 7.23                  |
| 0.45745 | ABG    | 11/12/2012       | 8/26/2013 | \$ 22.82       | \$ 13.53   | \$ 9.29               | -                               | \$ 9.29                  |
| 0.45768 | VOLC   | 3/6/2013         | 9/27/2013 | \$ 10.76       | \$ 10.03   | \$ 0.73               | -                               | \$ 0.73                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.45795 | DHR    | 6/5/2012         | 3/13/2013 | \$ 28.47       | \$ 22.75   | \$ 5.72               | \$ -                            | \$ 5.72                  |
| 0.45799 | EMC    | 11/5/2012        | 9/27/2013 | \$ 11.95       | \$ 11.34   | \$ 0.61               | \$ -                            | \$ 0.61                  |
| 0.45818 | MASI   | 12/27/2012       | 9/27/2013 | \$ 12.14       | \$ 9.19    | \$ 2.96               | \$ -                            | \$ 2.96                  |
| 0.45821 | IWM    | 9/10/2012        | 1/2/2013  | \$ 39.56       | \$ 38.56   | \$ 1.00               | \$ -                            | \$ 1.00                  |
| 0.45848 | EXPD   | 11/5/2012        | 5/3/2013  | \$ 17.02       | \$ 17.01   | \$ 0.01               | \$ -                            | \$ 0.01                  |
| 0.45914 | EOG    | 3/6/2013         | 9/27/2013 | \$ 78.55       | \$ 57.41   | \$ 21.14              | \$ -                            | \$ 21.14                 |
| 0.45915 | NIHD   | 8/10/2012        | 3/8/2013  | \$ 2.17        | \$ 3.21    | \$ (1.05)             | \$ -                            | \$ (1.05)                |
| 0.46101 | CHKP   | 3/19/2013        | 9/27/2013 | \$ 26.12       | \$ 22.80   | \$ 3.32               | \$ -                            | \$ 3.32                  |
| 0.46123 | SAVE   | 7/17/2012        | 2/21/2013 | \$ 9.40        | \$ 10.33   | \$ (0.93)             | \$ -                            | \$ (0.93)                |
| 0.46124 | PRE    | 11/12/2012       | 9/27/2013 | \$ 42.23       | \$ 36.45   | \$ 5.78               | \$ -                            | \$ 5.78                  |
| 0.46201 | IWM    | 10/15/2012       | 2/28/2013 | \$ 41.85       | \$ 38.15   | \$ 3.70               | \$ -                            | \$ 3.70                  |
| 0.46243 | IWM    | 5/22/2013        | 9/27/2013 | \$ 49.34       | \$ 46.24   | \$ 3.10               | \$ -                            | \$ 3.10                  |
| 0.46317 | RCII   | 8/10/2012        | 5/8/2013  | \$ 16.72       | \$ 16.59   | \$ 0.13               | \$ -                            | \$ 0.13                  |
| 0.46338 | ORCL   | 11/5/2012        | 9/27/2013 | \$ 15.64       | \$ 14.36   | \$ 1.28               | \$ -                            | \$ 1.28                  |
| 0.46361 | BYI    | 2/5/2013         | 9/26/2013 | \$ 34.54       | \$ 22.06   | \$ 12.48              | \$ -                            | \$ 12.48                 |
| 0.46569 | LLTC   | 11/12/2012       | 9/27/2013 | \$ 18.44       | \$ 15.01   | \$ 3.43               | \$ -                            | \$ 3.43                  |
| 0.46572 | TRV    | 8/10/2012        | 4/29/2013 | \$ 39.56       | \$ 29.60   | \$ 9.96               | \$ -                            | \$ 9.96                  |
| 0.46681 | COF    | 10/5/2012        | 9/27/2013 | \$ 32.24       | \$ 27.89   | \$ 4.35               | \$ -                            | \$ 4.35                  |
| 0.46761 | BERY   | 3/6/2013         | 9/27/2013 | \$ 9.39        | \$ 9.12    | \$ 0.27               | \$ -                            | \$ 0.27                  |
| 0.46850 | SUSQ   | 12/31/2012       | 6/20/2013 | \$ 5.62        | \$ 4.90    | \$ 0.73               | \$ -                            | \$ 0.73                  |
| 0.47127 | RTI    | 3/6/2013         | 9/27/2013 | \$ 14.95       | \$ 14.26   | \$ 0.68               | \$ -                            | \$ 0.68                  |
| 0.47308 | MMC    | 10/5/2012        | 9/27/2013 | \$ 20.74       | \$ 16.43   | \$ 4.31               | \$ -                            | \$ 4.31                  |
| 0.47365 | IWM    | 10/26/2012       | 4/1/2013  | \$ 43.98       | \$ 38.22   | \$ 5.76               | \$ -                            | \$ 5.76                  |
| 0.47420 | VRSN   | 6/28/2012        | 2/19/2013 | \$ 21.85       | \$ 20.29   | \$ 1.55               | \$ -                            | \$ 1.55                  |
| 0.47424 | COH    | 10/5/2012        | 9/27/2013 | \$ 25.72       | \$ 27.01   | \$ (1.29)             | \$ -                            | \$ (1.29)                |
| 0.47436 | LNKD   | 3/6/2013         | 9/27/2013 | \$ 117.07      | \$ 84.04   | \$ 33.03              | \$ -                            | \$ 33.03                 |
| 0.47498 | IWM    | 3/6/2013         | 6/12/2013 | \$ 46.30       | \$ 43.93   | \$ 2.38               | \$ -                            | \$ 2.38                  |
| 0.47608 | YUM    | 11/5/2012        | 6/18/2013 | \$ 34.33       | \$ 34.23   | \$ 0.10               | \$ -                            | \$ 0.10                  |
| 0.47644 | CACHR  | 8/10/2012        | 4/19/2013 | \$ 0.89        | \$ 1.09    | \$ (0.20)             | \$ -                            | \$ (0.20)                |
| 0.47699 | R      | 11/12/2012       | 9/27/2013 | \$ 28.46       | \$ 21.64   | \$ 6.81               | \$ -                            | \$ 6.81                  |
| 0.47815 | AET    | 6/5/2012         | 3/13/2013 | \$ 24.50       | \$ 19.57   | \$ 4.92               | \$ -                            | \$ 4.92                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.47826 | LULU   | 7/17/2012        | 3/22/2013 | \$ 29.82       | \$ 26.11   | \$ 3.71               | -                               | \$ 3.71                  |
| 0.47943 | SEE    | 2/20/2013        | 9/26/2013 | \$ 13.09       | \$ 10.06   | \$ 3.03               | -                               | \$ 3.03                  |
| 0.47988 | IWM    | 3/12/2013        | 6/12/2013 | \$ 46.78       | \$ 44.79   | \$ 1.99               | -                               | \$ 1.99                  |
| 0.48056 | LLTC   | 12/27/2012       | 9/27/2013 | \$ 19.03       | \$ 16.19   | \$ 2.84               | -                               | \$ 2.84                  |
| 0.48115 | LH     | 3/6/2013         | 7/16/2013 | \$ 46.82       | \$ 43.28   | \$ 3.54               | -                               | \$ 3.54                  |
| 0.48119 | RGLD   | 9/12/2012        | 3/8/2013  | \$ 31.79       | \$ 41.73   | \$ (9.94)             | -                               | \$ (9.94)                |
| 0.48124 | KORS   | 11/5/2012        | 9/27/2013 | \$ 35.90       | \$ 27.15   | \$ 8.74               | -                               | \$ 8.74                  |
| 0.48278 | IT     | 12/27/2012       | 9/27/2013 | \$ 28.88       | \$ 21.70   | \$ 7.17               | -                               | \$ 7.17                  |
| 0.48344 | IT     | 8/6/2013         | 9/27/2013 | \$ 28.91       | \$ 27.99   | \$ 0.93               | -                               | \$ 0.93                  |
| 0.48361 | DD     | 3/19/2013        | 9/27/2013 | \$ 28.59       | \$ 23.96   | \$ 4.63               | -                               | \$ 4.63                  |
| 0.48384 | IWM    | 10/26/2012       | 3/8/2013  | \$ 45.22       | \$ 39.05   | \$ 6.17               | -                               | \$ 6.17                  |
| 0.48393 | CTSH   | 9/10/2012        | 4/25/2013 | \$ 30.67       | \$ 32.45   | \$ (1.78)             | -                               | \$ (1.78)                |
| 0.48468 | PMCS   | 9/10/2012        | 1/14/2013 | \$ 2.59        | \$ 3.12    | \$ (0.53)             | -                               | \$ (0.53)                |
| 0.48478 | FHN    | 3/6/2013         | 9/27/2013 | \$ 5.37        | \$ 5.28    | \$ 0.09               | -                               | \$ 0.09                  |
| 0.48507 | OIS    | 6/6/2012         | 5/8/2013  | \$ 45.56       | \$ 31.56   | \$ 14.00              | -                               | \$ 14.00                 |
| 0.48524 | V      | 2/20/2013        | 9/27/2013 | \$ 93.58       | \$ 75.93   | \$ 17.65              | -                               | \$ 17.65                 |
| 0.48649 | IWM    | 5/16/2013        | 9/18/2013 | \$ 51.43       | \$ 47.88   | \$ 3.56               | -                               | \$ 3.56                  |
| 0.48689 | TRV    | 9/10/2012        | 4/29/2013 | \$ 41.36       | \$ 31.83   | \$ 9.53               | -                               | \$ 9.53                  |
| 0.48742 | QCOM   | 5/1/2013         | 9/27/2013 | \$ 32.78       | \$ 30.10   | \$ 2.68               | -                               | \$ 2.68                  |
| 0.48746 | IWM    | 9/17/2013        | 9/27/2013 | \$ 51.98       | \$ 51.44   | \$ 0.54               | -                               | \$ 0.54                  |
| 0.48791 | STRZA  | 6/6/2012         | 1/23/2013 | \$ 7.71        | \$ 4.27    | \$ 3.45               | -                               | \$ 3.45                  |
| 0.48889 | SDY    | 9/11/2013        | 9/27/2013 | \$ 33.79       | \$ 33.66   | \$ 0.13               | -                               | \$ 0.13                  |
| 0.48897 | ERIE   | 9/6/2013         | 9/27/2013 | \$ 35.94       | \$ 36.52   | \$ (0.58)             | -                               | \$ (0.58)                |
| 0.49102 | IDTI   | 10/5/2012        | 9/27/2013 | \$ 4.60        | \$ 2.90    | \$ 1.70               | -                               | \$ 1.70                  |
| 0.49122 | IWM    | 4/24/2013        | 7/31/2013 | \$ 51.04       | \$ 45.34   | \$ 5.70               | -                               | \$ 5.70                  |
| 0.49137 | IWM    | 1/15/2013        | 5/28/2013 | \$ 49.00       | \$ 42.86   | \$ 6.15               | -                               | \$ 6.15                  |
| 0.49306 | BLK    | 6/6/2012         | 4/8/2013  | \$ 121.37      | \$ 83.77   | \$ 37.60              | -                               | \$ 37.60                 |
| 0.49354 | ATMI   | 3/6/2013         | 9/27/2013 | \$ 13.03       | \$ 11.04   | \$ 1.99               | -                               | \$ 1.99                  |
| 0.49511 | DVN    | 2/20/2013        | 9/27/2013 | \$ 29.02       | \$ 29.71   | \$ (0.68)             | -                               | \$ (0.68)                |
| 0.49578 | DOX    | 3/6/2013         | 9/27/2013 | \$ 18.36       | \$ 18.03   | \$ 0.34               | -                               | \$ 0.34                  |
| 0.49622 | BIIB   | 10/1/2012        | 4/24/2013 | \$ 103.79      | \$ 74.68   | \$ 29.11              | -                               | \$ 29.11                 |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.49631 | R      | 2/19/2013        | 9/27/2013 | \$ 29.61       | \$ 28.67   | \$ 0.94               | -                               | \$ 0.94                  |
| 0.49795 | NIHD   | 7/17/2012        | 3/8/2013  | \$ 2.35        | \$ 4.13    | \$ (1.78)             | -                               | \$ (1.78)                |
| 0.49834 | KSS    | 10/5/2012        | 9/27/2013 | \$ 25.92       | \$ 25.60   | \$ 0.32               | -                               | \$ 0.32                  |
| 0.49918 | EL     | 8/10/2012        | 6/18/2013 | \$ 34.59       | \$ 27.50   | \$ 7.09               | -                               | \$ 7.09                  |
| 0.49932 | PCLN   | 4/24/2013        | 9/27/2013 | \$ 503.86      | \$ 350.88  | \$ 152.98             | -                               | \$ 152.98                |
| 0.49973 | IWR    | 2/19/2013        | 6/12/2013 | \$ 65.16       | \$ 61.87   | \$ 3.29               | -                               | \$ 3.29                  |
| 0.50012 | CNL    | 11/12/2012       | 9/27/2013 | \$ 22.50       | \$ 19.98   | \$ 2.52               | -                               | \$ 2.52                  |
| 0.50052 | EAT    | 2/11/2013        | 6/20/2013 | \$ 19.93       | \$ 16.71   | \$ 3.22               | -                               | \$ 3.22                  |
| 0.50171 | EMB    | 3/19/2013        | 6/20/2013 | \$ 53.43       | \$ 59.14   | \$ (5.71)             | -                               | \$ (5.71)                |
| 0.50174 | DBC    | 11/5/2012        | 9/4/2013  | \$ 13.31       | \$ 13.64   | \$ (0.33)             | -                               | \$ (0.33)                |
| 0.50175 | AAPL   | 3/6/2013         | 9/27/2013 | \$ 241.56      | \$ 215.08  | \$ 26.48              | -                               | \$ 26.48                 |
| 0.50352 | BHLB   | 3/6/2013         | 9/27/2013 | \$ 12.63       | \$ 12.39   | \$ 0.24               | -                               | \$ 0.24                  |
| 0.50580 | TMK    | 2/5/2013         | 9/27/2013 | \$ 36.81       | \$ 27.84   | \$ 8.97               | -                               | \$ 8.97                  |
| 0.50633 | BXS    | 3/6/2013         | 9/27/2013 | \$ 10.14       | \$ 7.88    | \$ 2.26               | -                               | \$ 2.26                  |
| 0.50661 | IDTI   | 11/5/2012        | 9/27/2013 | \$ 4.75        | \$ 2.98    | \$ 1.76               | -                               | \$ 1.76                  |
| 0.50695 | SAVE   | 1/15/2013        | 9/27/2013 | \$ 17.49       | \$ 9.68    | \$ 7.82               | -                               | \$ 7.82                  |
| 0.50934 | CMA    | 7/30/2012        | 2/14/2013 | \$ 17.97       | \$ 15.55   | \$ 2.43               | -                               | \$ 2.43                  |
| 0.51008 | VRSK   | 11/12/2012       | 9/27/2013 | \$ 33.27       | \$ 25.03   | \$ 8.24               | -                               | \$ 8.24                  |
| 0.51065 | IWM    | 9/10/2012        | 1/16/2013 | \$ 44.74       | \$ 43.02   | \$ 1.73               | -                               | \$ 1.73                  |
| 0.51155 | MYGN   | 2/14/2013        | 5/28/2013 | \$ 16.59       | \$ 12.42   | \$ 4.17               | -                               | \$ 4.17                  |
| 0.51236 | LTM    | 10/19/2012       | 6/3/2013  | \$ 25.37       | \$ 21.88   | \$ 3.48               | -                               | \$ 3.48                  |
| 0.51292 | KORS   | 2/20/2013        | 9/27/2013 | \$ 38.26       | \$ 32.41   | \$ 5.85               | -                               | \$ 5.85                  |
| 0.51443 | G      | 11/12/2012       | 9/27/2013 | \$ 9.83        | \$ 8.15    | \$ 1.69               | -                               | \$ 1.69                  |
| 0.51453 | VRSN   | 7/17/2012        | 2/19/2013 | \$ 23.70       | \$ 22.36   | \$ 1.34               | -                               | \$ 1.34                  |
| 0.51472 | LH     | 8/13/2012        | 7/9/2013  | \$ 49.38       | \$ 45.50   | \$ 3.89               | -                               | \$ 3.89                  |
| 0.51495 | TNC    | 11/21/2012       | 6/20/2013 | \$ 25.81       | \$ 18.96   | \$ 6.85               | -                               | \$ 6.85                  |
| 0.51498 | IWR    | 1/14/2013        | 5/28/2013 | \$ 69.14       | \$ 60.13   | \$ 9.00               | -                               | \$ 9.00                  |
| 0.51500 | GOOG   | 6/6/2012         | 5/15/2013 | \$ 468.08      | \$ 299.53  | \$ 168.55             | -                               | \$ 168.55                |
| 0.51777 | AIT    | 11/12/2012       | 9/27/2013 | \$ 26.57       | \$ 20.39   | \$ 6.17               | -                               | \$ 6.17                  |
| 0.51804 | CRM    | 2/20/2013        | 9/27/2013 | \$ 27.19       | \$ 22.53   | \$ 4.66               | -                               | \$ 4.66                  |
| 0.51886 | STR    | 9/10/2012        | 6/10/2013 | \$ 12.63       | \$ 10.47   | \$ 2.16               | -                               | \$ 2.16                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.51977 | TW     | 10/19/2012       | 9/27/2013 | \$ 56.11       | \$ 28.08   | \$ 28.03              | -                               | \$ 28.03                 |
| 0.52007 | PCP    | 10/15/2012       | 2/22/2013 | \$ 95.74       | \$ 85.70   | \$ 10.04              | -                               | \$ 10.04                 |
| 0.52033 | POR    | 11/12/2012       | 9/27/2013 | \$ 14.69       | \$ 13.35   | \$ 1.35               | -                               | \$ 1.35                  |
| 0.52047 | WMGI   | 10/10/2012       | 9/27/2013 | \$ 13.53       | \$ 11.25   | \$ 2.27               | -                               | \$ 2.27                  |
| 0.52059 | IWM    | 11/20/2012       | 4/25/2013 | \$ 48.81       | \$ 41.11   | \$ 7.70               | -                               | \$ 7.70                  |
| 0.52192 | AMG    | 5/8/2013         | 9/27/2013 | \$ 95.87       | \$ 81.68   | \$ 14.19              | -                               | \$ 14.19                 |
| 0.52231 | TW     | 2/19/2013        | 9/27/2013 | \$ 56.39       | \$ 34.91   | \$ 21.48              | -                               | \$ 21.48                 |
| 0.52598 | AIV    | 2/19/2013        | 9/27/2013 | \$ 14.76       | \$ 15.44   | \$ (0.67)             | -                               | \$ (0.67)                |
| 0.52675 | BVI    | 2/5/2013         | 9/27/2013 | \$ 38.43       | \$ 25.07   | \$ 13.36              | -                               | \$ 13.36                 |
| 0.52921 | ATML   | 1/15/2013        | 9/27/2013 | \$ 3.87        | \$ 3.53    | \$ 0.33               | -                               | \$ 0.33                  |
| 0.52924 | PWR    | 7/17/2012        | 5/29/2013 | \$ 15.09       | \$ 12.42   | \$ 2.66               | -                               | \$ 2.66                  |
| 0.52966 | SLGN   | 7/13/2012        | 2/21/2013 | \$ 22.93       | \$ 23.28   | \$ (0.35)             | -                               | \$ (0.35)                |
| 0.52977 | DHR    | 10/5/2012        | 9/27/2013 | \$ 36.98       | \$ 30.06   | \$ 6.92               | -                               | \$ 6.92                  |
| 0.53148 | MYGN   | 6/6/2012         | 5/22/2013 | \$ 17.51       | \$ 12.23   | \$ 5.28               | -                               | \$ 5.28                  |
| 0.53153 | NU     | 10/5/2012        | 9/27/2013 | \$ 22.06       | \$ 20.89   | \$ 1.18               | -                               | \$ 1.18                  |
| 0.53181 | MCRS   | 4/17/2013        | 6/20/2013 | \$ 22.58       | \$ 22.63   | \$ (0.06)             | -                               | \$ (0.06)                |
| 0.53222 | DSX    | 3/6/2013         | 9/27/2013 | \$ 6.44        | \$ 4.69    | \$ 1.75               | -                               | \$ 1.75                  |
| 0.53262 | HYS    | 8/7/2013         | 9/27/2013 | \$ 56.14       | \$ 55.65   | \$ 0.49               | -                               | \$ 0.49                  |
| 0.53274 | ALXN   | 7/17/2012        | 4/24/2013 | \$ 47.79       | \$ 52.66   | \$ (4.87)             | -                               | \$ (4.87)                |
| 0.53358 | CFN    | 3/6/2013         | 9/27/2013 | \$ 19.68       | \$ 17.92   | \$ 1.76               | -                               | \$ 1.76                  |
| 0.53517 | SLXP   | 12/27/2012       | 9/27/2013 | \$ 36.04       | \$ 21.59   | \$ 14.46              | -                               | \$ 14.46                 |
| 0.53547 | PWR    | 11/12/2012       | 5/29/2013 | \$ 15.27       | \$ 13.81   | \$ 1.46               | -                               | \$ 1.46                  |
| 0.53603 | IWF    | 1/8/2013         | 2/22/2013 | \$ 36.75       | \$ 35.78   | \$ 0.97               | -                               | \$ 0.97                  |
| 0.53705 | MOLX   | 10/19/2012       | 4/26/2013 | \$ 14.44       | \$ 14.37   | \$ 0.07               | -                               | \$ 0.07                  |
| 0.53805 | IWR    | 5/8/2013         | 9/27/2013 | \$ 75.04       | \$ 71.04   | \$ 4.00               | -                               | \$ 4.00                  |
| 0.53854 | RS     | 6/6/2012         | 4/26/2013 | \$ 34.05       | \$ 25.59   | \$ 8.46               | -                               | \$ 8.46                  |
| 0.53915 | HXL    | 11/12/2012       | 9/27/2013 | \$ 20.84       | \$ 13.11   | \$ 7.73               | -                               | \$ 7.73                  |
| 0.53978 | DVA    | 5/8/2013         | 9/27/2013 | \$ 30.76       | \$ 34.68   | \$ (3.92)             | -                               | \$ (3.92)                |
| 0.54008 | V      | 1/15/2013        | 9/27/2013 | \$ 104.16      | \$ 85.98   | \$ 18.18              | -                               | \$ 18.18                 |
| 0.54019 | AMZN   | 9/6/2013         | 9/27/2013 | \$ 170.35      | \$ 160.70  | \$ 9.66               | -                               | \$ 9.66                  |
| 0.54069 | PRGO   | 1/15/2013        | 9/27/2013 | \$ 66.86       | \$ 56.73   | \$ 10.13              | -                               | \$ 10.13                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.54135 | IWR    | 5/22/2013        | 9/27/2013 | \$ 75.50       | \$ 73.59   | \$ 1.91               | -                               | \$ 1.91                  |
| 0.54136 | LH     | 11/12/2012       | 7/16/2013 | \$ 52.68       | \$ 45.82   | \$ 6.86               | -                               | \$ 6.86                  |
| 0.54137 | TWX    | 10/5/2012        | 9/27/2013 | \$ 35.89       | \$ 24.96   | \$ 10.93              | -                               | \$ 10.93                 |
| 0.54273 | MAN    | 3/18/2013        | 4/24/2013 | \$ 27.92       | \$ 30.64   | \$ (2.72)             | -                               | \$ (2.72)                |
| 0.54275 | LVS    | 11/5/2012        | 8/27/2013 | \$ 29.89       | \$ 23.55   | \$ 6.33               | -                               | \$ 6.33                  |
| 0.54451 | UNH    | 2/20/2013        | 9/27/2013 | \$ 39.16       | \$ 30.47   | \$ 8.69               | -                               | \$ 8.69                  |
| 0.54510 | DVA    | 2/20/2013        | 9/27/2013 | \$ 31.07       | \$ 31.69   | \$ (0.62)             | -                               | \$ (0.62)                |
| 0.54577 | PCP    | 10/15/2012       | 6/20/2013 | \$ 117.41      | \$ 89.94   | \$ 27.47              | -                               | \$ 27.47                 |
| 0.54595 | IWR    | 1/4/2013         | 5/1/2013  | \$ 70.00       | \$ 63.60   | \$ 6.39               | -                               | \$ 6.39                  |
| 0.54603 | GOOG   | 9/6/2013         | 9/27/2013 | \$ 477.91      | \$ 481.06  | \$ (3.15)             | -                               | \$ (3.15)                |
| 0.54654 | ABV    | 10/5/2012        | 9/27/2013 | \$ 24.22       | \$ 20.41   | \$ 3.81               | -                               | \$ 3.81                  |
| 0.54655 | ABT    | 10/5/2012        | 9/27/2013 | \$ 18.09       | \$ 18.82   | \$ (0.73)             | -                               | \$ (0.73)                |
| 0.54797 | CVH    | 11/12/2012       | 5/7/2013  | \$ 12.49       | \$ 8.56    | \$ 3.94               | -                               | \$ 3.94                  |
| 0.54804 | UNM    | 10/19/2012       | 9/27/2013 | \$ 16.81       | \$ 11.27   | \$ 5.54               | -                               | \$ 5.54                  |
| 0.54848 | NLS    | 3/6/2013         | 9/27/2013 | \$ 3.91        | \$ 3.87    | \$ 0.05               | -                               | \$ 0.05                  |
| 0.54859 | VRSN   | 10/31/2012       | 4/8/2013  | \$ 25.30       | \$ 20.24   | \$ 5.06               | -                               | \$ 5.06                  |
| 0.54867 | CBSH   | 4/4/2013         | 6/20/2013 | \$ 23.57       | \$ 21.52   | \$ 2.05               | -                               | \$ 2.05                  |
| 0.54869 | AXP    | 3/6/2013         | 9/27/2013 | \$ 41.59       | \$ 35.53   | \$ 6.05               | -                               | \$ 6.05                  |
| 0.54887 | AVT    | 11/12/2012       | 9/27/2013 | \$ 22.94       | \$ 16.23   | \$ 6.71               | -                               | \$ 6.71                  |
| 0.54929 | FB     | 11/5/2012        | 7/29/2013 | \$ 19.10       | \$ 11.66   | \$ 7.45               | -                               | \$ 7.45                  |
| 0.54949 | DRI    | 7/17/2012        | 2/5/2013  | \$ 25.83       | \$ 28.11   | \$ (2.28)             | -                               | \$ (2.28)                |
| 0.55047 | XRAY   | 11/12/2012       | 9/27/2013 | \$ 23.85       | \$ 21.23   | \$ 2.63               | -                               | \$ 2.63                  |
| 0.55100 | LH     | 1/15/2013        | 7/16/2013 | \$ 53.62       | \$ 47.67   | \$ 5.95               | -                               | \$ 5.95                  |
| 0.55219 | MOLX   | 11/12/2012       | 4/26/2013 | \$ 14.84       | \$ 14.15   | \$ 0.69               | -                               | \$ 0.69                  |
| 0.55286 | AXP    | 8/10/2012        | 6/18/2013 | \$ 41.58       | \$ 31.01   | \$ 10.58              | -                               | \$ 10.58                 |
| 0.55332 | EWM    | 11/5/2012        | 1/8/2013  | \$ 8.37        | \$ 8.25    | \$ 0.12               | -                               | \$ 0.12                  |
| 0.55432 | VRSK   | 12/27/2012       | 9/27/2013 | \$ 36.15       | \$ 27.35   | \$ 8.80               | -                               | \$ 8.80                  |
| 0.55444 | CBT    | 12/27/2012       | 5/8/2013  | \$ 21.11       | \$ 21.57   | \$ (0.46)             | -                               | \$ (0.46)                |
| 0.55660 | IWR    | 9/12/2012        | 1/16/2013 | \$ 65.33       | \$ 62.44   | \$ 2.89               | -                               | \$ 2.89                  |
| 0.55786 | WWAV   | 6/4/2013         | 6/20/2013 | \$ 8.99        | \$ 9.62    | \$ (0.62)             | -                               | \$ (0.62)                |
| 0.55910 | COF    | 2/20/2013        | 9/27/2013 | \$ 38.62       | \$ 29.11   | \$ 9.51               | -                               | \$ 9.51                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.55957 | CMA    | 11/12/2012       | 3/12/2013 | \$ 20.42       | \$ 16.02   | \$ 4.40               | -                               | \$ 4.40                  |
| 0.55973 | LNKD   | 6/6/2012         | 2/12/2013 | \$ 86.28       | \$ 51.66   | \$ 34.62              | -                               | \$ 34.62                 |
| 0.56104 | MCHP   | 4/8/2013         | 6/20/2013 | \$ 20.41       | \$ 19.80   | \$ 0.61               | -                               | \$ 0.61                  |
| 0.56157 | NIHD   | 6/5/2012         | 3/8/2013  | \$ 2.65        | \$ 6.33    | \$ (3.68)             | -                               | \$ (3.68)                |
| 0.56216 | LH     | 7/17/2012        | 7/9/2013  | \$ 53.93       | \$ 52.17   | \$ 1.77               | -                               | \$ 1.77                  |
| 0.56240 | GOOG   | 10/1/2012        | 9/27/2013 | \$ 492.24      | \$ 427.22  | \$ 65.02              | -                               | \$ 65.02                 |
| 0.56246 | TJX    | 10/5/2012        | 9/27/2013 | \$ 31.75       | \$ 25.73   | \$ 6.02               | -                               | \$ 6.02                  |
| 0.56263 | SPLS   | 11/5/2012        | 9/27/2013 | \$ 8.21        | \$ 6.44    | \$ 1.77               | -                               | \$ 1.77                  |
| 0.56338 | BIDU   | 10/15/2012       | 1/8/2013  | \$ 56.92       | \$ 63.65   | \$ (6.73)             | -                               | \$ (6.73)                |
| 0.56380 | AIV    | 3/6/2013         | 9/27/2013 | \$ 15.83       | \$ 17.09   | \$ (1.27)             | -                               | \$ (1.27)                |
| 0.56483 | ROL    | 11/12/2012       | 9/27/2013 | \$ 15.01       | \$ 12.72   | \$ 2.28               | -                               | \$ 2.28                  |
| 0.56483 | DWX    | 7/5/2013         | 9/27/2013 | \$ 26.48       | \$ 24.43   | \$ 2.05               | -                               | \$ 2.05                  |
| 0.56532 | DVA    | 6/6/2012         | 2/19/2013 | \$ 65.71       | \$ 47.13   | \$ 18.58              | -                               | \$ 18.58                 |
| 0.56606 | SHOO   | 3/6/2013         | 9/27/2013 | \$ 30.14       | \$ 25.28   | \$ 4.87               | -                               | \$ 4.87                  |
| 0.56881 | AMG    | 9/6/2013         | 9/27/2013 | \$ 104.49      | \$ 101.19  | \$ 3.30               | -                               | \$ 3.30                  |
| 0.56910 | CCK    | 3/6/2013         | 9/27/2013 | \$ 24.27       | \$ 22.18   | \$ 2.08               | -                               | \$ 2.08                  |
| 0.56973 | GILD   | 2/20/2013        | 9/27/2013 | \$ 36.13       | \$ 24.39   | \$ 11.75              | -                               | \$ 11.75                 |
| 0.57031 | TAL    | 5/1/2013         | 5/24/2013 | \$ 24.46       | \$ 22.95   | \$ 1.51               | -                               | \$ 1.51                  |
| 0.57075 | VRSN   | 8/10/2012        | 4/8/2013  | \$ 26.32       | \$ 26.30   | \$ 0.01               | -                               | \$ 0.01                  |
| 0.57108 | FHN    | 2/14/2013        | 9/27/2013 | \$ 6.33        | \$ 6.16    | \$ 0.17               | -                               | \$ 0.17                  |
| 0.57113 | CTSH   | 8/10/2012        | 4/25/2013 | \$ 36.20       | \$ 36.93   | \$ (0.73)             | -                               | \$ (0.73)                |
| 0.57250 | IWR    | 1/23/2013        | 5/28/2013 | \$ 76.86       | \$ 68.42   | \$ 8.44               | -                               | \$ 8.44                  |
| 0.57268 | ERIE   | 8/3/2012         | 4/16/2013 | \$ 43.10       | \$ 38.33   | \$ 4.77               | -                               | \$ 4.77                  |
| 0.57297 | AMZN   | 10/15/2012       | 6/20/2013 | \$ 156.76      | \$ 138.31  | \$ 18.46              | -                               | \$ 18.46                 |
| 0.57319 | CTSH   | 12/18/2012       | 5/3/2013  | \$ 37.68       | \$ 42.39   | \$ (4.71)             | -                               | \$ (4.71)                |
| 0.57333 | FIS    | 10/5/2012        | 9/27/2013 | \$ 26.61       | \$ 18.34   | \$ 8.28               | -                               | \$ 8.28                  |
| 0.57340 | IWM    | 3/13/2013        | 6/12/2013 | \$ 55.90       | \$ 53.70   | \$ 2.20               | -                               | \$ 2.20                  |
| 0.57565 | SRCL   | 2/20/2013        | 9/27/2013 | \$ 66.49       | \$ 55.77   | \$ 10.72              | -                               | \$ 10.72                 |
| 0.57629 | IWM    | 3/18/2013        | 6/24/2013 | \$ 54.44       | \$ 54.49   | \$ (0.05)             | -                               | \$ (0.05)                |
| 0.57683 | V      | 3/6/2013         | 9/27/2013 | \$ 111.25      | \$ 93.09   | \$ 18.16              | -                               | \$ 18.16                 |
| 0.57710 | PRE    | 2/14/2013        | 9/27/2013 | \$ 52.84       | \$ 50.08   | \$ 2.76               | -                               | \$ 2.76                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.57745 | STR    | 3/18/2013        | 6/20/2013 | \$ 13.40       | \$ 13.60   | \$ (0.20)             | -                               | \$ (0.20)                |
| 0.57821 | TWX    | 2/20/2013        | 9/27/2013 | \$ 38.33       | \$ 31.11   | \$ 7.22               | -                               | \$ 7.22                  |
| 0.58088 | CLI    | 1/28/2013        | 2/19/2013 | \$ 15.95       | \$ 15.95   | \$ -                  | -                               | \$ -                     |
| 0.58184 | CQB    | 3/6/2013         | 9/27/2013 | \$ 7.53        | \$ 3.59    | \$ 3.95               | -                               | \$ 3.95                  |
| 0.58307 | STR    | 3/6/2013         | 6/10/2013 | \$ 14.19       | \$ 13.88   | \$ 0.31               | -                               | \$ 0.31                  |
| 0.58389 | ONXX   | 9/6/2013         | 9/27/2013 | \$ 72.81       | \$ 72.28   | \$ 0.53               | -                               | \$ 0.53                  |
| 0.58426 | A      | 8/23/2012        | 6/20/2013 | \$ 24.67       | \$ 21.80   | \$ 2.86               | -                               | \$ 2.86                  |
| 0.58512 | ACWI   | 5/1/2013         | 6/20/2013 | \$ 29.20       | \$ 30.40   | \$ (1.20)             | -                               | \$ (1.20)                |
| 0.58527 | PEGA   | 3/6/2013         | 9/27/2013 | \$ 23.04       | \$ 16.38   | \$ 6.66               | -                               | \$ 6.66                  |
| 0.58838 | IWM    | 10/1/2012        | 2/1/2013  | \$ 53.07       | \$ 49.61   | \$ 3.46               | -                               | \$ 3.46                  |
| 0.58912 | MON    | 3/6/2013         | 9/27/2013 | \$ 62.29       | \$ 60.30   | \$ 1.99               | -                               | \$ 1.99                  |
| 0.58949 | DE     | 6/6/2012         | 2/8/2013  | \$ 54.63       | \$ 43.24   | \$ 11.39              | -                               | \$ 11.39                 |
| 0.58958 | ONXX   | 5/8/2013         | 9/27/2013 | \$ 73.51       | \$ 56.70   | \$ 16.82              | -                               | \$ 16.82                 |
| 0.59170 | DY     | 3/6/2013         | 9/27/2013 | \$ 16.34       | \$ 12.24   | \$ 4.10               | -                               | \$ 4.10                  |
| 0.59204 | CRM    | 11/5/2012        | 9/27/2013 | \$ 31.07       | \$ 21.24   | \$ 9.83               | -                               | \$ 9.83                  |
| 0.59210 | DE     | 9/10/2012        | 2/12/2013 | \$ 55.09       | \$ 46.18   | \$ 8.91               | -                               | \$ 8.91                  |
| 0.59288 | AGN    | 3/6/2013         | 9/27/2013 | \$ 53.92       | \$ 66.08   | \$ (12.16)            | -                               | \$ (12.16)               |
| 0.59492 | LNKD   | 10/15/2012       | 6/20/2013 | \$ 103.23      | \$ 65.06   | \$ 38.17              | -                               | \$ 38.17                 |
| 0.59669 | BID    | 5/28/2013        | 6/20/2013 | \$ 22.29       | \$ 22.20   | \$ 0.10               | -                               | \$ 0.10                  |
| 0.59764 | EWL    | 11/5/2012        | 3/20/2013 | \$ 17.65       | \$ 15.08   | \$ 2.57               | -                               | \$ 2.57                  |
| 0.59770 | CRI    | 11/12/2012       | 7/29/2013 | \$ 42.91       | \$ 30.76   | \$ 12.15              | -                               | \$ 12.15                 |
| 0.59822 | ARO    | 5/1/2013         | 6/10/2013 | \$ 8.72        | \$ 8.63    | \$ 0.09               | -                               | \$ 0.09                  |
| 0.59849 | FTI    | 2/20/2013        | 9/27/2013 | \$ 33.11       | \$ 31.68   | \$ 1.43               | -                               | \$ 1.43                  |
| 0.59852 | IWR    | 5/8/2013         | 9/27/2013 | \$ 83.38       | \$ 79.02   | \$ 4.36               | -                               | \$ 4.36                  |
| 0.59976 | UHS    | 11/12/2012       | 9/27/2013 | \$ 44.78       | \$ 26.07   | \$ 18.71              | -                               | \$ 18.71                 |
| 0.59988 | IYR    | 1/8/2013         | 9/27/2013 | \$ 38.61       | \$ 39.59   | \$ (0.97)             | -                               | \$ (0.97)                |
| 0.60287 | LTXC   | 5/1/2013         | 9/27/2013 | \$ 3.95        | \$ 3.45    | \$ 0.50               | -                               | \$ 0.50                  |
| 0.60317 | AMSC   | 11/5/2012        | 9/27/2013 | \$ 1.41        | \$ 2.24    | \$ (0.84)             | -                               | \$ (0.84)                |
| 0.60341 | OKSB   | 5/1/2013         | 9/27/2013 | \$ 8.95        | \$ 7.99    | \$ 0.96               | -                               | \$ 0.96                  |
| 0.60347 | EMB    | 1/8/2013         | 6/6/2013  | \$ 68.30       | \$ 73.84   | \$ (5.55)             | -                               | \$ (5.55)                |
| 0.60394 | CCK    | 8/10/2012        | 6/24/2013 | \$ 23.88       | \$ 22.02   | \$ 1.86               | -                               | \$ 1.86                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.60448 | ALV    | 5/8/2013         | 8/14/2013 | \$ 50.79       | \$ 47.21   | \$ 3.58               | -                               | \$ 3.58                  |
| 0.60491 | AET    | 10/5/2012        | 9/27/2013 | \$ 38.95       | \$ 25.47   | \$ 13.48              | -                               | \$ 13.48                 |
| 0.60580 | FRC    | 12/27/2012       | 6/27/2013 | \$ 23.27       | \$ 19.70   | \$ 3.58               | -                               | \$ 3.58                  |
| 0.60656 | LUV    | 11/12/2012       | 9/27/2013 | \$ 8.89        | \$ 5.51    | \$ 3.38               | -                               | \$ 3.38                  |
| 0.60743 | CTL    | 10/5/2012        | 9/27/2013 | \$ 19.31       | \$ 24.07   | \$ (4.76)             | -                               | \$ (4.76)                |
| 0.60832 | EGN    | 6/6/2012         | 1/28/2013 | \$ 28.93       | \$ 27.34   | \$ 1.60               | -                               | \$ 1.60                  |
| 0.60887 | GPI    | 12/27/2012       | 4/1/2013  | \$ 36.21       | \$ 35.99   | \$ 0.22               | -                               | \$ 0.22                  |
| 0.60893 | LLTC   | 7/26/2012        | 6/20/2013 | \$ 21.90       | \$ 19.28   | \$ 2.62               | -                               | \$ 2.62                  |
| 0.60987 | IWR    | 2/11/2013        | 6/4/2013  | \$ 80.15       | \$ 74.78   | \$ 5.37               | -                               | \$ 5.37                  |
| 0.61090 | NIHD   | 3/6/2013         | 3/8/2013  | \$ 2.88        | \$ 2.80    | \$ 0.09               | -                               | \$ 0.09                  |
| 0.61102 | ARG    | 12/27/2012       | 9/27/2013 | \$ 64.66       | \$ 55.51   | \$ 9.16               | -                               | \$ 9.16                  |
| 0.61124 | TQNT   | 5/1/2013         | 9/27/2013 | \$ 4.90        | \$ 3.56    | \$ 1.34               | -                               | \$ 1.34                  |
| 0.61198 | EIS    | 11/5/2012        | 3/20/2013 | \$ 27.49       | \$ 25.62   | \$ 1.87               | -                               | \$ 1.87                  |
| 0.61283 | VNQ    | 12/11/2012       | 6/6/2013  | \$ 42.53       | \$ 39.84   | \$ 2.69               | -                               | \$ 2.69                  |
| 0.61287 | AMAG   | 3/6/2013         | 9/27/2013 | \$ 13.34       | \$ 11.14   | \$ 2.20               | -                               | \$ 2.20                  |
| 0.61370 | DRC    | 3/6/2013         | 4/24/2013 | \$ 34.69       | \$ 34.12   | \$ 0.57               | -                               | \$ 0.57                  |
| 0.61676 | ROST   | 11/7/2012        | 9/3/2013  | \$ 42.20       | \$ 34.74   | \$ 7.46               | -                               | \$ 7.46                  |
| 0.61689 | RGA    | 10/19/2012       | 9/27/2013 | \$ 41.49       | \$ 32.72   | \$ 8.77               | -                               | \$ 8.77                  |
| 0.61727 | UTX    | 3/6/2013         | 9/27/2013 | \$ 67.49       | \$ 56.60   | \$ 10.90              | -                               | \$ 10.90                 |
| 0.61727 | SLXP   | 12/3/2012        | 9/27/2013 | \$ 41.57       | \$ 26.31   | \$ 15.27              | -                               | \$ 15.27                 |
| 0.61794 | BLK    | 3/6/2013         | 9/27/2013 | \$ 167.27      | \$ 152.54  | \$ 14.73              | -                               | \$ 14.73                 |
| 0.61833 | WEC    | 10/5/2012        | 9/27/2013 | \$ 24.88       | \$ 23.56   | \$ 1.31               | -                               | \$ 1.31                  |
| 0.61834 | UTX    | 1/15/2013        | 9/27/2013 | \$ 67.61       | \$ 52.94   | \$ 14.67              | -                               | \$ 14.67                 |
| 0.61854 | HBI    | 1/28/2013        | 8/14/2013 | \$ 38.15       | \$ 23.90   | \$ 14.25              | -                               | \$ 14.25                 |
| 0.61855 | RS     | 3/14/2013        | 9/27/2013 | \$ 45.20       | \$ 42.11   | \$ 3.09               | -                               | \$ 3.09                  |
| 0.61866 | AUY    | 3/6/2013         | 9/27/2013 | \$ 6.46        | \$ 8.60    | \$ (2.14)             | -                               | \$ (2.14)                |
| 0.61909 | IWM    | 1/10/2013        | 5/2/2013  | \$ 57.54       | \$ 53.94   | \$ 3.60               | -                               | \$ 3.60                  |
| 0.61928 | SFG    | 11/12/2012       | 9/27/2013 | \$ 34.22       | \$ 20.63   | \$ 13.60              | -                               | \$ 13.60                 |
| 0.61929 | AMG    | 3/18/2013        | 9/27/2013 | \$ 113.76      | \$ 95.73   | \$ 18.03              | -                               | \$ 18.03                 |
| 0.61980 | AGCO   | 1/28/2013        | 6/3/2013  | \$ 34.39       | \$ 33.32   | \$ 1.07               | -                               | \$ 1.07                  |
| 0.62129 | CASY   | 12/27/2012       | 9/27/2013 | \$ 45.76       | \$ 32.24   | \$ 13.52              | -                               | \$ 13.52                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.62264 | SAVE   | 3/6/2013         | 9/27/2013 | \$ 21.49       | \$ 14.17   | \$ 7.31               | -                               | \$ 7.31                  |
| 0.62341 | ROST   | 11/6/2012        | 9/3/2013  | \$ 42.65       | \$ 36.06   | \$ 6.60               | -                               | \$ 6.60                  |
| 0.62413 | SJM    | 3/6/2013         | 9/27/2013 | \$ 65.48       | \$ 60.53   | \$ 4.95               | -                               | \$ 4.95                  |
| 0.62451 | IWM    | 9/19/2012        | 3/25/2013 | \$ 58.43       | \$ 53.63   | \$ 4.80               | -                               | \$ 4.80                  |
| 0.62569 | DNR    | 9/24/2012        | 8/30/2013 | \$ 10.87       | \$ 10.48   | \$ 0.39               | -                               | \$ 0.39                  |
| 0.62574 | LNKD   | 9/10/2012        | 3/1/2013  | \$ 105.35      | \$ 73.96   | \$ 31.40              | -                               | \$ 31.40                 |
| 0.62594 | SJM    | 1/15/2013        | 9/27/2013 | \$ 65.67       | \$ 55.47   | \$ 10.21              | -                               | \$ 10.21                 |
| 0.62870 | EWZ    | 3/19/2013        | 3/20/2013 | \$ 34.55       | \$ 34.75   | \$ (0.20)             | -                               | \$ (0.20)                |
| 0.62993 | CMA    | 7/17/2012        | 2/14/2013 | \$ 22.23       | \$ 20.01   | \$ 2.22               | -                               | \$ 2.22                  |
| 0.62995 | SCMR   | 11/12/2012       | 2/15/2013 | \$ 1.47        | \$ 3.24    | \$ (1.77)             | -                               | \$ (1.77)                |
| 0.63003 | ALOG   | 11/12/2012       | 9/27/2013 | \$ 51.25       | \$ 45.34   | \$ 5.91               | -                               | \$ 5.91                  |
| 0.63010 | BECN   | 3/6/2013         | 9/27/2013 | \$ 23.45       | \$ 24.48   | \$ (1.03)             | -                               | \$ (1.03)                |
| 0.63031 | CCK    | 9/12/2012        | 6/24/2013 | \$ 24.92       | \$ 23.36   | \$ 1.56               | -                               | \$ 1.56                  |
| 0.63307 | EMB    | 1/11/2013        | 6/6/2013  | \$ 71.65       | \$ 76.97   | \$ (5.32)             | -                               | \$ (5.32)                |
| 0.63430 | TRV    | 3/6/2013         | 4/29/2013 | \$ 53.88       | \$ 51.59   | \$ 2.29               | -                               | \$ 2.29                  |
| 0.63517 | KSS    | 2/20/2013        | 9/27/2013 | \$ 33.04       | \$ 30.17   | \$ 2.87               | -                               | \$ 2.87                  |
| 0.63551 | LMCA   | 5/8/2013         | 9/27/2013 | \$ 94.44       | \$ 76.96   | \$ 17.48              | -                               | \$ 17.48                 |
| 0.63585 | RWO    | 7/5/2013         | 9/27/2013 | \$ 27.01       | \$ 26.49   | \$ 0.52               | -                               | \$ 0.52                  |
| 0.63676 | MLM    | 5/8/2013         | 9/27/2013 | \$ 61.77       | \$ 69.73   | \$ (7.96)             | -                               | \$ (7.96)                |
| 0.63677 | IWR    | 5/8/2013         | 9/26/2013 | \$ 88.89       | \$ 84.00   | \$ 4.90               | -                               | \$ 4.90                  |
| 0.63703 | CCK    | 7/17/2012        | 6/24/2013 | \$ 25.19       | \$ 21.69   | \$ 3.50               | -                               | \$ 3.50                  |
| 0.63739 | BECN   | 6/5/2012         | 5/31/2013 | \$ 26.38       | \$ 14.74   | \$ 11.63              | -                               | \$ 11.63                 |
| 0.63741 | TCBK   | 11/12/2012       | 9/27/2013 | \$ 14.44       | \$ 9.96    | \$ 4.49               | -                               | \$ 4.49                  |
| 0.63786 | BIIB   | 9/10/2012        | 4/24/2013 | \$ 133.42      | \$ 96.91   | \$ 36.51              | -                               | \$ 36.51                 |
| 0.63796 | CACH   | 10/5/2012        | 9/27/2013 | \$ 3.85        | \$ 1.27    | \$ 2.58               | -                               | \$ 2.58                  |
| 0.63825 | IWD    | 12/6/2012        | 2/8/2013  | \$ 49.97       | \$ 45.87   | \$ 4.10               | -                               | \$ 4.10                  |
| 0.63875 | LH     | 8/10/2012        | 7/9/2013  | \$ 61.28       | \$ 56.56   | \$ 4.72               | -                               | \$ 4.72                  |
| 0.63911 | TPLM   | 5/1/2013         | 9/27/2013 | \$ 6.28        | \$ 3.39    | \$ 2.89               | -                               | \$ 2.89                  |
| 0.63929 | SCHW   | 5/1/2013         | 9/27/2013 | \$ 13.52       | \$ 10.67   | \$ 2.85               | -                               | \$ 2.85                  |
| 0.63962 | PRGO   | 3/6/2013         | 9/27/2013 | \$ 79.09       | \$ 73.79   | \$ 5.30               | -                               | \$ 5.30                  |
| 0.63972 | AAPL   | 11/12/2012       | 9/27/2013 | \$ 307.98      | \$ 350.44  | \$ (42.46)            | -                               | \$ (42.46)               |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.64004 | IWR    | 10/5/2012        | 2/5/2013  | \$ 77.83       | \$ 72.49   | \$ 5.34               | -                               | \$ 5.34                  |
| 0.64015 | BGG    | 3/6/2013         | 9/27/2013 | \$ 13.00       | \$ 15.92   | \$ (2.93)             | -                               | \$ (2.93)                |
| 0.64019 | FRC    | 10/2/2012        | 6/20/2013 | \$ 24.33       | \$ 21.30   | \$ 3.03               | -                               | \$ 3.03                  |
| 0.64420 | CMCSK  | 3/19/2013        | 9/27/2013 | \$ 27.73       | \$ 24.54   | \$ 3.20               | -                               | \$ 3.20                  |
| 0.64435 | TW     | 1/28/2013        | 9/27/2013 | \$ 69.56       | \$ 39.06   | \$ 30.51              | -                               | \$ 30.51                 |
| 0.64444 | YUM    | 8/10/2012        | 6/18/2013 | \$ 46.47       | \$ 42.94   | \$ 3.53               | -                               | \$ 3.53                  |
| 0.64542 | AET    | 2/20/2013        | 9/27/2013 | \$ 41.56       | \$ 31.16   | \$ 10.40              | -                               | \$ 10.40                 |
| 0.64565 | CBT    | 11/12/2012       | 9/27/2013 | \$ 27.55       | \$ 22.53   | \$ 5.02               | -                               | \$ 5.02                  |
| 0.64583 | IWR    | 8/6/2013         | 9/27/2013 | \$ 90.07       | \$ 89.31   | \$ 0.76               | -                               | \$ 0.76                  |
| 0.64645 | AAPL   | 11/13/2012       | 9/27/2013 | \$ 311.22      | \$ 352.50  | \$ (41.28)            | -                               | \$ (41.28)               |
| 0.64681 | IWM    | 12/31/2012       | 5/1/2013  | \$ 59.94       | \$ 53.92   | \$ 6.02               | -                               | \$ 6.02                  |
| 0.64806 | CBSH   | 4/19/2013        | 9/27/2013 | \$ 28.25       | \$ 25.50   | \$ 2.75               | -                               | \$ 2.75                  |
| 0.64868 | IWF    | 11/12/2012       | 1/25/2013 | \$ 44.45       | \$ 41.38   | \$ 3.07               | -                               | \$ 3.07                  |
| 0.65262 | CAM    | 2/20/2013        | 9/27/2013 | \$ 38.55       | \$ 43.03   | \$ (4.48)             | -                               | \$ (4.48)                |
| 0.65270 | CBT    | 6/6/2012         | 5/8/2013  | \$ 24.85       | \$ 24.01   | \$ 0.84               | -                               | \$ 0.84                  |
| 0.65302 | MCD    | 12/21/2012       | 9/27/2013 | \$ 63.45       | \$ 58.39   | \$ 5.06               | -                               | \$ 5.06                  |
| 0.65335 | DNR    | 9/19/2012        | 8/30/2013 | \$ 11.35       | \$ 10.79   | \$ 0.56               | -                               | \$ 0.56                  |
| 0.65371 | ABG    | 7/13/2012        | 3/21/2013 | \$ 24.34       | \$ 16.98   | \$ 7.36               | -                               | \$ 7.36                  |
| 0.65372 | ONXX   | 9/26/2013        | 9/27/2013 | \$ 81.51       | \$ 81.39   | \$ 0.12               | -                               | \$ 0.12                  |
| 0.65396 | LIFE   | 11/12/2012       | 9/27/2013 | \$ 48.98       | \$ 30.41   | \$ 18.57              | -                               | \$ 18.57                 |
| 0.65441 | THG    | 11/12/2012       | 9/27/2013 | \$ 36.28       | \$ 23.06   | \$ 13.22              | -                               | \$ 13.22                 |
| 0.65563 | HBI    | 3/6/2013         | 9/27/2013 | \$ 41.19       | \$ 26.06   | \$ 15.14              | -                               | \$ 15.14                 |
| 0.65594 | IWM    | 3/18/2013        | 6/12/2013 | \$ 63.94       | \$ 62.02   | \$ 1.92               | -                               | \$ 1.92                  |
| 0.65662 | TMO    | 10/5/2012        | 9/27/2013 | \$ 60.97       | \$ 40.50   | \$ 20.47              | -                               | \$ 20.47                 |
| 0.65752 | GPC    | 3/6/2013         | 9/27/2013 | \$ 52.86       | \$ 47.66   | \$ 5.20               | -                               | \$ 5.20                  |
| 0.65849 | GPI    | 10/31/2012       | 4/1/2013  | \$ 39.16       | \$ 39.66   | \$ (0.50)             | -                               | \$ (0.50)                |
| 0.65877 | IWM    | 12/7/2012        | 4/17/2013 | \$ 58.90       | \$ 54.08   | \$ 4.82               | -                               | \$ 4.82                  |
| 0.65903 | TEX    | 3/6/2013         | 9/27/2013 | \$ 22.36       | \$ 22.30   | \$ 0.06               | -                               | \$ 0.06                  |
| 0.66262 | AIV    | 10/19/2012       | 9/27/2013 | \$ 18.60       | \$ 17.66   | \$ 0.94               | -                               | \$ 0.94                  |
| 0.66329 | HBI    | 12/12/2012       | 6/20/2013 | \$ 33.92       | \$ 23.63   | \$ 10.29              | -                               | \$ 10.29                 |
| 0.66448 | ROST   | 10/23/2012       | 9/3/2013  | \$ 45.46       | \$ 40.23   | \$ 5.24               | -                               | \$ 5.24                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.66503 | IWM    | 1/14/2013     | 5/9/2013  | \$ 64.13       | \$ 58.05   | \$ 6.08            | -                         | \$ 6.08               |
| 0.66572 | PMT    | 3/6/2013      | 9/27/2013 | \$ 18.93       | \$ 16.37   | \$ 2.56            | -                         | \$ 2.56               |
| 0.66736 | AMZN   | 5/28/2013     | 9/27/2013 | \$ 210.46      | \$ 178.35  | \$ 32.10           | -                         | \$ 32.10              |
| 0.66810 | DWX    | 9/11/2013     | 9/27/2013 | \$ 31.32       | \$ 30.93   | \$ 0.39            | -                         | \$ 0.39               |
| 0.66915 | COLB   | 3/6/2013      | 9/27/2013 | \$ 16.51       | \$ 13.68   | \$ 2.83            | -                         | \$ 2.83               |
| 0.66930 | NOV    | 2/20/2013     | 9/27/2013 | \$ 52.32       | \$ 46.11   | \$ 6.21            | -                         | \$ 6.21               |
| 0.66976 | PMT    | 10/23/2012    | 9/27/2013 | \$ 15.26       | \$ 16.02   | \$ (0.75)          | -                         | \$ (0.75)             |
| 0.66989 | EGN    | 11/12/2012    | 9/27/2013 | \$ 51.29       | \$ 28.96   | \$ 22.33           | -                         | \$ 22.33              |
| 0.67055 | GPC    | 1/15/2013     | 9/27/2013 | \$ 53.91       | \$ 43.87   | \$ 10.04           | -                         | \$ 10.04              |
| 0.67070 | TPX    | 11/12/2012    | 9/27/2013 | \$ 29.41       | \$ 17.60   | \$ 11.81           | -                         | \$ 11.81              |
| 0.67519 | CFR    | 6/6/2012      | 4/24/2013 | \$ 40.91       | \$ 36.97   | \$ 3.94            | -                         | \$ 3.94               |
| 0.67592 | AAPL   | 11/12/2012    | 9/27/2013 | \$ 325.41      | \$ 370.28  | \$ (44.86)         | -                         | \$ (44.86)            |
| 0.67646 | IEX    | 12/27/2012    | 9/27/2013 | \$ 43.87       | \$ 31.02   | \$ 12.85           | -                         | \$ 12.85              |
| 0.67796 | MXWL   | 3/6/2013      | 9/27/2013 | \$ 6.23        | \$ 6.17    | \$ 0.06            | -                         | \$ 0.06               |
| 0.67858 | BLK    | 1/15/2013     | 9/27/2013 | \$ 183.68      | \$ 150.80  | \$ 32.88           | -                         | \$ 32.88              |
| 0.68070 | IWM    | 9/14/2012     | 1/16/2013 | \$ 59.64       | \$ 58.96   | \$ 0.69            | -                         | \$ 0.69               |
| 0.68569 | ALXN   | 1/30/2013     | 5/15/2013 | \$ 71.42       | \$ 65.78   | \$ 5.64            | -                         | \$ 5.64               |
| 0.68668 | CIT    | 12/27/2012    | 1/10/2013 | \$ 27.31       | \$ 26.17   | \$ 1.14            | -                         | \$ 1.14               |
| 0.68938 | CACHR  | 11/12/2012    | 4/19/2013 | \$ 1.29        | \$ 1.32    | \$ (0.03)          | -                         | \$ (0.03)             |
| 0.68940 | NIHD   | 9/10/2012     | 3/8/2013  | \$ 3.25        | \$ 4.38    | \$ (1.13)          | -                         | \$ (1.13)             |
| 0.68983 | RGA    | 11/12/2012    | 9/27/2013 | \$ 46.39       | \$ 35.38   | \$ 11.01           | -                         | \$ 11.01              |
| 0.69104 | LH     | 9/10/2012     | 7/9/2013  | \$ 66.30       | \$ 61.47   | \$ 4.83            | -                         | \$ 4.83               |
| 0.69565 | OIS    | 9/6/2013      | 9/27/2013 | \$ 72.40       | \$ 66.72   | \$ 5.68            | -                         | \$ 5.68               |
| 0.69574 | EA     | 10/5/2012     | 9/27/2013 | \$ 18.11       | \$ 9.04    | \$ 9.07            | -                         | \$ 9.07               |
| 0.69759 | PMT    | 11/12/2012    | 9/27/2013 | \$ 15.90       | \$ 16.83   | \$ (0.94)          | -                         | \$ (0.94)             |
| 0.69791 | SM     | 6/6/2012      | 2/28/2013 | \$ 40.79       | \$ 36.23   | \$ 4.56            | -                         | \$ 4.56               |
| 0.69952 | JNK    | 5/1/2013      | 6/20/2013 | \$ 27.58       | \$ 29.04   | \$ (1.45)          | -                         | \$ (1.45)             |
| 0.70054 | SRCL   | 1/15/2013     | 9/27/2013 | \$ 80.92       | \$ 65.60   | \$ 15.32           | -                         | \$ 15.32              |
| 0.70063 | SNPS   | 11/12/2012    | 9/27/2013 | \$ 26.39       | \$ 22.30   | \$ 4.10            | -                         | \$ 4.10               |
| 0.70109 | IP     | 2/20/2013     | 9/27/2013 | \$ 31.89       | \$ 29.06   | \$ 2.83            | -                         | \$ 2.83               |
| 0.70225 | CRZO   | 11/12/2012    | 9/27/2013 | \$ 25.97       | \$ 14.76   | \$ 11.21           | -                         | \$ 11.21              |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.70280 | DVA    | 10/5/2012        | 9/27/2013 | \$ 40.05       | \$ 38.08   | \$ 1.97               | -                               | \$ 1.97                  |
| 0.70302 | TXN    | 6/5/2012         | 4/8/2013  | \$ 24.44       | \$ 19.37   | \$ 5.08               | -                               | \$ 5.08                  |
| 0.70438 | JACK   | 11/7/2012        | 6/20/2013 | \$ 27.00       | \$ 18.16   | \$ 8.84               | -                               | \$ 8.84                  |
| 0.70554 | IWR    | 2/14/2013        | 6/12/2013 | \$ 91.99       | \$ 87.00   | \$ 4.99               | -                               | \$ 4.99                  |
| 0.70643 | HAR    | 6/6/2012         | 2/1/2013  | \$ 32.01       | \$ 28.45   | \$ 3.56               | -                               | \$ 3.56                  |
| 0.70730 | PRXL   | 12/27/2012       | 9/27/2013 | \$ 35.37       | \$ 20.41   | \$ 14.96              | -                               | \$ 14.96                 |
| 0.70769 | KORS   | 3/19/2013        | 9/27/2013 | \$ 52.79       | \$ 40.39   | \$ 12.40              | -                               | \$ 12.40                 |
| 0.70899 | BIDU   | 3/6/2013         | 9/27/2013 | \$ 108.99      | \$ 64.63   | \$ 44.35              | -                               | \$ 44.35                 |
| 0.70916 | ORCL   | 3/19/2013        | 9/27/2013 | \$ 23.93       | \$ 25.42   | \$ (1.49)             | -                               | \$ (1.49)                |
| 0.71003 | IWR    | 10/5/2012        | 2/20/2013 | \$ 87.63       | \$ 80.42   | \$ 7.21               | -                               | \$ 7.21                  |
| 0.71073 | JDSU   | 11/12/2012       | 9/27/2013 | \$ 10.39       | \$ 7.91    | \$ 2.48               | -                               | \$ 2.48                  |
| 0.71228 | GDI    | 4/1/2013         | 6/20/2013 | \$ 53.46       | \$ 53.50   | \$ (0.04)             | -                               | \$ (0.04)                |
| 0.71254 | RKT    | 2/19/2013        | 3/8/2013  | \$ 63.91       | \$ 59.27   | \$ 4.63               | -                               | \$ 4.63                  |
| 0.71384 | HBAN   | 1/15/2013        | 9/27/2013 | \$ 5.87        | \$ 4.69    | \$ 1.18               | -                               | \$ 1.18                  |
| 0.71434 | WCN    | 8/10/2012        | 4/4/2013  | \$ 25.22       | \$ 21.54   | \$ 3.68               | -                               | \$ 3.68                  |
| 0.71480 | BEN    | 10/15/2012       | 6/20/2013 | \$ 98.47       | \$ 91.43   | \$ 7.04               | -                               | \$ 7.04                  |
| 0.71886 | IWR    | 5/8/2013         | 8/15/2013 | \$ 97.37       | \$ 94.82   | \$ 2.55               | -                               | \$ 2.55                  |
| 0.71970 | WTS    | 11/12/2012       | 9/27/2013 | \$ 40.52       | \$ 27.74   | \$ 12.78              | -                               | \$ 12.78                 |
| 0.71980 | PTEN   | 11/12/2012       | 9/27/2013 | \$ 15.76       | \$ 11.56   | \$ 4.21               | -                               | \$ 4.21                  |
| 0.72073 | MLM    | 9/6/2013         | 9/27/2013 | \$ 69.91       | \$ 68.46   | \$ 1.45               | -                               | \$ 1.45                  |
| 0.72108 | EWL    | 2/7/2013         | 3/20/2013 | \$ 21.29       | \$ 20.45   | \$ 0.85               | -                               | \$ 0.85                  |
| 0.72108 | VRSK   | 5/2/2013         | 9/27/2013 | \$ 47.03       | \$ 43.99   | \$ 3.04               | -                               | \$ 3.04                  |
| 0.72144 | IWF    | 1/8/2013         | 2/19/2013 | \$ 50.05       | \$ 48.16   | \$ 1.89               | -                               | \$ 1.89                  |
| 0.72157 | CCK    | 8/6/2012         | 6/24/2013 | \$ 28.53       | \$ 26.40   | \$ 2.13               | -                               | \$ 2.13                  |
| 0.72173 | IWR    | 1/14/2013        | 5/9/2013  | \$ 95.42       | \$ 84.27   | \$ 11.14              | -                               | \$ 11.14                 |
| 0.72200 | MRC    | 2/28/2013        | 9/27/2013 | \$ 19.50       | \$ 22.45   | \$ (2.95)             | -                               | \$ (2.95)                |
| 0.72338 | VNTV   | 3/6/2013         | 9/27/2013 | \$ 19.86       | \$ 15.95   | \$ 3.91               | -                               | \$ 3.91                  |
| 0.72400 | DHR    | 2/20/2013        | 9/27/2013 | \$ 50.54       | \$ 44.78   | \$ 5.75               | -                               | \$ 5.75                  |
| 0.72447 | A      | 2/20/2013        | 9/27/2013 | \$ 37.38       | \$ 30.86   | \$ 6.52               | -                               | \$ 6.52                  |
| 0.72534 | CSGS   | 3/6/2013         | 9/27/2013 | \$ 18.10       | \$ 14.42   | \$ 3.69               | -                               | \$ 3.69                  |
| 0.72544 | IYR    | 3/7/2013         | 9/27/2013 | \$ 46.70       | \$ 50.05   | \$ (3.36)             | -                               | \$ (3.36)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.72721 | DF     | 3/6/2013         | 5/29/2013 | \$ 7.67        | \$ 6.78    | \$ 0.89               | -                               | \$ 0.89                  |
| 0.72796 | BIIB   | 10/1/2012        | 6/20/2013 | \$ 145.54      | \$ 109.56  | \$ 35.99              | -                               | \$ 35.99                 |
| 0.72857 | BYI    | 1/28/2013        | 9/26/2013 | \$ 54.29       | \$ 33.62   | \$ 20.67              | -                               | \$ 20.67                 |
| 0.73124 | SYPR   | 3/6/2013         | 9/27/2013 | \$ 2.28        | \$ 3.09    | \$ (0.81)             | -                               | \$ (0.81)                |
| 0.73242 | IWR    | 11/27/2012       | 4/1/2013  | \$ 92.44       | \$ 80.80   | \$ 11.64              | -                               | \$ 11.64                 |
| 0.73329 | AWI    | 12/27/2012       | 9/27/2013 | \$ 40.43       | \$ 36.10   | \$ 4.33               | -                               | \$ 4.33                  |
| 0.73562 | RWO    | 9/11/2013        | 9/27/2013 | \$ 31.25       | \$ 30.72   | \$ 0.53               | -                               | \$ 0.53                  |
| 0.73602 | ARG    | 6/6/2012         | 1/28/2013 | \$ 68.65       | \$ 62.46   | \$ 6.18               | -                               | \$ 6.18                  |
| 0.73743 | DRI    | 1/15/2013        | 2/5/2013  | \$ 34.66       | \$ 33.11   | \$ 1.55               | -                               | \$ 1.55                  |
| 0.73798 | AVT    | 10/19/2012       | 9/27/2013 | \$ 30.84       | \$ 20.69   | \$ 10.15              | -                               | \$ 10.15                 |
| 0.73967 | MOLX   | 3/18/2013        | 9/27/2013 | \$ 28.44       | \$ 21.11   | \$ 7.33               | -                               | \$ 7.33                  |
| 0.73975 | ERIE   | 6/6/2012         | 2/21/2013 | \$ 53.72       | \$ 52.24   | \$ 1.48               | -                               | \$ 1.48                  |
| 0.74011 | DRI    | 8/10/2012        | 2/5/2013  | \$ 34.79       | \$ 38.98   | \$ (4.19)             | -                               | \$ (4.19)                |
| 0.74042 | CMI    | 2/20/2013        | 9/27/2013 | \$ 98.76       | \$ 87.00   | \$ 11.76              | -                               | \$ 11.76                 |
| 0.74061 | IWR    | 11/6/2012        | 4/1/2013  | \$ 93.47       | \$ 82.91   | \$ 10.56              | -                               | \$ 10.56                 |
| 0.74074 | IDTI   | 1/15/2013        | 9/27/2013 | \$ 6.94        | \$ 5.55    | \$ 1.39               | -                               | \$ 1.39                  |
| 0.74129 | IWM    | 3/6/2013         | 6/4/2013  | \$ 72.27       | \$ 68.55   | \$ 3.72               | -                               | \$ 3.72                  |
| 0.74271 | SCVL   | 3/6/2013         | 9/27/2013 | \$ 20.01       | \$ 14.63   | \$ 5.38               | -                               | \$ 5.38                  |
| 0.74366 | MSFT   | 11/5/2012        | 9/27/2013 | \$ 24.86       | \$ 21.91   | \$ 2.95               | -                               | \$ 2.95                  |
| 0.74437 | LVS    | 8/10/2012        | 1/30/2013 | \$ 38.33       | \$ 29.18   | \$ 9.14               | -                               | \$ 9.14                  |
| 0.74469 | SIRO   | 6/10/2013        | 9/27/2013 | \$ 49.86       | \$ 51.80   | \$ (1.94)             | -                               | \$ (1.94)                |
| 0.74471 | KSU    | 3/18/2013        | 9/26/2013 | \$ 81.57       | \$ 80.69   | \$ 0.88               | -                               | \$ 0.88                  |
| 0.74592 | IWM    | 4/26/2013        | 8/15/2013 | \$ 76.48       | \$ 69.33   | \$ 7.15               | -                               | \$ 7.15                  |
| 0.74634 | EWU    | 7/18/2012        | 3/20/2013 | \$ 13.63       | \$ 12.32   | \$ 1.31               | -                               | \$ 1.31                  |
| 0.74641 | IWM    | 1/23/2013        | 5/28/2013 | \$ 74.44       | \$ 66.57   | \$ 7.86               | -                               | \$ 7.86                  |
| 0.74773 | LG     | 6/12/2013        | 6/20/2013 | \$ 33.37       | \$ 34.34   | \$ (0.97)             | -                               | \$ (0.97)                |
| 0.74813 | WCN    | 7/17/2012        | 4/4/2013  | \$ 26.42       | \$ 22.98   | \$ 3.44               | -                               | \$ 3.44                  |
| 0.74891 | WCN    | 6/5/2012         | 2/14/2013 | \$ 27.04       | \$ 22.77   | \$ 4.27               | -                               | \$ 4.27                  |
| 0.75190 | DRC    | 3/6/2013         | 4/24/2013 | \$ 42.50       | \$ 42.37   | \$ 0.13               | -                               | \$ 0.13                  |
| 0.75210 | AXP    | 7/17/2012        | 6/18/2013 | \$ 56.57       | \$ 44.19   | \$ 12.37              | -                               | \$ 12.37                 |
| 0.75278 | MELI   | 9/6/2013         | 9/27/2013 | \$ 101.91      | \$ 90.89   | \$ 11.02              | -                               | \$ 11.02                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.75290 | ALT    | 12/11/2012    | 5/6/2013  | \$ 39.09       | \$ 37.72   | \$ 1.37            | -                         | \$ 1.37               |
| 0.75423 | SRCL   | 3/6/2013      | 9/27/2013 | \$ 87.12       | \$ 73.98   | \$ 13.14           | -                         | \$ 13.14              |
| 0.75452 | IWR    | 1/10/2013     | 5/2/2013  | \$ 96.74       | \$ 88.01   | \$ 8.72            | -                         | \$ 8.72               |
| 0.75533 | WEC    | 2/20/2013     | 9/27/2013 | \$ 30.39       | \$ 30.38   | \$ 0.01            | -                         | \$ 0.01               |
| 0.75570 | HTSI   | 6/6/2012      | 2/19/2013 | \$ 32.05       | \$ 29.05   | \$ 3.00            | -                         | \$ 3.00               |
| 0.75975 | MLNK   | 3/6/2013      | 9/27/2013 | \$ 2.08        | \$ 2.21    | \$ (0.13)          | -                         | \$ (0.13)             |
| 0.76062 | PRXL   | 11/12/2012    | 9/27/2013 | \$ 38.03       | \$ 23.13   | \$ 14.90           | -                         | \$ 14.90              |
| 0.76144 | GILD   | 10/5/2012     | 9/27/2013 | \$ 48.29       | \$ 26.64   | \$ 21.65           | -                         | \$ 21.65              |
| 0.76156 | EMC    | 11/5/2012     | 5/14/2013 | \$ 17.53       | \$ 18.86   | \$ (1.33)          | -                         | \$ (1.33)             |
| 0.76184 | ELD    | 6/28/2013     | 9/27/2013 | \$ 35.91       | \$ 36.36   | \$ (0.46)          | -                         | \$ (0.46)             |
| 0.76830 | TUR    | 8/10/2012     | 3/20/2013 | \$ 52.35       | \$ 42.28   | \$ 10.08           | -                         | \$ 10.08              |
| 0.76853 | NVO    | 10/15/2012    | 2/22/2013 | \$ 133.67      | \$ 126.13  | \$ 7.54            | -                         | \$ 7.54               |
| 0.77173 | PCLN   | 9/6/2013      | 9/27/2013 | \$ 778.75      | \$ 742.29  | \$ 36.45           | -                         | \$ 36.45              |
| 0.77468 | HI     | 12/27/2012    | 6/20/2013 | \$ 18.01       | \$ 17.27   | \$ 0.74            | -                         | \$ 0.74               |
| 0.77515 | NORW   | 10/5/2012     | 3/20/2013 | \$ 11.91       | \$ 12.13   | \$ (0.22)          | -                         | \$ (0.22)             |
| 0.77517 | DWX    | 8/7/2013      | 9/27/2013 | \$ 36.34       | \$ 34.82   | \$ 1.52            | -                         | \$ 1.52               |
| 0.77542 | SHY    | 12/11/2012    | 3/27/2013 | \$ 65.48       | \$ 65.48   | \$ -               | -                         | \$ -                  |
| 0.77631 | ADTN   | 11/12/2012    | 3/8/2013  | \$ 16.09       | \$ 13.73   | \$ 2.35            | -                         | \$ 2.35               |
| 0.77659 | EPOL   | 10/5/2012     | 3/20/2013 | \$ 20.57       | \$ 21.50   | \$ (0.93)          | -                         | \$ (0.93)             |
| 0.77713 | KORS   | 10/15/2012    | 9/6/2013  | \$ 58.94       | \$ 41.58   | \$ 17.36           | -                         | \$ 17.36              |
| 0.78115 | KMT    | 11/13/2012    | 9/27/2013 | \$ 35.77       | \$ 27.78   | \$ 7.99            | -                         | \$ 7.99               |
| 0.78154 | DVA    | 9/6/2013      | 9/27/2013 | \$ 44.54       | \$ 43.37   | \$ 1.17            | -                         | \$ 1.17               |
| 0.78291 | PRGS   | 3/6/2013      | 9/27/2013 | \$ 20.33       | \$ 18.59   | \$ 1.74            | -                         | \$ 1.74               |
| 0.78347 | IWM    | 2/19/2013     | 6/12/2013 | \$ 76.37       | \$ 72.35   | \$ 4.02            | -                         | \$ 4.02               |
| 0.78351 | TXN    | 11/5/2012     | 9/27/2013 | \$ 31.38       | \$ 22.64   | \$ 8.74            | -                         | \$ 8.74               |
| 0.78557 | AMTD   | 2/20/2013     | 3/14/2013 | \$ 16.56       | \$ 15.79   | \$ 0.77            | -                         | \$ 0.77               |
| 0.78572 | AGG    | 7/18/2012     | 5/6/2013  | \$ 87.08       | \$ 88.22   | \$ (1.14)          | -                         | \$ (1.14)             |
| 0.78592 | EL     | 7/17/2012     | 6/18/2013 | \$ 54.46       | \$ 40.48   | \$ 13.99           | -                         | \$ 13.99              |
| 0.78594 | ZION   | 11/12/2012    | 5/22/2013 | \$ 21.79       | \$ 15.64   | \$ 6.16            | -                         | \$ 6.16               |
| 0.78729 | OKSB   | 11/12/2012    | 9/27/2013 | \$ 11.68       | \$ 8.36    | \$ 3.32            | -                         | \$ 3.32               |
| 0.78757 | MCD    | 3/6/2013      | 9/27/2013 | \$ 76.52       | \$ 76.07   | \$ 0.45            | -                         | \$ 0.45               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.78776 | EWA    | 2/7/2013         | 3/20/2013 | \$ 21.20       | \$ 20.45   | \$ 0.75               | -                               | \$ 0.75                  |
| 0.78781 | ELY    | 3/6/2013         | 9/27/2013 | \$ 5.52        | \$ 5.25    | \$ 0.28               | -                               | \$ 0.28                  |
| 0.79013 | AMTD   | 10/5/2012        | 3/14/2013 | \$ 16.65       | \$ 12.61   | \$ 4.04               | -                               | \$ 4.04                  |
| 0.79081 | V      | 3/6/2013         | 9/27/2013 | \$ 152.52      | \$ 127.62  | \$ 24.90              | -                               | \$ 24.90                 |
| 0.79452 | HCN    | 5/8/2013         | 9/27/2013 | \$ 50.03       | \$ 58.92   | \$ (8.89)             | -                               | \$ (8.89)                |
| 0.79454 | SBH    | 9/26/2012        | 6/20/2013 | \$ 23.52       | \$ 20.13   | \$ 3.39               | -                               | \$ 3.39                  |
| 0.79739 | EWL    | 7/18/2012        | 3/20/2013 | \$ 23.55       | \$ 18.15   | \$ 5.40               | -                               | \$ 5.40                  |
| 0.79803 | AMZN   | 5/15/2013        | 9/27/2013 | \$ 251.66      | \$ 214.15  | \$ 37.51              | -                               | \$ 37.51                 |
| 0.79826 | IWR    | 4/8/2013         | 7/31/2013 | \$ 109.60      | \$ 99.77   | \$ 9.84               | -                               | \$ 9.84                  |
| 0.79861 | HTSI   | 8/1/2012         | 2/19/2013 | \$ 33.87       | \$ 33.39   | \$ 0.48               | -                               | \$ 0.48                  |
| 0.79900 | IWM    | 10/5/2012        | 2/20/2013 | \$ 73.60       | \$ 68.05   | \$ 5.55               | -                               | \$ 5.55                  |
| 0.79928 | TRV    | 1/15/2013        | 4/29/2013 | \$ 67.90       | \$ 60.11   | \$ 7.79               | -                               | \$ 7.79                  |
| 0.80064 | ONXX   | 3/18/2013        | 9/27/2013 | \$ 99.83       | \$ 69.20   | \$ 30.63              | -                               | \$ 30.63                 |
| 0.80330 | VICR   | 3/6/2013         | 9/27/2013 | \$ 6.57        | \$ 4.33    | \$ 2.25               | -                               | \$ 2.25                  |
| 0.80359 | WSH    | 11/12/2012       | 9/27/2013 | \$ 35.07       | \$ 27.26   | \$ 7.81               | -                               | \$ 7.81                  |
| 0.80487 | EL     | 10/15/2012       | 6/20/2013 | \$ 52.76       | \$ 50.95   | \$ 1.81               | -                               | \$ 1.81                  |
| 0.80551 | MCD    | 1/15/2013        | 9/27/2013 | \$ 78.27       | \$ 73.40   | \$ 4.87               | -                               | \$ 4.87                  |
| 0.80799 | DBV    | 5/10/2013        | 9/27/2013 | \$ 20.66       | \$ 22.22   | \$ (1.55)             | -                               | \$ (1.55)                |
| 0.80963 | NOV    | 1/15/2013        | 9/27/2013 | \$ 63.29       | \$ 56.95   | \$ 6.34               | -                               | \$ 6.34                  |
| 0.81133 | CTSH   | 3/6/2013         | 9/27/2013 | \$ 67.11       | \$ 65.05   | \$ 2.06               | -                               | \$ 2.06                  |
| 0.81195 | NIHD   | 11/12/2012       | 3/8/2013  | \$ 3.83        | \$ 4.79    | \$ (0.95)             | -                               | \$ (0.95)                |
| 0.81308 | DRIV   | 11/12/2012       | 9/27/2013 | \$ 14.33       | \$ 11.20   | \$ 3.13               | -                               | \$ 3.13                  |
| 0.81317 | ARW    | 10/11/2012       | 9/27/2013 | \$ 39.19       | \$ 26.59   | \$ 12.60              | -                               | \$ 12.60                 |
| 0.81393 | IWR    | 4/26/2013        | 8/15/2013 | \$ 110.25      | \$ 103.72  | \$ 6.53               | -                               | \$ 6.53                  |
| 0.81613 | DRC    | 12/27/2012       | 4/24/2013 | \$ 46.14       | \$ 44.85   | \$ 1.29               | -                               | \$ 1.29                  |
| 0.81807 | IWM    | 1/8/2013         | 5/2/2013  | \$ 76.04       | \$ 70.89   | \$ 5.15               | -                               | \$ 5.15                  |
| 0.81810 | WRB    | 8/14/2013        | 9/27/2013 | \$ 35.41       | \$ 34.50   | \$ 0.90               | -                               | \$ 0.90                  |
| 0.81931 | DNR    | 3/6/2013         | 9/27/2013 | \$ 15.20       | \$ 14.61   | \$ 0.59               | -                               | \$ 0.59                  |
| 0.81948 | RJF    | 2/5/2013         | 9/27/2013 | \$ 34.41       | \$ 37.13   | \$ (2.72)             | -                               | \$ (2.72)                |
| 0.82060 | DBV    | 7/3/2012         | 5/6/2013  | \$ 22.54       | \$ 20.29   | \$ 2.25               | -                               | \$ 2.25                  |
| 0.82216 | LAMR   | 9/10/2012        | 2/14/2013 | \$ 36.46       | \$ 26.72   | \$ 9.74               | -                               | \$ 9.74                  |

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.82225 | IWM    | 6/10/2013     | 9/27/2013 | \$ 87.68       | \$ 81.15   | \$ 6.54            | \$ -                      | \$ 6.54               |
| 0.82230 | EWG    | 2/7/2013      | 3/20/2013 | \$ 20.74       | \$ 20.45   | \$ 0.29            | \$ -                      | \$ 0.29               |
| 0.82296 | IWD    | 3/13/2013     | 3/18/2013 | \$ 66.79       | \$ 66.54   | \$ 0.26            | \$ -                      | \$ 0.26               |
| 0.82350 | SBH    | 10/19/2012    | 9/27/2013 | \$ 21.69       | \$ 19.36   | \$ 2.33            | \$ -                      | \$ 2.33               |
| 0.82452 | EMC    | 8/10/2012     | 5/14/2013 | \$ 18.98       | \$ 22.17   | \$ (3.19)          | \$ -                      | \$ (3.19)             |
| 0.82607 | CVS    | 1/15/2013     | 9/27/2013 | \$ 47.69       | \$ 42.61   | \$ 5.08            | \$ -                      | \$ 5.08               |
| 0.82902 | AIG    | 3/6/2013      | 9/27/2013 | \$ 40.72       | \$ 31.92   | \$ 8.81            | \$ -                      | \$ 8.81               |
| 0.83050 | CHKP   | 3/6/2013      | 9/27/2013 | \$ 47.05       | \$ 43.28   | \$ 3.76            | \$ -                      | \$ 3.76               |
| 0.83096 | IWM    | 9/19/2012     | 3/8/2013  | \$ 77.74       | \$ 71.35   | \$ 6.39            | \$ -                      | \$ 6.39               |
| 0.83183 | IEX    | 11/12/2012    | 9/27/2013 | \$ 53.94       | \$ 35.20   | \$ 18.75           | \$ -                      | \$ 18.75              |
| 0.83442 | ELD    | 1/11/2013     | 6/20/2013 | \$ 39.18       | \$ 44.64   | \$ (5.46)          | \$ -                      | \$ (5.46)             |
| 0.83545 | AAPL   | 12/21/2012    | 9/27/2013 | \$ 402.22      | \$ 429.64  | \$ (27.43)         | \$ -                      | \$ (27.43)            |
| 0.83677 | FRC    | 11/29/2012    | 6/27/2013 | \$ 32.15       | \$ 28.03   | \$ 4.11            | \$ -                      | \$ 4.11               |
| 0.83678 | VNTV   | 10/5/2012     | 9/27/2013 | \$ 22.98       | \$ 18.42   | \$ 4.55            | \$ -                      | \$ 4.55               |
| 0.83831 | IWM    | 2/14/2013     | 6/12/2013 | \$ 81.72       | \$ 76.83   | \$ 4.89            | \$ -                      | \$ 4.89               |
| 0.83869 | LLTC   | 10/23/2012    | 9/27/2013 | \$ 33.21       | \$ 25.98   | \$ 7.23            | \$ -                      | \$ 7.23               |
| 0.83956 | TTEC   | 3/6/2013      | 9/27/2013 | \$ 21.10       | \$ 16.32   | \$ 4.78            | \$ -                      | \$ 4.78               |
| 0.84304 | FNFG   | 3/6/2013      | 9/27/2013 | \$ 8.62        | \$ 6.90    | \$ 1.72            | \$ -                      | \$ 1.72               |
| 0.84307 | SLB    | 2/20/2013     | 9/27/2013 | \$ 74.90       | \$ 67.30   | \$ 7.60            | \$ -                      | \$ 7.60               |
| 0.84363 | SHLM   | 11/12/2012    | 9/13/2013 | \$ 23.58       | \$ 21.28   | \$ 2.30            | \$ -                      | \$ 2.30               |
| 0.84386 | CXO    | 11/9/2012     | 9/27/2013 | \$ 91.00       | \$ 70.05   | \$ 20.96           | \$ -                      | \$ 20.96              |
| 0.84445 | FBHS   | 2/21/2013     | 6/20/2013 | \$ 32.48       | \$ 27.70   | \$ 4.78            | \$ -                      | \$ 4.78               |
| 0.84578 | STR    | 8/6/2012      | 6/10/2013 | \$ 20.58       | \$ 17.21   | \$ 3.37            | \$ -                      | \$ 3.37               |
| 0.84627 | MCD    | 8/13/2012     | 6/20/2013 | \$ 81.96       | \$ 74.43   | \$ 7.53            | \$ -                      | \$ 7.53               |
| 0.85183 | RWO    | 8/7/2013      | 9/27/2013 | \$ 36.19       | \$ 36.03   | \$ 0.15            | \$ -                      | \$ 0.15               |
| 0.85201 | ACWI   | 12/11/2012    | 6/6/2013  | \$ 43.58       | \$ 40.88   | \$ 2.69            | \$ -                      | \$ 2.69               |
| 0.85277 | MLM    | 3/18/2013     | 9/27/2013 | \$ 82.72       | \$ 89.26   | \$ (6.54)          | \$ -                      | \$ (6.54)             |
| 0.85364 | ORCL   | 6/5/2012      | 4/8/2013  | \$ 27.42       | \$ 22.77   | \$ 4.64            | \$ -                      | \$ 4.64               |
| 0.85507 | USPH   | 3/6/2013      | 9/27/2013 | \$ 26.18       | \$ 21.07   | \$ 5.11            | \$ -                      | \$ 5.11               |
| 0.85545 | UNM    | 10/19/2012    | 6/20/2013 | \$ 24.80       | \$ 17.60   | \$ 7.20            | \$ -                      | \$ 7.20               |
| 0.85618 | MUNI   | 5/1/2013      | 9/27/2013 | \$ 44.68       | \$ 46.92   | \$ (2.24)          | \$ -                      | \$ (2.24)             |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.85621 | EA     | 2/20/2013        | 9/27/2013 | \$ 22.29       | \$ 15.14   | \$ 7.15               | -                               | \$ 7.15                  |
| 0.85725 | FAST   | 3/6/2013         | 9/27/2013 | \$ 42.71       | \$ 44.29   | \$ (1.58)             | -                               | \$ (1.58)                |
| 0.85730 | GDI    | 6/10/2013        | 7/31/2013 | \$ 65.15       | \$ 64.66   | \$ 0.50               | -                               | \$ 0.50                  |
| 0.85825 | FB     | 2/20/2013        | 9/10/2013 | \$ 37.42       | \$ 24.65   | \$ 12.77              | -                               | \$ 12.77                 |
| 0.85900 | DPZ    | 1/28/2013        | 9/27/2013 | \$ 57.91       | \$ 39.84   | \$ 18.07              | -                               | \$ 18.07                 |
| 0.86043 | IWR    | 5/24/2013        | 9/27/2013 | \$ 120.00      | \$ 113.79  | \$ 6.21               | -                               | \$ 6.21                  |
| 0.86201 | VNTV   | 1/15/2013        | 9/27/2013 | \$ 23.67       | \$ 16.90   | \$ 6.77               | -                               | \$ 6.77                  |
| 0.86350 | CACH   | 3/6/2013         | 9/27/2013 | \$ 5.21        | \$ 2.38    | \$ 2.83               | -                               | \$ 2.83                  |
| 0.86382 | EAT    | 2/19/2013        | 7/2/2013  | \$ 35.06       | \$ 27.54   | \$ 7.52               | -                               | \$ 7.52                  |
| 0.86505 | V      | 1/15/2013        | 9/27/2013 | \$ 166.83      | \$ 137.72  | \$ 29.12              | -                               | \$ 29.12                 |
| 0.86555 | ALXN   | 3/6/2013         | 9/27/2013 | \$ 101.05      | \$ 79.71   | \$ 21.35              | -                               | \$ 21.35                 |
| 0.86793 | IWM    | 3/22/2013        | 9/17/2013 | \$ 91.58       | \$ 81.74   | \$ 9.85               | -                               | \$ 9.85                  |
| 0.86815 | CXO    | 5/8/2013         | 9/27/2013 | \$ 93.62       | \$ 73.48   | \$ 20.14              | -                               | \$ 20.14                 |
| 0.86896 | PCP    | 11/12/2012       | 9/27/2013 | \$ 197.96      | \$ 157.26  | \$ 40.70              | -                               | \$ 40.70                 |
| 0.87046 | NOV    | 3/6/2013         | 9/27/2013 | \$ 68.04       | \$ 58.55   | \$ 9.50               | -                               | \$ 9.50                  |
| 0.87080 | PRXL   | 10/23/2012       | 9/27/2013 | \$ 43.54       | \$ 25.76   | \$ 17.78              | -                               | \$ 17.78                 |
| 0.87253 | CTSH   | 3/6/2013         | 5/3/2013  | \$ 57.36       | \$ 69.95   | \$ (12.59)            | -                               | \$ (12.59)               |
| 0.87286 | IWR    | 5/8/2013         | 8/20/2013 | \$ 118.05      | \$ 115.14  | \$ 2.92               | -                               | \$ 2.92                  |
| 0.88232 | DAR    | 3/6/2013         | 9/27/2013 | \$ 18.08       | \$ 14.72   | \$ 3.35               | -                               | \$ 3.35                  |
| 0.88340 | FRC    | 11/9/2012        | 6/27/2013 | \$ 33.94       | \$ 29.87   | \$ 4.07               | -                               | \$ 4.07                  |
| 0.88350 | IGT    | 10/5/2012        | 9/27/2013 | \$ 17.19       | \$ 11.59   | \$ 5.60               | -                               | \$ 5.60                  |
| 0.88352 | AVT    | 6/10/2013        | 9/27/2013 | \$ 36.92       | \$ 29.88   | \$ 7.04               | -                               | \$ 7.04                  |
| 0.88821 | IWM    | 4/4/2013         | 7/2/2013  | \$ 87.40       | \$ 81.09   | \$ 6.31               | -                               | \$ 6.31                  |
| 0.88868 | VNTV   | 10/23/2012       | 9/27/2013 | \$ 24.40       | \$ 17.58   | \$ 6.82               | -                               | \$ 6.82                  |
| 0.88954 | CTSH   | 1/15/2013        | 5/3/2013  | \$ 58.48       | \$ 69.18   | \$ (10.70)            | -                               | \$ (10.70)               |
| 0.88986 | SNA    | 2/19/2013        | 9/27/2013 | \$ 88.58       | \$ 70.86   | \$ 17.71              | -                               | \$ 17.71                 |
| 0.88995 | IWR    | 7/16/2013        | 9/27/2013 | \$ 124.11      | \$ 120.71  | \$ 3.40               | -                               | \$ 3.40                  |
| 0.89317 | EL     | 3/6/2013         | 8/13/2013 | \$ 59.05       | \$ 58.85   | \$ 0.20               | -                               | \$ 0.20                  |
| 0.89495 | MAN    | 12/27/2012       | 4/24/2013 | \$ 46.04       | \$ 37.28   | \$ 8.76               | -                               | \$ 8.76                  |
| 0.89500 | CROX   | 3/6/2013         | 9/27/2013 | \$ 12.21       | \$ 13.77   | \$ (1.56)             | -                               | \$ (1.56)                |
| 0.89630 | ORCL   | 2/20/2013        | 9/27/2013 | \$ 30.25       | \$ 31.78   | \$ (1.53)             | -                               | \$ (1.53)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.89816 | ZINC   | 6/4/2013      | 6/20/2013 | \$ 10.71       | \$ 10.84   | \$ (0.14)          | -                         | \$ (0.14)             |
| 0.89840 | DNR    | 11/12/2012    | 9/27/2013 | \$ 16.67       | \$ 13.14   | \$ 3.53            | -                         | \$ 3.53               |
| 0.89863 | KSU    | 6/6/2012      | 4/1/2013  | \$ 98.20       | \$ 58.95   | \$ 39.26           | -                         | \$ 39.26              |
| 0.89890 | CELG   | 3/6/2013      | 9/27/2013 | \$ 138.63      | \$ 99.12   | \$ 39.51           | -                         | \$ 39.51              |
| 0.90290 | CMCSK  | 10/5/2012     | 9/27/2013 | \$ 38.87       | \$ 32.35   | \$ 6.52            | -                         | \$ 6.52               |
| 0.90440 | AXP    | 2/20/2013     | 9/27/2013 | \$ 68.54       | \$ 56.33   | \$ 12.21           | -                         | \$ 12.21              |
| 0.90449 | MEAS   | 11/12/2012    | 9/27/2013 | \$ 49.11       | \$ 27.68   | \$ 21.43           | -                         | \$ 21.43              |
| 0.90550 | MIG    | 3/6/2013      | 9/27/2013 | \$ 5.85        | \$ 6.53    | \$ (0.68)          | -                         | \$ (0.68)             |
| 0.90815 | HCC    | 12/27/2012    | 9/27/2013 | \$ 39.87       | \$ 33.54   | \$ 6.33            | -                         | \$ 6.33               |
| 0.90916 | DE     | 1/15/2013     | 2/15/2013 | \$ 81.85       | \$ 81.62   | \$ 0.23            | -                         | \$ 0.23               |
| 0.90918 | LULU   | 3/6/2013      | 3/22/2013 | \$ 56.69       | \$ 64.01   | \$ (7.32)          | -                         | \$ (7.32)             |
| 0.90918 | MRC    | 1/2/2013      | 6/20/2013 | \$ 24.55       | \$ 25.02   | \$ (0.47)          | -                         | \$ (0.47)             |
| 0.91000 | R      | 6/6/2012      | 2/1/2013  | \$ 52.83       | \$ 38.80   | \$ 14.03           | -                         | \$ 14.03              |
| 0.91005 | VRSN   | 1/4/2013      | 9/27/2013 | \$ 46.45       | \$ 36.25   | \$ 10.20           | -                         | \$ 10.20              |
| 0.91086 | DRI    | 9/10/2012     | 2/5/2013  | \$ 42.82       | \$ 49.30   | \$ (6.49)          | -                         | \$ (6.49)             |
| 0.91132 | ARO    | 5/8/2013      | 6/10/2013 | \$ 13.29       | \$ 13.36   | \$ (0.07)          | -                         | \$ (0.07)             |
| 0.91254 | TXN    | 2/20/2013     | 9/27/2013 | \$ 36.55       | \$ 30.77   | \$ 5.78            | -                         | \$ 5.78               |
| 0.91615 | WWW    | 12/27/2012    | 3/14/2013 | \$ 41.05       | \$ 36.55   | \$ 4.50            | -                         | \$ 4.50               |
| 0.91641 | AMAT   | 10/5/2012     | 9/27/2013 | \$ 16.16       | \$ 10.32   | \$ 5.84            | -                         | \$ 5.84               |
| 0.92051 | KMT    | 12/27/2012    | 9/27/2013 | \$ 42.15       | \$ 36.17   | \$ 5.98            | -                         | \$ 5.98               |
| 0.92168 | SNPS   | 2/19/2013     | 9/27/2013 | \$ 34.72       | \$ 31.87   | \$ 2.85            | -                         | \$ 2.85               |
| 0.92193 | EW     | 3/6/2013      | 9/26/2013 | \$ 62.78       | \$ 81.57   | \$ (18.79)         | -                         | \$ (18.79)            |
| 0.92303 | EWJ    | 7/18/2012     | 3/20/2013 | \$ 9.86        | \$ 8.40    | \$ 1.46            | -                         | \$ 1.46               |
| 0.92492 | CCK    | 5/2/2013      | 9/27/2013 | \$ 39.44       | \$ 39.40   | \$ 0.04            | -                         | \$ 0.04               |
| 0.92508 | IWD    | 11/12/2012    | 1/25/2013 | \$ 71.48       | \$ 64.87   | \$ 6.61            | -                         | \$ 6.61               |
| 0.92555 | CIU    | 7/5/2013      | 9/27/2013 | \$ 99.94       | \$ 98.88   | \$ 1.06            | -                         | \$ 1.06               |
| 0.92612 | USPH   | 6/5/2012      | 4/29/2013 | \$ 22.01       | \$ 22.28   | \$ (0.27)          | -                         | \$ (0.27)             |
| 0.92761 | LNKD   | 6/6/2012      | 1/8/2013  | \$ 102.39      | \$ 85.61   | \$ 16.78           | -                         | \$ 16.78              |
| 0.92771 | ALV    | 2/19/2013     | 8/14/2013 | \$ 77.95       | \$ 63.47   | \$ 14.47           | -                         | \$ 14.47              |
| 0.92952 | IWD    | 4/25/2013     | 7/17/2013 | \$ 80.96       | \$ 76.45   | \$ 4.51            | -                         | \$ 4.51               |
| 0.93076 | VNTV   | 11/7/2012     | 9/27/2013 | \$ 25.56       | \$ 18.79   | \$ 6.77            | -                         | \$ 6.77               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.93264 | CFR    | 1/16/2013        | 9/27/2013 | \$ 64.89       | \$ 53.71   | \$ 11.19              | -                               | \$ 11.19                 |
| 0.93265 | BHLB   | 11/12/2012       | 9/27/2013 | \$ 23.39       | \$ 20.98   | \$ 2.41               | -                               | \$ 2.41                  |
| 0.93317 | IWM    | 8/6/2013         | 9/27/2013 | \$ 99.51       | \$ 97.44   | \$ 2.07               | -                               | \$ 2.07                  |
| 0.93365 | MNST   | 2/20/2013        | 9/27/2013 | \$ 49.61       | \$ 46.85   | \$ 2.77               | -                               | \$ 2.77                  |
| 0.93541 | AMZN   | 11/12/2012       | 9/27/2013 | \$ 294.99      | \$ 212.24  | \$ 82.75              | -                               | \$ 82.75                 |
| 0.93636 | AGN    | 5/28/2013        | 9/27/2013 | \$ 85.15       | \$ 92.99   | \$ (7.83)             | -                               | \$ (7.83)                |
| 0.93868 | ORCL   | 10/5/2012        | 9/27/2013 | \$ 31.68       | \$ 29.80   | \$ 1.88               | -                               | \$ 1.88                  |
| 0.94121 | IWM    | 11/12/2012       | 3/26/2013 | \$ 88.36       | \$ 74.67   | \$ 13.68              | -                               | \$ 13.68                 |
| 0.94349 | IWD    | 2/15/2013        | 3/18/2013 | \$ 76.57       | \$ 74.38   | \$ 2.20               | -                               | \$ 2.20                  |
| 0.94373 | LNKD   | 7/16/2012        | 2/12/2013 | \$ 145.47      | \$ 97.80   | \$ 47.67              | -                               | \$ 47.67                 |
| 0.94373 | AIT    | 2/5/2013         | 9/27/2013 | \$ 48.42       | \$ 42.42   | \$ 6.00               | -                               | \$ 6.00                  |
| 0.94457 | DOV    | 5/8/2013         | 9/27/2013 | \$ 84.53       | \$ 68.32   | \$ 16.21              | -                               | \$ 16.21                 |
| 0.94491 | FTI    | 11/5/2012        | 9/27/2013 | \$ 52.27       | \$ 38.55   | \$ 13.72              | -                               | \$ 13.72                 |
| 0.94588 | IWR    | 11/12/2012       | 4/1/2013  | \$ 119.38      | \$ 102.39  | \$ 16.99              | -                               | \$ 16.99                 |
| 0.94695 | RGA    | 6/6/2012         | 1/14/2013 | \$ 52.54       | \$ 47.51   | \$ 5.03               | -                               | \$ 5.03                  |
| 0.94903 | SKT    | 6/6/2012         | 1/8/2013  | \$ 32.82       | \$ 29.65   | \$ 3.18               | -                               | \$ 3.18                  |
| 0.95243 | IGT    | 2/20/2013        | 9/27/2013 | \$ 18.53       | \$ 15.51   | \$ 3.02               | -                               | \$ 3.02                  |
| 0.95436 | IWR    | 3/18/2013        | 6/20/2013 | \$ 121.93      | \$ 120.85  | \$ 1.08               | -                               | \$ 1.08                  |
| 0.95467 | CMI    | 1/15/2013        | 9/27/2013 | \$ 127.34      | \$ 106.23  | \$ 21.12              | -                               | \$ 21.12                 |
| 0.95674 | RBC    | 2/11/2013        | 9/27/2013 | \$ 65.24       | \$ 75.57   | \$ (10.33)            | -                               | \$ (10.33)               |
| 0.95847 | HCN    | 9/6/2013         | 9/27/2013 | \$ 60.36       | \$ 58.85   | \$ 1.51               | -                               | \$ 1.51                  |
| 0.96111 | HSON   | 3/6/2013         | 9/27/2013 | \$ 3.13        | \$ 3.77    | \$ (0.64)             | -                               | \$ (0.64)                |
| 0.96136 | IWD    | 11/9/2012        | 1/25/2013 | \$ 74.28       | \$ 67.96   | \$ 6.33               | -                               | \$ 6.33                  |
| 0.96185 | IWR    | 7/12/2013        | 9/27/2013 | \$ 134.14      | \$ 130.42  | \$ 3.72               | -                               | \$ 3.72                  |
| 0.96193 | AMP    | 3/6/2013         | 9/27/2013 | \$ 87.91       | \$ 67.53   | \$ 20.38              | -                               | \$ 20.38                 |
| 0.96221 | CMI    | 3/6/2013         | 9/27/2013 | \$ 128.35      | \$ 112.93  | \$ 15.42              | -                               | \$ 15.42                 |
| 0.96509 | AMP    | 1/15/2013        | 9/27/2013 | \$ 88.20       | \$ 62.64   | \$ 25.56              | -                               | \$ 25.56                 |
| 0.96779 | BIDU   | 10/15/2012       | 6/20/2013 | \$ 90.12       | \$ 109.34  | \$ (19.22)            | -                               | \$ (19.22)               |
| 0.96798 | VRTX   | 4/24/2013        | 6/20/2013 | \$ 73.45       | \$ 77.41   | \$ (3.96)             | -                               | \$ (3.96)                |
| 0.96891 | PRXL   | 8/13/2012        | 7/2/2013  | \$ 45.86       | \$ 26.04   | \$ 19.82              | -                               | \$ 19.82                 |
| 0.97197 | SIRO   | 10/1/2012        | 9/27/2013 | \$ 65.08       | \$ 55.57   | \$ 9.51               | -                               | \$ 9.51                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.97380 | DCT    | 6/12/2013        | 9/26/2013 | \$ 7.05        | \$ 6.97    | \$ 0.09               | -                               | \$ 0.09                  |
| 0.97570 | ARW    | 11/12/2012       | 9/27/2013 | \$ 47.02       | \$ 35.79   | \$ 11.23              | -                               | \$ 11.23                 |
| 0.97615 | WCN    | 12/27/2012       | 9/27/2013 | \$ 43.46       | \$ 32.49   | \$ 10.97              | -                               | \$ 10.97                 |
| 0.97833 | ROL    | 12/27/2012       | 9/27/2013 | \$ 25.99       | \$ 21.37   | \$ 4.63               | -                               | \$ 4.63                  |
| 0.97840 | DVA    | 3/18/2013        | 9/27/2013 | \$ 55.76       | \$ 57.64   | \$ (1.88)             | -                               | \$ (1.88)                |
| 0.97935 | DPZ    | 1/14/2013        | 9/27/2013 | \$ 66.03       | \$ 44.58   | \$ 21.45              | -                               | \$ 21.45                 |
| 0.98082 | IWD    | 1/15/2013        | 2/14/2013 | \$ 77.40       | \$ 73.82   | \$ 3.58               | -                               | \$ 3.58                  |
| 0.98230 | WWW    | 12/7/2012        | 3/14/2013 | \$ 44.01       | \$ 40.98   | \$ 3.04               | -                               | \$ 3.04                  |
| 0.98250 | USPH   | 7/17/2012        | 6/20/2013 | \$ 28.91       | \$ 25.73   | \$ 3.17               | -                               | \$ 3.17                  |
| 0.98387 | OIS    | 6/6/2012         | 2/11/2013 | \$ 76.87       | \$ 64.02   | \$ 12.85              | -                               | \$ 12.85                 |
| 0.98601 | ELD    | 1/11/2013        | 6/13/2013 | \$ 48.37       | \$ 52.75   | \$ (4.37)             | -                               | \$ (4.37)                |
| 0.98701 | WCN    | 8/6/2012         | 4/4/2013  | \$ 34.85       | \$ 29.84   | \$ 5.01               | -                               | \$ 5.01                  |
| 0.98748 | FRC    | 10/2/2012        | 1/23/2013 | \$ 35.52       | \$ 32.86   | \$ 2.66               | -                               | \$ 2.66                  |
| 0.98835 | IWF    | 1/8/2013         | 3/1/2013  | \$ 68.32       | \$ 65.97   | \$ 2.35               | -                               | \$ 2.35                  |
| 0.98898 | ERIE   | 3/18/2013        | 9/27/2013 | \$ 72.69       | \$ 75.00   | \$ (2.31)             | -                               | \$ (2.31)                |
| 0.98945 | LAMR   | 6/6/2012         | 2/14/2013 | \$ 43.88       | \$ 25.43   | \$ 18.45              | -                               | \$ 18.45                 |
| 0.98994 | VOLC   | 11/12/2012       | 9/27/2013 | \$ 23.27       | \$ 27.03   | \$ (3.75)             | -                               | \$ (3.75)                |
| 0.99033 | IWM    | 5/24/2013        | 9/27/2013 | \$ 105.61      | \$ 96.44   | \$ 9.17               | -                               | \$ 9.17                  |
| 0.99561 | EWN    | 11/12/2012       | 3/6/2013  | \$ 20.52       | \$ 18.74   | \$ 1.78               | -                               | \$ 1.78                  |
| 0.99706 | GOOG   | 5/8/2013         | 9/27/2013 | \$ 872.67      | \$ 868.89  | \$ 3.78               | -                               | \$ 3.78                  |
| 0.99721 | ANN    | 11/12/2012       | 9/27/2013 | \$ 36.23       | \$ 33.07   | \$ 3.16               | -                               | \$ 3.16                  |
| 0.99740 | WWW    | 9/24/2012        | 2/19/2013 | \$ 44.47       | \$ 44.40   | \$ 0.07               | -                               | \$ 0.07                  |
| 0.99762 | PLCE   | 11/29/2012       | 9/9/2013  | \$ 54.76       | \$ 48.50   | \$ 6.26               | -                               | \$ 6.26                  |
| 0.99970 | OIS    | 5/8/2013         | 9/27/2013 | \$ 104.05      | \$ 93.50   | \$ 10.55              | -                               | \$ 10.55                 |
| 1.00147 | KEG    | 3/6/2013         | 9/27/2013 | \$ 7.43        | \$ 8.19    | \$ (0.76)             | -                               | \$ (0.76)                |
| 1.00238 | PX     | 3/6/2013         | 9/27/2013 | \$ 120.55      | \$ 114.31  | \$ 6.24               | -                               | \$ 6.24                  |
| 1.00339 | JNK    | 12/11/2012       | 6/6/2013  | \$ 40.15       | \$ 40.95   | \$ (0.81)             | -                               | \$ (0.81)                |
| 1.00619 | AIV    | 11/12/2012       | 9/27/2013 | \$ 28.24       | \$ 25.39   | \$ 2.85               | -                               | \$ 2.85                  |
| 1.00656 | IWM    | 11/20/2012       | 3/28/2013 | \$ 95.02       | \$ 79.48   | \$ 15.54              | -                               | \$ 15.54                 |
| 1.00765 | VNTV   | 12/27/2012       | 9/27/2013 | \$ 27.67       | \$ 20.31   | \$ 7.36               | -                               | \$ 7.36                  |
| 1.00908 | IT     | 5/8/2013         | 9/27/2013 | \$ 60.35       | \$ 57.76   | \$ 2.59               | -                               | \$ 2.59                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.00967 | WWAV   | 3/6/2013         | 6/3/2013  | \$ 16.82       | \$ 15.18   | \$ 1.64               | -                               | \$ 1.64                  |
| 1.01070 | MENT   | 3/6/2013         | 9/27/2013 | \$ 23.40       | \$ 17.21   | \$ 6.19               | -                               | \$ 6.19                  |
| 1.01111 | PRFT   | 3/6/2013         | 9/27/2013 | \$ 18.61       | \$ 12.06   | \$ 6.56               | -                               | \$ 6.56                  |
| 1.01214 | UFPI   | 9/6/2013         | 9/27/2013 | \$ 42.77       | \$ 40.44   | \$ 2.33               | -                               | \$ 2.33                  |
| 1.01244 | CXO    | 9/6/2013         | 9/27/2013 | \$ 109.18      | \$ 101.68  | \$ 7.50               | -                               | \$ 7.50                  |
| 1.01400 | CIU    | 8/7/2013         | 9/27/2013 | \$ 109.49      | \$ 109.37  | \$ 0.12               | -                               | \$ 0.12                  |
| 1.01496 | HCC    | 2/5/2013         | 9/27/2013 | \$ 44.56       | \$ 39.24   | \$ 5.31               | -                               | \$ 5.31                  |
| 1.01524 | MCD    | 11/12/2012       | 9/27/2013 | \$ 98.64       | \$ 86.20   | \$ 12.45              | -                               | \$ 12.45                 |
| 1.01638 | NVO    | 11/12/2012       | 9/27/2013 | \$ 172.38      | \$ 158.31  | \$ 14.07              | -                               | \$ 14.07                 |
| 1.01690 | EWQ    | 10/5/2012        | 3/20/2013 | \$ 24.35       | \$ 22.32   | \$ 2.03               | -                               | \$ 2.03                  |
| 1.01926 | IWM    | 5/8/2013         | 8/20/2013 | \$ 104.08      | \$ 98.06   | \$ 6.01               | -                               | \$ 6.01                  |
| 1.01940 | BIIB   | 10/8/2012        | 6/20/2013 | \$ 203.81      | \$ 154.98  | \$ 48.83              | -                               | \$ 48.83                 |
| 1.01973 | ABBV   | 6/6/2012         | 4/8/2013  | \$ 42.50       | \$ 32.11   | \$ 10.39              | -                               | \$ 10.39                 |
| 1.02110 | RCKB   | 3/6/2013         | 9/27/2013 | \$ 13.32       | \$ 13.22   | \$ 0.09               | -                               | \$ 0.09                  |
| 1.02118 | OIS    | 12/17/2012       | 9/27/2013 | \$ 106.28      | \$ 67.93   | \$ 38.35              | -                               | \$ 38.35                 |
| 1.02235 | SLB    | 1/15/2013        | 9/27/2013 | \$ 90.83       | \$ 74.02   | \$ 16.81              | -                               | \$ 16.81                 |
| 1.02399 | LKQ    | 3/18/2013        | 9/27/2013 | \$ 32.29       | \$ 21.55   | \$ 10.74              | -                               | \$ 10.74                 |
| 1.02423 | PCLN   | 9/6/2013         | 9/27/2013 | \$ 1,033.54    | \$ 985.16  | \$ 48.38              | -                               | \$ 48.38                 |
| 1.02823 | STR    | 8/10/2012        | 6/10/2013 | \$ 25.02       | \$ 21.07   | \$ 3.95               | -                               | \$ 3.95                  |
| 1.02950 | SJM    | 8/20/2012        | 6/20/2013 | \$ 103.14      | \$ 86.97   | \$ 16.17              | -                               | \$ 16.17                 |
| 1.02964 | HSIC   | 5/8/2013         | 9/27/2013 | \$ 106.75      | \$ 95.17   | \$ 11.58              | -                               | \$ 11.58                 |
| 1.03036 | JOSB   | 11/12/2012       | 9/9/2013  | \$ 42.86       | \$ 47.83   | \$ (4.97)             | -                               | \$ (4.97)                |
| 1.03435 | ADTN   | 11/6/2012        | 3/8/2013  | \$ 21.43       | \$ 18.14   | \$ 3.29               | -                               | \$ 3.29                  |
| 1.03459 | CSL    | 4/1/2013         | 9/27/2013 | \$ 72.34       | \$ 69.79   | \$ 2.55               | -                               | \$ 2.55                  |
| 1.03530 | AAPL   | 10/8/2012        | 9/27/2013 | \$ 498.43      | \$ 662.45  | \$ (164.02)           | -                               | \$ (164.02)              |
| 1.03539 | IWM    | 11/27/2012       | 4/1/2013  | \$ 96.15       | \$ 83.87   | \$ 12.28              | -                               | \$ 12.28                 |
| 1.03691 | DOV    | 9/6/2013         | 9/27/2013 | \$ 92.80       | \$ 90.99   | \$ 1.81               | -                               | \$ 1.81                  |
| 1.03730 | ARIA   | 3/6/2013         | 9/27/2013 | \$ 19.37       | \$ 22.33   | \$ (2.97)             | -                               | \$ (2.97)                |
| 1.03864 | YUM    | 11/12/2012       | 6/20/2013 | \$ 72.06       | \$ 75.09   | \$ (3.03)             | -                               | \$ (3.03)                |
| 1.03942 | CNL    | 12/27/2012       | 9/27/2013 | \$ 46.75       | \$ 41.28   | \$ 5.47               | -                               | \$ 5.47                  |
| 1.03943 | AAPL   | 4/1/2013         | 9/27/2013 | \$ 500.42      | \$ 452.04  | \$ 48.38              | -                               | \$ 48.38                 |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.04034 | MINT   | 7/5/2013         | 9/27/2013 | \$ 105.52      | \$ 105.26  | \$ 0.26               | -                               | \$ 0.26                  |
| 1.04358 | AGG    | 12/4/2012        | 5/28/2013 | \$ 114.27      | \$ 116.50  | \$ (2.23)             | -                               | \$ (2.23)                |
| 1.04536 | MKC    | 6/6/2012         | 1/28/2013 | \$ 63.66       | \$ 57.95   | \$ 5.71               | -                               | \$ 5.71                  |
| 1.04607 | RTI    | 11/12/2012       | 9/27/2013 | \$ 33.18       | \$ 25.07   | \$ 8.11               | -                               | \$ 8.11                  |
| 1.04706 | AGN    | 10/15/2012       | 6/20/2013 | \$ 101.42      | \$ 97.74   | \$ 3.68               | -                               | \$ 3.68                  |
| 1.04775 | DM     | 3/6/2013         | 9/27/2013 | \$ 2.26        | \$ 2.67    | \$ (0.41)             | -                               | \$ (0.41)                |
| 1.04911 | BERY   | 11/12/2012       | 7/2/2013  | \$ 23.25       | \$ 14.60   | \$ 8.65               | -                               | \$ 8.65                  |
| 1.04985 | EWZ    | 1/15/2013        | 3/20/2013 | \$ 57.70       | \$ 59.21   | \$ (1.51)             | -                               | \$ (1.51)                |
| 1.05029 | DBV    | 5/1/2013         | 6/20/2013 | \$ 26.30       | \$ 28.55   | \$ (2.25)             | -                               | \$ (2.25)                |
| 1.05185 | PMCS   | 7/17/2012        | 1/14/2013 | \$ 5.62        | \$ 5.94    | \$ (0.32)             | -                               | \$ (0.32)                |
| 1.05363 | REXX   | 3/6/2013         | 9/27/2013 | \$ 23.43       | \$ 14.26   | \$ 9.17               | -                               | \$ 9.17                  |
| 1.05440 | BIIB   | 9/10/2012        | 4/24/2013 | \$ 220.55      | \$ 159.78  | \$ 60.77              | -                               | \$ 60.77                 |
| 1.05527 | LTXC   | 3/6/2013         | 9/27/2013 | \$ 6.92        | \$ 5.98    | \$ 0.94               | -                               | \$ 0.94                  |
| 1.05564 | ALXN   | 2/22/2013        | 9/27/2013 | \$ 123.25      | \$ 92.09   | \$ 31.15              | -                               | \$ 31.15                 |
| 1.05627 | QAI    | 5/10/2013        | 9/27/2013 | \$ 30.21       | \$ 30.10   | \$ 0.11               | -                               | \$ 0.11                  |
| 1.05659 | TMO    | 3/6/2013         | 9/27/2013 | \$ 98.10       | \$ 81.08   | \$ 17.03              | -                               | \$ 17.03                 |
| 1.05660 | RSG    | 11/12/2012       | 9/27/2013 | \$ 35.60       | \$ 28.30   | \$ 7.29               | -                               | \$ 7.29                  |
| 1.05666 | DBV    | 12/11/2012       | 9/4/2013  | \$ 26.93       | \$ 27.59   | \$ (0.65)             | -                               | \$ (0.65)                |
| 1.05736 | V      | 6/6/2012         | 4/8/2013  | \$ 174.62      | \$ 122.56  | \$ 52.06              | -                               | \$ 52.06                 |
| 1.05822 | LNKD   | 10/15/2012       | 3/1/2013  | \$ 178.17      | \$ 115.72  | \$ 62.45              | -                               | \$ 62.45                 |
| 1.05966 | ABG    | 11/6/2012        | 8/26/2013 | \$ 52.86       | \$ 33.29   | \$ 19.57              | -                               | \$ 19.57                 |
| 1.06045 | MINT   | 8/1/2013         | 9/27/2013 | \$ 107.56      | \$ 107.45  | \$ 0.11               | -                               | \$ 0.11                  |
| 1.06080 | IWD    | 10/15/2012       | 1/11/2013 | \$ 79.78       | \$ 76.69   | \$ 3.08               | -                               | \$ 3.08                  |
| 1.06111 | DVA    | 6/6/2012         | 3/13/2013 | \$ 124.13      | \$ 88.46   | \$ 35.67              | -                               | \$ 35.67                 |
| 1.06130 | LLNW   | 11/5/2012        | 9/27/2013 | \$ 2.10        | \$ 2.21    | \$ (0.11)             | -                               | \$ (0.11)                |
| 1.06276 | TUR    | 9/10/2012        | 3/20/2013 | \$ 72.42       | \$ 60.97   | \$ 11.45              | -                               | \$ 11.45                 |
| 1.06549 | PCLN   | 5/8/2013         | 9/27/2013 | \$ 1,075.18    | \$ 782.85  | \$ 292.32             | -                               | \$ 292.32                |
| 1.06619 | CRI    | 9/6/2013         | 9/27/2013 | \$ 81.32       | \$ 78.77   | \$ 2.55               | -                               | \$ 2.55                  |
| 1.06781 | IWF    | 2/20/2013        | 4/24/2013 | \$ 76.71       | \$ 74.18   | \$ 2.54               | -                               | \$ 2.54                  |
| 1.07078 | UTX    | 11/12/2012       | 9/27/2013 | \$ 117.08      | \$ 81.75   | \$ 35.33              | -                               | \$ 35.33                 |
| 1.07191 | CBT    | 6/6/2012         | 2/11/2013 | \$ 39.49       | \$ 39.43   | \$ 0.06               | -                               | \$ 0.06                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.07231 | BXS    | 12/19/2012       | 6/20/2013 | \$ 18.00       | \$ 15.85   | \$ 2.15               | -                               | \$ 2.15                  |
| 1.07571 | RGA    | 6/6/2012         | 1/28/2013 | \$ 60.08       | \$ 53.97   | \$ 6.10               | -                               | \$ 6.10                  |
| 1.07742 | VRSN   | 12/27/2012       | 9/27/2013 | \$ 54.99       | \$ 40.58   | \$ 14.42              | -                               | \$ 14.42                 |
| 1.07897 | BYI    | 12/7/2012        | 9/26/2013 | \$ 80.39       | \$ 50.30   | \$ 30.09              | -                               | \$ 30.09                 |
| 1.07928 | TXN    | 10/5/2012        | 9/27/2013 | \$ 43.23       | \$ 30.69   | \$ 12.54              | -                               | \$ 12.54                 |
| 1.07974 | COL    | 1/15/2013        | 9/27/2013 | \$ 74.19       | \$ 63.90   | \$ 10.28              | -                               | \$ 10.28                 |
| 1.08020 | WWAV   | 3/18/2013        | 6/3/2013  | \$ 18.00       | \$ 17.47   | \$ 0.53               | -                               | \$ 0.53                  |
| 1.08203 | COF    | 3/6/2013         | 9/27/2013 | \$ 74.74       | \$ 58.59   | \$ 16.15              | -                               | \$ 16.15                 |
| 1.08464 | IWM    | 5/8/2013         | 9/27/2013 | \$ 115.73      | \$ 104.35  | \$ 11.38              | -                               | \$ 11.38                 |
| 1.08567 | CVH    | 2/1/2013         | 5/7/2013  | \$ 24.75       | \$ 20.22   | \$ 4.54               | -                               | \$ 4.54                  |
| 1.08705 | DNR    | 2/19/2013        | 9/27/2013 | \$ 20.16       | \$ 20.48   | \$ (0.32)             | -                               | \$ (0.32)                |
| 1.08876 | PMT    | 9/25/2012        | 6/20/2013 | \$ 21.96       | \$ 25.16   | \$ (3.19)             | -                               | \$ (3.19)                |
| 1.08890 | COL    | 3/6/2013         | 9/27/2013 | \$ 74.82       | \$ 65.34   | \$ 9.48               | -                               | \$ 9.48                  |
| 1.08894 | SHY    | 11/9/2012        | 3/18/2013 | \$ 91.94       | \$ 91.95   | \$ (0.01)             | -                               | \$ (0.01)                |
| 1.09007 | SJM    | 11/12/2012       | 9/27/2013 | \$ 114.37      | \$ 92.42   | \$ 21.96              | -                               | \$ 21.96                 |
| 1.09104 | ATMI   | 11/12/2012       | 9/27/2013 | \$ 28.80       | \$ 20.98   | \$ 7.82               | -                               | \$ 7.82                  |
| 1.09180 | MOLX   | 12/27/2012       | 4/26/2013 | \$ 29.35       | \$ 29.27   | \$ 0.08               | -                               | \$ 0.08                  |
| 1.09303 | IWR    | 9/6/2012         | 1/2/2013  | \$ 125.73      | \$ 121.44  | \$ 4.29               | -                               | \$ 4.29                  |
| 1.09462 | ACCO   | 3/6/2013         | 9/27/2013 | \$ 7.36        | \$ 8.10    | \$ (0.74)             | -                               | \$ (0.74)                |
| 1.09693 | AXP    | 1/15/2013        | 9/27/2013 | \$ 83.14       | \$ 66.48   | \$ 16.65              | -                               | \$ 16.65                 |
| 1.09716 | PRGO   | 11/12/2012       | 9/27/2013 | \$ 135.66      | \$ 116.53  | \$ 19.14              | -                               | \$ 19.14                 |
| 1.09853 | IYR    | 8/7/2013         | 9/27/2013 | \$ 70.71       | \$ 71.76   | \$ (1.05)             | -                               | \$ (1.05)                |
| 1.09872 | ATML   | 6/5/2012         | 1/23/2013 | \$ 7.37        | \$ 7.46    | \$ (0.09)             | -                               | \$ (0.09)                |
| 1.10137 | MON    | 1/7/2013         | 6/20/2013 | \$ 114.35      | \$ 105.54  | \$ 8.81               | -                               | \$ 8.81                  |
| 1.10149 | IWF    | 5/28/2013        | 7/18/2013 | \$ 84.41       | \$ 83.24   | \$ 1.17               | -                               | \$ 1.17                  |
| 1.10194 | CL     | 6/6/2012         | 4/8/2013  | \$ 129.07      | \$ 107.62  | \$ 21.45              | -                               | \$ 21.45                 |
| 1.10210 | HSIC   | 9/6/2013         | 9/27/2013 | \$ 114.27      | \$ 113.45  | \$ 0.82               | -                               | \$ 0.82                  |
| 1.10414 | CCK    | 11/12/2012       | 6/24/2013 | \$ 43.66       | \$ 41.41   | \$ 2.25               | -                               | \$ 2.25                  |
| 1.10508 | XYL    | 8/14/2013        | 9/27/2013 | \$ 30.96       | \$ 27.51   | \$ 3.45               | -                               | \$ 3.45                  |
| 1.10598 | HXL    | 12/7/2012        | 9/27/2013 | \$ 42.75       | \$ 28.26   | \$ 14.49              | -                               | \$ 14.49                 |
| 1.10680 | SDY    | 8/7/2013         | 9/27/2013 | \$ 76.51       | \$ 77.74   | \$ (1.23)             | -                               | \$ (1.23)                |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.10823 | PX     | 6/6/2012         | 4/8/2013  | \$ 122.64      | \$ 114.99  | \$ 7.64               | -                               | \$ 7.64                  |
| 1.10867 | AGG    | 1/17/2013        | 6/20/2013 | \$ 118.89      | \$ 122.91  | \$ (4.02)             | -                               | \$ (4.02)                |
| 1.11062 | SPLS   | 2/20/2013        | 9/27/2013 | \$ 16.21       | \$ 15.88   | \$ 0.33               | -                               | \$ 0.33                  |
| 1.11418 | IWM    | 7/16/2013        | 9/27/2013 | \$ 118.82      | \$ 115.02  | \$ 3.79               | -                               | \$ 3.79                  |
| 1.11501 | CSL    | 9/6/2013         | 9/27/2013 | \$ 77.96       | \$ 76.50   | \$ 1.46               | -                               | \$ 1.46                  |
| 1.11838 | ALK    | 1/7/2013         | 9/27/2013 | \$ 71.04       | \$ 52.00   | \$ 19.04              | -                               | \$ 19.04                 |
| 1.11899 | ALV    | 2/19/2013        | 4/24/2013 | \$ 76.27       | \$ 76.56   | \$ (0.29)             | -                               | \$ (0.29)                |
| 1.12066 | CFN    | 12/27/2012       | 9/27/2013 | \$ 41.33       | \$ 31.56   | \$ 9.77               | -                               | \$ 9.77                  |
| 1.12082 | HSIC   | 6/6/2012         | 1/8/2013  | \$ 92.94       | \$ 83.55   | \$ 9.39               | -                               | \$ 9.39                  |
| 1.12164 | CFR    | 8/14/2013        | 9/27/2013 | \$ 78.04       | \$ 82.55   | \$ (4.51)             | -                               | \$ (4.51)                |
| 1.12304 | EMB    | 7/18/2012        | 5/6/2013  | \$ 136.17      | \$ 132.39  | \$ 3.78               | -                               | \$ 3.78                  |
| 1.12421 | CTSH   | 2/20/2013        | 9/27/2013 | \$ 92.98       | \$ 87.56   | \$ 5.42               | -                               | \$ 5.42                  |
| 1.12649 | KMT    | 6/10/2013        | 9/27/2013 | \$ 51.58       | \$ 46.36   | \$ 5.22               | -                               | \$ 5.22                  |
| 1.12869 | YUM    | 7/17/2012        | 6/18/2013 | \$ 81.38       | \$ 72.60   | \$ 8.78               | -                               | \$ 8.78                  |
| 1.13118 | WRB    | 5/8/2013         | 9/27/2013 | \$ 48.96       | \$ 48.72   | \$ 0.24               | -                               | \$ 0.24                  |
| 1.13219 | IWM    | 3/18/2013        | 8/13/2013 | \$ 118.15      | \$ 107.05  | \$ 11.10              | -                               | \$ 11.10                 |
| 1.13307 | CIT    | 11/5/2012        | 9/27/2013 | \$ 54.83       | \$ 42.69   | \$ 12.14              | -                               | \$ 12.14                 |
| 1.13339 | SPLS   | 10/5/2012        | 9/27/2013 | \$ 16.54       | \$ 13.37   | \$ 3.17               | -                               | \$ 3.17                  |
| 1.13349 | IWM    | 11/6/2012        | 4/1/2013  | \$ 105.26      | \$ 93.43   | \$ 11.82              | -                               | \$ 11.82                 |
| 1.13364 | V      | 11/12/2012       | 9/27/2013 | \$ 218.64      | \$ 162.17  | \$ 56.47              | -                               | \$ 56.47                 |
| 1.13491 | LTM    | 9/19/2012        | 4/26/2013 | \$ 52.42       | \$ 55.35   | \$ (2.93)             | -                               | \$ (2.93)                |
| 1.13556 | UHS    | 12/27/2012       | 9/27/2013 | \$ 84.79       | \$ 54.50   | \$ 30.29              | -                               | \$ 30.29                 |
| 1.13799 | SBAC   | 5/8/2013         | 9/27/2013 | \$ 90.62       | \$ 90.80   | \$ (0.18)             | -                               | \$ (0.18)                |
| 1.13843 | TUR    | 7/17/2012        | 3/20/2013 | \$ 77.57       | \$ 60.16   | \$ 17.41              | -                               | \$ 17.41                 |
| 1.14093 | RGA    | 5/8/2013         | 9/27/2013 | \$ 76.73       | \$ 72.86   | \$ 3.87               | -                               | \$ 3.87                  |
| 1.14225 | ORI    | 3/6/2013         | 9/27/2013 | \$ 17.47       | \$ 13.96   | \$ 3.51               | -                               | \$ 3.51                  |
| 1.14229 | PMT    | 10/19/2012       | 9/27/2013 | \$ 26.03       | \$ 27.95   | \$ (1.91)             | -                               | \$ (1.91)                |
| 1.14273 | IWM    | 9/6/2012         | 1/2/2013  | \$ 98.65       | \$ 95.54   | \$ 3.11               | -                               | \$ 3.11                  |
| 1.14289 | HAR    | 11/13/2012       | 8/14/2013 | \$ 79.38       | \$ 44.58   | \$ 34.80              | -                               | \$ 34.80                 |
| 1.14313 | UFPI   | 5/8/2013         | 9/27/2013 | \$ 48.31       | \$ 44.18   | \$ 4.13               | -                               | \$ 4.13                  |
| 1.14397 | STR    | 7/17/2012        | 5/29/2013 | \$ 27.18       | \$ 23.37   | \$ 3.81               | -                               | \$ 3.81                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.14492 | HBI    | 12/27/2012       | 8/6/2013  | \$ 71.75       | \$ 39.95   | \$ 31.80              | -                               | \$ 31.80                 |
| 1.14606 | ELD    | 8/7/2013         | 9/27/2013 | \$ 54.01       | \$ 54.49   | \$ (0.48)             | -                               | \$ (0.48)                |
| 1.14637 | DBV    | 6/6/2012         | 5/6/2013  | \$ 31.48       | \$ 27.32   | \$ 4.17               | -                               | \$ 4.17                  |
| 1.14648 | FB     | 9/6/2013         | 9/27/2013 | \$ 58.46       | \$ 50.82   | \$ 7.64               | -                               | \$ 7.64                  |
| 1.14670 | QCOM   | 3/6/2013         | 9/27/2013 | \$ 77.12       | \$ 76.20   | \$ 0.92               | -                               | \$ 0.92                  |
| 1.14685 | SEE    | 5/1/2013         | 9/27/2013 | \$ 31.54       | \$ 25.53   | \$ 6.01               | -                               | \$ 6.01                  |
| 1.14808 | IWM    | 5/8/2013         | 9/27/2013 | \$ 122.50      | \$ 110.61  | \$ 11.90              | -                               | \$ 11.90                 |
| 1.15027 | MNST   | 1/15/2013        | 9/27/2013 | \$ 61.13       | \$ 58.50   | \$ 2.62               | -                               | \$ 2.62                  |
| 1.15054 | LKQ    | 3/6/2013         | 9/27/2013 | \$ 36.28       | \$ 23.45   | \$ 12.83              | -                               | \$ 12.83                 |
| 1.15061 | HXL    | 10/25/2012       | 9/27/2013 | \$ 44.47       | \$ 29.32   | \$ 15.15              | -                               | \$ 15.15                 |
| 1.15258 | BLK    | 11/12/2012       | 9/27/2013 | \$ 311.98      | \$ 217.71  | \$ 94.27              | -                               | \$ 94.27                 |
| 1.15605 | SHOO   | 11/12/2012       | 9/27/2013 | \$ 61.56       | \$ 48.54   | \$ 13.02              | -                               | \$ 13.02                 |
| 1.15842 | IDTI   | 3/6/2013         | 9/27/2013 | \$ 10.85       | \$ 7.87    | \$ 2.98               | -                               | \$ 2.98                  |
| 1.15955 | AXP    | 9/10/2012        | 6/19/2013 | \$ 86.70       | \$ 67.09   | \$ 19.61              | -                               | \$ 19.61                 |
| 1.16076 | AMED   | 9/6/2013         | 9/27/2013 | \$ 19.76       | \$ 19.86   | \$ (0.10)             | -                               | \$ (0.10)                |
| 1.16616 | DOX    | 11/12/2012       | 9/27/2013 | \$ 43.19       | \$ 38.08   | \$ 5.11               | -                               | \$ 5.11                  |
| 1.16811 | VRSN   | 8/14/2013        | 9/27/2013 | \$ 59.62       | \$ 57.72   | \$ 1.90               | -                               | \$ 1.90                  |
| 1.17072 | LKQ    | 3/6/2013         | 6/20/2013 | \$ 29.53       | \$ 23.86   | \$ 5.67               | -                               | \$ 5.67                  |
| 1.17488 | HCN    | 3/18/2013        | 9/27/2013 | \$ 73.98       | \$ 75.98   | \$ (2.00)             | -                               | \$ (2.00)                |
| 1.17537 | EZA    | 2/6/2013         | 3/20/2013 | \$ 74.75       | \$ 78.49   | \$ (3.74)             | -                               | \$ (3.74)                |
| 1.17633 | EMC    | 2/20/2013        | 5/14/2013 | \$ 27.08       | \$ 27.99   | \$ (0.91)             | -                               | \$ (0.91)                |
| 1.17639 | AXP    | 3/6/2013         | 9/27/2013 | \$ 89.16       | \$ 76.19   | \$ 12.97              | -                               | \$ 12.97                 |
| 1.17893 | WPP    | 3/6/2013         | 9/27/2013 | \$ 15.20       | \$ 12.06   | \$ 3.14               | -                               | \$ 3.14                  |
| 1.17897 | VNQ    | 5/1/2013         | 6/20/2013 | \$ 77.85       | \$ 88.63   | \$ (10.78)            | -                               | \$ (10.78)               |
| 1.18066 | YUM    | 3/6/2013         | 7/15/2013 | \$ 84.94       | \$ 79.50   | \$ 5.44               | -                               | \$ 5.44                  |
| 1.18117 | CYTK   | 6/27/2013        | 9/27/2013 | \$ 9.12        | \$ 13.65   | \$ (4.54)             | -                               | \$ (4.54)                |
| 1.18401 | LTM    | 8/3/2012         | 4/26/2013 | \$ 54.69       | \$ 55.45   | \$ (0.76)             | -                               | \$ (0.76)                |
| 1.18913 | SLB    | 3/6/2013         | 9/27/2013 | \$ 105.64      | \$ 91.91   | \$ 13.73              | -                               | \$ 13.73                 |
| 1.19149 | ASCSA  | 9/6/2013         | 9/27/2013 | \$ 95.44       | \$ 90.51   | \$ 4.93               | -                               | \$ 4.93                  |
| 1.19319 | IWM    | 10/5/2012        | 2/5/2013  | \$ 107.42      | \$ 101.62  | \$ 5.80               | -                               | \$ 5.80                  |
| 1.19762 | SBH    | 1/28/2013        | 9/27/2013 | \$ 31.55       | \$ 31.79   | \$ (0.25)             | -                               | \$ (0.25)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 1.19862 | DE     | 10/15/2012    | 2/12/2013 | \$ 111.52      | \$ 99.49   | \$ 12.03           | -                         | \$ 12.03              |
| 1.19912 | AXP    | 3/6/2013      | 6/20/2013 | \$ 87.66       | \$ 77.66   | \$ 10.00           | -                         | \$ 10.00              |
| 1.20021 | MOLX   | 5/8/2013      | 9/27/2013 | \$ 46.15       | \$ 34.71   | \$ 11.44           | -                         | \$ 11.44              |
| 1.20222 | DSX    | 11/12/2012    | 9/27/2013 | \$ 14.55       | \$ 8.71    | \$ 5.83            | -                         | \$ 5.83               |
| 1.20726 | CL     | 3/6/2013      | 9/27/2013 | \$ 72.44       | \$ 69.71   | \$ 2.72            | -                         | \$ 2.72               |
| 1.21057 | ARG    | 5/8/2013      | 9/27/2013 | \$ 128.12      | \$ 119.75  | \$ 8.37            | -                         | \$ 8.37               |
| 1.21230 | SD     | 6/5/2012      | 3/14/2013 | \$ 6.74        | \$ 7.38    | \$ (0.64)          | -                         | \$ (0.64)             |
| 1.21277 | IWM    | 2/11/2013     | 6/4/2013  | \$ 119.13      | \$ 109.77  | \$ 9.36            | -                         | \$ 9.36               |
| 1.21352 | IWM    | 5/8/2013      | 9/26/2013 | \$ 129.25      | \$ 116.75  | \$ 12.50           | -                         | \$ 12.50              |
| 1.21428 | PX     | 1/15/2013     | 9/27/2013 | \$ 146.03      | \$ 138.56  | \$ 7.47            | -                         | \$ 7.47               |
| 1.21579 | TMK    | 5/8/2013      | 9/27/2013 | \$ 88.47       | \$ 77.47   | \$ 11.00           | -                         | \$ 11.00              |
| 1.21804 | CVH    | 10/10/2012    | 5/7/2013  | \$ 27.77       | \$ 18.85   | \$ 8.92            | -                         | \$ 8.92               |
| 1.21883 | IWM    | 7/12/2013     | 9/27/2013 | \$ 129.98      | \$ 125.15  | \$ 4.83            | -                         | \$ 4.83               |
| 1.21975 | DBC    | 5/10/2013     | 9/27/2013 | \$ 31.62       | \$ 31.80   | \$ (0.19)          | -                         | \$ (0.19)             |
| 1.22098 | IWR    | 10/5/2012     | 2/28/2013 | \$ 149.74      | \$ 138.08  | \$ 11.66           | -                         | \$ 11.66              |
| 1.22540 | EWT    | 1/15/2013     | 3/20/2013 | \$ 16.16       | \$ 16.43   | \$ (0.27)          | -                         | \$ (0.27)             |
| 1.22745 | MNST   | 3/6/2013      | 9/27/2013 | \$ 65.23       | \$ 60.02   | \$ 5.21            | -                         | \$ 5.21               |
| 1.22826 | AAPL   | 7/15/2013     | 9/27/2013 | \$ 591.33      | \$ 525.67  | \$ 65.65           | -                         | \$ 65.65              |
| 1.22896 | LIFE   | 6/6/2012      | 2/5/2013  | \$ 78.62       | \$ 51.25   | \$ 27.37           | -                         | \$ 27.37              |
| 1.23039 | CKSW   | 3/6/2013      | 9/27/2013 | \$ 7.75        | \$ 10.06   | \$ (2.31)          | -                         | \$ (2.31)             |
| 1.23078 | IWM    | 4/8/2013      | 7/24/2013 | \$ 128.16      | \$ 112.60  | \$ 15.56           | -                         | \$ 15.56              |
| 1.23346 | HTSI   | 6/13/2012     | 2/19/2013 | \$ 52.32       | \$ 47.38   | \$ 4.94            | -                         | \$ 4.94               |
| 1.23709 | CME    | 3/6/2013      | 9/27/2013 | \$ 92.82       | \$ 76.51   | \$ 16.31           | -                         | \$ 16.31              |
| 1.23726 | AGG    | 1/8/2013      | 6/13/2013 | \$ 134.08      | \$ 137.16  | \$ (3.08)          | -                         | \$ (3.08)             |
| 1.23791 | MINT   | 9/11/2013     | 9/27/2013 | \$ 125.56      | \$ 125.31  | \$ 0.25            | -                         | \$ 0.25               |
| 1.23914 | QCOM   | 1/8/2013      | 9/27/2013 | \$ 83.33       | \$ 79.14   | \$ 4.20            | -                         | \$ 4.20               |
| 1.24094 | IVV    | 1/8/2013      | 9/27/2013 | \$ 210.70      | \$ 181.09  | \$ 29.61           | -                         | \$ 29.61              |
| 1.24223 | TNC    | 9/6/2013      | 9/27/2013 | \$ 77.22       | \$ 65.61   | \$ 11.61           | -                         | \$ 11.61              |
| 1.24274 | IWM    | 12/27/2012    | 6/4/2013  | \$ 121.16      | \$ 102.95  | \$ 18.21           | -                         | \$ 18.21              |
| 1.24375 | GPI    | 1/14/2013     | 4/1/2013  | \$ 73.96       | \$ 79.19   | \$ (5.23)          | -                         | \$ (5.23)             |
| 1.24490 | IWM    | 3/18/2013     | 6/20/2013 | \$ 118.90      | \$ 117.71  | \$ 1.19            | -                         | \$ 1.19               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 1.24612 | AAPL   | 11/1/2012     | 9/27/2013 | \$ 599.93      | \$ 745.11   | \$ (145.19)        | \$ -                      | \$ (145.19)           |
| 1.24711 | HAR    | 6/6/2012      | 1/23/2013 | \$ 61.84       | \$ 50.22    | \$ 11.63           | \$ -                      | \$ 11.63              |
| 1.24712 | ALV    | 10/25/2012    | 4/24/2013 | \$ 85.00       | \$ 70.89    | \$ 14.11           | \$ -                      | \$ 14.11              |
| 1.24955 | HBHC   | 9/6/2013      | 9/27/2013 | \$ 39.02       | \$ 39.56    | \$ (0.54)          | \$ -                      | \$ (0.54)             |
| 1.25098 | NR     | 3/6/2013      | 9/27/2013 | \$ 15.64       | \$ 11.32    | \$ 4.32            | \$ -                      | \$ 4.32               |
| 1.25236 | GOOG   | 9/6/2013      | 9/27/2013 | \$ 1,096.12    | \$ 1,103.34 | \$ (7.22)          | \$ -                      | \$ (7.22)             |
| 1.25272 | RWX    | 12/18/2012    | 9/27/2013 | \$ 52.83       | \$ 53.13    | \$ (0.30)          | \$ -                      | \$ (0.30)             |
| 1.25303 | R      | 8/14/2013     | 9/27/2013 | \$ 74.76       | \$ 75.39    | \$ (0.63)          | \$ -                      | \$ (0.63)             |
| 1.25445 | CME    | 1/15/2013     | 9/27/2013 | \$ 94.12       | \$ 68.34    | \$ 25.78           | \$ -                      | \$ 25.78              |
| 1.25565 | CFN    | 11/12/2012    | 9/27/2013 | \$ 46.31       | \$ 34.16    | \$ 12.15           | \$ -                      | \$ 12.15              |
| 1.25652 | CELG   | 10/15/2012    | 6/20/2013 | \$ 143.21      | \$ 97.75    | \$ 45.46           | \$ -                      | \$ 45.46              |
| 1.25682 | TMO    | 1/15/2013     | 9/27/2013 | \$ 116.70      | \$ 84.78    | \$ 31.91           | \$ -                      | \$ 31.91              |
| 1.25687 | FHN    | 10/25/2012    | 6/20/2013 | \$ 13.54       | \$ 11.72    | \$ 1.81            | \$ -                      | \$ 1.81               |
| 1.25915 | OXY    | 1/15/2013     | 9/27/2013 | \$ 118.70      | \$ 103.76   | \$ 14.94           | \$ -                      | \$ 14.94              |
| 1.25957 | WWAV   | 4/24/2013     | 6/3/2013  | \$ 20.99       | \$ 20.67    | \$ 0.32            | \$ -                      | \$ 0.32               |
| 1.26017 | MCRS   | 4/24/2013     | 9/27/2013 | \$ 63.17       | \$ 54.92    | \$ 8.25            | \$ -                      | \$ 8.25               |
| 1.26038 | ELD    | 12/11/2012    | 6/13/2013 | \$ 61.83       | \$ 67.25    | \$ (5.41)          | \$ -                      | \$ (5.41)             |
| 1.26219 | WWAV   | 5/8/2013      | 6/3/2013  | \$ 21.03       | \$ 20.53    | \$ 0.51            | \$ -                      | \$ 0.51               |
| 1.26292 | COLB   | 11/12/2012    | 9/27/2013 | \$ 31.17       | \$ 21.69    | \$ 9.48            | \$ -                      | \$ 9.48               |
| 1.26297 | NLS    | 11/12/2012    | 9/27/2013 | \$ 9.01        | \$ 3.91     | \$ 5.09            | \$ -                      | \$ 5.09               |
| 1.26579 | BEN    | 3/6/2013      | 9/27/2013 | \$ 64.26       | \$ 61.54    | \$ 2.73            | \$ -                      | \$ 2.73               |
| 1.26613 | AMED   | 5/8/2013      | 9/27/2013 | \$ 21.55       | \$ 13.37    | \$ 8.18            | \$ -                      | \$ 8.18               |
| 1.26742 | ARW    | 6/10/2013     | 9/27/2013 | \$ 61.08       | \$ 51.03    | \$ 10.05           | \$ -                      | \$ 10.05              |
| 1.26799 | TEX    | 11/12/2012    | 9/27/2013 | \$ 43.02       | \$ 28.75    | \$ 14.27           | \$ -                      | \$ 14.27              |
| 1.26891 | PWR    | 10/15/2012    | 5/29/2013 | \$ 36.18       | \$ 30.76    | \$ 5.42            | \$ -                      | \$ 5.42               |
| 1.27099 | XOM    | 3/6/2013      | 9/27/2013 | \$ 110.35      | \$ 113.67   | \$ (3.32)          | \$ -                      | \$ (3.32)             |
| 1.27395 | SHY    | 6/21/2013     | 6/28/2013 | \$ 107.34      | \$ 107.39   | \$ (0.05)          | \$ -                      | \$ (0.05)             |
| 1.27561 | SBAC   | 9/6/2013      | 9/27/2013 | \$ 101.58      | \$ 97.75    | \$ 3.83            | \$ -                      | \$ 3.83               |
| 1.27573 | RAIL   | 9/6/2013      | 9/27/2013 | \$ 26.86       | \$ 23.10    | \$ 3.76            | \$ -                      | \$ 3.76               |
| 1.27802 | OXY    | 3/6/2013      | 9/27/2013 | \$ 120.48      | \$ 104.89   | \$ 15.60           | \$ -                      | \$ 15.60              |
| 1.28649 | MRC    | 3/14/2013     | 9/27/2013 | \$ 34.75       | \$ 38.93    | \$ (4.18)          | \$ -                      | \$ (4.18)             |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.28782 | IWM    | 3/18/2013        | 6/20/2013 | \$ 123.00      | \$ 121.77  | \$ 1.23               | -                               | \$ 1.23                  |
| 1.29030 | IWM    | 10/5/2012        | 2/28/2013 | \$ 116.88      | \$ 109.65  | \$ 7.23               | -                               | \$ 7.23                  |
| 1.29055 | IWM    | 11/12/2012       | 4/1/2013  | \$ 119.84      | \$ 102.39  | \$ 17.45              | -                               | \$ 17.45                 |
| 1.29096 | MASI   | 6/6/2012         | 2/19/2013 | \$ 25.92       | \$ 25.21   | \$ 0.71               | -                               | \$ 0.71                  |
| 1.29243 | XOM    | 1/15/2013        | 9/27/2013 | \$ 112.21      | \$ 115.16  | \$ (2.95)             | -                               | \$ (2.95)                |
| 1.29263 | IWM    | 3/14/2013        | 6/4/2013  | \$ 126.03      | \$ 122.00  | \$ 4.03               | -                               | \$ 4.03                  |
| 1.29272 | RGA    | 6/6/2012         | 2/5/2013  | \$ 74.57       | \$ 64.86   | \$ 9.71               | -                               | \$ 9.71                  |
| 1.29431 | MSFT   | 6/5/2012         | 3/13/2013 | \$ 36.06       | \$ 36.95   | \$ (0.89)             | -                               | \$ (0.89)                |
| 1.29523 | CLC    | 9/6/2013         | 9/27/2013 | \$ 71.26       | \$ 71.47   | \$ (0.21)             | -                               | \$ (0.21)                |
| 1.29562 | VNTV   | 11/12/2012       | 9/27/2013 | \$ 35.58       | \$ 25.39   | \$ 10.19              | -                               | \$ 10.19                 |
| 1.29708 | VRSK   | 2/20/2013        | 9/27/2013 | \$ 84.60       | \$ 71.20   | \$ 13.39              | -                               | \$ 13.39                 |
| 1.29717 | RSR    | 10/23/2012       | 9/27/2013 | \$ 43.70       | \$ 36.38   | \$ 7.32               | -                               | \$ 7.32                  |
| 1.29862 | COH    | 2/20/2013        | 9/27/2013 | \$ 70.44       | \$ 63.39   | \$ 7.04               | -                               | \$ 7.04                  |
| 1.29911 | ASCMA  | 5/8/2013         | 9/27/2013 | \$ 104.06      | \$ 92.66   | \$ 11.40              | -                               | \$ 11.40                 |
| 1.30090 | PVI    | 8/1/2013         | 9/27/2013 | \$ 32.50       | \$ 32.51   | \$ (0.01)             | -                               | \$ (0.01)                |
| 1.30165 | CQB    | 11/12/2012       | 9/27/2013 | \$ 16.86       | \$ 8.89    | \$ 7.97               | -                               | \$ 7.97                  |
| 1.30206 | VRSN   | 5/1/2013         | 9/27/2013 | \$ 66.46       | \$ 60.10   | \$ 6.36               | -                               | \$ 6.36                  |
| 1.30214 | KMT    | 5/8/2013         | 9/27/2013 | \$ 59.63       | \$ 53.83   | \$ 5.80               | -                               | \$ 5.80                  |
| 1.30424 | ARG    | 9/6/2013         | 9/27/2013 | \$ 138.03      | \$ 135.90  | \$ 2.13               | -                               | \$ 2.13                  |
| 1.30803 | LVS    | 3/6/2013         | 8/27/2013 | \$ 72.02       | \$ 67.09   | \$ 4.93               | -                               | \$ 4.93                  |
| 1.30992 | PCLN   | 5/8/2013         | 9/27/2013 | \$ 1,321.83    | \$ 962.44  | \$ 359.38             | -                               | \$ 359.38                |
| 1.31169 | LTM    | 12/17/2012       | 6/3/2013  | \$ 64.94       | \$ 64.26   | \$ 0.68               | -                               | \$ 0.68                  |
| 1.31218 | SNA    | 8/14/2013        | 9/27/2013 | \$ 130.62      | \$ 128.67  | \$ 1.95               | -                               | \$ 1.95                  |
| 1.31227 | TQNT   | 3/6/2013         | 9/27/2013 | \$ 10.52       | \$ 6.07    | \$ 4.45               | -                               | \$ 4.45                  |
| 1.31237 | ZION   | 11/13/2012       | 5/22/2013 | \$ 36.39       | \$ 26.17   | \$ 10.22              | -                               | \$ 10.22                 |
| 1.31401 | TMK    | 9/6/2013         | 9/27/2013 | \$ 95.62       | \$ 92.86   | \$ 2.76               | -                               | \$ 2.76                  |
| 1.31459 | CAM    | 3/6/2013         | 9/27/2013 | \$ 77.65       | \$ 84.58   | \$ (6.92)             | -                               | \$ (6.92)                |
| 1.31603 | HBHC   | 5/8/2013         | 9/27/2013 | \$ 41.10       | \$ 37.27   | \$ 3.83               | -                               | \$ 3.83                  |
| 1.31739 | PRE    | 10/10/2012       | 9/27/2013 | \$ 120.62      | \$ 101.80  | \$ 18.82              | -                               | \$ 18.82                 |
| 1.31853 | ADSK   | 6/6/2012         | 2/11/2013 | \$ 51.22       | \$ 43.17   | \$ 8.05               | -                               | \$ 8.05                  |
| 1.32465 | CAM    | 1/15/2013        | 9/27/2013 | \$ 78.25       | \$ 76.98   | \$ 1.27               | -                               | \$ 1.27                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.32597 | TFX    | 9/6/2013         | 9/27/2013 | \$ 108.36      | \$ 103.41  | \$ 4.95               | -                               | \$ 4.95                  |
| 1.32606 | EMC    | 7/17/2012        | 5/14/2013 | \$ 30.52       | \$ 30.47   | \$ 0.06               | -                               | \$ 0.06                  |
| 1.32910 | SD     | 3/6/2013         | 3/14/2013 | \$ 7.39        | \$ 7.45    | \$ (0.06)             | -                               | \$ (0.06)                |
| 1.32958 | IWM    | 11/20/2012       | 3/26/2013 | \$ 124.81      | \$ 104.98  | \$ 19.83              | -                               | \$ 19.83                 |
| 1.32963 | DY     | 11/12/2012       | 9/27/2013 | \$ 36.72       | \$ 19.91   | \$ 16.81              | -                               | \$ 16.81                 |
| 1.33087 | A      | 9/27/2012        | 9/27/2013 | \$ 68.67       | \$ 51.00   | \$ 17.67              | -                               | \$ 17.67                 |
| 1.33364 | IWR    | 12/31/2012       | 4/19/2013 | \$ 166.55      | \$ 149.01  | \$ 17.53              | -                               | \$ 17.53                 |
| 1.33443 | AMSC   | 3/6/2013         | 9/27/2013 | \$ 3.11        | \$ 3.68    | \$ (0.57)             | -                               | \$ (0.57)                |
| 1.33447 | SCVL   | 11/12/2012       | 9/27/2013 | \$ 35.95       | \$ 30.17   | \$ 5.78               | -                               | \$ 5.78                  |
| 1.33567 | MYGN   | 5/8/2013         | 5/28/2013 | \$ 43.31       | \$ 40.07   | \$ 3.24               | -                               | \$ 3.24                  |
| 1.33785 | CASY   | 12/3/2012        | 9/27/2013 | \$ 98.53       | \$ 66.73   | \$ 31.81              | -                               | \$ 31.81                 |
| 1.33839 | AAPL   | 11/9/2012        | 9/27/2013 | \$ 644.35      | \$ 740.68  | \$ (96.33)            | -                               | \$ (96.33)               |
| 1.33947 | VAR    | 2/20/2013        | 9/27/2013 | \$ 99.47       | \$ 93.88   | \$ 5.58               | -                               | \$ 5.58                  |
| 1.34021 | PTEN   | 9/17/2012        | 6/20/2013 | \$ 26.56       | \$ 23.43   | \$ 3.13               | -                               | \$ 3.13                  |
| 1.34265 | IWD    | 12/6/2012        | 2/12/2013 | \$ 105.64      | \$ 96.50   | \$ 9.14               | -                               | \$ 9.14                  |
| 1.34296 | VMW    | 5/14/2013        | 6/20/2013 | \$ 94.01       | \$ 104.53  | \$ (10.53)            | -                               | \$ (10.53)               |
| 1.34444 | CXO    | 3/18/2013        | 9/27/2013 | \$ 144.99      | \$ 129.45  | \$ 15.54              | -                               | \$ 15.54                 |
| 1.34478 | TRV    | 6/6/2012         | 4/8/2013  | \$ 113.22      | \$ 82.23   | \$ 30.99              | -                               | \$ 30.99                 |
| 1.34766 | SHY    | 8/1/2013         | 9/27/2013 | \$ 113.80      | \$ 113.66  | \$ 0.14               | -                               | \$ 0.14                  |
| 1.35314 | AUY    | 11/12/2012       | 9/27/2013 | \$ 14.13       | \$ 27.26   | \$ (13.13)            | -                               | \$ (13.13)               |
| 1.35365 | UNM    | 2/19/2013        | 9/27/2013 | \$ 41.53       | \$ 33.54   | \$ 7.99               | -                               | \$ 7.99                  |
| 1.35441 | BIIB   | 6/6/2012         | 2/19/2013 | \$ 225.02      | \$ 180.58  | \$ 44.44              | -                               | \$ 44.44                 |
| 1.35470 | MEA    | 3/6/2013         | 9/27/2013 | \$ 1.95        | \$ 2.30    | \$ (0.35)             | -                               | \$ (0.35)                |
| 1.35563 | HBI    | 12/12/2012       | 8/6/2013  | \$ 84.96       | \$ 48.30   | \$ 36.66              | -                               | \$ 36.66                 |
| 1.35615 | DOV    | 3/18/2013        | 9/27/2013 | \$ 121.37      | \$ 100.70  | \$ 20.67              | -                               | \$ 20.67                 |
| 1.35719 | DWX    | 6/28/2013        | 9/27/2013 | \$ 63.63       | \$ 59.09   | \$ 4.54               | -                               | \$ 4.54                  |
| 1.35923 | ZION   | 12/18/2012       | 5/22/2013 | \$ 37.69       | \$ 29.14   | \$ 8.55               | -                               | \$ 8.55                  |
| 1.36038 | JOSB   | 3/6/2013         | 9/9/2013  | \$ 56.59       | \$ 57.10   | \$ (0.51)             | -                               | \$ (0.51)                |
| 1.36058 | FHN    | 11/12/2012       | 9/27/2013 | \$ 15.08       | \$ 12.49   | \$ 2.59               | -                               | \$ 2.59                  |
| 1.36161 | VMW    | 7/18/2013        | 9/27/2013 | \$ 112.36      | \$ 98.46   | \$ 13.90              | -                               | \$ 13.90                 |
| 1.36245 | VRSN   | 6/6/2012         | 2/11/2013 | \$ 61.98       | \$ 53.20   | \$ 8.78               | -                               | \$ 8.78                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.36262 | RKT    | 6/6/2012         | 1/23/2013 | \$ 109.17      | \$ 70.92   | \$ 38.25              | -                               | \$ 38.25                 |
| 1.36625 | PRGO   | 10/15/2012       | 6/20/2013 | \$ 161.64      | \$ 162.76  | \$ (1.12)             | -                               | \$ (1.12)                |
| 1.36970 | DVN    | 3/6/2013         | 9/27/2013 | \$ 80.29       | \$ 74.52   | \$ 5.77               | -                               | \$ 5.77                  |
| 1.36979 | WMGI   | 5/8/2013         | 9/27/2013 | \$ 35.60       | \$ 32.97   | \$ 2.63               | -                               | \$ 2.63                  |
| 1.37055 | CTSH   | 1/15/2013        | 9/27/2013 | \$ 113.36      | \$ 106.59  | \$ 6.77               | -                               | \$ 6.77                  |
| 1.37176 | DVN    | 1/15/2013        | 9/27/2013 | \$ 80.41       | \$ 73.19   | \$ 7.23               | -                               | \$ 7.23                  |
| 1.37445 | NOV    | 3/6/2013         | 9/27/2013 | \$ 107.44      | \$ 92.45   | \$ 14.99              | -                               | \$ 14.99                 |
| 1.37864 | OIS    | 3/18/2013        | 9/27/2013 | \$ 143.49      | \$ 112.38  | \$ 31.11              | -                               | \$ 31.11                 |
| 1.38041 | DBC    | 12/11/2012       | 6/6/2013  | \$ 36.19       | \$ 37.94   | \$ (1.75)             | -                               | \$ (1.75)                |
| 1.38107 | EPOL   | 1/15/2013        | 3/20/2013 | \$ 36.59       | \$ 39.68   | \$ (3.09)             | -                               | \$ (3.09)                |
| 1.38394 | GPI    | 3/18/2013        | 4/1/2013  | \$ 82.30       | \$ 85.41   | \$ (3.11)             | -                               | \$ (3.11)                |
| 1.38398 | UTEK   | 9/6/2013         | 9/27/2013 | \$ 41.74       | \$ 40.23   | \$ 1.51               | -                               | \$ 1.51                  |
| 1.38430 | DOX    | 2/1/2013         | 9/27/2013 | \$ 51.27       | \$ 49.75   | \$ 1.53               | -                               | \$ 1.53                  |
| 1.38621 | AGCO   | 8/14/2013        | 9/27/2013 | \$ 84.92       | \$ 79.56   | \$ 5.35               | -                               | \$ 5.35                  |
| 1.39023 | LNKD   | 8/13/2012        | 2/12/2013 | \$ 214.30      | \$ 144.08  | \$ 70.21              | -                               | \$ 70.21                 |
| 1.39272 | WWAV   | 2/28/2013        | 6/3/2013  | \$ 23.21       | \$ 20.09   | \$ 3.11               | -                               | \$ 3.11                  |
| 1.39344 | AMZN   | 10/15/2012       | 1/8/2013  | \$ 370.35      | \$ 336.35  | \$ 33.99              | -                               | \$ 33.99                 |
| 1.39512 | RBC    | 5/8/2013         | 9/27/2013 | \$ 95.13       | \$ 95.37   | \$ (0.24)             | -                               | \$ (0.24)                |
| 1.39571 | MAN    | 6/6/2012         | 2/19/2013 | \$ 77.63       | \$ 49.90   | \$ 27.73              | -                               | \$ 27.73                 |
| 1.39626 | SD     | 8/10/2012        | 3/14/2013 | \$ 7.77        | \$ 9.37    | \$ (1.60)             | -                               | \$ (1.60)                |
| 1.39670 | IWM    | 3/18/2013        | 6/17/2013 | \$ 137.60      | \$ 132.06  | \$ 5.54               | -                               | \$ 5.54                  |
| 1.39687 | DLPH   | 3/20/2013        | 6/20/2013 | \$ 70.74       | \$ 60.46   | \$ 10.28              | -                               | \$ 10.28                 |
| 1.39942 | BIIB   | 11/12/2012       | 9/27/2013 | \$ 341.06      | \$ 195.26  | \$ 145.80             | -                               | \$ 145.80                |
| 1.39963 | TTEC   | 11/12/2012       | 9/27/2013 | \$ 35.17       | \$ 23.47   | \$ 11.70              | -                               | \$ 11.70                 |
| 1.40032 | ELD    | 1/8/2013         | 6/13/2013 | \$ 68.70       | \$ 74.71   | \$ (6.01)             | -                               | \$ (6.01)                |
| 1.40064 | HBAN   | 10/23/2012       | 9/27/2013 | \$ 11.51       | \$ 8.87    | \$ 2.64               | -                               | \$ 2.64                  |
| 1.40292 | SAVE   | 11/12/2012       | 9/27/2013 | \$ 48.42       | \$ 24.14   | \$ 24.27              | -                               | \$ 24.27                 |
| 1.40413 | BGG    | 11/12/2012       | 9/27/2013 | \$ 28.50       | \$ 27.20   | \$ 1.30               | -                               | \$ 1.30                  |
| 1.40521 | BECN   | 11/12/2012       | 9/27/2013 | \$ 52.29       | \$ 44.10   | \$ 8.19               | -                               | \$ 8.19                  |
| 1.40708 | KAR    | 3/8/2013         | 6/20/2013 | \$ 31.31       | \$ 27.49   | \$ 3.82               | -                               | \$ 3.82                  |
| 1.40798 | ABG    | 5/8/2013         | 8/26/2013 | \$ 70.23       | \$ 59.74   | \$ 10.49              | -                               | \$ 10.49                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.40974 | BEN    | 10/15/2012       | 5/28/2013 | \$ 232.61      | \$ 180.32  | \$ 52.29              | -                               | \$ 52.29                 |
| 1.41260 | ALT    | 1/8/2013         | 5/6/2013  | \$ 73.34       | \$ 71.46   | \$ 1.89               | -                               | \$ 1.89                  |
| 1.41343 | AGCO   | 9/6/2013         | 9/27/2013 | \$ 86.59       | \$ 81.71   | \$ 4.88               | -                               | \$ 4.88                  |
| 1.41393 | MRCY   | 3/6/2013         | 9/27/2013 | \$ 13.86       | \$ 10.03   | \$ 3.83               | -                               | \$ 3.83                  |
| 1.41501 | PEGA   | 11/12/2012       | 9/27/2013 | \$ 55.70       | \$ 29.11   | \$ 26.59              | -                               | \$ 26.59                 |
| 1.41605 | WCN    | 11/12/2012       | 9/27/2013 | \$ 63.04       | \$ 44.36   | \$ 18.69              | -                               | \$ 18.69                 |
| 1.41648 | ALXN   | 11/12/2012       | 4/24/2013 | \$ 127.08      | \$ 130.84  | \$ (3.76)             | -                               | \$ (3.76)                |
| 1.41750 | FOSL   | 9/3/2013         | 9/27/2013 | \$ 165.58      | \$ 163.39  | \$ 2.19               | -                               | \$ 2.19                  |
| 1.41793 | CYN    | 9/6/2013         | 9/27/2013 | \$ 93.58       | \$ 93.95   | \$ (0.37)             | -                               | \$ (0.37)                |
| 1.41960 | VNTV   | 6/6/2012         | 5/22/2013 | \$ 37.60       | \$ 31.20   | \$ 6.40               | -                               | \$ 6.40                  |
| 1.42033 | IEX    | 6/6/2012         | 1/14/2013 | \$ 67.86       | \$ 55.69   | \$ 12.17              | -                               | \$ 12.17                 |
| 1.42199 | RBC    | 3/18/2013        | 9/27/2013 | \$ 96.97       | \$ 119.59  | \$ (22.62)            | -                               | \$ (22.62)               |
| 1.42344 | CFR    | 9/6/2013         | 9/27/2013 | \$ 99.04       | \$ 99.74   | \$ (0.70)             | -                               | \$ (0.70)                |
| 1.42383 | TRV    | 11/12/2012       | 4/29/2013 | \$ 120.95      | \$ 96.86   | \$ 24.09              | -                               | \$ 24.09                 |
| 1.42393 | ACWI   | 2/7/2013         | 6/20/2013 | \$ 71.07       | \$ 70.83   | \$ 0.24               | -                               | \$ 0.24                  |
| 1.42943 | PMCS   | 8/10/2012        | 1/14/2013 | \$ 7.63        | \$ 8.26    | \$ (0.63)             | -                               | \$ (0.63)                |
| 1.42947 | LIFE   | 5/28/2013        | 9/27/2013 | \$ 107.07      | \$ 106.11  | \$ 0.96               | -                               | \$ 0.96                  |
| 1.42978 | PEP    | 3/6/2013         | 9/27/2013 | \$ 114.64      | \$ 110.13  | \$ 4.51               | -                               | \$ 4.51                  |
| 1.43150 | DD     | 3/6/2013         | 9/27/2013 | \$ 84.63       | \$ 69.95   | \$ 14.68              | -                               | \$ 14.68                 |
| 1.43173 | EWG    | 3/19/2013        | 3/20/2013 | \$ 36.11       | \$ 35.92   | \$ 0.20               | -                               | \$ 0.20                  |
| 1.43450 | CL     | 1/15/2013        | 9/27/2013 | \$ 86.07       | \$ 77.19   | \$ 8.88               | -                               | \$ 8.88                  |
| 1.43632 | LNKD   | 9/10/2012        | 2/12/2013 | \$ 221.40      | \$ 169.93  | \$ 51.48              | -                               | \$ 51.48                 |
| 1.43648 | IWD    | 3/18/2013        | 4/1/2013  | \$ 116.14      | \$ 116.72  | \$ (0.58)             | -                               | \$ (0.58)                |
| 1.43684 | IWF    | 7/15/2013        | 7/18/2013 | \$ 110.11      | \$ 109.73  | \$ 0.37               | -                               | \$ 0.37                  |
| 1.43760 | RAIL   | 5/8/2013         | 9/27/2013 | \$ 30.26       | \$ 27.04   | \$ 3.22               | -                               | \$ 3.22                  |
| 1.43797 | WWAV   | 3/6/2013         | 9/27/2013 | \$ 28.80       | \$ 16.16   | \$ 12.64              | -                               | \$ 12.64                 |
| 1.43911 | IWF    | 1/15/2013        | 4/12/2013 | \$ 103.75      | \$ 96.62   | \$ 7.13               | -                               | \$ 7.13                  |
| 1.44316 | INDY   | 2/20/2013        | 3/20/2013 | \$ 34.76       | \$ 36.23   | \$ (1.47)             | -                               | \$ (1.47)                |
| 1.44364 | PEP    | 1/15/2013        | 9/27/2013 | \$ 115.75      | \$ 102.92  | \$ 12.83              | -                               | \$ 12.83                 |
| 1.44446 | IP     | 3/6/2013         | 9/27/2013 | \$ 65.71       | \$ 66.46   | \$ (0.74)             | -                               | \$ (0.74)                |
| 1.44471 | PRXL   | 2/5/2013         | 9/27/2013 | \$ 72.24       | \$ 49.40   | \$ 22.83              | -                               | \$ 22.83                 |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.44592 | KAR    | 8/14/2013        | 9/27/2013 | \$ 40.02       | \$ 39.12   | \$ 0.90               | -                               | \$ 0.90                  |
| 1.44892 | UFPI   | 3/18/2013        | 9/27/2013 | \$ 61.23       | \$ 59.29   | \$ 1.94               | -                               | \$ 1.94                  |
| 1.44935 | IWM    | 1/14/2013        | 5/28/2013 | \$ 144.54      | \$ 126.50  | \$ 18.03              | -                               | \$ 18.03                 |
| 1.44989 | BIIB   | 9/6/2013         | 9/27/2013 | \$ 353.36      | \$ 329.53  | \$ 23.83              | -                               | \$ 23.83                 |
| 1.45108 | A      | 10/5/2012        | 9/27/2013 | \$ 74.88       | \$ 57.18   | \$ 17.69              | -                               | \$ 17.69                 |
| 1.45283 | DD     | 1/15/2013        | 9/27/2013 | \$ 85.89       | \$ 67.07   | \$ 18.82              | -                               | \$ 18.82                 |
| 1.45418 | IWR    | 5/8/2013         | 9/27/2013 | \$ 202.58      | \$ 191.82  | \$ 10.76              | -                               | \$ 10.76                 |
| 1.45540 | FOSL   | 6/13/2013        | 9/27/2013 | \$ 170.01      | \$ 151.02  | \$ 18.99              | -                               | \$ 18.99                 |
| 1.45813 | ZION   | 10/23/2012       | 5/22/2013 | \$ 40.43       | \$ 30.76   | \$ 9.67               | -                               | \$ 9.67                  |
| 1.45845 | EWV    | 1/15/2013        | 3/20/2013 | \$ 103.05      | \$ 106.68  | \$ (3.63)             | -                               | \$ (3.63)                |
| 1.45877 | IWM    | 4/29/2013        | 9/17/2013 | \$ 153.93      | \$ 136.57  | \$ 17.36              | -                               | \$ 17.36                 |
| 1.45892 | LTM    | 8/24/2012        | 4/26/2013 | \$ 67.38       | \$ 68.60   | \$ (1.22)             | -                               | \$ (1.22)                |
| 1.45987 | IWM    | 12/31/2012       | 4/19/2013 | \$ 132.15      | \$ 121.69  | \$ 10.45              | -                               | \$ 10.45                 |
| 1.46152 | EW     | 10/15/2012       | 6/20/2013 | \$ 97.67       | \$ 127.09  | \$ (29.41)            | -                               | \$ (29.41)               |
| 1.46211 | TNC    | 5/8/2013         | 9/27/2013 | \$ 90.89       | \$ 74.17   | \$ 16.72              | -                               | \$ 16.72                 |
| 1.46238 | IWM    | 5/16/2013        | 9/27/2013 | \$ 155.95      | \$ 143.91  | \$ 12.04              | -                               | \$ 12.04                 |
| 1.46247 | LIFE   | 4/24/2013        | 9/27/2013 | \$ 109.54      | \$ 107.87  | \$ 1.67               | -                               | \$ 1.67                  |
| 1.46311 | VRSN   | 6/6/2012         | 2/19/2013 | \$ 67.41       | \$ 57.13   | \$ 10.27              | -                               | \$ 10.27                 |
| 1.46382 | ZION   | 9/24/2012        | 5/22/2013 | \$ 40.59       | \$ 30.77   | \$ 9.82               | -                               | \$ 9.82                  |
| 1.46396 | CSGS   | 11/12/2012       | 9/27/2013 | \$ 36.54       | \$ 27.51   | \$ 9.03               | -                               | \$ 9.03                  |
| 1.46846 | HSIC   | 3/18/2013        | 9/27/2013 | \$ 152.25      | \$ 132.03  | \$ 20.22              | -                               | \$ 20.22                 |
| 1.47225 | EW     | 11/12/2012       | 9/26/2013 | \$ 100.25      | \$ 128.34  | \$ (28.09)            | -                               | \$ (28.09)               |
| 1.47379 | AMAT   | 11/5/2012        | 9/27/2013 | \$ 25.98       | \$ 16.07   | \$ 9.91               | -                               | \$ 9.91                  |
| 1.47531 | UNM    | 12/27/2012       | 9/27/2013 | \$ 45.26       | \$ 30.19   | \$ 15.07              | -                               | \$ 15.07                 |
| 1.47577 | EWY    | 1/15/2013        | 3/20/2013 | \$ 85.83       | \$ 91.76   | \$ (5.93)             | -                               | \$ (5.93)                |
| 1.47581 | CVS    | 3/6/2013         | 9/27/2013 | \$ 85.20       | \$ 77.39   | \$ 7.81               | -                               | \$ 7.81                  |
| 1.47585 | G      | 5/8/2013         | 9/27/2013 | \$ 28.20       | \$ 28.72   | \$ (0.52)             | -                               | \$ (0.52)                |
| 1.47842 | V      | 10/15/2012       | 6/20/2013 | \$ 265.03      | \$ 207.04  | \$ 57.99              | -                               | \$ 57.99                 |
| 1.47846 | AMAG   | 11/12/2012       | 9/27/2013 | \$ 32.17       | \$ 22.53   | \$ 9.64               | -                               | \$ 9.64                  |
| 1.48290 | EIS    | 9/10/2012        | 3/20/2013 | \$ 66.62       | \$ 56.02   | \$ 10.59              | -                               | \$ 10.59                 |
| 1.48301 | SLGN   | 6/6/2012         | 2/21/2013 | \$ 64.20       | \$ 62.87   | \$ 1.33               | -                               | \$ 1.33                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.48481 | SRCL   | 11/12/2012       | 9/27/2013 | \$ 171.51      | \$ 137.26  | \$ 34.25              | -                               | \$ 34.25                 |
| 1.48503 | LH     | 11/12/2012       | 7/9/2013  | \$ 142.48      | \$ 125.69  | \$ 16.79              | -                               | \$ 16.79                 |
| 1.48910 | A      | 8/30/2012        | 6/20/2013 | \$ 62.87       | \$ 55.25   | \$ 7.63               | -                               | \$ 7.63                  |
| 1.48957 | COF    | 1/15/2013        | 9/27/2013 | \$ 102.88      | \$ 91.53   | \$ 11.35              | -                               | \$ 11.35                 |
| 1.48990 | IWM    | 12/27/2012       | 5/14/2013 | \$ 145.83      | \$ 123.42  | \$ 22.41              | -                               | \$ 22.41                 |
| 1.49089 | HBAN   | 3/6/2013         | 9/27/2013 | \$ 12.26       | \$ 10.77   | \$ 1.48               | -                               | \$ 1.48                  |
| 1.49317 | V      | 11/12/2012       | 9/27/2013 | \$ 287.97      | \$ 213.60  | \$ 74.38              | -                               | \$ 74.38                 |
| 1.49725 | ES     | 6/5/2012         | 3/22/2013 | \$ 5.58        | \$ 5.04    | \$ 0.54               | -                               | \$ 0.54                  |
| 1.49759 | MXWL   | 11/12/2012       | 9/27/2013 | \$ 13.76       | \$ 9.78    | \$ 3.98               | -                               | \$ 3.98                  |
| 1.49764 | V      | 11/12/2012       | 9/27/2013 | \$ 288.84      | \$ 214.24  | \$ 74.60              | -                               | \$ 74.60                 |
| 1.49793 | MASI   | 5/8/2013         | 9/27/2013 | \$ 39.70       | \$ 32.52   | \$ 7.18               | -                               | \$ 7.18                  |
| 1.49844 | MSFT   | 10/5/2012        | 9/27/2013 | \$ 50.10       | \$ 45.08   | \$ 5.01               | -                               | \$ 5.01                  |
| 1.50295 | SIRO   | 5/8/2013         | 9/27/2013 | \$ 100.64      | \$ 112.12  | \$ (11.48)            | -                               | \$ (11.48)               |
| 1.50506 | IT     | 3/18/2013        | 9/27/2013 | \$ 90.02       | \$ 80.22   | \$ 9.80               | -                               | \$ 9.80                  |
| 1.50703 | ADSK   | 6/6/2012         | 2/1/2013  | \$ 59.03       | \$ 49.34   | \$ 9.69               | -                               | \$ 9.69                  |
| 1.50771 | WRB    | 9/6/2013         | 9/27/2013 | \$ 65.25       | \$ 61.71   | \$ 3.54               | -                               | \$ 3.54                  |
| 1.50941 | IWD    | 12/7/2012        | 2/13/2013 | \$ 119.00      | \$ 108.95  | \$ 10.05              | -                               | \$ 10.05                 |
| 1.51080 | EWQ    | 3/19/2013        | 3/20/2013 | \$ 36.18       | \$ 35.85   | \$ 0.33               | -                               | \$ 0.33                  |
| 1.51179 | LPNT   | 5/8/2013         | 9/27/2013 | \$ 69.78       | \$ 71.87   | \$ (2.09)             | -                               | \$ (2.09)                |
| 1.51254 | LITC   | 11/29/2012       | 9/27/2013 | \$ 59.90       | \$ 50.21   | \$ 9.68               | -                               | \$ 9.68                  |
| 1.51287 | SD     | 7/17/2012        | 3/14/2013 | \$ 8.42        | \$ 10.12   | \$ (1.70)             | -                               | \$ (1.70)                |
| 1.51366 | JNK    | 3/19/2013        | 6/20/2013 | \$ 59.68       | \$ 62.21   | \$ (2.53)             | -                               | \$ (2.53)                |
| 1.52477 | EWG    | 11/5/2012        | 3/20/2013 | \$ 38.46       | \$ 34.73   | \$ 3.73               | -                               | \$ 3.73                  |
| 1.52907 | NOV    | 1/15/2013        | 9/27/2013 | \$ 119.53      | \$ 107.56  | \$ 11.97              | -                               | \$ 11.97                 |
| 1.52907 | MCRS   | 5/1/2013         | 9/27/2013 | \$ 76.65       | \$ 65.64   | \$ 11.01              | -                               | \$ 11.01                 |
| 1.53070 | DE     | 10/15/2012       | 2/13/2013 | \$ 139.17      | \$ 127.05  | \$ 12.12              | -                               | \$ 12.12                 |
| 1.53272 | DBV    | 12/11/2012       | 6/6/2013  | \$ 40.08       | \$ 40.02   | \$ 0.06               | -                               | \$ 0.06                  |
| 1.53287 | MEI    | 5/6/2013         | 6/20/2013 | \$ 22.61       | \$ 22.63   | \$ (0.02)             | -                               | \$ (0.02)                |
| 1.53508 | IWM    | 3/22/2013        | 9/3/2013  | \$ 154.61      | \$ 144.57  | \$ 10.05              | -                               | \$ 10.05                 |
| 1.53600 | BA     | 3/6/2013         | 9/27/2013 | \$ 181.82      | \$ 121.30  | \$ 60.52              | -                               | \$ 60.52                 |
| 1.53671 | SONS   | 5/1/2013         | 9/27/2013 | \$ 5.18        | \$ 3.64    | \$ 1.54               | -                               | \$ 1.54                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 1.53746 | THD    | 1/15/2013     | 3/20/2013 | \$ 140.73      | \$ 128.38  | \$ 12.34           | -                         | \$ 12.34              |
| 1.53840 | WWAV   | 3/18/2013     | 9/27/2013 | \$ 30.81       | \$ 18.59   | \$ 12.22           | -                         | \$ 12.22              |
| 1.54038 | CRM    | 6/6/2012      | 1/8/2013  | \$ 259.87      | \$ 210.38  | \$ 49.49           | -                         | \$ 49.49              |
| 1.54100 | TFX    | 5/8/2013      | 9/27/2013 | \$ 125.93      | \$ 121.40  | \$ 4.53            | -                         | \$ 4.53               |
| 1.54150 | IWR    | 8/26/2013     | 9/27/2013 | \$ 214.98      | \$ 210.69  | \$ 4.29            | -                         | \$ 4.29               |
| 1.54248 | PMT    | 11/12/2012    | 9/27/2013 | \$ 43.87       | \$ 30.41   | \$ 13.46           | -                         | \$ 13.46              |
| 1.54387 | WWV    | 9/10/2012     | 1/14/2013 | \$ 61.65       | \$ 68.35   | \$ (6.69)          | -                         | \$ (6.69)             |
| 1.54672 | CTSH   | 3/6/2013      | 9/27/2013 | \$ 127.93      | \$ 124.00  | \$ 3.93            | -                         | \$ 3.93               |
| 1.54909 | CSII   | 3/6/2013      | 9/27/2013 | \$ 31.03       | \$ 24.78   | \$ 6.25            | -                         | \$ 6.25               |
| 1.55185 | ECL    | 5/8/2013      | 9/27/2013 | \$ 152.39      | \$ 134.70  | \$ 17.69           | -                         | \$ 17.69              |
| 1.55324 | R      | 4/24/2013     | 9/27/2013 | \$ 92.67       | \$ 89.13   | \$ 3.54            | -                         | \$ 3.54               |
| 1.55331 | VTR    | 3/6/2013      | 9/27/2013 | \$ 97.04       | \$ 110.13  | \$ (13.10)         | -                         | \$ (13.10)            |
| 1.55508 | CTSH   | 10/15/2012    | 5/3/2013  | \$ 102.24      | \$ 108.30  | \$ (6.07)          | -                         | \$ (6.07)             |
| 1.55513 | IWR    | 3/21/2013     | 7/2/2013  | \$ 203.74      | \$ 196.61  | \$ 7.13            | -                         | \$ 7.13               |
| 1.55857 | DVN    | 6/6/2012      | 4/8/2013  | \$ 84.21       | \$ 93.35   | \$ (9.14)          | -                         | \$ (9.14)             |
| 1.55866 | MCHP   | 8/30/2013     | 9/27/2013 | \$ 62.79       | \$ 60.73   | \$ 2.06            | -                         | \$ 2.06               |
| 1.55900 | FHN    | 12/27/2012    | 9/27/2013 | \$ 17.27       | \$ 15.13   | \$ 2.14            | -                         | \$ 2.14               |
| 1.56428 | CRM    | 3/6/2013      | 9/27/2013 | \$ 82.09       | \$ 73.10   | \$ 9.00            | -                         | \$ 9.00               |
| 1.56548 | GILD   | 2/20/2013     | 9/27/2013 | \$ 99.28       | \$ 67.01   | \$ 32.27           | -                         | \$ 32.27              |
| 1.56702 | RDEN   | 5/8/2013      | 9/27/2013 | \$ 59.11       | \$ 68.90   | \$ (9.79)          | -                         | \$ (9.79)             |
| 1.56812 | VTR    | 1/15/2013     | 9/27/2013 | \$ 97.96       | \$ 101.23  | \$ (3.27)          | -                         | \$ (3.27)             |
| 1.57056 | MINT   | 8/7/2013      | 9/27/2013 | \$ 159.30      | \$ 159.25  | \$ 0.05            | -                         | \$ 0.05               |
| 1.57109 | VRSK   | 1/15/2013     | 9/27/2013 | \$ 102.47      | \$ 85.54   | \$ 16.93           | -                         | \$ 16.93              |
| 1.57195 | KMT    | 9/6/2013      | 9/27/2013 | \$ 71.98       | \$ 69.70   | \$ 2.28            | -                         | \$ 2.28               |
| 1.57260 | KORS   | 3/6/2013      | 9/27/2013 | \$ 117.30      | \$ 95.40   | \$ 21.90           | -                         | \$ 21.90              |
| 1.57389 | EWQ    | 11/5/2012     | 3/20/2013 | \$ 37.69       | \$ 33.63   | \$ 4.06            | -                         | \$ 4.06               |
| 1.57425 | DE     | 6/6/2012      | 1/25/2013 | \$ 147.22      | \$ 115.48  | \$ 31.75           | -                         | \$ 31.75              |
| 1.57562 | WWAV   | 6/20/2013     | 9/27/2013 | \$ 31.56       | \$ 25.01   | \$ 6.55            | -                         | \$ 6.55               |
| 1.57833 | IWF    | 5/15/2013     | 5/17/2013 | \$ 119.50      | \$ 119.74  | \$ (0.24)          | -                         | \$ (0.24)             |
| 1.58044 | COH    | 1/15/2013     | 9/27/2013 | \$ 85.72       | \$ 96.40   | \$ (10.68)         | -                         | \$ (10.68)            |
| 1.58242 | RBC    | 9/6/2013      | 9/27/2013 | \$ 107.91      | \$ 101.51  | \$ 6.40            | -                         | \$ 6.40               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.58479 | EL     | 6/6/2012         | 2/22/2013 | \$ 99.92       | \$ 86.82    | \$ 13.10              | \$ -                            | \$ 13.10                 |
| 1.58512 | SAVE   | 11/12/2012       | 9/27/2013 | \$ 54.70       | \$ 27.28    | \$ 27.43              | \$ -                            | \$ 27.43                 |
| 1.58677 | CVH    | 8/24/2012        | 5/7/2013  | \$ 36.18       | \$ 23.02    | \$ 13.16              | \$ -                            | \$ 13.16                 |
| 1.59167 | CLI    | 5/8/2013         | 7/31/2013 | \$ 38.07       | \$ 44.55    | \$ (6.48)             | \$ -                            | \$ (6.48)                |
| 1.59169 | RGA    | 3/18/2013        | 9/27/2013 | \$ 107.04      | \$ 95.78    | \$ 11.26              | \$ -                            | \$ 11.26                 |
| 1.59204 | ZION   | 9/6/2013         | 9/27/2013 | \$ 43.51       | \$ 45.58    | \$ (2.07)             | \$ -                            | \$ (2.07)                |
| 1.59355 | CTSH   | 11/12/2012       | 5/3/2013  | \$ 104.77      | \$ 104.30   | \$ 0.47               | \$ -                            | \$ 0.47                  |
| 1.59391 | EMB    | 7/2/2012         | 3/18/2013 | \$ 187.94      | \$ 182.53   | \$ 5.40               | \$ -                            | \$ 5.40                  |
| 1.59985 | PEP    | 6/6/2012         | 4/8/2013  | \$ 126.31      | \$ 107.83   | \$ 18.48              | \$ -                            | \$ 18.48                 |
| 1.60088 | HAR    | 12/17/2012       | 8/14/2013 | \$ 111.19      | \$ 67.51    | \$ 43.68              | \$ -                            | \$ 43.68                 |
| 1.60269 | GOOG   | 10/15/2012       | 9/27/2013 | \$ 1,402.75    | \$ 1,177.57 | \$ 225.18             | \$ -                            | \$ 225.18                |
| 1.60430 | ESRX   | 2/20/2013        | 9/27/2013 | \$ 99.39       | \$ 92.66    | \$ 6.72               | \$ -                            | \$ 6.72                  |
| 1.60726 | BYI    | 6/6/2012         | 4/1/2013  | \$ 82.69       | \$ 73.96    | \$ 8.74               | \$ -                            | \$ 8.74                  |
| 1.60878 | IWR    | 3/18/2013        | 6/17/2013 | \$ 212.66      | \$ 203.72   | \$ 8.94               | \$ -                            | \$ 8.94                  |
| 1.60880 | MCHP   | 4/26/2013        | 9/27/2013 | \$ 64.81       | \$ 56.37    | \$ 8.44               | \$ -                            | \$ 8.44                  |
| 1.60884 | AGG    | 1/11/2013        | 6/13/2013 | \$ 174.35      | \$ 178.13   | \$ (3.78)             | \$ -                            | \$ (3.78)                |
| 1.61030 | VNTV   | 12/10/2012       | 9/27/2013 | \$ 44.22       | \$ 34.31    | \$ 9.91               | \$ -                            | \$ 9.91                  |
| 1.61111 | AMED   | 3/18/2013        | 9/27/2013 | \$ 27.42       | \$ 18.85    | \$ 8.57               | \$ -                            | \$ 8.57                  |
| 1.61119 | BRK B  | 3/6/2013         | 9/27/2013 | \$ 184.71      | \$ 166.14   | \$ 18.57              | \$ -                            | \$ 18.57                 |
| 1.61178 | CYN    | 5/8/2013         | 9/27/2013 | \$ 106.38      | \$ 94.82    | \$ 11.56              | \$ -                            | \$ 11.56                 |
| 1.61278 | SNA    | 5/8/2013         | 9/27/2013 | \$ 160.54      | \$ 143.23   | \$ 17.31              | \$ -                            | \$ 17.31                 |
| 1.61280 | CFR    | 5/8/2013         | 9/27/2013 | \$ 112.22      | \$ 100.09   | \$ 12.13              | \$ -                            | \$ 12.13                 |
| 1.61291 | IWM    | 7/29/2013        | 9/27/2013 | \$ 172.00      | \$ 166.53   | \$ 5.47               | \$ -                            | \$ 5.47                  |
| 1.61334 | EMB    | 9/6/2013         | 9/27/2013 | \$ 176.19      | \$ 170.41   | \$ 5.78               | \$ -                            | \$ 5.78                  |
| 1.61560 | SIX    | 5/8/2013         | 9/27/2013 | \$ 55.32       | \$ 62.12    | \$ (6.80)             | \$ -                            | \$ (6.80)                |
| 1.61712 | SIX    | 1/8/2013         | 9/27/2013 | \$ 55.37       | \$ 50.79    | \$ 4.58               | \$ -                            | \$ 4.58                  |
| 1.61739 | CASY   | 5/8/2013         | 9/27/2013 | \$ 119.12      | \$ 96.59    | \$ 22.53              | \$ -                            | \$ 22.53                 |
| 1.61747 | RGLD   | 6/6/2012         | 2/19/2013 | \$ 110.69      | \$ 128.73   | \$ (18.04)            | \$ -                            | \$ (18.04)               |
| 1.62054 | VRSN   | 9/27/2013        | 9/27/2013 | \$ 82.71       | \$ 83.03    | \$ (0.32)             | \$ -                            | \$ (0.32)                |
| 1.62868 | VAR    | 1/15/2013        | 9/27/2013 | \$ 120.95      | \$ 120.78   | \$ 0.17               | \$ -                            | \$ 0.17                  |
| 1.62947 | EAT    | 2/19/2013        | 6/27/2013 | \$ 63.89       | \$ 51.96    | \$ 11.93              | \$ -                            | \$ 11.93                 |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.63169 | BRK B  | 2/20/2013        | 9/27/2013 | \$ 187.06      | \$ 165.54   | \$ 21.52              | -                               | \$ 21.52                 |
| 1.63270 | GOOG   | 3/18/2013        | 9/27/2013 | \$ 1,429.01    | \$ 1,322.26 | \$ 106.75             | -                               | \$ 106.75                |
| 1.64412 | FRC    | 12/18/2012       | 6/27/2013 | \$ 63.16       | \$ 55.09    | \$ 8.07               | -                               | \$ 8.07                  |
| 1.64818 | IWR    | 4/24/2013        | 8/14/2013 | \$ 227.02      | \$ 209.45   | \$ 17.56              | -                               | \$ 17.56                 |
| 1.64836 | IP     | 1/15/2013        | 9/27/2013 | \$ 74.99       | \$ 67.28    | \$ 7.71               | -                               | \$ 7.71                  |
| 1.65261 | DPZ    | 5/8/2013         | 9/27/2013 | \$ 111.42      | \$ 95.95    | \$ 15.47              | -                               | \$ 15.47                 |
| 1.65489 | ALK    | 2/21/2013        | 9/27/2013 | \$ 105.12      | \$ 82.12    | \$ 23.00              | -                               | \$ 23.00                 |
| 1.65531 | MSFT   | 2/20/2013        | 9/27/2013 | \$ 55.34       | \$ 46.39    | \$ 8.95               | -                               | \$ 8.95                  |
| 1.65562 | IR     | 1/15/2013        | 9/27/2013 | \$ 108.48      | \$ 82.04    | \$ 26.43              | -                               | \$ 26.43                 |
| 1.65625 | EWY    | 12/6/2012        | 3/20/2013 | \$ 96.33       | \$ 99.67    | \$ (3.34)             | -                               | \$ (3.34)                |
| 1.65632 | LIFE   | 4/19/2013        | 9/27/2013 | \$ 124.06      | \$ 121.95   | \$ 2.11               | -                               | \$ 2.11                  |
| 1.66196 | MCRS   | 4/17/2013        | 8/14/2013 | \$ 83.03       | \$ 70.73    | \$ 12.30              | -                               | \$ 12.30                 |
| 1.66583 | ALK    | 10/10/2012       | 9/27/2013 | \$ 105.81      | \$ 60.87    | \$ 44.95              | -                               | \$ 44.95                 |
| 1.67090 | VRSK   | 3/6/2013         | 9/27/2013 | \$ 108.98      | \$ 100.19   | \$ 8.78               | -                               | \$ 8.78                  |
| 1.67222 | MLNK   | 11/12/2012       | 9/27/2013 | \$ 4.58        | \$ 4.98     | \$ (0.40)             | -                               | \$ (0.40)                |
| 1.67413 | EXPD   | 2/20/2013        | 5/3/2013  | \$ 62.16       | \$ 71.02    | \$ (8.86)             | -                               | \$ (8.86)                |
| 1.67501 | ASCSA  | 3/18/2013        | 9/27/2013 | \$ 134.17      | \$ 116.64   | \$ 17.53              | -                               | \$ 17.53                 |
| 1.67877 | GPC    | 11/12/2012       | 9/27/2013 | \$ 134.96      | \$ 103.41   | \$ 31.55              | -                               | \$ 31.55                 |
| 1.67918 | WSH    | 6/6/2012         | 2/14/2013 | \$ 61.95       | \$ 59.78    | \$ 2.18               | -                               | \$ 2.18                  |
| 1.67926 | MASI   | 9/6/2013         | 9/27/2013 | \$ 44.50       | \$ 41.83    | \$ 2.67               | -                               | \$ 2.67                  |
| 1.68084 | JACK   | 5/22/2013        | 9/27/2013 | \$ 67.52       | \$ 61.70    | \$ 5.82               | -                               | \$ 5.82                  |
| 1.68100 | MOLX   | 6/6/2012         | 4/26/2013 | \$ 45.19       | \$ 39.60    | \$ 5.59               | -                               | \$ 5.59                  |
| 1.68292 | TUR    | 1/15/2013        | 3/20/2013 | \$ 114.67      | \$ 118.11   | \$ (3.44)             | -                               | \$ (3.44)                |
| 1.68294 | NVO    | 9/6/2013         | 9/27/2013 | \$ 285.43      | \$ 272.92   | \$ 12.51              | -                               | \$ 12.51                 |
| 1.68384 | DRC    | 6/6/2012         | 4/8/2013  | \$ 97.36       | \$ 73.18    | \$ 24.18              | -                               | \$ 24.18                 |
| 1.68551 | COH    | 3/6/2013         | 9/27/2013 | \$ 91.42       | \$ 84.76    | \$ 6.66               | -                               | \$ 6.66                  |
| 1.68642 | DRC    | 10/11/2012       | 4/24/2013 | \$ 95.33       | \$ 88.62    | \$ 6.71               | -                               | \$ 6.71                  |
| 1.68710 | LIFE   | 6/6/2012         | 4/16/2013 | \$ 123.77      | \$ 70.35    | \$ 53.42              | -                               | \$ 53.42                 |
| 1.68990 | RJF    | 8/14/2013        | 9/27/2013 | \$ 70.96       | \$ 74.07    | \$ (3.11)             | -                               | \$ (3.11)                |
| 1.69020 | PMCS   | 11/12/2012       | 1/14/2013 | \$ 9.03        | \$ 8.32     | \$ 0.70               | -                               | \$ 0.70                  |
| 1.69327 | FRC    | 9/6/2013         | 9/27/2013 | \$ 78.99       | \$ 76.40    | \$ 2.59               | -                               | \$ 2.59                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.69331 | FHN    | 10/25/2012       | 1/14/2013 | \$ 16.84       | \$ 15.80    | \$ 1.04               | -                               | \$ 1.04                  |
| 1.69401 | EPU    | 1/15/2013        | 3/20/2013 | \$ 73.46       | \$ 80.60    | \$ (7.14)             | -                               | \$ (7.14)                |
| 1.69961 | XRAY   | 5/8/2013         | 9/27/2013 | \$ 73.64       | \$ 74.12    | \$ (0.48)             | -                               | \$ (0.48)                |
| 1.70370 | LIFE   | 6/6/2012         | 3/13/2013 | \$ 106.50      | \$ 71.04    | \$ 35.46              | -                               | \$ 35.46                 |
| 1.70554 | LPNT   | 9/6/2013         | 9/27/2013 | \$ 78.73       | \$ 77.43    | \$ 1.30               | -                               | \$ 1.30                  |
| 1.70579 | AIV    | 6/24/2013        | 9/27/2013 | \$ 47.88       | \$ 47.25    | \$ 0.63               | -                               | \$ 0.63                  |
| 1.70846 | FRC    | 10/2/2012        | 6/27/2013 | \$ 65.63       | \$ 56.85    | \$ 8.79               | -                               | \$ 8.79                  |
| 1.70962 | DBV    | 8/16/2012        | 5/6/2013  | \$ 46.95       | \$ 42.93    | \$ 4.02               | -                               | \$ 4.02                  |
| 1.70974 | GILD   | 1/15/2013        | 9/27/2013 | \$ 108.43      | \$ 66.92    | \$ 41.51              | -                               | \$ 41.51                 |
| 1.71028 | FOSL   | 6/17/2013        | 9/27/2013 | \$ 199.78      | \$ 182.66   | \$ 17.12              | -                               | \$ 17.12                 |
| 1.71244 | IWD    | 4/25/2013        | 7/25/2013 | \$ 150.11      | \$ 140.85   | \$ 9.26               | -                               | \$ 9.26                  |
| 1.71473 | RGA    | 9/6/2013         | 9/27/2013 | \$ 115.32      | \$ 113.00   | \$ 2.32               | -                               | \$ 2.32                  |
| 1.71930 | SIRO   | 9/6/2013         | 9/27/2013 | \$ 115.12      | \$ 115.69   | \$ (0.56)             | -                               | \$ (0.56)                |
| 1.71961 | UNH    | 3/6/2013         | 9/27/2013 | \$ 123.67      | \$ 92.01    | \$ 31.66              | -                               | \$ 31.66                 |
| 1.72098 | EOG    | 11/12/2012       | 9/27/2013 | \$ 294.41      | \$ 196.22   | \$ 98.19              | -                               | \$ 98.19                 |
| 1.72500 | ROST   | 6/6/2012         | 2/11/2013 | \$ 104.45      | \$ 109.09   | \$ (4.64)             | -                               | \$ (4.64)                |
| 1.72550 | NOV    | 11/12/2012       | 9/27/2013 | \$ 134.88      | \$ 119.10   | \$ 15.78              | -                               | \$ 15.78                 |
| 1.72804 | AGN    | 11/12/2012       | 9/27/2013 | \$ 157.15      | \$ 156.27   | \$ 0.88               | -                               | \$ 0.88                  |
| 1.72887 | CCMP   | 5/8/2013         | 9/27/2013 | \$ 66.86       | \$ 60.51    | \$ 6.35               | -                               | \$ 6.35                  |
| 1.72911 | DE     | 11/12/2012       | 2/15/2013 | \$ 155.68      | \$ 147.51   | \$ 8.16               | -                               | \$ 8.16                  |
| 1.73022 | LKQ    | 4/1/2013         | 9/27/2013 | \$ 54.55       | \$ 37.36    | \$ 17.19              | -                               | \$ 17.19                 |
| 1.73092 | CTSH   | 10/15/2012       | 6/20/2013 | \$ 108.29      | \$ 120.55   | \$ (12.26)            | -                               | \$ (12.26)               |
| 1.73190 | EGN    | 3/21/2013        | 9/27/2013 | \$ 132.60      | \$ 88.39    | \$ 44.20              | -                               | \$ 44.20                 |
| 1.73321 | GOOG   | 5/8/2013         | 9/27/2013 | \$ 1,516.98    | \$ 1,510.41 | \$ 6.58               | -                               | \$ 6.58                  |
| 1.73586 | IWF    | 1/30/2013        | 4/4/2013  | \$ 122.74      | \$ 119.16   | \$ 3.59               | -                               | \$ 3.59                  |
| 1.73777 | ALT    | 1/11/2013        | 5/6/2013  | \$ 90.23       | \$ 87.84    | \$ 2.38               | -                               | \$ 2.38                  |
| 1.73826 | AIV    | 2/5/2013         | 9/27/2013 | \$ 48.79       | \$ 47.78    | \$ 1.01               | -                               | \$ 1.01                  |
| 1.73846 | CASY   | 9/6/2013         | 9/27/2013 | \$ 128.04      | \$ 117.76   | \$ 10.28              | -                               | \$ 10.28                 |
| 1.74045 | PRGS   | 11/12/2012       | 9/27/2013 | \$ 45.20       | \$ 33.43    | \$ 11.77              | -                               | \$ 11.77                 |
| 1.74377 | IT     | 9/6/2013         | 9/27/2013 | \$ 104.30      | \$ 99.97    | \$ 4.33               | -                               | \$ 4.33                  |
| 1.74463 | AMP    | 11/12/2012       | 9/27/2013 | \$ 159.44      | \$ 101.30   | \$ 58.13              | -                               | \$ 58.13                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.75034 | WRB    | 3/18/2013        | 9/27/2013 | \$ 75.75       | \$ 75.30    | \$ 0.46               | -                               | \$ 0.46                  |
| 1.75075 | EWZ    | 9/10/2012        | 3/20/2013 | \$ 96.22       | \$ 95.57    | \$ 0.65               | -                               | \$ 0.65                  |
| 1.75121 | ABG    | 6/10/2013        | 8/26/2013 | \$ 87.35       | \$ 73.17    | \$ 14.18              | -                               | \$ 14.18                 |
| 1.75146 | PCLN   | 3/18/2013        | 9/27/2013 | \$ 1,767.38    | \$ 1,224.87 | \$ 542.51             | -                               | \$ 542.51                |
| 1.75337 | RSG    | 6/6/2012         | 2/11/2013 | \$ 54.99       | \$ 45.87    | \$ 9.12               | -                               | \$ 9.12                  |
| 1.75339 | ARG    | 3/18/2013        | 9/27/2013 | \$ 185.56      | \$ 180.81   | \$ 4.75               | -                               | \$ 4.75                  |
| 1.75385 | AXP    | 1/15/2013        | 6/19/2013 | \$ 131.14      | \$ 106.30   | \$ 24.84              | -                               | \$ 24.84                 |
| 1.75496 | BPFH   | 3/6/2013         | 9/27/2013 | \$ 19.22       | \$ 16.44    | \$ 2.78               | -                               | \$ 2.78                  |
| 1.75807 | VAR    | 3/6/2013         | 9/27/2013 | \$ 130.55      | \$ 127.38   | \$ 3.17               | -                               | \$ 3.17                  |
| 1.75848 | YUM    | 11/12/2012       | 7/15/2013 | \$ 126.52      | \$ 127.14   | \$ (0.62)             | -                               | \$ (0.62)                |
| 1.76144 | CME    | 11/12/2012       | 9/27/2013 | \$ 132.16      | \$ 95.08    | \$ 37.08              | -                               | \$ 37.08                 |
| 1.76148 | HBHC   | 3/18/2013        | 9/27/2013 | \$ 55.01       | \$ 54.43    | \$ 0.58               | -                               | \$ 0.58                  |
| 1.76426 | VRSK   | 5/8/2013         | 9/27/2013 | \$ 115.07      | \$ 107.15   | \$ 7.92               | -                               | \$ 7.92                  |
| 1.77040 | TNC    | 3/18/2013        | 9/27/2013 | \$ 110.05      | \$ 86.75    | \$ 23.30              | -                               | \$ 23.30                 |
| 1.77063 | ALV    | 3/18/2013        | 8/14/2013 | \$ 148.77      | \$ 121.74   | \$ 27.03              | -                               | \$ 27.03                 |
| 1.77122 | ROL    | 7/2/2013         | 9/27/2013 | \$ 47.06       | \$ 47.63    | \$ (0.57)             | -                               | \$ (0.57)                |
| 1.77180 | IWM    | 8/26/2013        | 9/27/2013 | \$ 188.94      | \$ 183.58   | \$ 5.37               | -                               | \$ 5.37                  |
| 1.77475 | PGX    | 7/5/2013         | 9/27/2013 | \$ 24.23       | \$ 25.00    | \$ (0.77)             | -                               | \$ (0.77)                |
| 1.77777 | IWR    | 3/18/2013        | 6/13/2013 | \$ 231.03      | \$ 225.12   | \$ 5.91               | -                               | \$ 5.91                  |
| 1.77944 | CBT    | 5/8/2013         | 5/24/2013 | \$ 71.56       | \$ 68.07    | \$ 3.50               | -                               | \$ 3.50                  |
| 1.77999 | BERY   | 10/9/2012        | 6/20/2013 | \$ 40.75       | \$ 26.18    | \$ 14.57              | -                               | \$ 14.57                 |
| 1.78097 | TW     | 5/8/2013         | 9/27/2013 | \$ 192.27      | \$ 134.00   | \$ 58.27              | -                               | \$ 58.27                 |
| 1.78445 | LVS    | 7/17/2012        | 1/30/2013 | \$ 91.88       | \$ 71.30    | \$ 20.58              | -                               | \$ 20.58                 |
| 1.78492 | EWG    | 10/5/2012        | 3/20/2013 | \$ 45.02       | \$ 41.94    | \$ 3.08               | -                               | \$ 3.08                  |
| 1.78563 | RAIL   | 3/18/2013        | 9/27/2013 | \$ 37.59       | \$ 38.90    | \$ (1.31)             | -                               | \$ (1.31)                |
| 1.78624 | TMK    | 3/18/2013        | 9/27/2013 | \$ 129.99      | \$ 105.54   | \$ 24.45              | -                               | \$ 24.45                 |
| 1.78857 | IWM    | 3/18/2013        | 7/2/2013  | \$ 175.99      | \$ 169.12   | \$ 6.88               | -                               | \$ 6.88                  |
| 1.78973 | FRC    | 3/18/2013        | 6/27/2013 | \$ 68.75       | \$ 67.89    | \$ 0.86               | -                               | \$ 0.86                  |
| 1.79029 | FOSL   | 6/13/2013        | 8/14/2013 | \$ 216.94      | \$ 185.77   | \$ 31.18              | -                               | \$ 31.18                 |
| 1.79360 | ELY    | 11/12/2012       | 9/27/2013 | \$ 12.57       | \$ 10.85    | \$ 1.73               | -                               | \$ 1.73                  |
| 1.79383 | WWAV   | 4/24/2013        | 9/27/2013 | \$ 35.93       | \$ 22.00    | \$ 13.93              | -                               | \$ 13.93                 |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.79761 | WWAV   | 5/8/2013         | 9/27/2013 | \$ 36.01       | \$ 21.85    | \$ 14.16              | \$ -                            | \$ 14.16                 |
| 1.79882 | STR    | 1/28/2013        | 6/10/2013 | \$ 43.77       | \$ 41.23    | \$ 2.54               | \$ -                            | \$ 2.54                  |
| 1.80437 | VICR   | 11/12/2012       | 9/27/2013 | \$ 14.76       | \$ 10.67    | \$ 4.09               | \$ -                            | \$ 4.09                  |
| 1.80744 | LNKD   | 11/12/2012       | 9/27/2013 | \$ 446.08      | \$ 175.29   | \$ 270.79             | \$ -                            | \$ 270.79                |
| 1.80759 | DHR    | 6/6/2012         | 3/13/2013 | \$ 112.37      | \$ 92.20    | \$ 20.17              | \$ -                            | \$ 20.17                 |
| 1.80803 | KMT    | 3/18/2013        | 9/27/2013 | \$ 82.79       | \$ 74.97    | \$ 7.82               | \$ -                            | \$ 7.82                  |
| 1.80832 | EZA    | 11/7/2012        | 3/20/2013 | \$ 115.01      | \$ 121.28   | \$ (6.28)             | \$ -                            | \$ (6.28)                |
| 1.80993 | ELD    | 1/17/2013        | 6/20/2013 | \$ 84.52       | \$ 97.10    | \$ (12.58)            | \$ -                            | \$ (12.58)               |
| 1.81037 | STR    | 11/12/2012       | 6/10/2013 | \$ 44.05       | \$ 34.75    | \$ 9.30               | \$ -                            | \$ 9.30                  |
| 1.81266 | SHY    | 8/7/2013         | 9/27/2013 | \$ 153.06      | \$ 153.00   | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 1.81671 | SBAC   | 3/18/2013        | 9/27/2013 | \$ 144.67      | \$ 128.46   | \$ 16.21              | \$ -                            | \$ 16.21                 |
| 1.81899 | ESRX   | 1/15/2013        | 9/27/2013 | \$ 112.69      | \$ 98.84    | \$ 13.85              | \$ -                            | \$ 13.85                 |
| 1.81907 | FAST   | 12/26/2012       | 6/20/2013 | \$ 81.95       | \$ 83.93    | \$ (1.98)             | \$ -                            | \$ (1.98)                |
| 1.82004 | JOSB   | 3/18/2013        | 9/9/2013  | \$ 75.71       | \$ 76.31    | \$ (0.60)             | \$ -                            | \$ (0.60)                |
| 1.82212 | IWR    | 3/18/2013        | 7/2/2013  | \$ 238.72      | \$ 230.74   | \$ 7.98               | \$ -                            | \$ 7.98                  |
| 1.82579 | AAPL   | 10/15/2012       | 9/27/2013 | \$ 879.00      | \$ 1,148.64 | \$ (269.64)           | \$ -                            | \$ (269.64)              |
| 1.82851 | ROST   | 6/6/2012         | 4/16/2013 | \$ 115.93      | \$ 115.64   | \$ 0.29               | \$ -                            | \$ 0.29                  |
| 1.83386 | CCK    | 6/10/2013        | 9/27/2013 | \$ 78.20       | \$ 79.44    | \$ (1.24)             | \$ -                            | \$ (1.24)                |
| 1.83476 | MCHP   | 5/8/2013         | 9/27/2013 | \$ 73.91       | \$ 67.37    | \$ 6.54               | \$ -                            | \$ 6.54                  |
| 1.83596 | VNQ    | 1/15/2013        | 6/13/2013 | \$ 126.46      | \$ 123.30   | \$ 3.17               | \$ -                            | \$ 3.17                  |
| 1.83656 | ALXN   | 1/25/2013        | 5/15/2013 | \$ 191.28      | \$ 172.48   | \$ 18.80              | \$ -                            | \$ 18.80                 |
| 1.83979 | MOLX   | 9/6/2013         | 9/27/2013 | \$ 70.74       | \$ 54.20    | \$ 16.54              | \$ -                            | \$ 16.54                 |
| 1.85351 | GILD   | 3/6/2013         | 9/27/2013 | \$ 117.55      | \$ 83.53    | \$ 34.02              | \$ -                            | \$ 34.02                 |
| 1.85615 | FNFG   | 11/12/2012       | 9/27/2013 | \$ 18.99       | \$ 14.05    | \$ 4.94               | \$ -                            | \$ 4.94                  |
| 1.85689 | CVH    | 6/6/2012         | 5/7/2013  | \$ 42.34       | \$ 8.24     | \$ 34.10              | \$ -                            | \$ 34.10                 |
| 1.85896 | XRAY   | 9/6/2013         | 9/27/2013 | \$ 80.55       | \$ 79.47    | \$ 1.08               | \$ -                            | \$ 1.08                  |
| 1.86167 | ARW    | 8/20/2013        | 9/27/2013 | \$ 89.71       | \$ 87.48    | \$ 2.23               | \$ -                            | \$ 2.23                  |
| 1.86391 | SHY    | 7/5/2013         | 9/27/2013 | \$ 157.39      | \$ 156.97   | \$ 0.42               | \$ -                            | \$ 0.42                  |
| 1.86521 | IWF    | 4/24/2013        | 5/17/2013 | \$ 141.22      | \$ 133.94   | \$ 7.28               | \$ -                            | \$ 7.28                  |
| 1.86525 | BA     | 1/15/2013        | 9/27/2013 | \$ 220.80      | \$ 142.78   | \$ 78.02              | \$ -                            | \$ 78.02                 |
| 1.87045 | ROST   | 6/6/2012         | 1/4/2013  | \$ 109.13      | \$ 118.29   | \$ (9.16)             | \$ -                            | \$ (9.16)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.87057 | FBHS   | 6/10/2013        | 9/27/2013 | \$ 78.10       | \$ 73.24   | \$ 4.85               | -                               | \$ 4.85                  |
| 1.87350 | CHKP   | 2/7/2013         | 6/20/2013 | \$ 92.03       | \$ 95.38   | \$ (3.35)             | -                               | \$ (3.35)                |
| 1.87556 | MMC    | 1/15/2013        | 9/27/2013 | \$ 82.21       | \$ 65.81   | \$ 16.40              | -                               | \$ 16.40                 |
| 1.87783 | HYS    | 9/6/2013         | 9/27/2013 | \$ 197.94      | \$ 195.98  | \$ 1.96               | -                               | \$ 1.96                  |
| 1.88132 | ES     | 3/6/2013         | 3/22/2013 | \$ 7.02        | \$ 7.09    | \$ (0.07)             | -                               | \$ (0.07)                |
| 1.88204 | HAR    | 5/8/2013         | 9/27/2013 | \$ 124.61      | \$ 92.37   | \$ 32.24              | -                               | \$ 32.24                 |
| 1.88221 | CCMP   | 9/6/2013         | 9/27/2013 | \$ 72.79       | \$ 69.94   | \$ 2.85               | -                               | \$ 2.85                  |
| 1.88634 | IR     | 3/6/2013         | 9/27/2013 | \$ 123.59      | \$ 100.95  | \$ 22.64              | -                               | \$ 22.64                 |
| 1.89299 | EWY    | 8/10/2012        | 3/20/2013 | \$ 110.10      | \$ 109.64  | \$ 0.46               | -                               | \$ 0.46                  |
| 1.89463 | IWM    | 5/8/2013         | 8/15/2013 | \$ 194.25      | \$ 182.28  | \$ 11.97              | -                               | \$ 11.97                 |
| 1.90371 | GILD   | 3/6/2013         | 9/27/2013 | \$ 120.73      | \$ 85.80   | \$ 34.94              | -                               | \$ 34.94                 |
| 1.90485 | JDSU   | 8/28/2012        | 6/20/2013 | \$ 26.36       | \$ 22.61   | \$ 3.75               | -                               | \$ 3.75                  |
| 1.90527 | IWM    | 3/18/2013        | 6/26/2013 | \$ 183.01      | \$ 180.15  | \$ 2.86               | -                               | \$ 2.86                  |
| 1.90552 | IWM    | 3/18/2013        | 7/18/2013 | \$ 198.79      | \$ 180.18  | \$ 18.62              | -                               | \$ 18.62                 |
| 1.90805 | RCKB   | 11/12/2012       | 9/27/2013 | \$ 24.88       | \$ 24.18   | \$ 0.70               | -                               | \$ 0.70                  |
| 1.90956 | LVS    | 10/15/2012       | 6/20/2013 | \$ 102.82      | \$ 84.44   | \$ 18.38              | -                               | \$ 18.38                 |
| 1.90957 | BRK B  | 1/15/2013        | 9/27/2013 | \$ 218.91      | \$ 181.27  | \$ 37.64              | -                               | \$ 37.64                 |
| 1.91017 | IWF    | 1/8/2013         | 2/12/2013 | \$ 132.09      | \$ 127.50  | \$ 4.58               | -                               | \$ 4.58                  |
| 1.91019 | DRC    | 6/6/2012         | 3/21/2013 | \$ 115.28      | \$ 83.02   | \$ 32.26              | -                               | \$ 32.26                 |
| 1.91031 | RCII   | 5/8/2013         | 6/24/2013 | \$ 70.93       | \$ 69.59   | \$ 1.34               | -                               | \$ 1.34                  |
| 1.91063 | LH     | 6/6/2012         | 1/25/2013 | \$ 168.24      | \$ 162.05  | \$ 6.19               | -                               | \$ 6.19                  |
| 1.91115 | KSS    | 1/15/2013        | 9/27/2013 | \$ 99.40       | \$ 81.62   | \$ 17.78              | -                               | \$ 17.78                 |
| 1.91558 | CIT    | 3/6/2013         | 9/27/2013 | \$ 92.70       | \$ 81.95   | \$ 10.74              | -                               | \$ 10.74                 |
| 1.91559 | QCOM   | 2/20/2013        | 9/27/2013 | \$ 128.82      | \$ 126.05  | \$ 2.78               | -                               | \$ 2.78                  |
| 1.91636 | WMGI   | 9/6/2013         | 9/27/2013 | \$ 49.81       | \$ 46.93   | \$ 2.88               | -                               | \$ 2.88                  |
| 1.91981 | AUY    | 12/7/2012        | 9/27/2013 | \$ 20.04       | \$ 34.21   | \$ (14.17)            | -                               | \$ (14.17)               |
| 1.92029 | CACH   | 11/12/2012       | 9/27/2013 | \$ 11.58       | \$ 4.19    | \$ 7.39               | -                               | \$ 7.39                  |
| 1.92169 | DHR    | 3/6/2013         | 9/27/2013 | \$ 134.13      | \$ 119.55  | \$ 14.58              | -                               | \$ 14.58                 |
| 1.92180 | GILD   | 6/5/2012         | 4/24/2013 | \$ 98.98       | \$ 46.91   | \$ 52.07              | -                               | \$ 52.07                 |
| 1.92250 | EL     | 6/6/2012         | 5/28/2013 | \$ 136.72      | \$ 105.33  | \$ 31.40              | -                               | \$ 31.40                 |
| 1.92285 | SLXP   | 5/8/2013         | 9/27/2013 | \$ 129.51      | \$ 104.91  | \$ 24.60              | -                               | \$ 24.60                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 1.92356 | USPH   | 11/12/2012    | 9/27/2013 | \$ 58.90       | \$ 47.47    | \$ 11.43           | -                         | \$ 11.43              |
| 1.92651 | IWD    | 12/21/2012    | 2/14/2013 | \$ 152.03      | \$ 139.96   | \$ 12.07           | -                         | \$ 12.07              |
| 1.92896 | CDW    | 9/6/2013      | 9/27/2013 | \$ 46.89       | \$ 45.52    | \$ 1.37            | -                         | \$ 1.37               |
| 1.93000 | PCLN   | 3/18/2013     | 9/27/2013 | \$ 1,947.55    | \$ 1,349.74 | \$ 597.81          | -                         | \$ 597.81             |
| 1.93640 | RHT    | 5/17/2013     | 6/20/2013 | \$ 89.17       | \$ 106.88   | \$ (17.71)         | -                         | \$ (17.71)            |
| 1.94079 | UNH    | 1/15/2013     | 9/27/2013 | \$ 139.58      | \$ 103.77   | \$ 35.82           | -                         | \$ 35.82              |
| 1.94530 | DAR    | 11/12/2012    | 9/27/2013 | \$ 39.86       | \$ 30.05    | \$ 9.81            | -                         | \$ 9.81               |
| 1.94648 | COL    | 11/12/2012    | 9/27/2013 | \$ 133.74      | \$ 104.86   | \$ 28.89           | -                         | \$ 28.89              |
| 1.94891 | RS     | 5/8/2013      | 9/27/2013 | \$ 142.41      | \$ 133.09   | \$ 9.32            | -                         | \$ 9.32               |
| 1.95022 | PRE    | 5/8/2013      | 9/27/2013 | \$ 178.56      | \$ 182.54   | \$ (3.98)          | -                         | \$ (3.98)             |
| 1.95517 | ABT    | 3/6/2013      | 9/27/2013 | \$ 64.72       | \$ 67.33    | \$ (2.61)          | -                         | \$ (2.61)             |
| 1.95670 | Q      | 9/6/2013      | 9/27/2013 | \$ 87.58       | \$ 84.76    | \$ 2.82            | -                         | \$ 2.82               |
| 1.96102 | HXL    | 11/27/2012    | 9/27/2013 | \$ 75.79       | \$ 49.62    | \$ 26.18           | -                         | \$ 26.18              |
| 1.96516 | RBC    | 5/2/2013      | 9/27/2013 | \$ 134.00      | \$ 127.52   | \$ 6.49            | -                         | \$ 6.49               |
| 1.96750 | NVO    | 6/21/2013     | 9/27/2013 | \$ 333.69      | \$ 302.93   | \$ 30.76           | -                         | \$ 30.76              |
| 1.96847 | FTI    | 3/6/2013      | 9/27/2013 | \$ 108.90      | \$ 100.67   | \$ 8.23            | -                         | \$ 8.23               |
| 1.96956 | DPZ    | 7/24/2013     | 9/27/2013 | \$ 132.79      | \$ 118.70   | \$ 14.08           | -                         | \$ 14.08              |
| 1.96962 | ES     | 8/10/2012     | 3/22/2013 | \$ 7.34        | \$ 3.78     | \$ 3.56            | -                         | \$ 3.56               |
| 1.97014 | ACWI   | 7/18/2012     | 5/6/2013  | \$ 103.83      | \$ 86.50    | \$ 17.33           | -                         | \$ 17.33              |
| 1.97210 | TFX    | 3/18/2013     | 9/27/2013 | \$ 161.16      | \$ 160.48   | \$ 0.68            | -                         | \$ 0.68               |
| 1.97297 | ESRX   | 3/6/2013      | 9/27/2013 | \$ 122.23      | \$ 115.14   | \$ 7.09            | -                         | \$ 7.09               |
| 1.97354 | IWR    | 6/27/2013     | 9/27/2013 | \$ 275.23      | \$ 257.47   | \$ 17.76           | -                         | \$ 17.76              |
| 1.97447 | IDTI   | 11/12/2012    | 9/27/2013 | \$ 18.50       | \$ 11.45    | \$ 7.06            | -                         | \$ 7.06               |
| 1.97534 | ALT    | 7/18/2012     | 5/6/2013  | \$ 102.56      | \$ 99.20    | \$ 3.36            | -                         | \$ 3.36               |
| 1.97647 | SNA    | 9/6/2013      | 9/27/2013 | \$ 196.74      | \$ 191.22   | \$ 5.52            | -                         | \$ 5.52               |
| 1.97746 | VTR    | 6/6/2012      | 4/8/2013  | \$ 148.35      | \$ 113.06   | \$ 35.29           | -                         | \$ 35.29              |
| 1.97753 | LTM    | 2/11/2013     | 6/3/2013  | \$ 97.90       | \$ 84.41    | \$ 13.49           | -                         | \$ 13.49              |
| 1.98022 | DBV    | 1/8/2013      | 9/4/2013  | \$ 50.48       | \$ 52.43    | \$ (1.95)          | -                         | \$ (1.95)             |
| 1.98047 | RJF    | 5/8/2013      | 9/27/2013 | \$ 83.16       | \$ 84.17    | \$ (1.01)          | -                         | \$ (1.01)             |
| 1.98207 | IWD    | 2/4/2013      | 2/14/2013 | \$ 156.41      | \$ 153.57   | \$ 2.84            | -                         | \$ 2.84               |
| 1.98357 | WWAV   | 2/28/2013     | 9/27/2013 | \$ 39.73       | \$ 21.39    | \$ 18.34           | -                         | \$ 18.34              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.98790 | TWX    | 3/6/2013         | 9/27/2013 | \$ 131.79      | \$ 110.37  | \$ 21.42              | -                               | \$ 21.42                 |
| 1.99331 | DCT    | 5/9/2013         | 6/20/2013 | \$ 14.05       | \$ 16.48   | \$ (2.42)             | -                               | \$ (2.42)                |
| 1.99340 | SFG    | 9/6/2013         | 9/27/2013 | \$ 110.16      | \$ 107.82  | \$ 2.34               | -                               | \$ 2.34                  |
| 1.99379 | CHKP   | 2/7/2013         | 9/27/2013 | \$ 112.95      | \$ 101.50  | \$ 11.45              | -                               | \$ 11.45                 |
| 1.99488 | WMGI   | 3/18/2013        | 9/27/2013 | \$ 51.85       | \$ 46.83   | \$ 5.02               | -                               | \$ 5.02                  |
| 2.00020 | HAR    | 4/19/2013        | 9/27/2013 | \$ 132.43      | \$ 83.12   | \$ 49.31              | -                               | \$ 49.31                 |
| 2.00127 | MIG    | 11/12/2012       | 9/27/2013 | \$ 12.93       | \$ 11.56   | \$ 1.37               | -                               | \$ 1.37                  |
| 2.00239 | CFR    | 6/6/2012         | 6/3/2013  | \$ 127.54      | \$ 109.65  | \$ 17.89              | -                               | \$ 17.89                 |
| 2.00327 | TMO    | 11/12/2012       | 9/27/2013 | \$ 186.00      | \$ 120.85  | \$ 65.15              | -                               | \$ 65.15                 |
| 2.00360 | PX     | 11/12/2012       | 9/27/2013 | \$ 240.96      | \$ 215.05  | \$ 25.91              | -                               | \$ 25.91                 |
| 2.01098 | PCP    | 3/1/2013         | 9/27/2013 | \$ 458.13      | \$ 374.28  | \$ 83.85              | -                               | \$ 83.85                 |
| 2.01122 | AIV    | 12/27/2012       | 9/27/2013 | \$ 56.46       | \$ 53.85   | \$ 2.60               | -                               | \$ 2.60                  |
| 2.01265 | IDTI   | 2/14/2013        | 9/27/2013 | \$ 18.86       | \$ 14.60   | \$ 4.26               | -                               | \$ 4.26                  |
| 2.01285 | IWD    | 3/14/2013        | 3/18/2013 | \$ 163.36      | \$ 163.72  | \$ (0.36)             | -                               | \$ (0.36)                |
| 2.01888 | FRC    | 3/18/2013        | 9/27/2013 | \$ 94.18       | \$ 76.59   | \$ 17.59              | -                               | \$ 17.59                 |
| 2.02033 | POR    | 5/8/2013         | 9/27/2013 | \$ 57.05       | \$ 65.62   | \$ (8.57)             | -                               | \$ (8.57)                |
| 2.02036 | AMAT   | 3/7/2013         | 9/27/2013 | \$ 35.62       | \$ 27.61   | \$ 8.01               | -                               | \$ 8.01                  |
| 2.02076 | IWM    | 3/21/2013        | 7/2/2013  | \$ 198.84      | \$ 190.50  | \$ 8.34               | -                               | \$ 8.34                  |
| 2.02094 | IWD    | 2/5/2013         | 3/1/2013  | \$ 158.97      | \$ 157.73  | \$ 1.24               | -                               | \$ 1.24                  |
| 2.02219 | VRSK   | 6/6/2012         | 3/21/2013 | \$ 121.46      | \$ 95.44   | \$ 26.01              | -                               | \$ 26.01                 |
| 2.02240 | CSII   | 11/12/2012       | 9/27/2013 | \$ 40.51       | \$ 24.00   | \$ 16.51              | -                               | \$ 16.51                 |
| 2.02252 | AXP    | 10/15/2012       | 6/20/2013 | \$ 147.85      | \$ 116.55  | \$ 31.30              | -                               | \$ 31.30                 |
| 2.02369 | EMB    | 2/20/2013        | 6/20/2013 | \$ 215.52      | \$ 241.41  | \$ (25.88)            | -                               | \$ (25.88)               |
| 2.02417 | VRSK   | 9/6/2013         | 9/27/2013 | \$ 132.02      | \$ 128.15  | \$ 3.87               | -                               | \$ 3.87                  |
| 2.02472 | TW     | 9/6/2013         | 9/27/2013 | \$ 218.59      | \$ 172.16  | \$ 46.43              | -                               | \$ 46.43                 |
| 2.02656 | GILD   | 10/15/2012       | 9/27/2013 | \$ 128.52      | \$ 68.88   | \$ 59.64              | -                               | \$ 59.64                 |
| 2.02689 | VNQ    | 7/18/2012        | 5/6/2013  | \$ 153.58      | \$ 134.99  | \$ 18.60              | -                               | \$ 18.60                 |
| 2.03104 | EWJ    | 2/7/2013         | 3/20/2013 | \$ 21.69       | \$ 20.45   | \$ 1.25               | -                               | \$ 1.25                  |
| 2.03843 | EXPD   | 1/15/2013        | 5/3/2013  | \$ 75.68       | \$ 86.87   | \$ (11.19)            | -                               | \$ (11.19)               |
| 2.03858 | NU     | 3/6/2013         | 9/27/2013 | \$ 84.62       | \$ 86.76   | \$ (2.14)             | -                               | \$ (2.14)                |
| 2.04206 | EWZ    | 8/10/2012        | 3/20/2013 | \$ 112.23      | \$ 111.96  | \$ 0.27               | -                               | \$ 0.27                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 2.05808 | LUV    | 9/6/2013      | 9/27/2013 | \$ 30.17       | \$ 26.94   | \$ 3.23            | -                         | \$ 3.23               |
| 2.06055 | THG    | 9/6/2013      | 9/27/2013 | \$ 114.24      | \$ 104.14  | \$ 10.10           | -                         | \$ 10.10              |
| 2.06432 | AWI    | 12/17/2012    | 9/9/2013  | \$ 106.29      | \$ 99.40   | \$ 6.90            | -                         | \$ 6.90               |
| 2.06508 | BIDU   | 11/12/2012    | 9/27/2013 | \$ 317.45      | \$ 214.74  | \$ 102.71          | -                         | \$ 102.71             |
| 2.06932 | CYN    | 3/18/2013     | 9/27/2013 | \$ 136.58      | \$ 120.60  | \$ 15.98           | -                         | \$ 15.98              |
| 2.07081 | AWI    | 9/6/2013      | 9/27/2013 | \$ 114.16      | \$ 103.29  | \$ 10.87           | -                         | \$ 10.87              |
| 2.07164 | ARIA   | 11/12/2012    | 9/27/2013 | \$ 38.68       | \$ 44.45   | \$ (5.77)          | -                         | \$ (5.77)             |
| 2.07174 | RCII   | 6/6/2012      | 5/8/2013  | \$ 74.79       | \$ 71.47   | \$ 3.32            | -                         | \$ 3.32               |
| 2.07191 | BOH    | 3/18/2013     | 4/24/2013 | \$ 96.95       | \$ 103.14  | \$ (6.19)          | -                         | \$ (6.19)             |
| 2.07834 | LPNT   | 3/18/2013     | 9/27/2013 | \$ 95.94       | \$ 98.68   | \$ (2.74)          | -                         | \$ (2.74)             |
| 2.08985 | DNR    | 12/27/2012    | 9/27/2013 | \$ 38.77       | \$ 32.83   | \$ 5.94            | -                         | \$ 5.94               |
| 2.10149 | EMC    | 5/1/2013      | 9/27/2013 | \$ 54.83       | \$ 46.84   | \$ 7.99            | -                         | \$ 7.99               |
| 2.10803 | TJX    | 3/6/2013      | 9/27/2013 | \$ 118.98      | \$ 95.51   | \$ 23.47           | -                         | \$ 23.47              |
| 2.10975 | TCBK   | 9/6/2013      | 9/27/2013 | \$ 47.81       | \$ 43.73   | \$ 4.08            | -                         | \$ 4.08               |
| 2.11387 | IWD    | 7/9/2013      | 9/17/2013 | \$ 186.07      | \$ 181.48  | \$ 4.59            | -                         | \$ 4.59               |
| 2.11454 | EMB    | 12/4/2012     | 5/28/2013 | \$ 248.64      | \$ 258.63  | \$ (9.98)          | -                         | \$ (9.98)             |
| 2.12504 | SAVE   | 9/17/2012     | 6/20/2013 | \$ 69.58       | \$ 35.68   | \$ 33.90           | -                         | \$ 33.90              |
| 2.12607 | AMZN   | 9/6/2013      | 9/27/2013 | \$ 670.47      | \$ 634.17  | \$ 36.30           | -                         | \$ 36.30              |
| 2.12700 | FOSL   | 9/6/2013      | 9/27/2013 | \$ 248.46      | \$ 242.05  | \$ 6.41            | -                         | \$ 6.41               |
| 2.12740 | PMTC   | 2/5/2013      | 9/27/2013 | \$ 60.50       | \$ 49.99   | \$ 10.51           | -                         | \$ 10.51              |
| 2.12947 | IWM    | 4/24/2013     | 8/14/2013 | \$ 222.16      | \$ 196.55  | \$ 25.61           | -                         | \$ 25.61              |
| 2.13133 | PGX    | 9/11/2013     | 9/27/2013 | \$ 29.09       | \$ 29.15   | \$ (0.05)          | -                         | \$ (0.05)             |
| 2.13246 | ES     | 7/17/2012     | 3/22/2013 | \$ 7.95        | \$ 3.64    | \$ 4.31            | -                         | \$ 4.31               |
| 2.13332 | SIX    | 12/12/2012    | 9/27/2013 | \$ 73.04       | \$ 66.88   | \$ 6.16            | -                         | \$ 6.16               |
| 2.13349 | MMC    | 3/6/2013      | 9/27/2013 | \$ 93.51       | \$ 79.57   | \$ 13.94           | -                         | \$ 13.94              |
| 2.13503 | DHR    | 1/15/2013     | 9/27/2013 | \$ 149.03      | \$ 127.39  | \$ 21.64           | -                         | \$ 21.64              |
| 2.13681 | VNTV   | 11/13/2012    | 9/27/2013 | \$ 58.68       | \$ 42.58   | \$ 16.10           | -                         | \$ 16.10              |
| 2.13684 | AGCO   | 5/8/2013      | 9/27/2013 | \$ 130.90      | \$ 119.00  | \$ 11.90           | -                         | \$ 11.90              |
| 2.13890 | TJX    | 3/22/2013     | 6/20/2013 | \$ 105.17      | \$ 99.67   | \$ 5.51            | -                         | \$ 5.51               |
| 2.14124 | IWF    | 1/30/2013     | 3/7/2013  | \$ 150.57      | \$ 146.98  | \$ 3.59            | -                         | \$ 3.59               |
| 2.14175 | RS     | 9/6/2013      | 9/27/2013 | \$ 156.50      | \$ 148.40  | \$ 8.10            | -                         | \$ 8.10               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.14216 | ALOG   | 9/6/2013         | 9/27/2013 | \$ 174.25      | \$ 163.76  | \$ 10.49              | -                               | \$ 10.49                 |
| 2.14801 | HAR    | 3/18/2013        | 9/27/2013 | \$ 142.22      | \$ 97.23   | \$ 44.99              | -                               | \$ 44.99                 |
| 2.14925 | ABT    | 1/15/2013        | 9/27/2013 | \$ 71.14       | \$ 71.50   | \$ (0.36)             | -                               | \$ (0.36)                |
| 2.15311 | LVS    | 6/6/2012         | 1/25/2013 | \$ 113.39      | \$ 100.35  | \$ 13.04              | -                               | \$ 13.04                 |
| 2.15399 | SYPR   | 11/12/2012       | 9/27/2013 | \$ 6.72        | \$ 7.83    | \$ (1.11)             | -                               | \$ (1.11)                |
| 2.16549 | RCII   | 6/6/2012         | 4/24/2013 | \$ 72.35       | \$ 74.70   | \$ (2.35)             | -                               | \$ (2.35)                |
| 2.16858 | RDEN   | 3/18/2013        | 9/27/2013 | \$ 81.80       | \$ 83.10   | \$ (1.30)             | -                               | \$ (1.30)                |
| 2.17058 | PRE    | 9/6/2013         | 9/27/2013 | \$ 198.74      | \$ 188.58  | \$ 10.16              | -                               | \$ 10.16                 |
| 2.17118 | HAR    | 9/6/2013         | 9/27/2013 | \$ 143.75      | \$ 141.30  | \$ 2.45               | -                               | \$ 2.45                  |
| 2.17173 | NOV    | 10/15/2012       | 6/20/2013 | \$ 149.10      | \$ 169.84  | \$ (20.74)            | -                               | \$ (20.74)               |
| 2.17360 | SLXP   | 9/6/2013         | 9/27/2013 | \$ 146.39      | \$ 143.37  | \$ 3.02               | -                               | \$ 3.02                  |
| 2.17372 | ROL    | 1/16/2013        | 9/27/2013 | \$ 57.76       | \$ 51.00   | \$ 6.76               | -                               | \$ 6.76                  |
| 2.17956 | MOLX   | 8/14/2013        | 9/27/2013 | \$ 83.80       | \$ 67.37   | \$ 16.43              | -                               | \$ 16.43                 |
| 2.18027 | EWY    | 9/10/2012        | 3/20/2013 | \$ 126.80      | \$ 124.68  | \$ 2.12               | -                               | \$ 2.12                  |
| 2.18184 | HSON   | 11/12/2012       | 9/27/2013 | \$ 7.11        | \$ 9.78    | \$ (2.67)             | -                               | \$ (2.67)                |
| 2.18297 | FIS    | 1/15/2013        | 9/27/2013 | \$ 101.33      | \$ 79.94   | \$ 21.40              | -                               | \$ 21.40                 |
| 2.18321 | VNQ    | 1/8/2013         | 6/13/2013 | \$ 150.38      | \$ 145.73  | \$ 4.65               | -                               | \$ 4.65                  |
| 2.18331 | KEG    | 11/12/2012       | 9/27/2013 | \$ 16.20       | \$ 12.98   | \$ 3.22               | -                               | \$ 3.22                  |
| 2.18576 | PMT    | 8/14/2013        | 9/27/2013 | \$ 49.81       | \$ 48.35   | \$ 1.47               | -                               | \$ 1.47                  |
| 2.18643 | DPZ    | 3/18/2013        | 9/27/2013 | \$ 147.41      | \$ 109.42  | \$ 37.99              | -                               | \$ 37.99                 |
| 2.18804 | KSS    | 3/6/2013         | 9/27/2013 | \$ 113.80      | \$ 101.82  | \$ 11.98              | -                               | \$ 11.98                 |
| 2.19586 | CL     | 11/12/2012       | 9/27/2013 | \$ 131.75      | \$ 114.63  | \$ 17.12              | -                               | \$ 17.12                 |
| 2.19825 | EWZ    | 2/20/2013        | 3/20/2013 | \$ 120.82      | \$ 123.47  | \$ (2.65)             | -                               | \$ (2.65)                |
| 2.20296 | LNKD   | 10/15/2012       | 7/22/2013 | \$ 434.77      | \$ 240.90  | \$ 193.87             | -                               | \$ 193.87                |
| 2.20299 | MENT   | 11/12/2012       | 9/27/2013 | \$ 51.00       | \$ 33.61   | \$ 17.39              | -                               | \$ 17.39                 |
| 2.20516 | EXPD   | 3/6/2013         | 5/3/2013  | \$ 81.87       | \$ 86.96   | \$ (5.09)             | -                               | \$ (5.09)                |
| 2.20722 | AUY    | 10/23/2012       | 9/27/2013 | \$ 23.04       | \$ 41.69   | \$ (18.65)            | -                               | \$ (18.65)               |
| 2.21404 | PRFT   | 11/12/2012       | 9/27/2013 | \$ 40.76       | \$ 24.36   | \$ 16.40              | -                               | \$ 16.40                 |
| 2.21424 | IWM    | 12/27/2012       | 5/6/2013  | \$ 210.37      | \$ 183.43  | \$ 26.95              | -                               | \$ 26.95                 |
| 2.21724 | DBC    | 12/11/2012       | 9/4/2013  | \$ 58.82       | \$ 60.95   | \$ (2.12)             | -                               | \$ (2.12)                |
| 2.21766 | JNK    | 1/17/2013        | 6/20/2013 | \$ 87.84       | \$ 91.29   | \$ (3.45)             | -                               | \$ (3.45)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.22017 | MYGN   | 3/18/2013        | 5/28/2013 | \$ 71.98       | \$ 56.57   | \$ 15.41              | -                               | \$ 15.41                 |
| 2.22062 | AMTD   | 7/17/2012        | 3/14/2013 | \$ 46.80       | \$ 35.54   | \$ 11.26              | -                               | \$ 11.26                 |
| 2.22079 | BYI    | 5/8/2013         | 9/27/2013 | \$ 162.01      | \$ 117.48  | \$ 44.53              | -                               | \$ 44.53                 |
| 2.22173 | UNM    | 1/28/2013        | 9/27/2013 | \$ 68.16       | \$ 51.73   | \$ 16.43              | -                               | \$ 16.43                 |
| 2.22899 | DPZ    | 9/6/2013         | 9/27/2013 | \$ 150.28      | \$ 137.84  | \$ 12.44              | -                               | \$ 12.44                 |
| 2.22925 | AET    | 3/6/2013         | 9/27/2013 | \$ 143.54      | \$ 108.62  | \$ 34.92              | -                               | \$ 34.92                 |
| 2.23113 | SHY    | 9/11/2013        | 9/27/2013 | \$ 188.40      | \$ 187.81  | \$ 0.59               | -                               | \$ 0.59                  |
| 2.23421 | JNK    | 7/5/2013         | 9/27/2013 | \$ 89.12       | \$ 87.46   | \$ 1.66               | -                               | \$ 1.66                  |
| 2.23464 | SIX    | 3/18/2013        | 9/27/2013 | \$ 76.51       | \$ 77.14   | \$ (0.63)             | -                               | \$ (0.63)                |
| 2.23568 | DVA    | 3/6/2013         | 9/27/2013 | \$ 127.41      | \$ 138.55  | \$ (11.14)            | -                               | \$ (11.14)               |
| 2.23587 | CRI    | 5/8/2013         | 9/27/2013 | \$ 170.53      | \$ 146.65  | \$ 23.88              | -                               | \$ 23.88                 |
| 2.23591 | FB     | 11/12/2012       | 7/29/2013 | \$ 77.76       | \$ 42.58   | \$ 35.18              | -                               | \$ 35.18                 |
| 2.23840 | UNH    | 6/6/2012         | 3/13/2013 | \$ 121.92      | \$ 127.61  | \$ (5.68)             | -                               | \$ (5.68)                |
| 2.23995 | KAR    | 6/10/2013        | 9/27/2013 | \$ 61.99       | \$ 51.88   | \$ 10.11              | -                               | \$ 10.11                 |
| 2.24093 | LLNW   | 3/6/2013         | 9/27/2013 | \$ 4.44        | \$ 4.88    | \$ (0.44)             | -                               | \$ (0.44)                |
| 2.24108 | DBV    | 2/20/2013        | 6/20/2013 | \$ 56.27       | \$ 60.21   | \$ (3.94)             | -                               | \$ (3.94)                |
| 2.24161 | WSH    | 5/8/2013         | 9/27/2013 | \$ 97.82       | \$ 91.59   | \$ 6.23               | -                               | \$ 6.23                  |
| 2.24238 | IT     | 8/14/2013        | 9/27/2013 | \$ 134.12      | \$ 133.91  | \$ 0.20               | -                               | \$ 0.20                  |
| 2.24332 | EWN    | 2/20/2013        | 3/20/2013 | \$ 46.98       | \$ 47.32   | \$ (0.34)             | -                               | \$ (0.34)                |
| 2.24621 | SLB    | 11/12/2012       | 9/27/2013 | \$ 199.56      | \$ 153.34  | \$ 46.22              | -                               | \$ 46.22                 |
| 2.24694 | NORW   | 3/19/2013        | 3/20/2013 | \$ 34.52       | \$ 34.85   | \$ (0.33)             | -                               | \$ (0.33)                |
| 2.24870 | IWF    | 2/14/2013        | 4/4/2013  | \$ 159.01      | \$ 155.48  | \$ 3.53               | -                               | \$ 3.53                  |
| 2.24914 | SBH    | 11/13/2012       | 9/27/2013 | \$ 59.24       | \$ 55.89   | \$ 3.36               | -                               | \$ 3.36                  |
| 2.25126 | EA     | 1/15/2013        | 9/27/2013 | \$ 58.60       | \$ 32.48   | \$ 26.12              | -                               | \$ 26.12                 |
| 2.25271 | DRI    | 11/12/2012       | 2/5/2013  | \$ 105.89      | \$ 115.52  | \$ (9.63)             | -                               | \$ (9.63)                |
| 2.25428 | CMI    | 10/15/2012       | 6/20/2013 | \$ 253.26      | \$ 199.40  | \$ 53.86              | -                               | \$ 53.86                 |
| 2.25438 | PGX    | 6/28/2013        | 9/27/2013 | \$ 30.77       | \$ 32.01   | \$ (1.24)             | -                               | \$ (1.24)                |
| 2.25934 | VNTV   | 8/6/2013         | 9/27/2013 | \$ 62.04       | \$ 62.38   | \$ (0.34)             | -                               | \$ (0.34)                |
| 2.26009 | PWR    | 5/8/2013         | 6/10/2013 | \$ 61.20       | \$ 65.52   | \$ (4.32)             | -                               | \$ (4.32)                |
| 2.26231 | TWX    | 1/15/2013        | 9/27/2013 | \$ 149.99      | \$ 110.94  | \$ 39.05              | -                               | \$ 39.05                 |
| 2.26241 | DNR    | 9/12/2012        | 6/20/2013 | \$ 39.15       | \$ 37.76   | \$ 1.39               | -                               | \$ 1.39                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 2.26316 | ZQK    | 3/6/2013         | 9/27/2013 | \$ 15.96       | \$ 14.36    | \$ 1.60               | -                               | \$ 1.60                  |
| 2.26402 | DBC    | 3/19/2013        | 6/20/2013 | \$ 57.89       | \$ 61.64    | \$ (3.75)             | -                               | \$ (3.75)                |
| 2.26416 | DPZ    | 12/31/2012       | 9/27/2013 | \$ 152.65      | \$ 97.49    | \$ 55.16              | -                               | \$ 55.16                 |
| 2.26484 | MYGN   | 8/24/2012        | 5/22/2013 | \$ 74.63       | \$ 56.76    | \$ 17.87              | -                               | \$ 17.87                 |
| 2.26650 | CCK    | 2/1/2013         | 9/27/2013 | \$ 96.64       | \$ 87.43    | \$ 9.22               | -                               | \$ 9.22                  |
| 2.26782 | AWI    | 11/9/2012        | 6/10/2013 | \$ 114.97      | \$ 114.68   | \$ 0.29               | -                               | \$ 0.29                  |
| 2.26804 | SIRO   | 3/18/2013        | 9/27/2013 | \$ 151.87      | \$ 159.92   | \$ (8.05)             | -                               | \$ (8.05)                |
| 2.27031 | JNK    | 7/18/2012        | 5/6/2013  | \$ 94.75       | \$ 90.05    | \$ 4.70               | -                               | \$ 4.70                  |
| 2.27658 | CBT    | 10/11/2012       | 5/8/2013  | \$ 86.69       | \$ 84.67    | \$ 2.02               | -                               | \$ 2.02                  |
| 2.27725 | ATML   | 11/12/2012       | 9/27/2013 | \$ 16.65       | \$ 10.85    | \$ 5.80               | -                               | \$ 5.80                  |
| 2.27914 | AWI    | 5/8/2013         | 9/27/2013 | \$ 125.65      | \$ 116.60   | \$ 9.05               | -                               | \$ 9.05                  |
| 2.28112 | LNKD   | 9/6/2013         | 9/27/2013 | \$ 562.99      | \$ 575.47   | \$ (12.48)            | -                               | \$ (12.48)               |
| 2.28162 | CRZO   | 9/6/2013         | 9/27/2013 | \$ 84.37       | \$ 76.73    | \$ 7.65               | -                               | \$ 7.65                  |
| 2.28283 | CMCSK  | 1/15/2013        | 9/27/2013 | \$ 98.28       | \$ 86.06    | \$ 12.22              | -                               | \$ 12.22                 |
| 2.28389 | BID    | 9/6/2013         | 9/27/2013 | \$ 111.48      | \$ 106.84   | \$ 4.64               | -                               | \$ 4.64                  |
| 2.28652 | OXY    | 11/12/2012       | 9/27/2013 | \$ 215.55      | \$ 175.39   | \$ 40.17              | -                               | \$ 40.17                 |
| 2.28659 | EPOL   | 8/10/2012        | 3/20/2013 | \$ 60.58       | \$ 56.68    | \$ 3.90               | -                               | \$ 3.90                  |
| 2.29040 | TPX    | 9/6/2013         | 9/27/2013 | \$ 100.43      | \$ 96.19    | \$ 4.24               | -                               | \$ 4.24                  |
| 2.29527 | HBI    | 12/12/2012       | 4/19/2013 | \$ 105.59      | \$ 81.78    | \$ 23.81              | -                               | \$ 23.81                 |
| 2.29809 | EAT    | 3/18/2013        | 7/16/2013 | \$ 93.05       | \$ 81.96    | \$ 11.08              | -                               | \$ 11.08                 |
| 2.29827 | MCHP   | 9/6/2013         | 9/27/2013 | \$ 92.59       | \$ 89.33    | \$ 3.26               | -                               | \$ 3.26                  |
| 2.30136 | THD    | 8/10/2012        | 3/20/2013 | \$ 210.65      | \$ 163.07   | \$ 47.58              | -                               | \$ 47.58                 |
| 2.30175 | JACK   | 11/7/2012        | 9/27/2013 | \$ 92.46       | \$ 59.35    | \$ 33.12              | -                               | \$ 33.12                 |
| 2.30230 | SRCL   | 10/15/2012       | 6/20/2013 | \$ 245.58      | \$ 209.22   | \$ 36.36              | -                               | \$ 36.36                 |
| 2.30384 | ORI    | 11/12/2012       | 9/27/2013 | \$ 35.23       | \$ 23.06    | \$ 12.16              | -                               | \$ 12.16                 |
| 2.30494 | TCBK   | 5/8/2013         | 9/27/2013 | \$ 52.23       | \$ 44.07    | \$ 8.16               | -                               | \$ 8.16                  |
| 2.31403 | AAPL   | 1/25/2013        | 9/27/2013 | \$ 1,114.06    | \$ 1,024.67 | \$ 89.39              | -                               | \$ 89.39                 |
| 2.31613 | CASY   | 3/18/2013        | 9/27/2013 | \$ 170.58      | \$ 132.90   | \$ 37.68              | -                               | \$ 37.68                 |
| 2.32122 | DM     | 11/12/2012       | 9/27/2013 | \$ 5.01        | \$ 8.89     | \$ (3.88)             | -                               | \$ (3.88)                |
| 2.32442 | WTS    | 9/6/2013         | 9/27/2013 | \$ 130.87      | \$ 124.68   | \$ 6.19               | -                               | \$ 6.19                  |
| 2.32729 | MNST   | 11/12/2012       | 9/27/2013 | \$ 123.67      | \$ 105.55   | \$ 18.12              | -                               | \$ 18.12                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 2.32787 | PMT    | 4/19/2013        | 9/27/2013 | \$ 53.05       | \$ 54.82    | \$ (1.76)             | -                               | \$ (1.76)                |
| 2.32871 | ROST   | 12/27/2012       | 9/27/2013 | \$ 168.86      | \$ 121.54   | \$ 47.32              | -                               | \$ 47.32                 |
| 2.33101 | SFG    | 5/8/2013         | 9/27/2013 | \$ 128.81      | \$ 103.31   | \$ 25.50              | -                               | \$ 25.50                 |
| 2.33260 | GOOG   | 10/15/2012       | 5/17/2013 | \$ 2,108.60    | \$ 1,713.86 | \$ 394.74             | -                               | \$ 394.74                |
| 2.33314 | EWU    | 10/5/2012        | 3/20/2013 | \$ 42.60       | \$ 41.66    | \$ 0.94               | -                               | \$ 0.94                  |
| 2.33342 | QCOM   | 1/15/2013        | 9/27/2013 | \$ 156.92      | \$ 149.14   | \$ 7.78               | -                               | \$ 7.78                  |
| 2.33498 | TSLA   | 9/6/2013         | 9/27/2013 | \$ 442.62      | \$ 390.55   | \$ 52.08              | -                               | \$ 52.08                 |
| 2.33658 | AGN    | 5/15/2013        | 9/27/2013 | \$ 212.49      | \$ 242.43   | \$ (29.94)            | -                               | \$ (29.94)               |
| 2.33801 | WEC    | 1/15/2013        | 9/27/2013 | \$ 94.06       | \$ 88.79    | \$ 5.26               | -                               | \$ 5.26                  |
| 2.34147 | CELG   | 10/15/2012       | 4/3/2013  | \$ 269.13      | \$ 182.15   | \$ 86.98              | -                               | \$ 86.98                 |
| 2.34175 | BYI    | 7/2/2013         | 9/27/2013 | \$ 170.83      | \$ 134.56   | \$ 36.28              | -                               | \$ 36.28                 |
| 2.34191 | ADTN   | 10/1/2012        | 3/8/2013  | \$ 48.53       | \$ 40.58    | \$ 7.95               | -                               | \$ 7.95                  |
| 2.34274 | TMK    | 11/27/2012       | 9/27/2013 | \$ 170.48      | \$ 121.35   | \$ 49.13              | -                               | \$ 49.13                 |
| 2.34416 | LNKD   | 10/15/2012       | 7/18/2013 | \$ 471.13      | \$ 256.34   | \$ 214.79             | -                               | \$ 214.79                |
| 2.34873 | NORW   | 11/5/2012        | 3/20/2013 | \$ 36.08       | \$ 35.07    | \$ 1.01               | -                               | \$ 1.01                  |
| 2.34927 | QCOM   | 4/24/2013        | 9/27/2013 | \$ 157.99      | \$ 155.75   | \$ 2.24               | -                               | \$ 2.24                  |
| 2.34930 | MRC    | 1/2/2013         | 7/31/2013 | \$ 62.86       | \$ 64.64    | \$ (1.78)             | -                               | \$ (1.78)                |
| 2.35027 | MCRS   | 9/6/2013         | 9/27/2013 | \$ 117.82      | \$ 116.22   | \$ 1.60               | -                               | \$ 1.60                  |
| 2.35178 | EWV    | 8/10/2012        | 3/20/2013 | \$ 166.18      | \$ 147.74   | \$ 18.44              | -                               | \$ 18.44                 |
| 2.35272 | AMTD   | 8/10/2012        | 3/14/2013 | \$ 49.59       | \$ 38.98    | \$ 10.61              | -                               | \$ 10.61                 |
| 2.35496 | LTXC   | 11/12/2012       | 9/27/2013 | \$ 15.45       | \$ 12.80    | \$ 2.65               | -                               | \$ 2.65                  |
| 2.35524 | THG    | 5/8/2013         | 9/27/2013 | \$ 130.57      | \$ 119.81   | \$ 10.76              | -                               | \$ 10.76                 |
| 2.35536 | MASI   | 3/18/2013        | 9/27/2013 | \$ 62.42       | \$ 46.86    | \$ 15.56              | -                               | \$ 15.56                 |
| 2.35663 | ACWI   | 1/17/2013        | 6/20/2013 | \$ 117.63      | \$ 116.49   | \$ 1.14               | -                               | \$ 1.14                  |
| 2.36040 | AIT    | 9/6/2013         | 9/27/2013 | \$ 121.11      | \$ 116.13   | \$ 4.98               | -                               | \$ 4.98                  |
| 2.36296 | ALK    | 5/8/2013         | 9/27/2013 | \$ 150.10      | \$ 159.03   | \$ (8.93)             | -                               | \$ (8.93)                |
| 2.36700 | REXX   | 11/12/2012       | 9/27/2013 | \$ 52.64       | \$ 28.98    | \$ 23.66              | -                               | \$ 23.66                 |
| 2.36902 | NU     | 1/15/2013        | 9/27/2013 | \$ 98.34       | \$ 91.95    | \$ 6.39               | -                               | \$ 6.39                  |
| 2.36979 | LULU   | 11/12/2012       | 3/22/2013 | \$ 147.77      | \$ 162.26   | \$ (14.49)            | -                               | \$ (14.49)               |
| 2.36982 | EMB    | 7/2/2012         | 4/18/2013 | \$ 284.38      | \$ 271.39   | \$ 12.99              | -                               | \$ 12.99                 |
| 2.37407 | WSH    | 9/6/2013         | 9/27/2013 | \$ 103.60      | \$ 99.21    | \$ 4.39               | -                               | \$ 4.39                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 2.37464 | EIS    | 1/15/2013        | 3/20/2013 | \$ 106.67      | \$ 101.37   | \$ 5.30               | -                               | \$ 5.30                  |
| 2.37495 | PCLN   | 10/15/2012       | 9/27/2013 | \$ 2,396.54    | \$ 1,408.32 | \$ 988.22             | -                               | \$ 988.22                |
| 2.37509 | EMC    | 2/20/2013        | 9/27/2013 | \$ 61.97       | \$ 56.51    | \$ 5.45               | -                               | \$ 5.45                  |
| 2.38113 | BID    | 5/28/2013        | 8/30/2013 | \$ 109.99      | \$ 88.58    | \$ 21.41              | -                               | \$ 21.41                 |
| 2.38555 | ALOG   | 5/8/2013         | 9/27/2013 | \$ 194.05      | \$ 189.50   | \$ 4.55               | -                               | \$ 4.55                  |
| 2.39521 | CTSH   | 11/12/2012       | 9/27/2013 | \$ 198.11      | \$ 156.77   | \$ 41.34              | -                               | \$ 41.34                 |
| 2.39864 | YUM    | 2/12/2013        | 7/15/2013 | \$ 172.57      | \$ 154.88   | \$ 17.70              | -                               | \$ 17.70                 |
| 2.39880 | A      | 3/6/2013         | 9/27/2013 | \$ 123.78      | \$ 103.38   | \$ 20.40              | -                               | \$ 20.40                 |
| 2.39955 | CTSH   | 6/6/2012         | 4/8/2013  | \$ 177.45      | \$ 144.78   | \$ 32.67              | -                               | \$ 32.67                 |
| 2.40737 | JACK   | 11/29/2012       | 9/27/2013 | \$ 96.70       | \$ 67.31    | \$ 29.40              | -                               | \$ 29.40                 |
| 2.40756 | CLC    | 8/20/2013        | 9/27/2013 | \$ 132.46      | \$ 134.65   | \$ (2.18)             | -                               | \$ (2.18)                |
| 2.41163 | IWF    | 3/6/2013         | 5/28/2013 | \$ 183.28      | \$ 169.76   | \$ 13.52              | -                               | \$ 13.52                 |
| 2.41616 | R      | 5/8/2013         | 9/27/2013 | \$ 144.15      | \$ 146.65   | \$ (2.50)             | -                               | \$ (2.50)                |
| 2.41665 | EPOL   | 11/7/2012        | 3/20/2013 | \$ 64.02       | \$ 62.45    | \$ 1.58               | -                               | \$ 1.58                  |
| 2.41929 | CTSH   | 11/12/2012       | 9/3/2013  | \$ 179.28      | \$ 158.34   | \$ 20.94              | -                               | \$ 20.94                 |
| 2.42504 | DBV    | 1/11/2013        | 9/4/2013  | \$ 61.82       | \$ 64.46    | \$ (2.64)             | -                               | \$ (2.64)                |
| 2.42584 | PCP    | 9/6/2013         | 9/27/2013 | \$ 552.64      | \$ 528.89   | \$ 23.75              | -                               | \$ 23.75                 |
| 2.42909 | MCRS   | 5/8/2013         | 9/27/2013 | \$ 121.77      | \$ 106.87   | \$ 14.90              | -                               | \$ 14.90                 |
| 2.43622 | HCC    | 6/24/2013        | 9/27/2013 | \$ 106.95      | \$ 102.81   | \$ 4.14               | -                               | \$ 4.14                  |
| 2.44099 | HCC    | 5/2/2013         | 9/27/2013 | \$ 107.16      | \$ 102.63   | \$ 4.53               | -                               | \$ 4.53                  |
| 2.44309 | IVV    | 9/11/2013        | 9/27/2013 | \$ 414.81      | \$ 415.00   | \$ (0.19)             | -                               | \$ (0.19)                |
| 2.44469 | COF    | 11/12/2012       | 9/27/2013 | \$ 168.86      | \$ 141.29   | \$ 27.56              | -                               | \$ 27.56                 |
| 2.44568 | LUV    | 5/8/2013         | 9/27/2013 | \$ 35.85       | \$ 35.34    | \$ 0.51               | -                               | \$ 0.51                  |
| 2.44720 | AMTD   | 9/10/2012        | 3/14/2013 | \$ 51.58       | \$ 42.75    | \$ 8.83               | -                               | \$ 8.83                  |
| 2.44879 | PLCE   | 5/8/2013         | 9/9/2013  | \$ 134.41      | \$ 123.35   | \$ 11.06              | -                               | \$ 11.06                 |
| 2.44990 | NVO    | 5/8/2013         | 9/27/2013 | \$ 415.51      | \$ 423.83   | \$ (8.32)             | -                               | \$ (8.32)                |
| 2.45337 | HI     | 4/24/2013        | 9/27/2013 | \$ 67.12       | \$ 59.60    | \$ 7.53               | -                               | \$ 7.53                  |
| 2.45628 | PEP    | 11/12/2012       | 9/27/2013 | \$ 196.95      | \$ 168.84   | \$ 28.11              | -                               | \$ 28.11                 |
| 2.46816 | CROX   | 12/19/2012       | 6/20/2013 | \$ 38.90       | \$ 34.93    | \$ 3.97               | -                               | \$ 3.97                  |
| 2.46836 | WMGI   | 10/10/2012       | 9/9/2013  | \$ 60.87       | \$ 53.37    | \$ 7.50               | -                               | \$ 7.50                  |
| 2.46874 | ACCO   | 11/12/2012       | 9/27/2013 | \$ 16.59       | \$ 17.60    | \$ (1.01)             | -                               | \$ (1.01)                |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.47279 | RGAA   | 6/3/2013         | 9/27/2013 | \$ 166.30      | \$ 162.52  | \$ 3.78               | -                               | \$ 3.78                  |
| 2.47419 | RCII   | 6/6/2012         | 1/14/2013 | \$ 82.67       | \$ 85.35   | \$ (2.68)             | -                               | \$ (2.68)                |
| 2.47509 | V      | 6/6/2012         | 1/8/2013  | \$ 389.03      | \$ 286.90  | \$ 102.13             | -                               | \$ 102.13                |
| 2.48185 | HBI    | 6/10/2013        | 9/27/2013 | \$ 155.94      | \$ 125.42  | \$ 30.52              | -                               | \$ 30.52                 |
| 2.48450 | CLI    | 3/18/2013        | 7/31/2013 | \$ 59.43       | \$ 70.72   | \$ (11.30)            | -                               | \$ (11.30)               |
| 2.48679 | ZION   | 9/24/2012        | 2/14/2013 | \$ 61.38       | \$ 52.27   | \$ 9.11               | -                               | \$ 9.11                  |
| 2.48686 | SNA    | 3/18/2013        | 9/27/2013 | \$ 247.54      | \$ 203.04  | \$ 44.50              | -                               | \$ 44.50                 |
| 2.48786 | CAM    | 11/12/2012       | 9/27/2013 | \$ 146.96      | \$ 126.18  | \$ 20.77              | -                               | \$ 20.77                 |
| 2.49514 | IWD    | 6/19/2013        | 9/17/2013 | \$ 219.48      | \$ 213.68  | \$ 5.79               | -                               | \$ 5.79                  |
| 2.49558 | DVA    | 1/15/2013        | 9/27/2013 | \$ 142.22      | \$ 136.45  | \$ 5.77               | -                               | \$ 5.77                  |
| 2.49782 | FRC    | 5/8/2013         | 9/27/2013 | \$ 116.52      | \$ 97.46   | \$ 19.06              | -                               | \$ 19.06                 |
| 2.49795 | SIX    | 9/6/2013         | 9/27/2013 | \$ 85.53       | \$ 84.42   | \$ 1.11               | -                               | \$ 1.11                  |
| 2.50308 | MNST   | 10/31/2012       | 6/20/2013 | \$ 140.62      | \$ 111.71  | \$ 28.90              | -                               | \$ 28.90                 |
| 2.50320 | QCOM   | 3/6/2013         | 9/27/2013 | \$ 168.34      | \$ 166.34  | \$ 2.01               | -                               | \$ 2.01                  |
| 2.50327 | DNR    | 2/5/2013         | 9/27/2013 | \$ 46.44       | \$ 47.44   | \$ (1.00)             | -                               | \$ (1.00)                |
| 2.50490 | PSP    | 12/11/2012       | 9/4/2013  | \$ 28.64       | \$ 24.84   | \$ 3.80               | -                               | \$ 3.80                  |
| 2.50751 | LKQ    | 6/10/2013        | 9/27/2013 | \$ 79.06       | \$ 62.34   | \$ 16.72              | -                               | \$ 16.72                 |
| 2.50901 | LIFE   | 7/31/2013        | 9/27/2013 | \$ 187.93      | \$ 187.40  | \$ 0.53               | -                               | \$ 0.53                  |
| 2.51351 | ZION   | 5/8/2013         | 9/27/2013 | \$ 68.69       | \$ 65.15   | \$ 3.54               | -                               | \$ 3.54                  |
| 2.51400 | DBC    | 8/1/2013         | 9/27/2013 | \$ 65.16       | \$ 65.40   | \$ (0.24)             | -                               | \$ (0.24)                |
| 2.51883 | IWR    | 5/29/2013        | 9/27/2013 | \$ 351.28      | \$ 332.99  | \$ 18.29              | -                               | \$ 18.29                 |
| 2.53269 | PLCE   | 9/6/2013         | 9/9/2013  | \$ 139.02      | \$ 137.70  | \$ 1.32               | -                               | \$ 1.32                  |
| 2.53603 | SAVE   | 6/6/2012         | 2/21/2013 | \$ 51.69       | \$ 48.41   | \$ 3.29               | -                               | \$ 3.29                  |
| 2.53737 | SCHW   | 10/19/2012       | 7/11/2013 | \$ 53.97       | \$ 34.40   | \$ 19.58              | -                               | \$ 19.58                 |
| 2.53758 | SLB    | 10/15/2012       | 6/20/2013 | \$ 183.02      | \$ 184.42  | \$ (1.40)             | -                               | \$ (1.40)                |
| 2.53923 | FIS    | 3/6/2013         | 9/27/2013 | \$ 117.87      | \$ 97.02   | \$ 20.86              | -                               | \$ 20.86                 |
| 2.54341 | SONS   | 3/6/2013         | 9/27/2013 | \$ 8.57        | \$ 6.35    | \$ 2.22               | -                               | \$ 2.22                  |
| 2.54887 | ATML   | 3/6/2013         | 9/27/2013 | \$ 18.63       | \$ 16.43   | \$ 2.20               | -                               | \$ 2.20                  |
| 2.55038 | LNKD   | 10/15/2012       | 3/7/2013  | \$ 442.38      | \$ 278.90  | \$ 163.49             | -                               | \$ 163.49                |
| 2.55374 | DVN    | 11/12/2012       | 9/27/2013 | \$ 149.70      | \$ 137.74  | \$ 11.96              | -                               | \$ 11.96                 |
| 2.55481 | CTL    | 3/6/2013         | 9/27/2013 | \$ 81.22       | \$ 91.38   | \$ (10.16)            | -                               | \$ (10.16)               |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 2.55648 | STR    | 9/6/2013         | 9/27/2013 | \$ 57.37       | \$ 56.37    | \$ 1.00               | -                               | \$ 1.00                  |
| 2.55878 | TPX    | 5/8/2013         | 9/27/2013 | \$ 112.20      | \$ 115.00   | \$ (2.80)             | -                               | \$ (2.80)                |
| 2.56854 | CCMP   | 3/18/2013        | 9/27/2013 | \$ 99.33       | \$ 87.69    | \$ 11.64              | -                               | \$ 11.64                 |
| 2.57007 | PSP    | 11/5/2012        | 9/4/2013  | \$ 29.39       | \$ 24.87    | \$ 4.52               | -                               | \$ 4.52                  |
| 2.57026 | NORW   | 2/7/2013         | 3/20/2013 | \$ 39.48       | \$ 40.89    | \$ (1.41)             | -                               | \$ (1.41)                |
| 2.57359 | CELG   | 11/12/2012       | 9/27/2013 | \$ 396.90      | \$ 193.26   | \$ 203.64             | -                               | \$ 203.64                |
| 2.57708 | ROL    | 5/8/2013         | 9/27/2013 | \$ 68.47       | \$ 62.90    | \$ 5.57               | -                               | \$ 5.57                  |
| 2.57830 | MRCY   | 11/12/2012       | 9/27/2013 | \$ 25.27       | \$ 20.39    | \$ 4.87               | -                               | \$ 4.87                  |
| 2.58170 | HBAN   | 11/12/2012       | 9/27/2013 | \$ 21.22       | \$ 15.82    | \$ 5.41               | -                               | \$ 5.41                  |
| 2.58304 | DRIV   | 9/6/2013         | 9/27/2013 | \$ 45.54       | \$ 43.42    | \$ 2.12               | -                               | \$ 2.12                  |
| 2.58408 | CBSH   | 5/8/2013         | 9/27/2013 | \$ 112.64      | \$ 105.73   | \$ 6.91               | -                               | \$ 6.91                  |
| 2.58428 | PCLN   | 10/15/2012       | 9/27/2013 | \$ 2,607.77    | \$ 1,532.45 | \$ 1,075.33           | -                               | \$ 1,075.33              |
| 2.58489 | CFR    | 3/18/2013        | 9/27/2013 | \$ 179.86      | \$ 157.95   | \$ 21.91              | -                               | \$ 21.91                 |
| 2.58491 | EWI    | 1/15/2013        | 3/20/2013 | \$ 50.25       | \$ 51.46    | \$ (1.21)             | -                               | \$ (1.21)                |
| 2.58655 | IWD    | 4/15/2013        | 7/17/2013 | \$ 225.29      | \$ 210.92   | \$ 14.36              | -                               | \$ 14.36                 |
| 2.58893 | VRSN   | 7/26/2012        | 4/8/2013  | \$ 119.38      | \$ 109.82   | \$ 9.56               | -                               | \$ 9.56                  |
| 2.59040 | EPU    | 8/10/2012        | 3/20/2013 | \$ 112.33      | \$ 104.53   | \$ 7.80               | -                               | \$ 7.80                  |
| 2.59198 | SHLM   | 9/6/2013         | 9/13/2013 | \$ 72.46       | \$ 72.08    | \$ 0.38               | -                               | \$ 0.38                  |
| 2.59214 | ALT    | 3/19/2013        | 5/6/2013  | \$ 134.58      | \$ 136.09   | \$ (1.51)             | -                               | \$ (1.51)                |
| 2.59749 | JACK   | 2/19/2013        | 9/27/2013 | \$ 104.34      | \$ 79.35    | \$ 24.99              | -                               | \$ 24.99                 |
| 2.60144 | CMCSK  | 3/6/2013         | 9/27/2013 | \$ 111.99      | \$ 101.63   | \$ 10.36              | -                               | \$ 10.36                 |
| 2.60187 | MCRS   | 4/26/2013        | 9/27/2013 | \$ 130.43      | \$ 109.23   | \$ 21.20              | -                               | \$ 21.20                 |
| 2.60203 | GCI    | 8/26/2013        | 9/27/2013 | \$ 69.50       | \$ 65.67    | \$ 3.83               | -                               | \$ 3.83                  |
| 2.60229 | IWM    | 6/19/2013        | 9/27/2013 | \$ 277.51      | \$ 257.55   | \$ 19.96              | -                               | \$ 19.96                 |
| 2.60309 | LNKD   | 11/13/2012       | 9/27/2013 | \$ 642.45      | \$ 260.63   | \$ 381.81             | -                               | \$ 381.81                |
| 2.60318 | ALXN   | 7/31/2012        | 4/24/2013 | \$ 233.54      | \$ 275.32   | \$ (41.78)            | -                               | \$ (41.78)               |
| 2.60821 | ORCL   | 6/6/2012         | 4/8/2013  | \$ 83.77       | \$ 71.35    | \$ 12.42              | -                               | \$ 12.42                 |
| 2.60889 | CTL    | 1/15/2013        | 9/27/2013 | \$ 82.94       | \$ 103.76   | \$ (20.83)            | -                               | \$ (20.83)               |
| 2.61012 | EMB    | 1/15/2013        | 6/20/2013 | \$ 278.93      | \$ 317.99   | \$ (39.06)            | -                               | \$ (39.06)               |
| 2.61106 | FXI    | 1/15/2013        | 3/20/2013 | \$ 96.97       | \$ 107.57   | \$ (10.60)            | -                               | \$ (10.60)               |
| 2.61338 | G      | 3/18/2013        | 9/27/2013 | \$ 49.94       | \$ 46.27    | \$ 3.67               | -                               | \$ 3.67                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.61409 | FBHS   | 5/8/2013         | 9/27/2013 | \$ 109.14      | \$ 105.63  | \$ 3.51               | -                               | \$ 3.51                  |
| 2.61789 | CRZO   | 5/8/2013         | 9/27/2013 | \$ 96.81       | \$ 69.87   | \$ 26.94              | -                               | \$ 26.94                 |
| 2.62054 | EZA    | 6/6/2012         | 3/20/2013 | \$ 166.66      | \$ 168.54  | \$ (1.88)             | -                               | \$ (1.88)                |
| 2.62219 | CBT    | 9/6/2013         | 9/27/2013 | \$ 111.89      | \$ 106.59  | \$ 5.30               | -                               | \$ 5.30                  |
| 2.63290 | EPOL   | 9/10/2012        | 3/20/2013 | \$ 69.75       | \$ 68.11   | \$ 1.64               | -                               | \$ 1.64                  |
| 2.63345 | FB     | 3/6/2013         | 9/10/2013 | \$ 114.81      | \$ 73.51   | \$ 41.30              | -                               | \$ 41.30                 |
| 2.63486 | LIFE   | 5/8/2013         | 9/27/2013 | \$ 197.35      | \$ 193.71  | \$ 3.64               | -                               | \$ 3.64                  |
| 2.63525 | LTM    | 3/21/2013        | 6/3/2013  | \$ 130.47      | \$ 113.54  | \$ 16.93              | -                               | \$ 16.93                 |
| 2.63569 | WMGI   | 8/14/2013        | 9/27/2013 | \$ 68.50       | \$ 64.87   | \$ 3.64               | -                               | \$ 3.64                  |
| 2.63613 | RJF    | 9/6/2013         | 9/27/2013 | \$ 110.69      | \$ 112.14  | \$ (1.45)             | -                               | \$ (1.45)                |
| 2.63771 | IWD    | 12/7/2012        | 2/14/2013 | \$ 208.15      | \$ 190.39  | \$ 17.76              | -                               | \$ 17.76                 |
| 2.63915 | NOV    | 11/12/2012       | 9/27/2013 | \$ 206.30      | \$ 182.17  | \$ 24.14              | -                               | \$ 24.14                 |
| 2.64139 | ALK    | 9/6/2013         | 9/27/2013 | \$ 167.78      | \$ 152.56  | \$ 15.22              | -                               | \$ 15.22                 |
| 2.64570 | VTR    | 11/12/2012       | 9/27/2013 | \$ 165.28      | \$ 167.95  | \$ (2.67)             | -                               | \$ (2.67)                |
| 2.64648 | ECL    | 9/6/2013         | 9/27/2013 | \$ 259.89      | \$ 245.99  | \$ 13.90              | -                               | \$ 13.90                 |
| 2.64781 | DNR    | 9/12/2012        | 8/30/2013 | \$ 45.99       | \$ 44.19   | \$ 1.80               | -                               | \$ 1.80                  |
| 2.65062 | XOM    | 11/12/2012       | 9/27/2013 | \$ 230.14      | \$ 231.04  | \$ (0.91)             | -                               | \$ (0.91)                |
| 2.65366 | WEC    | 3/6/2013         | 9/27/2013 | \$ 106.76      | \$ 110.57  | \$ (3.81)             | -                               | \$ (3.81)                |
| 2.65728 | TUR    | 11/12/2012       | 3/20/2013 | \$ 181.07      | \$ 159.72  | \$ 21.34              | -                               | \$ 21.34                 |
| 2.66255 | EAT    | 2/5/2013         | 6/10/2013 | \$ 109.99      | \$ 90.43   | \$ 19.56              | -                               | \$ 19.56                 |
| 2.66555 | DE     | 6/6/2012         | 2/7/2013  | \$ 244.67      | \$ 195.53  | \$ 49.15              | -                               | \$ 49.15                 |
| 2.67129 | BIDU   | 10/15/2012       | 6/20/2013 | \$ 249.76      | \$ 301.81  | \$ (52.05)            | -                               | \$ (52.05)               |
| 2.67142 | EL     | 11/12/2012       | 8/13/2013 | \$ 176.62      | \$ 153.31  | \$ 23.31              | -                               | \$ 23.31                 |
| 2.67303 | TW     | 3/18/2013        | 9/27/2013 | \$ 288.58      | \$ 180.51  | \$ 108.07             | -                               | \$ 108.07                |
| 2.67518 | XYL    | 9/6/2013         | 9/27/2013 | \$ 74.96       | \$ 70.25   | \$ 4.71               | -                               | \$ 4.71                  |
| 2.67754 | TJX    | 1/15/2013        | 9/27/2013 | \$ 151.12      | \$ 118.31  | \$ 32.81              | -                               | \$ 32.81                 |
| 2.68530 | A      | 1/15/2013        | 9/27/2013 | \$ 138.56      | \$ 116.00  | \$ 22.56              | -                               | \$ 22.56                 |
| 2.68684 | XRAY   | 6/6/2012         | 4/1/2013  | \$ 112.70      | \$ 99.84   | \$ 12.86              | -                               | \$ 12.86                 |
| 2.70274 | FHN    | 10/19/2012       | 1/14/2013 | \$ 26.88       | \$ 25.33   | \$ 1.54               | -                               | \$ 1.54                  |
| 2.70678 | POR    | 3/18/2013        | 9/27/2013 | \$ 76.44       | \$ 81.19   | \$ (4.75)             | -                               | \$ (4.75)                |
| 2.70751 | UHS    | 5/8/2013         | 9/27/2013 | \$ 202.17      | \$ 181.76  | \$ 20.41              | -                               | \$ 20.41                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 2.70785 | CMI    | 11/12/2012       | 9/27/2013 | \$ 361.20      | \$ 265.99   | \$ 95.21              | \$ -                            | \$ 95.21                 |
| 2.70938 | VRSN   | 5/8/2013         | 9/27/2013 | \$ 138.29      | \$ 131.99   | \$ 6.30               | \$ -                            | \$ 6.30                  |
| 2.71149 | BYI    | 9/6/2013         | 9/27/2013 | \$ 197.80      | \$ 197.91   | \$ (0.11)             | \$ -                            | \$ (0.11)                |
| 2.71235 | BOH    | 6/6/2012         | 4/16/2013 | \$ 130.48      | \$ 121.48   | \$ 8.99               | \$ -                            | \$ 8.99                  |
| 2.71355 | EWT    | 8/10/2012        | 3/20/2013 | \$ 35.79       | \$ 34.38    | \$ 1.41               | \$ -                            | \$ 1.41                  |
| 2.71536 | EWV    | 9/10/2012        | 3/20/2013 | \$ 191.87      | \$ 170.82   | \$ 21.05              | \$ -                            | \$ 21.05                 |
| 2.71647 | KORS   | 6/6/2012         | 2/14/2013 | \$ 168.30      | \$ 106.02   | \$ 62.28              | \$ -                            | \$ 62.28                 |
| 2.71682 | CBT    | 3/18/2013        | 5/24/2013 | \$ 109.26      | \$ 95.58    | \$ 13.69              | \$ -                            | \$ 13.69                 |
| 2.72524 | MCD    | 12/18/2012       | 9/27/2013 | \$ 264.79      | \$ 247.22   | \$ 17.57              | \$ -                            | \$ 17.57                 |
| 2.72888 | IEX    | 5/8/2013         | 9/27/2013 | \$ 176.97      | \$ 147.37   | \$ 29.60              | \$ -                            | \$ 29.60                 |
| 2.72891 | ALXN   | 9/6/2013         | 9/27/2013 | \$ 318.60      | \$ 305.08   | \$ 13.52              | \$ -                            | \$ 13.52                 |
| 2.72912 | SEE    | 2/20/2013        | 6/20/2013 | \$ 64.00       | \$ 57.25    | \$ 6.76               | \$ -                            | \$ 6.76                  |
| 2.73511 | IWF    | 5/8/2013         | 5/17/2013 | \$ 207.08      | \$ 203.70   | \$ 3.38               | \$ -                            | \$ 3.38                  |
| 2.74040 | CBT    | 3/25/2013        | 9/27/2013 | \$ 116.93      | \$ 93.18    | \$ 23.75              | \$ -                            | \$ 23.75                 |
| 2.74162 | G      | 9/6/2013         | 9/27/2013 | \$ 52.39       | \$ 53.79    | \$ (1.40)             | \$ -                            | \$ (1.40)                |
| 2.74207 | HI     | 12/27/2012       | 9/27/2013 | \$ 75.02       | \$ 61.13    | \$ 13.89              | \$ -                            | \$ 13.89                 |
| 2.74898 | LLTC   | 5/8/2013         | 9/27/2013 | \$ 108.86      | \$ 104.40   | \$ 4.46               | \$ -                            | \$ 4.46                  |
| 2.75106 | EMC    | 3/6/2013         | 5/14/2013 | \$ 63.33       | \$ 65.87    | \$ (2.55)             | \$ -                            | \$ (2.55)                |
| 2.75461 | EZA    | 1/8/2013         | 3/20/2013 | \$ 175.19      | \$ 193.92   | \$ (18.73)            | \$ -                            | \$ (18.73)               |
| 2.76084 | SHY    | 1/8/2013         | 3/27/2013 | \$ 233.13      | \$ 233.06   | \$ 0.07               | \$ -                            | \$ 0.07                  |
| 2.76156 | AAPL   | 5/8/2013         | 9/27/2013 | \$ 1,329.52    | \$ 1,282.03 | \$ 47.49              | \$ -                            | \$ 47.49                 |
| 2.76261 | WCN    | 5/8/2013         | 9/27/2013 | \$ 122.99      | \$ 106.47   | \$ 16.52              | \$ -                            | \$ 16.52                 |
| 2.76632 | CRI    | 6/6/2012         | 5/16/2013 | \$ 191.63      | \$ 144.95   | \$ 46.69              | \$ -                            | \$ 46.69                 |
| 2.76805 | WTS    | 5/8/2013         | 9/27/2013 | \$ 155.84      | \$ 127.14   | \$ 28.70              | \$ -                            | \$ 28.70                 |
| 2.77014 | IEX    | 6/6/2012         | 1/28/2013 | \$ 136.72      | \$ 108.61   | \$ 28.11              | \$ -                            | \$ 28.11                 |
| 2.77092 | WCN    | 9/6/2013         | 9/27/2013 | \$ 123.36      | \$ 117.68   | \$ 5.68               | \$ -                            | \$ 5.68                  |
| 2.77178 | IWD    | 2/5/2013         | 3/18/2013 | \$ 224.96      | \$ 216.33   | \$ 8.62               | \$ -                            | \$ 8.62                  |
| 2.77234 | EWT    | 9/10/2012        | 3/20/2013 | \$ 36.57       | \$ 35.70    | \$ 0.87               | \$ -                            | \$ 0.87                  |
| 2.77290 | DBV    | 3/19/2013        | 9/27/2013 | \$ 70.91       | \$ 74.93    | \$ (4.02)             | \$ -                            | \$ (4.02)                |
| 2.77551 | MEAS   | 9/6/2013         | 9/27/2013 | \$ 150.71      | \$ 134.41   | \$ 16.30              | \$ -                            | \$ 16.30                 |
| 2.77734 | EWV    | 2/20/2013        | 3/20/2013 | \$ 196.25      | \$ 201.10   | \$ (4.85)             | \$ -                            | \$ (4.85)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 2.78399 | PWR    | 6/6/2012      | 5/29/2013 | \$ 79.37       | \$ 60.55    | \$ 18.82           | -                         | \$ 18.82              |
| 2.78671 | AET    | 1/15/2013     | 9/27/2013 | \$ 179.44      | \$ 126.75   | \$ 52.69           | -                         | \$ 52.69              |
| 2.78947 | C      | 3/13/2013     | 6/20/2013 | \$ 133.71      | \$ 129.69   | \$ 4.02            | -                         | \$ 4.02               |
| 2.79039 | NR     | 11/12/2012    | 9/27/2013 | \$ 34.88       | \$ 18.49    | \$ 16.39           | -                         | \$ 16.39              |
| 2.79104 | PLCE   | 2/14/2013     | 9/9/2013  | \$ 153.20      | \$ 141.74   | \$ 11.46           | -                         | \$ 11.46              |
| 2.79464 | THG    | 3/18/2013     | 9/27/2013 | \$ 154.94      | \$ 128.47   | \$ 26.47           | -                         | \$ 26.47              |
| 2.79487 | JNK    | 2/20/2013     | 6/20/2013 | \$ 110.70      | \$ 114.03   | \$ (3.32)          | -                         | \$ (3.32)             |
| 2.79550 | PVI    | 9/11/2013     | 9/27/2013 | \$ 69.83       | \$ 69.85    | \$ (0.01)          | -                         | \$ (0.01)             |
| 2.79583 | PRE    | 3/18/2013     | 9/27/2013 | \$ 255.99      | \$ 259.16   | \$ (3.17)          | -                         | \$ (3.17)             |
| 2.79776 | GDI    | 5/8/2013      | 7/31/2013 | \$ 212.63      | \$ 210.80   | \$ 1.83            | -                         | \$ 1.83               |
| 2.80222 | EWY    | 7/17/2012     | 3/20/2013 | \$ 162.98      | \$ 149.41   | \$ 13.57           | -                         | \$ 13.57              |
| 2.80461 | BIIB   | 6/6/2012      | 1/8/2013  | \$ 405.60      | \$ 373.93   | \$ 31.67           | -                         | \$ 31.67              |
| 2.80856 | BXS    | 9/6/2013      | 9/27/2013 | \$ 56.26       | \$ 54.57    | \$ 1.69            | -                         | \$ 1.69               |
| 2.80944 | GOOG   | 3/18/2013     | 9/27/2013 | \$ 2,458.95    | \$ 2,275.25 | \$ 183.69          | -                         | \$ 183.69             |
| 2.81250 | DCT    | 8/14/2013     | 9/27/2013 | \$ 20.45       | \$ 20.40    | \$ 0.04            | -                         | \$ 0.04               |
| 2.81734 | LUV    | 3/18/2013     | 9/27/2013 | \$ 41.30       | \$ 35.40    | \$ 5.90            | -                         | \$ 5.90               |
| 2.82115 | FTI    | 10/15/2012    | 6/20/2013 | \$ 154.33      | \$ 124.52   | \$ 29.81           | -                         | \$ 29.81              |
| 2.82608 | WPP    | 11/12/2012    | 9/27/2013 | \$ 36.43       | \$ 22.88    | \$ 13.54           | -                         | \$ 13.54              |
| 2.82825 | SHLM   | 5/8/2013      | 9/13/2013 | \$ 79.06       | \$ 79.59    | \$ (0.53)          | -                         | \$ (0.53)             |
| 2.82837 | LG     | 9/6/2013      | 9/27/2013 | \$ 127.65      | \$ 123.99   | \$ 3.66            | -                         | \$ 3.66               |
| 2.82984 | BA     | 6/6/2012      | 3/13/2013 | \$ 238.18      | \$ 193.44   | \$ 44.74           | -                         | \$ 44.74              |
| 2.83737 | CIT    | 1/15/2013     | 9/27/2013 | \$ 137.30      | \$ 113.46   | \$ 23.84           | -                         | \$ 23.84              |
| 2.84527 | DD     | 11/12/2012    | 9/27/2013 | \$ 168.22      | \$ 122.96   | \$ 45.26           | -                         | \$ 45.26              |
| 2.84610 | IWF    | 10/24/2012    | 1/25/2013 | \$ 195.01      | \$ 184.74   | \$ 10.27           | -                         | \$ 10.27              |
| 2.84641 | EWT    | 2/20/2013     | 3/20/2013 | \$ 37.54       | \$ 39.18    | \$ (1.64)          | -                         | \$ (1.64)             |
| 2.85279 | EWK    | 1/15/2013     | 3/6/2013  | \$ 40.85       | \$ 39.99    | \$ 0.86            | -                         | \$ 0.86               |
| 2.85310 | FBHS   | 3/18/2013     | 9/27/2013 | \$ 119.12      | \$ 104.01   | \$ 15.11           | -                         | \$ 15.11              |
| 2.85389 | ZION   | 9/24/2012     | 1/14/2013 | \$ 62.02       | \$ 59.99    | \$ 2.03            | -                         | \$ 2.03               |
| 2.86247 | IWD    | 2/4/2013      | 2/21/2013 | \$ 223.22      | \$ 221.78   | \$ 1.44            | -                         | \$ 1.44               |
| 2.86507 | IWM    | 6/27/2013     | 9/27/2013 | \$ 305.53      | \$ 278.62   | \$ 26.91           | -                         | \$ 26.91              |
| 2.86638 | HBI    | 9/6/2013      | 9/27/2013 | \$ 180.10      | \$ 176.94   | \$ 3.16            | -                         | \$ 3.16               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.86916 | AGCO   | 3/18/2013        | 9/27/2013 | \$ 175.77      | \$ 156.63  | \$ 19.14              | -                               | \$ 19.14                 |
| 2.87020 | HBI    | 5/8/2013         | 9/27/2013 | \$ 180.34      | \$ 145.37  | \$ 34.97              | -                               | \$ 34.97                 |
| 2.87076 | LTM    | 5/8/2013         | 6/3/2013  | \$ 142.13      | \$ 139.92  | \$ 2.21               | -                               | \$ 2.21                  |
| 2.87077 | EWI    | 3/19/2013        | 3/20/2013 | \$ 35.54       | \$ 35.10   | \$ 0.44               | -                               | \$ 0.44                  |
| 2.87311 | ROL    | 6/6/2012         | 4/4/2013  | \$ 67.53       | \$ 61.71   | \$ 5.82               | -                               | \$ 5.82                  |
| 2.87358 | IWD    | 12/7/2012        | 2/12/2013 | \$ 226.09      | \$ 207.42  | \$ 18.68              | -                               | \$ 18.68                 |
| 2.87456 | HBAN   | 10/5/2012        | 9/27/2013 | \$ 23.63       | \$ 20.84   | \$ 2.79               | -                               | \$ 2.79                  |
| 2.88090 | CME    | 8/3/2012         | 6/20/2013 | \$ 224.36      | \$ 147.07  | \$ 77.29              | -                               | \$ 77.29                 |
| 2.88091 | RDEN   | 9/6/2013         | 9/27/2013 | \$ 108.67      | \$ 100.42  | \$ 8.25               | -                               | \$ 8.25                  |
| 2.88367 | IWF    | 5/15/2013        | 5/17/2013 | \$ 218.33      | \$ 218.81  | \$ (0.48)             | -                               | \$ (0.48)                |
| 2.88668 | THD    | 9/10/2012        | 3/20/2013 | \$ 264.22      | \$ 211.61  | \$ 52.61              | -                               | \$ 52.61                 |
| 2.88906 | CRI    | 3/18/2013        | 9/27/2013 | \$ 220.35      | \$ 167.58  | \$ 52.77              | -                               | \$ 52.77                 |
| 2.89211 | ABV    | 3/6/2013         | 9/27/2013 | \$ 128.15      | \$ 108.58  | \$ 19.57              | -                               | \$ 19.57                 |
| 2.89354 | SAVE   | 5/8/2013         | 9/27/2013 | \$ 99.86       | \$ 86.47   | \$ 13.39              | -                               | \$ 13.39                 |
| 2.89857 | CBSH   | 9/6/2013         | 9/27/2013 | \$ 126.35      | \$ 125.10  | \$ 1.25               | -                               | \$ 1.25                  |
| 2.89965 | CKSW   | 12/6/2012        | 9/27/2013 | \$ 18.27       | \$ 22.94   | \$ (4.67)             | -                               | \$ (4.67)                |
| 2.90363 | CBT    | 3/18/2013        | 9/27/2013 | \$ 123.90      | \$ 102.15  | \$ 21.75              | -                               | \$ 21.75                 |
| 2.90534 | MON    | 2/19/2013        | 9/27/2013 | \$ 307.18      | \$ 297.94  | \$ 9.25               | -                               | \$ 9.25                  |
| 2.90897 | UHS    | 9/6/2013         | 9/27/2013 | \$ 217.21      | \$ 199.99  | \$ 17.22              | -                               | \$ 17.22                 |
| 2.91164 | HBI    | 3/6/2013         | 9/27/2013 | \$ 182.94      | \$ 116.26  | \$ 66.68              | -                               | \$ 66.68                 |
| 2.91392 | V      | 9/6/2013         | 9/27/2013 | \$ 561.98      | \$ 517.57  | \$ 44.41              | -                               | \$ 44.41                 |
| 2.91499 | FRC    | 8/14/2013        | 9/27/2013 | \$ 135.98      | \$ 129.85  | \$ 6.13               | -                               | \$ 6.13                  |
| 2.92174 | IWM    | 9/6/2013         | 9/27/2013 | \$ 311.57      | \$ 300.15  | \$ 11.43              | -                               | \$ 11.43                 |
| 2.92261 | CBT    | 5/8/2013         | 9/27/2013 | \$ 124.71      | \$ 111.80  | \$ 12.91              | -                               | \$ 12.91                 |
| 2.92738 | ROST   | 3/8/2013         | 9/27/2013 | \$ 212.27      | \$ 166.09  | \$ 46.18              | -                               | \$ 46.18                 |
| 2.92998 | FTI    | 2/19/2013        | 9/27/2013 | \$ 162.09      | \$ 154.74  | \$ 7.35               | -                               | \$ 7.35                  |
| 2.93107 | SLXP   | 3/18/2013        | 9/27/2013 | \$ 197.41      | \$ 144.59  | \$ 52.82              | -                               | \$ 52.82                 |
| 2.93175 | R      | 9/6/2013         | 9/27/2013 | \$ 174.91      | \$ 166.52  | \$ 8.39               | -                               | \$ 8.39                  |
| 2.93868 | CSL    | 9/26/2013        | 9/27/2013 | \$ 205.47      | \$ 205.63  | \$ (0.16)             | -                               | \$ (0.16)                |
| 2.93950 | SEE    | 3/14/2013        | 9/27/2013 | \$ 80.84       | \$ 70.00   | \$ 10.84              | -                               | \$ 10.84                 |
| 2.94450 | EGN    | 5/8/2013         | 9/27/2013 | \$ 225.43      | \$ 145.31  | \$ 80.12              | -                               | \$ 80.12                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.94726 | TQNT   | 11/12/2012       | 9/27/2013 | \$ 23.64       | \$ 13.51   | \$ 10.12              | -                               | \$ 10.12                 |
| 2.94793 | IWF    | 3/7/2013         | 4/25/2013 | \$ 213.19      | \$ 207.45  | \$ 5.74               | -                               | \$ 5.74                  |
| 2.95711 | IR     | 6/6/2012         | 4/8/2013  | \$ 161.07      | \$ 117.82  | \$ 43.25              | -                               | \$ 43.25                 |
| 2.96017 | EGN    | 9/6/2013         | 9/27/2013 | \$ 226.63      | \$ 204.28  | \$ 22.35              | -                               | \$ 22.35                 |
| 2.96042 | IWD    | 8/20/2013        | 9/27/2013 | \$ 256.59      | \$ 254.49  | \$ 2.10               | -                               | \$ 2.10                  |
| 2.96169 | EA     | 3/6/2013         | 9/27/2013 | \$ 77.09       | \$ 55.28   | \$ 21.81              | -                               | \$ 21.81                 |
| 2.96804 | DBC    | 7/5/2013         | 9/27/2013 | \$ 76.93       | \$ 75.58   | \$ 1.35               | -                               | \$ 1.35                  |
| 2.96888 | A      | 8/29/2012        | 6/20/2013 | \$ 125.35      | \$ 110.51  | \$ 14.85              | -                               | \$ 14.85                 |
| 2.97066 | MCRS   | 5/2/2013         | 9/27/2013 | \$ 148.92      | \$ 128.32  | \$ 20.60              | -                               | \$ 20.60                 |
| 2.97984 | DBC    | 1/8/2013         | 9/4/2013  | \$ 79.06       | \$ 82.09   | \$ (3.03)             | -                               | \$ (3.03)                |
| 2.98099 | RS     | 3/18/2013        | 9/27/2013 | \$ 217.82      | \$ 206.21  | \$ 11.61              | -                               | \$ 11.61                 |
| 2.98951 | IVV    | 5/1/2013         | 9/27/2013 | \$ 507.59      | \$ 477.72  | \$ 29.87              | -                               | \$ 29.87                 |
| 2.99412 | EWK    | 11/12/2012       | 3/6/2013  | \$ 42.88       | \$ 37.15   | \$ 5.73               | -                               | \$ 5.73                  |
| 3.00832 | SCHW   | 11/12/2012       | 7/11/2013 | \$ 63.99       | \$ 39.15   | \$ 24.84              | -                               | \$ 24.84                 |
| 3.01006 | R      | 3/18/2013        | 9/27/2013 | \$ 179.58      | \$ 183.90  | \$ (4.32)             | -                               | \$ (4.32)                |
| 3.01082 | SD     | 11/12/2012       | 3/14/2013 | \$ 16.75       | \$ 16.00   | \$ 0.75               | -                               | \$ 0.75                  |
| 3.01330 | AXP    | 11/12/2012       | 6/19/2013 | \$ 225.31      | \$ 167.95  | \$ 57.36              | -                               | \$ 57.36                 |
| 3.01516 | IEX    | 9/6/2013         | 9/27/2013 | \$ 195.53      | \$ 185.31  | \$ 10.22              | -                               | \$ 10.22                 |
| 3.01897 | VRSN   | 12/3/2012        | 9/27/2013 | \$ 154.09      | \$ 109.71  | \$ 44.38              | -                               | \$ 44.38                 |
| 3.02496 | AMSC   | 11/12/2012       | 9/27/2013 | \$ 7.05        | \$ 9.25    | \$ (2.20)             | -                               | \$ (2.20)                |
| 3.02544 | ZINC   | 9/6/2013         | 9/27/2013 | \$ 39.91       | \$ 38.24   | \$ 1.67               | -                               | \$ 1.67                  |
| 3.02610 | EWZ    | 7/17/2012        | 3/20/2013 | \$ 166.31      | \$ 156.02  | \$ 10.30              | -                               | \$ 10.30                 |
| 3.03958 | SFG    | 3/18/2013        | 9/27/2013 | \$ 167.97      | \$ 127.86  | \$ 40.11              | -                               | \$ 40.11                 |
| 3.04012 | ACWI   | 1/8/2013         | 6/13/2013 | \$ 156.08      | \$ 147.44  | \$ 8.64               | -                               | \$ 8.64                  |
| 3.04138 | IWD    | 3/26/2013        | 5/2/2013  | \$ 249.71      | \$ 245.76  | \$ 3.95               | -                               | \$ 3.95                  |
| 3.04563 | MEI    | 5/8/2013         | 9/27/2013 | \$ 84.24       | \$ 45.64   | \$ 38.60              | -                               | \$ 38.60                 |
| 3.04977 | LIFE   | 3/18/2013        | 9/27/2013 | \$ 228.43      | \$ 192.41  | \$ 36.02              | -                               | \$ 36.02                 |
| 3.05280 | THD    | 2/20/2013        | 3/20/2013 | \$ 279.43      | \$ 277.25  | \$ 2.18               | -                               | \$ 2.18                  |
| 3.06811 | RJF    | 3/18/2013        | 9/27/2013 | \$ 128.83      | \$ 145.49  | \$ (16.66)            | -                               | \$ (16.66)               |
| 3.07095 | LLTC   | 9/6/2013         | 9/27/2013 | \$ 121.61      | \$ 120.81  | \$ 0.80               | -                               | \$ 0.80                  |
| 3.07571 | MEI    | 9/6/2013         | 9/27/2013 | \$ 85.08       | \$ 80.49   | \$ 4.59               | -                               | \$ 4.59                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.07889 | ALOG   | 3/18/2013        | 9/27/2013 | \$ 250.45      | \$ 239.43  | \$ 11.02              | -                               | \$ 11.02                 |
| 3.07997 | BXS    | 5/8/2013         | 9/27/2013 | \$ 61.69       | \$ 51.46   | \$ 10.23              | -                               | \$ 10.23                 |
| 3.08007 | MEAS   | 5/8/2013         | 9/27/2013 | \$ 167.25      | \$ 136.84  | \$ 30.41              | -                               | \$ 30.41                 |
| 3.08189 | DNR    | 12/7/2012        | 9/27/2013 | \$ 57.17       | \$ 48.26   | \$ 8.91               | -                               | \$ 8.91                  |
| 3.08258 | IWF    | 3/7/2013         | 4/25/2013 | \$ 222.90      | \$ 216.92  | \$ 5.98               | -                               | \$ 5.98                  |
| 3.08380 | AXP    | 11/12/2012       | 9/27/2013 | \$ 233.72      | \$ 171.88  | \$ 61.84              | -                               | \$ 61.84                 |
| 3.08970 | EMC    | 3/6/2013         | 9/27/2013 | \$ 80.61       | \$ 73.98   | \$ 6.63               | -                               | \$ 6.63                  |
| 3.09263 | BA     | 11/12/2012       | 9/27/2013 | \$ 366.09      | \$ 228.38  | \$ 137.71             | -                               | \$ 137.71                |
| 3.10412 | DRIV   | 5/8/2013         | 9/27/2013 | \$ 54.73       | \$ 46.58   | \$ 8.15               | -                               | \$ 8.15                  |
| 3.10524 | TNC    | 11/21/2012       | 9/27/2013 | \$ 193.03      | \$ 114.32  | \$ 78.71              | -                               | \$ 78.71                 |
| 3.10554 | EOG    | 9/6/2013         | 9/27/2013 | \$ 531.27      | \$ 507.18  | \$ 24.09              | -                               | \$ 24.09                 |
| 3.10584 | KAR    | 7/2/2013         | 9/27/2013 | \$ 85.95       | \$ 72.45   | \$ 13.51              | -                               | \$ 13.51                 |
| 3.10724 | HI     | 5/8/2013         | 9/27/2013 | \$ 85.01       | \$ 75.76   | \$ 9.26               | -                               | \$ 9.26                  |
| 3.11099 | BHLB   | 9/6/2013         | 9/27/2013 | \$ 78.03       | \$ 77.40   | \$ 0.63               | -                               | \$ 0.63                  |
| 3.11443 | LNKD   | 10/15/2012       | 9/27/2013 | \$ 768.65      | \$ 340.58  | \$ 428.07             | -                               | \$ 428.07                |
| 3.11611 | IWM    | 5/8/2013         | 9/17/2013 | \$ 328.81      | \$ 299.80  | \$ 29.01              | -                               | \$ 29.01                 |
| 3.11859 | TCBK   | 3/18/2013        | 9/27/2013 | \$ 70.67       | \$ 54.17   | \$ 16.50              | -                               | \$ 16.50                 |
| 3.11939 | EMC    | 1/15/2013        | 9/27/2013 | \$ 81.39       | \$ 75.43   | \$ 5.96               | -                               | \$ 5.96                  |
| 3.12490 | FBHS   | 4/1/2013         | 9/27/2013 | \$ 130.47      | \$ 115.27  | \$ 15.20              | -                               | \$ 15.20                 |
| 3.12581 | ALXN   | 2/22/2013        | 7/15/2013 | \$ 341.05      | \$ 272.69  | \$ 68.36              | -                               | \$ 68.36                 |
| 3.12792 | AUY    | 2/14/2013        | 9/27/2013 | \$ 32.66       | \$ 49.48   | \$ (16.83)            | -                               | \$ (16.83)               |
| 3.13212 | CRZO   | 3/18/2013        | 9/27/2013 | \$ 115.83      | \$ 85.46   | \$ 30.37              | -                               | \$ 30.37                 |
| 3.13364 | IR     | 11/12/2012       | 9/27/2013 | \$ 205.32      | \$ 142.67  | \$ 62.65              | -                               | \$ 62.65                 |
| 3.13405 | CCK    | 6/6/2012         | 5/22/2013 | \$ 137.86      | \$ 104.83  | \$ 33.03              | -                               | \$ 33.03                 |
| 3.13692 | EAT    | 2/19/2013        | 7/16/2013 | \$ 127.01      | \$ 100.03  | \$ 26.98              | -                               | \$ 26.98                 |
| 3.13786 | IVR    | 12/18/2012       | 9/27/2013 | \$ 201.98      | \$ 202.91  | \$ (0.93)             | -                               | \$ (0.93)                |
| 3.13926 | IWM    | 3/18/2013        | 6/13/2013 | \$ 305.09      | \$ 296.83  | \$ 8.26               | -                               | \$ 8.26                  |
| 3.13949 | FB     | 11/12/2012       | 7/31/2013 | \$ 115.83      | \$ 59.79   | \$ 56.04              | -                               | \$ 56.04                 |
| 3.14276 | QCOM   | 11/12/2012       | 9/27/2013 | \$ 211.35      | \$ 192.76  | \$ 18.59              | -                               | \$ 18.59                 |
| 3.14548 | PMTC   | 6/6/2012         | 3/21/2013 | \$ 80.30       | \$ 63.47   | \$ 16.83              | -                               | \$ 16.83                 |
| 3.14635 | SBH    | 2/19/2013        | 9/27/2013 | \$ 82.88       | \$ 85.56   | \$ (2.68)             | -                               | \$ (2.68)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.15069 | MON    | 1/25/2013        | 9/27/2013 | \$ 333.12      | \$ 325.28  | \$ 7.84               | -                               | \$ 7.84                  |
| 3.15260 | CLI    | 1/16/2013        | 2/19/2013 | \$ 86.59       | \$ 83.60   | \$ 2.99               | -                               | \$ 2.99                  |
| 3.15469 | HAR    | 6/17/2013        | 9/27/2013 | \$ 208.87      | \$ 167.61  | \$ 41.26              | -                               | \$ 41.26                 |
| 3.16303 | ELD    | 9/24/2012        | 3/18/2013 | \$ 167.18      | \$ 165.11  | \$ 2.07               | -                               | \$ 2.07                  |
| 3.17227 | RSR    | 4/1/2013         | 9/27/2013 | \$ 106.87      | \$ 103.61  | \$ 3.27               | -                               | \$ 3.27                  |
| 3.17650 | DBC    | 5/1/2013         | 6/20/2013 | \$ 81.22       | \$ 81.95   | \$ (0.72)             | -                               | \$ (0.72)                |
| 3.18321 | EWA    | 1/15/2013        | 3/20/2013 | \$ 85.66       | \$ 80.91   | \$ 4.75               | -                               | \$ 4.75                  |
| 3.18418 | CACHR  | 3/18/2013        | 4/19/2013 | \$ 5.96        | \$ 8.53    | \$ (2.57)             | -                               | \$ (2.57)                |
| 3.18606 | AMTD   | 3/6/2013         | 3/14/2013 | \$ 67.15       | \$ 64.03   | \$ 3.12               | -                               | \$ 3.12                  |
| 3.19325 | DBC    | 9/11/2013        | 9/27/2013 | \$ 82.77       | \$ 83.90   | \$ (1.13)             | -                               | \$ (1.13)                |
| 3.19411 | CNL    | 5/8/2013         | 9/27/2013 | \$ 143.67      | \$ 153.77  | \$ (10.10)            | -                               | \$ (10.10)               |
| 3.20845 | FTI    | 10/15/2012       | 5/15/2013 | \$ 180.87      | \$ 141.62  | \$ 39.25              | -                               | \$ 39.25                 |
| 3.21242 | TPX    | 3/18/2013        | 9/27/2013 | \$ 140.86      | \$ 150.47  | \$ (9.60)             | -                               | \$ (9.60)                |
| 3.21279 | DEI    | 9/6/2013         | 9/27/2013 | \$ 75.21       | \$ 74.21   | \$ 1.00               | -                               | \$ 1.00                  |
| 3.21358 | IWM    | 5/31/2013        | 9/27/2013 | \$ 342.70      | \$ 317.15  | \$ 25.55              | -                               | \$ 25.55                 |
| 3.21734 | IDTI   | 2/5/2013         | 9/27/2013 | \$ 30.15       | \$ 23.65   | \$ 6.50               | -                               | \$ 6.50                  |
| 3.22306 | MCD    | 8/13/2012        | 4/15/2013 | \$ 330.99      | \$ 283.46  | \$ 47.52              | -                               | \$ 47.52                 |
| 3.22584 | WSH    | 3/18/2013        | 9/27/2013 | \$ 140.78      | \$ 122.05  | \$ 18.73              | -                               | \$ 18.73                 |
| 3.22876 | CME    | 8/3/2012         | 4/8/2013  | \$ 192.65      | \$ 164.82  | \$ 27.83              | -                               | \$ 27.83                 |
| 3.23130 | JACK   | 5/8/2013         | 9/27/2013 | \$ 129.80      | \$ 121.45  | \$ 8.35               | -                               | \$ 8.35                  |
| 3.23218 | SUSQ   | 5/8/2013         | 9/27/2013 | \$ 40.50       | \$ 38.23   | \$ 2.27               | -                               | \$ 2.27                  |
| 3.23220 | AIT    | 5/8/2013         | 9/27/2013 | \$ 165.84      | \$ 144.07  | \$ 21.78              | -                               | \$ 21.78                 |
| 3.24280 | PTEN   | 9/6/2013         | 9/27/2013 | \$ 71.02       | \$ 63.98   | \$ 7.04               | -                               | \$ 7.04                  |
| 3.24698 | WWAV   | 7/24/2013        | 9/27/2013 | \$ 65.04       | \$ 62.79   | \$ 2.24               | -                               | \$ 2.24                  |
| 3.25489 | ECL    | 3/18/2013        | 9/27/2013 | \$ 319.63      | \$ 256.99  | \$ 62.64              | -                               | \$ 62.64                 |
| 3.25668 | AMTD   | 1/15/2013        | 3/14/2013 | \$ 68.64       | \$ 58.84   | \$ 9.80               | -                               | \$ 9.80                  |
| 3.26031 | CIU    | 9/6/2013         | 9/27/2013 | \$ 352.05      | \$ 346.77  | \$ 5.28               | -                               | \$ 5.28                  |
| 3.26414 | ARW    | 10/10/2012       | 9/27/2013 | \$ 157.30      | \$ 105.41  | \$ 51.89              | -                               | \$ 51.89                 |
| 3.26421 | EPOL   | 2/20/2013        | 3/20/2013 | \$ 86.48       | \$ 90.20   | \$ (3.72)             | -                               | \$ (3.72)                |
| 3.26842 | ADTN   | 9/24/2012        | 1/14/2013 | \$ 68.29       | \$ 65.23   | \$ 3.06               | -                               | \$ 3.06                  |
| 3.26988 | ANN    | 9/6/2013         | 9/27/2013 | \$ 118.80      | \$ 115.72  | \$ 3.08               | -                               | \$ 3.08                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.27486 | SNPS   | 5/8/2013         | 9/27/2013 | \$ 123.36      | \$ 116.48  | \$ 6.88               | -                               | \$ 6.88                  |
| 3.27973 | PWR    | 6/6/2012         | 2/11/2013 | \$ 93.01       | \$ 71.33   | \$ 21.68              | -                               | \$ 21.68                 |
| 3.28785 | VOLC   | 9/6/2013         | 9/27/2013 | \$ 77.30       | \$ 71.87   | \$ 5.43               | -                               | \$ 5.43                  |
| 3.28812 | FXI    | 6/5/2012         | 3/20/2013 | \$ 122.12      | \$ 107.01  | \$ 15.11              | -                               | \$ 15.11                 |
| 3.28960 | AMZN   | 5/8/2013         | 9/27/2013 | \$ 1,037.39    | \$ 852.55  | \$ 184.84             | -                               | \$ 184.84                |
| 3.30589 | FBHS   | 9/6/2013         | 9/27/2013 | \$ 138.02      | \$ 124.82  | \$ 13.20              | -                               | \$ 13.20                 |
| 3.30667 | MCD    | 8/8/2012         | 4/15/2013 | \$ 339.57      | \$ 289.65  | \$ 49.92              | -                               | \$ 49.92                 |
| 3.31184 | SBH    | 5/8/2013         | 9/27/2013 | \$ 87.23       | \$ 101.70  | \$ (14.47)            | -                               | \$ (14.47)               |
| 3.31205 | UNH    | 11/12/2012       | 9/27/2013 | \$ 238.20      | \$ 175.06  | \$ 63.14              | -                               | \$ 63.14                 |
| 3.31304 | ABG    | 6/6/2012         | 3/21/2013 | \$ 123.36      | \$ 82.79   | \$ 40.57              | -                               | \$ 40.57                 |
| 3.31462 | COH    | 11/12/2012       | 9/27/2013 | \$ 179.79      | \$ 179.90  | \$ (0.12)             | -                               | \$ (0.12)                |
| 3.31520 | VAR    | 11/12/2012       | 9/27/2013 | \$ 246.19      | \$ 227.57  | \$ 18.62              | -                               | \$ 18.62                 |
| 3.31943 | ATML   | 9/26/2013        | 9/27/2013 | \$ 24.27       | \$ 24.96   | \$ (0.70)             | -                               | \$ (0.70)                |
| 3.32009 | FHN    | 11/9/2012        | 9/27/2013 | \$ 36.79       | \$ 30.28   | \$ 6.51               | -                               | \$ 6.51                  |
| 3.32824 | ROST   | 9/6/2013         | 9/27/2013 | \$ 241.33      | \$ 230.68  | \$ 10.65              | -                               | \$ 10.65                 |
| 3.32871 | EMB    | 10/5/2012        | 5/28/2013 | \$ 391.42      | \$ 405.08  | \$ (13.66)            | -                               | \$ (13.66)               |
| 3.32923 | EFA    | 7/5/2013         | 9/27/2013 | \$ 214.07      | \$ 191.70  | \$ 22.37              | -                               | \$ 22.37                 |
| 3.33046 | EPU    | 9/10/2012        | 3/20/2013 | \$ 144.43      | \$ 140.61  | \$ 3.82               | -                               | \$ 3.82                  |
| 3.33783 | BECN   | 9/6/2013         | 9/27/2013 | \$ 124.20      | \$ 117.09  | \$ 7.11               | -                               | \$ 7.11                  |
| 3.33895 | VRSK   | 11/12/2012       | 9/27/2013 | \$ 217.77      | \$ 163.86  | \$ 53.91              | -                               | \$ 53.91                 |
| 3.34194 | DD     | 6/6/2012         | 4/8/2013  | \$ 162.02      | \$ 161.48  | \$ 0.54               | -                               | \$ 0.54                  |
| 3.34715 | PWR    | 3/18/2013        | 6/10/2013 | \$ 90.63       | \$ 98.49   | \$ (7.86)             | -                               | \$ (7.86)                |
| 3.35941 | IP     | 11/12/2012       | 9/27/2013 | \$ 152.83      | \$ 115.85  | \$ 36.98              | -                               | \$ 36.98                 |
| 3.36136 | HI     | 1/14/2013        | 9/27/2013 | \$ 91.97       | \$ 79.66   | \$ 12.31              | -                               | \$ 12.31                 |
| 3.36193 | USPH   | 9/6/2013         | 9/27/2013 | \$ 102.95      | \$ 98.33   | \$ 4.62               | -                               | \$ 4.62                  |
| 3.36222 | TXN    | 3/6/2013         | 9/27/2013 | \$ 134.67      | \$ 118.00  | \$ 16.67              | -                               | \$ 16.67                 |
| 3.36614 | RTI    | 9/6/2013         | 9/27/2013 | \$ 106.78      | \$ 106.03  | \$ 0.75               | -                               | \$ 0.75                  |
| 3.36894 | PTEN   | 3/18/2013        | 9/27/2013 | \$ 73.78       | \$ 85.15   | \$ (11.37)            | -                               | \$ (11.37)               |
| 3.37423 | TXN    | 1/15/2013        | 9/27/2013 | \$ 135.15      | \$ 108.41  | \$ 26.74              | -                               | \$ 26.74                 |
| 3.37934 | ORCL   | 3/6/2013         | 9/27/2013 | \$ 114.05      | \$ 120.87  | \$ (6.81)             | -                               | \$ (6.81)                |
| 3.38669 | DOX    | 6/6/2012         | 4/8/2013  | \$ 119.18      | \$ 98.82   | \$ 20.36              | -                               | \$ 20.36                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.38747 | EPOL   | 7/17/2012        | 3/20/2013 | \$ 89.74       | \$ 78.67   | \$ 11.07              | -                               | \$ 11.07                 |
| 3.39170 | VRSK   | 3/18/2013        | 9/27/2013 | \$ 221.21      | \$ 202.79  | \$ 18.42              | -                               | \$ 18.42                 |
| 3.39360 | THD    | 7/17/2012        | 3/20/2013 | \$ 310.62      | \$ 240.64  | \$ 69.98              | -                               | \$ 69.98                 |
| 3.39514 | HCC    | 5/8/2013         | 9/27/2013 | \$ 149.05      | \$ 146.12  | \$ 2.93               | -                               | \$ 2.93                  |
| 3.39595 | VRSN   | 9/6/2013         | 9/27/2013 | \$ 173.33      | \$ 167.25  | \$ 6.08               | -                               | \$ 6.08                  |
| 3.39823 | AWI    | 3/18/2013        | 9/27/2013 | \$ 187.35      | \$ 186.41  | \$ 0.94               | -                               | \$ 0.94                  |
| 3.39851 | BPFH   | 11/12/2012       | 9/27/2013 | \$ 37.21       | \$ 29.69   | \$ 7.52               | -                               | \$ 7.52                  |
| 3.39871 | ALK    | 3/18/2013        | 9/27/2013 | \$ 215.89      | \$ 206.03  | \$ 9.86               | -                               | \$ 9.86                  |
| 3.40566 | MUB    | 3/19/2013        | 9/27/2013 | \$ 355.44      | \$ 373.74  | \$ (18.29)            | -                               | \$ (18.29)               |
| 3.40882 | CNL    | 9/6/2013         | 9/27/2013 | \$ 153.33      | \$ 151.35  | \$ 1.98               | -                               | \$ 1.98                  |
| 3.42876 | MEA    | 11/12/2012       | 9/27/2013 | \$ 4.94        | \$ 6.22    | \$ (1.29)             | -                               | \$ (1.29)                |
| 3.43036 | EWJ    | 10/5/2012        | 3/20/2013 | \$ 36.64       | \$ 31.65   | \$ 4.99               | -                               | \$ 4.99                  |
| 3.43747 | FXI    | 8/10/2012        | 3/20/2013 | \$ 127.67      | \$ 120.79  | \$ 6.88               | -                               | \$ 6.88                  |
| 3.44148 | POR    | 9/6/2013         | 9/27/2013 | \$ 97.19       | \$ 97.60   | \$ (0.41)             | -                               | \$ (0.41)                |
| 3.44298 | CTSH   | 10/15/2012       | 4/25/2013 | \$ 218.20      | \$ 239.79  | \$ (21.59)            | -                               | \$ (21.59)               |
| 3.44324 | MUB    | 2/7/2013         | 9/27/2013 | \$ 359.37      | \$ 385.31  | \$ (25.94)            | -                               | \$ (25.94)               |
| 3.45225 | ELD    | 9/24/2012        | 5/28/2013 | \$ 178.79      | \$ 180.21  | \$ (1.42)             | -                               | \$ (1.42)                |
| 3.45838 | ERUS   | 1/15/2013        | 3/20/2013 | \$ 77.40       | \$ 82.19   | \$ (4.79)             | -                               | \$ (4.79)                |
| 3.46099 | DE     | 10/15/2012       | 2/14/2013 | \$ 314.88      | \$ 287.27  | \$ 27.61              | -                               | \$ 27.61                 |
| 3.46262 | DSX    | 9/6/2013         | 9/27/2013 | \$ 41.90       | \$ 43.49   | \$ (1.59)             | -                               | \$ (1.59)                |
| 3.46722 | UNM    | 3/18/2013        | 9/27/2013 | \$ 106.37      | \$ 95.47   | \$ 10.90              | -                               | \$ 10.90                 |
| 3.46871 | EWP    | 2/20/2013        | 3/20/2013 | \$ 104.72      | \$ 106.46  | \$ (1.74)             | -                               | \$ (1.74)                |
| 3.47370 | LULU   | 1/8/2013         | 3/22/2013 | \$ 216.60      | \$ 247.66  | \$ (31.06)            | -                               | \$ (31.06)               |
| 3.47483 | WTS    | 3/18/2013        | 9/27/2013 | \$ 195.64      | \$ 170.91  | \$ 24.73              | -                               | \$ 24.73                 |
| 3.47760 | IWD    | 2/4/2013         | 2/22/2013 | \$ 272.57      | \$ 269.44  | \$ 3.13               | -                               | \$ 3.13                  |
| 3.47794 | EWV    | 7/17/2012        | 3/20/2013 | \$ 245.75      | \$ 221.47  | \$ 24.29              | -                               | \$ 24.29                 |
| 3.47814 | MUB    | 8/1/2013         | 9/27/2013 | \$ 363.01      | \$ 360.13  | \$ 2.88               | -                               | \$ 2.88                  |
| 3.48434 | CIT    | 6/6/2012         | 1/10/2013 | \$ 138.59      | \$ 119.31  | \$ 19.27              | -                               | \$ 19.27                 |
| 3.48639 | OKSB   | 3/18/2013        | 9/27/2013 | \$ 51.71       | \$ 45.48   | \$ 6.23               | -                               | \$ 6.23                  |
| 3.49587 | RCII   | 3/18/2013        | 6/24/2013 | \$ 129.80      | \$ 131.27  | \$ (1.47)             | -                               | \$ (1.47)                |
| 3.49749 | XRAY   | 3/18/2013        | 9/27/2013 | \$ 151.55      | \$ 146.72  | \$ 4.83               | -                               | \$ 4.83                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.49887 | KORS   | 6/6/2012         | 5/28/2013 | \$ 215.08      | \$ 136.55  | \$ 78.52              | -                               | \$ 78.52                 |
| 3.50435 | BHLB   | 5/8/2013         | 9/27/2013 | \$ 87.89       | \$ 93.88   | \$ (5.99)             | -                               | \$ (5.99)                |
| 3.51175 | ARW    | 5/8/2013         | 9/27/2013 | \$ 169.23      | \$ 134.31  | \$ 34.92              | -                               | \$ 34.92                 |
| 3.51787 | DBV    | 7/18/2012        | 5/6/2013  | \$ 96.61       | \$ 86.80   | \$ 9.81               | -                               | \$ 9.81                  |
| 3.51902 | JOSB   | 9/6/2013         | 9/27/2013 | \$ 155.58      | \$ 147.89  | \$ 7.69               | -                               | \$ 7.69                  |
| 3.52487 | WMS    | 2/1/2013         | 2/11/2013 | \$ 87.86       | \$ 87.17   | \$ 0.69               | -                               | \$ 0.69                  |
| 3.52999 | CCK    | 9/6/2013         | 9/27/2013 | \$ 150.52      | \$ 153.80  | \$ (3.28)             | -                               | \$ (3.28)                |
| 3.53000 | IVV    | 5/10/2013        | 9/27/2013 | \$ 599.36      | \$ 577.22  | \$ 22.14              | -                               | \$ 22.14                 |
| 3.53032 | NVAX   | 3/6/2013         | 9/27/2013 | \$ 11.05       | \$ 6.41    | \$ 4.64               | -                               | \$ 4.64                  |
| 3.53530 | SUSQ   | 9/6/2013         | 9/27/2013 | \$ 44.30       | \$ 45.11   | \$ (0.81)             | -                               | \$ (0.81)                |
| 3.54298 | CIT    | 11/12/2012       | 9/27/2013 | \$ 171.45      | \$ 131.52  | \$ 39.93              | -                               | \$ 39.93                 |
| 3.54781 | IWD    | 12/3/2012        | 1/25/2013 | \$ 274.99      | \$ 254.27  | \$ 20.72              | -                               | \$ 20.72                 |
| 3.55161 | CHKP   | 2/15/2013        | 9/27/2013 | \$ 201.20      | \$ 182.95  | \$ 18.25              | -                               | \$ 18.25                 |
| 3.55216 | PMT    | 6/3/2013         | 9/27/2013 | \$ 80.95       | \$ 75.11   | \$ 5.84               | -                               | \$ 5.84                  |
| 3.55850 | ALXN   | 10/15/2012       | 4/24/2013 | \$ 319.24      | \$ 395.34  | \$ (76.09)            | -                               | \$ (76.09)               |
| 3.56103 | ADTN   | 9/26/2012        | 3/8/2013  | \$ 73.79       | \$ 68.29   | \$ 5.49               | -                               | \$ 5.49                  |
| 3.56544 | SHLM   | 3/18/2013        | 9/13/2013 | \$ 99.67       | \$ 113.72  | \$ (14.05)            | -                               | \$ (14.05)               |
| 3.56847 | VNQ    | 1/11/2013        | 6/13/2013 | \$ 245.80      | \$ 238.57  | \$ 7.23               | -                               | \$ 7.23                  |
| 3.56917 | IWF    | 5/15/2013        | 6/20/2013 | \$ 259.37      | \$ 270.78  | \$ (11.40)            | -                               | \$ (11.40)               |
| 3.57077 | CKSW   | 12/6/2012        | 6/20/2013 | \$ 28.58       | \$ 28.24   | \$ 0.34               | -                               | \$ 0.34                  |
| 3.57620 | TEX    | 9/6/2013         | 9/27/2013 | \$ 121.34      | \$ 110.18  | \$ 11.16              | -                               | \$ 11.16                 |
| 3.58322 | BC     | 9/6/2013         | 9/27/2013 | \$ 142.86      | \$ 137.02  | \$ 5.84               | -                               | \$ 5.84                  |
| 3.58563 | SEE    | 3/8/2013         | 9/27/2013 | \$ 98.61       | \$ 81.19   | \$ 17.42              | -                               | \$ 17.42                 |
| 3.58574 | CVS    | 1/11/2013        | 6/20/2013 | \$ 204.25      | \$ 183.77  | \$ 20.48              | -                               | \$ 20.48                 |
| 3.59308 | CBSH   | 4/16/2013        | 9/27/2013 | \$ 156.62      | \$ 139.69  | \$ 16.94              | -                               | \$ 16.94                 |
| 3.59384 | IWR    | 9/9/2013         | 9/27/2013 | \$ 501.20      | \$ 492.10  | \$ 9.09               | -                               | \$ 9.09                  |
| 3.59420 | IWD    | 2/5/2013         | 3/14/2013 | \$ 292.43      | \$ 280.52  | \$ 11.90              | -                               | \$ 11.90                 |
| 3.59795 | ATML   | 6/6/2012         | 1/23/2013 | \$ 24.14       | \$ 26.19   | \$ (2.05)             | -                               | \$ (2.05)                |
| 3.60120 | PMT    | 5/8/2013         | 9/27/2013 | \$ 82.07       | \$ 91.34   | \$ (9.27)             | -                               | \$ (9.27)                |
| 3.60448 | JDSU   | 1/10/2013        | 9/27/2013 | \$ 52.70       | \$ 48.59   | \$ 4.11               | -                               | \$ 4.11                  |
| 3.61065 | HI     | 3/18/2013        | 9/27/2013 | \$ 98.79       | \$ 90.14   | \$ 8.65               | -                               | \$ 8.65                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 3.61346 | IP     | 3/1/2013      | 9/27/2013 | \$ 164.39      | \$ 161.58  | \$ 2.81            | -                         | \$ 2.81               |
| 3.61643 | KSS    | 11/12/2012    | 9/27/2013 | \$ 188.09      | \$ 185.72  | \$ 2.37            | -                         | \$ 2.37               |
| 3.61955 | ABBV   | 1/15/2013     | 9/27/2013 | \$ 160.38      | \$ 122.95  | \$ 37.44           | -                         | \$ 37.44              |
| 3.62583 | SCVL   | 11/27/2012    | 9/27/2013 | \$ 97.69       | \$ 80.22   | \$ 17.47           | -                         | \$ 17.47              |
| 3.62925 | ELD    | 9/6/2013      | 9/27/2013 | \$ 171.05      | \$ 166.22  | \$ 4.83            | -                         | \$ 4.83               |
| 3.63337 | ANN    | 5/8/2013      | 9/27/2013 | \$ 132.00      | \$ 108.68  | \$ 23.32           | -                         | \$ 23.32              |
| 3.64202 | SNPS   | 9/6/2013      | 9/27/2013 | \$ 137.20      | \$ 133.37  | \$ 3.83            | -                         | \$ 3.83               |
| 3.65139 | DBC    | 1/11/2013     | 9/4/2013  | \$ 96.87       | \$ 100.33  | \$ (3.46)          | -                         | \$ (3.46)             |
| 3.65562 | MCD    | 10/15/2012    | 9/27/2013 | \$ 355.19      | \$ 340.00  | \$ 15.19           | -                         | \$ 15.19              |
| 3.66203 | EW     | 6/20/2013     | 9/26/2013 | \$ 249.36      | \$ 247.57  | \$ 1.79            | -                         | \$ 1.79               |
| 3.66313 | VNQ    | 1/15/2013     | 6/20/2013 | \$ 241.88      | \$ 246.00  | \$ (4.12)          | -                         | \$ (4.12)             |
| 3.67316 | JOSB   | 3/18/2013     | 9/27/2013 | \$ 162.39      | \$ 154.02  | \$ 8.38            | -                         | \$ 8.38               |
| 3.67512 | IGT    | 1/15/2013     | 9/27/2013 | \$ 71.52       | \$ 55.05   | \$ 16.47           | -                         | \$ 16.47              |
| 3.70263 | MRC    | 1/2/2013      | 9/27/2013 | \$ 100.01      | \$ 101.87  | \$ (1.87)          | -                         | \$ (1.87)             |
| 3.70932 | MINT   | 1/17/2013     | 3/18/2013 | \$ 376.71      | \$ 376.76  | \$ (0.05)          | -                         | \$ (0.05)             |
| 3.71037 | BEN    | 11/12/2012    | 9/27/2013 | \$ 188.38      | \$ 159.76  | \$ 28.62           | -                         | \$ 28.62              |
| 3.71379 | FAST   | 2/19/2013     | 9/27/2013 | \$ 185.02      | \$ 196.67  | \$ (11.65)         | -                         | \$ (11.65)            |
| 3.72667 | EMB    | 10/5/2012     | 5/6/2013  | \$ 451.87      | \$ 453.50  | \$ (1.63)          | -                         | \$ (1.63)             |
| 3.72672 | BIDU   | 9/6/2013      | 9/27/2013 | \$ 572.88      | \$ 504.96  | \$ 67.92           | -                         | \$ 67.92              |
| 3.72762 | VNTV   | 8/6/2012      | 7/12/2013 | \$ 102.51      | \$ 84.05   | \$ 18.46           | -                         | \$ 18.46              |
| 3.73029 | SAVE   | 9/6/2013      | 9/27/2013 | \$ 128.73      | \$ 116.42  | \$ 12.31           | -                         | \$ 12.31              |
| 3.73305 | DNR    | 1/16/2013     | 9/27/2013 | \$ 69.25       | \$ 66.06   | \$ 3.19            | -                         | \$ 3.19               |
| 3.73366 | BIIB   | 5/8/2013      | 9/27/2013 | \$ 909.95      | \$ 797.46  | \$ 112.49          | -                         | \$ 112.49             |
| 3.73549 | SHOO   | 9/6/2013      | 9/27/2013 | \$ 198.91      | \$ 207.09  | \$ (8.18)          | -                         | \$ (8.18)             |
| 3.73970 | IWF    | 7/15/2013     | 7/31/2013 | \$ 286.62      | \$ 285.60  | \$ 1.02            | -                         | \$ 1.02               |
| 3.76151 | ARO    | 5/1/2013      | 6/10/2013 | \$ 54.86       | \$ 54.20   | \$ 0.66            | -                         | \$ 0.66               |
| 3.76949 | HXL    | 5/8/2013      | 9/27/2013 | \$ 145.69      | \$ 120.60  | \$ 25.09           | -                         | \$ 25.09              |
| 3.77221 | IWF    | 8/13/2013     | 9/27/2013 | \$ 296.16      | \$ 290.54  | \$ 5.62            | -                         | \$ 5.62               |
| 3.77345 | ABG    | 3/18/2013     | 8/26/2013 | \$ 188.22      | \$ 139.75  | \$ 48.47           | -                         | \$ 48.47              |
| 3.77368 | IWF    | 11/12/2012    | 1/9/2013  | \$ 253.60      | \$ 240.71  | \$ 12.89           | -                         | \$ 12.89              |
| 3.77469 | EGR    | 3/18/2013     | 9/27/2013 | \$ 288.99      | \$ 189.15  | \$ 99.84           | -                         | \$ 99.84              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 3.77773 | PTEN   | 5/8/2013      | 9/27/2013 | \$ 82.73       | \$ 85.18   | \$ (2.45)          | -                         | \$ (2.45)             |
| 3.77796 | CLC    | 7/2/2013      | 9/27/2013 | \$ 207.86      | \$ 200.36  | \$ 7.50            | -                         | \$ 7.50               |
| 3.78309 | VNQ    | 6/6/2012      | 3/18/2013 | \$ 264.64      | \$ 235.02  | \$ 29.62           | -                         | \$ 29.62              |
| 3.79094 | SEE    | 2/28/2013     | 9/26/2013 | \$ 103.50      | \$ 83.93   | \$ 19.58           | -                         | \$ 19.58              |
| 3.79138 | NLS    | 5/8/2013      | 9/27/2013 | \$ 27.03       | \$ 31.71   | \$ (4.68)          | -                         | \$ (4.68)             |
| 3.79263 | LIFE   | 9/6/2013      | 9/27/2013 | \$ 284.07      | \$ 283.12  | \$ 0.95            | -                         | \$ 0.95               |
| 3.79437 | MMC    | 11/12/2012    | 9/27/2013 | \$ 166.31      | \$ 129.18  | \$ 37.13           | -                         | \$ 37.13              |
| 3.79497 | ROST   | 5/8/2013      | 9/27/2013 | \$ 275.17      | \$ 250.30  | \$ 24.87           | -                         | \$ 24.87              |
| 3.79532 | PLCE   | 3/18/2013     | 9/9/2013  | \$ 208.32      | \$ 181.17  | \$ 27.15           | -                         | \$ 27.15              |
| 3.79640 | ACWI   | 6/6/2012      | 5/6/2013  | \$ 200.08      | \$ 161.52  | \$ 38.56           | -                         | \$ 38.56              |
| 3.79681 | VOLC   | 5/8/2013      | 9/27/2013 | \$ 89.26       | \$ 69.17   | \$ 20.09           | -                         | \$ 20.09              |
| 3.80147 | CSL    | 5/22/2013     | 9/27/2013 | \$ 265.80      | \$ 254.32  | \$ 11.48           | -                         | \$ 11.48              |
| 3.80214 | LULU   | 6/6/2012      | 3/22/2013 | \$ 237.08      | \$ 272.94  | \$ (35.86)         | -                         | \$ (35.86)            |
| 3.80266 | EMC    | 1/30/2013     | 5/14/2013 | \$ 87.53       | \$ 94.04   | \$ (6.51)          | -                         | \$ (6.51)             |
| 3.80435 | AGG    | 6/6/2012      | 5/6/2013  | \$ 421.64      | \$ 422.08  | \$ (0.45)          | -                         | \$ (0.45)             |
| 3.80474 | DRIV   | 3/18/2013     | 9/27/2013 | \$ 67.08       | \$ 55.34   | \$ 11.74           | -                         | \$ 11.74              |
| 3.80779 | APKT   | 3/14/2013     | 4/1/2013  | \$ 111.38      | \$ 111.26  | \$ 0.11            | -                         | \$ 0.11               |
| 3.80848 | SIX    | 8/14/2013     | 9/27/2013 | \$ 130.40      | \$ 133.47  | \$ (3.07)          | -                         | \$ (3.07)             |
| 3.81068 | EWY    | 2/20/2013     | 3/20/2013 | \$ 221.63      | \$ 237.39  | \$ (15.76)         | -                         | \$ (15.76)            |
| 3.81311 | IWF    | 4/3/2013      | 5/17/2013 | \$ 288.69      | \$ 269.48  | \$ 19.21           | -                         | \$ 19.21              |
| 3.81916 | EWT    | 11/12/2012    | 3/20/2013 | \$ 50.37       | \$ 49.53   | \$ 0.85            | -                         | \$ 0.85               |
| 3.83027 | BRLI   | 1/23/2013     | 2/19/2013 | \$ 102.69      | \$ 116.67  | \$ (13.98)         | -                         | \$ (13.98)            |
| 3.83027 | PRXL   | 9/6/2013      | 9/27/2013 | \$ 191.52      | \$ 180.44  | \$ 11.08           | -                         | \$ 11.08              |
| 3.83059 | AGG    | 6/6/2012      | 3/18/2013 | \$ 422.62      | \$ 424.99  | \$ (2.38)          | -                         | \$ (2.38)             |
| 3.83343 | MEAS   | 3/18/2013     | 9/27/2013 | \$ 208.16      | \$ 150.29  | \$ 57.87           | -                         | \$ 57.87              |
| 3.83372 | EPU    | 7/17/2012     | 3/20/2013 | \$ 166.25      | \$ 159.32  | \$ 6.93            | -                         | \$ 6.93               |
| 3.83804 | SEE    | 4/9/2013      | 9/27/2013 | \$ 105.55      | \$ 84.44   | \$ 21.10           | -                         | \$ 21.10              |
| 3.84210 | GILD   | 2/19/2013     | 9/27/2013 | \$ 243.67      | \$ 162.64  | \$ 81.03           | -                         | \$ 81.03              |
| 3.84238 | UNM    | 5/8/2013      | 9/27/2013 | \$ 117.88      | \$ 108.04  | \$ 9.84            | -                         | \$ 9.84               |
| 3.84388 | MOLX   | 6/6/2012      | 4/24/2013 | \$ 101.03      | \$ 90.56   | \$ 10.47           | -                         | \$ 10.47              |
| 3.84519 | RTI    | 5/8/2013      | 9/27/2013 | \$ 121.97      | \$ 112.64  | \$ 9.33            | -                         | \$ 9.33               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 3.84565 | MRC    | 1/2/2013      | 6/4/2013  | \$ 104.09      | \$ 105.81   | \$ (1.72)          | -                         | \$ (1.72)             |
| 3.84601 | OKSB   | 5/8/2013      | 9/27/2013 | \$ 57.04       | \$ 51.48    | \$ 5.56            | -                         | \$ 5.56               |
| 3.84993 | ARW    | 9/6/2013      | 9/27/2013 | \$ 185.53      | \$ 181.06   | \$ 4.47            | -                         | \$ 4.47               |
| 3.85020 | JACK   | 9/6/2013      | 9/27/2013 | \$ 154.66      | \$ 151.12   | \$ 3.54            | -                         | \$ 3.54               |
| 3.85790 | VRSK   | 10/15/2012    | 6/20/2013 | \$ 222.41      | \$ 180.99   | \$ 41.42           | -                         | \$ 41.42              |
| 3.86697 | AIG    | 1/25/2013     | 6/20/2013 | \$ 171.63      | \$ 141.10   | \$ 30.53           | -                         | \$ 30.53              |
| 3.86851 | UTX    | 10/15/2012    | 9/27/2013 | \$ 422.99      | \$ 294.35   | \$ 128.65          | -                         | \$ 128.65             |
| 3.87109 | CHKP   | 2/8/2013      | 9/27/2013 | \$ 219.30      | \$ 199.96   | \$ 19.34           | -                         | \$ 19.34              |
| 3.87729 | XOM    | 12/21/2012    | 9/27/2013 | \$ 336.64      | \$ 338.18   | \$ (1.54)          | -                         | \$ (1.54)             |
| 3.88109 | OKSB   | 9/6/2013      | 9/27/2013 | \$ 57.56       | \$ 56.97    | \$ 0.59            | -                         | \$ 0.59               |
| 3.88649 | BRK B  | 11/12/2012    | 9/27/2013 | \$ 445.55      | \$ 331.01   | \$ 114.54          | -                         | \$ 114.54             |
| 3.88955 | NU     | 11/12/2012    | 9/27/2013 | \$ 161.46      | \$ 147.51   | \$ 13.94           | -                         | \$ 13.94              |
| 3.89469 | IWR    | 9/6/2013      | 9/27/2013 | \$ 543.16      | \$ 530.49   | \$ 12.67           | -                         | \$ 12.67              |
| 3.90033 | MUNI   | 2/7/2013      | 9/27/2013 | \$ 203.52      | \$ 212.09   | \$ (8.57)          | -                         | \$ (8.57)             |
| 3.90437 | CHKP   | 2/12/2013     | 9/27/2013 | \$ 221.18      | \$ 200.93   | \$ 20.25           | -                         | \$ 20.25              |
| 3.90650 | IWD    | 7/9/2013      | 9/18/2013 | \$ 343.04      | \$ 335.37   | \$ 7.66            | -                         | \$ 7.66               |
| 3.90865 | BYI    | 3/18/2013     | 9/27/2013 | \$ 285.14      | \$ 201.55   | \$ 83.59           | -                         | \$ 83.59              |
| 3.91165 | PCP    | 5/8/2013      | 9/27/2013 | \$ 891.13      | \$ 755.30   | \$ 135.83          | -                         | \$ 135.83             |
| 3.91247 | IWM    | 9/10/2013     | 9/27/2013 | \$ 417.23      | \$ 409.40   | \$ 7.83            | -                         | \$ 7.83               |
| 3.91372 | AAPL   | 9/6/2013      | 9/27/2013 | \$ 1,884.21    | \$ 1,944.94 | \$ (60.73)         | -                         | \$ (60.73)            |
| 3.91467 | IWM    | 5/29/2013     | 9/27/2013 | \$ 417.46      | \$ 382.97   | \$ 34.49           | -                         | \$ 34.49              |
| 3.91577 | STKL   | 3/6/2013      | 9/27/2013 | \$ 38.38       | \$ 27.36    | \$ 11.02           | -                         | \$ 11.02              |
| 3.92007 | BERY   | 4/24/2013     | 9/27/2013 | \$ 78.72       | \$ 71.76    | \$ 6.95            | -                         | \$ 6.95               |
| 3.92369 | ARW    | 6/6/2012      | 2/5/2013  | \$ 156.40      | \$ 134.65   | \$ 21.75           | -                         | \$ 21.75              |
| 3.92887 | BRK B  | 10/15/2012    | 6/20/2013 | \$ 439.10      | \$ 350.07   | \$ 89.03           | -                         | \$ 89.03              |
| 3.92903 | ESRX   | 11/12/2012    | 9/27/2013 | \$ 243.40      | \$ 208.65   | \$ 34.75           | -                         | \$ 34.75              |
| 3.92997 | ZION   | 3/18/2013     | 9/27/2013 | \$ 107.41      | \$ 99.33    | \$ 8.08            | -                         | \$ 8.08               |
| 3.93085 | TWX    | 11/12/2012    | 9/27/2013 | \$ 260.61      | \$ 175.06   | \$ 85.55           | -                         | \$ 85.55              |
| 3.93168 | SJM    | 10/15/2012    | 9/27/2013 | \$ 412.52      | \$ 329.39   | \$ 83.13           | -                         | \$ 83.13              |
| 3.94067 | NVO    | 10/15/2012    | 2/19/2013 | \$ 678.82      | \$ 646.76   | \$ 32.07           | -                         | \$ 32.07              |
| 3.94505 | ACWI   | 1/11/2013     | 6/13/2013 | \$ 202.54      | \$ 194.12   | \$ 8.42            | -                         | \$ 8.42               |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.95259 | DF     | 3/6/2013         | 5/29/2013 | \$ 41.68       | \$ 36.96   | \$ 4.72               | -                               | \$ 4.72                  |
| 3.95271 | CVH    | 3/18/2013        | 5/7/2013  | \$ 90.13       | \$ 76.82   | \$ 13.31              | -                               | \$ 13.31                 |
| 3.95637 | CFN    | 6/6/2012         | 5/14/2013 | \$ 133.15      | \$ 96.93   | \$ 36.23              | -                               | \$ 36.23                 |
| 3.96361 | NVO    | 3/18/2013        | 9/27/2013 | \$ 672.24      | \$ 661.21  | \$ 11.03              | -                               | \$ 11.03                 |
| 3.96791 | RSG    | 5/8/2013         | 9/27/2013 | \$ 133.68      | \$ 138.67  | \$ (4.99)             | -                               | \$ (4.99)                |
| 3.97299 | UHS    | 3/18/2013        | 9/27/2013 | \$ 296.66      | \$ 247.20  | \$ 49.47              | -                               | \$ 49.47                 |
| 3.97444 | FTI    | 11/12/2012       | 9/27/2013 | \$ 219.87      | \$ 161.30  | \$ 58.56              | -                               | \$ 58.56                 |
| 3.97711 | DRC    | 3/18/2013        | 4/24/2013 | \$ 224.82      | \$ 239.86  | \$ (15.04)            | -                               | \$ (15.04)               |
| 3.97768 | IWD    | 12/4/2012        | 1/25/2013 | \$ 308.31      | \$ 283.97  | \$ 24.34              | -                               | \$ 24.34                 |
| 3.98075 | EWT    | 7/17/2012        | 3/20/2013 | \$ 52.51       | \$ 47.60   | \$ 4.91               | -                               | \$ 4.91                  |
| 3.98228 | IEX    | 3/18/2013        | 9/27/2013 | \$ 258.25      | \$ 211.30  | \$ 46.95              | -                               | \$ 46.95                 |
| 3.98249 | ROL    | 9/3/2013         | 9/27/2013 | \$ 105.82      | \$ 98.69   | \$ 7.13               | -                               | \$ 7.13                  |
| 3.98785 | ABT    | 11/12/2012       | 9/27/2013 | \$ 132.00      | \$ 124.48  | \$ 7.51               | -                               | \$ 7.51                  |
| 3.98786 | ABV    | 11/12/2012       | 9/27/2013 | \$ 176.70      | \$ 134.99  | \$ 41.71              | -                               | \$ 41.71                 |
| 3.98955 | TUR    | 2/20/2013        | 3/20/2013 | \$ 271.85      | \$ 266.16  | \$ 5.69               | -                               | \$ 5.69                  |
| 3.99290 | IWD    | 12/4/2012        | 2/7/2013  | \$ 310.93      | \$ 285.05  | \$ 25.88              | -                               | \$ 25.88                 |
| 3.99775 | IWF    | 5/15/2013        | 7/18/2013 | \$ 306.35      | \$ 303.29  | \$ 3.06               | -                               | \$ 3.06                  |
| 3.99804 | UNM    | 9/6/2013         | 9/27/2013 | \$ 122.66      | \$ 121.58  | \$ 1.08               | -                               | \$ 1.08                  |
| 4.00088 | EPU    | 2/20/2013        | 3/20/2013 | \$ 173.50      | \$ 178.92  | \$ (5.42)             | -                               | \$ (5.42)                |
| 4.02647 | LLTC   | 3/18/2013        | 9/27/2013 | \$ 159.45      | \$ 150.57  | \$ 8.88               | -                               | \$ 8.88                  |
| 4.03494 | BXS    | 3/18/2013        | 9/27/2013 | \$ 80.82       | \$ 64.66   | \$ 16.16              | -                               | \$ 16.16                 |
| 4.03546 | VAR    | 10/15/2012       | 6/20/2013 | \$ 271.22      | \$ 234.70  | \$ 36.52              | -                               | \$ 36.52                 |
| 4.05871 | MOLX   | 3/18/2013        | 9/17/2013 | \$ 156.79      | \$ 115.86  | \$ 40.94              | -                               | \$ 40.94                 |
| 4.06170 | DNR    | 3/14/2013        | 9/27/2013 | \$ 75.35       | \$ 75.25   | \$ 0.10               | -                               | \$ 0.10                  |
| 4.06832 | EAT    | 2/11/2013        | 6/10/2013 | \$ 168.07      | \$ 135.79  | \$ 32.28              | -                               | \$ 32.28                 |
| 4.06918 | DHR    | 11/12/2012       | 9/27/2013 | \$ 284.03      | \$ 211.82  | \$ 72.21              | -                               | \$ 72.21                 |
| 4.07164 | IGT    | 3/6/2013         | 9/27/2013 | \$ 79.24       | \$ 68.84   | \$ 10.40              | -                               | \$ 10.40                 |
| 4.08368 | CCK    | 6/6/2012         | 3/14/2013 | \$ 162.86      | \$ 136.60  | \$ 26.27              | -                               | \$ 26.27                 |
| 4.08896 | GILD   | 11/12/2012       | 9/27/2013 | \$ 259.32      | \$ 148.82  | \$ 110.50             | -                               | \$ 110.50                |
| 4.10265 | JOSB   | 5/8/2013         | 9/27/2013 | \$ 181.38      | \$ 185.29  | \$ (3.91)             | -                               | \$ (3.91)                |
| 4.10787 | TXN    | 6/6/2012         | 4/8/2013  | \$ 142.84      | \$ 117.07  | \$ 25.77              | -                               | \$ 25.77                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 4.10998 | ORCL   | 1/15/2013        | 9/27/2013 | \$ 138.71      | \$ 142.36  | \$ (3.65)             | -                               | \$ (3.65)                |
| 4.11461 | HI     | 6/10/2013        | 9/27/2013 | \$ 112.58      | \$ 99.35   | \$ 13.23              | -                               | \$ 13.23                 |
| 4.11742 | TJX    | 11/12/2012       | 9/27/2013 | \$ 232.39      | \$ 167.95  | \$ 64.44              | -                               | \$ 64.44                 |
| 4.12031 | ACWI   | 1/15/2013        | 6/13/2013 | \$ 211.53      | \$ 202.29  | \$ 9.24               | -                               | \$ 9.24                  |
| 4.13711 | CLI    | 1/28/2013        | 7/31/2013 | \$ 98.95       | \$ 112.60  | \$ (13.64)            | -                               | \$ (13.64)               |
| 4.14754 | G      | 8/14/2013        | 9/27/2013 | \$ 79.26       | \$ 80.63   | \$ (1.37)             | -                               | \$ (1.37)                |
| 4.16715 | SHY    | 1/11/2013        | 3/27/2013 | \$ 351.87      | \$ 351.86  | \$ 0.01               | -                               | \$ 0.01                  |
| 4.16913 | DBC    | 3/19/2013        | 9/27/2013 | \$ 108.06      | \$ 113.52  | \$ (5.45)             | -                               | \$ (5.45)                |
| 4.19191 | IWF    | 5/15/2013        | 6/21/2013 | \$ 302.85      | \$ 318.02  | \$ (15.17)            | -                               | \$ (15.17)               |
| 4.19282 | BLK    | 10/15/2012       | 9/27/2013 | \$ 1,134.93    | \$ 786.47  | \$ 348.46             | -                               | \$ 348.46                |
| 4.20309 | IWM    | 9/13/2013        | 9/27/2013 | \$ 448.22      | \$ 439.98  | \$ 8.24               | -                               | \$ 8.24                  |
| 4.20362 | ATMI   | 5/8/2013         | 9/27/2013 | \$ 110.98      | \$ 92.42   | \$ 18.56              | -                               | \$ 18.56                 |
| 4.20558 | HBI    | 3/18/2013        | 9/27/2013 | \$ 264.24      | \$ 173.88  | \$ 90.36              | -                               | \$ 90.36                 |
| 4.21010 | RSI    | 9/6/2013         | 9/27/2013 | \$ 141.84      | \$ 136.28  | \$ 5.56               | -                               | \$ 5.56                  |
| 4.21283 | HI     | 9/6/2013         | 9/27/2013 | \$ 115.26      | \$ 106.50  | \$ 8.76               | -                               | \$ 8.76                  |
| 4.21518 | NLS    | 9/6/2013         | 9/27/2013 | \$ 30.06       | \$ 27.44   | \$ 2.62               | -                               | \$ 2.62                  |
| 4.21746 | SHOO   | 5/8/2013         | 9/27/2013 | \$ 224.58      | \$ 205.87  | \$ 18.71              | -                               | \$ 18.71                 |
| 4.22513 | COLB   | 9/6/2013         | 9/27/2013 | \$ 104.28      | \$ 100.09  | \$ 4.19               | -                               | \$ 4.19                  |
| 4.22617 | IWD    | 7/16/2013        | 9/27/2013 | \$ 366.29      | \$ 366.79  | \$ (0.50)             | -                               | \$ (0.50)                |
| 4.22867 | DF     | 3/18/2013        | 5/29/2013 | \$ 44.59       | \$ 42.53   | \$ 2.06               | -                               | \$ 2.06                  |
| 4.23853 | EOG    | 10/15/2012       | 1/8/2013  | \$ 524.59      | \$ 464.29  | \$ 60.29              | -                               | \$ 60.29                 |
| 4.25511 | IWF    | 1/8/2013         | 3/7/2013  | \$ 299.22      | \$ 284.03  | \$ 15.19              | -                               | \$ 15.19                 |
| 4.27676 | DVA    | 11/12/2012       | 9/27/2013 | \$ 243.73      | \$ 241.15  | \$ 2.59               | -                               | \$ 2.59                  |
| 4.28725 | CCK    | 5/8/2013         | 9/27/2013 | \$ 182.81      | \$ 187.73  | \$ (4.92)             | -                               | \$ (4.92)                |
| 4.28750 | DY     | 9/6/2013         | 9/27/2013 | \$ 118.42      | \$ 112.93  | \$ 5.49               | -                               | \$ 5.49                  |
| 4.28756 | MSFT   | 6/6/2012         | 3/13/2013 | \$ 119.44      | \$ 124.55  | \$ (5.11)             | -                               | \$ (5.11)                |
| 4.30745 | CQB    | 9/6/2013         | 9/27/2013 | \$ 55.78       | \$ 57.03   | \$ (1.25)             | -                               | \$ (1.25)                |
| 4.31001 | IWD    | 4/29/2013        | 7/25/2013 | \$ 377.81      | \$ 354.54  | \$ 23.27              | -                               | \$ 23.27                 |
| 4.32860 | SAVE   | 8/1/2012         | 5/2/2013  | \$ 118.81      | \$ 88.08   | \$ 30.73              | -                               | \$ 30.73                 |
| 4.33502 | ROL    | 9/6/2013         | 9/27/2013 | \$ 115.18      | \$ 108.46  | \$ 6.72               | -                               | \$ 6.72                  |
| 4.34034 | PTEN   | 4/25/2013        | 9/27/2013 | \$ 95.05       | \$ 96.23   | \$ (1.17)             | -                               | \$ (1.17)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 4.34855 | IWF    | 11/2/2012        | 1/9/2013  | \$ 292.23      | \$ 284.96   | \$ 7.27               | -                               | \$ 7.27                  |
| 4.35514 | HCC    | 3/18/2013        | 9/27/2013 | \$ 191.19      | \$ 179.41   | \$ 11.78              | -                               | \$ 11.78                 |
| 4.35770 | PRXL   | 5/8/2013         | 9/27/2013 | \$ 217.89      | \$ 196.26   | \$ 21.63              | -                               | \$ 21.63                 |
| 4.36600 | TEX    | 5/8/2013         | 9/27/2013 | \$ 148.14      | \$ 139.17   | \$ 8.97               | -                               | \$ 8.97                  |
| 4.37213 | IWD    | 5/8/2013         | 9/16/2013 | \$ 384.70      | \$ 367.56   | \$ 17.14              | -                               | \$ 17.14                 |
| 4.37376 | AMAG   | 9/6/2013         | 9/27/2013 | \$ 95.18       | \$ 109.86   | \$ (14.68)            | -                               | \$ (14.68)               |
| 4.37972 | SCMR   | 6/6/2012         | 2/15/2013 | \$ 10.23       | \$ 3.58     | \$ 6.64               | -                               | \$ 6.64                  |
| 4.38213 | MUNI   | 8/1/2013         | 9/27/2013 | \$ 228.66      | \$ 226.60   | \$ 2.06               | -                               | \$ 2.06                  |
| 4.38782 | UTX    | 5/8/2013         | 9/27/2013 | \$ 479.78      | \$ 412.40   | \$ 67.38              | -                               | \$ 67.38                 |
| 4.39441 | HXL    | 9/6/2013         | 9/27/2013 | \$ 169.84      | \$ 161.14   | \$ 8.70               | -                               | \$ 8.70                  |
| 4.39619 | IWD    | 4/8/2013         | 6/20/2013 | \$ 363.57      | \$ 353.53   | \$ 10.04              | -                               | \$ 10.04                 |
| 4.40265 | SHFL   | 9/6/2013         | 9/27/2013 | \$ 101.13      | \$ 100.38   | \$ 0.75               | -                               | \$ 0.75                  |
| 4.40785 | WWAV   | 9/6/2013         | 9/27/2013 | \$ 88.29       | \$ 87.76    | \$ 0.53               | -                               | \$ 0.53                  |
| 4.41122 | EWN    | 8/10/2012        | 1/8/2013  | \$ 90.52       | \$ 78.51    | \$ 12.00              | -                               | \$ 12.00                 |
| 4.41736 | AMAT   | 3/6/2013         | 9/27/2013 | \$ 77.88       | \$ 61.21    | \$ 16.67              | -                               | \$ 16.67                 |
| 4.42045 | SHFL   | 8/14/2013        | 9/27/2013 | \$ 101.54      | \$ 100.76   | \$ 0.77               | -                               | \$ 0.77                  |
| 4.42656 | CTL    | 11/12/2012       | 9/27/2013 | \$ 140.72      | \$ 172.39   | \$ (31.67)            | -                               | \$ (31.67)               |
| 4.42880 | LH     | 5/8/2013         | 7/16/2013 | \$ 430.96      | \$ 415.95   | \$ 15.01              | -                               | \$ 15.01                 |
| 4.43028 | ECL    | 10/15/2012       | 9/27/2013 | \$ 435.06      | \$ 301.90   | \$ 133.15             | -                               | \$ 133.15                |
| 4.43466 | AET    | 11/12/2012       | 9/27/2013 | \$ 285.55      | \$ 186.61   | \$ 98.94              | -                               | \$ 98.94                 |
| 4.43584 | AAPL   | 3/18/2013        | 9/27/2013 | \$ 2,135.58    | \$ 2,025.43 | \$ 110.15             | -                               | \$ 110.15                |
| 4.43734 | CNL    | 3/18/2013        | 9/27/2013 | \$ 199.59      | \$ 199.37   | \$ 0.22               | -                               | \$ 0.22                  |
| 4.43791 | MON    | 6/20/2013        | 9/27/2013 | \$ 469.22      | \$ 465.40   | \$ 3.82               | -                               | \$ 3.82                  |
| 4.44400 | PEGA   | 9/6/2013         | 9/27/2013 | \$ 174.92      | \$ 166.02   | \$ 8.90               | -                               | \$ 8.90                  |
| 4.45281 | EWL    | 1/15/2013        | 3/20/2013 | \$ 131.49      | \$ 123.42   | \$ 8.07               | -                               | \$ 8.07                  |
| 4.45967 | TPLM   | 3/26/2013        | 6/20/2013 | \$ 32.09       | \$ 29.94    | \$ 2.15               | -                               | \$ 2.15                  |
| 4.46090 | FIS    | 11/12/2012       | 9/27/2013 | \$ 207.08      | \$ 154.95   | \$ 52.13              | -                               | \$ 52.13                 |
| 4.46584 | DSX    | 5/8/2013         | 9/27/2013 | \$ 54.04       | \$ 43.22    | \$ 10.82              | -                               | \$ 10.82                 |
| 4.46607 | GCI    | 9/6/2013         | 9/27/2013 | \$ 119.29      | \$ 110.40   | \$ 8.89               | -                               | \$ 8.89                  |
| 4.47733 | CCMP   | 12/10/2012       | 9/27/2013 | \$ 173.14      | \$ 151.49   | \$ 21.65              | -                               | \$ 21.65                 |
| 4.48571 | STR    | 3/18/2013        | 6/10/2013 | \$ 109.15      | \$ 105.66   | \$ 3.49               | -                               | \$ 3.49                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 4.49517 | FXI    | 9/10/2012        | 3/20/2013 | \$ 166.95      | \$ 149.54   | \$ 17.41              | -                               | \$ 17.41                 |
| 4.49925 | SIM    | 5/8/2013         | 9/27/2013 | \$ 472.07      | \$ 466.64   | \$ 5.43               | -                               | \$ 5.43                  |
| 4.50894 | MON    | 9/6/2013         | 9/27/2013 | \$ 476.73      | \$ 455.84   | \$ 20.89              | -                               | \$ 20.89                 |
| 4.50958 | AMAT   | 1/15/2013        | 9/27/2013 | \$ 79.50       | \$ 53.14    | \$ 26.36              | -                               | \$ 26.36                 |
| 4.51023 | BHLB   | 3/18/2013        | 9/27/2013 | \$ 113.12      | \$ 113.50   | \$ (0.38)             | -                               | \$ (0.38)                |
| 4.51254 | IWR    | 6/3/2013         | 9/27/2013 | \$ 629.32      | \$ 590.61   | \$ 38.71              | -                               | \$ 38.71                 |
| 4.51828 | WWAV   | 9/6/2013         | 9/27/2013 | \$ 90.50       | \$ 90.32    | \$ 0.18               | -                               | \$ 0.18                  |
| 4.52069 | WEC    | 11/12/2012       | 9/27/2013 | \$ 181.87      | \$ 164.39   | \$ 17.47              | -                               | \$ 17.47                 |
| 4.52102 | EWU    | 11/5/2012        | 3/20/2013 | \$ 82.55       | \$ 78.82    | \$ 3.73               | -                               | \$ 3.73                  |
| 4.52538 | GILD   | 11/12/2012       | 9/27/2013 | \$ 287.00      | \$ 164.70   | \$ 122.30             | -                               | \$ 122.30                |
| 4.52552 | LNKD   | 5/8/2013         | 9/27/2013 | \$ 1,116.91    | \$ 820.54   | \$ 296.37             | -                               | \$ 296.37                |
| 4.52790 | MRC    | 8/6/2013         | 9/27/2013 | \$ 122.30      | \$ 111.43   | \$ 10.87              | -                               | \$ 10.87                 |
| 4.52836 | IWD    | 12/3/2012        | 1/25/2013 | \$ 349.91      | \$ 324.54   | \$ 25.36              | -                               | \$ 25.36                 |
| 4.52985 | AET    | 5/7/2013         | 5/14/2013 | \$ 269.03      | \$ 265.86   | \$ 3.17               | -                               | \$ 3.17                  |
| 4.53235 | IWM    | 9/9/2013         | 9/27/2013 | \$ 483.33      | \$ 468.28   | \$ 15.05              | -                               | \$ 15.05                 |
| 4.53326 | ANN    | 3/18/2013        | 9/27/2013 | \$ 164.69      | \$ 140.01   | \$ 24.69              | -                               | \$ 24.69                 |
| 4.53416 | CSGS   | 9/6/2013         | 9/27/2013 | \$ 113.17      | \$ 106.46   | \$ 6.71               | -                               | \$ 6.71                  |
| 4.54541 | ALXN   | 12/14/2012       | 4/24/2013 | \$ 407.78      | \$ 429.87   | \$ (22.09)            | -                               | \$ (22.09)               |
| 4.54969 | HCC    | 9/6/2013         | 9/27/2013 | \$ 199.73      | \$ 194.18   | \$ 5.55               | -                               | \$ 5.55                  |
| 4.55036 | JDSU   | 4/24/2013        | 9/27/2013 | \$ 66.53       | \$ 59.97    | \$ 6.56               | -                               | \$ 6.56                  |
| 4.58113 | JACK   | 3/18/2013        | 9/27/2013 | \$ 184.03      | \$ 154.43   | \$ 29.60              | -                               | \$ 29.60                 |
| 4.58351 | BLK    | 5/8/2013         | 9/27/2013 | \$ 1,240.68    | \$ 1,261.10 | \$ (20.42)            | -                               | \$ (20.42)               |
| 4.59255 | LKQ    | 5/8/2013         | 9/27/2013 | \$ 144.80      | \$ 114.06   | \$ 30.74              | -                               | \$ 30.74                 |
| 4.59702 | AAPL   | 9/6/2013         | 9/27/2013 | \$ 2,213.17    | \$ 2,284.51 | \$ (71.33)            | -                               | \$ (71.33)               |
| 4.61262 | SHY    | 7/18/2012        | 1/17/2013 | \$ 389.41      | \$ 389.65   | \$ (0.24)             | -                               | \$ (0.24)                |
| 4.63672 | FBHS   | 2/21/2013        | 9/27/2013 | \$ 193.58      | \$ 152.07   | \$ 41.51              | -                               | \$ 41.51                 |
| 4.63813 | PMT    | 3/18/2013        | 9/27/2013 | \$ 105.70      | \$ 117.60   | \$ (11.90)            | -                               | \$ (11.90)               |
| 4.63889 | FAST   | 12/26/2012       | 9/27/2013 | \$ 231.11      | \$ 214.03   | \$ 17.08              | -                               | \$ 17.08                 |
| 4.63893 | SBH    | 9/6/2013         | 9/27/2013 | \$ 122.19      | \$ 118.43   | \$ 3.76               | -                               | \$ 3.76                  |
| 4.64474 | JNK    | 1/11/2013        | 6/13/2013 | \$ 186.16      | \$ 191.21   | \$ (5.05)             | -                               | \$ (5.05)                |
| 4.64555 | AVT    | 5/8/2013         | 9/27/2013 | \$ 194.14      | \$ 152.68   | \$ 41.46              | -                               | \$ 41.46                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 4.64573 | KORS   | 4/25/2013        | 9/27/2013 | \$ 346.53      | \$ 259.35   | \$ 87.17              | -                               | \$ 87.17                 |
| 4.64970 | CME    | 12/18/2012       | 9/27/2013 | \$ 348.87      | \$ 243.11   | \$ 105.76             | -                               | \$ 105.76                |
| 4.65031 | ES     | 11/12/2012       | 3/22/2013 | \$ 17.34       | \$ 15.42    | \$ 1.92               | -                               | \$ 1.92                  |
| 4.65777 | DBC    | 7/18/2012        | 5/6/2013  | \$ 123.21      | \$ 126.40   | \$ (3.19)             | -                               | \$ (3.19)                |
| 4.65841 | DBC    | 12/4/2012        | 5/28/2013 | \$ 122.80      | \$ 130.43   | \$ (7.63)             | -                               | \$ (7.63)                |
| 4.67340 | GCI    | 8/14/2013        | 9/27/2013 | \$ 124.83      | \$ 119.90   | \$ 4.92               | -                               | \$ 4.92                  |
| 4.67959 | AGCO   | 10/10/2012       | 6/3/2013  | \$ 259.61      | \$ 215.25   | \$ 44.36              | -                               | \$ 44.36                 |
| 4.68717 | PEGA   | 5/8/2013         | 9/27/2013 | \$ 184.49      | \$ 126.85   | \$ 57.64              | -                               | \$ 57.64                 |
| 4.69166 | PSP    | 1/8/2013         | 9/4/2013  | \$ 53.65       | \$ 48.41    | \$ 5.24               | -                               | \$ 5.24                  |
| 4.69232 | BGG    | 9/6/2013         | 9/27/2013 | \$ 95.25       | \$ 90.23    | \$ 5.02               | -                               | \$ 5.02                  |
| 4.69720 | SAVE   | 5/8/2013         | 9/27/2013 | \$ 162.10      | \$ 140.37   | \$ 21.73              | -                               | \$ 21.73                 |
| 4.69793 | LTM    | 3/18/2013        | 6/3/2013  | \$ 232.59      | \$ 205.84   | \$ 26.75              | -                               | \$ 26.75                 |
| 4.69827 | PSP    | 7/5/2013         | 9/27/2013 | \$ 54.16       | \$ 51.40    | \$ 2.76               | -                               | \$ 2.76                  |
| 4.70429 | EAT    | 3/18/2013        | 8/6/2013  | \$ 201.02      | \$ 167.78   | \$ 33.24              | -                               | \$ 33.24                 |
| 4.70841 | ATMI   | 9/6/2013         | 9/27/2013 | \$ 124.30      | \$ 116.99   | \$ 7.31               | -                               | \$ 7.31                  |
| 4.70923 | CELG   | 10/15/2012       | 4/24/2013 | \$ 572.52      | \$ 366.35   | \$ 206.17             | -                               | \$ 206.17                |
| 4.71333 | COLB   | 5/8/2013         | 9/27/2013 | \$ 116.33      | \$ 101.58   | \$ 14.75              | -                               | \$ 14.75                 |
| 4.71575 | JNK    | 9/6/2013         | 9/27/2013 | \$ 188.11      | \$ 186.06   | \$ 2.05               | -                               | \$ 2.05                  |
| 4.71619 | IWD    | 4/8/2013         | 6/11/2013 | \$ 400.82      | \$ 379.26   | \$ 21.56              | -                               | \$ 21.56                 |
| 4.71838 | YUM    | 6/6/2012         | 4/25/2013 | \$ 319.25      | \$ 315.16   | \$ 4.09               | -                               | \$ 4.09                  |
| 4.72294 | SAVE   | 9/6/2013         | 9/27/2013 | \$ 162.99      | \$ 147.40   | \$ 15.59              | -                               | \$ 15.59                 |
| 4.73383 | SCHW   | 2/20/2013        | 9/27/2013 | \$ 100.12      | \$ 80.12    | \$ 20.00              | -                               | \$ 20.00                 |
| 4.73439 | BERY   | 10/9/2012        | 2/5/2013  | \$ 86.61       | \$ 69.63    | \$ 16.98              | -                               | \$ 16.98                 |
| 4.73965 | AIT    | 3/18/2013        | 9/27/2013 | \$ 243.19      | \$ 214.28   | \$ 28.91              | -                               | \$ 28.91                 |
| 4.74670 | A      | 11/12/2012       | 9/27/2013 | \$ 244.93      | \$ 175.06   | \$ 69.87              | -                               | \$ 69.87                 |
| 4.74869 | RSR    | 3/18/2013        | 9/27/2013 | \$ 159.98      | \$ 152.22   | \$ 7.76               | -                               | \$ 7.76                  |
| 4.75274 | JNK    | 1/8/2013         | 6/13/2013 | \$ 190.49      | \$ 195.05   | \$ (4.56)             | -                               | \$ (4.56)                |
| 4.75438 | EWZ    | 11/12/2012       | 3/20/2013 | \$ 261.30      | \$ 250.11   | \$ 11.19              | -                               | \$ 11.19                 |
| 4.76637 | TTEC   | 9/6/2013         | 9/27/2013 | \$ 119.78      | \$ 112.77   | \$ 7.01               | -                               | \$ 7.01                  |
| 4.77310 | AAPL   | 5/8/2013         | 9/27/2013 | \$ 2,297.95    | \$ 2,215.87 | \$ 82.08              | -                               | \$ 82.08                 |
| 4.78453 | CACHR  | 6/6/2012         | 4/19/2013 | \$ 8.96        | \$ 15.14    | \$ (6.17)             | -                               | \$ (6.17)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 4.78943 | SNPS   | 3/18/2013        | 9/27/2013 | \$ 180.42      | \$ 167.75  | \$ 12.67              | -                               | \$ 12.67                 |
| 4.79778 | SIX    | 9/26/2013        | 9/27/2013 | \$ 164.27      | \$ 165.34  | \$ (1.07)             | -                               | \$ (1.07)                |
| 4.81404 | EVHC   | 9/6/2013         | 9/27/2013 | \$ 126.90      | \$ 129.40  | \$ (2.50)             | -                               | \$ (2.50)                |
| 4.81819 | IWM    | 9/9/2013         | 9/27/2013 | \$ 513.81      | \$ 497.82  | \$ 16.00              | -                               | \$ 16.00                 |
| 4.82041 | MRC    | 5/8/2013         | 9/27/2013 | \$ 130.20      | \$ 152.98  | \$ (22.78)            | -                               | \$ (22.78)               |
| 4.82052 | USPH   | 6/6/2012         | 4/29/2013 | \$ 114.56      | \$ 115.68  | \$ (1.12)             | -                               | \$ (1.12)                |
| 4.82074 | KORS   | 11/12/2012       | 9/27/2013 | \$ 359.58      | \$ 245.16  | \$ 114.42             | -                               | \$ 114.42                |
| 4.82532 | VOLC   | 3/18/2013        | 9/27/2013 | \$ 113.44      | \$ 107.46  | \$ 5.99               | -                               | \$ 5.99                  |
| 4.84110 | SBH    | 3/18/2013        | 9/27/2013 | \$ 127.52      | \$ 139.69  | \$ (12.17)            | -                               | \$ (12.17)               |
| 4.84236 | DLPH   | 5/8/2013         | 9/27/2013 | \$ 282.41      | \$ 224.79  | \$ 57.62              | -                               | \$ 57.62                 |
| 4.84748 | KAR    | 5/8/2013         | 9/27/2013 | \$ 134.15      | \$ 114.35  | \$ 19.80              | -                               | \$ 19.80                 |
| 4.85356 | QCOM   | 11/12/2012       | 9/27/2013 | \$ 326.41      | \$ 297.70  | \$ 28.71              | -                               | \$ 28.71                 |
| 4.87002 | V      | 5/8/2013         | 9/27/2013 | \$ 939.24      | \$ 868.35  | \$ 70.89              | -                               | \$ 70.89                 |
| 4.87018 | EPU    | 12/6/2012        | 3/20/2013 | \$ 211.20      | \$ 213.36  | \$ (2.17)             | -                               | \$ (2.17)                |
| 4.87208 | SPLS   | 3/6/2013         | 9/27/2013 | \$ 71.10       | \$ 60.53   | \$ 10.57              | -                               | \$ 10.57                 |
| 4.87210 | EAT    | 5/8/2013         | 8/6/2013  | \$ 208.19      | \$ 195.71  | \$ 12.48              | -                               | \$ 12.48                 |
| 4.89854 | ESRX   | 10/15/2012       | 6/20/2013 | \$ 301.23      | \$ 312.17  | \$ (10.94)            | -                               | \$ (10.94)               |
| 4.90184 | CTSH   | 4/24/2013        | 9/27/2013 | \$ 405.43      | \$ 312.01  | \$ 93.42              | -                               | \$ 93.42                 |
| 4.91006 | RTI    | 3/18/2013        | 9/27/2013 | \$ 155.75      | \$ 155.33  | \$ 0.42               | -                               | \$ 0.42                  |
| 4.91134 | DBC    | 1/8/2013         | 6/13/2013 | \$ 127.59      | \$ 135.30  | \$ (7.70)             | -                               | \$ (7.70)                |
| 4.91244 | SBH    | 8/6/2013         | 9/27/2013 | \$ 129.39      | \$ 132.74  | \$ (3.35)             | -                               | \$ (3.35)                |
| 4.93086 | DF     | 4/24/2013        | 5/29/2013 | \$ 51.99       | \$ 50.32   | \$ 1.67               | -                               | \$ 1.67                  |
| 4.94115 | DF     | 5/8/2013         | 5/29/2013 | \$ 52.10       | \$ 49.97   | \$ 2.13               | -                               | \$ 2.13                  |
| 4.94366 | EOG    | 5/8/2013         | 9/27/2013 | \$ 845.72      | \$ 677.46  | \$ 168.26             | -                               | \$ 168.26                |
| 4.94435 | AVT    | 2/5/2013         | 9/27/2013 | \$ 206.62      | \$ 179.19  | \$ 27.43              | -                               | \$ 27.43                 |
| 4.94983 | EW     | 12/14/2012       | 9/26/2013 | \$ 337.05      | \$ 452.01  | \$ (114.96)           | -                               | \$ (114.96)              |
| 4.95307 | DNR    | 12/17/2012       | 9/27/2013 | \$ 91.88       | \$ 79.19   | \$ 12.69              | -                               | \$ 12.69                 |
| 4.95489 | MXWL   | 9/6/2013         | 9/27/2013 | \$ 45.54       | \$ 44.64   | \$ 0.90               | -                               | \$ 0.90                  |
| 4.97394 | AMAG   | 5/8/2013         | 9/27/2013 | \$ 108.24      | \$ 120.16  | \$ (11.92)            | -                               | \$ (11.92)               |
| 4.97430 | EWN    | 7/6/2012         | 1/8/2013  | \$ 102.07      | \$ 82.57   | \$ 19.50              | -                               | \$ 19.50                 |
| 4.98118 | MRC    | 9/6/2013         | 9/27/2013 | \$ 134.54      | \$ 130.90  | \$ 3.64               | -                               | \$ 3.64                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 5.00100 | BIIB   | 10/15/2012       | 6/20/2013 | \$ 999.87      | \$ 744.45  | \$ 255.42             | \$ -                            | \$ 255.42                |
| 5.00296 | SCHW   | 3/6/2013         | 9/27/2013 | \$ 105.81      | \$ 85.98   | \$ 19.83              | \$ -                            | \$ 19.83                 |
| 5.00558 | ROL    | 3/18/2013        | 9/27/2013 | \$ 133.00      | \$ 121.06  | \$ 11.94              | \$ -                            | \$ 11.94                 |
| 5.02933 | IDTI   | 12/27/2012       | 9/27/2013 | \$ 47.13       | \$ 35.58   | \$ 11.54              | \$ -                            | \$ 11.54                 |
| 5.04143 | MMC    | 1/25/2013        | 9/27/2013 | \$ 220.97      | \$ 176.78  | \$ 44.19              | \$ -                            | \$ 44.19                 |
| 5.04394 | CHKP   | 2/13/2013        | 9/27/2013 | \$ 285.74      | \$ 258.52  | \$ 27.22              | \$ -                            | \$ 27.22                 |
| 5.05166 | IWF    | 2/15/2013        | 4/24/2013 | \$ 362.92      | \$ 349.95  | \$ 12.97              | \$ -                            | \$ 12.97                 |
| 5.06106 | GILD   | 1/8/2013         | 9/27/2013 | \$ 320.97      | \$ 196.83  | \$ 124.14             | \$ -                            | \$ 124.14                |
| 5.06255 | V      | 10/15/2012       | 9/27/2013 | \$ 976.37      | \$ 708.97  | \$ 267.40             | \$ -                            | \$ 267.40                |
| 5.06275 | FXI    | 7/17/2012        | 3/20/2013 | \$ 188.03      | \$ 167.92  | \$ 20.11              | \$ -                            | \$ 20.11                 |
| 5.06355 | YUM    | 6/6/2012         | 5/15/2013 | \$ 355.39      | \$ 338.21  | \$ 17.17              | \$ -                            | \$ 17.17                 |
| 5.07022 | ARW    | 3/18/2013        | 9/27/2013 | \$ 244.34      | \$ 208.31  | \$ 36.03              | \$ -                            | \$ 36.03                 |
| 5.07377 | BC     | 8/30/2013        | 9/27/2013 | \$ 202.29      | \$ 186.13  | \$ 16.16              | \$ -                            | \$ 16.16                 |
| 5.07786 | FOSL   | 6/24/2013        | 9/27/2013 | \$ 593.15      | \$ 501.36  | \$ 91.78              | \$ -                            | \$ 91.78                 |
| 5.08016 | LLNW   | 11/12/2012       | 9/27/2013 | \$ 10.06       | \$ 8.71    | \$ 1.35               | \$ -                            | \$ 1.35                  |
| 5.08713 | CHKP   | 2/14/2013        | 9/27/2013 | \$ 288.19      | \$ 260.53  | \$ 27.66              | \$ -                            | \$ 27.66                 |
| 5.08789 | MLNK   | 9/6/2013         | 9/27/2013 | \$ 13.94       | \$ 14.75   | \$ (0.81)             | \$ -                            | \$ (0.81)                |
| 5.08881 | AET    | 6/6/2012         | 3/13/2013 | \$ 260.69      | \$ 215.86  | \$ 44.84              | \$ -                            | \$ 44.84                 |
| 5.09160 | EA     | 11/12/2012       | 9/27/2013 | \$ 132.54      | \$ 65.76   | \$ 66.78              | \$ -                            | \$ 66.78                 |
| 5.09464 | IWD    | 4/8/2013         | 5/2/2013  | \$ 418.28      | \$ 409.70  | \$ 8.59               | \$ -                            | \$ 8.59                  |
| 5.09554 | SEE    | 5/1/2013         | 9/27/2013 | \$ 140.13      | \$ 112.24  | \$ 27.89              | \$ -                            | \$ 27.89                 |
| 5.10515 | AVT    | 9/6/2013         | 9/27/2013 | \$ 213.34      | \$ 201.55  | \$ 11.79              | \$ -                            | \$ 11.79                 |
| 5.11041 | KORS   | 10/1/2012        | 9/6/2013  | \$ 387.57      | \$ 269.00  | \$ 118.58             | \$ -                            | \$ 118.58                |
| 5.12575 | EXPD   | 11/12/2012       | 5/3/2013  | \$ 190.30      | \$ 188.77  | \$ 1.54               | \$ -                            | \$ 1.54                  |
| 5.12679 | SDY    | 9/6/2013         | 9/27/2013 | \$ 354.39      | \$ 347.93  | \$ 6.46               | \$ -                            | \$ 6.46                  |
| 5.13960 | ACWI   | 6/6/2012         | 3/18/2013 | \$ 260.08      | \$ 218.67  | \$ 41.41              | \$ -                            | \$ 41.41                 |
| 5.14194 | BERY   | 9/27/2013        | 9/27/2013 | \$ 103.25      | \$ 99.91   | \$ 3.34               | \$ -                            | \$ 3.34                  |
| 5.15249 | ZQK    | 11/12/2012       | 9/27/2013 | \$ 36.33       | \$ 19.20   | \$ 17.12              | \$ -                            | \$ 17.12                 |
| 5.15870 | TRV    | 10/15/2012       | 4/29/2013 | \$ 438.23      | \$ 358.06  | \$ 80.17              | \$ -                            | \$ 80.17                 |
| 5.16860 | IWF    | 5/15/2013        | 6/18/2013 | \$ 387.54      | \$ 392.12  | \$ (4.57)             | \$ -                            | \$ (4.57)                |
| 5.19947 | ATMI   | 3/18/2013        | 9/27/2013 | \$ 137.27      | \$ 118.73  | \$ 18.54              | \$ -                            | \$ 18.54                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 5.19999 | V      | 9/6/2013         | 9/27/2013 | \$ 1,002.88    | \$ 923.62   | \$ 79.26              | -                               | \$ 79.26                 |
| 5.20225 | DY     | 5/8/2013         | 9/27/2013 | \$ 143.69      | \$ 100.10   | \$ 43.59              | -                               | \$ 43.59                 |
| 5.20664 | ACWI   | 1/15/2013        | 6/20/2013 | \$ 259.88      | \$ 255.62   | \$ 4.25               | -                               | \$ 4.25                  |
| 5.21056 | IWM    | 9/6/2013         | 9/27/2013 | \$ 555.65      | \$ 535.28   | \$ 20.38              | -                               | \$ 20.38                 |
| 5.22952 | LKQ    | 9/6/2013         | 9/27/2013 | \$ 164.89      | \$ 155.42   | \$ 9.47               | -                               | \$ 9.47                  |
| 5.22960 | IWF    | 3/18/2013        | 6/20/2013 | \$ 378.00      | \$ 370.49   | \$ 7.51               | -                               | \$ 7.51                  |
| 5.24481 | SEE    | 3/8/2013         | 9/26/2013 | \$ 143.20      | \$ 118.75   | \$ 24.44              | -                               | \$ 24.44                 |
| 5.25315 | CFN    | 9/6/2013         | 9/27/2013 | \$ 193.74      | \$ 193.00   | \$ 0.74               | -                               | \$ 0.74                  |
| 5.25517 | EWV    | 11/12/2012       | 3/20/2013 | \$ 371.33      | \$ 336.78   | \$ 34.55              | -                               | \$ 34.55                 |
| 5.27387 | VRTX   | 9/6/2013         | 9/27/2013 | \$ 401.98      | \$ 413.41   | \$ (11.43)            | -                               | \$ (11.43)               |
| 5.29018 | RDEN   | 8/15/2013        | 9/27/2013 | \$ 199.55      | \$ 186.63   | \$ 12.92              | -                               | \$ 12.92                 |
| 5.30285 | NVO    | 10/15/2012       | 9/27/2013 | \$ 899.38      | \$ 870.32   | \$ 29.05              | -                               | \$ 29.05                 |
| 5.30366 | JNK    | 9/10/2012        | 5/28/2013 | \$ 218.89      | \$ 213.34   | \$ 5.54               | -                               | \$ 5.54                  |
| 5.33141 | KORS   | 10/15/2012       | 9/10/2013 | \$ 393.20      | \$ 285.23   | \$ 107.97             | -                               | \$ 107.97                |
| 5.33992 | DOX    | 5/8/2013         | 9/27/2013 | \$ 197.79      | \$ 193.08   | \$ 4.71               | -                               | \$ 4.71                  |
| 5.34758 | AGG    | 10/5/2012        | 5/6/2013  | \$ 592.67      | \$ 598.62   | \$ (5.95)             | -                               | \$ (5.95)                |
| 5.34949 | SUSQ   | 3/18/2013        | 9/27/2013 | \$ 67.03       | \$ 66.20    | \$ 0.83               | -                               | \$ 0.83                  |
| 5.36164 | GPC    | 10/15/2012       | 9/27/2013 | \$ 431.04      | \$ 328.86   | \$ 102.18             | -                               | \$ 102.18                |
| 5.36980 | CELG   | 9/6/2013         | 9/27/2013 | \$ 828.14      | \$ 786.59   | \$ 41.55              | -                               | \$ 41.55                 |
| 5.37949 | CSGS   | 5/8/2013         | 9/27/2013 | \$ 134.27      | \$ 114.98   | \$ 19.29              | -                               | \$ 19.29                 |
| 5.37973 | CQB    | 5/8/2013         | 9/27/2013 | \$ 69.67       | \$ 45.34    | \$ 24.33              | -                               | \$ 24.33                 |
| 5.38445 | LVS    | 11/12/2012       | 8/27/2013 | \$ 296.48      | \$ 232.40   | \$ 64.08              | -                               | \$ 64.08                 |
| 5.38634 | BGG    | 5/8/2013         | 9/27/2013 | \$ 109.34      | \$ 122.84   | \$ (13.50)            | -                               | \$ (13.50)               |
| 5.40199 | AMZN   | 3/18/2013        | 9/27/2013 | \$ 1,703.54    | \$ 1,399.55 | \$ 304.00             | -                               | \$ 304.00                |
| 5.40381 | TTEC   | 5/8/2013         | 9/27/2013 | \$ 135.80      | \$ 117.65   | \$ 18.15              | -                               | \$ 18.15                 |
| 5.42893 | DBV    | 7/5/2013         | 9/27/2013 | \$ 138.83      | \$ 138.22   | \$ 0.61               | -                               | \$ 0.61                  |
| 5.42996 | PMT    | 9/6/2013         | 9/27/2013 | \$ 123.75      | \$ 112.67   | \$ 11.08              | -                               | \$ 11.08                 |
| 5.43363 | CROX   | 12/19/2012       | 9/27/2013 | \$ 74.12       | \$ 76.89    | \$ (2.78)             | -                               | \$ (2.78)                |
| 5.44499 | IWD    | 5/8/2013         | 9/16/2013 | \$ 479.52      | \$ 457.75   | \$ 21.77              | -                               | \$ 21.77                 |
| 5.45032 | EL     | 3/18/2013        | 8/13/2013 | \$ 360.36      | \$ 341.35   | \$ 19.00              | -                               | \$ 19.00                 |
| 5.45231 | DF     | 2/28/2013        | 5/29/2013 | \$ 57.49       | \$ 48.92    | \$ 8.57               | -                               | \$ 8.57                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 5.45572 | BECN   | 5/8/2013         | 9/27/2013 | \$ 203.01      | \$ 206.79   | \$ (3.78)             | -                               | \$ (3.78)                |
| 5.45991 | CFN    | 6/6/2012         | 6/3/2013  | \$ 202.35      | \$ 133.76   | \$ 68.59              | -                               | \$ 68.59                 |
| 5.46591 | DBV    | 1/8/2013         | 6/13/2013 | \$ 140.31      | \$ 144.72   | \$ (4.41)             | -                               | \$ (4.41)                |
| 5.47313 | QAI    | 8/7/2013         | 9/27/2013 | \$ 156.53      | \$ 154.62   | \$ 1.92               | -                               | \$ 1.92                  |
| 5.47560 | AGN    | 9/6/2013         | 9/27/2013 | \$ 497.95      | \$ 490.91   | \$ 7.04               | -                               | \$ 7.04                  |
| 5.47707 | SCVL   | 9/6/2013         | 9/27/2013 | \$ 147.56      | \$ 143.22   | \$ 4.34               | -                               | \$ 4.34                  |
| 5.47740 | ALXN   | 5/8/2013         | 9/27/2013 | \$ 639.49      | \$ 536.06   | \$ 103.43             | -                               | \$ 103.43                |
| 5.48916 | STR    | 3/18/2013        | 9/27/2013 | \$ 123.18      | \$ 129.30   | \$ (6.12)             | -                               | \$ (6.12)                |
| 5.49010 | EWA    | 8/10/2012        | 3/20/2013 | \$ 147.74      | \$ 129.62   | \$ 18.12              | -                               | \$ 18.12                 |
| 5.51203 | DSX    | 3/18/2013        | 9/27/2013 | \$ 66.70       | \$ 49.25    | \$ 17.45              | -                               | \$ 17.45                 |
| 5.51950 | TEX    | 3/18/2013        | 9/27/2013 | \$ 187.28      | \$ 197.35   | \$ (10.07)            | -                               | \$ (10.07)               |
| 5.54813 | PRGO   | 5/8/2013         | 9/27/2013 | \$ 686.03      | \$ 664.44   | \$ 21.59              | -                               | \$ 21.59                 |
| 5.57131 | EIS    | 2/20/2013        | 3/20/2013 | \$ 250.28      | \$ 250.63   | \$ (0.36)             | -                               | \$ (0.36)                |
| 5.57415 | MELI   | 8/13/2013        | 9/27/2013 | \$ 754.60      | \$ 722.76   | \$ 31.84              | -                               | \$ 31.84                 |
| 5.57430 | CVS    | 4/8/2013         | 9/27/2013 | \$ 321.81      | \$ 311.84   | \$ 9.96               | -                               | \$ 9.96                  |
| 5.58229 | IWD    | 3/18/2013        | 4/1/2013  | \$ 452.11      | \$ 453.59   | \$ (1.48)             | -                               | \$ (1.48)                |
| 5.59160 | MCHP   | 4/8/2013         | 9/27/2013 | \$ 225.26      | \$ 195.39   | \$ 29.87              | -                               | \$ 29.87                 |
| 5.59279 | PRGS   | 9/6/2013         | 9/27/2013 | \$ 145.25      | \$ 138.14   | \$ 7.11               | -                               | \$ 7.11                  |
| 5.59341 | SHOO   | 3/18/2013        | 9/27/2013 | \$ 297.85      | \$ 249.69   | \$ 48.16              | -                               | \$ 48.16                 |
| 5.60700 | MOLX   | 9/26/2013        | 9/27/2013 | \$ 215.59      | \$ 216.05   | \$ (0.46)             | -                               | \$ (0.46)                |
| 5.62251 | IWF    | 1/8/2013         | 1/25/2013 | \$ 384.53      | \$ 375.30   | \$ 9.23               | -                               | \$ 9.23                  |
| 5.62293 | VRSN   | 3/18/2013        | 9/27/2013 | \$ 287.00      | \$ 255.00   | \$ 32.00              | -                               | \$ 32.00                 |
| 5.62349 | TUR    | 8/10/2012        | 3/20/2013 | \$ 383.18      | \$ 311.24   | \$ 71.94              | -                               | \$ 71.94                 |
| 5.62559 | UNM    | 4/1/2013         | 9/27/2013 | \$ 172.59      | \$ 158.36   | \$ 14.23              | -                               | \$ 14.23                 |
| 5.64279 | HXL    | 3/18/2013        | 9/27/2013 | \$ 218.09      | \$ 168.24   | \$ 49.85              | -                               | \$ 49.85                 |
| 5.65845 | V      | 5/8/2013         | 9/27/2013 | \$ 1,091.30    | \$ 1,008.93 | \$ 82.37              | -                               | \$ 82.37                 |
| 5.66275 | UTX    | 9/6/2013         | 9/27/2013 | \$ 619.18      | \$ 588.00   | \$ 31.18              | -                               | \$ 31.18                 |
| 5.67067 | V      | 5/8/2013         | 9/27/2013 | \$ 1,093.65    | \$ 1,011.11 | \$ 82.54              | -                               | \$ 82.54                 |
| 5.67581 | EWZ    | 12/6/2012        | 3/20/2013 | \$ 311.94      | \$ 296.98   | \$ 14.96              | -                               | \$ 14.96                 |
| 5.68068 | SONS   | 11/12/2012       | 9/27/2013 | \$ 19.14       | \$ 8.89     | \$ 10.25              | -                               | \$ 10.25                 |
| 5.68076 | VNQ    | 1/17/2013        | 6/20/2013 | \$ 375.11      | \$ 383.95   | \$ (8.85)             | -                               | \$ (8.85)                |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 5.68930 | IWF    | 7/22/2013        | 9/10/2013 | \$ 440.76      | \$ 434.77  | \$ 5.99               | -                               | \$ 5.99                  |
| 5.69988 | STKL   | 11/12/2012       | 9/27/2013 | \$ 55.86       | \$ 33.91   | \$ 21.95              | -                               | \$ 21.95                 |
| 5.71230 | FB     | 1/8/2013         | 7/31/2013 | \$ 210.76      | \$ 166.32  | \$ 44.44              | -                               | \$ 44.44                 |
| 5.71444 | FB     | 1/8/2013         | 9/10/2013 | \$ 249.14      | \$ 166.38  | \$ 82.76              | -                               | \$ 82.76                 |
| 5.71619 | PSP    | 1/11/2013        | 9/4/2013  | \$ 65.36       | \$ 60.42   | \$ 4.94               | -                               | \$ 4.94                  |
| 5.72415 | SPLS   | 1/15/2013        | 9/27/2013 | \$ 83.53       | \$ 69.17   | \$ 14.36              | -                               | \$ 14.36                 |
| 5.72500 | FAST   | 4/4/2013         | 9/27/2013 | \$ 285.22      | \$ 281.75  | \$ 3.47               | -                               | \$ 3.47                  |
| 5.72520 | ROST   | 3/18/2013        | 9/27/2013 | \$ 415.14      | \$ 321.27  | \$ 93.87              | -                               | \$ 93.87                 |
| 5.72794 | ELY    | 9/6/2013         | 9/27/2013 | \$ 40.15       | \$ 39.98   | \$ 0.17               | -                               | \$ 0.17                  |
| 5.77733 | AMTD   | 11/12/2012       | 3/14/2013 | \$ 121.77      | \$ 89.75   | \$ 32.01              | -                               | \$ 32.01                 |
| 5.78263 | MSFT   | 3/6/2013         | 9/27/2013 | \$ 193.33      | \$ 161.72  | \$ 31.60              | -                               | \$ 31.60                 |
| 5.78307 | SJM    | 9/6/2013         | 9/27/2013 | \$ 606.77      | \$ 615.71  | \$ (8.94)             | -                               | \$ (8.94)                |
| 5.80399 | CROX   | 9/6/2013         | 9/27/2013 | \$ 79.17       | \$ 80.15   | \$ (0.98)             | -                               | \$ (0.98)                |
| 5.81436 | FHN    | 5/8/2013         | 9/27/2013 | \$ 64.42       | \$ 63.19   | \$ 1.23               | -                               | \$ 1.23                  |
| 5.82668 | KAR    | 3/8/2013         | 9/17/2013 | \$ 164.43      | \$ 113.85  | \$ 50.58              | -                               | \$ 50.58                 |
| 5.83094 | CQB    | 3/18/2013        | 9/27/2013 | \$ 75.51       | \$ 42.77   | \$ 32.74              | -                               | \$ 32.74                 |
| 5.83893 | USPH   | 5/8/2013         | 9/27/2013 | \$ 178.80      | \$ 141.56  | \$ 37.24              | -                               | \$ 37.24                 |
| 5.84642 | EMB    | 7/17/2012        | 4/18/2013 | \$ 701.57      | \$ 688.88  | \$ 12.69              | -                               | \$ 12.69                 |
| 5.84746 | EL     | 10/15/2012       | 8/13/2013 | \$ 386.61      | \$ 370.13  | \$ 16.49              | -                               | \$ 16.49                 |
| 5.84787 | ERUS   | 9/10/2012        | 3/20/2013 | \$ 130.88      | \$ 132.37  | \$ (1.49)             | -                               | \$ (1.49)                |
| 5.86067 | SYPR   | 5/8/2013         | 9/27/2013 | \$ 18.29       | \$ 20.75   | \$ (2.47)             | -                               | \$ (2.47)                |
| 5.86910 | AUY    | 5/8/2013         | 9/27/2013 | \$ 61.27       | \$ 71.18   | \$ (9.91)             | -                               | \$ (9.91)                |
| 5.86972 | IWF    | 3/18/2013        | 6/13/2013 | \$ 431.84      | \$ 415.84  | \$ 15.99              | -                               | \$ 15.99                 |
| 5.87228 | EMC    | 11/12/2012       | 9/27/2013 | \$ 153.21      | \$ 141.86  | \$ 11.35              | -                               | \$ 11.35                 |
| 5.87417 | LVS    | 6/6/2012         | 1/8/2013  | \$ 299.01      | \$ 273.78  | \$ 25.23              | -                               | \$ 25.23                 |
| 5.87881 | TXN    | 11/12/2012       | 9/27/2013 | \$ 235.46      | \$ 173.28  | \$ 62.18              | -                               | \$ 62.18                 |
| 5.89511 | VNQ    | 12/18/2012       | 6/6/2013  | \$ 409.12      | \$ 383.28  | \$ 25.84              | -                               | \$ 25.84                 |
| 5.90064 | PVI    | 8/7/2013         | 9/27/2013 | \$ 147.40      | \$ 147.43  | \$ (0.03)             | -                               | \$ (0.03)                |
| 5.91360 | ERUS   | 8/10/2012        | 3/20/2013 | \$ 132.35      | \$ 128.20  | \$ 4.14               | -                               | \$ 4.14                  |
| 5.93152 | CRM    | 11/12/2012       | 9/27/2013 | \$ 311.29      | \$ 208.20  | \$ 103.08             | -                               | \$ 103.08                |
| 5.93932 | POR    | 6/13/2013        | 9/27/2013 | \$ 167.73      | \$ 179.90  | \$ (12.18)            | -                               | \$ (12.18)               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 5.93990 | OKSB   | 5/1/2013      | 9/27/2013 | \$ 88.10       | \$ 78.33    | \$ 9.77            | -                         | \$ 9.77               |
| 5.94178 | IWD    | 3/14/2013     | 4/1/2013  | \$ 480.39      | \$ 483.28   | \$ (2.89)          | -                         | \$ (2.89)             |
| 5.95060 | DOX    | 9/6/2013      | 9/27/2013 | \$ 220.41      | \$ 220.35   | \$ 0.06            | -                         | \$ 0.06               |
| 5.95262 | NLS    | 3/18/2013     | 9/27/2013 | \$ 42.44       | \$ 40.21    | \$ 2.23            | -                         | \$ 2.23               |
| 5.95529 | IWF    | 2/15/2013     | 4/12/2013 | \$ 429.33      | \$ 412.55   | \$ 16.78           | -                         | \$ 16.78              |
| 5.95604 | IWD    | 4/15/2013     | 7/15/2013 | \$ 519.58      | \$ 485.69   | \$ 33.89           | -                         | \$ 33.89              |
| 5.96972 | THD    | 11/12/2012    | 3/20/2013 | \$ 546.41      | \$ 451.93   | \$ 94.48           | -                         | \$ 94.48              |
| 5.97531 | SEE    | 2/20/2013     | 8/6/2013  | \$ 177.94      | \$ 125.34   | \$ 52.60           | -                         | \$ 52.60              |
| 5.97825 | IWF    | 9/3/2013      | 9/27/2013 | \$ 469.36      | \$ 453.09   | \$ 16.27           | -                         | \$ 16.27              |
| 5.97891 | CACH   | 9/6/2013      | 9/27/2013 | \$ 36.05       | \$ 32.88    | \$ 3.17            | -                         | \$ 3.17               |
| 5.98017 | RWX    | 2/20/2013     | 9/27/2013 | \$ 252.19      | \$ 250.39   | \$ 1.80            | -                         | \$ 1.80               |
| 5.98375 | MUB    | 9/11/2013     | 9/27/2013 | \$ 624.52      | \$ 606.33   | \$ 18.18           | -                         | \$ 18.18              |
| 5.99273 | SCVL   | 5/8/2013      | 9/27/2013 | \$ 161.45      | \$ 125.40   | \$ 36.05           | -                         | \$ 36.05              |
| 6.02478 | ELD    | 1/15/2013     | 6/20/2013 | \$ 281.36      | \$ 323.03   | \$ (41.67)         | -                         | \$ (41.67)            |
| 6.02732 | LH     | 6/6/2012      | 1/11/2013 | \$ 518.46      | \$ 511.20   | \$ 7.26            | -                         | \$ 7.26               |
| 6.05008 | UTEK   | 7/18/2013     | 9/27/2013 | \$ 182.47      | \$ 198.81   | \$ (16.33)         | -                         | \$ (16.33)            |
| 6.06119 | EWI    | 2/20/2013     | 3/20/2013 | \$ 117.83      | \$ 121.99   | \$ (4.16)          | -                         | \$ (4.16)             |
| 6.06870 | VICR   | 9/6/2013      | 9/27/2013 | \$ 49.65       | \$ 48.91    | \$ 0.74            | -                         | \$ 0.74               |
| 6.07934 | PEGA   | 3/18/2013     | 9/27/2013 | \$ 239.29      | \$ 175.45   | \$ 63.84           | -                         | \$ 63.84              |
| 6.08805 | EWN    | 9/10/2012     | 3/6/2013  | \$ 125.47      | \$ 114.79   | \$ 10.68           | -                         | \$ 10.68              |
| 6.08821 | IWF    | 3/18/2013     | 5/17/2013 | \$ 460.95      | \$ 431.32   | \$ 29.63           | -                         | \$ 29.63              |
| 6.09301 | LVS    | 6/6/2012      | 1/30/2013 | \$ 313.73      | \$ 283.98   | \$ 29.74           | -                         | \$ 29.74              |
| 6.09571 | BLK    | 9/6/2013      | 9/27/2013 | \$ 1,650.01    | \$ 1,597.11 | \$ 52.90           | -                         | \$ 52.90              |
| 6.09596 | VRTX   | 5/8/2013      | 9/27/2013 | \$ 464.64      | \$ 462.13   | \$ 2.51            | -                         | \$ 2.51               |
| 6.10415 | MXWL   | 5/8/2013      | 9/27/2013 | \$ 56.10       | \$ 40.14    | \$ 15.96           | -                         | \$ 15.96              |
| 6.10961 | MCD    | 5/8/2013      | 9/27/2013 | \$ 593.63      | \$ 623.62   | \$ (29.99)         | -                         | \$ (29.99)            |
| 6.11808 | CRM    | 4/24/2013     | 9/27/2013 | \$ 321.08      | \$ 251.39   | \$ 69.69           | -                         | \$ 69.69              |
| 6.12182 | FB     | 2/19/2013     | 9/10/2013 | \$ 266.90      | \$ 176.94   | \$ 89.96           | -                         | \$ 89.96              |
| 6.13624 | IWM    | 6/3/2013      | 9/27/2013 | \$ 654.37      | \$ 597.49   | \$ 56.88           | -                         | \$ 56.88              |
| 6.14823 | VICR   | 5/8/2013      | 9/27/2013 | \$ 50.30       | \$ 33.26    | \$ 17.04           | -                         | \$ 17.04              |
| 6.15228 | FXI    | 2/20/2013     | 3/20/2013 | \$ 228.50      | \$ 242.50   | \$ (14.00)         | -                         | \$ (14.00)            |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 6.15477 | CTSH   | 10/15/2012       | 6/20/2013 | \$ 385.07      | \$ 428.65   | \$ (43.58)            | -                               | \$ (43.58)               |
| 6.16378 | LTXC   | 9/6/2013         | 9/27/2013 | \$ 40.44       | \$ 32.47    | \$ 7.97               | -                               | \$ 7.97                  |
| 6.16558 | EPOL   | 11/12/2012       | 3/20/2013 | \$ 163.34      | \$ 157.25   | \$ 6.09               | -                               | \$ 6.09                  |
| 6.18340 | AGG    | 12/18/2012       | 6/13/2013 | \$ 670.09      | \$ 686.02   | \$ (15.93)            | -                               | \$ (15.93)               |
| 6.18560 | HSON   | 9/6/2013         | 9/27/2013 | \$ 20.17       | \$ 19.47    | \$ 0.70               | -                               | \$ 0.70                  |
| 6.18630 | GPC    | 5/8/2013         | 9/27/2013 | \$ 497.33      | \$ 482.16   | \$ 15.18              | -                               | \$ 15.18                 |
| 6.18641 | ERUS   | 11/7/2012        | 3/20/2013 | \$ 138.45      | \$ 133.35   | \$ 5.10               | -                               | \$ 5.10                  |
| 6.20485 | COLB   | 3/18/2013        | 9/27/2013 | \$ 153.14      | \$ 129.03   | \$ 24.11              | -                               | \$ 24.11                 |
| 6.20803 | CCK    | 3/18/2013        | 9/27/2013 | \$ 264.71      | \$ 250.96   | \$ 13.75              | -                               | \$ 13.75                 |
| 6.22181 | ERUS   | 3/19/2013        | 3/20/2013 | \$ 139.25      | \$ 138.44   | \$ 0.81               | -                               | \$ 0.81                  |
| 6.22686 | QCOM   | 10/15/2012       | 6/20/2013 | \$ 379.61      | \$ 366.83   | \$ 12.77              | -                               | \$ 12.77                 |
| 6.25295 | APKT   | 3/18/2013        | 4/1/2013  | \$ 182.90      | \$ 182.68   | \$ 0.22               | -                               | \$ 0.22                  |
| 6.25943 | GILD   | 6/6/2012         | 1/14/2013 | \$ 487.28      | \$ 307.64   | \$ 179.63             | -                               | \$ 179.63                |
| 6.26509 | MSFT   | 1/15/2013        | 9/27/2013 | \$ 209.46      | \$ 168.51   | \$ 40.94              | -                               | \$ 40.94                 |
| 6.28432 | CBSH   | 4/4/2013         | 9/27/2013 | \$ 273.93      | \$ 246.47   | \$ 27.47              | -                               | \$ 27.47                 |
| 6.28457 | FTI    | 10/15/2012       | 7/18/2013 | \$ 358.56      | \$ 277.39   | \$ 81.17              | -                               | \$ 81.17                 |
| 6.28782 | DY     | 3/18/2013        | 9/27/2013 | \$ 173.67      | \$ 131.95   | \$ 41.72              | -                               | \$ 41.72                 |
| 6.29227 | ATMI   | 5/14/2013        | 9/27/2013 | \$ 166.12      | \$ 145.83   | \$ 20.29              | -                               | \$ 20.29                 |
| 6.30073 | MIG    | 9/6/2013         | 9/27/2013 | \$ 40.70       | \$ 38.24    | \$ 2.46               | -                               | \$ 2.46                  |
| 6.30769 | EWA    | 9/10/2012        | 3/20/2013 | \$ 169.74      | \$ 148.85   | \$ 20.89              | -                               | \$ 20.89                 |
| 6.31404 | AMAG   | 3/18/2013        | 9/27/2013 | \$ 137.40      | \$ 139.13   | \$ (1.73)             | -                               | \$ (1.73)                |
| 6.31613 | CYTK   | 9/6/2013         | 9/27/2013 | \$ 48.76       | \$ 53.74    | \$ (4.98)             | -                               | \$ (4.98)                |
| 6.31939 | IWD    | 3/18/2013        | 4/2/2013  | \$ 514.02      | \$ 513.48   | \$ 0.54               | -                               | \$ 0.54                  |
| 6.32515 | V      | 9/6/2013         | 9/27/2013 | \$ 1,219.88    | \$ 1,123.47 | \$ 96.41              | -                               | \$ 96.41                 |
| 6.33276 | EWY    | 11/12/2012       | 3/20/2013 | \$ 368.31      | \$ 365.26   | \$ 3.05               | -                               | \$ 3.05                  |
| 6.34079 | SAVE   | 3/18/2013        | 9/27/2013 | \$ 218.82      | \$ 158.71   | \$ 60.11              | -                               | \$ 60.11                 |
| 6.34630 | AMP    | 10/15/2012       | 9/27/2013 | \$ 579.97      | \$ 356.91   | \$ 223.06             | -                               | \$ 223.06                |
| 6.35391 | UTEK   | 9/18/2013        | 9/27/2013 | \$ 191.64      | \$ 190.23   | \$ 1.41               | -                               | \$ 1.41                  |
| 6.36287 | ARIA   | 9/6/2013         | 9/27/2013 | \$ 118.80      | \$ 136.99   | \$ (18.19)            | -                               | \$ (18.19)               |
| 6.36828 | CVS    | 1/25/2013        | 9/27/2013 | \$ 367.64      | \$ 330.06   | \$ 37.59              | -                               | \$ 37.59                 |
| 6.37604 | PCP    | 3/18/2013        | 9/27/2013 | \$ 1,452.55    | \$ 1,241.83 | \$ 210.72             | -                               | \$ 210.72                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 6.38823 | DAR    | 9/6/2013      | 9/27/2013 | \$ 130.90      | \$ 129.68  | \$ 1.22            | \$ -                      | \$ 1.22               |
| 6.38987 | VMW    | 9/6/2013      | 9/27/2013 | \$ 527.30      | \$ 542.55  | \$ (15.25)         | \$ -                      | \$ (15.25)            |
| 6.39440 | DBC    | 1/11/2013     | 6/13/2013 | \$ 166.12      | \$ 175.71  | \$ (9.58)          | \$ -                      | \$ (9.58)             |
| 6.39450 | CTSH   | 5/15/2013     | 9/27/2013 | \$ 528.89      | \$ 400.30  | \$ 128.59          | \$ -                      | \$ 128.59             |
| 6.42619 | IGT    | 11/12/2012    | 9/27/2013 | \$ 125.06      | \$ 85.31   | \$ 39.75           | \$ -                      | \$ 39.75              |
| 6.42808 | GILD   | 6/6/2012      | 5/15/2013 | \$ 365.06      | \$ 157.96  | \$ 207.10          | \$ -                      | \$ 207.10             |
| 6.43290 | MRC    | 3/18/2013     | 9/27/2013 | \$ 173.75      | \$ 197.04  | \$ (23.29)         | \$ -                      | \$ (23.29)            |
| 6.43769 | FB     | 11/13/2012    | 7/31/2013 | \$ 237.52      | \$ 127.25  | \$ 110.28          | \$ -                      | \$ 110.28             |
| 6.44604 | WCN    | 6/6/2012      | 2/14/2013 | \$ 232.78      | \$ 197.37  | \$ 35.40           | \$ -                      | \$ 35.40              |
| 6.44844 | BERY   | 5/8/2013      | 9/27/2013 | \$ 129.49      | \$ 128.80  | \$ 0.69            | \$ -                      | \$ 0.69               |
| 6.44860 | WCN    | 6/6/2012      | 4/4/2013  | \$ 227.70      | \$ 197.45  | \$ 30.25           | \$ -                      | \$ 30.25              |
| 6.44982 | CME    | 10/15/2012    | 9/27/2013 | \$ 483.93      | \$ 365.30  | \$ 118.63          | \$ -                      | \$ 118.63             |
| 6.45751 | KEG    | 9/6/2013      | 9/27/2013 | \$ 47.91       | \$ 49.72   | \$ (1.81)          | \$ -                      | \$ (1.81)             |
| 6.46134 | FNFG   | 9/6/2013      | 9/27/2013 | \$ 66.10       | \$ 65.00   | \$ 1.10            | \$ -                      | \$ 1.10               |
| 6.46324 | NVAX   | 11/12/2012    | 9/27/2013 | \$ 20.23       | \$ 10.97   | \$ 9.26            | \$ -                      | \$ 9.26               |
| 6.47524 | SYPR   | 9/6/2013      | 9/27/2013 | \$ 20.21       | \$ 20.07   | \$ 0.14            | \$ -                      | \$ 0.14               |
| 6.47895 | LVS    | 10/15/2012    | 7/18/2013 | \$ 361.66      | \$ 286.49  | \$ 75.17           | \$ -                      | \$ 75.17              |
| 6.47989 | COF    | 9/27/2012     | 9/27/2013 | \$ 447.57      | \$ 367.30  | \$ 80.27           | \$ -                      | \$ 80.27              |
| 6.48905 | EWN    | 7/17/2012     | 1/8/2013  | \$ 133.16      | \$ 109.74  | \$ 23.41           | \$ -                      | \$ 23.41              |
| 6.50193 | EWL    | 8/10/2012     | 3/20/2013 | \$ 192.00      | \$ 153.31  | \$ 38.69           | \$ -                      | \$ 38.69              |
| 6.51970 | BECN   | 3/18/2013     | 9/27/2013 | \$ 242.60      | \$ 258.05  | \$ (15.45)         | \$ -                      | \$ (15.45)            |
| 6.52943 | FHN    | 9/6/2013      | 9/27/2013 | \$ 72.35       | \$ 74.50   | \$ (2.15)          | \$ -                      | \$ (2.15)             |
| 6.53436 | DBV    | 8/7/2013      | 9/27/2013 | \$ 167.10      | \$ 163.98  | \$ 3.12            | \$ -                      | \$ 3.12               |
| 6.53516 | BERY   | 9/6/2013      | 9/27/2013 | \$ 131.23      | \$ 152.53  | \$ (21.30)         | \$ -                      | \$ (21.30)            |
| 6.53535 | WWAV   | 6/3/2013      | 9/27/2013 | \$ 130.90      | \$ 104.02  | \$ 26.88           | \$ -                      | \$ 26.88              |
| 6.54104 | CFN    | 5/8/2013      | 9/27/2013 | \$ 241.23      | \$ 225.26  | \$ 15.97           | \$ -                      | \$ 15.97              |
| 6.55489 | CVS    | 2/12/2013     | 9/27/2013 | \$ 378.42      | \$ 335.51  | \$ 42.90           | \$ -                      | \$ 42.90              |
| 6.56891 | SAVE   | 3/18/2013     | 9/27/2013 | \$ 226.69      | \$ 164.42  | \$ 62.27           | \$ -                      | \$ 62.27              |
| 6.57364 | IWD    | 7/31/2013     | 9/27/2013 | \$ 569.75      | \$ 579.91  | \$ (10.17)         | \$ -                      | \$ (10.17)            |
| 6.57442 | JDSU   | 5/8/2013      | 9/27/2013 | \$ 96.12       | \$ 89.53   | \$ 6.59            | \$ -                      | \$ 6.59               |
| 6.58073 | PRXL   | 3/18/2013     | 9/27/2013 | \$ 329.04      | \$ 256.55  | \$ 72.49           | \$ -                      | \$ 72.49              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 6.58222 | PSP    | 3/19/2013        | 9/27/2013 | \$ 75.88       | \$ 74.64    | \$ 1.24               | -                               | \$ 1.24                  |
| 6.59083 | CMCSK  | 11/12/2012       | 9/27/2013 | \$ 283.74      | \$ 231.04   | \$ 52.70              | -                               | \$ 52.70                 |
| 6.60112 | RCKB   | 9/6/2013         | 9/27/2013 | \$ 86.08       | \$ 86.27    | \$ (0.19)             | -                               | \$ (0.19)                |
| 6.60641 | CROX   | 5/8/2013         | 9/27/2013 | \$ 90.11       | \$ 108.86   | \$ (18.75)            | -                               | \$ (18.75)               |
| 6.62251 | PRGS   | 5/8/2013         | 9/27/2013 | \$ 171.99      | \$ 152.82   | \$ 19.17              | -                               | \$ 19.17                 |
| 6.68385 | IWD    | 8/1/2013         | 9/27/2013 | \$ 579.30      | \$ 592.59   | \$ (13.29)            | -                               | \$ (13.29)               |
| 6.68973 | VRTX   | 4/25/2013        | 9/27/2013 | \$ 509.89      | \$ 541.47   | \$ (31.57)            | -                               | \$ (31.57)               |
| 6.70440 | BIIB   | 3/18/2013        | 9/27/2013 | \$ 1,633.97    | \$ 1,177.93 | \$ 456.04             | -                               | \$ 456.04                |
| 6.72251 | BXS    | 12/19/2012       | 9/27/2013 | \$ 134.65      | \$ 99.36    | \$ 35.29              | -                               | \$ 35.29                 |
| 6.72484 | KAR    | 3/18/2013        | 9/27/2013 | \$ 186.11      | \$ 138.70   | \$ 47.41              | -                               | \$ 47.41                 |
| 6.73025 | CSGS   | 3/18/2013        | 9/27/2013 | \$ 167.99      | \$ 133.36   | \$ 34.63              | -                               | \$ 34.63                 |
| 6.73207 | AVT    | 3/18/2013        | 9/27/2013 | \$ 281.33      | \$ 244.61   | \$ 36.72              | -                               | \$ 36.72                 |
| 6.73469 | ERUS   | 2/20/2013        | 3/20/2013 | \$ 150.72      | \$ 159.09   | \$ (8.37)             | -                               | \$ (8.37)                |
| 6.75536 | AMAT   | 11/12/2012       | 9/27/2013 | \$ 119.10      | \$ 71.98    | \$ 47.12              | -                               | \$ 47.12                 |
| 6.76220 | JDSU   | 9/6/2013         | 9/27/2013 | \$ 98.86       | \$ 97.51    | \$ 1.35               | -                               | \$ 1.35                  |
| 6.76690 | MON    | 5/8/2013         | 9/27/2013 | \$ 715.47      | \$ 729.24   | \$ (13.77)            | -                               | \$ (13.77)               |
| 6.77549 | EWL    | 6/6/2012         | 3/20/2013 | \$ 200.08      | \$ 151.27   | \$ 48.81              | -                               | \$ 48.81                 |
| 6.78600 | TTEC   | 3/18/2013        | 9/27/2013 | \$ 170.53      | \$ 140.64   | \$ 29.89              | -                               | \$ 29.89                 |
| 6.80248 | IWD    | 4/25/2013        | 7/24/2013 | \$ 597.04      | \$ 559.50   | \$ 37.54              | -                               | \$ 37.54                 |
| 6.81918 | BGG    | 3/18/2013        | 9/27/2013 | \$ 138.43      | \$ 169.90   | \$ (31.47)            | -                               | \$ (31.47)               |
| 6.83636 | EW     | 9/6/2013         | 9/26/2013 | \$ 465.51      | \$ 492.31   | \$ (26.80)            | -                               | \$ (26.80)               |
| 6.84572 | ORCL   | 11/12/2012       | 9/27/2013 | \$ 231.04      | \$ 207.05   | \$ 24.00              | -                               | \$ 24.00                 |
| 6.84795 | MLNK   | 5/8/2013         | 9/27/2013 | \$ 18.76       | \$ 20.23    | \$ (1.46)             | -                               | \$ (1.46)                |
| 6.84943 | DBV    | 9/10/2012        | 5/28/2013 | \$ 185.90      | \$ 171.14   | \$ 14.76              | -                               | \$ 14.76                 |
| 6.85849 | MXWL   | 3/18/2013        | 9/27/2013 | \$ 63.03       | \$ 50.89    | \$ 12.14              | -                               | \$ 12.14                 |
| 6.86869 | AGN    | 5/8/2013         | 9/27/2013 | \$ 624.64      | \$ 719.78   | \$ (95.14)            | -                               | \$ (95.14)               |
| 6.87862 | AGN    | 7/18/2013        | 9/27/2013 | \$ 625.55      | \$ 632.94   | \$ (7.39)             | -                               | \$ (7.39)                |
| 6.88357 | AIV    | 5/8/2013         | 9/27/2013 | \$ 193.22      | \$ 216.13   | \$ (22.91)            | -                               | \$ (22.91)               |
| 6.90184 | ARMH   | 9/6/2013         | 9/27/2013 | \$ 336.54      | \$ 290.55   | \$ 45.99              | -                               | \$ 45.99                 |
| 6.92804 | DE     | 6/6/2012         | 2/4/2013  | \$ 647.32      | \$ 508.20   | \$ 139.12             | -                               | \$ 139.12                |
| 6.93503 | MCD    | 12/18/2012       | 9/27/2013 | \$ 673.83      | \$ 627.25   | \$ 46.58              | -                               | \$ 46.58                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 6.93854 | AET    | 9/16/2013        | 9/27/2013 | \$ 446.77      | \$ 479.52  | \$ (32.75)            | -                               | \$ (32.75)               |
| 6.96993 | IWF    | 8/27/2013        | 9/27/2013 | \$ 547.22      | \$ 525.60  | \$ 21.61              | -                               | \$ 21.61                 |
| 6.98961 | FNFG   | 5/8/2013         | 9/27/2013 | \$ 71.50       | \$ 67.29   | \$ 4.21               | -                               | \$ 4.21                  |
| 7.00664 | ELY    | 5/8/2013         | 9/27/2013 | \$ 49.12       | \$ 47.14   | \$ 1.98               | -                               | \$ 1.98                  |
| 7.01025 | TEX    | 9/17/2013        | 9/27/2013 | \$ 237.86      | \$ 230.66  | \$ 7.20               | -                               | \$ 7.20                  |
| 7.01265 | WCN    | 3/18/2013        | 9/27/2013 | \$ 312.20      | \$ 252.14  | \$ 60.06              | -                               | \$ 60.06                 |
| 7.01552 | CSII   | 9/6/2013         | 9/27/2013 | \$ 140.52      | \$ 144.23  | \$ (3.71)             | -                               | \$ (3.71)                |
| 7.02184 | BECN   | 6/6/2012         | 5/31/2013 | \$ 290.57      | \$ 165.70  | \$ 124.86             | -                               | \$ 124.86                |
| 7.02216 | IWD    | 5/8/2013         | 9/17/2013 | \$ 617.68      | \$ 590.35  | \$ 27.33              | -                               | \$ 27.33                 |
| 7.02283 | CVS    | 2/7/2013         | 9/27/2013 | \$ 405.43      | \$ 358.96  | \$ 46.47              | -                               | \$ 46.47                 |
| 7.03022 | ALXN   | 6/6/2012         | 4/24/2013 | \$ 630.70      | \$ 639.10  | \$ (8.40)             | -                               | \$ (8.40)                |
| 7.03554 | AMP    | 5/8/2013         | 9/27/2013 | \$ 642.95      | \$ 542.48  | \$ 100.48             | -                               | \$ 100.48                |
| 7.06084 | PSP    | 8/7/2013         | 9/27/2013 | \$ 81.40       | \$ 82.05   | \$ (0.65)             | -                               | \$ (0.65)                |
| 7.07885 | KAR    | 9/6/2013         | 9/27/2013 | \$ 195.91      | \$ 194.88  | \$ 1.03               | -                               | \$ 1.03                  |
| 7.08123 | SRCL   | 5/8/2013         | 9/27/2013 | \$ 817.96      | \$ 783.73  | \$ 34.23              | -                               | \$ 34.23                 |
| 7.11476 | DBV    | 1/11/2013        | 6/13/2013 | \$ 182.63      | \$ 189.11  | \$ (6.48)             | -                               | \$ (6.48)                |
| 7.13169 | AIG    | 2/22/2013        | 9/27/2013 | \$ 350.31      | \$ 272.57  | \$ 77.74              | -                               | \$ 77.74                 |
| 7.13908 | MRCY   | 11/14/2012       | 9/27/2013 | \$ 69.97       | \$ 60.78   | \$ 9.18               | -                               | \$ 9.18                  |
| 7.14760 | DM     | 9/6/2013         | 9/27/2013 | \$ 15.44       | \$ 17.79   | \$ (2.35)             | -                               | \$ (2.35)                |
| 7.14907 | MENT   | 9/6/2013         | 9/27/2013 | \$ 165.50      | \$ 162.21  | \$ 3.29               | -                               | \$ 3.29                  |
| 7.16104 | CTSH   | 6/6/2012         | 4/25/2013 | \$ 453.83      | \$ 432.08  | \$ 21.75              | -                               | \$ 21.75                 |
| 7.19399 | COL    | 10/15/2012       | 9/27/2013 | \$ 494.30      | \$ 386.39  | \$ 107.91             | -                               | \$ 107.91                |
| 7.21752 | JNK    | 6/6/2012         | 3/18/2013 | \$ 296.17      | \$ 274.76  | \$ 21.41              | -                               | \$ 21.41                 |
| 7.24287 | STR    | 5/8/2013         | 9/27/2013 | \$ 162.53      | \$ 182.94  | \$ (20.41)            | -                               | \$ (20.41)               |
| 7.26166 | CHKP   | 5/8/2013         | 9/27/2013 | \$ 411.37      | \$ 347.73  | \$ 63.64              | -                               | \$ 63.64                 |
| 7.26479 | PX     | 10/15/2012       | 9/27/2013 | \$ 873.68      | \$ 761.77  | \$ 111.91             | -                               | \$ 111.91                |
| 7.27118 | ORI    | 9/6/2013         | 9/27/2013 | \$ 111.18      | \$ 101.65  | \$ 9.53               | -                               | \$ 9.53                  |
| 7.28212 | AUY    | 9/6/2013         | 9/27/2013 | \$ 76.03       | \$ 82.14   | \$ (6.11)             | -                               | \$ (6.11)                |
| 7.28801 | TMO    | 10/15/2012       | 9/27/2013 | \$ 676.69      | \$ 429.48  | \$ 247.22             | -                               | \$ 247.22                |
| 7.28987 | PMTC   | 5/8/2013         | 9/27/2013 | \$ 207.32      | \$ 175.13  | \$ 32.19              | -                               | \$ 32.19                 |
| 7.29767 | CACH   | 5/8/2013         | 9/27/2013 | \$ 44.01       | \$ 27.29   | \$ 16.72              | -                               | \$ 16.72                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 7.29947 | IWD    | 6/19/2013        | 9/17/2013 | \$ 642.52      | \$ 625.13   | \$ 17.39              | -                               | \$ 17.39                 |
| 7.30087 | EZA    | 3/6/2013         | 3/20/2013 | \$ 464.33      | \$ 479.85   | \$ (15.52)            | -                               | \$ (15.52)               |
| 7.33858 | RCKB   | 5/8/2013         | 9/27/2013 | \$ 95.70       | \$ 97.12    | \$ (1.42)             | -                               | \$ (1.42)                |
| 7.33892 | IWF    | 7/29/2013        | 9/10/2013 | \$ 568.56      | \$ 558.64   | \$ 9.92               | -                               | \$ 9.92                  |
| 7.33903 | QAI    | 7/5/2013         | 9/27/2013 | \$ 209.90      | \$ 202.82   | \$ 7.08               | -                               | \$ 7.08                  |
| 7.33973 | EWJ    | 11/5/2012        | 3/20/2013 | \$ 78.39       | \$ 66.46    | \$ 11.93              | -                               | \$ 11.93                 |
| 7.37938 | EIS    | 11/12/2012       | 3/20/2013 | \$ 331.50      | \$ 302.11   | \$ 29.39              | -                               | \$ 29.39                 |
| 7.38005 | EWV    | 6/12/2012        | 3/20/2013 | \$ 521.47      | \$ 401.67   | \$ 119.80             | -                               | \$ 119.80                |
| 7.38385 | FAST   | 9/6/2013         | 9/27/2013 | \$ 367.87      | \$ 356.83   | \$ 11.04              | -                               | \$ 11.04                 |
| 7.38669 | LH     | 10/15/2012       | 7/9/2013  | \$ 708.70      | \$ 690.51   | \$ 18.19              | -                               | \$ 18.19                 |
| 7.40894 | DAR    | 5/8/2013         | 9/27/2013 | \$ 151.81      | \$ 137.05   | \$ 14.76              | -                               | \$ 14.76                 |
| 7.40938 | ABT    | 1/25/2013        | 9/27/2013 | \$ 245.25      | \$ 243.15   | \$ 2.10               | -                               | \$ 2.10                  |
| 7.42174 | EWO    | 1/15/2013        | 3/20/2013 | \$ 133.02      | \$ 135.82   | \$ (2.81)             | -                               | \$ (2.81)                |
| 7.43154 | AAPL   | 3/18/2013        | 9/27/2013 | \$ 3,577.82    | \$ 3,393.28 | \$ 184.54             | -                               | \$ 184.54                |
| 7.43508 | AGG    | 2/20/2013        | 6/20/2013 | \$ 797.34      | \$ 819.09   | \$ (21.74)            | -                               | \$ (21.74)               |
| 7.43911 | PRFT   | 9/6/2013         | 9/27/2013 | \$ 136.96      | \$ 120.21   | \$ 16.75              | -                               | \$ 16.75                 |
| 7.44186 | EMC    | 11/12/2012       | 5/14/2013 | \$ 171.30      | \$ 179.78   | \$ (8.48)             | -                               | \$ (8.48)                |
| 7.46272 | KAR    | 3/8/2013         | 9/27/2013 | \$ 206.53      | \$ 145.81   | \$ 60.72              | -                               | \$ 60.72                 |
| 7.46787 | EWI    | 1/15/2013        | 3/20/2013 | \$ 92.45       | \$ 106.02   | \$ (13.57)            | -                               | \$ (13.57)               |
| 7.47074 | EPU    | 11/12/2012       | 3/20/2013 | \$ 323.97      | \$ 335.18   | \$ (11.21)            | -                               | \$ (11.21)               |
| 7.48020 | NIHD   | 12/27/2012       | 3/8/2013  | \$ 35.31       | \$ 53.92    | \$ (18.61)            | -                               | \$ (18.61)               |
| 7.49487 | EWB    | 11/12/2012       | 3/20/2013 | \$ 145.70      | \$ 139.91   | \$ 5.79               | -                               | \$ 5.79                  |
| 7.50312 | FAST   | 7/18/2013        | 9/27/2013 | \$ 373.81      | \$ 355.95   | \$ 17.86              | -                               | \$ 17.86                 |
| 7.51154 | PCP    | 10/15/2012       | 9/27/2013 | \$ 1,711.23    | \$ 1,237.83 | \$ 473.40             | -                               | \$ 473.40                |
| 7.51899 | AXP    | 10/15/2012       | 9/27/2013 | \$ 569.87      | \$ 433.28   | \$ 136.59             | -                               | \$ 136.59                |
| 7.51967 | EWA    | 2/20/2013        | 3/20/2013 | \$ 202.35      | \$ 204.05   | \$ (1.70)             | -                               | \$ (1.70)                |
| 7.55287 | IWF    | 1/8/2013         | 1/9/2013  | \$ 507.56      | \$ 504.15   | \$ 3.41               | -                               | \$ 3.41                  |
| 7.55624 | AXP    | 9/6/2013         | 9/27/2013 | \$ 572.69      | \$ 555.53   | \$ 17.16              | -                               | \$ 17.16                 |
| 7.57161 | AMZN   | 10/15/2012       | 9/27/2013 | \$ 2,387.74    | \$ 1,827.67 | \$ 560.07             | -                               | \$ 560.07                |
| 7.59371 | C      | 3/18/2013        | 9/27/2013 | \$ 371.41      | \$ 353.07   | \$ 18.34              | -                               | \$ 18.34                 |
| 7.59435 | LNKD   | 3/18/2013        | 9/27/2013 | \$ 1,874.30    | \$ 1,319.52 | \$ 554.78             | -                               | \$ 554.78                |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 7.60699 | SYPR   | 3/18/2013        | 9/27/2013 | \$ 23.74       | \$ 29.22    | \$ (5.48)             | -                               | \$ (5.48)                |
| 7.64185 | ARIA   | 5/8/2013         | 9/27/2013 | \$ 142.68      | \$ 133.64   | \$ 9.04               | -                               | \$ 9.04                  |
| 7.65586 | NOV    | 9/6/2013         | 9/27/2013 | \$ 598.46      | \$ 598.90   | \$ (0.44)             | -                               | \$ (0.44)                |
| 7.65635 | V      | 3/18/2013        | 9/27/2013 | \$ 1,476.61    | \$ 1,216.02 | \$ 260.59             | -                               | \$ 260.59                |
| 7.66458 | EWZ    | 6/6/2012         | 2/6/2013  | \$ 427.53      | \$ 390.87   | \$ 36.66              | -                               | \$ 36.66                 |
| 7.66711 | LH     | 3/18/2013        | 7/16/2013 | \$ 746.08      | \$ 681.76   | \$ 64.32              | -                               | \$ 64.32                 |
| 7.67406 | MIG    | 5/8/2013         | 9/27/2013 | \$ 49.58       | \$ 60.90    | \$ (11.32)            | -                               | \$ (11.32)               |
| 7.67783 | AUY    | 6/6/2012         | 3/21/2013 | \$ 118.85      | \$ 124.84   | \$ (5.98)             | -                               | \$ (5.98)                |
| 7.68194 | ACWI   | 12/18/2012       | 6/6/2013  | \$ 392.91      | \$ 368.50   | \$ 24.41              | -                               | \$ 24.41                 |
| 7.71175 | OXY    | 10/15/2012       | 9/27/2013 | \$ 727.00      | \$ 628.44   | \$ 98.56              | -                               | \$ 98.56                 |
| 7.71252 | AXP    | 9/6/2013         | 9/27/2013 | \$ 584.53      | \$ 567.02   | \$ 17.51              | -                               | \$ 17.51                 |
| 7.71684 | IWF    | 9/6/2013         | 9/27/2013 | \$ 605.86      | \$ 591.07   | \$ 14.78              | -                               | \$ 14.78                 |
| 7.71879 | SCHW   | 1/15/2013        | 9/27/2013 | \$ 163.25      | \$ 117.31   | \$ 45.94              | -                               | \$ 45.94                 |
| 7.73342 | JDSU   | 3/18/2013        | 9/27/2013 | \$ 113.06      | \$ 116.04   | \$ (2.98)             | -                               | \$ (2.98)                |
| 7.74551 | DWX    | 9/6/2013         | 9/27/2013 | \$ 363.11      | \$ 349.91   | \$ 13.20              | -                               | \$ 13.20                 |
| 7.75522 | SCVL   | 3/18/2013        | 9/27/2013 | \$ 208.94      | \$ 166.97   | \$ 41.97              | -                               | \$ 41.97                 |
| 7.77053 | GPC    | 9/6/2013         | 9/27/2013 | \$ 624.70      | \$ 613.14   | \$ 11.56              | -                               | \$ 11.56                 |
| 7.77108 | FB     | 10/26/2012       | 6/20/2013 | \$ 184.97      | \$ 175.80   | \$ 9.17               | -                               | \$ 9.17                  |
| 7.78092 | EZA    | 3/18/2013        | 3/20/2013 | \$ 494.86      | \$ 499.38   | \$ (4.52)             | -                               | \$ (4.52)                |
| 7.78163 | EWZ    | 6/6/2012         | 1/8/2013  | \$ 436.39      | \$ 396.83   | \$ 39.56              | -                               | \$ 39.56                 |
| 7.80924 | PRGO   | 9/6/2013         | 9/27/2013 | \$ 965.62      | \$ 968.23   | \$ (2.61)             | -                               | \$ (2.61)                |
| 7.81796 | CTSH   | 9/6/2013         | 9/27/2013 | \$ 646.63      | \$ 606.97   | \$ 39.66              | -                               | \$ 39.66                 |
| 7.81957 | REXX   | 9/6/2013         | 9/27/2013 | \$ 173.91      | \$ 164.52   | \$ 9.39               | -                               | \$ 9.39                  |
| 7.82348 | UTX    | 3/18/2013        | 9/27/2013 | \$ 855.44      | \$ 729.97   | \$ 125.47             | -                               | \$ 125.47                |
| 7.82948 | EWO    | 9/10/2012        | 3/20/2013 | \$ 140.32      | \$ 120.84   | \$ 19.49              | -                               | \$ 19.49                 |
| 7.85768 | MCD    | 9/6/2013         | 9/27/2013 | \$ 763.48      | \$ 758.09   | \$ 5.39               | -                               | \$ 5.39                  |
| 7.86749 | PGX    | 8/7/2013         | 9/27/2013 | \$ 107.39      | \$ 109.62   | \$ (2.22)             | -                               | \$ (2.22)                |
| 7.87255 | EMB    | 2/20/2013        | 6/20/2013 | \$ 841.29      | \$ 939.12   | \$ (97.83)            | -                               | \$ (97.83)               |
| 7.88247 | RWO    | 9/6/2013         | 9/27/2013 | \$ 334.85      | \$ 320.86   | \$ 13.99              | -                               | \$ 13.99                 |
| 7.88252 | ELY    | 3/18/2013        | 9/27/2013 | \$ 55.26       | \$ 53.01    | \$ 2.25               | -                               | \$ 2.25                  |
| 7.91187 | VNTV   | 9/6/2013         | 9/27/2013 | \$ 217.26      | \$ 212.67   | \$ 4.59               | -                               | \$ 4.59                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 7.92988 | ACCO   | 9/6/2013      | 9/27/2013 | \$ 53.29       | \$ 53.92    | \$ (0.63)          | -                         | \$ (0.63)             |
| 7.93761 | AIV    | 9/6/2013      | 9/27/2013 | \$ 222.81      | \$ 222.25   | \$ 0.56            | -                         | \$ 0.56               |
| 7.93810 | TUR    | 9/13/2012     | 3/20/2013 | \$ 540.90      | \$ 449.35   | \$ 91.55           | -                         | \$ 91.55              |
| 7.94962 | MLNK   | 3/18/2013     | 9/27/2013 | \$ 21.78       | \$ 26.83    | \$ (5.05)          | -                         | \$ (5.05)             |
| 7.96667 | BIDU   | 5/8/2013      | 9/27/2013 | \$ 1,224.65    | \$ 729.98   | \$ 494.67          | -                         | \$ 494.67             |
| 8.02161 | DBC    | 8/7/2013      | 9/27/2013 | \$ 207.92      | \$ 203.56   | \$ 4.36            | -                         | \$ 4.36               |
| 8.02282 | PX     | 5/8/2013      | 9/27/2013 | \$ 964.84      | \$ 914.51   | \$ 50.34           | -                         | \$ 50.34              |
| 8.02418 | VRTX   | 8/14/2013     | 9/27/2013 | \$ 611.61      | \$ 631.42   | \$ (19.82)         | -                         | \$ (19.82)            |
| 8.03566 | EWO    | 8/10/2012     | 3/20/2013 | \$ 144.02      | \$ 115.22   | \$ 28.80           | -                         | \$ 28.80              |
| 8.03895 | SHY    | 1/15/2013     | 3/27/2013 | \$ 678.81      | \$ 678.93   | \$ (0.12)          | -                         | \$ (0.12)             |
| 8.05434 | COL    | 5/8/2013      | 9/27/2013 | \$ 553.42      | \$ 523.90   | \$ 29.52           | -                         | \$ 29.52              |
| 8.06409 | BERY   | 3/18/2013     | 9/27/2013 | \$ 161.93      | \$ 156.00   | \$ 5.93            | -                         | \$ 5.93               |
| 8.06778 | PMTC   | 9/6/2013      | 9/27/2013 | \$ 229.45      | \$ 218.07   | \$ 11.38           | -                         | \$ 11.38              |
| 8.06988 | CL     | 10/15/2012    | 9/27/2013 | \$ 484.19      | \$ 436.93   | \$ 47.26           | -                         | \$ 47.26              |
| 8.07096 | PRFT   | 5/8/2013      | 9/27/2013 | \$ 148.59      | \$ 92.91    | \$ 55.68           | -                         | \$ 55.68              |
| 8.07747 | SJM    | 3/18/2013     | 9/27/2013 | \$ 847.50      | \$ 781.90   | \$ 65.60           | -                         | \$ 65.60              |
| 8.08932 | EWA    | 7/17/2012     | 3/20/2013 | \$ 217.68      | \$ 179.16   | \$ 38.53           | -                         | \$ 38.53              |
| 8.10370 | EW     | 2/12/2013     | 9/26/2013 | \$ 551.81      | \$ 708.97   | \$ (157.16)        | -                         | \$ (157.16)           |
| 8.12859 | TJX    | 4/24/2013     | 9/27/2013 | \$ 458.78      | \$ 385.09   | \$ 73.69           | -                         | \$ 73.69              |
| 8.13819 | HSON   | 5/8/2013      | 9/27/2013 | \$ 26.53       | \$ 22.20    | \$ 4.33            | -                         | \$ 4.33               |
| 8.13929 | PRGS   | 3/18/2013     | 9/27/2013 | \$ 211.38      | \$ 194.00   | \$ 17.38           | -                         | \$ 17.38              |
| 8.14896 | ORI    | 5/8/2013      | 9/27/2013 | \$ 124.60      | \$ 114.15   | \$ 10.45           | -                         | \$ 10.45              |
| 8.15470 | IVV    | 11/5/2012     | 9/27/2013 | \$ 1,384.59    | \$ 1,156.07 | \$ 228.52          | -                         | \$ 228.52             |
| 8.15913 | BIDU   | 10/15/2012    | 7/29/2013 | \$ 1,056.26    | \$ 921.83   | \$ 134.42          | -                         | \$ 134.42             |
| 8.16498 | CROX   | 12/20/2012    | 9/27/2013 | \$ 111.37      | \$ 111.74   | \$ (0.36)          | -                         | \$ (0.36)             |
| 8.19146 | FHN    | 10/25/2012    | 9/27/2013 | \$ 90.76       | \$ 76.41    | \$ 14.35           | -                         | \$ 14.35              |
| 8.24381 | CSII   | 5/8/2013      | 9/27/2013 | \$ 165.12      | \$ 145.73   | \$ 19.39           | -                         | \$ 19.39              |
| 8.24782 | DRI    | 10/15/2012    | 2/5/2013  | \$ 387.70      | \$ 448.20   | \$ (60.50)         | -                         | \$ (60.50)            |
| 8.25421 | CTSH   | 7/18/2013     | 9/27/2013 | \$ 682.71      | \$ 605.12   | \$ 77.59           | -                         | \$ 77.59              |
| 8.28780 | MTB    | 9/6/2013      | 9/27/2013 | \$ 927.16      | \$ 951.68   | \$ (24.52)         | -                         | \$ (24.52)            |
| 8.29074 | EWM    | 8/10/2012     | 1/8/2013  | \$ 125.46      | \$ 120.79   | \$ 4.66            | -                         | \$ 4.66               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 8.31399 | SPLS   | 11/12/2012       | 9/27/2013 | \$ 121.33      | \$ 92.42    | \$ 28.91              | -                               | \$ 28.91                 |
| 8.31543 | CRM    | 6/20/2013        | 9/27/2013 | \$ 436.40      | \$ 308.63   | \$ 127.76             | -                               | \$ 127.76                |
| 8.31847 | TMO    | 5/8/2013         | 9/27/2013 | \$ 772.37      | \$ 694.64   | \$ 77.73              | -                               | \$ 77.73                 |
| 8.33320 | QCOM   | 9/6/2013         | 9/27/2013 | \$ 560.41      | \$ 568.07   | \$ (7.66)             | -                               | \$ (7.66)                |
| 8.33331 | ALT    | 5/1/2013         | 5/6/2013  | \$ 432.67      | \$ 431.25   | \$ 1.42               | -                               | \$ 1.42                  |
| 8.33995 | BEN    | 9/6/2013         | 9/27/2013 | \$ 423.42      | \$ 390.55   | \$ 32.87              | -                               | \$ 32.87                 |
| 8.34358 | LTXC   | 5/8/2013         | 9/27/2013 | \$ 54.73       | \$ 49.46    | \$ 5.27               | -                               | \$ 5.27                  |
| 8.34845 | IWF    | 9/6/2013         | 9/27/2013 | \$ 655.45      | \$ 638.95   | \$ 16.50              | -                               | \$ 16.50                 |
| 8.36943 | TRV    | 6/6/2012         | 4/29/2013 | \$ 710.98      | \$ 511.77   | \$ 199.21             | -                               | \$ 199.21                |
| 8.37737 | KEG    | 5/8/2013         | 9/27/2013 | \$ 62.16       | \$ 52.76    | \$ 9.40               | -                               | \$ 9.40                  |
| 8.38733 | MENT   | 5/8/2013         | 9/27/2013 | \$ 194.17      | \$ 153.89   | \$ 40.28              | -                               | \$ 40.28                 |
| 8.39974 | CROX   | 3/18/2013        | 9/27/2013 | \$ 114.57      | \$ 133.85   | \$ (19.28)            | -                               | \$ (19.28)               |
| 8.40752 | IWD    | 9/10/2013        | 9/27/2013 | \$ 728.70      | \$ 731.54   | \$ (2.84)             | -                               | \$ (2.84)                |
| 8.41961 | HBAN   | 10/5/2012        | 9/27/2013 | \$ 69.21       | \$ 60.87    | \$ 8.34               | -                               | \$ 8.34                  |
| 8.42067 | EWK    | 2/20/2013        | 3/6/2013  | \$ 120.59      | \$ 123.47   | \$ (2.88)             | -                               | \$ (2.88)                |
| 8.42306 | BLK    | 3/18/2013        | 9/27/2013 | \$ 2,279.98    | \$ 2,153.61 | \$ 126.37             | -                               | \$ 126.37                |
| 8.46196 | NOV    | 5/8/2013         | 9/27/2013 | \$ 661.47      | \$ 579.79   | \$ 81.68              | -                               | \$ 81.68                 |
| 8.46321 | WPP    | 9/6/2013         | 9/27/2013 | \$ 109.09      | \$ 96.31    | \$ 12.78              | -                               | \$ 12.78                 |
| 8.46960 | SHY    | 2/20/2013        | 4/26/2013 | \$ 715.60      | \$ 714.91   | \$ 0.69               | -                               | \$ 0.69                  |
| 8.48012 | EMB    | 6/21/2013        | 6/25/2013 | \$ 899.49      | \$ 913.28   | \$ (13.79)            | -                               | \$ (13.79)               |
| 8.48469 | EWY    | 11/7/2012        | 3/20/2013 | \$ 493.47      | \$ 496.01   | \$ (2.55)             | -                               | \$ (2.55)                |
| 8.49167 | TPLM   | 5/8/2013         | 9/27/2013 | \$ 83.47       | \$ 50.37    | \$ 33.10              | -                               | \$ 33.10                 |
| 8.50406 | ACCO   | 5/8/2013         | 9/27/2013 | \$ 57.15       | \$ 58.15    | \$ (1.00)             | -                               | \$ (1.00)                |
| 8.51075 | VNTV   | 5/8/2013         | 9/27/2013 | \$ 233.71      | \$ 202.47   | \$ 31.24              | -                               | \$ 31.24                 |
| 8.57960 | EMB    | 12/4/2012        | 6/6/2013  | \$ 971.01      | \$ 1,049.37 | \$ (78.36)            | -                               | \$ (78.36)               |
| 8.58068 | EOG    | 3/18/2013        | 9/27/2013 | \$ 1,467.91    | \$ 1,115.79 | \$ 352.12             | -                               | \$ 352.12                |
| 8.58838 | BERY   | 9/26/2013        | 9/27/2013 | \$ 172.46      | \$ 169.59   | \$ 2.86               | -                               | \$ 2.86                  |
| 8.59615 | NIHD   | 6/6/2012         | 3/8/2013  | \$ 40.57       | \$ 99.79    | \$ (59.22)            | -                               | \$ (59.22)               |
| 8.59755 | CMI    | 9/6/2013         | 9/27/2013 | \$ 1,146.83    | \$ 1,101.93 | \$ 44.90              | -                               | \$ 44.90                 |
| 8.61193 | EMB    | 12/18/2012       | 6/6/2013  | \$ 974.67      | \$ 1,052.25 | \$ (77.58)            | -                               | \$ (77.58)               |
| 8.61929 | SRCL   | 9/6/2013         | 9/27/2013 | \$ 995.62      | \$ 975.60   | \$ 20.02              | -                               | \$ 20.02                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 8.61930 | DBV    | 9/24/2012        | 5/28/2013 | \$ 233.93      | \$ 217.12   | \$ 16.81              | -                               | \$ 16.81                 |
| 8.65339 | IVV    | 1/11/2013        | 9/27/2013 | \$ 1,469.26    | \$ 1,277.02 | \$ 192.24             | -                               | \$ 192.24                |
| 8.68333 | V      | 3/18/2013        | 9/27/2013 | \$ 1,674.68    | \$ 1,379.13 | \$ 295.55             | -                               | \$ 295.55                |
| 8.71421 | PRGO   | 3/18/2013        | 9/27/2013 | \$ 1,077.52    | \$ 1,018.30 | \$ 59.22              | -                               | \$ 59.22                 |
| 8.71633 | CKSW   | 3/8/2013         | 9/27/2013 | \$ 54.92       | \$ 77.35    | \$ (22.43)            | -                               | \$ (22.43)               |
| 8.71635 | BIDU   | 10/15/2012       | 9/27/2013 | \$ 1,339.89    | \$ 984.79   | \$ 355.10             | -                               | \$ 355.10                |
| 8.72621 | SUSQ   | 12/31/2012       | 9/27/2013 | \$ 109.34      | \$ 91.18    | \$ 18.16              | -                               | \$ 18.16                 |
| 8.72782 | DBV    | 6/6/2012         | 3/18/2013 | \$ 235.97      | \$ 207.98   | \$ 27.99              | -                               | \$ 27.99                 |
| 8.73778 | CKSW   | 9/6/2013         | 9/27/2013 | \$ 55.05       | \$ 59.41    | \$ (4.36)             | -                               | \$ (4.36)                |
| 8.73802 | Q      | 5/14/2013        | 9/27/2013 | \$ 391.12      | \$ 387.64   | \$ 3.48               | -                               | \$ 3.48                  |
| 8.73957 | IWD    | 11/9/2012        | 1/11/2013 | \$ 657.24      | \$ 617.80   | \$ 39.44              | -                               | \$ 39.44                 |
| 8.74182 | EWL    | 2/20/2013        | 3/20/2013 | \$ 258.15      | \$ 255.81   | \$ 2.34               | -                               | \$ 2.34                  |
| 8.74854 | FNFG   | 3/18/2013        | 9/27/2013 | \$ 89.50       | \$ 74.60    | \$ 14.90              | -                               | \$ 14.90                 |
| 8.76031 | IWD    | 4/8/2013         | 6/25/2013 | \$ 722.04      | \$ 704.48   | \$ 17.56              | -                               | \$ 17.56                 |
| 8.77524 | FAST   | 4/24/2013        | 9/27/2013 | \$ 437.19      | \$ 434.71   | \$ 2.47               | -                               | \$ 2.47                  |
| 8.78106 | CKSW   | 12/19/2012       | 9/27/2013 | \$ 55.33       | \$ 70.37    | \$ (15.04)            | -                               | \$ (15.04)               |
| 8.79533 | USPH   | 3/18/2013        | 9/27/2013 | \$ 269.34      | \$ 214.87   | \$ 54.47              | -                               | \$ 54.47                 |
| 8.79721 | MNST   | 9/6/2013         | 9/27/2013 | \$ 467.48      | \$ 493.69   | \$ (26.21)            | -                               | \$ (26.21)               |
| 8.79807 | IWF    | 5/8/2013         | 8/23/2013 | \$ 669.01      | \$ 655.24   | \$ 13.77              | -                               | \$ 13.77                 |
| 8.84654 | COF    | 10/15/2012       | 9/27/2013 | \$ 611.03      | \$ 505.81   | \$ 105.22             | -                               | \$ 105.22                |
| 8.85140 | CELG   | 5/8/2013         | 9/27/2013 | \$ 1,365.07    | \$ 1,094.28 | \$ 270.79             | -                               | \$ 270.79                |
| 8.85770 | DOX    | 3/18/2013        | 9/27/2013 | \$ 328.09      | \$ 317.15   | \$ 10.94              | -                               | \$ 10.94                 |
| 8.86956 | CACH   | 3/18/2013        | 9/27/2013 | \$ 53.49       | \$ 27.17    | \$ 26.32              | -                               | \$ 26.32                 |
| 8.86977 | EWN    | 11/12/2012       | 3/20/2013 | \$ 185.73      | \$ 166.98   | \$ 18.75              | -                               | \$ 18.75                 |
| 8.88046 | DM     | 5/8/2013         | 9/27/2013 | \$ 19.18       | \$ 18.36    | \$ 0.82               | -                               | \$ 0.82                  |
| 8.88268 | VNQ    | 9/10/2012        | 5/28/2013 | \$ 669.39      | \$ 591.78   | \$ 77.60              | -                               | \$ 77.60                 |
| 8.88404 | AMSC   | 9/6/2013         | 9/27/2013 | \$ 20.70       | \$ 21.14    | \$ (0.44)             | -                               | \$ (0.44)                |
| 8.88694 | EL     | 10/15/2012       | 6/18/2013 | \$ 615.84      | \$ 562.52   | \$ 53.32              | -                               | \$ 53.32                 |
| 8.89865 | EWZ    | 6/6/2012         | 3/6/2013  | \$ 494.29      | \$ 453.80   | \$ 40.49              | -                               | \$ 40.49                 |
| 8.90358 | PEP    | 10/15/2012       | 9/27/2013 | \$ 713.90      | \$ 625.93   | \$ 87.98              | -                               | \$ 87.98                 |
| 8.90547 | FHN    | 3/18/2013        | 9/27/2013 | \$ 98.67       | \$ 98.45    | \$ 0.22               | -                               | \$ 0.22                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 8.92386 | APKT   | 2/28/2013        | 4/1/2013  | \$ 261.02      | \$ 260.58   | \$ 0.45               | -                               | \$ 0.45                  |
| 8.97331 | MUNI   | 3/19/2013        | 9/27/2013 | \$ 468.23      | \$ 484.91   | \$ (16.68)            | -                               | \$ (16.68)               |
| 8.98658 | VICR   | 3/18/2013        | 9/27/2013 | \$ 73.52       | \$ 43.54    | \$ 29.98              | -                               | \$ 29.98                 |
| 8.98751 | EIS    | 8/10/2012        | 3/20/2013 | \$ 403.74      | \$ 340.35   | \$ 63.39              | -                               | \$ 63.39                 |
| 8.98787 | REXX   | 5/8/2013         | 9/27/2013 | \$ 199.89      | \$ 150.85   | \$ 49.04              | -                               | \$ 49.04                 |
| 8.98966 | CL     | 5/8/2013         | 9/27/2013 | \$ 539.38      | \$ 540.78   | \$ (1.40)             | -                               | \$ (1.40)                |
| 9.00434 | AMAT   | 12/21/2012       | 9/27/2013 | \$ 158.75      | \$ 103.20   | \$ 55.55              | -                               | \$ 55.55                 |
| 9.01838 | CAM    | 10/15/2012       | 9/27/2013 | \$ 532.72      | \$ 480.62   | \$ 52.10              | -                               | \$ 52.10                 |
| 9.03462 | ATML   | 3/6/2013         | 9/27/2013 | \$ 66.04       | \$ 58.52    | \$ 7.52               | -                               | \$ 7.52                  |
| 9.09160 | NR     | 9/6/2013         | 9/27/2013 | \$ 113.65      | \$ 103.37   | \$ 10.28              | -                               | \$ 10.28                 |
| 9.10545 | OXY    | 5/8/2013         | 9/27/2013 | \$ 858.39      | \$ 812.96   | \$ 45.43              | -                               | \$ 45.43                 |
| 9.17009 | CTSH   | 12/18/2012       | 5/3/2013  | \$ 602.88      | \$ 683.82   | \$ (80.94)            | -                               | \$ (80.94)               |
| 9.18041 | RCKB   | 3/18/2013        | 9/27/2013 | \$ 119.71      | \$ 119.07   | \$ 0.64               | -                               | \$ 0.64                  |
| 9.20272 | CMI    | 5/8/2013         | 9/27/2013 | \$ 1,227.55    | \$ 1,050.23 | \$ 177.32             | -                               | \$ 177.32                |
| 9.25793 | CIT    | 6/18/2013        | 9/27/2013 | \$ 447.99      | \$ 441.43   | \$ 6.56               | -                               | \$ 6.56                  |
| 9.26142 | AMP    | 9/6/2013         | 9/27/2013 | \$ 846.37      | \$ 813.97   | \$ 32.40              | -                               | \$ 32.40                 |
| 9.26341 | DBC    | 6/6/2012         | 3/18/2013 | \$ 252.37      | \$ 233.51   | \$ 18.86              | -                               | \$ 18.86                 |
| 9.27617 | EWM    | 12/6/2012        | 1/8/2013  | \$ 140.37      | \$ 136.17   | \$ 4.19               | -                               | \$ 4.19                  |
| 9.27636 | DD     | 10/15/2012       | 9/27/2013 | \$ 548.44      | \$ 453.99   | \$ 94.45              | -                               | \$ 94.45                 |
| 9.29824 | AIG    | 3/13/2013        | 9/27/2013 | \$ 456.73      | \$ 365.25   | \$ 91.48              | -                               | \$ 91.48                 |
| 9.31079 | EMB    | 1/15/2013        | 6/13/2013 | \$ 1,044.70    | \$ 1,134.34 | \$ (89.64)            | -                               | \$ (89.64)               |
| 9.31996 | DVN    | 10/15/2012       | 9/27/2013 | \$ 546.34      | \$ 570.02   | \$ (23.68)            | -                               | \$ (23.68)               |
| 9.34760 | MIG    | 3/18/2013        | 9/27/2013 | \$ 60.39       | \$ 65.48    | \$ (5.09)             | -                               | \$ (5.09)                |
| 9.37137 | TQNT   | 9/6/2013         | 9/27/2013 | \$ 75.16       | \$ 73.47    | \$ 1.69               | -                               | \$ 1.69                  |
| 9.38080 | WPP    | 5/8/2013         | 9/27/2013 | \$ 120.92      | \$ 97.22    | \$ 23.70              | -                               | \$ 23.70                 |
| 9.38626 | AGG    | 10/5/2012        | 5/28/2013 | \$ 1,027.78    | \$ 1,050.72 | \$ (22.94)            | -                               | \$ (22.94)               |
| 9.40218 | ELD    | 11/12/2012       | 5/28/2013 | \$ 486.93      | \$ 493.03   | \$ (6.09)             | -                               | \$ (6.09)                |
| 9.40249 | MUNI   | 9/11/2013        | 9/27/2013 | \$ 490.62      | \$ 481.27   | \$ 9.36               | -                               | \$ 9.36                  |
| 9.42826 | C      | 5/8/2013         | 9/27/2013 | \$ 461.14      | \$ 459.89   | \$ 1.25               | -                               | \$ 1.25                  |
| 9.45331 | DAR    | 3/18/2013        | 9/27/2013 | \$ 193.70      | \$ 174.65   | \$ 19.05              | -                               | \$ 19.05                 |
| 9.46701 | VTR    | 10/15/2012       | 9/27/2013 | \$ 591.41      | \$ 606.61   | \$ (15.20)            | -                               | \$ (15.20)               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 9.50984  | FB     | 5/28/2013     | 9/27/2013 | \$ 484.91      | \$ 229.85   | \$ 255.06          | \$ -                      | \$ 255.06             |
| 9.53204  | EWM    | 9/10/2012     | 1/8/2013  | \$ 144.24      | \$ 136.76   | \$ 7.47            | \$ -                      | \$ 7.47               |
| 9.53275  | XOM    | 10/15/2012    | 9/27/2013 | \$ 827.67      | \$ 868.54   | \$ (40.88)         | \$ -                      | \$ (40.88)            |
| 9.53675  | BERY   | 5/8/2013      | 9/27/2013 | \$ 191.50      | \$ 190.15   | \$ 1.35            | \$ -                      | \$ 1.35               |
| 9.54445  | DLPH   | 7/25/2013     | 9/27/2013 | \$ 556.63      | \$ 527.96   | \$ 28.68           | \$ -                      | \$ 28.68              |
| 9.56611  | SLB    | 9/6/2013      | 9/27/2013 | \$ 849.87      | \$ 818.56   | \$ 31.31           | \$ -                      | \$ 31.31              |
| 9.57956  | EWL    | 7/17/2012     | 3/20/2013 | \$ 282.89      | \$ 217.50   | \$ 65.39           | \$ -                      | \$ 65.39              |
| 9.58351  | CIT    | 10/15/2012    | 9/27/2013 | \$ 463.75      | \$ 382.05   | \$ 81.70           | \$ -                      | \$ 81.70              |
| 9.58939  | RHT    | 9/6/2013      | 9/27/2013 | \$ 440.44      | \$ 488.52   | \$ (48.08)         | \$ -                      | \$ (48.08)            |
| 9.59831  | MEA    | 9/6/2013      | 9/27/2013 | \$ 13.82       | \$ 12.76    | \$ 1.06            | \$ -                      | \$ 1.06               |
| 9.63598  | EMB    | 11/12/2012    | 5/28/2013 | \$ 1,133.08    | \$ 1,171.40 | \$ (38.32)         | \$ -                      | \$ (38.32)            |
| 9.64612  | ERUS   | 7/6/2012      | 3/20/2013 | \$ 215.88      | \$ 199.19   | \$ 16.69           | \$ -                      | \$ 16.69              |
| 9.67112  | CFN    | 3/18/2013     | 9/27/2013 | \$ 356.67      | \$ 328.77   | \$ 27.90           | \$ -                      | \$ 27.90              |
| 9.67464  | NOV    | 10/15/2012    | 9/27/2013 | \$ 756.27      | \$ 756.59   | \$ (0.32)          | \$ -                      | \$ (0.32)             |
| 9.67528  | CKSW   | 5/8/2013      | 9/27/2013 | \$ 60.96       | \$ 71.19    | \$ (10.23)         | \$ -                      | \$ (10.23)            |
| 9.72881  | SEE    | 5/8/2013      | 9/27/2013 | \$ 267.54      | \$ 221.85   | \$ 45.69           | \$ -                      | \$ 45.69              |
| 9.76814  | TJX    | 9/6/2013      | 9/27/2013 | \$ 551.32      | \$ 529.20   | \$ 22.12           | \$ -                      | \$ 22.12              |
| 9.88078  | IWD    | 9/13/2013     | 9/27/2013 | \$ 856.39      | \$ 861.69   | \$ (5.30)          | \$ -                      | \$ (5.30)             |
| 9.94455  | PEP    | 5/8/2013      | 9/27/2013 | \$ 797.37      | \$ 824.28   | \$ (26.91)         | \$ -                      | \$ (26.91)            |
| 9.96655  | CHKP   | 9/6/2013      | 9/27/2013 | \$ 564.61      | \$ 568.06   | \$ (3.45)          | \$ -                      | \$ (3.45)             |
| 9.98185  | COF    | 5/8/2013      | 9/27/2013 | \$ 689.45      | \$ 593.20   | \$ 96.25           | \$ -                      | \$ 96.25              |
| 9.98877  | GILD   | 3/7/2013      | 9/27/2013 | \$ 633.49      | \$ 449.85   | \$ 183.64          | \$ -                      | \$ 183.64             |
| 9.99173  | DCT    | 6/3/2013      | 9/26/2013 | \$ 72.36       | \$ 75.99    | \$ (3.63)          | \$ -                      | \$ (3.63)             |
| 10.03132 | SHM    | 8/1/2013      | 9/27/2013 | \$ 242.79      | \$ 242.16   | \$ 0.63            | \$ -                      | \$ 0.63               |
| 10.05663 | AXP    | 10/15/2012    | 1/14/2013 | \$ 613.16      | \$ 579.51   | \$ 33.65           | \$ -                      | \$ 33.65              |
| 10.08769 | HSOJ   | 3/18/2013     | 9/27/2013 | \$ 32.89       | \$ 41.41    | \$ (8.52)          | \$ -                      | \$ (8.52)             |
| 10.08810 | CAM    | 5/8/2013      | 9/27/2013 | \$ 595.91      | \$ 650.74   | \$ (54.83)         | \$ -                      | \$ (54.83)            |
| 10.10351 | CSII   | 3/18/2013     | 9/27/2013 | \$ 202.37      | \$ 191.31   | \$ 11.06           | \$ -                      | \$ 11.06              |
| 10.11409 | ATML   | 5/8/2013      | 9/27/2013 | \$ 73.94       | \$ 67.44    | \$ 6.50            | \$ -                      | \$ 6.50               |
| 10.16509 | EMB    | 7/17/2012     | 5/6/2013  | \$ 1,232.56    | \$ 1,197.75 | \$ 34.81           | \$ -                      | \$ 34.81              |
| 10.19393 | EWL    | 9/10/2012     | 3/20/2013 | \$ 301.03      | \$ 249.91   | \$ 51.12           | \$ -                      | \$ 51.12              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 10.20587 | IWF    | 8/14/2013        | 9/27/2013 | \$ 801.27      | \$ 784.72   | \$ 16.55              | \$ -                            | \$ 16.55                 |
| 10.24007 | MRCY   | 9/6/2013         | 9/27/2013 | \$ 100.36      | \$ 89.49    | \$ 10.87              | \$ -                            | \$ 10.87                 |
| 10.26516 | ARIA   | 3/18/2013        | 9/27/2013 | \$ 191.65      | \$ 219.11   | \$ (27.46)            | \$ -                            | \$ (27.46)               |
| 10.27459 | CTSH   | 5/8/2013         | 9/27/2013 | \$ 849.81      | \$ 696.94   | \$ 152.88             | \$ -                            | \$ 152.88                |
| 10.30160 | SEE    | 9/6/2013         | 9/27/2013 | \$ 283.30      | \$ 304.72   | \$ (21.42)            | \$ -                            | \$ (21.42)               |
| 10.32165 | SLB    | 5/8/2013         | 9/27/2013 | \$ 917.00      | \$ 795.64   | \$ 121.36             | \$ -                            | \$ 121.36                |
| 10.34394 | KEG    | 3/18/2013        | 9/27/2013 | \$ 76.75       | \$ 89.32    | \$ (12.57)            | \$ -                            | \$ (12.57)               |
| 10.34759 | AVV    | 3/18/2013        | 9/27/2013 | \$ 290.46      | \$ 315.55   | \$ (25.09)            | \$ -                            | \$ (25.09)               |
| 10.36047 | PX     | 9/6/2013         | 9/27/2013 | \$ 1,245.98    | \$ 1,230.80 | \$ 15.18              | \$ -                            | \$ 15.18                 |
| 10.37059 | V      | 3/18/2013        | 9/27/2013 | \$ 2,000.08    | \$ 1,647.11 | \$ 352.97             | \$ -                            | \$ 352.97                |
| 10.37604 | ORI    | 3/18/2013        | 9/27/2013 | \$ 158.65      | \$ 129.96   | \$ 28.69              | \$ -                            | \$ 28.69                 |
| 10.37739 | IWF    | 7/29/2013        | 9/27/2013 | \$ 814.74      | \$ 789.93   | \$ 24.81              | \$ -                            | \$ 24.81                 |
| 10.37983 | IWD    | 4/29/2013        | 7/30/2013 | \$ 909.09      | \$ 853.84   | \$ 55.25              | \$ -                            | \$ 55.25                 |
| 10.38844 | EL     | 5/8/2013         | 8/14/2013 | \$ 676.19      | \$ 729.12   | \$ (52.93)            | \$ -                            | \$ (52.93)               |
| 10.39613 | UNH    | 3/26/2013        | 9/27/2013 | \$ 747.69      | \$ 576.45   | \$ 171.24             | \$ -                            | \$ 171.24                |
| 10.43150 | MUB    | 1/8/2013         | 9/27/2013 | \$ 1,088.72    | \$ 1,166.86 | \$ (78.13)            | \$ -                            | \$ (78.13)               |
| 10.44828 | FXI    | 11/12/2012       | 3/20/2013 | \$ 388.05      | \$ 382.60   | \$ 5.45               | \$ -                            | \$ 5.45                  |
| 10.49090 | ERUS   | 7/17/2012        | 3/20/2013 | \$ 234.79      | \$ 223.09   | \$ 11.70              | \$ -                            | \$ 11.70                 |
| 10.49922 | VTR    | 5/8/2013         | 9/27/2013 | \$ 655.89      | \$ 839.66   | \$ (183.77)           | \$ -                            | \$ (183.77)              |
| 10.50037 | GILD   | 6/6/2012         | 4/24/2013 | \$ 540.83      | \$ 258.04   | \$ 282.79             | \$ -                            | \$ 282.79                |
| 10.52427 | AMSC   | 5/8/2013         | 9/27/2013 | \$ 24.52       | \$ 26.92    | \$ (2.40)             | \$ -                            | \$ (2.40)                |
| 10.53235 | VRTX   | 7/31/2013        | 9/27/2013 | \$ 802.78      | \$ 849.85   | \$ (47.07)            | \$ -                            | \$ (47.07)               |
| 10.54453 | SRCL   | 6/13/2013        | 9/27/2013 | \$ 1,218.01    | \$ 1,116.91 | \$ 101.11             | \$ -                            | \$ 101.11                |
| 10.57097 | NR     | 5/8/2013         | 9/27/2013 | \$ 132.14      | \$ 117.80   | \$ 14.34              | \$ -                            | \$ 14.34                 |
| 10.57568 | EW     | 5/8/2013         | 9/26/2013 | \$ 720.13      | \$ 680.90   | \$ 39.23              | \$ -                            | \$ 39.23                 |
| 10.59480 | MUB    | 3/7/2013         | 9/27/2013 | \$ 1,105.76    | \$ 1,177.45 | \$ (71.69)            | \$ -                            | \$ (71.69)               |
| 10.63474 | DLPH   | 9/6/2013         | 9/27/2013 | \$ 620.22      | \$ 608.62   | \$ 11.60              | \$ -                            | \$ 11.60                 |
| 10.64882 | TMO    | 9/6/2013         | 9/27/2013 | \$ 988.75      | \$ 970.95   | \$ 17.80              | \$ -                            | \$ 17.80                 |
| 10.65229 | FTI    | 1/9/2013         | 9/27/2013 | \$ 589.29      | \$ 461.24   | \$ 128.05             | \$ -                            | \$ 128.05                |
| 10.66771 | MON    | 9/10/2013        | 9/27/2013 | \$ 1,127.90    | \$ 1,094.20 | \$ 33.70              | \$ -                            | \$ 33.70                 |
| 10.67625 | DVN    | 5/8/2013         | 9/27/2013 | \$ 625.84      | \$ 624.75   | \$ 1.09               | \$ -                            | \$ 1.09                  |

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 10.69569 | TRV    | 3/18/2013        | 4/29/2013 | \$ 908.60      | \$ 885.55   | \$ 23.05              | -                               | \$ 23.05                 |
| 10.69737 | COL    | 9/6/2013         | 9/27/2013 | \$ 735.02      | \$ 763.99   | \$ (28.97)            | -                               | \$ (28.97)               |
| 10.70866 | BID    | 5/28/2013        | 9/27/2013 | \$ 522.69      | \$ 398.37   | \$ 124.32             | -                               | \$ 124.32                |
| 10.72323 | STR    | 6/6/2012         | 5/29/2013 | \$ 254.75      | \$ 216.39   | \$ 38.37              | -                               | \$ 38.37                 |
| 10.73215 | MENT   | 3/18/2013        | 9/27/2013 | \$ 248.45      | \$ 185.72   | \$ 62.73              | -                               | \$ 62.73                 |
| 10.76742 | LTXC   | 3/18/2013        | 9/27/2013 | \$ 70.64       | \$ 64.12    | \$ 6.52               | -                               | \$ 6.52                  |
| 10.77311 | DLPH   | 7/24/2013        | 9/27/2013 | \$ 628.29      | \$ 597.05   | \$ 31.24              | -                               | \$ 31.24                 |
| 10.78663 | NOV    | 5/8/2013         | 9/27/2013 | \$ 843.19      | \$ 739.07   | \$ 104.12             | -                               | \$ 104.12                |
| 10.81080 | AXP    | 10/15/2012       | 6/19/2013 | \$ 808.33      | \$ 622.97   | \$ 185.36             | -                               | \$ 185.36                |
| 10.83919 | DBV    | 5/1/2013         | 9/27/2013 | \$ 277.19      | \$ 294.65   | \$ (17.46)            | -                               | \$ (17.46)               |
| 10.85274 | ARMH   | 9/10/2013        | 9/27/2013 | \$ 529.19      | \$ 483.75   | \$ 45.44              | -                               | \$ 45.44                 |
| 10.85398 | EMB    | 6/18/2013        | 6/20/2013 | \$ 1,155.95    | \$ 1,218.90 | \$ (62.95)            | -                               | \$ (62.95)               |
| 10.86438 | IP     | 10/15/2012       | 9/27/2013 | \$ 494.25      | \$ 404.33   | \$ 89.92              | -                               | \$ 89.92                 |
| 10.87441 | PRFT   | 3/18/2013        | 9/27/2013 | \$ 200.20      | \$ 127.72   | \$ 72.48              | -                               | \$ 72.48                 |
| 10.89264 | AIG    | 5/8/2013         | 9/27/2013 | \$ 535.05      | \$ 484.91   | \$ 50.14              | -                               | \$ 50.14                 |
| 10.95726 | MON    | 3/18/2013        | 9/27/2013 | \$ 1,158.52    | \$ 1,128.16 | \$ 30.36              | -                               | \$ 30.36                 |
| 10.99125 | AGN    | 3/18/2013        | 9/27/2013 | \$ 999.55      | \$ 1,194.42 | \$ (194.87)           | -                               | \$ (194.87)              |
| 10.99723 | REXX   | 3/18/2013        | 9/27/2013 | \$ 244.58      | \$ 178.87   | \$ 65.71              | -                               | \$ 65.71                 |
| 11.00388 | TQNT   | 5/8/2013         | 9/27/2013 | \$ 88.25       | \$ 65.01    | \$ 23.24              | -                               | \$ 23.24                 |
| 11.07176 | IWF    | 7/15/2013        | 9/10/2013 | \$ 857.75      | \$ 845.54   | \$ 12.21              | -                               | \$ 12.21                 |
| 11.08680 | IWF    | 3/18/2013        | 5/28/2013 | \$ 842.59      | \$ 785.45   | \$ 57.14              | -                               | \$ 57.14                 |
| 11.09737 | IWF    | 7/29/2013        | 9/25/2013 | \$ 870.04      | \$ 844.73   | \$ 25.31              | -                               | \$ 25.31                 |
| 11.10949 | MNST   | 10/31/2012       | 6/13/2013 | \$ 683.47      | \$ 495.82   | \$ 187.65             | -                               | \$ 187.65                |
| 11.12742 | DM     | 3/18/2013        | 9/27/2013 | \$ 24.04       | \$ 25.76    | \$ (1.72)             | -                               | \$ (1.72)                |
| 11.13773 | DNR    | 5/8/2013         | 9/27/2013 | \$ 206.61      | \$ 204.02   | \$ 2.59               | -                               | \$ 2.59                  |
| 11.13848 | CL     | 9/6/2013         | 9/27/2013 | \$ 668.31      | \$ 646.36   | \$ 21.95              | -                               | \$ 21.95                 |
| 11.13986 | IWD    | 7/16/2013        | 9/18/2013 | \$ 978.21      | \$ 966.82   | \$ 11.39              | -                               | \$ 11.39                 |
| 11.16878 | AGG    | 1/15/2013        | 6/13/2013 | \$ 1,210.34    | \$ 1,240.38 | \$ (30.03)            | -                               | \$ (30.03)               |
| 11.18353 | MRCY   | 5/8/2013         | 9/27/2013 | \$ 109.60      | \$ 103.15   | \$ 6.45               | -                               | \$ 6.45                  |
| 11.18616 | GPC    | 3/18/2013        | 9/27/2013 | \$ 899.29      | \$ 849.03   | \$ 50.26              | -                               | \$ 50.26                 |
| 11.18716 | DCT    | 9/6/2013         | 9/27/2013 | \$ 81.33       | \$ 77.97    | \$ 3.36               | -                               | \$ 3.36                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 11.19037 | EPU    | 8/10/2012        | 3/20/2013 | \$ 485.27      | \$ 450.83   | \$ 34.45              | -                               | \$ 34.45                 |
| 11.22493 | DBV    | 6/6/2012         | 1/17/2013 | \$ 300.27      | \$ 267.48   | \$ 32.79              | -                               | \$ 32.79                 |
| 11.23574 | AXP    | 5/8/2013         | 9/27/2013 | \$ 851.56      | \$ 788.50   | \$ 63.06              | -                               | \$ 63.06                 |
| 11.26808 | EWM    | 6/5/2012         | 1/8/2013  | \$ 170.51      | \$ 153.19   | \$ 17.32              | -                               | \$ 17.32                 |
| 11.26863 | BPFH   | 9/6/2013         | 9/27/2013 | \$ 123.39      | \$ 117.53   | \$ 5.86               | -                               | \$ 5.86                  |
| 11.27409 | EL     | 3/18/2013        | 8/14/2013 | \$ 733.84      | \$ 706.10   | \$ 27.75              | -                               | \$ 27.75                 |
| 11.28538 | XYL    | 7/31/2013        | 9/27/2013 | \$ 316.22      | \$ 282.10   | \$ 34.11              | -                               | \$ 34.11                 |
| 11.28644 | IR     | 10/15/2012       | 9/27/2013 | \$ 739.49      | \$ 499.34   | \$ 240.15             | -                               | \$ 240.15                |
| 11.28733 | EIS    | 11/7/2012        | 3/20/2013 | \$ 507.05      | \$ 472.22   | \$ 34.84              | -                               | \$ 34.84                 |
| 11.29798 | BA     | 10/15/2012       | 9/27/2013 | \$ 1,337.39    | \$ 814.26   | \$ 523.13             | -                               | \$ 523.13                |
| 11.30732 | CME    | 5/8/2013         | 9/27/2013 | \$ 848.39      | \$ 684.61   | \$ 163.78             | -                               | \$ 163.78                |
| 11.34474 | AIG    | 6/19/2013        | 9/27/2013 | \$ 557.26      | \$ 514.48   | \$ 42.77              | -                               | \$ 42.77                 |
| 11.34643 | ACCO   | 3/18/2013        | 9/27/2013 | \$ 76.25       | \$ 86.63    | \$ (10.38)            | -                               | \$ (10.38)               |
| 11.37018 | C      | 6/18/2013        | 9/27/2013 | \$ 556.12      | \$ 569.26   | \$ (13.14)            | -                               | \$ (13.14)               |
| 11.37241 | KORS   | 9/6/2013         | 9/27/2013 | \$ 848.27      | \$ 862.10   | \$ (13.83)            | -                               | \$ (13.83)               |
| 11.39277 | MSFT   | 11/12/2012       | 9/27/2013 | \$ 380.89      | \$ 325.56   | \$ 55.32              | -                               | \$ 55.32                 |
| 11.40688 | MUB    | 1/11/2013        | 9/27/2013 | \$ 1,190.52    | \$ 1,279.85 | \$ (89.33)            | -                               | \$ (89.33)               |
| 11.44935 | SRCL   | 3/18/2013        | 9/27/2013 | \$ 1,322.53    | \$ 1,161.48 | \$ 161.05             | -                               | \$ 161.05                |
| 11.45899 | NORW   | 1/15/2013        | 3/20/2013 | \$ 176.03      | \$ 177.67   | \$ (1.64)             | -                               | \$ (1.64)                |
| 11.46053 | IWF    | 1/14/2013        | 1/25/2013 | \$ 785.28      | \$ 772.20   | \$ 13.07              | -                               | \$ 13.07                 |
| 11.46666 | AUY    | 3/18/2013        | 9/27/2013 | \$ 119.71      | \$ 167.70   | \$ (47.99)            | -                               | \$ (47.99)               |
| 11.47126 | AGG    | 12/18/2012       | 6/6/2013  | \$ 1,246.25    | \$ 1,272.68 | \$ (26.44)            | -                               | \$ (26.44)               |
| 11.55717 | GDI    | 4/1/2013         | 7/31/2013 | \$ 878.34      | \$ 868.01   | \$ 10.33              | -                               | \$ 10.33                 |
| 11.56181 | OXY    | 9/6/2013         | 9/27/2013 | \$ 1,089.96    | \$ 1,034.75 | \$ 55.21              | -                               | \$ 55.21                 |
| 11.58332 | FTI    | 9/6/2013         | 9/27/2013 | \$ 640.80      | \$ 636.36   | \$ 4.44               | -                               | \$ 4.44                  |
| 11.59409 | CIT    | 10/24/2012       | 9/27/2013 | \$ 561.04      | \$ 436.87   | \$ 124.17             | -                               | \$ 124.17                |
| 11.62732 | DNR    | 9/6/2013         | 9/27/2013 | \$ 215.69      | \$ 207.43   | \$ 8.26               | -                               | \$ 8.26                  |
| 11.64313 | EMB    | 6/21/2013        | 8/20/2013 | \$ 1,239.65    | \$ 1,253.92 | \$ (14.28)            | -                               | \$ (14.28)               |
| 11.65654 | MON    | 1/7/2013         | 9/27/2013 | \$ 1,232.45    | \$ 1,117.01 | \$ 115.44             | -                               | \$ 115.44                |
| 11.69262 | EWZ    | 9/13/2012        | 3/20/2013 | \$ 642.63      | \$ 637.95   | \$ 4.68               | -                               | \$ 4.68                  |
| 11.69378 | SHY    | 3/6/2013         | 4/26/2013 | \$ 988.01      | \$ 987.30   | \$ 0.71               | -                               | \$ 0.71                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 11.70230 | MNST   | 5/8/2013         | 9/27/2013 | \$ 621.86      | \$ 664.71   | \$ (42.85)            | -                               | \$ (42.85)               |
| 11.71875 | XOM    | 5/8/2013         | 9/27/2013 | \$ 1,017.46    | \$ 1,070.83 | \$ (53.37)            | -                               | \$ (53.37)               |
| 11.72125 | ATML   | 9/6/2013         | 9/27/2013 | \$ 85.68       | \$ 88.14    | \$ (2.46)             | -                               | \$ (2.46)                |
| 11.72604 | EWO    | 7/17/2012        | 3/20/2013 | \$ 210.16      | \$ 163.95   | \$ 46.21              | -                               | \$ 46.21                 |
| 11.78874 | ZINC   | 9/18/2013        | 9/27/2013 | \$ 155.50      | \$ 159.59   | \$ (4.10)             | -                               | \$ (4.10)                |
| 11.79118 | SHM    | 9/11/2013        | 9/27/2013 | \$ 285.38      | \$ 283.28   | \$ 2.10               | -                               | \$ 2.10                  |
| 11.84922 | SCHW   | 11/12/2012       | 9/27/2013 | \$ 250.61      | \$ 154.22   | \$ 96.39              | -                               | \$ 96.39                 |
| 11.87474 | CMI    | 10/15/2012       | 1/14/2013 | \$ 1,316.51    | \$ 1,050.36 | \$ 266.15             | -                               | \$ 266.15                |
| 11.88039 | IWF    | 2/22/2013        | 5/28/2013 | \$ 902.90      | \$ 815.82   | \$ 87.08              | -                               | \$ 87.08                 |
| 11.89151 | EMB    | 7/10/2013        | 9/27/2013 | \$ 1,298.68    | \$ 1,283.67 | \$ 15.00              | -                               | \$ 15.00                 |
| 11.89417 | EWQ    | 1/15/2013        | 3/20/2013 | \$ 284.82      | \$ 282.10   | \$ 2.72               | -                               | \$ 2.72                  |
| 11.92258 | C      | 3/18/2013        | 9/27/2013 | \$ 583.14      | \$ 552.85   | \$ 30.29              | -                               | \$ 30.29                 |
| 11.92266 | RWX    | 3/6/2013         | 9/27/2013 | \$ 502.79      | \$ 507.19   | \$ (4.40)             | -                               | \$ (4.40)                |
| 11.92637 | BA     | 5/8/2013         | 9/27/2013 | \$ 1,411.77    | \$ 1,122.22 | \$ 289.55             | -                               | \$ 289.55                |
| 11.92925 | IDTI   | 9/6/2013         | 9/27/2013 | \$ 111.78      | \$ 109.27   | \$ 2.51               | -                               | \$ 2.51                  |
| 11.92969 | FAST   | 5/8/2013         | 9/27/2013 | \$ 594.34      | \$ 574.96   | \$ 19.38              | -                               | \$ 19.38                 |
| 11.94503 | PMTC   | 3/18/2013        | 9/27/2013 | \$ 339.72      | \$ 306.45   | \$ 33.27              | -                               | \$ 33.27                 |
| 11.94804 | UNH    | 10/15/2012       | 9/27/2013 | \$ 859.31      | \$ 687.90   | \$ 171.41             | -                               | \$ 171.41                |
| 11.95653 | IWF    | 5/17/2013        | 9/27/2013 | \$ 938.72      | \$ 905.29   | \$ 33.43              | -                               | \$ 33.43                 |
| 12.00977 | MINT   | 7/12/2013        | 9/27/2013 | \$ 1,218.15    | \$ 1,217.31 | \$ 0.84               | -                               | \$ 0.84                  |
| 12.02885 | EMC    | 8/27/2012        | 5/14/2013 | \$ 276.89      | \$ 321.14   | \$ (44.25)            | -                               | \$ (44.25)               |
| 12.05521 | ZQK    | 9/6/2013         | 9/27/2013 | \$ 84.99       | \$ 82.57    | \$ 2.42               | -                               | \$ 2.42                  |
| 12.07662 | C      | 3/13/2013        | 9/27/2013 | \$ 590.67      | \$ 561.47   | \$ 29.20              | -                               | \$ 29.20                 |
| 12.09493 | BERY   | 10/9/2012        | 7/2/2013  | \$ 268.04      | \$ 177.88   | \$ 90.16              | -                               | \$ 90.16                 |
| 12.09540 | V      | 10/15/2012       | 9/27/2013 | \$ 2,332.73    | \$ 1,693.86 | \$ 638.87             | -                               | \$ 638.87                |
| 12.11692 | WPP    | 3/18/2013        | 9/27/2013 | \$ 156.19      | \$ 128.50   | \$ 27.69              | -                               | \$ 27.69                 |
| 12.13481 | IR     | 5/8/2013         | 9/27/2013 | \$ 795.08      | \$ 672.00   | \$ 123.08             | -                               | \$ 123.08                |
| 12.16061 | SEE    | 3/18/2013        | 9/27/2013 | \$ 334.42      | \$ 293.01   | \$ 41.41              | -                               | \$ 41.41                 |
| 12.16667 | GILD   | 9/6/2013         | 9/27/2013 | \$ 771.61      | \$ 743.13   | \$ 28.48              | -                               | \$ 28.48                 |
| 12.21762 | EWM    | 7/17/2012        | 1/8/2013  | \$ 184.88      | \$ 176.51   | \$ 8.36               | -                               | \$ 8.36                  |
| 12.26716 | IVV    | 10/5/2012        | 7/8/2013  | \$ 2,019.33    | \$ 1,812.22 | \$ 207.11             | -                               | \$ 207.11                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 12.27471 | EWY    | 9/13/2012        | 3/20/2013 | \$ 713.90      | \$ 707.86   | \$ 6.03               | -                               | \$ 6.03                  |
| 12.30987 | DD     | 5/8/2013         | 9/27/2013 | \$ 727.79      | \$ 673.32   | \$ 54.47              | -                               | \$ 54.47                 |
| 12.31224 | PRGO   | 10/15/2012       | 9/27/2013 | \$ 1,522.41    | \$ 1,466.76 | \$ 55.66              | -                               | \$ 55.66                 |
| 12.41476 | EOG    | 10/15/2012       | 9/27/2013 | \$ 2,123.81    | \$ 1,359.93 | \$ 763.88             | -                               | \$ 763.88                |
| 12.41853 | MEA    | 5/8/2013         | 9/27/2013 | \$ 17.89       | \$ 20.34    | \$ (2.45)             | -                               | \$ (2.45)                |
| 12.43136 | BC     | 8/6/2013         | 9/27/2013 | \$ 495.64      | \$ 487.47   | \$ 8.17               | -                               | \$ 8.17                  |
| 12.44511 | CTSH   | 3/18/2013        | 5/3/2013  | \$ 818.19      | \$ 972.96   | \$ (154.77)           | -                               | \$ (154.77)              |
| 12.45090 | CKSW   | 3/18/2013        | 9/27/2013 | \$ 78.45       | \$ 102.72   | \$ (24.27)            | -                               | \$ (24.27)               |
| 12.45941 | EMB    | 6/27/2013        | 9/27/2013 | \$ 1,360.70    | \$ 1,358.08 | \$ 2.62               | -                               | \$ 2.62                  |
| 12.49885 | RWX    | 1/15/2013        | 9/27/2013 | \$ 527.09      | \$ 517.58   | \$ 9.51               | -                               | \$ 9.51                  |
| 12.50073 | CME    | 12/18/2012       | 9/27/2013 | \$ 937.93      | \$ 650.06   | \$ 287.87             | -                               | \$ 287.87                |
| 12.51592 | AXP    | 5/8/2013         | 9/27/2013 | \$ 948.58      | \$ 878.34   | \$ 70.24              | -                               | \$ 70.24                 |
| 12.52813 | PINC   | 9/27/2013        | 9/27/2013 | \$ 397.55      | \$ 389.70   | \$ 7.85               | -                               | \$ 7.85                  |
| 12.54691 | MMC    | 10/15/2012       | 9/27/2013 | \$ 549.93      | \$ 433.35   | \$ 116.59             | -                               | \$ 116.59                |
| 12.58181 | DD     | 12/18/2012       | 9/27/2013 | \$ 743.87      | \$ 565.97   | \$ 177.89             | -                               | \$ 177.89                |
| 12.59062 | EMB    | 12/4/2012        | 5/31/2013 | \$ 1,453.57    | \$ 1,539.96 | \$ (86.39)            | -                               | \$ (86.39)               |
| 12.59981 | ACWI   | 9/10/2012        | 5/28/2013 | \$ 672.95      | \$ 587.97   | \$ 84.98              | -                               | \$ 84.98                 |
| 12.61881 | RWX    | 10/5/2012        | 9/27/2013 | \$ 532.15      | \$ 505.50   | \$ 26.65              | -                               | \$ 26.65                 |
| 12.66053 | TFI    | 8/16/2012        | 7/5/2013  | \$ 282.84      | \$ 307.52   | \$ (24.69)            | -                               | \$ (24.69)               |
| 12.66205 | YUM    | 5/8/2013         | 7/15/2013 | \$ 910.99      | \$ 877.55   | \$ 33.44              | -                               | \$ 33.44                 |
| 12.70145 | MEI    | 5/6/2013         | 9/27/2013 | \$ 351.33      | \$ 187.55   | \$ 163.78             | -                               | \$ 163.78                |
| 12.70319 | DVN    | 9/6/2013         | 9/27/2013 | \$ 744.66      | \$ 748.56   | \$ (3.90)             | -                               | \$ (3.90)                |
| 12.71436 | EWN    | 8/10/2012        | 3/6/2013  | \$ 262.04      | \$ 227.08   | \$ 34.96              | -                               | \$ 34.96                 |
| 12.74456 | CRM    | 9/6/2013         | 9/27/2013 | \$ 668.84      | \$ 622.68   | \$ 46.16              | -                               | \$ 46.16                 |
| 12.81815 | DBV    | 1/15/2013        | 6/13/2013 | \$ 329.04      | \$ 341.96   | \$ (12.93)            | -                               | \$ (12.93)               |
| 12.82926 | MEA    | 3/18/2013        | 9/27/2013 | \$ 18.48       | \$ 23.67    | \$ (5.19)             | -                               | \$ (5.19)                |
| 12.85612 | AGG    | 2/20/2013        | 6/20/2013 | \$ 1,378.56    | \$ 1,416.30 | \$ (37.73)            | -                               | \$ (37.73)               |
| 12.88669 | PEP    | 9/6/2013         | 9/27/2013 | \$ 1,033.28    | \$ 1,022.29 | \$ 10.99              | -                               | \$ 10.99                 |
| 12.95982 | LG     | 6/12/2013        | 9/27/2013 | \$ 584.88      | \$ 595.23   | \$ (10.35)            | -                               | \$ (10.35)               |
| 12.97285 | FAST   | 1/9/2013         | 9/27/2013 | \$ 646.31      | \$ 618.26   | \$ 28.05              | -                               | \$ 28.05                 |
| 12.97748 | ATML   | 3/18/2013        | 9/27/2013 | \$ 94.87       | \$ 89.35    | \$ 5.52               | -                               | \$ 5.52                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 12.97812 | ELD    | 11/12/2012       | 5/31/2013 | \$ 651.62      | \$ 680.54   | \$ (28.92)            | -                               | \$ (28.92)               |
| 12.97866 | AMP    | 3/18/2013        | 9/27/2013 | \$ 1,186.08    | \$ 964.12   | \$ 221.96             | -                               | \$ 221.96                |
| 13.03239 | NR     | 3/18/2013        | 9/27/2013 | \$ 162.91      | \$ 122.70   | \$ 40.21              | -                               | \$ 40.21                 |
| 13.03548 | KSS    | 10/15/2012       | 9/27/2013 | \$ 677.98      | \$ 671.31   | \$ 6.67               | -                               | \$ 6.67                  |
| 13.04007 | DBC    | 6/6/2012         | 1/17/2013 | \$ 362.12      | \$ 328.71   | \$ 33.42              | -                               | \$ 33.42                 |
| 13.07369 | CAM    | 9/6/2013         | 9/27/2013 | \$ 772.27      | \$ 769.11   | \$ 3.16               | -                               | \$ 3.16                  |
| 13.08476 | NOV    | 7/30/2013        | 9/27/2013 | \$ 1,022.84    | \$ 909.13   | \$ 113.71             | -                               | \$ 113.71                |
| 13.10542 | IWD    | 3/14/2013        | 3/20/2013 | \$ 1,063.90    | \$ 1,065.95 | \$ (2.05)             | -                               | \$ (2.05)                |
| 13.10556 | NOV    | 3/18/2013        | 9/27/2013 | \$ 1,024.46    | \$ 913.13   | \$ 111.33             | -                               | \$ 111.33                |
| 13.12377 | VNTV   | 3/18/2013        | 9/27/2013 | \$ 360.38      | \$ 301.65   | \$ 58.73              | -                               | \$ 58.73                 |
| 13.13974 | JNK    | 1/15/2013        | 6/13/2013 | \$ 526.64      | \$ 540.68   | \$ (14.04)            | -                               | \$ (14.04)               |
| 13.14063 | FAST   | 9/10/2013        | 9/27/2013 | \$ 654.67      | \$ 659.72   | \$ (5.05)             | -                               | \$ (5.05)                |
| 13.15333 | IWF    | 5/8/2013         | 9/27/2013 | \$ 1,032.68    | \$ 979.61   | \$ 53.08              | -                               | \$ 53.08                 |
| 13.17020 | AET    | 5/8/2013         | 9/27/2013 | \$ 848.03      | \$ 778.17   | \$ 69.86              | -                               | \$ 69.86                 |
| 13.18347 | HYS    | 7/10/2013        | 9/27/2013 | \$ 1,389.67    | \$ 1,364.45 | \$ 25.22              | -                               | \$ 25.22                 |
| 13.23383 | BIDU   | 3/18/2013        | 9/27/2013 | \$ 2,034.33    | \$ 1,132.75 | \$ 901.58             | -                               | \$ 901.58                |
| 13.24569 | MCD    | 3/18/2013        | 9/27/2013 | \$ 1,287.00    | \$ 1,309.41 | \$ (22.41)            | -                               | \$ (22.41)               |
| 13.25988 | AGG    | 1/15/2013        | 6/20/2013 | \$ 1,422.00    | \$ 1,472.61 | \$ (50.61)            | -                               | \$ (50.61)               |
| 13.27188 | HYS    | 6/28/2013        | 8/20/2013 | \$ 1,377.10    | \$ 1,365.01 | \$ 12.08              | -                               | \$ 12.08                 |
| 13.31578 | COF    | 9/6/2013         | 9/27/2013 | \$ 919.72      | \$ 871.78   | \$ 47.94              | -                               | \$ 47.94                 |
| 13.31968 | BPFH   | 5/8/2013         | 9/27/2013 | \$ 145.85      | \$ 128.93   | \$ 16.92              | -                               | \$ 16.92                 |
| 13.32084 | MUB    | 8/7/2013         | 9/27/2013 | \$ 1,390.28    | \$ 1,374.98 | \$ 15.30              | -                               | \$ 15.30                 |
| 13.33261 | TQNT   | 3/18/2013        | 9/27/2013 | \$ 106.93      | \$ 61.93    | \$ 45.00              | -                               | \$ 45.00                 |
| 13.40396 | EMB    | 6/21/2013        | 8/16/2013 | \$ 1,447.78    | \$ 1,443.56 | \$ 4.22               | -                               | \$ 4.22                  |
| 13.48577 | FAST   | 3/18/2013        | 9/27/2013 | \$ 671.87      | \$ 685.01   | \$ (13.14)            | -                               | \$ (13.14)               |
| 13.48880 | ELD    | 12/4/2012        | 5/31/2013 | \$ 677.26      | \$ 713.56   | \$ (36.30)            | -                               | \$ (36.30)               |
| 13.49681 | IWF    | 9/6/2013         | 9/27/2013 | \$ 1,059.65    | \$ 1,032.98 | \$ 26.67              | -                               | \$ 26.67                 |
| 13.55382 | C      | 4/1/2013         | 9/27/2013 | \$ 662.92      | \$ 596.60   | \$ 66.32              | -                               | \$ 66.32                 |
| 13.64737 | GCI    | 7/2/2013         | 9/27/2013 | \$ 364.52      | \$ 346.61   | \$ 17.92              | -                               | \$ 17.92                 |
| 13.67045 | EW     | 10/15/2012       | 9/26/2013 | \$ 930.86      | \$ 1,188.72 | \$ (257.86)           | -                               | \$ (257.86)              |
| 13.67190 | VTR    | 9/6/2013         | 9/27/2013 | \$ 854.09      | \$ 851.98   | \$ 2.11               | -                               | \$ 2.11                  |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 13.69637 | SCHW   | 10/15/2012       | 6/20/2013 | \$ 281.36      | \$ 177.91   | \$ 103.44             | -                               | \$ 103.44                |
| 13.73871 | EVHC   | 8/15/2013        | 9/27/2013 | \$ 362.15      | \$ 346.63   | \$ 15.52              | -                               | \$ 15.52                 |
| 13.81170 | BRK B  | 10/15/2012       | 2/22/2013 | \$ 1,390.36    | \$ 1,230.64 | \$ 159.72             | -                               | \$ 159.72                |
| 13.82198 | IGT    | 2/21/2013        | 9/27/2013 | \$ 268.98      | \$ 223.10   | \$ 45.88              | -                               | \$ 45.88                 |
| 13.83308 | EWI    | 11/12/2012       | 3/20/2013 | \$ 171.25      | \$ 165.56   | \$ 5.70               | -                               | \$ 5.70                  |
| 13.84859 | DHR    | 10/15/2012       | 9/27/2013 | \$ 966.63      | \$ 778.48   | \$ 188.16             | -                               | \$ 188.16                |
| 13.85227 | CHKP   | 3/18/2013        | 9/27/2013 | \$ 784.73      | \$ 689.22   | \$ 95.51              | -                               | \$ 95.51                 |
| 13.86635 | IDTI   | 5/8/2013         | 9/27/2013 | \$ 129.93      | \$ 105.91   | \$ 24.02              | -                               | \$ 24.02                 |
| 13.94451 | BRK B  | 9/6/2013         | 9/27/2013 | \$ 1,598.60    | \$ 1,566.38 | \$ 32.22              | -                               | \$ 32.22                 |
| 13.95726 | EMB    | 7/12/2013        | 9/27/2013 | \$ 1,524.28    | \$ 1,525.93 | \$ (1.65)             | -                               | \$ (1.65)                |
| 13.96958 | QCOM   | 5/8/2013         | 9/27/2013 | \$ 939.46      | \$ 899.89   | \$ 39.58              | -                               | \$ 39.58                 |
| 13.97270 | NU     | 10/15/2012       | 9/27/2013 | \$ 580.01      | \$ 546.73   | \$ 33.28              | -                               | \$ 33.28                 |
| 14.00932 | LVS    | 10/15/2012       | 5/15/2013 | \$ 825.52      | \$ 621.76   | \$ 203.75             | -                               | \$ 203.75                |
| 14.07321 | TPLM   | 9/6/2013         | 9/27/2013 | \$ 138.34      | \$ 106.78   | \$ 31.57              | -                               | \$ 31.57                 |
| 14.11573 | LKQ    | 4/16/2013        | 9/27/2013 | \$ 445.07      | \$ 300.35   | \$ 144.73             | -                               | \$ 144.73                |
| 14.13027 | EMB    | 3/6/2013         | 6/20/2013 | \$ 1,504.87    | \$ 1,684.93 | \$ (180.05)           | -                               | \$ (180.05)              |
| 14.18119 | LVS    | 10/15/2012       | 8/27/2013 | \$ 780.85      | \$ 627.06   | \$ 153.79             | -                               | \$ 153.79                |
| 14.26131 | DEI    | 7/2/2013         | 9/27/2013 | \$ 333.86      | \$ 358.55   | \$ (24.69)            | -                               | \$ (24.69)               |
| 14.27422 | EWA    | 11/12/2012       | 3/20/2013 | \$ 384.12      | \$ 350.40   | \$ 33.72              | -                               | \$ 33.72                 |
| 14.32099 | IWF    | 3/18/2013        | 7/2/2013  | \$ 1,051.74    | \$ 1,014.57 | \$ 37.16              | -                               | \$ 37.16                 |
| 14.32943 | AIG    | 1/25/2013        | 9/27/2013 | \$ 703.86      | \$ 522.85   | \$ 181.01             | -                               | \$ 181.01                |
| 14.38469 | CME    | 9/6/2013         | 9/27/2013 | \$ 1,079.29    | \$ 1,042.01 | \$ 37.28              | -                               | \$ 37.28                 |
| 14.40205 | MINT   | 9/6/2013         | 9/27/2013 | \$ 1,460.80    | \$ 1,458.28 | \$ 2.52               | -                               | \$ 2.52                  |
| 14.51174 | AIG    | 6/18/2013        | 9/27/2013 | \$ 712.82      | \$ 653.83   | \$ 58.99              | -                               | \$ 58.99                 |
| 14.52331 | COL    | 3/18/2013        | 9/27/2013 | \$ 997.90      | \$ 923.61   | \$ 74.29              | -                               | \$ 74.29                 |
| 14.52587 | TWX    | 10/15/2012       | 9/27/2013 | \$ 963.03      | \$ 650.90   | \$ 312.13             | -                               | \$ 312.13                |
| 14.52677 | MUB    | 12/11/2012       | 9/27/2013 | \$ 1,516.14    | \$ 1,643.29 | \$ (127.16)           | -                               | \$ (127.16)              |
| 14.52687 | UNH    | 5/8/2013         | 9/27/2013 | \$ 1,044.78    | \$ 890.03   | \$ 154.75             | -                               | \$ 154.75                |
| 14.53188 | BEN    | 5/8/2013         | 9/27/2013 | \$ 737.79      | \$ 772.73   | \$ (34.94)            | -                               | \$ (34.94)               |
| 14.55692 | CMI    | 3/18/2013        | 9/27/2013 | \$ 1,941.75    | \$ 1,704.47 | \$ 237.28             | -                               | \$ 237.28                |
| 14.56753 | ABT    | 10/15/2012       | 9/27/2013 | \$ 482.19      | \$ 500.07   | \$ (17.88)            | -                               | \$ (17.88)               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 14.56753 | ABBV   | 10/15/2012    | 9/27/2013 | \$ 645.49      | \$ 542.29   | \$ 103.20          | -                         | \$ 103.20             |
| 14.59143 | MRCY   | 3/18/2013     | 9/27/2013 | \$ 143.00      | \$ 107.32   | \$ 35.68           | -                         | \$ 35.68              |
| 14.60844 | EMB    | 9/12/2013     | 9/27/2013 | \$ 1,595.39    | \$ 1,556.96 | \$ 38.43           | -                         | \$ 38.43              |
| 14.66276 | DVA    | 10/15/2012    | 9/27/2013 | \$ 835.63      | \$ 808.09   | \$ 27.55           | -                         | \$ 27.55              |
| 14.67978 | AMSC   | 3/18/2013     | 9/27/2013 | \$ 34.21       | \$ 41.03    | \$ (6.82)          | -                         | \$ (6.82)             |
| 14.69646 | ERUS   | 11/12/2012    | 3/20/2013 | \$ 328.91      | \$ 311.66   | \$ 17.25           | -                         | \$ 17.25              |
| 14.70284 | MMC    | 12/18/2012    | 9/27/2013 | \$ 644.43      | \$ 509.31   | \$ 135.12          | -                         | \$ 135.12             |
| 14.71490 | HYS    | 7/12/2013     | 9/27/2013 | \$ 1,551.10    | \$ 1,535.15 | \$ 15.95           | -                         | \$ 15.95              |
| 14.74793 | CVS    | 5/8/2013      | 9/27/2013 | \$ 851.40      | \$ 865.08   | \$ (13.68)         | -                         | \$ (13.68)            |
| 14.74866 | VNQ    | 11/12/2012    | 5/31/2013 | \$ 1,048.62    | \$ 929.41   | \$ 119.21          | -                         | \$ 119.21             |
| 14.78092 | TMO    | 3/18/2013     | 9/27/2013 | \$ 1,372.41    | \$ 1,139.24 | \$ 233.17          | -                         | \$ 233.17             |
| 14.79960 | FIS    | 10/15/2012    | 9/27/2013 | \$ 687.00      | \$ 477.06   | \$ 209.94          | -                         | \$ 209.94             |
| 14.80990 | HYS    | 9/12/2013     | 9/27/2013 | \$ 1,561.11    | \$ 1,551.04 | \$ 10.07           | -                         | \$ 10.07              |
| 14.83334 | PX     | 3/18/2013     | 9/27/2013 | \$ 1,783.89    | \$ 1,679.95 | \$ 103.94          | -                         | \$ 103.94             |
| 14.86523 | BRK B  | 5/8/2013      | 9/27/2013 | \$ 1,704.15    | \$ 1,636.51 | \$ 67.65           | -                         | \$ 67.65              |
| 14.89099 | MUB    | 5/10/2013     | 9/27/2013 | \$ 1,554.15    | \$ 1,656.51 | \$ (102.36)        | -                         | \$ (102.36)           |
| 14.90439 | TJX    | 10/15/2012    | 9/27/2013 | \$ 841.21      | \$ 646.00   | \$ 195.20          | -                         | \$ 195.20             |
| 14.94310 | CIT    | 5/8/2013      | 9/27/2013 | \$ 723.10      | \$ 657.34   | \$ 65.76           | -                         | \$ 65.76              |
| 14.98403 | IYR    | 2/20/2013     | 9/27/2013 | \$ 964.52      | \$ 1,030.38 | \$ (65.85)         | -                         | \$ (65.85)            |
| 15.06583 | FB     | 3/18/2013     | 9/27/2013 | \$ 768.21      | \$ 400.83   | \$ 367.38          | -                         | \$ 367.38             |
| 15.10192 | CTSH   | 3/18/2013     | 9/27/2013 | \$ 1,249.09    | \$ 1,180.67 | \$ 68.42           | -                         | \$ 68.42              |
| 15.13584 | JNK    | 12/18/2012    | 6/6/2013  | \$ 605.62      | \$ 619.43   | \$ (13.81)         | -                         | \$ (13.81)            |
| 15.15082 | DVA    | 5/8/2013      | 9/27/2013 | \$ 863.45      | \$ 973.41   | \$ (109.96)        | -                         | \$ (109.96)           |
| 15.17177 | DHR    | 5/8/2013      | 9/27/2013 | \$ 1,058.99    | \$ 934.70   | \$ 124.29          | -                         | \$ 124.29             |
| 15.18657 | EMC    | 10/15/2012    | 6/20/2013 | \$ 375.30      | \$ 390.58   | \$ (15.28)         | -                         | \$ (15.28)            |
| 15.21253 | TFI    | 3/19/2013     | 7/5/2013  | \$ 339.85      | \$ 363.73   | \$ (23.88)         | -                         | \$ (23.88)            |
| 15.23708 | BA     | 9/6/2013      | 9/27/2013 | \$ 1,803.67    | \$ 1,625.47 | \$ 178.20          | -                         | \$ 178.20             |
| 15.24709 | EWU    | 7/6/2012      | 3/20/2013 | \$ 278.41      | \$ 248.98   | \$ 29.43           | -                         | \$ 29.43              |
| 15.28019 | PRGO   | 9/3/2013      | 9/27/2013 | \$ 1,889.40    | \$ 1,859.59 | \$ 29.82           | -                         | \$ 29.82              |
| 15.31057 | LVS    | 5/8/2013      | 8/27/2013 | \$ 843.04      | \$ 870.15   | \$ (27.12)         | -                         | \$ (27.12)            |
| 15.33645 | SRCL   | 10/15/2012    | 9/27/2013 | \$ 1,771.53    | \$ 1,393.70 | \$ 377.83          | -                         | \$ 377.83             |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 15.34047 | VRSK   | 9/6/2013         | 9/27/2013 | \$ 1,000.51    | \$ 971.20   | \$ 29.31              | -                               | \$ 29.31                 |
| 15.34321 | COH    | 9/6/2013         | 9/27/2013 | \$ 832.22      | \$ 819.01   | \$ 13.21              | -                               | \$ 13.21                 |
| 15.34607 | FXI    | 12/6/2012        | 3/20/2013 | \$ 569.95      | \$ 583.30   | \$ (13.35)            | -                               | \$ (13.35)               |
| 15.34946 | IWD    | 4/29/2013        | 9/16/2013 | \$ 1,350.60    | \$ 1,262.64 | \$ 87.96              | -                               | \$ 87.96                 |
| 15.35913 | XOM    | 9/6/2013         | 9/27/2013 | \$ 1,333.53    | \$ 1,344.52 | \$ (10.99)            | -                               | \$ (10.99)               |
| 15.44373 | KSS    | 5/8/2013         | 9/27/2013 | \$ 803.23      | \$ 729.22   | \$ 74.01              | -                               | \$ 74.01                 |
| 15.46373 | ARMH   | 7/29/2013        | 9/27/2013 | \$ 754.03      | \$ 614.48   | \$ 139.55             | -                               | \$ 139.55                |
| 15.49479 | CYTK   | 6/26/2013        | 9/27/2013 | \$ 119.62      | \$ 183.06   | \$ (63.44)            | -                               | \$ (63.44)               |
| 15.51304 | NORW   | 2/20/2013        | 3/20/2013 | \$ 238.31      | \$ 250.63   | \$ (12.32)            | -                               | \$ (12.32)               |
| 15.53824 | EWG    | 1/15/2013        | 3/20/2013 | \$ 391.93      | \$ 386.39   | \$ 5.54               | -                               | \$ 5.54                  |
| 15.53857 | MNST   | 10/31/2012       | 9/27/2013 | \$ 825.72      | \$ 693.49   | \$ 132.23             | -                               | \$ 132.23                |
| 15.55534 | TFI    | 2/7/2013         | 7/5/2013  | \$ 347.51      | \$ 377.36   | \$ (29.85)            | -                               | \$ (29.85)               |
| 15.55845 | EMC    | 6/6/2012         | 5/14/2013 | \$ 358.14      | \$ 377.09   | \$ (18.95)            | -                               | \$ (18.95)               |
| 15.59028 | FB     | 9/6/2013         | 9/27/2013 | \$ 794.95      | \$ 686.74   | \$ 108.21             | -                               | \$ 108.21                |
| 15.61528 | HYS    | 6/28/2013        | 8/16/2013 | \$ 1,623.83    | \$ 1,606.03 | \$ 17.80              | -                               | \$ 17.80                 |
| 15.63862 | MINT   | 6/21/2013        | 8/16/2013 | \$ 1,584.82    | \$ 1,585.56 | \$ (0.73)             | -                               | \$ (0.73)                |
| 15.72428 | RWX    | 11/12/2012       | 9/27/2013 | \$ 663.11      | \$ 632.27   | \$ 30.84              | -                               | \$ 30.84                 |
| 15.76142 | VAR    | 9/6/2013         | 9/27/2013 | \$ 1,170.45    | \$ 1,132.01 | \$ 38.44              | -                               | \$ 38.44                 |
| 15.76318 | LLNW   | 9/6/2013         | 9/27/2013 | \$ 31.21       | \$ 32.15    | \$ (0.94)             | -                               | \$ (0.94)                |
| 15.77360 | SHFL   | 7/24/2013        | 9/27/2013 | \$ 362.32      | \$ 361.57   | \$ 0.75               | -                               | \$ 0.75                  |
| 15.79007 | IWF    | 2/22/2013        | 4/24/2013 | \$ 1,134.38    | \$ 1,084.29 | \$ 50.09              | -                               | \$ 50.09                 |
| 15.79671 | PMCS   | 6/6/2012         | 1/14/2013 | \$ 84.36       | \$ 102.35   | \$ (17.99)            | -                               | \$ (17.99)               |
| 15.81875 | VRSK   | 5/8/2013         | 9/27/2013 | \$ 1,031.70    | \$ 960.73   | \$ 70.97              | -                               | \$ 70.97                 |
| 15.85469 | IR     | 9/6/2013         | 9/27/2013 | \$ 1,038.80    | \$ 984.90   | \$ 53.90              | -                               | \$ 53.90                 |
| 15.85853 | EWI    | 10/9/2012        | 3/6/2013  | \$ 316.85      | \$ 290.85   | \$ 26.01              | -                               | \$ 26.01                 |
| 15.86098 | OXY    | 3/18/2013        | 9/27/2013 | \$ 1,495.25    | \$ 1,309.80 | \$ 185.45             | -                               | \$ 185.45                |
| 15.93915 | COH    | 5/8/2013         | 9/27/2013 | \$ 864.54      | \$ 925.52   | \$ (60.98)            | -                               | \$ (60.98)               |
| 15.94545 | AGN    | 10/15/2012       | 9/27/2013 | \$ 1,450.09    | \$ 1,488.47 | \$ (38.39)            | -                               | \$ (38.39)               |
| 15.94628 | ALXN   | 3/18/2013        | 9/27/2013 | \$ 1,861.74    | \$ 1,493.21 | \$ 368.53             | -                               | \$ 368.53                |
| 15.96979 | ZINC   | 6/4/2013         | 9/27/2013 | \$ 210.65      | \$ 192.81   | \$ 17.84              | -                               | \$ 17.84                 |
| 15.97306 | DNR    | 3/18/2013        | 9/27/2013 | \$ 296.30      | \$ 296.54   | \$ (0.24)             | -                               | \$ (0.24)                |

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 16.05145 | TJX    | 5/8/2013         | 9/27/2013 | \$ 905.95      | \$ 809.44   | \$ 96.51              | -                               | \$ 96.51                 |
| 16.09606 | EWO    | 2/20/2013        | 3/20/2013 | \$ 288.48      | \$ 294.32   | \$ (5.84)             | -                               | \$ (5.84)                |
| 16.10268 | AGG    | 7/17/2012        | 5/6/2013  | \$ 1,784.66    | \$ 1,805.51 | \$ (20.85)            | -                               | \$ (20.85)               |
| 16.11432 | DD     | 9/6/2013         | 9/27/2013 | \$ 952.72      | \$ 920.92   | \$ 31.80              | -                               | \$ 31.80                 |
| 16.11696 | IVV    | 3/6/2013         | 9/27/2013 | \$ 2,736.50    | \$ 2,505.84 | \$ 230.66             | -                               | \$ 230.66                |
| 16.12424 | AET    | 10/15/2012       | 9/27/2013 | \$ 1,038.24    | \$ 706.93   | \$ 331.31             | -                               | \$ 331.31                |
| 16.12493 | TWX    | 5/8/2013         | 9/27/2013 | \$ 1,069.04    | \$ 992.37   | \$ 76.67              | -                               | \$ 76.67                 |
| 16.13231 | CTL    | 10/15/2012       | 9/27/2013 | \$ 512.85      | \$ 629.98   | \$ (117.13)           | -                               | \$ (117.13)              |
| 16.14054 | EWI    | 10/9/2012        | 3/20/2013 | \$ 313.77      | \$ 296.02   | \$ 17.75              | -                               | \$ 17.75                 |
| 16.16667 | INDY   | 2/6/2013         | 3/20/2013 | \$ 389.39      | \$ 413.06   | \$ (23.67)            | -                               | \$ (23.67)               |
| 16.21587 | EWU    | 6/6/2012         | 1/8/2013  | \$ 292.53      | \$ 256.31   | \$ 36.23              | -                               | \$ 36.23                 |
| 16.22040 | AET    | 6/6/2012         | 3/26/2013 | \$ 818.36      | \$ 688.03   | \$ 130.33             | -                               | \$ 130.33                |
| 16.22485 | IP     | 5/2/2013         | 9/27/2013 | \$ 738.11      | \$ 720.10   | \$ 18.02              | -                               | \$ 18.02                 |
| 16.28844 | CL     | 3/18/2013        | 9/27/2013 | \$ 977.31      | \$ 915.33   | \$ 61.98              | -                               | \$ 61.98                 |
| 16.32262 | DBC    | 5/1/2013         | 9/27/2013 | \$ 423.08      | \$ 421.08   | \$ 2.00               | -                               | \$ 2.00                  |
| 16.34038 | NU     | 5/8/2013         | 9/27/2013 | \$ 678.29      | \$ 730.38   | \$ (52.09)            | -                               | \$ (52.09)               |
| 16.40474 | VAR    | 5/8/2013         | 9/27/2013 | \$ 1,218.22    | \$ 1,099.68 | \$ 118.54             | -                               | \$ 118.54                |
| 16.42223 | IP     | 5/8/2013         | 9/27/2013 | \$ 747.09      | \$ 770.49   | \$ (23.40)            | -                               | \$ (23.40)               |
| 16.47699 | FIS    | 5/8/2013         | 9/27/2013 | \$ 764.87      | \$ 715.56   | \$ 49.31              | -                               | \$ 49.31                 |
| 16.48392 | WEC    | 10/15/2012       | 9/27/2013 | \$ 663.15      | \$ 632.63   | \$ 30.52              | -                               | \$ 30.52                 |
| 16.51407 | DCT    | 6/12/2013        | 9/27/2013 | \$ 120.06      | \$ 118.13   | \$ 1.93               | -                               | \$ 1.93                  |
| 16.60271 | BPFH   | 3/18/2013        | 9/27/2013 | \$ 181.80      | \$ 165.28   | \$ 16.52              | -                               | \$ 16.52                 |
| 16.60567 | GILD   | 9/6/2013         | 9/27/2013 | \$ 1,053.13    | \$ 1,014.26 | \$ 38.87              | -                               | \$ 38.87                 |
| 16.65412 | SLB    | 3/18/2013        | 9/27/2013 | \$ 1,479.58    | \$ 1,283.95 | \$ 195.63             | -                               | \$ 195.63                |
| 16.67861 | CELG   | 3/18/2013        | 9/27/2013 | \$ 2,572.19    | \$ 1,875.26 | \$ 696.93             | -                               | \$ 696.93                |
| 16.78636 | TJX    | 5/8/2013         | 9/27/2013 | \$ 947.43      | \$ 846.50   | \$ 100.93             | -                               | \$ 100.93                |
| 16.79472 | NOV    | 9/6/2013         | 9/27/2013 | \$ 1,312.85    | \$ 1,313.81 | \$ (0.96)             | -                               | \$ (0.96)                |
| 16.87969 | IGT    | 3/14/2013        | 9/27/2013 | \$ 328.48      | \$ 292.44   | \$ 36.04              | -                               | \$ 36.04                 |
| 16.89320 | MINT   | 6/13/2013        | 6/20/2013 | \$ 1,712.47    | \$ 1,713.98 | \$ (1.52)             | -                               | \$ (1.52)                |
| 17.00306 | AET    | 9/6/2013         | 9/27/2013 | \$ 1,094.83    | \$ 1,112.16 | \$ (17.33)            | -                               | \$ (17.33)               |
| 17.00443 | NORW   | 12/6/2012        | 3/20/2013 | \$ 261.22      | \$ 258.47   | \$ 2.76               | -                               | \$ 2.76                  |

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 17.04336 | WWAV   | 6/4/2013         | 9/27/2013 | \$ 341.38      | \$ 293.77   | \$ 47.61              | -                               | \$ 47.61                 |
| 17.22352 | IWF    | 5/14/2013        | 5/17/2013 | \$ 1,304.01    | \$ 1,301.24 | \$ 2.78               | -                               | \$ 2.78                  |
| 17.24764 | CTSH   | 9/6/2013         | 9/27/2013 | \$ 1,426.56    | \$ 1,339.07 | \$ 87.49              | -                               | \$ 87.49                 |
| 17.26671 | IWF    | 5/23/2013        | 9/27/2013 | \$ 1,355.63    | \$ 1,299.30 | \$ 56.32              | -                               | \$ 56.32                 |
| 17.26988 | DBC    | 10/5/2012        | 5/6/2013  | \$ 456.82      | \$ 493.14   | \$ (36.32)            | -                               | \$ (36.32)               |
| 17.28462 | A      | 10/15/2012       | 9/27/2013 | \$ 891.89      | \$ 642.33   | \$ 249.56             | -                               | \$ 249.56                |
| 17.35125 | TPLM   | 8/13/2013        | 9/27/2013 | \$ 170.56      | \$ 118.16   | \$ 52.40              | -                               | \$ 52.40                 |
| 17.39382 | AXP    | 10/15/2012       | 5/23/2013 | \$ 1,297.83    | \$ 1,002.31 | \$ 295.52             | -                               | \$ 295.52                |
| 17.41916 | LULU   | 3/18/2013        | 3/22/2013 | \$ 1,086.17    | \$ 1,155.50 | \$ (69.33)            | -                               | \$ (69.33)               |
| 17.42856 | SHY    | 5/31/2013        | 6/18/2013 | \$ 1,470.97    | \$ 1,470.80 | \$ 0.17               | -                               | \$ 0.17                  |
| 17.45008 | TSLA   | 8/27/2013        | 9/27/2013 | \$ 3,307.87    | \$ 2,914.31 | \$ 393.56             | -                               | \$ 393.56                |
| 17.53677 | EW     | 3/18/2013        | 9/26/2013 | \$ 1,194.13    | \$ 1,425.74 | \$ (231.61)           | -                               | \$ (231.61)              |
| 17.59150 | MUNI   | 3/7/2013         | 9/27/2013 | \$ 917.92      | \$ 954.51   | \$ (36.59)            | -                               | \$ (36.59)               |
| 17.60515 | CDW    | 7/2/2013         | 9/27/2013 | \$ 427.99      | \$ 337.40   | \$ 90.59              | -                               | \$ 90.59                 |
| 17.64050 | ESRX   | 9/6/2013         | 9/27/2013 | \$ 1,092.83    | \$ 1,155.45 | \$ (62.62)            | -                               | \$ (62.62)               |
| 17.64814 | ABV    | 5/8/2013         | 9/27/2013 | \$ 781.99      | \$ 781.56   | \$ 0.43               | -                               | \$ 0.43                  |
| 17.69514 | GILD   | 10/15/2012       | 9/27/2013 | \$ 1,122.23    | \$ 601.45   | \$ 520.78             | -                               | \$ 520.78                |
| 17.70545 | AXP    | 3/18/2013        | 9/27/2013 | \$ 1,341.90    | \$ 1,169.18 | \$ 172.72             | -                               | \$ 172.72                |
| 17.77038 | EWG    | 6/12/2012        | 3/20/2013 | \$ 448.23      | \$ 340.48   | \$ 107.75             | -                               | \$ 107.75                |
| 17.77459 | LTXC   | 9/10/2013        | 9/27/2013 | \$ 116.60      | \$ 100.03   | \$ 16.58              | -                               | \$ 16.58                 |
| 17.79110 | CELG   | 10/15/2012       | 9/27/2013 | \$ 2,743.76    | \$ 1,384.04 | \$ 1,359.72           | -                               | \$ 1,359.72              |
| 17.79550 | CME    | 3/18/2013        | 9/27/2013 | \$ 1,335.20    | \$ 1,115.60 | \$ 219.60             | -                               | \$ 219.60                |
| 17.87948 | MTB    | 8/23/2013        | 9/27/2013 | \$ 2,000.18    | \$ 2,111.20 | \$ (111.02)           | -                               | \$ (111.02)              |
| 18.02620 | NORW   | 8/10/2012        | 3/20/2013 | \$ 276.92      | \$ 254.13   | \$ 22.79              | -                               | \$ 22.79                 |
| 18.08855 | STKL   | 9/6/2013         | 9/27/2013 | \$ 177.27      | \$ 168.76   | \$ 8.51               | -                               | \$ 8.51                  |
| 18.09899 | LLNW   | 5/8/2013         | 9/27/2013 | \$ 35.84       | \$ 36.16    | \$ (0.32)             | -                               | \$ (0.32)                |
| 18.10351 | COF    | 3/18/2013        | 9/27/2013 | \$ 1,250.41    | \$ 993.34   | \$ 257.07             | -                               | \$ 257.07                |
| 18.16474 | EA     | 10/15/2012       | 9/27/2013 | \$ 472.83      | \$ 246.17   | \$ 226.66             | -                               | \$ 226.66                |
| 18.17928 | ABT    | 5/8/2013         | 9/27/2013 | \$ 601.74      | \$ 656.96   | \$ (55.22)            | -                               | \$ (55.22)               |
| 18.18019 | DBC    | 12/18/2012       | 6/13/2013 | \$ 472.31      | \$ 502.59   | \$ (30.28)            | -                               | \$ (30.28)               |
| 18.18821 | PEP    | 3/18/2013        | 9/27/2013 | \$ 1,458.36    | \$ 1,399.31 | \$ 59.05              | -                               | \$ 59.05                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 18.25663 | TUR    | 6/6/2012         | 3/20/2013 | \$ 1,244.01    | \$ 865.92   | \$ 378.08             | -                               | \$ 378.08                |
| 18.25876 | HBAN   | 2/14/2013        | 9/27/2013 | \$ 150.09      | \$ 130.46   | \$ 19.63              | -                               | \$ 19.63                 |
| 18.25907 | NOV    | 10/15/2012       | 9/27/2013 | \$ 1,427.31    | \$ 1,427.92 | \$ (0.60)             | -                               | \$ (0.60)                |
| 18.26724 | IWF    | 11/2/2012        | 1/7/2013  | \$ 1,222.29    | \$ 1,197.03 | \$ 25.26              | -                               | \$ 25.26                 |
| 18.30559 | IVV    | 3/18/2013        | 9/27/2013 | \$ 3,108.11    | \$ 2,875.63 | \$ 232.48             | -                               | \$ 232.48                |
| 18.32238 | WEC    | 5/8/2013         | 9/27/2013 | \$ 737.11      | \$ 809.26   | \$ (72.15)            | -                               | \$ (72.15)               |
| 18.35878 | JNK    | 11/12/2012       | 5/31/2013 | \$ 751.26      | \$ 732.98   | \$ 18.28              | -                               | \$ 18.28                 |
| 18.40108 | SHM    | 8/7/2013         | 9/27/2013 | \$ 445.36      | \$ 443.74   | \$ 1.62               | -                               | \$ 1.62                  |
| 18.41689 | GILD   | 5/8/2013         | 9/27/2013 | \$ 1,168.00    | \$ 972.86   | \$ 195.14             | -                               | \$ 195.14                |
| 18.52699 | RHT    | 9/25/2013        | 9/27/2013 | \$ 850.95      | \$ 870.26   | \$ (19.31)            | -                               | \$ (19.31)               |
| 18.56246 | CAM    | 3/18/2013        | 9/27/2013 | \$ 1,096.49    | \$ 1,216.12 | \$ (119.63)           | -                               | \$ (119.63)              |
| 18.64302 | LULU   | 10/15/2012       | 3/22/2013 | \$ 1,162.48    | \$ 1,375.61 | \$ (213.13)           | -                               | \$ (213.13)              |
| 18.65400 | MNST   | 3/18/2013        | 9/27/2013 | \$ 991.28      | \$ 893.62   | \$ 97.66              | -                               | \$ 97.66                 |
| 18.66656 | NVAX   | 9/6/2013         | 9/27/2013 | \$ 58.43       | \$ 60.29    | \$ (1.86)             | -                               | \$ (1.86)                |
| 18.69341 | CTSH   | 5/8/2013         | 9/27/2013 | \$ 1,546.14    | \$ 1,268.00 | \$ 278.14             | -                               | \$ 278.14                |
| 18.71834 | ESRX   | 5/8/2013         | 9/27/2013 | \$ 1,159.60    | \$ 1,151.10 | \$ 8.50               | -                               | \$ 8.50                  |
| 18.74236 | ZQK    | 5/8/2013         | 9/27/2013 | \$ 132.14      | \$ 134.34   | \$ (2.21)             | -                               | \$ (2.21)                |
| 18.77829 | DBC    | 9/24/2012        | 5/6/2013  | \$ 496.72      | \$ 531.04   | \$ (34.32)            | -                               | \$ (34.32)               |
| 18.86667 | DVN    | 3/18/2013        | 9/27/2013 | \$ 1,105.97    | \$ 1,097.38 | \$ 8.59               | -                               | \$ 8.59                  |
| 18.88460 | ELD    | 10/5/2012        | 5/28/2013 | \$ 978.02      | \$ 993.63   | \$ (15.61)            | -                               | \$ (15.61)               |
| 18.93747 | MUNI   | 1/11/2013        | 9/27/2013 | \$ 988.16      | \$ 1,035.12 | \$ (46.96)            | -                               | \$ (46.96)               |
| 18.96893 | EA     | 5/8/2013         | 9/27/2013 | \$ 493.77      | \$ 401.68   | \$ 92.09              | -                               | \$ 92.09                 |
| 18.97106 | IWF    | 5/3/2013         | 8/23/2013 | \$ 1,442.57    | \$ 1,404.43 | \$ 38.14              | -                               | \$ 38.14                 |
| 18.97845 | YUM    | 10/15/2012       | 6/18/2013 | \$ 1,368.41    | \$ 1,345.86 | \$ 22.55              | -                               | \$ 22.55                 |
| 18.99434 | VRTX   | 4/24/2013        | 9/27/2013 | \$ 1,447.75    | \$ 1,519.06 | \$ (71.31)            | -                               | \$ (71.31)               |
| 18.99522 | AIG    | 3/18/2013        | 9/27/2013 | \$ 933.05      | \$ 736.35   | \$ 196.70             | -                               | \$ 196.70                |
| 19.03953 | PSP    | 5/10/2013        | 9/27/2013 | \$ 219.49      | \$ 223.05   | \$ (3.56)             | -                               | \$ (3.56)                |
| 19.06905 | WR     | 9/26/2013        | 9/27/2013 | \$ 584.09      | \$ 589.79   | \$ (5.71)             | -                               | \$ (5.71)                |
| 19.13182 | ES     | 3/18/2013        | 3/22/2013 | \$ 71.34       | \$ 71.84    | \$ (0.50)             | -                               | \$ (0.50)                |
| 19.16037 | UNH    | 9/6/2013         | 9/27/2013 | \$ 1,378.02    | \$ 1,425.52 | \$ (47.50)            | -                               | \$ (47.50)               |
| 19.23368 | HBAN   | 4/19/2013        | 9/27/2013 | \$ 158.10      | \$ 134.06   | \$ 24.05              | -                               | \$ 24.05                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 19.23702 | CVS    | 9/6/2013         | 9/27/2013 | \$ 1,110.56    | \$ 1,132.28 | \$ (21.72)            | \$ -                            | \$ (21.72)               |
| 19.26594 | CMI    | 10/15/2012       | 9/27/2013 | \$ 2,569.89    | \$ 1,704.14 | \$ 865.75             | \$ -                            | \$ 865.75                |
| 19.28537 | ERUS   | 6/6/2012         | 1/8/2013  | \$ 456.87      | \$ 387.70   | \$ 69.17              | \$ -                            | \$ 69.17                 |
| 19.47556 | MUNI   | 1/8/2013         | 9/27/2013 | \$ 1,016.23    | \$ 1,060.18 | \$ (43.95)            | \$ -                            | \$ (43.95)               |
| 19.48087 | KSS    | 9/6/2013         | 9/27/2013 | \$ 1,013.20    | \$ 1,027.61 | \$ (14.41)            | \$ -                            | \$ (14.41)               |
| 19.49162 | C      | 9/6/2013         | 9/27/2013 | \$ 953.34      | \$ 965.81   | \$ (12.47)            | \$ -                            | \$ (12.47)               |
| 19.50411 | A      | 5/8/2013         | 9/27/2013 | \$ 1,006.42    | \$ 831.95   | \$ 174.47             | \$ -                            | \$ 174.47                |
| 19.52444 | AIG    | 9/6/2013         | 9/27/2013 | \$ 959.04      | \$ 947.32   | \$ 11.72              | \$ -                            | \$ 11.72                 |
| 19.58626 | VTR    | 3/18/2013        | 9/27/2013 | \$ 1,223.56    | \$ 1,374.27 | \$ (150.71)           | \$ -                            | \$ (150.71)              |
| 19.60731 | AGG    | 11/12/2012       | 5/28/2013 | \$ 2,146.98    | \$ 2,197.73 | \$ (50.75)            | \$ -                            | \$ (50.75)               |
| 19.65267 | NOV    | 3/18/2013        | 9/27/2013 | \$ 1,536.25    | \$ 1,369.30 | \$ 166.95             | \$ -                            | \$ 166.95                |
| 19.66442 | EIS    | 9/13/2012        | 3/20/2013 | \$ 883.37      | \$ 753.93   | \$ 129.44             | \$ -                            | \$ 129.44                |
| 19.68441 | MMC    | 5/8/2013         | 9/27/2013 | \$ 862.77      | \$ 775.72   | \$ 87.05              | \$ -                            | \$ 87.05                 |
| 19.69324 | DHR    | 9/6/2013         | 9/27/2013 | \$ 1,374.59    | \$ 1,328.10 | \$ 46.49              | \$ -                            | \$ 46.49                 |
| 19.74729 | MINT   | 6/20/2013        | 6/20/2013 | \$ 2,001.79    | \$ 2,003.17 | \$ (1.38)             | \$ -                            | \$ (1.38)                |
| 19.77112 | DBV    | 12/18/2012       | 6/6/2013  | \$ 517.01      | \$ 515.33   | \$ 1.67               | \$ -                            | \$ 1.67                  |
| 19.78203 | IVV    | 10/5/2012        | 6/10/2013 | \$ 3,276.51    | \$ 2,922.38 | \$ 354.12             | \$ -                            | \$ 354.12                |
| 19.80308 | CTL    | 2/14/2013        | 9/27/2013 | \$ 629.54      | \$ 641.75   | \$ (12.20)            | \$ -                            | \$ (12.20)               |
| 19.80646 | KORS   | 5/8/2013         | 9/27/2013 | \$ 1,477.37    | \$ 1,143.54 | \$ 333.83             | \$ -                            | \$ 333.83                |
| 19.84477 | MUNI   | 8/7/2013         | 9/27/2013 | \$ 1,035.50    | \$ 1,025.28 | \$ 10.22              | \$ -                            | \$ 10.22                 |
| 19.86098 | EMB    | 6/21/2013        | 6/21/2013 | \$ 2,103.87    | \$ 2,138.96 | \$ (35.08)            | \$ -                            | \$ (35.08)               |
| 19.86895 | CRM    | 5/8/2013         | 9/27/2013 | \$ 1,042.73    | \$ 843.55   | \$ 199.18             | \$ -                            | \$ 199.18                |
| 19.87224 | EMB    | 8/10/2012        | 5/6/2013  | \$ 2,409.59    | \$ 2,349.62 | \$ 59.97              | \$ -                            | \$ 59.97                 |
| 19.89813 | ELD    | 12/18/2012       | 6/13/2013 | \$ 976.18      | \$ 1,064.55 | \$ (88.37)            | \$ -                            | \$ (88.37)               |
| 19.92353 | IVV    | 12/18/2012       | 9/27/2013 | \$ 3,382.82    | \$ 2,898.52 | \$ 484.30             | \$ -                            | \$ 484.30                |
| 20.03203 | STX    | 9/17/2013        | 9/27/2013 | \$ 871.80      | \$ 828.58   | \$ 43.21              | \$ -                            | \$ 43.21                 |
| 20.06808 | CYTK   | 9/3/2013         | 9/27/2013 | \$ 154.93      | \$ 158.33   | \$ (3.39)             | \$ -                            | \$ (3.39)                |
| 20.08965 | AGG    | 8/10/2012        | 5/6/2013  | \$ 2,226.54    | \$ 2,247.16 | \$ (20.62)            | \$ -                            | \$ (20.62)               |
| 20.11505 | CFT    | 6/21/2013        | 6/25/2013 | \$ 2,135.01    | \$ 2,162.72 | \$ (27.71)            | \$ -                            | \$ (27.71)               |
| 20.22830 | ABBV   | 9/6/2013         | 9/27/2013 | \$ 896.32      | \$ 884.37   | \$ 11.95              | \$ -                            | \$ 11.95                 |
| 20.31885 | DD     | 3/18/2013        | 9/27/2013 | \$ 1,201.30    | \$ 1,012.59 | \$ 188.71             | \$ -                            | \$ 188.71                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 20.36357 | DCT    | 5/9/2013         | 9/26/2013 | \$ 147.47      | \$ 167.77   | \$ (20.31)            | \$ -                            | \$ (20.31)               |
| 20.42344 | EWN    | 8/10/2012        | 1/8/2013  | \$ 419.09      | \$ 364.76   | \$ 54.33              | \$ -                            | \$ 54.33                 |
| 20.44248 | CMCSK  | 5/8/2013         | 9/27/2013 | \$ 880.05      | \$ 844.23   | \$ 35.82              | \$ -                            | \$ 35.82                 |
| 20.52106 | EA     | 9/6/2013         | 9/27/2013 | \$ 534.17      | \$ 551.60   | \$ (17.43)            | \$ -                            | \$ (17.43)               |
| 20.52989 | ABT    | 7/9/2013         | 9/27/2013 | \$ 679.54      | \$ 718.70   | \$ (39.16)            | \$ -                            | \$ (39.16)               |
| 20.55438 | DVA    | 9/6/2013         | 9/27/2013 | \$ 1,171.40    | \$ 1,140.61 | \$ 30.79              | \$ -                            | \$ 30.79                 |
| 20.60955 | NU     | 9/6/2013         | 9/27/2013 | \$ 855.51      | \$ 832.82   | \$ 22.69              | \$ -                            | \$ 22.69                 |
| 20.70275 | TXN    | 10/15/2012       | 9/27/2013 | \$ 829.20      | \$ 581.69   | \$ 247.51             | \$ -                            | \$ 247.51                |
| 20.73744 | VNQ    | 11/12/2012       | 5/28/2013 | \$ 1,562.75    | \$ 1,306.81 | \$ 255.94             | \$ -                            | \$ 255.94                |
| 20.75052 | SD     | 6/6/2012         | 3/14/2013 | \$ 115.44      | \$ 134.24   | \$ (18.80)            | \$ -                            | \$ (18.80)               |
| 20.85912 | IDTI   | 3/18/2013        | 9/27/2013 | \$ 195.45      | \$ 150.29   | \$ 45.16              | \$ -                            | \$ 45.16                 |
| 20.90659 | ALT    | 2/20/2013        | 5/6/2013  | \$ 1,085.47    | \$ 1,081.43 | \$ 4.04               | \$ -                            | \$ 4.04                  |
| 20.94394 | IVV    | 10/5/2012        | 4/9/2013  | \$ 3,288.26    | \$ 3,094.03 | \$ 194.23             | \$ -                            | \$ 194.23                |
| 20.95523 | CVS    | 1/11/2013        | 9/27/2013 | \$ 1,209.75    | \$ 1,073.95 | \$ 135.80             | \$ -                            | \$ 135.80                |
| 20.96198 | MINT   | 4/18/2013        | 6/18/2013 | \$ 2,127.03    | \$ 2,130.14 | \$ (3.11)             | \$ -                            | \$ (3.11)                |
| 21.02579 | EWT    | 6/6/2012         | 3/20/2013 | \$ 277.33      | \$ 248.67   | \$ 28.67              | \$ -                            | \$ 28.67                 |
| 21.03334 | FTI    | 5/8/2013         | 9/27/2013 | \$ 1,163.58    | \$ 1,183.41 | \$ (19.83)            | \$ -                            | \$ (19.83)               |
| 21.08008 | EWS    | 3/18/2013        | 3/20/2013 | \$ 285.64      | \$ 286.90   | \$ (1.26)             | \$ -                            | \$ (1.26)                |
| 21.12005 | TWX    | 9/6/2013         | 9/27/2013 | \$ 1,400.21    | \$ 1,302.66 | \$ 97.55              | \$ -                            | \$ 97.55                 |
| 21.25802 | QCOM   | 3/18/2013        | 9/27/2013 | \$ 1,429.62    | \$ 1,375.29 | \$ 54.33              | \$ -                            | \$ 54.33                 |
| 21.30646 | BA     | 3/18/2013        | 9/27/2013 | \$ 2,522.13    | \$ 1,818.40 | \$ 703.73             | \$ -                            | \$ 703.73                |
| 21.31367 | AMTD   | 10/15/2012       | 3/14/2013 | \$ 449.22      | \$ 332.71   | \$ 116.50             | \$ -                            | \$ 116.50                |
| 21.33757 | EWL    | 11/12/2012       | 3/20/2013 | \$ 630.11      | \$ 536.13   | \$ 93.98              | \$ -                            | \$ 93.98                 |
| 21.33811 | EWN    | 3/18/2013        | 3/20/2013 | \$ 446.82      | \$ 446.18   | \$ 0.64               | \$ -                            | \$ 0.64                  |
| 21.40504 | EMB    | 9/10/2012        | 5/6/2013  | \$ 2,595.45    | \$ 2,577.75 | \$ 17.70              | \$ -                            | \$ 17.70                 |
| 21.43563 | CIT    | 9/6/2013         | 9/27/2013 | \$ 1,037.27    | \$ 1,052.47 | \$ (15.20)            | \$ -                            | \$ (15.20)               |
| 21.45807 | XOM    | 3/18/2013        | 9/27/2013 | \$ 1,863.06    | \$ 1,915.67 | \$ (52.61)            | \$ -                            | \$ (52.61)               |
| 21.48902 | EWM    | 11/12/2012       | 1/8/2013  | \$ 325.17      | \$ 318.21   | \$ 6.96               | \$ -                            | \$ 6.96                  |
| 21.58647 | STKL   | 5/8/2013         | 9/27/2013 | \$ 211.55      | \$ 157.01   | \$ 54.54              | \$ -                            | \$ 54.54                 |
| 21.58815 | EWO    | 11/12/2012       | 3/20/2013 | \$ 386.91      | \$ 341.74   | \$ 45.18              | \$ -                            | \$ 45.18                 |
| 21.60330 | VMW    | 6/18/2013        | 9/27/2013 | \$ 1,782.72    | \$ 1,522.81 | \$ 259.91             | \$ -                            | \$ 259.91                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 21.77958 | AGG    | 9/10/2012        | 5/6/2013  | \$ 2,413.84    | \$ 2,436.14 | \$ (22.30)            | -                               | \$ (22.30)               |
| 21.79325 | IVV    | 10/5/2012        | 8/9/2013  | \$ 3,724.54    | \$ 3,219.50 | \$ 505.04             | -                               | \$ 505.04                |
| 21.79749 | IWD    | 5/3/2013         | 9/16/2013 | \$ 1,917.96    | \$ 1,812.65 | \$ 105.31             | -                               | \$ 105.31                |
| 21.80519 | TJX    | 9/6/2013         | 9/27/2013 | \$ 1,230.69    | \$ 1,181.32 | \$ 49.37              | -                               | \$ 49.37                 |
| 21.83770 | CTSH   | 10/15/2012       | 9/27/2013 | \$ 1,806.20    | \$ 1,520.90 | \$ 285.30             | -                               | \$ 285.30                |
| 21.87944 | NORW   | 9/10/2012        | 3/20/2013 | \$ 336.11      | \$ 328.60   | \$ 7.51               | -                               | \$ 7.51                  |
| 21.90064 | EWT    | 12/6/2012        | 3/20/2013 | \$ 288.87      | \$ 298.72   | \$ (9.86)             | -                               | \$ (9.86)                |
| 21.95467 | IP     | 9/6/2013         | 9/27/2013 | \$ 998.78      | \$ 1,050.92 | \$ (52.14)            | -                               | \$ (52.14)               |
| 21.97081 | FXI    | 9/13/2012        | 3/20/2013 | \$ 816.00      | \$ 738.45   | \$ 77.54              | -                               | \$ 77.54                 |
| 22.11152 | ACWI   | 11/12/2012       | 5/31/2013 | \$ 1,161.50    | \$ 1,012.93 | \$ 148.57             | -                               | \$ 148.57                |
| 22.13053 | AXP    | 3/18/2013        | 9/27/2013 | \$ 1,677.28    | \$ 1,461.39 | \$ 215.89             | -                               | \$ 215.89                |
| 22.14896 | TPLM   | 6/4/2013         | 9/27/2013 | \$ 217.73      | \$ 130.67   | \$ 87.05              | -                               | \$ 87.05                 |
| 22.16312 | IVV    | 10/5/2012        | 1/11/2013 | \$ 3,273.81    | \$ 3,274.14 | \$ (0.33)             | -                               | \$ (0.33)                |
| 22.17937 | GILD   | 5/8/2013         | 9/27/2013 | \$ 1,406.62    | \$ 1,171.61 | \$ 235.01             | -                               | \$ 235.01                |
| 22.20512 | LLNW   | 3/18/2013        | 9/27/2013 | \$ 43.97       | \$ 47.63    | \$ (3.66)             | -                               | \$ (3.66)                |
| 22.22829 | CTSH   | 3/18/2013        | 9/27/2013 | \$ 1,838.51    | \$ 1,737.81 | \$ 100.70             | -                               | \$ 100.70                |
| 22.25264 | EWI    | 2/20/2013        | 3/20/2013 | \$ 275.49      | \$ 297.21   | \$ (21.72)            | -                               | \$ (21.72)               |
| 22.25587 | IWD    | 9/6/2013         | 9/27/2013 | \$ 1,928.96    | \$ 1,910.78 | \$ 18.18              | -                               | \$ 18.18                 |
| 22.29760 | YUM    | 3/18/2013        | 7/15/2013 | \$ 1,604.23    | \$ 1,542.66 | \$ 61.57              | -                               | \$ 61.57                 |
| 22.42938 | FIS    | 9/6/2013         | 9/27/2013 | \$ 1,041.18    | \$ 1,008.86 | \$ 32.32              | -                               | \$ 32.32                 |
| 22.53267 | QCOM   | 9/6/2013         | 9/27/2013 | \$ 1,515.34    | \$ 1,536.04 | \$ (20.70)            | -                               | \$ (20.70)               |
| 22.53815 | EWO    | 7/6/2012         | 3/20/2013 | \$ 403.94      | \$ 311.48   | \$ 92.46              | -                               | \$ 92.46                 |
| 22.56938 | ELD    | 1/15/2013        | 6/20/2013 | \$ 1,059.63    | \$ 1,210.10 | \$ (150.47)           | -                               | \$ (150.47)              |
| 22.64143 | IGT    | 6/11/2013        | 9/27/2013 | \$ 440.61      | \$ 400.83   | \$ 39.78              | -                               | \$ 39.78                 |
| 22.67247 | MELI   | 9/10/2013        | 9/27/2013 | \$ 3,069.28    | \$ 2,996.39 | \$ 72.89              | -                               | \$ 72.89                 |
| 22.69202 | EWZ    | 6/6/2012         | 3/20/2013 | \$ 1,247.15    | \$ 1,157.21 | \$ 89.94              | -                               | \$ 89.94                 |
| 22.71948 | IVV    | 10/5/2012        | 9/9/2013  | \$ 3,808.73    | \$ 3,356.33 | \$ 452.40             | -                               | \$ 452.40                |
| 22.72889 | GM     | 9/17/2013        | 9/27/2013 | \$ 827.34      | \$ 837.16   | \$ (9.82)             | -                               | \$ (9.82)                |
| 22.73607 | EWG    | 8/10/2012        | 3/20/2013 | \$ 573.48      | \$ 470.62   | \$ 102.86             | -                               | \$ 102.86                |
| 22.75795 | IVV    | 10/5/2012        | 2/13/2013 | \$ 3,486.97    | \$ 3,362.01 | \$ 124.96             | -                               | \$ 124.96                |
| 22.86836 | IR     | 3/18/2013        | 9/27/2013 | \$ 1,498.34    | \$ 1,263.82 | \$ 234.52             | -                               | \$ 234.52                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 22.99353 | AGG    | 6/18/2013        | 6/20/2013 | \$ 2,465.60    | \$ 2,498.01 | \$ (32.42)            | -                               | \$ (32.42)               |
| 23.00403 | EWT    | 6/12/2012        | 3/20/2013 | \$ 303.42      | \$ 269.15   | \$ 34.28              | -                               | \$ 34.28                 |
| 23.03331 | CIU    | 6/28/2013        | 8/20/2013 | \$ 2,468.24    | \$ 2,478.37 | \$ (10.13)            | -                               | \$ (10.13)               |
| 23.08331 | ELD    | 2/20/2013        | 6/20/2013 | \$ 1,078.00    | \$ 1,232.04 | \$ (154.04)           | -                               | \$ (154.04)              |
| 23.10780 | IVV    | 10/5/2012        | 3/11/2013 | \$ 3,605.41    | \$ 3,413.70 | \$ 191.71             | -                               | \$ 191.71                |
| 23.11859 | ZQK    | 3/18/2013        | 9/27/2013 | \$ 162.99      | \$ 151.08   | \$ 11.91              | -                               | \$ 11.91                 |
| 23.16080 | IGT    | 10/15/2012       | 9/27/2013 | \$ 450.71      | \$ 293.86   | \$ 156.85             | -                               | \$ 156.85                |
| 23.18795 | DLPH   | 3/20/2013        | 9/27/2013 | \$ 1,352.33    | \$ 1,003.55 | \$ 348.77             | -                               | \$ 348.77                |
| 23.19706 | SLB    | 10/15/2012       | 9/27/2013 | \$ 2,060.87    | \$ 1,685.87 | \$ 375.00             | -                               | \$ 375.00                |
| 23.27446 | HBAN   | 5/8/2013         | 9/27/2013 | \$ 191.32      | \$ 173.35   | \$ 17.97              | -                               | \$ 17.97                 |
| 23.27933 | KORS   | 10/15/2012       | 9/27/2013 | \$ 1,736.41    | \$ 1,245.44 | \$ 490.97             | -                               | \$ 490.97                |
| 23.33027 | ALT    | 7/17/2012        | 5/6/2013  | \$ 1,211.31    | \$ 1,166.71 | \$ 44.60              | -                               | \$ 44.60                 |
| 23.33034 | BRK B  | 3/18/2013        | 9/27/2013 | \$ 2,674.60    | \$ 2,395.56 | \$ 279.04             | -                               | \$ 279.04                |
| 23.35944 | UNH    | 3/18/2013        | 9/27/2013 | \$ 1,680.02    | \$ 1,288.39 | \$ 391.63             | -                               | \$ 391.63                |
| 23.37911 | TXN    | 5/8/2013         | 9/27/2013 | \$ 936.40      | \$ 867.54   | \$ 68.86              | -                               | \$ 68.86                 |
| 23.41280 | EWQ    | 8/10/2012        | 3/20/2013 | \$ 560.65      | \$ 475.73   | \$ 84.92              | -                               | \$ 84.92                 |
| 23.47454 | NVAX   | 5/8/2013         | 9/27/2013 | \$ 73.48       | \$ 47.13    | \$ 26.34              | -                               | \$ 26.34                 |
| 23.54846 | AMAT   | 10/15/2012       | 9/27/2013 | \$ 415.16      | \$ 258.26   | \$ 156.91             | -                               | \$ 156.91                |
| 23.55364 | CTL    | 5/8/2013         | 9/27/2013 | \$ 748.78      | \$ 879.91   | \$ (131.14)           | -                               | \$ (131.14)              |
| 23.65139 | IVV    | 10/5/2012        | 5/14/2013 | \$ 3,900.17    | \$ 3,494.00 | \$ 406.17             | -                               | \$ 406.17                |
| 23.67330 | BEN    | 3/18/2013        | 9/27/2013 | \$ 1,201.91    | \$ 1,171.08 | \$ 30.83              | -                               | \$ 30.83                 |
| 23.69422 | C      | 7/17/2013        | 9/27/2013 | \$ 1,158.89    | \$ 1,240.68 | \$ (81.79)            | -                               | \$ (81.79)               |
| 23.72701 | CVS    | 3/18/2013        | 9/27/2013 | \$ 1,369.77    | \$ 1,278.53 | \$ 91.24              | -                               | \$ 91.24                 |
| 23.90677 | INDY   | 1/8/2013         | 3/20/2013 | \$ 575.81      | \$ 600.53   | \$ (24.72)            | -                               | \$ (24.72)               |
| 23.99978 | CMCSK  | 10/15/2012       | 9/27/2013 | \$ 1,033.20    | \$ 848.29   | \$ 184.91             | -                               | \$ 184.91                |
| 24.08177 | ORCL   | 6/25/2013        | 9/27/2013 | \$ 812.76      | \$ 722.07   | \$ 90.69              | -                               | \$ 90.69                 |
| 24.09737 | QCOM   | 5/8/2013         | 9/27/2013 | \$ 1,620.57    | \$ 1,552.30 | \$ 68.27              | -                               | \$ 68.27                 |
| 24.12112 | MUNI   | 12/11/2012       | 9/27/2013 | \$ 1,258.64    | \$ 1,331.79 | \$ (73.15)            | -                               | \$ (73.15)               |
| 24.31605 | EMB    | 9/19/2013        | 9/27/2013 | \$ 2,655.57    | \$ 2,693.46 | \$ (37.89)            | -                               | \$ (37.89)               |
| 24.35713 | WEC    | 9/6/2013         | 9/27/2013 | \$ 979.89      | \$ 979.63   | \$ 0.26               | -                               | \$ 0.26                  |
| 24.37155 | IVV    | 2/20/2013        | 9/27/2013 | \$ 4,138.05    | \$ 3,748.99 | \$ 389.06             | -                               | \$ 389.06                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 24.37685 | IP     | 3/18/2013        | 9/27/2013 | \$ 1,108.97    | \$ 1,110.00 | \$ (1.03)             | -                               | \$ (1.03)                |
| 24.43351 | IWF    | 1/14/2013        | 4/12/2013 | \$ 1,761.45    | \$ 1,646.32 | \$ 115.14             | -                               | \$ 115.14                |
| 24.48854 | AGG    | 12/4/2012        | 6/6/2013  | \$ 2,660.45    | \$ 2,733.89 | \$ (73.44)            | -                               | \$ (73.44)               |
| 24.50182 | CIU    | 7/10/2013        | 9/27/2013 | \$ 2,645.71    | \$ 2,631.49 | \$ 14.22              | -                               | \$ 14.22                 |
| 24.51106 | PGX    | 9/6/2013         | 9/27/2013 | \$ 334.58      | \$ 335.70   | \$ (1.12)             | -                               | \$ (1.12)                |
| 24.51615 | ELD    | 6/21/2013        | 6/25/2013 | \$ 1,156.18    | \$ 1,158.62 | \$ (2.43)             | -                               | \$ (2.43)                |
| 24.64036 | CTSH   | 4/24/2013        | 9/27/2013 | \$ 2,038.01    | \$ 1,573.60 | \$ 464.41             | -                               | \$ 464.41                |
| 24.64970 | CMCSK  | 9/6/2013         | 9/27/2013 | \$ 1,061.17    | \$ 1,019.75 | \$ 41.42              | -                               | \$ 41.42                 |
| 24.72928 | MUNI   | 5/10/2013        | 9/27/2013 | \$ 1,290.37    | \$ 1,350.42 | \$ (60.04)            | -                               | \$ (60.04)               |
| 24.73581 | LVS    | 3/18/2013        | 8/27/2013 | \$ 1,362.01    | \$ 1,321.43 | \$ 40.58              | -                               | \$ 40.58                 |
| 24.74148 | ORCL   | 10/15/2012       | 9/27/2013 | \$ 835.03      | \$ 773.01   | \$ 62.02              | -                               | \$ 62.02                 |
| 24.87414 | EWZ    | 3/18/2013        | 3/20/2013 | \$ 1,367.08    | \$ 1,381.51 | \$ (14.43)            | -                               | \$ (14.43)               |
| 25.05425 | COH    | 10/15/2012       | 9/27/2013 | \$ 1,358.95    | \$ 1,368.52 | \$ (9.57)             | -                               | \$ (9.57)                |
| 25.07134 | HBAN   | 9/6/2013         | 9/27/2013 | \$ 206.09      | \$ 212.10   | \$ (6.01)             | -                               | \$ (6.01)                |
| 25.25124 | VNQ    | 12/18/2012       | 6/13/2013 | \$ 1,739.33    | \$ 1,641.75 | \$ 97.58              | -                               | \$ 97.58                 |
| 25.25467 | VNQ    | 10/5/2012        | 5/28/2013 | \$ 1,903.16    | \$ 1,638.57 | \$ 264.59             | -                               | \$ 264.59                |
| 25.35760 | MMC    | 9/6/2013         | 9/27/2013 | \$ 1,111.43    | \$ 1,052.84 | \$ 58.59              | -                               | \$ 58.59                 |
| 25.59652 | AGG    | 12/4/2012        | 5/31/2013 | \$ 2,791.76    | \$ 2,857.58 | \$ (65.82)            | -                               | \$ (65.82)               |
| 25.63116 | SONS   | 9/6/2013         | 9/27/2013 | \$ 86.38       | \$ 95.60    | \$ (9.22)             | -                               | \$ (9.22)                |
| 25.71980 | A      | 9/6/2013         | 9/27/2013 | \$ 1,327.15    | \$ 1,225.41 | \$ 101.74             | -                               | \$ 101.74                |
| 25.78003 | PSP    | 5/1/2013         | 9/27/2013 | \$ 297.19      | \$ 300.59   | \$ (3.40)             | -                               | \$ (3.40)                |
| 25.81210 | ELD    | 6/21/2013        | 8/20/2013 | \$ 1,195.38    | \$ 1,219.86 | \$ (24.48)            | -                               | \$ (24.48)               |
| 25.86402 | VRSK   | 3/18/2013        | 9/27/2013 | \$ 1,686.86    | \$ 1,546.41 | \$ 140.45             | -                               | \$ 140.45                |
| 25.89163 | VNQ    | 6/6/2012         | 5/6/2013  | \$ 1,961.85    | \$ 1,604.33 | \$ 357.52             | -                               | \$ 357.52                |
| 25.93025 | COH    | 3/18/2013        | 9/27/2013 | \$ 1,406.46    | \$ 1,282.64 | \$ 123.82             | -                               | \$ 123.82                |
| 26.21484 | EWG    | 9/10/2012        | 3/20/2013 | \$ 661.23      | \$ 587.15   | \$ 74.08              | -                               | \$ 74.08                 |
| 26.25390 | SHY    | 9/6/2013         | 9/27/2013 | \$ 2,216.88    | \$ 2,209.66 | \$ 7.22               | -                               | \$ 7.22                  |
| 26.31429 | ELD    | 6/18/2013        | 6/20/2013 | \$ 1,228.89    | \$ 1,286.47 | \$ (57.58)            | -                               | \$ (57.58)               |
| 26.37404 | INDY   | 3/18/2013        | 3/20/2013 | \$ 635.24      | \$ 654.34   | \$ (19.10)            | -                               | \$ (19.10)               |
| 26.51764 | NVAX   | 3/18/2013        | 9/27/2013 | \$ 83.00       | \$ 56.35    | \$ 26.65              | -                               | \$ 26.65                 |
| 26.61354 | VAR    | 3/18/2013        | 9/27/2013 | \$ 1,976.33    | \$ 1,933.74 | \$ 42.59              | -                               | \$ 42.59                 |

Joseph C Scott Foundation  
Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 26.71496 | EWU    | 2/6/2013         | 3/20/2013 | \$ 487.82      | \$ 486.72   | \$ 1.10               | -                               | \$ 1.10                  |
| 26.79163 | IWF    | 7/15/2013        | 7/19/2013 | \$ 2,040.16    | \$ 2,046.06 | \$ (5.91)             | -                               | \$ (5.91)                |
| 26.80772 | KSS    | 3/18/2013        | 9/27/2013 | \$ 1,394.27    | \$ 1,305.67 | \$ 88.60              | -                               | \$ 88.60                 |
| 26.83231 | CIT    | 3/18/2013        | 9/27/2013 | \$ 1,298.42    | \$ 1,188.94 | \$ 109.48             | -                               | \$ 109.48                |
| 26.94368 | SONS   | 3/18/2013        | 9/27/2013 | \$ 90.80       | \$ 69.38    | \$ 21.42              | -                               | \$ 21.42                 |
| 26.97969 | HYS    | 9/19/2013        | 9/27/2013 | \$ 2,843.93    | \$ 2,851.74 | \$ (7.81)             | -                               | \$ (7.81)                |
| 27.02474 | HBAN   | 3/18/2013        | 9/27/2013 | \$ 222.15      | \$ 202.01   | \$ 20.14              | -                               | \$ 20.14                 |
| 27.05699 | STKL   | 3/18/2013        | 9/27/2013 | \$ 265.16      | \$ 182.77   | \$ 82.39              | -                               | \$ 82.39                 |
| 27.17160 | TPLM   | 3/26/2013        | 9/27/2013 | \$ 267.10      | \$ 182.41   | \$ 84.69              | -                               | \$ 84.69                 |
| 27.22872 | CFT    | 6/27/2013        | 6/28/2013 | \$ 2,908.03    | \$ 2,928.18 | \$ (20.15)            | -                               | \$ (20.15)               |
| 27.25754 | DHR    | 3/18/2013        | 9/27/2013 | \$ 1,902.58    | \$ 1,685.47 | \$ 217.11             | -                               | \$ 217.11                |
| 27.36168 | ALT    | 6/5/2012         | 5/6/2013  | \$ 1,420.62    | \$ 1,339.22 | \$ 81.40              | -                               | \$ 81.40                 |
| 27.37462 | ABT    | 9/6/2013         | 9/27/2013 | \$ 906.11      | \$ 921.14   | \$ (15.03)            | -                               | \$ (15.03)               |
| 27.39796 | ACWI   | 11/12/2012       | 5/28/2013 | \$ 1,463.32    | \$ 1,255.10 | \$ 208.22             | -                               | \$ 208.22                |
| 27.54256 | ABV    | 3/18/2013        | 9/27/2013 | \$ 1,220.42    | \$ 1,053.09 | \$ 167.33             | -                               | \$ 167.33                |
| 27.57749 | JNK    | 6/6/2012         | 5/6/2013  | \$ 1,150.98    | \$ 1,049.82 | \$ 101.16             | -                               | \$ 101.16                |
| 27.67817 | ORCL   | 5/8/2013         | 9/27/2013 | \$ 934.14      | \$ 922.73   | \$ 11.41              | -                               | \$ 11.41                 |
| 27.67932 | CIU    | 7/12/2013        | 9/27/2013 | \$ 2,988.82    | \$ 2,987.94 | \$ 0.88               | -                               | \$ 0.88                  |
| 27.79238 | IWF    | 7/11/2013        | 9/27/2013 | \$ 2,182.01    | \$ 2,107.46 | \$ 74.54              | -                               | \$ 74.54                 |
| 27.82620 | CIU    | 6/28/2013        | 8/16/2013 | \$ 2,985.49    | \$ 2,994.09 | \$ (8.59)             | -                               | \$ (8.59)                |
| 27.82813 | EWQ    | 9/10/2012        | 3/20/2013 | \$ 666.38      | \$ 601.58   | \$ 64.80              | -                               | \$ 64.80                 |
| 27.89114 | FTI    | 10/15/2012       | 9/27/2013 | \$ 1,542.95    | \$ 1,231.08 | \$ 311.88             | -                               | \$ 311.88                |
| 27.97324 | AGG    | 6/20/2012        | 5/6/2013  | \$ 3,100.28    | \$ 3,106.45 | \$ (6.16)             | -                               | \$ (6.16)                |
| 27.97628 | GILD   | 3/18/2013        | 9/27/2013 | \$ 1,774.26    | \$ 1,257.12 | \$ 517.14             | -                               | \$ 517.14                |
| 28.03275 | AGG    | 3/6/2013         | 6/20/2013 | \$ 3,005.95    | \$ 3,095.24 | \$ (89.28)            | -                               | \$ (89.28)               |
| 28.04012 | EWQ    | 2/20/2013        | 3/20/2013 | \$ 671.46      | \$ 676.49   | \$ (5.03)             | -                               | \$ (5.03)                |
| 28.05159 | CIU    | 9/12/2013        | 9/27/2013 | \$ 3,029.01    | \$ 2,992.52 | \$ 36.49              | -                               | \$ 36.49                 |
| 28.11431 | SCHW   | 9/6/2013         | 9/27/2013 | \$ 594.62      | \$ 608.67   | \$ (14.05)            | -                               | \$ (14.05)               |
| 28.20574 | IVV    | 9/6/2013         | 9/27/2013 | \$ 4,789.06    | \$ 4,721.07 | \$ 67.99              | -                               | \$ 67.99                 |
| 28.21398 | STX    | 9/16/2013        | 9/27/2013 | \$ 1,227.88    | \$ 1,162.40 | \$ 65.48              | -                               | \$ 65.48                 |
| 28.22557 | VNQ    | 12/4/2012        | 5/31/2013 | \$ 2,006.83    | \$ 1,810.89 | \$ 195.94             | -                               | \$ 195.94                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 28.30026 | MTB    | 7/2/2013         | 9/27/2013 | \$ 3,165.96    | \$ 3,219.63 | \$ (53.68)            | -                               | \$ (53.68)               |
| 28.30830 | EWU    | 1/15/2013        | 3/20/2013 | \$ 516.91      | \$ 514.60   | \$ 2.31               | -                               | \$ 2.31                  |
| 28.37422 | CTSH   | 10/15/2012       | 9/3/2013  | \$ 2,102.69    | \$ 1,976.14 | \$ 126.55             | -                               | \$ 126.55                |
| 28.37810 | EIS    | 10/9/2012        | 3/20/2013 | \$ 1,274.81    | \$ 1,175.42 | \$ 99.39              | -                               | \$ 99.39                 |
| 28.40947 | ELD    | 7/10/2013        | 9/27/2013 | \$ 1,338.94    | \$ 1,349.16 | \$ (10.22)            | -                               | \$ (10.22)               |
| 28.62976 | NORW   | 7/17/2012        | 3/20/2013 | \$ 439.81      | \$ 385.90   | \$ 53.91              | -                               | \$ 53.91                 |
| 28.64770 | IYR    | 6/5/2012         | 6/5/2013  | \$ 1,941.42    | \$ 1,710.70 | \$ 230.72             | -                               | \$ 230.72                |
| 28.65986 | EWG    | 2/20/2013        | 3/20/2013 | \$ 722.90      | \$ 727.57   | \$ (4.67)             | -                               | \$ (4.67)                |
| 28.80606 | NU     | 3/18/2013        | 9/27/2013 | \$ 1,195.75    | \$ 1,226.13 | \$ (30.38)            | -                               | \$ (30.38)               |
| 28.89504 | DVA    | 3/18/2013        | 9/27/2013 | \$ 1,646.73    | \$ 1,702.28 | \$ (55.54)            | -                               | \$ (55.54)               |
| 28.94088 | KORS   | 3/18/2013        | 9/27/2013 | \$ 2,158.71    | \$ 1,691.45 | \$ 467.26             | -                               | \$ 467.26                |
| 29.00902 | ERUS   | 6/6/2012         | 3/6/2013  | \$ 662.30      | \$ 583.18   | \$ 79.12              | -                               | \$ 79.12                 |
| 29.07165 | VNQ    | 7/17/2012        | 5/6/2013  | \$ 2,202.80    | \$ 1,949.02 | \$ 253.78             | -                               | \$ 253.78                |
| 29.22794 | TWX    | 3/18/2013        | 9/27/2013 | \$ 1,937.74    | \$ 1,648.31 | \$ 289.43             | -                               | \$ 289.43                |
| 29.24641 | ES     | 6/6/2012         | 3/22/2013 | \$ 109.06      | \$ 100.87   | \$ 8.19               | -                               | \$ 8.19                  |
| 29.33001 | IWF    | 5/3/2013         | 7/2/2013  | \$ 2,154.00    | \$ 2,171.30 | \$ (17.30)            | -                               | \$ (17.30)               |
| 29.45136 | CRM    | 3/18/2013        | 9/27/2013 | \$ 1,545.61    | \$ 1,290.56 | \$ 255.06             | -                               | \$ 255.06                |
| 29.74018 | EMB    | 4/26/2013        | 6/20/2013 | \$ 3,167.33    | \$ 3,586.06 | \$ (418.73)           | -                               | \$ (418.73)              |
| 29.74473 | AET    | 3/18/2013        | 9/27/2013 | \$ 1,915.27    | \$ 1,503.15 | \$ 412.12             | -                               | \$ 412.12                |
| 29.77582 | VNQ    | 9/10/2012        | 5/6/2013  | \$ 2,256.16    | \$ 1,983.73 | \$ 272.43             | -                               | \$ 272.43                |
| 29.78285 | ESRX   | 3/18/2013        | 9/27/2013 | \$ 1,845.05    | \$ 1,766.57 | \$ 78.48              | -                               | \$ 78.48                 |
| 29.81360 | TXN    | 9/6/2013         | 9/27/2013 | \$ 1,194.12    | \$ 1,171.05 | \$ 23.07              | -                               | \$ 23.07                 |
| 29.83000 | CRM    | 10/15/2012       | 9/27/2013 | \$ 1,565.49    | \$ 1,141.11 | \$ 424.37             | -                               | \$ 424.37                |
| 29.90251 | IYR    | 3/6/2013         | 9/27/2013 | \$ 1,924.82    | \$ 2,064.77 | \$ (139.94)           | -                               | \$ (139.94)              |
| 30.03408 | DBV    | 2/20/2013        | 9/4/2013  | \$ 765.58      | \$ 806.93   | \$ (41.34)            | -                               | \$ (41.34)               |
| 30.07655 | AGG    | 6/7/2012         | 5/6/2013  | \$ 3,333.39    | \$ 3,334.86 | \$ (1.47)             | -                               | \$ (1.47)                |
| 30.10501 | BEN    | 10/15/2012       | 9/27/2013 | \$ 1,528.45    | \$ 1,283.55 | \$ 244.89             | -                               | \$ 244.89                |
| 30.25079 | CTSH   | 5/28/2013        | 9/27/2013 | \$ 2,502.05    | \$ 1,939.81 | \$ 562.25             | -                               | \$ 562.25                |
| 30.35742 | SPLS   | 10/15/2012       | 9/27/2013 | \$ 443.02      | \$ 339.92   | \$ 103.10             | -                               | \$ 103.10                |
| 30.44121 | SCHW   | 10/15/2012       | 2/22/2013 | \$ 505.67      | \$ 395.43   | \$ 110.25             | -                               | \$ 110.25                |
| 30.50301 | ELD    | 6/21/2013        | 8/16/2013 | \$ 1,436.69    | \$ 1,441.55 | \$ (4.86)             | -                               | \$ (4.86)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 30.52943 | TJX    | 3/18/2013        | 9/27/2013 | \$ 1,723.09    | \$ 1,377.03 | \$ 346.06             | -                               | \$ 346.06                |
| 30.59488 | MINT   | 2/20/2013        | 5/6/2013  | \$ 3,108.75    | \$ 3,106.87 | \$ 1.87               | -                               | \$ 1.87                  |
| 30.60436 | BRK B  | 10/15/2012       | 9/27/2013 | \$ 3,508.49    | \$ 2,726.89 | \$ 781.61             | -                               | \$ 781.61                |
| 30.73782 | FIS    | 3/18/2013        | 9/27/2013 | \$ 1,426.86    | \$ 1,170.65 | \$ 256.21             | -                               | \$ 256.21                |
| 30.79493 | SONS   | 5/8/2013         | 9/27/2013 | \$ 103.78      | \$ 72.92    | \$ 30.86              | -                               | \$ 30.86                 |
| 31.09988 | ELD    | 9/12/2013        | 9/27/2013 | \$ 1,465.74    | \$ 1,448.91 | \$ 16.83              | -                               | \$ 16.83                 |
| 31.14948 | IGT    | 4/2/2013         | 9/27/2013 | \$ 606.18      | \$ 514.02   | \$ 92.16              | -                               | \$ 92.16                 |
| 31.15339 | ALT    | 12/18/2012       | 5/6/2013  | \$ 1,617.48    | \$ 1,567.01 | \$ 50.48              | -                               | \$ 50.48                 |
| 31.26135 | IYR    | 1/15/2013        | 9/27/2013 | \$ 2,012.29    | \$ 2,082.00 | \$ (69.71)            | -                               | \$ (69.71)               |
| 31.49792 | IYR    | 10/5/2012        | 9/27/2013 | \$ 2,027.52    | \$ 2,038.53 | \$ (11.01)            | -                               | \$ (11.01)               |
| 31.55799 | JNK    | 6/21/2013        | 8/20/2013 | \$ 1,243.38    | \$ 1,247.45 | \$ (4.07)             | -                               | \$ (4.07)                |
| 31.61278 | ABT    | 3/18/2013        | 9/27/2013 | \$ 1,046.39    | \$ 1,062.98 | \$ (16.59)            | -                               | \$ (16.59)               |
| 31.68269 | ALT    | 8/10/2012        | 5/6/2013  | \$ 1,644.97    | \$ 1,621.81 | \$ 23.16              | -                               | \$ 23.16                 |
| 31.83141 | ELD    | 6/27/2013        | 9/27/2013 | \$ 1,500.22    | \$ 1,527.91 | \$ (27.69)            | -                               | \$ (27.69)               |
| 31.87532 | ELD    | 7/12/2013        | 9/27/2013 | \$ 1,502.29    | \$ 1,526.17 | \$ (23.89)            | -                               | \$ (23.89)               |
| 31.87866 | ELD    | 3/6/2013         | 6/20/2013 | \$ 1,488.74    | \$ 1,690.89 | \$ (202.15)           | -                               | \$ (202.15)              |
| 31.92173 | CTL    | 9/6/2013         | 9/27/2013 | \$ 1,014.80    | \$ 1,023.06 | \$ (8.26)             | -                               | \$ (8.26)                |
| 32.07498 | STX    | 9/18/2013        | 9/27/2013 | \$ 1,395.91    | \$ 1,321.39 | \$ 74.52              | -                               | \$ 74.52                 |
| 32.07834 | EMC    | 5/8/2013         | 5/14/2013 | \$ 738.41      | \$ 746.78   | \$ (8.37)             | -                               | \$ (8.37)                |
| 32.23827 | FB     | 5/8/2013         | 9/27/2013 | \$ 1,643.84    | \$ 861.98   | \$ 781.86             | -                               | \$ 781.86                |
| 32.43190 | EIS    | 8/10/2012        | 3/6/2013  | \$ 1,424.74    | \$ 1,228.17 | \$ 196.57             | -                               | \$ 196.57                |
| 33.30212 | EXPD   | 3/18/2013        | 5/3/2013  | \$ 1,236.41    | \$ 1,276.97 | \$ (40.56)            | -                               | \$ (40.56)               |
| 33.37779 | MINT   | 6/21/2013        | 8/20/2013 | \$ 3,382.87    | \$ 3,384.08 | \$ (1.21)             | -                               | \$ (1.21)                |
| 33.41762 | DBV    | 11/12/2012       | 5/31/2013 | \$ 889.56      | \$ 856.83   | \$ 32.73              | -                               | \$ 32.73                 |
| 33.45746 | MINT   | 7/10/2013        | 9/27/2013 | \$ 3,393.59    | \$ 3,387.56 | \$ 6.03               | -                               | \$ 6.03                  |
| 33.47505 | WEC    | 3/18/2013        | 9/27/2013 | \$ 1,346.71    | \$ 1,378.67 | \$ (31.96)            | -                               | \$ (31.96)               |
| 33.58753 | EWN    | 2/6/2013         | 3/20/2013 | \$ 703.32      | \$ 711.38   | \$ (8.06)             | -                               | \$ (8.06)                |
| 33.59313 | EWG    | 7/17/2012        | 3/20/2013 | \$ 847.33      | \$ 665.72   | \$ 181.61             | -                               | \$ 181.61                |
| 33.76168 | VMW    | 5/14/2013        | 9/27/2013 | \$ 2,786.03    | \$ 2,627.98 | \$ 158.05             | -                               | \$ 158.05                |
| 33.77527 | DWX    | 6/21/2013        | 6/25/2013 | \$ 1,439.16    | \$ 1,444.14 | \$ (4.98)             | -                               | \$ (4.98)                |
| 33.84260 | ALT    | 10/5/2012        | 5/6/2013  | \$ 1,757.11    | \$ 1,684.25 | \$ 72.85              | -                               | \$ 72.85                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 33.96535 | IVV    | 1/15/2013     | 9/27/2013 | \$ 5,766.98    | \$ 4,999.53 | \$ 767.45          | -                         | \$ 767.45             |
| 34.07243 | FTI    | 3/18/2013     | 9/27/2013 | \$ 1,884.90    | \$ 1,773.47 | \$ 111.43          | -                         | \$ 111.43             |
| 34.27599 | EMB    | 7/17/2012     | 4/18/2013 | \$ 4,113.13    | \$ 4,043.46 | \$ 69.67           | -                         | \$ 69.67              |
| 34.54815 | MMC    | 3/18/2013     | 9/27/2013 | \$ 1,514.25    | \$ 1,278.80 | \$ 235.45          | -                         | \$ 235.45             |
| 34.56826 | FB     | 3/18/2013     | 9/10/2013 | \$ 1,507.12    | \$ 919.69   | \$ 587.43          | -                         | \$ 587.43             |
| 34.58890 | SPLS   | 5/8/2013      | 9/27/2013 | \$ 504.77      | \$ 488.03   | \$ 16.74           | -                         | \$ 16.74              |
| 34.62394 | EWQ    | 7/17/2012     | 3/20/2013 | \$ 829.12      | \$ 658.45   | \$ 170.67          | -                         | \$ 170.67             |
| 35.14790 | A      | 3/18/2013     | 9/27/2013 | \$ 1,813.64    | \$ 1,493.61 | \$ 320.03          | -                         | \$ 320.03             |
| 35.15793 | ERUS   | 6/6/2012      | 2/6/2013  | \$ 843.88      | \$ 706.79   | \$ 137.09          | -                         | \$ 137.09             |
| 35.32698 | MUB    | 6/5/2012      | 3/18/2013 | \$ 3,879.76    | \$ 3,910.17 | \$ (30.40)         | -                         | \$ (30.40)            |
| 35.35434 | EFA    | 6/21/2013     | 9/27/2013 | \$ 2,273.28    | \$ 2,047.37 | \$ 225.91          | -                         | \$ 225.91             |
| 35.38547 | JNK    | 7/10/2013     | 9/27/2013 | \$ 1,411.53    | \$ 1,399.50 | \$ 12.03           | -                         | \$ 12.03              |
| 35.39319 | ACWI   | 10/5/2012     | 5/28/2013 | \$ 1,890.34    | \$ 1,693.92 | \$ 196.43          | -                         | \$ 196.43             |
| 35.42665 | VNQ    | 6/18/2013     | 6/20/2013 | \$ 2,339.27    | \$ 2,506.44 | \$ (167.16)        | -                         | \$ (167.16)           |
| 35.43098 | SHY    | 10/5/2012     | 1/17/2013 | \$ 2,991.17    | \$ 2,991.70 | \$ (0.53)          | -                         | \$ (0.53)             |
| 35.46807 | AGG    | 7/17/2012     | 5/6/2013  | \$ 3,930.94    | \$ 3,979.46 | \$ (48.52)         | -                         | \$ (48.52)            |
| 35.51563 | EMB    | 8/6/2012      | 5/6/2013  | \$ 4,306.42    | \$ 4,240.56 | \$ 65.86           | -                         | \$ 65.86              |
| 35.56501 | FB     | 6/20/2013     | 9/27/2013 | \$ 1,813.47    | \$ 844.52   | \$ 968.95          | -                         | \$ 968.95             |
| 35.57974 | AMAT   | 12/18/2012    | 9/27/2013 | \$ 627.28      | \$ 400.88   | \$ 226.40          | -                         | \$ 226.40             |
| 35.85874 | JNK    | 12/18/2012    | 6/13/2013 | \$ 1,437.22    | \$ 1,467.51 | \$ (30.28)         | -                         | \$ (30.28)            |
| 35.96008 | VNQ    | 8/10/2012     | 5/6/2013  | \$ 2,724.75    | \$ 2,338.33 | \$ 386.42          | -                         | \$ 386.42             |
| 35.98836 | VRSK   | 10/15/2012    | 9/27/2013 | \$ 2,347.17    | \$ 1,688.34 | \$ 658.83          | -                         | \$ 658.83             |
| 36.05623 | EMB    | 3/27/2013     | 6/20/2013 | \$ 3,839.99    | \$ 4,238.05 | \$ (398.06)        | -                         | \$ (398.06)           |
| 36.18159 | JNK    | 7/12/2013     | 9/27/2013 | \$ 1,443.28    | \$ 1,451.61 | \$ (8.32)          | -                         | \$ (8.32)             |
| 36.33709 | SONS   | 3/28/2013     | 9/27/2013 | \$ 122.46      | \$ 95.02    | \$ 27.44           | -                         | \$ 27.44              |
| 36.53783 | SDY    | 6/21/2013     | 6/25/2013 | \$ 2,387.85    | \$ 2,386.97 | \$ 0.88            | -                         | \$ 0.88               |
| 36.56861 | ACWI   | 12/18/2012    | 6/13/2013 | \$ 1,877.42    | \$ 1,754.20 | \$ 123.21          | -                         | \$ 123.21             |
| 36.76626 | EA     | 3/18/2013     | 9/27/2013 | \$ 957.03      | \$ 686.61   | \$ 270.42          | -                         | \$ 270.42             |
| 36.78118 | JNK    | 6/21/2013     | 8/16/2013 | \$ 1,456.17    | \$ 1,453.92 | \$ 2.25            | -                         | \$ 2.25               |
| 37.07889 | RHT    | 6/18/2013     | 9/27/2013 | \$ 1,703.04    | \$ 1,739.07 | \$ (36.02)         | -                         | \$ (36.02)            |
| 37.28063 | VAR    | 10/15/2012    | 9/27/2013 | \$ 2,768.47    | \$ 2,168.20 | \$ 600.27          | -                         | \$ 600.27             |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 37.37967 | CMCSK  | 3/18/2013        | 9/27/2013 | \$ 1,609.20    | \$ 1,435.94 | \$ 173.26             | -                               | \$ 173.26                |
| 37.45729 | JNK    | 9/12/2013        | 9/27/2013 | \$ 1,494.17    | \$ 1,484.43 | \$ 9.74               | -                               | \$ 9.74                  |
| 37.51738 | AGG    | 8/6/2012         | 5/6/2013  | \$ 4,158.06    | \$ 4,210.19 | \$ (52.13)            | -                               | \$ (52.13)               |
| 37.64473 | QCOM   | 3/18/2013        | 9/27/2013 | \$ 2,531.64    | \$ 2,435.43 | \$ 96.21              | -                               | \$ 96.21                 |
| 37.65061 | VNQ    | 1/15/2013        | 6/20/2013 | \$ 2,514.34    | \$ 2,528.47 | \$ (14.13)            | -                               | \$ (14.13)               |
| 37.67055 | ACWI   | 12/4/2012        | 5/31/2013 | \$ 1,978.80    | \$ 1,782.19 | \$ 196.61             | -                               | \$ 196.61                |
| 37.67333 | IVV    | 6/21/2013        | 9/27/2013 | \$ 6,396.56    | \$ 6,030.13 | \$ 366.44             | -                               | \$ 366.44                |
| 37.68037 | VNQ    | 2/20/2013        | 6/20/2013 | \$ 2,488.09    | \$ 2,631.49 | \$ (143.40)           | -                               | \$ (143.40)              |
| 37.89763 | AMTD   | 6/6/2012         | 3/14/2013 | \$ 798.75      | \$ 642.28   | \$ 156.47             | -                               | \$ 156.47                |
| 37.92599 | SDY    | 7/10/2013        | 9/27/2013 | \$ 2,621.61    | \$ 2,592.24 | \$ 29.37              | -                               | \$ 29.37                 |
| 38.16629 | GILD   | 3/18/2013        | 9/27/2013 | \$ 2,420.51    | \$ 1,715.01 | \$ 705.50             | -                               | \$ 705.50                |
| 38.31454 | MINT   | 9/12/2013        | 9/27/2013 | \$ 3,886.25    | \$ 3,880.43 | \$ 5.82               | -                               | \$ 5.82                  |
| 38.52320 | JNK    | 11/12/2012       | 5/28/2013 | \$ 1,589.88    | \$ 1,538.05 | \$ 51.83              | -                               | \$ 51.83                 |
| 38.74100 | ODMAX  | 3/22/2013        | 6/20/2013 | \$ 1,272.25    | \$ 1,352.84 | \$ (80.59)            | -                               | \$ (80.59)               |
| 38.74813 | SHY    | 11/9/2012        | 3/27/2013 | \$ 3,271.89    | \$ 3,271.89 | \$ -                  | -                               | \$ -                     |
| 39.37137 | SHY    | 12/18/2012       | 3/27/2013 | \$ 3,324.52    | \$ 3,323.64 | \$ 0.88               | -                               | \$ 0.88                  |
| 39.40341 | IVR    | 11/12/2012       | 9/27/2013 | \$ 2,536.40    | \$ 2,474.14 | \$ 62.26              | -                               | \$ 62.26                 |
| 39.53206 | EWP    | 3/6/2013         | 3/20/2013 | \$ 1,193.47    | \$ 1,201.38 | \$ (7.91)             | -                               | \$ (7.91)                |
| 39.68345 | MSFT   | 10/15/2012       | 9/27/2013 | \$ 1,326.71    | \$ 1,173.60 | \$ 153.11             | -                               | \$ 153.11                |
| 40.01274 | AGG    | 7/2/2012         | 5/6/2013  | \$ 4,434.62    | \$ 4,452.62 | \$ (17.99)            | -                               | \$ (17.99)               |
| 40.27051 | DBC    | 10/5/2012        | 5/28/2013 | \$ 1,061.60    | \$ 1,149.93 | \$ (88.32)            | -                               | \$ (88.32)               |
| 40.39570 | ACWI   | 7/17/2012        | 5/6/2013  | \$ 2,128.97    | \$ 1,770.15 | \$ 358.82             | -                               | \$ 358.82                |
| 40.69548 | ORCL   | 9/6/2013         | 9/27/2013 | \$ 1,373.48    | \$ 1,311.99 | \$ 61.49              | -                               | \$ 61.49                 |
| 40.81298 | AMAT   | 5/8/2013         | 9/27/2013 | \$ 719.54      | \$ 604.76   | \$ 114.78             | -                               | \$ 114.78                |
| 40.84650 | TFI    | 7/18/2012        | 7/5/2013  | \$ 912.51      | \$ 998.29   | \$ (85.78)            | -                               | \$ (85.78)               |
| 40.96516 | DBC    | 2/20/2013        | 6/20/2013 | \$ 1,055.61    | \$ 1,151.83 | \$ (96.22)            | -                               | \$ (96.22)               |
| 40.98152 | ELD    | 12/4/2012        | 6/6/2013  | \$ 2,033.07    | \$ 2,167.92 | \$ (134.85)           | -                               | \$ (134.85)              |
| 41.09836 | ESRX   | 10/15/2012       | 9/27/2013 | \$ 2,546.05    | \$ 2,619.10 | \$ (73.05)            | -                               | \$ (73.05)               |
| 41.13910 | EWK    | 10/9/2012        | 3/6/2013  | \$ 589.13      | \$ 527.40   | \$ 61.72              | -                               | \$ 61.72                 |
| 41.17835 | EWT    | 3/6/2013         | 3/20/2013 | \$ 543.14      | \$ 558.38   | \$ (15.24)            | -                               | \$ (15.24)               |
| 41.32129 | JNK    | 10/5/2012        | 5/28/2013 | \$ 1,705.36    | \$ 1,663.41 | \$ 41.95              | -                               | \$ 41.95                 |

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 42.25512 | MINT   | 3/6/2013         | 5/6/2013  | \$ 4,293.54    | \$ 4,289.64 | \$ 3.90               | -                               | \$ 3.90                  |
| 42.69195 | CTL    | 3/18/2013        | 9/27/2013 | \$ 1,357.19    | \$ 1,468.39 | \$ (111.20)           | -                               | \$ (111.20)              |
| 43.15662 | SDY    | 6/21/2013        | 8/20/2013 | \$ 2,934.65    | \$ 2,819.37 | \$ 115.28             | -                               | \$ 115.28                |
| 43.16153 | NORW   | 11/12/2012       | 3/20/2013 | \$ 663.05      | \$ 637.66   | \$ 25.39              | -                               | \$ 25.39                 |
| 43.16637 | DBC    | 2/20/2013        | 6/20/2013 | \$ 1,103.76    | \$ 1,213.73 | \$ (109.96)           | -                               | \$ (109.96)              |
| 43.19419 | TXN    | 3/18/2013        | 9/27/2013 | \$ 1,730.05    | \$ 1,502.51 | \$ 227.54             | -                               | \$ 227.54                |
| 43.26119 | DBV    | 12/18/2012       | 9/4/2013  | \$ 1,102.75    | \$ 1,127.60 | \$ (24.85)            | -                               | \$ (24.85)               |
| 43.30524 | SDY    | 6/27/2013        | 9/27/2013 | \$ 2,993.45    | \$ 2,891.91 | \$ 101.55             | -                               | \$ 101.55                |
| 43.41058 | SDY    | 9/12/2013        | 9/27/2013 | \$ 3,000.73    | \$ 3,000.11 | \$ 0.63               | -                               | \$ 0.63                  |
| 43.69810 | SPLS   | 9/6/2013         | 9/27/2013 | \$ 637.70      | \$ 620.01   | \$ 17.69              | -                               | \$ 17.69                 |
| 43.76224 | CFT    | 6/21/2013        | 6/21/2013 | \$ 4,667.68    | \$ 4,705.21 | \$ (37.53)            | -                               | \$ (37.53)               |
| 43.76713 | DBC    | 6/7/2012         | 4/18/2013 | \$ 1,118.38    | \$ 1,114.59 | \$ 3.79               | -                               | \$ 3.79                  |
| 44.01959 | IGT    | 5/8/2013         | 9/27/2013 | \$ 856.63      | \$ 761.27   | \$ 95.36              | -                               | \$ 95.36                 |
| 44.05526 | SDY    | 7/12/2013        | 9/27/2013 | \$ 3,045.30    | \$ 3,047.17 | \$ (1.87)             | -                               | \$ (1.87)                |
| 44.05989 | EIS    | 12/6/2012        | 3/20/2013 | \$ 1,979.28    | \$ 1,909.12 | \$ 70.17              | -                               | \$ 70.17                 |
| 44.13974 | DBC    | 2/20/2013        | 9/4/2013  | \$ 1,171.05    | \$ 1,241.09 | \$ (70.05)            | -                               | \$ (70.05)               |
| 44.25890 | ALT    | 11/12/2012       | 5/6/2013  | \$ 2,297.92    | \$ 2,218.22 | \$ 79.70              | -                               | \$ 79.70                 |
| 44.57974 | ACWI   | 9/10/2012        | 5/6/2013  | \$ 2,349.48    | \$ 2,080.33 | \$ 269.15             | -                               | \$ 269.15                |
| 44.78128 | ALT    | 9/10/2012        | 5/6/2013  | \$ 2,325.04    | \$ 2,257.42 | \$ 67.63              | -                               | \$ 67.63                 |
| 44.84904 | MSFT   | 5/8/2013         | 9/27/2013 | \$ 1,499.41    | \$ 1,481.34 | \$ 18.07              | -                               | \$ 18.07                 |
| 45.10063 | SCHW   | 10/15/2012       | 2/15/2013 | \$ 759.81      | \$ 585.85   | \$ 173.95             | -                               | \$ 173.95                |
| 45.12470 | EWS    | 3/6/2013         | 3/20/2013 | \$ 611.44      | \$ 619.11   | \$ (7.67)             | -                               | \$ (7.67)                |
| 45.52839 | DBC    | 1/15/2013        | 6/20/2013 | \$ 1,173.20    | \$ 1,262.39 | \$ (89.19)            | -                               | \$ (89.19)               |
| 45.72726 | EMC    | 10/15/2012       | 5/14/2013 | \$ 1,052.59    | \$ 1,176.04 | \$ (123.45)           | -                               | \$ (123.45)              |
| 46.48948 | SHY    | 1/15/2013        | 4/26/2013 | \$ 3,927.90    | \$ 3,926.27 | \$ 1.63               | -                               | \$ 1.63                  |
| 46.53409 | JNK    | 7/17/2012        | 5/6/2013  | \$ 1,942.16    | \$ 1,838.79 | \$ 103.37             | -                               | \$ 103.37                |
| 46.67243 | TFI    | 1/8/2013         | 7/5/2013  | \$ 1,042.66    | \$ 1,134.72 | \$ (92.06)            | -                               | \$ (92.06)               |
| 46.91864 | EMC    | 3/18/2013        | 9/27/2013 | \$ 1,224.11    | \$ 1,182.12 | \$ 41.99              | -                               | \$ 41.99                 |
| 47.29871 | TFI    | 3/7/2013         | 7/5/2013  | \$ 1,056.65    | \$ 1,136.92 | \$ (80.27)            | -                               | \$ (80.27)               |
| 47.33739 | FB     | 10/26/2012       | 7/29/2013 | \$ 1,646.37    | \$ 1,070.90 | \$ 575.47             | -                               | \$ 575.47                |
| 47.57514 | ALT    | 1/15/2013        | 5/6/2013  | \$ 2,470.10    | \$ 2,405.53 | \$ 64.57              | -                               | \$ 64.57                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 47.66552 | RWO    | 6/21/2013     | 6/25/2013 | \$ 1,922.37    | \$ 1,922.30 | \$ 0.07            | -                         | \$ 0.07               |
| 47.74363 | DBV    | 10/5/2012     | 9/4/2013  | \$ 1,217.01    | \$ 1,201.23 | \$ 15.78           | -                         | \$ 15.78              |
| 47.82903 | RHT    | 5/17/2013     | 9/27/2013 | \$ 2,196.80    | \$ 2,639.91 | \$ (443.11)        | -                         | \$ (443.11)           |
| 48.08957 | ACWI   | 6/18/2013     | 6/20/2013 | \$ 2,400.27    | \$ 2,517.00 | \$ (116.74)        | -                         | \$ (116.74)           |
| 48.70354 | EWL    | 9/13/2012     | 3/20/2013 | \$ 1,438.23    | \$ 1,202.49 | \$ 235.74          | -                         | \$ 235.74             |
| 48.72285 | EWK    | 2/6/2013      | 3/6/2013  | \$ 697.73      | \$ 695.14   | \$ 2.58            | -                         | \$ 2.58               |
| 48.87242 | EWL    | 7/6/2012      | 3/20/2013 | \$ 1,443.22    | \$ 1,104.03 | \$ 339.19          | -                         | \$ 339.19             |
| 49.00428 | SCHW   | 5/8/2013      | 9/27/2013 | \$ 1,036.45    | \$ 850.71   | \$ 185.74          | -                         | \$ 185.74             |
| 49.06077 | EWJ    | 1/15/2013     | 3/20/2013 | \$ 523.97      | \$ 484.66   | \$ 39.31           | -                         | \$ 39.31              |
| 49.31903 | ARMH   | 7/19/2013     | 9/27/2013 | \$ 2,404.86    | \$ 2,038.93 | \$ 365.93          | -                         | \$ 365.93             |
| 49.34093 | MINT   | 6/21/2013     | 6/25/2013 | \$ 4,997.26    | \$ 5,002.54 | \$ (5.27)          | -                         | \$ (5.27)             |
| 49.47494 | ERUS   | 3/18/2013     | 3/20/2013 | \$ 1,107.26    | \$ 1,115.66 | \$ (8.40)          | -                         | \$ (8.40)             |
| 49.64685 | EWV    | 3/18/2013     | 3/20/2013 | \$ 3,508.05    | \$ 3,524.43 | \$ (16.38)         | -                         | \$ (16.38)            |
| 49.72822 | ELD    | 6/21/2013     | 6/21/2013 | \$ 2,330.26    | \$ 2,350.12 | \$ (19.86)         | -                         | \$ (19.86)            |
| 49.84753 | PVI    | 9/6/2013      | 9/27/2013 | \$ 1,245.19    | \$ 1,245.69 | \$ (0.50)          | -                         | \$ (0.50)             |
| 49.94604 | EWG    | 7/6/2012      | 3/20/2013 | \$ 1,259.81    | \$ 960.46   | \$ 299.35          | -                         | \$ 299.35             |
| 49.95262 | EMC    | 5/17/2013     | 9/27/2013 | \$ 1,303.27    | \$ 1,206.30 | \$ 96.97           | -                         | \$ 96.97              |
| 50.18277 | VNQ    | 6/7/2012      | 5/6/2013  | \$ 3,802.42    | \$ 3,141.18 | \$ 661.25          | -                         | \$ 661.25             |
| 50.34865 | ORCL   | 3/18/2013     | 9/27/2013 | \$ 1,699.28    | \$ 1,828.92 | \$ (129.64)        | -                         | \$ (129.64)           |
| 50.39575 | EXPD   | 10/15/2012    | 5/3/2013  | \$ 1,871.05    | \$ 1,762.01 | \$ 109.04          | -                         | \$ 109.04             |
| 50.44837 | SDY    | 6/21/2013     | 8/16/2013 | \$ 3,447.74    | \$ 3,295.73 | \$ 152.01          | -                         | \$ 152.01             |
| 50.63706 | ACWI   | 1/15/2013     | 6/20/2013 | \$ 2,546.54    | \$ 2,486.07 | \$ 60.47           | -                         | \$ 60.47              |
| 50.74974 | VNQ    | 3/6/2013      | 6/20/2013 | \$ 3,351.08    | \$ 3,553.21 | \$ (202.13)        | -                         | \$ (202.13)           |
| 50.92854 | TFI    | 1/11/2013     | 7/5/2013  | \$ 1,137.74    | \$ 1,242.15 | \$ (104.40)        | -                         | \$ (104.40)           |
| 51.10300 | MGIAX  | 3/22/2013     | 6/20/2013 | \$ 1,525.94    | \$ 1,496.30 | \$ 29.64           | -                         | \$ 29.64              |
| 51.16312 | EMC    | 3/18/2013     | 5/14/2013 | \$ 1,177.72    | \$ 1,289.06 | \$ (111.34)        | -                         | \$ (111.34)           |
| 51.45282 | CIU    | 9/19/2013     | 9/27/2013 | \$ 5,555.88    | \$ 5,548.60 | \$ 7.28            | -                         | \$ 7.28               |
| 51.50820 | ERUS   | 6/6/2012      | 3/20/2013 | \$ 1,152.77    | \$ 1,035.49 | \$ 117.28          | -                         | \$ 117.28             |
| 51.51853 | DBC    | 1/15/2013     | 6/13/2013 | \$ 1,338.43    | \$ 1,428.48 | \$ (90.05)         | -                         | \$ (90.05)            |
| 51.68329 | VNQ    | 6/20/2012     | 5/6/2013  | \$ 3,916.12    | \$ 3,304.02 | \$ 612.10          | -                         | \$ 612.10             |
| 51.78205 | DBC    | 12/18/2012    | 6/6/2013  | \$ 1,357.70    | \$ 1,431.51 | \$ (73.81)         | -                         | \$ (73.81)            |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 51.98480 | EMC    | 5/8/2013         | 9/27/2013 | \$ 1,356.29    | \$ 1,210.20 | \$ 146.09             | -                               | \$ 146.09                |
| 52.40410 | SHY    | 6/21/2013        | 9/19/2013 | \$ 4,421.86    | \$ 4,417.63 | \$ 4.23               | -                               | \$ 4.23                  |
| 52.54497 | QCOM   | 10/15/2012       | 9/27/2013 | \$ 3,533.69    | \$ 3,095.49 | \$ 438.20             | -                               | \$ 438.20                |
| 52.99014 | ACWI   | 8/10/2012        | 5/6/2013  | \$ 2,792.73    | \$ 2,406.22 | \$ 386.51             | -                               | \$ 386.51                |
| 53.02586 | SHY    | 9/10/2012        | 1/17/2013 | \$ 4,476.58    | \$ 4,478.43 | \$ (1.85)             | -                               | \$ (1.85)                |
| 53.22180 | ACWI   | 2/20/2013        | 6/20/2013 | \$ 2,656.43    | \$ 2,687.15 | \$ (30.72)            | -                               | \$ (30.72)               |
| 53.44451 | JNK    | 12/4/2012        | 5/31/2013 | \$ 2,187.01    | \$ 2,164.45 | \$ 22.56              | -                               | \$ 22.56                 |
| 53.64821 | DBV    | 11/12/2012       | 5/28/2013 | \$ 1,456.03    | \$ 1,375.54 | \$ 80.49              | -                               | \$ 80.49                 |
| 53.94126 | EMB    | 9/10/2012        | 5/6/2013  | \$ 6,540.60    | \$ 6,494.95 | \$ 45.66              | -                               | \$ 45.66                 |
| 54.17021 | AMAT   | 9/6/2013         | 9/27/2013 | \$ 955.03      | \$ 842.33   | \$ 112.70             | -                               | \$ 112.70                |
| 54.95609 | THD    | 3/18/2013        | 3/20/2013 | \$ 5,030.19    | \$ 5,144.99 | \$ (114.80)           | -                               | \$ (114.80)              |
| 55.34677 | EWV    | 6/6/2012         | 3/20/2013 | \$ 3,910.80    | \$ 3,040.01 | \$ 870.79             | -                               | \$ 870.79                |
| 55.73735 | EWT    | 3/18/2013        | 3/20/2013 | \$ 735.18      | \$ 738.52   | \$ (3.34)             | -                               | \$ (3.34)                |
| 55.85524 | MINT   | 6/21/2013        | 6/21/2013 | \$ 5,658.15    | \$ 5,663.01 | \$ (4.86)             | -                               | \$ (4.86)                |
| 56.03657 | JNK    | 2/20/2013        | 6/20/2013 | \$ 2,209.52    | \$ 2,286.18 | \$ (76.66)            | -                               | \$ (76.66)               |
| 56.18553 | DWX    | 6/21/2013        | 8/20/2013 | \$ 2,539.63    | \$ 2,402.34 | \$ 137.29             | -                               | \$ 137.29                |
| 56.25224 | ELD    | 3/27/2013        | 6/20/2013 | \$ 2,627.00    | \$ 2,952.11 | \$ (325.11)           | -                               | \$ (325.11)              |
| 56.26147 | EMB    | 6/21/2013        | 9/27/2013 | \$ 6,144.34    | \$ 6,059.16 | \$ 85.17              | -                               | \$ 85.17                 |
| 56.61902 | EWV    | 12/6/2012        | 3/20/2013 | \$ 1,100.67    | \$ 1,086.52 | \$ 14.15              | -                               | \$ 14.15                 |
| 56.97810 | MUNI   | 6/5/2012         | 3/18/2013 | \$ 3,072.25    | \$ 3,075.68 | \$ (3.43)             | -                               | \$ (3.43)                |
| 57.03570 | EMC    | 10/15/2012       | 9/27/2013 | \$ 1,488.07    | \$ 1,466.88 | \$ 21.19              | -                               | \$ 21.19                 |
| 57.08024 | EWU    | 8/10/2012        | 3/20/2013 | \$ 1,042.29    | \$ 974.67   | \$ 67.62              | -                               | \$ 67.62                 |
| 57.38198 | JNK    | 1/15/2013        | 6/20/2013 | \$ 2,272.90    | \$ 2,361.17 | \$ (88.27)            | -                               | \$ (88.27)               |
| 57.45886 | AGG    | 9/10/2012        | 5/6/2013  | \$ 6,368.18    | \$ 6,425.70 | \$ (57.52)            | -                               | \$ (57.52)               |
| 57.47796 | EMC    | 9/6/2013         | 9/27/2013 | \$ 1,499.61    | \$ 1,519.13 | \$ (19.52)            | -                               | \$ (19.52)               |
| 57.56105 | MSFT   | 9/6/2013         | 9/27/2013 | \$ 1,924.40    | \$ 1,799.88 | \$ 124.52             | -                               | \$ 124.52                |
| 58.11607 | JNK    | 8/10/2012        | 5/6/2013  | \$ 2,425.55    | \$ 2,307.71 | \$ 117.84             | -                               | \$ 117.84                |
| 58.13874 | SHY    | 4/18/2013        | 5/6/2013  | \$ 4,910.98    | \$ 4,911.56 | \$ (0.58)             | -                               | \$ (0.58)                |
| 58.20888 | EPOL   | 3/18/2013        | 3/20/2013 | \$ 1,542.07    | \$ 1,571.64 | \$ (29.57)            | -                               | \$ (29.57)               |
| 58.26487 | ELD    | 9/19/2013        | 9/27/2013 | \$ 2,746.03    | \$ 2,842.16 | \$ (96.13)            | -                               | \$ (96.13)               |
| 58.30888 | JNK    | 6/21/2013        | 6/25/2013 | \$ 2,272.89    | \$ 2,304.89 | \$ (32.00)            | -                               | \$ (32.00)               |

## Detail of Curian Clearing Account RA17461012 (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 58.64409 | VNQ    | 7/17/2012        | 5/6/2013  | \$ 4,443.55    | \$ 3,894.88 | \$ 548.66             | -                               | \$ 548.66                |
| 58.64890 | JNK    | 9/10/2012        | 5/6/2013  | \$ 2,447.79    | \$ 2,359.19 | \$ 88.60              | -                               | \$ 88.60                 |
| 58.74842 | VNQ    | 12/4/2012        | 6/6/2013  | \$ 4,077.12    | \$ 3,769.17 | \$ 307.95             | -                               | \$ 307.95                |
| 58.90242 | EIS    | 3/18/2013        | 3/20/2013 | \$ 2,646.04    | \$ 2,650.02 | \$ (3.98)             | -                               | \$ (3.98)                |
| 59.19371 | DWX    | 7/10/2013        | 9/27/2013 | \$ 2,775.02    | \$ 2,596.24 | \$ 178.78             | -                               | \$ 178.78                |
| 59.32075 | IWF    | 9/26/2013        | 9/27/2013 | \$ 4,657.33    | \$ 4,671.33 | \$ (13.99)            | -                               | \$ (13.99)               |
| 59.40806 | SPLS   | 3/18/2013        | 9/27/2013 | \$ 866.96      | \$ 802.90   | \$ 64.06              | -                               | \$ 64.06                 |
| 59.45054 | IGT    | 9/6/2013         | 9/27/2013 | \$ 1,156.92    | \$ 1,145.48 | \$ 11.44              | -                               | \$ 11.44                 |
| 59.59991 | SHY    | 4/18/2013        | 6/18/2013 | \$ 5,030.23    | \$ 5,035.00 | \$ (4.77)             | -                               | \$ (4.77)                |
| 59.98299 | DBV    | 12/18/2012       | 6/13/2013 | \$ 1,539.74    | \$ 1,563.46 | \$ (23.72)            | -                               | \$ (23.72)               |
| 60.07258 | EWY    | 6/6/2012         | 3/20/2013 | \$ 3,493.82    | \$ 3,181.25 | \$ 312.58             | -                               | \$ 312.58                |
| 60.14214 | EWG    | 11/12/2012       | 3/20/2013 | \$ 1,516.99    | \$ 1,335.63 | \$ 181.36             | -                               | \$ 181.36                |
| 60.56862 | RWO    | 7/10/2013        | 9/27/2013 | \$ 2,572.96    | \$ 2,582.04 | \$ (9.08)             | -                               | \$ (9.08)                |
| 60.67424 | MINT   | 6/27/2013        | 9/27/2013 | \$ 6,154.19    | \$ 6,150.55 | \$ 3.65               | -                               | \$ 3.65                  |
| 61.56183 | VNQ    | 8/6/2012         | 5/6/2013  | \$ 4,664.63    | \$ 4,117.68 | \$ 546.95             | -                               | \$ 546.95                |
| 61.66058 | DBV    | 11/12/2012       | 9/4/2013  | \$ 1,571.76    | \$ 1,580.98 | \$ (9.22)             | -                               | \$ (9.22)                |
| 61.93366 | EWQ    | 11/12/2012       | 3/20/2013 | \$ 1,483.09    | \$ 1,304.67 | \$ 178.41             | -                               | \$ 178.41                |
| 62.33521 | EWY    | 3/18/2013        | 3/20/2013 | \$ 3,625.42    | \$ 3,650.35 | \$ (24.93)            | -                               | \$ (24.93)               |
| 62.58411 | TJX    | 3/22/2013        | 9/27/2013 | \$ 3,532.26    | \$ 2,916.32 | \$ 615.94             | -                               | \$ 615.94                |
| 62.64983 | JNK    | 6/18/2013        | 6/20/2013 | \$ 2,470.28    | \$ 2,531.66 | \$ (61.38)            | -                               | \$ (61.38)               |
| 62.81992 | DBV    | 9/10/2012        | 9/4/2013  | \$ 1,601.31    | \$ 1,569.58 | \$ 31.73              | -                               | \$ 31.73                 |
| 62.94257 | RWO    | 6/21/2013        | 8/20/2013 | \$ 2,550.44    | \$ 2,538.40 | \$ 12.04              | -                               | \$ 12.04                 |
| 62.95807 | IGT    | 3/18/2013        | 9/27/2013 | \$ 1,225.18    | \$ 1,083.20 | \$ 141.98             | -                               | \$ 141.98                |
| 63.09935 | AGG    | 3/27/2013        | 6/20/2013 | \$ 6,766.14    | \$ 6,985.07 | \$ (218.92)           | -                               | \$ (218.92)              |
| 63.31154 | EWU    | 9/10/2012        | 3/20/2013 | \$ 1,156.07    | \$ 1,100.70 | \$ 55.36              | -                               | \$ 55.36                 |
| 63.83745 | AGG    | 4/26/2013        | 6/20/2013 | \$ 6,845.29    | \$ 7,114.53 | \$ (269.24)           | -                               | \$ (269.24)              |
| 64.09235 | DBV    | 10/5/2012        | 5/28/2013 | \$ 1,739.49    | \$ 1,612.56 | \$ 126.93             | -                               | \$ 126.93                |
| 64.61244 | DWX    | 9/12/2013        | 9/27/2013 | \$ 3,029.05    | \$ 2,998.01 | \$ 31.04              | -                               | \$ 31.04                 |
| 65.02900 | DBC    | 7/17/2012        | 5/6/2013  | \$ 1,720.15    | \$ 1,763.91 | \$ (43.76)            | -                               | \$ (43.76)               |
| 65.03063 | DBC    | 12/18/2012       | 9/4/2013  | \$ 1,725.29    | \$ 1,797.77 | \$ (72.48)            | -                               | \$ (72.48)               |
| 65.40177 | IYR    | 6/6/2012         | 6/5/2013  | \$ 4,432.19    | \$ 4,009.12 | \$ 423.07             | -                               | \$ 423.07                |

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|--------------|--------------------|---------------------------|-----------------------|
| 65.42081 | DWX    | 6/27/2013     | 9/27/2013 | \$ 3,066.95    | \$ 2,889.64  | \$ 177.31          | -                         | \$ 177.31             |
| 66.03000 | WAIGX  | 6/4/2013      | 6/20/2013 | \$ 1,671.22    | \$ 1,752.44  | \$ (81.22)         | -                         | \$ (81.22)            |
| 66.16595 | EWK    | 12/6/2012     | 3/6/2013  | \$ 947.52      | \$ 868.76    | \$ 78.76           | -                         | \$ 78.76              |
| 66.27831 | ALT    | 3/6/2013      | 5/6/2013  | \$ 3,441.17    | \$ 3,445.68  | \$ (4.51)          | -                         | \$ (4.51)             |
| 66.58110 | TFI    | 5/10/2013     | 7/5/2013  | \$ 1,487.42    | \$ 1,609.88  | \$ (122.46)        | -                         | \$ (122.46)           |
| 66.72006 | DBV    | 1/15/2013     | 9/4/2013  | \$ 1,700.73    | \$ 1,779.96  | \$ (79.23)         | -                         | \$ (79.23)            |
| 67.82346 | EWJ    | 2/20/2013     | 3/20/2013 | \$ 1,238.46    | \$ 1,236.90  | \$ 1.56            | -                         | \$ 1.56               |
| 68.05464 | JNK    | 9/19/2013     | 9/27/2013 | \$ 2,714.70    | \$ 2,737.72  | \$ (23.02)         | -                         | \$ (23.02)            |
| 68.14178 | GM     | 9/16/2013     | 9/27/2013 | \$ 2,480.38    | \$ 2,490.86  | \$ (10.49)         | -                         | \$ (10.49)            |
| 68.91509 | THD    | 6/6/2012      | 3/20/2013 | \$ 6,307.87    | \$ 4,542.89  | \$ 1,764.98        | -                         | \$ 1,764.98           |
| 68.91667 | ELD    | 4/26/2013     | 6/20/2013 | \$ 3,218.43    | \$ 3,685.65  | \$ (467.22)        | -                         | \$ (467.22)           |
| 69.27699 | TFI    | 12/11/2012    | 7/5/2013  | \$ 1,547.65    | \$ 1,721.70  | \$ (174.05)        | -                         | \$ (174.05)           |
| 69.34555 | EWJ    | 8/10/2012     | 3/20/2013 | \$ 740.61      | \$ 638.33    | \$ 102.28          | -                         | \$ 102.28             |
| 69.36344 | PSP    | 2/20/2013     | 9/4/2013  | \$ 793.15      | \$ 784.30    | \$ 8.85            | -                         | \$ 8.85               |
| 69.67786 | FOE    | 9/17/2013     | 9/27/2013 | \$ 638.26      | \$ 600.97    | \$ 37.29           | -                         | \$ 37.29              |
| 70.11298 | RWO    | 6/27/2013     | 9/27/2013 | \$ 2,978.41    | \$ 2,936.32  | \$ 42.09           | -                         | \$ 42.09              |
| 70.47848 | ACWI   | 3/6/2013      | 6/20/2013 | \$ 3,517.75    | \$ 3,565.29  | \$ (47.54)         | -                         | \$ (47.54)            |
| 70.72960 | RWO    | 9/12/2013     | 9/27/2013 | \$ 3,004.60    | \$ 2,967.04  | \$ 37.56           | -                         | \$ 37.56              |
| 71.28782 | SHY    | 11/12/2012    | 3/27/2013 | \$ 6,019.54    | \$ 6,019.39  | \$ 0.16            | -                         | \$ 0.16               |
| 71.54663 | TUR    | 3/18/2013     | 3/20/2013 | \$ 4,875.19    | \$ 4,939.58  | \$ (64.39)         | -                         | \$ (64.39)            |
| 71.96510 | EWH    | 11/7/2012     | 3/20/2013 | \$ 1,399.00    | \$ 1,356.54  | \$ 42.46           | -                         | \$ 42.46              |
| 71.99657 | EPU    | 3/18/2013     | 3/20/2013 | \$ 3,122.16    | \$ 3,154.17  | \$ (32.01)         | -                         | \$ (32.01)            |
| 72.08808 | RWX    | 6/21/2013     | 9/27/2013 | \$ 3,040.03    | \$ 2,766.51  | \$ 273.52          | -                         | \$ 273.52             |
| 72.22984 | DBV    | 7/17/2012     | 5/6/2013  | \$ 1,983.70    | \$ 1,782.63  | \$ 201.06          | -                         | \$ 201.06             |
| 72.32541 | DBC    | 10/5/2012     | 9/4/2013  | \$ 1,918.82    | \$ 2,065.26  | \$ (146.44)        | -                         | \$ (146.44)           |
| 72.39282 | EPOL   | 6/6/2012      | 3/20/2013 | \$ 1,917.83    | \$ 1,558.37  | \$ 359.46          | -                         | \$ 359.46             |
| 72.46711 | TUR    | 1/8/2013      | 3/20/2013 | \$ 4,937.91    | \$ 4,948.78  | \$ (10.87)         | -                         | \$ (10.87)            |
| 72.47201 | VNQ    | 7/2/2012      | 5/6/2013  | \$ 5,491.31    | \$ 4,716.27  | \$ 775.05          | -                         | \$ 775.05             |
| 73.02301 | ACWI   | 6/7/2012      | 5/6/2013  | \$ 3,848.52    | \$ 3,158.20  | \$ 690.32          | -                         | \$ 690.32             |
| 73.08938 | RWO    | 7/12/2013     | 9/27/2013 | \$ 3,104.84    | \$ 3,185.21  | \$ (80.36)         | -                         | \$ (80.36)            |
| 73.17381 | IVV    | 11/12/2012    | 9/27/2013 | \$ 12,424.19   | \$ 10,166.64 | \$ 2,257.56        | -                         | \$ 2,257.56           |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 73.33411 | DWX    | 7/12/2013        | 9/27/2013 | \$ 3,437.93    | \$ 3,264.76 | \$ 173.16             | -                               | \$ 173.16                |
| 73.36095 | EWI    | 3/18/2013        | 3/20/2013 | \$ 1,426.14    | \$ 1,418.06 | \$ 8.08               | -                               | \$ 8.08                  |
| 73.52255 | AMAT   | 3/18/2013        | 9/27/2013 | \$ 1,296.22    | \$ 962.05   | \$ 334.17             | -                               | \$ 334.17                |
| 73.53594 | JNK    | 6/27/2013        | 9/27/2013 | \$ 2,933.35    | \$ 2,921.30 | \$ 12.05              | -                               | \$ 12.05                 |
| 73.64876 | SHY    | 6/6/2012         | 1/17/2013 | \$ 6,217.61    | \$ 6,220.23 | \$ (2.62)             | -                               | \$ (2.62)                |
| 74.72529 | ACWI   | 6/20/2012        | 5/6/2013  | \$ 3,938.23    | \$ 3,315.56 | \$ 622.67             | -                               | \$ 622.67                |
| 75.99604 | HYS    | 6/28/2013        | 9/27/2013 | \$ 8,010.75    | \$ 7,816.19 | \$ 194.56             | -                               | \$ 194.56                |
| 76.10422 | DBV    | 12/4/2012        | 5/31/2013 | \$ 2,025.85    | \$ 1,964.25 | \$ 61.60              | -                               | \$ 61.60                 |
| 76.12430 | EWK    | 1/8/2013         | 3/6/2013  | \$ 1,090.13    | \$ 1,044.87 | \$ 45.25              | -                               | \$ 45.25                 |
| 76.14716 | SHY    | 6/21/2013        | 9/27/2013 | \$ 6,429.87    | \$ 6,415.40 | \$ 14.47              | -                               | \$ 14.47                 |
| 76.23074 | SCHW   | 3/18/2013        | 9/27/2013 | \$ 1,612.29    | \$ 1,351.19 | \$ 261.10             | -                               | \$ 261.10                |
| 76.38218 | DWX    | 6/21/2013        | 8/16/2013 | \$ 3,493.80    | \$ 3,265.90 | \$ 227.90             | -                               | \$ 227.90                |
| 76.68338 | EPU    | 6/6/2012         | 3/20/2013 | \$ 3,325.41    | \$ 3,190.80 | \$ 134.61             | -                               | \$ 134.61                |
| 77.75413 | EWU    | 3/6/2013         | 3/20/2013 | \$ 1,419.79    | \$ 1,406.18 | \$ 13.61              | -                               | \$ 13.61                 |
| 77.86735 | MSFT   | 3/18/2013        | 9/27/2013 | \$ 2,603.29    | \$ 2,195.47 | \$ 407.82             | -                               | \$ 407.82                |
| 78.19464 | DBC    | 11/12/2012       | 5/28/2013 | \$ 2,061.35    | \$ 2,160.92 | \$ (99.56)            | -                               | \$ (99.56)               |
| 79.04290 | SDY    | 9/19/2013        | 9/27/2013 | \$ 5,463.80    | \$ 5,604.93 | \$ (141.13)           | -                               | \$ (141.13)              |
| 79.13340 | EWQ    | 6/6/2012         | 1/8/2013  | \$ 1,854.10    | \$ 1,495.34 | \$ 358.75             | -                               | \$ 358.75                |
| 79.35114 | RWO    | 6/21/2013        | 8/16/2013 | \$ 3,265.40    | \$ 3,200.14 | \$ 65.26              | -                               | \$ 65.26                 |
| 80.01022 | DBC    | 6/7/2012         | 5/6/2013  | \$ 2,116.43    | \$ 2,037.57 | \$ 78.86              | -                               | \$ 78.86                 |
| 80.57415 | ACWI   | 12/4/2012        | 6/6/2013  | \$ 4,121.17    | \$ 3,811.95 | \$ 309.22             | -                               | \$ 309.22                |
| 80.83559 | DBC    | 8/10/2012        | 5/6/2013  | \$ 2,138.27    | \$ 2,268.12 | \$ (129.85)           | -                               | \$ (129.85)              |
| 81.67771 | RWX    | 5/8/2013         | 9/27/2013 | \$ 3,444.44    | \$ 3,793.93 | \$ (349.49)           | -                               | \$ (349.49)              |
| 82.64835 | JNK    | 3/6/2013         | 6/20/2013 | \$ 3,258.82    | \$ 3,375.82 | \$ (116.99)           | -                               | \$ (116.99)              |
| 82.74664 | EWU    | 7/17/2012        | 3/20/2013 | \$ 1,510.95    | \$ 1,362.51 | \$ 148.44             | -                               | \$ 148.44                |
| 83.08208 | EWG    | 6/6/2012         | 2/6/2013  | \$ 2,074.56    | \$ 1,593.24 | \$ 481.32             | -                               | \$ 481.32                |
| 83.18933 | JNK    | 6/20/2012        | 5/6/2013  | \$ 3,472.01    | \$ 3,245.22 | \$ 226.80             | -                               | \$ 226.80                |
| 83.67847 | MINT   | 6/6/2013         | 6/20/2013 | \$ 8,482.50    | \$ 8,494.17 | \$ (11.66)            | -                               | \$ (11.66)               |
| 84.00712 | AGG    | 6/6/2012         | 4/18/2013 | \$ 9,345.83    | \$ 9,320.37 | \$ 25.46              | -                               | \$ 25.46                 |
| 85.29709 | JNK    | 6/7/2012         | 5/6/2013  | \$ 3,559.98    | \$ 3,270.19 | \$ 289.80             | -                               | \$ 289.80                |
| 85.38167 | SHY    | 8/10/2012        | 1/17/2013 | \$ 7,208.13    | \$ 7,209.54 | \$ (1.41)             | -                               | \$ (1.41)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

## Form 990 Page 3 Part IV

| Shares    | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|-----------|--------|---------------|-----------|----------------|--------------|--------------------|---------------------------|-----------------------|
| 86.62884  | SDY    | 6/21/2013     | 6/21/2013 | \$ 5,620.49    | \$ 5,659.35  | \$ (38.87)         | -                         | \$ (38.87)            |
| 87.45309  | EWL    | 8/10/2012     | 3/20/2013 | \$ 2,582.52    | \$ 2,064.81  | \$ 517.71          | -                         | \$ 517.71             |
| 88.51117  | JNK    | 12/4/2012     | 6/6/2013  | \$ 3,541.53    | \$ 3,584.62  | \$ (43.09)         | -                         | \$ (43.09)            |
| 89.15242  | EWO    | 12/6/2012     | 3/20/2013 | \$ 1,597.84    | \$ 1,539.66  | \$ 58.18           | -                         | \$ 58.18              |
| 89.17294  | DBV    | 2/20/2013     | 6/20/2013 | \$ 2,233.12    | \$ 2,395.81  | \$ (162.69)        | -                         | \$ (162.69)           |
| 89.73617  | DBV    | 8/10/2012     | 5/6/2013  | \$ 2,464.48    | \$ 2,261.13  | \$ 203.35          | -                         | \$ 203.35             |
| 90.46261  | DBC    | 9/10/2012     | 5/6/2013  | \$ 2,392.92    | \$ 2,624.84  | \$ (231.92)        | -                         | \$ (231.92)           |
| 90.47604  | DBV    | 9/10/2012     | 5/6/2013  | \$ 2,484.80    | \$ 2,260.58  | \$ 224.23          | -                         | \$ 224.23             |
| 90.52022  | ACWI   | 8/6/2012      | 5/6/2013  | \$ 4,770.67    | \$ 4,088.72  | \$ 681.95          | -                         | \$ 681.95             |
| 90.94625  | ACWI   | 7/17/2012     | 5/6/2013  | \$ 4,793.12    | \$ 3,947.97  | \$ 845.15          | -                         | \$ 845.15             |
| 91.16889  | EWJ    | 9/10/2012     | 3/20/2013 | \$ 973.68      | \$ 831.02    | \$ 142.66          | -                         | \$ 142.66             |
| 91.80286  | DBC    | 12/4/2012     | 6/6/2013  | \$ 2,407.03    | \$ 2,570.42  | \$ (163.40)        | -                         | \$ (163.40)           |
| 92.13960  | ELD    | 9/24/2012     | 4/18/2013 | \$ 4,907.36    | \$ 4,809.68  | \$ 97.68           | -                         | \$ 97.68              |
| 92.93328  | DBC    | 11/12/2012    | 9/4/2013  | \$ 2,465.56    | \$ 2,568.22  | \$ (102.66)        | -                         | \$ (102.66)           |
| 92.96105  | DBV    | 3/6/2013      | 9/4/2013  | \$ 2,369.62    | \$ 2,513.29  | \$ (143.67)        | -                         | \$ (143.67)           |
| 93.00988  | VNQ    | 4/26/2013     | 6/20/2013 | \$ 6,141.58    | \$ 6,884.47  | \$ (742.89)        | -                         | \$ (742.89)           |
| 93.16975  | MUB    | 10/5/2012     | 9/27/2013 | \$ 9,724.00    | \$ 10,415.28 | \$ (691.28)        | -                         | \$ (691.28)           |
| 93.35030  | SCHW   | 10/15/2012    | 7/11/2013 | \$ 1,985.70    | \$ 1,212.61  | \$ 773.09          | -                         | \$ 773.09             |
| 94.55478  | SHY    | 7/17/2012     | 1/17/2013 | \$ 7,982.55    | \$ 7,987.52  | \$ (4.97)          | -                         | \$ (4.97)             |
| 94.60268  | DBC    | 9/10/2012     | 9/4/2013  | \$ 2,509.85    | \$ 2,744.97  | \$ (235.12)        | -                         | \$ (235.12)           |
| 94.77956  | MINT   | 5/31/2013     | 6/20/2013 | \$ 9,607.82    | \$ 9,621.05  | \$ (13.22)         | -                         | \$ (13.22)            |
| 94.84187  | DBC    | 6/18/2013     | 6/20/2013 | \$ 2,425.11    | \$ 2,497.14  | \$ (72.03)         | -                         | \$ (72.03)            |
| 95.04741  | DBV    | 1/15/2013     | 6/20/2013 | \$ 2,386.67    | \$ 2,535.67  | \$ (149.00)        | -                         | \$ (149.00)           |
| 97.02338  | VNQ    | 9/10/2012     | 5/6/2013  | \$ 7,351.60    | \$ 6,487.67  | \$ 863.94          | -                         | \$ 863.94             |
| 97.59691  | EWP    | 2/6/2013      | 3/20/2013 | \$ 2,946.45    | \$ 2,960.61  | \$ (14.16)         | -                         | \$ (14.16)            |
| 98.27355  | DBV    | 6/18/2013     | 6/20/2013 | \$ 2,461.03    | \$ 2,520.60  | \$ (59.57)         | -                         | \$ (59.57)            |
| 98.93896  | MUB    | 11/12/2012    | 9/27/2013 | \$ 10,326.12   | \$ 11,192.96 | \$ (866.84)        | -                         | \$ (866.84)           |
| 99.18906  | EMC    | 4/12/2013     | 9/27/2013 | \$ 2,587.85    | \$ 2,294.78  | \$ 293.08          | -                         | \$ 293.08             |
| 99.21987  | VNQ    | 3/27/2013     | 6/20/2013 | \$ 6,551.64    | \$ 6,947.38  | \$ (395.74)        | -                         | \$ (395.74)           |
| 100.11574 | DBC    | 12/4/2012     | 5/31/2013 | \$ 2,602.01    | \$ 2,803.18  | \$ (201.17)        | -                         | \$ (201.17)           |
| 100.52991 | DBC    | 1/15/2013     | 9/4/2013  | \$ 2,667.10    | \$ 2,787.45  | \$ (120.35)        | -                         | \$ (120.35)           |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|----------------|--------------|-----------------------|---------------------------------|--------------------------|
| 102.24546 | PSP    | 12/18/2012       | 9/4/2013  | \$ 1,169.15    | \$ 1,036.12  | \$ 133.04             | -                               | \$ 133.04                |
| 102.30141 | EWJ    | 7/17/2012        | 3/20/2013 | \$ 1,092.58    | \$ 929.50    | \$ 163.08             | -                               | \$ 163.08                |
| 103.26679 | EWJ    | 2/20/2013        | 3/20/2013 | \$ 1,102.89    | \$ 1,049.91  | \$ 52.98              | -                               | \$ 52.98                 |
| 104.74381 | ACWI   | 7/2/2012         | 5/6/2013  | \$ 5,520.29    | \$ 4,603.42  | \$ 916.88             | -                               | \$ 916.88                |
| 104.79811 | MUB    | 9/6/2013         | 9/27/2013 | \$ 10,937.63   | \$ 10,624.16 | \$ 313.47             | -                               | \$ 313.47                |
| 105.18336 | JNK    | 7/17/2012        | 5/6/2013  | \$ 4,389.96    | \$ 4,154.68  | \$ 235.28             | -                               | \$ 235.28                |
| 105.96895 | SHY    | 5/28/2013        | 6/18/2013 | \$ 8,943.78    | \$ 8,945.90  | \$ (2.12)             | -                               | \$ (2.12)                |
| 106.07482 | IVV    | 10/5/2012        | 9/27/2013 | \$ 18,010.46   | \$ 15,670.35 | \$ 2,340.11           | -                               | \$ 2,340.11              |
| 106.15008 | FXI    | 6/6/2012         | 3/20/2013 | \$ 3,942.41    | \$ 3,539.75  | \$ 402.66             | -                               | \$ 402.66                |
| 108.15029 | JNK    | 8/6/2012         | 5/6/2013  | \$ 4,513.79    | \$ 4,320.26  | \$ 193.53             | -                               | \$ 193.53                |
| 108.37896 | SHY    | 5/31/2013        | 6/20/2013 | \$ 9,136.55    | \$ 9,146.10  | \$ (9.55)             | -                               | \$ (9.55)                |
| 110.26479 | FXI    | 3/18/2013        | 3/20/2013 | \$ 4,095.23    | \$ 4,068.76  | \$ 26.47              | -                               | \$ 26.47                 |
| 112.56365 | PSP    | 10/5/2012        | 9/4/2013  | \$ 1,287.14    | \$ 1,096.30  | \$ 190.83             | -                               | \$ 190.83                |
| 113.14375 | EWO    | 6/6/2012         | 3/20/2013 | \$ 2,027.83    | \$ 1,584.78  | \$ 443.04             | -                               | \$ 443.04                |
| 113.18462 | DBC    | 3/6/2013         | 6/20/2013 | \$ 2,894.13    | \$ 3,049.56  | \$ (155.42)           | -                               | \$ (155.42)              |
| 113.75664 | DWX    | 9/19/2013        | 9/27/2013 | \$ 5,332.95    | \$ 5,463.62  | \$ (130.67)           | -                               | \$ (130.67)              |
| 114.26000 | MINT   | 1/17/2013        | 5/6/2013  | \$ 11,609.96   | \$ 11,605.38 | \$ 4.58               | -                               | \$ 4.58                  |
| 114.80934 | JNK    | 7/2/2012         | 5/6/2013  | \$ 4,791.72    | \$ 4,502.77  | \$ 288.95             | -                               | \$ 288.95                |
| 122.20900 | RWO    | 9/19/2013        | 9/27/2013 | \$ 5,191.45    | \$ 5,389.40  | \$ (197.95)           | -                               | \$ (197.95)              |
| 123.40568 | DBV    | 3/6/2013         | 6/20/2013 | \$ 3,090.40    | \$ 3,336.39  | \$ (245.99)           | -                               | \$ (245.99)              |
| 124.27531 | ELD    | 6/21/2013        | 9/27/2013 | \$ 5,857.11    | \$ 5,873.16  | \$ (16.05)            | -                               | \$ (16.05)               |
| 125.49734 | JNK    | 6/21/2013        | 6/21/2013 | \$ 4,920.76    | \$ 4,960.78  | \$ (40.01)            | -                               | \$ (40.01)               |
| 126.73592 | DWX    | 6/21/2013        | 6/21/2013 | \$ 5,377.41    | \$ 5,418.89  | \$ (41.48)            | -                               | \$ (41.48)               |
| 129.51123 | EWI    | 2/6/2013         | 3/20/2013 | \$ 1,603.35    | \$ 1,748.21  | \$ (144.86)           | -                               | \$ (144.86)              |
| 129.86546 | JNK    | 6/21/2013        | 9/27/2013 | \$ 5,180.33    | \$ 5,133.44  | \$ 46.89              | -                               | \$ 46.89                 |
| 130.28888 | IYR    | 6/21/2013        | 9/27/2013 | \$ 8,386.70    | \$ 8,381.90  | \$ 4.79               | -                               | \$ 4.79                  |
| 131.53851 | ACWI   | 3/27/2013        | 6/20/2013 | \$ 6,565.40    | \$ 6,630.78  | \$ (65.38)            | -                               | \$ (65.38)               |
| 131.55978 | RWO    | 6/21/2013        | 6/21/2013 | \$ 5,236.13    | \$ 5,305.66  | \$ (69.53)            | -                               | \$ (69.53)               |
| 131.76641 | DBV    | 6/20/2012        | 5/6/2013  | \$ 3,618.79    | \$ 3,234.87  | \$ 383.92             | -                               | \$ 383.92                |
| 131.85947 | EWU    | 11/12/2012       | 3/20/2013 | \$ 2,407.75    | \$ 2,263.38  | \$ 144.37             | -                               | \$ 144.37                |
| 131.91498 | MINT   | 4/18/2013        | 5/6/2013  | \$ 13,403.88   | \$ 13,405.11 | \$ (1.23)             | -                               | \$ (1.23)                |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|----------------|--------------|-----------------------|---------------------------------|--------------------------|
| 132.76297 | DBC    | 6/20/2012        | 5/6/2013  | \$ 3,511.85    | \$ 3,307.17  | \$ 204.69             | -                               | \$ 204.69                |
| 133.62123 | EWP    | 3/18/2013        | 3/20/2013 | \$ 4,034.02    | \$ 4,098.15  | \$ (64.12)            | -                               | \$ (64.12)               |
| 134.87107 | ACWI   | 9/10/2012        | 5/6/2013  | \$ 7,108.09    | \$ 6,306.45  | \$ 801.63             | -                               | \$ 801.63                |
| 135.05846 | DBV    | 6/7/2012         | 5/6/2013  | \$ 3,709.20    | \$ 3,247.89  | \$ 461.31             | -                               | \$ 461.31                |
| 135.09461 | EWA    | 3/18/2013        | 3/20/2013 | \$ 3,635.40    | \$ 3,702.93  | \$ (67.53)            | -                               | \$ (67.53)               |
| 136.37977 | EWO    | 11/7/2012        | 3/20/2013 | \$ 2,444.28    | \$ 2,187.45  | \$ 256.83             | -                               | \$ 256.83                |
| 136.93014 | DBV    | 12/4/2012        | 6/6/2013  | \$ 3,580.66    | \$ 3,534.17  | \$ 46.50              | -                               | \$ 46.50                 |
| 137.01464 | NORW   | 3/18/2013        | 3/20/2013 | \$ 2,104.82    | \$ 2,151.13  | \$ (46.31)            | -                               | \$ (46.31)               |
| 138.52678 | ACWI   | 4/26/2013        | 6/20/2013 | \$ 6,914.20    | \$ 7,136.90  | \$ (222.70)           | -                               | \$ (222.70)              |
| 139.90063 | CIU    | 6/28/2013        | 9/27/2013 | \$ 15,106.48   | \$ 15,053.25 | \$ 53.23              | -                               | \$ 53.23                 |
| 140.19399 | DBC    | 3/6/2013         | 9/4/2013  | \$ 3,719.40    | \$ 3,777.27  | \$ (57.87)            | -                               | \$ (57.87)               |
| 142.67373 | NORW   | 6/6/2012         | 3/20/2013 | \$ 2,191.75    | \$ 1,746.75  | \$ 445.01             | -                               | \$ 445.01                |
| 143.69149 | SHY    | 4/18/2013        | 4/26/2013 | \$ 12,140.49   | \$ 12,139.06 | \$ 1.44               | -                               | \$ 1.44                  |
| 144.78780 | DBC    | 7/17/2012        | 5/6/2013  | \$ 3,829.94    | \$ 3,902.86  | \$ (72.93)            | -                               | \$ (72.93)               |
| 145.30327 | EWI    | 1/8/2013         | 3/20/2013 | \$ 1,798.85    | \$ 1,976.12  | \$ (177.27)           | -                               | \$ (177.27)              |
| 145.46781 | VNQ    | 6/6/2012         | 4/18/2013 | \$ 10,582.86   | \$ 9,013.66  | \$ 1,569.20           | -                               | \$ 1,569.20              |
| 145.81509 | PSP    | 11/12/2012       | 9/4/2013  | \$ 1,667.36    | \$ 1,380.75  | \$ 286.61             | -                               | \$ 286.61                |
| 148.01547 | NORW   | 6/6/2012         | 3/6/2013  | \$ 2,326.89    | \$ 1,812.15  | \$ 514.74             | -                               | \$ 514.74                |
| 149.32262 | SHM    | 9/6/2013         | 9/27/2013 | \$ 3,614.07    | \$ 3,577.77  | \$ 36.30              | -                               | \$ 36.30                 |
| 149.55758 | DBC    | 8/6/2012         | 5/6/2013  | \$ 3,956.11    | \$ 4,117.06  | \$ (160.95)           | -                               | \$ (160.95)              |
| 150.18187 | PSP    | 9/10/2012        | 9/4/2013  | \$ 1,717.29    | \$ 1,423.70  | \$ 293.59             | -                               | \$ 293.59                |
| 152.62400 | LISOX  | 3/22/2013        | 6/20/2013 | \$ 1,907.80    | \$ 1,900.17  | \$ 7.63               | -                               | \$ 7.63                  |
| 154.75716 | MUNI   | 10/5/2012        | 9/27/2013 | \$ 8,075.23    | \$ 8,445.69  | \$ (370.46)           | -                               | \$ (370.46)              |
| 155.72341 | RWX    | 6/5/2013         | 9/27/2013 | \$ 6,567.03    | \$ 6,373.73  | \$ 193.29             | -                               | \$ 193.29                |
| 156.32828 | TFI    | 6/5/2012         | 3/18/2013 | \$ 3,738.18    | \$ 3,788.72  | \$ (50.54)            | -                               | \$ (50.54)               |
| 156.39355 | EWL    | 3/18/2013        | 3/20/2013 | \$ 4,618.36    | \$ 4,619.85  | \$ (1.49)             | -                               | \$ (1.49)                |
| 157.36766 | PGX    | 6/21/2013        | 6/25/2013 | \$ 2,182.71    | \$ 2,218.77  | \$ (36.07)            | -                               | \$ (36.07)               |
| 158.05106 | EWP    | 3/6/2013         | 3/20/2013 | \$ 4,771.56    | \$ 4,820.23  | \$ (48.67)            | -                               | \$ (48.67)               |
| 158.37371 | DBV    | 7/17/2012        | 5/6/2013  | \$ 4,349.52    | \$ 3,900.66  | \$ 448.86             | -                               | \$ 448.86                |
| 158.45954 | PSP    | 1/15/2013        | 9/4/2013  | \$ 1,811.95    | \$ 1,667.63  | \$ 144.32             | -                               | \$ 144.32                |
| 160.10293 | EWI    | 12/6/2012        | 3/20/2013 | \$ 1,982.07    | \$ 2,023.70  | \$ (41.63)            | -                               | \$ (41.63)               |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|----------------|--------------|-----------------------|---------------------------------|--------------------------|
| 160.48734 | MINT   | 5/28/2013        | 6/20/2013 | \$ 16,268.63   | \$ 16,307.07 | \$ (38.44)            | -                               | \$ (38.44)               |
| 163.06585 | MUNI   | 9/6/2013         | 9/27/2013 | \$ 8,508.78    | \$ 8,341.96  | \$ 166.82             | -                               | \$ 166.82                |
| 163.50816 | JNK    | 9/10/2012        | 5/6/2013  | \$ 6,824.23    | \$ 6,584.25  | \$ 239.97             | -                               | \$ 239.97                |
| 164.43463 | MUNI   | 11/12/2012       | 9/27/2013 | \$ 8,580.20    | \$ 9,024.17  | \$ (443.97)           | -                               | \$ (443.97)              |
| 164.62178 | CFT    | 6/21/2013        | 6/28/2013 | \$ 17,581.62   | \$ 17,699.72 | \$ (118.10)           | -                               | \$ (118.10)              |
| 164.62697 | DBV    | 8/6/2012         | 5/6/2013  | \$ 4,521.26    | \$ 4,145.14  | \$ 376.12             | -                               | \$ 376.12                |
| 166.54862 | SHY    | 6/13/2013        | 6/20/2013 | \$ 14,040.37   | \$ 14,048.38 | \$ (8.01)             | -                               | \$ (8.01)                |
| 169.35152 | EWI    | 10/9/2012        | 3/20/2013 | \$ 2,096.57    | \$ 2,113.17  | \$ (16.60)            | -                               | \$ (16.60)               |
| 169.63351 | RWX    | 3/18/2013        | 9/27/2013 | \$ 7,153.63    | \$ 7,207.66  | \$ (54.03)            | -                               | \$ (54.03)               |
| 170.07570 | JNK    | 4/26/2013        | 6/20/2013 | \$ 6,706.09    | \$ 7,061.50  | \$ (355.42)           | -                               | \$ (355.42)              |
| 171.90328 | SHM    | 7/5/2013         | 9/27/2013 | \$ 4,160.59    | \$ 4,113.71  | \$ 46.88              | -                               | \$ 46.88                 |
| 172.47075 | EWA    | 6/6/2012         | 3/20/2013 | \$ 4,641.19    | \$ 3,688.56  | \$ 952.63             | -                               | \$ 952.63                |
| 175.21147 | SHY    | 6/20/2013        | 6/20/2013 | \$ 14,770.66   | \$ 14,771.22 | \$ (0.56)             | -                               | \$ (0.56)                |
| 176.66772 | JNK    | 3/27/2013        | 6/20/2013 | \$ 6,966.01    | \$ 7,250.31  | \$ (284.31)           | -                               | \$ (284.31)              |
| 177.73042 | DBC    | 7/2/2012         | 5/6/2013  | \$ 4,701.34    | \$ 4,556.81  | \$ 144.53             | -                               | \$ 144.53                |
| 181.24137 | DBV    | 7/2/2012         | 5/6/2013  | \$ 4,977.55    | \$ 4,467.55  | \$ 510.01             | -                               | \$ 510.01                |
| 182.93804 | PGX    | 7/10/2013        | 9/27/2013 | \$ 2,497.11    | \$ 2,583.07  | \$ (85.96)            | -                               | \$ (85.96)               |
| 188.13720 | DBV    | 3/18/2013        | 9/27/2013 | \$ 4,811.14    | \$ 5,103.22  | \$ (292.08)           | -                               | \$ (292.08)              |
| 189.37865 | PGX    | 6/21/2013        | 8/16/2013 | \$ 2,567.99    | \$ 2,670.10  | \$ (102.12)           | -                               | \$ (102.12)              |
| 189.85500 | MUB    | 1/15/2013        | 9/27/2013 | \$ 19,814.90   | \$ 21,338.70 | \$ (1,523.80)         | -                               | \$ (1,523.80)            |
| 190.60260 | PGX    | 6/21/2013        | 8/20/2013 | \$ 2,538.94    | \$ 2,687.36  | \$ (148.42)           | -                               | \$ (148.42)              |
| 197.03359 | EWJ    | 11/12/2012       | 3/20/2013 | \$ 2,104.32    | \$ 1,746.70  | \$ 357.62             | -                               | \$ 357.62                |
| 201.65746 | IYR    | 5/8/2013         | 9/27/2013 | \$ 12,980.69   | \$ 14,971.05 | \$ (1,990.36)         | -                               | \$ (1,990.36)            |
| 202.79091 | PGX    | 6/27/2013        | 9/27/2013 | \$ 2,768.10    | \$ 2,889.77  | \$ (121.67)           | -                               | \$ (121.67)              |
| 206.95252 | ACWI   | 6/6/2012         | 4/18/2013 | \$ 10,341.50   | \$ 8,805.06  | \$ 1,536.44           | -                               | \$ 1,536.44              |
| 208.89605 | PGX    | 7/12/2013        | 9/27/2013 | \$ 2,851.44    | \$ 2,984.81  | \$ (133.38)           | -                               | \$ (133.38)              |
| 210.51506 | MUB    | 3/6/2013         | 9/27/2013 | \$ 21,971.16   | \$ 23,454.75 | \$ (1,483.58)         | -                               | \$ (1,483.58)            |
| 214.46728 | PGX    | 9/12/2013        | 9/27/2013 | \$ 2,927.48    | \$ 2,948.84  | \$ (21.36)            | -                               | \$ (21.36)               |
| 216.01676 | SHY    | 6/22/2012        | 1/17/2013 | \$ 18,236.67   | \$ 18,227.49 | \$ 9.18               | -                               | \$ 9.18                  |
| 217.14065 | DBC    | 9/10/2012        | 5/6/2013  | \$ 5,743.82    | \$ 6,316.40  | \$ (572.59)           | -                               | \$ (572.59)              |
| 219.04574 | MUB    | 2/20/2013        | 9/27/2013 | \$ 22,861.50   | \$ 24,419.10 | \$ (1,557.61)         | -                               | \$ (1,557.61)            |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

Form 990 Page 3 Part IV

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|----------------|--------------|-----------------------|---------------------------------|--------------------------|
| 220.13910 | RWX    | 9/6/2013         | 9/27/2013 | \$ 9,283.51    | \$ 8,731.82  | \$ 551.69             | -                               | \$ 551.69                |
| 220.67697 | PSP    | 3/6/2013         | 9/4/2013  | \$ 2,523.39    | \$ 2,499.83  | \$ 23.56              | -                               | \$ 23.56                 |
| 224.56833 | SDY    | 6/21/2013        | 9/27/2013 | \$ 15,523.17   | \$ 14,670.77 | \$ 852.40             | -                               | \$ 852.40                |
| 224.86041 | EFA    | 5/8/2013         | 9/27/2013 | \$ 14,458.52   | \$ 14,199.93 | \$ 258.59             | -                               | \$ 258.59                |
| 230.33700 | SHY    | 6/25/2013        | 9/27/2013 | \$ 19,449.66   | \$ 19,398.98 | \$ 50.67              | -                               | \$ 50.67                 |
| 236.36845 | NORW   | 6/6/2012         | 2/6/2013  | \$ 3,744.39    | \$ 2,893.85  | \$ 850.54             | -                               | \$ 850.54                |
| 236.48326 | JNK    | 6/6/2012         | 4/18/2013 | \$ 9,715.21    | \$ 9,002.45  | \$ 712.76             | -                               | \$ 712.76                |
| 240.30813 | DBC    | 3/27/2013        | 6/20/2013 | \$ 6,144.68    | \$ 6,591.52  | \$ (446.84)           | -                               | \$ (446.84)              |
| 244.79695 | DBV    | 3/27/2013        | 6/20/2013 | \$ 6,130.35    | \$ 6,687.54  | \$ (557.19)           | -                               | \$ (557.19)              |
| 246.74401 | QAI    | 5/6/2013         | 9/27/2013 | \$ 7,056.88    | \$ 7,032.18  | \$ 24.70              | -                               | \$ 24.70                 |
| 246.85457 | SHY    | 6/6/2013         | 6/20/2013 | \$ 20,810.31   | \$ 20,832.06 | \$ (21.75)            | -                               | \$ (21.75)               |
| 251.96501 | DBV    | 9/10/2012        | 5/6/2013  | \$ 6,919.88    | \$ 6,308.95  | \$ 610.94             | -                               | \$ 610.94                |
| 254.67378 | SHY    | 8/20/2013        | 9/27/2013 | \$ 21,504.65   | \$ 21,479.19 | \$ 25.47              | -                               | \$ 25.47                 |
| 255.86662 | EWM    | 6/6/2012         | 1/8/2013  | \$ 3,871.77    | \$ 3,547.87  | \$ 323.89             | -                               | \$ 323.89                |
| 258.39715 | SHY    | 6/21/2013        | 7/10/2013 | \$ 21,769.96   | \$ 21,782.70 | \$ (12.74)            | -                               | \$ (12.74)               |
| 260.97453 | DBV    | 4/26/2013        | 6/20/2013 | \$ 6,535.48    | \$ 7,152.96  | \$ (617.48)           | -                               | \$ (617.48)              |
| 263.40822 | MUB    | 12/18/2012       | 9/27/2013 | \$ 27,491.55   | \$ 28,804.57 | \$ (1,313.02)         | -                               | \$ (1,313.02)            |
| 263.72675 | DBC    | 4/26/2013        | 6/20/2013 | \$ 6,743.49    | \$ 6,914.84  | \$ (171.34)           | -                               | \$ (171.34)              |
| 267.02849 | EWO    | 3/18/2013        | 3/20/2013 | \$ 4,785.83    | \$ 4,811.80  | \$ (25.97)            | -                               | \$ (25.97)               |
| 267.50599 | DBC    | 3/18/2013        | 9/27/2013 | \$ 6,933.78    | \$ 7,293.55  | \$ (359.77)           | -                               | \$ (359.77)              |
| 269.50881 | SHY    | 6/21/2013        | 7/12/2013 | \$ 22,727.33   | \$ 22,719.41 | \$ 7.92               | -                               | \$ 7.92                  |
| 274.95779 | JNK    | 6/21/2013        | 6/28/2013 | \$ 10,824.57   | \$ 10,868.79 | \$ (44.22)            | -                               | \$ (44.22)               |
| 276.51625 | SHY    | 8/16/2013        | 9/27/2013 | \$ 23,349.03   | \$ 23,318.62 | \$ 30.42              | -                               | \$ 30.42                 |
| 279.00193 | DWX    | 6/21/2013        | 9/27/2013 | \$ 13,079.69   | \$ 11,929.37 | \$ 1,150.32           | -                               | \$ 1,150.32              |
| 285.62747 | DBC    | 6/6/2012         | 4/18/2013 | \$ 7,298.62    | \$ 7,199.98  | \$ 98.65              | -                               | \$ 98.65                 |
| 294.85311 | SHY    | 6/21/2013        | 9/12/2013 | \$ 24,829.58   | \$ 24,855.91 | \$ (26.33)            | -                               | \$ (26.33)               |
| 298.10995 | EFA    | 9/6/2013         | 9/27/2013 | \$ 19,168.47   | \$ 18,220.24 | \$ 948.23             | -                               | \$ 948.23                |
| 303.91154 | MINT   | 4/18/2013        | 6/20/2013 | \$ 30,807.58   | \$ 30,883.28 | \$ (75.70)            | -                               | \$ (75.70)               |
| 312.67804 | MINT   | 1/17/2013        | 4/26/2013 | \$ 31,761.84   | \$ 31,758.69 | \$ 3.16               | -                               | \$ 3.16                  |
| 314.38997 | SHY    | 6/21/2013        | 6/27/2013 | \$ 26,493.64   | \$ 26,502.86 | \$ (9.21)             | -                               | \$ (9.21)                |
| 317.73514 | MUNI   | 1/15/2013        | 9/27/2013 | \$ 16,579.42   | \$ 17,382.65 | \$ (803.23)           | -                               | \$ (803.23)              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis   | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|----------------|--------------|-----------------------|---------------------------------|--------------------------|
| 322.88285 | RWO    | 6/21/2013        | 9/27/2013 | \$ 13,716.10   | \$ 13,021.51 | \$ 694.59             | -                               | \$ 694.59                |
| 330.64583 | ELD    | 9/24/2012        | 5/6/2013  | \$ 17,789.19   | \$ 17,259.68 | \$ 529.50             | -                               | \$ 529.50                |
| 338.00102 | MINT   | 1/17/2013        | 3/27/2013 | \$ 34,324.34   | \$ 34,330.74 | \$ (6.40)             | -                               | \$ (6.40)                |
| 342.36931 | PGX    | 6/21/2013        | 6/21/2013 | \$ 4,803.54    | \$ 4,827.16  | \$ (23.62)            | -                               | \$ (23.62)               |
| 349.79933 | MUNI   | 3/6/2013         | 9/27/2013 | \$ 18,252.53   | \$ 19,017.89 | \$ (765.36)           | -                               | \$ (765.36)              |
| 361.82743 | MUNI   | 2/20/2013        | 9/27/2013 | \$ 18,880.16   | \$ 19,677.79 | \$ (797.64)           | -                               | \$ (797.64)              |
| 363.39474 | SHY    | 11/9/2012        | 1/17/2013 | \$ 30,678.69   | \$ 30,685.05 | \$ (6.36)             | -                               | \$ (6.36)                |
| 369.49069 | DBV    | 6/6/2012         | 4/18/2013 | \$ 10,054.77   | \$ 8,804.79  | \$ 1,249.99           | -                               | \$ 1,249.99              |
| 370.69729 | EWJ    | 8/10/2012        | 3/20/2013 | \$ 3,959.05    | \$ 3,417.83  | \$ 541.22             | -                               | \$ 541.22                |
| 372.42500 | ODMAX  | 5/8/2013         | 9/27/2013 | \$ 13,843.04   | \$ 13,708.96 | \$ 134.08             | -                               | \$ 134.08                |
| 394.12258 | EWI    | 3/18/2013        | 3/20/2013 | \$ 4,879.24    | \$ 4,887.12  | \$ (7.88)             | -                               | \$ (7.88)                |
| 399.44593 | IYR    | 9/6/2013         | 9/27/2013 | \$ 25,712.33   | \$ 25,233.00 | \$ 479.34             | -                               | \$ 479.34                |
| 407.93448 | SHY    | 6/21/2013        | 9/19/2013 | \$ 34,421.51   | \$ 34,368.48 | \$ 53.03              | -                               | \$ 53.03                 |
| 413.48553 | PGX    | 9/19/2013        | 9/27/2013 | \$ 5,644.09    | \$ 5,701.97  | \$ (57.88)            | -                               | \$ (57.88)               |
| 416.45784 | TFI    | 10/5/2012        | 7/5/2013  | \$ 9,303.67    | \$ 10,232.00 | \$ (928.32)           | -                               | \$ (928.32)              |
| 425.47566 | IYR    | 3/18/2013        | 9/27/2013 | \$ 27,387.87   | \$ 29,370.50 | \$ (1,982.63)         | -                               | \$ (1,982.63)            |
| 430.14946 | DBV    | 6/21/2013        | 9/27/2013 | \$ 11,000.00   | \$ 10,779.28 | \$ 220.71             | -                               | \$ 220.71                |
| 430.79428 | PSP    | 3/18/2013        | 9/27/2013 | \$ 4,966.15    | \$ 4,906.75  | \$ 59.41              | -                               | \$ 59.41                 |
| 436.72664 | MUNI   | 12/18/2012       | 9/27/2013 | \$ 22,788.40   | \$ 23,707.56 | \$ (919.17)           | -                               | \$ (919.17)              |
| 442.17062 | TFI    | 11/12/2012       | 7/5/2013  | \$ 9,878.10    | \$ 10,943.68 | \$ (1,065.58)         | -                               | \$ (1,065.58)            |
| 447.09673 | EWJ    | 6/12/2012        | 3/20/2013 | \$ 4,774.99    | \$ 3,961.28  | \$ 813.72             | -                               | \$ 813.72                |
| 468.88349 | EWJ    | 3/6/2013         | 3/20/2013 | \$ 5,007.68    | \$ 4,917.15  | \$ 90.52              | -                               | \$ 90.52                 |
| 474.10300 | MGIAX  | 5/8/2013         | 9/27/2013 | \$ 15,602.73   | \$ 14,938.99 | \$ 663.74             | -                               | \$ 663.74                |
| 497.13674 | EWG    | 3/18/2013        | 3/20/2013 | \$ 12,539.50   | \$ 12,577.51 | \$ (38.01)            | -                               | \$ (38.01)               |
| 499.95916 | EWQ    | 3/18/2013        | 3/20/2013 | \$ 11,972.23   | \$ 11,998.97 | \$ (26.74)            | -                               | \$ (26.74)               |
| 531.26300 | ODMAX  | 9/6/2013         | 9/27/2013 | \$ 19,747.05   | \$ 18,647.33 | \$ 1,099.72           | -                               | \$ 1,099.72              |
| 540.22300 | WAIGX  | 9/6/2013         | 9/27/2013 | \$ 15,623.25   | \$ 14,964.18 | \$ 659.07             | -                               | \$ 659.07                |
| 547.51500 | FINAX  | 5/8/2013         | 6/3/2013  | \$ 10,233.06   | \$ 10,249.48 | \$ (16.42)            | -                               | \$ (16.42)               |
| 552.70213 | EWG    | 6/6/2012         | 3/20/2013 | \$ 13,941.05   | \$ 10,598.99 | \$ 3,342.06           | -                               | \$ 3,342.06              |
| 567.86243 | QAI    | 6/21/2013        | 9/27/2013 | \$ 16,240.87   | \$ 15,604.86 | \$ 636.01             | -                               | \$ 636.01                |
| 591.17216 | ALT    | 3/18/2013        | 5/6/2013  | \$ 30,693.66   | \$ 31,178.42 | \$ (484.76)           | -                               | \$ (484.76)              |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares      | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis    | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-------------|--------|------------------|-----------|----------------|---------------|-----------------------|---------------------------------|--------------------------|
| 612.18905   | DBV    | 5/8/2013         | 9/27/2013 | \$ 15,655.21   | \$ 16,723.60  | \$ (1,068.39)         | -                               | \$ (1,068.39)            |
| 625.88742   | EWQ    | 6/6/2012         | 3/20/2013 | \$ 14,987.75   | \$ 11,827.06  | \$ 3,160.70           | -                               | \$ 3,160.70              |
| 629.59247   | EWJ    | 3/6/2013         | 3/20/2013 | \$ 6,724.05    | \$ 6,597.74   | \$ 126.31             | -                               | \$ 126.31                |
| 638.28196   | MUB    | 7/5/2013         | 9/27/2013 | \$ 66,616.59   | \$ 66,126.01  | \$ 490.58             | -                               | \$ 490.58                |
| 641.23092   | DBV    | 3/18/2013        | 9/4/2013  | \$ 16,345.28   | \$ 17,393.39  | \$ (1,048.11)         | -                               | \$ (1,048.11)            |
| 656.28200   | MGIAX  | 9/6/2013         | 9/27/2013 | \$ 21,598.24   | \$ 20,659.76  | \$ 938.48             | -                               | \$ 938.48                |
| 658.28169   | DBC    | 6/21/2013        | 9/27/2013 | \$ 17,062.73   | \$ 16,758.03  | \$ 304.70             | -                               | \$ 304.70                |
| 757.99825   | ALT    | 6/6/2012         | 5/6/2013  | \$ 39,355.27   | \$ 37,149.49  | \$ 2,205.77           | -                               | \$ 2,205.77              |
| 777.22794   | MINT   | 6/21/2013        | 9/27/2013 | \$ 78,834.30   | \$ 78,800.97  | \$ 33.33              | -                               | \$ 33.33                 |
| 789.39859   | MUNI   | 7/5/2013         | 9/27/2013 | \$ 41,190.82   | \$ 40,740.86  | \$ 449.96             | -                               | \$ 449.96                |
| 799.60811   | QAI    | 5/8/2013         | 9/27/2013 | \$ 22,868.79   | \$ 22,852.80  | \$ 15.99              | -                               | \$ 15.99                 |
| 849.11617   | TFI    | 1/15/2013        | 7/5/2013  | \$ 18,969.27   | \$ 20,686.51  | \$ (1,717.24)         | -                               | \$ (1,717.24)            |
| 864.93677   | TFI    | 8/10/2012        | 7/5/2013  | \$ 19,322.70   | \$ 21,087.15  | \$ (1,764.45)         | -                               | \$ (1,764.45)            |
| 881.64431   | TFI    | 7/17/2012        | 7/5/2013  | \$ 19,695.95   | \$ 21,520.92  | \$ (1,824.97)         | -                               | \$ (1,824.97)            |
| 914.14939   | DBC    | 5/8/2013         | 9/27/2013 | \$ 23,694.84   | \$ 24,178.51  | \$ (483.67)           | -                               | \$ (483.67)              |
| 921.48432   | PGX    | 6/21/2013        | 9/27/2013 | \$ 12,578.28   | \$ 12,992.28  | \$ (414.00)           | -                               | \$ (414.00)              |
| 929.55106   | EWU    | 3/18/2013        | 3/20/2013 | \$ 16,973.60   | \$ 16,972.62  | \$ 0.98               | -                               | \$ 0.98                  |
| 933.02352   | TFI    | 9/10/2012        | 7/5/2013  | \$ 20,843.76   | \$ 22,775.09  | \$ (1,931.33)         | -                               | \$ (1,931.33)            |
| 941.44611   | TFI    | 3/6/2013         | 7/5/2013  | \$ 21,031.92   | \$ 22,679.44  | \$ (1,647.52)         | -                               | \$ (1,647.52)            |
| 974.20253   | TFI    | 2/20/2013        | 7/5/2013  | \$ 21,763.70   | \$ 23,553.92  | \$ (1,790.23)         | -                               | \$ (1,790.23)            |
| 981.05479   | DBC    | 3/18/2013        | 9/4/2013  | \$ 26,027.78   | \$ 26,748.46  | \$ (720.68)           | -                               | \$ (720.68)              |
| 1,062.39776 | PSP    | 6/21/2013        | 9/27/2013 | \$ 12,247.22   | \$ 11,431.40  | \$ 815.82             | -                               | \$ 815.82                |
| 1,164.69845 | EFA    | 3/22/2013        | 9/27/2013 | \$ 74,890.11   | \$ 69,136.50  | \$ 5,753.61           | -                               | \$ 5,753.61              |
| 1,176.53661 | TFI    | 12/18/2012       | 7/5/2013  | \$ 26,283.84   | \$ 28,650.36  | \$ (2,366.52)         | -                               | \$ (2,366.52)            |
| 1,383.00200 | LISOX  | 5/8/2013         | 9/27/2013 | \$ 19,334.37   | \$ 18,615.21  | \$ 719.16             | -                               | \$ 719.16                |
| 1,414.82550 | MUB    | 6/6/2012         | 3/18/2013 | \$ 155,382.37  | \$ 156,140.14 | \$ (757.77)           | -                               | \$ (757.77)              |
| 1,423.56046 | PSP    | 5/8/2013         | 9/27/2013 | \$ 16,410.66   | \$ 16,996.62  | \$ (585.96)           | -                               | \$ (585.96)              |
| 1,454.35115 | PVI    | 7/5/2013         | 9/27/2013 | \$ 36,329.69   | \$ 36,358.37  | \$ (28.68)            | -                               | \$ (28.68)               |
| 1,492.60618 | EWU    | 6/6/2012         | 3/20/2013 | \$ 27,254.99   | \$ 23,592.09  | \$ 3,662.89           | -                               | \$ 3,662.89              |
| 1,503.76526 | EWJ    | 6/6/2012         | 3/20/2013 | \$ 16,060.22   | \$ 13,422.25  | \$ 2,637.97           | -                               | \$ 2,637.97              |
| 1,533.26893 | PSP    | 3/18/2013        | 9/4/2013  | \$ 17,532.55   | \$ 17,463.93  | \$ 68.62              | -                               | \$ 68.62                 |

## Detail of Curian Clearing Account RA1746101Z (Short-Term)

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| Shares      | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds  | Cost Basis      | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-------------|--------|------------------|-----------|-----------------|-----------------|-----------------------|---------------------------------|--------------------------|
| 1,830.05703 | EWJ    | 3/18/2013        | 3/20/2013 | \$ 19,545.01    | \$ 19,429.19    | \$ 115.82             | \$ -                            | \$ 115.82                |
| 1,926.79700 | LISX   | 9/6/2013         | 9/27/2013 | \$ 26,936.62    | \$ 25,626.40    | \$ 1,310.22           | \$ -                            | \$ 1,310.22              |
| 1,930.81800 | ODMAX  | 3/22/2013        | 9/27/2013 | \$ 71,768.51    | \$ 67,424.16    | \$ 4,344.34           | \$ -                            | \$ 4,344.34              |
| 2,305.06000 | WAIGX  | 6/4/2013         | 9/27/2013 | \$ 66,662.33    | \$ 61,176.29    | \$ 5,486.04           | \$ -                            | \$ 5,486.04              |
| 2,317.67200 | MGIAX  | 3/22/2013        | 9/27/2013 | \$ 76,274.59    | \$ 67,861.43    | \$ 8,413.15           | \$ -                            | \$ 8,413.15              |
| 2,355.63783 | MUNI   | 6/6/2012         | 3/18/2013 | \$ 127,015.62   | \$ 127,022.35   | \$ (6.73)             | \$ -                            | \$ (6.73)                |
| 2,850.36500 | FINAX  | 3/22/2013        | 6/3/2013  | \$ 53,273.32    | \$ 51,990.66    | \$ 1,282.66           | \$ -                            | \$ 1,282.66              |
| 2,917.13184 | QAI    | 5/6/2013         | 9/4/2013  | \$ 81,708.86    | \$ 83,138.00    | \$ (1,429.14)         | \$ -                            | \$ (1,429.14)            |
| 4,360.54317 | SHM    | 7/5/2013         | 9/27/2013 | \$ 105,538.66   | \$ 104,391.40   | \$ 1,147.26           | \$ -                            | \$ 1,147.26              |
| 6,325.56450 | TFI    | 6/6/2012         | 3/18/2013 | \$ 151,259.06   | \$ 153,015.41   | \$ (1,756.35)         | \$ -                            | \$ (1,756.35)            |
| 6,788.79200 | LISX   | 3/22/2013        | 9/27/2013 | \$ 94,907.31    | \$ 84,520.46    | \$ 10,386.85          | \$ -                            | \$ 10,386.85             |
|             |        |                  |           | \$ 5,247,452.60 | \$ 5,087,532.20 | \$ 159,921.13         | \$ -                            | \$ 159,921.13            |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.00769 | IP     | 6/5/2012      | 6/20/2013 | \$ 0.34        | \$ 0.22    | \$ 0.13            | -                         | \$ 0.13               |
| 0.01048 | GOOG   | 6/6/2012      | 9/6/2013  | \$ 9.25        | \$ 6.10    | \$ 3.15            | -                         | \$ 3.15               |
| 0.01465 | GOOG   | 9/10/2012     | 9/27/2013 | \$ 12.82       | \$ 10.36   | \$ 2.46            | -                         | \$ 2.46               |
| 0.02669 | RBC    | 7/25/2012     | 9/27/2013 | \$ 1.82        | \$ 1.74    | \$ 0.08            | -                         | \$ 0.08               |
| 0.03214 | CXO    | 9/10/2012     | 9/27/2013 | \$ 3.47        | \$ 3.05    | \$ 0.41            | -                         | \$ 0.41               |
| 0.03536 | ERIE   | 8/3/2012      | 9/27/2013 | \$ 2.60        | \$ 2.37    | \$ 0.23            | -                         | \$ 0.23               |
| 0.03585 | MLM    | 9/10/2012     | 9/27/2013 | \$ 3.48        | \$ 3.05    | \$ 0.42            | -                         | \$ 0.42               |
| 0.04118 | CXO    | 6/5/2012      | 6/20/2013 | \$ 3.47        | \$ 3.56    | \$ (0.09)          | -                         | \$ (0.09)             |
| 0.04527 | SIRO   | 9/10/2012     | 9/27/2013 | \$ 3.03        | \$ 2.49    | \$ 0.54            | -                         | \$ 0.54               |
| 0.04595 | SIRO   | 6/5/2012      | 6/20/2013 | \$ 2.89        | \$ 1.93    | \$ 0.96            | -                         | \$ 0.96               |
| 0.04685 | ERIE   | 9/10/2012     | 9/27/2013 | \$ 3.44        | \$ 2.99    | \$ 0.45            | -                         | \$ 0.45               |
| 0.04847 | DOV    | 9/10/2012     | 9/27/2013 | \$ 4.34        | \$ 2.87    | \$ 1.47            | -                         | \$ 1.47               |
| 0.04959 | AIV    | 6/5/2012      | 6/20/2013 | \$ 1.37        | \$ 1.30    | \$ 0.07            | -                         | \$ 0.07               |
| 0.05046 | KMT    | 6/5/2012      | 6/20/2013 | \$ 1.97        | \$ 1.69    | \$ 0.28            | -                         | \$ 0.28               |
| 0.05068 | LMCA   | 8/10/2012     | 9/27/2013 | \$ 7.53        | \$ 4.48    | \$ 3.05            | -                         | \$ 3.05               |
| 0.05125 | KSU    | 8/10/2012     | 9/26/2013 | \$ 5.61        | \$ 3.74    | \$ 1.87            | -                         | \$ 1.87               |
| 0.05302 | LMCA   | 9/10/2012     | 9/27/2013 | \$ 7.88        | \$ 4.99    | \$ 2.89            | -                         | \$ 2.89               |
| 0.05377 | IT     | 9/10/2012     | 9/27/2013 | \$ 3.22        | \$ 2.68    | \$ 0.54            | -                         | \$ 0.54               |
| 0.05505 | GILD   | 6/6/2012      | 9/6/2013  | \$ 3.37        | \$ 1.35    | \$ 2.01            | -                         | \$ 2.01               |
| 0.05540 | KSU    | 9/10/2012     | 9/26/2013 | \$ 6.07        | \$ 4.42    | \$ 1.64            | -                         | \$ 1.64               |
| 0.05555 | LMCA   | 7/17/2012     | 9/27/2013 | \$ 8.25        | \$ 4.63    | \$ 3.62            | -                         | \$ 3.62               |
| 0.05677 | HCN    | 9/10/2012     | 9/27/2013 | \$ 3.57        | \$ 3.22    | \$ 0.35            | -                         | \$ 0.35               |
| 0.06202 | DVA    | 9/10/2012     | 9/27/2013 | \$ 3.53        | \$ 3.05    | \$ 0.48            | -                         | \$ 0.48               |
| 0.06206 | RBC    | 9/10/2012     | 9/27/2013 | \$ 4.23        | \$ 4.42    | \$ (0.19)          | -                         | \$ (0.19)             |
| 0.06292 | HSIC   | 9/10/2012     | 9/27/2013 | \$ 6.52        | \$ 4.92    | \$ 1.60            | -                         | \$ 1.60               |
| 0.06312 | TW     | 9/10/2012     | 9/27/2013 | \$ 6.81        | \$ 3.49    | \$ 3.32            | -                         | \$ 3.32               |
| 0.06363 | CXO    | 8/10/2012     | 9/27/2013 | \$ 6.86        | \$ 5.95    | \$ 0.91            | -                         | \$ 0.91               |
| 0.06488 | SNA    | 9/10/2012     | 9/27/2013 | \$ 6.46        | \$ 4.74    | \$ 1.72            | -                         | \$ 1.72               |
| 0.06563 | DOV    | 6/5/2012      | 6/20/2013 | \$ 5.06        | \$ 3.54    | \$ 1.52            | -                         | \$ 1.52               |
| 0.06584 | DVA    | 6/6/2012      | 6/20/2013 | \$ 8.09        | \$ 5.49    | \$ 2.60            | -                         | \$ 2.60               |
| 0.07015 | CXO    | 7/17/2012     | 9/27/2013 | \$ 7.57        | \$ 6.20    | \$ 1.37            | -                         | \$ 1.37               |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.07075 | LPNT   | 9/10/2012     | 9/27/2013 | \$ 3.27        | \$ 2.93    | \$ 0.34            | -                         | \$ 0.34               |
| 0.07180 | MLM    | 8/10/2012     | 9/27/2013 | \$ 6.96        | \$ 5.59    | \$ 1.37            | -                         | \$ 1.37               |
| 0.07454 | V      | 6/6/2012      | 9/6/2013  | \$ 13.21       | \$ 8.64    | \$ 4.57            | -                         | \$ 4.57               |
| 0.07672 | KMT    | 7/17/2012     | 9/27/2013 | \$ 3.51        | \$ 2.58    | \$ 0.93            | -                         | \$ 0.93               |
| 0.07768 | MASI   | 6/6/2012      | 6/20/2013 | \$ 1.65        | \$ 1.52    | \$ 0.13            | -                         | \$ 0.13               |
| 0.07899 | MLM    | 7/17/2012     | 9/27/2013 | \$ 7.66        | \$ 6.45    | \$ 1.21            | -                         | \$ 1.21               |
| 0.08198 | ASMA   | 6/5/2012      | 6/20/2013 | \$ 5.97        | \$ 4.21    | \$ 1.76            | -                         | \$ 1.76               |
| 0.08292 | SLXP   | 9/10/2012     | 9/27/2013 | \$ 5.58        | \$ 3.55    | \$ 2.03            | -                         | \$ 2.03               |
| 0.08672 | ARG    | 9/10/2012     | 9/27/2013 | \$ 9.18        | \$ 7.48    | \$ 1.70            | -                         | \$ 1.70               |
| 0.08804 | RJF    | 9/10/2012     | 9/27/2013 | \$ 3.70        | \$ 3.24    | \$ 0.46            | -                         | \$ 0.46               |
| 0.08974 | SIRO   | 8/10/2012     | 9/27/2013 | \$ 6.01        | \$ 4.52    | \$ 1.49            | -                         | \$ 1.49               |
| 0.08987 | LLTC   | 9/10/2012     | 9/27/2013 | \$ 3.56        | \$ 2.99    | \$ 0.57            | -                         | \$ 0.57               |
| 0.09045 | LLTC   | 8/10/2012     | 9/27/2013 | \$ 3.58        | \$ 2.98    | \$ 0.61            | -                         | \$ 0.61               |
| 0.09066 | TW     | 6/5/2012      | 6/20/2013 | \$ 7.05        | \$ 5.34    | \$ 1.71            | -                         | \$ 1.71               |
| 0.09501 | AIT    | 8/10/2012     | 9/27/2013 | \$ 4.87        | \$ 3.94    | \$ 0.93            | -                         | \$ 0.93               |
| 0.09701 | AAPL   | 7/17/2012     | 9/27/2013 | \$ 46.70       | \$ 59.07   | \$ (12.36)         | -                         | \$ (12.36)            |
| 0.09754 | SIRO   | 7/17/2012     | 9/27/2013 | \$ 6.53        | \$ 4.39    | \$ 2.14            | -                         | \$ 2.14               |
| 0.09902 | RS     | 9/10/2012     | 9/27/2013 | \$ 7.24        | \$ 5.42    | \$ 1.81            | -                         | \$ 1.81               |
| 0.10115 | ALK    | 8/10/2012     | 9/27/2013 | \$ 6.43        | \$ 3.45    | \$ 2.97            | -                         | \$ 2.97               |
| 0.10434 | SBAC   | 9/10/2012     | 9/27/2013 | \$ 8.31        | \$ 6.23    | \$ 2.08            | -                         | \$ 2.08               |
| 0.10481 | WCN    | 9/10/2012     | 9/27/2013 | \$ 4.67        | \$ 3.12    | \$ 1.55            | -                         | \$ 1.55               |
| 0.10522 | MASI   | 9/10/2012     | 9/27/2013 | \$ 2.79        | \$ 2.43    | \$ 0.36            | -                         | \$ 0.36               |
| 0.10553 | DOV    | 7/17/2012     | 9/27/2013 | \$ 9.44        | \$ 5.42    | \$ 4.02            | -                         | \$ 4.02               |
| 0.10754 | PRE    | 9/10/2012     | 9/27/2013 | \$ 9.85        | \$ 7.98    | \$ 1.87            | -                         | \$ 1.87               |
| 0.11043 | ALK    | 7/17/2012     | 9/27/2013 | \$ 7.01        | \$ 4.13    | \$ 2.88            | -                         | \$ 2.88               |
| 0.11125 | VRSK   | 9/10/2012     | 9/27/2013 | \$ 7.26        | \$ 5.36    | \$ 1.90            | -                         | \$ 1.90               |
| 0.11142 | PRE    | 6/6/2012      | 9/27/2013 | \$ 10.20       | \$ 8.05    | \$ 2.15            | -                         | \$ 2.15               |
| 0.11367 | HCN    | 8/10/2012     | 9/27/2013 | \$ 7.16        | \$ 6.62    | \$ 0.53            | -                         | \$ 0.53               |
| 0.11524 | AAPL   | 7/6/2012      | 9/27/2013 | \$ 55.48       | \$ 69.60   | \$ (14.12)         | -                         | \$ (14.12)            |
| 0.11588 | GOOG   | 8/10/2012     | 9/27/2013 | \$ 101.42      | \$ 74.12   | \$ 27.30           | -                         | \$ 27.30              |
| 0.11810 | POR    | 9/10/2012     | 9/27/2013 | \$ 3.34        | \$ 3.24    | \$ 0.09            | -                         | \$ 0.09               |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.11834 | HXL    | 8/10/2012        | 9/27/2013 | \$ 4.57        | \$ 2.86    | \$ 1.72               | \$ -                            | \$ 1.72                  |
| 0.11904 | PRXL   | 6/5/2012         | 6/20/2013 | \$ 5.66        | \$ 3.06    | \$ 2.61               | \$ -                            | \$ 2.61                  |
| 0.12058 | RDEN   | 9/10/2012        | 9/27/2013 | \$ 4.55        | \$ 5.61    | \$ (1.06)             | \$ -                            | \$ (1.06)                |
| 0.12184 | HCC    | 9/10/2012        | 9/27/2013 | \$ 5.35        | \$ 4.11    | \$ 1.24               | \$ -                            | \$ 1.24                  |
| 0.12290 | ROL    | 9/10/2012        | 9/27/2013 | \$ 3.27        | \$ 2.99    | \$ 0.27               | \$ -                            | \$ 0.27                  |
| 0.12373 | UTX    | 6/5/2012         | 6/20/2013 | \$ 11.44       | \$ 8.77    | \$ 2.67               | \$ -                            | \$ 2.67                  |
| 0.12398 | HSIC   | 8/10/2012        | 9/27/2013 | \$ 12.85       | \$ 9.52    | \$ 3.33               | \$ -                            | \$ 3.33                  |
| 0.12473 | TW     | 8/10/2012        | 9/27/2013 | \$ 13.47       | \$ 7.26    | \$ 6.21               | \$ -                            | \$ 6.21                  |
| 0.12487 | RDEN   | 6/11/2012        | 6/20/2013 | \$ 5.67        | \$ 4.44    | \$ 1.23               | \$ -                            | \$ 1.23                  |
| 0.12511 | R      | 9/10/2012        | 9/27/2013 | \$ 7.46        | \$ 5.30    | \$ 2.17               | \$ -                            | \$ 2.17                  |
| 0.12696 | AAPL   | 8/10/2012        | 9/27/2013 | \$ 61.12       | \$ 78.83   | \$ (17.71)            | \$ -                            | \$ (17.71)               |
| 0.12724 | RBC    | 8/10/2012        | 9/27/2013 | \$ 8.68        | \$ 8.62    | \$ 0.06               | \$ -                            | \$ 0.06                  |
| 0.12793 | HXL    | 7/17/2012        | 9/27/2013 | \$ 4.94        | \$ 3.23    | \$ 1.72               | \$ -                            | \$ 1.72                  |
| 0.12842 | RJF    | 6/5/2012         | 6/20/2013 | \$ 5.44        | \$ 4.17    | \$ 1.27               | \$ -                            | \$ 1.27                  |
| 0.12862 | ROST   | 7/17/2012        | 9/3/2013  | \$ 8.80        | \$ 8.93    | \$ (0.13)             | \$ -                            | \$ (0.13)                |
| 0.12877 | ONXX   | 6/6/2012         | 6/20/2013 | \$ 10.71       | \$ 5.75    | \$ 4.96               | \$ -                            | \$ 4.96                  |
| 0.12917 | SNA    | 8/10/2012        | 9/27/2013 | \$ 12.86       | \$ 8.81    | \$ 4.05               | \$ -                            | \$ 4.05                  |
| 0.12933 | USPH   | 6/6/2012         | 6/20/2013 | \$ 3.80        | \$ 3.10    | \$ 0.70               | \$ -                            | \$ 0.70                  |
| 0.13030 | GOOG   | 6/6/2012         | 6/20/2013 | \$ 115.41      | \$ 75.78   | \$ 39.63              | \$ -                            | \$ 39.63                 |
| 0.13348 | UHS    | 9/10/2012        | 9/27/2013 | \$ 9.97        | \$ 5.42    | \$ 4.55               | \$ -                            | \$ 4.55                  |
| 0.13422 | ERIE   | 8/10/2012        | 9/27/2013 | \$ 9.87        | \$ 8.74    | \$ 1.13               | \$ -                            | \$ 1.13                  |
| 0.13714 | HSIC   | 7/17/2012        | 9/27/2013 | \$ 14.22       | \$ 10.71   | \$ 3.50               | \$ -                            | \$ 3.50                  |
| 0.13849 | TW     | 7/17/2012        | 9/27/2013 | \$ 14.95       | \$ 8.39    | \$ 6.56               | \$ -                            | \$ 6.56                  |
| 0.13902 | LPNT   | 8/10/2012        | 9/27/2013 | \$ 6.42        | \$ 5.36    | \$ 1.06               | \$ -                            | \$ 1.06                  |
| 0.13921 | ROL    | 7/5/2012         | 9/27/2013 | \$ 3.70        | \$ 3.14    | \$ 0.56               | \$ -                            | \$ 0.56                  |
| 0.14013 | CRM    | 6/6/2012         | 9/6/2013  | \$ 6.85        | \$ 4.78    | \$ 2.06               | \$ -                            | \$ 2.06                  |
| 0.14172 | DVA    | 8/10/2012        | 9/27/2013 | \$ 8.08        | \$ 6.78    | \$ 1.29               | \$ -                            | \$ 1.29                  |
| 0.14193 | SNA    | 7/17/2012        | 9/27/2013 | \$ 14.13       | \$ 8.52    | \$ 5.61               | \$ -                            | \$ 5.61                  |
| 0.14218 | UFPI   | 8/10/2012        | 9/27/2013 | \$ 6.01        | \$ 4.93    | \$ 1.08               | \$ -                            | \$ 1.08                  |
| 0.14219 | DOV    | 8/10/2012        | 9/27/2013 | \$ 12.73       | \$ 8.14    | \$ 4.58               | \$ -                            | \$ 4.58                  |
| 0.14250 | KMT    | 8/10/2012        | 9/27/2013 | \$ 6.53        | \$ 5.28    | \$ 1.24               | \$ -                            | \$ 1.24                  |

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.14408 | CNL    | 9/10/2012     | 9/27/2013 | \$ 6.48        | \$ 6.05    | \$ 0.44            | -                         | \$ 0.44               |
| 0.14414 | AAPL   | 9/10/2012     | 9/27/2013 | \$ 69.39       | \$ 97.04   | \$ (27.65)         | -                         | \$ (27.65)            |
| 0.14445 | AIV    | 9/10/2012     | 9/27/2013 | \$ 4.05        | \$ 3.86    | \$ 0.19            | -                         | \$ 0.19               |
| 0.14626 | AVT    | 9/10/2012     | 9/27/2013 | \$ 6.11        | \$ 4.80    | \$ 1.31            | -                         | \$ 1.31               |
| 0.14746 | CXO    | 6/6/2012      | 6/20/2013 | \$ 12.43       | \$ 13.38   | \$ (0.95)          | -                         | \$ (0.95)             |
| 0.14989 | DOV    | 6/6/2012      | 6/20/2013 | \$ 11.55       | \$ 8.30    | \$ 3.25            | -                         | \$ 3.25               |
| 0.15092 | IT     | 6/6/2012      | 6/20/2013 | \$ 8.52        | \$ 6.26    | \$ 2.26            | -                         | \$ 2.26               |
| 0.15134 | LPNT   | 7/17/2012     | 9/27/2013 | \$ 6.99        | \$ 6.07    | \$ 0.92            | -                         | \$ 0.92               |
| 0.15161 | UFPI   | 6/5/2012      | 6/20/2013 | \$ 6.33        | \$ 5.21    | \$ 1.13            | -                         | \$ 1.13               |
| 0.15193 | RJF    | 7/17/2012     | 9/27/2013 | \$ 6.38        | \$ 5.16    | \$ 1.22            | -                         | \$ 1.22               |
| 0.15230 | V      | 9/10/2012     | 9/27/2013 | \$ 29.37       | \$ 19.67   | \$ 9.70            | -                         | \$ 9.70               |
| 0.15628 | DVA    | 7/17/2012     | 9/27/2013 | \$ 8.91        | \$ 7.75    | \$ 1.16            | -                         | \$ 1.16               |
| 0.15729 | PLCE   | 6/6/2012      | 8/14/2013 | \$ 8.57        | \$ 7.35    | \$ 1.22            | -                         | \$ 1.22               |
| 0.15788 | XRAY   | 9/10/2012     | 9/27/2013 | \$ 6.84        | \$ 5.92    | \$ 0.92            | -                         | \$ 0.92               |
| 0.16023 | ASCMA  | 8/10/2012     | 9/27/2013 | \$ 12.83       | \$ 7.89    | \$ 4.95            | -                         | \$ 4.95               |
| 0.16086 | SNA    | 6/5/2012      | 6/20/2013 | \$ 14.35       | \$ 9.22    | \$ 5.13            | -                         | \$ 5.13               |
| 0.16161 | IT     | 8/10/2012     | 9/27/2013 | \$ 9.67        | \$ 7.78    | \$ 1.88            | -                         | \$ 1.88               |
| 0.16316 | SLXP   | 8/10/2012     | 9/27/2013 | \$ 10.99       | \$ 7.50    | \$ 3.49            | -                         | \$ 3.49               |
| 0.16543 | HCN    | 7/17/2012     | 9/27/2013 | \$ 10.42       | \$ 9.94    | \$ 0.47            | -                         | \$ 0.47               |
| 0.16577 | GOOG   | 7/17/2012     | 9/27/2013 | \$ 145.09      | \$ 95.75   | \$ 49.34           | -                         | \$ 49.34              |
| 0.16769 | AAPL   | 9/10/2012     | 9/27/2013 | \$ 80.73       | \$ 112.90  | \$ (32.17)         | -                         | \$ (32.17)            |
| 0.16833 | AIT    | 9/10/2012     | 9/27/2013 | \$ 8.64        | \$ 7.35    | \$ 1.28            | -                         | \$ 1.28               |
| 0.16963 | MLM    | 6/6/2012      | 6/20/2013 | \$ 17.77       | \$ 11.31   | \$ 6.46            | -                         | \$ 6.46               |
| 0.16968 | CRM    | 9/10/2012     | 9/27/2013 | \$ 8.90        | \$ 6.33    | \$ 2.57            | -                         | \$ 2.57               |
| 0.17436 | SNPS   | 9/10/2012     | 9/27/2013 | \$ 6.57        | \$ 5.86    | \$ 0.71            | -                         | \$ 0.71               |
| 0.17537 | PRXL   | 9/10/2012     | 9/27/2013 | \$ 8.77        | \$ 5.30    | \$ 3.47            | -                         | \$ 3.47               |
| 0.17586 | PLCE   | 8/10/2012     | 9/9/2013  | \$ 9.65        | \$ 8.81    | \$ 0.85            | -                         | \$ 0.85               |
| 0.17621 | RJF    | 8/10/2012     | 9/27/2013 | \$ 7.40        | \$ 6.07    | \$ 1.33            | -                         | \$ 1.33               |
| 0.17631 | WRB    | 9/10/2012     | 9/27/2013 | \$ 7.63        | \$ 6.61    | \$ 1.02            | -                         | \$ 1.02               |
| 0.17778 | JDSU   | 9/10/2012     | 9/27/2013 | \$ 2.60        | \$ 2.18    | \$ 0.42            | -                         | \$ 0.42               |
| 0.17858 | AMED   | 8/10/2012     | 9/27/2013 | \$ 3.04        | \$ 2.55    | \$ 0.49            | -                         | \$ 0.49               |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.17906 | SLXP   | 6/6/2012         | 6/20/2013 | \$ 11.95       | \$ 9.51    | \$ 2.44               | -                               | \$ 2.44                  |
| 0.17980 | LIFE   | 9/10/2012        | 9/27/2013 | \$ 13.47       | \$ 8.66    | \$ 4.80               | -                               | \$ 4.80                  |
| 0.18039 | RAIL   | 8/10/2012        | 9/27/2013 | \$ 3.80        | \$ 3.62    | \$ 0.18               | -                               | \$ 0.18                  |
| 0.18377 | SNA    | 6/6/2012         | 6/20/2013 | \$ 16.39       | \$ 11.09   | \$ 5.29               | -                               | \$ 5.29                  |
| 0.18384 | HBHC   | 6/5/2012         | 6/20/2013 | \$ 5.27        | \$ 5.18    | \$ 0.10               | -                               | \$ 0.10                  |
| 0.18411 | SBAC   | 8/10/2012        | 9/27/2013 | \$ 14.66       | \$ 11.07   | \$ 3.59               | -                               | \$ 3.59                  |
| 0.18432 | HBHC   | 7/17/2012        | 9/27/2013 | \$ 5.76        | \$ 5.68    | \$ 0.08               | -                               | \$ 0.08                  |
| 0.18445 | HXL    | 9/10/2012        | 9/27/2013 | \$ 7.13        | \$ 4.32    | \$ 2.81               | -                               | \$ 2.81                  |
| 0.18530 | DRIV   | 6/5/2012         | 6/20/2013 | \$ 3.36        | \$ 2.72    | \$ 0.64               | -                               | \$ 0.64                  |
| 0.18698 | SBAC   | 7/17/2012        | 9/27/2013 | \$ 14.89       | \$ 10.72   | \$ 4.17               | -                               | \$ 4.17                  |
| 0.18733 | HCN    | 6/6/2012         | 6/20/2013 | \$ 11.74       | \$ 10.05   | \$ 1.69               | -                               | \$ 1.69                  |
| 0.18886 | RGA    | 9/10/2012        | 9/27/2013 | \$ 12.70       | \$ 11.28   | \$ 1.42               | -                               | \$ 1.42                  |
| 0.18898 | UFPI   | 7/17/2012        | 9/27/2013 | \$ 7.99        | \$ 7.55    | \$ 0.44               | -                               | \$ 0.44                  |
| 0.19052 | AMED   | 6/5/2012         | 6/20/2013 | \$ 2.40        | \$ 2.09    | \$ 0.31               | -                               | \$ 0.31                  |
| 0.19093 | TFX    | 8/10/2012        | 9/27/2013 | \$ 15.60       | \$ 12.08   | \$ 3.52               | -                               | \$ 3.52                  |
| 0.19275 | RAIL   | 6/5/2012         | 6/20/2013 | \$ 3.28        | \$ 3.77    | \$ (0.49)             | -                               | \$ (0.49)                |
| 0.19616 | LMCA   | 6/6/2012         | 6/20/2013 | \$ 24.39       | \$ 14.92   | \$ 9.48               | -                               | \$ 9.48                  |
| 0.19702 | WSH    | 9/10/2012        | 9/27/2013 | \$ 8.60        | \$ 7.29    | \$ 1.31               | -                               | \$ 1.31                  |
| 0.19832 | RAIL   | 7/17/2012        | 9/27/2013 | \$ 4.17        | \$ 4.08    | \$ 0.09               | -                               | \$ 0.09                  |
| 0.20005 | VRSK   | 8/10/2012        | 9/27/2013 | \$ 13.05       | \$ 9.64    | \$ 3.41               | -                               | \$ 3.41                  |
| 0.20137 | SFG    | 6/5/2012         | 6/20/2013 | \$ 9.60        | \$ 6.78    | \$ 2.83               | -                               | \$ 2.83                  |
| 0.20188 | TFX    | 6/5/2012         | 6/20/2013 | \$ 15.20       | \$ 11.61   | \$ 3.59               | -                               | \$ 3.59                  |
| 0.20267 | CYN    | 8/10/2012        | 9/27/2013 | \$ 13.38       | \$ 10.19   | \$ 3.19               | -                               | \$ 3.19                  |
| 0.20413 | AAPL   | 6/5/2012         | 6/20/2013 | \$ 85.02       | \$ 114.77  | \$ (29.75)            | -                               | \$ (29.75)               |
| 0.20440 | SLXP   | 6/5/2012         | 6/20/2013 | \$ 13.64       | \$ 10.54   | \$ 3.10               | -                               | \$ 3.10                  |
| 0.20609 | NOV    | 6/5/2012         | 6/20/2013 | \$ 14.15       | \$ 13.50   | \$ 0.65               | -                               | \$ 0.65                  |
| 0.20727 | TFX    | 7/17/2012        | 9/27/2013 | \$ 16.94       | \$ 12.87   | \$ 4.07               | -                               | \$ 4.07                  |
| 0.20800 | SBAC   | 6/6/2012         | 6/20/2013 | \$ 14.91       | \$ 10.86   | \$ 4.05               | -                               | \$ 4.05                  |
| 0.20844 | MASI   | 8/10/2012        | 9/27/2013 | \$ 5.52        | \$ 4.64    | \$ 0.88               | -                               | \$ 0.88                  |
| 0.20927 | ARG    | 8/10/2012        | 9/27/2013 | \$ 22.15       | \$ 17.38   | \$ 4.77               | -                               | \$ 4.77                  |
| 0.21047 | ARW    | 9/10/2012        | 9/27/2013 | \$ 10.14       | \$ 7.85    | \$ 2.29               | -                               | \$ 2.29                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.21657 | EGN    | 9/10/2012        | 9/27/2013 | \$ 16.58       | \$ 11.47   | \$ 5.11               | -                               | \$ 5.11                  |
| 0.21660 | CYN    | 6/5/2012         | 6/20/2013 | \$ 13.35       | \$ 10.06   | \$ 3.30               | -                               | \$ 3.30                  |
| 0.21830 | HBHC   | 8/10/2012        | 9/27/2013 | \$ 6.82        | \$ 6.62    | \$ 0.20               | -                               | \$ 0.20                  |
| 0.21899 | IEX    | 9/10/2012        | 9/27/2013 | \$ 14.20       | \$ 9.10    | \$ 5.10               | -                               | \$ 5.10                  |
| 0.21982 | CYN    | 7/17/2012        | 9/27/2013 | \$ 14.51       | \$ 11.00   | \$ 3.51               | -                               | \$ 3.51                  |
| 0.22124 | VRSK   | 7/17/2012        | 9/27/2013 | \$ 14.43       | \$ 11.23   | \$ 3.20               | -                               | \$ 3.20                  |
| 0.22498 | GILD   | 9/10/2012        | 9/27/2013 | \$ 14.27       | \$ 6.70    | \$ 7.56               | -                               | \$ 7.56                  |
| 0.22786 | MASI   | 7/17/2012        | 9/27/2013 | \$ 6.04        | \$ 5.16    | \$ 0.87               | -                               | \$ 0.87                  |
| 0.22880 | SLXP   | 7/17/2012        | 9/27/2013 | \$ 15.41       | \$ 12.17   | \$ 3.24               | -                               | \$ 3.24                  |
| 0.22942 | RTI    | 6/5/2012         | 6/20/2013 | \$ 6.38        | \$ 4.92    | \$ 1.46               | -                               | \$ 1.46                  |
| 0.22997 | POR    | 8/10/2012        | 9/27/2013 | \$ 6.49        | \$ 6.31    | \$ 0.19               | -                               | \$ 0.19                  |
| 0.23700 | ALK    | 6/6/2012         | 9/27/2013 | \$ 15.05       | \$ 7.88    | \$ 7.18               | -                               | \$ 7.18                  |
| 0.23742 | TPX    | 6/5/2012         | 6/20/2013 | \$ 9.83        | \$ 10.08   | \$ (0.24)             | -                               | \$ (0.24)                |
| 0.23836 | COL    | 6/5/2012         | 6/20/2013 | \$ 14.93       | \$ 11.82   | \$ 3.11               | -                               | \$ 3.11                  |
| 0.23892 | RDEN   | 8/10/2012        | 9/27/2013 | \$ 9.01        | \$ 11.07   | \$ (2.06)             | -                               | \$ (2.06)                |
| 0.23918 | HCC    | 8/10/2012        | 9/27/2013 | \$ 10.50       | \$ 7.62    | \$ 2.88               | -                               | \$ 2.88                  |
| 0.24010 | IT     | 7/17/2012        | 9/27/2013 | \$ 14.36       | \$ 10.97   | \$ 3.39               | -                               | \$ 3.39                  |
| 0.24171 | OKSB   | 6/5/2012         | 6/20/2013 | \$ 3.22        | \$ 2.20    | \$ 1.02               | -                               | \$ 1.02                  |
| 0.24217 | PRE    | 7/13/2012        | 9/27/2013 | \$ 22.17       | \$ 18.13   | \$ 4.04               | -                               | \$ 4.04                  |
| 0.24397 | PLCE   | 7/17/2012        | 9/9/2013  | \$ 13.39       | \$ 12.17   | \$ 1.23               | -                               | \$ 1.23                  |
| 0.24494 | LIFE   | 7/30/2012        | 9/27/2013 | \$ 18.35       | \$ 10.83   | \$ 7.52               | -                               | \$ 7.52                  |
| 0.24779 | ROL    | 8/10/2012        | 9/27/2013 | \$ 6.58        | \$ 5.83    | \$ 0.75               | -                               | \$ 0.75                  |
| 0.24964 | R      | 8/10/2012        | 9/27/2013 | \$ 14.89       | \$ 10.12   | \$ 4.78               | -                               | \$ 4.78                  |
| 0.25371 | PRE    | 8/10/2012        | 9/27/2013 | \$ 23.23       | \$ 18.80   | \$ 4.42               | -                               | \$ 4.42                  |
| 0.25522 | ARG    | 6/6/2012         | 6/20/2013 | \$ 24.37       | \$ 21.66   | \$ 2.71               | -                               | \$ 2.71                  |
| 0.25562 | RS     | 7/17/2012        | 9/27/2013 | \$ 18.68       | \$ 12.52   | \$ 6.16               | -                               | \$ 6.16                  |
| 0.25618 | HSIC   | 6/6/2012         | 6/20/2013 | \$ 24.02       | \$ 19.10   | \$ 4.92               | -                               | \$ 4.92                  |
| 0.25669 | WRB    | 6/6/2012         | 6/20/2013 | \$ 10.36       | \$ 9.70    | \$ 0.67               | -                               | \$ 0.67                  |
| 0.25680 | POR    | 7/17/2012        | 9/27/2013 | \$ 7.25        | \$ 6.97    | \$ 0.28               | -                               | \$ 0.28                  |
| 0.26183 | ARG    | 7/17/2012        | 9/27/2013 | \$ 27.71       | \$ 21.72   | \$ 5.99               | -                               | \$ 5.99                  |
| 0.26394 | UTX    | 7/17/2012        | 9/27/2013 | \$ 28.86       | \$ 19.47   | \$ 9.39               | -                               | \$ 9.39                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.26444 | UHS    | 8/10/2012        | 9/27/2013 | \$ 19.75       | \$ 10.47   | \$ 9.27               | -                               | \$ 9.27                  |
| 0.26519 | HCC    | 7/17/2012        | 9/27/2013 | \$ 11.64       | \$ 8.39    | \$ 3.25               | -                               | \$ 3.25                  |
| 0.26603 | RDEN   | 7/17/2012        | 9/27/2013 | \$ 10.03       | \$ 10.59   | \$ (0.55)             | -                               | \$ (0.55)                |
| 0.26681 | PRGS   | 7/17/2012        | 9/27/2013 | \$ 6.93        | \$ 5.08    | \$ 1.85               | -                               | \$ 1.85                  |
| 0.26787 | UHS    | 6/6/2012         | 8/14/2013 | \$ 19.38       | \$ 10.45   | \$ 8.93               | -                               | \$ 8.93                  |
| 0.26869 | ASCMA  | 7/17/2012        | 9/27/2013 | \$ 21.52       | \$ 14.31   | \$ 7.21               | -                               | \$ 7.21                  |
| 0.27154 | BYI    | 7/17/2012        | 7/24/2013 | \$ 19.10       | \$ 12.91   | \$ 6.19               | -                               | \$ 6.19                  |
| 0.27292 | HBHC   | 6/6/2012         | 6/20/2013 | \$ 7.83        | \$ 7.70    | \$ 0.13               | -                               | \$ 0.13                  |
| 0.27343 | TEX    | 6/5/2012         | 6/20/2013 | \$ 7.67        | \$ 4.38    | \$ 3.29               | -                               | \$ 3.29                  |
| 0.27365 | ROL    | 7/17/2012        | 9/27/2013 | \$ 7.27        | \$ 6.33    | \$ 0.95               | -                               | \$ 0.95                  |
| 0.27456 | UFPI   | 6/6/2012         | 6/20/2013 | \$ 11.46       | \$ 9.96    | \$ 1.51               | -                               | \$ 1.51                  |
| 0.27558 | R      | 7/17/2012        | 9/27/2013 | \$ 16.44       | \$ 9.55    | \$ 6.89               | -                               | \$ 6.89                  |
| 0.27853 | CBT    | 8/10/2012        | 9/27/2013 | \$ 11.88       | \$ 10.35   | \$ 1.53               | -                               | \$ 1.53                  |
| 0.27855 | SIRO   | 9/19/2012        | 9/27/2013 | \$ 18.65       | \$ 15.86   | \$ 2.80               | -                               | \$ 2.80                  |
| 0.28026 | TW     | 6/6/2012         | 6/20/2013 | \$ 21.80       | \$ 16.86   | \$ 4.93               | -                               | \$ 4.93                  |
| 0.28301 | CAM    | 6/5/2012         | 6/20/2013 | \$ 17.49       | \$ 12.85   | \$ 4.64               | -                               | \$ 4.64                  |
| 0.28381 | RS     | 8/10/2012        | 9/27/2013 | \$ 20.74       | \$ 14.93   | \$ 5.81               | -                               | \$ 5.81                  |
| 0.28468 | RJF    | 6/6/2012         | 6/20/2013 | \$ 12.07       | \$ 9.53    | \$ 2.53               | -                               | \$ 2.53                  |
| 0.28558 | BLK    | 7/17/2012        | 9/27/2013 | \$ 77.30       | \$ 50.32   | \$ 26.98              | -                               | \$ 26.98                 |
| 0.28674 | THG    | 8/10/2012        | 9/27/2013 | \$ 15.90       | \$ 10.11   | \$ 5.79               | -                               | \$ 5.79                  |
| 0.28676 | AVT    | 8/10/2012        | 9/27/2013 | \$ 11.98       | \$ 9.16    | \$ 2.82               | -                               | \$ 2.82                  |
| 0.28763 | AIV    | 8/10/2012        | 9/27/2013 | \$ 8.07        | \$ 7.50    | \$ 0.58               | -                               | \$ 0.58                  |
| 0.28934 | AIT    | 8/10/2012        | 8/14/2013 | \$ 14.57       | \$ 12.01   | \$ 2.56               | -                               | \$ 2.56                  |
| 0.29036 | PRXL   | 8/13/2012        | 9/27/2013 | \$ 14.52       | \$ 7.80    | \$ 6.71               | -                               | \$ 6.71                  |
| 0.29058 | PRE    | 7/17/2012        | 9/27/2013 | \$ 26.61       | \$ 21.46   | \$ 5.14               | -                               | \$ 5.14                  |
| 0.29154 | LUV    | 8/10/2012        | 9/27/2013 | \$ 4.27        | \$ 2.63    | \$ 1.64               | -                               | \$ 1.64                  |
| 0.29175 | LMCA   | 6/6/2012         | 9/27/2013 | \$ 43.35       | \$ 22.19   | \$ 21.16              | -                               | \$ 21.16                 |
| 0.29357 | UHS    | 7/17/2012        | 9/27/2013 | \$ 21.92       | \$ 12.01   | \$ 9.92               | -                               | \$ 9.92                  |
| 0.29406 | CBT    | 6/5/2012         | 6/20/2013 | \$ 11.09       | \$ 10.53   | \$ 0.56               | -                               | \$ 0.56                  |
| 0.29591 | ALOG   | 8/10/2012        | 9/27/2013 | \$ 24.07       | \$ 19.23   | \$ 4.84               | -                               | \$ 4.84                  |
| 0.29760 | HCC    | 6/5/2012         | 6/20/2013 | \$ 12.60       | \$ 9.18    | \$ 3.42               | -                               | \$ 3.42                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.29797 | LUV    | 6/5/2012         | 6/20/2013 | \$ 4.05        | \$ 2.58    | \$ 1.47               | -                               | \$ 1.47                  |
| 0.29978 | RDEN   | 6/6/2012         | 6/20/2013 | \$ 13.61       | \$ 10.48   | \$ 3.13               | -                               | \$ 3.13                  |
| 0.30081 | AMED   | 7/17/2012        | 9/27/2013 | \$ 5.12        | \$ 3.91    | \$ 1.21               | -                               | \$ 1.21                  |
| 0.30152 | CRI    | 7/17/2012        | 7/29/2013 | \$ 21.64       | \$ 15.88   | \$ 5.76               | -                               | \$ 5.76                  |
| 0.30213 | SNPS   | 8/10/2012        | 9/27/2013 | \$ 11.38       | \$ 9.28    | \$ 2.10               | -                               | \$ 2.10                  |
| 0.30228 | AMED   | 6/6/2012         | 6/20/2013 | \$ 3.81        | \$ 3.60    | \$ 0.21               | -                               | \$ 0.21                  |
| 0.30241 | RSG    | 7/30/2012        | 9/27/2013 | \$ 10.19       | \$ 8.62    | \$ 1.56               | -                               | \$ 1.56                  |
| 0.30304 | TCBK   | 8/10/2012        | 9/27/2013 | \$ 6.87        | \$ 4.60    | \$ 2.27               | -                               | \$ 2.27                  |
| 0.30359 | THG    | 6/5/2012         | 6/20/2013 | \$ 14.36       | \$ 11.45   | \$ 2.90               | -                               | \$ 2.90                  |
| 0.30395 | CBT    | 7/17/2012        | 9/27/2013 | \$ 12.97       | \$ 11.89   | \$ 1.08               | -                               | \$ 1.08                  |
| 0.30559 | AIT    | 7/17/2012        | 8/6/2013  | \$ 16.17       | \$ 10.97   | \$ 5.20               | -                               | \$ 5.20                  |
| 0.30695 | ALOG   | 6/5/2012         | 6/20/2013 | \$ 22.64       | \$ 19.54   | \$ 3.10               | -                               | \$ 3.10                  |
| 0.30868 | LUV    | 7/17/2012        | 9/27/2013 | \$ 4.53        | \$ 2.93    | \$ 1.60               | -                               | \$ 1.60                  |
| 0.31152 | THG    | 7/17/2012        | 9/27/2013 | \$ 17.27       | \$ 11.98   | \$ 5.29               | -                               | \$ 5.29                  |
| 0.31193 | XRAY   | 8/10/2012        | 9/27/2013 | \$ 13.52       | \$ 12.02   | \$ 1.49               | -                               | \$ 1.49                  |
| 0.31289 | TPX    | 8/10/2012        | 9/27/2013 | \$ 13.72       | \$ 9.86    | \$ 3.86               | -                               | \$ 3.86                  |
| 0.31396 | XOM    | 6/5/2012         | 6/20/2013 | \$ 28.02       | \$ 24.44   | \$ 3.57               | -                               | \$ 3.57                  |
| 0.31428 | SFG    | 7/17/2012        | 9/27/2013 | \$ 17.37       | \$ 10.38   | \$ 6.99               | -                               | \$ 6.99                  |
| 0.31451 | IEX    | 7/17/2012        | 9/27/2013 | \$ 20.40       | \$ 11.49   | \$ 8.91               | -                               | \$ 8.91                  |
| 0.31546 | SIRO   | 6/6/2012         | 6/20/2013 | \$ 19.84       | \$ 13.66   | \$ 6.18               | -                               | \$ 6.18                  |
| 0.31570 | AIV    | 7/17/2012        | 9/27/2013 | \$ 8.86        | \$ 8.91    | \$ (0.05)             | -                               | \$ (0.05)                |
| 0.31714 | AVT    | 7/17/2012        | 9/27/2013 | \$ 13.25       | \$ 9.42    | \$ 3.83               | -                               | \$ 3.83                  |
| 0.31970 | ALOG   | 7/17/2012        | 9/27/2013 | \$ 26.01       | \$ 20.76   | \$ 5.24               | -                               | \$ 5.24                  |
| 0.32035 | QCOM   | 9/10/2012        | 9/27/2013 | \$ 21.54       | \$ 19.92   | \$ 1.63               | -                               | \$ 1.63                  |
| 0.32059 | SHLM   | 6/5/2012         | 6/20/2013 | \$ 8.44        | \$ 6.61    | \$ 1.84               | -                               | \$ 1.84                  |
| 0.32083 | TCBK   | 6/5/2012         | 6/20/2013 | \$ 6.82        | \$ 4.89    | \$ 1.93               | -                               | \$ 1.93                  |
| 0.32454 | SAVE   | 9/10/2012        | 9/27/2013 | \$ 11.20       | \$ 6.42    | \$ 4.78               | -                               | \$ 4.78                  |
| 0.32531 | AIT    | 8/3/2012         | 8/6/2013  | \$ 17.21       | \$ 12.34   | \$ 4.87               | -                               | \$ 4.87                  |
| 0.32631 | CRZO   | 8/10/2012        | 9/27/2013 | \$ 12.07       | \$ 8.05    | \$ 4.01               | -                               | \$ 4.01                  |
| 0.32644 | SAVE   | 8/10/2012        | 9/27/2013 | \$ 11.27       | \$ 6.43    | \$ 4.84               | -                               | \$ 4.84                  |
| 0.32858 | TCBK   | 7/17/2012        | 9/27/2013 | \$ 7.45        | \$ 5.06    | \$ 2.39               | -                               | \$ 2.39                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.33033 | AIT    | 8/6/2012         | 8/14/2013 | \$ 16.63       | \$ 12.55   | \$ 4.08               | -                               | \$ 4.08                  |
| 0.33073 | AAPL   | 7/17/2012        | 9/27/2013 | \$ 159.23      | \$ 201.37  | \$ (42.14)            | -                               | \$ (42.14)               |
| 0.33087 | KMT    | 6/6/2012         | 6/20/2013 | \$ 12.95       | \$ 11.55   | \$ 1.40               | -                               | \$ 1.40                  |
| 0.33558 | PLCE   | 6/6/2012         | 9/9/2013  | \$ 18.42       | \$ 15.68   | \$ 2.74               | -                               | \$ 2.74                  |
| 0.33665 | RSR    | 9/10/2012        | 9/27/2013 | \$ 11.34       | \$ 9.47    | \$ 1.87               | -                               | \$ 1.87                  |
| 0.33680 | SFG    | 8/10/2012        | 9/27/2013 | \$ 18.61       | \$ 10.40   | \$ 8.22               | -                               | \$ 8.22                  |
| 0.33772 | WTS    | 8/10/2012        | 9/27/2013 | \$ 19.01       | \$ 12.57   | \$ 6.44               | -                               | \$ 6.44                  |
| 0.33993 | TFX    | 6/6/2012         | 6/20/2013 | \$ 25.59       | \$ 20.20   | \$ 5.39               | -                               | \$ 5.39                  |
| 0.34006 | CYN    | 6/6/2012         | 6/20/2013 | \$ 20.96       | \$ 15.96   | \$ 5.00               | -                               | \$ 5.00                  |
| 0.34062 | TPX    | 7/17/2012        | 9/27/2013 | \$ 14.94       | \$ 8.61    | \$ 6.33               | -                               | \$ 6.33                  |
| 0.34535 | PEP    | 7/17/2012        | 9/27/2013 | \$ 27.69       | \$ 24.28   | \$ 3.41               | -                               | \$ 3.41                  |
| 0.34566 | CRZO   | 6/5/2012         | 6/20/2013 | \$ 9.65        | \$ 7.33    | \$ 2.32               | -                               | \$ 2.32                  |
| 0.34649 | UTX    | 8/10/2012        | 9/27/2013 | \$ 37.89       | \$ 26.67   | \$ 11.22              | -                               | \$ 11.22                 |
| 0.34855 | WRB    | 8/10/2012        | 9/27/2013 | \$ 15.09       | \$ 12.85   | \$ 2.23               | -                               | \$ 2.23                  |
| 0.34860 | AUY    | 9/10/2012        | 9/27/2013 | \$ 3.64        | \$ 6.17    | \$ (2.53)             | -                               | \$ (2.53)                |
| 0.35029 | ROST   | 8/10/2012        | 9/3/2013  | \$ 23.97       | \$ 23.45   | \$ 0.52               | -                               | \$ 0.52                  |
| 0.35082 | V      | 7/17/2012        | 9/27/2013 | \$ 67.66       | \$ 44.85   | \$ 22.81              | -                               | \$ 22.81                 |
| 0.35363 | CFN    | 9/10/2012        | 9/27/2013 | \$ 13.04       | \$ 9.78    | \$ 3.26               | -                               | \$ 3.26                  |
| 0.35593 | CRZO   | 7/17/2012        | 9/27/2013 | \$ 13.16       | \$ 8.96    | \$ 4.20               | -                               | \$ 4.20                  |
| 0.35628 | DSX    | 6/5/2012         | 6/20/2013 | \$ 3.40        | \$ 2.64    | \$ 0.76               | -                               | \$ 0.76                  |
| 0.35714 | LIFE   | 8/10/2012        | 9/27/2013 | \$ 26.75       | \$ 16.78   | \$ 9.97               | -                               | \$ 9.97                  |
| 0.36026 | VRSK   | 6/6/2012         | 6/20/2013 | \$ 20.77       | \$ 17.00   | \$ 3.77               | -                               | \$ 3.77                  |
| 0.36076 | WTS    | 6/5/2012         | 6/20/2013 | \$ 16.12       | \$ 11.48   | \$ 4.64               | -                               | \$ 4.64                  |
| 0.36119 | CACH   | 6/5/2012         | 6/20/2013 | \$ 1.55        | \$ 1.32    | \$ 0.23               | -                               | \$ 0.23                  |
| 0.36611 | WTS    | 7/17/2012        | 9/27/2013 | \$ 20.61       | \$ 11.98   | \$ 8.63               | -                               | \$ 8.63                  |
| 0.36668 | UTX    | 9/10/2012        | 9/27/2013 | \$ 40.09       | \$ 29.02   | \$ 11.08              | -                               | \$ 11.08                 |
| 0.36863 | OKSB   | 8/10/2012        | 9/27/2013 | \$ 5.47        | \$ 3.86    | \$ 1.61               | -                               | \$ 1.61                  |
| 0.37108 | GOOG   | 6/6/2012         | 9/27/2013 | \$ 324.79      | \$ 215.83  | \$ 108.96             | -                               | \$ 108.96                |
| 0.37154 | XRAY   | 6/6/2012         | 6/20/2013 | \$ 14.96       | \$ 13.81   | \$ 1.16               | -                               | \$ 1.16                  |
| 0.37187 | SBAC   | 7/25/2012        | 9/27/2013 | \$ 29.61       | \$ 20.89   | \$ 8.72               | -                               | \$ 8.72                  |
| 0.37617 | BLK    | 8/10/2012        | 9/27/2013 | \$ 101.82      | \$ 65.35   | \$ 36.47              | -                               | \$ 36.47                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.37744 | GOOG   | 7/2/2012         | 9/27/2013 | \$ 330.35      | \$ 218.53  | \$ 111.82             | \$ -                            | \$ 111.82                |
| 0.37990 | CASY   | 9/24/2012        | 9/27/2013 | \$ 27.98       | \$ 22.03   | \$ 5.95               | \$ -                            | \$ 5.95                  |
| 0.38143 | TW     | 7/13/2012        | 9/27/2013 | \$ 41.18       | \$ 23.18   | \$ 18.00              | \$ -                            | \$ 18.00                 |
| 0.38167 | BHLB   | 6/5/2012         | 6/20/2013 | \$ 10.16       | \$ 7.88    | \$ 2.29               | \$ -                            | \$ 2.29                  |
| 0.38182 | SBH    | 9/26/2012        | 9/27/2013 | \$ 10.06       | \$ 9.67    | \$ 0.39               | \$ -                            | \$ 0.39                  |
| 0.38300 | DRIV   | 8/10/2012        | 9/27/2013 | \$ 6.75        | \$ 5.92    | \$ 0.84               | \$ -                            | \$ 0.84                  |
| 0.38334 | RAIL   | 6/6/2012         | 6/20/2013 | \$ 6.52        | \$ 8.01    | \$ (1.49)             | \$ -                            | \$ (1.49)                |
| 0.38480 | WRB    | 7/17/2012        | 9/27/2013 | \$ 16.65       | \$ 15.10   | \$ 1.55               | \$ -                            | \$ 1.55                  |
| 0.38561 | ARW    | 8/10/2012        | 9/27/2013 | \$ 18.58       | \$ 13.98   | \$ 4.60               | \$ -                            | \$ 4.60                  |
| 0.38569 | CNL    | 6/6/2012         | 9/27/2013 | \$ 17.35       | \$ 15.75   | \$ 1.60               | \$ -                            | \$ 1.60                  |
| 0.38723 | WSH    | 8/10/2012        | 9/27/2013 | \$ 16.90       | \$ 13.69   | \$ 3.21               | \$ -                            | \$ 3.21                  |
| 0.38882 | ASCSA  | 6/6/2012         | 6/20/2013 | \$ 28.29       | \$ 20.10   | \$ 8.19               | \$ -                            | \$ 8.19                  |
| 0.39153 | ONXX   | 6/6/2012         | 7/12/2013 | \$ 51.88       | \$ 17.47   | \$ 34.40              | \$ -                            | \$ 34.40                 |
| 0.39197 | BLK    | 9/10/2012        | 9/27/2013 | \$ 106.10      | \$ 70.52   | \$ 35.58              | \$ -                            | \$ 35.58                 |
| 0.39589 | IEX    | 8/10/2012        | 9/27/2013 | \$ 25.67       | \$ 15.83   | \$ 9.84               | \$ -                            | \$ 9.84                  |
| 0.39723 | SHLM   | 7/17/2012        | 9/13/2013 | \$ 11.10       | \$ 8.78    | \$ 2.32               | \$ -                            | \$ 2.32                  |
| 0.39814 | TEX    | 7/17/2012        | 9/27/2013 | \$ 13.51       | \$ 6.39    | \$ 7.12               | \$ -                            | \$ 7.12                  |
| 0.39974 | MEAS   | 8/10/2012        | 9/27/2013 | \$ 21.71       | \$ 11.67   | \$ 10.04              | \$ -                            | \$ 10.04                 |
| 0.40529 | LPNT   | 6/6/2012         | 6/20/2013 | \$ 19.70       | \$ 15.10   | \$ 4.59               | \$ -                            | \$ 4.59                  |
| 0.40852 | LIFE   | 7/17/2012        | 9/27/2013 | \$ 30.60       | \$ 17.85   | \$ 12.75              | \$ -                            | \$ 12.75                 |
| 0.40860 | MMC    | 6/5/2012         | 6/20/2013 | \$ 15.94       | \$ 12.89   | \$ 3.05               | \$ -                            | \$ 3.05                  |
| 0.40985 | RSG    | 6/6/2012         | 9/27/2013 | \$ 13.81       | \$ 10.72   | \$ 3.09               | \$ -                            | \$ 3.09                  |
| 0.41061 | BYI    | 6/6/2012         | 7/24/2013 | \$ 28.88       | \$ 18.89   | \$ 9.99               | \$ -                            | \$ 9.99                  |
| 0.41297 | COF    | 7/17/2012        | 9/27/2013 | \$ 28.52       | \$ 22.97   | \$ 5.55               | \$ -                            | \$ 5.55                  |
| 0.41375 | CNL    | 8/10/2012        | 9/27/2013 | \$ 18.61       | \$ 17.38   | \$ 1.23               | \$ -                            | \$ 1.23                  |
| 0.41409 | SNPS   | 7/17/2012        | 9/27/2013 | \$ 15.60       | \$ 12.55   | \$ 3.05               | \$ -                            | \$ 3.05                  |
| 0.41661 | LIFE   | 7/13/2012        | 9/27/2013 | \$ 31.20       | \$ 17.94   | \$ 13.27              | \$ -                            | \$ 13.27                 |
| 0.42108 | MXWL   | 6/5/2012         | 6/20/2013 | \$ 2.78        | \$ 2.90    | \$ (0.12)             | \$ -                            | \$ (0.12)                |
| 0.42215 | KSS    | 6/5/2012         | 6/20/2013 | \$ 21.41       | \$ 18.49   | \$ 2.92               | \$ -                            | \$ 2.92                  |
| 0.42303 | COH    | 9/10/2012        | 9/27/2013 | \$ 22.95       | \$ 26.57   | \$ (3.62)             | \$ -                            | \$ (3.62)                |
| 0.42417 | EGN    | 8/10/2012        | 9/27/2013 | \$ 32.47       | \$ 22.14   | \$ 10.34              | \$ -                            | \$ 10.34                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.42701 | ROL    | 6/6/2012      | 6/20/2013 | \$ 10.53       | \$ 9.17    | \$ 1.36            | -                         | \$ 1.36               |
| 0.42896 | SHLM   | 8/10/2012     | 9/13/2013 | \$ 11.99       | \$ 9.82    | \$ 2.17            | -                         | \$ 2.17               |
| 0.43257 | MEAS   | 7/17/2012     | 9/27/2013 | \$ 23.49       | \$ 13.66   | \$ 9.82            | -                         | \$ 9.82               |
| 0.43434 | MEAS   | 6/5/2012      | 6/20/2013 | \$ 19.26       | \$ 14.07   | \$ 5.19            | -                         | \$ 5.19               |
| 0.43573 | BHLB   | 8/10/2012     | 9/27/2013 | \$ 10.93       | \$ 9.70    | \$ 1.23            | -                         | \$ 1.23               |
| 0.44087 | ROST   | 8/6/2012      | 9/3/2013  | \$ 30.16       | \$ 29.96   | \$ 0.20            | -                         | \$ 0.20               |
| 0.44470 | ANN    | 6/5/2012      | 6/20/2013 | \$ 14.15       | \$ 11.33   | \$ 2.82            | -                         | \$ 2.82               |
| 0.44699 | KEG    | 6/5/2012      | 6/20/2013 | \$ 2.66        | \$ 4.27    | \$ (1.61)          | -                         | \$ (1.61)             |
| 0.44772 | ABT    | 6/5/2012      | 6/20/2013 | \$ 15.78       | \$ 12.87   | \$ 2.91            | -                         | \$ 2.91               |
| 0.45274 | LIFE   | 6/6/2012      | 9/27/2013 | \$ 33.91       | \$ 18.88   | \$ 15.03           | -                         | \$ 15.03              |
| 0.45511 | A      | 8/30/2012     | 9/27/2013 | \$ 23.48       | \$ 16.88   | \$ 6.60            | -                         | \$ 6.60               |
| 0.45668 | ARW    | 7/17/2012     | 8/6/2013  | \$ 21.30       | \$ 14.20   | \$ 7.10            | -                         | \$ 7.10               |
| 0.45869 | VRSK   | 8/24/2012     | 9/27/2013 | \$ 29.92       | \$ 22.37   | \$ 7.54            | -                         | \$ 7.54               |
| 0.45925 | V      | 8/10/2012     | 9/27/2013 | \$ 88.57       | \$ 59.20   | \$ 29.37           | -                         | \$ 29.37              |
| 0.45934 | CNL    | 7/17/2012     | 9/27/2013 | \$ 20.66       | \$ 20.27   | \$ 0.39            | -                         | \$ 0.39               |
| 0.45984 | DOX    | 9/10/2012     | 9/27/2013 | \$ 17.03       | \$ 15.08   | \$ 1.95            | -                         | \$ 1.95               |
| 0.46066 | SJM    | 9/10/2012     | 9/27/2013 | \$ 48.33       | \$ 39.63   | \$ 8.70            | -                         | \$ 8.70               |
| 0.46208 | VOLC   | 8/10/2012     | 9/27/2013 | \$ 10.86       | \$ 12.65   | \$ (1.79)          | -                         | \$ (1.79)             |
| 0.46312 | GPC    | 7/17/2012     | 9/27/2013 | \$ 37.23       | \$ 28.66   | \$ 8.57            | -                         | \$ 8.57               |
| 0.46515 | TJX    | 6/5/2012      | 6/20/2013 | \$ 22.87       | \$ 19.02   | \$ 3.85            | -                         | \$ 3.85               |
| 0.46528 | PRE    | 6/6/2012      | 6/20/2013 | \$ 41.12       | \$ 33.63   | \$ 7.49            | -                         | \$ 7.49               |
| 0.46995 | JOSB   | 6/5/2012      | 6/20/2013 | \$ 18.77       | \$ 19.68   | \$ (0.91)          | -                         | \$ (0.91)             |
| 0.47043 | EGN    | 7/17/2012     | 9/27/2013 | \$ 36.02       | \$ 22.08   | \$ 13.94           | -                         | \$ 13.94              |
| 0.47159 | SNPS   | 7/5/2012      | 9/27/2013 | \$ 17.76       | \$ 14.26   | \$ 3.51            | -                         | \$ 3.51               |
| 0.47417 | BHLB   | 7/17/2012     | 9/27/2013 | \$ 11.89       | \$ 10.56   | \$ 1.33            | -                         | \$ 1.33               |
| 0.47630 | TCBK   | 6/6/2012      | 6/20/2013 | \$ 10.13       | \$ 7.26    | \$ 2.87            | -                         | \$ 2.87               |
| 0.47838 | XRAY   | 7/17/2012     | 9/27/2013 | \$ 20.73       | \$ 17.43   | \$ 3.30            | -                         | \$ 3.30               |
| 0.47842 | JOSB   | 8/10/2012     | 9/9/2013  | \$ 19.90       | \$ 19.80   | \$ 0.10            | -                         | \$ 0.10               |
| 0.48102 | ATML   | 9/10/2012     | 9/27/2013 | \$ 3.52        | \$ 2.99    | \$ 0.52            | -                         | \$ 0.52               |
| 0.48379 | COL    | 7/17/2012     | 9/27/2013 | \$ 33.24       | \$ 23.19   | \$ 10.05           | -                         | \$ 10.05              |
| 0.48458 | VOLC   | 6/5/2012      | 6/20/2013 | \$ 8.63        | \$ 13.20   | \$ (4.57)          | -                         | \$ (4.57)             |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.48887 | FIS    | 6/5/2012         | 6/20/2013 | \$ 20.89       | \$ 15.36   | \$ 5.53               | -                               | \$ 5.53                  |
| 0.49222 | RS     | 6/6/2012         | 6/20/2013 | \$ 31.81       | \$ 23.39   | \$ 8.42               | -                               | \$ 8.42                  |
| 0.49626 | RTI    | 8/10/2012        | 9/27/2013 | \$ 15.74       | \$ 12.00   | \$ 3.74               | -                               | \$ 3.74                  |
| 0.49724 | PX     | 7/17/2012        | 9/27/2013 | \$ 59.80       | \$ 54.04   | \$ 5.76               | -                               | \$ 5.76                  |
| 0.49853 | RCKB   | 6/5/2012         | 6/20/2013 | \$ 6.52        | \$ 5.60    | \$ 0.92               | -                               | \$ 0.92                  |
| 0.50088 | DRIV   | 7/17/2012        | 9/27/2013 | \$ 8.83        | \$ 8.35    | \$ 0.48               | -                               | \$ 0.48                  |
| 0.50181 | SBAC   | 8/24/2012        | 9/27/2013 | \$ 39.96       | \$ 29.95   | \$ 10.01              | -                               | \$ 10.01                 |
| 0.50355 | PRE    | 7/30/2012        | 9/27/2013 | \$ 46.11       | \$ 37.72   | \$ 8.39               | -                               | \$ 8.39                  |
| 0.50428 | VOLC   | 7/17/2012        | 9/27/2013 | \$ 11.86       | \$ 14.29   | \$ (2.43)             | -                               | \$ (2.43)                |
| 0.50594 | ACCO   | 6/5/2012         | 6/20/2013 | \$ 3.26        | \$ 4.50    | \$ (1.24)             | -                               | \$ (1.24)                |
| 0.50598 | WSH    | 6/6/2012         | 6/20/2013 | \$ 21.10       | \$ 18.01   | \$ 3.09               | -                               | \$ 3.09                  |
| 0.50719 | CTL    | 6/5/2012         | 6/20/2013 | \$ 17.38       | \$ 18.99   | \$ (1.61)             | -                               | \$ (1.61)                |
| 0.50761 | BYI    | 6/6/2012         | 6/20/2013 | \$ 28.09       | \$ 23.36   | \$ 4.73               | -                               | \$ 4.73                  |
| 0.50920 | ALK    | 6/6/2012         | 6/20/2013 | \$ 27.34       | \$ 16.92   | \$ 10.42              | -                               | \$ 10.42                 |
| 0.51265 | ATMI   | 8/10/2012        | 9/27/2013 | \$ 13.53       | \$ 9.86    | \$ 3.67               | -                               | \$ 3.67                  |
| 0.51322 | REXX   | 8/10/2012        | 9/10/2013 | \$ 10.55       | \$ 6.73    | \$ 3.82               | -                               | \$ 3.82                  |
| 0.52015 | ANN    | 8/10/2012        | 9/27/2013 | \$ 18.90       | \$ 14.34   | \$ 4.56               | -                               | \$ 4.56                  |
| 0.52066 | JOSB   | 7/17/2012        | 9/9/2013  | \$ 21.66       | \$ 21.38   | \$ 0.27               | -                               | \$ 0.27                  |
| 0.52496 | LIFE   | 6/6/2012         | 6/20/2013 | \$ 38.84       | \$ 21.89   | \$ 16.95              | -                               | \$ 16.95                 |
| 0.52565 | CRZO   | 6/6/2012         | 6/20/2013 | \$ 14.67       | \$ 11.58   | \$ 3.09               | -                               | \$ 3.09                  |
| 0.52843 | LUV    | 6/6/2012         | 6/20/2013 | \$ 7.18        | \$ 4.69    | \$ 2.49               | -                               | \$ 2.49                  |
| 0.52851 | SHOO   | 6/5/2012         | 6/20/2013 | \$ 25.00       | \$ 20.54   | \$ 4.46               | -                               | \$ 4.46                  |
| 0.52961 | HXL    | 9/24/2012        | 9/27/2013 | \$ 20.47       | \$ 12.65   | \$ 7.82               | -                               | \$ 7.82                  |
| 0.53142 | OXY    | 7/17/2012        | 9/27/2013 | \$ 50.10       | \$ 45.72   | \$ 4.37               | -                               | \$ 4.37                  |
| 0.54040 | OKSB   | 7/17/2012        | 9/27/2013 | \$ 8.01        | \$ 5.24    | \$ 2.77               | -                               | \$ 2.77                  |
| 0.54116 | V      | 9/10/2012        | 9/27/2013 | \$ 104.37      | \$ 69.90   | \$ 34.47              | -                               | \$ 34.47                 |
| 0.54232 | THG    | 6/6/2012         | 6/20/2013 | \$ 25.65       | \$ 20.81   | \$ 4.84               | -                               | \$ 4.84                  |
| 0.54471 | USPH   | 8/10/2012        | 9/27/2013 | \$ 16.68       | \$ 14.26   | \$ 2.43               | -                               | \$ 2.43                  |
| 0.54584 | COF    | 8/10/2012        | 9/27/2013 | \$ 37.70       | \$ 30.48   | \$ 7.22               | -                               | \$ 7.22                  |
| 0.54764 | ATMI   | 6/5/2012         | 6/20/2013 | \$ 13.07       | \$ 10.74   | \$ 2.33               | -                               | \$ 2.33                  |
| 0.54836 | CL     | 7/17/2012        | 9/27/2013 | \$ 32.90       | \$ 28.88   | \$ 4.02               | -                               | \$ 4.02                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.55170 | WSH    | 7/17/2012        | 9/27/2013 | \$ 24.08       | \$ 20.53   | \$ 3.55               | -                               | \$ 3.55                  |
| 0.55287 | ANN    | 7/17/2012        | 9/27/2013 | \$ 20.09       | \$ 14.56   | \$ 5.53               | -                               | \$ 5.53                  |
| 0.55559 | DSX    | 8/10/2012        | 9/27/2013 | \$ 6.72        | \$ 3.94    | \$ 2.78               | -                               | \$ 2.78                  |
| 0.55694 | ATMI   | 7/17/2012        | 9/27/2013 | \$ 14.70       | \$ 11.00   | \$ 3.70               | -                               | \$ 3.70                  |
| 0.55715 | RSG    | 8/10/2012        | 9/27/2013 | \$ 18.77       | \$ 16.07   | \$ 2.70               | -                               | \$ 2.70                  |
| 0.55994 | PLCE   | 6/6/2012         | 6/20/2013 | \$ 29.81       | \$ 26.16   | \$ 3.65               | -                               | \$ 3.65                  |
| 0.56452 | AMP    | 7/17/2012        | 9/27/2013 | \$ 51.59       | \$ 28.76   | \$ 22.83              | -                               | \$ 22.83                 |
| 0.56488 | COF    | 9/10/2012        | 9/27/2013 | \$ 39.02       | \$ 32.45   | \$ 6.57               | -                               | \$ 6.57                  |
| 0.56575 | RSG    | 7/17/2012        | 9/27/2013 | \$ 19.06       | \$ 15.23   | \$ 3.83               | -                               | \$ 3.83                  |
| 0.56784 | AMP    | 8/10/2012        | 9/27/2013 | \$ 51.89       | \$ 30.77   | \$ 21.12              | -                               | \$ 21.12                 |
| 0.56893 | ALOG   | 6/6/2012         | 6/20/2013 | \$ 41.97       | \$ 37.09   | \$ 4.88               | -                               | \$ 4.88                  |
| 0.56904 | SHOO   | 8/10/2012        | 9/27/2013 | \$ 30.30       | \$ 22.97   | \$ 7.33               | -                               | \$ 7.33                  |
| 0.57353 | IDTI   | 9/10/2012        | 9/27/2013 | \$ 5.37        | \$ 3.30    | \$ 2.07               | -                               | \$ 2.07                  |
| 0.57579 | LIFE   | 7/25/2012        | 9/27/2013 | \$ 43.13       | \$ 24.48   | \$ 18.65              | -                               | \$ 18.65                 |
| 0.57838 | CRI    | 6/6/2012         | 6/20/2013 | \$ 41.49       | \$ 30.31   | \$ 11.19              | -                               | \$ 11.19                 |
| 0.58024 | SYPR   | 6/5/2012         | 6/20/2013 | \$ 1.83        | \$ 3.52    | \$ (1.69)             | -                               | \$ (1.69)                |
| 0.58273 | R      | 6/6/2012         | 6/20/2013 | \$ 34.99       | \$ 24.84   | \$ 10.15              | -                               | \$ 10.15                 |
| 0.58379 | REXX   | 8/10/2012        | 9/27/2013 | \$ 12.98       | \$ 7.65    | \$ 5.33               | -                               | \$ 5.33                  |
| 0.58420 | SHOO   | 7/17/2012        | 9/27/2013 | \$ 31.11       | \$ 19.25   | \$ 11.85              | -                               | \$ 11.85                 |
| 0.58810 | UHS    | 6/6/2012         | 6/20/2013 | \$ 39.03       | \$ 22.95   | \$ 16.08              | -                               | \$ 16.08                 |
| 0.58910 | ROST   | 6/13/2012        | 6/20/2013 | \$ 37.39       | \$ 38.19   | \$ (0.80)             | -                               | \$ (0.80)                |
| 0.59184 | RTI    | 7/17/2012        | 9/27/2013 | \$ 18.77       | \$ 13.50   | \$ 5.28               | -                               | \$ 5.28                  |
| 0.59204 | PEGA   | 8/10/2012        | 9/27/2013 | \$ 23.30       | \$ 15.94   | \$ 7.36               | -                               | \$ 7.36                  |
| 0.59380 | AMP    | 9/10/2012        | 9/27/2013 | \$ 54.27       | \$ 33.39   | \$ 20.88              | -                               | \$ 20.88                 |
| 0.60022 | HBAN   | 9/10/2012        | 9/27/2013 | \$ 4.93        | \$ 4.05    | \$ 0.88               | -                               | \$ 0.88                  |
| 0.60109 | CME    | 9/10/2012        | 9/27/2013 | \$ 45.10       | \$ 35.26   | \$ 9.84               | -                               | \$ 9.84                  |
| 0.60738 | DSX    | 7/17/2012        | 9/27/2013 | \$ 7.35        | \$ 4.17    | \$ 3.18               | -                               | \$ 3.18                  |
| 0.61095 | GPC    | 8/10/2012        | 9/27/2013 | \$ 49.12       | \$ 38.98   | \$ 10.14              | -                               | \$ 10.14                 |
| 0.61143 | BGG    | 6/5/2012         | 6/20/2013 | \$ 11.54       | \$ 10.09   | \$ 1.45               | -                               | \$ 1.45                  |
| 0.61346 | IEX    | 6/6/2012         | 6/20/2013 | \$ 32.34       | \$ 24.05   | \$ 8.29               | -                               | \$ 8.29                  |
| 0.61351 | HSIC   | 9/24/2012        | 9/27/2013 | \$ 63.61       | \$ 49.09   | \$ 14.52              | -                               | \$ 14.52                 |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.61698 | DY     | 8/10/2012        | 9/27/2013 | \$ 17.04       | \$ 11.01   | \$ 6.03               | -                               | \$ 6.03                  |
| 0.61737 | SFG    | 6/6/2012         | 6/20/2013 | \$ 29.44       | \$ 21.46   | \$ 7.97               | -                               | \$ 7.97                  |
| 0.61754 | TEX    | 8/10/2012        | 9/27/2013 | \$ 20.95       | \$ 13.44   | \$ 7.52               | -                               | \$ 7.52                  |
| 0.61868 | IEX    | 6/6/2012         | 9/27/2013 | \$ 40.12       | \$ 24.26   | \$ 15.87              | -                               | \$ 15.87                 |
| 0.61945 | CAM    | 7/17/2012        | 9/27/2013 | \$ 36.59       | \$ 27.57   | \$ 9.02               | -                               | \$ 9.02                  |
| 0.61973 | EA     | 6/5/2012         | 6/20/2013 | \$ 13.80       | \$ 8.20    | \$ 5.60               | -                               | \$ 5.60                  |
| 0.62294 | WTS    | 6/6/2012         | 6/20/2013 | \$ 27.83       | \$ 20.44   | \$ 7.39               | -                               | \$ 7.39                  |
| 0.62599 | SCVL   | 6/5/2012         | 6/20/2013 | \$ 14.64       | \$ 12.71   | \$ 1.93               | -                               | \$ 1.93                  |
| 0.62832 | COLB   | 6/5/2012         | 6/20/2013 | \$ 13.90       | \$ 11.20   | \$ 2.70               | -                               | \$ 2.70                  |
| 0.63271 | DVN    | 7/17/2012        | 9/27/2013 | \$ 37.09       | \$ 36.54   | \$ 0.55               | -                               | \$ 0.55                  |
| 0.63401 | COL    | 8/10/2012        | 9/27/2013 | \$ 43.56       | \$ 31.65   | \$ 11.91              | -                               | \$ 11.91                 |
| 0.63570 | GPC    | 9/10/2012        | 9/27/2013 | \$ 51.11       | \$ 39.94   | \$ 11.17              | -                               | \$ 11.17                 |
| 0.63780 | COLB   | 7/17/2012        | 9/27/2013 | \$ 15.74       | \$ 12.24   | \$ 3.50               | -                               | \$ 3.50                  |
| 0.63795 | SHLM   | 6/6/2012         | 6/20/2013 | \$ 16.80       | \$ 13.10   | \$ 3.71               | -                               | \$ 3.71                  |
| 0.63808 | MEAS   | 6/6/2012         | 6/20/2013 | \$ 28.29       | \$ 20.75   | \$ 7.54               | -                               | \$ 7.54                  |
| 0.64146 | AMAG   | 8/10/2012        | 9/27/2013 | \$ 13.96       | \$ 9.70    | \$ 4.26               | -                               | \$ 4.26                  |
| 0.64537 | PEGA   | 6/5/2012         | 6/20/2013 | \$ 20.83       | \$ 20.39   | \$ 0.43               | -                               | \$ 0.43                  |
| 0.64609 | BECN   | 8/10/2012        | 9/27/2013 | \$ 24.04       | \$ 16.76   | \$ 7.28               | -                               | \$ 7.28                  |
| 0.64632 | CSGS   | 8/10/2012        | 9/27/2013 | \$ 16.13       | \$ 12.65   | \$ 3.48               | -                               | \$ 3.48                  |
| 0.64662 | SCVL   | 7/17/2012        | 9/27/2013 | \$ 17.42       | \$ 15.00   | \$ 2.43               | -                               | \$ 2.43                  |
| 0.65004 | DY     | 6/5/2012         | 6/20/2013 | \$ 14.68       | \$ 12.58   | \$ 2.10               | -                               | \$ 2.10                  |
| 0.65145 | AAPL   | 6/6/2012         | 6/20/2013 | \$ 271.34      | \$ 373.39  | \$ (102.05)           | -                               | \$ (102.05)              |
| 0.65455 | HCC    | 6/6/2012         | 6/20/2013 | \$ 27.71       | \$ 20.55   | \$ 7.17               | -                               | \$ 7.17                  |
| 0.65468 | CFN    | 8/10/2012        | 9/27/2013 | \$ 24.14       | \$ 17.14   | \$ 7.01               | -                               | \$ 7.01                  |
| 0.65486 | CQB    | 6/5/2012         | 6/20/2013 | \$ 6.69        | \$ 3.37    | \$ 3.31               | -                               | \$ 3.31                  |
| 0.65527 | TTEC   | 8/10/2012        | 9/27/2013 | \$ 16.47       | \$ 10.76   | \$ 5.70               | -                               | \$ 5.70                  |
| 0.65687 | NLS    | 7/17/2012        | 9/27/2013 | \$ 4.68        | \$ 2.13    | \$ 2.55               | -                               | \$ 2.55                  |
| 0.65723 | NOV    | 7/17/2012        | 9/27/2013 | \$ 51.38       | \$ 44.85   | \$ 6.53               | -                               | \$ 6.53                  |
| 0.65734 | XOM    | 7/17/2012        | 9/27/2013 | \$ 57.07       | \$ 56.23   | \$ 0.85               | -                               | \$ 0.85                  |
| 0.65760 | BGG    | 8/10/2012        | 9/27/2013 | \$ 13.35       | \$ 10.93   | \$ 2.42               | -                               | \$ 2.42                  |
| 0.65858 | KEG    | 8/10/2012        | 9/27/2013 | \$ 4.89        | \$ 5.67    | \$ (0.78)             | -                               | \$ (0.78)                |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.65955 | CQB    | 7/17/2012     | 9/27/2013 | \$ 8.54        | \$ 3.46    | \$ 5.08            | -                         | \$ 5.08               |
| 0.65964 | V      | 8/10/2012     | 9/27/2013 | \$ 127.22      | \$ 85.03   | \$ 42.19           | -                         | \$ 42.19              |
| 0.66202 | AMAG   | 6/5/2012      | 6/20/2013 | \$ 13.47       | \$ 9.25    | \$ 4.22            | -                         | \$ 4.22               |
| 0.66286 | CIT    | 7/17/2012     | 9/27/2013 | \$ 32.08       | \$ 23.19   | \$ 8.89            | -                         | \$ 8.89               |
| 0.66350 | PEGA   | 7/17/2012     | 9/27/2013 | \$ 26.12       | \$ 19.43   | \$ 6.68            | -                         | \$ 6.68               |
| 0.66437 | VNTV   | 8/6/2012      | 9/27/2013 | \$ 18.24       | \$ 14.98   | \$ 3.26            | -                         | \$ 3.26               |
| 0.66445 | IEX    | 8/1/2012      | 9/27/2013 | \$ 43.09       | \$ 25.08   | \$ 18.01           | -                         | \$ 18.01              |
| 0.66451 | DY     | 7/17/2012     | 9/27/2013 | \$ 18.35       | \$ 11.89   | \$ 6.46            | -                         | \$ 6.46               |
| 0.66573 | COL    | 9/10/2012     | 9/27/2013 | \$ 45.74       | \$ 34.01   | \$ 11.73           | -                         | \$ 11.73              |
| 0.66777 | SCVL   | 8/10/2012     | 9/27/2013 | \$ 17.99       | \$ 14.67   | \$ 3.32            | -                         | \$ 3.32               |
| 0.67179 | R      | 9/12/2012     | 9/27/2013 | \$ 40.08       | \$ 28.66   | \$ 11.42           | -                         | \$ 11.42              |
| 0.67260 | COLB   | 8/10/2012     | 9/27/2013 | \$ 16.60       | \$ 11.96   | \$ 4.64            | -                         | \$ 4.64               |
| 0.68298 | PX     | 9/10/2012     | 9/27/2013 | \$ 82.14       | \$ 73.33   | \$ 8.81            | -                         | \$ 8.81               |
| 0.68519 | CSGS   | 6/5/2012      | 6/20/2013 | \$ 14.68       | \$ 11.28   | \$ 3.40            | -                         | \$ 3.40               |
| 0.68774 | ALK    | 9/12/2012     | 9/27/2013 | \$ 43.69       | \$ 23.92   | \$ 19.77           | -                         | \$ 19.77              |
| 0.68867 | PRGS   | 7/17/2012     | 9/18/2013 | \$ 17.17       | \$ 13.12   | \$ 4.05            | -                         | \$ 4.05               |
| 0.68877 | AUY    | 8/10/2012     | 9/27/2013 | \$ 7.19        | \$ 10.59   | \$ (3.40)          | -                         | \$ (3.40)             |
| 0.69719 | TTEC   | 6/5/2012      | 6/20/2013 | \$ 16.29       | \$ 10.27   | \$ 6.02            | -                         | \$ 6.02               |
| 0.70171 | AMAG   | 7/17/2012     | 9/27/2013 | \$ 15.27       | \$ 11.09   | \$ 4.18            | -                         | \$ 4.18               |
| 0.70667 | CFN    | 7/17/2012     | 9/27/2013 | \$ 26.06       | \$ 18.07   | \$ 7.99            | -                         | \$ 7.99               |
| 0.70752 | CSGS   | 7/17/2012     | 9/27/2013 | \$ 17.66       | \$ 12.51   | \$ 5.15            | -                         | \$ 5.15               |
| 0.70768 | MXWL   | 8/10/2012     | 9/27/2013 | \$ 6.50        | \$ 5.34    | \$ 1.16            | -                         | \$ 1.16               |
| 0.70809 | CME    | 8/10/2012     | 9/27/2013 | \$ 53.13       | \$ 37.81   | \$ 15.32           | -                         | \$ 15.32              |
| 0.70812 | PMTC   | 8/10/2012     | 9/27/2013 | \$ 20.14       | \$ 15.59   | \$ 4.55            | -                         | \$ 4.55               |
| 0.70820 | BECN   | 7/17/2012     | 9/27/2013 | \$ 26.35       | \$ 19.17   | \$ 7.19            | -                         | \$ 7.19               |
| 0.70827 | KEG    | 7/17/2012     | 9/27/2013 | \$ 5.26        | \$ 5.15    | \$ 0.11            | -                         | \$ 0.11               |
| 0.71573 | BGG    | 7/17/2012     | 9/27/2013 | \$ 14.53       | \$ 12.42   | \$ 2.11            | -                         | \$ 2.11               |
| 0.71851 | PX     | 8/10/2012     | 9/27/2013 | \$ 86.41       | \$ 77.37   | \$ 9.04            | -                         | \$ 9.04               |
| 0.71894 | CL     | 8/10/2012     | 9/27/2013 | \$ 43.14       | \$ 37.80   | \$ 5.33            | -                         | \$ 5.33               |
| 0.73121 | TPX    | 6/6/2012      | 6/20/2013 | \$ 30.28       | \$ 16.85   | \$ 13.43           | -                         | \$ 13.43              |
| 0.73194 | OXY    | 9/10/2012     | 9/27/2013 | \$ 69.00       | \$ 63.65   | \$ 5.35            | -                         | \$ 5.35               |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.74433 | CBT    | 6/6/2012         | 6/20/2013 | \$ 28.07       | \$ 27.38   | \$ 0.69               | -                               | \$ 0.69                  |
| 0.74570 | TWX    | 7/17/2012        | 9/27/2013 | \$ 49.44       | \$ 28.88   | \$ 20.56              | -                               | \$ 20.56                 |
| 0.74613 | VNTV   | 8/10/2012        | 9/27/2013 | \$ 20.49       | \$ 16.71   | \$ 3.78               | -                               | \$ 3.78                  |
| 0.74618 | CL     | 9/10/2012        | 9/27/2013 | \$ 44.77       | \$ 38.69   | \$ 6.08               | -                               | \$ 6.08                  |
| 0.74865 | EGN    | 6/6/2012         | 6/20/2013 | \$ 39.51       | \$ 33.65   | \$ 5.86               | -                               | \$ 5.86                  |
| 0.74899 | V      | 6/6/2012         | 6/20/2013 | \$ 134.27      | \$ 86.82   | \$ 47.45              | -                               | \$ 47.45                 |
| 0.75000 | IP     | 7/17/2012        | 9/27/2013 | \$ 34.12       | \$ 23.85   | \$ 10.27              | -                               | \$ 10.27                 |
| 0.75309 | VTR    | 7/17/2012        | 9/27/2013 | \$ 47.05       | \$ 49.66   | \$ (2.62)             | -                               | \$ (2.62)                |
| 0.75736 | COH    | 7/17/2012        | 9/27/2013 | \$ 41.08       | \$ 42.89   | \$ (1.81)             | -                               | \$ (1.81)                |
| 0.76114 | AUY    | 7/17/2012        | 9/27/2013 | \$ 7.95        | \$ 11.23   | \$ (3.29)             | -                               | \$ (3.29)                |
| 0.76229 | CNL    | 6/6/2012         | 6/20/2013 | \$ 33.49       | \$ 31.13   | \$ 2.36               | -                               | \$ 2.36                  |
| 0.76307 | IGT    | 6/5/2012         | 6/20/2013 | \$ 12.55       | \$ 10.26   | \$ 2.28               | -                               | \$ 2.28                  |
| 0.76558 | NLS    | 6/6/2012         | 6/20/2013 | \$ 6.28        | \$ 2.59    | \$ 3.68               | -                               | \$ 3.68                  |
| 0.76800 | BA     | 7/17/2012        | 9/27/2013 | \$ 90.91       | \$ 56.23   | \$ 34.69              | -                               | \$ 34.69                 |
| 0.77345 | IEX    | 8/24/2012        | 9/27/2013 | \$ 50.16       | \$ 31.32   | \$ 18.84              | -                               | \$ 18.84                 |
| 0.77573 | OXY    | 8/10/2012        | 9/27/2013 | \$ 73.13       | \$ 70.05   | \$ 3.08               | -                               | \$ 3.08                  |
| 0.77846 | MXWL   | 7/17/2012        | 9/27/2013 | \$ 7.15        | \$ 4.97    | \$ 2.19               | -                               | \$ 2.19                  |
| 0.78139 | PRGS   | 6/5/2012         | 6/20/2013 | \$ 16.90       | \$ 15.00   | \$ 1.90               | -                               | \$ 1.90                  |
| 0.78208 | RSG    | 6/6/2012         | 6/20/2013 | \$ 26.20       | \$ 20.46   | \$ 5.74               | -                               | \$ 5.74                  |
| 0.78234 | DD     | 7/17/2012        | 9/27/2013 | \$ 46.25       | \$ 37.73   | \$ 8.52               | -                               | \$ 8.52                  |
| 0.78583 | MLNK   | 8/10/2012        | 9/27/2013 | \$ 2.15        | \$ 2.47    | \$ (0.31)             | -                               | \$ (0.31)                |
| 0.79066 | CCK    | 6/6/2012         | 6/24/2013 | \$ 31.26       | \$ 26.45   | \$ 4.82               | -                               | \$ 4.82                  |
| 0.79399 | SYPR   | 8/10/2012        | 9/27/2013 | \$ 2.48        | \$ 4.81    | \$ (2.33)             | -                               | \$ (2.33)                |
| 0.79715 | RSG    | 8/6/2012         | 9/27/2013 | \$ 26.86       | \$ 23.06   | \$ 3.80               | -                               | \$ 3.80                  |
| 0.79961 | TTEC   | 7/17/2012        | 9/27/2013 | \$ 20.09       | \$ 13.05   | \$ 7.04               | -                               | \$ 7.04                  |
| 0.80605 | PRE    | 6/6/2012         | 7/12/2013 | \$ 74.01       | \$ 58.26   | \$ 15.75              | -                               | \$ 15.75                 |
| 0.80716 | MLNK   | 6/5/2012         | 6/20/2013 | \$ 2.19        | \$ 3.37    | \$ (1.19)             | -                               | \$ (1.19)                |
| 0.81226 | RGA    | 8/16/2012        | 9/27/2013 | \$ 54.62       | \$ 46.74   | \$ 7.89               | -                               | \$ 7.89                  |
| 0.81340 | PRXL   | 6/6/2012         | 6/20/2013 | \$ 38.70       | \$ 21.66   | \$ 17.04              | -                               | \$ 17.04                 |
| 0.81345 | AMAT   | 6/5/2012         | 6/20/2013 | \$ 12.29       | \$ 8.28    | \$ 4.01               | -                               | \$ 4.01                  |
| 0.81357 | RBC    | 8/15/2012        | 9/27/2013 | \$ 55.48       | \$ 55.42   | \$ 0.06               | -                               | \$ 0.06                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.81368 | CAM    | 8/10/2012        | 9/27/2013 | \$ 48.06       | \$ 42.20   | \$ 5.86               | -                               | \$ 5.86                  |
| 0.81855 | AIT    | 6/6/2012         | 6/20/2013 | \$ 39.00       | \$ 29.57   | \$ 9.42               | -                               | \$ 9.42                  |
| 0.82337 | UNH    | 7/17/2012        | 9/27/2013 | \$ 59.22       | \$ 46.16   | \$ 13.05              | -                               | \$ 13.05                 |
| 0.83038 | DVN    | 8/10/2012        | 9/27/2013 | \$ 48.68       | \$ 49.53   | \$ (0.85)             | -                               | \$ (0.85)                |
| 0.83678 | PEP    | 9/10/2012        | 9/27/2013 | \$ 67.09       | \$ 60.22   | \$ 6.87               | -                               | \$ 6.87                  |
| 0.84447 | POR    | 6/6/2012         | 6/20/2013 | \$ 25.02       | \$ 21.66   | \$ 3.36               | -                               | \$ 3.36                  |
| 0.84528 | ELY    | 8/10/2012        | 9/27/2013 | \$ 5.93        | \$ 4.77    | \$ 1.16               | -                               | \$ 1.16                  |
| 0.84551 | DD     | 8/10/2012        | 9/27/2013 | \$ 49.99       | \$ 42.79   | \$ 7.20               | -                               | \$ 7.20                  |
| 0.85148 | HXL    | 6/18/2012        | 6/20/2013 | \$ 28.70       | \$ 20.84   | \$ 7.87               | -                               | \$ 7.87                  |
| 0.85272 | CAM    | 9/10/2012        | 9/27/2013 | \$ 50.37       | \$ 47.74   | \$ 2.63               | -                               | \$ 2.63                  |
| 0.85403 | SNPS   | 6/6/2012         | 6/20/2013 | \$ 30.53       | \$ 25.04   | \$ 5.49               | -                               | \$ 5.49                  |
| 0.85807 | SYPR   | 7/17/2012        | 9/27/2013 | \$ 2.68        | \$ 6.16    | \$ (3.49)             | -                               | \$ (3.49)                |
| 0.86059 | XOM    | 8/10/2012        | 9/27/2013 | \$ 74.72       | \$ 75.61   | \$ (0.89)             | -                               | \$ (0.89)                |
| 0.86073 | MLNK   | 7/17/2012        | 9/27/2013 | \$ 2.36        | \$ 2.75    | \$ (0.39)             | -                               | \$ (0.39)                |
| 0.86231 | MMC    | 7/17/2012        | 9/27/2013 | \$ 37.80       | \$ 28.00   | \$ 9.79               | -                               | \$ 9.79                  |
| 0.86248 | NOV    | 8/10/2012        | 9/27/2013 | \$ 67.42       | \$ 66.23   | \$ 1.19               | -                               | \$ 1.19                  |
| 0.86440 | VTR    | 8/10/2012        | 9/27/2013 | \$ 54.00       | \$ 55.39   | \$ (1.39)             | -                               | \$ (1.39)                |
| 0.86698 | HXL    | 6/18/2012        | 9/27/2013 | \$ 33.51       | \$ 21.22   | \$ 12.29              | -                               | \$ 12.29                 |
| 0.86792 | DRIV   | 6/6/2012         | 6/20/2013 | \$ 15.75       | \$ 13.21   | \$ 2.55               | -                               | \$ 2.55                  |
| 0.86957 | DVN    | 9/10/2012        | 9/27/2013 | \$ 50.97       | \$ 51.80   | \$ (0.82)             | -                               | \$ (0.82)                |
| 0.87095 | CQB    | 8/10/2012        | 9/27/2013 | \$ 11.28       | \$ 4.97    | \$ 6.31               | -                               | \$ 6.31                  |
| 0.88068 | DAR    | 8/10/2012        | 9/27/2013 | \$ 18.05       | \$ 14.38   | \$ 3.67               | -                               | \$ 3.67                  |
| 0.88132 | PRGS   | 8/10/2012        | 9/27/2013 | \$ 22.89       | \$ 17.46   | \$ 5.43               | -                               | \$ 5.43                  |
| 0.88486 | DD     | 9/10/2012        | 9/27/2013 | \$ 52.31       | \$ 44.93   | \$ 7.38               | -                               | \$ 7.38                  |
| 0.89773 | KSS    | 7/17/2012        | 9/27/2013 | \$ 46.69       | \$ 44.41   | \$ 2.28               | -                               | \$ 2.28                  |
| 0.89975 | PEP    | 8/10/2012        | 9/27/2013 | \$ 72.14       | \$ 64.77   | \$ 7.37               | -                               | \$ 7.37                  |
| 0.90116 | NOV    | 9/10/2012        | 9/27/2013 | \$ 70.44       | \$ 73.64   | \$ (3.20)             | -                               | \$ (3.20)                |
| 0.90569 | KORS   | 7/17/2012        | 9/6/2013  | \$ 68.69       | \$ 35.25   | \$ 33.43              | -                               | \$ 33.43                 |
| 0.90691 | PMTC   | 7/17/2012        | 9/27/2013 | \$ 25.79       | \$ 16.94   | \$ 8.85               | -                               | \$ 8.85                  |
| 0.90805 | ELY    | 6/5/2012         | 6/20/2013 | \$ 5.98        | \$ 4.89    | \$ 1.08               | -                               | \$ 1.08                  |
| 0.90814 | CIT    | 9/10/2012        | 9/27/2013 | \$ 43.95       | \$ 36.20   | \$ 7.75               | -                               | \$ 7.75                  |

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.90966 | ORI    | 6/5/2012      | 6/20/2013 | \$ 11.41       | \$ 8.85    | \$ 2.56            | -                         | \$ 2.56               |
| 0.91046 | RWX    | 7/17/2012     | 9/27/2013 | \$ 38.40       | \$ 34.17   | \$ 4.23            | -                         | \$ 4.23               |
| 0.91095 | RJF    | 8/7/2012      | 9/27/2013 | \$ 38.25       | \$ 31.35   | \$ 6.90            | -                         | \$ 6.90               |
| 0.91127 | DOX    | 8/10/2012     | 9/27/2013 | \$ 33.75       | \$ 29.04   | \$ 4.71            | -                         | \$ 4.71               |
| 0.91190 | FNFG   | 6/5/2012      | 6/20/2013 | \$ 8.94        | \$ 7.08    | \$ 1.86            | -                         | \$ 1.86               |
| 0.91248 | VICR   | 6/5/2012      | 6/20/2013 | \$ 4.74        | \$ 5.72    | \$ (0.98)          | -                         | \$ (0.98)             |
| 0.91329 | DOX    | 7/17/2012     | 9/27/2013 | \$ 33.83       | \$ 27.24   | \$ 6.59            | -                         | \$ 6.59               |
| 0.91825 | VICR   | 7/17/2012     | 9/27/2013 | \$ 7.51        | \$ 6.30    | \$ 1.21            | -                         | \$ 1.21               |
| 0.92203 | MLM    | 6/6/2012      | 9/27/2013 | \$ 89.44       | \$ 61.49   | \$ 27.94           | -                         | \$ 27.94              |
| 0.92254 | ELY    | 7/17/2012     | 9/27/2013 | \$ 6.47        | \$ 4.97    | \$ 1.50            | -                         | \$ 1.50               |
| 0.92442 | ANN    | 6/6/2012      | 6/20/2013 | \$ 29.41       | \$ 23.66   | \$ 5.74            | -                         | \$ 5.74               |
| 0.92924 | ARW    | 6/6/2012      | 6/20/2013 | \$ 36.66       | \$ 31.89   | \$ 4.77            | -                         | \$ 4.77               |
| 0.93247 | ATML   | 8/10/2012     | 9/27/2013 | \$ 6.82        | \$ 5.59    | \$ 1.22            | -                         | \$ 1.22               |
| 0.93283 | MIG    | 8/10/2012     | 9/27/2013 | \$ 6.03        | \$ 6.49    | \$ (0.47)          | -                         | \$ (0.47)             |
| 0.93750 | IR     | 7/17/2012     | 9/27/2013 | \$ 61.43       | \$ 37.83   | \$ 23.60           | -                         | \$ 23.60              |
| 0.94224 | MRCY   | 6/5/2012      | 6/20/2013 | \$ 8.80        | \$ 11.04   | \$ (2.23)          | -                         | \$ (2.23)             |
| 0.94340 | AIT    | 6/6/2012      | 8/6/2013  | \$ 49.92       | \$ 34.08   | \$ 15.84           | -                         | \$ 15.84              |
| 0.94351 | SNA    | 6/6/2012      | 7/24/2013 | \$ 89.66       | \$ 56.96   | \$ 32.69           | -                         | \$ 32.69              |
| 0.94755 | LPNT   | 9/12/2012     | 9/27/2013 | \$ 43.74       | \$ 39.38   | \$ 4.36            | -                         | \$ 4.36               |
| 0.94930 | DVA    | 6/6/2012      | 9/27/2013 | \$ 54.10       | \$ 39.57   | \$ 14.53           | -                         | \$ 14.53              |
| 0.94968 | FNFG   | 7/17/2012     | 9/27/2013 | \$ 9.72        | \$ 7.36    | \$ 2.35            | -                         | \$ 2.35               |
| 0.95380 | VOLC   | 6/6/2012      | 6/20/2013 | \$ 16.99       | \$ 26.71   | \$ (9.73)          | -                         | \$ (9.73)             |
| 0.95641 | DAR    | 6/5/2012      | 6/20/2013 | \$ 18.07       | \$ 13.36   | \$ 4.71            | -                         | \$ 4.71               |
| 0.96036 | CSII   | 8/10/2012     | 9/27/2013 | \$ 19.24       | \$ 8.79    | \$ 10.44           | -                         | \$ 10.44              |
| 0.97301 | RCKB   | 7/17/2012     | 9/27/2013 | \$ 12.69       | \$ 11.62   | \$ 1.06            | -                         | \$ 1.06               |
| 0.97316 | SHOO   | 6/6/2012      | 6/20/2013 | \$ 46.03       | \$ 38.82   | \$ 7.21            | -                         | \$ 7.21               |
| 0.97413 | KMT    | 6/6/2012      | 9/27/2013 | \$ 44.61       | \$ 34.01   | \$ 10.60           | -                         | \$ 10.60              |
| 0.97767 | PEGA   | 6/6/2012      | 6/20/2013 | \$ 31.55       | \$ 32.18   | \$ (0.63)          | -                         | \$ (0.63)             |
| 0.97874 | BHLB   | 6/6/2012      | 6/20/2013 | \$ 26.06       | \$ 20.34   | \$ 5.73            | -                         | \$ 5.73               |
| 0.98018 | HSIC   | 6/6/2012      | 9/27/2013 | \$ 101.63      | \$ 73.07   | \$ 28.56           | -                         | \$ 28.56              |
| 0.98314 | CCK    | 6/6/2012      | 6/20/2013 | \$ 39.89       | \$ 32.89   | \$ 7.00            | -                         | \$ 7.00               |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 0.98629 | CACH   | 7/17/2012     | 9/27/2013 | \$ 5.95        | \$ 3.44    | \$ 2.50            | -                         | \$ 2.50               |
| 0.98642 | XOM    | 9/10/2012     | 9/27/2013 | \$ 85.64       | \$ 88.62   | \$ (2.97)          | -                         | \$ (2.97)             |
| 0.99014 | VNTV   | 8/13/2012     | 9/27/2013 | \$ 27.19       | \$ 21.98   | \$ 5.21            | -                         | \$ 5.21               |
| 0.99125 | MIG    | 6/5/2012      | 6/20/2013 | \$ 7.83        | \$ 8.71    | \$ (0.88)          | -                         | \$ (0.88)             |
| 0.99385 | DAR    | 7/17/2012     | 9/27/2013 | \$ 20.36       | \$ 16.50   | \$ 3.86            | -                         | \$ 3.86               |
| 0.99596 | REXX   | 6/5/2012      | 6/20/2013 | \$ 17.46       | \$ 9.57    | \$ 7.89            | -                         | \$ 7.89               |
| 0.99701 | PLCE   | 6/6/2012      | 6/27/2013 | \$ 54.35       | \$ 46.58   | \$ 7.78            | -                         | \$ 7.78               |
| 1.00122 | CSII   | 6/5/2012      | 6/20/2013 | \$ 20.87       | \$ 8.51    | \$ 12.37           | -                         | \$ 12.37              |
| 1.00458 | RWX    | 7/18/2012     | 9/27/2013 | \$ 42.36       | \$ 37.72   | \$ 4.64            | -                         | \$ 4.64               |
| 1.00570 | ORI    | 8/10/2012     | 9/27/2013 | \$ 15.38       | \$ 8.63    | \$ 6.75            | -                         | \$ 6.75               |
| 1.01024 | BA     | 8/10/2012     | 9/27/2013 | \$ 119.59      | \$ 74.73   | \$ 44.86           | -                         | \$ 44.86              |
| 1.01522 | DVA    | 7/17/2012     | 9/27/2013 | \$ 57.86       | \$ 50.32   | \$ 7.54            | -                         | \$ 7.54               |
| 1.01677 | CRI    | 6/6/2012      | 7/29/2013 | \$ 72.99       | \$ 53.28   | \$ 19.71           | -                         | \$ 19.71              |
| 1.01796 | RCKB   | 8/10/2012     | 9/27/2013 | \$ 13.27       | \$ 12.12   | \$ 1.15            | -                         | \$ 1.15               |
| 1.02135 | ATML   | 7/17/2012     | 9/27/2013 | \$ 7.47        | \$ 6.20    | \$ 1.27            | -                         | \$ 1.27               |
| 1.02163 | ARIA   | 6/5/2012      | 6/20/2013 | \$ 17.17       | \$ 16.42   | \$ 0.75            | -                         | \$ 0.75               |
| 1.02263 | A      | 9/10/2012     | 9/27/2013 | \$ 52.77       | \$ 39.32   | \$ 13.45           | -                         | \$ 13.45              |
| 1.02304 | VTR    | 9/10/2012     | 9/27/2013 | \$ 63.91       | \$ 65.84   | \$ (1.93)          | -                         | \$ (1.93)             |
| 1.02330 | TJX    | 7/17/2012     | 9/27/2013 | \$ 57.76       | \$ 46.38   | \$ 11.37           | -                         | \$ 11.37              |
| 1.02348 | MIG    | 7/17/2012     | 9/27/2013 | \$ 6.61        | \$ 8.96    | \$ (2.35)          | -                         | \$ (2.35)             |
| 1.02863 | CSII   | 7/17/2012     | 9/27/2013 | \$ 20.60       | \$ 10.56   | \$ 10.04           | -                         | \$ 10.04              |
| 1.02982 | FIS    | 7/17/2012     | 9/27/2013 | \$ 47.80       | \$ 35.44   | \$ 12.36           | -                         | \$ 12.36              |
| 1.03189 | AAPL   | 6/6/2012      | 6/20/2013 | \$ 429.79      | \$ 591.44  | \$ (161.65)        | -                         | \$ (161.65)           |
| 1.03490 | AMAG   | 6/6/2012      | 6/20/2013 | \$ 21.06       | \$ 14.58   | \$ 6.48            | -                         | \$ 6.48               |
| 1.03688 | IP     | 9/10/2012     | 9/27/2013 | \$ 47.17       | \$ 36.51   | \$ 10.66           | -                         | \$ 10.66              |
| 1.03928 | TMO    | 7/17/2012     | 9/27/2013 | \$ 96.50       | \$ 54.36   | \$ 42.14           | -                         | \$ 42.14              |
| 1.04518 | V      | 7/17/2012     | 9/27/2013 | \$ 201.57      | \$ 133.62  | \$ 67.96           | -                         | \$ 67.96              |
| 1.04543 | ARIA   | 8/10/2012     | 9/27/2013 | \$ 19.52       | \$ 20.09   | \$ (0.57)          | -                         | \$ (0.57)             |
| 1.04802 | SNPS   | 8/13/2012     | 9/27/2013 | \$ 39.48       | \$ 31.98   | \$ 7.50            | -                         | \$ 7.50               |
| 1.05169 | UTX    | 6/6/2012      | 6/20/2013 | \$ 97.21       | \$ 76.70   | \$ 20.51           | -                         | \$ 20.51              |
| 1.05435 | PRFT   | 8/10/2012     | 9/27/2013 | \$ 19.41       | \$ 12.16   | \$ 7.25            | -                         | \$ 7.25               |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.05518 | PRXL   | 8/24/2012        | 9/27/2013 | \$ 52.76       | \$ 28.53   | \$ 24.23              | -                               | \$ 24.23                 |
| 1.05570 | BA     | 9/10/2012        | 9/27/2013 | \$ 124.97      | \$ 75.51   | \$ 49.46              | -                               | \$ 49.46                 |
| 1.05596 | CIT    | 8/10/2012        | 9/27/2013 | \$ 51.10       | \$ 40.45   | \$ 10.65              | -                               | \$ 10.65                 |
| 1.05610 | CASY   | 9/14/2012        | 9/27/2013 | \$ 77.78       | \$ 62.83   | \$ 14.95              | -                               | \$ 14.95                 |
| 1.05922 | FNFG   | 8/10/2012        | 9/27/2013 | \$ 10.84       | \$ 8.59    | \$ 2.25               | -                               | \$ 2.25                  |
| 1.05926 | ARIA   | 7/17/2012        | 9/27/2013 | \$ 19.78       | \$ 18.99   | \$ 0.79               | -                               | \$ 0.79                  |
| 1.06435 | HSON   | 6/5/2012         | 6/20/2013 | \$ 2.60        | \$ 4.08    | \$ (1.49)             | -                               | \$ (1.49)                |
| 1.06716 | IR     | 9/10/2012        | 9/27/2013 | \$ 69.92       | \$ 49.03   | \$ 20.89              | -                               | \$ 20.89                 |
| 1.07063 | LH     | 6/6/2012         | 6/20/2013 | \$ 106.04      | \$ 90.80   | \$ 15.23              | -                               | \$ 15.23                 |
| 1.07326 | VICR   | 8/10/2012        | 9/27/2013 | \$ 8.78        | \$ 7.11    | \$ 1.67               | -                               | \$ 1.67                  |
| 1.07468 | CXO    | 6/6/2012         | 9/27/2013 | \$ 115.89      | \$ 97.52   | \$ 18.38              | -                               | \$ 18.38                 |
| 1.08366 | AMSC   | 6/5/2012         | 6/20/2013 | \$ 2.41        | \$ 4.25    | \$ (1.84)             | -                               | \$ (1.84)                |
| 1.08811 | MENT   | 6/5/2012         | 6/20/2013 | \$ 21.00       | \$ 15.17   | \$ 5.84               | -                               | \$ 5.84                  |
| 1.08814 | ORI    | 7/17/2012        | 9/27/2013 | \$ 16.64       | \$ 8.96    | \$ 7.68               | -                               | \$ 7.68                  |
| 1.09015 | DHR    | 7/17/2012        | 9/27/2013 | \$ 76.09       | \$ 55.45   | \$ 20.64              | -                               | \$ 20.64                 |
| 1.09086 | EGN    | 6/6/2012         | 8/6/2013  | \$ 70.50       | \$ 49.03   | \$ 21.48              | -                               | \$ 21.48                 |
| 1.09116 | ABT    | 7/17/2012        | 9/27/2013 | \$ 36.12       | \$ 34.79   | \$ 1.33               | -                               | \$ 1.33                  |
| 1.09117 | ABBV   | 7/17/2012        | 9/27/2013 | \$ 48.35       | \$ 37.73   | \$ 10.62              | -                               | \$ 10.62                 |
| 1.09258 | PRFT   | 6/5/2012         | 6/20/2013 | \$ 14.47       | \$ 12.45   | \$ 2.01               | -                               | \$ 2.01                  |
| 1.09394 | JOSB   | 6/6/2012         | 6/20/2013 | \$ 43.68       | \$ 45.63   | \$ (1.94)             | -                               | \$ (1.94)                |
| 1.09775 | HSON   | 7/17/2012        | 9/27/2013 | \$ 3.58        | \$ 4.79    | \$ (1.21)             | -                               | \$ (1.21)                |
| 1.10113 | AET    | 7/17/2012        | 9/27/2013 | \$ 70.90       | \$ 42.44   | \$ 28.46              | -                               | \$ 28.46                 |
| 1.10193 | CTL    | 7/17/2012        | 9/27/2013 | \$ 35.03       | \$ 45.72   | \$ (10.69)            | -                               | \$ (10.69)               |
| 1.10346 | DM     | 8/10/2012        | 9/27/2013 | \$ 2.38        | \$ 4.93    | \$ (2.55)             | -                               | \$ (2.55)                |
| 1.10467 | KORS   | 8/10/2012        | 9/6/2013  | \$ 83.78       | \$ 47.26   | \$ 36.52              | -                               | \$ 36.52                 |
| 1.10474 | PMTG   | 9/10/2012        | 9/27/2013 | \$ 31.42       | \$ 24.48   | \$ 6.94               | -                               | \$ 6.94                  |
| 1.11452 | LTXC   | 8/10/2012        | 9/27/2013 | \$ 7.31        | \$ 6.57    | \$ 0.74               | -                               | \$ 0.74                  |
| 1.11705 | MRCY   | 8/10/2012        | 9/27/2013 | \$ 10.95       | \$ 9.61    | \$ 1.33               | -                               | \$ 1.33                  |
| 1.11883 | MENT   | 8/10/2012        | 9/27/2013 | \$ 25.90       | \$ 17.71   | \$ 8.19               | -                               | \$ 8.19                  |
| 1.11906 | VNTV   | 9/10/2012        | 9/27/2013 | \$ 30.73       | \$ 25.37   | \$ 5.36               | -                               | \$ 5.36                  |
| 1.12261 | MMC    | 8/10/2012        | 9/27/2013 | \$ 49.20       | \$ 38.10   | \$ 11.11              | -                               | \$ 11.11                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 1.12424 | DM     | 6/5/2012      | 6/20/2013 | \$ 1.82        | \$ 8.41    | \$ (6.58)          | -                         | \$ (6.58)             |
| 1.12429 | MENT   | 7/17/2012     | 9/27/2013 | \$ 26.03       | \$ 16.77   | \$ 9.26            | -                         | \$ 9.26               |
| 1.12495 | AVT    | 6/6/2012      | 6/20/2013 | \$ 37.70       | \$ 34.46   | \$ 3.24            | -                         | \$ 3.24               |
| 1.12701 | BLK    | 6/6/2012      | 6/20/2013 | \$ 282.87      | \$ 191.48  | \$ 91.39           | -                         | \$ 91.39              |
| 1.13268 | UNH    | 9/10/2012     | 9/27/2013 | \$ 81.46       | \$ 60.85   | \$ 20.62           | -                         | \$ 20.62              |
| 1.13377 | RTI    | 6/6/2012      | 6/20/2013 | \$ 31.54       | \$ 24.79   | \$ 6.75            | -                         | \$ 6.75               |
| 1.13863 | BECN   | 6/6/2012      | 9/27/2013 | \$ 42.37       | \$ 26.87   | \$ 15.50           | -                         | \$ 15.50              |
| 1.13978 | PRFT   | 7/17/2012     | 9/27/2013 | \$ 20.98       | \$ 13.93   | \$ 7.05            | -                         | \$ 7.05               |
| 1.14113 | ACCO   | 8/10/2012     | 9/27/2013 | \$ 7.67        | \$ 8.55    | \$ (0.88)          | -                         | \$ (0.88)             |
| 1.14183 | COLB   | 6/6/2012      | 6/20/2013 | \$ 25.26       | \$ 20.44   | \$ 4.82            | -                         | \$ 4.82               |
| 1.17481 | DM     | 7/17/2012     | 9/27/2013 | \$ 2.54        | \$ 7.36    | \$ (4.83)          | -                         | \$ (4.83)             |
| 1.17599 | MMC    | 9/10/2012     | 9/27/2013 | \$ 51.54       | \$ 40.25   | \$ 11.29           | -                         | \$ 11.29              |
| 1.17608 | IR     | 8/10/2012     | 9/27/2013 | \$ 77.06       | \$ 52.45   | \$ 24.60           | -                         | \$ 24.60              |
| 1.17718 | LTXC   | 6/5/2012      | 6/20/2013 | \$ 6.58        | \$ 7.94    | \$ (1.36)          | -                         | \$ (1.36)             |
| 1.17951 | LH     | 6/6/2012      | 7/9/2013  | \$ 113.16      | \$ 100.04  | \$ 13.13           | -                         | \$ 13.13              |
| 1.18477 | AUY    | 6/6/2012      | 9/27/2013 | \$ 12.37       | \$ 19.26   | \$ (6.89)          | -                         | \$ (6.89)             |
| 1.18665 | HBAN   | 8/10/2012     | 9/27/2013 | \$ 9.75        | \$ 7.62    | \$ 2.14            | -                         | \$ 2.14               |
| 1.18833 | JDSU   | 9/12/2012     | 9/27/2013 | \$ 17.37       | \$ 15.00   | \$ 2.38            | -                         | \$ 2.38               |
| 1.19107 | NLS    | 8/10/2012     | 9/27/2013 | \$ 8.49        | \$ 3.00    | \$ 5.49            | -                         | \$ 5.49               |
| 1.19308 | LLTC   | 7/26/2012     | 9/27/2013 | \$ 47.25       | \$ 37.77   | \$ 9.47            | -                         | \$ 9.47               |
| 1.19634 | TMO    | 8/10/2012     | 9/27/2013 | \$ 111.08      | \$ 67.99   | \$ 43.09           | -                         | \$ 43.09              |
| 1.20020 | IP     | 8/10/2012     | 9/27/2013 | \$ 54.60       | \$ 39.57   | \$ 15.03           | -                         | \$ 15.03              |
| 1.20552 | REXX   | 7/17/2012     | 9/10/2013 | \$ 24.77       | \$ 15.17   | \$ 9.60            | -                         | \$ 9.60               |
| 1.20852 | MRCY   | 7/17/2012     | 9/27/2013 | \$ 11.84       | \$ 15.26   | \$ (3.42)          | -                         | \$ (3.42)             |
| 1.21636 | WPP    | 8/10/2012     | 9/27/2013 | \$ 15.68       | \$ 9.78    | \$ 5.90            | -                         | \$ 5.90               |
| 1.22217 | UNH    | 8/10/2012     | 9/27/2013 | \$ 87.90       | \$ 63.31   | \$ 24.59           | -                         | \$ 24.59              |
| 1.22316 | LTXC   | 7/17/2012     | 9/27/2013 | \$ 8.02        | \$ 7.19    | \$ 0.84            | -                         | \$ 0.84               |
| 1.23396 | CSGS   | 6/6/2012      | 6/20/2013 | \$ 26.43       | \$ 20.43   | \$ 6.00            | -                         | \$ 6.00               |
| 1.23737 | KSS    | 9/10/2012     | 9/27/2013 | \$ 64.36       | \$ 65.84   | \$ (1.48)          | -                         | \$ (1.48)             |
| 1.24480 | ROL    | 6/6/2012      | 9/27/2013 | \$ 33.07       | \$ 26.74   | \$ 6.34            | -                         | \$ 6.34               |
| 1.24861 | TMO    | 9/10/2012     | 9/27/2013 | \$ 115.93      | \$ 73.95   | \$ 41.98           | -                         | \$ 41.98              |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.24907 | EA     | 7/17/2012        | 9/27/2013 | \$ 32.51       | \$ 14.22   | \$ 18.29              | -                               | \$ 18.29                 |
| 1.24971 | ACCO   | 7/17/2012        | 9/27/2013 | \$ 8.40        | \$ 11.98   | \$ (3.58)             | -                               | \$ (3.58)                |
| 1.25381 | TEX    | 6/6/2012         | 6/20/2013 | \$ 35.18       | \$ 22.14   | \$ 13.04              | -                               | \$ 13.04                 |
| 1.26055 | DHR    | 8/10/2012        | 9/27/2013 | \$ 87.99       | \$ 66.82   | \$ 21.17              | -                               | \$ 21.17                 |
| 1.26424 | NU     | 8/10/2012        | 9/27/2013 | \$ 52.48       | \$ 49.82   | \$ 2.66               | -                               | \$ 2.66                  |
| 1.27128 | MLNK   | 6/6/2012         | 6/20/2013 | \$ 3.45        | \$ 5.43    | \$ (1.98)             | -                               | \$ (1.98)                |
| 1.27487 | NU     | 7/17/2012        | 9/27/2013 | \$ 52.92       | \$ 50.98   | \$ 1.94               | -                               | \$ 1.94                  |
| 1.27699 | DOX    | 6/6/2012         | 6/20/2013 | \$ 45.73       | \$ 37.26   | \$ 8.47               | -                               | \$ 8.47                  |
| 1.27903 | TTEC   | 6/6/2012         | 6/20/2013 | \$ 29.89       | \$ 19.75   | \$ 10.15              | -                               | \$ 10.15                 |
| 1.27982 | RDEN   | 6/11/2012        | 9/27/2013 | \$ 48.28       | \$ 45.52   | \$ 2.76               | -                               | \$ 2.76                  |
| 1.29884 | WPP    | 6/5/2012         | 6/20/2013 | \$ 14.91       | \$ 11.49   | \$ 3.42               | -                               | \$ 3.42                  |
| 1.30007 | ABT    | 8/10/2012        | 9/27/2013 | \$ 43.03       | \$ 41.19   | \$ 1.84               | -                               | \$ 1.84                  |
| 1.30007 | ABBV   | 8/10/2012        | 9/27/2013 | \$ 57.61       | \$ 44.67   | \$ 12.93              | -                               | \$ 12.93                 |
| 1.30237 | SBAC   | 6/6/2012         | 9/27/2013 | \$ 103.71      | \$ 67.98   | \$ 35.73              | -                               | \$ 35.73                 |
| 1.30260 | CFN    | 6/6/2012         | 6/20/2013 | \$ 47.23       | \$ 31.91   | \$ 15.32              | -                               | \$ 15.32                 |
| 1.30507 | NR     | 8/10/2012        | 9/27/2013 | \$ 16.31       | \$ 9.12    | \$ 7.19               | -                               | \$ 7.19                  |
| 1.31131 | HBAN   | 7/17/2012        | 9/27/2013 | \$ 10.78       | \$ 8.65    | \$ 2.13               | -                               | \$ 2.13                  |
| 1.31404 | DHR    | 9/10/2012        | 9/27/2013 | \$ 91.72       | \$ 71.45   | \$ 20.27              | -                               | \$ 20.27                 |
| 1.31609 | SNA    | 6/6/2012         | 9/27/2013 | \$ 131.00      | \$ 79.46   | \$ 51.55              | -                               | \$ 51.55                 |
| 1.31852 | BGG    | 6/6/2012         | 6/20/2013 | \$ 24.88       | \$ 22.29   | \$ 2.59               | -                               | \$ 2.59                  |
| 1.32712 | CACH   | 8/10/2012        | 9/27/2013 | \$ 8.00        | \$ 3.47    | \$ 4.53               | -                               | \$ 4.53                  |
| 1.32862 | DVA    | 8/10/2012        | 9/27/2013 | \$ 75.72       | \$ 63.59   | \$ 12.12              | -                               | \$ 12.12                 |
| 1.32899 | RDEN   | 6/28/2012        | 9/27/2013 | \$ 50.13       | \$ 50.33   | \$ (0.20)             | -                               | \$ (0.20)                |
| 1.33093 | KSS    | 8/10/2012        | 9/27/2013 | \$ 69.22       | \$ 67.70   | \$ 1.52               | -                               | \$ 1.52                  |
| 1.33372 | WPP    | 7/17/2012        | 9/27/2013 | \$ 17.19       | \$ 13.13   | \$ 4.06               | -                               | \$ 4.06                  |
| 1.33691 | CFN    | 6/6/2012         | 9/27/2013 | \$ 49.31       | \$ 32.75   | \$ 16.55              | -                               | \$ 16.55                 |
| 1.34827 | TWX    | 9/10/2012        | 9/27/2013 | \$ 89.39       | \$ 58.66   | \$ 30.73              | -                               | \$ 30.73                 |
| 1.35035 | FIS    | 8/10/2012        | 9/27/2013 | \$ 62.68       | \$ 41.91   | \$ 20.78              | -                               | \$ 20.78                 |
| 1.35074 | HCN    | 6/6/2012         | 9/27/2013 | \$ 85.06       | \$ 71.99   | \$ 13.07              | -                               | \$ 13.07                 |
| 1.35828 | ABT    | 9/10/2012        | 9/27/2013 | \$ 44.96       | \$ 43.86   | \$ 1.10               | -                               | \$ 1.10                  |
| 1.35828 | ABBV   | 9/10/2012        | 9/27/2013 | \$ 60.19       | \$ 47.56   | \$ 12.62              | -                               | \$ 12.62                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.36450 | CFN    | 8/28/2012        | 9/27/2013 | \$ 50.32       | \$ 36.27   | \$ 14.05              | -                               | \$ 14.05                 |
| 1.38064 | IDTI   | 7/17/2012        | 9/27/2013 | \$ 12.94       | \$ 6.84    | \$ 6.09               | -                               | \$ 6.09                  |
| 1.38135 | TQNT   | 8/10/2012        | 9/27/2013 | \$ 11.08       | \$ 8.14    | \$ 2.94               | -                               | \$ 2.94                  |
| 1.38277 | DY     | 6/6/2012         | 6/20/2013 | \$ 31.22       | \$ 27.21   | \$ 4.01               | -                               | \$ 4.01                  |
| 1.38730 | SIRO   | 6/6/2012         | 9/27/2013 | \$ 92.89       | \$ 60.09   | \$ 32.80              | -                               | \$ 32.80                 |
| 1.39281 | QCOM   | 8/10/2012        | 9/27/2013 | \$ 93.67       | \$ 86.15   | \$ 7.52               | -                               | \$ 7.52                  |
| 1.39438 | NR     | 6/5/2012         | 6/20/2013 | \$ 15.09       | \$ 7.68    | \$ 7.41               | -                               | \$ 7.41                  |
| 1.39439 | HSON   | 8/10/2012        | 9/27/2013 | \$ 4.55        | \$ 5.63    | \$ (1.08)             | -                               | \$ (1.08)                |
| 1.40145 | TJX    | 9/10/2012        | 9/27/2013 | \$ 79.10       | \$ 64.59   | \$ 14.51              | -                               | \$ 14.51                 |
| 1.40809 | ATMI   | 6/6/2012         | 6/20/2013 | \$ 33.61       | \$ 27.88   | \$ 5.73               | -                               | \$ 5.73                  |
| 1.41615 | DSX    | 6/6/2012         | 6/20/2013 | \$ 13.51       | \$ 10.68   | \$ 2.83               | -                               | \$ 2.83                  |
| 1.42476 | CRM    | 8/10/2012        | 9/27/2013 | \$ 74.77       | \$ 49.71   | \$ 25.06              | -                               | \$ 25.06                 |
| 1.42841 | NR     | 7/17/2012        | 9/27/2013 | \$ 17.86       | \$ 9.05    | \$ 8.80               | -                               | \$ 8.80                  |
| 1.43242 | VRSK   | 6/6/2012         | 9/27/2013 | \$ 93.42       | \$ 67.61   | \$ 25.81              | -                               | \$ 25.81                 |
| 1.43269 | WEC    | 7/17/2012        | 9/27/2013 | \$ 57.64       | \$ 58.63   | \$ (1.00)             | -                               | \$ (1.00)                |
| 1.43382 | EA     | 6/6/2012         | 6/20/2013 | \$ 31.93       | \$ 19.61   | \$ 12.32              | -                               | \$ 12.32                 |
| 1.43741 | YUM    | 6/6/2012         | 6/18/2013 | \$ 103.64      | \$ 96.01   | \$ 7.63               | -                               | \$ 7.63                  |
| 1.44094 | CTL    | 8/10/2012        | 9/27/2013 | \$ 45.81       | \$ 61.25   | \$ (15.44)            | -                               | \$ (15.44)               |
| 1.44427 | BECN   | 6/6/2012         | 6/20/2013 | \$ 55.75       | \$ 34.08   | \$ 21.67              | -                               | \$ 21.67                 |
| 1.44434 | AET    | 8/10/2012        | 9/27/2013 | \$ 93.00       | \$ 54.22   | \$ 38.79              | -                               | \$ 38.79                 |
| 1.44612 | CQB    | 6/6/2012         | 6/20/2013 | \$ 14.77       | \$ 7.81    | \$ 6.96               | -                               | \$ 6.96                  |
| 1.45159 | DVA    | 6/6/2012         | 6/20/2013 | \$ 178.33      | \$ 121.01  | \$ 57.32              | -                               | \$ 57.32                 |
| 1.45206 | TWX    | 8/10/2012        | 9/27/2013 | \$ 96.27       | \$ 61.84   | \$ 34.43              | -                               | \$ 34.43                 |
| 1.45978 | TQNT   | 6/5/2012         | 6/20/2013 | \$ 9.94        | \$ 7.66    | \$ 2.28               | -                               | \$ 2.28                  |
| 1.47103 | V      | 6/6/2012         | 6/20/2013 | \$ 263.71      | \$ 170.51  | \$ 93.20              | -                               | \$ 93.20                 |
| 1.48201 | GPC    | 6/6/2012         | 6/20/2013 | \$ 113.38      | \$ 89.66   | \$ 23.72              | -                               | \$ 23.72                 |
| 1.48750 | AUY    | 6/6/2012         | 6/20/2013 | \$ 13.70       | \$ 24.19   | \$ (10.49)            | -                               | \$ (10.49)               |
| 1.50332 | TJX    | 8/10/2012        | 9/27/2013 | \$ 84.85       | \$ 67.12   | \$ 17.73              | -                               | \$ 17.73                 |
| 1.50729 | VNTV   | 6/11/2012        | 6/27/2013 | \$ 42.27       | \$ 33.22   | \$ 9.05               | -                               | \$ 9.05                  |
| 1.50751 | BPFH   | 6/5/2012         | 6/20/2013 | \$ 15.06       | \$ 12.06   | \$ 3.00               | -                               | \$ 3.00                  |
| 1.50959 | TQNT   | 7/17/2012        | 9/27/2013 | \$ 12.11       | \$ 7.54    | \$ 4.56               | -                               | \$ 4.56                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.51132 | CTL    | 9/10/2012        | 9/27/2013 | \$ 48.05       | \$ 63.65   | \$ (15.61)            | -                               | \$ (15.61)               |
| 1.51363 | IT     | 6/6/2012         | 9/27/2013 | \$ 90.53       | \$ 62.78   | \$ 27.75              | -                               | \$ 27.75                 |
| 1.51496 | XRAY   | 6/6/2012         | 9/27/2013 | \$ 65.64       | \$ 56.29   | \$ 9.35               | -                               | \$ 9.35                  |
| 1.51723 | AET    | 9/10/2012        | 9/27/2013 | \$ 97.69       | \$ 58.35   | \$ 39.35              | -                               | \$ 39.35                 |
| 1.52278 | SCVL   | 6/6/2012         | 6/20/2013 | \$ 35.62       | \$ 31.38   | \$ 4.24               | -                               | \$ 4.24                  |
| 1.52762 | NU     | 9/10/2012        | 9/27/2013 | \$ 63.41       | \$ 58.35   | \$ 5.06               | -                               | \$ 5.06                  |
| 1.53279 | VNTV   | 6/6/2012         | 6/20/2013 | \$ 40.60       | \$ 33.68   | \$ 6.92               | -                               | \$ 6.92                  |
| 1.53379 | AMSC   | 7/17/2012        | 9/27/2013 | \$ 3.57        | \$ 6.74    | \$ (3.17)             | -                               | \$ (3.17)                |
| 1.53509 | AIV    | 6/6/2012         | 6/20/2013 | \$ 42.38       | \$ 41.37   | \$ 1.02               | -                               | \$ 1.02                  |
| 1.53517 | A      | 9/17/2012        | 9/27/2013 | \$ 79.22       | \$ 61.11   | \$ 18.10              | -                               | \$ 18.10                 |
| 1.53669 | EA     | 6/6/2012         | 9/27/2013 | \$ 40.00       | \$ 21.02   | \$ 18.98              | -                               | \$ 18.98                 |
| 1.54401 | WRB    | 6/6/2012         | 9/27/2013 | \$ 66.82       | \$ 58.33   | \$ 8.49               | -                               | \$ 8.49                  |
| 1.54421 | WEC    | 9/10/2012        | 9/27/2013 | \$ 62.12       | \$ 58.66   | \$ 3.46               | -                               | \$ 3.46                  |
| 1.54650 | DVA    | 9/10/2012        | 9/27/2013 | \$ 88.14       | \$ 76.14   | \$ 12.00              | -                               | \$ 12.00                 |
| 1.54963 | WSH    | 6/6/2012         | 9/27/2013 | \$ 67.63       | \$ 55.17   | \$ 12.46              | -                               | \$ 12.46                 |
| 1.55706 | OKSB   | 6/6/2012         | 6/20/2013 | \$ 20.73       | \$ 14.54   | \$ 6.19               | -                               | \$ 6.19                  |
| 1.56052 | MEA    | 8/10/2012        | 9/27/2013 | \$ 2.25        | \$ 3.37    | \$ (1.12)             | -                               | \$ (1.12)                |
| 1.57755 | PMTC   | 6/6/2012         | 6/20/2013 | \$ 38.26       | \$ 31.83   | \$ 6.42               | -                               | \$ 6.42                  |
| 1.57874 | BPFH   | 8/10/2012        | 9/27/2013 | \$ 17.29       | \$ 15.53   | \$ 1.76               | -                               | \$ 1.76                  |
| 1.58483 | ARG    | 6/6/2012         | 9/27/2013 | \$ 167.72      | \$ 134.50  | \$ 33.22              | -                               | \$ 33.22                 |
| 1.58779 | DM     | 6/6/2012         | 6/20/2013 | \$ 2.57        | \$ 12.10   | \$ (9.52)             | -                               | \$ (9.52)                |
| 1.59243 | HSON   | 6/6/2012         | 6/20/2013 | \$ 3.89        | \$ 6.21    | \$ (2.32)             | -                               | \$ (2.32)                |
| 1.59724 | IDTI   | 8/10/2012        | 9/27/2013 | \$ 14.97       | \$ 8.97    | \$ 5.99               | -                               | \$ 5.99                  |
| 1.60158 | MEA    | 6/5/2012         | 6/20/2013 | \$ 2.18        | \$ 3.86    | \$ (1.67)             | -                               | \$ (1.67)                |
| 1.61194 | GILD   | 8/10/2012        | 9/27/2013 | \$ 102.23      | \$ 45.74   | \$ 56.49              | -                               | \$ 56.49                 |
| 1.61283 | PRGS   | 6/6/2012         | 6/20/2013 | \$ 34.89       | \$ 32.21   | \$ 2.68               | -                               | \$ 2.68                  |
| 1.63030 | NVAX   | 6/5/2012         | 6/20/2013 | \$ 3.10        | \$ 2.00    | \$ 1.10               | -                               | \$ 1.10                  |
| 1.64475 | EA     | 8/10/2012        | 9/27/2013 | \$ 42.81       | \$ 21.39   | \$ 21.42              | -                               | \$ 21.42                 |
| 1.64754 | VICR   | 6/6/2012         | 6/20/2013 | \$ 8.55        | \$ 10.54   | \$ (1.99)             | -                               | \$ (1.99)                |
| 1.64848 | FIS    | 9/10/2012        | 9/27/2013 | \$ 76.52       | \$ 53.67   | \$ 22.85              | -                               | \$ 22.85                 |
| 1.64950 | WEC    | 8/10/2012        | 9/27/2013 | \$ 66.36       | \$ 64.77   | \$ 1.59               | -                               | \$ 1.59                  |

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 1.65274 | DOV    | 6/6/2012         | 9/27/2013 | \$ 147.91      | \$ 91.49    | \$ 56.42              | \$ -                            | \$ 56.42                 |
| 1.65890 | MEA    | 7/17/2012        | 9/27/2013 | \$ 2.39        | \$ 3.73     | \$ (1.34)             | \$ -                            | \$ (1.34)                |
| 1.66273 | DAR    | 6/6/2012         | 6/20/2013 | \$ 31.41       | \$ 23.68    | \$ 7.74               | \$ -                            | \$ 7.74                  |
| 1.66910 | AMAT   | 7/17/2012        | 9/27/2013 | \$ 29.43       | \$ 17.28    | \$ 12.14              | \$ -                            | \$ 12.14                 |
| 1.66955 | ARIA   | 6/6/2012         | 6/20/2013 | \$ 28.05       | \$ 27.83    | \$ 0.22               | \$ -                            | \$ 0.22                  |
| 1.67076 | WSH    | 9/12/2012        | 9/27/2013 | \$ 72.91       | \$ 61.73    | \$ 11.18              | \$ -                            | \$ 11.18                 |
| 1.67764 | MIG    | 6/6/2012         | 6/20/2013 | \$ 13.25       | \$ 14.83    | \$ (1.57)             | \$ -                            | \$ (1.57)                |
| 1.69500 | ORCL   | 7/17/2012        | 9/27/2013 | \$ 57.21       | \$ 50.76    | \$ 6.45               | \$ -                            | \$ 6.45                  |
| 1.70415 | TXN    | 7/17/2012        | 9/27/2013 | \$ 68.26       | \$ 45.17    | \$ 23.09              | \$ -                            | \$ 23.09                 |
| 1.71273 | BPFH   | 7/17/2012        | 9/27/2013 | \$ 18.75       | \$ 15.53    | \$ 3.23               | \$ -                            | \$ 3.23                  |
| 1.72606 | AIT    | 6/6/2012         | 7/24/2013 | \$ 88.96       | \$ 62.36    | \$ 26.60              | \$ -                            | \$ 26.60                 |
| 1.73530 | ARW    | 6/6/2012         | 8/6/2013  | \$ 80.95       | \$ 59.55    | \$ 21.40              | \$ -                            | \$ 21.40                 |
| 1.73886 | TW     | 6/6/2012         | 9/27/2013 | \$ 187.73      | \$ 104.62   | \$ 83.11              | \$ -                            | \$ 83.11                 |
| 1.74154 | AMSC   | 8/10/2012        | 9/27/2013 | \$ 4.06        | \$ 7.19     | \$ (3.13)             | \$ -                            | \$ (3.13)                |
| 1.75694 | A      | 9/20/2012        | 9/27/2013 | \$ 90.66       | \$ 69.19    | \$ 21.46              | \$ -                            | \$ 21.46                 |
| 1.77596 | MXWL   | 6/6/2012         | 6/20/2013 | \$ 11.72       | \$ 12.34    | \$ (0.62)             | \$ -                            | \$ (0.62)                |
| 1.78734 | UFPI   | 6/6/2012         | 9/27/2013 | \$ 75.54       | \$ 64.81    | \$ 10.73              | \$ -                            | \$ 10.73                 |
| 1.80168 | FNFG   | 6/6/2012         | 6/20/2013 | \$ 17.66       | \$ 14.23    | \$ 3.43               | \$ -                            | \$ 3.43                  |
| 1.80981 | A      | 9/18/2012        | 9/27/2013 | \$ 93.39       | \$ 71.77    | \$ 21.62              | \$ -                            | \$ 21.62                 |
| 1.82040 | LPNT   | 6/6/2012         | 9/27/2013 | \$ 84.03       | \$ 67.84    | \$ 16.19              | \$ -                            | \$ 16.19                 |
| 1.82207 | PRFT   | 6/6/2012         | 6/20/2013 | \$ 24.13       | \$ 20.50    | \$ 3.63               | \$ -                            | \$ 3.63                  |
| 1.84198 | AIT    | 8/3/2012         | 8/14/2013 | \$ 92.73       | \$ 69.89    | \$ 22.85              | \$ -                            | \$ 22.85                 |
| 1.85985 | WPP    | 6/6/2012         | 6/20/2013 | \$ 21.36       | \$ 16.94    | \$ 4.41               | \$ -                            | \$ 4.41                  |
| 1.87451 | AMP    | 6/6/2012         | 6/20/2013 | \$ 148.77      | \$ 89.32    | \$ 59.45              | \$ -                            | \$ 59.45                 |
| 1.87460 | AAPL   | 6/6/2012         | 9/27/2013 | \$ 902.50      | \$ 1,074.45 | \$ (171.95)           | \$ -                            | \$ (171.95)              |
| 1.88510 | IEX    | 7/25/2012        | 9/27/2013 | \$ 122.25      | \$ 68.11    | \$ 54.14              | \$ -                            | \$ 54.14                 |
| 1.88704 | COL    | 6/6/2012         | 6/20/2013 | \$ 118.18      | \$ 95.37    | \$ 22.81              | \$ -                            | \$ 22.81                 |
| 1.89146 | ELY    | 6/6/2012         | 6/20/2013 | \$ 12.45       | \$ 10.46    | \$ 1.99               | \$ -                            | \$ 1.99                  |
| 1.89343 | TMO    | 6/6/2012         | 6/20/2013 | \$ 155.03      | \$ 94.77    | \$ 60.26              | \$ -                            | \$ 60.26                 |
| 1.90048 | TXN    | 8/10/2012        | 9/27/2013 | \$ 76.12       | \$ 56.27    | \$ 19.85              | \$ -                            | \$ 19.85                 |
| 1.90512 | GILD   | 7/17/2012        | 9/27/2013 | \$ 120.82      | \$ 50.27    | \$ 70.55              | \$ -                            | \$ 70.55                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.91336 | DOX    | 8/6/2012         | 9/27/2013 | \$ 70.87       | \$ 60.13   | \$ 10.74              | -                               | \$ 10.74                 |
| 1.91916 | CRM    | 7/17/2012        | 9/27/2013 | \$ 100.72      | \$ 60.93   | \$ 39.79              | -                               | \$ 39.79                 |
| 1.91999 | ORI    | 6/6/2012         | 6/20/2013 | \$ 24.08       | \$ 19.04   | \$ 5.04               | -                               | \$ 5.04                  |
| 1.92439 | SYPR   | 6/6/2012         | 6/20/2013 | \$ 6.08        | \$ 11.36   | \$ (5.28)             | -                               | \$ (5.28)                |
| 1.93717 | CMCSK  | 7/17/2012        | 9/27/2013 | \$ 83.40       | \$ 61.48   | \$ 21.92              | -                               | \$ 21.92                 |
| 1.94355 | PX     | 6/6/2012         | 6/20/2013 | \$ 226.82      | \$ 201.67  | \$ 25.15              | -                               | \$ 25.15                 |
| 1.94562 | LLNW   | 6/5/2012         | 6/20/2013 | \$ 4.46        | \$ 4.67    | \$ (0.21)             | -                               | \$ (0.21)                |
| 1.95502 | PMTG   | 9/10/2012        | 9/27/2013 | \$ 55.60       | \$ 43.32   | \$ 12.28              | -                               | \$ 12.28                 |
| 1.95667 | IVR    | 7/17/2012        | 9/27/2013 | \$ 125.95      | \$ 129.37  | \$ (3.42)             | -                               | \$ (3.42)                |
| 1.96196 | LTXC   | 6/6/2012         | 6/20/2013 | \$ 10.97       | \$ 13.57   | \$ (2.60)             | -                               | \$ (2.60)                |
| 1.99301 | MENT   | 6/6/2012         | 6/20/2013 | \$ 38.47       | \$ 28.90   | \$ 9.57               | -                               | \$ 9.57                  |
| 1.99727 | A      | 9/19/2012        | 9/27/2013 | \$ 103.06      | \$ 79.27   | \$ 23.79              | -                               | \$ 23.79                 |
| 2.00072 | TXN    | 9/10/2012        | 9/27/2013 | \$ 80.13       | \$ 58.04   | \$ 22.10              | -                               | \$ 22.10                 |
| 2.02529 | CAM    | 6/6/2012         | 6/20/2013 | \$ 125.17      | \$ 93.82   | \$ 31.35              | -                               | \$ 31.35                 |
| 2.02779 | RTI    | 6/15/2012        | 9/27/2013 | \$ 64.32       | \$ 42.95   | \$ 21.37              | -                               | \$ 21.37                 |
| 2.02786 | IGT    | 7/17/2012        | 9/10/2013 | \$ 40.49       | \$ 31.60   | \$ 8.89               | -                               | \$ 8.89                  |
| 2.03100 | KORS   | 6/6/2012         | 9/6/2013  | \$ 154.03      | \$ 79.27   | \$ 74.77              | -                               | \$ 74.77                 |
| 2.07093 | QCOM   | 6/6/2012         | 6/20/2013 | \$ 126.25      | \$ 121.06  | \$ 5.19               | -                               | \$ 5.19                  |
| 2.09016 | IGT    | 8/10/2012        | 9/10/2013 | \$ 41.74       | \$ 23.44   | \$ 18.29              | -                               | \$ 18.29                 |
| 2.09911 | LLTC   | 8/15/2012        | 9/27/2013 | \$ 83.13       | \$ 70.07   | \$ 13.06              | -                               | \$ 13.06                 |
| 2.10082 | IGT    | 9/10/2012        | 9/27/2013 | \$ 40.88       | \$ 26.17   | \$ 14.71              | -                               | \$ 14.71                 |
| 2.14060 | A      | 9/14/2012        | 9/27/2013 | \$ 110.46      | \$ 85.14   | \$ 25.31              | -                               | \$ 25.31                 |
| 2.16235 | CMCSK  | 8/10/2012        | 9/27/2013 | \$ 93.09       | \$ 72.97   | \$ 20.12              | -                               | \$ 20.12                 |
| 2.17033 | ASCMA  | 6/6/2012         | 9/27/2013 | \$ 173.85      | \$ 112.19  | \$ 61.66              | -                               | \$ 61.66                 |
| 2.17037 | ATML   | 6/6/2012         | 6/20/2013 | \$ 16.17       | \$ 15.80   | \$ 0.37               | -                               | \$ 0.37                  |
| 2.18979 | RCKB   | 6/6/2012         | 6/20/2013 | \$ 28.65       | \$ 24.89   | \$ 3.76               | -                               | \$ 3.76                  |
| 2.19047 | AMAT   | 8/10/2012        | 9/27/2013 | \$ 38.62       | \$ 26.08   | \$ 12.54              | -                               | \$ 12.54                 |
| 2.19649 | IVR    | 7/18/2012        | 9/27/2013 | \$ 141.39      | \$ 144.05  | \$ (2.66)             | -                               | \$ (2.66)                |
| 2.20906 | HBHC   | 6/6/2012         | 9/27/2013 | \$ 68.99       | \$ 62.32   | \$ 6.67               | -                               | \$ 6.67                  |
| 2.25488 | CMCSK  | 9/10/2012        | 9/27/2013 | \$ 97.07       | \$ 75.51   | \$ 21.56              | -                               | \$ 21.56                 |
| 2.25991 | REXX   | 6/6/2012         | 6/20/2013 | \$ 39.62       | \$ 23.07   | \$ 16.55              | -                               | \$ 16.55                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|------------|--------------------|---------------------------|-----------------------|
| 2.26561 | V      | 6/6/2012      | 9/27/2013 | \$ 436.95      | \$ 262.61  | \$ 174.33          | \$ -                      | \$ 174.33             |
| 2.27432 | EA     | 9/10/2012     | 9/27/2013 | \$ 59.20       | \$ 31.52   | \$ 27.68           | \$ -                      | \$ 27.68              |
| 2.28677 | RAIL   | 6/6/2012      | 9/27/2013 | \$ 48.14       | \$ 47.77   | \$ 0.37            | \$ -                      | \$ 0.37               |
| 2.28884 | AMED   | 6/6/2012      | 9/27/2013 | \$ 38.96       | \$ 27.25   | \$ 11.70           | \$ -                      | \$ 11.70              |
| 2.30649 | RJF    | 6/6/2012      | 9/27/2013 | \$ 96.85       | \$ 77.24   | \$ 19.61           | \$ -                      | \$ 19.61              |
| 2.32245 | ORCL   | 9/10/2012     | 9/27/2013 | \$ 78.38       | \$ 75.82   | \$ 2.56            | \$ -                      | \$ 2.56               |
| 2.32443 | CSII   | 6/6/2012      | 6/20/2013 | \$ 48.46       | \$ 20.47   | \$ 27.99           | \$ -                      | \$ 27.99              |
| 2.34145 | QCOM   | 7/17/2012     | 9/27/2013 | \$ 157.46      | \$ 128.31  | \$ 29.15           | \$ -                      | \$ 29.15              |
| 2.35765 | CACH   | 6/6/2012      | 6/20/2013 | \$ 10.14       | \$ 8.52    | \$ 1.62            | \$ -                      | \$ 1.62               |
| 2.38955 | LLNW   | 8/10/2012     | 9/27/2013 | \$ 4.73        | \$ 6.16    | \$ (1.43)          | \$ -                      | \$ (1.43)             |
| 2.39609 | ACCO   | 6/6/2012      | 6/20/2013 | \$ 15.44       | \$ 22.78   | \$ (7.34)          | \$ -                      | \$ (7.34)             |
| 2.40951 | ZQK    | 8/10/2012     | 9/27/2013 | \$ 16.99       | \$ 6.98    | \$ 10.00           | \$ -                      | \$ 10.00              |
| 2.42874 | CL     | 6/6/2012      | 6/20/2013 | \$ 136.02      | \$ 118.60  | \$ 17.41           | \$ -                      | \$ 17.41              |
| 2.43114 | KEG    | 6/6/2012      | 6/20/2013 | \$ 14.47       | \$ 24.36   | \$ (9.89)          | \$ -                      | \$ (9.89)             |
| 2.45426 | ORCL   | 8/10/2012     | 9/27/2013 | \$ 82.83       | \$ 76.79   | \$ 6.05            | \$ -                      | \$ 6.05               |
| 2.45531 | TFX    | 6/6/2012      | 9/27/2013 | \$ 200.65      | \$ 145.93  | \$ 54.72           | \$ -                      | \$ 54.72              |
| 2.47419 | RSG    | 8/16/2012     | 9/27/2013 | \$ 83.36       | \$ 71.36   | \$ 12.00           | \$ -                      | \$ 12.00              |
| 2.49443 | NR     | 6/6/2012      | 6/20/2013 | \$ 27.00       | \$ 13.99   | \$ 13.00           | \$ -                      | \$ 13.00              |
| 2.49956 | VTR    | 6/6/2012      | 6/20/2013 | \$ 161.96      | \$ 142.91  | \$ 19.05           | \$ -                      | \$ 19.05              |
| 2.50315 | XOM    | 6/6/2012      | 6/20/2013 | \$ 223.39      | \$ 197.61  | \$ 25.78           | \$ -                      | \$ 25.78              |
| 2.51278 | R      | 6/6/2012      | 9/27/2013 | \$ 149.91      | \$ 107.13  | \$ 42.79           | \$ -                      | \$ 42.79              |
| 2.54110 | ZQK    | 6/6/2012      | 9/27/2013 | \$ 17.92       | \$ 6.43    | \$ 11.49           | \$ -                      | \$ 11.49              |
| 2.55654 | GILD   | 7/17/2012     | 9/27/2013 | \$ 162.14      | \$ 67.46   | \$ 94.68           | \$ -                      | \$ 94.68              |
| 2.56386 | NVAX   | 8/10/2012     | 9/27/2013 | \$ 8.03        | \$ 5.18    | \$ 2.85            | \$ -                      | \$ 2.85               |
| 2.56436 | STKL   | 8/10/2012     | 9/27/2013 | \$ 25.13       | \$ 13.23   | \$ 11.90           | \$ -                      | \$ 11.90              |
| 2.59607 | SPLS   | 7/17/2012     | 9/27/2013 | \$ 37.89       | \$ 32.70   | \$ 5.19            | \$ -                      | \$ 5.19               |
| 2.60336 | ZQK    | 6/5/2012      | 6/20/2013 | \$ 16.09       | \$ 6.40    | \$ 9.69            | \$ -                      | \$ 9.69               |
| 2.61727 | OXY    | 6/6/2012      | 6/20/2013 | \$ 232.86      | \$ 218.97  | \$ 13.90           | \$ -                      | \$ 13.90              |
| 2.61748 | IR     | 6/6/2012      | 6/20/2013 | \$ 144.60      | \$ 104.29  | \$ 40.31           | \$ -                      | \$ 40.31              |
| 2.62583 | LLNW   | 7/17/2012     | 9/27/2013 | \$ 5.20        | \$ 7.63    | \$ (2.43)          | \$ -                      | \$ (2.43)             |
| 2.63033 | MASI   | 6/6/2012      | 9/27/2013 | \$ 69.70       | \$ 51.37   | \$ 18.34           | \$ -                      | \$ 18.34              |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.63978 | NOV    | 6/6/2012         | 6/20/2013 | \$ 181.23      | \$ 177.89  | \$ 3.35               | -                               | \$ 3.35                  |
| 2.64161 | PEP    | 6/6/2012         | 6/20/2013 | \$ 209.30      | \$ 178.04  | \$ 31.26              | -                               | \$ 31.26                 |
| 2.64334 | CYN    | 6/6/2012         | 9/27/2013 | \$ 174.46      | \$ 124.07  | \$ 50.39              | -                               | \$ 50.39                 |
| 2.64437 | STKL   | 6/5/2012         | 6/20/2013 | \$ 21.30       | \$ 15.86   | \$ 5.44               | -                               | \$ 5.44                  |
| 2.64788 | COF    | 6/6/2012         | 6/20/2013 | \$ 163.51      | \$ 134.88  | \$ 28.64              | -                               | \$ 28.64                 |
| 2.64932 | TQNT   | 6/6/2012         | 6/20/2013 | \$ 18.05       | \$ 14.28   | \$ 3.77               | -                               | \$ 3.77                  |
| 2.65053 | SONS   | 8/10/2012        | 9/27/2013 | \$ 8.93        | \$ 4.85    | \$ 4.08               | -                               | \$ 4.08                  |
| 2.68336 | VNTV   | 6/6/2012         | 6/27/2013 | \$ 75.25       | \$ 58.97   | \$ 16.28              | -                               | \$ 16.28                 |
| 2.71288 | SPLS   | 8/10/2012        | 9/27/2013 | \$ 39.59       | \$ 36.05   | \$ 3.54               | -                               | \$ 3.54                  |
| 2.72945 | MSFT   | 7/17/2012        | 9/27/2013 | \$ 91.25       | \$ 80.73   | \$ 10.52              | -                               | \$ 10.52                 |
| 2.73763 | IDTI   | 6/6/2012         | 6/20/2013 | \$ 21.58       | \$ 14.70   | \$ 6.88               | -                               | \$ 6.88                  |
| 2.74315 | DVN    | 6/6/2012         | 6/20/2013 | \$ 144.71      | \$ 164.30  | \$ (19.59)            | -                               | \$ (19.59)               |
| 2.75081 | NVAX   | 7/17/2012        | 9/27/2013 | \$ 8.61        | \$ 5.77    | \$ 2.84               | -                               | \$ 2.84                  |
| 2.77171 | STKL   | 7/17/2012        | 9/27/2013 | \$ 27.16       | \$ 15.26   | \$ 11.90              | -                               | \$ 11.90                 |
| 2.80187 | SONS   | 6/5/2012         | 6/20/2013 | \$ 8.66        | \$ 6.27    | \$ 2.39               | -                               | \$ 2.39                  |
| 2.82468 | RS     | 6/6/2012         | 9/27/2013 | \$ 206.40      | \$ 134.22  | \$ 72.18              | -                               | \$ 72.18                 |
| 2.83262 | MUB    | 8/16/2012        | 9/27/2013 | \$ 295.64      | \$ 314.53  | \$ (18.90)            | -                               | \$ (18.90)               |
| 2.83920 | DD     | 6/6/2012         | 6/20/2013 | \$ 149.15      | \$ 137.19  | \$ 11.96              | -                               | \$ 11.96                 |
| 2.85178 | SPLS   | 9/10/2012        | 9/27/2013 | \$ 41.62       | \$ 32.76   | \$ 8.85               | -                               | \$ 8.85                  |
| 2.85669 | AMSC   | 6/6/2012         | 6/20/2013 | \$ 6.35        | \$ 11.42   | \$ (5.08)             | -                               | \$ (5.08)                |
| 2.87849 | SONS   | 7/17/2012        | 9/27/2013 | \$ 9.70        | \$ 4.97    | \$ 4.73               | -                               | \$ 4.73                  |
| 2.88959 | CRM    | 6/6/2012         | 6/20/2013 | \$ 106.00      | \$ 98.66   | \$ 7.34               | -                               | \$ 7.34                  |
| 2.90318 | HXL    | 9/12/2012        | 9/27/2013 | \$ 112.21      | \$ 68.17   | \$ 44.04              | -                               | \$ 44.04                 |
| 2.92425 | SLXP   | 6/6/2012         | 9/27/2013 | \$ 196.95      | \$ 155.36  | \$ 41.59              | -                               | \$ 41.59                 |
| 2.92573 | DNR    | 9/24/2012        | 9/27/2013 | \$ 54.27       | \$ 49.02   | \$ 5.25               | -                               | \$ 5.25                  |
| 2.93590 | AMAT   | 9/10/2012        | 9/27/2013 | \$ 51.76       | \$ 34.64   | \$ 17.12              | -                               | \$ 17.12                 |
| 2.95865 | KORS   | 6/6/2012         | 6/20/2013 | \$ 175.62      | \$ 115.47  | \$ 60.15              | -                               | \$ 60.15                 |
| 2.97549 | HBAN   | 6/6/2012         | 9/27/2013 | \$ 24.46       | \$ 17.94   | \$ 6.52               | -                               | \$ 6.52                  |
| 2.98539 | GILD   | 6/6/2012         | 6/20/2013 | \$ 147.90      | \$ 73.36   | \$ 74.54              | -                               | \$ 74.54                 |
| 3.04237 | COF    | 6/7/2012         | 9/27/2013 | \$ 210.14      | \$ 157.49  | \$ 52.65              | -                               | \$ 52.65                 |
| 3.08385 | A      | 9/25/2012        | 9/27/2013 | \$ 159.13      | \$ 119.61  | \$ 39.52              | -                               | \$ 39.52                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|------------|-----------------------|---------------------------------|--------------------------|
| 3.08394 | BA     | 6/6/2012         | 6/20/2013 | \$ 307.95      | \$ 210.81  | \$ 97.13              | \$ -                            | \$ 97.13                 |
| 3.08590 | POR    | 6/6/2012         | 9/27/2013 | \$ 87.15       | \$ 79.15   | \$ 8.00               | \$ -                            | \$ 8.00                  |
| 3.10506 | EGN    | 6/6/2012         | 9/27/2013 | \$ 237.73      | \$ 139.55  | \$ 98.18              | \$ -                            | \$ 98.18                 |
| 3.11156 | MEA    | 6/6/2012         | 6/20/2013 | \$ 4.24        | \$ 7.77    | \$ (3.54)             | \$ -                            | \$ (3.54)                |
| 3.11444 | MXWL   | 6/7/2012         | 9/27/2013 | \$ 28.62       | \$ 21.34   | \$ 7.28               | \$ -                            | \$ 7.28                  |
| 3.23578 | ZQK    | 7/17/2012        | 9/27/2013 | \$ 22.81       | \$ 7.11    | \$ 15.71              | \$ -                            | \$ 15.71                 |
| 3.25833 | MRCY   | 6/6/2012         | 6/20/2013 | \$ 30.45       | \$ 38.77   | \$ (8.32)             | \$ -                            | \$ (8.32)                |
| 3.27498 | TEX    | 7/26/2012        | 9/27/2013 | \$ 111.12      | \$ 58.78   | \$ 52.34              | \$ -                            | \$ 52.34                 |
| 3.33868 | AET    | 6/6/2012         | 6/20/2013 | \$ 203.47      | \$ 141.62  | \$ 61.86              | \$ -                            | \$ 61.86                 |
| 3.35241 | CBT    | 6/6/2012         | 9/27/2013 | \$ 143.05      | \$ 123.33  | \$ 19.72              | \$ -                            | \$ 19.72                 |
| 3.37285 | DBV    | 7/18/2012        | 9/4/2013  | \$ 85.98       | \$ 83.23   | \$ 2.75               | \$ -                            | \$ 2.75                  |
| 3.37749 | FIS    | 6/6/2012         | 6/20/2013 | \$ 144.29      | \$ 107.97  | \$ 36.32              | \$ -                            | \$ 36.32                 |
| 3.47992 | BPFH   | 6/6/2012         | 6/20/2013 | \$ 34.77       | \$ 27.73   | \$ 7.04               | \$ -                            | \$ 7.04                  |
| 3.48814 | PEP    | 7/30/2012        | 9/27/2013 | \$ 279.69      | \$ 253.50  | \$ 26.18              | \$ -                            | \$ 26.18                 |
| 3.50388 | UNH    | 6/6/2012         | 6/20/2013 | \$ 223.70      | \$ 199.75  | \$ 23.95              | \$ -                            | \$ 23.95                 |
| 3.51438 | PRXL   | 6/6/2012         | 7/2/2013  | \$ 166.35      | \$ 93.58   | \$ 72.77              | \$ -                            | \$ 72.77                 |
| 3.57068 | MSFT   | 8/10/2012        | 9/27/2013 | \$ 119.38      | \$ 108.43  | \$ 10.94              | \$ -                            | \$ 10.94                 |
| 3.61518 | SFG    | 6/6/2012         | 9/27/2013 | \$ 199.78      | \$ 125.69  | \$ 74.09              | \$ -                            | \$ 74.09                 |
| 3.66415 | THG    | 6/6/2012         | 9/27/2013 | \$ 203.14      | \$ 140.58  | \$ 62.56              | \$ -                            | \$ 62.56                 |
| 3.66722 | TJX    | 6/6/2012         | 6/20/2013 | \$ 180.33      | \$ 152.55  | \$ 27.78              | \$ -                            | \$ 27.78                 |
| 3.73235 | MSFT   | 9/10/2012        | 9/27/2013 | \$ 124.78      | \$ 115.14  | \$ 9.64               | \$ -                            | \$ 9.64                  |
| 3.76422 | LUV    | 6/6/2012         | 9/27/2013 | \$ 55.18       | \$ 33.42   | \$ 21.76              | \$ -                            | \$ 21.76                 |
| 3.78128 | OKSB   | 6/6/2012         | 9/27/2013 | \$ 56.08       | \$ 35.30   | \$ 20.78              | \$ -                            | \$ 20.78                 |
| 3.78609 | ALOG   | 6/6/2012         | 9/27/2013 | \$ 307.98      | \$ 246.81  | \$ 61.16              | \$ -                            | \$ 61.16                 |
| 3.85536 | TWX    | 6/6/2012         | 6/20/2013 | \$ 217.11      | \$ 133.39  | \$ 83.71              | \$ -                            | \$ 83.71                 |
| 3.85739 | SNPS   | 6/6/2012         | 9/27/2013 | \$ 145.31      | \$ 113.10  | \$ 32.21              | \$ -                            | \$ 32.21                 |
| 3.87292 | G      | 9/12/2012        | 9/27/2013 | \$ 74.01       | \$ 65.98   | \$ 8.03               | \$ -                            | \$ 8.03                  |
| 3.90682 | HCC    | 6/6/2012         | 9/27/2013 | \$ 171.51      | \$ 122.63  | \$ 48.88              | \$ -                            | \$ 48.88                 |
| 3.90968 | AVT    | 6/6/2012         | 9/27/2013 | \$ 163.39      | \$ 119.75  | \$ 43.64              | \$ -                            | \$ 43.64                 |
| 3.91063 | SAVE   | 9/19/2012        | 9/27/2013 | \$ 134.96      | \$ 65.67   | \$ 69.29              | \$ -                            | \$ 69.29                 |
| 3.93881 | AIV    | 6/6/2012         | 9/27/2013 | \$ 110.56      | \$ 106.15  | \$ 4.42               | \$ -                            | \$ 4.42                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

## Form 990 Page 3 Part IV

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 3.95593 | TPX    | 6/6/2012         | 9/27/2013 | \$ 173.47      | \$ 91.14    | \$ 82.33              | -                               | \$ 82.33                 |
| 3.95991 | TCBK   | 6/6/2012         | 9/27/2013 | \$ 89.74       | \$ 60.38    | \$ 29.36              | -                               | \$ 29.36                 |
| 3.96810 | CIT    | 6/6/2012         | 6/20/2013 | \$ 186.71      | \$ 135.88   | \$ 50.83              | -                               | \$ 50.83                 |
| 3.97126 | DHR    | 6/6/2012         | 6/20/2013 | \$ 247.62      | \$ 202.57   | \$ 45.05              | -                               | \$ 45.05                 |
| 3.97698 | UHS    | 6/6/2012         | 9/27/2013 | \$ 296.96      | \$ 155.21   | \$ 141.75             | -                               | \$ 141.75                |
| 4.03798 | NU     | 6/6/2012         | 6/20/2013 | \$ 159.91      | \$ 148.02   | \$ 11.89              | -                               | \$ 11.89                 |
| 4.09144 | LLNW   | 6/6/2012         | 6/20/2013 | \$ 9.38        | \$ 9.98     | \$ (0.60)             | -                               | \$ (0.60)                |
| 4.12214 | TEX    | 6/6/2012         | 9/27/2013 | \$ 139.86      | \$ 72.79    | \$ 67.07              | -                               | \$ 67.07                 |
| 4.14633 | IP     | 6/6/2012         | 6/20/2013 | \$ 184.36      | \$ 119.70   | \$ 64.66              | -                               | \$ 64.66                 |
| 4.15587 | RCKB   | 6/7/2012         | 9/27/2013 | \$ 54.19       | \$ 47.43    | \$ 6.76               | -                               | \$ 6.76                  |
| 4.16543 | DBC    | 7/18/2012        | 9/4/2013  | \$ 110.51      | \$ 113.04   | \$ (2.53)             | -                               | \$ (2.53)                |
| 4.16716 | KSS    | 6/6/2012         | 6/20/2013 | \$ 211.33      | \$ 185.97   | \$ 25.35              | -                               | \$ 25.35                 |
| 4.18438 | RTI    | 6/6/2012         | 9/27/2013 | \$ 132.73      | \$ 91.50    | \$ 41.23              | -                               | \$ 41.23                 |
| 4.20499 | ZQK    | 6/6/2012         | 6/20/2013 | \$ 26.00       | \$ 10.63    | \$ 15.36              | -                               | \$ 15.36                 |
| 4.21892 | MMC    | 6/6/2012         | 6/20/2013 | \$ 164.55      | \$ 135.84   | \$ 28.71              | -                               | \$ 28.71                 |
| 4.22090 | COH    | 6/6/2012         | 6/20/2013 | \$ 241.74      | \$ 269.70   | \$ (27.96)            | -                               | \$ (27.96)               |
| 4.25221 | IDTI   | 6/6/2012         | 9/27/2013 | \$ 39.84       | \$ 22.83    | \$ 17.01              | -                               | \$ 17.01                 |
| 4.27836 | SAVE   | 9/17/2012        | 9/27/2013 | \$ 147.65      | \$ 71.84    | \$ 75.81              | -                               | \$ 75.81                 |
| 4.32082 | CRZO   | 6/6/2012         | 9/27/2013 | \$ 159.78      | \$ 95.22    | \$ 64.57              | -                               | \$ 64.57                 |
| 4.32264 | CNL    | 6/6/2012         | 9/26/2013 | \$ 196.34      | \$ 176.53   | \$ 19.80              | -                               | \$ 19.80                 |
| 4.36689 | WTS    | 6/6/2012         | 9/27/2013 | \$ 245.86      | \$ 143.32   | \$ 102.54             | -                               | \$ 102.54                |
| 4.38440 | PMT    | 9/25/2012        | 9/26/2013 | \$ 98.70       | \$ 101.30   | \$ (2.60)             | -                               | \$ (2.60)                |
| 4.56714 | ABT    | 6/6/2012         | 6/20/2013 | \$ 161.00      | \$ 132.63   | \$ 28.38              | -                               | \$ 28.38                 |
| 4.59257 | PEP    | 6/6/2012         | 9/27/2013 | \$ 368.24      | \$ 309.53   | \$ 58.71              | -                               | \$ 58.71                 |
| 4.61372 | WEC    | 6/6/2012         | 6/20/2013 | \$ 181.10      | \$ 175.31   | \$ 5.79               | -                               | \$ 5.79                  |
| 4.72760 | PTEN   | 9/17/2012        | 9/27/2013 | \$ 103.53      | \$ 82.66    | \$ 20.87              | -                               | \$ 20.87                 |
| 4.73812 | SHLM   | 6/6/2012         | 9/13/2013 | \$ 132.46      | \$ 97.27    | \$ 35.19              | -                               | \$ 35.19                 |
| 4.74659 | DRIV   | 6/6/2012         | 9/27/2013 | \$ 83.68       | \$ 72.23    | \$ 11.45              | -                               | \$ 11.45                 |
| 4.75022 | AAPL   | 6/6/2012         | 9/27/2013 | \$ 2,286.93    | \$ 2,722.64 | \$ (435.71)           | -                               | \$ (435.71)              |
| 4.83413 | DOX    | 6/6/2012         | 9/27/2013 | \$ 179.06      | \$ 141.06   | \$ 38.00              | -                               | \$ 38.00                 |
| 4.87034 | PEP    | 8/3/2012         | 9/27/2013 | \$ 390.51      | \$ 354.46   | \$ 36.05              | -                               | \$ 36.05                 |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|---------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 4.91038 | SAVE   | 9/25/2012     | 9/27/2013 | \$ 169.46      | \$ 85.01    | \$ 84.45           | -                         | \$ 84.45              |
| 4.95138 | V      | 6/6/2012      | 7/17/2013 | \$ 934.41      | \$ 573.93   | \$ 360.48          | -                         | \$ 360.48             |
| 5.01064 | A      | 9/24/2012     | 9/27/2013 | \$ 258.55      | \$ 194.69   | \$ 63.86           | -                         | \$ 63.86              |
| 5.02716 | A      | 9/5/2012      | 9/27/2013 | \$ 259.40      | \$ 185.03   | \$ 74.37           | -                         | \$ 74.37              |
| 5.17526 | UNH    | 6/6/2012      | 9/27/2013 | \$ 372.21      | \$ 295.03   | \$ 77.17           | -                         | \$ 77.17              |
| 5.19831 | COF    | 6/6/2012      | 9/27/2013 | \$ 359.05      | \$ 264.79   | \$ 94.26           | -                         | \$ 94.26              |
| 5.20118 | MEAS   | 6/6/2012      | 9/27/2013 | \$ 282.43      | \$ 169.12   | \$ 113.31          | -                         | \$ 113.31             |
| 5.26423 | HBAN   | 6/6/2012      | 6/20/2013 | \$ 39.70       | \$ 31.74    | \$ 7.97            | -                         | \$ 7.97               |
| 5.39071 | GILD   | 6/6/2012      | 6/20/2013 | \$ 267.07      | \$ 132.47   | \$ 134.60          | -                         | \$ 134.60             |
| 5.41140 | AET    | 6/6/2012      | 9/27/2013 | \$ 348.44      | \$ 229.54   | \$ 118.90          | -                         | \$ 118.90             |
| 5.41801 | BHLB   | 6/6/2012      | 9/27/2013 | \$ 135.89      | \$ 112.57   | \$ 23.31           | -                         | \$ 23.31              |
| 5.43569 | CTL    | 6/6/2012      | 6/20/2013 | \$ 186.24      | \$ 204.04   | \$ (17.80)         | -                         | \$ (17.80)            |
| 5.45943 | CMCSK  | 6/6/2012      | 6/20/2013 | \$ 204.74      | \$ 158.42   | \$ 46.32           | -                         | \$ 46.32              |
| 5.61907 | STKL   | 6/6/2012      | 6/20/2013 | \$ 45.26       | \$ 34.10    | \$ 11.16           | -                         | \$ 11.16              |
| 5.71572 | OXY    | 6/27/2012     | 9/27/2013 | \$ 538.83      | \$ 461.33   | \$ 77.50           | -                         | \$ 77.50              |
| 5.79726 | ANN    | 6/6/2012      | 9/27/2013 | \$ 210.62      | \$ 148.40   | \$ 62.21           | -                         | \$ 62.21              |
| 5.82312 | HBAN   | 6/11/2012     | 9/27/2013 | \$ 47.87       | \$ 35.90    | \$ 11.97           | -                         | \$ 11.97              |
| 5.83028 | VOLC   | 6/6/2012      | 9/27/2013 | \$ 137.07      | \$ 163.30   | \$ (26.22)         | -                         | \$ (26.22)            |
| 5.90856 | MXWL   | 6/6/2012      | 9/27/2013 | \$ 54.30       | \$ 41.06    | \$ 13.24           | -                         | \$ 13.24              |
| 5.93369 | JOSB   | 6/6/2012      | 9/9/2013  | \$ 246.84      | \$ 247.48   | \$ (0.65)          | -                         | \$ (0.65)             |
| 5.93585 | A      | 9/4/2012      | 9/27/2013 | \$ 306.29      | \$ 218.84   | \$ 87.45           | -                         | \$ 87.45              |
| 5.97807 | JDSU   | 8/28/2012     | 9/27/2013 | \$ 87.40       | \$ 70.95    | \$ 16.45           | -                         | \$ 16.45              |
| 6.14417 | ATMI   | 6/6/2012      | 9/27/2013 | \$ 162.21      | \$ 121.64   | \$ 40.56           | -                         | \$ 40.56              |
| 6.15105 | CME    | 8/3/2012      | 9/27/2013 | \$ 461.51      | \$ 314.00   | \$ 147.51          | -                         | \$ 147.51             |
| 6.18290 | BLK    | 6/6/2012      | 9/27/2013 | \$ 1,673.61    | \$ 1,050.51 | \$ 623.10          | -                         | \$ 623.10             |
| 6.23180 | UTX    | 6/6/2012      | 9/27/2013 | \$ 681.40      | \$ 454.48   | \$ 226.93          | -                         | \$ 226.93             |
| 6.32851 | TXN    | 6/6/2012      | 6/20/2013 | \$ 219.87      | \$ 180.35   | \$ 39.52           | -                         | \$ 39.52              |
| 6.43558 | OXY    | 6/6/2012      | 9/27/2013 | \$ 606.70      | \$ 538.42   | \$ 68.28           | -                         | \$ 68.28              |
| 6.47101 | AIV    | 9/14/2012     | 9/27/2013 | \$ 181.64      | \$ 177.80   | \$ 3.85            | -                         | \$ 3.85               |
| 6.54825 | PSP    | 7/18/2012     | 9/4/2013  | \$ 74.88       | \$ 58.61    | \$ 16.27           | -                         | \$ 16.27              |
| 6.60979 | MUNI   | 8/16/2012     | 9/27/2013 | \$ 344.90      | \$ 357.05   | \$ (12.16)         | -                         | \$ (12.16)            |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 6.72850 | GILD   | 8/29/2012        | 9/27/2013 | \$ 426.72      | \$ 193.17   | \$ 233.55             | -                               | \$ 233.55                |
| 6.74539 | EL     | 6/6/2012         | 6/18/2013 | \$ 467.44      | \$ 369.55   | \$ 97.89              | -                               | \$ 97.89                 |
| 6.74799 | ACCO   | 6/7/2012         | 9/27/2013 | \$ 45.35       | \$ 63.82    | \$ (18.47)            | -                               | \$ (18.47)               |
| 6.76903 | DSX    | 6/6/2012         | 9/27/2013 | \$ 81.91       | \$ 51.03    | \$ 30.87              | -                               | \$ 30.87                 |
| 6.81475 | SPLS   | 6/6/2012         | 6/20/2013 | \$ 106.67      | \$ 88.24    | \$ 18.43              | -                               | \$ 18.43                 |
| 6.85908 | KEG    | 8/21/2012        | 9/27/2013 | \$ 50.89       | \$ 61.58    | \$ (10.69)            | -                               | \$ (10.69)               |
| 6.87987 | SHOO   | 6/6/2012         | 9/27/2013 | \$ 366.35      | \$ 274.46   | \$ 91.89              | -                               | \$ 91.89                 |
| 7.07798 | SJM    | 8/20/2012        | 9/27/2013 | \$ 742.63      | \$ 597.94   | \$ 144.69             | -                               | \$ 144.69                |
| 7.17286 | SCVL   | 6/6/2012         | 9/27/2013 | \$ 193.25      | \$ 147.81   | \$ 45.44              | -                               | \$ 45.44                 |
| 7.20548 | RCKB   | 6/6/2012         | 9/27/2013 | \$ 93.96       | \$ 81.91    | \$ 12.05              | -                               | \$ 12.05                 |
| 7.24969 | ORCL   | 6/6/2012         | 6/20/2013 | \$ 240.56      | \$ 198.33   | \$ 42.23              | -                               | \$ 42.23                 |
| 7.29443 | TWX    | 8/1/2012         | 9/27/2013 | \$ 483.60      | \$ 289.64   | \$ 193.96             | -                               | \$ 193.96                |
| 7.34592 | CQB    | 6/6/2012         | 9/27/2013 | \$ 95.13       | \$ 39.66    | \$ 55.47              | -                               | \$ 55.47                 |
| 7.39950 | KEG    | 6/6/2012         | 9/27/2013 | \$ 54.90       | \$ 74.14    | \$ (19.23)            | -                               | \$ (19.23)               |
| 7.47693 | COLB   | 6/6/2012         | 9/27/2013 | \$ 184.53      | \$ 133.83   | \$ 50.70              | -                               | \$ 50.70                 |
| 7.57485 | ABBV   | 6/6/2012         | 6/20/2013 | \$ 311.66      | \$ 238.53   | \$ 73.13              | -                               | \$ 73.13                 |
| 7.72354 | DY     | 6/6/2012         | 9/27/2013 | \$ 213.33      | \$ 151.98   | \$ 61.35              | -                               | \$ 61.35                 |
| 7.93936 | CRM    | 6/6/2012         | 9/27/2013 | \$ 416.66      | \$ 271.09   | \$ 145.57             | -                               | \$ 145.57                |
| 7.94458 | NLS    | 6/6/2012         | 9/27/2013 | \$ 56.65       | \$ 26.93    | \$ 29.72              | -                               | \$ 29.72                 |
| 7.99991 | PEGA   | 6/6/2012         | 9/27/2013 | \$ 314.88      | \$ 263.31   | \$ 51.58              | -                               | \$ 51.58                 |
| 8.29784 | CSGS   | 6/6/2012         | 9/27/2013 | \$ 207.12      | \$ 137.40   | \$ 69.72              | -                               | \$ 69.72                 |
| 8.32870 | TTEC   | 6/6/2012         | 9/27/2013 | \$ 209.30      | \$ 128.59   | \$ 80.71              | -                               | \$ 80.71                 |
| 8.33270 | USPH   | 6/6/2012         | 6/19/2013 | \$ 255.66      | \$ 199.96   | \$ 55.70              | -                               | \$ 55.70                 |
| 8.36699 | BGG    | 6/6/2012         | 9/27/2013 | \$ 169.85      | \$ 141.47   | \$ 28.38              | -                               | \$ 28.38                 |
| 8.40528 | GPC    | 6/6/2012         | 9/27/2013 | \$ 675.73      | \$ 508.48   | \$ 167.24             | -                               | \$ 167.24                |
| 8.41962 | AMAG   | 6/6/2012         | 9/27/2013 | \$ 183.22      | \$ 118.61   | \$ 64.61              | -                               | \$ 64.61                 |
| 8.47171 | PMTC   | 6/6/2012         | 9/27/2013 | \$ 240.94      | \$ 170.95   | \$ 69.99              | -                               | \$ 69.99                 |
| 8.49657 | MUB    | 7/3/2012         | 9/27/2013 | \$ 886.78      | \$ 928.57   | \$ (41.79)            | -                               | \$ (41.79)               |
| 8.60242 | ACCO   | 6/6/2012         | 9/27/2013 | \$ 57.81       | \$ 81.79    | \$ (23.98)            | -                               | \$ (23.98)               |
| 8.62216 | CMCSK  | 6/6/2012         | 9/27/2013 | \$ 371.19      | \$ 250.19   | \$ 120.99             | -                               | \$ 120.99                |
| 8.79769 | V      | 6/6/2012         | 9/27/2013 | \$ 1,696.73    | \$ 1,019.77 | \$ 676.96             | -                               | \$ 676.96                |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

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| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|----------------|-------------|-----------------------|---------------------------------|--------------------------|
| 8.82142  | COH    | 6/6/2012         | 9/27/2013 | \$ 478.47      | \$ 563.66   | \$ (85.19)            | -                               | \$ (85.19)               |
| 9.02102  | RWX    | 9/10/2012        | 9/27/2013 | \$ 380.43      | \$ 354.34   | \$ 26.08              | -                               | \$ 26.08                 |
| 9.29835  | EA     | 6/6/2012         | 8/20/2013 | \$ 252.93      | \$ 127.18   | \$ 125.75             | -                               | \$ 125.75                |
| 9.39262  | AMAT   | 6/6/2012         | 6/20/2013 | \$ 141.94      | \$ 99.07    | \$ 42.88              | -                               | \$ 42.88                 |
| 9.76519  | GILD   | 6/6/2012         | 9/27/2013 | \$ 619.31      | \$ 239.97   | \$ 379.34             | -                               | \$ 379.34                |
| 9.80539  | SONS   | 6/6/2012         | 6/20/2013 | \$ 30.32       | \$ 22.64    | \$ 7.68               | -                               | \$ 7.68                  |
| 9.86143  | NVAX   | 6/6/2012         | 6/20/2013 | \$ 18.76       | \$ 12.32    | \$ 6.44               | -                               | \$ 6.44                  |
| 9.87310  | TMO    | 6/6/2012         | 9/27/2013 | \$ 916.72      | \$ 494.18   | \$ 422.54             | -                               | \$ 422.54                |
| 9.89479  | SYPR   | 6/6/2012         | 9/27/2013 | \$ 30.88       | \$ 58.20    | \$ (27.32)            | -                               | \$ (27.32)               |
| 10.01328 | MUB    | 7/18/2012        | 9/27/2013 | \$ 1,045.07    | \$ 1,111.47 | \$ (66.40)            | -                               | \$ (66.40)               |
| 10.02325 | MUNI   | 7/3/2012         | 9/27/2013 | \$ 523.01      | \$ 539.08   | \$ (16.07)            | -                               | \$ (16.07)               |
| 10.04415 | AMP    | 6/6/2012         | 9/27/2013 | \$ 917.90      | \$ 478.62   | \$ 439.28             | -                               | \$ 439.28                |
| 10.21290 | PRGS   | 6/6/2012         | 9/18/2013 | \$ 254.57      | \$ 203.94   | \$ 50.63              | -                               | \$ 50.63                 |
| 10.33818 | CL     | 6/6/2012         | 9/27/2013 | \$ 620.29      | \$ 504.85   | \$ 115.44             | -                               | \$ 115.44                |
| 10.43701 | MLNK   | 6/6/2012         | 9/27/2013 | \$ 28.60       | \$ 44.56    | \$ (15.96)            | -                               | \$ (15.96)               |
| 10.48900 | PX     | 6/6/2012         | 9/27/2013 | \$ 1,261.43    | \$ 1,088.38 | \$ 173.06             | -                               | \$ 173.06                |
| 10.55670 | ELY    | 6/6/2012         | 9/27/2013 | \$ 74.00       | \$ 58.37    | \$ 15.63              | -                               | \$ 15.63                 |
| 10.59623 | VICR   | 6/6/2012         | 9/27/2013 | \$ 86.69       | \$ 67.78    | \$ 18.90              | -                               | \$ 18.90                 |
| 10.96727 | FNFG   | 6/6/2012         | 9/27/2013 | \$ 112.20      | \$ 86.64    | \$ 25.56              | -                               | \$ 25.56                 |
| 10.96974 | CACH   | 6/6/2012         | 9/27/2013 | \$ 66.15       | \$ 39.66    | \$ 26.49              | -                               | \$ 26.49                 |
| 11.07277 | IGT    | 6/6/2012         | 6/20/2013 | \$ 182.06      | \$ 153.33   | \$ 28.73              | -                               | \$ 28.73                 |
| 11.31323 | DD     | 6/6/2012         | 9/27/2013 | \$ 668.86      | \$ 546.64   | \$ 122.22             | -                               | \$ 122.22                |
| 11.33573 | COL    | 6/6/2012         | 9/27/2013 | \$ 778.88      | \$ 572.88   | \$ 206.00             | -                               | \$ 206.00                |
| 11.43742 | QCOM   | 6/6/2012         | 9/10/2013 | \$ 797.69      | \$ 668.61   | \$ 129.08             | -                               | \$ 129.08                |
| 11.46615 | RWX    | 6/5/2012         | 9/27/2013 | \$ 483.54      | \$ 391.17   | \$ 92.37              | -                               | \$ 92.37                 |
| 11.53661 | UNH    | 6/6/2012         | 9/13/2013 | \$ 861.69      | \$ 657.68   | \$ 204.00             | -                               | \$ 204.00                |
| 11.70406 | CSII   | 6/6/2012         | 9/27/2013 | \$ 234.43      | \$ 103.08   | \$ 131.35             | -                               | \$ 131.35                |
| 11.76485 | DAR    | 6/6/2012         | 9/27/2013 | \$ 241.06      | \$ 167.52   | \$ 73.54              | -                               | \$ 73.54                 |
| 12.07725 | MSFT   | 6/6/2012         | 6/20/2013 | \$ 404.50      | \$ 350.83   | \$ 53.67              | -                               | \$ 53.67                 |
| 12.15164 | MIG    | 6/6/2012         | 9/27/2013 | \$ 78.50       | \$ 107.40   | \$ (28.90)            | -                               | \$ (28.90)               |
| 12.18618 | ATML   | 6/6/2012         | 9/27/2013 | \$ 89.08       | \$ 88.70    | \$ 0.38               | -                               | \$ 0.38                  |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 12.56876 | CIT    | 6/6/2012      | 9/27/2013 | \$ 608.20      | \$ 430.39   | \$ 177.81          | \$ -                      | \$ 177.81             |
| 12.57697 | ARIA   | 6/6/2012      | 9/27/2013 | \$ 234.82      | \$ 209.65   | \$ 25.17           | \$ -                      | \$ 25.17              |
| 12.91495 | ORI    | 6/6/2012      | 9/27/2013 | \$ 197.47      | \$ 128.10   | \$ 69.37           | \$ -                      | \$ 69.37              |
| 13.10902 | MRCY   | 6/6/2012      | 9/27/2013 | \$ 128.47      | \$ 155.99   | \$ (27.51)         | \$ -                      | \$ (27.51)            |
| 13.14830 | MENT   | 6/6/2012      | 9/27/2013 | \$ 304.38      | \$ 190.64   | \$ 113.75          | \$ -                      | \$ 113.75             |
| 13.17217 | DVN    | 6/6/2012      | 9/27/2013 | \$ 772.16      | \$ 788.94   | \$ (16.78)         | \$ -                      | \$ (16.78)            |
| 13.32103 | HSON   | 6/6/2012      | 9/27/2013 | \$ 43.43       | \$ 51.94    | \$ (8.51)          | \$ -                      | \$ (8.51)             |
| 13.49126 | CMCSK  | 6/6/2012      | 8/1/2013  | \$ 592.58      | \$ 391.48   | \$ 201.10          | \$ -                      | \$ 201.10             |
| 13.69871 | PRFT   | 6/6/2012      | 9/27/2013 | \$ 252.20      | \$ 154.09   | \$ 98.11           | \$ -                      | \$ 98.11              |
| 13.70930 | VTR    | 6/6/2012      | 9/27/2013 | \$ 856.43      | \$ 783.84   | \$ 72.59           | \$ -                      | \$ 72.59              |
| 13.92597 | REXX   | 6/6/2012      | 9/10/2013 | \$ 286.18      | \$ 142.16   | \$ 144.02          | \$ -                      | \$ 144.02             |
| 13.93281 | GILD   | 6/6/2012      | 9/27/2013 | \$ 883.62      | \$ 342.39   | \$ 541.23          | \$ -                      | \$ 541.23             |
| 14.20253 | QCOM   | 6/6/2012      | 9/27/2013 | \$ 955.13      | \$ 830.25   | \$ 124.88          | \$ -                      | \$ 124.88             |
| 14.33331 | LTXC   | 6/6/2012      | 9/27/2013 | \$ 94.03       | \$ 99.16    | \$ (5.13)          | \$ -                      | \$ (5.13)             |
| 14.49151 | DM     | 6/6/2012      | 9/27/2013 | \$ 31.30       | \$ 110.41   | \$ (79.11)         | \$ -                      | \$ (79.11)            |
| 15.00451 | CAM    | 6/6/2012      | 9/27/2013 | \$ 886.32      | \$ 695.06   | \$ 191.26          | \$ -                      | \$ 191.26             |
| 15.05817 | BA     | 6/6/2012      | 9/27/2013 | \$ 1,782.49    | \$ 1,029.34 | \$ 753.15          | \$ -                      | \$ 753.15             |
| 15.17928 | MUNI   | 7/18/2012     | 9/27/2013 | \$ 792.05      | \$ 823.02   | \$ (30.97)         | \$ -                      | \$ (30.97)            |
| 15.30104 | IDTI   | 6/6/2012      | 6/24/2013 | \$ 121.24      | \$ 82.15    | \$ 39.09           | \$ -                      | \$ 39.09              |
| 15.42354 | NOV    | 6/6/2012      | 9/27/2013 | \$ 1,205.66    | \$ 1,039.36 | \$ 166.30          | \$ -                      | \$ 166.30             |
| 15.43906 | XOM    | 6/6/2012      | 9/27/2013 | \$ 1,340.47    | \$ 1,218.85 | \$ 121.62          | \$ -                      | \$ 121.62             |
| 15.85408 | IR     | 6/6/2012      | 9/27/2013 | \$ 1,038.76    | \$ 631.66   | \$ 407.11          | \$ -                      | \$ 407.11             |
| 16.11804 | WPP    | 6/6/2012      | 9/27/2013 | \$ 207.76      | \$ 146.82   | \$ 60.94           | \$ -                      | \$ 60.94              |
| 16.14381 | TWX    | 6/6/2012      | 9/27/2013 | \$ 1,070.30    | \$ 558.56   | \$ 511.73          | \$ -                      | \$ 511.73             |
| 16.23152 | IP     | 6/6/2012      | 9/27/2013 | \$ 738.42      | \$ 468.59   | \$ 269.83          | \$ -                      | \$ 269.83             |
| 16.75801 | NR     | 6/6/2012      | 9/27/2013 | \$ 209.48      | \$ 94.00    | \$ 115.48          | \$ -                      | \$ 115.48             |
| 17.61889 | AMSC   | 6/6/2012      | 9/27/2013 | \$ 41.05       | \$ 70.46    | \$ (29.40)         | \$ -                      | \$ (29.40)            |
| 17.85615 | TQNT   | 6/6/2012      | 9/27/2013 | \$ 143.21      | \$ 96.23    | \$ 46.98           | \$ -                      | \$ 46.98              |
| 18.39239 | ABBV   | 6/6/2012      | 9/27/2013 | \$ 814.97      | \$ 579.18   | \$ 235.79          | \$ -                      | \$ 235.79             |
| 18.88329 | RWX    | 8/10/2012     | 9/27/2013 | \$ 796.33      | \$ 727.00   | \$ 69.33           | \$ -                      | \$ 69.33              |
| 19.19647 | MMC    | 6/6/2012      | 9/27/2013 | \$ 841.39      | \$ 618.08   | \$ 223.30          | \$ -                      | \$ 223.30             |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

Form 990 Page 3 Part IV

| Shares   | Symbol | Date Acquired | Date Sold | Gross Proceeds | Cost Basis  | Realized Gain/Loss | Wash Sale Loss Disallowed | Net Capital Gain/Loss |
|----------|--------|---------------|-----------|----------------|-------------|--------------------|---------------------------|-----------------------|
| 19.74333 | MEA    | 6/6/2012      | 9/27/2013 | \$ 28.43       | \$ 49.33    | \$ (20.89)         | -                         | \$ (20.89)            |
| 19.89924 | BPFH   | 6/6/2012      | 9/27/2013 | \$ 217.90      | \$ 158.58   | \$ 59.32           | -                         | \$ 59.32              |
| 20.31107 | DHR    | 6/6/2012      | 9/27/2013 | \$ 1,417.72    | \$ 1,036.02 | \$ 381.69          | -                         | \$ 381.69             |
| 20.40511 | KSS    | 6/6/2012      | 9/27/2013 | \$ 1,061.27    | \$ 910.64   | \$ 150.63          | -                         | \$ 150.63             |
| 20.82712 | AXP    | 6/6/2012      | 6/18/2013 | \$ 1,566.43    | \$ 1,151.26 | \$ 415.17          | -                         | \$ 415.17             |
| 21.97380 | EA     | 6/6/2012      | 7/31/2013 | \$ 574.38      | \$ 300.54   | \$ 273.84          | -                         | \$ 273.84             |
| 22.41983 | ABT    | 6/6/2012      | 9/27/2013 | \$ 742.10      | \$ 651.05   | \$ 91.05           | -                         | \$ 91.05              |
| 22.47894 | NU     | 6/6/2012      | 9/27/2013 | \$ 933.11      | \$ 824.03   | \$ 109.08          | -                         | \$ 109.08             |
| 22.58212 | IVR    | 9/10/2012     | 9/27/2013 | \$ 1,453.61    | \$ 1,493.13 | \$ (39.52)         | -                         | \$ (39.52)            |
| 22.66966 | DVA    | 6/6/2012      | 9/27/2013 | \$ 1,291.95    | \$ 944.94   | \$ 347.01          | -                         | \$ 347.01             |
| 24.20538 | TJX    | 6/6/2012      | 9/27/2013 | \$ 1,366.16    | \$ 1,006.89 | \$ 359.26          | -                         | \$ 359.26             |
| 24.67265 | FIS    | 6/6/2012      | 9/27/2013 | \$ 1,145.31    | \$ 788.74   | \$ 356.57          | -                         | \$ 356.57             |
| 24.70932 | CTL    | 6/6/2012      | 9/27/2013 | \$ 785.51      | \$ 927.53   | \$ (142.01)        | -                         | \$ (142.01)           |
| 26.16149 | WEC    | 6/6/2012      | 9/27/2013 | \$ 1,052.48    | \$ 994.08   | \$ 58.41           | -                         | \$ 58.41              |
| 27.01743 | TFI    | 7/3/2012      | 7/5/2013  | \$ 603.57      | \$ 651.64   | \$ (48.07)         | -                         | \$ (48.07)            |
| 27.19521 | NVAX   | 6/6/2012      | 9/27/2013 | \$ 85.12       | \$ 33.96    | \$ 51.16           | -                         | \$ 51.16              |
| 27.98313 | ZQK    | 6/6/2012      | 9/10/2013 | \$ 186.69      | \$ 70.76    | \$ 115.94          | -                         | \$ 115.94             |
| 29.04614 | TXN    | 6/6/2012      | 9/27/2013 | \$ 1,163.38    | \$ 827.76   | \$ 335.62          | -                         | \$ 335.62             |
| 29.25494 | SONS   | 6/6/2012      | 9/27/2013 | \$ 98.59       | \$ 67.55    | \$ 31.04           | -                         | \$ 31.04              |
| 31.42745 | LLNW   | 6/6/2012      | 9/27/2013 | \$ 62.23       | \$ 76.65    | \$ (14.42)         | -                         | \$ (14.42)            |
| 31.97522 | STKL   | 6/6/2012      | 9/27/2013 | \$ 313.36      | \$ 194.03   | \$ 119.33          | -                         | \$ 119.33             |
| 32.23544 | IGT    | 6/6/2012      | 9/10/2013 | \$ 643.67      | \$ 446.38   | \$ 197.29          | -                         | \$ 197.29             |
| 33.34627 | DBV    | 7/17/2012     | 9/4/2013  | \$ 850.01      | \$ 822.99   | \$ 27.03           | -                         | \$ 27.03              |
| 36.09479 | AMAT   | 6/6/2012      | 9/27/2013 | \$ 636.36      | \$ 380.70   | \$ 255.66          | -                         | \$ 255.66             |
| 36.28134 | ORCL   | 6/6/2012      | 9/27/2013 | \$ 1,224.50    | \$ 992.56   | \$ 231.94          | -                         | \$ 231.94             |
| 38.00692 | DBV    | 6/5/2012      | 9/4/2013  | \$ 968.81      | \$ 894.22   | \$ 74.59           | -                         | \$ 74.59              |
| 44.44364 | DBV    | 8/10/2012     | 9/4/2013  | \$ 1,132.89    | \$ 1,119.87 | \$ 13.02           | -                         | \$ 13.02              |
| 47.28544 | IVR    | 8/10/2012     | 9/27/2013 | \$ 3,043.76    | \$ 3,043.76 | \$ -               | -                         | \$ -                  |
| 49.19357 | SPLS   | 6/6/2012      | 9/27/2013 | \$ 717.90      | \$ 636.97   | \$ 80.93           | -                         | \$ 80.93              |
| 49.25387 | DBC    | 7/17/2012     | 9/4/2013  | \$ 1,306.72    | \$ 1,336.01 | \$ (29.29)         | -                         | \$ (29.29)            |
| 56.89760 | DBC    | 6/5/2012      | 9/4/2013  | \$ 1,509.52    | \$ 1,410.37 | \$ 99.15           | -                         | \$ 99.15              |

## Detail of Curian Clearing Account RA1746201R (Long-Term)

Form 990 Page 3 Part IV

| Shares      | Symbol | Date<br>Acquired | Date Sold | Gross Proceeds | Cost Basis    | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-------------|--------|------------------|-----------|----------------|---------------|-----------------------|---------------------------------|--------------------------|
| 57.95670    | MSFT   | 6/6/2012         | 9/27/2013 | \$ 1,937.63    | \$ 1,683.58   | \$ 254.05             | -                               | \$ 254.05                |
| 67.29182    | DBC    | 8/10/2012        | 9/4/2013  | \$ 1,785.28    | \$ 1,888.10   | \$ (102.82)           | -                               | \$ (102.82)              |
| 82.19539    | PSP    | 7/17/2012        | 9/4/2013  | \$ 939.88      | \$ 734.71     | \$ 205.18             | -                               | \$ 205.18                |
| 91.05588    | PSP    | 6/5/2012         | 9/4/2013  | \$ 1,041.20    | \$ 743.47     | \$ 297.73             | -                               | \$ 297.73                |
| 105.20261   | PSP    | 8/10/2012        | 9/4/2013  | \$ 1,202.97    | \$ 962.60     | \$ 240.37             | -                               | \$ 240.37                |
| 192.55121   | MUB    | 8/10/2012        | 9/27/2013 | \$ 20,096.30   | \$ 21,444.30  | \$ (1,348.00)         | -                               | \$ (1,348.00)            |
| 198.03271   | MUB    | 7/17/2012        | 9/27/2013 | \$ 20,668.40   | \$ 21,953.86  | \$ (1,285.46)         | -                               | \$ (1,285.46)            |
| 209.65081   | MUB    | 9/10/2012        | 9/27/2013 | \$ 21,880.96   | \$ 23,325.48  | \$ (1,444.52)         | -                               | \$ (1,444.52)            |
| 212.23522   | RWX    | 6/6/2012         | 9/27/2013 | \$ 8,950.19    | \$ 7,477.04   | \$ 1,473.16           | -                               | \$ 1,473.16              |
| 319.84611   | MUNI   | 8/10/2012        | 9/27/2013 | \$ 16,689.57   | \$ 17,332.35  | \$ (642.78)           | -                               | \$ (642.78)              |
| 327.51862   | MUNI   | 7/17/2012        | 9/27/2013 | \$ 17,089.92   | \$ 17,754.76  | \$ (664.84)           | -                               | \$ (664.84)              |
| 346.82340   | MUNI   | 9/10/2012        | 9/27/2013 | \$ 18,097.25   | \$ 18,815.05  | \$ (717.81)           | -                               | \$ (717.81)              |
| 466.22402   | IYR    | 6/6/2012         | 9/27/2013 | \$ 30,010.84   | \$ 28,579.49  | \$ 1,431.35           | -                               | \$ 1,431.35              |
| 483.11702   | MUB    | 6/6/2012         | 6/20/2013 | \$ 50,171.70   | \$ 53,316.79  | \$ (3,145.09)         | -                               | \$ (3,145.09)            |
| 707.47519   | MUB    | 6/6/2012         | 9/27/2013 | \$ 73,838.20   | \$ 78,076.96  | \$ (4,238.77)         | -                               | \$ (4,238.77)            |
| 802.27471   | MUNI   | 6/6/2012         | 6/20/2013 | \$ 41,889.49   | \$ 43,260.82  | \$ (1,371.33)         | -                               | \$ (1,371.33)            |
| 1,059.80652 | DBV    | 6/6/2012         | 9/4/2013  | \$ 27,014.97   | \$ 25,254.68  | \$ 1,760.29           | -                               | \$ 1,760.29              |
| 1,178.20555 | MUNI   | 6/6/2012         | 9/27/2013 | \$ 61,478.77   | \$ 63,532.02  | \$ (2,053.26)         | -                               | \$ (2,053.26)            |
| 1,596.11721 | DBC    | 6/6/2012         | 9/4/2013  | \$ 42,345.63   | \$ 40,234.24  | \$ 2,111.39           | -                               | \$ 2,111.39              |
| 2,153.58301 | TFI    | 6/6/2012         | 6/20/2013 | \$ 49,450.79   | \$ 52,095.17  | \$ (2,644.38)         | -                               | \$ (2,644.38)            |
| 2,508.13044 | PSP    | 6/6/2012         | 9/4/2013  | \$ 28,679.85   | \$ 21,079.83  | \$ 7,600.02           | -                               | \$ 7,600.02              |
| 3,187.68576 | TFI    | 6/6/2012         | 7/5/2013  | \$ 71,212.94   | \$ 77,110.12  | \$ (5,897.17)         | -                               | \$ (5,897.17)            |
|             |        |                  |           | \$ 731,161.02  | \$ 716,366.31 | \$ 14,794.60          | -                               | \$ 14,794.60             |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.01176 | EMB    | 7/2/2012         | 1/18/2013 | 1.44              | 1.35       | 0.09                  | -                               | 0.09                     |
| 0.02050 | MINT   | 1/17/2013        | 6/28/2013 | 2.08              | 2.08       | (0.01)                | -                               | (0.01)                   |
| 0.02396 | HYS    | 7/10/2013        | 8/20/2013 | 2.49              | 2.48       | 0.01                  | -                               | 0.01                     |
| 0.04159 | JNK    | 7/10/2013        | 8/20/2013 | 1.64              | 1.64       | (0.01)                | -                               | (0.01)                   |
| 0.04957 | SDY    | 1/17/2013        | 8/2/2013  | 3.50              | 3.00       | 0.50                  | -                               | 0.50                     |
| 0.05003 | EMB    | 7/10/2013        | 8/20/2013 | 5.33              | 5.40       | (0.07)                | -                               | (0.07)                   |
| 0.06570 | SHY    | 6/3/2013         | 6/28/2013 | 5.54              | 5.55       | (0.01)                | -                               | (0.01)                   |
| 0.06998 | DWX    | 6/28/2013        | 8/20/2013 | 3.16              | 3.05       | 0.12                  | -                               | 0.12                     |
| 0.08145 | EMB    | 7/2/2012         | 1/17/2013 | 9.93              | 9.33       | 0.60                  | -                               | 0.60                     |
| 0.08183 | SDY    | 9/24/2012        | 1/18/2013 | 4.96              | 4.78       | 0.18                  | -                               | 0.18                     |
| 0.08882 | CFT    | 1/17/2013        | 6/28/2013 | 9.49              | 10.08      | (0.59)                | -                               | (0.59)                   |
| 0.10018 | CIU    | 7/10/2013        | 8/20/2013 | 10.74             | 10.76      | (0.02)                | -                               | (0.02)                   |
| 0.10327 | EMB    | 6/27/2013        | 8/20/2013 | 11.00             | 11.26      | (0.26)                | -                               | (0.26)                   |
| 0.10708 | ELD    | 1/17/2013        | 8/2/2013  | 5.09              | 5.74       | (0.66)                | -                               | (0.66)                   |
| 0.11916 | EMB    | 10/18/2012       | 1/18/2013 | 14.54             | 14.65      | (0.11)                | -                               | (0.11)                   |
| 0.14789 | CFT    | 11/21/2012       | 6/21/2013 | 15.77             | 16.85      | (1.08)                | -                               | (1.08)                   |
| 0.15031 | RWO    | 9/24/2012        | 1/18/2013 | 6.42              | 6.18       | 0.24                  | -                               | 0.24                     |
| 0.15757 | HYS    | 6/28/2013        | 8/20/2013 | 16.35             | 16.21      | 0.14                  | -                               | 0.14                     |
| 0.16073 | EMB    | 11/21/2012       | 6/21/2013 | 17.03             | 19.51      | (2.48)                | -                               | (2.48)                   |
| 0.16255 | ELD    | 6/27/2013        | 8/20/2013 | 7.53              | 7.80       | (0.27)                | -                               | (0.27)                   |
| 0.18229 | ELD    | 7/10/2013        | 8/20/2013 | 8.44              | 8.66       | (0.21)                | -                               | (0.21)                   |
| 0.19084 | EMB    | 9/12/2013        | 9/27/2013 | 20.83             | 20.34      | 0.49                  | -                               | 0.49                     |
| 0.19487 | EMB    | 6/27/2013        | 8/16/2013 | 21.05             | 21.24      | (0.19)                | -                               | (0.19)                   |
| 0.19604 | PGX    | 9/24/2012        | 1/18/2013 | 2.91              | 2.89       | 0.02                  | -                               | 0.02                     |
| 0.19738 | DWX    | 9/24/2012        | 1/18/2013 | 9.73              | 9.19       | 0.54                  | -                               | 0.54                     |
| 0.19868 | MINT   | 5/30/2013        | 8/16/2013 | 20.13             | 20.18      | (0.05)                | -                               | (0.05)                   |
| 0.20120 | HYS    | 9/12/2013        | 9/27/2013 | 21.19             | 21.07      | 0.12                  | -                               | 0.12                     |
| 0.20698 | HYS    | 6/28/2013        | 8/16/2013 | 21.52             | 21.29      | 0.24                  | -                               | 0.24                     |
| 0.21361 | CIU    | 6/28/2013        | 8/20/2013 | 22.89             | 22.98      | (0.09)                | -                               | (0.09)                   |
| 0.21591 | MINT   | 6/20/2013        | 9/27/2013 | 21.90             | 21.90      | -                     | -                               | -                        |
| 0.23465 | RWO    | 6/27/2013        | 9/27/2013 | 9.98              | 9.83       | 0.15                  | -                               | 0.15                     |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.28457 | SDY    | 10/18/2012       | 1/18/2013 | 17.26             | 16.84      | 0.42                  | -                               | 0.42                     |
| 0.31506 | DWX    | 7/10/2013        | 8/20/2013 | 14.24             | 13.82      | 0.42                  | -                               | 0.42                     |
| 0.32234 | EMB    | 9/19/2013        | 9/27/2013 | 35.19             | 35.71      | (0.52)                | -                               | (0.52)                   |
| 0.32299 | DWX    | 6/5/2012         | 1/17/2013 | 15.96             | 13.48      | 2.48                  | -                               | 2.48                     |
| 0.34431 | EMB    | 6/27/2013        | 8/2/2013  | 37.46             | 37.53      | (0.07)                | -                               | (0.07)                   |
| 0.34774 | JNK    | 6/5/2012         | 1/17/2013 | 14.31             | 13.13      | 1.19                  | -                               | 1.19                     |
| 0.35554 | DWX    | 6/27/2013        | 8/20/2013 | 16.07             | 15.70      | 0.37                  | -                               | 0.37                     |
| 0.35660 | CIU    | 6/28/2013        | 8/16/2013 | 38.26             | 38.37      | (0.11)                | -                               | (0.11)                   |
| 0.35767 | HYS    | 9/19/2013        | 9/27/2013 | 37.67             | 37.81      | (0.13)                | -                               | (0.13)                   |
| 0.37050 | ELD    | 10/18/2012       | 1/18/2013 | 19.86             | 19.57      | 0.29                  | -                               | 0.29                     |
| 0.37383 | SHM    | 1/11/2013        | 4/24/2013 | 9.11              | 9.10       | 0.01                  | -                               | 0.01                     |
| 0.37634 | CIU    | 9/12/2013        | 9/27/2013 | 40.63             | 40.15      | 0.48                  | -                               | 0.48                     |
| 0.38957 | PGX    | 6/5/2012         | 1/17/2013 | 5.77              | 5.54       | 0.23                  | -                               | 0.23                     |
| 0.39585 | MINT   | 1/17/2013        | 6/18/2013 | 40.17             | 40.21      | (0.04)                | -                               | (0.04)                   |
| 0.39958 | JNK    | 6/27/2013        | 8/20/2013 | 15.74             | 15.87      | (0.13)                | -                               | (0.13)                   |
| 0.40661 | EMB    | 10/18/2012       | 4/24/2013 | 49.10             | 50.00      | (0.89)                | -                               | (0.89)                   |
| 0.41000 | ELD    | 9/12/2013        | 9/27/2013 | 19.35             | 19.10      | 0.25                  | -                               | 0.25                     |
| 0.41250 | PGX    | 6/27/2013        | 9/27/2013 | 5.66              | 5.88       | (0.22)                | -                               | (0.22)                   |
| 0.42837 | SHY    | 11/21/2012       | 5/10/2013 | 36.18             | 36.16      | 0.02                  | -                               | 0.02                     |
| 0.43556 | ELD    | 6/27/2013        | 8/16/2013 | 20.51             | 20.91      | (0.39)                | -                               | (0.39)                   |
| 0.43624 | EMB    | 11/30/2012       | 6/25/2013 | 46.27             | 53.53      | (7.26)                | -                               | (7.26)                   |
| 0.44787 | MINT   | 5/30/2013        | 8/20/2013 | 45.39             | 45.49      | (0.10)                | -                               | (0.10)                   |
| 0.45352 | SDY    | 6/27/2013        | 8/2/2013  | 32.04             | 30.29      | 1.75                  | -                               | 1.75                     |
| 0.47065 | CFT    | 10/18/2012       | 1/18/2013 | 53.45             | 53.90      | (0.45)                | -                               | (0.45)                   |
| 0.50336 | JNK    | 6/27/2013        | 8/16/2013 | 19.93             | 20.00      | (0.07)                | -                               | (0.07)                   |
| 0.50548 | MINT   | 9/12/2013        | 9/27/2013 | 51.27             | 51.19      | 0.07                  | -                               | 0.07                     |
| 0.51475 | JNK    | 9/12/2013        | 9/27/2013 | 20.54             | 20.40      | 0.15                  | -                               | 0.15                     |
| 0.51604 | RWO    | 10/18/2012       | 1/18/2013 | 22.03             | 21.37      | 0.66                  | -                               | 0.66                     |
| 0.51844 | SDY    | 6/27/2013        | 9/27/2013 | 35.84             | 34.62      | 1.22                  | -                               | 1.22                     |
| 0.56705 | EMB    | 7/10/2013        | 9/27/2013 | 61.90             | 61.21      | 0.69                  | -                               | 0.69                     |
| 0.57048 | SDY    | 9/12/2013        | 9/27/2013 | 39.44             | 39.43      | 0.01                  | -                               | 0.01                     |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.58498 | SDY    | 6/27/2013        | 8/20/2013 | 39.78             | 39.06      | 0.71                  | -                               | 0.71                     |
| 0.61807 | MINT   | 7/12/2013        | 9/27/2013 | 62.69             | 62.65      | 0.04                  | -                               | 0.04                     |
| 0.65064 | HYS    | 7/10/2013        | 9/27/2013 | 68.53             | 67.34      | 1.19                  | -                               | 1.19                     |
| 0.67603 | SDY    | 6/27/2013        | 8/16/2013 | 46.20             | 45.15      | 1.06                  | -                               | 1.06                     |
| 0.68211 | CIU    | 9/19/2013        | 9/27/2013 | 73.63             | 73.56      | 0.08                  | -                               | 0.08                     |
| 0.68394 | RWO    | 11/21/2012       | 6/21/2013 | 27.22             | 27.71      | (0.49)                | -                               | (0.49)                   |
| 0.71425 | CFT    | 6/11/2012        | 1/18/2013 | 81.11             | 78.80      | 2.31                  | -                               | 2.31                     |
| 0.71832 | EMB    | 7/12/2013        | 9/27/2013 | 78.41             | 78.53      | (0.12)                | -                               | (0.12)                   |
| 0.72965 | CFT    | 10/18/2012       | 4/24/2013 | 83.26             | 83.56      | (0.30)                | -                               | (0.30)                   |
| 0.73245 | EMB    | 6/18/2013        | 8/2/2013  | 79.69             | 82.25      | (2.57)                | -                               | (2.57)                   |
| 0.75724 | CFT    | 6/20/2012        | 1/18/2013 | 85.99             | 83.75      | 2.24                  | -                               | 2.24                     |
| 0.75731 | HYS    | 7/12/2013        | 9/27/2013 | 79.76             | 79.01      | 0.76                  | -                               | 0.76                     |
| 0.77240 | ELD    | 9/19/2013        | 9/27/2013 | 36.46             | 37.68      | (1.22)                | -                               | (1.22)                   |
| 0.77484 | EMB    | 7/18/2012        | 1/18/2013 | 94.56             | 91.38      | 3.19                  | -                               | 3.19                     |
| 0.78888 | CFT    | 7/18/2012        | 1/18/2013 | 89.58             | 89.20      | 0.39                  | -                               | 0.39                     |
| 0.82296 | DWX    | 10/18/2012       | 1/18/2013 | 40.58             | 38.79      | 1.79                  | -                               | 1.79                     |
| 0.83856 | DWX    | 9/12/2013        | 9/27/2013 | 39.30             | 38.91      | 0.39                  | -                               | 0.39                     |
| 0.84234 | SDY    | 11/21/2012       | 6/21/2013 | 54.65             | 48.21      | 6.44                  | -                               | 6.44                     |
| 0.84380 | ELD    | 11/21/2012       | 6/21/2013 | 39.54             | 44.37      | (4.83)                | -                               | (4.83)                   |
| 0.85396 | EMB    | 11/21/2012       | 6/20/2013 | 91.26             | 103.65     | (12.39)               | -                               | (12.39)                  |
| 0.85799 | EMB    | 11/30/2012       | 8/2/2013  | 93.35             | 105.28     | (11.94)               | -                               | (11.94)                  |
| 0.87196 | RWO    | 6/27/2013        | 8/20/2013 | 35.33             | 36.52      | (1.19)                | -                               | (1.19)                   |
| 0.88847 | EMB    | 9/4/2012         | 1/18/2013 | 108.43            | 106.07     | 2.36                  | -                               | 2.36                     |
| 0.90217 | JNK    | 9/19/2013        | 9/27/2013 | 36.01             | 36.29      | (0.29)                | -                               | (0.29)                   |
| 0.90572 | MINT   | 5/30/2013        | 9/27/2013 | 91.86             | 92.00      | (0.14)                | -                               | (0.14)                   |
| 0.93132 | CFT    | 9/4/2012         | 1/18/2013 | 105.76            | 105.60     | 0.16                  | -                               | 0.16                     |
| 0.95084 | EMB    | 11/30/2012       | 6/21/2013 | 100.72            | 116.68     | (15.95)               | -                               | (15.95)                  |
| 0.95109 | RWO    | 9/12/2013        | 9/27/2013 | 40.44             | 39.90      | 0.54                  | -                               | 0.54                     |
| 0.95375 | EMB    | 9/12/2012        | 1/18/2013 | 116.40            | 115.32     | 1.07                  | -                               | 1.07                     |
| 0.97438 | JNK    | 10/18/2012       | 1/18/2013 | 40.23             | 39.56      | 0.67                  | -                               | 0.67                     |
| 0.99316 | EMB    | 7/30/2012        | 1/18/2013 | 121.21            | 117.29     | 3.91                  | -                               | 3.91                     |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 0.99320 | MUB    | 11/21/2012       | 9/27/2013 | 103.64            | 112.59     | (8.95)                | -                               | (8.95)                   |
| 0.99725 | CFT    | 9/12/2012        | 1/18/2013 | 113.25            | 112.73     | 0.52                  | -                               | 0.52                     |
| 1.00197 | EMB    | 11/21/2012       | 5/30/2013 | 115.90            | 121.62     | (5.72)                | -                               | (5.72)                   |
| 1.01706 | ELD    | 10/18/2012       | 4/24/2013 | 54.02             | 53.73      | 0.29                  | -                               | 0.29                     |
| 1.03416 | CFT    | 7/30/2012        | 1/18/2013 | 117.44            | 117.25     | 0.19                  | -                               | 0.19                     |
| 1.03595 | EMB    | 11/21/2012       | 6/3/2013  | 117.65            | 125.74     | (8.09)                | -                               | (8.09)                   |
| 1.03698 | CFT    | 11/30/2012       | 6/25/2013 | 110.07            | 118.76     | (8.70)                | -                               | (8.70)                   |
| 1.04108 | RWO    | 6/27/2013        | 8/16/2013 | 42.84             | 43.60      | (0.76)                | -                               | (0.76)                   |
| 1.04323 | ELD    | 6/27/2013        | 8/2/2013  | 49.54             | 50.08      | (0.53)                | -                               | (0.53)                   |
| 1.04785 | SDY    | 9/19/2013        | 9/27/2013 | 72.44             | 74.30      | (1.87)                | -                               | (1.87)                   |
| 1.06595 | MINT   | 6/12/2013        | 9/27/2013 | 108.11            | 108.15     | (0.04)                | -                               | (0.04)                   |
| 1.06913 | EMB    | 11/21/2012       | 6/12/2013 | 119.10            | 129.77     | (10.67)               | -                               | (10.67)                  |
| 1.08112 | CFT    | 7/2/2012         | 1/18/2013 | 122.77            | 120.27     | 2.50                  | -                               | 2.50                     |
| 1.09301 | PGX    | 11/21/2012       | 6/21/2013 | 15.34             | 16.11      | (0.77)                | -                               | (0.77)                   |
| 1.09887 | DWX    | 6/27/2013        | 8/16/2013 | 50.26             | 48.54      | 1.73                  | -                               | 1.73                     |
| 1.18828 | SHY    | 11/21/2012       | 1/18/2013 | 100.33            | 100.31     | 0.02                  | -                               | 0.02                     |
| 1.18988 | CIU    | 7/10/2013        | 9/27/2013 | 128.45            | 127.79     | 0.66                  | -                               | 0.66                     |
| 1.19288 | JNK    | 11/21/2012       | 6/21/2013 | 46.77             | 47.80      | (1.02)                | -                               | (1.02)                   |
| 1.23016 | SHY    | 5/30/2013        | 6/18/2013 | 103.83            | 103.81     | 0.01                  | -                               | 0.01                     |
| 1.23365 | CFT    | 6/5/2012         | 1/18/2013 | 140.09            | 135.76     | 4.33                  | -                               | 4.33                     |
| 1.25981 | EMB    | 11/21/2012       | 5/24/2013 | 148.66            | 152.91     | (4.25)                | -                               | (4.25)                   |
| 1.26404 | ELD    | 11/30/2012       | 6/25/2013 | 59.61             | 66.75      | (7.14)                | -                               | (7.14)                   |
| 1.29570 | RWO    | 1/17/2013        | 8/2/2013  | 55.32             | 55.35      | (0.03)                | -                               | (0.03)                   |
| 1.31116 | ELD    | 7/10/2013        | 9/27/2013 | 61.89             | 62.27      | (0.38)                | -                               | (0.38)                   |
| 1.35965 | SDY    | 6/11/2012        | 1/18/2013 | 82.47             | 75.47      | 7.00                  | -                               | 7.00                     |
| 1.37710 | EMB    | 11/21/2012       | 5/10/2013 | 166.19            | 167.15     | (0.96)                | -                               | (0.96)                   |
| 1.38565 | SHY    | 6/25/2013        | 9/12/2013 | 116.69            | 116.70     | (0.01)                | -                               | (0.01)                   |
| 1.40400 | CFT    | 6/27/2013        | 6/28/2013 | 149.95            | 150.99     | (1.04)                | -                               | (1.04)                   |
| 1.42455 | CIU    | 7/12/2013        | 9/27/2013 | 153.78            | 153.78     | -                     | -                               | -                        |
| 1.43426 | DWX    | 11/21/2012       | 6/21/2013 | 60.86             | 63.14      | (2.28)                | -                               | (2.28)                   |
| 1.46757 | RWO    | 6/27/2013        | 8/2/2013  | 62.66             | 61.46      | 1.20                  | -                               | 1.20                     |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 1.50808 | DWX    | 9/19/2013        | 9/27/2013 | 70.68             | 72.43      | (1.75)                | -                               | (1.75)                   |
| 1.54764 | CFT    | 11/30/2012       | 6/28/2013 | 165.29            | 177.24     | (11.96)               | -                               | (11.96)                  |
| 1.55243 | SDY    | 7/18/2012        | 1/18/2013 | 94.16             | 86.64      | 7.52                  | -                               | 7.52                     |
| 1.57893 | CFT    | 6/18/2013        | 6/28/2013 | 168.63            | 173.18     | (4.55)                | -                               | (4.55)                   |
| 1.60803 | SDY    | 6/20/2012        | 1/18/2013 | 97.53             | 89.48      | 8.05                  | -                               | 8.05                     |
| 1.61734 | SHY    | 6/12/2013        | 8/2/2013  | 136.47            | 136.41     | 0.07                  | -                               | 0.07                     |
| 1.62008 | RWO    | 9/19/2013        | 9/27/2013 | 68.89             | 71.45      | (2.56)                | -                               | (2.56)                   |
| 1.64054 | ELD    | 7/12/2013        | 9/27/2013 | 77.43             | 78.55      | (1.11)                | -                               | (1.11)                   |
| 1.65121 | DWX    | 6/5/2012         | 1/18/2013 | 81.43             | 68.93      | 12.50                 | -                               | 12.50                    |
| 1.68904 | MINT   | 7/10/2013        | 9/27/2013 | 171.31            | 171.02     | 0.29                  | -                               | 0.29                     |
| 1.73981 | ELD    | 11/30/2012       | 6/21/2013 | 81.53             | 91.87      | (10.35)               | -                               | (10.35)                  |
| 1.74511 | DWX    | 11/30/2012       | 6/25/2013 | 74.36             | 79.80      | (5.44)                | -                               | (5.44)                   |
| 1.76913 | DWX    | 6/11/2012        | 1/18/2013 | 87.24             | 76.64      | 10.61                 | -                               | 10.61                    |
| 1.79884 | CFT    | 11/21/2012       | 6/20/2013 | 193.86            | 205.00     | (11.14)               | -                               | (11.14)                  |
| 1.80892 | SDY    | 9/4/2012         | 1/18/2013 | 109.71            | 103.30     | 6.41                  | -                               | 6.41                     |
| 1.83347 | ELD    | 6/18/2013        | 8/2/2013  | 87.07             | 89.64      | (2.56)                | -                               | (2.56)                   |
| 1.86216 | JNK    | 7/12/2013        | 9/27/2013 | 74.32             | 74.71      | (0.39)                | -                               | (0.39)                   |
| 1.86802 | JNK    | 7/10/2013        | 9/27/2013 | 74.56             | 73.88      | 0.68                  | -                               | 0.68                     |
| 1.88423 | SDY    | 11/30/2012       | 6/25/2013 | 123.14            | 109.91     | 13.23                 | -                               | 13.23                    |
| 1.91218 | SDY    | 9/12/2012        | 1/18/2013 | 115.98            | 111.59     | 4.38                  | -                               | 4.38                     |
| 1.91891 | DWX    | 6/27/2013        | 8/2/2013  | 86.88             | 84.76      | 2.12                  | -                               | 2.12                     |
| 1.92862 | SHY    | 11/21/2012       | 4/24/2013 | 162.94            | 162.81     | 0.13                  | -                               | 0.13                     |
| 1.95656 | SDY    | 7/10/2013        | 9/27/2013 | 135.26            | 133.73     | 1.53                  | -                               | 1.53                     |
| 1.97361 | SDY    | 10/18/2012       | 4/24/2013 | 131.89            | 116.77     | 15.12                 | -                               | 15.12                    |
| 1.99161 | DWX    | 10/18/2012       | 4/24/2013 | 98.10             | 93.88      | 4.21                  | -                               | 4.21                     |
| 2.01306 | DWX    | 7/18/2012        | 1/18/2013 | 99.27             | 87.61      | 11.67                 | -                               | 11.67                    |
| 2.02664 | ELD    | 11/21/2012       | 6/20/2013 | 95.15             | 106.56     | (11.41)               | -                               | (11.41)                  |
| 2.02908 | RWO    | 6/11/2012        | 1/18/2013 | 86.63             | 77.91      | 8.72                  | -                               | 8.72                     |
| 2.04099 | CFT    | 11/21/2012       | 5/30/2013 | 227.32            | 232.60     | (5.28)                | -                               | (5.28)                   |
| 2.05551 | SDY    | 7/30/2012        | 1/18/2013 | 124.67            | 116.42     | 8.25                  | -                               | 8.25                     |
| 2.06167 | CFT    | 11/21/2012       | 6/3/2013  | 228.05            | 234.95     | (6.90)                | -                               | (6.90)                   |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.08960 | JNK    | 6/11/2012        | 1/18/2013 | 86.27             | 80.18      | 6.09                  | -                               | 6.09                     |
| 2.10721 | RWO    | 7/18/2012        | 1/18/2013 | 89.97             | 85.87      | 4.10                  | -                               | 4.10                     |
| 2.12553 | ELD    | 11/21/2012       | 5/30/2013 | 108.00            | 111.76     | (3.77)                | -                               | (3.77)                   |
| 2.14245 | JNK    | 6/20/2012        | 1/18/2013 | 88.45             | 83.57      | 4.87                  | -                               | 4.87                     |
| 2.14709 | CFT    | 11/30/2012       | 6/21/2013 | 229.01            | 245.90     | (16.89)               | -                               | (16.89)                  |
| 2.16673 | SDY    | 7/2/2012         | 1/18/2013 | 131.42            | 120.14     | 11.27                 | -                               | 11.27                    |
| 2.19719 | CFT    | 11/21/2012       | 6/12/2013 | 240.09            | 250.40     | (10.31)               | -                               | (10.31)                  |
| 2.22215 | ELD    | 11/30/2012       | 8/2/2013  | 105.53            | 117.34     | (11.81)               | -                               | (11.81)                  |
| 2.26736 | SDY    | 7/12/2013        | 9/27/2013 | 156.74            | 156.83     | (0.08)                | -                               | (0.08)                   |
| 2.26925 | ELD    | 11/21/2012       | 6/3/2013  | 113.23            | 119.32     | (6.10)                | -                               | (6.10)                   |
| 2.30497 | DWX    | 9/4/2012         | 1/18/2013 | 113.67            | 103.79     | 9.88                  | -                               | 9.88                     |
| 2.30812 | JNK    | 7/18/2012        | 1/18/2013 | 95.29             | 91.67      | 3.61                  | -                               | 3.61                     |
| 2.36196 | RWO    | 6/20/2012        | 1/18/2013 | 100.84            | 91.88      | 8.96                  | -                               | 8.96                     |
| 2.37657 | DWX    | 9/12/2012        | 1/18/2013 | 117.20            | 112.84     | 4.36                  | -                               | 4.36                     |
| 2.41027 | DWX    | 6/20/2012        | 1/18/2013 | 118.86            | 105.69     | 13.18                 | -                               | 13.18                    |
| 2.42154 | PGX    | 6/27/2013        | 8/16/2013 | 32.84             | 34.51      | (1.67)                | -                               | (1.67)                   |
| 2.45243 | JNK    | 10/18/2012       | 4/24/2013 | 101.49            | 99.56      | 1.93                  | -                               | 1.93                     |
| 2.46088 | RWO    | 11/30/2012       | 6/25/2013 | 99.25             | 101.78     | (2.53)                | -                               | (2.53)                   |
| 2.48932 | SDY    | 6/5/2012         | 1/18/2013 | 150.98            | 133.00     | 17.98                 | -                               | 17.98                    |
| 2.50299 | ELD    | 11/21/2012       | 6/12/2013 | 121.61            | 131.61     | (10.00)               | -                               | (10.00)                  |
| 2.52352 | SHY    | 6/21/2013        | 9/12/2013 | 212.51            | 212.61     | (0.10)                | -                               | (0.10)                   |
| 2.54390 | MINT   | 1/17/2013        | 6/25/2013 | 257.65            | 258.38     | (0.74)                | -                               | (0.74)                   |
| 2.54537 | PGX    | 6/27/2013        | 8/20/2013 | 33.91             | 36.27      | (2.37)                | -                               | (2.37)                   |
| 2.54764 | RWO    | 9/4/2012         | 1/18/2013 | 108.77            | 103.94     | 4.83                  | -                               | 4.83                     |
| 2.57710 | SDY    | 6/18/2013        | 8/2/2013  | 182.04            | 176.27     | 5.77                  | -                               | 5.77                     |
| 2.60213 | EMB    | 12/19/2012       | 8/2/2013  | 283.10            | 318.37     | (35.27)               | -                               | (35.27)                  |
| 2.65520 | RWO    | 9/12/2012        | 1/18/2013 | 113.36            | 110.51     | 2.86                  | -                               | 2.86                     |
| 2.65934 | DWX    | 7/2/2012         | 1/18/2013 | 131.15            | 117.99     | 13.15                 | -                               | 13.15                    |
| 2.67511 | PGX    | 10/18/2012       | 1/18/2013 | 39.71             | 39.78      | (0.07)                | -                               | (0.07)                   |
| 2.68013 | DWX    | 7/30/2012        | 1/18/2013 | 132.17            | 115.62     | 16.55                 | -                               | 16.55                    |
| 2.68035 | RWO    | 10/18/2012       | 4/24/2013 | 124.92            | 111.02     | 13.90                 | -                               | 13.90                    |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 2.70675 | JNK    | 9/4/2012         | 1/18/2013 | 111.75            | 107.89     | 3.86                  | -                               | 3.86                     |
| 2.71391 | DWX    | 7/10/2013        | 9/27/2013 | 127.19            | 119.03     | 8.16                  | -                               | 8.16                     |
| 2.72105 | MINT   | 1/17/2013        | 5/10/2013 | 276.31            | 276.38     | (0.07)                | -                               | (0.07)                   |
| 2.76114 | SHM    | 1/11/2013        | 1/18/2013 | 67.24             | 67.23      | -                     | -                               | -                        |
| 2.81345 | PGX    | 9/12/2013        | 9/27/2013 | 38.61             | 38.68      | (0.08)                | -                               | (0.08)                   |
| 2.82305 | CFT    | 11/21/2012       | 5/10/2013 | 319.21            | 321.72     | (2.51)                | -                               | (2.51)                   |
| 2.82898 | CFT    | 11/21/2012       | 5/24/2013 | 317.78            | 322.40     | (4.62)                | -                               | (4.62)                   |
| 2.83189 | RWO    | 7/30/2012        | 1/18/2013 | 120.91            | 115.37     | 5.54                  | -                               | 5.54                     |
| 2.86944 | JNK    | 9/12/2012        | 1/18/2013 | 118.46            | 116.32     | 2.14                  | -                               | 2.14                     |
| 2.88884 | JNK    | 6/27/2013        | 8/2/2013  | 115.41            | 114.76     | 0.65                  | -                               | 0.65                     |
| 2.94918 | JNK    | 7/30/2012        | 1/18/2013 | 121.75            | 117.38     | 4.38                  | -                               | 4.38                     |
| 3.00552 | JNK    | 11/30/2012       | 6/25/2013 | 117.16            | 121.72     | (4.57)                | -                               | (4.57)                   |
| 3.02851 | MINT   | 1/17/2013        | 6/21/2013 | 306.79            | 307.61     | (0.82)                | -                               | (0.82)                   |
| 3.03167 | JNK    | 7/2/2012         | 1/18/2013 | 125.16            | 118.90     | 6.26                  | -                               | 6.26                     |
| 3.05782 | RWO    | 7/2/2012         | 1/18/2013 | 130.55            | 121.85     | 8.70                  | -                               | 8.70                     |
| 3.10888 | RWO    | 7/10/2013        | 9/27/2013 | 132.19            | 132.53     | (0.34)                | -                               | (0.34)                   |
| 3.12859 | MINT   | 6/27/2013        | 9/27/2013 | 317.31            | 317.15     | 0.16                  | -                               | 0.16                     |
| 3.16674 | ELD    | 11/21/2012       | 5/10/2013 | 169.88            | 166.51     | 3.37                  | -                               | 3.37                     |
| 3.18045 | ELD    | 11/21/2012       | 5/24/2013 | 165.56            | 167.23     | (1.67)                | -                               | (1.67)                   |
| 3.20023 | JNK    | 6/5/2012         | 1/18/2013 | 132.12            | 120.80     | 11.32                 | -                               | 11.32                    |
| 3.23730 | SHY    | 11/21/2012       | 6/18/2013 | 273.23            | 273.29     | (0.06)                | -                               | (0.06)                   |
| 3.42606 | SDY    | 11/21/2012       | 6/20/2013 | 226.81            | 196.10     | 30.70                 | -                               | 30.70                    |
| 3.44016 | SHY    | 8/20/2013        | 9/27/2013 | 290.46            | 290.14     | 0.32                  | -                               | 0.32                     |
| 3.57320 | SDY    | 11/30/2012       | 6/21/2013 | 231.83            | 208.42     | 23.41                 | -                               | 23.41                    |
| 3.71958 | SHY    | 8/16/2013        | 9/27/2013 | 314.05            | 313.67     | 0.38                  | -                               | 0.38                     |
| 3.73081 | DWX    | 6/18/2013        | 8/2/2013  | 168.91            | 176.28     | (7.37)                | -                               | (7.37)                   |
| 3.76161 | RWO    | 7/12/2013        | 9/27/2013 | 159.95            | 163.93     | (3.98)                | -                               | (3.98)                   |
| 3.77418 | DWX    | 7/12/2013        | 9/27/2013 | 176.88            | 168.02     | 8.86                  | -                               | 8.86                     |
| 3.82970 | SDY    | 11/30/2012       | 8/2/2013  | 270.53            | 223.38     | 47.14                 | -                               | 47.14                    |
| 3.85750 | RWO    | 6/5/2012         | 1/18/2013 | 164.70            | 142.72     | 21.98                 | -                               | 21.98                    |
| 4.00288 | SDY    | 11/21/2012       | 5/30/2013 | 274.44            | 229.12     | 45.32                 | -                               | 45.32                    |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 4.01510 | RWO    | 6/18/2013        | 8/2/2013  | 171.44            | 175.45     | (4.01)                | -                               | (4.01)                   |
| 4.10877 | DWX    | 11/30/2012       | 6/21/2013 | 174.34            | 187.89     | (13.55)               | -                               | (13.55)                  |
| 4.11248 | SDY    | 11/21/2012       | 6/3/2013  | 276.44            | 235.39     | 41.04                 | -                               | 41.04                    |
| 4.30430 | MUB    | 1/11/2013        | 9/27/2013 | 449.16            | 482.94     | (33.78)               | -                               | (33.78)                  |
| 4.31389 | DWX    | 11/21/2012       | 6/20/2013 | 192.67            | 189.90     | 2.76                  | -                               | 2.76                     |
| 4.34583 | MINT   | 6/3/2013         | 9/27/2013 | 440.76            | 441.36     | (0.60)                | -                               | (0.60)                   |
| 4.37057 | SDY    | 11/21/2012       | 6/12/2013 | 294.15            | 250.17     | 43.98                 | -                               | 43.98                    |
| 4.38338 | JNK    | 6/18/2013        | 8/2/2013  | 175.12            | 177.13     | (2.01)                | -                               | (2.01)                   |
| 4.38937 | SHY    | 6/25/2013        | 9/27/2013 | 370.60            | 369.67     | 0.93                  | -                               | 0.93                     |
| 4.56044 | SHY    | 6/12/2013        | 7/10/2013 | 384.22            | 384.63     | (0.41)                | -                               | (0.41)                   |
| 4.81489 | RWO    | 11/30/2012       | 8/2/2013  | 205.59            | 199.14     | 6.45                  | -                               | 6.45                     |
| 4.92187 | DWX    | 11/21/2012       | 5/30/2013 | 238.22            | 216.67     | 21.55                 | -                               | 21.55                    |
| 4.92922 | JNK    | 11/30/2012       | 6/28/2013 | 194.05            | 199.63     | (5.58)                | -                               | (5.58)                   |
| 4.97047 | RWO    | 11/21/2012       | 6/20/2013 | 204.54            | 201.41     | 3.13                  | -                               | 3.13                     |
| 5.04361 | HYS    | 6/28/2013        | 8/2/2013  | 527.11            | 518.74     | 8.38                  | -                               | 8.38                     |
| 5.07054 | DWX    | 11/21/2012       | 6/3/2013  | 239.68            | 223.21     | 16.47                 | -                               | 16.47                    |
| 5.07724 | PGX    | 6/27/2013        | 8/2/2013  | 71.25             | 72.35      | (1.10)                | -                               | (1.10)                   |
| 5.14921 | ELD    | 12/19/2012       | 8/2/2013  | 244.54            | 275.79     | (31.25)               | -                               | (31.25)                  |
| 5.20043 | JNK    | 11/21/2012       | 6/20/2013 | 205.99            | 208.37     | (2.38)                | -                               | (2.38)                   |
| 5.27312 | CFT    | 12/19/2012       | 6/28/2013 | 563.17            | 597.81     | (34.64)               | -                               | (34.64)                  |
| 5.36401 | PGX    | 6/11/2012        | 1/18/2013 | 79.63             | 76.92      | 2.71                  | -                               | 2.71                     |
| 5.39936 | DWX    | 11/21/2012       | 6/12/2013 | 250.53            | 237.69     | 12.84                 | -                               | 12.84                    |
| 5.42891 | JNK    | 11/30/2012       | 6/21/2013 | 212.87            | 219.87     | (7.00)                | -                               | (7.00)                   |
| 5.48141 | PGX    | 9/19/2013        | 9/27/2013 | 75.22             | 75.59      | (0.37)                | -                               | (0.37)                   |
| 5.53489 | SDY    | 11/21/2012       | 5/10/2013 | 378.39            | 316.81     | 61.58                 | -                               | 61.58                    |
| 5.63194 | EMB    | 11/21/2012       | 4/24/2013 | 680.14            | 683.58     | (3.44)                | -                               | (3.44)                   |
| 5.74616 | RWO    | 11/30/2012       | 6/21/2013 | 228.70            | 237.66     | (8.96)                | -                               | (8.96)                   |
| 5.79546 | RWO    | 11/21/2012       | 5/30/2013 | 256.85            | 234.84     | 22.01                 | -                               | 22.01                    |
| 5.80826 | SDY    | 11/21/2012       | 5/24/2013 | 398.86            | 332.46     | 66.40                 | -                               | 66.40                    |
| 5.83679 | JNK    | 12/19/2012       | 8/2/2013  | 233.19            | 238.88     | (5.69)                | -                               | (5.69)                   |
| 5.84574 | MINT   | 5/30/2013        | 8/2/2013  | 592.67            | 593.81     | (1.14)                | -                               | (1.14)                   |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares  | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|---------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 5.86857 | RWO    | 11/21/2012       | 6/3/2013  | 255.35            | 237.80     | 17.55                 | -                               | 17.55                    |
| 5.89665 | JNK    | 11/21/2012       | 5/30/2013 | 241.99            | 236.27     | 5.72                  | -                               | 5.72                     |
| 5.94645 | DWX    | 11/30/2012       | 8/2/2013  | 269.22            | 271.92     | (2.70)                | -                               | (2.70)                   |
| 5.94652 | JNK    | 11/21/2012       | 6/3/2013  | 238.33            | 238.26     | 0.07                  | -                               | 0.07                     |
| 6.00252 | DWX    | 11/21/2012       | 5/10/2013 | 300.88            | 264.24     | 36.64                 | -                               | 36.64                    |
| 6.08782 | PGX    | 6/20/2012        | 1/18/2013 | 90.37             | 87.77      | 2.60                  | -                               | 2.60                     |
| 6.10263 | SHY    | 6/25/2013        | 9/19/2013 | 514.94            | 513.96     | 0.98                  | -                               | 0.98                     |
| 6.15496 | SHY    | 6/3/2013         | 6/27/2013 | 518.68            | 519.48     | (0.80)                | -                               | (0.80)                   |
| 6.29403 | RWO    | 11/21/2012       | 6/12/2013 | 265.60            | 255.04     | 10.56                 | -                               | 10.56                    |
| 6.30449 | PGX    | 7/18/2012        | 1/18/2013 | 93.59             | 92.91      | 0.68                  | -                               | 0.68                     |
| 6.34483 | JNK    | 11/21/2012       | 6/12/2013 | 253.80            | 254.22     | (0.42)                | -                               | (0.42)                   |
| 6.61606 | PGX    | 10/18/2012       | 4/24/2013 | 98.41             | 98.38      | 0.04                  | -                               | 0.04                     |
| 7.01044 | MUNI   | 11/21/2012       | 9/27/2013 | 365.60            | 386.33     | (20.73)               | -                               | (20.73)                  |
| 7.01185 | DWX    | 11/21/2012       | 5/24/2013 | 338.74            | 308.67     | 30.07                 | -                               | 30.07                    |
| 7.14591 | MUNI   | 1/11/2013        | 9/27/2013 | 372.66            | 390.60     | (17.93)               | -                               | (17.93)                  |
| 7.27371 | PGX    | 9/4/2012         | 1/18/2013 | 107.98            | 107.65     | 0.33                  | -                               | 0.33                     |
| 7.50423 | PGX    | 9/12/2012        | 1/18/2013 | 111.40            | 111.42     | (0.02)                | -                               | (0.02)                   |
| 7.54623 | MINT   | 1/17/2013        | 1/18/2013 | 766.36            | 766.47     | (0.11)                | -                               | (0.11)                   |
| 7.55910 | JNK    | 11/21/2012       | 5/24/2013 | 311.59            | 302.88     | 8.71                  | -                               | 8.71                     |
| 7.91341 | PGX    | 7/30/2012        | 1/18/2013 | 117.47            | 116.64     | 0.83                  | -                               | 0.83                     |
| 8.07351 | RWO    | 11/21/2012       | 5/10/2013 | 382.68            | 327.15     | 55.54                 | -                               | 55.54                    |
| 8.08424 | PGX    | 7/2/2012         | 1/18/2013 | 120.01            | 117.87     | 2.14                  | -                               | 2.14                     |
| 8.11258 | PGX    | 11/30/2012       | 6/25/2013 | 112.52            | 119.97     | (7.45)                | -                               | (7.45)                   |
| 8.18849 | JNK    | 11/21/2012       | 5/10/2013 | 340.93            | 328.09     | 12.83                 | -                               | 12.83                    |
| 8.33494 | RWO    | 11/21/2012       | 5/24/2013 | 377.66            | 337.74     | 39.92                 | -                               | 39.92                    |
| 8.44776 | ELD    | 9/24/2012        | 1/18/2013 | 452.78            | 440.97     | 11.81                 | -                               | 11.81                    |
| 8.79728 | SHY    | 6/3/2013         | 7/10/2013 | 741.17            | 742.49     | (1.32)                | -                               | (1.32)                   |
| 9.24861 | JNK    | 12/19/2012       | 6/28/2013 | 364.10            | 378.52     | (14.42)               | -                               | (14.42)                  |
| 9.26608 | CIU    | 6/28/2013        | 8/2/2013  | 1,000.12          | 997.03     | 3.09                  | -                               | 3.09                     |
| 9.47572 | PGX    | 7/10/2013        | 9/27/2013 | 130.03            | 133.80     | (3.76)                | -                               | (3.76)                   |
| 9.65632 | SHY    | 5/24/2013        | 6/18/2013 | 814.99            | 815.48     | (0.48)                | -                               | (0.48)                   |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares   | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|----------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 9.70216  | PGX    | 6/5/2012         | 1/18/2013 | 144.03            | 138.06     | 5.97                  | -                               | 5.97                     |
| 9.94982  | SDY    | 12/19/2012       | 8/2/2013  | 702.84            | 590.52     | 112.33                | -                               | 112.33                   |
| 10.05612 | SHY    | 5/30/2013        | 6/27/2013 | 847.43            | 848.64     | (1.21)                | -                               | (1.21)                   |
| 10.25366 | PVI    | 7/5/2013         | 9/27/2013 | 256.14            | 256.34     | (0.20)                | -                               | (0.20)                   |
| 10.75123 | PGX    | 7/12/2013        | 9/27/2013 | 147.54            | 153.62     | (6.08)                | -                               | (6.08)                   |
| 11.54480 | DWX    | 12/19/2012       | 8/2/2013  | 522.68            | 557.02     | (34.34)               | -                               | (34.34)                  |
| 11.55401 | SHV    | 6/20/2013        | 9/27/2013 | 1,273.83          | 1,273.71   | 0.12                  | -                               | 0.12                     |
| 11.73978 | CFT    | 11/21/2012       | 4/24/2013 | 1,339.61          | 1,337.90   | 1.71                  | -                               | 1.71                     |
| 11.89916 | MINT   | 1/17/2013        | 4/24/2013 | 1,208.84          | 1,208.60   | 0.24                  | -                               | 0.24                     |
| 12.63793 | PGX    | 6/18/2013        | 8/2/2013  | 177.36            | 182.01     | (4.66)                | -                               | (4.66)                   |
| 12.71460 | ELD    | 11/21/2012       | 4/24/2013 | 675.37            | 668.56     | 6.81                  | -                               | 6.81                     |
| 12.83185 | PGX    | 11/30/2012       | 8/2/2013  | 180.08            | 189.76     | (9.68)                | -                               | (9.68)                   |
| 13.86055 | RWO    | 12/19/2012       | 8/2/2013  | 591.82            | 586.29     | 5.53                  | -                               | 5.53                     |
| 13.87061 | SHY    | 6/12/2013        | 7/12/2013 | 1,169.69          | 1,169.85   | (0.16)                | -                               | (0.16)                   |
| 14.09183 | PGX    | 11/21/2012       | 6/20/2013 | 198.43            | 207.68     | (9.26)                | -                               | (9.26)                   |
| 14.29102 | MINT   | 1/17/2013        | 8/2/2013  | 1,448.90          | 1,451.54   | (2.64)                | -                               | (2.64)                   |
| 15.28748 | MINT   | 5/24/2013        | 8/2/2013  | 1,549.93          | 1,553.51   | (3.59)                | -                               | (3.59)                   |
| 16.17552 | PGX    | 11/21/2012       | 5/30/2013 | 239.95            | 238.39     | 1.56                  | -                               | 1.56                     |
| 16.46490 | SHY    | 6/20/2013        | 8/2/2013  | 1,389.33          | 1,388.08   | 1.25                  | -                               | 1.25                     |
| 16.46660 | PGX    | 11/21/2012       | 6/3/2013  | 239.42            | 242.68     | (3.26)                | -                               | (3.26)                   |
| 16.68811 | MUB    | 7/5/2013         | 9/27/2013 | 1,741.44          | 1,728.89   | 12.55                 | -                               | 12.55                    |
| 17.18585 | PGX    | 11/30/2012       | 6/21/2013 | 241.12            | 254.15     | (13.02)               | -                               | (13.02)                  |
| 17.69100 | PGX    | 11/21/2012       | 6/12/2013 | 251.11            | 260.73     | (9.62)                | -                               | (9.62)                   |
| 19.21751 | TFI    | 1/11/2013        | 7/5/2013  | 429.32            | 468.71     | (39.40)               | -                               | (39.40)                  |
| 20.50338 | MUNI   | 7/5/2013         | 9/27/2013 | 1,069.26          | 1,058.18   | 11.08                 | -                               | 11.08                    |
| 20.68349 | PGX    | 11/21/2012       | 5/24/2013 | 307.58            | 304.83     | 2.75                  | -                               | 2.75                     |
| 22.24237 | PGX    | 11/21/2012       | 5/10/2013 | 335.27            | 327.80     | 7.47                  | -                               | 7.47                     |
| 22.25296 | SHY    | 6/21/2013        | 8/2/2013  | 1,877.73          | 1,874.81   | 2.92                  | -                               | 2.92                     |
| 22.61366 | SDY    | 11/21/2012       | 4/24/2013 | 1,511.24          | 1,294.37   | 216.87                | -                               | 216.87                   |
| 23.45891 | STPZ   | 6/20/2013        | 9/27/2013 | 1,244.11          | 1,236.99   | 7.12                  | -                               | 7.12                     |
| 24.45715 | MUB    | 11/21/2012       | 5/10/2013 | 2,719.74          | 2,772.56   | (52.82)               | -                               | (52.82)                  |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 25.52441  | FLOT   | 6/20/2013        | 9/27/2013 | 1,293.32          | 1,292.81   | 0.51                  | -                               | 0.51                     |
| 28.03596  | DWX    | 11/21/2012       | 4/24/2013 | 1,380.91          | 1,234.18   | 146.74                | -                               | 146.74                   |
| 28.51995  | PVI    | 7/5/2013         | 8/2/2013  | 712.41            | 712.99     | (0.58)                | -                               | (0.58)                   |
| 32.06516  | SHM    | 7/5/2013         | 9/27/2013 | 775.98            | 767.64     | 8.34                  | -                               | 8.34                     |
| 32.59309  | SHV    | 6/20/2013        | 8/2/2013  | 3,592.79          | 3,593.06   | (0.28)                | -                               | (0.28)                   |
| 32.72643  | RWO    | 11/21/2012       | 4/24/2013 | 1,525.22          | 1,326.12   | 199.10                | -                               | 199.10                   |
| 33.16686  | JNK    | 11/21/2012       | 4/24/2013 | 1,372.61          | 1,328.92   | 43.69                 | -                               | 43.69                    |
| 40.70493  | MUNI   | 11/21/2012       | 5/10/2013 | 2,222.64          | 2,243.16   | (20.52)               | -                               | (20.52)                  |
| 40.92157  | MUB    | 6/5/2012         | 4/24/2013 | 4,561.14          | 4,529.40   | 31.74                 | -                               | 31.74                    |
| 41.45414  | SCPB   | 6/20/2013        | 9/27/2013 | 1,270.98          | 1,269.60   | 1.38                  | -                               | 1.38                     |
| 41.63858  | PGX    | 12/19/2012       | 8/2/2013  | 584.34            | 610.40     | (26.06)               | -                               | (26.06)                  |
| 47.98059  | MINT   | 6/20/2013        | 6/24/2013 | 4,855.64          | 4,864.95   | (9.32)                | -                               | (9.32)                   |
| 51.39757  | SHY    | 11/21/2012       | 1/17/2013 | 4,339.11          | 4,338.92   | 0.19                  | -                               | 0.19                     |
| 51.88737  | BKLN   | 6/24/2013        | 9/27/2013 | 1,280.84          | 1,271.94   | 8.90                  | -                               | 8.90                     |
| 60.95550  | MUB    | 11/21/2012       | 8/2/2013  | 6,319.61          | 6,910.15   | (590.54)              | -                               | (590.54)                 |
| 63.12288  | MINT   | 6/20/2013        | 9/27/2013 | 6,402.06          | 6,400.29   | 1.77                  | -                               | 1.77                     |
| 66.34525  | STPZ   | 6/20/2013        | 8/2/2013  | 3,526.73          | 3,498.39   | 28.34                 | -                               | 28.34                    |
| 67.82746  | MUNI   | 6/5/2012         | 4/24/2013 | 3,714.09          | 3,661.33   | 52.77                 | -                               | 52.77                    |
| 71.81112  | FLOT   | 6/20/2013        | 8/2/2013  | 3,635.97          | 3,637.23   | (1.26)                | -                               | (1.26)                   |
| 72.44961  | MUB    | 6/5/2012         | 1/18/2013 | 8,153.52          | 8,019.09   | 134.44                | -                               | 134.44                   |
| 80.29891  | MUB    | 11/21/2012       | 4/24/2013 | 8,950.16          | 9,102.99   | (152.83)              | -                               | (152.83)                 |
| 88.76590  | SHM    | 7/5/2013         | 8/2/2013  | 2,141.37          | 2,125.06   | 16.32                 | -                               | 16.32                    |
| 90.96357  | PGX    | 11/21/2012       | 4/24/2013 | 1,353.08          | 1,340.60   | 12.49                 | -                               | 12.49                    |
| 95.90954  | MUNI   | 11/21/2012       | 8/2/2013  | 4,955.62          | 5,285.36   | (329.74)              | -                               | (329.74)                 |
| 108.90921 | TFI    | 11/21/2012       | 5/10/2013 | 2,632.88          | 2,709.49   | (76.61)               | -                               | (76.61)                  |
| 117.44062 | SCPB   | 6/20/2013        | 8/2/2013  | 3,598.70          | 3,596.81   | 1.90                  | -                               | 1.90                     |
| 120.55649 | MUNI   | 6/5/2012         | 1/18/2013 | 6,601.22          | 6,507.64   | 93.59                 | -                               | 93.59                    |
| 133.33028 | MUNI   | 11/21/2012       | 4/24/2013 | 7,300.89          | 7,347.53   | (46.64)               | -                               | (46.64)                  |
| 146.14344 | BKLN   | 6/24/2013        | 8/2/2013  | 3,645.50          | 3,583.08   | 62.42                 | -                               | 62.42                    |
| 165.73583 | MUB    | 11/21/2012       | 6/20/2013 | 17,251.38         | 18,788.45  | (1,537.07)            | -                               | (1,537.07)               |
| 177.55993 | MINT   | 6/20/2013        | 8/2/2013  | 18,002.03         | 18,003.55  | (1.52)                | -                               | (1.52)                   |

## Detail of Curian Clearing Account RA1746201R (Short-Term)

| Shares    | Symbol | Date<br>Acquired | Date Sold | Gross<br>Proceeds | Cost Basis | Realized<br>Gain/Loss | Wash Sale<br>Loss<br>Disallowed | Net Capital<br>Gain/Loss |
|-----------|--------|------------------|-----------|-------------------|------------|-----------------------|---------------------------------|--------------------------|
| 182.49087 | TFI    | 6/5/2012         | 4/24/2013 | 4,420.95          | 4,422.79   | (1.84)                | -                               | (1.84)                   |
| 205.25559 | SHM    | 6/5/2012         | 1/18/2013 | 4,998.18          | 4,999.00   | (0.82)                | -                               | (0.82)                   |
| 275.32500 | MUNI   | 11/21/2012       | 6/20/2013 | 14,399.50         | 15,172.55  | (773.05)              | -                               | (773.05)                 |
| 277.75009 | TFI    | 11/21/2012       | 7/5/2013  | 6,204.94          | 6,909.99   | (705.05)              | -                               | (705.05)                 |
| 324.01657 | TFI    | 6/5/2012         | 1/18/2013 | 7,906.51          | 7,852.76   | 53.76                 | -                               | 53.76                    |
| 359.52441 | TFI    | 11/21/2012       | 4/24/2013 | 8,709.69          | 8,944.41   | (234.71)              | -                               | (234.71)                 |
| 422.57345 | SHM    | 5/10/2013        | 8/2/2013  | 10,196.62         | 10,281.17  | (84.55)               | -                               | (84.55)                  |
| 619.15780 | SHM    | 11/21/2012       | 1/18/2013 | 15,077.11         | 15,118.90  | (41.79)               | -                               | (41.79)                  |
| 740.21415 | TFI    | 11/21/2012       | 6/20/2013 | 16,988.48         | 18,415.38  | (1,426.90)            | -                               | (1,426.90)               |
|           |        |                  |           | 292,281.87        | 296,695.57 | (4,413.66)            | -                               | (4,413.66)               |